

# City of Chicago



O2017-7761

# Office of the City Clerk Document Tracking Sheet

**Meeting Date:** 11/8/2017

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 1-G at 1462 W Ohio St -

App No. 19437T1

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

#19437-T1 INTRO DATE NOV. 8, 2017

## ORDINANCE

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** Title 17, of the Municipal Code of Chicago, the Chicago Zoning Ordinance be amended by changing all the RS3 Residential Single-Unit (Detached House) District symbols and indications as shown on Map No. 1-G in the area bounded by

the alley next north of and parallel to West Ohio Street; a line 175 feet west of and parallel to North Bishop Street; West Ohio Street; and a line 200 feet west of and parallel to North Bishop Street,

to those of a RM5 Residential Multi-Unit District and a corresponding use district is hereby established in the area above described.

**SECTION 2.** This ordinance shall be in force and effect from and after its passage and due publication.

Common address of property:

1462 West Ohio Street

#### 17-13-0303-C (1) Narrative Zoning Analysis – 1462 W. Ohio Street

Proposed Zoning: RM-5

Lot Area: 3,146.27 square feet

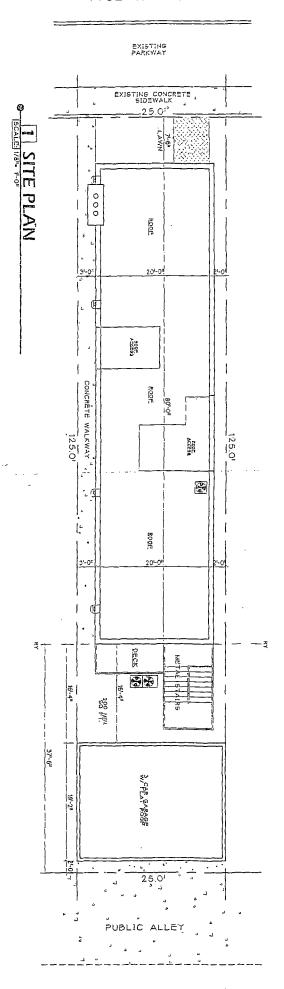
Proposed Land Use:

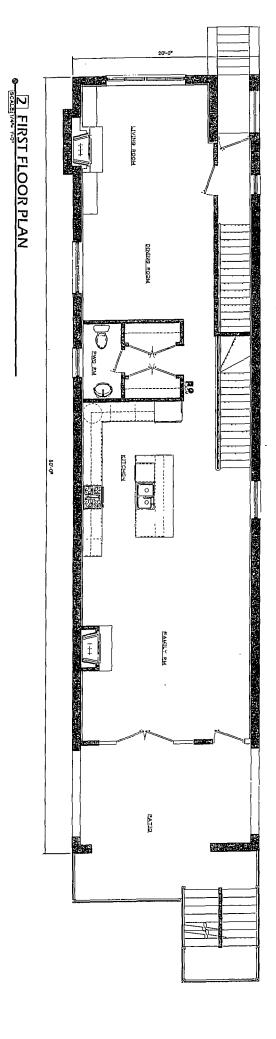
The Applicant is proposing to develop the subject property with a four-story residential building that will contain three (3) dwelling units. The proposed building will be masonry construction. The proposed building will be 45 feet in height. A three-car detached garage will be located at the rear of the subject lot.

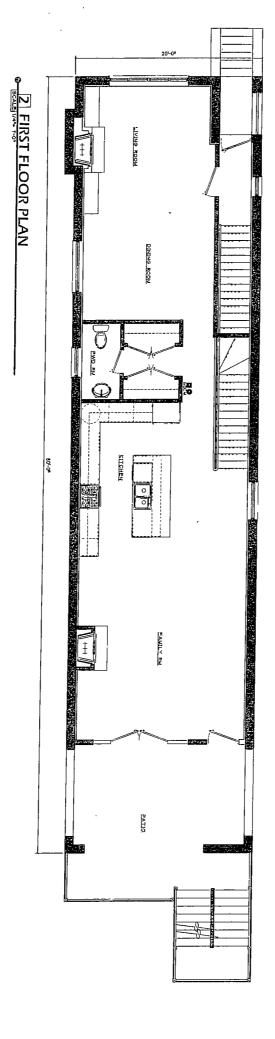
- (a) The Project's Floor Area Ratio: 1.89
- (b) The project's density (Lot Area per Dwelling Unit): 1,048.76 square feet
- (c) The amount of off-street parking: 3 parking spaces
- (d) Setbacks:
  - a. Front Setback: 7 feet 6 inches
  - b. Rear Setback: 37 feet 6 inches
  - c. Side Setbacks: East side 3 feet / West side 2 feet
  - d. Rear Yard Open Space: 200 square feet
- (e) Building Height: 45 feet

