

Office of the Chicago City Clerk



R2011-1365

Office of the City Clerk

City Council Document Tracking Sheet

Meeting Date:

11/16/2011

Sponsor(s):

Laurino, Margaret (39)

Type:

Resolution

Title:

Support of Class 6(b) tax incentive for property at 5740 N

Tripp Ave

Committee(s) Assignment:

Committee on Economic, Capital and Technology

Development

Evon Dev.

RESOLUTION CLASS 6(B) REAL ESTATE TAX INCENTIVE FOR THE BENEFIT OF LSL INDUSTRIES, INC., AN ILLINOIS CORPORATION, AND REAL ESTATE LOCATED GENERALLY AT 5740 NORTH TRIPP AVENUE IN CHICAGO, ILLINOIS PURSUANT TO COOK COUNTY, ILLINOIS REAL PROPERTY ASSESSMENT CLASSIFICATION ORDINANCE

WHEREAS, the Cook County Board of Commissioners has enacted the Cook County Real Property Assessment Classification Ordinance, as amended from time to time (the "Ordinance"), which provides for, among other things, real estate tax incentives to property owners who build, rehabilitate, enhance and occupy property which is located within Cook County and which is used primarily for industrial purposes; and

WHEREAS, the City of Chicago (the "City"), consistent with the Ordinance, wishes to induce industry to locate and expand in the City by supporting financial incentives in the form of property tax relief; and

WHEREAS, LSL Industries, Inc., an Illinois corporation (the "Applicant"), intends to purchase certain real estate located generally at 5740 South Tripp Avenue, Chicago, Illinois 60646, as further described on Exhibit A hereto (the "Subject Property"); and

WHEREAS, the Applicant intends to rehabilitate an approximately 75,309 square foot abandoned structure on the Subject Property; and

WHEREAS, the Applicant has filed with the Office of the Assessor of Cook County (the "Assessor") an eligibility application for a Class 6(b) tax incentive under the Ordinance; and

WHEREAS, the Subject Property is located within (i) the City of Chicago Enterprise Zone No. 4 (created pursuant to the Illinois Enterprise Zone Act, 20 ILCS 665/1 et seq., as amended, and pursuant to an ordinance enacted by the City Council of the City, as amended) and (ii) the Peterson/Pulaski Industrial Corridor Redevelopment Project Area (created pursuant to the Illinois Tax Increment Allocation Redevelopment Act, 65 ILCS 5/11-74.4-1 et. seq., as amended, and pursuant to an ordinance enacted by the City Council of the City), and the purposes of the Enterprise Zone and Redevelopment Project Areas are also to provide certain incentives in order to stimulate economic activity and to revitalize depressed areas; and

WHEREAS, it is the responsibility of the Assessor to determine that an application for a Class 6(b) classification or renewal of a Class 6(b) classification is eligible pursuant to the Ordinance; and

WHEREAS, the Ordinance requires that, in connection with the filing of a Class 6(b) eligibility application with the Assessor, the applicant must obtain from the municipality in which such real estate that is proposed for Class 6(b) designation is located a resolution expressly stating that the municipality has determined that the incentive provided by Class 6(b) is necessary for development to occur on such real estate and that the municipality supports and consents to the Class 6(b) classification by the Assessor; and

WHEREAS, the intended use of the Subject Property will provide significant present and future employment; and

WHEREAS, notwithstanding the Class 6(b) status of the Subject Property, the redevelopment and utilization thereof will generate significant new revenues to the City in the form of additional real estate taxes and other tax revenues; now therefore,

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1: That the City determines that the incentive provided by Class 6(b) is necessary for the development to occur on the Subject Property.

SECTION 2: That the City supports and consents to the Class 6(b) classification by the Assessor with respect to the Subject Property.

SECTION 3: That the Clerk of the City of Chicago is authorized to and shall send a certified copy of this resolution to the Office of the Cook County Assessor, Room 312, County Building, Chicago, Illinois 60602 and a certified copy of this resolution may be included with the Class 6(b) eligibility application filed with the Assessor by the Applicant, as applicant, in accordance with the Ordinance.

SECTION 4: That this resolution shall be effective immediately upon its passage and approval.

