

City of Chicago



O2020-818

Office of the City Clerk

Document Tracking Sheet

Meeting Date: 2/19/2020

Sponsor(s): Scott, Jr. (24)

Type: Ordinance

Title: Execution of Partial Assignment, Assumption and

Amendment Agreement for conveyance of land and vacated public alley located in 1200 blocks of South Sawyer Avenue

and South Kedzie Avenue

Committee(s) Assignment: Committee on Transportation and Public Way

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of local government pursuant to Article VII, Section 6 (a) of the 1970 Constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, pursuant to an ordinance adopted by the City Council of the City (the "City Council") on March 31, 2004, and published in the Journal of the Proceedings of the City Council for such date at pages 21597-21600 (the "Alley Vacation Ordinance"), the City vacated a certain 16 feet wide public alley located in the 1200 S. blocks of Sawyer Avenue and Kedzie Avenue and north of West 13th Street as legally described in the Alley Vacation Ordinance (the "Vacated Alley") pursuant to the City's Not-For-Profit Street and Alley Vacation Program; and

WHEREAS, pursuant to Section 5 of the Alley Vacation Ordinance, the City, EdgeAlliance, Inc., an Illinois not for profit corporation formerly known as AIDSCARE, Inc. (the "Original Not for Profit Developer"), Garden View, L.L.C., an Illinois limited liability company formerly known as AIDSCARE Residence II, LLC (the "Original Phase II Owner"), The Phoenix House LLC, an Illinois limited liability company formerly known as AIDSCARE Residence III, LLC (the "Original Phase III Owner"), and AIDSCARE Residence Inc., an Illinois not for profit corporation now known as Sawyer Gardens, Inc. (the "Original Phase I Owner"), entered into that certain Agreement for the Sale and Redevelopment of Land dated on or as of September 28, 2004 and recorded on September 30, 2004 as document no. 0427441076 (the "Alley RDA"), pursuant to which the Original Not for Profit Developer, Original Phase I Owner, Original Phase II Owner and Original Phase III Owner acknowledged the prior development of the Section 811 Project (as hereafter defined) and also agreed to cause to develop and use certain improvements on portions of the Vacated Alley for non-profit purposes during the forty-year term of the Alley RDA; more specifically:

the Original Not for Profit Developer and the Original Phase I Owner previously developed an 18-unit apartment building for very-low income persons and households living with HIV/AIDS using certain financing provided through the United States Department of Housing and Urban Development's Section 811 Supportive Housing for the Disabled program (the "Section 811 Project"), which Section 811 Project was constructed on the Section 811 Property as defined in the Alley RDA, and the eight (8) feet wide portion of the Vacated Alley adjacent to the Section 811 Property became part of the Section 811 Project following the vacation of the Vacated Alley (the Section 811 Property and the portion of the Vacated Alley adjacent thereto form the "Section 811 Project Property");

- (ii) the Original Not for Profit Developer and Original Phase II Owner agreed to develop the 16-unit "HOPWA Project" on the "HOPWA Property" (as those terms are defined in the Alley RDA), including the portion of the Vacated Alley identified in Exhibit E to the Alley RDA for the HOPWA Project (the "HOPWA Alley Property," and together with the HOPWA Property, the "HOPWA Project Property");
- the Original Not for Profit Developer and Original Phase III Owner agreed to develop the 32-unit "Supportive Housing Project" on the Supportive Housing Property (as that term is defined in the Alley RDA), including the portion of the Vacated Alley identified in Exhibit E to the Alley RDA for the Supportive Housing Project (the "Supportive Housing Alley Property," together with the Supportive Housing Project Property"); and
- (iv) the Original Not for Profit Developer agreed to develop a wellness center for educational, charitable and philanthropic purposes of assisting persons in the community affected by HIV/AIDS (the "Wellness Center Project") on the "Wellness Center Property" (as defined in the Alley RDA), including the portion of the Vacated Alley identified in Exhibit E to the Alley RDA for the Wellness Center Project (the "Wellness Center Alley Property," and together with the Wellness Center Property, the "Wellness Center Project Property"); and

WHEREAS, the Original Not for Profit Developer and Original Phase III Owner have previously developed the 16-unit HOWPA Project on the HOPWA Project Property, thereby satisfying the requirement of (ii) above (i.e., development of the HOPWA Project); and

WHEREAS, the Original Not for Profit Developer and Original Phase III Owner have previously developed a 32-unit "Supportive Housing Project" on the Supportive Housing Project Property, thereby satisfying the requirement of (iii) above (i.e., development of the Supportive Housing Project); and

WHEREAS, notwithstanding the requirements of the Alley RDA, the Original Not for Profit Developer failed to develop and construct the Wellness Center Project on the Wellness Center Project Property and the Wellness Center Project Property is currently vacant in violation of the Alley RDA (i.e., item (iv) above (development of the Wellness Center Project) has not been completed); and

WHEREAS, pursuant to an ordinance adopted by the City Council on October 16, 2019, and published in the Journal of the Proceedings of the City Council for such date at pages 7801-7823 (the "Vacated Alley Transfer Ordinance"), the City approved (1) the sale and transfer of the HOPWA Project on the HOPWA Project Property by the Original Phase II Owner to Heartland Garden View LLC, an Illinois limited liability company (the "New Phase II Owner"), subject to the terms of the Alley RDA as modified by a certificate of completion issued by the City for the HOPWA Project dated August 26, 2011 and

recorded on August 29, 2011 as document number 1124129022 (the "Certificate of Completion"); (2) the sale and transfer of the Supportive Housing Project on the Supportive Housing Project Property by the Original Phase III Owner to Heartland Phoenix House LLC, an Illinois limited liability company (the "New Phase III Owner"), subject to the terms of the Alley RDA as modified by the Certificate of Completion, and (3) the execution by the City, Original Phase II Owner, Original Phase III Owner, New Phase II Owner and New Phase III Owner of the Partial Assignment, Assumption and Amendment Agreement for the Alley RDA relating to the HOPWA Project and Supportive Housing Project (the "Assignment/ Assumption Agreement – GV and PH"); and

WHEREAS, the Original Phase I Owner desires to convey ownership of the Section 811 Project and the Section 811 Project Property to Heartland Sawyer Gardens Inc., an Illinois not for profit corporation (the "New Phase I Owner"), subject to the terms of the Alley RDA, which the New Phase I Owner desires to accept same for the retroactive term having partially been completed by Original Phase I Owner; and

WHEREAS, notwithstanding its failure to develop the Wellness Center Project, the Original Not for Profit Developer desires to convey ownership of the vacant Wellness Center Project Property to Heartland Property Holding Company LLC, an Illinois limited liability company (the "New Phase IV Owner"), subject to the terms of the Alley RDA, all of which the New Phase IV Owner desires to accept; and

WHEREAS, Heartland Housing, Inc., an Illinois not for profit corporation ("Heartland"), is the sole member of the New Phase I Owner, New Phase II Owner and New Phase IV Owner; and

WHEREAS, Heartland and the New Phase IV Owner desire to use the Wellness Center Project Property, including the Wellness Center Alley Property, as landscaped greenspace, or for those non-profit secular, charitable, educational and/or philanthropic purposes and structures (except for surface parking) that are reasonably necessary in order to support the mission of the not for profit ("Permitted Use"); and

WHEREAS upon due investigation and consideration the City's Department of Transportation has determined that the public interest now warrants the above-described conveyances of the Section 811 Project and Section 811 Project Property to the New Phase I Owner and the vacant Wellness Center Project Property to the New Phase IV Owner; now therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

<u>SECTION 1</u>. The above recitals, and the statements of fact and findings made therein, are incorporated herein and made a material part of this ordinance.

- <u>SECTION 2</u>. The Commissioner of the Department of Transportation ("<u>Commissioner</u>") or a designee of the Commissioner is hereby authorized, with the approval of the City's Corporation Counsel as to form and legality, to negotiate, execute and deliver a partial assignment, assumption and amendment agreement for the Alley RDA, in substantially the form attached hereto as Exhibit A and made a part hereof (the "<u>Assignment/ Assumption Agreement</u>"), and such other supporting documents as may be necessary or appropriate to carry out and comply with the provisions of the Assignment/Assumption Agreement, with such changes, deletions and insertions as shall be approved by the persons executing the Assignment/Assumption Agreement.
- SECTION 3. The City hereby waives all defaults, technical or otherwise, resulting from and relating to the Original Non-Profit Developer's failure to develop and construct the Wellness Center Project in accordance with the requirements of the Alley RDA, provided that the New Phase IV Owner uses or causes to be used the Wellness Center Project Property solely for Permitted Uses, and for those additional uses and structures that are reasonably necessary in order perform the Permitted Uses.
- <u>SECTION 4</u>. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.
- <u>SECTION 5</u>. All ordinances, resolutions, motions or orders in conflict with this ordinance are hereby repealed to the extent of such conflict.
- <u>SECTION 6</u>. This ordinance shall be in full force and effect immediately upon its passage and approval.

Amendment to Prior Alley Vacation Ordinance Approved:

Gia Biagi

Commissioner

Approved as to Form and Legality

Arthur Dolinsky

Senior Counsel

Introduced By:

Honorable Michael Scott Jr.

Alderman, 24th Ward

Exhibit A Assignment/Assumption Agreement

[Attached]

Prepared by and After Recording Return to:

Arthur Dolinsky, Senior Counsel City of Chicago Department of Law Real Estate and Land Use Division 121 N. LaSalle Street, Room 600 Chicago, Illinois 60602 312-744-8731

PARTIAL ASSIGNMENT, ASSUMPTION AND AMENDMENT AGREEMENT FOR THE SEPTEMBER 28, 2004 AGREEMENT FOR THE SALE AND REDEVELOPMENT OF LAND (Section 811 and Wellness Center)

THIS PARTIAL ASSIGNMENT, ASSUMPTION AND AMENDMENT AGREEMENT FOR THE SEPTEMBER 28, 2004 AGREEMENT FOR THE SALE AND REDEVELOPMENT OF LAND (SECTION 811 AND WELLNESS CENTER) ("Assignment/Assumption Agreement") is made as of ______, 2020 (the "Effective Date"), by and among the City of Chicago, an Illinois municipal corporation and home rule unit of local government (the "City"), acting by and through its Department of Transportation ("CDOT"), EdgeAlliance, Inc., an Illinois not for profit corporation formerly known as AIDSCARE, Inc. (the "Original Not for Profit Developer" and also "Original Phase IV Owner"), Sawyer Gardens, Inc., an Illinois not for profit corporation formerly known as AIDSCARE Residence, Inc. (the "Original Phase I Owner"), Heartland Housing, Inc., an Illinois not for profit corporation (the "New Not for Profit Developer"), Heartland Sawyer Gardens, Inc., an Illinois not for profit corporation (the "New Phase I Owner") and Heartland Property Holding Company LLC, an Illinois limited liability company (the "New Phase IV Owner"). CDOT has its principal offices at 30 N. LaSalle Street, Suite 500, Chicago, Illinois 60602; the Original Not for Profit Developer, Original Phase I Owner and Original Phase IV Owner have their principal offices at 1251 S. Sawyer Avenue, Chicago, Illinois 60623; and the New Not for Profit Developer, New Phase I Owner and New Phase IV Owner have their principal offices at 208 S. LaSalle Street, Suite 1300, Chicago, Capitalized terms not otherwise defined in this Assignment/Assumption Agreement shall have the meanings ascribed to such term(s) in the Alley RDA ("Alley RDA" is defined in the second recital hereof).

