



City of Chicago



O2017-9014

Office of the City Clerk

Document Tracking Sheet

| | |
|---------------------------------|--|
| Meeting Date: | 12/13/2017 |
| Sponsor(s): | Misc. Transmittal |
| Type: | Ordinance |
| Title: | Zoning Reclassification Map No. 5-H at 2036 and 2038 W North Ave - Aoo No. 19490 - T1 |
| Committee(s) Assignment: | Committee on Zoning, Landmarks and Building Standards |

#19490-71
Intro Date
Dec. 13, 2017

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the M1-2 Limited Manufacturing/Business Park

District symbols and indications as shown on Map No. 5-H in the area bounded by

beginning at a point 81.71 feet north of West North Avenue and 297.76 feet west of the intersection of North Milwaukee Avenue and West North Avenue as measured at the north right-of-way line of West North Avenue; a line from a point, 81.71 feet north of West North Avenue and 297.76 feet west of the intersection of North Milwaukee Avenue and West North Avenue, to a point 34.81 feet north of West North Avenue and 245.76 feet west of the intersection of North Milwaukee Avenue and West North Avenue as measured at the north right-of-way line of West North Avenue; a line 245.76 feet west of the intersection of North Milwaukee Avenue and West North Avenue as measured at the north right-of-way line of West North Avenue and perpendicular thereto; West North Avenue; and a line 297.76 feet west of the intersection of West North Avenue and North Milwaukee Avenue as measured at the north right-of-way line of West North Avenue and perpendicular thereto to (POB),

to those of a B3-2 Community Shopping District and a corresponding uses district is hereby established in the area above described.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Common address of property: 2036 and 2038 West North Avenue

17-13-0303-C(1) Narrative Zoning Analysis – 2036-38 W. North Ave., Chicago, IL

Proposed Zoning: B3-2

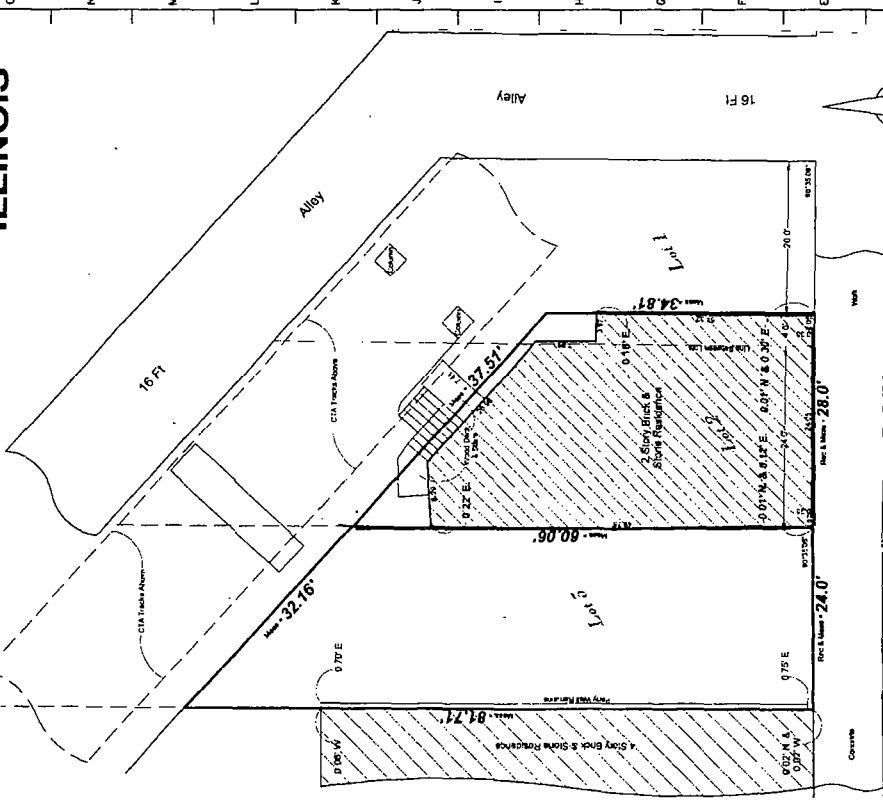
Lot Area: 3,028 square feet

Proposed Land Use: The Applicant is proposing to bring the existing mixed-use building into compliance with the Zoning Ordinance. The existing building will remain. The existing building height of 25 feet will also remain. Retail uses consistent with the B3 Use Table would be allowed at the subject property. The existing residential unit on the second floor will remain without change.

- (a) The Project's Floor Area Ratio: 0.92 (existing)
- (b) The project's density (Lot Area per Dwelling Unit): 3,028 square feet
- (c) The amount of off-street parking: 0 parking spaces (existing)
- (d) Setbacks:
 - a. Front Setback: zero (existing)
 - b. Rear Setback: zero (existing)
 - c. Side Setbacks: East side – zero / West side – 24 feet
 - d. Rear Yard Open Space: zero (existing)
- (e) Building Height: 25 feet (existing)

*17-10-0207-A

*17-13-0303-C(2) – Plans Attached.



