

City of Chicago



O2021-634

Office of the City Clerk

Document Tracking Sheet

Meeting Date:

2/24/2021

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 9-K at 4435-4471 W Irving Park Rd, 3942-3956 N Kenneth Ave and 3943-3957 N

Kilbourn Ave - App No. 20634T1

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

#2.0634-TI TENTRO DATE FEB 24, 2021

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. That the Chicago Zoning Ordinance be amended by changing all the B3-1, Community Shopping District and RS-2, Residential Single-Unit (Detached House) District symbols and indications as shown on Map Number 9-K in the area bounded by:

West Irving Park Road; North Kenneth Avenue; the alley next south of and parallel to West Irving Park Road and the centerline of said alley where no alley exists; and North Kilbourn Avenue,

to those of B3-3, Community Shopping District.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

COMMON ADDRESS: 4435 – 4471 W. Irving Park Road; 3942-3956 N. Kenneth Avenue; and 3943-3957 N. Kilbourn Avenue

NARRATIVE AND PLANS FOR TYPE 1 ZONING AMENDMENT APPLICATION FROM B3-1 AND RS-2 TO B3-3

NORTHWESTERN MEMORIAL HEALTHCARE (THE "APPLICANT")

4435 – 4471 W. IRVING PARK ROAD; 3943-3957 N. KILBOURN AVENUE; AND 3942-3956 N. KENNETH AVENUE

The Applicant seeks approval for a Type 1 zoning amendment from B3-1 and RS-2 (split-zoning lot) to B3-3 to construct a new 4-story, approximately 158,000 square foot medical office building, with accessory uses, that measures approximately 58'-6" in height. The Project proposes approximately 348 parking spaces, which will be located on 2 levels below grade and above grade. The subject property is bounded by W. Irving Park Road, N. Kilbourn Ave, N. Kenneth Ave. and a "dead-end", east/west alley to the south. The Applicant has submitted a Dedication Application to CDOT (Application # 22-45-21-3961) to eliminate the dead-end alley and establish a complete, 16' x 394.35' (approx.) foot public alley between N. Kilbourn Ave. and N. Kenneth Ave. The alley dedication will also eliminate the need for a rear yard setback (Section 17-3-0405-B).

Lot Area: 59,127 square feet

Maximum F.A.R.: 3.00 Proposed F.A.R.: 2.70

Building Area: Approximately 158,000 square feet

Accessory Parking: Approximately 348 spaces

Loading Spaces: 1 loading space measuring approximately 75' x 12'

Building Height: Approximately 58'-6"

Setbacks:

Front (North): W. Irving Park Road: 0'-0"

Side (East): N. Kenneth Ave: 0'-0" Side (West): N. Kilbourn Ave: 0'-0"

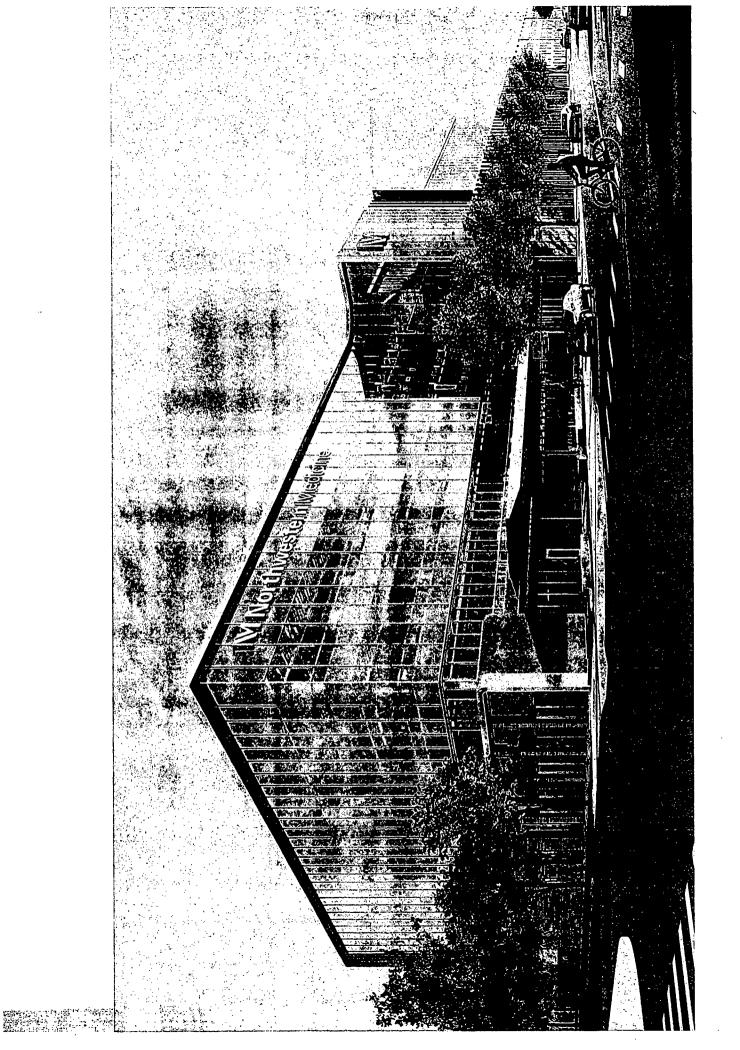
Rear (South): Alley: 0'-0"

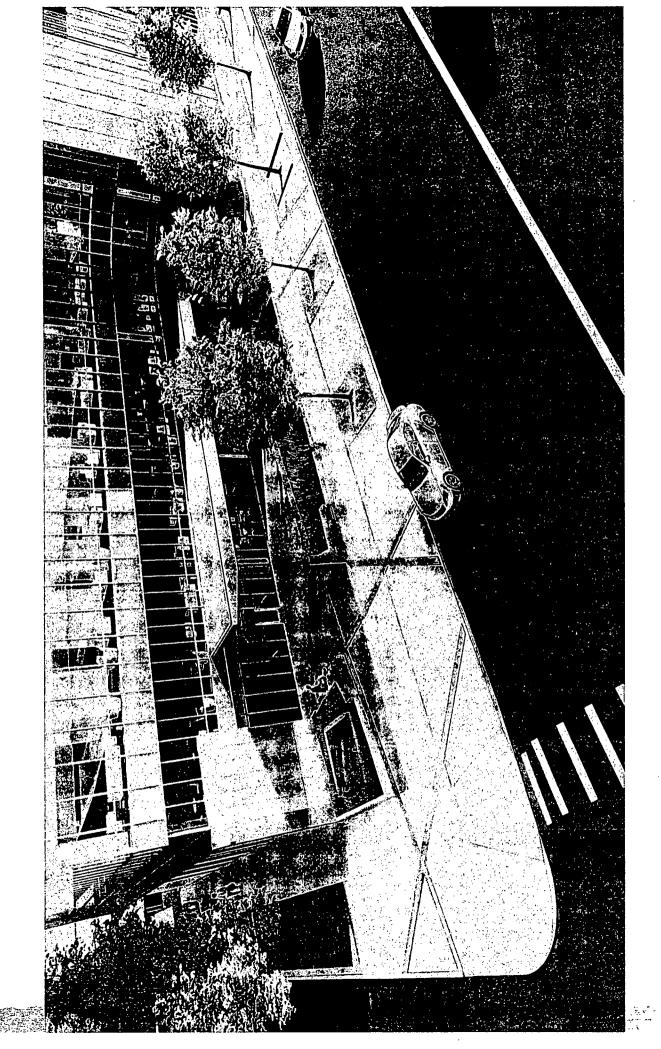
Applicant: Northwestern Memorial HealthCare

