

## City of Chicago



Office of the City Clerk

**Document Tracking Sheet** 

**Meeting Date:** 6/22/2022

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 9-H at 3310-3312 N Lincoln Ave - App No. 21070T1

Committee on Zoning, Landmarks and Building Standards **Committee(s) Assignment:** 

#21070-T1 INTRO DATE JUNE 22,2022

## <u>ORDINANCE</u>

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** That, Title 17 of the Municipal Code of Chicago ("the *Chicago Zoning Ordinance*"), be amended by changing all the *B1-2 Neighborhood Shopping District* symbols and indications as shown on Map No. 9-H in the area bounded by

Beginning at a line 179 feet northwest of the intersection of North Lincoln Avenue and West School Street as measured as measured along the westerly right-of-way line of North Lincoln Avenue and perpendicular thereto; North Lincoln Avenue; a line 104 feet northwest of the intersection of North Lincoln Avenue and West School Street as measured along the westerly right-of-way line of North Lincoln Avenue and perpendicular thereto; a line 79.90 feet north of and parallel to West School Street; and the alley next east of and parallel to North Paulina Street, running north a distance of 63.63 feet to intersect the line of beginning,

to those of a *B3-3 Community Shopping District*.

**SECTION 2.** This ordinance shall be in force and effect from and after its passage and due publication.

Common Address of Property: 3310-3312 North Lincoln Avenue

#### 17-13-0303-C (1) Narrative Zoning Analysis

3310-3312 North Lincoln Avenue, Chicago, Illinois

Proposed Zoning: B3-3 Community Shopping District

Lot Area: 7,200.6 square feet (irregular)

Proposed Land Use:

The subject property consists of three contiguous (*irregular*) lots of record. The site, in its entirety is currently improved with a laterally conjoined three-story and one-story (with basement) mixed-use building and a onestory coach house (rear). These improvements span the entirety of the site, so that there is no off-street parking servicing the existing operations. The Applicant is seeking a Zoning Map Amendment in order to permit the redevelopment of the site, in its entirety, with a new six-story multi-unit mixed-use building, which will feature commercial space on the 1st Floor and a total of twenty-four (24) dwelling units – above (2<sup>nd</sup> thru 6<sup>th</sup> Floors), with off-street interior parking. To allow for the new improvements, the Applicant intends to raze the existing structures. Due to its close proximity to the Paulina CTA Train Station AND the Ashland Bus Line Corridor Roadway Segment, and in an effort to reduce vehicular congestion in the area, the proposal calls for the provision of off-street parking for seven (7) vehicles, within the 1<sup>st</sup> Floor (rear) of the proposed new building, which represents a 70% reduction in the otherwise required parking for the development. \*[The subject property is located on a Six-Corners Pedestrian Street, less than 2,640 feet from the entrance to the CTA Train Station and a designated CTA Bus Line Corridor Roadway Segment, and therefore constitutes a Transit Served Location, under the current Zoning Ordinance.] In further consideration of these conditions, the proposal also includes a dedicated parking-storage room, for bicycles, within the 1st Floor of the building. The new proposed building will measure 69 feet-2 inches in height and such improvements will be masonry in construction.

#### (A) The Project's Floor Area Ratio: 28,797 square feet (4.0 FAR)

<sup>\*</sup>The subject property is located on a Six-Corners Pedestrian Street, within 2,640 linear feet of the entrance to the Paulina CTA Train Station and the Ashland Bus Line Corridor Roadway Segment, thereby qualifying as a Transit Served Location. [17-10-0102-B] The programming calls for the provision of no more than one parking space per dwelling unit and 100% of the required affordable units will be located on-site (within the proposed new building). Therefore, the Applicant is eligible for an increase in maximum floor area ratio (FAR) up to 4.0, pursuant to this Type 1 Zoning Map Amendment. [17-3-0403-B] \*Please See: corresponding TSL Narrative.

- (B) The Project's Density (Lot Area Per Dwelling Unit): 24 dwelling units; 300.03 square feet per dwelling unit
- \*The subject property is located on a Six-Corners Pedestrian Street, within 2,640 linear feet of the entrance to the Paulina CTA Train Station and the Ashland Bus Line Corridor Roadway Segment, thereby qualifying as a Transit Served Location. [17-10-0102-B] The programming calls for the provision of no more than one parking space per dwelling unit. Therefore, the Applicant is eligible for a reduction in the minimum lot area (MLA) per dwelling unit down to a minimum of 300 square feet per unit, pursuant to this Type 1 Zoning Map Amendment. [17-3-0402-B] \*Please See: corresponding TSL Narrative.
  - (C) The amount of off-street parking: 7 vehicular parking spaces + at least 23 bicycle parking-storage spaces
- \* The subject property is located on a Six-Corners Pedestrian Street, within 2,640 linear feet of the entrance to the Paulina CTA Train Station and the Ashland Bus Line Corridor Roadway Segment, thereby qualifying as a Transit Served Location. [17-10-0102-B] Therefore, the Applicant is eligible for a reduction in the amount of required off-street vehicular parking from 24 to 7, which represents about a 70% reduction, pursuant to this Type 1 Zoning Map Amendment. \*Please See: corresponding TSL Narrative.
  - (D) Setbacks: a. Front Setback: 1 foot-0 inches
    - b. Rear Setback: 0 feet-0 inches (1<sup>st</sup> Floor)
      12 feet-6½ inches (2<sup>nd</sup> thru 6<sup>th</sup> Floors)
    - c. Side Setbacks:

North: 0 feet-0 inches South: 0 feet-0 inches

- \*Subsequent to and independent of this proposed Zoning Map Amendment, the Applicant will seek any further relief that is necessary to ensure full compliance with the setback standards of the current Zoning Ordinance.
  - (E) Building Height: 69 feet-2 inches (underside-ceiling of 6<sup>th</sup> Floor)
- \* The subject property is located on a Six-Corners Pedestrian Street, within 2,640 linear feet of the entrance to the Paulina CTA Train Station and the Ashland Bus Line Corridor Roadway Segment, thereby qualifying as a Transit Served Location. [17-10-0102-B] The programming calls for the provision of no more than one parking space per dwelling unit. Therefore, the Applicant is eligible for an increase in maximum building height up to 70 feet-0 inches, pursuant to this Type 1 Zoning Map Amendment. [17-3-0408-B] \*Please See: corresponding TSL Narrative.

#### Transit Served Location (TSL) - Narrative Zoning Analysis

3310-3312 North Lincoln Avenue, Chicago, Illinois

#### THE SITE

The subject property is situated mid-block, on the west side of Lincoln Avenue, and consists of three (3) lots of record. The site has approximately 75 feet of frontage on Lincoln Avenue but is otherwise *irregular* in shape along the sides and rear, due to an obtuse alley that traverses the rear of the property. [Total Site Area = 7,200.6 square feet.] The property, in its entirety, is currently improved with a laterally conjoined three-story and one-story (with basement) mixed-use building, at the front, and a one-story coach house at the rear. These existing improvements span the entirety of the site. As such, there is presently no off-street parking for the existing operations-tenants.