2036
W. North Ave.
CHICAGO ILLINOIS

**COVER SHEET
SITE PLAN**

CS1.0

REVISIONS

ISSUANCE DATE: _____

NO. DATE DESCRIPTION

CODE MATRIX: ZONING AND BUILDING REQUIREMENTS

| ITEM | TYPE | CODE | REQUIREMENT | MIN. | MAX. | REMARKS |
|------|-----------------------|------|-------------|------|------|---------|
| 1.01 | MINIMUM HEIGHT | | | | | |
| 1.02 | MINIMUM FOOTING DEPTH | | | | | |
| 1.03 | MINIMUM FLOOR AREA | | | | | |
| 1.04 | MINIMUM FLOOR AREA | | | | | |
| 1.05 | MINIMUM FLOOR AREA | | | | | |
| 1.06 | MINIMUM FLOOR AREA | | | | | |
| 1.07 | MINIMUM FLOOR AREA | | | | | |
| 1.08 | MINIMUM FLOOR AREA | | | | | |
| 1.09 | MINIMUM FLOOR AREA | | | | | |
| 1.10 | MINIMUM FLOOR AREA | | | | | |
| 1.11 | MINIMUM FLOOR AREA | | | | | |
| 1.12 | MINIMUM FLOOR AREA | | | | | |
| 1.13 | MINIMUM FLOOR AREA | | | | | |
| 1.14 | MINIMUM FLOOR AREA | | | | | |
| 1.15 | MINIMUM FLOOR AREA | | | | | |
| 1.16 | MINIMUM FLOOR AREA | | | | | |
| 1.17 | MINIMUM FLOOR AREA | | | | | |
| 1.18 | MINIMUM FLOOR AREA | | | | | |
| 1.19 | MINIMUM FLOOR AREA | | | | | |
| 1.20 | MINIMUM FLOOR AREA | | | | | |
| 1.21 | MINIMUM FLOOR AREA | | | | | |
| 1.22 | MINIMUM FLOOR AREA | | | | | |
| 1.23 | MINIMUM FLOOR AREA | | | | | |
| 1.24 | MINIMUM FLOOR AREA | | | | | |
| 1.25 | MINIMUM FLOOR AREA | | | | | |
| 1.26 | MINIMUM FLOOR AREA | | | | | |
| 1.27 | MINIMUM FLOOR AREA | | | | | |
| 1.28 | MINIMUM FLOOR AREA | | | | | |
| 1.29 | MINIMUM FLOOR AREA | | | | | |
| 1.30 | MINIMUM FLOOR AREA | | | | | |
| 1.31 | MINIMUM FLOOR AREA | | | | | |
| 1.32 | MINIMUM FLOOR AREA | | | | | |
| 1.33 | MINIMUM FLOOR AREA | | | | | |
| 1.34 | MINIMUM FLOOR AREA | | | | | |
| 1.35 | MINIMUM FLOOR AREA | | | | | |
| 1.36 | MINIMUM FLOOR AREA | | | | | |
| 1.37 | MINIMUM FLOOR AREA | | | | | |
| 1.38 | MINIMUM FLOOR AREA | | | | | |
| 1.39 | MINIMUM FLOOR AREA | | | | | |
| 1.40 | MINIMUM FLOOR AREA | | | | | |
| 1.41 | MINIMUM FLOOR AREA | | | | | |
| 1.42 | MINIMUM FLOOR AREA | | | | | |
| 1.43 | MINIMUM FLOOR AREA | | | | | |
| 1.44 | MINIMUM FLOOR AREA | | | | | |
| 1.45 | MINIMUM FLOOR AREA | | | | | |
| 1.46 | MINIMUM FLOOR AREA | | | | | |
| 1.47 | MINIMUM FLOOR AREA | | | | | |
| 1.48 | MINIMUM FLOOR AREA | | | | | |
| 1.49 | MINIMUM FLOOR AREA | | | | | |
| 1.50 | MINIMUM FLOOR AREA | | | | | |