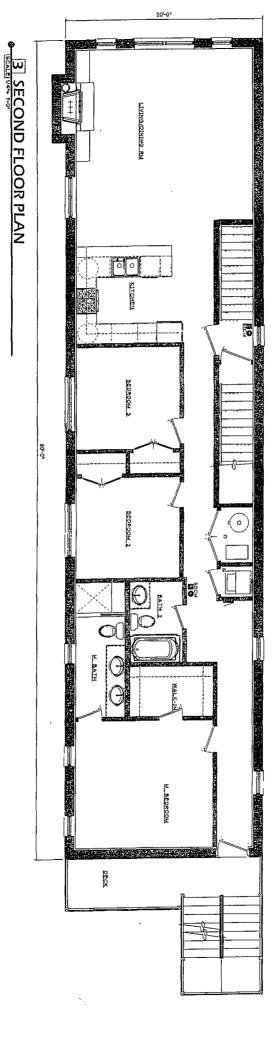
\*17-10-0207-A

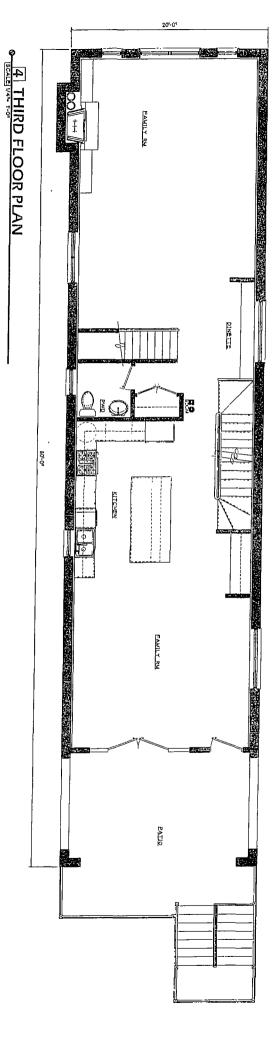
<sup>\*17-13-0303-</sup>C(2) - Plans Attached.

