



City of Chicago



O2020-6234

Office of the City Clerk

Document Tracking Sheet

Meeting Date: 12/16/2020

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 11-H at 4040-4048 N
Hermitage Ave - App No. 20563T1

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

#20563-T1

INTRO DATE

DEC 16, 2020

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RM-5.5 Residential Multi-Unit District symbols and indications as shown on Map Number 11-H in the area bounded by:

A line 88.12 feet south of West Belle Plaine Avenue; North Hermitage Avenue; a line 188.12 feet south of West Belle Plaine Avenue; and the public alley next west of and parallel to North Hermitage Avenue

to those of an RM-5.5 Residential Multi-Unit District.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Common Address of Property: 4040-4048 N. Hermitage Ave.

**Narrative and Plans
Type I Rezoning Map Amendment
4040-4048 N. Hermitage Ave.**

The Project

4046 Hermitage LLC, an Illinois limited liability company, the owner of the subject site, (the "Applicant"), seeks amendment of the RM-5.5 District Type 1 development plan approved in Substitute Ordinance SO2018-6943, in October, 2018, to revise the development plan

from: conversion of a vacant industrial/warehouse building to 12 dwelling units and 24 parking spaces,

to: conversion of such vacant industrial warehouse building to 19 dwelling units and 19 parking spaces.

The Site

The 16,500 square foot development site (100 feet (frontage) x 165 feet) is located at 4040-4048 N. Hermitage. Immediately to the north of the development site is a single-family residence located at 4050 N. Hermitage. An irregularly-shaped parcel of land, approximately 1,471.5 square feet in area, that had previously been part of the development site and had been rezoned under the October, 2018 Type 1 rezoning, is no longer required for the proposed development; therefore it is not included in the revised Type 1 rezoning plan. The land use in the immediate area of the proposed rezoning is residential to the north and south and east across Hermitage Ave., and a building containing offices to the west across the alley.

The following are the relevant bulk calculations for the proposed development:

floor area ratio: 2.39 (no change)

lot area per dwelling unit : 868.42 sf/du

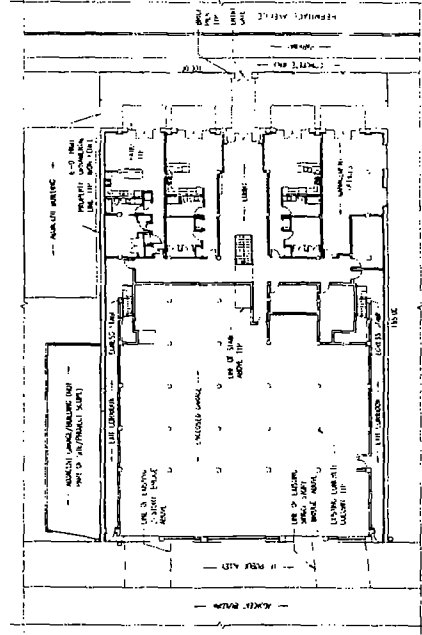
off-street parking: 19 spaces; no loading (none required).

setbacks: front along Hermitage = 19.94 feet (no change)
rear = 0 feet (no change)
side/north= 0.21 feet (no change)
side/south = 0.17 feet (no change)

building height: approximately 40.75 feet (no change)

Conversion of a Warehouse into a 19-Unit Apartment Building

4046 N. Hermitage Avenue
Chicago, IL 60613



1 SITE PLAN
SCALE: 1/8" = 1'-0"

Issued for Type 1 Re-zoning: 9/24/20
Issued for Construction: xx/xx/xx

2018 ELECTRICAL CODE

FOSTER DALE
ARCHITECTS

3717 North Halsted Street
Suite 111
Chicago, IL 60612
773-327-1000 Tel
ford@dalearch.com

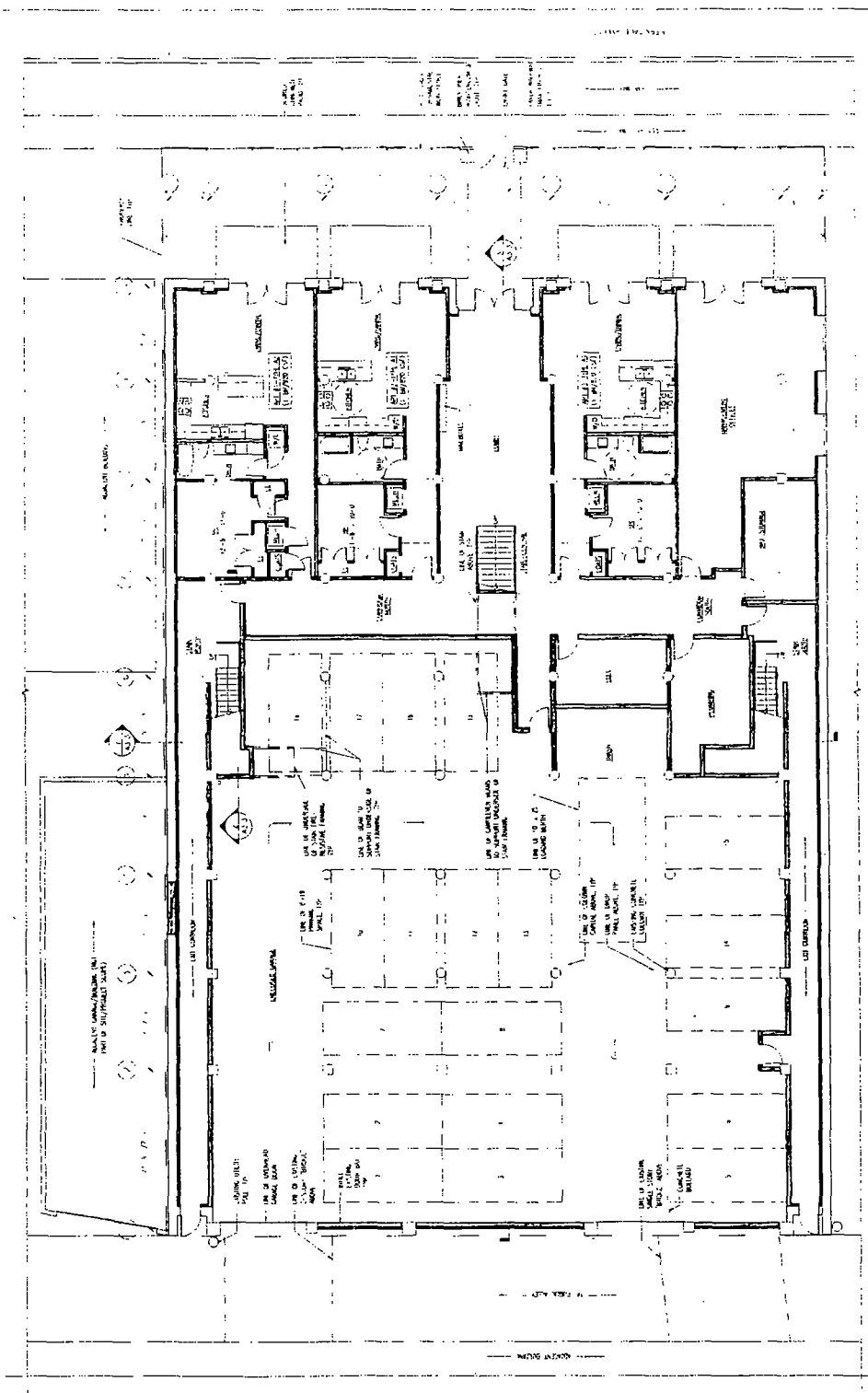
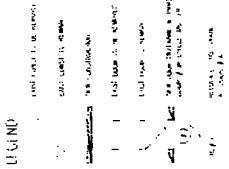
NO.	DESCRIPTION	UNIT	AMOUNT	UNIT PRICE	TOTAL
1	CONCRETE	1	100	100.00	100.00
2	STEEL	1	200	200.00	200.00
3	BRICK	1	300	300.00	300.00
4	GLASS	1	400	400.00	400.00
5	PAINT	1	500	500.00	500.00
6	ELECTRICAL	1	600	600.00	600.00
7	MECHANICAL	1	700	700.00	700.00
8	PLUMBING	1	800	800.00	800.00
9	LANDSCAPE	1	900	900.00	900.00
10	INTERIOR FINISH	1	1000	1000.00	1000.00
11	EXTERIOR FINISH	1	1100	1100.00	1100.00
12	MECHANICAL	1	1200	1200.00	1200.00
13	ELECTRICAL	1	1300	1300.00	1300.00
14	PLUMBING	1	1400	1400.00	1400.00
15	LANDSCAPE	1	1500	1500.00	1500.00
16	INTERIOR FINISH	1	1600	1600.00	1600.00
17	EXTERIOR FINISH	1	1700	1700.00	1700.00
18	MECHANICAL	1	1800	1800.00	1800.00
19	ELECTRICAL	1	1900	1900.00	1900.00
20	PLUMBING	1	2000	2000.00	2000.00
21	LANDSCAPE	1	2100	2100.00	2100.00
22	INTERIOR FINISH	1	2200	2200.00	2200.00
23	EXTERIOR FINISH	1	2300	2300.00	2300.00
24	MECHANICAL	1	2400	2400.00	2400.00
25	ELECTRICAL	1	2500	2500.00	2500.00
26	PLUMBING	1	2600	2600.00	2600.00
27	LANDSCAPE	1	2700	2700.00	2700.00
28	INTERIOR FINISH	1	2800	2800.00	2800.00
29	EXTERIOR FINISH	1	2900	2900.00	2900.00
30	MECHANICAL	1	3000	3000.00	3000.00
31	ELECTRICAL	1	3100	3100.00	3100.00
32	PLUMBING	1	3200	3200.00	3200.00
33	LANDSCAPE	1	3300	3300.00	3300.00
34	INTERIOR FINISH	1	3400	3400.00	3400.00
35	EXTERIOR FINISH	1	3500	3500.00	3500.00
36	MECHANICAL	1	3600	3600.00	3600.00
37	ELECTRICAL	1	3700	3700.00	3700.00
38	PLUMBING	1	3800	3800.00	3800.00
39	LANDSCAPE	1	3900	3900.00	3900.00
40	INTERIOR FINISH	1	4000	4000.00	4000.00
41	EXTERIOR FINISH	1	4100	4100.00	4100.00
42	MECHANICAL	1	4200	4200.00	4200.00
43	ELECTRICAL	1	4300	4300.00	4300.00
44	PLUMBING	1	4400	4400.00	4400.00
45	LANDSCAPE	1	4500	4500.00	4500.00
46	INTERIOR FINISH	1	4600	4600.00	4600.00
47	EXTERIOR FINISH	1	4700	4700.00	4700.00
48	MECHANICAL	1	4800	4800.00	4800.00
49	ELECTRICAL	1	4900	4900.00	4900.00
50	PLUMBING	1	5000	5000.00	5000.00

