

### City of Chicago



O2017-5479

### Office of the City Clerk Document Tracking Sheet

**Meeting Date:** 

7/26/2017

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 2-G and 4-G at 1328-1350

W Taylor St - App No. 19297

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

# 19297 INTRO. DATE! PULY 26, 2017

#### **ORDINANCE**

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** That the Chicago Zoning Ordinance be amended by changing all the current Residential-Business Planned Development Number 896 District symbols and indications as shown on Map Numbers 2-G and 4-G in the area bounded by:

West Cabrini Street; South Racine Avenue; West Roosevelt Road; South Blue Island Avenue; West 15<sup>th</sup> Street; South Laflin Street; a line 132 feet next south of West 15<sup>th</sup> Street; South Ashland Avenue; West Hastings Street; a line 132.25 feet next east of South Ashland Avenue; the alley next north of West Hastings Street; South Ashland Avenue; West 13<sup>th</sup> Street; the alley next east of South Ashland Avenue; the alley next east of South Ashland Avenue; the alley next east of South Ashland Avenue; the alley next north of West Washburne Avenue; a line 179.5 feet next east of South Ashland Avenue; West Roosevelt Road; a line 192 feet next west of South Loomis Street; the alley next south of West Roosevelt Road; a line 144 feet next west of South Loomis Street; West Washburne Avenue, if extended west of South Loomis Street; South Loomis Street; South Throop Street; West Taylor Street; a line 100 feet next east of South Loomis Street; the alley next north of West Taylor Street; the alley next east of South Loomis Street; West Arthington Street; and South Ada Street,

to those of Residential-Business Planned Development Number 896, as amended, which is hereby established in the area described above and subject to such use and bulk regulations as are set forth in the Plan of Development attached hereto and to no others.

**SECTION 2.** This ordinance shall be in force and effect from and after its passage and due publication.

Address: 1328-1350 West Taylor Street, Chicago, Illinois

### Residential-Business Planned Development Number 896, As Amended

### Plan Of Development Statements.

- 1. The area delineated herein as a Residential-Business Planned Development Number 896, as amended (the "Planned Development") consists of approximately seven million one hundred seventy-two thousand two hundred twenty-six (7,172,226) square feet (one hundred sixty-four and sixty-five hundredths (164.65) acres) of property which is depicted on the attached Planned Development Boundary and Property Line Map (the "Property"). The "Applicant" for this amendment is Roosevelt Square Library LLC with authorization from the Property owners.
- 2. The Applicant, or the Chicago Housing Authority, LR ABLA L.L.C. (the "Master Developer") or their successors, assignees or grantees (collectively, "Affiliates") shall obtain all necessary official reviews, approvals or permits. Any dedication or vacation of streets, alleys or easements or any adjustment of rights-of-way shall require a separate submittal on behalf of the Applicant or its Affiliates and approval by the City Council of the City of Chicago (the "City Council").
- The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Property owners, the Affiliates, their successors, assignees or grantees and all other individuals or entities owning property within the Planned Development. All rights granted hereunder to the Applicant shall inure to the benefit of the Affiliates and their successors, assignees or grantees. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time applications for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or under single designated control. Single designated control for purposes of this paragraph shall mean that any application to the City for any amendment to this Planned Development or any other modification or change thereto (administrative, legislative or otherwise), or, to the extent permitted by law, for a permit to construct improvements on the Property filed prior to completion of the improvements contemplated by this Planned Development shall be made or authorized by the Master Developer. Upon completion of construction of all the improvements contemplated by this Planned Development, any application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development may be filed or authorized for an individual parcel by the owner of the improvements located thereon.
- 4. This plan of development consists of these eighteen (18) statements and the exhibits listed below, all of which are incorporated herein and made a part hereof by this reference: a Permitted Uses Table; a Bulk Regulations and Data Table; an Existing Zoning Boundary Map; an Existing Land-Use Map; a Right-of-Way Adjustments Map; a Planned Development Boundary and Property Line Map; a Subarea Map; a Phase I Site Plan prepared by DeStefano + Partners dated November 20, 2003; a Phase I Landscape Plan and Street Character Guidelines prepared by Wolff Clements and

Associates, Ltd. dated November 20, 2003; and Building Elevations prepared by DeStefano + Partners et al. dated November 20, 2003. A full size set of the Phase I Site Plan, the Phase I Landscape Plan and the Building Elevations is on file with the Department of Planning and Development.

This plan of development consists of the following exhibits related to the development of the new construction building in Subarea D that is the subject of this Amendment: Subarea D Existing Land-Use Map; Site/Landscape Plan; Building Elevations (north, south, east and west) and Green Roof Plan prepared by Skidmore, Owings & Merrill LLP dated \_\_\_\_\_\_, 2017.

These and no other zoning controls shall apply to the Property. This Planned Development conforms to the intent and purpose of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, and all requirements thereof, and satisfies the established criteria for approval as a planned development.

5. The Property within the Planned Development is divided into fourteen (14) subareas as indicated on the Subarea Map. Subject to the Bulk Regulations and Data Table and the Street Character Guidelines, those uses indicated on the attached Permitted Uses Table shall be permitted in this Planned Development. The uses permitted in Subareas A-1 -- A-5, F, G and H are based on the uses permitted in the R4 General Residence District. The uses permitted in Subareas A-6, B, C, D, E and I are based on the uses permitted in the B4-2 Restricted Service District. In the event that the Chicago Zoning Ordinance is amended to change the permitted uses in either of these districts, any new use not otherwise included in the attached Permitted Uses Table proposed for the Property shall be subject to the review and approval of the Department of Planning and Development and shall only be permitted if such use is consistent with the character of the area in which it is proposed.

### Additional Permitted Use Regulations:

- (a) Portions of the Property in all subareas may be used on an interim basis for construction staging and the storage of construction materials for the various phases of development of the Property (including temporary buildings for construction purposes) and for public and private parks and playgrounds. In addition, the floor area ratios provided for each subarea herein may be exceeded on a temporary basis during such construction.
- (b) Any uses existing on the Property as of the date of adoption of this Planned Development shall be allowed to be maintained until the Applicant or the Affiliates undertake redevelopment thereof as provided herein.
- (c) Residential uses may be permitted below the second (2<sup>nd</sup>) floor in all subareas.
- (d) All retail shall have a minimum floor to floor height of twelve (12) feet.
- (e) Any permitted business use allowed in Subarea A-6 shall be located on the ground floor of a residential building and the total amount of business space

- within the subarea shall not exceed two thousand (2,000) square feet. No drive-through uses shall be permitted in Subarea A-6.
- (f) Stand-alone business uses shall only be permitted within Subarea B at the southwest corner of South Racine Avenue and West Roosevelt Road and the southeast corner of South Loomis Street and West Roosevelt Road, provided the type of such use shall be subject to consultation with the ABLA Working Group and compliance with paragraph 12 herein and provided further that the total amount of business space in the aforementioned locations shall not exceed fifteen thousand (15,000) and seven thousand five hundred (7,500) square feet, respectively. Business uses shall also be permitted at the southeast corner of West Roosevelt Road and South Racine Avenue provided such uses are located on the ground floor of a residential building and that the total amount of business space permitted in such location shall not exceed two thousand (2,000) square feet. Drive-through uses, other than restaurants, shall be permitted in Subarea B, subject to the review and approval of the Department of Planning and Development.
- (g) All permitted business uses in Subarea D shall be located on the ground floor of residential buildings and the total amount of business space in anyone location shall not exceed six thousand (6,000) square feet unless located within a parking structure, or stand-alone retail location which may only occur once within Subarea D. No drive-through uses shall be permitted in Subarea D.
- (h) Permitted business uses shall only be allowed in Subarea E at the southwest corner of West 15<sup>th</sup> Street and South Loomis Street and at the southeast corner of West 15<sup>th</sup> Street and South Ashland Avenue and provided further that all permitted business uses shall be located on the ground floor of a residential building and the total amount of business space in any one location shall not exceed three thousand (3,000) square feet. No drive-through uses shall be permitted in Subarea E.
- (i) Permitted museum uses may exceed six thousand (6,000) square feet.
- (j) Drive-through uses shall be permitted in Subarea C and Subarea I, subject to the review and approval of the Department of Planning and Development.
- (k) All permitted "drive-through" uses shall be subject to the review and approval of the Department of Planning and Development.
- 6. Project identification signs and business identification signs shall be permitted within the Planned Development subject to the review and approval of the Department of Planning and Development. Temporary signs, such as construction and marketing signs also shall be permitted, subject to the review and approval of the Department of Planning and Development.
- 7. Off-street parking and loading facilities shall be provided in accordance with the provisions of this Planned Development subject to the review and approval of the

Departments of Transportation and Planning and Development. Any service drive or other ingress or egress shall be adequately designed and paved in accordance with the regulations of the Department of Transportation in effect at the time of construction and in compliance with the Municipal Code of the City of Chicago, to provide ingress and egress for motor vehicles, including emergency vehicles. There shall be no parking within such emergency areas. Ingress and egress shall be subject to the review and approval of the Departments of Transportation and Planning and Development. Closure of all or part of any public streets or alleys during demolition or construction shall be subject to the review and approval of the Department of Transportation.

- 8. In addition to the maximum height of any building or any appurtenance depicted on the Building Elevations attached hereto, the height of any improvement shall also be subject to height limitations as approved by the Federal Aviation Administration.
- 9. The improvements on the Property shall be designed, constructed and maintained in substantial conformance with this Planned Development. Landscaping shall be installed in accordance with the Landscape Plans for the various phases of development and maintained in accordance with the parkway tree planting and parking lot landscaping provisions of the Chicago Zoning Ordinance.
- 10. For purposes of floor area ratio ("F.A.R.") calculations, the definitions in the Chicago Zoning Ordinance in effect as of the date hereof shall apply; provided, however, that in addition to the other exclusions from floor area for purposes of determining F.A.R. permitted by the Chicago Zoning Ordinance, such calculations shall exclude (a) all floor area dedicated to mechanical equipment and storage areas in excess of five thousand (5,000) square feet in any single building, and (b) any floor area associated with parking and loading areas.
- 11. For purposes of this Planned Development, in addition to the encroachments permitted by the Chicago Zoning Ordinance, the following shall be considered permitted obstructions: steps exceeding four (4) feet in height above grade level in any yard setback depicted on the Site Plans; balconies, provided, however, no balconies, other than those which may be installed as part of a rehabilitation of an existing building, shall project into the public way; multi-story bays projecting not more than three (3) feet into any yard; and open porches projecting not more than five (5) feet into the front or side yards.
- 12. Prior to issuance by the Department of Planning and Development of a determination pursuant to Section 11.11-3(b) of the Chicago Zoning Ordinance ("Part II Approval") for construction of the improvements in any phase of development subsequent to Phase I, a site plan, landscape plan and building elevations (collectively, the "Subsequent Phase Site Plan Approval Submittals") shall be submitted to the Department of Planning and Development for approval. Approval of the Subsequent Phase Improvements is intended to assure that the specific components thereof substantially conform with this Planned Development, including the Street Character Guidelines attached hereto. Subsequent Phase Improvements that contain

modifications which would otherwise be considered minor changes to the Planned Development shall be deemed to be in substantial conformance therewith. No Site Plan Approval shall be required in connection with the development of the Phase I improvements or the new construction building located in Subarea D contemplated by this Amendment pursuant to this Planned Development. If the Subsequent Phase Site Plan Approval Submittals for any phase of development subsequent to Phase I substantially conform with the provisions of this Planned Development, the Department of Planning and Development shall approve same within thirty (30) days from submission thereof. Following approval thereof by the Department of Planning and Development, said Subsequent Phase Site Plan Approval Submittals, and each of them, shall be kept on permanent file with the Department of Planning and Development and shall be deemed to be an integral part of this Planned Development. After approval of the Subsequent Phase Site Plan Approval Submittals by the Department of Planning and Development for improvements in any phase of development subsequent to Phase I, the same may be changed or modified pursuant to the provisions of Statement Number 13 of this Planned Development. In the event of any inconsistency between Subsequent Phase Site Plan Approval Submittals and the terms of this Planned Development in effect at the time of approval of such Subsequent Phase Site Plan Approval Submittals or of the changes or modifications thereto, the terms of this Planned Development shall govern.

Subsequent Phase Site Plan Approval Submittals shall, at minimum, provide the following information:

- (a) a site plan (including footprints of the proposed improvements);
- (b) a landscape plan, including species and size of landscape material;
- (c) location and depiction of all parking spaces and loading berths, including relevant dimensions;
- (d) location and depiction of all drives, roadways and vehicular routes;
- (e) statistical information applicable to the particular phase of development depicted, including:
  - (1) floor area and floor area ratio;
  - (2) uses to be established;
  - (3) building heights; and
  - (4) building setbacks;
- (f) building elevations; and
- (g) compliance with the Street Character Guidelines.