ABBREVIATIONS

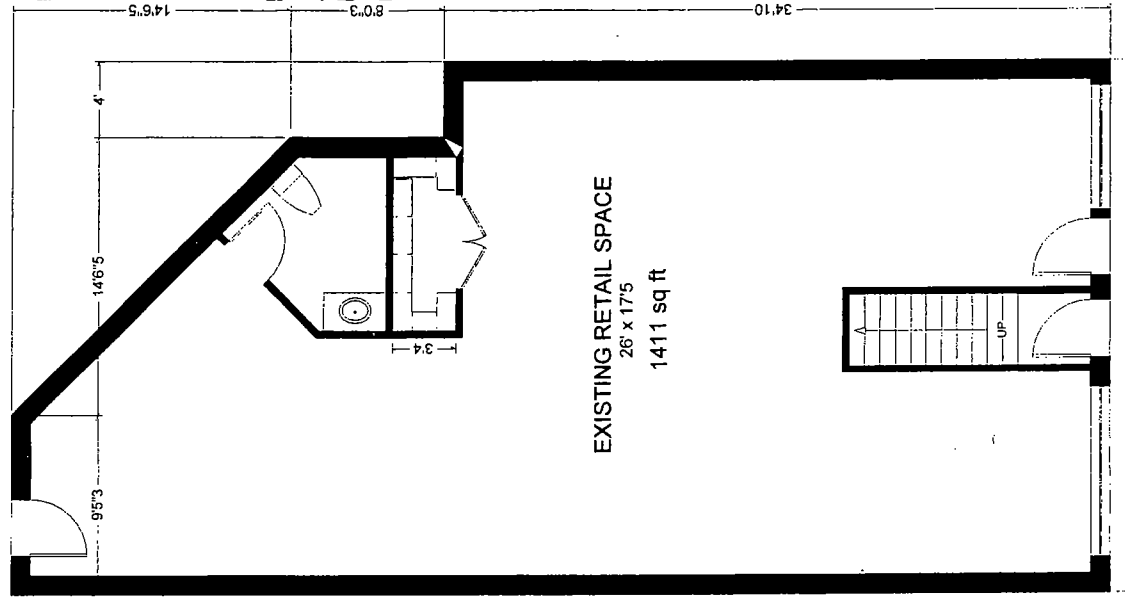
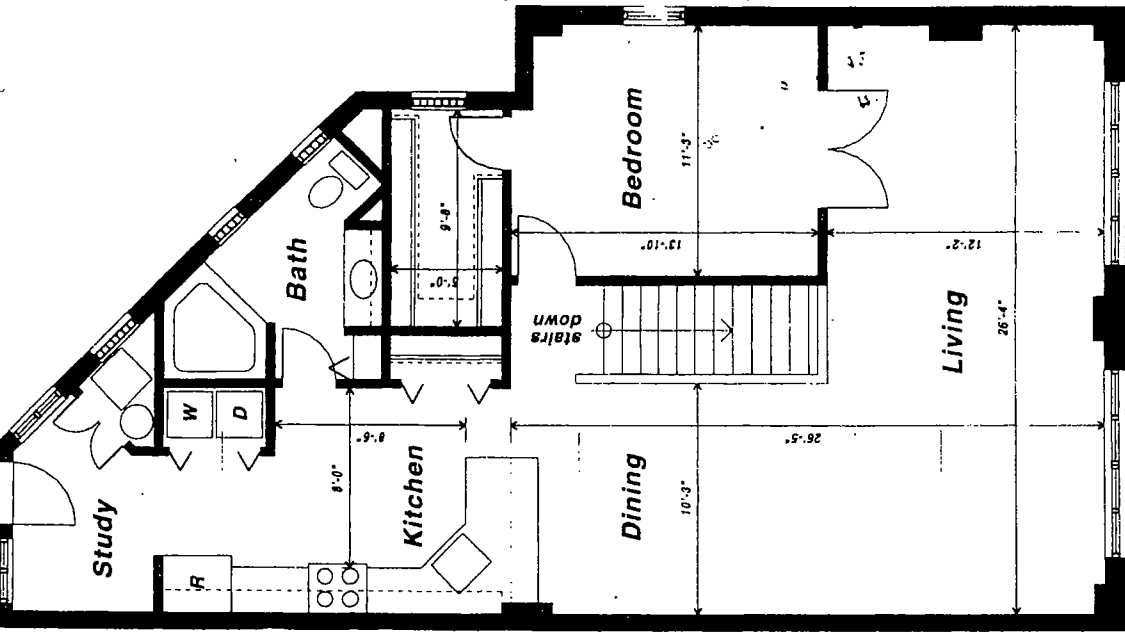
MATERIALS LEGEND

SYMBOLS LEGEND

DRAWING INDEX

CS1.0 Cover sheet & site plan
 GS1.0 General specifications
 A1.0
 A2.0
 A3.0

2036 WEST NORTH AVENUE



Illinois

LUIS A. MARTINEZ
LAM
ASSOCIATES INC.

ARCHITECTS-CONSULTANTS
535 N. MADISON AVE. SUITE 2200
CHICAGO, ILLINOIS 60611
PH: 312.718.4081 FAX: 312.822.8460
Email: lamarch@laminlam.com

CONTRACT DOCUMENTS
CONSULTING CONTRACT
NO. 1
DATE: 10/1/08
PROJECT: 2036 WEST NORTH AVENUE, CHICAGO, ILLINOIS
DRAWN BY: [REDACTED]
CHECKED BY: [REDACTED]
DATE: 10/1/08

ARCHITECT'S STAMP
LUIS A. MARTINEZ
REGISTERED ARCHITECT
NO. 000000000
STATE OF ILLINOIS
EXPIRES: 12/31/2010

| NO. | REVISION/DATE | DATE |
|-----|-------------------|---------|
| 1 | ISSUE FOR PERMITS | 10/1/08 |
| 2 | ISSUE FOR PERMITS | 10/1/08 |
| 3 | ISSUE FOR PERMITS | 10/1/08 |
| 4 | ISSUE FOR PERMITS | 10/1/08 |
| 5 | ISSUE FOR PERMITS | 10/1/08 |
| 6 | ISSUE FOR PERMITS | 10/1/08 |
| 7 | ISSUE FOR PERMITS | 10/1/08 |
| 8 | ISSUE FOR PERMITS | 10/1/08 |
| 9 | ISSUE FOR PERMITS | 10/1/08 |
| 10 | ISSUE FOR PERMITS | 10/1/08 |