NOTES:
1. ALL WORK SHALL BE IN ACCORDANCE WITH THE 2018 IBC AND ALL APPLICABLE CODES.
2. THE CONTRACTOR SHALL BE RESPONSIBLE FOR OBTAINING ALL NECESSARY PERMITS.
3. THE CONTRACTOR SHALL MAINTAIN ACCESS TO ALL ADJACENT PROPERTIES AT ALL TIMES.
4. THE CONTRACTOR SHALL PROTECT ALL EXISTING UTILITIES AND STRUCTURES.
5. THE CONTRACTOR SHALL MAINTAIN THE EXISTING CURB AND DRIVEWAYS.
6. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE AND TREES.
7. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
8. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
9. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
10. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
11. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
12. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
13. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
14. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
15. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
16. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
17. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
18. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
19. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
20. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
21. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
22. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
23. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
24. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
25. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
26. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
27. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
28. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
29. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
30. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
31. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
32. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
33. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
34. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
35. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
36. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
37. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
38. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
39. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
40. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
41. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
42. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
43. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
44. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
45. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
46. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
47. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
48. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
49. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
50. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
51. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
52. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
53. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
54. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
55. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
56. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
57. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
58. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
59. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
60. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
61. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
62. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
63. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
64. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
65. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
66. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
67. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
68. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
69. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
70. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
71. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
72. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
73. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
74. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
75. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
76. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
77. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
78. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
79. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
80. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
81. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
82. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
83. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
84. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
85. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
86. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
87. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
88. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
89. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
90. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
91. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.
92. THE CONTRACTOR SHALL MAINTAIN THE EXISTING MECHANICAL ROOM.
93. THE CONTRACTOR SHALL MAINTAIN THE EXISTING ELECTRICAL ROOM.
94. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PLUMBING ROOM.
95. THE CONTRACTOR SHALL MAINTAIN THE EXISTING LANDSCAPE.
96. THE CONTRACTOR SHALL MAINTAIN THE EXISTING TREES.
97. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIGNAGE.
98. THE CONTRACTOR SHALL MAINTAIN THE EXISTING PARKING SPACES.
99. THE CONTRACTOR SHALL MAINTAIN THE EXISTING SIDEWALKS.
100. THE CONTRACTOR SHALL MAINTAIN THE EXISTING STAIRS AND ELEVATOR.

DATE: 11/11/11
PROJECT: Conversion of a Warehouse into a 19-Unit Apartment Building
DRAWN BY: [Name]
CHECKED BY: [Name]

Conversion of a Warehouse
into a 19-Unit Apartment Building
4046 N. Hermitage Avenue
Chicago, IL 60613

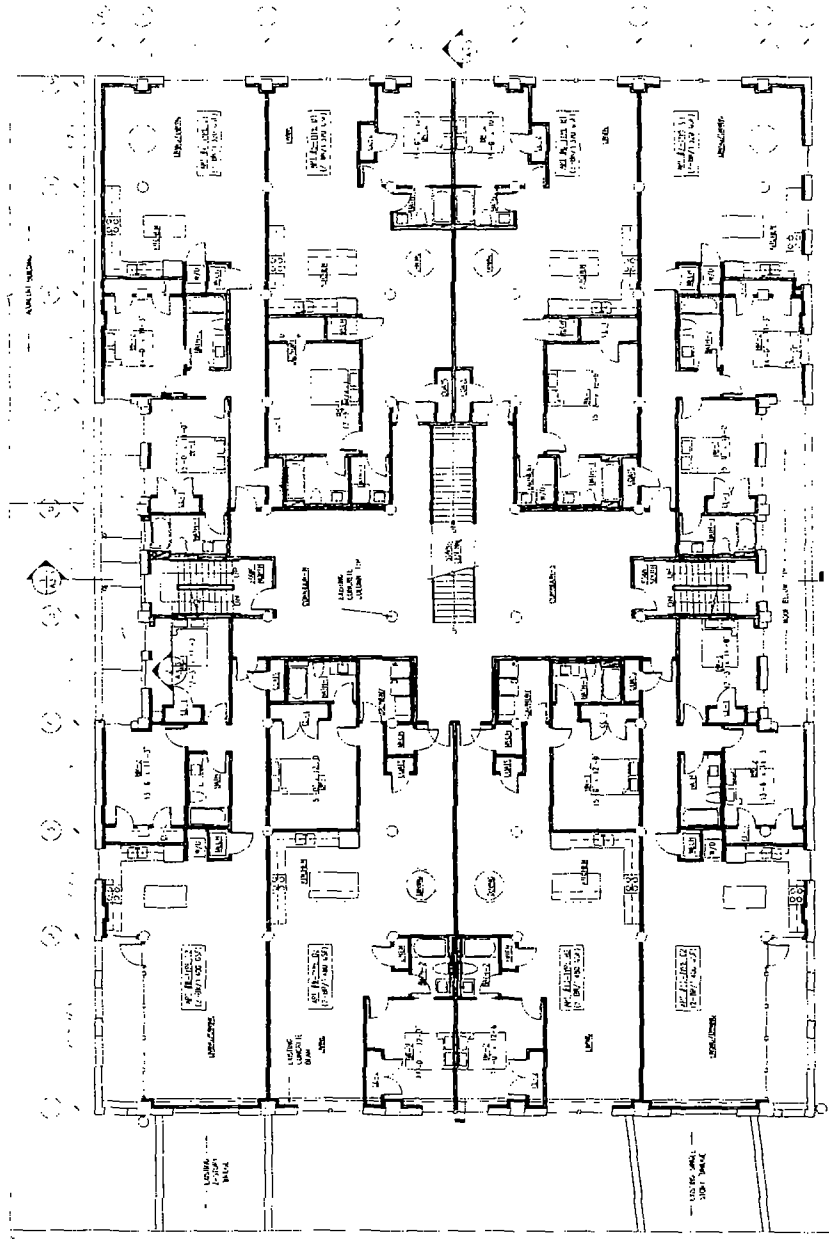
FOSTER DALE
ARCHITECTS
277 North Riverside
Suite 111
Chicago, IL 60613
tel: (773) 622-7172
www.fosterdalearchitects.com



