

#### Office of the Chicago City Clerk



#### Office of the City Clerk

#### City Council Document Tracking Sheet

**Meeting Date:** 9/8/2011

Sponsor(s): Mendoza

Type: Ordinance

Zoning Reclassification App No. 17350 Title:

**Committee(s) Assignment:** Committee on Zoning, Landmarks and Building Standards

#### **ORDINANCE**

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** Title 17, of the Municipa I Code of Chicago, the Chicago Zoning Ordinance be amended by changing all the Residential Business Planned Development No. 356 symbols and indications as shown on Map No. 1-G in the area bounded by

West Superior Street; a line 312.91 feet east of North Sangamon Street; a line from a point 312.91 feet east of North Sangamon Street and 51.18 feet north of West Huron Street, to a point 348.81 feet east of North Sangamon Street along the north line of West Huron Street; West Huron Street; North Peoria Street; a line 215.94 feet south of West Huron Street; North Peoria Street; a line 265.94 feet south of West Huron Street; North Peoria Street; West Ancona Street; North Sangamon Street; the alley next south of and parallel to West Huron Street; the alley next southwesterly of North Sangamon Street; the alley next southwesterly of West Huron Street; a line 151.5 feet west of North Sangamon Street; West Huron Street; and North Sangamon Street,

to those of a B2-5 Neighborhood Mixed-Use District and a corresponding use district is hereby established in the area above described.

**SECTION 2.** That the Chica go Zoning Ordinance be amended by changing all the B2-5 Neighborhood Mixed-Use District symbols and indications within the area herein above described to the designation of a Residential-Business Planned Development No. 356, As Amended, which is hereby established in the area above described, subject to such use and bulk regulations as are set forth in this Plan of Development herewith attached and made a part and to no others.

**SECTION 3.** This ordinance shall be in force and effect from and after its passage and due publication.

17350 1NZO DSB 9-8-11

#### CITY OF CHICAGO

## APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Appli 857-921 W. Superior St., 661- 901-939 W. Huron St., 656-666	709 N. Sangamon St.,	674-698 N.		
2.	Ward Number that property is loc	cated in: 27th Ward			
3.	APPLICANT Mondial Condominium Association				
	ADDRESS 30 S. Wacker Dr	., Suite 3600			
	CITY Chicago	STATE IL	ZIP CODE	60606	
	PHONE 312-476-2060	_ CONTACT PERSO	V Erin Ar	kin	
4.	Is the applicant the owner of the property? YES X(As to Mondial) NO X (As to multiple parcels) If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.  **Please see attached list of owners.				
	ADDRESS				
	CITY	STATE	_ ZIP COD	E	
	PHONE	_CONTACT PERSO	٧		
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:				
	ATTORNEY John J. George	2			
	ADDRESS 20 S. Clark St.,	Suite 400	CITY	Chicago 60603	1
	PHONE 312-726-8797		FAX	312-726-8819	

_		
_		
_		
C	On what date did the owner acquire legal title to the subject property? April 2011	
H	las the present owner previously rezoned this property? If yes, when?  No.	
	Present Zoning District RBPD No. 356 Proposed Zoning District B2-5 then to RBPD No. 356, a	s au
L	ot size in square feet (or dimensions) 147,018 square feet	
C	Current Use of the property Residential	
R	Reason for rezoning the property <u>Technical amendment to Planned Development in order</u>	
_	to increase the floor area ratio to accommodate approximately 1,700 square feet of existing	spa
u	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and neight of the proposed building. (BE SPECIFIC)  See attached Planned Development Statements for details.	
(, <b>h</b>	On May 14th, 2007, the Chicago City Council passed the Affordable Requirements Ordinance ARO) that requires on-site affordable housing units or a financial contribution if residential cousing projects receive a zoning change under certain circumstances. Based on the lot size of	
	he project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information)	

STATE OF ILLINOIS	
Statements and the statements contained in the document	ally sworn on oath, states that all of the aboves submitted herewith are true and correct.  Applicant
Subscribed and Sworn to before me this    O day of Hugust, 20      Notary Public	OFFICIAL SEAL ERIN H. ANKIN NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES NOV. 24, 2014
For Office Use	Only
Date of Introduction:	
File Number:	
Ward·	

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#### **List of Property Owners**

The River West 2 Condominium Association 939 West Huron Street Chicago, IL 60642 Attn: Jeff M. Krol

The River West Courts II Condominium Association 680 North Peoria Street Chicago, IL 60642 Attn: Ngozi C. Okrafor

The River West Courts 4 Condominium Association 943 West Huron Street Chicago, IL 60642 Attn: Jack Berger

River West Development Limited Partnership 671 North Sangamon Street Chicago, IL 60642 Attn: Jack Berger

RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 356, AS AMENDED PLAN OF DEVELOPMENT

**STATEMENTS** 

1. The area delineated herein as a Residential Business Planned Development No. 356, as

amended, consists of approximately 147,018 square feet (3.38 acres) which is depicted on

the attached Planned Development Property Line, and Subarea Delineation Map (the

'Property"). The Property is divided into two Subareas, "A" and "B". Subarea "A" is

owned or controlled by the Applicant, Mondial Condominium Association.

2. All applicable official reviews, approvals or permits are required to be obtained by the

Applicant or its successors, assignees, or grantees. Any dedication or vacation of streets

or alleys, or easements, or adjustments of right-of-way, or consolidation or resubdivision

of parcels, shall require a separate submittal on behalf of the Applicant or its successors,

assignees, or grantees and approval by the City Council.

3. The requirements, obligations and conditions contained within this Planned Development

shall be binding upon the Applicant, its successors and assigns and, if different than the

Applicant, the legal title holder and any ground lessors. All rights granted hereunder to

the Applicant shall inure to the benefit of the Applicant's successors and assigns and if

different than the Applicant, then to the owners of record title to all of the Property and

any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of

the Chicago Zoning Ordinance, the Property, at the time any applications for

amendments, modifications or changes (administrative, legislative or otherwise) to this

Planned Development are made shall be under single ownership or under single

APPLICANT:

MONDIAL CONDOMINIUM ASSOCIATION

ADDRESS:

910 WEST HURON STREET

**SEPTEMBER 1, 2011** 

DATE:

**REVISED:** 

designated control. Single designated control is defined within Section 17-8-0400 of the

Chicago Zoning Ordinance ("Zoning Ordinance").

This Plan of Development consists of these fifteen (15) Statements; a Bulk Regulations

and Data Table; an Existing Zoning Map; a Planned Development Property Line and

Subarea Delineation Map; an Vicinity Area Map; Site Plan for a Portion of Subarea A;

Landscape Plan for a Portion of Subarea A; and Building Elevations prepared by Berger

Architects and September 1, 2011. Full size sets of the Site Plan, Landscape Plan and

Building Elevations are on file with the Department of Housing and Economic

Development. In any instance where a provision of this Planned Development conflicts

with the Chicago Building Code, the Building Code shall control. This Planned

Development conforms to the intent and purpose of the Chicago Zoning Ordinance, Title

17-8 of the Municipal Code of Chicago, and all requirements thereto and satisfies the

established criteria for approval as a Planned Development. In case of a conflict between

the terms of this Planned Development ordinance and the Zoning ordinance, this Planned

Development shall control.