2036
W. North Ave.

CHICAGO, ILLINOIS

Floor plans
Detail notes

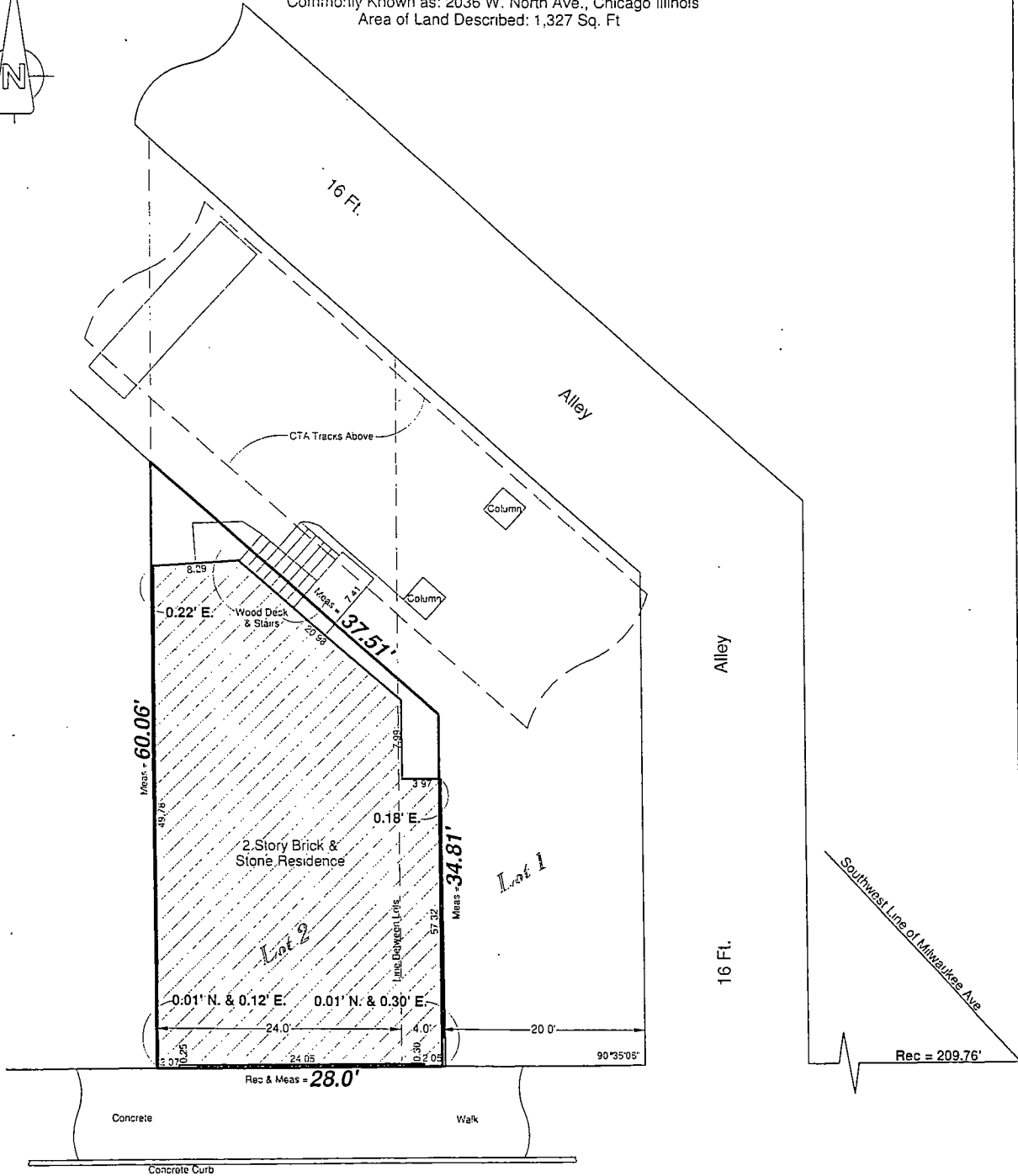
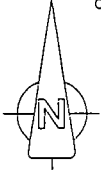
A-1

Plat of Survey

Central Survey Company, Inc. 6415 N. Caldwell Ave., Chicago, Illinois 60646-2713
 Phone (773) 631-5285 www.Centralsurvey.com Fax (773) 775-2071

Legal Description

Lot 1 and 2, (except that part thereof conveyed to the Metropolitan West Side Elevated Railroad Company) in Allen's Subdivision of that part of the West 138 feet of the East 29 rods of the South 12 rods of the South 1/2 of the South 1/2 of the Southwest 1/4, lying Southwesterly of Milwaukee Avenue of Section 31, Township 40 North, Range 14, East of the Third Principal Meridian, in Cook County, Illinois
 Commonly Known as: 2036 W. North Ave., Chicago Illinois
 Area of Land Described: 1,327 Sq. Ft



