



# City of Chicago



O2017-7034

Office of the City Clerk  
Document Tracking Sheet

**Meeting Date:** 10/11/2017

**Sponsor(s):** Emanuel (Mayor)

**Type:** Ordinance

**Title:** 2018 scope of services, budget and management agreement and amendment of 2017 levy, budget and service provider agreement for Special Service Area No. 16

**Committee(s) Assignment:** Committee on Finance



OFFICE OF THE MAYOR  
CITY OF CHICAGO

RAHM EMANUEL  
MAYOR

October 11, 2017

TO THE HONORABLE, THE CITY COUNCIL  
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing a scope of services, budget and management agreement for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

A handwritten signature in black ink that reads "Rahm Emanuel".

Mayor

## ORDINANCE

**WHEREAS**, special service areas may be established pursuant to Article VII, Sections 6(l) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

**WHEREAS**, on July 31, 1996, the City Council of the City of Chicago (the "City Council") enacted an ordinance (the "First Ordinance"), as further amended by an ordinance enacted by the City Council on November 20, 1996 (the "First Amendment Ordinance") and again on October 14, 2015 (the "Second Amendment Ordinance") (collectively, the "Amendment Ordinances") (the First Ordinance and the Amendment Ordinances are collectively referred to herein as the "Original Ordinance") which established an area known and designated as City of Chicago Special Service Area Number 16 (the "Area") and authorized the levy of an annual tax, for the period beginning in tax year 1996 through and including tax year 2016 (the "Original Period"), not to exceed an annual rate of one percent (1%) of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Original Special Services"); and

**WHEREAS**, on December 10, 2014, City Council enacted an ordinance (the "Levy Extension Ordinance") which (i) authorized certain special services in the Area distinct from the Original Special Services (the "Special Services"), and (ii) authorized the extension of the Original Period and of the levy of the Services Tax for the provision of the Special Services in the Area for a period through and including tax year 2028; and

**WHEREAS**, the Original Ordinance and the Levy Extension Ordinance are herein collectively referred to as the "Establishment Ordinance;" and

**WHEREAS**, the Second Amendment Ordinance authorized the decrease in the number of members of the Commission (as hereinafter defined) from sixteen (16) to ten (10); and

**WHEREAS**, the City of Chicago now desires to increase the number of members of the Commission from ten (10) to eleven (11); and

**WHEREAS**, certain funds in Fund 190 ("Fund 190") in the amount of \$85,566 are available for use in connection with the Area; and

**WHEREAS**, the Establishment Ordinance established the Area as that territory approximately bounded by Madison Street on the north, the John Fitzgerald Kennedy Expressway on the east, Congress Parkway on the south and Green Street on the west; and

**WHEREAS** the Special Services authorized in the Establishment Ordinance include but are not limited to: customer attraction, public way aesthetics, sustainability and public place

enhancements, economic/business development, safety programs, and other activities to promote commercial and economic development; and

**WHEREAS**, the Establishment Ordinance provided for the appointment of the Greektown/Halsted Street Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

**WHEREAS**, the Commission has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as Exhibit A and hereby made a part hereof; and

**WHEREAS**, on October 5, 2016 the City Council enacted an ordinance (the "2017 Appropriation, Levy, and Agreement Ordinance"), among other things, appropriating the sums necessary to provide the Special Services in and for the Area for 2017, levying the Services Tax for the tax year 2016, and authorizing an agreement with West Central Association, Inc., an Illinois not-for-profit corporation, as the Service Provider (the "2017 Service Provider"), for the provision of the Special Services in 2017; and

**WHEREAS**, pursuant to the 2017 Appropriation, Levy, and Agreement Ordinance the City and the 2017 Service Provider entered into a service provider agreement (the "2017 Service Provider Agreement"); and

**WHEREAS**, the City desires to increase the budget for Special Services in the Area in 2017 by \$90,000; and

**WHEREAS**, the City therefore desires to amend both the 2017 Appropriation, Levy, and Agreement Ordinance, as set forth below, and the 2017 Service Provider Agreement, pursuant to an amendment in substantially the form attached hereto as Exhibit B; now, therefore

