



City of Chicago



O2018-8006

Office of the City Clerk

Document Tracking Sheet

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| Meeting Date: | 10/31/2018 |
| Sponsor(s): | Misc. Transmittal |
| Type: | Ordinance |
| Title: | Zoning Reclassification Map No. 1-E at 200 E Randolph St - App No. 19869 |
| Committee(s) Assignment: | Committee on Zoning, Landmarks and Building Standards |

19869
INTRO DATE
OCT 31, 2018

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO

SECTION 1: Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance is hereby amended by changing all the Residential Business Planned Development No. 70, As Amended symbols and indications as shown on Map No. 1-E in an area bounded by:

The south right-of-way line of East Wacker Drive or the line thereof extended where no street exists; a line 3,095 feet east of the west line of North Michigan Avenue; a line 140 feet south of the north line of East Randolph Street, or the line thereof if extended where no street exists; a line 2,022 feet east of the west line of North Michigan Avenue; the north line of East Randolph Street; the east line of North Stetson Street; the north line of East Lake Street; North Michigan Avenue; the north line of East South Water Street; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; the south line of the alley next north of and parallel to East South Water Street; the east line of the alley next east of and parallel to North Michigan Avenue; a line 138.74 feet north of the north line of the alley next north of and parallel to East South Water Street, or the line thereof if extended where no alley exists; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; a line 428.773 feet north of the north line of East South Water Street; a line 19.69 feet west of the east line of north Beaubien Court, or the line thereof if extended where no street exists; a line 468.819 feet north of East South Water Street; and a line 68.43 feet east of the east line of North Beaubien Court, or the line thereof if extended where no street exists,

to the designation of Residential Business Planned Development No. 70, As Amended 2019, which is hereby established in the area above described, subject to such use and bulk regulations as are set forth in the Plan of Development herewith attached and made a part thereof and to no others.

SECTION 2: This Ordinance shall be in force and effect from after its passage.

COMMON ADDRESS: 200 East Randolph Street

Residential-Business Planned Development Number 70, As Amended 2019

Planned Development Statements

1. The area delineated herein as Residential-Business Planned Development Number 70, as amended ("the Planned Development") consists of approximately one million eight hundred fifty-eight thousand nine hundred twenty-two (1,858,922) square feet (forty-two and sixty-seven hundredths (42.67) acres) of property (the "Property") which is divided into seven (7) subareas as depicted in the attached subarea map. Subareas A, B, C, D, F and G have been substantially developed in a manner consistent with this Planned Development. Subarea B is owned and controlled by 601 W. Companies LLC, herein after referred to as the Subarea B Applicant for this amendment to the Planned Development. This amendment only amends the Planned Development with respect to Subarea B. This amendment does not affect or modify any of the provisions or obligations of the applicants in prior amendments to the Planned Development, specifically with respect to Subarea E which is controlled by Lakeshore East, LLC. IJKL, LLC and Lakeshore East are co-applicants of the 2018 planned development amendment.

2. All necessary official reviews, approvals or permits are required to be obtained by the Subarea B Applicant as to Subarea B and by the respective applicants and owners of the property to Subareas A, C, D, E, F and G.

3. The requirements, obligations and conditions contained within the Planned Development shall be binding upon the respective applicants, their successors and assigns and, if different than the applicant, the legal title holder and any ground lessors with respect to Subarea E and to the respective owners, their successors and assigns with respect to Subareas A, B, C, D, F and G. All rights granted hereunder to the applicant shall inure to the benefit of the applicant's successors and assigns and if different than the applicant, then to the owners of record title to all of the

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Property and any ground lessors with respect to Subarea E and to the respective owners, their successors and assigns with respect to Subareas A, B, C, D, F and G. With respect to Subarea E, Lakeshore East LLC is hereby designated as the controlling entity for purposes of this Planned Development.

4. The Subarea B plan of development consists of these nineteen (19) statements; a Bulk Regulations and Data Table; an Existing Zoning Map; a Generalized Land-Use Plan; a Planned Development Boundary Map; a Subarea Map; Sub-Area B Site Plan; Sub-Area B Plaza Plan; Sub-Area B Concourse Plan, Sub-Area B Lower Level 01 Plan; Sub-Area B Lower Level 03 Plan; Sub-Area B Level 81 Plan; Sub-Area B Level 82 Plan; Sub-Area B Level 82.5 Plan; Sub-Area B Level 83 Plan; Elevator Machine Room and BMU Plan; Pavilion Elevation Plans; Observatory Elevation Plans; and Overall Building Elevation Plans; dated October 24, 2018, prepared by Solomon Cordwell Buenz. The Planned Development is applicable to the area delineated hereto and these and no other zoning controls shall apply to the Property.

The Subarea E plan of development consists of these twenty (20) statements; a Bulk Regulations and Data Table; an Existing Zoning Map; a Planned Development Boundary Map; a Subarea Map; a Net Developable Area Map for Subarea E; a Generalized Land-Use Plan for Subarea E; a Pedestrian Walkway System – Pedway Level Plan; a Subarea E Parcelization Plans (Upper Level, Intermediate Level and Lower Level); Subarea E Right-of-Way Adjustment Maps (Upper, Intermediate, Lower); Subarea E Public Park Zone Map; Maximum Building Envelope Site Plan; Parcel C Overall Site Plan; Parcel C Buildings I, J, K/L Enlarged Site Plans; Enlarged Site Plan – Chandler Gates; Site Plan – Phasing; Lower Level Five Plan; Parcel C Overall Landscape Plan; Parcel C Buildings I, J, K/L Enlarged Landscape Plans; Enlarged Valley Park/Dog Park Site Plans; Parcel C Overall Vehicular Access Plan (Lower, Intermediate,

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Upper); Parcel C Overall Pedestrian Access Plan; Parcel C Lower Harbor Drive Service Road Plan Detail, Roadway Cross-Section, Level Five Building Base Elevation Detail: Lake Shore Drive Underpass and Rendering; Parcel C Buildings I, J, K/L Elevations (Base, Middle and Top, East, West, North and South); dated October 18, 2018, prepared by bKL Architecture. (In addition, the exhibits approved in 2015 and found at 12/9/2015 CJP 15713-15723 are incorporated by reference in the 2018 Amendment and all DPD-issued “Minor Change letters” approved after that date.) The Planned Development is applicable to the area delineated hereto and these and no other zoning controls shall apply to the Property, provided, however, that the development of the Property shall also be subject to an ordinance adopted by the City Council and known as the 2000 Amendatory Lakefront Ordinance and also subject to the Lakeshore East Master Plan and Design Standards dated October 18, 2018 prepared by bKL Architecture.

5. (A) Within Subareas A, B, C, D, F and G at the elevations above Chicago City Datum indicated, provided that these elevations may be varied by plus or minus five (+/- 5) feet or as otherwise necessary to meet existing conditions, and subject to the condition in statement 12 and in the Bulk Regulations and Data Table, the following uses are permitted:

Lower Level

(+ 8.0 feet)

Illinois Central Gulf tracks and facilities; warehousing and storage; trucking; public esplanade park along the Chicago River; and accessory uses including automobile parking and hotel uses. (Major service access level)

Interim Level Accessory uses including automobile parking and hotel uses.

Intermediate Level

(+ 26.0 feet) Accessory uses including automobile parking and hotel uses. (Vehicular Access Level)

Interim Level Accessory uses including retail sales, service used automobile parking and hotel uses.

Arcade Level Pedestrian walkways; public park; and accessory uses including retail sales, service uses, automobile parking and hotel uses.

Upper Level

(+ 53.0 feet) and above Apartment, office, mixed use (apartment-office) and hotel buildings; accessory uses including retail sales and service uses; related uses; and pedestrian walkways. (Vehicular Right-of-Way and Access Level),

(B) Within Subarea E, the following uses are permitted: public parks; public school; commercial uses, retail uses, hotel uses, residential uses, business uses, office uses, religious and institutional uses, warehousing and storage and accessory uses.

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(C) In addition to the uses stated above, the following uses shall be permitted in Sub-Area B: eating and drinking establishments, liquor sales (as accessory use), observatory, entertainment and spectator sports, sports and recreation, participant and related and ancillary uses.

In addition, the following uses shall be permitted in all subareas and at all levels subject to the review and approval of the Department of Planning and Development:

- (i) broadcast and telecommunication structures, equipment and installations including parabolic transmitting and receiving antennae;
- (ii) townhouses and any land-use accessory to a principal use at any level and not specifically authorized in statements 5(A) and (B); and
- (iii) public utility and public service uses necessary to serve the development including, but not limited to district electrical generation and utility substations under this Planned Development; and
- (iv) district cooling and heating.

6. Business identification signs affixed to the face of or recessed into a building or structure shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Temporary construction and marketing signs shall be permitted subject to the review and approval of the Department of Planning and Development. No advertising signs shall be permitted within the Planned Development.

7. Any dedication or vacation of streets, alleys or easements or any adjustment of rights-of-way shall require a separate submittal on behalf of the applicant if within Subarea E, and the

respective owners of the property within Subareas A, B, C, D, F and G if within those subareas, and approval by the City Council.

8. Off-street parking and loading facilities shall be provided in compliance with this Planned Development subject to the review of the Department of Transportation and the approval of the Department of Planning and Development. A minimum of two percent (2%) of all parking spaces provided within the Planned Development, in parking structures or areas developed after the effective date hereof, shall be designated and designed for parking for the handicapped.

9. Any service drive or other ingress or egress shall be adequately designed and paved, in accordance with the regulations of the Department of Transportation in effect at the time of construction and in compliance with the Municipal Code of the City of Chicago, to provide ingress and egress for motor vehicles, including emergency vehicles. There shall be no parking within such paved areas except where provided in public street areas as permitted by the Department of Transportation. Ingress and egress shall be subject to the review and approval of the Bureau of Traffic Engineering and Operations and of the Commissioner of Planning and Development.

10. In addition to the maximum height of the buildings and any appurtenances thereto prescribed in this Planned Development, the height of any improvement shall also be subject to height limitations approved by the Federal Aviation Administration.

11. This Planned Development shall be subject to the "Rules, Regulations and Procedures in relation to Planned Development Amendments" as promulgated by the Commissioner of the Department of Planning and Development and in effect on the date hereof.

12. Subareas A, B, C, D, F and G already have been substantially developed. The further development or redevelopment of properties within Subareas A, B, C, D, F and G shall be subject only to the regulations in Subsections A through G below. The development of Subarea E shall be completed in accordance with all of the regulations contained herein and in accordance with the Guidelines of the Lakeshore East Master Plan and Design Standards dated October 18, 2018. .

(A) Net Developable Area.

For purposes of Floor Area Ratio calculations, the definitions in the Chicago Zoning Ordinance shall apply, provided, however, that "Net Developable Area" refers to the net site area at the Upper Level. The Upper Level is typically at approximately + 53.0 feet above Chicago City Datum but may be lower or higher depending on factors such as road levels and the location of building entrances and exits as determined by the applicant. Floor Area below the Upper Level shall not be included in calculating the total number of square feet of development unless the principal entrance to the building is located below the Upper Level and, in that event, the level of the principal entrance to the building shall be considered "curb level" for purposes of the Chicago Zoning Ordinance.

(B) Bulk And Density Regulations.

1) Warehousing and storage uses permitted as principal uses in Statement Number 5 shall be limited to four hundred thousand (400,000) square feet and shall be established in accordance with the DX-16 Downtown Mixed-Use District regulations existing on the effective date of this Planned Development, As Amended 2018.

2) With regard to areas devoted as a principal use to office, hotel and residential uses and retail sales and service uses, the following maximums shall apply:

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| Office, maximum floor area | = | 12,000,000 square feet |
| Hotel, maximum number of rooms/keys | = | 5,550 |
| Residential maximum number of dwelling units | = | 9,050 units |
| Retail sales and service, Maximum floor area | = | 1,420,688 square feet |

Provided, however, that in calculating these maximums, ballrooms, meeting rooms, exhibition space and eating facilities associated with a hotel use and located at or above the established Upper Level shall be chargeable against the maximum permitted floor area for principal retail sales and service uses.

3) The applicant may increase the maximum number of dwelling units allowed by up to three thousand one hundred eighty-three (3,183) dwelling units by converting a portion of the maximum allowed office space and/or a portion of the maximum allowed hotel rooms/keys. The applicant may increase the maximum amount of office space by up to two million (2,000,000) square feet by converting a portion of the maximum dwelling units and/or a portion of the maximum number of hotel rooms/keys. The applicant may increase the maximum number of hotel rooms/keys by up to one thousand (1,000) rooms/keys by converting a portion of the maximum allowed office space and/or a portion of the maximum allowed dwelling units. For purposes of this conversion one thousand (1,000) square feet of office space shall be equal to one (1) dwelling unit, two (2) hotel rooms/keys shall be equal to one (1) dwelling unit, and one thousand (1,000) square feet of the office space shall be equal to two (2) hotel rooms/keys.

4) Except for Subarea E, the number of efficiency units within this Planned Development shall not exceed thirty percent (30%) of the number of permitted dwelling units. The number of efficiency units in Subarea E shall not exceed fifteen percent (15%).

5) To the extent this Planned Development does not cover all items required for development, the Chicago Zoning Ordinance shall apply as follows: warehousing and storage shall be in general conformity with the DX-16 Downtown Mixed-Use District regulations; permitted office, hotel and retail uses shall be in general conformity with the DX-16 Downtown Mixed-Use District regulations; and residential uses shall be in general conformity with the DR-10 Downtown Residential District regulations.

(C) Maximum Permitted Site Coverage.

1) East of North Stetson Avenue: (except for Subarea E) fifty percent (50%) between Upper Level and plus thirty (+30) feet above the Upper Level (sixty percent (60%) on a single parcel provided that a single parcel may contain multiple buildings); and forty percent (40%) above plus thirty (+30) feet above the Upper Level.

2) West of North Stetson Avenue: eighty percent (80%) between the Upper Level and plus seventy-five (+75) feet above the Upper Level; and sixty percent (60%) above plus seventy-five (+75) feet above the Upper Level.

3) Subarea E: Site coverage is controlled by the Parcel Design Criteria as described in the Master Plan and Design Standards dated October 18, 2018.

(D) Periphery Setbacks And Minimum Distance Between Buildings.

1) For Subareas A, B, C, D, F and G:

Minimum Distance Between Building Faces at Upper Level: eighty and zero-tenths (80.0) feet.

Minimum Distance Between Building Corners or Building Face-to-Corner at Upper Level: sixty and zero-tenths (60.0) feet.

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Periphery setback and distance provisions may be adjusted where required to allow flexibility of architectural or site design arrangement, subject to the approval of the Department of Planning and Development.

2) For Subarea E: Setbacks are controlled by the Master Plan and Design Standards dated October 18, 2018.

(E) Parking

1) Minimum Requirements for other uses:

Office building: one (1) space per three thousand five hundred (3,500) square feet.

Hotel: one (1) space per three (3) rooms/keys.

Residential building: spaces equal to fifty-five percent (55%) of the dwelling units including efficiency units, provided that with respect to townhome units a minimum of one (1) parking space per dwelling unit shall be required. Subarea E shall require spaces equal to forty percent (40%) of the dwelling units including efficiency units.

2) Location

All parking spaces required to serve buildings or uses shall be located on the same parcel as the building or use served, or (i) if a residential use, within five hundred (500) feet walking distance measured from the property line; or (ii) if a non-residential use, within one thousand (1,000) feet walking distance measured from the property line.

3) Vehicular entrances and exits to accessory automobile parking areas shall be located in conformance with the Automobile Entrance Zone Maps attached hereto. Provided, however, that temporary driveways shall be permitted within the restricted areas depicted on the

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Automobile Entrance Zone Maps when necessitated by division of parcels and subject to the review and approval of the Department of Transportation.

4) The location, geometrics and operation of all interior or local ramps adjoining any structure at the Upper Level shall be subject to the review of the Department of Transportation and the approval of the Department of Planning and Development.

(F) Loading.

Minimum off-street loading shall be provided in accordance with the regulations applicable in the DR-10 Downtown Residential District and DX-12 Downtown Mixed-Use District of the Chicago Zoning Ordinance existing on the effective date hereof. The location of loading berths shall be subject to the review of the Department of Transportation and the approval of the Department of Planning and Development.

(G) Construction Of Public Improvements.

No certificate of occupancy shall be issued for any improvement located within a parcel in the development until such time as the applicant for the certificate produces evidence that construction of public improvements related to the improvement located within a parcel in said development has been completed, is under construction or is under contract for construction, or that adequate access can be provided, all as certified by the Department of Transportation and approved by the Department of Planning and Development. The vertical connections (handicap accessible) adjacent to the Neighborhood Park shall be installed prior to the issuance of a Certificate of Occupancy for the building containing said vertical connection. In addition, the applicant shall use its best efforts to provide landscaping in the parkway area of North Columbus Drive along the frontage of said street adjacent to Subarea E subject to the review and approval of the Department of Planning and Development and the Department of Transportation.

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(H) Public Park.

Applicant has provided the Neighborhood Park depicted on the Public Park Zone map and has dedicated the fee simple title of the Neighborhood Park to the Chicago Park District. The City of Chicago acknowledges that this satisfies all open space impact fee requirements of the applicant in the development of Subarea E.

(I) Public Elementary School.

Provided the applicant is instructed to proceed by the City of Chicago, the Chicago Board of Education and the Chicago Park District, the applicant shall be responsible for the construction of a forty-five thousand (45,000) square foot portion of a fifty-three thousand (53,000) square foot structure to contain a public elementary school with space to be shared with the Chicago Park District to be substantially completed on or before June 30, 2025.

(J) Pedestrian Walkways.

The pedestrian walkways depicted on the Pedway Level Pedestrian Walkway System Map shall consist of an enclosed all-weather walkway (as depicted on the Pedway Level Pedestrian Walkway System Map), designed to accommodate pedestrian movement at the Arcade Level and/or other levels as depicted in the Pedway Level Pedestrian Walkway System Map attached hereto. It shall be the responsibility of the applicant to provide continuous pedestrian walkways at the Arcade Level and/or other levels within Subarea E pursuant to the Master Plan and Design Standards dated October 18, 2018 subject to the review of the Department of Transportation and the approval of the Department of Planning and Development.

(K) In addition to other requirements contained within these Statements, the Applicant shall cause the following design principles to be implemented:

- 1) Improve the connection from E. South Water Street to Wacker Drive, including pedestrian safety measures and traffic calming measures at the intersection of Field Boulevard and Sub Wacker Drive;
- 2) Create a roadway connection from Waterside Drive (upper level) to Wacker Drive, including traffic calming and pedestrian safety measures;
- 3) Create a pedestrian connection at the upper level from Parcel A2 (Tides) to Parcel B2 (Shoreham), which shall include a terrace overlook with specialty paving, landscaping and accent lighting;
- 4) Create a pedestrian connection at the upper level from the east end of Wacker Drive to Parcel B1 (Regatta), which shall include specialty paving, landscaping and accent lighting;
- 5) Create a pedestrian terrace overlook at the new Wacker Drive extension (upper level), which shall include specialty paving, pedestrian seating, accent lighting and significant landscape planters;
- 6) Create a pedestrian connection at the Pedway level from the east property line of the parcel occupying the western portion of Parcel D1 (GEMS) to the property occupying the eastern portion of Parcel D1;
- 7) Create a Vertical Connection from the Upper Level to the Lower Level, with access to the Pedway level as well;
- 8) The character of the through-building public pedestrian connections to Wacker Drive, at both the upper and lower levels, shall be pedestrian friendly, including adequate lighting for safety, decorative paving and a clear marked pedestrian zone.

9) Create a pedestrian connection including a vertical connection/public elevator and park from Harbor Drive sloping down to lower Lake Shore Drive adjacent to Parcel C within Sub-Area E. (as depicted on the Enlarged Site Plans). The pedestrian connection shall cross under Lake Shore Drive to connect with the Chicago Park District lakefront park system;

10. Create a Vertical Connection/public elevator from Upper Level Parcel C within Sub-Area E to the lowest level with access across Sub Wacker Drive to connect with the Riverwalk.

11. Applicant agrees, in conjunction with the Master Association, to 24/7, 365 days per year security staffing in the guard booth and patrols in and around Lakeshore East. These patrols would be a combination of automobile, bike and walking patrols. From May through October the patrols would be two (2) people from 4pm through 2am and one (1) person the rest of the day. From November through April the patrol would be one (1) person. This staffing level for the patrols would remain in place until such time as new technology is put into place that can provide off-site 24-hour monitoring by a private security company. Even when this new technology is implemented Applicant would agree to provide 24 hour patrols for special events such as Taste of Chicago and Lollapalooza. This staffing would begin upon completion of the guard station and the valley park. In no event shall staffing of the guard booth be eliminated nor hours decreased.

12. Applicant agrees that there shall not be a hotel in Building I.

13. Applicant agrees that it shall deliver the park in Phase 1 of the IJKL project, along with Building J and Building K/L. Included in this will be the pedestrian and bike paths to the lakefront as well as the security booth, cameras, fence screening, dog park, buffer zones, and all traffic improvements on the service drive in accordance with the attached site plans.

14. Applicant shall commit \$115,000 (total budgeted cost) to lighting improvements and cameras on Lower Harbor and Intermediate Randolph in accordance with the attached site plan.

15. The IJKL internal road will be one-way northbound and the garage access from this internal road will be ingress only.

16. Applicant has agreed to commit to the following baseline approach to the treatment of Chandler's east blank façade: the painting of the exposed portions of the concrete façade with Modac or some other similar elastomeric coating. Chandler will approve a color that is complimentary to the existing building, pending mock-up. Initial painting will occur around the time of the completion of Building I. Periodic repainting with similar material shall occur when the service life of the coating is visibly or technically compromised, or every ten years, whichever comes first. Maintenance of this façade shall be at the sole expense of the Building I development entity, or assigned to the Building I condominium association (collectively "Building I"). Chandler shall determine whether Chandler or Building I will perform the work. If Building I is to cause the performance of such work, then Chandler will grant access to its window washing equipment as required but in such case all expenses shall be borne by Building I. If Chandler performs the work, the Board will solicit three bids from qualified vendors. The Chandler shall then have their choice bidders so long as the lowest bidder (with a complete scope of work (is within 5% of the nearest bidder and Building I shall promptly reimburse for actual expenses within 60 days. Also note that Chandler and Applicant will consider reasonable alternate treatment for the wall (including landscaping screening of the lower portion) but Building I's financial responsibility will be limited to the cost of the baseline treatment as described above.

17. Applicant shall be responsible for maintaining the dog park adjacent to Building J.

18. Applicant shall install screening/fence shielding to the area under Lake Shore Drive in accordance with the attached site plans.

19. Applicant shall provide the improvements for the access road, bike and pedestrian paths for the lower level access road at the south of the site in accordance with the attached site plans.

20. Applicant shall continue to work with CDOT and the local Alderman regarding the location of the upper Harbor Drive crosswalk. The local alderman will have final approval on crosswalk location.

21. Applicant shall contribute \$10,000 to the Chandler toward the cost of a gate and shall construct a new gate of mutually agreeable design at the East property line upon completion of Building I in accordance with the attached site plans with reasonable input from DPD, CDOT and the local Alderman.

22. Publicly accessible open space in Parcel C will be open during hours consistent with the Chicago Park District hours for Lakeshore East Park. The Master Association may establish a wayfinding and other appropriate informational signage system, including open space/pedestrian walkway hours, throughout Lakeshore East, subject to approval by DPD and CDOT. In addition, the Master Association may establish a unified system of fencing or other physical additions to restrict access to open space/pedestrian walkways to times consistent with the hours that Chicago Park District facilities are open upon input from DPD and CDOT and the local Alderman.

All the above items shall be subject to further coordination and input with/from the Chicago Department of Transportation and the Department of Planning and Development.

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13. Prior to the issuance by the Department of Planning and Development of a determination pursuant to Section 17-13-0610 of the Chicago Zoning Ordinance ("Part II approval") for development or redevelopment of any development parcels within the Planned Development, other than alterations to existing buildings which do not increase their height or alter their footprints, a Site Plan for the proposed development, including parking areas, shall be submitted to the Commissioner of the Department of Planning and Development for approval. Review and approval of the Site Plan by the Commissioner is intended to assure that specific development proposals conform with the general design standards in Statement 12, is consistent with the intent of the Lakeshore East Master Plan and Design Standards dated October 18, 2018 and to ensure coordination of public improvements described in statements 12(G), 12(H), 12(I), 12(J) and 12(K). No Part II approval for work for which a Site Plan must be submitted to the Commissioner shall be granted until the Site Plan has been approved by the Commissioner. Further, all Part II submittals shall be in compliance with the Chicago Landscape Ordinance. Following approval of a Site Plan by the Commissioner, the approved plan shall be kept on permanent file with the Department of Planning and Development and shall be deemed to be an integral part of this Planned Development. The approved Site Plan may be changed by the provisions of Section 17-13-0611 of the Chicago Zoning Ordinance. A Site Plan shall, at a minimum, provide the following information with respect to the proposed improvements:

- (1) the boundaries of the Property;
- (2) the footprint of the improvements;
- (3) location and dimensions of all loading berths;

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- (4) preliminary landscaping plan prepared by a landscape architect with final landscaping plan to be approved at Part II stage;
- (5) all pedestrian circulation routes;
- (6) the location of any adjacent public improvements;
- (7) a signage plan for any building where retail or theater uses would be present above the ground level;
- (8) preliminary building sections and elevations of the improvements with a preliminary building materials list; and
- (9) statistical information applicable to the Property limited to the following:
 - (a) floor area and floor area ratio;
 - (b) uses to be established;
 - (c) building heights; and
 - (d) all setbacks, required and provided.

A Site Plan shall include such other information as may be necessary to illustrate conformance with the applicable provisions of this Planned Development.

14. The terms, conditions and exhibits of this Planned Development ordinance may be modified administratively by the Commissioner of the Department of Planning and Development upon the application for such a modification by the Applicant and after a determination by the Commissioner of the Department of Planning and Development that such a modification is minor, appropriate and consistent with the nature of the improvements contemplated in this Planned Development and the purposes underlying the provisions hereof. Any such modification of the requirements of this statement by the Commissioner of the Department of Planning shall

be deemed to be a minor change in the Planned Development as contemplated by Section 17-13-0611 of the Chicago Zoning Ordinance.

15. The applicant acknowledges that it is in the public interest to design, construct and maintain all buildings in a manner which provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. At the time of a hearing before the Chicago Plan Commission all developments must be in substantial compliance with the current City of Chicago Sustainable Development Policy set forth by the Department of Planning and Development. The applicant shall use best and reasonable efforts to design, construct and maintain all buildings located within Subarea E of this Planned Development in an energy efficient manner, generally consistent with LEED Certification or its equivalent. Applicant shall provide a green roof to cover at least 25% of the net roof area of a building. "Net roof area" is defined as a total roof area minus any required perimeter setbacks, roof top structures, and roof-mounted equipment. The Co-Applicants shall comply with the City of Chicago Sustainability Policy in effect at the time of submission for Part II approval for the IJKL Project.

16. The applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables, and maximizes universal access throughout the property. Plans for all buildings and improvements on the property shall be reviewed and approved by the Mayor's Office for People with Disabilities ("M.O.P.D.") to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility. No approvals shall be granted pursuant to Section 17-13-0610 of the Chicago Zoning Ordinance until the Director of M.O.P.D. has approved detailed construction drawings for each building or improvement.

17. With respect to the proposed project that is the subject of this 2019 Amendment to Planned Development 70 (Subarea B Project) and the 2018 Amendment to Planned Development 70 (The IJKL Project), the applicant acknowledges that it is the policy of the City to maximize opportunities for Minority and Women-owned Business Enterprises (“M/WBEs”) and city residents to compete for contracts and jobs on construction projects approved through the planned development process. To assist the city in promoting and tracking such M/WBE and city resident participation, an applicant for planned development approval shall provide information at three points in the city approval process. First, the applicant must submit to DPD, as part of its application for planned development approval, an M/WBE Participation Proposal. The M/WBE Participation Proposal must identify the applicant’s goals for participation of certified M/WBE firms in the design, engineering and construction of the project, and of city residents in the construction work. The city encourages goals of (i) 26% MBE and 6% WBE participation (measured against the total construction budget for the project or any phase thereof), and (ii) 50% city resident hiring (measured against the total construction work hours for the project or any phase thereof). The M/WBE Participation Proposal must include a description of the Applicant’s proposed outreach plan designed to inform M/WBEs and city residents of job and contracting opportunities. Second, at the time of the Applicant’s submission for Part II permit review for the project or any phase thereof, the Applicant must submit to DPD (a) updates (if any) to the Applicant’s preliminary outreach plan, (b) a description of the Applicant’s outreach efforts and evidence of such outreach, including, without limitation, copies of certified letters to M/WBE contractor associations and the ward office of the alderman in which the project is located and receipts thereof; (c) responses to the Applicant’s outreach efforts, and (d) updates (if any) to the applicant’s M/WBE and city resident participation goals. Third, prior to issuance of a

Applicant: 601 W. Companies LLC

Address: 200 E. Randolph Street

Introduced: October 31, 2018

Plan Commission: TBD

46788768:1

Certificate of Occupancy for the project or any phase thereof, the Applicant must provide DPD with the actual level of M/WBE and city resident participation in the project or any phase thereof, and evidence of such participation. In addition to the forgoing, DPD may request such additional information as the department reasonably determines may be necessary or useful in evaluating the extent to which M/WBEs and city residents are informed of and utilized in planned development projects. All such information will be provided in a form acceptable to the Zoning Administrator. DPD will report the data it collects regarding projected and actual employment of M/WBEs and city residents in planned development projects twice yearly to the Chicago Plan Commission and annually to the Chicago City Council and the Mayor.

18. With respect to Sub-Area B, the maximum permitted floor area ratio (FAR) for the Property shall be in accordance with the attached Bulk Regulations and Data Table. For the purpose of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations and Data Table has been determined using a net site area of 136,819 square feet and a base FAR of 19.72.

Base Far: 19.72

NOF Far Bonus: 0.70

Total Sub-Area B FAR: 20.42

The Subarea B Applicant acknowledges that the project has received a bonus FAR of 0.70 (95,688 sf), pursuant to Sec. 17-4-1000 of the Zoning Ordinance. With this bonus FAR, the total FAR for Sub-Area B is 20.42 or 2,793,996 buildable square feet. In exchange for the bonus FAR, the Subarea B Applicant is required to make a corresponding payment, pursuant to Sections 17-4-1003-B & C, prior to the issuance of the first building permit for any building in the Planned Development; provided, however, if the Planned Development is constructed in

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Address: 200 E. Randolph Street

Introduced: October 31, 2018

Plan Commission: TBD

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phases, the bonus payment may be paid on a pro rata basis as the first building permit for each subsequent new building or phase of construction is issued. The bonus payment will be recalculated at the time of payment (including partial payments for phased developments) and may be adjusted based on changes in median land values in accordance with Section 17-4-1003-C.3 The bonus payment will be split between three separate funds, as follows: 80% to the Neighborhoods Opportunity Fund, 10% to the Citywide Adopt-a-Landmark Fund and 10% to the Local Impact Fund.

19. The Subarea B Applicant's amendment includes locating an exterior glass elevator to the northwest corner of the existing building. The Subarea B Applicant agrees to eliminate the LED lights on the exterior of the elevator cabs and to limit the lux level of the interior elevator cab lighting to 30 lux as measured 50' from the building, which equates to 170 lux as measured from the interior of the elevator cab. Furthermore, administrative relief shall not be sought in the future to add any lighting to the exterior and interior of the elevator cabs.

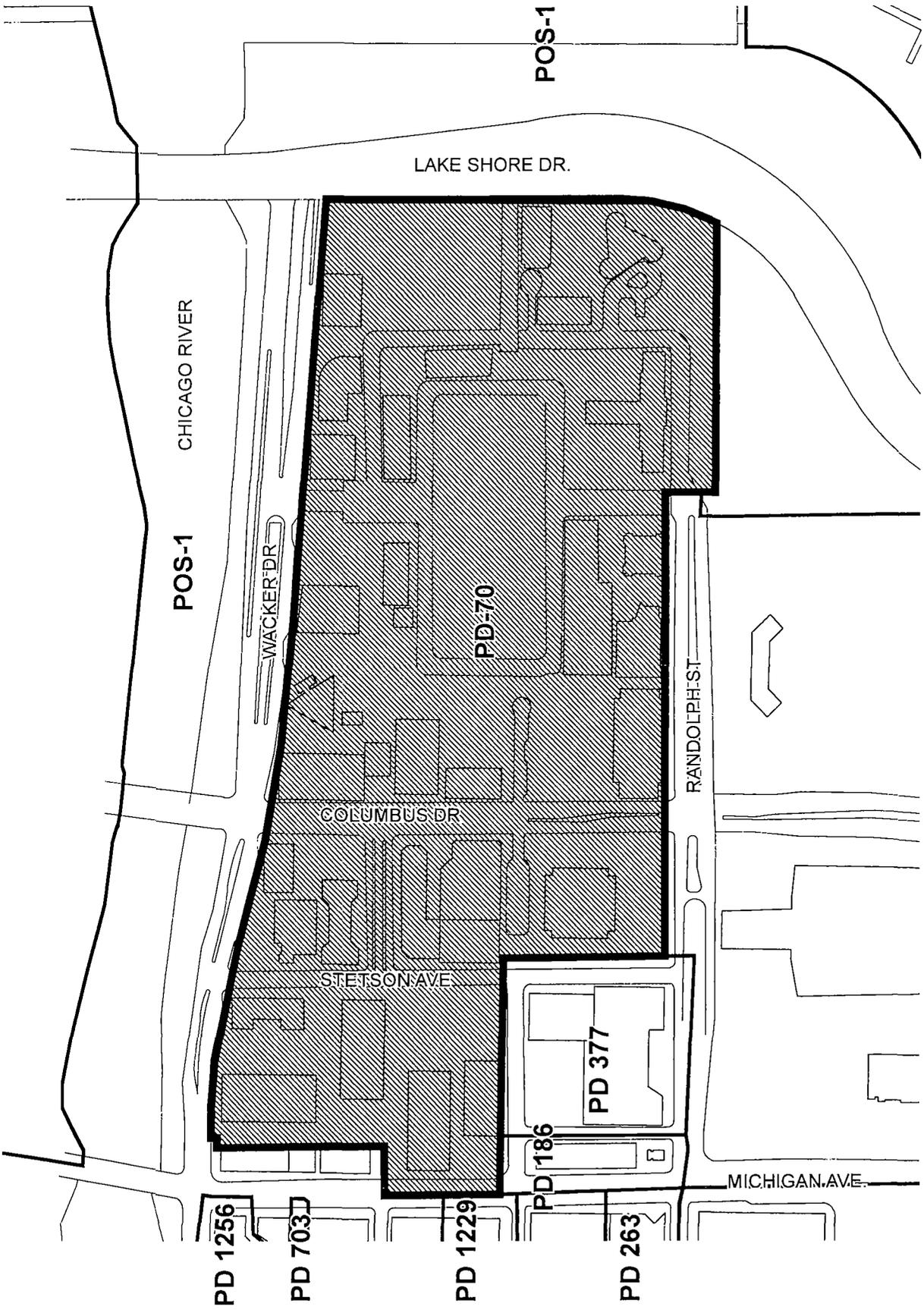
20. All minimum construction within Subarea B has been completed and with respect to Subarea E all minimum construction as set forth in Statement No. 17 of the 2002 version of Residential Business Planned Development No. 70 has been completed.

Residential - Business Planned Development Number 70
Amended Planned Development Use and Bulk Regulations and Data

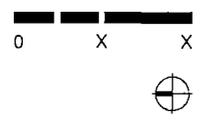
October 16, 2018

| Subarea | Net Development Area (1) | | Building Area | | Max Net F A R | Residential Dwelling Units | | Office (s.f.) | | Hotel Rooms (# of Keys) | | Retail Sales/Service Area | | | |
|---|--------------------------|-----------|-----------------------------|----------------|---------------|-----------------------------|----------------|-----------------------------|----------------|-----------------------------|----------------|-----------------------------|----------------|---------------------------|-----------|
| | sf | acres | Existing/Under Construction | Future Allowed | | Existing/Under Construction | Future Allowed | | |
| Subarea A | One Illinois Center | 66,104 | 1.52 | | | | | | | | | | | | |
| | Hyatt West | 52,256 | 1.20 | | | | | | | | | | | | |
| | Two Illinois Center | 80,085 | 1.84 | | | | | | | | | | | | |
| | Hyatt East | 73,000 | 1.68 | | | | | | | | | | | | |
| | Columbus Plaza | 38,154 | 0.88 | | | | | | | | | | | | |
| Three Illinois Center | 52,560 | 1.21 | | | | | | | | | | | | | |
| Subtotal | 362,159 | 8.33 | 4,419,463 | | 12.20 | 552 | | 1,762,906 | | 2,041 | | 153,958 | | | |
| Subarea B | AON Building (Amoco) | 136,819 | 3.14 | 2,698,308 | 95,688 | 20.42 | | 2,614,308 | | | | 84,000 | 95,688 | | |
| Subarea C | Outer Drive East | 88,463 | 2.03 | | | | | | | | | | | | |
| | Harbor Point | 79,950 | 1.84 | | | | | | | | | | | | |
| Subtotal | 168,413 | 3.87 | 2,004,225 | | 11.90 | 1,682 | | | | | | 42,668 | | | |
| Subarea D | Buckingham | 34,825 | 0.80 | | | | | | | | | | | | |
| | 175 Harbor Dr | 50,249 | 1.15 | | | | | | | | | | | | |
| | Park Shore | 41,672 | 0.96 | | | | | | | | | | | | |
| | Swisshotel | 34,000 | 0.78 | | | | | | | | | | | | |
| | Fairmont | 43,169 | 0.99 | | | | | | | | | | | | |
| | Athletic Club | 21,943 | 0.50 | | | | | | | | | | | | |
| | BITE | 44,091 | 1.01 | | | | | | | | | | | | |
| Subtotal | 387,387 | 8.88 | 5,707,385 | 900,671 | 17.06 | 1,390 | | 2,699,000 | 900,671 | 1,337 | | 221,369 | | | |
| Subarea E | Public School | 31,583 | 0.73 | | | | | | | | | | | | |
| | Private Development | 825,455 * | 18.95 | 7,126,103 | 2,573,897 | 11.75 | 4,029 (4) | 286,567 (4) | 76,159 (4) | 544 (4) | | 185,162 | 587,843 | | |
| Subtotal | 857,038 | 19.67 | 7,126,103 | 2,626,897 (3) | 11.38 | 4,029 (4) | 3,167 (4) | 286,567 (4) | 76,159 (4) | 544 (4) | | 185,162 | 587,843 | | |
| Subarea F | BCBS | 100,905 | 2.32 | | | | | | | | | | | | |
| | | | | 1,813,819 | | 17.98 | | 1,813,819 | | | | | | | |
| Subarea G | Park Millennium | 40,641 | 0.93 | | | | | | | | | | | | |
| | | | | 582,903 | | 14.34 | 480 | | | | | 50,000 | | | |
| Subtotal | 2,053,362 * | 47.14 * | 24,352,206 | 3,623,256 | 11.86 | 8,133 | 3,167 | 9,260,600 | 976,830 | 3,922 | | 737,157 | 683,531 | | |
| ORDINANCE MAXIMUMS (existing and future, prior to conversion) | | | | Building Area | 27,975,462 | Max Net F A R | 13.62 | Residential Dwelling Units | 9,050 | Office (s.f.) | 12,009,000 | Hotel Rooms (# of Keys) | 5,500 | Retail Sales/Service Area | 1,420,688 |

(1) Net developable areas for individual parcel components (A, B, C, or D) are taken from original survey as prepared by Dale Weaver
 (2) Areas outside of Subarea E provided by the City of Chicago & I E Consultants, Surveyors
 (3) Future Allowed Figures are subject to potential modifications/transfers provided for in PD 70 and include J, K, L, Building O and other future development in Subarea E.
 (4) Future Allowed Figures have been adjusted to reflect an allowed PD conversion of keys and office space to dwelling units (1,000 s.f. office space = 1 dwelling unit and 2 hotel keys = 1 dwelling unit)
 1,760,099 sf office space converted
 881 hotel keys converted
 * Private developable area include public spaces not dedicated. This PD eliminates 10,469 sf of dedicated ROW. Note that 17,898sf of public streets not dedicated has been added to the program (included in the Net Developable Area)



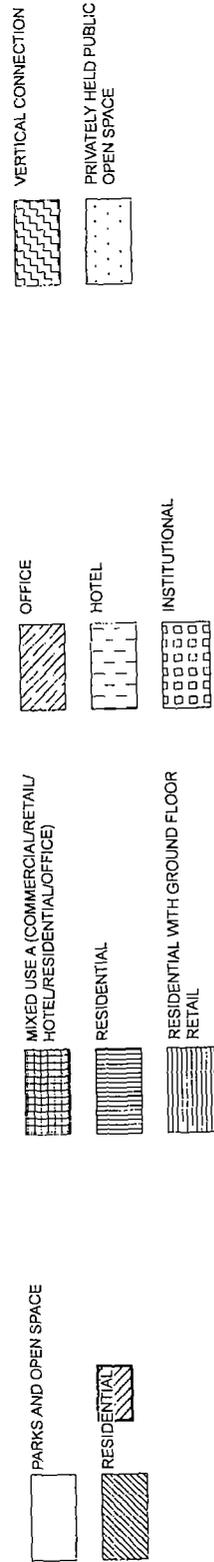
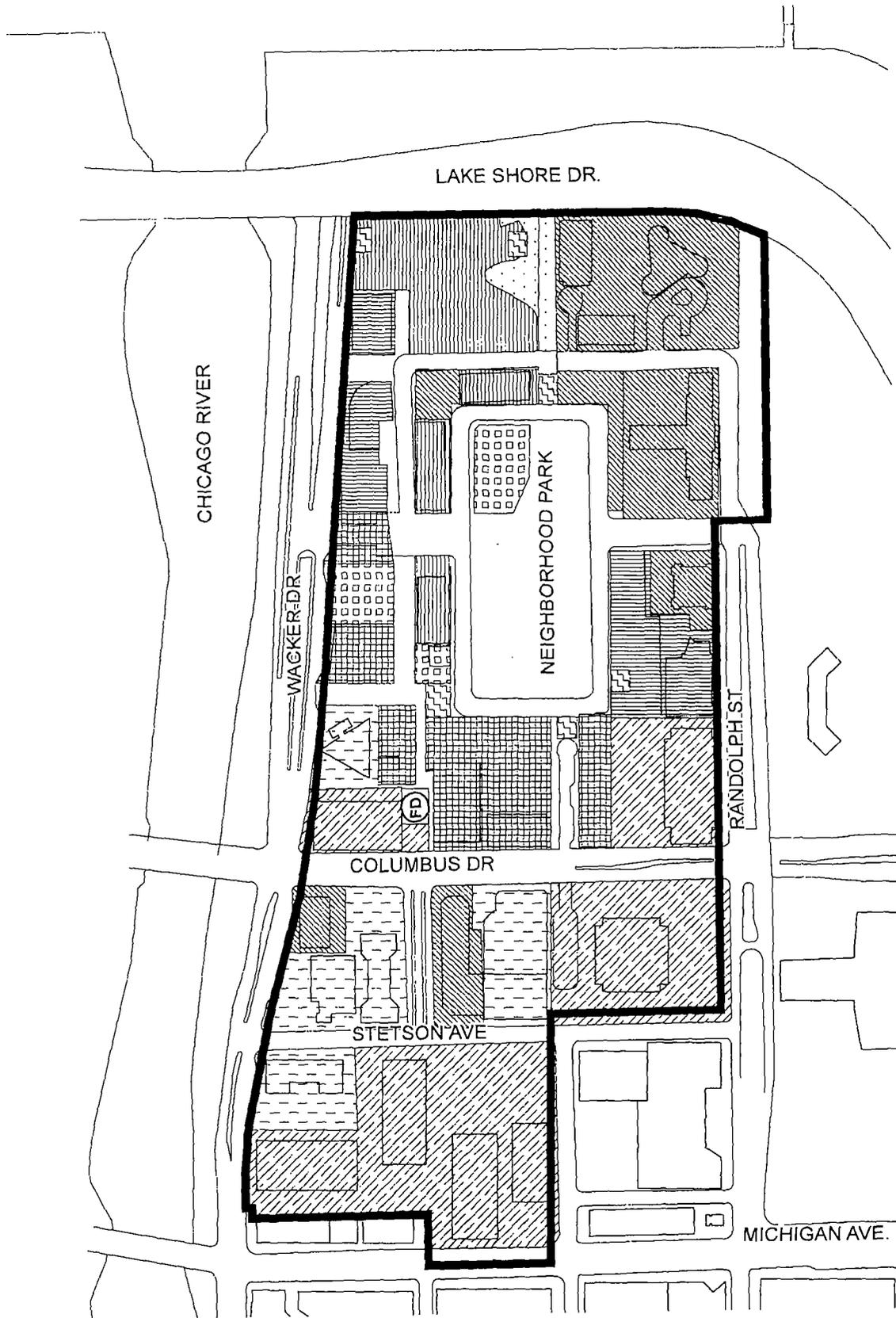
Residential Business Planned Development No. 70



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EXISTING ZONING MAP

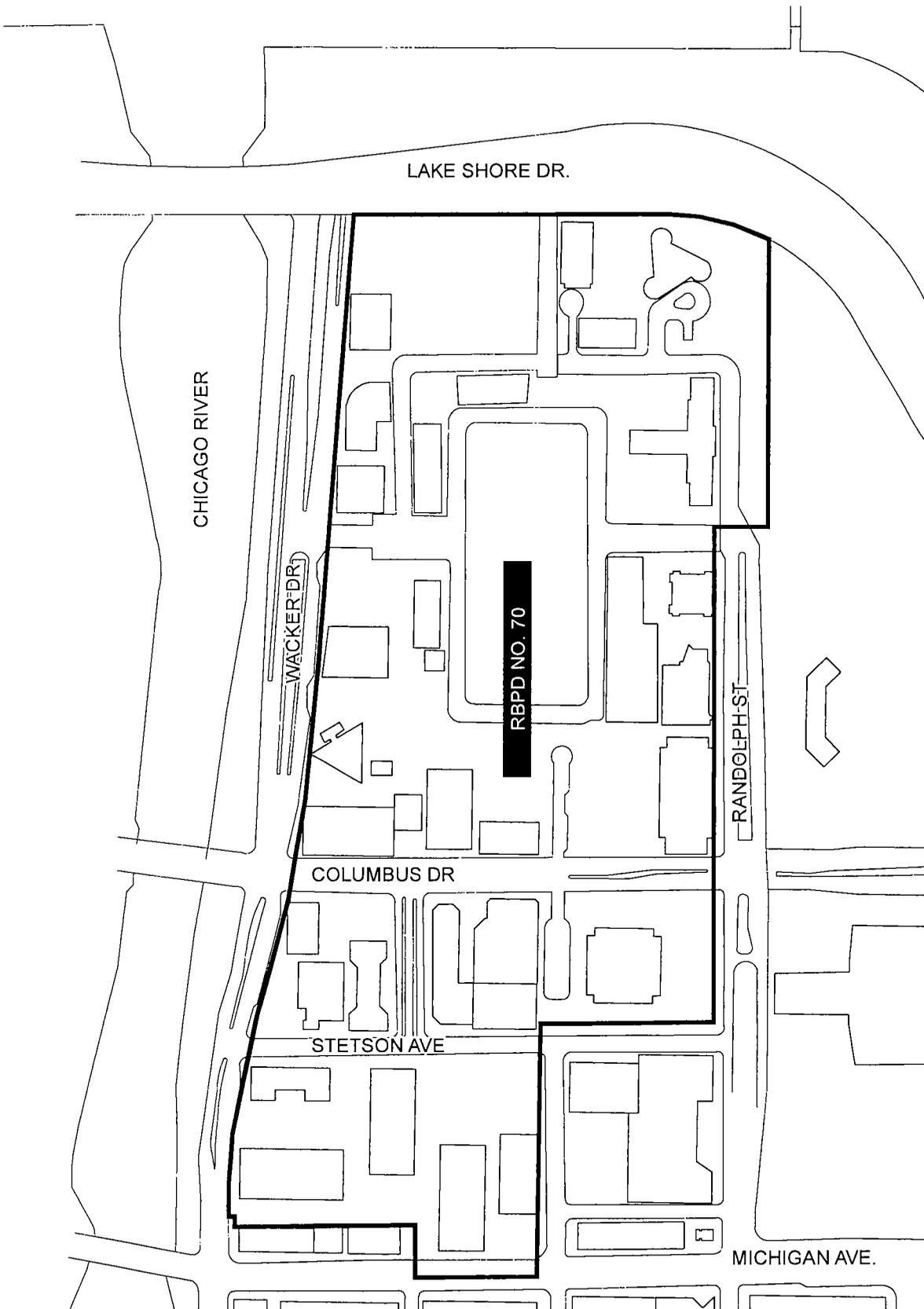
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



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GENERALIZED LAND USE

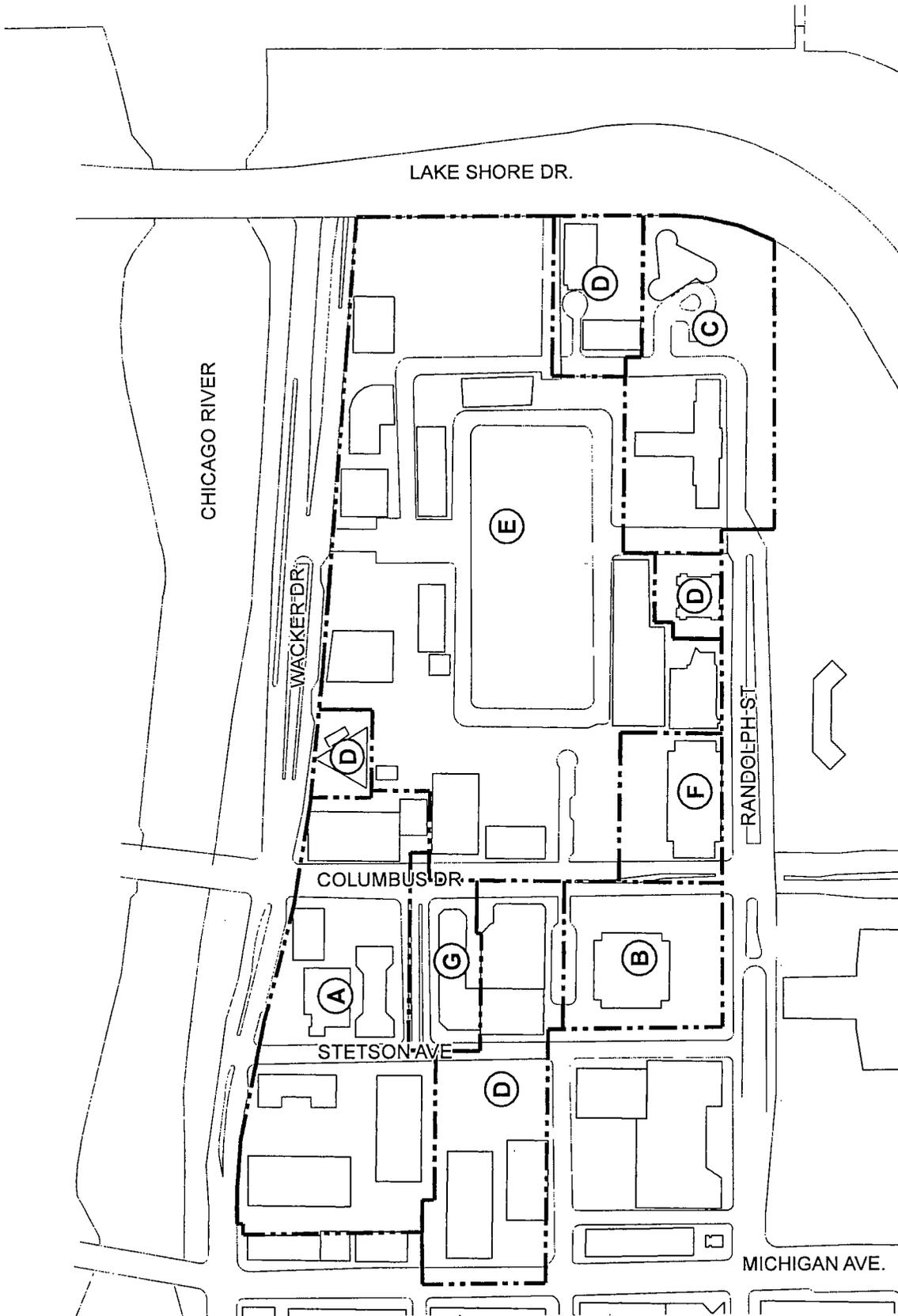
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PLANNED DEVELOPMENT BOUNDARIES

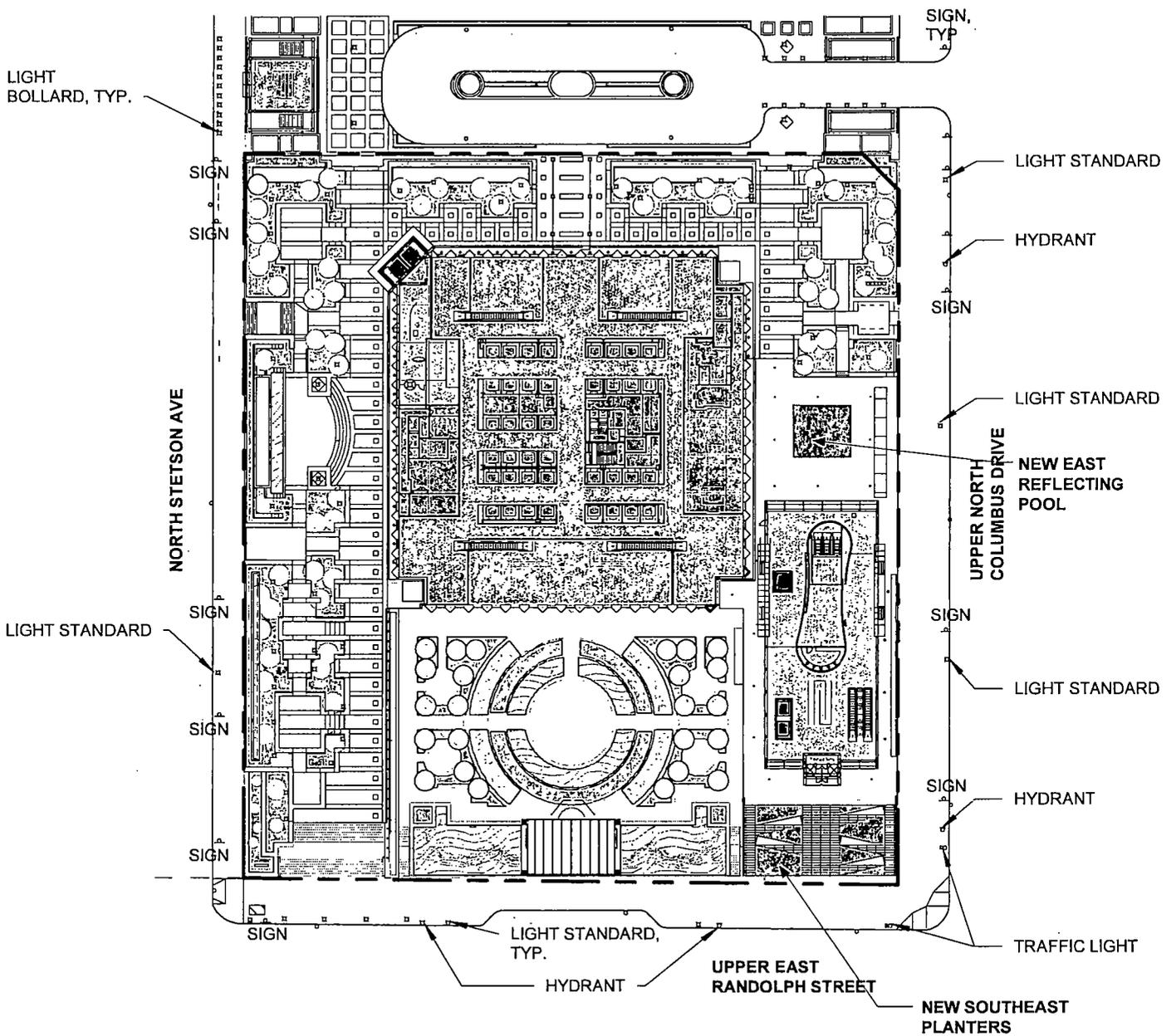
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Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
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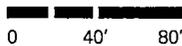
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SUBAREA MAP

Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



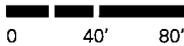
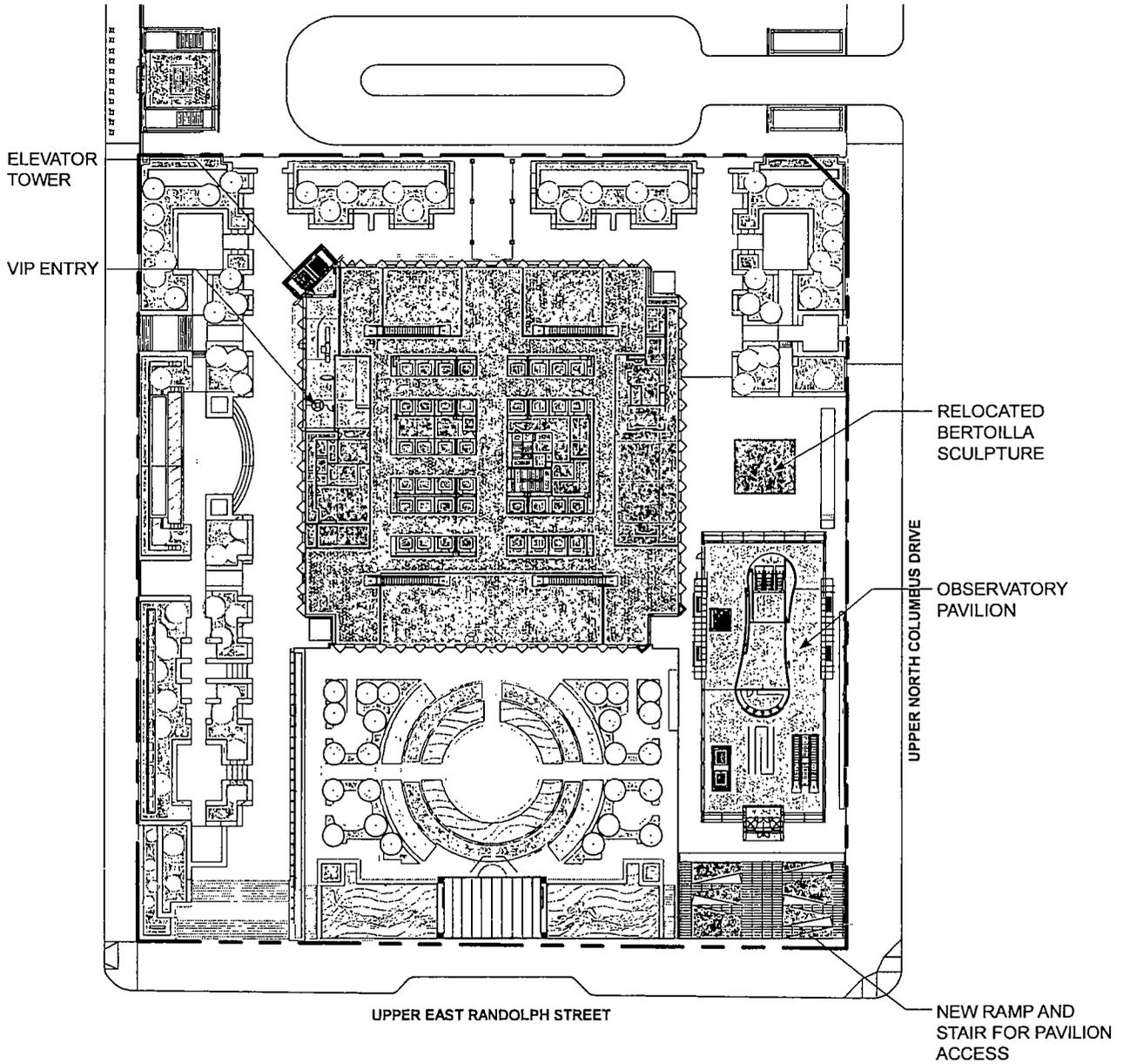
Plant List (new)
 New Southeast Planter:
 • Purpleleaf Wintercreeper



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SITE PLAN

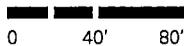
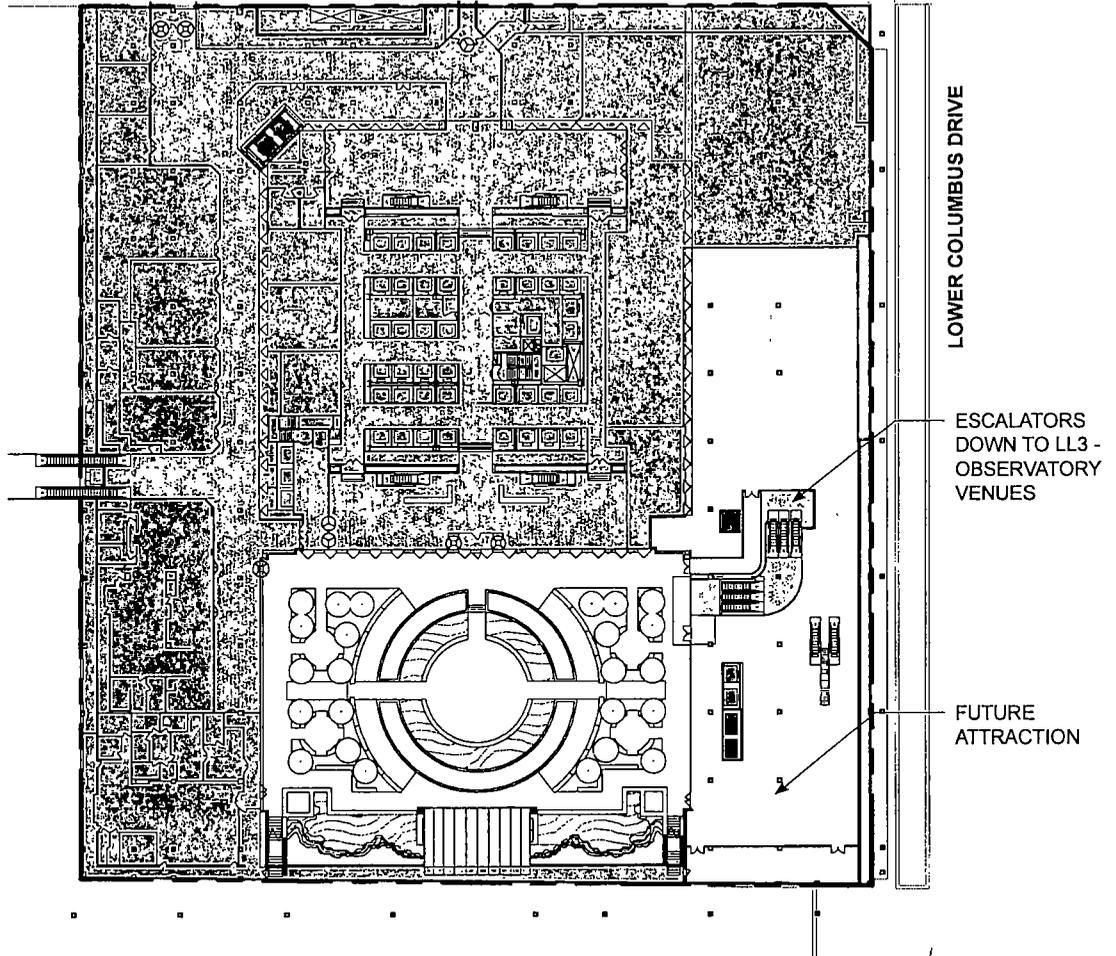
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



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PLAZA PLAN

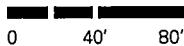
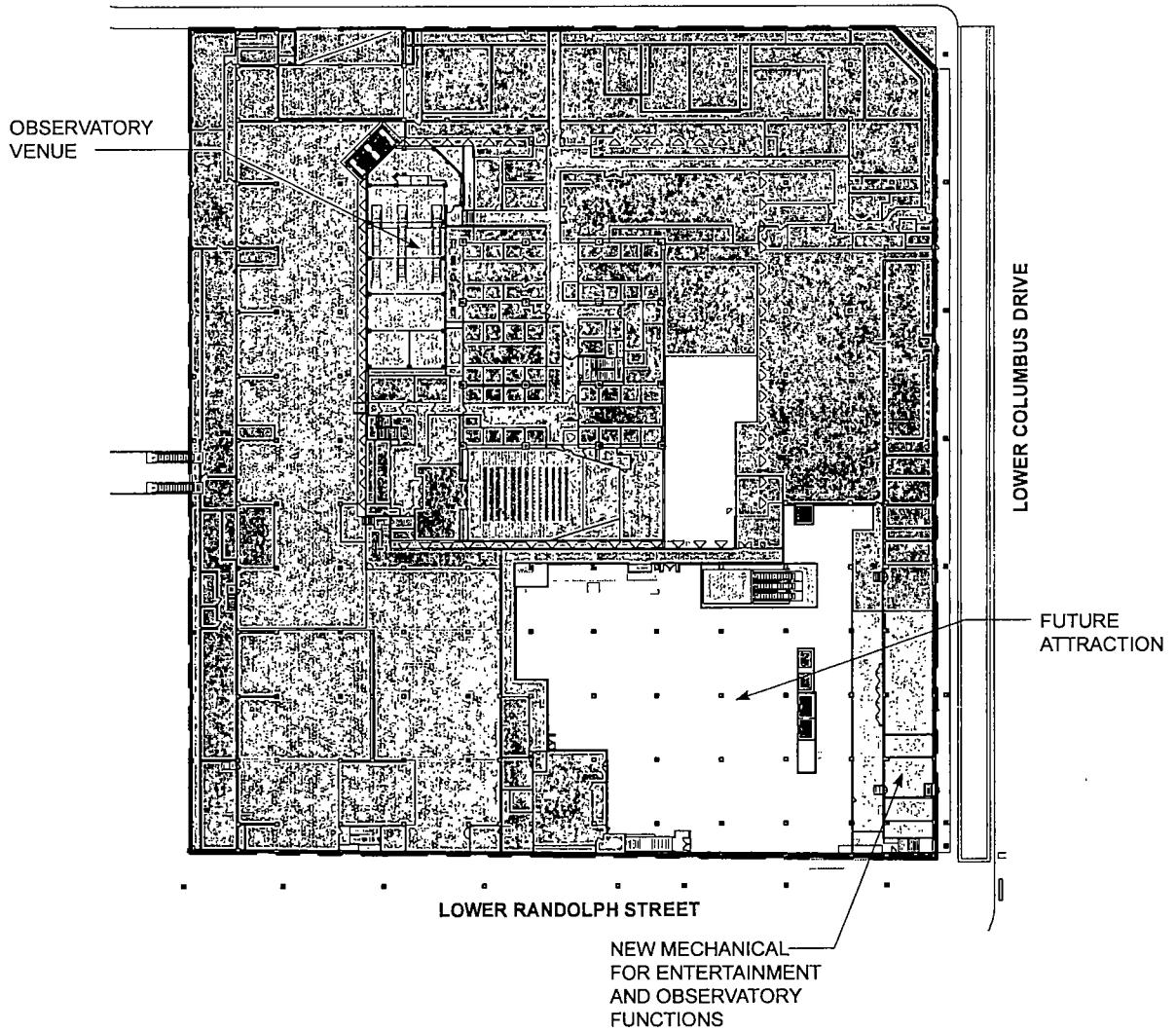
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Address: 200 E Randolph St Chicago, IL 60601
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CPC: TBD



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CONCOURSE PLAN

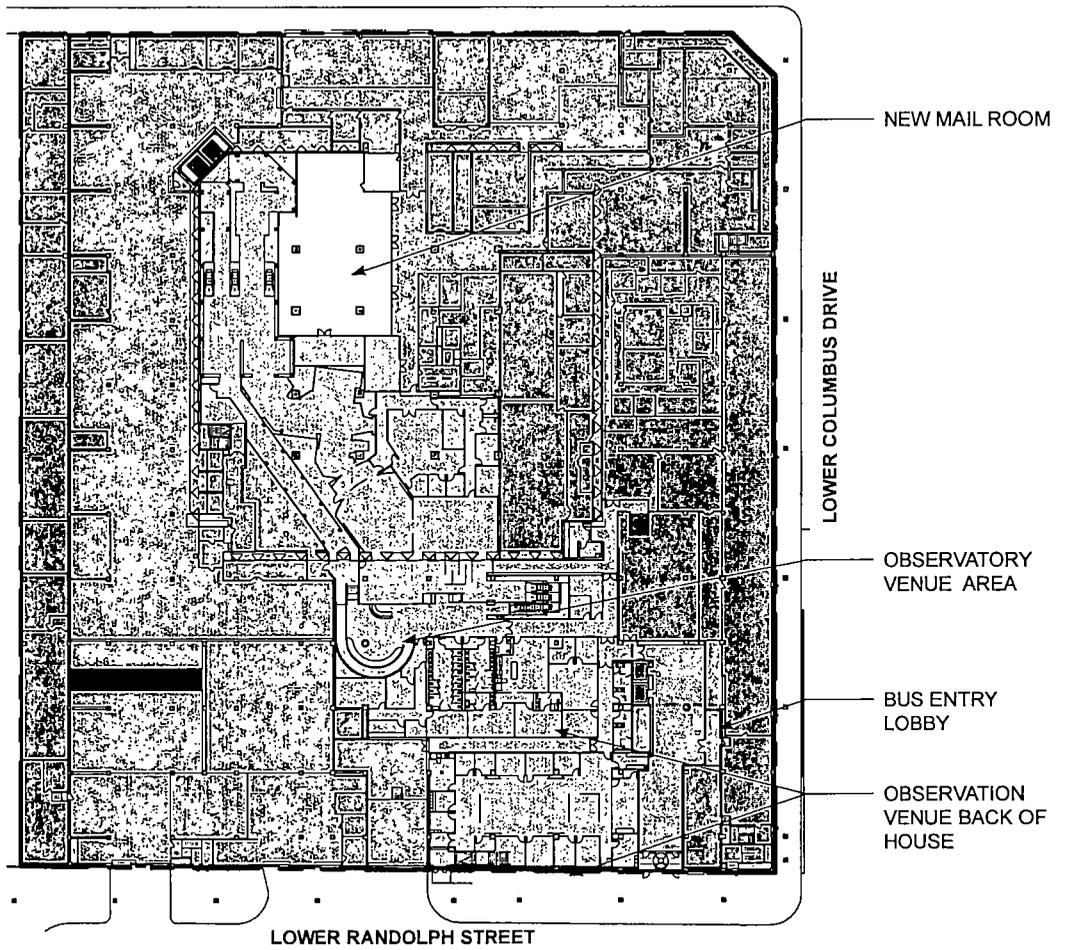
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



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LOWER LEVEL 01 PLAN

Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



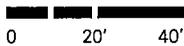
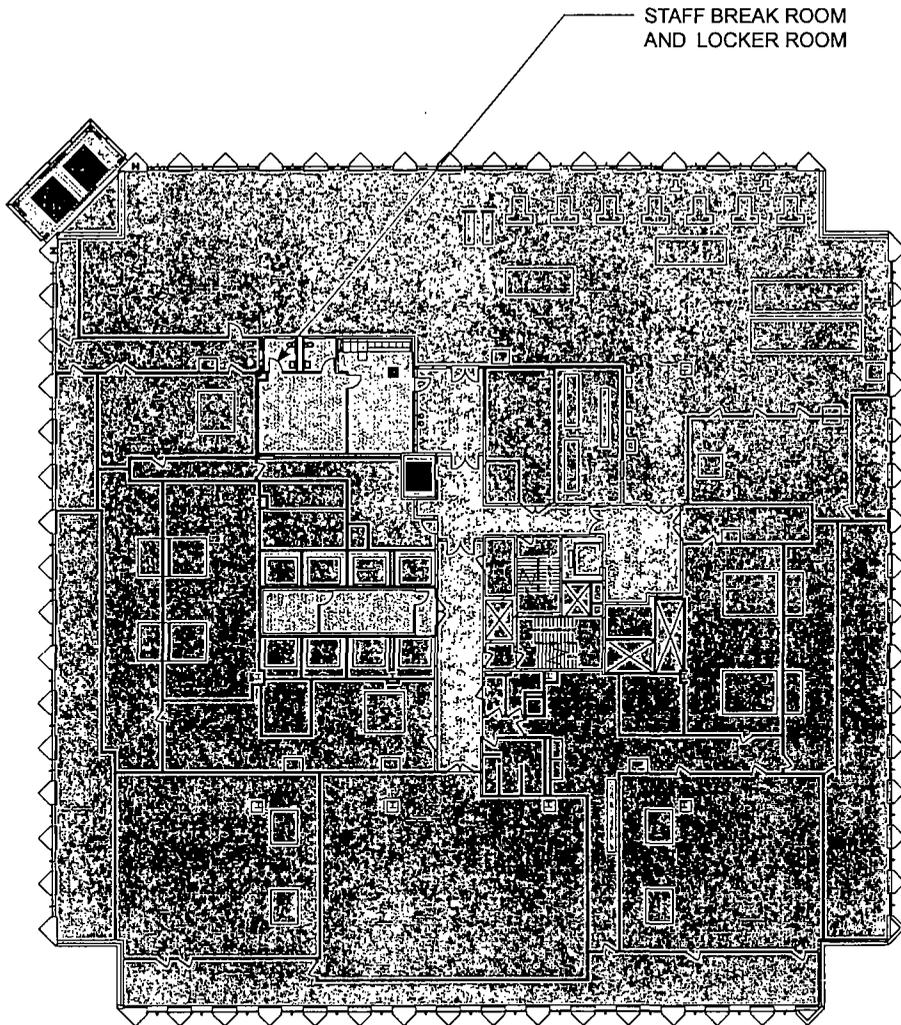
0 40' 80'



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LOWER LEVEL 03 PLAN

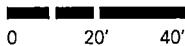
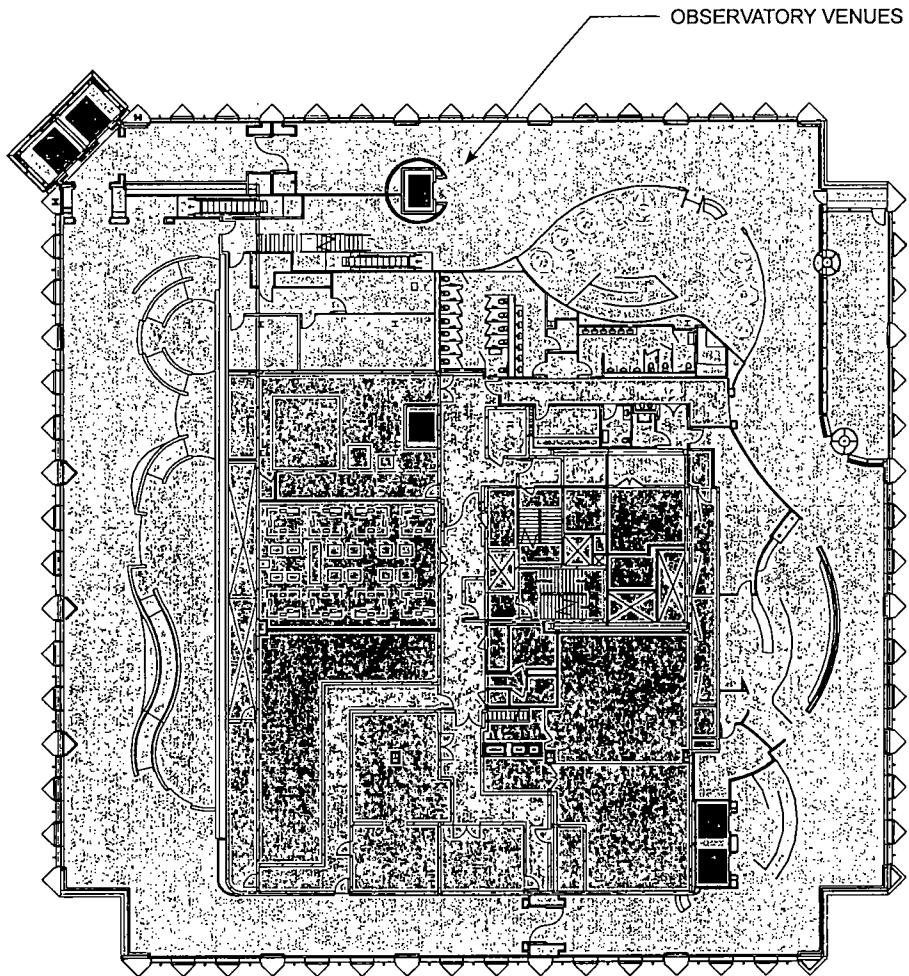
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



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LEVEL 81 PLAN

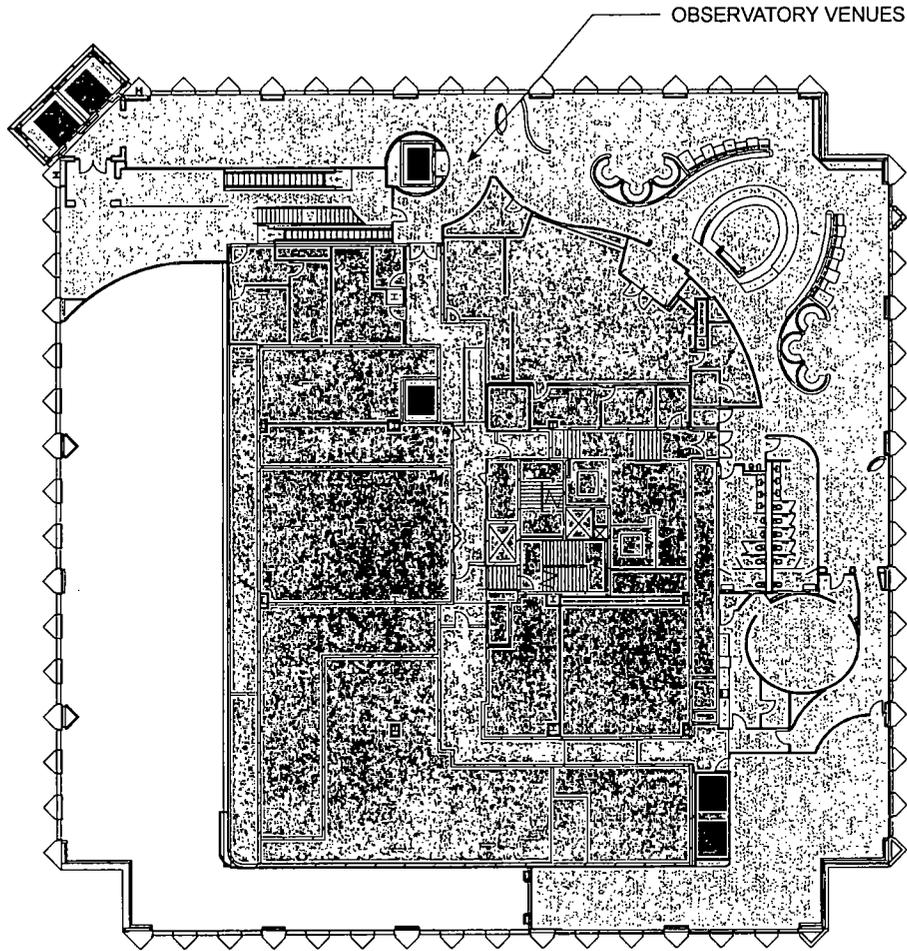
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Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



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LEVEL 82 PLAN

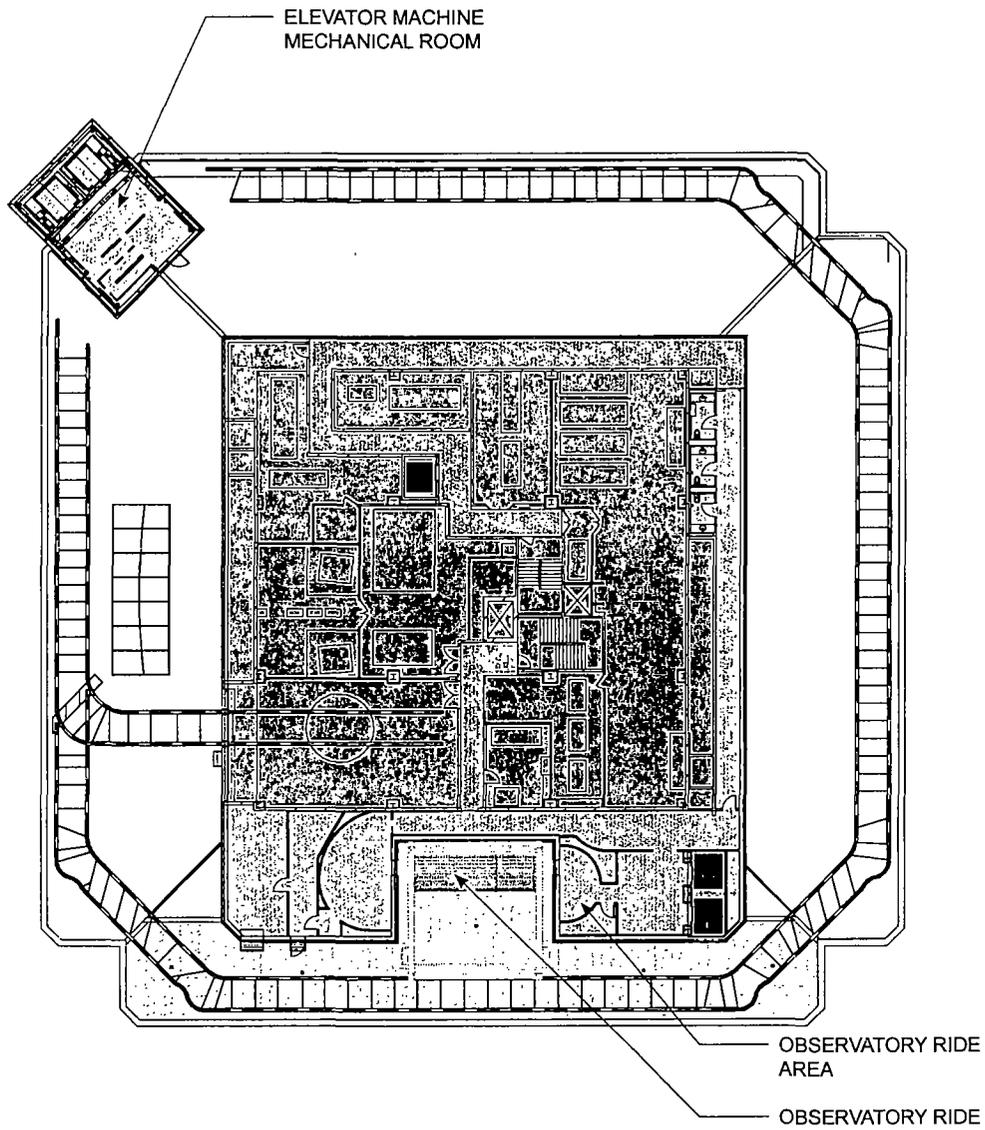
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



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LEVEL 82.5 PLAN

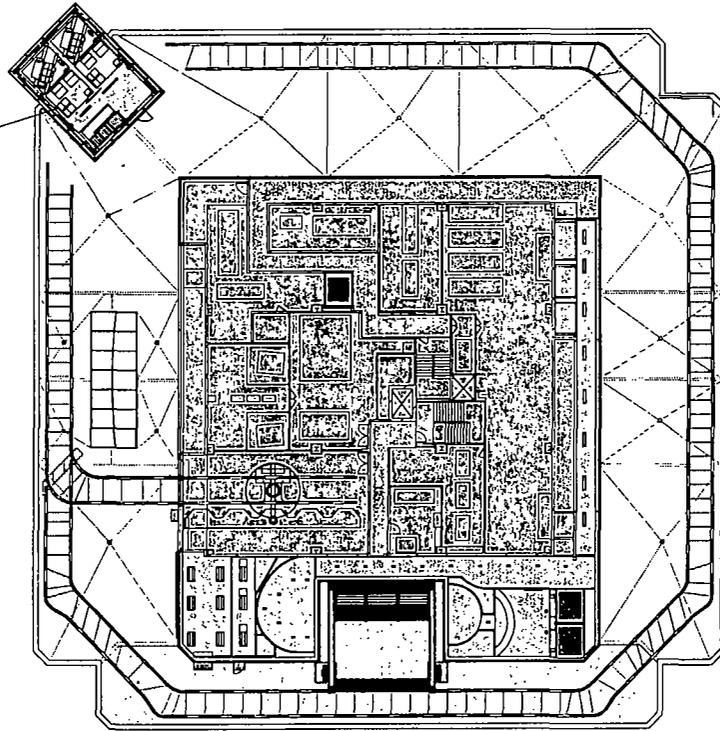
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



LEVEL 83 PLAN

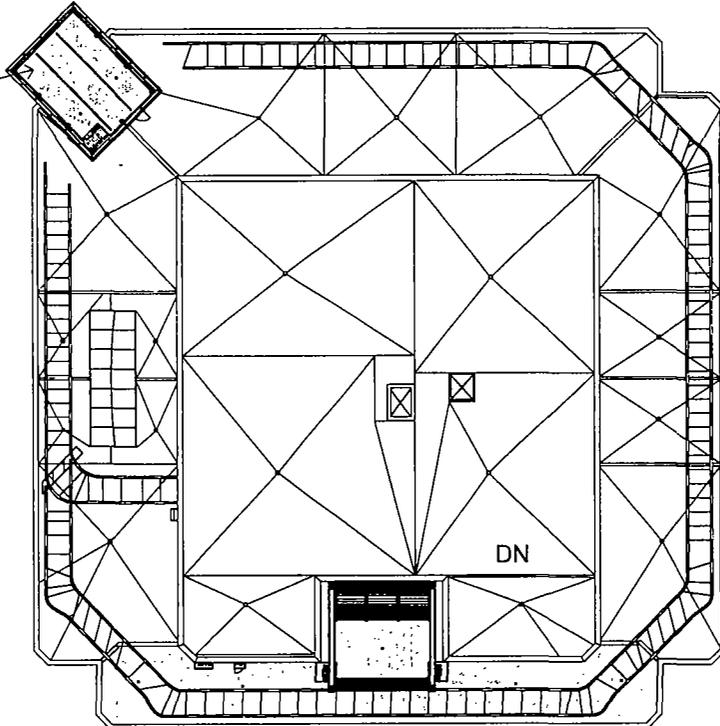
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD

ELEVATOR TOWER
MACHINE ROOM



ELEVATOR MACHINE ROOM LEVEL

ELEVATOR TOWER
BMU



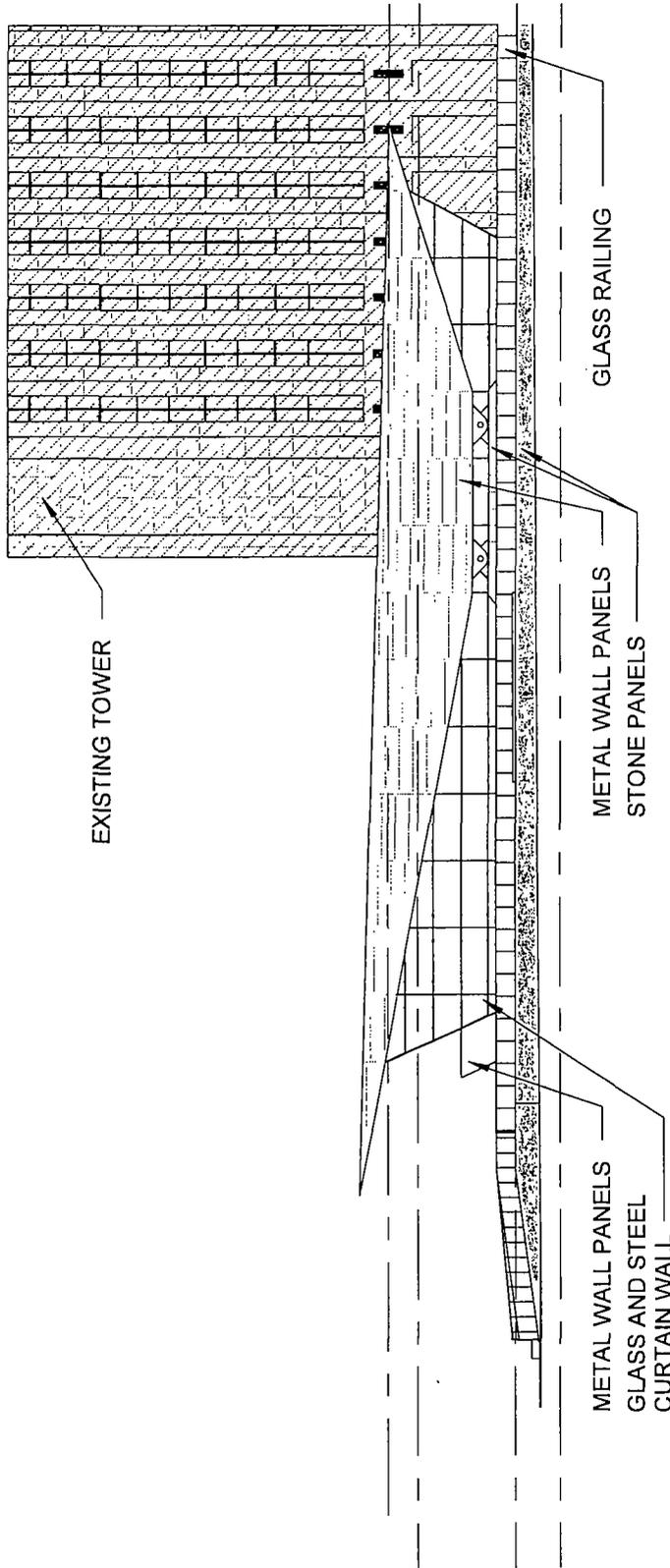
ELEVATOR TOWER BMU



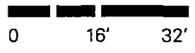
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ELEVATOR TOWER MACHINE ROOM AND BMU PLAN

Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



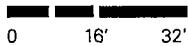
PAVILION - EAST ELEVATION



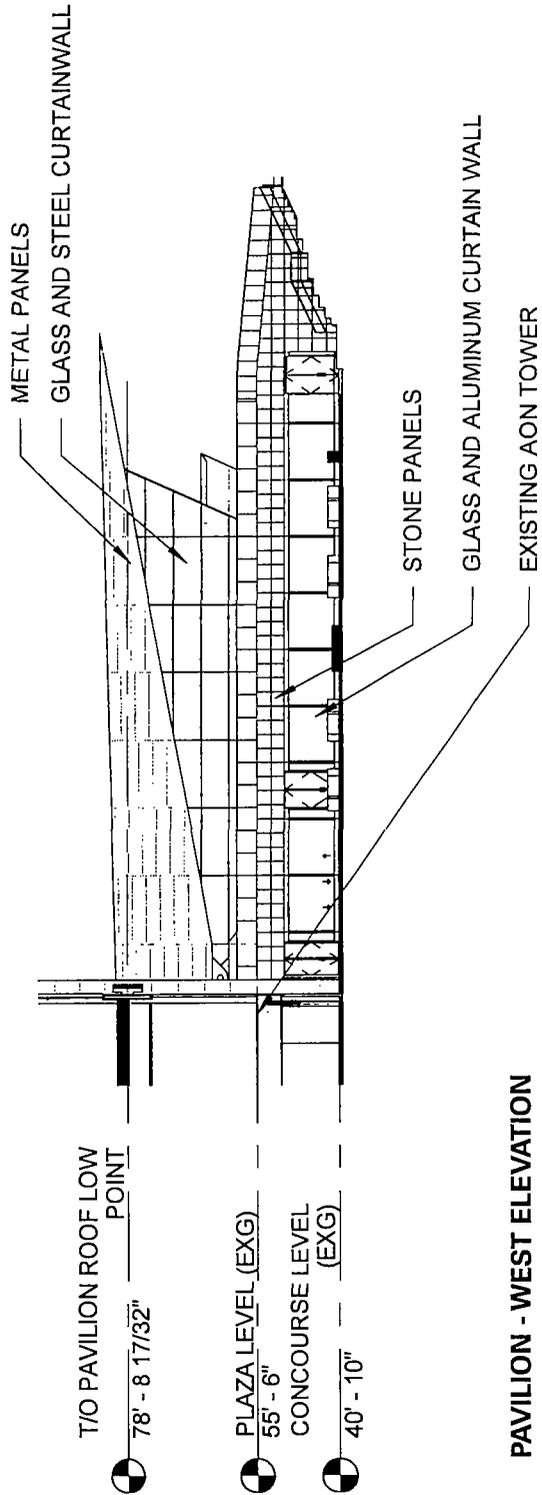
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PAVILION - EAST ELEVATION

Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD

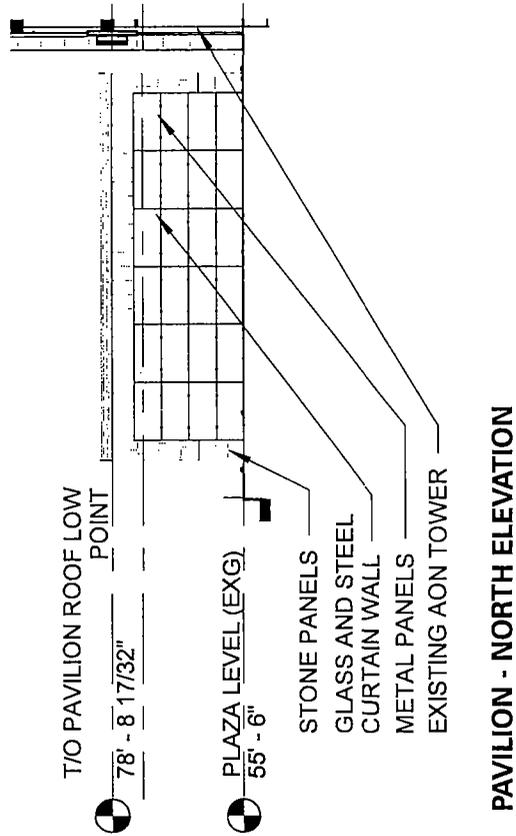
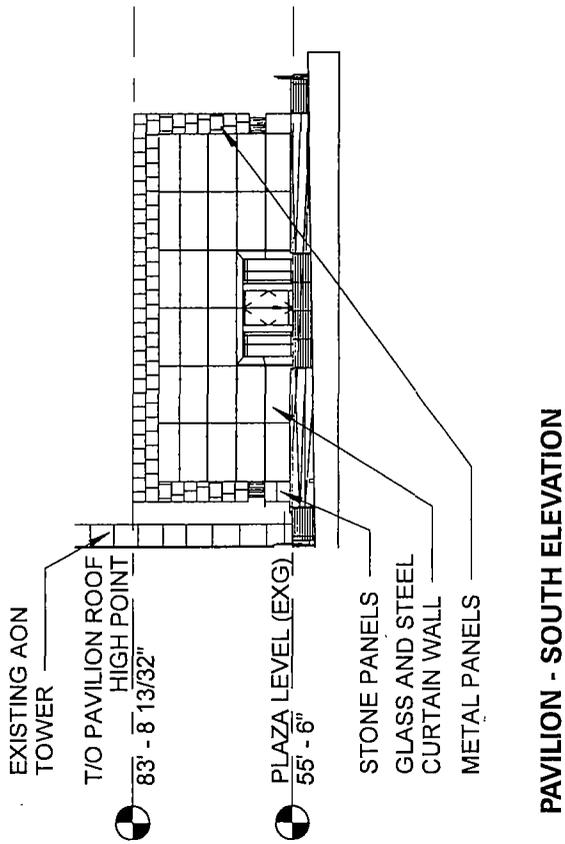


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PAVILION - WEST ELEVATION

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Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



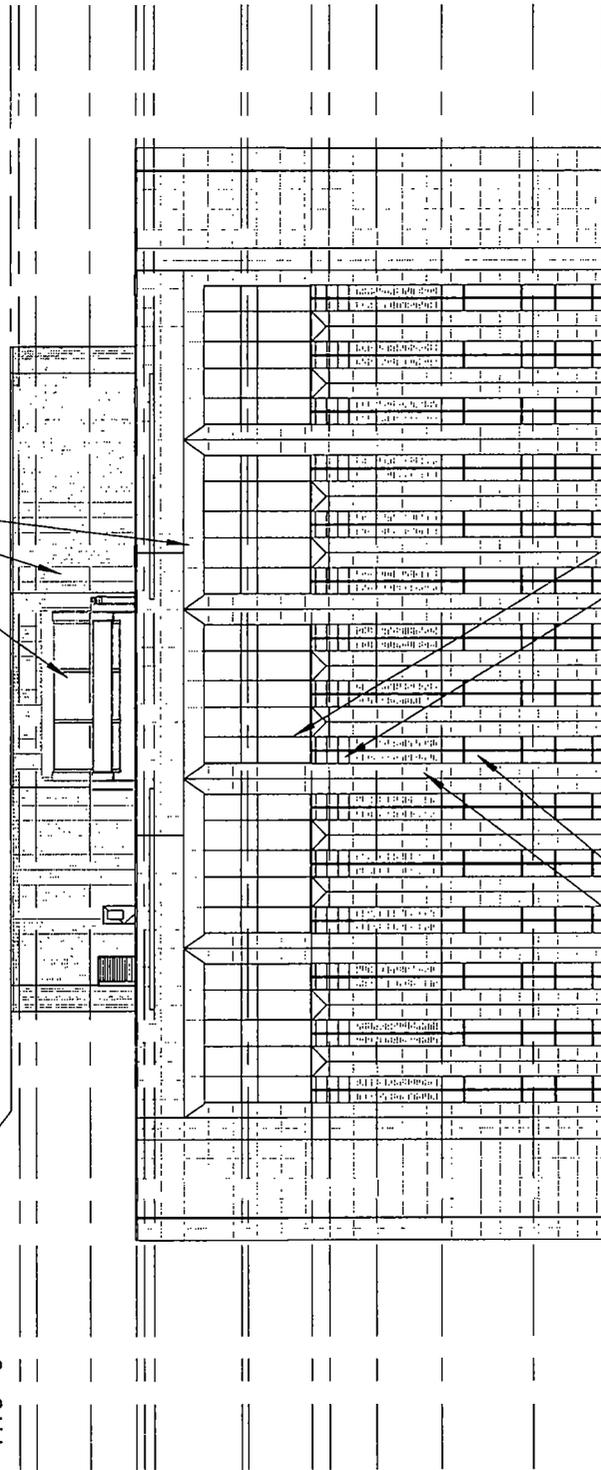
PAVILION - NORTH AND SOUTH ELEVATIONS

Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



T/PENTHOUSE PARAPET
1170' - 6"

NEW GLASS AND STEEL THRILL RIDE
NEW METAL PANELS
NEW STONE PANELS TO MATCH EXISTING



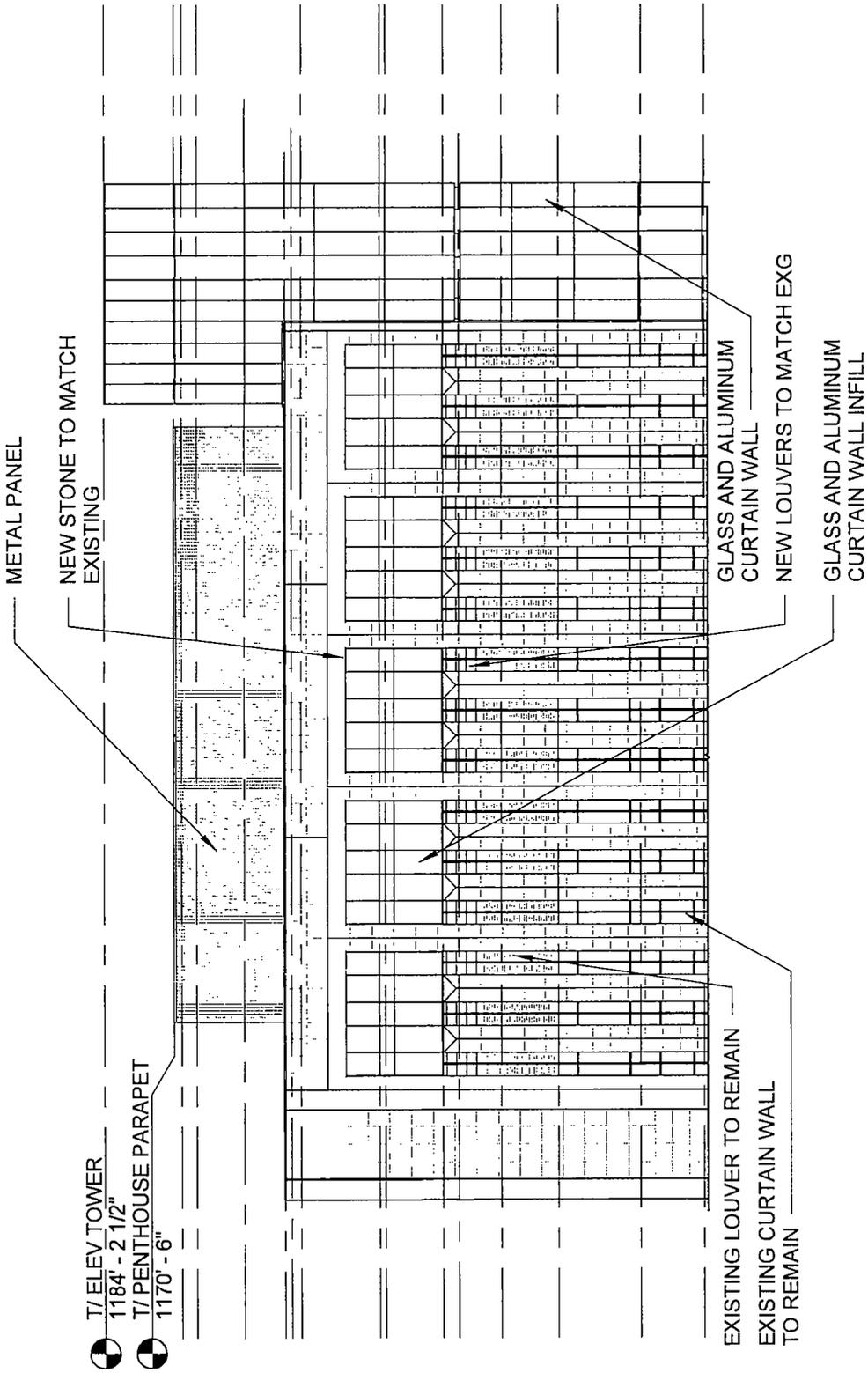
NEW GLASS AND ALUMINUM
CURTAIN WALL INFILL
NEW ARCHITECTURAL LOUVERS TO
MATCH EXISTING

EXISTING STONE TO REMAIN
EXISTING CURTAIN WALL TO REMAIN

OBSERVATORY - SOUTH ELEVATION

OBSERVATORY - SOUTH ELEVATION

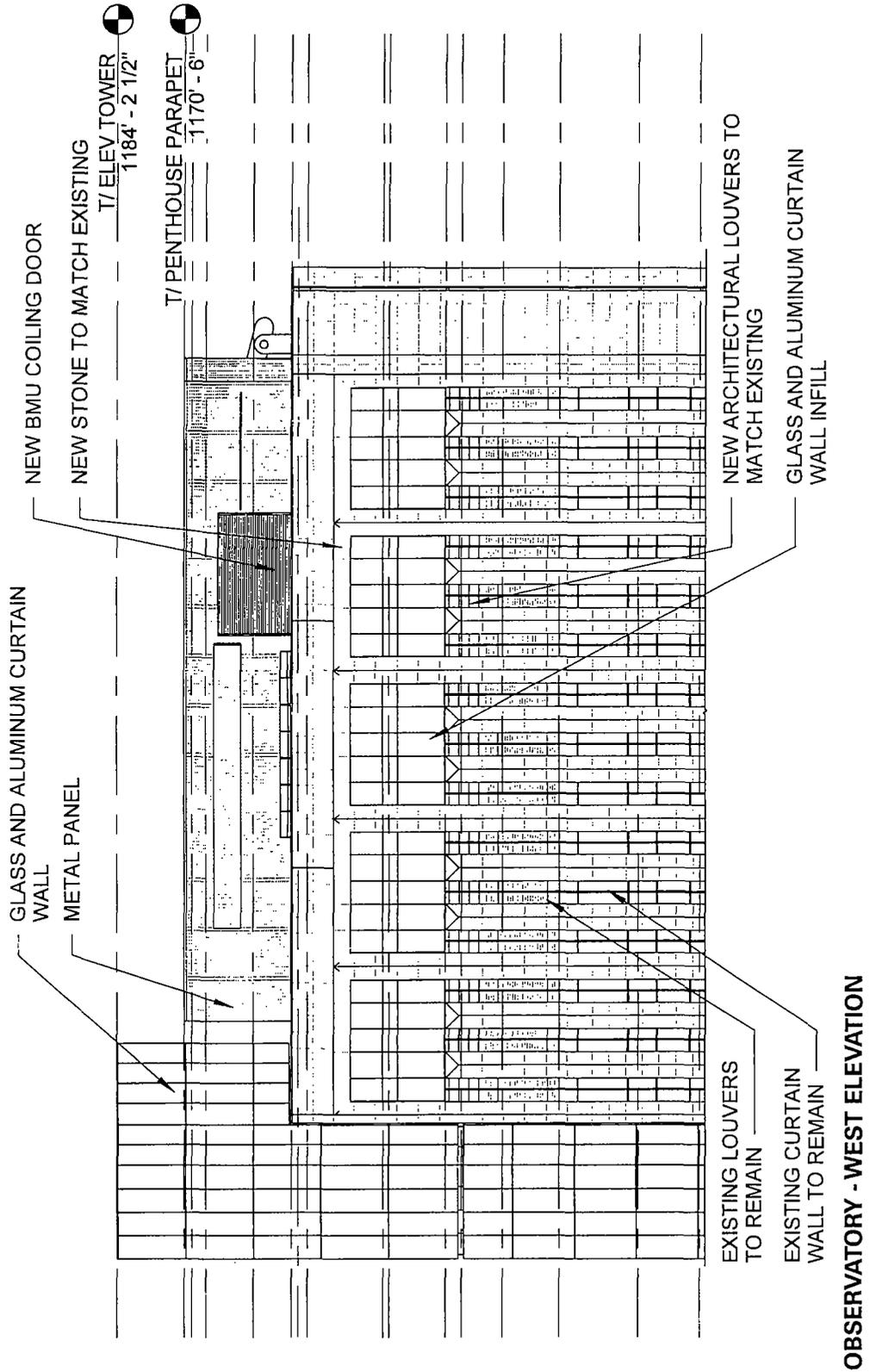
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD



OBSERVATORY - NORTH ELEVATION

OBSERVATORY - NORTH ELEVATION

Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD

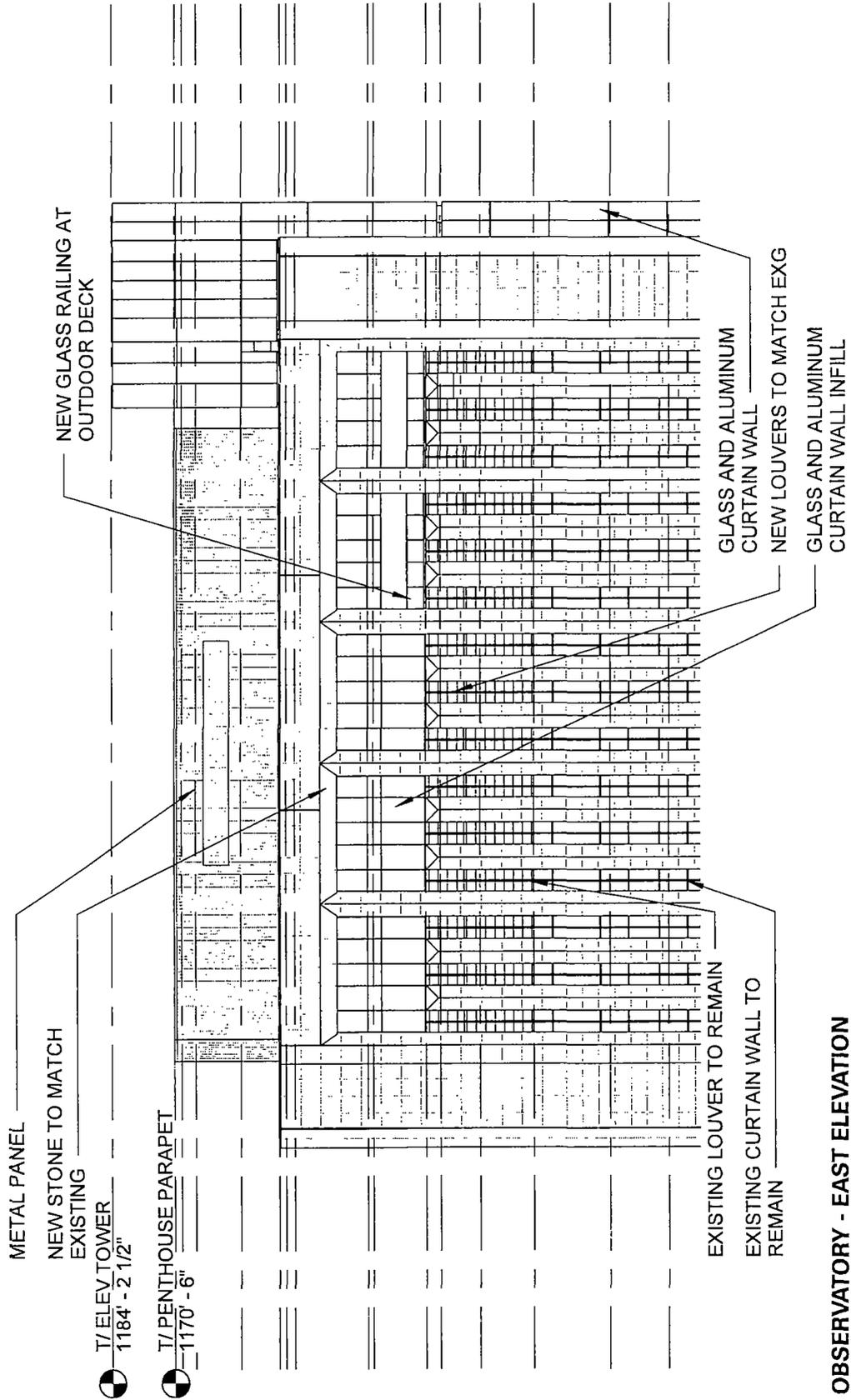


OBSERVATORY - WEST ELEVATION

Applicant: 601W Companies, LLC
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Intro Date: October 24, 2018
CPC: TBD



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OBSERVATORY - EAST ELEVATION

OBSERVATORY - EAST ELEVATION

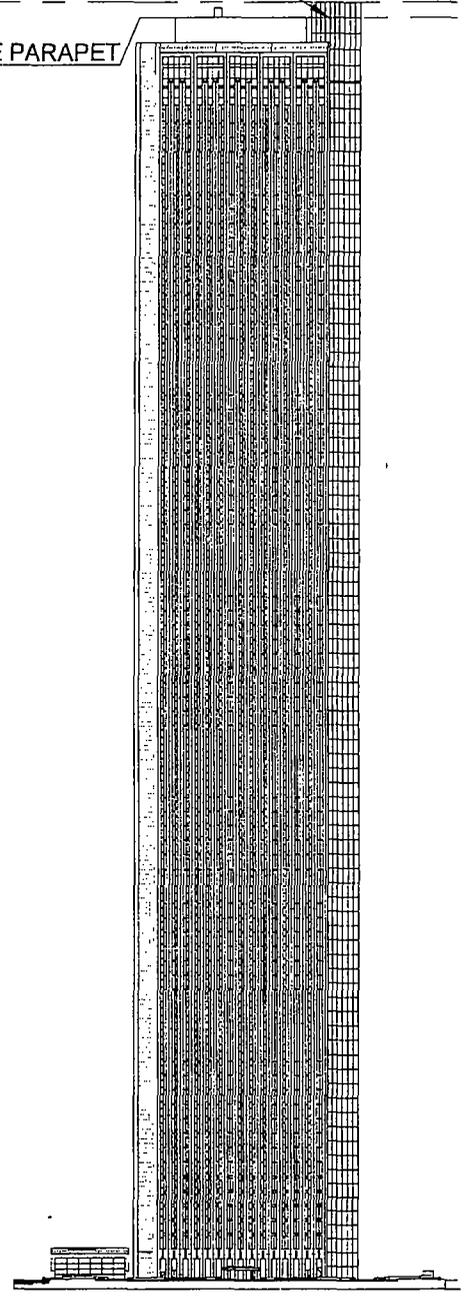
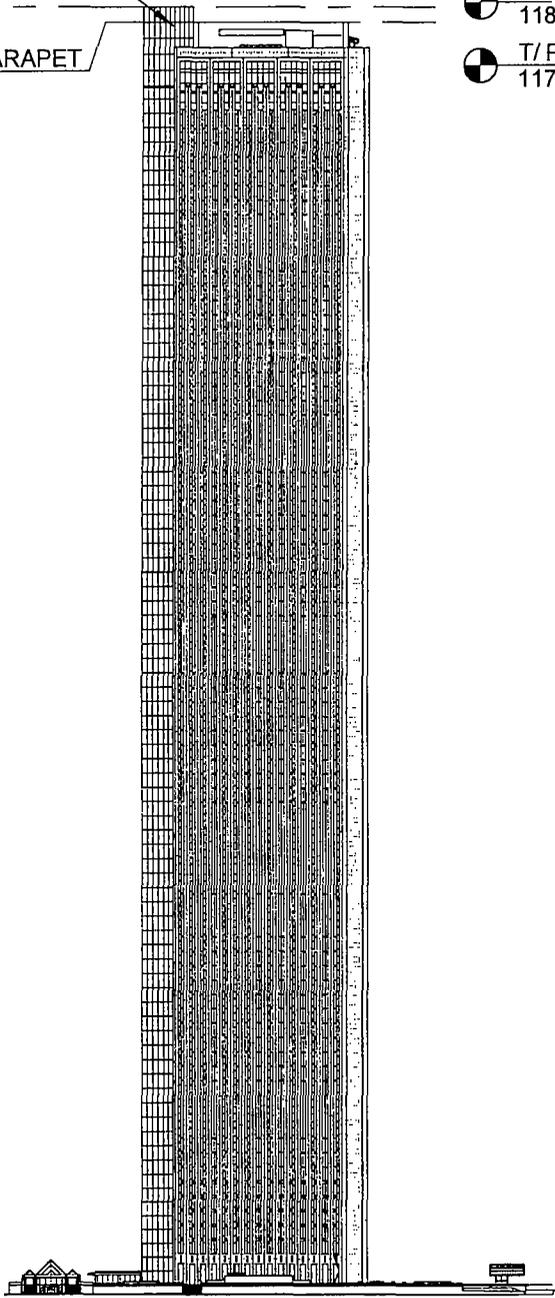
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD

GLASS AND ALUMINUM CURTAIN WALL

GLASS AND ALUMINUM CURTAIN WALL

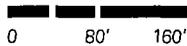
T/ ELEV TOWER
1184' - 2 1/2"
T/ PENTHOUSE PARAPET
1170' - 6"

T/ ELEV TOWER
1184' - 2 1/2"
T/ PENTHOUSE PARAPET
1170' - 6"



WEST ELEVATION

NORTH ELEVATION



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OVERALL ELEVATIONS

Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD

GLASS AND ALUMINUM CURTAIN WALL

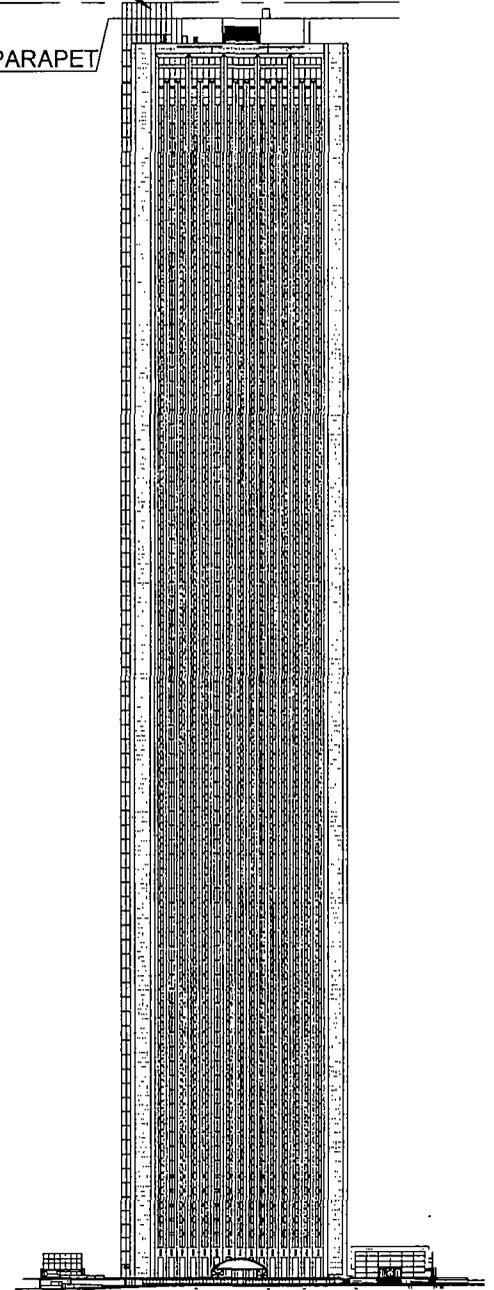
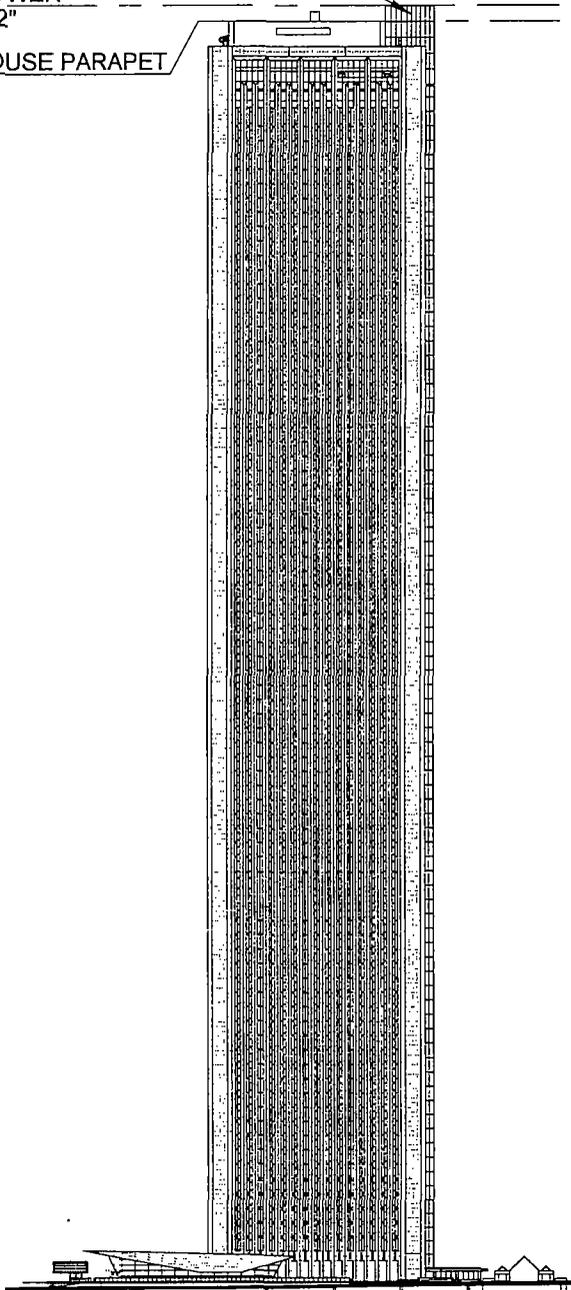
GLASS AND ALUMINUM CURTAIN WALL

T/ ELEV TOWER
1184' - 2 1/2"

T/ ELEV TOWER
1184' - 2 1/2"

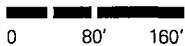
T/ PENTHOUSE PARAPET
1170' - 6"

T/ PENTHOUSE PARAPET
1170' - 6"



EAST ELEVATION

SOUTH ELEVATION

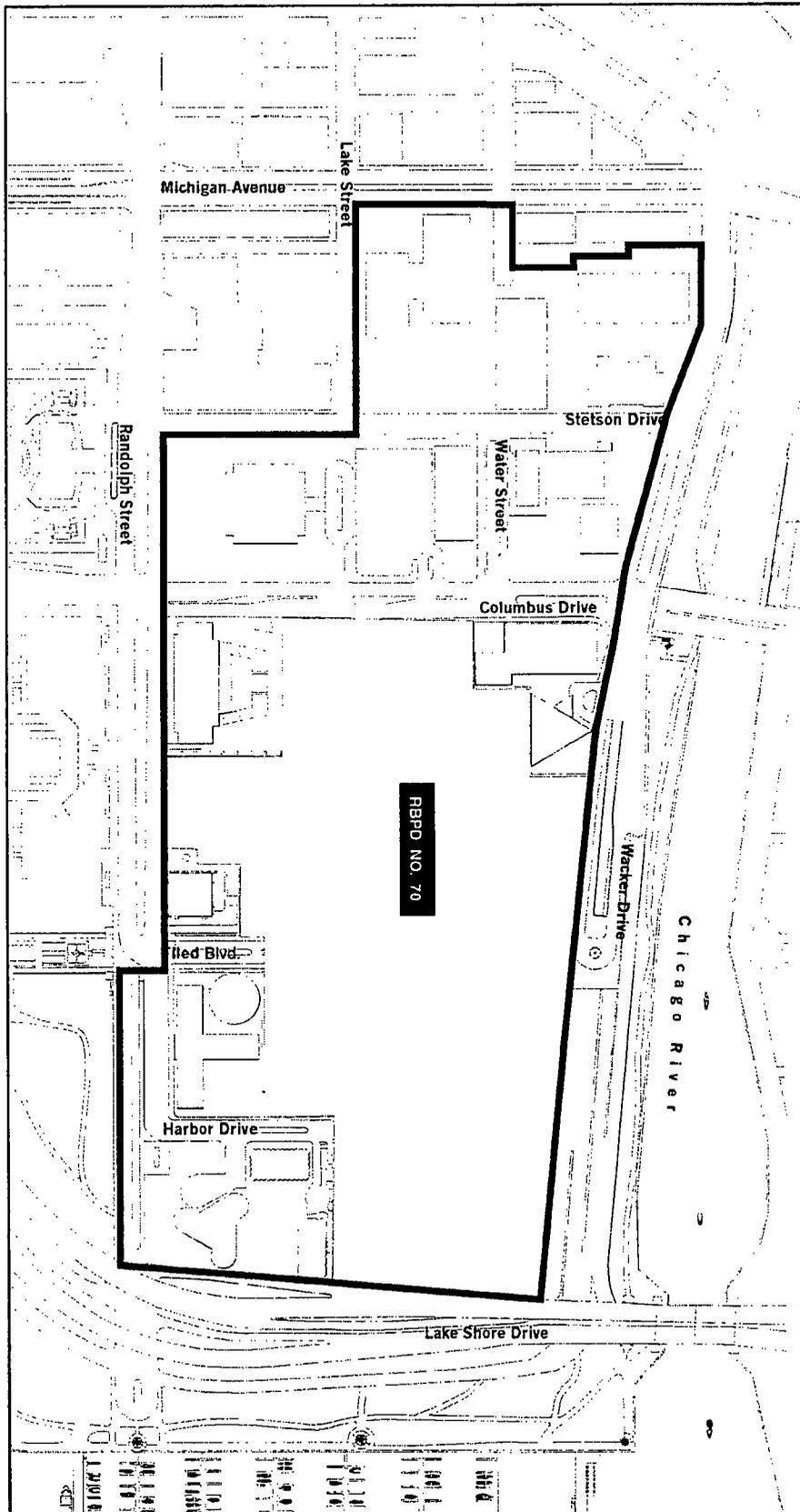


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OVERALL ELEVATIONS

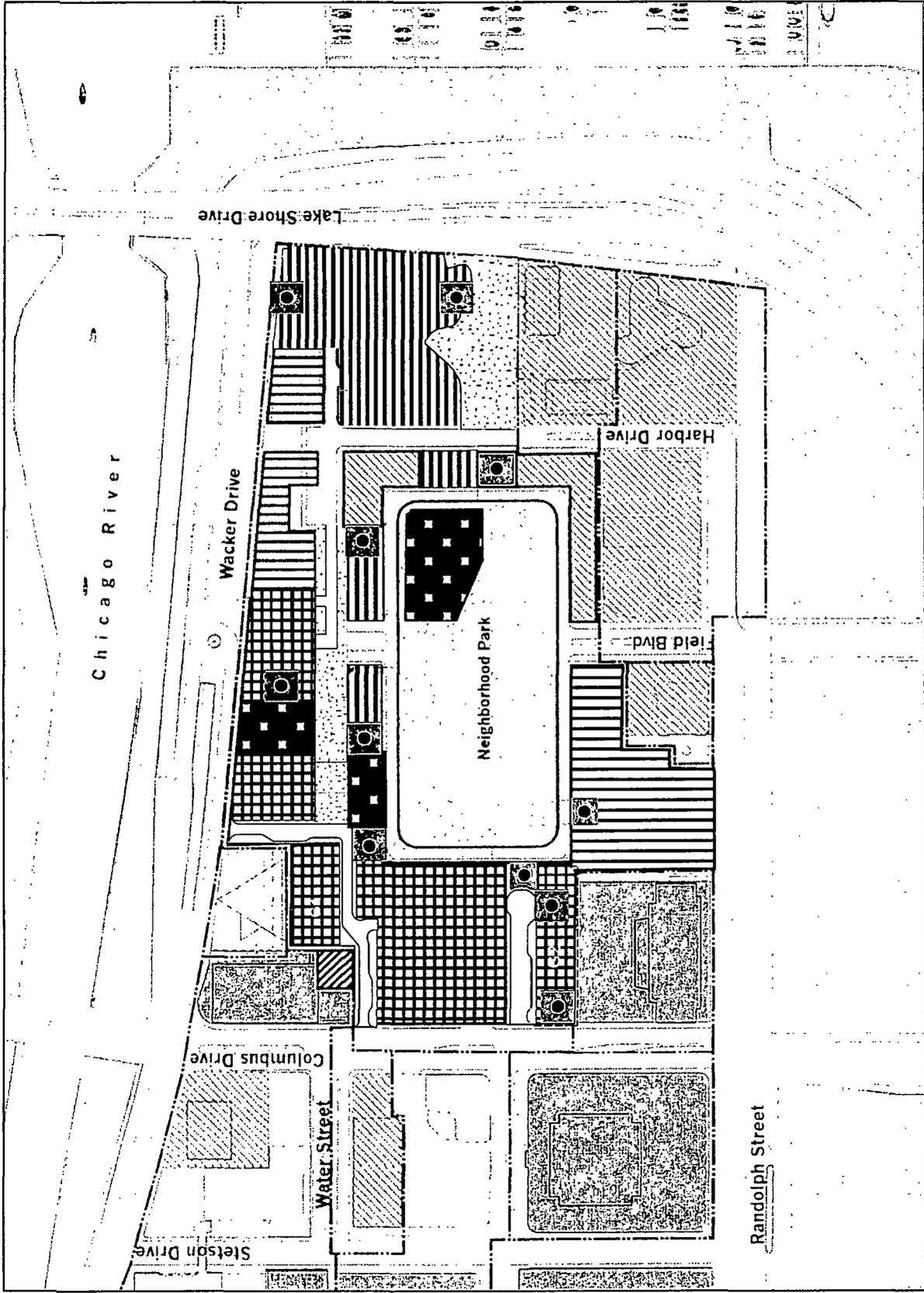
Applicant: 601W Companies, LLC
Address: 200 E Randolph St Chicago, IL 60601
Intro Date: October 24, 2018
CPC: TBD

Planned Development Boundaries



Address • 197-301 North Harbor Drive and 425-500 East Lakeshore Drive
Applicant • IJKL, LLC and Lakeshore East LLC
Introduced • September 13, 2018
Plan Commission • October 18, 2018

Generalized Land Use



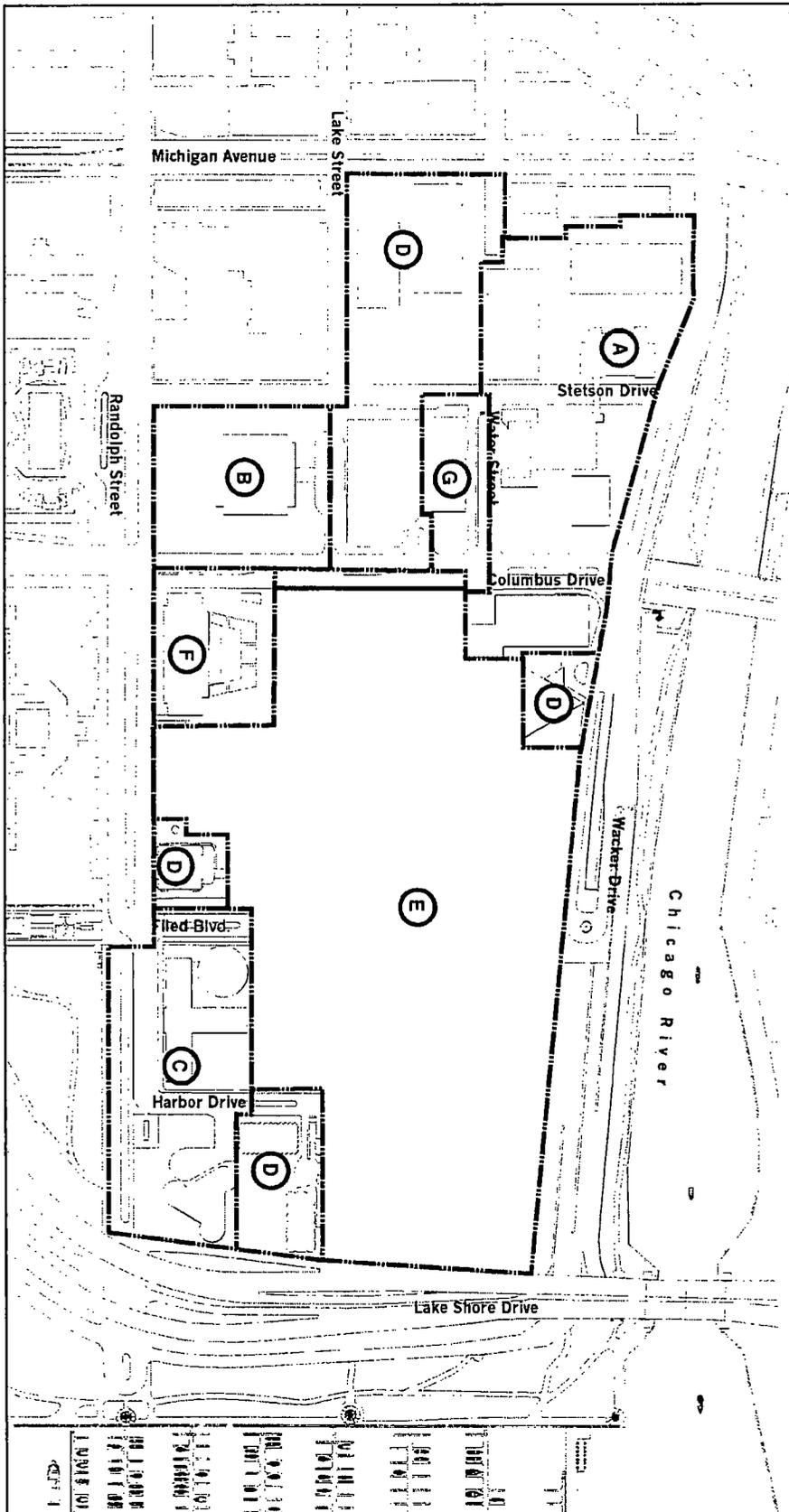
- Parks and Open Space
- Residential
- Residential with Ground floor retail
- Mixed Use A (Commercial / Retail / Hotel / Residential / Office)
- Mixed Use B (Commercial / Retail / Hotel / Residential)
- Office
- Hotel
- Institutional
- Vertical Connection
- Privately Held Public Open Space



Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • UKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
 Plan Commission • October 18, 2018

Subarea Map

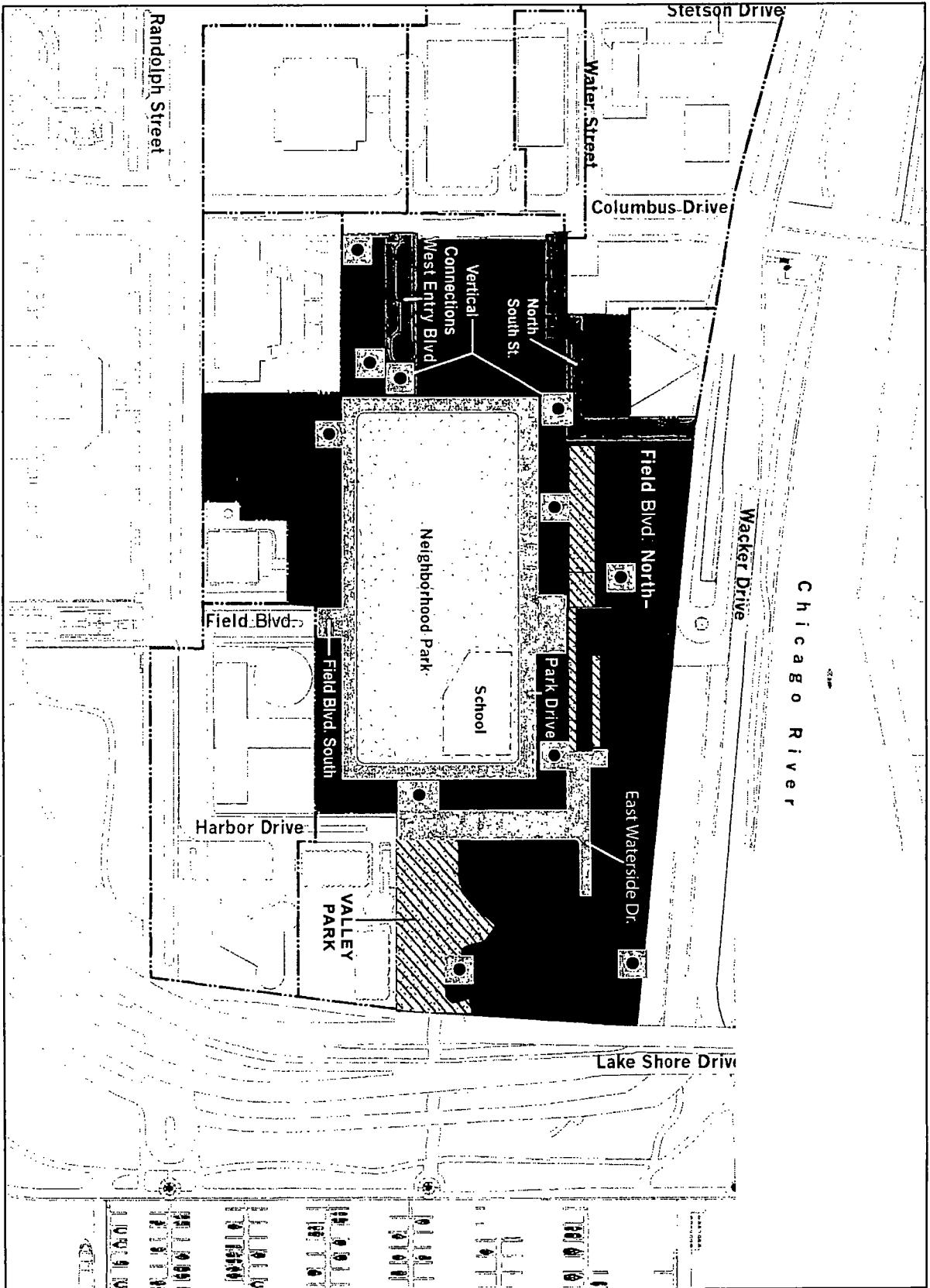
Ⓐ Subarea Identification



Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
Applicant • IJKL, LLC and Lakeshore East LLC
Introduced • September 13, 2018
Plan Commission • October 18, 2018

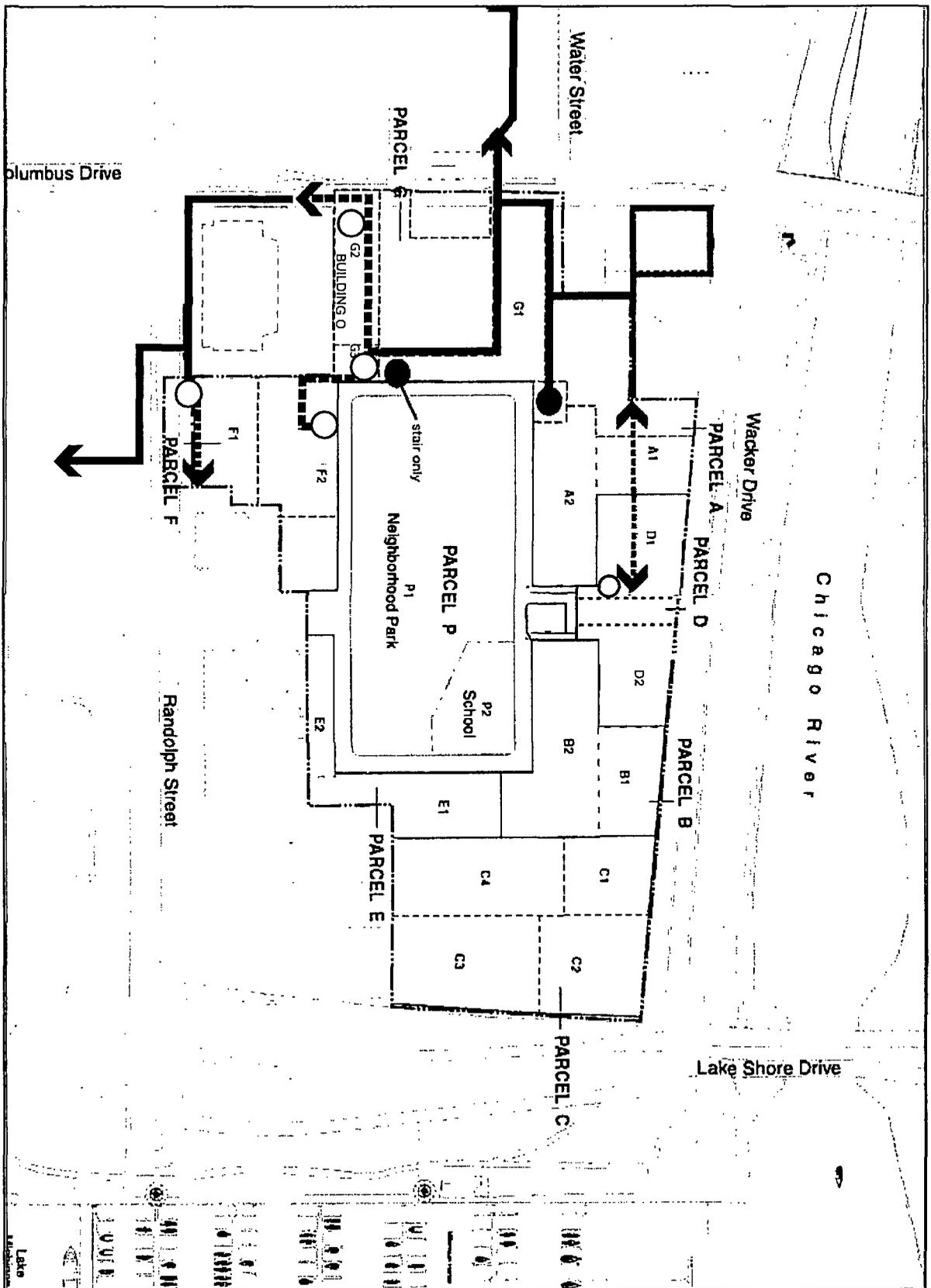
Subarea E
Net Development Area

-  Public Open Space
-  R.O.W.
-  Net Developable Area (Upper Level)
-  Vertical Connection
-  Public Street Not Dedicated
-  Non-Dedicated Public Space



Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
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Pedestrian Walkway System - Pedway Level



- Existing
- Vertical Connection (Source E)
- Vertical Connection Within a Building
- - - Pedestrian Connections

NOTE: This plan is diagrammatic in nature and is intended to show which parcels / sub-parcels should be connected to the parkway system, not the actual route for the connections.



Subarea E Parcelization Plan - Upper Level
 (+/-) elev. +47.00 to +57.33 CCD

Public Open Space

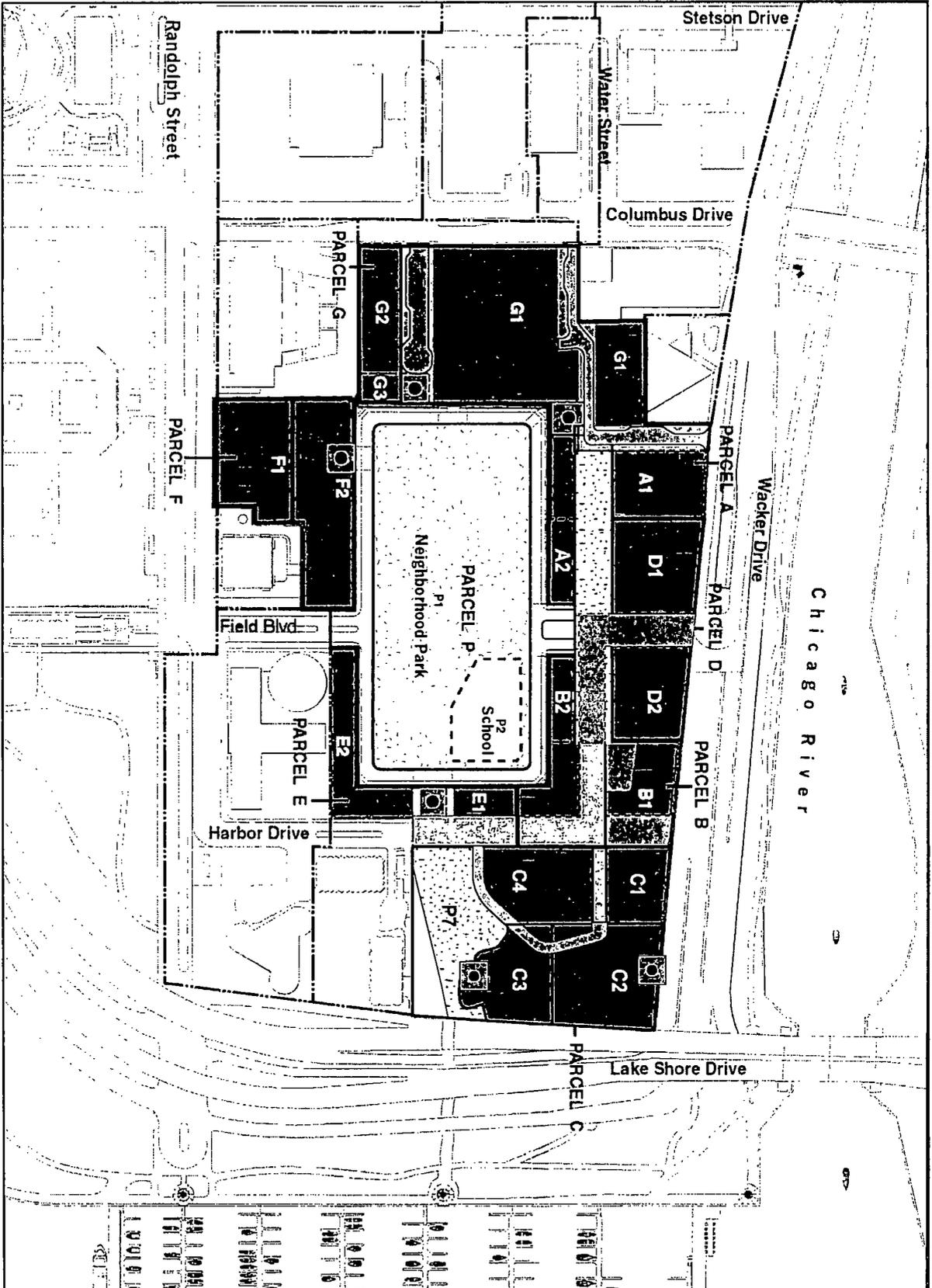
R.O.W.

Development Parcel

Vertical Connection

Public Street Not Dedicated

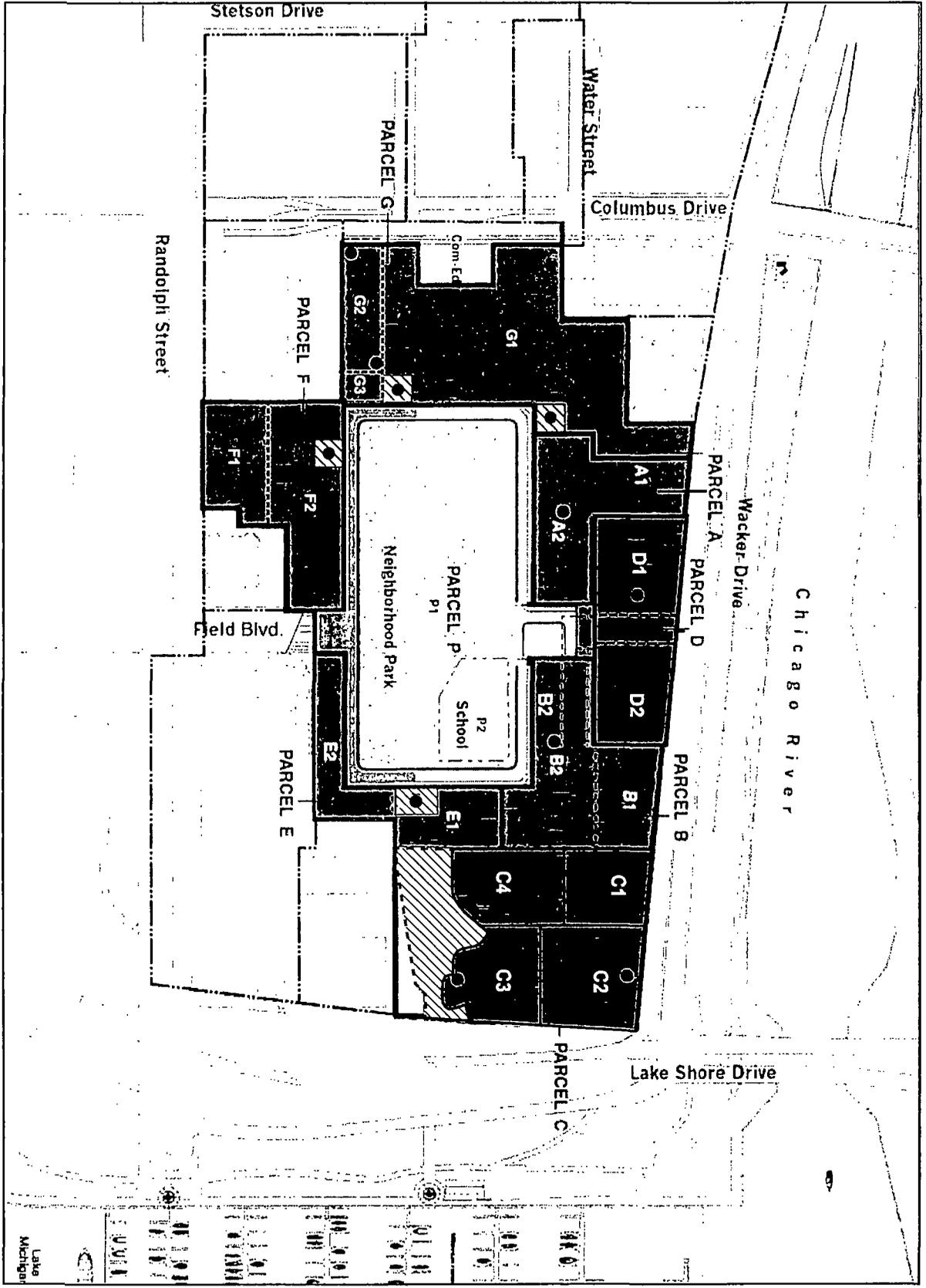
Non-Dedicated Public Space



Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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 Introduced • September 13, 2018
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Subarea E Parcelization Plan - Intermediate Level
 (+/-) elev. +27.00 CCD

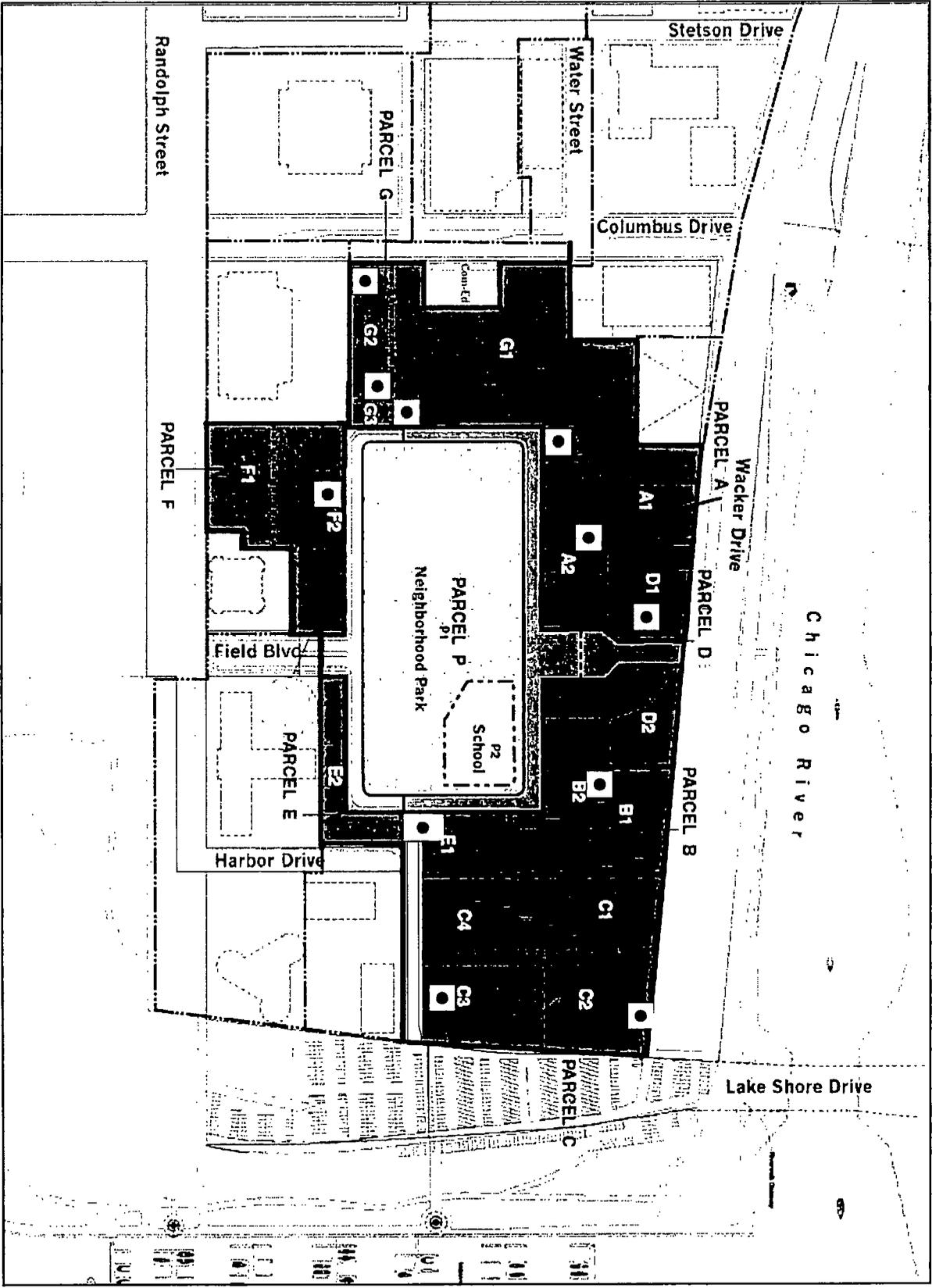
-  Public Open Space
-  R.O.W.
-  Development Parcel
-  Non-Dedicated Public Space
-  Vertical Connection



Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
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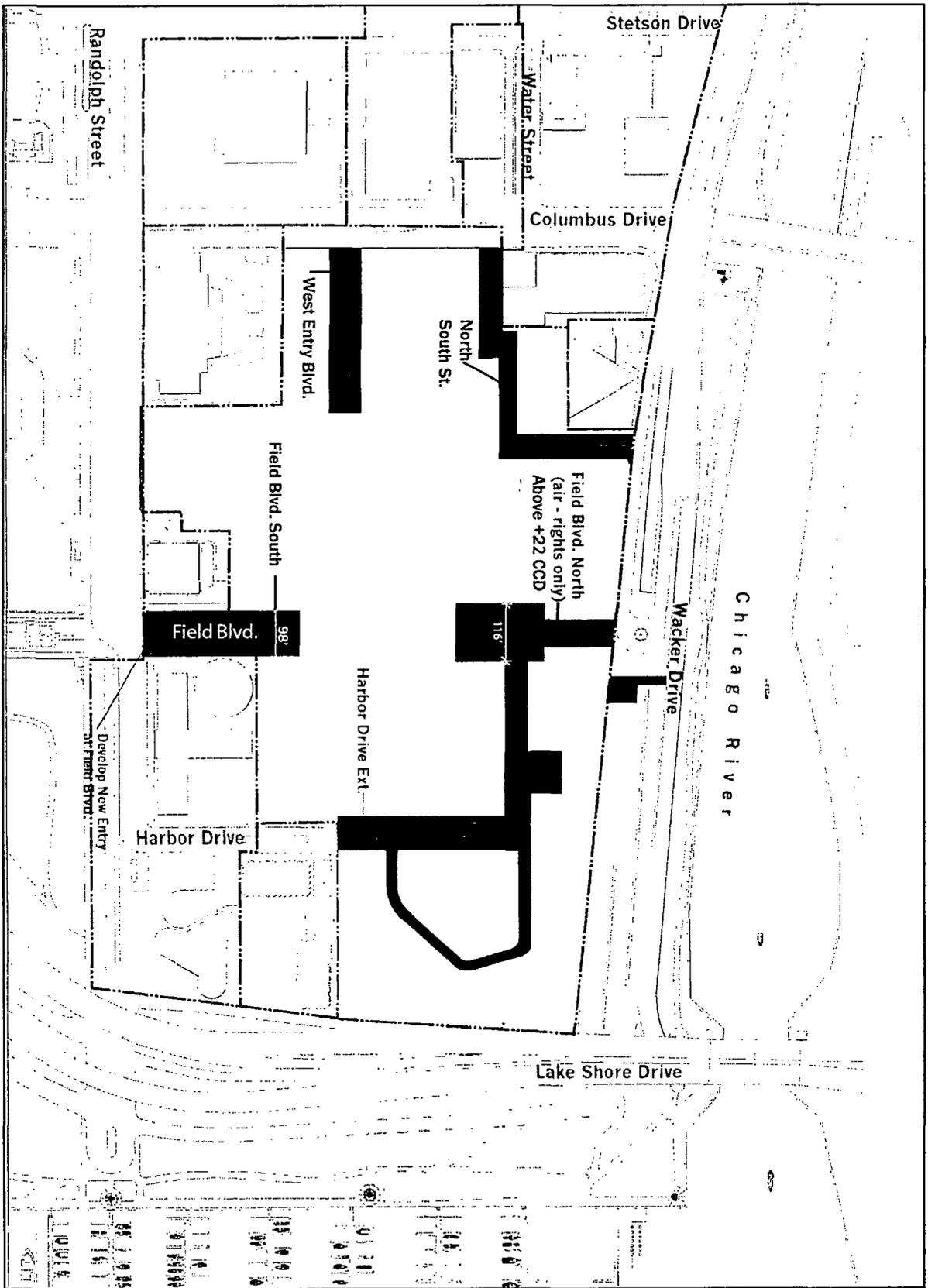
Subarea E Parcelization Plan - Lower Level
 (+/-) elev. +6.00 CCD

-  Public Open Space
-  R.O.W.
-  Development Parcel
-  Vertical Connection



Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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R.O.W. Adjustments - Upper Level



NOTE:

1. Various Non-Roadway Portions of Upper Level Right of Ways may contain ventilation grates and structures in order to accommodate Lower Level Uses (e.g., parking garages, public, quasi-public and private utilities, etc.)
2. These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

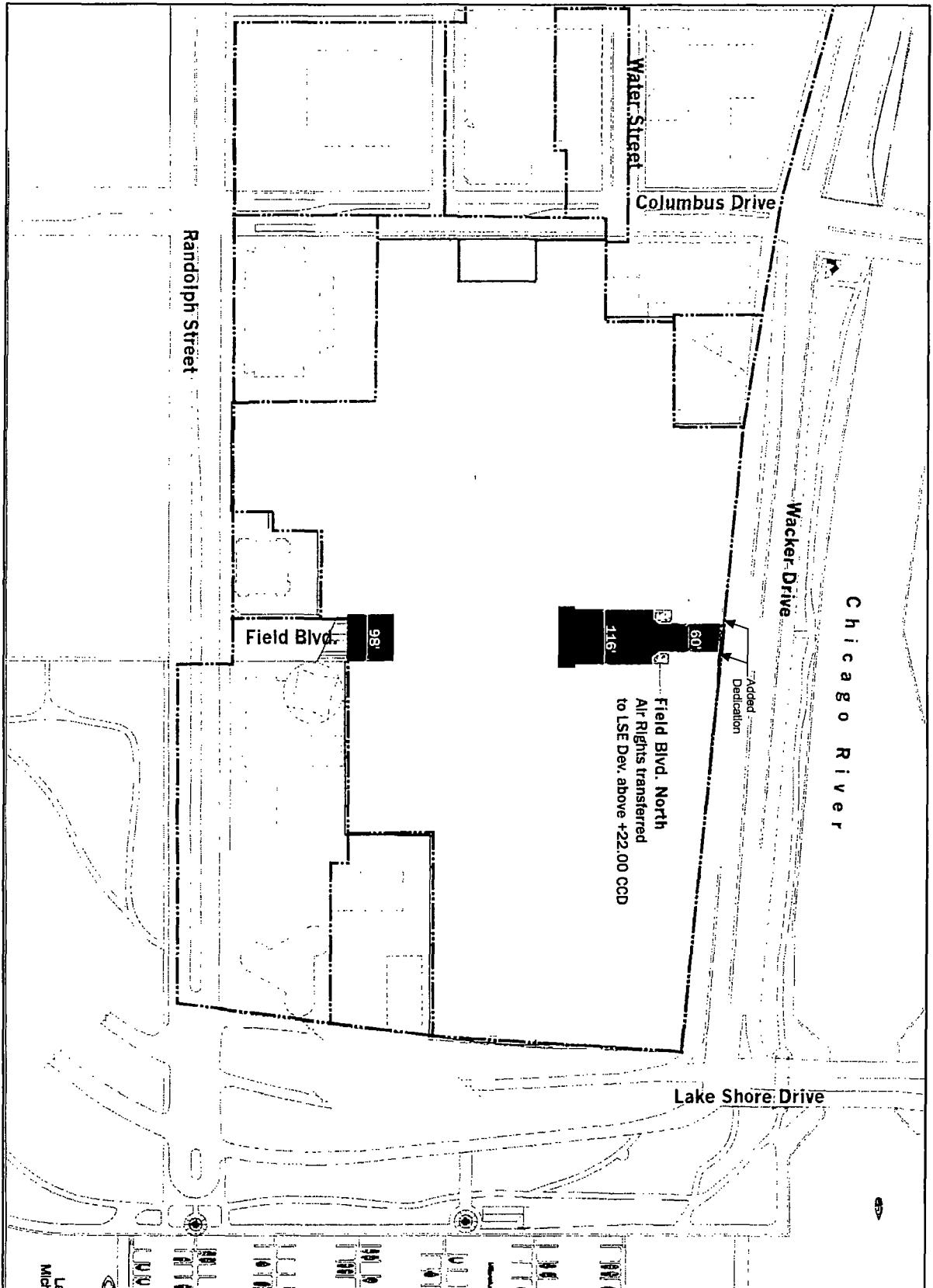
New R.O.W. to be Dedicated

Existing Dedicated R.O.W. to Remain

Public Street Not Dedicated



R.O.W. Adjustments - Intermediate Level



R.O.W. to be vacated

 New R.O.W. to be Dedicated

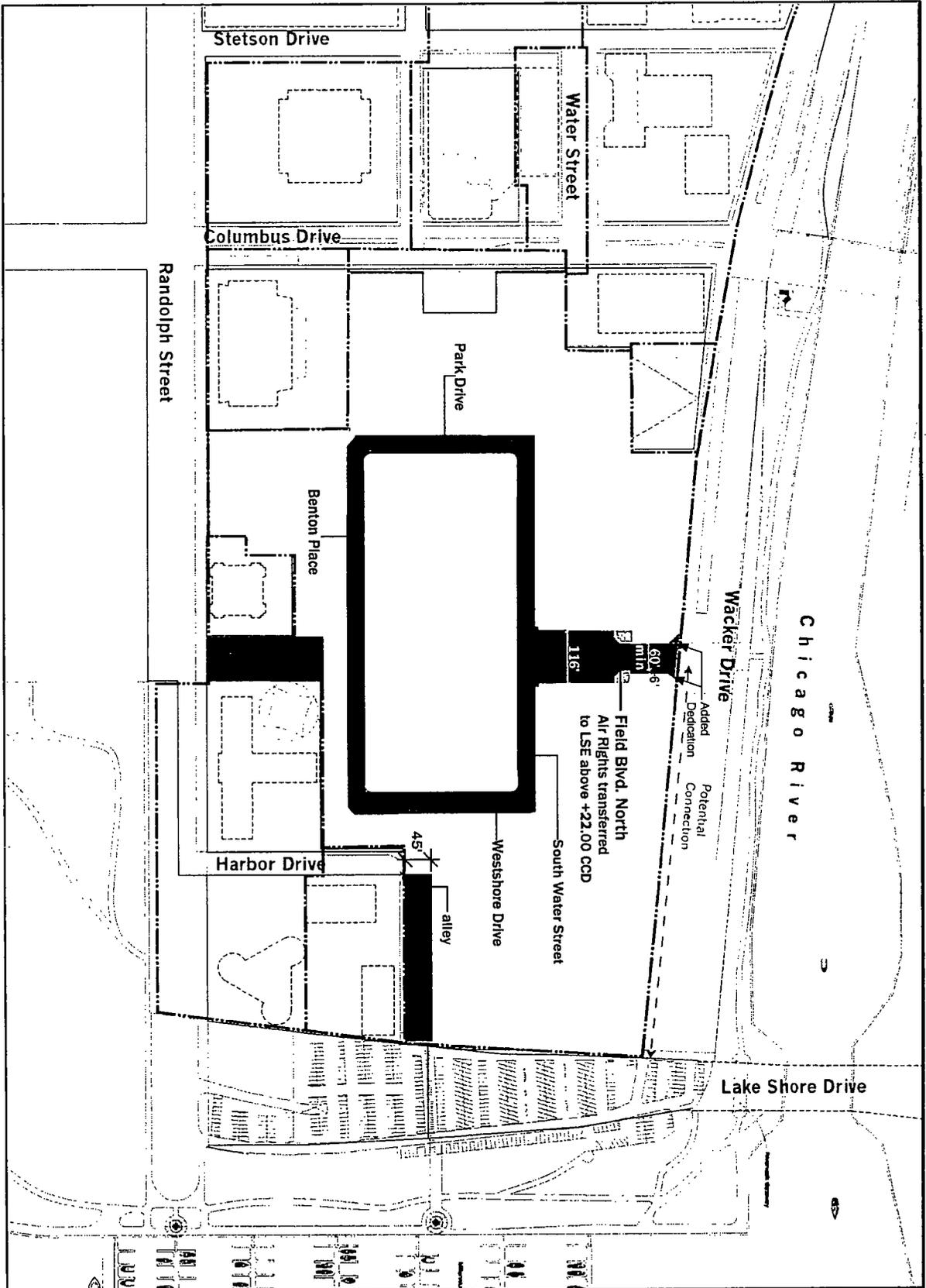
 Existing Dedicated R.O.W. to Remain

NOTE: These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.



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R.O.W. Adjustments - Lower Level



R.O.W. to be Vacated



New R.O.W. to be Dedicated



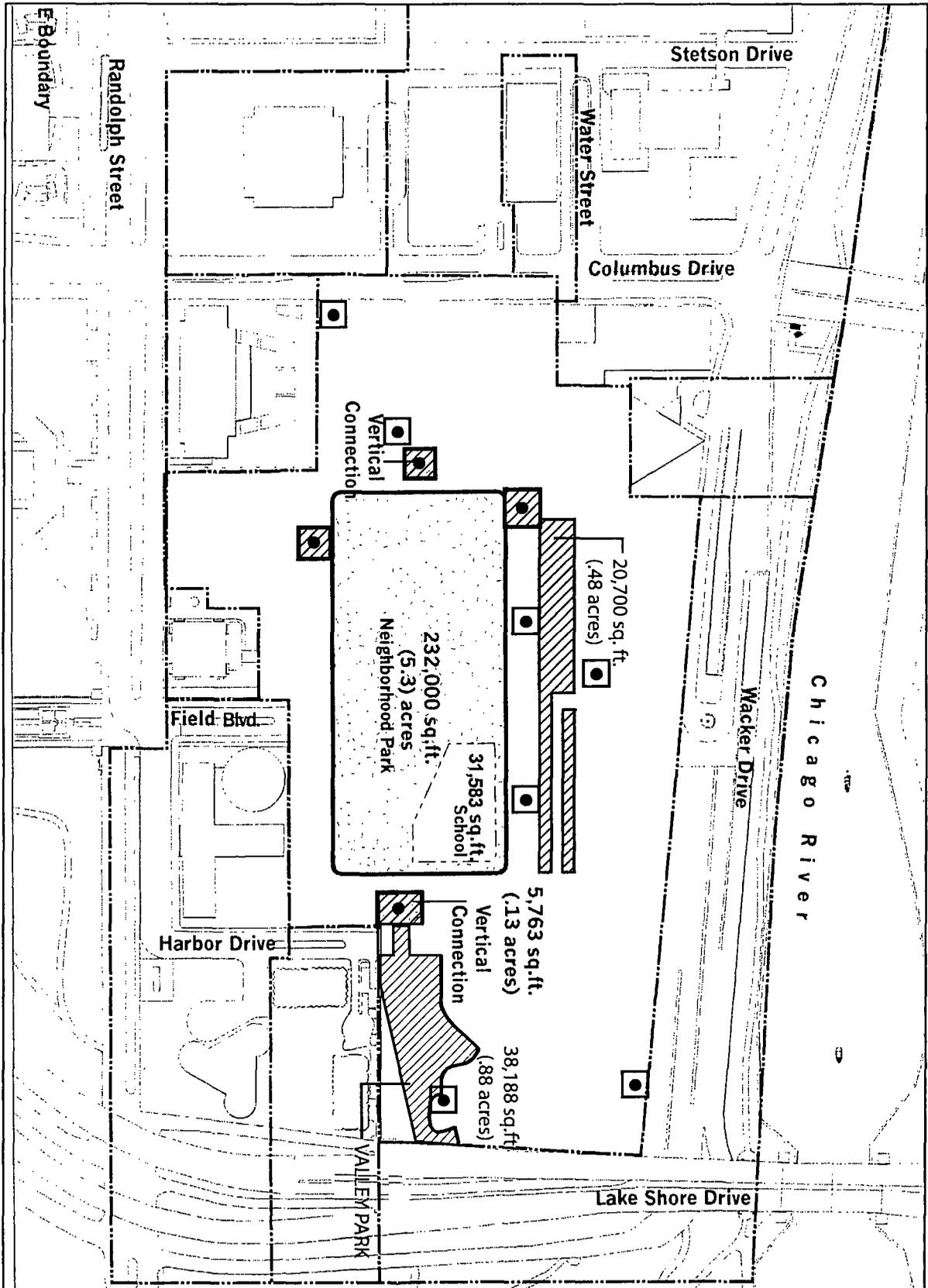
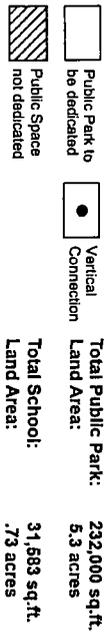
Existing Dedicated R.O.W. to Remain

Note: Refer to Street Criteria Drawings for new R.O.W. dimensions & criteria

NOTE: These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.



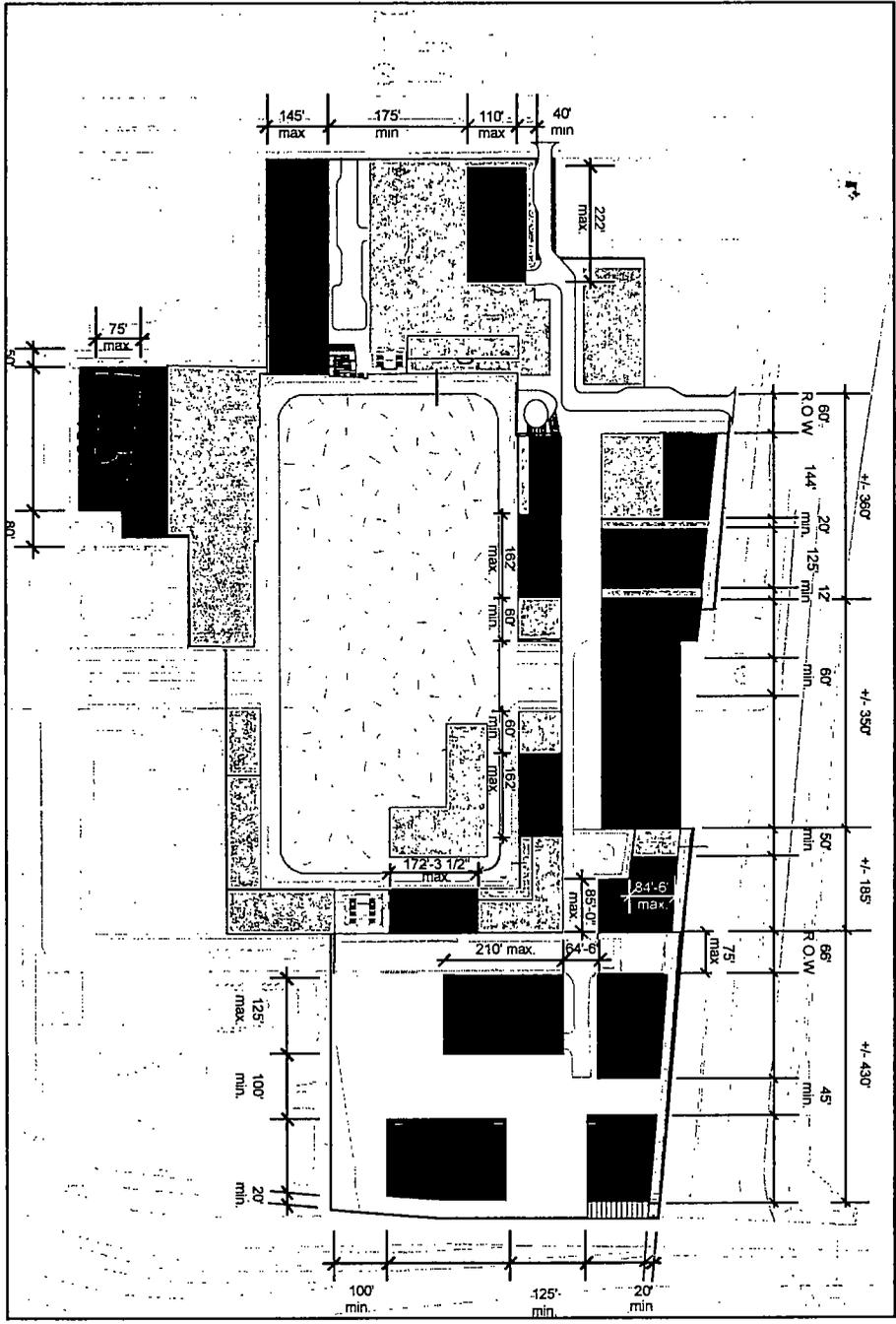
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NOTE: These plans are diagrammatic in nature and they indicate the approximate location and intent of the school location, the spaces to be dedicated as public parks and the vertical connectors, but not the precise locations.
The amount of space dedicated as public park is subject to acceptance by the Chicago Park District.

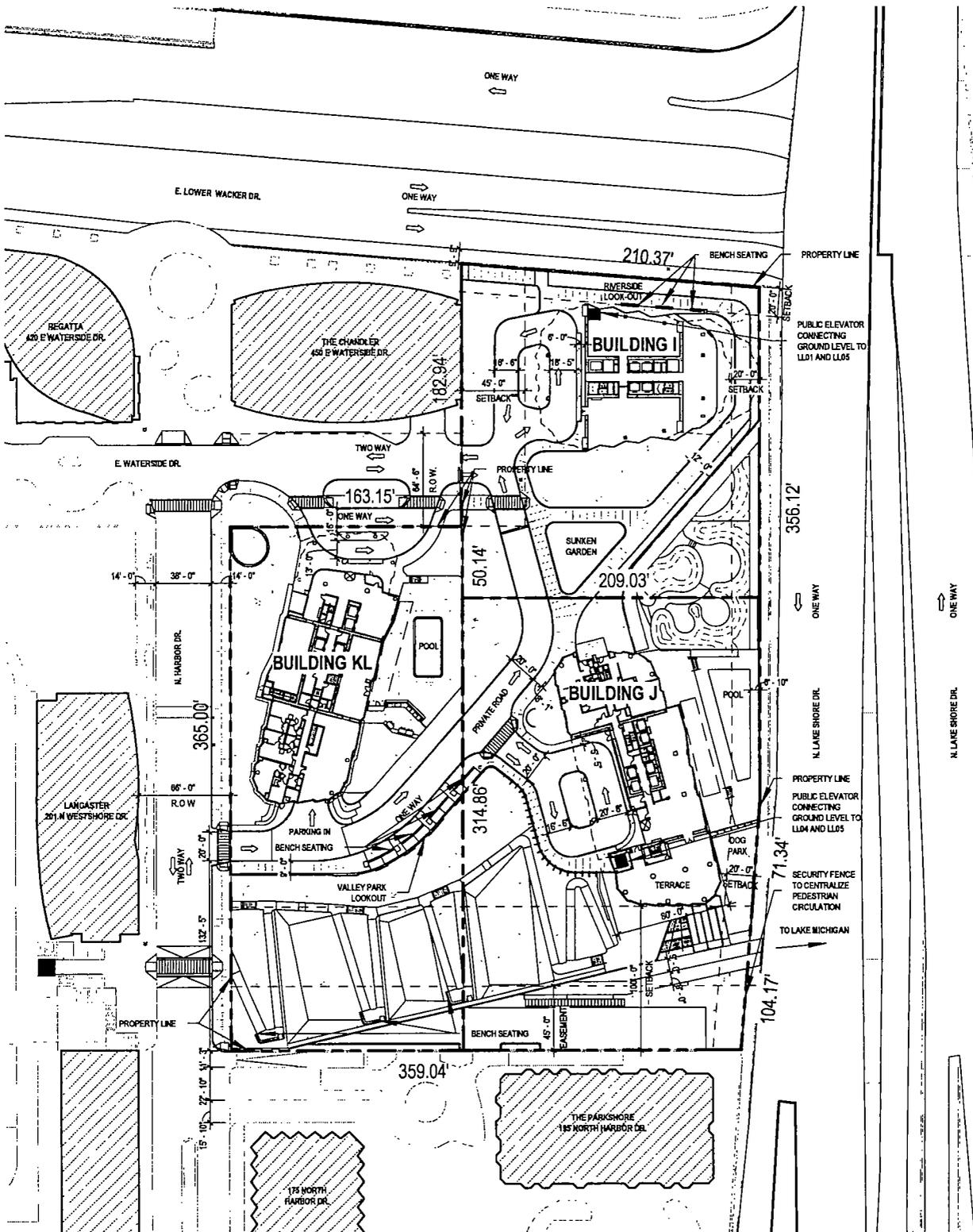


Maximum Building Envelope
Site Plan



Highrise Buildings -
 Maximum Building Envelopes
 Midrise and lowrise Buildings -
 Maximum Building Envelopes
 Highrise Buildings -
 Maximum Building Envelopes above 20'
 NOTE: All overall dimensions should be verified with land survey
 NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations.