- Subsequent Phase Site Plan Approval Submittals shall include such other information as may be necessary to illustrate substantial conformance with the applicable provisions of this Planned Development.
- 13. The terms, conditions and exhibits of this Planned Development may be modified administratively by the Commissioner of the Department of Planning and Development upon the request of the Applicant, the Affiliates or their successors, assignees or grantees and after a determination by the Commissioner that such a modification is minor, appropriate and consistent with the nature of the development of the Property contemplated herein and will not result in increasing the maximum floor area ratio for the total net site area or the maximum number of units for the total net site area established in this planned development. Any such modification shall be deemed a minor change in the Planned Development as contemplated by Section 11.11-3(c) of the Chicago Zoning Ordinance. Notwithstanding the provisions of Section 11.11-3(c) of the Chicago Zoning Ordinance, such minor changes may include, but are not limited to, a reduction in the minimum required distance between structures, a reduction in periphery setbacks and an increase in the maximum percent of land covered.
- 14. The Applicant, the Affiliates, and their successors, assignees or grantees acknowledge that it is in the public interest to design, construct and maintain all buildings in a manner which promotes and maximizes the conservation of energy resources. The Applicant and Affiliates shall use best and commercially reasonable efforts to design, construct and maintain all new buildings located within this Planned Development in an energy efficient manner, generally consistent with the most current energy efficiency standards published by the American Society of Heating, Refrigeration and Air Conditioning Engineers ("A.S.H.R.A.E.") and the Illuminating Engineering Society ("I.E.S.").
- 15. The Applicant, the Affiliates and their successors, assignees or grantees acknowledge that it is in the public interest to design, construct and maintain all buildings in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all new buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities ("M.O.P.D.") to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility. No approvals shall be granted pursuant to Section 11.11-3(b) of the Chicago Zoning Ordinance until the Director of M.O.P.D. has approved detailed construction drawings for each building or improvement.
- 16. Applicant and Affiliates acknowledges that it is in the public interest to design, construct and maintain all buildings and improvements on the Property in a manner that promotes energy efficiency and maximizes the conservation of natural resources. To promote these objectives, the Applicant and Affiliates agree to review with the Department of Planning and Development to determine if any features, materials or resources would be cost-effective to include as a part of the design, construction, and maintenance of the buildings and improvements on the Property. Cost-effectiveness

shall be in the sole discretion of the Applicant and Affiliates. Provided funding is available as indicated below, this review process shall specifically include the use of computer modeling programs recommended by the Department of Planning and Development. The types of features, materials and resources that will be analyzed and considered during this process are enumerated on Exhibit 12. This listing is not intended to be exhaustive and other features, materials and resources may also be reviewed and evaluated. All features, materials or resources shall be evaluated in the context of the Home Energy Rating System. To the extent that design, construction and maintenance of any of these features materials or resources requires supplemental funding from the City or other funding sources, design, construction or maintenance shall be subject to the receipt of such funding.

- 17. Design Guidelines. The improvements on the Property shall be designed, constructed and maintained in general conformance with the plans and exhibits set forth in these statements or attached hereto. In addition, the development shall be subject to the following development parameters:
  - (a) Open Space: Open space shall be provided within the Planned Development in accordance with the following requirements:

Housing Type	Private Open Space (Per Unit)	Public Open Space (Per Unit) Within Planned Development	Private Open Space Or Landscape Setbacks (Per Unit)
Single-Family Detached	500 square feet	150 square feet	
Single-Family Attached (townhouses)	200 square feet	150 square feet	
Low-Rise Apartments Condominiums Flats (up to 4- stories)	Up to 50% of total open space required in column 3 may be in balconies or roofdecks	50 square feet	8 units or less 150 square feet  More than 8 units 100 square feet
Mid-Rise Apartments Condominiums (5 to 8 stories)	Up to 50% of total open space required in column 3 may be in balconies or roofdeck	50 square feet	100 square feet
Loft Conversions	Up to 50% of total open space required in column 3 may be in balconies or individual unit roofdecks	50 square feet	100 square feet (may be provided in roof garden)

- (b) Landscaping. The landscaping requirements for Phase I of the development will comply with the Phase I Landscape Plan attached hereto. The landscaping for all future phases of development shall substantially comply with the Street Character Guidelines and the Chicago Landscape Ordinance and associated guidelines and regulations. Landscaping for all rental properties will be varietal. Front yard beds of rental properties shall be varietal and be planted to maintain color throughout the seasons.
- (c) Residential Building Design. Other than the Phase I Improvements and the new construction building located in Subarea D contemplated by this Amendment, which comply with the design requirements contained herein, building elements for all future phases may include but not be limited to: entrance canopies, front stoops, stairs, flat or pitched roofs, punched windows, bay windows, upper story balcony/porches, roof terraces and architectural elements that reinforce the corner.
  - (1) Facades. Front facades, including end walls which front public streets or open spaces, be of brick, have windows and be ornamented with artificial stone or concrete. They shall be varied in material, roofline and window style. The front facade brick should turn the corner for a minimum of four (4) feet at the side walls. Where buildings have a greater exposure of the side facade due to an adjacent building setback. the facade brick shall return four (4) feet past the face of the adjacent Side walls and rear, walls should be masonry (brick or C.M.U.), vinyl clad or split-face block. E.I.F.S. synthetic stucco or dryvit, shall be limited to architectural ornament and penthouse facades. Facades that face car courts but not public streets must contain pedestrian scale entry doors, windows and building lighting. All front facades or side facades facing a public street will contain items that create architectural rhythm such as bay windows, and brick moldings; and building projections such as cornice lines and pilasters; television reception dishes shall not be permitted thereon. Affordable housing units shall be constructed of equal quality materials, detailing and decoration so they are indistinguishable from market rate units.
  - (2) Dwelling Types. A variety of dwelling types including affordable housing is to be interspersed throughout the development and within individual blocks.
  - (3) Private Garages. Private garages may be constructed of vinyl siding, wood siding, stucco or masonry. Where a private garage is located within fifteen (15) feet of a public street, unless the facade facing the street is constructed of a material other than vinyl siding (i.e., masonry, stucco, et cetera); it shall be screened from view by plantings or fences. If plantings are utilized to screen a garage, such plantings shall provide screening on a year-round basis.

- (4) Orientation Of Buildings. Buildings shall be oriented to the street, public open space or private courtyard with the primary facade and a front door, or the appearance of a front facade and front door. Any side wall facing a public street will contain materials and architectural elements that are consistent in character with primary building facades. Curb cuts, garage doors, blank walls and rear service elements shall not face public streets or common open spaces.
- (5) Retail Corner Sites. Retail corner site setbacks over five (5) feet are to be avoided.
- (d) Fencing, Walls And Gates. Fencing separating the private yards from the street or common open space should be no less than seventy percent (70%) transparent and no more than five (5) feet in height.
- 18. a) Unless substantial construction of the Phase I Improvements contemplated by this Planned Development has commenced within six (6) years of the effective date hereof and unless the completion of those improvements is thereafter diligently pursued, then this Planned Development shall expire and the zoning of the Property shall automatically revert to those zoning district classifications in existence immediately prior to the adoption of the ordinance establishing this Planned Development.
  - b) Subsequent Periods. Unless substantial construction has commenced and been diligently pursued after the initial six (6) year period on a minimum of four hundred (400) dwelling units (cumulative) within eight (8) years of the effective date hereof, six hundred (600) dwelling units (cumulative) within ten (10) years of the effective date hereof and eight hundred (800) dwelling units (cumulative) within twelve (12) years of the effective date hereof (the "Subsequent Periods"), the Department may decide to review and recommend modification of the provisions of this Planned Development in whole or in part. The Department's determination that the Planned Development ordinance must be reviewed shall be reflected in an application filed by the City for a Planned Development amendment, with the City being deemed the Applicant and providing such notice as may be required by law. Not less than thirty (30) days before filing any such application for amendment to the Planned Development, the Department shall provide the Applicant with a copy of the draft application for amendment.
  - c) Unless substantial construction of the improvements contemplated within Subarea D has commenced within six (6) years following adoption of Residential-Business Planned Development Number 896, as amended by this Amendment and unless completion is thereafter diligently pursued, then this planned development shall expire as it relates to Subarea D and the zoning of Subarea D of the planned development shall automatically revert to Residential-Business Planned Development Number 896, as amended on September 5, 2007.

### Exhibit 12 referred to in these Plan of Development Statements reads as follows:

### Exhibit 12

Energy Efficiency And Sustainable Development Features.

### Site:

install environmentally responsible landscape of native plants; encourage storm water percolation with green spaces; orient buildings and window placement to maximize sunlight; install (by City) permeable alleys; and review (by City) of alternate water retention systems.

### Efficiency:

caulk and seal all penetrations;

install low-flow plumbing fixtures;
maximize amount of fluorescent light fixtures;
use fluorescent fixtures at all common light fixtures;
use photocells on exterior common light fixtures;
install double glazed windows;
install Energy Star appliances;
install ninety percent (90%) efficient furnaces;
install energy efficient hot water heaters;
locate hot water heater near the point of highest service, where possible;
insulate hot and cold water pipes within three (3) feet of the hot water heater;
install programmable thermostats;
train occupants on system use;
seal ductwork;
install reflective roofing;

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install ceiling fans to reduce use of air-conditioners;
advance framing to help reduce construction costs;
increase insulation and use of exterior air infiltration barrier;
install bathroom fans;
advance caulking for airtight drywall approach;
caulk or gasket drywall is used at electrical, plumbing or mechanical penetrations; and
install carpeting by tacking rather than using glue.
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### Indoor Environmental Quality:

use low-emitting paints, sealers, caulk, adhesives, carpets and composite wood products; and low-VOC and low-toxic interior paints and finishes to reduce toxins.

### Sustainable Features:

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use engineered composite lumber;
use recycled light-gauge interior steel framing, if possible;
use blown-in cellulose insulations;
use cement board siding on exterior;
use fly-ash concrete for foundations, if structurally possible;
water conserving toilets and faucets;
use of recycled plastic lumber or wood composite lumber for certain exterior uses;
use of engineered structural products, including laminated veneer lumber (L.V.L.) wood I-beams and I-joists, and wood-roof and floor trusses (when lumber is used); and
green roofs.
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#### Material And Resources:

resource efficient design, including panelization of walls.

### Exhibit 1.

### Bulk Regulations And Data Table. (Page 1 of 2)

	Sub-area	Sub-area	Sub-area	Sub-area	Sub-area	Sub-area	Sub-area
	A1	A2	A3	A4	A5	A6	В
Gross Site Area	-		-	-	-	-	-
(Square Feet)							
Net Site Area	356,357	288,610	294.465	164,587	1,244,231	9.375	440,591
(Square Feet)							
Gross Site Acreage	<u> </u>	-	-	-	-	-	-
Net Site Acreage	8.2	6.6	6.8	3.8	29.0	.1	10.6
Maximum Percentage	Per site plan	Per site plan	Per site plan	Per site plan	Per site plan	Per site plan	Per site plan
of Site Covered	approval	approval	approval	approval	approval	approval	approval
Maximum Floor Area	1.3	1.2	1.1	1.1	1.1	1.2	1.7
Ratio							
Maximum Building	50% @ 35'	50% @ 35	50% @ 35'	50% @ 35'	50% @ 35'	35'	68% @ 45'
Height abc	50% @ 45'	50% @ 45*	50% @ 45'	50% @ 45`	50% @ 45'		32% @ 60'
Minimum Setbacks	Front: 10'	Front: 10'	Front: 10	Front: 10'	Front: 10'	Front: 10	Front: 2'
	Side: d	Side: d	Side: d	Side: d	Side: d	Side: d	Side: 2'
	Rear: 30'e	Rear: 30 <sup>'e</sup>	Rear: 30 <sup>c</sup>	Rear: 30'e	Rear: 30'e	Rear: 30'e	Rear: 0'
Planned Number of	241	208	176	93	867	6	441
Dwelling Units							
Maximum Number of	253	218	184	107	910	6	510
Dwelling Units							
Minimum Number of	Residential: 20				erth		
Loading Berths	1	to 10,000 s.f. =		_			
	1	1 to 25,000 s.f.		_			
		1 to 40,000 sf.					
Minimum Number of	Residential: Pa						
Parking Spaces	Retail: One par			for every 400 s.	f. of floor area	in excess of 6,	000 s.f.
	Size: All space	s shall be 8' x	19' minimum				

Minimum setbacks and maximum site coverage shall be in accordance with the submitted site plans

a. Maximum Building Height refers to new development only. Existing Buildings with heights in excess of maximum shown are permitted. For the purposes of this ordinance, building height shall be defined as per the City of Chicago Zoning Ordinance.

b. Sub-areas A1 through A5: no more than 50% of the total street frontage within the sub-area shall be constructed with buildings that exceed 35 feet in height and no building shall exceed 45 feet in height.

c. Sub-area B: no more than 32% of the total street frontage within the sub-area shall be constructed with buildings that exceed 45 feet in height and no building shall exceed 60 feet in height.

d. Peripheral Setbacks: Except for townhouses, there will be no less than 5'0" between buildings. At parcels abutting a street or alley, other than on reverse corner lots in Subareas A1-5, no side setback is required at the street or alley; however, on reverse corner lots in Subareas A1-5, sideyard set-backs along the street side lot line shall be a minimum of 50% of the depth required for the front yard of the next property to the rear fronting on such street.

e. Sub-areas A1 through A6. Minimum rear yard set back for townhouse shall be 2'0". The minimum separation between townhouses where the front or rear wall of one row of townhouses faces the front or rear wall of another row of townhouses shall be 22 feet.

### Exhibit 1.

### Bulk Regulations And Data Table. (Page 2 of 2)

	Sub-area	Sub-area	Sub-area	Sub-area	Sub-area	Sub-area	Sub-area	Total
	С	D	Е	F	G	Н	1	]
Gross Site Area (Square Feet)	-		-	-	-	-	-	7,172,226
Net Site Area (Square Feet)	102,572	169,208	158,736	1,186,462	33,744	158,729	35,087	4,642,754
Gross Site Acreage	-	-	-	-	-	-	-	164.65
Net Site Acreage	2.4	3.9	3.64	27.2	0.8	3.6	0.8	106.6
Maximum Percentage of Site Covered	Per site plan approval	Per site plan approval	Per site plan approval	Per Existing	Per Existing	Per Existing	Per site plan approval	-
Maximum Floor Area Ratio	1.4	2.0	3.0	0.7	2.9	0.7	0.9	1.23 <sup>h</sup>
Maximum Building Height <sup>a f</sup>	45'	85'	55' north of 15 <sup>th</sup> St. 80' south of 15 <sup>th</sup> St.	Per Existing	Per Existing	Per Existing	35'	-
Minimum Setbacks	Front: 0' Side: 0' Rear: 8'	Front: 0' Side: 0' Rear: 8'	Front: 10' Side: 0' Rear 0'	Per Existing	Per Existing	Per Existing	Front: 0' Side: d Rear: 0'	-
Planned Number of Dwelling Units	67	155	260	330	116	126	0	3086
Maximum Number of Dwelling Units	71	171	312	330	116	126	27	3086
Minimum Number	Residential:	20,000 to 20	0,000  s.f. = on	e 10' x 25' lo	ading berth	·	•	
of Loading Berths	Retail: 6,0	000 to 10,000 ,001 to 25,00	s.f. = one 10' 0 s.f. = two 10 0 s.f. = two 10	x 25' loading o'x 25' loadin	berth g berths			
Minimum Number of Parking Spaces h	Residential: Retail: One Size: All sp	Parking space parking space aces shall be	es will be pro e shall be prov 8' x 19' minin	vided at a ration ided for every num	o of one parki 400 s.f. of flo	ng space per oor area in ex	dwelling unit	minimum. s.f.

