

City of Chicago



O2022-1178

Office of the City Clerk

Document Tracking Sheet

Meeting Date:

4/27/2022

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 9-G at 3322 N Halsted St -

App No. 21019T1

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

#21019-T1 INTRO DATE APRIL 27, 2022

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. That Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the C1-2 Neighborhood Commercial District symbols as shown on Map Number 9-G in the area generally bounded by:

a line 25 feet south of and parallel to West Buckingham Place; North Halsted Street; a line 50 feet south of and parallel to West Buckingham Place; and the public alley next west of and parallel to North Halsted Street;

to those of a C1-3 Neighborhood Commercial District in accordance with the attached narrative and plans.

SECTION 2. This Ordinance shall take effect and be in force from and after its passage and due publication.

Common Address: 3322 North Halsted Street, Chicago, Illinois

NARRATIVE ZONING ANALYSIS AND PLANS TYPE 1 ZONING MAP AMENDMENT APPLICATION

Applicant: Lion Halsted 3 LLC

Property Location: 3322 North Halsted Street

Proposed Zoning: C1-3 Neighborhood Commercial District

Lot Area: 3,125 square feet

Lion Halsted 3 LLC is the "Applicant" for a Type 1 Zoning Map Amendment for the subject property located at 3320-3322 North Halsted Street from the C1-2 Neighborhood Commercial District to the C1-3 Neighborhood Commercial District in order to authorize the construction of a four-story multi-family residential building containing 7 dwelling units and ground floor commercial space. The Applicant will seek special use approval from the Zoning Board of Appeals to authorize the ground floor residential use.

The site is bounded by an existing 3-story commercial building on the north; Halsted Street on the east; an existing single-story commercial building on the south; and a 16' wide public alley on the west. The subject property contains 3,125 square feet of net site area and is currently vacant and unimproved. The overall project FAR will be 3.14. The Applicant seeks an FAR increase for Transit-Served Locations pursuant to Sec. 17-3-0403-B.

Additionally, a transit-served parking reduction is requested. The proposed project will include 2 off-street vehicular parking spaces and 5 bicycle parking spaces.

Transit-Served Parking Reduction

To qualify for a transit-served parking reduction pursuant to Section 17-13-0905-F, the project:

(a) Must be located within a transit-served location:

The Property is within 1,320 feet of the Belmont CTA Station.

(b) Must comply with the standards of Section 17-3-0500 pertaining to pedestrian streets and pedestrian retail streets;

As depicted on the Type 1 plans, the proposed building meets the design standards set forth in Section 17-3-0504 including with respect to building location, transparency, location of doors and entrances, and parking location.

(c) Must comply with the general goals set forth in the Transit Friendly Development Guide: Station Area Typology, and any other station-specific plans, designs or guidelines adopted by the Chicago Plan Commission;

The Belmont CTA station is designated as a "Major Activity Center" station type. This station typology is intended to be developed at a significant density that supports and provides services for the region and nearby neighborhoods. Potential development opportunities are encouraged to

provide a balance of residential densities with retail or other uses. The proposed multi-family residential building, with 7 dwelling units and ground floor commercial space, only a short walk from the Belmont CTA station, is squarely in line with the Major Activity Center typology and compliant with the Transit-Friendly Development Guide.

(d) The Applicant will actively promote public transit and alternatives to automobile ownership through car sharing programs or other shared modes of transportation; and

The Applicant proposes to provide 5 bicycle parking spaces within the building, thereby promoting bicycle use as an alternative to vehicle ownership. In addition, the nearest Divvy bikesharing location is located adjacent to the intersection of North Halsted Street and West Roscoe Street, approximately 500 feet north the subject property. The convenience of both secure bicycle storage and access to Divvy bicycle sharing will promote alternatives to car ownership.

(e) The requested reduction will be offset by enhancements to the pedestrian environment that are not otherwise required, such as wider sidewalks, decorative pavement, trees, raised planters, outdoor seating, special lighting, bus shelters or other types of weather protection for pedestrians, transit information kiosks, or other pedestrian amenities.

