

## City of Chicago



O2017-3851

# Office of the City Clerk **Document Tracking Sheet**

**Meeting Date:** 5/24/2017

Sponsor(s): Misc. Transmittal

Type: Ordinance

Zoning Reclassification Map No. 6-G at 2601-2639 S Throop St, 2553-2579 S Hillock Ave and 2512-2536 S Stark St - App Title:

No. 19249T1

**Committee(s) Assignment:** Committee on Zoning, Landmarks and Building Standards

# 19249 TI INTRO DATE: MAY 24,2017

## **ORDINANCE**

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1**: Title 17 of the Municipal Code of Chicago, Chicago Zoning Ordinance, is amended by changing all the M1-2 Limited Manufacturing/Business Park District symbols and indications as shown on Map No. 6-G in the area bounded by:

South Hillock Avenue;

The alley next southwest of and parallel to South Stark Street (or a line 100 feet southwest of and parallel to South Stark Street where no alley exists);

The alley next southeast of and parallel to South Hillock Avenue;

South Stark Street (as extended where no street exists);

The northwest line of the Joliet and Chicago Railroad;

South Throop Street

to those of B2-3 Neighborhood Mixed-Use District, which is hereby established in the area described.

**SECTION 2**: This ordinance shall take effect upon its passage and due publication.

### **NARRATIVE AND PLANS**

Re: 2601–2639 S. Throop St.; 2553–2579 S. Hillock Ave.; 2512–2536 S. Stark St.

The Applicant seeks a change in zoning from M1-2 Limited Manufacturing/Business Park District to B2-3 Neighborhood Mixed-Use District.

The applicant proposes to build a new residential development with 20 single family homes and 27 townhomes, 94 garage spaces, and 18 additional off-street parking spaces.

Entire Site Lot Area:	121,022 square feet entire site
Entire Site Maximum Floor Area Ratio:	3.0 entire site

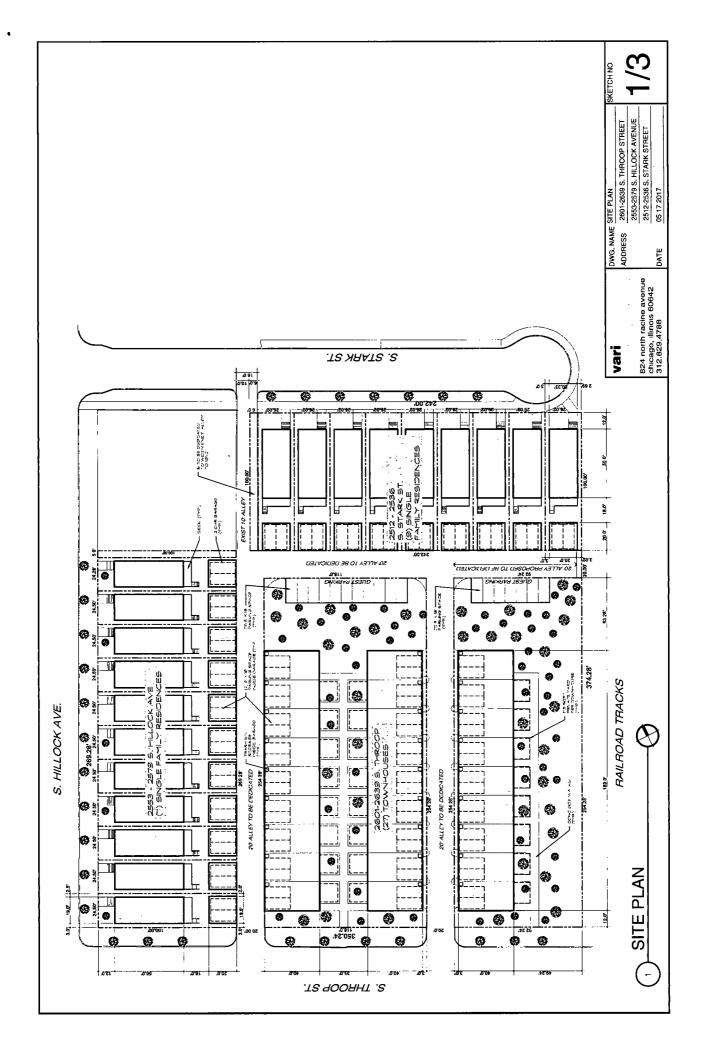
Zoning Lots 1 through 10 (S. Hillock Ave.) Lot Area:	2,540 square feet per zoning lot
Zoning Lots 1 through 10 (S. Hillock Ave.) Maximum Floor Area Ratio:	0.8 per zoning lot
Zoning Lots 1 through 10 (S. Hillock Ave.) Maximum Dwelling Units:	l per zoning lot
Zoning Lots 1 through 10 (S. Hillock Ave.) Minimum Lot Area Per Dwelling Unit:	2,400 square feet per zoning lot
Zoning Lots 1 through 10 (S. Hillock Ave.) Minimum Off-Street Parking:	2 per zoning lot
Zoning Lots 1 through 10 (S. Hillock Ave.) Front Setback:	12 feet 0 inches
Zoning Lots 1 through 10 (S. Hillock Ave.) Side Setbacks:	3 feet 0 inches 2 feet 6 inches
Zoning Lots 1 through 10 (S. Hillock Ave.) Rear Setbacks:	38 feet 0 inches
Zoning Lots 1 through 10 (S. Hillock Ave.) Building Height (per § 17-17-0311, and excluding allowable rooftop features):	26 feet 0 inches

