



Office of the Chicago City  
Clerk



O2011-6698

Office of the City Clerk

City Council Document Tracking Sheet

<b>Meeting Date:</b>	7/28/2011
<b>Sponsor(s):</b>	City Clerk <b>Mendoza</b>
<b>Type:</b>	Ordinance
<b>Title:</b>	Zoning Reclassification <b>App No. 17318</b>
<b>Committee(s) Assignment:</b>	<b>Committee on Zoning, Landmarks and Building Standards</b>

## ORDINANCE

### *Reclassification Of Area Shown On Map Number 1-E.*

*Be It Ordained by the City Council of the City of Chicago:*

SECTION 1. That the Chicago Zoning Ordinance be amended by changing all the Institutional Planned Development Number 3, as amended and DX-12 Downtown Mixed-Use District symbols and indications as shown on Map 1-E in the area bounded by:

East Chicago Avenue; North Lake Shore Drive; East Huron Street; North Fairbanks Court; East Erie Street; a line 328 feet east of North Fairbanks Court; East Ontario Street; a line 206 feet west of and parallel to North Fairbanks Court; a line 138.19 feet north of and parallel to East Ontario Street; a line 220 feet west of and parallel to North Fairbanks Court; East Erie Street; North St. Clair Street; East Superior Street; a line 571 feet west of North Fairbanks Court; a line 143 feet north of East Superior Street; and a line 466 feet west of North Fairbanks Court

to those of Institutional Planned Development Number 3, as amended, which is hereby established in the area described above, subject to such use and bulk regulations as are set forth on the Plan of Development herewith attached and made a part hereof and to no others.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

#17318

INT. DATE:

7-28-11

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone: 237-259 E. Erie Street; 628-648 N. Fairbanks Court; 238-258 E. Ontario Street; and Institutional Planned Development No. 3 ("IPD3"), as amended. See Exhibit A for list of addresses in area.

2. Ward Number that property is located in: 42

3. APPLICANT Northwestern University\* c/o Scott Saef, Sidley Austin LLP

ADDRESS One South Dearborn CITY Chicago

STATE IL ZIP CODE 60603 PHONE 312-853-4159

EMAIL ssaef@sidley.com CONTACT PERSON Scott Saef

\*All of the land within IPD3 as amended is owned, or for zoning purposes, controlled by 4. Is the applicant the owner of the property? YES X NO X Northwestern University.

If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

Table with 4 columns: OWNER, ADDRESS, STATE, ZIP CODE, PHONE. Rows include Northwestern University, Northwestern Memorial Hospital, and The Children's Memorial Hospital.

EMAIL CONTACT PERSON

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Neal & Leroy, LLC attn: Carol D. Stubblefield\*\*

ADDRESS 203 N. LaSalle Street, 2300

CITY Chicago STATE IL ZIP CODE 60601

PHONE 312-641-7144 FAX 312-641-5137 EMAIL cstubblefield@nealandleroy.com

\*\*The primary purpose of this Application is to expand the boundaries of IPD3 by adding new property wholly owned by Northwestern Memorial Hospital ("NMH") for construction of an NMH project. Per consent of Northwestern University, primary contact for this Application is Neal & Leroy, LLC, attorneys for NMH.

6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

Applicant is an Illinois corporation. No owners.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

7. On what date did the owner acquire legal title to the subject property? Various dates

8. Has the present owner previously rezoned this property? If yes, when?  
IPD3: Yes, 1962, 1975, 1993, 2001, 2008.  
237-259 E. Erie; 628-648 N. Fairbanks; 238-258 E. Ontario: No

9. Present Zoning District IPD3; DX-12 Proposed Zoning District IPD3, as amended

10. Lot size in square feet (or dimensions) 46,094 sf (new sub-area); 1,002,185 sf (total PD)

11. Current Use of the property Institutional and accessory uses

12. Reason for rezoning the property Mandatory planned development review and approval by expansion of IPD3 boundary; 17-8-0515-A of Chicago Zoning Ordinance

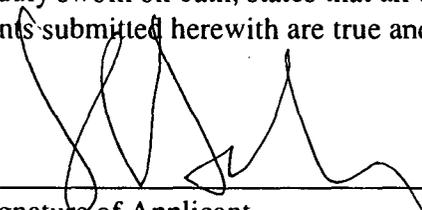
13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)  
The primary purpose of this Application is to facilitate construction by NMH of a new outpatient care pavilion with clinical and doctors' offices. There will be retail space, 575 off-street parking spaces, and the proposed height of the building is approximately 380 feet.

14. On May 14<sup>th</sup>, 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information)

YES \_\_\_\_\_ NO X \_\_\_\_\_

COUNTY OF COOK  
STATE OF ILLINOIS

Eugene Sunshine, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

*EW*  
  
\_\_\_\_\_  
Signature of Applicant

Subscribed and Sworn to before me this  
19th day of July, 2011.

Maria A. Delgado  
\_\_\_\_\_  
Notary Public



For Office Use Only

Date of Introduction: \_\_\_\_\_

File Number: \_\_\_\_\_

Ward: \_\_\_\_\_

Exhibit "A".

Address Boundaries of Institutional Planned Development  
Number 3, As Amended

<p>N. St. Clair St. 659 – 679 701 – 721</p>	<p>N. Fairbanks Ct. 629 – 649 701 - 755 628 – 648 658 - 754</p>
<p>E. Superior St. 200 – 428 201 - 433</p>	<p>E. Erie St. 200 – 258 237 - 259 301 - 331</p>
<p>E. Chicago Ave. 213 – 257 301 – 363 369 – 385</p>	<p>E. Ontario St. 238 – 258 300 – 332</p>
<p>N. Lake Shore Drive 700 – 722 732 – 760</p>	
<p>E. Huron St. 201 – 259 200 – 442</p>	

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PLAN OF DEVELOPMENT – STATEMENTS

1. The area delineated herein as Institutional Planned Development Number 3, as amended (1962, 1975; 1993, 2001, 2008, 2011), consists of approximately 1,002,185 square feet, which is 23.01 acres of property and is depicted on the attached Planned Development Boundary, Property Line and R.O.W. Map (the "Property"). Title to the Property is owned by Northwestern University (herein referred to as "N.U." or the "Applicant"), Northwestern Memorial Hospital or its affiliates (hereinafter referred to as "N.M.H."), Children's Memorial Hospital ("CMH"), or their respective related entities, and, for the purposes of Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011), controlled for zoning purposes by N.U. All required disclosures are contained within the Economic Disclosure statements filed with the City of Chicago in accordance with the applicable requirements.
2. All applicable official reviews, approvals or permits are required to be obtained by the Applicant.
3. The requirements, obligations and conditions contained within Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011), shall be binding upon the Applicant, its successors and assigns, and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time applications for amendments, modifications or changes (administrative, legislative or otherwise) to this Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011), are made, shall be under single ownership, single control or single designated control. Single control and single designated control for purposes of this paragraph shall mean that any application to the City for any amendment to this Institutional Planned Development Number 3, as amended (1962, 1975; 1993, 2001, 2008, 2011), or any other modification or change thereto (administrative, legislative or otherwise) shall be made or authorized by N.U. However, nothing herein shall prohibit or in any way restrict the alienation, sale or any other transfer of all or any portion of the Property or any rights, interests or obligations therein.
4. The property within the Institutional Planned Development Number 3 boundaries, as amended (1962, 1975, 1993, 2001, 2008, 2011) is divided into seven (7) subareas as depicted on the Planned Development Boundary, Property Line and R.O.W. Map.
5. This plan of development consists of 18 statements; an Existing Land-Use Area Plan; a Planned Development Boundary, Property Line and R.O.W. Map; an Existing Zoning Map; a Generalized Land Use and Sub-Area Map; a Bulk Data Table; the following plans pertaining to the N.M.H. outpatient care pavilion project ("OCP Project") prepared by OWPP Cannon Design dated July 28, 2011: Overall Site Plan, Detailed Site Plan, Pedestrian Overpass Plan, Landscape and Green Roof Plan, North Elevation, East

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Elevation, West Elevation and South Elevation; and the following CMH plans and documents (such plans having been prepared by Zimmer Gunsul Frasca Architects LLP dated December 13, 2007): Overall Site Plan, Detailed Site Plan, Pedestrian Overpass Plan, Landscape Plan, Typical Streetscape Section, South Elevation, West Elevation, North Elevation, East Elevation and Roof Plan; the Helicopter Approach Diagram; Heliport Review Committee Guidelines; and Heliport Safety Plan, all approved as part of the 2008 amendment of the Planned Development and published in the February 6, 2008 Journal of Proceedings of the City Council of the City of Chicago on pages 21279-21319. The following exhibits and documents are also incorporated into the Plan of Development by reference: (1) Superior Garage Site Plan; Erie/Fairbanks Garage Site Plan; Superior Garage Landscape Plan; an Erie/Fairbanks Garage Landscape Plan; North, South and West Elevations of the Huron-St. Clair Parking Facility (the "Superior Garage"); North, South and West Elevations of the Erie-Ontario Parking Facility (the "Erie-Ontario parking structure") and Traffic Management Plan, all approved as part of the 1993 amendment of the Planned Development and published in the June 23, 1993 Journal of the Proceedings of the City Council of the City of Chicago on pages 34589 through 34641; (2) Subarea E Inpatient Hospital and Medical Office Building plans for which Interim Stage Part II approval was granted by the Department of Planning and Development on June 14, 1995; (3) the following Erie Street Parking Structure plans prepared by Walker Parking Consultants and Ross Barney & Jankowski dated March 15, 2001: Site Plan, Street Level Planting Plan, South Elevation, West Elevation, North Elevation, Perspective, Top Tier Plan, Roof Top Planting Plan, New Parking Structure Typical Planter Box Planting Plan, all approved as part of the 2001 amendment of the Planned Development and published in the March 28, 2001 Journal of the Proceedings of the City Council of the City of Chicago on pages 56488 through 56521; and (4) the TMP placed on file by the Applicant from time to time as referenced in Statement 12(f). These and no other zoning controls shall apply to the Property. This Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011), conforms to the intent and purpose of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, and all requirements thereof, and satisfies the established criteria for approval as a Planned Development.

6. The following uses shall be permitted on the Property subject to the restrictions in Statement 12 and in the Bulk Data Table:

Subarea A: Educational, Residential, Research and Medical, Dental and Legal Offices.

Subarea B: Educational, Hospital, Institutional, Residential, Research, Medical and Dental Uses.

Subarea C: Educational, Hospital, Heliport, Residential, Professional Offices, Research, Medical and Dental Uses.

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- Subarea D: Educational, Hospital, Research, Medical, Dental, Accessory Parking and Non-Accessory Parking.
- Subarea E: Hospital, Professional Offices, Research, Medical and Accessory Parking Uses.
- Subarea F: Accessory Parking, Non-Accessory Parking, Public Transit, Commercial and Institutional Uses.
- Subarea G: Hospital, Professional Offices, Ambulatory, Research, Medical, Commercial, Accessory Parking and Institutional Uses.

Residential shall be defined as temporary housing for family members of faculty, students, patients or staff, medical and nursing staff, students and visiting faculty, guest rooms for patients and visitors, outpatient care facilities, and other residential uses connected to the primary missions of CMH, N.M.H., the Rehabilitation Institute of Chicago, and Northwestern University. All other residential uses will require an amendment to this Planned Development.

Day care centers (adult and child) shall be permitted in all subareas, as shall accessory uses, including ground-floor and other accessory retail.

7. Temporary signs such as construction and marketing signs, on-premise and other necessary signs shall be permitted within this Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011) subject to the review and approval of the Department of Housing and Economic Development (“DHED”).
8. Any dedication or vacation of streets, alleys or easements in the public way or any adjustment of rights-of-way shall require a separate submittal on behalf of the Applicant and approval by the City Council.
9. Any service drive or other ingress or egress shall be adequately designed and paved in accordance with the regulations of the Chicago Department of Transportation (“CDOT”) in effect at the time of construction and in compliance with the Municipal Code of the City of Chicago, to provide ingress and egress for motor vehicles, including emergency vehicles. The plan for vehicular ingress and egress shall be subject to the review and approval of CDOT and DHED. All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation "Construction Standards for Work in the Public Way" and the “Street and Site Design Standards,” and in compliance with the Municipal Code. Closure of all or any part of any public street or alley during demolition or construction shall be subject to the review and approval of CDOT and the Office of Emergency Management and Communications (“OEMC”).
10. In addition to the maximum height of any proposed buildings or any appurtenance thereto prescribed in this Institutional Planned Development Number 3, as amended (1962, 1975,

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1993, 2001, 2008, 2011), the height of any improvements shall also be subject to height limitations approved by the Federal Aviation Administration.

11. For purposes of maximum floor area ratio (F.A.R.) calculations, the definitions in the Chicago Zoning Ordinance shall apply; provided, however, that in addition to the other exclusions from floor area for purposes of determining F.A.R. permitted by the Chicago Zoning Ordinance, all floor area devoted to mechanical equipment in excess of one thousand (1,000) square feet in a single location, regardless of placement in the building, shall be excluded.
12. The improvements on the Property, including the on-site exterior landscaping, the landscaping along the adjacent rights-of-way and all entrances and exits to and from the parking and loading areas, shall be designed, constructed and maintained in substantial conformance with the Plans, Maps, Site Plans and exhibits described in Statement 5. In addition, the improvements on the Property shall be subject to the following specific regulations:
  - (a) Landscaping and Lighting: Any parkway trees shall be installed and maintained in general accordance with the Site Plans and Elevations attached hereto, and the parkway tree planting provisions of the Chicago Landscape Ordinance and corresponding guidelines and regulations. A landscaped pedestrian connection shall be provided adjacent to the Subarea D parking garage in the locations depicted on the Landscape Plan for this garage approved as part of the 1993 Planned Development amendment. Such pedestrian connection shall be graded, planted (with grass and trees or ornamental plantings) and lit. Planter boxes have been installed as indicated on the Building Elevations of the Erie-Ontario parking structure and maintained during growing season. Planter boxes shall not be required to be installed on the existing portion of the Erie-Ontario parking structure until construction of the addition to the Erie-Ontario parking structure contemplated by this planned development amendment has been completed.
  - (b) Loading: Off-street loading shall be provided in accordance with Statement 12(f) and Site Plans approved as part of the 1993 and the 2001 Planned Development amendments.
  - (c) Parking: Parking spaces required under this Planned Development may be designed to accommodate compact cars. Notwithstanding anything to the contrary in the Chicago Zoning Ordinance, such compact car parking spaces may be less than eight feet wide, but no less than seven and one-half (7½) feet wide. Up to 75 neighborhood residential parkers will be accommodated in the Sub-area F garage at market rates on a monthly basis. A minimum of two percent (2%) of spaces shall be designed and designated for use by persons with disabilities.

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- (d) Circulation: Parking space layout, loading access, private roadway vehicular circulation routes, private pedestrian circulation routes, parking structure operational design and the location and design of curb-cuts at public streets shall be designed and constructed in substantial accordance with the Site Plans attached hereto or approved as part of the 2001 and 2008 Planned Development amendments. A private north/south, mid-block pedestrian connection shall be provided as depicted on the Superior Garage Landscape Plan approved as part of the 1993 Planned Development amendment.
  
- (e) Pedestrian Overpasses and Tunnels: Any pedestrian overpass or tunnel affecting the public way shall be designed and constructed in substantial conformity with the Site Plans attached hereto or approved as part of the 2008 Planned Development amendment, including the Pedestrian Overpass Plans (2008 and 2011), Generalized Land-Use Plan (2008) and Generalized Land-Use and Sub-Area Map (2011). Removal of the existing double-decked skybridge over Superior Street shall commence during the demolition of the existing Wesley hospital building within sub-area C and shall be diligently pursued to completion thereafter. Removal of the existing upper level of the skybridge over Fairbanks Court shall commence during the demolition of the existing Passavant hospital building within sub-area B and shall be diligently pursued to completion thereafter. Notwithstanding anything to the contrary in the prior sentence, removal of the third and sixth level skybridges over Superior Street and removal of the sixth level skybridge over Fairbanks Court shall commence no later than December 31, 2001. As is indicated on the Pedestrian Overpass Plan (2001) and Generalized Land Use Plan (2001), the Applicant shall be permitted to relocate and rebuild the existing third level skybridge which extends over Fairbanks Court from sub-area D to sub-area B.
  
- (f) Traffic management and mitigation
  - (1) Traffic Management Plan. The Applicant shall be responsible for continuing implementation of certain operational controls over parking and traffic activity associated with the Planned Development. These operational controls shall be as set forth in the Traffic Management Plan ("TMP") which shall be deemed an integral part of this Planned Development. The Applicant's compliance with the TMP shall be a requirement of Institutional Planned Development No. 3, as amended (1962, 1975, 1993, 2001, 2008, 2011). The Applicant specifically agrees to review and update the TMP and submit same for review by CDOT and OEMC prior to issuance of Part II approval for the superstructure of the CMH hospital, and to finalize the TMP within six months of submission of the draft subject to approval by DPD, CDOT and OEMC. The amended TMP shall describe any new, existing or modified measures that the Applicant shall implement to exercise management and operational control over parking and traffic activity, including but not limited to:

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- a. Private shuttle services,
- b. Coordination with public transit agencies concerning campus service and promotion of public transit alternatives,
- c. Parking operational policies to promote efficient traffic flows within the Planned Development,
- d. Promotion of facilities to actively encourage bicycle usage,
- e. Off-street loading operational policies, including restrictions on deliveries during certain hours,
- f. Active transportation management activities and usage of Traffic Control Aides,
- g. Statements of traffic-related planning principles that the Institutions commit to following in the redevelopment of institutionally-controlled properties within the Planned Development, and
- h. Valet parking service operational policies.

In order to assure the effectiveness of the TMP, prior to March 31st of each year following occupancy of the CMH hospital within Subarea C by inpatients (expected June 9, 2012)(the "CMH Occupancy Date"), the Applicant shall submit to the Commissioner of CDOT and the Executive Director of OEMC (with a copy to the Commissioner of DHED) a report describing the past year's traffic and parking activity and assessing the effectiveness of the various provisions of the TMP, and any recommended modifications thereto.

(2) Traffic Control Aides.

OEMC has determined that the posting of Traffic Management Authority Control Aides("Aides") would help traffic operations at key intersections within and adjacent to the Planned Development during the AM and PM peak hours and allow for strict enforcement of both curbside regulations and parking restrictions. Accordingly, the Applicant agrees, during the period of construction of the CMH hospital, to compensate the City, at the generally applicable part-time Aide rate, for the cost of 6 Aides posted within or adjacent to IPD3 as determined necessary by OEMC. The Applicant further agrees, from the date that the CMH hospital is occupied, to compensate the City for the cost of 12 Aides posted within or adjacent to the Planned Development as determined necessary by OEMC. The compensation will be based on actual

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Aide assignment to intersections or streets (if a roving Aide) within or adjacent to the Planned Development.

The payment structure by which the Applicant compensates the City will be determined by the Office of Budget and Management and OEMC. At a minimum, the Applicant agrees to enter into a Grant-based Intergovernmental Agreement (“IGA”) with OEMC to provide the payment for the Aides. The first payment will be made prior to issuance of the first building permit for the CMH hospital and will be pro-rated for the remaining number of months in that year. On January 1<sup>st</sup> of the following year, the Applicant will provide the next payment, and each January 1<sup>st</sup>, thereafter. The IGA will be reviewed annually to determine if the grant amount needs to be modified based on changes in wages or staffing.

The Applicant may request the City’s approval of changes to this Statement, including specifically the number of Aides and the amount of the Applicant’s payment obligation based on evidence of changed circumstances. Such evidence shall include, as appropriate, traffic analyses and other technical data. The City agrees at a minimum, based on Applicant-supplied traffic analyses, OEMC/CDOT data and analyses and other evidence, to review the appropriateness of the Applicant’s Aide payment obligation on the earlier to occur of (1) the date of the next requested comprehensive amendment of this Planned Development; or (2) the two-year anniversary of the CMH Occupancy Date.

### (3) Pedestrian Countdown Signals

The Applicant has reimbursed the City for the cost to retrofit existing traffic signals with up to 44 pedestrian countdown signals at the following locations:

- Lake Shore Drive -Chicago (2 each)
- Chicago-Fairbanks (4 each)
- Mies Van Der Rohe-Chicago (6 each)
- Huron-St. Clair (8 each)
- Huron-Fairbanks (8 each)
- Erie-Fairbanks (8 each)
- Ontario-Fairbanks (8 each)

The City has completed the retrofitting of the pedestrian countdown signals.

### (4) Additional Traffic and Street Improvements

(A) McClurg Court: The Applicant has completed to the satisfaction of CDOT and OEMC a traffic engineering study of the conversion of McClurg Court between Ohio and Ontario from one-way northbound to either one-way

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southbound or two-way. The study assessed the traffic impacts and benefits of each alternate and included assessments of intersection operations in the area bounded by McClurg, Ontario, Fairbanks, and Illinois. The Applicant will provide a preliminary plan of the preferred alternative should such an alternative be determined by the City.

The Applicant shall compensate the City for all costs associated with the conversion of the direction of McCourt Court within its existing right-of-way, including design, traffic signal modifications, signage, pavement markings and movement, if any, of parking meters. The City will use reasonable efforts to complete the work at such time prior to the CMH Occupancy Date as mutually agreed by the Applicant and the City.

(B) Restriping of Chicago Avenue and Superior Street: The Applicant will implement pavement marking and signage changes in substantial conformance with the restriping plans for Chicago Avenue between Fairbanks and Lake Shore Drive as shown in a conceptual sketch previously submitted to CDOT and OEMC and attached to this Planned Development and for Superior Street between St. Clair and Fairbanks as shown in the CMH Site Plan no later than the CMH Occupancy Date. Prior to doing this work, the Applicant will submit permit applications with more detailed drawings as required by CDOT and OEMC.

(C) Chicago-Fairbanks Curb Radius: The Applicant has widened the southwest corner curb radius of the Chicago and Fairbanks intersection, including relocation or modification of traffic signal poles.

(D) Valet parking and Car Retrieval: If a valet parking option is offered at the CMH hospital within sub-area C, such option may only be available for emergencies and to persons with disabilities. The Applicant agrees that in such operation, vehicles must be dropped off at the front entry to CMH in the planned internal driveway and must be retrieved by the CMH patron/visitor within the Huron-Superior garage.

(E) Traffic signal warrant study: The Applicant shall compensate the City for all costs associated with a study to be performed by OEMC one year after the CMH Occupancy Date to determine whether traffic signals are warranted at the intersection of Superior Street and St. Clair Street and at the intersection of Superior Street with the CMH and Prentice Hospitals exit drive and the entrance/exit of the Superior Parking Garage. If the OEMC study determines that traffic signals are warranted at the intersection of Superior Street and St. Clair Street, the City will use reasonable efforts to install traffic signals at said intersection and the Applicant shall compensate the City for all costs associated with the design and improvement of the intersection with traffic signals. If the OEMC study determines that traffic signals are warranted at the intersection of

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Superior Street with the CMH and Prentice Hospitals exit drive and the entrance/exit of the Superior Parking Garage, the Applicant will, at its sole cost, provide detailed engineering drawings, secure all necessary permits, and complete all work associated with the improvement of the intersection with traffic signals, including but not limited to, striping, signage and accessible pedestrian crosswalk and ramps within one year of the City's determination that the signals are warranted. Future traffic signals and signage within the Planned Development will be considered during review of future applications for site plan review and amendments to the Planned Development.

(F) Chicago-Mies intersection: The Applicant will provide fully complete and detailed engineering drawings and a permit application for traffic signal, pavement marking and signage changes at the intersection of Chicago Avenue and Mies Van Der Rohe Way, including the entrance driveway to Children's Memorial Hospital-Prentice Women's Hospital, and will complete the work prior to the CMH Occupancy Date. The design of the intersection shall conform substantially to that shown on the CMH Site Plan incorporated herein.

(g) Modal split/transit outreach report: As of the date hereof, the Applicant has submitted to the City a report describing the means of transportation used by patrons to reach the Applicant's facilities within the Planned Development and, specifically, what percentage of patrons (identified by patron type —e.g., students, professional employees, staff, visitors — and transportation means — e.g., public transit, private shuttles, taxicabs, etc.) reach such facilities by means other than private, single-occupied passenger vehicles. Such report also identified efforts undertaken by the Applicant, including, but not limited to, promoting of the availability of campus shuttles and trolleys to employees and visitors, incentives offered to employees for public transit usage and outreach efforts — including informational postings — to encourage such patrons to reach the Applicant's facilities by means other than private, single-occupied passenger vehicles. Such report shall be updated by the Applicant every three (3) years and may be conducted using generally accepted statistical sampling techniques. The Applicant shall use its good faith efforts to require that owners of property within the Planned Development other than Applicant participate with the Applicant in the production of the report described herein.

(h) Heliport: In addition to the uses specified in Statement 6 for Subarea C, the roof of the CMH hospital may be used for an emergency medical helicopter heliport (the "Landing Pad") to receive pediatric patients and organs (organs only when the CMH patient is in a critical life-threatening condition) being transported under the following conditions:

(1) Helicopter transports will be used only for medical and surgical emergencies in cases where there is notification of a primary need for pediatric treatment of

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CMH patients. Any change to the use set forth in this sub-part must be approved by means of an amendment to the Planned Development.

