

**Meeting Date:** 

## City of Chicago



SO2015-4642

# Office of the City Clerk Document Tracking Sheet

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 1-E at 115-479 E Wacker

6/17/2015

Dr, 150-244 N Lake Shore Dr, 200-474 E Randolph St, 119-125 E Lake St, 201-231 N Michigan Ave, 200-336 N Stetson Ave, 151-335 N Stetson Ave, 150-324 N Columbus Dr, 151-323 N Columbus Dr, 150-250 N Field Blvd and 151-251 N

Field Blvd - App No. 18420

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

## **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO

SECTION 1: Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance is hereby amended by changing all the Residential Business Planned Development No. 70, As Amended symbols and indications as shown on Map No. 1-E in an area bounded by:

The south right-of-way line of East Wacker Drive or the line thereof extended where no street exists; a line 3,095 feet east of the west line of North Michigan Avenue; a line 140 feet south of the north line of East Randolph Street, or the line thereof if extended where no street exists; a line 2,022 feet east of the west line of North Michigan Avenue; the north line of East Randolph Street; the east line of North Stetson Street; the north line of East Lake Street; North Michigan Avenue; the north line of East South Water Street; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; the south line of the alley next north of and parallel to East South Water Street; the east line of the alley next east of and parallel to North Michigan Avenue; a line 138.74 feet north of the north line of the alley next north of and parallel to East South Water Street, or the line thereof if extended where no alley exists; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; a line 428.773 feet north of the north line of East South Water Street; a line 19.69 feet west of the east line of north Beaubien Court, or the line thereof if extended where no street exists; a line468.819 feet north of East South Water Street; and a line 68.43 feet east of the east line of North Beaubien Court, or the line thereof if extended where no street exists;

to the designation of Residential Business Planned Development No. 70, As Amended which is hereby established in the area above described, subject to such use and bulk regulations as are set forth in the Plan of Development herewith attached and made a part thereof and to no others.

SECTION 2: This Ordinance shall be in force and effect from after its passage.

Residential-Business Planned Development Number 70, As Amended 2015

Planned Development Statements

1. The area delineated herein as Residential-Business Planned Development Number 70, as

amended ("the Planned Development") consists of approximately one million eight hundred

fifty-eight thousand nine hundred twenty-two (1,858,922) square feet (forty-two and sixty-seven

hundredths (42.67) acres) of property (the "Property") which is divided into seven (7) subareas as

depicted in the attached subarea map. Subareas A, B, C, D, F and G have been substantially

developed in a manner consistent with this Planned Development. Subarea E is controlled by the

applicant, Lakeshore East, L.L.C.

2. All necessary official reviews, approvals or permits are required to be obtained by the

applicant as to Subarea E and by the respective owners of the property to Subareas A, B, C, D, F

and G.

3. The requirements, obligations and conditions contained within the Planned Development

shall be binding upon the applicant, its successors and assigns and, if different than the applicant,

the legal title holder and any ground lessors with respect to Subarea E and to the respective

owners, their successors and assigns with respect to Subareas A, B, C, D, F and G. All rights

granted hereunder to the applicant shall inure to the benefit of the applicant's successors and

assigns and if different than the applicant, then to the owners of record title to all of the Property

and any ground lessors with respect to Subarea E and to the respective owners, their successors

and assigns with respect to Subarcas A, B, C, D, F and G. With respect to Subarca E, the

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applicant is hereby designated as the controlling entity for purposes of this Planned

Development.

4. This plan of development consists of these seventeen (17) statements; a Bulk Regulations

and Data Table; an Existing Zoning Map; a Planned Development Boundary Map; a Subarea

Map; a Net Developable Area Map for Subarea E; a Generalized Land-Use Plan for Subarea E; a

Pedestrian Walkway System – Pedway Level Plan; a Subarea E Parcelization Plans (Upper

Level, Intermediate Level and Lower Level); Subarea E Right-of-Way Adjustment Maps and a

Subarea E Public Park Zone Map; Boundary Plans; Site Plans for Parcel D1; Green Roof Plan

for Parcel D1; Elevations for Parcel D1 dated November 19, 2015 prepared by bKL Architecture.

The Planned Development is applicable to the area delineated hereto and these and no other

zoning controls shall apply to the Property, provided, however, that the development of the

Property shall also be subject to an ordinance adopted by the City Council and known as the

2000 Amendatory Lakefront Ordinance and also subject to the Lakeshore East Master Plan and

Design Standards dated November 19, 2015 prepared by bKL Architecture.

5. (A) Within Subareas A, B, C, D, F and G at the elevations above Chicago City Datum

indicated, provided that these elevations may be varied by plus or minus five (+/- 5) feet or as

otherwise necessary to meet existing conditions, and subject to the condition in statement 12 and

in the Bulk Regulations and Data Table, the following uses are permitted:

Lower Level

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(+8.0 feet)

Illinois Central Gulf tracks and facilities; warehousing and storage; trucking; public esplanade park along the Chicago River; and accessory uses including automobile parking and hotel uses. (Major service access level)

Interim Level

Accessory uses including automobile parking and hotel uses.

Intermediate Level

(+26.0 feet)

Accessory uses including automobile parking and hotel uses. (Vehicular Access Level)

Interim Level

Accessory uses including retail sales, service used automobile parking and hotel uses.

Arcade Level

Pedestrian walkways; public park; and accessory uses including retail sales, service uses, automobile parking and hotel uses.

Upper Level

(+ 53.0 feet) and above

Apartment, office, mixed use (apartment-office) and

hotel buildings; accessory uses including retail sales

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and service uses; related uses; and pedestrian

walkways. (vehicular right-of-way and access

level),

(B) Within Subarea E, the following uses are permitted: public parks; public school;

commercial uses, retail uses, hotel uses, residential uses, business uses, office uses, religious and

institutional uses, warehousing and storage and accessory uses.

In addition, the following uses shall be permitted in all subareas and at all levels subject

to the review and approval of the Department of Planning and Development:

broadcast and telecommunication structures, equipment and installations (i)

including parabolic transmitting and receiving antennae;

townhouses and any land-use accessory to a principal use at any level and not (ii)

specifically authorized in statements 5(A) and (B); and

public utility and public service uses necessary to serve the development (iii)

including, but not limited to district electrical generation and utility substations under this

Planned Development; and

district cooling and heating. (iv)

Business identification signs affixed to the face of or recessed into a building or structure

shall be permitted within the Planned Development, subject to the review and approval of the

Department of Planning and Development. Temporary construction and marketing signs shall be

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permitted subject to the review and approval of the Department of Planning and Development.

No advertising signs shall be permitted within the Planned Development.

7. Any dedication or vacation of streets, alleys or easements or any adjustment of rights-of-

way shall require a separate submittal on behalf of the applicant if within Subarea E, and the

respective owners of the property within Subareas A, B, C, D, F and G if within those subareas,

and approval by the City Council.

Off-street parking and loading facilities shall be provided in compliance with this

Planned Development subject to the review of the Department of Transportation and the

approval of the Department of Planning and Development. A minimum of two percent (2%) of

all parking spaces provided within the Planned Development, in parking structures or areas

developed after the effective date hereof, shall be designated and designed for parking for the

handicapped.

8.

9. Any service drive or other ingress or egress shall be adequately designed and paved, in

accordance with the regulations of the Department of Transportation in effect at the time of

construction and in compliance with the Municipal Code of the City of Chicago, to provide

ingress and egress for motor vehicles, including emergency vehicles. There shall be no parking

within such paved areas except where provided in public street areas as permitted by the

Department of Transportation. Ingress and egress shall be subject to the review and approval of

the Bureau of Traffic Engineering and Operations and of the Commissioner of Planning and

Development.

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In addition to the maximum height of the buildings and any appurtenances thereto 10.

prescribed in this Planned Development, the height of any improvement shall also be subject to

height limitations approved by the Federal Aviation Administration.

11. This Planned Development shall be subject to the "Rules, Regulations and Procedures in

relation to Planned Development Amendments" as promulgated by the Commissioner of the

Department of Planning and Development and in effect on the date hereof.

12. Subareas A, B, C, D, F and G already have been substantially developed. The further

development or redevelopment of properties within Subareas A, B, C, D, F and G shall be

subject only to the regulations in Subsections A through G below. The development of Subarea E

shall be completed in accordance with all of the regulations contained herein and in accordance

with the Guidelines of the Lakeshore East Master Plan and Design Standards dated November

19, 2015.

(A) Net Developable Area.

For purposes of Floor Area Ratio calculations, the definitions in the Chicago Zoning Ordinance

shall apply, provided, however, that "Net Developable Area" refers to the net site area at the

Upper Level. The Upper Level is typically at approximately + 53.0 feet above Chicago City

Datum but may be lower or higher depending on factors such as road levels and the location of

building entrances and exits as determined by the applicant. Floor Area below the Upper Level

shall not be included in calculating the total number of square feet of development unless the

principal entrance to the building is located below the Upper Level and, in that event, the level of

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the principal entrance to the building shall be considered "curb level" for purposes of the Chicago Zoning Ordinance.

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- (B) Bulk And Density Regulations.
- Number 5 shall be limited to four hundred thousand (400,000) square feet and shall be established in accordance with the DX-16 Downtown Mixed-Use District regulations existing on the effective date of this Planned Development, As Amended 2015.
- 2) With regard to areas devoted as a principal use to office, hotel and residential uses and retail sales and service uses, the following maximums shall apply:

Office, maximum floor area = 12,000,000 square feet

Hotel, maximum number of rooms/keys = 5,550

Residential maximum number of dwelling units = 9,050 units

Retail sales and service, Maximum floor area = 1,325,000 square feet

Provided, however, that in calculating these maximums, ballrooms, meeting rooms, exhibition space and eating facilities associated with a hotel use and located at or above the established Upper Level shall be chargeable against the maximum permitted floor area for principal retail sales and service uses.

3) The applicant may increase the maximum number of dwelling units allowed by up to three thousand one hundred eighty-three (3,183) dwelling units by converting a portion of the maximum allowed office space and/or a portion of the maximum allowed hotel rooms/keys. The applicant may increase the maximum amount of office space by up to two

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million (2.000,000) square feet by converting a portion of the maximum dwelling units and/or a

portion of the maximum number of hotel rooms/keys. The applicant may increase the maximum

number of hotel rooms/keys by up one thousand (1,000) rooms/keys by converting a portion of

the maximum allowed office space and/or a portion of the maximum allowed dwelling units. For

purposes of this conversion one thousand (1,000) square feet of office space shall be equal to one

(1) dwelling unit, two (2) hotel rooms/keys shall be equal to one (1) dwelling unit, and one

thousand (1,000) square feet of the office space shall be equal to two (2) hotel rooms/keys.

4) Except for Subarea E, the number of efficiency units within this Planned

Development shall not exceed thirty percent (30%) of the number of permitted dwelling units.

The number of efficiency units in Subarea E shall not exceed fifteen percent (15%).

5) To the extent this Planned Development does not cover all items required

for development, the Chicago Zoning Ordinance shall apply as follows: warehousing and storage

shall be in general conformity with the DX-16 Downtown Mixed-Use District regulations;

permitted office, hotel and retail used shall be in general conformity with the DX-16 Downtown

Mixed-Use District regulations; and residential uses shall be in general conformity with the DR-

10 Downtown Residential District regulations.

(C) Maximum Permitted Site Coverage.

1) East of North Stetson Avenue: (except for Subarea E) fifty percent (50%)

between Upper Level and plus thirty (+30) feet above the Upper Level (sixty percent (60%) on a

single parcel provided that a single parcel may contain multiple buildings); and forty percent

(40%) above plus thirty (+30) feet above the Upper Level.

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2) West of North Stetson Avenue: eighty percent (80%) between the Upper

Level and plus seventy-five (+75) feet above the Upper Level; and sixty percent (60%) above

plus seventy-five (+75) feet above the Upper Level.

3) Subarea E: Site coverage is controlled by the Parcel Design Criteria as

described in the Master Plan and Design Standards dated November 19, 2015.

(D) Periphery Setbacks And Minimum Distance Between Buildings.

1) For Subareas A, B, C, D, F and G:

Minimum Distance Between Building Faces at Upper Level: eighty and

zero-tenths (80.0) feet.

Minimum Distance Between Building Corners or Building Face-to-Corner

at Upper Level: sixty and zero-tenths (60.0) feet.

Periphery setback and distance provisions may be adjusted where required

to allow flexibility of architectural or site design arrangement, subject to the approval of the

Department of Planning and Development.

2) For Subarea E: Setbacks are controlled by the Master Plan and Design

Standards dated November 19, 2015.

(E) Parking

1) Minimum Requirements for other uses:

Office building: one (1) space per three thousand five hundred (3,500)

square feet.

Hotel: one (1) space per three (3) rooms/keys.

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Residential building: spaces equal to fifty-five percent (55%) of the

dwelling units including efficiency units, provided that with respect to townhome units a

minimum of one (1) parking space per dwelling unit shall be required. Subarea E shall require

spaces equal to forty percent (40%) of the dwelling units including efficiency units.

2) Location

All parking spaces required to serve buildings or uses shall be located on

the same parcel as the building or use served, or (i) if a residential use, within five hundred (500)

feet walking distance measured from the property line; or (ii) if a non-residential use, within one

thousand (1,000) feet walking distance measured from the property line.

3) Vehicular entrances and exits to accessory automobile parking areas shall

be located in conformance with the Automobile Entrance Zone Maps attached hereto. Provided,

however, that temporary driveways shall be permitted within the restricted areas depicted on the

Automobile Entrance Zone Maps when necessitated by division of parcels and subject to the

review and approval of the Department of Transportation.

The location, geometrics and operation of all interior or local ramps 4)

adjoining any structure at the Upper Level shall be subject to the review of the Department of

Transportation and the approval of the Department of Planning and Development.

(F) Loading.

Minimum off-street loading shall be provided in accordance with the regulations

applicable in the DR-10 Downtown Residential District and DX-12 Downtown Mixed-Use

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District of the Chicago Zoning Ordinance existing on the effective date hereof. The location of loading berths shall be subject to the review of the Department of Transportation and the approval of the Department of Planning and Development.

(G) Construction Of Public Improvements.

No certificate of occupancy shall be issued for any improvement located within a parcel in the development until such time as the Applicant for the certificate produces evidence that construction of public improvements related to the improvement located within a parcel in said development has been completed, is under construction or is under contract for construction, or that adequate access can be provided, all as certified by the Department of Transportation and approved by the Department of Planning and Development. The vertical connections (handicap accessible) adjacent to the Neighborhood Park shall be installed prior to the issuance of a Certificate of Occupancy for the building containing said vertical connection. In addition, the applicant shall use its best efforts to provide landscaping in the parkway area of North Columbus Drive along the frontage of said street adjacent to Subarea E subject to the review and approval of the Department of Planning and Development and the Department of Transportation.

(H) Public Park.

Applicant has provided the Neighborhood Park depicted on the Public Park Zone map and has dedicated the fee simple title of the Neighborhood Park to the Chicago Park District. The City of Chicago acknowledges that this satisfies all open space impact fee requirements of the applicant in the development of Subarea E.

(I) Public Elementary School.

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Provided the applicant is instructed to proceed by the City of Chicago, the

Chicago Board of Education and the Chicago Park District, the applicant shall be responsible for

the construction of a forty-five thousand (45,000) square foot portion of a fifty-three thousand

(53,000) square foot structure to contain a public elementary school with space to be shared with

the Chicago Park District to be substantially completed on or before June 30, 2020.

(J) Pedestrian Walkways.

The pedestrian walkways depicted on the Pedway Level Pedestrian Walkway

System Map shall consist of an enclosed all-weather walkway (as depicted on the Pedway Level

Pedestrian Walkway System Map), designed to accommodate pedestrian movement at the

Arcade Level and/or other levels as depicted in the Pedway Level Pedestrian Walkway System

Map attached hereto. It shall be the responsibility of the applicant to provide continuous

pedestrian walkways at the Arcade Level and/or other levels within Subarea E pursuant to the

Master Plan and Design Standards subject to the review of the Department of Transportation and

the approval of the Department of Planning and Development.

(K) In addition to other requirements contained within these Statements, the Applicant

shall cause the following design principles to be implemented:

1) Improve the connection from E. South Water Street to Wacker Drive,

including pedestrian safety measures and traffic calming measures at the intersection of Field

Boulevard and Sub Wacker Drive;

2) Create a roadway connection from Waterside Drive (upper level) to Wacker

Drive, including traffic calming and pedestrian safety measures;

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Create a pedestrian connection at the upper level from Parcel A2 (Tides) to 3)

Parcel B2 (Shoreham), which shall include a terrace overlook with specialty paving, landscaping

and accent lighting;

Create a pedestrian connection at the upper level from the east end of 4)

Wacker Drive to Parcel B1 (Regatta), which shall include specialty paving, landscaping and

accent lighting;

Create a pedestrian terrace overlook at the new Wacker Drive extension 5)

(upper level), which shall include specialty paving, pedestrian seating, accent lighting and

significant landscape planters;

Create a pedestrian connection at the Pedway level from the east property

line of the parcel occupying the western portion of Parcel D1 (GEMS) to the property occupying

the eastern portion of Parcel D1;

7) Create a Vertical Connection from the Upper Level to the Lower Level,

with access to the Pedway level as well;

The character of the through-building public pedestrian connections to 8)

Wacker Drive, at both the upper and lower levels, shall be pedestrian friendly, including

adequate lighting for safety, decorative paving and a clear marked pedestrian zone.

All the above items shall be subject to further coordination and input with/from the Chicago

Department of Transportation and the Department of Planning and Development.

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13. Prior to the issuance by the Department of Planning and Development of a determination pursuant to Section17-13-0610 of the Chicago Zoning Ordinance ("Part II approval") for development or redevelopment of any development parcels within the Planned Development, other than alterations to existing buildings which do not increase their height or alter their footprints, a Site Plan for the proposed development, including parking areas, shall be submitted to the Commissioner of the Department of Planning and Development for approval. Review and approval of the Site Plan by the Commissioner is intended to assure that specific development proposals conform with the general design standards in Statement 12, is consistent with the intent of the Lakeshore East Master Plan and Design Standards dated November 19, 2015 and to ensure coordination of public improvements described in statements 12(G), 12(H), 12(I), 12(J) and 12(K). No Part II approval for work for which a Site Plan must be submitted to the Commissioner shall be granted until the Site Plan has been approved by the Commissioner. Further, all Part II submittals shall be in compliance with the Chicago Landscape Ordinance. Following approval of a Site Plan by the Commissioner, the approved plan shall be kept on permanent file with the Department of Planning and Development and shall be deemed to be an integral part of this Planned Development. The approved Site Plan may be changed by the provisions of Section 17-13-0611 of the Chicago Zoning Ordinance. A Site Plan shall, at a minimum, provide the following information with respect to the proposed improvements:

- (1) the boundaries of the Property;
- (2) the footprint of the improvements;
- (3) location and dimensions of all loading berths;

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(4) preliminary landscaping plan prepared by a landscape architect with final

landscaping plan to be approved at Part II stage;

(5) all pedestrian circulation routes;

(6) the location of any adjacent public improvements;

(7) a signage plan for any building where retail or theater uses would be present

above the ground level;

B) preliminary building sections and elevations of the improvements with a

preliminary building materials list; and

(9) statistical information applicable to the Property limited to the following:

(a) floor area and floor area ratio;

(b) uses to be established;

(c) building heights; and

(d) all setbacks, required and provided.

A Site Plan shall include such other information as may be necessary to illustrate conformance

with the applicable provisions of this Planned Development.

14. The terms, conditions and exhibits of this Planned Development ordinance may be

modified administratively by the Commissioner of the Department of Planning and Development

upon the application for such a modification by the Applicant and after a determination by the

Commissioner of the Department of Planning and Development that such a modification is

minor, appropriate and consistent with the nature of the improvements contemplated in this

Planned Development and the purposes underlying the provisions hereof. Any such modification

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of the requirements of this statement by the Commissioner of the Department of Planning shall

be deemed to be a minor change in the Planned Development as contemplated by Section 17-13-

0611 of the Chicago Zoning Ordinance.

15. The applicant acknowledges that it is in the public interest to design, construct and

maintain all buildings in a manner which provides healthier indoor environments, reduces

operating costs and conserves energy and natural resources. At the time of a hearing before the

Chicago Plan Commission all developments must be in substantial compliance with the current

City of Chicago Sustainable Development Policy set forth by the Department of Planning and

Development. The applicant shall use best and reasonable efforts to design, construct and

maintain all buildings located within Subarea E of this Planned Development in an energy

efficient manner, generally consistent with LEED Certification or its equivalent. Applicant shall

provide a green roof to cover at least 25% of the net roof area of a building. "Net roof area" is

defined as a total roof area minus any required perimeter setbacks, roof top structures, and roof-

mounted equipment.

16. The applicant acknowledges that it is in the public interest to design, construct and

maintain the project in a manner which promotes, enables, and maximizes universal access

throughout the property. Plans for all buildings and improvements on the property shall be

reviewed and approved by the Mayor's Office for People with Disabilities ("M.O.P.D.") to

ensure compliance with all applicable laws and regulations related to access for persons with

disabilities and to promote the highest standard of accessibility. No approvals shall be granted

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pursuant to Section 17-13-0610 of the Chicago Zoning Ordinance until the Director of M.O.P.D. has approved detailed construction drawings for each building or improvement.

17. All minimum construction within Subarea E set forth in Statement No. 17 of the 2002 version of Residential Business Planned Development No. 70 has been completed.

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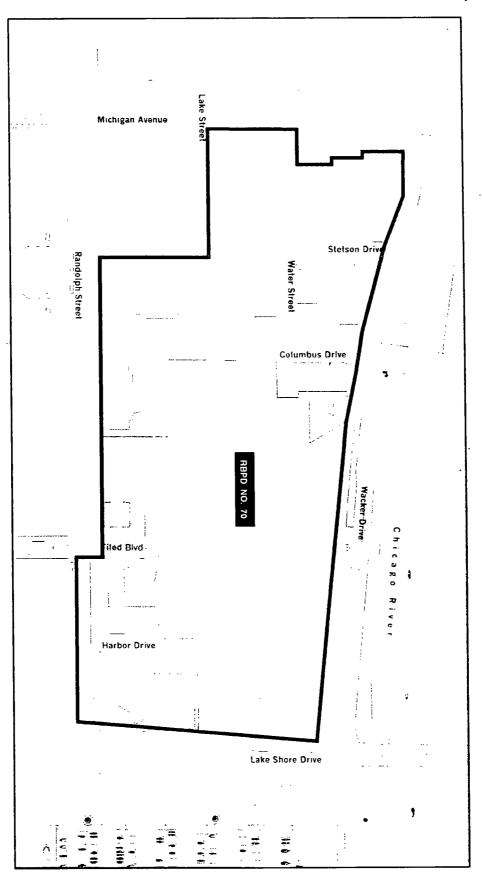
**Introduced:** June 17, 2015

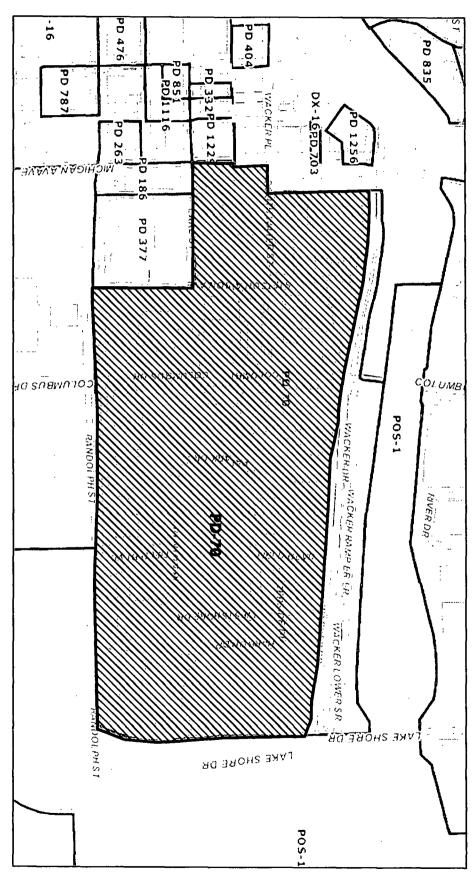
# **Bulk Regulation + Data Table**

# Amended Planned Development Use and Bulk Regulations and Data Residential - Business Planned Development Number 70

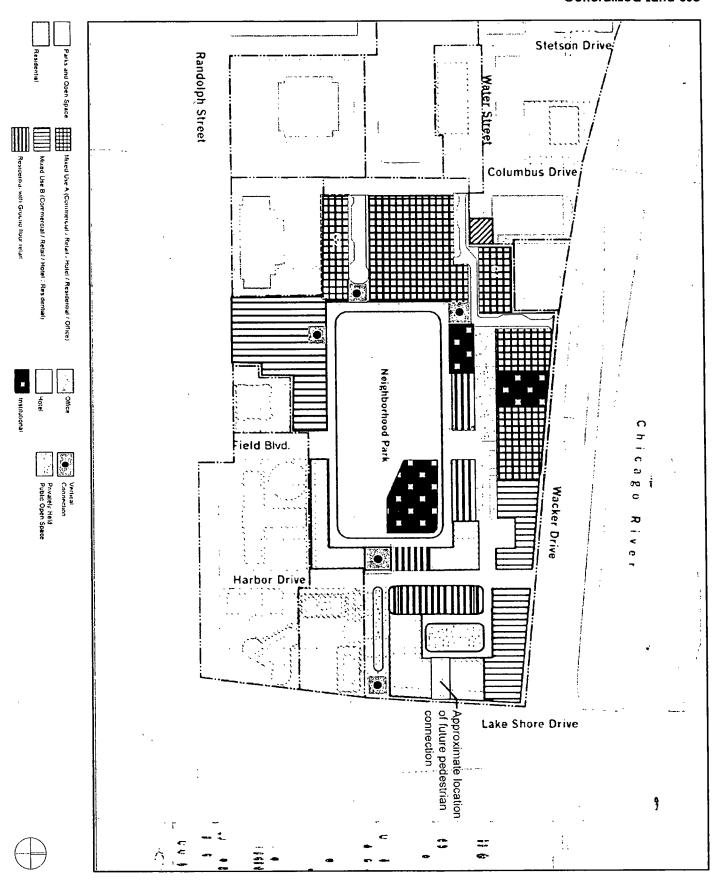
737,157 S87,843 Retail Sales/Service Area													
	Retail Sale	Hotel Rooms (# of Keys)	Hotel Roo	3	Office (s.f.)	Alling Units	Residential Dwelling Units	Max Net	Area	Building Area			
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2 587,843	185,162	1,578	544	1,704,893	417,932	917	4,029	11.52	2,749,315	7,003,685	16 84	846,569	Subtotal
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							<u> </u>				0.78	34,000	Swisshotel
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													Subarea C
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			i			<del> -</del>		i L			1.84	80,085	Two Illinois Center
<u>                                     </u>						<u> </u>  -				 	1.20	52,256	Hyatt West
				- -							1 52	66,104	Subarea A One Illinois Center
Future Allowed	Construction	Future Allowed	Construction	Allowed	Construction	Allowed	Construction	F A.R.	Allowed	Existing/Under Construction	acres	sf	Subarea
Vergi pales/pervice Wied	VEIGH 24	notel Rooms (# of Reys)	אין ויין איני		Office (3 )	elling onits		Max Net	Area	18		Net Development Area (1)	

## **Planned Development Boundaries**

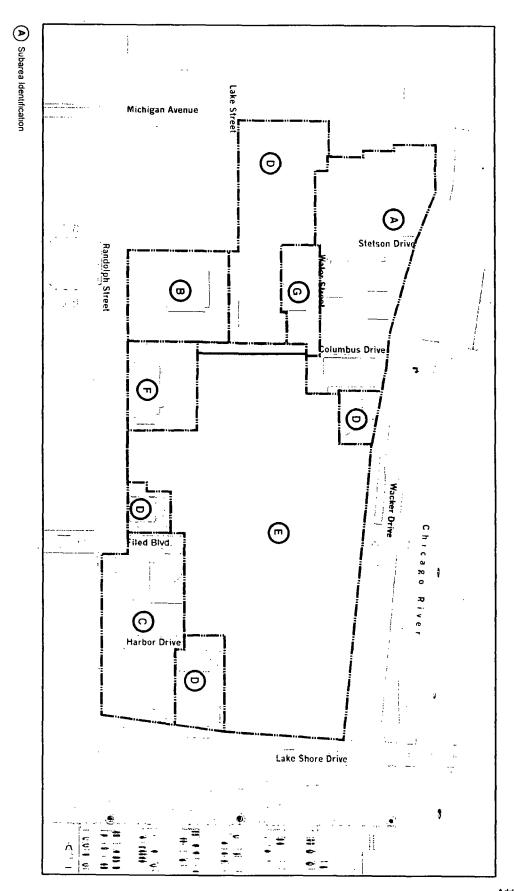




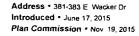
### Generalized Land Use



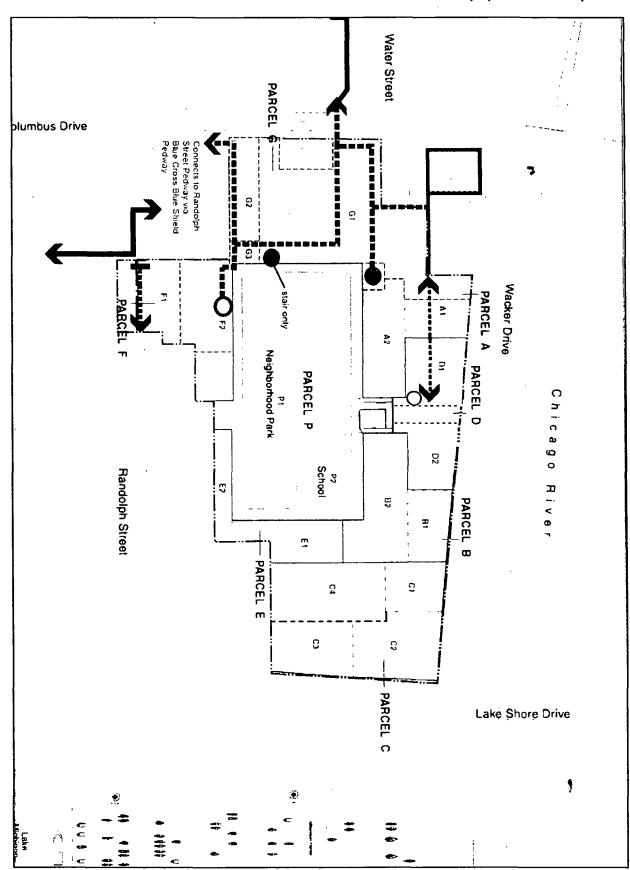
## Subarea Map



Subarea E Net Development Area Randolph Street Columbus Drive Wacker Drive Neighborhood Park ဂ hica Field Blvd: œ School 0 Rive Harbor Drive Approximate location of future pedestrian connection Lake Shore Drive



### Pedestrian Walkway System - Pedway Level



NOTE. This plant is diagrammatic in trature and is interribed to show which parcets / sub-parcets should be connected to the parkway system, not the actual route for the connections.