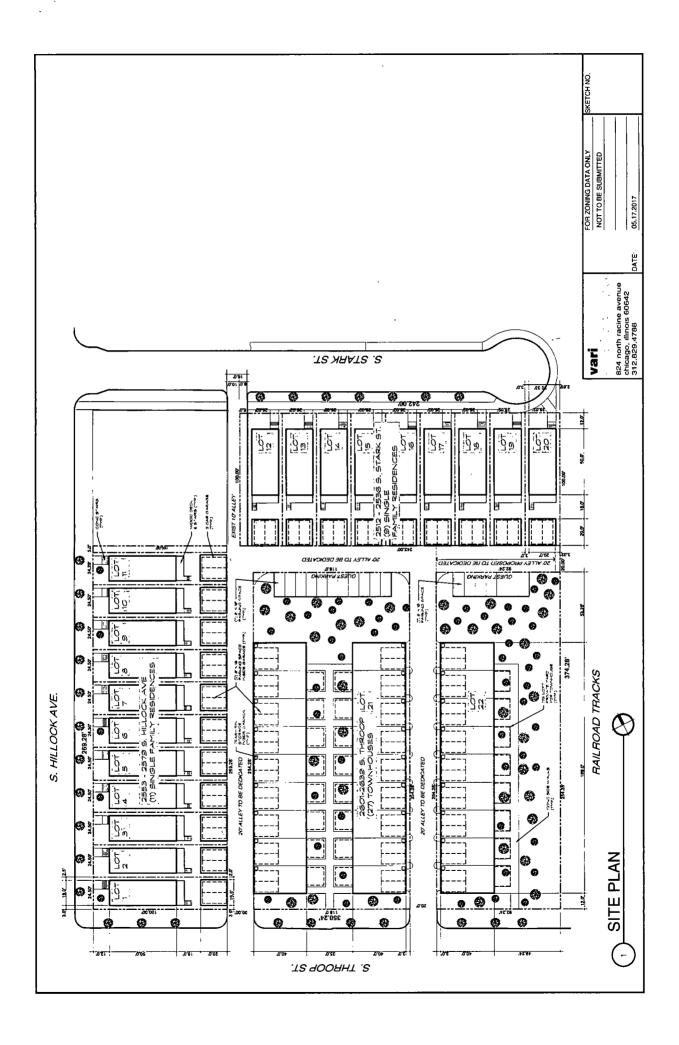
Zoning Lot 11 (S. Hillock Ave.) Lot Area:	2,428 square feet per zoning lot
Zoning Lot 11 (S. Hillock Ave.) Maximum Floor Area Ratio:	0.8 per zoning lot
Zoning Lot 11 (S. Hillock Ave.) Maximum Dwelling Units:	l Per zoning lot
Zoning Lot 11 (S. Hillock Ave.) Minimum Lot Area Per Dwelling Unit:	2,300 square feet per zoning lot
Zoning Lot 11 (S. Hillock Ave.) Minimum Off-Street Parking:	2 per zoning lot
Zoning Lot 11 (S. Hillock Ave.) Front Setback:	12 feet 0 inches
Zoning Lot 11 (S. Hillock Ave.) Side Setbacks:	3 feet 0 inches 2 feet 3 inches
Zoning Lot 11 (S. Hillock Ave.) Rear Setbacks:	38 feet 0 inches
Zoning Lot 11 (S. Hillock Ave.) Building Height (per § 17-17-0311, and excluding allowable rooftop features):	26 feet 0 inches