The subject property is located on a segment of Lincoln Avenue that is a designated Six-Corners Pedestrian Street, just north of the 'five-way' intersection where it merges with School Street and Marshfield Avenue. This stretch of Lincoln Avenue, which denotes the literal crossroads of the Roscoe Village and Lakeview Neghborhoods, represents one of the area's most vibrant retail and hospitality corridors, servicing the residents of these two communities. Toward these same ends, the site is located just about one-block (less than 470 feet) from the centerline of the Ashland Bus Line Corridor Roadway Segment – to the east, and – too, is located less than 630 feet from the entrance to the Paulina CTA Train Station – to the north. This locality, therefore, allows for this particular site to be classified as a Transit Served Location (TSL), pursuant to the applicable provisions of the current Zoning Ordinance. [Please see: 17-10-0102-B, et seq.]

There are approximately nine (9) improved parcels that comprise this block of Lincoln Avenue – on the west side, which includes the subject property. The existing improvements vary in scale and density – from one-story commercial establishments to five-plus-story mixed-use multi-tenant developments. A common attribute of these improvements is that they almost all have footprints which span the entirety of their respective site, thereby generally eliminating the opportunity for off-street parking accommodations. The subject property is sandwiched between a single-story restaurant building, to the south, and a five-plus-story mixed-use multi-tenant condominium (residential) building, to the north. Both of these existing adjacent developments lack any type of off-street accessory parking accommodations for their existing operations and/or residents-tenants, likely due – in part, to the proximity to multiple forms of meaningful public transit, as well as the many diverse commercial ventures that adequately serve the residents of the Community, encouraging walkability.

#### THE PROJECT

The Applicant is seeking a Type 1 Zoning Map Amendment – from a B1-2 Neighborhood Shopping District to a B3-3 Community Shopping District, in order to permit the redevelopment of the subject property with a new six-story multi-unit mixed-use building.

To allow for the new improvements, the Applicant intends to raze the existing structures.

The programming for the proposed new development calls for the establishment of commercial space (2,034 square feet), at the front of the 1st Floor, and a total of twenty-four (24) dwelling units, which will be situated on and between the 1st thru 6th Floors. Due to its close proximity to the Paulina CTA Train Station AND the Ashland Bus Line Corridor Roadway Segment, and in an effort to reduce vehicular congestion in the area, while still balancing the express interests of the community, the proposal calls for the provision of off-street parking for seven (7) vehicles, which will be located within the interior of the building, at the rear of the 1st Floor. These accommodations represent just about a 70% reduction in the total amount of otherwise required off-street parking for the new proposed development. \*[The subject property is located on a Six-Corners Pedestrian Street, less than 2,640 feet from the entrance to the CTA Train Station and a designated CTA Bus Line Corridor Roadway Segment, and therefore constitutes a Transit Served Location (TSL), under the current Zoning Ordinance.] In further consideration of these conditions, the proposal also includes a dedicated storage room, for at least twenty-three (23) bicycles, within the 1st Floor of the building. For the convenient use and enjoyment of the future residents of the development – exclusively, the proposed new building also features a private rooftop deck (890 square feet), which such area will be accessed via an elevator and/or two sets of enclosed stairs. The new proposed building will measure 69 feet-2 inches in height (to the underside ceiling of the 6th Floor) and such improvements will be masonry in construction.

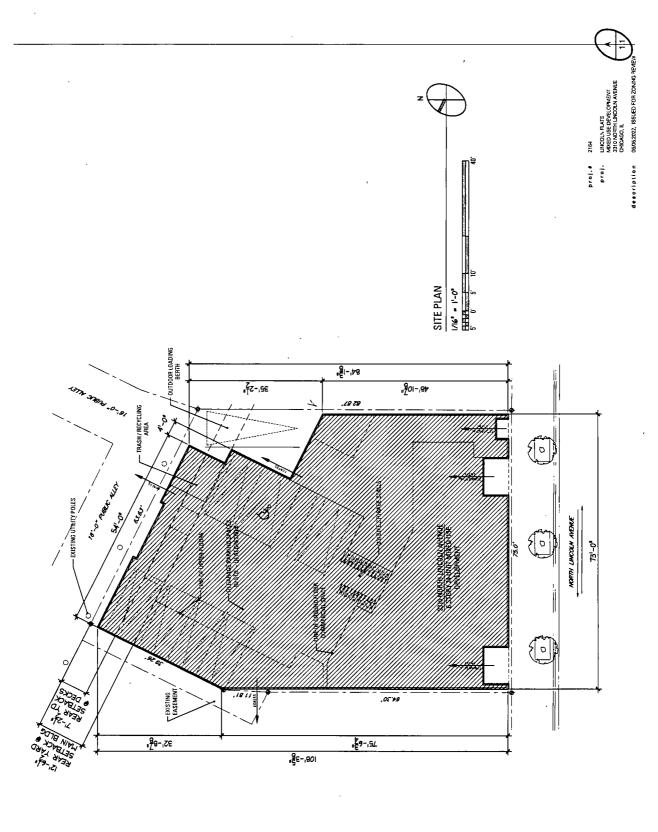
# PARKING REDUCTION (17-10-0102-B) + FLOOR AREA RATIO INCREASE (17-3-0403-C) + MINIMUM LOT AREA REDUCTION (17-3-0402-B) FOR TRANSIT SERVED LOCATION + HEIGHT INCREASE (17-3-0408-B)

This proposed Zoning Map Amendment is being sought by and through the 'Type 1' process [Section 17-13-0302-A], in order to qualify for: (i) a reduction in the minimum off-street parking by 70% [Section 17-10-0102-B]; (ii) an increase in the maximum allowable floor area ratio up to 4.0 [Section 17-3-0403-C]; (iii) a reduction in the minimum lot area (MLA) per dwelling unit [17-3-0402-B], and an increase in the maximum allowable building height [17-3-0408-B], for the new proposed development, under the current Zoning Ordinance. Toward these ends, the project qualifies for an increase in the otherwise maximum allowable FAR, because the programming calls for no more than one parking space per dwelling unit AND for 100% of the required \*affordable units to be located onsite (within the new proposed building).\*[Pursuant to the 2021 Affordable Requirements Ordinance, the Applicant will be designating five (5) of the twenty-four (24) proposed new dwelling units as affordable—which represents 20% of the total number of proposed dwelling units.] The project qualifies for a reduction in the otherwise required MLA and an increase in the maximum building height up to 70 feet, because the programming calls for no more than one parking space per dwelling unit.