The Applicant proposes to include one accessible dwelling unit on the ground floor of the proposed residential building. A 2019 report published by the Metropolitan Planning Council found that individuals with disabilities faced significant barriers to accessing safe and reliable public transportation. That report recommended that Chicago enact housing policies to encourage accessible housing near fixed-route transit, such as buses and trains, to increase transit accessibility. The proposal will enhance the pedestrian environment by constructing an accessible dwelling unit within 1,320 feet of the Belmont CTA station, and adjacent to two Route 8 bus stops to both the north and south of the subject property, thereby ensuring that reliable public transportation and decreased automobile dependency are accessible for pedestrians of varying abilities.

Additionally, though currently vacant, the subject property was previously the location of Chicago's first feminist bookstore. After discussions with neighborhood organizations, the Applicant has committed to installing a commemorative plaque on the exterior of the west elevation of the building, to mark the subject property's history and cultural significance. This decorative element is an added pedestrian amenity. The plaque will aid in placemaking and ensure that, for pedestrians or passers-by, local history is preserved and celebrated.

The availability of on-street parking in the vicinity of the project must also be considered when a transit-served location parking reduction is requested. There is metered street parking available on North Halsted street to the west and on West Buckingham Place to the north, and permitted street parking available on West Aldine Avenue to the south.

NARRATIVE ZONING ANALYSIS

(a) Floor Area and Floor Area Ratio:

i. Lot Area: 3,125 square feet

ii. Total Floor Area: 9,812 square feet

iii. FAR: 3.14 (transit-served location FAR increase)

(b) Density (Lot Area Per Dwelling Unit): 447 square feet (7 dwelling units)

(c) Amount of off-street parking: 2 vehicular spaces (Transit-Served Location parking reduction proposed. Within 1,320 feet of Belmont CTA Red Line station)

5 bicycle parking spaces

(d) Setbacks:

i. Front setback: 0 feet 4 inches

ii. Side setback (north): 0 feetiii. Side setback (south): 0 feet

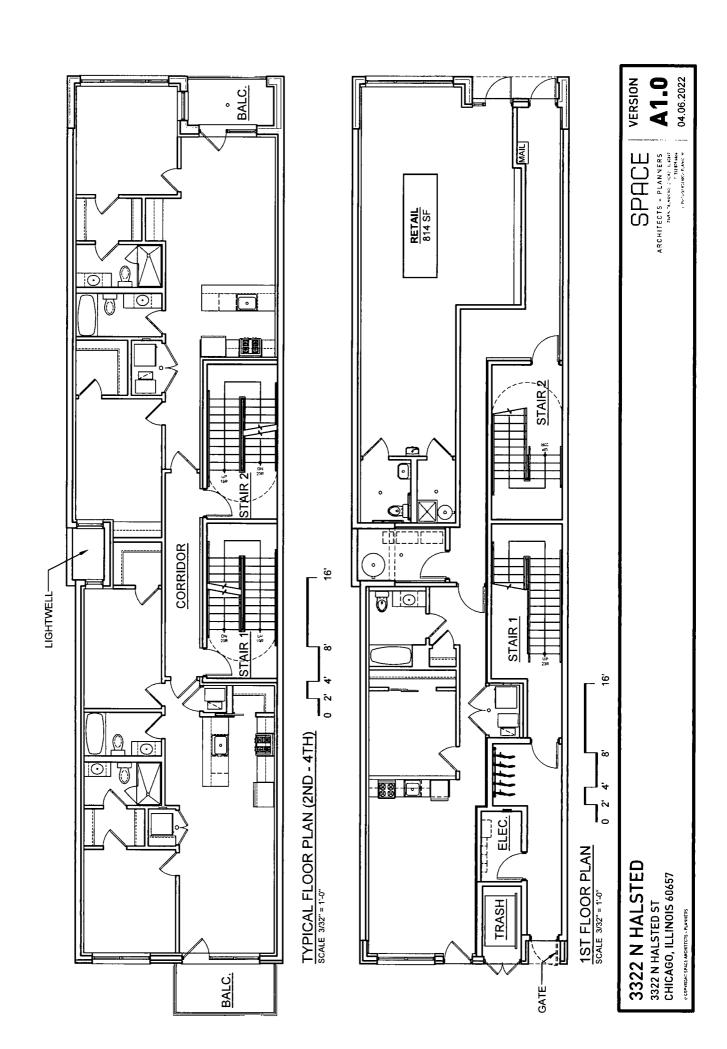
iv. Rear setback: 22 feet (will seek a variation)