Polental Connections by Others inot pan of Lakenner Fau or Subarea E)

Enistry

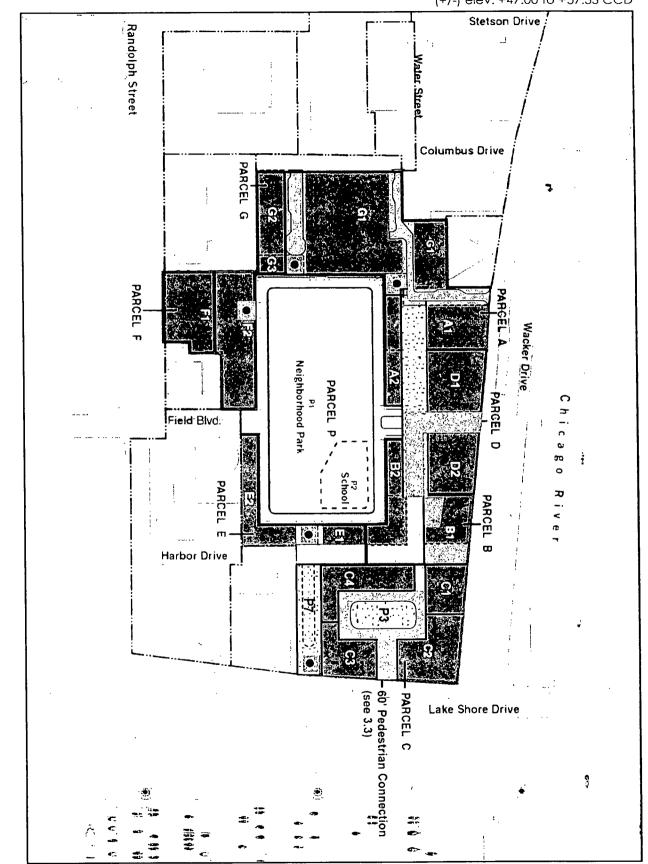
Pedestrian Connection

Vertical Connection
(Sunaren E)

Vertical Connection Within a Building

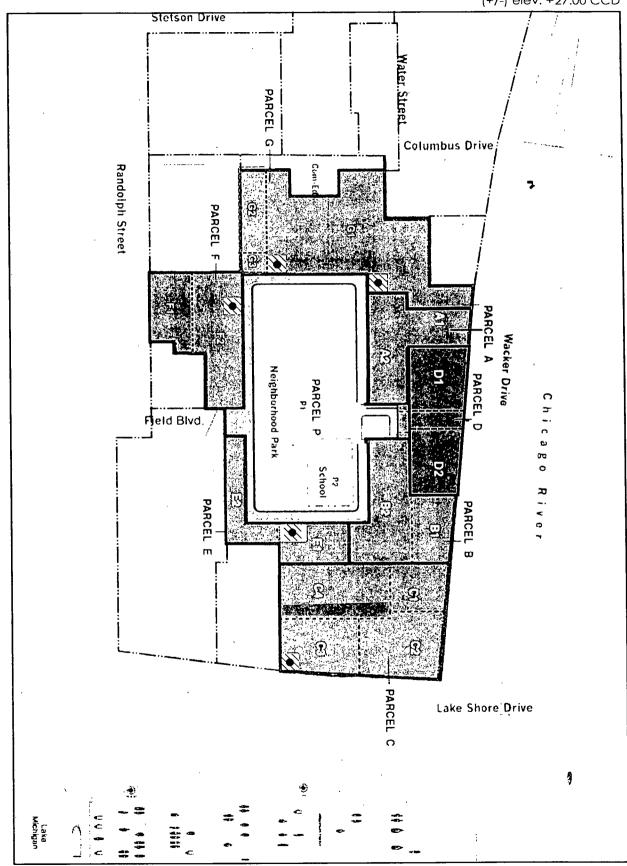
Address • 381-383 E Wacker Dr Introduced • June 17, 2015 Plan Commission • Nov 19, 2015

Subarea E Parcelization Plan - Upper Level (+/-) elev. +47.00 to +57.33 CCD



Address • 381-383 E Wacker Dr Introduced • June 17, 2015 Plan Commission • Nov 19, 2015











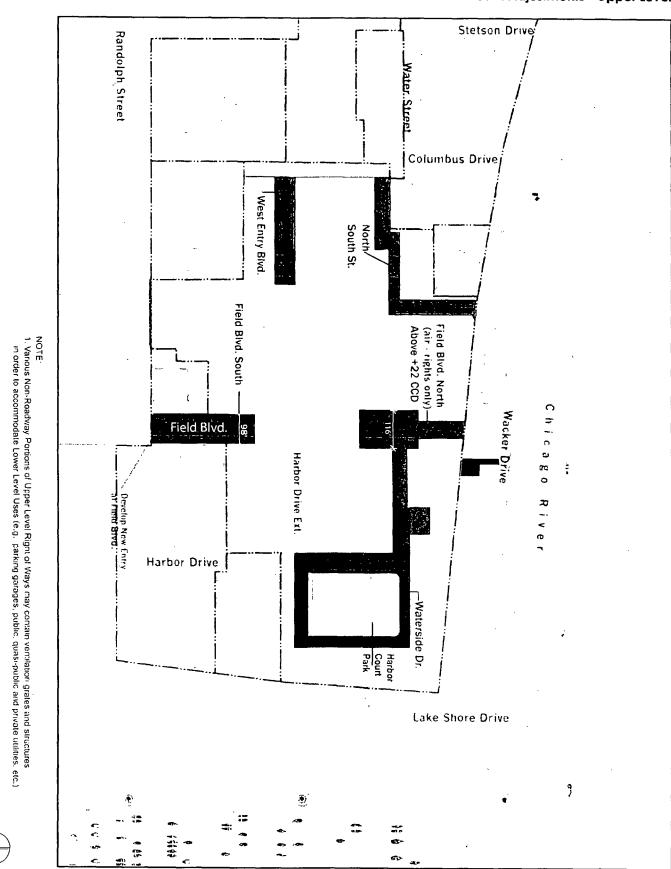




Subarea E Parcelization Plan - Lower Level (+/-) elev. +6.00 CCD Stetson Drive Randolph Street Water Columbus Drive PARCEL Neighborhood Park PARCEL D PARCEL P Field Blvd4 ca 0 PARCEL E PARCEL B Harbor Drive PARCEL Lake Shore Drive

> Address • 381-383 E Wacker Dr Introduced • June 17, 2015 Plan Commission • Nov 19, 2015

R.O.W. Adjustments - Upper Level



Address • 381-383 E Wacker Dr Introduced • June 17, 2015 Plan Commission • Nov 19, 2015

2 These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

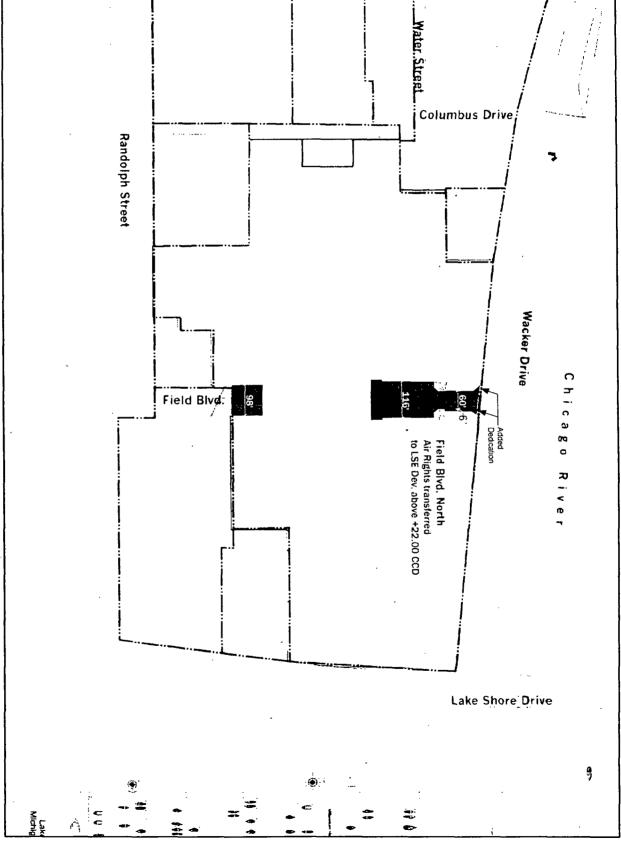
R.O.W. Adjustments - Intermediate Level

R O.W to be Vacated



New R O.V to be Dedicated

Note Refer to Street Cuteria Drawings for new R.O.W. dimensions & criteria



NOTE: These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.



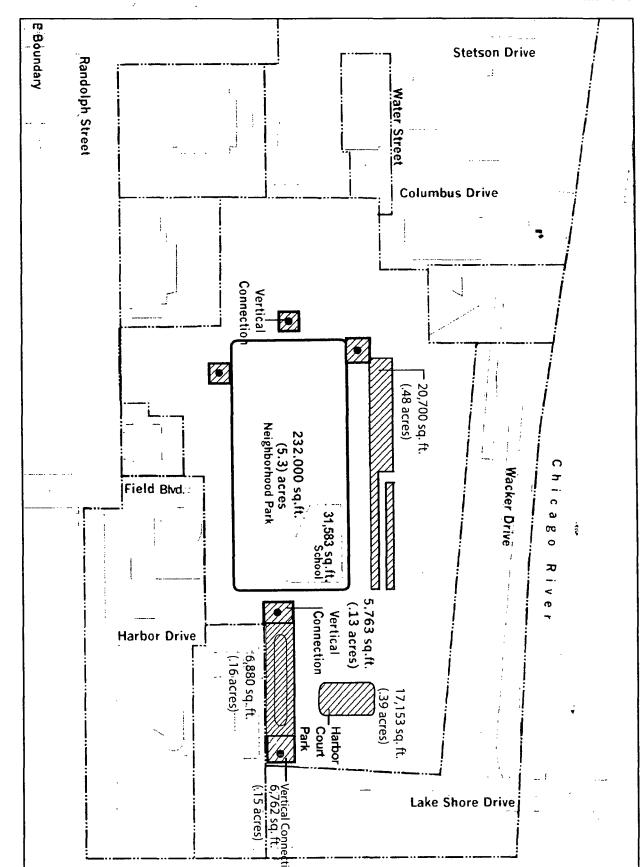
R.O.W. Adjustments - Lower Level

Note Refer to Street Criteria Drawings for new R O.W dimensions & criteria

Stetson Drive Water Street Columbus Drive Randolph Street Park<sub>I</sub>Drive Benton Place Wacker Drive Chicago 316 Field Blvd. North
 Air Rights transferred
 to LSE above +22.00 CCD River -South Water Street -Westshore Drive Harbor Driv Lake Shore Drive é, ē

NOTE These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

**Public Park Zone** 



Public Space not ged-cated

Total School: Land Area:

31,583 sq.ft. .73 acres Public Park to he dedicated

Total Public Park: Land Area:

232,000 sq.ft. 5.3 acres

NOTE: These plans are diagrammatic in nature and they indicate the approximate location and intent of the school local the spaces to be dedicated as public parks and the vertical connections, but not the precise locations.

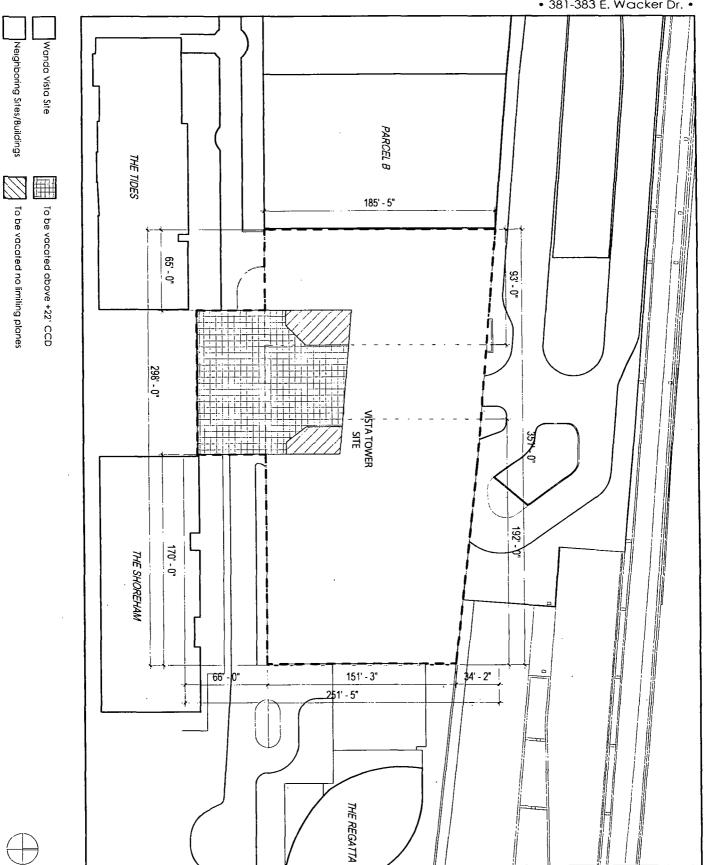
The amount of space dedicated as public park is subject to acceptance by the Chicago Park District

Address • 381-383 E. Wacker Dr Introduced • June 17, 2015 Plan Commission • Nov 19, 2015

Lower Level 05 Boundary Plan • 381-383 E. Wacker Dr. • Neighboring Sites/Buildings Wanda Vista Site PARCEL B THE TIDES 185' - 5" To be vacated no limiting planes To be vacated above +22' CCD 65' - O" R.O.W. 116' - 0" A.O.W. 66' - 0" NEW R.O.W. To be dedicated below +22' CCD 192' - 0" THE SHOREHAM 151' - 3" THE REGATTA

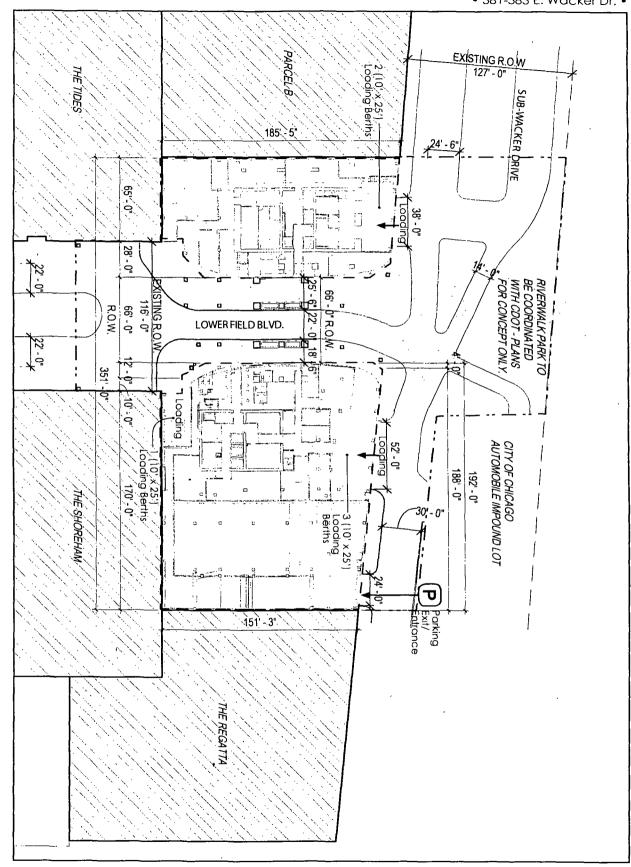
> Address • 381-383 E Wacker Dr Introduced • June 17, 2015 Plan Commission • Nov 19, 2015

Level 01 Boundary Plan • 381-383 E. Wacker Dr. •

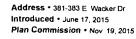


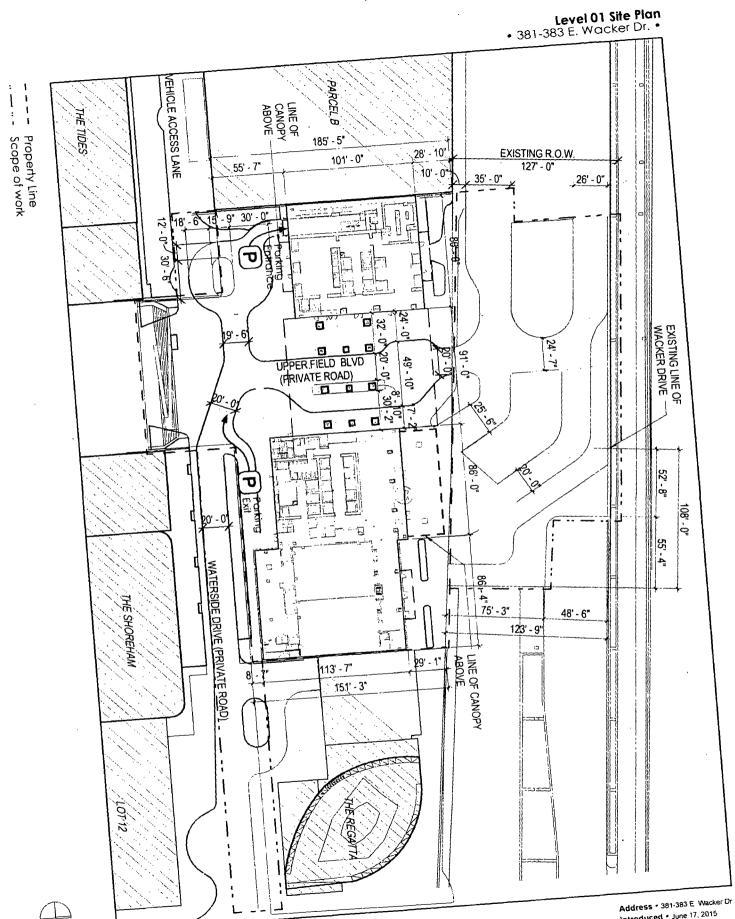
Address • 381-383 E Wacker Dr Introduced • June 17, 2015 Plan Commission • Nov 19, 2015

Lower Level 05 Site Plan • 381-383 E. Wacker Dr. •



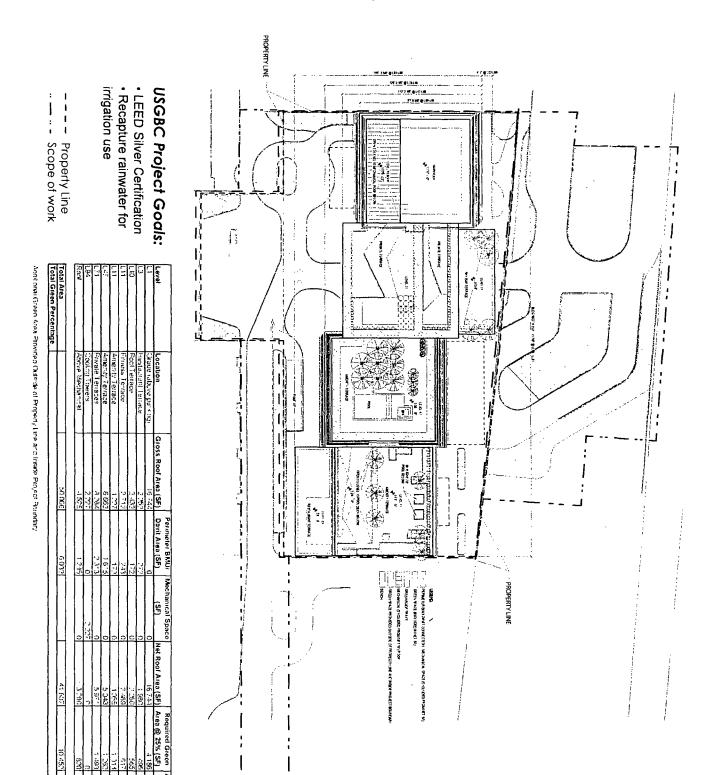
Property Line Scope of work





introduced . June 17, 2015 Plan Commission • Nov 19, 2015

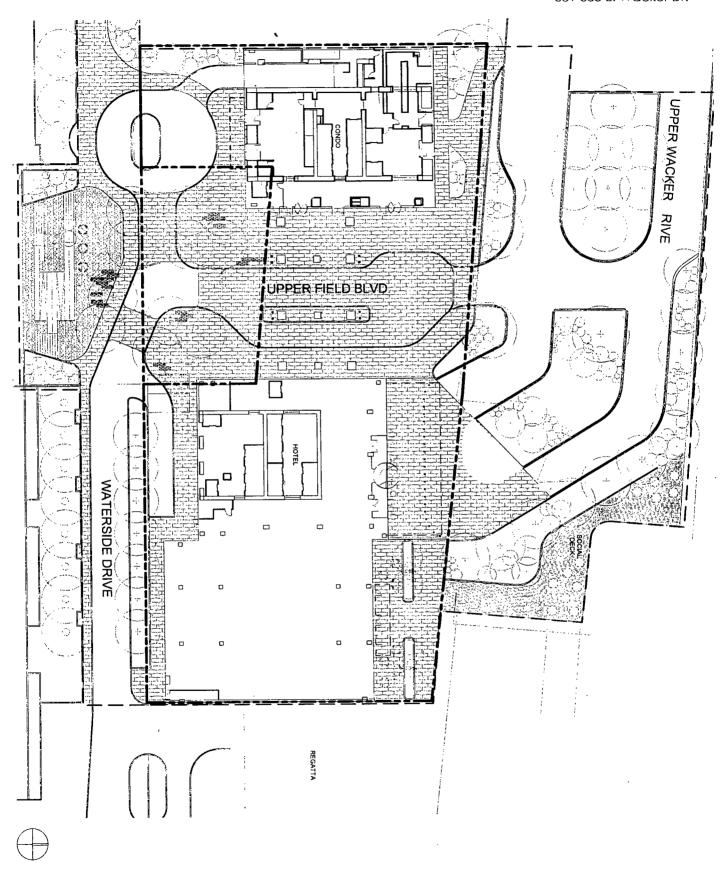
Roof Plan - Green Roof Diagram
• 381-383 E. Wacker Dr. •





22.5

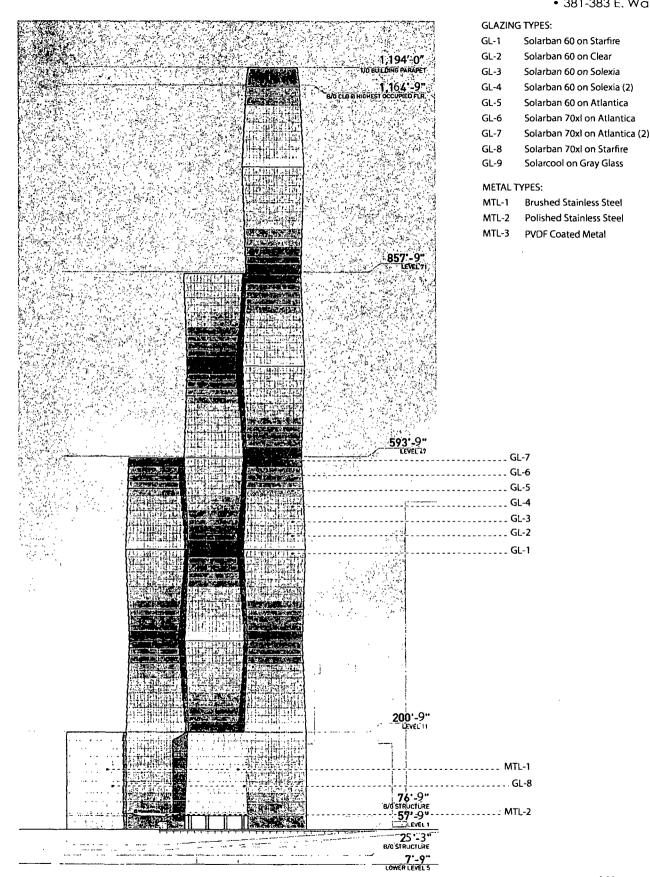
Level 01 Landscape Plan • 381-383 E. Wacker Dr. •



Level 01 Landscape Planting Schedule • 381-383 E. Wacker Dr. •

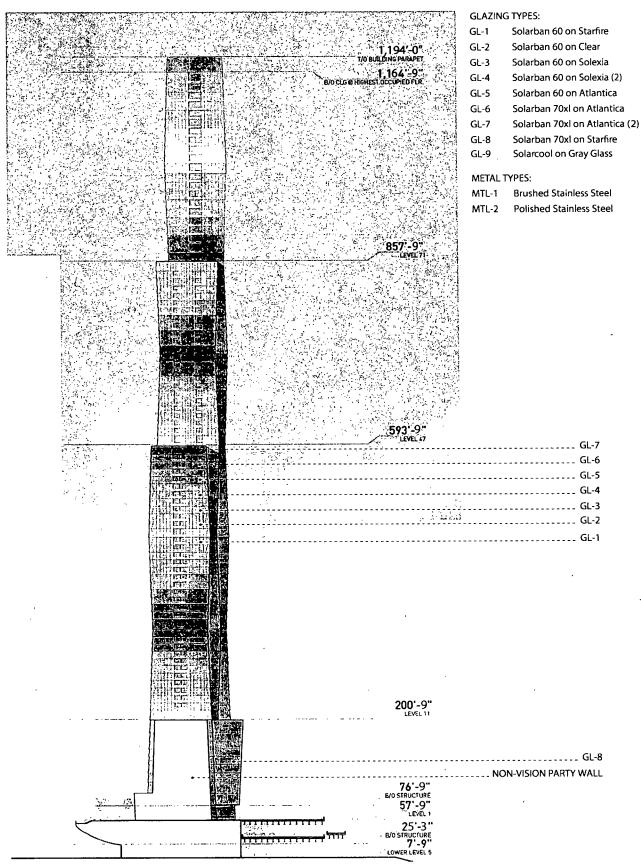
						Ω	ROUNDCO	GROUNDCOVER PLANTING SCHED	SCHEDULE	177		
		 		! :	   	Tota					!	
					SPA	SPA -						
•		Name	Area	SIZE	<b>G</b>	⊋			NOTES F	ES PLANTING		
		Fern Mix 1	2751 SF	2 ·	18 00	1409	50% Оѕтила сплат	nomea (cannamon fern), 50% E	50% Dennstaedtia punct ubula (	ubula (hay scented fern)		:
		Fem Mix 2	7236 SF	ga	18° a c	1145	50% Gymnocerpium diyopteris (oak fern).	é	50% Adiantum pedatum (northern m	thern maident ac fern)		
		Grass Mix 1	2963 SF	1 gal	17 o c	3407	33% Boutelous curtipe	3% Boutelous curtipendula (sigeosts grams), 33% (		follum (northern sea oats), 34% Muhlenberga capillaris (pink muhly grass)	nberge capillaris (pink muh)y	grass)
		Grass M x 2	1246 SF	1 gal	12" o c	1433	25% Boutefour curtipendula (sideoats g Eragrostis spectabilis (purple lovegrass)	15% Boutelous curupendula (sideoats grams), 25% Chasmanthium lat Francosts spectabilis (purple lovegrass)		(northern sea oats) 25% Muhlen	nbergia capiliaris (pink muhly	(grass), 25%
		Grass Mix 3	138 SF	1 02	18'00	8	50% Calamagrosus x a	50% Celamagrostis x acutiflora 'Karl Foerster' (feather reed grass), 501	er reed grass), 50% Parucu	um virgsium Shenandoah (red :	switchgrass)	
		Shade Mix 1	3139 SF	1 gal	24" o c	010	25% Actaea racemosa	5% Actaea racemosa (black conosh), 25% Aruncus doicus (goat s be	doicus (goat s beard), 25	sard), 25% tamprocapnos spectabilis alba (beeding heart), 25% Gentiana ascieptadea	lpa (beeding heart), 25% Ge	entiana asclepiaciea
		Shade Mix 2	1587 SE	<b>E</b>	18" 0 0	813	20% Sanguinana cana	20% Sanguinaria canadensis (bloodroot) 20% Podophyllum pel'atum		mayappie) 20% Asarum canadense (wild ginger), 20% Sedum ternatum (wild	ald ginger), 20% Secum tern	uatum (vald
					i-	-	stonecrop), 20% Trans-	stonecrop), 20% Trarella cordifola (foam:flower)				
		Shade Mix 3	760 SF	108	18 0 0	:389	maculatum (wild geran	ginica (virgina divebalis), 25% Arssena (riphyllum (j eranium)	saema triphyllum (Jack in tr	ack in the pulpt(), 25% Convariationalians (Lify of the Vewey), 25% Geranium	9:5 (Lily of the Vavey), 25% G	Geranium
		Wildflower Mix 1	4347 SF		-	2806						
		Grand total 49	19147 SF			12372						
			Į.	EE AND	SHRU	B PLA	REE AND SHRUB PLANTING SCHEDULE	HEDULE				
nt Scientific Name	Plant Common Name	Name Plant Code Name	ode Na	me Pla	Planting Size	Size	Count	Plant Spacing		Plant Comments	ments	
um .	Red Maple	Ace rub		oi.				g g	B&B, ROOF TERRACE	ACE	i	
hier canadensis	Canadian Serviceberry	Ame can		8-10 H	8-10 H		-	0.0	B&B			
Green Verver	Hancki Falsa Ovrosa	- Bux Gye		2 981	-	<u>į</u>	3.5	a . O			:	
ternifolia	Pagoda Dogwood	Corali		10.12	#	<u>.</u>	2	Q I	2-3 STEMS		11:	
ericea Flaviramea	Yellowing Dogwood	CorFlav		302		63	15	Q	i			
ericea Keiscyr	. Redwig Dogwood	Cor Kel		2 gail		1	<b>a</b>	3 6				
triacanthos inermie	Thornless Honeylocust		1	: 2   Oq		:		0.0	888			
adus o.o.cus	Ozark Watch Hazel	- Sym Oc	-	Į.	-	_		19:0	000			
lis × intermedia Jelena	Jelena Witch Hazel	Hemie		55		:		10.0				
ea paniculata 'Limelight'	Limelight Hydrangea	Hyd pan		3 gai			0	6 · 0				
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### North Building Elevation • 381-383 E. Wacker Dr. •



\*Elevation numbers are taken from Chicago City Datum

### East Building Elevation • 381-383 E. Wacker Dr. •



\*Elevation numbers are taken from Chicago City Datum

### South Building Elevation • 381-383 E. Wacker Dr. •

#### GLAZING TYPES:

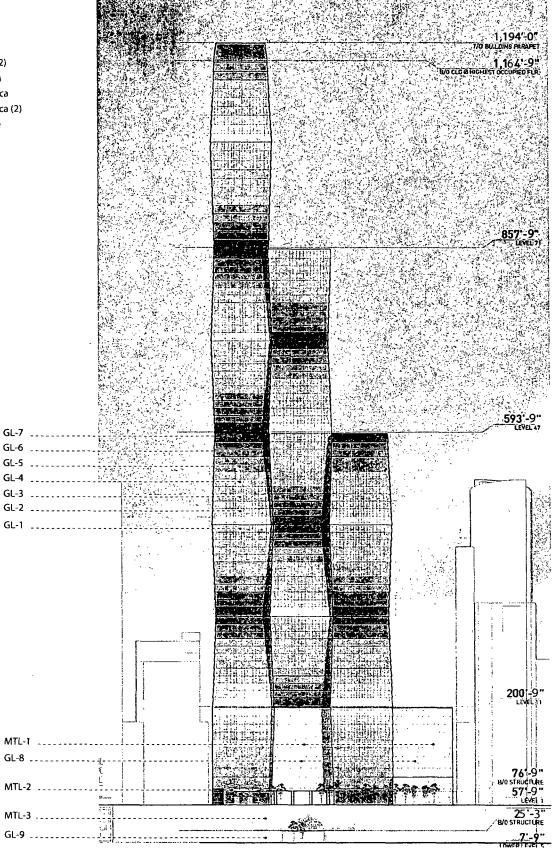


Solarcool on Gray Glass

#### METAL TYPES:

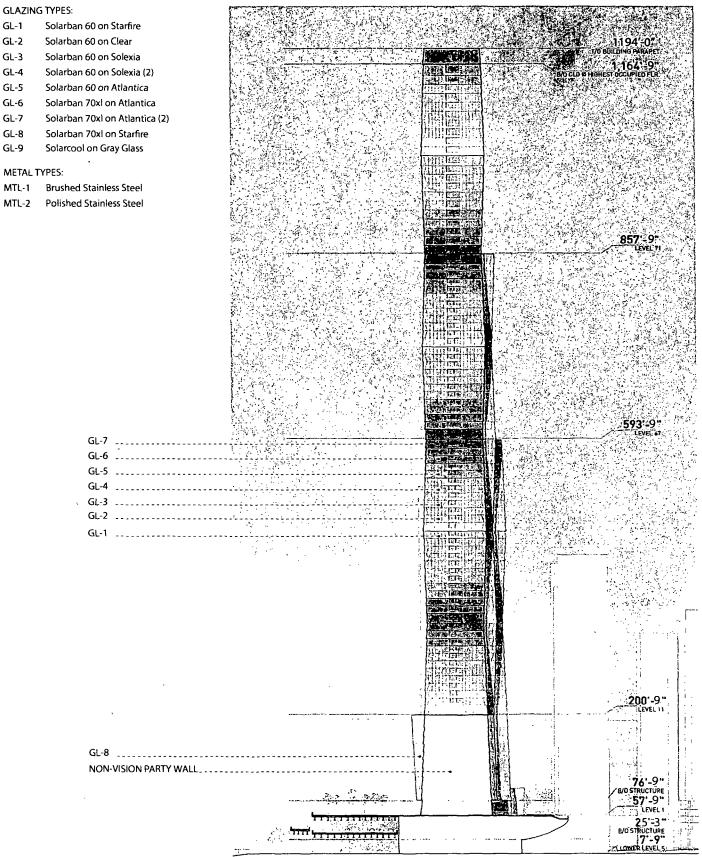
GL-9

MTL-1 Brushed Stainless Steel
MTL-2 Polished Stainless Steel
MTL-3 PVDF Coated Metal



\*Elevation numbers are taken from Chicago City Datum

# **West Building Elevation** 381-383 E. Wacker Dr. •



MASTER PLAN & DESIGN STANDARDS

E S H O R E

Chicago, Illinois

Prepared for Lakeshorë East LLC originally prepared by SKIDMORE, OWINGS & MERRILL, LLP

with revisions prepared by

UPDATED TO INCLUDE MINOR CHANGES AND MODIFICATIONS
BY THE CITY OF CHICAGO DEPARTMENT OF PLANNING AND DEVELOPMENT
TUBOLICH MOVEMBER 19, 2015

Introduction	
History	
Document Intent	

1.0

Existing Zoning Map Planned Development Boundary Sub Area Map

2.0 Urban Design Principles

General Intent / Site Context and Location Urban Framework Building Height and Massing Access and Circulation

3.0 Development Summary

Open Space View Corridors Development Framework

Bulk Regulations and Data Table •

Net Developable Area

Parcelization Plan Upper Level

Parcelization Plan Intermediate Level

Parcelization Plan Lower Level

Generalized Land Use Plan

Existing Utilities Easement

New Utilities Easement

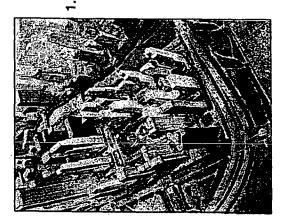
4.0 Access and Circulation
Vehicular Access and Rights-of-Way
Upper Level Plan
Intermediate Level Plan
Lower Level Plan
Automobile Entrance Zones / Parking Access
Upper Level Plan
Intermediate Level Plan
Lower Level Plan
- Pedestrian Access
Upper Level
Pedway Level
Lower Level
Right-of-Way Criteria

15.0 Open Space
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Public Park Zone
The Open Space Framework
The Neighborhood Park Preliminary Conceptual Design
The Neighborhood Park: Park Programming Zones
Preliminary Landscape Guidelines
Parks and Open Space Parcel C
Parks and Open Space Other Parcels

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Introduction
Development Review Process
Controls for Development
Parcel Design Criteria
Highrise Building Design Criteria
Lowrise Building Design Criteria
Building Elements
Building Materials
Environmental Considerations
Maximum Building Envelopes
Illustrative Elevations

Maximum Building Liverspas Illustrative Elevations Parcel Criteria / Maximum Building Envelopes Lakeshore East Master Plan • Chicago, Illinois Lakeshore East LLC

) Introduction



# MASTER PLAN AND DESIGN STANDARDS LAKESHORE EAST

# Introduction

-akeshore East is a new mixed-use community that Avenue on the west, Randolph Street on the south Drive, will inculude residential, hotel, office, mixedbetween Lake Shore Drive on the east, Michigan will be developed on Illinois Center railroad land and Wacker Drive on the north. Lakeshore East. located within Illinois Center east of Columbus use development and a new public park.