Pursuant to Section 17-13-0905-F and Section 17-10-0102-B of the Zoning Ordinance, in order to qualify for the proposed reduction in the minimum off-street parking (by more than 50%), and the proposed increase to the maximum *floor area ratio* (FAR), and the proposed reduction to the *minimum lot area* per unit, the Project:

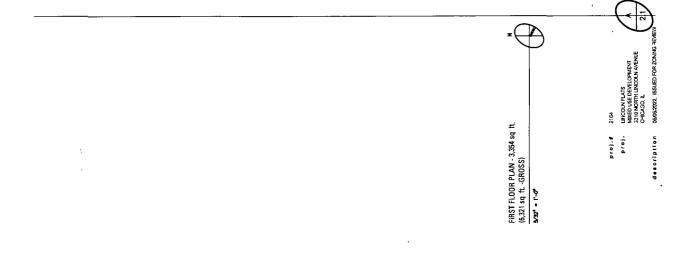
- (a) Must be located in a transit-served location. The subject property is located on a Six-Corners Pedestrian Street, within 2,640 linear feet of the entrance to the Paulina (Brown Line) CTA Train Station (approximately 630 feet) AND within 2,640 linear feet of the centerline of the Ashland Bus Line Corridor Roadway Segment (less than 470 feet).
- Must comply with the standards of Section 17-3-0500 pertaining to pedestrian (b) streets and pedestrian retail streets. The proposed new development complies with all of the standards and design guidelines for pedestrian streets as shown on the accompanying architectural plans (prepared by Jonathan Splitt Architects, Ltd.), submitted with the subject Type 1 Zoning Map Amendment. By way of relevant example, the façade of the proposed new building almost directly abuts the sidewalk (1'-0" front setback to allow for landscape elements along the pedestrian way), with the primary entrance – for both commercial and residential units facing and directly accessible off of Lincon Avenue. [17-3-0504-B and 17-3-0504-D] As well, at least 80% of the ground floor façade – facing Lincoln Avenue, is comprised of floor-to-ceiling transparent windows and doors, allowing for inconspicuous observation of storefront activation for pedestrians and passersby. [17-3-0504-C] Lastly, all of the off-street parking and loading for the development is located at the rear and/or behind the building, directly accessible via the public alley, without any vehicular interference with the pedestrian walkway (sidewalk) or idle occupation of the public street parking. [17-3-0504-E-F-G]
- Development Guide: Station Area Typology, and any other station-specific plans, designs or guidelines adopted by the Chicago Plan Commission. The Transit Friendly Development Guide defines 'transit friendly development' as [d]evelopment which is oriented towards and integrated with adjacent transit. By way of relevant example, the proposed new development incorporates pedestrian accessibility and connectivity less than 700 linear feet from the entrance to the CTA Train Station, less than 600 feet from one of the City's most exploited CTA Bus Routes, while too, activating 75 feet of street frontage with a new business that will be intended to service the residents of the Community and patrons of the same. Along these same lines, the new proposed improvements are situated in the heart of the Neighborhood's most robust retail and hospitality corridor, which extends for at least five blocks in each direction, all of which are serviced by the same public transit operations, with multiple Divvy Bicycle Stations along the way.

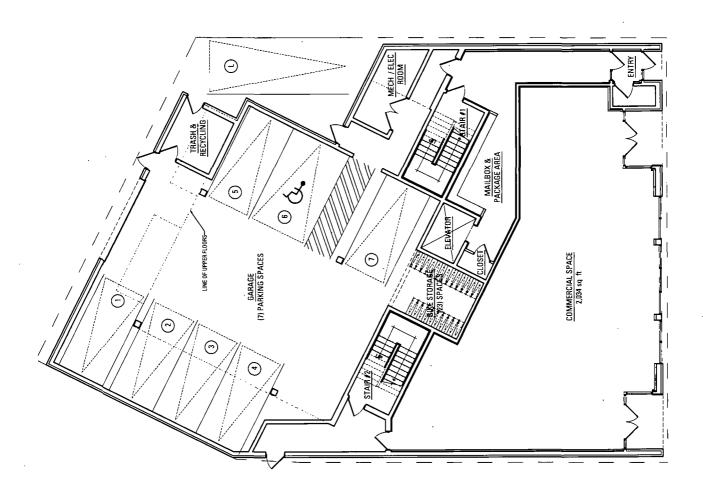
- Must actively promote public transit and alternatives to automobile (d) ownership. In a direct and deliberate effort to promote public forms of transportation, and - too, to mitigate vehicular congestion and traffic in this pedestrian-oriented neighborhood, while still balancing the express interests and demands of the existing residents of the community, the proposed new development will provide only one (1) designated off-street parking space for up to seven (7) of the residential tenants of the building, on a first come basis, which represents about a 30% parking ratio for the proposed new apartments (dwelling units). Such considerations should dissuade residents who require and/or rely on individual automobile ownership. As well, the proposed development includes a sizeable storage room, within the ground floor of the building that can accommodate interior parking for at least seventeen (17) bicycles and scooters. Beyond these onsite accommodations, there are additional bicycle racks located on the sidewalk in front of the subject site and the Applicant is committed to working with the Alderman and representatives from the CTA and CDOT, toward providing additional public bicycle racks, should such be desired. In further effort to promote more reliance on the City's bountiful public transit, the Applicant is also committed to working with the Alderman and the CTA toward installing electronic CTA trackers within the common areas of the building, to allow for more efficient trip planning.
- (c) Must include enhancements to the pedestrian environment that are not otherwise required. The existing building, as currently configured and functioning - as it has for well-over fifty years, has NO off-street loading or parking. As such, the ground floor commercial tenant - a flooring store, performs all of its loading, deliveries, and shipments (which are frequent throughout each day, and which require a large van and/or truck) within the shared public way - on Lincoln Avenue, thereby creating intermittent yet continuous congestion in the streets and obstructing pedestrian movements into and around the property. By and through its conscientious design and programming, the Applicant will be eliminating this nuisance condition, by - among other things, providing a designated loading area and off-street parking accommodations at the rear of the site, hidden from the public ways and directly accessible off the public alley. These features will not only allow for the provision of additional bicycle parking on the sidewalk, but it will restore some of the otherwise encumbered street parking for the community and accommodate for unfettered pedestrian flow to and around the site. The design for the proposed new development not only complies with the strict guidelines for improvements on a Pedestrian Street, which such design includes a façade with large transparent windows and the provision of landscape elements along the entire street-fronting facade (directly abutting the sidewalk), but it also completely activates this same street frontage and pedestrian way. The Applicant is also committed to working with the Alderman and with CDOT toward improvements to the shared pedestrian way, which such enhancements may include the provision of new parkway trees and planters along Lincoln Avenue, as well as restoration or improvements to the abutting sidewalk.