RECITALS

WHEREAS, pursuant to an ordinance adopted by the City Council of the City (the "City Council") on March 31, 2004, and published in the Journal of the Proceedings of the City Council for such date at pages 21597-21600 (the "Alley Vacation Ordinance"), the City vacated a certain 16 feet wide public alley located in the 1200 S. blocks of Sawyer Avenue and Kedzie Avenue and north of West 13th Street as legally described in the Alley Vacation Ordinance (the "Vacated Alley") pursuant to the City's Not-For-Profit Street and Alley Vacation Program;

WHEREAS, pursuant to Section 5 of the Alley Vacation Ordinance, the City, the Original Not for Profit Developer, the Original Phase I Owner, Garden View L.L.C, an Illinois limited liability company (the "Original Phase II Owner") and The Phoenix House LLC, an Illinois limited liability company (the "Original Phase III Owner"), entered into that certain Agreement for the Sale and Redevelopment of Land dated on or as of September 28, 2004 and recorded on September 30, 2004 as document no. 0427441076 (the "Alley RDA"), pursuant to which the Original Phase I Owner and Original Phase IV Owner agreed to cause to develop and use certain improvements on portions of the Vacated Alley for non-profit purposes during the forty-year term of the Alley RDA; more specifically,

- the Original Phase I Owner had previously developed an 18-unit apartment building for very-low income persons disabled with HIV/AIDS using capital advance funds from the United States Department of Housing and Urban Development's Section 811 Supportive Housing for the Disabled program (the "Section 811 Project"), and agreed to use the portion of the Vacant Alley adjacent to the Section 811 Property for charitable purposes in furtherance of the Section 811 Project (the "Section 811 Alley Property," and together with the Section 811 Property, the "Section 811 Project Property"); and
- the Original Phase IV Owner agreed to develop a wellness center ("Wellness Center") for the educational, charitable or philanthropic purposes of helping those in the neighboring community with HIV/AIDS, including the portion of the Vacated Alley identified in Exhibit E to the Alley RDA for the Wellness Center Project (the "Wellness Center Alley Property," and together with the Wellness Center Property, the "Wellness Center Project Property");

WHEREAS, the Original Phase IV Owner did not develop the Wellness Center on the Wellness Center Project Property and therefore is in default of its obligations under the Alley RDA (the "Development Default");

WHEREAS, the Original Phase I Owner desires to convey ownership of the Section 811 Project and Section 811 Project Property to the New Phase I Owner, subject to the terms of the Alley RDA and this Assignment/Assumption Agreement, all of which the New Phase I Owner desires to accept;

WHEREAS, the Original Phase IV Owner desires to convey ownership of the Wellness Center Project Property to the New Phase IV Owner, subject to the terms of the Alley RDA and this Assignment/Assumption Agreement (which includes a waiver by the City of the Development Default), all of which the New Phase IV Owner desires to accept;

WHEREAS, in accordance with Section 6.B. of the Alley RDA, the City Council adopted an ordinance on _______, 2020, which ordinance was [published in the Journal of the Proceedings of City Council for such date at pages _______, pursuant to which the City has consented to the conveyance of the Section 811 Project and Section 811 Project Property to the New Phase I Owner and of the Wellness Center Project Property to the New Phase IV Owner on the condition that the Original Not for Profit Developer, Original Phase I Owner, Original Phase IV Owner, New Not for Profit Developer, New Phase I Owner and New Phase IV Owner agree to be bound by the terms and conditions of this Assignment/Assumption Agreement ("Conveyance Approval Ordinance – Phases I and IV");

WHEREAS, the execution of this Assignment/Assumption Agreement by the Original Not for Profit Developer, Original Phase I Owner, Original Phase IV Owner, the New Not for Profit Developer, New Phase I Owner and New Phase IV Owner is therefore a condition precedent to the City providing its consent to the proposed conveyance of the Section 811 Project, Section 811 Project Property and Wellness Center Project Property as described herein;

WHEREAS, the conveyance of the Section 811 Project, Section 811 Project Property and Wellness Center Project Property to the New Phase I Owner and New Phase IV Owner will complete the transfer of ownership and control of the Vacated Alley from the Original Not for Profit Developer and its affiliated Original Phase I Owner, Original Phase II Owner, Original Phase III Owner and Original Phase IV Owner, to the New Not for Profit Developer and its affiliated New Phase I Owner, New Phase II Owner and New Phase IV Owner;

NOW THEREFORE, in consideration of ten dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the City, the Original Not for Profit Developer, Original Phase I Owner, Original Phase IV Owner, New Not for Profit Developer, New Phase I Owner and New Phase IV Owner agree as follows:

- 1. Partial Assignment and Assumption: New Not for Profit Developer. (a) Subject to the Original Not for Profit Developer's Retained Liabilities (as hereafter defined) and effective on the Effective Date, the Original Not for Profit Developer hereby assigns all its rights and obligations under the Alley RDA, as amended by this Assignment/Assumption Agreement, with respect to the Section 811 Project, Section 811 Project Property and Wellness Center Housing Property to the New Not for Profit Developer. This assignment of the Original Not for Profit Developer's rights and obligations under the Alley RDA specifically excludes and does not apply to all liabilities of the Original Not for Profit Developer relating to the Alley RDA arising or accruing prior to the Effective Date (collectively, the "Original Not for Profit Developer's Retained Liabilities").
- (b) Subject to the Original Not for Profit Developer's Retained Liabilities and effective on the Effective Date, the New Not for Profit Developer hereby assumes all the rights and obligations of the Original Not for Profit Developer under the Alley RDA, as amended by this Assignment/Assumption Agreement with respect to the Section 811 Project, Section 811 Project Property and Wellness Center Project Property.
- (c) The City hereby releases and discharges the Original Not for Profit Developer from all claims, demands, actions, causes of action, rights of action, costs, expenses, damages, remedies, responsibilities and liabilities arising out of the Alley Vacation Ordinance and Alley RDA, excluding the Original Not for Profit Developer's Retained Liabilities.
- (d) For purposes of the Section 811 Project, Section 811 Project Property and Wellness Center Project Property, all references in the Alley RDA to the Original Not for Profit Developer shall hereafter refer to the New Not for Profit Developer.
- 2. Partial Assignment and Assumption: New Phase I Owner. (a) Subject to the Original Phase I Owner's Retained Liabilities (as hereafter defined) and effective on the Effective Date, the Original Phase I Owner hereby assigns all its rights and obligations under the Alley RDA, as amended by this Assignment/Assumption Agreement, with respect to the Section 811 Project and Section 811 Project Property to the New Phase I Owner. This assignment of the Original Phase I Owner's rights and obligations under the Alley RDA specifically excludes and does not apply to the liabilities of the Original Phase I Owner relating to the Alley RDA arising or accruing prior to the Effective Date (the "Original Phase I Owner's Retained Liabilities").
- (b) Subject to the Original Phase I Owner's Retained Liabilities and effective on the Effective Date, the New Phase I Owner hereby assumes all the rights and obligations

of the Original Phase I Owner under the Alley RDA, as amended by this Assignment/Assumption Agreement with respect to the Section 811 Project and Section 811 Project Property.

- (c) The City hereby releases and discharges the Original Phase I Owner from all claims, demands, actions, causes of action, rights of action, costs, expenses, damages, remedies, responsibilities and liabilities arising out of the Alley Vacation Ordinance and Alley RDA, excluding the Original Phase I Owner's Retained Liabilities.
- (d) For purposes of the Section 811 Project and Section 811 Project Property, all references in the Alley RDA to the Original Phase I Owner shall hereafter refer to the New Phase I Owner.

The legal description for the Section 811 Property is attached hereto as Exhibit A-1; the legal description for the Section 811 Alley Property is attached hereto as Exhibit A-2; and the legal description for the Section 811 Project Property is attached hereto as Exhibit A-3.

- 3. <u>Waiver of Development Default</u>. The City hereby waives the Development Default and all other defaults, technical or otherwise, of the Original Phase IV Owner relating to the development, construction and operation of the Wellness Center on the Wellness Center Project Property.
- 4. Partial Assignment and Assumption: New Phase IV Owner. (a) Subject to the Original Phase IV Owner's Retained Liabilities (as hereafter defined) and effective on the Effective Date, the Original Phase IV Owner hereby assigns all its rights and obligations under the Alley RDA, as amended by this Assignment/Assumption Agreement, with respect to the Wellness Center Project Property to the New Phase IV Owner. This assignment of the Original Phase IV Owner's rights and obligations under the Alley RDA specifically excludes and does not apply to the liabilities of the Original Phase IV Owner relating to the Alley RDA arising or accruing prior to the Effective Date that have not been waived (the "Original Phase IV Owner's Retained Liabilities").
- (b) Subject to the Original Phase IV Owner's Retained Liabilities and effective on the Effective Date, the New Phase IV Owner hereby assumes all the rights and obligations of the Original Phase IV Owner under the Alley RDA, as amended by this Assignment/Assumption Agreement with respect to the Wellness Center Project Property only.
- (c) The City hereby releases and discharges the Original Phase IV Owner from all claims, demands, actions, causes of action, rights of action, costs, expenses, damages, remedies, responsibilities and liabilities arising out of the Alley Vacation Ordinance and Alley RDA, excluding the Original Phase IV Owner's Retained Liabilities.

(d) For purposes of the Wellness Center Project Property, all references in the Alley RDA, to the Original Phase IV Owner and the Original Not for Profit Developer (relating to the Wellness Center) shall hereafter refer to the New Phase IV Owner.

The legal description for the Wellness Center Property is attached hereto as Exhibit B-1; the legal description for the Wellness Center Alley Property is attached hereto as Exhibit B-2; and the legal description for the Wellness Center Project Property is attached hereto as Exhibit B-3.

- 5. New Phase IV Owner's Use of Wellness Center Project Property. The New Phase IV Owner shall use the Wellness Center Project Property, including the Wellness Center Alley Property, as landscaped greenspace, or for those non-profit secular, charitable, educational and/or philanthropic purposes and structures (such structures on the Wellness Center Project Property, "Improvements") (except for surface parking) that are reasonably necessary in order to support the mission of the not for profit ("Permitted Use").
- 6. <u>Consent</u>. Subject to the terms of this Assignment/Assumption Agreement and in accordance with the Conveyance Approval Ordinance Phases I and IV, the City, acting through CDOT, consents to the conveyance and transfer of (a) the Section 811 Project and Section 811 Project Property from the Original Phase I Owner to the New Phase I Owner, and (b) the Wellness Center Project Property from the Original Phase IV Owner to the New Phase IV Owner.
- 7. Recordation. Upon the full execution of this Assignment/Assumption Agreement by the City, the Original Not for Profit Developer, Original Phase I Owner, Original Phase IV Owner, the New Not for Profit Developer, New Phase I Owner and New Phase IV Owner, the New Not for Profit Developer shall record this Assignment/Assumption Agreement with the Office of the Recorder of Deeds of Cook County. The New Not for Profit Developer shall pay the recording fees.
- 8. <u>Counterparts</u>. This Assignment/Assumption Agreement is comprised of several identical counterparts, each to be fully signed by the parties and each to be considered an original having identical legal effect.
- 9. Full Force and Effect. Except as amended hereby, the Alley RDA shall continue in full force and effect as the binding obligations of the City, the Original Not for Profit Developer (relating to the Original Not for Profit Developer's Retained Liabilities), the Original Phase I Owner (relating to the Original Phase IV Owner's Retained Liabilities), the Original Phase IV Owner (relating to the Original Phase II Owner's Retained Liabilities), the Original Phase II Owner (relating to the Original Phase II Owner's Retained Liabilities as defined in the Assignment/Assumption Agreement Phases II and III), the Original Phase III Owner (relating to the Original Phase III Owner's Retained Liabilities as defined in the Assignment/Assumption Agreement Phases II and III), the

New Not for Profit Developer, the New Phase I Owner, New Phase II Owner, New Phase III Owner and New Phase IV Owner, respectively.