Minimum setbacks and maximum site coverage shall be in accordance with the submitted site plans

a. Maximum Building Height refers to new development only. Existing Buildings with heights in excess of maximum shown are permitted. For the purposes of this ordinance, building height shall be defined as per the City of Chicago Zoning Ordinance.

d. Peripheral Setbacks: Except for townhouses, there will be no less than 5'0" between buildings. At parcels abutting a street or alley, other than on reverse corner lots in Subareas A1-5, no side setback is required at the street or alley; however, on reverse corner lots in Subareas A1-5, sideyard set-backs along the street side lot line shall be a minimum of 50% of the depth required for the front yard of the next property to the rear fronting on such street.

f. Sub-area D: no more than 50% of the total street frontage within the sub-area shall be constructed with buildings that exceed 35 feet in height and no building shall exceed 45 feet in height

g. The total number of units consists of 2,514 new units in Subareas A-E and 1 and 572 existing units in Subareas F, G and H. In no event shall the total number of new units on the Property exceed 2,514.

h The new construction building approved by this 2017 Amendment shall have a minimum required automobile parking of .33 spaces per dwelling unit.

# PERMITTED USES TABLE

### Subareas

Only those uses identified with a check-mark ( ) are permitted within a given subarea.

Single family and multi-family recidential dwelling unite	<i>1-V</i>	7-Y	A-3	A-4	4-5	F	9	H
Single family and indicating residential dwelling units	`	`	`	`	`	`	>	/
Townhomes	`	`	`	`	`	,	`	>
Housing for the elderly (including, without limitation, facilities in which 100% of the dwelling units are classified as efficiency units)	ب						`	
Churches, rectories, and parish houses	`	>	`	`	`	1	7	>
Community centers	`	`	>	`	>	`	>	`
Parks and playgrounds and any non-commercial open space utilized for recreational activities	`	`	`	`	`	,	7	`
Schools, nursery, elementary and high, non-boarding	`	>	`	`	>	`	`	>
Daycare centers	`	`	>	`	`	`	1	>
Public libraries	`	>	`	`	`	`		
Fire stations			`					
Non-accessory parking (as permitted in the R4 District)	`	`	`	`	`			
Accessory and related uses	`	`	`	`	>	`	`	`
Agricultural uses								
Cemeteries and mausoleums								
Family community homes								
Wireless communication facilities								
Single room occupancy buildings or units								
Hospitals and sanitariums								
Institutions for the aged or children								
Lodging houses and tourist homes								:
Group community home								
Retail uses								
Special uses								
Museums	•	>	`	`	`			

# Exhibit 1-A

# PERMITTED USES TABLE

### Subareas

Use List	9-Y	В	C	a	E	I
Single family and multi-family residential dwelling units	^	_	1	1	/	>
Townhomes	`	^		^	>	>
Churches, rectories, and parish houses	`	>	>	`	7	>
Community centers	`	>	>	`	>	>
Parks and playgrounds and any non-commercial open space utilized for recreational activities	^	`	`	^	7	>
Schools, nursery, elementary and high, non-boarding	`	`	^	`	>	>
Museums	`	>		`		
Amusement establishments, such as, but not limited to, bowling alleys, pool halls, dance halls, gymnasiums, swimming pools and skating rinks, but not including an "arcade" as defined in Article 2 of the ordinance			`	`		
Antique shops		>	^	`		>
Arcades, when located within Community Shopping Centers of not less than five acres						
Art galleries, art studios and auction rooms		1	7	>		>
Art and school supply stores	`	`	`	`	>	>
Auto accessory stores			1			7
Automobile service stations			1			
Banks and financial institutions			1	`		>
Barber shops, beauty parlors, fingernail salons and beauty supplies	`	`	`	`	>	>
Bicycle sales, rental and repair stores, when such bicycles are not motor-driven		1	`	`		>
Motor driven bicycle sales, rental and repair stores			^	1		>
Blue printing and photostating establishments		^	/	`		>
Book stores — new and used	^	/	`	1	`	>
Candy and ice cream stores	`	>	`	>	>	7
Camera and photographic supply stores		>	>	>		7
Carpet and rug stores		>	>	>		7
Catering establishments	1	1	1	1	`	>
China and glassware stores		^	^	/		7
Clothing and costume rental shops	1	1	/	1	/	`
Clothes pressing establishments		1	^	^		7

### Exhibit 1-A

Use List	9- <i>V</i>	В	c	D	E	I
Clubs and lodges (non-profit and fraternal organizations)	^		. /	/	`	
Coin and philatelic stores		/	1	1		/
Colleges and universities (but not business colleges or trade schools)						
Computer sales and service	/	`	`	`	`	>
Currency exchange						
Custom dressmaking	•		1	1	`	>
Community homes located above the first floor, provided that the location of any proposed home which is to						
be occupied by five (5) or more unrelated persons with disabilities is not less than 600 feet from the location						
of any existing Community Home which is occupied by five (3) or more unrelated persons with disabilities	,	1	\			
Day care centers	>	`	`	`	>	>
Department stores		`	`	/		`
Drug stores	`	/	/	/	`	>
Dry cleaning establishments	`	/	/	`	/	>
Dry good stores		/	1	1	7	/
Earth station antenna — exceeding three (3) feet in diameter			į			
Electrical and household appliance stores, including radio and television sales		7	`	`		/
Employment agencies	`	/	`	/	`	/
Exterminating shops	`		7	/	7	/
Feed stores			7	7		/
Fire stations			`	/		<u>`</u>
Florist shops and conservatories	`	/	^	1	`	>
Food stores, grocery stores, meat markets, bakeries and delicatessens	`	/	^	/	/	/
Frozen food stores, including locker rental in conjunction therewith			`	`		>
Furniture stores, not including upholstering when conducted as part of the retail operations and secondary to	>	7	7	>	7	7
the principal use		1	,	,		\
Furrier shops, including the incidental storage and conditioning of furs		`	`	`		>
Garden supply and feed stores		7	>	`		>
Gift shops	`	/	/	,	/	/
Greenhouse retail		/	1	/		\
Grooming of pets — no overnight boarding or storage		/	`	1		/
		7	7	>		>

Use List	<i>9-Y</i>	B	C	q	E	I
Hardware stores	`	>	>	>	>	>
High technology centers						
Hobby shops for retail of items to be assembled or used away from the premises	^	1	>	>	>	>
Interior decorating shops, including upholstering and making of draperies, slip covers, and other similar articles, when conducted as part of the retail operations and secondary to the principal use	`	7	`	`	`	`
Ice sales, vending machines						
Jewelry stores, including watch repair		>	>	>		>
Laboratories — medical and dental — research and testing			>			7
Launderettes, coin-operated facilities, employing not more than two persons on duty at any time, in addition to one owner or manager, provided that laundry equipment shall not exceed fifty (50) pounds capacity each			`	`		`
and no more than 20% of the coin operated machines shall have a capacity of fifty (50) pounds			,	\		\
Laundries, employing not more than two persons in addition to one owner or manager			`	>		`
Leather goods and luggage stores	`	>	\ \	/	/	`
Liquor sales, package goods only, provided such use shall be accessory and is clearly related to the principal use		•	>	`		7
Loan offices			`	>		\
Locksmith shops	>		>	>	`	>
Lodging rooms			`	`		\
Meat markets, including the sale of meat and meat products to restaurants, hotels, clubs, and other similar establishments when conducted as part of the retail business on the premises				1		7
Medical and dental clinics	1	`	^	>	>	>
Millinery shops	7	>	>	>	>	>
Musical instrument sales and repair	7	>	`	>	>	`
Motor vehicle repair shop for the servicing of any non-commercial motor vehicle, but excluding body repair, painting and engine rebuilding			>			
Newspaper distributors for home delivery and retail sales						
Offices, business and professional	>	`	>	`	>	`
Office supply stores	7	`	`	`	>	>
Optometrists	^	/	`	`	>	>
Orthopedic and medical appliance stores, but not including the assembly or manufacturing of such articles			`			>
Paint and wallpaper stores	1		`	>	`	`

	7	`		7
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/	/	/	/	/
	/	/	/	7
	`	7	/	/
`	`	<i>&gt;</i>	/	7
	`	7	7	7

Use List	<i>9-V</i>	B	2	$\boldsymbol{q}$	E	I
Signs, as regulated by Section 8.9						
Single room occupancy buildings or units						
Special uses (other than "drive-through" type uses as provided herein)						
Sporting goods stores	/	`	>	>	>	>
Stationery stores	/	7	>	`	>	7
Strip centers, consistent with the use and bulk regulations and site plan approval process of this Planned Development			`			>
Tailor shops	>	>	>	>	>	/
Taxidermists			`	>		7
Temporary buildings for construction purposes, for a period not to exceed the duration of such construction	>	>	>	>	>	7
Theaters	>		`	^	>	>
Ticket agencies	7	>	`	>	`	7
Tire facilities, Class I, Class II, as regulated by Chapters 4-229 and 4-5-010 of the Municipal Code			`			
Tobacco shops			^	_		/
Toy shops	/	•	<b>\</b>	1	/	/
Travel bureaus and transportation ticket offices	1	>	>	>	>	7
Video and audio tapes, compact discs, and records, which include the sales and rental of new and used merchandise	`	>	`	`	`	>
Typewriter and adding machine sales and service		>	`	>		/
Upholstering shops, employing not more than two persons in addition to one owner or manager			`	>		>
Undertaking establishment, funeral parlors			`			>
Wearing apparel shops	1	`	`	>	>	>
Wholesale establishments, with storage of merchandise limited to samples only			`	>		>
Wireless communications facilities, provided they comply with the setback requirements set forth in section 5.11-1(F)						
Accessory uses	~	`^	>	/	>	/



DLA Piper LLP (US)
444 West Lake Street, Suite 900
Chicago, Illinois 60606
www.dlapiper.com

Richard F. Klawiter richard.klawiter@dlapiper.com
T 312.368.7243
F 312.630.7337

July 19, 2017

### FIRST CLASS MAIL

Dear Sir or Madam:

As required by Section 17-13-0107 of the Municipal Code of the City of Chicago, please be informed that on or about July 19, 2017, the undersigned, on behalf of Roosevelt Square Library LLC (the "Applicant"), intends to file an application to rezone the property located at 1328-1350 West Taylor Street, Chicago, IL, from Residential-Business Planned Development No. 896 to Residential-Business Planned Development No. 896, as amended. A map of the development site is printed on the reverse side of this letter. The previous application filed on June 28, 2017 has been withdrawn and replaced with the application filed as of the date hereof.

The development site is currently utilized for surface parking. The Applicant requests a rezoning of the subject property from Residential-Business Planned Development No. 896 to Residential-Business Planned Development No. 896, as amended to allow the construction of a new 5- / 7-story building containing up to 73 dwelling units, a 16,000 square foot public library on the ground floor, 35 accessory parking spaces, and accessory and incidental uses. The proposed development has not been modified since the date of the previously-filed application.

The proposed change of zoning does *not* apply to your property. You are receiving this notice as required by the Chicago Municipal Code because the assessor's tax records indicate that you own property within 250 feet of the subject property.

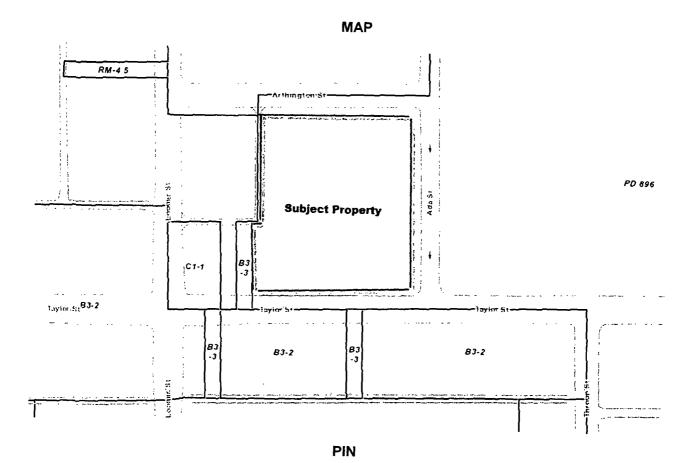
I am an authorized representative of the Applicant and my address is 444 West Lake Street, Suite 900, Chicago, IL 60606. The Applicant's address is 350 West Hubbard, Suite 300, Chicago, IL 60654. The owner of the property is Chicago Housing Authority and its address is 60 East Van Buren Street, Chicago, IL 60605.

Please contact me at 312-368-7243 with questions or to obtain additional information.

Very truly yours,

DLA Piper LLP (US

Righard Klawiter



17-17-322-009



DLA Piper LLP (US)
444 West Lake Street, Suite 900
Chicago, Illinois 60606
www.dlapiper.com

Richard F. Klawiter richard.klawiter@dlapiper.com T 312.368.7243 F 312.251.2856

July 19, 2017

The Honorable Daniel Solis, Chairman City of Chicago Committee on Zoning Room 304, City Hall 121 North LaSalle Street Chicago, Illinois 60602 Martin Cabrera, Jr., Chairman City of Chicago Plan Commission Room 1000, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Re: Application for Residential-Business Planned Development

1328-1350 West Taylor Street

Dear Chairman Solis:

The undersigned, Richard F. Klawiter, an attorney with the law firm of DLA Piper LLP (US), which firm represents Roosevelt Square Library LLC, the applicant for an amendment to the Chicago Zoning Ordinance and proposed planned development amendment, certifies that he has complied with the requirements for Section 17-13-0107 of the Chicago Zoning Ordinance by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet of each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways. Said written notice was sent by First Class U.S. Mail, no more than 30 days before filling the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; a statement that the applicant intends to file the application for change in zoning on approximately July 19, 2017, and a source for additional information on the application.