(2) Helicopter transports to or from CMH will be made only during the following circumstances:

(A) When patients require immediate transport for surgery or medical care in an intensive care unit;

(B) When the patient meets the medical criteria stated above and when travel distance is more than 40 miles away; or less than 40 miles away, but ground traffic or weather conditions would delay the transport and threaten the life of the patient.

(3) The decision to transfer a patient to CMH by air or ambulance will strictly remain a decision between the referring physician, the CMH Transport Team and their Medical Control.

(4) CMH will not own or operate a helicopter transport business and will not base, store, fuel or service (except in the case of a mechanical emergency) a helicopter at its Landing Pad.

(5) CMH follows the operational criteria for its use of the Landing Pad set forth in the attached Heliport Safety Plan.

(6) The Landing Pad meets the design requirements set forth in the attached Heliport Safety Plan.

(7) CMH establishes and maintains a Heliport Review Committee consistent with the attached Heliport Review Committee guidelines.

(8) CMH will submit all required documentation to the State of Illinois Department of Transportation Division of Aeronautics in order for that agency to review and, if the appropriate regulations are met, to issue the appropriate permit for utilization of this heliport.

- (i) The Applicant shall provide by the earlier of (a) the CMH Occupancy Date; or (b) December 31, 2012 (the "Parking Expansion Date"), subject to delays caused by acts of nature, labor disturbances or other causes outside of the reasonable control of the Institutions, additional off-street accessory parking capacity of not less than 1,100 spaces of which not less than 800 spaces will be spaces in a newly constructed parking facility within the Campus Area (the "New Parking Facility") and the balance of which may be provided by securing off-street parking rights (collectively, the "2012 Parking Commitment") potentially in the form of a long-term lease. It is acknowledged that the provision of this additional peak parking

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supply is in the public interest and appropriate for development within the Campus Area. The Campus Area is that area bounded by Chicago Avenue, Lake Shore Drive, Illinois Street and Michigan Avenue.

The extent to which the number of parking spaces provided in the New Parking Facility is less than 1,100 shall be described as the "Additional Spaces." The Additional Spaces shall, to the extent located in whole or in part within the area bounded by Ontario Street on the south, Lake Shore Drive on the east, Chicago Avenue on the north and Michigan Avenue on the west (the "Existing Facility Exclusion Area"), be located only in a newly constructed parking facility or facilities. Additional Spaces may be located in parking facilities existing as of the date of approval of the 2008 planned development amendment outside the Existing Facility Exclusion Area if the Applicant can reasonably demonstrate to the Commissioner of DHED that such parking spaces proposed to be used to accommodate the Additional Spaces are vacant. Any such Additional Spaces will only be occupied by parties associated with the institutions located within the Planned Development other than patients or visitors of patients.

In furtherance of the 2012 Parking Commitment, the Applicant agrees to comply with the following milestones concerning the New Parking Facility and Additional Spaces: (i) provision of written notice to the Commissioner of the Department of Planning & Development and CDOT not later than March 31, 2009 identifying the specific sites on which the New Parking Facility will be built and where the Additional Spaces, if any, will be located, including the provision of a letter of intent or actual lease agreement that long-term rights to the Additional Spaces are feasible; (ii) submission to the City of appropriate land use approval applications (in the form of a new Planned Development application, amendment to this Planned Development or Site Plan Approval) concerning the New Parking Facility not later than June 30, 2009; and (iii) submission of an application to the Department of Construction and Permits for a building permit for the New Parking Facility not later than January 1, 2010, subject to prior receipt from the City of required land use approvals.

- (j) Fairbanks Court elevation of Erie-Ontario Parking Structure: It is hereby acknowledged that the Applicant has proposed the construction of an addition to the Erie-Ontario parking structure which is to be naturally ventilated and that there shall be no requirement in this planned development that would require the Applicant to provide ventilation other than that naturally occurring. The Applicant has agreed to fully glaze the openings in the Fairbanks Court (West) Elevation of the parking structure addition. Should the proposal for full glazing of such West Elevation fail to meet the requirements of the City of Chicago for continued natural ventilation of the parking structure addition, the Applicant shall be permitted to substitute for a portion (or all of) the glazing grills, open air screening panels or other architectural elements to avoid mechanical ventilation

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requirements. The amount of glazing to be eliminated under such circumstances shall be the minimum necessary to provide for continued natural ventilation of the parking structure. Prior to making such substitution, the Applicant shall submit a proposed revised West Elevation to DHED for its review and approval, such approval not to be unreasonably withheld.

13. Future improvements within this Planned Development to which Statement 15 applies shall be designed, constructed and maintained in general conformance with the design standards set forth as follows:

(a) **Building Character and Scale.** Buildings will be designed so that they are compatible with the existing Northwestern Campus buildings. Scale, massing, articulation, setbacks, materials, color, texture, lighting, fenestration and other architectural devices will be used to avoid a monotonous and blank appearance. Each building's mass will be designed in such a way as to distinguish its uses and constituents. Exterior walls visible from any public way shall be designed and constructed to avoid a monotonous and blank appearance through the use of texture and detail on windows, openings, projections, recesses, offsets or other architectural devices. Special attention shall be given to achieve an interesting building design at the pedestrian level through landscape elements, articulation of surface forms and texture, expression of the structural rhythm and architectural detail. Further, to the extent active uses within the building are located toward the periphery, where appropriate, windows and entrances are encouraged at grade level along the public way. Further, established circulation and public space patterns at street level shall be respected and architectural datums such as cornices, fenestration and setbacks that are present in the immediate vicinity shall be recognized in the design of the building.

(b) **Roof Tops.** Each building crown shall be distinctive and designed to integrate fully and screen all mechanical systems customarily placed on rooftops within an architectural enclosure. Mechanical equipment will be concealed or integrated into the architectural design.

(c) **Horizontal Projections.** Horizontal projections (such as balconies, loggias or terraces) shall be permitted within required building setbacks. Canopies, awnings, cornices and similar projections shall be allowed provided they do not in any way obstruct the public way.

(d) **Pedestrian Overpasses And Tunnels.** Pedestrian overpasses shall be designed to be as transparent and unobtrusive as possible and shall be compatible with the architectural style, color and materials of the existing Northwestern campus.

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(e) Lighting. Base level lighting shall address a variety of functions. More intense, but directed, lighting shall be provided at public entries, drop-offs, pedestrian ways, et cetera, for clarity and security purposes. Base-level facade and landscape lighting will be softer in nature. The use of lighting to highlight architectural features is encouraged; however, lighting shall not beam directly into windows of other buildings.

(f) Paving. Decorative paving patterns and materials are encouraged. However, paving materials shall be compatible with existing paving materials within the Northwestern Campus. All paving design shall comply with requirements for accessibility by disabled persons.

(g) Street Furniture. Light standards, sidewalks, curbing and flagpoles proposed within public spaces shall be compatible with the Northwestern Campus standard.

(h) Circulation. Buildings and uses shall be provided with vehicular and pedestrian access to a public roadway. Private roadway vehicular circulation and private pedestrian circulation routes, if any, and the location and design of any curb-cuts at public streets shall be designed and constructed to promote a safe, efficient, appropriate and beneficial design.

(i) Private Roadways. A private roadway shall mean any private drive or way located on private property which is designed and intended for use as vehicular access to uses located therein. Private roadways shall be designed and paved in compliance with the Municipal Code of Chicago to provide ingress and egress for motor vehicles, including emergency vehicles. Fire lanes, if required within the private roadways, shall be designed and paved to provide access and egress for emergency vehicles. No parking except for loading and unloading of pedestrians shall be permitted within such fire lanes. All private roadways shall be reasonably accessible to private pedestrian use but need not be made available to the general public. Any private roadways shall be designed and configured to provide direct and coherent pathways to public streets.

(j) Curb-cuts. Private roadways, driveways, entrances to off-street parking and to loading docks, and all other facilities requiring curb-cuts shall be located to minimize conflicts with on-street traffic and with pedestrian circulation. All such curb-cuts shall be constructed in accordance with the standards of the City of Chicago. No curb-cut shall be located within ten (10) feet of any other curb-cut. No automobile entrances shall be permitted on St. Clair Street.

14. Notwithstanding anything to the contrary contained in Section 17-13-0611 of the Chicago Zoning Ordinance, the terms, conditions and exhibits of this Planned Development may be modified administratively by the Commissioner of DHED upon the request of the

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Applicant and after a determination by the Commissioner that such a modification is minor, appropriate and consistent with the nature of the development of the Property contemplated herein. Any such modification shall be deemed a minor change in the Planned Development as contemplated by Section 17-13-0611 of the Chicago Zoning Ordinance.

15. Prior to issuance by DHED of a determination pursuant to Section 17-13-0610 of the Chicago Zoning Ordinance ("Part II approval") for any future development within this Planned Development, except as described in the following paragraph and except for alterations to existing buildings which do not increase their height or alter their footprints, a site plan for the proposed development shall be submitted to the Commissioner of DHED for approval. Site plan approval is intended to assure that specific development proposals conform with this Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011), and to assist the City in monitoring ongoing development. Such site plan need only include the area proposed for development or redevelopment, and immediately adjacent public rights-of-way, for which approval is being sought by the Applicant. Except as described herein, no Part II approval shall be granted until an applicable site plan has been approved.

The provisions of this Statement 15 shall not apply to: (i) any structures for which building permits have been issued as of the date of passage of the 2001 amendment of this Planned Development; and (ii) the development or redevelopment of any unbuilt structure for which an application for Part II approval has been submitted, including a site plan for all phases of a multi-phased project, and which is still pending, or for which a building permit has not yet been issued, as of the date of passage of the 2001 amendment of the Planned Development. In the case of a Part II submittal that is pending which seeks a building permit for the first of a multi-phased project, an exclusion from the requirements of this Statement 15 shall apply to all phases of such project, so long as a site plan for all phases of the project accompanies the Part II submittal for the first (1st) phase. Upon the issuance of a building permit for any project for which a Part II submittal has been made, the site plan included within such approved Part II submittal shall be deemed to be an integral part of this Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011).

After approval of a site plan by the Commissioner, the approved site plan may be changed or modified pursuant to the provisions of Statement Number 14 hereof. In the event of any inconsistency between an approved site plan and the terms of this Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011), in effect at the time of approval of such site plan or of the modifications thereto, the terms of this Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011), shall govern.

A site plan shall, at a minimum, provide the following information:

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- (a) boundaries of the development parcel or parcels;
- (b) building footprint;
- (c) dimensions of all setbacks;
- (d) location and depiction of all parking spaces (including relevant dimensions);
- (e) location and depiction of all loading berths (including relevant dimensions);
- (f) all drives, roadways and vehicular routes;
- (g) all landscaping (including species and size);
- (h) all pedestrian circulation routes and points of ingress/egress (including sidewalks);
- (i) all site statistics applicable to the development parcel or parcels including:
  - (1) floor area and floor area ratio as represented on submitted drawings;
  - (2) number of parking spaces provided;
  - (3) number of loading berths provided; and
  - (4) uses or development of parcels.
- (j) Parameters of the building envelope including:
  - (1) maximum building height; and
  - (2) setbacks and vertical setbacks, required and provided.

A site plan shall include such other information as may be necessary to illustrate conformance with this Institutional Planned Development Number 3, as amended (1962, 1975, 1993, 2001, 2008, 2011), including, without limitation, building elevations.

16. The Applicant acknowledges that it is in the public interest to design, construct and maintain all buildings in a manner which promotes and maximizes the conservation of natural resources. The Applicant agrees to a minimum of "LEED" (Leadership in Energy and Environmental Design) Basic Certification for the CMH hospital and OCP Project. Copies of these standards may be obtained from DHED. The Applicant also agrees to provide a vegetative ("green") roof system on a minimum of 25% of the net flat roof areas of all new buildings. "Net roof area" shall be defined as the total area of the roof

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minus any required perimeter setbacks, rooftop structures and roof-mounted equipment and mechanical penthouses required by the City Codes. A vegetative ("green") roof system of a minimum of 25% (12,117 square feet) of the net flat roof of the CMH hospital shall be installed and maintained. A vegetative ("green") roof system of a minimum of 25% (25,220 square foot) of the net flat roof of the OCP Project shall be installed and maintained.

17. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables, and maximizes universal access throughout the Property. Plans for all buildings and improvements on the property shall be reviewed and approved by the Mayor's Office for People with Disabilities ("MOPD") to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility. Plans for all buildings and improvements on the Property shall be reviewed and approved by MOPD to ensure compliance with applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility at the time of application for a building permit.
18. Unless substantial construction of the proposed improvements within Subarea G as contemplated in this Planned Development amendment have commenced within six (6) years following adoption of this Planned Development amendment, and are diligently pursued, then this 2011 Planned Development amendment shall expire as to the uncompleted portion; provided, however, that if the City Council amends the Chicago Zoning Ordinance to provide for a shorter expiration period which is applicable to all Planned Developments, then this 2011 Planned Development amendment shall expire upon the expiration of such shorter time period as provided by any such amendatory ordinance (the first (1<sup>st</sup>) day of which as applied to this Planned Development shall be the effective date of the amendatory ordinance). If this 2011 Planned Development amendment expires under the provision of this section, then the zoning of the portion of the property located within Subarea G which was added to this Institutional Planned Development Number 3 as a result of this 2011 amendment shall automatically revert to DX-12, while the remaining portion of Planned Development Number 3 shall revert to the 2008 Planned Development amendment.

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## *Bulk Data Table*

Sub-Area	Net Area	Max. Floor Area Ratio	Max. Percent of Land Coverage	Min. Periphery Setbacks	Min. Distance Between Bldgs.
A.	247,714 s.f. 5.69 acres	9.9	85%	6' along Chicago Ave. 22' along LSD	
B.	233,382 s.f. 5.36 acres	9.5	85%	20' along LSD	25' between Patient Windows
C.	141,404 s.f. 3.25 acres	13.25	90%	0' along Chicago Ave.	15' between Patient Windows
D.	130,982 s.f. 3.01 acres	2.4	90%	0'	
E.	130,994 s.f. 3.01 acres	18.5	97%	15' min. along St. Clair  15' min. along Fairbanks	
F.	71,615 s.f. 1.64 acres	.25	100%	0'	
G.	46,094 s.f. 1.06 acres	18.00	87.9%	15' min along Fairbanks	2'-0" to west interior prop. line plus 1'-3" from interior property line to adjacent building; total 3'-3" bldg. to bldg.
<b>Total</b>	<b>1,002,185 s.f. 23.01 acres</b>	<b>10.86</b>	<b>95%</b>		

Gross Site Area = Net Site Area (23.01 acres) + Public Right of Way (10.72 acres) = 33.73 acres

## ***Bulk Data Table (Page 2)***

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### **Maximum Permitted Floor Area Ratio**

(For Total Net Site Area): 10.86

### **Maximum Percent of Land Covered**

(For Total Net Site Area): 95%

### **Minimum Number of Off-Street**

**Parking Spaces** 2,814

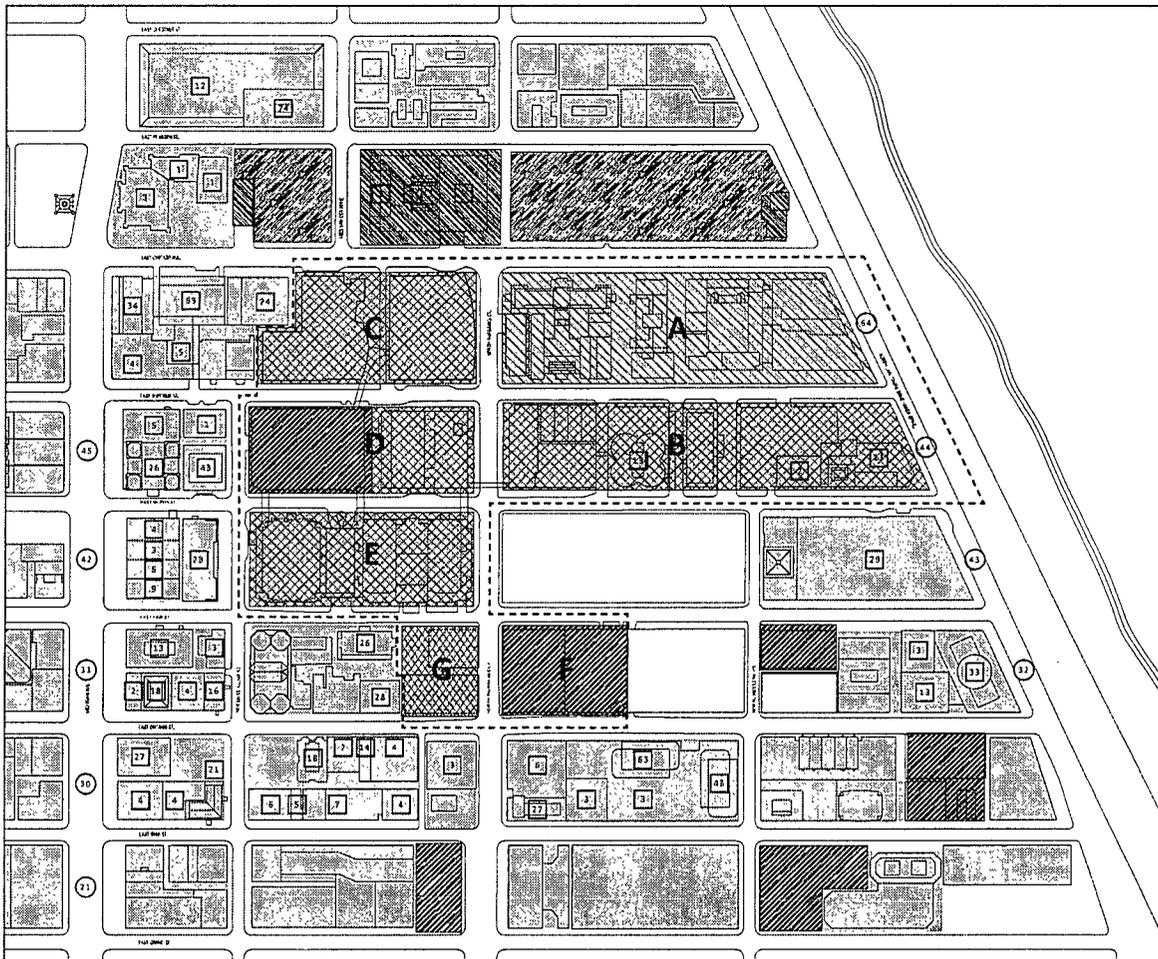
See Statement 12(i) for provisions related to the development of additional parking supply.

Minimum number of off-street loading spaces shall be provided in accordance with the Chicago Zoning Ordinance subject to review of the Department of Transportation and approval by the Department of Housing and Economic Development.

The above noted regulations relate to the ultimate development within the Planned Development Area. Interim stages of development may exceed these permitted standards, subject to approval of the Department of Housing and Economic Development.

The public parking structure located in Sub-Area "D" is assigned a 0.0 F.A.R. because it is intended to provide accessory parking for uses within the Planned Development and other medical uses related thereto. The parking and public transit uses within the public parking structure located in Sub-Area "F" are assigned a 0.0 F.A.R. because they are intended to provide accessory parking for uses within the Planned Development and other medical uses related thereto and to accommodate public transportation staging needs. A parking structure will be considered as an accessory parking facility if it provides a minimum of 50% of the spaces contained therein for accessory parking.

# EXISTING LAND USE AREA PLAN



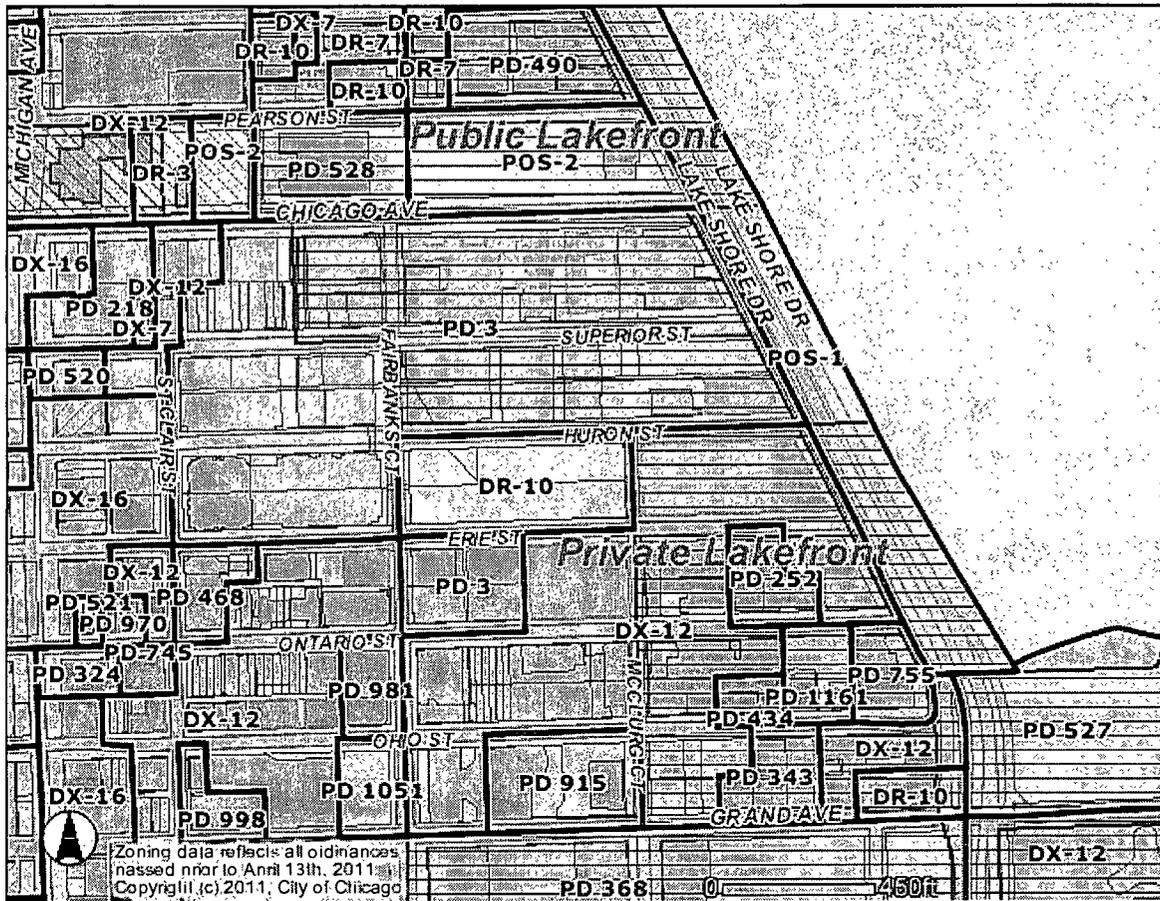
## LEGEND

- PLANNED DEVELOPMENT BOUNDARY
-  EDUCATIONAL, HOSPITAL, PROFESSIONAL OFFICE, INSTITUTIONAL RESIDENTIAL, RESIDENTIAL, DENTAL, MEDICAL, RESEARCH AND ACCESSORY USES
-  EDUCATIONAL, RESIDENTIAL, RESEARCH, MEDICAL, LEGAL AND ACCESSORY USES
-  PARKING AREA
-  PARK/OPEN SPACE
-  MIXED USE, RETAIL, OFFICE, HOTEL, RESIDENTIAL
-  PUBLIC USE
-  VACANT
-  BLOCK NUMBER
-  BUILDING STORIES



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# EXISTING ZONING MAP



Zoning data reflects all ordinances passed prior to April 13th, 2011.  
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## LEGEND

### Zoning

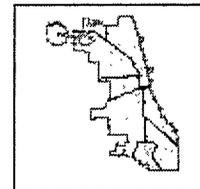
- Business
- Commercial
- Manufacturing
- Residential
- Planned Development
- Planned Manufacturing
- Downtown Core
- Downtown Service
- Downtown Mixed
- Downtown Residential
- Transportation
- Parks & Open Space
- Zoning Boundaries

### Historic Preservation

- CHICAGO LANDMARKS
- Chicago Landmarks
- Landmark Districts
- Chicago Historic Resources Survey - Buildings subject to Demolition-Delay Ordinance
- Red
- Orange
- City Boundary

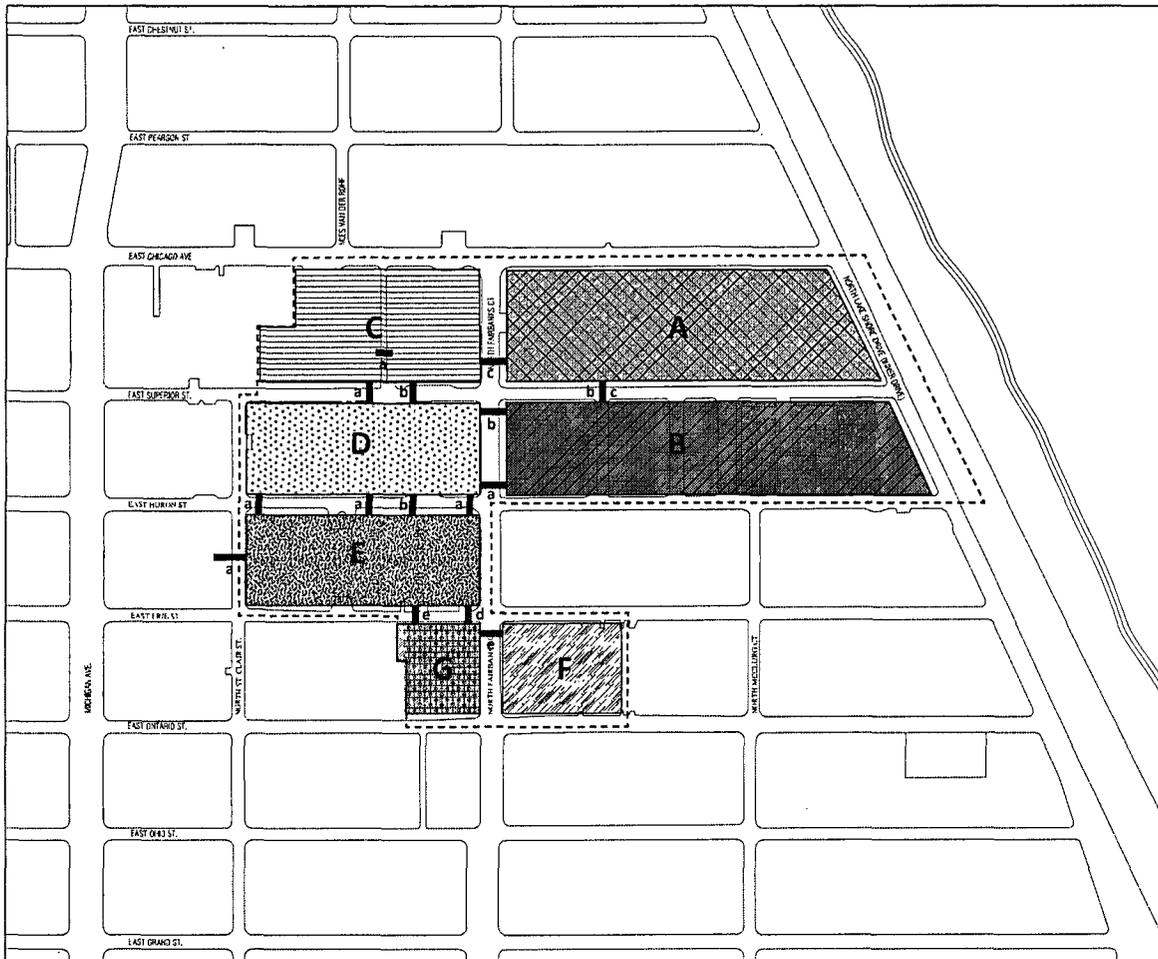
### Lakefront

- Lakefront
- Pedestrian Streets
- Streets
- Water
- Forest Preserve
- Cemetery
- Municipalities



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# GENERALIZED LAND USE AND SUB-AREA MAP



## LEGEND

----- PLANNED DEVELOPMENT BOUNDARY



SUBAREA A: EDUCATIONAL, RESIDENTIAL, RESEARCH AND MEDICAL AND LEGAL OFFICES



SUBAREA B: EDUCATIONAL, HOSPITAL, INSTITUTIONAL, RESIDENTIAL, RESEARCH, DENTAL AND MEDICAL USES



SUBAREA C: EDUCATIONAL, HOSPITAL, HELIPORT, RESIDENTIAL, PROFESSIONAL OFFICES, RESEARCH, MEDICAL AND DENTAL USES



SUBAREA D: EDUCATIONAL, HOSPITAL, RESEARCH, MEDICAL, DENTAL, ACCESSORY PARKING AND NON-ACCESSORY PARKING



SUBAREA E: HOSPITAL, PROFESSIONAL OFFICES, RESEARCH, MEDICAL AND ACCESSORY PARKING USES



SUBAREA F: ACCESSORY PARKING, NON-ACCESSORY PARKING, PUBLIC TRANSIT, COMMERCIAL AND INSTITUTIONAL USES



SUBAREA G: HOSPITAL, PROFESSIONAL OFFICES, AMBULATORY, RESEARCH, MEDICAL, COMMERCIAL, ACCESSORY PARKING AND INSTITUTIONAL USES.