10. <u>Amendment to the Alley RDA</u>. The Alley RDA is amended to include Section 36, which read as follows:

"36. PERFORMANCE AND BREACH.

A. Permitted Delays. Neither party shall be considered in breach of its obligations with respect to the commencement or completion of construction of the Improvements in the event of a delay in the performance of such obligations due to unforeseeable causes beyond such party's control and without such party's fault or negligence, including but not limited to, delays or halts in construction of the Improvements which are compelled by court order, acts of God, acts of the public enemy, acts of the United States government, acts of the other party, fires, floods, epidemics, quarantine restrictions, strikes, embargoes and unusually severe weather or delays of subcontractors due to such cause. The time for the performance of the obligations shall be extended only for the period of the delay if such party requests it in writing of the other party within thirty (30) days after the beginning of any such delay.

B. Breach.

- 1. Generally. Except as otherwise provided in this Agreement, in the event of a default by either party in the performance of its obligations under this Agreement, the defaulting party, upon written notice from the other, shall cure or remedy the default not later than sixty (60) days after receipt of such notice. If the default is not capable of being cured within the sixty (60) day period but the defaulting party has commenced action to cure the default and is diligently proceeding to cure the default within the sixty (60) day period, then the sixty (60) day period shall be extended for the length of time that is reasonably necessary to cure the default. If the default is not cured in the time period provided for herein, the aggrieved party may terminate this Agreement and institute such proceedings at law or in equity as may be necessary or desirable in its sole discretion to cure and remedy the default, including but not limited to, proceedings to compel specific performance.
- 2. Event of Default. For purposes of this Agreement, the occurrence of any one or more of the following shall constitute an "event of default":
- a. New Not for Profit Owner and/or New Phase IV Owner fails to perform, keep or observe any of the covenants, conditions, promises, agreements or obligations required under this Agreement; or

- b. New Not for Profit Owner and/or New Phase IV Owner makes or furnishes a warranty, representation, statement or certification to the City which is not true and correct in any material respect; or
- c. A petition is filed by or against New Not for Profit Owner and/or New Phase IV Owner under the Federal Bankruptcy Code or any similar state or federal law, whether now or hereinafter existing, which is not vacated, stayed or set aside within thirty (30) days after filing.
- After Conveyance. If New Not for Profit Owner and/or New Phase 3. IV Owner defaults in any specific manner described in this Section 36.B. during the period commencing on the Effective Date and expiring on September 27, 2043 (i.e., forty (40) years from the effective date of the Alley RDA) ("Reverter Period"), the City may at its sole discretion re-enter and take possession of the Property, terminate the estate conveyed to New Not for Profit Owner and/or New Phase IV Owner, and revest title to the Wellness Center Project Property, including the Wellness Center Alley Property, in the City. If following the Reverter Period New Not for Profit Owner and/or New Phase IV Owner defaults in any specific manner described in this Section 36.B., the City by written notice to New Not for Profit Owner and/or New Phase IV Owner may utilize any and all remedies available to the City at law or in equity. For clarification purposes, it is noted that this Agreement, including but not limited to Sections 5.A and 5.B.of the Agreement (Restrictions on Use), does not expire at the end of the Reverter Period.
- C. Waiver and Estoppel. Any delay by the City in instituting or prosecuting any actions or proceedings or otherwise asserting its rights shall not operate as a waiver of such rights or operate to deprive the City of or limit such rights in any way. No waiver made by the City with respect to any specific default by New Not for Profit Owner and/or New Phase IV Owner shall be construed, considered or treated as a waiver of the rights of the City with respect to any other defaults of New Not for Profit Owner and/or New Phase IV Owner.
- D. Access to the Wellness Center Project Property. Commencing on the effective date of the Alley RDA (i.e., September 28, 2004) and expiration on the termination of the Revert Period (i.e., September 27, 2043), any duly authorized representative of the City shall have access to the Wellness Center Project, including the Wellness Center Alley Property, Property at all reasonable times for the purpose of confirming New Not for Profit Owner's and New Phase IV Owner's compliance with this Agreement."

[signature page follows]

IN WITNESS WHEREOF, the undersigned have executed this Partial Assignment, Assumption and Amendment Agreement for The September 28, 2004 Agreement for the Sale and Redevelopment of Land as of the day and year first above stated.

an Illinois municipal corporation and home rule unit of local government
By:Gia Biagi
Commissioner,
Department of Transportation
Approved as to form and legality:
Department of Law
EDGEALLIANCE, INC., an Illinois not for profit corporation
By: Paul Dillon, Chairman
Sawyer Garden, Inc., an Illinois not for profit corporation
By:
Paul Dillon, Chairman

Heartland Housing, Inc., an Illinois not for profit corporation
By: Michael Goldberg, Executive Director
Heartland Sawyer Gardens, Inc., an Illinois not for profit corporation
By:Michael Goldberg, Secretary
Heartland Property Holding Company LLC, an Illinois limited liability company
By: Heartland Housing, Inc., an Illinois not for profit corporation, its sole member
By:

STATE OF ILLINOIS)			
COUNTY OF COOK) SS.			
I, the undersigned, a notary certify that Gia Biagi, the C Chicago, an Illinois municipa name is subscribed to the fore first duly sworn by me, ackn foregoing instrument pursua voluntary act and as the free uses and purposes therein set	Commissioner of all corporation, per egoing instrument owledged that, as ant to authority and voluntary as	the Department sonally known to a, appeared before a said Commission given by the C	of Transportation o me to be the same e me this day in peoner, she signed ar ity of Chicago a	of the City of the person whose erson and, being and delivered the sher free and
GIVEN under my notarial sea	al this day o	f, 20)20.	
NOTARY PUBLIC				·
STATE OF ILLINOIS)) SS.			,
COUNTY OF COOK)			
The undersigned, a MEREBY CERTIFY THAT Chairman of EdgeAlliance, labove and foregoing instruacknowledged that he signed as the free and voluntary act of	Paul Dillon, personners (the "Corporment is executed and delivered the	sonally known to ation"), and the d, appeared before said instrument	o me and known to same person in vote me this day as his free and vo	by me to be the whose name the in person and luntary act, and
GIVEN under my hand and N	lotarial Seal this	day of	, 2020.	
		Notary Pub		_
· ·		1100017 1 00		

STATE OF ILLINOIS) COUNTY OF COOK)	S.	,
The undersigned, a Notary Publi HEREBY CERTIFY THAT Paul Dillon Chairman of Sawyer Gardens, Inc. (the 'above and foregoing instrument is exacknowledged that he signed and deliver as the free and voluntary act of the Corpo	n, personally known to me and "Corporation") and the same persecuted, appeared before me tred the said instrument as his free	known by me to be the erson in whose name the this day in person and ee and voluntary act, and
GIVEN under my hand and Notarial Seal	l this day of	, 2020.
	Notary Public	
•		
STATE OF ILLINOIS) SS	9	
COUNTY OF COOK)	J.	*
The undersigned, a Notary Publ HEREBY CERTIFY THAT Michael Gold the Executive Director of Heartland Hou whose name the above and foregoing in person and acknowledged that he signed voluntary act, and as the free and voluntary act, and act	ldberg, personally known to me using, Inc. (the "Corporation"), instrument is executed, appeared and delivered the said inst	e and known by me to be and the same person in d before me this day in trument as his free and
GIVEN under my hand and Notarial Seal	this day of	, 2020.
	Notary Public	

STATE OF ILLINOIS) SS. COUNTY OF COOK)
The undersigned, a Notary Public in and for said County in the State aforesaid, DO HEREBY CERTIFY THAT Michael Goldberg, personally known to me and known by me to be the Executive Director of Heartland Housing, Inc. (the "Member"), the sole member of Heartland Property Holding Company LLC (the "Company"), and the same person in whose name the above and foregoing instrument is executed, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act, and as the free and voluntary act of the Member on behalf of said Company for the uses and purposes therein set forth.
GIVEN under my hand and Notarial Seal this day of, 2020.
Notary Public
STATE OF ILLINOIS) SS. COUNTY OF COOK)
The undersigned, a Notary Public in and for said County in the State aforesaid, DO HEREBY CERTIFY THAT Michael Goldberg, personally known to me and known by me to be the Secretary of Heartland Sawyer Gardens Inc. (the "Corporation") and the same person in whose name the above and foregoing instrument is executed, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act, and as the free and voluntary act of the member on behalf of said Corporation for the uses and purposes therein set forth.
GIVEN under my hand and Notarial Seal this day of, 2020.
Notary Public

EXHIBIT A-1

Legal Description of the Section 811 Property

(subject to final title commitment and survey)

LOTS 11 THROUGH 16 IN BLOCK 1 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO IN SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, BEING A SUBDIVISION OF BLOCKS 1, 2, 5 AND 10 IN CIRCUIT COURT PARTITION OF THE EAST ½ OF THE NORTHEAST ¼ OF THAT PART OF THE EAST ½ OF THE SOUTHEAST ¼ LYING NORTH OF CENTER LINE OF OGDEN AVENUE OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Commonly known as: 1215 S. Sawyer Avenue, Chicago, Illinois 60623

PIN: 16-23-207-044-0000

EXHIBIT A-2

Legal Description of the Section 811 Alley Property

(subject to final title commitment and survey)

THE WEST ½ OF VACATED ALLEY LYING PARALLEL TO LOTS 11 THROUGH 16 IN BLOCK 1 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO IN SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, BEING A SUBDIVISION OF BLOCKS 1, 2, 5 AND 10 IN CIRCUIT COURT PARTITION OF THE EAST ½ OF THE NORTHEAST ¼ OF THAT PART OF THE EAST ½ OF THE SOUTHEAST ¼ LYING NORTH OF CENTER LINE OF OGDEN AVENUE OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Commonly known as: 1215 S. Sawyer Avenue, Chicago, Illinois 60623

PIN: 16-23-207-044-0000

EXHIBIT A-3

Legal Description of the Section 811 Project Property

(subject to final title commitment and survey)

THE WEST ½ OF VACATED ALLEY LYING PARALLEL TO LOTS 11 THROUGH 16 AND LOTS 11 THROUGH 16 IN BLOCK 1 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO IN SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, BEING A SUBDIVISION OF BLOCKS 1, 2, 5 AND 10 IN CIRCUIT COURT PARTITION OF THE EAST ½ OF THE NORTHEAST ¼ OF THAT PART OF THE EAST ½ OF THE SOUTHEAST ¼ LYING NORTH OF CENTER LINE OF OGDEN AVENUE OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Commonly known as: 1215 S. Sawyer Avenue, Chicago, Illinois 60623

PIN: 16-23-207-044-0000

EXHIBIT B-1

Legal Description of the Wellness Center Property

(subject to final title commitment and survey)

LOTS 39 THROUGH 46 IN BLOCK 1 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO IN SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, BEING A SUBDIVISION OF BLOCKS 1, 2, 5 AND 10 IN CIRCUIT COURT PARTITION OF THE EAST ½ OF THE NORTHEAST ¼ OF THAT PART OF THE EAST ½ OF THE SOUTHEAST ¼ LYING NORTH OF CENTER LINE OF OGDEN AVENUE OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Commonly known as 1214-1232 S. Kedzie Avenue, Chicago, Illinois 60623

PIN: 16-23-207-043-0000

EXHIBIT B-2

Legal Description of the Wellness Center Alley Property

(subject to final title commitment and survey)

THE EAST HALF OF VACATED ALLEY LYING PARALLEL TO LOTS 39 THROUGH 46 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO IN SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, BEING A SUBDIVISION OF BLOCKS 1, 2, 5 AND 10 IN CIRCUIT COURT PARTITION OF THE EAST ½ OF THE NORTHEAST ¼ OF THAT PART OF THE EAST ½ OF THE SOUTHEAST ¼ LYING NORTH OF CENTER LINE OF OGDEN AVENUE OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Commonly known as 1214-1232 S. Kedzie Avenue, Chicago, Illinois 60623