5. The following uses shall be allowed within the area delineated herein as "Residential

Business Planned Development, as amended": Subarea A: commercial, residential and

related uses and off-street parking and loading; Subarea B: residential (single-family and

row-house units).

6. Upon Part II Review, a Part II Review Fee shall be assessed by the Department of Zoning

and Land Use Planning pursuant to Section 17-13-0610 of the Chicago Zoning

APPLICANT:

MONDIAL CONDOMINIUM ASSOCIATION

ADDRESS:

910 WEST HURON STREET

DATE:

4.

SEPTEMBER 1, 2011

REVISED:

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Ordinance. The fee as determined by staff at the time is final and binding on the

Applicant and must be paid to the Department of Revenue prior to the issuance of any

Part II approval.

7. Identification signs and temporary signs, including construction and marketing signs, shall

be permitted within the Planned Development subject to the review and approval of the

Department of Housing and Economic Development. Off-premise signs are prohibited

within the boundary of the Planned Development.

8. Any service drives or other ingress or egress shall be adequately designed and paved in

accordance with the regulations of the Department of Transportation in effect at the time of

construction and in compliance with the Municipal Code of the City of Chicago, to provide

ingress and egress for motor vehicles, including emergency vehicles. There shall be no

parking within such emergency areas. Ingress and egress shall be subject to the review and

approval of the Department of Transportation and of the Department of Housing and

Economic Development. Closure of all or part of any public streets or alleys shall be

subject to the review and approval of the Chicago Department of Transportation. All work

proposed in the public way must be designed and constructed in accordance with the

Chicago Department of Transportation Construction Standards for Work in the Public Way

and in compliance with the Municipal Code of the City of Chicago.

9. Height restrictions of any building or any appurtenance attached thereto shall, in addition

to the Bulk Regulations and Data Table, be subject to height limitations established by

the Federal Aviation Administration.

APPLICANT:

MONDIAL CONDOMINIUM ASSOCIATION

ADDRESS:

910 WEST HURON STREET

DATE:

**SEPTEMBER 1, 2011** 

**REVISED:** 

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10. The maximum permitted floor area ratio (F.A.R.) for the parcel shall be in accordance

with the attached Bulk Regulations and Data Table. For purposes of Floor Area Ratio

(F.A.R.) calculations, the definitions in the Chicago Zoning Ordinance shall apply.

11. The improvements shall be designed, installed and maintained in substantial conformance

with the Bulk Regulations and Data Table, the Site Plan, Landscape Plan and Building

Elevations attached hereto. The landscaping shall be designed, installed and maintained

in accordance with the attached Landscape Plans.

12. The terms, conditions and exhibits of this planned development ordinance may be

modified administratively by the Commissioner of the Department of Housing and

Economic Development, upon the application for such a modification by the applicant

and after a determination by the Commissioner of the Department of Housing and

Economic Development that such a modification is minor, appropriate and consistent

with the nature of the improvements contemplated in this planned development and the

purposes underlying the provisions hereof. Any such modification of the provisions

hereof by the Commissioner of the Department of Housing and Economic Development

shall be deemed to be a minor change in the planned development as contemplated by

Section 17-13-0611 of the Chicago Zoning Ordinance.

13. The applicant acknowledges that it is in the public interest to design, construct, and

maintain all buildings in a manner which promotes and maximizes the conservation of

energy resources. The applicant shall use best efforts to design, construct and maintain all

buildings located within this Planned Development in an energy efficient manner,

APPLICANT:

MONDIAL CONDOMINIUM ASSOCIATION

ADDRESS:

910 WEST HURON STREET

DATE:

SEPTEMBER 1, 2011

**REVISED:** 

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generally consistent with the most current energy efficiency standards published by the American Society of Heating, Refrigerating and Air-Conditioning Engineers ("A.S.H.R.A.E.").

- 14. The applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables, and maximizes universal access throughout the property. Plans for all buildings and improvements on the property shall be reviewed and approved by the Mayor's Office for People with Disabilities ("M.O.P.D.") to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility. No building permit shall be issued by the Department of Construction and Permits until the Director of M.O.P.D. has approved detailed construction drawings for the building or improvement proposed to be constructed pursuant to the permit.
- 15. Unless substantial construction of the improvements contemplated in this Planned Development has commenced within six (6) years following adoption of this Planned Development, and unless completion is thereafter diligently pursued, then this Planned Development shall expire and the zoning of the property shall automatically revert to the previously approved Residential Business Planned Development No. 356.

APPLICANT:

MONDIAL CONDOMINIUM ASSOCIATION

ADDRESS:

910 WEST HURON STREET

DATE:

SEPTEMBER 1, 2011

REVISED:

## Residential-Business Planned Development No. 356 (As Amended)

#### Use And Bulk Regulations And Data

#### Subarea "A"

(See Attached Property Line And Subarea Delineation Map)

General Description Of Land Use:

364 Residential Units and Related

Commercial, Business with Off-Street

Parking and Loading

Percentage of Land coverage:

82.5% per Site Plan

Number of Off-Street Loading Spaces:

2 spaces (10 feet x 25 feet) for new

construction.

Maximum Commercial Space:

38,000 square feet.

Minimum Number of Parking Spaces:

262.

Periphery Setbacks at Property Lines:

Existing Buildings......0 feet.

Proposed Buildings.....0 feet.

#### Subarea "B"

(See Attached Property Line And Subarea Delineation Map)

General Description Of Land Use:

24 Residential Units

Percentage of Land coverage:

In accordance with Site Plan

Number of Off-Street Loading Spaces:

1 space per unit.

Minimum Number of Parking Spaces:

24.

Periphery Setbacks at Property Lines:

As per Site Plan.

Maximum Building Height:

40 feet.

(including possible roof deck access -

building height 35 feet)