The undersigned certifies that he has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Very truly yours,

DLA Piper LLP (US)

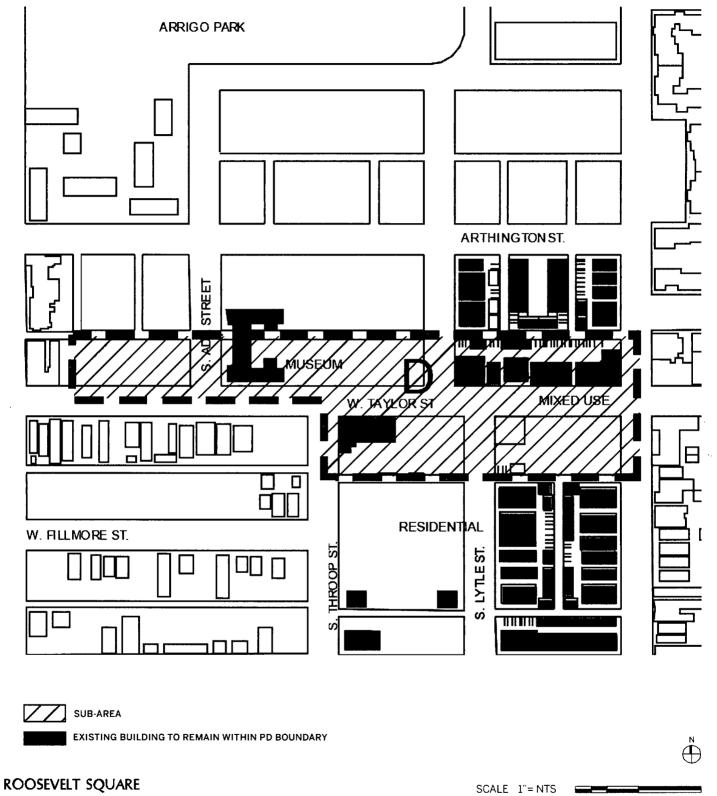
Richard F. Klawiter

Subscribed and sworn to before me This 19% day of 34%, 2017.

Notary Public

ILLIANA SILVA
Official Seal
Notary Public - State of Illinois
My Commission Expires Aug 12,:2019

### SUBAREA D EXISTING LAND-USE MAP

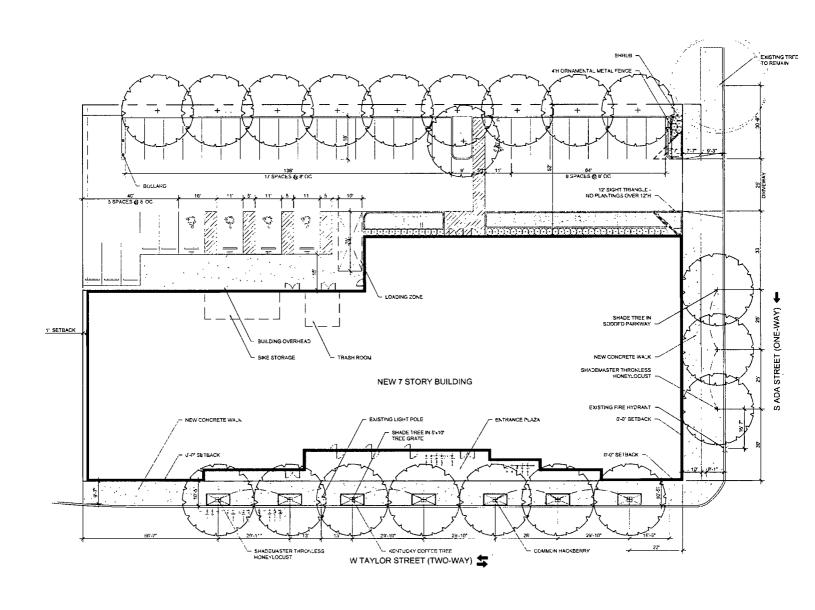


**EXHIBIT 12B** 

**APPLICANT:** Roosevelt Square Library LLC **ADDRESS:** 1328-1350 West Taylor Street

Chicago, Illinois INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD

#### SITE / LANDSCAPE PLAN



#### PARKING LOT VEHICULAR USE AREA CALCULATIONS

35 SPACE PARKING LOT W/5 ACCESSIBLE SPACES TOTAL VEHICULAR USE AREA: REQUIRED INTERNAL LANDSCAPED AREA: ACTUAL LANDSCAPED AREA.

REQUIRED INTERNAL TREE PLANTING: 1,050/125 = 810

**ACTUAL TREE PLANTING** 

### **ROOSEVELT SQUARE**

APPLICANT: Roosevelt Square Library LLC

ADDRESS: 1328-1350 West Taylor Street

Chicago, Illinois INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD

**EXHIBIT 12C** 

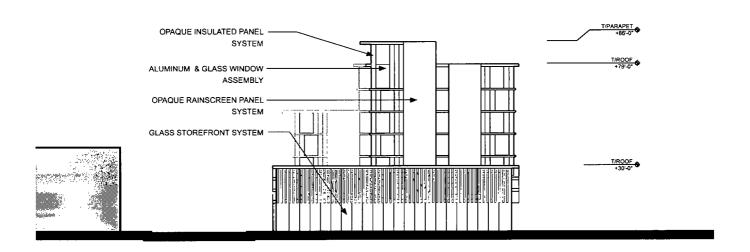
14,000 S.F.

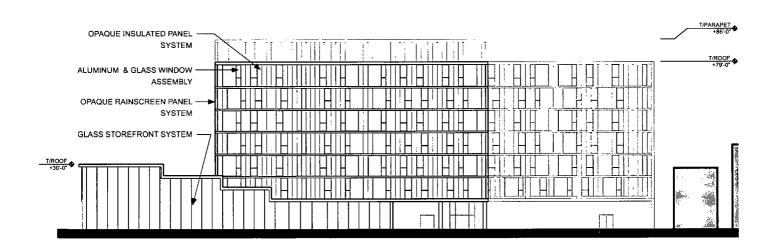
1,255 S.F.

7.5% x 14,000 = 1,050 S.F.



### **NORTH & EAST ELEVATIONS**





### **ROOSEVELT SQUARE**

SCALE 1"= NTS

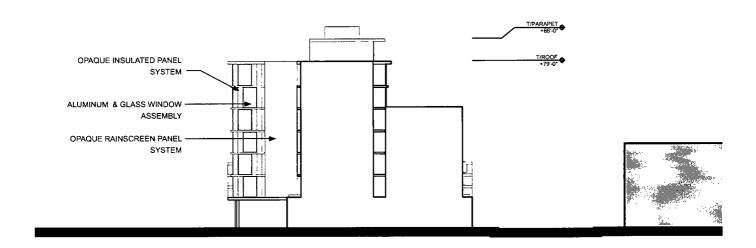
**APPLICANT: Roosevelt Square Library LLC** ADDRESS: 1328-1350 West Taylor Street

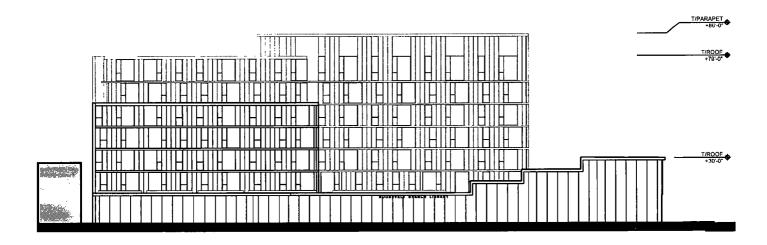
Chicago, Illinois

INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD

**EXHIBIT 12D** 

### **SOUTH & WEST ELEVATIONS**





**EXHIBIT 12E** 

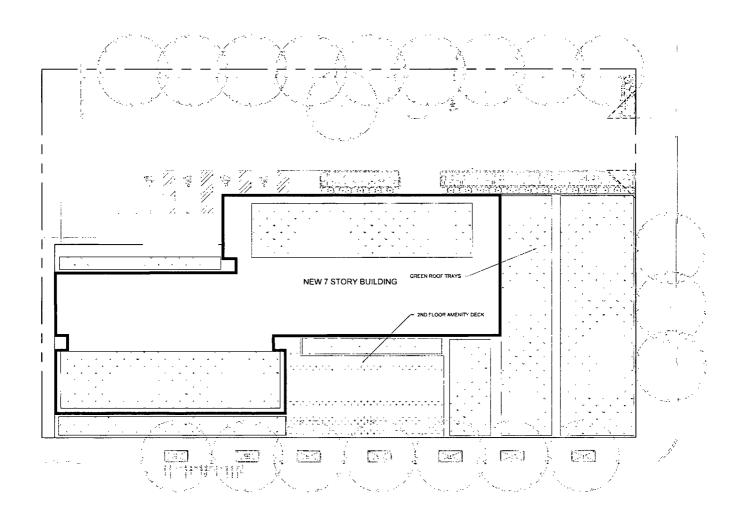
### **ROOSEVELT SQUARE**

**APPLICANT:** Roosevelt Square Library LLC **ADDRESS:** 1328-1350 West Taylor Street

Chicago, Illinois INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD

SCALE 1"= NTS

### **GREEN ROOF PLAN**



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		_			

CCUPIABLE ROOF AREA

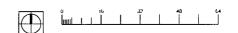
GREEN RO

BLE ROOF AREA	NET ROOF AREA
OF ARFA	GREEN ROOF AREA
5 51 7.11( <u>2</u> 71	GREEN ROOF COVERAGE

### **ROOSEVELT SQUARE**

**APPLICANT:** Roosevelt Square Library LLC **ADDRESS:** 1328-1350 West Taylor Street

Chicago, Illinois INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD



**EXHIBIT 12F** 

22,302 SF 11,151 SF

50 %

### LANDSCAPE PLANT LIST

PRE	LIMINARY PLANT PALETTE			
ROW TREES				
BOTANICAL NAME	COMMON NAME	SIZE	ROOT	REMARKS
Celtis occidentalis	Common Hackberry	3" cal	B&B	matching heads
Gymnocladus dioicus	Kentucky Coffee Tree	3" cal	B&B	matching heads
Gelditsia triacanthos 'Shademaster'	Shademaster Thornless Honeylocust	3" cal.	B&B	matching heads
Quercus bicolor	Swamp White Oak	3" cal	B&B	matching heads
Robinia pseudoacacia 'Chicago Blues'	Chicago Blues Black Locust	3" cal	B&B	matching heads
Tilia americana 'American Sentry'	American Sentry Linden	3" cal.	B&B	matching heads
Ulmus minor 'Patriot'	Patriot Elm	3" cal.	B&B	matching heads
INTERNAL TREES	<u> </u>			
BOTANICAL NAME	COMMON NAME	SIZE	ROOT	REMARKS
Amelanchier grandiflora 'Autumn Brilliance'	Autumn Brilliance Serviceberry	6'H	B&B	multi-stem
Acer miyabei 'Morton'	State Street Miyabei Maple	3" cal	B&B	matching heads
Cercis canadensis	Eastern Redbud	6'H	B&B	per plan
Celtis occidentalis	Common Hackberry	3" cal.	B&B	matching heads
Gymnocladus dioicus	Kentucky Coffee Tree	3" cal	B&B	matching heads
Malus 'Prairifire'	Prairifire Crabapple	6'H	B&B	per plan
Robinia pseudoacacia 'Chicago Blues'	Chicago Blues Black Locust	3" cal.	B&B	matching heads
SHRUBS				
BOTANICAL NAME	COMMON NAME	SIZE	ROOT	REMARKS
Cornus sericea 'Flaviramea'	Yellowtwig Redosier Dogwood	3'H	B&B	per plan
Cornus sanguinea 'Midwinter Fire'	Midwinter Fire Bloodtwig Dogwood	#5	pot	per plan
Hydrangea quercifolia	Oakleaf Hydrangea	#5	pot	per plan
Rhus aromatica 'Gro-Low'	Gro-Low Fragrant Sumac	#2	pot	per plan
PERENNIALS / GRASSES / GROUNDCOVE	RS			1.
BOTANICAL NAME	COMMON NAME	SIZE	ROOT	REMARKS
Achillea millefolium 'Paprika'	Paprika Yarrow	#1	pot	36" o.c.
Amsonia hubrichtii	Narrow Leaf Blue Star Amsonia	#1	pot	24" o.c.
Carex pensylvanica	Pennsylvania Sedge	#1	pot	12" o c.
Dicentra spectabilis	Bleeding Heart	#1	pot	12" o.c.
Miscanthus sinensis 'Gracillimus'	Eulalia Grass	#1	pot	36" o c.
Nepeta faassenii 'Walker's Low'	Walker's Low Faassen's Catmint	#1	pot	24" o.c.
Pennisetum alopecuroides 'Hameln'	Hameln Dwarf Fountain Grass	#1	pot	24" o.c
Rudbeckia fulgida 'Goldsturm'	Goldsturm Black-Eyed Susan	#1	pot	12" o c.

#### NOTE:

THE PRELIMINARY PLANT LIST MAY BE REVISED AS THE PROJECT DEVELOPS, NOT ALL PLANTS MAY BE USED AND ADDITIONAL PLANTS MAY BE ADDED AS THE DESIGN DEVELOPS.