**Be It Ordained by the City Council of the City of Chicago:**

**SECTION 1. Incorporation of Preambles.** The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

**SECTION 2. Appropriations for 2018.** There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the

Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

GREEKTOWN/HALSTED STREET SPECIAL SERVICE AREA  
SPECIAL SERVICE AREA BUDGET

For the fiscal year commencing January 1, 2018 and ending December 31, 2018:

EXPENDITURES

Service Provider Agreement for the provision of Special Services	\$899,254
<b>TOTAL BUDGET REQUEST</b>	<b>\$899,254</b>
<b>SOURCE OF FUNDING</b>	
Tax levy at an annual rate not to exceed one percent (1%) of the equalized assessed value of taxable property within Special Service Area Number 16	\$813,522
Fund 190	\$85,566
Carryover funds currently available from prior tax years	\$0
Late collections received by the City of Chicago attributable to the levy of the Services Tax in prior tax years, along with interest income thereon, if any	\$166

**SECTION 3. Levy of Taxes 2018 Appropriations.** There is hereby levied pursuant to the provisions of Article VII, Sections 6(a) and 6(l)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of the Establishment Ordinance, the sum of \$813,522 as the amount of the Services Tax for the tax year 2017.

**SECTION 4. Filing.** The City Clerk of the City (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 26, 2017, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City of Chicago, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2017 against all the taxable property within the Area, the amount

of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

**SECTION 5. Service Provider Agreement for 2018.** The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with West Central Association, Inc., an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

**SECTION 6. Amendment of 2017 Appropriation, Levy, and Agreement Ordinance.** Section 2 of the 2017 Appropriation, Levy, and Agreement Ordinance is hereby amended by deleting the language indicated by strikeout and adding the underlined language as follows:

**"SECTION 2. Appropriations.** There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

GREEKTOWN/HALSTED STREET SPECIAL SERVICE AREA  
SPECIAL SERVICE AREA BUDGET

For the fiscal year commencing January 1, 2017 and ending December 31, 2017:

	EXPENDITURES	
Service Provider Agreement for the provision of Special Services	<del>788,201</del>	<u>\$878,201</u>
<b>TOTAL BUDGET REQUEST</b>	<del>788,201</del>	<u>\$878,201</u>

**SOURCE OF FUNDING**  
Tax levy at an annual rate not to exceed one percent (1%) of the equalized assessed value of taxable property within

Special Service Area Number 16	\$703,670	
Fund 190	\$84,531	
Carryover funds currently available from prior tax years	\$0	<u>\$90,000</u>
Late collections received by the City of Chicago attributable to the levy of the Services Tax in prior tax years, along with interest income thereon, if any	\$00"	

**SECTION 7. Amendment to 2017 Service Provider Agreement.** The Commissioner, or a designee of the Commissioner, are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver an amendment to the 2016 Service Provider Agreement in substantially the form attached hereto as Exhibit B and hereby made a part hereof (the "2017 Service Provider Agreement Amendment"), and such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the 2017 Service Provider Agreement Amendment, with such changes, deletions and insertions as shall be approved by the persons executing the 2017 Service Provider Agreement Amendment.

**SECTION 8. Amendment to the Second Amendment Ordinance.** Section 4 of the Second Amendment Ordinance is hereby amended by deleting the language indicated by ~~strikeout~~ and adding the double-underlined language as follows:

"Section 7. Commission Authorized. There is hereby established the Greektown/Halsted Street Special Service Area Commission (the "Commission") which shall consist of ~~ten~~ eleven members. The Mayor, with the approval of the City Council, shall appoint the initial Commission members. Of the initial Commission members, five members shall be appointed to serve for two year terms, and ~~five~~ six members shall be appointed to serve for one year terms. Upon the expiration of the term of any Commission member, the Mayor, with the approval of City Council, shall appoint a successor Commission member. Other than the initial Commissioners, each Commission member shall be appointed to serve for a term of two years and until a successor is appointed. In the event of a vacancy on the Commission due to resignation, death, inability to serve, removal by the Mayor, or other reason, the Mayor, with the approval of City Council, shall appoint a successor. Each successor so appointed shall serve for the remaining term for which he/she was appointed. The Commission shall designate one member as the Chairman of the Commission, and he/she shall serve not more than two successive two year terms. The Commission may establish bylaws for its procedural operation.