Total

Gross Site Area

154,858 square feet 3.56 acres

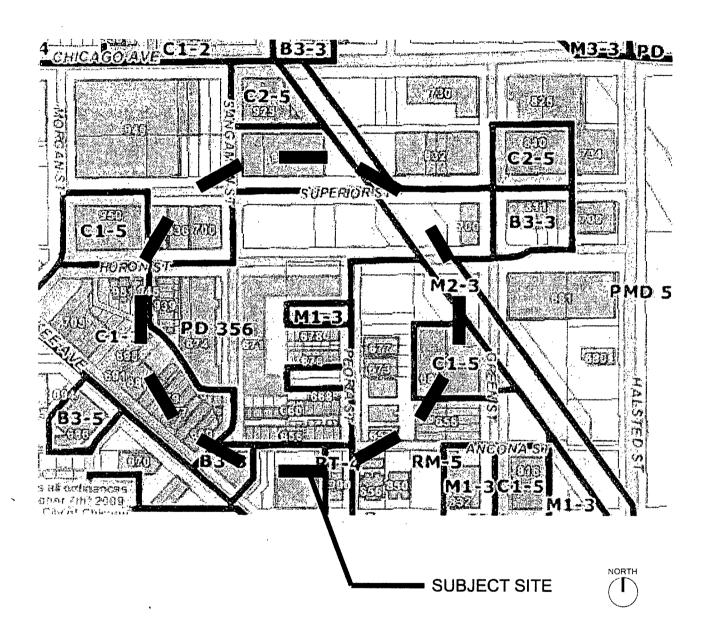
Net Site Area

147,018 square feet 3.38 acres

Floor Area Ratio

3.29

# RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 356, AS AMENDED EXISTING ZONING AND STREET MAP

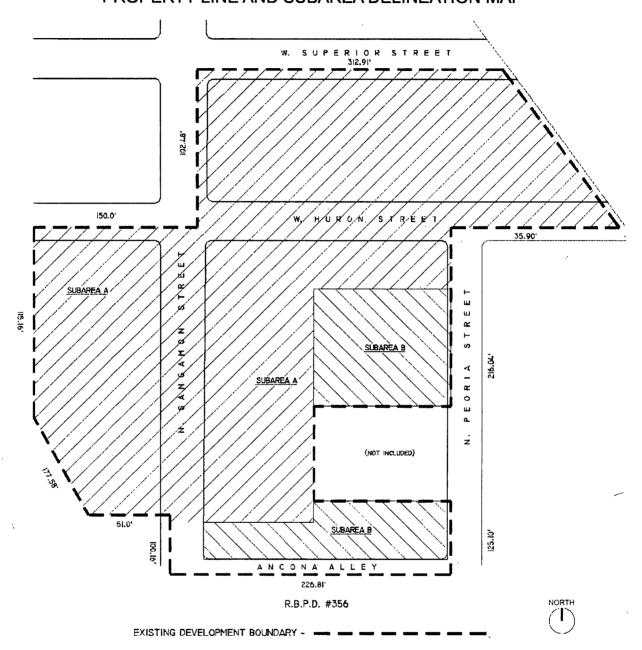


**Applicant: Mondial LLC** 

Address: 901 W. Huron Chicago, IL Date of Introduction: September 1, 2011



# RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 356, AS AMENDED PROPERTY LINE AND SUBAREA DELINEATION MAP

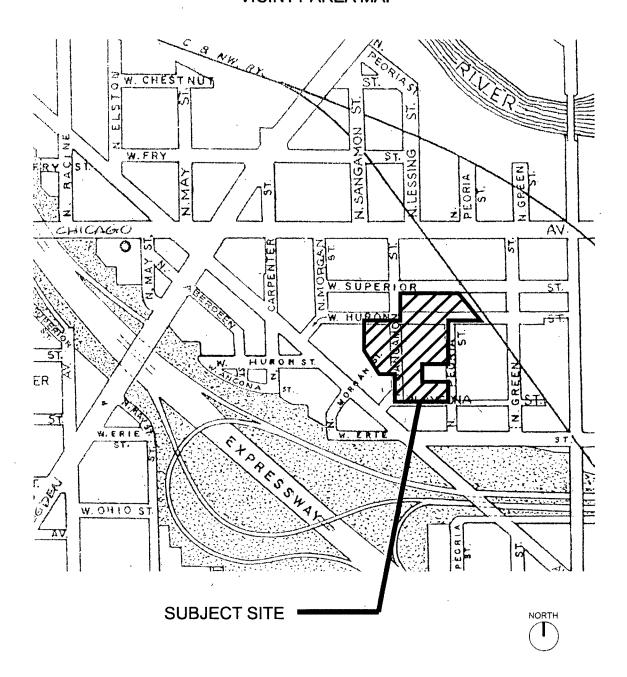


**Applicant: Mondial LLC** 

Address: 901 W. Huron Chicago, IL Date of Introduction: September 1, 2011



# RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 356, AS AMENDED VICINTY AREA MAP

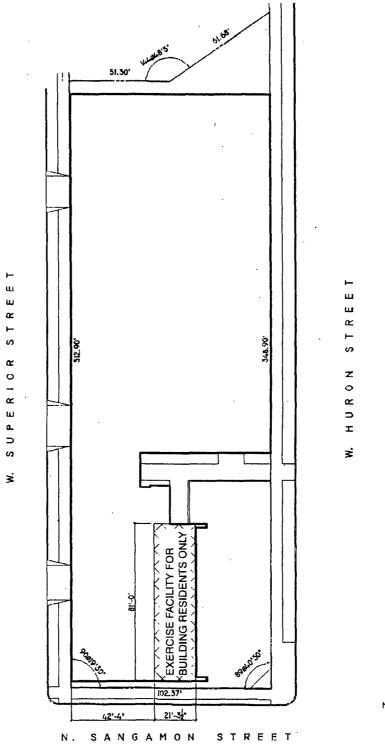


Applicant: Mondial LLC

Address: 901 W. Huron Chicago, IL Date of Introduction: September 1, 2011



## RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 356, AS AMENDED PROPOSED SITE PLAN FOR A PORTION OF SUBAREA "A"

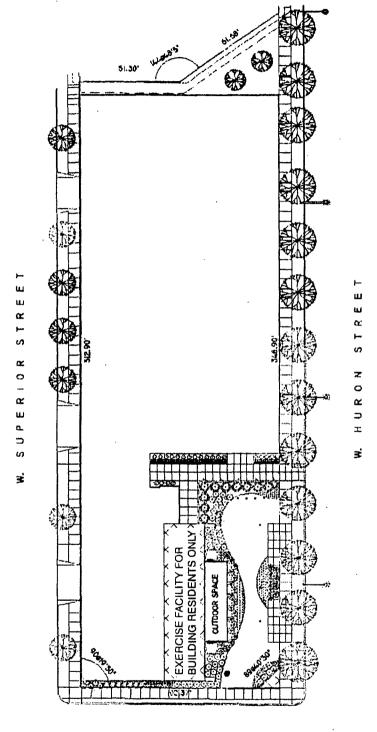


**Applicant: Mondial LLC** 

Address: 901 W. Huron Chicago, IL Date of Introduction: September 1, 2011



## RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 356, AS AMENDED LANDSCAPE PLAN FOR A PORTION OF SUBAREA "A"



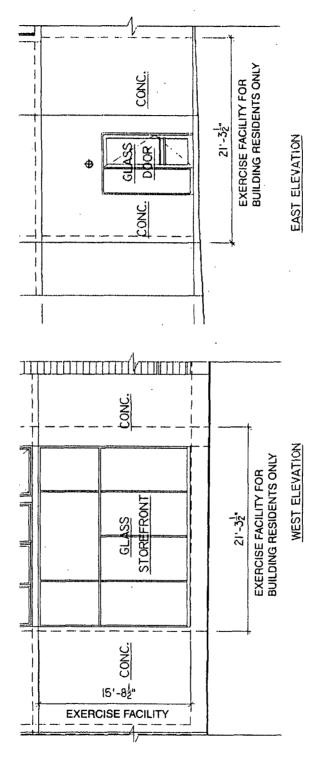
N. SANGAMON STREET

Applicant: Mondial LLC

Address: 901 W. Huron Chicago, IL Date of Introduction: September 1, 2011



## RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 356, AS AMENDED EXERCISE FACILITY FOR BUILDING RESIDENTS ONLY - EAST & WEST ELEVATION

