

# City of Chicago

Office of the City Clerk

**Document Tracking Sheet** 



O2022-1977

# **Meeting Date:**

Sponsor(s):

Type:

Title:

Committee(s) Assignment:

#### 6/22/2022

Misc. Transmittal

Ordinance

Zoning Reclassification Map No. 9-G at 3355 N Southport Ave - App No. 21066T1 Committee on Zoning, Landmarks and Building Standards

<u>O R D I N A N C E</u>

#### **BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:**

#21066-TI INTRO DATE JUNE 22,2022

**SECTION 1.** Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the *B3-2 Community Shopping District* symbols and indications as shown on Map No. 9-G in the area bounded by

A line 48 feet south of and parallel to West Roscoe Street; the alley next east of and parallel to North Southport Avenue; a line 72.67 feet south of and parallel to West Roscoe Street; and North Southport Avenue,

to those of a B3-3 Community Shopping District.

**SECTION 2.** This Ordinance shall be in full force and effect from and after its passage and due publication.

Common Address of Property:

3355 North Southport Avenue

### 17-13-0303-C (1) Narrative Zoning Analysis

3355 North Southport Avenue, Chicago, Illinois

Proposed Zoning: B3-3 Community Shopping District

Lot Area: 3,083.75 square feet (recorded)

Proposed Land Use: The subject property is improved with a two-plus-story brick and frame mixeduse building, which such building is presently vacant. The Applicant is seeking a Zoning Map Amendment in order to permit the redevelopment and reactivation of the site with a new four-story (with basement) mixed-use building. To allow for the new improvements, the Applicant intends to raze the existing structures. The programming for the proposed new development calls for the establishment of commercial space on and between the Basement thru 1<sup>st</sup> Floors and a total of six (6) dwelling units on and between the 2<sup>nd</sup> thru 4<sup>th</sup> Floors. Due to its nearly immediate \*proximity to the Southport CTA Train Station, and in an effort to reduce vehicular congestion in the area, the proposal calls for the provision of accessible (ADA) off-street parking for one (1) vehicle, at the rear of the site, which represents an 83% reduction in the amount of required parking for the new improvements. \*[The subject property is located on a Retail Pedestrian Street, less than 300 feet from the entrance to the CTA Train Station, and therefore constitutes a Transit Served Location, under the applicable provisions of the Zoning Ordinance.] In lieu of vehicular parking, the proposal includes off-street parking and storage for at least six (6) bicycles. The new proposed building will measure 44 feet-3 inches in height (to the underside ceiling of the  $4^{th}$  Floor) and will be masonry in construction.

(A) The Project's Floor Area Ratio: 10,679 square feet (3.5 FAR)

\*The subject property is located within 1,320 linear feet of the entrance to the Southport CTA Train Station, thereby qualifying as a Transit Served Location. [17-10-0102-B] The programming calls for the provision of no more than one parking space per dwelling unit. Therefore, the Applicant is eligible for an increase in maximum floor area ratio (FAR) up to 3.5, pursuant to this Type 1 Zoning Map Amendment. [17-3-0403-B] \*Please See: corresponding TSL Narrative.

- (B) The Project's Density (Lot Area Per Dwelling Unit): Six (6) dwelling units; 513.96 square feet per dwelling unit
- (C) The amount of off-street parking: 1 ADA *accessible* vehicular parking space + at least six (6) bicycle parking-storage spaces

\*The subject property is located within 1,320 linear feet of the entrance to the Southport CTA Train Station, thereby qualifying as a Transit Served Location. [17-10-0102-B]. Therefore, the Applicant is eligible for a reduction in the amount of required off-street vehicular parking – from six (6) to one (1), which represents about an 83% reduction, pursuant to this Type 1 Zoning Map Amendment. \*Please See: corresponding TSL Narrative.

- (D) Setbacks: a. Front Setback: 0 foot-0 inches
  - b. Rear Setback: 0 feet-0 inches (*non-residential* floors) 7 feet-0 inches (*residential* floors)

\*The Applicant will seek a Variation for a reduction to the required rear setback, for the residential floors, in order to fully comply with the current Zoning Ordinance.

- c. Side Setbacks: North: 0 feet-0 inches South: 0 feet-0 inches
- (E) Building Height: 44 feet-3 inches (underside-ceiling of 4<sup>th</sup> Floor)

# 17-3-0403-B Transit Served Location (TSL) – Narrative Zoning Analysis

3355 North Southport Avenue, Chicago, Illinois

#### THE SITE

The subject property consists of a single lot of record, with just under 25 feet of frontage on Southport Avenue. [Site: 24.67 feet in width by 125 feet in depth = 3,083.75 square feet of total lot area.] The site is bounded by a public alley on the east. The site is improved with an old two-plus-story brick and frame *mixed-use* building, which such building is presently vacant. old two-story masonry building.

