

# City of Chicago



O2016-6387

# Office of the City Clerk

## **Document Tracking Sheet**

Meeting Date:

9/14/2016

Sponsor(s):

Emanuel (Mayor)

Type:

Ordinance

Title:

Budget and service provider agreement for Special Service

Area No. 24, Clark Street (Year 2017)

**Committee(s) Assignment:** 

Committee on Finance



# OFFICE OF THE MAYOR CITY OF CHICAGO

RAHM EMANUEL
MAYOR

September 14, 2016

# TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

### Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing a budget and service provider agreement for 2017 for various Special Service Areas and an amended 2016 budget for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

### **ORDINANCE**

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(I) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

WHEREAS, on December 11, 2013, the City Council of the City of Chicago (the "City Council") enacted an ordinance (the "Establishment Ordinance") which established an area known and designated as City of Chicago Special Service Area Number 24 (the "Area") and authorized the levy of an annual tax, for the period beginning in 2013 through and including 2022, not to exceed an annual rate of 0.63% of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Special Services"); and

WHEREAS, certain funds in Fund 900 ("Fund 900") in the amount of \$1,466 are available for use in connection with the Area; and

WHEREAS, the Establishment Ordinance established the Area as that territory consisting approximately of Clark Street between Birchwood and Albion, Greenleaf between Clark and Ravenswood, Lunt between Clark and Ravenswood, Ravenswood between Greenleaf and Lunt, Morse between Clark and the alley west of Sheridan, and Glenwood between Greenleaf and Pratt; and

WHEREAS, the Special Services authorized in the Establishment Ordinance include, but are not limited to: recruitment of new businesses to the Area, rehabilitation activities, maintenance and beautification activities, new construction, security, promotional and advertising activities, strategic planning for the Area, and other technical assistance activities to promote commercial and economic development (which may include, but are not limited to, streetscape improvements, strategic transit/parking improvement including parking management studies, and enhanced land use oversight and control initiatives); and

WHEREAS, the Establishment Ordinance provided for the appointment of the Clark Street Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has been duly appointed and qualified and has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as <a href="Exhibit A">Exhibit A</a> and hereby made a part hereof; now, therefore

2016SSA24-ORD.doc

### Be It Ordained by the City Council of the City of Chicago:

**SECTION 1.** <u>Incorporation of Preambles</u>. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

**SECTION 2.** Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

# CLARK STREET SPECIAL SERVICE AREA COMMISSION SPECIAL SERVICE AREA BUDGET

For the fiscal year commencing January 1, 2017 and ending December 31, 2017.

	EXPENDITURES
Service Provider Agreement for the provision of Special	
Services	\$293,838
TOTAL BUDGET REQUEST	\$293,838
SOURCE OF FUNDING Tax levy at an annual rate not to exceed 0.63% of the equalized assessed value, of the taxable property within Special Service Area Number 24	\$279,735
Fund 900	\$1,466
Carryover funds currently available from prior tax years	\$1,000
Late collections received by the City of Chicago attributable to the levy of the Services Tax in prior tax years, along with interest income thereon, if any.	\$11,637
-	

**SECTION 3.** Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6(a) and 6(l)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of the Establishment Ordinance, the sum of \$279,735 as the amount of the Services Tax for the tax year 2016.

**SECTION 4.** Filing. The City Clerk of the City (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 27, 2016, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City of Chicago, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2016 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with DevCorp North d/b/a Rogers Park Business Alliance, an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

**SECTION 6.** Enforceability. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

**SECTION 7**. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

**SECTION 8.** <u>Publication</u>. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

**SECTION 9.** Effective Date. This ordinance shall take effect 10 days after its passage and publication.

### **EXHIBIT A**

Budget

	Special Service Area # 24
Service Provider Agency:	Rogers Park Business Alliance

### 2017 BUDGET SUMMARY

Budget and Services Period: January 1, 2017 through December 31, 2017

		2016	Levy	<u> </u>		·	
CAT	EGORY	Collectable Levy	Estimated Loss Collection	Carry Over	TIF Rebate Fund #900	Estimated Late Collections and Interest	2017 Budget
1.00 Custon Attraction	mer	\$81,146	\$6,483	\$600	\$800	\$4,069	\$93,098
2.00 Public Aesthetics		\$98,254	\$5,000	\$200	\$500	\$7,000	\$110,954
3.00 Sustai Public Plac	nability and es	\$500	\$0	\$0	\$166	\$300	\$966
4.00 Econo Business D	mic/ evelopment	\$3,842	\$490	\$200	\$0	\$268	\$4,800
5.00 Safety	Programs	. \$100	\$0	\$0	\$0	\$0	\$100
6.00 SSA M	anagement	\$39,238	\$0	\$0	\$0	\$0	\$39,238
7.00 Persor	nnel	\$44,682	\$0		\$0	\$0	\$44,682
	Sub-total	\$267,762	\$11,973				
GRAND TOTALS	Levy Total	\$279	,735	\$1,000	\$1,466	\$11,637	\$293,838

