

City of Chicago



O2022-387

Office of the City Clerk Document Tracking Sheet

Meeting Date:

1/26/2022

Sponsor(s):

Waguespack (32)

Type:

Ordinance

Title:

Approval of plat of Wolfram Paulina North Resubdivision

Committee(s) Assignment:

Committee on Transportation and Public Way

SUBDIVISION ORDINANCE

Be it Ordained by the City Council of the City of Chicago:

SECTION 1. The Commissioner of the Chicago Department of Transportation, or any of her designees, is each hereby authorized and directed to approve a proposed Wolfram Paulina North Resubdivision being a resubdivision of certain lots owned by Wolfram Homes Development LLC ("Developer") in the block bounded by S. Paulina Street, S. Ashland Avenue, W. Diversey Parkway, and approximately W. George Street, and legally described in the attached plat (**Exhibit A**, CDOT File: 30-32-21-3990) which, for greater certainty, is hereby made a part of this ordinance.

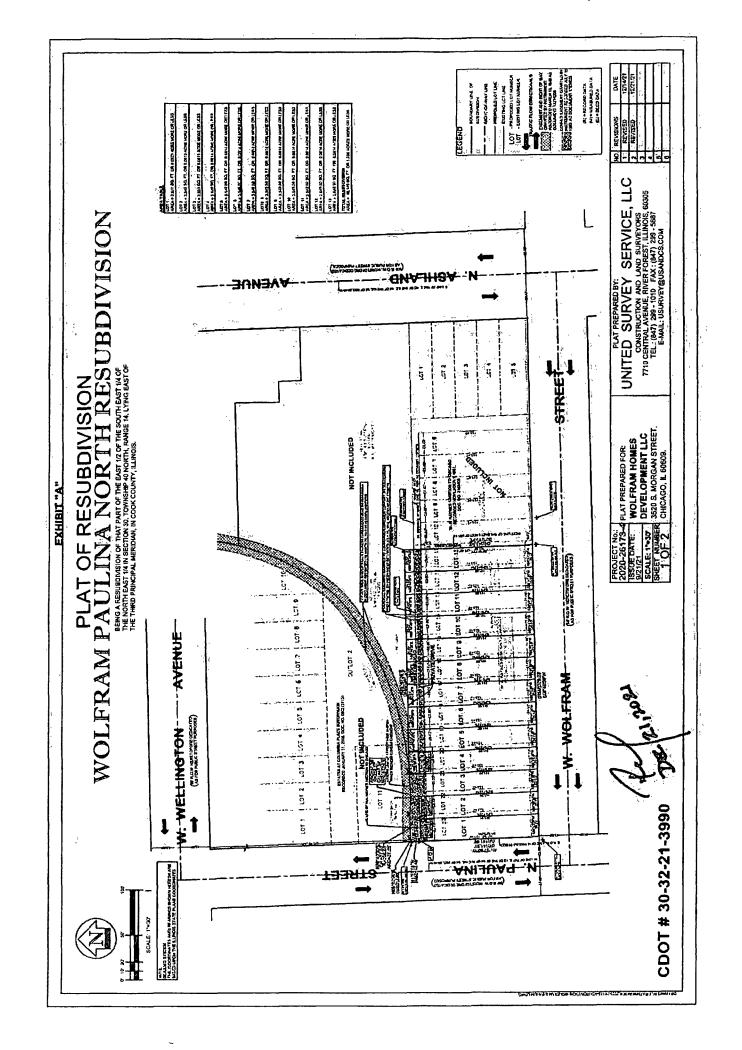
SECTION 2. The subdivision herein provided for is made under the express condition that the Developer, its successors and assigns, shall hold harmless, indemnify and defend the City of Chicago from all claims related to the subdivision.

SECTION 3. The resubdivision herein provided for is made upon the express condition that within one hundred eighty (180) days after the passage of this ordinance, the Developer shall file or cause to be filed for recordation with the Office of the Cook County Clerk / Recordings Division, a certified copy of this ordinance, together with the full-sized corresponding plat as approved by the Department of Transportation's Superintendent of Maps and Plats.

SECTION 4. This ordinance shall take effect and be in force from and after its passage and publication. The subdivision shall take effect and be in force from and after the recording of the approved ordinance and the associated plat.

introduced by:

Honorable Alderman Scott Waguespack, Ward 32



THE CONTROLL LEAVEN.

THE CONTROLL LEAVEN.