Honorable Margaret Laurino Alderman, 39th Ward

EXHIBIT A

Legal Description of Subject Property

See Attached Legal Description

Common Address: 5740 North Tripp Avenue, Chicago, Illinois 60646

Permanent Real Estate Tax Index Number (PINs) for the Subject Property:

13-03-404-024-0000

13-03-404-025-0000

13-03-404-026-0000

13-03-404-029-0000

13-03-405-041-0000

EXHIBIT A

(Legal Description)

PARCEL "A":

A PARCEL OF LAND CONSISTING OF A PART OF EACH OF LOTS 9 AND 10 IN COOK SUBDIVISION OF THAT PART OF THE EAST HALF OF FRACTIONAL SECTION 3, TOWNSHIP 40 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTH OF THE INDIAN BOUNDARY LINE, SAID PARCEL OF LAND BEING BOUNDED AND DESCRIBED AS FOLLOWS; BEGINNING AT THE POINT OF INTERSECTION OF THE WEST LINE OF THE EAST 1562.81 FEET OF SAID EAST HALF OF FRACTIONAL SECTION 3 WITH THE NORTH LINE OF A 20 FOOT EASEMENT FOR A RAILROAD TRACK (THE NORTH LINE OF SAID EASEMENT BEING THE NORTH LINE OF SOUTH 1117.888 FEET OF SAID EAST HALF OF FRACTIONAL SECTION 3) AND RUNNING THENCE WEST ALONG THE NORTH LINE OF SAID EASEMENT. A DISTANCE OF 14.59 FEET TO A POINT OF CURVE IN THE NORTH LINE THEREOF; THENCE CONTINUING ALONG THE NORTHERLY LINE OF SAID EASEMENT, BEING HERE THE ARC OF A CIRCLE TANGENT TO THE LAST DESCRIBED COURSE, CONVEX TO THE NORTH WEST AND HAVING A RADIUS OF 297.94 FEET, AN ARC DISTANCE OF 200.87 FEET TO ITS INTERSECTION WITH THE EASTERLY LINE OF THE RIGHT OF WAY, 100 FEET WIDE, OF THE CHICAGO AND NORTHWESTERN RAILWAY COMPANY (SOMETIMES KNOWN AS THE JUNCTION RAILWAY) WHICH EASTERLY RIGHT OF WAY LINE IS 50 FEET, MEASURED PERPENDICULARLY, EASTERLY FROM AND PARALLEL WITH THE CENTER LINE BETWEEN TRACKS OF SAID RAILWAY: THENCE SOUTH WESTWARDLY ALONG SAID EASTERLY RIGHT OF WAY LINE, A DISTANCE OF 98.74 FEET TO ITS INTERSECTION WITH A LINE DRAWN PERPENDICULAR TO THE WEST LINE OF THE EAST 1562.81 FEET OF SAID EAST HALF OF FRACTIONAL SECTION 3 THROUGH A POINT ON SAID WEST LINE OF THE EAST 1562.81 FEET WHICH IS 968.77 FEET NORTH FROM THE SOUTH LINE OF SAID EAST HALF OF FRACTIONAL SECTION 3; THENCE EAST ALONG SAID PERPENDICULAR LINE, A DISTANCE OF 0.16 FEET TO ITS INTERSECTION WITH THE SOUTHERLY LINE OF SAID EASEMENT, SAID LAST MENTIONED POINT OF INTERSECTION BEING 251.00 FEET WEST FROM SAID WEST LINE OF THE EAST 1562.81 FEET OF THE EAST HALF OF FRACTIONAL SECTION 3; THENCE NORTHEASTERLY ALONG THE SOUTHERLY LINE OF SAID EASEMENT. BEING HERE THE ARC OF A CIRCLE, CONVEX TO THE NORTH WEST, HAVING A RADIUS OF 277.94 FEET AND BEING CONCENTRIC WITH THE NORTHERLY LINE OF SAID EASEMENT, AN ARC DISTANCE OF 281.15 FEET TO ITS POINT OF TANGENCY WITH THE NORTH LINE OF THE SOUTH 1097.888 FEET OF SAID EAST HALF OF FRACTIONAL SECTION 3, SAID POINT OF TANGENCY BEING 14.70 FEET WEST FROM SAID WEST LINE OF THE EAST 1562.81 FEET OF THE EAST HALF OF FRACTIONAL SECTION 3; THENCE