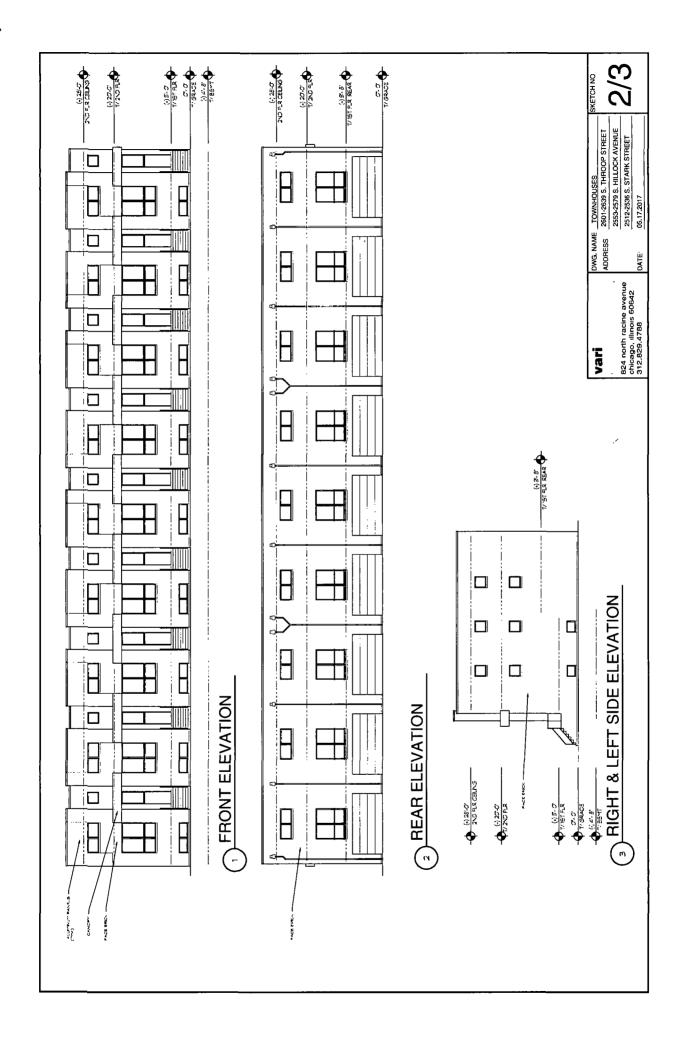
Zoning Lots 12 through 20 (S. Stark St.) Lot Area:	2,602 square feet per zoning lot
Zoning Lots 12 through 20 (S. Stark St.) Maximum Floor Area Ratio:	0.9 per zoning lot
Zoning Lots 12 through 20 (S. Stark St.) Maximum Dwelling Units:	1 per zoning lot
Zoning Lots 12 through 20 (S. Stark St.) Minimum Lot Area Per Dwelling Unit:	2,500 square feet per zoning lot
Zoning Lots 12 through 20 (S. Stark St.) Minimum Off-Street Parking:	2 per zoning lot
Zoning Lots 12 through 20 (S. Stark St.) Front Setback:	12 feet 0 inches
Zoning Lots 12 through 20 (S. Stark St.) Side Setbacks:	3 feet 0 inches 2 feet 8 inches
Zoning Lots 12 through 20 (S. Stark St.) Rear Setbacks:	38 feet 0 inches
Zoning Lots 12 through 20 (S. Stark St.) Building Height (per § 17-17-0311, and excluding allowable rooftop features):	26 feet 0 inches