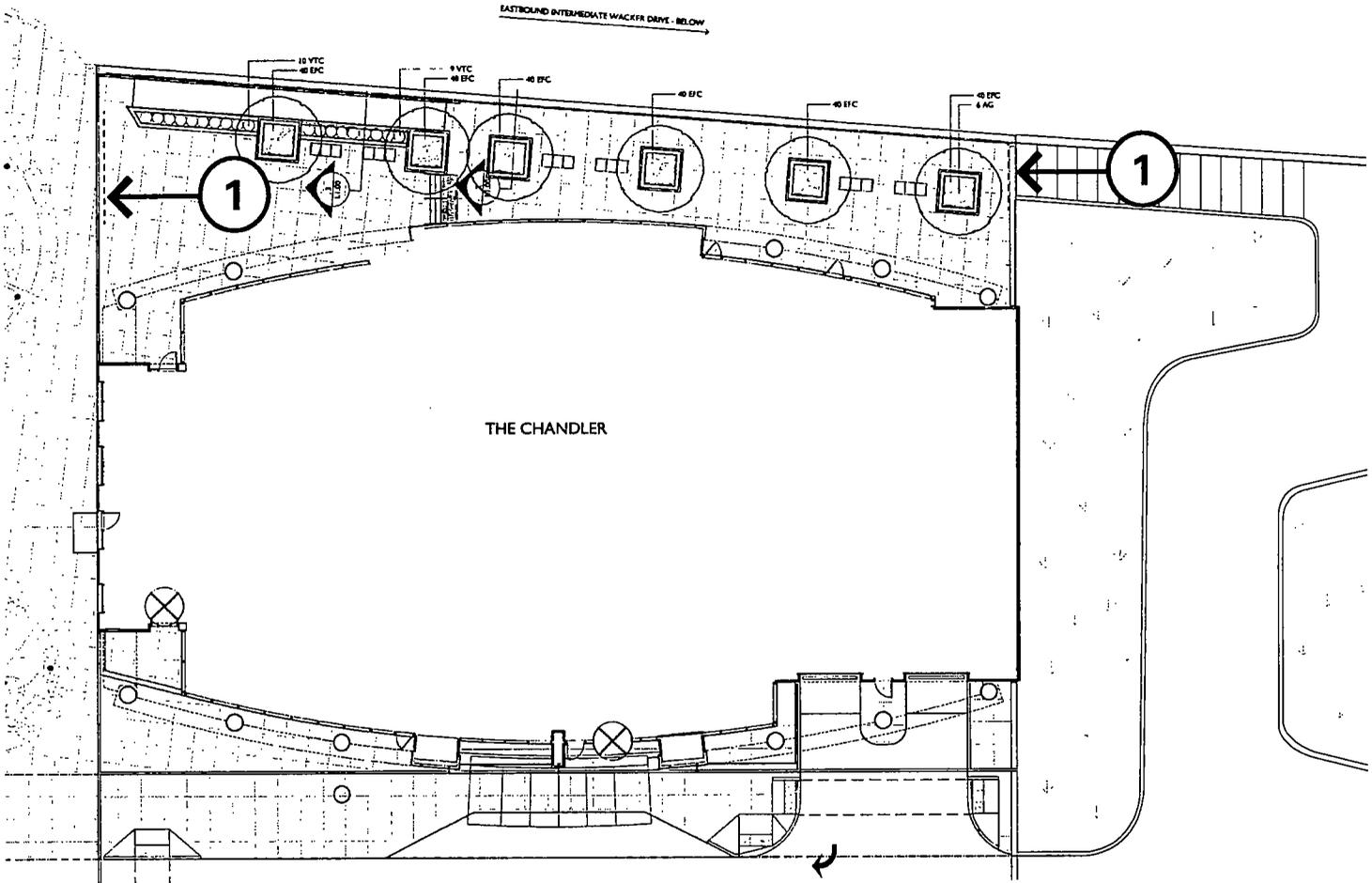




SITE PLAN
 SCALE: 1" = 100'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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 Introduced • September 13, 2018
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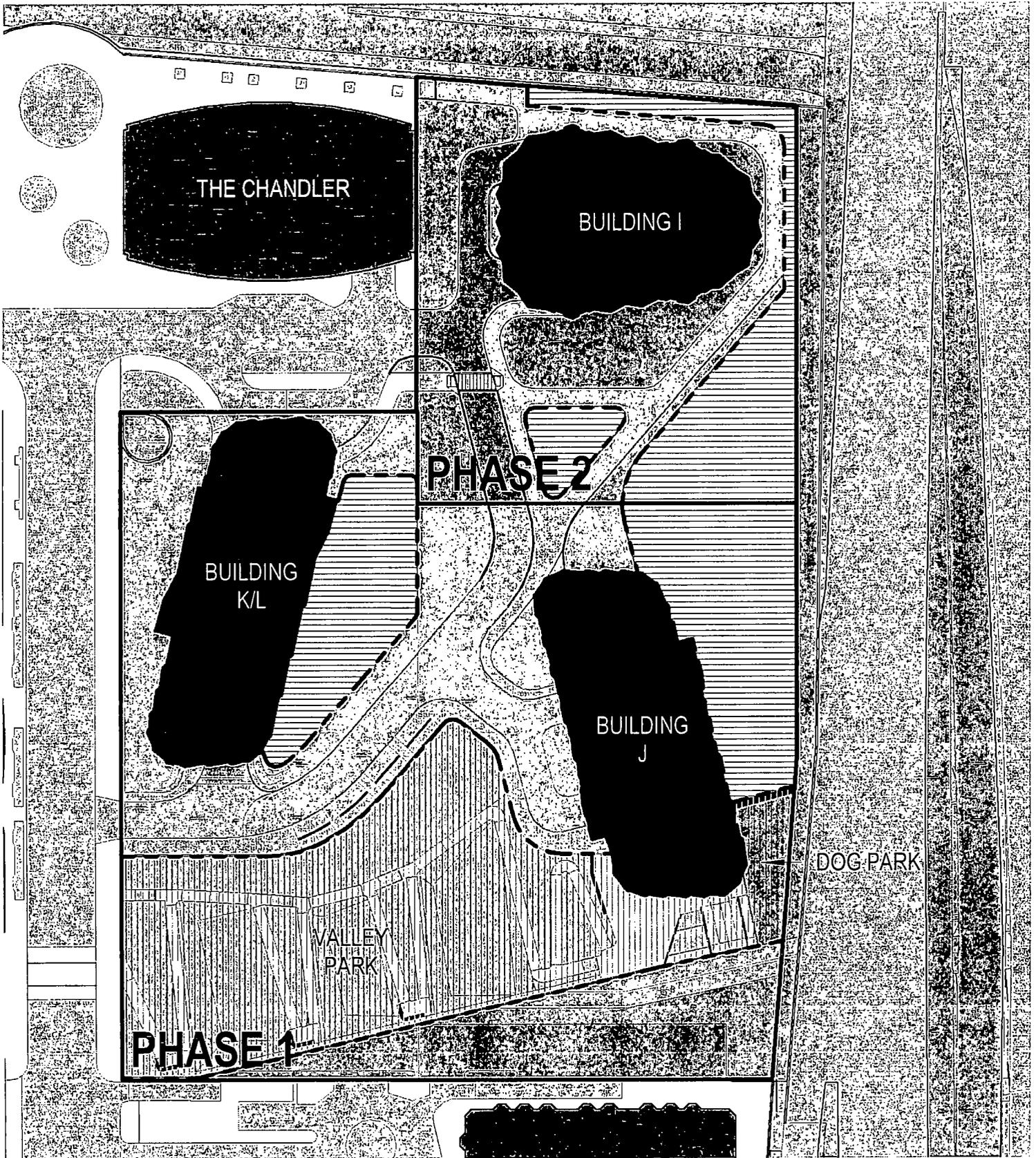


1 PROPOSED LOCATION FOR FENCE AND GATE AT THE CHANDLER, TO BE OPEN DURING PARK DISTRICT HOURS

ENLARGED SITE PLAN - CHANDLER GATES
SCALE: NTS

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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Introduced • September 13, 2018
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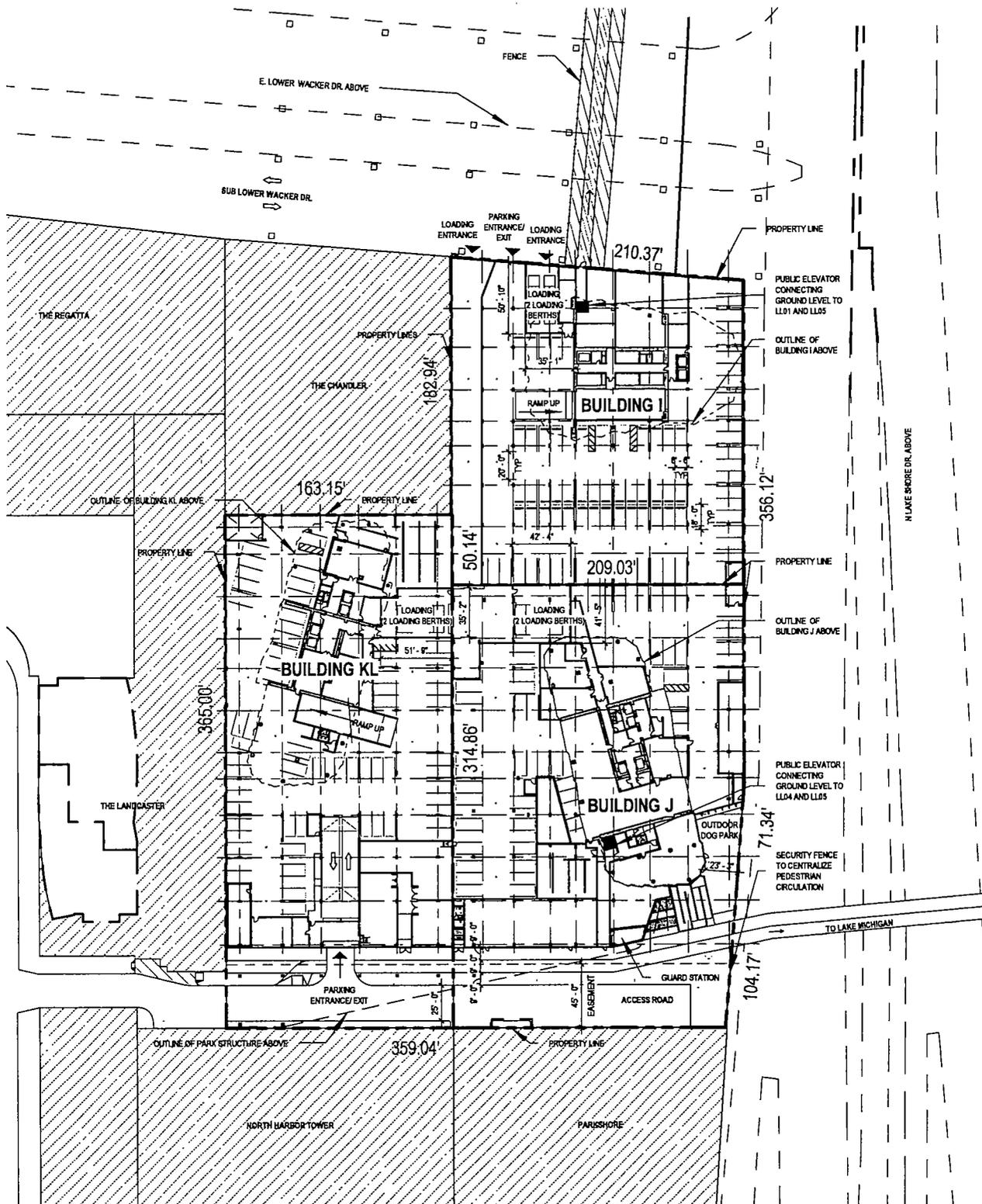




SITE PLAN - PHASING
SCALE: NTS

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
Applicant • IJKL, LLC and Lakeshore East LLC
Introduced • September 13, 2018
Plan Commission • October 18, 2018

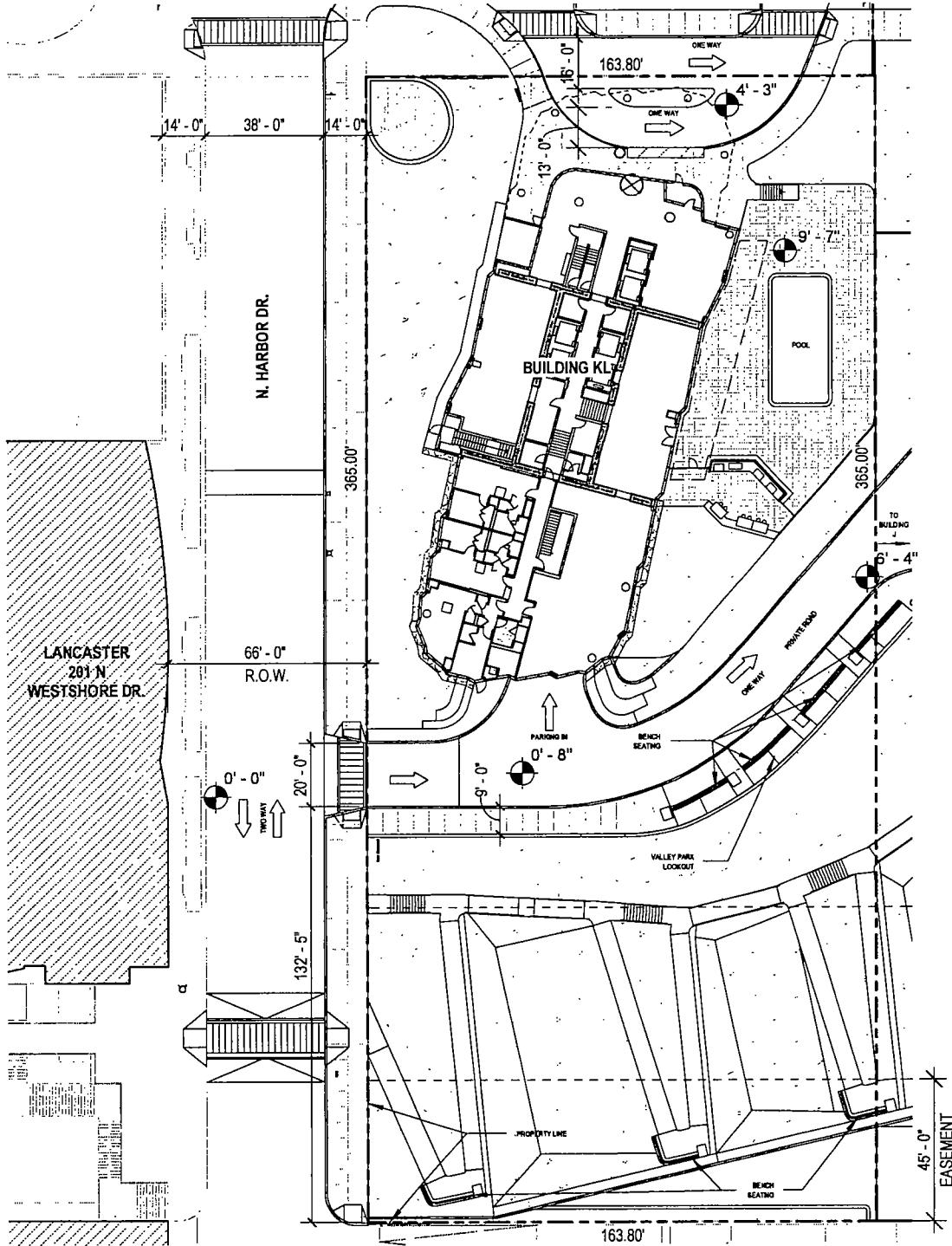




LOWER LEVEL 05
 SCALE: 1" = 100'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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 Introduced • September 13, 2018
 Plan Commission • October 18, 2018

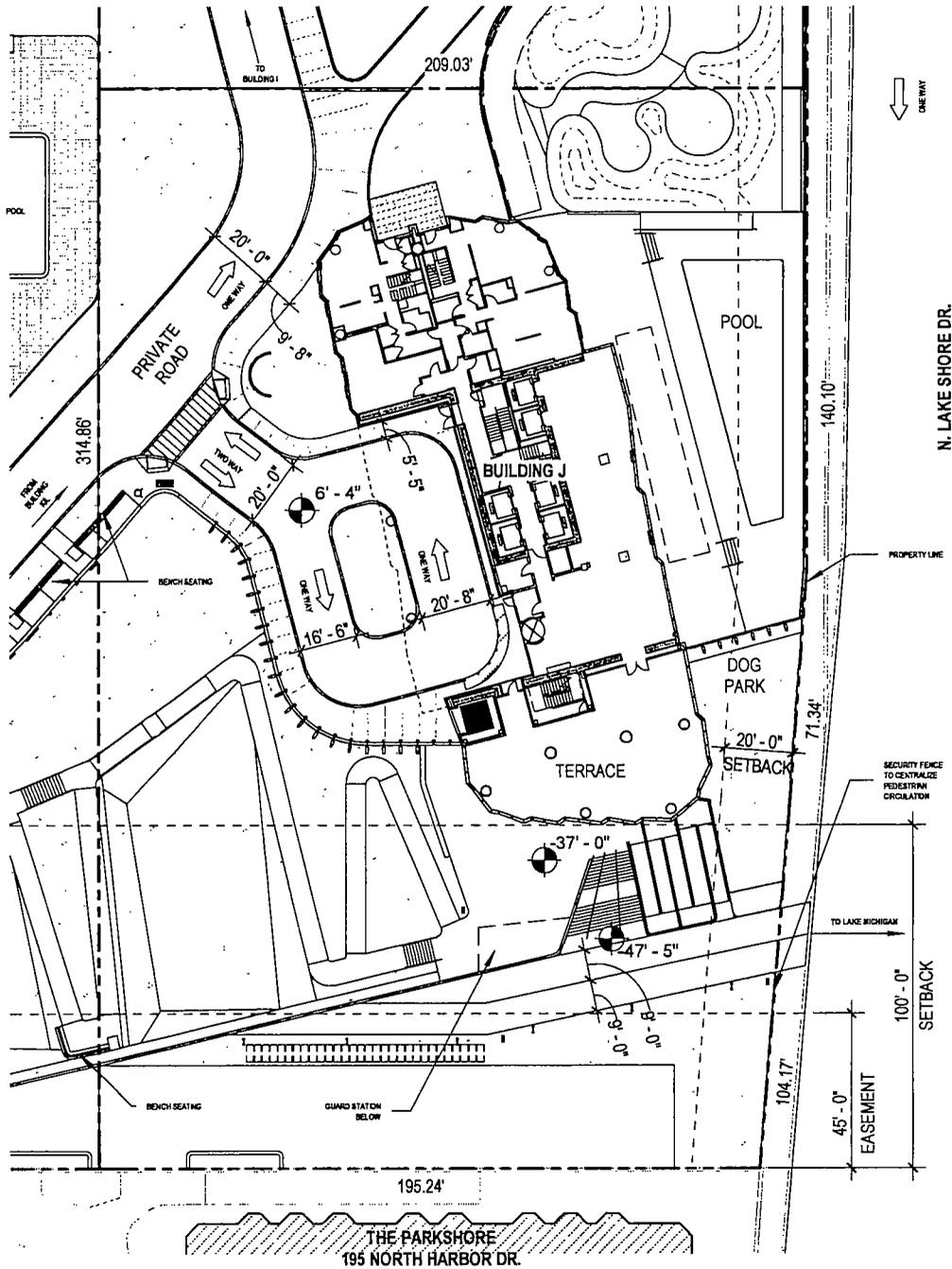




BUILDING K/L SITE PLAN
 SCALE: 1" = 50'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
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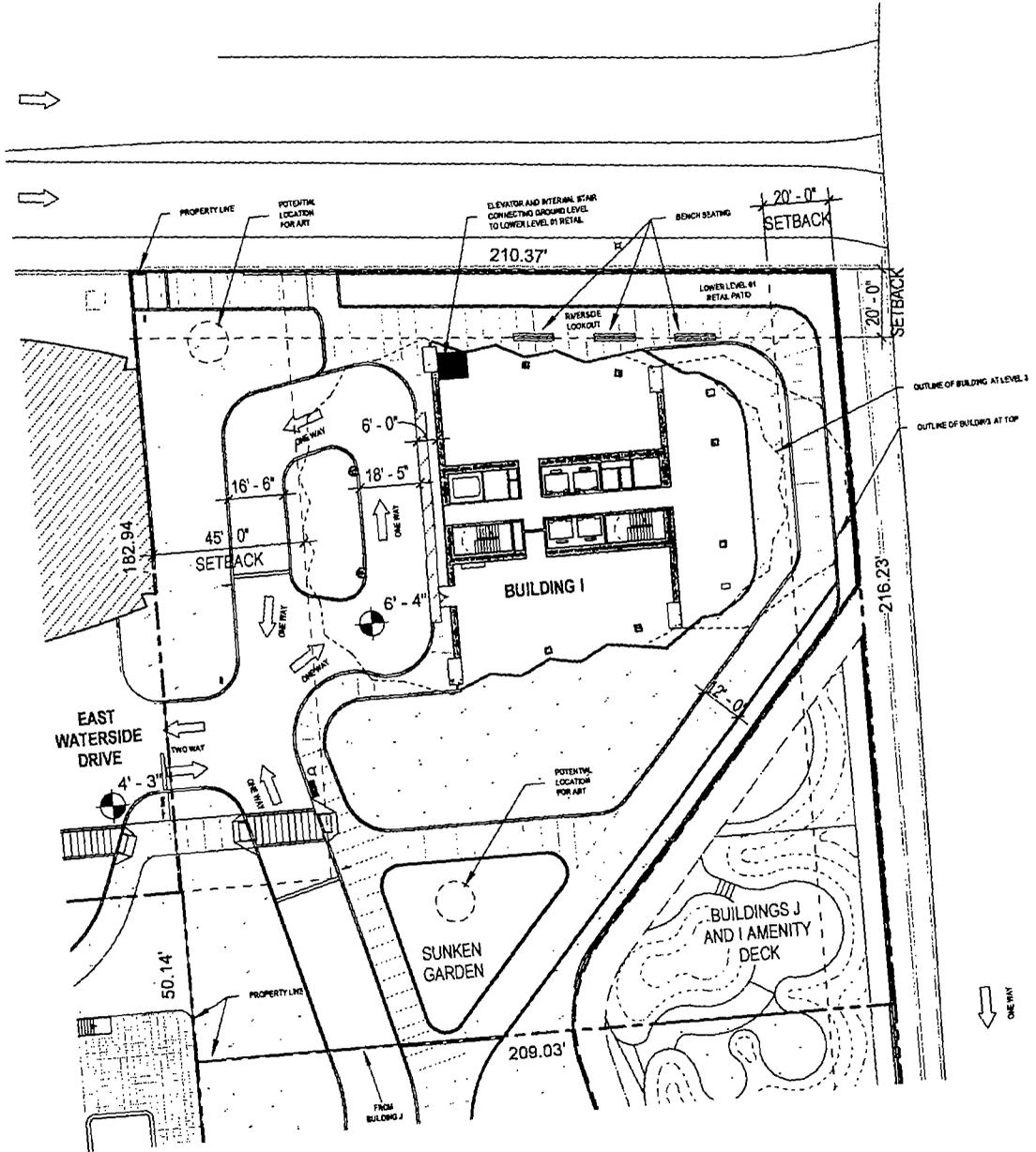




BUILDING J SITE PLAN
 SCALE: 1" = 50'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
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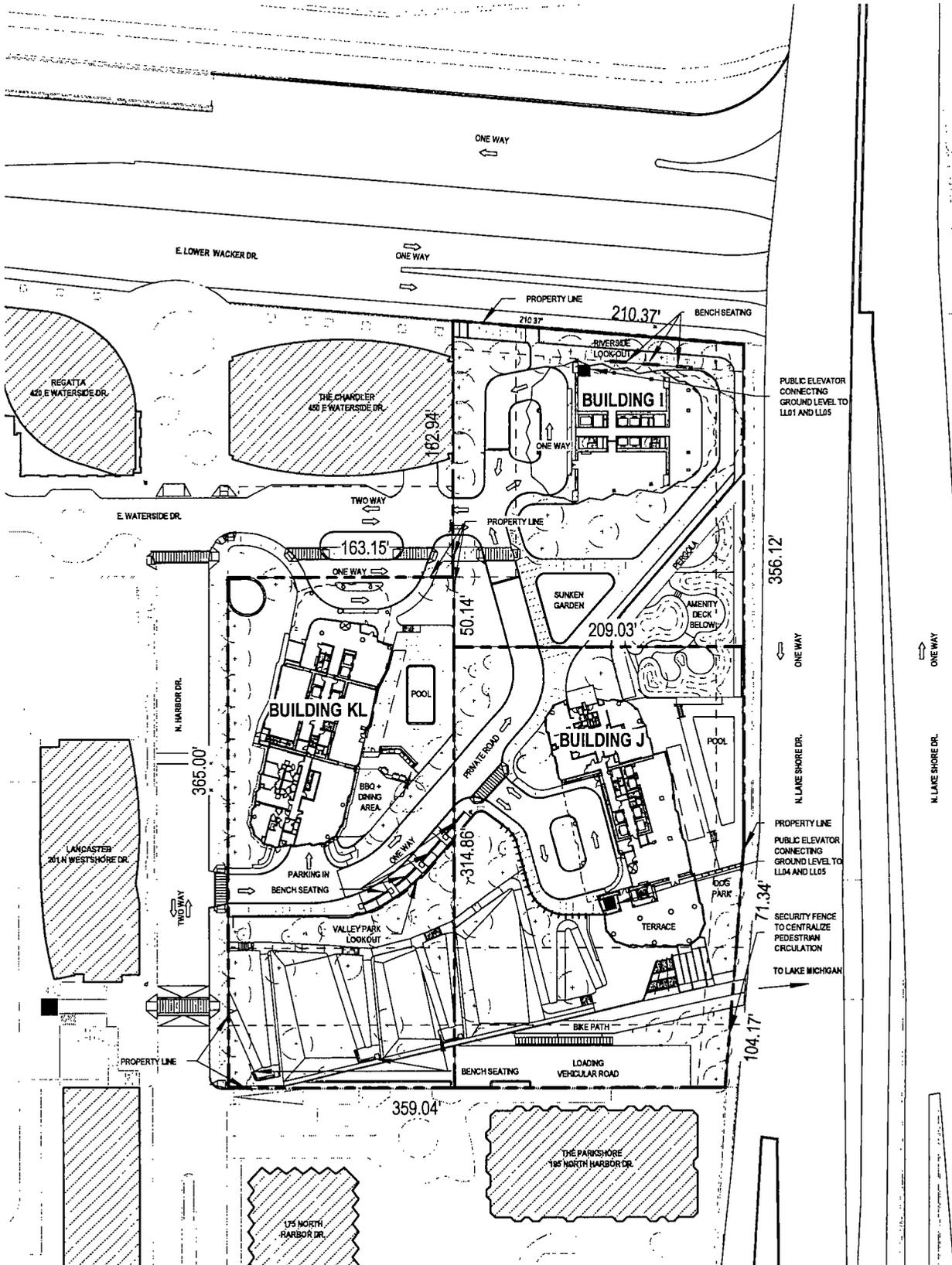




BUILDING I SITE PLAN
 SCALE: 1" = 50'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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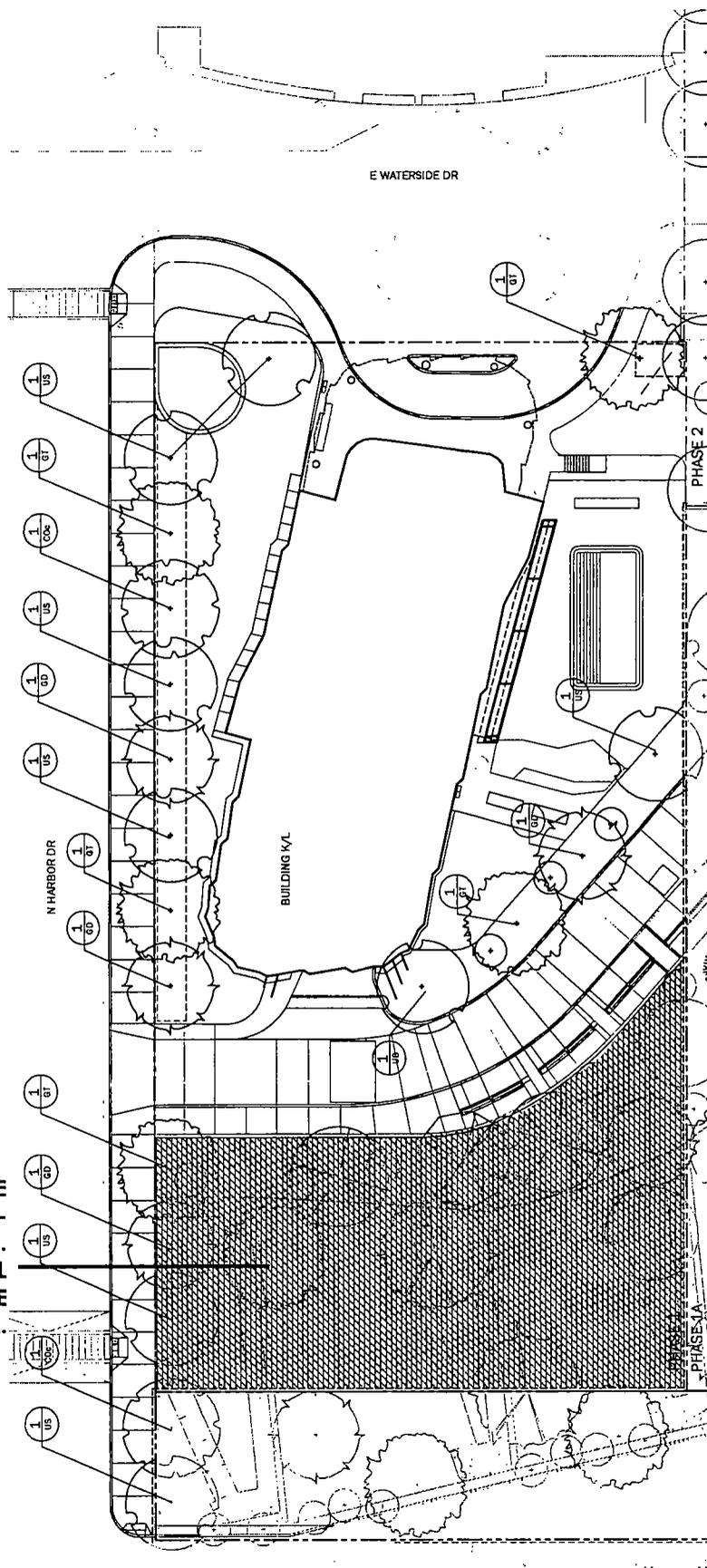




LANDSCAPE PLAN
 SCALE: 1" = 100'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
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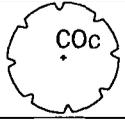
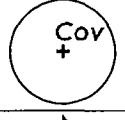
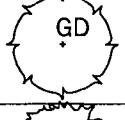
ALL BELOW GRADE
CONSTRUCTION IN-
CLUDED IN PHASE 1.
ALL GROUND LEVEL
LANDSCAPING TO BE
INCLUDED IN PHASE 1A.

BUILDING K/L TREE PLAN
SCALE: 1" = 50'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
Applicant • LJKL, LLC and Lakeshore East LLC
Introduced • September 13, 2018
Plan Commission • October 18, 2018



Planting Schedule

| SYMBOL | Trees Canopy | | | | | |
|---|--------------|--|------------------------|---------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | **DBH Ø | SPACING | *N/NC/NN |
|  | 1 | <i>Celtis occidentalis</i> 'Prairie Pride' | HACKBERRY | 3" | SEE DWG | NC |
|  | 1 | <i>Carya ovata</i> | SHAGBARK HICKORY | 3" | SEE DWG | N |
|  | 4 | <i>Gymnocladus dioica</i> 'Espresso' or 'Prairie Titan' | KENTUCKY COFFEETREE | 3" | SEE DWG | NC |
|  | 4 | <i>Gleditsia triacanthos</i> 'Shade Master' or 'Moraine' | HONEY LOCUST | 3" | SEE DWG | NC |
|  | 6 | <i>Ulmus</i> x spp. 'Acolade' or 'Patriot' | ELM | 3" | SEE DWG | NC |

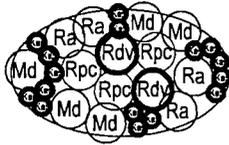
*N = Native Species
 NC = Native Cultivar
 NN = Non-Native Species

**DBH Ø = Diameter
 at Breast Height (4')

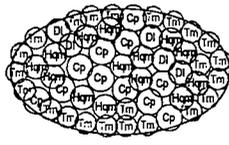
| SYMBOL | Trees Understory | | | | | |
|--|------------------|-----------------------------|----------------|--------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | SIZE | SPACING | *N/NC/NN |
|  | 1 | <i>Cercis canadensis</i> | EASTERN REDBUD | 70" WB | SEE DWG | N |
|  | 1 | <i>Hamamelis virginiana</i> | WITCH HAZEL | 70" WB | SEE DWG | N |
|  | 1 | <i>Sassafras albidum</i> | SASSAFRAS | 70" WB | SEE DWG | N |

BUILDING K/L TREE SCHEDULE

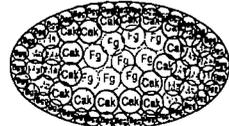
Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • LJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
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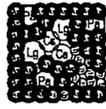
ZONE A (8 x 14 OVAL)



ZONE B (8 x 14 OVAL)



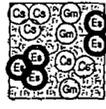
ZONE C (8 x 14 OVAL)



ZONE D (6 x 6 MODULE)



ZONE E (6 x 6 MODULE)



ZONE F (6 x 6 MODULE)

| SYMBOL | Shrub And Groundcover Zones | | |
|--------|-----------------------------|------------|--------------------|
| | ZONE | TOTAL AREA | DESCRIPTION |
| | A | 1,367 SF | SHRUB COVER MODULE |
| | B | 1,182 SF | SHRUB COVER MODULE |
| | C | 1,246 SF | SHRUB COVER MODULE |
| | D | 2,273 SF | GROUNDCOVER MODULE |
| | E | 2,451 SF | GROUNDCOVER MODULE |
| | F | 361 SF | GROUNDCOVER MODULE |
| | G | 1,765 SF | SOD |

| SYMBOL | Climbing Plants | | | | | |
|--------|-----------------|------------------|--------------|------------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | SIZE | SPACING | *N/NC/NN |
| | 15 | Campsis radicans | TRUMPET VINE | #1 (1 GAL) | ±12" | N |

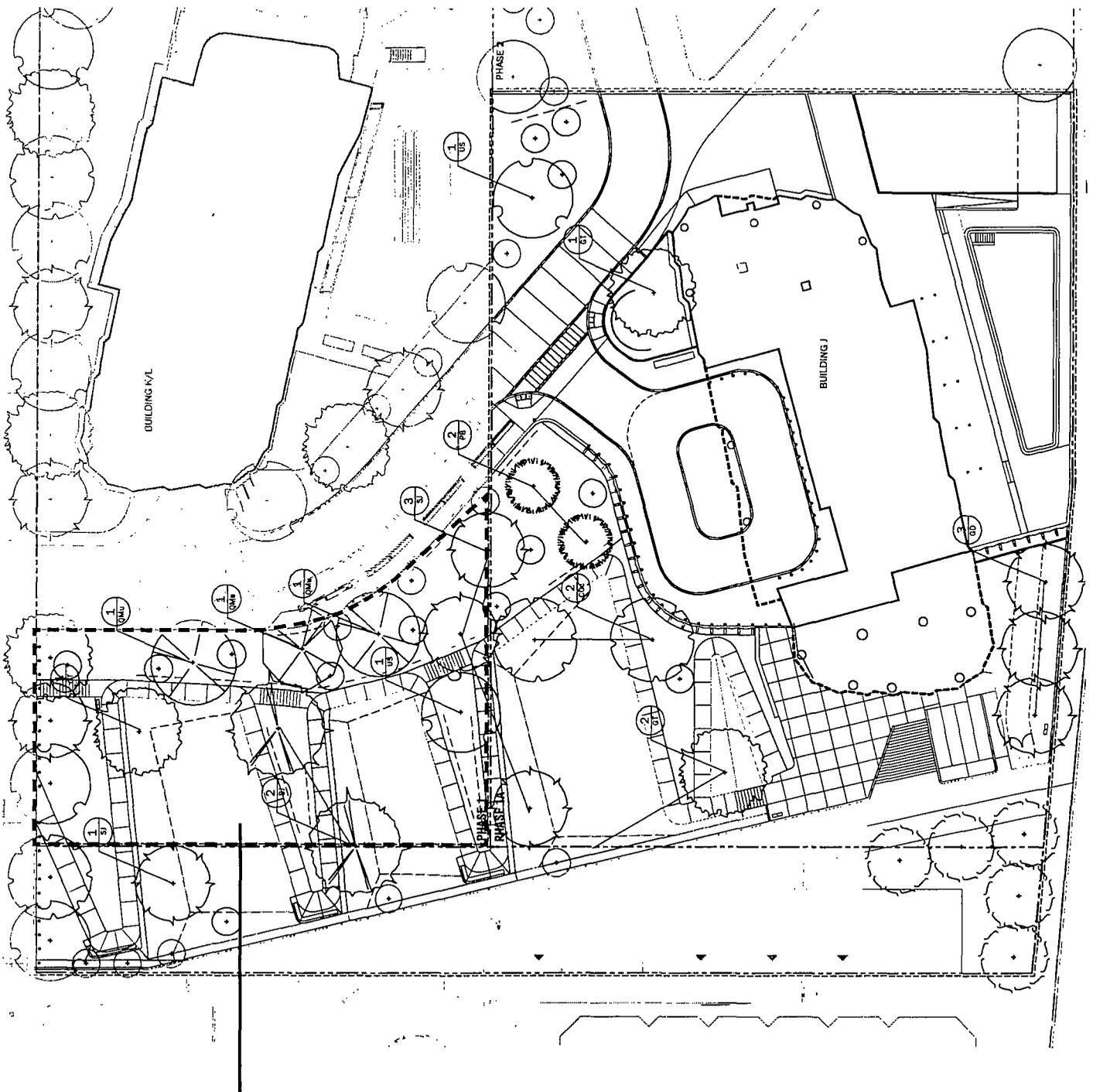
*N = Native Species
 NC = Native Cultivar
 NN = Non-Native Species
 **DBH Ø = Diameter at Breast Height (4")

Planting Schedule

| SYMBOL | Shrubs | | | | | |
|--------|--------|--------------------------------------|----------------------------------|------------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | SIZE | SPACING | *N/NC/NN |
| | 510 | Buxus 'Green Gem' | GREEN GEM BOXWOOD | 12" FP | ±12" | NN |
| | 240 | Cornus alba 'Kelsey' | KELSEY'S DWARF RED OSIER DOGWOOD | #5 (5 GAL) | ±30" | NC |
| | 150 | Comptonia peregrina | SWEET FERN | #5 (5 GAL) | ±30" | N |
| | 65 | Diervilla lonicera | DWARF HONEYSUCKLE | #3 (3 GAL) | ±36" | N |
| | 125 | Fothergilla gardenii | WITCH ALDER | #5 (5 GAL) | ±36" | NC |
| | 190 | Hydrangea quercifolia 'Munchkin' | MUNCHKIN OAKLEAF HYDRANGEA | #5 (5 GAL) | ±42" | NN |
| | 265 | Kalmia angustifolia-rubra | SHEEP LAUREL | #2 (2 GAL) | ±30" | N |
| | 210 | Mahonia aquifolium 'Compacta' | COMPACT OREGON GRAPE | #2 (2 GAL) | ±36" | NC |
| | 75 | Microbiota decussata 'Celtic Pride' | CELTIC PRIDE CYPRESS | #3 (3 GAL) | ±48" | NN |
| | 60 | Rhus aromatica 'Gor Low' | GRO-LOW FRAGRANT SUMAC | #2 (2 GAL) | ±48" | N |
| | 31 | Rhododendron 'Delaware Valley White' | EVERGREEN AZALEA | 24" FP | ±42" | NN |
| | 46 | Rhododendron 'Poukhanense Compacta' | KOREAN AZALEA | 24" FP | ±48" | NN |
| | 310 | Taxus media 'Everlow' | EVERLOW YEW | 24" FP | ±48" | NN |

| SYMBOL | Groundcover Herbaceous | | | | | |
|--------|------------------------|-----------------------------|------------------------|------------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | SIZE | SPACING | *N/NC/NN |
| | 2,275 | Acorum canadense | WILD GINGER | 6" FP | ±12" | N |
| | 760 | Erodium x rubrum | RED BARRENROOT | #1 (1 GAL) | ±12" | NN |
| | 235 | Geranium maculatum | WILD GERANIUM | #2 (2 GAL) | ±18" | NN |
| | 125 | Lamium galeobdolon | YELLOW ARCHANGEL | #2 (2 GAL) | ±24" | NN |
| | 190 | Polytelichum acrostichoides | CHRISTMAS FERN | #2 (2 GAL) | ±18" | N |
| | 340 | Polygonatum reptans | JACOBS LADDER | 6" FP | ±12" | N |
| | 1,430 | Sedum ternatum | THREE-LEAVED STONECROP | #1 (1 GAL) | 18" | N |

| SYMBOL | Groundcover Grasses/sedges | | | | | |
|--------|----------------------------|------------------------|-------------------------|------------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | SIZE | SPACING | *N/NC/NN |
| | 1,565 | Carex hirtifolia | HAIRY WOOD SEDGE | #1 (1 GAL) | ±12" | N |
| | 1,565 | Carex jamesii | GRASS SEDGE | #1 (1 GAL) | ±12" | N |
| | 815 | Carex roosea | CURLY-STYLED WOOD SEDGE | #1 (1 GAL) | ±12" | N |
| | 50 | Carex sprangleii | LONG-SEALED SEDGE | #1 (1 GAL) | ±18" | N |
| | 50 | Eragrostis spectabilis | PURPLE LOVE GRASS | #1 (1 GAL) | ±18" | N |



**ALL GROUND LEVEL
LANDSCAPING TO BE
INCLUDED IN PHASE 1A.**

BUILDING J TREE PLAN
SCALE: 1" = 50'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
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| SYMBOL | Trees Canopy | | | | | |
|--------|--------------|---|------------------------|---------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | **DBH Ø | SPACING | *N/NC/NN |
| | 3 | <i>Celtis occidentalis</i> 'Prairie Pride' | HACKBERRY | 3" | SEE DWG | NC |
| | 8 | <i>Gymnocladus dioica</i> 'Espresso' or 'Prairie Titan' | KENTUCKY COFFEETREE | 3" | SEE DWG | NC |
| | 7 | <i>Gleditsia triacanthos</i> 'Shade Master' or 'Moreline' | HONEY LOCUST | 3" | SEE DWG | NC |
| | 2 | <i>Pinus banksiana</i> | JACK PINE | 3" | SEE DWG | N |
| | 5 | <i>Populus deltoides</i> 'Soulard' | EASTERN COTTONWOOD | 3" | SEE DWG | NC |
| | 1 | <i>Quercus macrocarpa</i> | BUR OAK | 3" | SEE DWG | N |
| | 2 | <i>Quercus muehlenbergii</i> | CHINKAPIN OAK | 3" | SEE DWG | N |
| | 2 | <i>Styphnolobium japonicum</i> | JAPANESE PAGODATREE | 3" | SEE DWG | NN |
| | 4 | <i>Ulmus x spp</i> 'Acolade' or 'Patriot' | ELM | 3" | SEE DWG | NC |

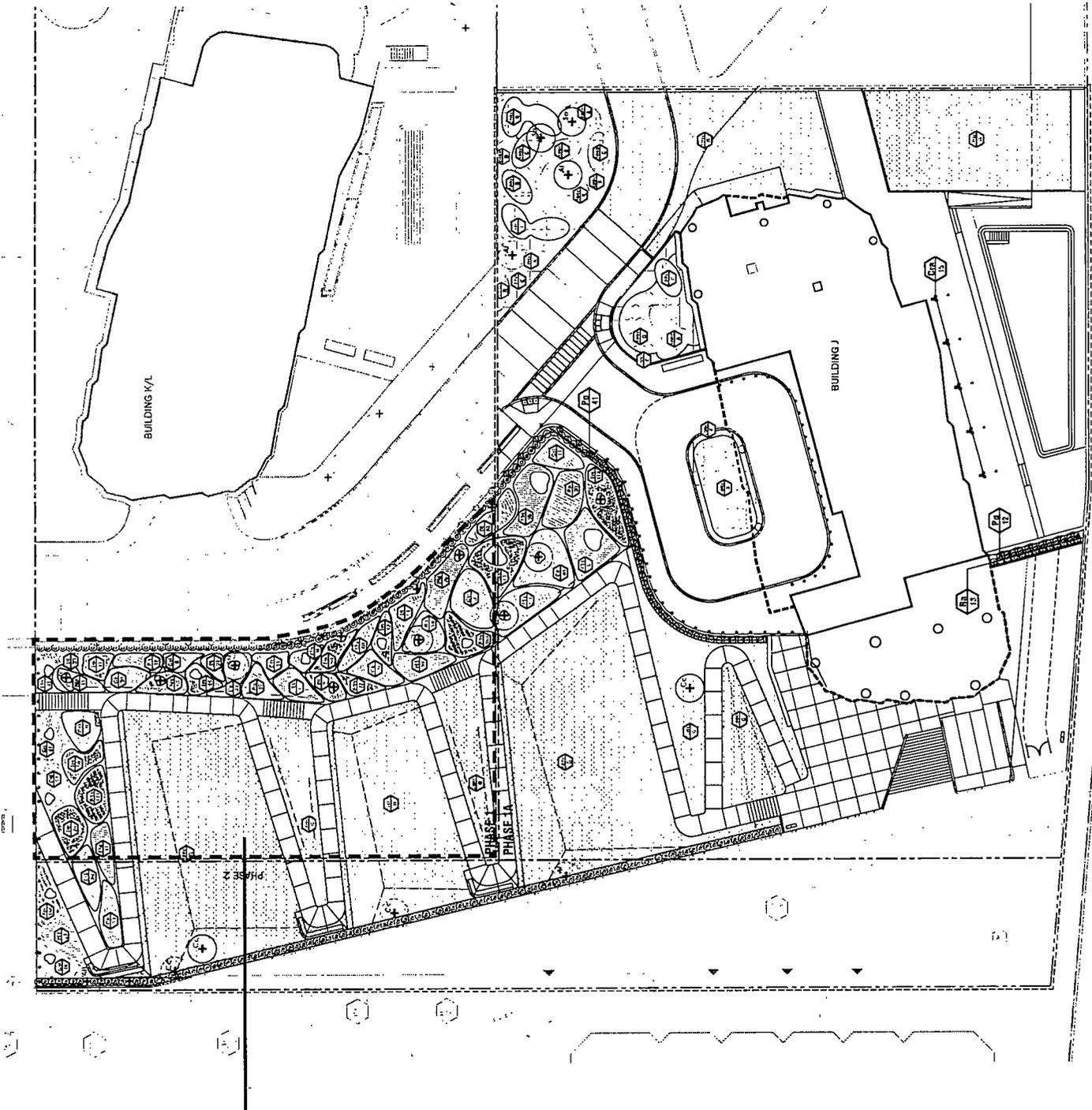
| SYMBOL | Trees Understory | | | | | |
|--------|------------------|--|---------------------------|--------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | SIZE | SPACING | *N/NC/NN |
| | 9 | <i>Amelanchier laevis</i> (multistem) | ALLEGHENY SERVICEBERRY | 70" WB | SEE DWG | N |
| | 2 | <i>Crataegus crus-galli</i> (multistem) | COCKSPUR HAWTHORN | 70" WB | SEE DWG | N |
| | 12 | <i>Cercis canadensis</i> (multistem) | EASTERN REDBUD | 70" WB | SEE DWG | N |
| | 2 | <i>Hamamelis virginiana</i> (multistem) | WITCH HAZEL | 70" WB | SEE DWG | N |
| | 4 | <i>Sassafras albidum</i> (multistem) | SASSAFRAS | 70" WB | SEE DWG | N |

*N = Native Species
 NC = Native Cultivar
 NN = Non-Native Species

**DBH Ø = Diameter
 at Breast Height (4')

BUILDING J TREE SCHEDULE

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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**ALL GROUND LEVEL
LANDSCAPING TO BE
INCLUDED IN PHASE 1A.**

BUILDING J LANDSCAPE PLAN
SCALE: 1" = 50'- 0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
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Planting Schedule

| SYMBOL | Trees Canopy | | | | | |
|--------|--------------|--|------------------------|---------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | **DBH Ø | SPACING | *N/NC/NN |
| | 1 | <i>Celtis occidentalis</i> 'Prairie Pride' | HACKBERRY | 3" | SEE DWG | NC |
| | 1 | <i>Carya ovata</i> | SHAGBARK HICKORY | 3" | SEE DWG | N |
| | 4 | <i>Gymnocladus dioica</i> 'Espresso' or 'Prairie Titan' | KENTUCKY COFFEETREE | 3" | SEE DWG | NC |
| | 4 | <i>Gleditsia triacanthos</i> 'Shade Master' or 'Moraine' | HONEY LOCUST | 3" | SEE DWG | NC |
| | 6 | <i>Ulmus</i> x spp 'Acolade' or 'Patriot' | ELM | 3" | SEE DWG | NC |

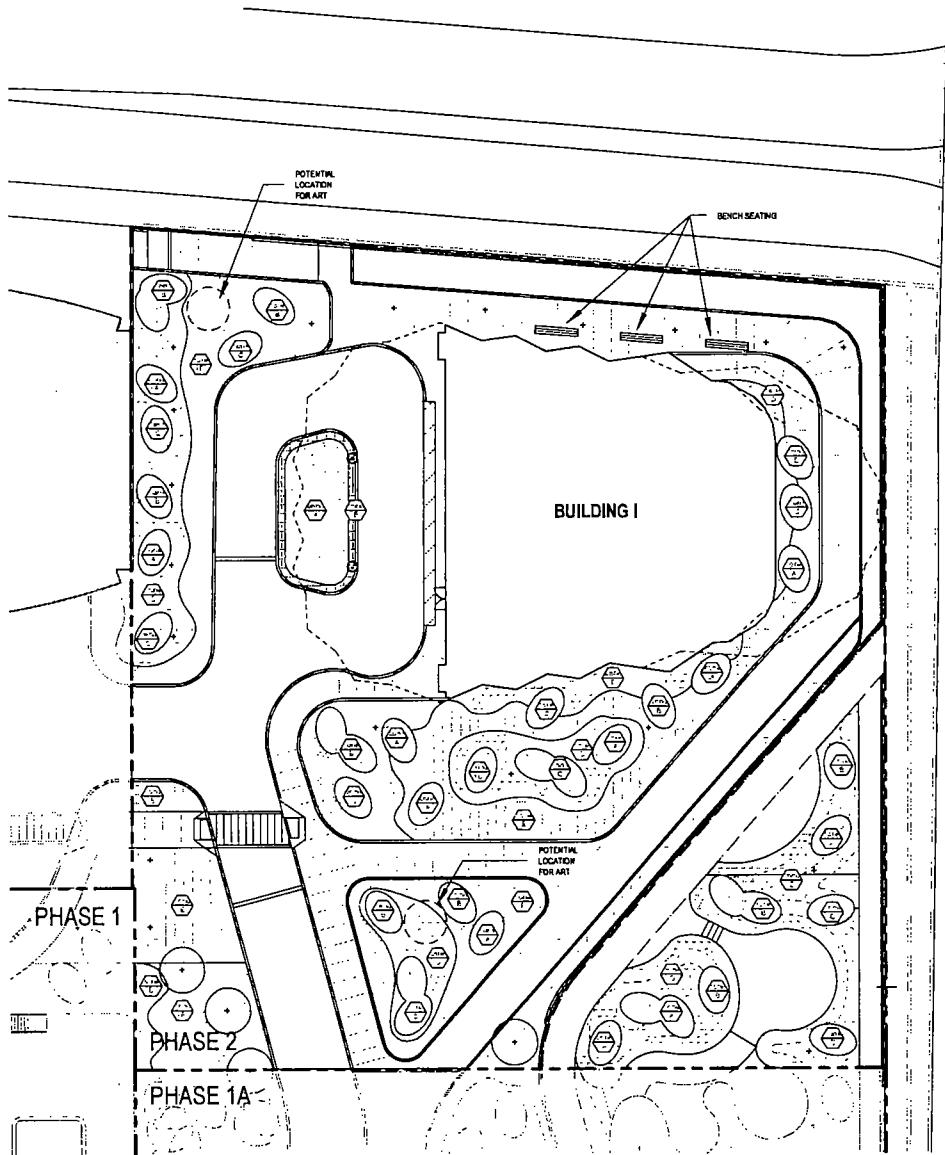
*N = Native Species
 NC = Native Cultivar
 NN = Non-Native Species

**DBH Ø = Diameter
 at Breast Height (4')

| SYMBOL | Trees Understory | | | | | |
|--------|------------------|-----------------------------|----------------|--------|---------|----------|
| | QTY | LATIN NAME | COMMON NAME | SIZE | SPACING | *N/NC/NN |
| | 1 | <i>Cercis canadensis</i> | EASTERN REDBUD | 70" WB | SEE DWG | N |
| | 1 | <i>Hamamelis virginiana</i> | WITCH HAZEL | 70" WB | SEE DWG | N |
| | 1 | <i>Sassafras albidum</i> | SASSAFRAS | 70" WB | SEE DWG | N |

BUILDING I TREE SCHEDULE

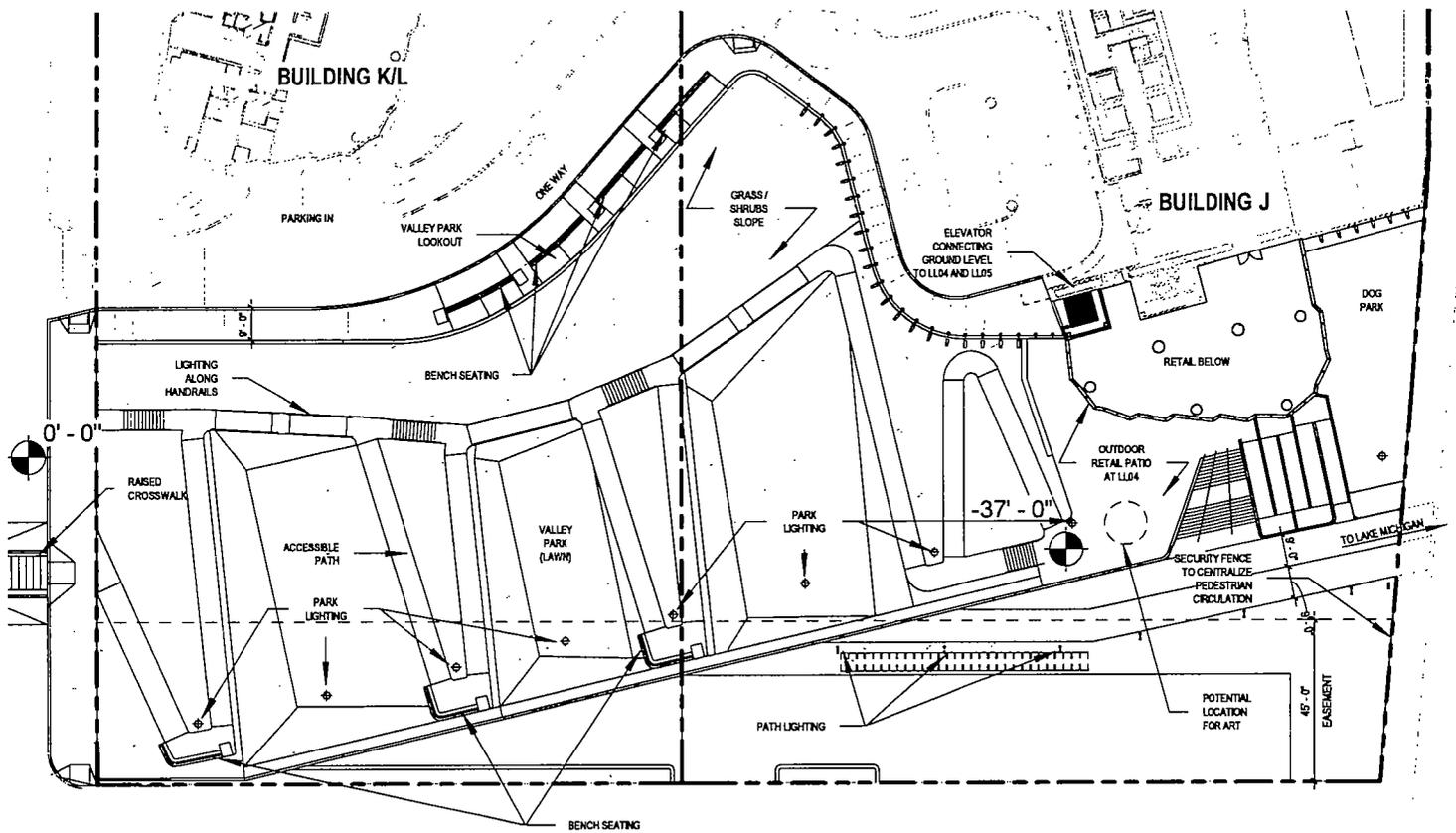
Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
 Plan Commission • October 18, 2018



BUILDING I LANDSCAPE PLAN
 SCALE: 1" = 50'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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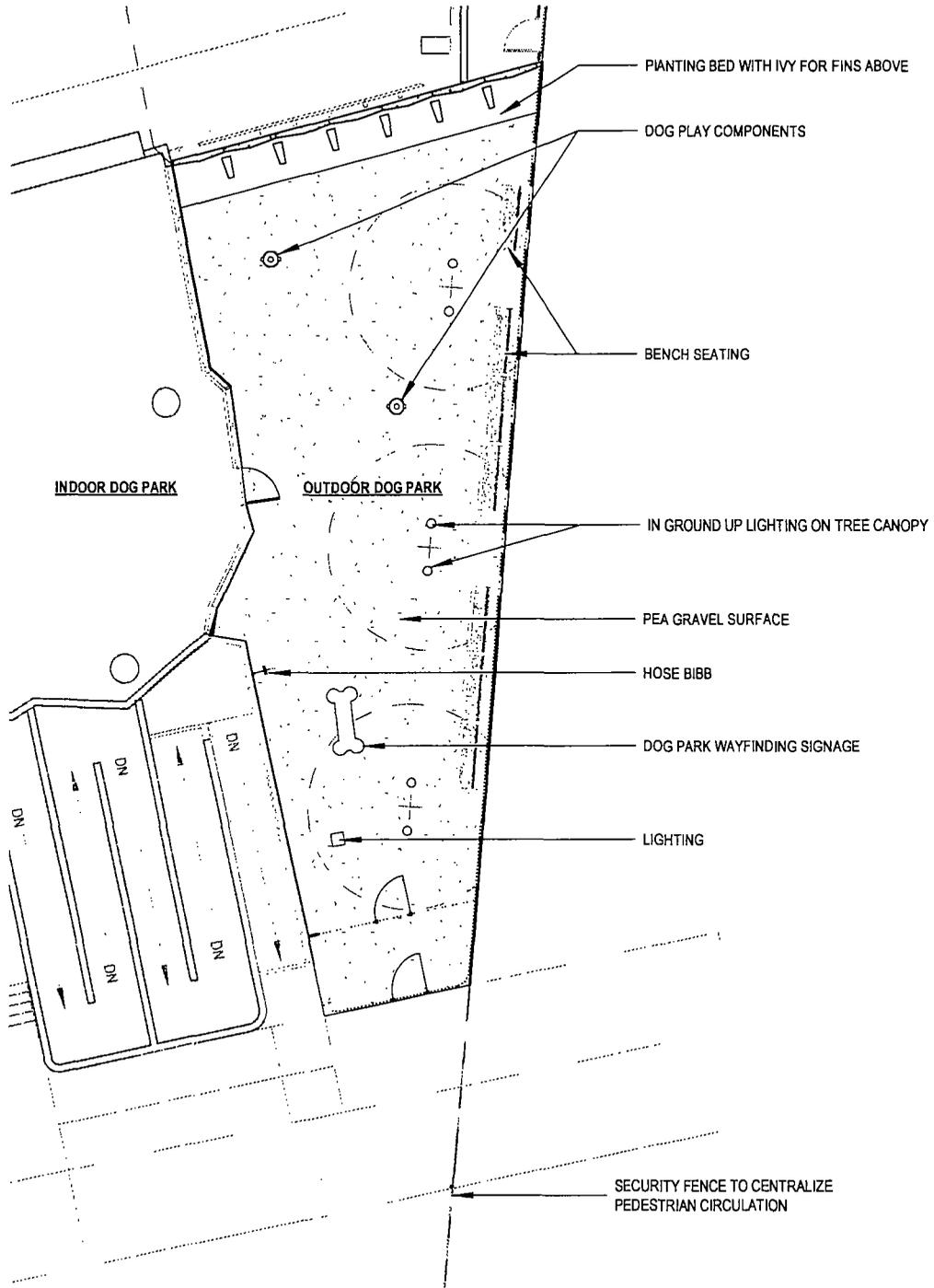




ENLARGED VALLEY PARK PLAN
 SCALE: 1" = 50'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
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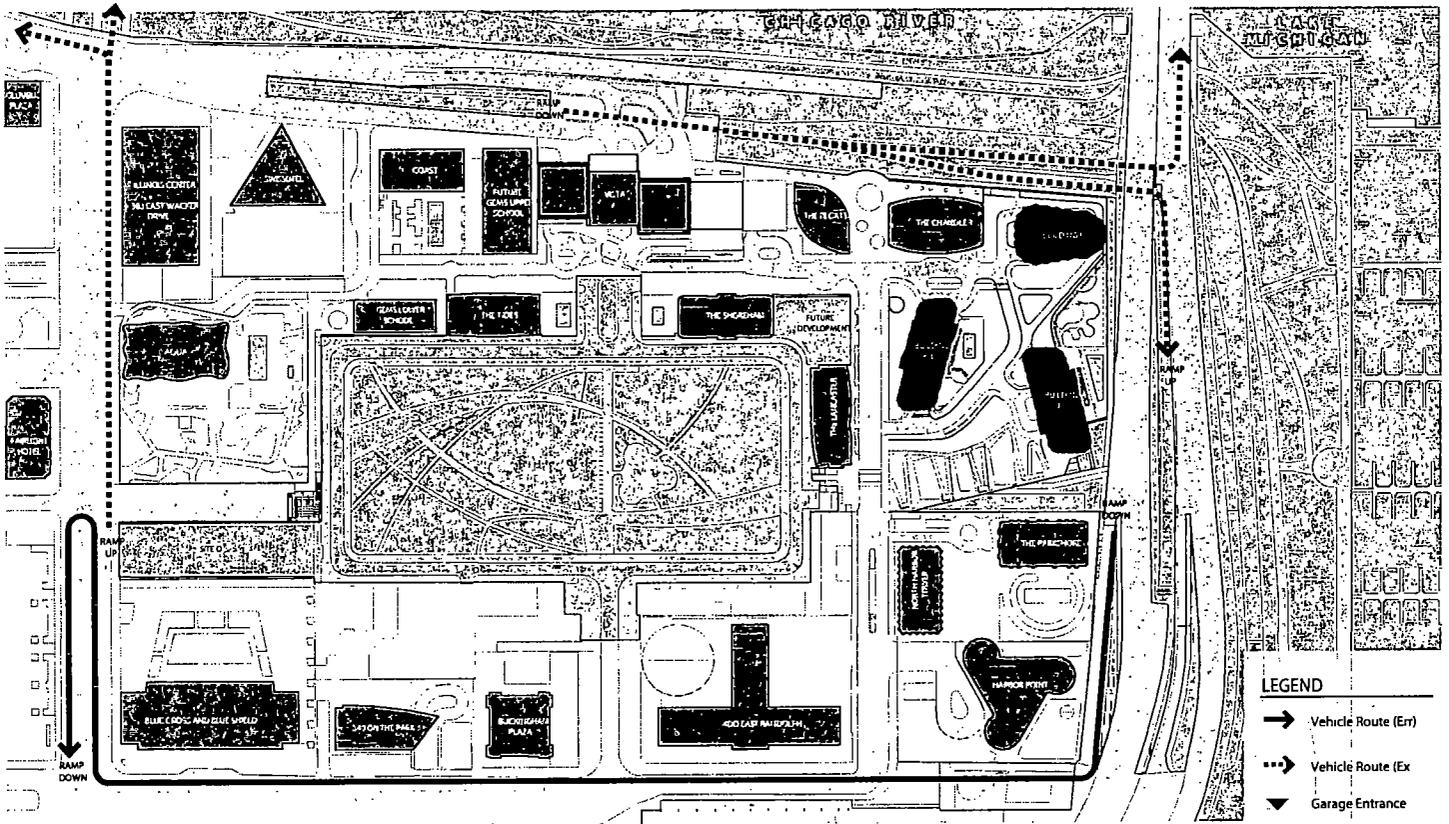




ENLARGED DOG PARK PLAN
 SCALE: 1/16" = 1'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
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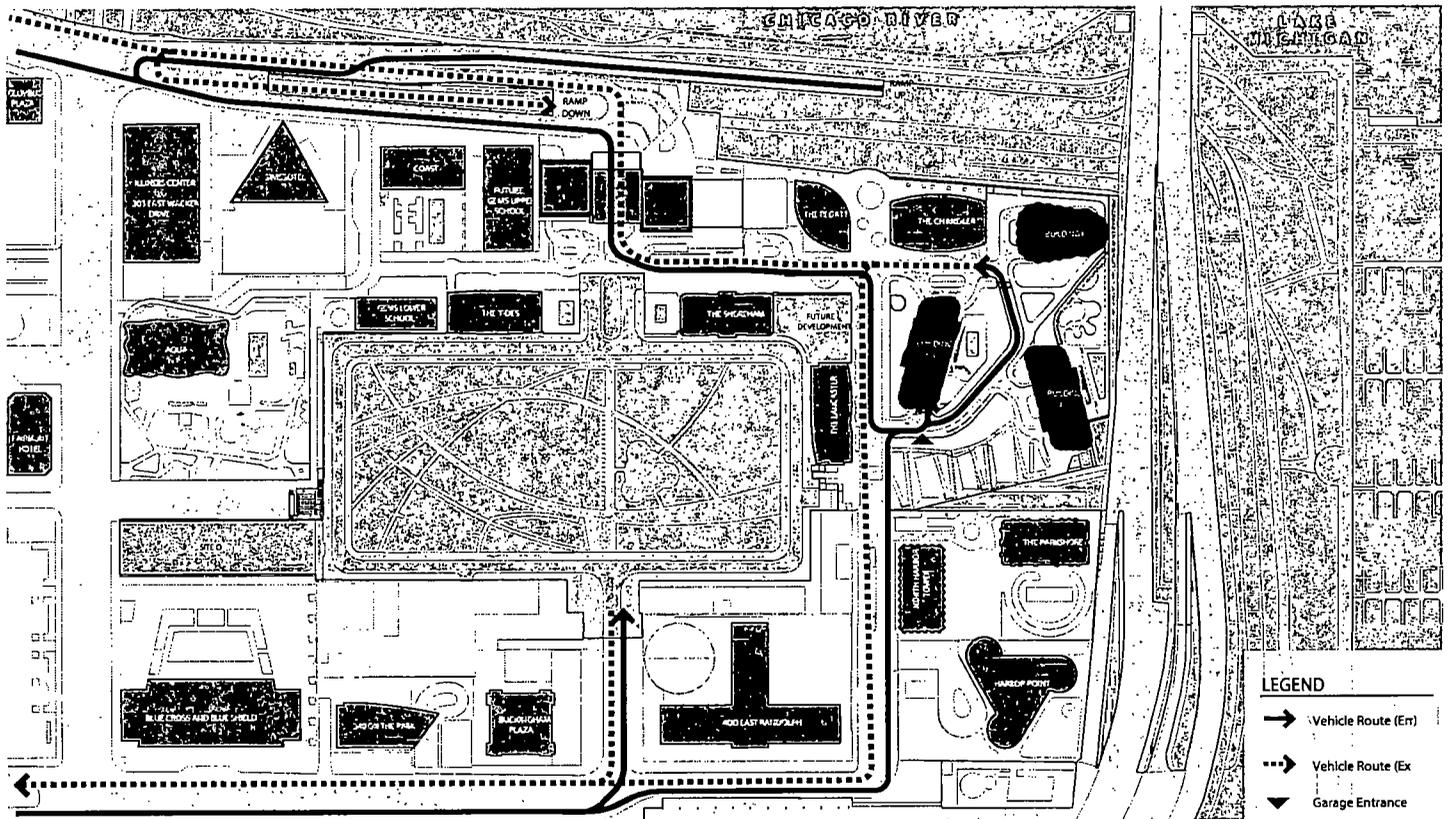




INTERMEDIATE LEVEL VEHICULAR ACCESS PLAN

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
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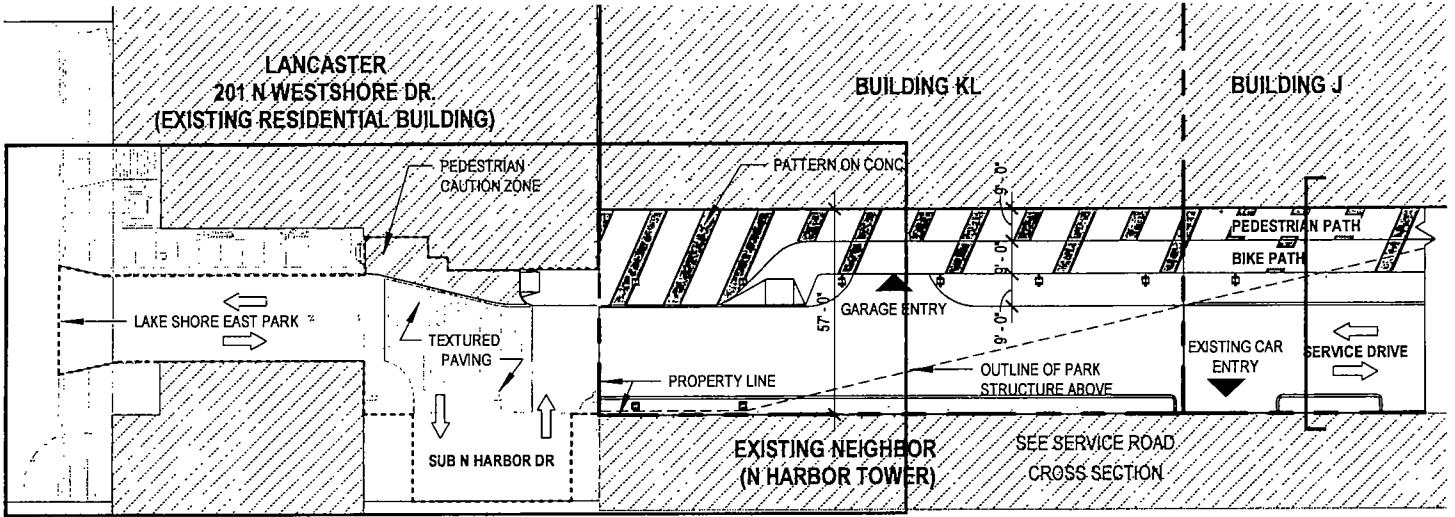




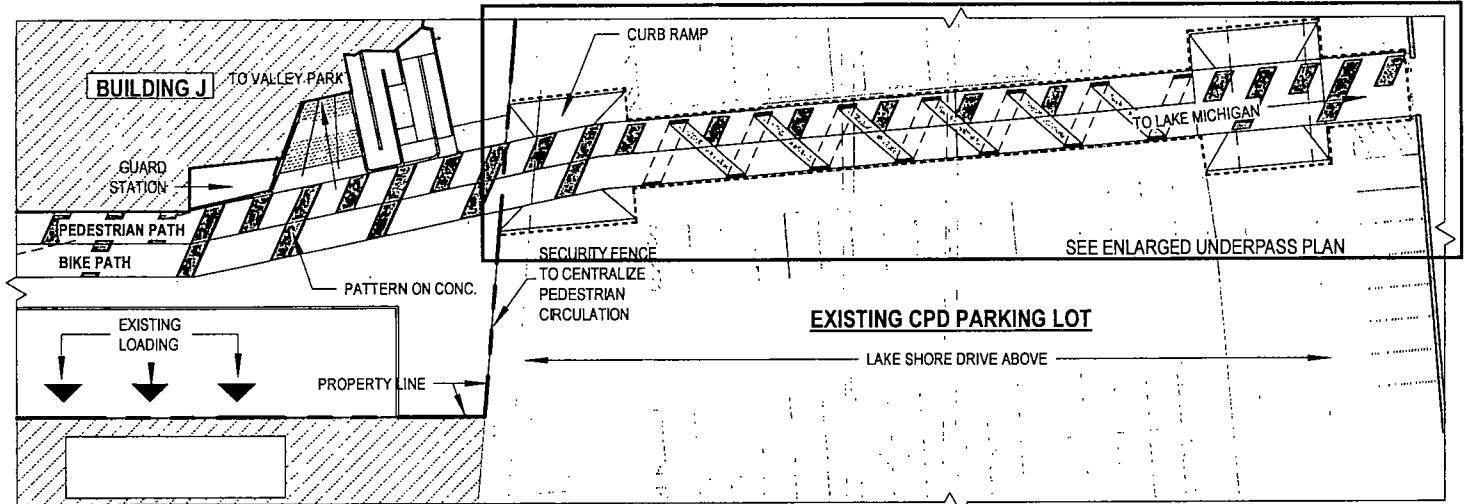
UPPER LEVEL VEHICULAR ACCESS PLAN

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
 Plan Commission • October 18, 2018

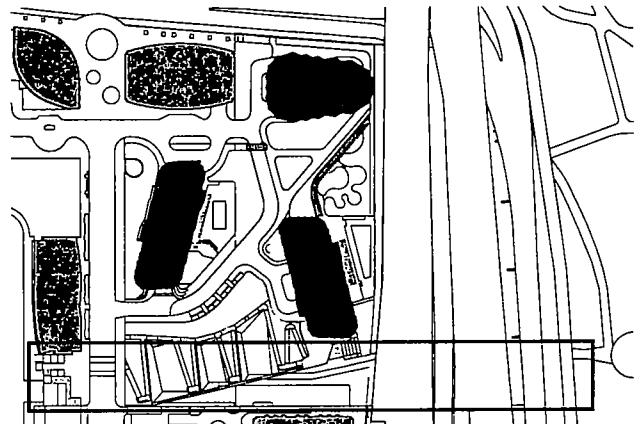




WEST SERVICE ROAD



EAST SERVICE ROAD AND LAKE SHORE DRIVE UNDERPASS



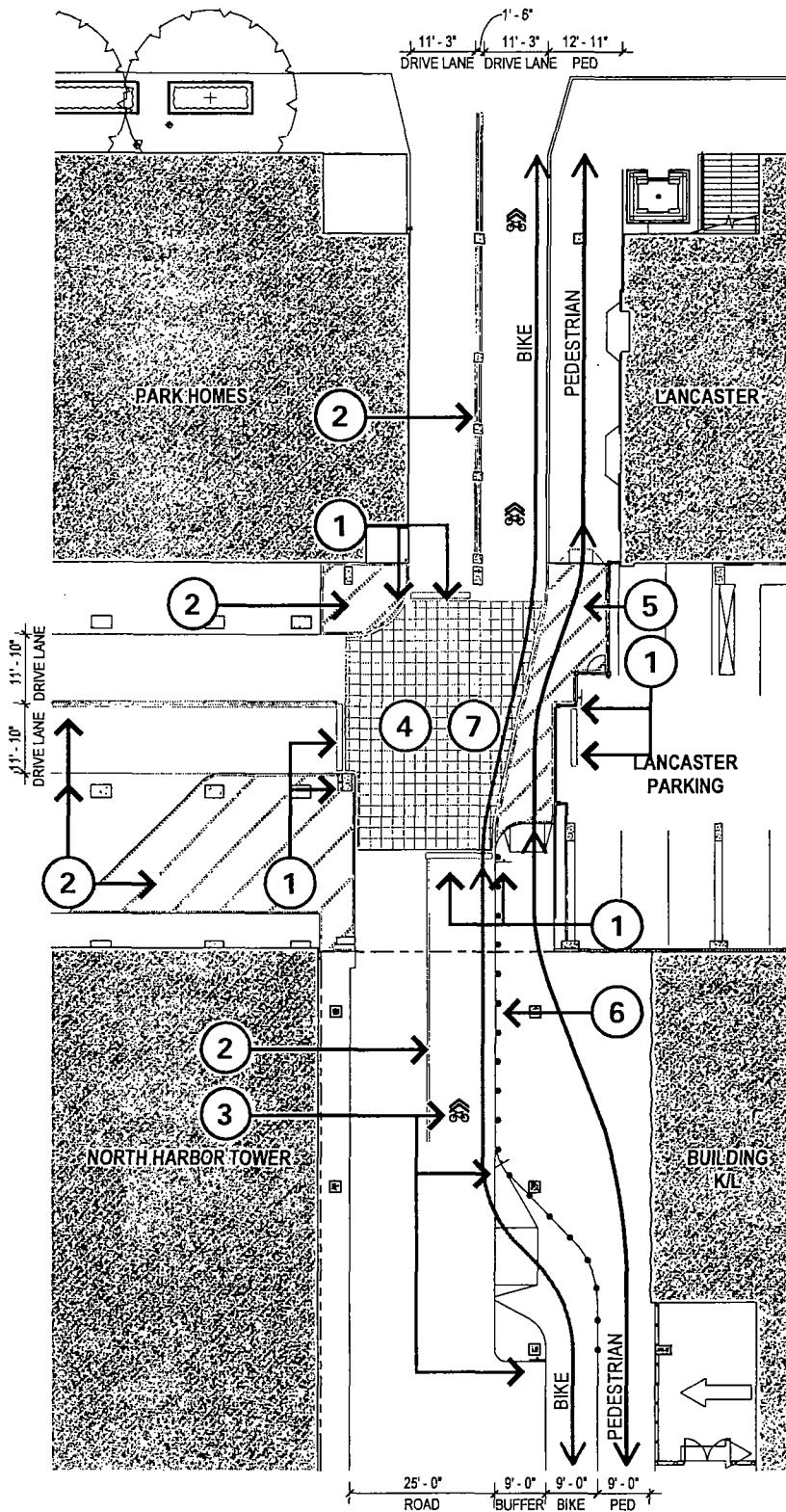
***ANY IMPROVEMENTS UNDER LAKE SHORE DRIVE ARE SUBJECT TO APPROVAL OF THE CHICAGO PARK DISTRICT AND/OR OTHER APPROPRIATE AUTHORITIES**

SERVICE ROAD PLAN

SCALE: 1" = 50'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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 Introduced • September 13, 2018
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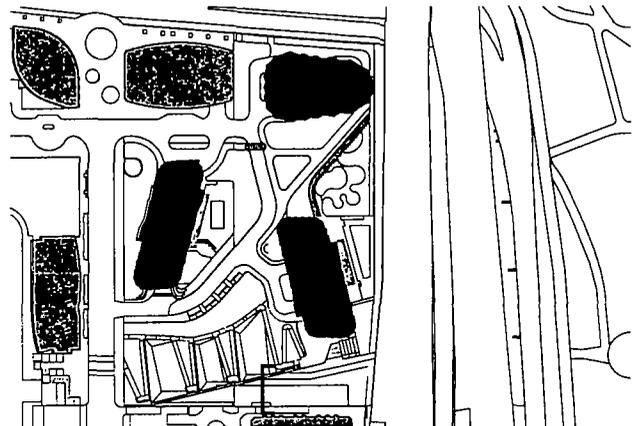
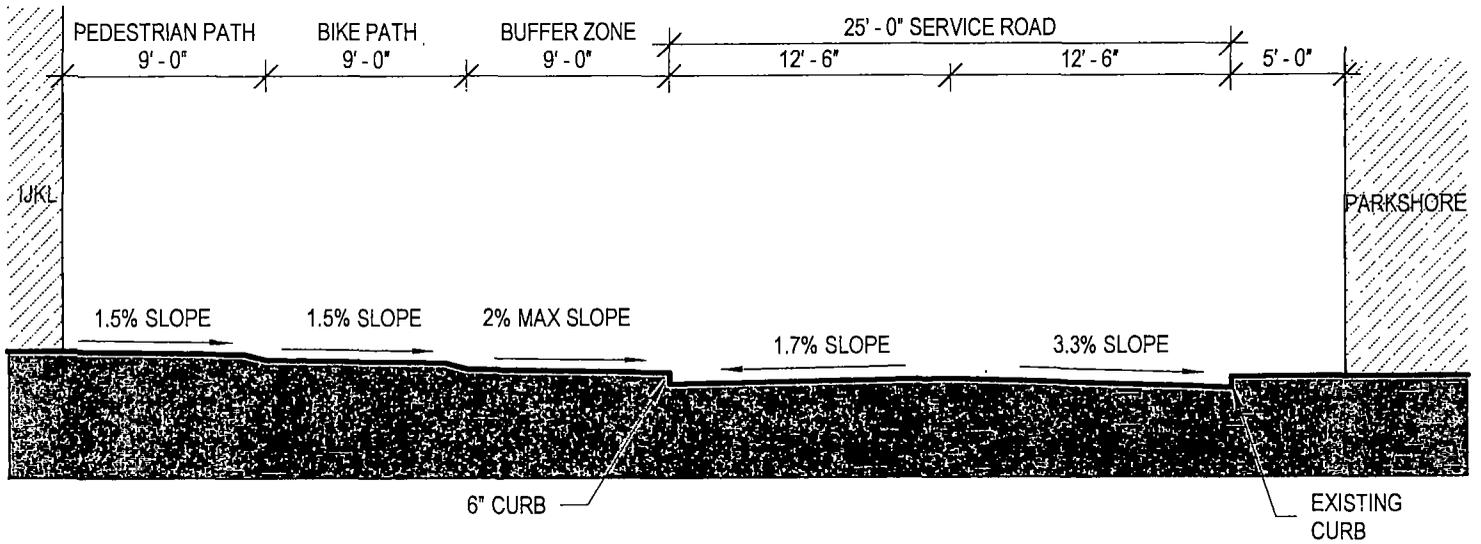




- 1 PROVIDE STOP SIGNS WITH STOP BARS TO ESTABLISH ALL-WAY STOP
- 2 PROVIDE LANE EDGE STRIPING TO HELP CLARIFY TRAFFIC CONTROL
- 3 PROVIDE "SHARROW" PAVEMENT MARKINGS AND CAUTION/MERGE SIGNAGE TO INDICATE BICYCLE LANE MERGING WITH DRIVE LANE
- 4 PROVIDE TEXTURED PAVING MATERIAL TO SLOW BICYCLE SPEEDS AND INCREASE AWARENESS OF INTERSECTION FOR APPROACHING DRIVERS
- 5 REFLECTIVE STRIPING APPLIED TO ASPHALT DESIGNATING PEDESTRIAN CAUTION ZONE
- 6 PROVIDE BOLLARDS TO PREVENT BICYCLES FROM USING PEDESTRIAN PATH
- 7 INSTALL FLASHING RED SIGNAL TO REINFORCE ALL-WAY STOP TRAFFIC CONTROL

LOWER HARBOR-SERVICE ROAD INTERSECTION
SCALE: N.T.S.



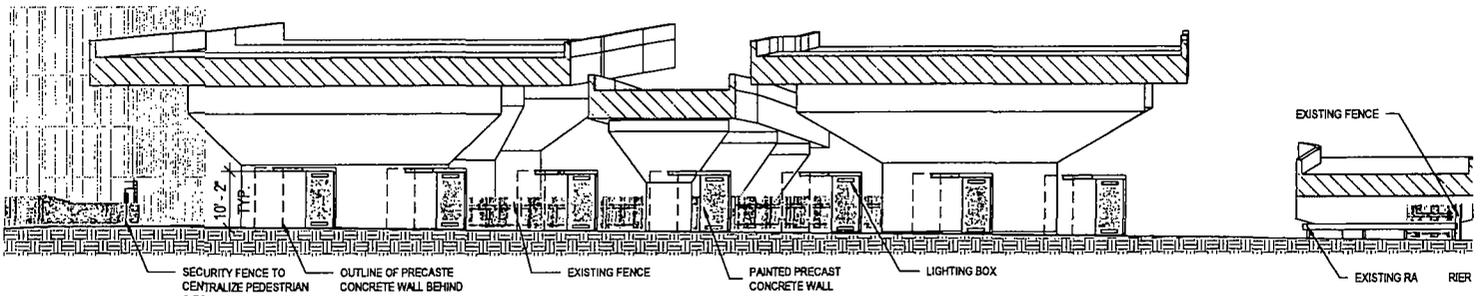


SERVICE ROAD CROSS SECTION

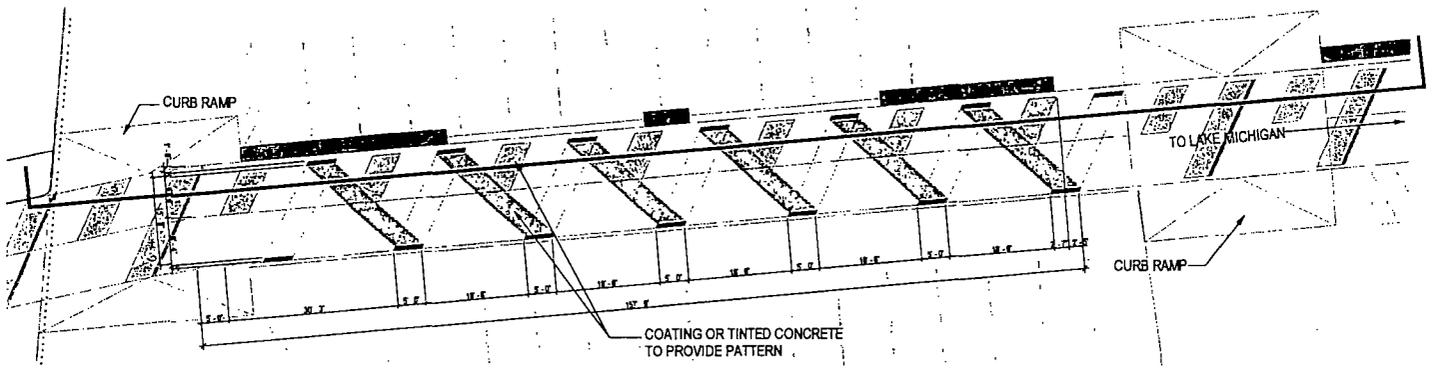
SCALE: 1" = 50'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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ENLARGED UNDERPASS SECTION

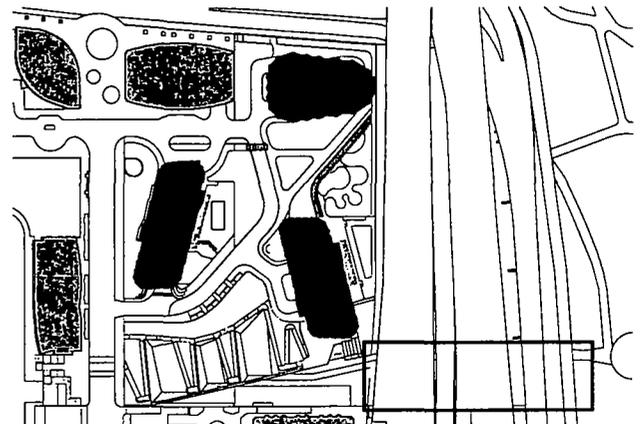


ENLARGED UNDERPASS PLAN

***ANY IMPROVEMENTS UNDER LAKE SHORE DRIVE ARE SUBJECT TO APPROVAL OF THE CHICAGO PARK DISTRICT AND/OR OTHER APPROPRIATE AUTHORITIES**

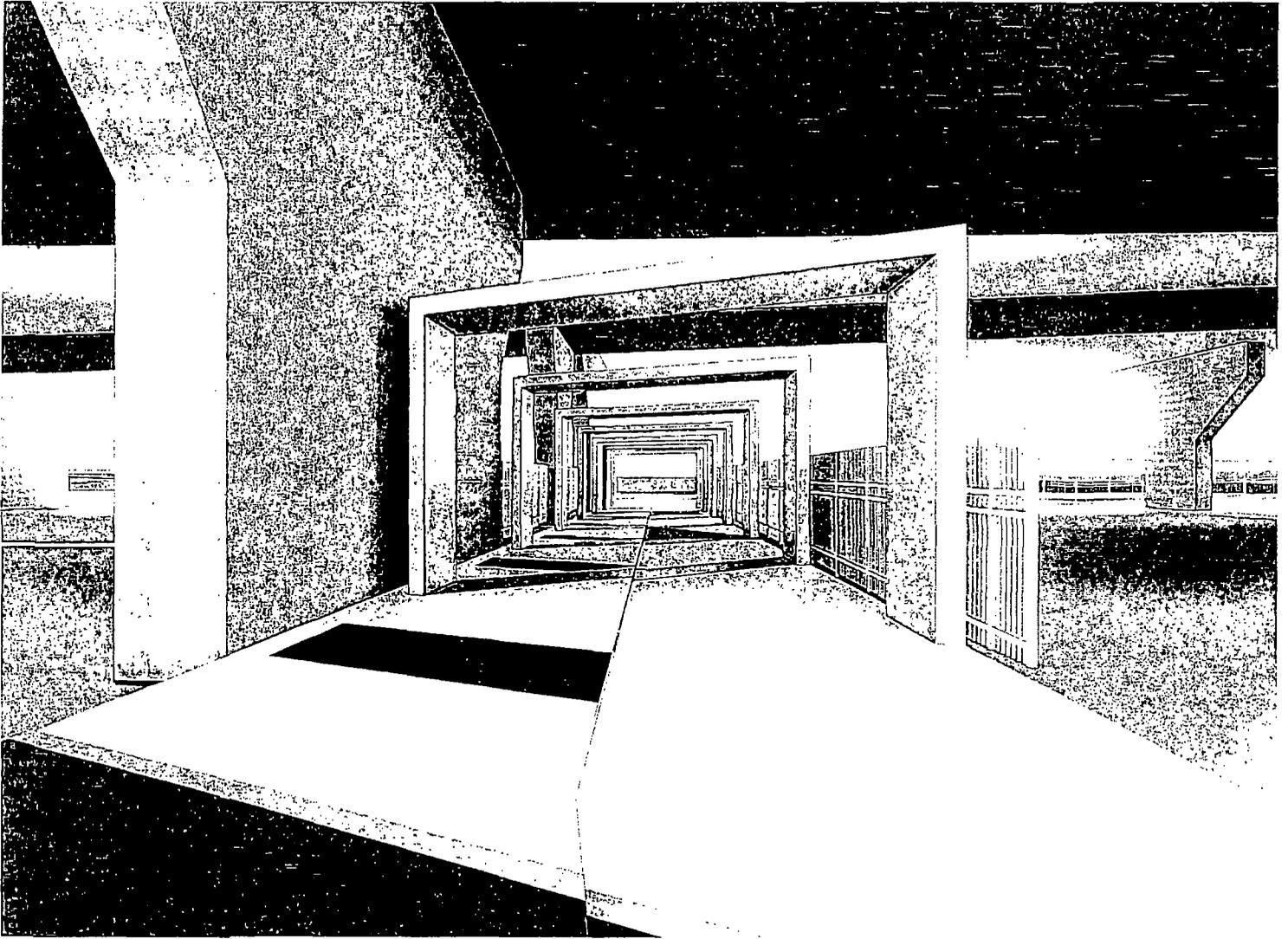
LAKE SHORE DRIVE UNDERPASS

SCALE: 1/32" = 1'-0"



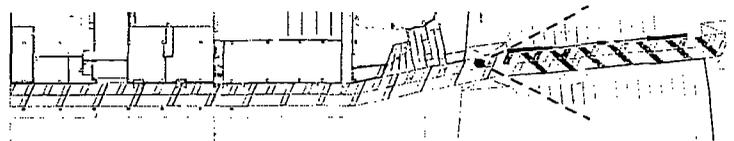
Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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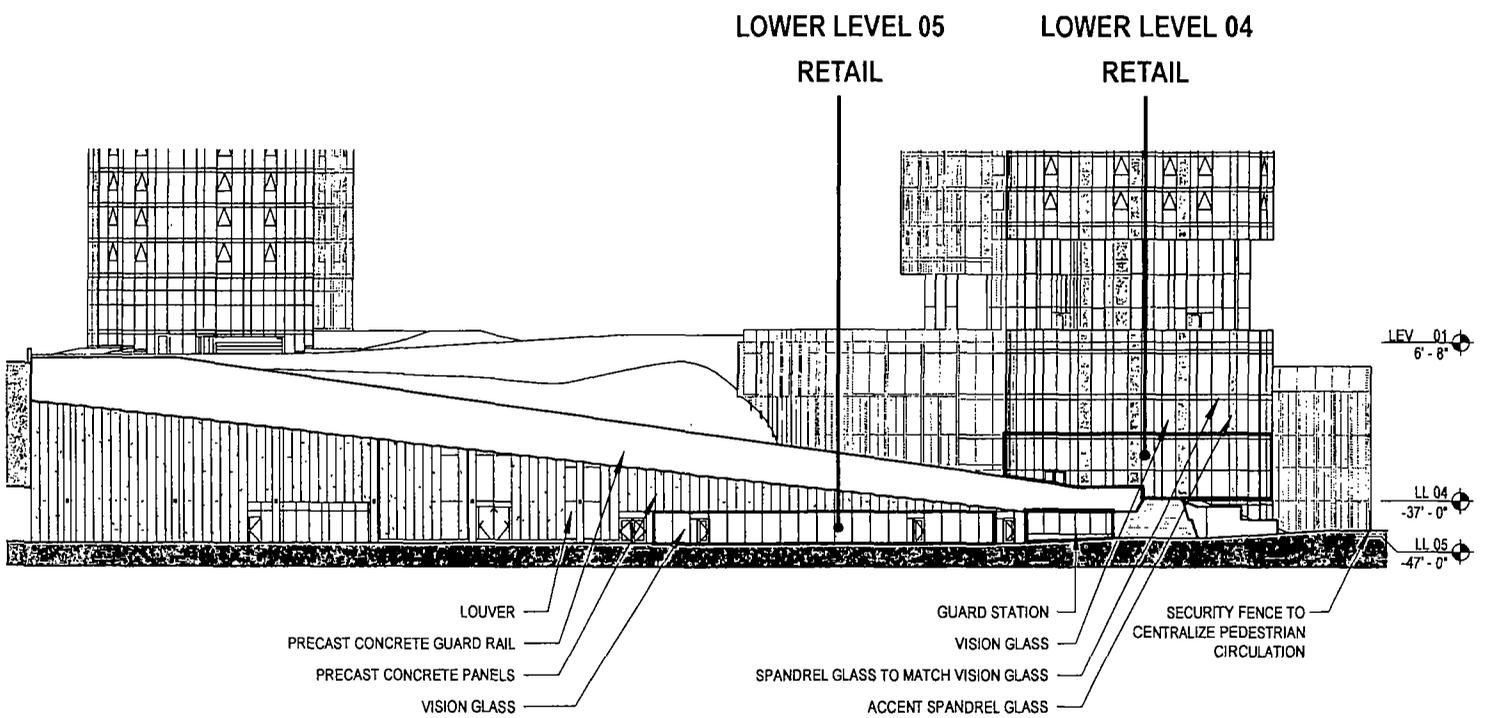
***ANY IMPROVEMENTS UNDER LAKE SHORE DRIVE ARE SUBJECT TO APPROVAL OF THE CHICAGO PARK DISTRICT AND/OR OTHER APPROPRIATE AUTHORITIES**