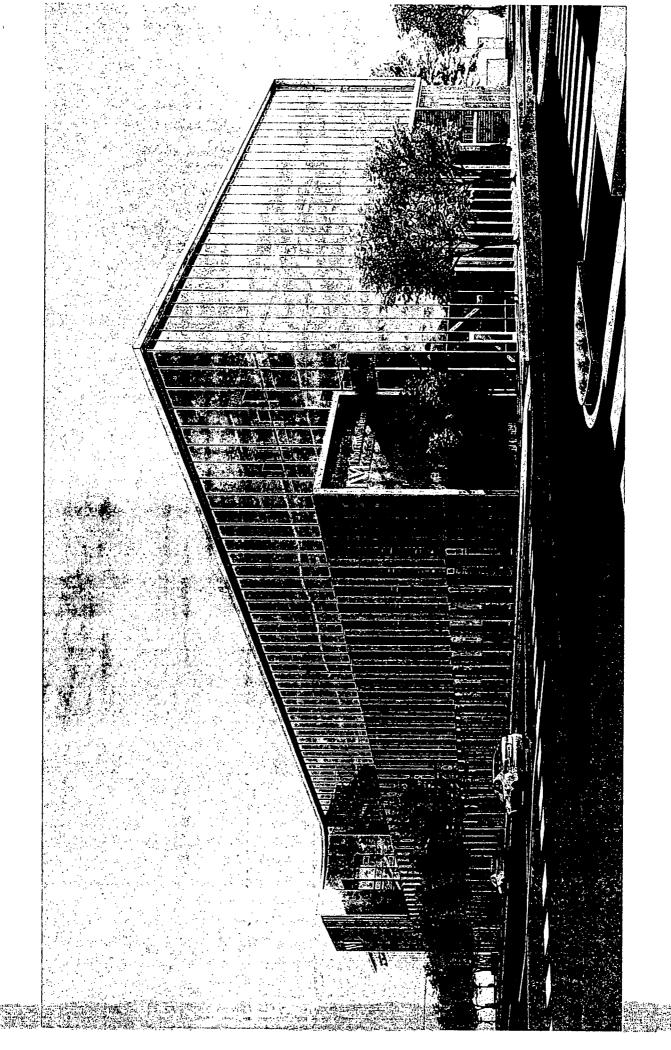
Address: 4435 – 4471 W. Irving Park Road; 3943-3957 N. Kilbourn Avenue; and 3942-3956 N.

Kenneth Avenue

Introduction Date: February 24, 2021







Northwestern Medicine

Northwestern Memorial Healthcare

Advanced Outpatient Care Center CANAON DESIGN Old Irving Park

225 N. Michigan Ave Suite 1100 Chicago, IL 60601 P: 312.332.9600

F: 312.332.9601

BOSTON NEW YORK BALTIMORE WASHINGTON DC BUFFALO TORONTO COLUMBUS PITTSBURGH DALLAS CHICAGO ST. LOUIS IRVINE SAN FRANCISCO DENVER HOUSTON LOS ANGELES PHOENIX PASADENA MUMBAI

Applicant: Northwestern Memorial HealthCare Address: 4435 – 4471 W. Irving Park Road

3943 - 3957 N. Kilbourn Avenue and 3942 - 3956 N. Kenneth Avenue

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B3-1 AREA TO BE DEDICATED BACK TO THE CITY OF CHICAGO. THIS PORTION WILL SERVE TO EXTEND THE EXISTING ALLEY FROM KILBOURN TO KENNETH AVE. B3-3 SITE AREA: 59,127 SF * * RS-2* B3-1 SITE AREA 62.726 SF · 🛬