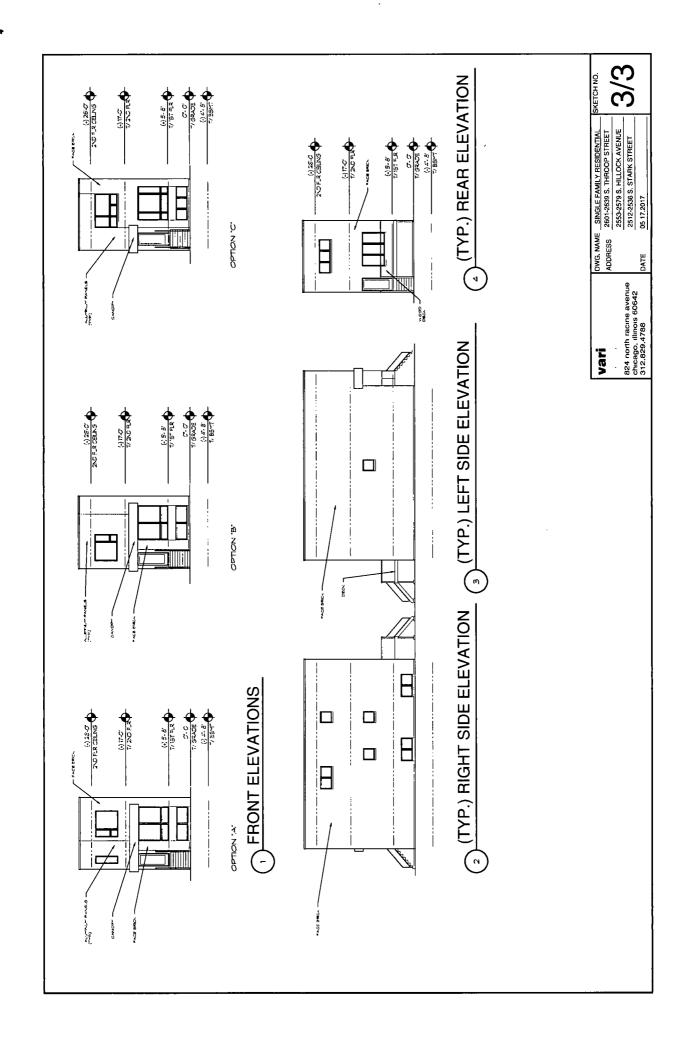
Townhouse Zoning Lot 1 Lot Area:	30,240 square feet
Townhouse Zoning Lot 1 Maximum Floor Area Ratio:	1.2 entire townhouse zoning lot
Townhouse Zoning Lot 1 Maximum Dwelling Units:	18
Townhouse Zoning Lot 1 Minimum Off-Street Parking:	47 (2 per townhouse and 11 additional off-street spaces)
Townhouse Zoning Lot 1 Front Setback:	Per site plan and subject to § 17-2-0500
Townhouse Zoning Lot 1 Side Setbacks:	Per site plan and subject to § 17-2-0500
Townhouse Zoning Lot 1 Rear Setbacks:	Per site plan and subject to § 17-2-0500
Townhouse Zoning Lot 1 Building Height (per § 17-17-0311, and excluding allowable rooftop features):	28 feet 0 inches

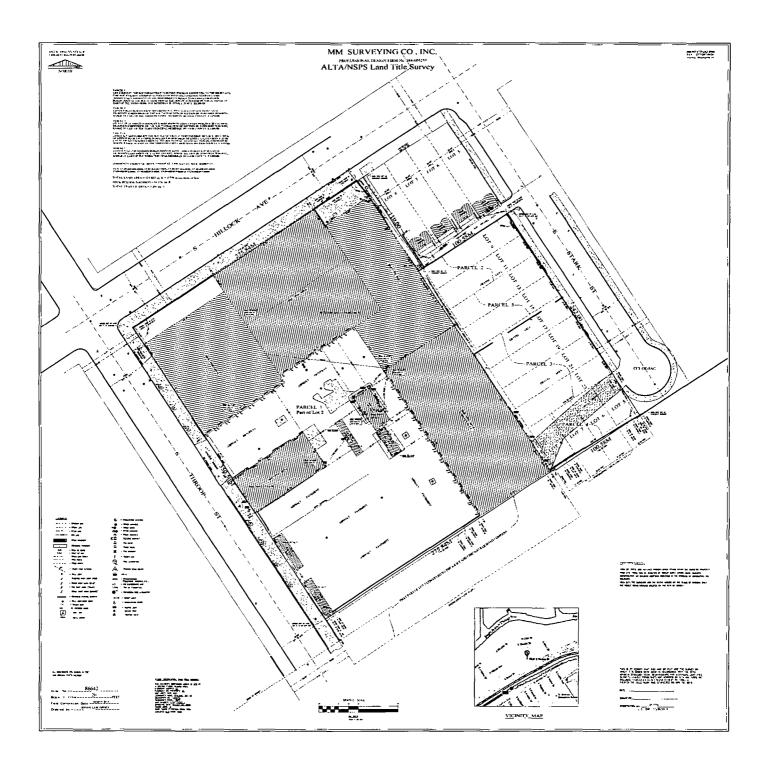
	1 - 1 - 1
Townhouse Zoning Lot 2 Lot Area:	15,120 square feet
Townhouse Zoning Lot 2 Maximum Floor Area Ratio:	0.7 entire townhouse zoning lot
Townhouse Zoning Lot 2 Maximum Dwelling Units:	9
Townhouse Zoning Lot 2 Minimum Off-Street Parking:	25 (2 per townhouse and 7 additional off-street spaces)
Townhouse Zoning Lot 2 Front Setback:	Per site plan and subject to § 17-2-0500
Townhouse Zoning Lot 2 Side Setbacks:	Per site plan and subject to § 17-2-0500
Townhouse Zoning Lot 2 Rear Setbacks:	Per site plan and subject to § 17-2-0500
Townhouse Zoning Lot 2 Building Height (per § 17-17-0311, and excluding allowable rooftop features):	28 feet 0 inches (single family homes)