1 FIRST FLOOR/SITE PLAN

Conversion of a Warehouse
 4046 N Hermitage Avenue
 Chicago IL 60613

FOSTER DALE ARCHITECTS
 2777 North Ravenswood
 Chicago, IL 60647
 773.527.7000 ext
 www.fdaarchitects.com



- LEGEND**
- WALL
 - DOOR
 - WINDOW
 - FLOOR FINISH
 - CEILING FINISH
 - LIGHT FIXTURE
 - FURNITURE
 - STAIR
 - ELEVATOR
 - MECHANICAL
 - PLUMBING
 - ELECTRICAL
 - SIGNAGE
 - GLASS PARTITION
 - GLASS DOOR
 - GLASS WINDOW
 - GLASS CURTAIN WALL
 - GLASS SKYLIGHT
 - GLASS RAMP
 - GLASS STAIR
 - GLASS BALCONY
 - GLASS ELEVATOR
 - GLASS MECHANICAL
 - GLASS PLUMBING
 - GLASS ELECTRICAL
 - GLASS SIGNAGE

1 SECOND FLOOR PLAN
 SCALE: 1/8" = 1'-0"

FOSTER DALE ARCHITECTS

277 North Halsted Street
Suite 117
Chicago, IL 60610
773.467.7000
fooster@fdarchitects.com

Conversion of a Warehouse
into a 19-Unit Apartment Building
4046 N. Hermitage Avenue
Chicago, IL 60613

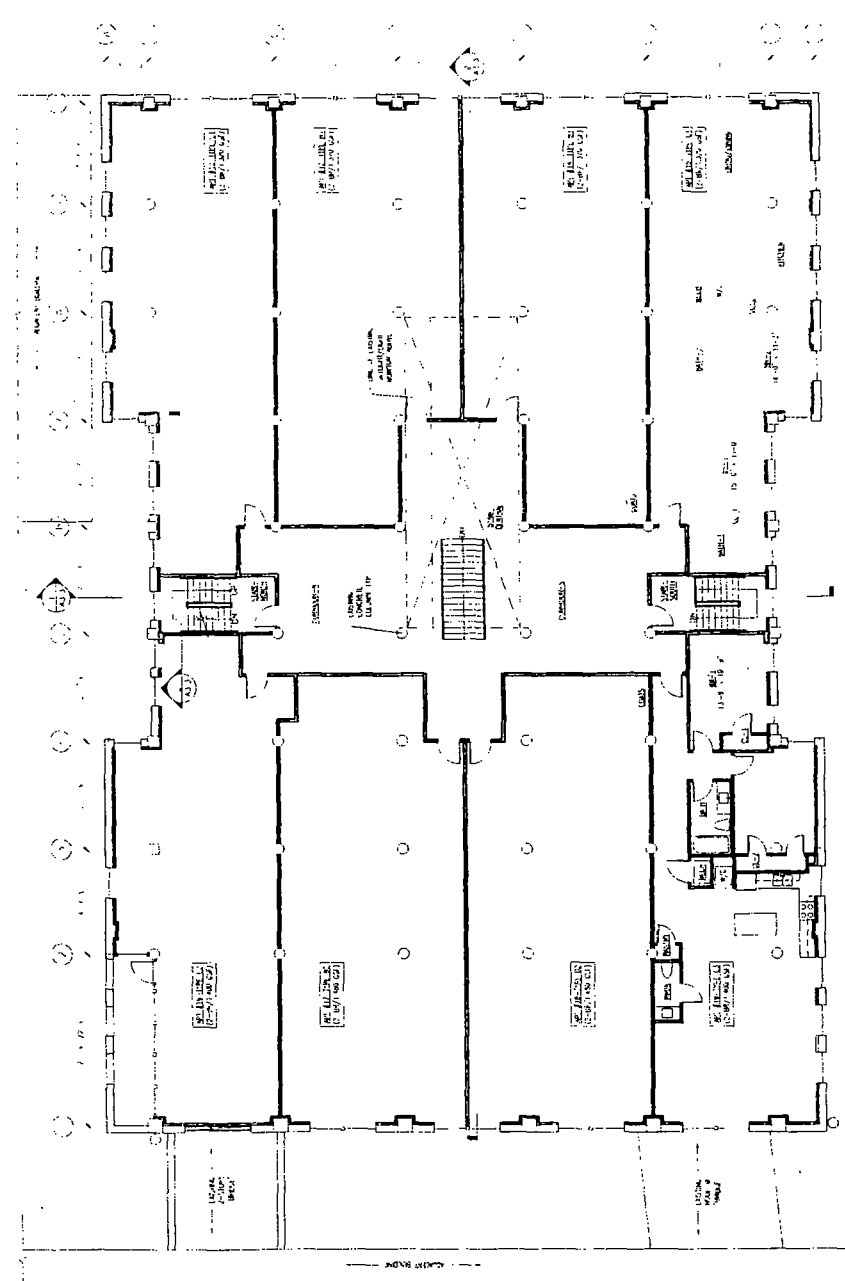
100% COMPLETE
2012
ARCHITECT
M. TURNER

NO.	DESCRIPTION	DATE
1	REVISION	
2	REVISION	
3	REVISION	
4	REVISION	
5	REVISION	
6	REVISION	
7	REVISION	
8	REVISION	
9	REVISION	
10	REVISION	
11	REVISION	
12	REVISION	
13	REVISION	
14	REVISION	
15	REVISION	