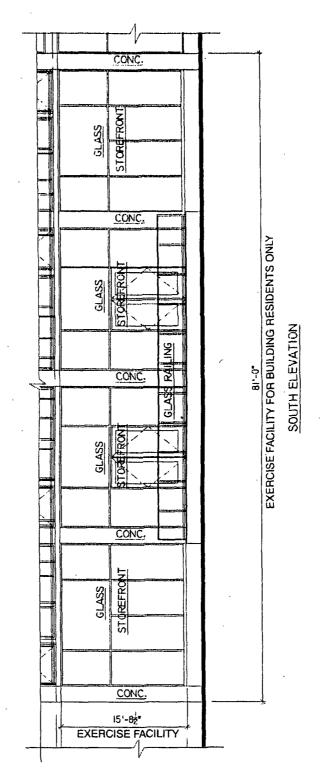


Applicant: Mondial LLC

Address: 901 W. Huron Chicago, IL Date of Introduction: September 1, 2011



## RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 356, AS AMENDED EXERCISE FACILITY FOR BUILDING RESIDENTS ONLY - SOUTH ELEVATION



Applicant: Mondial LLC

Address: 901 W. Huron Chicago, IL Date of Introduction: September 1, 2011



#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting the	nis EDS. Include d/b/a/ if applicable:
Mondial Condominium Association	· · · · · · · · · · · · · · · · · · ·
Check ONE of the following three boxes:	•
Indicate whether the Disclosing Party submitting thi  1. [X] the Applicant  OR	s EDS is:
	terest in the Applicant. State the legal name of the an interest:
3. [] a legal entity with a right of control (see So which the Disclosing Party holds a right of control of the control of the Disclosing Party holds a right of control of the Disclosing Party holds a right of control of the Disclosing Party holds a right of control of the Disclosing Party holds a right of control of the Disclosing Party holds a right of the Disclosing Pa	ection II.B.1.) State the legal name of the entity in rol:
B. Business address of the Disclosing Party: 900	)-910 W.HHuron St.
<u>Ch</u>	icago, IL 60642
C. Telephone: 312-476-2060 Fax: 312-948-49	Email: eankin@wallc.com
D. Name of contact person: Erin Ankin	
E. Federal Employer Identification No. (if you have	one):
F. Brief description of contract, transaction or other which this EDS pertains. (Include project number a	· · · · · · · · · · · · · · · · · · ·
The applicant is requesting a technical amendment t	o the P.D.
G. Which City agency or department is requesting the	his EDS? Department of Housing and Economic Development
If the Matter is a contract being handled by the C complete the following:	ity's Department of Procurement Services, please
Specification #	and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

Tricia Natili

<ol> <li>Indicate the nature of the Disclosing Particle</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[] Limited liability company [] Limited liability partnership [] Joint venture [X] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the Sbusiness in the State of Illinois as a foreign entitle [] Yes [] No	State of Illinois: Has the organization registered to do atity?  [X] N/A
B. IF THE DISCLOSING PARTY IS A LEG	FAL ENTITY:
NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability ne and title of each general partner, managing member, itrols the day-to-day management of the Disclosing Party. abmit an EDS on its own behalf.
Name	Title
Lela Cirjakovic	President
Erin Ankin	Secretary
Philip Lukowski	Officer
JoEEllen Martinson	Treasurer

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Treasurer Officer interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
None		
		,
SECTION III I	BUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	ing Party had a "business relationsh ty elected official in the 12 months b	sip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[] Yes	[X] No	
If yes, please identrelationship(s):	tify below the name(s) of such City	elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate when retained or anticipate to be retained)			ship to Disclosing Par ractor, attorney, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is
Daley and Geonge	20 S. C	ark St., Ste. 40	0, Chicago, IL 60603	not an acceptable response. \$15,000.00
		<del></del>	·	
		·	· · · · · · · · · · · · · · · · · · ·	,
(Add sheets if neces	sary)			
[] Check here if the	Disclosing Pa	rty has not retai	ned, nor expects to ref	ain, any such persons or entities
SECTION V CE	RTIFICATIO	NS		
A. COURT-ORDER	RED CHILD S	UPPORT COM	IPLIANCE	
-				less entities that contract with throughout the contract's term.
• •	•	•	% or more of the Disc Illinois court of comp	closing Party been declared in etent jurisdiction?
[]Yes	[ ] No	No person di Disclosing Pa		vns 10% or more of the
If "Yes," has the per is the person in com			ved agreement for pay	yment of all support owed and
[] Yes	[ ] No	·		

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further fications), the Disclosing Party must explain below:
N/A	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- [] is [X] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [X] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.				
Does the Matter involve a	City Property Sale?			
[] Yes	[x] No			
	s" to Item D.1., provide the names and business addresses of the City ing such interest and identify the nature of such interest:			
Name	Business Address Nature of Interest			
4. The Disclosing Par be acquired by any City of	ty further certifies that no prohibited financial interest in the Matter will ficial or employee.			
E. CERTIFICATION REC	SARDING SLAVERY ERA BUSINESS			
disclose below or in an atta	2. below. If the Disclosing Party checks 2., the Disclosing Party must achment to this EDS all information required by paragraph 2. Failure to the requirements may make any contract entered into with the City in voidable by the City.			
the Disclosing Party and as from slavery or slaveholde	rty verifies that the Disclosing Party has searched any and all records of my and all predecessor entities regarding records of investments or profits r insurance policies during the slavery era (including insurance policies provided coverage for damage to or injury or death of their slaves), and und no such records.			
Disclosing Party has found policies. The Disclosing P	rty verifies that, as a result of conducting the search in step 1 above, the records of investments or profits from slavery or slaveholder insurance arty verifies that the following constitutes full disclosure of all such es of any and all slaves or slaveholders described in those records:			

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

Disclosure Act of 199	names of all persons of 5 who have made lobb (Add sheets if necessa	ying contacts		
N/A	·			
		,	 	4

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?
[] Yes	[] No
If "Yes," answer the three	questions below:
1. Have you developed federal regulations? (See	d and do you have on file affirmative action programs pursuant to applicable I CFR Part 60-2.)
[] Yes	[ ] No
<del>-</del>	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements?  [] No
3. Have you participat	ed in any previous contracts or subcontracts subject to the
equal opportunity clause?	
[] Yes	[ ] No
If you checked "No" to que	estion 1. or 2. above, please provide an explanation:

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Mondiel Condoninim Associa	d'on
(Print or type name of Disclosing Party) /	
By: Jela Wyster	
(Sign here)	
Lela Cinskonc	
(Print or type name of person signing)	
President	
(Print or type title of person signing)	
Signed and sworn to before me on (date) Angust 10, 20 at Cooling County, Ilinois (state).	<u>NI</u> ,
Notary Public.	OFFICIAL SEAL ERIN H. ANKIN NOTARY PUBLIC - STATE OF ILLINOIS
Commission expires: 11/24/14	MY COMMISSION EXPIRES NOV. 24, 2014

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ x] No	
such person is connec	cted; (3) the name and title of the	le of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship.

#### **CONFIRMATION OF AUTHORITY**

The undersigned, being the President of The River West 2 Condominium Association, an Illinois not-for-profit corporation, located at 939 West Huron Street, Chicago, Illinois, hereby confirms that Mondial Condominium Association, an Illinois not-for-profit corporation, is authorized by the undersigned to file an Application for an Amendment to Planned Development No. 356 concerning the property located at 910 West Huron Street, Chicago, Illinois.