### LAW OFFICES

## DALEY AND GEORGES, LTD.

MICHAEL DALEY
MARA S. GEORGES
WILLIAM D. O'DONAGHUE
AMY DEGNAN
RICHARD A. TOTH
MICHAEL J. SYNOWIECKI
RICHARD VELÁZQUEZ

20 South Clark Street
Suite 400
Chicago, Illinois 60603-1835

Telephone (312) 726-8797

FACSIMILE (312) 726-8819

May 22, 2017

Re: 2601-2639 S. Throop St.; 2553-2579 S. Hillock Ave.; 2512-2536 S. Stark St., Chicago, Illinois
Application for Zoning Map Amendment

### Dear Property Owner:

In accordance with the requirements of the Chicago Zoning Ordinance for a Zoning Map Amendment, specifically Section 17-13-0107, please be informed that on or about May 22, 2017, I, the undersigned attorney, am filing an application on behalf of the Applicant, Skyriver Throop Development LLC, for a change in zoning from M1-2 Limited Manufacturing / Business Park District to B2-3 Neighborhood Mixed-Use District, for the property generally located at 2601-2639 S. Throop St.; 2553-2579 S. Hillock Ave.; 2512-2536 S. Stark St., Chicago, Illinois.

The Applicant proposes to build a new residential development with 20 single family homes and 27 townhouses.

The Applicant and Owner is Skyriver Throop Development LLC, 2918 S. Wentworth Ave., Chicago, IL 60615.

I am an attorney for the Applicant and can provide additional information on the application. My address is 20 S. Clark St., Suite 400, Chicago, Illinois 60603.

PLEASE NOTE THAT THE APPLICANT IS NOT SEEKING TO PURCHASE OR REZONE YOUR PROPERTY. THE APPLICANT IS REQUIRED BY LAW TO SEND YOU THIS NOTICE BECAUSE YOU OWN PROPERTY LOCATED WITHIN 250 FEET OF THE SUBJECT PROPERTY.

Sincerely,

Richard A. Toth

1286 0002

# 19249 TI INTRO DATE: MAY 24, 2017

### CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:
	2601-2639 S. Throop St.; 2553-2579 S. Hillock Ave.;
	2512-2536 S. Stark St.
2.	Ward Number that property is located in: 11th Ward
3.	APPLICANT Skyriver Throop Development LLC
	ADDRESS 2918 S. Wentworth Ave. CITY Chicago
	STATE IL ZIP CODE 60612 PHONE (312) 949-9962
	EMAIL dannykmark@gmail.com CONTACT PERSON Dan Mark
4.	Is the applicant the owner of the property? YES x NONO
	If the applicant is not the owner of the property, please provide the following information
	regarding the owner and attach written authorization from the owner allowing the application to proceed.
	OWNER Same as above.
	ADDRESSCITYChicago
	STATE ZIP CODE PHONE
	EMAILCONTACT PERSON
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:
	ATTORNEY Richard Toth / Mara Georges, Daley and Georges, Ltd.
	ADDRESS 20 S. Clark St., Suite 400
	CITY Chicago STATE IL ZIP CODE 60603
	PHONE (312) 726-8797 FAX (312) 726-8819 EMAIL rtoth@daleygeorges.co
	mgeorges@daleygeorges.com

50% C	ai Group, Inc.	
Has the	at date did the owner acquire legal title to the subject property? <u>Septeml</u> present owner previously rezoned this property? If yes, when?	
Present	Zoning District M1-2 Proposed Zoning District B2-3	
Lot size	in square feet (or dimensions) 121,022 sf	
Current	Use of the property Vacated food truck facility.	<del> </del>
Reason	for rezoning the property <u>To allow a residential developm</u>	ent.
units; n height o	e the proposed use of the property after the rezoning. Indicate the number of umber of parking spaces; approximate square footage of any commercial sport of the proposed building. (BE SPECIFIC)  residential development with 20 single family home	es and
	wnhouses, 94 garage spaces and approximately 18 ad	<u>ditional</u>
off-s	treet parking spaces. No commercial space.	
a financi change v Develop	ordable Requrements Ordinance (ARO) requires on-site affordable housing al contribution for residential housing projects with ten or more units that rewhich, among other triggers, increases the allowable floor area, or, for exist ments, increases the number of units (see attached fact sheet or visit yofchicago.org/ARO for more information). Is this project subject to the A	eceive a zo ing Planned
www.ci1	yordindago.org/ARO for more information), is this project subject to the A	KO;