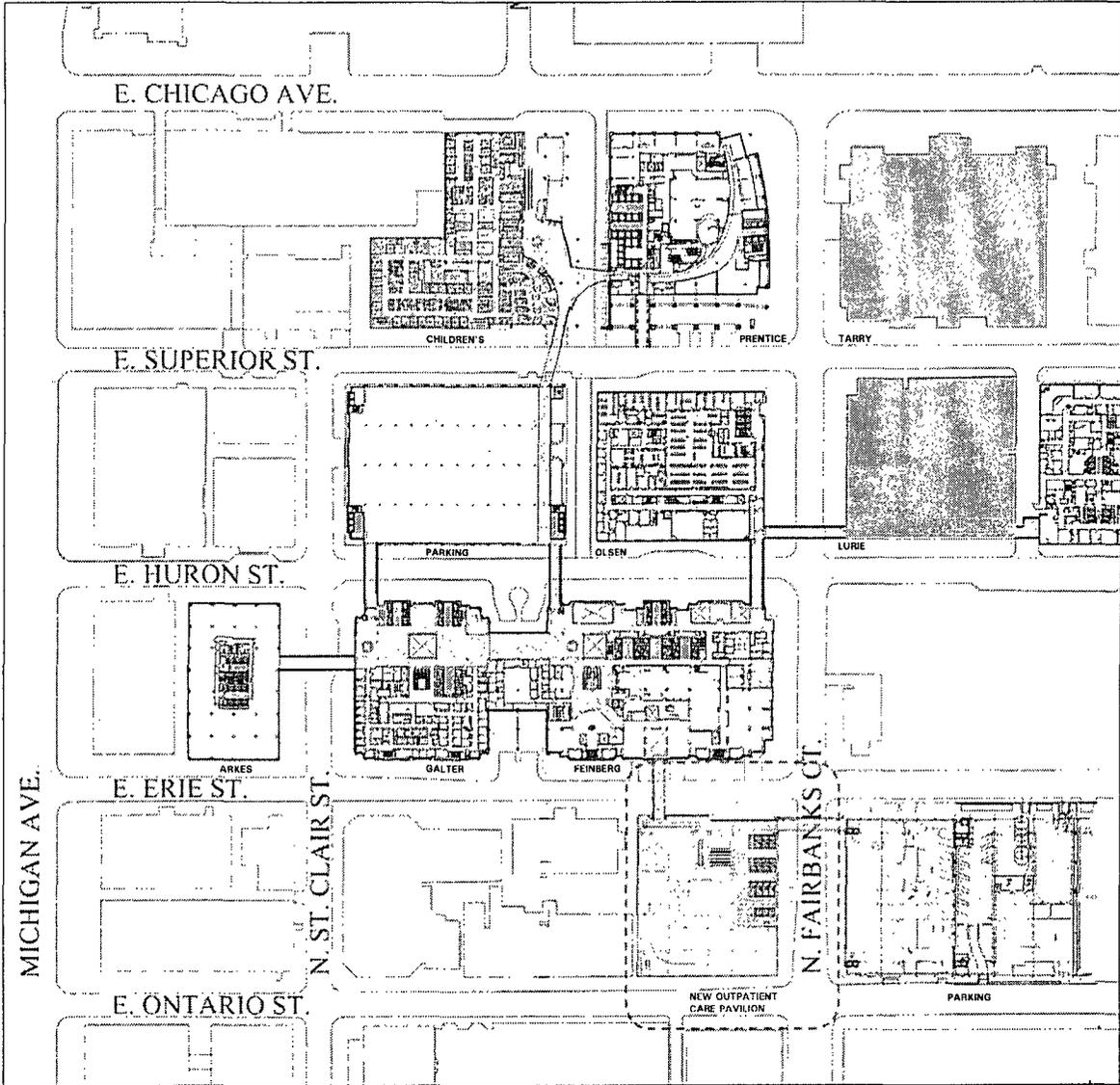
EXISTING AND PROPOSED STREET OVERPASSES AND TUNNELS AS FOLLOWS

- a: EXISTING OVERPASS
- b: EXISTING TUNNEL
- c: EXISTING STEAM TUNNEL
- d: PROPOSED TUNNEL
- e: PROPOSED OVERPASS



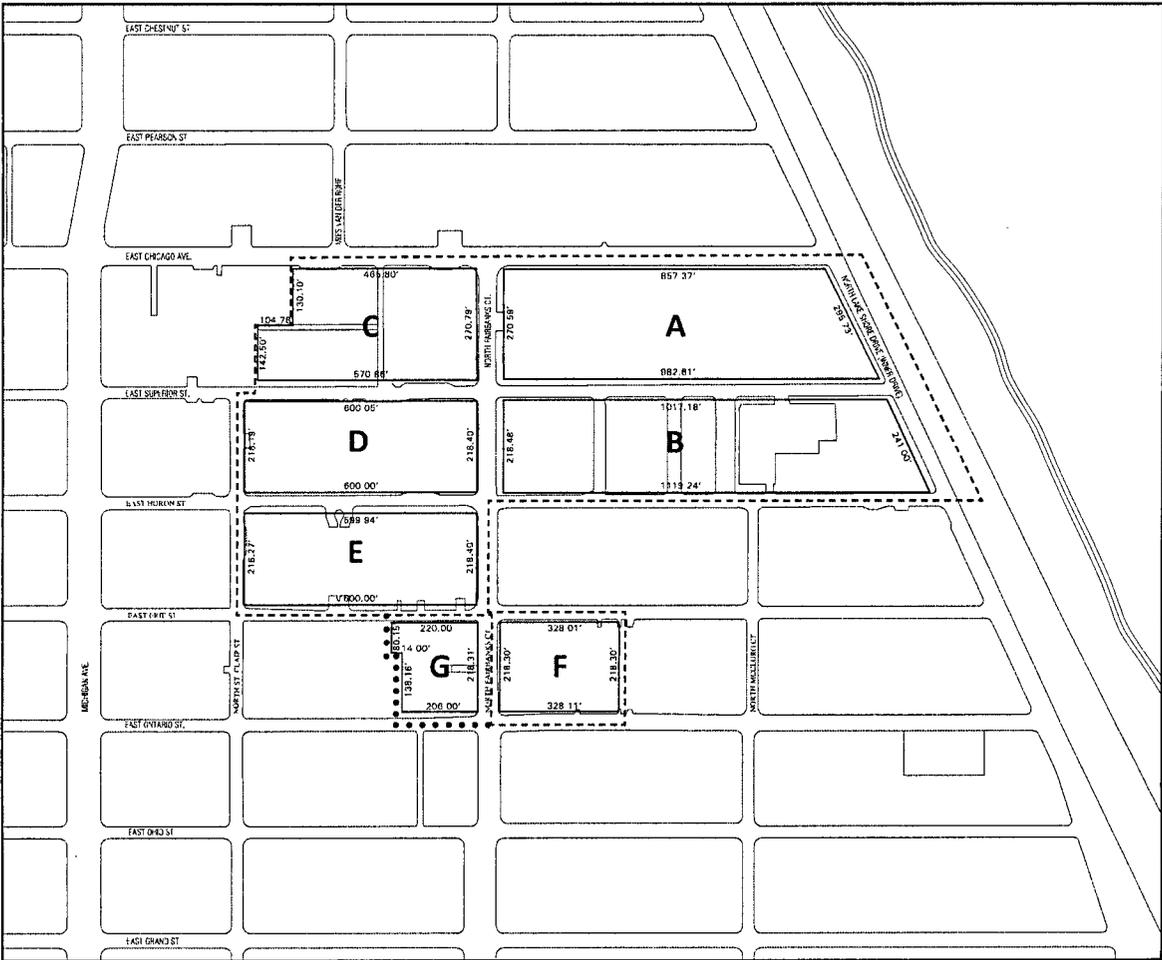
Applicant: Northwestern University  
 Address: 237-259 E. Erie Street  
 238-258 E. Ontario Street  
 628-648 N. Fairbanks Court  
 Date: July 28, 2011

PEDESTRIAN OVERPASS PLAN



Applicant: Northwestern University  
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238-258 E. Ontario Street  
628-648 N. Fairbanks Court  
Date: July 28, 2011

PLANNED DEVELOPMENT BOUNDARY, PROPERTY LINE, R.O.W. MAP



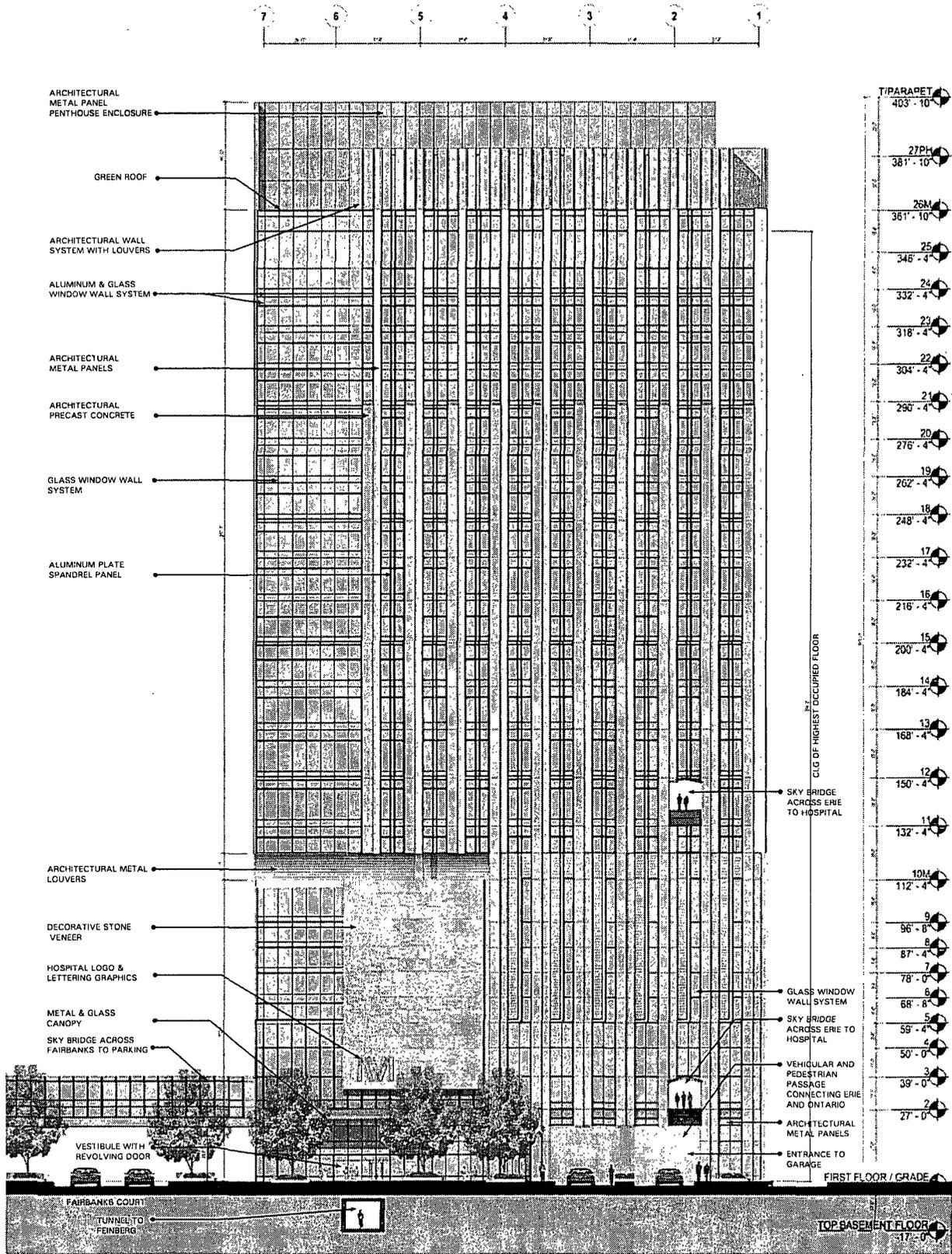
LEGEND

- EXISTING PLANNED DEVELOPMENT BOUNDARY
- ..... PROPOSED PLANNED DEVELOPMENT BOUNDARY



Applicant: Northwestern University  
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 238-258 E. Ontario Street  
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# NORTH ELEVATION

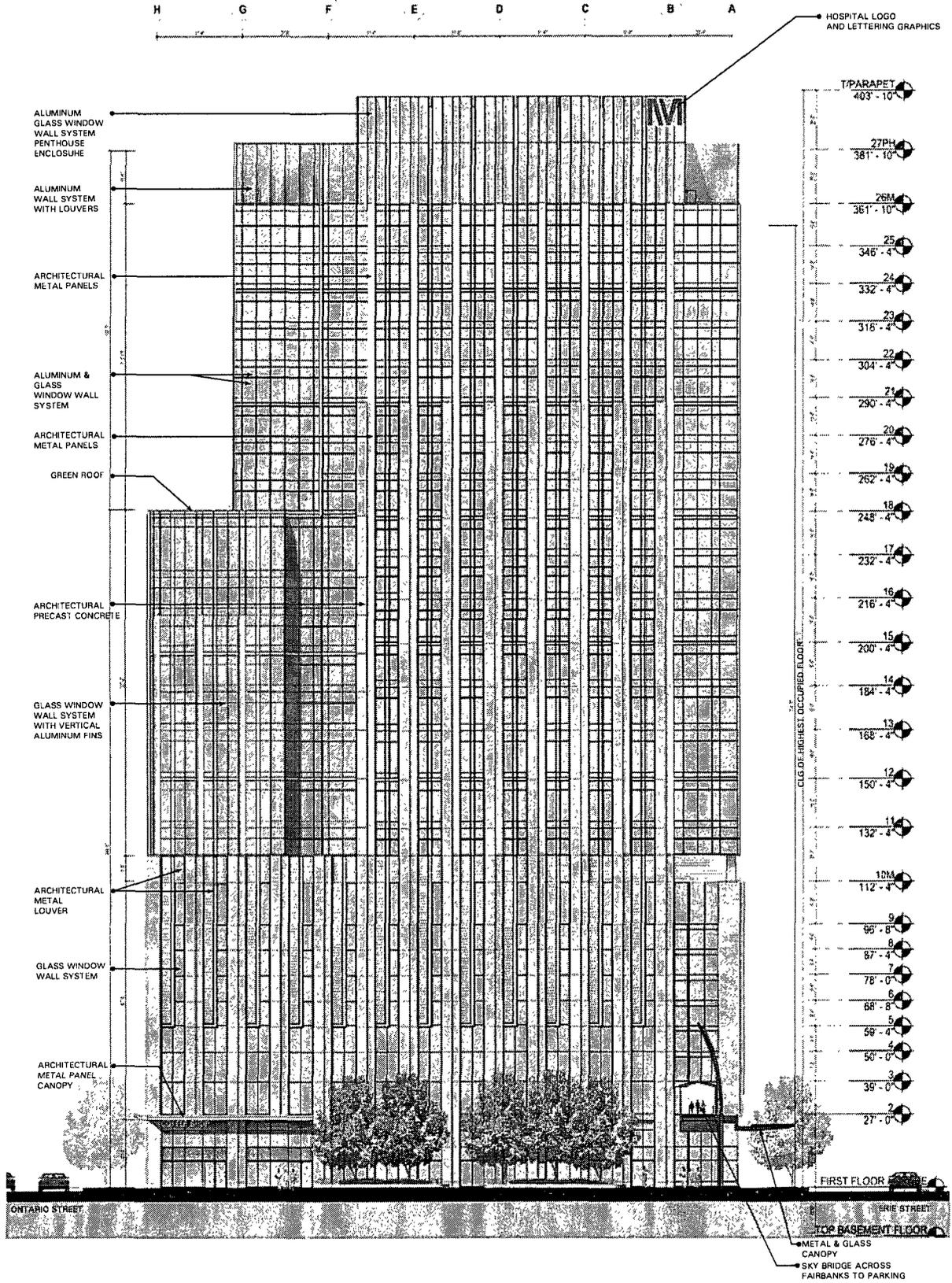


NORTH ELEVATION SCALE: 1/16"=1'-0"

Applicant: Northwestern University  
 Address: 237-259 E. Erie Street  
 238-258 E. Ontario Street  
 628-648 N. Fairbanks Court  
 Date: July 28, 2011

Wp/p | CANNON DESIGN  
 111 West Washington Street, Suite 2100  
 Chicago, Illinois 60602-2714

# EAST ELEVATION



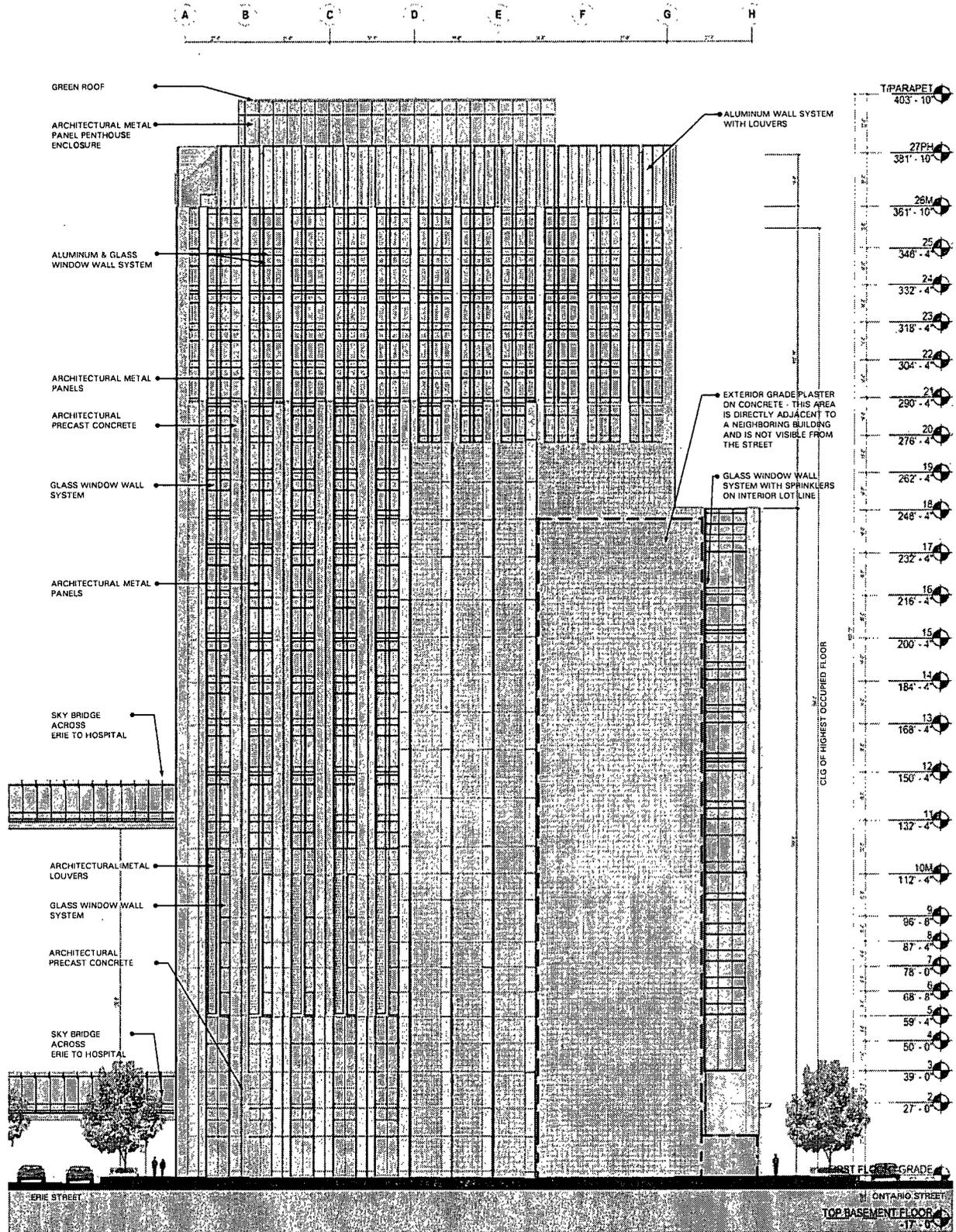
EAST ELEVATION

SCALE: 1/16" = 1'-0"

Applicant: Northwestern University  
 Address: 237-259 E. Erie Street  
 238-258 E. Ontario Street  
 628-648 N. Fairbanks Court  
 Date: July 28, 2011