**LAKE SHORE DRIVE UNDERPASS - RENDERING
NTS**



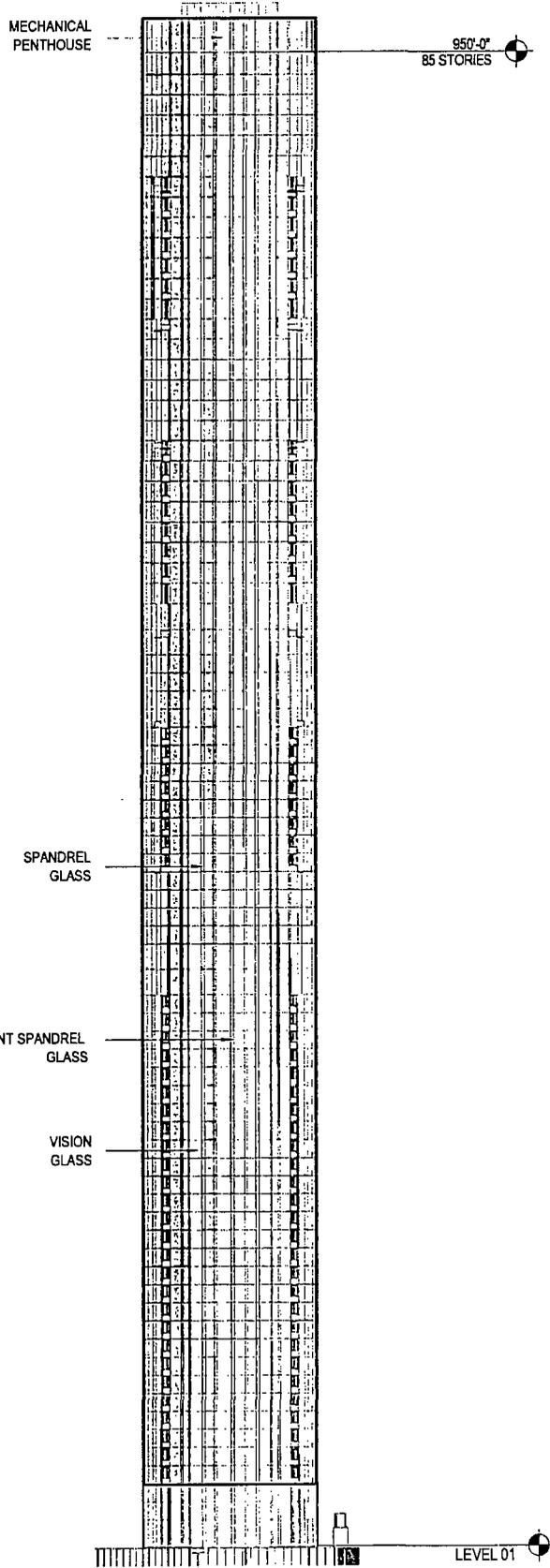
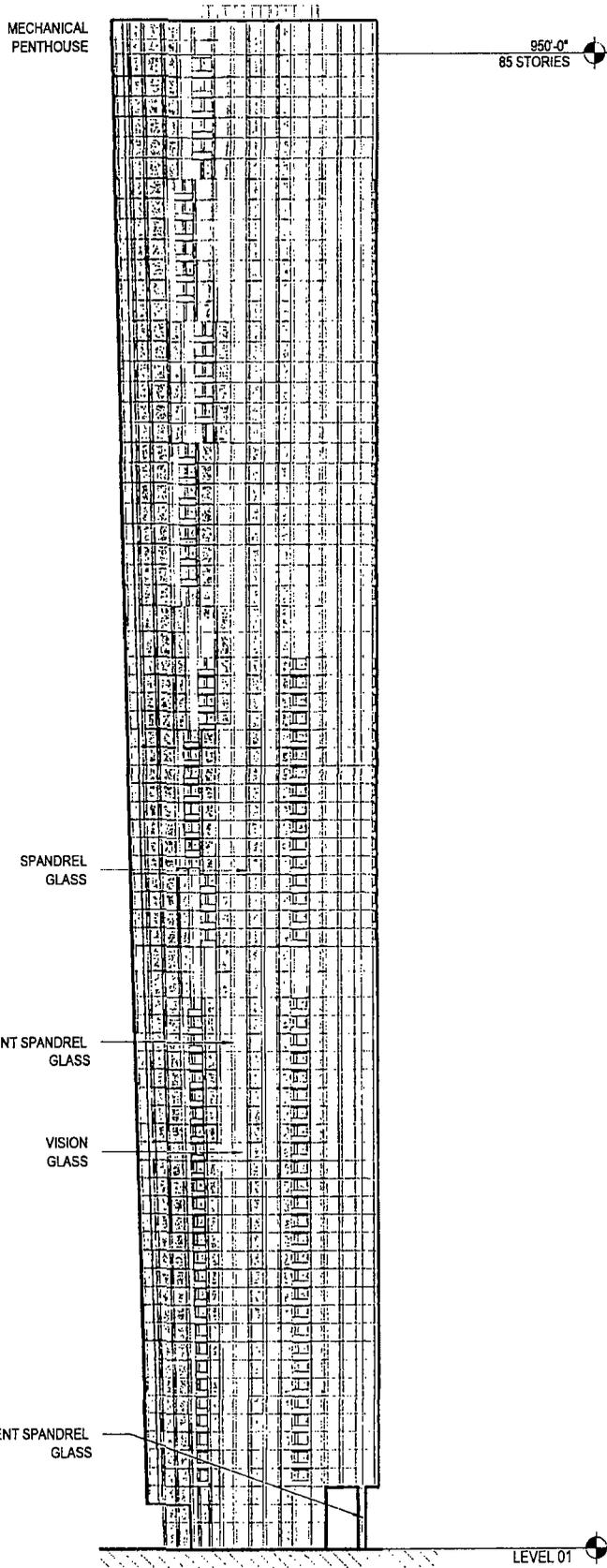
**Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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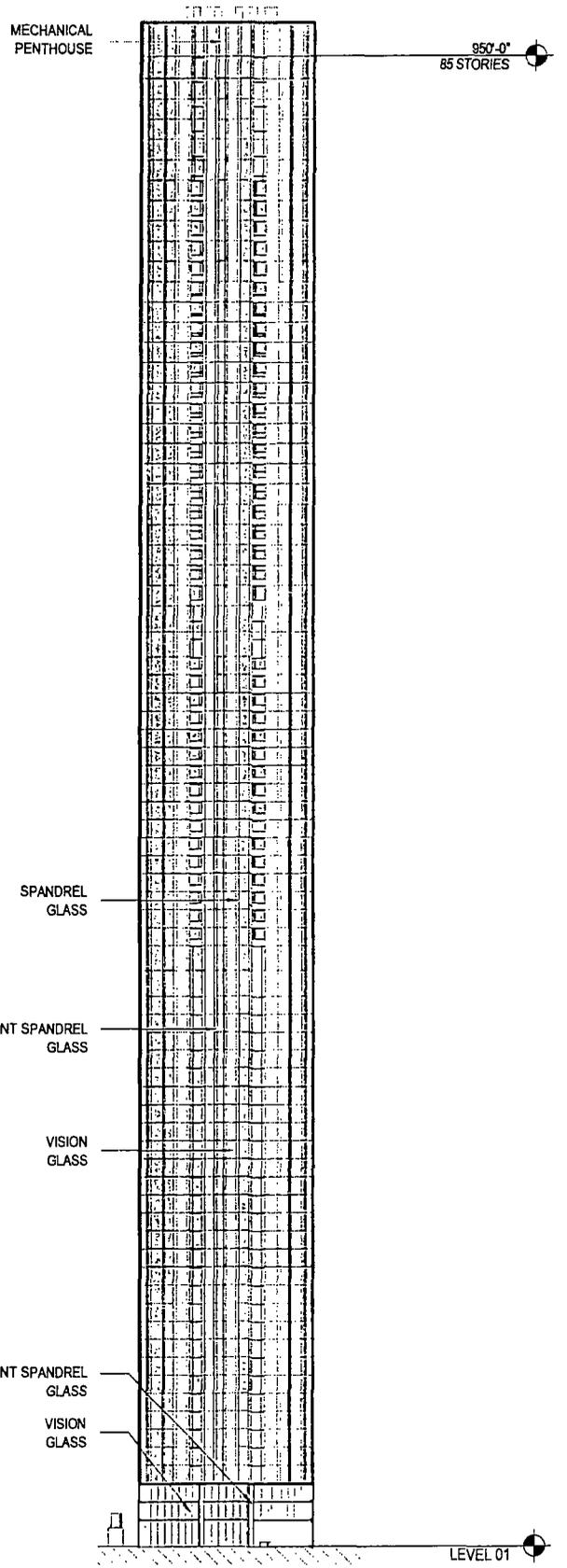
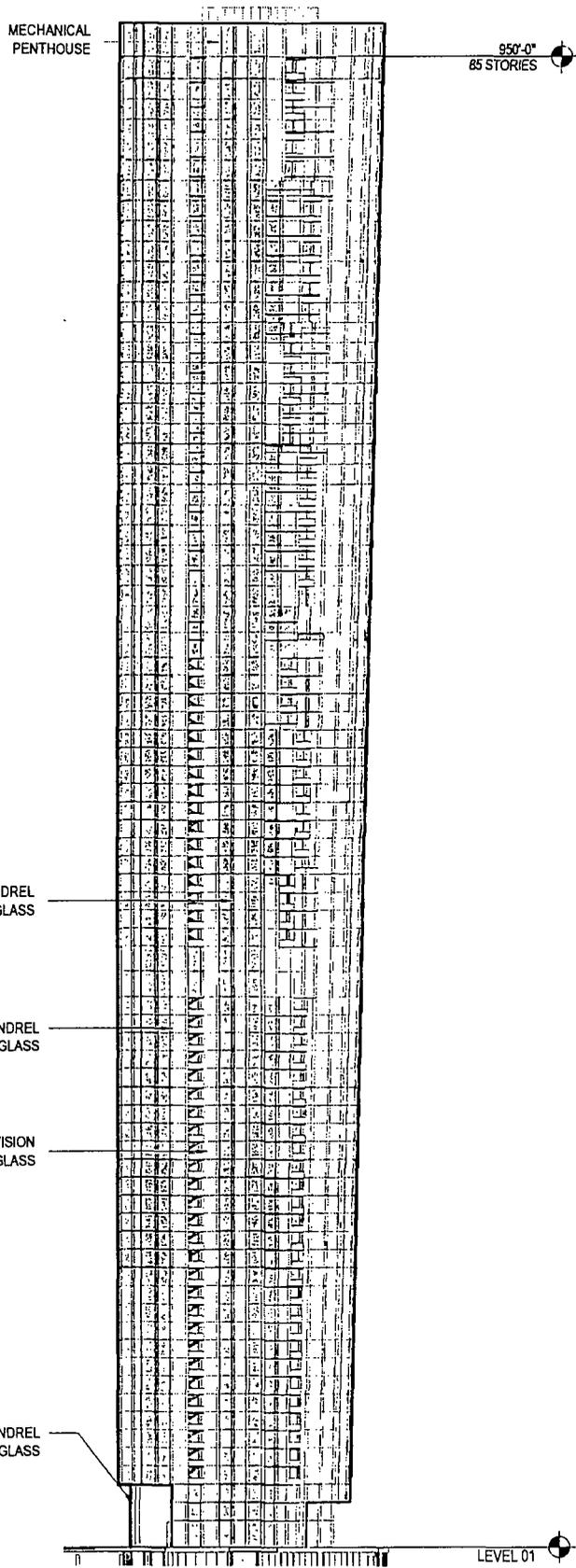
LOWER LEVEL 05 BASE ELEVATION
SCALE: 1" = 50'- 0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
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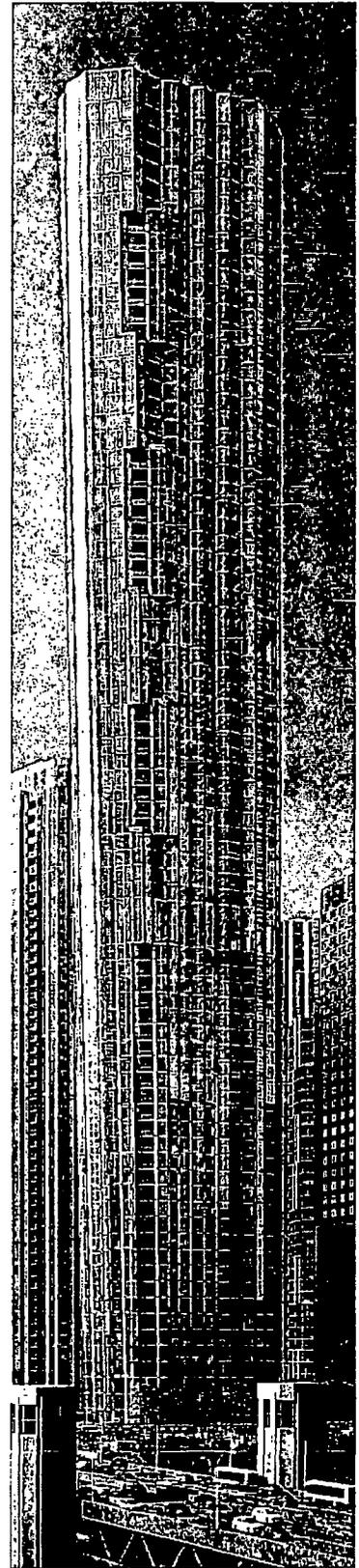
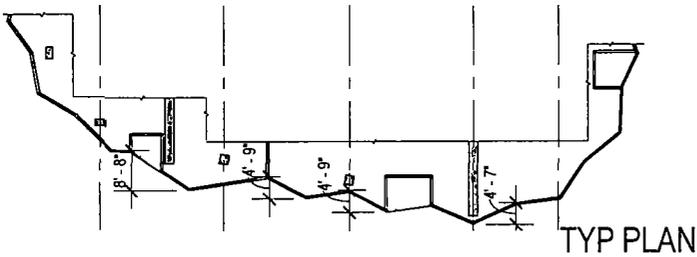
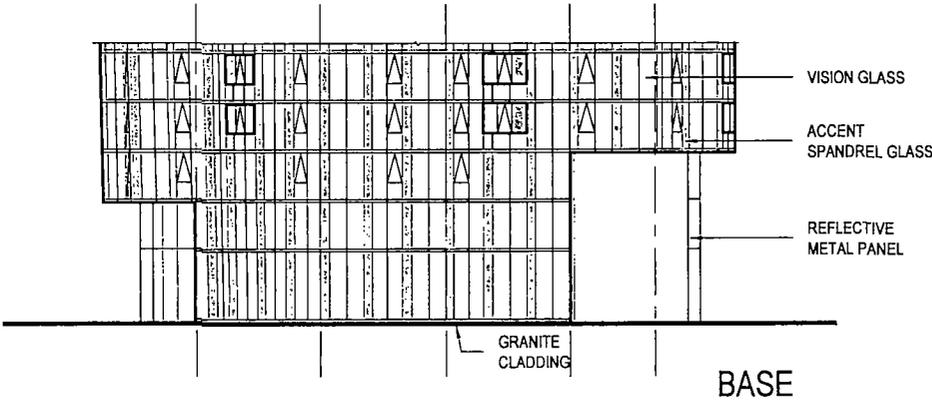
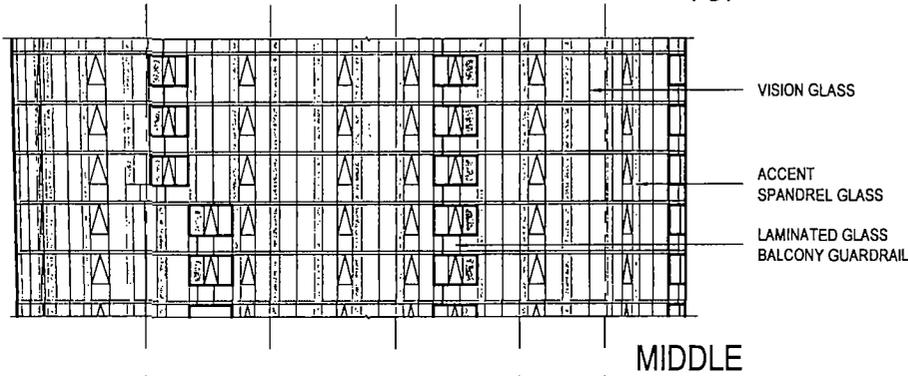
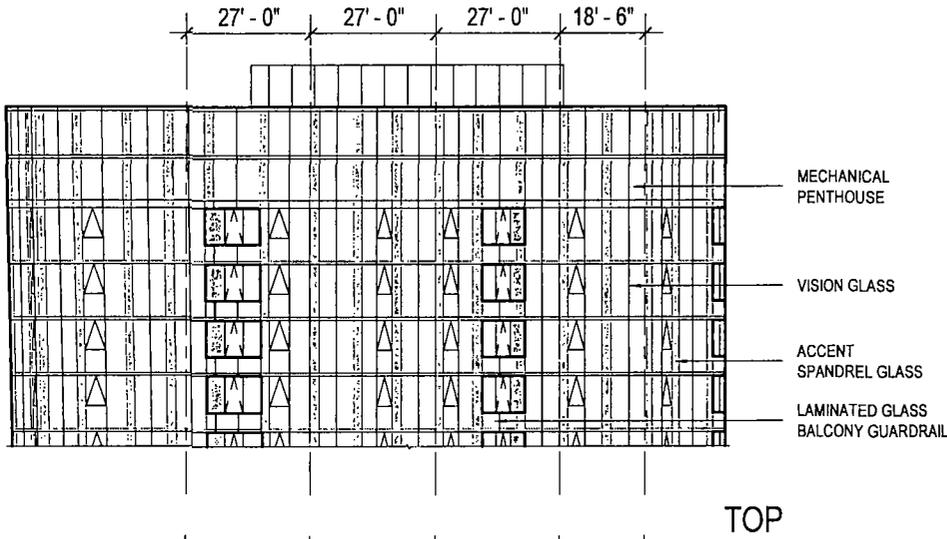
BUILDING I - NORTH AND EAST ELEVATIONS
 SCALE: 1" = 100'- 0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
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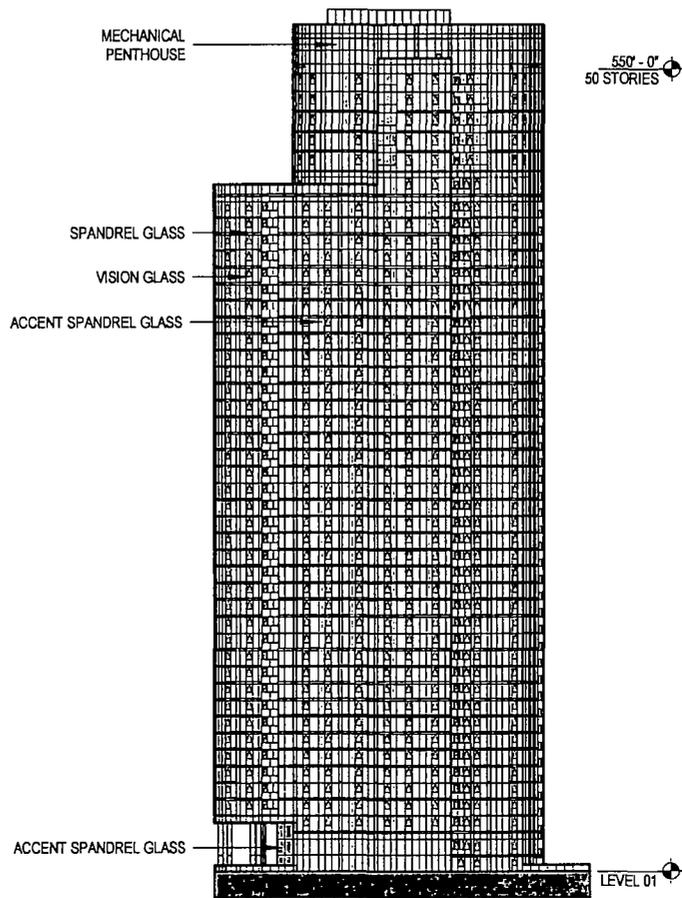
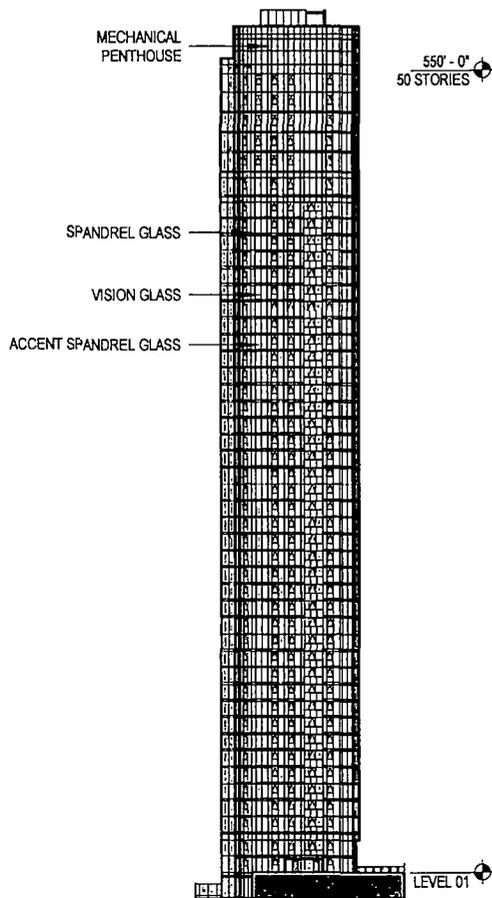
BUILDING I - SOUTH AND WEST ELEVATIONS
 SCALE: 1" = 100'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
 Plan Commission • October 18, 2018



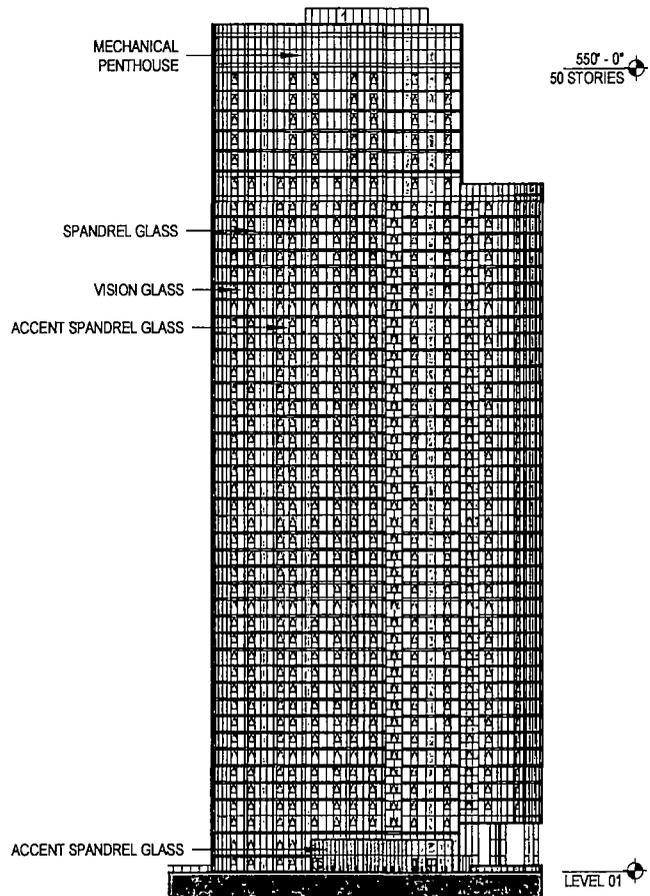
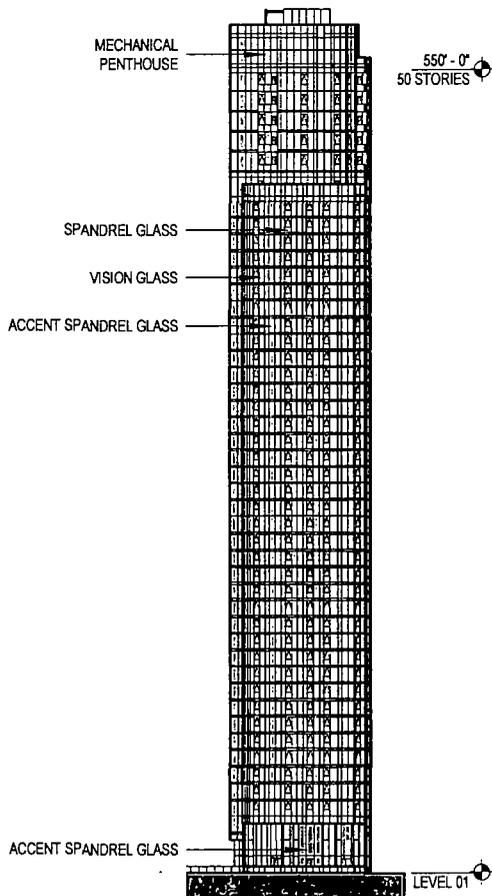
BUILDING I - ENLARGED NORTH ELEVATION
SCALE: 1" = 40'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
Applicant • IJKL, LLC and Lakeshore East LLC
Introduced • September 13, 2018
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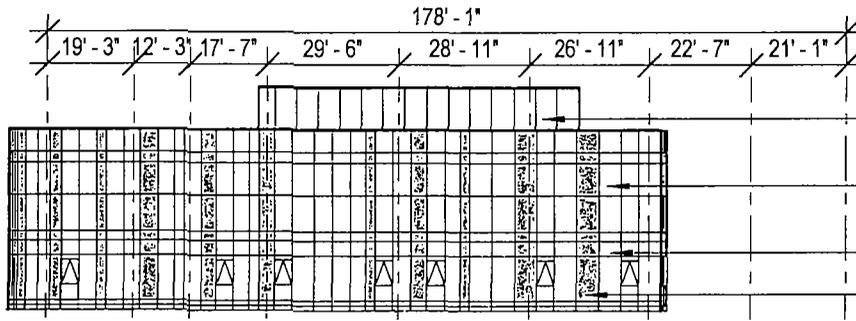
BUILDING J- NORTH AND EAST ELEVATIONS
 SCALE: 1" = 100'- 0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
 Applicant • IJKL, LLC and Lakeshore East LLC
 Introduced • September 13, 2018
 Plan Commission • October 18, 2018

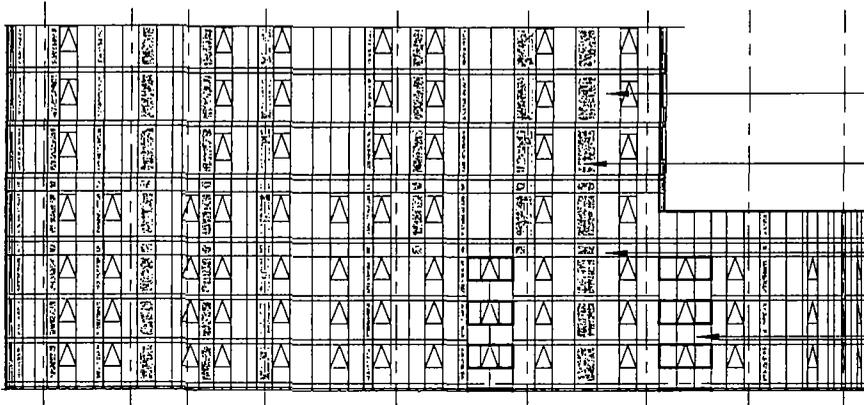


BUILDING J- SOUTH AND WEST ELEVATIONS
 SCALE: 1" = 100'-0"

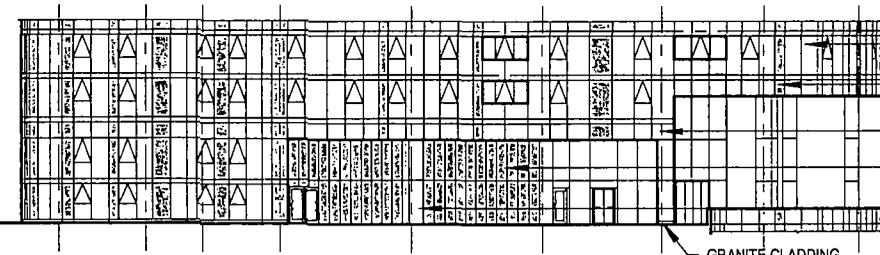
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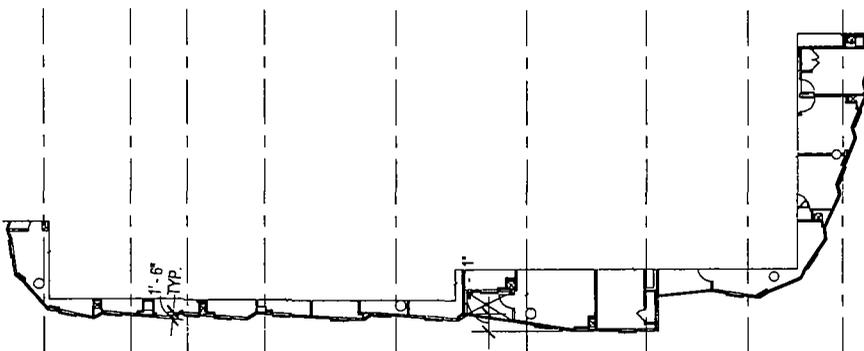
TOP



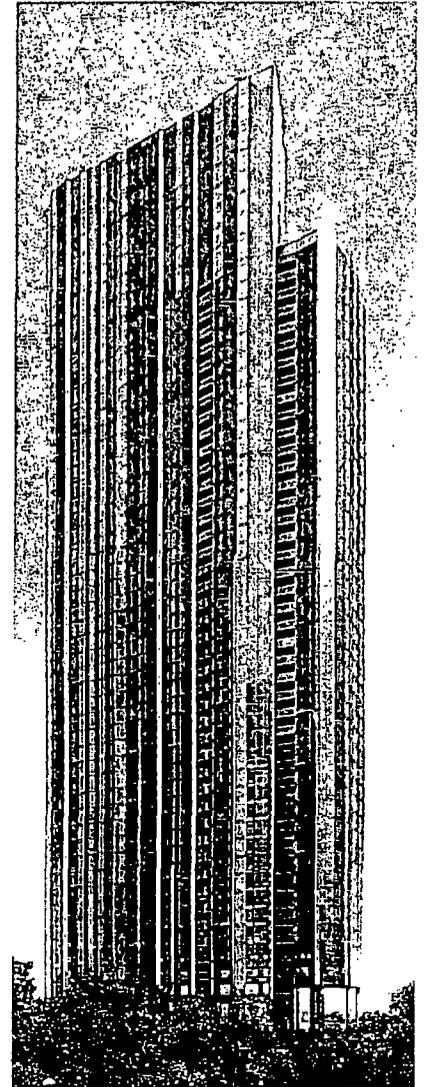
MIDDLE



BASE

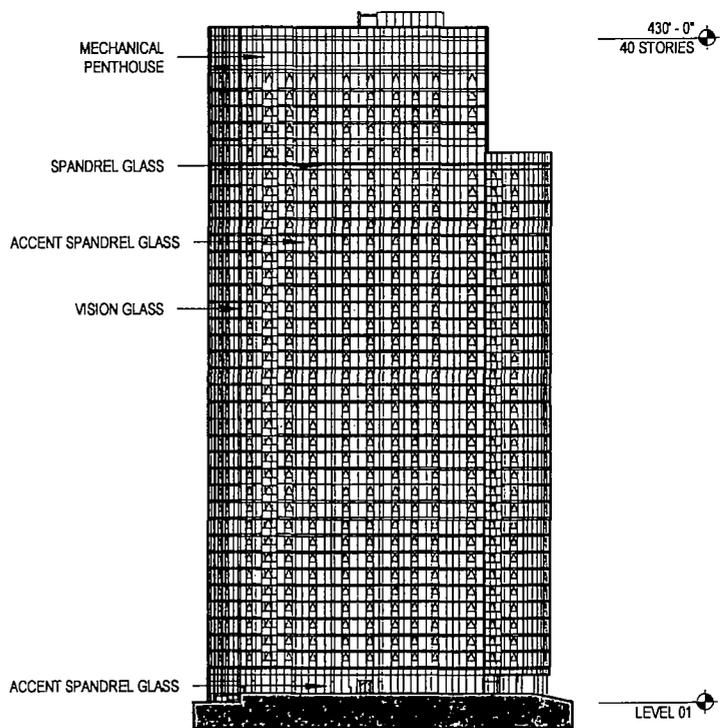
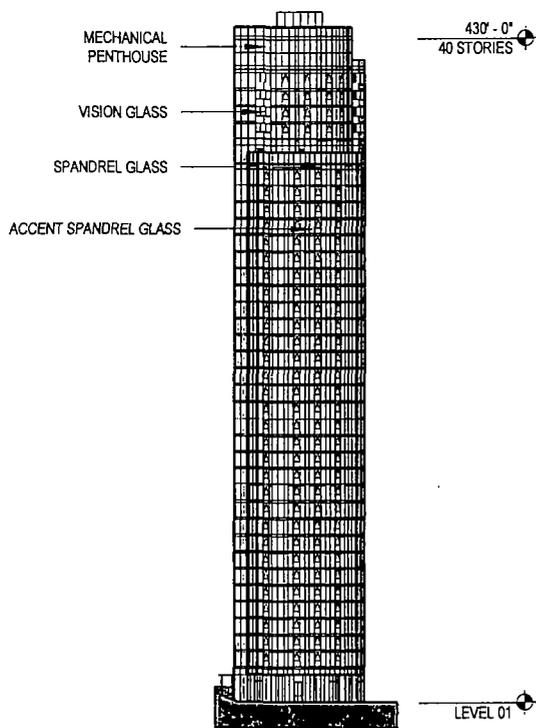


TYP PLAN



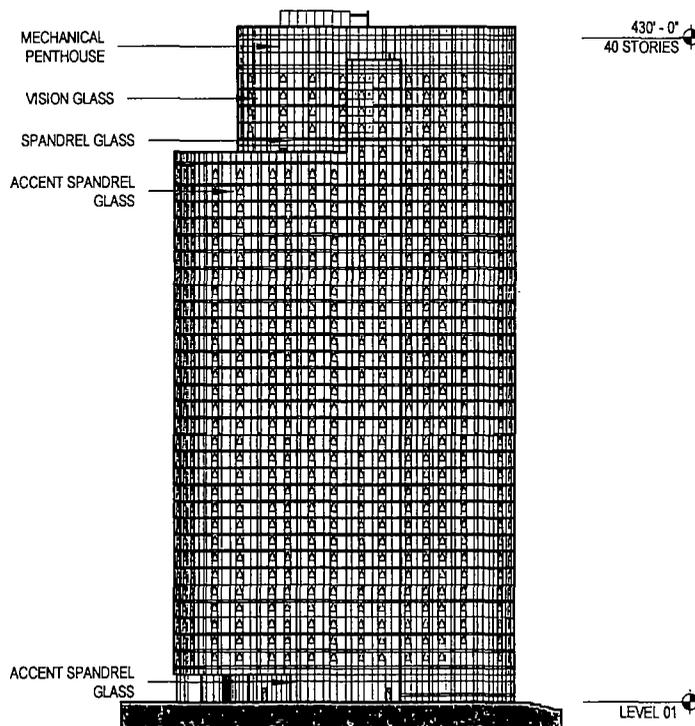
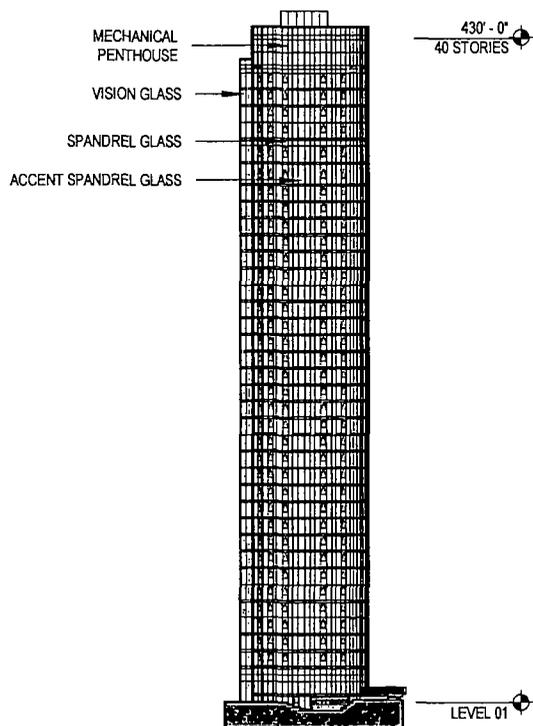
BUILDING J - ENLARGED WEST ELEVATION
SCALE: 1" = 40'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
Applicant • IJKL, LLC and Lakeshore East LLC
Introduced • September 13, 2018
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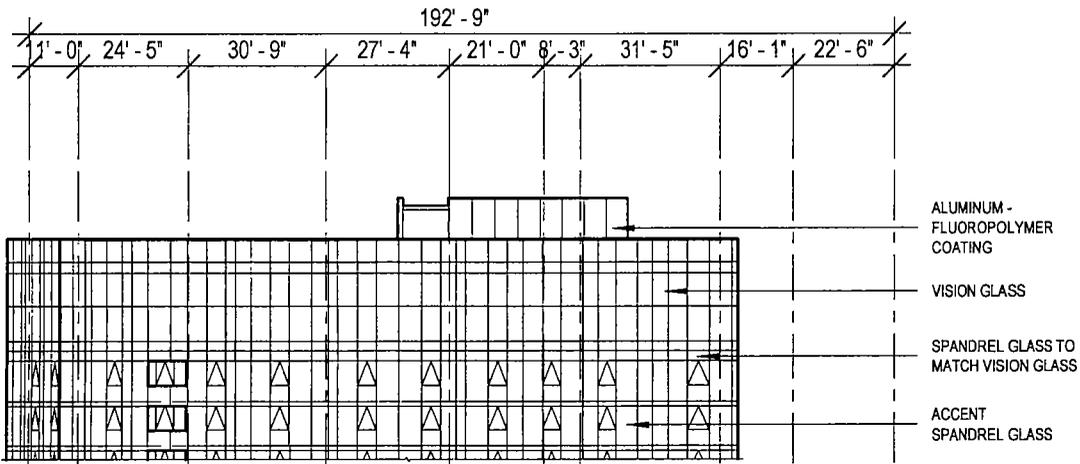
BUILDING KL - NORTH AND EAST ELEVATIONS
 SCALE: 1" = 100'- 0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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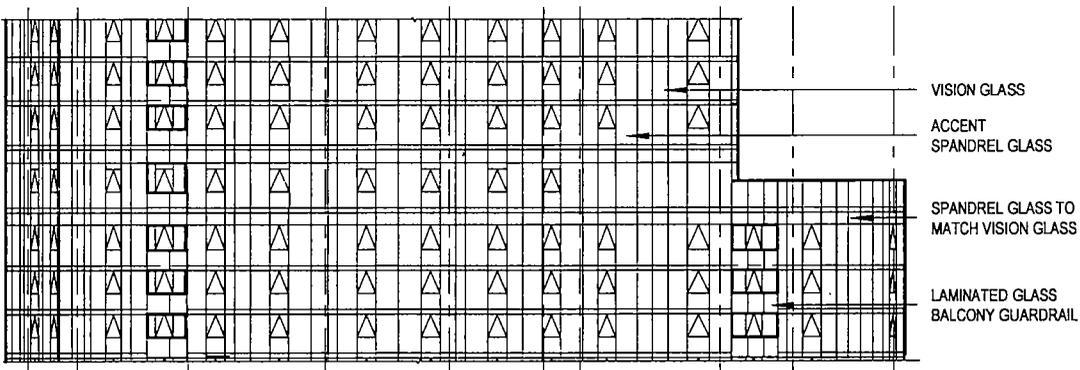


BUILDING KL - SOUTH AND WEST ELEVATIONS
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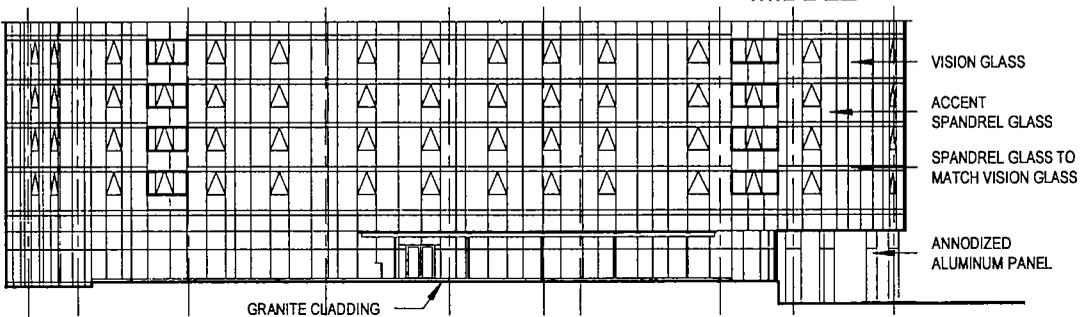
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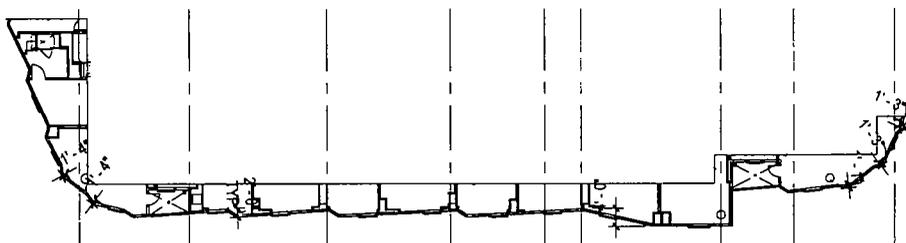
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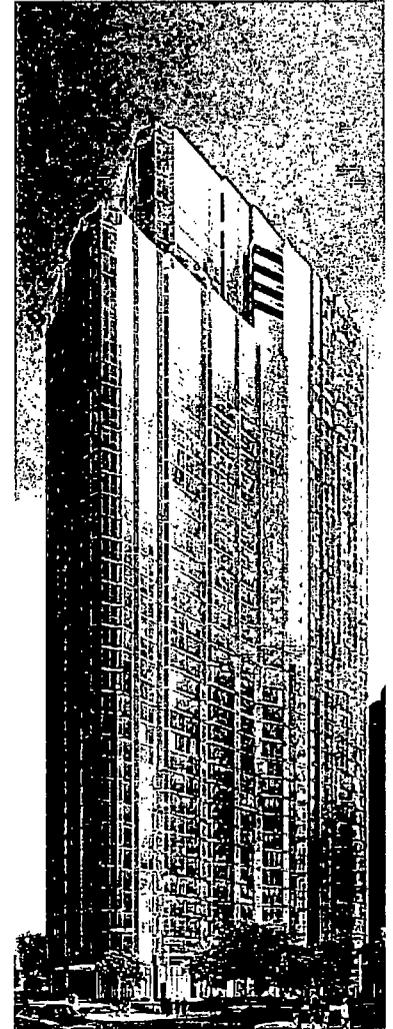
MIDDLE



BASE



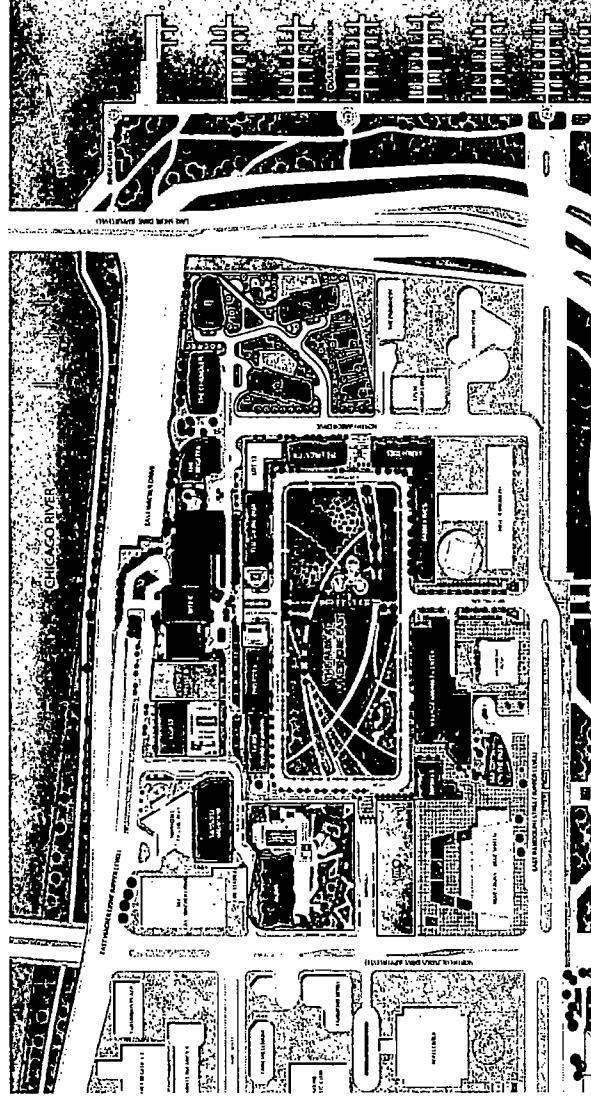
TYP PLAN



BUILDING K/L - ENLARGED EAST ELEVATION
 SCALE: 1" = 40'-0"

Address • 197-301 North Harbor Drive and 425-500 East Waterside Drive
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MASTER PLAN & DESIGN STANDARDS
LAKESHORE EAST
Chicago, Illinois

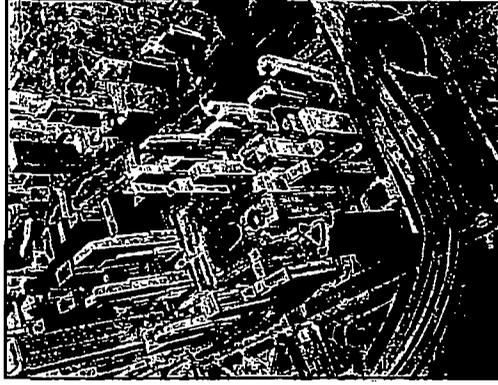


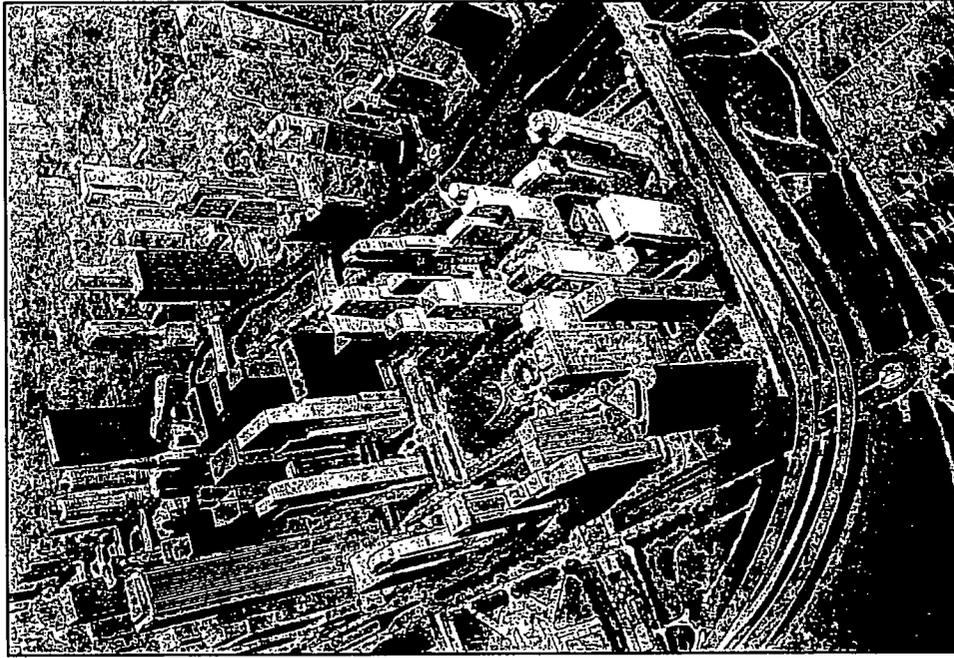
Prepared for
Lakeshore East LLC
originally prepared by
SKIDMORE, OWINGS & MERRILL, LLP
with revisions prepared by
BKL ARCHITECTURE LLC.

UPDATED TO INCLUDE MINOR CHANGES AND MODIFICATIONS
BY THE CITY OF CHICAGO DEPARTMENT OF PLANNING AND DEVELOPMENT
THROUGH OCTOBER 18, 2018

| | | |
|---|--|---|
| <p>1.0 Introduction History Document Intent Existing Zoning Map Planned Development Boundary Sub Area Map</p> <p>2.0 Urban Design Principles General Intent / Site Context and Location Urban Framework Building Height and Massing Access and Circulation Open Space View Corridors</p> | <p>4.0 Access and Circulation Vehicular Access and Rights-of-Way Upper Level Plan Intermediate Level Plan Lower Level Plan Automobile Entrance Zones / Parking Access Upper Level Plan Intermediate Level Plan Lower Level Plan Pedestrian Access Upper Level Pedway Level Lower Level Right-of-Way Criteria</p> | <p>6.0 Design Standards Introduction Development Review Process Controls for Development Parcel Design Criteria Highrise Building Design Criteria Lowrise Building Design Criteria Building Elements Building Materials Environmental Considerations Maximum Building Envelopes Illustrative Elevations Parcel Criteria / Maximum Building Envelopes</p> |
| <p>3.0 Development Summary Development Framework Bulk Regulations and Data Table Net Developable Area Parcelization Plan Upper Level Parcelization Plan Intermediate Level Parcelization Plan Lower Level Generalized Land Use Plan Existing Utilities Easement New Utilities Easement</p> | <p>5.0 Open Space Introduction Public Park Zone The Open Space Framework The Neighborhood Park: Preliminary Conceptual Design The Neighborhood Park: Park Programming Zones Preliminary Landscape Guidelines Parks and Open Space: Parcel C Parks and Open Space: Other Parcels</p> | |

1.0 Introduction





**LAKESHORE EAST
MASTER PLAN AND DESIGN STANDARDS**

Introduction

Lakeshore East is a new mixed-use community that will be developed on Illinois Center railroad land between Lake Shore Drive on the east, Michigan Avenue on the west, Randolph Street on the south and Wacker Drive on the north. Lakeshore East, located within Illinois Center east of Columbus Drive, will include residential, hotel, office, mixed-use development and a new public park.

Development on the approximately 52 acres of land within these Illinois Center boundaries has been regulated by a number of Planned Developments approved by the City of Chicago since 1969. Illinois Center is the subject of the existing Planned Development No. 70. This Planned Development was originally approved in 1969. It was subsequently amended in 1979, and then again in 1993.

Most of the Illinois Center property west of Columbus Drive has now been developed. East of Columbus Drive, there are also a number of existing buildings, including an office building at Three Illinois Center, the Blue Cross-Blue Shield building, the Swiss Grand Hotel and Five high rise residential buildings. In addition, west of Columbus Drive, the residential Park Millennium is currently under construction.

Lakeshore East will be created on approximately 28 acres of land that remain between Columbus Drive and Lake Shore Drive. The development of Lakeshore east will be guided by a new amendment

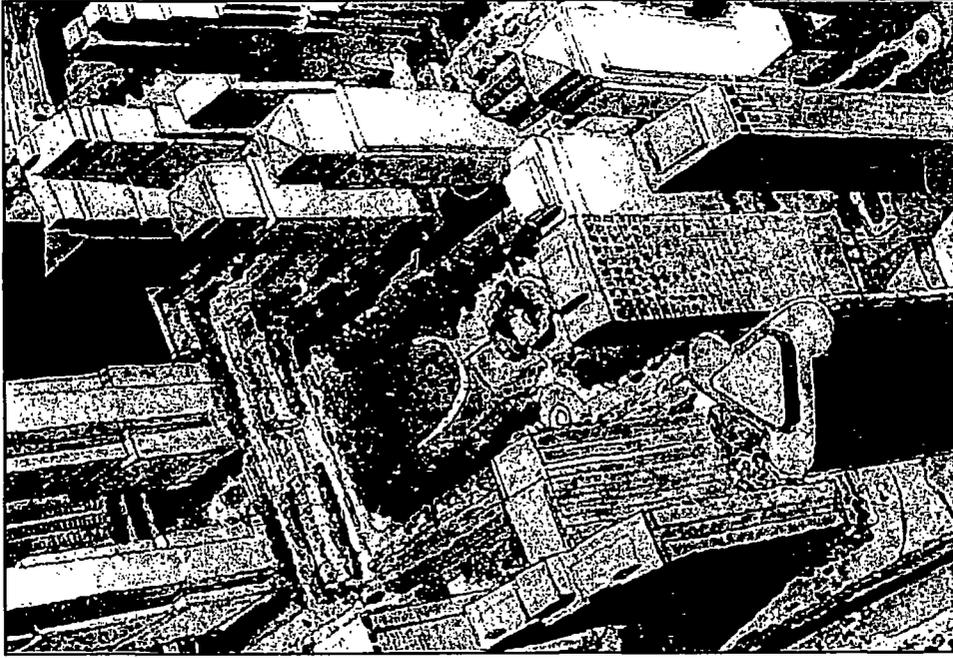
to Planned Development No. 70. This area is identified as Sub-Area E within Planned Development No. 70, and is currently occupied by a golf course facility and other open space located on the property east of Columbus Drive. Lakeshore East will be located within this area.

The 1993 Amendment to Planned Development No. 70 permitted approximately 14.5 million square feet to be constructed on a net developable area of 1.1 million square feet (28 acres) within Sub-Area E.

Under the new amendment to Planned Development No. 70 The Lakeshore East development will construct 9.7 million square feet of buildable area, which is approximately 2/3 of what was previously allowed. The net developable area has been reduced to under 700,000 square feet (14 acres) as a result of a significant increase in the land dedicated to the public for parks, open spaces and road rights of way.

Lakeshore East will create a new urban neighborhood including residential condominiums, apartments and townhomes, hotel, office, commercial, retail and mixed-use development and public open space including a new public park. A combined public school / park district facility is also anticipated to be included within the development.

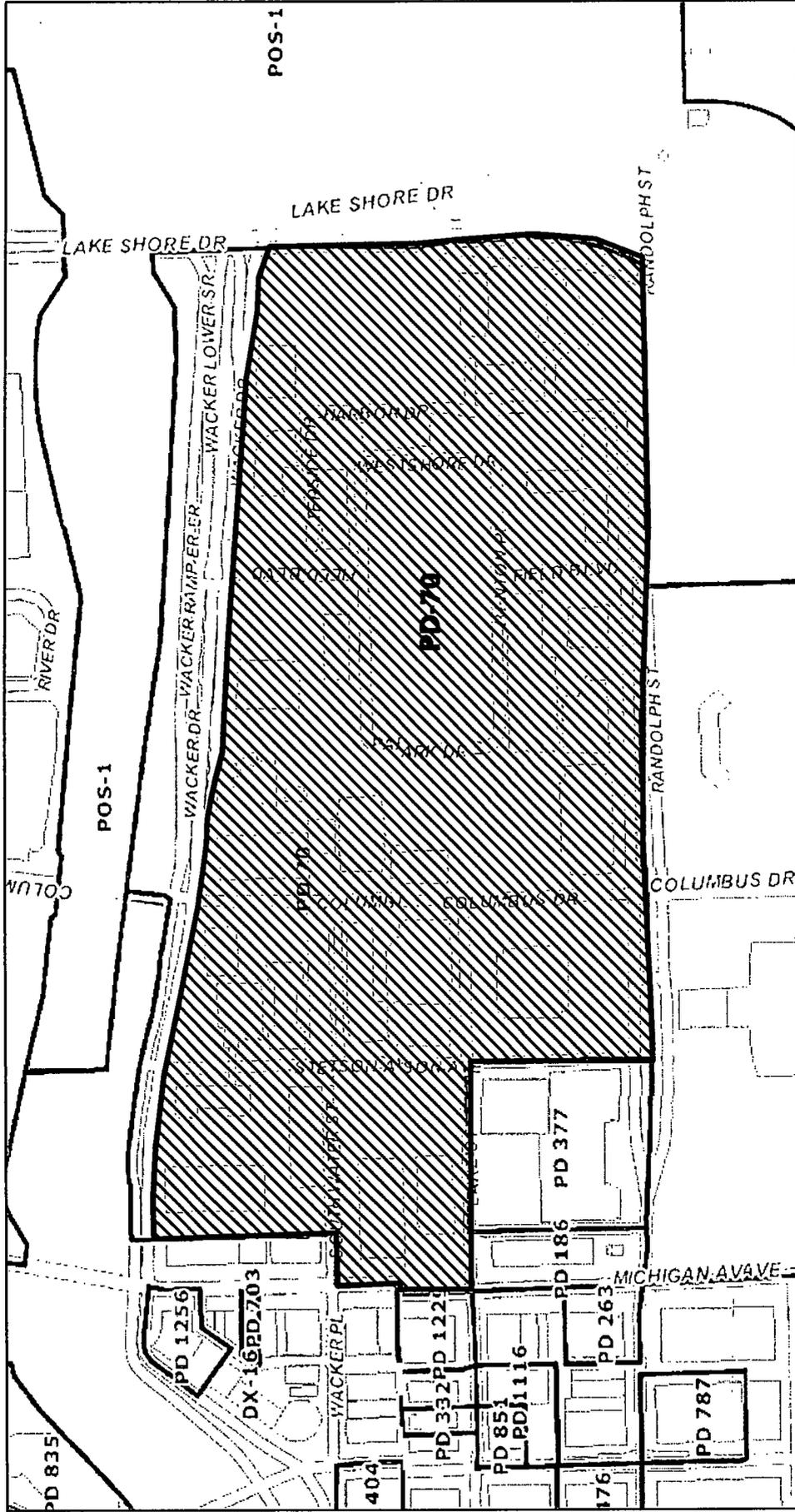
This Lakeshore East Master Plan and Design Guidelines Standards both illustrates and will guide the organization, scale, form and character of new buildings, streets and open spaces over time.



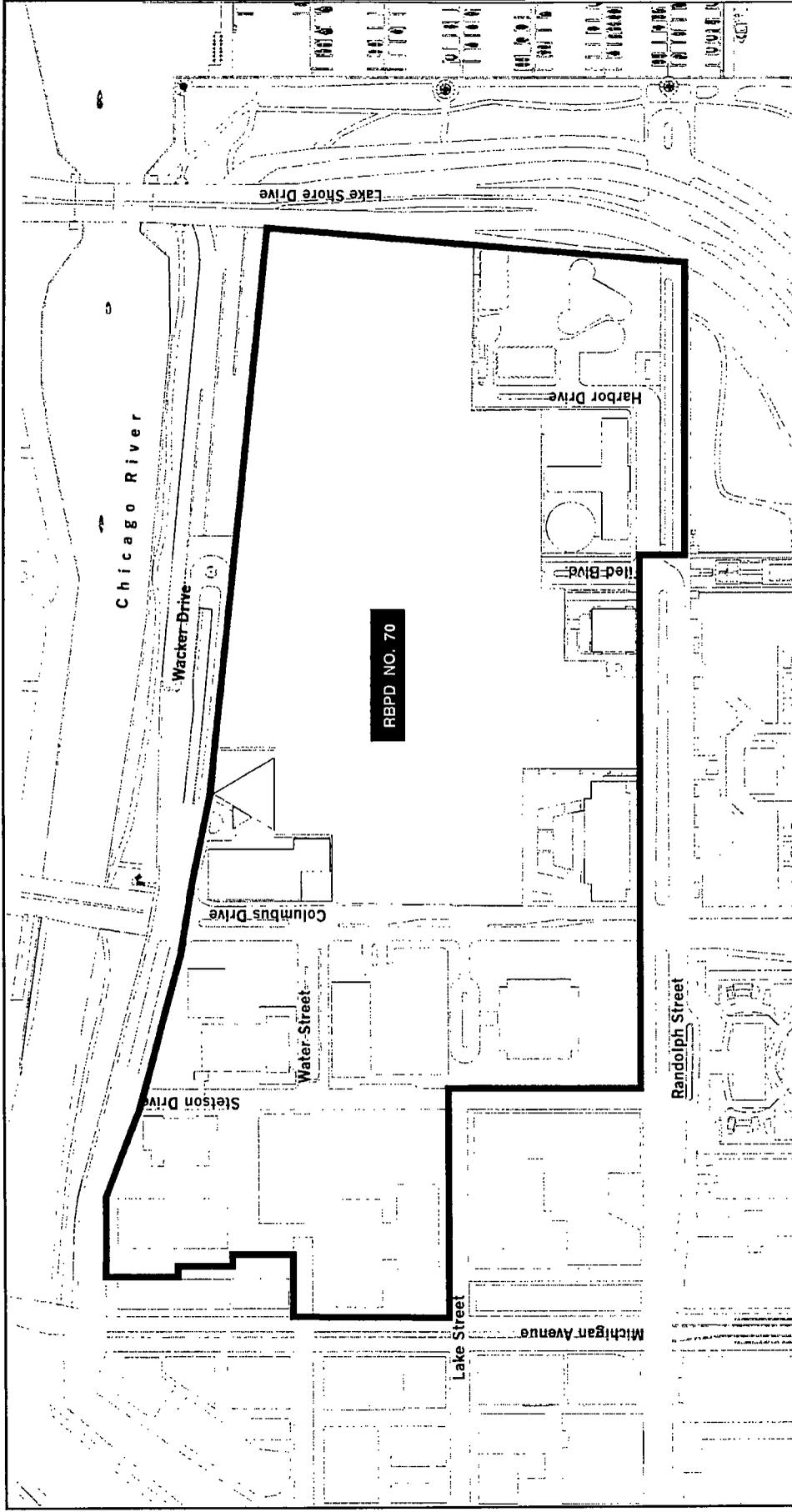
This document is intended to ensure that the future development of Lakeshore East is consistent with the vision and principles laid out in the *Lakeshore East Master Plan*

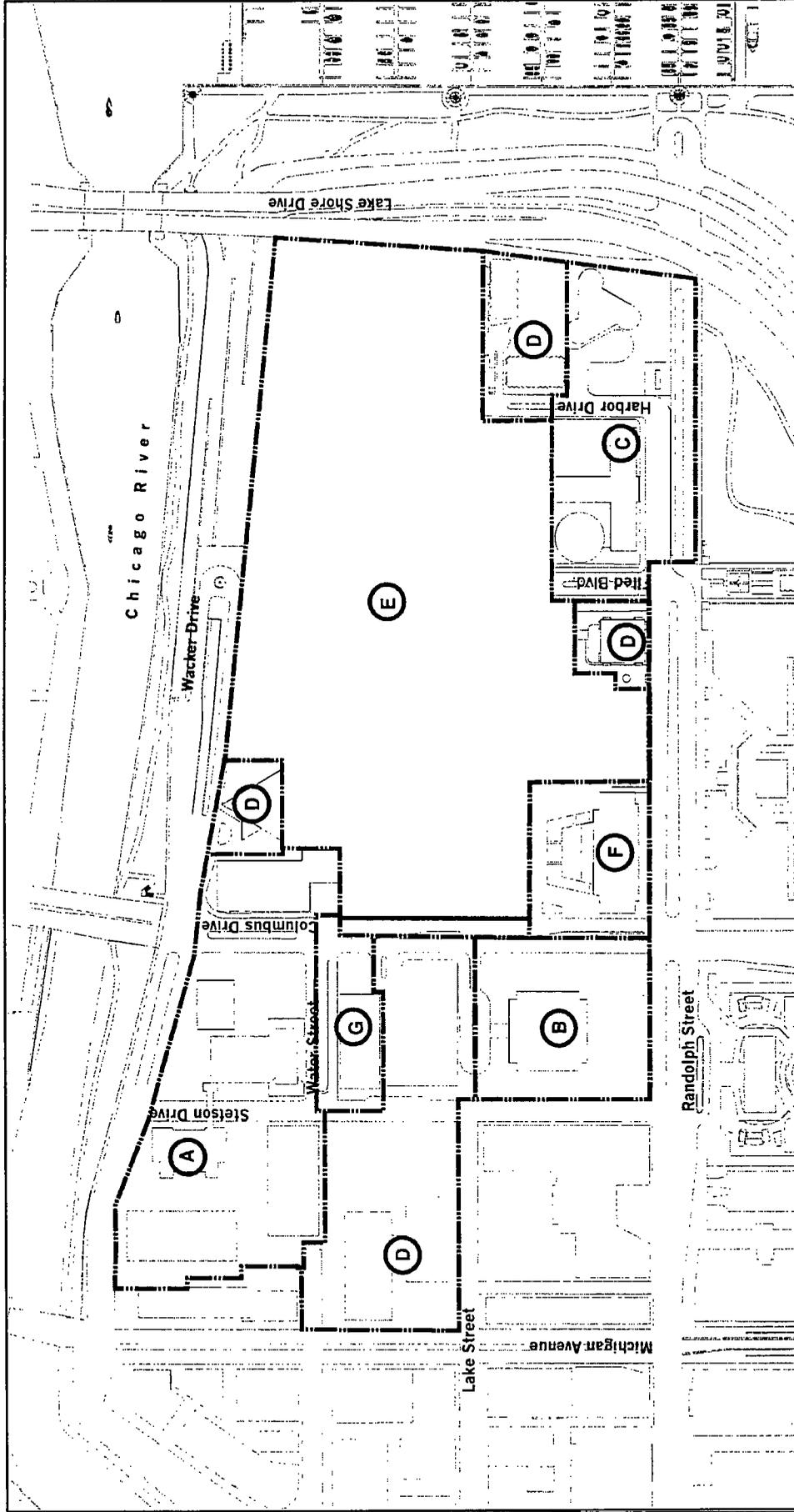
The document is organized into five sections:

- **Urban Design Principles**
Basic design principles that will guide future development
- **Development Summary**
The framework for development
- **Access and Circulation**
Connections for cars and people
- **Open Space**
Parks and courtyards to create a green neighborhood
- **Design Standards**
Guidelines that will shape the character of buildings, and the public realm



 Residential Business Planned Development No. 70



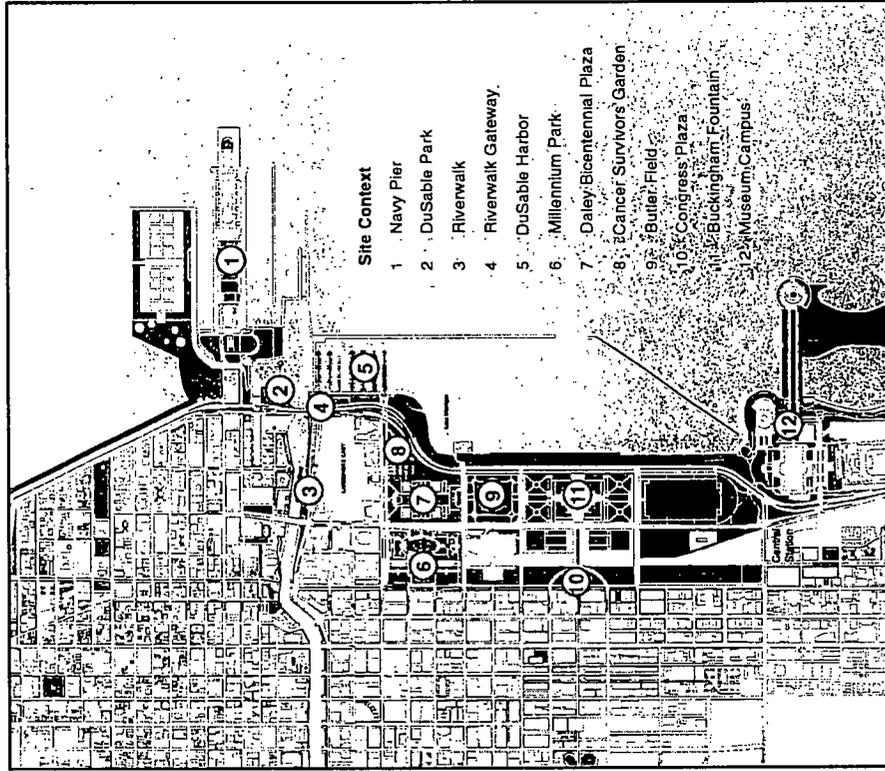


(A) Subarea Identification

2.0 Urban Design Principles

- General Intent / Site Context and Location
- Urban Framework
- Building Height and Massing
- Access and Circulation
- Open Space
- View Corridors





Site Context and Location

The Lakeshore East site is one of the largest remaining development parcels in the center of Chicago. Located at the mouth of the Chicago River at Lake Michigan, the site offers tremendous opportunities for new development and open space. The remarkable assets of this site include:

- Proximity to the Loop, the East Loop, the Magnificent Mile, Navy Pier, DuSable Harbor, Grant Park, the Lakefront and the Chicago River
- Spectacular views of the city and the waterfront
- Diverse uses on surrounding blocks, including offices, hotels, residential
- Proximity to transit including the METRA, CTA, Rail and the new busway to McCormick Place
- Proximity to Grant Park Parking Garages

To respond to the unique context of the site, a set of urban design principles have been developed that address the following issues:

- *The Urban Framework*
- *Building height and massing*
- *Access and Circulation*
- *Open Space*
- *View Corridors*

General Intent / Site Context and Location

The Master Plan sets forth the basic principles and controls needed to guide the development of the +/-28 acres Lakeshore East development. The Master Plan Standards will guide the development of Lakeshore East as it becomes a vibrant urban district supporting 9.7 million square feet of new residential, hotel, office and mixed use development.

It is also the intent of the Master Plan to enhance the urban fabric and character of Chicago's central area, while also achieving the goals of the City of Chicago and the developer criteria set forth for the Lakeshore East area by a 1993 Planned Development amendment.

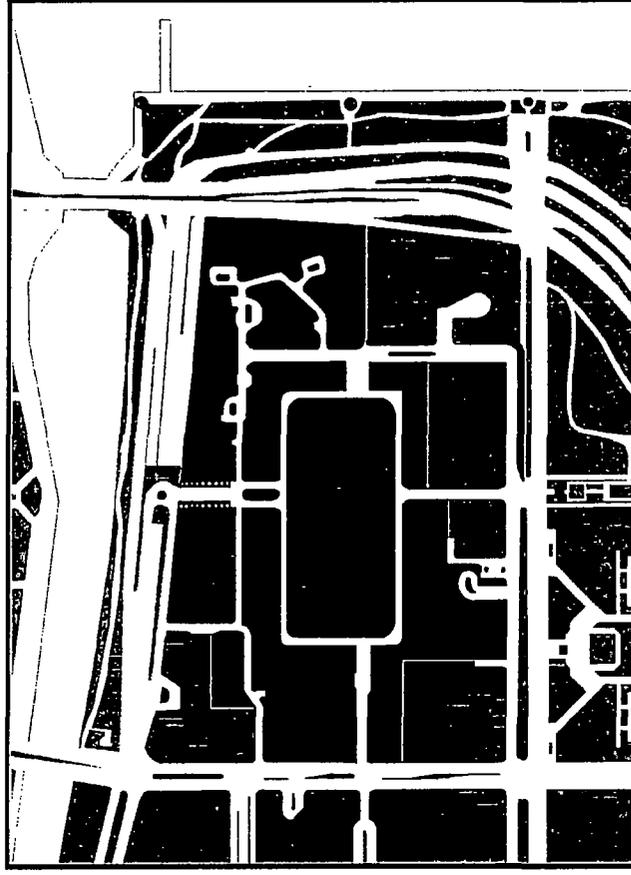
The Master plan offers a development framework intended to ensure that as Lakeshore East evolves, it will provide a high quality of life for its residents and neighbors alike. The pattern of development blocks, streets and open spaces will connect Lakeshore East and adjacent developments and amenities. This framework will also strengthen the areas adjacent to the site, offering access to Lakeshore East's amenities and connections through this new district to other neighborhoods, the Chicago River and the lakefront.

Urban Framework

- Establish urban blocks which are similar in size to typical downtown Chicago blocks
- Create urban streets in character with those of a residential neighborhood
- Create addressing opportunities for multiple buildings per block



Subarea E
A single, contiguous development site.



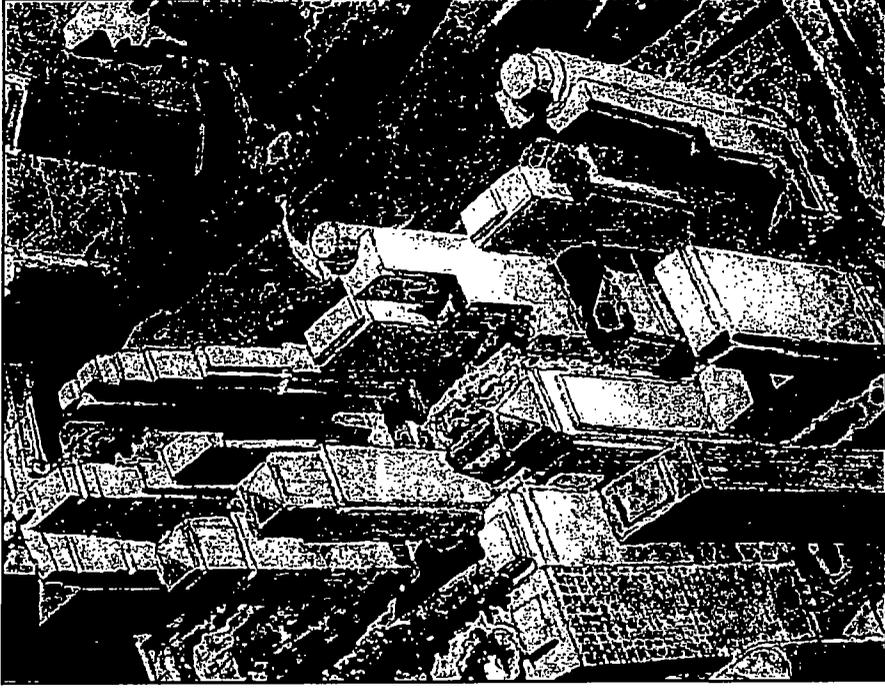
Proposed development plan
Establish urban-scaled blocks and extend the local street system into the development to avoid the potential perception of a 'super-block'

Building Height and Massing

- Place tall buildings with a sensitivity towards existing residential towers
- Frame the park with low-rise development and townhouses which can screen all existing and future parking podiums from view
- Place the tallest buildings along Coumbus Drive and at key prominent locations
- Strengthen the character of the urban street wall, or facades, on Wacker Drive along the Chicago River
- "Stagger" high-rise buildings to maximize views throughout the future development



Low-rise townhouses can screen parking podiums from the street



Building massing and height should be sensitive to existing towers, street walls and view corridors

Access & Circulation

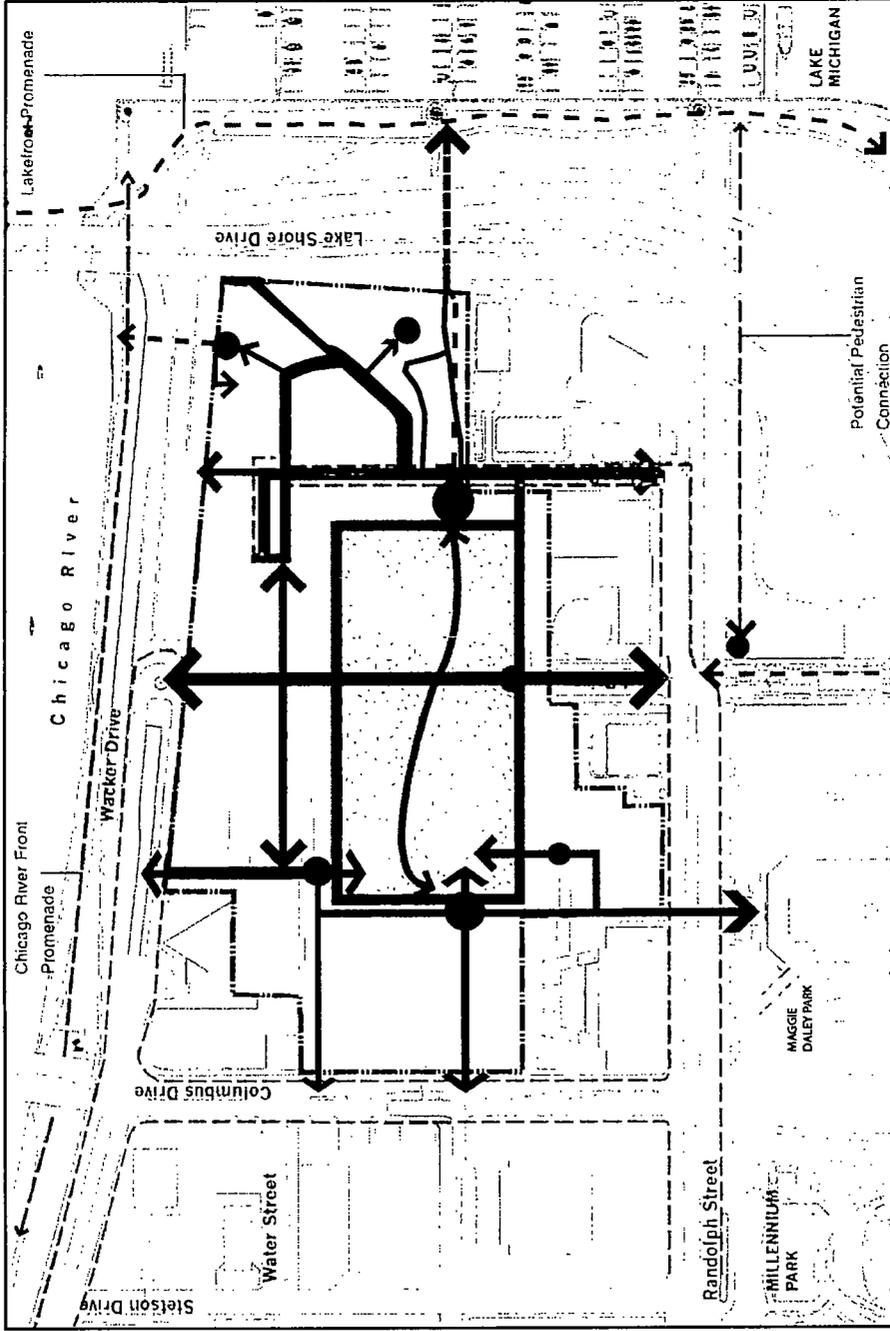
- Maximize connection to surrounding development
- Create a permeable neighborhood
- Weave the different levels together
- Strengthen pedestrian connections to the river and lakefront
- Provide convenient parking, drop-off, and service access without negatively impacting the existing streets

Diagram Legend:

The Diagram to the right represents a hierarchy of connectivity. The large arrows represent the major or primary access corridors of the site. They represent both pedestrian or vehicular traffic depending on their location. The smaller arrows indicate the secondary corridors of access.

The circles represent the major vertical connections of the site which allows the pedestrian to access the different levels of the development.

The dark dotted arrows indicate existing connections along the Riverfront, Lakefront and to Grant Park at the lower level. The light dotted arrows identify existing pedestrian movement along the major streets at the upper level.

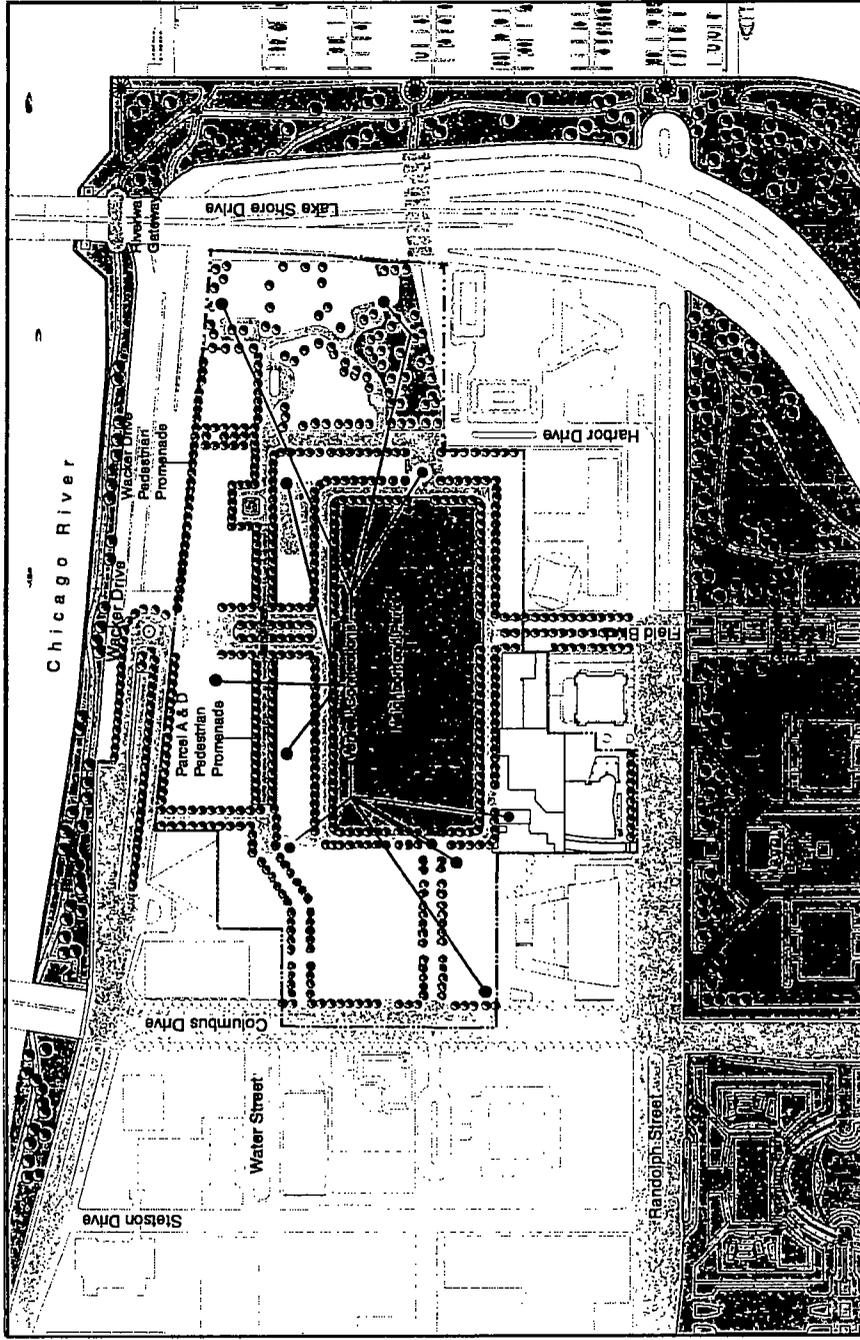


Maximize connectivity to surrounding development and create a permeable neighborhood

NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

Open Space

- Create a large neighborhood park as the focus of Lakeshore East
- Create smaller courtyards between buildings.
- Connect the open spaces in Lakeshore East to Grant Park, the Chicago Riverwalk and the lakefront park system
- Create well landscaped 'green' streets.



Develop a new public park, smaller courtyards between buildings and create landscaped green streets.

NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

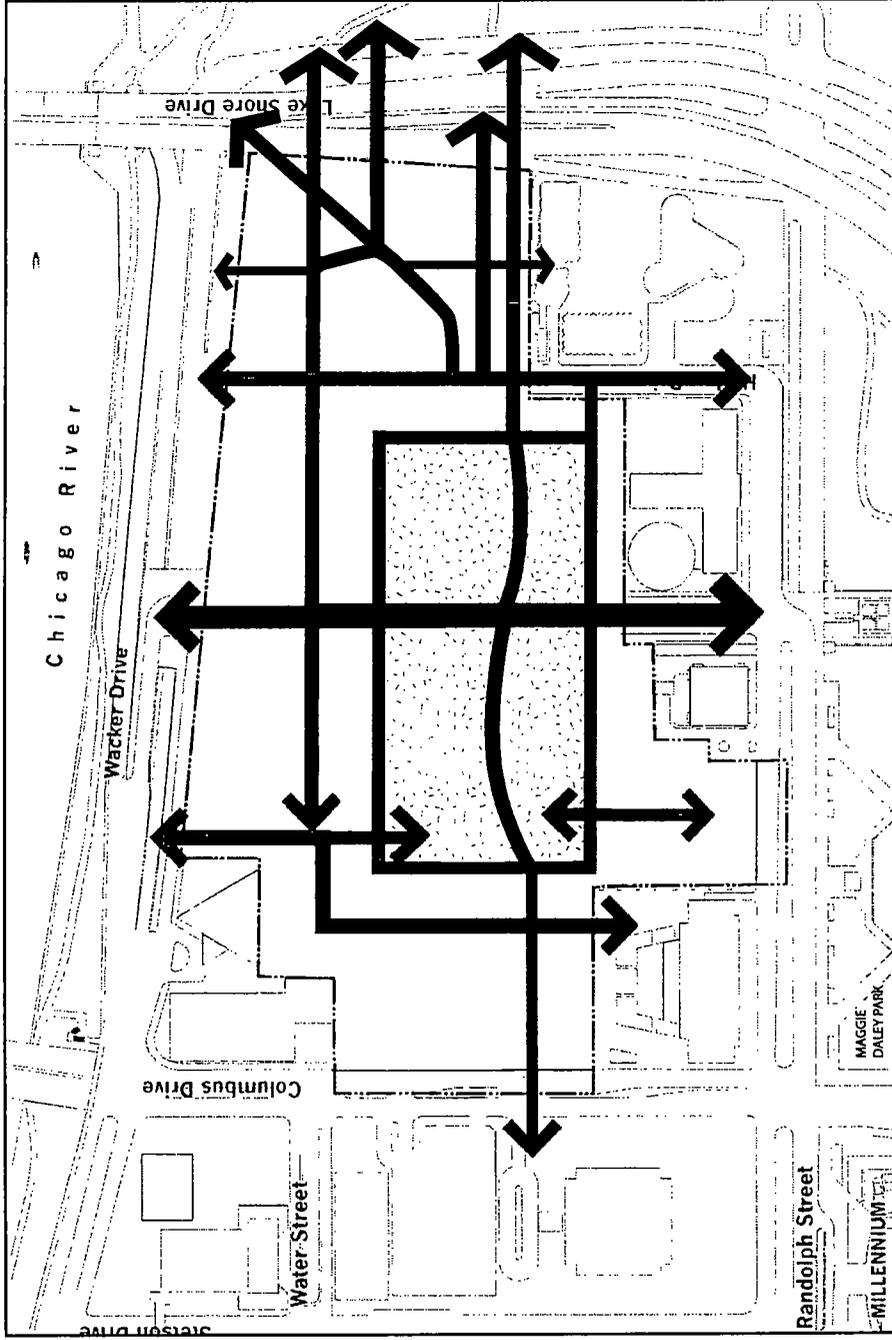
View Corridors

- Ensure a visually permeable neighborhood
- Create east / west and north / south view corridors
- Maximize views from existing residences
- Place tall buildings at the northern edge of Lakeshore East
- Maximize views to the central park
- Create appropriate building separation to allow for views through the development

Diagram Legend:

The large arrows and thicker lines represent the major view corridors of the site.

The smaller arrows represent the secondary view corridors of the site.

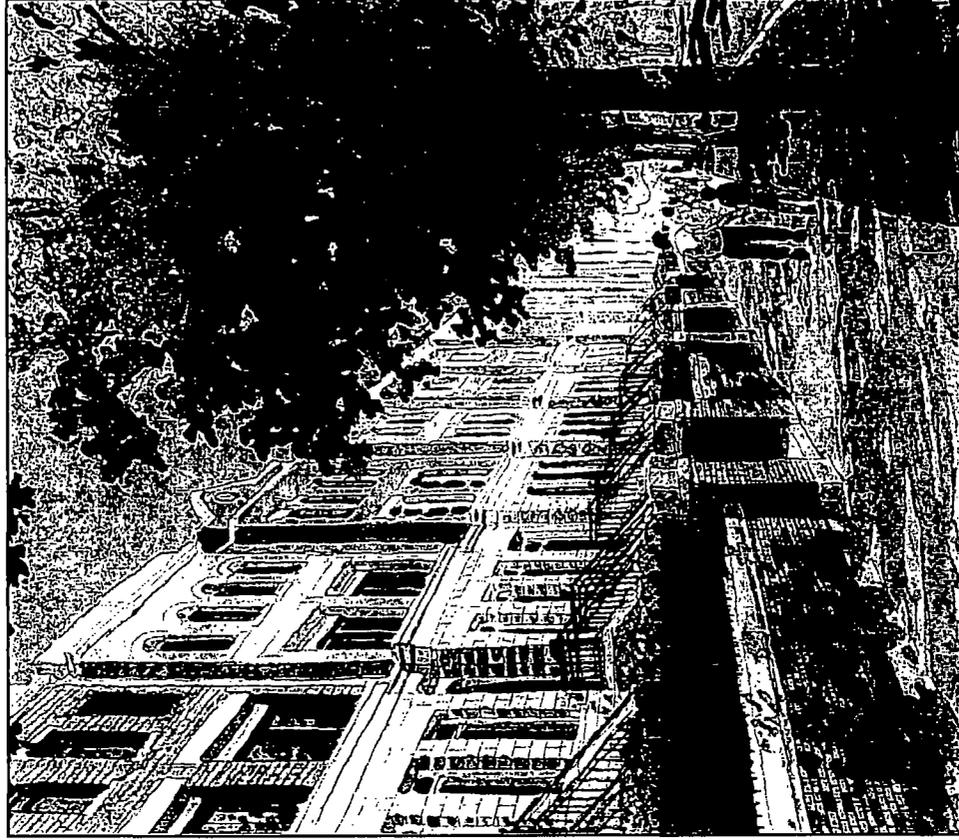


NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.



3.0 Development Summary

- Development Framework
- Bulk Regulations and Data Table
- Proposed Parcelization Plan - Upper Level Plan
- Proposed Parcelization Plan - Intermediate Level
- Proposed Parcelization Plan - Lower Level
- Generalized Land Use Plan
- Utility Easements
- Easements



Introduction

The master plan for Lakeshore East establishes a framework of 7 development parcels. These development parcels are defined by a network of addressing streets at various levels. Parcel dimensions have been established that will allow for flexibility in accepting a variety of land uses and building types.

The intent of the master plan is to develop a new, vibrant urban district that promotes a collective image for Lakeshore East. This may be achieved through a consensus that is based on the following principles :

Land Use

- New development should be compatible with neighboring uses.
- Locate Office uses at the western portion of the site, adjacent to existing office buildings, office could locate along Wacker Drive limited by the location of the upper level roadway of Wacker Drive
- Locate residential uses adjacent to and within views of the neighborhood park, Chicago River, and / or Lake Michigan
- Provide a future school site within the park

Parcelization

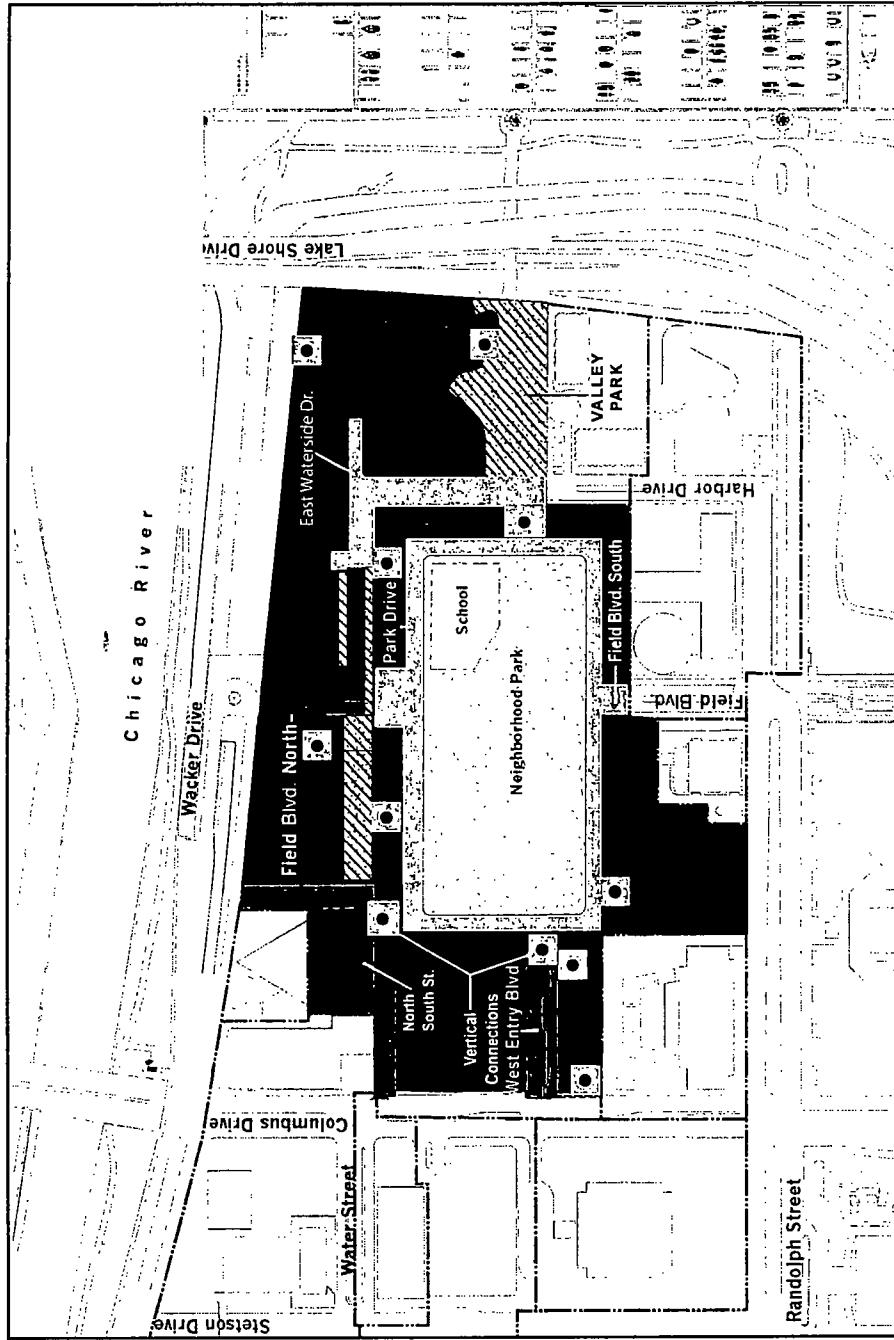
- Ensure the creation of an urban framework of streets and blocks
- Allow for future development flexibility

Development Plan

- Locate taller buildings with a sensitivity towards existing view corridors from neighboring buildings
- Frame the neighborhood park with townhomes or low-rise development with taller buildings beyond.

Parking

- Maximize on-street parking
- Screen all structured parking from view
- Develop below grade parking lined with townhomes which front onto the park



Public Open Space

R.O.W.