The Commission shall have the powers delegated to it in Section 8 hereof. The terms and powers of the Commission members shall cease upon the termination of the time period for which the levy of the Services Tax is authorized. The members of the Commission shall serve

without compensation.”

**SECTION 9. Enforceability.** If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

**SECTION 10. Conflict.** This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

**SECTION 11. Publication.** This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

**SECTION 12. Effective Date.** This ordinance shall take effect 10 days after its passage and publication.



**EXHIBIT A**

**Budget**

# Exhibit A Budget

## Special Service Area # 16

Service Provider Agency:	WEST CENTRAL ASSOCIATION, INC.
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### 2018 BUDGET SUMMARY

Budget and Services Period: January 1, 2018 through December 31, 2018

CATEGORY	2017 Levy		Carry Over	TIF Rebate Fund #190	Estimated Late Collections and Interest	Total All Sources 2018 Budget
	Collectable Levy	Estimated Loss Collection				
1.00 Customer Attraction	\$301,000	\$0	\$0	\$0	\$0	\$301,000
2.00 Public Way Aesthetics	\$230,116	\$0	\$0	\$85,566	\$0	\$315,682
3.00 Sustainability and Public Places	\$0	\$0	\$0	\$0	\$0	\$0
4.00 Economic/ Business Development	\$140,000	\$0	\$0	\$0	\$0	\$140,000
5.00 Safety Programs	\$10,000	\$0	\$0	\$0	\$0	\$10,000
6.00 SSA Management	\$87,240	\$166	\$0	\$0	\$166	\$87,572
7.00 Personnel	\$45,000	\$0		\$0	\$0	\$45,000
	<b>Sub-total</b>	\$813,356	\$166			
<b>GRAND TOTALS</b>	<b>Levy Total</b>	<b>\$813,522</b>	<b>\$0</b>	<b>\$85,566</b>	<b>\$166</b>	<b>\$899,254</b>

#### LEVY ANALYSIS

Estimated 2017 EAV:	\$81,352,180
Authorized Tax Rate Cap:	1.000%
Maximum Potential Levy limited by Rate Cap:	\$813,522
Requested 2017 Levy Amount:	\$813,522
Estimated Tax Rate to Generate 2017 Levy:	1.00%

**EXHIBIT B**

2017 Service Provider Agreement Amendment

AMENDMENT  
*Special Service Area 16*

This Amendment ("Amendment") is made and entered into effective as of the \_\_\_ day of \_\_\_\_\_, 2017, by and between the CITY OF CHICAGO ("City"), a municipal corporation and home rule unit of local government existing under the Constitution of the State of Illinois, acting through the Special Service Area Commission at Chicago, Illinois, and West Central Association, Inc., an Illinois not-for-profit corporation ("Contractor").

BACKGROUND

WHEREAS, on July 31, 1996, the City Council of the City of Chicago (the "City Council") enacted an ordinance, as further amended by an ordinance enacted by the City Council on November 20, 1996 and again on October 14, 2015 which established an area known and designated as City of Chicago Special Service Area Number 16 (the "Area") and authorized the levy of an annual tax, for the period beginning in tax year 1996 through and including tax year 2016 (the "Original Period"), not to exceed an annual rate of one percent (1%) of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Original Special Services"); and

WHEREAS, on December 10, 2014, City Council enacted an ordinance (the "Levy Extension Ordinance") which (i) authorized certain special services in the Area distinct from the Original Special Services (the "Special Services"), and (ii) authorized the extension of the Original Period and of the levy of the Services Tax for the provision of the Special Services in the Area for a period through and including tax year 2028; and

WHEREAS, on October 5, 2016 the City Council enacted an ordinance (the "2017 Ordinance"), among other things, appropriating the sums necessary to provide the Special Services in and for the Area for 2017, levying the Services Tax for the tax year 2016, and authorizing a Service Provider Agreement (the "2017 Service Provider Agreement") with the Contractor as the service provider for the provision of the Special Services in 2017; and

WHEREAS, the Contractor and the City have entered into the 2017 Service Provider Agreement dated January 1, 2017, in which the Contractor is to perform certain Special Services for Special Service Area Number 16; and

WHEREAS, the amount of the Services Tax for tax year 2016 levied pursuant to the 2017 Ordinance was \$703,670; and

WHEREAS, certain surplus funds in the amount \$90,000 (the "Surplus Funds") have become available for use to provide Special Services in Special Service Area Number 16; and

WHEREAS, the City desires to ratify and approve the use of the Surplus Funds to provide Special Services by the Service Provider; and

WHEREAS, the Contractor and the City therefore desire to make certain changes to the 2017 Service Provider Agreement. The 2017 Service Provider Agreement requires that modifications to it must be made in writing and signed by both parties;

NOW THEREFORE, in consideration of the provisions and conditions set forth in the

2017 Service Provider Agreement, the parties do mutually agree to amend the 2017 Service Provider Agreement as set forth below.