CONTINUING EAST ALONG THE SOUTH LINE OF SAID EASEMENT BEING HERE A STRAIGHT LINE, SAID DISTANCE OF 14.70 FEET TO THE WEST LINE OF THE EAST 1562.81 FEET OF THE EAST 1/2 OF FRACTIONAL SECTION 3; AND THENCE NORTH ALONG SAID WEST LINE OF THE EAST 1562.81 FEET OF THE EAST HALF OF FRACTIONAL SECTION 3/ A DISTANCE OF 20.0 FEET TO THE POINT OF BEGINNING.

PARCEL "B":

A PARCEL OF LAND CONSISTING OF A PART OF EACH OF LOTS 9 AND 10 IN COOK'S SUBDIVISION OF THAT PART OF THE EAST HALF OF FRACTIONAL SECTION 3, TOWNSHIP 40 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTH OF THE INDIAN BOUNDARY LINE, SAID PARCEL OF LAND BEING BOUNDED AND DESCRIBED AS FOLLOWS:

BEGINNING AT THE POINT OF INTERSECTION OF THE WEST LINE OF THE EAST 1562.81 FEET OF SAID EAST HALF OF FRACTIONAL SECTION 3 WITH THE SOUTH LINE OF A 20 FOOT EASEMENT FOR A RAILROAD TRACK (THE SOUTH LINE OF SAID EASEMENT BEING HERE THE NORTH LINE OF THE SOUTH 1097.888 FEET OF SAID EAST HALF OF FRACTIONAL SECTION 3) AND RUNNING THENCE SOUTH ALONG SAID WEST LINE OF THE EAST 1562.81 FEET OF THE EAST HALF OF FRACTIONAL SECTION 3. A DISTANCE OF 129.118 FEET TO A POINT WHICH IS 968.77 FEET NORTH FROM THE SOUTH LINE OF SAID EAST HALF OF FRACTIONAL SECTION 3; THENCE WEST ALONG A LINE PERPENDICULAR TO THE LAST DESCRIBED COURSE, A DISTANCE OF 251.00 FEET TO ITS INTERSECTION WITH THE SOUTHERLY LINE OF SAID 20 FOOT EASEMENT FOR A RAILROAD TRACK: THENCE NORTH EASTWARDLY ALONG THE SOUTHERLY LINE OF SAID EASEMENT. BEING HERE THE ARC OF A CIRCLE CONVEX TO THE NORTH WEST AND HAVING A RADIUS OF 277.94 FEET AN ARC DISTANCE OF 281.15 FEET TO ITS POINT OF TANGENCY WITH SAID NORTH LINE OF THE SOUTH 1097.888 FEET OF SAID EAST HALF OF FRACTIONAL SECTION 3, SAID POINT OF TANGENCY BEING 14.70 FEET WEST FROM THE POINT OF BEGINNING AND THENCE CONTINUING EAST ALONG THE SOUTH LINE OF SAID EASEMENT BEING HERE A STRAIGHT LINE SAID DISTANCE OF 14.70 FEET TO THE POINT OF BEGINNING, ALL IN COOK COUNTY, ILLINOIS.

PARCEL "C":

A PARCEL OF LAND CONSISTING OF A PART OF EACH OF LOTS 9 AND 10 IN COOK'S SUBDIVISION OF THAT PART OF THE EAST HALF OF FRACTIONAL SECTION 3, TOWNSHIP 40 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTH OF THE INDIAN BOUNDARY LINE, SAID PARCEL OF LAND BEING BOUNDED AND DESCRIBED AS FOLLOWS:

BEGINNING AT THE POINT OF INTERSECTION OF THE WEST LINE OF THE EAST 1562.81 FEET OF SAID EAST HALF OF FRACTIONAL SECTION 3 WITH