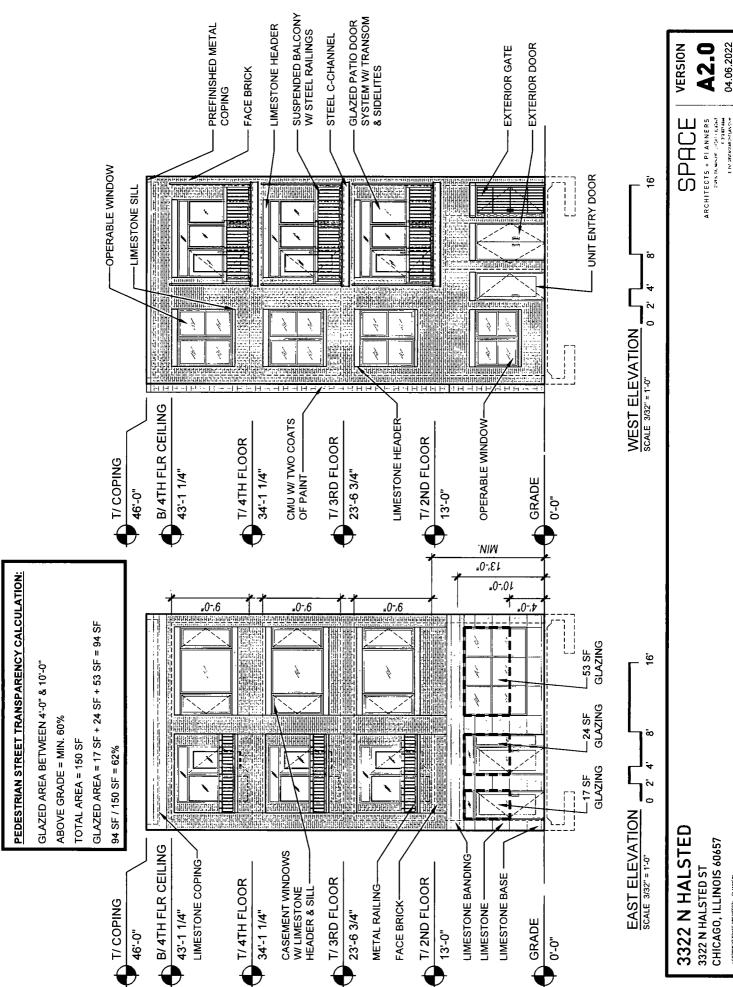
(e) Building height: 43 feet 1 ¼ inches

(f) Off-street Loading: 0 spaces

04.13.2022 ARCHITECTS + PLANNERS
PLANN NAME (CLASSING PROSPERMA)
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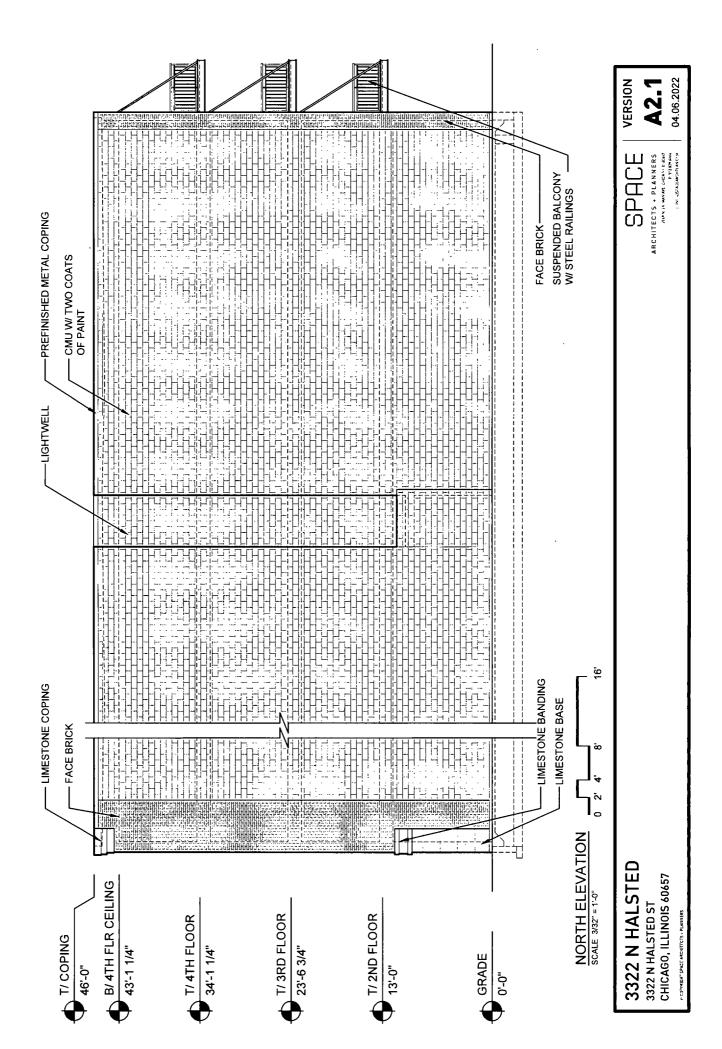
CHICAGO, ILLINOIS 60657 e copposat space architects • planners