It is agreed by and between the parties that the sole modifications of, changes in, and amendments to the Agreement are as follows:

1. Exhibit 1(A), Amended Budget for 2017, is attached to this Amendment as Attachment 1 and incorporated by reference.
2. The Agreement-Specific Information is hereby amended by deleting the language indicated by ~~strikeout~~ and adding the underlined language as follows:

Maximum compensation amount (see Agreement Section 5.01): ~~788,204~~  
\$878,201

Maximum amount (see Agreement Section 5.02): ~~788,204~~ \$878,201

Surplus Funds amount (see Agreement Section 5.01(b)): ~~\$0~~ \$90,000

3. Exhibit 2(A), Amended Economic Disclosure Statement and Affidavit, is attached to this Amendment as Attachment 2 and incorporated by reference.

All terms of the Agreement remain in full force and effect except as modified in this Amendment.

SIGNED:

CITY OF CHICAGO,

By: \_\_\_\_\_  
Commissioner, Department of Planning and Development

CONTRACTOR: WEST CENTRAL ASSOCIATION, INC.

By: \_\_\_\_\_  
(Signature)

Its: \_\_\_\_\_  
(Print Name and Title)

Attest: \_\_\_\_\_

Its: \_\_\_\_\_  
(Print Name and Title)

County of \_\_\_\_\_  
State of \_\_\_\_\_

Acknowledged on \_\_\_\_\_ [date] before me by \_\_\_\_\_ as  
\_\_\_\_\_ [title] of \_\_\_\_\_ [firm].

\_\_\_\_\_  
Notary Public  
Commission expires:

Attachment 1

Exhibit 1(A), Amended Budget for 2017

# Exhibit A

## Budget

### Special Service Area # 16

Service Provider Agency: West Central Association

#### AMENDED 2017 BUDGET SUMMARY

Budget and Services Period: January 1, 2017 through December 31, 2017

CATEGORY	2016 Levy		Carry Over	TIF Rebate: Fund # 190	Estimated Late Collections and Interest	2017 Budget
	Collectable Levy	Estimated Loss Collection				
1.00 Customer Attraction	\$174,210	\$0	\$20,000	\$20,000	\$0	\$214,210
2.00 Public Way Aesthetics	\$244,760	\$17,240	\$40,000	\$64,531	\$0	\$366,531
3.00 Sustainability and Public Places	\$0	\$0	\$0	\$0	\$0	\$0
4.00 Economic/ Business Development	\$140,000	\$0	\$30,000	\$0	\$0	\$170,000
5.00 Safety Programs	\$0	\$0	\$0	\$0	\$0	\$0
6.00 SSA Management	\$37,460	\$0	\$0	\$0	\$0	\$37,460
7.00 Personnel	\$90,000	\$0		\$0	\$0	\$90,000
	<b>Sub-total</b>	\$686,430	\$17,240			
<b>GRAND TOTALS</b>	<b>Levy Total</b>	<b>\$703,670</b>	<b>\$90,000</b>	<b>\$84,531</b>	<b>\$0</b>	<b>\$878,201</b>

#### LEVY ANALYSIS

Estimated 2016 EAV:	\$70,369,634
Authorized Tax Rate Cap:	1.000%
Maximum Potential Levy limited by Rate Cap:	\$703,696



Attachment 2

Exhibit 2(A), Amended Economic Disclosure Statement and Affidavit 2017

16

(16)  
2018  
budget

CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

WEST CENTRAL ASSOCIATION INC.,

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  the Applicant

OR

2.  a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: \_\_\_\_\_

OR

3.  a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: \_\_\_\_\_

B. Business address of the Disclosing Party:

917 WEST WASHINGTON BLVD #173

CHICAGO, IL 60607

C. Telephone: 312.902.4922

Fax: \_\_\_\_\_

Email: INFO@WCACHICAGO.ORG

D. Name of contact person: RODERICK J BURCH JR

E. Federal Employer Identification No. (if you have one): \_\_\_\_\_

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

TO ALLOW WEST CENTRAL ASSOCIATION INC., TO ENTER INTO A CONTRACT WITH THE CITY OF CHICAGO TO PROVIDE SPECIAL SERVICES WITHIN THE SSA #16.