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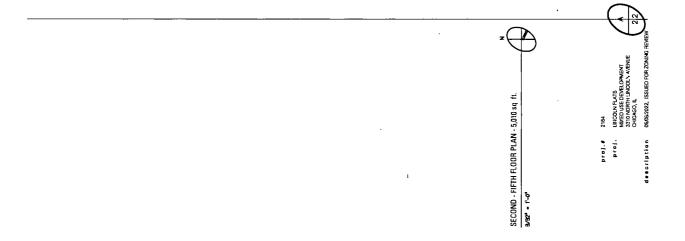
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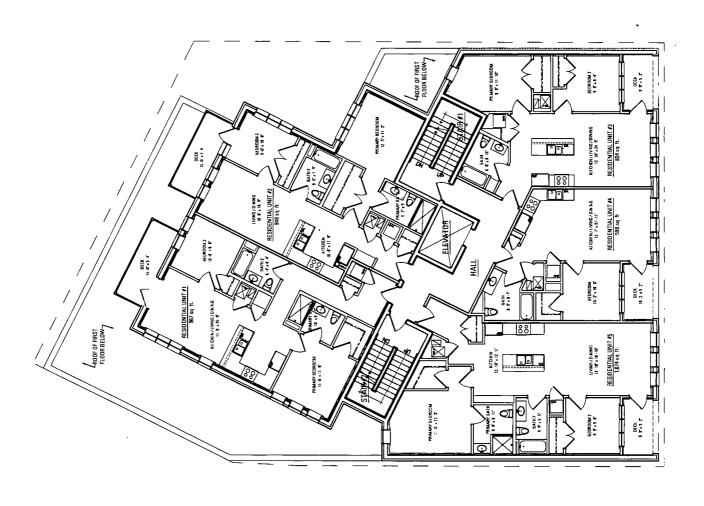




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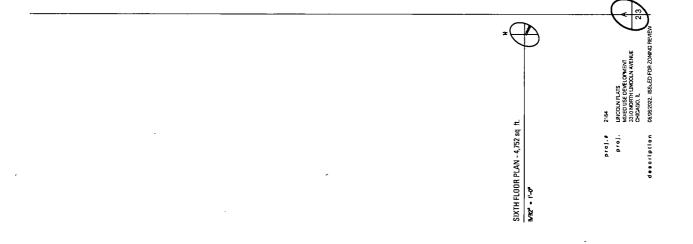
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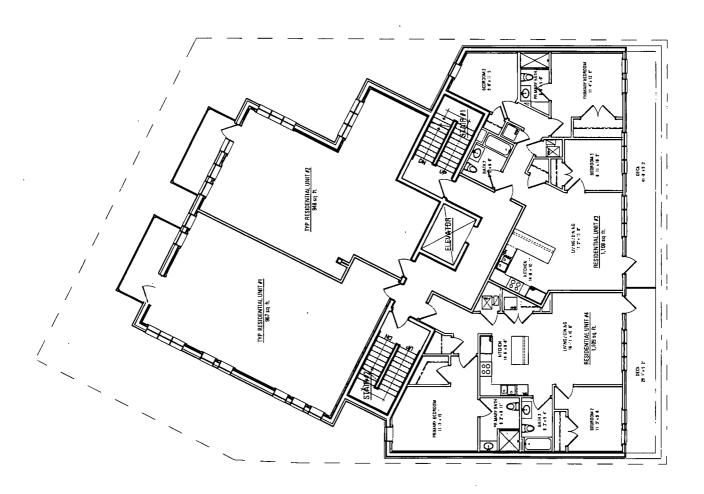




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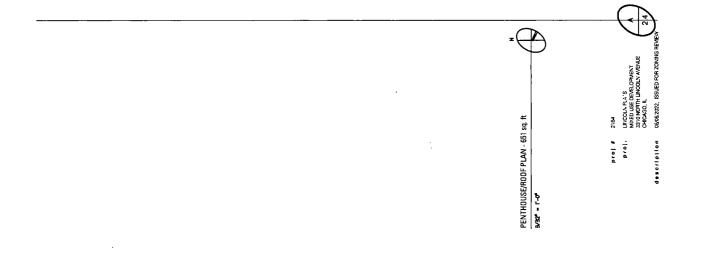
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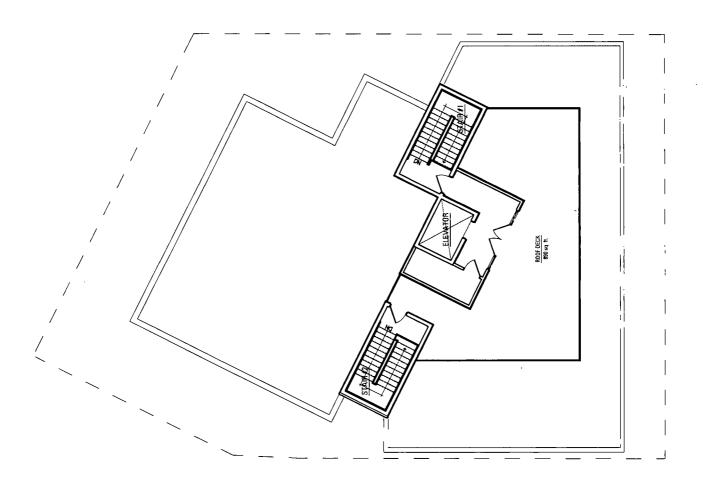