# COUNTY OF COOK STATE OF ILLINOIS

Dan Mark , being i statements and the statements contained in the doc	First duly sworn on oath, states that all of the above uments submitted herewith are true and correct.
Subscribed and Sworn to before me this day of May, 20_17	Signature of Applicant Skyriver Throop Development LLC By: Dan Mark  Its: Manager  OFFICIAL SEAL DALE MARK NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES 6°29/20
	<b></b>
For Office	e Use Only
Date of Introduction:	
File Number:	
Ward:	

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Skyriver Throop Development LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [X] the Applicant and property owner OR  2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 2918 S. Wentworth Ave.  Chicago, IL 60616
chicago, in 60016
C. Telephone: (312) 949-9962 Fax: (312) 803-1879 Email: dannykmark@gmail.com
D. Name of contact person: Dan Mark
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Application for zoning map amendment for:  2601-2639 S. Throop St.; 2553-2579 S. Hillock Ave.; 2512-2536 S. Stark St.
G. Which City agency or department is requesting this EDS? Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # N/A and Contract # N/A

### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

### A. NATURE OF THE DISCLOSING PARTY

<ol> <li>Indicate the nature of the Disclosing Par</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	ty:  [x] Limited liability company  [ ] Limited liability partnership  [ ] Joint venture  [ ] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes  [ ] No  [ ] Other (please specify)
2. For legal entities, the state (or foreign co	ountry) of incorporation or organization, if applicable:
1111010	
3. For legal entities not organized in the State of Illinois as a foreign enti	ate of Illinois: Has the organization registered to do
business in the State of Himois as a foreign enti	ty:
[] Yes [] No	[x] N/A
B. IF THE DISCLOSING PARTY IS A LEGA	L ENTITY:
NOTE: For not-for-profit corporations, also lis there are no such members, write "no members.	l executive officers and all directors of the entity. t below all members, if any, which are legal entities. If " For trusts, estates or other similar entities, list below
the legal titleholder(s).  If the entity is a general partnership, limited in	partnership, limited liability company, limited liability
partnership or joint venture, list below the name	e and title of each general partner, managing member, ols the day-to-day management of the Disclosing Party.
Name	Title
Dan Mark 2918 S. Wentworth Ave.	Manager
Chicago, IL 60616	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name		rcentage Interest in the sclosing Party
Trang T. Duong	2918 S. Wentworth Ave., Chicago, IL 6	
Cai Group, Inc.	c/o Mandy Xu, Registered Agent	1003 50%
	2217 S. Wentworth Ave., #5, Chicago,	IL 60616

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	[x] No	
If yes, please iden relationship(s):	y below the name(s) of such City elected official(s) and describe such	

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship (subcontracto lobbyist, etc.)	* * *	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
Vari Architects Ltd.	824 N. Ra	cine Ave.	Architect	\$20,000 (estimated)
	Chicago,	IL 60642		(billed at hourly rate)
Daley and Georges, Ltd.	20 S. Cla	rk St. #400	Attorney	\$7,500 (estimated)
	Chicago,	IL 60603		(billed at hourly rate)

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

### **SECTION V -- CERTIFICATIONS**

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[]Yes	[x] No	[] No person directly or indirectly owns 10% or more of the
		Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

ſ	1	Yes	[ ]	No
L			L J	-

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7.	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certif	ications), the Disclosing Party must explain below:
N/A	
	·

presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[ ] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):  N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

	ne word "None," or no response ed that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATION	N REGARDING INTEREST IN	CITY BUSINESS
Any words or terms t meanings when used		of the Municipal Code have the same
		funicipal Code: Does any official or employee name or in the name of any other person or
[]Yes	[x] No	
NOTE: If you check Item D.1., proceed to	· •	to Items D.2. and D.3. If you checked "No" to
elected official or em any other person or en for taxes or assessme "City Property Sale")	ployee shall have a financial into ntity in the purchase of any prop nts, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powerning of this Part D.
Does the Matter invo	lve a City Property Sale?	
[] Yes	[] No	
¥	l "Yes" to Item D.1., provide the s having such interest and identi	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.				
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.				
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:				
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS				
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.				
A. CERTIFICATION REGARDING LOBBYING				
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)				
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,				