G. Which City agency or department is requesting this EDS? PLANNING AND DEVELOPMENT

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_\_\_ and Contract # \_\_\_\_\_

**SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

**A. NATURE OF THE DISCLOSING PARTY**

1. Indicate the nature of the Disclosing Party:

- |   |   |
|---|---|
| <input type="checkbox"/> Person                                   | <input type="checkbox"/> Limited liability company                  |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership              |
| <input type="checkbox"/> Privately held business corporation      | <input type="checkbox"/> Joint venture                              |
| <input type="checkbox"/> Sole proprietorship                      | <input checked="" type="checkbox"/> Not-for-profit corporation      |
| <input type="checkbox"/> General partnership                      | (Is the not-for-profit corporation also a 501(c)(3))?               |
| <input type="checkbox"/> Limited partnership                      | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> Trust                                    | <input type="checkbox"/> Other (please specify)                     |
- 

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

ILLINOIS

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3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes  No  Organized in Illinois

**B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:**

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

**NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
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SEE ATTACHED LIST

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NO MEMBERS

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2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a



**West Central Association Board of Directors**

**OFFICERS**

**President:**

**Armando Chacon**

**Treasurer:**

**Calvin Gin**

**1st Vice President:**

**Harry Huzenis**

**2nd Vice President:**

**Marc Koronkiewicz**

**3rd Vice President:**

**Steve Homrich**

**Secretary:**

**Mark Nelson**

**Executive Director**

**Roderick Burch Jr.**

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**BOARD OF  
DIRECTORS**

**Larry Adelson**

**Michael Apa**

**Max Bechina**

**Marcus Betts**

**Richard Bridgford**

**Thomas Broderick**

**Tom Moro**

**Marc Bushala**

**John Neurauter**

**Michelle Carrera**

**Marisa Novara**

**Zack Cupkovic**

**Joey Panfil**

**Thomas E. Comforte**

**Susan Rothman**

**Rodrigo d'Escoto**

**Meg Sahs**

**Michael Ezgur**

**Clint Paton**

**Steven Greenberg**

**Stephen Ross**

**Paige Hennessy**

**Jacquie Russell**

**A.G. Hollis**

**Michael Semenzin**

**Almedin Kahvedzic**

**John Sochacz**

**Fred Krol**

**Dominic Soltero**

**Rita McGovern**

**Greg Terwillinger**

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
NONE		

### SECTION III – INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?  Yes  No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS?  Yes  No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes  No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

### SECTION IV – DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees ( <u>indicate whether paid or estimated.</u> ) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
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SEE ATTACHED LIST

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(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

**SECTION V -- CERTIFICATIONS**

**A. COURT-ORDERED CHILD SUPPORT COMPLIANCE**

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes     No     No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes     No