The undersigned states that it is the President of the Board of The River West 2 Condominium Association and governs the property located at 939 West Huron Street, Chicago, Illinois on behalf of said Condominium Association.

Dated June 4/ 2011.

THE RIVER WEST 2 CONDOMINIUM ASSOCIATION, an Illinois not-for-profit corporation

, ....

Name:

Its: President

Subscribed and sworn to before me this 21 day of June 2011.

NOTARY PUBLIC

OFFICIAL SEAL
ERIN H ANKIN
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES NOV 24 2014

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
The River West 2 Condominium Association (River West 2)
Check ONE of the following three boxes:
ndicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:
3. X a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: 2. D. No. 356
3. Business address of the Disclosing Party: 939 West Hulen Street
x1035 Chicago, IC
C. Telephone: 312 850 325   Fax: 312 850 3258   Email: M C phoenix rising group. Com
D. Name of contact person: Matthew Lewellen
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
The Mondie, 910 W. Huron Street, is requesting a technical amendment to the PD which River West 2 is a
3. Which City agency or department is requesting this EDS? <b>DEPARTMENT OF HAUSING</b> AND ECONOMIL DEVELOPMENT
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	artv-
[] Person	[] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[ ] Privately held business corporation ·	[] Joint venture
[] Sole proprietorship	Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes WNo
[] Trust	[] Other (please specify)
•	
2. For legal entities, the state (or foreign	country) of incorporation or organization, if applicable:
TL	
3. For legal entities not organized in the S	State of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign en	
	· /
[] Yes [] No	MN/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
1 List below the full names and titles of	all executive officers and all directors of the entity.
	list below all members, if any, which are legal entities. If
	rs." For trusts, estates or other similar entities, list below
the legal titleholder(s).	
	d partnership, limited liability company, limited liability
	ne and title of each general partner, managing member,
- · ·	atrols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must su	lomit an EDS on its own behalf.
Name	Title
Jeff. M. Krol	President
Leslie McLeod	VP
Barbara Worzalla	Secretary
Jeff Lucas	Pirector
( 0 1 )	

Sace Kandrup

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the	
	NONE	Disclosing Party	
SECTION III I	Business relationships w	TITH CITY ELECTED OFFICIALS	
	ing Party had a "business relationsh by elected official in the 12 months	nip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?	
[]Yes	(XVI)		
• •	ify below the name(s) of such City	elected official(s) and describe such	
relationship(s):	·		

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

retained or anticipated to be retained)	Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is
	-N/	'A	not an acceptable response.
(Add sheets if necessary)			
M Check here if the Disc	losing Party h	as not retained, nor expects to re	etain, any such persons or entities
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
-		-415, substantial owners of busi th their child support obligation	iness entities that contract with s throughout the contract's term.
		tly owns 10% or more of the Dic ons by any Illinois court of com	
[]Yes []I		o person directly or indirectly o sclosing Party.	wns 10% or more of the
If "Yes," has the person is the person in compliar			ayment of all support owed and
[]Yes []I	<b>1</b> 0		
B. FURTHER CERTIF	CATIONS		
consult for defined terms submitting this EDS is the certifies as follows: (i) n with, or has admitted gu- criminal offense involving	s (e.g., "doing ne Applicant an either the App ilt of, or has ev ng actual, atter	ver been convicted of, or placed npted, or conspiracy to commit	ats), if the Disclosing Party ty, then the Disclosing Party a is currently indicted or charged under supervision for, any

Name (indicate whether

Business

Relationship to Disclosing Party Fees (indicate whether

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - · the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly; controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such
  agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or
  prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or
  otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33B-3; (2) bid-rotating in violation of 720 ILCS 5/33B-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the l	Disclosing Par	ty is unable	to certify to any	y of the above states	ments in this Part B (Furth	er
Certifications	), the Disclosi	ing Party m	nst explain belov	<b>w:</b> .		
	180 AS	•			at f	
•					•	
			$\Lambda I / \Lambda$			
			N/H			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

## C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or any other person of for taxes or assess "City Property Sa	employee shall have a financial inte or entity in the purchase of any prope ments, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City rest in his or her own name or in the name of city that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain power hing of this Part D.
Does the Matter is	avolve a City Property Sale?	
[]Yes	XVo	
	ked "Yes" to Item D.1., provide the yees having such interest and identif	names and business addresses of the City by the nature of such interest:
Name	Business Address	Nature of Interest
	osing Party further certifies that no p y City official or employee.	rohibited financial interest in the Matter will
E. CERTIFICATI	ON REGARDING SLAVERY ERA	BUSINESS
disclose below or comply with these	in an attachment to this EDS all info	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Par from slavery or sl issued to slavehol	rty and any and all predecessor entiti aveholder insurance policies during	ing Party has searched any and all records of les regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party I policies. The Dis	nas found records of investments or p closing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ring constitutes full disclosure of all such claveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

Disclo	osure Act of 19	-	le lobbying conta	-	of the Disclosing Pa	-
	~ K			,		
If no	explanation at	opears or begins o	n the lines above	, or if the lette	rs "NA" or if the wo	ord "None"

e antition engistered under the fadeual Tablesian

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing P	arty the Applicant?
[]Yes	[] No
If "Yes," answer th	e three questions below:
-	eveloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2.)
[]Yes	[]No
Contract Complian	ed with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due e filing requirements?
[]Yes	[]No
3. Have you pe	rticipated in any previous contracts or subcontracts subject to the
[]Yes	[]No
If you checked "No	o" to question 1, or 2. above, please provide an explanation:

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

## CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

certifications and statements contained in this EDS and Appendix and complete as of the date furnished to the City.	A (if applicable) are true, accurate
The River West 2 Londominium Association	
(Print or type name of Disclosing Party)	
By:(Sign here)	
Jeff Krol	
(Print or type name of person signing)	
BOARD PRESIDENT	
(Print or type title of person signing)	
Signed and sworn to before me on (date) June 2, 2011 at Cook County, Ilinois (state).  Notary Public.	OFFICIAL SEAL ERIN H ANKIN NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES NOV 24, 2014
Commission expires: 11/24/14	100 24, 2014

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section H.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	No No	
such person is connected	d; (3) the name and title of t	le of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship.

## **CONFIRMATION OF AUTHORITY**

The undersigned, being the President of The River West Courts II Homeowners Association, an Illinois not-for-profit corporation, located at 678-680 North Peoria Street, Chicago, Illinois, hereby confirms that Mondial Condominium Association, an Illinois-not-for-profit corporation, is authorized by the undersigned to file an Application for an Amendment to Planned Development No. 356 concerning the property located at 910 West Huron Street, Chicago, Illinois.

The undersigned states that she is the President of the Board of The River West Courts II Homeowners Association and governs the property located at 678-680 North Peoria Street, Chicago, Illinois on behalf of said Homeowners Association.

Dated: July **7**, 2011.

THE RIVER WEST COURTS II HOMEOWNERS ASSOCIATION, an Illinois not-for-profit corporation

OFFICIAL SEAL

IRENE H. MATHENY NOTARY PUBLIC, STATE OF ILLINOIS

By:\_

Name:

Its: President

Subscribed and sworn to before me this <u>\$\frac{3}{2}\$</u> day of July 2011.