PIN: 16-23-207-043-0000

EXHIBIT B-3

Legal Description of the Wellness Center Project Property

(subject to final title commitment and survey)

THE EAST HALF OF VACATED ALLEY LYING PARALLEL TO LOTS 39 THROUGH 46 AND LOTS 39 THROUGH 46 IN BLOCK 1 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO IN SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, BEING A SUBDIVISION OF BLOCKS 1, 2, 5 AND 10 IN CIRCUIT COURT PARTITION OF THE EAST ½ OF THE NORTHEAST ¼ OF THAT PART OF THE EAST ½ OF THE SOUTHEAST ¼ LYING NORTH OF CENTER LINE OF OGDEN AVENUE OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Commonly known as 1214-1232 S. Kedzie Avenue, Chicago, Illinois 60623

PIN: 16-23-207-043-0000

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing P Heartland Sawyer Gardens, Inc.	arty submitt	ing this EI	S. Inc	lude d/b/a/ if applicable:
Check ONE of the following three	e boxes:			•
the contract, transaction or other un "Matter"), a direct or indirect interes name: OR	nolding, or andertaking to est in excess	nticipated to which this of 7.5% in	o hold s EDS j the Ap	of the Applicant (see Section II(B)(1))
B. Business address of the Disclos	ing Party:		·	Suite 1300
,		Chicago,	IL 606	
C. Telephone: 312-660-1383	Fax:	660-1555	·	mgoldberg@heartlandalliance.org Email:
D. Name of contact person: Micha	el Goldberg			
E. Federal Employer Identification	ı No. (if you	have one)		
F. Brief description of the Matter t property, if applicable):	o which this	EDS perta	ains. (I	nclude project number and location of
Transfer of previously vaca	ted alley	at 1215	South	Sawyer Chicago IL 60623
G. Which City agency or departme	nt is request	ing this EI	os?_De	epartment of Transportation
If the Matter is a contract being har complete the following:	idled by the	City's Dep	artmen	t of Procurement Services, please
Specification # N/A		and Cont	ract # _	N/A
Ver.2018-1		ge 1 of 15		

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois Yes B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Sole Member

Heartland Housing, Inc.

limited liability state "None."	company, or interest of a beneficiary	of a trust, estate or other similar entity. If none,
NOTE: Each le	gal entity listed below may be require	d to submit an EDS on its own behalf.
Name	Business Address	Percentage Interest in the Applicant
SECTION III OFFICIALS	INCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELECTED
	ing Party provided any income or com	spensation to any City elected official during the
	d preceding the date of this EDS?	Yes Vo
	sing Party reasonably expect to provid during the 12-month period following	de any income or compensation to any City the date of this EDS? Yes No
•	r of the above, please identify below the come or compensation:	ne name(s) of such City elected official(s) and
inquiry, any Cit		sclosing Party's knowledge after reasonable partner, have a financial interest (as defined in CC")) in the Disclosing Party?
	identify below the name(s) of such Cit escribe the financial interest(s).	ty elected official(s) and/or spouse(s)/domestic

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Applegate Thorne-Thom	pson (retain	ed)	
425 S. Financial Place, S	STE 1900, C	hicago, IL 60605 (attorney)	
\$50,000 (estimated)			
(Add sheets if necessary)			
Check here if the Disc	losing Party	has not retained, nor expects to ret	ain, any such persons or entities.
SECTION V CERTIF	ICATIONS	S	
A. COURT-ORDERED	CHILD SUP	PPORT COMPLIANCE	;
		ntial owners of business entities the support obligations throughout the	
	•	ctly owns 10% or more of the Discions by any Illinois court of compe	<u> </u>
Yes No I	No person di	rectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person er is the person in compliance		court-approved agreement for payragreement?	nent of all support owed and
Yes No			

B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one) is is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-		because it or any of its affiliates (as defined in hin the meaning of MCC Chapter 2-32, explain
	A," the word "None," or no response esumed that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.
D. CERTIFICA	TION REGARDING FINANCIAL	INTEREST IN CITY BUSINESS
Any words or te	rms defined in MCC Chapter 2-156	have the same meanings if used in this Part D.
after reasonable		the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter?
Yes	No	
	checked "Yes" to Item D(1), proceed ip Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" to Part E.
official or emplo other person or e taxes or assessm "City Property S	oyee shall have a financial interest in entity in the purchase of any property tents, or (iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain the meaning of this Part D.
Does the Matter	involve a City Property Sale?	-
Yes	No	
•	, , , , <u>=</u>	ames and business addresses of the City officials tify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.	
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records the Disclosing Party and any and all predecessor entities regarding records of investments or prom slavery or slaveholder insurance policies during the slavery era (including insurance policies during the slavery or death of their slaves), the Disclosing Party has found no such records.	ofits ies
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above Disclosing Party has found records of investments or profits from slavery or slaveholder insura policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:	
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS	
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated the City and proceeds of debt obligations of the City are not federal funding.	i by
A. CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclo Party with respect to the Matter: (Add sheets if necessary): None	sing
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "No appear, it will be conclusively presumed that the Disclosing Party means that NO persons or en registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contact behalf of the Disclosing Party with respect to the Matter.)	tities

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? ✓ Yes No
If "Yes," answer the three questions below:
 Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? ☐ Yes ☐ No ☐ Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No
If you checked "No" to question (1) or (2) above, please provide an explanation: Heartland Sawyer Gardens, Inc. has no employees and is below
the threshold set forth by questions 1 and 2.

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Heartland Sawyer Gardens, Inc.	
(Print or type exact legal name of Disclosing Par	ty)
By: Will Holdly (Sign here)	-
Michael Goldberg	
(Print or type name of person signing)	
Executive Director of Heartland Housing, Inc., the sole member of Disclosing Party	e e
(Print or type title of person signing)	
Signed and sworn to before me on (date)	[6000,
at Cook County, Illinois (sta	ate).
P	.
Notary Public	KRISTINA BUTLER OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires September 12, 2020
Commission expires: 1/12/2020	Charles Charles Charles Charles

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

 Pursuant to MCC Section 2-154- scofflaw or problem landlord pursua 	-010, is the Applicant or any Owner identified as a building code ant to MCC Section 2-92-416?
☐Yes ✓ No	
	publicly traded on any exchange, is any officer or director of g code scofflaw or problem landlord pursuant to MCC Section
Yes No	The Applicant is not publicly traded on any exchange.
	identify below the name of each person or legal entity identified em landlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
No
$\sqrt{N/A}$ – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

Ver.2018-1

A. Legal name of the Disclosing Party submitting	this EDS. Incl	lude d/b/a/ if applicable:
Heartland Phoenix House LLC		·
Check ONE of the following three boxes:		
Indicate whether the Disclosing Party submitting to 1. [X] the Applicant OR 2. [] a legal entity currently holding, or anticated the contract, transaction or other undertaking to w "Matter"), a direct or indirect interest in excess of name: OR 3. [] a legal entity with a direct or indirect ristate the legal name of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the entity in which the Discontinuous contracts are contracted as a contract of the contract of the entity in the contract of	ipated to hold which this EDS property 7.5% in the Aproperty ght of control o	pertains (referred to below as the plicant. State the Applicant's legal of the Applicant (see Section II(B)(1))
208 S LaSalle, Suite 1300		ite 1300
B. Business address of the Disclosing Party:	Chicago, IL 60604	
C. Telephone: 312-660-1383 Fax: 312-660)-1555	Email: mgoldberg@heartlandalliance.org
D. Name of contact person: Michael Goldberg		
E. Federal Employer Identification No. (if you ha		
F. Brief description of the Matter to which this El property, if applicable): Transfer of previously vacated alley at 1251 South Se		<i>3</i>
G. Which City agency or department is requesting	g this EDS? <u>De</u> p	artment of Transportation
If the Matter is a contract being handled by the Cit complete the following:	y's Department	of Procurement Services, please
Specification # N/A ar	nd Contract # _	N/A

Page 1 of 15

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [X] Limited liability company [] Publicly registered business corporation [] Limited liability partnership Privately held business corporation [] Joint venture [] Sole proprietorship Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership I Yes []No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [] Yes [] No X | Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of 1. the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	riue	Tille		
Heartland Housing Inc,	Sole member			
				_

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name **Business Address** Percentage Interest in the Applicant (Heartland Housing, Inc.) (208 South LaSalle Street Ste 1300, Chicago, IL 60604) (100%) SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? []Yes [X] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes K] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Applegate Thorne-Thompson (retained)	425 S. Financial Pla	ce, STE 1900, Chicago, IL 60605 (attorney)	\$50,000 (estimated)
(Add sheets if necessary)			
[] Check here if the Disc	losing Party	has not retained, nor expects to ret	ain, any such persons or entities.
SECTION V CERTII	FICATION	S	*
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	ı
	•	antial owners of business entities th I support obligations throughout the	_
Has any person who direct	ctly or indire	ectly owns 10% or more of the Disc	losing Party been declared in
arrearage on any child su	pport obliga	tions by any Illinois court of compe	etent jurisdiction?
[] Yes [] No [X]	No person	directly or indirectly owns 10% or i	more of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and

B. FURTHER CERTIFICATIONS

[]No

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

[] Yes

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

	I. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further ertifications), the Disclosing Party must explain below:
_	
	the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively esumed that the Disclosing Party certified to the above statements.
co m	2. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a emplete list of all current employees of the Disclosing Party who were, at any time during the 12-onth period preceding the date of this EDS, an employee, or elected or appointed official, of the City Chicago (if none, indicate with "N/A" or "none").
N	one
the of the po	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a emplete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed ficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything ade generally available to City employees or to the general public, or (ii) food or drink provided in ecourse of official City business and having a retail value of less than \$25 per recipient, or (iii) a ditical contribution otherwise duly reported as required by law (if none, indicate with "N/A" or one"). As to any gift listed below, please also list the name of the City recipient.
C.	CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1.	The Disclosing Party certifies that the Disclosing Party (check one) [] is [X] is not
	a "financial institution" as defined in MCC Section 2-32-455(b).
2.	If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):			
NA			
	' the word "None," or no response med that the Disclosing Party cer	e appears on the lines above, it will be tified to the above statements.	
D. CERTIFICATI	ON REGARDING FINANCIAL	INTEREST IN CITY BUSINESS	
Any words or term	as defined in MCC Chapter 2-156	have the same meanings if used in this Part D.	
after reasonable in		the best of the Disclosing Party's knowledge wee of the City have a financial interest in his or entity in the Matter?	
[] Yes	[X] No		
	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed	d to Items D(2) and D(3). If you checked "No" to Part E.	
official or employed other person or ent taxes or assessment "City Property Sale	ee shall have a financial interest in ity in the purchase of any propert its, or (iii) is sold by virtue of lega	bidding, or otherwise permitted, no City elected his or her own name or in the name of any y that (i) belongs to the City, or (ii) is sold for all process at the suit of the City (collectively, aken pursuant to the City's eminent domain the meaning of this Part D.	
Does the Matter in	volve a City Property Sale?		
[] Yes	[] No		
		ames and business addresses of the City official atify the nature of the financial interest:	
Name	Business Address	Nature of Financial Interest	
-	g Party further certifies that no pro	shibited financial interest in the Matter will be	

Ver.2018-1

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
$\frac{X}{X}$ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
None
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None"

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	y the Applicant?	
[X] Yes		
If "Yes," answer the th	nree questions be	elow:
federal regulations? (See 41 CFR Part	eve on file affirmative action programs pursuant to applicable 60-2.)
[] Yes	[X] No	
Compliance Programs applicable filing requi	s, or the Equal Er rements?	rting Committee, the Director of the Office of Federal Contract nployment Opportunity Commission all reports due under the
[] Yes	[] No	[X] Reports not required
3. Have you participa equal opportunity clau	· ·	ous contracts or subcontracts subject to the
[] Yes	[X] No	
If you checked "No" to	o question (1) or	(2) above, please provide an explanation:
_	_	mployees and is below the
threshold set forth by		· · · · · · · · · · · · · · · · · · ·

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Heartland Phoenix House, LLC	
(Print or type exact legal name of Disclosing Party)	
By: Mula Holding (Sign here)	N. Control of the Con
Michael Goldberg	
(Print or type name of person signing)	
Executive Director of Heartland Housing, Inc., the sole member of Disclosing Pa	arty
(Print or type title of person signing)	
Signed and sworn to before me on (date) 25/2028 at Cook County, Ilinois (state). Notary Public	KRISTINA BUTLER OFFICIAL SEAL
1 .	Notary Public, State of Illinois My Commission Expires September 12, 2020
Commission expires: 9 12 2000	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No	, ,
which such person	is connected; (3) the nam	e and title of such person, (2) the name of the legal entity to ne and title of the elected city official or department head to p, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Pursuant to MCC Section 2.154.010 is the Applicant or any Owner identified as a building and

		t to MCC Section 2-92-416?
[] Yes	[X] No	
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[] Yes	[] No	[X] The Applicant is not publicly traded on any exchange.
• ' '	cofflaw or probler	lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes
[] No
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

3 . .