THE NORTH LINE OF A 20 FOOT EASEMENT FOR A RAILROAD TRACK (THE NORTH LINE OF SAID EASEMENT BEING THE NORTH LINE OF THE SOUTH 1117.888 FEET OF SAID EAST HALF OF FRACTIONAL SECTION 3) RUNNING THENCE WEST ALONG THE NORTH LINE OF SAID EASEMENT A DISTANCE OF 14.59 FEET TO A POINT OF CURVE IN THE NORTH LINE THEREOF; THENCE CONTINUING ALONG THE NORTHERLY LINE OF SAID EASEMENT, BEING HERE THE ARC OF A CIRCLE, TANGENT TO THE LAST DESCRIBED COURSE, CONVEX TO THE NORTH WEST AND HAVING A RADIUS OF 297.94 FEET, AN ARC DISTANCE OF 200.87 FEET TO ITS INTERSECTION WITH THE EASTERLY LINE OF THE RIGHT OF WAY 100 FEET WIDE, OF THE CHICAGO AND NORTHWESTERN RAILWAY COMPANY (SOMETIMES KNOWN AS THE JUNCTION RAILWAY) WHICH EASTERLY RIGHT OF WAY LINE IS 50 FEET MEASURED PERPENDICULARLY, EASTERLY FROM AND PARALLEL WITH THE CENTER LINE BETWEEN TRACKS OF SAID RAILWAY; THENCE NORTHEASTWARDLY ALONG SAID EASTERLY RIGHT OF WAY LINE, BEING ALSO THE WESTERLY LINE OF THE LAND CONVEYED BY INSTRUMENT RECORDED IN THE RECORDER'S OFFICE OF COOK COUNTY, ILLINOIS, AS DOCUMENT 17158314. A DISTANCE OF 308.24 FEET TO THE POINT OF CURVE IN SAID WESTERLY LINE; THENCE CONTINUING NORTHEASTWARDLY ALONG THE WESTERLY AND NORTHWESTERLY LINE OF THE LAND SO CONVEYED. BEING HERE THE ARC OF A CIRCLE CONVEX TO THE NORTH WEST AND HAVING A RADIUS OF 345.88 FEET, AN ARC DISTANCE OF 74.05 FEET TO ITS INTERSECTION WITH SAID WEST LINE OF THE EAST 1562.81 FEET OF THE EAST HALF OF FRACTIONAL SECTION 3, SAID POINT OF INTERSECTION BEING 260.56 FEET NORTH FROM THE PLACE OF BEGINNING AND THENCE SOUTH ALONG SAID WEST LINE OF THE EAST 1562.81 FEET OF THE EAST HALF OF FRACTIONAL SECTION 3, SAID DISTANCE OF 260.56 FEET TO THE PLACE OF BEGINNING, ALL IN COOK COUNTY, ILLINOIS.

PARCEL "D":

A PART OF EACH OF LOTS 9, 10 AND 11 IN COOK'S SUBDIVISION OF THAT PART OF THE EAST 1/2 OF FRACTIONAL SECTION 3, TOWNSHIP 40 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTH OF THE INDIAN BOUNDARY LINE, WHICH PART OF SAID LOTS IS MORE PARTICULARLY DESCRIBED AS FOLLOWS: BEGINNING AT THE POINT OF INTERSECTION OF THE NORTH LINE OF THE SOUTH 740.77 FEET OF SAID EAST 1/2 WITH THE WEST LINE OF THE EAST 1562.81 FEET OF SAID EAST 1/2 AND RUNNING THENCE NORTH ALONG THE WEST LINE OF THE EAST 1562.81 FEET OF SAID EAST 1/2, A DISTANCE OF 228.00 FEET; THENCE WEST ALONG A LINE PERPENDICULAR TO SAID WEST LINE OF THE EAST 1562.81 FEET, A DISTANCE OF 251.16 FEET TO ITS INTERSECTION WITH THE EASTERLY LINE OF THE RIGHT OF WAY 100 FEET WIDE OF THE CHICAGO AND NORTHWESTERN RAILWAY COMPANY (SOMETIMES KNOWN AS THE JUNCTION RAILWAY), WHICH EASTERLY LINE IS 50 FEET MEASURED PERPENDICULARLY EASTERLY FROM AND PARALLEL WITH THE CENTER LINE BETWEEN THE TRACKS OF SAID RAILWAY; THENCE SOUTHWESTWARDLY ALONG SAID EASTERLY RIGHT OF WAY LINE A DISTANCE OF 262.38 FEET TO ITS INTERSECTION WITH SAID NORTH LINE OF THE SOUTH 740.77 FEET OF SAID EAST 1/2 AND THENCE EAST ALONG SAID NORTH LINE OF THE SOUTH 740.77 FEET, A DISTANCE OF 384.61 FEET TO THE POINT OF BEGINNING, IN COOK COUNTY, ILLINOIS.