The subject property is located mid-block (east side), on a segment of Southport Avenue that is a designated Pedestrian Retail Street. The site is located less than one-block (300 feet) from the entrance to the Southport CTA Train Station - to the north. Although all of the properties that comprise this 3500 block of Southport Avenue - on both sides, carry a B3 Community Shopping District zoning classification, there are at least two buildings on the east side of the block that are wholly residential in function, while all but-for one of the buildings on the west side of the block are wholly *residential* in function. The footprint of most of the buildings and improvements comprising the east side of the subject block span the entirety of their respective site, so that most such buildings do NOT provide accessory off-street parking accommodations, which such conditions are mitigated due to the proximity to meaningful public transit (CTA Station), as well as the corresponding designation as a Pedestrian Street. The existing improvements in the immediate area vary in scale and use - from one-story to four-stories in height, with most of the ground floors of the mixed-use buildings occupied by retail, hospitality and personal service businesses, featuring low to moderate density residential units above. Many of the improvements along this block of Southport Avenue are non-conforming under the current Zoning Ordinance and/or were permitted pursuant to relief granted by the Zoning Board of Appeals and/or Citv Council.

# THE PROJECT

The Applicant is seeking a *Type 1 Zoning Map Amendment* – from a *B3-2 Community Shopping District* to a *B3-3 Community Shopping District*, in order to permit the redevelopment and reactivation of the subject property with a new four-story (with basement) *mixed-use* building. To allow for the new improvements, the Applicant intends to raze the existing structures. The programming for the proposed new development calls for the establishment of *commercial* space on and between the Basement thru 1<sup>st</sup> Floors and a total of six (6) *dwelling units* on and between the 2<sup>nd</sup> thru 4<sup>th</sup> Floors. Due to its nearly immediate proximity to the *Southport CTA Train Station*, and in an effort to reduce vehicular congestion in the area, the proposal calls for the provision of *accessible* (ADA) off-street parking for one (1) vehicle, at the rear of the site, which represents an 83% reduction in the amount of required parking for the new improvements. \*[*The subject property is located on a Retail Pedestrian Street, less than 300 feet from the entrance to the CTA Train Station, and therefore constitutes a Transit Served Location, under the applicable provisions of the Zoning Ordinance.*] In lieu of vehicular parking, the proposal includes off-street parking and

storage for at least six (6) bicycles. The new proposed building will measure 44 feet-3 inches in height (*to the underside ceiling of the 4<sup>th</sup> Floor*) and will be masonry in construction. FLOOR AREA RATIO INCREASE FOR TRANSIT SERVED LOCATION: 17-3-0403-B

\*This proposed Zoning Map Amendment is being sought by and through the 'Type 1' process [Section 17-13-0302-A], in order to qualify for an increase in the maximum allowable floor area ratio [Section 17-3-0403-B] for the new building, under the current Zoning Ordinance, since the design calls for no more than one parking space per dwelling unit.

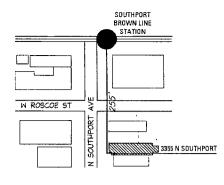
\*\*By and through this proposed *Type 1 Zoning Map Amendment*, and in consideration of the site's immediate proximity to the *CTA Train* Station, the Applicant is also seeking to reduce the minimum off-street automobile parking for the proposed new *residential* units by MORE than 50%, pursuant to Section 17-10-0102-B of the *Zoning Ordinance*.

Pursuant to Section 17-13-0905-F and Section 17-10-0102-B of the Zoning Ordinance, in order to qualify for the proposed *floor area ratio* (FAR) increase and the proposed reduction of *automobile parking* by more than 50%, the Project:

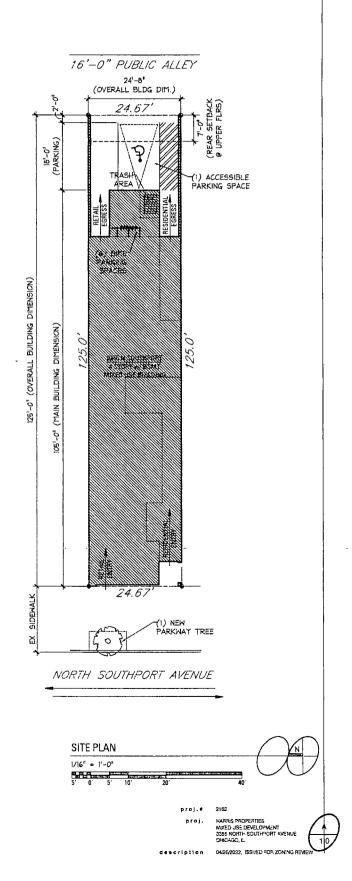
- (a) **Must be located in a** *transit-served location*. The subject property is located within 1,320 linear feet of the entrance to the *Southport (Brown Line) CTA Train Station*.
- (b) Must comply with the standards of Section 17-3-0500 pertaining to pedestrian streets and pedestrian retail streets. The proposed new development complies with all of the standards and design guidelines for pedestrian streets as shown on the accompanying architectural plans (prepared by Jonathan Splitt Architects, Ltd.), submitted with the subject Type 1 Zoning Map Amendment. By way of relevant example, the façade of the proposed new building abuts the sidewalk, with the primary entrance facing and directly accessible off of Southport Avenue. [17-3-0504-B and 17-3-0504-D] As well, over 60% of the ground floor façade facing Southport Avenue, is comprised of transparent windows. [17-3-0504-C] Lastly, all of the off-street parking for the development is located at the rear and/or behind the building, directly accessible via the public alley, without any vehicular interference with the pedestrian walkway (sidewalk). [17-3-0504-E-F-G]
- (c) Must comply with the general goals set forth in the Transit Friendly Development Guide: Station Area Typology, and any other station-specific plans, designs or guidelines adopted by the Chicago Plan Commission. The Transit Friendly Development Guide defines 'transit friendly development' as [d]evelopment which is oriented towards and integrated with adjacent transit. By way of relevant example, the proposed new development incorporates accessibility and connectivity less than 300 linear feet from the entrance to the CTA Train Station and just steps away from the highest intensity retail stretch of Southport Avenue, which extends for at least five more blocks, moving north toward Addison Avenue. Moreover, the proposed new development will feature a transit-oriented commercial-retail business on the 1<sup>st</sup> Floor, activating the pedestrian way for commuters going to and coming from the train, and – too, will add residential

density without personal vehicles, which should help to generate and promote additional transit riders.