Development on the approximately 52 acres of land approved by the City of Chicago since 1969, Illinois subsequently amended in 1979, and then again in regulated by a number of Planned Developments Development No. 70 This Planned Development within these Illinois Center boundaries has been Center is the subject of the existing Planned was onginally approved in 1969. It was

Columbus Drive, there are also a number of existing the Swiss Grand Hotel and Five high rise residential Columbus Drive has now been developed. East of Illinois Center, the Blue Cross-Blue Shield building, buildings. In addition, west of Columbus Drive, the buildings, including: an office building at Three residential Park Millennium is currently under Most of the Illinois Center property west of construction. Lakeshore East will be created on approximately 28 akeshore east wilf be guided by a new amendment acres of land that remain between Columbus Drive and Lake Shore Drive. The development of

Development No. 70, and is currently occupied by a golf course facility and other open space located on the property east of Columbus Drive. Lakeshore to Planned Development No. 70. This area is identified as Sub-Area E within Planned East will be located within this area. The 1993 Amendment to Planned Development No. 1 1 million square feet (28 acres) within Sub-Area E. 70 permitted approximately 14 5 million square feet to be constructed on a net developable area of

development will construct 9.7 million square feet of has been reduced to under 700,000 square feet (14 land dedicated to the public for parks, open spaces buildable area, which is approximately 2/3 of what was previously allowed. The net developable area acres) as a result of a significant increase in the Development No. 70 The Lakeshore East Under the new amendment to Planned and road rights of way.

commercial, retail and mixed-use development and combined public school / park district facility is also neighborhood including residential condominiums, anticipated to be included within the development. public open space including a new public park. A apartments and townhomes, hotel, office, \_akeshore East will create a new urban

Guidelines Standards both illustrates and will guide the organization, scale, form and character of new This Lakeshore East Master Plan and Design buildings, streets and open spaces over time.

FINAL FOR PUBLICATION

Lakeshore East Master Plan • Chicago, Illinois Lakeshore East LLC 1.2

development of Lakeshore East is consistent with the vision and principles laid out in the Lakeshore East This document is intended to ensure that the future Master Plan.

The document is organized into five sections:

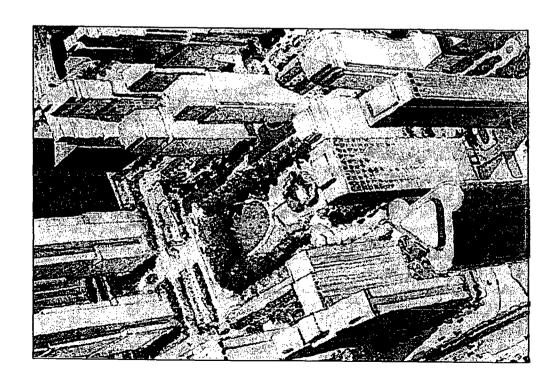
Basic design principles that will guide future Urban Design Principles

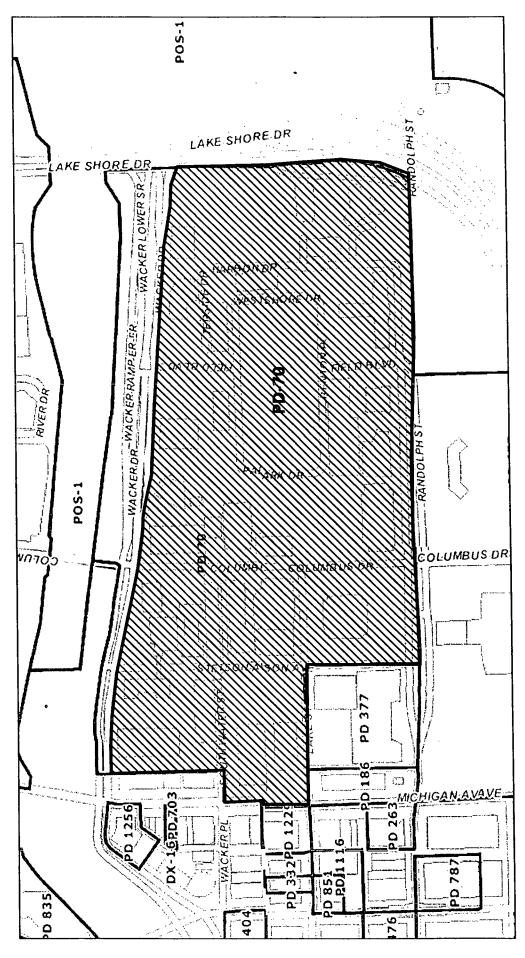
development

The framework for development Access and Circulation

Development Summary

Open Space Parks and courtyards to create a green Connections for cars and people neighborhood Design Standards Guidelines that will shape the character of buildings, and the public realm

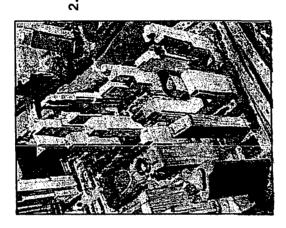




(A) Subarea Identification

# 2.0 Urban Design Principles

General Intent / Site Context and Location
Urban Framework
Building Height and Massing
Access and Circulation
Open Space
View Corridors



# Since Context Since

# Site Context and Location

The Lakeshore East site is one of the largest remaining development parcels in the center of Chicago. Located at the mouth of the Chicago River at Lake Michigan, the site offers tremendous opportunities for new development and open space. The remarkable assets of this site include:

- Proximity to the Loop, the East Loop, the Magnificent Mile, Navy Pier, DuSable Harbor Grant Park, the Lakefront and the Chicago River
- Spectacular views of the city and the waterfront
- Diverse uses on surrounding blocks, including offices, hotels, residential
- Proximity to transit including the METRA, CTA, Rail and the new busway to McCormick Place
- Proximity to Grant Park Parking Garages

To respond to the unique context of the site, a set of urban design principles have been developed that address the following issues:

- The Urban Framework
- Building height and massing
- Access and Circulation

FINAL FOR PUBLICATION

- Open Space
- View Comdors

# General Intent / Site Context and Location

The Master Plan sets forth the basic principles and controls needed to guide the development of the +/-28 acres Lakeshore East development. The Master Plan Standards will guide the development of Lakeshore East as it becomes a vibrant urban district supporting 9.7 million square feet of new residential, hotel, office and mixed use development.

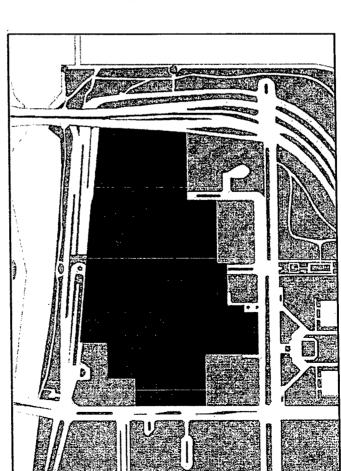
It is also the intent of the Master Plan to enhance the urban fabric and character of Chicago's central area. while also achieving the goals of the City of Chicago and the developer criteria set forth for the Lakeshore East area by a 1993 Planned Development amendment.

The Master plan offers a development framework intended to ensure that as Lakeshore East evolves. It will provide a high quality of life for its residents and neighbors alike. The pattern of development blocks, streets and open spaces will connect Lakeshore East and adjacent developments and amenitus. This framework will also strengthen the areas adjacent to the site, offering access to Lakeshore East's amenities and connections through this new district to other neighborhoods, the Chicago River and the lakefront.

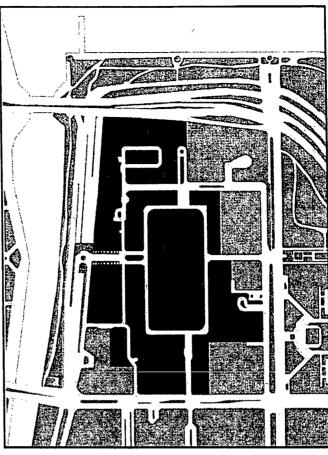
# November 15, 2015

# Urban Framework

- Establish urban blocks which are similar in size to typical downtown Chicago blocks.
- Create urban streets in character with those of a residential neighborhood
- Create addressing opportunities for multiple buildings per block



Subarea E A single, contiguous development site.

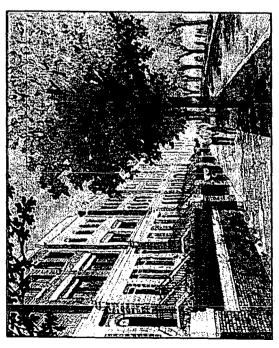


Proposed development plan Establish urban-scaled blocks and extend the tocal street system into the development to avoid the potential perception of a 'super-block'

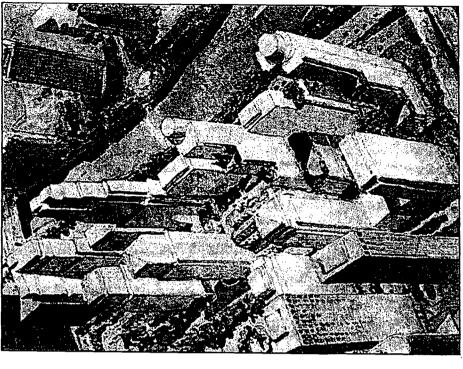
Lakeshore East Master Plan • Chicago, Illinois Lakeshore East LLC 2.2

# **Building Height and Massing**

- Place tall buildings with a sensitivity towards existing residential towers
- Frame the park with low-rise development and townhouses which can screen all existing and future parking podiums
- Place the tallest buildings along Coumbus Drive and at key prominent locations
- Strengthen the character of the urban street wall, or facades, on Wacker Drive along the Chicago River
- "Stagger" high-rise buildings to maximize views throughout the future development



Low-rise townhouses can screen parking podiums from the street



Building massing and height should be sensitive to existing towers, street walls and view corndors

Lakeshore East Master Plan • Chicago, lilinois Lakeshore East LLC 2.3

# Access & Circulation

- Maximize connection to surrounding development
- Create a permeable neighborhood

Weave the different levels together

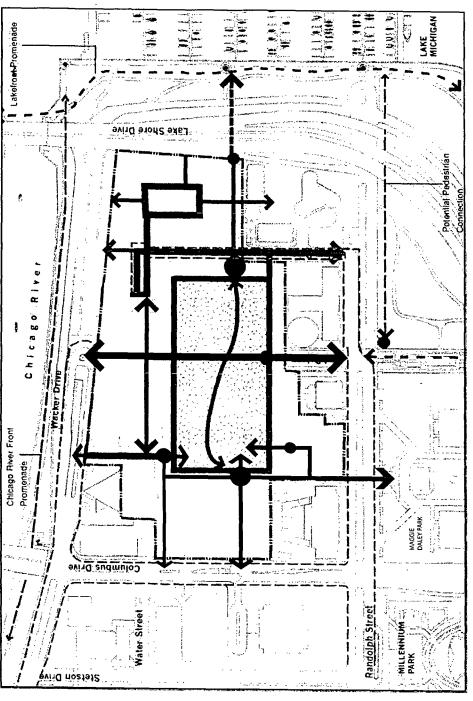
- Strengthen pedestrian connections to the river and lakefront
- Provide convenient parking , drop-off, and service access without negatively impacting the existing streets

# Diagram Legend:

The Diagram to the right represents a hierarchy of connectivity. The large arrows represent the major or primary access corridors of the site. They represent both pedestrian or vehicular traffic depending on their location. The smaller arrows indicate the secondary corridors of access.

The circles represent the major vertical connections of the site which allows the pedestrian to access the different levels of the development.

The dark dotted arrows indicate existing connections along the Riverfront, Lakefront and to Grant Park at the lower level The light dotted arrows identity existing pedestrian movement along the major streets at the upper level



Maximize connectivity to surrounding development and create a permeable neighborhood

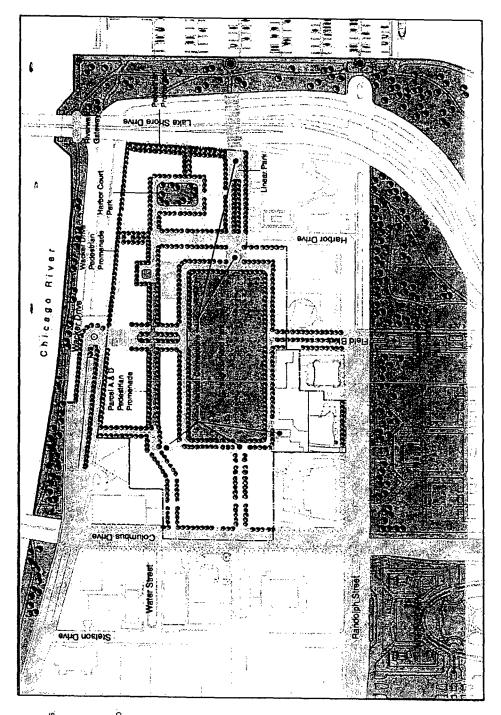
NOTE These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

Lakeshore East Master Plan • Chcago, Mnois Lakeshore East LLC 2.4

# November 19, 2015

# Open Space

- Create a large neighborhood park as the focus of Lakeshore East
- Create smaller courtyards between buildings.
- Connect the open spaces in Lakeshore East to Grant Park, the Chicago Riverwalk and the lakefront park system
- Create well landscaped 'green' streets.



Develop a new public park, smaller courtyards between buildings and create landscaped green streets.

NOTE: These plans are diagrammetic in nature and are only intended to show approximate dimensions and locations.

Lakeshore East Master Plan • Chicago, Illinois Lakeshore East LLC 2.5

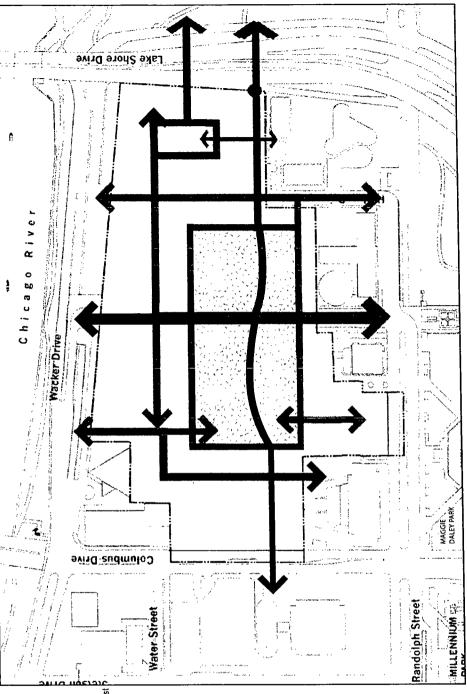
# View Corridors

- Ensure a visually permeable neighborhood
- Create east / west and north / south view corridors
- Maximize views from existing residences
- Place tall buildings at the northern edge of Lakeshore East
- Maximize views to the central park
- Create appropriate building separation to allow for views through the development

# Diagram Legend:

The large arrows and thicker lines represent the major view corridors of the site

The smaller arrows represent the secondary view corridors of the site.



NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

# 3.0 Development Summary

Development Framework

Bulk Regulations and Data Table

Proposed Parcelization Plan - Upper Level Plan

Proposed Parcelization Plan - Intermediate Level

Proposed Parcelization Plan - Lower Level

Generalized Land Use Plan

Utility Easements

Easements



Lakeshore East Master Plan • Cheago, llinois Lakeshore East LLC 3.1

# Introduction

dimensions have been established that will allow for The master plan for Lakeshore East establishes a development parcels are defined by a network of flexibility in accepting a variety of land uses and framework of 7 development parcels. These addressing streets at various levels. Parcel building types.

through a consensus that is based on the following The intent of the master plan is to develop a new, image for Lakeshore East. This may be achieved vibrant urban district that promotes a collective principles.

# Land Use

- New development should be compatible with neighboring uses
- office could locate along Wacker Drive limited by of the site, adjacent to existing office buildings, the location of the upper level roadway of Locate Office uses at the western portion Wacker Drive
- within views of the neighborhood park, Chicago Locate residential uses adjacent to and River, and / or Lake Michigan
  - Provide a future school site within the park

# Parcelization

- Ensure the creation of an urban framework of streets and blocks
- Allow for future development flexibility

# Development Plan

- Locate taller buildings with a sensitivity towards existing view corridors from neighboring buildings
- Frame the neighborhood park with townhomes or low-rise development with taller buildings beyond.

- Maximize on-street parking
- Screen all structured parking from view Develop below grade parking lined with townhomes which

front onto the park

# November 19, 2015

Residential - Business Planned Development Number 70

# Amended Planned Development Use and Bulk Regulations and Data

November 5, 2017		:			_	ation and established	Logitaria	(1) Office (s.f.)	9	Hotel Roc	Hotel Rooms (# of Keys)	Retail Sales	Retail Sales/Service Area
	Net Develops	Net Development Area (1)	Building	Area	Max Net	עבוונומו האכו	51110 8	2 22110	15.41.20	Eviction/Hoder		Existing/Under	
Subarea			Existing/Under	Future	F.A.R	Existing/Under Construction	Allowed	Existing/ Under Construction	Allowed	Construction	Future Allowed	Construction	Future Allowed
		acres	COURTER										
Subarea A												-	
One Illinois Center	66,104	1 52											
Hyatt West	52,256	1 20											
Two Illinois Center	80,085	1.84											
Hyatt East	73,000	1 68											
Columbus Plaza	38,154	0.88											
Three Illinois Center	52,560	1.21										7	
Subtotal	362,159	8.33	4,419,463	•	12.20	552		1,762,906		2,041		133,330	
Subarea B								000				84 000	
AON Building (Amoco)	135,819	3 14	2,698,308		19 72			2,698,308				2,000	
Subarea C													
Outer Drive East	88,463	2 03											
Harbor Point	79,950	1.84										022.00	
Subtotal	168.413	3.87	2,004,225		11.90	1,682	-		'			47,000	
Subarea D													
Buckingbam	34,825	0.80											
175 Harbor Dr	50.249	1 15											
Park Shore	41,672	96 0											
Statistical	34,000	0.78											
Fairmont	43,169												
Athletic Athletic	21.943	0 50											
HE CO	44,091			900,671					900,671				
NT8	63,660	1.46											
BT8	53,778	1.23							1	1 557		221 369	
Subtotal	387,387	8.88	5,707,385	900,671	17.06	1,390		2,699,000	300,00				
Subarea E													
Public School	31,583	0.73		_	1.68		;;	1100714	1 704 903	244	1.578	185.162	587,843
Private Development	814,986	16.11	7,003,685		11.90	4,029	716	766'/14	4				587.843
Subtotal	846,569	16.84	7,003,685	2,749,315	11.52	4,029	917	417,932	1,704,893	244			
Subarea F								4 013 010					
8CBS	100,905	2 3 2	1,813,819		17.98			610,610,1					
Subarea G					10.00	UBV						50,000	
Park Millennium	40,641			4	14.34	201 0	7,10	9 291 965	2 605 564	3.922	1,578	3 737,157	587,843
SUBTOTAL	2,042,893	4431*	24,229,788	3,649,986	11.86	0,155	7,7,6	200170010	_		ı		
			Building	g Area	Max Net	Residential Dwelling Units	ling Units	Office (s.f.)	(·)	Hotel Ro	Hotel Rooms (# of Keys)	Retail Sales	Retail Sales/Service Area
											000		1 375 000 1

ORDINANCE MAXIMUMS (existing and future)

<sup>(1)</sup> Net developable areas for individual parcel omponents (A, B, C, or D) are taken from original survey as prepared by Dale Weaver (2) Areas outside of Subarea E provied by the City of Chicago & I E Consultants, Surveyors 13) Future Allowed figures are subject to potential modifications/transfers provided for in PD 70

\* private developable area include public spaces not dedicated

8.0 W.

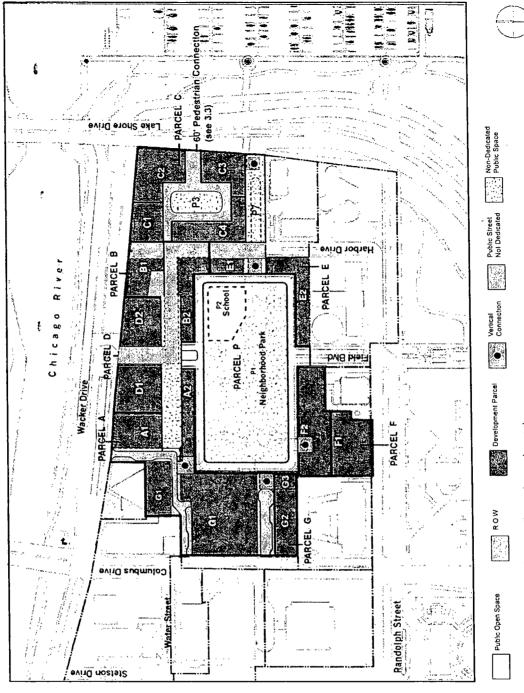
Public Open Space

# Subarea E Parcelization Plan - Upper Level

Adjustments to the final location of parcel boundaries may occur as specific building designs are submitted for further review by the city.

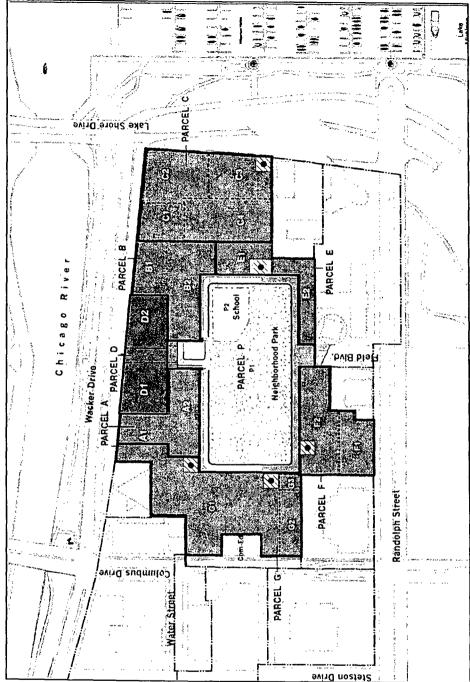
Sub-parcels may be combined into single parcels, or new sub-parcels may be created, however, the maximum building envelopes must be respected.

All parcel or sub-parcel modifications are subject to administrative review and approval by the Department of Planning and Development.



NOTE These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

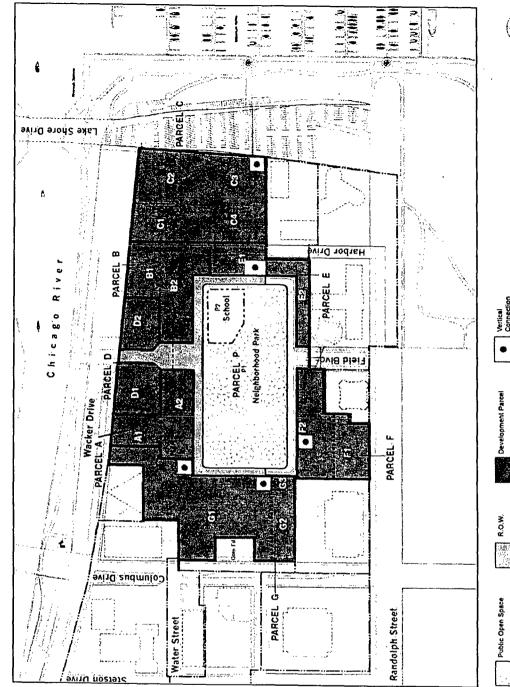
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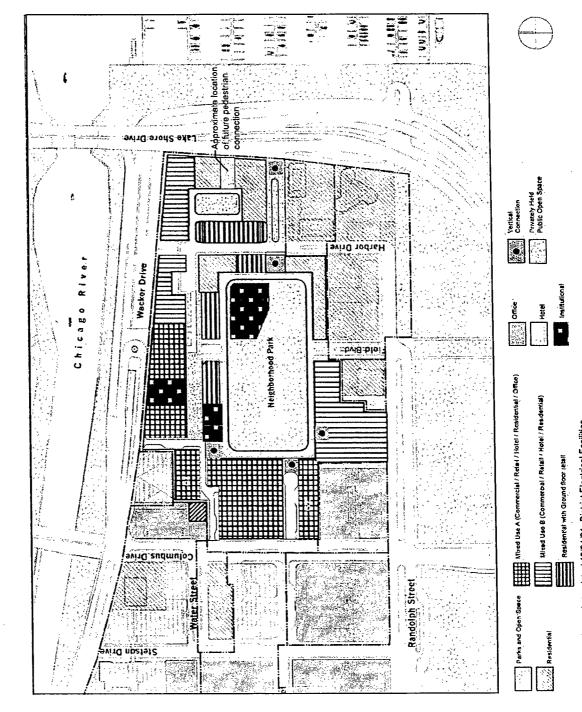
NOTE These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

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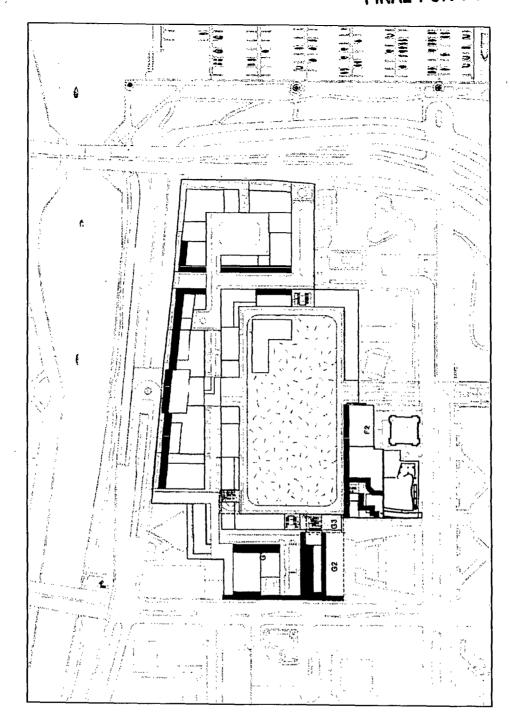
• per minor change letter dated 10/24/01, District Electrical Facilities will also be considered as an allowable use of developable land.

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NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

# Proposed Retail Frontage - Upper Level

- should maximize retail uses at the ground floor addressing the street or pedestrian Non-residential or mixed-use buildings access.
- For residential buildings, neighborhood. serving retail at the ground floor is strongly encouraged.
- For buildings along Upper Wacker Drive and Harbor Drive, neighborhood serving retail at the ground floor is strongly encouraged.





Suggested Retail Locations

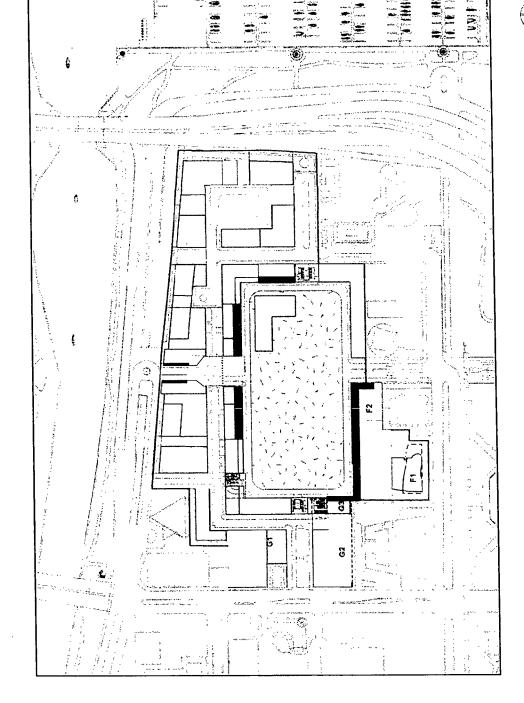
Note: Those plans are diagrammatic in nature and are only intended to show suggested, not required, retail locations.

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November 19, 2015

For the taller buildings along the Park Drive, neighborhood serving retail at the ground floor is strongly encouraged.

Proposed Retail Frontage - Lower Level





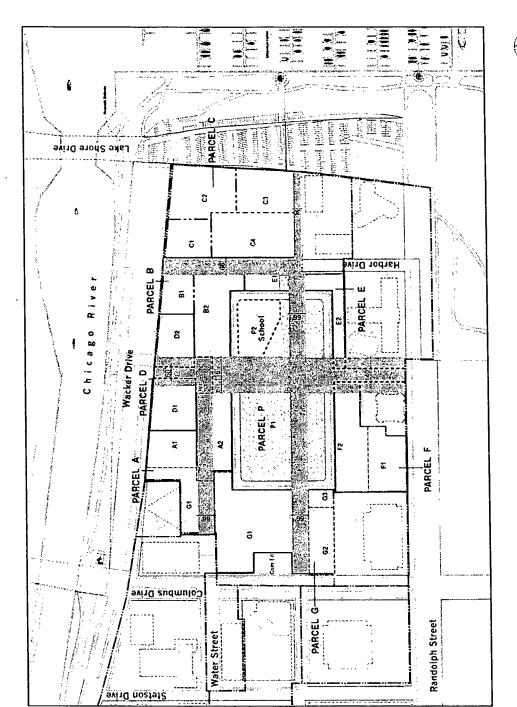
Note: These plans are diagrammatic in nature and are only intended to show suggested, not required, retail locations:

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# November 19, 2015

# Existing Utility Easements

Some existing utilities and utility easements will be required to be relocated and / or vacated subject to administrative review and approval of the Department of Planning and Development.



Unity Easements

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PARCEL F

Randolph Street

¥

Columbus Drive

ë

Water Street

PARCEL G

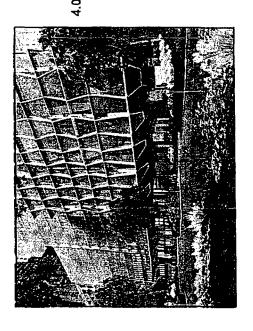
PARCEL A

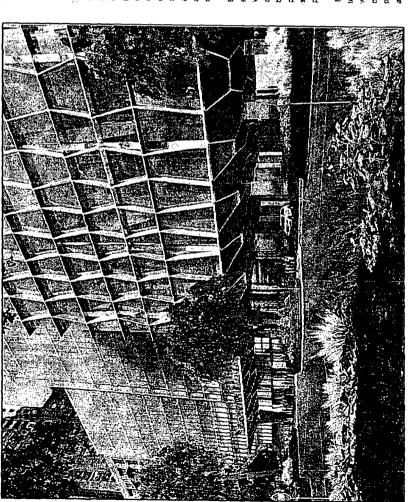
Stetson Drive

4

# 4.0 Access and Circulation

Vehicular Access and Rights-of-Way Automobile Entrance Zonas / Parking Access Pecestrian Access Right of Way Cittena





### Introduction

Gradous sidewalk widths, ground level activities energetic street environment. Pedestnan safety and distinctive landscape treatments will create pedestrian paths, while ensuring an active and types address the complexities of the site and pedestrian character of the development. The framework of streets and sidewalks has been character for this neighborhood. These street and easy access between the different levels designed to provide separate vehicular and must also be ensured throughout the plan. the different levels, while emphasizing the The family of streets identified within this master plan establishes an identity and a strong pedestrian environment for the neighborhood.