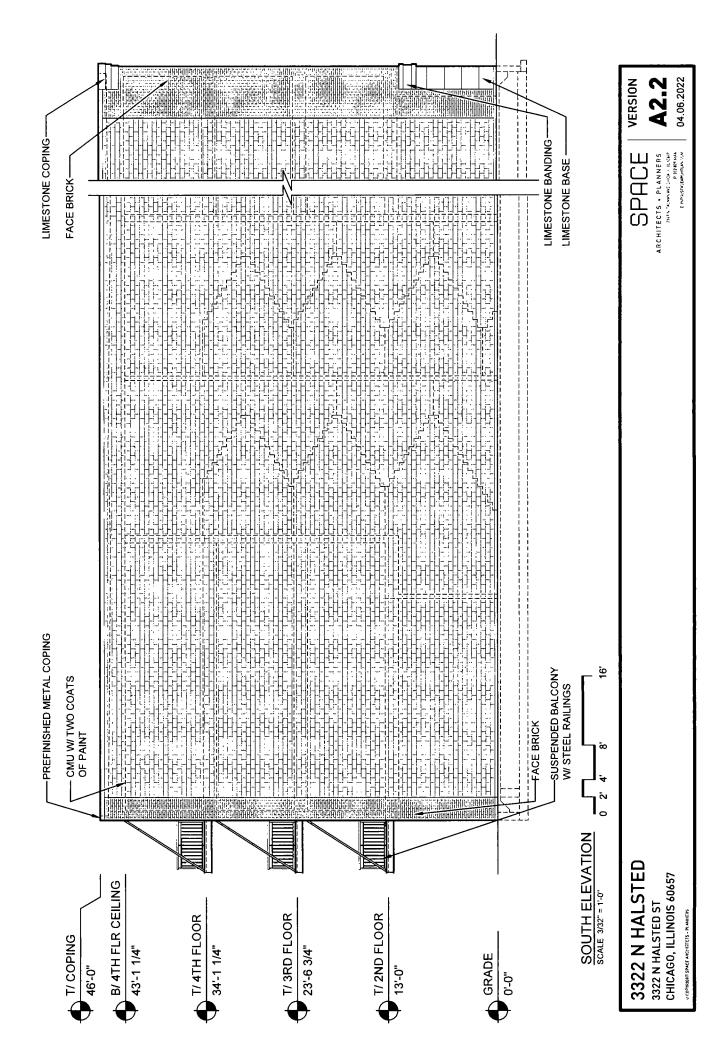




CHICAGO, ILLINOIS 60657

04.06.2022



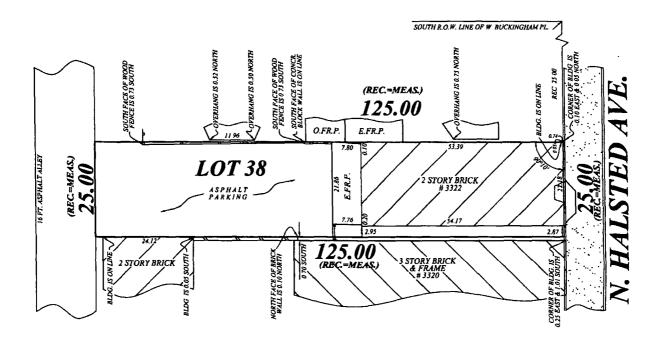


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PLAT OF SURVEY

DESCRIBED AS:

LOT 38 IN BLOCK 2 IN BUCKINGHAM'S SUBDIVISION OF BLOCK 4 IN THE PARTITION OF THE NORTH % OF THE EAST % OF THE SOUTHEAST % OF SECTION 20, TOWNSHIP 40 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.



LEGEND

- CHAIN LINK FENCE
- WOOD FENCE
- IRON FENCE
- CONCRETS PAVEMENT
E FR. P. ENCLOSED FRAME PORCH
- SIDE BOUNDARY LINE
- - EASEMENT LINE
- - BLDG, SETBACK LINE
- CENTER LINE

ALL DIMENSIONS ARE SHOWN IN FEET AND DECIMAL PARTS THEREOF.

SCALE: //=/6'
ORDERED: BEAD GOLD

JOB NO: 220404 G

FIELDWORK
COMPLETION

DATE:

MUNICIPALITY:

FIELDWORK

APRIL 2ND 2022

CHICAGO

STATE OF ILLINOIS COUNTY OF COOK SIGNATURE DATE: APRIL 4 7 ZO22