RECORDAN TO THE EAST TO THE COUTH EAST HOP THE HOSTINE BATT HAN IN MAIN TO THE EAST TO THE COUTH BATT HAN IN THE THE PROJECT THE RECORDAN TO THE THEO PROJECT THE RECORDAN TO THE THEO PROJECT THE CONTROLL THE RECORDAN THE RECORDED TO THE CONTROLL THE RECORD THE RECORD THE RECORD THE RECORD THE RECORDAN THE RECORDED TO THE RECORD THE RECO LOT I (ECCEPT THE LAYS LOST TREATED HOUSE TO THAT WELLIAMS TO THAT WELLIAMS TO THE LAYS LOST THE COST WING PRIZE OF LALLY VACATED WELLIAMS TO THE COST WING PRIZE OF LALLY VACATED WE AND COMMENT OF THE SECONDO LAYS AND COST AND THE SECONDO LAYS AND COMMENT WINGEST WORK AND COMMENT WINGEST WORK AND COMMENT WINGEST WORK AND COMMENT WINGEST WORK AND SHAPP THE SET OF LOST AND COMMENT WINGEST WINGES WINGEST WINGES WINGEST WINGES WINGEST WINGEST WINGEST WINGEST WINGEST WINGEST WINGEST WINGES WINGEST WING I FIGHLER CERTOR THAT THE BABLECT PROPRITY IS LOCATED WITHOU MAGNING WHICH MICHAEL SHE WAND, A TABLE GEREBARE THE BENTILLER FELS. WAND, CHANGE RESULTAND THE THE SERVICE MADE TO FOUND A THE RESULTAND THOSAND, ARE THEN THE SERVICE MADE TO FOUND THE SERVICE MADE T I, Not O. Lanmallan, a registreed professional land repretor, oo kearay astaty yaan iyaas surpetor ad suuraade the profesy descaed in the astat captor, aad taat the raat heren dalwe is a true aad coheedt presentation of the earlieth of stand I DO HENSIY CERTIYY THAI ALL CHURAGONS GOOMN HENCOH APE IN FEET AND DICLALLU FARTS THEREOF AND ARE CORNECTED TO A TEMPERATURE OF 80 DESAFETS TAMBERNETI I DO MÉKEBY AUTHORIZE THE OWNER ORTHER MÉKUT TO FILE THIS PLAT OF SAIDWISHON WITH THE COOM COUNTY RECORDER B DIFFICE ON SUITABLE DEPICTION I DO HERSEY FURTHER CERTURY INAT THE UND NOLLDED BITHS PLAT IS ENTHELY STRUKED WITHIN THE CORPORATE LIMITS OF THE CITY OF CHECKO, COCK, LIDARIY SLANGES CONTEST CHAIN THE TORSHOLD COME CONFORM TO THE CONTEST CONFORM TO THE CONTEST CONFORM TO THE CONTEST CONFORM TO THE CONTEST CONFORM TO THE CO THIS PLAT OF GUIDAVISION IS DRAWN TO THE SCALE OF CHE INCH MER 30 FLET. DATED AT RIVER FOREST LLIMONS, THIS 21st DAY OF DECEMBER, A.D. 2021. BURVEYOR'S CERTIFICATE: WOLFRAM PAULINA NORTH RESUBDIVISION A 16 FEET PERPETUAL EASEMENT FOR VEHICULARAND PEDESTRAN INGRESS AND EGRESS IS GRANTED FOR SERVING THE SUZDANSION AS DEPICTED AND LABELED AS TRIVATE DRIVE" WITHIR PLAT. MONLIMENTATION AT ALL LOT CORNERS HIDICATED BY SYMBOL OR NOTATION ESTABLISHED FROM TO PLAT RECORDATION. NO DIMEMBIONS SHOULD BE ASSUMED BY SCALE MEASUMEMENTS. NO MOMENTS WERE FOUND AT THE EXTERCH CORNERS OF THE PROPERTY BITERIOR CORNER TO BE SET ACCORDING TO LLINOIS STATE STATUES REQUIREMENTS. THE (COORDINATES AND) BEARINGS BHOWN HEREON ARE BASED UPON THE ILLHIOIS STATE PLANE COORDINATES PRAPETUAL EASEMENT CRANTED TO CORROWNEALTH EDISON COAPANY, ITS SUCCESSORS AND ASSICKS BY INSTRUMENT RECORDED ALLY 15, 1959. AS DOCUMENT ITSA460 CITY OF CHICAGO ZONED. RT4 = RESIDENTIAL TWO-RLAT TOWNHOUSE AND MULTI-LINET DISTRICT DATE OF COMPLETION OF FIELD WORK; AUGUST 11, 2021 NEARBY SCHOOLS IN CHICAGO: -BURLEY FLEMENTARY SCHOOL - ALCOTT HUMANTHES INCH SCHOOL BEING A RESUBDIVISION OF THAT PART OF THE EAST 12 OF THE SOUTH EAST 14 OF THE HORTH EAST 14 WISCTION 30, TOWNSHIP 40 NORTH, RANGE 14, LYING EAST OF HET HAND PRINCE PAL WERTIONA', IN COOK COUNTY, ILLINOIS. SURVEYOR'S MOTES: AFFECTED PERMANENT INDEX NUMBERS: 14-50-224-014-0000 PART OF 14-50-224-014-0000 PART OF 14-50-224-029-0000 PART OF 14-50-224-029-0000 PART OF EXHIBIT "A" House, the season of a rough in the season has a season to the season of A GINETO REAL PARTY OF ELECTRICAL PROPERTY AND THE STATE OF EACH PROPERTY AND THE STATE OF ELECTRICAL STAT YECTRUM KOME BRYTE, CHECK I LE PERENY CENTRES THAT IT IS THE ONAER OF THE MOTORITY COLORIZOD KOME ON AND AND COLORISO WIS COLORISO WIS HOSPITY I DIE SENETO AND SUGRESTICO HITE MANNES HERCH CHECK ON AND N A MOTATY PUBLIC IN AND FOR EAD COUNTY IN THE STATE THEN UNDER UT HAND AND NOTABAL BEAL THE COPECS: 3420 B BOOGAN STREET, CHEADO, R. SHOLL STATE OF ALMOND)