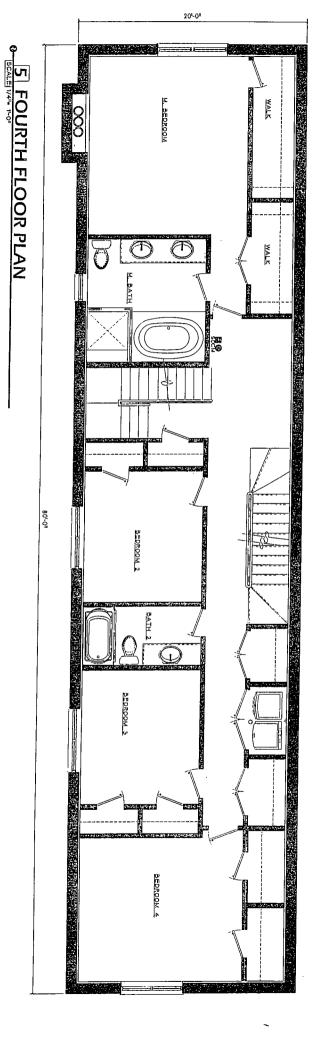


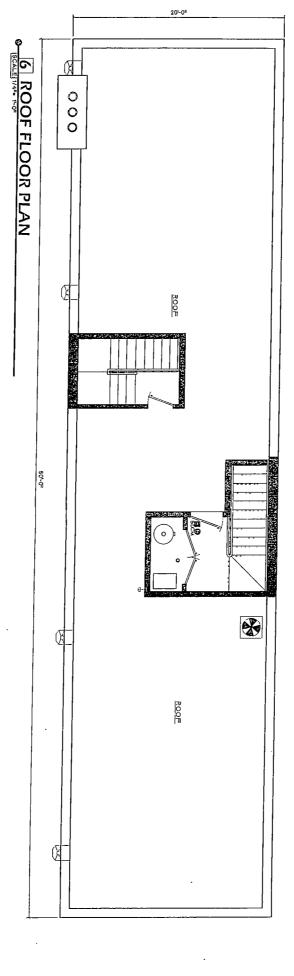


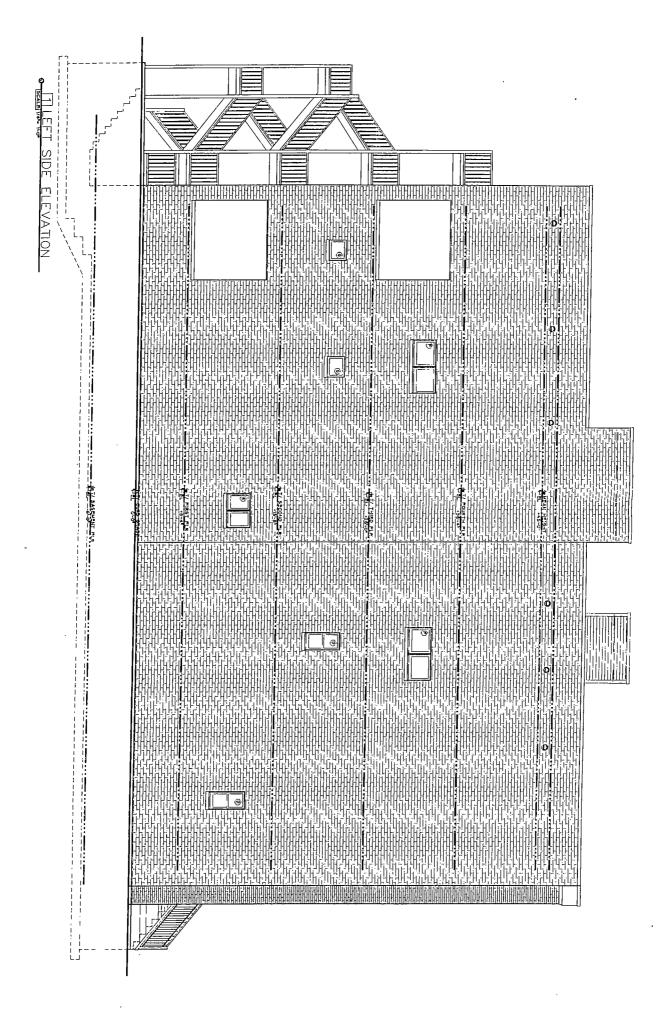


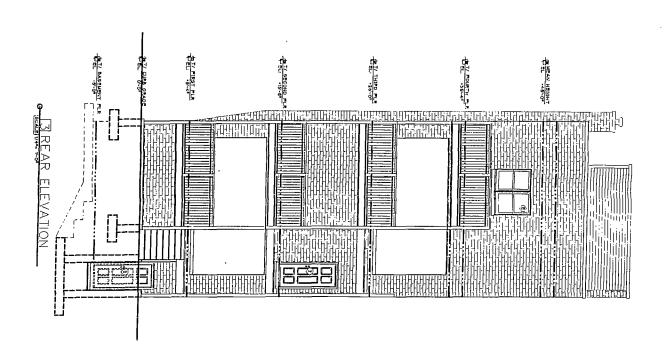












# MM SURVEYING CO., INC.

5812 W. HIGGINS AVENUE CHICAGO, ILLINOIS 60630

# PROFESSIONAL DESIGN FIRM No. 184-003233 PLAT OF SURVEY

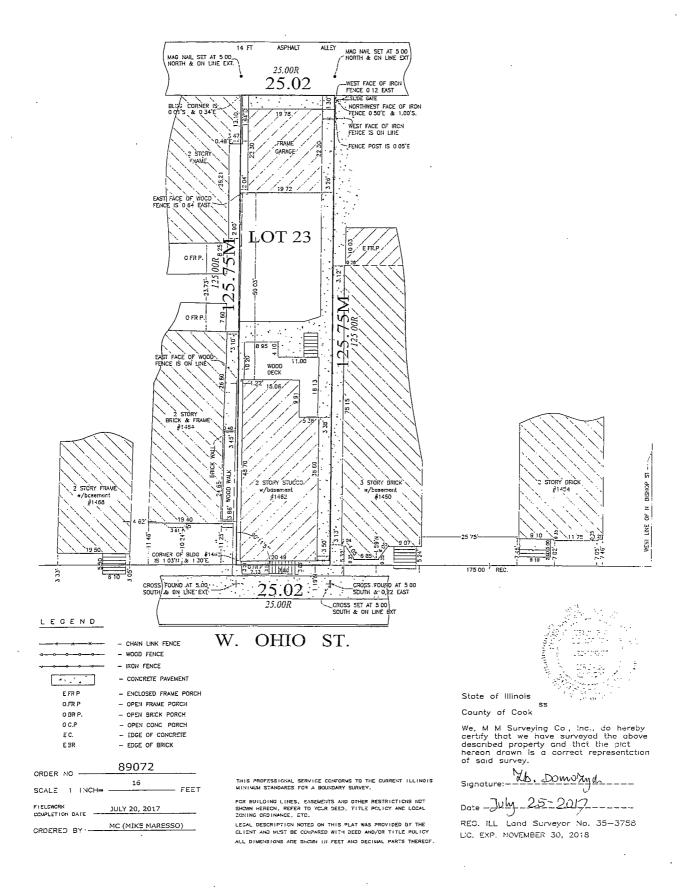
JIIVE I mmsurv

PHONE:(773)282-5900 FAX: (773)282-9424 mmsurvey1285@sbcglobal.net



LOT 23 IN BLOCK 11 BIKERDIKES ADDITION TO CHICAGO IN SECTION 8, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

TOTAL LAND AREA = 3,146 sq ft



DocuSign Envelope ID: 580E7783-4656-4863-BD9B-75170B2810BD

To whom it may concern:

I, Barbara J. Spiotto, as Trustee and on behalf of the Barbara J. Spiotto Living Trust dated Nov. 30, 2013, Owner of property located at 1462 W. Ohio, Chicago, IL, authorize the Law Offices of Samuel V.P. Banks to file a zoning amendment application on behalf of the Contract Purchaser and Applicant, Noble Buildings, LLC, with the City of Chicago for that property.

Bartrara Spiotto

Barbara J. Spicito, Frastee

Barbara J. Spiotto Living Trust dated Nov. 30, 2013

#### Written Notice, Form of Affidavit: Section 17-13-0107

November 2, 2017

Honorable Daniel Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304 - City Hall Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Nicholas Ftikas, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was sent by USPS First Class Mail no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned as 1462 W. Ohio Street, Chicago, Illinois; a statement of intended use of said property; the name and address of the Applicant; the name and address of the owner; and a statement that the applicant intends to file an application for a change in zoning on approximately November 2, 2017.

The Applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago; that the Applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

Law Offices of Samuel V.P. Banks

By: Nicholas Ftikas. Attorney

Subscribed and Sworn to before me this the 2<sup>nd</sup> Day of November 2017.

Motary

DATHELLE SANDS
OF F.CIAL SEAL
Hotary Fubria, State of Binnois
My Commission Expires
October 29, 2018

*Via USPS First Class Mail* November 2, 2017

Dear Sir or Madam:

In accordance with the Amendment to the Chicago Zoning Code enacted by the City Council, Section 17-13-0107-A, please be informed that on or about November 2, 2017, I, the undersigned, intend to file an application for a change in zoning from the RS-3 Residential Single Unit (Detached House) District to a RM-5 Residential Multi-Unit District, on behalf of the Applicant, Noble Builders, LLC, for the subject property located at 1462 W. Ohio Street, Chicago, Illinois.

The Applicant is proposing to develop the subject property with a four-story residential building that will contain three (3) dwelling units. The proposed building will be masonry construction. The proposed building will be 45 feet in height. A three-car detached garage will be located at the rear of the subject lot.

The Applicant, Noble Builders, LLC, is located at 184 S. Lawndale Avenue, Elmhurst, IL 60126.

The Property Owner, the Barbara J. Spiotto Living Trust dated Nov. 30, 2013, is located at 12443 S. Route 59, Unit 103, Plainfield. IL 60585.

I am the attorney for the Applicant, and I will serve as the contact person for this zoning application. My address is 221 N. LaSalle Street, Chicago, IL 60601. My telephone number is 312-782-1983.

Very truly yours,

LAW OFFICES OF SAMUEL V.P. BANKS

Nicholas Etikas

\*Please note that the Applicant is NOT seeking to purchase or rezone your property.

\*The Applicant is required to send this notice to you because you are shown to own property located within 250 feet of the property subject to the proposed Zoning Amendment.

#### FORM OF AFFIDAVIT

Chairman, Committee on Zoning Room 304 - City Hall Chicago, IL 60602

To Whom It May Concern:

I, Peter Allen, as Manager and on behalf of Noble Buildings, LLC, an Illinois limited liability company, understand that the Law Offices of Samuel V.P. Banks has filed a swom affidavit identifying Noble Buildings, LLC, as the Contract Purchaser and Applicant holding interest in land subject to the proposed zoning amendment for the property identified as 1462 W. Ohio, Chicago, IL.

I, Peter Allen, on behalf of Nobel Buildings, LLC, being first duly sworn under oath. depose and say that Noble Buildings, LLC, holds that interest for itself and no other person, association, or shareholder.

