

### City of Chicago



O2019-324

### Office of the City Clerk

**Document Tracking Sheet** 

**Meeting Date:** 

1/23/2019

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 6-E at 2207-2215 S Michigan Ave, 101-133 East Cermak Rd, 2206-2258 S Indiana Ave, 118-132 East 23rd Street - App No. 19937

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

# 19937 INTRO DATE JAN 23, 2019

### **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO

SECTION 1: Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance is hereby amended by changing all the Business Planned Development No. 1153 symbols and indications as shown on Map No. 6-E in an area bounded by:

East Cermak Road, South Indiana Avenue, East 23<sup>rd</sup> Street, the alley next west of and parallel to South Indiana Avenue, a line 145.66 feet south of and parallel to East Cermak Road, South Michigan Avenue

to the designation of Business Planned Development No. 1153, as amended which is hereby established in the area above described, subject to such use and bulk regulations as are set forth in the Plan of Development herewith attached and made a part thereof and to no others.

SECTION 2: This Ordinance shall be in force and effect from after its passage and due publication.

### BUSINESS PLANNED DEVELOPMENT NO.1153, AS AMENDED PLAN OF DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Business Planned Development No. 1153, as amended, consists of approximately 109,106 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and Subarea A is owned or controlled by the Applicant, 2222 S Indiana DC LLC. Subareas B and C are existing and to remain and will not be affected by this amendment.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time applications for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or under single designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees, or grantees. Any dedication or vacation of streets or alleys, or grants of easements, or adjustments of right-of-way, shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its

APPLICANT:

2222 S INDIANA DC LLC

ADDRESS:

2207-2215 SOUTH MICHIGAN AVENUE, 101-133 EAST CERMAK ROAD,

2206-2258 SOUTH INDIANA AVENUE, 118-132 EAST 23RD STREET,

CHICAGO, ILLINOIS

INTRODUCED:

JANUARY 23, 2019

successors, assignees, or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be

in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and

approval of the Departments of Planning and Development and Transportation. Closure

of all or any public street or alley during demolition or construction shall be subject to the

review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance

with the Department of Transportation Construction Standards for Work in the Public

Way and in compliance with the Municipal Code of the City of Chicago. Prior to the

issuance of any Part II approval, the submitted plans must be approved by the

Department of Transportation.

4. This Plan of Development consists of these seventeen (17) Statements; a Bulk

Regulations and Data Table; a Planned Development Boundary and Property Line Map; a

Property Line and Subarea Map; an Existing Land Use Map; an Existing Zoning Map; a

Right of Way Adjustment Map; a Site Plan/Ground Floor Plan; a Landscape and Green

Roof Plan; Building Elevations; and Pedestrian Bridge Elevations and Section; prepared

by Antunovich Associates. Full size copies of the Site Plan, Landscape Plan and

Building Elevations are on file with the Department of Planning and Development. In

any instance where a provision of this Planned Development conflicts with the Chicago

Building Code, the Building Code shall control. This Planned Development conforms to

APPLICANT:

2222 S INDIANA DC LLC

ADDRESS:

2207-2215 SOUTH MICHIGAN AVENUE, 101-133 EAST CERMAK ROAD,

2206-2258 SOUTH INDIANA AVENUE, 118-132 EAST 23RD STREET,

CHICAGO, ILLINOIS

INTRODUCED:

JANUARY 23, 2019

the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

5. The following uses shall be allowed within the area herein delineated as Business Planned Development:

Subarea A: Office, Retail, Electronic Data Storage Center, Eating and Drinking Establishments, Utilities and Services, Minor, Co-Located Wireless Communication Facilities, Accessory Parking, Non-Accessory Parking, and related facilities and accessory uses.

Subarea B: Office, Retail, Hotel, Pedestrian Skybridge, Food and Beverage Retail Sales including Liquor Sales as an accessory use to Hotel, Eating and Drinking Establishments including Roof Top Bar, Indoor Participant Sports and Recreation (not located on the ground floor), Co-Located Wireless Communication Facilities, Accessory Parking and related facilities and accessory uses.

Subarea C: Office, Retail, Food and Beverage Retail Sales (Liquor Store package goods and Liquor Sales as accessory use), Eating and Drinking Establishments, Indoor Participant Sports and Recreation, Children's Play Center, Financial Services, Co-Located Wireless Communication Facilities, Accessory Parking and related facilities and accessory uses.

APPLICANT:

2222 S INDIANA DC LLC

ADDRESS:

2207-2215 SOUTH MICHIGAN AVENUE, 101-133 EAST CERMAK ROAD,

2206-2258 SOUTH INDIANA AVENUE, 118-132 EAST 23RD STREET,

CHICAGO, ILLINOIS

INTRODUCED:

**JANUARY 23, 2019** 

- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply.

  The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations and Data Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations and Data Table has been determined using a Net Site Area of 109,106 square feet.
- 9. Prior to the Department of Planning and Development issuing a determination pursuant to Section 17-13-0610 of the Chicago Zoning Ordinance (a "Part II Approval") for development of a Pedestrian Skybridge on any portion of the property, a site plan for the proposed development of such portion shall be submitted to the Department of Planning and Development for Site Plan Approval. Site Plan Approval is intended to assure that the specific development proposals substantially conform with this Planned Development and to assist the City in monitoring ongoing development. A Site Plan may be submitted for all or part of the Property. Such Site Plan need only include the area within the

APPLICANT:

2222 S INDIANA DC LLC

ADDRESS:

2207-2215 SOUTH MICHIGAN AVENUE, 101-133 EAST CERMAK ROAD,

2206-2258 SOUTH INDIANA AVENUE, 118-132 EAST 23RD STREET.

CHICAGO, ILLINOIS

INTRODUCED:

JANUARY 23, 2019

Property for which approval is being sought by the Applicant. No Part II approval for

such area shall be granted until an applicable Site Plan has been approved.

If a Site Plan substantially conforms with the provisions of this Planned Development,

the Department of Planning and Development shall approve said Site Plan. Following

approval of a Site Plan by the Department of Planning and Development, the Site Plan

shall be kept on permanent file with the Department of Planning and Development and

shall be deemed to be an integral part of this Planned Development.

After approval of a Site Plan Approval or Part II Approval by the Department of Planning

and Development concerning the Property or a portion thereof, the same may be changed

or modified pursuant to the provisions of Statement No. 13 of this Planned Development.

In the event of any inconsistency between an approved Site Plan and the terms of this

Planned Development (including any amendments hereto that may be in effect at the time

of such approval), the terms of this Planned Development (as the same may be so

amended) shall govern.

A Site Plan shall, at a minimum, provide the following information with respect to the

proposed improvements within the boundaries of the area to be developed:

(a) building elevations;

(b) footprint of the improvements;

(c) preliminary landscaping plan;

(d) pedestrian circulation;

(e) preliminary cross-sections of the improvements; and

APPLICANT:

2222 S INDIANA DC LLC

ADDRESS:

2207-2215 SOUTH MICHIGAN AVENUE, 101-133 EAST CERMAK ROAD,

2206-2258 SOUTH INDIANA AVENUE, 118-132 EAST 23RD STREET.

CHICAGO, ILLINOIS

INTRODUCED:

**JANUARY 23, 2019** 

PLAN COMMISSION:

5

(f) statistical information applicable to the area, including floor area and floor area ratio, uses to be established, building heights and setbacks.

A Site Plan shall include such other information as may be necessary to illustrate conformance with the applicable provisions of this Planned Development and any City Ordinances or policies in effect at the time of submission of the Site Plan.

- 10. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 11. The Site and Landscape Plans shall be in substantial conformance with the Landscape
  Ordinance and any other corresponding regulations and guidelines. Final landscape plan
  review and approval will be by the Department of Planning and Development. Any
  interim reviews associated with site plan review or Part II reviews, are conditional until
  final Part II approval.
- 12. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Environment and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
- 13. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to Section 17-13-0611-A of the Zoning

APPLICANT:

2222 S INDIANA DC LLC

ADDRESS:

2207-2215 SOUTH MICHIGAN AVENUE, 101-133 EAST CERMAK ROAD,

2206-2258 SOUTH INDIANA AVENUE, 118-132 EAST 23RD STREET.

CHICAGO, ILLINOIS

INTRODUCED:

**JANUARY 23, 2019** 

Ordinance by the Zoning Administrator upon the application for such a modification by

the Applicant, its successors and assigns and, if different than the Applicant, the legal

title holders and any ground lessors.

14. Recognizing that a data center is a permitted use in Subarea A of this Planned

Development and that residents in the community adjacent to the Planned Development

have expressed concerns about noise levels and air quality relating to mechanical

equipment operated as a part of such use, the owner of the data center shall identify an

on-site contact for residents to notify respecting such concerns. When specific concerns

are identified and are on-going, the owner, through its identified contact, shall arrange

meeting(s) among owner's representatives (specifically including on-site property

manager), community residents, the Department of Planning and Development and the

affected alderman to identify the underlying source(s) for such concerns and review and

implement acceptable solutions. This provision shall not modify each property's

obligation to comply with applicable laws, ordinances and regulations.

The building in Subarea B shall incorporate Operable Folding Architectural Doors

accessible to the sidewalk along South Michigan Avenue. The Operable Doors shall be

used to activate the street frontage and be compatible with the character of the

surrounding area.

15. The Applicant acknowledges that it is in the public interest to design, construct and

maintain the project in a manner which promotes, enable and maximizes universal access

throughout the Property. Plans for all buildings and improvements on the Property shall

APPLICANT:

2222 S INDIANA DC LLC

ADDRESS:

2207-2215 SOUTH MICHIGAN AVENUE, 101-133 EAST CERMAK ROAD,

2206-2258 SOUTH INDIANA AVENUE, 118-132 EAST 23RD STREET,

CHICAGO, ILLINOIS

INTRODUCED:

JANUARY 23, 2019

be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote accessibility.

- 16. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. Buildings in Subarea B and Subarea C are existing. Any future development in Subarea A (excluding the Rambler Building) will be required to adhere to the Sustainable Policy of the Department of Planning and Development in effect at such time that the applicant is ready to actively pursue such construction.
- 17. All minimum construction required in Statement No. 17 of the 2014 version of Business Planned Development No. 1153 has been completed and this section of the Planned Development is satisfied.