BUE,

DOUGHTY OF GOOK) Í CHREST CASTEROATE CONCESSOR EXPRES PART CRUTHEAT NOTARY PUBLIC COUNTY OF COOK! STATE OF KLINGS > THE WHITE CHICAGO DEPARTMENT OF FHUANCE DEVELOPMENT LLC 3520 S. MORGAN STREET, CHICAGO, IL 60603, COOK CO WOLFRAM HOMES

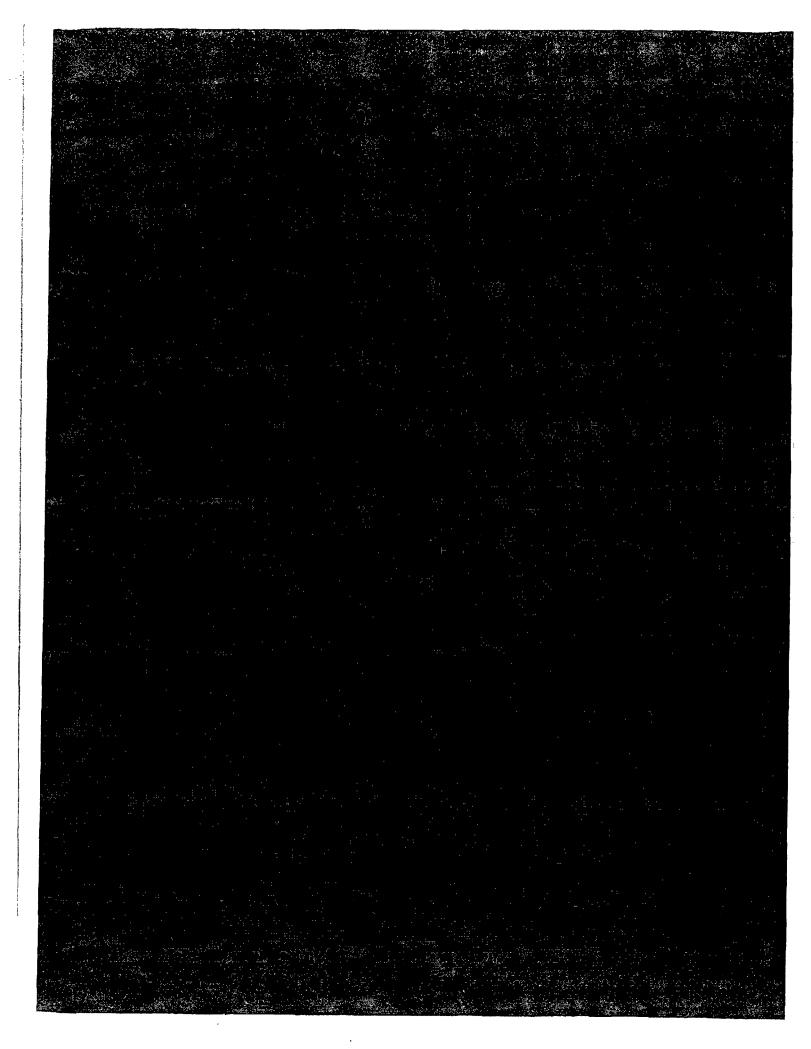
2020-26173-4 PLAT PREPARED FOR: 1850-56173-4 WOLFRAM HOMES 1850-5121.

SCALE: 11-890 DEVELOPMENT LLC SHEET NAMES 3520 S. MORGAN STREET. 2 OF 2 C. CHICAGO, IL 60609.

CDOT # 30-32-21-3990

PLAT PREPARED BY:
UNITED SURVEY SERVICE, LLC
COMSTRUCTION AND LAUNS SINGERYORS
7710 CENTEAL MENUE RIVER FOREST ILLINOS, 60306
TEL.: (847) 289-1010 FAX: (847) 289-5697
E-MALL: USURVEY@USANIOGS.COM

DATE



#1 WOLFRAM HOMES DEVELOPMENT LLC

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclos | ing Party submitting t | his EDS. Inc | lude d/b/a/ if applicable: |
|--|---|---|---|
| Wolfram Homes Developm | ent LLC | | |
| Check ONE of the following | three boxes: | | |
| the contract, transaction or oth "Matter"), a direct or indirect name: | ntly holding, or anticip ner undertaking to whi interest in excess of 7 | pated to hold ich this EDS _I .5% in the Ap | within six months after City action on pertains (referred to below as the oplicant. State the Applicant's legal |
| OR 3. a legal entity with a State the legal name of the ent | | | of the Applicant (see Section II(B)(1)) olds a right of control: |
| B. Business address of the Di | sclosing Party: | 3250 South Chicago, IL | Morgan Street 60608 |
| C. Telephone: <u>312-327-33</u> | 50 Fax: | | Email: <u>michael@acostaezgur.co</u> m |
| D. Name of contact person: | Michael Ezgur | | व ः |
| E. Federal Employer Identific | cation No. (if you have | e one): | |
| F. Brief description of the Maproperty, if applicable): | atter to which this EDS | S pertains. (I | nclude project number and location of |
| Subdivision for the property | located at 1649 - 59 | West Wolfra | am St. (odd only)/2815 - 25 North Paulina |
| G. Which City agency or dep | artment is requesting | this EDS? | CDOT |
| If the Matter is a contract beir complete the following: | ig handled by the City | 's Departmen | t of Procurement Services, please |
| Specification # | and | d Contract # | |
| Ver.2018-1 | Page 1 c | of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Limited liability company Limited liability partnership Publicly registered business corporation Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership ∏No Yes lTrust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? **✓** Organized in Illinois Yes \square No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures. each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Mao Mei Manager KGiles LLC, Inc. Manager