Net Developable Area (Upper Level)

Public Street Not Dedicated

Public Street Dedicated

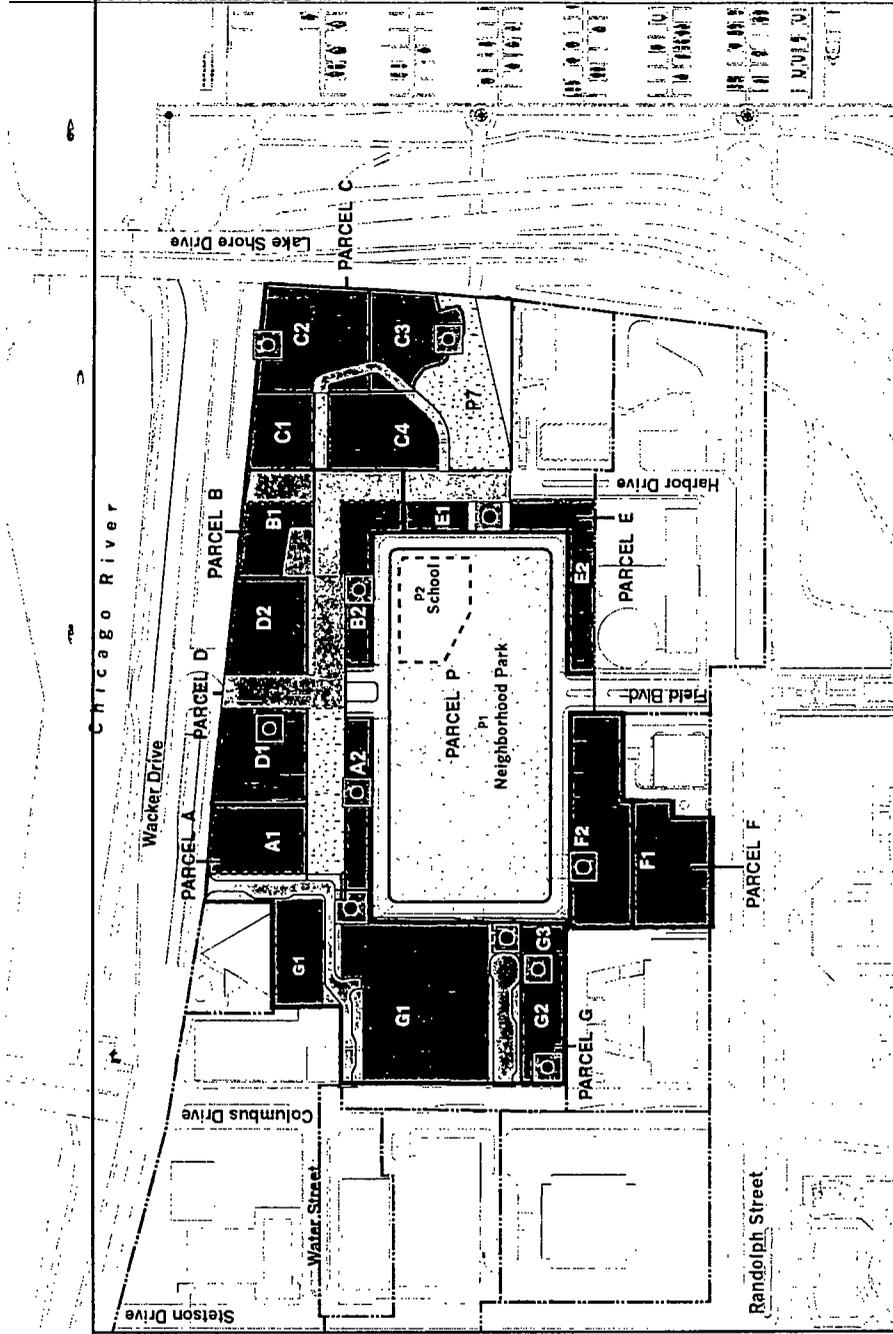
Vertical Connection

Non-Dedicated Public Space

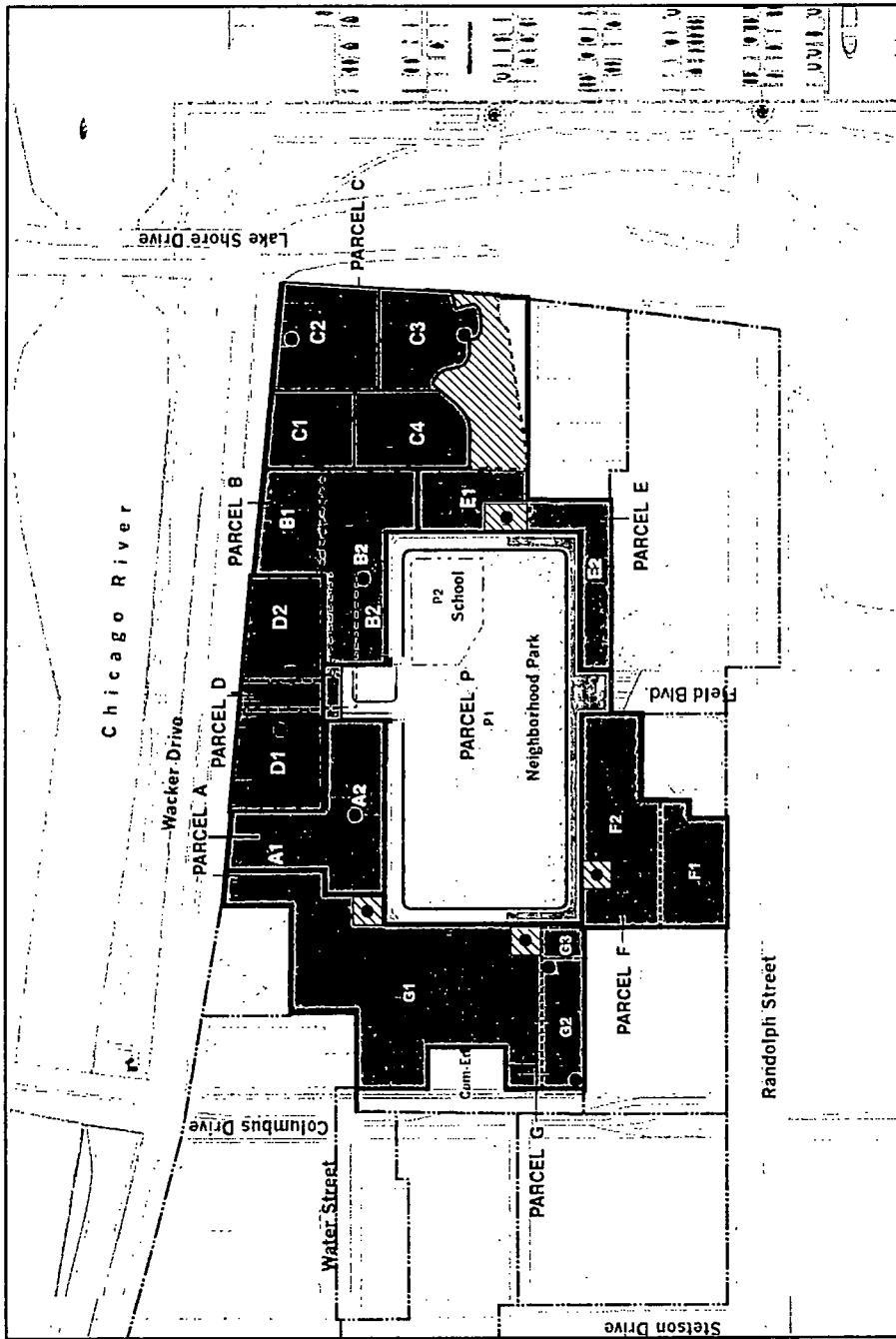
NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations. ** Does not include Parkway portion of Ring Road in R.O.W.

Subarea E Parcelization Plan - Upper Level

- Adjustments to the final location of parcel boundaries may occur as specific building designs are submitted for further review by the city.
- Sub-parcels may be combined into single parcels, or new sub-parcels may be created, however, the maximum building envelopes must be respected.
- All parcel or sub-parcel modifications are subject to administrative review and approval by the Department of Planning and Development.



NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.



Vertical Connection

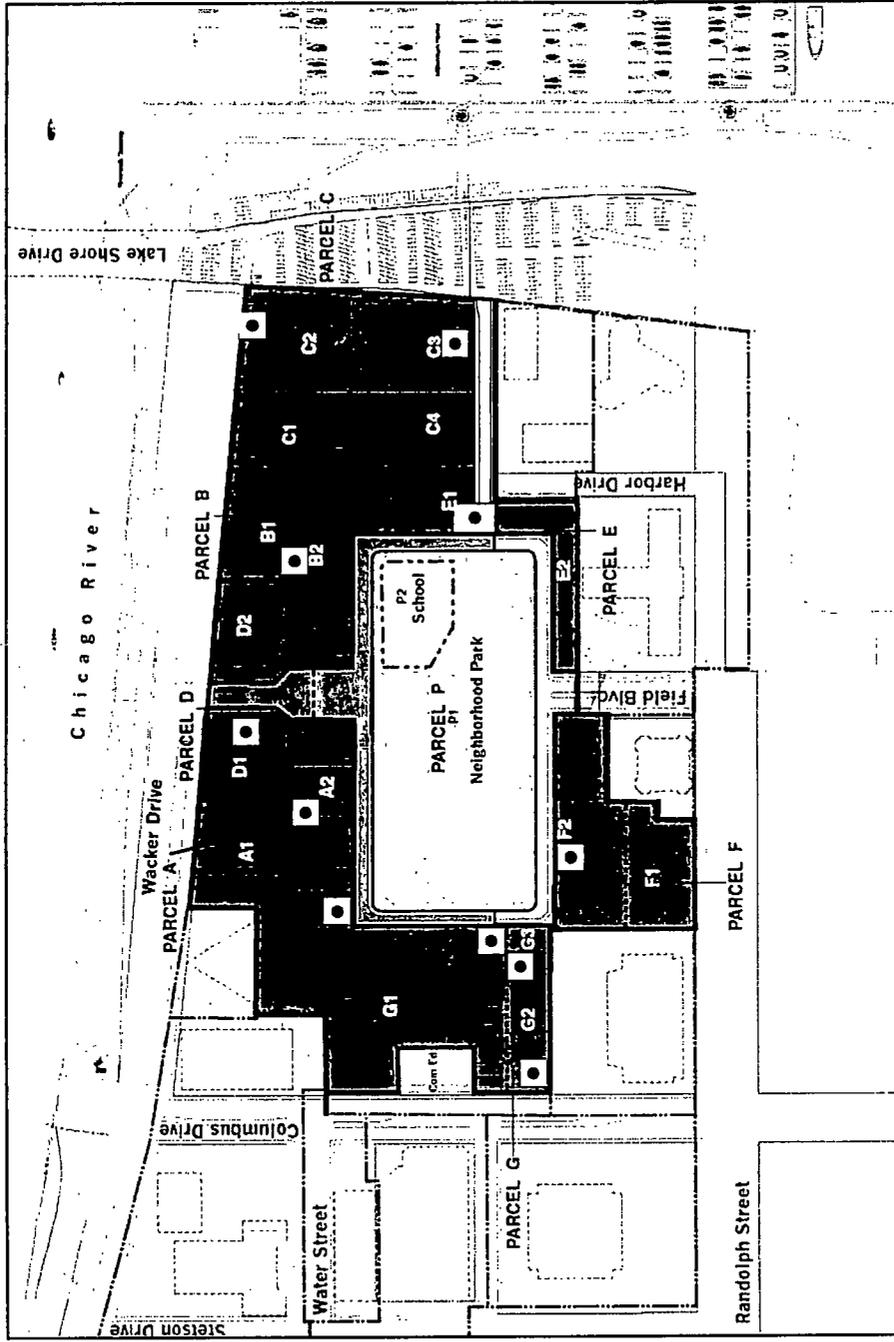
Non-Dedicated Public Space

Development Parcel

R.O.W.

Public Open Space

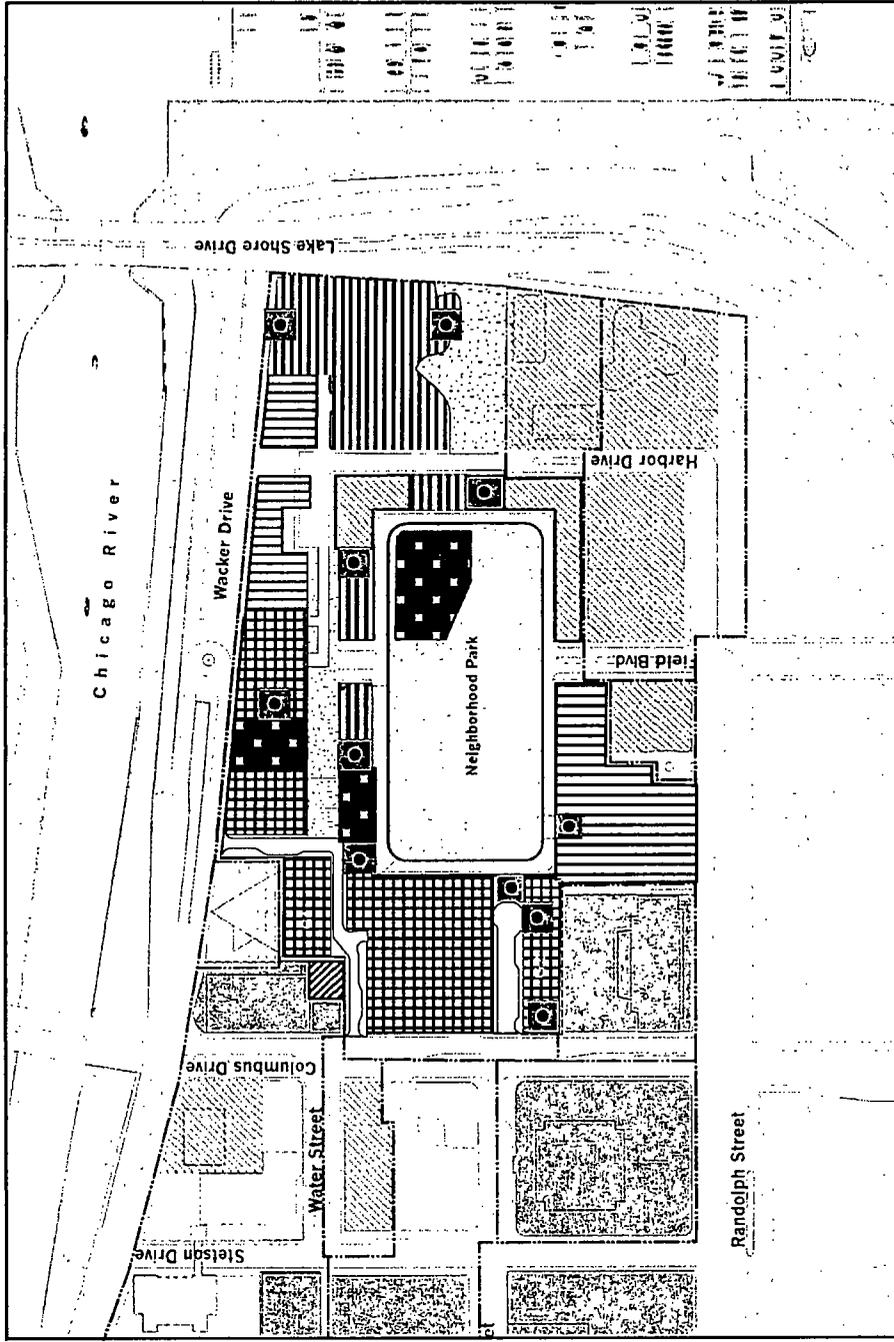
NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.



NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

Land Use Plan

- Future Land uses shall be compatible with existing adjacent land uses

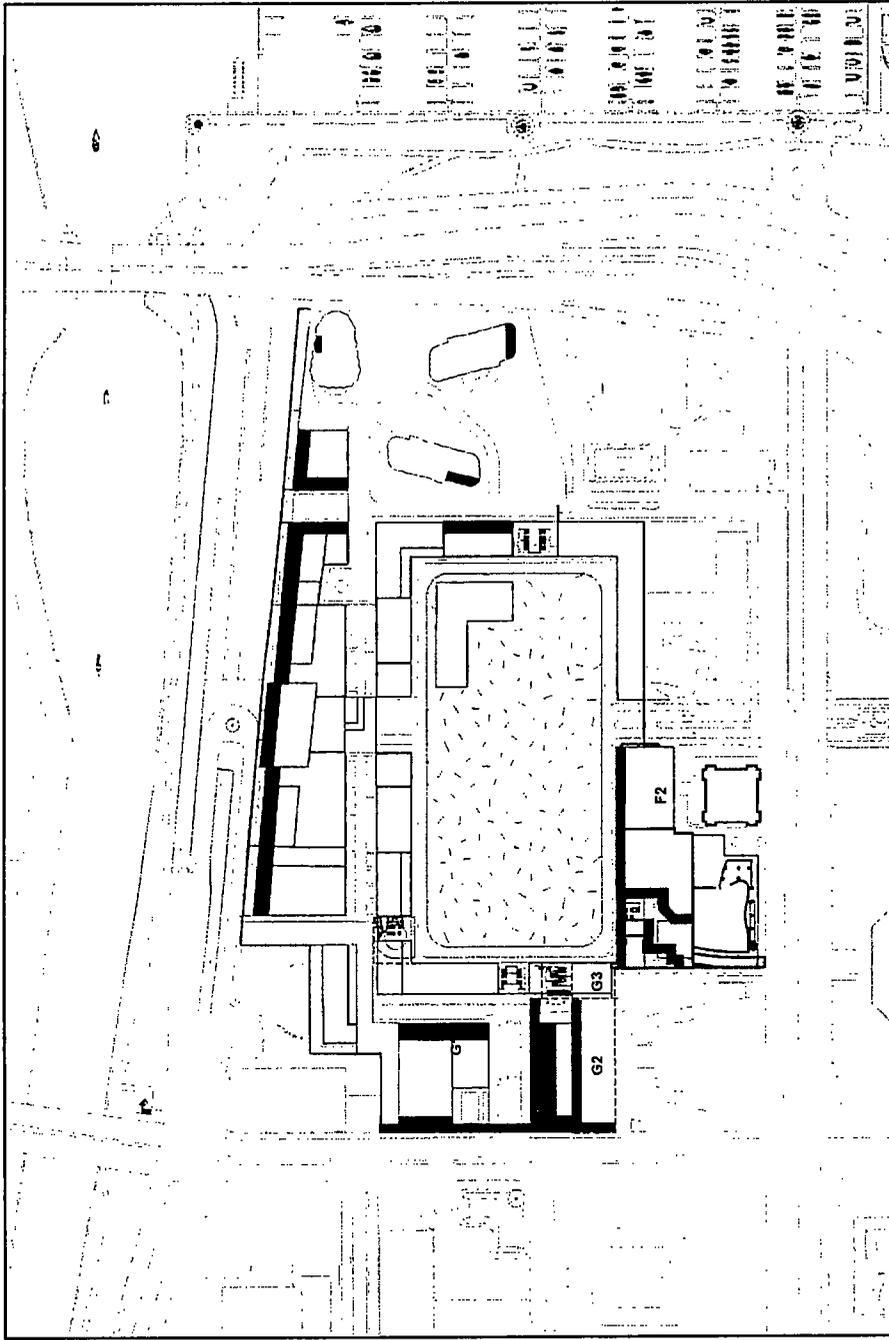


* per minor change letter dated 10/24/01, District Electrical Facilities will also be considered as an allowable use of developable land.

NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

Proposed Retail Frontage - Upper Level

- Non-residential or mixed-use buildings should maximize retail uses at the ground floor addressing the street or pedestrian access.
- For residential buildings, neighborhood-serving retail at the ground floor is strongly encouraged.
- For buildings along Upper Wacker Drive and Harbor Drive, neighborhood serving retail at the ground floor is strongly encouraged.

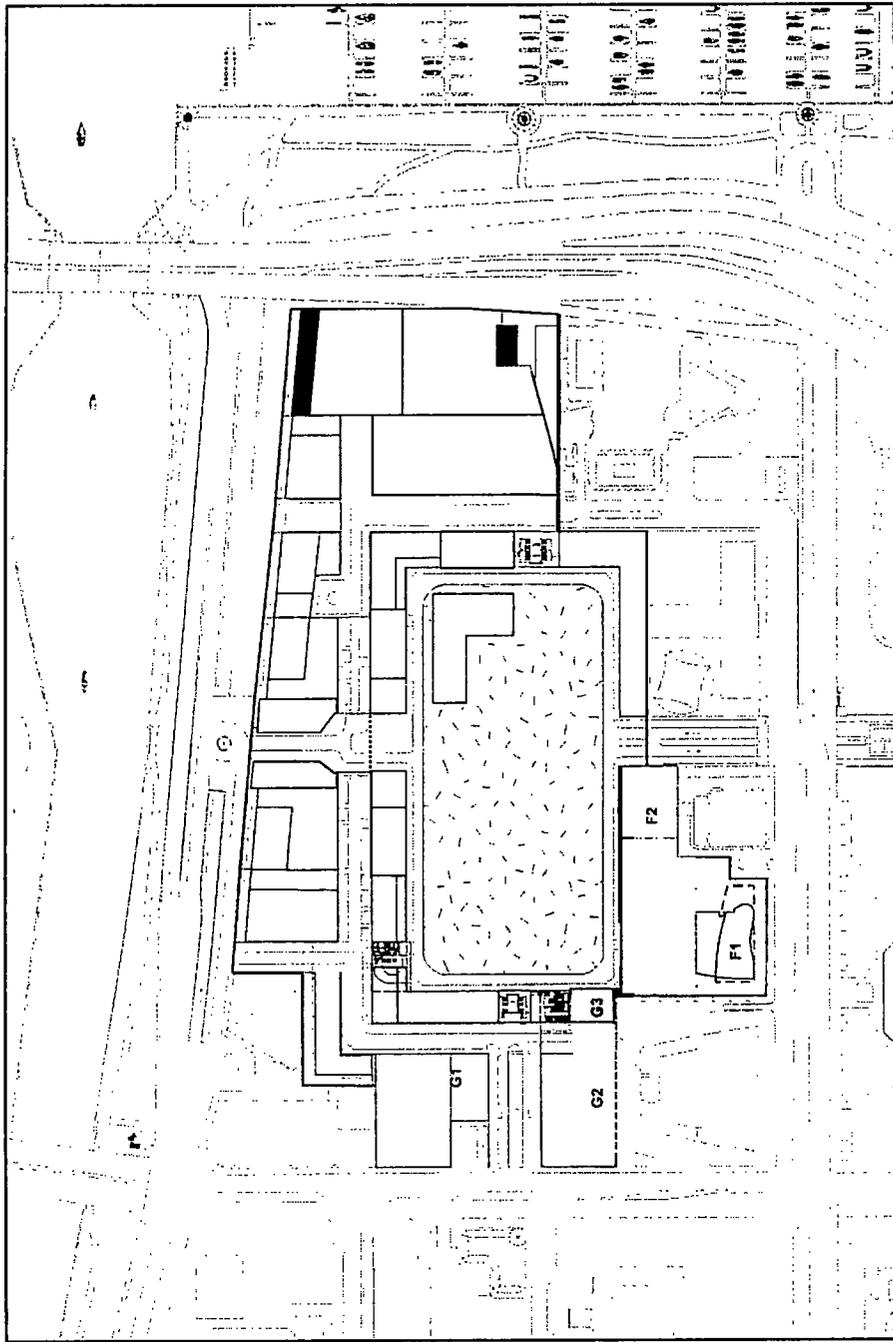


**Suggested
Retail Locations**

Note: These plans are diagrammatic in nature and are only intended to show suggested, not required, retail locations.

Proposed Retail Frontage - Lower Level

- For the taller buildings along the Park Drive, neighborhood serving retail at the ground floor is strongly encouraged.



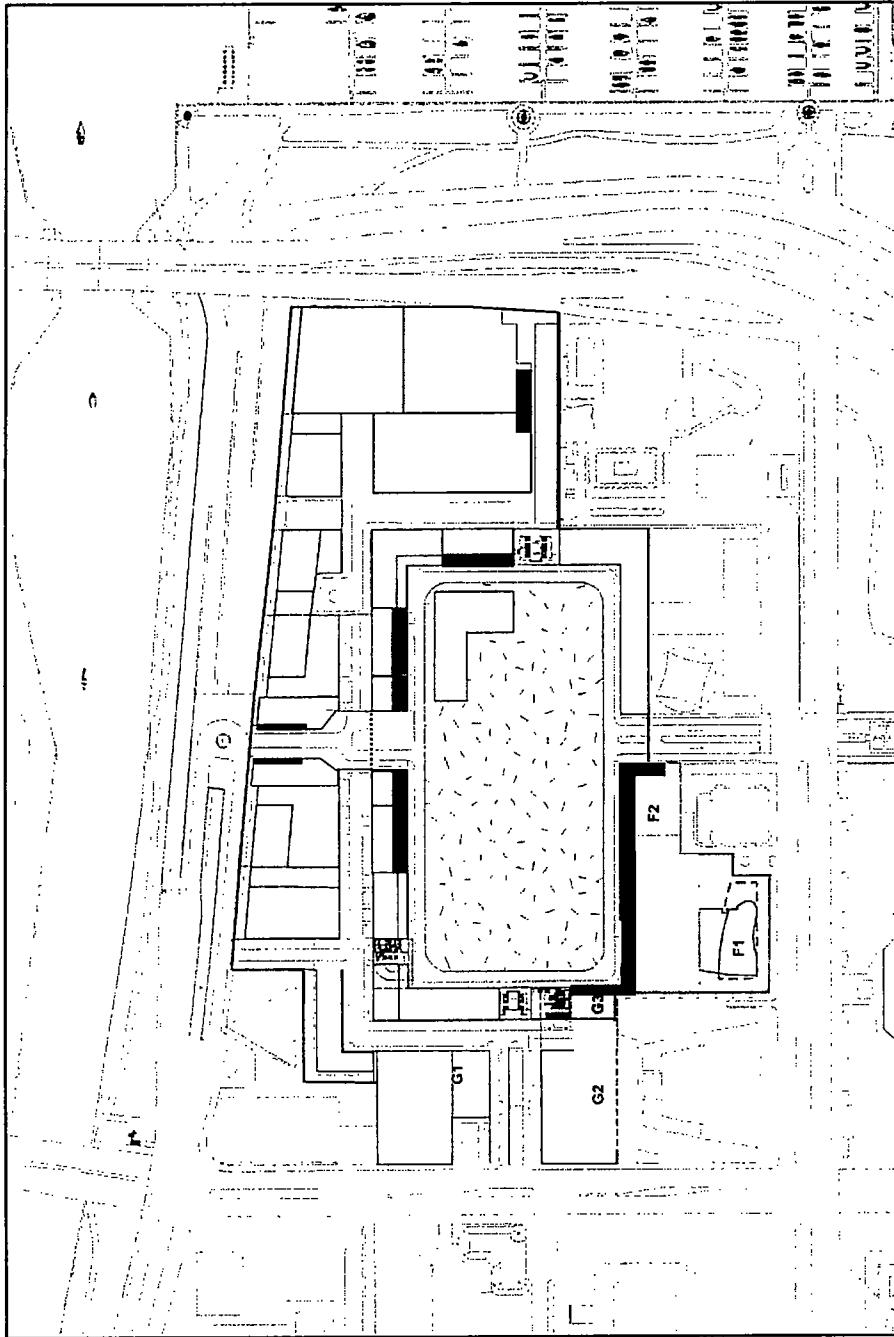
Suggested
Retail Locations



Note: These plans are diagrammatic in nature and are only intended to show suggested, not required, retail locations.

Proposed Retail Frontage - Lower Level

- For the taller buildings along the Park Drive, neighborhood serving retail at the ground floor is strongly encouraged.

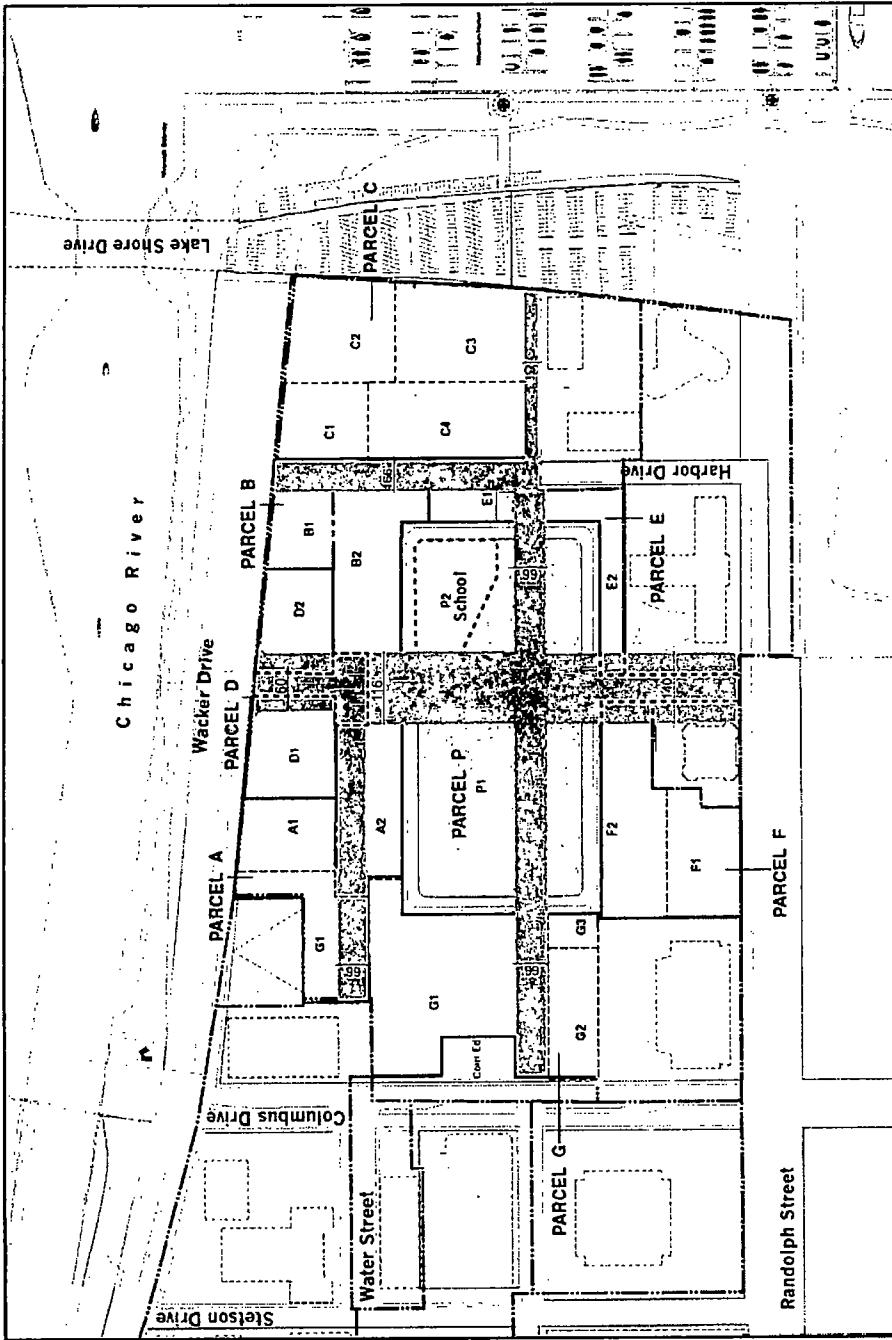


Suggested Retail Locations

Note: These plans are diagrammatic in nature and are only intended to show suggested, not required, retail locations.

Existing Utility Easements

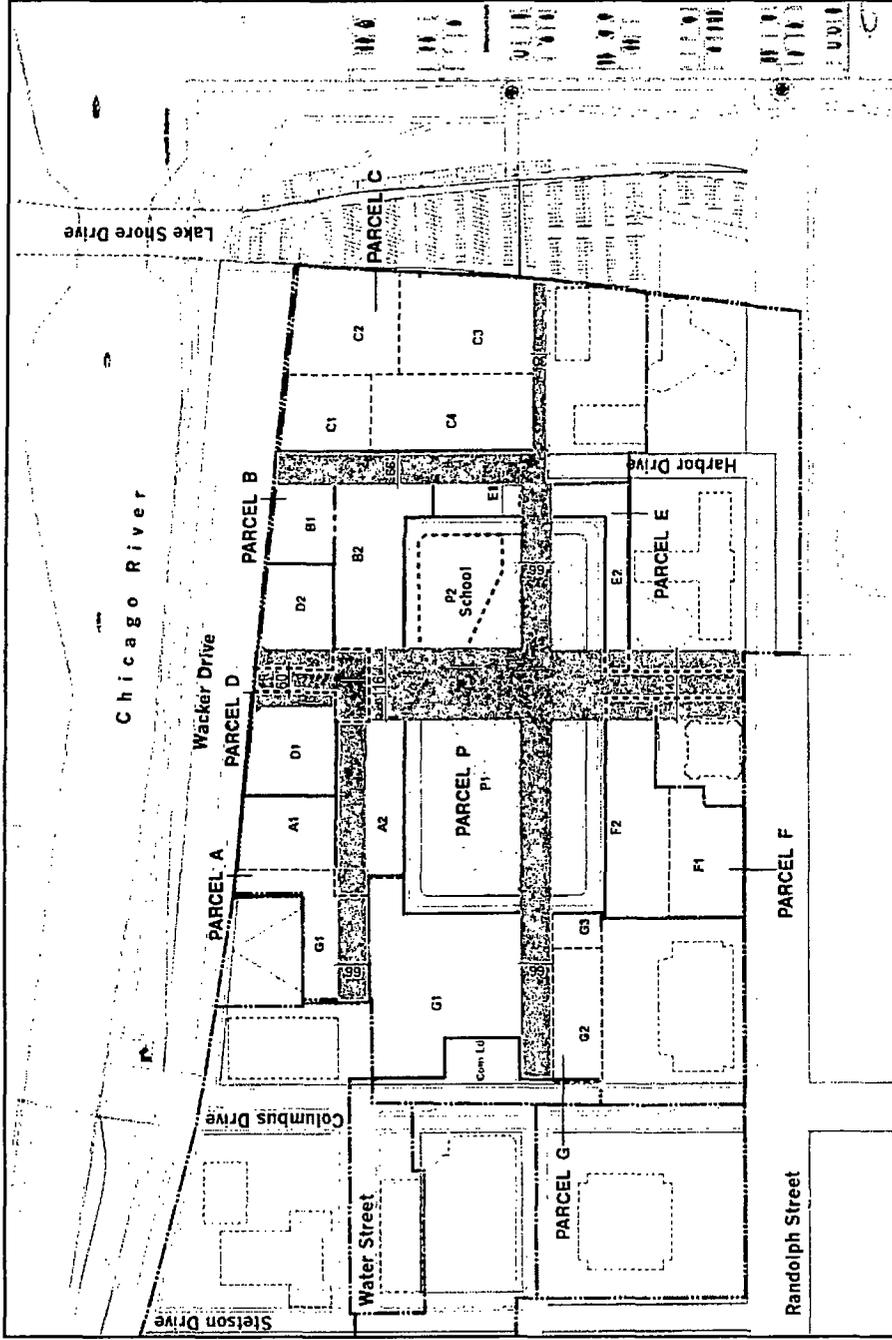
- Some existing utilities and utility easements will be required to be relocated and / or vacated subject to administrative review and approval of the Department of Planning and Development.



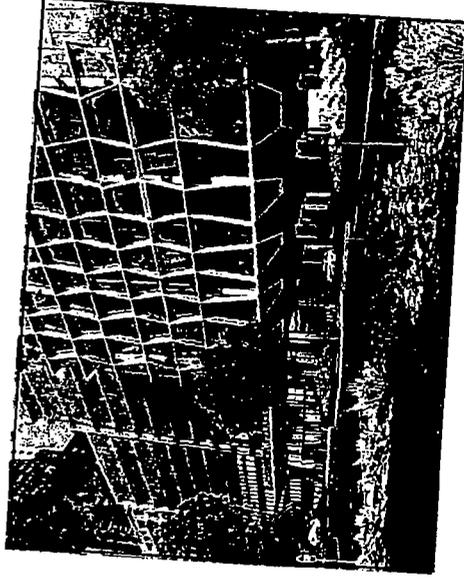
Utility Easements

NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

New Utility Easements

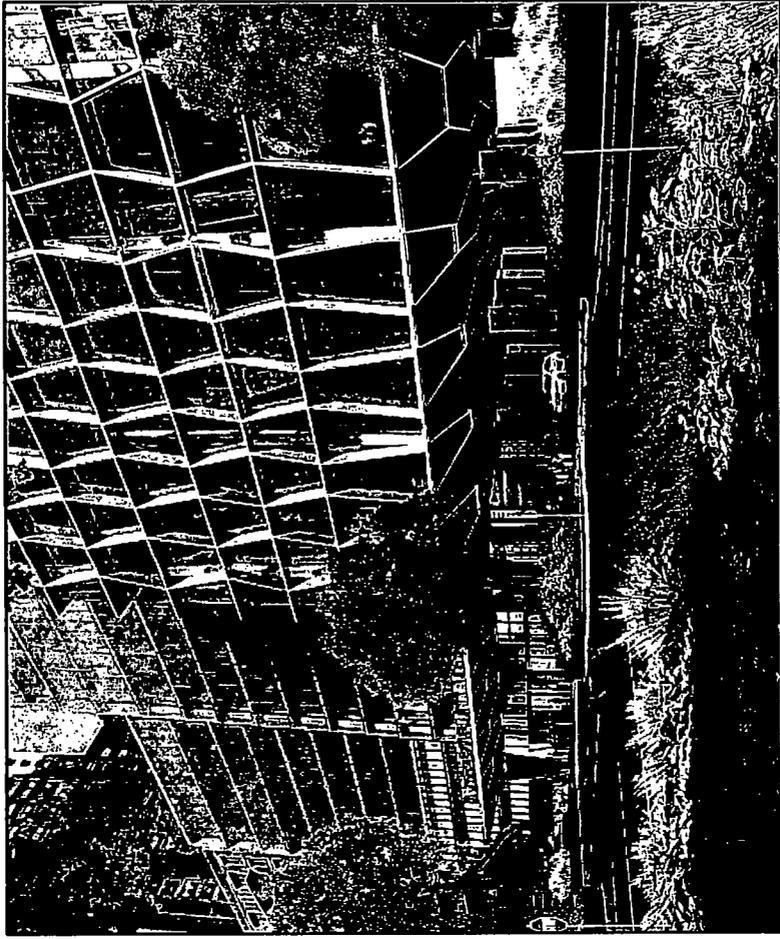


NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.



4.0 Access and Circulation

- Vehicular Access and Rights-of-Way
- Automobile Entrance Zones / Parking Access
- Pedestrian Access
- Right of Way Criteria



Introduction

The family of streets identified within this master plan establishes an identity and character for this neighborhood. These street types address the complexities of the site and the different levels, while emphasizing the pedestrian character of the development. The framework of streets and sidewalks has been designed to provide separate vehicular and pedestrian paths, while ensuring an active and energetic street environment. Pedestrian safety and easy access between the different levels must also be ensured throughout the plan.

Gracious sidewalk widths, ground level activities and distinctive landscape treatments will create a strong pedestrian environment for the neighborhood.

Each of the streets has been designed to serve equally as an open space amenity and a vehicular travel zone. The local streets lead to and link the new public park to each major block. This concept is strengthened by the provision for interior courtyards and other public spaces that directly link to the main pedestrian paths.

The following street types and sections respond to the anticipated levels of vehicular traffic within the neighborhood. They also establish a connected network of intimately-scaled, pedestrian oriented streets. These guidelines address the following principles:

Streets

- Create well-scaled, tree-lined streets which strengthen the pedestrian environment
- Extend Harbor Drive north in order to complete the local residential street system
- Ramp Field Drive down from upper Randolph Street and connect it to a new Park Drive
- Ringing the park at the natural grade level
- Provide a new internal street at the upper level to provide multiple access and address points for the western parcels

Parking Access

- Provide convenient access from the upper intermediate, and lower levels
- Locate parking access mainly within interior courts or side streets

Service Access

- Locate service access at the lower level
- Utilize utility easements for service access

Pedestrian Access

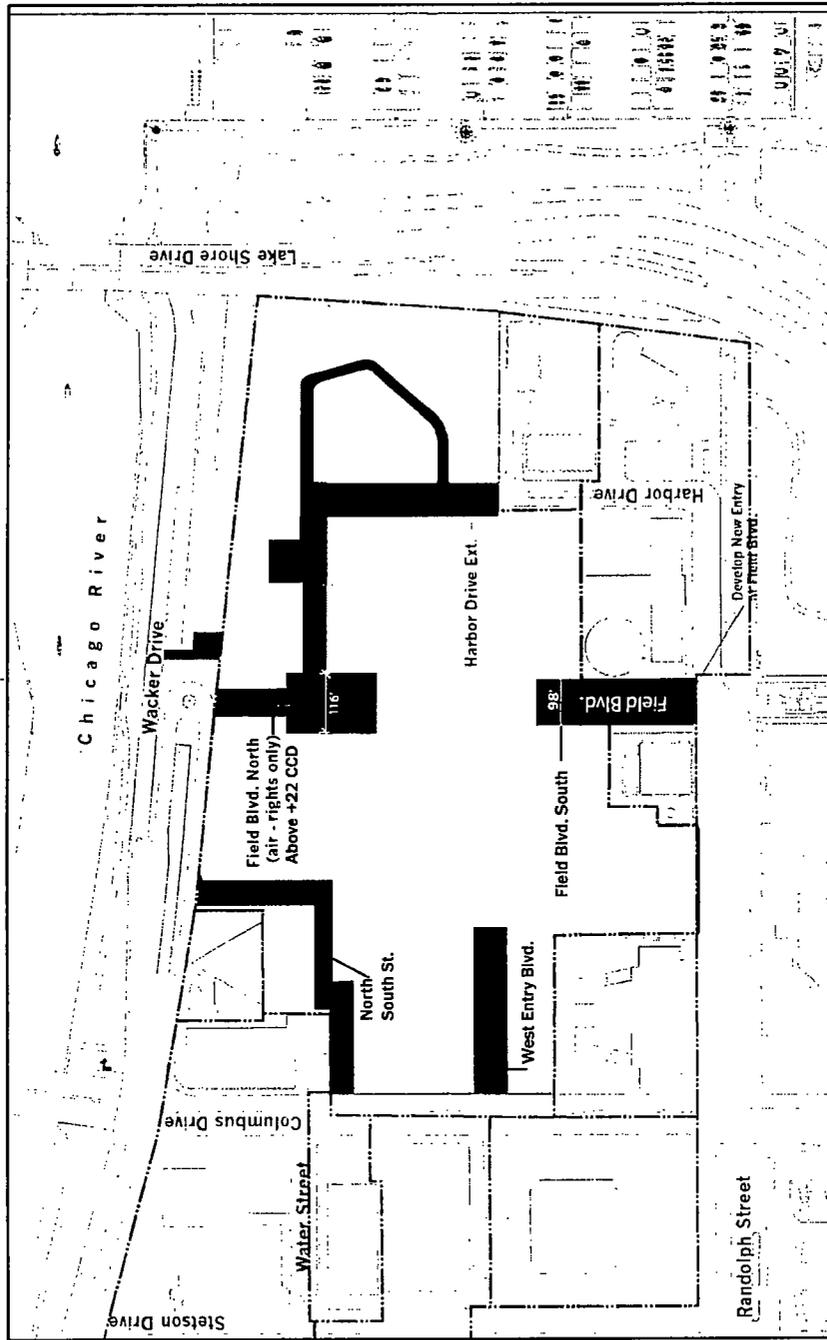
- Provide clear pedestrian links to the Chicago River and Lake Michigan
- Provide convenient access between the upper and lower levels
- Provide handicap access throughout the development
- Extend and connect the Pedway to the park

Emergency Access Easements

- Emergency Access easements will meet all Chicago Fire Department requirements

R.O.W. Adjustments - Upper Level

- The upper level is intended to serve local neighborhood traffic only
- Access to the Park Drive occurs from a connection to Upper Randolph via a sloped Field Boulevard.



- New R.O.W. to be Dedicated
- Existing Dedicated R.O.W. to Remain
- Public Street Not Dedicated

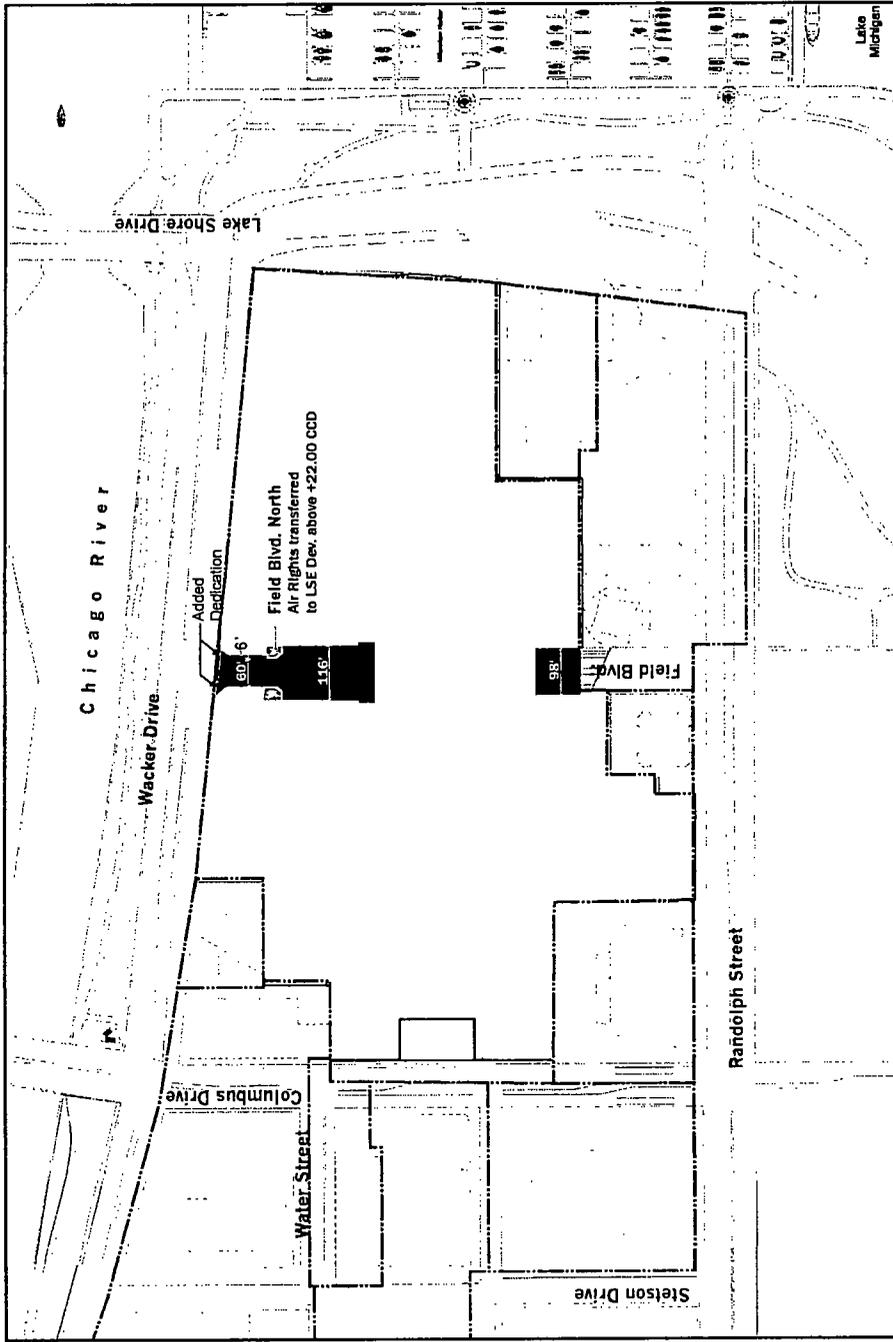
Note: Refer to Street Criteria Drawings for new R.O.W. dimensions & criteria

NOTE:

1. Various Non-Roadway Portions of Upper Level Right of Ways may contain ventilation grates and structures in order to accommodate Lower Level Uses (e.g., parking garages, public, quasi-public and private utilities, etc.)
2. These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

R.O.W. Adjustments - Intermediate Level

- No new roadway connections to Wacker Drive or Randolph St. are proposed at this level, in order to minimize thru-traffic.



 R.O.W. to be Vacated

 New R.O.W. to be Dedicated

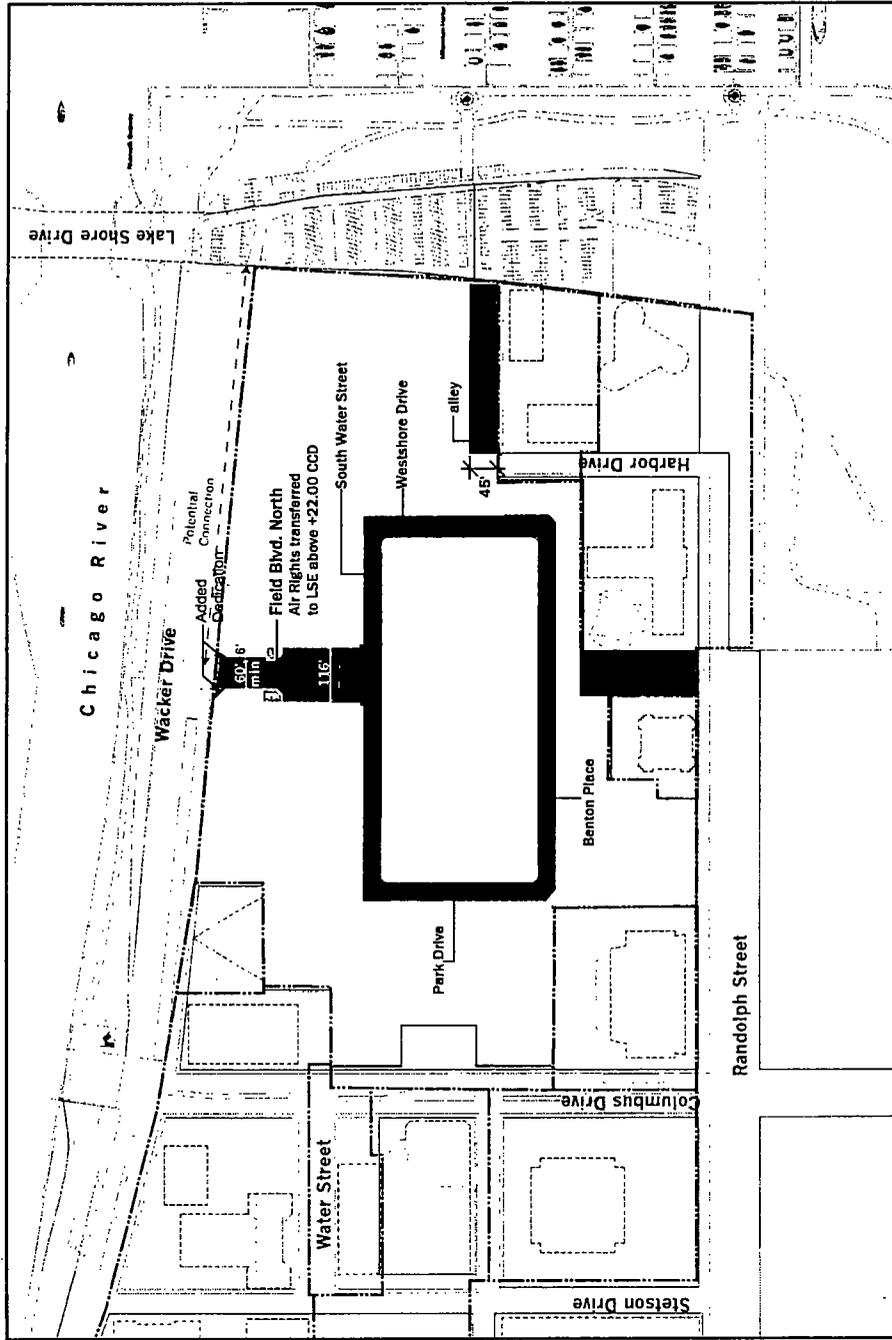
 Existing Dedicated R.O.W. to Remain

Note: Refer to Street Criteria Drawings for new R.O.W. dimensions & criteria

NOTE: These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

R.O.W. Adjustments - Lower Level

- The Park Drive will ring the neighborhood park with one way through traffic at the lower level
- A connection to Lower Wacker Drive via Field Blvd. North will allow a second means of access / egress to the lower level



 R.O.W. to be vacated
  New R.O.W. to be Dedicated
  Existing Dedicated R.O.W. to Remain

Note: Refer to Street Criteria Drawings for new R.O.W. dimensions & criteria

NOTE: These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

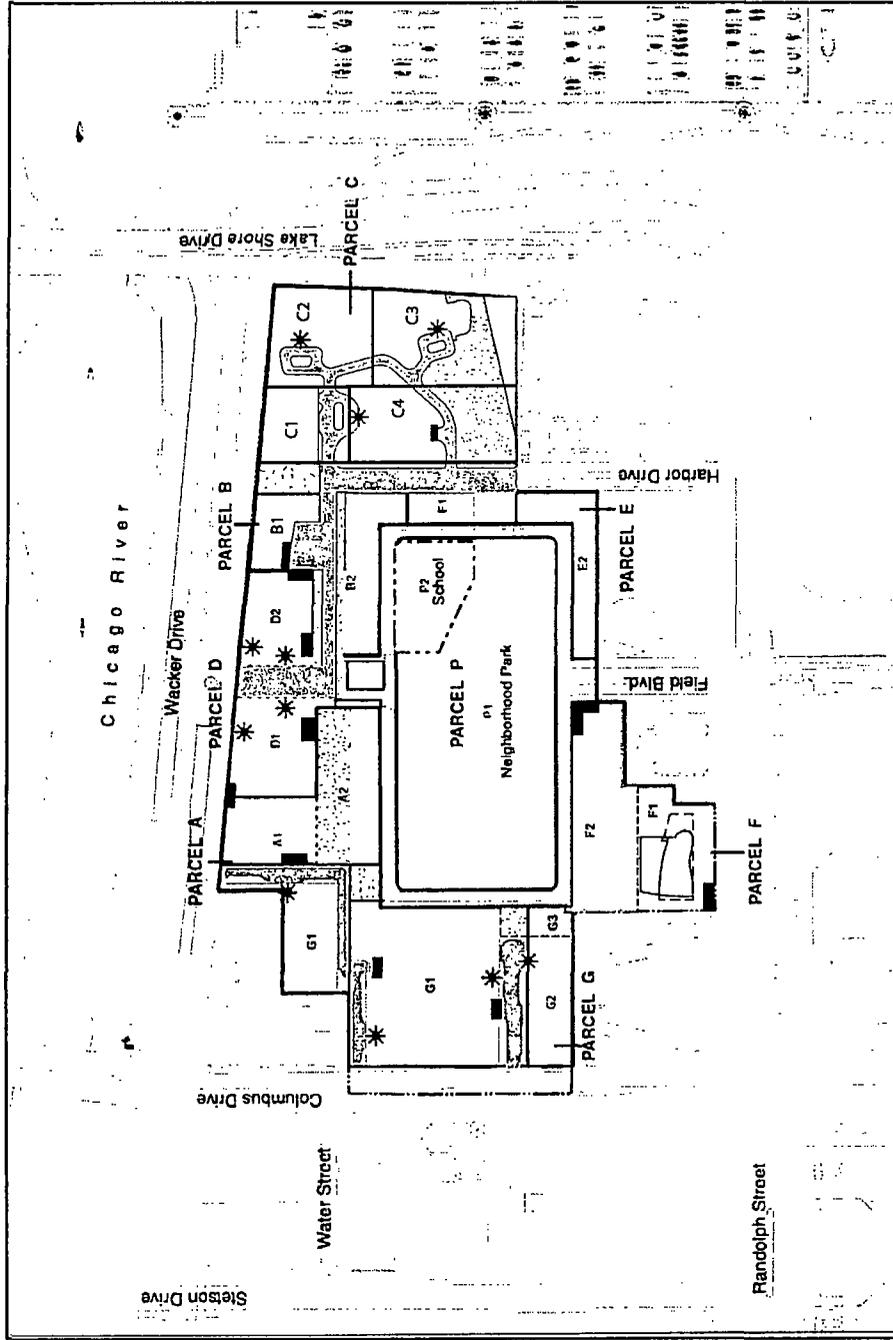


Automobile Drop-Off Zones

- Automobile Drop-off zones at building entries should be conveniently located, yet discreet.
- Curb-side drop-off zones are preferred. Large vehicular turn-around interrupting pedestrian sidewalks should be avoided.

Parking Entries

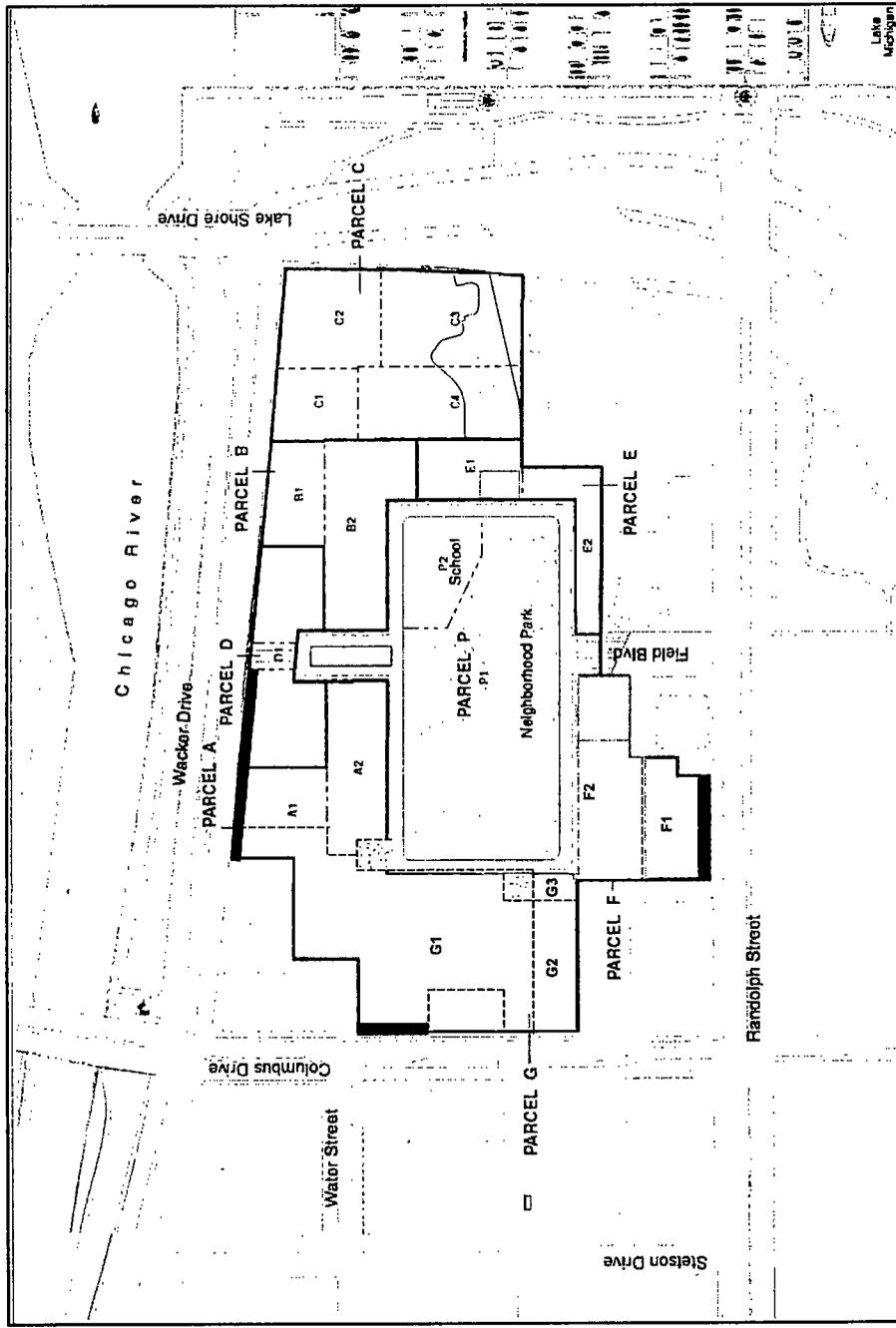
- Entries to parking garages should be incorporated & integrated into the facade of a building.
- Large uncovered ramps within the sidewalk zone or open spaces should be avoided.
- Where parking access traverses a pedestrian access, the paving material should be consistent w/ the adjacent pedestrian sidewalk paving.



- Allowed automobile entrance zones
- * Drop Off Zones
- Privately Held Public Open Space

NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required automobile entrance zones.

Automobile Entrance Zones - Intermediate Level



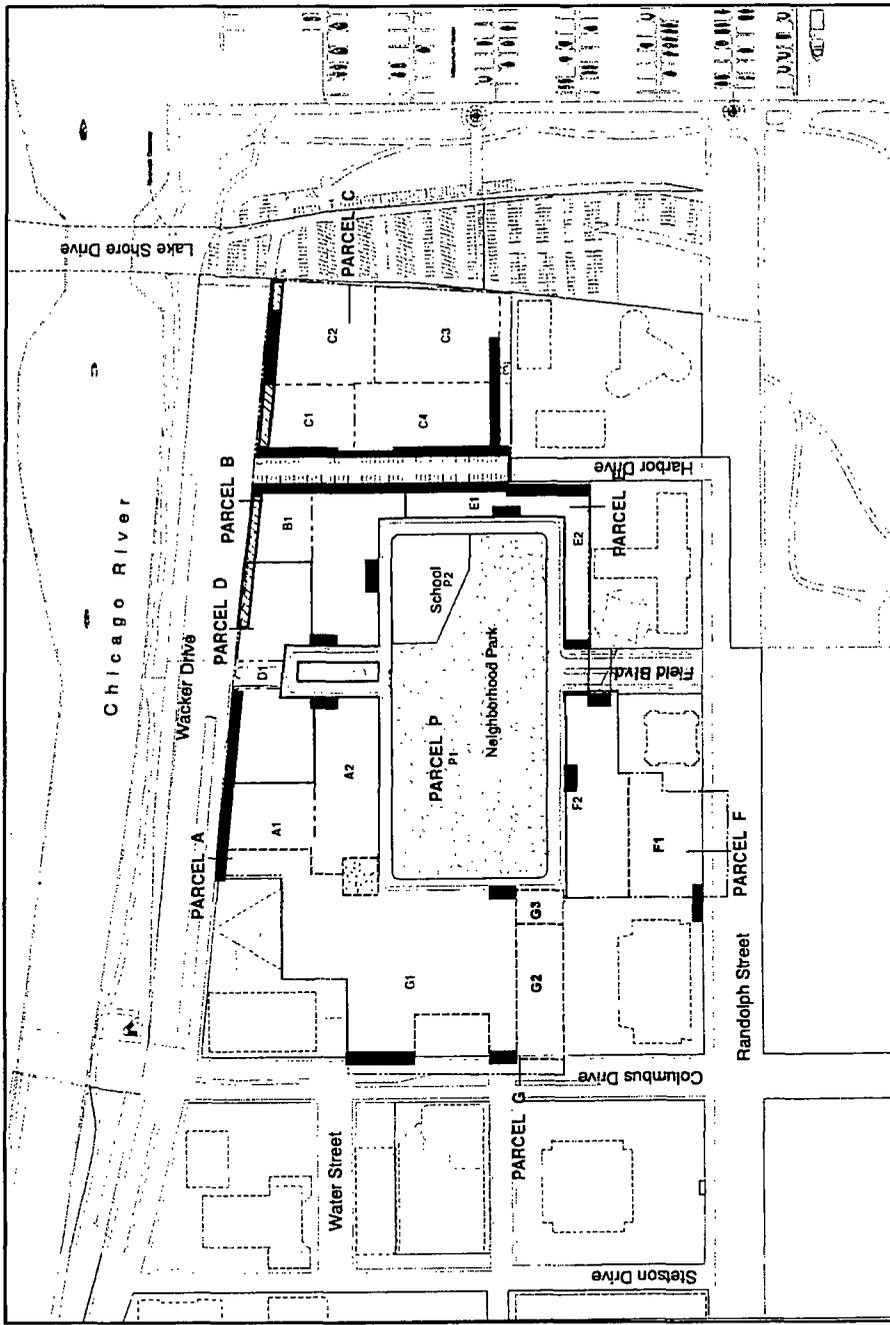
- Allowed automobile entrance zones
- - - Privately Held Public Open Space

NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required automobile entrance zones.

Automobile Entrance Zones - Lower Level

Service Vehicular Access

- The lower level is where all service activity will take place. Both access to and from the site will take place on this level.
- Service access can feed off the existing utility easements at this level.



▨ Potential Driveway entry zone
(requires extension of a portion of Lower Wacker Drive)

▬ Allowed automobile entrance zones



NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required automobile entrance zones.

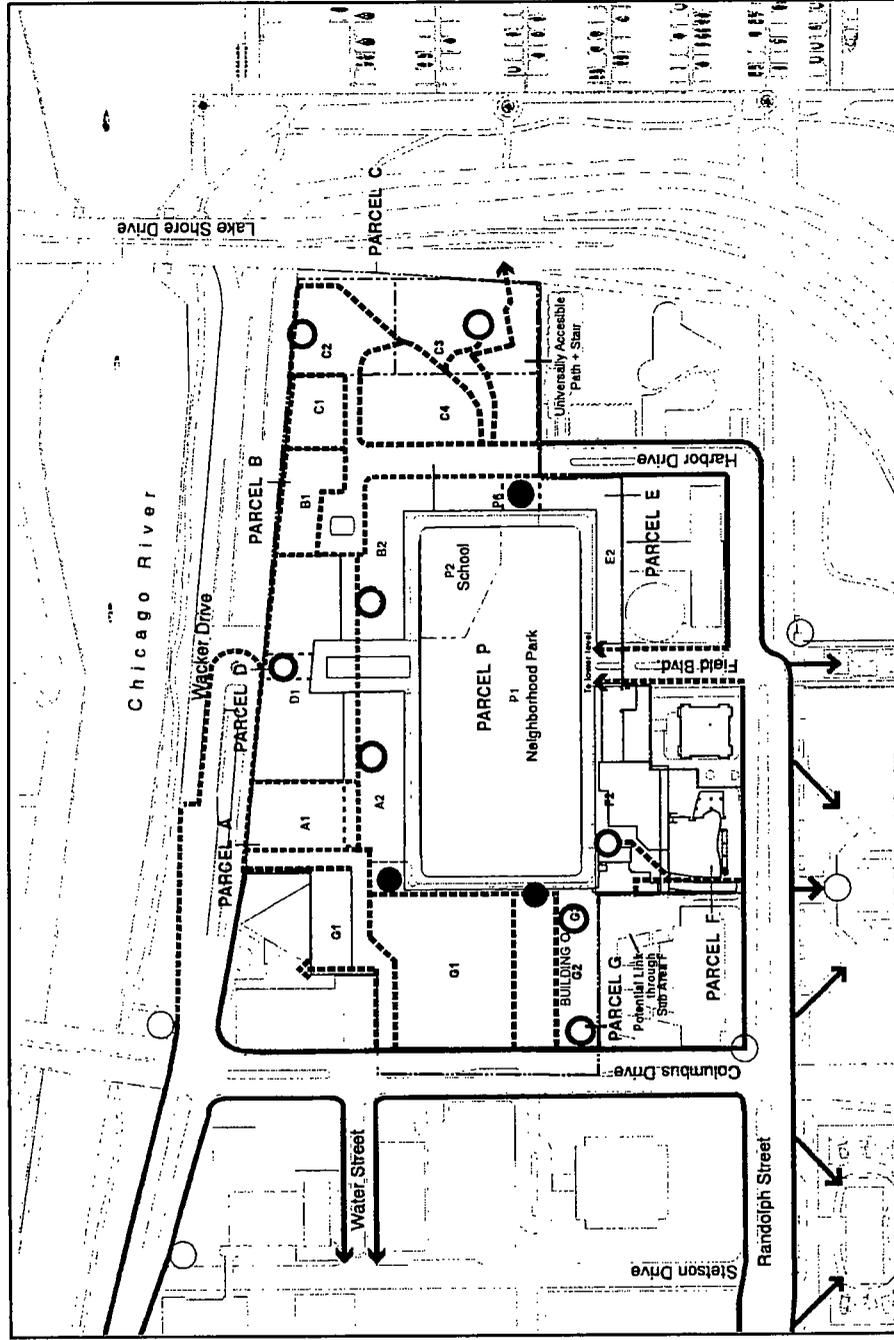
Pedestrian Walkway System - Upper Level

Connections to Grant Park

- Pedestrian Connections will exist on the upper level connecting to existing Grant Park Features such as the Daley Bicentennial Garage; Cancer Survivor's Park, and Millennium Gardens. These connections will adhere to the guidelines of both The City of Chicago Landscape Ordinance, and also those provided by the Chicago Park District.

Vertical Connections

- Vertical connections will also be provided, and will be handicap accessible.
- Private developable spaces may be integrated in and around the vertical connections, as long as public access is maintained to the elevators and/or stairs.

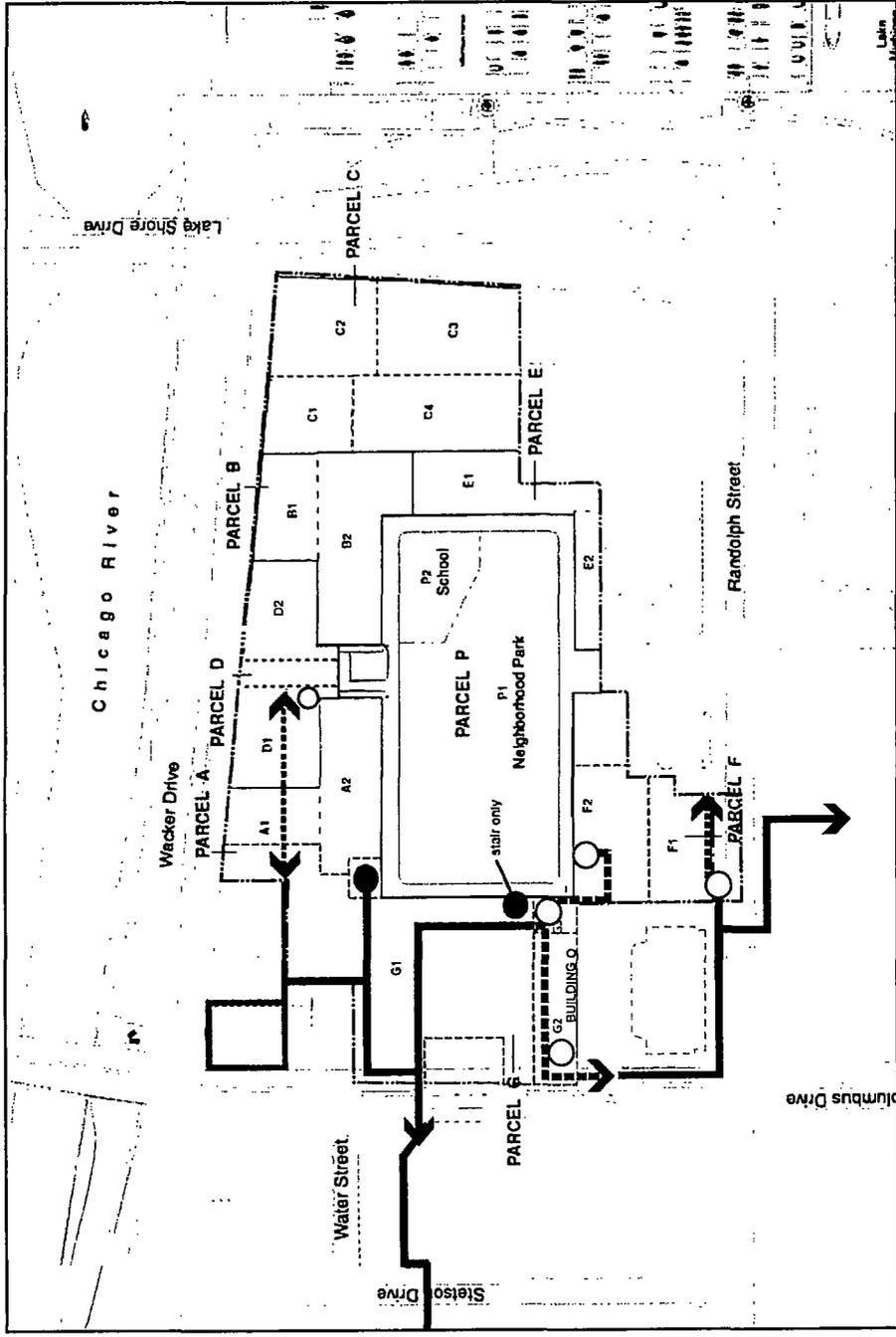


NOTE: These plans are diagrammatic in nature and they indicate the approximate location and the intent of the vertical connection, not the precise locations

Pedestrian Walkway System - The Pedway System

Connections to existing pedway system

- Within Subarea E, connections to the existing pedway system will be provided to sub-parcels A1, D1, F1, G1 and G2, as shown on the adjacent diagram. In general, the new connections maintain or exceed minimum requirements, and provide a minimum / maximum of 8'-25' walkway widths.
- Within other subarea, potential connections could feed into these proposed for Subarea E.

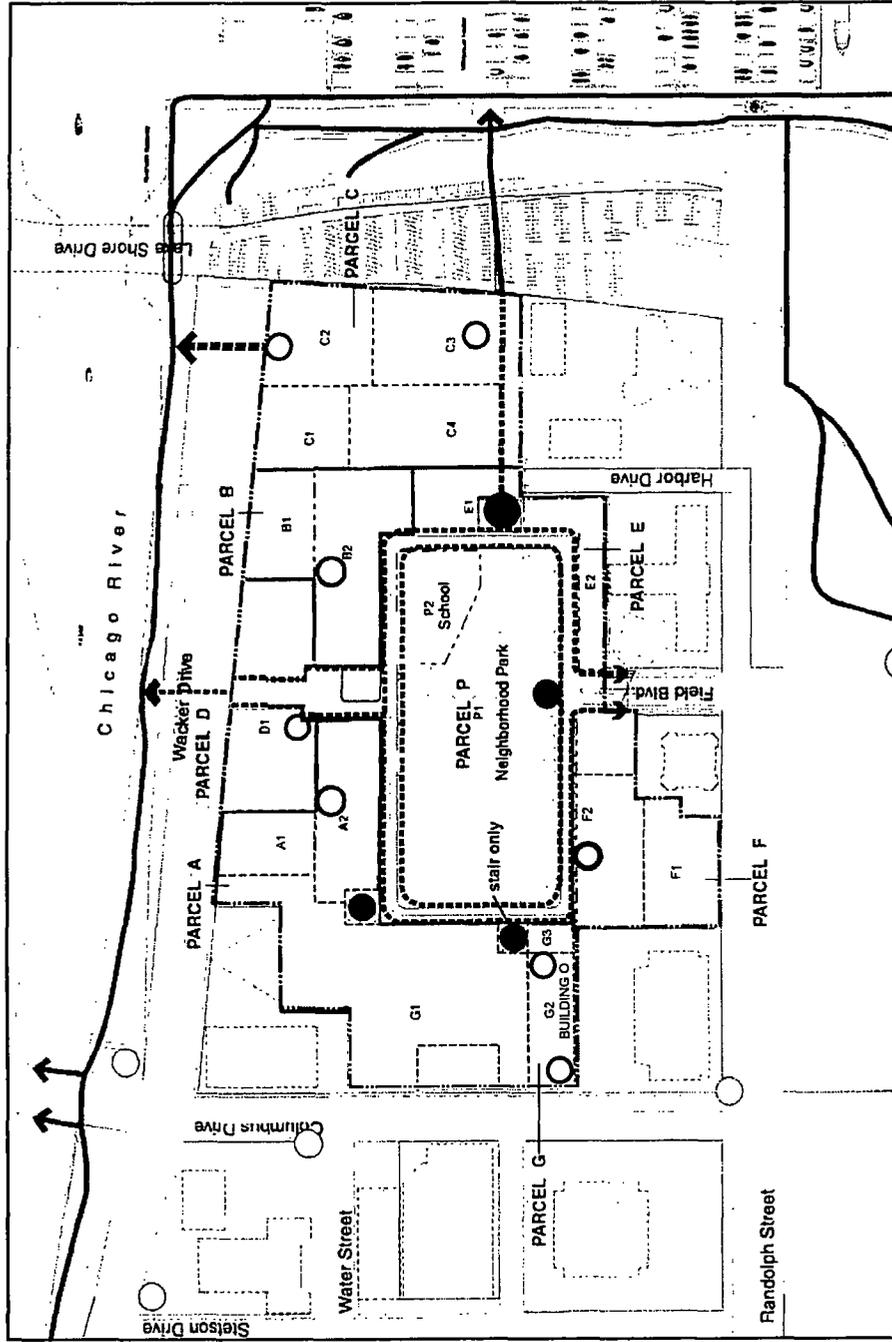


NOTE: This plan is diagrammatic in nature and is intended to show which parcels / sub-parcels should be connected to the parkway system, not the actual route for the connections.

Pedestrian Walkway System - Lower Level

Connections to the River and Lakefront

- Pedestrian Connections will be provided to both the lakefront and river at the lower level. These connections will be coordinated with The Chicago Park District.
- The character of the connections to the river and lakefront should be pedestrian friendly. Ornamental pedestrian fixtures should be provided. Adequate lighting levels for safety should also be provided. A safe marked zone for pedestrians should be created.
- Parking and sound should be screened from view of these pedestrian corridors to the greatest extent possible.
- Long large walls at the proposed underground pedestrian connection to the Lake and River should provide openings to active spaces when possible or be well-lit with articulated architectural details and potentially public art displays such as the existing Riverwalk Gateway at the southwest corner of the River and the Lake.



- Existing
- Potential Connections by Others (not part of Lakeshore East or Subarea E)
- Pedestrian Connections
- Vertical Connection (Subarea E)
- Vertical Connection Within a Building

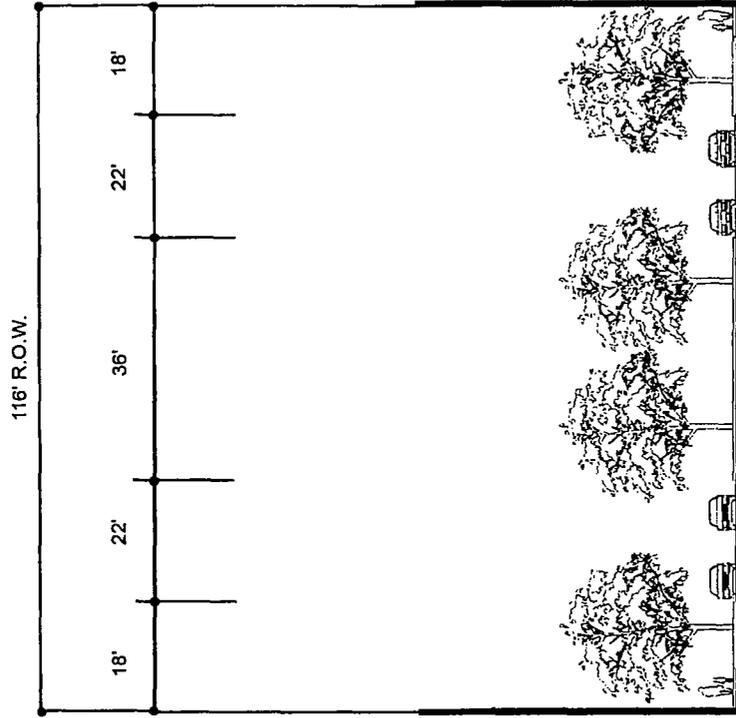
NOTE: This plan is diagrammatic in nature and is intended to show which parcels / sub-parcels should be connected to the parkway system, not the actual route for the connections.

VERTICAL CONNECTIONS: Private Developable spaces may be integrated in and around the vertical connections, as long as public access is maintained to the elevators and/or stairs.



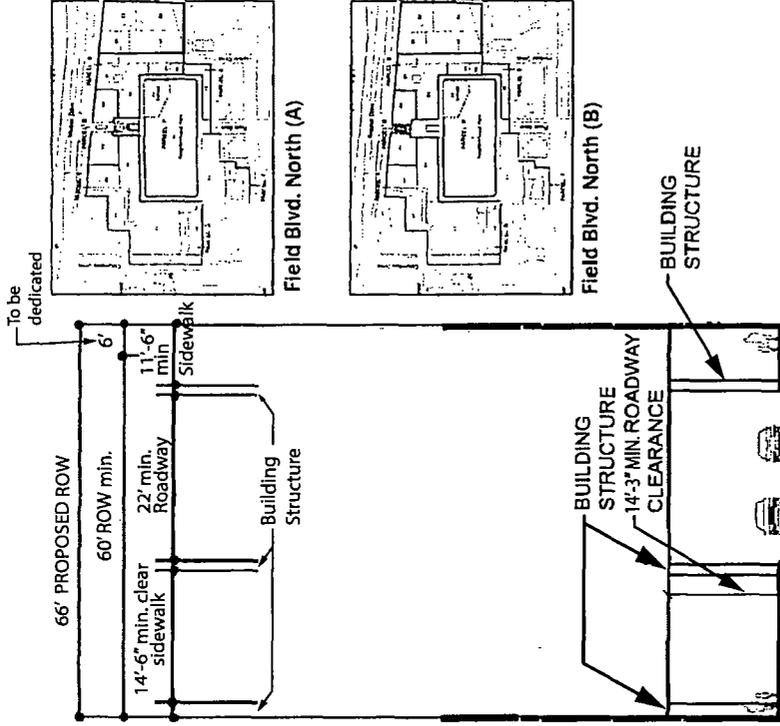
FIELD BLVD. NORTH

Field Blvd. North serves as a major entry into the new development from the north. Field Blvd. shall be a divided boulevard oriented north and south, connecting to the Park Drive. It will feature a consistent and attractive streetscape to include canopy trees, street lighting and pedestrian walk (per City ordinance). These walks will serve as the primary link for pedestrians walking to Park and the Chicago Riverwalk.



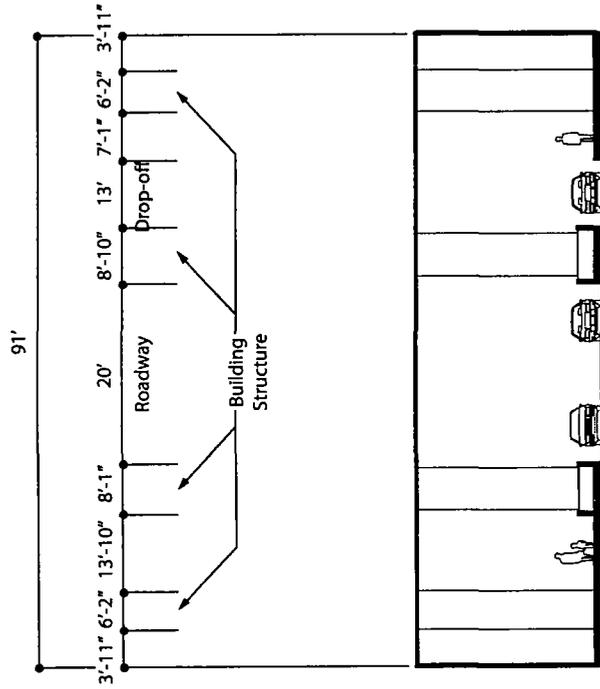
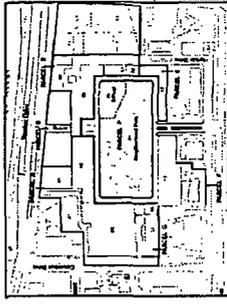
TYPE

| | |
|------------------------------|-------------------|
| R.O.W. WIDTH | 116' |
| FACE OF CURB TO FACE OF CURB | 22' / 22' |
| TRAFFIC LANES | ONE WAY / ONE WAY |
| TRAFFIC LANES WIDTH | 22' / 22' |
| PARKING LANES | NO PARKING |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| PARKWAY WIDTH | 18' |
| SIDEWALK WIDTH | 30' |
| STREET TREE SPACING (MIN) | 25' O.C. |



TYPE

| | |
|------------------------------|-------------------------|
| R.O.W. WIDTH | 60' MIN. (66' PROPOSED) |
| FACE OF CURB TO FACE OF CURB | 22' |
| TRAFFIC LANES | ONE-WAY EACH SIDE |
| TRAFFIC LANES WIDTH | 11' / 11' |
| DESIGN SPEED | 20 MPH |
| PARKWAY WIDTH | N/A |
| MEDIAN WIDTH | N/A |
| SIDEWALK WIDTH | 11'-6" MIN. |
| CURB RADIUS | 30' |



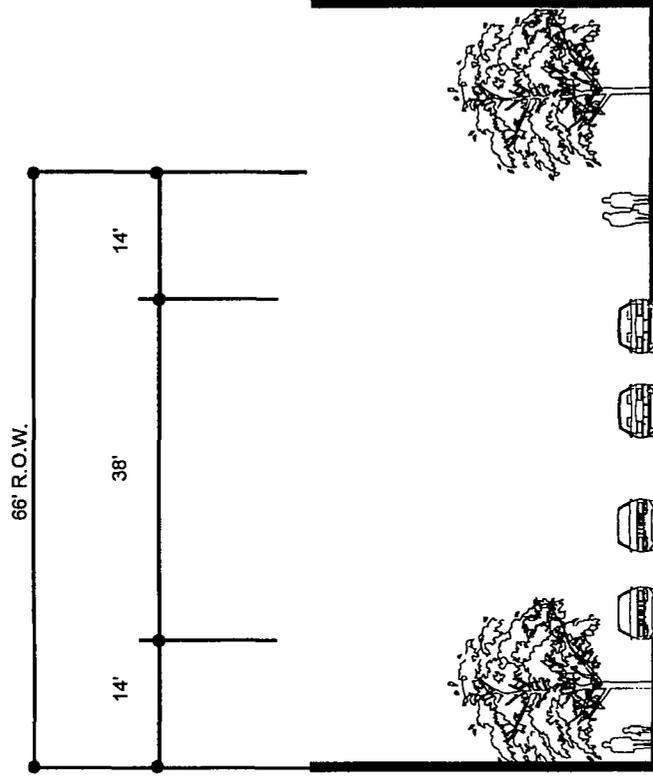
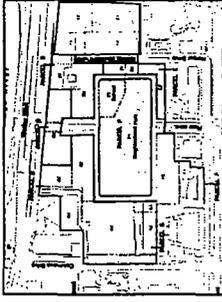
UPPER FIELD BLVD. (NORTH)

| TYPE | |
|------------------------------|----------------------------|
| R.O.W. WIDTH | N/A |
| FACE OF CURB TO FACE OF CURB | 20' |
| TRAFFIC LANES | TWO-WAY |
| TRAFFIC LANES WIDTH | 20' |
| PARKING LANES | NO PARKING - DROP-OFF ONLY |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| MINIMUM PARKWAY WIDTH | N/A |
| MINIMUM SIDEWALK WIDTH | 3'-11" |
| CURB RADIUS | |

HARBOR DRIVE EXTENSION

The Harbor Drive Extension shall continue existing Harbor Drive north, from Randolph Street at the south to access auto courts on the north. An interim green space shall be provided along Wacker Drive (at the upper level). This allows the opportunity for Harbor Drive to connect to Upper Wacker Drive at a later date.

The Harbor Drive Extension shall feature a consistent and attractive streetscape to include, street lighting and pedestrian walks (per City ordinance). Canopy trees as well as other plant material shall be provided to the greatest extent possible.



TYPE HARBOR DRIVE EXTENSION

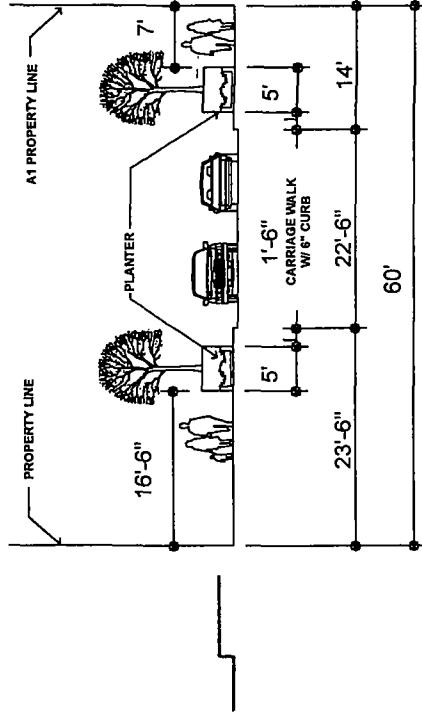
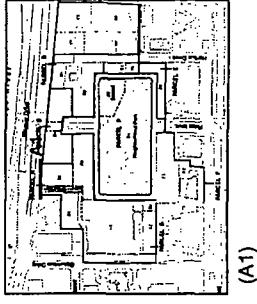
| | |
|------------------------------|------------|
| R.O.W. WIDTH | 66' |
| FACE OF CURB TO FACE OF CURB | 38' |
| TRAFFIC LANES | TWO-WAY |
| TRAFFIC LANES WIDTH | 11' / 11' |
| PARKING LANES | BOTH SIDES |
| PARKING LANE WIDTH | 8' |
| DESIGN SPEED | 20 MPH |
| SIDEWALK WIDTH | 14' |
| CURB RADIUS | 15' |
| STREET TREE SPACING (MIN) | 25' O.C. |

NORTH/SOUTH STREET

The North/South Street serves as additional internal access for new development on the northwestern edge of the development. It will feature an attractive streetscape including street lighting and pedestrian walks (per City ordinance). Canopy Trees as well as other plant material should be provided to the greatest extent possible.

As described by the following diagrams, the 60' R.O.W. remains consistent throughout its length, however, the street section (paved areas vs. sidewalk areas) varies within the 60' width.

For this particular (C) section of the North/South St. Section. Either (C) or (B) may be used as alternatives for this section.



**COLUMBUS WACKER THRU DRIVE PLANTING MEDIAN
NORTH-SOUTH STREET (A1)**

Refer to Sidewalk Design Criteria 4 20 "Sidewalk on structure with low planters"

NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

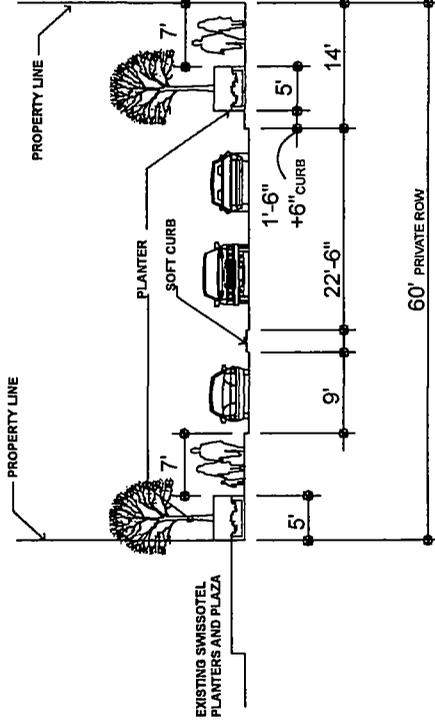
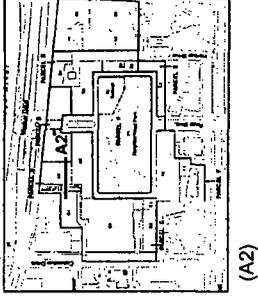
| | |
|------------------------------|----------------|
| R.O.W. WIDTH | 60' |
| FACE OF CURB TO FACE OF CURB | 22'-6" |
| TRAFFIC LANES | TWO-WAY |
| TRAFFIC LANES WIDTH | 11' 11" MIN. |
| PARKING LANES | N/A |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| SIDEWALK WIDTH | AS SHOWN ABOVE |
| CURB RADIUS | 15' |
| STREET TREE SPACING (MIN) | 25' O.C. |

NORTH/SOUTH STREET

The North/South Street serves as additional internal access for new development on the northwestern edge of the development. It will feature an attractive streetscape including street lighting and pedestrian walks (per City ordinance). Canopy Trees as well as other plant material should be provided to the greatest extent possible.

As described by the following diagrams, the 60' R.O.W. remains consistent throughout its length, however, the street section (paved areas vs. sidewalk areas) varies within the 60' width.

For this particular (C) section of the North/South St. Section. Either (C) or (B) may be used as alternatives for this section.