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing I	Party the Applicant?
Not applicable [] Yes	le - the matter is not federally funded. [] No
If "Yes," answer t	he three questions below:
1. Have you d	eveloped and do you have on file affirmative action programs pursuant to applicable
federal regulations	? (See 41 CFR Part 60-2.)
[]Yes	[ ] No
2. Have you f	iled with the Joint Reporting Committee, the Director of the Office of Federal
Contract Complian	nce Programs, or the Equal Employment Opportunity Commission all reports due le filing requirements?
[]Yes	[] No
3. Have you p equal opportunity	articipated in any previous contracts or subcontracts subject to the clause?
[]Yes	[ ] No
If you checked "N	o" to question 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Skyriver Throop Development LLC	_
(Print or type name of Disclosing Party)	
By:	
(Sign here)	<del></del>
Dan Mark	_
(Print or type name of person signing)	•
Manager	_
(Print or type title of person signing)	
Signed and sworn to before me on (date)	May , 2017 ,
at Cook County, Illinois	_ (state).
hlel Mile	Notary Public.
Commission expires: 7-29-26	OFFICIAL SEAL DALE MARK NOTARY PUBLIC - STATE OF ILLINOIS
	MY COMMISSION EXPIRES 07/29/20 §
	Page 12 of 18-14

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

	-	*
[ ] Yes	[x] No	
such person is connecte	d; (3) the name and title of the	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.
Not applicable.		

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?		
	[ ] Yes	[x] No	
2.	~ ~	ed as a building code scofflaw or	exchange, is any officer or director of problem landlord pursuant to Section
	[ ] Yes	, [ ] No	[X] Not Applicable
3.	identified as a building	ove, please identify below the nar g code scofflaw or problem landl e pertinent code violations apply.	lord and the address of the building or
Not	applicable.		
	· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submit	tting this EDS. Include d/b/a/ if applicable:
Cai Group, Inc.	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitt  1. [] the Applicant  OR	ing this EDS is:
	rect interest in the Applicant. State the legal name of the holds an interest: Skyriver Throop Development LLC
3. [] a legal entity with a right of control ( which the Disclosing Party holds a right of	(see Section II.B.1.) State the legal name of the entity in f control:
B. Business address of the Disclosing Party:	c/o Mandy Xu, Registered Agent 2217 S. Wentworth Ave., Suite 5
	Chicago, IL 60616
C. Telephone: Fax:	Email:
D. Name of contact person: Yi Hua Cai	<del></del>
E. Federal Employer Identification No. (if you	n have one):
F. Brief description of contract, transaction or which this EDS pertains. (Include project num	other undertaking (referred to below as the "Matter") to other and location of property, if applicable):
Application for zoning map amendment 2601-2639 S. Throop St.; 2553-2579 S	for: . Hillock Ave.; 2512-2536 S. Stark St.
G. Which City agency or department is reques	sting this EDS? Planning and Development
If the Matter is a contract being handled by complete the following:	the City's Department of Procurement Services, please
Specification # N/A	and Contract # N/A

### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	arty:
[] Person	[] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[X] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
2. For local antition, the state (or foreign a	accountmy) of incomparation or organization if applicable.
2. For legal entities, the state (or foreign c	country) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the S business in the State of Illinois as a foreign en	State of Illinois: Has the organization registered to do tity?
[] Yes [] No	[x] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also li there are no such members, write "no members	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below
the legal titleholder(s).  If the entity is a general partnership, limited	I partnership, limited liability company, limited liability
	ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf.
Name	Title
Yi Hua Cai 2217 S. Wentworth Ave.,	#5 President, Secretary, Treasurer
Chicago, IL 60616	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

**Business Address** 

	Disclosing Party	
Yi Hua Cai	2217 S. Wentworth Ave., #5 100%	_
<del></del>	Chicago, IL 60616	-
		-
	I	-
SECTION III	USINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS	
	ng Party had a "business relationship," as defined in Chapter 2-156 of the Municipal velected official in the 12 months before the date this EDS is signed?	
[] Yes	[x] No	

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

If yes, please identify below the name(s) of such City elected official(s) and describe such

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name

relationship(s):