APPLICANT:

2222 S INDIANA DC LLC

ADDRESS:

2207-2215 SOUTH MICHIGAN AVENUE, 101-133 EAST CERMAK ROAD,

2206-2258 SOUTH INDIANA AVENUE, 118-132 EAST 23RD STREET,

CHICAGO, ILLINOIS

INTRODUCED:

JANUARY 23, 2019

PLANNED DEVELOPMENT NO. 1153 BULK REGULATIONS AND DATA TABLE				
	SUB AREA			
	Α	В	С	TOTAL
	DATA CENTER	HOTEL	2215 S. MICHIGAN	
NET SITE AREA (sf)	71,375 sf	29,747 sf	7,984 sf	109,106 sf
GROSS SITE AREA (NET SITE AREA + AREA REMAINING IN PUBLIC RIGHT OF WAY)	99,165 sf	63,342 sf	10,573 sf	173,080 sf
ALLOWABLE FLOOR AREA RATIOOVERALL TOTAL TO BE USED TO CALCULATE FAR	3.30	3.40	0.29	7.00
	360,253	371,179	31,904	763,336
MAXIMUM ALLOWABLE SITE COVERAGE	71,375 sf	29,747 sf	7,984 sf	109,106 sf <sub>.</sub>
HOTEL ROOMS	-	500 Rooms	-	500 Rooms
SQUARE FOOTAGE OF RETAIL / COMMERICAL SPACE	2,500 sf	15,000 sf	31,904 sf	49,404 sf
MINIMUM REQUIRED NUMBER OF ACCESSORY OFF STREET PARKING SPACES	114 Spaces	-	-	114 Spaces
NUMBER OF ACCESSORY OFF STREET LOADING SPACES	3 Berths	2 Berths	1 Berths	6 Berths
PROPOSED NUMBER OF BICYCLE PARKING SPACES	10 Spaces	20 Spaces	-	30 Spaces
MINIMUM BUILDING SETBACKS	-	-	-	-
BUILDING HEIGHT	162'-0"	320'-0"	66'-0"	-

APPLICANT:

2222 S Indiana DC LLC

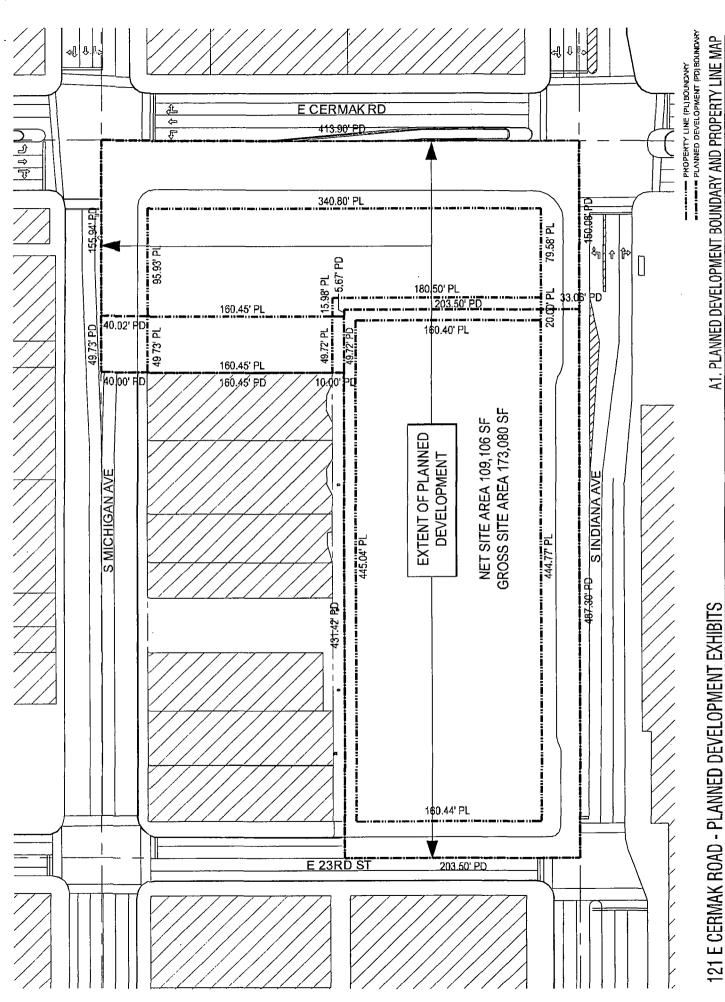
ADDRESS:

2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2206-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois

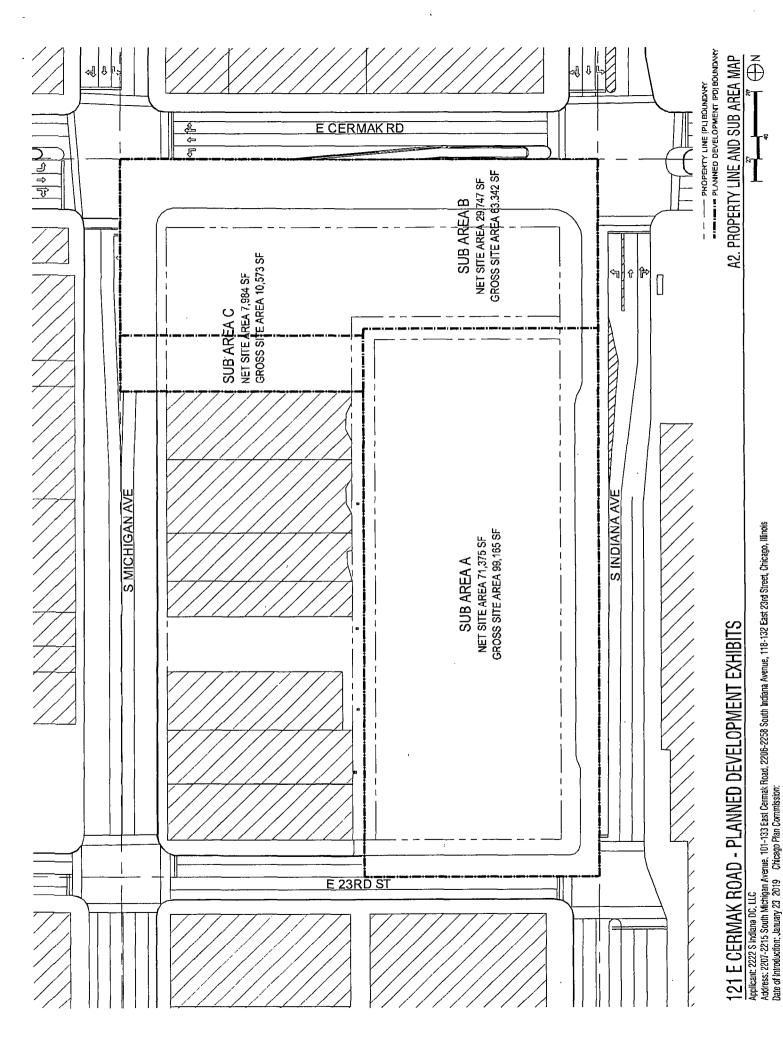
INTRODUCED:

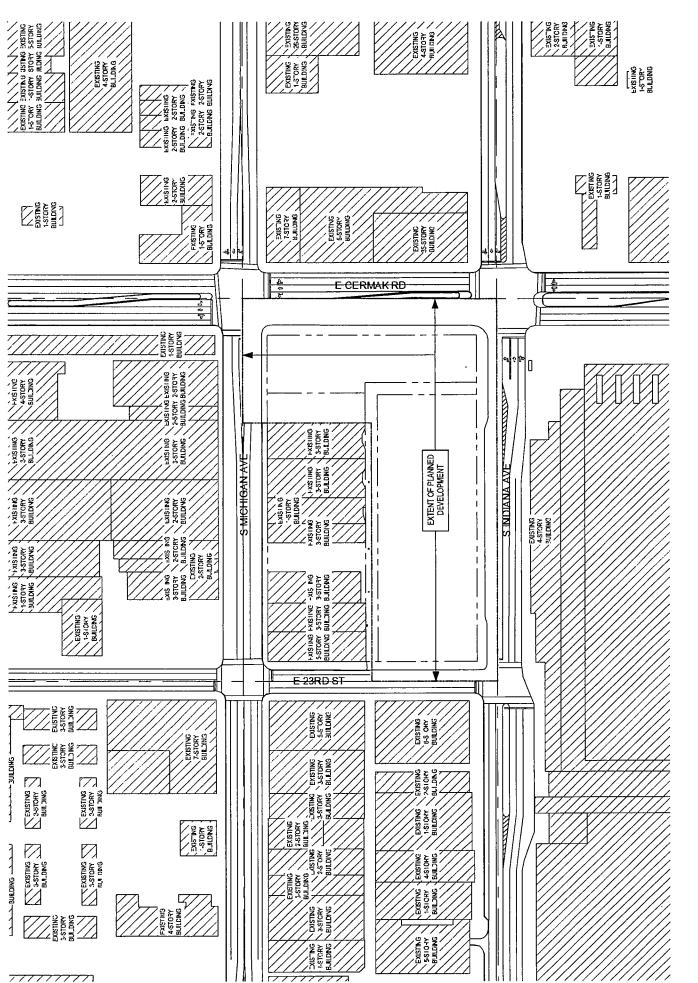
January 23, 2019

CHICAGO PLAN COMMISSION:



| C | E CERIVIAN ROAD - FLAIVINED DEVELOFIVIEN | EATIDII 3 Applicant: 2222 S Indiana DC, LLC Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2205-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois Date of Introduction: January 23, 2019 CNoago Plan Commission:



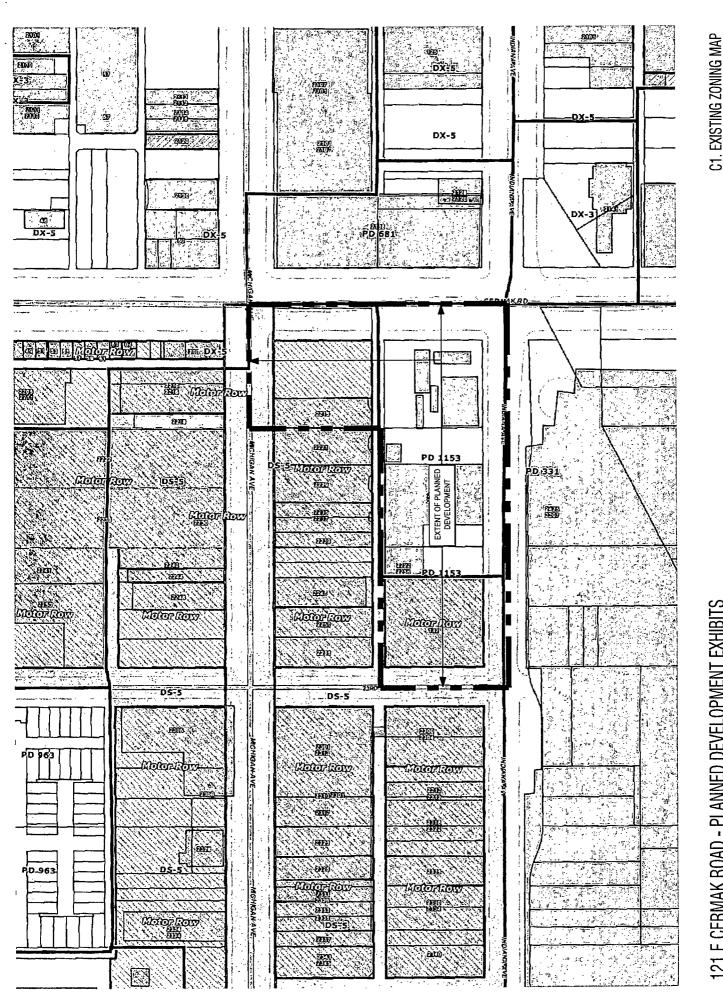


Applicant. 2222 S Indiana DC, LLC. Address: 2207-2215 South Michigan Avenue. 101-133 East Cermak Road, 2205-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois Date of Introduction: January 23. 2019 Chicago Plan Commission:

 $\stackrel{\mathsf{N}}{\oplus}$ 

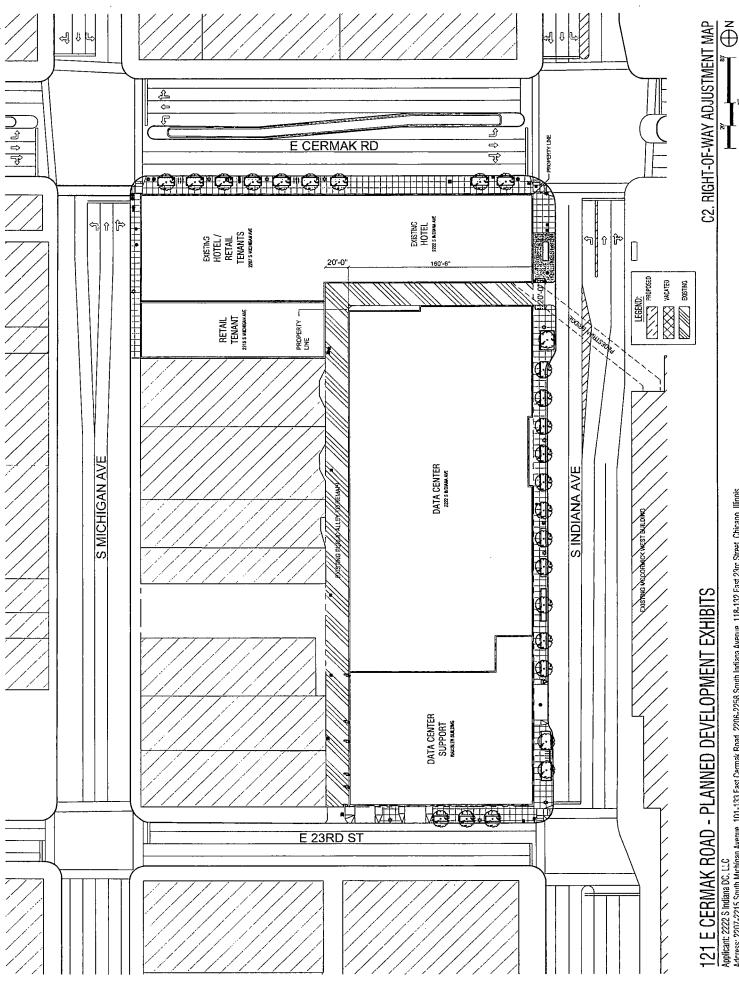
EXISTING LAND-USE MAP

ä

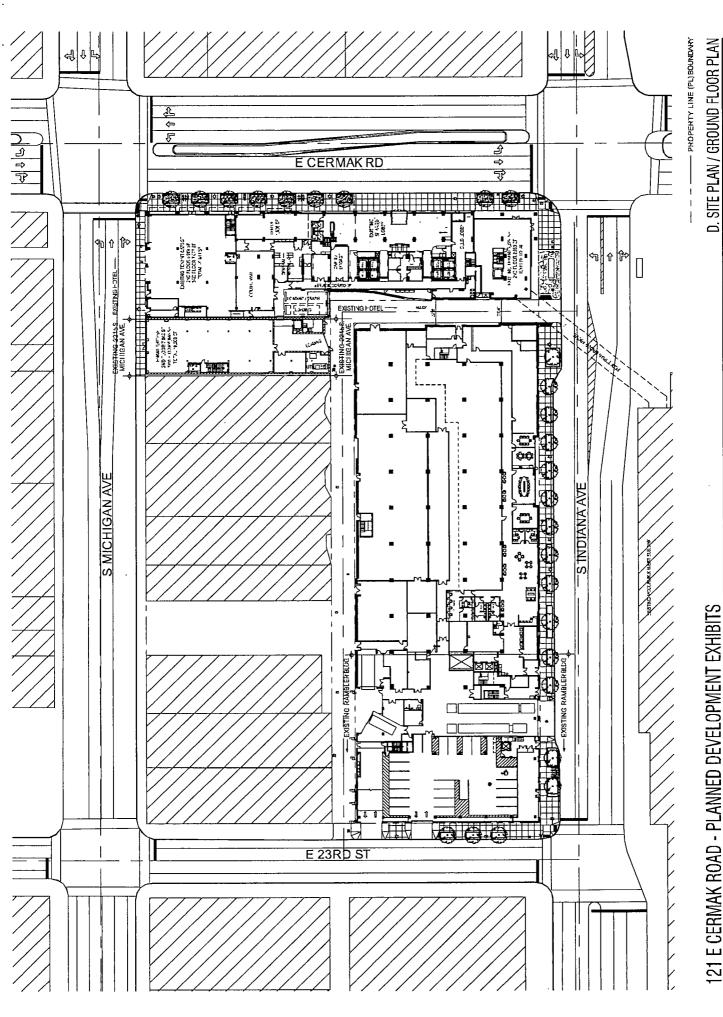


Applicant. 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2206-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois
Date of Introduction: January 23, 2019 Chicago Plan Commission:

Š

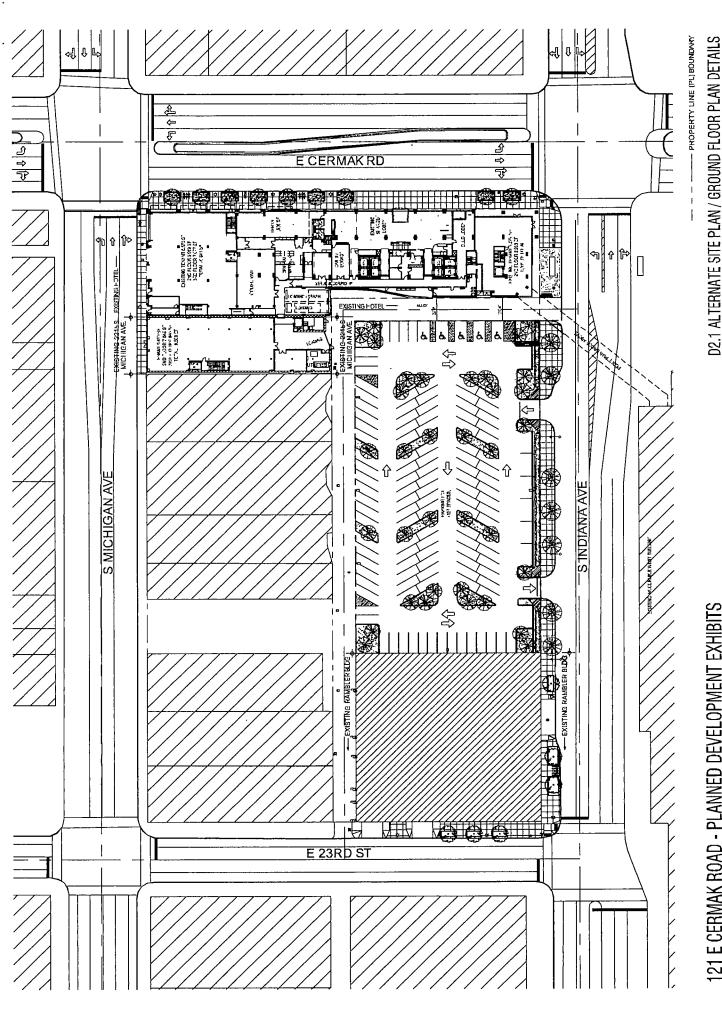


Applicant: 2222 S Indiana DC, L.C Adcress: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road. 2206-2258 South Indiana Avenue, 118-132 East 23rc Street, Chicago, Illinois Date of Introduction: January 23, 2019 Chicago Plan Commission:



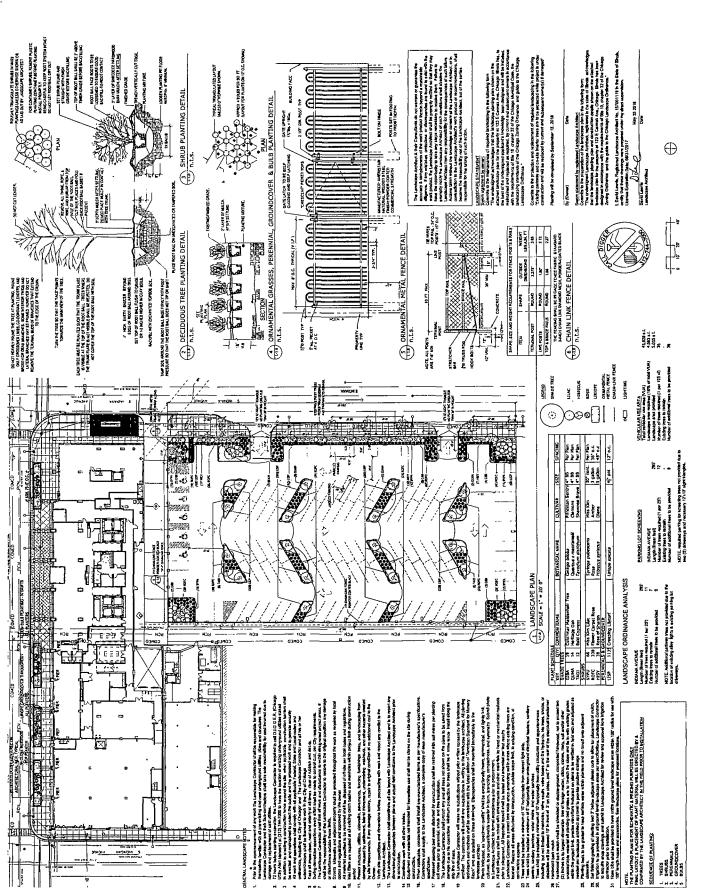
 $\stackrel{\mathtt{N}}{\oplus}$ 

Applicant. 2222 S Indiana DC, LLC.
Address. 2207-2215 South Michigan Avenue. 101-133 East Cermak Road. 2206-2258 South Indiana Avenue. 118-132 East 23rd Street, Chicago, Illinois
Date of Introduction: January 23 2019 Chicago Pian Commission:



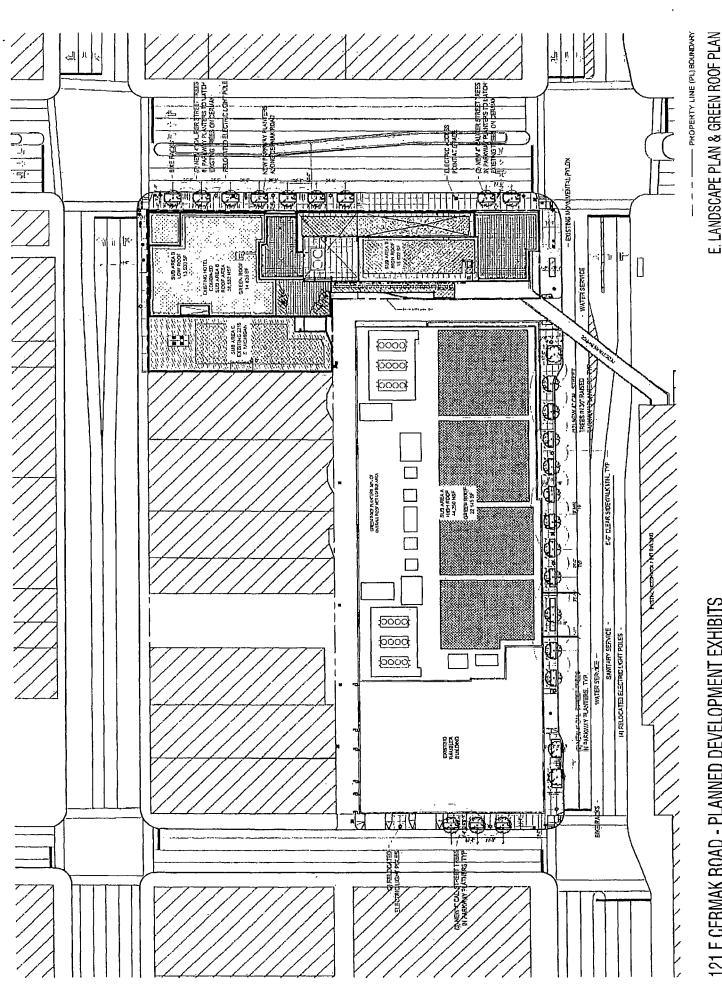
Applicant. 2222 S Indiana DC, LLC.
Address: 2207-2215 South Michigan Avenue. 101-133 East Cermak Road. 2205-2258 South Indiana Avenue. 118-132 East 23rd Street, Chicago, Illinois
Date of Introduction: January 23. 2019 Chlcago Plan Commission:

 $\stackrel{\mathtt{N}}{\oplus}$ 



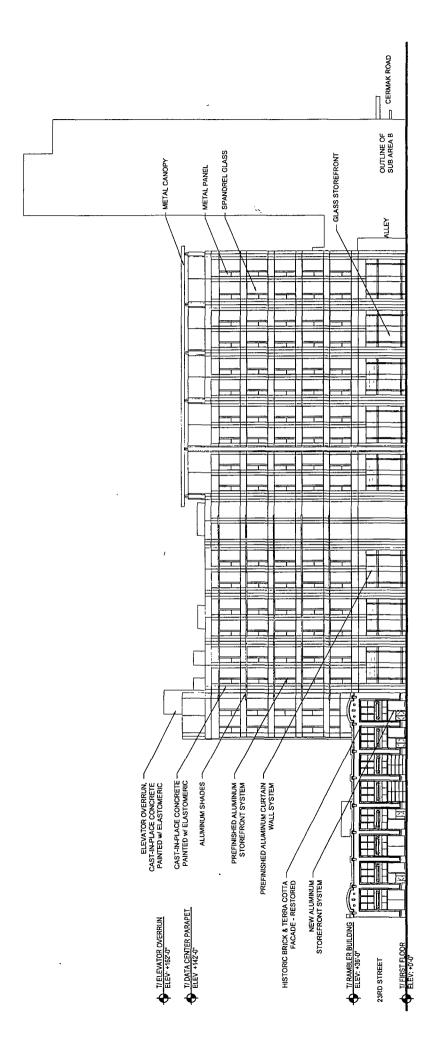
D2.1 ALTERNATE SITE PLAN / GROUND FLOOR PLAN DETAILS

Applicant: 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2205-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago. Illinois
Dale of Introduction: January 23, 2019 Chicago Plan Commission

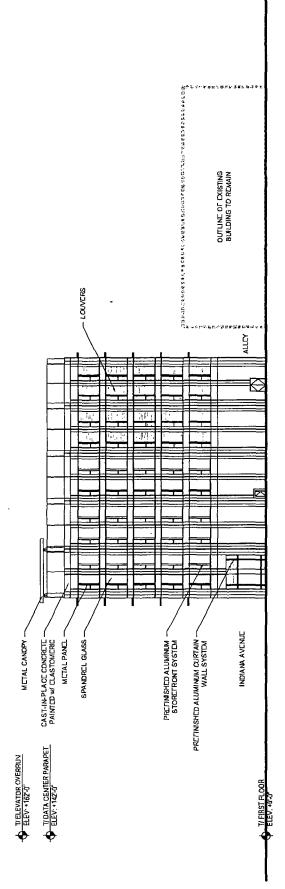


 $\bigoplus$ 

Applicant. 2222 S Indiana DC, LLC Address. 2207-2215 South Michigan Avenue, 101-133 East Cermak Road. 2205-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois Date of Introduction: January 23 2019 Chicago Plan Commission:



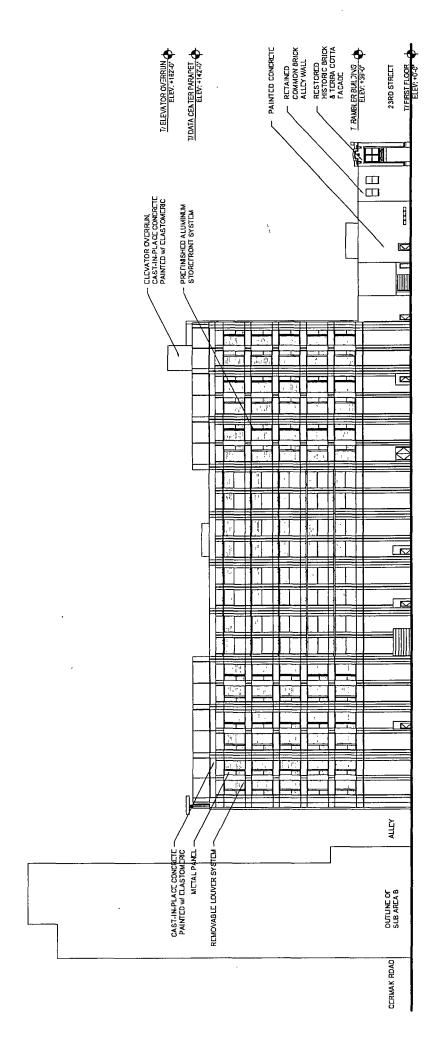
Applicant. 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2206-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Winois
Date of Introduction: January 23, 2019 Chicago Plan Commission:



### F4. SUB AREA A - SOUTH ELEVATION (23RD STREET)

### 121 E CERMAK ROAD - PLANNED DEVELOPMENT EXHIBITS

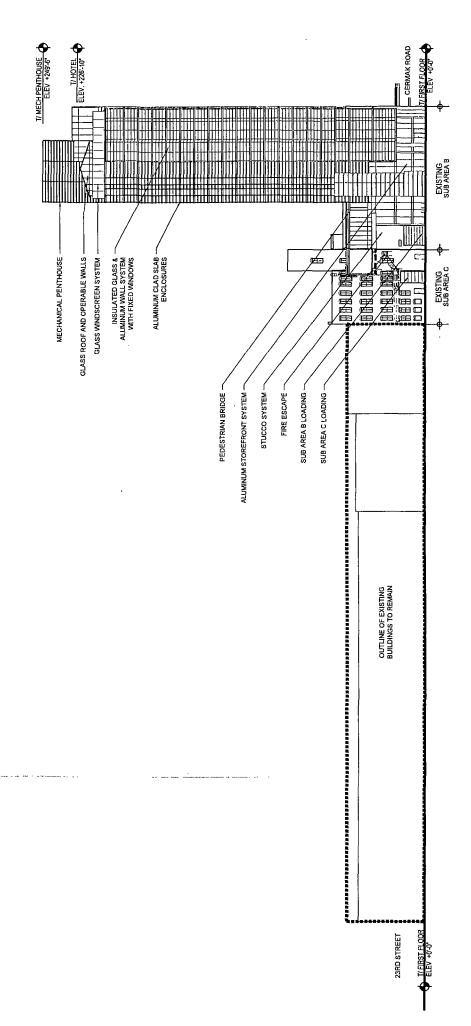
Applicant: 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2206-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois
Date of Introduction: January 23, 2019 Chicago Plan Commission:



### F3. SUB AREA A - WEST ELEVATION (EXISTING ALLEY)

### 121 E CERMAK ROAD - PLANNED DEVELOPMENT EXHIBITS

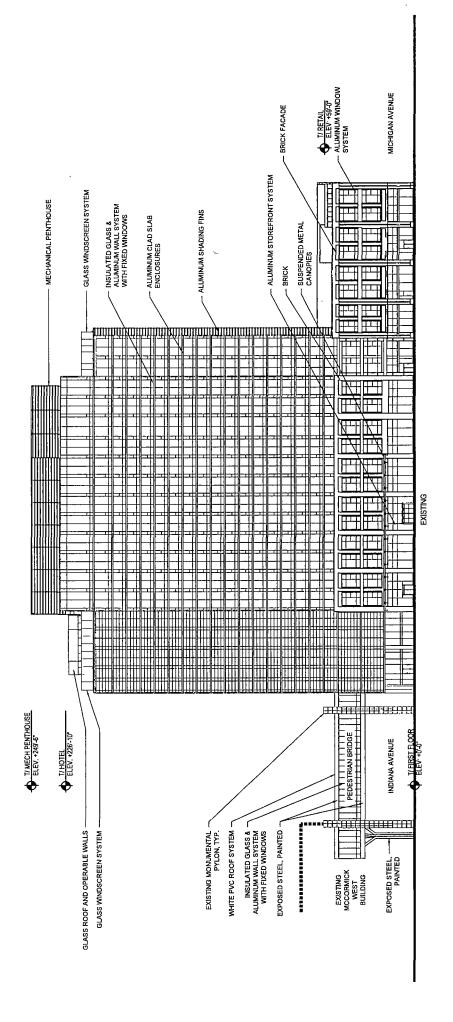
Applicant. 2222 S Indiana DC, LLC Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road. 2206-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago. Illinois Date of Introduction: January 23 2019 Chicago Plan Commission:



# F5. SUB AREA B - EAST ELEVATION (COMBINED INDIANA AVENUE + EXISTING ALLEY)

121 E CERMAK ROAD - PLANNED DEVELOPMENT EXHIBITS
Applicant. 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue, 101-133 East Commission:
Date of Introduction: January 23, 2019 Chicago Plan Commission:

15



Applicant. 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2206-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois
Date of Introduction: January 23, 2019 Chicago Plan Commission:

Applicant. 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2206-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois
Date of Introduction: January 23, 2019 Chicago Plan Commission:

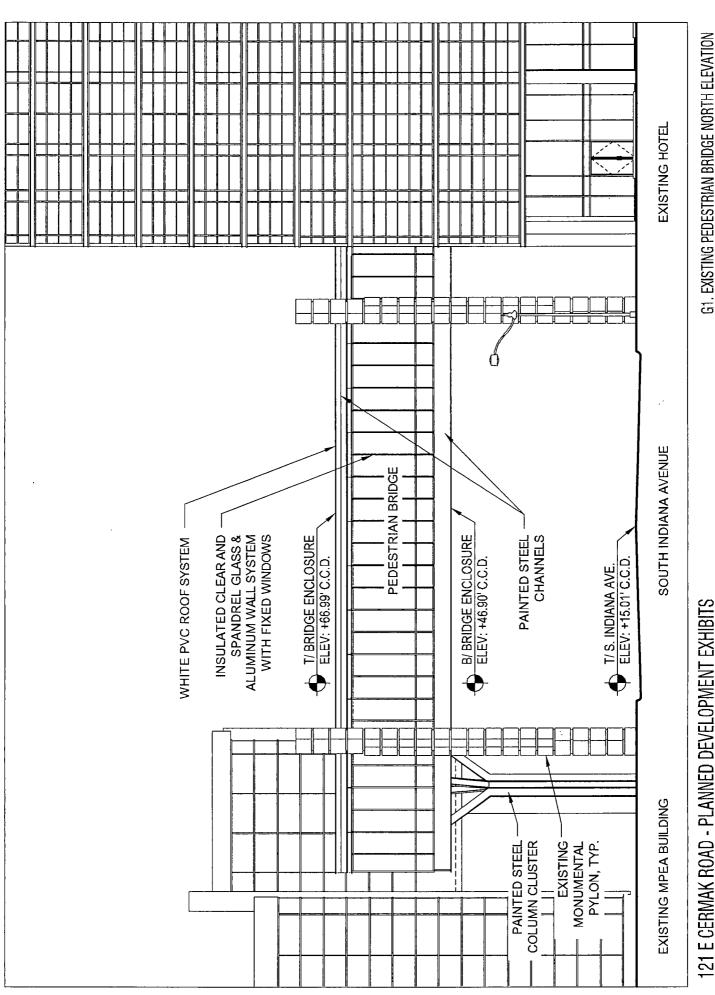


### F8. SUB AREA B - SOUTH ELEVATION (EXISTING ALLEY)

121 E CERMAK ROAD - PLANNED DEVELOPMENT EXHIBITS

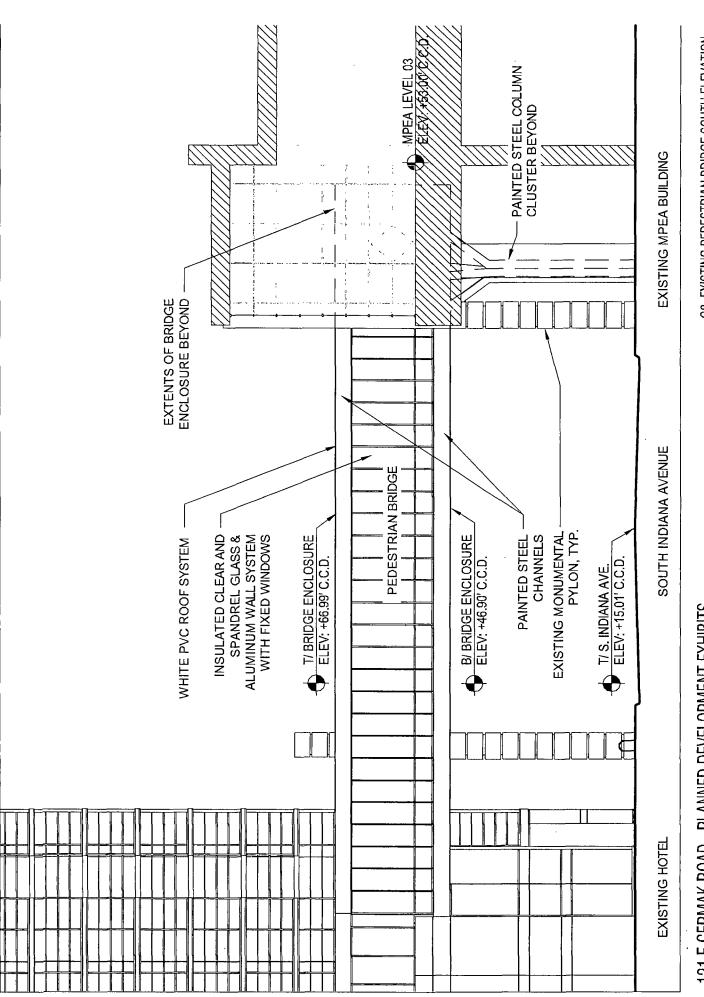
Applicant. 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2206-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois
Date of Introduction: January 23, 2019 Chicago Plan Commission:

15.



### G1. EXISTING PEDESTRIAN BRIDGE NORTH ELEVATION

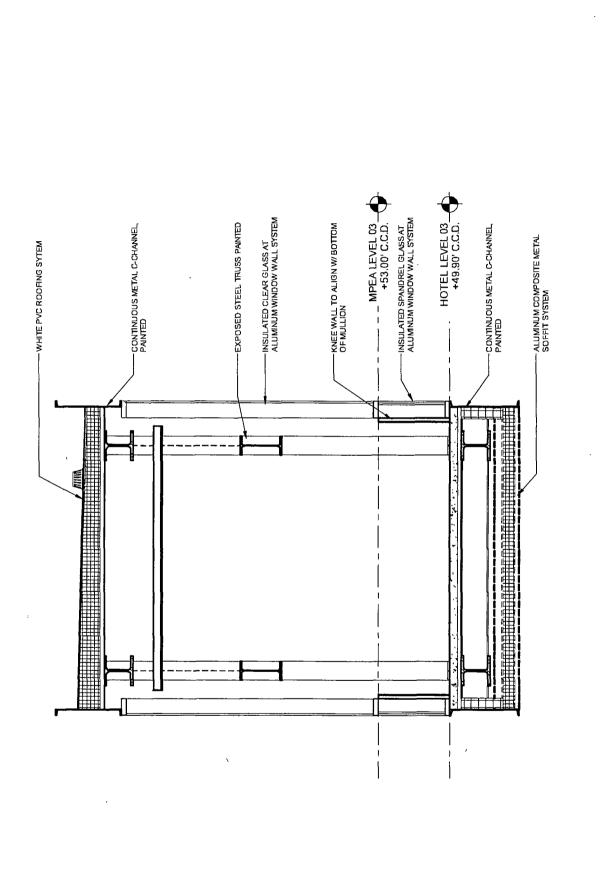
Applicant: 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2206-2258 South Indiana Avenue, 118-132 East 23rd Street, Chicago, Illinois
Date of Introduction: January 23. 2019 Chicago Plan Commission:



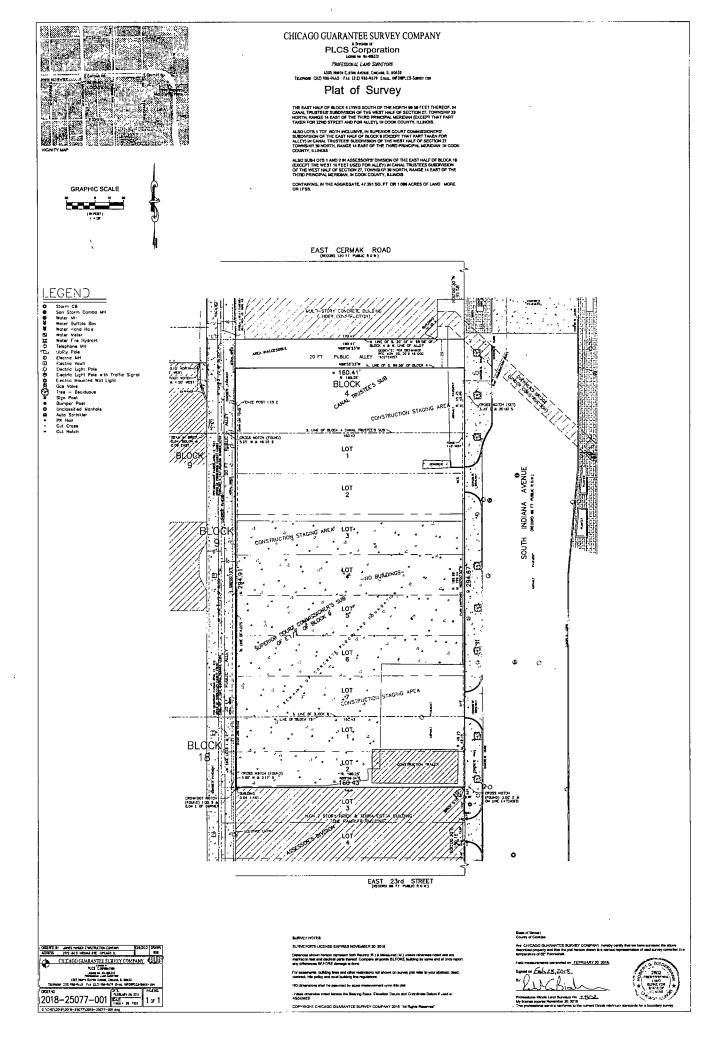
### G2. EXISTING PEDESTRIAN BRIDGE SOUTH ELEVATION

# 121 E CERMAK ROAD - PLANNED DEVELOPMENT EXHIBITS

Applicant: 2222 S Indiana DC, LLC.
Address: 2207-2215 South Michigan Avenue, 101-133 East Cermak Road, 2205-2258 South Indiana Avenue, 118-132 East 23rd Street, Chreago. Illinois
Date of Introduction: January 23 2019 Chicago Plan Commission:



Applicant: 2222 S Indiana DC, LLC
Address: 2207-2215 South Michigan Avenue. 101-133 East Cermak Road. 2205-2258 South Indiana Avenue. 118-132 East 23rd Street, Chicago. Illinois
Date of Introduction: January 23, 2019 Chicago Plan Commission:



Jack George

### akerman

Akerman LLP 71 South Wacker Drive 47th Floor Chicago, IL 60606

> T: 312 634 5700 F: 312 424 1900

January 15, 2019

Chairman, Committee on Zoning Room 200 - City Hall Chicago, Illinois 60602

Re: 2207-2215 S. Michigan Ave., 101-133 E. Cermak Rd., 2206-2258 S. Indiana Ave.,

Chicago, Illinois

The undersigned, John J. George, being first duly sworn on oath, deposes and says the following:

The undersigned certifies that he has complied with the requirements of Sec. 17-13-0107 of the Chicago Zoning Ordinance, by sending the attached letter by USPS first class mail to such property owners who appear to be the owners of said property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet.

The undersigned certifies that the notice contained the boundaries of the property sought to be rezoned; a statement of intended use of said property; the name and address of the applicant; a statement that the applicant intends to file an application for a change in zoning on approximately January 15, 2019.

The undersigned certifies that he has made a bonafide effort to determine the addresses of the parties to be notified; that the applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet, recognizing the above limits, is a complete list containing the names and last known addresses of the owners of the property required to be served and that the applicant has furnished in addition a list of the persons so served.

John J. George

Subscribed and sworn to before me this 14th day of

January, 2019

Notary Public

DEBRAA. FLANAGAN
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 08/21/2020

OFFICIAL SEAL DEBRA OFFICIAL SEAL DEBRA A. FLANAGAN HOTARY PUBLIC STATE OF ILLINOIS MY COMMISSION EXCIPTS 08/2 U2020

Jack George

### akerman

Akerman LLP 71 South Wacker Drive 47th Floor Chicago, IL 60606

> T: 312 634 5700 F: 312 424 1900

### January 15, 2019

Re: Application for Amendment to Business Planned Development No. 1153

2207-2215 S. Michigan Ave., 101-133 E. Cermak Rd., 2206-2258 S. Indiana

Ave., 118-132 E. 23<sup>rd</sup> St., Chicago, Illinois

### Dear Property Owner or Resident:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, for an approval under the Chicago Zoning Ordinance and an application for amendment to Business Planned Development No. 1153, please be informed that on or about January 15, 2019, I, the undersigned attorney, will file an application on behalf of the Applicant, 2222 S Indiana DC LLC, for a change in zoning from Business Planned Development No. 1153 to Business Planned Development No. 1153, as amended, for the property commonly known as 2207-2215 S. Michigan Ave., 101-133 E. Cermak Rd., 2206-2258 S. Indiana Ave., 118-132 E. 23<sup>rd</sup> St., Chicago, Illinois and generally bounded by: East Cermak Road, South Indiana Avenue, East 23<sup>rd</sup> Street, the alley next west of and parallel to South Indiana Avenue, a line 145.66 feet south of and parallel to East Cermak Road, South Michigan Avenue.

The Applicant proposes to add Non-Accessory Public Parking as an allowed use in Subarea A. No other changes are proposed.

The Applicant is 2222 S Indiana DC LLC, whose address is 1737 S. Michigan Ave., Chicago Illinois 60616.

The owners of the property in Subarea A are: 2222 S Indiana DC LLC whose address is 1737 S. Michigan Ave., Chicago, Illinois, and McCormick Hospitality North, LLC whose address is 3100 Dundee Road, Suite 303, Northbrook, Illinois.

I am the attorney for the Applicant. My address is 71 South Wacker Drive, Suite 4700, Chicago, Illinois 60606.

Please note that the Applicant is not seeking to purchase or rezone your property. The Applicant is required by law to send you this notice because you own property located within 250 feet of the proposed development.

Sincerely,

John 4. George

### **CONFIRMATION OF AUTHORITY**

The undersigned, being the owner of the property located at the address 2246 S. Indiana Ave., Chicago, Illinois, hereby confirms that 2222 S Indiana DC LLC is authorized by the undersigned to file, an Application for Amendment to Planned Development No. 1153 concerning said property.

The undersigned states that it holds the property at 2246 S. Indiana Ave., Chicago, Illinois for itself and no other person, association or shareholder.

Dated January 14, 2019.

McCormick Hospitality North LLC

By: \_\_\_\_\_

Name: Ching R. Pork

Its: Manage

Subscribed and sworn to before me this 14th day of January 2019.

NOTARY PUBLIC

OFFICIAL SEAL
KAUSHIKKUMAR S. PATEL
Notary Public - State of Illinois
My Commission Expires 2/23/2020

# 19937 INTRO DATE JAN 23, 2019

## CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

Ward l	Number that prope	rty is located in: 3rd Wa	rd	
APPLI	CANT_ 2222 S Ind	liana DC LLC		
ADDR	ESS 1737 S. Michig	gan Ave.	CITY Chicac	до
STATI	E_ILZII drosenberg@	P CODE 60616	PHONE 312	-821-0357
EMAII		on.com CONTACT	PERSON Dan Rosenberg	g ' <u>.</u>
If the a regardi proceed	pplicant is not the ng the owner and a	owner of the property, pattach written authorizat	X (partial) NO lease provide the following from the owner allowing the owner allowing the following	X (as to 2246 S. Indiana Ave ing information ving the application to
			CITY Northb	rook
			PHONE	
EMAII	·	CONTACT	PERSON_Jay C. Kaufman	1
		f the property has obtain the following information	ed a lawyer as their repre n:	esentative for the
ATTO	RNEY_John J. Geo	rge, Akerman LLP		1
ADDR	ESS_71 S. Wacker I	Or., Suite 4700		
CITY (	Chicago	STATE_IL	ZIP CODE 60606	

	-		
On what date did	I the owner acquire legal titl	e to the subject property? various dates	
Has the present of Yes, 2014	owner previously rezoned th	is property? If yes, when?	<del></del>
Present Zoning I	Business Planned District Development No. 1153	Business Planned Proposed Zoning District Development No. 1153	, as ar
Lot size in squar	e feet (or dimensions) appro	ox. 109,106 s.f.	_
Current Use of the	ne property_Partially commerc	ial uses including hotel and restaurant; partially vacan	· <u>··</u>
Reason for rezon	ing the property In order to a	llow for non-accessory parking in Subarea A	<del></del> -
units; number of height of the prop	parking spaces; approximate cosed building. (BE SPECI	er the rezoning. Indicate the number of dwelling square footage of any commercial space; and FIC)	
will be made to Bu	siness Planned Development N	o. 1153.	<del></del>
a financial contril change which, an Developments, in	oution for residential housing long other triggers, increase creases the number of units	O) requires on-site affordable housing units and g projects with ten or more units that receive a z s the allowable floor area, or, for existing Plann (see attached fact sheet or visit nation). Is this project subject to the ARO?	oning