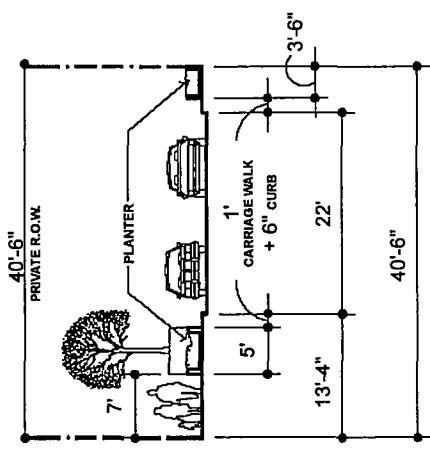


**COLUMBUS WACKER THRU DRIVE PLANTING MEDIAN
NORTH-SOUTH STREET (A2)**

Refer to Sidewalk Design Criteria 4.20 "Sidewalk on structure with raised planters"

NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

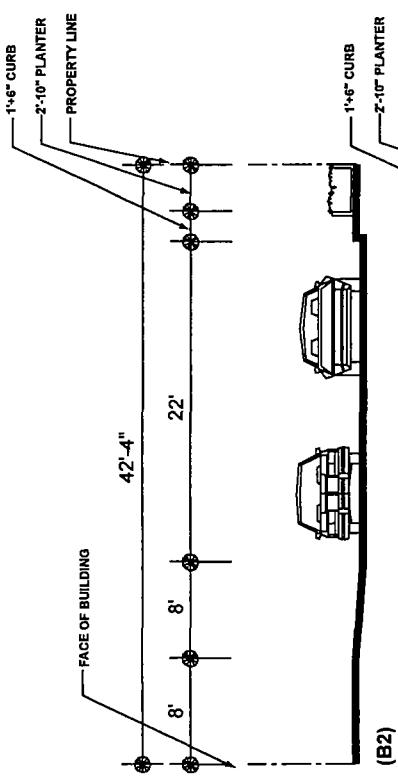
| | |
|------------------------------|-----------------------------|
| R.O.W. WIDTH | 60' |
| FACE OF CURB TO FACE OF CURB | 22'-6" |
| TRAFFIC LANES | TWO - WAY |
| TRAFFIC LANES WIDTH | 11' / 11' MIN. |
| DROP OFF LANES | 1 |
| DROP OFF LANE WIDTH | 9' |
| DESIGN SPEED | 20 MPH |
| SIDEWALK WIDTH | 7' MIN. (INCLUDING 6" CURB) |
| CURB RADIUS | 15' |
| STREET TREE SPACING (MIN) | 25' O.C. |



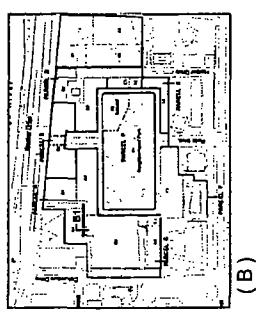
COLUMBUS WACKER E-W THRU DRIVE
NORTH-SOUTH STREET (B)

Refer to Sidewalk Design Criteria 4.20
"Sidewalk on structure with low planters"

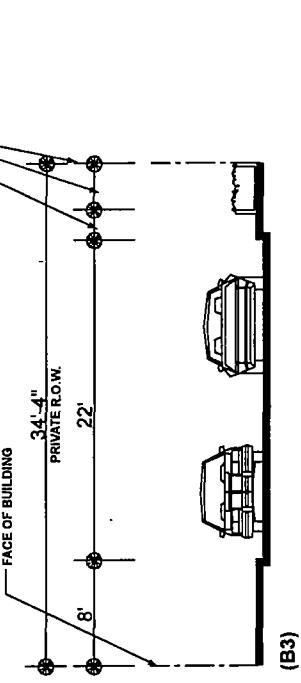
NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.



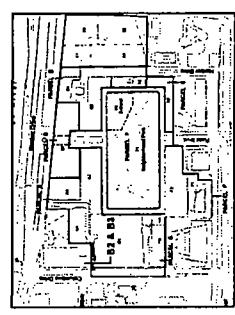
(B2)



(B)



(B3)



(B2 & B3)

TYPE NORTH-SOUTH (B)

| | |
|------------------------------|----------|
| R.O.W. WIDTH | 40'-6" |
| FACE OF CURB TO FACE OF CURB | 22' |
| TRAFFIC LANES | TWO-WAY |
| TRAFFIC LANES WIDTH | 11'7.11" |
| PARKING LANES | N/A |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| SIDEWALK WIDTH | 7' MIN |
| CURB RADIUS | 15' |
| STREET TREE SPACING (MIN) | 25' O.C. |

TYPE NORTH-SOUTH STREET (B2)

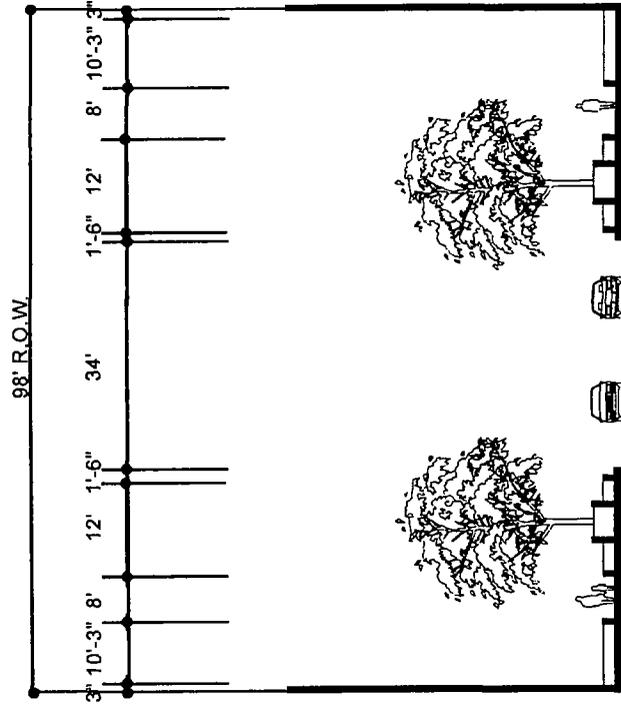
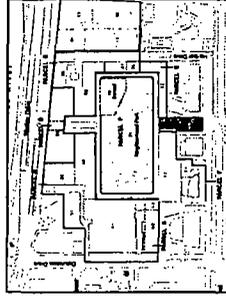
| | |
|------------------------------|------------------------|
| R.O.W. WIDTH | 42'-4" |
| FACE OF CURB TO FACE OF CURB | 22' |
| TRAFFIC LANES | TWO-WAY |
| TRAFFIC LANES WIDTH | 11'7.11" |
| DROP OFF LANE | 8' ONE SIDE |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| SIDEWALK WIDTH | 8' (INCLUDING 6" CURB) |
| CURB RADIUS | 15' |
| STREET TREE SPACING (MIN) | N/A |

FIELD BLVD. SOUTH

Field Blvd. South serves as a major entry into the new development from the south. Field Blvd. South shall be oriented north and south, connecting Randolph Street to the Park Drive. It will lead directly to the main overlook terrace at the Public Park.

The drive will feature a consistent and attractive streetscape to include canopy trees, street lighting and pedestrian walks (per City ordinance). These walks will serve as the primary link for pedestrians walking to and from Grant Park and the Neighborhood Park.

The street may slope down at a maximum rate of 1:12, provided that handicap accessible walks are provided along the edges with appropriate landings provided every 30" with vertical rise. The 23' wide sidewalk zone must provide adequate landscaping to screen the adjacent buildings' parking podiums. Air intakes and exhaust from lower level uses may be located within the median but must be screened with landscaping.



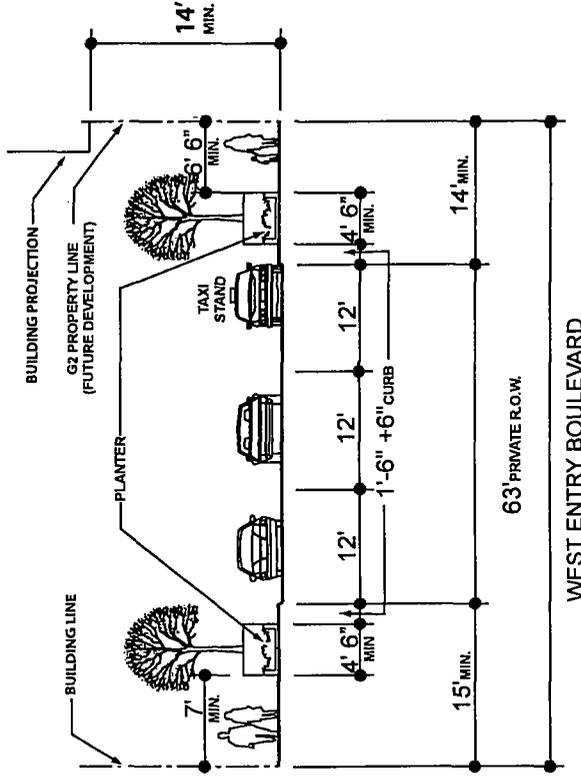
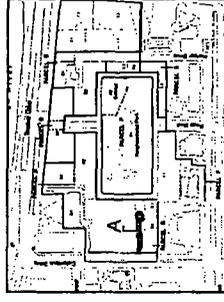
FIELD BLVD. (SOUTH)

| | |
|------------------------------|------------|
| R.O.W. WIDTH | 98' |
| FACE OF CURB TO FACE OF CURB | 34' |
| TRAFFIC LANES | TWO WAY |
| TRAFFIC LANES WIDTH | 17' / 17' |
| PARKING LANES | NO PARKING |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| MINIMUM PARKWAY WIDTH | 7' |
| MINIMUM SIDEWALK WIDTH | 5' |
| CURB RADIUS | |
| STREET TREE SPACING (MIN) | 25' O.C. |

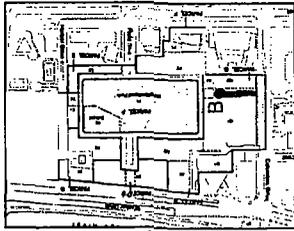
WEST ENTRY BOULEVARD

The West Entry Boulevard serves as a major entry into the new development from the west. The West Entry Boulevard connects to Columbus St. and the new North South Street, which will also enhance the connectivity to the park from the west.

This street will feature a consistent and attractive streetscape including canopy trees, shrubs, groundcover, perennials, street lighting and pedestrian walks (per City ordinance). These walks will serve as the primary link for pedestrians walking to and from the west and the Neighborhood Park.



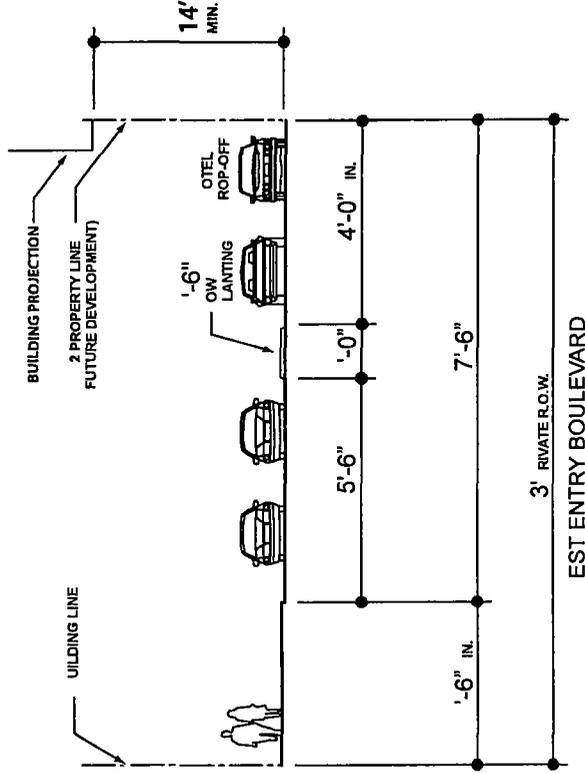
| | |
|------------------------------|-----------|
| R.O.W. WIDTH | 63' |
| FACE OF CURB TO FACE OF CURB | 33'-5" |
| TRAFFIC LANES | TWO-WAY |
| TRAFFIC LANES WIDTH | 12' / 12' |
| PARKING LANES (TAXI) | ONE SIDE |
| PARKING LANE WIDTH (TAXI) | 8' |
| DESIGN SPEED | 20 MPH |
| SIDEWALK WIDTH | 7' MIN. |
| CURB RADIUS | 15' |
| STREET TREE SPACING (MIN.) | 25' O.C. |



EST ENTRY B

The West Entry Boulevard serves as a major thoroughfare for the new development. The West Entry Boulevard connects to Columbus St. and the new North South Street, which will also enhance the connectivity to the park from the west.

This street will feature a consistent and attractive streetscape including canopy trees, shrubs, groundcover, ornamentals, street lighting and pedestrian walks (per City ordinance). These walks will serve as the primary link for pedestrians walking to and from the west and the neighborhood Park.



| | |
|------------------------------|------------|
| ROADWAY WIDTH | 63' |
| FACE OF CURB TO FACE OF CURB | 7'-6" |
| TRAFFIC LANES | WO WAY |
| TRAFFIC LANES WIDTH | S SHOWN |
| PARKING LANES (TAXI) | NE SIDE |
| PARKING LANE WIDTH (TAXI) | 8' |
| DESIGN SPEED | 0 MPH |
| BIKEWALK WIDTH | 1'-6" MIN. |
| CURB RADIUS | 16' |
| TREE SPACING (MIN) | N/A |

THE PARK DRIVE

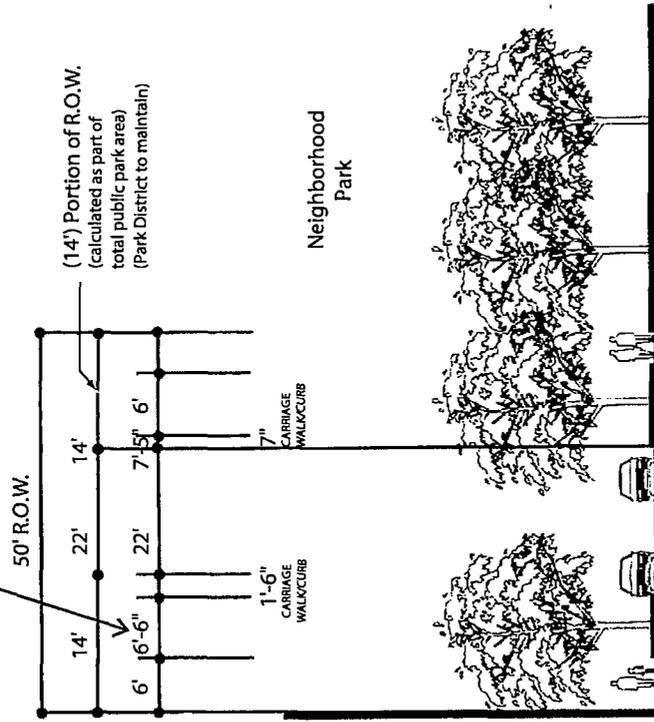
The Park Drive shall be a pedestrian-friendly neighborhood drive encircling the Neighborhood Park. It will feature a consistent and attractive streetscape to include canopy trees and other plant material in a parkway setting. It is recommended that traffic circulate in a direction which allows curbside drop-off adjacent to the school. Street lighting and pedestrian walks (per City ordinance) shall provide a pedestrian-friendly experience along the drive.

From the intersection at Field Blvd. South, the Park Drive shall slope at a maximum rate of 1:20 in order to ensure handicap accessibility along the sidewalks.

The 15' wide zone at the perimeter of the park includes sidewalk & parkway which will be maintained by the city of Chicago.

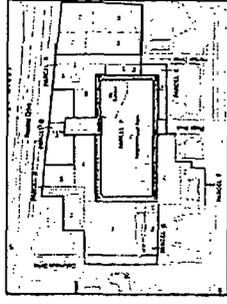
NOTE: The Chicago Park District has agreed to maintain the landscaped parkway and sidewalk located on the park side.

Should existing R.O.W not accommodate required widths, dimension of planter should be reduced (min. 5' - 0")

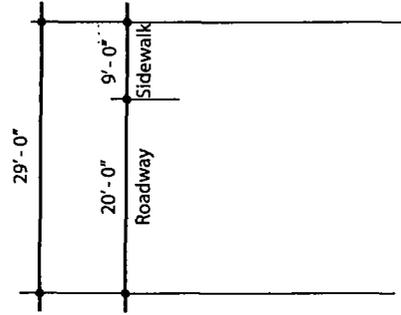
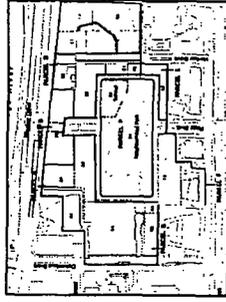


TYPE
R.O.W. WIDTH 50'
FACE OF CURB TO FACE OF CURB 22'
TRAFFIC LANES ONE-WAY
PARKING LANES WIDTH 12'
PARKING LANES NO PARKING
PARKING LANE WIDTH N/A
DESIGN SPEED 20 MPH
SIDEWALK WIDTH 6'
CURB RADIUS 15'
STREET TREE SPACING (MIN) 25' O.C.

| | |
|------------------------------|------------|
| TYPE | |
| R.O.W. WIDTH | 50' |
| FACE OF CURB TO FACE OF CURB | 22' |
| TRAFFIC LANES | ONE-WAY |
| PARKING LANES WIDTH | 12' |
| PARKING LANES | NO PARKING |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| SIDEWALK WIDTH | 6' |
| CURB RADIUS | 15' |
| STREET TREE SPACING (MIN) | 25' O.C. |



Right-of-Way Criteria
Parcel C Internal Road
(Private Street)

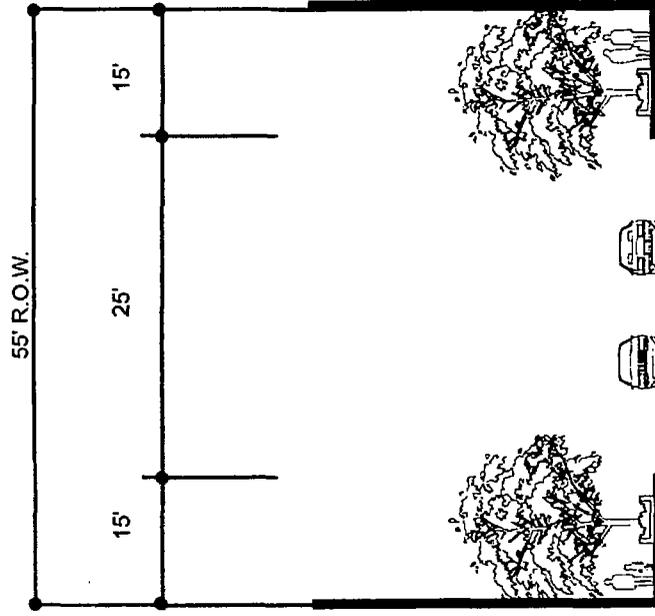
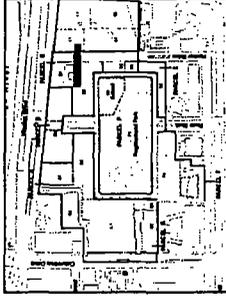


TYPE PARCEL C INTERNAL ROAD

| | |
|------------------------------|---------|
| R.O.W. WIDTH | N/A |
| FACE OF CURB TO FACE OF CURB | 20'-0" |
| TRAFFIC LANES | ONE-WAY |
| TRAFFIC LANES WIDTH | 20' |
| PARKING LANES | N/A |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| MINIMUM PARKWAY WIDTH | N/A |
| MINIMUM SIDEWALK WIDTH | 9'-0" |
| CURB RADIUS | 15' |

WATERSIDE DRIVE

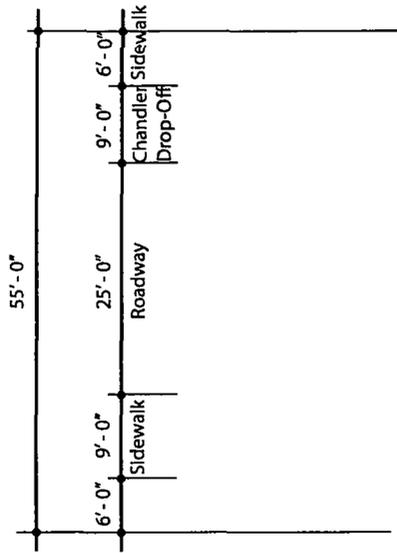
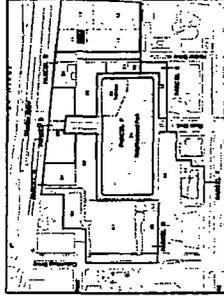
Waterside Drive shall feature a consistent and attractive streetscape to include, street lighting and pedestrian walks (per City ordinance). Canopy trees as well as other plant material shall be provided to the greatest extent possible.



TYPE

WATERSIDE DRIVE

| | |
|------------------------------|----------------|
| R.O.W. WIDTH | 55' |
| FACE OF CURB TO FACE OF CURB | 25' |
| TRAFFIC LANES | TWO WAY |
| TRAFFIC LANES WIDTH | 11' / 11' MIN. |
| PARKING LANES | N/A |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| SIDEWALK WIDTH | 7' MIN |
| CURB RADIUS | 15' |
| STREET TREE SPACING | 25' O.C. |

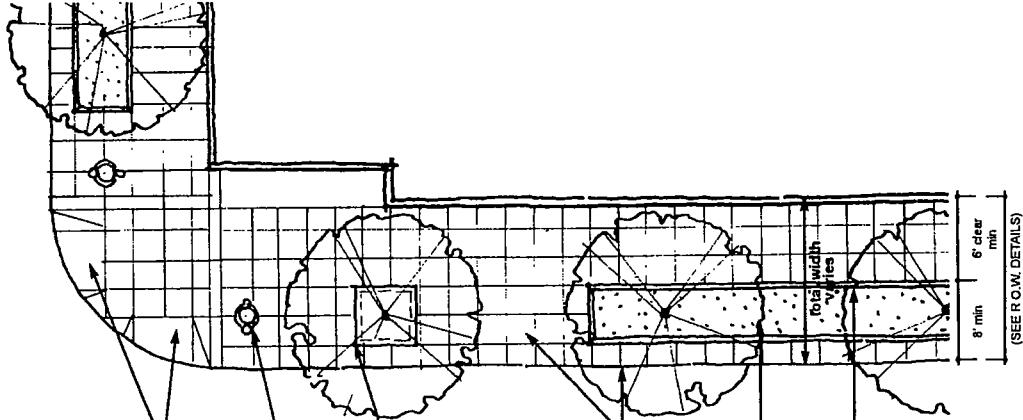


WATERSIDE DRIVE EXTENSION

TYPE

| | |
|------------------------------|-----------|
| R.O.W. WIDTH | 55'-0" |
| FACE OF CURB TO FACE OF CURB | 25'-0" |
| TRAFFIC LANES | TWO-WAY |
| TRAFFIC LANES WIDTH | AS SHOWN |
| PARKING LANES | N/A |
| PARKING LANE WIDTH | N/A |
| DESIGN SPEED | 20 MPH |
| MINIMUM PARKWAY WIDTH | 6'-0" MIN |
| MINIMUM SIDEWALK WIDTH | 9' |
| CURB RADIUS | N/A |

Sidewalk with in - Ground Planters, Flush with Sidewalk
(total width varies)



Handicap Accessible depressed curb at corner

Street lighting to conform with City of Chicago Lighting Standards and Palette

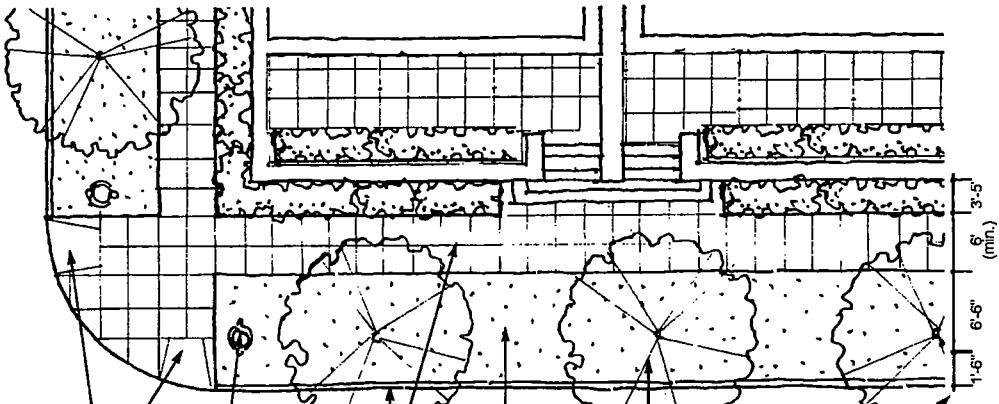
At corners with bus stops, trees in grates preferred over curbed planters.
5' to 6' in width
1'-6" to 2' from face of curb min.

Concrete curb and sidewalk per City of Chicago standards

Planters per City of Chicago Landscape Ordinance

Street Trees and Landscaping per City of Chicago Landscape Ordinance

Park Drive Sidewalk
(along townhouses)



Handicap Accessible depressed curb at corner

Street lighting to conform with City of Chicago Lighting Standards and Palette

Concrete curb and sidewalk per City of Chicago standards
1'-6" to 2' wide carriage walks may be used at edge of street

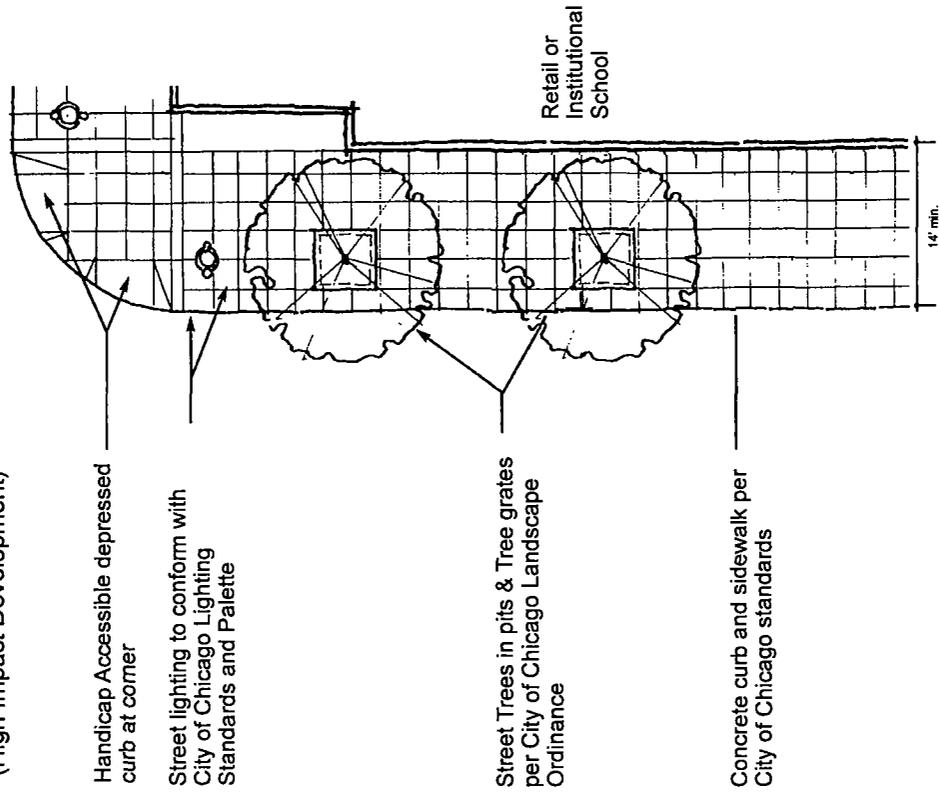
Landscaped Parkway (at major building entries, parkway should be interrupted with sidewalk paving)

Street Trees and Landscaping per City of Chicago Landscape Ordinance

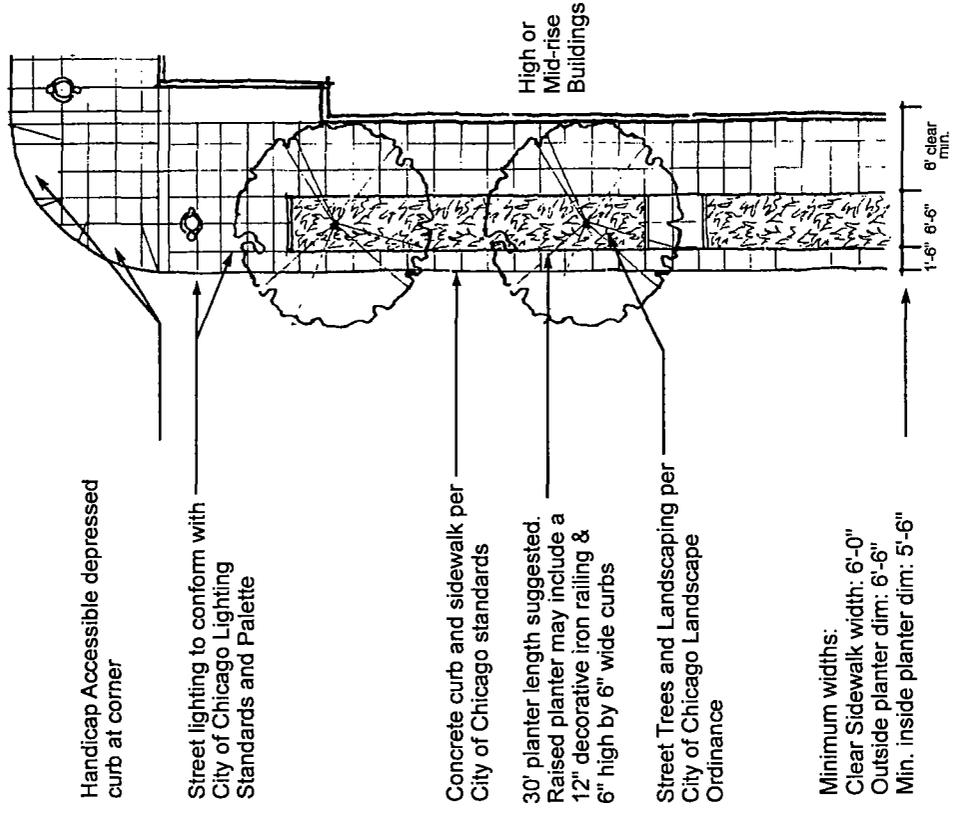
Minimum widths:

Clear Sidewalk width: 6'-0"

**Sidewalk Along Park Road
(High Impact Development)**

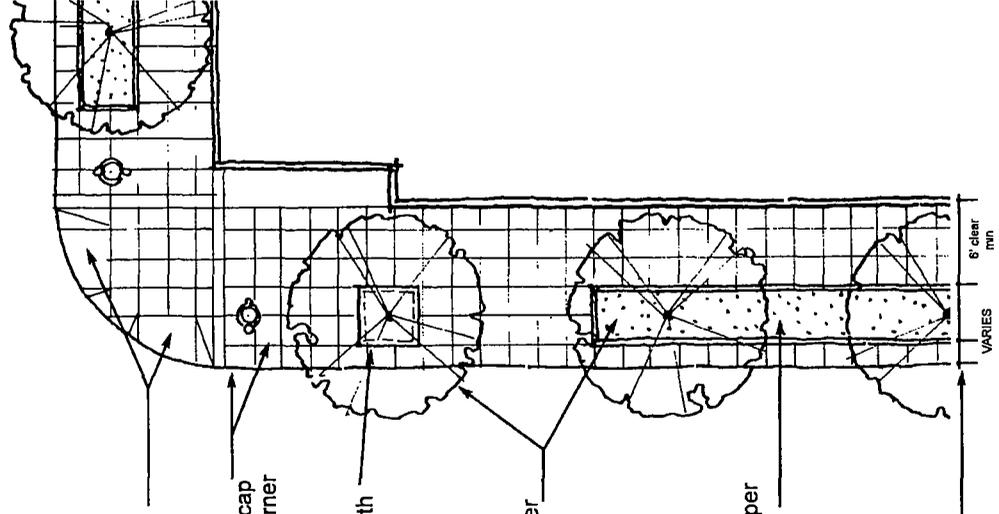


**Sidewalk Along Park Road
(Moderate Impact Development)**



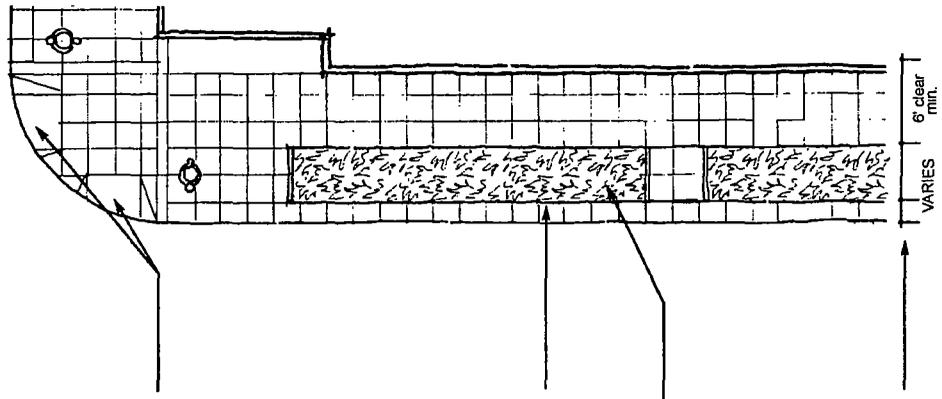
Minimum widths:
 Clear Sidewalk width: 6'-0"
 Outside planter dim: 6'-6"
 Min. inside planter dim: 5'-6"

Sidewalk on Structure with Raised Planters
(Ornamental Trees with tree pits)

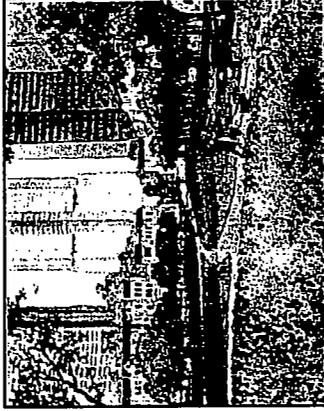


- Handicap Accessible depressed curb at corner
- Concrete curb and sidewalk per City of Chicago standards Handicap Accessible depressed curb at corner
- Minimum 4' x 4' x 30" single planters 3'-0" minimum solid depth for all trees.
- Street Trees and Landscaping per City of Chicago Landscape Ordinance
- Structural Soil between tree pits per Chicago Landscaped Ordinance
- Minimum widths:
Clear Sidewalk width: 6'-0"

Sidewalk on Structure with Low Planters
(perennial / seasonal flowers)



- Handicap Accessible depressed curb at corner
- 30' planter length suggested. Raised planter may include a 12" decorative iron railing
- Planting area for perennial and/or seasonal flowers. 12" min. soil depth for seasonal flowers, Landscaping per City of Chicago Landscape Ordinance
- Minimum widths:
Clear Sidewalk width: 6'-0"



5.0 Open Space

Introduction

Public Park Zone

The Open Space Framework

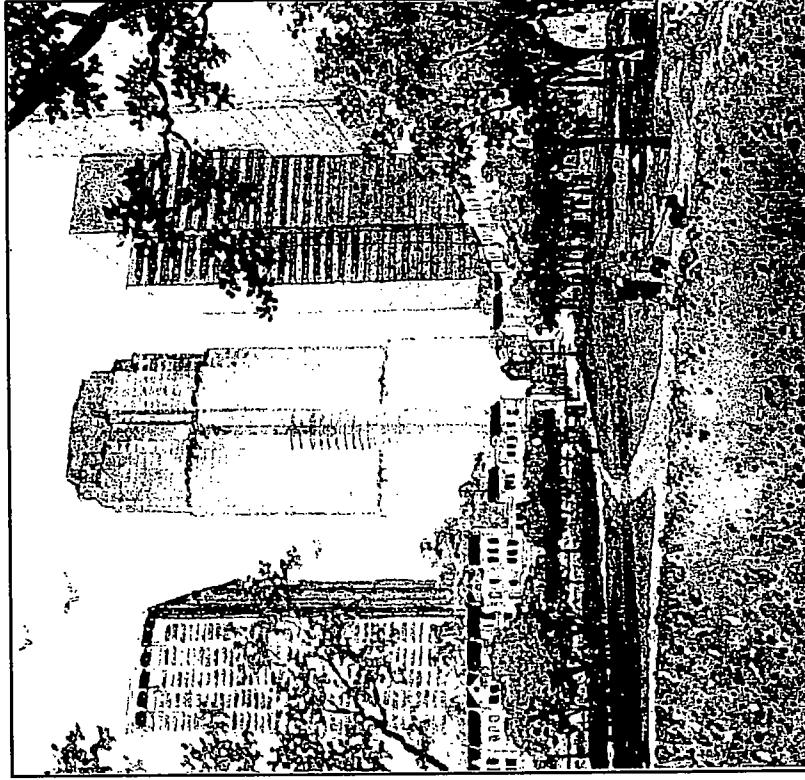
The Neighborhood Park Preliminary Conceptual Design

The Neighborhood Park Park Programming Zones

Neighborhood Park Preliminary Landscape Guidelines

Parks and Open Space Parcel C

Parks and Open Space Other Parcels



Introduction

The open space of Lakeshore East is defined by a series of green spaces including a large urban neighborhood park. The neighborhood park area will be approximately 6 acres in its size.

Overall the Lakeshore East site will contain approximately 12 acres of publicly accessible open space which includes courtyards, pedestrian promenades, and landscaped setbacks.

Publicly accessible open space in Parcel C will be open during hours consistent with the Chicago Park District hours for Lakeshore East Park. Additionally, wayfinding and other appropriate informational signage may be established throughout Lakeshore East, subject to approval by DPD.

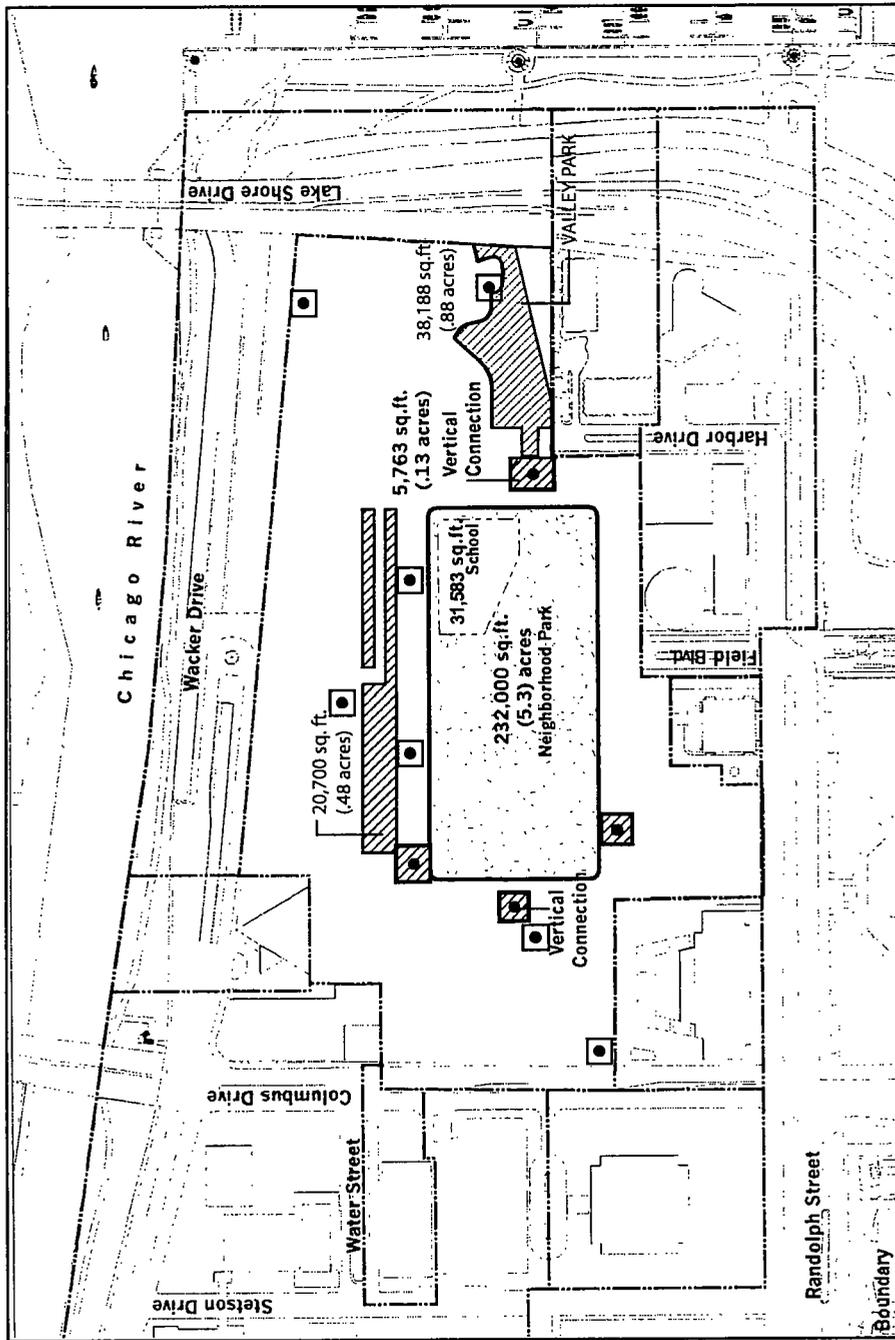
Connections to the river and the lakefront will be provided as links to the great open space of the city. A strong connection to Grant Park via Field Blvd. will also be provided.

The neighborhood character of the park will be an amenity for both future and existing residents. It will essentially be located at the lower level on grade creating a neighborhood open space where trees and landscaping can flourish.

In conjunction with the neighborhood park there will be courtyards, and open space buffers which will enhance and link the surrounding open space amenities. Interior green spaces or courtyards will serve as open space amenities for both new and existing residents.

Roof top gardens are strongly encouraged to be created on various buildings. These gardens will provide a landscaped aesthetic while also enhancing the environmental aspects of the buildings.

Linear pedestrian promenades will be landscaped with trees, flowering planters and paved sidewalks. These pedestrian streets will act as promenades that connect the east and west edges of the site, but will also provide emergency vehicular access to future developments



| | | | | | |
|---|-----------------------------|---|---------------------|---------------------------|------------------------|
|  | Boundary |  | Vertical Connection | Total Public Park: | 232,000 sq. ft. |
|  | Public Space not dedicated |  | Vertical Connection | Land Area: | 5.3 acres |
|  | Public Park to be dedicated |  | Vertical Connection | Total School: | 31,583 sq. ft. |
|  | Public Park to be dedicated |  | Vertical Connection | Land Area: | .73 acres |

NOTE: These plans are diagrammatic in nature and they indicate the approximate location and intent of the school location, the spaces to be dedicated as public parks and the vertical connections, but not the precise locations.

The amount of space dedicated as public park is subject to acceptance by the Chicago Park District.

October, 2018

The Open Space Framework for Lake Shore East consists of a variety of parks, promenades and courtyards.

THE NEIGHBORHOOD PARK

PARCEL C VALLEY PARK

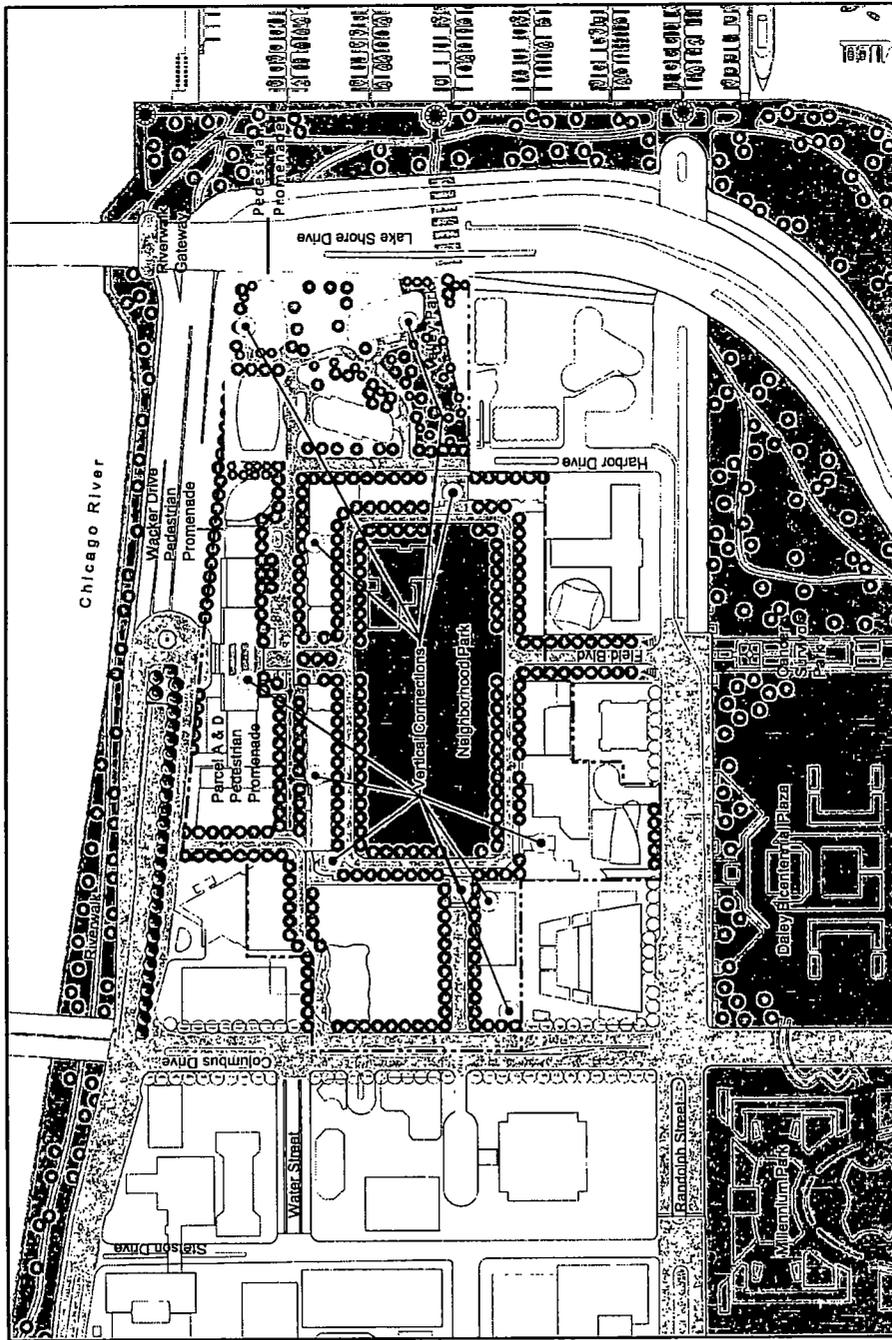
PARCEL C LAKESHORE DRIVE PROMENADE

PARCEL A&D PEDESTRIAN PROMENADE

PARCEL B&C WACKER DRIVE PROMENADE

VERTICAL CONNECTIONS

The Open Space Framework



The Open Space Framework



NOTE: These plans are diagrammatic in nature and they indicate the approximate location and the intent of the vertical connection, not the precise locations.

**NEIGHBORHOOD PARK
Preliminary Conceptual Design**

A large public park ("the Neighborhood Park") shall be designed and constructed to encourage public use. The Neighborhood Park is intended to function as a public space for outdoor events and provide a gathering space for the surrounding office, hotel and residential neighborhood.

Neighborhood Park Scale & Character

The park should generally function as a passive neighborhood open space. Large permanent active athletic uses, with the exception of the multi purpose open space in the center of the park, should be avoided. The park should be designed and scaled appropriately for neighborhood use as opposed to a regional attraction.

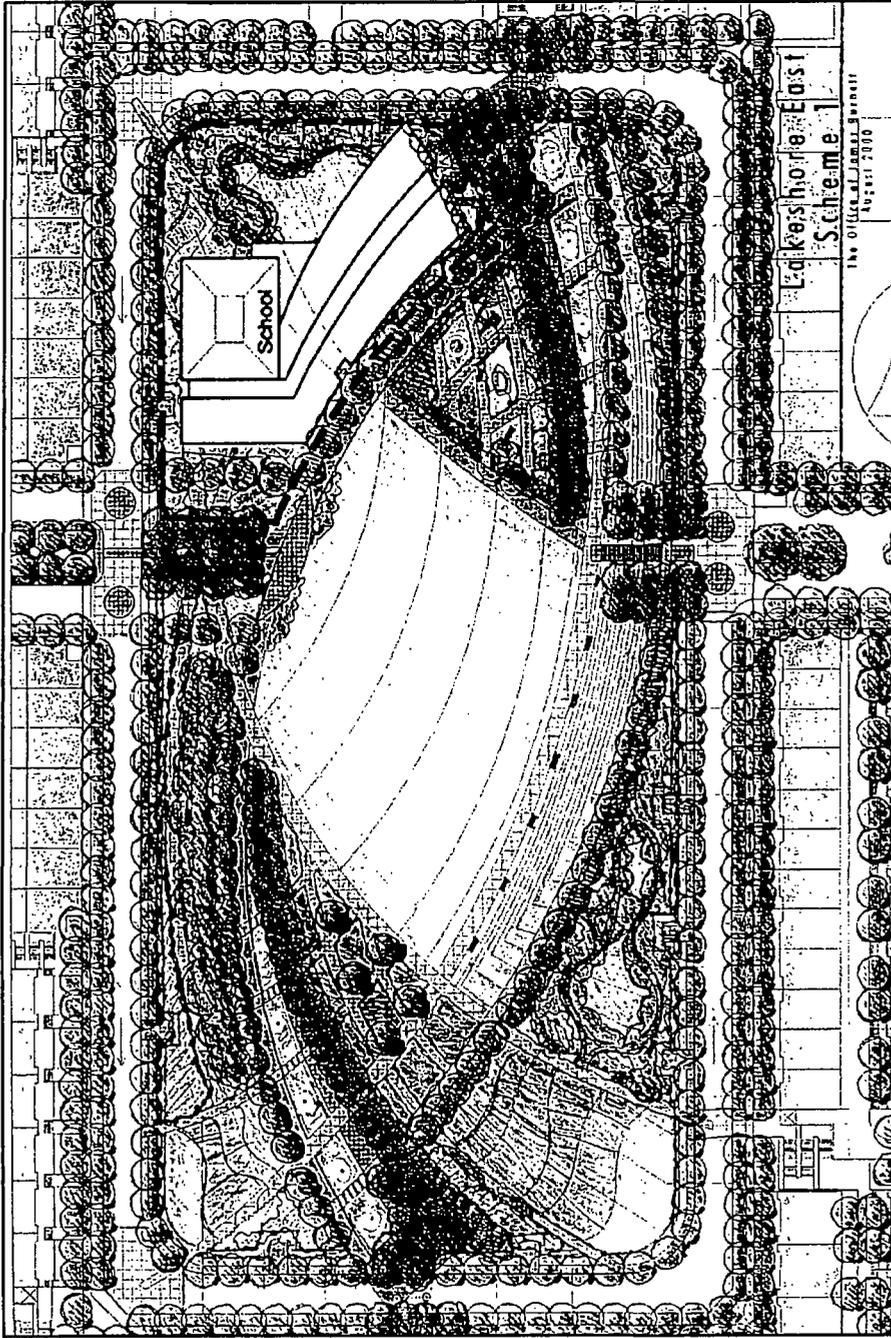
Park Perimeter / Entries

The perimeter of the Neighborhood Park shall be designed to define the park as a public space and will offer sidewalks, seat furniture, lighting and terraces for gathering. A wide landscaped parkway planted with large canopy trees and a continuous 6' wide pedestrian sidewalk should complete the Park Drive streetscape and define the edge of the park.

Access to the park shall be directed to and controlled at key locations through the use of identifiable entry areas. An entry overlook terrace shall be created at the south end of the park near the Field Blvd. intersection to provide a panoramic view of the park. A public stairway and handicap accessible ramp shall be provided at this location.

The eastern and western entries to the park shall be aligned with the vertical connections in parcels E and G.

Pedestrian crosswalks along the Park Drive should be coordinated with these entries and the flow of traffic.



Preliminary Neighborhood Park Concept
(For Illustrative Purposes Only)



The Office of James Burnet

Park Programming Zones

The park shall include a centrally located, large, gently sloping open green space to be used for both active and passive uses.

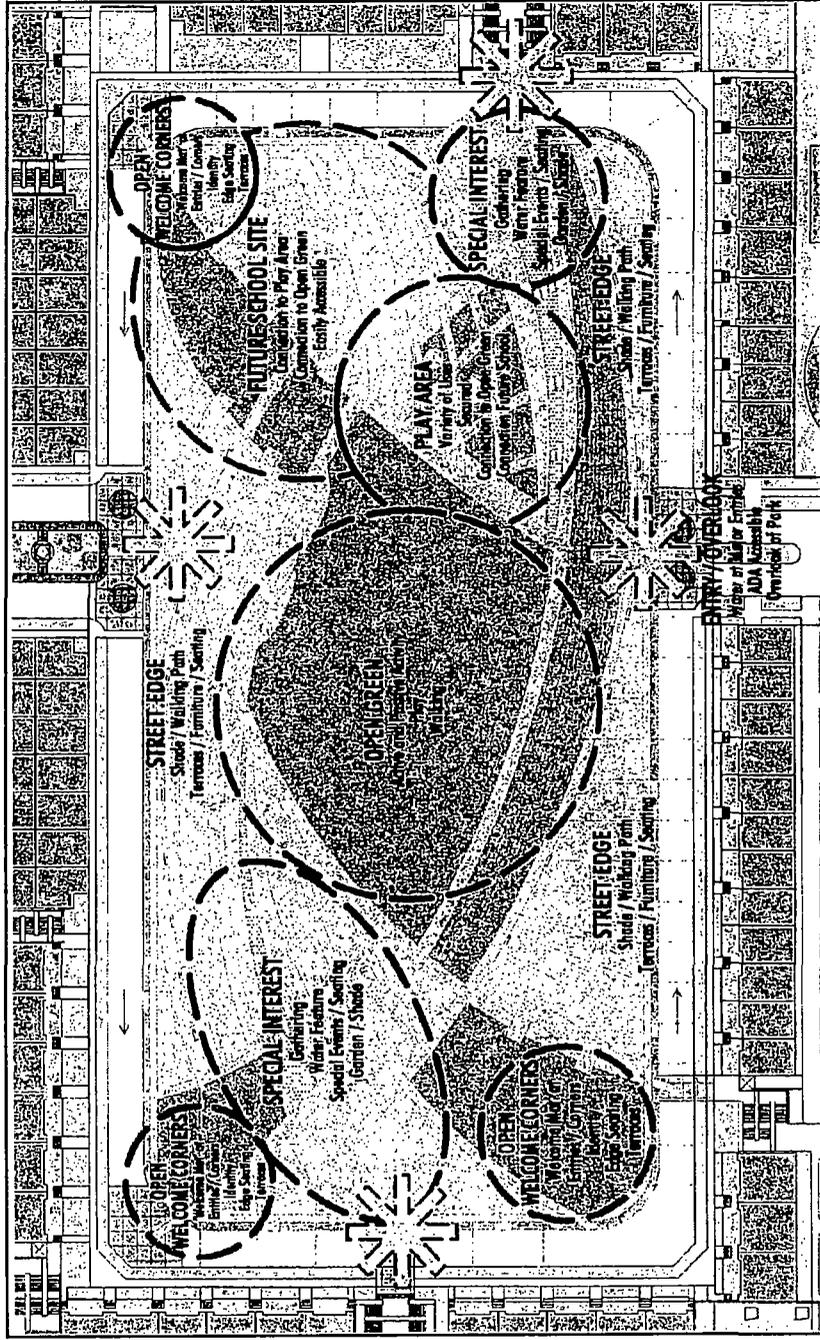
A secured play area shall be provided adjacent to the future school, and offer a variety of play opportunities for several age groups. The play area should also be located adjacent to the open green. The approved park design shall be completed within the first phase of development. The playground shall be placed within the park in a location where it will not be impacted by future school construction.

A centrally located dog park may be provided. The inclusion of a dog park will alleviate the requirement to install individual dog runs/facilities in the individual residential buildings.

A future school zone at the northeast corner of the park shall be designated. Prior to construction of the school, this area shall be designed and built to similar open space standards as the rest of the park for interim open space use. The future school will share space for Chicago Park District facilities.

Topography

The park shall generally slope from el.+6.00 CCD on the north up to el.+30.00 CCD to the south. The park topography should transition smoothly to the various sloped roadways at the perimeter of the park. Large retaining walls at the perimeter of the park more than 3' high should be avoided.



Park Programming Zones

The Office of James Burnett



PRELIMINARY LANDSCAPE GUIDELINES

The design of the park will be coordinated with the City of Chicago, The Chicago Park District, and the community. The following preliminary landscape guidelines indicate minimum standards for landscape materials.

The design and quality of Landscape Materials within all publicly accessible neighborhood open space areas must be executed with these same minimum standards.

Paving

The location of sidewalks and their particular treatment will be determined by the intended use and the overall nature of pedestrian spaces. Park pathways shall be ADA accessible. Typical sidewalks shall use more basic materials such as concrete. Areas such as the linear promenades, entry ways for residential townhomes/towers, and other special pedestrian walkways could utilize higher quality materials

Paving materials for pedestrian paths may include, yet are not limited to, the following: concrete, colored concrete, concrete unit pavers, brick, stone pavers, decomposed granite

Landscape

The landscape is intended to enhance the site by providing flourishing species of diverse vegetation, while also promoting the use of indigenous plant materials. Ornamental planting should be used for local points, small courts or special areas within the park.

The planting specifications for new landscaping should be consistent with the City of Chicago Landscape Ordinance. The following is a brief summary of some additional guidelines for plantings:

Lawn Areas

Active and passive lawn areas will incorporate sod. Ornamental prairie grass or meadows may be used as ornamental beds.

Existing Trees

To the greatest extent possible, the existing trees designated as desirable species shall be protected, maintained and/or relocated into the neighborhood park or other future open space areas

New Trees

The following minimum guidelines for tree sizes (at time of installation) shall be followed:

- 1) Minimum root ball sizes for trees:
 - shall conform to ANLA Standards
- 2) Specimen tree size:
 - a) Minimum caliper : 6 inches
 - b) Height range : 20' to 30' feet
- 3) Shade tree size:
 - a) Minimum caliper : 3 1/2 -4 inches
 - b) Height range : 18' to 25' feet
- 4) Small upright/ornamental tree size:
 - a) Minimum caliper : 2 7/2 inches
 - b) Height range : 6' to 12' feet

Shrubs and Other Plantings

These minimum guidelines for the following miscellaneous plant types shall be followed:

- 1) Shrubs:
 - a) Minimum container size: 5 gallon
 - b) Height range: 1 1/2 to 3 feet minimum

- 2) Vines, trailing ground cover or trailing perennials:
 - a) Minimum container size: 1 gallon.
 - b) Minimum number of runners: 4.
 - c) 8 inches minimum length of runners.
- 3) Clump ground covers or clump perennials:
 - a) Minimum container size: 1/2 gallon
 - b) crown and roots shall be well developed within container
- 4) Annuals:
 - a) Minimum container size: 2-1/2 inch pots
 - b) Height range: varies with annual type
 - c) Full crown with healthy, vigorous flowers

Landscape Irrigation System

A landscape irrigation system will be provided in all necessary areas for the general maintenance, and up keep of vegetation.

Water Features

If water features are to be created in the park, they should be compatible with their setting. For example, smaller, more intimate fountains may help to create a serene space. Larger, more active and participatory fountains can help to draw large gatherings of people and provide a focal point for a larger setting.

The technical design of a potential water feature should incorporate the necessary equipment (i.e. filter, pumps, nozzles, etc.) required for efficient use and desired aesthetic effect. Public safety, wintertime use, and maintenance should also be carefully considered in the design of water features in the park.

Fences

If utilized, fencing in the park shall provide for safety only. Fencing should not hinder public access through the site.

Fencing should be ornamental in its nature in order to enhance the overall aesthetic setting of the park. High quality materials such as ornamental metal, black in color, should be used for fencing. Fencing shall be limited to a maximum height of 48".

Playground Equipment

Playground equipment will be furnished with the latest materials and safety surface to ensure both durability and safety. The equipment should be designed to accommodate a variety of users and age ranges. Playground equipment shall meet ADA requirements.

Park Furnishings

Site furnishings will be supplied where applicable. Furnishings may utilize a number of materials which could include steel, stone, wood, etc..

The design and selection of the Neighborhood Park furnishings including, but not limited to, lighting, benches, bike racks, and trash receptacles shall be coordinated and approved by the City of Chicago and The Chicago Park District.

Structures

Structures within the park setting should be simple, small in scale and in character with the park setting. Small structures such as arbors, pergolas, gazebos, may help to enhance the pedestrian realm and provide shade, a place to rest and create a sense of place.

Park Lighting

Park lighting shall be provided and should incorporate pedestrian friendly ornamental fixtures placed along the park paths. The fixtures shall be spaced at a comfortable distance in order to provide adequate lighting for safety at night.

PARCEL C PARK

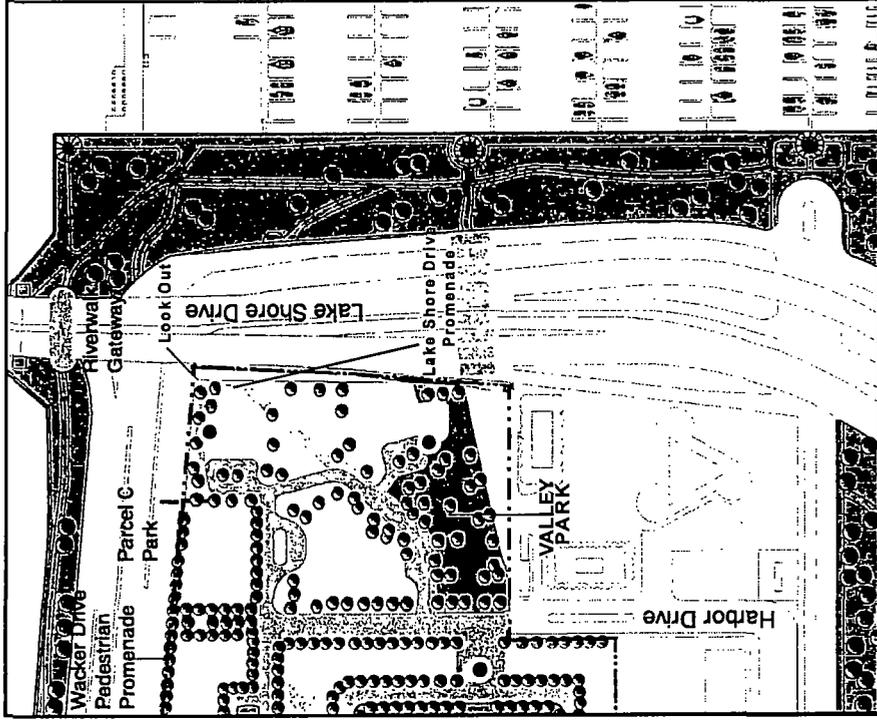
The Parcel C Park shall be an open space located within the future residential area on the south side of the development. A safe and convenient pedestrian access shall be provided front all Parcel C buildings to the internal park.

The park should feature a common green to be used for both active and passive uses. The park should provide walking paths, special paving, seating, and lighting in addition to special ornamental planting. A small children's playground could also be provided in this open space

PARCEL C LAKESHORE DRIVE PROMENADE

This linear green space shall be designed to provide a publicly accessible pedestrian promenade along the northeastern edge of the development. This 20' wide (minimum) promenade shall be designed with trees, special plantings, lighting, and special paving surfaces. From the northeastern edge of the development the promenade then wraps back into the neighborhood with a pedestrian path leading users to the Parcel C Park.

Special consideration must be given to its relationship to Lake Shore Drive. Its location may be at or above the elevation of Lake Shore Drive. Parking podiums must be screened from view through the use of architectural or landscaped elements.

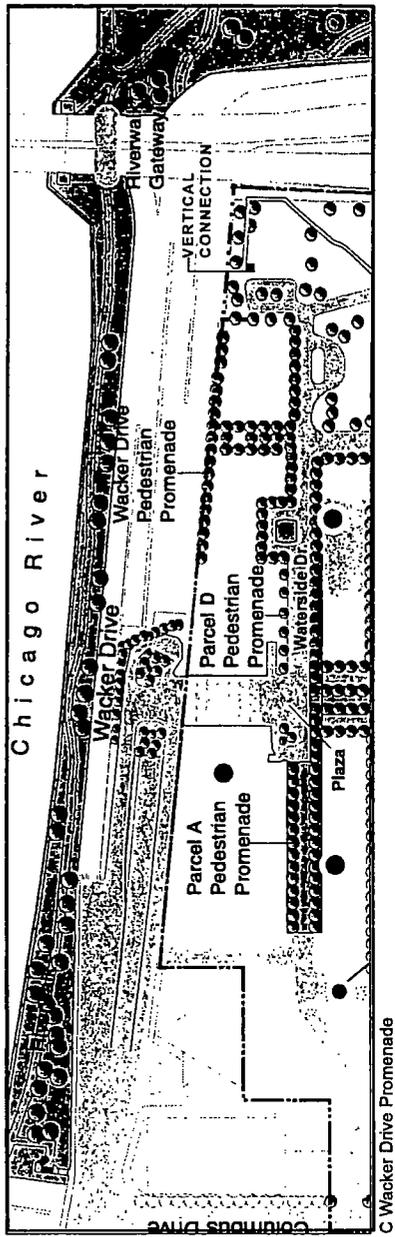


PARCEL B & C WACKER DRIVE PROMENADE

This linear green space shall be designed to provide a publicly accessible pedestrian promenade along the northern edge of the development at the upper level. This 20' wide (minimum) walkway shall be designed with trees, special plantings, lighting, and special paving surfaces.

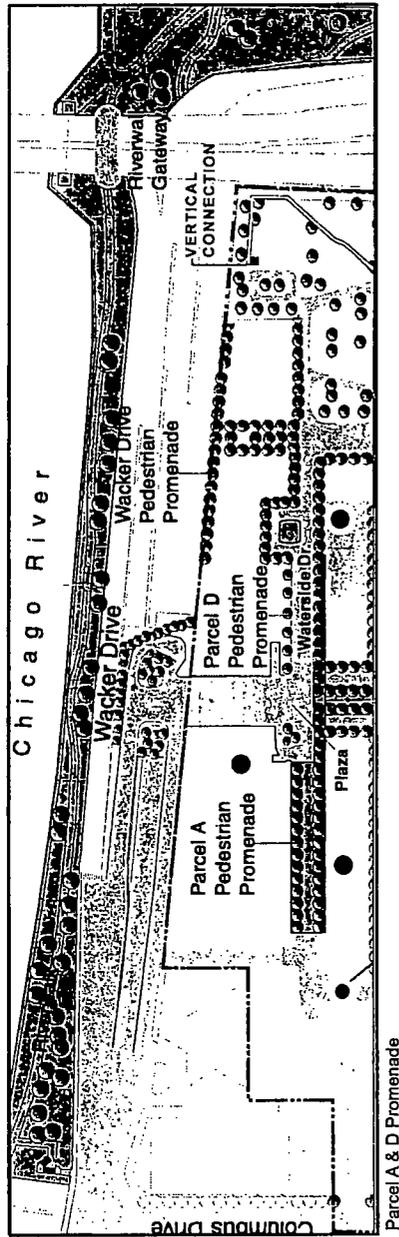
The promenade will also provide vertical access to the Chicago riverwalk and retail space overlooking Wacker drive.

Opportunities for sidewalk cafes and terrace overlooks should be encouraged and integrated into the promenade design.



PARCEL A & D PROMENADE

A linear green space shall be designed to provide a publicly accessible pedestrian promenade between the buildings fronting Upper Wacker Drive & the Park Drive. This promenade shall lead to a plaza spanning Field Blvd. North. Both the promenade & the plaza shall provide an important secondary east west connection at the upper level through the future development. The pedestrian walk shall be designed to accommodate emergency vehicular access.

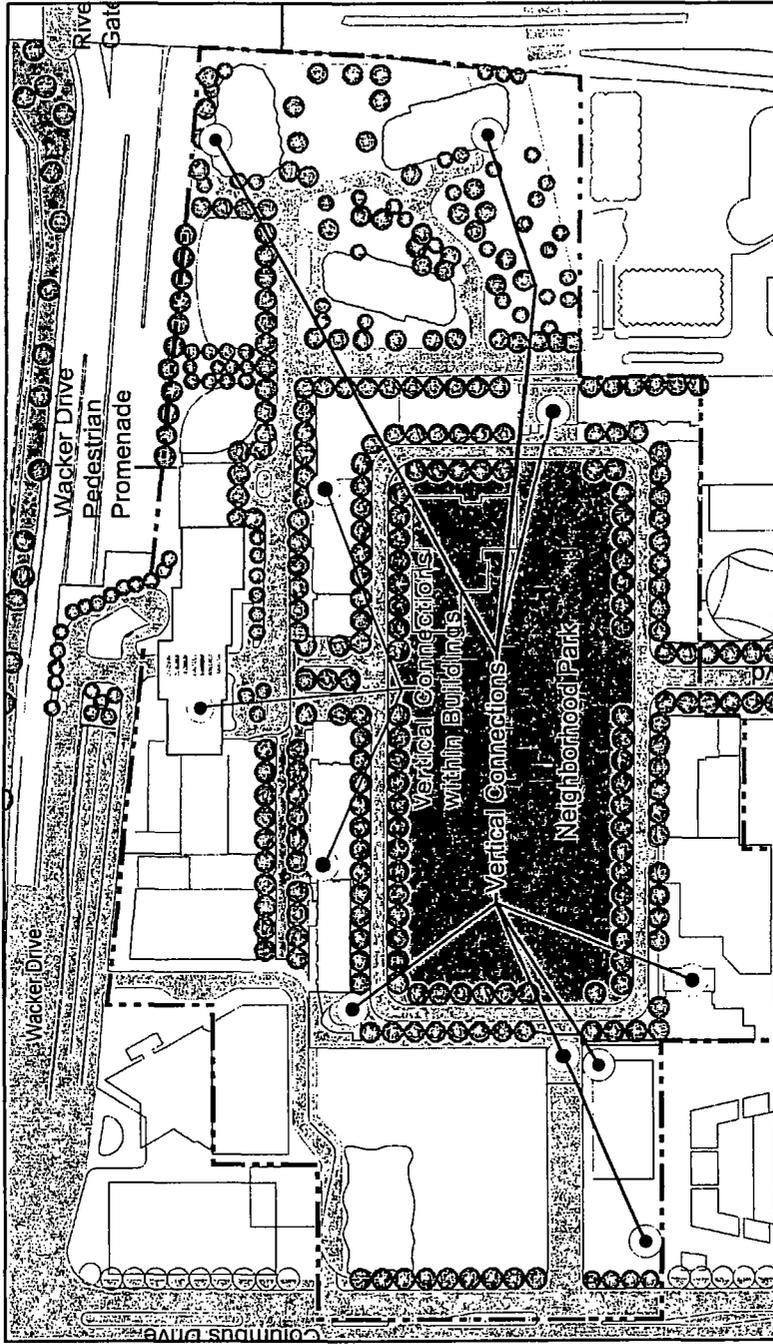


NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

VERTICAL CONNECTIONS

A minimum of 4 vertical connections shall be created to connect upper level development with the park ring roads. As previously mentioned, one additional connection must be included in Parcel C along Lake Shore Drive. These connections must include stairways and elevators as is feasible.

Placed at each edge of the Neighborhood Park, these connections will ensure views into the park from the surrounding areas. These connections must provide attractive and convenient pedestrian friendly access between the upper and lower levels of the district. The design of the stairways shall complement the Neighborhood Park and streetscape design through the use of special paving, lighting, hand rails and planters. These connections shall be treated as landscape features incorporating plantings to help soften this connection between levels.

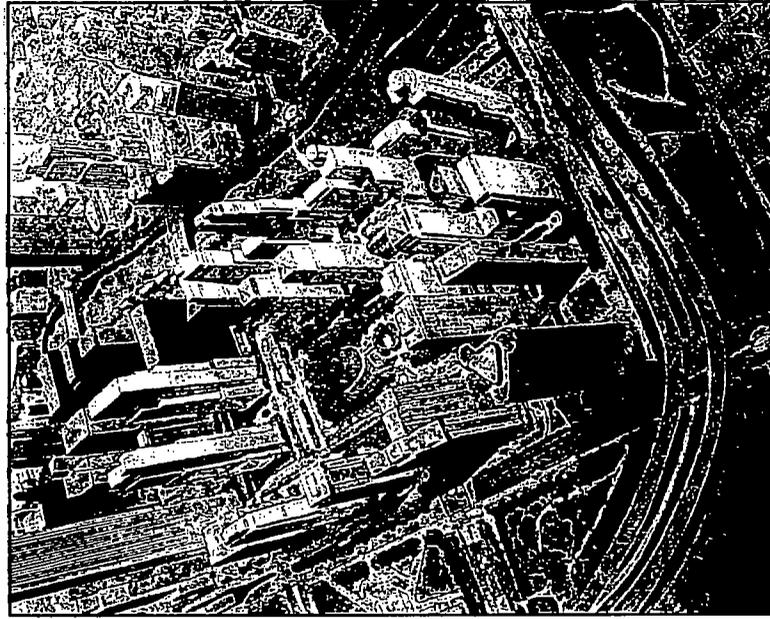


NOTE: These plans are diagrammatic in nature and the intent of the vertical connection, not to indicate the approximate location or precise locations.

6.0 Design Standards

- Introduction
- Development Review Process
- Controls for Development
- Parcel Design Criteria
- Building Design Criteria
- Building Materials
- Environmental Considerations





Introduction

The Design Standards included as part of the Lakeshore East Planned Development submittal are intended to ensure that the basic Lakeshore East Master Plan concepts are realized. These Master Plan Concepts include:

- U
- Create urban street walls that support a diverse pedestrian experience.
- Create an enhanced pedestrian environment that physically and visually connects activities within existing and future buildings to adjacent public areas.
- Preserve views from existing development through new development to the greatest extent possible. Establish view corridors for new development.
- Create a distinctive skyline.

The following standards describe specific design intentions which should be used by the designers, developers and city agencies involved in the building of Lakeshore East.

Development Review Process

The Design Standards are intended to establish a self-policing structure for evaluation of projects which shall be submitted to the Department of Planning and Development for approval, prior to submission to the City for building permit.

The developer shall establish a Lakeshore East Design Review Committee for internal review of all development proposals for conformance with the Master Plan. All design submissions should include detailed site plans, building plans, elevations, material samples, and information on lighting and signage. The Design Review Committee may also request additional project information including models or three dimensional renderings.

Any modifications to the Master Plan or Design Standards will require discussion with the City of Chicago Department of Planning and Development and a consensus that the changes do not negatively impact the intent of the Master Plan. Any modifications deemed significant will require an update to the Master Plan & Partial PD Amendment

| | | |
|---|--|---|
| <p>Controls for Development</p> <p>The Lakeshore East Master Plan establishes seven development parcels organized around a neighborhood park. The parcels have been defined to accommodate the projected long term build-out. The parcels have also been divided into sub-parcels to permit flexibility in responding to specific development proposals. The sub-parcel divisions may adjust slightly in order to accommodate building design refinements over time.</p> <p>The Master Plan envisions a mix of highrise, midrise and lowrise development. The development of each parcel within the Master Plan is governed by a set of site design criteria and building envelope controls. Site design criteria include pedestrian and vehicle access. The building envelope controls mandate the placement of buildings within the parcels, setting a pattern of development that creates a strong sense of place at the pedestrian level. Two distinct sets of controls, building mass criteria and streetwall criteria, are defined for each parcel. The building envelope controls have been created in a way to allow some design flexibility within each sub-parcel. Also, the Department of Planning and Development may administratively allow minor modifications or adjustments to the building envelope controls.</p> <p>Maximum Developable F. A. R. Within Subarea E, a maximum developable area has been established. This maximum developable area will not fill the entire allowable building envelopes, but may be shifted from one parcel to another parcel as long as the maximum building envelopes are maintained.</p> | <p>For the purpose of calculating maximum developable F.A.R. area, only the inhabitable area at or above the building entry shall be included.</p> <p>Parcel Design Criteria</p> <p>Pedestrian Access A well-connected pedestrian network is critical to successfully creating an urban neighborhood in Chicago. The maximum allowable distance between two pedestrian walkways along a single blockface should not exceed the length of a typical downtown Chicago block or approximately 320'. Midblock pedestrian walkways which pass through development parcels are also encouraged.</p> <p>Vehicular Access The multi-level plan of Lakeshore East should accommodate the bulk of parking and service below grade. Curb cuts should be kept to a minimum and the use of shared curb cuts along shared property lines is encouraged. Curb cuts should not exceed 20 feet in width. Parking entries should be integrated with the building's architecture through the use of similar materials, patterns, textures and color. All parking above the street level must be screened with occupied space.</p> <p>Service access is permitted at the lower level only.</p> <p>Emergency Vehicle Access Required emergency vehicle access easements are identified on the parcel diagrams.</p> <p>Interim Uses Undeveloped parcels may support interim uses such as recreational open space, dog friendly areas,</p> | <p>and surface parking Interim use plans will be reviewed by the Department of Planning and Development They should identify all temporary improvements and timeframe of interim use.</p> <p>Utility Easements Utility easement corridors, varying in width, and Dedicated and Conveyed to the City of Chicago below approximately +20.92 C.C.D. currently exist throughout the site. As future development fills in the site, the existing utility corridors will generally occur at the lowest level of the parking structure. A service roadway, generally at elevation +6 C.C.D., will typically occur along the easements and intermittent structural columns/caissons for the structures above may fall within the easement corridors. The placing of structural easements within existing easements must be coordinated with existing and future utilities.</p> <p>These utility easement corridors must be accessible to all utility entities, and will also have controlled accessibility to building service vehicles and to adjacent parking structures.</p> <p>Existing utilities, will either remain in place, or be relocated into the new, final utility corridors. New utilities will also occur within the new dedicated roadways on grade throughout the site.</p> |
|---|--|---|

Highrise Building Design Criteria

Highrises are characterized by their three elements: the building base, the tower and the top. Transition lines should be articulated at the two or three story level lower base, between the base and tower, and between the tower and top. The transition lines may be achieved by a change of material, a setback, a reveal or a cornice line.

Building Base

It is important that the base of the building extend to the sidewalk to define the urban street environment and support a close relationship between activities in each building. Special streetwall standards for the base include:

Setbacks and Building Lines

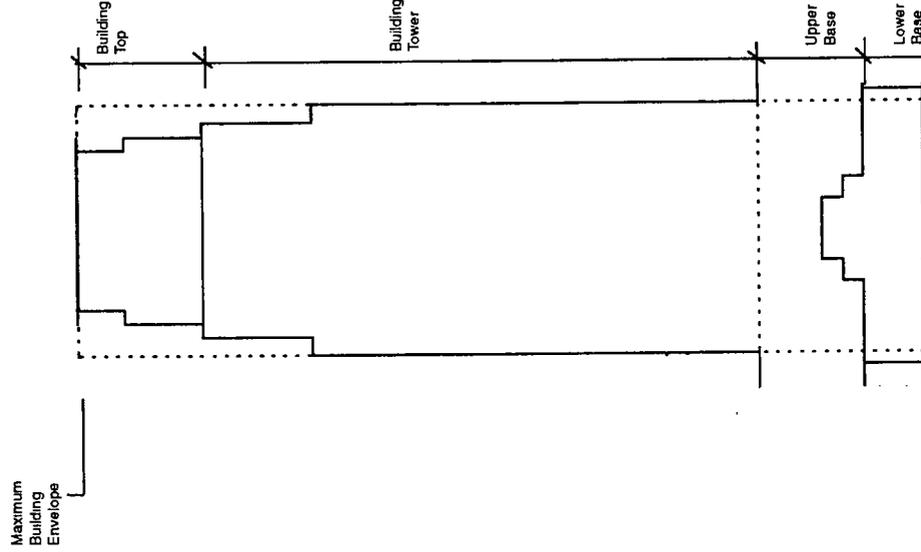
The building must extend to the defined edge of the sidewalk (specified by property line, easement or setback) for at least 80% of the frontage. The building may setback a maximum of 10 feet for up to 25% of the frontage from the defined edge of sidewalk if the additional setback is designed to include sidewalk cafes, seating, public art, landscaping or other amenities.

Ground Floor Active Building Uses and Transparency

Active uses that are visually connected to the pedestrian environment are encouraged along the ground floor of the building. These active uses may include retail, lobbies or office space. The ground level facade of the building should be transparent to allow viewing into the interior of the building. Blank walls are not encouraged.

Building Envelope

Building mechanical floors may extend beyond the maximum building envelope. Maximum building envelopes are identified for each sub parcel.



Building Tower

Generally, the preferred location for highrise towers should be toward the primary street frontage.

Building Top

A varied and distinctive skyline is desired. The building top should provide special architectural treatment to express building identity. Vertical design elements, such as spires, should be integrated with the design of the building tower and top. The height of a vertical design element may extend above the maximum building height, and is subject to administrative approval by the Department of Planning and Development.

All rooftop mechanical systems including communication devices should be concealed from view within an architectural enclosure.

Building Separation

To preserve views, a minimum separation of 40' between non-party walls is required. Building projections, such as balconies or bay windows, may not extend into the minimum building separation.

Building Height

Building height is defined as the vertical distance from the curb level opposite the front of the building to the top of the highest occupied floor of the building. For purposes of identifying occupied spaces, the following areas shall be excluded: mechanical rooms, elevator machine rooms & overruns, rooftop deck access rooms, habitable areas comprising less than 20% of the total room area. Maximum heights, identified in total feet, for the building base, tower and top are identified for each parcel.

Highrise Building Zones

Townhouses

It is important that the townhouses create a continuous streetwall that frames the park and special pedestrian ways. It is also important that the townhomes along the Park Drive screen all existing and future podiums from view. Special townhouse standards include:

Setbacks and Building Lines

The design of the townhouse podiums shall include a minimum landscape setback of 5' from the sidewalk (specified by property line, easement or setback) East of Field Blvd. South, along the East / West segment of Park Drive, the minimum podium setback may be 3'. Entry stairs may extend into the landscape setback. Townhouses within a particular grouping along the same street frontage should maintain a similar setback from the side walk & from their podium.

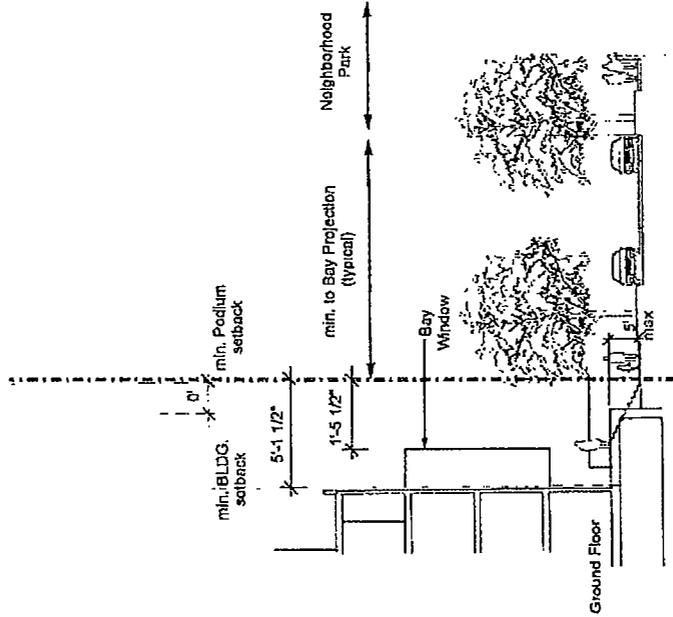
Orientation

Townhouse entries should be oriented to the street. The townhouses should be designed to include living space at the ground floor to ensure "eyes on the street". Stacked townhouses which have two street frontages should address both streets.

Variety

Variety in the use of materials and articulation is encouraged within groupings of townhouses, in order to create an aesthetically diverse neighborhood setting.

Building Height
Building height is defined as the vertical distance from the curb level opposite the form of the building to the highest point of the townhouse roof. If extending beyond the maximum building height, roof top enclosures may not exceed 10% of the rooftop area and should be architecturally integrated with the design of the townhouse roof. Maximum heights for the townhouses are identified for each parcel.



PARCEL G1

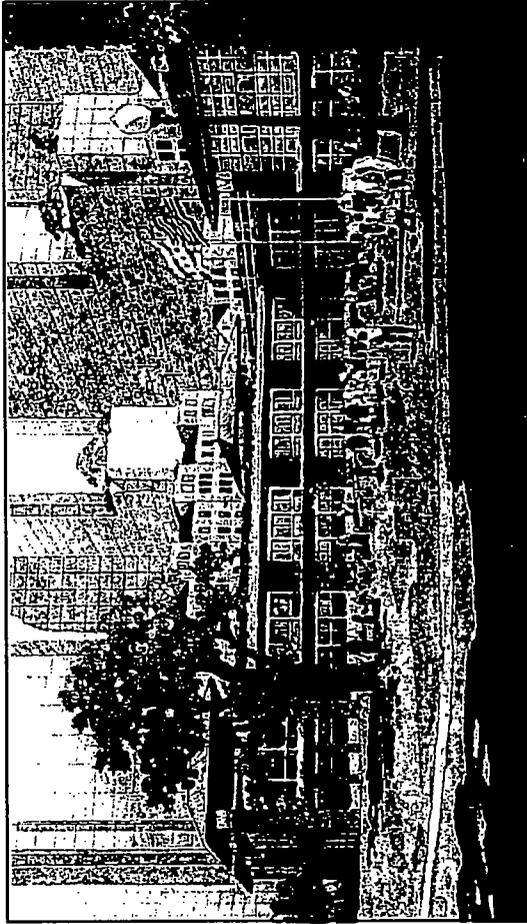
Property Line

NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

School Building

The scope of the work for the 45,000 sq. ft. elementary school will include the site work required to prepare the site for construction of the proposed building. The developer shall also provide the "core and shell". It will include all cast in place concrete structural steel, steel joists, and roofing. Additionally, it will include complete exterior enclosures with windows, storefront, glass and glazing, exterior masonry, and exterior doors. Finally, it will include all utilities to a location within the building such as a pump room, or mechanical space. The "core and shell" of the building can be further defined by the following specifications sections from the Chicago Public Schools Project Manual dated 1999 / 2000 (a copy of which is on file at the Department of Planning and Development) :

| | |
|--|-------------|
| Demolition | 02060 |
| Site Clearing | 02212 |
| Excavation and Back filling | 02221,02222 |
| Water Distribution | 02510 |
| Sewers and Site Drainage | 02700 |
| Water Service | 02707 |
| Exterior Structure Including: | |
| Cast in Place Concrete | 03300 |
| Concrete Finishes | 03312 |
| Unit Masonry - Exterior Only | 04200 |
| Cast Stone - Exterior Only | 04720 |
| Structural Steel | 05120 |
| Steel Joists and Girders | 05220 |
| Steel Deck | 05310 |
| Metal Fabrications - Exterior Only | 05500 |
| Expansion Joint Assemblies - Exterior Only | 05810 |
| Bituminous Dampproofing | 07160 |
| Spray on Fireproofing | 07250 |
| Modified Bituminous Sheet Roofing | 07257 |
| Firestopping - Exterior Only | 07270 |
| Manufactured Roof Panels | 07410 |
| Flashing and Sheet Metal - Exterior Only | 07600 |
| Roof Accessories | 07700 |
| Joint Sealers - Exterior Only | 07900 |
| Steel Doors and Frames - Exterior Only | 08110 |
| Overhead Ceiling Doors | 08330 |
| Aluminum Entrances and Storefronts | 08410 |
| Aluminum Windows | 08520 |
| Exterior Metal Window Guards | 08661 |
| Glass and Glazing - Exterior Only | 08800 |



Building Elements

Special consideration will be given to the following building elements during the development review process.

Landscape Roofs

Green roofs, or roofs that are covered with planting, can provide great benefits to the building as well as the urban environment and are encouraged. Green roofs may be used to reduce the building's energy costs and also reduce stormwater runoff

A majority of the defined green roof area should be covered by vegetation. Public access is not mandatory but maintenance access should be maintained. Future installations, such as mechanical equipment, should not have a negative impact on the landscaped area. Drought resistant indigenous plants that require minimal maintenance are recommended.

Arcades

Arcades are allowed, but must be continuous along the entire length of the building frontage from one street right of way to the next street. Minimum width is 8 feet clear, maximum width is 20 feet and minimum clear interior height is 14 feet. Arcades shall have a minimum decorative pavement treatment, and landscaping, seating or other public amenities as appropriate. Arcades shall not contain parking spaces, passenger drop-offs, ramps, loading berths or any other vehicular use, or trash storage facilities.

Through Block Pedestrian Connections
Indoor and outdoor through block pedestrian connections are encouraged.

Enclosed Through Block Connections

The connection should provide a continuous unobstructed corridor of at least 20 feet in width and the unobstructed height must be a minimum of 15 feet. Material finishes and lighting should be selected to ensure a pleasant and safe pedestrian connection.

Exterior Through Block Connections

The connection should be a continuous unobstructed space at least 20 feet in width. A minimum clear height of 20 feet is required where the connection is not open to the sky. Building elevations that border the connection should include active building uses where possible or be treated with plantings, murals and other architectural details.

Balconies

Balconies are permitted but must be integrated within the design of the building facade.

Bay Windows

Bay windows are allowed and may extend above a ground level landscape setback but may not extend into the minimum building separation.

Canopies and Awnings

Canopies and awnings are permitted for retail development and building entries. Canopies and awnings should be integrated with the design of the facade. Materials should be high quality and may be either fixed or retractable. They must meet all

applicable City Codes and regulations.

Exhausts

Exhaust vents should not be located on a building's primary street frontage.

Signage

Signage is to be kept to an absolute minimum. The design of signs should be compatible with the building and street frontage. High quality and durable materials are encouraged. Signs should be mounted in locations that respect the design of the building, including arrangements of bays and window openings. Fixtures for externally illuminated signs should be simple and unobtrusive.

Signage at building tops is limited to hotel uses and is subject to administrative review and approval of the Department of Planning and Development. Signage must be integrated with the design of the building

Building Lighting

Lighting may vary within the Lakeshore East Development. Public spaces should be adequately lit to ensure a safe pedestrian environment.

Lighting used to highlight architectural features such as articulated building tops or building entrances is encouraged. Such architectural lighting should be planned to not visually impact adjacent buildings or public spaces.

Radio Antennae

Radio antennae may be allowed on Parcels G and D but must be integrated with the design of the building.

Parking

Parking Entrances

Parking garage entrances should be designed as an integrated element of the building's facade through the use of similar materials and detailing. Driveways and curb cuts should be compatible in material and detailing with adjacent sidewalks.

Perimeter Walls

All Parking at or above the upper street level must be screened by occupied space. Parking along the lower and intermediate levels may extend to the parcel line; however the parking must be architecturally screened when it is adjacent to a public accessway, such as intermediate Wacker Drive and Lake Shore Drive. Along Field Blvd, North parking must be screened by occupied space at the lower level, and architecturally screened above the lower level. Along the Park Drive, the townhomes and other residential buildings will screen all existing and future parking podiums from view.

Ventilation

Parking garage ventilation should be well designed, with openings which should incorporate architectural screening. Ventilation structures should be designed as integral components of adjacent buildings or open space.

Building Materials

The selection of appropriate building materials should be made with a concern for the existing context.

All Glass curtain-wall buildings are discouraged and highly reflective glass will not be permitted. If all glass-curtain-walls are used, high quality, low-e or clear glass should be used.

Two grades of building material quality, Grade 1 and Grade 2, have been identified in the Master Plan.

- Grade 1 Natural Stone
- U Brick
- Architectural Precast
- Glass and Metal Curtain Walls

Grade 2 Materials preferred

- Exposed Structural Concrete allowed
- with architectural detailing and
- U clean, high quality construction
- formwork (such as steel forms or plastic
- U faced plywood)

Generally, Grade 1 materials should be used for signature buildings at the perimeter of the site and Grade 2 materials may be used for buildings on the interior of the site. Unique materials and colors are encouraged along the ground level, at building entrances and on the rooftops.

In general, whether Grade 1 or Grade 2 materials are used, a high quality level of finishes and details is important.

Building Bases

Grade 1 materials should be used on the building bases and the townhouses. Clear or lightly tinted glass is preferred. Retail storefronts may include ornamental metals.