Each of the streets has been designed to serve equally as an open space amenity and a vehicular travel zone. The local streets lead to and this the new public park to each major block. This concept is strengthened by the provision for intenor courtyards and other public spaces that directly link to the man pedestrian

the following street types and sections respond to the anticipated fevels of vehicular traffic within the neighborhood. They also establish a connected network of intimately-scaled, pedestrian oriented streets. These guidelines address the following principles:

#### Sign

- Create well-scaled, tree-lined streets which strangthen the pedestrian environment
  - Extend Harbor Dave north in order to complete the local residential street system
     Ramp Field Drive down from upper Rendolph
    - Street and connect it to a new Park Drive ringing the park at the natural grade level.

      Provide a new internal street at the upper level to provide multiple access and address points for the western parcels:

### Parking Access

- Provide convenient access from the upper intermediate, and lower levels
  - Locate parking access mainly within inferior courts or side streets

### Service Access

Locate service access at the tower level
 Utilize utility easements for service access

### Pedestrian Access

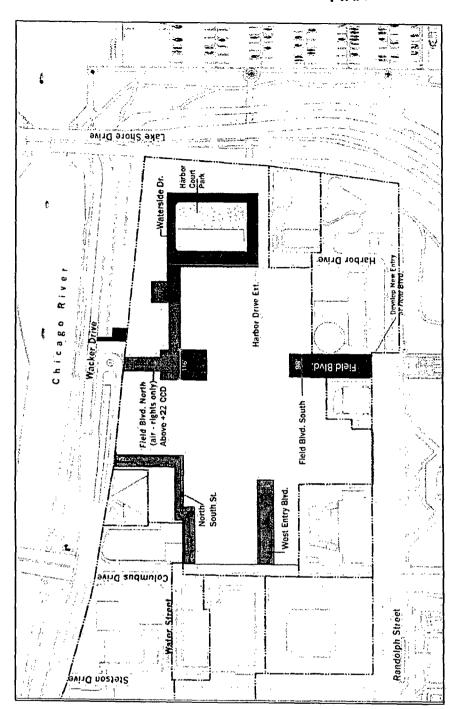
- Provide clear bedestrian links to the Chicago River and Lake Michigan
- Provide convenient access between the upper and lower levels
  - Provide handicap access throughout the development
- Extend and connect the Pedway to the park

# Emergency Access Easements

 Energency Access easements will meet all Chicago Fire Department requirements

# R.O.W. Adjustments - Upper Level

- The upper level is intended to serve local neighborhood traffic only
- connection to Upper Randolph via a sloped Access to the Park Drive occurs from a Field Boulevard.



Now R.O.W. to be Dedicated

Extering Dedicated R.O.W. to Remain

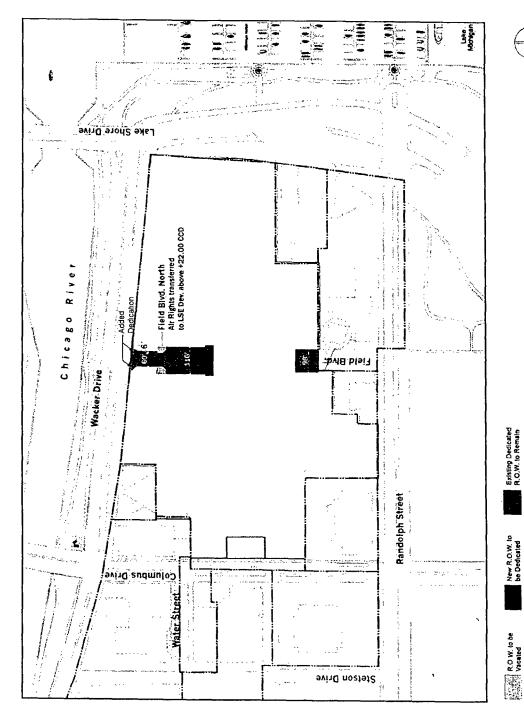
Note; Refer to Street Criterie Drawings for new R.O.W. dimensions & criteria

in order to accommodate Lower Level Uses (e.g., parking garages, public, quasi-public and private utilities, etc.) 1. Various Non-Roadway Portions of Upper Level Right of Ways may contain ventillation grates and structures

These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

# R.O.W. Adjustments - Intermediate Level

Drive or Randolph St. are proposed at this No new roadway connections to Wacker level, in order to minimize thru-traffic.



Existing Dedicated R.O.W. to Remain New R.O.W. to be Dedicated

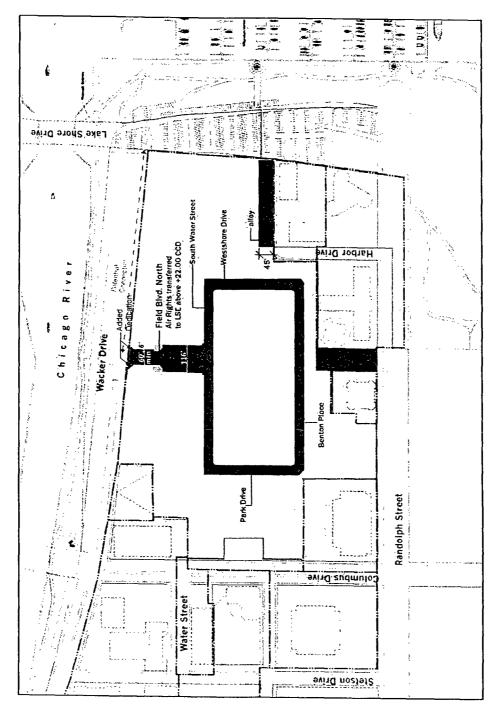
Note: Refer to Street Criteria Drawings for new R.O.W dimensions & criteria

NOTE: These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

R.O.W. Adjustments - Lower Level

neighborhood park with one way through traffic at the lower level The Park Drive will ring the

A connection to Lower Wacker Drive second means of access / egress to via Field Drive North will allow a the fower level



Note, Refer to Street Criteria Drawings for new R.O.W. dimensions & criteria

Existing Dedicated R O W, to Remain

New R.O.W. to be Dedicated

ROW to be

NOTE: These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

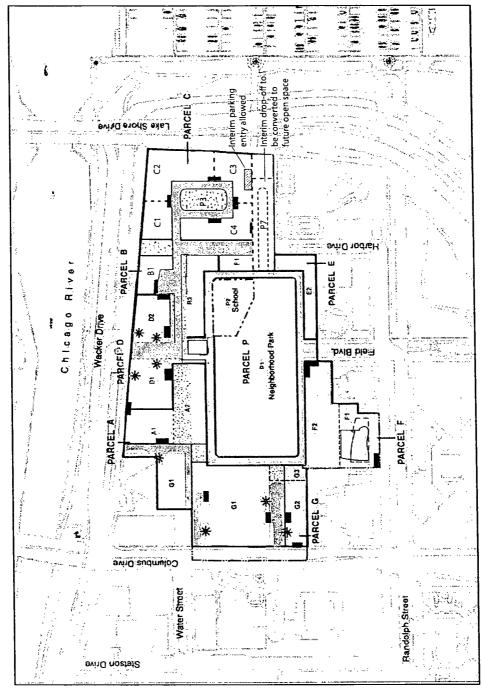
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# Automobile Drop-Off Zones

- Automobile Drop-off zones at, building entries should be conveniently located, yet discreet.
- Curb-side drop-off zones are preferred.
   Large yehicular turn-around interupting pedestrian sidewalks should be avoided.

### Parking Entries

- Entries to parking garages should be incorporated & injegrated injoi the facade of a building.
- Large uncovered ramps within the sidewalk zone or open spaces should be avoided.
- Where parking access traverses a podostrion access, the priving meterial should be consistent withe adjacent pedestrian sidewalk paving.



Allowed automobile entrance zones

Drop Off Zones

Privately Held Public Open Space

NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required automobile entrance zones.

Automobile Entrance Zones - Intermediate Level

NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required automobile entrance zones.

November 19, 2015

The lower level is where all service activity will take place. Both access to and from

Service Vehicular Access

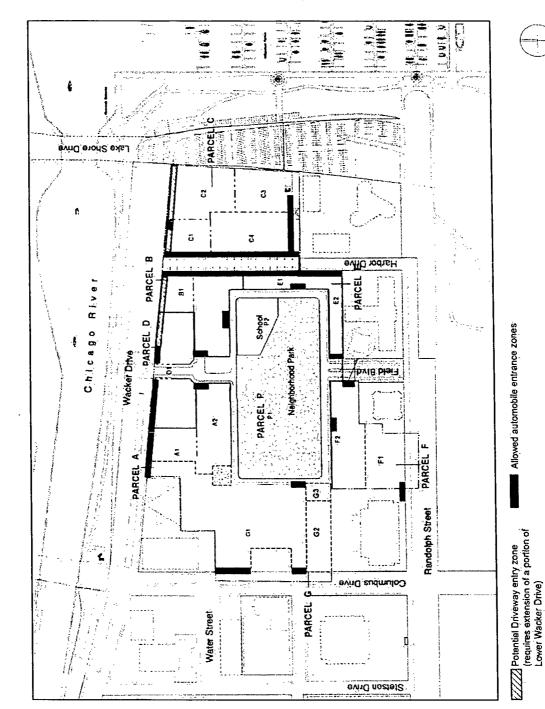
Automobile Entrance Zones -Lower Level

Service access can feed off the existing

utility easements at this level.

the site will take place on this level.

#### FINAL FOR PUBLICATION



NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required automobile entrance zones.

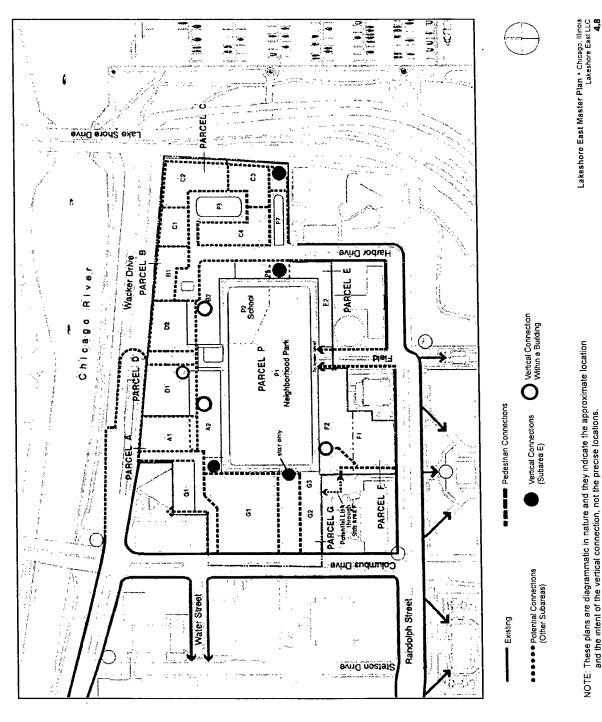
# Pedestrian Walkway System -

## Connections to Grant Park

connections will adhere to the guidalines of Ordinance, and also those provided by the Bicentennial Garage; Cancer Survivor's. Pedestrian Connections will exist on the upper level connecting to existing Grant Park, and Millennium Gardens. These both The City of Chicago Landscape Park Features such as the Daley Chicago Park District.

### Vertical Connections

- Vertical connections will also be provided. and will be handicap accessible.
- connections, as long as public access is maintained to the elevators and/or stairs. integrated in and around the vertical Private developable spaces may be ٠

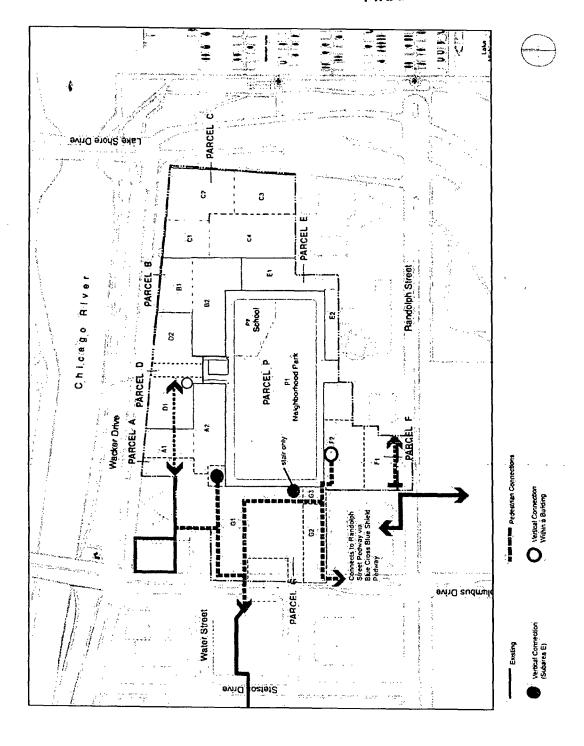


NOTE: These plans are diagrammatic in nature and they indicate the approximate location and the intent of the vertical connection, not the precise locations.

# Connections to existing pedway system

Within Subarea E, connections to the existing pedvay system will be provided to sub-parcels A1, D1, F1, G1 and G2; as shown on the adjacent diagram: in general, the new connections maintain or exceed minimum requirements, and provide a minimum / maximum of 8:25; walkway

Within other subarea, potential connections could feed into these proposed for Subarea E.



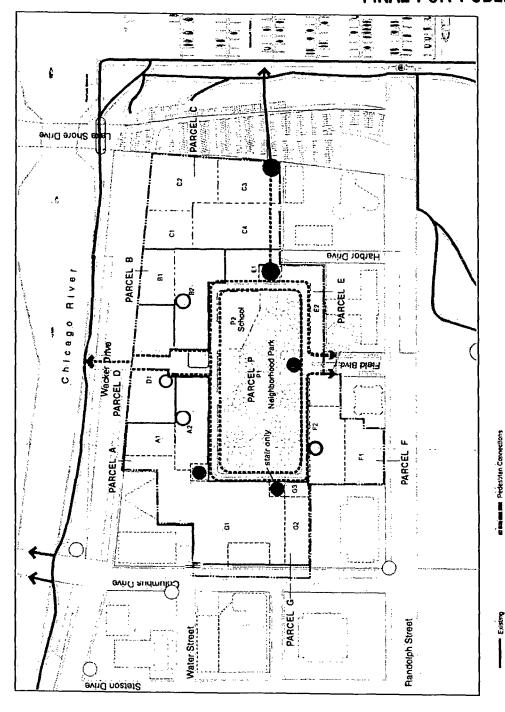
NOTE: This plan is diagrammatic in nature and is intended to show which parcels / sub-parcels should be connected to the parkway system, not the actual route for the connections.

# Pedestrian Walkway System √Lower Level

# Connections to the River and Lakefront

- coordinated with The Chicago Park District. Pedestrian Connections will be provided to both the takefront and river at the lower level. These connections will be
- The character of the connections to the river provided. Adequate lighting levels for safety and lakefront should be pedestrian friendly Omamental pedestrian fixtures should be should also be provided. A safe marked zone for pedestrians should be created.
- Parking and sound should be screened from view of these pedestrian corridors to the greatest extent possible

existing Riverwalk Gateway at the southwest Lake and River should provide openings to underground pedestrian connection to the active spaces when possible or be well-lit potentially public art displays such as the with articulated architectural details and Long large walls at the proposed corner of the River and the Lake.



NOTE: This plan is diagrammatic in nature and is intended to show which parcels I sub-parcels should be connected to the parkway system, not the actual route for the connections. Potential Connections by Others
(not part of Lakeshare East
 Potential

O

Veilbul Contraction (Subarea E)

VERTICAL CONNECTIONS: Private Developable spaces may be integrated in and around the vertical connections, as long as public access is maintained to the elevators and/or stairs.

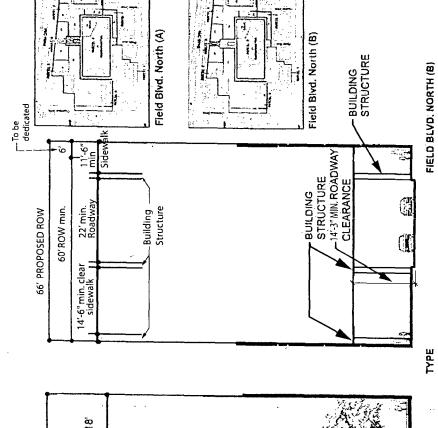
60' MIN. (66' PROPOSED) 22' ONE-WAY EACH 9IDE

R.O.W. WIDTH
EAGE OF CURB. TO FACE OF CURB.
TRAFFIC LANES:
TRAFFIC LANES WIDTH

FIELD BLVD. NORTH (A)

DESIGN BPEED
PARKWAY WIDTH
MEDIAN WIDTH
SIDEWALK WIDTH
CURB RADIUS

20 MPH N/A N/A 11'-6" MIN.



	18,		
	22' 1		
116' R.O.W.	36'	,	
	22.		
	18,		

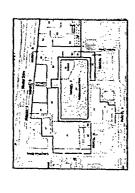
Riverwalk,

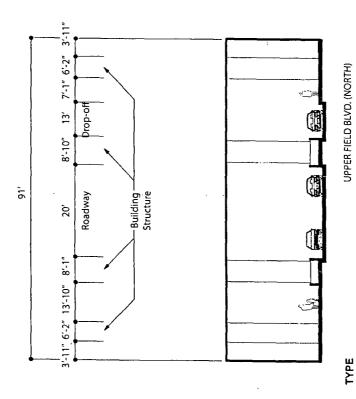
R.O.W. WIDTH FACE OF CURB T TRAFFIC LANES TRAFFIC LANES PARKING LANE PREKING LANE PREKING LANE PREKING LANE PREKING LANE PREKING LANE SIGEWALK WIOTH CURB RADIUS SIREET TREE SP	.a.	FACE OF CURB TO FACE OF CURB	NES ONE WAY / ONE WAY	RAFFIC LANES WIDTH	NES NO PARKING	ARKING LANE WIDTH	ED 20 MPH	ARKWAY WIDTH	DEWALK WIDTH	30,	TREET TREE SPACING (MIN)
---	-----	------------------------------	-----------------------	--------------------	----------------	-------------------	-----------	--------------	--------------	-----	--------------------------

FIELD BLVD. NORTH

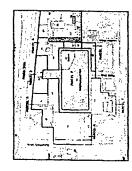
streetscape to include canopy Field Blvd. North serves as a boulevard oriented north and Field Blvd. shall be a divided development from the north. ordinance). These walks will serve as the primary link for and from the Neighborhood Park Drive, it will feature a consistent and attractive major entry into the new pedestrian walk (per City south, connecting to the frees, street lighting and pedestrians walking to Park and the Chicago

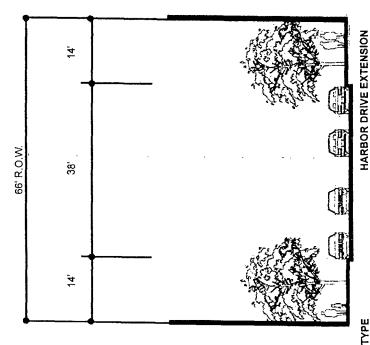
Right-of-Way Criteria Upper Field Blvd. North (Private Street)





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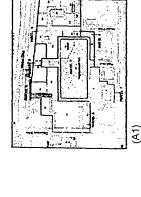
	HAKD
R.O.W. WIDTH	-

99	38'	TWO-WAY	11'/11'	BOTH SIDES	.8	. 20 MPH	14'	15'	00130
K.U.W. WILLIA	FACE OF CURB TO FACE OF CURB	TRAFFIC LANES	TRAFFIC LANES WIDTH	PARKING LANES	PARKING LANE WIDTH	DESIGN SPEED	SIDEWALK WIDTH	CURB RADIUS	STREET TREE COACING / MINI

# HARBOR DRIVE EXTENSION

shall continue existing Harbor Upper Wacker Drive at a later provided along Wacker Drive The Harbor Drive Extension Street at the south to access interim green space shall be auto courts on the north. An Drive north, from Randolph Harbor Drive to connect to allows the opportunity for (at the upper level). This

shall feature a consistent and ordinance). Canopy trees as The Harbor Drive Extension well as other plant material include, street lighting and pedestrian walks (per City attractive streetscape to greatest extent possible shall be provided to the



# A1 PROPERTY LINE ---

### 4 ດົ 1'-6" CARRIAGE WALK WI 6" CURB 22'-6" -PLANTER -09 PROPERTY LINE 23'-6" 16'-6"

# COLUMBUS WACKER THRU DRIVE PLANTING MEDIAN NORTH-SOUTH STREET (A1)

Refer to Sidewalk Design Criteria 4.20 "Sidewalk on structure with low planters"

NOTE: These plans are diagrammatic in nature and are only intended to show approximate focations and dimensions.

; 60,	22'-6"	TWO - WAY	11'/11' MIN.	N/A	NIA	. 20 MPH	AS SHOWN ABOVE	15'	, 25' 0.C.	
R.O.W. WIDTH	FACE OF CURB TO FACE OF CURB	TRAFFIC LANES	TRAFFIC LANES WIDTH	PARKING LANES	PARKING LANE WIDTH	DESIGN SPEED	SIDEWALK WIDTH	CURB RADIUS	STREET TREE SPACING (MIN)	

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# NORTH/SOUTH STREET

as additional internal access for The North/South Street serves attractive streetscape including provided to the greatest extent development. It will feature an Canopy Trees as well as other street lighting and pedestrian walks (per City ordinance). northwestern edge of the new development on the plant material should be possible.

diagrams, the 60' R.O.W. remains (paved areas vs. sidewalk areas) consistent throughout its length, As described by the following however, the street section varies within the 60' width.

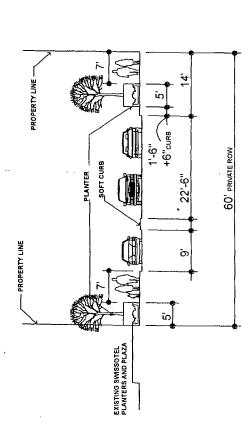
Either (C) or (B) may be used as For this particular (C) section of the North/South St. Section. alternatives for this section.

# NORTH/SOUTH STREET

as additional internal access for The North/South Street serves attractive streetscape including provided to the greatest extent development. It will feature an Canopy Trees as well as other street lighting and pedestrian walks (per C ty ordinance). northwestern edge of the new development on the plant material should be possible

diagrams, the 60' R.O.W. remains (paved areas vs. sidewalk areas) consistent throughout its length, As described by the following however, the street section varies within the 60' width

Either (C) or (B) may be used as For this particular (C) section of the North/South St Section. alternatives for this section.



# COLUMBUS WACKER THRU DRIVE PLANTING MEDIAN NORTH-SOUTH STREET (A2)

Refer to Sidewalk Design Criteria 4 20 "Sidewalk on structure with raised planters"

NOTE These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

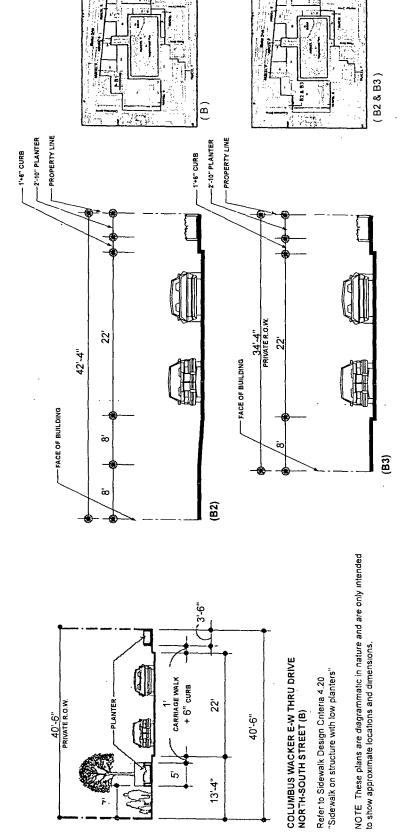
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R,O,W. WIDTH	.09
FACE OF CURB TO FACE OF CURB	22'-6"
TRAFFICLANES	TWO - WAY
FRAFFIC LANES WIDTH	11'! 11' MIN.
DROP OFF LANES	
DROP OFF LANE WIDTH	.6
JESIGN SPEED	20 MPH
IDEWALK WIDTH	7' MIN. (INCLUDING 6" CURB)
CURB RADIUS	15'
STREET TREE SPACING (MIN)	25' O.C.

(A2)

November 19, 2015

### FINAL FOR PUBLICATION



,3-6,

22'

13'-4"

40'-6"

1' CARRIAGE WALK + 6" CURB

ù

COLUMBUS WACKER E-W THRU DRIVE NORTH-SOUTH STREET (B)

Refer to Sidewalk Design Criteria 4.20 "Sidewalk on structure with low planters"

NORTH-SOUTH (B)	40'-6"	22'	TWO - WAY	11/11	N/A	VIV
TYPE	R.O.W. WIDTH	FACE OF CURB TO FACE OF CURB	TRAFFICLANES	TRAFFIC LANES WIDTH	PARKING LANES	HEADY AND I CIVINOVO

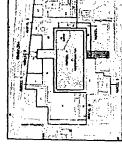
R.O.W. WIUTH	
FACE OF CURB TO FACE OF CURB	22.
TRAFFIC LANES	TWO - WAY
TRAFFIC LANES WIDTH	14*7.14*
DROP OFF LANE	8 ONE SIDE
PARKING LANE WIDTH	MA
DESIGN SPEED	20 MPH
SIDEWALK WIDTH	S' (INCLUDING S'' CURB)
CURB RADIUS	15*
STREET TREE SPACING ( MIN )	VIN.

ZWIN-7

SIDEWALK W DTH CURB RADIUS STREET TREE SPACING (MIN)

NORTH-SOUTH STREET (B2)

TYPE



# FIELD BLVD. SOUTH

connecting Randolph Street to Field Blvd. South serves as a development from the south. directly to the main overlook terrace at the Public Park. Field Blvd. South shall be the Park Drive. It will lead oriented north and south, major entry into the new

streetscape to include canopy ordinance). These walks will serve as the primary link for pedestrians walking to and pedestrian walks (per City trees, street lighting and consistent and attractive from Grant Park and the The drive will feature a Neighborhood Park The street may slope down at a accessible walks are provided appropriate landings provided exhaust from lower level uses median but must be screened The 23' wide sidewalk zone every 30" with vertical rise. adjacent buildings' parking may be located within the landscaping to screen the podiums. Air intakes and provided that handicap must provide adequate maximum rate of 1 12, along the edges with with landscaping.

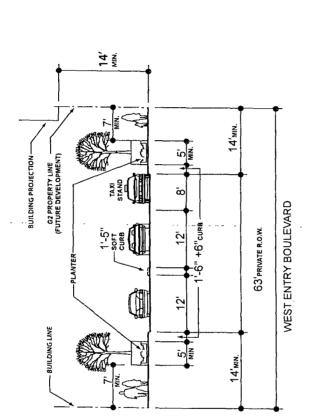
98' R.O.W.	34' 1'-6" 12' 8' 10'-3"3			FIELD BLVD. (SOUTH)	34.	TWO WAY 	NO PARKING	i N/A	20 MPH	i.	9	25' 0.C.
96	3" 10'-3" 8' 12' 1'-6"			TYPE	R.O.W. WIDTH	TRAFFIC LANES	PARKING LANES	PARKING LANE WIDTH	DESIGN SPEED.	MINIMUM PARKWAY WIDTH	MINIMUM SIDEWALK WIDTH	STREET TREE SPACING (MIN)

# WEST ENTRY BOULEVARD

The West Entry Boulevard serves as a major entry into the new development from the west. The West Entry Boulevard connects to Columbus St. and the new North South Street, which will also enhance the connectivity to the park from the west.

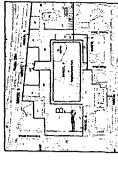
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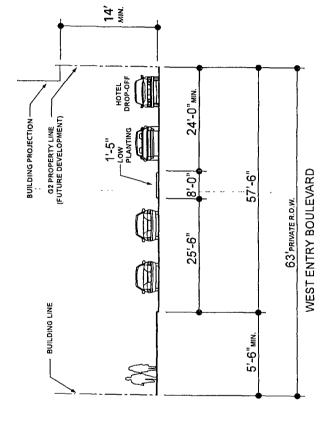
This street will feature a consistent and attractive streetscape including canopy trees, shrubs, groundcover, perennials, street lighting and pedestrian walks (per City ordinance). These walks will serve as the primary link for pedestrians walking to and from the west and the Neighborhood Park.



336.	TWO WAY	12'112'	ONE SIDE	.8	20 MPH	7. MIN.	15'	25' O.C.	
FACE OF CURB TO FACE OF CURB	TRAFFIC LANES	TRAFFIC LANES WIDTH	PARKING LANES ( TAX! )	PARKING LANE WIDTH ( TAX! )	DESIGN SPEED	SIDEWALK WIDTH	CURB RADIUS	STREET TREE SPACING (MIN)	

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63'	.929	TWO WAY	AS SHOWN	ONE SIDE	.8	20 MPH	5-6" MIN.	15'	N/A	
R.O.W. WIDTH	FACE OF CURB TO FACE OF CURB	TRAFFIC LANES	TRAFFIC LANES WIDTH	PARKING LANES ( TAXI )	PARKING LANE WIDTH ( TAXI )	DESIGN SPEED	SIDEWALK WIDTH	CURB RADIUS	STREET TREE SPACING (MIN)	

# WEST ENTRY BOULEVARD

North South Street, which will also enhance the connectivity to the the new development from the serves as a major entry into The West Entry Boulevard Columbus St. and the new Boulevard connects to west. The West Entry park from the west.

perennials, street lighting and streetscape including canopy ordinance). These walks will serve as the primary link for trees, shrubs, groundcover, pedestrians walking to and pedestrian walks (per City consistent and attractive This street will feature a from the west and the Neighborhood Park.

### Lakeshore East Master Plan • Chicago, Illinois Lakeshore East LLC 4.17

## THE PARK DRIVE

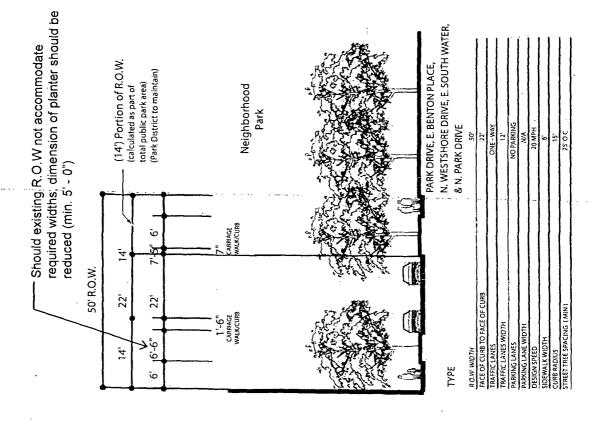
direction which allows curbside neighborhood drive encircling include canopy trees and other drop-off adjacent to the school. the Neighborhood Park It will walks (per City ordinance) shall Street lighting and pedestrian provide a pedestrian-friendly plant material in a parkway experience along the drive. setting It is recommended attractive streetscape to The Park Drive shall be a feature a consistent and that traffic circulate in a pedestrian-friendly

From the intersection at Field 81vd. South, the Park Drive shall slope at a maximum rate of 1:20 in order to ensure handicap accessibility along the sidewalks.

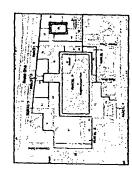
The 15' wide zone at the perimeter of the park includes sidewalk & parkway which will be maintained by the city of Chicago.

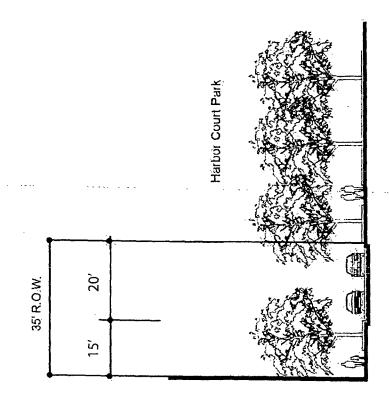
NOTE: The Chicago Park District has agreed to maintain the landscaped parkway and sidewalk located on the park side.

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walks (per City ordinance) shall

Street lighting and pedestrian provide a pedestrian-friendly experience within Harbor Court.

greatest extent possible.

alfractive streetscape. Canopy

feature a consistent and

the interior court. It will

trees and other plant material shall be provided to the

neighborhood drive encircling

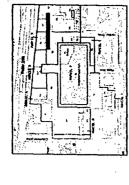
Harbor Court shall be a

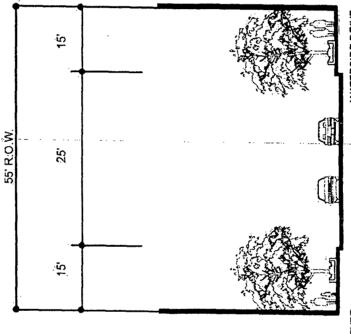
pedestrian-friendly

HARBOR COURT

TYPE	HARBOR COURT
R.O.W. WIDTH	35.
FACE OF CURB TO FACE OF CURB	20,
TRAFFIC LANES	ONC - WAY
TRAFFIC LANES WIDTH	12.
PARKING LANES	ONESIDE
PARKING LANE WIDTH	. 8,
	10 MPH
SIDEWALK WIOTH	.51
CURB RADIUS	15,
STREET TREE SPACING ( MIN )	25' O.C.