PARCEL E:

A PART OF LOTS 9, 10 AND 11 IN COOK'S SUBDIVISION OF THAT PART OF THE EAST 1/2 OF FRACTIONAL SECTION 3, TOWNSHIP 40 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING SOUTH OF THE INDIAN BOUNDARY LINE, WHICH PART OF SAID LOTS IS MORE PARTICULARLY DESCRIBED AS FOLLOWS: BEGINNING AT THE POINT OF INTERSECTION OF THE WEST LINE OF THE EAST 1562.81 FEET OF THE EAST 1/2 OF SAID FRACTIONAL SECTION 3 WITH THE NORTH LINE OF THE SOUTH 740.77 FEET OF SAID EAST 1/2, AND RUNNING THENCE SOUTH ALONG SAID WEST LINE OF THE EAST 1562.81 FEET, A DISTANCE OF 50.00 FEET TO ITS INTERSECTION WITH THE NORTH LINE OF THE SOUTH 690.77 FEET OF SAID EAST 1/2; THENCE WEST ALONG SAID NORTH LINE OF THE SOUTH 690.77 FEET, A DISTANCE OF 414,05 FEET TO ITS INTERSECTION WITH THE EASTERLY LINE OF THE RIGHT OF WAY (100 FEET WIDE) OF THE CHICAGO AND NORTHWESTERN RAILWAY COMPANY (SOMETIMES KNOWN AS THE JUNCTION RAILWAY), WHICH EASTERLY LINE IS 50 FEET (MEASURED PERPENDICULARLY) EASTERLY FROM AND PARALLEL WITH THE CENTER LINE BETWEEN THE MAIN TRACKS OF SAID RAILWAY; THENCE NORTHEASTWARDLY ALONG SAID EASTERLY RIGHT OF WAY LINE. A DISTANCE OF 57.89 FEET TO ITS INTERSECTION WITH SAID NORTH LINE OF THE SOUTH 740.77 FEET OF SAID EAST 1/2 AND THENCE EAST ALONG SAID NORTH LINE OF THE SOUTH 740.77 FEET, A DISTANCE OF 384.61 FEET TO THE POINT OF BEGINNING, ALL IN COOK COUNTY, ILLINOIS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION 1 -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
LSL Industrier, Inc.
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [v] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 5575 N. Wolcott Ave.
chicago, IL 60640
C. Telephone: (773) A78-1100 Fax: (773) A78-9100 Email: Juthre a lslind.com
D. Name of contact person: Ash Luthra
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Acquisition of industrial real property located at 5740-10 N. Trippichicapo, IL 60644 for the intent on expending manufacturing operations in chicago and relocating other warehasing functions currently autside chicago into the city.
G. Which City agency or department is requesting this EDS? Department of Housings Economic De suppress.
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # NA and Contract # NA

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

Y
[] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
country) of incorporation or organization, if applicable:
state of Illinois: Has the organization registered to do tity?
[\dagger] N/A
AL ENTITY:
all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below I partnership, limited liability company, limited liability ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf.
Title Parad to
Corp. Secretary / Treasurer
on concerning each person or entity having a direct or

Page 2 of 13

indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	refeelinge mierest in the
		Disclosing Party
		. •
Ash Luthra	5535 N. Wolcott Ave.	100%
•	Chicago, IL 60640	
SECTION III B	SUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	ng Party had a "business relationshi y elected official in the 12 months b	ip," as defined in Chapter 2-156 of the Municipal efore the date this EDS is signed?
[]Yes	[No	
If yes, please identi relationship(s):	fy below the name(s) of such City e	elected official(s) and describe such