A. Legal name of the Disclosing P Heartland Garden View LLC	arty submitt	ing this EDS.	Include d/b/	a/ if applicable:
Check ONE of the following three	ee boxes:			
Indicate whether the Disclosing Pa 1. the Applicant OR 2. a legal entity currently hethe contract, transaction or other un "Matter"), a direct or indirect interest name: OR	olding, or an	nticipated to how which this E.	old within si DS pertains (
3. a legal entity with a dire State the legal name of the entity in				plicant (see Section II(B)(1)) ght of control:
B. Business address of the Disclosing Party:		208 S LaSa	lle, Suite 130	00
		Chicago, IL	60604	
C. Telephone: 312-660-1383	Fax:	660-1555	Email:	mgoldberg@heartlandalliance.org
D. Name of contact person: Micha	el Goldberg			
E. Federal Employer Identification	ı No. (if you	have one):		
F. Brief description of the Matter t property, if applicable):	to which this	EDS pertains	s. (Include p	roject number and location of
Transfer of previously vaca	ted alley	at 1215 So	uth Sawy	er Chicago IL 60623
G. Which City agency or departme	ent is request	ing this EDS?	Departme	ent of Transportation
If the Matter is a contract being har complete the following:	ndled by the	City's Departi	ment of Proc	urement Services, please
Specification # N/A		and Contract	N/A t#	
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	rty:
Person	✓ Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the <u>not-for-profit corporation also a 501(c)(3))?</u>
Limited partnership	Yes ✓ No
Trust	Other (please specify)
2. For legal entities, the state (or foreign count Illinois	try) of incorporation or organization, if applicable:
IIIIIOIS	
3. For legal entities not organized in the State business in the State of Illinois as a foreign entitle	of Illinois: Has the organization registered to do ity?
Yes No	✓ Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members whic similar entities, the trustee, executor, administ limited partnerships, limited liability compa	olicable, of: (i) all executive officers and all directors of , all members, if any, which are legal entities (if there h are legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity listed below must sub	omit an EDS on its own behalf.
Name	Title
Heartland Housing, Inc.	Sole Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability state "None."	company, or interest of a beneficiary	of a trust, estate or other similar entity. If	none,
NOTE: Each lea	gal entity listed below may be required	ed to submit an EDS on its own behalf.	
Name (Heartland Hou	Business Address sing, Inc.) (208 South LaSalle Street	Percentage Interest in the Applic Ste 1300, Chicago, IL 60604) (100%)	ant
SECTION III - OFFICIALS	- INCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELI	ЕСТЕІ
	ng Party provided any income or com preceding the date of this EDS?	npensation to any City elected official duri	ng the No
	sing Party reasonably expect to providuring the 12-month period following	de any income or compensation to any City the date of this EDS?	y No
•	of the above, please identify below the come or compensation:	he name(s) of such City elected official(s)	and
inquiry, any City		sclosing Party's knowledge after reasonable partner, have a financial interest (as defin ICC")) in the Disclosing Party?	
	dentify below the name(s) of such Citescribe the financial interest(s).	ty elected official(s) and/or spouse(s)/dom	estic

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Applegate Thorne-Thom	pson (retair	ned)	not an acceptable response.
425 S. Financial Place, S	STE 1900, C	Chicago, IL 60605 (attorney)	
\$50,000 (estimated)			
(Add sheets if necessary)		•	•
Check here if the Disc	closing Party	y has not retained, nor expects to re-	tain, any such persons or entities.
SECTION V CERTIF	TICATION	S	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
	•	antial owners of business entities the support obligations throughout the	▼
	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	•
Yes No 🚺	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person ends is the person in compliance		court-approved agreement for pay agreement?	ment of all support owed and
Yes No			

B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one) is is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Section 2-32-4		because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
	he word "None," or no response a ed that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATIO	N REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or terms	defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inqu		he best of the Disclosing Party's knowledge to the City have a financial interest in his or entity in the Matter?
Yes	✓ No	
	ked "Yes" to Item D(1), proceed ems D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employee other person or entity taxes or assessments "City Property Sale"	shall have a financial interest in ly in the purchase of any property, or (iii) is sold by virtue of legal	his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain the meaning of this Part D.
Does the Matter invo	olve a City Property Sale?	
Yes	No	
•	* * * *	mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
-	earty further certifies that no prohoficial or employee.	ibited financial interest in the Matter will be

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not rederally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not rederally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not rederally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? ✓ Yes No
If "Yes," answer the three questions below:
 Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? ☐ Yes ☐ No ☐ Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No
If you checked "No" to question (1) or (2) above, please provide an explanation: Heartland Sawyer Gardens, Inc. has no employees and is below
the threshold set forth by questions 1 and 2.

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Heartland Garden View LLC	
(Print or type exact legal name of Disclosin	ig Party)
By: Willes	
(Sign here)	
Michael Goldberg	
(Print or type name of person signing)	
Executive Director of Heartland Housing, Inc., the sole member of Disclosin	ng Party
(Print or type title of person signing)	
	_ ·
Signed and sworn to before me on (date)	215/2020
at Cook County, Ilinois	(state).
Notary Public	KRISTINA BUTLER OFFICIAL SEAL
	Notary Public, State of Illinois My Commission Expires
Commission expires: 9 2	September 12, 2020

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

		able Party" or any Spouse or Domestic Partner thereof an elected city official or department head?
Yes	No	er-
which such person is	connected; (3) the name	and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		110, is the Applicant or any Owner identified as a building code at to MCC Section 2-92-416?
Yes	✓No	
* *		ublicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	No	The Applicant is not publicly traded on any exchange.
	fflaw or probler	dentify below the name of each person or legal entity identified m landlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes	i	
No	·	<u> </u>
$\sqrt{N/A} - I$ am not an Applicant that is	s a "contractor" as define	ed in MCC Section 2-92-385.
This certification shall serve as the aff	idavit required by MCC	Section 2-92-385(c)(1).
If you checked "no" to the above, plea	se explain.	
		·
		·

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party Heartland Housing, Inc.	submitting this EDS. Include d/b/a/ if applicable:
Check ONE of the following three bo	oxes:
the contract, transaction or other undert "Matter"), a direct or indirect interest in name: OR 3. a legal entity with a direct or	ubmitting this EDS is: ng, or anticipated to hold within six months after City action or taking to which this EDS pertains (referred to below as the nexcess of 7.5% in the Applicant. State the Applicant's legal indirect right of control of the Applicant (see Section II(B)(1)) ich the Disclosing Party holds a right of control:
Heartland Sawyer Gardens, Inc., Heartland Phoenix He	
B. Business address of the Disclosing I	Party: 208 S LaSalle, Suite 1300
	Chicago, IL 60604
C. Telephone: 312-660-1383 Fa	312-660-1555 Email: mgoldberg@heartlandalliance.org
D. Name of contact person: Michael G	oldberg
E. Federal Employer Identification No.	(if you have one)
F. Brief description of the Matter to wh property, if applicable):	nich this EDS pertains. (Include project number and location of
Transfer of previously vacated	alley at 1215 South Sawyer Chicago IL 60623
G. Which City agency or department is	requesting this EDS? Department of Transportation
	by the City's Department of Procurement Services, please
Specification # N/A	and Contract # N/A
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Limited liability partnership Publicly registered business corporation Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois Yes B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title Travelers & Immigrants Aid's Heartland Alliance Sole Member

Attached is a list of all board members

for Human Needs and Human Rights d/b/a

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Heartland Alliance

state "None."	company, or interest of a beneficiary	of a trust, estate or other similar entity. If none,
NOTE: Each le	gal entity listed below may be require	ed to submit an EDS on its own behalf.
Name	Business Address	Percentage Interest in the Applicant
SECTION III - OFFICIALS	- INCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELECTEI
	ng Party provided any income or con preceding the date of this EDS?	npensation to any City elected official during the Yes No
	sing Party reasonably expect to providuring the 12-month period following	de any income or compensation to any City the date of this EDS? Yes No
<u>-</u>	of the above, please identify below to	he name(s) of such City elected official(s) and
inquiry, any City		sclosing Party's knowledge after reasonable partner, have a financial interest (as defined in ICC")) in the Disclosing Party?
	dentify below the name(s) of such Ci escribe the financial interest(s).	ty elected official(s) and/or spouse(s)/domestic

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Applegate Thorne-Thom	pson (retair	ed)	The tall acceptance response.
425 S. Financial Place, S	STE 1900, C	Chicago, IL 60605 (attorney)	
\$50,000 (estimated)			
(Add sheets if necessary)			
Check here if the Disc	closing Party	has not retained, nor expects to retained	tain, any such persons or entities.
SECTION V CERTIF	TICATION	S	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
		antial owners of business entities the support obligations throughout the	
- -	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	
Yes No V	No person d	irectly or indirectly owns 10% or m	ore of the Disclosing Party.
If "Yes," has the person ends is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
Yes No			

B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None
· 2a
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one) is is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-3		because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
	sumed that the Disclosing Party certi	• •
D. CERTIFICAT	ΓΙΟΝ REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or ter	ms defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable i		he best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
Yes	No	
	hecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employ other person or en taxes or assessment "City Property Sa	yee shall have a financial interest in latity in the purchase of any property ents, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter i	nvolve a City Property Sale?	
Yes	No	
		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
		•
	· · · · · · · · · · · · · · · · · · ·	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (must disclose below or in an attachment to this EDS all information requirements may make any contract enterconnection with the Matter voidable by the City.	aired by (2). Failure to	
1. The Disclosing Party verifies that the Disclosing Party has searched any and all recall the Disclosing Party and any and all predecessor entities regarding records of investments of from slavery or slaveholder insurance policies during the slavery era (including insurance passued to slaveholders that provided coverage for damage to or injury or death of their slave the Disclosing Party has found no such records.		
2. The Disclosing Party verifies that, as a result of conducting the sold Disclosing Party has found records of investments or profits from slaver policies. The Disclosing Party verifies that the following constitutes full records, including the names of any and all slaves or slaveholders described.	y or slaveholder insurance disclosure of all such	
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED	MATTERS	
NOTE: If the Matter is federally funded, complete this Section VI. It federally funded, proceed to Section VII. For purposes of this Section VII. the City and proceeds of debt obligations of the City are not federal fund	VI, tax credits allocated by	
A. CERTIFICATION REGARDING LOBBYING		
1. List below the names of all persons or entities registered under the Disclosure Act of 1995, as amended, who have made lobbying contacts of Party with respect to the Matter: (Add sheets if necessary): None	• •	
(If no explanation appears or begins on the lines above, or if the letters "lappear, it will be conclusively presumed that the Disclosing Party means registered under the Lobbying Disclosure Act of 1995, as amended, have behalf of the Disclosing Party with respect to the Matter.)	that NO persons or entities	

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? Yes No
If "Yes," answer the three questions below:
 Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contra Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No
If you checked "No" to question (1) or (2) above, please provide an explanation:
,

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SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Heartland Housing, Inc.
(Print or type exact legal name of Disclosing Party)
By: Washer Well (Sign here)
Michael Goldberg
(Print or type name of person signing)
Executive Director of Heartland Housing, Inc.
(Print or type title of person signing)
Signed and sworn to before me on (date) 2\5\2020,
at Cook County, Illiviois (state).
Notary Public KRISTINA BUTLER OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires
Commission expires: 9 12 2020 September 12, 2020

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
Yes	✓No	
		blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	No	The Applicant is not publicly traded on any exchange.
* * * * * * * * * * * * * * * * * * * *	aw or problen	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which
		· · · · · · · · · · · · · · · · · · ·

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
No
$\sqrt{N/A}$ – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

8/21/2019

HEARTLAND ALLIANCE

ENDING POVERTY

(O

s://twitter.com/heartlandhelps) (https://www.facebook.com/heartlandalliance) (https://www.youtube.com/user/heartlandalliance) (https://www.instagram.com/heartlandallia

Heartland Housing Board of Directors Inc.