COUNTY OF COOK
STATE OF ILLINOIS
Patricia H. McHugh , being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.
statements and the statements contained in the documents submitted herewith are true and correct.
Patrico H. Men
Signature of Applicant
Subscribed and Sworn to before me this day of day of 2019.
OFFICIAL SEAL  GLENDA CARLOS  Notary Public STATE OF ILLINOIS  NOTARY PUBLIC - STATE OF ILLINOIS
NOTARY PUBLIC 2 STATE
For Office Use Only
Date of Introduction:
File Number:
Ward:

## **CITY OF CHICAGO** ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclo	sing Party submitti	ing this EDS. Include d/b/a/ if applicable:
2222 S Indiana DC LLC		
Check ONE of the following	g three boxes:	
the contract, transaction or of "Matter"), a direct or indirect name:  OR  3.  a legal entity with	ently holding, or ar ther undertaking to t interest in excess a direct or indirect	ng this EDS is:  Inticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal  It right of control of the Applicant (see Section II(B)(1))  Disclosing Party holds a right of control:
B. Business address of the D	Disclosing Party	1737 S. Michigan Ave.
b. Dusiness address of the b	risorosing rarry.	Chicago, IL 60616
C. Telephone: <u>312-821-035</u> 7	, Fax:	Email: drosenberg@mchughconstruction.com
D. Name of contact person:	Dan Rosenberg	
E. Federal Employer Identif	ication No. (if you	have one):
		EDS pertains. (Include project number and location of
Application for amendment to F	PD No. 1153 for prop	perty at 2222-58 S. Indiana
G. Which City agency or dep	partment is request	ing this EDS? DPD
If the Matter is a contract bei complete the following:	ng handled by the	City's Department of Procurement Services, please
Specification #		and Contract #
Ver.2018-1	Pa	ge <b>1</b> of <b>15</b>

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

ip on also a 501(c)(3))?
tion, if applicable:
registered to do
cers and all directors of legal entities (if there usts, estates or other (iv) for general or nips or joint ventures, entity that directly or
ntity having a direct or al interest (including a clude shares in a member or manager in a

**NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. Name **Business Address** Percentage Interest in the Applicant 2222 S Indiana HC LLC 1737 S. Michigan Ave , Chicago, IL 60616 SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? No Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? Yes ✓ No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disc (subcontractor, atto lobbyist, etc.)	•	Fees ( <u>indicate whether</u> <u>paid or estimated</u> .) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
John J. George (RETAINED) 71	S. Wacker Dr., (	Chicago, IL 60606 Attorney	Est. \$10,000	not all acceptable response.
(Add sheets if necessary)				
Check here if the Disc	closing Part	y has not retained, no	r expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	S		
A. COURT-ORDERED	CHILD SU	PPORT COMPLIAN	CE	
Under MCC Section 2-92 remain in compliance wit				at contract with the City must contract's term.
Has any person who direct arrearage on any child su	•	_		losing Party been declared in etent jurisdiction?
Yes No	No person d	irectly or indirectly o	wns 10% or m	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian-			ement for pay	ment of all support owed and
Yes No				

#### B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part Certifications), the Disclosing Party must explain below:  N/A	B (Further
If the letters "NA," the word "None," or no response appears on the lines above, it will be presumed that the Disclosing Party certified to the above statements.	e conclusively
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following complete list of all current employees of the Disclosing Party who were, at any time during month period preceding the date of this EDS, an employee, or elected or appointed officing of Chicago (if none, indicate with "N/A" or "none").  N/A	ng the 12-
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following complete list of all gifts that the Disclosing Party has given or caused to be given, at any the 12-month period preceding the execution date of this EDS, to an employee, or elected official, of the City of Chicago. For purposes of this statement, a "gift" does not include: made generally available to City employees or to the general public, or (ii) food or drink the course of official City business and having a retail value of less than \$25 per recipient political contribution otherwise duly reported as required by law (if none, indicate with "none"). As to any gift listed below, please also list the name of the City recipient.	time during d or appointed (i) anything provided in t, or (iii) a
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION	
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>	
a "financial institution" as defined in MCC Section 2-32-455(b).	
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:	
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We pledge that none of our affiliates is, and none of them will become, a predatory lender as MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affordatory lender may result in the loss of the privilege of doing business with the City."	defined in

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS
D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.
1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
☐ Yes ✓ No
NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?
☐ Yes ☐ No
3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:
Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.					
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS					
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.					
A. CERTIFICATION REGARDING LOBBYING					
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):					
If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)					
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any					

person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

Page 9 of 15

Ver.2018-1

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant	:?
Yes No	
If "Yes," answer the three questions	below:
1. Have you developed and do you federal regulations? (See 41 CFR Pa	have on file affirmative action programs pursuant to applicable art 60-2.)
•	oorting Committee, the Director of the Office of Federal Contrac Employment Opportunity Commission all reports due under the Reports not required
3. Have you participated in any prevequal opportunity clause?  Yes  No	vious contracts or subcontracts subject to the
If you checked "No" to question (1)	or (2) above, please provide an explanation:

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

2222 S Indiana DC LLC
(Print or type exact legal name of Disclosing Party)
By: Rathica N. M. (Sign here)
Patricia H. McHugh
(Print or type name of person signing)
Manager of 2222 S Indiana HC LLC
(Print or type title of person signing)
Signed and sworn to before me on (date) 1-07-19  at (state).
Notary Rublic
Notary Public  OFFICIAL SEAL GLENDA CARLOS NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES: 04/11/21

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
Yes	<b>✓</b> No	
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	No	The Applicant is not publicly traded on any exchange.
•	offlaw or problen	lentify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
□No
$\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ $
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

## **CITY OF CHICAGO** ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing	g Party submitt	ing this EDS. Include d/b/a/ if applicable:
2222 S Indiana HC LLC		
Check ONE of the following the	hree boxes:	
the contract, transaction or other "Matter"), a direct or indirect in name: 2222 S Indiana DC LLC	y holding, or a r undertaking to	nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal
		et right of control of the Applicant (see Section II(B)(1))  Disclosing Party holds a right of control:
B. Business address of the Disc	losing Party:	1737 S. Michigan Ave.
		Chicago, IL 60616
C. Telephone: 312-821-0357	Fax:	Email: drosenberg@mchughconstruction.com
D. Name of contact person: Da	n Rosenberg	
		ı have one):
F. Brief description of the Matt property, if applicable):	er to which thi	s EDS pertains. (Include project number and location of
Application for amendment to PD	No. 1153 for pro	perty at 2222-58 S. Indiana
G. Which City agency or depar	tment is reques	ting this EDS? DPD
If the Matter is a contract being complete the following:	handled by the	e City's Department of Procurement Services, please
Specification #		and Contract #
Ver.2018-1	Pa	age 1 of 15

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust	ty:  Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?  Yes No Other (please specify)
· · · · · · · · · · · · · · · · · · ·	ry) of incorporation or organization, if applicable:
3. For legal entities not organized in the State of business in the State of Illinois as a foreign entities.	of Illinois: Has the organization registered to do ty?
✓ Yes	Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations, are no such members, write "no members which similar entities, the trustee, executor, administrational limited partnerships, limited liability comparison."	olicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there have legal entities"); (iii) for trusts, estates or other rator, or similarly situated party; (iv) for general or nies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant.
NOTE: Each legal entity listed below must sub	omit an EDS on its own behalf.
Name Patricia H McHugh Manager	Title
indirect, current or prospective (i.e. within 6 moownership) in excess of 7.5% of the Applicant.	oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a p or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. Name **Business Address** Percentage Interest in the Applicant Patricia H McHugh Trust 1737 S. Michigan Ave., Chicago, IL 60616 James R McHugh Trust 1737 S. Michigan Ave., Chicago, IL 60616 50% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? **√** No Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? ✓ No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? ✓ No Yes If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

partner(s) and describe the financial interest(s).

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	)		
✓ Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	I <b>S</b>	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	•
		antial owners of business entities the d support obligations throughout the	
<b>7</b> 1	•	ectly owns 10% or more of the Disc ations by any Illinois court of comp	•
☐ Yes  ✓ No  ☐	No person o	directly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	rment of all support owed and
Yes No			
B. FURTHER CERTIFI	CATIONS		·
	•	the Matter is a contract being handl	• •

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):			
•	" the word "None," or no response a umed that the Disclosing Party certif	• •	
D. CERTIFICAT	ION REGARDING FINANCIAL IN	NTEREST IN CITY BUSINESS	
Any words or term	Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.		
after reasonable in		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or natity in the Matter?	
Yes	<b>☑</b> No		
•	necked "Yes" to Item $D(1)$ , proceed to Items $D(2)$ and $D(3)$ and proceed to	o Items D(2) and D(3). If you checked "No" Part E.	
official or employ other person or en taxes or assessment "City Property Sa	ee shall have a financial interest in hatity in the purchase of any property onts, or (iii) is sold by virtue of legal property of the contract of the contrac	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.	
Does the Matter in	avolve a City Property Sale?		
Yes	No		
•	· · -	mes and business addresses of the City officials fy the nature of the financial interest:	
Name	Business Address	Nature of Financial Interest	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not	
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS	
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) about Disclosing Party has found records of investments or profits from slavery or slaveholder insurpolicies. The Disclosing Party verifies that the following constitutes full disclosure of all sucrecords, including the names of any and all slaves or slaveholders described in those records:	rance
1. The Disclosing Party verifies that the Disclosing Party has searched any and all recor the Disclosing Party and any and all predecessor entities regarding records of investments or from slavery or slaveholder insurance policies during the slavery era (including insurance policies) the Disclosing Party has found no such records.	profits icies
Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Pa must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City connection with the Matter voidable by the City.	to

Page **9** of **15** 

Ver.2018-1

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?	
☐ Yes ☐ No	
If "Yes," answer the three questions below:	
1. Have you developed and do you have on file affirmative action programs pursuant to applicab federal regulations? (See 41 CFR Part 60-2.)  Yes  No	le ·
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Confederal Compliance Programs, or the Equal Employment Opportunity Commission all reports due under applicable filing requirements?  Yes  Reports not required	
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  No	
If you checked "No" to question (1) or (2) above, please provide an explanation:	

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

2222 S Indiana HC LLC
(Print or type exact legal name of Disclosing Party)
By: Patrico H. UN (Sign here)
Patricia H. McHugh
(Print or type name of person signing)
Manager
(Print or type title of person signing)
Signed and sworn to before me on (date),  at County) (state).
Notary Public SEAL
Commission expires:  OFFICIAL SEAL  GLENDA CARLOS  NOTARY PUBLIC - STATE OF ILLINOIS  NOTARY PUBLIC - STATE OF ILLINOIS  NOTARY PUBLIC - STATE OF ILLINOIS  NOTARY PUBLIC - STATE OF ILLINOIS

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Currently have a "familial relationship" with an elected city official or department head?