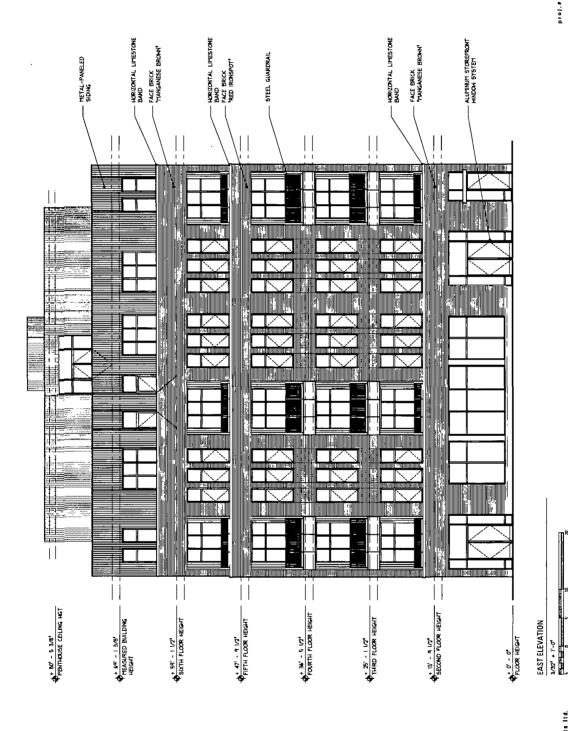
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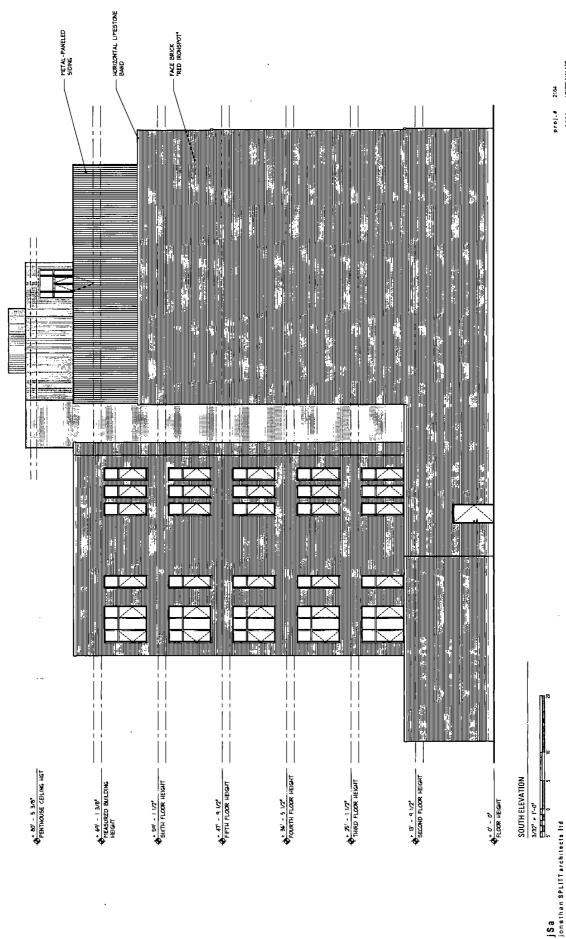
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06.06.2022, ISSUED FOR ZONING REVIE description

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description

STEEL GUARDRAIL

7

+ 25' - 1 1/2" THIRD FLOOR HEIGHT

+ 13' - 9 1/2' SECOND FLOOR HEIGHT

Ф+ 36' - 5 1/2' РОИЯТИ FLOOR HEIGHT

+ 59 - 1 1/2 | SIXTH FLOOR HEIGHT

HORIZONTAL LIMESTONE BAND

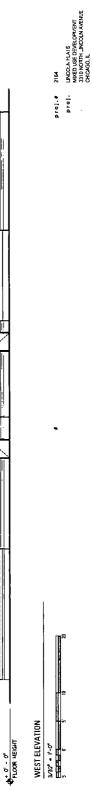
+ 64' - 13/8" MEASURED BUILDING HEIGHT

METAL-PANELED -

PENTHOUSE CEILING HGT

FACE BRICK — "RED IRONSPOT"

0 + 47' - 9 1/2" FIFTH FLOOR HEIGHT



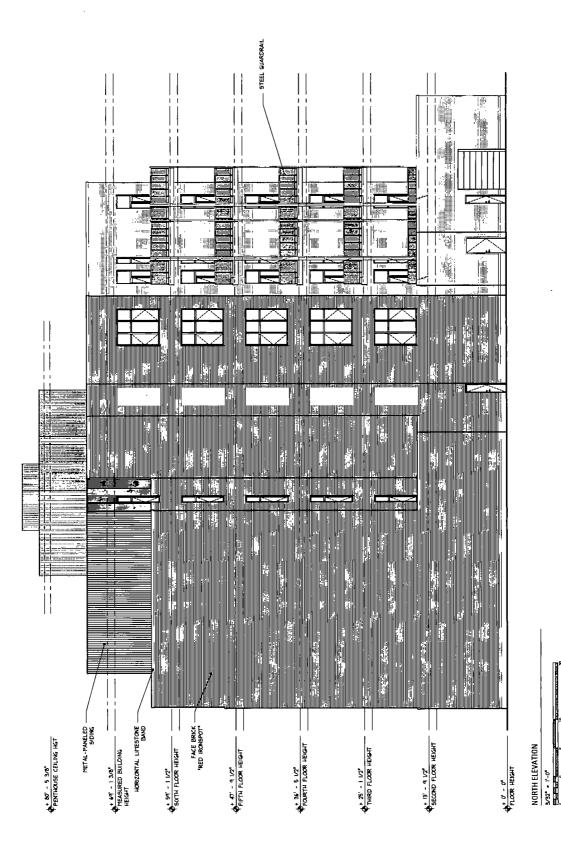
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LINCOLN FLATS
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3310 NOSTH -INCOLN AVENUE
CHICAGO, IL

description

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## CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

#21070-TI INTRO DATE JUNE 22,2022

1.	ADDRESS of the property A 3310-3312 North Lincoln A				
2.	Ward Number that property	is located: 47			
3.	APPLICANT: 3312 Lincoln	ı LLC			
	ADDRESS: 1607 West Wa	veland Avenue	CITY: Chicago		
	STATE: Illinois	ZIP CODE: <u>60613</u>	PHONE: <u>312-782-1983</u>		
	EMAIL: sara@sambankslav	w.com CONTACT PERSO	N: Sara Barnes – Attorney for Applicant		
4.	Is the Applicant the owner of	Is the Applicant the owner of the property? YES X NO			
			e provide the following information om the owner allowing the application to		
	OWNER: Same as Applican	nt – Above.	·		
	ADDRESS:		CITY:		
	STATE:	ZIP CODE:	PHONE:		
	EMAIL:	CONTACT PERSON:			
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:				
	ATTORNEY: Sara K. Barnes - Law Offices of Samuel V.P. Banks				
	ADDRESS: 221 North LaSalle Street, 38th Floor				
	CITY: Chicago	STATE: Illinois	ZIP CODE: <u>60601</u>		
	PHONE: 312-782-1983	FAX: 312-782-2433	FMAII · sara@sambankslaw.com		