**WPP** | **CANNON DESIGN**  
 111 West Washington Street, Suite 2100  
 Chicago, Illinois 60602-2714

# WEST ELEVATION

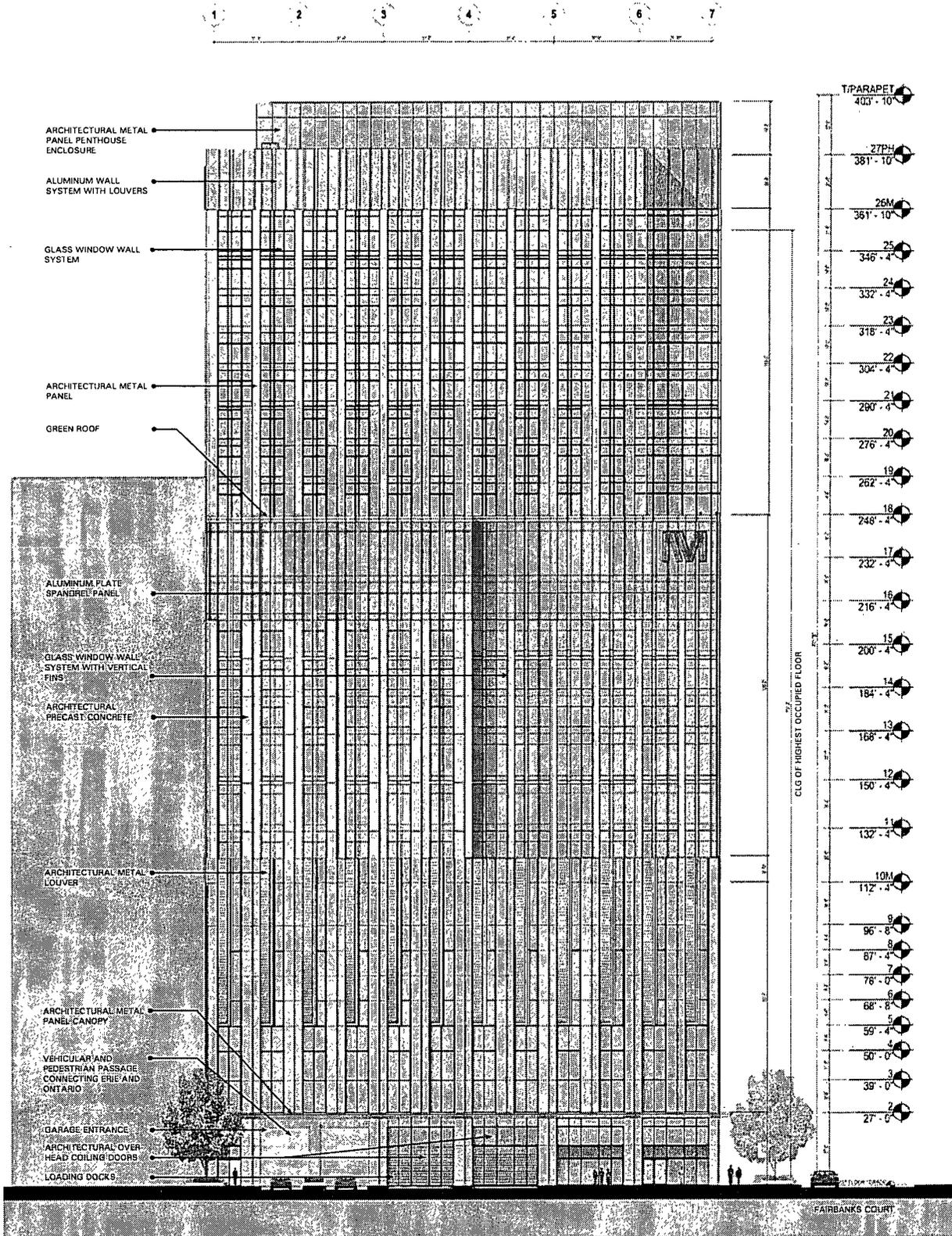


WEST ELEVATION SCALE: 1/16"=1'-0"

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 Address: 237-259 E. Erie Street  
 238-258 E. Ontario Street  
 628-648 N. Fairbanks Court  
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OW/p | CANNON DESIGN  
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# SOUTH ELEVATION

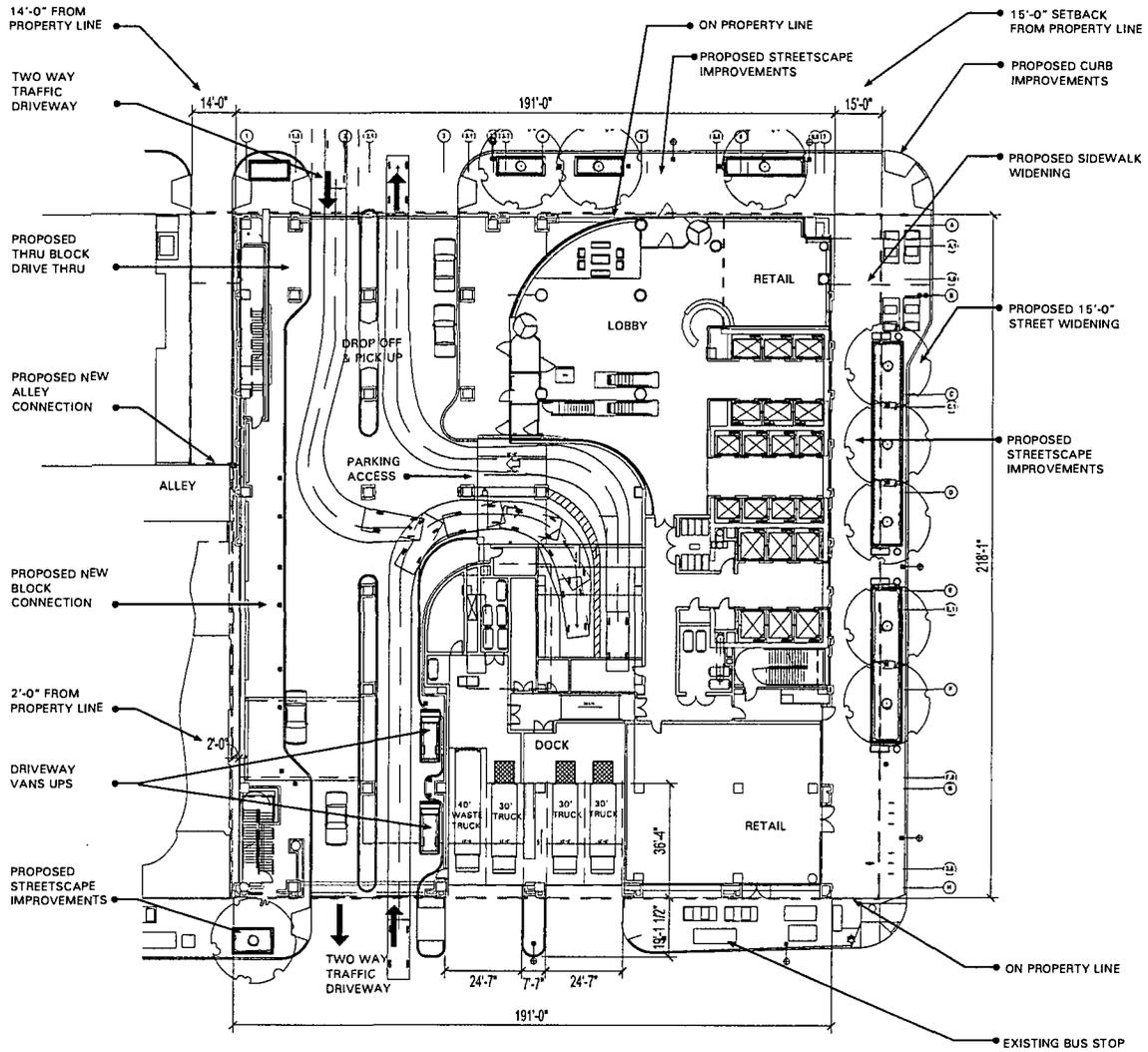


SOUTH ELEVATION SCALE: 1/16"=1'-0"

Applicant: Northwestern University  
 Address: 237-269 E. Erie Street  
 238-268 E. Ontario Street  
 628-648 N. Fairbanks Court  
 Date: July 28, 2011

**OWPP | CANNON DESIGN**  
 111 West Washington Street, Suite 2100  
 Chicago, Illinois 60602-2714

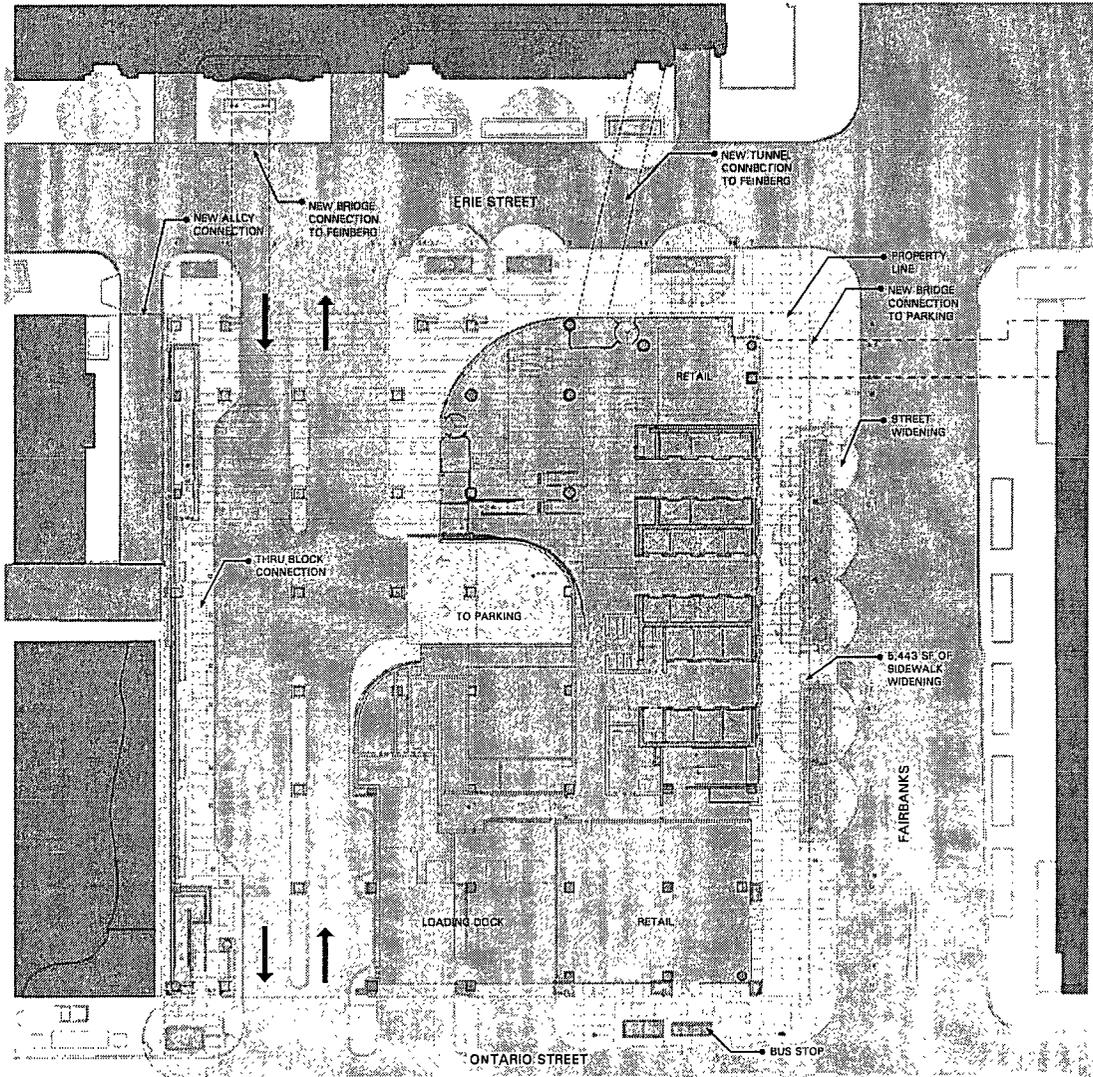
# DETAILED SITE PLAN



Applicant: Northwestern University  
 Address: 237-259 E. Erie Street  
 238-258 E. Ontario Street  
 628-648 N. Fairbanks Court  
 Date: July 28, 2011

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 111 West Washington Street, Suite 2100  
 Chicago, Illinois 60602-2714

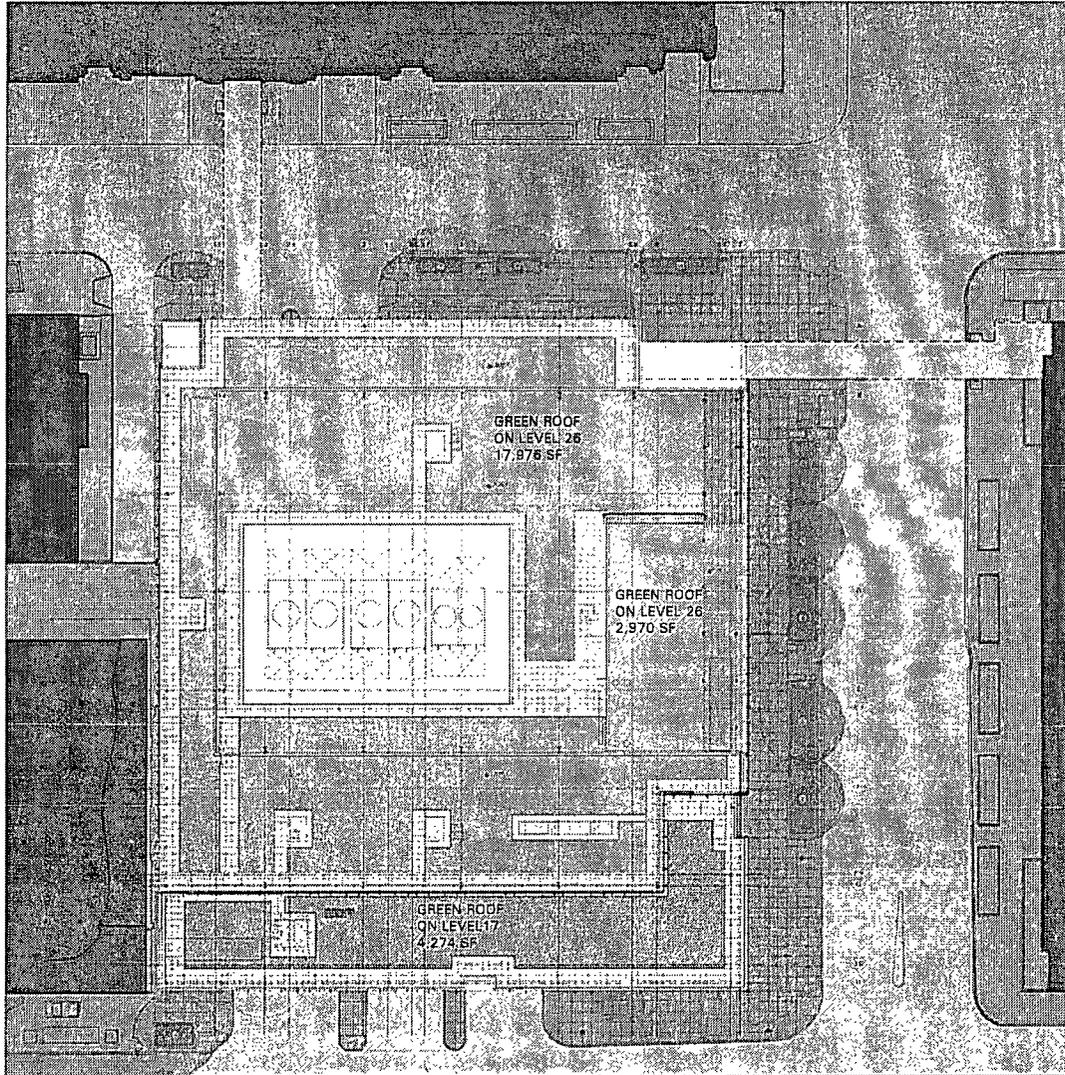
# OVERALL SITE PLAN



Applicant: Northwestern University  
Address: 237-259 E. Erie Street  
238-258 E. Ontario Street  
628-648 N. Fairbanks Court  
Date: July 28, 2011

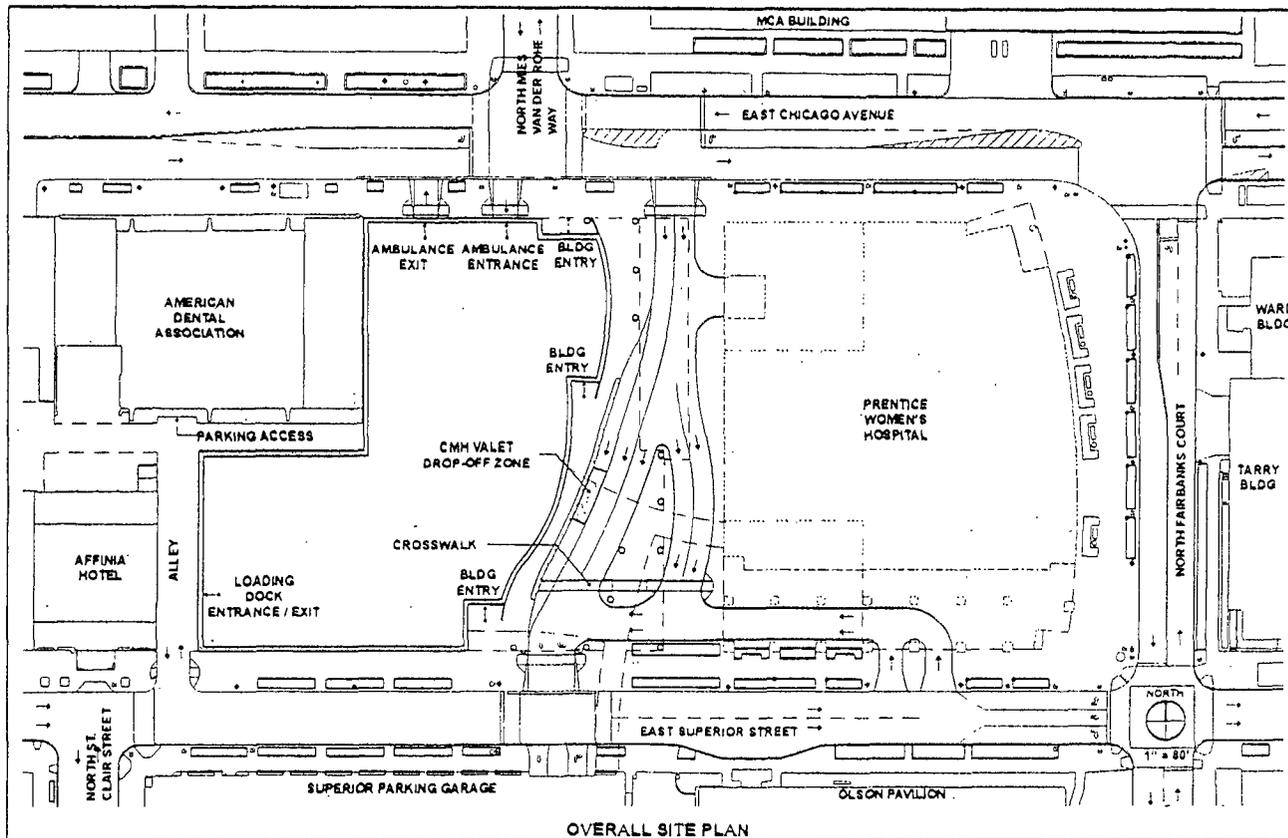
OW/p/p | CANNON DESIGN  
111 West Washington Street, Suite 2100  
Chicago, Illinois 60602-2714

# LANDSCAPE AND GREEN ROOF PLAN



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Address: 237-259 E. Erie Street  
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528-648 N. Fairbanks Court  
Date: July 28, 2011

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111 West Washington Street, Suite 2100  
Chicago, Illinois 60602-2714

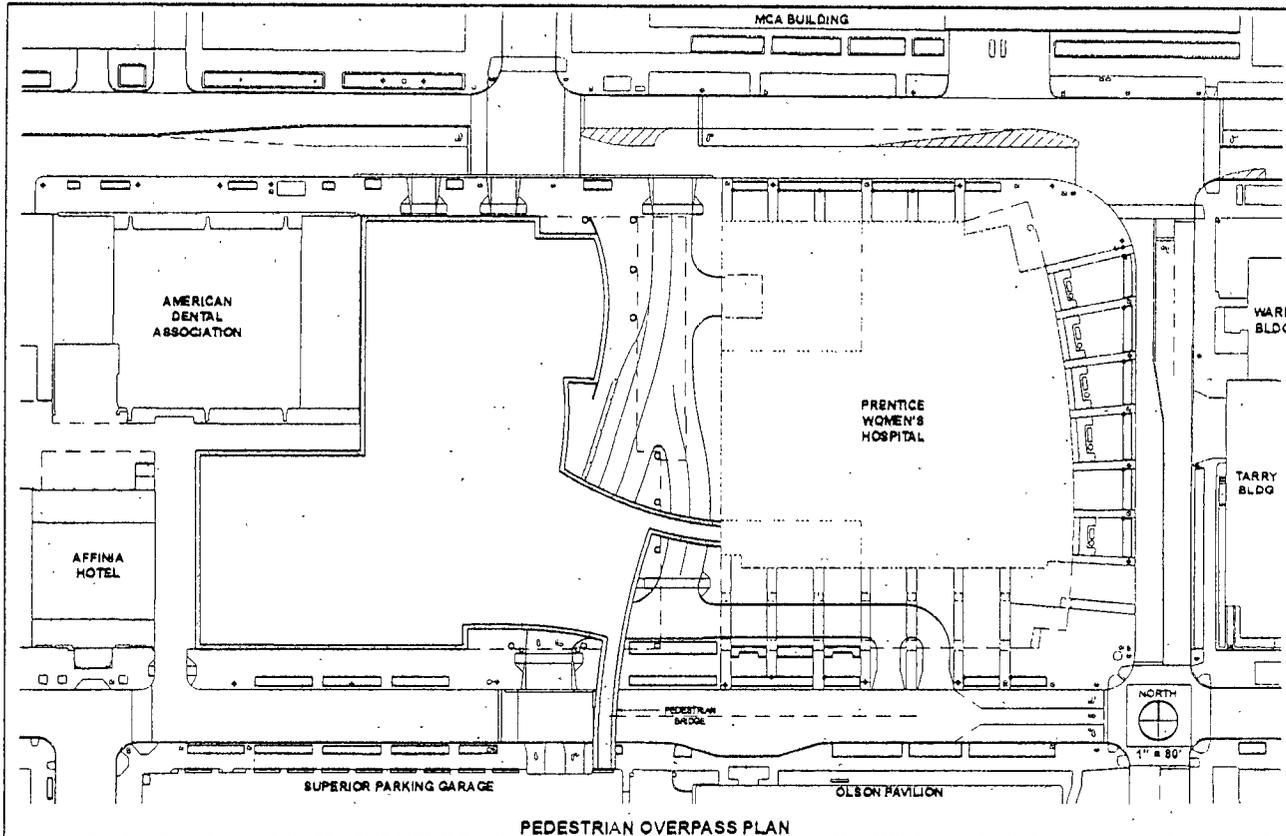


OVERALL SITE PLAN

APPLICANT: NORTHWESTERN UNIVERSITY, 633 CLARK STREET, EVANSTON, ILLINOIS 60208  
 ADDRESS: 213 - 237 E. CHICAGO AVENUE  
 200 - 326 E SUPERIOR STREET  
 DATE: MARCH 14, 2007  
 REVISED: DECEMBER 13, 2007

ZIMMER GUNSEL FRASCA ARCHITECTS LLP

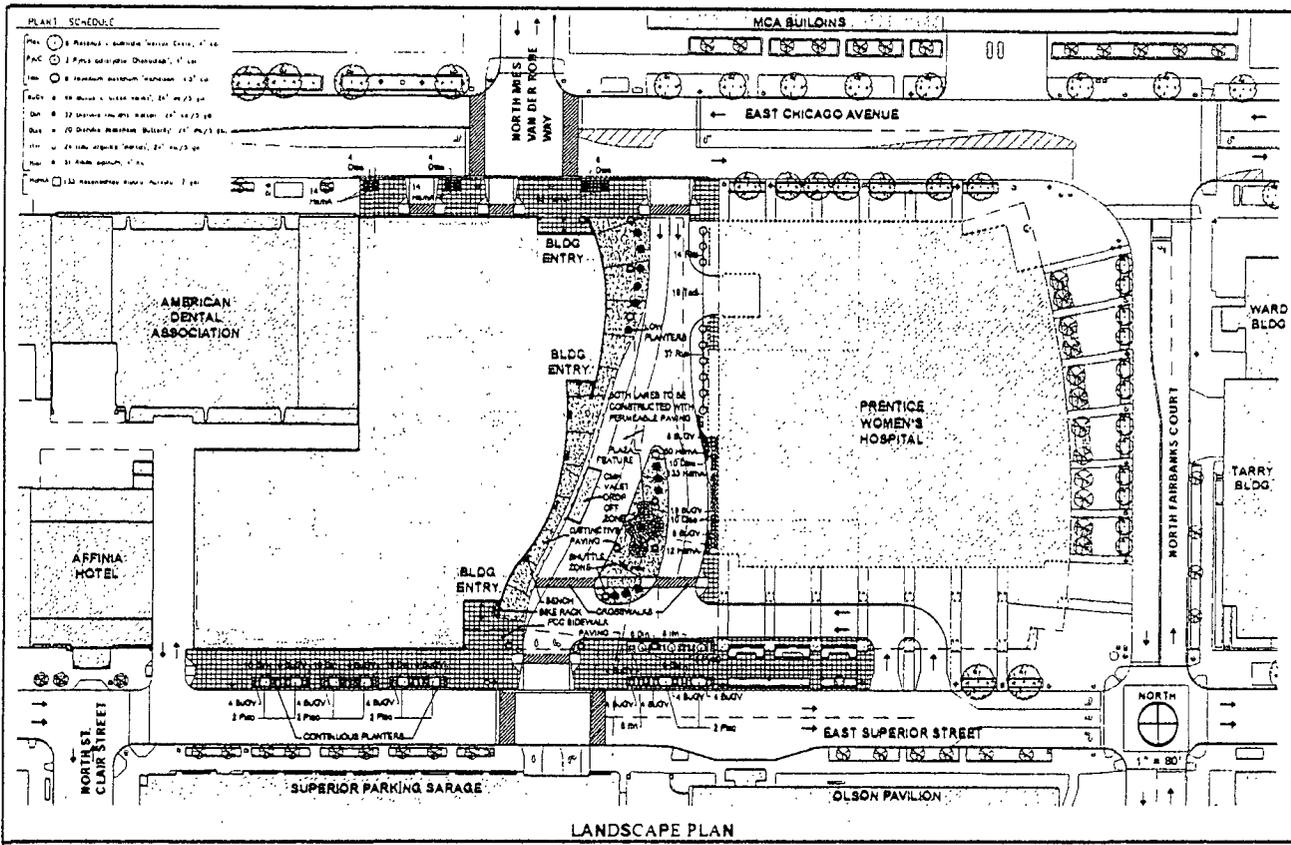




**PEDESTRIAN OVERPASS PLAN**

APPLICANT: NORTHWESTERN UNIVERSITY, 633 CLARK STREET, EVANSTON, ILLINOIS 60208  
 ADDRESS: 213 - 237 E. CHICAGO AVENUE  
           200 - 226 E. SUPERIOR STREET  
 DATE: MARCH 14, 2007  
 REVISED: DECEMBER 13, 2007