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name | Business Address | _ | e Interest in the | |
|----------------------------|---|--------------------------------|---------------------|-------------------------|
| | 250 South Morgan Street, 0 es KG Investors LLC 1456 | - . | 50% rk, IL 60035 | 50% |
| SECTION III - OFFICIALS | INCOME OR COMPEN | SATION TO, OR OWNER | RSHIP BY, CIT | Y ELECT |
| | ing Party provided any incon d preceding the date of this E | | ity elected offic | ial during tl |
| | sing Party reasonably expect during the 12-month period f | | | ny City [] No |
| • | r of the above, please identify acome or compensation: | below the name(s) of such | City elected off | icial(s) and |
| inquiry, any Cit | elected official or, to the best y elected official's spouse or of the Municipal Code of Chic | domestic partner, have a fina | ancial interest (a | |
| | identify below the name(s) of | f such City elected official(s |) and/or spouse(| s)/domesti |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is | | |
|--|---|--|--|--|
| not an acceptable response. Michael Ezgur(ret.) 1030 W. Chicago Ave., 3rd Fl., Chicago, IL 60642 \$10,000 (est) | | | | |
| | | | | |
| \ | ver | | | |
| (Add sheets if necessary) | | | | |
| Check here if the Disclosing Party | has not retained, nor expects to re- | tain, any such persons or entities. | | |
| SECTION V CERTIFICATIONS | S | | | |
| A. COURT-ORDERED CHILD SUP | PPORT COMPLIANCE | | | |
| Under MCC Section 2-92-415, substaremain in compliance with their child | | • | | |
| Has any person who directly or indire arrearage on any child support obliga | • | • | | |
| Yes No No person d | irectly or indirectly owns 10% or m | nore of the Disclosing Party. | | |
| If "Yes," has the person entered into a is the person in compliance with that | | ment of all support owed and | | |
| Yes No | | | | |
| B. FURTHER CERTIFICATIONS | | | | |
| 1. [This paragraph 1 applies only if the Procurement Services.] In the 5-year Party nor any Affiliated Entity [see desperformance of any public contract, the inspector general, or integrity compliations investigative, or other similar skills, design of the second seco | period preceding the date of this E efinition in (5) below] has engaged, ne services of an integrity monitor, ance consultant (i.e., an individual of | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, | | |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.2018-1

| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. | | | | |
|--|--|--|--|--|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A | | | | |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. | | | | |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A | | | | |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A | | | | |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION | | | | |
| The Disclosing Party certifies that the Disclosing Party (check one) is | | | | |
| a "financial institution" as defined in MCC Section 2-32-455(b). | | | | |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: | | | | |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a | | | | |

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32 | | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain |
|---|---|--|
| | | |
| | the word "None," or no response a med that the Disclosing Party certification. | appears on the lines above, it will be fied to the above statements. |
| D. CERTIFICATI | ON REGARDING FINANCIAL I | NTEREST IN CITY BUSINESS |
| Any words or term | ns defined in MCC Chapter 2-156 h | ave the same meanings if used in this Part D. |
| after reasonable in | | ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter? |
| Yes | No No | |
| - | ecked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to | to Items D(2) and D(3). If you checked "No" o Part E. |
| official or employed other person or ent taxes or assessment "City Property Sale | ee shall have a financial interest in latity in the purchase of any property its, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in | volve a City Property Sale? | |
| Yes | No | |
| | | mes and business addresses of the City officials fy the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| | | |
| · | | |
| | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None |
|--|
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| A. CERTIFICATION REGARDING LOBBYING |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

Page 9 of 15

behalf of the Disclosing Party with respect to the Matter.)

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the A | Applicant? |
|---|--|
| If "Yes," answer the three qu | uestions below: |
| 1. Have you developed and federal regulations? (See 4) Yes | do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.) No |
| _ | Joint Reporting Committee, the Director of the Office of Federal Contract the Equal Employment Opportunity Commission all reports due under the lats? No Reports not required |
| 3. Have you participated in equal opportunity clause? Yes | any previous contracts or subcontracts subject to the |
| If you checked "No" to ques | tion (1) or (2) above, please provide an explanation: |
| | |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Wolfram Homes Development LLC | |
|---|--|
| (Print or type exact leval name of Discle | osing Party) |
| By: | |
| (Sign here) | |
| Keith Giles | |
| (Print or type name of person signing) | |
| President of Manager | 1.000 |
| (Print or type title of person signing) | • |
| Signed and sworn to before me on (date |) 12-29-21 |
| at Cosh County, // | (state). |
| -life | |
| Notary Public | |
| Commission expires: | Official Seal Michael H. Ezgur Notary Public State of Illinois |
| | thy Commission Expires 11/18/2025 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| | • | an elected city official or department head? |
|---------------------|---|--|
| Yes | No . | |
| which such person i | s connected; (3) the name | and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to , and (4) the precise nature of such familial relationship. |
| | | |

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|----------------|-------------------|---|
| Yes | ✓ No | |
| - - | | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| • ', ' | offlaw or problem | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □ No |
| N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