improvements, the Applicant intends to raze the existing structures. Due to its close *proximity to the Paulina CTA Train Station AND the Ashland Bus Line Corridor Roadway Segment, and in an effort to reduce vehicular congestion in the area, the proposal calls for the provision of off-stree parking for seven (7) vehicles, within the 1 <sup>st</sup> Floor (rear) of the proposed new building, which represents a 70% reduction in the otherwise required parking for the development. *[The subject property is located on a Six-Corners Pedestrian Street, less than 2,640 feet from the entrance to the CTA Train Station and a designated CTA Bus Line Corridor Roadway Segment, and therefore constitutes a Transit Served Location. (Section 17-10-0102-B)] In further consideration of these conditions, the proposal also includes a dedicated parking-storage room, for bicycles, within the 1 <sup>st</sup> Floor of the building. The new proposed building will measure 69 feet-2 inches in height and such improvements will be masonry in construction.  The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or financial contribution for residential housing projects with ten or more units that receive a zonin change which, among other triggers, increases the allowable floor area, or, for existing Planne		0400 Partners LLC – Manager; John Mangan – Member
Present Zoning District: B1-2  Proposed Zoning District: B3-3  Lot size in square feet (or dimensions): 7,200.6 square feet (irregular-shaped lot)  Current Use of the Property: The subject property consists of three contiguous (irregular) lots or record. The site, in its entirety is currently improved with a laterally conjoined three-story and one story (with basement) mixed-use building and a one-story coach house (rear).  Reason for rezoning the property: The Applicant is seeking a Zoning Map Amendment in order to permit the redevelopment of the site, in its entirety, with a new six-story multi-unit mixed-use building, which will feature commercial space on the 1st Floor and a total of twenty-four (24 dwelling units – above (2nd thru 6th Floors), with off-street interior parking for seven (7) vehicles  Describe the proposed use of the property after the rezoning. Indicate the number of dwelling uninumber of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC) The subject property consists of three contiguou (irregular) lots of record. The site, in its entirety is currently improved with a laterally conjoines three-story and one-story (with basement) mixed-use building and a one-story coach house (rear). These improvements span the entirety of the site, so that there is no off-street parking servicing the existing operations. The Applicant its seeking a Zoning Map Amendment in order to permit the redevelopment of the site, in its entirety, with a new six-story multi-unit mixed-use building, which will feature commercial space (2,034 square feet) on the 1st Floor and a total of twenty-four (24 dwelling units – above (2nd thru 6th Floors), with off-street interior parking. To allow for the new improvements, the Applicant intends to raze the existing structures. Due to its close *proximity to the Paulina CTA Train Station AND the Ashland Bus Line Corridor Roadway Segment, and in an effort to reduce vehicular congestion in the area, the proposal cal		
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financial contribution for residential housing projects with ten or more units that receive a zonir change which, among other triggers, increases the allowable floor area, or, for existing Planne	n tt (() tt tt e e f f f f f f f f f f f f f f f	number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC) The subject property consists of three contiguous irregular) lots of record. The site, in its entirety is currently improved with a laterally conjoined three-story and one-story (with basement) mixed-use building and a one-story coach house (rear). These improvements span the entirety of the site, so that there is no off-street parking servicing the existing operations. The Applicant is seeking a Zoning Map Amendment in order to permit the edevelopment of the site, in its entirety, with a new six-story multi-unit mixed-use building, which will feature commercial space (2,034 square feet) on the 1st Floor and a total of twenty-four (24) welling units – above (2nd thru 6th Floors), with off-street interior parking. To allow for the new improvements, the Applicant intends to raze the existing structures. Due to its close *proximity to the Paulina CTA Train Station AND the Ashland Bus Line Corridor Roadway Segment, and in an effort to reduce vehicular congestion in the area, the proposal calls for the provision of off-street parking for seven (7) vehicles, within the 1st Floor (rear) of the proposed new building, which represents a 70% reduction in the otherwise required parking for the development. *[The subject property is located on a Six-Corners Pedestrian Street, less than 2,640 feet from the entrance to the CTA Train Station and a designated CTA Bus Line Corridor Roadway Segment, and therefore constitutes a Transit Served Location. (Section 17-10-0102-B)] In further consideration of these conditions, the proposal also includes a dedicated parking-storage room, for bicycles, within the st Floor of the building. The new proposed building will measure 69 feet-2 inches in height and
www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?	f c I	The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visits www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?

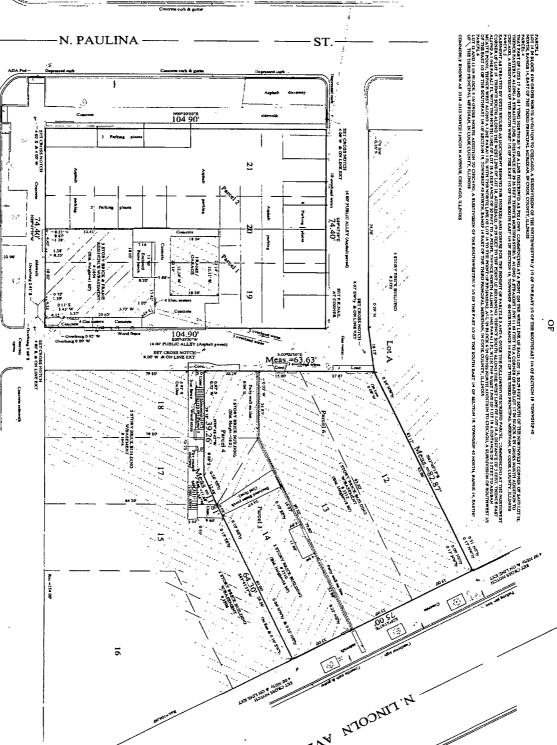
COUNTY OF COOK STATE OF ILLINOIS	
I. JOHN MANGAN, being first duly sworn on oath, statements contained in the documents submitted here	
-	Signature of Applicant
Subscribed and sworn to before me this	
25 day of May , 2022.	OFFICIAL SEAL DIANN MARQUIS NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES: 10/23/2025
Notary Public	
For Office Use	e Only
Date of Introduction:	

File Number:

Ward:\_\_\_\_\_

SITE MAP

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Scale I inch =

Order No 19-7866-B
Scale 1 Inch = 16
DECEMBER 8, 2021
Ordered by: 3112 LINCOLN I.L.C

DIE TTLE COMMITMENT NO | ISTNWS301105K WAS FURNISHED FOR THIS SURVEY DIMENSIONS ARE NOT TO BE ASSUMED FROM SCALING

INLESS OTHER WISE NOTED HEREON, THE BEARING BASIS, ELEVATION DATUM AND CORDINATE DATUM, IF USED, IS ASSUMED

ALADDO CERTIFICATE:
ACCORDING TO FLOOD INSURANCE RATE MAP OF COOK COUNTY, ILLINOIS &
ACCORDING TO FLOOD INSURANCE RATE MAP OF COOK COUNTY, ILLINOIS &
ACCORDING, EFFECTIVE AUGUST 19, 2004, THIS TROPERTY IS IN A ZONE TY AREA,
WHICH IS DETPENDINFD TO BE OUTSIDE THE 0 2% ANNIVAL CHANCE FLOODFI AIN

W. SCHOOL

-ST

E - MEI POINIC MANIFOLE

- SEWER MANHOLE S - WATER VALVE VAULT

÷ - WATER BUFFALO BOX

3 - LIGHT POLE - POWER POI B

🔆 - вестриона тявя

- SIGN - WATER MITTER



#### Written Notice, Form of Affidavit: Section 17-13-0107

June 22, 2022

Honorable Thomas Tunney Chairman, Committee on Zoning 121 North LaSalle Street Room 304 - City Hall Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Sara Barnes, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the Applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. That said written notice was sent by USPS First Class Mail no more than 30 days before filing the application.

That the undersigned certifies that the notice contained the address of the property sought to be rezoned as 3310-3312 North Lincoln Avenue, Chicago, Illinois; a statement of intended use of said property; the name and address of the Applicant-Property Owner; and a statement that the Applicant intends to file an application for a change in zoning on approximately June 22, 2022.