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
N/A			not an acceptable response.
(Add sheets if necessary)			·
[X] Check here if the Discl	osing Party h	as not retained, nor expects to retain	, any such persons or entities
SECTION V CERTIF	ICATIONS		
A. COURT-ORDERED (	CHILD SUPP	ORT COMPLIANCE	
•		-415, substantial owners of business through their child support obligations through	
* <b>-</b>	•	ly owns 10% or more of the Disclosons by any Illinois court of competer	
[] Yes [x] No		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person er is the person in compliance		court-approved agreement for payme greement?	ent of all support owed and
[] Yes [] No	o		
B. FURTHER CERTIFIC	CATIONS		
consult for defined terms ( submitting this EDS is the certifies as follows: (i) nei with, or has admitted guilt criminal offense involving	e.g., "doing be Applicant an ther the Applicant of, or has even actual, attern	epter 1-23, Article I ("Article I")(whousiness") and legal requirements), in dis doing business with the City, the icant nor any controlling person is considered to the convicted of, or placed under the pted, or conspiracy to commit bribe officer or employee of the City or an	f the Disclosing Party en the Disclosing Party urrently indicted or charged r supervision for, any ry, theft, fraud, forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7.	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certif	ications), the Disclosing Party must explain below:
N/A	
<u> </u>	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.				
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a emplete list of all current employees of the Disclosing Party who were, at any time during the 12-onth period preceding the execution date of this EDS, an employee, or elected or appointed official, f the City of Chicago (if none, indicate with "N/A" or "none").				
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a simplete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 2-month period preceding the execution date of this EDS, to an employee, or elected or appointed ficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything ade generally available to City employees or to the general public, or (ii) food or drink provided in the ourse of official City business and having a retail value of less than \$20 per recipient (if none, indicate ith "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.				
CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION				
1. The Disclosing Party certifies that the Disclosing Party (check one)				
[ ] is [X] is not				
"financial institution" as defined in Section 2-32-455(b) of the Municipal Code.				
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:				
We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal ode. We further pledge that none of our affiliates is, and none of them will become, a predatory nder as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory nder or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing usiness with the City."				
the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in ection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter -32 of the Municipal Code, explain here (attach additional pages if necessary):				

	the word "None," or no response med that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATI	ON REGARDING INTEREST IN	CITY BUSINESS
Any words or term meanings when use	<del>-</del>	of the Municipal Code have the same
of the City have a fentity in the Matter	inancial interest in his or her own?	Municipal Code: Does any official or employee name or in the name of any other person or
[] Yes	[x] No	
NOTE: If you che Item D.1., proceed	<del>-</del>	to Items D.2. and D.3. If you checked "No" to
elected official or e any other person or for taxes or assessn "City Property Sale	employee shall have a financial into entity in the purchase of any prop- nents, or (iii) is sold by virtue of le	we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, een pursuant to the City's eminent domain powering of this Part D.
Does the Matter inv	volve a City Property Sale?	
[]Yes	[] No	
	ed "Yes" to Item D.1., provide the	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.					
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.					
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:					
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS					
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.					
A. CERTIFICATION REGARDING LOBBYING					
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):					
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)					
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,					

comply with these disclosure requirements may make any contract entered into with the City in

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing I	Party the Applicant?
Not applicab []Yes	le - the matter is not federally funded. $[\ ]\ No$
If "Yes," answer t	he three questions below:
1. Have you d	eveloped and do you have on file affirmative action programs pursuant to applicable
federal regulations	s? (See 41 CFR Part 60-2.)
[]Yes	[ ] No
2. Have you f	iled with the Joint Reporting Committee, the Director of the Office of Federal
Contract Complian	nce Programs, or the Equal Employment Opportunity Commission all reports due le filing requirements?
[]Yes	[] No
3. Have you p equal opportunity	articipated in any previous contracts or subcontracts subject to the clause?
[]Yes	[ ] No
If you checked "N	o" to question 1. or 2. above, please provide an explanation:
······································	

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Cai Group, Inc.

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)		
By: Signhere)		
Yi Hua Cai (Print or type name of person signing)		
President (Print or type title of person signing)	<del></del>	
Signed and sworn to before me on (date)atcookCounty, _Illinois	May , 2017(state).	,
THE STATE OF THE S	Notary Public.	OFFICIAL SEAL DANNY MARK
Commission expires: $6-22-20$	·	NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:06/22/20

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

	smp with an elected city (	ornerar or department head:
[ ] Yes	[x] No	
such person is connected	l; (3) the name and title of	tle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such ise nature of such familial relationship.
Not applicable.		

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.			Applicant or any Owner identified as a o Section 2-92-416 of the Municipal
	[ ] Yes	[X] No	
2.		ed as a building code scofflaw or	exchange, is any officer or director of problem landlord pursuant to Section
	[ ] Yes	[ ] No	[x] Not Applicable
3.	identified as a building	ve, please identify below the nange code scofflaw or problem landle pertinent code violations apply.	ne of the person or legal entity ord and the address of the building or
Not	applicable.		
	** *** * * * *************************		

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.