**B. FURTHER CERTIFICATIONS**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

List of 2018 Retained Parties for  
Greektown SSA #16

Name	Business Address	Relationship	Fees	Notes
306-308 S. Halsted LLC	306 South Halsted Street Chicago Illinois 60661	SubContractor - SSA Office Rent	\$8,280	Estimated
AMA Marketing Corporation	250 E 167th Street Harvey IL 60426	SubContractor - Sidewalk Cleaning Services	\$12,000	Estimated
Bravos & Associates Chicago Greektown Educational Foundation	324 Ridgewood Drive Bloomingdale, IL 60108	SubContractor - Accounting Services	\$3,400	Estimated
Chicago Sculpture Exhibit	801 W. Madison St. Chicago, IL 60607	Advertising	\$15,000	Estimated
Chicago Special Events Management Inc	c/o Alderman Tom Tunney 3223 N. Sheffield, Suite B Chicago IL 60657	SubContractor - Public Art Events	\$7,000 \$20,000	Estimated Estimated
Chicago Trolley Company	2221 W. 43rd Street, Chicago, IL 60609 106 Calendar Ct Suite 134	SubContractor - trolley services	\$100,000	Estimated
Chris Hurd Photography	LaGrange Illinois 60525	Special Events	\$3,000	Estimated
City of Chicago Department of Transporation	831 N. California Ave., Apt #2 Chicago, IL 60622	Landscaping	\$34,000	Estimated
Connie Hinkle	121 North LaSalle Street Room #107, Chicago, IL 60602	Subcontractor - Public Art	10,000	Estimated
CW Strategies, LLC	1907 N. Milwaukee Ave., #301, Chicago, IL 60647	SubContractor - Way Finding/Signage	\$18,000	Estimated
Enosis	4920 North Newland Ave Chicago, IL 60656 733 Less Street	Advertising	\$1,200	Estimated
FASTSIGNS West Loop	Des Plaines Illinois 60016	Printing	\$3,000	Estimated
Linchpin SEO	118 North Halsted Street Suite 1, Chicago, IL 60661	Website	\$15,000	Estimated
Maragos & Maragos LTD	130 South Canal Street Chicago, IL 60606 1 North LaSalle Street Suite 2200 Chicago IL 60602	SubContractor - administrative Services	\$22,500	Estimated
Michael Roti	715 N Grandview Lake Forest, IL 60045	SubContractor - Bookkeeping services	\$3,000	Estimated
Mission Press	1146 W. Jackson Blvd., Chicago, IL 60607	Printing & Public Art	\$15,000	Estimated
Orland Statuary	1801 N. Central Park Ave., Chicago, IL 60647	Public Art	\$20,000	Estimated
PMEA Communications LLC	Windy City Greek P.O. Box 344 Elmhurst, IL 60126	Advertising	\$3,000	Estimated
Poulopoulos & Associates, Inc.	2140 White Oak Circle, Northbrook, IL 60062	Printing	\$4,000	Estimated
Pressure Washing Systems	1615 S 55th Ave Chicago, IL 60804	Sidewalk - Pressure washing	\$15,000	Estimated
QC Enterprises Inc	2722 South Hllock Chicago Illinois 60608	Subcontractor - Decorations Holiday	\$20,000	Estimated
Rummel & Beaugard Risk Services	180 North LaSalle Street Suite 3100 Chicago Illinois 60601	SubContractor - Insurance	\$3,500	Estimated
Rummel Associates, Inc.	180 N. LaSalle Street, Suite 3100, Chicago, IL 60601	Insurance	\$1,200	Estimated
Susan Downs	1 West Oak Ave., Suite GD Lagrange Park, IL 60526	SubContractor - administrative Services	\$30,000	Estimated
The Lakota Group	116 West Illinois Street, Floor 7 Chicago, IL 60654	Strategic Planning & Site Marketing	\$40,000	Estimated



Travelers	PO Box 660317	SubContractor - Insurance	\$5,000	Estimated
W.G.N Flag Co.	Dallas Texas 75266-0317	SubContractor - Banners/Flag	\$10,000	Estimated
	7984 S. Chicago Ave Chicago, IL 60617	SubContractor - PR/Media		
Zapwater Communication	118 N. Peoria Street, Suite 4N Chicago,IL 60607	Relations & Social Media	\$156,000	Estimated

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
  - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
  - c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
  - d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NONE

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13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

NONE

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### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)  
 is  is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

NA

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
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4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

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**SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS**

**NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.**

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

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(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes                       No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes                       No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes                       No                       Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes                       No

If you checked "No" to question (1) or (2) above, please provide an explanation:

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## SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.



**CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

WEST CENTRAL ASSOCIATION INC.,

(Print or type exact legal name of Disclosing Party)

By: *Roderick J Burch Jr*  
(Sign here)

RODERICK J BURCH JR

(Print or type name of person signing)

EXECUTIVE DIRECTOR

(Print or type title of person signing)

Signed and sworn to before me on (date) August 31 2017

at Cook County, Cook (state), Illinois

*Lloyd Lett*  
Notary Public



Commission expires: 05/24/20

**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS  
AND DEPARTMENT HEADS**

**This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.**

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes                       No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes                       No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes                       No                       The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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