☐ Yes
☐ Yes
☐ No
☐ If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
Yes	✓ No	
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	□No	The Applicant is not publicly traded on any exchange.
• , ,	cofflaw or probler	lentify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes	
□ No	
$\sqrt{N/A}$ – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.	
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).	
If you checked "no" to the above, please explain.	
	`.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party subr	mitting this EDS. Include d/b/a/ if applicable:		
James R McHugh Trust dated 8/10/1988			
Check ONE of the following three boxes:	Check ONE of the following three boxes:		
the contract, transaction or other undertakin "Matter"), a direct or indirect interest in exc name:  2222 S Indiana DC LLC  OR  3.  a legal entity with a direct or indirect or indirect.	or anticipated to hold within six months after City action on ag to which this EDS pertains (referred to below as the cess of 7.5% in the Applicant. State the Applicant's legal irect right of control of the Applicant (see Section II(B)(1)) the Disclosing Party holds a right of control:		
B. Business address of the Disclosing Party	v· 1737 S. Michigan Ave.		
D. Dusiness address of the Disclosing Larty	Chicago, IL 60616		
C. Telephone: 312-821-0357 Fax: _	Email: drosenberg@mchughconstruction.com		
D. Name of contact person: Dan Rosenberg	<u></u>		
E. Federal Employer Identification No. (if	you have one):		
F. Brief description of the Matter to which property, if applicable):	this EDS pertains. (Include project number and location of		
Application for amendment to PD No. 1153 for	property at 2222-58 S. Indiana		
G. Which City agency or department is req	uesting this EDS? DPD		
If the Matter is a contract being handled by complete the following:	the City's Department of Procurement Services, please		
Specification #	and Contract #		
Ver.2018-1	Page 1 of 15		

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Limited liability partnership Publicly registered business corporation Privately held business corporation Joint venture Not-for-profit corporation Sole proprietorship (Is the not-for-profit corporation also a 501(c)(3))? General partnership Limited partnership ☐ Yes $\square$ No Other (please specify) **✓** Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois Yes $\neg$ No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Title Name James R. McHugh Trustee

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

**NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. **Business Address** Percentage Interest in the Applicant Name James R. McHugh 1737 S Michigan Ave., Chicago, IL 60616 SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes **✓** No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? No Yes If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? No No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
	•	antial owners of business entities th d support obligations throughout the	· ·
• 1	•	ectly owns 10% or more of the Disc ations by any Illinois court of compe	
Yes No	No person o	lirectly or indirectly owns 10% or m	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
Yes No			
B. FURTHER CERTIFI	CATIONS		
		the Matter is a contract being handler period preceding the date of this E	

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party <sup>i</sup> s knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-32	1 0	because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
	" the word "None," or no response a	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	ION REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or term	ns defined in MCC Chapter 2-156 h	nave the same meanings if used in this Part D.
after reasonable in		the best of the Disclosing Party's knowledge see of the City have a financial interest in his or entity in the Matter?
Yes	<b>✓</b> No	
	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" o Part E.
official or employed other person or entaxes or assessment "City Property Sal	ee shall have a financial interest in tity in the purchase of any property ats, or (iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
Yes	No	
•	, , , , <u>-</u>	ames and business addresses of the City officials ify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Ver.2018-1

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.					
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.					
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:					
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS					
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.					
A. CERTIFICATION REGARDING LOBBYING					
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):					
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)					
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee					

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?	
☐ Yes ☐ No	
If "Yes," answer the three questions below:	
1. Have you developed and do you have on file affirmative action program federal regulations? (See 41 CFR Part 60-2.)  Yes  No	s pursuant to applicable
2. Have you filed with the Joint Reporting Committee, the Director of the Compliance Programs, or the Equal Employment Opportunity Commission applicable filing requirements?  Yes  No Reports not required	
<ul><li>3. Have you participated in any previous contracts or subcontracts subject equal opportunity clause?</li><li>Yes</li><li>No</li></ul>	to the
If you checked "No" to question (1) or (2) above, please provide an explana	tion:

### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

James R McHugh Trust dated 8/10/1988
(Print or type exact legal name of Disclosing Party)
By: Li MM/
(Sign here)
James R. McHugh
(Print or type name of person signing)
Trustee
(Print or type title of person signing)
Signed and sworn to before me on (date) 1-07-19,
at (OOK County, L (state).
neveral
Notary Public SEAL
OFFICIAL SEAL  GLENDA CARLOS  GLENDA CARLOS  HOTARY PUBLIC - STATE OF ILLINOIS  NOTARY PUBLIC - STATE OF ILLINOIS
Commission expires: NOTARY PUBLIC - STATE OF ILLINO  NOTARY PUBLIC

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

<ol> <li>Pursuant to MCC Section scofflaw or problem landlord</li> </ol>	, , , ,	•	ied as a building code
Yes	No		
2. If the Applicant is a legal the Applicant identified as a 2-92-416?	• •	<del>-</del>	
Yes	No The App	olicant is not publicly trad	ed on any exchange.
3. If yes to (1) or (2) above, as a building code scofflaw of the pertinent code violations	or problem landlord and t	<del>-</del>	= -

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
□No
$\sqrt[]{N/A} - I$ am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Pa	arty submitt	ing this EDS. Inclu	de d/b/a/ if applicable:
Patricia H McHugh Trust dated 8/11/198	8		
Check ONE of the following three	e boxes:		
the contract, transaction or other un "Matter"), a direct or indirect intere name: 2222 S Indiana DC LLC OR	olding, or andertaking to st in excess	nticipated to hold wo which this EDS per of 7.5% in the App	the Applicant (see Section II(B)(1))
B. Business address of the Disclosing Party: 1737 S. Michigan Ave.			ve.
	8	Chicago, IL 60616	
C. Telephone: 312-821-0357	_ Fax:		Email: drosenberg@mchughconstruction.com
D. Name of contact person: Dan Ro	osenberg		
E. Federal Employer Identification	No. (if you	have one):	
F. Brief description of the Matter t property, if applicable):	o which this	s EDS pertains. (In	clude project number and location of
Application for amendment to PD No.	1153 for pro	perty at 2222-58 S. In	diana
G. Which City agency or departme	nt is reques	ting this EDS? DPD	
If the Matter is a contract being har complete the following:	idled by the	City's Department	of Procurement Services, please
Specification #		_ and Contract #	
Ver.2018-1	Pa	ge <b>1</b> of <b>15</b>	

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Limited liability partnership Publicly registered business corporation Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? General partnership Limited partnership Yes $\square$ No Other (please specify) **✓** Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois Yes ∃No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Title Name Patricia H McHugh

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. **Business Address** Percentage Interest in the Applicant Name Patricia H McHugh 1737 S. Michigan Ave, Chicago, IL 60616 SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? **√** No Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? **√** No Yes If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
Check here if the Dis	closing Part	ty has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	IS	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE .	
	-	tantial owners of business entities the d support obligations throughout the	_
- 1	-	rectly owns 10% or more of the Disc ations by any Illinois court of comp	<u> </u>
☐ Yes	No person (	directly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay tagreement?	ment of all support owed and
Yes No			
B. FURTHER CERTIFI	CATIONS		

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party:
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

	. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further extifications), the Disclosing Party must explain below:				
	the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively esumed that the Disclosing Party certified to the above statements.				
co	2. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a omplete list of all current employees of the Disclosing Party who were, at any time during the 12-nonth period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").				
the off mathe	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a mplete list of all gifts that the Disclosing Party has given or caused to be given, at any time during a 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed ficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything ade generally available to City employees or to the general public, or (ii) food or drink provided in a course of official City business and having a retail value of less than \$25 per recipient, or (iii) a litical contribution otherwise duly reported as required by law (if none, indicate with "N/A" or one"). As to any gift listed below, please also list the name of the City recipient.				
С.	CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION				
1.	The Disclosing Party certifies that the Disclosing Party (check one)  ☐ is				
	a "financial institution" as defined in MCC Section 2-32-455(b).				
2.	If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:				
ple Me	We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further edge that none of our affiliates is, and none of them will become, a predatory lender as defined in CC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a edatory lender may result in the loss of the privilege of doing business with the City."				

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):					
	•	appears on the lines above, it will be			
conclusively presun	ned that the Disclosing Party certi	fied to the above statements.			
D. CERTIFICATIO	ON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS			
Any words or terms	defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.			
after reasonable inq		he best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?			
Yes	✓ No				
· · · · · · · · · · · · · · · · · · ·	cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.			
official or employee other person or entit taxes or assessment "City Property Sale"	e shall have a financial interest in try in the purchase of any property s, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D.			
Does the Matter inv	olve a City Property Sale?				
Yes	No				
		mes and business addresses of the City officials ify the nature of the financial interest:			
Name	Business Address	Nature of Financial Interest			

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.					
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or prof from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.					
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:					
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.					
A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):					
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities					
registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)					
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pa any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined					

by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

Page 9 of 15

Ver.2018-1

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?	
☐ Yes ☐ No	
If "Yes," answer the three questions below:	
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  Yes  No	3
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Control Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  Yes  Reports not required	
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  Yes  No	
If you checked "No" to question (1) or (2) above, please provide an explanation:	

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Patricia H McHugh Trust dated 8/11/1988
(Print or type exact legal name of Disclosing Party)
By: Atheim H. M. (Sign here)
(Sign here)
Patricia H. McHugh
(Print or type name of person signing)
Trustee
(Print or type title of person signing)

Commission expires:

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
Yes	✓ No	
* *	• • •	iblicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	No	The Applicant is not publicly traded on any exchange.
	offlaw or probler	lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

## PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
□ No
$\sqrt{N/A}$ – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.