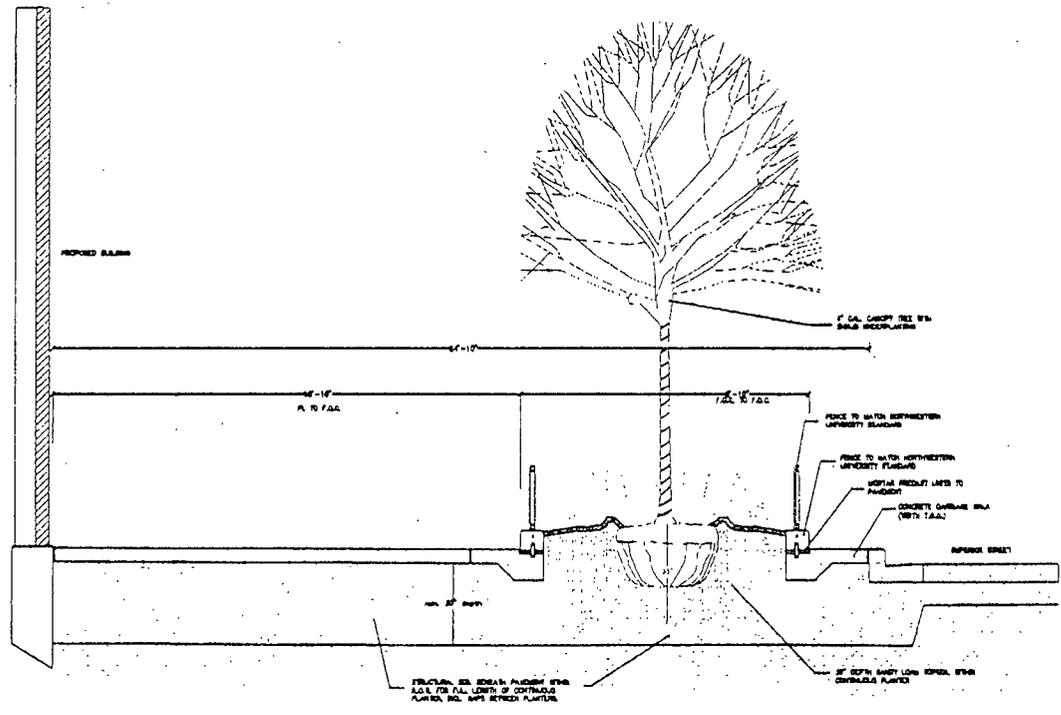
ZIMMER GUNSUL FRASCA ARCHITECTS LLC



APPLICANT: NORTHWESTERN UNIVERSITY, 633 CLARK STREET, EVANSTON, ILLINOIS 60208  
 ADDRESS: 213 - 237 E. CHICAGO AVENUE  
 200 - 226 E. SUPERIOR STREET  
 DATE: MARCH 14, 2007  
 REVISED: DECEMBER 13, 2007


 612 MADISON STREET  
 ONE FLOOR, SUITE 100  
 F. PALMER HOUSE  
 F. PALMER HOUSE  
 CHICAGO, ILLINOIS 60604  
 ZIMMER GUNSQL FRASCA ARCHITECTS LLP  
 1" = 80'

CYLA



TYPICAL STREETSCAPE SECTION

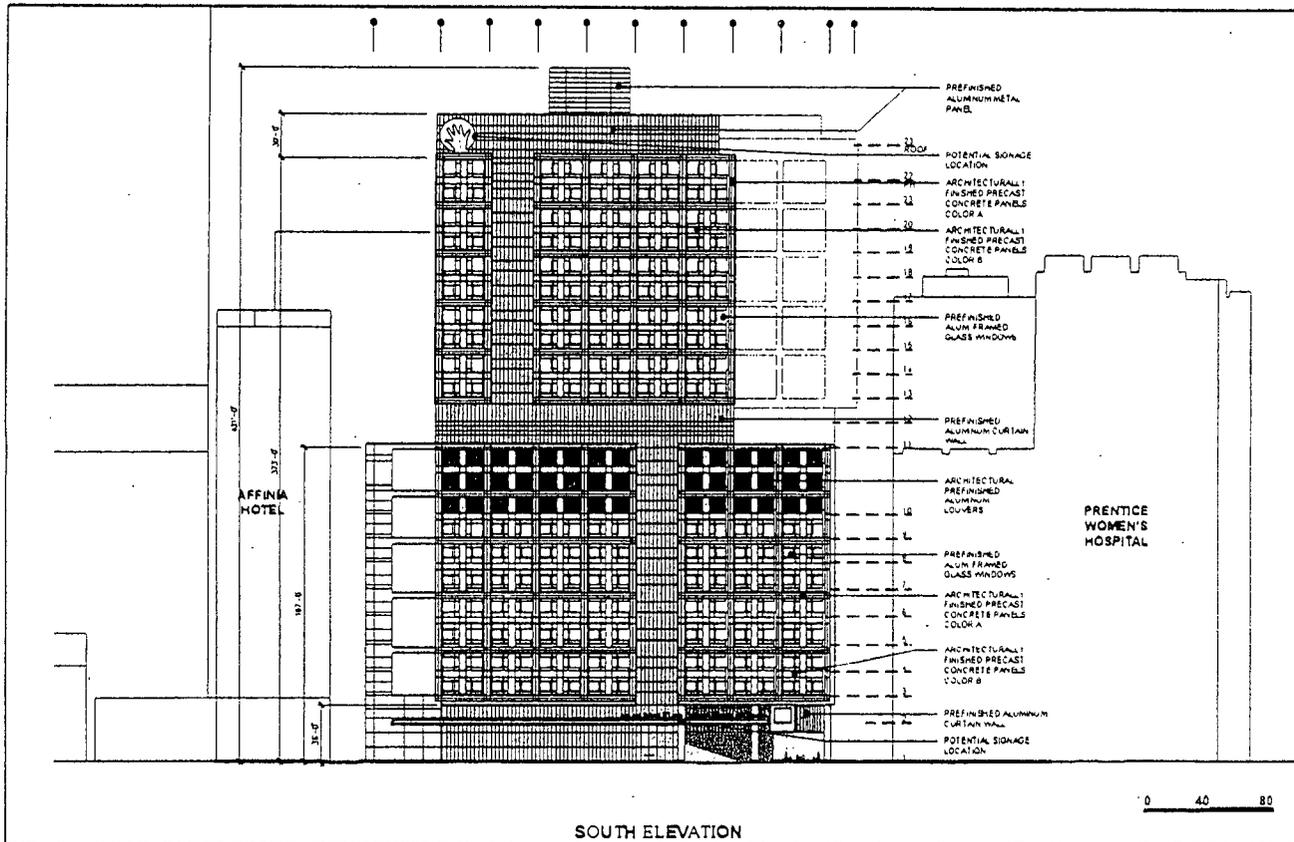
APPLICANT: NORTHWESTERN UNIVERSITY; 633 CLARK STREET EVANSTON, ILLINOIS 60208  
 ADDRESS: 213 - 237 E. CHICAGO AVENUE  
 200 - 226 E. SUPERIOR STREET  
 DATE: MARCH 14, 2007  
 REVISED: DECEMBER 13, 2007



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 WWW.CYLA.ORG

CYLA

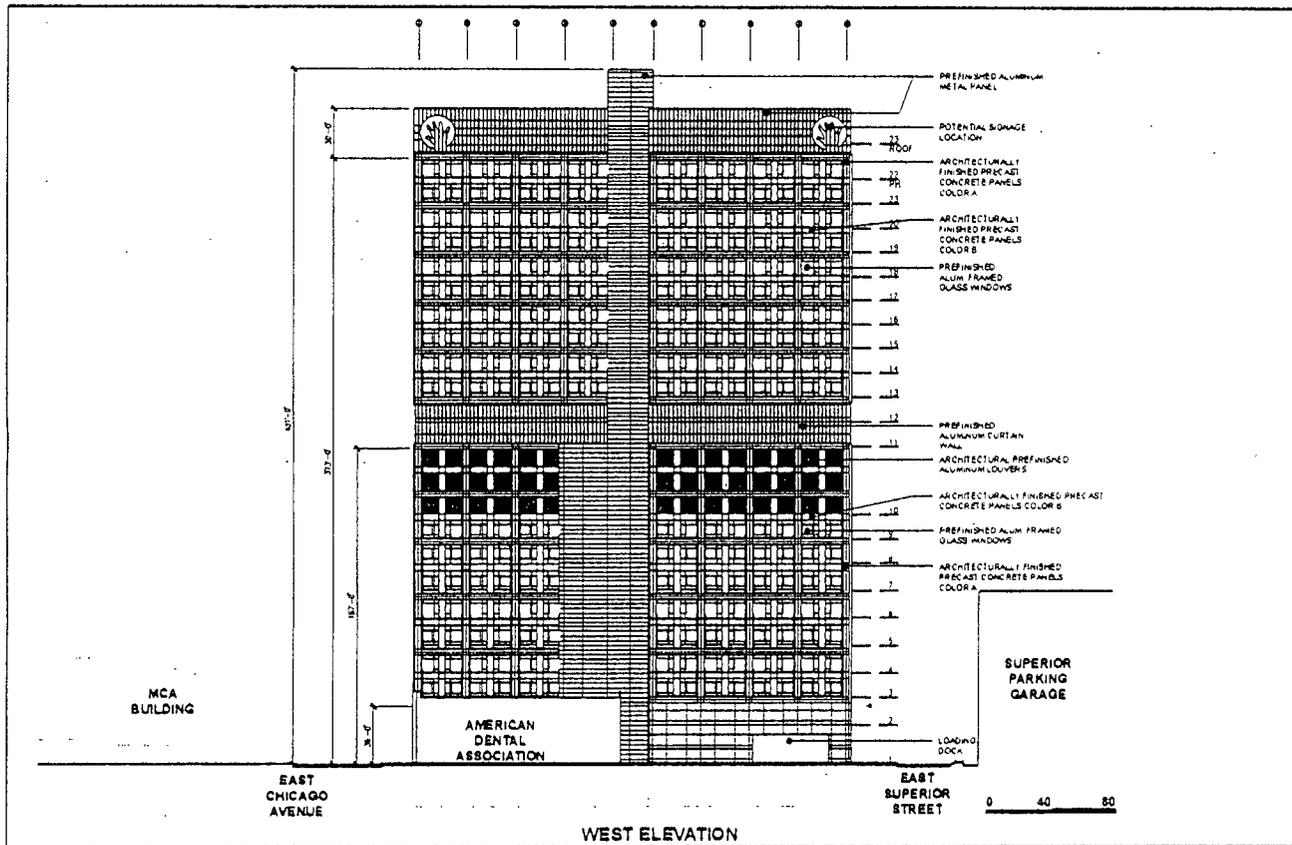
ZIMMER GUNSUL FRASCA ARCHITECTS LLP  
 200 N. LA SALLE STREET  
 CHICAGO, IL 60610  
 P. 312.427.1200  
 F. 312.427.1200  
 WWW.ZGF.COM



SOUTH ELEVATION

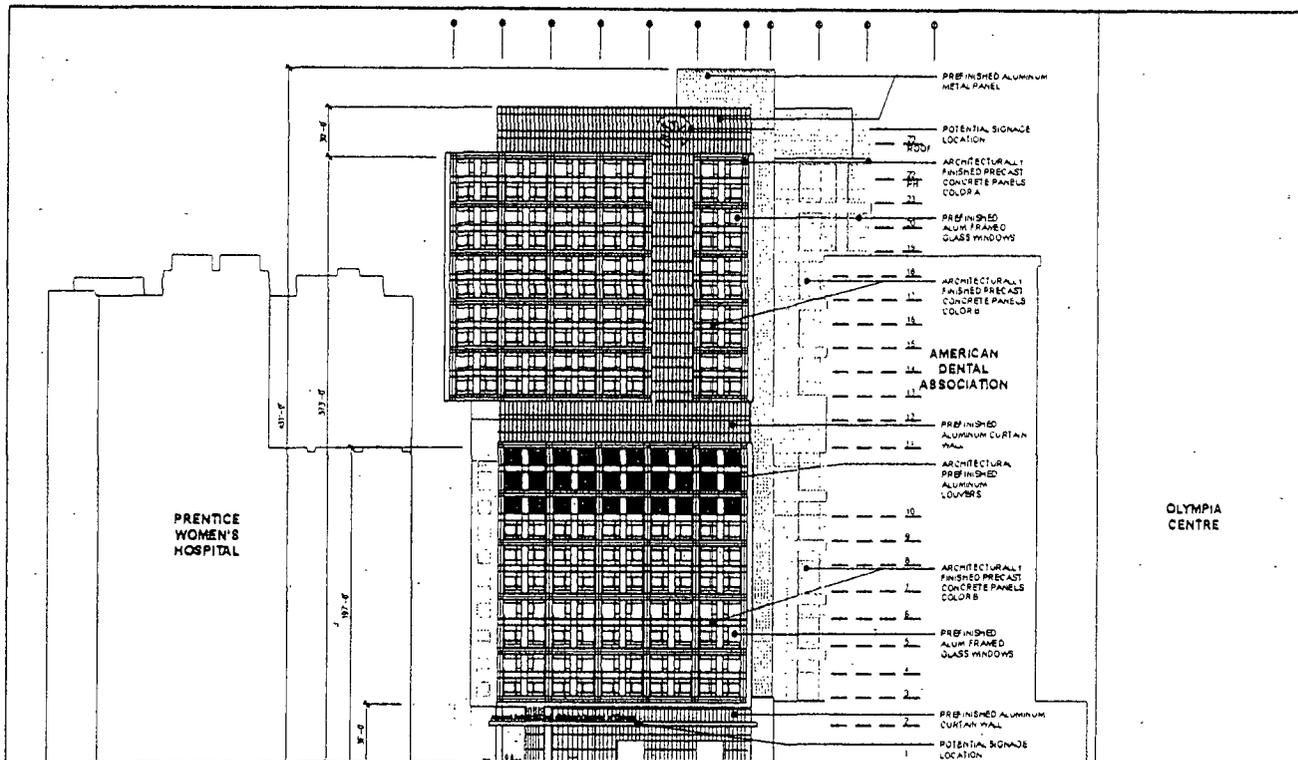
APPLICANT: NORTHWESTERN UNIVERSITY, 633 CLARK STREET EVANSTON, ILLINOIS 60208  
 ADDRESS: 213 - 237 E. CHICAGO AVENUE  
 200 - 226 E. SUPERIOR STREET  
 DATE: MARCH 14, 2007  
 REVISED: DECEMBER 13, 2007

ZIMMER GUNSUL FRASCA ARCHITECTS LLP  
 100 N. LAUREL STREET, SUITE 1000  
 CHICAGO, ILLINOIS 60610  
 TEL: 312.427.1000  
 FAX: 312.427.1001  
 WWW.ZGFARCHITECTS.COM



APPLICANT: NORTHWESTERN UNIVERSITY, 633 CLARK STREET, EVANSTON, ILLINOIS 60208  
 ADDRESS: 213 - 237 E. CHICAGO AVENUE  
 200 - 226 E. SUPERIOR STREET  
 DATE: MARCH 14, 2007  
 REVISED: DECEMBER 13, 2007

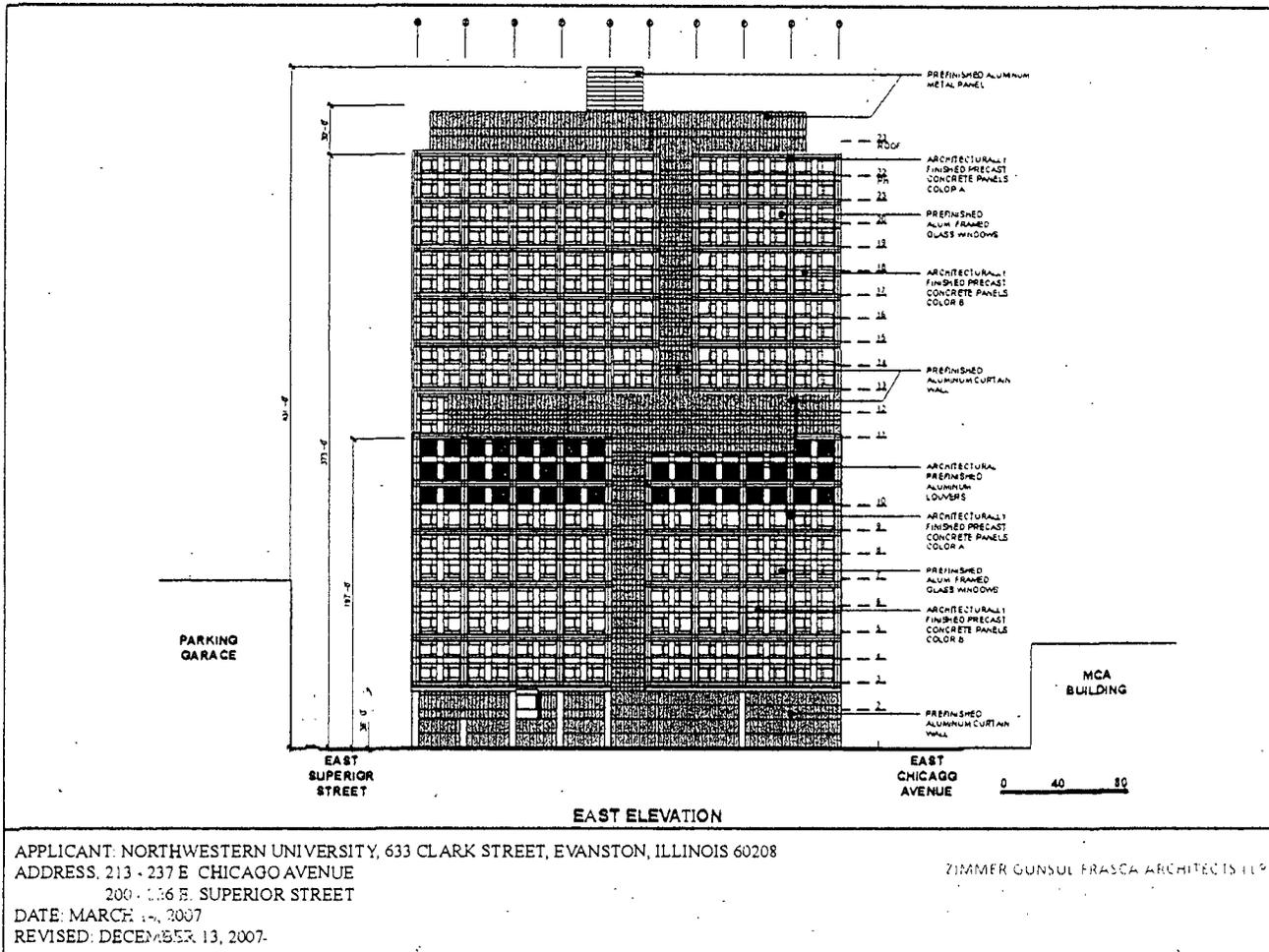
ZIMMER GUNSUL FRASCA ARCHITECTS LLP  
 1000 N. LAUREL STREET, SUITE 1000  
 CHICAGO, ILLINOIS 60610

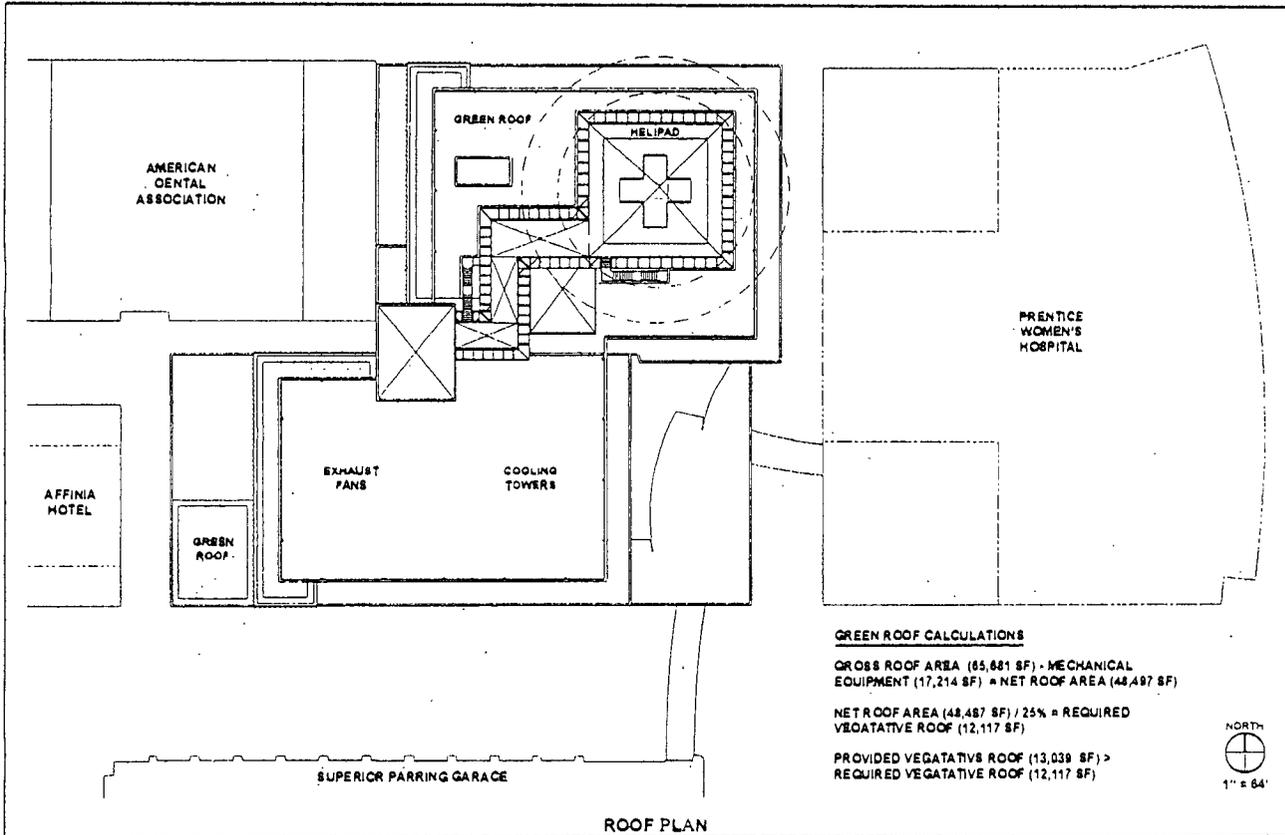


NORTH ELEVATION

APPLICANT: NORTHWESTERN UNIVERSITY, 633 CLARK STREET, EVANSTON, ILLINOIS 60208  
 ADDRESS: 213 - 237 E CHICAGO AVENUE  
 200 - 225 E SUPERIOR STREET  
 DATE: MARCH 14, 2007  
 REVISED: DECEMBER 13, 2007