November 19, 2015





TVDE	
ù La	WATERSIDE DRIVE
Ř.O.W. WIDTH	-85
FACE OF CURB TO FACE OF CURB	25:
TRAFFIC LANES	TWO WAY
RAFFIC LANES WIDTH	11'11'MIN.
ARKING LANES	NIA
PARKING LANE WIDTH	N/A
DESIGN SPEED	20 MPH
SIDEWALK WIDTH	27.4
URB RADIUS	
TREET TREE SPACING	25' O.C.

Canopy itees as well as other plant material shall be

provided to the greatest extent possible.

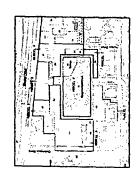
Watereide Drive shall feature a consistent and attractive

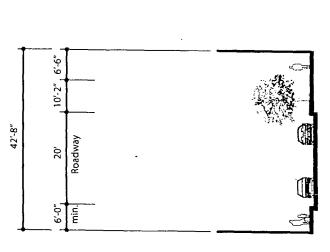
WATERSIDE DRIVE

streetscape to include, street lighting and pedestrian walks (per City ordinance).

November 19, 2015







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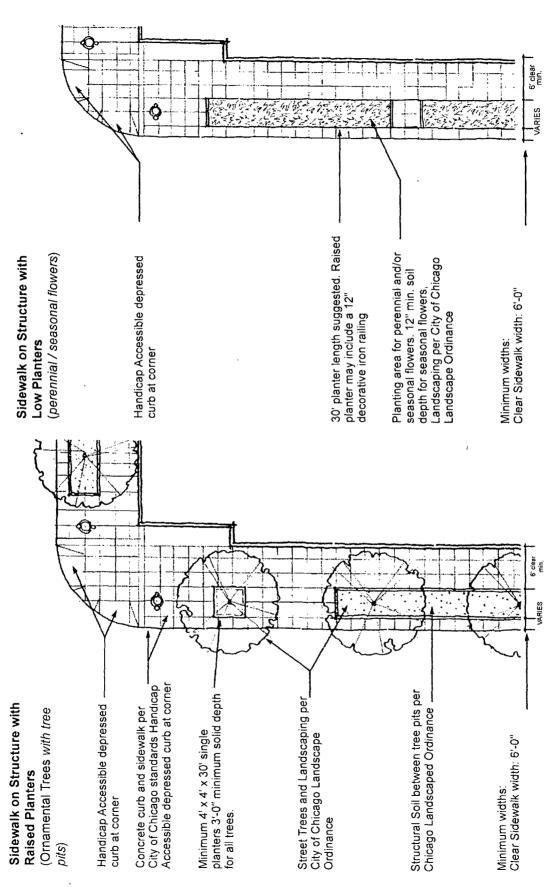
TYPE

N/A	20,	TWO-WAY	10,	N/A	N/A	20 MPH	N/A	9-,0	15'
R.O.W. WIDTH	FACE OF CURB TO FACE OF CURB	TRAFFIC LANES	TRAFFIC LANES WIDTH	PARKING LANES	PARKING LANE WIDTH	DESIGN SPEED	MINIMUM PARKWAY WIDTH	MINIMUM SIDEWALK WIDTH	CURB RADIUS

### FINAL FOR PUBLICATION Ó Street Trees and Landscaping per Handicap Accessible depressed-curb at corner Concrete curb and sidewalk per Street lighting to conform with City of Chicago Lighting Standards and Palette City of Chicago standards 1-6" to 2' wide carriage walks may be used at edge of street parkway should be interrupted City of Chicago Landscape Ordinance Clear Sidewalk width. 6'-0" (at major building entries, Park Drive Sidewalk (along townhouses) Landscaped Parkway with sidewalk paving) Minimum widths: 6 clear 8, min At corners with bus stops, trees in Street Trees and Landscaping per City of Chicago Landscape Ordinance Handicap Accessible depressed Concrete curb and sidewalk per City of Chicago standards planters. 5' to 6' in width 1'-6" to 2' from face of curb min. Sidewalk with in - Ground Planters, Flush with Sidewalk Street lighting to conform with grates preferred over curbed Planters per City of Chicago Landscape Ordinance City of Chicago Lighting Standards and Palette (total width varies) curb at corner

Sidewalk Along Park Road (Moderate Impact Development) Street Trees and Landscaping per City of Chicago Landscape Ordinance Handicap Accessible depressed curb at corner Concrete curb and sidewalk per Street lighting to conform with City of Chicago Lighting Standards and Palette 30' planter length suggested. Raised planter may include a Clear Sidewalk width: 6'-0" Outside planter dim: 6'-6" Min. inside planter dim: 5'-6" 12" decorative iron railing & City of Chicago standards 6" high by 6" wide curbs Minimum widths: Institutional School Retail or 14 min Sidewalk Along Park Road (High Impact Development) Street Trees in pits & Tree grates per City of Chicago Landscape Ordinance Handicap Accessible depressed Concrete curb and sidewalk per City of Chicago standards Street lighting to conform with City of Chicago Lighting Standards and Palette curb at corner

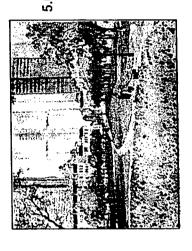
Buildings High or Mid-rise



# 5.0 Open Space

Introduction Public Park Zone The Open Space Framework

The Neighborhood Park: Preliminary Conceptual Design The Neighborhood Park: Park Programming Zones Neighborhood Park Preliminary Landscape Guidelines Parks and Open Space Parcel C Parks and Open Space Other Parcels



### ntroduct

The open space of Lakeshore East is defined by a series of green spaces including a large urban neighborhood park. The neighborhood park area will be approximately 6 acres in its size. Overall the Lakeshore East site will contain approximately 12 acres of publicly accessible open space which includes courtyards, bedestrian promenades, and landscaped setbacks.

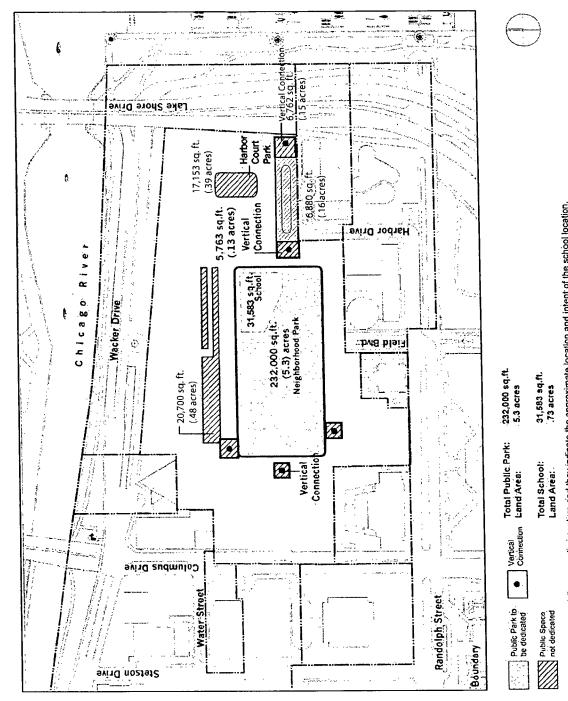
Connections to the river and the lakefront will be provided as links to the great open space of the city. A strong connection to Grant Park via Field Blvd. will also be provided

The neighborhood character of the park will be an amenlty for both future and existing residents. It will essentially be located at the lower level on grade creating a neighborhood open space where trees and landscaping can

In conjunction with the neighborhood park there will be courtyards, and open space buffers which will enhance and link the surrounding open wasce amenities. Interior green spaces or courtyards will serve as open space amenities for both new and existing residents.

Roof top gardens are strongly encouraged to be created on various buildings. These gardens will provide a landscaped aesthetic while also enhancing the environmental aspects of the buildings.

Linear pedestrian promenades will be landscaped with trees, flowering planters and paved sidewalks. These pedestrian streets will act as promenades that connect the east and wast edges of the site, but will also provide emergency vehicular access to future developments.



NOTE: These plans are diagrammatic in nature and they indicate the approximate location and intent of the school location, the spaces to be dedicated as public parks and the vertical connections, but not the precise locations.

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The amount of space dedicated as public park is subject to acceptance by the Chicago Park District.

PARCEL C HARBOR COURT PARK

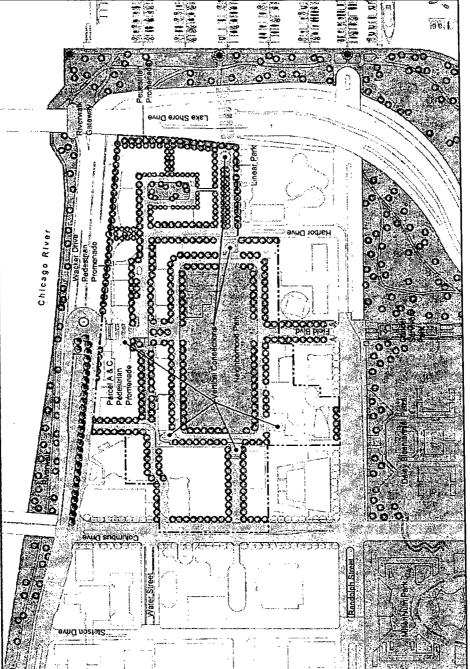
PARCEL C LINEAR PARK

PARCEL C LAKESHORE DRIVE PROMENADE

PEDESTRIAN PROMENADE PARCEL A&D

PARCEL B&C WACKER DRIVE PROMENADE

VERTICAL CONNECTIONS



The Open Spane Framework

NOTE. These plans are diagrammatic in nature and they indicate the approximate location and the intent of the vertical connection, not the precise locations.

The Office of James Burne'

### Preliminary Conceptural Design NEIGHBORHOOD PARK

space for outdoor events and provide a gathering space for the surrounding office, hotel and residential neighborhood. designed and constructed to encourage public use. The A large public park ("the Neighborhood Park") shall be Neighborhood Park is intended to function as a public

# Neighborhood Park Scale & Character

neighborhood open space. Large permanent active athletic uses, with the exception of the multi purpose open space in the center of the park, should be avoided. The park neighborhood use as opposed to a regional attraction, should be designed and scaled appropriately for The park should generally function as a passive

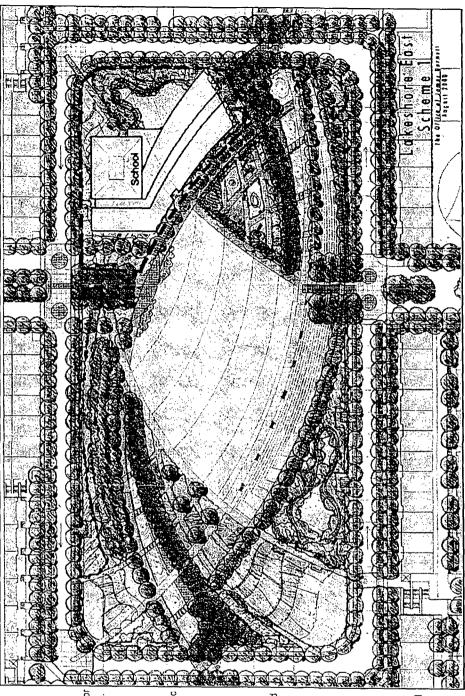
## Park Perimeter / Entries

The perimeter of the Neighborhood Park shall be designed sidewalk should complete the Park Drive streetscape and large canopy frees and a continuous 6' wide pedestrian gathering. A wide landscaped parkway planted with sidewalks, seat furniture, lighting and terraces for to define the park as a public space and will offer define the edge of the park.

An entry overlook terrace shall be created at the south end of the park near the Field Blvd. intersection to provide a Access to the park shall be directed to and controlled at key locations through the use of identifiable entry areas. handicap accessible ramp shall be provided at this panoramic view of the park. A public stairway and

aligned with the vertical connections in parcels E and G. The eastern and western entries to the park shall be

Pedestrian crosswalks along the Park Drive should be coordinated with these entries and the flow of traffic.



Preliminary Neighborhood Park Concept (For Illustrative Purposes Only)

## Park Programming Zones

The park shall include a centrally located, large, gently sloping open green space to be used for both active and passive uses

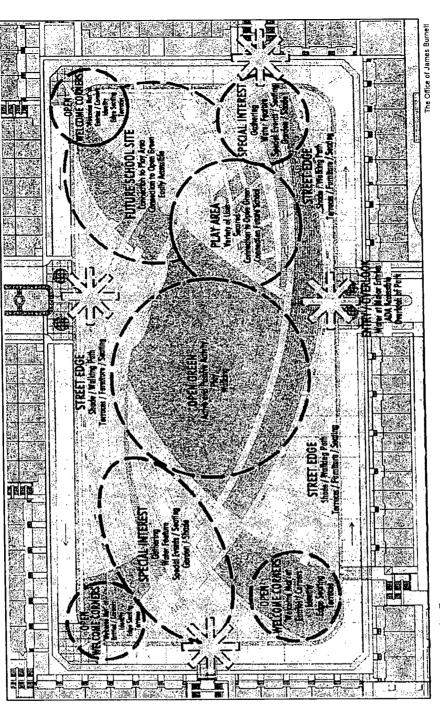
A secured play area shall be provided adjacent to the future school, and offer a variety of play opportunities for several age grcups. The play area should also be located adjacent to the open green. The approved park design shall be completed within the first phase of development. The playground shall be placed within the park in a location where it will not be impacted by future school construction.

A centrally located dog park may be provided. The inclusion of a dog park will alleviate the requirement to install individual dog runs/facilities in the individual residential buildings.

A future school zone at the northeast corner of the park shall be designated. Prior to construction of the school, this area shall be designed and built to similar open space standards as the rest of the park for interim open space use. The future school will share space for Chicago Park District facilities.

### Topog 'aphy

The park shall generally slope front el.-+6.00 CCD on the north up to e1 +30-00 CCD to the south. The park topography should transition smoothly to the various sloped roadways at the perimeter of the park. Large retaining walls at the perimeter of the park more than 3' high should be avoided.



Park Programming Zones

# PRELIMINARY LANDSCAPE GUIDELINES

The design of the park will be coordinated with the City guidelines indicate minimum standards for landscape community. The following preliminary landscape of Chicago, The Chicago Park District, and the materials.

publicly accessible neighborhood open space areas must The design and quality of Landscape Materials within all be executed with these same minimum standards.

ADA accessible. Typical sidewalks shall use more basic The location of sidewalks and their particular treatment will be determined by the intended use and the overall nature of pedestrian spaces. Park pathways shall be materials such as concrete. Areas such as the linear townhomes/towers, and other special pedestrian walkways could utilize higher quality materials. promenades, entry ways for residential

Paving materials for pedestrian paths may include, yet concrete, concrete unit pavers, brick, stone pavers. are not limited to, the following concrete, colored decomposed granite.

### Landscaping

providing flourishing species of diverse vegetation, while also promoting the use of indigenous plant materials. Ornamental planting should be used for local points, The landscape is intended to enhance the site by small courts or special areas within the park.

be consistent with the City of Chicago Landscape Ordinance. The planting specifications for new landscaping should The following is a brief summary of some additional guidelines for plantings:

### Lawn Areas

Active and passive lawn areas will incorporate sod. Ornamental prairie grass or meadows may be used as ornamental beds.

### Existing Trees

To the greatest extent possible, the existing trees designated as desirable species shall be protected, maintained and/or relocated into the neighborhood park or other future open space areas.

### New Trees

The following minimum guidelines for tree sizes (at time of installation) shall be followed

shall conform to ANLA Standards Specimen tree size:

1) Minimum root ball sizes for trees:

a) Minimum caliper 6 inches

b) Height range · 20' to 30' feet

3) Shade tree size

a) Minimum caliper. 3 1/2 -4 inches b) Height range . 18' 10 25' feet

4) Small upright/ornamental tree size:

a) Minimum caliper: 2 7/2 inches b) Height range · 6' 10 12' feet

Shrubs and Other Plantings

These minimum guidelines for the following miscellaneous plant types shall be followed

1) Shrubs:

a) Minimum container size 5 gallon

b) Height range: 1 1/2 to 3 feet minimum

# 2) Vines, trailing ground cover or trailing perennials:

- a) Minimum container size: 1 gallon.
  - b) Minimum number of runners 4.
- c) 8 inches minimum length of runners.
- 3) Clump ground covers or clump perennials
- a) Minimum container size 1/2 gallon
- b) crown and roots shall be well developed within container 4) Annuals
- a) Minimum container size; 2-1/2 inch pots
- b) Height range varies with annual type
- c) Full crown with healthy, vigorous flowers

# Landscape Irrigation System

A landscape irrigation system will be provided in all necessary areas for the general maintenance, and up keep of vegetation.

### Water Features

can help to draw large gatherings of people and provide a focal point for a with their setting. For example, smaller, more intimate fountains may help If water features are to be created in the park, they should be compatible to create a serene space. Larger, more active and participatory fountains arger setting.

necessary equipment (i.e. filter, purnps, nozzles, etc.) required for efficient The technical design of a potential water feature should incorporate the maintenance should also be carefully considered in the design of water use and desired aesthetic effect. Public safety, winterlime use, and eatures in the park.

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If utilized, fencing in the park shall provide for safety only. Fencing should not hinder public access through the site.

Fencing should be ornamental in its nature in order to enhance the overall aesthetic setting of the park. High quality materials such as ornamental metal. black in color, should be used for fencing. Fencing shall be limited to a maximum height of 48".

## Playground Equipment

Playground equipment will be furnished with the fatest materials and safety surface to ensure both durability and safety. The equipment should be designed to accommodate a variety of users and age ranges. Playground equipment shall meet ADA requirements

### Park Furnishings

Site furnishings will be supplied where applicable. Furnishings may utilize a number of materials which could include steel, stone, wood, etc..

The design and selection of the Neighborhood Park furnishings including, but not limited to, lighting, benches, bike racks, and trash receptacles shall be coordinated and approved by the City of Chicago and The Chicago Park District.

### Structures

Structures within the park setting should be simple, small in scale and in character with the park setting. Small structures such as arbors, pergolas, gazebos, may help to enhance the pedestriari realm and provide shade, a place to rest and create a sense of place.

### Park Lighting

Park lighting shall be provided and should incorporate pedestrian friendly ornamental fixtures placed along the park paths. The fixtures shall be spaced at a comfortable distance in order to provide adequate lighting for safety at night.

### PARCEL C. PARK

The Parcel C Park shall be an open space centrally located within the future residential area on the cast side of the development Encircled by the Harbor Court drop off, safe and convenient pedestrian access shall be provided from all Parcel C buildings to the internal park.

The park should feature a common green to be used for both active and passive uses. The park should provide walking paths, special paving, scaling, and lighting in addition to special ornamental planting. A small children's playground could also be provided in this open space.

## PARCEL C LINEAR PARK

The Linear Park connects pedestrians from the extension of Harbor Drive to the lakefront. This space also provides automobile and emergency access to the two southern residential buildings in Parcel C.

designed with canopy trees, special plantings, lighting, and special paving surfaces. The median should be planted This linear green space shall be designed to create a pedestrian friendly environment. The sidewalks shall be with canopy trees, shrubs, ground cover, and perennials.

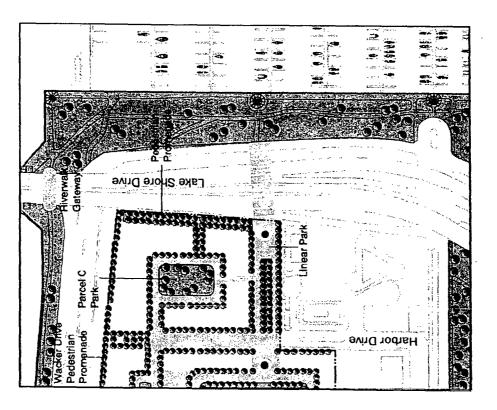
converted into permanent open space. However, emergency vehicular access through this space, connected to Harbor An interim driveway/drop of frnay be provided (if required) for access for buildings on Parcel C3 and C4. Once the entire Parcel C development is completed, and the internal Harbor Court drop off is operating, this space shall be Court, must be maintained,

At the eastern edge of this space, a stairway and elevator shall be provided for pedestrians to connect to the existing waikway under Lake Shore Drive, connecting Lakoshore East to the lakefront and DuSable Harbor. (See page 5 10 for further standards for this vertical connection)

# PARCEL C LAKESHORE DRIVE PROMENADE

This linear green space shall be designed to provide a publicly accessible pedestrian promenade along the eastern edge of the development. This 40' wide (minimum) promenade shall be designed with canopy trees. special plantings, lighting, and special paving surfaces.

elevation of Lake Shore Drive. Parking podiums must be screened front view through the use of architectural of Special consideration must be given to its relationship to take Shore Diive. Its location may be at or above the landscaped elements.



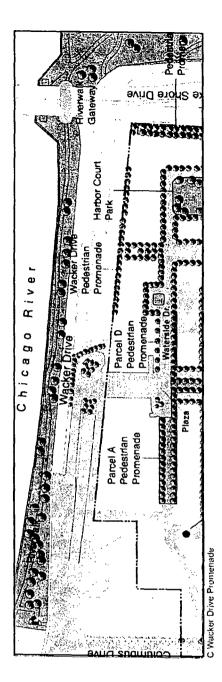


Lakeshore East Master Plan - Chicago, Illinois Lakeshore East LIC 5.8

# PARCEL B & C WACKER DRIVE PROMENADE

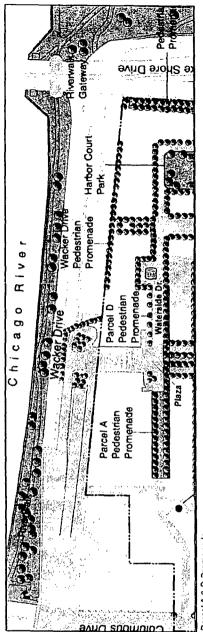
This linear green space shall be designed to provide a publicly accessible pedestrian promenade along the northern edge of the development at the upper level This 20' wide (minimum) walkway shall be designed with canopy trees, special plantings, lighting, and special paying surfaces

Opportunities for sidewalk cafes and terrace overlooks should be encouraged and integrated into the promenade design.



## PARCEL A & D PROMENADE

the buildings fronting Upper Wacker Drive & the Park publically accessible pedestrian promenade between A linear green space shall be designed to provide a east west connection at the upper level through the spanning Field Blvd. North. Both the promenade & future development. The pedestrian walk shall be the, plaza shall provide an important secondary Drive. This promenade shall lead to a plaza emergency vehicular access, designed to accommodate



Parcel A & D Promonade

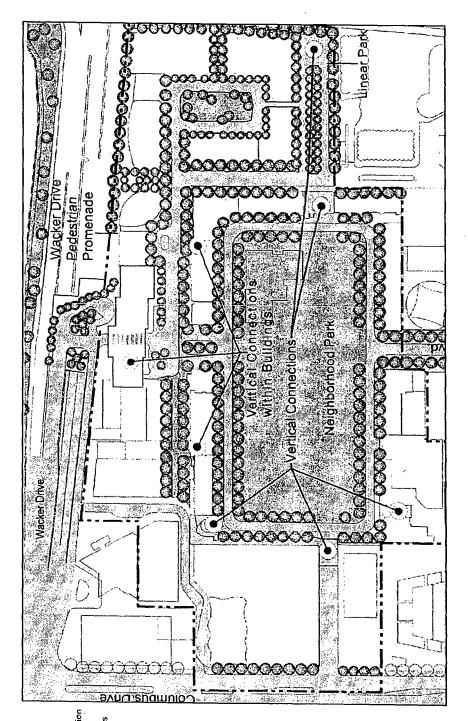
NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

Lakeshore East Master Plan • Chicago, Illinois Lakeshore East LIC 5.9

## VERTICAL CONNECTIONS

A minimum of 4 vertical connections shall be created to connect upper level development with the park ring roads. As previously mantioned, one additional connection must be included in Parcel C along Lake Shore Drive. These connections must include stairways and elevators as is feasible.

Placed at each edge of the Neighborhood Park, those connections will ensure views into the park from the surrounding areas. These connections must provide attractive and convenient pedestrian friendly access between the upper and fower levels of the district. The design of the stairways shall complement the Neighborhood Pork and streateage design through thouse of special paving. lighting, hand ralls and planters. These connections shall be treated as landscape features incorporating plantings to help soften this connection between levels.

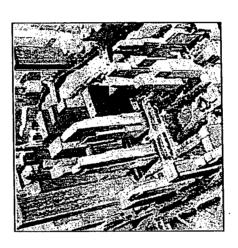


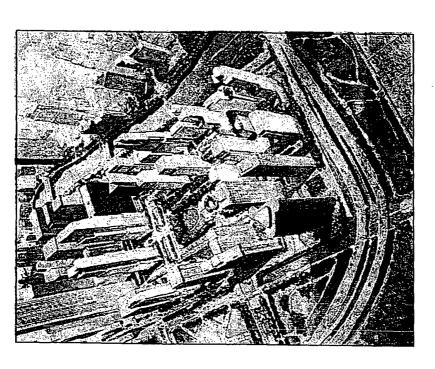
NOTE. These plans are diagrammatic in nature and they indicate the approximate location and the intent of the vertical connection, not the precise locations.

Lakeshore East Master Plan • Chicago, Illinois Lakeshore East LLC 5.10

## 6.0 Design Standards

Introduction
Development Review Process
Controls for Development
Parcel Design Criteria
Building Design Criteria
Building Materials
Environmental Considerations





### Introduction

The Design Standards included as part of the Lakeshore East Planned Development submittal are intended to ensure that the basic Lakeshore East Master Plan concepts are realized.
These Master Plan Concepts include:

#### L.

- Create urban street walls that support a diverse pedestrian experience.
- Create an enhanced pedestrian environment that physically and visually connects activities within existing and future buildings to adjacent public areas.
- Preserve views from existing development through new development to the greatest extent possible. Establish view corridors for new development.
- Create a distinctive skyline

The following standards describe specific design intentions which should be used by the designers, developers and city agencies involved in the building of Lakeshore East.

## Development Review Process

The Design Standards are intended to establish a self-policing structure for evaluation of projects which shall be submitted to the Department of Planning and Development for approval, prior to submission to the City for building permit.

The developer shall establish a Lakeshore East Design Review Committee for internal review of all development proposals for conformance with the Master Plan. All design submissions should include detailed site plans, building plans, elevations, material samples, and information on lighting and signage. The Design Review Committee may also request additional project information including models or three dimensional renderings.

Any modifications to the Master Plan or Design Standards will require discussion with the City of Chicago Department of Planning and Development and a consensus that the changes do not negatively impact the intent of the Master Plan. Any modifications deemed significant will require an update to the Master Plan & Partial PD Amendment.

# / Parcel Design Criferia

## Controls for Development

may adjust slightly in order to accommodate building The parcels have also been divided into sub-parcels The Lakeshore East Master Plan establishes seven neighborhood park. The parcels have been defined to accommodate the projected long term build-out. development proposals. The sub-parcel divisions, to permit flexibility in responding to specific development parcels organized around a design refinements over time.

parcel within the Master Plan is governed by a set of The Master Plan envisions a mix of highrise, midrise The building envelope controls have been created in and lowrise development. The development of each site design criteria and building envelope controls. strong sense of place at the pedestrian level. Two distinct sets of controls, building mass criteria and Site design criteria include pedestnan and vehicle sub-parcel: Also, the Department of Planning and a way to allow some design flexibility within each access. The building envelope controls mandate setting a pattern of development that creates a Development may administratively allow minor streetwall criteria, are defined for each parcel. the placement of buildings within the parcels, modifications or adjustments to the building envelope controls.

## Maximum Developable F. A R.

area will not fill the entre allowable building envelopes. but may be shifted from one parcel to another parcel has been established. This maximum developable Within Subarea E, a maximum developable area as long as the maximum building envelopes are maintained.

developable F.A.R. area. only the inhabitable area at or above the building entry shall be included. For the purpose of calculating maximum

Parcel Design Criteria

## Pedestrian Access

blockface should not exceed the length of a typical Midblock pedestrian walkways which pass through A well-connected pedestrian network is critical to between two padastnan walkways along a single successfully creating an urban neighborhood in downtown Chicago block or approximately 320' Chicago. The maximum allowable distance development parcels are also encouraged.

## Vehicular Access

should be integrated with the building's architecture should not exceed 20 feet in width. Parking entries minimum and the use of shared curb cuts along shared property lines is encouraged. Curb cuts textures and color. All parking above the street The multi-level plan of Lakeshore East should accommodate the bulk of parking and service through the use of similar materials, patterns, level must be screened with accupied space below grade. Curb cuts should be kept to a

Service access is permitted at the lower level only.

## **Emergency Vehicle Access**

Required emergency vehicle access easements are identified on the parcel diagrams

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### Interim Uses

such as recreational open space, dog frlendly areas, Undeveloped parcels may support interim uses

Development They should identity all temporary and surface parking Interim use plans will be reviewed by the Department of Planning and improvements and timeframe of interim use.

## Utility Easements

throughout the site. As future development fills in the lypically occur along the easements and intermittent structural columns/caissons for the structures above must be coordinated with existing and future utilities. at the lowest level of the parking structure. A service site, the existing utility corridors will generally occur may fall within the easement corridors. The placing below approximately +20.92 C.C.D. currently exist of structural easements within existing easements Dedicated and Conveyed to the City of Chicago Utility easement corridors, varying in width and roadway, generally at elevation +6 C C D, will

These utility easement corridors must be accessible to all utility entitles, and will also have controlled accessibility to building service vehicles and to adjacent parking structures.

Existing utilities, will either remain in place, or be relocated into the new, final utility corridors. New utilities will also occur within the new dedicated oadways on grade throughout the site.

# Highrise Building Design Criteria

Maximium

Highrises are characterized by their thrae elements: evel lower base, between the base and tower, and the building base, the tower and the top. Transition lines should be articulated at the two or three story between the tower and top. The transition lines may he achieved by a charige of material, a

Building

### **Building Base**

It is important that the base of the building extend environment and support a close relationship between activities in each building. Special to the sidewalk to define the urban street

# **Ground Floor Active Building Uses**

Active uses that are visually connected to the

## **Building Envelope**

Lower

Highnse Building Zones

Building mechanical floors may extend beyond the

setback, a reveal or a cornice line

streetwall standards for the base include;

## Setbacks and Building Lines

Building Tower

The building must extend to the defined edge of the building may satback a maximum of 10 feet for up sidewalk (specified by property line, easement or to 25% of the frontage from the defined edge of sidewalk it the additional selback is designed to setback) for at least 80% of the frontage. The include sidewalk cafes, seating, public art, landscaping or other amenities.

## and Transparency

evel facade of the building should be transparent to ground floor of the building. These active uses may allow viewing into the interior of the building Blank pedestrian environment are encouraged along the include retail, lobbies or office space. The ground valls are not encouraged

Upper Base

maximum building envelope. Maximum building anvelopes are identified for each sub parcel.

## A varied and distinctive skyline is desired. The should be toward the primary street frontage.

Generally, the preferred location for highrise towers

**Building Tower** 

integrated with the design of the building tower and extend above the maximum building height, and is top. The height of a vertical design element may building top should provide special architectural treatment to express building identity. Vertical design elements, such as spires, should be Department of Planning and Development. subject to administrative approval by the

communication devices should be concealed from All rooftop mechanical systems including view within an architectural enclosure.

## **Building Separation**

projections, such as balconies or bay windows, may not extend into the minimum building separation. To preserve views, a minimum separation of 40' between non-party walls is required. Building

## **Bullding Height**

occupied spaces, the following areas shall be excluded: mechanical rooms, elevator machine rooms & overruns, from the curb level opposite the front of the building Building height is defined as the vertical distance comprising loss than 20% of the total room area. Maximum heights, identified in total feet, for the rooftop deck access rooms, habitable areas of the building. For purposes of identifying building base, lower and top are identified to the top of the highest accupied floor or each parcel.

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### **Fownhouses**

and future podiums from view. Special townhouse ocntinuous streetwall that frames the park and it is important that the townhouses create a

## Setbacks and Building Lines

grouping along the same street frontage should maintein a similar setback from the side walk & a minimum jandscape setback of 5' from the

### Orientation

Townhouse entries should be cherted to the street. fiving space at the ground floor to ensure "eyes on he street". Stacked townhouses which have two The townhouses should be designed to include street frontages should address both streers.

Ground Floor

Verleyy in the use of materials and articulation is in order to create an aesthetically diverse neighborhood setting.

special pedestrian ways, It is a so important that the townhomes along the Park Drive screen all existing standards include:

rooftop area and should be architecturally integrated

heights for the townhouses are identified for each

parcel.

with the design of the townhouse roof. Maximum

from the curb level opposite the from of the building

extending beyond the maximum building height, roof top endosures may not exceed 10% of the

to the highest point of the townhouse roof. If

Building halphins defined as the vertical distance

**Building Height** 

The design of the townhouse podiums shall include setback may be 3'. Entry stairs may extendinto the setback) East of Field Blvd. South, along the East / larescape setback Townhouses within a particular West segment of Park Drive, the minimum podium sidewalk (specified by property line, easement at from their podium.