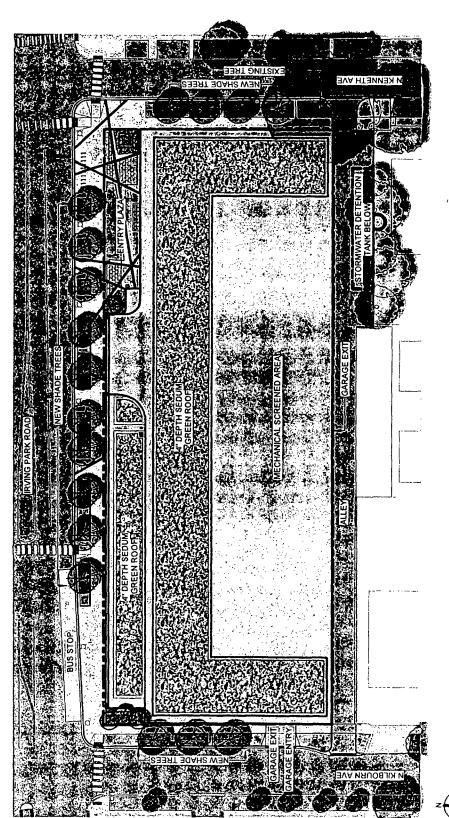
PROPOSED ZONING

EXISTING ZONING

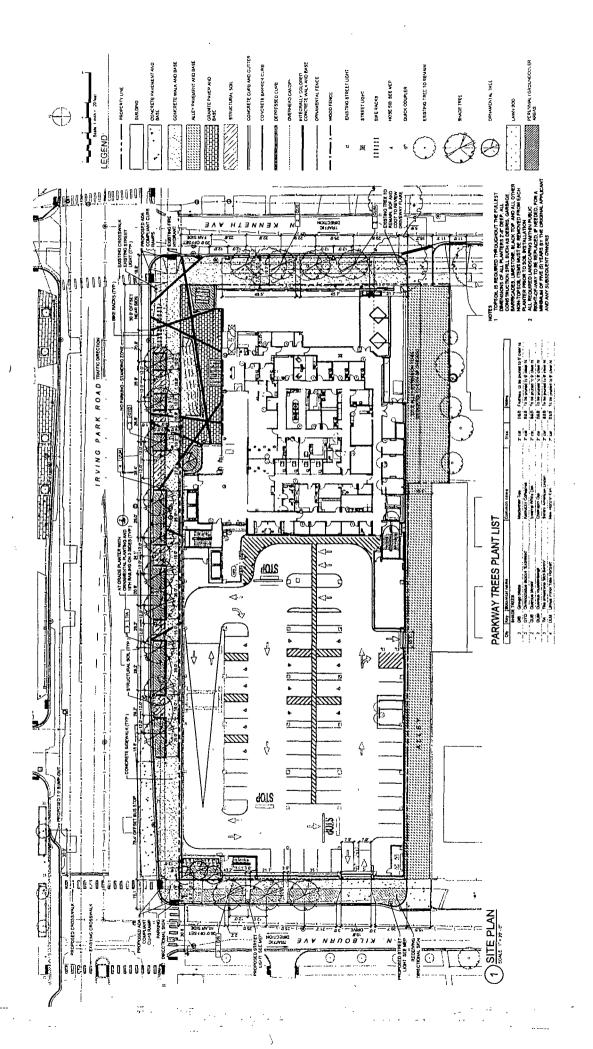
B3-1

CURRENT VS. PROPOSED ZONING

3943 - 3957 N. Kilbourn Avenue and 3942 - 3956 N. Kenneth Avenue and 3942 - 3956 N. Kenneth Avenue Northwestern Memorial HealthCare Applicant: Address:

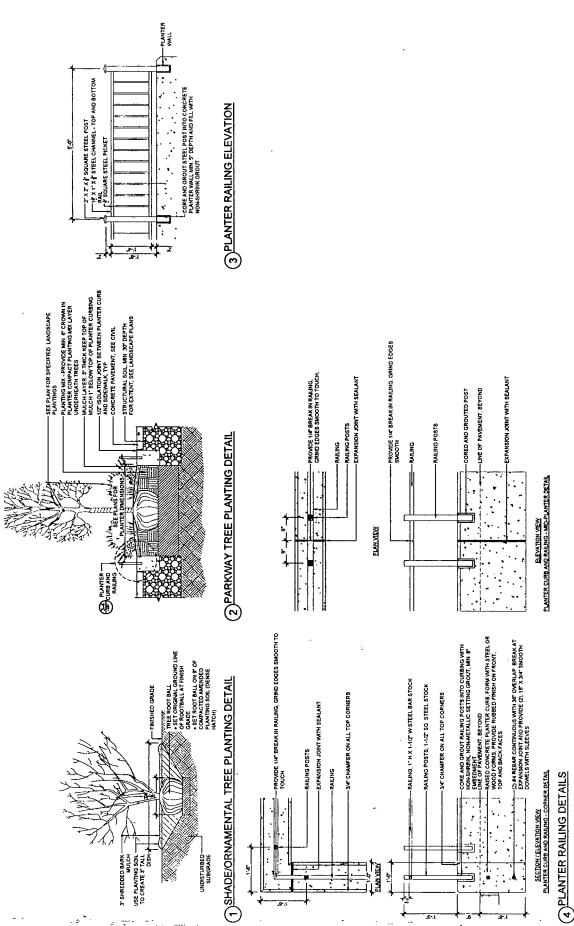


Applicant: Northwestern Memorial HealthCare Address: 4435 – 4471 W. Irving Park Road 3943 - 3957 N. Kilbourn Avenue and 3942 - 3956 N. Kenneth Avenue Introduction Date: February 24, 2021



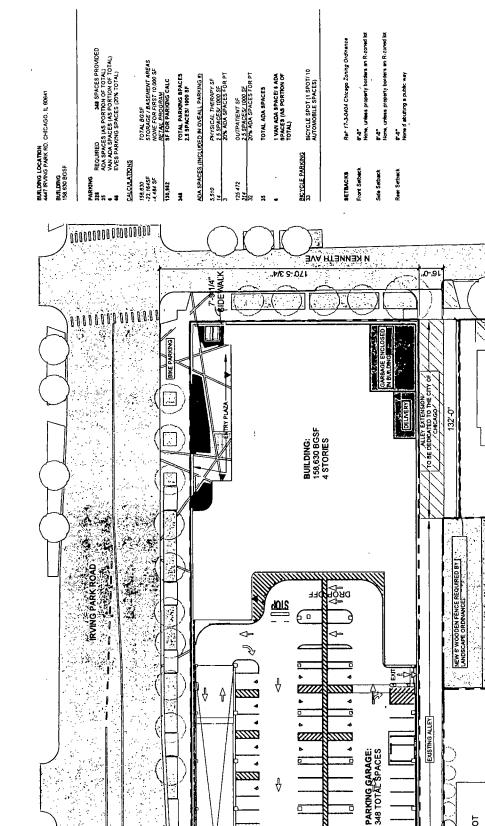
Northwestern Memorial HealthCare Applicant. Address:

Address: 4435 – 4471 W. Irving Park Road 3943 - 3957 N. Kilbourn Avenue and 3942 - 3956 N. Kenneth Avenue Introduction Date: February 24, 2021



Address: 4435 – 4471 W. Irving Park Road 3943 - 3957 N. Kilboum Avenue and 3942 - 3956 N. Kenneth Avenue Introduction Date: February 24, 2021 Northwestern Memorial HealthCare Applicant: Address:

RS-2 LOT



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116' - 9"

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AKILBOURN AVE

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BIKE PARKING **₽**₽

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IDEMYCK 8-e 3/9

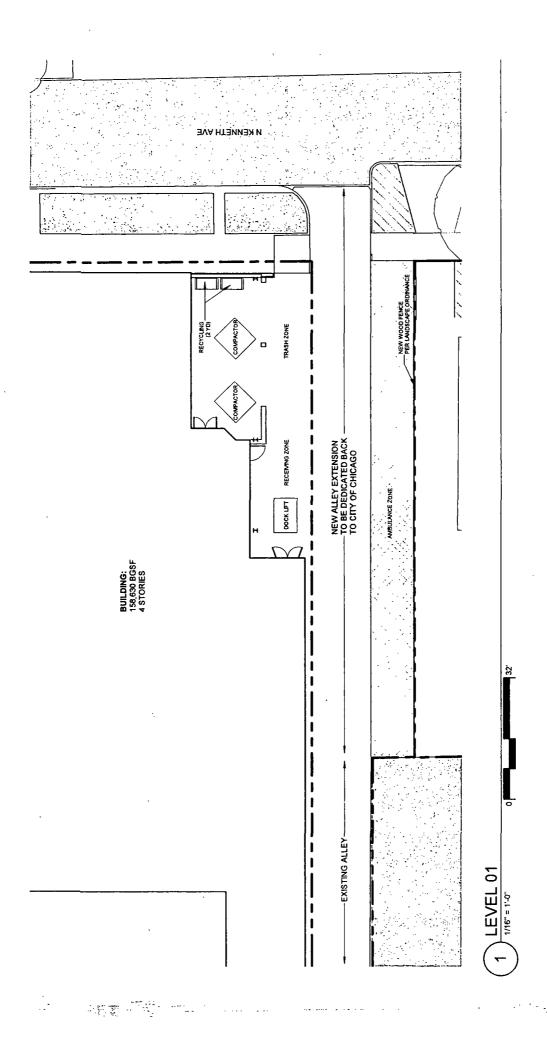
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Northwestern Memorial HealthCare Applicant: Address:

ZONING SITE PLAN

RS-3 LOT

Address: 4435 – 4471 W. Irving Park Road 3943 - 3957 N. Kilbourn Avenue and 3942 - 3956 N. Kenneth Avenue Introduction Date: February 24, 2021

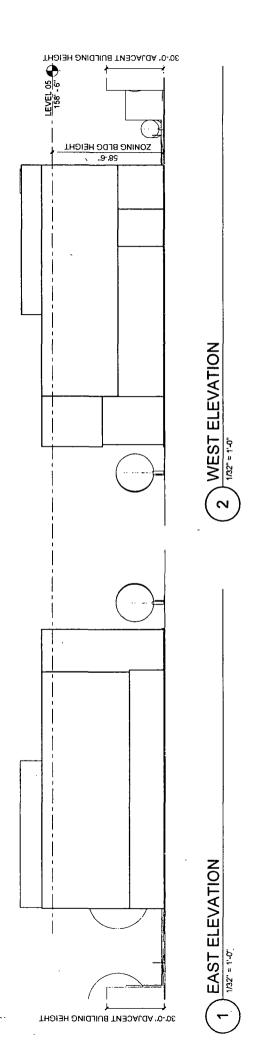


Applicant: Northwestern Memorial HealthCare Address: 4435 – 4471 W. Irving Park Road 3943 - 3957 N. Kilbourn Avenue and 3942 - 3956 N. Kenneth Avenue Introduction Date: February 24, 2021

BUILDING MASSING

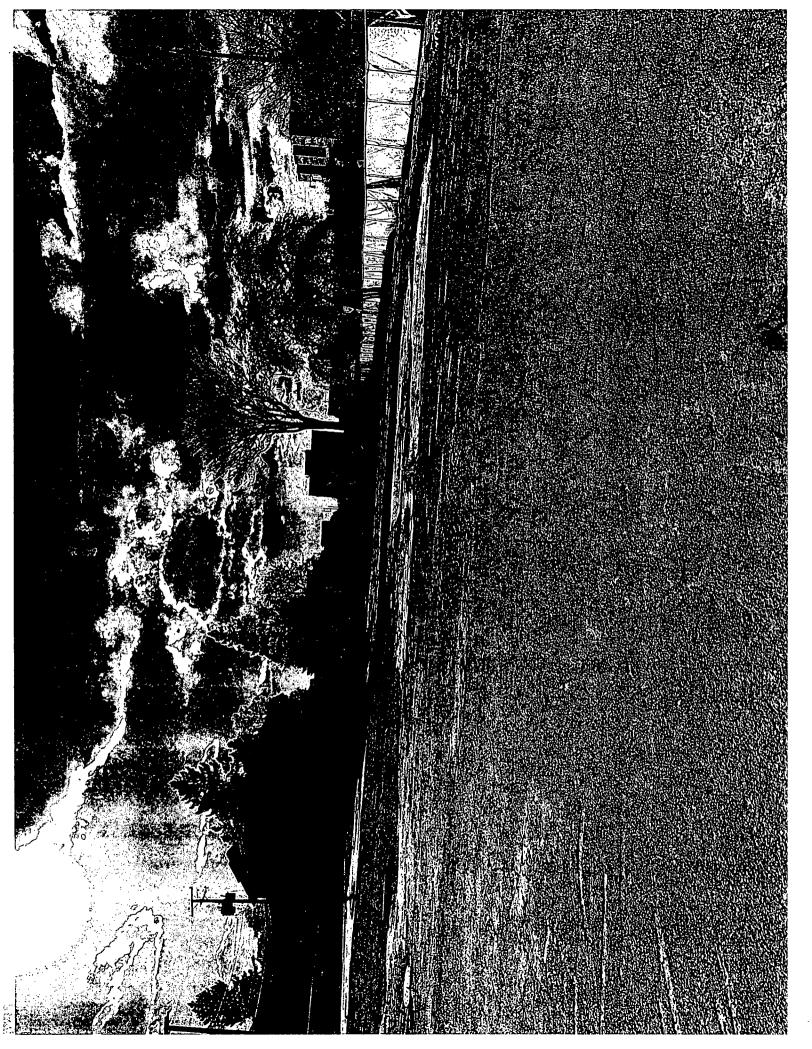
Applicant: Northwestern Memorial HealthCare Address: 4435 – 4471 W. Irving Park Road 3943 - 3957 N. Kilbourn Avenue and 3942 - 3956 N. Kenneth Avenue Introduction Date: February 24, 2021