I, ANDRZEJ MURZANSKI, AN ILLINOIS REGISTERED LAND SURVEYOR, DO HERBY CERTIFY THAT I HAVE SURVEYED THE ABOVE DESCRIBED PROPERTY AND THAT PLAT HEREON DRAWN IS A CORRECT REPRESENTATION OF SAID SUBVEY.

SS

ANDRZEJ MURZANSKI PLS. NO. 35-3258 EXPIRES 11/30/2022

ANDRZEJ MURZANSKI PLS. NO. 35-3258 EXPIRES 11/30/2022

THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY.

ANY DISCREPANCY IN MEASURMENT SHOULD BE PROMPTLY REPORTED TO THE SURVEYOR FOR EXPLANATION OR CORRECTION.

FOR FASEMENTS, BUILDING LINES AND OTHER RESTRICTIONS NOT SHOWN ON THIS PLAT REFER TO YOUR ABSTRACT, DEED, TITLE POLICY AND LOCAL BUILDING REGULATIONS



NO CORNERS WERE MONUMENTED PER CUSTOMER REQUEST

ANDRZEJ MURZANSKI LAND SURVEYORS, INC PROFESSIONAL DESIGN FIRM NO. 184-004748

> 240 COUNTRY LANE GLENVIEW, IL 60025 PHONE: 847-486-8731

amurzanski@outlook.com

THE LEGAL DESCRIPTION NOTED ON THIS PLAT IS A COPY OF THE ORDERS AND FOR ACCURACY MUST BE COMPARED WITH THE DEED.