Neighborhood

min. lo Bay Projection

1.5 (2.

5-1-7

(typ cal)

encouraged within groupings of townhouses,

NOTE: These cians are diagrammatic in nature and are only intended to show approximate locations and dimensions.

TITLE Property Line

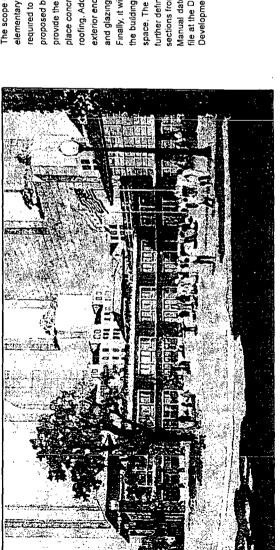
PARCEL G1

Glass and Glazing - Exterior Only

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## School Building

provide the "core and shelf", It will include all cast in exterior enclosures with windows, storefront, glass required to prepare the site for construction of the Finally, it will include all utilities to a location within space. The "core and shell" of the building can be and glazing, exterior masonry, and exterior doors. the building such as a pump room, or mechanical sections from the Chicago Public Schools Project Manual dated 1999 / 2000 (a ccpy of which is on place concrete structural steel, steel joists, and further defined by the following specifications proposed building. The developer shall also roofing. Additionally, it will include complete The scope of the work for the 45,000 sq. ft. elementary school will include the site work file at the Department of Planning and Development)



#### 02221,02222 02510 02212 02060 02707 03300 03312 04200 05120 05310 05500 05810 07257 07270 07410 07700 07900 08330 08410 07250 02600 Steel Doors and Frames - Exterior Only 08110 08661 Cast in Place Concrete Aluminum Entrances and Storefronts Modified Bituminous Sheet Roofing Sewers and Site Drainage 02700 Steel Joists and Girders 05220 Cast Stone - Exterior Only 04720 Metal Fabrications - Exterior Only Bituminous Dampproofing 07160 Concrete Finishes Exterior Metal Window Guards Unit Masonry - Exterior Only Expansion Joint Assemblies -Joint Sealers - Exterior Only Exterior Structure including Excavation and Back filling Extenor Only Firestopping - Exterior Only Manufactured Roof Panels Flashing and Sheet Metal -Exterior Only Overhead Coiling Doors Spray on Fireproofing Aluminum Windows Water Distribution Roof Accessories Structural Steel Water Service Site Clearing Demolition Steel Deck

### **Building Elements**

Special consideration will be given to the following building elements during the development review process

### Landscape Roofs

can provide great benefits to the building as well as Green roofs, or roofs that are covered with planting the urban environment and are encouraged. Green roofs may be used to reduce the building's energy costs and also reduce stormwater runoff.

connection.

A majority of the defined green roof area should be mechanical equipment, should not have a negative mandatory but maintenance access should be covered by vegetation. Public access is not maintained Future installations, such as impact on the landscaped area.

Drought resistant indigenous plants that require minimal maintenance are recommended

parking spaces, passenger drop-offs, ramps, loading Arcades are allowed, but must be continuous along street right of way to the next street. Minimum width amenities as appropriate. Arcades shall not contain freatment, and landscaping, seating or other public berths or any other vehicular use, or trash storage the entire length of the building frontage from one minimum clear interior height is 14 feet. Arcades is 8 feet clear, maximum width is 20 feet and shall have a minimum decorative pavement

### Indoor and outdoor through block pedestrian Through Block Pedestrian Connections connections are encouraged.

unobstructed corridor of at least 20 feel in width and the unobstructed height must be a minimum of 15 selected to ensure a pleasant and safe pedestrian The connection should provide a continuous feet. Material finishes and lighting should be Enclosed Through Block Connections

elevations that border the connection should include with plantings, murals and other architectural details minimum clear height of 20 feet is required where active building uses where possible or be treated unobstructed space at least 20 feet in width. A the connection is not open to the sky. Building The connection should be a continuous Extenor Through Block Connections

### Balconies

Balconies are permitted but must be integrated within the design of the building facade.

### Bay Windows

ground level landscape setback but may not extend Bay windows are allowed and may extend above a into the minimum building separation.

## Canopies and Awnings

Awnings should be integrated with the design of the facade. Materials should be high quality and may development and building entries. Canopies and be either fixed or retractable. They must meet all Canopies and awnings are permitted for retail

applicable City Codes and regulations

Exhaust vents should not be located on a building's

Exhausts

primary street frontage.

## Parking Entrances

Parking garage entrances should be designed as an integrated element of the building's facade through Driveways and curb cuts should be compatible in material and detailing with adjacent sidewalks the use of similar materials and detailing

### Penmeter Walls

design of signs should be compatible with the building

and street frontage High quality and durable

Signage is to be kept to an absolute minimum. The

materials are encouraged. Signs should be mounted

in locations that respect the design of the building, Fixtures for externally illuminated signs should be

including arrangements of bays and window openings.

Signage at building tops is limited to hotel uses and is

simple and unobtrusive.

subject to administrative review and approval of the Department of Planning and Development. Signage

must be integrated with the design of the building.

and other residential buildings will screen all existing Drive and Lake Shore Drive. Along Field Blvd. North parking must be screened by occupied space at the lower level, and architecturally screened above the All Parking at or above the upper street level must be screened by occupied space Parking along the lower level. Along the Park Drive, the townhomes lower and intermediate levels may extend to the architecturally screened when it is adjacent to a public accessway, such as intermediate Wacker parcel line; however the parking must be and future parking podiums from view

### Ventilation

Development. Public spaces should be adequately lit

Lighting may vary within the Lakeshore East to ensure a safe pedestrian environment.

**Building Lighting** 

Lighting used to highlight architectural features such

planned to not visually impact adjacent buildings or as articulated building tops or building entrances is

public spaces.

encouraged. Such architectural lighting should be

Parking garage ventilation should be well designed, should be designed as integral components of architectural screening. Ventilation structures with openings which should incorporate adjacent buildings or open space.

## Radio Antennae

but must be integrated with the design of the building. Radio antennae may be allowed on Parcels G and D

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## November 19, 2015

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## **Building Materials**

should be made with a concern for the existing The selection of appropriate building materials context. All Glass curtain-wall buildings are discouraged and glass-curtain-walls are used, high quality, low-e or highly reflective glass will not be permitted. If all clear glass should be used. Two grades of building material quality, Grade 1 and Grade 2, have been identified in the Master Plan.

Grade 1: Natural Stone

Brick

Glass and Metal Curtain Walls Architectural Precast

Grade 2□ Grade 1 Materials preferred

Exposed Structural Concrete allowed clean, high quality construction with architectural detailing and

formwork (such as steel forms or plastic faced plywood)

Grade 2 materials may be used for buildings on the interior of the site. Unique materials and colors are signature buildings at the perimeter of the site and Generally, Grade 1 materials should be used for encouraged along the ground level, at building entrances and on the rooftops.

are used, a high quality level of finishes and details In general, whether Grade 1 or Grade 2 materials

**Building Bases** 

Material Color

between adjacent parcels. Predominantly dark Material colors should minimize differences buildings are discouraged. Grade 1 materials should be used on the building bases and the townhouses. Clear or lightly tinted glass is preferred. Retail storefronts may include ornamental metals Stone, brick and concrete colors should be light to

medium warm neutral colors.

Glass colors may include clear panes or slightly

tinted gray, silver, green or blue.

### **Building Towers**

be simplified, Towers identified as Grade 2 may use glass is preferred. Ornamental metals may be used used for the base and also be complementary with use materials that are compatible with the material however, the level of articulation and detailing may The portion of the building above the base should signature buildings should use Grade 1 materials, exposed structural concrete clear or slightly tinted neighboring buildings. Towers identified as for building accents.

### **Building Top**

The materials used for the building tops should be of high quality and present a distinctive image for the building. Lakeshore East Master Plan • Chicago, Illinois Lekeshore East LLC 6.7

Refer to parcel diagrams for height of base material

Base\* - Grade 1 Materials Tower - Grade 1 Materials (where applicable)

Baso\* - Grade 1 Matenals Tower - Grade 2 Materials (whore applicable)

NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

# Environmentally Conscious Design

The Lake Shore East Development is a significant opportunity to showcase environmentally sensitive environmentally sensitive approach to building a design. The following recommendations can be used as a checklist to evaluate and ensure an neighborhood.

## Site Design Recommendations

the amount of curtain wall in an effort to reduce Orient buildings to optimize solar exposure. Buildings near the Lakefront should reduce the injury to migratory birds due to the transparent nature of the facade.

from sun exposures in appropriate zones within Manipulate building envelopes that will respond

Coordinate programmed areas that will benefit

**Building Design Recommendations** 

- Utilize indigenous landscape materials, with an appropriate diversity in plant species. Properly manage stormwater runoff
  - Relocate and reuse existing trees.

## air to interior workspaces and living spaces. Utilize energy efficient building systems.

Encourage operable windows that provide fresh

and/or fuel cells for electric generation.

Consider the integration of photovoltaic panels

to climate and orientation.

- Develop exterior and interior shading devices Include "landscape roofs."
- Utilize exterior and interior "light shelves" that bring daylight into the interior zones and workspaces of the buildings. that minimize heat gain.
- Minimize the use of hazardous or "off gassing" materials, specifically materials with volatile organic compounds (VOC's).
- Employ wood products harvested from certified
- When possible, select materials based on lifecycling costs.
- energy consumption including task lighting, daylighting. Develop lighting controls that manage an energy efficient artificial lighting.
  - Coordinate a tenant recycling program with the City of Chicago's recycling program.

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NOTE: Elevations reflect height of buildings above average grade, unless specified as CCD. NOTE These plans are diagrammatic in nature and are only intended to show approximate locations.

Highrise Buildings -Maximum Building Envelopes

Midrise and lowrise Buildings -Maximum Building Envelopes

NOTE: All overall dimensions should be verified with land survey

NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations.

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November 19, 2015

COLUMBUS COLUMBUS PLAZA

3 & LINOS CENTER

482

330



NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations.



NOTE These plans are diagrammatic in nature and are only intended to show approximate locations.



NOTE: These sections are diagrammatic in nature and are only intended to show approximate locations.



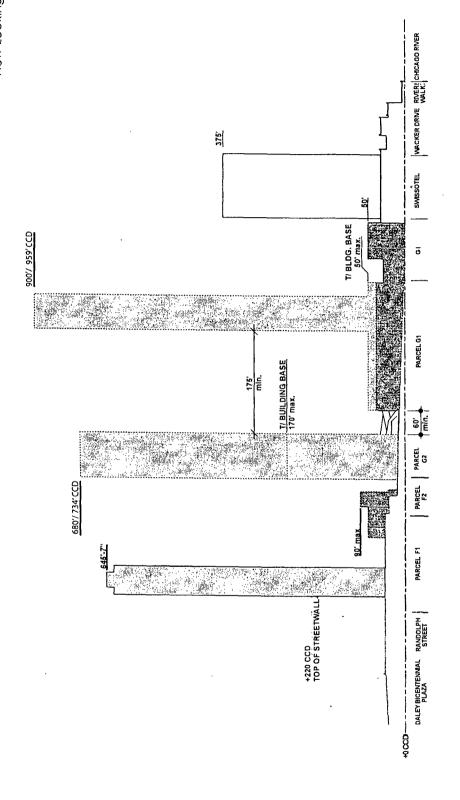
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November 19, 2015



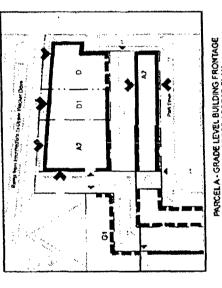


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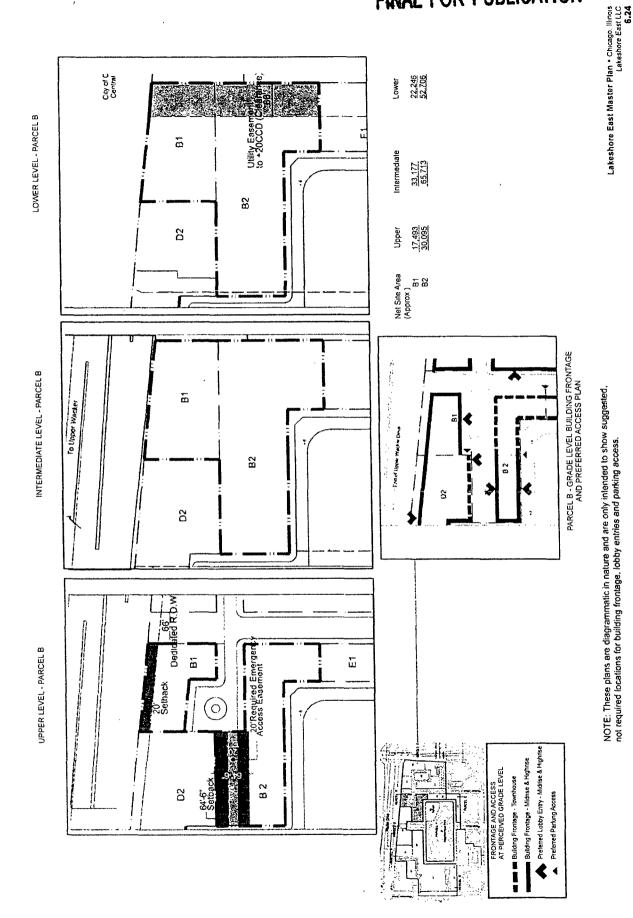
PARCEL A - GRADE LEYEL BUILDING FRONTAGE
AND PREFERRED ACCESS PLAN
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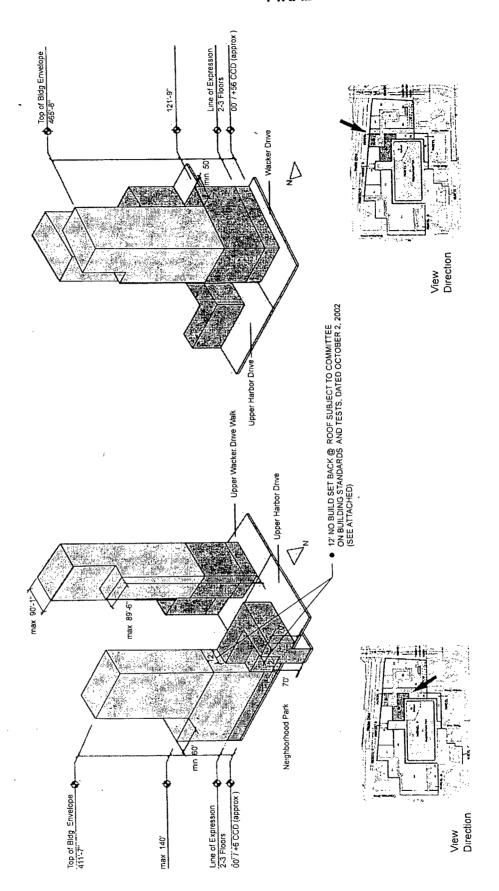
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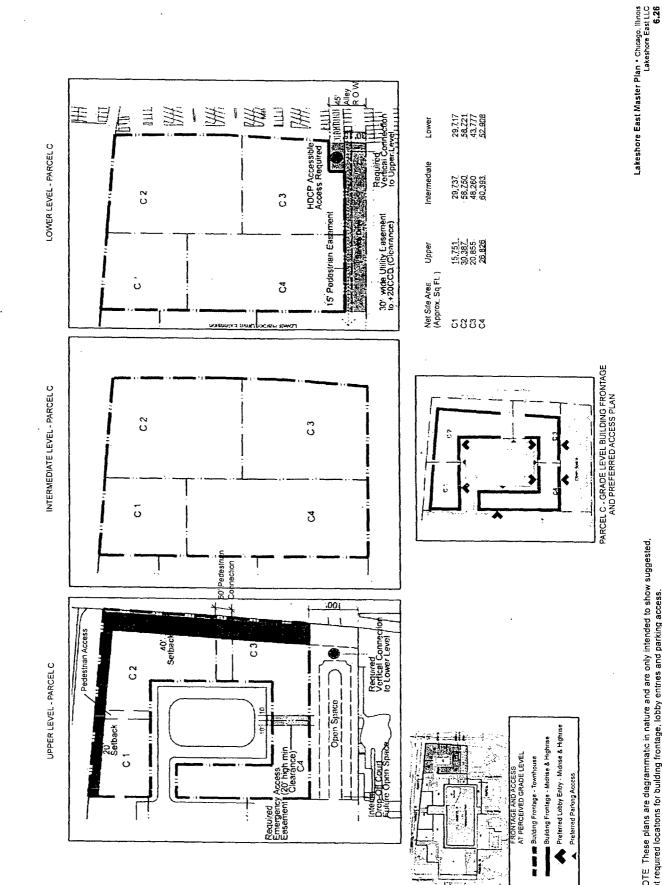
November 19, 2015



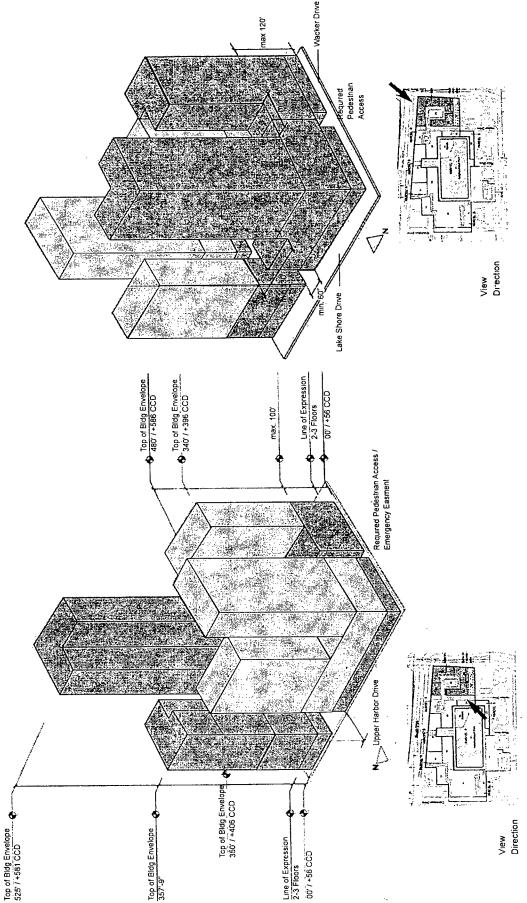
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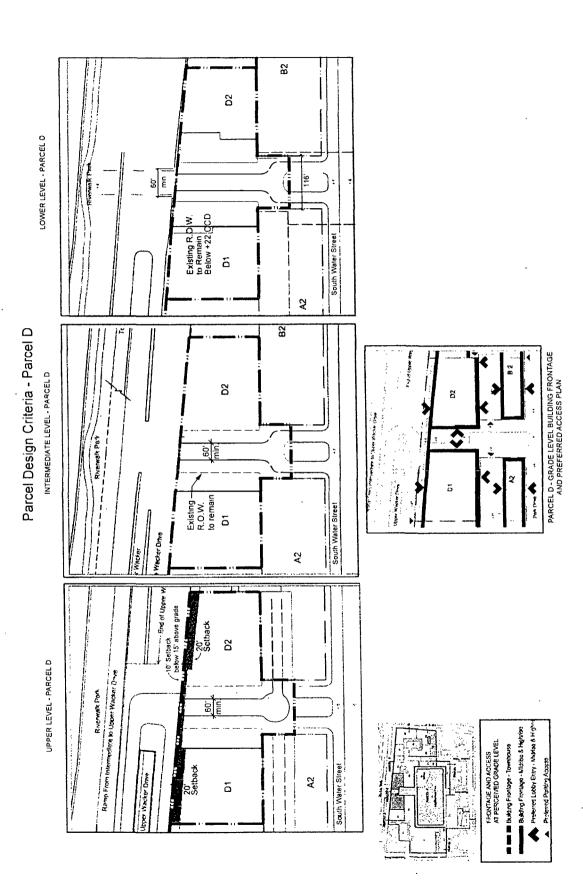
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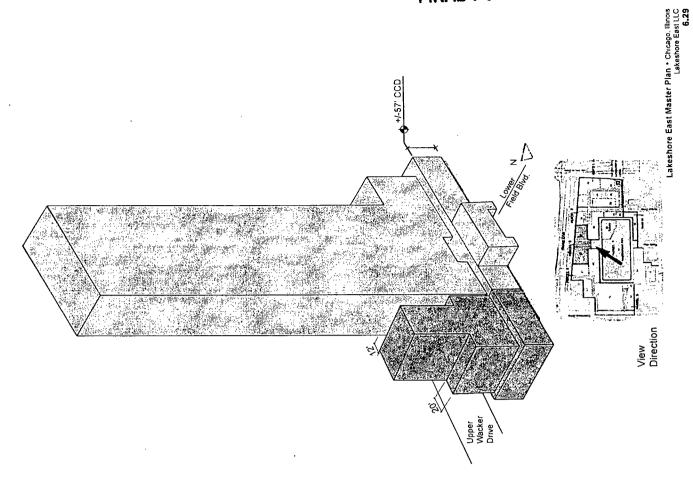
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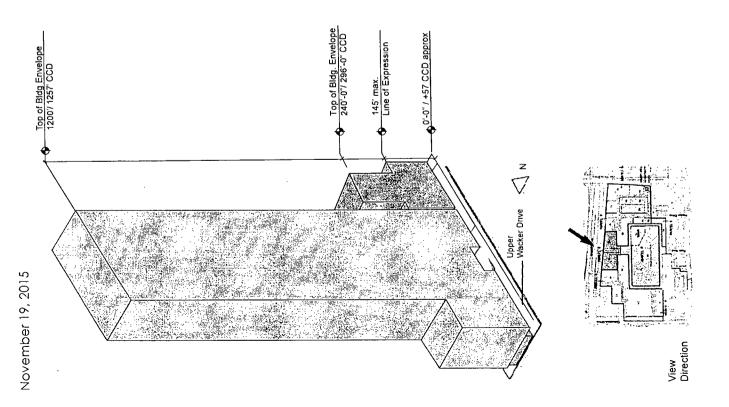




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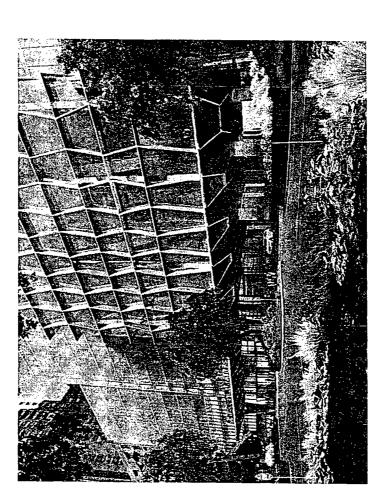
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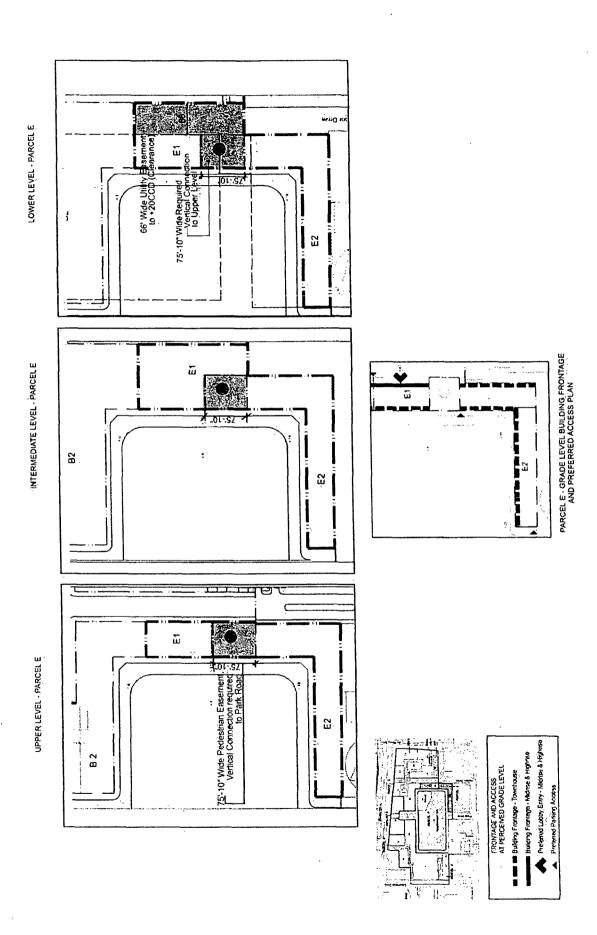




## Opening - Parcel D

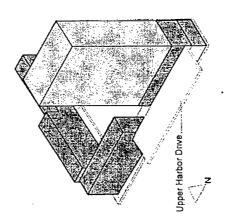
- Parcel D is intended to create a public 'Gateway' into Lakeshore East This also provides a visual connection to the Riverfront from the neighborhood park.
- The building spanning Field Blvd North is required to architecturally cled the existing structure of Upper and Intermediate level Wacker Drive within the opening.
- Proposed cladding solutions shall be reviewed and approved by the Department of Planning and Development, CDOT, and IDOT





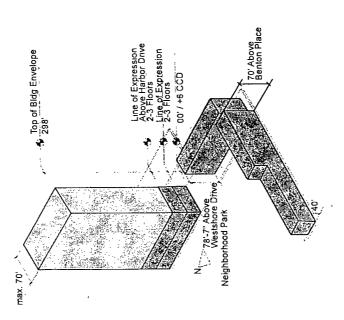
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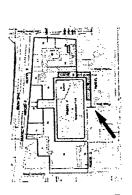
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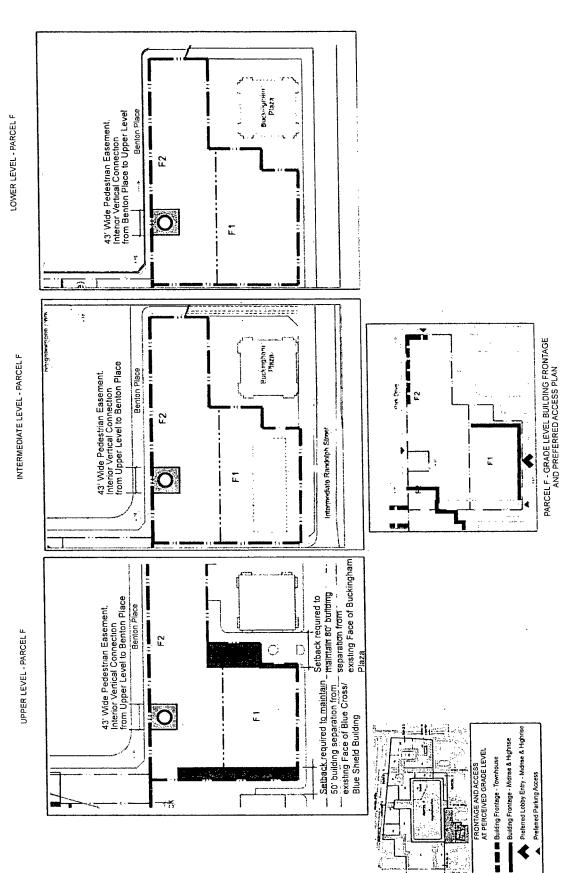
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View Direction

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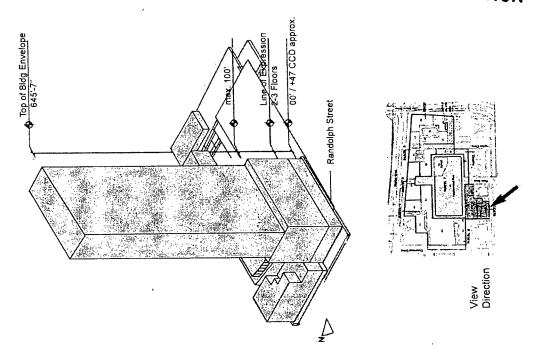


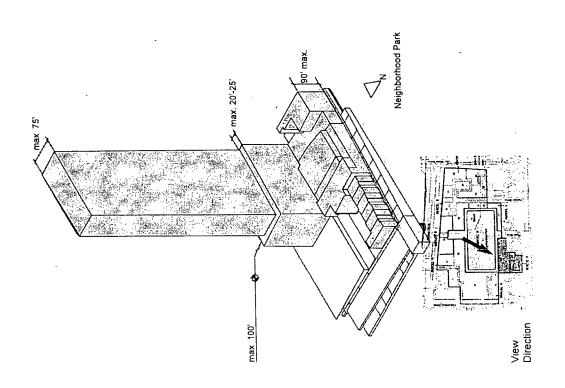
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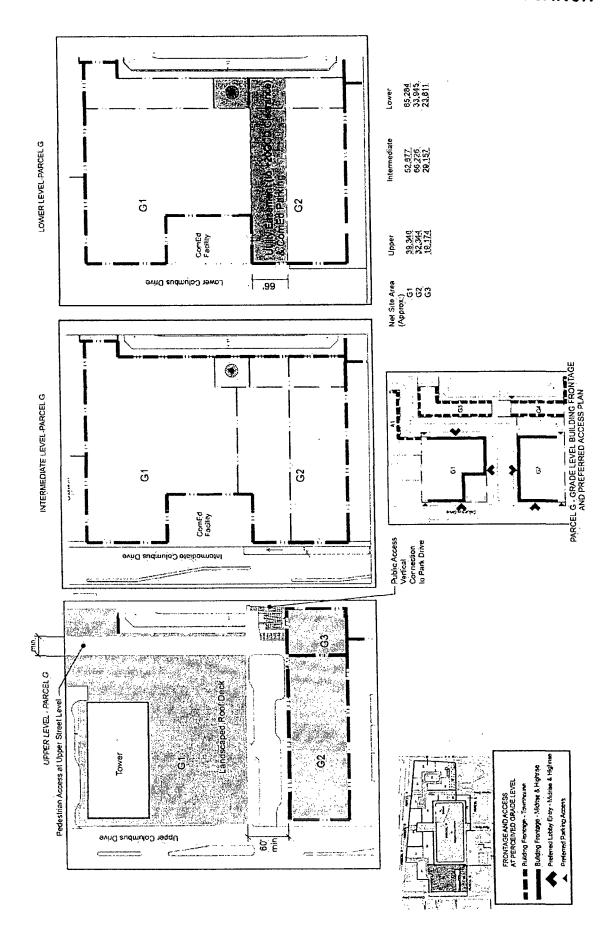
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NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access.

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# REPORT to the CHICAGO PLAN COMMISSION from the DEPARTMENT OF PLANNING AND DEVELOPMENT NOVEMBER 19, 2015

FOR APPROVAL: PROPOSED 2015 AMENDMENT TO BUSINESS PLANNED

**DEVELOPMENT #70, AS AMENDED and,** 

PROPOSED LAKE MICHIGAN AND CHICAGO LAKEFRONT

PROTECTION ORDINANCE APPLICATION NO. 649

APPLICANT: LAKESHORE EAST, LLC

LOCATION: 381-383 EAST WACKER DRIVE

Pursuant to the provisions of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, the Department of Planning and Development ("DPD") hereby submits this report and recommendation regarding a proposed 2015 Amendment to Residential-Business Planned Development #70, as amended, for your review and recommendation to the Chicago City Council. The application for the amendment to the Zoning Ordinance was introduced into the City Council on June 19, 2015.

DPD also submits for your review and approval proposed Lake Michigan and Chicago Lakefront Protection Ordinance Application No. 670 pursuant to Section 16-4-100 of the Lake Michigan and Chicago Lakefront Protection Ordinance. The subject site is located within the Private Use Zone. The lakefront application was filed with DPD June 10, 2015.

Proper legal notice of the public hearing for both the zoning application and lakefront application was published in the <u>Chicago Sun-Times</u> on November 4, 2015. The Applicant was separately notified of this hearing.

The Applicant intends to amend the Lakeshore East Master Plan and Design Standards associated with Subarea E of Residential-Business Planned Development #70 and to construct an approximately 1200' mixed-use building with 410 dwelling units, 210 hotel keys, additional commercial space and accessory parking on an approximately 67,163 square foot site located within Subarea E of Residential-Business Planned Development #70 at approximately 381-383 East Wacker Drive.

#### BACKGROUND

Residential Business Planned Development #70 was first created in 1969 and includes the area that is generally bounded by North Michigan Avenue, East Randolph Street, North Lake Shore Drive and East Wacker Drive. At that time, the subject area was largely the

terminus of the Illinois Central Railroad yard in downtown Chicago. Today, the area includes several notable buildings and significant redevelopment projects, including Illinois Center, Aon Center as well as Lakeshore East. Moreover, the planned development is notable as part of a larger area that includes a three level road network, resulting in sublevel, middle-level and upper-level Wacker Drive, Randolph Street and Columbus Drive, to name a few. This road network presents challenges and opportunities for redevelopment.