W. North Ave.

Legend

- N. = North
- S. = South
- E. = East
- W. = West
- (TYP) = Typical
- Rec = Record
- Meas = Measure
- St. = Street
- Ave. = Avenue

| Decimal/Inch Conversions | | |
|--------------------------|------------|-------------|
| 0 01" = 1/8" | 0 08" = 1" | 0 58" = 7" |
| 0 02" = 1/4" | 0 17" = 2" | 0 67" = 8" |
| 0 03" = 3/8" | 0 25" = 3" | 0 75" = 9" |
| 0 04" = 1/2" | 0 33" = 4" | 0 83" = 10" |
| 0 05" = 5/8" | 0 42" = 5" | 0 92" = 11" |
| 0 06" = 3/4" | 0 50" = 6" | 1 00" = 12" |

NOTE: Property corners were NOT staked per customer.
 Scale: 1 Inch equals 10 Feet

Ordered By: Steve Koppel
 Order Number: 2036H

Assume no dimension from scaling upon this plat. Compare all points before building and report any difference at once. For building restrictions refer to your abstract, deed, contract and local ordinances.

State of Illinois)
 County of Cook) S S



This professional service conforms to current Illinois minimum standards for a boundary survey.

Central Survey LLC does hereby certify that an on the ground survey per record description of the land shown hereon was performed on August 17, 2017 and that the map or plat hereon drawn is a correct representation of said survey. When bearings are shown the bearing base is assumed. Dimensions are shown in feet and hundredths and are correct at a temperature of 68° Fahrenheit.

Dated this 17th day of August 2017

William R. Webb

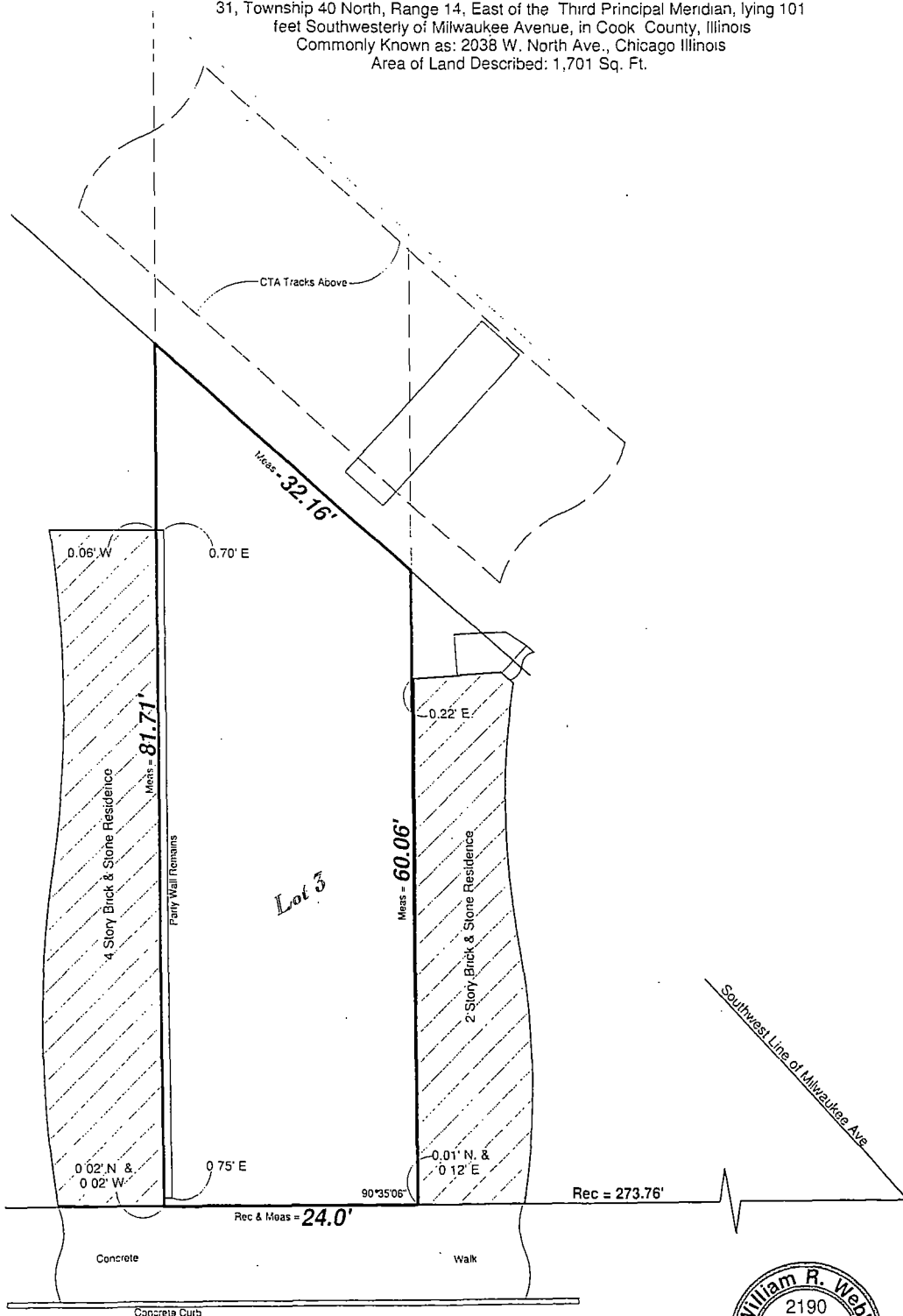
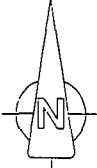
William R. Webb P.L.S. #2190 (exp.11/30/2018) Professional Design Firm Land Surveying LLC (#184 005417)