- (d) Must actively promote public transit and alternatives to automobile ownership. In a direct and deliberate effort to promote public forms of transportation, and - too, to mitigate vehicular congestion and traffic in this pedestrian-oriented neighborhood, the proposed new development will only provide off-street parking for a single (1) vehicle, that will be offered on a firstcome-first-served basis between the commercial tenant and the at least six (6) residential tenants. As well, the proposed development includes a sizeable storage room, within the ground floor of the building - situated directly off of the pedestrian way, which can accommodate interior parking for at least six (6) bicycles and scooters, with superfluous surface space at the rear of the building, for additional outdoor bicycle parking, provided. Beyond these onsite accommodations, there are additional bicycle racks located on the sidewalk in front of the subject site and the Applicant (who will maintain long-term ownership and management of the property) is committed to working with the Alderman and representatives from the CTA and CDOT, toward providing additional public bicycle racks, should such be desired.
- (c) Must include enhancements to the pedestrian environment that are not otherwise required. The existing building at the subject site, which is well-over fo years in age, has a blank masonry façade, which creates a lull in street level activation for pedestrians utilizing the public way, in stark contrast to the other newer-construction fully activated improvements that comprise this side of the block. The design for the new proposed building – in particular the grade level storefront for the same, complies with the strict guidelines for improvements on a *Pedestrian Street*, which such design includes ground to ceiling transparent windows (directly abutting the sidewalk), displaying the new business activity that will occupy and activate the 1<sup>st</sup> Floor of the building. The proposal also calls for the planting of a new parkway tree, in front of the subject site, where there is currently no such improvements. These design elements will help to further enhance the pedestrian experience at and around the currently dormant site.



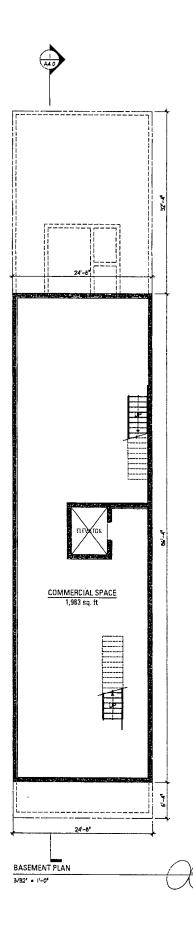
TRANSIT SERVED LOCATION PROXIMITY MAP



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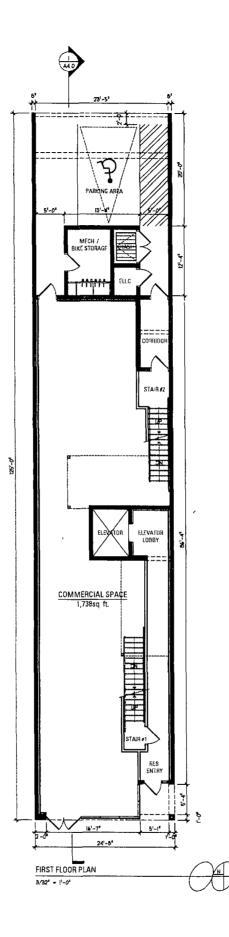
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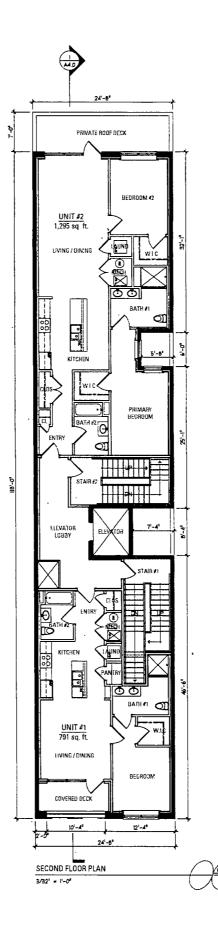