#2 KGILES LLC, INC

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing | Party submitti | ng this EDS. Inc | elude d/b/a/ if applicable: |
|--|--|---|---|
| KGiles LLC, Inc. | | 110 | |
| Check ONE of the following thr | ee boxes: | , | |
| the contract, transaction or other t | holding, or an undertaking to rest in excess | nticipated to hold which this EDS of 7.5% in the A _l | oplicant. State the Applicant's legal |
| | in which the [| _ | of the Applicant (see Section II(B)(1)) nolds a right of control: |
| B. Business address of the Disclo | osing Party: | 1456 Ridge R Highland Park | |
| C. Telephone: <u>312-327-3350</u> | Fax: | - IUIUIU | Email: <u>michael@acostaezgur.c</u> om |
| D. Name of contact person:M | ichael Ezgur | | _ |
| E. Federal Employer Identification | on No. (if you | have one): | |
| F. Brief description of the Matter property, if applicable): | to which this | EDS pertains. (I | include project number and location of |
| Subdivision for the property loc | ated at 1649 | - 59 West Wolfra | am St. (odd only)/2815 - 25 North Paulina |
| G. Which City agency or departn | nent is request | ing this EDS? | CDOT |
| If the Matter is a contract being he complete the following: | andled by the | City's Departmer | nt of Procurement Services, please |
| Specification # | | and Contract # | |
| Ver.2018-1 | Pag | ge 1 of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party: Person Limited liability company Limited liability partnership Publicly registered business corporation ✓ Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership $\overline{\text{(Is)}}$ the not-for-profit corporation also a 501(c)(3)? Limited partnership Yes \square No Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Yes Organized in Illinois \square No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title Keith Giles President, sole officer and director 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." | | | | |
|--|--|---|----------------------------|---------------|
| NOTE: Each | legal entity listed below may be required | l to submit an EDS or | ı its own behalf | f. |
| Name Keith Giles | Business Address 1456 Ridge Road, Highland Park, I | _ | nterest in the A | |
| SECTION III | I INCOME OR COMPENSATION | TO, OR OWNERSI | нг ву, сіту | ELECTE |
| | osing Party provided any income or compod preceding the date of this EDS? | pensation to any City | elected official Yes | during the No |
| | losing Party reasonably expect to provide I during the 12-month period following t | | | y City No |
| • | er of the above, please identify below the income or compensation: | e name(s) of such Cit | y elected offici | al(s) and |
| inquiry, any C Chapter 2-156 Yes If "yes," please | elected official or, to the best of the Disciplant of the Municipal Code of Chicago ("MC No No elidentify below the name(s) of such City describe the financial interest(s). | partner, have a financ CC")) in the Disclosin | cial interest (as g Party? | defined in |
| | | | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---|---|---|
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities. |
| SECTION V CERTII | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | | antial owners of business entities th I support obligations throughout the | |
| | • | ectly owns 10% or more of the Disc tions by any Illinois court of compe | • |
| Yes No | No person d | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person e is the person in complian | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] I Party nor any Affiliated I performance of any publi inspector general, or integ investigative, or other sin | In the 5-year Entity [see d c contract, t grity compli- nilar skills, o | the Matter is a contract being handled period preceding the date of this E efinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual edgesignated by a public agency to help as well as help the vendors reform the | DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusivel presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32 | | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain |
|--|---|--|
| | | |
| | the word "None," or no response a med that the Disclosing Party certification. | appears on the lines above, it will be fied to the above statements. |
| D. CERTIFICATION | ON REGARDING FINANCIAL II | NTEREST IN CITY BUSINESS |
| Any words or term | s defined in MCC Chapter 2-156 h | ave the same meanings if used in this Part D. |
| after reasonable inc | | ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter? |
| Yes | ☑ No | |
| | cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed to | to Items D(2) and D(3). If you checked "No" o Part E. |
| official or employe other person or enti- taxes or assessment "City Property Sale | e shall have a financial interest in lity in the purchase of any property is, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter inv | volve a City Property Sale? | |
| Yes | No | |
| | | nes and business addresses of the City officials fy the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| | | |
| | | |
| _ | Party further certifies that no prohesy official or employee. | ibited financial interest in the Matter will be |

Ver.2018-1

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing |
| Party with respect to the Matter: (Add sheets if necessary): |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to paragraph any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee |

Page 9 of 15

Ver.2018-1

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No |
|---|
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [Yes No Reports not required] |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |
| |

Page 10 of 15

Ver.2018-1

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entitics seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| KGiles LLC, Inc. | |
|---|---|
| (Print or type exact legal name of Dis | sclosing Party) |
| Ву: | |
| (Sign here) | |
| Keith Giles | |
| (Print or type name of person signing | (1) |
| President | |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (d | ate) 12-29-21, |
| at look county, 1 | (state). |
| slow | |
| Notary Public | |
| | Official Seal Michael H. Ezgur |
| Commission expires: | Notary Public State of Illinois My Commission Expires 11/16/2025 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president; chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| | | icable Party" or any Spouse or Domestic Partner thereof an elected city official or department head? |
|-------------------|---------------------------|--|
| Yes | No No | |
| which such person | is connected; (3) the nam | ne and title of such person, (2) the name of the legal entity to ne and title of the elected city official or department head to ip, and (4) the precise nature of such familial relationship. |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified scofflaw or problem landlord pursuant to MCC Section 2-92-416? | d as a building code |
|--|----------------------|
| ☐ Yes ✓ No | |
| 2. If the Applicant is a legal entity publicly traded on any exchange, is any office the Applicant identified as a building code scofflaw or problem landlord pursuant 2-92-416? | |
| Yes No The Applicant is not publicly traded | on any exchange. |
| 3. If yes to (1) or (2) above, please identify below the name of each person or legas a building code scofflaw or problem landlord and the address of each building the pertinent code violations apply. | • |
| | • |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|--|
| □No |
| ✓ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| · · · · · · · · · · · · · · · · · · · |
| |
| |