Project No. 12-0001
Sheet No. 1 of 1

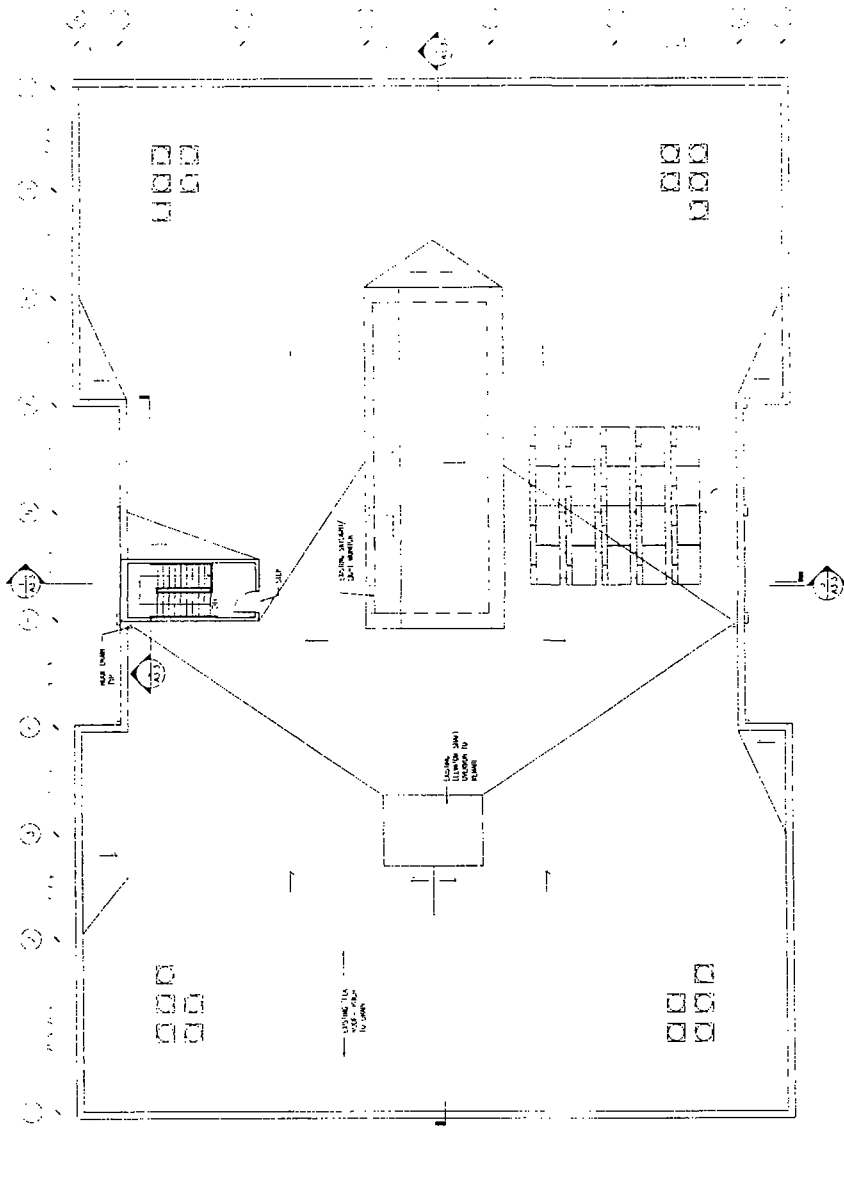
NO.	DESCRIPTION	DATE
1	REVISION	
2	REVISION	
3	REVISION	
4	REVISION	
5	REVISION	
6	REVISION	
7	REVISION	
8	REVISION	
9	REVISION	
10	REVISION	
11	REVISION	
12	REVISION	
13	REVISION	
14	REVISION	
15	REVISION	

- LEGEND
- 1.00 WALL (1/2" THICK)
 - 2.00 DOOR (1/2" THICK)
 - 3.00 WINDOW (1/2" THICK)
 - 4.00 FLOOR (1/2" THICK)
 - 5.00 CEILING (1/2" THICK)
 - 6.00 ROOF (1/2" THICK)
 - 7.00 STAIR (1/2" THICK)
 - 8.00 ELEVATOR (1/2" THICK)
 - 9.00 MECHANICAL (1/2" THICK)
 - 10.00 PLUMBING (1/2" THICK)
 - 11.00 ELECTRICAL (1/2" THICK)
 - 12.00 LIGHTING (1/2" THICK)
 - 13.00 FURNITURE (1/2" THICK)
 - 14.00 SIGNAGE (1/2" THICK)
 - 15.00 OTHER (1/2" THICK)



(1) FIBED FLOOR PLAN
SCALE: 1/8" = 1'-0"

- 1.01 OVERALL SITE PLAN
- 1.02 GENERAL NOTES
- 1.03 SITE PLAN
- 1.04 CONCEPT PLAN
- 1.05 SITE PLAN
- 1.06 CONCEPT PLAN
- 1.07 CONCEPT PLAN
- 1.08 CONCEPT PLAN
- 1.09 CONCEPT PLAN
- 1.10 CONCEPT PLAN
- 1.11 CONCEPT PLAN
- 1.12 CONCEPT PLAN
- 1.13 CONCEPT PLAN
- 1.14 CONCEPT PLAN
- 1.15 CONCEPT PLAN
- 1.16 CONCEPT PLAN
- 1.17 CONCEPT PLAN
- 1.18 CONCEPT PLAN
- 1.19 CONCEPT PLAN
- 1.20 CONCEPT PLAN
- 1.21 CONCEPT PLAN
- 1.22 CONCEPT PLAN
- 1.23 CONCEPT PLAN
- 1.24 CONCEPT PLAN
- 1.25 CONCEPT PLAN
- 1.26 CONCEPT PLAN
- 1.27 CONCEPT PLAN
- 1.28 CONCEPT PLAN
- 1.29 CONCEPT PLAN
- 1.30 CONCEPT PLAN
- 1.31 CONCEPT PLAN
- 1.32 CONCEPT PLAN
- 1.33 CONCEPT PLAN
- 1.34 CONCEPT PLAN
- 1.35 CONCEPT PLAN
- 1.36 CONCEPT PLAN
- 1.37 CONCEPT PLAN
- 1.38 CONCEPT PLAN
- 1.39 CONCEPT PLAN
- 1.40 CONCEPT PLAN
- 1.41 CONCEPT PLAN
- 1.42 CONCEPT PLAN
- 1.43 CONCEPT PLAN
- 1.44 CONCEPT PLAN
- 1.45 CONCEPT PLAN
- 1.46 CONCEPT PLAN
- 1.47 CONCEPT PLAN
- 1.48 CONCEPT PLAN
- 1.49 CONCEPT PLAN
- 1.50 CONCEPT PLAN
- 1.51 CONCEPT PLAN
- 1.52 CONCEPT PLAN
- 1.53 CONCEPT PLAN
- 1.54 CONCEPT PLAN
- 1.55 CONCEPT PLAN
- 1.56 CONCEPT PLAN
- 1.57 CONCEPT PLAN
- 1.58 CONCEPT PLAN
- 1.59 CONCEPT PLAN
- 1.60 CONCEPT PLAN
- 1.61 CONCEPT PLAN
- 1.62 CONCEPT PLAN
- 1.63 CONCEPT PLAN
- 1.64 CONCEPT PLAN
- 1.65 CONCEPT PLAN
- 1.66 CONCEPT PLAN
- 1.67 CONCEPT PLAN
- 1.68 CONCEPT PLAN
- 1.69 CONCEPT PLAN
- 1.70 CONCEPT PLAN
- 1.71 CONCEPT PLAN
- 1.72 CONCEPT PLAN
- 1.73 CONCEPT PLAN
- 1.74 CONCEPT PLAN
- 1.75 CONCEPT PLAN
- 1.76 CONCEPT PLAN
- 1.77 CONCEPT PLAN
- 1.78 CONCEPT PLAN
- 1.79 CONCEPT PLAN
- 1.80 CONCEPT PLAN
- 1.81 CONCEPT PLAN
- 1.82 CONCEPT PLAN
- 1.83 CONCEPT PLAN
- 1.84 CONCEPT PLAN
- 1.85 CONCEPT PLAN
- 1.86 CONCEPT PLAN
- 1.87 CONCEPT PLAN
- 1.88 CONCEPT PLAN
- 1.89 CONCEPT PLAN
- 1.90 CONCEPT PLAN
- 1.91 CONCEPT PLAN
- 1.92 CONCEPT PLAN
- 1.93 CONCEPT PLAN
- 1.94 CONCEPT PLAN
- 1.95 CONCEPT PLAN
- 1.96 CONCEPT PLAN
- 1.97 CONCEPT PLAN
- 1.98 CONCEPT PLAN
- 1.99 CONCEPT PLAN
- 1.100 CONCEPT PLAN