Noble Buildings, LLC

Subscribed and Sworn to before me \_day of October, 2017.

OFFICIAL SEAL NICHOLAS FTIKAS NOTARY PUBLIC - STATE OF ILLINOIS

To whom it may concern:

I, Peter Allen, as Manager and on behalf of Noble Buildings, LLC, a limited liability company, the Contract Purchaser and Applicant concerning the subject property located at 1462 W. Ohio, Chicago, IL, authorize the Law Offices of Samuel V.P. Banks to file a zoning amendment application with the City of Chicago for that property.

Peter Allen

Noble Buildings, LLC

#19437-TI INTRO DATE NOV. 8, 2017

### CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	1462 W. Ohio Street				
2.	Ward Number that property is located in: 1				
3.	APPLICANT: Noble Buildings, LLC				
	ADDRESS: 184 S. Lawndale Avenue	_CITY: <u>Elmh</u>	urst		
	STATE: Illinois ZIP CODE: 60126	_ PHONE: <u>(3</u>	12) 782-1983		
	EMAIL: nick@sambankslaw.com CONTACT PERSON: 1	Nicholas Ftika	S		
4.	Is the Applicant the owner of the property? YES x	NO			
	If the Applicant is not the owner of the property, please proinformation regarding the owner and attach written authoriallowing the application to proceed.	ovide the follo zation from th	wing e owner		
	OWNER: Barbara J. Spiotto Living Trust dated Nov. 30.	2013			
	ADDRESS: 12443 S. Route 59	_ CITY:	Plainfield		
	STATE: <u>Illinois</u> ZIP CODE: 60585	_ PHONE: _	773-405-9808		
	EMAIL: jsell@jselllaw.com CONTACT PERSON:	Jeffre	ey Sell		
5.	If the Applicant/Owner of the property has obtained a lawy the rezoning, please provide the following information:	yer as their rep	resentative for		
	ATTORNEY: Law Offices of Samuel V.P. Banks				
	ADDRESS: 221 North LaSalle Street, 38th Floor				
	CITY: Chicago STATE: Illinois	_ ZIP CODE	: 60601		
	PHONE: (312) 782-1983 FAX: (312) 782-2433 EN	MAIL: nick@s	ambankslaw.com		

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.), please provide the names of all owners as disclosed on the Economic Disclosure Statements.  Peter Allen, Manager
7.	On what date did the owner acquire legal title to the subject property? <u>Purchase subject to Zoning.</u>
8.	Has the present owner previously rezoned this property? If Yes, when?
9.	Present Zoning District: RS-3 Proposed Zoning District: RM-5
10.	Lot size in square feet (or dimensions): 3.146.27 square feet
11.	Current Use of the Property: The subject property is improved with a two-story residential building and a detached garage.
12.	Reason for rezoning the property: <u>To permit a proposed four-story residential building</u> that will contain three (3) dwelling units.
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)  The Applicant is proposing to develop the subject property with a four-story residential building that will contain three (3) dwelling units. The proposed building will be masonry construction. The proposed building will be 45 feet in height. A three-car detached garage will be located at the rear of the subject lot.
14.	The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?
	YESNOx

# COUNTY OF COOK STATE OF ILLINOIS

I, Peter Allen, as Manager of Noble Buildings, LLC, an Illinois limited liability company, being first duly sworn on oath, state that all the above statements and the statements contained in the documents submitted herewith are, to the best of my knowledge, true and correct.

Signature of Applicant

OFFICIAL SEAL NICHOLAS FTIKAS NOTARY PUBLIC - STATE OF ILLINOIS My Commission Expires September 28, 2020

For Office Use Only

Date of Introduction:	<del></del>
File Number:	
Ward:	

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

Ver.2017-1

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:		
Noble Buildings, LLC		
Check ONE of the following three boxes:	·	
the contract, transaction or other undertaking to	nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal	
_	t right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control:	
B. Business address of the Disclosing Party:	184 South Lawndale Avenue	
	Elmhurst, Illinois 60126	
C. Telephone: 312-782-1983 Fax:	Email: nick@sambankslaw.com	
D. Name of contact person: Nicholas Ftikas - Attorn	ey	
E. Federal Employer Identification No. (if you	ı have one):	
F. Brief description of the Matter to which thi property, if applicable):	s EDS pertains. (Include project number and location of	
The Applicant is seeking a Zoning Amendment for the prop	erty located at 1462 West Ohio.	
G. Which City agency or department is reques	sting this EDS? DPD/COZ	
If the Matter is a contract being handled by the complete the following:	e City's Department of Procurement Services, please	
Specification #	and Contract #	