Trene H. mathe

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
River West Courts II Homeowners Association
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant  OR  2. [] a local entity holding a direct or indirect interest in the Applicant. State the local name of the
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. A legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: PD No. 356
B. Business address of the Disclosing Party: <u>UNIT F</u> Chieago, IL 60642
C. Telephone: (312) 646-159 Fax: (312) 743-3933 Email: naozi dma@hotmai  D. Name of contact person: Naozi C. DKorafor
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Application for an Amendment to Planned Development 10.35 (Concerning 910 West Huron G. Which City agency or department is requesting this EDS? Street Sity of Chicago Department of pipe and and Chicago II If the Matter is a contract being handled by the City's Department of Procurement Services, please 6064 complete the following:
Specification # NA and Contract # NA

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY

<ol> <li>Indicate the nature of the Disclosing Part [] Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[] Limited liability company [] Limited liability partnership [] Joint venture  Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes  [] Other (please specify)
Illinois	cate of Illinois: Has the organization registered to do
[] Yes [] No	M/N/A
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	Il executive officers and all directors of the entity.  It below all members, if any, which are legal entities. If  It is: For trusts, estates or other similar entities, list below  partnership, limited liability company, limited liability  the and title of each general partner, managing member,  trols the day-to-day management of the Disclosing Party.  Tomit an EDS on its own behalf.
Name Ngozi C. O Korafor	President
Paul Castelluca	reasurer
Ryan Haig	Board Member
Armin Parker	Board Member
2. Please provide the following information	n concerning each person or entity having a direct or

indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Name

ALL A		Disclosing Party	
NA		·	
SECTION III I	BUSINESS RELATIONSHI	IPS WITH CITY ELECTED OFFICIALS	
	•	ationship," as defined in Chapter 2-156 of the Municip onths before the date this EDS is signed?	al
[] Yes	NNo		
If yes, please iden relationship(s):	tify below the name(s) of such	h City elected official(s) and describe such	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
		,	
			· · · · · · · · · · · · · · · · · · ·
(Add sheets if necessary)		•	
Check here if the Disc	losing Party h	as not retained, nor expects to retain	in, any such persons or entities
SECTION V CERTIF	CICATIONS		
A. COURT-ORDERED	CHILD SUPF	PORT COMPLIANCE	
-		-415, substantial owners of busines th their child support obligations th	
	•	tly owns 10% or more of the Disclo	— ·
[]Yes 🛛 N		To person directly or indirectly own sclosing Party.	s 10% or more of the
If "Yes," has the person e is the person in complian		court-approved agreement for payn greement?	nent of all support owed and
[] Yes [X N	0	· ·	
B. FURTHER CERTIFIC	CATIONS		
consult for defined terms submitting this EDS is the certifies as follows: (i) ne	(e.g., "doing e Applicant ar ither the App	apter 1-23, Article I ("Article I")(w business") and legal requirements), and is doing business with the City, to licant nor any controlling person is wer been convicted of, or placed und	, if the Disclosing Party then the Disclosing Party currently indicted or charged

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;

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- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7.	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further	,
Certif	ications), the Disclosing Party must explain below:	
7		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- [] is Wis not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or e any other person or for taxes or assessm "City Property Sale does not constitute	mployee shall have a financial int entity in the purchase of any propents, or (iii) is sold by virtue of let"). Compensation for property take a financial interest within the mea	ve bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ten pursuant to the City's eminent domain powerning of this Part D.
Does the Matter inv	olve a City Property Sale?	
[] Yes	ſ <b>X</b> N₀	
•	ed "Yes" to Item D.1., provide the ees having such interest and identi	e names and business addresses of the City fy the nature of such interest:
Name NA	Business Address	Nature of Interest
	and the section of th	
E. CERTIFICATION  Please check eith disclose below or in comply with these connection with the connection with the disclosing Party from slavery or slaving issued to slavehold the Disclosing Party and the Disclosing Party and Disclosing Party has policies. The Disclosing Party has policies.	City official or employee.  ON REGARDING SLAVERY ER  ner 1. or 2. below. If the Disclosir in an attachment to this EDS all infinitional and attachment to the EDS all infinitional and any makes. Matter voidable by the City.  Sing Party verifies that the Disclosive and any and all predecessor entity the entity of the control of the entity	A BUSINESS  Ag Party checks 2., the Disclosing Party must formation required by paragraph 2. Failure to any contract entered into with the City in sing Party has searched any and all records of these regarding records of investments or profits the slavery era (including insurance policies mage to or injury or death of their slaves), and of conducting the search in step 1 above, the profits from slavery or slaveholder insurance wing constitutes full disclosure of all such slaveholders described in those records:
		•

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying
Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with
respect to the Matter: (Add sheets if necessary):
_ N   A
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None"

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

	Is the Disclosing Part	the Applicant?	
	[]Yes	No No	
	If "Yes," answer the t	ree questions below:	
4	· ·	oped and do you have on file affirmative action programs pursuant to applicab ee 41 CFR Part 60-2.) [] No	le
Δ	Ţ.	vith the Joint Reporting Committee, the Director of the Office of Federal rograms, or the Equal Employment Opportunity Commission all reports due ing requirements?  [] No	
•	3. Have you parti	ipated in any previous contracts or subcontracts subject to the	
4	[] Yes	[] No	
	If you checked "No"	question 1. or 2. above, please provide an explanation:	
		KNOWLEDGMENTS, CONTRACT INCORPORATION,	

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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37.3

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

and complete as of the date furnished to the City.	· · · · · · · · · · · · · · · · · · ·
River West Courts I Homeowner	s Association
(Print or type name of Disclosing Party)	
By: Maril Deviator	
Vaozi C. Okorafor	
(Print of type name of person signing)	
President	
(Print or type title of person signing)	
Signed and sworn to before me on (date) July 8 th 2011, at Cook County, Illinois (state).	
Nene H. Matheny Notary Public.	OFFICIAL SEAL IRENE H. MATHENY NOTARY PUBLIC, STATE OF ILLINOIS
Commission expires: $10 - 10 - 10 / 2$	MY COMMISSION EXPIRES 12-10-2012

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

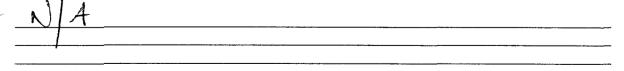
"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.



## **CONFIRMATION OF AUTHORITY**

The undersigned, being the President of The River West Courts 4 Condominium Association, an Illinois not-for-profit corporation, located at 943 West Huron Street, Chicago, Illinois, hereby confirms that Mondial Condominium Association, an Illinois-not-for-profit corporation, is authorized by the undersigned to file an Application for an Amendment to Planned Development No. 356 concerning the property located at 910 West Huron Street, Chicago, Illinois.

The undersigned states that it is the President of the Board of The River West Courts 4 Condominium Association and governs the property located at 943 West Huron Street, Chicago, Illinois on behalf of said Condominium Association.

Dated June 21, 2011.

ψ.