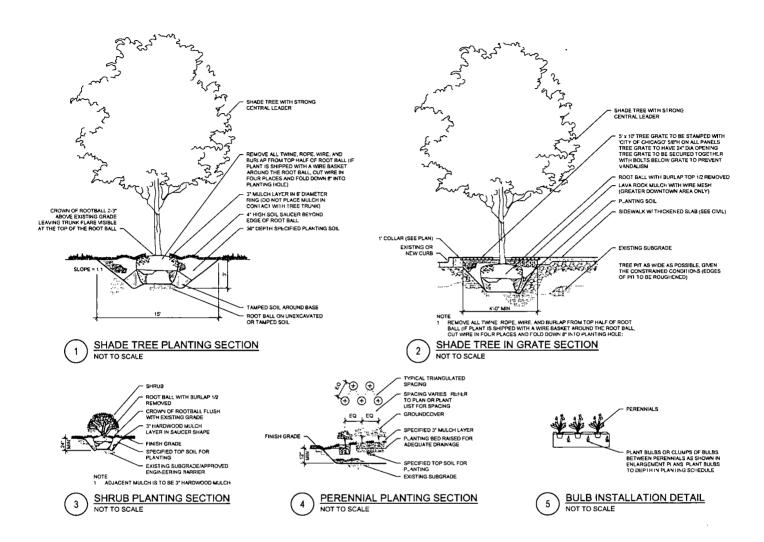
### **ROOSEVELT SQUARE**

**APPLICANT:** Roosevelt Square Library LLC **ADDRESS:** 1328-1350 West Taylor Street

Chicago, Illinois
INTRODUCED: July 26, 2017
PLAN COMMISSION: TBD

**EXHIBIT 12G** 

### LANDSCAPE DETAILS



### **ROOSEVELT SQUARE**

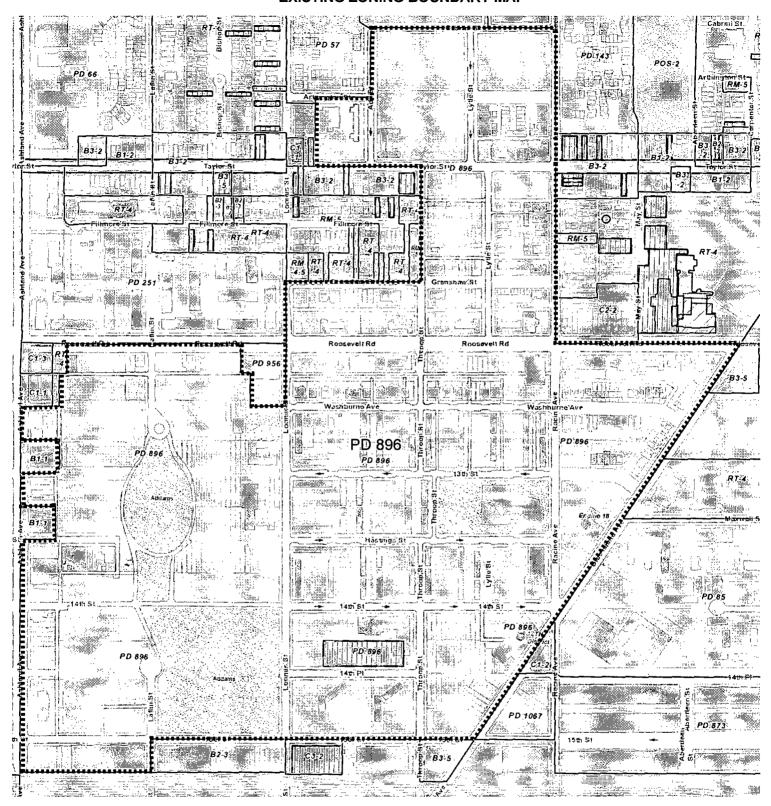
APPLICANT: Roosevelt Square Library LLC

ADDRESS: 1328-1350 West Taylor Street Chicago, Illinois

INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD

**EXHIBIT 12H** 

#### **EXISTING ZONING BOUNDARY MAP**



\*\*\*\*\*\* PLANNED DEVELOPMENT BOUNDARY

### **ROOSEVELT SQUARE**

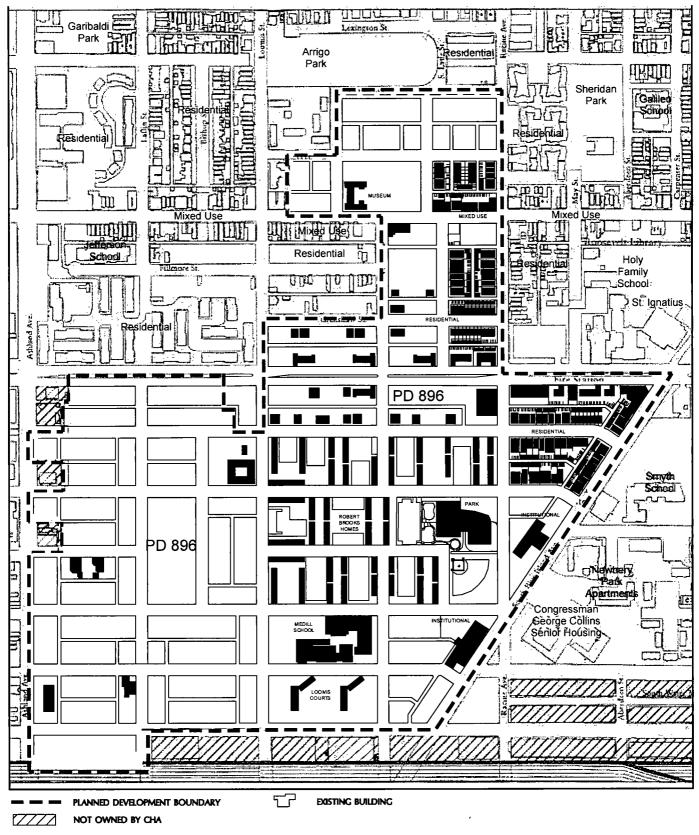
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APPLICANT: Roosevelt Square Library LLC

ADDRESS: 1328-1350 West Taylor Street

Chicago, Illinois

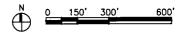
INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD



**ROOSEVELT SQUARE** 

EXISTING LAND USE MAP

**EXHIBIT 3** 

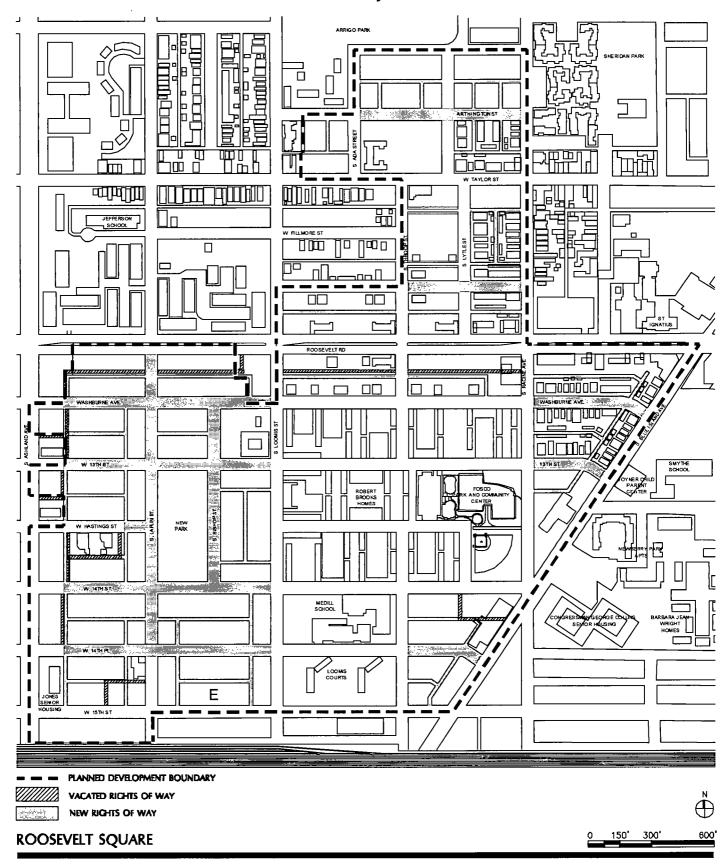


APPLICANT: Roosevelt Square Library LLC

ADDRESS: 1328-1350 West Taylor Street

Chicago, Illinois INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD

### RIGHT OF WAY ADJUSTMENTS MAP



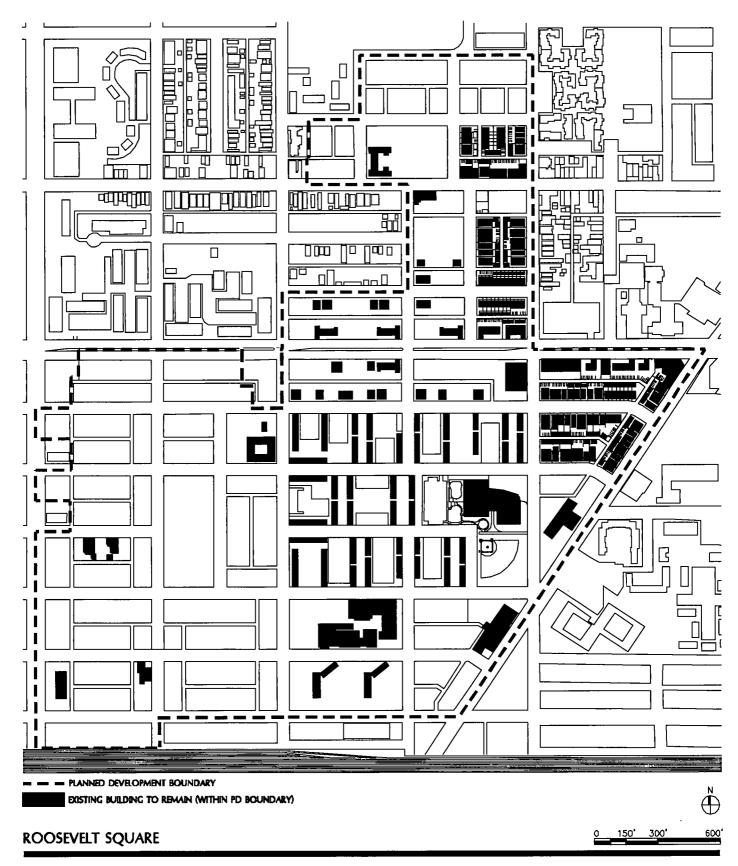
APPLICANT: Roosevelt Square Library LLC

ADDRESS: 1328-1350 West Taylor Street

Chicago, Illinois

INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD

### PLANNED DEVELOPMENT BOUNDARY AND PROPERTY LINE MAP



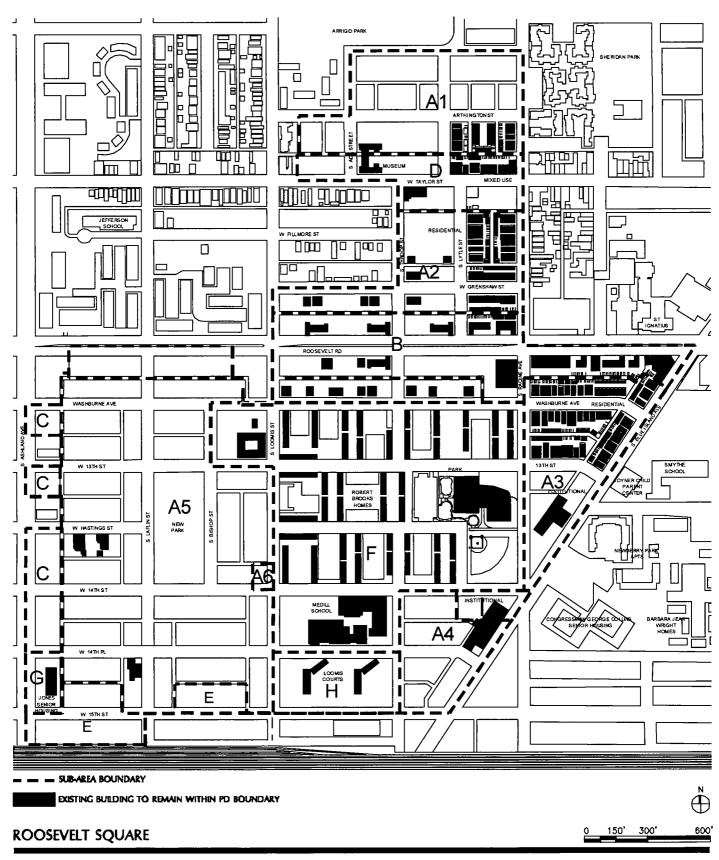
APPLICANT: Roosevelt Square Library LLC

ADDRESS: 1328-1350 West Taylor Street

Chicago, Illinois

INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD

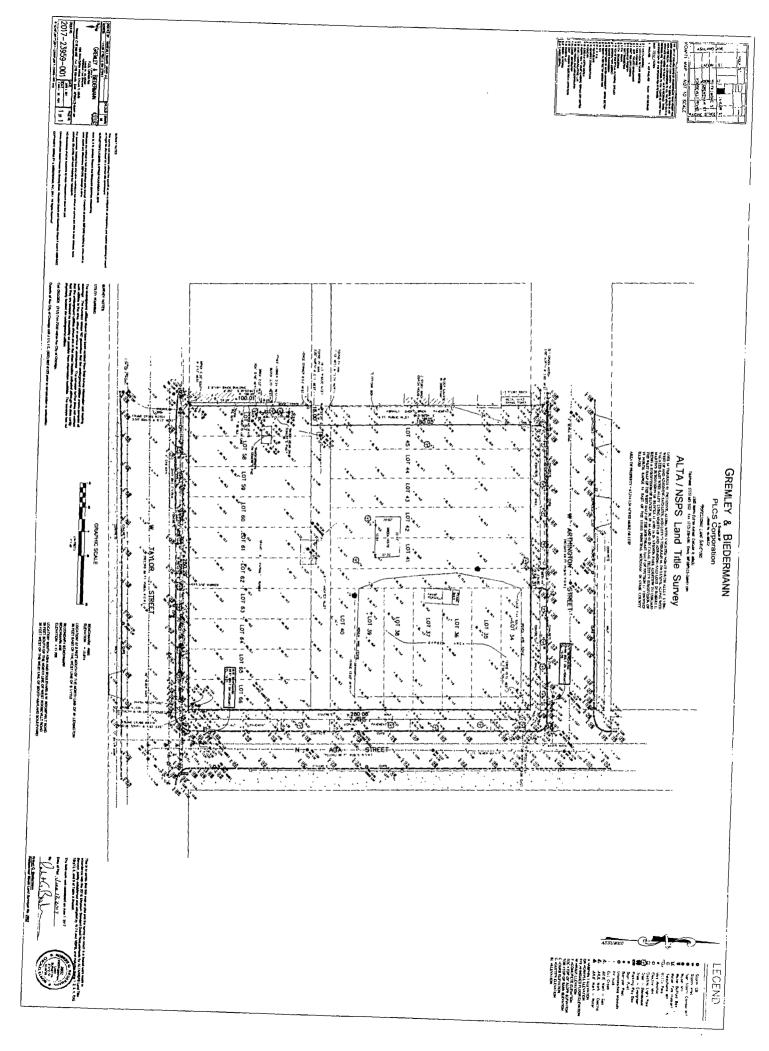
### **SUB-AREA MAP**



APPLICANT: Roosevelt Square Library LLC

ADDRESS: 1328-1350 West Taylor Street

Chicago, Illinois INTRODUCED: July 26, 2017 PLAN COMMISSION: TBD





John T. Hooker

Matthew Brewer
Craig Chico
Mark Cozzi
Dr. Mildred Harris
Meghan Harte
John G. Markowski
Cristina Matos
Francine Washington

Eugene Jones, Jr.