Page 1 of 14

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: 7 Person Limited liability company Limited liability partnership Publicly registered business corporation Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership Yes □ No Other (please specify) Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? $\square$ No Organized in Illinois Yes B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Peter Allen Manager 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Ver.2017-1

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name Business Address Percentage Interest in the Applicant Peter Allen 184 South Lawndale Avenue 100% Elmhurst, Illinois 60126 SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? **V** No TYes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes V No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: N/A Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? Yes □No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Ver.2017-1 Page 3 of 14

N/A

Name (indicate whether retained or anticipated Address to be retained)		Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)			Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.	
Law Offices of	221 N LaSa	alle St, 38th F	oor	Attorney	\$5,500 (est.)	
Samuel VP Banks	Chicago, Il	linois			<u></u>	
(Add sheets if n	ecessary)					
Check here	if the Disc	losing Part	y has n	ot retained, n	or expects to ret	tain, any such persons or entities.
SECTION V -	· CERTIF	ICATION	S			
A. COURT-OF	RDERED (	CHILD SU	PPOR	Γ COMPLIA	NCE	
		•				at contract with the City must contract's term.
• •		•	•			closing Party been declared in etent jurisdiction?
☐ Yes 🗸	No 🔲 N	No person o	lirectly	or indirectly	owns 10% or m	nore of the Disclosing Party.
If "Yes," has the is the person in	*			×	reement for pay	ment of all support owed and
Yes	No					
B. FURTHER	CERTIFIC	CATIONS				

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Ver.2017-1 Page 5 of 14

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further iffications), the Disclosing Party must explain below:
	e letters "NA," the word "None," or no response appears on the lines above, it will be conclusively umed that the Disclosing Party certified to the above statements.
com	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a uplete list of all current employees of the Disclosing Party who were, at any time during the 12-ath period preceding the date of this EDS, an employee, or elected or appointed official, of the City Chicago (if none, indicate with "N/A" or "none").
the office made the opoli	To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a applete list of all gifts that the Disclosing Party has given or caused to be given, at any time during 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed cial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything degenerally available to City employees or to the general public, or (ii) food or drink provided in course of official City business and having a retail value of less than \$25 per recipient, or (iii) a stical contribution otherwise duly reported as required by law (if none, indicate with "N/A" or ne"). As to any gift listed below, please also list the name of the City recipient.
C. •	CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1.	The Disclosing Party certifies that the Disclosing Party (check one)  is vis not
	a "financial institution" as defined in MCC Section 2-32-455(b).
2.	If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

· Ver.2017-1 Page 7 of 14

MCC Section 2-32	· · · · · · · · · · · · · · · · · · ·	ecause it or any of its affiliates (as defined in the meaning of MCC Chapter 2-32, explain
	the word "None," or no response apmed that the Disclosing Party certifi	
D. CERTIFICATI	ON REGARDING FINANCIAL IN	TEREST IN CITY BUSINESS
Any words or term	as defined in MCC Chapter 2-156 ha	ve the same meanings if used in this Part D.
after reasonable in		e best of the Disclosing Party's knowledge of the City have a financial interest in his or tity in the Matter?
Yes	<b>☑</b> No	
	ecked "Yes" to Item $D(1)$ , proceed t Items $D(2)$ and $D(3)$ and proceed to	o Items D(2) and D(3). If you checked "No" Part E.
official or employ other person or en taxes or assessmen "City Property Sal	ee shall have a financial interest in h tity in the purchase of any property t ats, or (iii) is sold by virtue of legal p	dding, or otherwise permitted, no City elected is or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D.
Does the Matter in	avolve a City Property Sale?	
Yes	✓ No	
<u>▼</u>	, , , <del>_</del>	mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
N/A		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by
the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

Page 9 of 14

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Yes	Applicant? No	
If "Yes," answer the three of	questions below:	
<ol> <li>Have you developed an federal regulations? (See 4</li> <li>Yes</li> </ol>	nd do you have on file affirmative action programs pursuan 41 CFR Part 60-2.)	t to applicable
•	e Joint Reporting Committee, the Director of the Office of the Equal Employment Opportunity Commission all reportents?  No [] Reports not required	
3. Have you participated equal opportunity clause?  [ ] Yes	in any previous contracts or subcontracts subject to the  [ ] No	
If you checked "No" to que N/A	estion (1) or (2) above, please provide an explanation:	