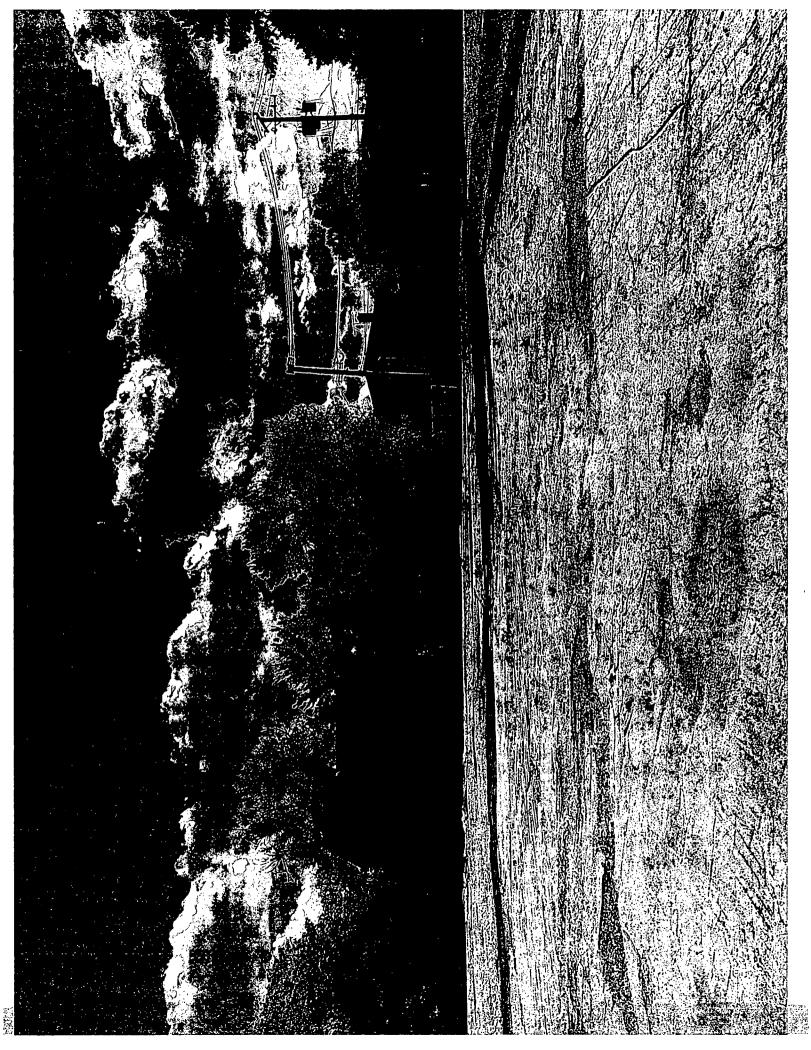
BUILDING FAR = 2.68 BUILDING HEIGHT = 58'-6"

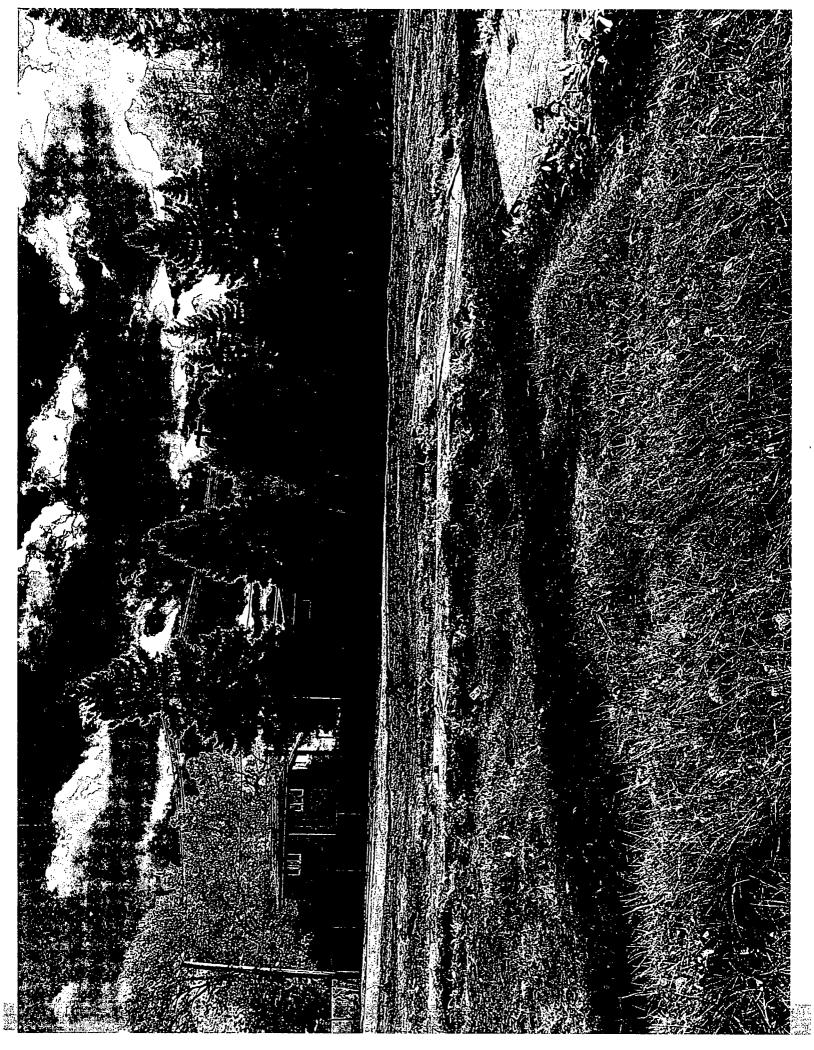


BUILDING FAR = 2.68 BUILDING HEIGHT = 58'-5"

Applicant: Northwestern Memorial HealthCare
Address: 4435 – 4471 W. Irving Park Road
3943 - 3957 N. Kilbourn Avenue and
3942 - 3956 N. Kenneth Avenue