Vice Chair: Daniel V. Lezotte Chair: Kyle J. Peterson

Secretary: Robin Snyderman

Treasurer: Michael P. Bagley

Directors:

Earl Chase

Jennifer L. Faron

Mary Rosenberg

Kevin A. Sterling

Lorraine Waller

(Evelyn Diaz, President)

(Michael Goldberg, Executive Director)

(Eli Rosario, Assistant Treasurer)

(Betsy Leonard, Assistant Secretary)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosi Travelers & Immigrants Aid's Ho		_	. Include d/b/a/ if applicable: eds and Human Rights d/b/a Heartland Alliance	
Check ONE of the following	three boxes:			
the contract, transaction or oth	atly holding, or a er undertaking to interest in excess	nticipated to	hold within six months after City action on EDS pertains (referred to below as the he Applicant's legal	
3. a legal entity with a State the legal name of the ent Heartland Housing, Inc.			arty holds a right of control:	
B. Business address of the Di	sclosing Party:	208 S LaS	208 S LaSalle, Suite 1300	
b. Dusiness address of the Di	sclosing I arry.	Chicago, I	L 60604	
C. Telephone: 312-660-1383	Fax:	-660-1555	mgoldberg@heartlandalliance.org Email:	
D. Name of contact person:	lichael Goldberg	ļ 		
E. Federal Employer Identific	ation No. (if you	have one)		
F. Brief description of the Ma property, if applicable):	tter to which this	s EDS pertain	ns. (Include project number and location of	
Transfer of previously v	acated alley	at 1215 S	outh Sawyer Chicago IL 60623	
G. Which City agency or depa	rtment is reques	ting this EDS	Department of Transportation	
			rtment of Procurement Services, please	
Specification # N/A		_ and Contra	ct # N/A	
Ver.2018-1	Pa	ge 1 of 15	· · · · · · · · · · · · · · · · · · ·	

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust	Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify)
2. For legal entities, the state (or foreign count	ry) of incorporation or organization, if applicable:
Illinois	•
3. For legal entities not organized in the State business in the State of Illinois as a foreign entities.	of Illinois: Has the organization registered to do ty?
Yes No	Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations, are no such members, write "no members which similar entities, the trustee, executor, administrational partnerships, limited liability comparison."	plicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there is are legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity listed below must sub	mit an EDS on its own behalf.
Name No members which are legal entities	Title
	ncerning each person or legal entity having a direct or onths after City action) beneficial interest (including

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a

Name None	Business Address	Percentage Interest in the Applicant
		· · · · · · · · · · · · · · · · · · ·
SECTION III - OFFICIALS	- INCOME OR COMPENSATION	N TO, OR OWNERSHIP BY, CITY ELECTE
	ng Party provided any income or con preceding the date of this EDS?	mpensation to any City elected official during the Yes No
	sing Party reasonably expect to providuring the 12-month period following	de any income or compensation to any City the date of this EDS? Yes No
•	of the above, please identify below to	the name(s) of such City elected official(s) and
inquiry, any City		isclosing Party's knowledge after reasonable c partner, have a financial interest (as defined in ICC")) in the Disclosing Party?
• •	dentify below the name(s) of such Ci scribe the financial interest(s).	ity elected official(s) and/or spouse(s)/domestic

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
	·		
(Add sheets if necessary)			
Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTIF	FICATION	S	,
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
	•	antial owners of business entities the support obligations throughout the	
* •	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	
Yes No V	No person d	lirectly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person er is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and
Yes No	,		
B. FURTHER CERTIFIC	CATIONS		v
Procurement Services.] In Party nor any Affiliated Experiormance of any public	n the 5-year Entity [see d c contract, t	the Matter is a contract being handle period preceding the date of this E efinition in (5) below] has engaged, he services of an integrity monitor,	DS, neither the Disclosing in connection with the independent private sector

- inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party:
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such Ver.2018-1

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
 The Disclosing Party certifies that the Disclosing Party (check one) is
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section	. 2-32-455(t		because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
			appears on the lines above, it will be fied to the above statements.
D. CERTIFIC	CATION RI	EGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or	terms defir	ed in MCC Chapter 2-156 h	have the same meanings if used in this Part D.
after reasonab	le inquiry,		he best of the Disclosing Party's knowledge se of the City have a financial interest in his or entity in the Matter?
Yes		✓No	
•		Yes" to Item D(1), proceed D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or empother person of taxes or assess "City Property	ployee shall or entity in t sments, or (Sale"). Co	have a financial interest in the purchase of any property iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, seen pursuant to the City's eminent domain the meaning of this Part D.
Does the Matt	er involve a	City Property Sale?	
Yes		No	
			mes and business addresses of the City official ify the nature of the financial interest:
Name	• •	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): None
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Yes	Applicant? ✓ No			
If "Yes," answer the three	questions below:		·	•/
Have you developed an federal regulations? (See		le affirmative action	ı programs pursuant	to applicable
 Have you filed with the Compliance Programs, or applicable filing requiremed 	the Equal Employments?			
3. Have you participated equal opportunity clause? Yes	n any previous cont	racts or subcontract	s subject to the	
If you checked "No" to que	estion (1) or (2) abo	ve, please provide a	n explanation:	

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Travelers & Immigrants Aid's Heartland Alliance for Human Needs and Human Rights d/b/a Heartland Alliance

(Print or type exact legal name of Disclosing Party)
By: Slight
(Sign here)
Elias Rosario
(Print or type name of person signing)
Assulant Tressurer of Travelers & Immegrania Aid's Heartland Allence for Human Needs and Human Rights divis Heartland Allence
(Print or type title of person signing)
Signed and sworn to before me on (date) 21512020,
at <u>Loo +</u> County, <u>Ilin vi s</u> (state).
KRISTINA CHELOS
Notary Public Notary Public KRISTINA BUTLER OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires September 12, 2020
Commission expires: 9 12 2000

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code at to MCC Section 2-92-416?
Yes	No	,
	~ .	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	No	The Applicant is not publicly traded on any exchange.
	offlaw or probler	dentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
No
N/A − I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

8/21/2019

HEARTLAND ALLIANCE... ENDING POVERTY

(O

s://twitter.com/heartlandhetps) (https://www.facebook.com/heartlandalliance) (https://www.instagram.com/heartlandallia

Heartland Alliance Board of Directors

Chair: Kary McIlwain

Vice Chair: Susan Rider

Secretary: Shubha Ahya

Treasurer: Cynthia Fronczak

Directors:

Mary L. Ahern

Linda T. Coberly

Jacqueline English

Alan Harder Lisa Haag

Alison W. McConnell

Glenn D. Newman

Michael A. Nutter

Ernesto Ramos Selwyn Rogers Ryan S. Ruskin

Zaher Sahloul

Liaisons from boards and auxillaries:

Kyle Peterson and Daniel Lezotte

Heartland Housing

Becky Frederick and Jonathan Laux Heartland Human Care Services

Richard Grossi and Khoa Ho Heartland Alliance Health

Alén Takhsh

Heartland Alliance International

National Immigrant Justice Center's Advisory Council Randall Rapp and Susan Fortino Brown

Jaimie Oh and Christine Torres

Associate Board

(Eli Rosario, Assistant Treasurer)

(Betsy Leonard, Assistant Secretary)

(Evelyn Diaz, President)

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitt Sawyer Gardens, Inc.	ing this EDS. Include d/b/a/ if applicable:		
Check ONE of the following three boxes:			
Indicate whether the Disclosing Party submitting 1. The Applicant OR			
the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess	nticipated to hold within six months after City action on o which this EDS pertains (referred to below as the s of 7.5% in the Applicant. State the Applicant's legal		
OR 3. a legal entity with a direct or indirect state the legal name of the entity in which the	et right of control of the Applicant (see Section II(B)(1))		
B. Business address of the Disclosing Party:	1215 South Sawyer		
D. Dusiness address of the Discrosing I arty.	Chicago, IL 60623		
3129539511 C. Telephone:Fax:	p.dillon@holdenintl.com		
D. Name of contact person:			
E. Federal Employer Identification No. (if you	ı have one):		
F. Brief description of the Matter to which thi property, if applicable):	s EDS pertains. (Include project number and location of		
Transfer of previously vacated alley	at 1215 South Sawyer Chicago IL 60623		
G. Which City agency or department is reques	ting this EDS? Department of Transportation		
If the Matter is a contract being handled by the complete the following:	City's Department of Procurement Services, please		
Specification #	and Contract #		
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	tv:
Person	Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the <u>not-for-profit corporation</u> also a 501(c)(3))?
Limited partnership	Yes No
Trust	Other (please specify)
Trust	Under (prease specify)
2. For legal entities, the state (or foreign count	try) of incorporation or organization, if applicable:
3. For legal entities not organized in the State business in the State of Illinois as a foreign entities.	of Illinois: Has the organization registered to do ity?
Yes No	✓ Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members which similar entities, the trustee, executor, administ limited partnerships, limited liability compared	plicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there have legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity listed below must sub	omit an EDS on its own behalf.
Name	Title
Edge Alliance, Inc.	Sole Member
	· · · · · · · · · · · · · · · · · · ·
	oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

	
NSATION TO, OR OWNERSHIP BY, CITY EL	ECTEI
· · · · · · · · · · · · · · · · · · ·	ing the No
· · · · · · · · · · · · · · · · · · ·	y No
fy below the name(s) of such City elected official(s)	and
r domestic partner, have a financial interest (as defin	
	ct to provide any income or compensation to any Cit

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

retained or anticipated to be retained)	Address Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
	•	antial owners of business entities the d support obligations throughout the	
	•	ectly owns 10% or more of the Disc ations by any Illinois court of comp	<u> </u>
Yes No	No person o	lirectly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
Yes No			
B. FURTHER CERTIFIC	CATIONS	·	
Procurement Services.] I	n the 5-year	the Matter is a contract being handler period preceding the date of this Elefinition in (5) below] has engaged	DS, neither the Disclosing

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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	. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further ertifications), the Disclosing Party must explain below:			
_				
	the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively esumed that the Disclosing Party certified to the above statements.			
co me of	12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cirof Chicago (if none, indicate with "N/A" or "none").			
the of the po	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a simplete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed ficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything ade generally available to City employees or to the general public, or (ii) food or drink provided in a course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or one"). As to any gift listed below, please also list the name of the City recipient.			
C.	CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION			
1.	The Disclosing Party certifies that the Disclosing Party (check one) is is not			
	a "financial institution" as defined in MCC Section 2-32-455(b).			
2.	If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:			

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

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"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):					
	," the word "None," or no response a umed that the Disclosing Party certif				
D. CERTIFICAT	TION REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS			
Any words or terr	ms defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.			
after reasonable i		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?			
Yes	No				
_	necked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" Part E.			
official or employ other person or er taxes or assessme "City Property Sa	wee shall have a financial interest in hatity in the purchase of any property nts, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.			
Does the Matter i	nvolve a City Property Sale?				
Yes	No	·.			
•	\$ 7. 2	mes and business addresses of the City officials fy the nature of the financial interest:			
Name	Business Address	Nature of Financial Interest			
<u></u>					

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): none
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? Yes No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contra Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No
If you checked "No" to question (1) or (2) above, please provide an explanation: not required for employees under 25

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

-	
Sawyer Gardens, Inc.	•
(Print or type exact legal name of Disclosing Par	rty)
By: Vaul Dilln	
(Sign here)	_
Paul Dillon	
(Print or type name of person signing)	
Board Chair of EdgeAlliance, Inc. as sole shareholder of Disclosing Party	
(Print or type title of person signing)	-
Signed and sworn to before me on (date) Janu	ary 10, 2020,
at Cook County, Illinois (st	ate).
nava go Couleur	
Notary Public	
Commission expires: Nov. 22, 2023	
	2 '
NANCY JO COULEUR OFFICIAL SEAL Notary Public - State of Illinois	
My Commission Expires Nov 22, 2023	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

14"	-	is the Applicant or any Owner idea MCC Section 2-92-416?	ntified as a building code
Yes	✓No		
	• • •	cly traded on any exchange, is any le scofflaw or problem landlord pu	•
Yes	No	The Applicant is not publicly t	raded on any exchange.
• • •	scofflaw or problem la	tify below the name of each person andlord and the address of each bui	•
٠.			· .
			<u> </u>

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes			
No			
N/A – I am not	an Applicant that is	s a "contractor" as defined in Mo	CC Section 2-92-385.
This certification s	hall serve as the aff	idavit required by MCC Section	2-92-385(c)(1).
If you checked "no	" to the above, plea	se explain.	
· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·		
-			

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitt The Phoenix House LLC	ing this EDS. I	nclude d/b/a/ if	`applicable:	
Check ONE of the following three boxes:				
Indicate whether the Disclosing Party submitting 1. the Applicant OR 2. a legal entity currently holding, or at the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: OR 3. a legal entity with a direct or indirect State the legal name of the entity in which the	nticipated to ho o which this ED of 7.5% in the	S pertains (refe Applicant. State	erred to belo te the Applicant (see Sec	w as the cant's legal
B. Business address of the Disclosing Party:	Sawyer		:	
b. Dusiness address of the Disclosing I arry.	Chicago, IL 6	0623		
3129539511 C. Telephone: Fax:		p.d Email:	lillon@hold	lenintl.com
D. Name of contact person:			es.	
E. Federal Employer Identification No. (if you	have one):		·	
F. Brief description of the Matter to which this property, if applicable):	s EDS pertains.	(Include project	ct number a	nd location o
Transfer of previously vacated alley		_	•	•
G. Which City agency or department is request	ting this EDS?_	Department	of Transp	ortation
If the Matter is a contract being handled by the complete the following:		Α.		
Specification #	and Contract	n/a #		\·
	ge 1 of 15			

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

Indicate the nature of the Disclosing Par Person Publicly registered business corporation	ty: Limited liability company Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
Limited partnership	Yes No
Trust	Other (please specify)
2. For legal entities, the state (or foreign count	try) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the State business in the State of Illinois as a foreign entities.	of Illinois: Has the organization registered to do ity?
Yes No	Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compa	plicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there have legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity listed below must sub	omit an EDS on its own behalf.
Name EdgeAlliance, Inc.	Title Sole Member
	-
indirect, current or prospective (i.e. within 6 mg ownership) in excess of 7.5% of the Applicant.	oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a p or joint venture, interest of a member or manager in a

state "None."					
NOTE: Each legal enti	ty listed below may be re	equired to sub	mit an EDS on	its own behal	f.
Name EdgeAlliance, Inc.	Business Address 1251 South Sawyer Ch	nicago IL 6062	Percentage In 23 100%	terest in the A	applicant
SECTION III INCO	OME OR COMPENSA	TION TO, O	R OWNERSH	IP BY, CITY	ELECTED
	ty provided any income oding the date of this EDS		on to any City e	elected officia	l during the No
	arty reasonably expect to the 12-month period follo				y City No
If "yes" to either of the describe such income of	above, please identify be or compensation:	elow the name	e(s) of such City	clected offic	ial(s) and
		1,			
inquiry, any City electe	official or, to the best of to dofficial's spouse or dor lunicipal Code of Chicag No	nestic partner	, have a financi	al interest (as	
	below the name(s) of su the financial interest(s).	,	, ·	d/or spouse(s)	/domestic
					· · · · · · · · · · · · · · · · · · ·

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

i.

•	Address (sub	tionship to Disclosing contractor, attorney, syist, etc.)	paid or esting "hourly rate"	nated.) NOTE: " or "t.b.d." is table response.
(Add sheets if necessary)				
Check here if the Disclo	sing Party has r	not retained, nor expect	s to retain, any such	persons or entities.
SECTION V CERTIFIC	CATIONS			
A. COURT-ORDERED CI	HILD SUPPOR	T COMPLIANCE		
Under MCC Section 2-92-4 remain in compliance with	•	•		•
Has any person who directl arrearage on any child supp	• •			
Yes No No	person directly	or indirectly owns 10	% or more of the Dis	closing Party.
If "Yes," has the person ent is the person in compliance			or payment of all sup	oport owed and
Yes No				
B. FURTHER CERTIFICA	ATIONS			
1. [This paragraph 1 applied Procurement Services.] In Party nor any Affiliated Ent	the 5-year perio	d preceding the date of	this EDS, neither the	e Disclosing

- Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the incligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.2018-1

11. If the Disclosing Party is unable to certify to any of the abo Certifications), the Disclosing Party must explain below: n/a	ve statements in this Part B (Further
If the letters "NA," the word "None," or no response appears on presumed that the Disclosing Party certified to the above statem	
12. To the best of the Disclosing Party's knowledge after reason complete list of all current employees of the Disclosing Party w month period preceding the datc of this EDS, an employee, or e of Chicago (if none, indicate with "N/A" or "none"). none	ho were, at any time during the 12-
complete list of all gifts that the Disclosing Party has given or continuous the 12-month period preceding the execution date of this EDS, to official, of the City of Chicago. For purposes of this statement, made generally available to City employees or to the general put the course of official City business and having a retail value of I political contribution otherwise duly reported as required by law "none"). As to any gift listed below, please also list the name of none	to an employee, or elected or appointed a "gift" does not include: (i) anything ablic, or (ii) food or drink provided in less than \$25 per recipient, or (iii) a w (if none, indicate with "N/A" or
 C. CERTIFICATION OF STATUS AS FINANCIAL INSTITU 1. The Disclosing Party certifies that the Disclosing Party (checon is is is not a "financial institution" as defined in MCC Section 2-32-455 	ck one)
2. If the Disclosing Party IS a financial institution, then the Dis	aloging Party pladage
2. If the Disclosing I ary is a illiancial institution, then the Dis	closing raity pieuges:
"We are not and will not become a predatory lender as defined in pledge that none of our affiliates is, and none of them will become MCC Chapter 2-32. We understand that becoming a predatory lender may result in the loss of the privilege of doing lender may result in the loss of the privilege of doing lender.	ne, a predatory lender as defined in lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-3	• •	because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
ICAL LA MATA	H .1 1957 H	
	"," the word "None," or no response umed that the Disclosing Party cert	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	TION REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or terr	ms defined in MCC Chapter 2-156 l	have the same meanings if used in this Part D.
after reasonable in		the best of the Disclosing Party's knowledge see of the City have a financial interest in his or entity in the Matter?
Yes	No	
-	necked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" o Part E.
official or employ other person or en taxes or assessme "City Property Sa	vee shall have a financial interest in atity in the purchase of any property nts, or (iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, seen pursuant to the City's eminent domain the meaning of this Part D.
Does the Matter in	nvolve a City Property Sale?	
Yes	No	
	· · · · · · · · · · · · · · · · · · ·	mes and business addresses of the City official ify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
<u> </u>		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): none
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Yes	Applicant?					
If "Yes," answer the three	questions be	low:		ļ		
 Have you developed a federal regulations? (See Yes 			mative action p	rograms pur	suant to applica	ıble
2. Have you filed with th Compliance Programs, or applicable filing requirem Yes	the Equal En	nployment Opp				
3. Have you participated equal opportunity clause? Yes	in any previo	ous contracts of	subcontracts s	ubject to the	;	
If you checked "No" to que not required for employee	estion (1) or es under 25	(2) above, plea	se provide an e	xplanation:		

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

The Phoenix House LLC
(Print or type exact legal name of Disclosing Party)
By: Vand Dellon
(Sign here)
Paul Dillon
(Print or type name of person signing)
Board Chair of EdgeAlliance, Inc. as sole Member of Disclosing Party
(Print or type title of person signing)
Signed and sworn to before me on (date) January 10, 2020
at Cook County, Illinois (state).
nancy Jo Couleur
Notary Public
Commission expires: Nov. 22, 2023
NANCY IO COLILETE

Notary Public - State of Illinois My Commission Expires Nov 22, 2023

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

Currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or a to MCC Section 2-92-	ny Owner identified as a 1 416?	building code
Yes	No			
* *	• • •	•	change, is any officer or d m landlord pursuant to M	
Yes	No	The Applicant is	not publicly traded on an	y exchange.
as a building code	` ·	<u> </u>	of each person or legal enters of each building or building	•
		. :		
				 ` ;
				

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes			
No			
N/A – I am not an App	licant that is a "contractor":	as defined in MCC Section	on 2-92-385.
This certification shall serv	ve as the affidavit required b	y MCC Section 2-92-385	s(c)(1).
If you checked "no" to the	above, please explain.		
	÷		
			•

