

# Office of the Chicago City Clerk



O2012-4436

### Office of the City Clerk

### City Council Document Tracking Sheet

**Meeting Date:** 6/27/2012

Sponsor(s): Emanuel, Rahm (Mayor)

Type: Ordinance

Title: Sale of City-owned property at 4011 S Packers Ave

Committee(s) Assignment: Committee on Housing and Real Estate



## OFFICE OF THE MAYOR CITY OF CHICAGO

RAHM EMANUEL MAYOR

June 27, 2012

## TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Housing and Economic Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

#### **ORDINANCE**

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City is the owner of the 2.57 acre vacant parcel of property located at 4011 South Packers Avenue, Chicago, Illinois, which is legally described on Exhibit A attached hereto (the "Property"), which is illustrated in Exhibit B attached hereto, and which Property is located in the Stockyards Industrial-Commercial Redevelopment Project Area ("Area") established pursuant to ordinances adopted by the City Council of the City on March 8, 1989, published in the Journal of Proceedings of the City Council for such date at pages 25359 through 25403; and

WHEREAS, 1300 Exchange, LLC, an Illinois limited liability company, ("Grantee"), having an address of 1300 West Exchange Avenue, Chicago, Illinois 60609, pursuant to a Request for Proposals ("RFP") issued on September 15, 2008, to which Grantee's was the only response received, has offered to purchase the Property from the City for the sum of Five Hundred Three Thousand, Seven Hundred Seventy and No/100 Dollars (\$503,770.00) (the "Purchase Price"), to improve with industrial open space, landscaping and fencing thereon; and

WHEREAS, the Purchase Price reflects a write-down of One Hundred Forty-Six Thousand Two Hundred-Thirty Dollars (\$146,230.00) from the appraised fair market value of Six Hundred Fifty Thousand Dollars (\$650,000.00), said write down being consideration for Grantee's expenditures associated with the demolition of a dilapidated firehouse on the property and extraordinary and unanticipated debris removal costs incurred by the Grantee on certain adjacent real property previously conveyed by the City to the Grantee in December 2009 for its appraised Fair Market value of Two Hundred Eighty Thousand Dollars (\$280,000.00); and

WHEREAS, pursuant to Resolution No. 09-CDC-03 adopted on January 20, 2009, by the Community Development Commission of the City of Chicago (the "CDC"), the CDC approved the negotiated sale of the Property to the Grantee; and

WHEREAS, pursuant to Resolution No. 12-015-21 adopted on April 19, 2012, by the Plan Commission of the City of Chicago (the "Commission"), the Commission approved the negotiated sale of the Property to the Grantee; and

WHEREAS, public notice advertising the City's intent to enter into a negotiated sale of the Property with the Grantee and requesting alternative proposals appeared in the *Chicago Sun-Times*, a newspaper of general circulation, on May 21, May 28 and June 4, 2012; and

**WHEREAS**, no alternative proposals were received by the deadline indicated in the aforesaid notice; now, therefore,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** The City Council of the City of Chicago ("City Council") hereby approves

the sale of the Property to the Grantee in the amount of Five Hundred Three Thousand Seven Hundred Seventy and No/100 Dollars (\$503,770.00).

**SECTION 2.** The Mayor or his proxy is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, a quitclaim deed conveying the Property to the Grantee. Such deed shall include a covenant obligating the Grantee to use the Property only for a use consistent with the land uses permitted under the redevelopment plan for the Area. Grantee's acceptance of the City's deed shall constitute Grantee's agreement to such covenant. The quitclaim deed shall contain language substantially in the following form:

This conveyance is subject to the express conditions that: (1) the Property is improved with landscaped open space within six (6) months of the date of this deed. "Landscaped" shall mean the planting and maintenance of lawn grass, or cultivated ornamental shrubs, plants, or trees, or a combination thereof and (2) fencing shall be added to the exterior Property line as appropriate within six (6) months of the date of this deed. In the event that these conditions are not met, the City of Chicago may re-enter the Property and revest title in the City of Chicago. Grantee, at the request of the City of Chicago, covenants to execute and deliver to the City a reconveyance deed to the Property to further evidence such revesting of title. This right of reverter and re-entry shall terminate upon the issuance and recordation of a certificate of completion, release or similar instrument by the City of Chicago.

The Grantee acknowledges that if, after such six (6) month period, the Grantee develops the Property with a residential housing project, as defined under and that is subject to Section 2-44-090 of the Municipal Code of the City (the "Affordable Requirements Ordinance"), the Grantee and such project shall be obligated to comply with the Affordable Requirements Ordinance.

Grantee's acceptance of such quitclaim deed shall be deemed to be Grantee's agreement to comply with such redevelopment obligations.

**SECTION 3.** If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

**SECTION 4.** All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

**SECTION 5.** This ordinance shall take effect upon its passage and approval.

#### **EXHIBIT A**

## Legal Description (Subject to Title Commitment and Survey)

A TRACT OF LAND CONSISTING OF A PART OF THE EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS DESCRIBED AS FOLLOWS:

BEGINNING AT THE INTERSECTION OF THE WEST LINE OF SAID EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5. WITH THE SOUTH LINE OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SAID SECTION 5; THENCE NORTH ALONG THE WEST LINE OF THE EAST 25 ACRES OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5, A DISTANCE OF 427.61 FEET; THENCE EAST ALONG A STRAIGHT LINE A DISTANCE OF 96.0 FEET TO A POINT IN THE EAST LINE OF THE WEST 96.0 FEET OF AFORESAID EAST 25 ACRES 427.58 FEET NORTH FROM SAID SOUTH LINE OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5; THENCE SOUTH ALONG THE AFORESAID EAST LINE OF THE WEST 96.0 FEET TO THE SOUTH LINE OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF AFORESAID SECTION 5; THENCE WEST ALONG SAID SOUTH LINE OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5 TO THE PLACE OF BEGINNING. (EXCEPTING ALL THAT PART OF SAID TRACT OF LAND LYING SOUTH OF THE NORTH LINE OF THE FOLLOWING DESCRIBED PARCEL OF LAND, TO-WIT: BEGINNING AT A POINT ON THE WEST LINE OF SAID TRACT OF LAND, WHICH POINT IS 0.40 FEET NORTH FROM SAID SOUTH LINE OF THE NORTHEAST 1/4 OF AFORESAID SECTION 5: THENCE NORTHEASTWARDLY ALONG THE ARC OF A CIRCLE, CONVEX TO THE SOUTHEAST AND HAVING A RADIUS OF 176.66 FEET TO A POINT ON THE EAST LINE OF THE WEST 96.0 FEET OF SAID EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5, WHICH POINT IS 62.37 FEET NORTH FROM THE SOUTH LINE OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF AFORESAID SECTION 5; THENCE NORTH ALONG SAID EAST LINE OF THE WEST 96.0 FEET OF AFORESAID EAST 25 ACRES A DISTANCE OF 38.81 FEET; THENCE SOUTHWESTWARDLY ALONG THE ARC OF A CIRCLE, CONVEX TO THE SOUTHEAST AND HAVING A RADIUS OF 208.63 FEET, A DISTANCE OF 127.65 FEET TO A POINT ON THE WEST LINE OF AFORESAID EAST 25 ACRES WHICH IS 20.17 FEET NORTH FROM SAID SOUTH LINE OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF AFORESAID SECTION 5; THENCE SOUTH ALONG SAID WEST LINE OF THE AFORESAID 25 ACRES A DISTANCE OF 19.77 FEET TO THE POINT OF BEGINNING) IN COOK COUNTY, ILLINOIS.

#### **ALSO**

PART OF THE EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY ILLINOIS, BOUNDED AND DESCRIBED AS FOLLOWS:

BEGINNING AT A POINT ON THE WEST LINE OF THE EAST 25 ACRES (SAID LINE ALSO BEING THE EAST LINE OF PACKERS AVENUE, A PRIVATE STREET) SAID POINT BEING 427.61 FEET NORTH OF THE SOUTH LINE OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4; THENCE NORTH ALONG SAID WEST LINE OF THE EAST 25 ACRES AND EAST LINE OF PACKERS AVENUE A DISTANCE OF 101.29 FEET, MORE OR LESS. TO IT'S INTERSECTION WITH A LINE DRAWN PARALLEL WITH AND 817.47 FEET SOUTH OF THE NORTH LINE OF THE SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4: THENCE EAST ALONG THE LAST DESCRIBED PARALLEL LINE A DISTANCE OF 68.4 FEET TO A POINT: THENCE SOUTHEASTERLY ALONG A CURVED LINE CONVEX TO THE EAST WITH A RADIUS OF 242.5 FEET TO A POINT IN A LINE DRAWN PARALLEL WITH AND 427.77 FEET NORTH OF THE SOUTH LINE OF THE SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4. THE SAID POINT BEING 102.88 FEET EAST OF THE SAID WEST LINE OF THE EAST 25 ACRES: THENCE WEST ALONG THE LAST DESCRIBED PARALLEL LINE TO ITS INTERSECTION WITH A LINE DRAWN PARALLEL WITH AND 96 FEET EAST OF THE WEST LINE OF THE SAID EAST 25 ACRES; THENCE SOUTH ALONG THE LAST DESCRIBED PARALLEL LINE TO A POINT 427.58 FEET NORTH OF THE SOUTH LINE OF THE SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4: THENCE WEST ALONG A STRAIGHT LINE A DISTANCE OF 96 FEET TO THE POINT OF BEGINNING.