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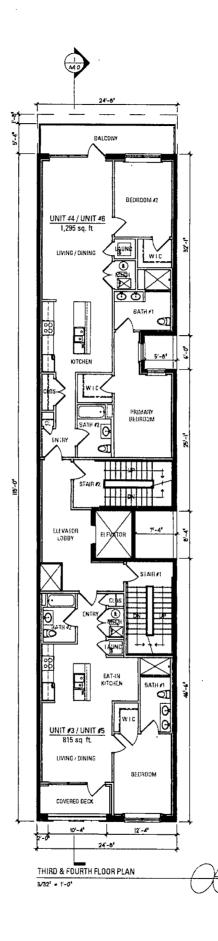
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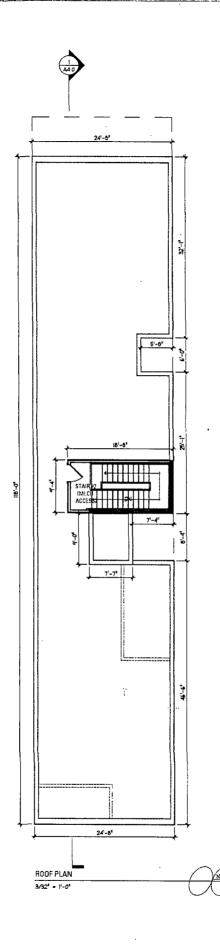
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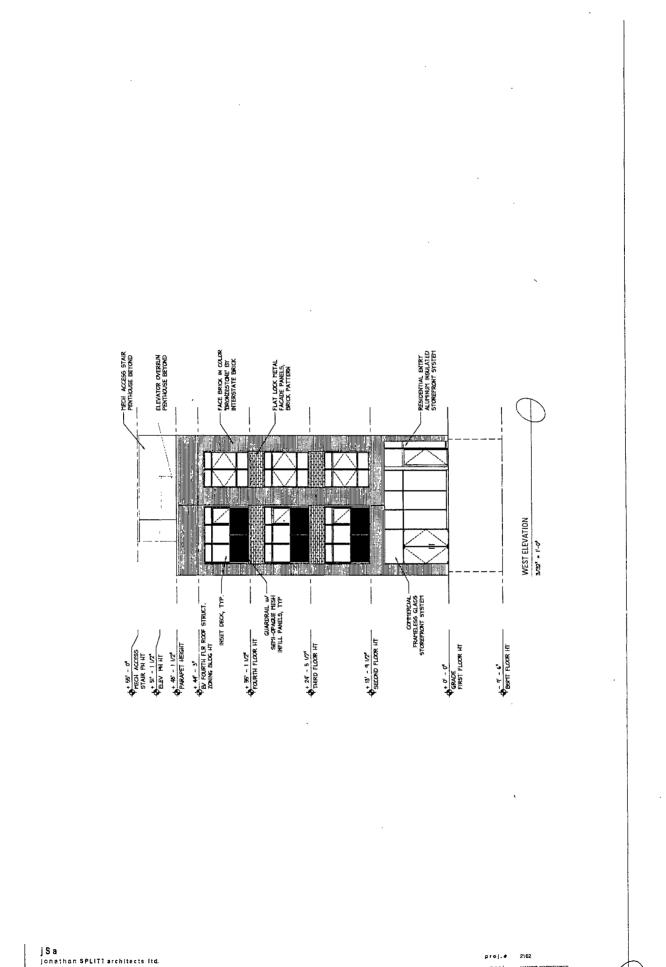
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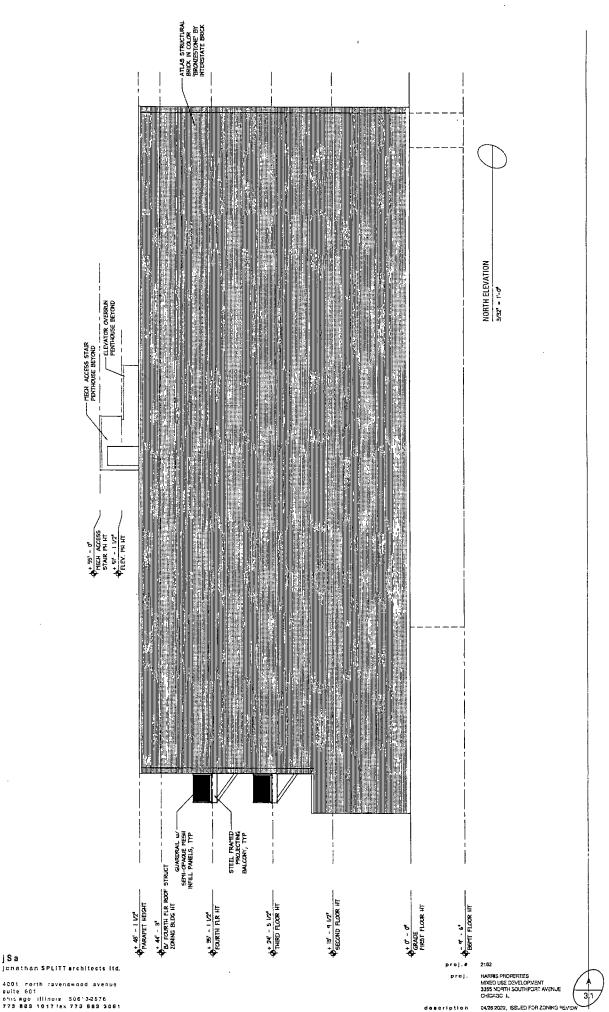
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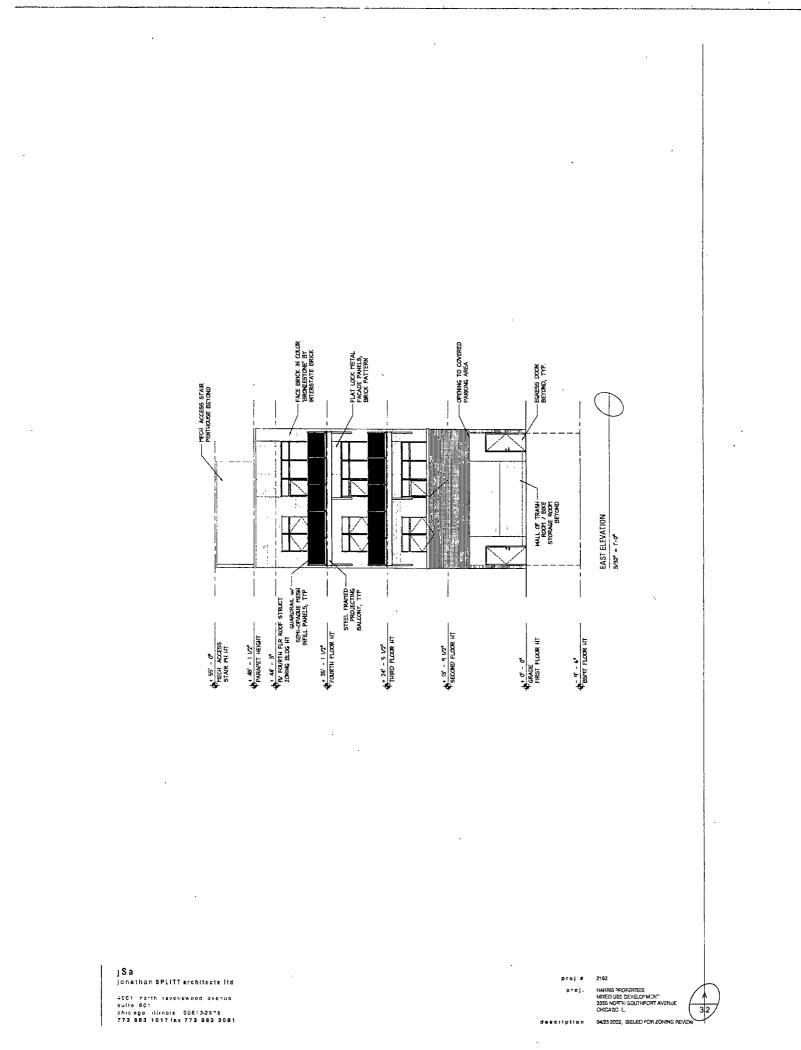