THE RIVER WEST COURTS 4 CONDOMINIUM ASSOCIATION, an Illinois not-for-profit corporation

Name:

Its: President

Subscribed and sworn to before me this 2/5 / day

OTARY HUBLIC

of June 20

Official Seal Darryl P Sabatini

Notary Public State of Illinois My Commission Expires 08/04/2010

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
ENERWEST COURTS 4 CONDOMINIUM ASSOCIATION
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. A legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 901 W. HURON  CHICAGO, IL 60642
C. Telephone: 312-829-9010 Fax: 312-829-9010 Email: JB @BERGERSTUDO, CO
D. Name of contact person: JACK BERCOER
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
MONDIAL 910 W. HURON CHICAGO, IL 60642
G. Which City agency or department is requesting this EDS? PLANNING
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY

; ; , **T** 

<ol> <li>Indicate the nature of the Disclosing</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol> 2. For legal entities, the state (or foreign	[] Limited liability company
•	e State of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign	entity?
B. IF THE DISCLOSING PARTY IS A LE	EGAL ENTITY:
NOTE: For not-for-profit corporations, also there are no such members, write "no memb the legal titleholder(s).  If the entity is a general partnership, limit partnership or joint venture, list below the n	of all executive officers and all directors of the entity. It is below all members, if any, which are legal entities. If pers." For trusts, estates or other similar entities, list below ted partnership, limited liability company, limited liability ame and title of each general partner, managing member, ontrols the day-to-day management of the Disclosing Party. submit an EDS on its own behalf.
Name	Title
JACK BERGER	MANAGER
2. Please provide the following informa	ation concerning each person or entity having a direct or

indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
JACK	BERGER 901 W. HUPON	Disclosing Party 30 %
SECTION	III BUSINESS RELATIONSHIPS WIT	TH CITY ELECTED OFFICIALS
	Disclosing Party had a "business relationship, any City elected official in the 12 months be	," as defined in Chapter 2-156 of the Municipal fore the date this EDS is signed?
[ ] Yes	No	
If yes, plea relationshi	se identify below the name(s) of such City electors:	ected official(s) and describe such
	·	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary	)		
[] Check here if the Dis	closing Party h	as not retained, nor expects to retain	, any such persons or entities.
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
_		-415, substantial owners of business h their child support obligations thro	
• •	•	ly owns 10% or more of the Disclosons by any Illinois court of competer	•
[]Yes [1]		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for paymereement?	ent of all support owed and
[]Yes []?	10		
B. FURTHER CERTIF	CATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further				
Certif	Certifications), the Disclosing Party must explain below:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is X is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.				
Does the Matter in	volve a City Property Sale?			
[] Yes	MNo			
•	ted "Yes" to Item D.1., provide the ees having such interest and identif	names and business addresses of the City y the nature of such interest:		
Name	Business Address	Nature of Interest		
	sing Party further certifies that no p City official or employee.	rohibited financial interest in the Matter will		
E. CERTIFICATIO	ON REGARDING SLAVERY ERA	BUSINESS		
disclose below or in comply with these	n an attachment to this EDS all info	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in		
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies sued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.				
Disclosing Party hat policies. The Discl	s found records of investments or posing Party verifies that the follows	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ing constitutes full disclosure of all such laveholders described in those records:		

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

RIVERWEST COURTS 4 CONDOMINIUM ASSOCIATION	
(Print or type name of Disclosing Party)	
By: (Sign here)	
JACK BERGER	
(Print or type name of person signing)	
MANAGER	
(Print or type title of person signing)	
Signed and sworn to before me on (date) JWE 21 2011, at Courty, (LINOIS (state).	
Official Seal Darry P Sabatini	
Commission expires: Notary Public State of Illinois  My Commission Expires 08/04/2010	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	No	
such person is conne	cted; (3) the name and title of the	tle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship.

### **CONFIRMATION OF AUTHORITY**

The undersigned, being the President of the River West Development Limited Partnership, located at 671 North Sangamon Street, Chicago, Illinois, hereby confirms that Mondial Condominium Association, an Illinois-not-for-profit corporation, is authorized by the undersigned to file an Application for an Amendment to Planned Development No. 356 concerning the property located at 910 West Huron Street, Chicago, Illinois.

The undersigned states that it is the President of the River West Development Limited Partnership and governs the property located at 671 North Sangamon Street, Chicago, Illinois on behalf of said entity.

Dated June 21, 2011.

THE RIVER WEST DEVELOPMENT LIMITED PARTNERSHIP,

By:

Name: Jack Berger

Its: President

Subscribed and sworn to before me this 2)3 day

of June 2011.

Official Seal
Darryl P Sabatini
Notary Public State of Illinois

PUBLIC

My Commission Expires 08/04/2010

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party sub	mitting this EDS. Include d/b/a/ if applicable:
RIVERWEST DEVELOPY	IENT LTD PARTNERSHIP
Check ONE of the following three boxes:	:
Indicate whether the Disclosing Party subm 1. [] the Applicant OR	nitting this EDS is:
• • •	ndirect interest in the Applicant. State the legal name of the rty holds an interest:
• • • • • • • • • • • • • • • • • • • •	ol (see Section II.B.1.) State the legal name of the entity in t of control: PIVERWEST DEVELOPMENT LTD PARTNERSHIP
B. Business address of the Disclosing Part	y: 901 W, HURON
•	CHICAGO, 1L 60642
C. Telephone: 312-829-9010 Fax: 3	BERGER
E. Federal Employer Identification No. (if y	you have one):
•	or other undertaking (referred to below as the "Matter") to number and location of property, if applicable):
MONDIAL 910 W, H	URON CHICAGO, IL 60642
G. Which City agency or department is req	uesting this EDS? PLANUING
If the Matter is a contract being handled complete the following:	by the City's Department of Procurement Services, please
Specification #	and Contract #

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing F	Party:
[] Person	[] Limited liability company
[ ] Publicly registered business corporation	[] Limited liability partnership
[ ] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
Limited partnership	[]Yes []No
[ ] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign	country) of incorporation or organization, if applicable:
	State of Illinois: Has the organization registered to do ntity?
<i>g</i>	
[] Yes [] No	N/A
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:
NOTE: For not-for-profit corporations, also there are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the namanager or any other person or entity that co	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If ers." For trusts, estates or other similar entities, list below ed partnership, limited liability company, limited liability me and title of each general partner, managing member, ntrols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must s	submit an EDS on its own behalf.
Name  JACV. BERGER	Title PRESIDENT
	_
ANA BERGER	SEC TRES
JACK BERGER  ANA BERGER  MARC BERGER	VICE - PRESIDENT

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Bus	siness Address	Percentage Interest in the
			Disclosing Party
JACK	BERGER	901 W. HURON	17,5%
ANA	BERGER	901 W. HURON	32,5 %
MARC	BERGER	901 W. HURON	17,5%

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	MNo		,
If yes, please iden relationship(s):	tify below the name(s) of	f such City elected official(s)	and describe such
		_	

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	er Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	y)		
[] Check here if the Di	isclosing Party h	as not retained, nor expects to retain	, any such persons or entities.
SECTION V CERT	TIFICATIONS		
A. COURT-ORDERE	D CHILD SUPP	ORT COMPLIANCE	
<del>-</del>		-415, substantial owners of business the their child support obligations three	
· <del>-</del>	•	ly owns 10% or more of the Disclosons by any Illinois court of competer	
[]Yes	•	o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person is the person in complia		court-approved agreement for paymereement?	ent of all support owed and
[] Yes []	No		
B. FURTHER CERTII	FICATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7.	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further	
Certifications), the Disclosing Party must explain below:		
•		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is Mis not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):  $N/\Delta$ 

