

# City of Chicago



O2014-9681

# Office of the City Clerk

**Document Tracking Sheet** 

**Meeting Date:** 

12/9/2014

Sponsor(s):

Thomas (17)

Type:

Ordinance

Title:

Vacation of Public Way(s) bounded by W 75th St, S Laflin St, W 76th St and S Ashland Ave

**Committee(s) Assignment:** 

Committee on Transportation and Public Way

#### **COMMERCIAL ORDINANCE**

WHEREAS, the City of Chicago ("City") is a home rule unit of local government pursuant to Article VII, Section 6 (a) of the 1970 constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the properties at 7500-7558 S. Laflin Street and 7501-7559 S. Ashland Avenue are owned by Gendell Partners 75<sup>th</sup>/Ashland LLC; and

WHEREAS, pursuant to ordinance adopted by the City Council of the City of Chicago (the "City Council") on November 5, 2014 and published in the Journal of Proceedings of the City Council (the "Journal") for such date at pages 96156 – 96160, the City vacated a north/south 18-foot public alley ("Alley") to Gendell Partners 75<sup>th</sup>/Ashland LLC ("Gendell"); and

WHEREAS, Gendell proposes to assemble the surrounding lots, the Alley, and the South thirty-three (33) feet of W. 75<sup>TH</sup> Street ("Street") to be vacated herein for commercial development; and

WHEREAS, due to a larger area of assemblage not included in the November 5, 2014 ordinance, it is advisable that the November 5, 2014 ordinance be repealed and this ordinance be adopted to more appropriately represent all Alley and Street areas to be vacated; and

WHEREAS, the City Council of the City of Chicago, after due investigation and consideration, has determined that the nature and extent of the public use and the public interest to be subserved is such as to warrant the vacation of that part of the public Street and the Alley, described in the following ordinance; now therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. THE NORTH-SOUTH 18 FOOT WIDE PUBLIC ALLEY IN J.R. LANE'S SUBDIVISION OF BLOCK 19 IN JONES SUBDIVISION OF THE WEST HALF OF SECTION 29, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN PER DOCUMENT 1540324 RECORDED SEPTEMBER 23, 1891 LYING WEST OF AND ADJOINING LOTS 1 THROUGH 24, INCLUSIVE, AND LYING EAST OF AND ADJOINING LOTS 25 THROUGH 48, INCLUSIVE, IN SAID J.R. LANE'S SUBDIVISION, LYING NORTH OF

AND ADJOINING THE NORTH LINE OF WEST 76TH STREET AND LYING SOUTH OF AND ADJOINING THE SOUTH LINE OF THE SOUTH 33 FEET OF WEST 75TH STREET;

#### **ALSO**

THAT PART OF THE SOUTH 33.00 FEET OF WEST 75TH STREET LYING NORTH OF AND ADJOINING LOT 1, THE NORTH-SOUTH 18 FOOT WIDE PUBLIC ALLEY AND LOT 48 IN J.R. LANE'S SUBDIVISION OF BLOCK 19 IN JONES SUBDIVISION OF THE WEST HALF OF SECTION 29, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN PER DOCUMENT 1540324 RECORDED SEPTEMBER 23, 1891, LYING EAST OF AND ADJOINING THE NORTHERLY EXTENSION OF THE EAST LINE OF SOUTH ASHLAND AVENUE AS WIDENED PER ORDINANCE PASSED JUNE 29, 1922, ORDER OF POSSESSION DECEMBER 3, 1931 AND LYING WEST OF AND ADJOINING THE NORTHERLY EXTENSION OF THE WEST LINE OF SOUTH LAFLIN STREET, ALL IN COOK COUNTY, ILLINOIS. SAID PARCEL OF LAND HEREIN DESCRIBED CONTAINS 22,286 SQUARE FEET OR 0.512 ACRES, MORE OR LESS, as shaded and legally described by the words HEREBY VACATED on the plat hereto attached as Exhibit A, which drawing for greater clarity, is hereby made a part of this ordinance, be and the same is hereby vacated and closed, inasmuch as the same is no longer required for public use and the public interest will be subserved by such vacations.

SECTION 2. On October 22, 2003, Gendell purchased the real estate abutting the proposed to—be-vacated segment of W. 75<sup>th</sup> Street and the North-South 18 foot public Alley, as such Street and Alley are legally described in Section 1 above, pursuant to a Limited Warranty Deed (the "Deed") recorded on November 2, 2003, with the Office of the Cook County Record of Deeds as Document No. 0330727233. The Deed also included the conveyance of the portion of W. 75<sup>th</sup> Street, and the North-South 18 foot public Alley, such Street and Alley now subject to this Ordinance. Gendell has paid all property taxes on the real estate in the Deed, including the taxes on the area constituting the South 33 feet of W. 75<sup>th</sup> Street, and the North-South 18 foot public Alley, as legally described herein in Section 1 above.

SECTION 3. The November 5, 2014 ordinance is hereby repealed to allow for the vacation of both the Street and Alley areas as provided for in this Ordinance.

SECTION 4. The vacation herein provided for is made in an effort to correct the public record on the status and ownership of the South 33 feet of W. 75<sup>th</sup> Street and the North-South 18 foot public Alley. As such, no compensation shall be due for the benefits which will accrue to Gendell as owner of the property abutting said portion of W. 75<sup>th</sup> Street, and the North-South 18 foot public Alley to-be vacated pursuant to this Ordinance.

SECTION 5. The City of Chicago hereby reserves for the benefit of Commonwealth Edison and its successors or assigns, a non-exclusive utility easement to operate, maintain, construct, replace and renew overhead wires, poles, and associated equipment and underground conduit, cables, and associated equipment for the transmission and distribution of electrical energy, telephonic and associated services under, over and along the portions of Street and Alley herein vacated, with the right of ingress and egress. The grade of the vacated public way shall not be altered in a manner so as to interfere with the operation and maintenance of Commonwealth Edison facilities. No buildings, permanent structures or obstructions shall be placed over Commonwealth Edison facilities without express written release of easement by Commonwealth Edison. Any future vacation-beneficiary prompted relocation of Commonwealth Edison facilities lying within the area being vacated will be accomplished by Commonwealth Edison, and be done at the expense of beneficiary of the vacation.

SECTION 6. The vacation herein provided for is made upon the express condition that within one hundred eighty (180) days after the passage of this ordinance, Gendell shall file or cause to be filed for record in the Office of the Recorder of Deeds of Cook County, Illinois a certified copy of this ordinance, together with an attached plat, and an oversized plat, as approved by the Superintendent of Maps and Plats.

SECTION 7. This ordinance shall take effect and be in force from and after its passage. The Street and Alley vacation shall take effect and be in force from and after its recording.

Vacations Approved:

Rebekah Scheinfeld

Commissioner of Transportation

Approved as to Form and Legality

Richard Wendy

Deputy Corporation Counsel

Honorable Latasha R. Thomas

Alderman, 17<sup>th</sup> Ward

Environmental Design International inc.

DRAWN BY AU/NOT

DATE 12/01/2014 PROJ No 1873 001

DWG No: 1873 001 CREW CHIEF N/A

CMI, Survey, Environmental and Construction Inspection Set 33 W MONROE STREET, SUITE 1825, CHICAGO IL 60603 Ph (312) 345-1400 Fax (312) 345-0529

#### **EXHIBIT "A"**

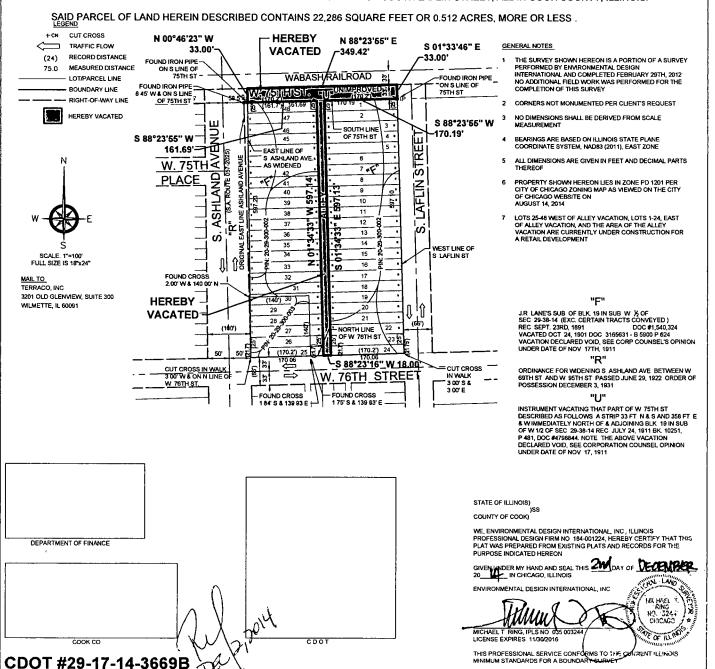
#### PLAT OF VACATION

#### LEGAL DESCRIPTION

THE NORTH-SOUTH 18 FOOT WIDE PUBLIC ALLEY IN J.R. LANE'S SUBDIVISION OF BLOCK 19 IN JONES SUBDIVISION OF THE WEST HALF OF SECTION 29, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN PER DOCUMENT 1540324 RECORDED SEPTEMBER 23, 1891 LYING WEST OF AND ADJOINING LOTS 1 THROUGH 24, INCLUSIVE, AND LYING EAST OF AND ADJOINING LOTS 25 THROUGH 48, INCLUSIVE, IN SAID J.R. LANE'S SUBDIVISION, LYING NORTH OF AND ADJOINING THE NORTH LINE OF WEST 76TH STREET AND LYING SOUTH OF AND ADJOINING THE SOUTH LINE OF THE SOUTH 33 FEET OF WEST 75TH STREET;

#### **ALSO**

THAT PART OF THE SOUTH 33 00 FEET OF WEST 75TH STREET LYING NORTH OF AND ADJOINING LOT 1, THE NORTH-SOUTH 18 FOOT WIDE PUBLIC ALLEY AND LOT 48 IN J R. LANE'S SUBDIVISION OF BLOCK 19 IN JONES SUBDIVISION OF THE WEST HALF OF SECTION 29, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN PER DOCUMENT 1540324 RECORDED SEPTEMBER 23, 1891, LYING EAST OF AND ADJOINING THE NORTHERLY EXTENSION OF THE EAST LINE OF SOUTH ASHLAND AVENUE AS WIDENED PER ORDINANCE PASSED JUNE 29, 1922, ORDER OF POSSESSION DECEMBER 3, 1931 AND LYING WEST OF AND ADJOINING THE NORTHERLY EXTENSION OF THE WEST LINE OF SOUTH LAFLIN STREET, ALL IN COOK COUNTY, ILLINOIS.



TERRACO, INC

3201 OLD GLENVIEW, SUITE 300 WLMETTE, IL 60091 ILLINOIS PROFESSIONAL DESIGN FIRM LICENSE NO. 184-001224

PLAT OF VACATION

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

Gendell Partners 75th / Ashland L	LC
Check ONE of the following three	boxes:
Indicate whether the Disclosing Party  1. [x] the Applicant  OR	y submitting this EDS is:
., . , .	ct or indirect interest in the Applicant. State the legal name of the ing Party holds an interest:
	f control (see Section II.B.1.) State the legal name of the entity many a right of control:
B. Business address of the Disclosing	g Party: 3201 Old Glenview Road, Suite 300
	Wilmette, Illinois 60091
<del></del>	Fax: 847-679-6695 Email: dwander@terracorealestate.com
F. Federal Employer Identification N	o. (if you have one):
E. I edelai Employer Identification IV	
F. Brief description of contract, trans which this EDS pertains. (Include provacation of S. 33 feet of	raction or other undertaking (referred to below as the "Matter") to roject number and location of property, if applicable):  W. 75th Street between S. Ashland Avenue the north-south 18 foot public alley bounded by
F. Brief description of contract, trans which this EDS pertains. (Include pr Vacation of S. 33 feet of and S. Laflin Street and Ashland Ave., W. 76th St.	roject number and location of property, if applicable):  W. 75th Street between S. Ashland Avenue  the north-south 18 foot public alley bounded by
F. Brief description of contract, trans which this EDS pertains. (Include pr Vacation of S. 33 feet of and S. Laflin Street and Ashland Ave., W. 76th St. G. Which City agency or department	oject number and location of property, if applicable): W. 75th Street between S. Ashland Avenue the north-south 18 foot public alley bounded by T. S. Laflin St., and RR tracks north of W. 76th

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY Indicate the nature of the Disclosing Party: [] Person [x] Limited liability company [ ] Publicly registered business corporation [] Limited liability partnership [ ] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership [] Yes [] No [] Other (please specify) [ ] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes [] No A\N [k] B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titlcholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member. manager or any other person or entity that controls the day-to-day management of the Disclosing Party NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Scott Gendell Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure

Name	Business Address	Disclosing Party
See Attachme	nt 1	
	BUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	ing Party had a "business relationsh ty elected official in the 12 months b	ip," as defined in Chapter 2-156 of the Municipal pefore the date this EDS is signed?
[]Yes	[x] No	
If yes, please ident relationship(s):	tify below the name(s) of such City (	elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Address	Relationship to Disclosing I (subcontractor, attorney, lobbyist, etc.)	paid or e "hourly	dicate whether estimated.) NOTE: rate" or "t.b.d." is ecceptable esponent
Neal & Leroy, LLC	203 N. LaSalle	St., Suite 2300 Chicago, IL 600	601 Attorney	\$3,000 est.
EDI	33 W. Monroe	St. Chicago IL 60603	Engineer	\$3,000 est.
(Add sheets if necessary	·)			
[ ] Check here if the Dis	closing Party h	as not retained, nor expects to	retain, any such	n persons or entities
SECTION V CERT	IFICATIONS			
A. COURT-ORDEREE	CHILD SUPF	ORT COMPLIANCE		
-		-415, substantial owners of buth their child support obligation		
		tly owns 10% or more of the D ons by any Illinois court of con		
[] Yes [3	[ ] No person directly or indirectly owns 10% or more of the Disclosing Party.			
If "Yes." has the person is the person in complia		court-approved agreement for greement?	payment of all:	support owed and
[] Yes []	No			
B. FURTHER CERTIF	ICATIONS			
consult for defined term submitting this EDS is t certifies as follows: (i) r with, or has admitted gu	s (e.g., "doing he Applicant an neither the App tilt of, or has ex	apter 1-23. Article I ("Article I business") and legal requirement is doing business with the Clicant nor any controlling perse for been convicted of, or placed opted, or conspiracy to commit	ents), if the Disc lity, then the Di on is currently i d under supervi	closing Party sclosing Party ndicted or charged sion for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.I. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state anutrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly; controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity, with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed or mathrespect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter.

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders, prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a, or b, above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party. Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5 Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverifted List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7.	he Disclosing Party is unable to certify to any of the above statements in this Part B (Fur	ther
Certifi	ons), the Disclosing Party must explain below:	
		·

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements
8 To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
n/a
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in a course of official City business and having a retail value of less than \$20 per recipient (if none, indicated with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
n/a
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financia' institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary).

	" the word "None," or no response a amed that the Disclosing Party certif	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	ION REGARDING INTEREST IN	CITY BUSINESS
Any words or term meanings when us	· · · · · · · · · · · · · · · · · · ·	of the Municipal Code have the same
	financial interest in his or her own t	Innicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you ch	<del>-</del>	o Items D.2. and D.3. If you checked "No" to
elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial interesting or entity in the purchase of any proper ments, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City rest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powerning of this Part D.
Does the Matter in	nvolve a City Property Sale?	
[]Yes	[x] No	
-	ked "Yes" to Item D.I., provide the yees having such interest and identif	names and business addresses of the City  by the nature of such interest:
Name	Business Address	Nature of Interest
	·	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1 or 2, below. If the Disclosing Party checks 2,, the Disclosing Party must disclose below or in an attachment to this FDS all information required by paragraph 2. I addic to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
$x_1$ . The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2 The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew.

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1, and A.2, above.
- 4. The Disclosing Party certifies that either: (1) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986, or (11) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

[] Yes [] No  If "Yes," answer the three questions below:  1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  [] Yes [] No  2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes [] No  3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  [] Yes [] No	is the Disclosing Part	the Applicant?	
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  [] Yes [] No  2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes [] No  3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?	[] Yes	[ ] No	
federal regulations? (See 41 CFR Part 60-2.)  [] Yes [] No  2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes [] No  3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?	If "Yes," answer the	ree questions below:	
[] Yes [] No  2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [] No  3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?			cable
Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes  [] No  3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?	-		
equal opportunity clause?	Contract Compliance under the applicable	rograms, or the Equal Employment Opportunity Commission all reports duing requirements?	l <b>c</b>
[] Yes [] No	• •	•	
	[ ] Yes	[ ] No	
If you checked "No" to question 1, or 2, above, please provide an explanation:	If you checked "No"	question 1, or 2, above, please provide an explanation:	
**************************************			

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Gendell Partners 76th & Ashland LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	<del></del>
By: Sun Way	Leve
(Sign here)	
Scott Gendell	
(Print or type name of person signing)	<del>-</del>
Manager	
(Print or type title of person signing)	
Signed and sworn to before me on (date) at County,	10 ovember 24, 2014 (state).
	Notary Public.
Commission expires:	
OFFICIAL SEAL DANIEL WANDER NOTARY PUBLIC - STATE OF ILLINOIS MY CONGRESSION EXPIRES:05/30/16	Page 12 of 13

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle. niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is connec	cted; (3) the name and title of th	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such a nature of such familial relationship.
		·

# Attachment 1 To EDS For Gendell Partners 75<sup>th</sup> / Ashland LLC

Section II - B. 2.

Scott Gendell
 Old Glenview Road, suite 300
 Wilmette, Illinois 60091

Percentage Interest in Disclosing Party: 93%

Dan Wander
 3201 Old Glenview Road, suite 300
 Wilmette, Illinois 60091

Percentage Interest in Disclosing Party: 4%

Robert Swanson
 Old Glenview Road, suite 300
 Wilmette, Illinois 60091

Percentage Interest in Disclosing Party: 2%

4. Lauren Stone
3201 Old Glenview Road, suite 300
Wilmette, Illinois 60091

Percentage Interest in Disclosing Party: 1%