#3 WOLFRAM HOMES KG INVESTORS LLC

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclos | sing Party submitting this EI | OS. Include d/b/a/ if applicable: |
|--|---|--|
| Wolfram Homes KG Invest | tors LLC | |
| Check ONE of the following | g three boxes: | |
| the contract, transaction or of "Matter"), a direct or indirect name: Wolfram Homes OR 3. a legal entity with | ently holding, or anticipated ther undertaking to which this interest in excess of 7.5% in Development LLC a direct or indirect right of c | so hold within six months after City action on s EDS pertains (referred to below as the a the Applicant. State the Applicant's legal control of the Applicant (see Section II(B)(1)) Party holds a right of control: |
| B. Business address of the D | | idge Road nd Park, IL 60035 |
| C. Telephone: <u>312-327-3</u> | 350 Fax: | Email: <u>michael@acostaezgur.c</u> om |
| D. Name of contact person: | Michael Ezgur | |
| E. Federal Employer Identifi | cation No. (if you have one) | |
| F. Brief description of the M property, if applicable): | latter to which this EDS perta | ains. (Include project number and location of |
| Subdivision for the property | / located at 1649 - 59 West | Wolfram St. (odd only)/2815 - 25 North Paulina |
| G. Which City agency or dep | partment is requesting this El | DS?CDOT |
| If the Matter is a contract bei complete the following: | ng handled by the City's Dep | partment of Procurement Services, please |
| Specification # | and Con | tract # |
| Ver.2018-1 | Page 1 of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership Yes \square No Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois Yes \neg No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Title Name KGiles LLC, Inc. Manager 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name **Business Address** Percentage Interest in the Applicant Keith Giles Revocable Trust 1456 Ridge Road, Highland Park, IL 39.2% B&K Investment Group LLC 191 North Wacker Drive, Suite 1800, Chicago, IL 17.86% Conrad Giles and Lydia Giles as joint tenants 1456 Ridge Road, Highland Park, IL 14.29% *no other entity or person has more than 7.5% interest SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? **从**|No Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

state "None."

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|--|--|--|
| (Add sheets if necessary) | | | |
| | | y has not retained, nor expects to re | tain, any such persons or entities. |
| SECTION V CERTII | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | | antial owners of business entities the support obligations throughout the | |
| | | ectly owns 10% or more of the Disc tions by any Illinois court of compe | |
| Yes No | No person d | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person e is the person in complian | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] I Party nor any Affiliated E performance of any publi inspector general, or integ investigative, or other sin activity of specified agent | In the 5-year Entity [see decontract, to grity compliant skills, coy vendors a | the Matter is a contract being handle period preceding the date of this E efinition in (5) below] has engaged, he services of an integrity monitor, ance consultant (i.e., an individual of designated by a public agency to help as well as help the vendors reform the ts in the future, or continue with a contract of the | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the heir business practices so they |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.2018-1

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
|---|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively |
| presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32 | 2-455(b)) is a predatory lender w | ge because it or any of its affiliates (as defined in ithin the meaning of MCC Chapter 2-32, explain |
|--|--|---|
| here (attach additi | onal pages if necessary): | |
| | the word "None," or no respon- med that the Disclosing Party co | se appears on the lines above, it will be extified to the above statements. |
| D. CERTIFICATI | ON REGARDING FINANCIA | L INTEREST IN CITY BUSINESS |
| Any words or term | as defined in MCC Chapter 2-15 | 6 have the same meanings if used in this Part D. |
| after reasonable in | | o the best of the Disclosing Party's knowledge byee of the City have a financial interest in his or entity in the Matter? |
| Yes | ⊘ No | |
| - | ecked "Yes" to Item D(1), proce Items D(2) and D(3) and procee | ed to Items D(2) and D(3). If you checked "No" d to Part E. |
| official or employed other person or ent taxes or assessment "City Property Sal | ee shall have a financial interest ity in the purchase of any properts, or (iii) is sold by virtue of leg | e bidding, or otherwise permitted, no City elected in his or her own name or in the name of any rty that (i) belongs to the City, or (ii) is sold for gal process at the suit of the City (collectively, taken pursuant to the City's eminent domain in the meaning of this Part D. |
| Does the Matter in | volve a City Property Sale? | |
| Yes | No | |
| | , · - | names and business addresses of the City officials entify the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| | | |
| 4 (0) (0) | | |
| - | g Party lurther certifies that no property official or appleves | rohibited financial interest in the Matter will be |

Ver.2018-1

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

connection with the Matter voidable by the City.

| I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
|---|
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not |
| federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Vcr.2018-1 Page 9 of 15 |

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No |
|--|
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |
| |