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party	submitting this EDS. Include d/b/a/ if applicable:
Garden View LLC	
Check ONE of the following three bo	exes:
the contract, transaction or other undert "Matter"), a direct or indirect interest in name: OR 3. [] a legal entity with a direct or	ng, or anticipated to hold within six months after City action on taking to which this EDS pertains (referred to below as the excess of 7.5% in the Applicant. State the Applicant's legal indirect right of control of the Applicant (see Section II(B)(1)) ich the Disclosing Party holds a right of control:
B. Business address of the Disclosing I	1251 South Sawyer Avenue
C. Telephone: 312-953-9511 Fa	x:Email: p.dillon@holdenintl.com
D. Name of contact person: Paul Dillon	
E. Federal Employer Identification No.	. (if you have one):
<u>-</u>	hich this EDS pertains. (Include project number and location of iously vacated alley at 1251 South Sawyer Chicago, IL 60623
G. Which City agency or department is	requesting this EDS? Department of Transportation
If the Matter is a contract being handled complete the following:	l by the City's Department of Procurement Services, please
Specification # N/A	and Contract # N/A
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party: [] Person [X] Limited liability company [] Limited liability partnership 1 Publicly registered business corporation [] Privately held business corporation [] Joint venture [] Sole proprietorship Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? []No [] Limited partnership ∏ Yes [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? · []Yes []No [K] Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures. each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Edge Alliance, Inc. Sole Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Name Edge Alliance Inc.	Business Address 1251 South Sawyer Ave. Chica		e Interest in the . 100%	Applicant
SECTION III INC OFFICIALS	COME OR COMPENSATION	TO, OR OWNER	SHIP BY, CIT	Y ELECTED
	arty provided any income or come eding the date of this EDS?	pensation to any C	ity elected offici	al during the [X] No
	Party reasonably expect to provid the 12-month period following			ny City [X] No
If "yes" to either of the describe such income	e above, please identify below th or compensation:	e name(s) of such	City elected offic	cial(s) and
inquiry, any City elec	official or, to the best of the Disted official's spouse or domestic Municipal Code of Chicago ("Mo [X] No	partner, have a fina	ancial interest (as	
	fy below the name(s) of such Cit e the financial interest(s).	y elected official(s)	and/or spouse(s)/domestic
SECTION IV DIS	CLOSURE OF SUBCONTRA	CTORS AND OT	HER RETAINE	D PARTIES
The Disclosing Party lobbyist (as defined in whom the Disclosing the nature of the relati Disclosing Party is no Party's regular payroll	must disclose the name and busing MCC Chapter 2-156), accountant Party has retained or expects to reconship, and the total amount of the trequired to disclose employees. If the Disclosing Party is uncerning Party must either ask the City variables.	ness address of each nt, consultant and a ctain in connection he fees paid or esti who are paid solely tain whether a disc	n subcontractor, any other person with the Matter, mated to be paid through the Disclosure is required	attorney, or entity as well as The colosing d under this

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	<u> </u>	· · · · · · · · · · · · · · · · · · ·	· · ·
K] Check here if the Dis	closing Part	y has not retained, nor expects to re	etain, any such persons or entities.
SECTION V CERTIF	FICATION	IS	٠.
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		tantial owners of business entities the d support obligations throughout the	
Has any person who dire	ctly or indir	ectly owns 10% or more of the Disc	closing Party been declared in
arrearage on any child su	pport obliga	ations by any Illinois court of compe	etent jurisdiction?
[]Yes []No [X]] No person	directly or indirectly owns 10% or	more of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
[]Yes []No			٠.
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] I Party nor any Affiliated I performance of any publi inspector general, or integ investigative, or other sin activity of specified agen	in the 5-year Entity [see do c contract, to grity compli- cilar skills, of cy vendors	the Matter is a contract being handled reperiod preceding the date of this Elefinition in (5) below] has engaged the services of an integrity monitor, induce consultant (i.e., an individual designated by a public agency to he as well as help the vendors reform that in the future, or continue with a continue with a contract of the services in the future, or continue with a contract of the services in the future, or continue with a contract of the services as well as the future, or continue with a contract of the services are services as the services are services	DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lip the agency monitor the heir business practices so they

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2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
None
complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
 The Disclosing Party certifies that the Disclosing Party (check one) is [X] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-32		because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
-	the word "None," or no response a med that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATION	ON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inc		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
Yes	No	
_	cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employe other person or enti taxes or assessment "City Property Sale	e shall have a financial interest in laty in the purchase of any property is, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter inv	volve a City Property Sale?	
Yes	No	
•	\$ 77 A	mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
4	To 0 1 10 1	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI — CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
None
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the Applicant?				
[X]Yes	[] No			•	
If "Yes," answer the thr	ee questions bel	ow:			
1. Have you developed federal regulations? (S			action program	ns pursuant to app	olicable
[] Yes	ONI AJ		٠.		
2. Have you filed with Compliance Programs, applicable filing require [] Yes	or the Equal Emements?		ity Commission		
	 ,		•		
3. Have you participate equal opportunity claus	· ·	us contracts or subco	intracts subject	to the	
[] Yes	[X]No				
If you checked "No" to Not required for emplo		2) above, please pro	vide an explana	tion;	

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

GAIDEN	VIEW LLC	• •	-
(Print or type exact legal	name of Disclosing	Party)	
By: Oanl Da	illon		
(Sign here)			
Paul D: 110N			
(Print or type name of pe	rson signing) 🔑		,
Board Chair -	Edge Alliance	As Sol	e Member
(Print or type title of pers	on signing)		
			· ·
Signed and sworn to before	ore me on (date) 🎜	nuary 1	0, 2020,
at Cook Cour	· ·		
nancy go		· · · · · · · · · · · · · · · · · · ·	
Notary Public			
•		٠	· .
•			

Commission expires: Nov. 2a, 2023

NANCY JO COULEUR OFFICIAL SEAL Notary Public - State of Illinois My Commission Expires Nov 22, 2023

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No		•	
which such person	lentify below (1) the naris connected; (3) the naribas a familial relations	ame and title of the	e elected city officia	al or department head to

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		010, is the Applicant of the MCC Section 2-9	r any Owner identified as 2-416?	a building code
[] Yes	[X] No			
			exchange, is any officer or blem landlord pursuant to l	
[] Yes	[] No	[X] The Applica	nt is not publicly traded or	ı any exchange.
	scofflaw or proble		e of each person or legal e dress of each building or b	
		·		.*
		·		

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes	• •		
[] No			
[X] N/A – I am not a	n Applicant that is a "contracto	r' as defined in MCC Se	ection 2-92-385.
This certification sha	ll serve as the affidavit required	l by MCC Section 2-92-	·385(c)(1).
If you checked "no" t	o the above, please explain.		:

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitted EdgeAlliance, Inc.	ting this EDS. Include d/b/a/ if applicable:
Check ONE of the following three boxes:	
the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: The Phoenix House LLC & Garden Vie OR	nticipated to hold within six months after City action on to which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal ew LLC & Sawyer Gardens, Inc.
B. Business address of the Disclosing Party:	1251 South Sawyer
	Chicago, IL 60623
C. Telephone: Fax:	P.dillon@holdenintl.com
D. Name of contact person: Paul Dillon	
E. Federal Employer Identification No. (if you	have one)
F. Brief description of the Matter to which this property, if applicable):	s EDS pertains. (Include project number and location of
Transfer of previously vacated alley	at 1215 South Sawyer Chicago IL 60623
G. Which City agency or department is request	ting this EDS? Department of Transportation
If the Matter is a contract being handled by the complete the following:	City's Department of Procurement Services, please
NI/A	and Contract #
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [] Limited liability company [] Publicly registered business corporation [] Limited liability partnership. Privately held business corporation [] Joint venture [] Sole proprietorship [x] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership k | Yes [] No [] Other (please specify) [] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [] Yes []No K] Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Chairman-Board of Directors Paul Dillon

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Vice-chair- Board of Directors

Secretary-Board of Directors

Michelle Tisdale

Chuck Buettgen

Name	Business Address	Percentage Interest in the App	licant
None	· · · · · · · · · · · · · · · · · · ·		
SECTION III - OFFICIALS	INCOME OR COMPENSATIO	N TO, OR OWNERSHIP BY, CITY E	LECTEI
	ing Party provided any income or cold preceding the date of this EDS?	mpensation to any City elected official de [] Yes	ring the [X] No
	osing Party reasonably expect to prov during the 12-month period followin	ride any income or compensation to any C g the date of this EDS? [] Yes	City [X] No
	f the above mlease identify helevy	the name(s) of such City elected official(bne (e
	ncome or compensation:		
Does any City e inquiry, any City	elected official or, to the best of the D	Disclosing Party's knowledge after reason ic partner, have a financial interest (as de	able
Does any City e inquiry, any City	elected official or, to the best of the E	Disclosing Party's knowledge after reason ic partner, have a financial interest (as de	able

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to (subcontractor, lobbyist, etc.)	Disclosing Party attorney,	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Mayer Brown LLP	71 South	n Wacker Drive	attorney	(pro bono)
(Retained)	Chicago, II	., 60606		
(Add sheets if necessary)	<u></u>		······································	
[] Check here if the Disci	losing Party	has not retained	, nor expects to re	tain, any such persons or entities.
SECTION V CERTIF	FICATION	S		
A. COURT-ORDERED	CHILD SUI	PPORT COMPL	IANCE	
Under MCC Section 2-92 remain in compliance with				hat contract with the City must ne contract's term.
Has any person who direct	ctly or indire	ectly owns 10%	or more of the Dis	sclosing Party been declared in
arrearage on any child su	pport obliga	tions by any Illin	nois court of comp	petent jurisdiction?
[]Yes []No [X]	No person d	lirectly or indire	ctly owns 10% or	more of the Disclosing Party.
If "Yes," has the person e is the person in compliant			agreement for pa	yment of all support owed and
[]Yes []No				

B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further tifications), the Disclosing Party must explain below:
N/A	
	te letters "NA," the word "None," or no response appears on the lines above, it will be conclusively numed that the Disclosing Party certified to the above statements.
com	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a aplete list of all current employees of the Disclosing Party who were, at any time during the 12-th period preceding the date of this EDS, an employee, or elected or appointed official, of the City Chicago (if none, indicate with "N/A" or "none").
Noi	ne
the office made the office political made th	plete list of all gifts that the Disclosing Party has given or caused to be given, at any time during 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed cial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything le generally available to City employees or to the general public, or (ii) food or drink provided in course of official City business and having a retail value of less than \$25 per recipient, or (iii) a tical contribution otherwise duly reported as required by law (if none, indicate with "N/A" or ne"). As to any gift listed below, please also list the name of the City recipient.
No	ne
C. (CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. 7	The Disclosing Party certifies that the Disclosing Party (check one) [] is [X] is not
a	"financial institution" as defined in MCC Section 2-32-455(b).
2. I	f the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We	e are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-3		because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
	," the word "None," or no response a umed that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	TON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or terr	ns defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable in		he best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
Yes	No	
	necked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employ other person or en taxes or assessme "City Property Sa	ree shall have a financial interest in latity in the purchase of any property nts, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	nvolve a City Property Sale?	
Yes	No	
		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
	:	
		<u> </u>
4. The Disclosin	g Party further certifies that no proh	ibited financial interest in the Matter will be

acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
None
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing P	arty the Applicant?	•	-	•
[]Yes	[X] No			
If "Ycs," answer th	e three questions be	elow:		· ·
	loped and do you ha? (See 41 CFR Par		ative action programs pursua	ant to applicable
•	ams, or the Equal E	_	the Director of the Office or rtunity Commission all repo	
[] Yes	[] No	[] Reports no	t required	
3. Have you particequal opportunity		ous contracts or s	ubcontracts subject to the	·
[] Yes	[] No			
If you checked "No	o" to question (1) or	(2) above, pleaso	provide an explanation:	

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SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(Print or type exact legal name of Disclosing Party)
(Print or type exact legal name of Disclosing Party)
By: Paul Dillon
(Sign here)
Paul Dillon
(Print or type name of person signing)
Board Chair
(Print or type title of person signing)
Signed and sworn to before me on (date) Inwary 10, 2020
at Cook County, Illinois (state).
nancy Jo Couleur
Notary Public

Commission expires: Nov. 22, 2023

NANCY JO COULEUR OFFICIAL SEAL Notary Public - State of Illinois My Commission Expires Nov 22, 2023

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No	
which such person	tify below (1) the name and title of such person, (2) the name of the legal entity connected; (3) the name and title of the elected city official or department head as a familial relationship, and (4) the precise nature of such familial relationship	to

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[] Yes	[X] No	
~ ~	• • • • • •	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[] Yes	[] No	[X] The Applicant is not publicly traded on any exchange.
•	scofflaw or problem	entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which
	·	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] No		16 4 32 - 3 - 1	- 1: MOOR 4: 200	205
-			fined in MCC Section 2-92	-385.
This certific	ation shall serve as the af	ffidavit required by MC	C Section 2-92-385(c)(1).	
If you check	ted "no" to the above, ple	ase explain.		•
		<u> </u>		
			,	