That the Applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago and that the Applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

Law Offices of Samuel V.P. Banks

Sara K. Barnes - Alto Ley for Applicant

Subscribed and sworn to before me

this **Beo** day of June , 2022.

OFFICIAL SEAL
VINCENZO SERGIO
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires JANUARY 6. 2024

#### **PUBLIC NOTICE**

#### Via USPS First Class Mail

June 22, 2022

Dear Sir or Madam:

In accordance with Amendment to the Zoning Code enacted by the City Council, Section 17-13-0107-A, please be informed that on or about **June 22**, **2022**, I, the undersigned, intend to file an application for an amendment to the zoning map from a *B1-2 Neighborhood Shopping District* to a *B3-3 Community Shopping District*, on behalf of the Applicant-Owner – *3312 Lincoln LLC*, for the property generally located at **3310-3312 North Lincoln Avenue**, **Chicago**, **Illinois**.

The subject property consists of three contiguous (*irregular*) lots of record. The site, in its entirety is currently improved with a laterally conjoined three-story and one-story (with basement) mixeduse building and a one-story coach house (rear). These improvements span the entirety of the site, so that there is no off-street parking servicing the existing operations. The Applicant is seeking a Zoning Map Amendment in order to permit the redevelopment of the site, in its entirety, with a new six-story multi-unit mixed-use building, which will feature commercial space on the 1st Floor and a total of twenty-four (24) dwelling units – above (2<sup>nd</sup> thru 6<sup>th</sup> Floors), with off-street interior parking. To allow for the new improvements, the Applicant intends to raze the existing structures. Due to its close proximity to the Paulina CTA Train Station AND the Ashland Bus Line Corridor Roadway Segment, and in an effort to reduce vehicular congestion in the area, the proposal calls for the provision of off-street parking for seven (7) vehicles, within the 1st Floor (rear) of the proposed new building, which represents a 70% reduction in the otherwise required parking for the development. \*[The subject property is located on a Six-Corners Pedestrian Street, less than 2,640 feet from the entrance to the CTA Train Station and a designated CTA Bus Line Corridor Roadway Segment, and therefore constitutes a Transit Served Location, under the current Zoning Ordinance.] In further consideration of these conditions, the proposal also includes a dedicated parking-storage room, for bicycles, within the 1st Floor of the building. The new proposed building will measure 69 feet-2 inches in height and such improvements will be masonry in construction.

The Applicant and Property Owner -3312 Lincoln LLC, is located at 1604 West Waveland Avenue, Chicago, Illinois 60613.

The contact person for this application is **Sara K. Barnes**. My address is 221 North LaSalle Street, 38<sup>th</sup> Floor, Chicago, Illinois. My telephone number is 312-782-1983.

LAW OFFICES OF SAMUEL V.P. BANKS

Sara K. Barnes - Attorney for Applicant

\*\*\*Please note that the Applicant is **NOT** seeking to purchase or rezone your property.

\*\*\*The Applicant is required by law to send this notice because you own property located within 250 feet of the property subject to the proposed Zoning Amendment.

To whom it may concern:

I, JOHN MANGAN, on behalf of 3312 Lincoln LLC – the Applicant and Owner, with regard to the property generally located at 3310-3312 North Lincoln Avenue, Chicago, Illinois, authorize the Law Offices of Samuel V.P. Banks to file an application for a Zoning Map Amendment, before the City of Chicago – City Council, for and affecting that property.

John Mangan

3312 Lincoln LLC

#### -FORM OF AFFIDAVIT-

Chairman Thomas Tunney City of Chicago - Committee on Zoning City Hall 121 North LaSalle Street - Room 304 Chicago, Illinois 60602

Dear Chairman Tunney:

I, JOHN MANGAN, on behalf of 3312 Lincoln LLC, understand that the Law Offices of Samuel V.P. Banks has filed a sworn affidavit identifying 3312 Lincoln LLC as holding present title interest in certain land that is subject to the proposed Zoning Map Amendment, for the property generally identified as 3310-3312 North Lincoln Avenue, Chicago, Illinois.

1. JOHN MANGAN, being first duly sworn under oath, depose and say that 3312 Lincoln LLC holds that interest for itself, and for no other person, association, or shareholder.

Subscribed and s...
this 25 day of May

Nam Mayuris

OFFICIAL SEAL **DIANN MARQUIS** NOTARY PUBLIC, STATE OF ILLINOIS

MY COMMISSION EXPIRES: 10/23/2025

Notary Public

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

Ver.2018-1

A. Legal name of the Disclosing Party submitting	ng this EDS. Include d/b/a/ if applicable:
3312 Lincoln LLC	, , , , , , , , , , , , , , , , , , ,
Check ONE of the following three boxes:	
the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess on name:  OR	ticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal right of control of the Applicant (see Section II(B)(1))
B. Business address of the Disclosing Party:	1607 West Waveland Avenue
	Chicago, Illinois 60613
C. Telephone: 312-782-1983 Fax: N/A	Email: sara@sambankslaw.com
D. Name of contact person: Sara Barnes - Attorney	for Owner-Applicant
E. Federal Employer Identification No. (if you l	have one):
F. Brief description of the Matter to which this property, if applicable):	EDS pertains. (Include project number and location of
The Applicant is seeking a Zoning Map Amendmen	t affecting 3310-3312 North Lincoln Avenue.
G. Which City agency or department is requesti	ng this EDS? DPD
If the Matter is a contract being handled by the complete the following:	City's Department of Procurement Services, please
Specification #	and Contract #

Page 1 of 15

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership $\overline{\text{(Is)}}$ the not-for-profit corporation also a 501(c)(3))? Limited partnership Yes $\neg No$ Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? ✓ Organized in Illinois Yes No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of 1. the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title 20400 Partners LLC Manager 2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including

corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a

Name 20400 Partners LLC	Business Address 1607 West Waveland Avenue, Chicago, Illinois 60613	Percentage Interest in the Applicant
SECTION III OFFICIALS	INCOME OR COMPENSATION TO,	OR OWNERSHIP BY, CITY ELECTI
	ing Party provided any income or compensate d preceding the date of this EDS?	ation to any City elected official during th Yes No
	osing Party reasonably expect to provide any during the 12-month period following the d	<u> </u>
•	r of the above, please identify below the nar acome or compensation:	me(s) of such City elected official(s) and
inquiry, any Cit	elected official or, to the best of the Disclosic ty elected official's spouse or domestic partroof the Municipal Code of Chicago ("MCC")  No	ner, have a financial interest (as defined in