June 21, 2017

Patricia A. Scudiero Managing Deputy Commissioner, Bureau of Planning and Zoning Chicago Department of Planning and Development 121 North La Salle Street, Room 905 Chicago, Illinois 60602

Re: Amendment to the Roosevelt Square Planned Development 896 for 1328-1350 West Taylor Street.

Dear Managing Deputy Commissioner Scudiero,

This letter is to inform you that the Chicago Housing Authority, a property owner, consents to and fully supports Roosevelt Square Library LLC's application for the planned development for the property at 1328-1350 West Taylor Street also known as the Roosevelt Square Apartments and Library.

Thank you for your assistance with this important development initiative. Should you have questions, please do not hesitate to contact Diana Liu, Chief Development and Construction Officer, or Tracy Sanchez, Deputy Chief of Development at 312-786-3418.

Sincerely,

Eugene E. Jopes, Jr. Chief Executive Officer

Cc: Diana Liu, CHA Tracy Sanchez, CHA

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# 19297 NUTRO DATES PULY 26, 2017

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:				
	1328-1350 West Taylor Street, Chicago, Illinois				
2.	Ward Number that property is located in: 28th Ward				
3.	APPLICANT Roosevelt Square Library LLC				
	ADDRESS 350 W Hubbard, Suite 300				
	CITY Chicago STATE IL ZIP CODE 60654				
	PHONE 312-274-3911 CONTACT PERSON Will Tippens				
4.	Is the applicant the owner of the property? YESNOX  If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the applicant to proceed.				
	OWNER Chicago Housing Authority				
	ADDRESS 60 East Van Buren Street				
	CITY Chicago STATE IL ZIP CODE 60605				
	PHONE 312-913-7740 CONTACT PERSON Lee Pratter				
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:				
,	ATTORNEY DLA Piper LLP (US) – Attn: Rich Klawiter & Katie Jahnke Dale				
	ADDRESS 444 West Lake Street, Suite 900				
	CITY Chicago STATE IL ZIP CODE 60606				
	PHONE (312) 368-7243 / -2153 FAX (312) 251-2856				
	EMAIL richard.klawiter@dlapiper.com / katie.dale@dlapiper.com				

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements:			
	See attached Economic Disclosure Statements			
7.	On what date did the owner acquire legal title to the subject property? Approx. 1938			
8.	Has the present owner previously rezoned this property? If yes, when? No			
9.	Present Zoning District Residential-Business Planned Development No. 896			
	Proposed Zoning District Residential-Business Planned Development No. 896, as amended			
10.	Lot size in square feet (or dimensions)169,208 square feet			
11.	Current Use of the Property Surface parking			
12.	Reason for rezoning the property Amendment to existing planned development			
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)			
	The Applicant requests a rezoning of the subject property from Residential-Business Planned Development No. 896 to Residential-Business Planned Development No. 896, as amended to allow the construction of a new 5- / 7-story building containing up to 73 dwelling units, a 16,000 square foot public library on the ground floor, 35 accessory parking spaces, and accessory and incidental uses.			
14.	The Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit <a href="www.cityofchicago.org/ARO">www.cityofchicago.org/ARO</a> for more information). Is this project subject to the ARO?			
	YES NO X			

MY COMMISSION EXPIRES:05/26/21
OFFICIAL SEAL JULIAN P ROSS NOTARY PUBLIC - STATE OF ILLINOIS
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Am .
ROOSEVELT SQUARE LIBRARY LLO f the above statements and the statement rue and correct.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Pa	rty submitt	ing this EDS.	. Include d/b/a/ if applicable:
Related LR Development LLC			
Check ONE of the following three	boxes:		
the contract, transaction or other uncommeter"), a direct or indirect interest name: Roosevelt Square Library L	olding, or and dertaking to st in excess	nticipated to he which this E	hold within six months after City action on EDS pertains (referred to below as the ne Applicant. State the Applicant's legal
OR 3. [] a legal entity with a direct State the legal name of the entity in		-	atrol of the Applicant (see Section II(B)(1)) arty holds a right of control:
B. Business address of the Disclosing	ng Party:	60 Columb	us Circle
B. Business address of the Bisciosi.		New York,	NY 10023
C. Telephone: 212-421-5333	Fax:	801-3781	Email:Email:
D. Name of contact person: Michael	el J. Brenne	er	
E. Federal Employer Identification	No. (if you	have one):	
F. Brief description of the Matter to property, if applicable):	which this	s EDS pertain	ns. (Include project number and location of
An application to amend Planned D	Developmer	nt #896. The	address is 1328–1350 W. Taylor St.
G. Which City agency or departmen	nt is request	ting this EDS	Department of Planning and Development
If the Matter is a contract being han complete the following:	dled by the	City's Depar	tment of Procurement Services, please
Specification # N/A		_ and Contrac	ct #
Ver.2017-1		ge 1 of 14	

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	arty:
Person	
Publicly registered business corporation	[ ] Limited liability partnership
Privately held business corporation	[ ] Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[ ] Limited partnership	[]Yes []No
Trust	[ ] Other (please specify)
Delaware	
3. For legal entities not organized in the State business in the State of Illinois as a foreign en	e of Illinois: Has the organization registered to do tity?
[ ] No	[ ] Organized in Illinois
	AT INDICITAL

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

**NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title		
The Related Companies, L.P.	Member		
Stephen M. Ross	President		
Jeff T. Blau	Vice President		

Bruce A. Beal, Jr., Vice President

Michael J. Brenner, Executive Vice President

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

**NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name The Related Comp	Business Ad panies, L.P. 60 Co	ldress lumbus Circle, NY, N		age Interest in the A 66.06% indirect	pplicant
Stephen M. Ross	60 Columbus Cir	New York, NY 1002	3	41.62% indirect	
Jeff T. Blau	60 Columbus Cir	New York, NY 1002	3	12.15% indirect	
SECTION III INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS					
Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?  [] Yes  [] No					_
Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [X] No					
If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:					
Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?  [ ] Yes [X] No  If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).					

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[⋈] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities the support obligations throughout the	
- 1	•	ectly owns 10% or more of the Disc ations by any Illinois court of comp	<u> </u>
[ ] Yes [X] No [ ] No person directly or indirectly owns 10% or more of the Disclosing Party.			
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
[ ] Yes [ ] No			
B. FURTHER CERTIFIC	CATIONS		

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
<u> </u>
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Section 2-32		necause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain
	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be lied to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL II	NTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable in		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[ ] Yes	[⋈] No	
_	ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employed other person or ent taxes or assessment "City Property Sale	ee shall have a financial interest in lity in the purchase of any property its, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[ ] Yes	[⋈] No	
		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
	g Party further certifies that no prohity official or employee.	ibited financial interest in the Matter will be

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### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing
Party with respect to the Matter: (Add sheets if necessary):
Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

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If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is	the Disclosing Party the	Applicant?	
	[ ] Yes	[ ] No	
If	"Yes," answer the three of	questions belo	w:
	Have you developed an deral regulations? (See 4 [ ] Yes		e on file affirmative action programs pursuant to applicable 0-2.)
Co	ompliance Programs, or t plicable filing requireme	the Equal Empents?	ing Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the
	[ ] Yes	[ ] No	[] Reports not required
eq	ual opportunity clause?	• •	s contracts or subcontracts subject to the
	[ ] Yes	[ ] No	
If	you checked "No" to que	estion (1) or (2	2) above, please provide an explanation:

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

Related LR Development LLC
(Print or type exact legal name of Disclosing Party)
By: Mital & Bresser
(Sign here)
Michael J. Brenner
(Print or type name of person signing)
Executive Vice President of Disclosing Party
(Print or type title of person signing)
Signed and sworn to before me on (date) <u>June 20, 2017</u> , at <u>New York</u> County, <u>New York</u> (state). <u>Corene: M. Callyn</u> Notary Public
CORINE M. COLLIGAN Notary Public, State of New York Registration #01CO6014998 Outlified In New York County Commission Expires October 19, 2018

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[⋈ No	
which such person	is connected; (3) the name	ne and title of such person, (2) the name of the legal entity to ne and title of the elected city official or department head to hip, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		t to MCC Section 2-92-416?
[ ] Yes	[X] No	
	• • •	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	[X] The Applicant is not publicly traded on any exchange.
, , ,	cofflaw or problen	lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing The Related Companies, L.P.	g Party submitt	ing this EDS. In	nclude d/b/a/ if applicable:
Check ONE of the following th	ree boxes:		
the contract, transaction or other "Matter"), a direct or indirect int name: Roosevelt Square Librar	y holding, or an undertaking to erest in excess	nticipated to hole of which this EDS of 7.5% in the A	d within six months after City action on Spertains (referred to below as the Applicant's legal
OR 3. [ ] a legal entity with a d State the legal name of the entity			of the Applicant (see Section II(B)(1)) holds a right of control:
B. Business address of the Disclosi	losing Party:	60 Columbus	Circle
	issing raity.	New York, NY	10023
C. Telephone: 212-421-5333	Fax:	801-3781	Email:Brenner@related.com
D. Name of contact person: Mic			
E. Federal Employer Identificat	ion No. (if you	have one):	<u>.</u>
F. Brief description of the Matter property, if applicable):	er to which this	s EDS pertains.	(Include project number and location of
An application to amend Planne	ed Developmer	nt #896. The ad	dress is 1328–1350 W. Taylor St.
G. Which City agency or depart	ment is request	ting this EDS?	epartment of Planning and Development
If the Matter is a contract being l complete the following:	handled by the	City's Departme	ent of Procurement Services, please
Specification # N/A		_ and Contract #	N/A
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing P	arty:
[ ] Person	[ ] Limited liability company
Publicly registered business corporation	[ ] Limited liability partnership
[ ] Privately held business corporation	[ ] Joint venture
[ ] Sole proprietorship	[ ] Not-for-profit corporation
[ ] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[X] Limited partnership	[ ] Yes [ ] No
[ ] Trust	[ ] Other (please specify)
New York	intry) of incorporation or organization, if applicable:
<ul><li>3. For legal entities not organized in the Stat business in the State of Illinois as a foreign entities.</li><li>[✓] Yes</li><li>[☐] No</li></ul>	te of Illinois: Has the organization registered to do ntity?  [ ] Organized in Illinois

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

**NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
The Related Realty Group, Inc. ("RRG")	Managing Member
Stephen M. Ross	Chaiman of RRG
Jeff T. Blau	Chief Executive Officer of RRG
Bruce A. Beal, Jr., President of RRG	Michael J. Brenner, CFO EVP &Treasurer -RRG

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name Stephen M. Ross	Business Address 60 Columbus Cir New York, NY 10023	Percentage Interest in the Applicant 41.62% indirect
Jeff T. Blau	60 Columbus Cir New York, NY 10023	12.15% indirect

# SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any Cit 12-month period preceding the date of this EDS?	ty elected offic [ ] Yes	_		
Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [X] No				
If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:				
Does any City elected official or, to the best of the Disclosing Party's kno inquiry, any City elected official's spouse or domestic partner, have a fina Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclos	ncial interest (a			
If "yes," please identify below the name(s) of such City elected official(s)	and/or spouse(	(s)/domestic		

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

partner(s) and describe the financial interest(s).