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THAT PART OF THE EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5, TOWNSHIP 38 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS, BOUNDED AND DESCRIBED AS FOLLOWS:

BEGINNING ON THE LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4 OF SAID SECTION 5; AT A POINT WHICH IS 637.82 FEET WEST FROM THE SOUTHEAST CORNER OF SAID NORTH 1/2 OF THE NORTHWEST 1/4, AND RUNNING THENCE NORTHWARDLY ALONG A STRAIGHT LINE MAKING AN INTERIOR ANGLE WITH SAID LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE SAID NORTHWEST 1/4. OF 89 DEGREES 50 MINUTES, EAST TO THE NORTH, A DISTANCE OF 357.17 FEET TO THE NORTHWEST CORNER OF THE PARCEL OF LAND CONVEYED BY DEED RECORDED IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY. ILLINOIS, ON FEBRUARY 28, 1962, AS DOCUMENT NUMBER 18412763; THENCE NORTHWESTWARDLY ALONG A STRAIGHT LINE, A DISTANCE OF 33,74 FEET TO A POINT WHICH IS 384.04 FEET NORTH FROM SAID LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4, AND 167.66 FEET EAST FROM THE EAST LINE OF PACKERS AVENUE. SAID EAST STREET LINE BEING ALSO THE WEST LINE OF SAID EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5; THENCE NORTHWESTWARDLY ALONG THE ARC OF CIRCLE. CONVEX TO THE NORTHEAST AND HAVING A RADIUS OF 410.0 FEET, A DISTANCE OF 172.80 FEET TO A POINT WHICH IS 534.79 FEET NORTH FROM THE SAID LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4, AND 85.69 FEET EAST FROM SAID EAST LINE OF PACKERS AVENUE; THENCE NORTHEASTWARDLY ALONG A STRAIGHT LINE, A DISTANCE OF 45.99 FEET TO A POINT WHICH IS 554.88 FEET NORTH FROM SAID LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4, AND 127.04 FEET EAST FROM SAID EAST LINE OF PACKERS AVENUE; THENCE CONTINUING NORTHEASTWARDLY ALONG THE EXTENSION OF SAID LAST DESCRIBED LINE. A

DISTANCE OF 7.72 FEET TO A POINT WHICH IS 20.00 FEET SOUTHWESTERLY FROM THE CENTER LINE OF AN EXISTING RAILROAD TRACK; THENCE NORTHWESTWARDLY ALONG THE ARC OF A CIRCLE, CONVEX TO THE NORTHEAST, BEING 20.00 FEET SOUTHWESTERLY OF AND CONCENTRIC WITH SAID CENTER LINE OF RAILROAD TRACK AND HAVING A RADIUS OF 491.76 FEET, A DISTANCE OF 90.02 FEET TO A POINT WHICH IS 598.03 FEET NORTH FROM SAID LINE BETWEEN THE NORTH ½ AND THE SOUTH ½ OF THE NORTHWEST 1/4 . AND 53.34 FEET EAST FROM SAID LINE OF PACKERS AVENUE: THENCE CONTINUING NORTHWESTWARDLY ALONG THE ARC OF CIRCLE CONVEX TO THE NORTHEAST, BEING 20.00 FEET SOUTHWESTERLY OF AND CONCENTRIC WITH SAID CENTER LINE OF RAILROAD TRACK AND HAVING A RADIUS OF 604.79 FEET, A DISTANCE OF 56.20 FEET TO A POINT ON SAID EAST LINE OF PACKERS AVENUE, WHICH POINT IS 615.38 FEET NORTH FROM SAID LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4: THENCE SOUTH ALONG THE EAST LINE OF PACKERS AVENUE. BEING ALSO THE WEST LINE OF SAID EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5. A DISTANCE OF 86.21 FEET TO AN INTERSECTION WITH A LINE DRAWN PARALLEL WITH AND 817.47 FEET SOUTH OF THE NORTH LINE OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4; THENCE EAST ALONG SAID LAST DESCRIBED PARALLEL LINE, A DISTANCE OF 68.40 FEET TO A POINT; THENCE SOUTHEASTERLY ALONG A CURVED LINE CONVEX TO THE EAST, WITH A RADIUS OF 242.50 FEET. A DISTANCE OF 108.42 FEET TO A POINT IN A LINE DRAWN PARALLEL WITH AND 427.77 FEET NORTH OF THE SOUTH LINE OF THE SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5. SAID POINT BEING 102.88 FEET EAST OF THE SAID WEST LINE OF THE EAST 25 ACRES; THENCE WEST ALONG THE LAST DESCRIBED PARALLEL LINE A DISTANCE OF 6.88 FEET TO ITS INTERSECTION WITH A LINE DRAWN PARALLEL WITH AND 96 FEET EAST OF THE WEST LINE OF SAID EAST 25 ACRES: THENCE SOUTH ALONG SAID LAST DESCRIBED PARALLEL. A DISTANCE OF 338.64 FEET TO A POINT WHICH IS 88.94 FEET NORTH FROM SAID LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4 OF SAID SECTION 5: THENCE SOUTHWESTWARDLY ALONG THE ARC OF CIRCLE; CONVEX TO THE SOUTHEAST AND HAVING A RADIUS OF 158.50 FEET, A DISTANCE OF 121.35 FEET TO A POINT ON THE WEST LINE OF SAID EAST 25 ACRES. WHICH POINT IS 19.75 FEET NORTH FROM SAID LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4: THENCE SOUTH ALONG SAID WEST LINE OF THE EAST 25 ACRES, A DISTANCE OF 19.35 FEET; THENCE NORTHEASTWARDLY ALONG THE ARC OF A CIRCLE, CONVEX TO THE SOUTHEAST AND HAVING A RADIUS OF 176.66 FEET, A DISTANCE OF 116.43 FEET TO A POINT ON SAID LINE DRAWN PARALLEL WITH AND 96 FEET EAST OF THE WEST LINE OF SAID EAST 25 ACRES, WHICH POINT IS 62:37 FEET NORTH FROM SAID LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4: THENCE SOUTH ALONG SAID LAST DESCRIBED PARALLEL LINE. A DISTANCE OF 62.37 FEET TO SAID LINE BETWEEN THE NORTH 1/2 AND THE SOUTH ½ OF THE NORTHWEST ½: THENCE EAST ALONG THE LAST DESCRIBED LINE, A DISTANCE OF 91.40 FEET TO THE POINT OF BEGINNING: EXCEPTING THERE FROM THAT PART LYING EAST OF A LINE 96 FEET EAST OF AND PARALLEL WITH THE WEST LINE OF SAID EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5 TOWNSHIP 38 NORTH: RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN AND SOUTH OF A LINE 29.74 FEET NORTH OF AND PARALLEL WITH THE SOUTH LINE OF NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SAID SECTION 5: ALSO EXCEPTING THEREFROM A TRACT OF

#### LAND DESCRIBED AS FOLLOWS:

COMMENCING AT THE POINT OF INTERSECTION OF THE SOUTH LINE OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SAID SECTION 5 WITH THE EAST LINE OF THE WEST 96 FEET OF THE EAST 25 ACRES OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 THENCE NORTH ALONG SAID EAST LINE OF THE WEST 96 FEET. A DISTANCE OF 29.74 FEET. TO A LINE PARALLEL WITH THE SOUTH LINE OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 THENCE EAST ALONG SAID PARALLEL LINE. 55.91 FEET TO THE POINT OF BEGINNING OF THE HEREIN DESCRIBED TRACT OF LAND; THENCE NORTH, 327.43 FEET, PARALLEL WITH A LINE DESCRIBED AS BEGINNING AT A POINT ON THE LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4 OF SAID SECTION 5, WHICH 637.82 FEET WEST FROM THE SOUTHEAST CORNER OF SAID NORTH 1/2 OF THE NORTHWEST 1/4, AND RUNNING THENCE NORTHWARDLY ALONG A STRAIGHT LINE MAKING AN INTERIOR ANGLE WITH SAID LINE BETWEEN THE NORTH 1/2 AND SOUTH 1/2 OF THE NORTHWEST 1/4, OF 89 DEGREES 50 MINUTES. EAST TO NORTH, A DISTANCE OF 357.17 FEET TO THE NORTHWEST CORNER OF THE PARCEL OF LAND CONVEYED BY DEED RECORDED IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY. ILLINOIS, ON FEBRUARY 28, 1962 AS DOCUMENT NUMBER 18412763, TO A LINE PARALLEL WITH THE SOUTH LINE OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SAID SECTION 5; THENCE EAST ALONG SAID PARALLEL LINE TO THE NORTHWEST CORNER OF SAID PARCEL OF LAND CONVEYED BY DEED RECORDED IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, ILLINOIS, ON FEBRUARY 28. 1962 AS DOCUMENT NUMBER 18412763; THENCE SOUTHWARDLY ALONG THE LINE PREVIOUSLY DESCRIBED AS BEGINNING AT A POINT ON THE LINE BETWEEN THE NORTH 1/2 AND THE SOUTH 1/2 OF THE NORTHWEST 1/4 OF SAID SECTION 5. WHICH IS 637.82 FEET WEST FROM THE SOUTHEAST CORNER OF SAID NORTH 1/2 OF THE NORTHWEST 1/4. TO THE INTERSECTION WITH A LINE 29.74 FEET NORTH OF .AND PARALLEL WITH THE SOUTH LINE OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SAID SECTION 5: THENCE WEST ALONG SAID PARALLEL LINE TO THE POINT OF BEGINNING.