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
N/A			
(Add sheets if necessary)			
Check here if the Disc	losing Party h	as not retained, nor expects to retain	n, any such persons or entities
SECTION V CERTII	FICATIONS		
A. COURT-ORDERED	CHILD SUPF	PORT COMPLIANCE	
-		-415, substantial owners of business th their child support obligations thr	
-	•	tly owns 10% or more of the Disclosons by any Illinois court of compete	9
[]Yes [N		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person e is the person in compliance		court-approved agreement for paymereement?	ent of all support owed and
[]Yes []N	o		
B. FURTHER CERTIFIC	CATIONS		
consult for defined terms submitting this EDS is the certifies as follows: (i) ne	(e.g., "doing be Applicant and the Applicant and	opter 1-23, Article I ("Article I")(who business") and legal requirements), it is doing business with the City, the icant nor any controlling person is controlled to the convicted of, or placed under the convicted of, or placed under the convicted of, or placed under the convicted of the convic	if the Disclosing Party ten the Disclosing Party currently indicted or charged

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- [] is [Tis not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [YN

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or em any other person or en for taxes or assessmen "City Property Sale")	ployee shall have a financial inte ntity in the purchase of any prope nts, or (iii) is sold by virtue of leg	e bidding, or otherwise permitted, no City rest in his or her own name or in the name of city that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain power hing of this Part D.
Does the Matter invo	ive a City Property Sale?	
[]Yes	[1] No	
	"Yes" to Item D.1., provide the shaving such interest and identif	names and business addresses of the City y the nature of such interest:
Name	Business Address	Nature of Interest
E. CERTIFICATION Please check either disclose below or in a comply with these disconnection with the Market Disclosing Party a from slavery or slaver issued to slaveholders the Disclosing Party has folicies. The Disclosing Policies.	REGARDING SLAVERY ERA 1. or 2. below. If the Disclosing nattachment to this EDS all info closure requirements may make a fatter voidable by the City. g Party verifies that the Disclosing nd any and all predecessor entition and any and all predecessor entition that provided coverage for dama as found no such records. g Party verifies that, as a result of ound records of investments or pring Party verifies that the following party verifies the following party verifies that the following party verifies the following party verifies that the following party verifies the following party verifies the following part	BUSINESS g Party checks 2., the Disclosing Party must remation required by paragraph 2. Failure to any contract entered into with the City in any contract entered into with the City in any contract entered into with the City in the slavery era (including insurance policies age to or injury or death of their slaves), and of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ang constitutes full disclosure of all such laveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying			
Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with			
respect to the Matter: (Add sheets if necessary):			
NA			
no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None"			
pear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities			
egistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the			
Disclosing Party with respect to the Matter.)			

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

negotiations.	
Is the Disclosing Party the A	applicant?
[¶Yes	[] No
If "Yes," answer the three qu	uestions below:
federal regulations? (See 41	and do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.) [] No
Contract Compliance Progra under the applicable filing re	the Joint Reporting Committee, the Director of the Office of Federal ams, or the Equal Employment Opportunity Commission all reports due equirements? No
equa opportunity clause?	in any previous contracts or subcontracts subject to the
	tion 1. or 2. above, please provide an explanation: poired to file reports with any jurisdictions cited
SECTION VII – ACKNO COMPLIANCE, PENALT	WLEDGMENTS, CONTRACT INCORPORATION, IES, DISCLOSURE
The Disclosing Party underst	tands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, 1L 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	
By: Karta Sulfra (Sign here)	
(Print or type name of person signing)	
Corporate Secretary + Treasurer (Print or type title of person signing)	
Signed and sworn to before me on (date) Sof 27.70, at County, I (state). Notary Public.	<u>/_</u> ,
Commission expires: July 15, 2013.	OFFICIAL SEAL Ajla Rosic Notary Public, State of Illinois Cook County My Commission Expires July 15, 2013

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

4

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[¶No	
such person is connec	eted; (3) the name and title of the	of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nature of such familial relationship.