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees ( <u>indicate whether</u> <u>paid or estimated</u> .) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	s	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities the support obligations throughout the	_
* <b>*</b>	-	ectly owns 10% or more of the Disc ations by any Illinois court of compe	<del>-</del> •
[ ] Yes [X] No [ ] ]	No person o	directly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
[] Yes [] No			
B. FURTHER CERTIFIC	CATIONS		

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32 here (attach addition	•	ecause it or any of its affiliates (as defined in the meaning of MCC Chapter 2-32, explain
N/A		
	the word "None," or no response a med that the Disclosing Party certif	• •
D. CERTIFICATI	ON REGARDING FINANCIAL IN	TEREST IN CITY BUSINESS
Any words or term	as defined in MCC Chapter 2-156 ha	eve the same meanings if used in this Part D.
after reasonable in		e best of the Disclosing Party's knowledge e of the City have a financial interest in his or atity in the Matter?
[ ] Yes	[X] No	
	ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	o Items D(2) and D(3). If you checked "No" Part E.
official or employed other person or ent taxes or assessment "City Property Sal	tee shall have a financial interest in be tity in the purchase of any property ats, or (iii) is sold by virtue of legal	dding, or otherwise permitted, no City elected is or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[]Yes	⊠ No	
	· , · ·	mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
	g Party further certifies that no prohity official or employee.	ibited financial interest in the Matter will be

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### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party
must disclose below or in an attachment to this EDS all information required by (2). Failure to
comply with these disclosure requirements may make any contract entered into with the City in
connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all the Disclosing Party and any and all predecessor entities regarding records of investment from slavery or slaveholder insurance policies during the slavery era (including insurance issued to slaveholders that provided coverage for damage to or injury or death of their slaveholders are provided coverage.)	ts or profits e policies
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) Disclosing Party has found records of investments or profits from slavery or slaveholder policies. The Disclosing Party verifies that the following constitutes full disclosure of al records, including the names of any and all slaves or slaveholders described in those records.	insurance I such

## SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

# A CERTIFICATION REGARDING LOBBYING

A. CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclos Party with respect to the Matter: (Add sheets if necessary):	ing
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "No appear, it will be conclusively presumed that the Disclosing Party means that NO persons or engistered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contact behalf of the Disclosing Party with respect to the Matter.)	ities

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?	
[ ] Yes	[ ] No	
If "Yes," answer the three o	luestions belov	v:
<ol> <li>Have you developed an federal regulations? (See 4</li> <li>Yes</li> </ol>	-	on file affirmative action programs pursuant to applicable -2.)
	he Equal Empl	ng Committee, the Director of the Office of Federal Contract loyment Opportunity Commission all reports due under the
[ ] Yes	[ ] No	[ ] Reports not required
3. Have you participated in equal opportunity clause?	n any previous	contracts or subcontracts subject to the
[ ] Yes	[ ] No	
If you checked "No" to que	stion (1) or (2)	above, please provide an explanation:

## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

The Related Compa	nies, L.P.
(Print or type exact legal na	ame of Disclosing Party)
By: Mul /	Brown
Michael J. Brenner	
(Print or type name of pers	on signing)
Executive Vice President	of Disclosing Party
(Print or type title of person	n signing)
Signed and sworn to before	e me on (date) June 20, 2017,
at New York County Cover M. Cal	
Notary Public  Commission expires:	CORINE M. COLLIGAN  Notary Public. State of New York  Registration #01C06014998  Qualified In New York County  Commission Expires October 19, 20.18
Commission onpires.	147,81111

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

	and title of the elected city official or department head to, and (4) the precise nature of such familial relationship.
 •	and title of such person, (2) the name of the legal entity to

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[X] No	
* *	• • • • • • • • • • • • • • • • • • • •	blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	
• '	scofflaw or problem	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing The Related Realty Group, Inc.	•	ing this EDS	J. Include d/b/a/ if applicable:
Check ONE of the following the	hree boxes:		
the contract, transaction or other "Matter"), a direct or indirect in name:  OR	y holding, or an r undertaking to terest in excess	nticipated to which this I of 7.5% in the	hold within six months after City action on EDS pertains (referred to below as the he Applicant. State the Applicant's legal
3. [X] a legal entity with a c State the legal name of the entity The Related Companies, L.P.	y in which the l	Disclosing Pa	arty holds a right of control:
B. Business address of the Disclosing Party:		60 Columb	ous Circle
Di Business de di li Dist	roomg runty.	New York,	NY 10023
C. Telephone: 212-421-5333	Fax:	801-3781	Email:Email:
D. Name of contact person: Mic	chael J. Brenne	er 	
E. Federal Employer Identifica	tion No. (if you	have one):_	
F. Brief description of the Matt property, if applicable):	er to which this	s EDS pertain	ns. (Include project number and location of
An application to amend Plann	ed Developmer	nt #896. The	e address is 1328–1350 W. Taylor St.
G. Which City agency or depar	tment is reques	ting this EDS	S?
If the Matter is a contract being complete the following:	handled by the	City's Depar	rtment of Procurement Services, please
Specification # N/A		_ and Contra	act # N/A
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Page 1.	arty:
[ ] Person	[ ] Limited liability company
Publicly registered business corporation	[ ] Limited liability partnership
	[ ] Joint venture
[ ] Sole proprietorship	[ ] Not-for-profit corporation
[ ] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[ ] Limited partnership	[ ] Yes
[ ] Trust	[ ] Other (please specify)
Delaware	ntry) of incorporation or organization, if applicable:
3. For legal entities not organized in the Stat business in the State of Illinois as a foreign er	e of Illinois: Has the organization registered to do ntity?
[X] Yes [ ] No	[ ] Organized in Illinois

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

**NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

Name Stephen M. Ross	Title Sole Stockholder, Director, Chairman	
Jeff T. Blau	Director Chief Executive Officer	
Bruce A. Beal, Jr.	President	
Michael J. Brenner	CFO. EVP. Treasuer	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name Stephen M. Ross	Business Address 60 Columbus Cir New York, NY 10	Percentage Interest in the Applicant 41.62%	
Jeff T. Blau	60 Columbus Cir New York, NY 10		-
SECTION III II OFFICIALS	NCOME OR COMPENSATION T	O, OR OWNERSHIP BY, CITY ELECT	TED
_	Party provided any income or compered the date of this EDS?	nsation to any City elected official during t	
	g Party reasonably expect to provide ing the 12-month period following the	any income or compensation to any City e date of this EDS? [] Yes [X] N	О
	the above, please identify below the me or compensation:	name(s) of such City elected official(s) and	<b>d</b>
inquiry, any City el Chapter 2-156 of th [ ] Yes If "yes," please iden	ected official's spouse or domestic partie Municipal Code of Chicago ("MCC [X] No	osing Party's knowledge after reasonable artner, have a financial interest (as defined C")) in the Disclosing Party?	
partier(s) and desc.	The the imalicial interest(s).		_

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

•	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.	
(Add sheets if necessary)				
[X] Check here if the Discl	losing Party	has not retained, nor expects to re	tain, any such persons or entities.	
SECTION V CERTIF	ICATION	S		
A. COURT-ORDERED C	CHILD SUF	PPORT COMPLIANCE		
	•	antial owners of business entities the support obligations throughout the		
		ectly owns 10% or more of the Disc tions by any Illinois court of compe		
[ ] Yes [X] No [ ] No person directly or indirectly owns 10% or more of the Disclosing Party.				
If "Yes," has the person en is the person in compliance		court-approved agreement for pay agreement?	ment of all support owed and	
[ ] Yes [ ] No				
B. FURTHER CERTIFIC	ATIONS			
Procurement Services.] In Party nor any Affiliated En	n the 5-year ntity [ <u>see</u> de	he Matter is a contract being handle period preceding the date of this E efinition in (5) below] has engaged,	DS, neither the Disclosing, in connection with the	

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").  N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ul><li>1. The Disclosing Party certifies that the Disclosing Party (check one)</li><li>[ ] is [⋉] is not</li></ul>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

_ ,	) is a predatory lender withi	ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain
		ppears on the lines above, it will be
conclusively presumed the	at the Disclosing Party certif	fied to the above statements.
D. CERTIFICATION RE	GARDING FINANCIAL II	NTEREST IN CITY BUSINESS
Any words or terms define	ed in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inquiry, d		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[ ] Yes	[X] No	
	Yes" to Item D(1), proceed to 2(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employee shall other person or entity in the taxes or assessments, or (in "City Property Sale"). Co	have a financial interest in he purchase of any property ii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter involve a	City Property Sale?	
[ ] Yes	[X] No	
		nes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
4. The Disclosing Party acquired by any City office	<b>-</b>	ibited financial interest in the Matter will be

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.						
$\times$ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies sued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.						
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:						
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS						
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.						
A. CERTIFICATION REGARDING LOBBYING						
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):						

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is	the Disclosing Party the		
	[ ] Yes	[ ] No	
Ιf	"Yes," answer the three of	questions belo	w:
	Have you developed an deral regulations? (See 4 [ ] Yes		e on file affirmative action programs pursuant to applicable 0-2.)
Co	ompliance Programs, or t plicable filing requireme	he Equal Emp	ng Committee, the Director of the Office of Federal Contractologyment Opportunity Commission all reports due under the
	[ ] Yes	[ ] No	[] Reports not required
	Have you participated i ual opportunity clause?	n any previou	s contracts or subcontracts subject to the
	[ ] Yes	[ ] No	
lf	you checked "No" to que	estion (1) or (2	2) above, please provide an explanation:

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

The Related Realty Grou	p, Inc.
(Print or type exact legal name o	f Disclosing Party)
By: (Sign here)	m
Michael J. Brenner	
(Print or type name of person sig	ning)
Executive Vice President of Disc	closing Party
(Print or type title of person sign	ing)
Signed and sworn to before me o	n (date) <u>June 20, 2017</u> ,
at New York County, N.	w Yurle (state).
Course M. Coely	a.,
Notary Public <u>U</u>	
Not R	CORME IN COLLIGAN  ary Public State of New York  egistration #01CO6014998  ualified In New York County  ission Expires October 19, 20, 19

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

which such person	is connected; (3) the nar	me and title of such person, (2) the name of the legal entity ame and title of the elected city official or department head thip, and (4) the precise nature of such familial relationship	l to
[ ] Yes	[X] No		

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

	1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?				
	[ ] Yes	[X] No			
th			icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section		
	[ ] Yes	[ ] No			
as	•	v or problem l	tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which		

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party s	submittin	ng this EDS.	Include d/b/a/ if applicable:
Roosevelt Square Library LLC			
Check ONE of the following three box	kes:		•
Indicate whether the Disclosing Party su  1.  the Applicant OR	ıbmitting	g this EDS is:	
2. [ ] a legal entity currently holding the contract, transaction or other underta "Matter"), a direct or indirect interest in name:  OR	aking to excess o	which this EI of 7.5% in the	Applicant. State the Applicant's legal
			ol of the Applicant (see Section II(B)(1)) by holds a right of control:
B. Business address of the Disclosing P	arty:	350 W. Hubl	pard St., Suite 300
	,	Chicago, IL	60654
C. Telephone: 312-595-7400 Fax	312-5 x:	95-1898	Email: wtippens@relatedmidwest.com
D. Name of contact person: William Tip			
E. Federal Employer Identification No.	(if you l	nave one):	· · · · · · · · · · · · · · · · · · ·
F. Brief description of the Matter to wh property, if applicable):	nich this	EDS pertains	. (Include project number and location of
An application to amend Planned Deve	elopment	#896. The a	address is 1328–1350 W. Taylor St.
G. Which City agency or department is	requesti	ng this EDS?	Department of Planning and Development
If the Matter is a contract being handled complete the following:	by the (	City's Departi	ment of Procurement Services, please
Specification #		and Contract	:#
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#### SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [ ] Limited liability partnership [ ] Publicly registered business corporation Privately held business corporation [ ] Joint venture [ ] Not-for-profit corporation [ ] Sole proprietorship [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes [ ] No [ ] Other (please specify) [ ] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Yes [ ] No [X] Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title Name LR ABLA LLC Sole Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name Business Address Percentage Interest in the Applicant \_LR ABLA LLC 350 W. Hubbard St., Ste 300 Chicago, IL 60654 100% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [ ] Yes IXI No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [ ] Yes [X] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [ ] Yes IXI No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s). SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.			
DLA Piper 444 West Lak	e Street, Su	ite 900 Chicago, IL 60606 Attorne				
Skidmore, Owings & Mer	rill LLP 224	Michigan Ave, Chicago, IL 60604	Architect \$10,000 estimated			
(Add sheets if necessary)						
[ ] Check here if the Disc	losing Party	has not retained, nor expects to ret	ain, any such persons or entities.			
SECTION V CERTIF	ICATIONS	5				
A. COURT-ORDERED	CHILD SUF	PORT COMPLIANCE				
	Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.					
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?						
[ ] Yes [X] No [ ] No person directly or indirectly owns 10% or more of the Disclosing Party.						
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?						
[ ] Yes [ ] No						
B. FURTHER CERTIFICATIONS						
1. [This paragraph I applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they						

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party:
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties"):
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)  [] is [X] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32		ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain
	" the word "None," or no response a med that the Disclosing Party certif	• •
D. CERTIFICAT	ION REGARDING FINANCIAL II	NTEREST IN CITY BUSINESS
Any words or tern	ns defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable in		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or natity in the Matter?
[ ] Yes	[⋈] No	
	ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" Part E.
official or employ other person or en taxes or assessmen "City Property Sal	ee shall have a financial interest in lating in the purchase of any property of the control of legal and the control of l	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[ ] Yes	[⋈] No	
		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
4. The Disclosin	g Party further certifies that no proh	ibited financial interest in the Matter will be
acquired by any C	ity official or employee.	

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party
must disclose below or in an attachment to this EDS all information required by (2). Failure to
comply with these disclosure requirements may make any contract entered into with the City in
connection with the Matter voidable by the City.

<del></del>	ty verifies that the Disclosing Party has searched any and all records of
	y and all predecessor entities regarding records of investments or profits
	insurance policies during the slavery era (including insurance policies
issued to slaveholders that	provided coverage for damage to or injury or death of their slaves), and
the Disclosing Party has fo	und no such records.
2. The Disclosing Par	ty verifies that as a result of conducting the search in step (1) above, the
2. The Disclosing Par	ty verifies that, as a result of conducting the search in step (1) above, the

Disclosing Party has found records of investments or profits from slavery or slaveholder insur	-
olicies. The Disclosing Party verifies that the following constitutes full disclosure of all such	1
ecords, including the names of any and all slaves or slaveholders described in those records:	
	<del></del>

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):		
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None"		
appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on		
behalf of the Disclosing Party with respect to the Matter.)		