1) ROOF PLAN
SCALE: 1/8" = 1'-0"

Conversion of a Warehouse into a 19-Unit Apartment Building 4046 N. Hermitage Avenue Chicago, IL 60613

Foster + Dale Architects
3011 Pacific Avenue, Suite 111
Chicago, IL 60613
773.727.1000
foadale@comcast.net

DATE:	08/11/08
DRAWN BY:	REYAL
CHECKED BY:	REYAL
APPROVED BY:	REYAL

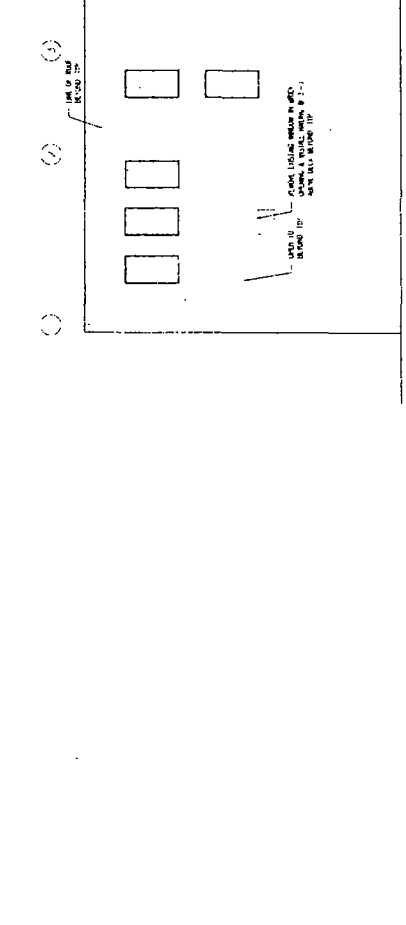
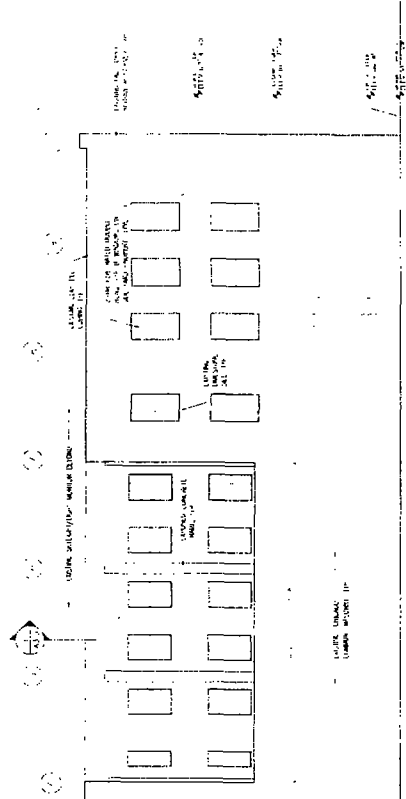
FOSTER DALE ARCHITECTS
 DRAWING NO. A.1.1
 SHEET NO. 1 OF 1

NO. 1	NO. 2	NO. 3	NO. 4	NO. 5	NO. 6	NO. 7	NO. 8	NO. 9	NO. 10	NO. 11	NO. 12	NO. 13	NO. 14	NO. 15	NO. 16	NO. 17	NO. 18	NO. 19	NO. 20

Conversion of a Warehouse
into a 19-Unit Apartment Building
4046 N. Heritage Avenue
Chicago, IL 60613

FOSTER DALE
ARCHITECTS
217 EAST PULASKI AVENUE
CHICAGO, ILL. 60611
TELEPHONE 462-1111
FAX 462-1112
www.fosterdale.com

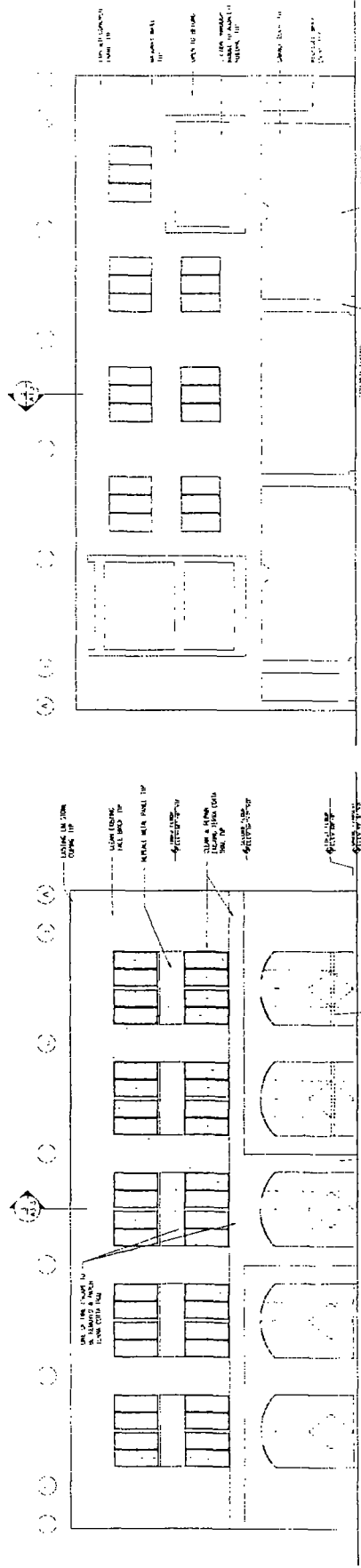
NO. 1
NO. 2
NO. 3
NO. 4
NO. 5
NO. 6
NO. 7
NO. 8
NO. 9
NO. 10
NO. 11
NO. 12
NO. 13
NO. 14
NO. 15
NO. 16
NO. 17
NO. 18
NO. 19



3 SOUTH ELEVATION
SCALE: 1/8" = 1'-0"

2 WEST ELEVATION
SCALE: 1/8" = 1'-0"

1 EAST (UPPER) ELEVATION
SCALE: 1/8" = 1'-0"



NO.	DESCRIPTION	DATE	BY
1	ISSUED FOR PERMITS	11/14/13	W.D.
2	REVISED PERMITS	11/14/13	W.D.
3	REVISED PERMITS	11/14/13	W.D.
4	REVISED PERMITS	11/14/13	W.D.

REVISIONS

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.
 SCALE: AS SHOWN

GENERAL NOTES:

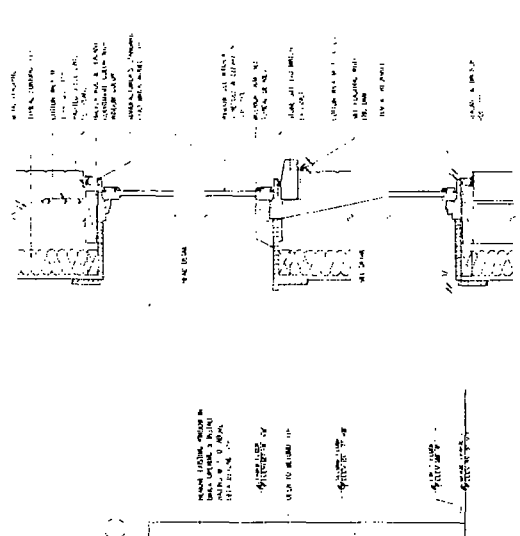
1. REFER TO ALL OTHER DRAWINGS IN THIS SET.
2. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE CITY OF CHICAGO DEPARTMENT OF PUBLIC WORKS SPECIFICATIONS AND THE ILLINOIS ADMINISTRATIVE CODE.
3. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE ILLINOIS ADMINISTRATIVE CODE AND THE CITY OF CHICAGO DEPARTMENT OF PUBLIC WORKS SPECIFICATIONS.
4. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE ILLINOIS ADMINISTRATIVE CODE AND THE CITY OF CHICAGO DEPARTMENT OF PUBLIC WORKS SPECIFICATIONS.
5. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE ILLINOIS ADMINISTRATIVE CODE AND THE CITY OF CHICAGO DEPARTMENT OF PUBLIC WORKS SPECIFICATIONS.
6. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE ILLINOIS ADMINISTRATIVE CODE AND THE CITY OF CHICAGO DEPARTMENT OF PUBLIC WORKS SPECIFICATIONS.
7. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE ILLINOIS ADMINISTRATIVE CODE AND THE CITY OF CHICAGO DEPARTMENT OF PUBLIC WORKS SPECIFICATIONS.
8. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE ILLINOIS ADMINISTRATIVE CODE AND THE CITY OF CHICAGO DEPARTMENT OF PUBLIC WORKS SPECIFICATIONS.
9. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE ILLINOIS ADMINISTRATIVE CODE AND THE CITY OF CHICAGO DEPARTMENT OF PUBLIC WORKS SPECIFICATIONS.
10. ALL WORK SHALL BE DONE IN ACCORDANCE WITH THE ILLINOIS ADMINISTRATIVE CODE AND THE CITY OF CHICAGO DEPARTMENT OF PUBLIC WORKS SPECIFICATIONS.

PROJECT INFORMATION:

PROJECT: Conversion of a Warehouse into a 19-Unit Apartment Building
 ADDRESS: 4046 N. Heritage Avenue, Chicago, IL 60613
 ARCHITECT: Foster Dale Architects, LLC
 DATE: 11/14/13

OWNER:
 FOSTER DALE ARCHITECTS, LLC
 4046 N. HERITAGE AVENUE
 CHICAGO, IL 60613

DESIGNER:
 FOSTER DALE ARCHITECTS, LLC
 4046 N. HERITAGE AVENUE
 CHICAGO, IL 60613



SECTION 1: NORTH ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 2: WEST ELEVATION

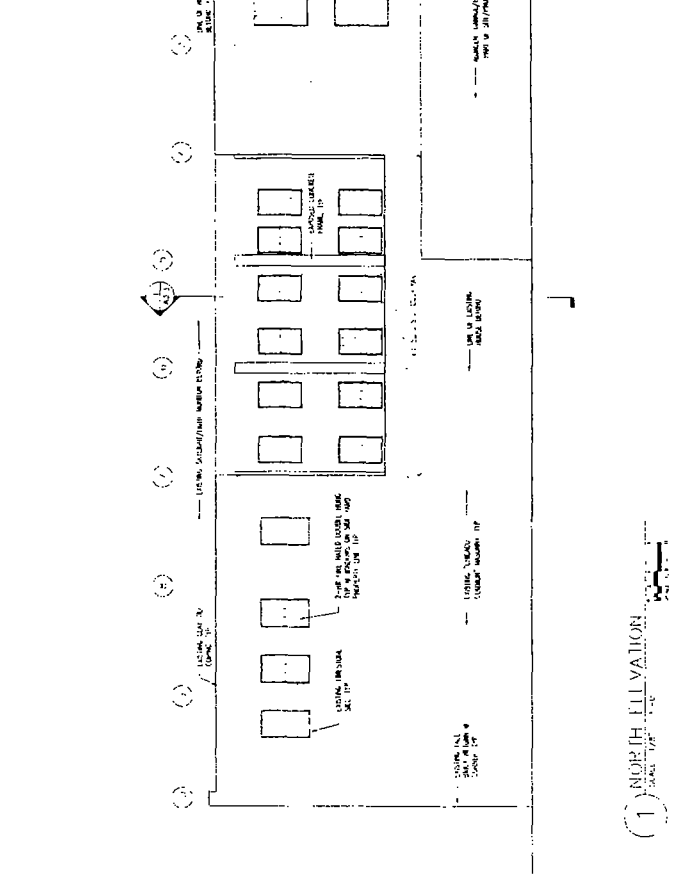
DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 3: SOUTH ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 4: EAST ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.



SECTION 5: WEST ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 6: SOUTH ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 7: NORTH ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 8: EAST ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 9: WEST ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 10: SOUTH ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 11: NORTH ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

SECTION 12: EAST ELEVATION

DATE: 11/14/13
 DRAWN BY: W.D.
 CHECKED BY: W.D.

CERTIFIED SURVEY, INC.

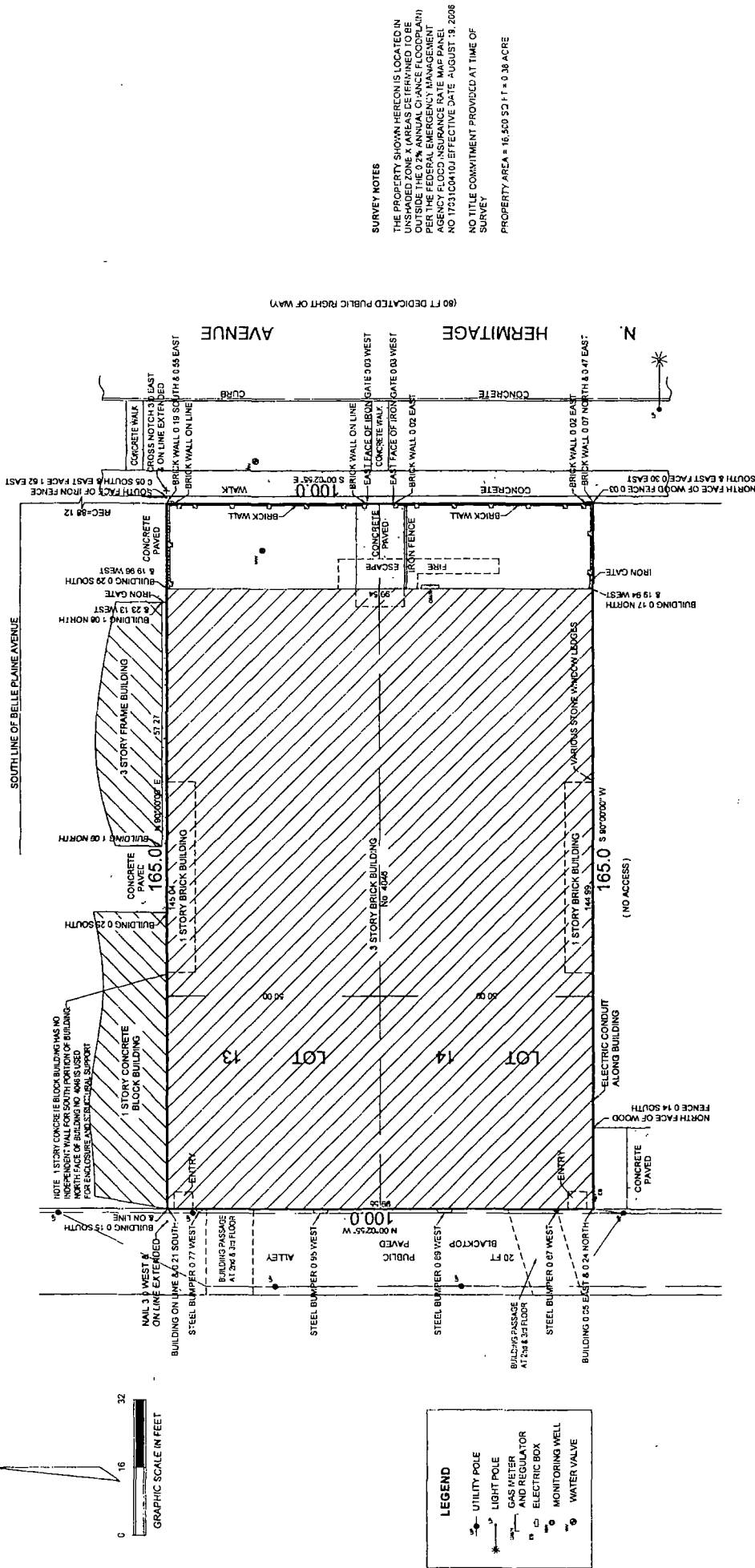
1440 Renaissance Drive, Suite 140, Park Ridge, IL 60068 Phone 847-296-6900 Fax 847-296-6906