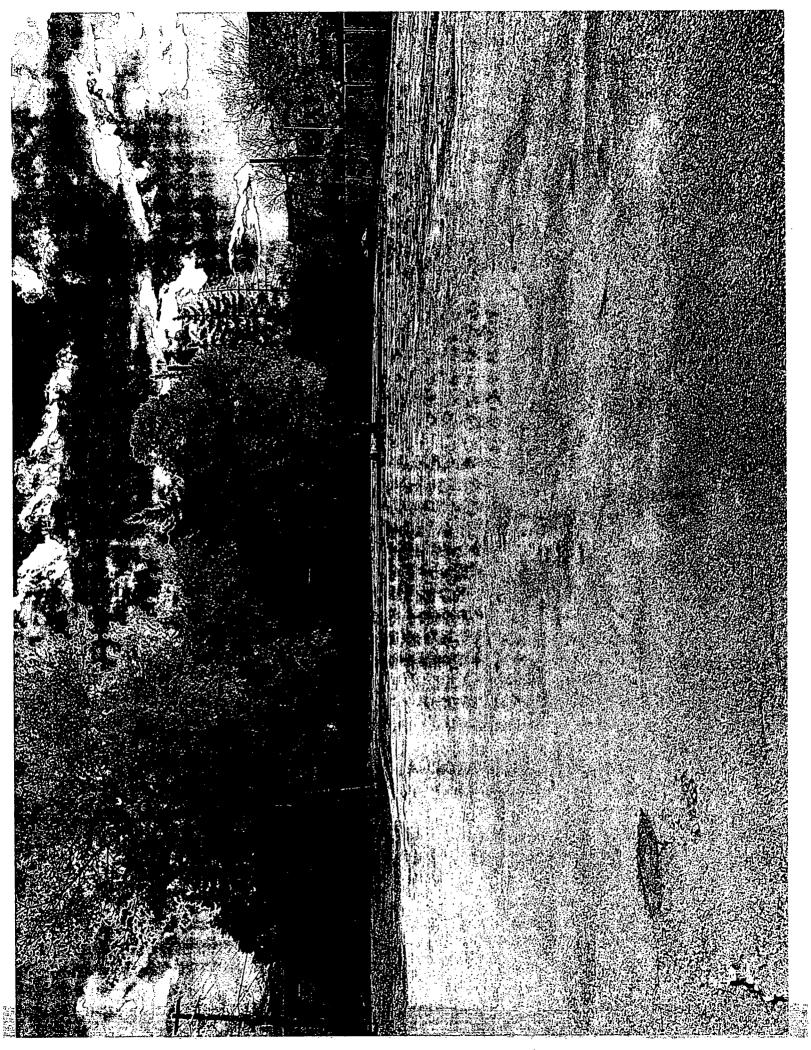


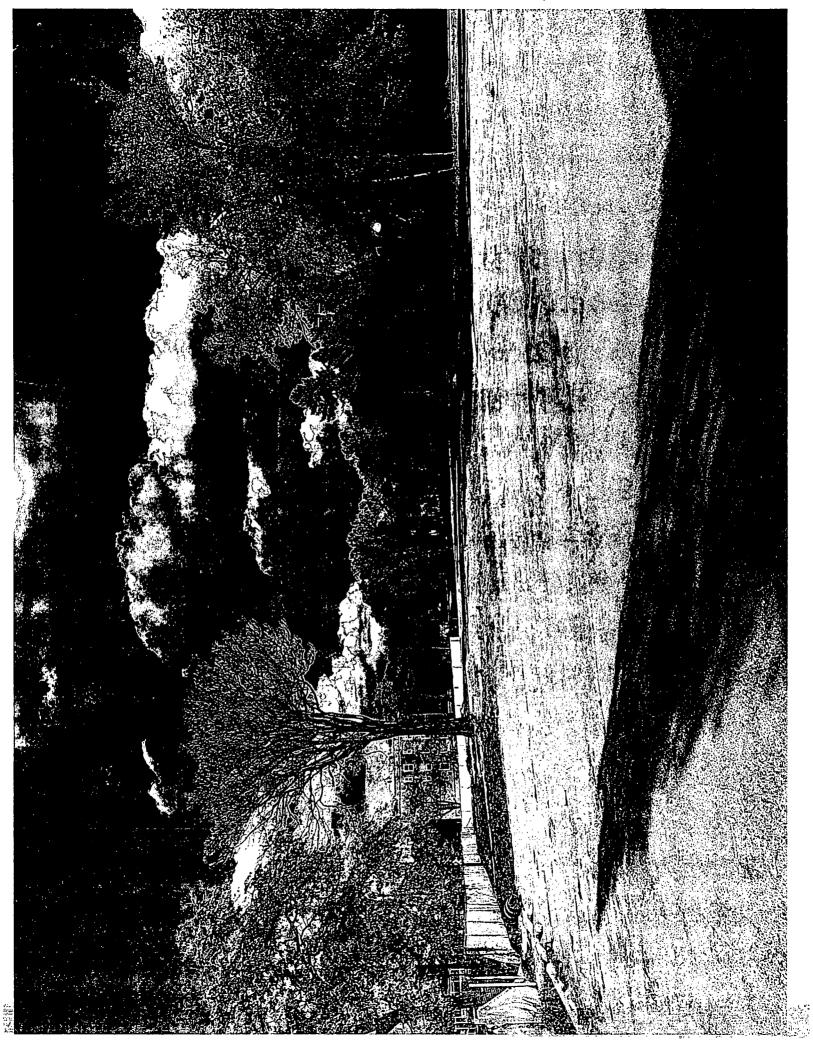




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A Marianto May







#20634-TI INTRO DATE FEB 24,2021

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

Ward Number th	nat property is located i	n:45		·
APPLICANT	Northwestern Men	norial HealthCare		
ADDRESS	211 E. Ontario, Sui	te 1800	CITY	Chicago
STATE_IL	ZIP CODE	60611	PHONE_	312-926-5771
	s.Hefty@nm.org C			
If the applicant i	he owner of the proper s not the owner of the p	property, please p	rovide the follo	owing information
proceed.	ner and attach written			
proceed. OWNER	ner and attach written N/A			
proceed. OWNERADDRESS	ner and attach written		CITY	
proceed. OWNER ADDRESS STATE	vner and attach written N/A		CITY PHONE_	
proceed. OWNER ADDRESS STATE EMAIL If the Applicant/	vner and attach written N/A ZIP CODE	ONTACT PERSO	CITY PHONE_ DN	
proceed. OWNER ADDRESS STATE EMAIL If the Applicant/rezoning, please	vner and attach written N/A ZIP CODE Co	ONTACT PERSOn has obtained a lavinformation:	CITY PHONE_ ON vyer as their re	
proceed. OWNER ADDRESS STATE EMAIL If the Applicant/rezoning, please ATTORNEY	ZIP CODECO	ONTACT PERSO has obtained a lav information: c/o Neal & Leroy, LL	CITYPHONE_ ON wyer as their re	

The Applicant is a 501 (c) (3), Illinois not-for-profit corporation. Please see attached Schedule I				
for a list of the Executive Officers and Directors				
On what date did the owner acquire legal title to the subject property? 2/2020				
Has the present owner previously rezoned this property? If yes, when?				
Present Zoning District B3-1 and RS-2 Proposed Zoning District B3-3				
Lot size in square feet (or dimensions) approximately 59,127 square feet				
Current Use of the property vacant				
Reason for rezoning the property The Applicant requests a rezoning of the subject property from B3-1 and (split-zoning lot) to an overall B3-3 to permit the construction of a new medical office building in compliance with maximum height requirements and maximum floor area ratio requirements.				
Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC) The Applicant proposes to construct a new 4-story,				
approximately 152,000 SF medical office building. The project with have 348 parking spaces, located on 2 levels h				
grade and above grade. The building will measure approximately 58'-6" in height.				
The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zor change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?				
www.chyoleneago.org/ARO for more information). Is this project subject to the ARO?				