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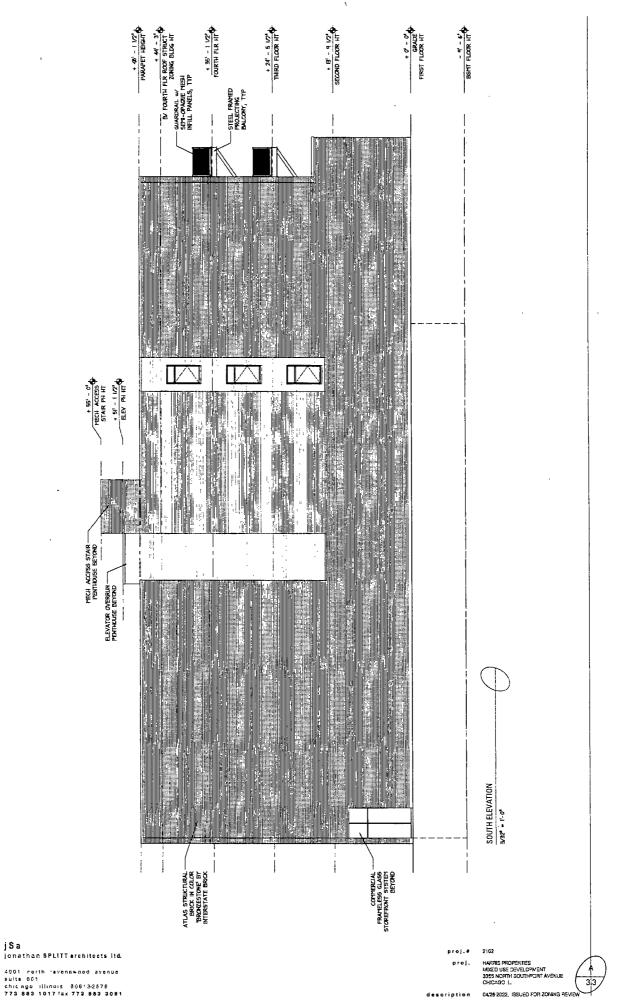
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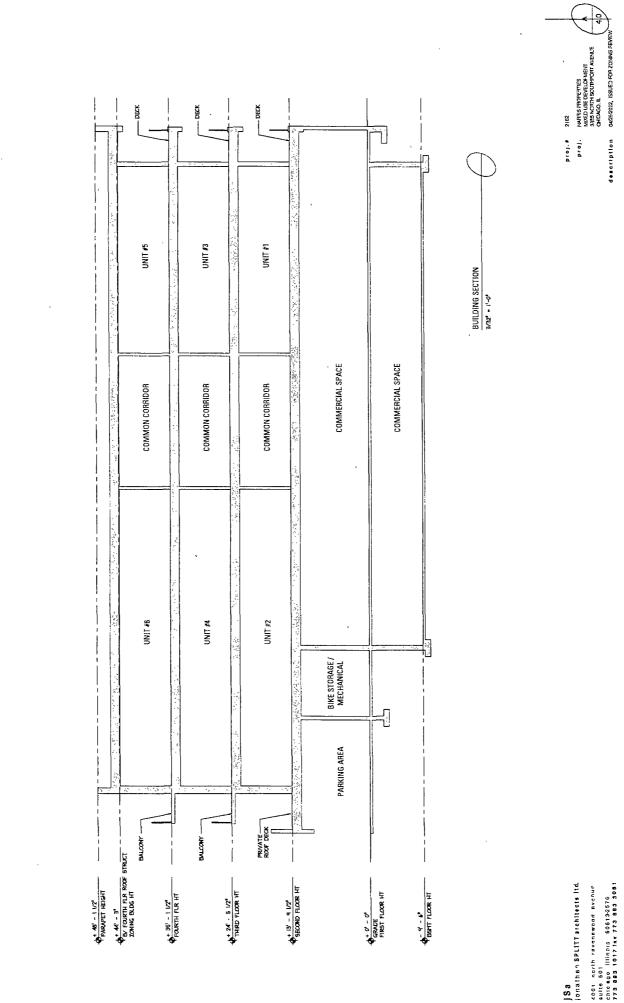
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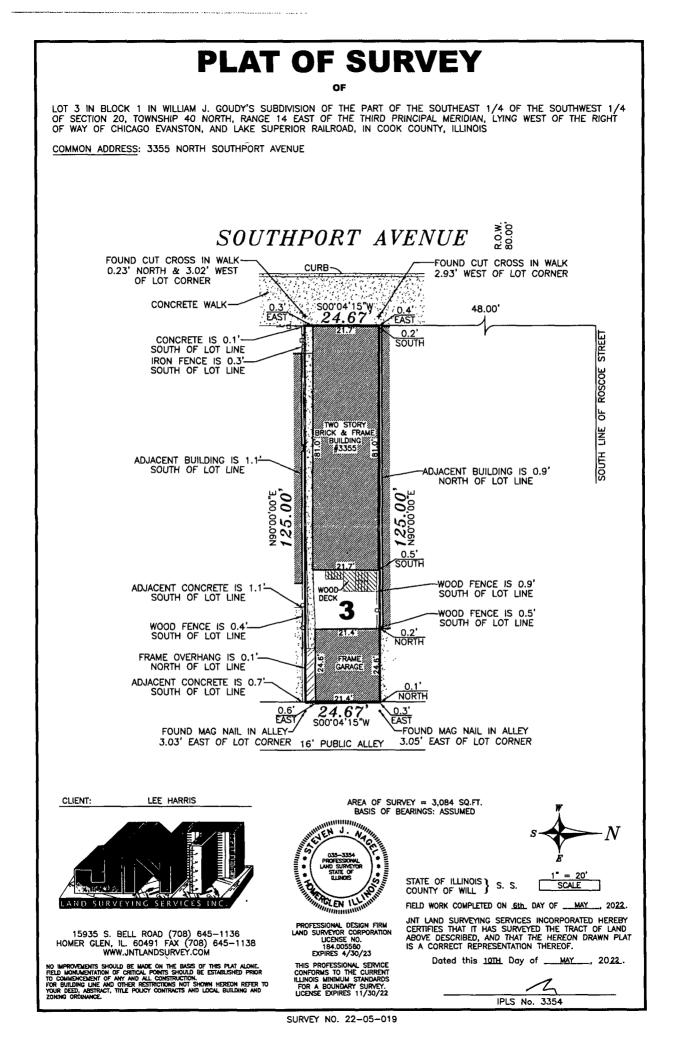
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# CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