LEVY ANALYSIS	1748
Estimated 2016 EAV:	\$44,402,397
Authorized Tax Rate Cap;	0.630%
Maximum Potential Levy limited by Rate Cap:	\$279,735
Requested 2016 Levy Amount:	\$279,735
Estimated Tax Rate to Generate 2016 Levy:	. 0.63%

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Davcorp North Jba Rogers Park Business Aliance
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [x] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 1448 West Mose Ave.  Chicago, 1L 60626
Chicago, 1L 60626
C. Telephone: 773.508.5885 Fax: 773.508.9488 Email: Sprice Progers-park.com
D. Name of contact person: Sandi Price
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  To allow Devicorp North Jon Rogers fact Business Alliance to enter into a contract, with the city of chicago to provide special services within
G. Which City agency or department is requesting this EDS? Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rty:
[] Person	[] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[X] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	X Yes [] No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign co	ountry) of incorporation or organization, if applicable:
Ulinois	
•	
	ate of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign ent	ity?
[] Yes [] No	() N/A
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members	l executive officers and all directors of the entity.  It below all members, if any, which are legal entities. If  "For trusts, estates or other similar entities, list below
the legal titleholder(s).	
	partnership, limited liability company, limited liability
	e and title of each general partner, managing member,
	rols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must sub	mit an EDS on its own benait.
Name	Title
see attached list	
No Members	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,



### Strengthening Business, Building Community.

### Rogers Park Business Alliance 2016 Board of Directors

President

**Summur Roberts** 

1<sup>st</sup> Vice President Elizabeth (Beth) Demes

**2**<sup>nd</sup> **Vice President**Anthony Mesok

Treasurer Mary Bao

**Secretary**Mary Laura Jones

Erik Archambeault Board Member

Andrew Broderick
Board Member

Mina Cardenas Board Member

Jose Garcia Board Member

Dorothy Gregory Board Member









# Strengthening Business, Building Community.

Amy Harris Board Member

David Loggins Board Member

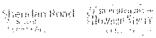
Peter Nicholas Board Member

John (Jack) Swenson Board Member

> Torrick Ward Board Member

Sandi Price Excecutive Director







interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
Nove-		Disclosing Party
1.0110		
SECTION III I	BUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	sing Party had a "business relationsh ty elected official in the 12 months l	ip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[] Yes	K) No	
If yes, please identrelationship(s):	tify below the name(s) of such City	elected official(s) and describe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

retained or antic to be retained)		Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
See att	ached	list.		
(Add sheets if no	ecessary)		•	
[] Check here if	the Disclo	sing Party ha	s not retained, nor expects to retain	, any such persons or entities.
SECTION V	CERTIFI	CATIONS		
A. COURT-OR	DERED C	HILD SUPPO	ORT COMPLIANCE	
	-		415, substantial owners of business their child support obligations thro	
			y owns 10% or more of the Disclos ns by any Illinois court of competer	
[]Yes	[]No		person directly or indirectly owns closing Party.	10% or more of the
If "Yes," has the is the person in c	_		ourt-approved agreement for paymercement?	ent of all support owed and
[]Yes	[ ] No		•	
B. FURTHER C	ERTIFICA	ATIONS		
consult for define submitting this E certifies as follow with, or has admi	ed terms (e DS is the A vs: (i) neith tted guilt o	g., "doing by Applicant and ter the Applic of, or has eve	oter 1-23, Article I ("Article I")(white isiness") and legal requirements), it is doing business with the City, the cant nor any controlling person is controlling person is controlling to convicted of, or placed under the convicted, or conspiracy to commit briber	f the Disclosing Party en the Disclosing Party urrently indicted or charged r supervision for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.



# Strengthening Business, Building Community.

Retained Parties for SSA #24

Vendor Name		Contact	Address		Cost
Acciari, Levy & Rabine	Anticipated Ron Axe	Ron Axe	4709 Golf Rd. Skokie, IL 60076	Subcontractor	\$16,000 estimated Accountant
The A.C.T. Group, LTD.	Anticipated	Anticipated Robert Wissmann	6228 North Broadway, Chicago, Illinois 60660	Subcontractor	\$3200 estimated Auditor
Cleanstreet	Anticipated	Anticipated T.J. Hullica	3501 W. Fillmore Chicago, IL 60624	Subcontractor	\$20,000 estimated Snow Removal
Liberty Flag & Banner	Anticipated	Joel Sieracki	2747 York St. Blue Island, IL 60406	Subcontractor	\$4,129 estimated_Banners
Brickman Group, LTD.	Anticipated	Anna Malinowski	2275 Research Boulevard, Suite 600, Rockville, MD 20850 Subcontractor	Subcontractor	\$25,000.00 estimati Landscaping services & holiday decorations
Mix Master	Anticipated	nticipated Lea Linsky	911 Ashland Ave. Evanston, IL 60202	Subcontractor	\$30,585 estimated Muralists









- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
None

	"NA," the word "None," or no response appears on the lines above, it will be conclusively at the Disclosing Party certified to the above statements.
complete list month period	e best of the Disclosing Party's knowledge after reasonable inquiry, the following is a t of all current employees of the Disclosing Party who were, at any time during the 12-d preceding the execution date of this EDS, an employee, or elected or appointed official, of Chicago (if none, indicate with "N/A" or "none").
complete list 12-month pe official, of the made genera course of off with "N/A" of	to f all gifts that the Disclosing Party's knowledge after reasonable inquiry, the following is a stof all gifts that the Disclosing Party has given or caused to be given, at any time during the criod preceding the execution date of this EDS, to an employee, or elected or appointed ne City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything lly available to City employees or to the general public, or (ii) food or drink provided in the ficial City business and having a retail value of less than \$20 per recipient (if none, indicate or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFI	CATION OF STATUS AS FINANCIAL INSTITUTION
1. The D	isclosing Party certifies that the Disclosing Party (check one)
[ ] is	[X] is not
a "financial i	nstitution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the	Disclosing Party IS a financial institution, then the Disclosing Party pledges:
Code. We fu lender as defi	and will not become a predatory lender as defined in Chapter 2-32 of the Municipal orther pledge that none of our affiliates is, and none of them will become, a predatory ined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory oming an affiliate of a predatory lender may result in the loss of the privilege of doing the City."
Section 2-32-	ing Party is unable to make this pledge because it or any of its affiliates (as defined in 455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter funicipal Code, explain here (attach additional pages if necessary):

Name	Business Address	Nature of Interest
<u>-</u>	ed "Yes" to Item D.1., provide the es having such interest and identi	names and business addresses of the City fy the nature of such interest:
[] Yes	[ ] No	
Does the Matter inv	olve a City Property Sale?	
elected official or en any other person or for taxes or assessm "City Property Sale"	mployee shall have a financial int entity in the purchase of any proper ents, or (iii) is sold by virtue of le	ve bidding, or otherwise permitted, no City erest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, seen pursuant to the City's eminent domain power ning of this Part D.
NOTE: If you che Item D.1., proceed		to Items D.2. and D.3. If you checked "No" to
[] Yes	[X] No	
	inancial interest in his or her own	Municipal Code: Does any official or employee name or in the name of any other person or
Any words or terms meanings when use	<del>-</del>	6 of the Municipal Code have the same
D. CERTIFICATION	ON REGARDING INTEREST IN	I CITY BUSINESS
	the word "None," or no response ned that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.					
$\frac{\chi}{1}$ . The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.					
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:					
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS					
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.					
A. CERTIFICATION REGARDING LOBBYING					
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):					
·					
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)					
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any					

comply with these disclosure requirements may make any contract entered into with the City in

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

negotiations.	
Is the Disclosing Party the	Applicant?
[]Yes	[ ] No
If "Yes," answer the three	questions below:
1. Have you developed federal regulations? (See	d and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)
[] Yes	[] No
▼	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements?  [] No
3. Have you participat equal opportunity clause?	ed in any previous contracts or subcontracts subject to the
[] Yes	[ ] No
If you checked "No" to que	estion 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

and complete as of the date furnished to the City.
Dev Corp North dba Rogers Park Business Allianc P
(Print or type name of Disclosing Party)
By: Sandi Price
(Sign here)
SANDI PRICE
(Print or type name of person signing)
Executive Director
(Print or type title of person signing)
Sep. 8, 2016

Signed and sworn to before me on (date) Sep. 8, 2016

at Cook County, 1. (state).

Notary Public.

Commission expires: 3-21. 2017

OFFICIAL SEAL I CAROLINA JUAREZ Notary Public - State of Illinois My Commission Expires Mar 21, 2017

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thercof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No		
such person is connecte	ed; (3) the name and title of the e	of such person, (2) the name of the legal entity to elected city official or department head to whom nature of such familial relationship.	

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### **BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identify building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Mun Code?			
	[ ] Yes	[X] No		
2.	2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director the Applicant identified as a building code scofflaw or problem landlord pursuant to Sec 2-92-416 of the Municipal Code?			
	[ ] Yes	[ ] No	[X] Not Applicable	
3.	If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.			

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.