ZIMMER GUNSUL FRASCA ARCHITECTS LLP

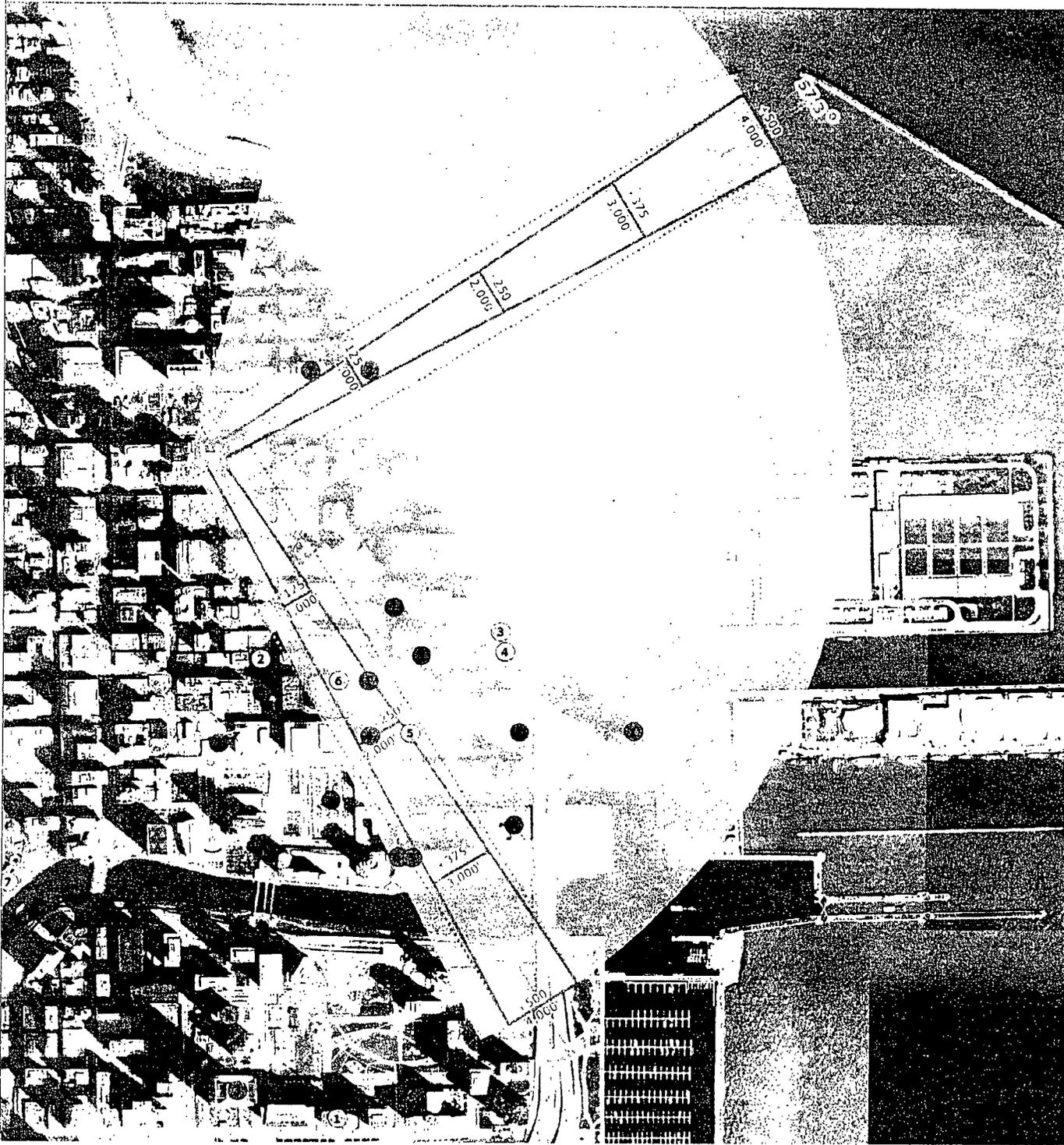




ROOF PLAN

APPLICANT: NORTHWESTERN UNIVERSITY, 633 CLARK STREET, EVANSTON, ILLINOIS 60208  
 ADDRESS: 213 - 237 E CHICAGO AVENUE  
 200 - 226 E SUPERIOR STREET  
 DATE: MARCH 14, 2007  
 REVISED: DECEMBER 13, 2007

ZIMMER GUNSUL FRASCA ARCHITECTS LLP



  
**Children's Memorial Hospital**  
 Where kids come first.

**Children's Memorial Hospital**  
**Heliport Planning Study**  
**Proposed Flight Paths**  
**(8:1 slope)**

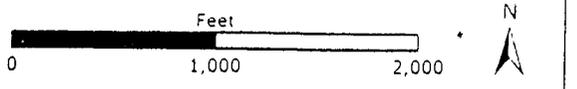
**Legend**

-  Heliport - Elevation: 411 feet
-  Existing Buildings
  - 1 530 N. Lake Shore - 645 ft      5 River View I - 27 Floors
  - 2 840 N. Lake Shore - 27 Floors      6 River View II - 32 Floors
  - 3 Park Millennium - 544 ft      7 The Pearson - 35 Floors
  - 4 River East Center I - 651 ft. CCD      8 The Shoreham - 450 ft
-  Under Construction
  - 1 340 On The Park - 672 ft      5 Parkview, Phase 1 - 475 ft
  - 2 600 N. Fairbanks - 456 ft      6 The Streeter Phase 1 - 570 ft
  - 3 600 N. Lake Shore (N) - 453 ft      7 Trump Tower - 92 Floors
  - 4 600 N. Lake Shore (S) - 513 ft
-  Approved Buildings
  - 1 The Streeter Phase 2 - 570 ft
-  Proposed Buildings
  - 1 CBS Development - 50 Floors\*      5 Ontene Center - 59 Floors\*
  - 2 Cityfront Plaza (Central) - 750 ft      6 Peshtigo Site - 600 ft
  - 3 Hot Diggity Dogs - 787 ft      7 Reschke/Cartey Dev. - N/A
  - 4 InterContinental Chicago - 850 ft      8 The Spire - 2,000 ft\*\*
-  Transitional Surface (2:1 Slope)
-  Approach Surface (8:1 Slope)
-  8:1 Approach Slope

\* Buildings do not penetrate 8:1 surface according 10 feet floor to floor  
 \*\* Building does penetrate 8:1 surface

**Note:**  
 Available building elevation data did not specify AGL (Above Ground Level) or CCD (Chicago City Datum) unless noted. CCD elevations are 14 feet higher than AGL. The higher, more conservative CCD elevations were assumed for this analysis.

**Sources:**  
 Building Data: GISMAA Area Residential Developments (2000-2005), dated August 2006  
 Aerial Photography: April 2006



# CHILDREN'S MEMORIAL HOSPITAL HELIPORT REVIEW COMMITTEE GUIDELINES

To provide community review of the heliport operations for Helicopter Emergency Medical Services (HEMS) provided on the new Children's Memorial Hospital, the Hospital will establish and maintain a Heliport Review Committee.

1. The Alderman of the 42<sup>nd</sup> Ward will have full access to the work of the Children's Memorial Heliport Review Committee (HRC) and may join in any aspect of the work of the Committee.
2. Membership will include leaders of the residential and business community of the 42<sup>nd</sup> Ward with particular focus on the individuals and businesses that live near the new Hospital.
3. The Committee will be open to participation from the City of Chicago's Planning and Zoning officials and representatives of the Illinois Department of Transportation Division of Aeronautics.
4. The Committee will be modeled on the Neighborhood Advisory Committee for the Children's Memorial Lincoln Park Hospital, which has reviewed the Hospital's Lincoln Park heliport and its HEMS services for the last 20 years.
5. The Committee will meet at least quarterly each year and may meet more frequently, if circumstances require.
6. After Committee review, the work of the Committee will be reported on the Children's Memorial website and will be made available to interested parties and local community and business organizations.
7. Regular reports will be made to the Committee on the attached Heliport Safety Plan: Attachment 1.
8. Quarterly reports will be made to the Committee on the HEMS deliveries made to the Children's Memorial heliport, consistent with the attached report which has been used at the Lincoln Park hospital and in compliance with the governmental confidentiality requirements. Attachment 2.
9. Wind analysis reports from the heliport's wind recording and reporting equipment will be made available to the Committee. (Super AWOS or equivalents.)
10. Sound testing of the operation of the heliport will be conducted on a periodic basis, if it is considered appropriate.

11. Operators of the HEMS helicopters that serve the heliport and helicopter safety experts who manage the safety of operations will be available to the Committee.

# INSTITUTIONAL PLANNED DEVELOPMENT NO. 3 HELIPORT SAFETY PLAN

The secure, safe and unique operation of the Emergency Medical Service heliport to be constructed on the roof top of Children's Memorial Hospital is of the highest importance to the hospital. We must protect the critically ill patients that the hospital serves; our Emergency Transport Flight Crews; our neighboring residents and businesses and our hospital and all of our patients and visitors.

There is no value in transporting critically ill or injured patients to our hospital in anything but the safest helicopters or in anything but the safest flying conditions.

To facilitate these objectives, CMH will follow the following operational criteria for its use of the heliport:

- I. Use Limitations: The use of the heliport will be limited as set forth in Statement 12(h) of Institutional Planned Development No. 3, as amended (2008). Statement 12(h) provides that the roof of the Children's Memorial Hospital may be used for an emergency medical helicopter heliport (the "Landing Pad") to receive patients and organs being transported under the following conditions:
  - a. Helicopter transports will be used only for medical and surgical emergencies (including organ transplants) in cases where there is notification of a primary need for pediatric treatment.
  - b. Helicopter transports to or from CMH will be made only during the following circumstances:
    - When patients or organs require immediate transport for surgery or medical care in an intensive care unit;
    - When the patient meets the medical criteria stated above and when travel distance is more than 40 miles away; or less than 40 miles away, but ground traffic or weather conditions would delay the transport and threaten the life of the patient.
  - c. The decision to transfer a patient by air or ambulance will strictly remain a decision between the referring physician, the Transport Team, and their Medical Control.
  - d. CMH will not own or operate a helicopter transport business and will not base, store, fuel or service (except in the case of a mechanical emergency) a helicopter at its Landing Pad.

2. Flight Restrictions
  - a. All helicopter flights will be inter hospital helicopter flights, with the exception of State or Federal regulatory flights.
  - b. Flights will not be directly from accident scenes, with the exception of serious local event.
  - c. All flights must be Helicopter Emergency Medical Service flights.
  - d. All flights to the helipad must be regulated by Federal regulations in accordance with 14 CFR Part 135: Air Taxi & Commuter services.
  - e. All helicopter pilots who fly into the heliport must be qualified by a review of Flight Safety Officers, prior to HEMS flights to the site, for flights into the site.
3. Access to the heliport is restricted to Security, Safety, the Transport Team, and Engineering. For safety reasons, no other personnel are allowed on the heliport without clearance from the Transport Team, Security, or Safety.
  - a. A security officer will accompany the Transplant Team and any other flight teams requiring access to the heliport.
  - b. All heliport activity is monitored through closed circuit TV as well as direct visualization from the heliport shelter by a security officer
4. For safety reasons, there will be no loading or unloading of patients, organs for transplant, or staff on the heliport while blades are rotating.
5. All landings, including those for organ transplant, must be reported to the Transport Team. It is the Transport Team's responsibility to track landings and report monthly.
6. Safety Inspections
  - a. Transport Team
    1. Conduct and document twice monthly safety inspections of the heliport.
    2. Complete safety training annually.
  - b. Engineering
    1. Complete routine inspections of helipad, elevators, windsock and lights
    2. Manage snow and ice on heliport.
  - c. Security Services
    1. Confirm requests for use with Transport Team
    2. Directly monitor all helicopter landings/take-offs from the helipad shelter
    3. Escort Transport Team, Transplant Team, and visiting teams to the helipad
7. Published heliport operations plan: Prior to the opening of the new Children's Memorial Hospital, the Hospital will submit to the City of Chicago's Department of Planning and

Development its plan of regulations for the operation of the heliport, including minimum training requirements for pilots, "Go/No Go" weather conditions and diversion protocols.

In addition, the following design requirements will be followed:

1. Heliport Design Obligations:

- a. The heliport design will exceed the design requirements of the State of Illinois, Federal Aviation Administration and the City of Chicago.
- b. The structural loading design of the heliport will be a 25,000 pound capacity, which is more than double a standard 12,500 pound capacity site.
- c. The heliport will be 50 by 50 feet for the touchdown and lift off (TLOF) area and 100 by 100 feet for the final approach and take off (FATO) area.
- d. The heliport will be located as close as is reasonably possible to the center of the new hospital building's north tower, leaving significant space around the heliport as an added safety margin.
- e. Tie-down equipment will be installed at the New Children's Memorial heliport. While no helicopter will remain at the heliport for long periods of time, tie-down equipment is an extra safety measure that will be available if the necessity arises. If the weather conditions change in the time it takes to unload a patient, the crew will be able to secure the helicopter to the landing pad.
- f. De-icing and snow melt systems will be installed on the new heliport.
- g. With the exception of emergency egress and exterior lighting of the heliport walkway, lighting for the heliport will be controlled by the helicopter pilot to minimize the impact on surrounding buildings. Other lighting can be shielded to mitigate potential light exposure to adjacent areas.

2. Wind Condition Reporting:

- a. To further enhance the level of safety for flight operations, the heliport will be equipped with an enhanced Automated Weather Observation System (AWOS) that broadcasts "real time" weather observations, wind direction, and wind velocity information for the heliport site as well as broadcasting to pilots an immediate warning in the event that there should ever be an incident of wind shear at the heliport.
- b. The system to be provided will be Super AWOS technology. The "real time" capability of this system is a significant enhancement to the safety of flight operations and a major improvement over more traditional systems.

3. The heliport is only able to accommodate ONE helicopter at a time for landing, take-off, or parking:

- a. Incoming helicopters must obtain prior landing approval in order to accommodate multiple transports.

- b. Security and the Transport Team are to be notified by the incoming flight service prior to departure from the referring facility.

# **M** Northwestern Memorial<sup>®</sup> HealthCare

July 21, 2011

Dear Neighbor:

It is a pleasure to inform you that Northwestern Memorial Hospital ("NMH") plans to develop an outpatient care pavilion ("OCP") at 237-259 E. Erie Street, 238-258 E. Ontario Street and 628-648 N. Fairbanks Court (the "Property"). The Property currently is the location of NMH administrative buildings that will be replaced with the OCP.

The OCP is designed to be consistent with the NMH "Patients First" mission, and to complement the design of and services provided at the Galter-Feinberg Pavilion and the Prentice Women's Hospital. It will primarily house physician medical offices and exam suites, diagnostic and treatment space, ambulatory surgery, laboratory, related health care services, and related accessory uses, including accessory retail and accessory parking. The OCP will be twenty-five stories and will connect by bridges and a tunnel across East Erie Street to Feinberg Hospital Pavilion. It will also connect by bridge to the current parking structure at the southeast corner of E. Erie Street and N. Fairbanks Court.

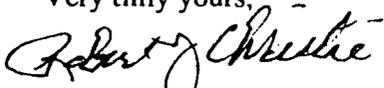
In accordance with notice requirements of the Chicago Zoning Ordinance, please be informed that on July 21, 2011 an application to amend Institutional Planned Development Number 3, as amended ("IPD#3") will be filed with the Zoning Administrator. The proposed amendment of IPD#3 will primarily request City approval of the OCP and an extension of IPD#3 boundaries to include the Property. In addition, the application will request a rezoning of the Property from the DX-12 Downtown Mixed-Use District to IPD#3, as amended. The boundaries of IPD#3 and the proposed location of the OCP are depicted on the reverse side of this letter, along with a range of common street addresses corresponding to IPD#3, as amended.

Northwestern University ("NU"), which has its principal place of business at 633 Clark Street, Evanston, Illinois 60208, controls IPD#3 and is the applicant for its amendment. The Property is owned by NMH, which has its principal place of business at 251 E. Huron Street, Chicago, Illinois 60611. Other properties located within IPD#3 are owned by NU, NMH, The Children's Memorial Hospital or their affiliated and related entities.

Questions regarding the OCP or the amendment to IPD#3 may be addressed to Sarah M. Burke, Director External Affairs, Northwestern Memorial HealthCare, 211 East Ontario, Suite 1750, Chicago, Illinois 60611 (312) 926-9946 ([saburke@nmh.org](mailto:saburke@nmh.org)).

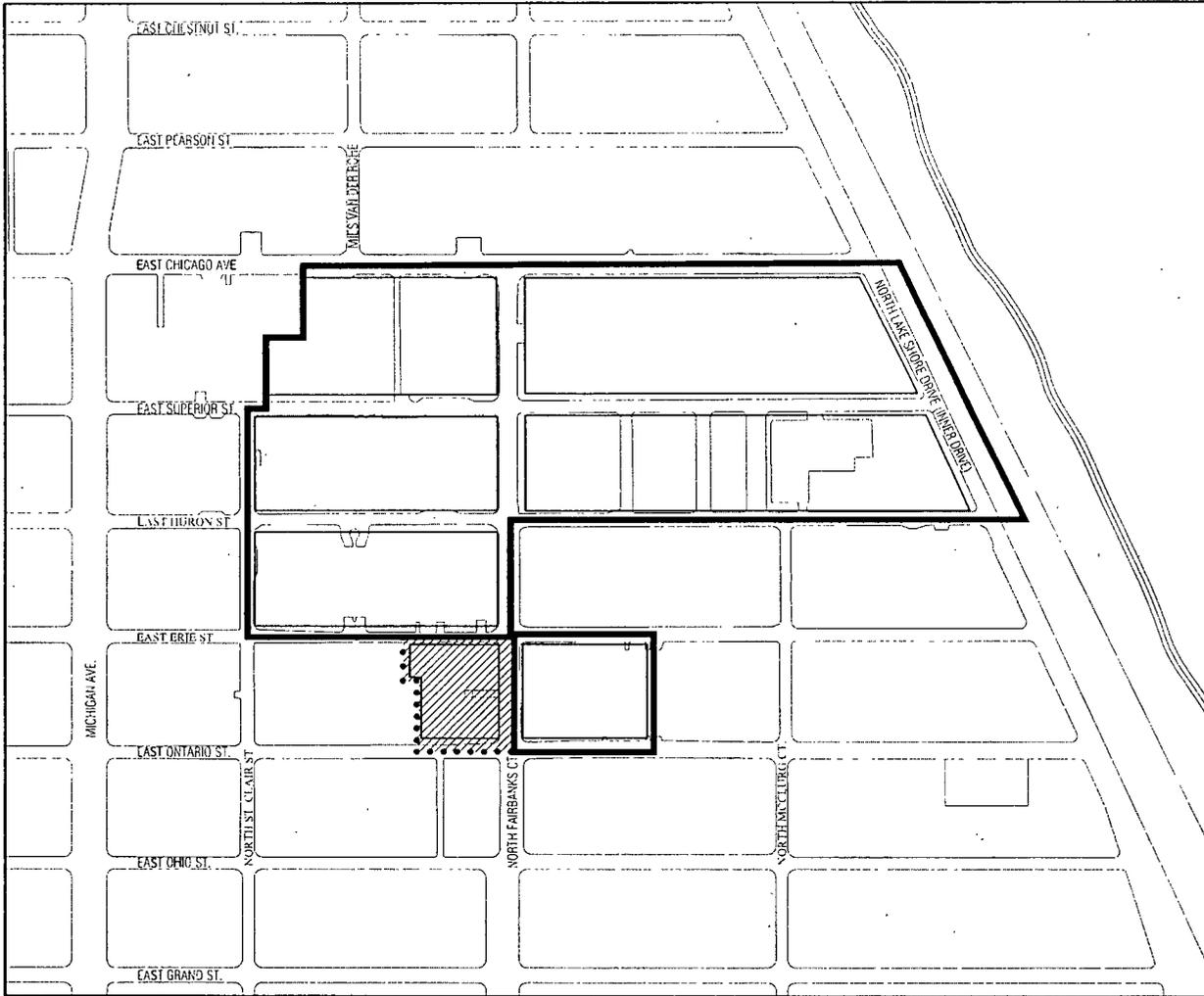
**PLEASE NOTE: THIS PLANNED DEVELOPMENT APPLICATION IS NOT SEEKING TO REZONE YOUR PROPERTY. IT SEEKS TO AMEND IPD#3 FOR THE CONSTRUCTION OF THE NEW NMH OUTPATIENT CARE PAVILION. THIS LETTER IS YOUR LEGAL NOTICE THAT AN APPLICATION WILL BE FILED TO AMEND IPD#3 ON JULY 21, 2011.**

Very truly yours,



Robert Christie  
VP External Affairs

# Proposed Amended Boundaries of Institutional Planned Development Number 3



## LEGEND

- EXISTING PLANNED DEVELOPMENT BOUNDARY
- PROPERTY TO BE ADDED TO INSTITUTIONAL PLANNED DEVELOPMENT NUMBER 3
- PROPOSED NEW NORTHWESTERN MEMORIAL HOSPITAL OUTPATIENT CARE PAVILION

## ADDRESSES

- 659-679, 701-721 N. ST. CLAIR ST.
- 200-428, 201-433 E. SUPERIOR ST.
- 213-257, 301-363, 369-385 E. CHICAGO AVE.
- 700-722, 732-760 N. LAKE SHORE DRIVE
- 201-259, 200-442 E. HURON ST.
- 629-755, 628-648, 658-754 N. FAIRBANKS CT.
- 200-258, 237-259, 301-331 E. ERIE ST.
- 238-258, 300-332 E. ONTARIO ST.



"WRITTEN NOTICE"  
FORM OF AFFIDAVIT  
(Section 17-13-0107)

July 21, 2011

Honorable Daniel S. Solis  
Chairman, Committee on Zoning  
121 North LaSalle Street  
Room 304, City Hall  
Chicago, Illinois 60602

The undersigned, Scott E. Saef, being first duly sworn on oath, deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or generally a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

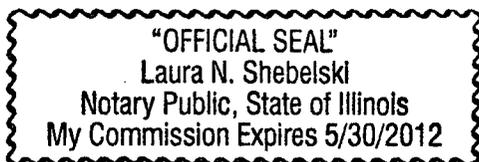
The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately July 21, 2011.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Scott E Saef

Subscribed and Sworn to before me this  
21st day of July 2011.

Laura N. Shebelski  
Notary Public



July 21, 2011

Chairman, Committee on Zoning  
Room 304, City Hall  
Chicago, Illinois 60602

Chairman, Plan Commission  
City of Chicago  
City Hall  
Chicago, Illinois 60602

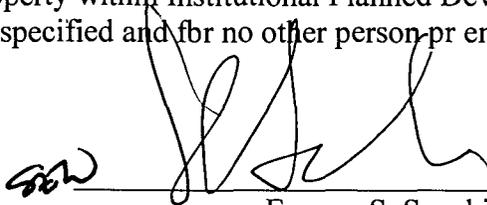
Dear Chairmen:

I, Eugene S. Sunshine, being duly sworn on oath, deposes and says that:

(a) I am the Senior Vice President for Business and Finance of Northwestern University.

(b) I am an appropriate officer of Northwestern University authorized to act in regard to this application seeking to amend Institutional Planned Development No. 3, as amended.

(c) To the best of my knowledge, each person or entity represented in this application as holding an interest in property within Institutional Planned Development No. 3, as amended, holds that interest exactly as specified and for no other person or entity.



Eugene S. Sunshine

SUBSCRIBED and SWORN to before  
me this 19<sup>th</sup> day of July 2011

Maria A. Delgado  
Notary Public

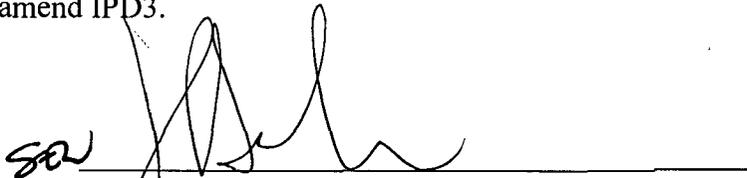


My Commission expires:

9-9-2014

To whom it may concern:

I, Eugene S. Sunshine, on behalf of Northwestern University, an owner of property within Institutional Planned Development No. 3 as amended (1962, 1975, 1993, 2001, 2008)(“IPD3”), authorize Sidiey Austin LLP on behalf of Northwestern University to file an application to further amend IPD3.

A handwritten signature in black ink, appearing to read 'E. Sunshine', is written over a horizontal line. To the left of the main signature, there is a smaller, more compact handwritten mark that looks like 'ES'.

Name: Eugene S. Sunshine  
Title: Senior Vice President for Business  
and Finance

July 20, 2011

To whom it may concern:

I, Dean M. Harrison, on behalf of Northwestern Memorial Hospital (“NMH”), an owner of property within Institutional Planned Development No. 3 as amended (1962, 1975, 1993, 2001, 2008)(“IPD3”) and as proposed to be amended, authorize Sidiey Austin LLP on behalf of Northwestern University to file an application to further amend IPD3 and to include within IPD3 as amended property owned by NMH corresponding to the following addresses: 237-259 E. Erie Street; 238-258 East Ontario Street and 628-648 N. Fairbanks Court.

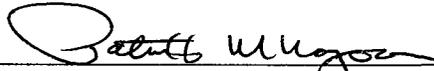


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Dean M. Harrison  
President and CEO

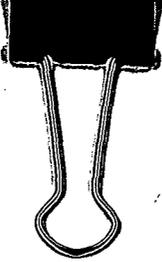
To whom it may concern:

I, Patrick M. Magoon, on behalf of Children's Memorial Hospital ("CMH"), an owner of property within Institutional Planned Development No. 3 as amended (1962, 1975, 1993, 2001, 2008)("IPD3") authorize Sidiey Austin LLP on behalf of Northwestern University, to file an application to further amend IPD3.