#21019-TI INTRO DATE APRIL 27,2022

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:		
	3322 North Halsted Street, Chicago, IL		
2.	Ward Number that property is located in: 44th Ward		
3.	APPLICANT Lion Halsted 3 LLC		
	ADDRESS 132 East Delaware Place, 6102		
	CITY Chicago	STATE IL ZIP CODE 60611	
	PHONE (702) 806-8287	EMAIL	
	CONTACT PERSON Brad Gold		
4.	Is the applicant the owner of the property? YES X	NO	
	If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed. OWNER Same as above		
	ADDRESS		
	PHONEEMAIL		
	CONTACT PERSON		
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:		
	ATTORNEY Liz Butler & Lucy Prather – Elrod Friedman LLP		
	ADDRESS 325 North LaSalle, Ste. 450		
	CITY Chicago STATE IL ZI	P CODE <u>60654</u>	
	PHONE (312) 538-528-5201/ (312) 754-0617	FAX	
	EMAIL liz.butler@elrodfriedman.com / lucv.prather@el		

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.		
7.	See attached Economic Disclosure Statements On what date did the owner acquire legal title to the subject property? August 2021		
8.	Has the present owner previously rezoned this property? If yes, when? No		
9.	. Present Zoning District C1-2 Neighborhood Commercial District		
	Proposed Zoning District C1-3 Neighborhood Commercial District		
10.	Lot size in square feet (or dimensions) 3,125 sf		
11.	Current Use of the property: Vacant		
12.	Reason for rezoning the property To authorize construction of a four-story building with ground		
	floor commercial space and seven residential units, including an accessible dwelling unit on the		
	ground floor.		
13.	Describe the proposed use of the property after the rezoning. Indicate the number of		
	dwelling units; number of parking spaces; approximate square footage of any commercial		
	space; and height of the proposed building. (BE SPECIFIC)		
	The Applicant requests a rezoning of the subject property from the C1-2 Neighborhood Commercial District to the C1-3 Neighborhood Commercial District in order to construct a four-story multi-family residential building with approximately 814 square feet of ground floor commercial space. The structure is proposed to measure 43 feet, 1 ¼ inches in height and contain seven residential dwelling units, including an accessible residential unit on the ground floor. The Property is less than 1,320 feet from the Belmont CTA station entrance; accordingly, a transit-served location parking reduction is requested. The proposed project will contain two off-street vehicular parking spaces and five bicycle spaces.		
14.	The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?		
	YES NO_X		

COUNTY OF COOK STATE OF ILLINOIS

Brad Gold, authorized signatory of Lion Halsted 3 LLC, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

Signature o	f Applicant
Subscribed and Sworn to before me this	OFFICIAL SEAL MATTHEW M SAFFAR NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES 06/67/23
For Office Use Only	
Date of Introduction:	·
File Number:	
Ward	



325 North LaSalle Street Suite 450 Chicago, Illinois 60654 312-528-5200 www.elrodfriedman.com

Lucy A. Prather 312.754.0617 lucy.prather@elrodfriedman.com

April 27, 2022

The Honorable Tom Tunney, Chairman City of Chicago Committee on Zoning Room 304, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Re: Application for Amendment to the Chicago Zoning Ordinance 3322 North Halsted Street, Chicago, Illinois

The undersigned, Lucy Prather, an attorney with the law firm of Elrod Friedman LLP, which firm represents Lion Halsted 3 LLC, the applicant for a proposal to rezone the subject property located at 3322 North Halsted Street from the C1-2 Neighborhood Commercial District to the C1-3 Neighborhood Commercial District, certifies that she has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was sent by First Class U.S. Mail, no more than 30 days before filling the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately April 27, 2022; and a source for additional information on the application.