Email : surveys@certifiedsurvey.com

ALTA/NSPS LAND TITLE SURVEY

LOTS 13 AND 14 IN THE SUBDIVISION OF ALL OF LOT 9 AND LOT 10 (EXCEPT THE NORTH 189.25 FEET THEREOF) IN BELLE PLAINE, BEING A SUBDIVISION BY THE SUPERIOR COURT OF COOK COUNTY OF THE PROCEEDINGS OF THE SOUTHWEST 1/4 OF SECTION 16, TOWNSHIP 40 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

COMMONLY KNOWN AS : 4046 N HERMITAGE AVENUE, CHICAGO, ILLINOIS.



STATE OF ILLINOIS
COUNTY OF COOK SS

TO

BUILDING LINES AND DIMENSIONS ARE SHOWN ONLY WHERE THEY ARE SO RECORDED IN THE MAPS OTHERWISE REFER TO YOUR DEED OR ABSTRACT

DECIMALS OF FOOT AND THEIR EQUIVALENT IN INCHES AND FRACTIONS THEREOF

01" = 1/16"	01" = 1/16"	50" = 4'
02" = 1/8"	02" = 1/8"	58" = 4'
03" = 3/16"	03" = 3/16"	67" = 5'
04" = 1/4"	04" = 1/4"	76" = 6'
05" = 5/16"	05" = 5/16"	85" = 7'
06" = 3/8"	06" = 3/8"	94" = 7'
07" = 7/16"	07" = 7/16"	103" = 8'
08" = 1/2"	08" = 1/2"	112" = 9'
09" = 9/16"	09" = 9/16"	121" = 10'
10" = 5/8"	10" = 5/8"	130" = 10'
11" = 11/16"	11" = 11/16"	139" = 11'
12" = 3/4"	12" = 3/4"	148" = 12'
13" = 13/16"	13" = 13/16"	157" = 12'
14" = 7/8"	14" = 7/8"	166" = 13'
15" = 15/16"	15" = 15/16"	175" = 13'
16" = 1"	16" = 1"	184" = 14'
17" = 1 1/16"	17" = 1 1/16"	193" = 14'
18" = 1 1/8"	18" = 1 1/8"	202" = 15'
19" = 1 1/4"	19" = 1 1/4"	211" = 15'
20" = 1 1/2"	20" = 1 1/2"	220" = 16'
21" = 1 5/8"	21" = 1 5/8"	229" = 16'
22" = 1 3/4"	22" = 1 3/4"	238" = 17'
23" = 1 7/8"	23" = 1 7/8"	247" = 17'
24" = 2"	24" = 2"	256" = 18'
25" = 2 1/16"	25" = 2 1/16"	265" = 18'
26" = 2 1/8"	26" = 2 1/8"	274" = 19'
27" = 2 1/4"	27" = 2 1/4"	283" = 19'
28" = 2 3/8"	28" = 2 3/8"	292" = 19'
29" = 2 1/2"	29" = 2 1/2"	301" = 20'
30" = 2 5/8"	30" = 2 5/8"	310" = 20'
31" = 2 3/4"	31" = 2 3/4"	319" = 20'
32" = 2 7/8"	32" = 2 7/8"	328" = 21'
33" = 3"	33" = 3"	337" = 21'
34" = 3 1/16"	34" = 3 1/16"	346" = 21'
35" = 3 1/8"	35" = 3 1/8"	355" = 22'
36" = 3 1/4"	36" = 3 1/4"	364" = 22'
37" = 3 3/8"	37" = 3 3/8"	373" = 22'
38" = 3 1/2"	38" = 3 1/2"	382" = 22'
39" = 3 5/8"	39" = 3 5/8"	391" = 23'
40" = 3 3/4"	40" = 3 3/4"	400" = 23'
41" = 3 7/8"	41" = 3 7/8"	409" = 23'
42" = 4"	42" = 4"	418" = 23'
43" = 4 1/16"	43" = 4 1/16"	427" = 24'
44" = 4 1/8"	44" = 4 1/8"	436" = 24'
45" = 4 1/4"	45" = 4 1/4"	445" = 24'
46" = 4 3/8"	46" = 4 3/8"	454" = 24'
47" = 4 1/2"	47" = 4 1/2"	463" = 25'
48" = 4 5/8"	48" = 4 5/8"	472" = 25'
49" = 4 3/4"	49" = 4 3/4"	481" = 25'
50" = 4 7/8"	49" = 4 7/8"	490" = 25'

DIMENSIONS ARE NOT TO BE ASSUMED FROM SCALING

ORDER No. 200332 (Y)
ORDERED BY: WARREN C. LASKI

DATE OF PLAT: SEPTEMBER 1, 2020

PROFESSIONAL LAND SURVEYOR NO. 3408
LICENSE EXPIRES NOVEMBER 30, 2020

CITY OF CHICAGO
APPLICATION FOR AN AMENDMENT TO
THE CHICAGO ZONING ORDINANCE

#20563-T1
INTRO DATE
DEC 16, 2020

1. ADDRESS of the property Applicant is seeking to rezone:

4040-4048 N. Hermitage Ave.

2. Ward Number that property is located in: 47th Ward

3. APPLICANT 4046 Hermitage LLC

ADDRESS 4043 N. Ravenswood Ave., CITY Chicago

STATE IL ZIP CODE 60613 PHONE 773.929.7050

EMAIL hayesproperties@aol.com CONTACT PERSON Harold J. (Joe) Hayes

4. Is the Applicant the owner of the property? YES X NO _____
If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the applicant to proceed.

OWNER same

ADDRESS _____

CITY _____ STATE _____ ZIP CODE _____

PHONE _____ CONTACT PERSON _____

5. If the Applicant owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY Warren E. Silver

ADDRESS 1700 W. Irving Park, Ste. 102

CITY Chicago STATE IL ZIP CODE 60613

PHONE 773.832.9550, x-1 FAX 773.832.9552 EMAIL warren@silverlawoffice.com

6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

Hayes Family LLC; Phalanx Partners, LLC; Robert D. Gecht

7. Has the present owner previously rezoned this property? If yes, when? No

8. On what date did the owner acquire legal title to the subject property? October 26, 2020

9. Present Zoning District RM-5.5 Proposed Zoning District RM-5.5

10. Lot size in square feet (or dimensions) 16,500 square feet

11. Current Use of the Property Vacant (former industrial/warehouse building)

12. Reason for rezoning the subject property To amend the development plan approved in the Type 1 rezoning of October 31, 2018 to allow for construction of a residential apartment building

containing 19 dwelling units and 19 enclosed parking spaces

13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)

The property will be changed from a vacant industrial/warehouse building to a residential apartment building containing three dwelling units and 19 enclosed parking spaces on the

ground floor and 16 dwelling units above the ground floor (total 19 dwelling units), with

approximately 42,170 square feet of floor area. No change in height or setbacks. 1,471.5 sf

portion of the subject property included in previous Type 1 rezoning is no longer required for

the proposed development and has been conveyed to adjacent property owner.

14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?