Page 10 of 15

Ver.2018-1

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Wolfram Homes KG Investors LL | .C |
|--|--|
| (Print or type caact legal name of D | isclosing Party) |
| By: | |
| (Sign here) | |
| Keith Giles | 1840liku, u dhoje |
| (Print or type name of person signing | ng) |
| President of Manager | |
| (Print or type title of person signing |) |
| | • • |
| Signed and sworn to before me on (| date) 12-29-21 |
| at Cosh County, / | (state). |
| -life | - |
| Notary Public | |
| | Official Seal Michael H. Ezgur Notary Public State of Illinois |
| Commission expires: | My Commission Expires 11/16/2025 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| | elected city official or department head? |
|-----------------------------|---|
| ✓ No | |
| s connected; (3) the name a | nd title of such person, (2) the name of the legal entity to and title of the elected city official or department head to and (4) the precise nature of such familial relationship. |
| | |
| | nilial relationship" with an No I No Intify below (1) the name as connected; (3) the name a |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|-------|-------------------|---|
| Yes | ✓ No | |
| | | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| • ' ' | offlaw or problen | entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □ No . |
| N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

#4 KEITH GILES REVOCABLE TRUST

A

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party | submitting this EDS. Include d/b/a/ if applicable: |
|--|--|
| Keith Giles Revocable Trust | |
| Check ONE of the following three bo | oxes: |
| the contract, transaction or other undert | ng, or anticipated to hold within six months after City action on taking to which this EDS pertains (referred to below as the excess of 7.5% in the Applicant. State the Applicant's legal |
| 3. a legal entity with a direct or | r indirect right of control of the Applicant (see Section II(B)(1)) ich the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing I | |
| C. Telephone: <u>312-327-3350</u> Fa | ax:Email:michael@acostaezgur.com |
| D. Name of contact person: Michae | l Ezgur |
| E. Federal Employer Identification No. | . (if you have one): |
| F. Brief description of the Matter to wl property, if applicable): | hich this EDS pertains. (Include project number and location of |
| Subdivision for the property located a | at 1649 - 59 West Wolfram St. (odd only)/2815 - 25 North Paulina |
| G. Which City agency or department is | s requesting this EDS? <u>CDOT</u> |
| If the Matter is a contract being handled complete the following: | d by the City's Department of Procurement Services, please |
| Specification # | and Contract # |
| Ver.2018-1 | Page 1 of 15 |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: 7 Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation $\overline{\text{(Is)}}$ the not-for-profit corporation also a 501(c)(3))? General partnership Limited partnership Yes □No **Trust** Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois Yes No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Keith Giles Trustee

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name Keith Giles | Business Address 1456 Ridge Road, Highland Park, IL | Percentage Interest in the Applicant 100% |
|---------------------|---|---|
| SECTION II | I INCOME OR COMPENSATION TO, | OR OWNERSHIP BY, CITY ELECT |
| Has the Discle | osing Party provided any income or compens od preceding the date of this EDS? | ation to any City elected official during th |
| | losing Party reasonably expect to provide an il during the 12-month period following the d | |
| | ner of the above, please identify below the na income or compensation: | me(s) of such City elected official(s) and |
| inquiry, any C | r elected official or, to the best of the Disclos City elected official's spouse or domestic parts of the Municipal Code of Chicago ("MCC") | ner, have a financial interest (as defined in |
| Yes | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--|--|---|
| (Add sheets if necessary) | | | |
| | · | y has not retained, nor expects to re | ain, any such persons or entiti |
| SECTION V CERTII | SICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| Under MCC Section 2-92 remain in compliance wit | 2-415, substant their child | antial owners of business entities the support obligations throughout the | at contract with the City must contract's term. |
| | | ectly owns 10% or more of the Disc tions by any Illinois court of compe | |
| Yes No | No person d | irectly or indirectly owns 10% or m | ore of the Disclosing Party. |
| If "Yes," has the person e is the person in compliance | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] I Party nor any Affiliated E performance of any public inspector general, or integ investigative, or other sim activity of specified agend | n the 5-year antity [see decontract, the grity complimited as a contract and the grity complimited as a contract a | the Matter is a contract being handled period preceding the date of this Elefinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual of lesignated by a public agency to help as well as help the vendors reform the future, or continue with a contribute with a contri | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, p the agency monitor the neir business practices so they |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Ver.2018-1

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.2018-1 Page 6 of 15

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
|---|
| |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| |
| complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

| MCC Section 2-32- | | ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain |
|--|---|--|
| | the word "None," or no response a | ppears on the lines above, it will be lied to the above statements. |
| D. CERTIFICATIO | ON REGARDING FINANCIAL I | NTEREST IN CITY BUSINESS |
| Any words or terms | defined in MCC Chapter 2-156 h | ave the same meanings if used in this Part D. |
| after reasonable inq | | ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter? |
| Yes | √ No | |
| | cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed to | to Items D(2) and D(3). If you checked "No" Part E. |
| official or employed other person or enti- taxes or assessment "City Property Sale" | e shall have a financial interest in he ty in the purchase of any property s, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter inv | olve a City Property Sale? | |
| Yes | No | |
| - | | nes and business addresses of the City officials fy the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| | | |
| | | |
| | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not |
| |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No |
|--|
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |
| |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Keith Giles Revocable Trust | |
|---|---|
| (Print of type exact legal name of Disclo | osing Party) |
| Ву: | |
| (Sign here) | , |
| Keith Giles | |
| (Print or type name of person signing) | |
| Trustee | |
| (Print or type title of person signing) | MANAGEMENTAL MANAGEMENT AND |
| • | |
| Signed and sworn to before me on (date) | 12-29-21, |
| at look County, 1/ | (state). |
| A Gar | |
| Notary Public | Official Seal |
| | Michael H. Ezgur Notary Public State of Illinois My Commission Expires 11/18/2025 |
| Commission expires: | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 10, is the Applicant or any Owner identified as a building code it to MCC Section 2-92-416? |
|---------------------------------------|---------------|---|
| Yes | ✓ No | |
| | | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| - , , , , | aw or probler | lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which |
| | | |
| | | |
| · · · · · · · · · · · · · · · · · · · | - | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| No |
| N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