The undersigned certifies that she has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Sincerely,

Elrod/Friedman LLF

Lucy Prather

Subscribed and sworn to before me this

day of / 1/2 2

Notary Public

OFFICIAL SEAL
KEVIN MCDERMOTT
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 09/17/2022



Liz Butler 312.528.5201 liz.butler@elrodfriedman.com 325 North LaSalle Street Suite 450 Chicago, Illinois 60654 312-528-5200 www.elrodfriedman.com

April 27, 2022

FIRST CLASS MAIL

Dear Sir or Madam:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about April 27, 2022, the undersigned, on behalf of Lion Halsted 3 LLC (the "Applicant") intends to file an application to rezone the property located at 3322 North Halsted Street (the "Property") from the C1-2 Neighborhood Commercial District to the C1-3 Neighborhood Commercial District. A map indicating the location of the Property is printed on the reverse side of this letter.

The Property is currently vacant. The Applicant requests a rezoning of the subject property from the from the C1-2 Neighborhood Commercial District to the C1-3 Neighborhood Commercial District to authorize construction of a four-story building, measuring 43 feet, 1 ¼ inches in height, with ground floor commercial space and seven residential units, including an accessible dwelling unit on the ground floor. The Applicant will seek a special use approval from the Zoning Board of Appeals to allow ground floor residential use. The Property will contain five off-street bicycle parking spaces.

Please note that the Applicant is not seeking to purchase or rezone your property. The Applicant is required by law to send you this notice because you own property located within 250 feet of the proposed development.

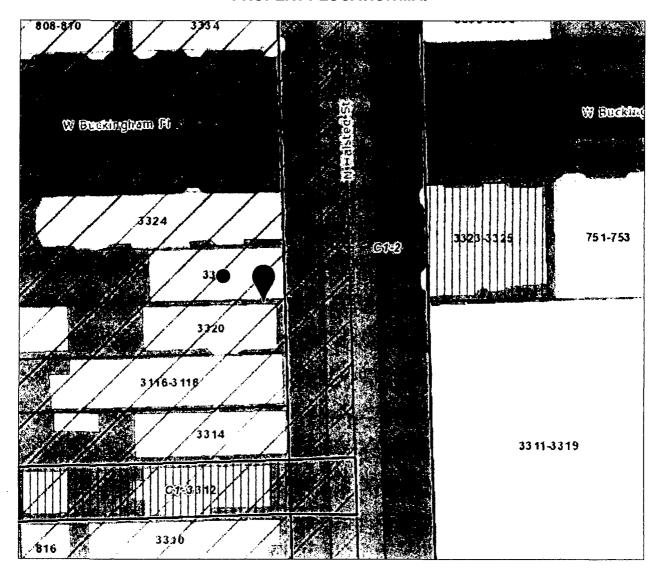
I am an authorized representative of the Applicant and my address is 325 N. LaSalle St., Ste. 450, Chicago, Illinois 60654. The Applicant is the owner of the Property and its business address is 4613 N. Winchester Ave., Chicago, Illinois, 60640.

Please feel free to contact me at (312) 528-5201 with questions or to obtain additional information.

Sincerely,

Liz Butler

PROPERTY LOCATION MAP



PIN:

14-20-420-042-0000

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Part	y submitt	ing this EDS. Include d/b/a/ if applicable:
Lion Halsted 3 LLC		
Check ONE of the following three b	oxes:	
the contract, transaction or other unde	ling, or an	nticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal
3. [] a legal entity with a direct of	or indirec	t right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control:
B. Business address of the Disclosing	g Party:	132 East Delaware Place, 6102
	,	Chicago, IL 60611
C. Telephone: 702-806-8287	Fax:	Email:nt
D. Name of contact person: Brad Go	ld	
E. Federal Employer Identification N	o. (if you	have one):
F. Brief description of the Matter to property, if applicable):	which this	s EDS pertains. (Include project number and location of
Rezoning application for 3320-3322	North Ha	Isted Street
G. Which City agency or department	is reques	ting this EDS? Department of Planning and Development
If the Matter is a contract being handl complete the following:	ed by the	City's Department of Procurement Services, please
Specification #		_ and Contract #
Ver.2018-1	Pa	age 1 of 15

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: ✓ Limited liability company [] Person [] Limited liability partnership [] Publicly registered business corporation [] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] General partnership [] Limited partnership [] Yes []No [] Other (please specify) [] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois []Yes [] No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Title Name **Brad Gold** Manager Manager Ari Ryan