YES X NO

COUNTY OF COOK
STATE OF ILLINOIS

The Applicant, 4046 Hermitage LLC, being first duly sworn on oath, state that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

4046 Hermitage LLC

By: Harold J. Hays, one of its Managers
Signature of Applicant

Subscribed and Sworn to before me this
4th day of November, 2020.

Kathy Rojina
Notary Public



For Office Use Only

Date of Introduction: _____

File Number: _____

Ward: _____

"WRITTEN NOTICE"
FORM OF AFFIDAVIT
(Section 17-13-0107)

November 9, 2020

Honorable Thomas Tunney
Chairman, Committee on Zoning
121 North LaSalle Street
Room 304, City Hall
Chicago, IL 60602

The undersigned, Stacey Rubin Silver, being first duly sworn on oath, deposes and states the following:

The undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

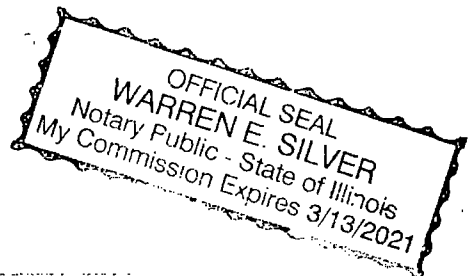
The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant filed the application for an amendment to the development plan for a Type 1 rezoning on or about November 9, 2020.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Stacey Rubin Silver

Subscribed and Sworn to before me this
9th day of November, 2020.

Notary Public



December 1, 2020

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about December 1, 2020, the undersigned will file an application for a change in zoning district from RM-5.5 to RM-5.5, in order to amend the Type I rezoning plan for the subject property. This application will be filed on behalf of the applicant, **4046 Hermitage LLC**, for the property located at 4040-4048 N. Hermitage Ave.

The amended development plan provides for conversion of the existing vacant industrial/warehouse building into a residential apartment building containing three dwelling units and 19 enclosed parking spaces on the ground floor and 16 dwelling units above the ground floor (total 19 dwelling units), with approximately 42,170 square feet of floor area. There will be no change in height or setbacks. The subject zoning lot has been reduced by 1,471.5 square feet, being a portion of the subject property that was included in the previous Type I rezoning but is no longer required for the proposed development.

4046 Hermitage LLC has its principal place of business at 4043 N. Ravenswood Ave., Chicago, Illinois 60613. The contact person for this application is Warren Silver, Attorney at 1700 W. Irving Park, Suite 102, Chicago, Illinois 60613. He can be reached at 773.832.9550.

Please note that the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Very truly yours,

A handwritten signature in black ink, appearing to read "Warren E. Silver", written over a horizontal line.

Warren E. Silver

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

4046 Hermitage LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: _____

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 4043 N. Ravenswood Ave.

Chicago, IL 60613

C. Telephone: 773.929.7050 Fax: _____ Email: hayesproperties@aol.com

D. Name of contact person: Harold J. (Joe) Hayes

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Type 1 plan amendment and rezoning 4040-4050 N. Hermitage Ave.

G. Which City agency or department is requesting this EDS? City Csl Cmte on Zoning Lmks Bldg Stds

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|---|
| <input type="checkbox"/> Person | <input checked="" type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Harold J Hayes	Manager
Christopher McGuire	Manager
Robert D Gecht	Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Hayes Family LLC	4043 N Ravenswood Ave Chicago IL 60613	33 34%
Phalanx Partners LLC	300 S Riverside Plaza Chicago IL 60606	33 33%
Robert D. Gecht	3400 W Lawrence Ave Chicago IL 60625	33 33%

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Silver Law Office PC	1700 W Irving Park Rd Ste 102 Chicago IL 60613	attorneys	\$5,000 (E)
Foster Dale Architects Inc	3717 N Ravenswood Ste 111, Chicago IL 60613	architects	\$5,000 (E)

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
 - d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
5. Certifications (5), (6) and (7) concern:
- the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article 1 (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

4046 Hermitage LLC

(Print or type exact legal name of Disclosing Party)

By: Harold J. Hayes
(Sign here)

Harold J. Hayes

(Print or type name of person signing)

One of its Managers

(Print or type title of person signing)

Signed and sworn to before me on (date) 11-4-2020,

at Cook County, Illinois (state).

Kathy Rojina
Notary Public

Commission expires: 10-19-2021



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlaw.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Phalanx Partners, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 4046 Hermitage LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 300 S Riverside Plaza Ste 1650

Chicago, IL 60606

C. Telephone: 312.930.2266 Fax: _____ Email: chris@phalanxcm.com

D. Name of contact person: Christopher S McGuire

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Type 1 plan amendment and rezoning 4040-4050 N. Hermitage Ave.

G. Which City agency or department is requesting this EDS? City Csl Cmte on Zoning Lmks Bldg Stds

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|---|
| <input type="checkbox"/> Person | <input checked="" type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Christopher S. McGuire	Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Christopher S McGuire	300 S. Riverside Plaza Chicago IL 60606	100.0%

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
--	------------------	--	--

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is

is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
<hr/>		
<hr/>		
<hr/>		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations:

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9650. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

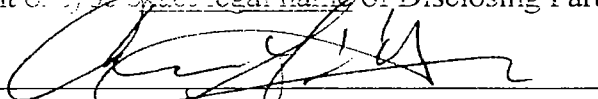
E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Phalanx Partners, LLC

(Print or type exact legal name of Disclosing Party)

By: 
(Sign here)

Christopher E McGuire

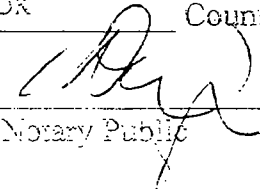
(Print or type name of person signing)

Manager

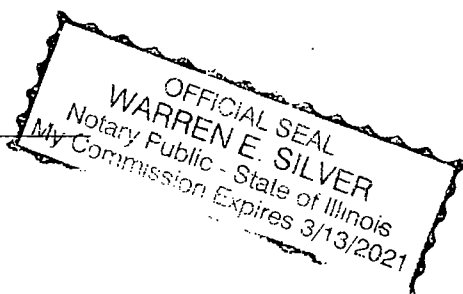
(Print or type title of person signing)

Signed and sworn to before me on (date) 10/27/2020

at COOK County, Illinois (state).


Notary Public

Commission expires: _____



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Hayes Family LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: 4046 Hermitage LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1))
State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 4043 N. Ravenswood Ave.

Chicago, IL 60613

C. Telephone: 773.929.7050 Fax: _____ Email: hayesproperties@aol.com

D. Name of contact person: Harold J. (Joe) Hayes

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Type 1 plan amendment and rezoning 4040-4050 N. Hermitage Ave.

G. Which City agency or department is requesting this EDS? City Csl Cmte on Zoning Lmks Bldg Stds

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|---|
| <input type="checkbox"/> Person | <input checked="" type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3)?) |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Harold J. Hayes	Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Kaitlin F Hayes	4043 N Ravenswood Ave Chicago IL 60613	47.29%
Maggie F Hayes	4043 N Ravenswood Ave Chicago IL 60613	47.29%

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (<u>indicate whether paid or estimated.</u>) NOTE: "hourly rate" or "l.b.d." is not an acceptable response.
--	------------------	--	---

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
------	------------------	------------------------------

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Hayes Family LLC

(Print or type exact legal name of Disclosing Party)

By: Harold J. Hayes
(Sign here)

Harold J. Hayes

(Print or type name of person signing)

Manager

(Print or type title of person signing)

Signed and sworn to before me on (date) 11-4-2020,

at Cook County, Illinois (state).

Kathy Rojina
Notary Public

Commission expires: 6-19-2021



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS
AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX C**

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a “contractor” as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants’ wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A – I am not an Applicant that is a “contractor” as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked “no” to the above, please explain.