Name: Patrick M. Magoon

Title: President and Chief Executive Officer



**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT**

**SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

The Children's Memorial Hospital

Check ONE of the foHowing three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant (an owner)

OR

2.  a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: \_\_\_\_\_

OR

3.  a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: \_\_\_\_\_

B. Business address of the Disclosing Party: 2300 Children's Plaza (Box 261)  
Chicago, IL 60614

C. Telephone: 773-880-3934 Fax: 773-880-3529 Email: dwetzler@childrensmemorial.org

D. Name of contact person: Donna Wetzler

E. Federal Employer Identification No. (if you have one): [REDACTED]

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Application to amend Institutional Planned Development No.3. See list of addresses attached hereto

G. Which City agency or department is requesting this EDS? Housing and Economic Development.  
Zoning Division

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

Address Boundaries of Institutional Planned Development  
Number 3, As Amended

<p>N. St. Clair St.</p> <p>659 – 679</p> <p>701 – 721</p>	<p>N. Fairbanks Ct.</p> <p>629 – 649</p> <p>701 - 755</p> <p>628 – 648</p> <p>658 - 754</p>
<p>E. Superior St.</p> <p>200 – 428</p> <p>201 - 433</p>	<p>E. Erie St.</p> <p>200 – 258</p> <p>237 - 259</p> <p>301 - 331</p>
<p>E. Chicago Ave.</p> <p>213 – 257</p> <p>301 – 363</p> <p>369 – 385</p>	<p>E. Ontario St.</p> <p>238 – 258</p> <p>300 – 332</p>
<p>N. Lake Shore Drive</p> <p>700 – 722</p> <p>732 – 760</p>	
<p>E. Huron St.</p> <p>201 – 259</p> <p>200 – 442</p>	

**SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

**A. NATURE OF THE DISCLOSING PARTY**

1. Indicate the nature of the Disclosing Party:

- |   |   |
|---|---|
| <input type="checkbox"/> Person                                   | <input type="checkbox"/> Limited liability company                  |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership              |
| <input type="checkbox"/> Privately held business corporation      | <input type="checkbox"/> Joint venture                              |
| <input type="checkbox"/> Sole proprietorship                      | <input checked="" type="checkbox"/> Not-for-profit corporation      |
| <input type="checkbox"/> General partnership                      | (Is the not-for-profit corporation also a 501(c)(3))?               |
| <input type="checkbox"/> Limited partnership                      | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust                                    | <input type="checkbox"/> Other (please specify)                     |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes  No  N/A

**B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles of all executive officers and all directors of the entity.

**NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
<u>See Attached</u>	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

THE CHILDREN'S MEMORIAL HOSPITAL  
MEMBER, BOARD OF DIRECTORS, AND OFFICERS  
2011-2012

Sole Corporate Member: The Children's Memorial Medical Center

Directors:

Class of 2011

John J. Allen  
Peter B. Bensinger  
John A. Challenger  
John O. Doerge Jr.  
Charles W. Douglas  
Diana S. Ferguson  
John S. Gates Jr.  
David D. Grumhaus  
Joseph D. Gutman  
W. Bruce Johnson  
Anthony K. Kesman  
Adam M. Kriger  
Shelley A. Longmuir  
William J. McKenna  
James J. McNulty  
Robert S. Murley  
Lorna S. Pfaelzer  
J. Christopher Reyes  
Deborah M. Sawyer  
Brian E. Williams

Class of 2012

John P. Amboian Jr.  
Stephen W. Baird  
Jill Brennan  
David Bunning  
Gregory C. Case  
Alan Chapman  
Eleanor Clarke  
John D. Cooney  
John M. Crocker Jr.  
Paula H. Crown  
Leticia Peralta Davis  
Susan DePree  
Michael Evangelides  
Tyrone C. Fahner  
Michael W. Ferro Jr.  
David W. Fox Jr.  
Arlington Guenther  
Bruce R. Hague  
Daniel J. Hennessy  
James P. Hickey  
Mark A. Hoppe  
Charles H. James III  
Kirk B. Johnson  
George D. Kennedy  
Fred L. "Pete" Krehbiel  
Mitchell J. Manassa  
John F. Manley  
Roxanne Martino  
Louise C. Mills  
Nancy A. Pacher  
Gerald D. Putnam  
Peter C. Roberts  
Derry Shoemaker  
Stephen A. Smith  
Thomas S. Souleles

Monsignor Kenneth Velo  
Edward J. Wehmer  
James H. Wooten Jr.

Class of 2013

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Robert G. Clark  
Kevin M. Connelly  
Lester Crown  
James DeRose  
William J. Devers Jr.  
Dennis J. Drescher  
Mitchell S. Feiger  
Bert A. Getz Jr.  
Joseph A. Gregoire  
Brett J. Hart  
David A. Helfand  
Richard P. Kiphart  
Gerald R. Lanz  
Eric P. Lefkofsky  
Lyle Logan  
Peter D. McDonald  
Jack L. McGinley  
Andrew J. McKenna  
David J. Neithercut  
Leslie H. Newman  
Chaka M. Patterson  
Peer Pedersen  
David Pisor  
Ashish S. Prasad  
Mohan Rao, PhD  
Susan Regenstein  
Thomas R. Reusché  
Philmer H. Rohrbaugh  
Manuel Sanchez  
Christopher S. Segal  
Stephen T. Steers  
Diane C. Swonk  
Matthew M. Walsh  
Robert W.K. Webb  
Linda S. Wolf  
Jia Zhao

Ex-Officio Directors with Vote

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President, Founders' Board  
James Donaldson, MD  
Chairman, Medical Imaging  
Jeffrey Glassroth, MD  
Interim Dean, NUFSM\*  
Thomas P. Green, MD  
Chair, Dept. of Medicine  
Mary J.C. Hendrix, PhD  
President & Director of  
Research  
Daniel T.W. Lum, MD  
VP, Medical/Dental Staff

Patrick M. Magoon  
President & CEO  
Edward S. Ogata, MD  
Chief Medical Officer  
Seth Prostic  
Chairman, MRIC  
Marleta Reynolds, MD  
Surgeon in Chief  
H. William Schnaper, MD  
Vice Chair, Dept. of Pediatrics  
NUFSM\*  
Edward Traisman, MD  
President, Medical/Dental Staff

\*Northwestern University  
Feinberg School of Medicine

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Paul F. Hanzlik  
Elzie L. Higginbottom  
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Andrew McNally IV  
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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
N/A		

**SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS**

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes                       No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

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**SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
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John S. George, 20 S. Clark St., Suite 400, Chicago, IL 60603, Attorney

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\$7,500

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(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

**SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes       No       No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes       No

**B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is  is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

I. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes  No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

**E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS**

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

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**SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS**

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

**A. CERTIFICATION REGARDING LOBBYING**

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

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(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes                       No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes                       No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes                       No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes                       No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

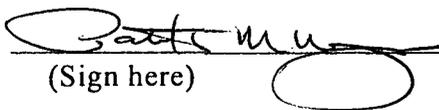
F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

**CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

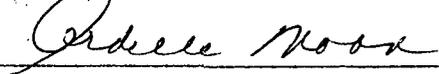
The Children's Memorial Hospital  
(Print or type name of Disclosing Party)

By:   
(Sign here)

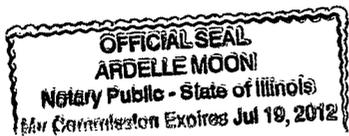
Patrick M. Magoon  
(Print or type name of person signing)

President and Chief Executive Officer  
(Print or type title of person signing)

Signed and sworn to before me on (date) July 18, 2011,  
at Cook County, Illinois (state).

 Notary Public.

Commission expires: July 19, 2012.



**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

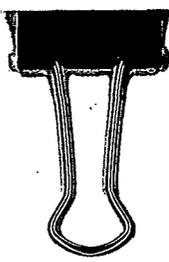
"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.



**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT**

**SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Northwestern University

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant  
OR

2.  a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: \_\_\_\_\_  
OR

3.  a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: \_\_\_\_\_

B. Business address of the Disclosing Party: 633 Clark Street  
Evanston, IL 60208

C. Telephone: <sup>c/o</sup> 312-853-4159 Fax: 312-853-7036 Email: ssaef@sidley.com

D. Name of contact person: Scott Saef

E. Federal Employer Identification No. (if you have one): [REDACTED]

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Application for amendment of IPD No. 3. See list of addresses attached hereto.

G. Which City agency or department is requesting this EDS? Housing and Economic Development  
Zoning Division

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_\_\_ and Contract # \_\_\_\_\_

Address Boundaries of Institutional Planned Development  
Number 3, As Amended

<p>N. St. Clair St.</p> <p>659 – 679</p> <p>701 – 721</p>	<p>N. Fairbanks Ct.</p> <p>629 – 649</p> <p>701 - 755</p> <p>628 – 648</p> <p>658 - 754</p>
<p>E. Superior St.</p> <p>200 – 428</p> <p>201 - 433</p>	<p>E. Erie St.</p> <p>200 – 258</p> <p>237 - 259</p> <p>301 - 331</p>
<p>E. Chicago Ave.</p> <p>213 – 257</p> <p>301 – 363</p> <p>369 – 385</p>	<p>E. Ontario St.</p> <p>238 – 258</p> <p>300 – 332</p>
<p>N. Lake Shore Drive</p> <p>700 – 722</p> <p>732 – 760</p>	
<p>E. Huron St.</p> <p>201 – 259</p> <p>200 – 442</p>	

**SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

**A. NATURE OF THE DISCLOSING PARTY**

1. Indicate the nature of the Disclosing Party:

- |   |  |
|---|--|
| <input type="checkbox"/> Person                                   | <input type="checkbox"/> Limited liability company   |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership                                       |
| <input type="checkbox"/> Privately held business corporation      | <input type="checkbox"/> Joint venture   |
| <input type="checkbox"/> Sole proprietorship                      | <input type="checkbox"/> Not-for-profit corporation  |
| <input type="checkbox"/> General partnership                      | (Is the not-for-profit corporation also a 501(c)(3))?  |
| <input type="checkbox"/> Limited partnership                      | <input type="checkbox"/> Yes <input type="checkbox"/> No                                     |
| <input type="checkbox"/> Trust                                    | <input checked="" type="checkbox"/> Other (please specify)<br><u>an Illinois Corporation</u> |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes  No  N/A

**B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles of all executive officers and all directors of the entity.

**NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

**NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
<u>See attached</u>	
<u>no members</u>	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
<hr/>		

**SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS**

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes                       No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

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**SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
<u>Sidley Austin LLP, One S. Dearborn, Chicago, IL 60603, Attorney, \$12,150 (estimated)</u>			

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

**SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes       No       No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes       No

**B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Apphcant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article I apphes to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

**C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION**

1. The Disclosing Party certifies that the Disclosing Party (check one)

is  is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

**D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS**

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes  No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes                       No

3. If you checked "Yes" to Item D.L, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
<hr/>		
<hr/>		
<hr/>		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

**E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS**

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

  X   1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

       2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

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## SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

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(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1 through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

**B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY**

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes                       No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes                       No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes                       No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes                       No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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**SECTION VH -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE**

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

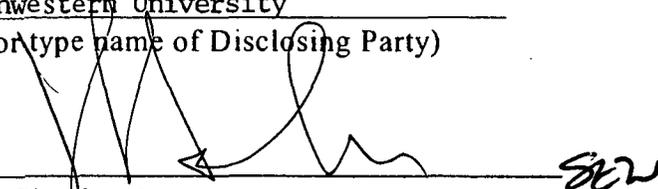
F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

**CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Northwestern University  
(Print or type name of Disclosing Party)

By:   
(Sign here)

Eugene S. Sunshine  
(Print or type name of person signing)

Senior Vice President for  
Business and Finance  
(Print or type title of person signing)

Signed and sworn to before me on (date) 19th July 2011  
at Cook County, Illinois (state).

Maria A. Delgado Notary Public.

Commission expires: 9/9/2014.



**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

NORTHWESTERN UNIVERSITY  
633 Clark Street  
Evanston, Illinois 60208

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2010 - 2011

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Provost .....	Daniel I. Linzer
Senior Vice President for Business and Finance .....	Eugene S. Sunshine
Vice President for Student Affairs .....	William J. Banis
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633 Clark Street  
Evanston, Illinois 60208

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**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT**

**SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Northwestern Memorial Hospital, an Illinois not-for-profit hospital

Check **ONE** of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant (an owner)

**OR**

2.  a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: \_\_\_\_\_

**OR**

3.  a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: \_\_\_\_\_

B. Business address of the Disclosing Party: 251 East Huron Street  
Chicago, Illinois 60611-6998

C. Telephone: 312-926-2900 Fax: 312-926-0077 Email: pmitchel@nmh.org

D. Name of contact person: Philip Mitchell

E. Federal Employer Identification No. (if you have one): [REDACTED]

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  
Application for amendment to Institutional Planned Development No. 3  
See list of addresses attached hereto

G. Which City agency or department is requesting this EDS? Housing and Economic Development, Division of Zoning

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

Address Boundaries of Institutional Planned Development  
Number 3, As Amended

<p>N. St. Clair St.</p> <p>659 – 679</p> <p>701 – 721</p>	<p>N. Fairbanks Ct.</p> <p>629 – 649</p> <p>701 - 755</p> <p>628 – 648</p> <p>658 - 754</p>
<p>E. Superior St.</p> <p>200 – 428</p> <p>201 - 433</p>	<p>E. Erie St.</p> <p>200 – 258</p> <p>237 - 259</p> <p>301 - 331</p>
<p>E. Chicago Ave.</p> <p>213 – 257</p> <p>301 – 363</p> <p>369 – 385</p>	<p>E. Ontario St.</p> <p>238 – 258</p> <p>300 – 332</p>
<p>N. Lake Shore Drive</p> <p>700 – 722</p> <p>732 – 760</p>	
<p>E. Huron St.</p> <p>201 – 259</p> <p>200 – 442</p>	

**SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

**A. NATURE OF THE DISCLOSING PARTY**

1. Indicate the nature of the Disclosing Party:

- |   |  |
|---|--|
| <input type="checkbox"/> Person                                   | <input type="checkbox"/> Limited liability company             |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership         |
| <input type="checkbox"/> Privately held business corporation      | <input type="checkbox"/> Joint venture                         |
| <input type="checkbox"/> Sole proprietorship                      | <input checked="" type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership                      | (Is the not-for-profit corporation also a 501(c)(3))?          |
| <input type="checkbox"/> Limited partnership                      | <input type="checkbox"/> Yes <input type="checkbox"/> No       |
| <input type="checkbox"/> Trust                                    | <input type="checkbox"/> Other (please specify)                |
- 

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois not-for-profit

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes  No  N/A

**B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles of all executive officers and all directors of the entity.

**NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

**NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
<u>List of executive officers and directors is attached as Schedule I</u>	
<hr/>	
<hr/>	
<hr/>	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Schedule I

Northwestern Memorial Hospital  
Board of Directors

William J. Brodsky  
Gregory Q. Brown  
John A. Canning, Jr.  
Thomas A. Cole  
Joseph F. Damico, Jr.  
John A. Edwardson  
Sharon Gist Gilliam  
Jeffrey L. Glassroth, MD  
Ilene S. Gordon  
Dean M. Harrison  
J. Larry Jameson, MD, PhD  
Gary A. Noskin, MD  
William D. Perez  
Timothy P. Sullivan  
Donald Thompson  
Frederick H. Waddeil  
Miles D. White  
Abra Prentice Wilkin  
Richard L. Wixson, MD

Northwestern Memorial Hospital  
Corporate Officers

Thomas A. Cole – Chair  
  
John A. Canning, Jr. – Vice Chair  
  
Dean M. Harrison – President and Chief Executive Officer  
  
Peter J. McCanna – Executive Vice President,  
Administration, Chief Financial Officer and Treasurer  
  
Dennis M. Murphy – Executive Vice President  
  
Michelle A. Janney – Senior Vice President and Chief  
Nurse Executive  
  
Carol M. Lind – Senior Vice President, General Counsel  
and Secretary  
  
Douglas M. Young – Assistant Treasurer  
  
Jennifer S. Wooten – Assistant Secretary

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
N/A		

**SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS**

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes                       No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

---

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**SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES**

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

“Lobbyist” means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. “Lobbyist” also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
--	------------------	--	---

Neal & Leroy, LLC	203 N. LaSalle St. Chicago, Illinois	Attorney	\$10,000 (est.)
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(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

**SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes       No       No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes       No

**B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
  - any “Contractor” (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, “Disclosure of Subcontractors and Other Retained Parties”);
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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The Disclosing Party can only certify that the statements are not known by the Disclosing Party to be untrue.

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

**C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION**

1. The Disclosing Party certifies that the Disclosing Party (check one)

is  is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

---

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

**D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS**

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes  No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
<hr/>		
<hr/>		
<hr/>		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

**E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS**

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

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**SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS**

**NOTE:** If the **Matter** is federally funded, complete this Section VI. If the **Matter** is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

**A. CERTIFICATION REGARDING LOBBYING**

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the **Matter**: (Add sheets if necessary):

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(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that **NO** persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the **Matter**.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the **Matter** and must make such certifications promptly available to the City upon request.

**B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY**

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes                       No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes                       No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes                       No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes                       No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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**SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE**

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

**CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Northwestern Memorial Hospital  
(Print or type name of Disclosing Party)

By: *Dean Harrison*  
(Sign here)

Dean M. Harrison  
(Print or type name of person signing)

President and CEO  
(Print or type title of person signing)

Signed and sworn to before me on (date) 7/20/11,  
at Cook County, Illinois (state).

*Megan Scanlon* Notary Public.

Commission expires: 12-6-2011.



**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any “Applicable Party” or any Spouse or Domestic Partner thereof currently has a “familial relationship” with any elected city official or department head. A “familial relationship” exists if, as of the date this EDS is signed, the Disclosing Party or any “Applicable Party” or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

“Applicable Party” means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. “Principal officers” means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any “Applicable Party” or any Spouse or Domestic Partner thereof currently have a “familial relationship” with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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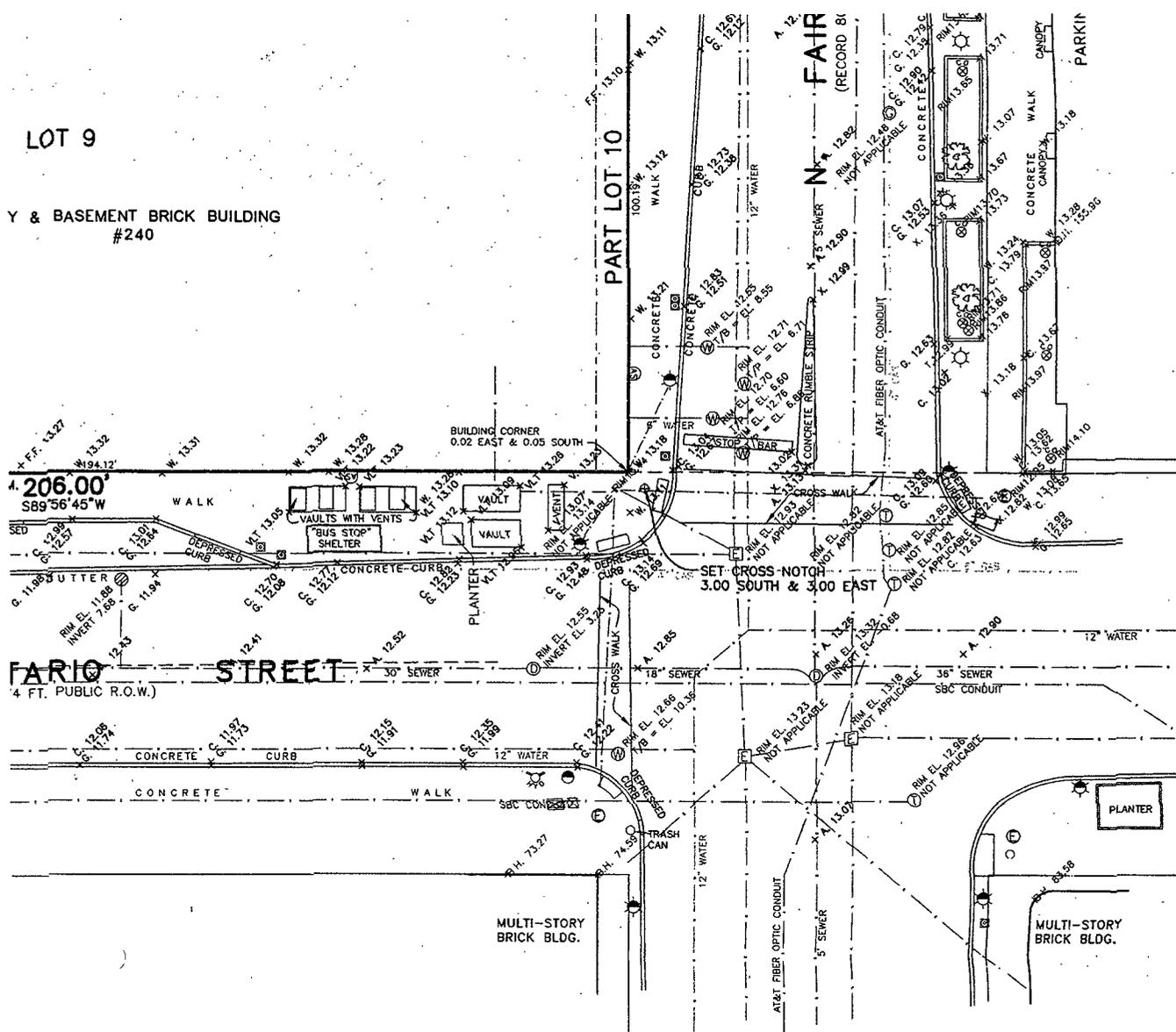
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**Institutional Planned Development Number 3**

**Subarea G  
NMH OCP Site  
Survey**

LOT 9

Y & BASEMENT BRICK BUILDING  
#240



RES:

BENCHMARK  
# 4355  
= 13.697

AIRBANKS & ERIE

N BOTTOM SET AT EAST SIDE OF ENTRANCE  
SIDE OF 7 STORY BRICK BUILDING.  
15 WEST OF THE NORTHEAST CORNER OF BUILDING  
EST CORNER OF FAIRBANKS AND ERIE.

BENCHMARK  
# 4357  
= 13.665

NORTHWEST CORNER OF ERIE & LAKE SHORE DRIVE

EAST THE SOUTHEAST CORNER OF A STONE LEDGE ON SOUTH  
MULTI-STORY BRICK BUILDING.  
EAST WEST OF SOUTHEAST CORNER OF BUILDING

State of Illinois)  
County of Cook)ss

This is to certify that this map or plat and the survey on which it is based were made in accordance with the "Minimum Standard Detail Requirements for ALTA/ACSM Land Title Surveys," jointly established and adopted by ALTA and NSPS in 2005, and includes Items 1, 4, 5, 7A, 8, 10, 11A and 11B of Table A thereof. Pursuant to the Accuracy Standards as adopted by ALTA and NSPS and in effect on the date of this certification, undersigned further certifies that in my professional opinion, as a land surveyor registered in the State of Illinois, the Relative Positional Accuracy of this survey does not exceed that which is specified therein. This survey was prepared without the benefit of, and is subject to, all restrictions and matters appearing of record as might be disclosed by a current title commitment.

Field measurements completed on June 6, 2011.

Signed on JUNE 6, 2011

By: *P. Roman Jim*

Professional Illinois Land Surveyor # 2477

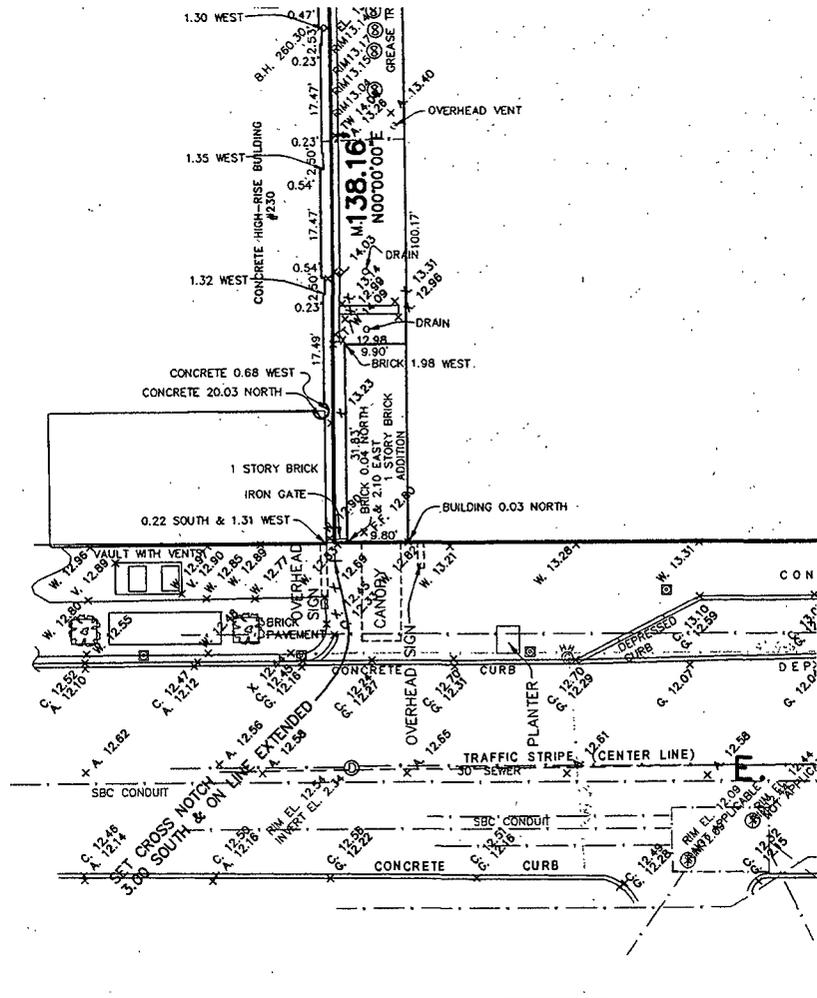


- Asphalt
- Curb
- Gutter
- Walk
- Concrete
- Door Sill
- Finished Floor
- Top of Foundation
- Monitoring Well
- Top of Rail
- Building Height
- Window Well

THE CITY OF CHICAGO BOARD OF UNDERGROUND INVOLVEMENT HAS BEEN REQUESTED FOR YOUR SURVEY. ITS RESULTS TO DATE ARE INDICATED BELOW. AS A CONVENIENCE TO YOU THE UTILITY DATA IS REVIEWED AND ADDED TO THIS PLAT AS IT IS RECEIVED. THESE RECORDS ARE THEN FORWARDED TO YOU. PLEASE BE AWARE THAT NO OTHER COPY OF THIS INFORMATION IS RETAINED.