Lakeshore East, essentially Subarea E of PD #70, is approximately 28 acres in size and is generally bounded by North Columbus Drive, East Wacker Drive, North Lake Shore Drive and East Randolph Street. Lakeshore East is largely a residential/mixed use community that was begun by the Applicant in approximately 2001 and today has been substantially redeveloped with a variety of buildings such as Aqua, Regatta and Shoreham, armong others, around a new public park. Lakeshore East is governed by the zoning controls in PD #70 which prescribes the overall development volume, number of dwelling units and hotel keys as well as commercial space that are allowed within the planned development and each Subarea. In addition, Subarea E is governed by the Lakeshore East Master Plan and Design Standards (Master Plan).

The proposed 2015 Amendment to PD #70 includes changes to the Master Plan that will accommodate a new residential/mixed use development project named Wanda Vista. The changes include adjustments to the allowed building heights in Lakeshore East as well as infrastructure and parcelization adjustments. Finally, the elevation drawings, site plans and other supporting exhibits prescribing the Wanda Vista project itself are being included in the proposed 2015 Amendment to streamline the site plan approval process.

#### SITE AND AREA DESCRIPTION

The subject site is officially located within the northeastern most section of the Loop Community Area immediately south of the intersection of North Field Boulevard and East Wacker Drive. East Wacker Drive at this location is improved at the upper, middle and sub levels. North Field Boulevard is currently improved at the sub level but exists as a public right of way at the middle and upper levels as well and essentially splits the subject site into two halves. The difference in height between the upper and sub levels of Field Boulevard and Wacker Drive is approximately 53'. The proposed site is approximately 67,163 square feet as measured at Upper-Level East Wacker Drive which includes the proposed vacation of portions of North Field Boulevard above 22'. The currently vacant site has an address of 381 East Wacker Drive and is referred to as Parcel D within the Master Plan.

As stated, the site is currently zoned Residential-Business Planned Development #70 (Subarea E), which will not change as part of the 2015 Amendment. While several parcels remain undeveloped within PD #70, the area is currently improved with a variety of residential, mixed use and commercial buildings. Immediately to the west, east as well as south of the subject site are residential towers located within Lakeshore East and known as the Regatta, Coast, Tides and Shoreham. Nearby uses include a grocery store, the Aqua residential/hotel tower, various townhomes and GEMS school. In addition to the multi-level street and pedestrian connections in the area, the defining feature of Lakeshore East is

Lakeshore East Park, a 5.3 acre Chicago Park District facility located in the center of the development, which provides walking paths, open space and a dog park, among other amenities. To the north of the subject site, located within the right of way of Sub-Level East Wacker Drive is a City of Chicago Auto Pound facility. Further north of Wacker Drive is the Chicago River Walk which is improved with a variety of seasonal uses such as kayaking, restaurants and tourism-related uses.

For broader context, the area to the north of Lakeshore East, across the Chicago River is currently zoned a variety of downtown zoning districts and planned developments that include residential, mixed use and commercial buildings. Uses in this area, which is part of the larger Streeterville area, include City Front Center and the Sheraton Hotel, among others.

The subject site is well served by existing public transit resources. In addition to many nearby bus routes, the Chicago Transit Authority's (CTA) Jackson Park Express bus (#6) is available on East Wacker Drive as well as the Blue Island/26<sup>th</sup> Street bus (#60) on North Harbor Drive, very close to the proposed Wanda Vista Tower. In addition, the subject site is well served by existing bike infrastructure and will be very well served by the Chicago Pedway System, providing a covered link from the site into the core of the Central Business District.

As stated, the site is located within the Private Use Zone of the Lake Michigan and Chicago Lakefront Protection District. It is not a Chicago Landmark nor is it located within a Chicago Landmark District. The property is also not located within a Tax Increment Financing District.

#### PROJECT DESCRIPTION

The Wanda Vista project consists of the construction of an approximately 98-story mixed use building (93 stories above Upper Wacker Drive) that will include approximately 410 dwelling units, 210 hotel keys, 247 accessory parking spaces and additional commercial space. The entire building is planned to include approximately 1,600,000 square feet of space. The project also includes significant infrastructure improvements and changes to the immediate area to support the proposed development project including the vacation and dedication of portions of Field Boulevard rights of way. Construction is anticipated to commence in the Spring of 2016 and be completed in 2019 and 2020.

#### **DESIGN**

The design of the building includes a five-story base that will be constructed below the level of Upper Wacker Drive and will largely include parking, mechanicals and other building support services. North Field Boulevard will continue to operate as a publicly dedicated street through the center of the building at the lowest level (lower level five), connecting East South Water Street, within the Lakeshore East area, to Sub-Level East Wacker Drive. Above this lowest level, Field Boulevard will be vacated, allowing lower levels four thru one of the proposed building to be built across the entire building site.

Above this five-story base, the building can best be described as four interconnected towers that increase in height from east to west across the site, creating smaller floor plates as height increases with the building. The first tower tops out at approximately eleven stories above Upper-Level East Wacker Drive. The second, third and fourth towers are designed to be approximately 47 stories, 71 stories and 93 stories above Upper-Level East Wacker Drive, respectively. The lower levels of the building (Floors 1-11) will primarily house the hotel functions while the upper floors (Floors 12-93) will primarily house the dwelling units. The tallest portion of the building, on the west side of the site, is planned to be approximately 1200'.

The overall design for the portion of the building above Upper-Level East Wacker Drive is a series of frustums, stacked on each other in an inverted manner that also alternate between the towers. The cladding of the building on all sides includes various types of glazing and stainless steel. A portion of the north façade up to the eleventh floor will extend out from the base, giving the building a prominent entry focal point and multi-level interior space. This portion of the building will be clad with a brushed stainless steel and glass. Balconies are designed into the floor plates for the residential floors, affording many of the units private outdoor amenity space. Additional common outdoor spaces will be provided at various other levels of the building for hotel guests, restaurant patrons and residents, as appropriate. The material callouts on the exhibits to this report detail all of the specific planned materials that make up the building's design.

#### **LANDSCAPING**

The project will fully comply with the Chicago Landscape Ordinance along the proposed and existing public and private rights of way as well as the proposed outdoor pedestrian promenades. Significant landscaping is proposed for the easternmost end of the proposed extension to Upper Level East Wacker Drive as well as the pedestrian overlook to Lakeshore East Park at Waterside Drive.

#### ACCESS/CIRCULATION

The primary vehicular access to the building is planned via the proposed Upper-Level Field Boulevard, which will connect to an extended Upper-Level East Wacker Drive as well as to Waterside Drive. This upper level includes a dropoff area as well as bypass area for hotel patrons and residents. The residential dropoff will be located on the easternmost side of the site via Upper-Level Field Boulevard. The hotel dropoff area will be constructed on an extended Upper-Level East Wacker Drive, which will also function as a landscaped pedestrian area.

Also at the upper level, access to the garage below is available on the west side of the site while an exit from the garage is planned for the east side of the site. In addition, vehicular access to the building garage is planned via Sub-Level East Wacker Drive, including space for six loading berths. The garage will contain space for approximately 247 vehicles (located on lower levels five thru one) while additional garage parking is planned for a future building project on the site immediately west of the proposed Wand Vista Tower, via

an interconnected garage.

Pedestrian access points to the building include via Sub-Level Field Boulevard as well as Upper-Level Field Boulevard and Upper-Level East Wacker Drive. A public access point and elevator to allow pedestrians access to Sub-Level Field Boulevard, Upper-Level Field Boulevard as well as the Pedway system, at approximately the level of Middle-Level Field Boulevard, will be installed completely within the Wanda Vista building. In addition, planned pedestrian improvements on the site include a promenade connection between the north side of the Wanda Vista building and the extended Upper-Level East Wacker Drive, a pedestrian overlook to Lakeshore East Park at Waterside Drive as well as Sub-Level Field Boulevard improvements, especially at the intersection of Sub-Level East Wacker Drive.

The right of way adjustments necessary to accommodate the proposed Wanda Vista project include: 1) Vacation of the air rights at 22' above a portion of Sub-Level Field Boulevard; 2) Vacation of additional portions of Field Boulevard completely; 3) Dedication of portions of private property to widen Sub-Level Field Boulevard; 4) Extension of Upper-Level East Wacker Drive, and; 5) Establishment of easements for the public use of Upper Field Boulevard and connections to Waterside Drive and Upper East Wacker Drive.

#### SUSTAINABILITY

The Applicant will provide approximately 11,000 square feet of green roof and will achieve LEED Silver certification for the Wanda Vista project. The project will also comply with the Storm Water Management Ordinance, implementing best practices in all storm water management. The Green Roof Plan included as an exhibit to this report highlights the locations of the green roofs on the various levels of the building.

#### **BULK/USE/DENSITY**.

PD #70 contains an overall cap on Floor Area Ratio (FAR) volumes, allowed dwelling units, allowed hotel keys and allowed office/retail space throughout the entire PD and by Subarea. Subarea E limits total development to approximately 9,700,000 square feet of space, 4900 dwelling units and 2100 hotel keys. The effective FAR for Subarea E is 11.6.

No changes to the development limits prescribed within PD #70 as outlined above are necessary to accommodate the proposed Wanda Vista project. The Wanda Vista project will contain approximately 1,600,000 square feet of total space and as stated earlier, 410 dwelling units and 210 hotel keys. After the Wanda Vista project is completed, approximately 2,690,000 square feet of space will remain for future development projects within Subarea E of PD #70.

As stated, this proposed 2015 Amendment to PD #70 seeks to amend the Master Plan associated with Subarea E. Specifically, the Master Plan is being adjusted to increase the maximum height allowed for the parcel proposed to be redeveloped for the Wanda Tower

project (Parcel D) from 640' to 1200'. The maximum building height allowed for Parcel O, located immediately to the south of the Aqua Building on North Columbus Drive, is proposed to be decreased from 900' to 680'. Other changes to the Master Plan include changes to the parcelization plan and infrastructure adjustments necessary to accommodate the Wanda Vista project.

#### RECOMMENDATION

The Department of Planning and Development has reviewed the proposed Amendment to PD #70 and the materials submitted by the Applicant and compared this proposal to existing development in the community. The area around this project is part of a larger planned development with similar zoning controls while nearby downtown zoning districts are also similar and the proposal represents an opportunity to construct a compatible residential/mixed use development project along East Wacker Drive in Chicago's Loop Community Area.

Based on that analysis, the Department of Planning and Development has concluded that this proposal is appropriate for this site and supports this development for the following reasons:

- 1. The project meets the purpose and criteria set forth in Chapter 17-8 of the Zoning Ordinance and its adoption would not have any adverse impact on the public's health, safety or welfare. Specifically, this project meets the following provisions of Chapter 17-8:
  - a. Promotes pedestrian interest, safety and comfort by creating safe and attractive walkways and pedestrian routes and by emphasizing building entries through architecture and design (per 17-8-0905-A), as evidenced by the project's incorporation of improved pedestrian paths and the significant building entrance;
  - Upper-story setbacks should be used to reduce the apparent mass and bulk of tall buildings (per 17-8-0907-B) as evidenced by the proposal's incorporation of significant setbacks in the overall building's design;
  - c. Reinforces desirable urban features found within the surrounding area, such as siting patterns, massing arrangements and streetscape characteristics (per 17-8-0906-A), as evidenced by the compatibility of the project's mass and site design with the surrounding buildings in the Lakeshore East area and more broadly, in the Loop community area;
  - d. Provides adequate, inviting, usable and accessible open space for workers, visitors and residents, provides a sense of place associated with the development and provides substantial landscaping of the open areas (per 17-8-0909-A), as evidenced by the incorporation of a pedestrian promenades overlooking Lake Shore East Park and East Wacker Drive;
  - e. Conserves non-renewable energy and scarce materials (per 17-8-0908-A) as evidenced by the project's goal of LEED Silver certification;

- f. Promotes the safe and efficient circulation of pedestrians, cyclists and motor vehicles (per 17-8-0904-A1) as evidenced by the project's site plan that provides appropriate drop-off, bypass and through connections between the site and surrounding Lakeshore East area, including an enclosed publically accessible connection to the lower level, upper level and Pedway level of the project; and
- g. All sides and areas of the buildings that are visible to the public are treated with materials, finishes and architectural details that are of high-quality and appropriate for use on primary street-facing façade (17-8-0907-A-4), as evidenced through the material callouts in this report and on the elevations.
- 2. The site lies within the boundaries of the Central Area Action Plan, which was adopted by the Chicago Plan Commission on August 20, 2009. The project is completely consistent with the Central Loop Sub-district of the Central Area Action Plan which supports the residential development of Lakeshore East.
- 3. The public infrastructure facilities and city services will be adequate to serve the proposed development at the time of occupancy. The proposed project has been reviewed by the Mayor's Office for People with Disabilities and the Department of Transportation and all requested changes have been made. Copies of this application have been circulated to other City departments and agencies and no comments have been received which have not been addressed in the application.
- 4. The project will comply with the requirements for access in case of fire and other emergencies.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that this application for a Residential Business Planned Development be approved and that the recommendation to the City Council Committee on Zoning, Landmarks and Building Standards be "Passage Recommended".

The Department of Planning and Development has reviewed the project materials submitted by the Applicant with regards to the Policies and Purposes of the Lake Michigan and Chicago Lakefront Protection Ordinance and has concluded that the proposed development would be in compliance with the applicable Policies of the Lakefront Plan of Chicago and the Purposes of the Lake Michigan and Chicago Lakefront Protection Ordinance, as they apply to development in the Private Use Zone, specifically:

- Policy No. 10 (Ensure a harmonious relationship between lakeshore parks and community edges but in no instance allow private development east of Lake Shore Drive): The proposal consists of an appropriate residential infill project that will reinforce the multi-unit residential district that exists in this area of the City and minimally impact the lakefront park system.
- 2. Policy No. 8 (Increase personal safety): The proposal will improve personal safety by providing an improved link under East Wacker Drive for pedestrians accessing the Chicago River Front and will provide improved vehicular and pedestrian safety

- by connecting Upper Wacker Drive, North Field Boulevard and Waterside Drive; and.
- 3. Policy No. 14 (Coordinate all public and private development within the water, park and community zones): This site is located within the Private-Use Zone and the development has been coordinated with the appropriate City departments and community to minimize the impact of construction and to ensure it remains in context with the surrounding neighborhood.

With respect to the Policies not enumerated here, the Department of Planning and Development has determined that they are either not applicable to development in the Private Use Zone or that the proposed project will not have a detrimental effect on the Lake Michigan shoreline or any wildlife habitats therein. This is because the proposed project is separated from the shoreline, does not reduce existing open space or wildlife habitat and does not interfere with existing access to, or use of, the lake and its parks.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that this development application, being in conformance with the provisions of the Lake Michigan and Chicago Lakefront Protection Ordinance, be approved, subject to compliance with the Site and Landscape Plans and Building documents dated November 20, 2014 and presented before you today.

Bureau of Zoning and Land Use Department of Planning and Development



### DEPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

# AMENDMENT TO RESIDENTIAL-BUSINESS PLANNED DEVELOPMENT #70 LOCATED GENERALLY BETWEEN NORTH MICHIGAN AVENUE, EAST RANDOLPH STREET, NORTH LAKE SHORE DRIVE AND EAST WACKER DRIVE

#### RESOLUTION

- WHEREAS, the Applicant, Lakeshore East, LLC, has submitted an application seeking approval for an Amendment to Residential-Business Planned Development #70; and,
- WHEREAS, the Applicant intends to amend the Lakeshore East Master Plan and Design Standards associated with Subarea E of Residential-Business Planned Development #70 and to construct an approximately 1200' mixed-use building with 410 dwelling units, 210 hotel keys, additional commercial space and accessory parking on an approximately 67,163 square foot site located within Subarea E of Residential-Business Planned Development #70 at approximately 381-383 East Wacker Drive;
- WHEREAS, the Applicant's request to rezone the property was introduced to the City Council on June 17, 2015; and,
- WHEREAS, proper legal notice of the hearing before the Plan Commission was published in the <u>Chicago Sun-Times</u> on November 4, 2015. The Applicant was separately notified of this hearing and the proposed zoning application was considered at a public hearing by this Plan Commission on November 19, 2015; and,
- WHEREAS, the Plan Commission has reviewed the application with respect to the provisions of the Zoning Ordinance and finds that the proposal will be consistent with said provisions; and
- WHEREAS, the Department of Planning and Development recommended approval of the application, with the recommendation and explanation contained in the written report dated November 19, 2015, a copy of which is attached hereto and made a part hereof; and,

WHEREAS, the Plan Commission has fully reviewed the application and all informational submissions associated with the proposed amendment, the report and recommendation of the Commissioner of the Department of Planning and Development and all other testimony presented at the public hearing held on November 19, 2015, giving consideration to the Zoning Ordinance;

#### NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

- 71. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Plan Commission regarding this zoning map amendment application; and,
- 2. THAT this Plan Commission recommends approval to the City Council Committee on Zoning, Landmarks and Building Standards of the final zoning application dated June 17, 2015; and,

Martin Cabrera, Jr.

Chairman

Chicago Plan Commission

RBPD No. #70, as amended, 2015 Approved: November 19, 2015



### DEPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

# 381-383 EAST WACKER DRIVE LAKE MICHIGAN AND CHICAGO LAKEFRONT PROTECTION ORDINANCE APPLICATION No. 670

#### RESOLUTION

- WHEREAS, The Applicant, Lakeshore East, LLC, proposes to construct an approximately 1200' mixed-use building with 410 dwelling units, 210 hotel keys, additional commercial space and accessory parking located at 381-383 East Wacker Drive; this site is located within the Private Use Zone of the Lake Michigan and Chicago Lakefront Protection District; and,
- WHEREAS, an application for Lake Michigan and Chicago Lakefront Protection Ordinance approval was filed with the Department of Planning and Development on June 10, 2015; and,
- WHEREAS, proper legal notice of a hearing before the Chicago Plan Commission on the Lake Michigan and Chicago Lakefront Protection Ordinance application was published in the <a href="Chicago Sun-Times">Chicago Sun-Times</a> on November 4, 2015; the Applicant was separately notified of this hearing; and the Lake Michigan and Chicago Lakefront Protection Ordinance application was considered at a public hearing by this Plan Commission on November 19, 2015; and,
- WHEREAS, this Plan Commission has reviewed the application with respect to the Lake Michigan and Chicago Lakefront Protection Ordinance and finds that the proposal would be consistent with the Purposes of that Ordinance and the Policies of the Lakefront Plan of Chicago; and,
- WHEREAS, the Department of Planning and Development recommends approval of the application, which recommendation and the explanation thereof is contained in the Department's written report dated November 19, 2015, a copy of which is attached hereto and made a part hereof; and,
- WHEREAS, this Plan Commission has fully reviewed the application and all informational submissions associated with the proposed development, the report and recommendation of the Commissioner of the Department of Planning and Development and all other testimony presented at the public hearing held November 19, 2015, giving consideration to the Lake Michigan and Chicago

#### Lakefront Protection Ordinance;

#### NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

- 1. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Chicago Plan Commission regarding this application; and,
- 2. THAT the final application dated June 10, 2015, be approved as being in conformance with the provisions of the Lake Michigan and Chicago Lakefront Protection Ordinance.

Martin Cabrera Jr., Chairman Chicago Plan Commission

Lakefront Application No. 670 Approved: November 19, 2015

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:	
Loewenberg 2007 GST Trust	٠
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR	
<ul> <li>2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of Applicant in which the Disclosing Party holds an interest: Lakeshore East LLC OR</li> <li>3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity which the Disclosing Party holds a right of control:</li> </ul>	· 
B. Business address of the Disclosing Party: 225 N. Columbus, Suite 100	<u></u>
Chicago, IL 60601	
C. Telephone: 312-642-8869 Fax: 312-642-2773 , Email: lbuel@magellandevelopment.com	
D. Name of contact person: Laura Buel	
E. Federal Employer Identification No. (if you have one):	
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") which this EDS pertains. (Include project number and location of property, if applicable):	to
Application for Approval for Lake Michigan and Chicago Lakefront Protection Ordinance at 381-383 E. Wacker Dr.	_
G. Which City agency or department is requesting this EDS? DPD	
If the Matter is a contract being handled by the City's Department of Procurement Services, plea complete the following:	se .
Specification # N/A and Contract #	

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [ ] Limited liability company Publicly registered business corporation [ ] Limited liability partnership [] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Yes [ ] No [X] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: A STATE OF THE STA 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] No [x] N/A []Yes B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Damantaga Interest in the

Darata and Address

Name	Business Address	Percentage Interes	st in the
		Disclosing Party	
Robin Tebbe	225 N. Columbus Dr., S	Ste. 100 100%	
	Chicago, IL 60601		
· · · · · · · · · · · · · · · · · · ·			
SECTION III BU	SINESS RELATIONSHIPS W	ITH CITY ELECTED O	FFICIALS.
Has the Disclosing	g Party had a "business relationsh	ip," as defined in Chapter	2-156 of the Municipal
-	elected official in the 12 months b		
[]Yes	[x] No	4 1	
If yes, please identify	below the name(s) of such City	elected official(s) and desc	cribe such
relationship(s):			
, t			

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

retained or anticipa to be retained)			ctor, attorney,	paid or estim "hourly rate"	te whether tated.) NOTE: 'or "t.b.d." is table response.
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(Add sheets if neces	•				
[X] Check here if the	Disclosing Pa	rty has not retaine	d, nor expects to reta	in, any such pers	sons or entities
SECTION V CE	RTIFICATIO	NS.			
A. COURT-ORDE	RED CHILD S	UPPORT COMPI	LIANCE	·, ·	; . · · · · ·
the City must remain Has any person who	in compliance	e with their child s	. , ,	ss entities that co aroughout the co osing Party been	ontract with ntract's term.
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[]Yes	[x] No	Disclosing Party	ctly or indirectly own	is 10% or more o	of the
If "Yes," has the per is the person in com	pliance with th				ort owed and
[]Yes	[]No	• • • • • • • • • • • • • • • • • • •			31
B. FURTHER CER		• ,			
B. TORTHER CER					
consult for defined t	erms (e.g., "do	ing business") and		, if the Disclosin	g Party
submitting this EDS certifies as follows: with, or has admitted criminal offense investigation	(i) neither the A I guilt of, or ha olving actual, a	Applicant nor any s ever been convic ttempted, or cons	controlling person is cted of, or placed und	currently indicted der supervision f bery, theft, fraud	ed or charged for, any , forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance),
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	Disclosing Party is unable to cert), the Disclosing Party must exp	· ·	statements in this	Part B (Further
· N/A	A Company of the Comp			
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If the letters "NA," the word "None," or no response appears on the lines above, it will presumed that the Disclosing Party certified to the above statements.	ll be conclusively
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the forcomplete list of all current employees of the Disclosing Party who were, at any time of month period preceding the execution date of this EDS, an employee, or elected or ap of the City of Chicago (if none, indicate with "N/A" or "none").  none	luring the 12-
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the focomplete list of all gifts that the Disclosing Party has given or caused to be given, at a 12-month period preceding the execution date of this EDS, to an employee, or elected official, of the City of Chicago. For purposes of this statement, a "gift" does not inclumade generally available to City employees or to the general public, or (ii) food or dricourse of official City business and having a retail value of less than \$20 per recipient with "N/A" or "none"). As to any gift listed below, please also list the name of the Co	iny time during the l or appointed ude: (i) anything ink provided in the t (if none, indicate ity recipient.
none	
The state of the s	
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION	
1. The Disclosing Party certifies that the Disclosing Party (check one)	* *
[] is [X] is not	
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.	
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pled	ges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Code. We further pledge that none of our affiliates is, and none of them will become, lender as defined in Chapter 2-32 of the Municipal Code. We understand that becomi lender or becoming an affiliate of a predatory lender may result in the loss of the privibusiness with the City."	, a predatory ing a predatory
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning 2-32 of the Municipal Code, explain here (attach additional pages if necessary):	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? Yes ... ON K NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes [] No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: **Business Address** Nature of Interest Name

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)  2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by
applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

.•				
3. The Disclosing Pawhich there occurs any eforth in paragraphs A.1.				
4. The Disclosing Pa 501(c)(4) of the Internal 501(c)(4) of the Internal Activities".		or (ii) it is an or	ganization described i	n section
5. If the Disclosing I form and substance to pa subcontract and the Disc duration of the Matter an	losing Party múst máinta	.4. above from a	Il subcontractors befo ontractors' certificatio	ore it awards any
B. CERTIFICATION R	EGARDING EQUAL E	MPLOYMENT	OPPORTUNITY	,
If the Matter is federally subcontractors to submit negotiations.  Is the Disclosing Party th	the following information	on with their bids	s or in writing at the o	utset of
[]Yes	[] No		e personal de la compansión de la compan	
If "Yes," answer the three	e questions below:			
Have you developed federal regulations? (See [ ] Yes		ile affirmative ac	tion programs:pursua	nt to applicable
2. Have you filed wit Contract Compliance Pro under the applicable filing [] Yes	g requirements?		unity Commission all	
3. Have you participa	ted in any previous con			
[] Yes	[]No			
If you checked "No" to qu		•		
		<u> </u>		

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Loewenberg 2007 GST Trust (Print or type name of Disclosing Party)		•				
By: XWW WW						
(Sign here)	<del></del>	`.,	* * * * *		•	
Robin Tebbe					•	
(Print or type name of person signing)			• , • .			
Trustee						
(Print or type title of person signing)		ند ۱۰۰ هماستوناری منسور د.				
Signed and sworn to before me on (date) Jun		<u> </u>	_,			
at Cook County, Illinois (	(state):	نتو	معمم			 8
Valerie Boldann 1	Notary Publi	ic.	VALERIE	ICIAL SEAL J. BALDAS IC, STATE OF IL		Þ
Commission expires: 5-22-2018	_•			on Expires 5/2		

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	• • • • • • • • • • • • • • • • • • •	
If yes, please identi	fy below (1) the name and tit	le of such person, (2) the	name of the legal entity to which
	ed; (3) the name and title of telletionship, and (4) the precise		department head to whom such relationship.
		· .	
		· · · · · · · · · · · · · · · · · · ·	

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

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	identified a	or (2) above sabuilding which the p	code scoffl	aw or p	roblem: la	ndlord and	l the ad			ing
	Broken .	<i>i</i>		٠		. "		C. Carlo	4 .	
	[ ] Yes	**************************************	•	[1]	No .	· · · · · · · · · · · · · · · · · · ·	Not	Applicabl	le	
2.	the Applica	icant is a legant identified fithe Munici	l as a buildi	blicly tr ng code	aded on a scofflaw	ny exchan or proble	ge, is a m landl	ny officer ord pursu	or directant to S	ctor o
,	[ ] Yes	٠. ،		[ X]	ιΫΟ		•	The said	• • •	. •
,	r i Vas	•	•	. [ 47]	NY-	. 1		٠,		
-l.,	building co	Municipal C de scofflaw	or problem	m-2-154 Landlor	-010,-18,ti d pursuar	ne Applicant to Section	nt or an on 2-92	y Owner; -416 of th	identific ie Muni	ed as cipal

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting	ng this EDS. Include d/b/a/ if applicable:
DJ2 LLC	
Check ONE of the following three boxes:	
Applicant in which the Disclosing Party ho OR  3. [] a legal entity with a right of control (so which the Disclosing Party holds a right of or other party holds.	ct interest in the Applicant. State the legal name of the olds an interest: Lakeshore East LLC ee Section II.B.1.) State the legal name of the entity in control:
B. Business address of the Disclosing Party:	225 N. Columbus, Suite 100
	Chicago, IL 60601
C. Telephone: 312-642-8869 Fax: 312-6	42-2773 Email: !buel@magellandevelopment.com
D. Name of contact person: Laura Buel	
	nave one):
F. Brief description of contract, transaction or o which this EDS pertains. (Include project numbers)	ther undertaking (referred to below as the "Matter") to ber and location of property, if applicable):
Application for Approval for Lake Michigan and Chicago	Lakefront Protection Ordinance at 381-383 E. Wacker Dr.
G. Which City agency or department is requesti	ing this EDS? DPD
If the Matter is a contract being handled by the complete the following:	he City's Department of Procurement Services, please
Specification # N/A	and Contract # N/A

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

#### 1. Indicate the nature of the Disclosing Party: [ ] Person M Limited liability company [ ] Publicly registered business corporation [] Limited liability partnership [ ] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership []Yes []No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [] No [] N/A []Yes B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title \_Joel M Carlins James R Loewenberg A Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Dusin	iess Address	i ciccinage i	nterest in the	
			Disclosina.P	artu	
Loewenberg 2007	GST Trust	225 N Columbus, Chá	ićago, II	·	
Loewenberg Fami	lly Trust	225 N Columbus	ş, İ	•	
		Chicago, IL_60601_	<del>,,,,,,</del>	<del>-</del>	
Carlins Limited	l Partnership	225 N Columbus	<u></u>		·
		Chicago, IL 60601	<u> </u>	! 	<u> </u>
No other person	s or entitie	s own in excess of 7.	.5% of disclos	ing party.	•
_					
RECTION III 1	RHCINECC DE	HTIW PHIPMOITA IS	CITY REECT	<b>ED OFFICIALS</b>	
Decitor in	OCSIMESS KE	LAHONSHILB WITH			
SECTION III	BOSINESS RE	LAHONSHIIS WIII			
Has the Disclos	ing Party had a	"business relationship," a	as defined in Cha	apter 2-156 of the	
Has the Disclos	ing Party had a		as defined in Cha	apter 2-156 of the	
Has the Disclos	ing Party had a ty elected offici	"business relationship," a ial in the 12 months befor	as defined in Cha	apter 2-156 of the	
Has the Disclos	ing Party had a	"business relationship," a ial in the 12 months befor	as defined in Cha	apter 2-156 of the	
Has the Disclos	ing Party had a ty elected offici	"business relationship," a ial in the 12 months befor	as defined in Cha	apter 2-156 of the	
Has the Disclos Code, with any Ci	ing Party had a ty elected offici [X] No	"business relationship," a ial in the 12 months befor	as defined in Cha e the date this E	apter 2-156 of the DS is signed?	
Has the Disclos Code, with any Ci [] Yes  If yes, please ident	ing Party had a ty elected offici [X] No	"business relationship," a ial in the 12 months befor	as defined in Cha e the date this E	apter 2-156 of the DS is signed?	
Has the Disclos Code, with any Ci [ ] Yes f yes, please ident	ing Party had a ty elected offici [X] No	"business relationship," a ial in the 12 months befor	as defined in Cha e the date this E	apter 2-156 of the DS is signed?	
Has the Disclos Code, with any Ci [] Yes  If yes, please ident	ing Party had a ty elected offici [X] No	"business relationship," a ial in the 12 months befor	as defined in Cha e the date this E	apter 2-156 of the DS is signed?	
Has the Disclos Code, with any Ci	ing Party had a ty elected offici [X] No	"business relationship," a ial in the 12 months befor	as defined in Cha e the date this E	apter 2-156 of the DS is signed?	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES.