Building Towers

The portion of the building above the base should use materials that are compatible with the material used for the base and also be complementary with neighboring buildings. Towers identified as signature buildings should use Grade 1 materials; however, the level of articulation and detailing may be simplified, Towers identified as Grade 2 may use exposed structural concrete clear or slightly tinted glass is preferred. Ornamental metals may be used for building accents.

Building Top

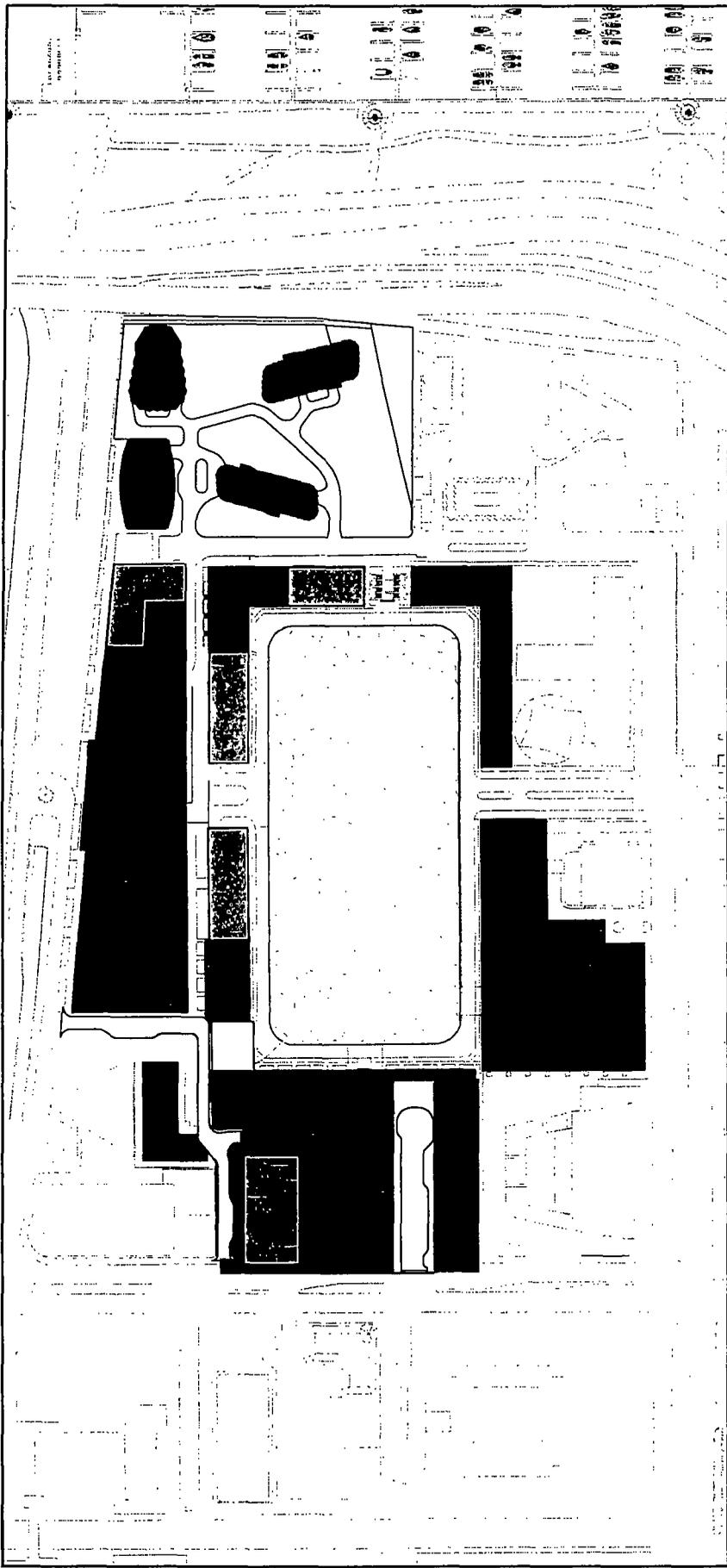
The materials used for the building tops should be of high quality and present a distinctive image for the building.

Material Color

Material colors should minimize differences between adjacent parcels. Predominantly dark buildings are discouraged.

Stone, brick and concrete colors should be light to medium warm neutral colors.

Glass colors may include clear panes or slightly tinted gray, silver, green or blue.



- Base* - Grade 1 Materials
- Tower - Grade 1 Materials (where applicable)
- ▨ Base* - Grade 1 Materials
- ▩ Tower - Grade 2 Materials (where applicable)

*Refer to parcel diagrams for height of base material

NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

Environmentally Conscious Design

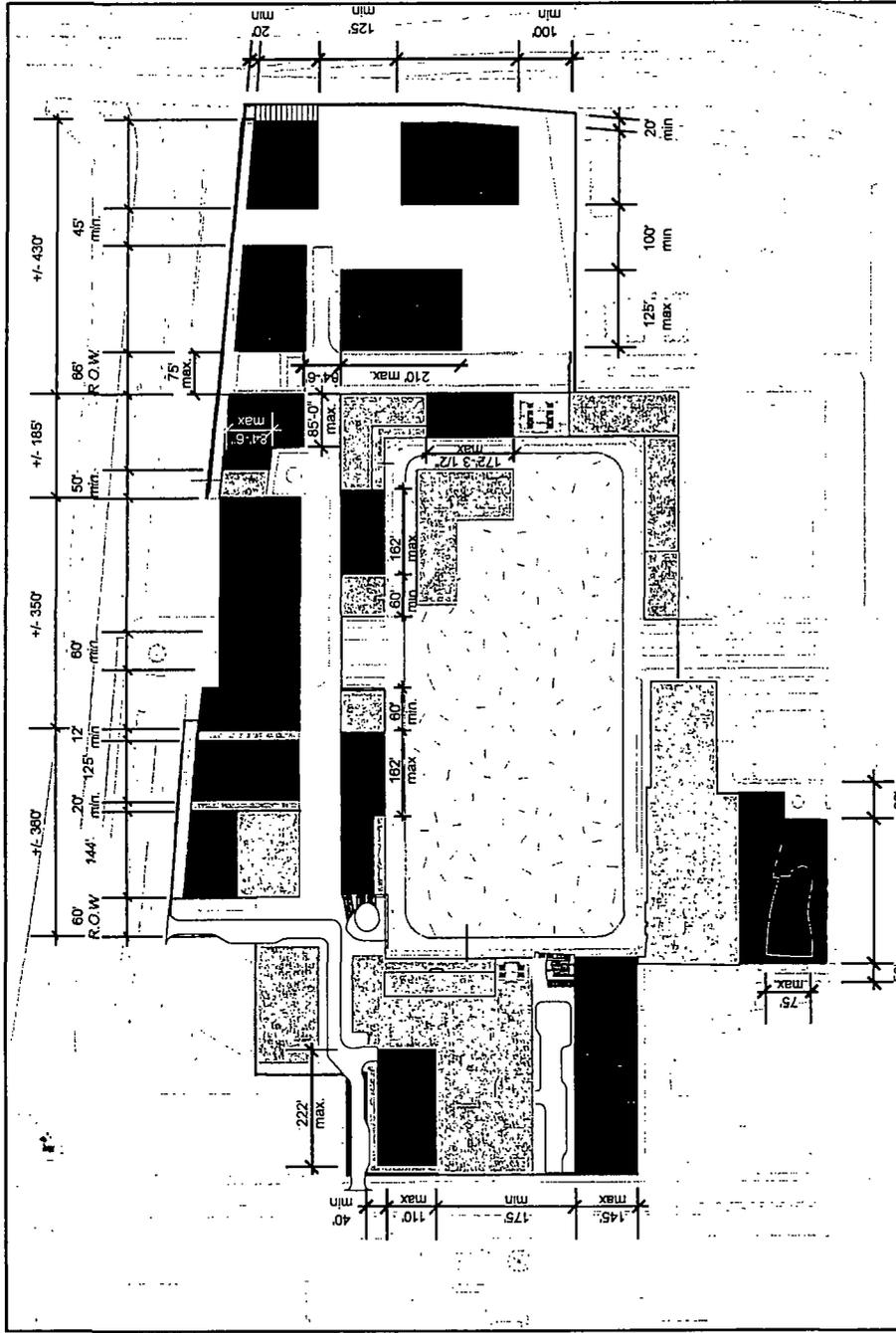
The Lake Shore East Development is a significant opportunity to showcase environmentally sensitive design. The following recommendations can be used as a checklist to evaluate and ensure an environmentally sensitive approach to building a neighborhood.

Site Design Recommendations

- Orient buildings to optimize solar exposure.
- Buildings near the Lakefront should reduce the amount of curtain wall in an effort to reduce the injury to migratory birds due to the transparent nature of the facade.
- Utilize indigenous landscape materials, with an appropriate diversity in plant species.
- Properly manage stormwater runoff
- Relocate and reuse existing trees.

Building Design Recommendations

- Coordinate programmed areas that will benefit from sun exposures in appropriate zones within the building.
- Manipulate building envelopes that will respond to climate and orientation.
- Consider the integration of photovoltaic panels and/or fuel cells for electric generation.
- Encourage operable windows that provide fresh air to interior workspaces and living spaces.
- Utilize energy efficient building systems.
- Include "landscape roofs."
- Develop exterior and interior shading devices that minimize heat gain.
- Utilize exterior and interior "light shelves" that bring daylight into the interior zones and workspaces of the buildings.
- Minimize the use of hazardous or "off gassing" materials, specifically materials with volatile organic compounds (VOC's).
- Employ wood products harvested from certified forests.
- When possible, select materials based on life-cycling costs.
- Develop lighting controls that manage energy consumption including task lighting, daylighting, an energy efficient artificial lighting.
- Coordinate a tenant recycling program with the City of Chicago's recycling program.



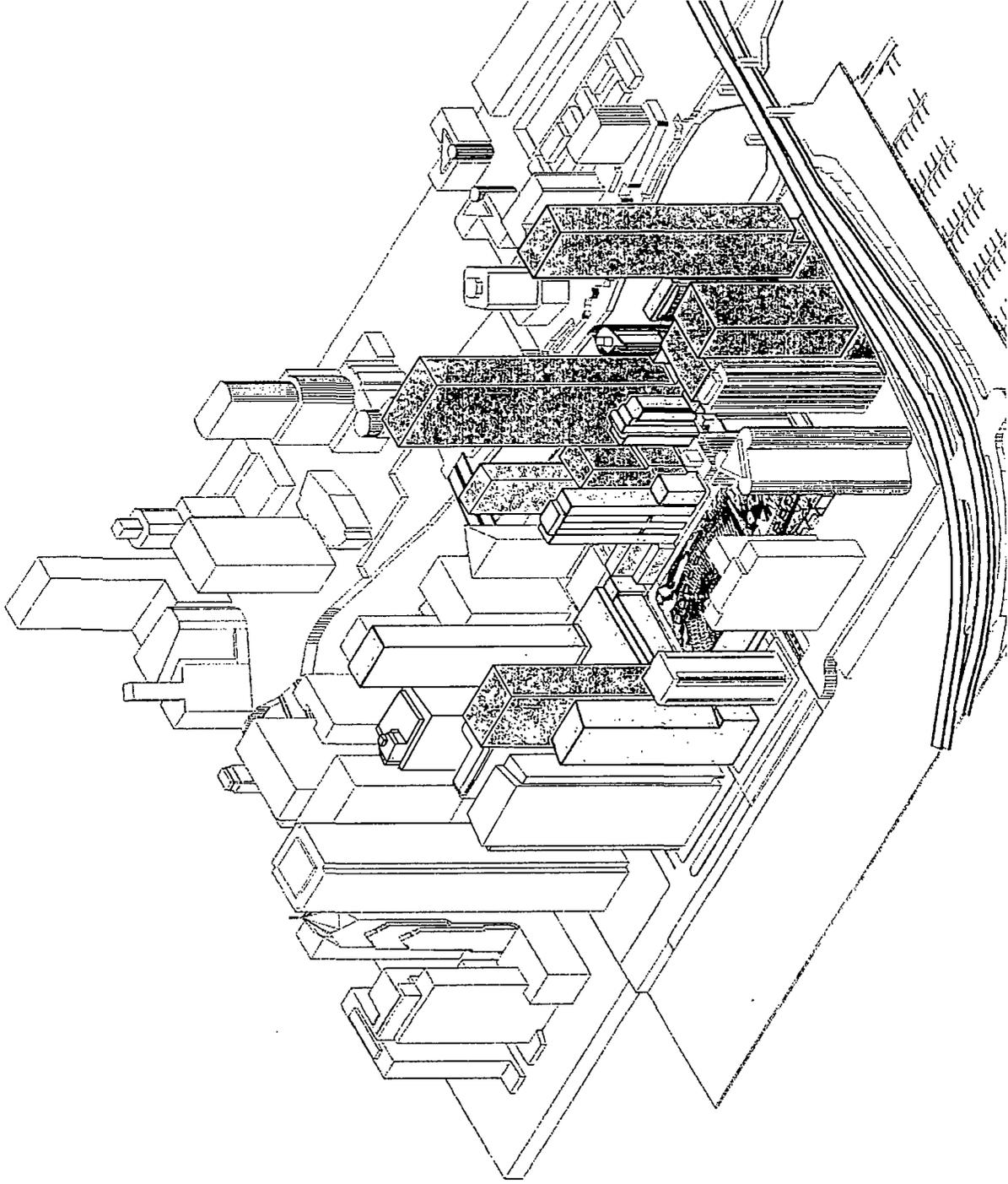
- Highrise Buildings - Maximum Building Envelopes
- Midrise and lowrise Buildings - Maximum Building Envelopes
- Highrise Buildings - Maximum Building Envelopes above 20'

NOTE: All overall dimensions should be verified with land survey

NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations.

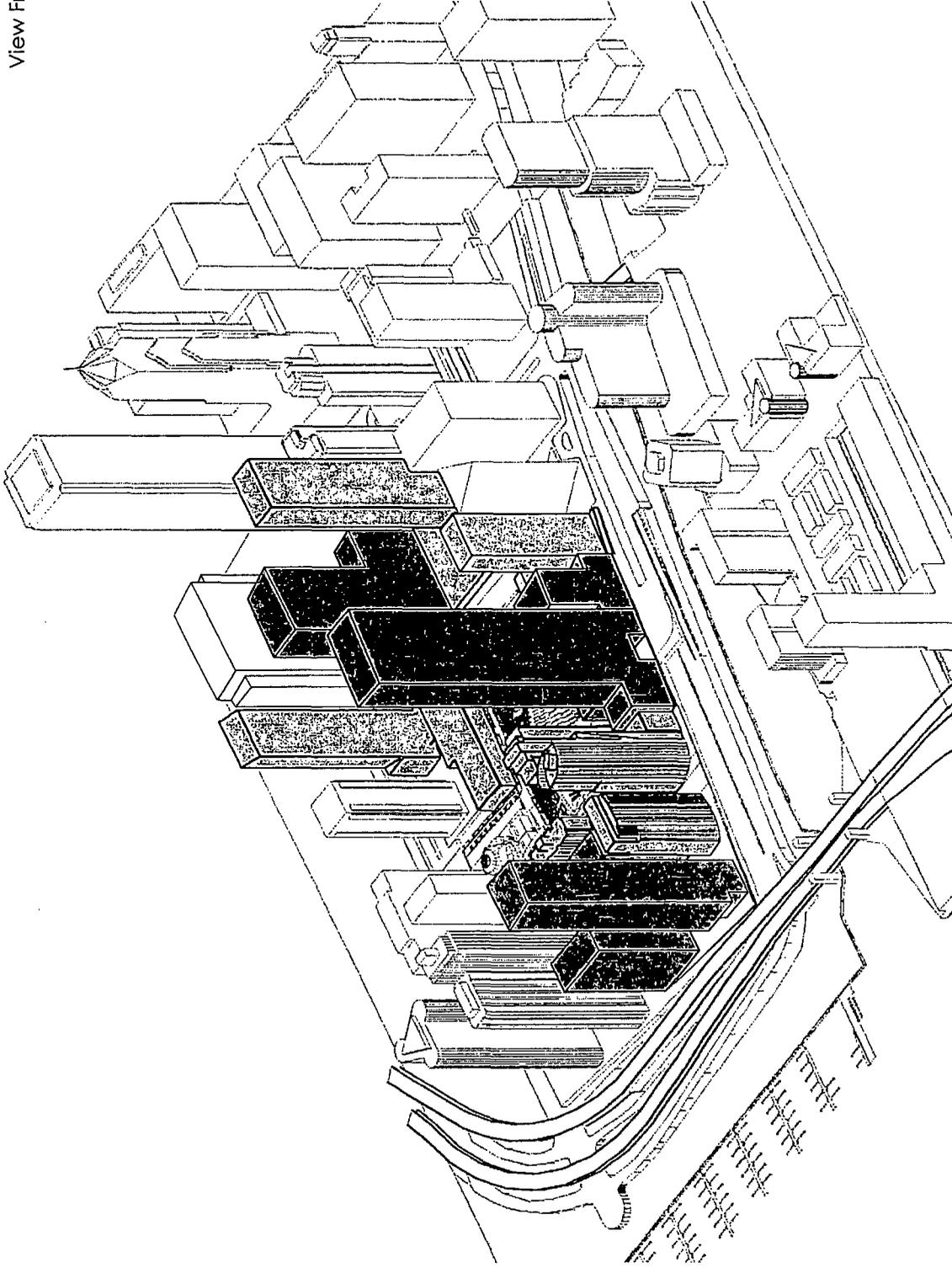
October, 2018

Maximum Building Envelope
View From the Southeast



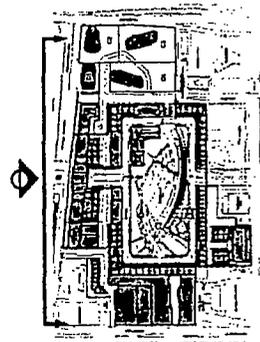
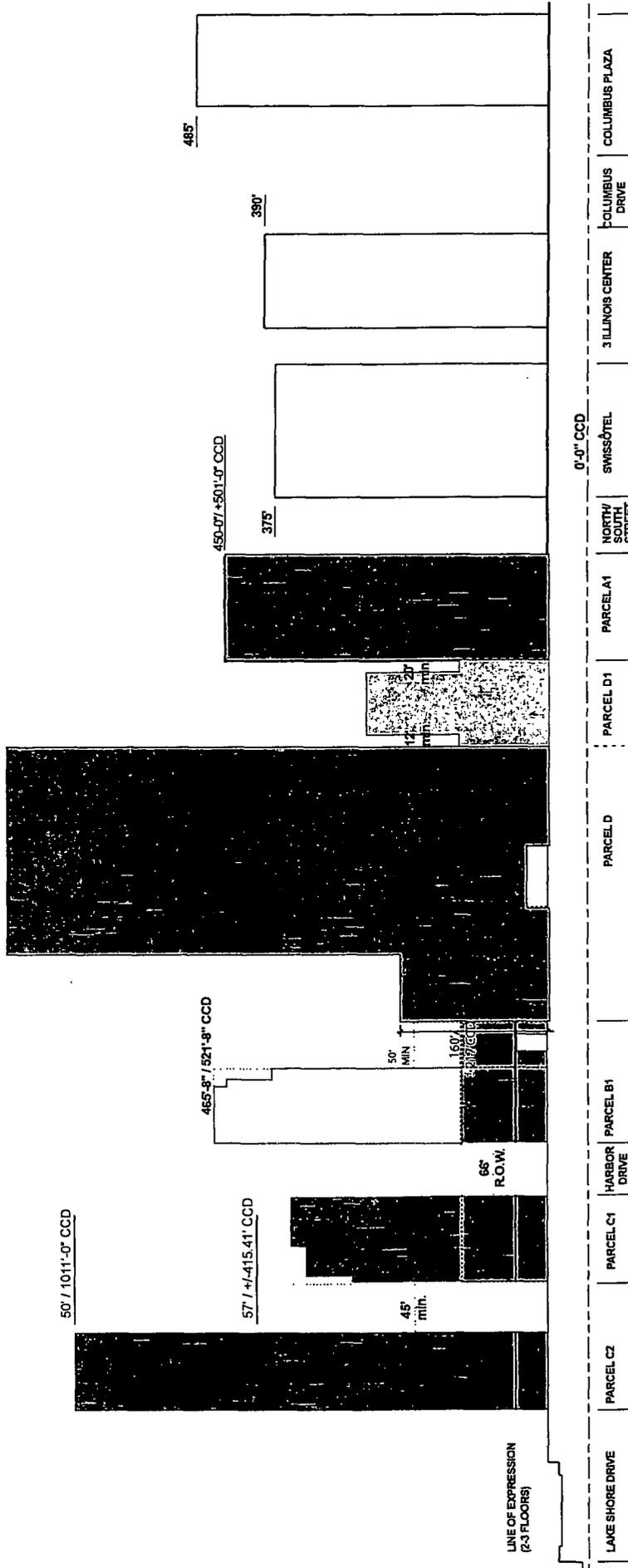
October, 2018

Maximum Building Envelope
View From the Northeast



October, 2018

Illustrative Elevation
Wacker Drive

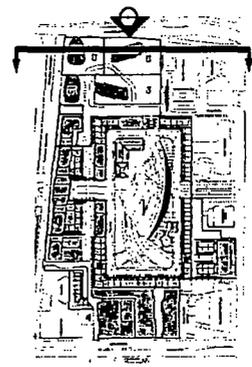
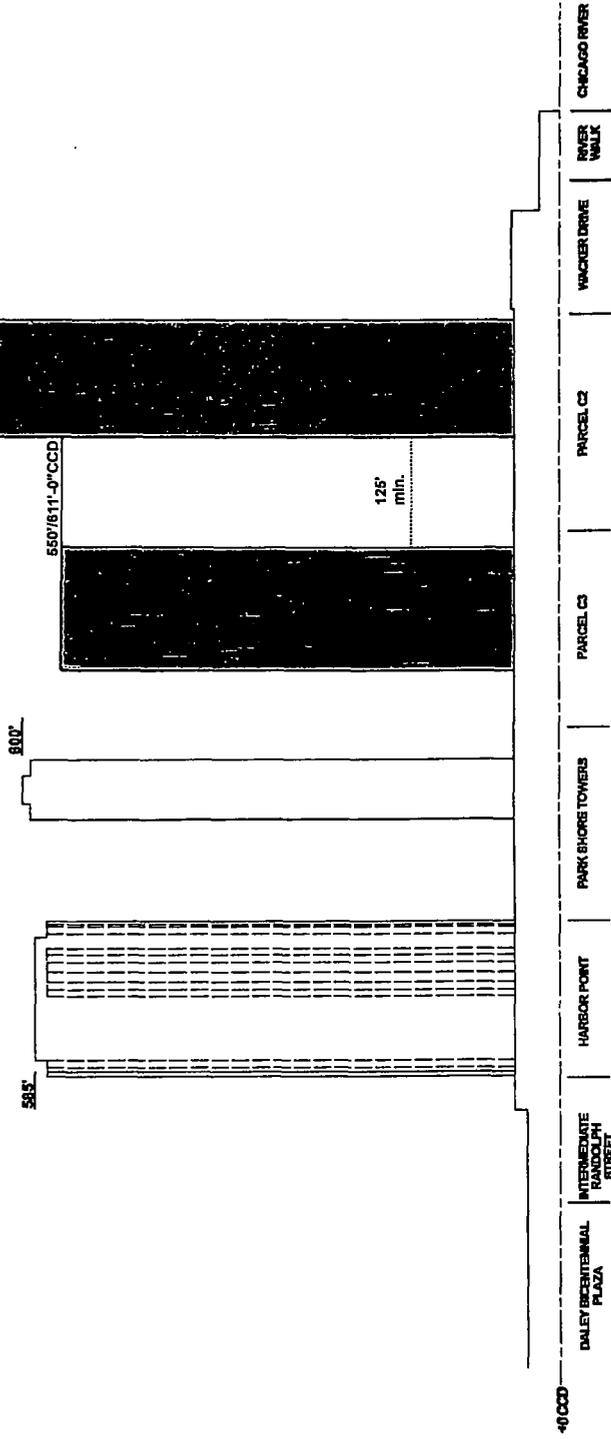


NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations.

October, 2018

Maximum Building Envelope 950/1011-0"CCD

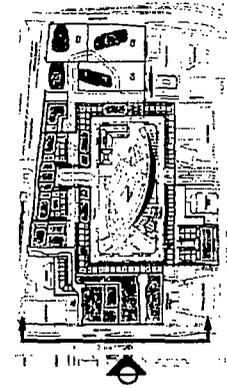
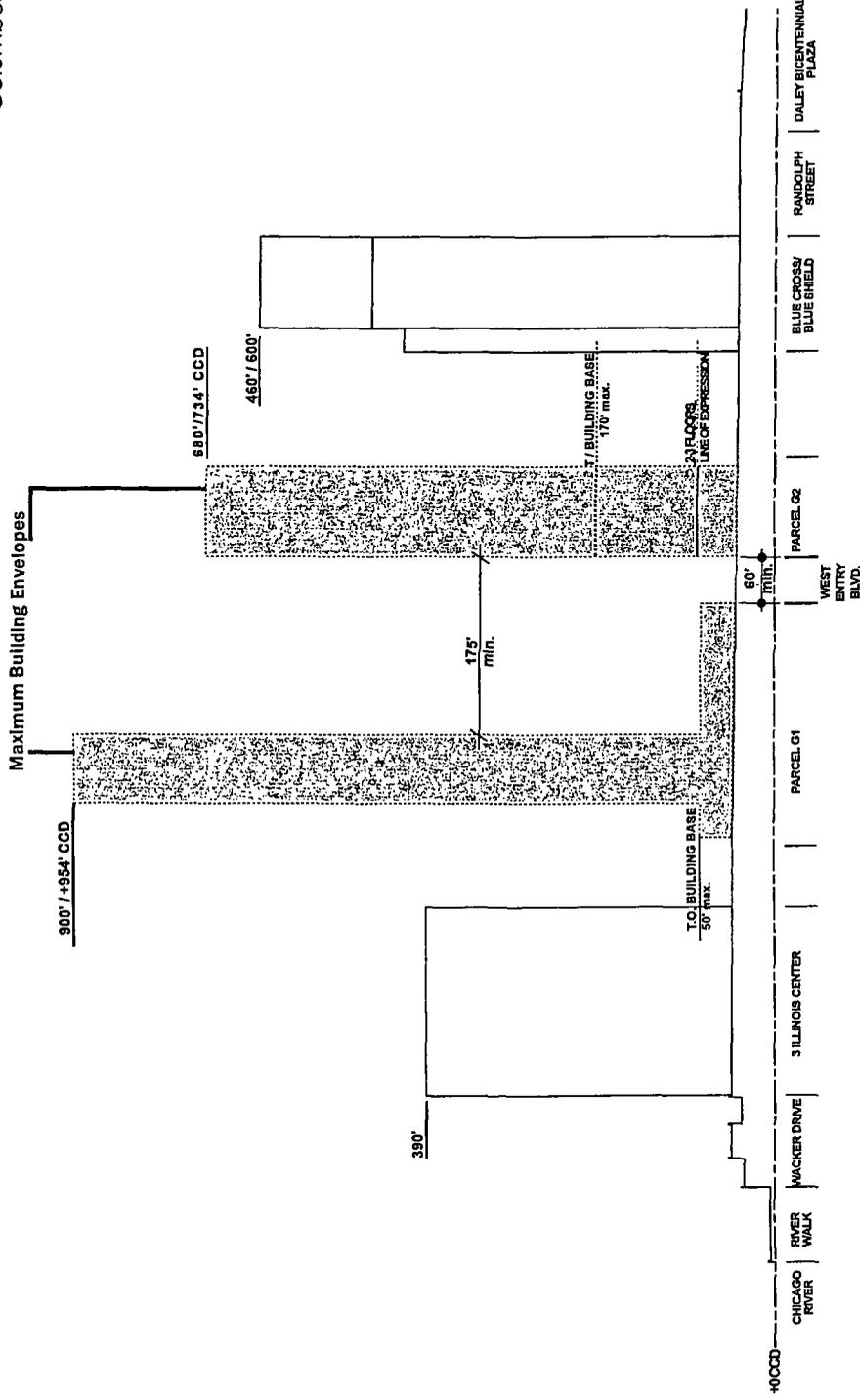
Illustrative Elevation
Lakeshore Drive



NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations.

October, 2018

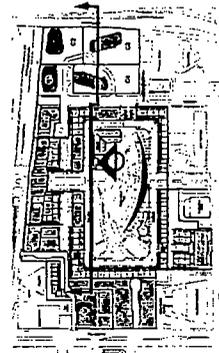
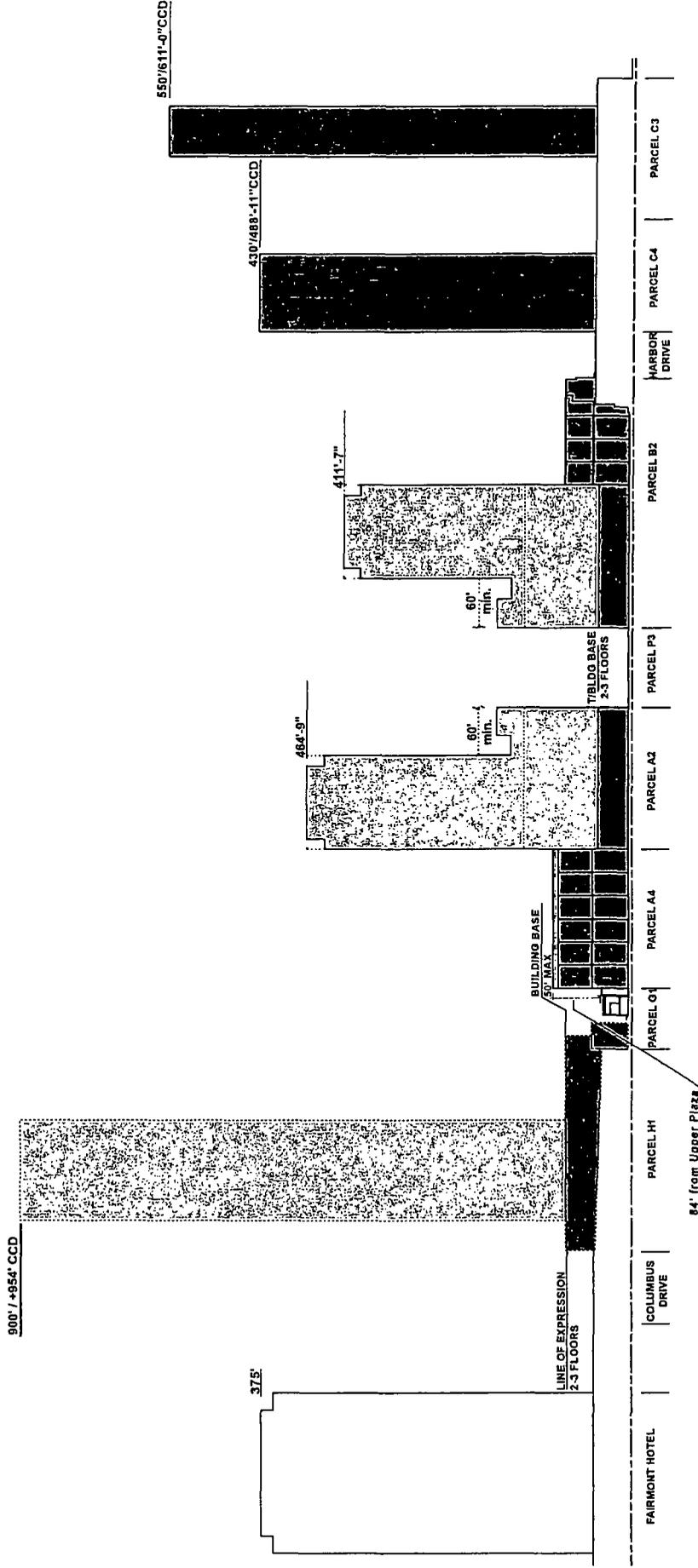
Illustrative Elevation
Columbus Drive



NOTE: These sections are diagrammatic in nature and are only intended to show approximate locations.

October, 2018

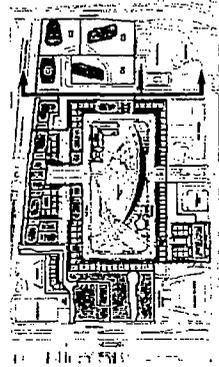
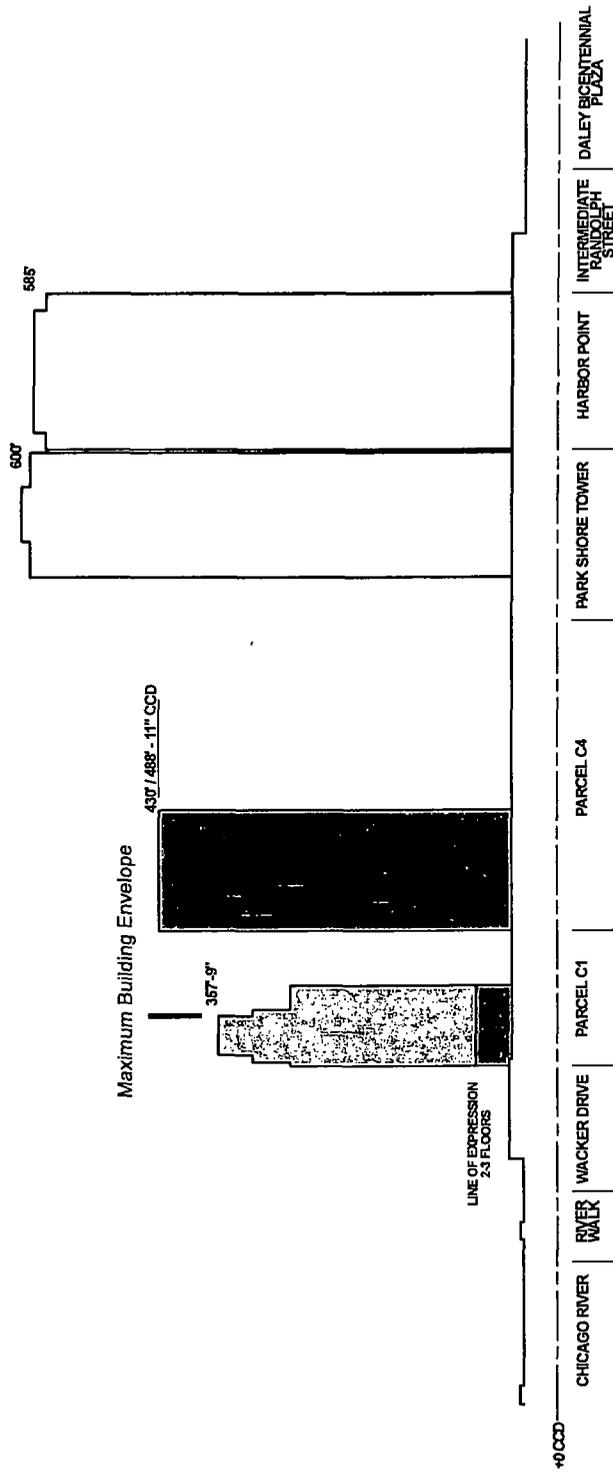
Illustrative Elevation
East / West Section:
View Looking North



NOTE: These sections are diagrammatic in nature and are only intended to show approximate locations.

October, 2018

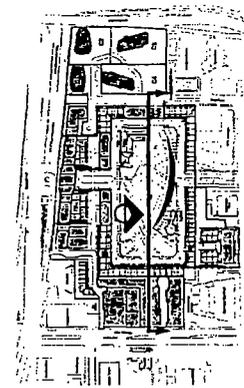
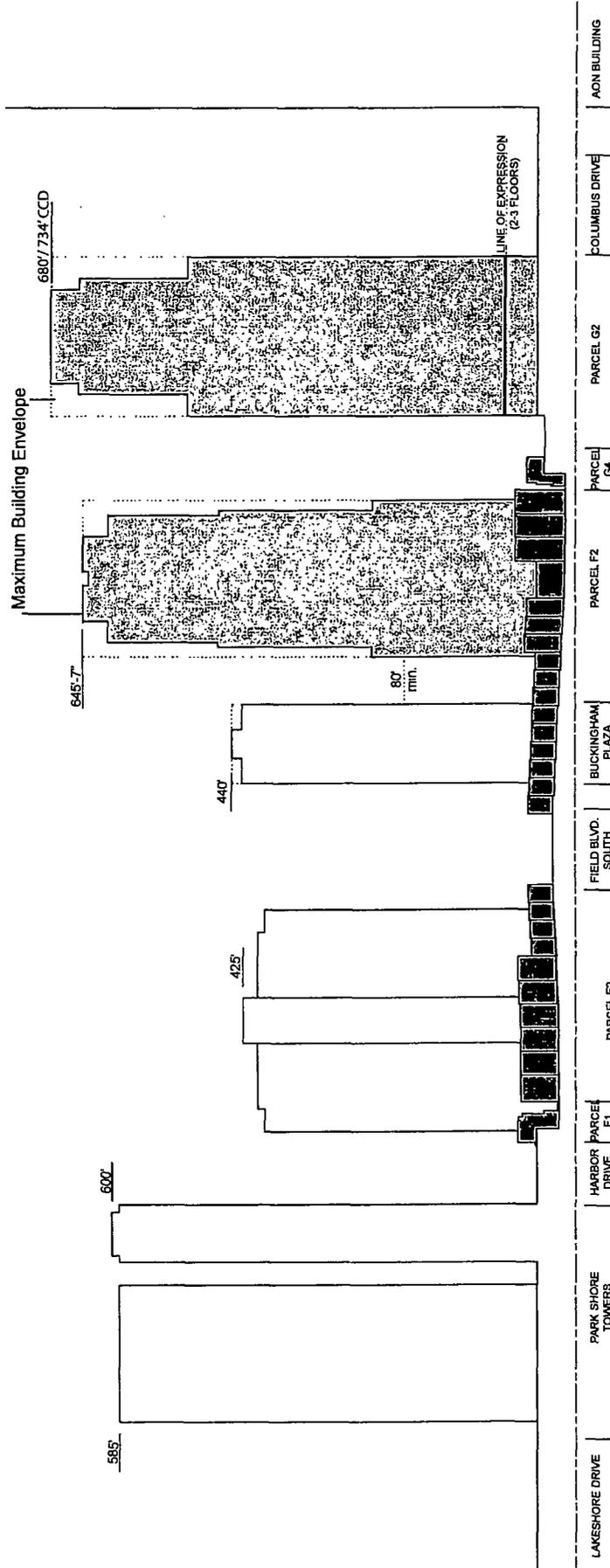
Illustrative Elevation
Harbor Drive:
View Looking East



NOTE: These sections are diagrammatic in nature and are only intended to show approximate locations.

October, 2018

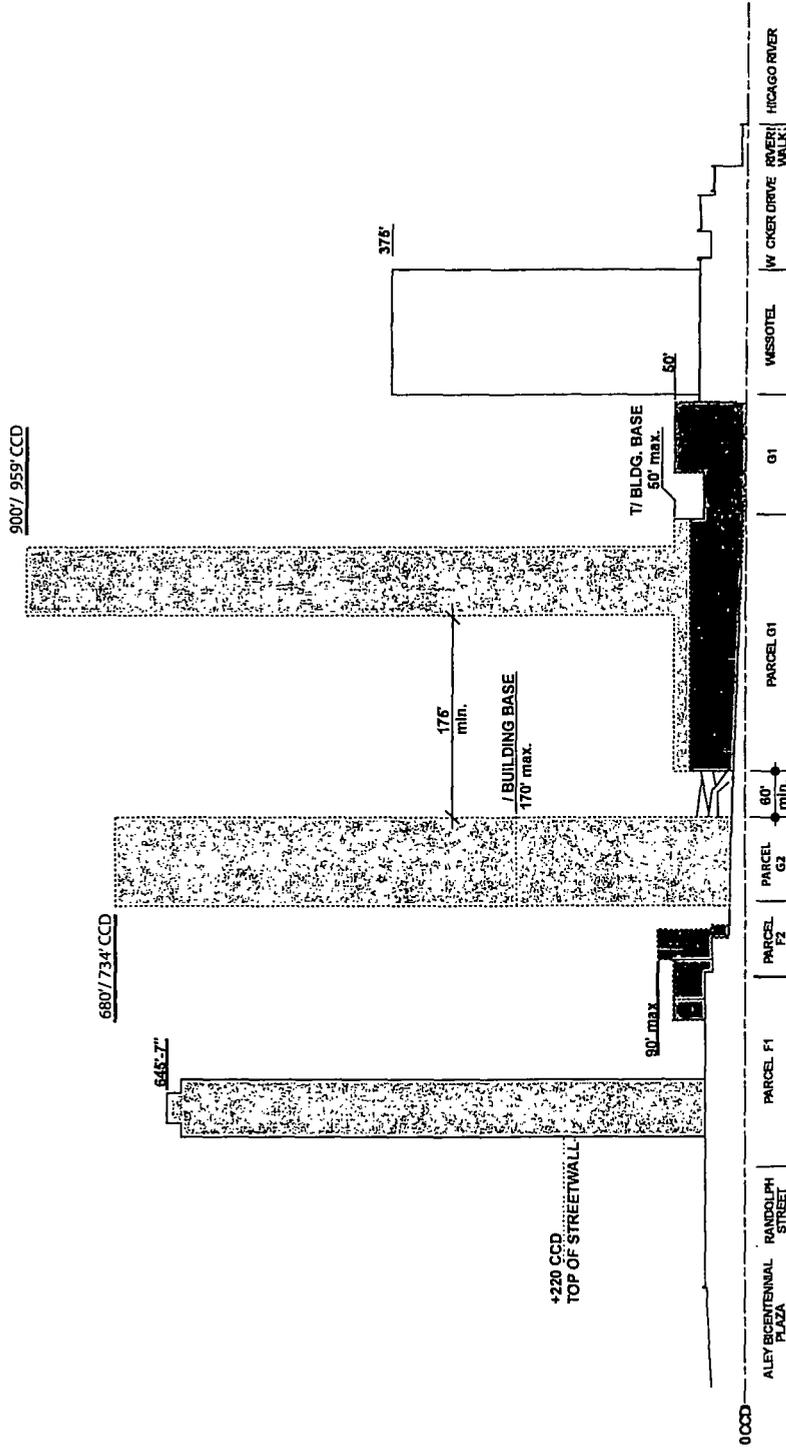
Illustrative Elevation Neighborhood Park - View South



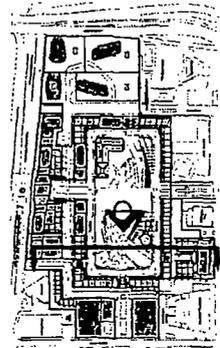
NOTE: These sections are diagrammatic in nature and are only intended to show approximate locations.

October, 2018

Illustrative Elevation
North / South Section:
View Looking West



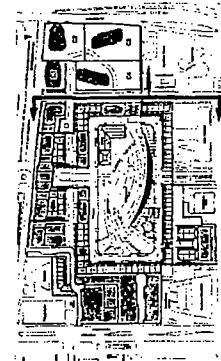
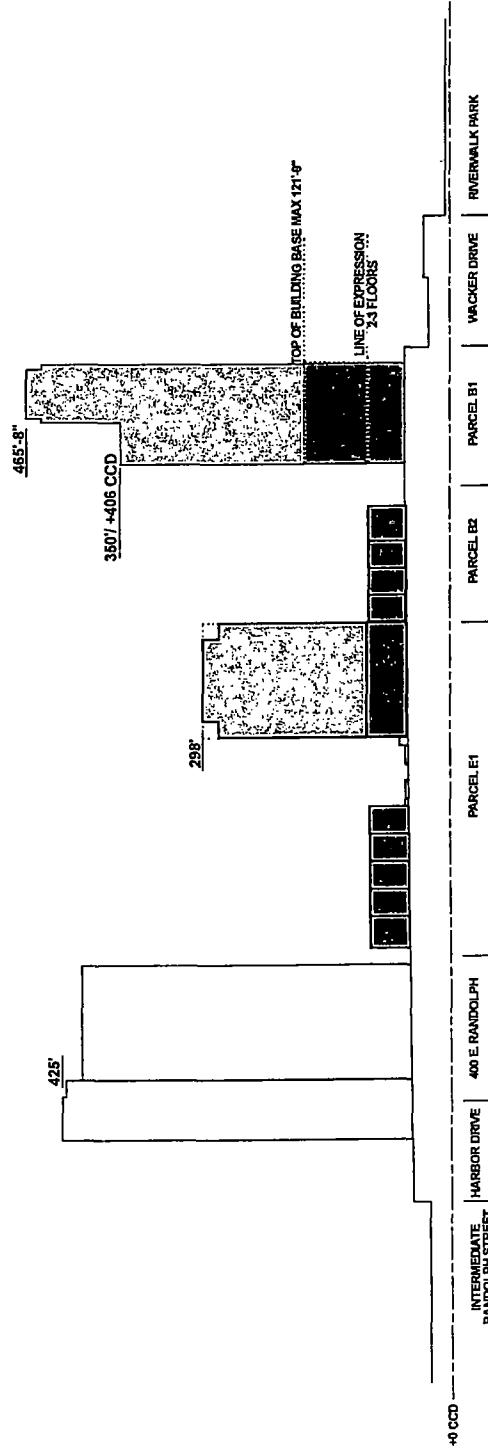
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NOTE: These sections are diagrammatic in nature and are only intended to show approximate locations.

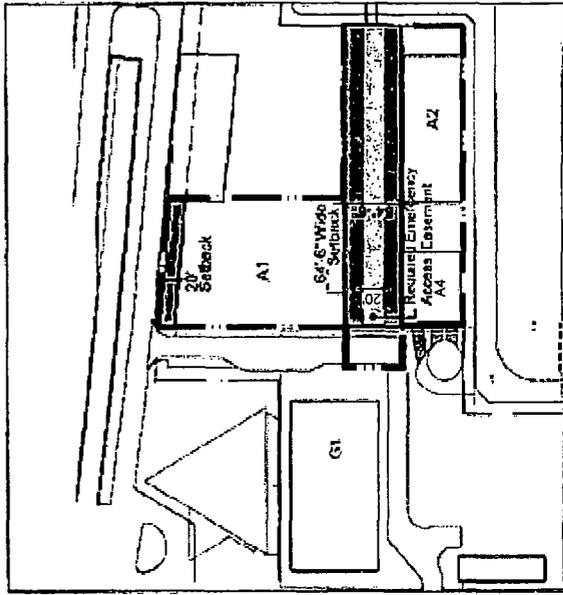
October, 2018

Illustrative Elevation
Harbor Drive:
View Looking West

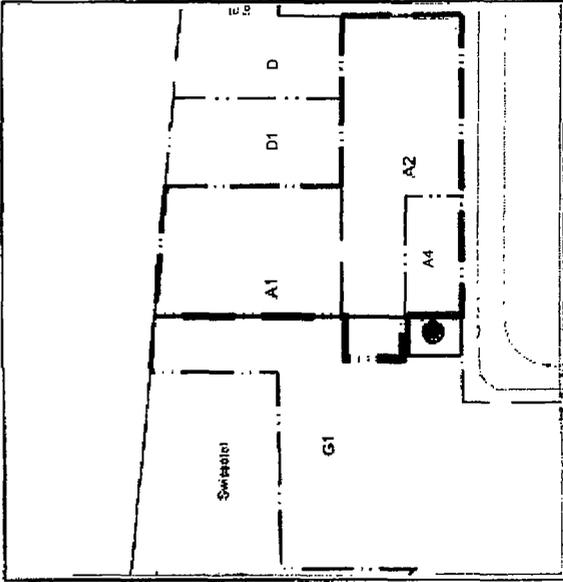


NOTE: These sections are diagrammatic in nature and are only intended to show approximate locations.

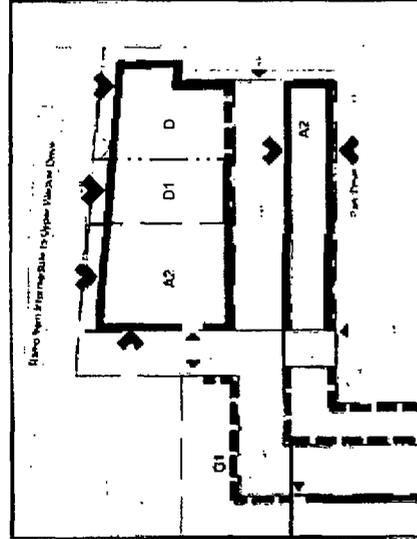
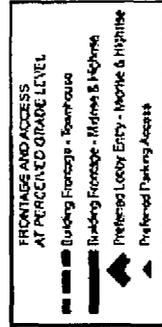
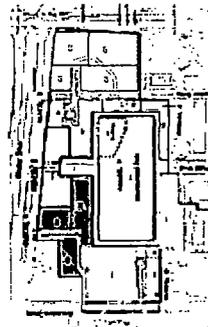
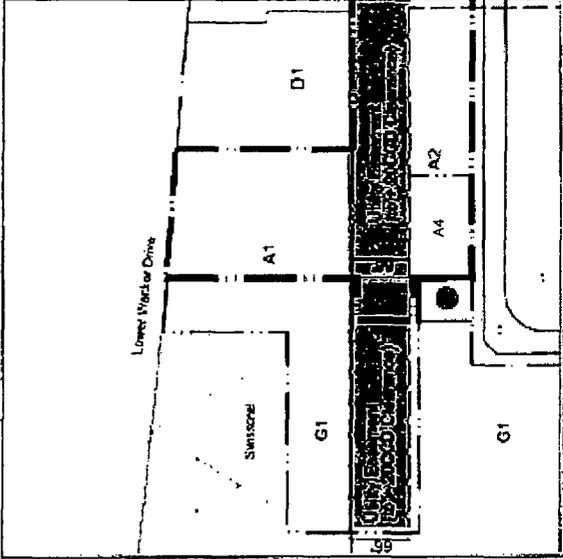
UPPER LEVEL - PARCELA



INTERMEDIATE LEVEL - PARCELA

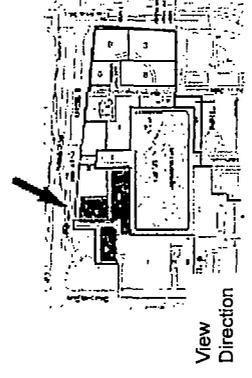
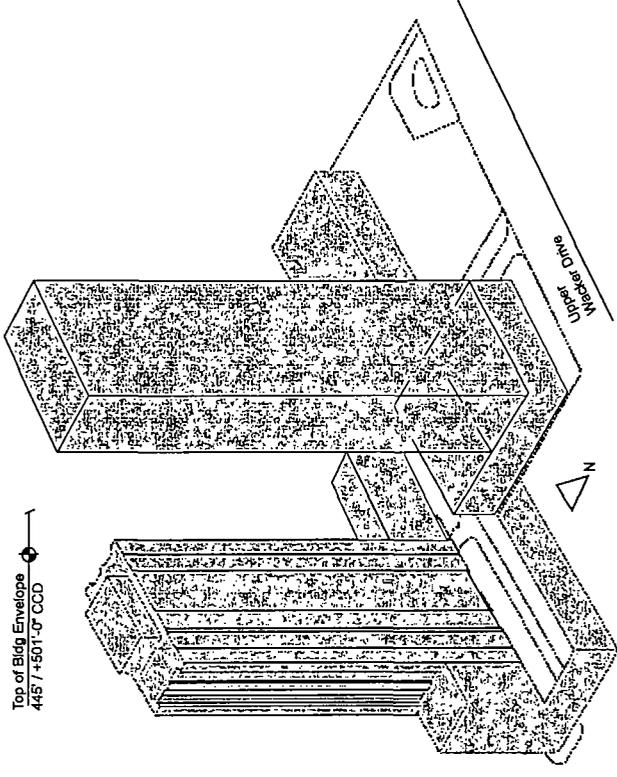
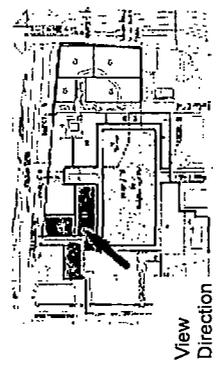
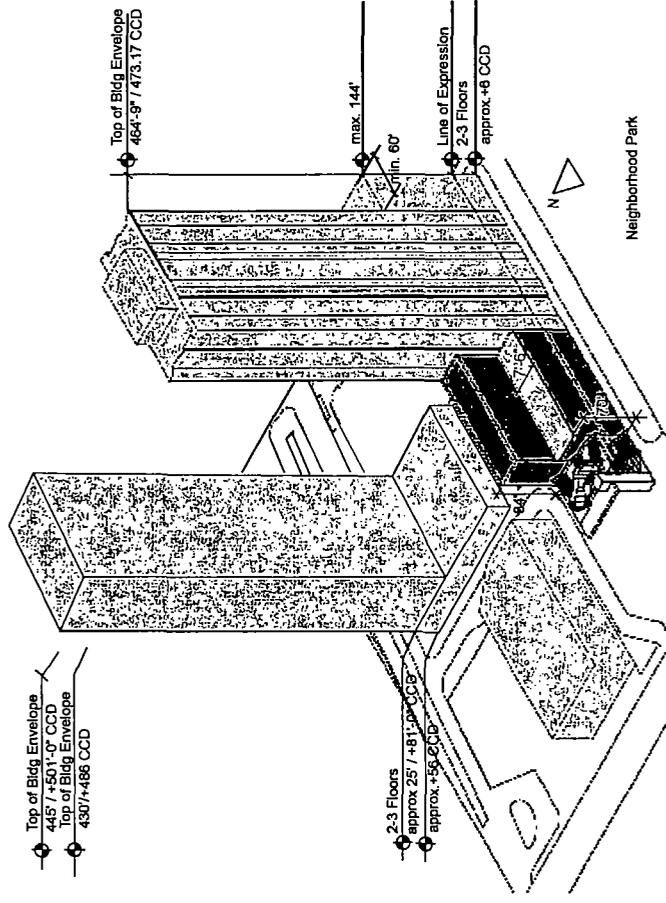


LOWER LEVEL - PARCELA

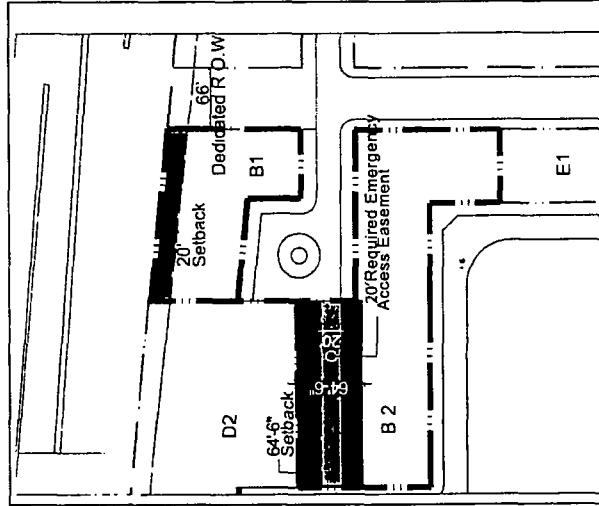


PARCEL A - GRADE LEVEL BUILDING FRONTAGE AND PREFERRED ACCESS PLAN

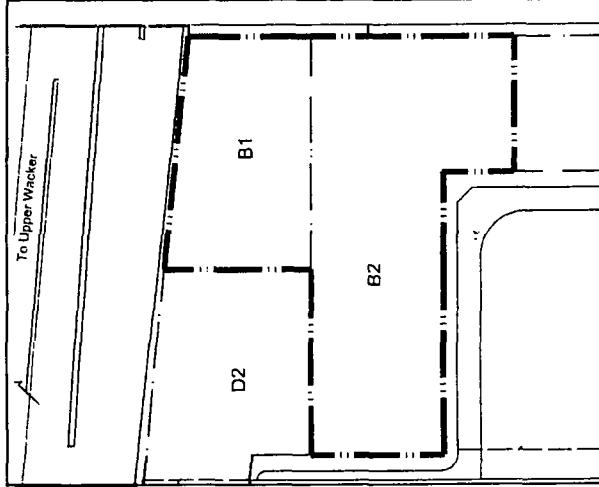
NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access.



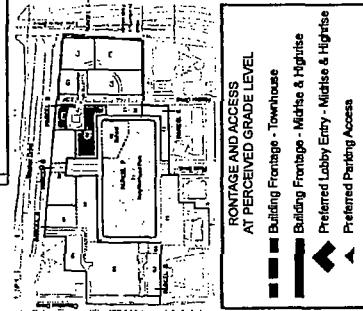
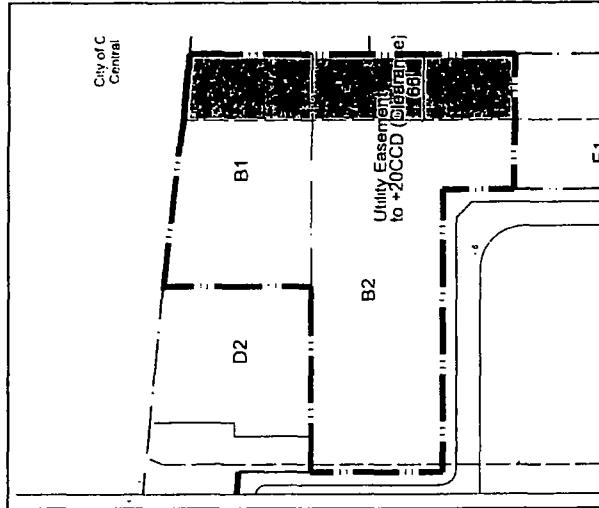
UPPER LEVEL - PARCEL B



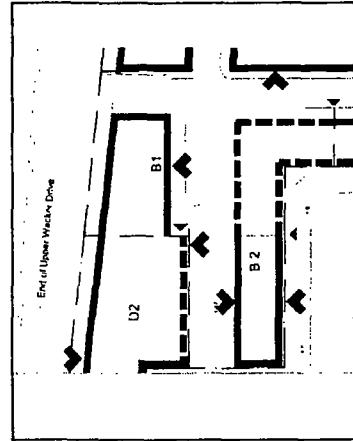
INTERMEDIATE LEVEL - PARCEL B



LOWER LEVEL - PARCEL B

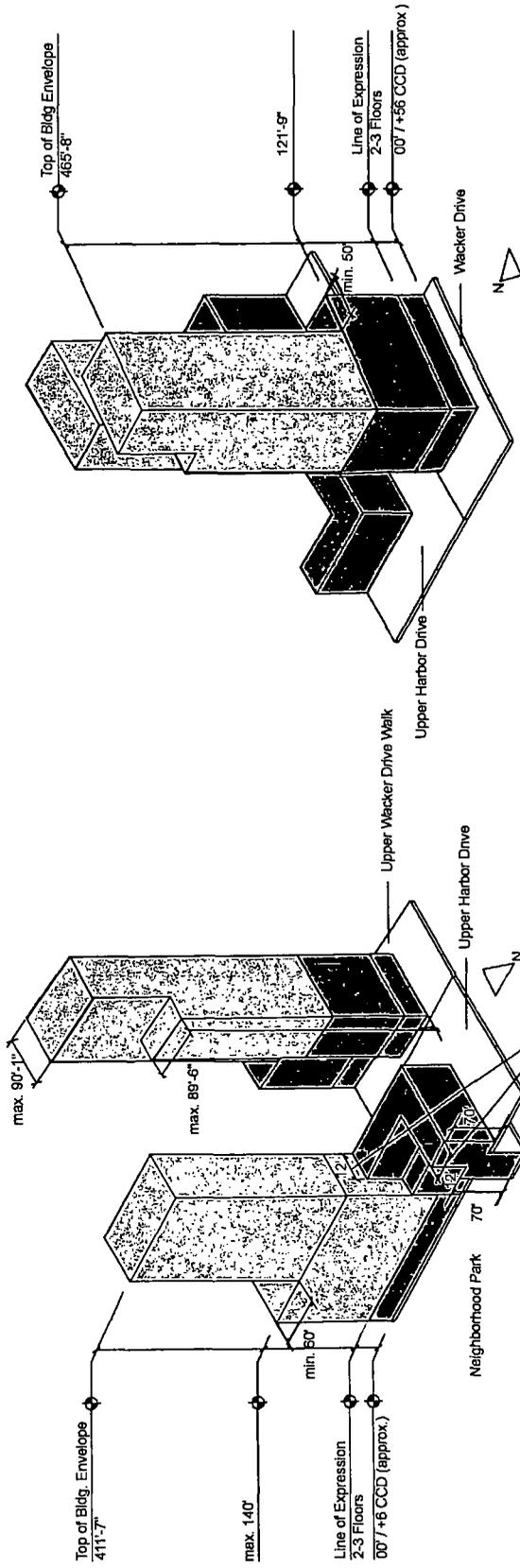


| Net Site Area (Approx) | | Upper | Intermediate | Lower |
|------------------------|--|--------|--------------|--------|
| B1 | | 17,493 | 33,177 | 22,246 |
| B2 | | 30,095 | 65,713 | 52,706 |

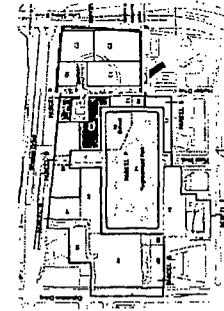


PARCEL B - GRADE LEVEL BUILDING FRONTAGE AND PREFERRED ACCESS PLAN

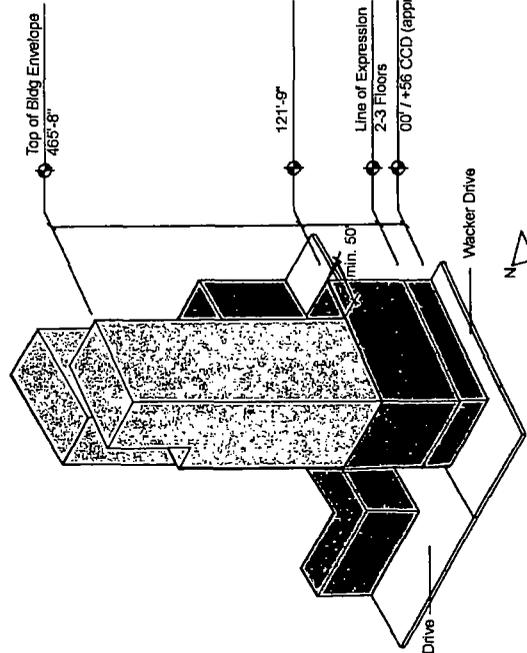
NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access



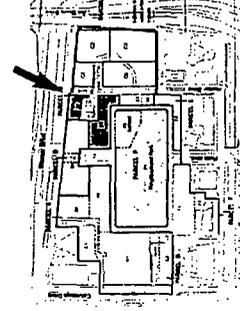
● 12' NO BUILD SET BACK @ ROOF SUBJECT TO COMMITTEE ON BUILDING STANDARDS AND TESTS, DATED OCTOBER 2, 2002 (SEE ATTACHED)



View Direction

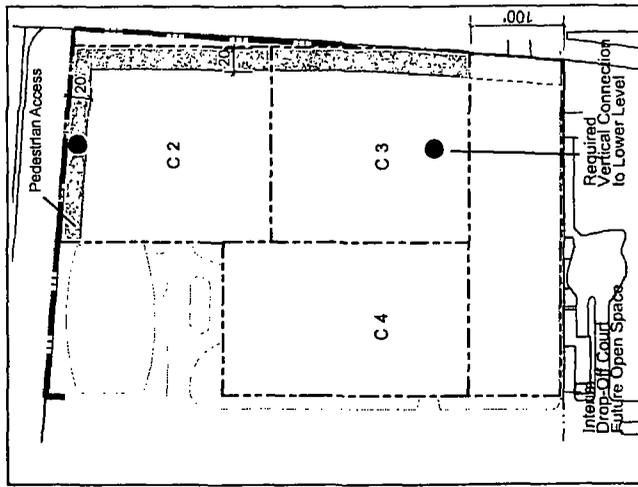


● 12' NO BUILD SET BACK @ ROOF SUBJECT TO COMMITTEE ON BUILDING STANDARDS AND TESTS, DATED OCTOBER 2, 2002 (SEE ATTACHED)

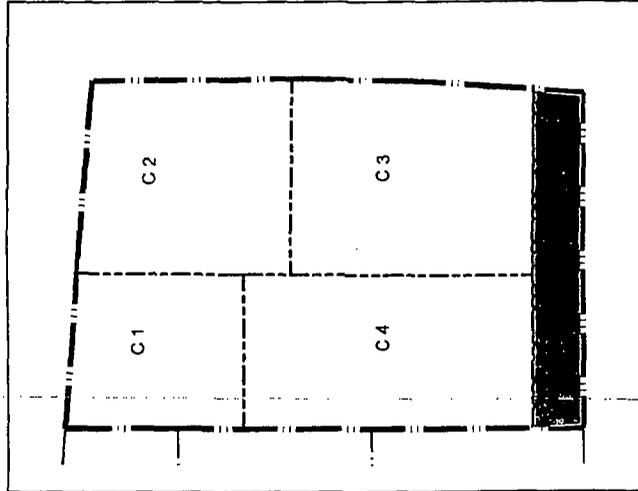


View Direction

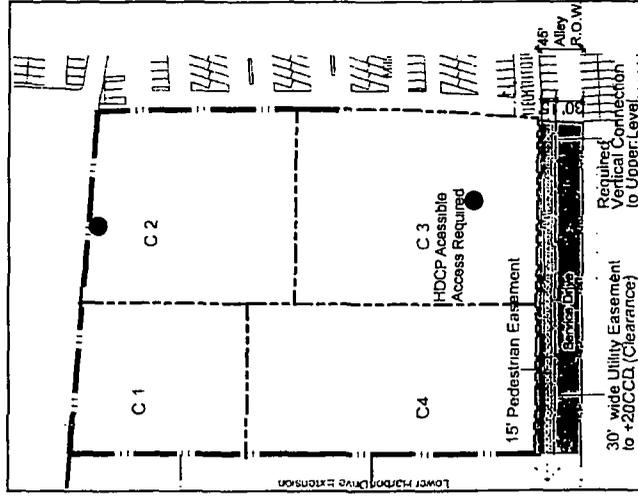
UPPER LEVEL - PARCEL C



INTERMEDIATE LEVEL - PARCEL C

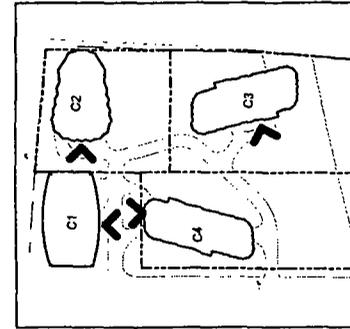


LOWER LEVEL - PARCEL C



FRONTAGE AND ACCESS AT PERCEIVED GRADE LEVEL

- Building Frontage - Townhouse
- Building Frontage - Midrise & Highrise
- Preferred Lobby Entry - Midrise & Highrise
- Preferred Parking Access



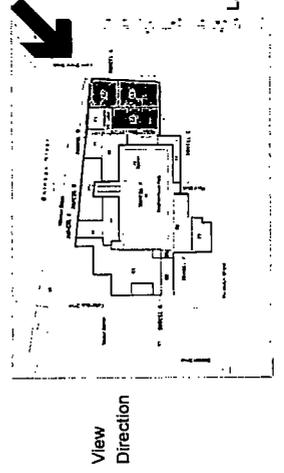
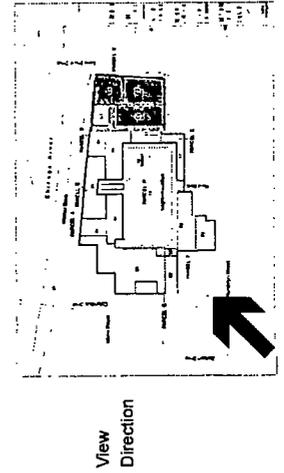
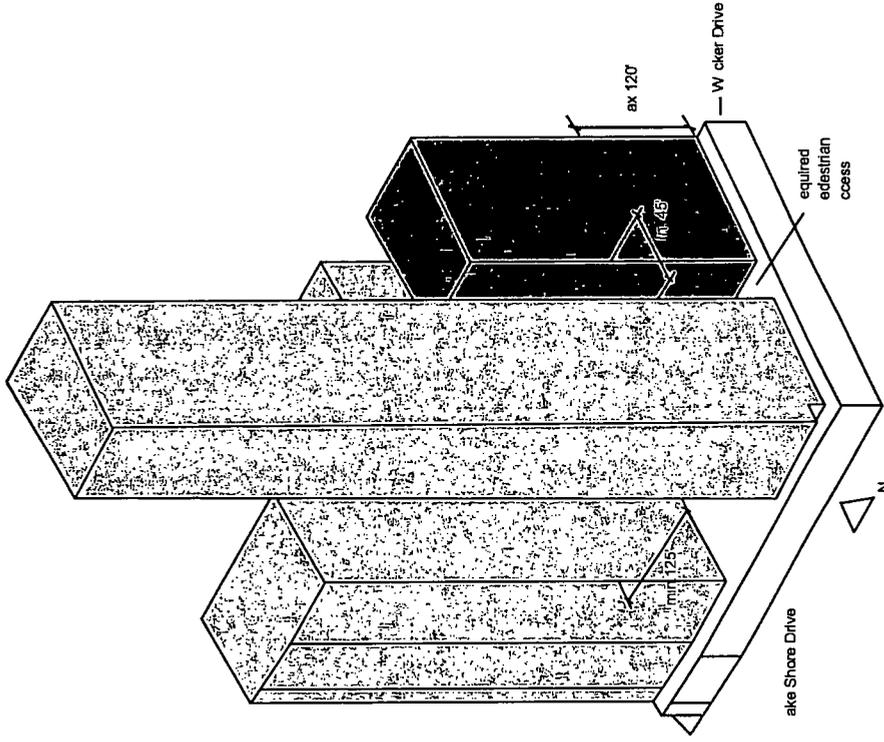
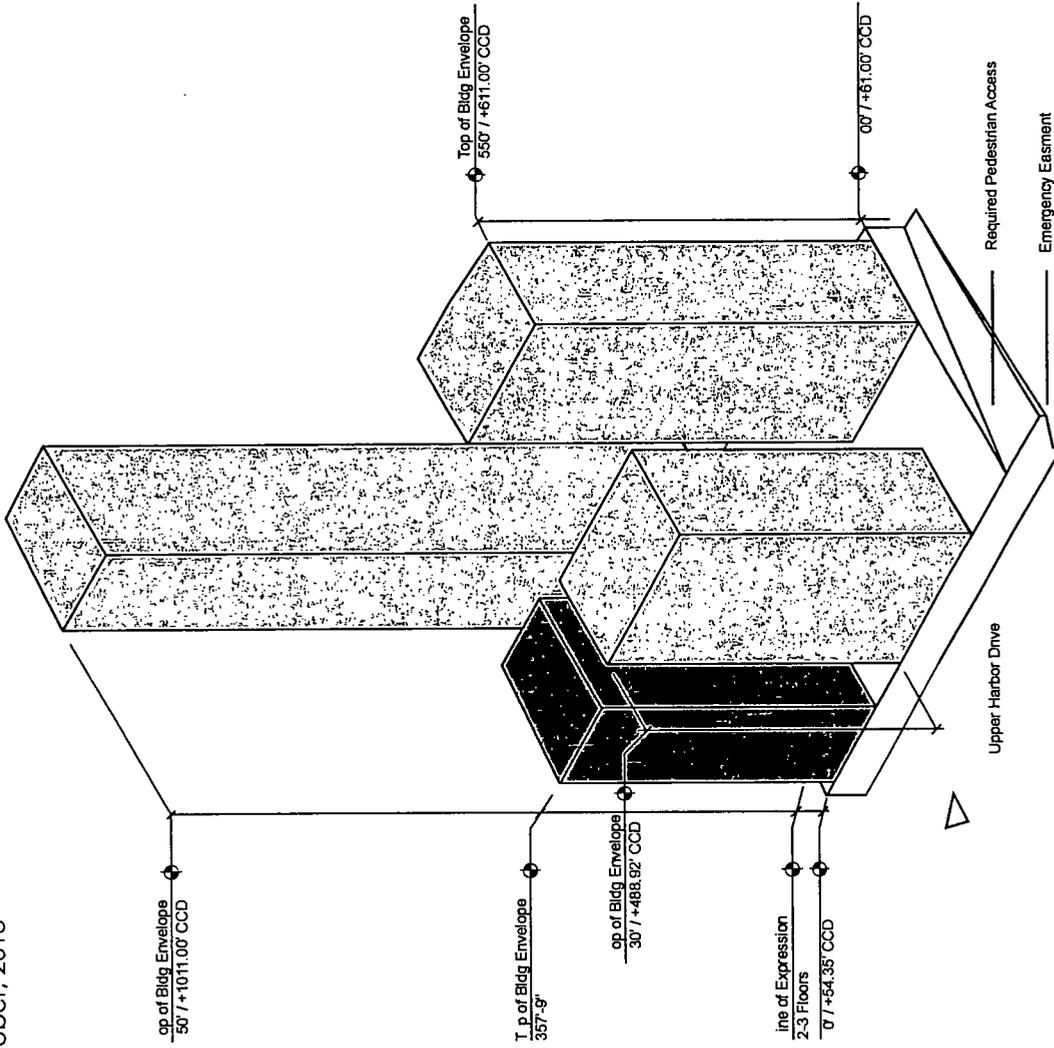
PARCEL C - GRADE LEVEL BUILDING FRONTAGE AND PREFERRED ACCESS PLAN

| et Site Area (Approx. Sq Ft.) | Upper | Intermediate | Lower |
|-------------------------------|--------|--------------|--------|
| 1 | 15,751 | 29,737 | 29,717 |
| 2 | 38,027 | 47,076 | 47,076 |
| 3 | 40,393 | 55,796 | 55,796 |
| 4 | 52,421 | 52,421 | 52,421 |

NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access.

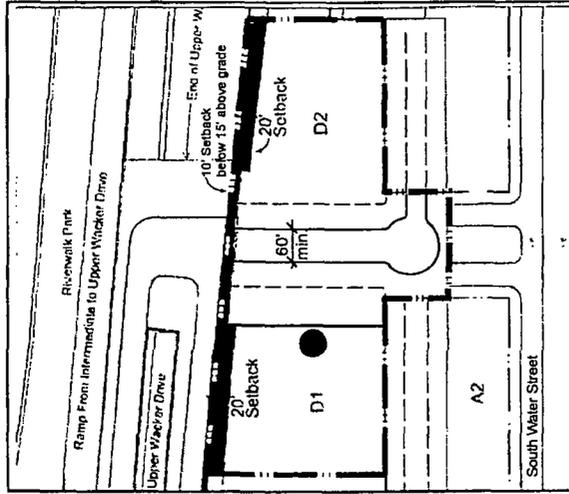
October, 2018

Maximum Building Envelope - Parcel C

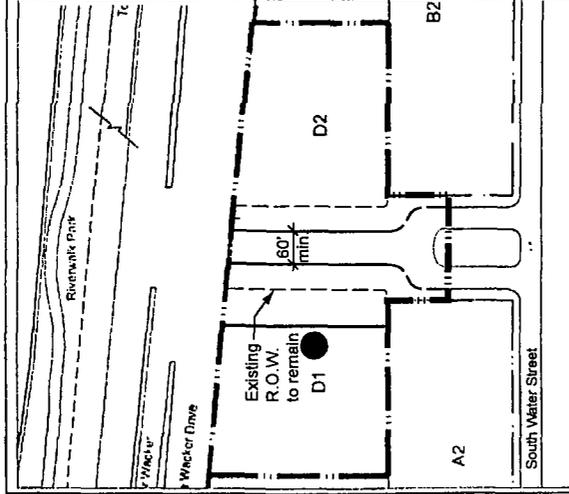


Parcel Design Criteria - Parcel D

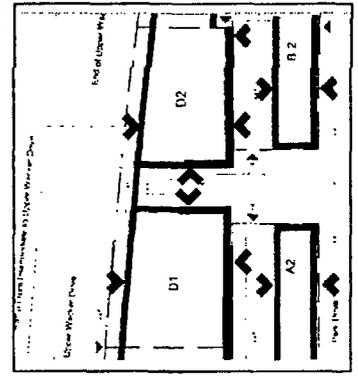
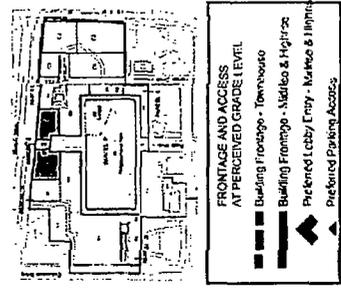
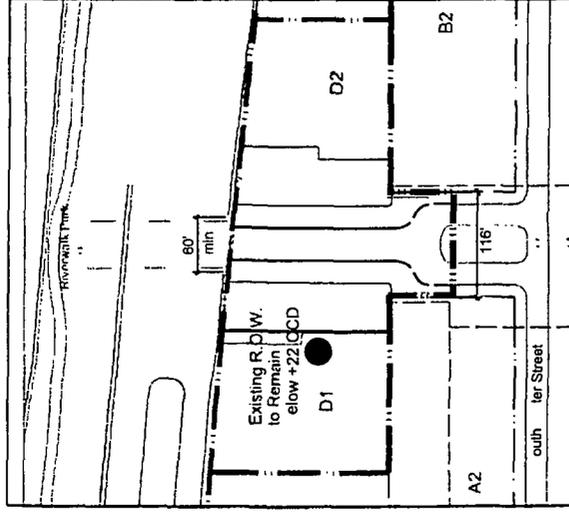
UPPER LEVEL - PARCEL D



INTERMEDIATE LEVEL - PARCEL D

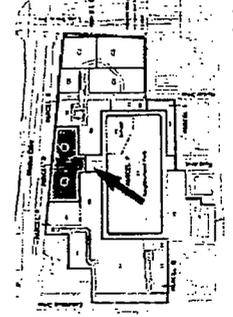
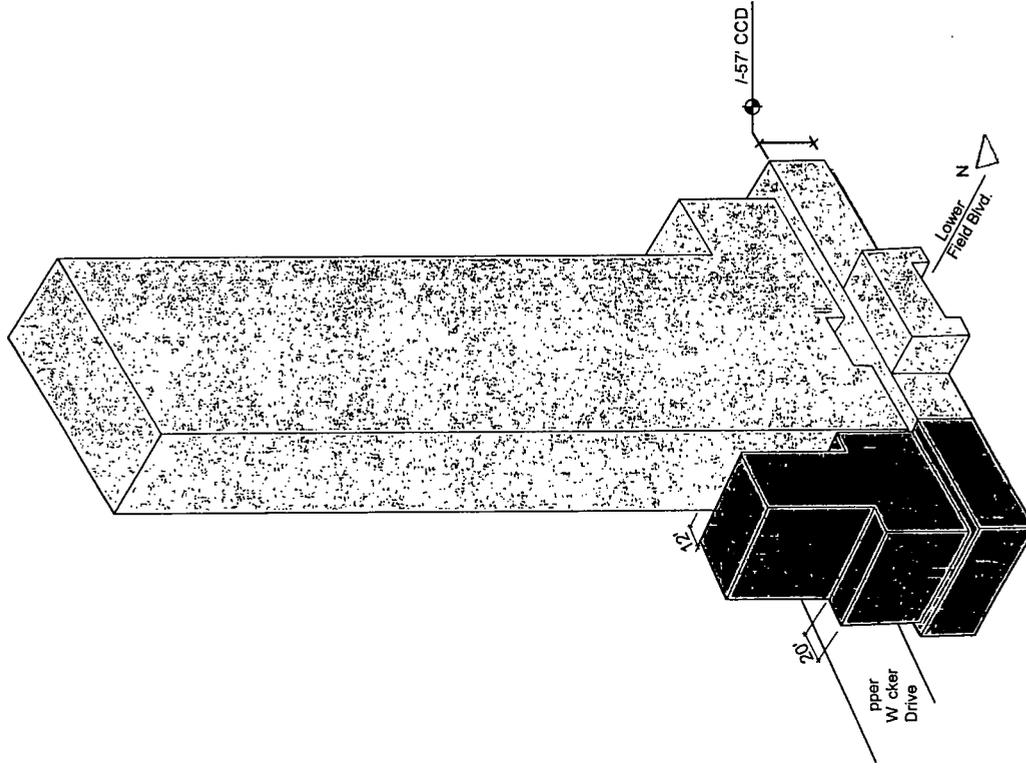


LOWER LEVEL - PARCEL D



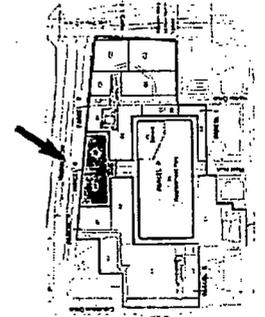
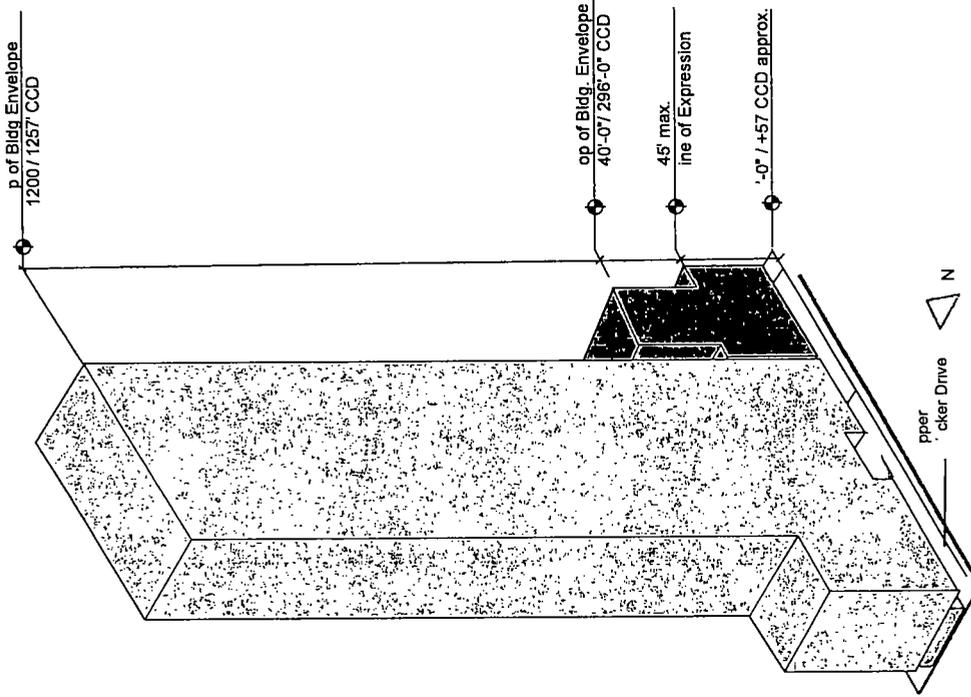
NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access.

Maximum Building Envelope - Parcel D



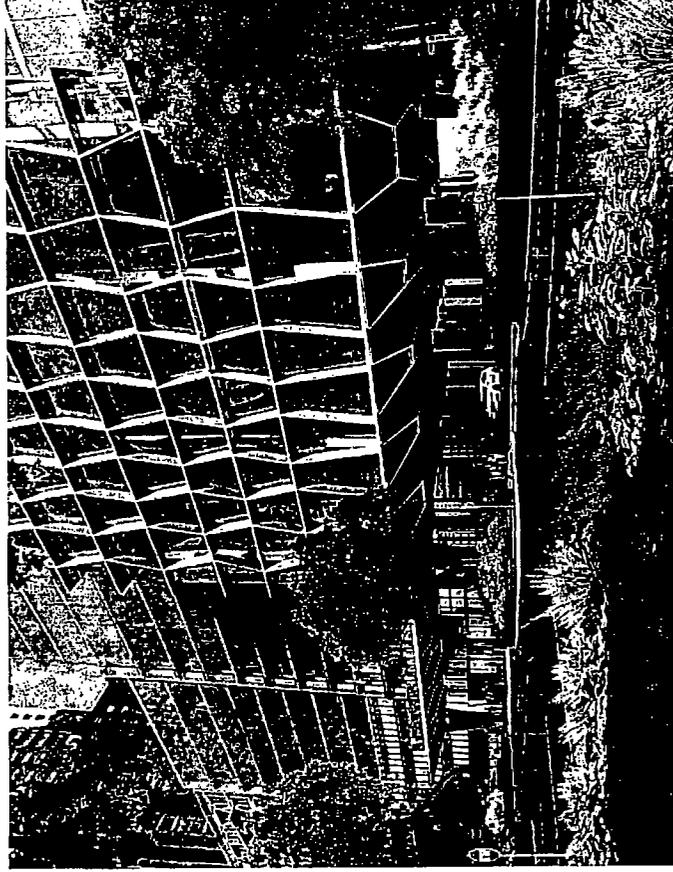
View direction

October, 2018

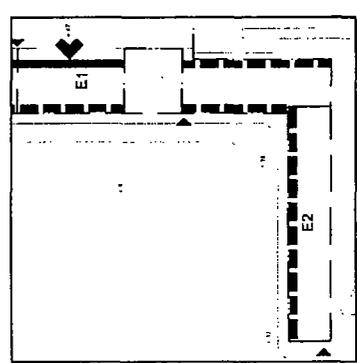
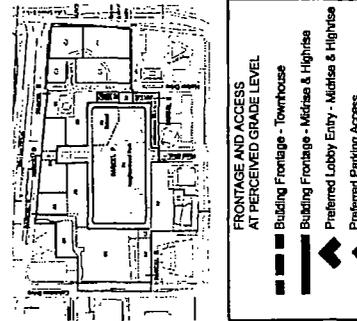
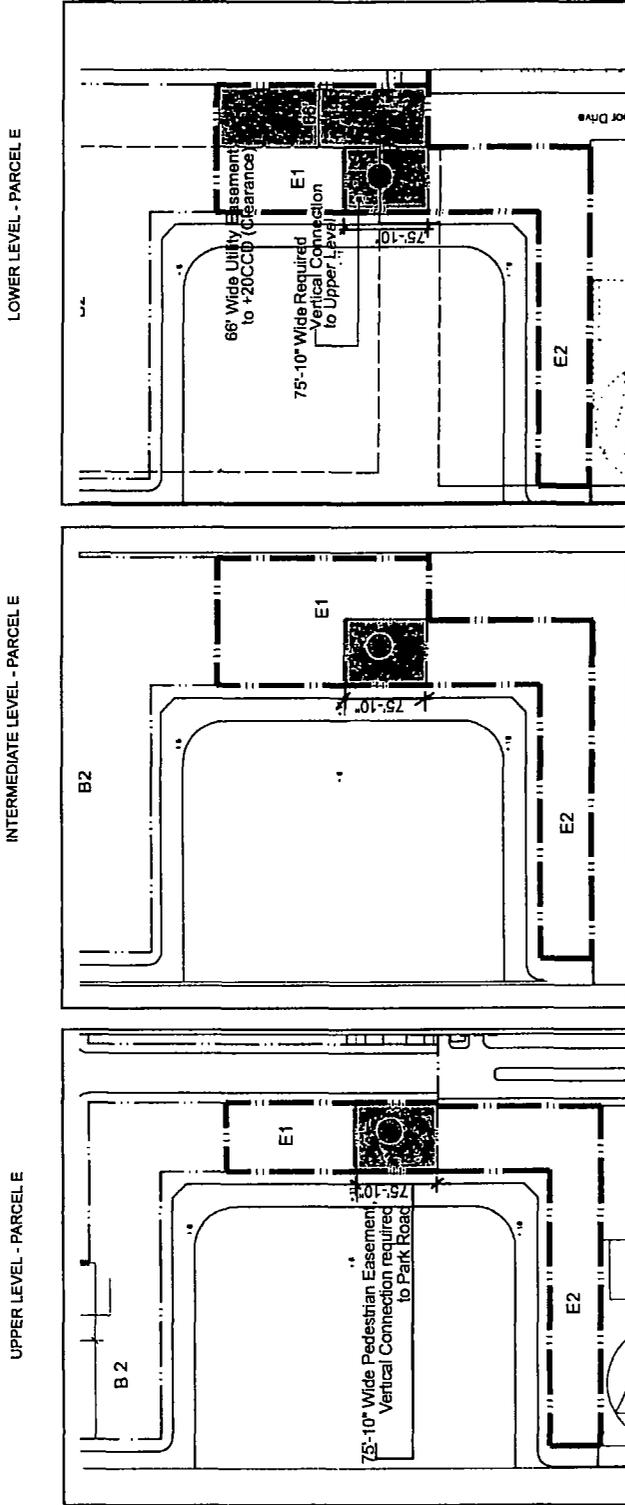


View direction

Opening - Parcel D

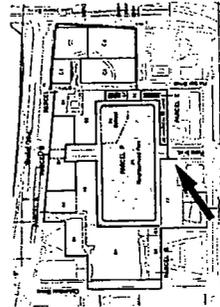
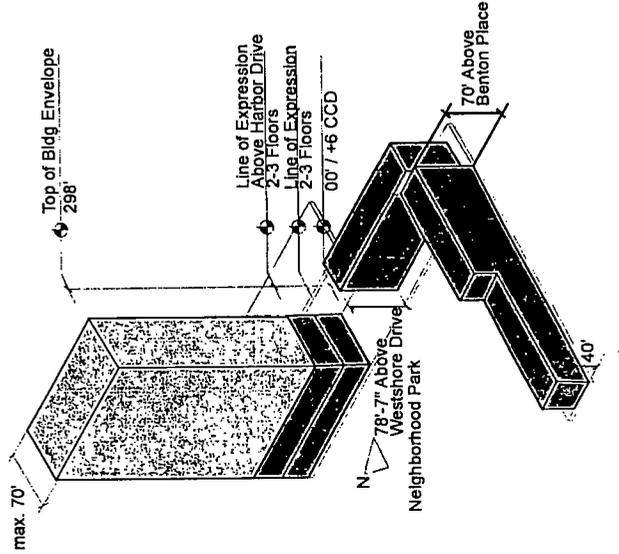


- Parcel D is intended to create a public "Gateway" into Lakeshore East. This also provides a visual connection to the Riverfront from the neighborhood park.
- The building spanning Field Blvd North is required to architecturally clad the existing structure of Upper and Intermediate level Wacker Drive within the opening.
- Proposed cladding solutions shall be reviewed and approved by the Department of Planning and Development, CDOT, and IDOT

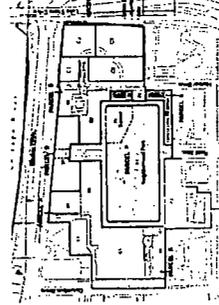
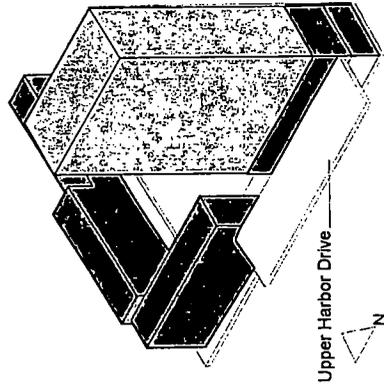


PARCEL E - GRADE LEVEL BUILDING FRONTAGE AND PREFERRED ACCESS PLAN

NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access.