3UC# \_\_\_\_\_  
 X - INVOLVED. N - NOT INVOLVED. BLANK - NOT RECEIVED.

- X 1. SPOATST
- 2. AT&T LOCAL NETWORK SERVER
- X 3. BUREAU OF ELECTRICITY
- 4. COOT PROJECT DEVELOPMENT
- 5. CDOT INFRASTRUCTURE MANAGEMENT
- 6. BUREAU OF FORESTRY
- 7. COOT ENGINEERING
- 8. CTA TRAFFIC
- 9. CTA FACILITIES
- 10. RCN
- 11. CHICAGO PARK DISTRICT
- X 12. COMED TRANSMISSION
- X 13. DEPARTMENT OF SEWERS
- X 14. DEPARTMENT OF WATER MANAGEMENT
- 15. MCI
- 16. METROPOLITAN WATER RECLAMATION DISTRICT
- X 17. PEOPLES ENERGY
- X 18. LOOKING GLASS NETWORK
- 19. COMCAST
- X 20. JC DECALUX
- 21. LAKESIDE TECH. CENTER
- 22. LEVEL 3 COMMUNICATIONS
- 23. HDETHERMAL CHICAGO CORPORATION
- X 24. COMED DISTRIBUTION
- 25. CHICAGO WATER PARTNERS



CERTIFIED FOR NAVIGANT CONSULTING JUNE 6, 2011  
 PER ORDER #2011-15213

- ISED 4/12/11 ADD'L TOPO(ADA) SH3,4 PER #2011-15019 (BS)
- ISED 2/22/11 ADD'L TOPO E. PER #2011-14756 (BS)
- ISEO 2/16/11 ADD'L BLDG TIES PER #2011-14756 (BS)
- ISED 2/14/11 ADD'L TOPO SH2 PER #2011-14756 (BS)
- ISED 9/8/10 ELEVATIONS OF AREA BUILDINGS (BB)
- CERTIFIED ADDITIONAL TOPO ADDED 8/16/10 #2010-14205 (BB)
- ISED JULY 28, 2010 ADD BOU PER #2010-13997 [BS]

ORDERED BY: NORTHWESTERN MEMORIAL HOSPITAL	CHECKED:	DRAWN:
ADDRESS: 259 E. ERIE S 240 E. ONTARIO		SB

**CHICAGO GUARANTEE SURVEY COMPANY**

A DIVISION OF  
**PLCS, CORPORATION**  
 LICENSE No. 184-005522  
 PROFESSIONAL LAND SURVEYORS

4505 NORTH ELSTON AVENUE, CHICAGO, IL 60630  
 TELEPHONE: (312) 986-9445 FAX: (312) 986-9679 EMAIL: INFO@PLCS-SURVEY.COM

PROJECT NO. <b>010-13997-001</b>	DATE JUNE 10, 2010	PAGE NO. <b>1 OF 4</b>
SCALE 1 INCH = 20 FEET		

**UTILITY WARNING**

The underground utilities shown have been located from field survey information and existing drawings. The surveyor makes NO guarantee that the underground utilities shown comprise all such utilities in the area, either in service or abandoned. The surveyor further does not warrant that the underground utilities shown are in the exact location indicated although he does certify that they are located as accurately as possible from information available. The surveyor has not physically located the underground utilities.

Call DIGGER - (312) 744-7000 within the City of Chicago.

Outside of the City of Chicago call J.U.L.I.E. (800) 892-0123 prior to construction or excavation.

**SURVEY NOTES:**

Note R. & M. denotes Record and Measured distances respectively.

Distances are marked in feet and decimal parts thereof. Compare all points BEFORE building by same and at once report any differences BEFORE damage is done.

For easements, building lines and other restrictions not shown on survey plat refer to your abstract, deed, contract, title policy and local building line regulations.

NO dimensions shall be assumed by scale measurement upon this plat

Unless otherwise noted hereon the Bearing Basis, Elevation Datum and Coordinate Datum if used is ASSUMED.

# Legend:

- ⊙ Storm MH
- ⊗ Storm CB
- ⊕ Storm Inlet
- ⊞ Storm Inlet
- ⊙ San MH
- ⊙ Son Clean Out
- ⊞ Water Valve Vault
- ⊙ Water MH
- ⊗ Water Buffalo Box
- ⊗ Water Hand Hole
- ⊞ Water Meter
- ⊗ Water Fire Hydrant
- ⊙ Telephone MH
- ⊞ Telephone Vault
- ⊞ Telephone Pedestal
- ⊞ Public Telephone
- ⊞ Combination Pedestal
- ⊙ Utility Pole
- ⊞ Electric Manhole
- ⊙ Electric MH
- ⊞ Electric Vault
- ⊙ Electric Meter
- ⊞ Electric Pad
- ⊞ Electric Pedestal
- ⊙ Electric Light Pole
- ⊙ Electric Traffic Signal
- ⊙ Electric Light Pole with Traffic Signal
- ⊞ Electric Traffic Control Box
- ⊞ Electric Traffic Vault
- ⊙ Electric Ground Light
- ⊗ Gas Buffalo Box
- ⊗ Gas Hand Hole
- ⊙ Gas Meter
- ⊙ Gas Valve
- ⊙ Gas MH
- ⊞ Gas Vault
- ⊞ Coble TV Pedestal
- ⊙ Tree - Deciduous
- ⊙ Tree - Evergreen
- ⊙ Parking Meter
- ⊞ Sign Post
- ⊞ Mail Box
- ⊞ Bumper Post
- ⊙ Guy Anchor
- ⊙ Soil Boring
- ⊙ Unclassified Manhole
- ⊙ Auto Sprinkler
- ⊙ Hose Connection
- ⊙ Fire Alarm
- ⊙ Flag Pole
- ⊙ Iron Pipe
- ⊙ Iron Rod
- ⊙ PK Nail
- ⊙ 5 PK Nails
- ⊙ Cut Cross
- ⊙ Cut Notch
- ⊙ Cut Crows Foot

# CHICAGO GUAF

## PLC

PROF

4505 NORI

TELEPHONE: (312) 986-9445

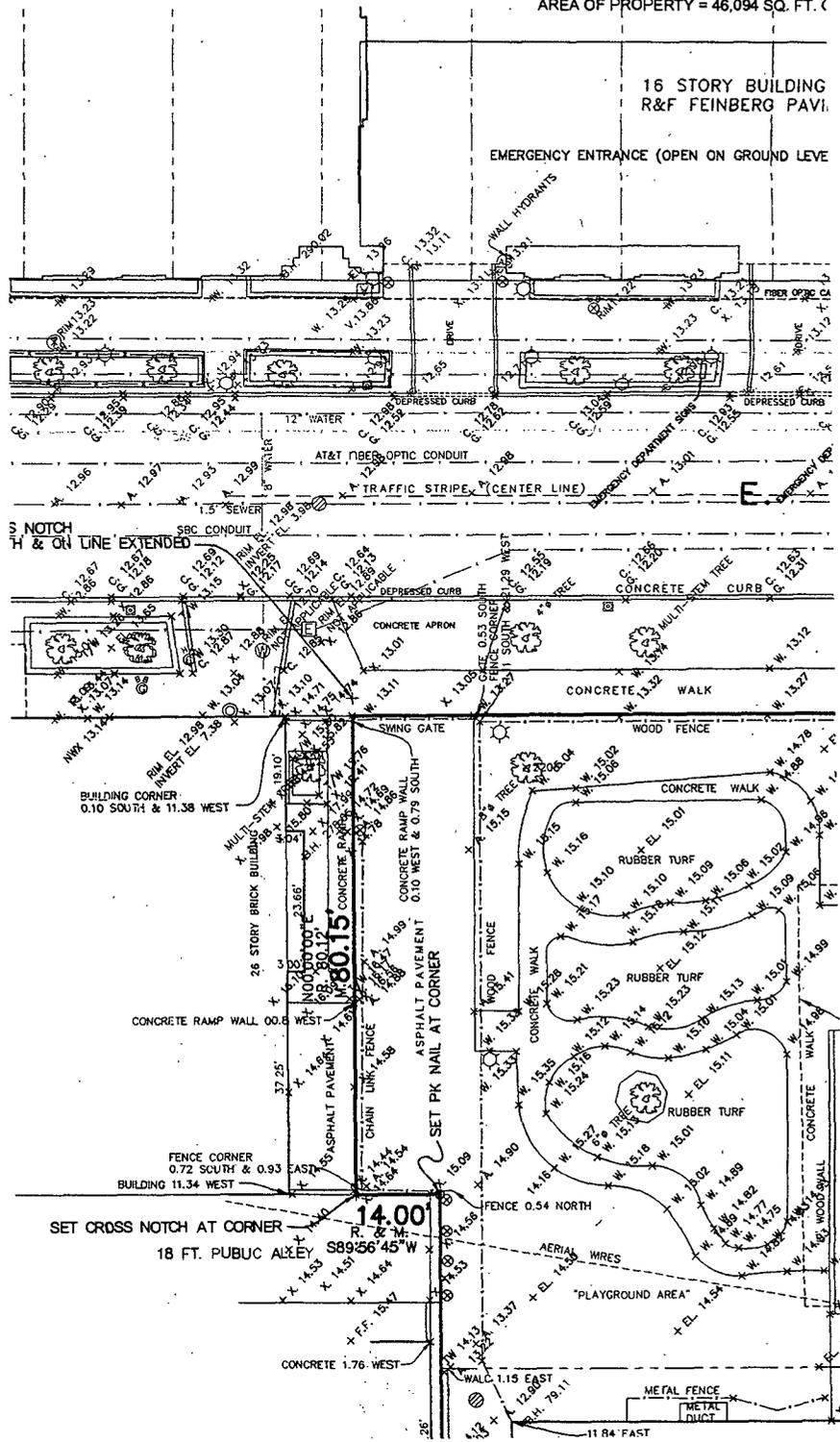
# ALTA / ACS

THE WEST 6 FEET OF LOT 7 AND ALL ESTATES SUBDIVISION OF PARTS OF THE NORTH HALF OF SECTION 10, TOWNSHIP 39 N, RANGE 14 E, MERIDIAN, IN COOK COUNTY, ILLINOIS

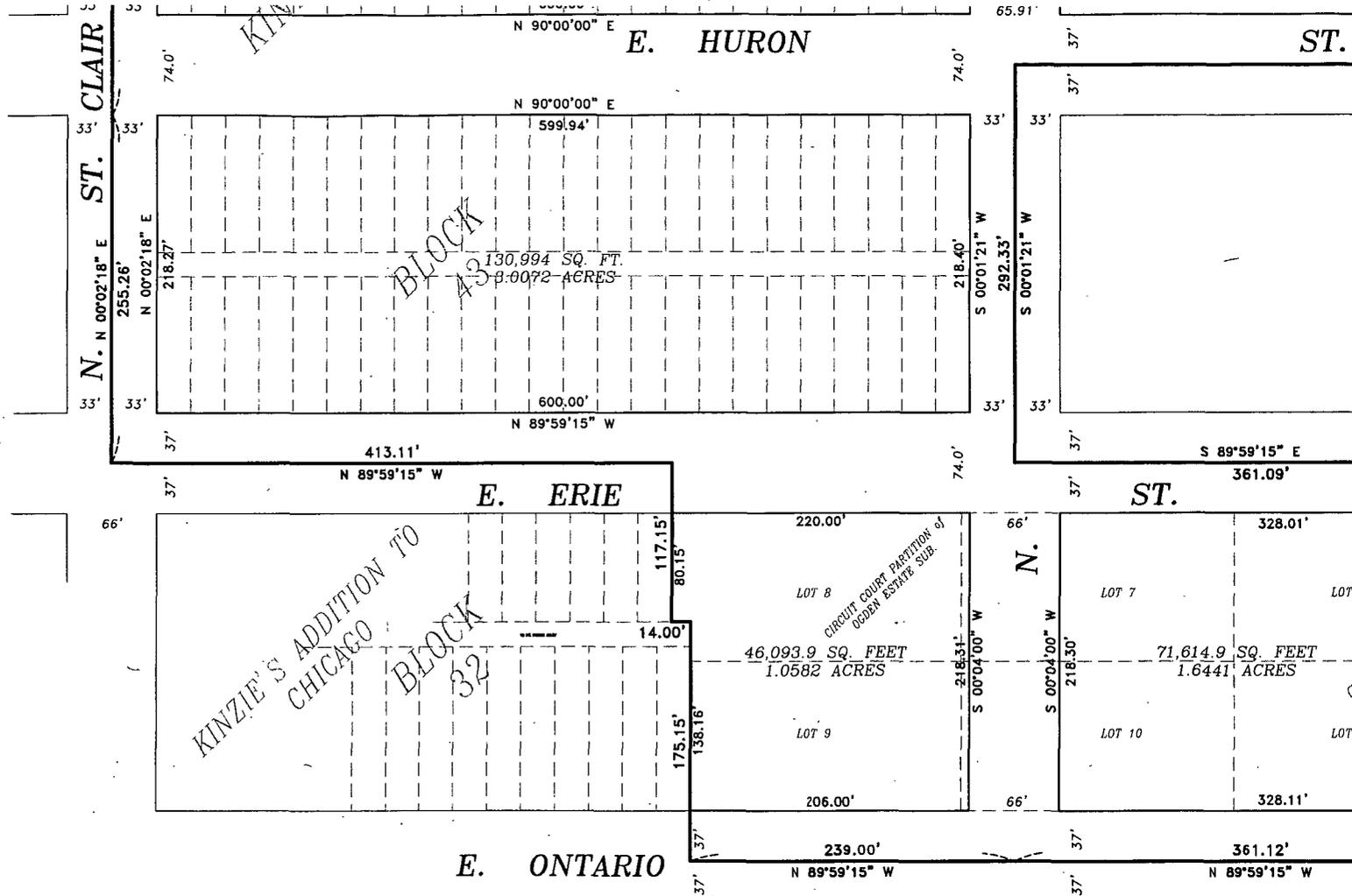
ALSO

LOT 9 AND THE WEST 6 FEET OF LOT 1 SUBDIVISION OF PART OF BLOCKS 20, HALF OF SECTION 10, TOWNSHIP 39 N, RANGE 14 E, MERIDIAN, IN COOK COUNTY, ILLINOIS.

AREA OF PROPERTY = 46,094 SQ. FT. (





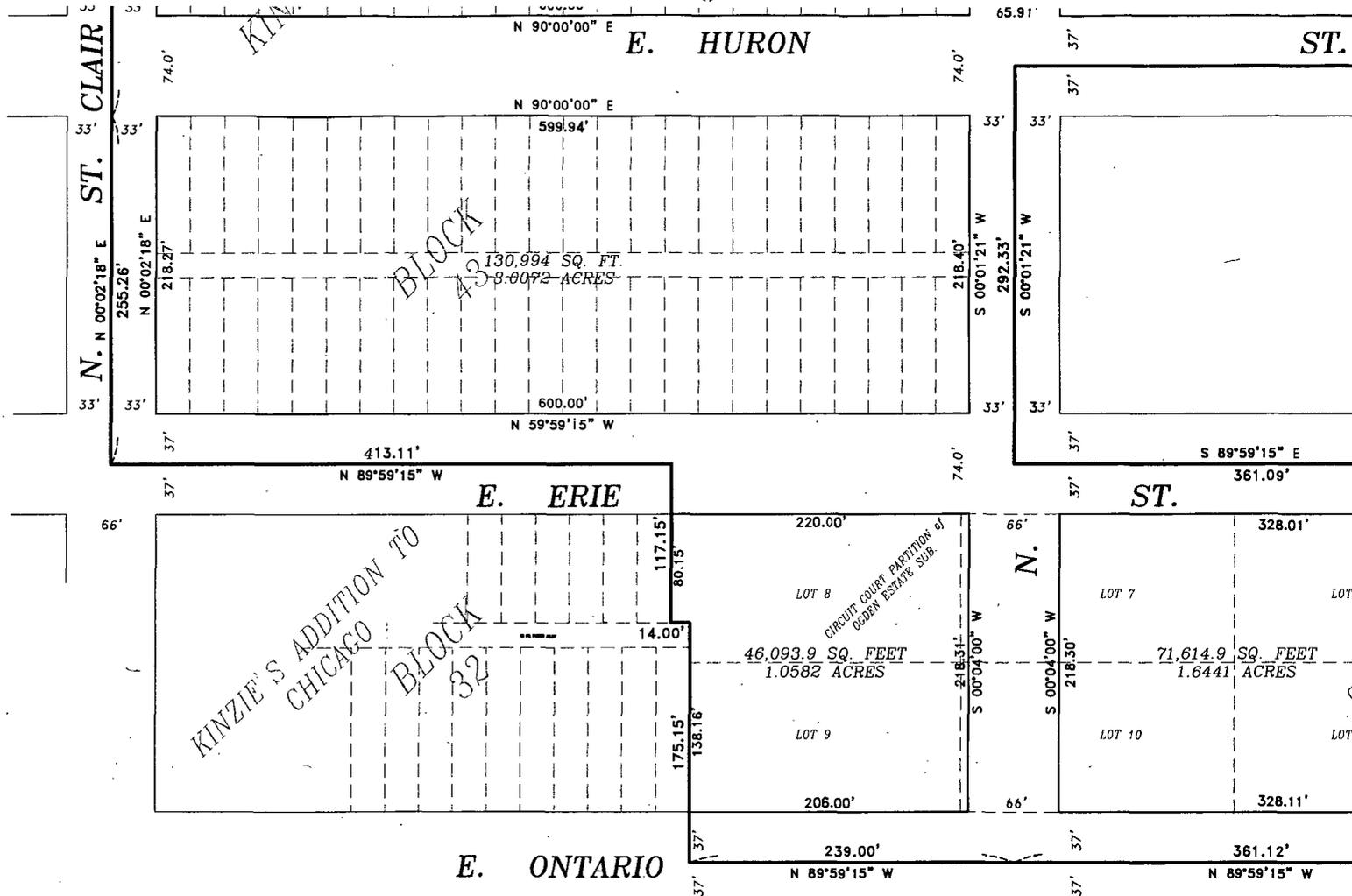


**TOTAL AREA = 1,469,188 SQ. FEET  
 or 33.7279 ACRES.**

**NOTES:**  
 Distances are marked in feet and decimal parts the building by same and at once report any difference  
 For easements, building lines and other restriction: deed, contract, title policy and local building line re  
 NO dimensions shall be assumed by scale measu  
 Unless otherwise noted hereon the Bearing Basis,

REVISIONS:  
 REVISION 1: 7/20/11 [BS]  
 REVISION 2: 7/20/11 [RJT]

PREPARED BY: NEAL & LEROY LLC	CHECKED: MI	DRAWN: MI
PROJECT: PLANNED DEVELOPMENT NO. 3		
<b>CHICAGO GUARANTEE SURVEY COMPANY</b>		
<small>A Division of          PLCS, CORPORATION          LICENSE NO. 184-003322          PROFESSIONAL LAND SURVEYORS          4505 NORTH ELSTON AVENUE, CHICAGO, IL 60630          TELEPHONE: (312) 986-9445 FAX: (312) 986-9679 EMAIL: INFO@PLCS-SURVEY.COM</small>		
PROJECT NO. 011-15378-001	DATE: JULY 19, 2011	PAGE NO. 1 of 1

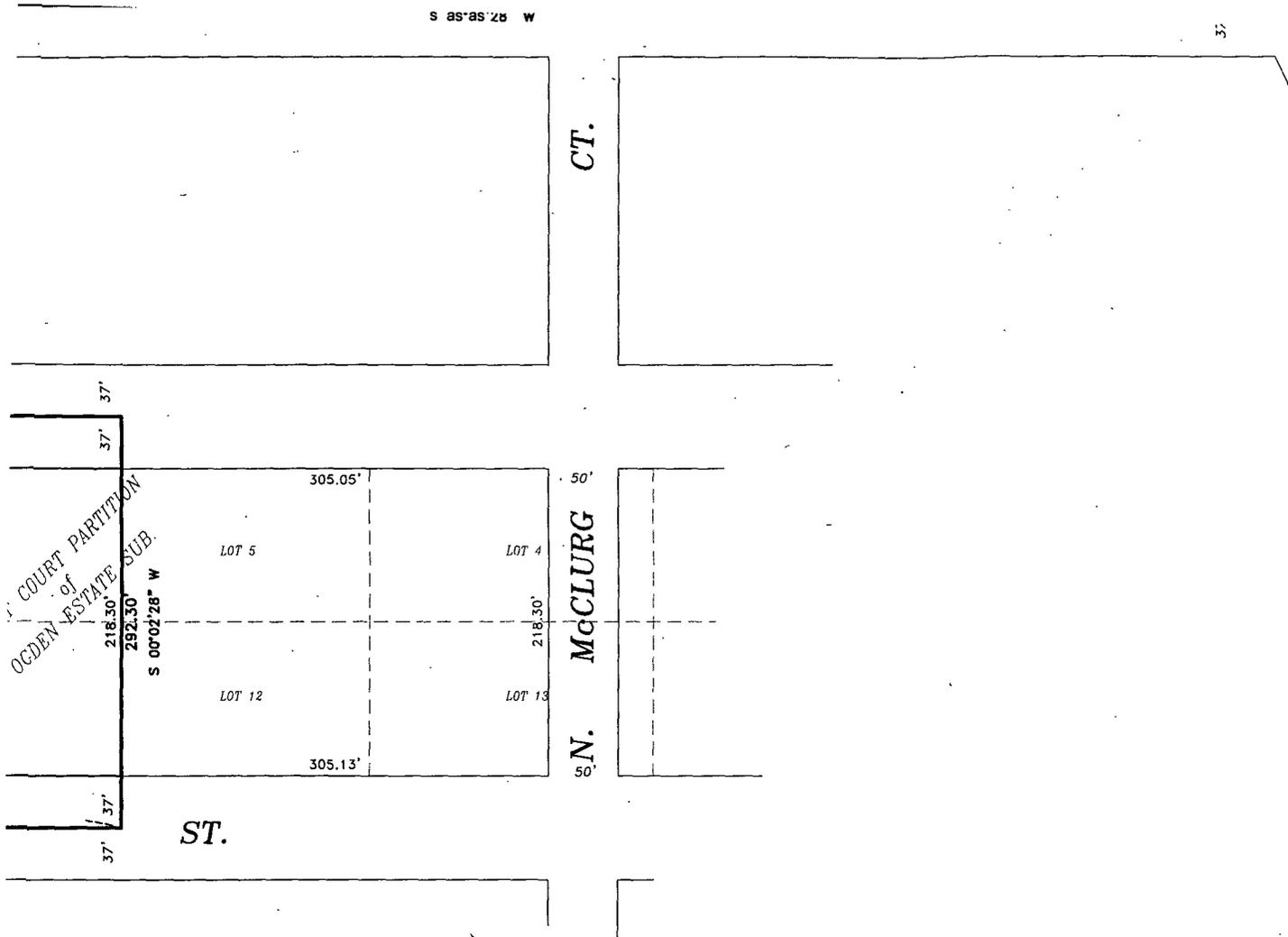


**TOTAL AREA = 1,469,188 SQ. FEET  
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REVISIONS: 7/20/11 [BS]  
 REVISIONS: 7/20/11 [RJT]

PREPARED BY: NEAL & LEROY LLC	CHECKED: MI	DRAWN: MI
PROJECT: PLANNED DEVELOPMENT NO. 3		
<b>CHICAGO GUARANTEE SURVEY COMPANY</b>		
A Division of PLCS, CORPORATION LICENSE No. 184-005322 PROFESSIONAL LAND SURVEYORS		
4505 NORTH ELSTON AVENUE, CHICAGO, IL 60630		
TELEPHONE: (312) 986-9445 FAX: (312) 986-9679 EMAIL: INFO@PLCS-SURVEY.COM		
PROJECT NO. 111-15378-001	DATE JULY 19, 2011	PAGE NO. 1 of 1
SCALE:		



Compare all points BEFORE  
 BEFORE damage is done.

shown on plat refer to your abstract,  
 maps.

t upon this plat.

tion Datum and Coordinate Datum

STATE OF ILLINOIS)  
 )SS  
 COUNTY OF COOK)

WE, CHICAGO GUARANTEE SURVEY COMPANY, DO HEREBY CERTIFY THAT WE HAVE  
 PREPARED THIS PLAT FOR THE USES AND PURPOSES AS SHOWN HEREON. DIMENSIONS  
 ARE SHOWN IN FEET AND DECIMAL PARTS THEREOF.

SIGNED ON: July 20, 2011

Brian S. Stout  
 PROFESSIONAL ILLINOIS LAND SURVEYOR # 1584  
 My license expires November 30, 2012



of  
oration  
05322

SURVEYORS  
CHICAGO, IL 60630  
1679 EMAIL: INFO@PLCS-SURVEY.COM

INSTITUTIONAL PLANNED  
OF CHICAGO, COOK COUNTY,  
S.

AVE.