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name Business Address Ari Ryan 132 E Delaware PI, 6102 Chicago, IL 6061		Percentage Interest in the Applicant 80%	
Brad Gold	132 E Delaware PI, 6102 Chicago, IL 60611	20%	

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during 12-month period preceding the date of this EDS? [] Yes [] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [] No No Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS?					
					If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:
Does any City elected official or, to the best of the inquiry, any City elected official's spouse or dome Chapter 2-156 of the Municipal Code of Chicago [] Yes	estic partner, have a financ	cial interest (as			
If "yes," please identify below the name(s) of such	City elected official(s) ar	nd/or spouse(s	s)/domestic		

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

partner(s) and describe the financial interest(s).

Fees (indicate whether Name (indicate whether **Business** Relationship to Disclosing Party paid or estimated.) NOTE: retained or anticipated Address (subcontractor, attorney, "hourly rate" or "t.b.d." is to be retained) lobbyist, etc.) not an acceptable response. \$15,000 (estimated) Elrod Friedman LLP (retained) 325 N LaSalle, Suite 450, Chicago IL Attorney \$10,000 (estimated) Space Architects (retained) 2149 N Talman Avenue, Chicago IL Architect (Add sheets if necessary) [] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. **SECTION V -- CERTIFICATIONS** A. COURT-ORDERED CHILD SUPPORT COMPLIANCE Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term. Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction? [] No person directly or indirectly owns 10% or more of the Disclosing Party. []Yes No No If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement? [] Yes [] No

B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

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- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
 The Disclosing Party certifies that the Disclosing Party (check one) is ✓ is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):				
	the word "None," or no response med that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.		
D. CERTIFICATI	ON REGARDING FINANCIAL	INTEREST IN CITY BUSINESS		
Any words or term	s defined in MCC Chapter 2-156 l	have the same meanings if used in this Part D.		
after reasonable inc		the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter?		
[]Yes	⋈ No			
	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" to Part E.		
official or employed other person or ent taxes or assessmen "City Property Sale	te shall have a financial interest in ity in the purchase of any property ts, or (iii) is sold by virtue of legal	bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain the meaning of this Part D.		
Does the Matter in	volve a City Property Sale?			
[] Yes	[] No			
•	* * · •	ames and business addresses of the City officials tify the nature of the financial interest:		
Name	Business Address	Nature of Financial Interest		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts or

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

Page 9 of 15

behalf of the Disclosing Party with respect to the Matter.)

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party to	he Applicant?	
[] Yes	[] No	
If "Yes," answer the thre	ee questions be	elow:
 Have you developed federal regulations? (Se Yes 	e 41 CFR Part	ive on file affirmative action programs pursuant to applicable 60-2.)
_	or the Equal Enments?	rting Committee, the Director of the Office of Federal Contract mployment Opportunity Commission all reports due under the
[] Yes	[] No	[] Reports not required
3. Have you participate equal opportunity clause	· ·	ous contracts or subcontracts subject to the
[] Yes	[] No	
If you checked "No" to o	question (1) or	(2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Lion Halsted 3 LLC		
(Print or type exact legal name of Disclosing	ng Party)	
By:		
(Sign here)		
Brad Gold		
(Print or type name of person signing)		
Partner		
(Print or type title of person signing)		
	4/7/22	
Signed and sworn to before me on (date) _	414/22	
at Cook County, IL	(state).	
man M. SZ		OFFICIAL SEAL MATTHEW M SAFFAR
Notary Public		NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:06/07/23
Commission expires: $6/7/23$		·······
Commission expires: 6/7/23		

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

г **ж**т...

[] res	M] NO	
which such person	n is connected; (3) the nar	me and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to nip, and (4) the precise nature of such familial relationship.

г 137

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[] Yes	⋈ No	
• •	• • •	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[] Yes	[] No	The Applicant is not publicly traded on any exchange.
• , ,	offlaw or problem	entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes
[] No
N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.