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate-whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	· · · · · · · · · · · · · · · · · · ·		
M Check here if the Disc	closing Party h	as not retained, nor expects to retain	, any such persons or entities
SECTION V CERTI	FICATIONS	and the second s	and the second second
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
		-415, substantial owners of business th their child support obligations thro	
		tly owns 10% or more of the Disclosons by any Illinois court of competer	
[]Yes 💢 N		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person of is the person in complian		court-approved agreement for payme	ent of all support owed and
[]Yes []N	lo	en de la composition de la composition de la composition de la composition de la composition de la composition La composition de la	And the second s
B. FURTHER CERTIFIC	CATIONS		e e e e e e e e e e e e e e e e e e e
consult for defined terms submitting this EDS is th	(e.g., "doing t e Applicant an	opter 1-23, Article I ("Article I")(who business") and legal requirements), it is doing business with the City, the	f the Disclosing Party en the Disclosing Party
with, or has admitted guideriminal offense involvin	lt of, or has ev g actual, attem	icant nor any controlling person is c er been convicted of, or placed unde apted, or conspiracy to commit bribe officer or employee of the City or a	er supervision for, any ery, theft, fraud, forgery,

Applicant-understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or-principals as-the-ineligible-entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity,
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furthe				
Certifications), the DisclosingN/A	Party must explain below:			

presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [x] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes [No NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes []No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Name Business Address

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.	
1. The Disclosing Party verifies that the Disclosing Party has searched any and all the Disclosing Party and any and all predecessor entities regarding records of investmer from slavery or slaveholder insurance policies during the slavery era (including insurance issued to slaveholders that provided coverage for damage to or injury or death of their sthe Disclosing Party has found no such records.	nts or profits ce policies
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 Disclosing Party has found records of investments or profits from slavery or slaveholde policies. The Disclosing Party verifies that the following constitutes full disclosure of a records, including the names of any and all slaves or slaveholders described in those records.	r insurance all such
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS	
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated be and proceeds of debt obligations of the City are not federal funding.	_
A. CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the federal Lobby Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing P respect to the Matter: (Add sheets if necessary):	
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the wappear, it will be conclusively presumed that the Disclosing Party means that NO person registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on	ns or entities
Disclosing Party with respect to the Matter.)	
2. The Disclosing Party has not spent and will not expend any federally appropriate any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to person or entity to influence or attempt to influence an officer or employee of any agency applicable federal law, a member of Congress, an officer or employee of Congress, or a member of Congress, in connection with the award of any federally funded contract, may federally funded grant or loan, entering into any cooperative agreement, or to extend on	to pay any  cy, as defined by  an employee of a  aking any

comply with these disclosure requirements may make any contract entered into with the City in

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

			• • •	
· · · · · · · · · · · · · · · · · · ·	g Party will submit an up ny event that materially a			-
501(c)(4) of the Inter	g Party certifies that eithen al Revenue Code of 198 nal Revenue Code of 198	86; or (ii) it is an	organization describe	ed in section
form and substance to subcontract and the D	ng Party is the Applicant o paragraphs A.1. throug Disclosing Party must ma r and must make such ce	h A.4. above fro intain all such su	m all subcontractors libcontractors' certification	pefore it awards any ations for the
B. CERTIFICATION	N REGARDING EQUAI	L EMPLOYME	NT OPPORTUNITY	
	ally funded, federal regul mit the following inform	-	bids or in writing at th	ne outset of
Is the Disclosing Part	y the Applicant?			
[]Yes	[]No			t e get
If "Yes," answer the t	hree questions below:		74.11.11.11.11.11.11.11.11.11.11.11.11.11	
	Sloped and do you have o (See 41 CFR Part 60-2.) [] No	on file affirmativ	e action programs pur	rsuant to applicable
Contract Compliance under the applicable f		g Committee, the Employment Op	Director of the Offic portunity Commission	e of Federal a all reports due
	en en en en en en en en en en en en en e			tion of the second seco
equal opportunity class	ase?	COMMACIS OF SUDO	ontracts subject to the	<del>5</del>
[] Yes	[ ] No	. •		
3. Have you parti equal opportunity class [] Yes	cipated in any previous	contracts or subc		e

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

DI2 LLC	
(Print or type name of Disclosing Party)	
By:	
(Sign here)	$(A_{ij}, A_{ij}, A_{$
The state of the s	
Joel Carlins	
(Print or type name of person signing)	and the second of the second o
A Manager (Print or type title of person signing)	
Signed and sworn to before me on (date) Juan County, Illinois	<u>ne 10, 2015</u> , (state).
Valena Abaldana	Notary Public. "OFFICIAL SEAL" VALERIE J. BALDASSIN NOTARY PUBLIC, STATE OF ILLINOIS
Commission expires: 5-22.2018	My Commission Expires 5/22/2018
	Ą

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

L.I No

f l Yes

sch person is connected; (3) the name and title of the elected city official or department head to wherson has a familial relationship, and (4) the precise nature of such familial relationship.	title of the elected city official or department head to whom such precise nature of such familial relationship.		low (1) the name and title o			
rson has a familial relationship, and (4) the precise nature of such familial relationship.	ne precise nature of such familial relationship.	ch person is connected;	3) the name and title of the	elected city official	or department head to v	whom suc
		son has a familial relati	onship, and (4) the precise in	ature.or-súch-familia	al relationship.	
		•				
		•	, * *	•	•	

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

3.	identified a	s:a:buildin	ove, please ic g code scoff e pertinent co	law or proble	em glandi	lord and	the addr	ess of the b	uilding or
	A 10 1 18 18 18	er en estre e		and discount	24 1 4	Description of the			٠.
12 <b>2.</b>	the Applica 2-92-416 o	nt identifi f the Mun	egal entity pu ed as a build icipal Code?	ing code sco	fflaw or	problem	landlor	d pursuant	to Section
	[ ] Yes	•	e de la companya de l	[ <sub>X</sub> ] No	::! :•••		· ·	e terresis	erra Transport
	building co Code?	3001114		r iandiord pu	irsuant v	-	기류 소류다. - 201		idincipai

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

W

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party	submitting this EDS. Include d/b/a/ if applicable:
Lakeshore East LLC	······································
Check ONE of the following three be	oxes:
Indicate whether the Disclosing Party s  1. [] the Applicant  OR	submitting this EDS is:
Applicant in which the Disclosin OR	
3. [] a legal entity with a right of c which the Disclosing Party holds a	control (see Section II.B.1.) State the legal name of the entity in right of control:
B. Business address of the Disclosing	Party: 225 N. Columbus, Suite 100
	Chicago, IL 60601
C. Telephone: <u>312-642-8869</u> F	Fax: 312-642-2773 Email:
D. Name of contact person: Laura Buel	Land to the state of the state
E. Federal Employer Identification No	. (if you have one):
F. Brief description of contract, transa	ction or other undertaking (referred to below as the "Matter") to ject number and location of property, if applicable):
Application for Approval for Lake Michigan a	nd Chicago Lakefront Protection Ordinance at 381-383 E. Wacker Dr.
G. Which City agency or department i	is requesting this EDS? DPD
If the Matter is a contract being han complete the following:	adled by the City's Department of Procurement Services, please
Specification # N/A	and Contract # N/A
•	

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [x] Limited liability company [ ] Publicly registered business corporation [] Limited liability partnership [ ] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Yes [] No [] Other (please specify) [] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? 4.00 (2.00) [] Yes [] No B. IF THE DISCLOSING PARTY IS A LEGAL-ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name David Carlins Joel Carlins ---- A Manager ----- A Manager -----

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Robin Tebbe

A Manager

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
Loevenherg 2007 GS	Trust 225 N Columbus	· · · · · · · · · · · · · · · · · · ·
	Chicago, IL 60	601
DJ2 LLC	225 N Columbus	39.2%
State State	Chicago, IL .60	0601
No other persons of SECTION III BUSING Has the Disclosing Page 1	NESS RELATIONSHIPS W arty had a "business relationsh	22.05% of 7.5% of Disclosing Party. ITH CITY ELECTED OFFICIALS ip," as defined in Chapter 2-156 of the Municipal pefore the date this EDS is signed?
[]Yes	[X] No	
If yes, please identify be relationship(s):	elow the name(s) of such City	elected official(s) and describe such
- 1		

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate wheretained or anticipate to be retained)		Business Address	Relationship to E (subcontractor, a lobbyist, etc.)		Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
Schuyler Roche & Crisham	180 N Ste	tson, #3700, Chica	go, IL 60601	attomey	\$25,000
Studio Gang Architects	1212 N As	hland, Chicago, IL	60622	architect	\$20,000
BKL Architecture LLC	225 N Col	umbus Dr., #100, C	hicago, IL 60601	architect	\$30,000
Kimley-Horn and Assoc	111 W Jac	kson Blvd #700, C	hicago, IL 60604	engineer	\$10,000
Olin Partnership, Ltd.	150 S Inde	ependence Mall We	est, Philadelphia, PA 19106	architect	\$5,000
(Add sheets if nece	essary)		•		

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

### SECTION V -- CERTIFICATIONS

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [X] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

#### B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under... Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or

Commence of the contract of th

- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the abo	ve stateme	nts in this Pa	art B (Further
Certifications), the Disclosing Party must explain below:	•		

					3	
NA						
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	<u></u>		i		<del></del>	_·
					•	

presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during th 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in th course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is . [ $\chi$ ] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
and the state of t

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

			·
	the word "None," or no response a	ppears on the lines above, it will be ied to the above statements.	
D. CERTIFICATION	ON REGARDING INTEREST IN	CITY BUSINESS	
Any words or terms meanings when use	_	of the Municipal Code have the same	;
	inancial interest in his or her own	Iunicipal Code: Does any official or ename or in the name of any other personantering.	
NOTE: If you che		o Items D.2. and D.3. If you checked	"No" to
elected official or e any other person or for taxes or assessm "City Property Sale"	mployee shall have a financial into entity in the purchase of any prop- tents, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no trest in his or her own name or in the rerty that (i) belongs to the City, or (ii) gal process at the suit of the City (coll en pursuant to the City's eminent domaing of this Part D.	name of is sold ectively,
Does the Matter inv	olve a City Property Sale?		•
[] Yes	[] No	-	,
· ·	ed "Yes" to Item D.1., provide the es having such interest and identif	names and business addresses of the Gy the nature of such interest:	City

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

_x1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of amember of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

comply with these disclosure requirements may make any contract entered into with the City in

connection with the Matter voidable by the City.

				•	
which there occurs	ing Party will submit an any event that materially A.1. and A.2. above.				
501(c)(4) of the Int	ing Party certifies that eiternal Revenue Code of 1 ternal Revenue Code of 1	1986; or (ii) it is an or	ganization desc	ribed in section	ıg
form and substance subcontract and the	sing Party is the Applica to paragraphs A.1. throus Disclosing Party must nater and must make such	ugh A.4. above from a naintain all such subc	all subcontracto ontractors' certi	rs before it awards a fications for the	ny
B. CERTIFICATION	ON REGARDING EQU	AL EMPLOYMENT	OPPORTUNIT	Y	
	erally funded, federal reg abmit the following infor				
Is the Disclosing Pa	arty the Applicant?	en en en en en en en en en en en en en e		A Company of the Comp	<i>:</i> .
[] Yes	[] No		*		
If "Yes," answer the	e three questions below:	A. J. J. S.		· i ·	
	veloped and do you have ' (See 41 CFR Part 60-2 [] No		tion programs <sub>l</sub>	pursuant to applicab	le
Contract Compliand	ed with the Joint Reportice Programs, or the Equate filing requirements?				
and the second s				Consequence of the second control of the sec	
3. Have you parequal opportunity of	rticipated in any previou lause?	s contracts or subcont	racts subject to	the	
[]Yes	[ ] No				
If you checked "No	" to question 1. or 2. abo	ove, please provide an	explanation:		,

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Lakeshore East LLC			
(Print or type name of Disclosing Party)	<del></del>		
			,
(Sign here)	•	•	
(organisto)			
	·.	:	
(Print or type name of person signing)	· .	,	•
A Manager			
(Print or type title of person signing)		من على المراجع المراجع المناه المراجع المناه المراجع ا	
Signed and sworn to before me on (date)_	June 10, 2015		
at Cook County, Illinois	(state):		IAL SEAL"
Valeri Boldamin	Notary Public.	NOTARY PUBLIC	BALDASSIN , STATE OF ILLINOIS Expires 5/22/2018
Commission expires: 5-22-2018	<u>.</u>	<u> </u>	

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[X] No	•			
such person is conne	ntify below (1) the name and ected; (3) the name and title I relationship, and (4) the pre	title of such	person, (2) the	department head to v	
	V 1				,

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to N building cod Code?								
· ·.	[ ] Yes			[X ] No			,		,
2.	If the Applicanthe Applicantes 2-92-416 of	it identified the Municip	as a buildir oal Code?	ig code sco	fflaw or	problem	landlor	d pursuant <sup>;</sup> 1	
	[ ] Yes		en formalist Congotació	[ ] No		[∷X] 	Not Ap	plicable	
3.	If yes to (1) of identified as buildings to	a building o	e, please ide code scoffla	entify below w or proble	v the nan em landl	ne of the ord and t	person	or legal ent	ity
;· .						and the second	; p~~ ▼ ,1 S	ne g met depen gan en	<u> </u>

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting	ting this EDS. Include d/b/a/ if applicable:	
Carlins Limited Partnership		•
Check ONE of the following three boxes:		
Indicate whether the Disclosing Party submittin 1. [] the Applicant OR	ng this EDS is:	
	ect interest in the Applicant. State the legal name of holds an interest: Lakeshore East LLC	
3. [] a legal entity with a right of control (so which the Disclosing Party holds a right of o	see Section II.B.1.) State the legal name of the entity control:	in
B. Business áddress of the Disclosing Party:	225 N. Columbus, Suite 100	
	Chicago, IL 60601	· .
C. Telephone: 312-642-8869 Fax: 312-6	642-2773 Email:	<del></del> , ·
D. Name of contact person: Laura Buel		
E. Federal Employer Identification No. (if you h	have one):	_
F. Brief description of contract, transaction or o which this EDS pertains. (Include project numbers)	other undertaking (referred to below as the "Matter") nber and location of property, if applicable):	to
which this EDS pertains. (Include project numb	— ·	to
which this EDS pertains. (Include project number Application for Approval for Lake Michigan and Chicago	nber and location of property, if applicable):	to
which this EDS pertains. (Include project number Application for Approval for Lake Michigan and Chicago G. Which City agency or department is request.)	nber and location of property, if applicable):  go Lakefront Protection Ordinance at 381-383 E. Wacker Dr.	

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

<ol> <li>Indicate the nature of the Disclosing Particle</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the Sbusiness in the State of Illinois as a foreign en  [] Yes  [] No	State of Illinois: Has the organization registered to do atity?  [/] N/A
B. IF THE DISCLOSING PARTY IS A LEG	FAL ENTITY?
NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability ne and title of each general partner, managing member, atrols the day-to-day management of the Disclosing Party. abmit an EDS on its own behalf.
Name Joel Carlins	Title Manager
J.M. Carlins LLC	General Partner

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

**Business Address** 

Name

		Disclosing Party
Joel M Carlins	225 N Columbus, Chicago IL	
Allen Carlins	same as above	,
David Carlins	same as above	
Elizabeth Kotas	same as above	the state of the s
SECTION III - BU  Has the Disclosing	SINESS RELATIONSHIPS WITH ( Party had a "business relationship," as elected official in the 12 months before	CITY ELECTED OFFICIALS s defined in Chapter 2-156 of the Municipal
[]Yes	. [x] No	
relationship(s):	below the name(s) of such City electe	
<del></del>		

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate wheth retained or anticipated to be retained)		Relationship to Disclosing Par (subcontractor, attorney, lobbyist, etc.)	rty Fees (indicate whether paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is not an acceptable response.
		· · · · · · · · · · · · · · · · · · ·	
	<del></del>	<u> </u>	<del></del>
(Add sheets if necessa			
[x] Check here if the D	isclosing Party ha	as not retained, nor expects to re-	tain, any such persons or entities
SECTION V CERT	TIFICATIONS.		
A. COURT-ORDERE	D CHILD SUPP	ORT COMPLIANCE	
the City must remain in  Has any person who di	rectly or indirect	415, substantial owners of busing their child support obligations by owns 10% or more of the Discuss by any Illinois court of compe	throughout the contract's term. closing Party been declared in
_	No [·] No	o person directly or indirectly ow closing Party.	
1. 41		ourt-approved agreement for pay	
		and the second of the second of the second	
[ ] Yes 6 - 1 [ ]	No		200
B. FURTHER CERTII	FICATIONS .		
	nicipal Code Chap	oter 1-23, Article I ("Article I")( usiness") and legal requirements	which the Applicant should
submitting this EDS is certifies as follows: (i):	the Applicant and neither the Appli	I is doing business with the City cant nor any controlling person i r been convicted of, or placed ur	then the Disclosing Party s currently indicted or charged

doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a, or b, above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

		_	_	is unable t Party mus		-	-	he above	<sup>i</sup> ștateme	nts in this	Part B (	Further
	NIA		<del></del>	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	<u> </u>							
<del>,</del>	19/11				<del> </del>		•	٠.				-1-1-1-
				· · · · · · · · · · · · · · · · · · ·								

	"NA," the word "None, at the Disclosing Party.		-	ove, it will be conclusively
complete list month period	f Chicago (if none, indi	es of the Disclosing on date of this EDS cate with "N/A" or	g Party who were, at a , an employee, or ele	any time during the 12- cted or appointed official,
				<del></del>
n e g zesk	The state of the s	Acceptance of the second secon	<u> Agricultura e for a production</u>	
complete list 12-month per official, of the made general course of off with "N/A" of	riod preceding the execute City of Chicago. For lly available to City emplicial City business and lor "none"). As to any gi	closing Party has g ution date of this E purposes of this st ployees or to the go having a retail valu ift listed below, plo	iven or caused to be DS, to an employee, atement, a "gift" doe eneral public, or (ii) for of less than \$20 per ease also list the name	given, at any time during the or elected or appointed s not include: (i) anything ood or drink provided in the recipient (if none, indicate
	<u> 1. janja 118. januar 18. januar</u>			
		· · · · · · · · · · · · · · · · · · ·	·	and the Commence of the State o
	CATION OF STATUS			
1. The D	eisclosing Party certifies	that the Disclosin	g Party (check one)	• • • • • • • • • • • • • • • • • • • •
[ ] is	[x] is not			t :
a "financial ir	nstitution" as defined in	Section 2-32-455	(b) of the Municipal	Code.
2. If the l	Disclosing Party IS a fir	nancial institution,	then the Disclosing l	Party pledges:
Code. We fu	and will not become a protect rither pledge that none o	of our affiliates is,	and none of them wil	l become, a predatory
	oming an affiliate of a p	<del>-</del>		at becoming a predatory f the privilege of doing
Section 2-32-	ing Party is unable to m 455(b) of the Municipa Aunicipal Code, explain	l Code) is a predat	ory lender within the	meaning of Chapter
· · ·				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? IX No []Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to A GOVERNMENT OF THE RESERVE OF THE PARTY OF Item D.1. proceed to Part E. was part of the contract of the 11 6 B ... 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes [] No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Name **Business Address** Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
The state of the s
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities
registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined b applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

which there occur	sing Party will submit an ups any event that materially as A.1. and A.2. above.				-
501(c)(4) of the Ir	sing Party certifies that eith iternal Revenue Code of 19 iternal Revenue Code of 19	86; or (ii) it is a	n organizatio	n described in	section
form and substance subcontract and the	osing Party is the Applicant e to paragraphs A.1. throug e Disclosing Party must ma after and must make such ce	h A.4. above fr intain all such s	om all subcor	ntractors before s' certifications	it awards any for the
	ON DECLIDENCE DOLLA	THE CAN CE			
B. CERTIFICATI	ON REGARDING EQUA	LEMPLOYME	INT OPPORT	UNTTY	
subcontractors to s negotiations.	erally funded, federal regulubrit the following informatty the Applicant? N/A	ation with their	bids or in wi	iting at the out	set of
[]Yes	[]No	¥ .			
If "Yes," answer th	e three questions below:	<b>1</b>	and the state of the	in stage of the	
	eveloped and do you have of (See 41 CFR Part 60-2.)	n file affirmati	ve action prog	grams pursuant	to applicable
Contract Complian under the applicabl	ed with the Joint Reporting ce Programs, or the Equal I e filing requirements?	Employment Op	portunity Co		
	and the second second second second second second second second second second second second second second seco	umana inariak ishlasik ishlasik ishlasik ishlasik ishlasik ishlasik ishlasik ishlasik ishlasik ishlasik ishlasik		The second secon	Annual Statement Control of the Cont
3. Have you pa	rticipated in any previous c lause?	contracts or sub-	contracts sub	ect to the	
[]Yes	[]No				
	" to question 1. or 2. above	, please provid	e an explanati	on:	· 

### SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

1. 44 - 45 - 1 1 1 1 1 1 1 1 1 1 X.C.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Same of the same

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

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Carlins Limited Partnership				•		. • . •
(Print or type name of Disclosing Party)	<u>``</u>					
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By:			.*		1000	· 2 🐪
(Sign here)	r & .	•	*			. ** *,
Joel Carlins		٠	•			
	<del>,</del>		٠.*	r and a	•	.1
(Print or type name of person signing)	r untawa y tight	F + 44(8 2000)	arreste e ispli	s non interes as	18. 142 Com	1.0357697
					•	*1 - 1
Manager of its General Partner	_					
(Print or type title of person signing)	-		processors, mer inches or accommission of	The second contraction of the second contrac		
			,			
	<del>:</del>	نند.		••		
Signed and sworn to before me on (date)	1 1	ol5 ,	İ			::
at <u>Cook</u> County, <u>Illinois</u>	_ (state).					••
1/0 : 10 00		•	****	-	ممم	
Valence of Sildania	_ Notary Púb	olic.		FICIAL SEAL" J. BALDASS	INI E	
<b>.</b>	•		NOTARY PUB	LIC, STATE OF ILLI	NOIS	
Commission expires: 5-22-2018		•	My Commiss	sion Expires 5/22/2	2018	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[x] No				
If yes, please identify	below (1) the name and tit d; (3) the name and title of ationship, and (4) the preci	le of such persor			
person has a familial rel	ationship, and (4) the preci	se nature of such	tamiliai relatio	onsnip.	
<del></del>	· · · · · · · · · · · · · · · · · · ·				

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?
	[ ] Yes [ x] No
2.	If the Applicant is:a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?
	[ ] Yes [X ] Not Applicable
3.	If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.
<del></del>	
- 1 40°	Section 1997 (1997)
	FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitti	ng this EDS. Include d/b/a/ if applicable:
J.M. Carlins LLC	<del>1 </del>
Check ONE of the following three boxes:	·
Indicate whether the Disclosing Party submittin 1. [] the Applicant OR	
	ect interest in the Applicant. State the legal name of the olds an interest: Lakeshore East LLC
3. [] a legal entity with a right of control (s which the Disclosing Party holds a right of	ee Section II.B.1.) State the legal name of the entity in control:
B. Business address of the Disclosing Party:	225 N. Columbus Drive, Suite 100
· · · · · · · · · · · · · · · · · · ·	Chicago, IL 60601
C. Telephone: 312-642-8869 Fax: 312-6	642-2773 Email:    Ibuel@magellandevelopment.com
D. Name of contact person: Laura Buel	
	have one):
F. Brief description of contract, transaction or owhich this EDS pertains. (Include project num	other undertaking (referred to below as the "Matter") to ber and location of property, if applicable):
Application for approval for Lake Michigan and Chicago	Lakefront Protection Ordinance at 381-383 E. Wacker Dr.
G. Which City agency or department is request	ting this EDS? DPD
If the Matter is a contract being handled by t complete the following:	the City's Department of Procurement Services, please
Specification # NA	and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [x] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [] Joint venture [ ] Privately held business corporation [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] General partnership [] No [] Limited partnership [] Trust [] Other (please specify) For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes []No [x] N/AB. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title Name Manager Joel Carlins Manager **David Carlins**

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

**Business Address** 

Name

		Disclosing Party	
Joel M Carlins	225 N Columbus Dr., Chicago, IL	90%	
David Carlins	same as above	10%	
		······································	
	<del>and the second </del>		<del></del> .
SECTION III B	BUSINESS RELATIONSHIPS WIT:	H CITY ELECTED OFFICIAL	S
	ing Party had a "business relationship, y elected official in the 12 months bef	_ · · · · · · · · · · · · · · · · · · ·	e Municipal
[]Yes	[x] No		
If yes, please ident relationship(s):	ify below the name(s) of such City ele	cted official(s) and describe such	
·			

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whethe retained or anticipated to be retained)	r Business Address		Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	y)		The second secon
[x] Check here if the Di	sclosing Part	y has not retained, nor expects to retain	n, any such persons or entities.
SECTION V CERT	TFICATION	<b>vs</b>	e in organizació de kerció es
A. COURT-ORDERE	D CHILD SU	PPORT COMPLIANCE	
-		-92-415, substantial owners of busines with their child support obligations th	
	-	rectly owns 10% or more of the Disclo ations by any Illinois court of compet	= -
[] Yes [x]		] No person directly or indirectly own Disclosing Party.	s 10% or more of the
If "Yes," has the person is the person in complia		a court-approved agreement for payn t agreement?	nent of all support owed and
[] Yes []	No	<b>3</b>	
B. FURTHER CERTIF	FICATIONS		
consult for defined term submitting this EDS is certifies as follows: (i) with, or has admitted g	ns (e.g., "doing the Applicang the the A wilt of, or has	Chapter 1-23, Article I ("Article I") (was business") and legal requirements), and is doing business with the City, pplicant nor any controlling person is ever been convicted of, or placed under tempted, or conspiracy to commit bril	then the Disclosing Party currently indicted or charged der supervision for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:

A Comment of the second

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:							
Certific N/A	cations), the Disc	closing Pa	irty must	explain	below:			1
		•					:	
			,		Notes A S. M. C.	- xx - q	:	
<del></del>								

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[ ] is [x] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

	," the word "None," or no response a umed that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	TION REGARDING INTEREST IN	CITY BUSINESS
*	ns that are defined in Chapter 2-156 sed in this Part D.	of the Municipal Code have the same
	financial interest in his or her own	Municipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you cl Item D.1., procee	<del>-</del>	to Items D.2. and D.3. If you checked "No" to
elected official or any other person of for taxes or assess "City Property Sa	employee shall have a financial into or entity in the purchase of any prop sments, or (iii) is sold by virtue of le	ve bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ten pursuant to the City's eminent domain power ning of this Part D.
Does the Matter i	nvolve a City Property Sale?	
[] Yes	[k] No	
-	eked "Yes" to Item D.1., provide the yees having such interest and identi	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
Personal Control Control Control Control	and the temperature are productional and the state of the	solomica teo to their man desire faith i ow the

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.	
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.	
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:	
	•.
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS	
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.	
A. CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):	•
	-
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)	<i>:</i>
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to party person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any	by

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

	Party will submit an updated event that materially affect 1. and A.2. above.			-
501(c)(4) of the Intern	Party certifies that either: (i al Revenue Code of 1986; o al Revenue Code of 1986 bu	r (ii) it is an organiza	tion described in	section
form and substance to subcontract and the Di	g Party is the Applicant, the paragraphs A.I. through A. sclosing Party must maintain and must make such certific	4. above from all subon all such subcontract	contractors befor tors' certification	re it awards any as for the
B. CERTIFICATION	REGARDING EQUAL EM	IPLOYMENT OPPO	RTUNITY	
	ly funded, federal regulation it the following information	with their bids or in		itset of
Is the Disclosing Party	the Applicant?	1		
			•	
[] Yes	[×] No			· 2, · 3
If "Yes," answer the th	rce questions below;	va va va	· · · · · · · · · · · · · · · · · · ·	1.20
1. Have you developed federal regulations? (Solution 1) Yes	oped and do you have on fil See 41 CFR Part 60-2.) [] No	e affirmative action p	rograms pursuar	nt to applicable
Contract Compliance	with the Joint Reporting Corrograms, or the Equal Empling requirements?	loyment Opportunity	Commission all	renorts due
3. Have you partic	ipated in any previous contr	racts or subcontracts s	subject to the	
equal opportunity claus	se? [] No			1
If you checked "No" to	question 1 or 2 above ple	ease provide an expla	nation?	

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

الرقيل والمكروات ويراوان الأياس كالمتوطات والمتحدوة فليست المتعارض المدولا فالماء الماليا ألمانا المتعارفة مالمتاهدة

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

·		15.77	*!
J.M. Carlins LLC			
(Print or type name of Disclosing Party)	<del></del>		
			•
By:			
(Sign here)		<b>1</b> .	
David Carlins		$I \rightarrow$	
(Print or type name of person signing)	•		
	•		भागमा व्यवस्थ
Manager			
(Print or type title of person signing)			
		• .	
	r li z		
Signed and sworn to before me on (date) $N$	ovember 6,20	<u>, 15</u>	
at <u>Cook</u> County, <u>Illinois</u>	(state).	•	
Valen Abeldania	Notary Public.	9000000	
	· /	OFFICIAL SEAL* VALERIE J. BALDA	COIN
Commission expires: 5-22-208	<del>:</del>	NUTARY PUBLIC, STATE OF I	LI INDIS JA
•		My Commission Expires 5/2	2/2018

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x ] No	
such person is connec	ted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.
	<u> </u>	

magnaria de la composición del composición de la composición de la composición de la composición de la composición del composición de la composición de la composición de la composición de la composición de la composición de la composición de la composición de la composición de la composición de la composición de la composición de la composición de la composición de la c .

ation is not getting entere when a control was a by attention transfer at attention of the control of anisotic described as and the second control of the co

#### RECERTIFICATION:

Amendment to Residential/Business

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Planned Development #70 [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Loewenberg 2007 GST Trust	Date: 11-6-15
(Print or type legal name of Disclosing Party)	
By:  (sign/here)	
Print or type name of signatory:	
Robin Tebbe	
Title of signatory:	
Trustee	
Signed and sworn to before me on [date] Nover Rebin Tebbe at Cook	county, <u>Illinois</u> [state].
Commission expires: 5-22-2018	"OFFICIAL SEAL" VALERIE J. BALDASSIN NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 5/22/2018
Var II-01-05	

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Application for approval for Lake Michigan and Chicago Lakefront Protection Ordinance [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Loewenberg 2007 GT Trust	Date: 11=6-15	
(Print or type legal name of Disclosing Party)		
By:		
Dolow Teldoe		
(sign here)		,
Print or type name of signatory:		
Robin Tebbe		
Title of signatory:		,
Trustee		
Signed and sworn to before me on [date] November, at		ate].
Jalen Blann Notar	ry Public.	•
Commission expires: 5-22-208	"OFFICIAL SEAL"  VALERIE J. BALDASSIN  NOTARY PUBLIC, STATE OF ILLINOIS  My Commission Expires 5/22/2018	

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Planned Development #70 [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Carlins Limited Partnership (Print or type legal name of Disclosing Party)	Date: 11-6-15
Ву	· · · · · · · · · · · · · · · · · · ·
(sign here)	
Print or type name of signatory:	
Joel M Carlins	
Title of signatory:	
Member	
Signed and sworn to before me on [date] Nover  Joel Carlins , at Cook  Value Baldama No	nber 6, 2015, by County, Tlinois [state].  stary Public.
Commission expires: 5-22-2018	"OFFICIAL SEAL" VALERIE J. BALDASSIN NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 5/22/2018

# RECERTIFICATION

Generally, for use with City Council matters. Not	for City procurements unless requested.
This recertification is being submitted in connectio [identify the Matter]. Under penalty of perjury, the he/she is authorized to execute this EDS recertificate warrants that all certifications and statements contained are true, accurate and complete as of the date furnishanceurate and complete as of the date of this recertifications acknowledgments.	person signing below: (1) warrants that tion on behalf of the Disclosing Party, (2) ined in the Disclosing Party's original EDS shed to the City and continue to be true,
Carlins Limited Partnership (Print or type legal name of Disclosing Party)	Date: 11-6-15
By	· ·
(sign here)	
Print or type name of signatory:	
Joel M Carlins	,
Title of signatory:	
Member	
Signed and sworn to before me on [date] Novame  Joel Carlins , at Cook  Valence Abaldaman Notan	Sic 6 2015, by County, Illinois [state].  y Public.
Commission expires: 5-22-2018	OFFICIAL SEAL" VALERIE J. BALDASSIN NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 5/22/2018

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Planned Development #70 [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

DJ2 LLC	Date: $\int l - (e^{-1})$
(Print or type legal name of Disclosing Party)	
By.	
(sign here)	1
Print or type name of signatory:	: 4
Joel M Carlins	
Title of signatory:	
Manager	
Signed and sworn to before me on [date] Novem  Joel Carlins at Cook  Nota	County, Illinois [state].
Commission expires: 5-22-248	"OFFICIAL SEAL"  VALERIE J. BALDASSIN  NOTARY PUBLIC, STATE OF ILLINOIS  My Commission Expires 5/22/2018

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Application for approval for Lake Michigan and Chicago Lakefront Protection Ordinance [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party; (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

DJ2 LLC (Print or type legal name of Disclosing Party)	Date: 11-6-15
By: (Frint of type tegat name of Disclosing Party)	
(sign here)	
Print or type name of signatory:	the second of th
Joel M Carlins	
Title of signatory:	State of the state
Manager	
Signed and sworn to before me on [date] Nov  Joel Carlins at Cook  Volume Abaldanian No  Commission expires: 5-22-2018	County, <u>Throas</u> [state].  otary Public.  "OFFICIAL SEAL"  VALERIE J. BALDASSIN  NOTARY PUBLIC, STATE OF ILLINOIS  My Commission Expires 5/22/2018

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Amendment to Residential/Business Planned Development #70 [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Lakeshore East LLC	Date: 11-6-15
(Print or type legal name of Disclosing Party	
By	
(sign here)	
Print or type name of signatory:	
Joel M Carlins	
Title of signatory:	
Manager	
Signed and sworn to before me on [date] A  Joel Carlins at Cook	Levember & 2015, by County, Illinois [state].  Notary Public.
Commission expires: 5.22-2018	"OFFICIAL SEAL"  VALERIE J. BALDASSIN  NOTARY PUBLIC, STATE OF ILLINOIS  My Commission Expires 5/22/2018
Ver. 11-01-05	

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with <u>Chicago Lakelron' Protection Ordinance</u> [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Lakeshore East LLC	Date: 15
(Print or type legal name of Disclosing Party	
By:	
(sign here)	,
Print or type name of signatory:	
Joel M Carlins	
Title of signatory:	••
Manager	
Signed and sworn to before me on [date] No Took Carlins, at Cook	County, <u>Hinois</u> [state].  Notary Public.
Commission expires: 5-22-2018	VALERIE J. BALDASSIN NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 5/22/2018