COUNTY OF COOK STATE OF ILLINOIS	
statements and the statements contained in the document	uly sworn on oath states that all of the above ts submitted herewith are true and correct.
Sign	nature of Applicant
Subscribed and Sworn to before me this 28th day of January, 20 21. Endia Latham	OFFICIAL SEAL ENDIA LATHAM NOTARY PUBLIC - STATE OF ILLINOIS
Notary Public	MY COMMISSION EXPIRES:10/21/24
For Office Use	e Only
Date of Introduction:	
File Number:	
Ward:	

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Thomas M. Tunney, Chairman Committee on Zoning Room 304, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Re: Application for Rezoning Affidavit of Notice

Dear Chairman Tunney:

The undersigned, Carol D. Stubblefield, an attorney with the law firm of Neal & Leroy, LLC, which firm represents Northwestern Memorial HealthCare, the applicant for an amendment to the Chicago Zoning Ordinance with respect to property commonly known as 4435 - 4471 W. Irving Park Road; 3943-3957 N. Kilbourn Avenue; and 3942-3956 N. Kenneth Avenue, certifies that she has complied with the requirements of Section 17-13-0107 of the Municipal Code of the City of Chicago by sending the attached letter by United States Postal Service First Class Mail to the owners of all property within 250 feet in each direction of the subject property, as determined by the most recent Cook County tax records of Cook County, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet.

The undersigned certifies that the notice contains the common street address of the subject property, a description of the nature, scope and purpose of the application; the name and address of the Applicant; the name and address of the owner; the date the Applicant intends to file the applications on or about February 24, 2021.

The undersigned certifies that she has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Municipal Code of the City of Chicago and that the Applicant certifies that the accompanying list of names and addresses of surrounding properties located within 250 feet of the subject property, is a complete list containing the names and last known addresses of the owners of the property required to be served.

Very truly yours,

Carol D. Stubblefield

Subscribed and sworn to before me this What and February 2021

OFFICIAL SEAL
AMAL CARDONA

NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:00/15/22

Notary Public



Northwestern Memorial HealthCare 251 East Huron Street Chicago, Illinois 60611-2908 312 926.2000 Inn.org

January 28, 2021

Dear Neighbor:

It is a pleasure to inform you that Northwestern Memorial HealthCare ("NMHC") plans to develop an Advanced Outpatient Care Center ("AOCC") at 4435 – 4471 W. Irving Park Road; 3943-3957 N. Kilbourn Avenue; and 3942-3956 N. Kenneth Avenue, Chicago, IL (the "Property").

The AOCC is designed to be consistent with the NMHC "Patients First" mission and will provide advanced medical services including: Imaging, Urology, Gastroenterology, Cardiology, Physical Therapy, Orthopedics, Endocrinology, Primary Care, Ophthalmology, Dermatology, Infusion and Oncology. The AOCC will also include an Immediate Care Center, ground floor space, Lab, and accessory parking. The AOCC will be a 4-story (measuring approx. 58'-6" in height), approximately 152,000 square foot building with approximately 348 parking spaces that will be located below grade (2 levels) and above grade.

In accordance with notice requirements of the Chicago Zoning Ordinance, please be informed that on February 24, 2021 an application to rezone the Property from B3-1, Community Shopping District and RS-2, Residential Single-Unit (Detached House) District to B3-3, Community Shopping District will be filed with the Zoning Administrator.

The Applicant for the rezoning application and the owner of the Property is NMHC, which has its principal place of business at 211 E. Ontario, Suite 1800, Chicago, IL 60611. Questions regarding the AOCC or the rezoning of the Property may be addressed to Northwestern Memorial HealthCare, 211 E. Ontario, Suite 1800, Chicago, IL 60611, Thomas L. Hefty, Senior Associate General Counsel, NMHC, Phone: 312-926-5771 (Thomas.Hefty@nm.org).

PLEASE NOTE: THIS REZONING APPLICATION IS <u>NOT</u> SEEKING TO REZONE YOUR PROPERTY. IT SEEKS TO REZONE ONLY THE PROPERTY REFERENCED IN THIS LETTER FOR THE CONSTRUCTION OF THE NEW ADVANCED OUTPATIENT CARE CENTER. THIS LETTER IS YOUR LEGAL NOTICE THAT AN APPLICATION FOR REZONING WILL BE FILED ON FEBRUARY 24, 2021.

Very truly yours,

Robert Christie

Senior Vice President, External Affairs

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party subm	aitting this EDS. Include d/b/a/ if applicable:
NORTHWESTERN MEMORIAL HEALTHCARE	
Check ONE of the following three boxes:	
the contract, transaction or other undertaking "Matter"), a direct or indirect interest in excename:	r anticipated to hold within six months after City action on g to which this EDS pertains (referred to below as the ess of 7.5% in the Applicant. State the Applicant's legal
OR	rect right of control of the Applicant (see Section II(B)(1))
B. Business address of the Disclosing Party:	211 EAST ONTARIO STREET, SUITE 1800
5 ,	CHICAGO, ILLINOIS 60611
C. Telephone: 312-971-5771 Fax:	Email: thomas.hefty@nm.org.
D. Name of contact person: THOMAS L. HEFTY, Se	enior Associate General Counsel
	ou have one):
property, if applicable): Applicant proposes to	this EDS pertains. (Include project number and location of prezone property generally bounded by W. Irving Park Road, N. Kenneth of B3-3 to construct a new 4-story, approximately 152,000 SF medical paces.
G. Which City agency or department is requ	esting this EDS? Dept. of Planning & Development, Bureau of Zoning
	he City's Department of Procurement Services, please
Specification #	and Contract #
Var 2018 1	

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	,
Indicate the nature of the Disclosing Par Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust	Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify)
2. For legal entities, the state (or foreign coun	try) of incorporation or organization, if applicable:
ILLINOIS	
3. For legal entities not organized in the State business in the State of Illinois as a foreign ent	of Illinois: Has the organization registered to do ity?
Yes No	✓ Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compared to the compar	plicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there the are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or unies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or to of the Applicant.
NOTE: Each legal entity listed below must sul	bmit an EDS on its own behalf.
Name SEE ATTACHED	Title SCHEDULE 1
indirect, current or prospective (i.e. within 6 m ownership) in excess of 7.5% of the Applicant.	oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a ip or joint venture, interest of a member or manager in a

		ed to submit an EDS on its own behalf.
Name NONE.	Business Address	Percentage Interest in the Applicant
SECTION III - OFFICIALS	- INCOME OR COMPENSATION	N TO, OR OWNERSHIP BY, CITY ELECTE
	ng Party provided any income or cond preceding the date of this EDS?	npensation to any City elected official during the Yes No
	sing Party reasonably expect to providuring the 12-month period following	de any income or compensation to any City g the date of this EDS? Yes No
•	of the above, please identify below to come or compensation:	the name(s) of such City elected official(s) and
inquiry, any Cit	•	isclosing Party's knowledge after reasonable c partner, have a financial interest (as defined in MCC")) in the Disclosing Party?
	identify below the name(s) of such Ci escribe the financial interest(s).	ity elected official(s) and/or spouse(s)/domestic