#### **ALSO**

THAT PART OF THE EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS:

BEGINNING ON THE WEST LINE OF SAID EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5, AT A POINT WHICH IS 19.75 FEET NORTH FROM THE SOUTH LINE OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5 AND RUNNING THENCE NORTH ALONG SAID WEST LINE OF THE EAST 25 ACRES A 'DISTANCE OF 0.42 OF A FOOT; THENCE NORTHEASTWARDLY ALONG THE ARC OF A CIRCLE, CONVEX TO THE SOUTHEAST AND HAVING A RADIUS OF 208.63 FEET, A DISTANCE OF 127.65 FEET TO A POINT ON THE EAST LINE OF THE WEST 96.0 FEET OF SAID EAST 25 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SAID

SAID EAST LINE OF THE WEST 96.0 FEET OF SAID EAST 25 ACRES, A DISTANCE OF 12.24 FEET; AND THENCE SOUTHWESTWARDLY ALONG THE ARC OF A CIRCLE, CONVEX TO THE SOUTHEAST AND HAVING A RADIUS OF 158.50 FEET A DISTANCE OF 121.33 FEET TO THE POINT OF BEGINNING ALSO THAT PART OF THE EAST 50 FEET OF PACKERS AVENUE AS SHOWN ON THE PLAT OF PACKER'S ADDITION TO CHICAGO, A SUBDIVISION OF THE EAST PART OF THE SOUTH ½ OF THE NORTHWEST 1/4 SECTION 5, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, AND PART OF THE WEST 15 ACRES OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SAID SECTION 5, LYING NORTH OF A LINE 100.00 FEET NORTH OF AND PARALLEL WITH THE SOUTH LINE OF SAID NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5 AND LYING SOUTH OF THE SOUTH LINE OF THE LAND CONVEYED BY A DEED DATED NOVEMBER 26, 1875 AND RECORDED FEBRUARY 11, 1876 AS DOCUMENT 71660, BEING A CURVED LINE OF 2125 FEET RADIUS, ALSO A STRIP OR PARCEL OF LAND 50 FEET IN WIDTH DESCRIBED AS FOLLOWS:

BEGINNING AT A POINT WHERE THE SOUTH LINE OF THE LAND CONVEYED BY DEED DATED NOVEMBER 26, 1875 AND RECORDED FEBRUARY 11,1876 AS DOCUMENT 71660. INTERSECTS THE EAST LINE OF LOT OR BLOCK 5, OF PACKER'S FOURTH ADDITION (A PRIVATE SUBDIVISION OF THE WEST 15 ACRES OF THE EAST ½ OF THE NORTH ½ OF THE NORTHWEST 1/4 OF SECTION 5, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, THE PLAT OF SAID PACKER'S FOURTH ADDITION WAS RECORDED JULY 25, 1877 AS DOCUMENT 144039), RUNNING THENCE SOUTH ALONG THE EAST LINE OF LOTS OR BLOCKS 5, 6 AND 7 OF SAID PACKER'S FOURTH ADDITION TO A POINT 70.0 FEET NORTH OF THE SOUTHEAST CORNER OF SAID LOT OR BLOCK 7 (BEING ALSO 100.0 FEET NORTH OF THE SOUTH LINE OF THE NORTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 5), RUNNING THENCE EAST PARALLEL WITH SAID SOUTH LINE OF THE NORTHEAST 1/4 OF SECTION 5, A DISTANCE OF 50 FEET, RUNNING THENCE NORTH 50 FEET DISTANT FROM AND PARALLEL TO THE EAST LINE OF SAID. LOTS OR BLOCKS 7, 6 AND 5 OF SAID PACKER'S FOURTH ADDITION TO THE SOUTH LINE OF THE LAND CONVEYED BY SAID DEED DATED NOVEMBER 26, 1875, AND RUNNING THENCE WESTERLY ALONG A CURVED LINE OF 2125 FEET RADIUS TO THE POINT OF BEGINNING.

#### **ALSO**

THAT PART OF LOTS 6 AND 7 IN PACKERS FOURTH ADDITION. BEING A SUBDIVISION OF THE WEST 15 ACRES OF THE EAST HALF OF THE NORTH HALF OF THE NORTHWEST 1/4 OF SECTION 5, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN. DESCRIBED AS FOLLOWS: BEGINNING AT THE NORTHEAST CORNER OF SAID LOT 6, THENCE SOUTH ON THE EAST LINE OF SAID LOT 6, 25 FEET FOR A POINT OF BEGINNING; THENCE SOUTH ON THE EAST LINE OF SAID LOTS 6 AND 7, 137.72 FEET (SAID POINT BEING 209.3 FEET NORTH OF THE SOUTHEAST CORNER OF SAID LOT 7) THENCE NORTHWESTERLY ALONG A LINE FORMING AN ANGLE OF 85 DEGREES 30 MINUTES WITH THE EAST LINE OF SAID LOTS BEING 6.5 FEET NORTHEASTERLY (MEASURED AT RIGHT ANGLES) TO THE CENTER LINE OF PRESENT TRACK FOR A D1STANCE OF 66.55 FEET TO A POINT OF CURVE, THENCE NORTHWESTERLY ALONG A CURVED LINE CONVEX TO THE SOUTHWEST AND HAVING A RADIUS OF 652.95 FEET (THE CHORD OF SAID CURVE FORMS AN INTERIOR

ANGLE OF 179 DEGREES 06 MINUTES WITH LAST DESCRIBED COURSE) FOR A DISTANCE OF 42.12 FEET (SAID POINT BEING 108.22 FEET, WEST OF THE EAST LINE OF SAID LOTS) THENCE NORTH ON A LINE 108.22 FEET WEST OF AND PARALLEL TO THE EAST LINE OF SAID LOTS 6 AND 1. 128.48 FEET TO A POINT 25 FEET SOUTH OF THE NORTH LINE OF SAID LOT 6; THENCE EAST 108.22 FEET TO THE POINT OF BEGINNING IN COOK COUNTY, ILLINOIS.

CONTAINING 112,034.2 SQ. FT.

Property Index Numbers: 20-05-102-011-0000

20-05-102-019-0000 20-05-102-027-0000 PMD 8 (partial)

Address: 4011 S. Packers Ave.

Chicago, Illinois

### E 1/2 NW 1/4 SEC 5-38-14 LAKE

38-14-5D <sup>20-5</sup>

PERSHING RD (102) (102) <sup>2</sup> "C" <sup>2</sup> 1 -061 TRANSIT.AVE (PRIVATE) (102) (102) Pt 2745.72W 3778 (102) 230 STREET NO 1 "B" "E" (106) (106) (105)STREET NO 2 -010 "B" tD" -PACKER AVE (110) (110) (109) STREET NO.3

PACKER'S ADD. TO CHICAGO, a sub of the E. par N W 1/4 of Sec. 5-38-14 and part of the W 15 acs. of N.W.1/4 of Sec. 5-38-14. Re-rec. 174263 Ree Nov 12, 1872 Doc 67892.

"B"
PACKER'S THIRD ADD (a private sub), being a sub
Packer's Add, and Packer's Second Add of the \$ 1/2 o
\$-38-14. Rec Jul 25, 1877 Doc 144040

PACKER'S FOURTH ADD. (a private sub.), being a site E. 1/2 of the N 1/2 as conveyed by Canal deeds, of 5-38-14. Rec. Jul 25, 1877 Doc 144039.

"D"
SUB of Blk 4 in Packer's Add to Chicago (see "A")
Doc. 352565

"E" SUB. of Bik. 1 of Packer's Add. of part of the W.1/2 of Nov 30, 1885 Doc. 511030

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I -- GENERAL INFORMATION

Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this EDS is:	
1 [本 the Applicant OR	
2 [] a legal entity holding a direct or indirect interest in the Applicant State the le	
Applicant in which the Disclosing Party nolds an interest:  OR	
3. [] a legal entity with a right of control (see Section II B 1.) State the legal name which the Disclosing Party holds a right of control:	of the entity in
B Business address of the Disclosing Party: 1100 Brandt Drive	
Hoffman Estates, IL 60192	
Name of contact person: Rolando R. Acosta	·
Federal Employer Identification No (if you have one):  Brief description of contract, transaction or other undertaking (referred to below as the hich this EDS pertains. (Include project number and location of property, if applicable	
Brief description of contract, transaction or other undertaking (referred to below as the	

#### SECTION II - DISCLOSURE OF OWNERSHIP INTERESIS

A NATURE OF THE DISCLOSING PARTY

<ul><li>1 Indicate the nature of the Disclosing P</li><li>[ ] Person</li></ul>	arty: [X] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Irust	[] Other (please specify)
O To low books and a control of	
	country) of incorporation or organization, if applicable:
Illinois	
3 For legal entities not organized in the St	tate of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign ent	ity?
[] Yes [] No	[ <sup>X</sup> ] N/A
B IF THE DISCLOSING PARTY IS A LEGA	AL ENIIIY:
1. List below the full names and titles of all	l executive officers and all directors of the entity.
NOTE: For not-for-profit corporations, also list	t below all members, if any, which are legal entities. If "For trusts, estates or other similar entities, list below
the legal titleholder(s)	
· · · · · · · · · · · · · · · · · · ·	partnership, limited liability company, limited liability
	and title of each general partner, managing member,
	ols the day-to-day management of the Disclosing Party
NOTE: Each legal entity listed below must subm	nit an EDS on its own behalf
Name	I itle
Daniel Plote	Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7 5% of the Disclosing Party Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None" NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure

Name		Percentage Interest in the Disclosing Party
AGM Holdings LLC	4137 S Packers Ave, Chicag	go 15%
Exchange Materials L	LC Hoffman Estates, IL60192	85%
	101211111111111111111111111111111111111	į

#### SECTION III - BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	[ <b>¾</b> No	•	
If yes, please iden relationship(s):	tify below the name(s) of	such City elected official(s) and	describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

Name (indicate whether retained or anticipated to be retained)

Business Address Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated) NOTE: "hourly rate" or "t b d." is not an acceptable response.

Rolando R	R. Acosta	300	S. Wacker	Dr.,	ATTORNEY	\$5,000	(est.)	
	1	Sui	te 2450					_
		Chi	cago, IL 6	0606				_

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### SECTION V - CERTIFICATIONS

#### A COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[]Yes

[X] No

[] No person directly or indirectly owns 10% or more of the Disclosing Party

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[]Yes []No

#### B FURTHER CERTIFICATIONS

Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City NOIE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below

- 2 The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II B 1 of this EDS:
  - a are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B 2 b of this Section V;
- d have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c made an admission of such conduct described in a or b above that is a matter of record, but have not been prosecuted for such conduct; or
- d violated the provisions of Municipal Code Section 2.92-610 (Living Wage Ordinance)
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
- Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the US Department of the Ireasury or the Bureau of Industry and Security of the US Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List
- 6 The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code

	ing Party is unable to ce Disclosing Party must ex	plain below:	statements in this Part	B (Purtner
				<u></u>
•		•		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements

8.	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a
compl	ete list of all current employees of the Disclosing Party who were, at any time during the 12-
month	period preceding the execution date of this EDS, an employee, or elected or appointed official,
of the	City of Chicago (if none, indicate with "N/A" or "none")

Οl	

9 Io the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none") As to any gift listed below, please also list the name of the City recipient

	N	О	N	E
--	---	---	---	---

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1 The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code
  - 2 If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

	A," the word "None," or no response esumed that the Disclosing Party ce	se appears on the lines above, it will be rtified to the above statements
D CERTIFICA	ATION REGARDING INTEREST	IN CITY BUSINESS
•	erms that are defined in Chapter 2-1 used in this Part D	56 of the Municipal Code have the same
of the City have entity in the Ma	a financial interest in his or her own	Municipal Code: Does any official or employee n name or in the name of any other person or
[] Yes	kå No	
NOIE: If you of Item D 1., proce		I to Items D 2 and D 3 If you checked "No" to
elected official o any other person for taxes or asses "City Property Sa	r employee shall have a financial in or entity in the purchase of any pro- sments, or (iii) is sold by virtue of l	ive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain powerning of this Part D.
Does the Matter i	nvolve a City Property Sale?	
[] Yes	[]No	
-	ked "Yes" to Item D 1., provide the yees having such interest and identi	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	The second secon	

4 The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1 or 2 below If the Disclosing Party checks 2, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2 Failure to

•
comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City
X 1 The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2 The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding
A. CERTIFICATION REGARDING LOBBYING
I List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter)
2 The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A 1 above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any

- 3 The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A 1. and A 2 above

  4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section
- 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities"
- 5 If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A 1 through A 4 above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations

negotiations		·
Is the Disclosing	Party the Applicant?	
[]Yes	[] No	
If "Yes," answer	the three questions below	<b>'</b> :
	developed and do you haves? (See 41 CFR Part 60-	ve on file affirmative action programs pursuant to applicable 2)
[]Yes	[]No	,
Contract Complia		ting Committee, the Director of the Office of Federal al Employment Opportunity Commission all reports due
	articipated in any previou	as contracts or subcontracts subject to the
[]Yes	[] No	
If you checked "No	o" to question 1 or 2 abo	ove, please provide an explanation:
<del></del>	······································	

## SECTION VII -- ACKNOWLEDGMENIS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N

Sedgwick St, Suite 500, Chicago, IL 60610, (312) 744-9660 The Disclosing Party must comply fully with the applicable ordinances

C If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages

D It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2.154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F 3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2 above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Commission expires: 5/18/15	KAREN M BUSCH Notary Public - State of Illinois My Commission Expires May 18, 2015
Kan M Brock Notary Public.	OFFICIAL SEAL
at Cook County, Illinois (state).	<del>_</del>
Signed and sworn to before me on (date) March 33 20	1.7
(Print or type title of person signing)	
MANNER	
(Print or type name of person signing)	
Daniel R. Plote	
(Sign here)	
By: elcloldt	
(Print or type name of Disclosing Party)	
1300 EXCHANGE LLC	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVII APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II. B 1 a, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[X] No	
such person is connect	ted; (3) the name and title of th	of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nature of such familial relationship

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I - GENERAL INFORMATION

Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting 1. [] the Applicant OR	ng this EDS is:
	ect interest in the Applicant State the legal name of the nolds an interest: 1300 Exchange LLC
	eee Section II B 1.) State the legal name of the entity in control:
B Business address of the Disclosing Party:	4137 S. Packers Ave.
	Chicago, IL 60609
C Telephone: 312.636.6937 Fax: 312.	660.9612 Email: racosta@ginsbergjaco
O. Name of contact person: Rolando R. Ac	
Name of contact person: Rolando R. Ac	ave one):her undertaking (referred to below as the "Matter") to
Name of contact person: Rolando R. Ac  Federal Employer Identification No. (if you ha  Brief description of contract, transaction or oth	her undertaking (referred to below as the "Matter") to er and location of property, if applicable):
Name of contact person: Rolando R. Ac Federal Employer Identification No. (if you have Brief description of contract, transaction or other which this EDS pertains. (Include project number Negotiated Sale of Property at Pa	her undertaking (referred to below as the "Matter") to er and location of property, if applicable): ackers and Exchange
D Name of contact person: Rolando R. Ac  E Federal Employer Identification No. (if you ha  Brief description of contract, transaction or oth  which this EDS pertains. (Include project numbe  Negotiated Sale of Property at Pa  Which City agency or department is requesting	her undertaking (referred to below as the "Matter") to er and location of property, if applicable): ackers and Exchange

### SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A NATURE OF THE DISCLOSING PART	Y
1 Indicate the nature of the Disclosing Partial Person [ ] Publicly registered business corporation [ ] Privately held business corporation [ ] Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust	arty:  [A Limited liability company  [ ] Limited liability partnership  [ ] Joint venture  [ ] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes  [ ] No  [ ] Other (please specify)
2. For legal entities, the state (or foreign of	ountry) of incorporation or organization, if applicable:
3 For legal entities not organized in the St business in the State of Illinois as a foreign enti	
[] Yes [] No	[¾N/A
B IF THE DISCLOSING PARTY IS A LEGA	L ENIIIY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s)  If the entity is a general partnership, limited ppartnership or joint venture, list below the name	executive officers and all directors of the entity to below all members, if any, which are legal entities. If "For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability and title of each general partner, managing member, ols the day-to-day management of the Disclosing Party nit an EDS on its own behalf
Name Stockyards Industrial Services Ir	Iitle nc. Manager
2 Please provide the following information of	concerning each person or entity having a direct or

indirect beneficial interest (including ownership) in excess of 7 5% of the Disclosing Party Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None" NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
	•	Disclosing Party
Angela DeGr	azia Trust 523 W 25 Pl.Chic	eago 60616 55%
Antonetta Pa	assarelli 256 W33 St. Chic	ago 60616 45%
SECTION III –	BUSINESS RELATIONSHIPS WI	TH CITY ELECIED OFFICIALS
	sing Party had a "business relationship	p," as defined in Chapter 2-156 of the Municipal
Bode, with the or	try choice official in the 12 months by	Stote the date this Libe is signed:
[]Yes	[ <sup>23</sup> ] No	
f yes, please iden elationship(s):	tify below the name(s) of such City el	ected official(s) and describe such

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated) NOTE: "hourly rate" or "t b d" is
			not an acceptable response.
(Add sheets if necessary)			
[4] Check here if the Disclo	osing Party ha	s not retained, nor expects to retain,	any such persons or entities
SECTION V CERTIFI	CATIONS		
A COURT-ORDERED C	HILD SUPPO	ORI COMPLIANCE	
-		15, substantial owners of business of their child support obligations through	
	•	owns 10% or more of the Disclosing by any Illinois court of competent	<del>-</del>
[] Yes [] No		person directly or indirectly owns I losing Party	0% or more of the
If "Yes," has the person enter is the person in compliance		urt-approved agreement for paymen ement?	t of all support owed and
[] Yes [] No			
B FURTHER CERTIFICA	TIONS		•
consult for defined terms (e g submitting this EDS is the Ap certifies as follows: (i) neithe with, or has admitted guilt of, criminal offense involving ac perjury, dishonesty or deceit a	y, "doing bus oplicant and is it the Applican or has ever b tual, attempte igainst an offi	er 1-23, Article I ("Article I") (which iness") and legal requirements), if the doing business with the City, then not any controlling person is curred to convicted of, or placed under so, or conspiracy to commit bribery, icer or employee of the City or any that compliance with Article I is a controlled.	the Disclosing Party the Disclosing Party ently indicted or charged upervision for, any theft, fraud, forgery, sister agency; and (ii) the

Name (indicate whether

doing business with the City NOIE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2 The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II B 1 of this EDS:
  - a are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B 2 b of this Section V;
- d have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents")

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity:
- b agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c made an admission of such conduct described in a or b above that is a matter of record, but have not been prosecuted for such conduct; or
- d violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance)
- 4 Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
- 5 Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons I ist, the Unverified List, the Entity List and the Debarred List
- 6 The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code

•	rty is unable to d ing Party must e		statements in	this Part B (F	urther
					-
			÷		

If the letters "NA," the word "None," or no response appears on the lines above, it will be co presumed that the Disclosing Party certified to the above statements	nclusively
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the followin complete list of all current employees of the Disclosing Party who were, at any time during the month period preceding the execution date of this EDS, an employee, or elected or appointed of the City of Chicago (if none, indicate with "N/A" or "none")  None	he 12-
9 To the best of the Disclosing Party's knowledge after reasonable inquiry, the following complete list of all gifts that the Disclosing Party has given or caused to be given, at any time 12-month period preceding the execution date of this EDS, to an employee, or elected or approficial, of the City of Chicago For purposes of this statement, a "gift" does not include: (i) a made generally available to City employees or to the general public, or (ii) food or drink provictures of official City business and having a retail value of less than \$20 per recipient (if none with "N/A" or "none") As to any gift listed below, please also list the name of the City recipies.	during the cinted anything ided in the control of t
C CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION	
1 The Disclosing Party certifies that the Disclosing Party (check one)	
[] is [考is not	
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code	
2 If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:	
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predato lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predator lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of do business with the City."	ory atory
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):	

does not constitu Does the Matter	te a financial interest within the meaning of this Part D  nvolve a City Property Sale?  [] No  ked "Yes" to Item D 1, provide the names and business addresses of the City yees having such interest and identify the nature of such interest:  Business Address  Nature of Interest
does not constitu	nvolve a City Property Sale?
does not constitu	•
	te a financial interest within the meaning of this Part D
2 Unless so elected official of any other person for taxes or asset	ed to Part E  Id pursuant to a process of competitive bidding, or otherwise permitted, no City remployee shall have a financial interest in his or her own name or in the name or entity in the purchase of any property that (i) belongs to the City, or (ii) is solutions, or (iii) is sold by virtue of legal process at the suit of the City (collective telle"). Compensation for property taken pursuant to the City's eminent domain permits of the City's eminent domain permits and the city is eminent domain
	checked "Yes" to Item D 1, proceed to Items D 2 and D 3 If you checked "No
	ance with Section 2-156-110 of the Municipal Code: Does any official or employ a financial interest in his or her own name or in the name of any other person or tter?
	erms that are defined in Chapter 2-156 of the Municipal Code have the same used in this Part D
D CERÍIFIC	ATION REGARDING INTEREST IN CITY BUSINESS
	A," the word "None," or no response appears on the lines above, it will be esumed that the Disclosing Party certified to the above statements

Page 8 of 13

Please check either 1. or 2. below If the Disclosing Party checks 2, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2 Failure to

E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City
× 1 The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2 The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding  A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with
respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the I obbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter)
2 The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A 1 above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement

The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A 1. and A 2 above 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities" 5 If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A 1 through A 4 above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request B CERIIFICATION REGARDING EQUAL EMPLOYMENI OPPORTUNITY If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations Is the Disclosing Party the Applicant? []Yes MO If "Yes," answer the three questions below: 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2) []Yes IINo 2 Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? []Yes []No 3 Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? INO []Yes If you checked "No" to question 1 or 2 above, please provide an explanation:

## SECTION VII -- ACKNOWLEDGMENIS, CONIRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions The full text of these ordinances and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N

Sedgwick St, Suite 500, Chicago, IL 60610, (312) 744-9660 The Disclosing Party must comply fully with the applicable ordinances

C If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages

D It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS

E The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires NOIE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOIE: If the Disclosing Party cannot certify as to any of the items in F.1, F.2 or F.3 above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

AGM Holdings LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)
Ву:
(Sign here)
Michael Prisarelli
(Print or type name of person signing)
MAR- Stockyards Industrial Services Inc Manager of ABM Holdings LIC
manager of AGM Holdings LIC
(Print or type title of person signing)
•
Signed and sworn to before me on (date) 3 23-12
cook County, Illinois (state)
Louth Co XXXX Staya Notary Public
Commission expires: 9-8-2013

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVII APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II B 1 a, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ \$140	
such person is connecte	ed; (3) the name and title of the	of such person, (2) the name of the legal entity to whice elected city official or department head to whom such nature of such familial relationship

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submit	-
STOCKYARDS INDUSTRIAL SERVICES,	INC.
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitti 1. [] the Applicant OR	ng this EDS is:
	rect interest in the Applicant. State the legal name of the holds an interest: 1300 EXCHANGE LLC
3. [] a legal entity with a right of control (s which the Disclosing Party holds a right of	see Section II.B.1.) State the legal name of the entity in control:
B. Business address of the Disclosing Party:	4137 S. PACKERS AVENUE
	CHICAGO, ILLINOIS 60609
212 626 6227	,
	Email: racosta@ginsbergjacobs
	: godta
Name of contact person: Rolando R. A	:
Name of contact person: Rolando R. A  Federal Employer Identification No. (if you h  Brief description of contract, transaction or ot	ther undertaking (referred to below as the "Matter") to
Name of contact person: Rolando R. A  Federal Employer Identification No. (if you h  Brief description of contract, transaction or ot	ave one): ther undertaking (referred to below as the "Matter") to er and location of property, if applicable):
Name of contact person: Rolando R. A  Federal Employer Identification No. (if you h  Brief description of contract, transaction or ot thich this EDS pertains. (Include project number.)  Negotiated Sale of property as	ther undertaking (referred to below as the "Matter") to er and location of property, if applicable):  t Packers and Exchange
D. Name of contact person: Rolando R. A. E. Federal Employer Identification No. (if you have been been been been been been been be	ther undertaking (referred to below as the "Matter") to er and location of property, if applicable):  t Packers and Exchange

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A NATURE OF THE DISCLOSING PARTY

<ol> <li>Indicate the nature of the Disclosing Pa</li> </ol>	arty:
[] Person	[ ] Limited liability company
[ ] Publicly registered business corporation	[] Limited liability partnership
[X] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[]Yes []No
[] Tenat	[] Other (please specify)
[] Irust	
	ountry) of incorporation or organization, if applicable:
2. For legal entities, the state (or foreign continuous	rate of Illinois: Has the organization registered to do

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members" For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
Angela DeGrazis	President	
Antonetta Passarelli	Secretary	
Anthony DeGrazia	Vice-President	
Michael Passarelli	Vice-President	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Angela DeGraz	zia Trust, 523 W. 23rd Pl, Chicago, IL 60	616 55%
Antonetta Pas	sarelli, 256 W. 33rd St., Chicago, IL 606	16 45%
Antonetta Pas	sarelli, 256 W. 33rd St., Chicago, IL 606	16 45%

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	[¾ No
If yes, please identify belovelationship(s):	w the name(s) of such City elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b d." is not an acceptable response.
		T T	
(Add sheets if necessary	)		
[X] Check here if the Disc	closing Party h	as not retained, nor expects to retain	, any such persons or entities
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORI COMPLIANCE	
the City must remain in c	compliance with	415, substantial owners of business a their child support obligations thro	oughout the contract's term.
~ ~	=	ns by any Illinois court of competen	_ *
[] Yes [X] N		person directly or indirectly owns closing Party	10% or more of the
If "Yes," has the person e is the person in compliance		ourt-approved agreement for payment ement?	nt of all support owed and
[]Yes []N	0		
B. FURTHER CERTIFIC	CATIONS		
consult for defined terms (submitting this EDS is the certifies as follows: (i) nei with, or has admitted guilt criminal offense involving perjury, dishonesty or dece	(e.g., "doing bu Applicant and ther the Applic of, or has even actual, attempet eit against an of	ter 1-23, Article I ("Article I") (which is iness") and legal requirements), if is doing business with the City, the ant nor any controlling person is cubeen convicted of, or placed under ted, or conspiracy to commit bribery fficer or employee of the City or any that compliance with Article I is a	the Disclosing Party n the Disclosing Party rrently indicted or charged supervision for, any y, theft, fraud, forgery, y sister agency; and (ii) the

doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II B 1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B 2 b of this Section V;
  - d have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a or b above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
- 5 Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

ernifications), the Di	isclosing Party n	nust explain belo	ow:	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none")

 NONE				
I O LI L				
 	<del></del>	 	 	

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

NONE		
MOME	•	

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

	A," the word "None," or no respon sumed that the Disclosing Party co	se appears on the lines above, it will be ertified to the above statements.
D. CERTIFICA	TION REGARDING INTEREST	IN CITY BUSINESS
•	ms that are defined in Chapter 2-lased in this Part D.	156 of the Municipal Code have the same
of the City have a entity in the Matt	a financial interest in his or her ow er?	e Municipal Code: Does any official or employee on name or in the name of any other person or
[]Yes	oN [K]	•
NOTE: If you cl Item D 1., procee	-	ed to Items D 2. and D.3. If you checked "No" to
elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial in or entity in the purchase of any pro- ements, or (iii) is sold by virtue of	itive bidding, or otherwise permitted, no City nterest in his or her own name or in the name of operty that (i) belongs to the City, or (ii) is sold legal process at the suit of the City (collectively, aken pursuant to the City's eminent domain power eaning of this Part D.
Does the Matter ir	nvolve a City Property Sale?	
[] Yes	[ ] No	
_	ked "Yes" to Item D 1, provide the	ne names and business addresses of the City tify the nature of such interest:
Name	Business Address	Nature of Interest
4. The Disclos	sing Party further certifies that no	prohibited financial interest in the Matter will

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

be acquired by any City official or employee.

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter)
2 The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A 1 above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

which there occurs	ing Party will submit an updated certification at the end of each calendar quarter in any event that materially affects the accuracy of the statements and information set A.1. and A.2. above.
501(c)(4) of the In	ing Party certifies that either: (i) it is not an organization described in section ernal Revenue Code of 1986; or (ii) it is an organization described in section ernal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying
form and substance subcontract and the	sing Party is the Applicant, the Disclosing Party must obtain certifications equal in to paragraphs A 1 through A 4 above from all subcontractors before it awards any Disclosing Party must maintain all such subcontractors' certifications for the ter and must make such certifications promptly available to the City upon request
B. CERTIFICATION	N REGARDING EQUAL EMPLOYMENT OPPORTUNITY
	rally funded, federal regulations require the Applicant and all proposed bmit the following information with their bids or in writing at the outset of
Is the Disclosing Pa	ty the Applicant?
[] Yes	[ ] No
If "Yes," answer the	three questions below:
federal regulations?	eloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2.)
[]Yes	[] No
Y	with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports due filing requirements?
[] Yes	[ ] No
3. Have you part equal opportunity cla	cipated in any previous contracts or subcontracts subject to the use?
[]Yes	[]No
If you checked "No"	o question 1 or 2 above, please provide an explanation:

## SECTION VII -- ACKNOWLEDGMENIS, CONIRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F 1 The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes
- F 2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, not permit their subcontractors to use, any facility listed by the U S E P A on the federal Excluded Parties List System ("EPLS") maintained by the U S General Services Administration
- F 3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F 1 and F 2 above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications

NOTE: If the Disclosing Party cannot certify as to any of the items in F 1, F 2 or F 3 above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City

STOCKYARDS INDUSTRIAL SERVICES, INC.
(Print or type name of Disolosing Party)
By:
(Sign here)
Michael Pascarolli
(Print or type name of person signing)
Vice President Treasurer
(Print or type title of person signing)
Signed and sworn to before me on (date) 3-23-12,
at COOK County, ILLINOIS (state)
Louth a. De Lagie Notary Public
Commission expires: 9-8-2013
OFFICIAL SEAL LORETTA A. DEGRAZIA NOTARY PIRILIC STATE OF ILLINOIS

MY COMMISSION EXPIRES 9-8-2013

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVII APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II B 1 a, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	•	[ A i/o	•			
such person is con	mected; (3) tl	(1) the name and title name and title of the name and title of the precise (4)	he elected city of	fficial or departm	ent head to whom	
			······			
					***************************************	

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

Angela DeGrazia Trust	· · · · · · · · · · · · · · · · · · ·
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitt  1. [] the Applicant  OR	ting this EDS is:
	irect interest in the Applicant State the legal name of the holds an interest: 1300 Exchange LLC
3. [] a legal entity with a right of control ( which the Disclosing Party holds a right of	(see Section II B 1.) State the legal name of the entity in f control:
B Business address of the Disclosing Party:	523 W. 25th Pl.
	Chicago, IL 60616
C Telephone: 312.636.6937 Fax: 312	.660.9612 Email: racosta@ginsbergjaco
D Name of contact person: Rolando R. A  E Federal Employer Identification No (if you be	have one): None
D Name of contact person: Rolando R. A  E Federal Employer Identification No (if you leaders)  Brief description of contract, transaction or o	have one): None ther undertaking (referred to below as the "Matter") to
D Name of contact person: Rolando R. A  E Federal Employer Identification No (if you be	ther undertaking (referred to below as the "Matter") to ber and location of property, if applicable):
D Name of contact person: Rolando R. A  E Federal Employer Identification No (if you I  Brief description of contract, transaction or o which this EDS pertains. (Include project numb  Negotiated Sale of property	ther undertaking (referred to below as the "Matter") to ber and location of property, if applicable):  at Packers and Exchange
D Name of contact person: Rolando R. A  E Federal Employer Identification No (if you I  F Brief description of contract, transaction or o which this EDS pertains. (Include project numb  Negotiated Sale of property  Which City agency or department is requesting	ther undertaking (referred to below as the "Matter") to ber and location of property, if applicable):  at Packers and Exchange

## SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A NATURE OF THE DISCLOSING PART	Y
1 Indicate the nature of the Disclosing Partial Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [X] Trust	arty:  [] Limited liability company  [] Limited liability partnership  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No  [] Other (please specify)
2 For legal entities, the state (or foreign con lllinois	ountry) of incorporation or organization, if applicable:
3 For legal entities not organized in the St business in the State of Illinois as a foreign enti	rate of Illinois: Has the organization registered to do ity?
[] Yes [] No	[ <b>X</b> N/A
B IF THE DISCLOSING PARTY IS A LEGA	LENIIIY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members" the legal titleholder(s)  If the entity is a general partnership, limited p partnership or joint venture, list below the name	l executive officers and all directors of the entity t below all members, if any, which are legal entities. If "For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability and title of each general partner, managing member, ols the day-to-day management of the Disclosing Party nit an EDS on its own behalf
Name	Iitle
Angela DeGrazia	Trustee
Please provide the following information of	concerning each person or entity having a direct or

indirect beneficial interest (including ownership) in excess of 7 5% of the Disclosing Party Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None" NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure

Name	Business Address	Percentage Interest in the Disclosing Party
Angela DeGrazia	523 W. 25th PL., Chicago, IL 60616	100%
SECTION III BUS	SINESS RELATIONSHIPS WITH CI	IY ELECIED OFFICIALS
_	Party had a "business relationship," as delected official in the 12 months before the	
[] Yes	[ <sup>2</sup> ] No	
f yes, please identify l elationship(s):	pelow the name(s) of such City elected of	fficial(s) and describe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

retained or anti-		Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated ) NOTE "hourly rate" or "t b d." is not an acceptable response
(Add sheets if no	ecessary)			and the second of \$100 and the second of the
[3] Check here if	the Disclo	sing Party ha	s not retained, nor expects to retain	, any such persons or entities
SECTION V	CERTIFI	CATIONS		
A COURT-ORI	DERED CI	HILD SUPPC	RT COMPLIANCE	
-	•		15, substantial owners of business their child support obligations thro	
• •	•	_	owns 10% or more of the Disclosics by any Illinois court of competent	-
[]Yes	[≯No		person directly or indirectly owns I losing Party	0% or more of the
If "Yes," has the p is the person in co			nt-approved agreement for paymen	at of all support owed and
[]Yes	[]No			
B FURTHER CE	RTIFICAT	TIONS		
consult for defined submitting this ED certifies as follows with, or has admitte criminal offense in perjury, dishonesty	terms (e g S is the Ap : (i) neither ed guilt of, volving act or deceit a	y "doing bus oplicant and in the Applican or has ever to tual, attempte gainst an offi	in 1-23, Article I ("Article I") (which iness") and legal requirements), if the doing business with the City, then not any controlling person is current convicted of, or placed under so, or conspiracy to commit bribery, for or employee of the City or any that compliance with Article I is a constitution.	the Disclosing Party the Disclosing Party rently indicted or charged supervision for, any , theft, fraud, forgery, sister agency; and (ii) the

doing business with the City NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2 The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II B 1 of this EDS:
  - a are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B 2 b of this Section V;
- d have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c made an admission of such conduct described in a or b above that is a matter of record, but have not been prosecuted for such conduct; or
- d violated the provisions of Municipal Code Section 2.92-610 (Living Wage Ordinance)
- 4 Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
- 5 Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the US Department of the Ireasury or the Bureau of Industry and Security of the US Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons I ist, the Unverified List, the Entity List and the Debarred List
- 6 The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code

7 If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further		
Certifications), the Disclosing Party must explain below:		

	the letters "NA," the word "None," or no response appears on the lines above, it will be conclusivel esumed that the Disclosing Party certified to the above statements
m	8 To the best of the Disclosing Party's knowledge after reasonable inquity, the following is a mplete list of all current employees of the Disclosing Party who were, at any time during the 12-onth period preceding the execution date of this EDS, an employee, or elected or appointed official, the City of Chicago (if none, indicate with "N/A" or "none")  None
cor 12- offi mac cou	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a applete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the month period preceding the execution date of this EDS, to an employee, or elected or appointed cial, of the City of Chicago For purposes of this statement, a "gift" does not include: (i) anything degenerally available to City employees or to the general public, or (ii) food or drink provided in the use of official City business and having a retail value of less than \$20 per recipient (if none, indicated "N/A" or "none") As to any gift listed below, please also list the name of the City recipient None
	CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1	The Disclosing Party certifies that the Disclosing Party (check one)
(	] is [予is not
a "fii	nancial institution" as defined in Section 2-32-455(b) of the Municipal Code
2	If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
Code lende lende	we further pledge that none of our affiliates is, and none of them will become, a predatory as defined in Chapter 2-32 of the Municipal We further pledge that none of our affiliates is, and none of them will become, a predatory as defined in Chapter 2-32 of the Municipal Code We understand that becoming a predatory to becoming an affiliate of a predatory lender may result in the loss of the privilege of doing test with the City "
Section	Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in n 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "Na	A," the word "None," or no respons	se appears on the lines above, it will be
conclusively pre	sumed that the Disclosing Party ce	rtified to the above statements
D CERTIFICA	TION REGARDING INTEREST	IN CITY BUSINESS
~	ms that are defined in Chapter 2-1 used in this Part D	56 of the Municipal Code have the same
	i financial interest in his or her ow er?	Municipal Code: Does any official or employee n name or in the name of any other person or
[] Yes	[ <sup>25</sup> ] No	
NOTE: If you cl Item D 1., procee		to Items D 2 and D 3 If you checked "No" to
elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial in a entity in the purchase of any pro- ments, or (iii) is sold by virtue of l	ive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	0 <i>M</i> []	
•	ed "Yes" to Item D 1., provide the ees having such interest and identi	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest

4 The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1 or 2 below If the Disclosing Party checks 2, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2 Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City
X 1 The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2 The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOIE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter)
The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A 1 above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a nember of Congress, in connection with the award of any federally funded contract, making any ederally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, mend, or modify any federally funded contract, grant, loan, or cooperative agreement

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_ ,	abmit an updated certification at the end of each calendar quarter in materially affects the accuracy of the statements and information set bove.
501(c)(4) of the Internal Revenue (	es that either: (i) it is not an organization described in section Code of 1986; or (ii) it is an organization described in section Code of 1986 but has not engaged and will not engage in "Lobbying
form and substance to paragraphs A subcontract and the Disclosing Part	Applicant, the Disclosing Party must obtain certifications equal in . 1 through A 4 above from all subcontractors before it awards any y must maintain all such subcontractors' certifications for the see such certifications promptly available to the City upon request
B. CERIIFICATION REGARDING	G EQUAL EMPLOYMENT OPPORTUNITY
· · · · · · · · · · · · · · · · · · ·	eral regulations require the Applicant and all proposed ng information with their bids or in writing at the outset of
Is the Disclosing Party the Applicant	?
[] Yes [] No	
If "Yes," answer the three questions	below:
federal regulations? (See 41 CFR Par	ou have on file affirmative action programs pursuant to applicable at 60-2)
[] Yes [] No	
Contract Compliance Programs, or the under the applicable filing requirement	Reporting Committee, the Director of the Office of Federal e Equal Employment Opportunity Commission all reports due ats?
[] Yes [] No	
equal opportunity clause?	evious contracts or subcontracts subject to the
[]Yes []No	
If you checked "No" to question 1 or	2 above, please provide an explanation:

## SECTION VII -- ACKNOWLEDGMENIS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B Ihe City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N

Sedgwick St, Suite 500, Chicago, IL 60610, (312) 744-9660 The Disclosing Party must comply fully with the applicable ordinances

C If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages

D It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS

E The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2 154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1 and F.2 above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Angela DeGrazia Trust

LORETTA A. DEGRAZIA NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES 9-8-2013

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City

1213022 2021010 1200	
(Print or type name of Disclosing Party)	<del>-</del>
By: Any C.	
(Sign here)	
ANGELA DEGRAZIA	_
(Print or type name of person signing)	
Trustee	_
(Print or type title of person signing)	
	3-23-12
Signed and sworn to before me on (date)	<u> </u>
at Cook County, Illinois	<b>-</b> ` ′
Louth a. De Sharie	_ Notary Public.
Commission expires: 9-8-2013	
OFFICIAL SEAL	Page 12 of 13

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II B 1 a, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ *No `	
such person is connecte	ed; (3) the name and title of the	of such person, (2) the name of the legal entity to which elected city official or department head to whom such nature of such familial relationship