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#21066-TI INTRODATE JUNE 22,2022

1.	ADDRESS of the property Applicant is seeking to rezone: 3355 North Southport Avenue, Chicago, Illinois						
2.	Ward Number that property is located: 44						
3.	APPLICANT: HPL 3355 Southport LLC						
	ADDRESS	· ··· · ·	_CITY:				
			_ PHONE: <u>312-782-1983</u>				
	EMAIL: sara@sambankslaw.c	com CONTACT PERSON:	Sara Barnes – Attorney for Applicant				
4.	Is the Applicant the owner of t	the property? YES X	10_ <u> </u>				
i			ovide the following information the owner allowing the application to				
	OWNER: Same as Applicant -	- Above.					
	ADDRESS:		CITY:				
	STATE:	ZIP CODE:	PHONE:				
	EMAIL:	_ CONTACT PERSON:					
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:						
	ATTORNEY: <u>Sara K. Barnes</u>	s - Law Offices of Samuel V	.P. Banks				
	ADDRESS: 221 North LaSall	e Street, 38 <sup>th</sup> Floor	·				
	CITY: <u>Chicago</u>	_ STATE: <u>Illinois</u>	ZIP CODE: <u>60601</u>				
	PHONE: <u>312-782-1983</u>	FAX: <u>312-782-2433</u>	_EMAIL: sara@sambankslaw.com				

:

- If the applicant is a legal entity (Corporation, LLC, Partnership, etc.), please provide the names of all owners as disclosed on the Economic Disclosure Statements.
   Leon J. Harris Managing Member; Therese L. Harris Member
- 7. On what date did the owner acquire legal title to the subject property? September 2021
- 8. Has the present owner previously rezoned this property? If Yes, when? No\_\_\_\_\_\_
- 9. Present Zoning District: <u>B3-2</u> Proposed Zoning District: <u>B3-3</u>
- 10. Lot size in square feet (or dimensions): 24.67 feet x 125.00 feet = 3.083.75 square feet (recorded)
- 11. Current Use of the Property: <u>The subject property is improved with a two-plus-story brick and</u> frame *mixed-use* building, which such building is presently vacant.
- 12. Reason for rezoning the property: <u>The Applicant is seeking a Zoning Map Amendment in order to</u> permit the redevelopment and reactivation of the site with a new four-story *mixed-use* building, which such building will feature *commercial* space on and between the Basement thru 1<sup>st</sup> Floors and a total of six (6) *dwelling units* on and between the 2<sup>nd</sup> thru 4<sup>th</sup> Floors, with accessible (*ADA*) off-street parking for one (1) vehicle.
- 13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling unit number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC) The subject property is improved with a two-plus-story brick and frame mixed-use building, which such building is presently vacant. The Applicant is seeking a Zoning Map Amendment in order to permit the redevelopment and reactivation of the site with a new four-story (with basement) mixed-use building. To allow for the new improvements, the Applicant intends to raze the existing structures. The programming for the proposed new development calls for the establishment of *commercial* space on and between the Basement (1,983 square feet) thru 1st Floors (1,738 square feet) and a total of six (6) dwelling units on and between the 2<sup>nd</sup> thru 4<sup>th</sup> Floors. Due to its nearly immediate \*proximity to the Southport CTA Train Station, and in an effort to reduce vehicular congestion in the area, the proposal calls for the provision of accessible (ADA) off-street parking for one (1) vehicle, at the rear of the site, which represents an 83% reduction in the amount of required parking for the new improvements. \*[The subject property is located on a Retail Pedestrian Street, less than 300 feet from the entrance to the  $C\overline{T}A$  Train Station, and therefore constitutes a Transit Served Location. (Section 17-10-0102-B)] In lieu of vehicular parking, the proposal includes off-street parking and storage for at least six (6) bicycles. The new proposed building will measure 44 feet-3 inches in height (to the underside ceiling of the 4<sup>th</sup> Floor) and will be masonry in construction.
- 14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?

YES\_\_\_\_ NO\_\_X\_\_

# COUNTY OF COOK STATE OF ILLINOIS

I, LEON J. HARRIS, being first duly sworn on oath, state that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

pplicant

Subscribed and sworn to before me this

day of - MA , 2022. Notar lic



For Office Use Only

Date of Introduction:

File Number:\_\_\_\_\_

Ward:\_\_\_\_

#### Written Notice, Form of Affidavit: Section 17-13-0107

June 22, 2022

Honorable Thomas Tunney Chairman, Committee on Zoning 121 North LaSalle Street Room 304 - City Hall Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Sara Barnes, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the Applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. That said written notice was sent by USPS First Class Mail no more than 30 days before filing the application.

That the undersigned certifies that the notice contained the address of the property sought to be rezoned as 3355 North Southport Avenue, Chicago, Illinois; a statement of intended use of said property; the name and address of the Applicant-Property Owner; and a statement that the Applicant intends to file an application for a change in zoning on approximately June 22, 2022.

That the Applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago and that the Applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

Law Offices of Samuel V.P. Banks

Sara K. Barnes-Attorney for Applicant

Subscribed and sworn to before me

this **House** day of 2022.



#### **PUBLIC NOTICE**

#### Via USPS First Class Mail

June 22, 2022

Dear Sir or Madam:

In accordance with Amendment to the Zoning Code enacted by the City Council, Section 17-13-0107-A, please be informed that on or about June 22, 2022, I, the undersigned, intend to file an application for an amendment to the zoning map from a B3-2 Community Shopping District to a B3-3 Community Shopping District, on behalf of the Applicant-Owner – HPL 3355 Southport LLC, for the property generally located at 3355 North Southport Avenue, Chicago, Illinois.

The subject property is improved with a two-plus-story brick and frame *mixed-use* building, which such building is presently vacant. The Applicant is seeking a *Zoning Map Amendment* in order to permit the redevelopment and reactivation of the site with a new four-story (with basement) *mixed-use* building. To allow for the new improvements, the Applicant intends to raze the existing structures. The programming for the proposed new development calls for the establishment of *commercial* space on and between the Basement thru 1<sup>st</sup> Floors and a total of six (6) *dwelling units* on and between the 2<sup>nd</sup> thru 4<sup>th</sup> Floors. Due to its nearly immediate \*proximity to the *Southport CTA Train Station*, and in an effort to reduce vehicular congestion in the area, the proposal calls for the provision of *accessible* (ADA) off-street parking for one (1) vehicle, at the rear of the site, which represents an 83% reduction in the amount of required parking for the new improvements. \*[*The subject property is located on a Retail Pedestrian Street, less than 300 feet from the entrance to the CTA Train Station, and therefore constitutes a Transit Served Location, under the applicable provisions of the Zoning Ordinance.*] In lieu of vehicular parking, the proposal includes off-street parking and storage for at least six (6) bicycles. The new proposed building will measure 44 feet-3 inches in height (*to the underside ceiling of the 4<sup>th</sup> Floor*) and will be masonry in construction.

The Applicant and Property Owner – *HPL 3355 Southport LLC*, is located at 2849 North Lincoln Avenue, Unit 2 (Rear), Chicago, Illinois 60657.