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.		
Does the Matter in	volve a City Property Sale?	
[] Yes	∭No	
=	ked "Yes" to Item D.1., provide the vees having such interest and identif	names and business addresses of the City by the nature of such interest:
Name	Business Address	Nature of Interest
	sing Party further certifies that no particular certifies the certifies that certifies the certifies that certifies the certifies that certifies the certifies the certifies that certifies the certifi	rohibited financial interest in the Matter will
E. CERTIFICATION	ON REGARDING SLAVERY ERA	BUSINESS
disclose below or i comply with these	n an attachment to this EDS all info	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Part from slavery or sla issued to slavehold	y and any and all predecessor entitiveholder insurance policies during	ng Party has searched any and all records of es regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party happened policies. The Disc	as found records of investments or plosing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ing constitutes full disclosure of all such laveholders described in those records:
<del>-</del>		

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying			
Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with			
respect to the Matter: (Add sheets if necessary):			
N/A			
$\iota$			
If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None"			
ppear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities			

appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing	Party the Applicant?	
[]Yes	M No	
If "Yes," answer	the three questions belo	w:
1. Have you	leveloped and do you h	ave on file affirmative action programs pursuant to applicable
federal regulation	s? (See 41 CFR Part 60	)-2.)
[]Yes	[ ] No	
2. Have you	filed with the Joint Rep	orting Committee, the Director of the Office of Federal
<del>-</del>	nce Programs, or the Edole filing requirements?	qual Employment Opportunity Commission all reports due
[] Yes	[ ] No	
3. Have you	participated in any prev	ious contracts or subcontracts subject to the
equal opportunity	clause?	·
[] Yes	[ ] No	
If you checked "N	No" to question 1. or 2.	above, please provide an explanation:
AND THE REAL PROPERTY OF THE P	· · · · · · · · · · · · · · · · · · ·	

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

. . . .

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)
By: (Sign here)
JACK BERGER
(Print or type name of person signing)
PRESIDENT
(Print or type title of person signing)
Signed and sworn to before me on (date), 2011, at, County,, (state).
Notary Public.
Official Seal Darryl P Sabatini
Commission expires: Notary Public State of Illinois

RIVERWEST DEVELOPMENT, LTD,

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

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### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	IX No	
such person is connec	eted; (3) the name and title of the	of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nature of such familial relationship.

JOHN J. GEORGE

ATTORNEY AT LAW
TWO FIRST NATIONAL PLAZA
SUITE 400
20 SOUTH CLARK STREET
CHICAGO, ILLINOIS 60603-1903

(312) 726-8797

September 1, 2011

Re:

857-921 West Superior Street, 661-709 North Sangamon Street, 674-698 North Sangamon Street, 856-920 West Huron Street, 901-939 West Huron Street, 656-666 North Peoria Street, 674-694 North Peoria Street, 900-920 West Ancona Street, Chicago, Illinois

Application for Technical Amendment to Residential Business Planned Development No. 356

### Dear Property Owner or Resident:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, for an approval under the Chicago Zoning Ordinance and an application for planned development, please be informed that on or about September 1, 2011, I, the undersigned attorney, will file an application on behalf of the Applicant, Mondial Condominium Association, for a change in zoning from Residential Business Planned Development No. 356, as amended to B2-5 Neighborhood Mixed-Use District and then to Residential Business Planned Development No. 356, as amended, for the property commonly known as 857-921 West Superior Street, 661-709 North Sangamon Street, 674-698 North Sangamon Street, 856-920 West Huron Street, 901-939 West Huron Street, 656-666 North Peoria Street, 674-694 North Peoria Street, 900-920 West Ancona Street, Chicago, Illinois and generally bounded by: West Superior Street; a line 312.91 feet east of North Sangamon Street; a line from a point 312.91 feet east of North Sangamon Street and 51.18 feet north of West Huron Street, to a point 348.81 feet east of North Sangamon Street along the north line of West Huron Street; West Huron Street; North Peoria Street; a line 215.94 feet south of West Huron Street; North Peoria Street; a line 265.94 feet south of West Huron Street; North Peoria Street; West Ancona Street; North Sangamon Street; the alley next south of and parallel to West Huron Street; the alley next southwesterly of North Sangamon Street; the alley next southwesterly of West Huron Street; a line 151.5 feet west of North Sangamon Street; West Huron Street; and North Sangamon Street, Chicago, Illinois.

This Application is for a technical amendment to Residential Business Planned Development No. 356 in order to accommodate approximately 1,800 square feet of existing space to be used as an exercise facility for residents of 910 West Huron Street only. No other changes are being made to the terms of Residential Business Planned Development No. 356.

The Applicant is Mondial Condominium Association, whose address is 30 South Wacker Drive, Suite 3600, Chicago, Illinois.

September 1, 2011

Chairman, Committee on Zoning Room 200 - City Hall Chicago, Illinois 60602

Re:

857-921 West Superior Street, 661-709 North Sangamon Street, 674-698 North Sangamon Street, 856-920 West Huron Street, 901-939 West Huron Street, 656-666 North Peoria Street, 674-694 North Peoria Street, 900-920 West Ancona Street, Chicago, Illinois

The undersigned, Chris A. Leach, being first duly sworn on oath, deposes and says the following:

The undersigned certifies that he has complied with the requirements of Sec. 17-13-0107 of the Chicago Zoning Ordinance, by sending the attached letter by USPS first class mail to such property owners who appear to be the owners of said property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet.

The undersigned certifies that the notice contained the boundaries of the property sought to be rezoned; a statement of intended use of said property; the name and address of the applicant; a statement that the applicant intends to file an application for a change in zoning on approximately September 1, 2011.

The undersigned certifies that he has made a bonafide effort to determine the addresses of the parties to be notified; that the applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet, recognizing the above limits, is a complete list containing the names and last known addresses of the owners of the property required to be served and that the applicant has furnished in addition a list of the persons so served.

Chris A. Leach

Chie R Last

Subscribed and sworn to before me this 1st day of September, 2011

Notary Public

OFFICIAL SEAL
KATHERINE M. RYBAK
MOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 04/18/2012

### CERTIFIED SURVEY, INC. PLAT SHOWING SUB AREA A PD356 ₩ **\*** E STREET W. SUPERIOR STREET $\overline{G}_{i}$ ļΩ W. HURON STREET 33 32 30 STREET 31 37 32 30 29 33 23 34 27 35 3 9 26 35 n 37 25 38 PEORIA 12 39 13 14 N. SANGAMON :5 ż

DATE Docember 21, 2009
ORDERED BY Jack Berger

STATE OF &LINOIS) COUNTY OF COOKISS

WE CERTIFIED BURVEY, INC. DO HERRERY CERTIFY THAT WE HAVE PREPARED THE PLAY HEREON DRAVAN FOR THE USES AND PURPOSES THEREIN SET FORTH.

TION ESSIONAL ELINOIS LAND SURVEYOR - JCENSE EXPIRES NOVEMBER 30, 2010



# CERTIFIED SURVEY, INC.

1440 Recatasanco Difra, Sulta 140, Park Ridgs, IL 60068 Phone 847-296-6900 Eax 847-296-6906

IPTION

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