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

Check ONE of the following three boxes:  Indicate whether the Disclosing Party submitting this EDS is:  1 [] the Applicant OR  2 [A a legal entity holding a direct or indirect interest in the Applicant State the legal name of the Applicant in which the Disclosing Party holds an interest: 1300 Exchange LLC OR  3 [] a legal entity with a right of control (see Section II B 1.) State the legal name of the entity in which the Disclosing Party holds a right of control:  B Business address of the Disclosing Party: 1100 Brandt Drive Hoffman Estates, IL 60192  C Telephone: 312.636.6937 Fax: 312.660.9612 Email: racosta@ginsbergjacobs.com D Name of contact person: Rolando R. Acosta  E Federal Employer Identification No. (if you have one):  F Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains (Include project number and location of property, if applicable):  Negotiated Sale of property at Packers and Exchange  3 Which City agency or department is requesting this EDS?  DHED  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:  \$\frac{1}{2}\$ Specification # and Contract # and Contract #	A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:  Exchange Materials LLC
1 [] the Applicant OR 2 [A a legal entity holding a direct or indirect interest in the Applicant State the legal name of the Applicant in which the Disclosing Party holds an interest: 1300 Exchange LLC OR 3. [] a legal entity with a right of control (see Section II B 1.) State the legal name of the entity in which the Disclosing Party holds a right of control:  B Business address of the Disclosing Party: 1100 Brandt Drive Hoffman Estates, IL 60192  C Telephone: 312.636.6937 Fax: 312.660.9612 Email: racosta@ginsbergjacobs.com D Name of contact person: Rolando R. Acosta  E Federal Employer Identification No (if you have one):  F Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains (Include project number and location of property, if applicable):  Negotiated Sale of property at Packers and Exchange  G Which City agency or department is requesting this EDS?  DHED  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	Check ONE of the following three boxes:
Applicant in which the Disclosing Party holds an interest: 1300 Exchange LLC OR 3. [] a legal entity with a right of control (see Section II B 1.) State the legal name of the entity in which the Disclosing Party holds a right of control:  B Business address of the Disclosing Party: 1100 Brandt Drive Hoffman Estates, IL 60192  C Ielephone: 312.636.6937 Fax: 312.660.9612 Email: racosta@ginsbergjacobs.com D Name of contact person: Rolando R. Acosta  E Federal Employer Identification No. (if you have one):  F Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Negotiated Sale of property at Packers and Exchange  Which City agency or department is requesting this EDS?  DHED  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	1 [] the Applicant
which the Disclosing Party holds a right of control:  B Business address of the Disclosing Party:  1100 Brandt Drive Hoffman Estates, IL 60192  C Telephone: 312.636.6937 Fax: 312.660.9612 Email: racosta@ginsbergjacobs.com D Name of contact person: Rolando R. Acosta  E Federal Employer Identification No. (if you have one):  Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Negotiated Sale of property at Packers and Exchange  Which City agency or department is requesting this EDS?  DHED  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	Applicant in which the Disclosing Party holds an interest: 1300 Exchange LLC
Hoffman Estates, IL 60192  C Telephone: 312.636.6937 Fax: 312.660.9612 Email: racosta@ginsbergjacobs.com  D Name of contact person: Rolando R. Acosta  E Federal Employer Identification No. (if you have one):  Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Negotiated Sale of property at Packers and Exchange  Which City agency or department is requesting this EDS?  DHED  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	
D Name of contact person: Rolando R. Acosta  E Federal Employer Identification No (if you have one):  Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains (Include project number and location of property, if applicable):  Negotiated Sale of property at Packers and Exchange  Which City agency or department is requesting this EDS?  DHED  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	
E Federal Employer Identification No. (if you have one):  Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains (Include project number and location of property, if applicable):  Negotiated Sale of property at Packers and Exchange  Which City agency or department is requesting this EDS?  DHED  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	C Telephone: 312.636.6937 Fax: 312.660.9612 Email: racosta@ginsbergjacobs.c
Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains (Include project number and location of property, if applicable):  Negotiated Sale of property at Packers and Exchange  Which City agency or department is requesting this EDS?  DHED  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	
which this EDS pertains (Include project number and location of property, if applicable):  Negotiated Sale of property at Packers and Exchange  Which City agency or department is requesting this EDS?  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	E Federal Employer Identification No. (if you have one):
Which City agency or department is requesting this EDS?	
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	Negotiated Sale of property at Packers and Exchange
complete the following:	Which City agency or department is requesting this EDS?
Specification # and Contract #	
	Specification # and Contract #

## SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A NATURE OF THE DISCLOSING PART	ſY
Indicate the nature of the Disclosing F [] Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust	Party:  [3] Limited liability company  [3] Limited liability partnership  [4] Joint venture  [5] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [6] Yes  [6] Other (please specify)
2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:
Illinois	· .
3 For legal entities not organized in the S business in the State of Illinois as a foreign en	tate of Illinois: Has the organization registered to do tity?
••	
B IF THE DISCLOSING PARTY IS A LEG	AL ENIIIY:
NOTE: For not-for-profit corporations, also list here are no such members, write "no members he legal titleholder(s)  If the entity is a general partnership, limited partnership or joint venture, list below the name	Il executive officers and all directors of the entity of below all members, if any, which are legal entities. If "For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability and title of each general partner, managing member, ols the day-to-day management of the Disclosing Party mit an EDS on its own behalf
ame Daniel R. Plote	Iitle Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7 5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None" NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

	Business Address	Percentage Interest in the
Investments I	P 1100 Brandt Dr	Disclosing Party
THIVE SCHOOL D		100%
	Hoffman Estates	, IL
<del></del>		60192
	·	
		,
ON III – BUSINE	ss relationships wi	TH CITY ELECIED OFFICIALS
- ,	-	o," as defined in Chapter 2-156 of the Municipal efore the date this EDS is signed?
es	Mo No	
		ected official(s) and describe such
	ON III – BUSINE he Disclosing Party ith any City elected	ith any City elected official in the 12 months be

## SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

Name (indicate wheth retained or anticipated to be retained)		Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated) NOIE: "hourly rate" or "t b d" is not an acceptable response
(Add sheets if necessar			
[4] Check here if the Di	sclosing Party ha	s not retained, nor expects to retain,	any such persons or entities.
SECTION V - CERT	IFICATIONS		
A COURT-ORDERED	CHILD SUPPO	ORT COMPLIANCE	
		115, substantial owners of business of their child support obligations through	
	•	owns 10% or more of the Disclosing by any Illinois court of competent	•
[]Yes [身]	• •	person directly or indirectly owns l losing Party	0% or more of the
If "Yes," has the person is the person in complian		urt-approved agreement for paymen ement?	t of all support owed and
[]Yes []N	lo ·	· .	
B FURTHER CERTIFIC	CATIONS		
consult for defined terms submitting this EDS is the certifies as follows: (i) nei with, or has admitted guilt criminal offense involving	(e g., "doing bus a Applicant and is other the Applicant t of, or has ever be g actual, attempte	er 1-23, Article I ("Article I") (which iness") and legal requirements), if the solution of the control of the	the Disclosing Party the Disclosing Party ently indicted or charged upervision for, any theft, fraud, forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City NOIE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2 The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II B 1 of this EDS:
  - a are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B 2 b of this Section V;
- d have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents")

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity:
- b agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c made an admission of such conduct described in a or b above that is a matter of record, but have not been prosecuted for such conduct; or
- d violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance)
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
- 5 Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U S Department of the Ireasury or the Bureau of Industry and Security of the U S Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons I ist, the Unverified List, the Entity List and the Debarred List
- 6 Ihe Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code

7 If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:	
	-
	-
	-

presumed that the Disclosing Party certified to the above statements	iver
8. In the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed offic of the City of Chicago (if none, indicate with "N/A" or "none")  None	•
9 To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time durin 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago For purposes of this statement, a "gift" does not include: (i) anythin made generally available to City employees or to the general public, or (ii) food or drink provided in course of official City business and having a retail value of less than \$20 per recipient (if none, indiwith "N/A" or "none") As to any gift listed below, please also list the name of the City recipient None	ng n the
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION	
1. The Disclosing Party certifies that the Disclosing Party (check one)	
[] is [] is not	
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.	
2 If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:	
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."	
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):	

· · · · · · · · · · · · · · · · · · ·	egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D.
perty Sale"). Compensation for property ta constitute a financial interest within the mea	egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain powe
perty Sale"). Compensation for property ta constitute a financial interest within the me	egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain powe
perty Sale") Compensation for property ta	egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain powe
fficial or employee shall have a financial in	tive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold
•	to Items D 2 and D 3 If you checked "No" to
ty have a financial interest in his or her ow the Matter? es [*] No	n name or in the name of any other person or
a accordance with Section 2-156-110 of the	Municipal Code: Does any official or employee
rds or terms that are defined in Chapter 2-1 s when used in this Part D	56 of the Municipal Code have the same
I IFICATION REGARDING INTEREST	IN CITY BUSINESS
	accordance with Section 2-156-110 of the ty have a financial interest in his or her own the Matter?  S PNo  If you checked "Yes" to Item D 1, proceed, proceed to Part E  aless sold pursuant to a process of competitational or employee shall have a financial in

4 The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1 or 2 below If the Disclosing Party checks 2, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2 Failure to

comply with these disclosure requirements may make any contract entered into with the City connection with the Matter voidable by the City	in
x 1 The Disclosing Party verifies that the Disclosing Party has searched any and all record the Disclosing Party and any and all predecessor entities regarding records of investments or from slavery or slaveholder insurance policies during the slavery era (including insurance policies) and the Disclosing Party has found no such records	profits licies
2 The Disclosing Party verifies that, as a result of conducting the search in step 1 above Disclosing Party has found records of investments or profits from slavery or slaveholder insurpolicies. The Disclosing Party verifies that the following constitutes full disclosure of all sucrecords, including the names of any and all slaves or slaveholders described in those records:	rance
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS	1
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the Cand proceeds of debt obligations of the City are not federal funding	
A CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party wirespect to the Matter: (Add sheets if necessary):	th
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "No appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entegistered under the I obbying Disclosure Act of 1995 have made lobbying contacts on behalf or Disclosing Party with respect to the Matter)	tities
2 The Disclosing Party has not spent and will not expend any federally appropriated funds any person or entity listed in Paragraph A 1 above for his or her lobbying activities or to pay an	f the

member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement

3 The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A 1 and A 2 above
4 The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities"
5 If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A 1 through A 4 above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations
Is the Disclosing Party the Applicant?
[] Yes [] No
If "Yes," answer the three questions below:
1 Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2) [] Yes [] No
2 Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes  [] No
3 Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
[]Yes []No
If you checked "No" to question 1 or 2 above, please provide an explanation:

### SECTION VII -- ACKNOWLEDGMENIS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions The full text of these ordinances and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St, Suite 500, Chicago, IL 60610, (312) 744-9660 The Disclosing Party must comply fully with the applicable ordinances