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

Noble Buildings 11c	
(Print or type exact legal name of Disclosing Party)	
By: (Sign here)	
(Print or type name of person signing)	
(Print or type title of person signing)	
Signed and sworn to before me on (date) 10-(0- at County, County, (state).	2£17_,
Notary Public	OFFICIAL SEAL NICHOLAS FTIKAS NOTARY PUBLIC - STATE OF ILLINOIS My Commission Expires September 28, 2020
Commission expires: 4-26-7000	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

	ng Party or any "Applicable Party" or any Spouse or Domestic Partner thereof nilial relationship" with an elected city official or department head?
Yes	<b>∨</b> No
which such person	ntify below (1) the name and title of such person, (2) the name of the legal entity connected; (3) the name and title of the elected city official or department head tax a familial relationship, and (4) the precise nature of such familial relationship.
N/A	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

N/A		
•	offlaw or probler	dentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which
Yes	No	The Applicant is not publicly traded on any exchange.
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	✓ No	
		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Barbara J. Spiotto Living Trust dated Nov 30, 2013
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [X] the Applicant - Property Owner OR 2. [] a legal entity currently holding, or anticipated to hold within six months after City action or the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
OR  3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:
C. Telephone: 312-782-1983 Fax: 312-782-2433 Email: nick@sambankslaw.com
D. Name of contact person: Nicholas Ftikas - Attorney for the Applicant
E. Federal Employer Identification No. (if you have one):
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):
Zoning Amendment for 1462 W. Ohio, Chicago, IL
G. Which City agency or department is requesting this EDS? DPD/COZ
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #
Ver.2017-1 Page 1 of 14

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	<del>č</del>
<ol> <li>Indicate the nature of the Disclosing Pa         [ ] Person         [ ] Publicly registered business corporation         [ ] Privately held business corporation         [ ] Sole proprietorship         [ ] General partnership         [ ] Limited partnership         [ x] Trust</li> </ol>	[ ] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ ] Yes [ ] No [ ] Other (please specify)
2. For legal entities, the state (or foreign cour	ntry) of incorporation or organization, if applicable:
Illinois (trust)	
3. For legal entities not organized in the State business in the State of Illinois as a foreign en  [] Yes [] No	e of Illinois: Has the organization registered to do tity?  [x] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEG	FAL ENTITY:
the entity; (ii) for not-for-profit corporation are no such members, write "no members whi similar entities, the trustee, executor, administ limited partnerships, limited liability comp	opplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ich are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, tager or any other person or legal entity that directly or an of the Applicant.
NOTE: Each legal entity listed below must su	ubmit an EDS on its own behalf.
Name	Title
Barbara J. Spiotto	Trustee
indirect, current or prospective (i.e. within 6 i	concerning each person or legal entity having a direct or months after City action) beneficial interest (including at. Examples of such an interest include shares in a

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name Business Address Percentage Interest in the Applicant Barbara J. Spiotto 100% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes ONK Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [ ] Yes [x] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes []No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

•	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is	
10 00 100		1000/104, 010./	not an acceptable response.	
Law Offices of Sam Banks Attorneys Retained by the Applicant				
221 N. LaSalle St., 38th Flo	or			
Chicago, IL 60501				
(Add sheets if necessary)			•	
[ ] Check here if the Discl	osing Part	y has not retained, nor expects to re	tain, any such persons or entities.	
SECTION V CERTIF	ICATION	S		
A. COURT-ORDERED C	HILD SU	PPORT COMPLIANCE		
	•	antial owners of business entities the support obligations throughout the	•	
		ectly owns 10% or more of the Disc ations by any Illinois court of comp		
[]Yes [x]No []N	Jo person o	lirectly or indirectly owns 10% or n	nore of the Disclosing Party.	
If "Yes," has the person en is the person in compliance		a court-approved agreement for pay agreement?	ment of all support owed and	
[]Yes . []No				
B. FURTHER CERTIFIC	CATIONS			
Procurement Services.] In Party nor any Affiliated E	n the 5-yea intity [see o	the Matter is a contract being hand r period preceding the date of this I definition in (5) below] has engaged the services of an integrity monitor	EDS, neither the Disclosing I, in connection with the	

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties"):
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Ver.2017-1 Page 5 of 14