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) SEE ATTACHED SCHEDULE 2	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
	·	antial owners of business entities the support obligations throughout the	•
7 X	•	ectly owns 10% or more of the Disc ations by any Illinois court of compe	
Yes No 🗸	No person o	directly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
Yes No			٠,
B. FURTHER CERTIFI	CATIONS		•
	•	the Matter is a contract being handl	

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further tifications), the Disclosing Party must explain below:
	ne letters "NA," the word "None," or no response appears on the lines above, it will be conclusively sumed that the Disclosing Party certified to the above statements.
com	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a applete list of all current employees of the Disclosing Party who were, at any time during the 12-nth period preceding the date of this EDS, an employee, or elected or appointed official, of the City Chicago (if none, indicate with "N/A" or "none").
the office made the opoli	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a applete list of all gifts that the Disclosing Party has given or caused to be given, at any time during 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed cial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything le generally available to City employees or to the general public, or (ii) food or drink provided in course of official City business and having a retail value of less than \$25 per recipient, or (iii) a tical contribution otherwise duly reported as required by law (if none, indicate with "N/A" or ne"). As to any gift listed below, please also list the name of the City recipient.
C. (CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1.	The Disclosing Party certifies that the Disclosing Party (check one) ☐ is
á	a "financial institution" as defined in MCC Section 2-32-455(b).
2. 3	If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
pled MC	e are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further light that none of our affiliates is, and none of them will become, a predatory lender as defined in C Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a latory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.
1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
☐ Yes
NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?
☐ Yes
3. If you checked "Yes" to Item $D(1)$, provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:
Name Business Address Nature of Financial Interest
·

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Ver.2018-1

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party nust disclose below or in an attachment to this EDS all information required by (2). Failure to omply with these disclosure requirements may make any contract entered into with the City in onnection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies study to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance olicies. The Disclosing Party verifies that the following constitutes full disclosure of all such ecords, including the names of any and all slaves or slaveholders described in those records:
ECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
OTE: If the Matter is federally funded, complete this Section VI. If the Matter is not ederally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" ppear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities egistered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on ehalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay ny person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any erson or entity to influence or attempt to influence an officer or employee of any agency, as defined y applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?	
☐ Yes ☐ No	
If "Yes," answer the three questions below:	
1. Have you developed and do you have on file affirmative action programs purs federal regulations? (See 41 CFR Part 60-2.) Yes No	suant to applicable
2. Have you filed with the Joint Reporting Committee, the Director of the Office Compliance Programs, or the Equal Employment Opportunity Commission all reapplicable filing requirements? Yes No Reports not required	
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? No	
If you checked "No" to question (1) or (2) above, please provide an explanation:	

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

NORTHWESTERN MEMORIAL HEALTHCARE
(Print or type exact legal name of Disclosing Party)
By:
(Sign4rere)
GINA WELDY
(Print or type name of person signing)
SENIOR VICE PRESIDENT, ADMINISTRATION
(Print or type title of person signing)
Signed and sworn to before me on (date) January 38,3001, atCounty, (state). Endia Lorham Notary Public OFFICIAL SEAL NOTARY PUBLIC
Commission expires: 10.21.24 NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES: 10/21/24

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

	• • • • • • • • • • • • • • • • • • • •	cable Party" or any Spouse or Domestic Partner thereof an elected city official or department head?
Yes	✓ No	
which such persor	n is connected; (3) the nam	ne and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to ip, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

	•		, is the Applicant or any Owner identified as a building code o MCC Section 2-92-416?
	Yes	✓ No	
th	• •		dicly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section
	Yes	No	The Applicant is not publicly traded on any exchange.
as		w or problem	ntify below the name of each person or legal entity identified landlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
□No
$\sqrt{N/A}$ – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

ECONOMIC DISCLOSURE STATEMENT -- SCHEDULE 1

LIST OF EXECUTIVE OFFICERS AND ALL DIRECTORS OF APPLICANT

NORTHWESTERN MEMORIAL HEALTHCARE

Directors

Carol L. Bernick

John A. Canning, Chair

Nicholas D. Chabraja

Michael A. Cullen

Kent P. Dauten

Manny Favela

William P. Flesch, Vice Chair

Dean M. Harrison, NMHC President & CEO

Lawrence K. Hunt

Michael J. Kachmer

Joseph D. Mansueto

Thomas J. Matya

Timothy P. Moen

Eric G. Neilson, MD, FSM Dean

William A. Osborn, Vice Chair

J. Christopher Reyes, Vice Chair

Timothy P. Sullivan

Morton O. Schapiro, NU President

Glenn F. Tilton

Douglas E. Vaughan, MD

Patricia A Woertz

Charie A. Zanck

Officers

John A. Canning, Chair

William P. Flesch, Vice Chair

William A. Osborn, Vice Chair

J. Christopher Reyes, Vice Chair

Dean M. Harrison, President and CEO

John A. Orsini, Treasurer

Leah V. Hobson, Assistant Treasurer

Danae K. Prousis, Secretary

Emily J. Kozak, Assistant Secretary

THERE ARE NO MEMBERS WHICH ARE LEGAL ENTITIES

ECONOMIC DISCLOSURE STATEMENT -- SCHEDULE 2 [SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES]

Business

Relationship to Disclosing Party Fees (indicate whether

Name (indicate whether

retained or anticipated Address to be retained)		(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is an acceptable response.
LEROY & NEAL, LLC	20 S Clark Street Suite 2050 Chicago, IL 60603	ZONING ATTORNEY	\$30,000 (est)
Terra Engineering LTD.	225 West Ohio Street, 4 th FI Chicago, IL 60654	Civil Engineer	\$25,000 (est.)
Cannon Design	225 North Michigan Ave, Suite 1100 Chicago, Illinois 60601	Architect of Record	\$3,508,800
Kimmley Horn	Kımley-Horn 111 West Jackson Blvd, Suite 1320, Chicago, IL 60604	Traffic Consultant	\$40,000
Power Construction	8750 W. Bryn Mawr Avenue, Suite 500 Chicago, IL 60631-3546	Construction Manager	\$125,000
Davey Utility	Davey Utility Services, Inc.	Utility Consultant	\$19,000
	1770 S Randall Road		
	Suite A Unit #110		
	Geneva, IL 60134	,	
ESD	233 S Wacker Dr #5300, Chicago, IL 60606	MEP Peer Review	\$31,150
Grumman Butkus	820 Davis Street, Suite 300 Evanston, IL 60201	Commissioning Agent	\$89,757
Kleın & Hoffman	150 South Wacker Drive, Suite 1900 Chicago, IL 60606	Building Envelope Consultant	\$18,000
ATC	1815 South Meyers Road, Suite 1050 Oakbrook Terrace, IL 60181-5242	Environmental & Geotech	\$125,300