The contact person for this application is **Sara K. Barnes**. My address is 221 North LaSalle Street, 38<sup>th</sup> Floor, Chicago, Illinois. My telephone number is 312-782-1983.

Very truly yours, LAW OFFICES OF SAMUEL V.P. BANKS

Sara K. Barnes

Sara K. Barnes Attorney for Applicant

\*\*\*Please note that the Applicant is <u>NOT</u> seeking to purchase or rezone your property. \*\*\*The Applicant is required by law to send this notice because you own property located within 250 feet of the property subject to the proposed Zoning Amendment. To whom it may concern:

I, LEON J. HARRIS, on behalf of the Applicant and Owner – *HPL 3355 Southport LLC*, with regard to the property generally located at and comprising 3355 North Southport Avenue, Chicago, Illinois, authorize the Law Offices of Samuel V.P. Banks to file an application for a *Zoning Map Amendment*, with and before the City of Chicago, for and affecting such properties.

Leon J. Harrit Managing Member HPL 3355 Southport LLC

#### -FORM OF AFFIDAVIT-

Chairman Thomas Tunney Committee on Zoning City of Chicago – City Hall 121 North LaSalle Street – Room 304 Chicago, Illinois 60602

#### RE: 3355 North Southport Avenue, Chicago, Illinois Application for *Zoning Map Amendment*

Dear Chairman Tunney:

I, LEON J. HARRIS, on behalf of *HPL 3355 Southport LLC*, understand that the Law Offices of Samuel V.P. Banks has filed a sworn affidavit, which identifies *HPL 3355 Southport LLC* as Owner holding interest in certain land subject to the proposed *Zoning Map Amendment* - for the property commonly identified as 3355 North Southport Avenue, Chicago, Illinois.

I, LEON J. HARRIS, being first duly sworn under oath, depose and say that *HPL 3355* Southport LLC holds that interest for itself and for no other person, association, or shareholder.

05.2 Date Leon J. Har

Subscribed and sworn to before me this 24 day of MA 2022. stary Publi OFFICIAL SEAL SARA K BARNES NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 11/15/2024

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

HPL 3355 Southport LLC

# Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. 🖌 the Applicant-Property Owner

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

OR

3.  $\square$  a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party:		·	 	
C. Telephone: <u>312-782-1983</u>	B Fax: <u>N/A</u>		Email:	sara@sambankslaw.com
D. Name of contact person:	Sara Barnes - Attorney	for Owner-Applica	ant	

E. Federal Employer Identification No. (if you have one):

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

The Applicant is seeking a Zoning Map Amendment for and affecting 3355 North Southport Avenue.

G. Which City agency or department is requesting this EDS? DPD

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_\_\_ and Contract # \_\_\_\_\_

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# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	rty:
Person	✓ Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the not-for-profit corporation also a $501(c)(3)$ )?
Limited partnership	Yes No
Trust	Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes

∏ No

✓ Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
Leon J. Harris	Manager	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name Leon J. Harris	Business Address 2849 North Lincoln Avenue, Unit 2R, Chicago, Illinois 60657	Percentage Interest in the Applicant 50%	
Therese L. Harris	2849 North Lincoln Avenue, Unit 2R, Chicago, Illinois 60657	50%	

# SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

Does the Disclosing Party reasonably expect to provide any income or compen	nsatio	on to any C	ity	,
elected official during the 12-month period following the date of this EDS?	Y	Zes [	$\checkmark$	No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected o	fficial or, to the be	est of the Disclosing Party's knowledge after reasonable
inquiry, any City elected	i official's spouse	e or domestic partner, have a financial interest (as defined in
Chapter 2-156 of the Mu	inicipal Code of (	Chicago ("MCC")) in the Disclosing Party?
Yes	No No	

If "yes," please identify below the name(s) of such	City elected official(s) and/or spouse(s)/domestic
partner(s) and describe the financial interest(s).	

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Busin retained or anticipated Addr to be retained)

Business Rel Address (su lob

Law Offices of Samuel VP Banks 221 North LaSalle Street, 38th Floor, Chicago, Illinois 60601

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

\*Attorneys - Retained

# (Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

# **SECTION V -- CERTIFICATIONS**

# A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes

<b>√</b> No	_
-------------	---

No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

# **B. FURTHER CERTIFICATIONS**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5)-below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

# C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that the Disclosing Party (check one)
 is I is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?





NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

**N**o

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

 Name
 Business Address
 Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

# A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the A	App	licant?
Yes	$\square$	No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes	

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes	
-----	--

Reports	not	required
---------	-----	----------

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

|--|

☐ No

No

No No

If you checked "No" to question (1) or (2) above, please provide an explanation:

# SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

# HPL 3355 Southport LLC

(Print or type exact legal name of Disclosing Party)

. **By:** (Sign here)

Leon J. Harris

(Print or type name of person signing)

# A Managing Member-Owner

(Print or type title of person signing)

Signed and sworn to before me on (date) May 24	,2022
at <u>Cook</u> County, <u>IUNOIS</u> (state).	, · ·
	-
Notar Patric	
Commission expires: 11.15.24	
<u></u>	

OFFICIAL SEAL SARA K BARNES NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 11/15/2024

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

# This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?



**N**o

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No	
----	--

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# **PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

T Yes

No

 $\sqrt{N/A - I}$  am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.