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is
,	221 North LaSall	e Street, 38th Floor, Chicago, Illinois 60601	not an acceptable response. \$8,500.00 (est.)/\$4,500.00 (paid)
*Attorneys - Retained			
(Add sheets if necessary)			
Check here if the Disc	closing Party	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	EICATION	S	·
A. COURT-ORDERED	CHILD SUI	PPORT COMPLIANCE	
	•	antial owners of business entities th I support obligations throughout the	——————————————————————————————————————
<del>-</del> -	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	9
Yes No	No person d	lirectly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and

#### **B. FURTHER CERTIFICATIONS**

No

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Yes

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  None
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
<ol> <li>The Disclosing Party certifies that the Disclosing Party (check one)</li> <li>is</li></ol>
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-32		ecause it or any of its affiliates (as defined in the meaning of MCC Chapter 2-32, explain
	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATI	ON REGARDING FINANCIAL IN	TEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 ha	ave the same meanings if used in this Part D.
after reasonable in		the best of the Disclosing Party's knowledge to of the City have a financial interest in his or natity in the Matter?
Yes	. ✓ No	
	ecked "Yes" to Item $D(1)$ , proceed to Items $D(2)$ and $D(3)$ and proceed to	o Items D(2) and D(3). If you checked "No" Part E.
official or employed other person or ent taxes or assessment "City Property Sale	tee shall have a financial interest in latity in the purchase of any property its, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
Yes	<b>✓</b> No	
		mes and business addresses of the City officials fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
		•

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
· · · · · · · · · · · · · · · · · · ·
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay

any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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Ver.2018-1

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Ver.2018-1

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?	
☐ Yes ☐ No	
If "Yes," answer the three questions below:	
1. Have you developed and do you have on file affirmative action programs pursuant to applicate federal regulations? (See 41 CFR Part 60-2.)  Yes  No	ole
<ol> <li>Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Committee Programs, or the Equal Employment Opportunity Commission all reports due under applicable filing requirements?         Yes</li></ol>	
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  No	
If you checked "No" to question (1) or (2) above, please provide an explanation:	

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#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

3312 Lincoln LLC	
(Print or type exact legal name of Disclosing  By:  (Sign here)	Party)
John Mangan	
(Print or type name of person signing)	·······
Managing Member	
(Print or type title of person signing)	
Signed and sworn to before me on (date)  at	
Notary Public  Commission expires: 10-23-25	OFFICIAL SEAL DIANN MARQUIS NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES: 10/23/2025

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Yes No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		0, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
Yes	✓ No	
		blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	No	The Applicant is not publicly traded on any exchange.
	fflaw or problem	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which
		And the second s

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
□ No
√ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing	Party submittii	ng this EDS. Include d/b/a/ if applicable:
20400 Partners LLC		
Check ONE of the following the	ree boxes:	
the contract, transaction or other to "Matter"), a direct or indirect intename:  OR  3.  a legal entity with a direct the legal name of the entity	holding, or an undertaking to rest in excess of rect or indirect in which the D	ticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control:
3312 Lincoln LLC - Property Owner-Applic	ant	
B. Business address of the Disclo	osing Party:	1607 West Waveland Avenue
		Chicago, Illinois 60613
C. Telephone: 312-782-1983	Fax: <u>N/A</u>	Email: sara@sambankslaw.com
D. Name of contact person: Sara	Barnes - Attorney	y for Owner-Applicant
E. Federal Employer Identification		
F. Brief description of the Matte property, if applicable):	r to which this	EDS pertains. (Include project number and location of
The Applicant is seeking a Zoning N	Map Amendmer	nt affecting 3310-3312 North Lincoln Avenue.
G. Which City agency or department	nent is request	ing this EDS? DPD
If the Matter is a contract being h complete the following:	andled by the	City's Department of Procurement Services, please
Specification #		and Contract #
		ge <b>1</b> of <b>15</b>

#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership $\overline{\text{(Is)}}$ the not-for-profit corporation also a 501(c)(3))? Limited partnership ∏ Yes No Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Yes ✓ Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of 1. the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title John Mangan

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."			
NOTE: Each	legal entity listed below may be required to s	ubmit an EDS on its ow	n behalf.
Name John Mangan	Business Address 1607 West Waveland Avenue, Chicago, Illinois 60613	Percentage Interest	in the Applicant
SECTION II	II INCOME OR COMPENSATION TO,	OR OWNERSHIP BY	, CITY ELECTED
	losing Party provided any income or compensition preceding the date of this EDS?	· · · · · · · · · · · · · · · · · · ·	official during the Yes No
	closing Party reasonably expect to provide any al during the 12-month period following the d	· <u>-</u>	on to any City Yes 📝 No
•	ther of the above, please identify below the national income or compensation:	me(s) of such City elect	ed official(s) and
inquiry, any	by elected official or, to the best of the Disclost City elected official's spouse or domestic part of the Municipal Code of Chicago ("MCC")  No	ner, have a financial inte	erest (as defined in
	ase identify below the name(s) of such City eled describe the financial interest(s).	ected official(s) and/or s	pouse(s)/domestic

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees ( <u>indicate whether</u> <u>paid or estimated</u> .) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTII	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities the support obligations throughout the	
• •	•	ectly owns 10% or more of the Disc ations by any Illinois court of comp	Ç •
☐ Yes	No person o	directly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
Yes No			
B. FURTHER CERTIFI	CATIONS	ě.	
		the Matter is a contract being handler period preceding the date of this E	

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  None
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)  is  is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

MCC Section 2-32		ecause it or any of its affiliates (as defined in n the meaning of MCC Chapter 2-32, explain
•	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATION	ON REGARDING FINANCIAL I	ITEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inc		the best of the Disclosing Party's knowledge to of the City have a financial interest in his or natity in the Matter?
Yes	<b>✓</b> No	
<del>-</del>	ecked "Yes" to Item $D(1)$ , proceed to Items $D(2)$ and $D(3)$ and proceed to	to Items D(2) and D(3). If you checked "No" Part E.
official or employed other person or ent taxes or assessmen "City Property Sale	te shall have a financial interest in lity in the purchase of any property ts, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
Yes	<b>✓</b> No	·
<del>-</del>	`	mes and business addresses of the City official fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
	•	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?  Yes No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  Yes  No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  Yes  No Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  No
If you checked "No" to question (1) or (2) above, please provide an explanation:

#### SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

20400 Partners LLC	
(Print or type exact legal name of Disclosing Party)	
(Sign here)	
John Mangan	
(Print or type name of person signing)	
Managing Member	
(Print or type title of person signing)	
Signed and sworn to before me on (date) 5-25 at County, [(state).	<u>(- 22</u> ,
Dearn Magrus Notary Public	OFFICIAL SEAL DIANN MARQUIS NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES: 10/23/2025
Commission expires: $10 - 23 - 25$	

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
Yes	No No	•
2.2	• • •	blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
Yes	No	The Applicant is not publicly traded on any exchange.
•	offlaw or problen	entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes
No
$\sqrt{N/A}$ – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.