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.2017-1 Page 6 of 14

believe has not provided or cannot provide truthful certifications.	
11. If the Disclosing Party is unable to certify to any of the above Certifications), the Disclosing Party must explain below:	e statements in this Part B (Further
	N/A
If the letters "NA," the word "None," or no response appears on the presumed that the Disclosing Party certified to the above statement	
12. To the best of the Disclosing Party's knowledge after reasonal complete list of all current employees of the Disclosing Party wh month period preceding the date of this EDS, an employee, or ele of Chicago (if none, indicate with "N/A" or "none").	o were, at any time during the 12- ected or appointed official, of the City
	N/A
13. To the best of the Disclosing Party's knowledge after reasonal complete list of all gifts that the Disclosing Party has given or car the 12-month period preceding the execution date of this EDS, to official, of the City of Chicago. For purposes of this statement, a made generally available to City employees or to the general pub the course of official City business and having a retail value of lepolitical contribution otherwise duly reported as required by law "none"). As to any gift listed below, please also list the name of	used to be given, at any time during an employee, or elected or appointed a "gift" does not include: (i) anything plic, or (ii) food or drink provided in ess than \$25 per recipient, or (iii) a (if none, indicate with "N/A" or
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITU  1. The Disclosing Party certifies that the Disclosing Party (chec  [] is [x] is not	

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

a "financial institution" as defined in MCC Section 2-32-455(b).

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

	mal pages if necessary):	N/A
	the word "None," or no response a med that the Disclosing Party certif	
D. CERTIFICATI	ON REGARDING FINANCIAL IN	TEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 ha	ave the same meanings if used in this Part D.
after reasonable in		te best of the Disclosing Party's knowledge to of the City have a financial interest in his or ntity in the Matter?
[]Yes	[ x] No	
	ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" Part E.
official or employed other person or ent taxes or assessment "City Property Sal	ee shall have a financial interest in laity in the purchase of any property its, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[ ] Yes	[×] No	
		mes and business addresses of the City official: ify the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
		N/A

Ver.2017-1

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  x_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.  2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosin Party with respect to the Matter: (Add sheets if necessary):  N/A	E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS	
the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.	must disclose below or in an attachment to this EDS all information required comply with these disclosure requirements may make any contract entered in	by (2). Failure to
Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:  N/A  SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosin Party with respect to the Matter: (Add sheets if necessary):	the Disclosing Party and any and all predecessor entities regarding records of from slavery or slaveholder insurance policies during the slavery era (includi- issued to slaveholders that provided coverage for damage to or injury or deat	investments or profits ng insurance policies
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosin Party with respect to the Matter: (Add sheets if necessary):	Disclosing Party has found records of investments or profits from slavery or policies. The Disclosing Party verifies that the following constitutes full disc	slaveholder insurance closure of all such
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosin Party with respect to the Matter: (Add sheets if necessary):		N/A
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosin Party with respect to the Matter: (Add sheets if necessary):		
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosin Party with respect to the Matter: (Add sheets if necessary):	NOTE: If the Matter is federally funded, complete this Section VI. If the federally funded, proceed to Section VII. For purposes of this Section VI, t	Matter is not ax credits allocated by
Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosin Party with respect to the Matter: (Add sheets if necessary):	A. CERTIFICATION REGARDING LOBBYING	
N/A		
	Party with respect to the Matter: (Add sheets if necessary):	
	Party with respect to the Matter: (Add sheets if necessary):	·

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

Page 9 of 14

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. N/A

			N/A ·
If	you checked "No" to que	estion (1) or (2) above, please provide an explanation:	
	Have you participated i qual opportunity clause? [ ] Yes	n any previous contracts or subcontracts subject to the [ ] No	
Co ap		Joint Reporting Committee, the Director of the Office of Fine Equal Employment Opportunity Commission all reports nts?  [] No [] Reports not required	
fe	deral regulations? (See 4 [ ] Yes	[]No	••
[f	"Yes," answer the three o	questions below:	
.S	the Disclosing Party the . [ ] Yes	Applicant? [ ] No	

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityoschicago.org/Ethics">www.cityoschicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

Barbara J. Spiotto Living Trust dated Nov	<u>7. 30, 2</u> 013
(Print or type exact legal name of Disclosing P	arty)
By:	
Barbara J. Spiotto	· 
(Print or type name of person signing)	
Trustee	
(Print or type title of person signing)	
Signed and sworn to before me on (date)	1.10.17
Notary Public	State).  OFFICIAL SEAL ELIZABETH L HARDWAY NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:10/27/20
Commission expires: 10 M. 20	WIT COMMISSION EXPIRES, 10/27/20

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

		N/A
which such persor	n is connected; (3) the name and tit	le of such person, (2) the name of the legal entity to the of the elected city official or department head to 4) the precise nature of such familial relationship.
[]Yes	[x] No	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[x] No	
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[]Yes	[ ] No	[x] The Applicant is not publicly traded on any exchange.
	offlaw or probler	lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which
		N/A