C If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages

D It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS

E The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F 2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F 3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1 and F.2 above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Exchange Materials LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)			
By: elilably			
(Sign here)			
(Print or type name of person signing)			
MANNER			
(Print or type title of person signing)			
Signed and sworn to before me on (date) at Cook County, Illinois		_2()2C_	
Han M Busch	Notary Public.	1	OFFICIAL SEAL
Commission expires: 5/18/15	·		KAREN M BUSCH Notary Public - State of Illinois My Commission Expires May 18, 2015

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVII APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II B 1 a, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ ¾ No	
such person is connecte	d; (3) the name and title of the	e of such person, (2) the name of the legal entity to which ne elected city official or department head to whom such e nature of such familial relationship

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### SECTION I -- GENERAL INFORMATION

A Legal name of the Disclosing Party su	ubmitting this EDS. Include d/b/a/ if applicable:
Check ONE of the following three boxe	es:
Indicate whether the Disclosing Party sub  1. [] the Applicant  OR	mitting this EDS is:
· · · · · · · · · · · · · · · · · · ·	indirect interest in the Applicant State the legal name of the arty holds an interest: 1300 Exchange LLC
3. [] a legal entity with a right of conti which the Disclosing Party holds a righ	rol (see Section II B 1) State the legal name of the entity in at of control:
B Business address of the Disclosing Part	y: 1100 Brandt Drive  Hoffman Estates, IL 60192
C Telephone: 312 636.6937 Fax: 3	12.660.9612 Email: racosta@ginsbergjacobs.c
D Name of contact person: Rolando R.	Acosta
Federal Employer Identification No. (if y	ou have one):
Brief description of contract, transaction of	or other undertaking (referred to below as the "Matter") to umber and location of property, if applicable):
Negotiated Sale of property a	t Packers and Exchange
Which City agency or department is reque	esting this EDS?DHED
If the Matter is a contract being handled by complete the following:	y the City's Department of Procurement Services, please
Specification #	and Contract #

### SECTION II - DISCLOSURE OF OWNERSHIP INTERESIS

A NATURE OF THE DISCLOSING PART	Υ .
I Indicate the nature of the Disclosing Parson [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [k] Limited partnership [] Trust	arty:  [] Limited liability company  [] Limited liability partnership  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No  [] Other (please specify)
2. For legal entities, the state (or foreign of	ountry) of incorporation or organization, if applicable:
business in the State of Illinois as a foreign enti	
[] Yes [] No  B IF THE DISCLOSING PARTY IS A LEGA	[₃] N/A
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s)  If the entity is a general partnership, limited p partnership or joint venture, list below the name	l executive officers and all directors of the entity to below all members, if any, which are legal entities. If "For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability and title of each general partner, managing member, ols the day-to-day management of the Disclosing Party mit an EDS on its own behalf
Name Raymond Plote	Iitle General Partner
<del>-</del>	concerning each person or entity having a direct or in excess of 7.5% of the Disclosing Party Examples

of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None" NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party	
Daniel R. Plote	1100 Brandt Dr.	49.5%	
	Hoffman Estates,	IL	
		60192	_
David R. Plote	1100 Brandt Dr.		
	Hoffman Estates,	IL 49.5%	
		60192	_

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECIED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	[ <sup>x</sup> ] No						
If yes, please ident relationship(s):	ify below the name(	s) of such City	elected off	ficial(s) and	describe s	uch	
					)		

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

Name (indicate retained or antic to be retained)		Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated) NOTE: "hourly rate" or "t b d" is not an acceptable response
				1
(Add sheets if ne	cessary)			
•		sing Party ha	s not retained, nor expects to retain,	any such persons or entities.
SECTION V (	CERTIFIC	CATIONS	,	
A COURT-ORD	ERED CH	IILD SUPPC	RI COMPLIANCE	
			15, substantial owners of business of their child support obligations through	
			owns 10% or more of the Disclosing by any Illinois court of competent	
[]Yes	oK [{k]		person directly or indirectly owns 10 osing Party	0% or more of the
If "Yes," has the person in con			nrt-approved-agreement-for-payment ement?	t-of-all-support owed-and
[]Yes	[]No			
B FURTHER CER	RIIFICAT	IONS		
consult for defined a submitting this EDS certifies as follows: with, or has admitted criminal offense inv	terms (e g. is the Ap (i) neither d guilt of, olving act	, "doing busi plicant and is the Applicar or has ever b aal, attempte	r 1-23, Article I ("Article I") (which ness") and legal requirements), if the doing business with the City, then at nor any controlling person is current convicted of, or placed under sud, or conspiracy to commit bribery, cer or employee of the City or any second	ne Disclosing Party the Disclosing Party ently indicted or charged upervision for, any theft, fraud, forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City NOIE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below

- 2 The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II B 1 of this EDS:
  - a are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B 2 b of this Section V;
  - d have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c made an admission of such conduct described in a or b above that is a matter of record, but have not been prosecuted for such conduct; or
- d violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance)
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
- 5 Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Ireasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons I ist, the Universified List, the Entity List and the Debarred List
- 6 The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code

7	If the Disclosing Party	is unable to certify	to any of the above	statements in t	his Part B (Further
Certif	ications), the Disclosing	g Party must explain	below:		
					·
				<del></del>	
	-				

presumed th	at the Disclosing Party certified to the above statements
complete list month period of the City of	e best of the Disclosing Party's knowledge after reasonable inquiry, the following is a of all current employees of the Disclosing Party who were, at any time during the 12-dipreceding the execution date of this EDS, an employee, or elected or appointed official, of Chicago (if none, indicate with "N/A" or "none")
complete list 12-month per official, of the made generall course of offic	best of the Disclosing Party's knowledge after reasonable inquiry, the following is a of all gifts that the Disclosing Party has given or caused to be given, at any time during the iod preceding the execution date of this EDS, to an employee, or elected or appointed a City of Chicago For purposes of this statement, a "gift" does not include: (i) anything by available to City employees or to the general public, or (ii) food or drink provided in the cial City business and having a retail value of less than \$20 per recipient (if none, indicate "none") As to any gift listed below, please also list the name of the City recipient
C. CERIIFIC	ATION OF STATUS AS FINANCIAL INSTITUTION
1. The Dis	closing Party certifies that the Disclosing Party (check one)
[] is	[¾ is not
a "financial ins	titution" as defined in Section 2-32-455(b) of the Municipal Code.
2 If the Di	sclosing Party IS a financial institution, then the Disclosing Party pledges:
Code. We furth ender as define	d will not become a predatory lender as defined in Chapter 2-32 of the Municipal terpledge that none of our affiliates is, and none of them will become, a predatory d in Chapter 2-32 of the Municipal Code We understand that becoming a predatory ting an affiliate of a predatory lender may result in the loss of the privilege of doing e City"
business with th	•

	A," the word "None," or no respons sumed that the Disclosing Party ce	se appears on the lines above, it will be attified to the above statements
D CERIIFICA	IION REGARDING INTEREST	IN CITY BUSINESS
▼	ms that are defined in Chapter 2-1 sed in this Part D	56 of the Municipal Code have the same
	financial interest in his or her ow	Municipal Code: Does any official or employee n name or in the name of any other person or
[] Yes	[x] No	
NOIE: If you ch Item D 1., proceed	<del>-</del>	I to Items D 2 and D 3 If you checked "No" to
elected official or any other person o for taxes or assess: "City Property Sale	employee shall have a financial in r entity in the purchase of any pro- ments, or (iii) is sold by virtue of l	ive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D
Does the Matter in	volve a City Property Sale?	
[]Yes	[]No	
· ·	ed "Yes" to Item D 1, provide the	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	talaka a akulambikkiska ada sa 400 kaliwa Palir makulika sa akub ara sa baganasinika a sa ba sisama a apina apika	

4 The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1 or 2 below If the Disclosing Party checks 2, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2 Failure to

	hese disclosure requirements may make any contract entered into with the City in the Matter voidable by the City
the Disclosing from slavery o issued to slave	visclosing Party verifies that the Disclosing Party has searched any and all records of Party and any and all predecessor entities regarding records of investments or profits is slaveholder insurance policies during the slavery era (including insurance policies holders that provided coverage for damage to or injury or death of their slaves), and Party has found no such records
Disclosing Part policies. The I	isclosing Party verifies that, as a result of conducting the search in step 1 above, the ty has found records of investments or profits from slavery or slaveholder insurance Disclosing Party verifies that the following constitutes full disclosure of all such ing the names of any and all slaves or slaveholders described in those records:
SECTION VI -	- CER HIFICATIONS FOR FEDERALLY FUNDED MAILERS
funded, proceed	Natter is federally funded, complete this Section VI. If the Matter is not federally it to Section VII. For purposes of this Section VI, tax credits allocated by the City debt obligations of the City are not federal funding
A. CERTIFICA	I ION REGARDING LOBBYING
disclosure Act o	w the names of all persons or entities registered under the federal Lobbying of 1995 who have made lobbying contacts on behalf of the Disclosing Party with atter: (Add sheets if necessary):
ppear, it will be egistered under t	n appears or begins on the lines above, or if the letters "NA" or if the word "None" conclusively presumed that the Disclosing Party means that NO persons or entities he I obbying Disclosure Act of 1995 have made lobbying contacts on behalf of the with respect to the Matter)

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement

The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A 1, and A 2 above. 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities" 5 If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A 1 through A 4 above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations Is the Disclosing Party the Applicant? []Yes No If "Yes," answer the three questions below: Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2) []Yes []No 2 Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? []Yes [ No 3 Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? No []Yes If you checked "No" to question 1 or 2 above, please provide an explanation:

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St, Suite 500, Chicago, IL 60610, (312) 744-9660 The Disclosing Party must comply fully with the applicable ordinances

- C If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages
- D It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS
- E The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Plote Investments LP

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)		•
By: eldeld (Sign here)		
(Print or type name of person signing)	_	
Durer		
(Print or type title of person signing)	<del></del>	
Signed and sworn to before me on (date)  at Cook County, Illinois  Commission expires: May 18, 20	_ (state). _ Notary Public.	OFFICIAL SEAL KAREN M BUSCH Notary Public - State of Illinois My Commission Expires May 18, 2015
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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II B 1 a, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7 5 percent ownership interest in the Disclosing Party "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

f 2 NT-

r 2 3/2--

[]105	[X] 140	
such person is connecte	ed; (3) the name and title of the	of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nature of such familial relationship