Plat of Survey

Central Survey Company, Inc., 6415 N. Caldwell Ave., Chicago, Illinois 60646-2713
 Phone (773) 631-5285 www.Centralsurvey.com Fax (773) 775-2071

Legal Description

Lot 3 (except that part conveyed to the Metropolitan West Side Elevated Railroad Company by deed recorded June 12, 1894, in book 4759, page 548) in Allen's Subdivision of that part of the West 138 feet of the East 29 rods of the South 12 rods of the South 1/2 of the South 1/2 of the Southwest 1/4 of Section 31, Township 40 North, Range 14, East of the Third Principal Meridian, lying 101 feet Southwesterly of Milwaukee Avenue, in Cook County, Illinois
 Commonly Known as: 2038 W. North Ave., Chicago Illinois
 Area of Land Described: 1,701 Sq. Ft.



W. North Ave.

Legend

N. = North
 S. = South
 E. = East
 W. = West
 (TYP) = Typical
 Rec = Record
 Meas = Measure
 St = Street
 Ave. = Avenue

| Decimal/Inch Conversions | | |
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NOTE: Property corners were NOT staked per customer.
 Scale: 1 Inch equals 10 Feet

Ordered By: Steve Koppel
 Order Number: 2038H

Assume no dimension from scaling upon this plat. Compare all points before building and report any difference at once. For building restrictions refer to your abstract, deed, contract and local ordinances

State of Illinois)
 County of Cook) S.S.



This professional service conforms to current Illinois minimum standards for a boundary survey.

Central Survey LLC does hereby certify that an on the ground survey per record description of the land shown hereon was performed on August 17, 2017 and that the map or plat hereon drawn is a correct representation of said survey. When bearings are shown the bearing base is assumed. Dimensions are shown in feet and hundredths and are correct at a temperature of 68° Fahrenheit

Dated this 17th day of August 2017

William R. Webb

William R. Webb P.L.S. #2190 (exp 11/30/2018) Professional Design Firm Land Surveying LLC (#184.005417)

Written Notice, Form of Affidavit: Section 17-13-0107

December 1, 2017

Honorable Daniel Solis
Chairman, Committee on Zoning
121 North LaSalle Street
Room 304 - City Hall
Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Nicholas Ftikas, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was sent by USPS First Class Mail no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned as **2036-2038 W. North Ave., Chicago, Illinois**; a statement of intended use of said property; the name and address of the Applicant; the name and address of the owner; and a statement that the applicant intends to file an application for a change in zoning on approximately **December 1, 2017**.

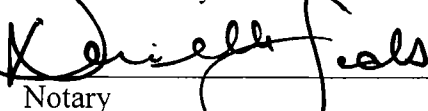
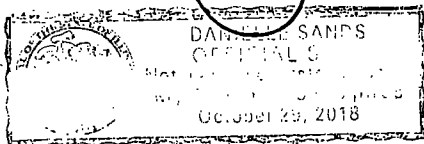
The Applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago; that the Applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