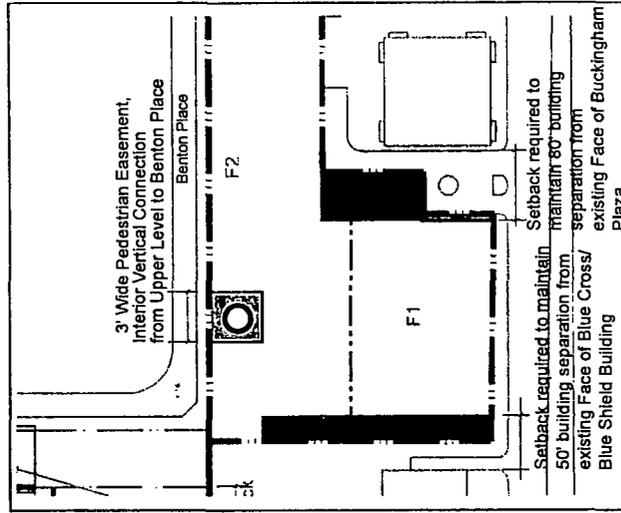


View
Direction

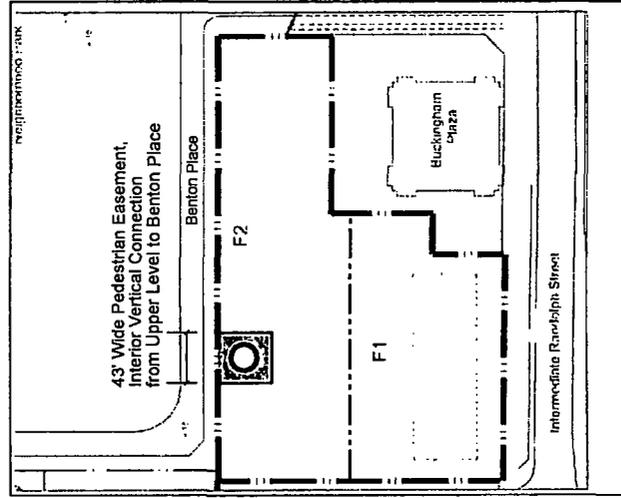


View
Direction

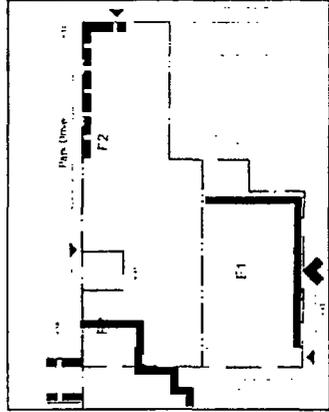
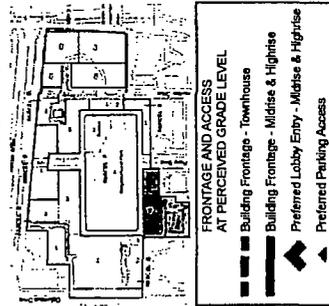
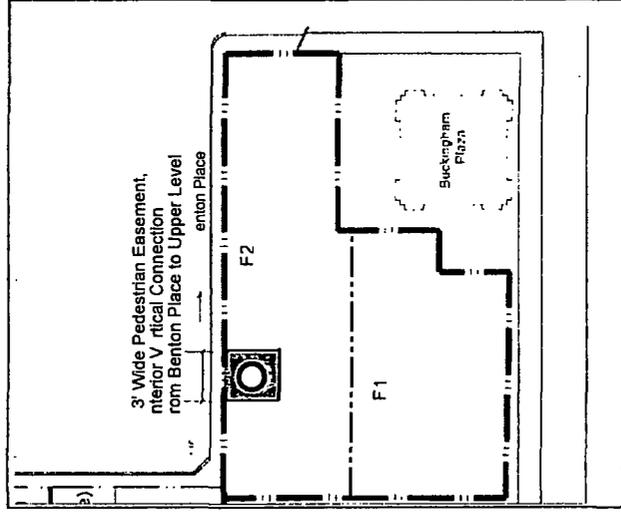
UPPER LEVEL - PARCEL F



INTERMEDIATE LEVEL - PARCEL F

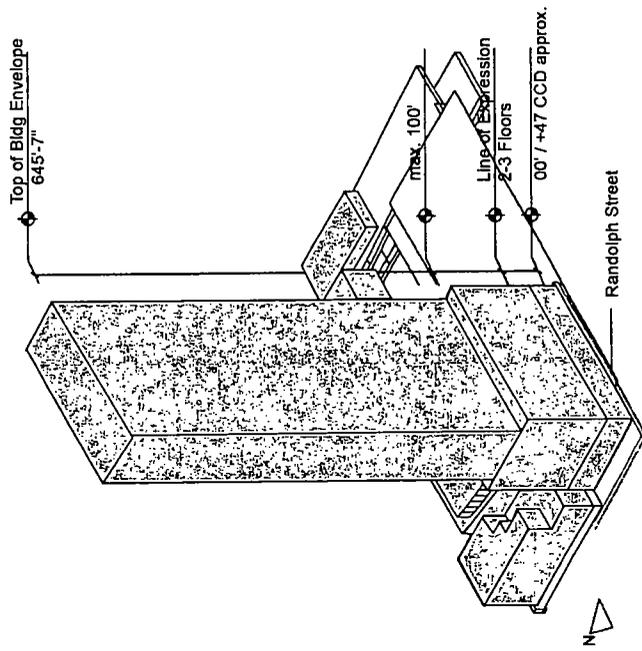
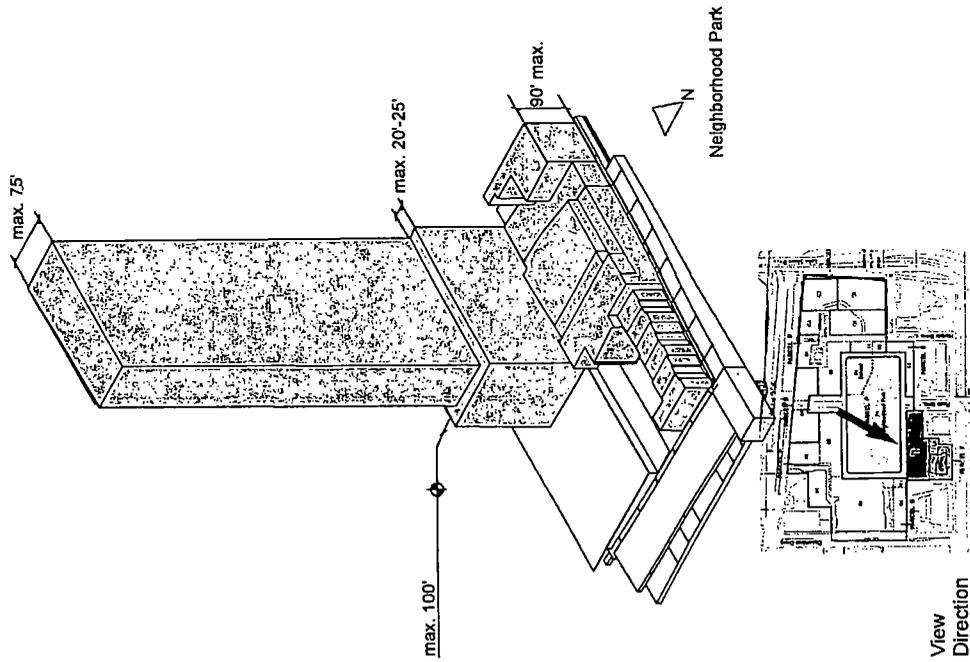


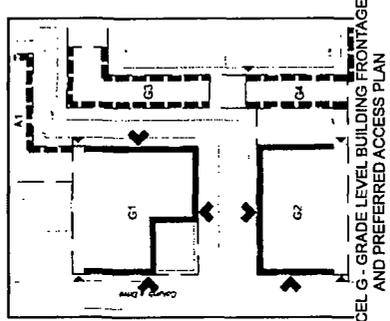
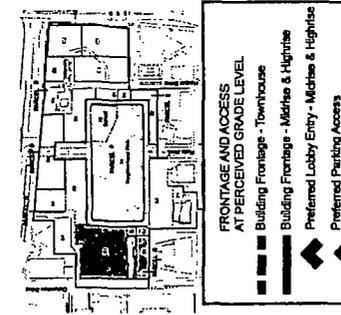
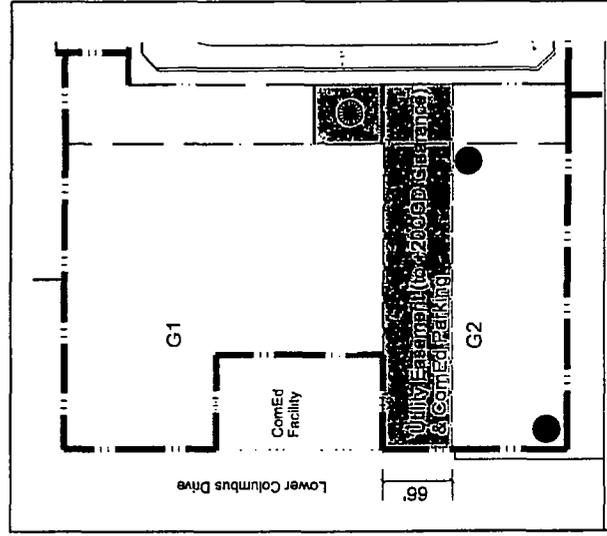
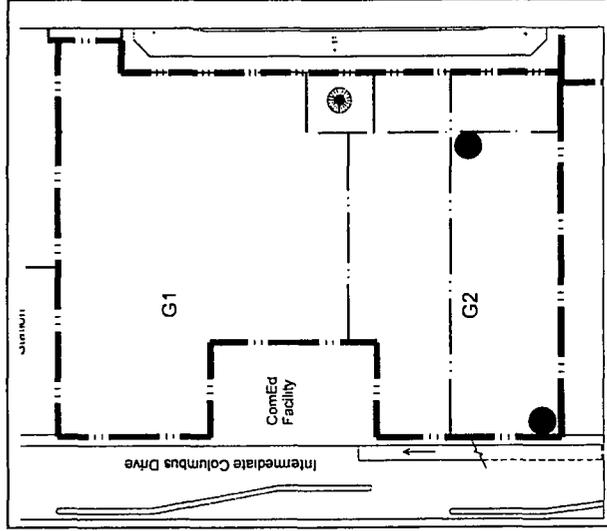
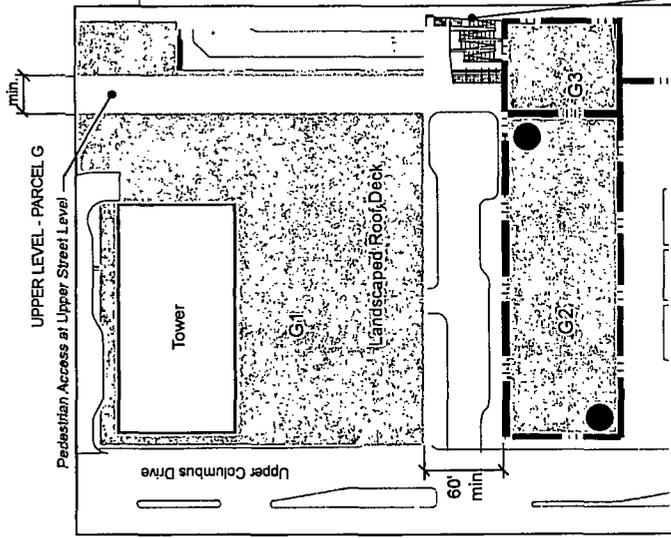
LOWER LEVEL - PARCEL F



PARCEL F - GRADE LEVEL BUILDING FRONTAGE AND PREFERRED ACCESS PLAN

NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access.

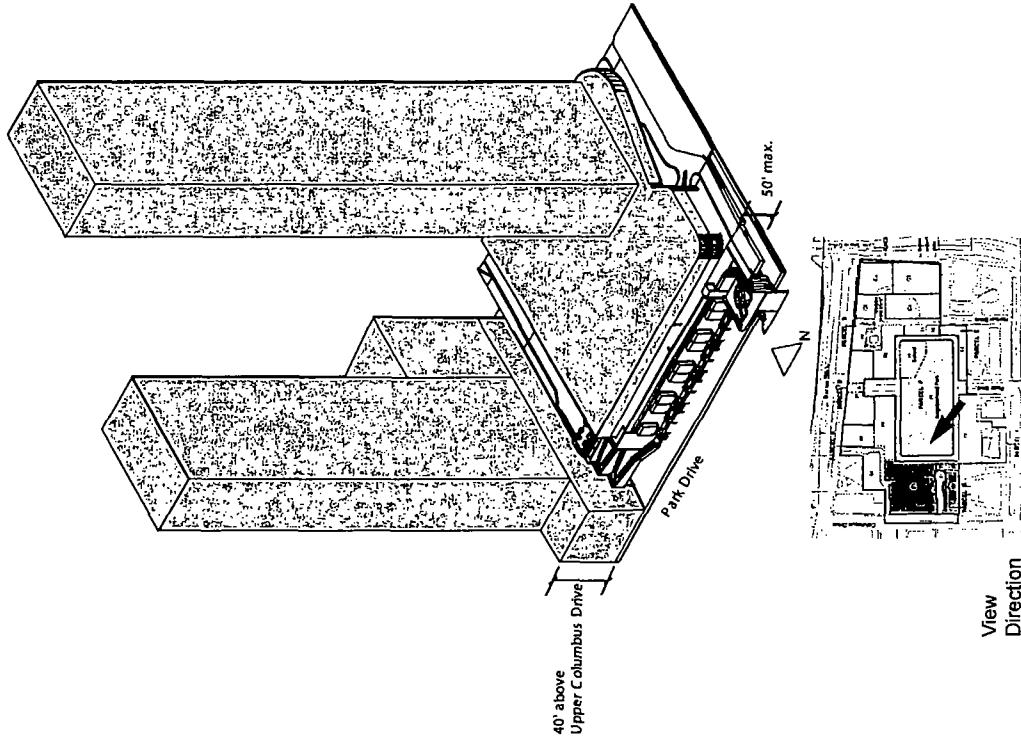
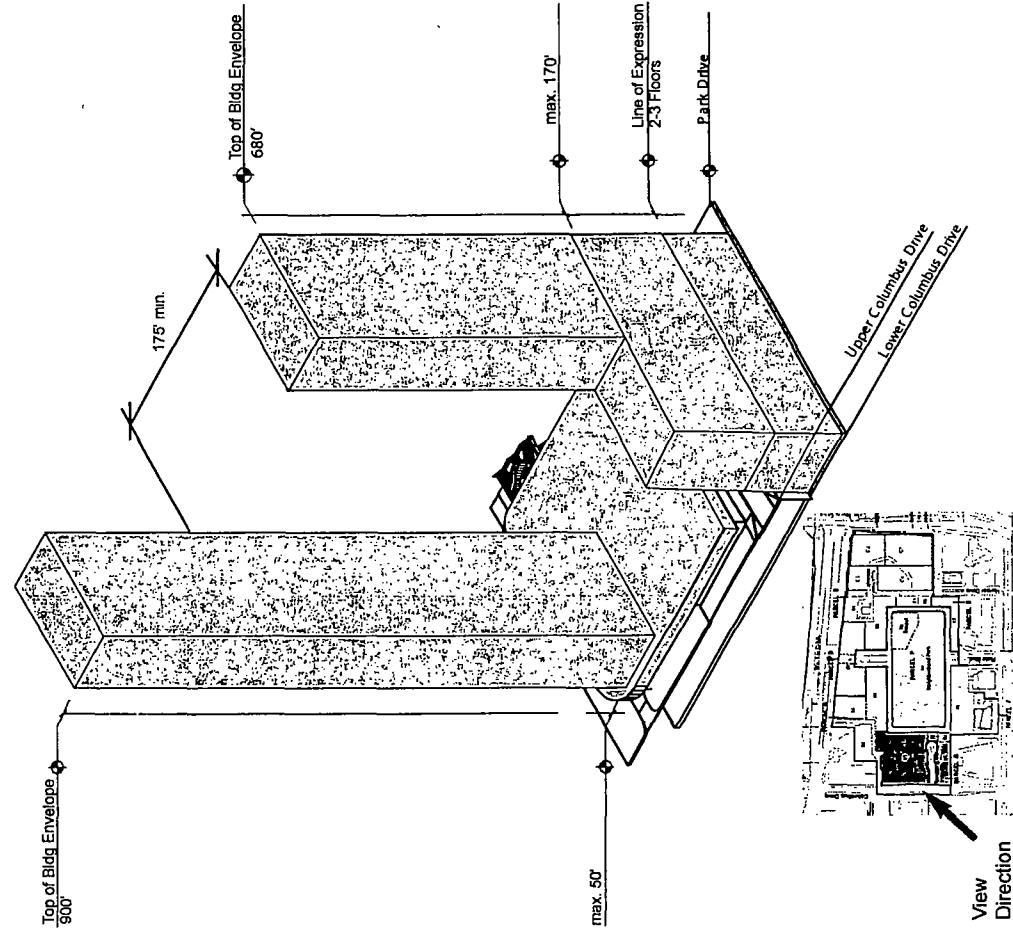




| Net Site Area (Approx.) | Upper | Intermediate | Lower |
|-------------------------|--------|--------------|--------|
| G1 | 39,348 | 52,877 | 95,284 |
| G2 | 32,344 | 66,228 | 33,645 |
| G3 | 19,174 | 28,157 | 23,811 |

Public Access Vertical Connection to Park Drive

NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access.



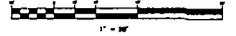
BOUNDARY AND TOPOGRAPHIC SURVEY
OF
AON CENTER
CHICAGO, IL

PART OF THE THE SOUTHWEST QUARTER OF FRACTIONAL SECTION 16, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

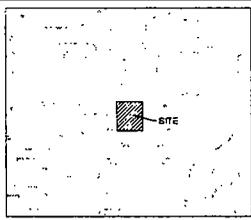
BASIS OF BEARINGS

THE BASIS OF BEARINGS IS THE STATE PLANE COORDINATE SYSTEM WHICH HAS BEARING TRUE UNLESS INDICATED OTHERWISE. DISTANCES ARE MEASURED BY ELECTRONIC MEANS BY THE SURVEYOR. ALL MEASUREMENTS ARE ON THE GROUND.

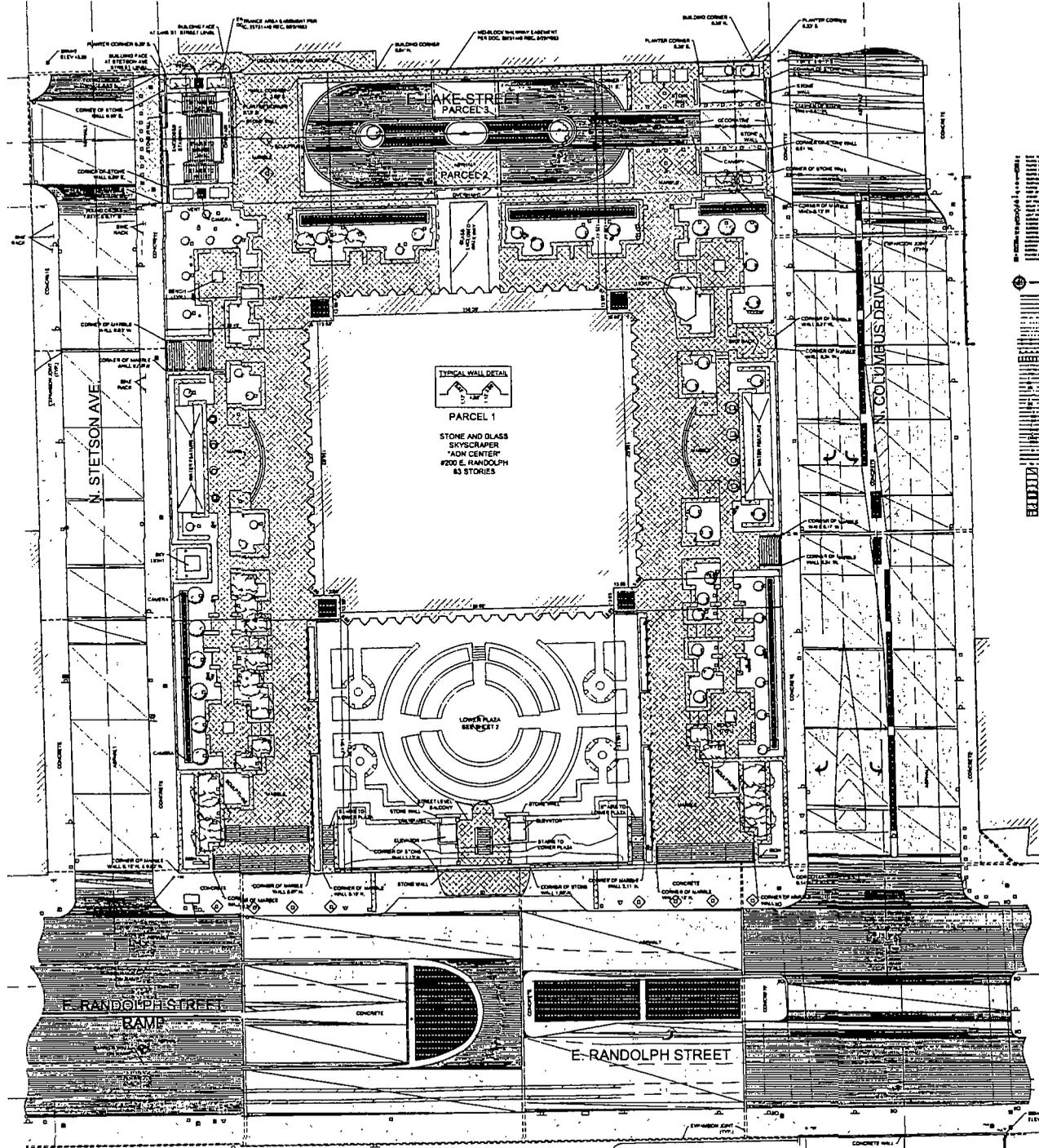
GRAPHIC SCALE



STATE PLANE MERIDIAN



VICINITY MAP
NOT TO SCALE



LEGEND

BOUNDARY AND TOPOGRAPHIC SURVEY

EXISTING

PROPOSED

CONCRETE

STONE WALL

STONE AND GLASS SKYSCRAPER

LOWE PLAZA MARKET

PARCEL 1

TYPICAL WALL DETAIL

PARCEL 1

STONE AND GLASS SKYSCRAPER

AON CENTER

#200 E. RANDOLPH

43 STORIES

Engineers 7325 James Avenue Suite 100
Woodridge, IL 60517
Surveyors 830 724 8200 VOEA
830 724 0364 ILS
V200 CON

PREPARED FOR:
JONES LANG LASALLE
876 W HOOVER ROAD SUITE 700
CHICAGO, IL 60611
773-309-4111

| NO. | DATE | DESCRIPTION | NO. | DATE | DESCRIPTION |
|-----|------|-------------|-----|------|-------------|
| | | | | | |
| | | | | | |
| | | | | | |

BOUNDARY AND TOPOGRAPHIC SURVEY Project No. 17317
AON CENTER - CHICAGO, IL Sheet No. VPO3 1
DRAWING COMPLETED BY: [] PROJECT MANAGER: [] SHEET NO. []
FIELD WORK COMPLETED BY: [] CHECKED BY: [] SCALE: 1" = 20' SHEET NO. []

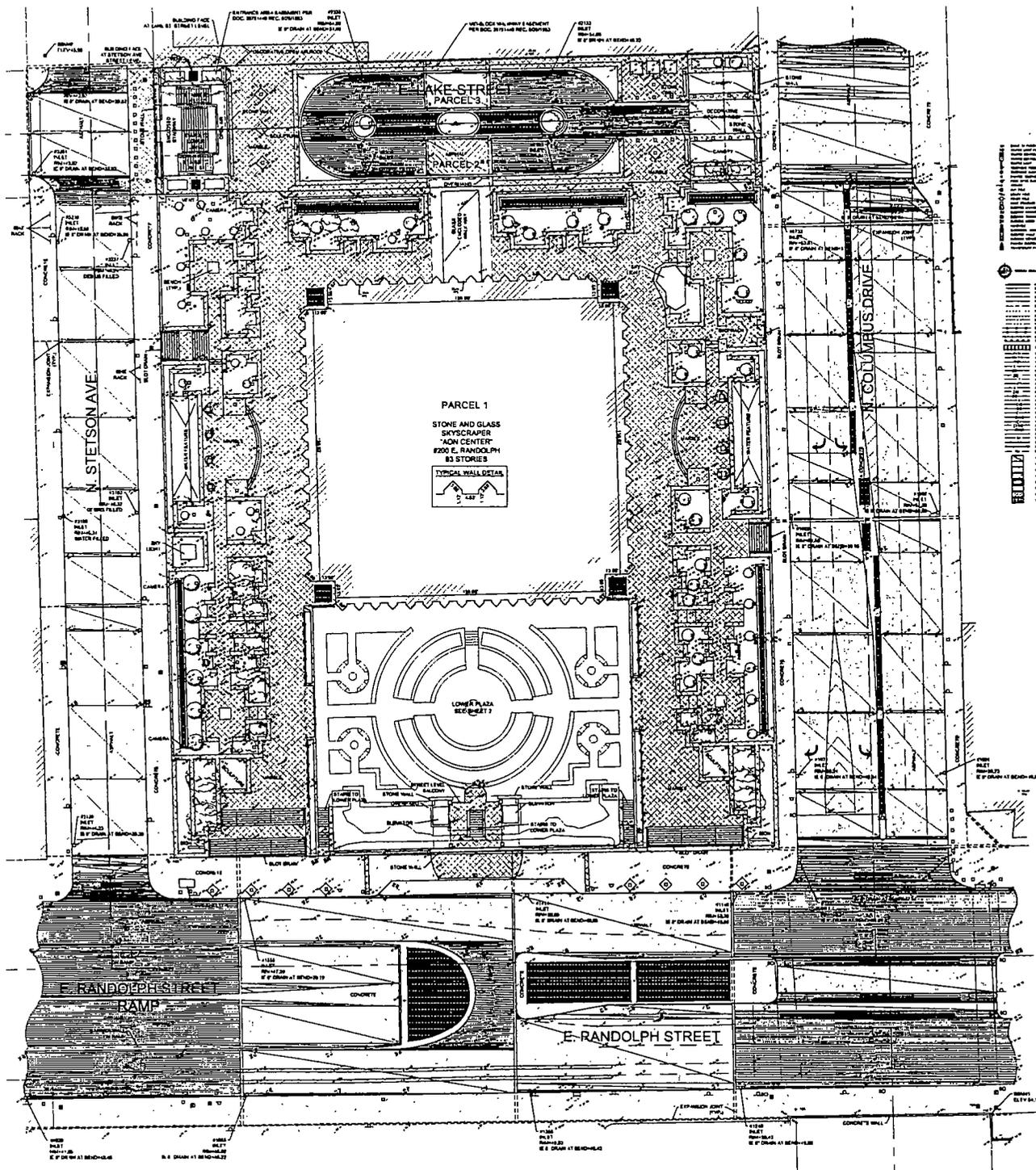
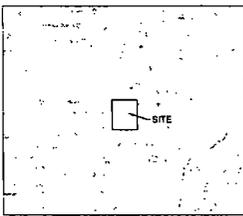
BOUNDARY AND TOPOGRAPHIC SURVEY
OF
AON CENTER
CHICAGO, IL

PART OF THE SOUTHWEST QUARTER OF SECTION 16, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

BASIS OF BEARINGS
THE BASIS OF BEARINGS IS THE STATE PLANE COORDINATE SYSTEM WHICH HAS A GRID LINE (20° 00' 00" EAST) WITH PROJECTION ERROR AT LATITUDE 41° 53' 57" N. LENGTH OF 30.48 METERS. SUFFICIENTLY NEAR TO THE SURFACE OF THE EARTH TO BE CONSIDERED AS A STRAIGHT LINE. ALL MEASUREMENTS ARE ON THE GROUND.



STATE PLANE COORDINATE



LEGEND

CONCRETE
STONE
GLASS
METAL
WOOD
... (various materials and finishes)

NOTES

1. ALL DIMENSIONS ARE TO FACE UNLESS OTHERWISE NOTED.
2. ALL WALLS ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
3. ALL FLOORS ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
4. ALL ROOFS ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
5. ALL EXTERIOR WALLS ARE TO BE STONE AND GLASS UNLESS OTHERWISE NOTED.
6. ALL INTERIOR WALLS ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
7. ALL CEILING ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
8. ALL FLOORING ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
9. ALL ROOFING ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
10. ALL MECHANICAL ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
11. ALL ELECTRICAL ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
12. ALL PLUMBING ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
13. ALL PAINT ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
14. ALL FINISHES ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
15. ALL DETAILS ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
16. ALL MATERIALS ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
17. ALL METHODS ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
18. ALL SPECIFICATIONS ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
19. ALL STANDARDS ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.
20. ALL CODES ARE TO BE CONCRETE UNLESS OTHERWISE NOTED.

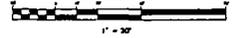
**BOUNDARY AND TOPOGRAPHIC SURVEY
OF
AON CENTER
CHICAGO, IL**

PART OF THE THE SOUTHWEST QUARTER OF FRACTIONAL SECTION 16, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN IN COOK COUNTY, ILLINOIS

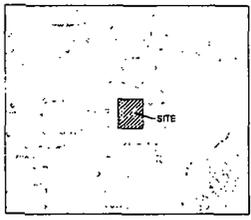
BASIS OF BEARINGS

THE BASIS OF BEARINGS IS THE STATE PLANE COORDINATE SYSTEM (SPCS) ZONE 16. GRID COORDINATES ARE GIVEN IN FEET AND DECIMAL FEET. ALL BEARINGS ARE REFERRED TO THE GRID. ALL BEARINGS ARE REFERRED TO THE GRID. ALL BEARINGS ARE REFERRED TO THE GRID.

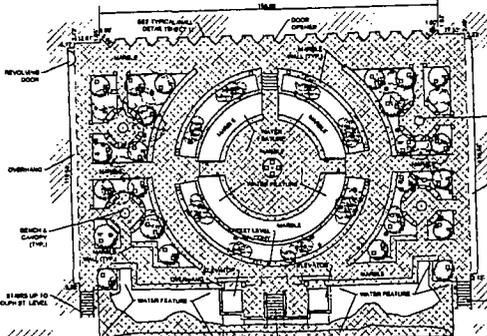
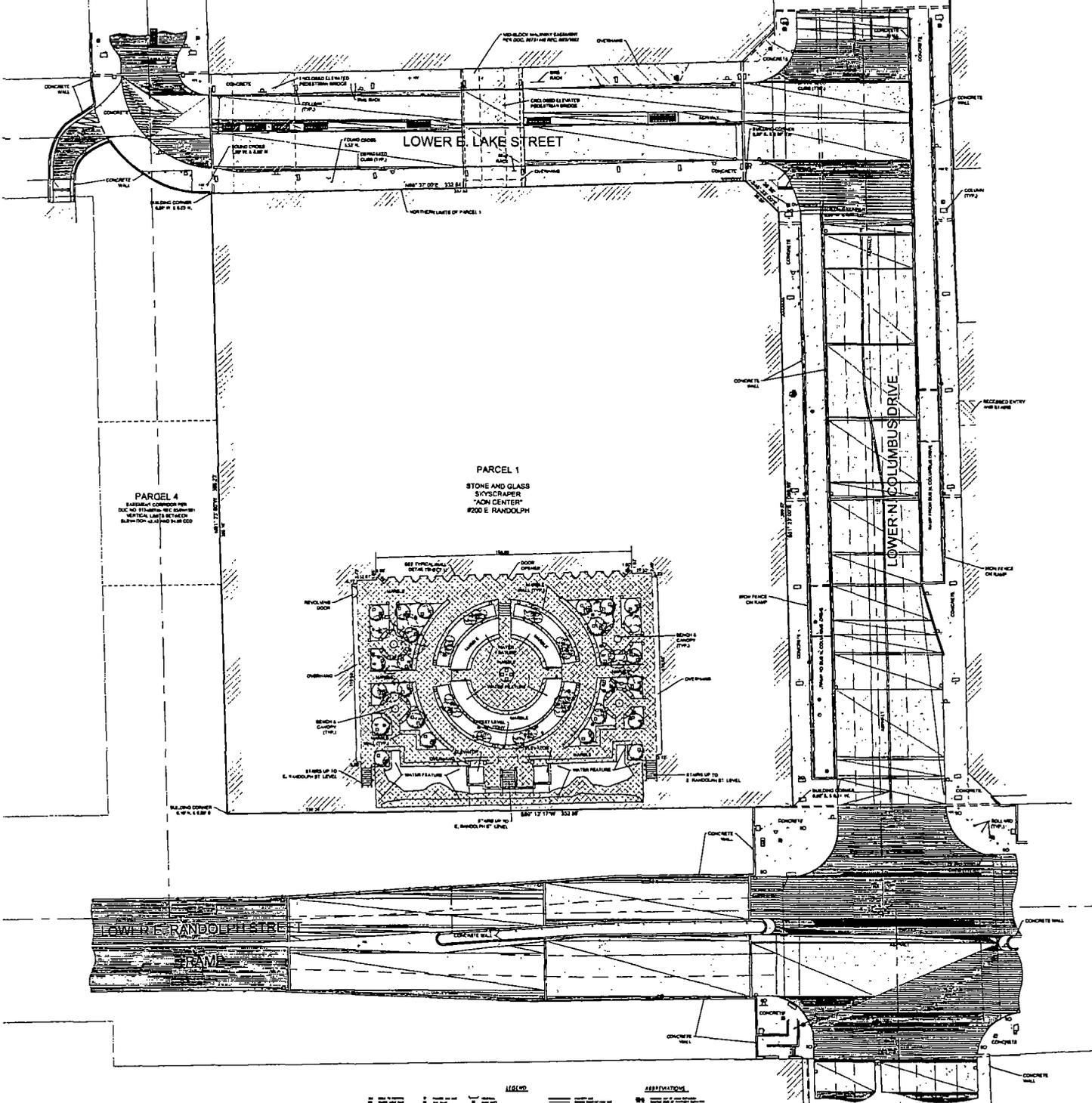
GRAPHIC SCALE



STATE PLANE COORDINATE SYSTEM



VICINITY MAP NOT TO SCALE



LEGEND

| NO. | SYMBOL | DESCRIPTION |
|-----|----------|-------------------|
| 1 | [Symbol] | CONCRETE WALL |
| 2 | [Symbol] | CONCRETE |
| 3 | [Symbol] | STEEL |
| 4 | [Symbol] | GLASS |
| 5 | [Symbol] | STONE |
| 6 | [Symbol] | WOOD |
| 7 | [Symbol] | ASPHALT |
| 8 | [Symbol] | GRAVEL |
| 9 | [Symbol] | CONCRETE PAVEMENT |
| 10 | [Symbol] | ASPHALT PAVEMENT |
| 11 | [Symbol] | GRAVEL PAVEMENT |
| 12 | [Symbol] | CONCRETE DRIVE |
| 13 | [Symbol] | ASPHALT DRIVE |
| 14 | [Symbol] | GRAVEL DRIVE |
| 15 | [Symbol] | CONCRETE CURB |
| 16 | [Symbol] | ASPHALT CURB |
| 17 | [Symbol] | GRAVEL CURB |
| 18 | [Symbol] | CONCRETE SIDEWALK |
| 19 | [Symbol] | ASPHALT SIDEWALK |
| 20 | [Symbol] | GRAVEL SIDEWALK |
| 21 | [Symbol] | CONCRETE DRIVEWAY |
| 22 | [Symbol] | ASPHALT DRIVEWAY |
| 23 | [Symbol] | GRAVEL DRIVEWAY |
| 24 | [Symbol] | CONCRETE DRIVEWAY |
| 25 | [Symbol] | ASPHALT DRIVEWAY |
| 26 | [Symbol] | GRAVEL DRIVEWAY |
| 27 | [Symbol] | CONCRETE DRIVEWAY |
| 28 | [Symbol] | ASPHALT DRIVEWAY |
| 29 | [Symbol] | GRAVEL DRIVEWAY |
| 30 | [Symbol] | CONCRETE DRIVEWAY |
| 31 | [Symbol] | ASPHALT DRIVEWAY |
| 32 | [Symbol] | GRAVEL DRIVEWAY |
| 33 | [Symbol] | CONCRETE DRIVEWAY |
| 34 | [Symbol] | ASPHALT DRIVEWAY |
| 35 | [Symbol] | GRAVEL DRIVEWAY |
| 36 | [Symbol] | CONCRETE DRIVEWAY |
| 37 | [Symbol] | ASPHALT DRIVEWAY |
| 38 | [Symbol] | GRAVEL DRIVEWAY |
| 39 | [Symbol] | CONCRETE DRIVEWAY |
| 40 | [Symbol] | ASPHALT DRIVEWAY |
| 41 | [Symbol] | GRAVEL DRIVEWAY |
| 42 | [Symbol] | CONCRETE DRIVEWAY |
| 43 | [Symbol] | ASPHALT DRIVEWAY |
| 44 | [Symbol] | GRAVEL DRIVEWAY |
| 45 | [Symbol] | CONCRETE DRIVEWAY |
| 46 | [Symbol] | ASPHALT DRIVEWAY |
| 47 | [Symbol] | GRAVEL DRIVEWAY |
| 48 | [Symbol] | CONCRETE DRIVEWAY |
| 49 | [Symbol] | ASPHALT DRIVEWAY |
| 50 | [Symbol] | GRAVEL DRIVEWAY |

BOUNDARY AND TOPOGRAPHIC SURVEY
OF
AON CENTER
CHICAGO, IL

PART OF THE THE SOUTHWEST QUARTER, QUARTER OF RANGE, SECTION 16, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

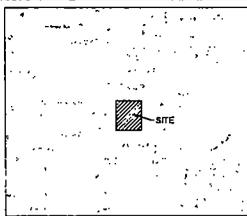
BASIS OF BEARINGS

THE BASIS OF BEARINGS IS THE STATE PLANE COORDINATE SYSTEM WHICH HAS ITS GRID POINT 1981 (BLM) ON EAST MAIN PROJECT ORIGIN AT LAKEVIEW 41 51 54 NORTH & LONGITUDE 77 21 46 WEST BY STATE PLANE METHOD. ALL BEARINGS ARE ON THE GROUND.

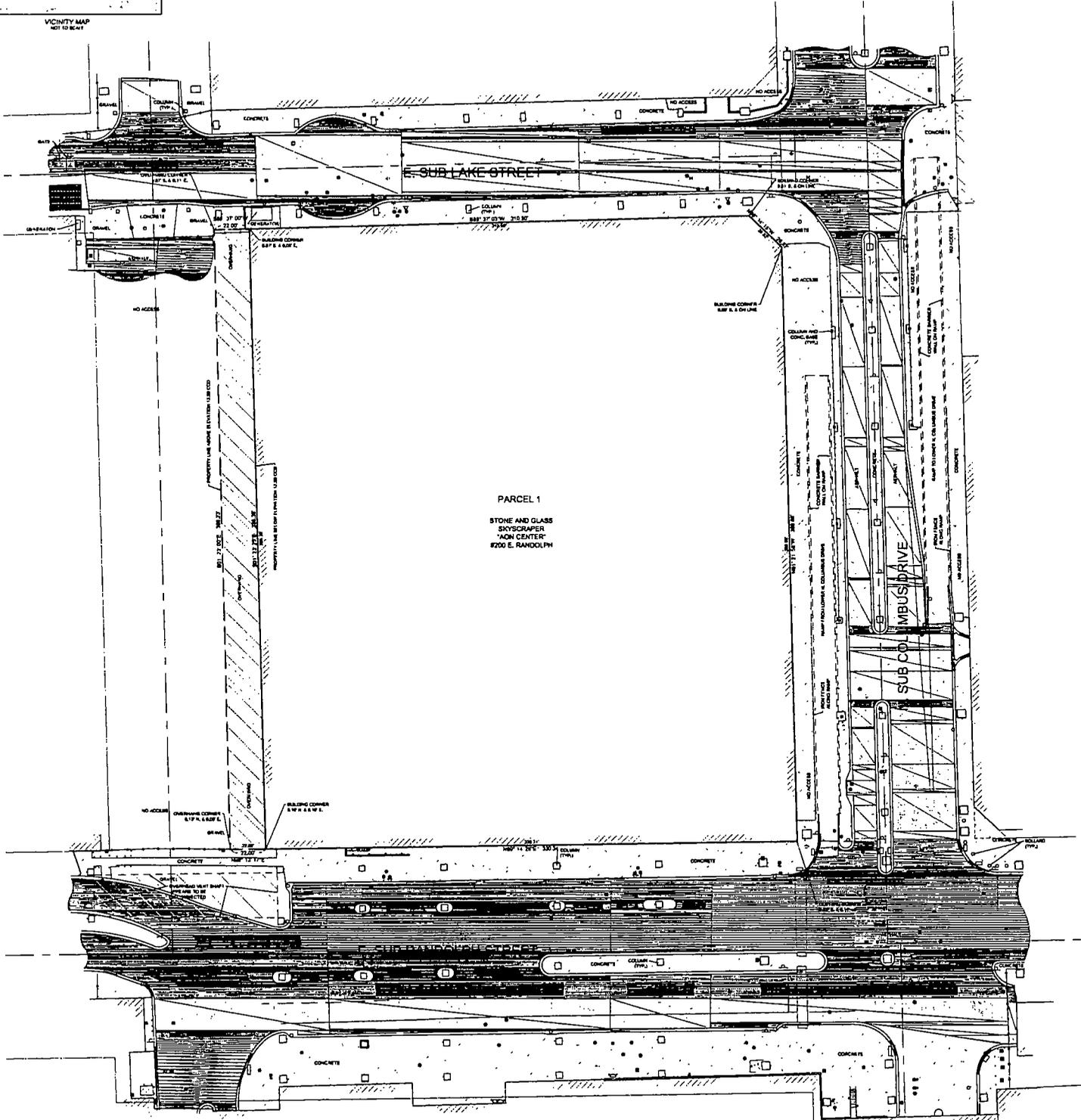
GRAPHIC SCALE



STATE PLANE COORDINATE



VICINITY MAP NOT TO SCALE



PARCEL 1
STONE AND GLASS
SKYSCRAPER
'AON CENTER'
2700 E. RANDOLPH

LEGEND

| | | | |
|----------|--------|-------|----------|
| CONCRETE | GRAVEL | STEEL | ASPHALT |
| BRICK | GLASS | WOOD | PAVEMENT |
| ... | ... | ... | ... |

ABBREVIATIONS

| | |
|-----|------------------|
| AC | Asphalt Concrete |
| AD | Asphalt Driveway |
| ... | ... |

BOUNDARY AND TOPOGRAPHIC SURVEY

OF
AON CENTER
CHICAGO, IL

PART OF THE THE SOUTHWEST QUARTER OF SECTION 16, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN IN COOK COUNTY, ILLINOIS

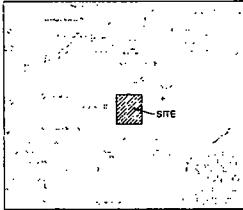
BASIS OF BEARINGS

THE BASIS OF BEARINGS IS THE STATE PLUMB LINE (LONDON) WITHIN WHICH HAS AN ANGLE OF 1.15736068 DEGREES WITH THE PROJECT ORIGIN AT LATITUDE 41-48-28.80 NORTH. ALL MEASUREMENTS SHALL BE MADE BY THE SURVEYOR'S MEASUREMENTS SHALL BE MADE ON THE GROUND.

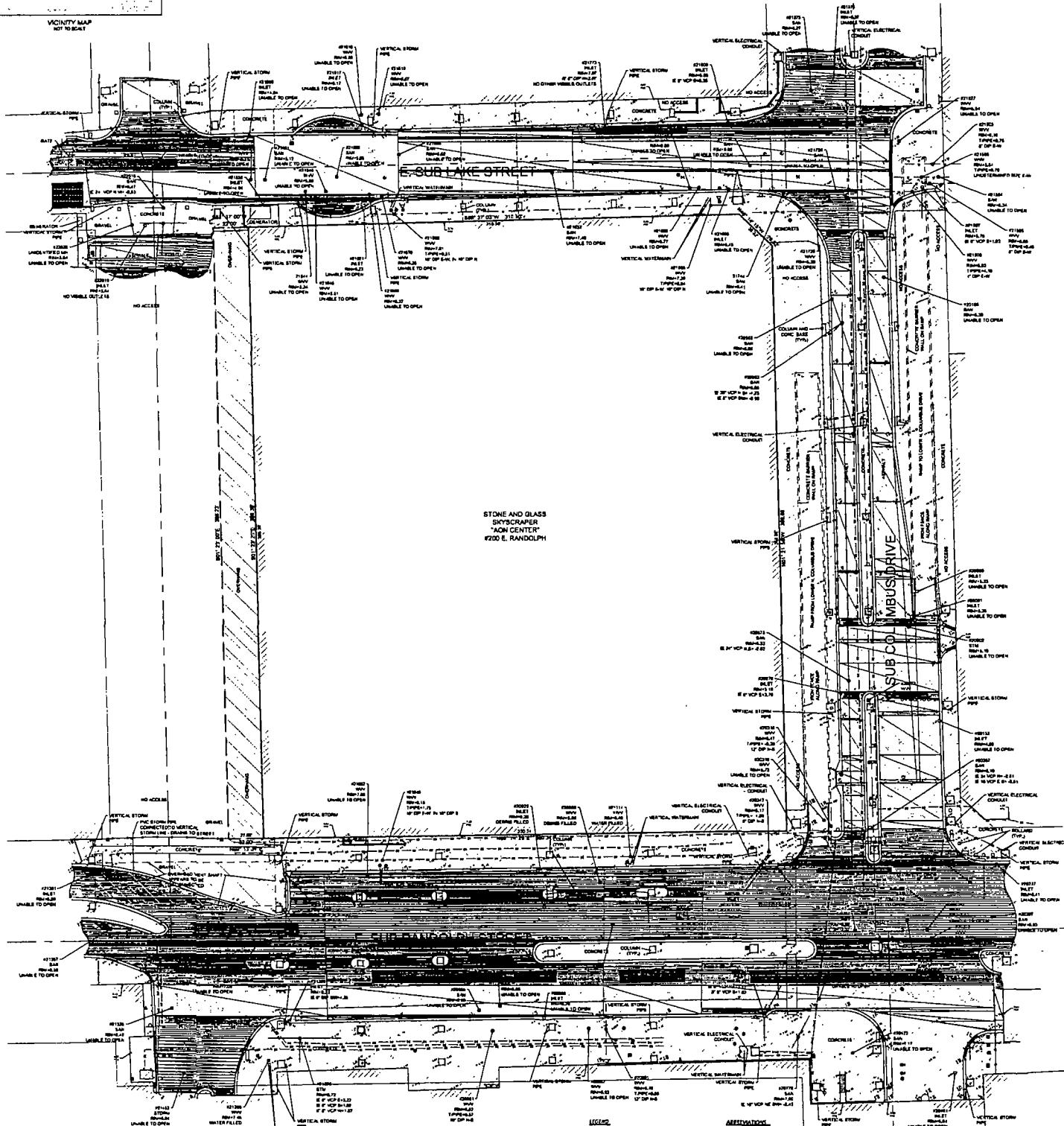
GRAPHIC SCALE



STATE PLUMB MERIDIAN



VICINITY MAP NOT TO SCALE



STONE AND GLASS
SKYSCRAPER
AON CENTER
4200 E. RANDOLPH

LEGEND

| SYMBOL | DESCRIPTION |
|----------|-----------------------------|
| (Symbol) | Vertical Electrical Conduit |
| (Symbol) | Vertical Water Pipe |
| (Symbol) | Vertical Storm Pipe |
| (Symbol) | Vertical Electrical Conduit |
| (Symbol) | Vertical Water Pipe |
| (Symbol) | Vertical Storm Pipe |

akerman

October 24, 2018

Chairman, Committee on Zoning
Room 304 - City Hall
Chicago, Illinois 60602

Chairman, Chicago Plan Commission
Room 905 – City Hall
Chicago, Illinois 60602

Re: Zoning Amendment and Lakefront Michigan and Chicago Lakefront
Protection Applications
200 E. Randolph Street, Chicago, Illinois

The undersigned, Chris A. Leach, being first duly sworn on oath, deposes and says the following:

The undersigned certifies that he has complied with the requirements of Sec. 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said “written notice” was sent by First Class U.S. Mail, no more than 30 days before filing the application.

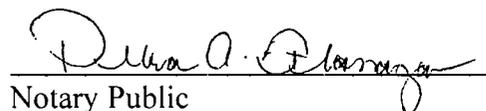
The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately October 24, 2018.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.



Chris A. Leach

Subscribed and sworn to
before me this 24th day of
October, 2018



Notary Public



46757503.1

OFFICIAL SEAL
DEPT. OF JUSTICE
WASHINGTON, D.C. 20530



Jack George

Akerman LLP
71 South Wacker Drive
47th Floor
Chicago, IL 60606

T: 312 634 5700
F: 312 424 1900

October 24, 2018

USPS First Class Mail

Re: Zoning Amendment Application
Lake Michigan and Chicago Lakefront Protection Application
200 E. Randolph Street
Chicago, Illinois

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance and for an approval under the Lake Michigan and Chicago Lakefront Protection Ordinance, please be informed that on or about October 24, 2018, I, the undersigned attorney, will file an application for a change in zoning from the Residential Business Planned Development 70, to the Residential Business Planned Development 70 as amended and for approval under the Chicago Lakefront Protection Ordinance on behalf of the Applicant for the property located at 200 E. Randolph, Chicago, Illinois, (Sub-Area B of RBPD 70) and RBPD 70 bounded by south right-of-way line of East Wacker Drive or the line thereof extended where no street exists; a line 3,095 feet east of the west line of North Michigan Avenue; a line 140 feet south of the north line of East Randolph Street, or the line thereof if extended where no street exists; a line 2,022 feet east of the west line of North Michigan Avenue; the north line of East Randolph Street; the east line of North Stetson Street; the north line of East Lake Street; North Michigan Avenue; the north line of East South Water Street; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; the south line of the alley next north of and parallel to East South Water Street; the east line of the alley next east of and parallel to North Michigan Avenue; a line 138.74 feet north of the north line of the alley next north of and parallel to East South Water Street, or the line thereof if extended where no alley exists; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; a line 428.773 feet north of the north line of East South Water Street; a line 19.69 feet west of the east line of north Beaubien Court, or the line thereof if extended where no street exists; a line 468.819 feet north of East South Water Street; and a line 68.43 feet east of the east line of North Beaubien Court, or the line thereof if extended where no street exists.

The purpose of the proposed zoning amendment and the Lake Michigan and Chicago Lakefront Protection Ordinance approval is to increase the FAR square footage by 95,688 square feet to allow the construction of an exterior glass elevator to the northwest corner of the building and a 1 story pavilion at the plaza level to add observatory and entertainment uses to the existing 83 story building and height of 1195 feet.

The Applicant and Owner of the property is 601 W. Companies LLC, whose address is 601 W. 26th Street, Suite 1275, New York, New York, 10001.

I am the attorney for the Applicant. My address is Akerman LLP, 71 South Wacker Drive, 47th Floor, Chicago, Illinois 60601.

Please note that the Applicant is not seeking to purchase or rezone your property. The Applicant is required by law to send you this notice because you own property located within 250 feet of the proposed development.

Very Truly Yours,

A handwritten signature in black ink, appearing to read "J. George", written in a cursive style.

John J. George

19869
INTRO DATE
OCT 31, 2018

CITY OF CHICAGO
APPLICATION FOR AN AMENDMENT TO
THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:

200 E. Randolph St.

2. Ward Number that property is located in: 42nd

3. APPLICANT 601 W. Companies LLC

ADDRESS 601 W. 26th Street, Suite 1275 CITY New York

STATE NY ZIP CODE 10001 PHONE 212-235-5150

EMAIL mkarasick@601west.com CONTACT PERSON Mark Karasick

4. Is the applicant the owner of the property? YES xx NO

If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER

ADDRESS CITY

STATE ZIP CODE PHONE

EMAIL CONTACT PERSON

5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY John J. George / Chris A. Leach

ADDRESS Akerman, LLP 71 S. Wacker Drive, 47th Floor

CITY Chicago STATE IL ZIP CODE 60601

PHONE 312-870-8022/312-870-8023 FAX 312-424-1956 EMAIL jack.george@akerman.com
chris.leach@akerman.com

6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

601 W Companies LLC

7. On what date did the owner acquire legal title to the subject property? October 29, 2018

8. Has the present owner previously rezoned this property? If yes, when?
No

9. Present Zoning District RBPD 70 Proposed Zoning District RBPD 70, as amended

10. Lot size in square feet (or dimensions) 136,819 sf

11. Current Use of the property Office Building

12. Reason for rezoning the property To add observatory and entertainment uses to the Permitted uses of Sub-Area B and to add an exterior glass elevator to the northwest corner of the building and a 1 story pavilion at the plaza level.

13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)
An 83 Story, 1195 foot tall office building with observatory, retail and entertainment uses on the top three floors, the plaza level and on the floors below grade and office uses on the other floors of the building

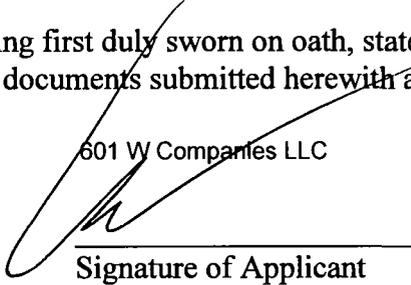
14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?

YES _____ NO XX

COUNTY OF NEW YORK
STATE OF NEW YORK

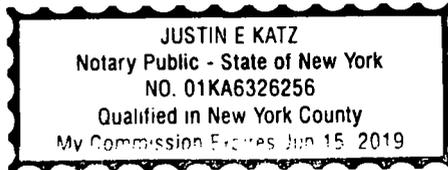
Mark Karajick, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

601 W Companies LLC


Signature of Applicant

Subscribed and Sworn to before me this
11 day of October, 2018.

Justin Katz
Notary Public



For Office Use Only

Date of Introduction: _____

File Number: _____

Ward: _____

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

601 W COMPANIES LLC, a Delaware limited liability company

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: _____

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 601 W 26th Street, Suite 1275

New York, NY 10001

C. Telephone: 212.235.5150 Fax: 212.434.0669 Email: mkarasick@601west.com

D. Name of contact person: Mark Karasick

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- Person
- Publicly registered business corporation
- Privately held business corporation
- Sole proprietorship
- General partnership
- Limited partnership
- Trust
- Limited liability company
- Limited liability partnership
- Joint venture
- Not-for-profit corporation
(Is the not-for-profit corporation also a 501(c)(3))?
 Yes No
- Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes
- No
- Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|--|-------|
| SL AON MANAGER LLC, non-member manager | |
| MS AON MANAGER LLC, non-member manager | |
| MICHAEL SILBERBERG, managing director | |
| MARK KARASICK, managing director | |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name SEE ATTACHED SCHEDULE I | Business Address | Percentage Interest in the Applicant |
|---------------------------------|------------------|--------------------------------------|
| | | |
| | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
| John George, Akerman LLP, 71 S. Wacker Drive, Chicago, IL 60606 | | Attorney | \$20,000 Est. |
| SCB, 625 N. Michigan Avenue, Suite 800, Chicago, IL 60611 | | Architect | \$50,000 Est. |
| Kimley Horn, 111 W. Jackson Blvd., Suite 1320, Chicago, IL 60604 | | | \$20,000 Est. |

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

none

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [x] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|-------|------------------|------------------------------|
| <hr/> | | |
| <hr/> | | |
| <hr/> | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and ~~Appendices A and B (if applicable)~~ ^{all applicable}, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and ~~Appendices A and B (if applicable)~~ ^{all applicable}, are true, accurate and complete as of the date furnished to the City. ^{all applicable}

601 W COMPANIES LLC
(Print or type exact legal name of Disclosing Party)

By: [Signature]
(Sign here)

Mark Karasick
(Print or type name of person signing)

(Print or type title of person signing)

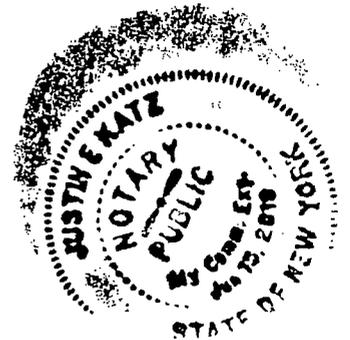
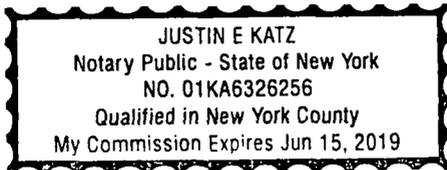
Signed and sworn to before me on (date) September 7, 2018

at New York County, New York (state).

[Signature]
Notary Public

June 15, 2019

Commission expires: [Signature]



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

[x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

SCHEDULE I

| Name | Address | % |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

MS AON MANAGER LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: _____

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

601 W COMPANIES LLC

B. Business address of the Disclosing Party: 55 OLD NYACK TURNPIKE, SUITE 210

Nanuet, NY 10954

C. Telephone: 845.623.5290 Fax: 845.623.5291 Email: _____

D. Name of contact person: Michael Silberberg

E. Federal Employer Identification No. (if you have one): None

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Dept of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- Person
- Limited liability company
- Publicly registered business corporation
- Limited liability partnership
- Privately held business corporation
- Joint venture
- Sole proprietorship
- Not-for-profit corporation
- General partnership
- (Is the not-for-profit corporation also a 501(c)(3))?
- Limited partnership
- Yes No
- Trust
- Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes
- No
- Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|--------------------|-------------|
| Michael Silberberg | Sole Member |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|-------------------------|------------------|--------------------------------------|
| SEE ATTACHED SCHEDULE I | | |
| | | |
| | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?
 Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
|------|------------------|------------------------------|

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices ~~A and B~~ ^{all applicable} (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices ~~A and B~~ ^{all applicable} (if applicable), are true, accurate and complete as of the date furnished to the City. ^{all applicable}

MS AON MANAGER LLC

(Print or type exact legal name of Disclosing Party)

By: Michael Silberberg
(Sign here)

Michael Silberberg

(Print or type name of person signing)

Member

(Print or type title of person signing)

Signed and sworn to before me on (date) 7/31/18,

at Rockland County, New York (state).

E. Delgado
Notary Public

ELSA DELGADO
NOTARY PUBLIC, STATE OF NEW YORK
NO. 01DE6311319
QUALIFIED IN ORANGE COUNTY
MY COMMISSION EXPIRES SEP 15, 2018

Commission expires: _____

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

SCHEDULE I

| <u>Name</u> | <u>Address</u> | <u>%</u> |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

SL AON MANAGER LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

601 W COMPANIES LLC

B. Business address of the Disclosing Party: 601 W 26th Street, Suite 1275

New York, NY 10001

C. Telephone: 212.235.5150 Fax: 212.434.0668 Email: mkarasick@601west.com

D. Name of contact person: Mark Karasick

E. Federal Employer Identification No. (if you have one): None

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Dept. of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- Person
- Limited liability company
- Publicly registered business corporation
- Limited liability partnership
- Privately held business corporation
- Joint venture
- Sole proprietorship
- Not-for-profit corporation
- General partnership
- (Is the not-for-profit corporation also a 501(c)(3))?
- Limited partnership
- Yes No
- Trust
- Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes
- No
- Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|---------------|-------------|
| Mark Karasick | Sole Member |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|--------------------------------|------------------|--------------------------------------|
| <u>SEE ATTACHED SCHEDULE I</u> | | |
| | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|-------|------------------|------------------------------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty ^{all applicable} of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices ~~A and B (if applicable)~~, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and ~~Appendices A and B (if applicable)~~, are true, accurate and complete as of the date furnished to the City. ^{all applicable}

SL AON MANAGER LLC
(Print or type exact legal name of Disclosing Party)

By: _____
(Sign here)

Mark Karasick
(Print or type name of person signing)

Member
(Print or type title of person signing)

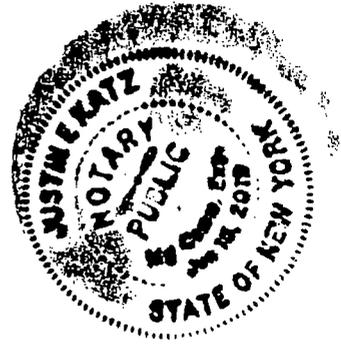
Signed and sworn to before me on (date) September 7, 2018

at New York County, New York (state).

Justin Katz
Notary Public

Commission expires: June 15, 2019

JUSTIN E KATZ
Notary Public - State of New York
NO. 01KA6326256
Qualified in New York County
My Commission Expires Jun 15, 2019



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

SCHEDULE I

| Name | Address | % |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

AON MEZZ 1 LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 601 W 26th Street, Suite 1275
New York, NY 10001

C. Telephone: 212.235.5150 Fax: 212.434.0668 Email: mkarasick@601west.com

D. Name of contact person: Mark Karasick

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Dept. of Zoning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- Person
- Limited liability company
- Publicly registered business corporation
- Limited liability partnership
- Privately held business corporation
- Joint venture
- Sole proprietorship
- Not-for-profit corporation
- General partnership
- (Is the not-for-profit corporation also a 501(c)(3))?
- Limited partnership
- Yes No
- Trust
- Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes
- No
- Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|--|-------|
| MS AON MANAGER LLC, Manager; | |
| SL AON MANAGER LLC, Manager | |
| Mark Karasick, Managing Director; | |
| Michael Silberberg, Managing Director | |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|--------------------------------|------------------|--------------------------------------|
| <u>SEE ATTACHED SCHEDULE I</u> | | |
| | | |
| | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (<u>indicate whether paid or estimated.</u>) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
|------|------------------|------------------------------|

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. **If the Matter is not federally funded**, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of ^{all applicable}perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and ~~Appendices A and B (if applicable)~~, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and ~~Appendices A and B (if applicable)~~, are true, accurate and complete as of the date furnished to the City. ^{all applicable}

AON MEZZ I LLC a Delaware limited liability company
(Print or type exact legal name of Disclosing Party)

By: _____
(Sign here)

Mark Karasick
(Print or type name of person signing)

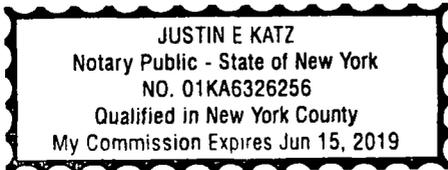
Manager
(Print or type title of person signing)

Signed and sworn to before me on (date) September 7, 2015

at New York County, New York (state).

Justin Katz
Notary Public

Commission expires: June 15, 2019



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

SCHEDULE I

| Name | Address | % |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

AON MEZZ 2 LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 601 W 26th Street, Suite 1275
New York, NY 10001

C. Telephone: 212.235.5150 Fax: 212.434.0668 Email: mkarasick@601west.com

D. Name of contact person: Mark Karasick

E. Federal Employer Identification No. (if you have one): None

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Dept. of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|---|
| <input type="checkbox"/> Person | <input checked="" type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|--|-------|
| <u>SL AON MANAGER LLC, Manager;</u> | |
| <u>MS AON MANAGER LLC, Manager;</u> | |
| <u>Mark Karasick, Managing Director,</u> | |
| <u>Michael Silberberg, Managing Director</u> | |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|--------------------------------|------------------|--------------------------------------|
| <u>SEE ATTACHED SCHEDULE I</u> | | |
| | | |
| | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (<u>indicate whether paid or estimated.</u>) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|-------|------------------|------------------------------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. **If the Matter is not federally funded**, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article 1 (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of ^{all applicable}perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices ~~A and B~~ ^(if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices ~~A and B~~ ^(if applicable), are true, accurate and complete as of the date furnished to the City. ^{all applicable}

AON MEZZ 2 LLC, a Delaware limited liability company
(Print or type exact legal name of Disclosing Party)

By: _____
(Sign here)

Mark Karasick
(Print or type name of person signing)

Managing Director
(Print or type title of person signing)

Signed and sworn to before me on (date) September 7, 2018

at New York County, New York (state).

Justin Katz
Notary Public

Commission expires: June 15, 2019

JUSTIN E KATZ
Notary Public - State of New York
NO. 01KA6326256
Qualified in New York County
My Commission Expires Jun 15, 2019



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A -- I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

SCHEDULE J

| Name | Address | % |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

SL AON MEMBER LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant
OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 601 W 26th Street, Suite 1275
New York, NY 10001

C. Telephone: 212.235.5150 Fax: 212.434.0668 Email: mkarasick@601west.com

D. Name of contact person: Mark Karasick

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Dept. of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- Person
- Limited liability company
- Publicly registered business corporation
- Limited liability partnership
- Privately held business corporation
- Joint venture
- Sole proprietorship
- Not-for-profit corporation
- General partnership
- (Is the not-for-profit corporation also a 501(c)(3))?
- Limited partnership
- Yes No
- Trust
- Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes
- No
- Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|---------------------------|------------------------|
| <u>SL AON MANAGER LLC</u> | <u>MANAGING MEMBER</u> |
| <u>MS AON MANAGER LLC</u> | <u>MANAGING MEMBER</u> |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|-------------------------|------------------|--------------------------------------|
| SEE ATTACHED SCHEDULE I | | |
| | | |
| | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (<u>indicate whether paid or estimated.</u>) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
|------|------------------|------------------------------|

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and ~~Appendices A and B (if applicable)~~^{all applicable}, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and ~~Appendices A and B (if applicable)~~^{all applicable}, are true, accurate and complete as of the date furnished to the City.

SL AON MEMBER LLC
(Print or type exact legal name of Disclosing Party)

By: [Signature]
(Sign here)

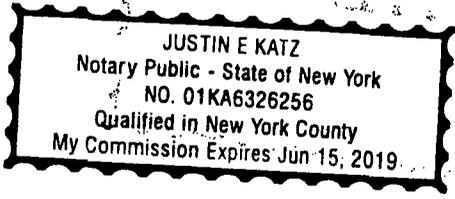
Mark Karasick
(Print or type name of person signing)

MANAGER
(Print or type title of person signing)

Signed and sworn to before me on (date) September 7, 2014
at New York County, New York (state).

[Signature]
Notary Public

Commission expires: June 15, 2019



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

SCHEDULE I

| Name | Address | % |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

SL AON SPECIAL MEMBER LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 601 W 26th Street, Suite 1275

New York, NY 10001

C. Telephone: 212.235.5150 Fax: 212.434.0668 Email: mkarasick@601west.com

D. Name of contact person: Mark Karasick

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Dept. of Planning & Zoning

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|---|
| <input type="checkbox"/> Person | <input checked="" type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|---------------------------|------------------------|
| <u>SL AON MANAGER LLC</u> | <u>MANAGING MEMBER</u> |
| <u>MS AON MANAGER LLC</u> | <u>MANAGING MEMBER</u> |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|-------------------------|------------------|--------------------------------------|
| SEE ATTACHED SCHEDULE I | | |
| | | |
| | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. **If the Matter is not federally funded**, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article 1 (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and ^{all applicable} Appendices ~~A and B~~ (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and ~~Appendices A and B~~ (if applicable), are true, accurate and complete as of the date furnished to the City. ^{all applicable}

SL AON SPECIAL MEMBER LLC
(Print or type exact legal name of Disclosing Party)

By: _____
(Sign here)

Mark Karasick
(Print or type name of person signing)

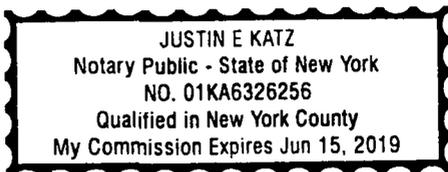
Authorized Signatory
(Print or type title of person signing)

Signed and sworn to before me on (date) September 7, 2018

at New York County, New York (state).

Justin Katz
Notary Public

Commission expires: June 15, 2019



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

SCHEDULE J

| <u>Name</u> | <u>Address</u> | <u>%</u> |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

601 AON EQUITIES LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 601 W 26th Street, Suite 1275
New York, NY 10001

C. Telephone: 212.235.5150 Fax: 212.434.0668 Email: mkarasick@601west.com

D. Name of contact person: Mark Karasick

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Dept. of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- Person
- Limited liability company
- Publicly registered business corporation
- Limited liability partnership
- Privately held business corporation
- Joint venture
- Sole proprietorship
- Not-for-profit corporation
- General partnership
- (Is the not-for-profit corporation also a 501(c)(3))?
- Limited partnership
- Yes No
- Trust
- Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes
- No
- Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|----------------------|----------------|
| <u>MARK KARASICK</u> | <u>MANAGER</u> |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|------|------------------|--------------------------------------|
|------|------------------|--------------------------------------|

SEE ATTACHED SCHEDULE I

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (<u>indicate whether paid or estimated.</u>) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
| | | |
| | | |
| | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI – CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes No Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and ^{all applicable} Appendices ~~A and B~~ (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and ~~Appendices A and B~~ (if applicable), are true, accurate and complete as of the date furnished to the City. ^{all applicable}

601 AON EQUITIES LLC
(Print or type exact legal name of Disclosing Party)

By: _____
(Sign here)

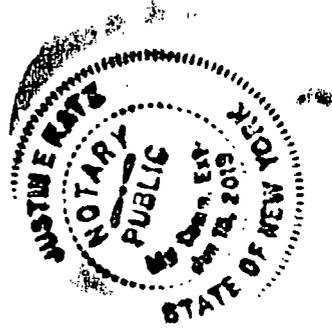
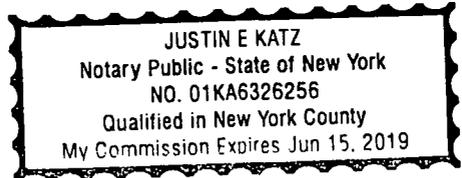
Mark Karasick
(Print or type name of person signing)

Member
(Print or type title of person signing)

Signed and sworn to before me on (date) September 7, 2018
at New York County, New York (state).

Justin Katz
Notary Public

Commission expires: June 15, 2019



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

SCHEDULE J

| <u>Name</u> | <u>Address</u> | <u>%</u> |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

MS AON EQUITIES LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 55 OLD NYACK TURNPIKE, SUITE 210
NANUET, NY 10954

C. Telephone: 845.623.5290 Fax: 845.623.5291 Email: _____

D. Name of contact person: Michael Silberberg

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Dept of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- Person
- Limited liability company
- Publicly registered business corporation
- Limited liability partnership
- Privately held business corporation
- Joint venture
- Sole proprietorship
- Not-for-profit corporation
- General partnership
- (Is the not-for-profit corporation also a 501(c)(3))?
- Limited partnership
- Yes No
- Trust
- Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes
- No
- Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|---------------------------|----------------|
| <u>Michael Silberberg</u> | <u>Manager</u> |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
|------|------------------|------------------------------|

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of ^{all applicable}perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices ~~A and B (if applicable)~~, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and, Appendices ~~A and B (if applicable)~~, are true, accurate and complete as of the date furnished to the City. ^{all applicable}

MS AON EQUITIES LLC

(Print or type exact legal name of Disclosing Party)

By: Michael Silberberg
(Sign here)

Michael Silberberg

(Print or type name of person signing)

Member

(Print or type title of person signing)

Signed and sworn to before me on (date) 3/1/18,

at Rockland County, New York (state).

E. Delgado
Notary Public

ELSA DELGADO
NOTARY PUBLIC, STATE OF NEW YORK
NO. 01DE6311319
QUALIFIED IN ORANGE COUNTY
MY COMMISSION EXPIRES SEP 15, 2018

Commission expires: _____

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

[x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

SCHEDULE I

| <u>Name</u> | <u>Address</u> | <u>%</u> |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

HALMAN ALDUBI AON RH LP

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: Halman Aldubi Aon RH LP, 5785 N. Halsted St., Chicago, IL 60630

C. Telephone: +972-3-6000000 Fax: _____ Email: Tal.hagemel@aon.com

D. Name of contact person: Tal Hagemel

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input checked="" type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

ISRAEL

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|----------------------|--|
| <u>Hussein Aldub</u> | <u>Investments Broker Management Ltd</u> |
| | <u>General Partner</u> |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

none

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b):

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
| | | |
| | | |
| | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes No Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

HALMAN ALDUBI AON RH LP
(Print or type exact legal name of Disclosing Party)

By: 
(Sign here)

Johnny S. Ober Tal Hamuka
(Print or type name of person signing)

Legal Counsel Head of Alternative Investments
(Print or type title of person signing)

Signed and sworn to before me on (date) _____,

at _____ County, _____ (state).

Notary Public

Commission expires: _____

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

Schedule I

| Name | Address | % |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP | | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION | | 10.526% Indirect |
| COS FERRIS 2 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| COS FERRIS 1 CO., LTD, a Cayman Islands exempted company | | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: Room 4802-4803 Office Tower Convention Plaza
1 Harbour Road, Wan Chai, Hong Kong

C. Telephone: (925) 310-4111 Fax: _____ Email: tom.mills@cos-capital.com

D. Name of contact person: Thomas I. Mills

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- Person
- Publicly registered business corporation
- Privately held business corporation
- Sole proprietorship
- General partnership
- Limited partnership
- Trust
- Limited liability company
- Limited liability partnership
- Joint venture
- Not-for-profit corporation
(Is the not-for-profit corporation also a 501(c)(3))?
 Yes No
- Other (please specify)
Hong Kong limited company

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Hong Kong

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes
- No
- Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|----------------|--------------|
| ZHANG CHUNPING | Co-President |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|-------------------------|------------------|--------------------------------------|
| SEE ATTACHED SCHEDULE I | | |
| | | |
| | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (<u>indicate whether paid or estimated.</u>) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

none

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [x] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
|------|------------------|------------------------------|

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. **If the Matter is not federally funded**, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED
(Print or type exact legal name of Disclosing Party)

By: Thomas I. Mills
(Sign here)

Thomas I. Mills

(Print or type name of person signing)

Authorized Signatory

(Print or type title of person signing)

Signed and sworn to before me on (date) _____,
at _____ County, _____ (state).

Notary Public

Please see attached
Notary Certificate.

Commission expires: _____

Jurat

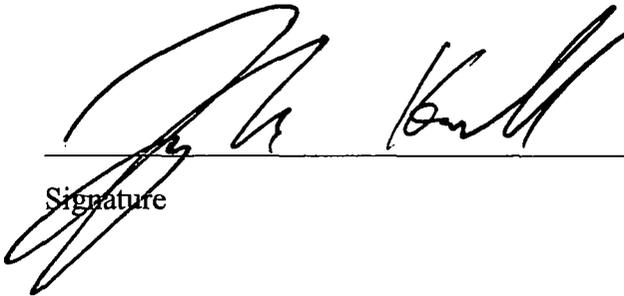
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State California

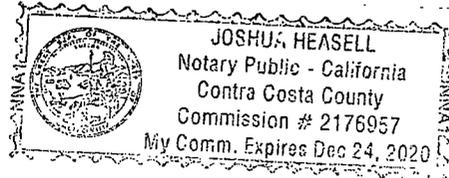
County of Contra Costa

Subscribed and sworn to (or affirmed) before me on this 9th day of October,
2018 by Thomas F. Mills

Proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.



Signature (notary seal)



Not Applicable to Disclosing Party: Disclosing Party is not the Applicant or a legal entity with a direct ownership interest in the Applicant exceeding 7.5%

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Not Applicable to Disclosing Party: Disclosing Party is not the Applicant or a legal entity with a direct ownership interest in the Applicant exceeding 7.5%

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

Schedule I

| Name | Address | % |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH,LP a Delaware limited partnership | c/o Corporation Service Company,251 Little Falls Drive,Wilmington DE 19808 | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION, a Delaware corporation | c/o China Orient Summit Capital Co., Ltd., 3685 Mt. Diablo Blvd., Suite 398, Lafayette, CA 94549 | 10.526% Indirect |
| COS FERRIS 2 CO. LTD., a Cayman Islands exempted company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |
| COS FERRIS 1 CO. LTD., a Cayman Islands exempted company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

FERRIS INVESTMENT CORPORATION

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: c/o China Orient Summit Capital Co., Ltd.
3685 Mt. Diablo Blvd., Suite 398, Lafayette, CA 94549

C. Telephone: (925) 310-4111 Fax: _____ Email: tom.mills@cos-capital.com

D. Name of contact person: Thomas I. Mills

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input checked="" type="checkbox"/> Other (please specify) |
| | <u>Corporation</u> |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|---|-------|
| <u>COS FERRIS 2 CO. LTD., a Cayman Islands exempted company</u> | |
| <hr/> | |
| <hr/> | |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|-------------------------|------------------|--------------------------------------|
| SEE ATTACHED SCHEDULE I | | |
| | | |
| | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|--|
|--|------------------|--|--|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

none

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [x] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
|------|------------------|------------------------------|

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

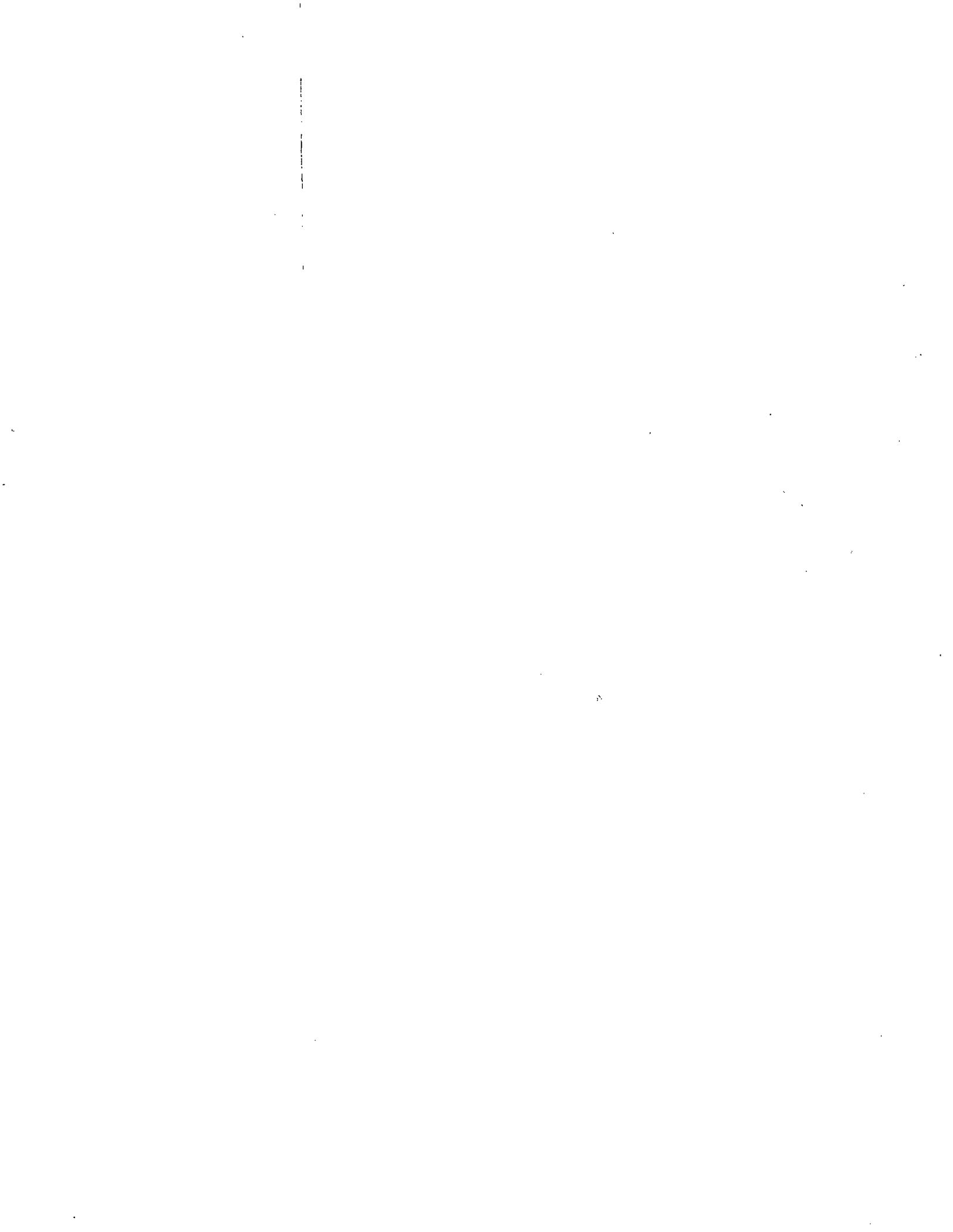
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee



of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

FERRIS INVESTMENT CORPORATION

(Print or type exact legal name of Disclosing Party)

By: Thomas I. Mills
(Sign here)

Thomas I. Mills

(Print or type name of person signing)

Authorized Signatory

(Print or type title of person signing)

Signed and sworn to before me on (date) _____,

at _____ County, _____ (state).

Notary Public

Commission expires: _____

Jurat

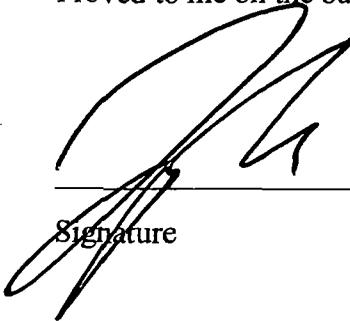
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State California

County of Contra Costa

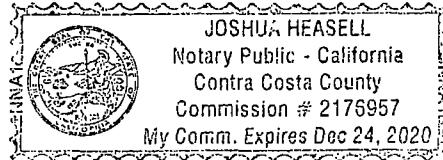
Subscribed and sworn to (or affirmed) before me on this 9th day of October,
2018 by Thomas F. Mills

Proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.



Signature

 (notary seal)



Not Applicable to Disclosing Party: Disclosing Party is not the Applicant or a legal entity with a direct ownership interest in the Applicant exceeding 7.5%

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Not Applicable to Disclosing Party: Disclosing Party is not the Applicant or a legal entity with a direct ownership interest in the Applicant exceeding 7.5%

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

Schedule I

| Name | Address | % |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH LP, a Delaware limited partnership | c/o Corporation Service Company, 251 Little Falls Drive, Wilmington DE 19808 | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION, a Delaware corporation | c/o China Orient Summit Capital Co., Ltd., 3685 Mt. Diablo Blvd., Suite 398, Lafayette, CA 94549 | 10.526% Indirect |
| COS FERRIS 2 CO. LTD., a Cayman Islands exempted company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |
| COS FERRIS 1 CO. LTD., a Cayman Islands exempted company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

COS FERRIS 1 CO. LTD.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party:

Room 4802-4803 Office Tower Convention Plaza

1 Harbour Road, Wan Chai, Hong Kong

C. Telephone: (925) 310-4111

Fax: _____

Email: tom.mills@cos-capital.com

D. Name of contact person: Thomas I. Mills

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input checked="" type="checkbox"/> Other (please specify) |
| | <u>Cayman Islands exempted company</u> |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Cayman Islands

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|---|-------|
| <u>CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong</u> | |
| <u>limited company</u> | |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (<u>indicate whether paid or estimated.</u>) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

none

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

Business Address

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?
 Yes No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
 Yes No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
 Yes No Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
 Yes No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

COS FERRIS 1 CO. LTD.

(Print or type exact legal name of Disclosing Party)

By: Thomas I. Mills
(Sign here)

Thomas I. Mills

(Print or type name of person signing)

Authorized Signatory

(Print or type title of person signing)

Signed and sworn to before me on (date) _____,

at _____ County, _____ (state).

Notary Public

Please see attached
Notary Certificate.

Commission expires: _____

Jurat

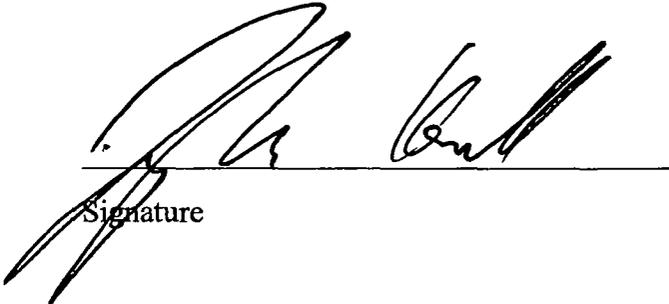
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State California

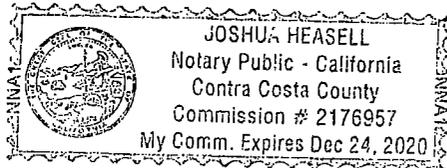
County of Contra Costa

Subscribed and sworn to (or affirmed) before me on this 9th day of October,
2018 by Thomas F. Mills

Proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.



Signature (notary seal)



Not Applicable to Disclosing Party: Disclosing Party is not the Applicant or a legal entity with a direct ownership interest in the Applicant exceeding 7.5%

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Not Applicable to Disclosing Party: Disclosing Party is not the Applicant or a legal entity with a direct ownership interest in the Applicant exceeding 7.5%

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

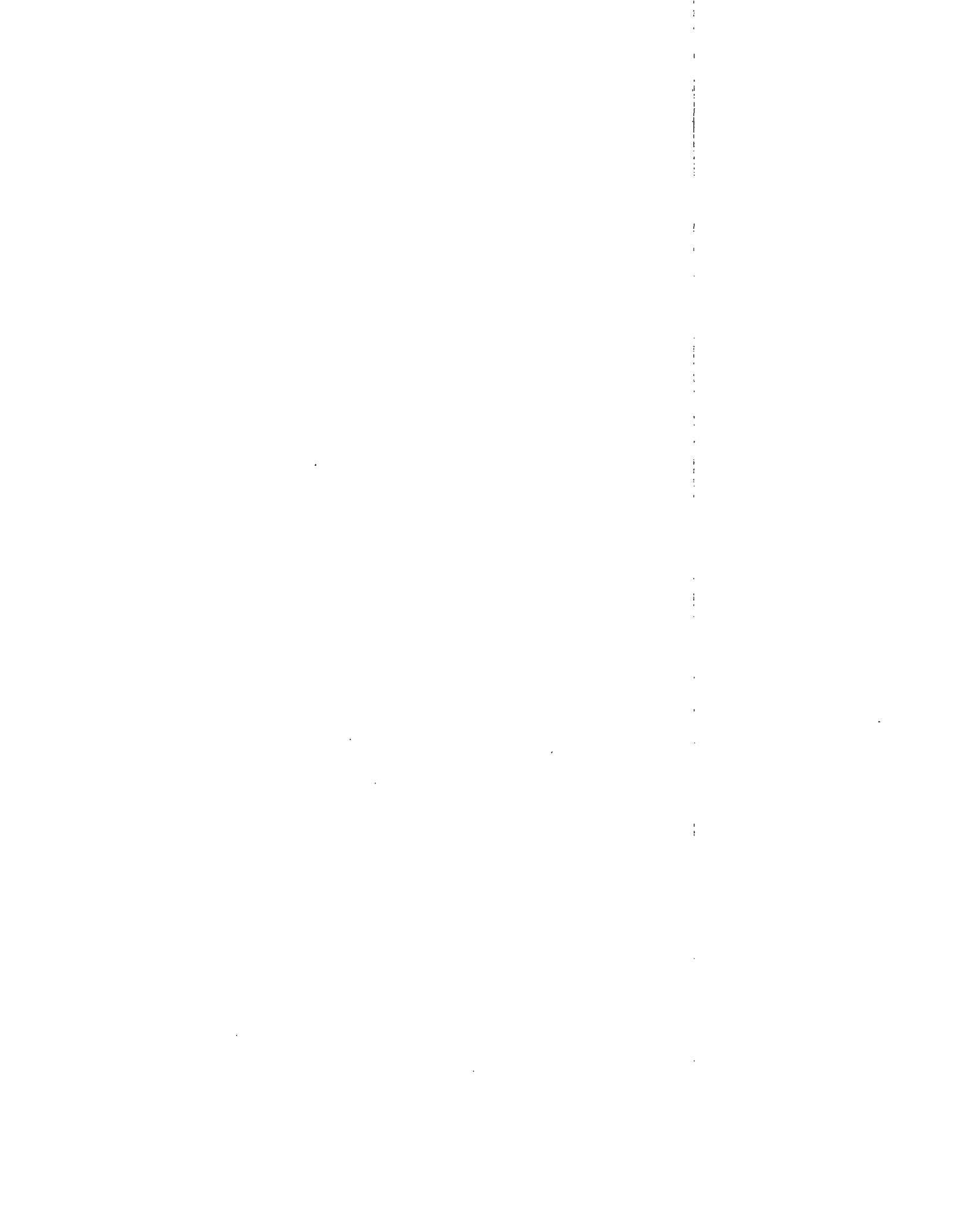
N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

Schedule I

| Name | Address | % |
|--|---|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RH,LP, a Delaware limited partnership | c/o Corporation Service Company, 251 Little Falls Drive, Wilmington DE 19808 | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION, a Delaware corporation | c/o China Orient Summit Capital Co, Ltd., 3685 Mt. Diablo Blvd., Suite 398, Lafayette, CA 94549 | 10.526% Indirect |
| COS FERRIS 2 CO. LTD., a Cayman Islands exempted company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |
| COS FERRIS 1 CO. LTD., a Cayman Islands exempted company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

COS FERRIS 2 CO. LTD.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 601 W COMPANIES LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: Room 4802-4803 Office Tower Convention Plaza
1 Harbour Road, Wan Chai, Hong Kong

C. Telephone: (925) 310-4111 Fax: _____ Email: tom.mills@cos-capital.com

D. Name of contact person: Thomas I. Mills

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Zoning Amendment Application for 200 E. Randolph

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input checked="" type="checkbox"/> Other (please specify) |
| | <u>Cayman Islands exempted company</u> |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Cayman Islands

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name | Title |
|---|-------|
| <u>COS FERRIS 1 CO. LTD., a Cayman Islands exempted company</u> | |
| <hr/> | |
| <hr/> | |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name | Business Address | Percentage Interest in the Applicant |
|-------------------------|------------------|--------------------------------------|
| SEE ATTACHED SCHEDULE I | | |
| <hr/> | | |
| <hr/> | | |

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (<u>indicate whether paid or estimated.</u>) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|------------------|--|---|
|--|------------------|--|---|

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
 - d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:
- the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

none

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

| Name | Business Address | Nature of Financial Interest |
|------|------------------|------------------------------|
|------|------------------|------------------------------|

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes No Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

COS FERRIS 2 CO. LTD.

(Print or type exact legal name of Disclosing Party)

By: Thomas I. Mills
(Sign here)

Thomas I. Mills

(Print or type name of person signing)

Authorized Signatory

(Print or type title of person signing)

Please see attached
Notary Certificate.

Signed and sworn to before me on (date) _____,

at _____ County, _____ (state).

Notary Public

Commission expires: _____

Jurat

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State California

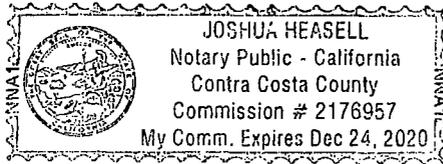
County of Contra Costa

Subscribed and sworn to (or affirmed) before me on this 9th day of October,
2018 by Thomas F. Mills

Proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.



Signature (notary seal)



Not Applicable to Disclosing Party: Disclosing Party is not the Applicant or a legal entity with a direct ownership interest in the Applicant exceeding 7.5%

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Not Applicable to Disclosing Party: Disclosing Party is not the Applicant or a legal entity with a direct ownership interest in the Applicant exceeding 7.5%

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

Schedule I

| Name | Address | % |
|--|--|---------------------|
| AON MEZZ 1 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Direct |
| AON MEZZ 2 LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON MANAGER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 21% Profit Indirect |
| MS AON MANAGER LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 99% Profit Indirect |
| SL AON MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 100% Indirect |
| SL AON SPECIAL MEMBER LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 10% Profit Indirect |
| MS AON EQUITIES LLC, a Delaware limited liability company | 55 Old Nyack Turnpike, Suite 210, Nanuet, NY 10954 | 7.895% Indirect |
| 601 AON EQUITIES LLC, a Delaware limited liability company | 601 West 26 th Street, Suite 1275, New York, NY 10001 | 7.632% Indirect |
| HALMAN ALDUBI AON RHP, a Delaware limited partnership | c/o Corporation Service Company, 251 Little Falls Drive, Wilmington DE 19808 | 7.895% Indirect |
| FERRIS INVESTMENT CORPORATION, a Delaware corporation | c/o China Orient Summit Capital Co., Ltd., 3685 Mt. Diablo Blvd., Suite 398, Lafayette, CA 94549 | 10.526% Indirect |
| COS FERRIS 2 CO. LTD., a Cayman Islands exempted company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |
| COS FERRIS 1 CO. LTD., a Cayman Islands exempted company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |
| CHINA ORIENT ASSET MANAGEMENT (INTERNATIONAL) HOLDING LIMITED, a Hong Kong limited company | Room 4802-4803 Office Tower Convention Plaza, 1 Harbour Road, Wan Chai, Hong Kong | 10.526% Indirect |