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

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If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is	the Disclosing Party the	Applicant?	
	[ ] Yes	[ ] No	
If	"Yes," answer the three of	questions belo	ow:
	Have you developed an deral regulations? (See 4		e on file affirmative action programs pursuant to applicable 60-2.)
Co ap	ompliance Programs, or toplicable filing requireme	the Equal Empents?	ing Committee, the Director of the Office of Federal Contraction ployment Opportunity Commission all reports due under the
	[ ] Yes	[ ] No	[] Reports not required
	Have you participated i ual opportunity clause?	n any previou	us contracts or subcontracts subject to the
	[]Yes	[ ] No	
If	you checked "No" to que	estion (1) or (	2) above, please provide an explanation:
	ann ga ann ann ann ann an ann ann ann an		

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

Roosevelt Square Library LLC		
(Print or type exact legal name of Disclosing Party)		
By: (Sign here)		
Curt Bailey		
(Print or type name of person signing)		
President of Sole Member of Disclosing Party		
(Print or type title of person signing)		
Signed and sworn to before me on (date) 6/3///7		
at COOK County, IL (state).  Notary Public		
Commission expires: May 12, 2018		
OFFICIAL SEAL JACALYN M FINKEL GUON NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:05/12/18		

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
which such person	s connected; (3) the name and title of	f such person, (2) the name of the legal entity to of the elected city official or department head to be precise nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

	nt to MCC Section 2-154-01 r problem landlord pursuant	0, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	s [⋈ No	
	ant identified as a building c	olicly traded on any exchange, is any officer or director of ode scofflaw or problem landlord pursuant to MCC Section
[ ] Ye:	s [] No	[ The Applicant is not publicly traded on any exchange.
as a buildi	• • •	entify below the name of each person or legal entity identified landlord and the address of each building or buildings to which

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I – GENERAL INFORMATION

A. Legal name of the Disclosing Party submitti	ng this EDS. Include d/b/a/ if applicable:
Check ONE of the following three boxes:	
the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name:  Roosevelt Square Library LLC  OR	aticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal
	350 W. Hubbard St., Suite 300
B. Business address of the Disclosing Party:	Chicago, IL 60654
C. Telephone: 312-595-7400 Fax: 312-	595-1898 Email: wtippens@relatedmidwest.com
D. Name of contact person: William Tippens	
	have one):
F. Brief description of the Matter to which this property, if applicable):	EDS pertains. (Include project number and location of
An application to amend Planned Developmen	at #896. The address is 1328–1350 W. Taylor St.
G. Which City agency or department is request	ing this EDS? Department of Planning and Development
If the Matter is a contract being handled by the complete the following:	City's Department of Procurement Services, please
Specification #	and Contract #
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#### SECTION II – DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [ ] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [ ] Yes []No [ ] Trust [ ] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Yes []No [ ] Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title LR Development Company LLC Sole Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Name	al entity listed below may be require  Business Address t Company LLC 350 W Hubbard St	Percentage Interest in the Applicant
SECTION III OFFICIALS	INCOME OR COMPENSATION	TO, OR OWNERSHIP BY, CITY ELECT
	ng Party provided any income or com preceding the date of this EDS?	npensation to any City elected official during the [ ] Yes ⊠ No
	ing Party reasonably expect to provious uring the 12-month period following	de any income or compensation to any City the date of this EDS? [] Yes 🔀 No
	of the above, please identify below the compensation:	he name(s) of such City elected official(s) and
inquiry, any City		sclosing Party's knowledge after reasonable partner, have a financial interest (as defined in ICC")) in the Disclosing Party?
	dentify below the name(s) of such Ci scribe the financial interest(s).	ty elected official(s) and/or spouse(s)/domestic

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
○ Check here if the Disc	closing Party	y has not retained, nor expects to re-	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
		antial owners of business entities th I support obligations throughout the	
	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	<u> </u>
[] Yes [X] No []	No person d	lirectly or indirectly owns 10% or m	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
[ ] Yes [ ] No			
B. FURTHER CERTIFI	CATIONS		
Procurement Services.] Party nor any Affiliated I performance of any publinspector general, or inte investigative, or other sir	In the 5-year Entity [see dic contract, the egrity complimilar skills, of	the Matter is a contract being handle period preceding the date of this Elefinition in (5) below] has engaged he services of an integrity monitor, ance consultant (i.e., an individual designated by a public agency to he as well as help the vendors reform to	DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the

can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party:
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusivel presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none").  N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is [X] is not</li> </ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):					
N/A	mar pages it necessary).				
-	the word "None," or no response med that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.			
D. CERTIFICATI	ON REGARDING FINANCIAL	INTEREST IN CITY BUSINESS			
Any words or term	s defined in MCC Chapter 2-156	have the same meanings if used in this Part D.			
after reasonable in		the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter?			
[ ] Yes	[⋈ No				
-	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed	to Items D(2) and D(3). If you checked "No" to Part E.			
official or employed other person or ent taxes or assessment "City Property Sal	ee shall have a financial interest in tity in the purchase of any propert tts, or (iii) is sold by virtue of lega	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for l process at the suit of the City (collectively, ken pursuant to the City's eminent domain the meaning of this Part D.			
Does the Matter in	volve a City Property Sale?				
[] Yes	[X] No				
		ames and business addresses of the City officials tify the nature of the financial interest:			
Name	Business Address	Nature of Financial Interest			
	g Party further certifies that no pro	phibited financial interest in the Matter will be			

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## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party
must disclose below or in an attachment to this EDS all information required by (2). Failure to
comply with these disclosure requirements may make any contract entered into with the City in
connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.					
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:					
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS					
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.					
A. CERTIFICATION REGARDING LOBBYING					
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):					
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)					

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

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If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is	the Disclosing Party the	Applicant?	
	[ ] Yes	[ ] No	
If	"Yes," answer the three of	questions belo	ow:
	Have you developed an deral regulations? (See 4		e on file affirmative action programs pursuant to applicable 60-2.)
Co ap	ompliance Programs, or toplicable filing requireme	the Equal Emports?	ing Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the
	[ ] res	[]140	[] Reports not required
	Have you participated i ual opportunity clause?	n any previou	us contracts or subcontracts subject to the
	[ ] Yes	[ ] No	
If	you checked "No" to que	estion (1) or (2	2) above, please provide an explanation:
		***************************************	

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

LR ABLA LLC	
(Print or type exact legal na	ame of Disclosing Party)
By: (Sign here)	
Curt Bailey	
(Print or type name of pers	on signing)
President of Sole Member	of Disclosing Party
(Print or type title of person	n signing)

Signed and sworn to before me on (date)

at 100% Cou

(state).

Notary Public

Commission expires: Mou

OFFICIAL SEAL
JACALYN M FINKEL GUON
NOTARY PUBLIC - STATE OF ILLE AS
MY CONAIDS - MEXPIRES OF

# **CITY OF CHICAGO** ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[⋈ No	
which such person	is connected; (3) the name	and title of such person, (2) the name of the legal entity to e and title of the elected city official or department head to o, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[⋈ No	
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	★ The Applicant is not publicly traded on any exchange.
• • • •	cofflaw or probler	lentify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosi	ng Party submitt	ing this EDS.	Include d/b/a/ if applicable:
LR Development Company LI	_C (d/b/a Relate	d Midwest LLC	C)
Check ONE of the following	three boxes:		<b></b>
the contract, transaction or oth "Matter"), a direct or indirect i name: Roosevelt Square Libr	atly holding, or a er undertaking to nterest in excess	nticipated to he o which this EI s of 7.5% in the	: old within six months after City action on DS pertains (referred to below as the e Applicant. State the Applicant's legal
OR 3. [ ] a legal entity with a State the legal name of the ent			rol of the Applicant (see Section II(B)(1)) ty holds a right of control:
B. Business address of the Dis	sclosing Party:	350 W. Hub	bard St., Suite 300
	D. Dabinos adares of the Discreting rately.		60654
			wtippens@relatedmidwest.com Email:
D. Name of contact person:	Villiam Tippens		
E. Federal Employer Identific	ation No. (if you	have one):	
			s. (Include project number and location o
An application to amend Plan	ned Developme	nt #896. The	address is 1328–1350 W. Taylor St.
G. Which City agency or depart	artment is reques	sting this EDS?	Department of Planning and Development
If the Matter is a contract bein complete the following:	g handled by the	: City's Depart	ment of Procurement Services, please
Specification #		_ and Contrac	et #
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#### SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	arty:
[ ] Person	[X] Limited liability company
[ ] Publicly registered business corporation	[ ] Limited liability partnership
[ ] Privately held business corporation	[ ] Joint venture
[ ] Sole proprietorship	[ ] Not-for-profit corporation
[ ] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[ ] Limited partnership	[ ] Yes [ ] No
[ ] Trust	[ ] Other (please specify)
2. For legal entities, the state (or foreign cour	ntry) of incorporation or organization, if applicable:
Delaware	
<ol> <li>For legal entities not organized in the State business in the State of Illinois as a foreign en</li> </ol>	e of Illinois: Has the organization registered to do tity?
[☐] No	[ ] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
the entity; (ii) for not-for-profit corporation are no such members, write "no members whisimilar entities, the trustee, executor, adminis limited partnerships, limited liability comparts	oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or it of the Applicant.
NOTE: Each legal entity listed below must su	abmit an EDS on its own behalf.
Name	Title
Related LR Development LLC	Managing Member
Curt Bailey	Member
LRD Group LLC	Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name Related LR Develo		ss Address 60 Columbus Cir	New York		nterest in the A 73.4	
Curt Bailey	350 W. Hubb	oard St., Ste 300 C	hicago, IL	60654	16%	6
LRD Group LLC	350 W. Hubb	pard St., Ste 300 C	Chicago, IL	60654	10.6%	6
SECTION III II OFFICIALS	NCOME OR	COMPENSATIO	ON TO, O	R OWNERSH	ПР ВҮ, СІТҮ	ELECTED
Has the Disclosing 12-month period pr			ompensatio	on to any City	elected officia [ ] Yes	l during the [汉] No
Does the Disclosing elected official duri			•	_		y City M No
If "yes" to either of describe such incor		<del>-</del>	v the name	(s) of such City	y elected offic	ial(s) and
Does any City electinquiry, and electinquiry, any City electinquiry, and elect	ected official ne Municipal (      N    ntify below th	's spouse or domes Code of Chicago (' lo e name(s) of such	tic partner 'MCC")) ir	, have a financ the Disclosin	ial interest (as g Party?	defined in

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
Check here if the Disc	closing Party	has not retained, nor expects to ref	ain, any such persons or entities.
SECTION V - CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
	•	antial owners of business entities the support obligations throughout the	• • • • • • • • • • • • • • • • • • •
	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	<u> </u>
[]Yes [X]No []	[ ] Yes [X] No [ ] No person directly or indirectly owns 10% or more of the Disclosing Party.		
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
[ ] Yes [ ] No			
B. FURTHER CERTIFIC	CATIONS		
Procurement Services.] I Party nor any Affiliated I performance of any publi inspector general, or inte- investigative, or other sin	In the 5-year Entity <u>[see</u> dic contract, t grity compli nilar skills, o	the Matter is a contract being handled period preceding the date of this E efinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual of designated by a public agency to he as well as help the vendors reform to	DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>[ ] is</li></ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32		ecause it or any of its affiliates (as defined in the meaning of MCC Chapter 2-32, explain
	' the word "None," or no response a med that the Disclosing Party certif	•
D. CERTIFICATI	ON REGARDING FINANCIAL IN	ITEREST IN CITY BUSINESS
Any words or term	ns defined in MCC Chapter 2-156 ha	we the same meanings if used in this Part D.
after reasonable in		e best of the Disclosing Party's knowledge of the City have a financial interest in his or atity in the Matter?
[ ] Yes	[X] No	
	ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to	o Items D(2) and D(3). If you checked "No" Part E.
official or employed other person or ent taxes or assessment "City Property Sal	ee shall have a financial interest in hatity in the purchase of any property ats, or (iii) is sold by virtue of legal	dding, or otherwise permitted, no City elected is or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[ ] Yes	[X] No	
		nes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
·	g Party further certifies that no prohity official or employee.	ibited financial interest in the Matter will be

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party
must disclose below or in an attachment to this EDS all information required by (2). Failure to
comply with these disclosure requirements may make any contract entered into with the City in
connection with the Matter voidable by the City.

X1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of
the Disclosing Party and any and all predecessor entities regarding records of investments or profits
from slavery or slaveholder insurance policies during the slavery era (including insurance policies
issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and
the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the
Disclosing Party has found records of investments or profits from slavery or slaveholder insurance
policies. The Disclosing Party verifies that the following constitutes full disclosure of all such
records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)				

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Part	y the Applicant?	
[ ] Yes	[ ] No	
If "Yes," answer the the	hree questions be	elow:
<ol> <li>Have you develop federal regulations? (</li> <li>Yes</li> </ol>	_	ave on file affirmative action programs pursuant to applicable t 60-2.)
_	s, or the Equal Er	orting Committee, the Director of the Office of Federal Contract mployment Opportunity Commission all reports due under the
[]Yes		[] Reports not required
3. Have you participate gual opportunity class		ous contracts or subcontracts subject to the
[ ] Yes	[ ] No	
If you checked "No" t	o question (1) or	(2) above, please provide an explanation:

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

LR Development Company LLC (d/b/a Related Midwest LLC)
(Print or type exact legal name of Disclosing Party)
By:
(Sign here)
Curt Bailey
(Print or type name of person signing)
President of Disclosing Party
(Print or type title of person signing)
Signed and sworn to before me on (date) 6   2   1   7
at COOK County, 1 (state).
Notary Public
Commission expires: May 12, 2018
OFFICIAL SEAL  JACALYN M FINKEL GUON  NOTARY PUBLIC - STATE OF ILLINOIS  MY COMMISSION EXPIRES: 06 17 2/18

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[X] No	
which such person	is connected; (3) the nam	e and title of such person, (2) the name of the legal entity to ne and title of the elected city official or department head to ip, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[ ] Yes	[X] No	
		ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[ ] Yes	[ ] No	The Applicant is not publicly traded on any exchange.
	scofflaw or probler	lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which