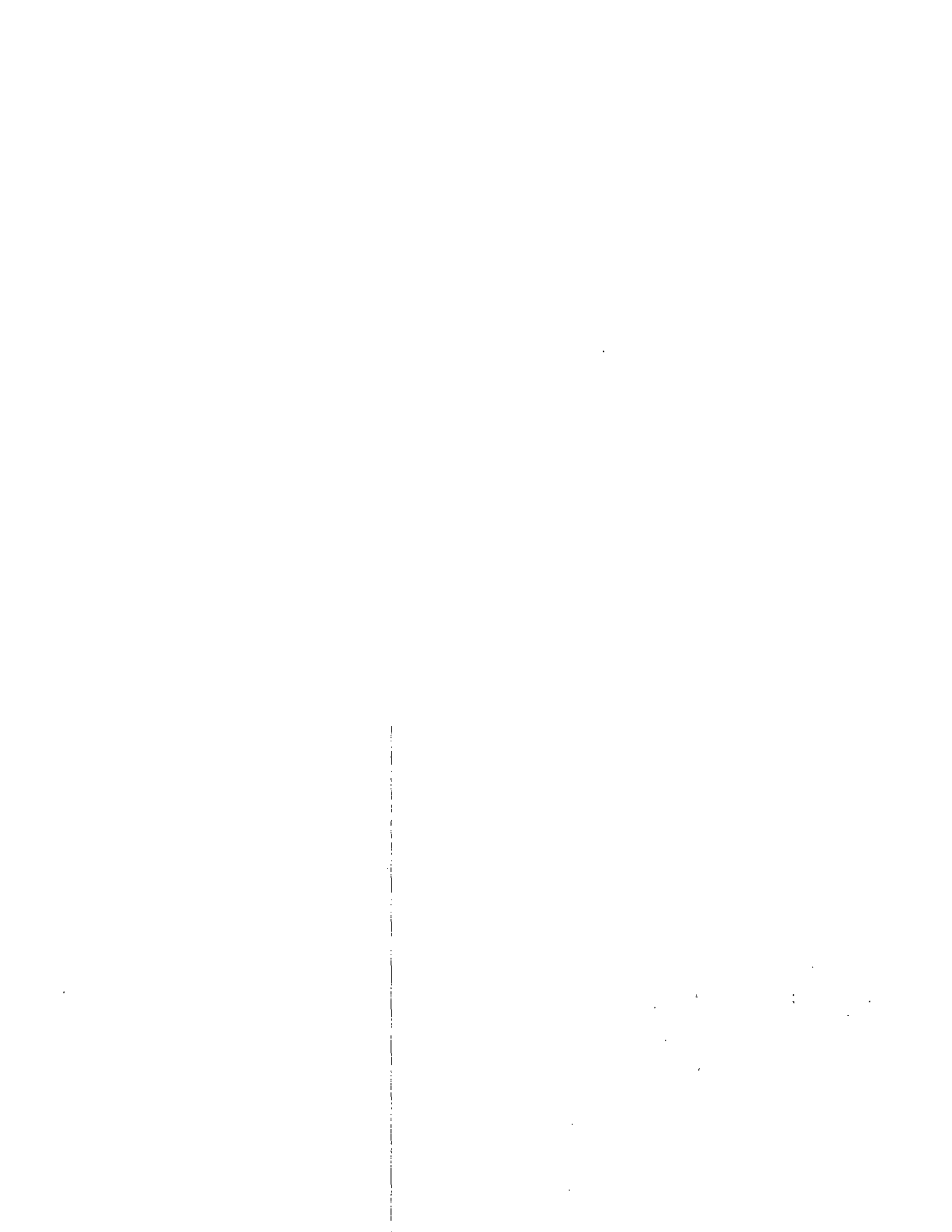
Law Offices of Samuel V.P. Banks



By: **Nicholas Ftikas**, Attorney

Subscribed and Sworn to before me
this the 1st Day of December 2017.


Notary



PUBLIC NOTICE

Via USPS First Class Mail

December 1, 2017

Dear Sir or Madam:

In accordance with the Amendment to the Chicago Zoning Code enacted by the City Council, Section 17-13-0107-A, please be informed that on or about **December 1, 2017**, I, the undersigned, intend to file an application for a change in zoning from the M1-2 Limited Manufacturing/Business Park District to a B3-2 Community Shopping District, on behalf of the Applicant and Property Owner, Albany Bank & Trust Company, Land Trust No. 11-4493, for the subject property located at **2036-2038 W. North Ave., Chicago, Illinois.**

The Applicant is proposing to bring the existing mixed-use building into compliance with the Zoning Ordinance. The existing building will remain. The existing building height of 25 feet will also remain. Retail uses consistent with the B3 Use Table would be allowed at the subject property. The existing residential unit on the second floor will remain without change.

The Applicant and Property Owner, Albany Bank & Trust Company, Land Trust No. 11-4493, is located at 2328 W. Medill, Chicago, IL 60647.

I am the attorney for the Applicant, and I will serve as the contact person for this zoning application. My address is 221 N. LaSalle Street, Chicago, IL 60601. My telephone number is 312-782-1983.

Very truly yours,

LAW OFFICES OF SAMUEL V.P. BANKS



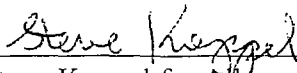
Nicholas Ftikas

***Please note that the Applicant is NOT seeking to purchase or rezone your property.**

***The Applicant is required to send this notice to you because you are shown to own property located within 250 feet of the property subject to the proposed Zoning Amendment.**

To whom it may concern:

I, Steve Koppel, in my capacity as the designated Trustee of Albany Bank & Trust, Land Trust No. 11-4493, Owner of the subject property located at 2036-2038 W. North Ave. Chicago, IL, authorize the Law Offices of Samuel V.P. Banks to file a zoning amendment application with the City of Chicago for that property.



Steve Koppel for Albany Bank & Trust,
Land Trust No. 11-4493

FORM OF AFFIDAVIT

Chairman, Committee on Zoning
Room 304 - City Hall
Chicago, IL 60602

To Whom It May Concern:

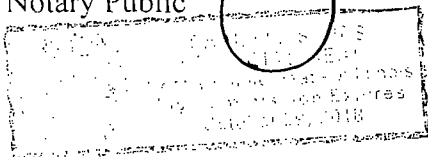
I, Steve Koppel, on behalf of Albany Bank & Trust, Land Trust No. 11-4493, understand that the Law Offices of Samuel V.P. Banks has filed a sworn affidavit identifying Albany Bank & Trust, Land Trust No. 11-4493, as Owner holding interest in land subject to the proposed zoning amendment for the property identified as 2036-2038 W. North Ave., Chicago, IL.

I, Steve Koppel, in my capacity as the designated Trustee, being first duly sworn under oath, depose and say that Albany Bank & Trust, Land Trust No. 11-4493 holds that interest for itself and no other person, association, or shareholder.

Steve Koppel 12-3-17
Steve Koppel Date
Albany Bank & Trust, Land Trust No. 11-4493

Subscribed and Sworn to before me
this 4th day of December 2017.

[Signature]
Notary Public



#19490-T1
Intro Date
Dec 13, 2017

CITY OF CHICAGO
APPLICATION FOR AN AMENDMENT TO
THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:
2036-2038 W. North Ave.

2. Ward Number that property is located in: 2

3. APPLICANT: Albany Bank & Trust Company, Land Trust No. 11-4493

ADDRESS: 2328 W. Medill CITY: Chicago

STATE: Illinois ZIP CODE: 60647 PHONE: (312) 782-1983

EMAIL: nick@sambankslaw.com CONTACT PERSON: Nicholas Ftikas

4. Is the Applicant the owner of the property? YES NO

If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER: _____

ADDRESS: _____ CITY: _____

STATE: _____ ZIP CODE: _____ PHONE: _____

EMAIL: _____ CONTACT PERSON: _____

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY: Law Offices of Samuel V.P. Banks

ADDRESS: 221 North LaSalle Street, 38th Floor

CITY: Chicago STATE: Illinois ZIP CODE: 60601

PHONE: (312) 782-1983 FAX: (312) 782-2433 EMAIL: nick@sambankslaw.com

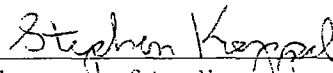
6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.), please provide the names of all owners as disclosed on the Economic Disclosure Statements.
Steven Koppel, Trustee and Beneficiary; Joel Koppel, Beneficiary; and Martin Karbin, Beneficiary
7. On what date did the owner acquire legal title to the subject property? 1992
8. Has the present owner previously rezoned this property? If Yes, when?
No
9. Present Zoning District: M1-2 Proposed Zoning District: B3-2
10. Lot size in square feet (or dimensions): 3,028 square feet
11. Current Use of the Property: The subject property is improved with a two-story mixed-use building containing a retail unit at grade and a residential unit above.
12. Reason for rezoning the property: To bring the existing mixed-use building into compliance with the zoning ordinance and permit retail uses consistent with the B3 use table.
13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)
The Applicant is proposing to bring the existing mixed-use building into compliance with the Zoning Ordinance. The existing building will remain. The existing building height of 25 feet will also remain. Retail uses consistent with the B3 Use Table would be allowed at the subject property. The existing residential unit on the second floor will remain without change.
14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?

YES ___ NO x

=====

COUNTY OF COOK
STATE OF ILLINOIS

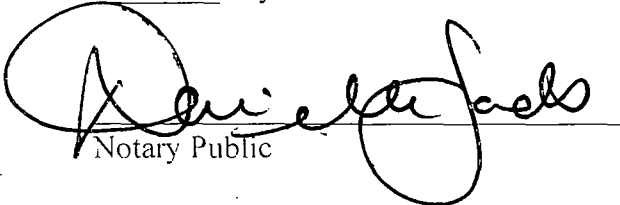
I, Steve Koppel, in my capacity as designated Trustee of Albany Bank & Trust, Land Trust No. 11-4493, being first duly sworn on oath, state that all of the above statements and the statements contained in the documents submitted herewith are, to the best of my knowledge, true and correct.



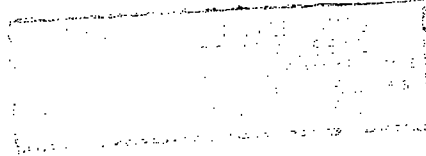
Signature of Applicant

Subscribed and Sworn to before me this

4th day of December 2017.



Notary Public



=====

For Office Use Only

Date of Introduction: _____

File Number: _____

Ward: _____

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Albany Bank & Trust, Land Trust No. 11-4493

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: _____

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: _____

C. Telephone: _____

Fax: _____

Email: _____

D. Name of contact person: _____

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

The Applicant is seeking a Zoning Amendment for the property located at 2036-38 West North Avenue.

G. Which City agency or department is requesting this EDS? DPD/COZ

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input checked="" type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|---------------|-----------------------|
| Steve Koppel | Trustee & Beneficiary |
| Joel Koppel | Beneficiary |
| Martin Karbin | Beneficiary |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|---------------|-------------------------|--------------------------------------|
| Steve Koppel | 2328 West Medill Avenue | 33% |
| Joel Koppel | Chicago, Illinois | 33% |
| Martin Karbin | 60647 | 33% |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

N/A

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (<u>indicate whether paid or estimated.</u>) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---|--|---|
| Law Offices of Samuel V.P. Banks | 221 N LaSalle St, 38th Floor Chicago, IL 60601 | Attorney | \$6,500 (est.) |

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
|------|------------------|------------------------------|

N/A

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

None

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

Stephen Koppel
(Print or type exact legal name of Disclosing Party)

By: Stephen Koppel
(Sign here)

Stephen Koppel
(Print or type name of person signing)

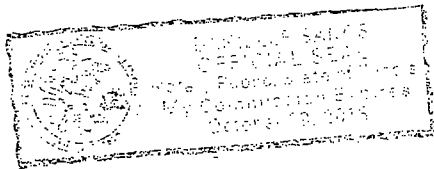
Owner
(Print or type title of person signing)

Signed and sworn to before me on (date) November 9, 2017

at Cook County, IL (state).

[Signature]
Notary Public

Commission expires October 18, 2017



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

N/A

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

N/A