#5 B&K INVESTMENT GROUP LLC

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclo | osing Party submitting | g this EDS. Inc | lude d/b/a/ if applicable: |
|---|---|---|--|
| B&K Investment Group LI | _C | | |
| Check ONE of the following | ig three boxes: | | |
| "Matter"), a direct or indirect name: Wolfram Homes | rently holding, or antional other undertaking to we to interest in excess of Development LLC and a direct or indirect r | icipated to hold which this EDS properties of control | within six months after City action on pertains (referred to below as the oplicant. State the Applicant's legal of the Applicant (see Section II(B)(1)) olds a right of control: |
| B. Business address of the I | Disclosing Party: _ | Chicago, IL 60 | |
| C. Telephone: <u>312-327-3</u> | | | Email: <u>michael@acostaezgur.c</u> om |
| D. Name of contact person: | Michael Ezgur | | _ |
| E. Federal Employer Identif | fication No. (if you ha | ave one): | |
| F. Brief description of the M property, if applicable): | Aatter to which this E | EDS pertains. (I | nclude project number and location of |
| Subdivision for the propert | y located at 1649 - | 59 West Wolfra | am St. (odd only)/2815 - 25 North Paulina |
| G. Which City agency or de | partment is requestir | ng this EDS? | CDOT |
| If the Matter is a contract be complete the following: | ing handled by the C | ity's Departmen | nt of Procurement Services, please |
| Specification # | ; | and Contract# | |
| Ver.2018-1 | Page | 1 of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership No Yes Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois Yes \square No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title Harlan Khan Manager Daniel Bronson Manager 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Each legal entity listed below may be required to submit an EDS on its own behalf. Name **Business Address** Percentage Interest in the Applicant Honey Bronson 191 North Wacker Drive Suite 1800 Chicago, IL 60606 50% Carvn Khan 191 North Wacker Drive Suite 1800 Chicago, IL 60606 50% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? **√** No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|---|--|---|
| (Add sheets if necessary) | | | |
| SECTION V CERTIF | | y has not retained, nor expects to re | tain, any such persons or entities. |
| A. COURT-ORDERED | | | |
| Under MCC Section 2-92 | 2-415, substa | antial owners of business entities the support obligations throughout the | |
| | • | ectly owns 10% or more of the Disc tions by any Illinois court of compe | • • |
| Yes No | No person d | lirectly or indirectly owns 10% or n | nore of the Disclosing Party. |
| If "Yes," has the person e is the person in complian | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] I Party nor any Affiliated I performance of any publi inspector general, or integinvestigative, or other sin | n the 5-year Entity [see do c contract, to grity compli- nilar skills, co | the Matter is a contract being handle period preceding the date of this E efinition in (5) below] has engaged he services of an integrity monitor, ance consultant (i.e., an individual designated by a public agency to he as well as help the vendors reform t | DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the incligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

Ver.2018-1

| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
|--|
| |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

| ~ • |) is a predatory lender with | because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain |
|--|--|---|
| | | |
| | - | appears on the lines above, it will be fied to the above statements. |
| D. CERTIFICATION RE | GARDING FINANCIAL I | NTEREST IN CITY BUSINESS |
| Any words or terms define | ed in MCC Chapter 2-156 h | ave the same meanings if used in this Part D. |
| after reasonable inquiry, d | | he best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter? |
| Yes | No | |
| | Yes" to Item D(1), proceed (2) and D(3) and proceed to | to Items D(2) and D(3). If you checked "No" o Part E. |
| official or employee shall other person or entity in the taxes or assessments, or (ii "City Property Sale"). Con | have a financial interest in e purchase of any property ii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter involve a | City Property Sale? | |
| Yes | No | |
| _ | , - | mes and business addresses of the City official fy the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| | | |
| 4. The Disclosing Party f acquired by any City offici | • | ibited financial interest in the Matter will be |

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 |

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No | |
|---|----|
| If "Yes," answer the three questions below: | |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No | le |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Control Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required | |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? | |
| If you checked "No" to question (1) or (2) above, please provide an explanation: | |
| | |

Page 10 of 15

Ver.2018-1

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| B&K Investment Group LLC | |
|---|--|
| (Print of type exact/ chal name of | f Disclosing Party) |
| By: Coupling | |
| (Sign here) | |
| Daniel Bronson | |
| (Print or type name of person sig | ning) |
| Manager | yes |
| Manager (Print or type title of person sign | ing) |
| Signed and sworn to before me o | n (date) - 12-17-21 |
| at bol county, | (state). |
| | |
| Notary Public (/ | Official Seal Michael H. Ezgur Notary Public State of Illinois |
| Commission expires: | My Commission Expires 11/16/2025 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II:B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 10, is the Applicant or any Owner identified as a building code t to MCC Section 2-92-416? |
|-------|--------------------|--|
| Yes | ⊘ No | |
| | | ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| - ' ' | cofflaw or problen | lentify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |
| | | |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □No |
| N/A − I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |