

City of Chicago

Office of the City Clerk

Document Tracking Sheet



O2022-2420

Meeting Date:

Sponsor(s):

Type:

Title:

Committee(s) Assignment:

7/20/2022

Rodriguez (22)

Ordinance

Dedication and vacation of public way(s) in area bounded by W 43rd St, S Cicero Ave, W 44th St and S Laporte Ave Committee on Transportation and Public Way

INTERGOVERNMENTAL VACATION/ DEDICATION ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of local government pursuant to Article VII, Section 6 (a) of the 1970 Constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the properties at 4300-4358 S. La Crosse Street, 4300-4358 S. Cicero Avenue, 4300-4358 S. Lamon Avenue, 4300-4358 S. Laporte Avenue, 4301-4359 S. La Crosse Street, 4301-4359 S. Lamon Avenue, and 4301-4359 S. Laporte Avenue are owned by the Chicago Housing Authority, an Illinois municipal corporation ("Chicago Housing Authority"); and

WHEREAS, the Chicago Housing Authority proposes to reestablish the street grid for the purposes of supporting redevelop on the adjacent parcels for mixed income residential, and mixed commercial uses; and

WHEREAS, the Chicago Housing Authority Board of Commissioners, in response to a request for proposals, awarded the redevelopment contract for the above-referenced properties to LeClaire Partners LLC ("Developer"), an Illinois limited liability company; and

WHEREAS, the Developer and the Chicago Housing Authority will enter into a long term lease necessary for the Developer to occupy the site, construct mixed income and mixed use commercial units, manage, collect rents and sell housing in the resulting development into the future; and

WHEREAS, the City Council of the City of Chicago, after due investigation and consideration, has determined that the nature and extent of public use and the public interest to be subserved is such as to warrant the vacation of the public way and the acceptance of new public way described below; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Legal Descriptions

The DEDICATION of:

(PARCEL 1) THAT PART OF S. LAPORTE AVENUE 66 FOOT WIDE RIGHT OF WAY AS VACATED BY PLAT OF VACATION RECORDED APRIL 4, 1950 AS DOCUMENT NO. 14778841, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION. ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE SOUTH 88 DEGREES 36 MINUTES 55 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, 917.56 FEET TO THE EAST RIGHT OF WAY LINE OF S. LAPORTE AVENUE; THENCE NORTH 1 DEGREE 23 MINUTES 05 SECONDS WEST, ON SAID EAST RIGHT OF WAY LINE, 122.00 FEET TO THE POINT OF BEGINNING: THENCE NORTHWESTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE. 113.95 FEET ON A CURVE CONCAVE TO THE SOUTHWEST, HAVING A RADIUS OF 190.98 FEET, THE CHORD OF SAID CURVE BEARS NORTH 18 DEGREES 28 MINUTES 39 SECONDS WEST, 112.27 FEET; THENCE NORTHWESTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 74.59 FEET ON A CURVE CONCAVE TO THE NORTHEAST, HAVING A RADIUS OF 124.98 FEET, THE CHORD OF SAID CURVE BEARS NORTH 18 DEGREES 28 MINUTES 20 SECONDS WEST, 73.49 FEET; THENCE NORTH 1 DEGREE 23 MINUTES 20 SECONDS WEST, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 75.70 FEET: THENCE NORTHEASTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE. 49.50 FEET ON A CURVE CONCAVE TO THE SOUTHEAST. HAVING A RADIUS OF 42.99 FEET, THE CHORD OF SAID CURVE BEARS NORTH 31 DEGREES 35 MINUTES 41 SECONDS EAST, 46.81 FEET TO THE SOUTHWESTERLY RIGHT OF WAY LINE OF W. 43RD STREET; THENCE NORTH 64 DEGREES 42 MINUTES 17 SECONDS EAST, ON SAID SOUTHWESTERLY RIGHT OF WAY LINE, 31.85 FEET; THENCE SOUTH 1 DEGREE 23 MINUTES 05 SECONDS EAST, 305.43 FEET TO THE POINT OF BEGINNING, SAID PARCEL CONTAINING 10,669 SQUARE FEET OR 0.245 ACRES, MORE OR LESS.

TOGETHER WITH (PARCEL 2) THAT PART OF LOTS 19 THROUGH 25 OF BLOCK 2 IN FREDERICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE SOUTH 88 DEGREES 36 MINUTES 55 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, 582.12 FEET TO THE POINT OF BEGINNING; THENCE SOUTH 88 DEGREES 36 MINUTES 56 SECONDS WEST, CONTINUING ON SAID NORTH RIGHT OF WAY LINE, 2.23 FEET TO THE INTERSECTION WITH THE EAST RIGHT OF WAY LINE OF S. LAMON AVENUE; THENCE NORTH 1 DEGREE 23 MINUTES 05 SECONDS WEST, ON SAID EAST RIGHT OF WAY LINE, 179.05 FEET; THENCE NORTHEASTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 13.21 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 40.00 FEET, THE CHORD OF SAID CURVE BEARS NORTH 8 DEGREES 04 MINUTES 37 SECONDS EAST, 13.15 FEET; THENCE SOUTH 1 DEGREE 24 MINUTES 15 SECONDS EAST, 192.02 FEET TO THE POINT OF BEGINNING, SAID PARCEL CONTAINING 412 SQUARE FEET OR 0.009 ACRES, MORE OR LESS.

TOGETHER WITH (PARCEL 3) THAT PART OF LOTS 27 THROUGH 36 OF BLOCK 2 IN FREDERICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322, AND THAT PART OF S. LAMON AVENUE AS VACATED BY PLAT OF VACATION RECORDED APRIL 4, 1950 AS DOCUMENT NO. 14778841, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION. SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE SOUTH 88 DEGREES 36 MINUTES 55 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, 648.12 FEET; THENCE NORTH 1 DEGREE 24 MINUTES 15 SECONDS WEST. 200.33 FEET TO THE POINT OF BEGINNING: THENCE NORTH 1 DEGREE 24 MINUTES 15 SECONDS WEST, 346.47 FEET TO THE SOUTHERLY RIGHT OF WAY LINE OF W. 43RD STREET; THENCE NORTH 64 DEGREES 42 MINUTES 17 SECONDS EAST, ON SAID SOUTHERLY RIGHT OF WAY LINE, 72.19 FEET: THENCE SOUTH 1 DEGREE 24 MINUTES 15 SECONDS EAST, 298.01 FEET TO THE NORTHERLY RIGHT OF WAY LINE OF S. LAMON AVENUE; THENCE SOUTHWESTERLY ON SAID NORTHERLY RIGHT OF WAY LINE, 106.35 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 106.00 FEET, THE CHORD OF SAID CURVE BEARS SOUTH 38 DEGREES 56 MINUTES 31 SECONDS WEST, 101.95 FEET TO THE POINT OF BEGINNING, SAID PARCEL CONTAINING 20,369 SQUARE FEET OR 0.468 ACRES, MORE OR LESS.

TOGETHER WITH (PARCEL 4) THAT PART OF W. 43RD STREET AS VACATED BY PLAT OF VACATION RECORDED APRIL 4, 1950 AS DOCUMENT NO. 14778841, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE NORTH 1 DEGREE 32 MINUTES 20 SECONDS WEST, ON SAID WEST RIGHT OF WAY LINE, 599.50 FEET TO THE INTERSECTION WITH THE SOUTH RIGHT OF WAY LINE OF W. 43RD STREET, SAID POINT ALSO BEING THE NORTHEAST CORNER OF SAID BLOCK 1; THENCE SOUTH 88 DEGREES 39 MINUTES 00 SECONDS WEST, ON SAID SOUTH RIGHT OF WAY LINE, 115.26 FEET; THENCE SOUTH 88 DEGREES 39 MINUTES 00 SECONDS WEST, ON THE WESTERLY EXTENSION OF SAID SOUTH RIGHT OF WAY LINE. 147.89 FEET TO A POINT ON THE SOUTHWESTERLY RIGHT OF WAY LINE OF SAID 43RD STREET, SAID POINT ALSO BEING THE POINT OF BEGINNING; THENCE SOUTH 88 DEGREES 39 MINUTES 00 SECONDS WEST, 263.98 FEET TO A POINT ON SAID SOUTHWESTERLY RIGHT OF WAY LINE OF W. 43RD STREET; THENCE NORTH 64 DEGREES 42 MINUTES 17 SECONDS EAST, ON SOUTHWESTERLY RIGHT OF WAY LINE, 53.88 FEET; THENCE NORTHEASTERLY, CONTINUING ON SAID SOUTHWESTERLY RIGHT OF WAY LINE, 166.04 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 334.78 FEET. THE CHORD OF SAID CURVE BEARS NORTH 78 DEGREES 54 MINUTES 49 SECONDS EAST, 164.35 FEET; THENCE SOUTHEASTERLY, CONTINUING ON SAID SOUTHWESTERLY RIGHT OF WAY LINE, 78.30 FEET ON A CURVE CONCAVE TO THE SOUTHWEST, HAVING A RADIUS OF 57.82 FEET, THE CHORD OF SAID CURVE BEARS SOUTH 48 DEGREES 04 MINUTES 57 SECONDS EAST, 72.45 FEET TO THE POINT OF BEGINNING, SAID PARCEL CONTAINING 9,398 SQUARE FEET OR 0.216 ACRES, MORE OR LESS.

TOGETHER WITH (PARCEL 5) THAT PART OF W. 43RD STREET AS VACATED BY PLAT OF VACATION RECORDED APRIL 4, 1950 AS DOCUMENT NO. 14778841, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE NORTH 1 DEGREE 32 MINUTES 20 SECONDS WEST, ON SAID WESTERLY RIGHT OF WAY LINE, 665.50 FEET TO THE INTERSECTION OF SAID WEST RIGHT OF WAY LINE WITH THE INTERSECTION OF THE EASTERLY EXTENSION OF THE NORTH RIGHT OF WAY LINE OF W. 43RD STREET; THENCE SOUTH 88 DEGREES 39 MINUTES 00 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE AND ITS EXTENSION, 115.04 FEET TO THE POINT OF BEGINNING; THENCE SOUTHWESTERLY, CONTINUING ON SAID NORTH RIGHT OF WAY LINE, 88.11 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 362.31 FEET, THE CHORD OF SAID CURVE BEARS SOUTH 81 DEGREES 40 MINUTES SOUTH 59 SECONDS WEST. 87.89 FEET: THENCE SOUTH 74 DEGREES 42 MINUTES 58 SECONDS WEST, 10.37 FEET; THENCE NORTHWESTERLY, CONTINUING ON SAID NORTH RIGHT OF WAY LINE, 15.71 FEET ON A CURVE CONCAVE TO THE SOUTHWEST, HAVING A RADIUS OF 123.82 FEET, THE CHORD OF SAID CURVE BEARS NORTH 34 DEGREES 24 MINUTES 27 SECONDS WEST, 15.70 FEET; THENCE NORTH 88 DEGREES 39 MINUTES 00 SECONDS EAST, 105.87 FEET TO THE POINT OF BEGINNING, SAID PARCEL CONTAINING 482 SQUARE FEET OR 0.011 ACRES, MORE OR LESS, WITH THE TOTAL AREA OF ALL SAID PARCELS DESCRIBED BEING 41,330 SQUARE FEET OR 0.949 ACRES, MORE OR LESS, as shaded and legally described by the words "HEREBY DEDICATED" on the plat hereto attached as **EXHIBIT A**, which plat for greater clarity, is hereby made a part of this ordinance, be and the same is hereby opened as public way, inasmuch as the same is required for public use and the public interest will be subserved by such dedications.

The VACATION of:

(PARCEL 1) THAT PART OF THE WEST 2.23 FEET, MORE OR LESS, OF S. LAMON AVENUE 66 FOOT WIDE RIGHT OF WAY AS OPENED UP BY PLAT OF DEDICATION RECORDED APRIL 17, 1950 AS DOCUMENT NO. 14778842, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE SOUTH 88 DEGREES 36 MINUTES 55 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, 648.12 FEET TO THE POINT OF BEGINNING: THENCE SOUTH 88 DEGREES 36 MINUTES 55 SECONDS WEST, CONTINUING ON SAID NORTH RIGHT OF WAY LINE, 2.23 FEET TO THE INTERSECTION WITH THE WEST RIGHT OF WAY LINE OF S. LAMON AVENUE; THENCE NORTH 1 DEGREE 23 MINUTES 05 SECONDS WEST, ON SAID WEST RIGHT OF WAY LINE, 179.05 FEET: THENCE NORTHEASTERLY, CONTINUING ON SAID WEST RIGHT OF WAY LINE, 21.43 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 106.00 FEET, THE CHORD OF SAID CURVE BEARS NORTH 4 DEGREES 24 MINUTES 26 SECONDS EAST, 21.39 FEET; THENCE SOUTH 1 DEGREE 24 MINUTES 15 SECONDS EAST, 200.33 FEET TO THE POINT OF BEGINNING, SAID ABOVE PARCEL CONTAINING 424 SQUARE FEET OR 0.010 ACRES, MORE OR LESS.

TOGETHER WITH (PARCEL 2) THAT PART OF S. LAMON AVENUE AND 43RD STREET AS OPENED UP BY PLAT OF DEDICATION RECORDED APRIL 17, 1950 AS DOCUMENT NO. 14778842, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE SOUTH 88 DEGREES 36 MINUTES 55 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, 584.35 FEET TO THE INTERSECTION OF THE EAST RIGHT OF WAY OF S. LAMON AVENUE; THENCE NORTH 1 DEGREE 23 MINUTES 05 SECONDS WEST, ON SAID EAST RIGHT OF WAY LINE, 179.05 FEET; THENCE NORTHEASTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 13.21 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A

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RADIUS OF 40.00 FEET. THE CHORD OF SAID CURVE BEARS NORTH 8 DEGREES 04 MINUTES 37 SECONDS EAST, 13.15 FEET TO THE POINT OF BEGINNING; THENCE NORTH 1 DEGREE 24 MINUTES 15 SECONDS WEST. 86.03 FEET TO THE NORTHERLY RIGHT OF WAY LINE OF S. LAMON AVENUE; THENCE NORTHEASTERLY, CONTINUING ON SAID NORTHERLY RIGHT OF WAY LINE, 17.21 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 106.00 FEET, THE CHORD OF SAID CURVE BEARS NORTH 72 DEGREES 20 MINUTES 06 SECONDS EAST, 17.19 FEET; THENCE NORTH 76 DEGREES 59 MINUTES 06 SECONDS EAST, CONTINUING ON SAID NORTHERLY RIGHT OF WAY LINE, 272.80 FEET; THENCE NORTHEASTERLY, CONTINUING ON SAID NORTHERLY RIGHT OF WAY LINE, 58.74 FEET ON A CURVE CONCAVE TO THE NORTHWEST, HAVING A RADIUS OF 42.95 FEET, THE CHORD OF SAID CURVE BEARS NORTH 37 DEGREES 48 MINUTES 08 SECONDS EAST, 54.27 FEET TO THE WESTERLY RIGHT OF WAY LINE OF S. LAMON AVENUE: THENCE NORTH 1 DEGREE 22 MINUTES 51 SECONDS WEST, ON SAID WESTERLY RIGHT OF WAY LINE, 211.77 FEET; THENCE NORTHWESTERLY, CONTINUING ON SAID WESTERLY RIGHT OF WAY LINE, 7.98 FEET ON A CURVE CONCAVE TO THE SOUTHWEST, HAVING A RADIUS OF 57.82 FEET, THE CHORD OF SAID CURVE BEARS NORTH 5 DEGREES 20 MINUTES 05 SECONDS WEST, 7.97 FEET; THENCE NORTH 88 DEGREES 39 MINUTES 00 SECONDS EAST. 147.89 FEET TO THE SOUTHERLY RIGHT OF WAY LINE OF W. 43RD STREET; THENCE SOUTHWESTERLY, CONTINUING ON SAID SOUTHERLY RIGHT OF WAY LINE, 72.06 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 296.31 FEET, THE CHORD OF SAID CURVE BEARS SOUTH 81 DEGREES 40 MINUTES 59 SECONDS WEST. 71.88 FEET: THENCE SOUTH 74 DEGREES 42 MINUTES 58 SECONDS WEST, CONTINUING ON SAID SOUTHERLY RIGHT OF WAY LINE, 10.29 FEET TO THE EASTERLY RIGHT OF WAY LINE OF S. LAMON AVENUE; THENCE SOUTH 1 DEGREE 22 MINUTES 51 SECONDS EAST, ON SAID EASTERLY RIGHT OF WAY LINE, 208.49 FEET; THENCE SOUTHWESTERLY, CONTINUING ON SAID EASTERLY RIGHT OF WAY LINE, 149.02 FEET ON A CURVE CONCAVE TO THE NORTHWEST, HAVING A RADIUS OF 108.95 FEET. THE CHORD OF SAID CURVE BEARS SOUTH 37 DEGREES 48 MINUTES 08 SECONDS WEST. 137.67 FEET TO THE SOUTHERLY RIGHT OF WAY LINE OF S. LAMON AVENUE; THENCE SOUTH 76 DEGREES 59 MINUTES 06 SECONDS WEST, ON SAID SOUTHERLY RIGHT OF WAY LINE, 272.80 FEET; THENCE SOUTHWESTERLY, CONTINUING ON SAID SOUTHERLY RIGHT OF WAY LINE, 41.50 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 40.00 FEET, THE CHORD OF SAID CURVE BEARS SOUTH 47 DEGREES 15 MINUTES 43 SECONDS WEST, 39.66 FEET TO THE POINT OF BEGINNING, SAID ABOVE PARCEL CONTAINING 41,378 SQUARE FEET OR 0.950 ACRES, MORE OR LESS.

TOGETHER WITH (PARCEL 3) THAT PART OF W. 43RD STREET AS OPENED UP BY PLAT OF DEDICATION RECORDED APRIL 17, 1950 AS DOCUMENT NO. 14778842, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE NORTH 1 DEGREE 32

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MINUTES 20 SECONDS WEST, ON SAID WESTERLY RIGHT OF WAY LINE, 665.50 FEET TO THE INTERSECTION OF SAID WEST RIGHT OF WAY LINE WITH THE INTERSECTION OF THE EASTERLY EXTENSION OF THE NORTH RIGHT OF WAY LINE OF W. 43RD STREET; THENCE SOUTH 88 DEGREES 39 MINUTES 00 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, ITS EASTERLY EXTENSION, AND ITS EXTENSION WESTERLY. 220.91 FEET TO THE POINT OF BEGINNING; THENCE SOUTH 88 DEGREES 39 MINUTES 00 SECONDS WEST, 319.99 FEET TO A POINT ON THE NORTHERLY RIGHT OF WAY OF W. 43RD STREET; THENCE NORTH 64 DEGREES 42 MINUTES 17 SECONDS EAST, ON SAID NORTHERLY RIGHT OF WAY, 39.88 FEET; THENCE NORTHEASTERLY, CONTINUING ON SAID NORTHERLY RIGHT OF WAY LINE, 198.78 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 400.78 FEET, THE CHORD OF SAID CURVE BEARS NORTH 78 DEGREES 54 MINUTES 49 SECONDS EAST, 196.75 FEET: THENCE SOUTHEASTERLY. CONTINUING ON SAID NORTHERLY RIGHT OF WAY LINE, 105.54 FEET ON A CURVE CONCAVE TO THE SOUTHWEST, HAVING A RADIUS OF 123.82 FEET, THE CHORD OF SAID CURVE BEARS SOUTH 62 DEGREES 27 MINUTES 36 SECONDS EAST, 102.37 FEET TO THE POINT OF BEGINNING, SAID ABOVE PARCEL CONTAINING 11,252 SQUARE FEET OR 0.258 ACRES, MORE OR LESS, WITH THE TOTAL AREA OF ALL SAID PARCELS DESCRIBED BEING 53,054 SQUARE FEET OR 1.218 ACRES, MORE OR LESS, as shaded and legally described by the words "HEREBY VACATED" on the plat hereto attached as **EXHIBIT B**, which plat for greater clarity, is hereby made a part of this ordinance, be and the same is closed as public way, inasmuch as the same is no longer required for public use and the public interest will be subserved by such vacations.

SECTION 2. The Dedications and Vacations herein provided for are made upon the express condition that within 180 days after the passage of this ordinance and its related plats, and prior to recording, the Developer shall pay to the City Treasury of the City of Chicago, a quoted security deposit equal to the costs of Developer's work to public paving, curb, and related appurtenances in accordance with the most current version of the Chicago Department of Transportation's <u>Regulations for Opening, Repair and Construction in the Public Way</u> and its appendices, and in accordance with the executed Duty to Build Agreement attached hereto and made a part of this ordinance as **EXHIBIT C.** Request for final field inspection and approval of the Developer's construction, shall be made to the CDOT Division of Infrastructure Management, Construction Compliance Unit, Room 905 of City Hall prior to return of said security deposit.

SECTION 3. WATER MANAGEMENT FACILITIES IN THE DEDICATIONS

The Developer and the Chicago Housing Authority acknowledge the presence of certain Department of Water Management facilities as identified in Department of Water Management correspondence VD-108985 dated July 14, 2022, which supersedes correspondence VD-10895 dated May 12, 2022, and addressed to the Department of Transportation both of which are hereto attached as **EXHIBIT D**, said facilities currently laying within the areas herein dedicated. These Department of Water Management facilities shall continue to be public property in the newly dedicated streets.

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Any additional structures not specifically named as public in **EXHIBIT D** shall be deemed private property. Said private structures, appurtenances and connections within the area to be dedicated shall be sealed, removed or relocated to private property at the Developer's expense, in accordance with the procedures of the Department of Water Management, or established as public through City Council action. In the event of abandonment, the abandonment plans must be reviewed, approved and permitted by the Department of Water Management prior to work.

The Developer understands improvements are required in accordance with **EXHIBIT D**, and that it is its responsibility to provide the required public facilities in the streets herein dedicated, in accordance with plans reviewed, approved and permitted by the Department of Water Management, prior to work. The funding for providing such public facilities may be provided from multiple sources such as Tax Increment Financing, bonds, and other sources as may be approved by the City's Chief Financial Officer and/or the City's Budget Director, as further detailed in the funding commitment letter attached hereto as **EXHIBIT F**. Acceptance of new facilities as public is contingent upon submittal of as-built drawings, and physical and videotape inspection provided by the Developer to the Department of Water Management within 30 days of completion. All work in both the public way and on private property requires permit as secured through the Department of Buildings.

SECTION 4. WATER MANAGEMENT FACILITIES IN THE VACATIONS

The City of Chicago hereby reserves an easement over certain areas herein vacated as identified in Department of Water Management correspondences VD-108983 and VD-108985, both dated May 12, 2022 and addressed to the Department of Transportation hereto attached as EXHIBIT E, with a minimum of forty (40) feet clearance from ground level, for existing Department of Water Management sewer and water main structures (collectively "Water Department Facilities"), and for the installation of additional public structures, which in the future may be located in the streets herein vacated, and for the use, maintenance, renewal, repair, replacement, reconstruction, and abandonment of such facilities. It is also provided that the City shall have 24-hour access to the area to be vacated, that no buildings, permanent structures, or trees (within 10 feet of the sewer structure) shall be erected upon or over said easement herein reserved, or other use made of said area, which in the sole discretion of the respective municipal officials having control of the aforesaid service facilities, would interfere with the use, maintenance, renewal, repair, replacement, reconstruction, and abandonment of said facilities, or the construction of additional public service facilities, without the provision of identified improvements detailed in EXHIBIT E, and the express written and recorded release of easement by the Department of Water Management.

It is further provided that the expenses related to any Developer or Chicago Housing Authority-initiated adjustments to Water Department Facilities within the area herein vacated be borne by the Developer, its successors or assigns, with proposed adjustments be submitted to the Department of Water Management for review and express written approval prior to construction. Any repair, renewal or replacement of private improvements, or private property damaged within the vacation area as a result of the City exercising its easement rights shall be repaired/replaced at the sole cost and expense of the Developer, its successors or assigns.

The Developer understands improvements are required in accordance with **EXHIBIT E**, and that it is its responsibility to provide the required public facilities at its expense in the streets

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herein dedicated, in accordance with plans reviewed, approved and permitted by the Department of Water Management, prior to work. Acceptance of new facilities as public is contingent upon submittal of as-built drawings, and physical and videotape inspection provided by the Developer to the Department of Water Management within 30 days of completion. All work in both the public way and on private property requires permit as secured through the Department of Buildings.

SECTION 5 The vacation and dedication herein provided for are made under the express condition that the Developer, its successors and assigns, shall hold harmless, indemnify and defend the City of Chicago from all claims related to right of way actions herein advanced.

SECTION 6. The vacation herein provided for is made upon the express condition that within one hundred eighty (180) days after the passage of this ordinance, the Chicago Housing Authority or its assign shall file or cause to be filed for recordation with the Office of the Cook County Clerk / Recordings Division a certified copy of this ordinance, together with the associated full-sized plats as approved by the Department of Transportation's Superintendent of Maps and Plats.

SECTION 7. This ordinance shall take effect and be in force from and after its passage and publication. The vacation and dedication shall take effect and be in force from and after the recording of the published ordinance and approved plats.

[SIGNATURE PAGE FOLLOWS BELOW]

Dedication Accepted / Vacation Approved :

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Gia Blagi Commissioner Department of Transportation

Introduced By:

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Honorable Michael D. Rodriguez Alderman, 22nd Ward

CDOT File Number:

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04-22-21-3998









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.

EXHIBIT "A"

PLAT OF DEDICATION

(PARCEL 1) THAT PART OF S. LAPORTE AVENUE 66 FOOT WIDE RIGHT OF WAY AS VACATED BY PLAT OF VACATION RECORDED APRIL 4, 1950 AS DOCUMENT NO. 14778B41, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE SOUTH 480 DEGREES 36 MINUTES SS SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, 917.56 FEET TO THE EAST RIGHT OF WAY LINE OF S. LAPORTE AVENUE, THENCE NORTH I DEGREE 23 MINUTES OS SECONDS WEST, ON SAID EAST RIGHT OF WAY LINE, 122.00 FEET TO THE POINT OF BEGINNING; THENCE NORTHWESTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 113.95 FEET ON A CURVE CONCAVE TO THE SOUTHWEST, HAVING A RADIUS OF 190.98 FEET, THE CHORD OF SAID CURVE BEARS NORTH 18 DEGREES 28 MINUTES 39 SECONDS WEST, 12.27 FEET; THENCE NORTHWESTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 74.59 FEET ON A CURVE CONCAVE TO THE NORTHEAST, HAVING A RADIUS OF 124.98 FEET, THE CHORD OF SAID CURVE BEARS NORTH 18 DEGREES 28 MINUTES 20 SECONDS WEST, 73.49 FEET; THENCE NORTH 1 DEGREE 23 MINUTES 20 SECONDS WEST, CONTINUING ON SAID EAST RIGHT 75.70 FEET; THENCE NORTHEASTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 75.70 FEET; THENCE NORTH ASTRELY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 75.70 FEET; THENCE NORTHEAST, HAVING A RADIUS OF 42.99 FEET, THE CHORD OF SAID CURVE BEARS NORTH 13 DEGREES 35 MINUTES 41 SECONDS EAST, 46.81 FEET TO THE SOUTHWESTERLY RIGHT OF WAY LINE, 31.85 FEET; THENCE NORTH ASTRELY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 31.85 FEET; THENCE NORTHEAST, 40.81 FEET TO THE SOUTHWESTERLY RIGHT OF WAY LINE OF WAY LINE, 31.85 FEET; THENCE SOUTH ASTRELY, AND FEET TO THE SOUTHWESTERLY RIGHT OF WAY LINE OF WAY LINE, 31.85 FEET; THENCE SOUTH ASTRELY, AND AS AS SECONDS EAST, 305.43 FEET TO THE POINT OF BEGINNING, SAID PARCEL CONTAINING 10,669 SOUARE FEET OR 0.245 ACRES, MORE OR LESS.

TOGETHER WITH (PARCEL 2) THAT PART OF LOTS 19 THROUCH 25 OF BLOCK 2 IN FREDERICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4. TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS:

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE, 582.12 FEET TO THE POINT OF BEGINNING; THENCE SOUTH B8 DECREES 36 MINUTES 56 SECONDS WEST, CONTINUING ON SAID NORTH RIGHT OF WAY LINE, 2.23 FEET TO THE INTERSECTION WITH THE EAST RIGHT OF WAY LINE, 179.05 FEET; THENCE NORTH RIGHT OF WAY LINE, 2.23 FEET TO THE INTERSECTION NAID EAST RIGHT OF WAY LINE, 179.05 FEET; THENCE NORTHEASTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, 13.21 FEET ON A CLIPKE CONCAVE TO THE SOUTHEAST, MAXING A RADIUS OF 40.00 FEET, THE CHORD OF SAID CURVE BEARS NORTH 8 DECREES 04 MINUTES 37 SECONDS EAST, 13.15 FEET; THENCE SOUTH 1 DEGREE 24 MINUTES 1 SECONDS EAST, 132.02 FEET TO THE POINT OF BEGINNING, SAID PARCEL CONTAINING 412 SOUARE FEET OR 0.009 ACRES. NORE OR 1FSS. 24 MINUTES 15 ACRES, NORE OR LESS.

TOGETHER WITH (PARCEL 3) THAT PART OF LOTS 27 THROUGH 36 OF BLOCK 2 IN FREDERICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. B246322, AND THAT PART OF S. LAMON AVENUE AS VACATED BY PLAT OF VACATION RECORDED APRIL 4, 1950 AS DOCUMENT NO. 14778841, LYING IN THE EAST HALF OF THE SOUTHEAST OUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK I IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RICHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RICHT OF WAY LINE OF W. 44TH STREET; THENCE SOUTH 8B DEGREES 36 MINUTES 55 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, 640,12 FEET; THENCE SOUTH 1 DEGREE 24 MINUTES 15 SECONDS WEST, 200,33 FEET TO THE POINT OF BEGINNING; THENCE NORTH 1 DEGREE 24 MINUTES 15 SECONDS WEST, THE SOUTHERLY RIGHT OF WAY LINE, 72.19 FEET; THENCE NORTH 1 DEGREE 24 MINUTES 17 SECONDS EAST, ON SAID SOUTHERLY RIGHT OF WAY LINE, 72.19 FEET; THENCE SOUTH 1 DEGREE 24 MINUTES 15 SECONDS EAST, 290,01 FEET TO THE NORTHERLY RIGHT OF WAY LINE, 72.19 FEET; THENCE SOUTH 1 DEGREE 24 MINUTES 15 SECONDS EAST, 290,01 FEET TO THE NORTHERLY RIGHT OF WAY LINE OF S. LANON AVENUE; THENCE SOUTHWESTERLY ON SAID NORTHERLY RIGHT OF WAY LINE, 106,35 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 106,00 FEET, THE CHORD OF SAID CURVE BEARS SOUTH 3B DEGREES 56 MINUTES 31 SECONDS WEST, 101,95 FEET TO THE POINT OF BEGINNING, SAID PARCEL CONTAINING 20,369 SOUARE FEET OR 0.468 ACRES, MORE OR LESS. 298.01

TOGETHER WITH (PARCEL 4) THAT PART OF W. 43RD STREET AS VACATED BY PLAT OF VACATION RECORDED APRIL 4, 1950 AS DOCUMENT NO. 14778B41, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK L IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE NORTH 1 DEGREE 32 MINUTES 20 SECONDS WEST, ON SAID WEST RIGHT OF WAY LINE, 599:50 FEET TO THE INTERSECTION WITH THE SOUTH RIGHT OF WAY LINE OF W. 43RD STREET, SAID POINT ALSO BEING THE NORTHEAST CORNER OF SAID BLOCK 1; THENCE SOUTH 88 DEGREES 39 MINUTES 00 SECONDS WEST, ON SAID SOUTH RIGHT OF WAY LINE, 115:26 FEET; THENCE SOUTH 88 DEGREES 39 MINUTES 00 SECONDS WEST, ON THE WESTERLY EXTENSION OF SAID SUDHT RIGHT OF WAY LINE, 147.89 FEET TO A POINT ON THE SOUTHWESTERLY RIGHT OF WAY LINE OF SAID 43RD STREET, SAID POINT ALSO BEING THE WESTERLY SUDING; THENCE SOUTH 88 DEGREES 39 MINUTES OO SECONDS WEST, 263:38 FEET TO A POINT ON SAID SOUTHWESTERLY RIGHT OF WAY LINE OF W. 43RD STREET; THENCE NORTH 64 DEGREES 42 MINUTES 17 SECONDS EAST, ON SOUTHWESTERLY RIGHT OF WAY LINE OF AL3RD STREET; THENCE NORTH 64 DEGREES 42 MINUTES 17 SECONDS EAST, ON SOUTHWESTERLY RIGHT OF WAY LINE OF AL3RD STREET; THENCE NORTH 64 DEGREES 42 MINUTES 17 SECONDS EAST, RIGHT OF WAY LINE, 166.04 FEET ON A CURVE CONCAVE TO THE SOUTHEASTERLY, CONTINUING ON SAID SOUTHWESTERLY RIGHT OF WAY LINE, 166.04 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 334.78 FEET, THE SOUTHWESTERLY, CONTINUING ON SAID SOUTHWESTERLY RIGHT OF WAY LINE, 78.30 FEET ON A CURVE CONCAVE TO THE SOUTHWESTERLY, CONTINUING ON SAID SOUTHWESTERLY RIGHT OF WAY LINE, 78.30 FEET, THENCE SOUTHWESTERLY, CONTINUING ON SAID SOUTHWESTERLY RIGHT OF WAY LINE, 78.30 FEET ON A CURVE CONCAVE TO THE SOUTHWEST, HAVING A RADIUS OF 57.82 FEET, THE CHORD OF SAID CURVE BEARS SOUTH 78 DEGREES 54 MINUTES 57 SECONDS EAST, 72.45 FEET TO THE POINT OF BEGINNING, SAID PARCEL CONTAINING 9,398 SOUARE FEET OR 0.216 ACRES, MORE OR LESS.

Cedd N 220062.13 2020 \ #04-22-21-3998

Dedication

Courts

LeClatte CHA

> SURVEY PREPARED FOR CH CAGO HOUSING AUTHORITY

TO SE MAR ED TO CARGED STUDBLEFIELD Neel and Leroy, LLC 20 S. Clan, St. Ster, 2050 Chicago, Illinois 60503 SHEET 5 OF 7



312-277-23207 Fax, 312-277-2002 Illinois Profestional Design Fibro No. 184-03192



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r		XHIBIT "A"		
PL	AT OF	DEDIC	ATION	
		AFFECTER	TABLE OF PINS	
		PARCEL L	19-04-405-037: LAPORTE AVENUE A , RECORDED APRIL 4, 1950.	S VACATED BY DO
		PARCEL 2 ~~ FREDERICK H.	19-04-406-037: LOTS 19 - 25 OF 1 BARTLETT'S CENTRAL CHICAGO SUBC	BLOCK 2 IN IVISION
CHICAGO DEPARTMENT OF F	INANCE	FREDERICK H.	19-04-406-037: LOTS 27 - 36 OF BARTLETT'S CENTRAL CHICAGO SUBD ACATED BY BY DOC. NO. 14778841, R	IVISION AND LAN
			19-04-406-0371 43RD STREET AS V 14778841, RECORDED APRIL 4, 1950.	ACATED AS VACA
		PARCEL 5	19-04-202-0251 43RD STREET AS V DOC. NO. 14778841, RECORDED APRIL	ACATED BY AS 4, 1950.
		L		
COOK COUNTY				,
		-		
CHICAGO DEPARTMEN				
OF TRANSPORTATION	J			
STATE OF ILLDIGISI				
CIGCACO HOUSING AITHORTY, AN REDACTS MEMICIPAL COP CORTIFIES THAT IT, IS THE OWNER OF RECORD IN THE FRE AND OPPICTED ON THIS FAR AT AS THE AFAC TO OF CODICAT OWNER HAS CAUSED SAID PROMENTY TO BE SLEWFERD FOR FORCEMENT IT AS PREIDE TOBOTO OF WAY IN THE SE DEE PASSE DISTRICT, AN RELINGIS MANCEPAL COMPORTOR AS TO BE SIGNED IN ITS SEMANE FOR ITS OUL ANTHONIZED A CERTIFICATE ON THIS THE DAY OF A	PERTY DESCRIBED CD, AND AS SUCH DHE PURPOSE LECE SAID CHICACO SIGNES, CR CAUSED			
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NOTARY CERTIFICATE STATE OF ILLENOISI 255				
COUNTY OF COOK)	FOR SAID COUNTY.			
DE THE STATE & UDERSAID, DO HEREIN CONTENT THAT AND PERSONALLY A REPORT OF THE STATE AND A DESCRIPTION SUCH DIMENS, APPEARED REFORE LE TH'S DAY IN PERSON ADMINISTRATION FROM THE STATE AND DITIVITED THE AS THERE AND VOLUNTARY ACT FOR THE VERS A THEREIN STATE FORTH	AND PLAT OF HISZTHEIR			
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	llui,		<u>SURVEYOR'S NOTE1</u> 1. ZONTAG IS RS-1 (DWELLING UI	1(T, 2500 SQ.FT.)
STATE OF ILLINDIS		T LCZU NE ZOU		
THIS IS TO CERTIFY PHAT I AM AN ILUNDIS PROFES SJEWERCE AND HAIT THIS SLEWLY WAS NODE BY ME ONCE I SUPERVISION THAT ALL OPRESIDENT DATA REST OF MY XXXVL SUCK AND SULFF CORPECT AS SH THAT AT I MONDERLIS COND ON EXEMPTISHING AS IN HAIT AN I MONDERLIS COND ON EXEMPTISHING AS IN THAT AT I MONDERLIS COND ON EXEMPTISHING AS IN	AT A CONTRACT OF	-2159 5 *	DATE REVISI	0NS
FIELD WORK COMPLETED JANK APY 22, 2021	1			
THIS PROHESSIONAL SERVICE CONFORMS TO THE CURE MINIMUM STANDARDS FOR A BOURDARY SURVEY. MADE FOR THE CHICASO HOUSING AUTHORITY.				
DATLU THIS 671- DAY OF TEURINARY, 2022	1 3/ SECSV	EV PREPARED FOR:	. <u> </u>	··
COVENTINE FIDIS (LUNOIS PROFESSIONAL DAND SURVE FOR NUMBER CISS (LICENSE EAP, NATION CATE HOVE MOE 7:00 2022	CARO Iveal = 20 S	MAILED TO. L D. 5" JEBLEFIELD nd Lemy LLC Lark Si Sta 2750	AMER SUSVENING & IN	
CDOT #04-22-21	-3998	10, Illouis 10603 HFET 7 OF 7	30 N. LaSelo Struet, Sute 317-377-3000 / Fa Illinois Professional Desig	x 312-277-2002

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EXHIBIT "B" PLAT OF VACATION (PARCEL 1) THAT PART OF THE WEST 2.23 FEET, MORE OR LESS, OF S. LAMON AVENUE 66 FOOT WIDE RIGHT OF WAY AS OPENED UP BY PLAT OF DEDICATION RECORDED APRIL 17, 1950 AS DOCUMENT NO. 14778842, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS; COMMENCING AT THE SOUTHEAST CORNER OF BLOCK I IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE, 648.12 FEET TO THE POINT OF BB DEGREES 36 MINUTES 55 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, 648.12 FEET TO THE POINT OF BEGINNING; THENCE SOUTH 88 DEGREES 36 MINUTES 55 SECONDS WEST, CONTINUING ON SAID NORTH RIGHT OF WAY LINE, 2.23 FEET TO THE INTERSECTION WITH THE WEST RIGHT OF WAY LINE, 648.12 FEET TO THE POINT OF DEGREE 23 MINUTES OS SECONDS WEST, ON SAID MEST RIGHT OF WAY LINE, 179.05 FEET; THENCE NORTH 1 DEGREE 23 MINUTES OS SECONDS WEST, ON SAID MEST RIGHT OF WAY LINE, 179.05 FEET; THENCE NORTH REASTERLY, CONTINUING ON SAID WEST RIGHT OF SAID LINE, 21.43 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 106.00 FEET, THE CHORD OF SAID CURVE BEARS NORTH 4 DEGREES 24 MINUTES 26 SECONDS EAST, 21.39 FEET; THENCE SOUTH 1 DEGREE 24 MINUTES 15 SECONDS EAST, 200.33 FEET TO THE POINT OF BEGINNING, SAID ABOVE PARCEL CONTAINING 424 SOUARE FEET OR 0.010 ACRES, MORE OR LESS. TOGETHER WITH (PARCEL2) THAT PART OF S. LAMON AVENUE AND 43RO STREET AS OPENED UP BY PLAT OF DEDICATION RECORDED APRIL 17, 1950 AS DOCUMENT NO. 14778842, LYING IN THE EAST HALF OF THE SOUTHEAST OUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS; DUARTER OF SECTION 4, TOWNSHIP 39 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, LLINDIS, DESCRIEDE AS FOLLOWS; COMMENCING AT THE SOUTHEAST CORNER OF BLOCK 1 IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO, B246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE SOUTH BØ DEGREES 36 MINUTES 55 SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE (584.35 FEET TO THE INTERSECTION OF THE EAST RIGHT OF WAY OF S. LAMON AVENUE; THENCE NORTHE ASTERLY, CONTINUING ON SAID EAST RIGHT OF WAY LINE, IJ2, IFET ON A CURVE CONCAVE TO THE SOUTHEASTERLY, CONTINUEND ON 64.000 FEET, THE CHORD OF SAID CURVE BEARS NORTH & DEGREES 04 MINUTES 37 SECONDS WEST, HAVING A RADIUS OF 40.00 FEET, THE CHORD OF SAID CURVE BEARS NORTH & DEGREES 04 MINUTES 37 SECONDS EAST, IJ3.15 FEET TO THE POINT OF BEGINNINGTHENCE NORTH 1 DEGREE 24 MINUTES 15 SECONDS WEST, 86.03 FEET TO THE NORTHERLY RIGHT OF WAY LINE OF S. LAMON AVENUE; THENCE NORTHEAST, HAVING A RADIUS OF 106.00 FEET, THE CHORD OF SAID CURVE BEARS NORTH 72 DEGREES 20 MINUTES 06 SECONDS WEST, 7.19 FEET; THENCE NORTH AT DEGREES 59 MINUTES OF SECONDS EAST, CONTINUING ON SAID NORTHERLY RIGHT OF WAY LINE, 72.80 FEET; THENCE NORTH 11 DEGRE A RADIUS OF 42.95 FEET, THE CHORD OF SAID CURVE BEARS NORTH 37 DEGREES 48 MINUTES 08 SECONDS EAST, 54.27 FEET TO THE WESTERLY RIGHT OF WAY LINE, 7.19 FEET; THENCE NORTH 11 DEGREE 22 MINUTES 51 SECONDS WEST, ON SAID WESTERLY RIGHT OF WAY LINE, 7.17 FEET; THENCE NORTH 11 DEGREE 22 MINUTES 50 SECONDS WEST, ON SAID WESTERLY RIGHT OF WAY LINE, 7.18 FEET ON A CURVE CONCAVE TO THE SOUTHWEST, HAVING A RADIUS OF 57.82 FEET, THE CHORD OF SAID CURVE BEARS NORTH 35 DEGREES 03 MINUTES 08 SECONDS EAST, 54.27 FEET TO THE WESTERLY RIGHT OF WAY LINE, 7.18 FEET TO THE VOUNE CONCAVE TO THE SOUTHWEST, AVING A RADIUS OF 57.28 FEET, THEN

TOGETHER WITH (PARCEL3) THAT PART OF W. 43RD STREET AS OPENED UP BY PLAT OF DEDICATION RECORDED APRIL 17, 1950 AS DOCUMENT NO. 14778842, LYING IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 13 EAST, OF THE THIRD PRINCIPAL MERIDIAN, COOK COUNTY, ILLINOIS, DESCRIBED AS FOLLOWS;

COMMENCING AT THE SOUTHEAST CORNER OF BLOCK I IN SAID FREDRICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 10, 1924 AS DOCUMENT NO. 8246322 IN THE OFFICE OF THE RECORDER OF DEEDS OF COOK COUNTY, SAID POINT ALSO BEING THE INTERSECTION OF THE WEST RIGHT OF WAY LINE OF S. CICERO AVENUE AND THE NORTH RIGHT OF WAY LINE OF W. 44TH STREET; THENCE NORTH I DEGREE 32 MINUTES 20 SECONDS WEST, ON SAID WESTERLY RIGHT OF WAY LINE, 665.50 FEET TO THE INTERSECTION OF SAID WEST RIGHT OF WAY LINE WITH THE INTERSECTION OF THE EASTERLY FLENSION OF THE NORTH RIGHT OF WAY LINE OF W. 43RO STREET; THENCE SOUTH 88 DEGREES 39 MINUTES OO SECONDS WEST, ON SAID NORTH RIGHT OF WAY LINE, ITS EASTERLY ESTENSION, AND ITS EXTENSION WESTERLY, 220.91 FEET TO THE NORTHERLY RIGHT OF WAY LINE, ITS EASTERLY ESTENSION, AND ITS EXTENSION WESTERLY, 220.91 FEET TO THE NORTHERLY RIGHT OF WAY OF W. 43RO STREET; THENCE NORTH 64 DEGREES 42 MINUTES OF SECONDS WEST, ON SAID NORTHERLY RIGHT OF WAY OF W. 43RD STREET; THENCE NORTH 64 DEGREES 42 MINUTES IT SECONDS EAST, ON SAID NORTHERLY RIGHT OF WAY, 39.88 FEET; THENCE NORTH 64 DEGREES 42 MINUTES IT SECONDS EAST, ON SAID NORTHERLY RIGHT OF WAY, 39.88 FEET; THENCE NORTHEASTERLY, CONTINUING ON SAID NORTHERLY RIGHT OF WAY LINE, 198.78 FEET ON A CURVE CONCAVE TO THE SOUTHEASTERLY, CONTINUING ON SAID NORTHERLY RIGHT OF WAY LINE, 198.78 FEET ON A CURVE CONCAVE TO THE SOUTHEAST, HAVING A RADIUS OF 400.78 FEET, THE CHORD OF SAID CURVE BEARS NORTH 78 DEGREES 54 MINUTES 49 SECONDS EAST, 196.75 FEET; THENCE SOUTHAESTERLY, CONTINUING ON SAID NORTHERLY RIGHT OF WAY LINE, 105.54 FEET ON A CURVE CONCAVE TO THE SOUTHWEST, HAVING A RADIUS OF 123.82 FEET. THE CHORD OF SAID CURVE BEARS SOUTH 62 DEGREES 27 MINUTES 36 SECONDS EAST, 102.37 FEET TO THE POINT OF BEGINNING, SAID ABOVE PARCEL CONTAINING 11.252 SOUARE FEET OR 0.258 ACRES, MORE OR LESS.



SURVEY PREPARED FOR CHICAGO HOUSING AUTHORITY

TO BE MAY ED TO CAROLID, STUBBLEFIELD Notional Leby LLC 2013 Claim Stubio 2051 Unitage, Panda 50:03



SHEET 4 OF 5

EXI	HIBIT "B"
PLAT OF	VACATION
	TABLE OF PINS
	AFFECTED PINS PARCEL 1 NO PIN: SOUTH LAMON AVENUE AS OPENED UP BY CDC. NO. 14778842.
	RECORDED APRIL 17, 1950. 19-04-405-037:
	LOTS 13-18 IN BLOCK 3 IN FREDERICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION.
	PARCEL 2. NO PIN: SOUTH LAMON AVENUE AND WEST 43RD STREET AS OPENED
CHICAGO DEPARTMENT OF FINANCE	UP BY DOC. NO. 14778842, RECORDED APRIL 17, 1950. 19-04-406-037/
	LOTS 8-12 AND 24-28 IN BLOCK 2 IN FREDERICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION
	19-04-407-040; LOTS 30-39 IN BLOCK I IN FREDERICK H. BARTLETT'S CENTRAL CHICAGO SUBDIVISION
	PARCEL 1
	NO PINI WEST 43RD STREET AS OPENED UP BY DOC, NO. 14778842. Recorded April 17, 1950.
COOK COUNTY	19-04-201-016) Lots 9 and 10 in Block 80 in Frederick H. Bartlett's 2nd Addition to central chicago subdivision
	19-04-202-025, Lot 15 in Block 79 in Frederick H. Bartlett's 2ND addition to
	CENTRAL CHICAGO SUBDIVISION
Ste OF 14	$Ag_{H_{\ell}}$
CHICAGO DEPARTMENT	
SURVEYOR CERTIFICATES	
STATE OF ILLINDIS) SS 111,0441 1 ANO	
COUNTY OF COOK)	
THIS IS TO CERTIFY THAT I AM AN ILLINOIS PROFESSIONAL LAND SURVEYOR AND THAT THIS SURVEY WAS MADE BY ME OR UNDER NY DIRECT SUPERVISION: THAT ALL DIMENSIONAL DETAILS ARE TO THE BEST OF MY KNOWLEDGE AND BELIFF CORRECT AS SHOWN HEREON THAT ALL NONLIMENTS FOUND OR ESTABLISHED AS SHOWN HEREON ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED.	
ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED. FIELD WORK COMPLETED JANUARY 22, 2021.	
THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY,	
MADE FOR THE CHICAGO HOUSING AUTHORITY. DATED THIS_ <u>BIN_</u> DAY OF <u>FERRUARY</u> , 2022.	SURVEYOR'S NOTE
il ltil.	I. CORNERS OF PROPOSED VACATION PARCELS MOT SET AS OF THE DATE OF THIS SURVEY. 2. ZONING IS RS-1 (DWELLING UNIT, 2500 SOLFT.)
COVENTINE FIDIS ILLINOIS PROFESSIONAL LAND SURVEYOR NUMBER 2159. LICENSE EXPIRATION DATE NOVEMBER 30, 2022	
NO. DATE REVISIONS	
CHICA	EMPREPARED FOR, ASD HOUSING AUTHORIATY 2.MALED TO
· leal a	AMERICAN AMERICAN AMERICAN
	po Bands 50803 SCRVEY ING, ge EACUNEREND, PCC i30 (LSS& Stort Sub 3467 Charge 1, 5507 312/77/2000 Fax 312/77/2002
- UUUI # V4-22-21-3330 SHE	EET 5 OF 5 Rinels Protossional Design Rim No. 184-03192

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Exhibit C

DUTY TO BUILD AGREEMENT FOR CREATION OF NEW STREET/ALLEY

In support of my current application with the Chicago Department of Transportation's Public Way unit, for a dedication of my private property for new public way, I hereby state that I am the applicant or the company agent for the applicant company involved in the project, and that I have the authority to agree to the below terms of the dedication.

PLEASE INITIAL AGREEMENT:

	ONCE MENT				
MC	MC I am aware that I am responsible for the construction of all public and private rights of way (streets, alleys, etc) described on the Plat of Subdivision/Dedication associated with unique				
	CDOT FILE: _	CDOT#04-22-21-39	98		
<u>Mc</u>	must be built to	City specification	ns as detai	both public and private) led in the most current <u>Construction_and</u> .	
<u>M (</u>	assure that the conducted by th Chicago reserv any facilities that	work is done cor he City upon com res the right to rec	rectly. An i pletion of t quire demo	sits will be required to inspection will be the work. The City of elition and reinstallation of pliant, or that do not	
Signed Date:	Martin (day.		7-12-2022	
Printed name: Marti	n Cabrera	0	Title:Mana	of Cabrera Capital Partners, LLC. 1 ging Member of CCP LeClaire, LL	.C, the
Organization: LeCla	ure Partners, LLC			ging Member of LeClaire Partners,	1.1.0
Address with Zip:	227 W. Monroe St, S	te 3000, Chicago 1L 60	606		
Phone / Fax: (312)	795-8908				
	breracapital.com				
Notary:					
	JENNIFER GF OFFICIAL Notary Public, Ste My Commission July 22, 20	SEAL te of Illing:s n Expires			



CITY OF CHICAGO

DEPARTMENT OF WATER MANAGEMENT

July 14, 2022

City of Chicago Department of Transportation Division of Infrastructure Management Office of Underground Coordination 2 North LaSalle Street Chicago, Illinois 60602

Attn: Mr. Jai Kalayil Deputy Commissioner

Re: Proposed Dedication Ordinance

For: LeClaire Courts Development

The area bounded by S. Lavergne Ave, W. 45th Street, S. Cicero Ave, and the Gulf, Mobile, and Ohio Railroad.

OUC File No. VD-108985 M&P Project No. 04-22-21-3966 and 04-22-21-3968 Water Atlas Page 404A Sewer Atlas Page 38-3-74

Dear Mr. Kalayil:

This letter supersedes the prior Dedication Ordinance communication dated May 12, 2022.

I) The Department of Water Management - Water Section

The Department of Water Management (DWM) owns and maintains water main facilities within the limits of several of the parcels proposed for dedication. Water main facilities within the limits of the proposed dedication parcels are as follows:

30 fect of 8-inch water main
350 feet of 8-inch water main and one fire hydrant
185 feet of 8-inch water main; one fire hydrant and one 8-inch valve
350 feet of 8-inch water main and one fire hydrant
185 feet of 8-inch water main, one fire hydrant and one 8-inch valve
165 feet of 8-inch water main
No water facilities
315 feet of 8-inch water main
No water facilities
No water facilities

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DWM currently has easements reserved over the parcels with water facilities. Since these parcels will now be public ROW, DWM has no objections to the proposed dedications.

To adequately serve the proposed development, extensive water main improvements are required. Those requirements are addressed in the letter dated May 12, 2022 regarding the vacations for the LeClaire Courts development, VD-108983, a copy of which is attached.

The DWM retains its rights for all water mains and appurtenances that are not in the newly dedicated ROW. Those rights can be released once the existing water mains are abandoned and the new water mains are approved by the DWM and in service.

For questions regarding water facilities, please contact Andrew McFarland at andrew.mcfarland@cityofchicago.org.

II) The Department of Water Management - Sewer Section

Per Exhibit A - Plat of Dedication, there are a total ten (10) lots proposed for dedication and represented as Parcel 1, Parcel 2, Parcel 3, Parcel 4, Parcel 5, Parcel 6, Parcel 7, Parcel 8, Parcel 9 and Parcel 10.

Parcel 1, Parcel 2, Parcel 3, Parcel 4, Parcel 7, and Parcel 10: Based on sewer records, there are no known sewer facilities within the limits of the area proposed for dedication. See general conditions for proposed dedication below.

Parcel 5, Parcel 6, Parcel 8, and Parcel 9: Based on sewer records, the following pewers are present in Parcel 5, Parcel 6, Parcel 8, and Parcel 9 –

- i. Parcel 5: Drainage structure with 8" pipe connecting to a 10" sewer in Laporte Ave within the proposed area to be dedicated
- ii. Parcel 6: 15-inch sewer running north on vacated S LaPorte Ave within the proposed area to be dedicated
- iii. Parcel 8: 15-inch sewer running north on S Lamon Ave within the proposed area to be dedicated
- iv. Parcel 9: 36-inch sewer running east on vacated W 43rd St within the proposed area to be dedicated

Please see detailed conditions below for Parcel 5, Parcel 6, Parcel 8, and Parcel 9:

Detailed conditions for Parcel 5, Parcel 6, Parcel 8, and Parcel 9: The Sewer Section will approve the proposed dedication, provided the beneficiary must agree with the conditions below-

- a. Existing private sewers in the areas to be dedicated will be sealed and removed at the expense of the beneficiary, in accordance with the standard procedures of the Department of Water Management, Sewer Section.
- b. If and when the existing private main sewers and appurtenances are abandoned, the abandonment plans must meet the Department of Water Management, Sewer Design Section's requirements.
- c. Private structures are not allowed in the public right of way without an ordinance established by the City Council. Existing private structures must be relocated into private property, abandoned or established through a City Council ordinance.

General conditions for proposed dedication: The Sewer Section will approve the proposed dedication, provided the beneficiary must agree with the conditions below-

- a. It is the owner's/developer's responsibility to provide proper drainage in the areas to be dedicated. DWM-Sewer Section has reviewed the draft "Proposed Sewer Public Concept" plan dated 12/23/2021. Per the plan, 15-inch sewer in Parcel 6 and 36-inch sewer in Parcel 9 are proposed to remain, and the 15-inch sewer in Parcel 8 are proposed to be replaced with 18-inch sewer along with other sewers in public ROW. Existing sewers to remain in Parcel 6 and Parcel 8 must be televised before & after construction. Should preconstruction TV indicate conditional issues, sewers shall be replaced or rehabilitated as part of the project improvements. When the final plans are available, the owner's/developer's engineering staff must discuss those plans with Sewer Section Engineering Personnel. In the event when developer is unable to complete the sewer installations in the areas to be dedicated, a deposit is required from which the DWM-Sewer may complete the sewer work. The required deposit amount for the Sewer Section is \$3,198,966.37. This estimate is based on current rates for labor, materials, equipment and overhead charges, but actual costs will be billed to developer upon DWM's completion of the work.
- b. Please be advised that any underground sewer work, including the public main sewers and sewer structures associated with the proposed dedications, must be submitted for review and installed at the expense of the beneficiary. The developer will be the owner and responsible for the maintenance of the public sewers and sewer structures within the dedicated ROWs until the ownership and maintenance is transferred from the developer to CDWM. The ownership and maintenance of said public sewers and sewer structures will be accepted by the Department of Water Management only after receiving, reviewing, and approving the construction as-built drawings and the videotaped inspection of the new sewer main.
- c. Permits are required to be obtained by a Licensed Drainlayer from the Department of Buildings - Sewer Permit Section for all underground sewer work, in both the public way and on private property. As-built plans of the public sewer and combined public main sewers indicating the street location of the main sewer(s) and appurtenances must be submitted to the Department of Water Management for record purposes within 30 days of completion.
- d. The Office of Budget and Management shall provide DWM with a deposit in the amount of \$3,198,966.37.
- e. The developer shall initiate the Existing Facility Protection (EFP) process through the Office of Underground Coordination (OUC). Only after the EFP process has been fully completed and approved by the OUC will the developer be able to pull any necessary permits to begin the work covered by this communication.

If there are any questions regarding the sewer facilities, contact Anupam Verma at Anupam Verma@CityofChicago.org.

Very truly yours,

Andrea RHCherg

Andrea R.H. Cheng, Ph.D., P.E. Commissioner



CITY OF CHICAGO

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DEPARTMENT OF WATER MANAGEMENT

May 12, 2022

City of Chicago Department of Transportation Division of Infrastructure Management Office of Underground Coordination 2 North LaSalle Street Chicago, Illinois 60602

Attn: Mr. Jai Kalayil Deputy Commissioner

Re: Proposed Dedication Ordinance

For: LeClaire Courts Development

The area bounded by S. Lavergne Ave, W. 45th Street, S. Cicero Ave, and the Gulf, Mobile, and Ohio Railroad.

OUC File No. VD-108985 M&P Project No. 04-22-21-3968 Water Atlas Page 404A Sewer Atlas Page 38-3-74

Dear Mr. Kalayil:

I) The Department of Water Management - Water Section

The Department of Water Management (DWM) owns and maintains water main facilities within the limits of several of the parcels proposed for dedication. Water main facilities within the limits of the proposed dedication parcels are as follows:

Parcel	Description of Water Main Facilities (all lengths are approximate)				
1	30 feet of 8-inch water main				
2	350 feet of 8-inch water main and one fire hydrant				
3	185 feet of 8-inch water main, one fire hydrant and one 8-inch valve				
4	350 feet of 8-inch water main and one fire hydrant				
5	185 feet of 8-inch water main, one fire hydrant and one 8-inch valve				
6	165 feet of 8-inch water main				
7	No water facilities				
8	315 feet of 8-inch water main				
9	No water facilities				
10	No water facilities				

DWM currently has easements reserved over the parcels with water facilities. Since these parcels will now be public ROW, DWM has no objections to the proposed dedications.

To adequately serve the proposed development, extensive water main improvements are required. Those requirements are addressed in the letter dated May 12, 2022 regarding the vacations for the LeClaire Courts development, VD-108983, a copy of which is attached.

The DWM retains its rights for all water mains and appurtenances that are not in the newly dedicated ROW. Those rights can be released once the existing water mains are abandoned and the new water mains are approved by the DWM and in service.

For questions regarding water facilities, please contact Andrew McFarland at andrew.mcfarland@cityofchicago.org.

II) The Department of Water Management - Sewer Section

Per Exhibit A - Plat of Dedication, there are a total ten (10) lots proposed for dedication and represented as Parcel 1, Parcel 2, Parcel 3, Parcel 4, Parcel 5, Parcel 6, Parcel 7, Parcel 8, Parcel 9 and Parcel 10.

Parcel 1, Parcel 2, Parcel 3, Parcel 4, Parcel 7, and Parcel 10: Based on sewer records, there are no City sewer facilities within the limits of the area proposed for dedication. Therefore, the Sewer section has no objection to the proposed dedication of Parcel 1, Parcel 2, Parcel 3, Parcel 4, Parcel 5, Parcel 7, and Parcel 10.

Parcel 5, Parcel 6, Parcel 8, and Parcel 9: Based on sewer records, the following Sewers are present in Parcel 5, Parcel 6, Parcel 8, and Parcel 9 –

- i. Parcel 5: Drainage structure with 8" pipe connecting to a 10" sewer in Laporte Ave within the proposed area to be dedicated
- ii. Parcel 6: 15-inch sewer running north on vacated S LaPorte Ave within the proposed area to be dedicated
- iii. Parcel 8: 15-inch sewer running north on S Lamon Ave within the proposed area to be dedicated
- iv. Parcel 9: 36-inch sewer running east on vacated W 43rd St within the proposed area to be dedicated

Please see detailed conditions below for Parcel 5, Parcel 6, Parcel 8, and Parcel 9:

Detailed conditions for Parcel 5, Parcel 6, Parcel 8, and Parcel 9; The Sewer Section will approve the proposed dedication, provided the beneficiary must agree with the conditions below for an y additional structures.

- a. Existing private sewers in the areas to be dedicated will be sealed and removed at the expense of the beneficiary, in accordance with the standard procedures of the Department of Water Management, Sewer Section.
- b. If and when the existing private main sewers and appurtenances are abandoned, the abandonment plans must meet the Department of Water Management, Sewer Design Section's requirements.
- c. Private structures are not allowed in the public right of way without an ordinance established by the City Council. Existing private structures must be relocated into private property, abandoned or established through a City Council ordinance.

- d. It is the owner's/developer's responsibility to provide proper drainage in the areas to be dedicated. DWM-Sewer Section has reviewed the draft "Proposed Sewer Public Concept" plan dated 12/23/2021. Per the plan, 15-inch sewer in Parcel 6 and 36-inch sewer in Parcel 9 are proposed to remain, and the 15-inch sewer in Parcel 8 are proposed to be replaced with 18-inch sewer along with other sewers in public ROW. Existing sewers to remain in Parcel 6 and Parcel 8 must be televised before & after construction. Should preconstruction TV indicate conditional issues, sewers shall be replaced or rehabilitated as part of the project improvements. When the final plans are available, the owner's/developer's engineering staff must discuss those plans with Sewer Section Engineering Personnel. In the event when developer is unable to complete the sewer installations in the areas to be dedicated, a deposit is required from which the DWM-Sewer may complete the sewer work. The required deposit amount for the Sewer Section is \$3,198,966.37. This estimate is based on current rates for labor, materials, equipment and overhead charges, but actual costs will be billed to developer upon DWM's completion of the work.
- e. Please be advised that any underground sewer work, including the public main sewers and sewer structures associated with the proposed dedications, must be submitted for review and installed at the expense of the beneficiary. The developer will be the owner and responsible for the maintenance of the public sewers and sewer structures within the dedicated ROWs until the ownership and maintenance is transferred from the developer to CDWM. The ownership and maintenance of said public sewers and sewer structures will be accepted by the Department of Water Management only after receiving, reviewing, and approving the construction as-built drawings and the videotaped inspection of the new sewer main.
- f. Permits are required to be obtained by a Licensed Drainlayer from the Department of Buildings - Sewer Permit Section for all underground sewer work, in both the public way and on private property. As-built plans of the public sewer and combined public main sewers indicating the street location of the main sewer(s) and appurtenances must be submitted to the Department of Water Management for record purposes within 30 days of completion.
- g. A certified check in the amount of \$3,198,966.37 payable to the City of Chicago, must be hand delivered to the Department of Buildings, Sewer Permit Section, 121 North LaSalle Street, Room 906, Chicago, Illinois, 60602 with a copy of this letter.

If there are any questions regarding the sewer facilities, contact Anupam Verma at Anupam.Verma@CityofChicago.org.

Very truly yours,

Andreak HChers

Andrea R.H. Cheng, Ph.D., P.E. Commissioner



CITY OF CHICAGO

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DEPARTMENT OF WATER MANAGEMENT

May 12, 2022

City of Chicago Department of Transportation Division of Infrastructure Management Office of Underground Coordination 2 North LaSalle Street Chicago, Illinois 60602

Attn: Mr. Jai Kalayil Deputy Commissioner

Re: Proposed Vacation Ordinance

For: LeClaire Courts Development

The area bounded by S. Lavergne Ave, W. 45th Street, S. Cicero Ave, and the Gulf, Mobile, and Ohio Railroad.

OUC File No. VD-108983 M&P Project No. 04-22-21-3966 Water Atlas Page 404A Sewer Atlas Page 38-3-74

Dear Mr. Kalayil:

I) The Department of Water Management - Water Section

The Department of Water Management (DWM) owns and maintains extensive water main facilities within the limits of the proposed LeClaire Courts development.

There are no water main facilities within the limits of vacation Parcels 2, 3 and 4 and the DWM has no objection to these proposed vacations.

There are, however, water main facilities within the limits of vacation Parcels 1, 5 and 6. The water mains within these parcels must be abandoned and/or relocated for the vacations to be approved.

In addition, to adequately serve the increased domestic demands, new fire pumps and increased external fire demands, new water mains are required throughout the development. To serve Phase 1 of the development, the following water mains are required:

Length (ft.)	Size (in.)	Location	From	To
640	12	S Lamon Avenue	W 43rd Street	W 44th Street
4700	16	S Lamon Avenue	W 44 th Street	W 51st Street
650	12	W 43rd Street	S Cicero Avenue	S Lamon Avenue
640	8	W 44th Street	S Cicero Avenue	S Lamon Avenue

Length (ft.)	Size (in.)	Location	From	To
1320	8	S LaCrosse Avenue	W 43 rd Street	W 45 th Street
1300	8	S Lamon Avenue	W 43 rd Street	W 45 th Street
670	12	W 43 rd Street	S Cicero Avenue	S Lamon Avenue

The following existing water mains will be abandoned as part of this work:

These water main improvements are only for Phase 1 of the proposed LeClaire Courts development: the area bounded by S Cicero Avenue and S Lamon Avenue between W 43rd Street and W 44th Street. Additional water main improvements will be required to serve future phases of the development, all at the developer's expense.

For the vacation to be approved by the Water Section, these water main improvements must be made and the requirements of the letter to LeClaire Partners, LLC dated May 12, 2022, attached hereto, must be complied with, namely:

- a. The water mains described above must be installed and the existing mains abandoned. The estimated cost for this work is \$6,655,120.00. Please note that this estimate is based on current rates for labor (straight time), material, equipment, and overhead charges, but actual costs will be submitted for payment upon completion of the work. See the attached letter for payment instructions.
- b. Should it be determined that the water mains cannot be installed at the proposed locations, LeClaire Partners, LLC will be responsible for all additional costs associated with the water main installations as well as all costs for utility and alignment conflicts associated with the water main installations.
- c. The scope of the DWM's involvement in the water main installation and abandonment work will include but not limited to obtaining all applicable permits, tracing equipment, excavation/OSHA shoring, backfilling/compaction, traffic control, all pipe work, supplying all water main pipe, line valves, valve basins, fire hydrant, water main, valve operations, engineering design services, and water quality services, and as well as all existing sewer facility adjustments required for the proposed water facilities to meet all DWM and Illinois Environmental Protection Agency (IEPA) vertical separation requirements for water and sewer pipe crossings.
- d. The DWM will provide final restoration in N Lamon Avenue, from the north property line of W 45th Street to W 51st Street, but LeClaire Partners, LLC's contractor will be responsible for final restoration in all areas north of W 45th Street.
- e. All water services no longer in use must be permanently terminated as part of the proposed development by permit per DWM Standards.
- f. Because the existing water mains cannot be located within private property (the vacated streets), this work must be done before the vacation of Parcels 1, 5 and 6 can be approved.
- g. The DWM also retains its rights for all water mains that lie within easement reservations, specifically the 8-inch water main in S LaCrosse Avenue between W 43rd Street and W 45th Street, the 12-inch water main in vacated W 43rd Street between S Lamon Avenue and S Lavergne Avenue, and the 8-inch water main in S Laporte Avenue from vacated W 43rd Street to dedicated W 43rd Street. These reservations can
be released once these water mains are abandoned or replaced, as determined by the DWM.

For questions regarding water facilities, please contact Andrew McFarland at andrew.mcfarland@cityofchicago.org.

II) The Department of Water Management - Sewer Section

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Per Exhibit B, there are a total of six (6) parcels proposed for vacation and represented as Parcel 1, Parcel 2, Parcel 3, Parcel 4, Parcel 5, and Parcel 6.

Parcel 1: Based on sewer records, there is a 10-inch public sewer running south on S La Crosse Ave and a 15-inch public sewer running east on W 44th Place within the proposed area to be vacated.

The 10-inch sewer is solely serving the area proposed for vacation. The Sewer Section has no objection to the vacation of the portion of Parcel 1 that is served by the 10-inch sewer. The beneficiary must assume ownership of the 10-inch sewer and appurtenances. These sewer facilities will be private property of the beneficiary. The beneficiary must assume all liability for these facilities and be responsible for all maintenance and repairs for reuse.

Based on sewer records, the 15-inch sewer is serving a portion of public ROW of 44th Pl at the upstream end. This 15-inch sewer must be retained and maintained until the new relocated sewers on the new dedicated ROW of S Lamon Ave are built by the beneficiary of the vacated ROW and accepted by the City as a part of sewer relocation work into new dedicated public ROW. Please see detailed conditions below.

Parcel 2: Based on sewer records, there is a 12-inch to 15-inch public sewer running east on W 44th Place within the proposed area to be vacated. This 12-inch to 15-inch sewer must be retained and maintained until the new relocated sewers on the new dedicated ROW of S Lamon Ave are built by the beneficiary of the vacated ROW and accepted by the City as a part of sewer relocation work into new dedicated public ROW Please see detailed conditions below. In addition, ROW stormwater currently drains through proposed vacated 44th Pl. Beneficiary must agree to provide drainage of remaining ROW tract between Parcel 2 and Parcel 3.

Parcel 3: Based on sewer records, there is a 10-inch public sewer running west on W 44th Place within the proposed area to be vacated. The 10-inch sewer is solely serving the area proposed for vacation. The Sewer Section has no objection to the vacation of the portion of Parcel 3 that is served by the 10-inch sewer. The beneficiary must assume ownership of the 10-inch sewer and appurtenances. These sewer facilities will be private property of the beneficiary. The beneficiary must assume all liability for these facilities and be responsible for all maintenance and repairs for reuse.

Based on sewer records, there is a drainage structure with associated 8" pipe connecting to a 10" sewer in Laporte Ave within Parcel 3. This drainage structure & sewer serves a portion of public ROW. The drainage structure and sewer within the Laporte Ave portion of Parcel 3 must be retained and maintained until Laporte Ave is regraded and the new relocated sewers on the new dedicated ROW are built by the beneficiary of the vacated ROW and accepted by the City as a part of sewer relocation work into new dedicated public ROW. Please see detailed conditions below.

Parcel 4: Based on sewer records, there are no City sewer facilities within the limits of the area proposed for vacation. Therefore, the Sewer section has no objection to the proposed vacation of Parcel 4.

Parcel 5: Based on sewer records, there is a 15-inch sewer running north on vacated S La Crosse Ave and within the area proposed for vacation, from W 44th St to W 43rd St. Based on sewer records, the 15-inch sewer is serving a portion of public ROW of W 44th St at the upstream end. This 15-inch sewer must be retained and maintained until the new relocated sewers on W 44th St are built by the beneficiary of the vacated ROW and accepted by City as a part of sewer relocation work into W 44th St public ROW. Please see detailed conditions below.

Parcel 6: Based on sewer records, there is a 12-inch private sewer on vacated S La Crosse Ave running south within the area proposed for vacation. Therefore, the Sewer section has no objection to the proposed vacation of Parcel 6.

Detailed conditions for Parcels 1, 2, 3, and 5: The Sewer Section will approve the proposed street vacation, provided the beneficiary must agree with the following conditions:

- i. There must be a reservation of the entire width and length of the vacated ROW for the existing retained sewer main.
- ii. The Sewer Section requires a minimum of forty (40) feet of vertical clearance from ground level to provide access to construction machinery that would be necessary in the event of a break or if maintenance or relocation were required in the future.
- iii. The City of Chicago Department of Water Management must have continuous 24 hour
 access without any obstructions like fences or bollards to the area where the reservation is required.
- iv. All proposed plans for improvements must be submitted to and approved by the Department of Water Management Sewer Section prior to construction.
- v. The beneficiary of the vacated ROW where a reservation is required must be responsible for the repair, renewal or replacement of any physical improvements on the vacated area which may be damaged in connection with the maintenance and repair, or replacement of the sewer main. Examples of improvements include, but are not limited to the landscape island, the private drainage system, lighting, pavement and sidewalks.
- vi. The beneficiary of the vacated ROW where a reservation is required must be responsible for completely removing any obstacle for the maintenance and repair, or replacement of the sewer main.
- vii. The beneficiary of the vacated ROW where a reservation is required must follow Landscape requirements per Department of Water Management Sewer requirements for Existing Facilities Protection.
- viii. Any adjustments to the Sewer Section's facilities in the vacated ROW where a reservation is required must be paid by the beneficiary.
- ix. Based on sewer records, Parcel 1 drainage is served by an 18-inch to 21-inch east-west sewer flowing east from intersection of W 44th PL and S Lacrosse Ave to S Cicero

Ave. An existing easement must be maintained for the 18-inch to 21-inch sewer. If there is no existing easement, easements must be established by the beneficiary of the vacated ROW for sixty feet wide centered at the existing sewer and forty feet high above ground level.

x. Reservations on Parcels 1, 2, 3 and 5, and easement for 18-inch to 21-inch east-west sewer should be released after accepting relocated sewers built by the beneficiary and accepted by City as a part of sewer relocation work in public ROW. When final plans of Public ROW sewers are available, the owner's/developer's engineering staff must discuss those plans with Sewer Section Engineering Personnel. The plans must be submitted through the OUC-EFP review process.

If there are any questions regarding the sewer facilities, contact Anupam Verma at Anupam.Verma@CityofChicago.org.

Very truly yours,

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Andrea R.H. Cheng, Ph.D., P.E. Commissioner



CITY OF CHICAGO

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DEPARTMENT OF WATER MANAGEMENT

May 12, 2022

LeClaire Partners, LLC. 10 S LaSalle Street, Suite 1050 Chicago, IL 60603

Attention: Mark R. Kirincich Principal

SUBJECT: LeClaire Courts - Phase 1 Development W 43rd Street - S Cicero Avenue to S Lamon Avenue W 44th Street - S Cicero Avenue to S Lamon Avenue S Lamon Avenue - W 43rd Street to W 51st Street BES Project No. 16-08:077 OUC File No. VD-108983 & VD-108985

Mr. Kirincich:

This correspondence is in response to the subject vacation and dedication requests and exhibits provided to the Department of Water Management (DWM) on February 23, 2022, showing the first phase of the LeClaire Courts development.

The vacation and dedication requests are for the area bounded by S Cicero Avenue and S Lavergne Avenue between W 45th Street and the railroad ROW north of W 43rd Street. The water main improvements discussed below are only for Phase 1 of the proposed LeClaire Courts development: the area bounded by S Cicero Avenue and S Lamon Avenue between W 43rd Street and W 44th Street. As additional phases are developed, additional water main improvements will be required, all at the developer's expense.

LeClaire Partners, LLC. shall submit final engineering plans for the overall project to the DWM for review and approval prior to the start of construction. Upon review of the final engineering plans, additional DWM involvement will be required in the overall project, resulting in additional costs to LeClaire Partners, LLC. This correspondence only addresses the need to provide adequate water to Phase 1 of the LeClaire Courts developments.

The Department of Water Management - Water Section

In order to accommodate the proposed development and adequately serve Phase 1 of the LeClaire Courts developments, the Department of Water Management must install the following water mains:

- Approximately 640 linear feet of 8-inch water main in W 44th Street from S Lamon Avenue to S Cicero Avenue
- Approximately 1,290 linear feet of 12-inch water main in S Lamon Avenue from W 44th Street to W 43rd Street and in W 43rd Street from S Lamon Avneue to S Cicero Avenue
- Approximately 4,700 linear feet of 16-inch water main in S Lamon Avenue from W 44th Street to W 51st Street

Additionally, in order to accommodate the proposed street vacations and dedications of the

Page 2 LeClaire Partners, LLC. May 12, 2022

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LeClaire Courts development, the following existing water mains must be abandoned in place as part of Phase 1:

- Approximately 670 feet of 12-inch water main located at approximately 23 feet NSL of W 43rd Street from S Cicero Avenue to S Lamon Avenue
- Approximately 1320 feet of 8-inch water main located from approximately 256 feet to 325 feet WWL of S Cicero Avenue from W 43rd Street to W 45th Street
- Approximately 1300 feet of 8-inch water main located from approximately 23 feet EWL of S Lamon Avenue from W 43rd Street to W45th Street

The scope of the DWM's involvement in the water main installation and abandonment work will include but not limited to obtaining all applicable permits, tracing equipment, excavation/OSHA shoring, backfilling/compaction, traffic control, all pipe work, supplying all water main pipe, line valves, valve basins, fire hydrant, water main, valve operations, engineering design services, and water quality services, and as well as all existing sewer facility adjustments required for the proposed water facilities to meet all DWM and Illinois Environmental Protection Agency (IEPA) vertical separation requirements for water and sewer pipe crossings. The DWM will provide final restoration in N Lamon Avenue, from the north property line of W 45th Street to W 51st Street, but LeClaire Partners, LLC.'s contractor will be responsible of final restoration in all areas north of W 45th Street.

The estimated cost for this work is *\$6,655,120.00*. Please note that this estimate is based on current rates for labor (straight time), material, equipment, and overhead charges, but actual costs will be submitted for payment upon completion of the work. Should it be determined that the water mains cannot be installed at the proposed locations, LeClaire Partners, LLC. will be responsible for all additional costs associated with the water main installations as well as all costs for utility and alignment conflicts associated with the water main installations.

A certified check in the amount of *\$6,655,120.00*, payable to the City of Chicago, must be hand delivered to the Department of Buildings, Plumbing Permit and Plan Section, 121 North LaSalle Street, Room 906, Chicago, Illinois, 60602, with a copy of this letter.

Once the deposit is received, the design of the proposed water mains can begin. No water service will be allowed to the development prior to the new water mains being placed into service. Please forward all the CAD files and any resource/reference files for this project electronically to Susan McKee at Susan.McKee@ctrwater.net so that they can be utilized to create the water mains installation plans.

All new sewer installations must meet IEPA separation requirements for water and sewer pipes. All proposed/replaced sewer laterals from catch basins/inlets, sewer mains, and private drains (collectively known as "sewer facilities") that are parallel to water mains, services or fire hydrant leads (collectively known as "water facilities") that are less than 18 inches below the water facility and have less than 10 feet of horizontal separation from the outside edge of the water facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the water facilities with less than 18 inches vertical separation must be made out of ductile iron/water on either side of the outside edge of the water facility. Additionally, all sewer facilities that cross perpendicularly below water facilities with less than 18 inches vertical separation must be made out of ductile iron/water main quality pipe for 10 feet on either side of the water facility. If any sewer facility crosses perpendicularly above a water facility, then the sewer facility shall be at least 18 inches above the water facility and the sewer facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the water facility shall be at least 18 inches above the water facility and the sewer facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the water facility and the sewer facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the water facility and the sewer facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the out of ductile iron/water main quality pipe for 10 feet on either side o

Page 3 LeClaire Partners, LLC. May 12, 2022

water facility. Sewer laterals that require ductile iron/water main quality pipe shall be ductile iron/water main quality pipe from the catch basin to a point 10 feet beyond the edge of the water facility.

There are various water mains and appurtenances within the limits of this project. All proposed underground facilities must be installed in such a manner to provide the following required clearances: The minimum vertical clearance (edge-to-edge) from all water mains is 18 inches. For feeder mains (water mains 16-inches and larger), the minimum horizontal clearance (edge-to-edge) is five (5) feet, and for grid mains (water mains less than 16-inches), the minimum horizontal clearance (edge-to-edge) is three (3) feet. No proposed above ground facility (tree, planter box, light pole, etc.) can be closer than five (5) feet (edge-to-edge) from a water main or closer than three (3) feet (edge-to-edge) from a water service. Should the DWM require access to its facilities, it will not be responsible for the costs to remove or support any above ground structures adjacent to its facilities.

There will be multiple existing fire hydrants installed within the projects limits. In no case shall the installation of any proposed facility be closer than five (5) feet from a fire hydrant or fire hydrant lead. All new curb installation adjacent to fire hydrants must be painted 'safety yellow' for 15 feet on each side of the fire hydrant except where the 15-foot dimension intersects a crosswalk, driveway or similar feature.

If construction requires the use of water from a City fire hydrant, or adjustments or repairs are required to any City sewer facilities in proximity to the project site, permits must be obtained from the Department of Water Management, Water and Sewer Sections.

Proposed trees must not be planted above or within five (5) feet of the exterior pipe wall for all water mains 24-inch in diameter and larger. This 5-foot rule excludes mains that are separated from the tree by a hardscape feature or other root growth limiting conditions such as water mains located in the street.

This Department discourages tree planting over water mains that are less than 24-inches in diameter located in the parkway, but if necessary, will allow trees with a maximum mature height of 30 feet and a maximum mature root depth of 2½ feet. Potential plantings that meet this requirement include the following:

- 1. Ornamental shrubs or bushes meeting the mature height and mature root depth stated above.
- 2. Flowers or other non-woody herbaceous plants.
- 3. Above ground, removable planting containers that can be moved by construction equipment in the event that water main repair is required.

Existing trees planted above water mains that do not meet these requirements do not need to be removed. However, if such existing trees are removed, all proposed trees installed in their place must meet the above mentioned requirements. Should it be necessary for the DWM to access any of its facilities, the Department shall only be responsible for typical pavement, sidewalk, and hydroseed restoration.

Extreme caution is to be taken to ensure that no facility owned and maintained by this Department is damaged during construction. If damage occurs to any facilities, LeClaire Partners, LLC. will be held responsible for the cost of repairing or replacing them.

Please note that the details described above are valid for 90 days from the date of this letter,

Page 4 LeClaire Partners, LLC. May 12, 2022

after which time, LeClaire Partners, LLC. will be responsible for re-submitting plans to this Department for review and revision of the estimate of cost, as needed. *Failure to comply with the provisions in this correspondence may result in additional expenses to the proposed project to verify that all work conforms to the DWM's standards.*

If there are any questions regarding the water facilities, please contact Angela Krueger at Angela.Krueger@cityofchicago.org.

Sincerely,

Vien Gudrear

Andrea R.H. Cheng, Ph.D., P.E. Commissioner

COB

Email cc: DOB Plan Desk Denis E. Riordan, Chief Plumbing Inspector



C.TY OF CHICAGO

DEPARTMENT OF WATER MANAGEMENT

May 12, 2022

City of Chicago Department of Transportation Division of Infrastructure Management Office of Underground Coordination 2 North LaSalle Street Chicago, Illinois 60602

Attn: Mr. Jai Kalayil Deputy Commissioner

Re: Proposed Vacation Ordinance

For: LeClaire Courts Development

The area bounded by S. Lavergne Ave, W. 45th Street, S. Cicero Ave, and the Gulf, Mobile, and Ohio Railroad.

OUC File No. VD-108983 M&P Project No. 04-22-21-3966 Water Atlas Page 404A Sewer Atlas Page 38-3-74

Dear Mr. Kalayil:

I) The Department of Water Management - Water Section

The Department of Water Management (DWM) owns and maintains extensive water main facilities within the limits of the proposed LeClaire Courts development.

There are no water main facilities within the limits of vacation Parcels 2, 3 and 4 and the DWM has no objection to these proposed vacations.

There are, however, water main facilities within the limits of vacation Parcels 1, 5 and 6. The water mains within these parcels must be abandoned and/or relocated for the vacations to be approved.

In addition, to adequately serve the increased domestic demands, new fire pumps and increased external fire demands, new water mains are required throughout the development. To serve Phase 1 of the development, the following water mains are required:

	Length (ft.)	Size (in.)	Location	From	To
,	640	12	S Lamon Avenue	W 43rd Street	W 44th Street
	4700	16	S Lamon Avenue	W 44 th Street	W 51st Street
ľ	650	12	W 43rd Street	S Cicero Avenue	S Lamon Avenue
Ì	640	8	W 44th Street	S Cicero Avenue	S Lamon Avenue

Length (ft.)	Size (in.)	Location	From	То
1320	8	S LaCrosse Avenue	W 43 rd Street	W 45 th Street
1300	8	S Lamon Avenue	W 43 rd Street	W 45 th Street
670	12	W 43 rd Street	S Cicero Avenue	S Lamon Avenue

The following existing water mains will be abandoned as part of this work:

These water main improvements are only for Phase 1 of the proposed LeClaire Courts development: the area bounded by S Cicero Avenue and S Lamon Avenue between W 43rd Street and W 44th Street. Additional water main improvements will be required to serve future phases of the development, all at the developer's expense.

For the vacation to be approved by the Water Section, these water main improvements must be made and the requirements of the letter to LeClaire Partners, LLC dated May 12, 2022, attached hereto, must be complied with, namely:

- a. The water mains described above must be installed and the existing mains abandoned. The estimated cost for this work is \$6,655,120.00. Please note that this estimate is based on current rates for labor (straight time), material, equipment, and overhead charges, but actual costs will be submitted for payment upon completion of the work. See the attached letter for payment instructions.
- b. Should it be determined that the water mains cannot be installed at the proposed locations, LeClaire Partners, LLC will be responsible for all additional costs associated with the water main installations as well as all costs for utility and alignment conflicts associated with the water main installations.
- c. The scope of the DWM's involvement in the water main installation and abandonment work will include but not limited to obtaining all applicable permits, tracing equipment, excavation/OSHA shoring, backfilling/compaction, traffic control, all pipe work, supplying all water main pipe, line valves, valve basins, fire hydrant, water main, valve operations, engineering design services, and water quality services, and as well as all existing sewer facility adjustments required for the proposed water facilities to meet all DWM and Illinois Environmental Protection Agency (IEPA) vertical separation requirements for water and sewer pipe crossings.
- d. The DWM will provide final restoration in N Lamon Avenue, from the north property line of W 45th Street to W 51st Street, but LeClaire Partners, LLC's contractor will be responsible for final restoration in all areas north of W 45th Street.
- e. All water services no longer in use must be permanently terminated as part of the proposed development by permit per DWM Standards.
- f. Because the existing water mains cannot be located within private property (the vacated streets), this work must be done before the vacation of Parcels 1, 5 and 6 can be approved.
- g. The DWM also retains its rights for all water mains that lie within easement reservations, specifically the 8-inch water main in S LaCrosse Avenue between W 43rd Street and W 45th Street, the 12-inch water main in vacated W 43rd Street between S Lamon Avenue and S Lavergne Avenue, and the 8-inch water main in S Laporte Avenue from vacated W 43rd Street to dedicated W 43rd Street These reservations can

be released once these water mains are abandoned or replaced, as determined by the DWM.

For questions regarding water facilities, please contact Andrew McFarland at andrew.mcfarland@cityofchicago.org.

II) The Department of Water Management - Sewer Section

Per Exhibit B, there are a total of six (6) parcels proposed for vacation and represented as Parcel 1, Parcel 2, Parcel 3, Parcel 4, Parcel 5, and Parcel 6.

Parcel 1: Based on sewer records, there is a 10-inch public sewer running south on S La Crosse Ave and a 15-inch public sewer running east on W 44th Place within the proposed area to be vacated.

The 10-inch sewer is solely serving the area proposed for vacation. The Sewer Section has no objection to the vacation of the portion of Parcel 1 that is served by the 10-inch sewer. The beneficiary must assume ownership of the 10-inch sewer and appurtenances. These sewer facilities will be private property of the beneficiary. The beneficiary must assume all liability for these facilities and be responsible for all maintenance and repairs for reuse.

Based on sewer records, the 15-inch sewer is serving a portion of public ROW of 44th Pl at the upstream end. This 15-inch sewer must be retained and maintained until the new relocated sewers on the new dedicated ROW of S Lamon Ave are built by the beneficiary of the vacated ROW and accepted by the City as a part of sewer relocation work into new dedicated public ROW. Please see detailed conditions below.

Parcel 2: Based on sewer records, there is a 12-inch to 15-inch public sewer running east on W 44th Place within the proposed area to be vacated. This 12-inch to 15-inch sewer must be retained and maintained until the new relocated sewers on the new dedicated ROW of S Lamon Ave are built by the beneficiary of the vacated ROW and accepted by the City as a part of sewer relocation work into new dedicated public ROW Please see detailed conditions below. In addition, ROW stormwater currently drains through proposed vacated 44th Pl. Beneficiary must agree to provide drainage of remaining ROW tract between Parcel 2 and Parcel 3.

Parcel 3: Based on sewer records, there is a 10-inch public sewer running west on W 44th Place within the proposed area to be vacated. The 10-inch sewer is solely serving the area proposed for vacation. The Sewer Section has no objection to the vacation of the portion of Parcel 3 that is served by the 10-inch sewer. The beneficiary must assume ownership of the 10-inch sewer and appurtenances. These sewer facilities will be private property of the beneficiary. The beneficiary must assume all liability for these facilities and be responsible for all maintenance and repairs for reuse.

Based on sewer records, there is a drainage structure with associated 8" pipe connecting to a 10" sewer in Laporte Ave within Parcel 3. This drainage structure & sewer serves a portion of public ROW. The drainage structure and sewer within the Laporte Ave portion of Parcel 3 must be retained and maintained until Laporte Ave is regraded and the new relocated sewers on the new dedicated ROW are built by the beneficiary of the vacated ROW and accepted by the City as a part of sewer relocation work into new dedicated public ROW. Please see detailed conditions below.

Parcel 4: Based on sewer records, there are no City sewer facilities within the limits of the area proposed for vacation. Therefore, the Sewer section has no objection to the proposed vacation of Parcel 4.

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Parcel 5: Based on sewer records, there is a 15-inch sewer running north on vacated S La Crosse Ave and within the area proposed for vacation, from W 44th St to W 43rd St. Based on sewer records, the 15-inch sewer is serving a portion of public ROW of W 44th St at the upstream end. This 15-inch sewer must be retained and maintained until the new relocated sewers on W 44th St are built by the beneficiary of the vacated ROW and accepted by City as a part of sewer relocation work into W 44th St public ROW. Please see detailed conditions below.

Parcel 6: Based on sewer records, there is a 12-inch private sewer on vacated S La Crosse Ave running south within the area proposed for vacation. Therefore, the Sewer section has no objection to the proposed vacation of Parcel 6.

Detailed conditions for Parcels 1, 2, 3, and 5: The Sewer Section will approve the proposed street vacation, provided the beneficiary must agree with the following conditions:

- i. There must be a reservation of the entire width and length of the vacated ROW for the existing retained sewer main.
- ii. The Sewer Section requires a minimum of forty (40) feet of vertical clearance from ground level to provide access to construction machinery that would be necessary in the event of a break or if maintenance or relocation were required in the future.
- iii. The City of Chicago Department of Water Management must have continuous 24 hour access without any obstructions like fences or bollards to the area where the reservation is required.
- iv. All proposed plans for improvements must be submitted to and approved by the Department of Water Management Sewer Section prior to construction.
- v. The beneficiary of the vacated ROW where a reservation is required must be responsible for the repair, renewal or replacement of any physical improvements on the vacated area which may be damaged in connection with the maintenance and repair, or replacement of the sewer main. Examples of improvements include, but are not limited to the landscape island, the private drainage system, lighting, pavement and sidewalks.
- vi. The beneficiary of the vacated ROW where a reservation is required must be responsible for completely removing any obstacle for the maintenance and repair, or replacement of the sewer main.
- vii. The beneficiary of the vacated ROW where a reservation is required must follow Landscape requirements per Department of Water Management Sewer requirements for Existing Facilities Protection.
- viii. Any adjustments to the Sewer Section's facilities in the vacated ROW where a reservation is required must be paid by the beneficiary.
- ix. Based on sewer records, Parcel 1 drainage is served by an 18-inch to 21-inch east-west sewer flowing east from intersection of W 44th PL and S Lacrosse Ave to S Cicero

Ave. An existing easement must be maintained for the 18-inch to 21-inch sewer. If there is no existing easement, easements must be established by the beneficiary of the vacated ROW for sixty feet wide centered at the existing sewer and forty feet high above ground level.

x. Reservations on Parcels 1, 2, 3 and 5, and easement for 18-inch to 21-inch east-west sewer should be released after accepting relocated sewers built by the beneficiary and accepted by City as a part of sewer relocation work in public ROW. When final plans of Public ROW sewers are available, the owner's/developer's engineering staff must discuss those plans with Sewer Section Engineering Personnel. The plans must be submitted through the OUC-EFP review process.

If there are any questions regarding the sewer facilities, contact Anupam Verma at Anupam.Verma@CityofChicago.org.

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Very truly yours,

Spranas Jung

Andrea R.H. Cheng, Ph.D., P.E. Commissioner



CITY OF CHICAGO

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DEPARTMENT OF WATER MANAGEMENT

May 12, 2022

LeClaire Partners, LLC. 10 S LaSalle Street, Suite 1050 Chicago, IL 60603

Attention: Mark R. Kirincich Principal

SUBJECT: LeClaire Courts – Phase 1 Development W 43rd Street - S Cicero Avenue to S Lamon Avenue W 44th Street - S Cicero Avenue to S Lamon Avenue S Lamon Avenue - W 43rd Street to W 51st Street BES Project No. 16-08:077 OUC File No. VD-108983 & VD-108985

Mr. Kirincich:

This correspondence is in response to the subject vacation and dedication requests and exhibits provided to the Department of Water Management (DWM) on February 23, 2022, showing the first phase of the LeClaire Courts development.

The vacation and dedication requests are for the area bounded by S Cicero Avenue and S Lavergne Avenue between W 45th Street and the railroad ROW north of W 43rd Street. The water main improvements discussed below are only for Phase 1 of the proposed LeClaire Courts development: the area bounded by S Cicero Avenue and S Lamon Avenue between W 43rd Street and W 44th Street. As additional phases are developed, additional water main improvements will be required, all at the developer's expense.

LeClaire Partners, LLC. shall submit final engineering plans for the overall project to the DWM for review and approval prior to the start of construction. Upon review of the final engineering plans, additional DWM involvement will be required in the overall project, resulting in additional costs to LeClaire Partners, LLC. This correspondence only addresses the need to provide adequate water to Phase 1 of the LeClaire Courts developments.

The Department of Water Management - Water Section

In order to accommodate the proposed development and adequately serve Phase 1 of the LeClaire Courts developments, the Department of Water Management must install the following water mains:

- Approximately 640 linear feet of 8-inch water main in W 44th Street from S Lamon Avenue to S Cicero Avenue
- Approximately 1,290 linear feet of 12-inch water main in S Lamon Avenue from W 44th Street to W 43rd Street and in W 43rd Street from S Lamon Avneue to S Cicero Avenue
- Approximately 4,700 linear feet of 16-inch water main in S Lamon Avenue from W 44th Street to W 51st Street

Additionally, in order to accommodate the proposed street vacations and dedications of the

Page 2 LeClaire Partners, LLC. May 12, 2022

LeClaire Courts development, the following existing water mains must be abandoned in place as part of Phase 1:

- Approximately 670 feet of 12-inch water main located at approximately 23 feet NSL of W 43rd Street from S Cicero Avenue to S Lamon Avenue
- Approximately 1320 feet of 8-inch water main located from approximately 256 feet to 325 feet WWL of S Cicero Avenue from W 43rd Street to W 45th Street
- Approximately 1300 feet of 8-inch water main located from approximately 23 feet EWL of S Lamon Avenue from W 43rd Street to W45th Street

The scope of the DWM's involvement in the water main installation and abandonment work will include but not limited to obtaining all applicable permits, tracing equipment, excavation/OSHA shoring, backfilling/compaction, traffic control, all pipe work, supplying all water main pipe, line valves, valve basins, fire hydrant, water main, valve operations, engineering design services, and water quality services, and as well as all existing sewer facility adjustments required for the proposed water facilities to meet all DWM and Illinois Environmental Protection Agency (IEPA) vertical separation requirements for water and sewer pipe crossings. The DWM will provide final restoration in N Lamon Avenue, from the north property line of W 45th Street to W 51st Street; but LeClaire Partners, LLC.'s contractor will be responsible of final restoration in all areas north of W 45th Street.

The estimated cost for this work is **\$6,655,120.00**. Please note that this estimate is based on current rates for labor (straight time), material, equipment, and overhead charges, but actual costs will be submitted for payment upon completion of the work. Should it be determined that the water mains cannot be installed at the proposed locations, LeClaire Partners, LLC. will be responsible for all additional costs associated with the water main installations as well as all costs for utility and alignment conflicts associated with the water main installations.

A certified check in the amount of *\$6,655,120.00*, payable to the City of Chicago, must be hand delivered to the Department of Buildings, Plumbing Permit and Plan Section, 121 North LaSalle Street, Room 906, Chicago, Illinois, 60602, with a copy of this letter.

Once the deposit is received, the design of the proposed water mains can begin. No water service will be allowed to the development prior to the new water mains being placed into service. Please forward all the CAD files and any resource/reference files for this project electronically to Susan McKee at Susan.McKee@ctrwater.net so that they can be utilized to create the water mains installation plans.

All new sewer installations must meet IEPA separation requirements for water and sewer pipes. All proposed/replaced sewer laterals from catch basins/inlets, sewer mains, and private drains (collectively known as "sewer facilities") that are parallel to water mains, services or fire hydrant leads (collectively known as "water facilities") that are less than 18 inches below the water facility and have less than 10 feet of horizontal separation from the outside edge of the water facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the water facilities with less than 18 inches vertical separation must be made out of ductile iron/water main 18 inches vertical separation must be made out of ductile iron/water facility. Additionally, all sewer facilities that cross perpendicularly below water facility crosses perpendicularly above a water facility, then the sewer facility shall be at least 18 inches above the water facility and the sewer facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the water facility shall be at least 18 inches above the water facility and the sewer facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the water facility shall be at least 18 inches above the water facility and the sewer facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the water facility shall be at least 18 inches above the water facility and the sewer facility must be made out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the out of ductile iron/water main quality pipe for 10 feet on either side of the outside edge of the out of ductile iro

Page 3 LeClaire Partners, LLC. May 12, 2022

water facility. Sewer laterals that require ductile iron/water main quality pipe shall be ductile iron/water main quality pipe from the catch basin to a point 10 feet beyond the edge of the water facility.

There are various water mains and appurtenances within the limits of this project. All proposed underground facilities must be installed in such a manner to provide the following required clearances: The minimum vertical clearance (edge-to-edge) from all water mains is 18 inches. For feeder mains (water mains 16-inches and larger), the minimum horizontal clearance (edge-to-edge) is five (5) feet, and for grid mains (water mains less than 16-inches), the minimum horizontal clearance (edge-to-edge) is three (3) feet. No proposed above ground facility (tree, planter box, light pole, etc.) can be closer than five (5) feet (edge-to-edge) from a water main or closer than three (3) feet (edge-to-edge) from a water service. Should the DWM require access to its facilities, it will not be responsible for the costs to remove or support any above ground structures adjacent to its facilities.

There will be multiple existing fire hydrants installed within the projects limits. In no case shall the installation of any proposed facility be closer than five (5) feet from a fire hydrant or fire hydrant lead. All new curb installation adjacent to fire hydrants must be painted 'safety yellow' for 15 feet on each side of the fire hydrant except where the 15-foot dimension intersects a crosswalk, driveway or similar feature.

If construction requires the use of water from a City fire hydrant, or adjustments or repairs are required to any City sewer facilities in proximity to the project site, permits must be obtained from the Department of Water Management, Water and Sewer Sections.

Proposed trees must not be planted above or within five (5) feet of the exterior pipe wall for all water mains 24-inch in diameter and larger. This 5-foot rule excludes mains that are separated from the tree by a hardscape feature or other root growth limiting conditions such as water mains located in the street.

This Department discourages tree planting over water mains that are less than 24-inches in diameter located in the parkway, but if necessary, will allow trees with a maximum mature height of 30 feet and a maximum mature root depth of 2½ feet. Potential plantings that meet this requirement include the following:

- 1. Ornamental shrubs or bushes meeting the mature height and mature root depth stated above.
- 2. Flowers or other non-woody herbaceous plants.
- 3. Above ground, removable planting containers that can be moved by construction equipment in the event that water main repair is required.

Existing trees planted above water mains that do not meet these requirements do not need to be removed. However, if such existing trees are removed, all proposed trees installed in their place must meet the above mentioned requirements. Should it be necessary for the DWM to access any of its facilities, the Department shall only be responsible for typical pavement, sidewalk, and hydroseed restoration.

Extreme caution is to be taken to ensure that no facility owned and maintained by this Department is damaged during construction. If damage occurs to any facilities, LeClaire Partners, LLC, will be held responsible for the cost of repairing or replacing them.

Please note that the details described above are valid for 90 days from the date of this letter,

Page 4 LeClaire Partners, LLC. May 12, 2022

after which time, LeClaire Partners, LLC. will be responsible for re-submitting plans to this Department for review and revision of the estimate of cost, as needed. *Failure to comply with the provisions in this correspondence may result in additional expenses to the proposed project to verify that all work conforms to the DWM's standards.*

If there are any questions regarding the water facilities, please contact Angela Krueger at Angela.Krueger@cityofchicago.org.

Sincerely,

here Indread

Andrea R.H. Cheng, Ph.D., P.E. Commissioner

COB

Email cc: DOB Plan Desk Denis E. Riordan, Chief Plumbing Inspector Exhibit F



CITY OF CHICAGO

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OFFICE OF BUDGET AND MANAGEMENT

July 14, 2022

Andrea R.H. Cheng, P.E., Ph.D. Commissioner Department of Water Management 1000 East Ohio Street Chicago, Illinois 60611

Re: LeClaire Courts Development – Water & Sewer Projects Funding Commitment

OUC File Nos. VD-108983 & VD-108895

Dear Commissioner,

As you are aware, LeClaire Partners LLC is working with the Department of Transportation ("CDOT") on Vacation and Dedication ordinances for the LeClaire Courts development as captioned above (the "Project"). The Project will include significant water and sewer investments as summarized in the attached Department of Water Management ("DWM") Proposed Dedication Ordinance letter dated July _____ 2022 and Proposed Vacation Ordinance letter dated May 12, 2022 (collectively the "DWM Ordinance Communications").

As is standard DWM protocol, DWM must receive a funding commitment for the underlying work before the Vacation and Dedication ordinances are proffered to the Chicago City Council. In this instance, the estimated costs are \$6,655,120 for DWM to perform the water infrastructure associated with the Vacation Ordinance and \$3,198,966.37 as the deposit needed by DWM as a surety for the sewer infrastructure associated with the Dedication Ordinance that will be performed and funded by the developer. Water and sewer infrastructure funding for development projects is not provided by DWM. CDOT, the Department of Planning and Development, the Office of the Chief Financial Officer, the Office of Budget and Management (OBM), and the Mayor's Office have discussed the identification of non-DWM funding for these costs.



CITY OF CHICAGO

OFFICE OF BUDGET AND MANAGEMENT

Through concurrence reached by, and at the behest of, the City entities noted above, through this communication OBM, commits to fully funding all of the DWM costs for the water infrastructure in the amount of \$6,655,120 such funding to be paid directly to DWM. OBM also commits to fund the deposit in the amount of \$3,198,966.37 as a surety to cover the estimated sewer costs in the event that the developer defaults or is otherwise unable to complete the sewer improvements. This commitment includes any additional funding that may be required for DWM to complete the work summarized in the DWM Ordinance Communications. Funding may be provided from multiple sources such as Tax-Increment Financing, bonds, and other sources. The work as summarized in the DWM Ordinance Communications shall have first priority for full funding with respect to any other infrastructure work that may be required for the Project.

Based on this commitment and assurances from the City entities noted above, DWM shall issue its approval of the OUC VD-108983 & VD-108895 requirements associated with the CDOT Vacation and Dedication ordinances for continued review by the Chicago City Council.

Susie Park Budget Director Office of Budget and Management

Commissioner Department of Water Management

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION 1 -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

LeClaire Partners, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. 🗹 the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

OR

3. \square a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the D	isclosing Party:	227 W Monroe	St, Ste 3000	
			Chicago, IL 60606	
C. Telephone: <u>312-795-8908</u>	Fax: <u>312</u>	-236-8936	Email: mcabrera@cabreracapital com	
D. Name of contact person:	Martin Cabrera			
E. Federal Employer Identifi	cation No. (if you	i have one):)	
F. Brief description of the M property, if applicable):	atter to which thi	s EDS pertains.	(Include project number and location of	
Vacations and dedications rolating to that nertain mixed-	ise redevelopment plan with affor	dable housing and commercial	development Icca'ed at LoClare Courts, 4400 S Cicore Ava, Chicago IL	
G. Which City agency or dep	artment is reques	ting this EDS?_	CDOT	
If the Matter is a contract bein complete the following:	ng handled by the	e City's Departm	nent of Procurement Services, please	

Specification # N/A and Contract # _____

Ver.2018-1

Page 1 of 15

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:				
Person	Limited liability company			
Publicly registered business corporation	Limited liability partnership			
Privately held business corporation	Joint venture			
Sole proprietorship	Not-for-profit corporation			
General partnership	(Is the not-for-profit corporation also a $501(c)(3)$)?			
Limited partnership	Yes No			
Trust	Other (please specify)			

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes No Grganized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
CCP LeClaire, LLC	Managing Member
Habitat LeClaire Courts LLC	Member

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name See attached	Business Address	Percentage Interest in the Applicant

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the l2-month period preceding the date of this EDS?

Docs the Disclosing Party reasonably expect to provide any income or comper	nsation to an	ny City
elected official during the 12-month period following the date of this EDS?	Yes	🖌 No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes 🔽 No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Busin retained or anticipated Addu to be retained)

Business Re Address (su lot

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fces (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

See attached

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

]Yes []No

Yes

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party. any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that the Disclosing Party (check one)
 is is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary): None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes Vo

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

٦No

Yes

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest	
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4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph $\Lambda(1)$ above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the A	Applicant?
Yes	No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes	🗌 No
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2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes	
-----	--

Γ	Reports	not	required
1	1		

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

□ No

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityotchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(See Opposite Signature Block)

(Print or type exact legal name of Disclosing Party)

By: ___

(Sign here)

(Print or type name of person signing)

(Print or type title of person signing)

LECLAIRE PARTNERS, LLC

By: CCP LeClaire, LLC, its Managing Member

By: Cabrera Capital Partners, LLC, its Managing Member

By: Name: Martin Cabrera

Title: CEO

Signed and sworn to before me on (date) July 12, 2023

at Look County, Ili vois (state). Notary Public

Commission expires: 2

JENNIFER GRAHAM OFFICIAL SEAL Notary Public, State of Illinois Ay Commission Expires July 22, 2024

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?



If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?



2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

No

 \checkmark The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

,

Yes

No

 \prod N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

Supplement to City of Chicago Economic Disclosure Statement and Affidavit for LeClaire Partners, LLC

Section II.B.2:

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Name	Business Address	Percentage Interest in the Applicant	
CCP LeClaire, LLC	227 W Monroe St, Ste 3000, Chicago, IL 60606	65% (direct)	
Cabrera Capital Partners, LLC	227 W.Monroe St, Ste 3000, Chicago, IL 60606	65% (indirect, via CCP LeClaire, LLC)	
Martin Cabrera	227 W Monroe St, Stc 3000, Chicago, IL 60606	35.64% (indirect, via Cabrera Capital Partners, LLC)	
Habitat LeClaire Courts LLC	350 W Hubbard St, Ste 500, Chicago, IL 60654	35% (direct)	
Habitat Acquisitions Company LLC	350 W Hubbard St, Ste 500, Chicago, IL 60654	31.5% (indirect, via Habitat LeClaire Courts LLC)	
The Habitat Company LLC	350 W Hubbard St, Ste 500, Chicago, IL 60654	31.5% (indirect, via Habitat Acquisitions Company LLC)	
Daniel E. Levin	350 W Hubbard St, Ste 500, Chicago, IL 60654	21.735% (indirect, via The Habitat Company LLC)	
Robert Aguilar	10 S LaSalle St, Ste 1050, Chicago, IL 60603	17.12% (indirect, via Cabrera Capital Partners, LLC)	
RCF-Cabrera Holdings, Inc.	634 W Hickory St, Hinsdale, IL 60521	12.24% (indirect, via Cabrera Capital Partners, LLC)	
Shah Family 2017 Gift Trust	634 W Hickory St, Hinsdale, IL 60521	12.24% (indirect, via RCF- Cabrera Holdings, Inc.)	

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Section IV:

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party	Fees (indicate whether paid or estimated)
Knight Architects & Engineering (retained)	221 N. LaSalle, Suite 300 Chicago, IL 60601	Architect & Engineering	Paid to date: \$495,990
Megalytics (retained)	4809 Ravenswood Avenue, Suite 215 Chicago, IL 60640	Market Study	Paid: \$22,000
Intefra Realty Resources (retained)	400 E. Randolph, Suite 715 Chicago, IL 60601	Market Study	Paid: \$6,500
Impact Media (retained)	3556 S. Seely Avenue, Suite 303 Chicago, IL 60609	Advertising	Paid: \$6,000
Mesirow Insurance Services (retained)	353 N. Clark, 10th Floor Chicago, IL 60654	Insurance	Paid: \$7,027 (annual)
Veritas Strategies (retained)	910 W. Van Buren St Suite 100 PMB 146 Chicago, IL 60607	Government Relations	Estimated: \$7,500
George Sollitt Construction Company (retained)	790 North Central Avenue, Wood Dale, IL 60191	Anticipated General Contractor	Estimated: \$1,000,000

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

CCP LeClaire, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. \checkmark a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: LeClaire Partners, LLC

OR

3. \square a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Di	sclosing Party:	227 W Monroe St, Ste 3000	
	Chicago, IL 6	50606	
C. Telephone: 312-795-8908	Fax: <u>312</u>	-236-8936	Email: mcabrera@cabreracapital.com
D. Name of contact person: $\underline{\underline{M}}$	Aartin Cabrera		
E. Federal Employer Identified	cation No. (if you	i have one):	· · · · · ·
F. Brief description of the Maproperty, if applicable):	atter to which thi	s EDS pertains.	(Include project number and location of
Vocal ons and ded cations relating to that nertain mixed ut	so radoveloomentip ku wilb wisu	tiable housing and commercia	development topate 1 at 1 - Clanw Tonets, 4400 S Dicero Avo. Clausino, 4L
G. Which City agency or depa	artment is reques	ting this EDS?_	CDOT
If the Matter is a contract bein complete the following:	ig handled by the	: City's Departm	nent of Procurement Services, please
Specification # N/A		and Contract	#
Ver.2018-1	Pa	age 1 of 15	

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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	Ý ·
 Indicate the nature of the Disclosing Pa Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	Inty: Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes No Grganized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
Martin Cabrera	Manager	
Robert Aguilar	Manager	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name See attached	Business Address	Percentage Interest in the Applicant

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

Does the Disclosing Party reasonably expect to provide any income or comper	isa	tion to any	City	,
elected official during the 12-month period following the date of this EDS?	٦	Yes	\checkmark	No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? Yes No

If "yes," please identity below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Busi retained or anticipated Add to be retained)

Business Relationsh Address (subcontra lobbyist, e

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (<u>indicate whether</u> <u>paid or estimated.</u>) **NOTE:** "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

 \square Yes \square No \square No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

]Yes 🗌 No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

٠.

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of - record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifics that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the Applicant?
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Yes	No
If "Yes," answer	the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes	No
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2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

	Yes	
--	-----	--

ין	10		Rep
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Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

🗌 Yes

		No	
--	--	----	--

If you checked "No" to question (1) or (2) above, please provide an explanation:

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SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all ' certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(See Opposite Signature Block)	CCP LECLAIRE. LLC
(Print or type <u>exact legal name</u> of Disclosing Party)	By: Cabrera Capital Partners, LLC, its Managing Member
Ву:	By: Marten Ceben fr
(Sign here)	Name: Martin Cabrera
	Title: CEO
(Print or type name of person signing)	
(Print or type title of person signing)	
Signed and sworn to before me on (date) July 12	2022.
at \underline{COOK} County, \underline{I} (state).	
Jennifer Gre Hotary Public	abam
Commission expires: July 22, 2024	
JENNIFER GRAHAM OFFICIAL SEAL	

My Commission Expires July 22, 2024

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?



If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?



No No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

]No

 \checkmark The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

 \bigvee N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

Supplement to City of Chicago Economic Disclosure Statement and Affidavit for CCP LeClaire, LLC

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Section II.B.2:

Name	Business Address	Percentage Interest in the Applicant
Cabrera Capital Partners, LLC	227 W Monroe St, Ste 3000, Chicago, IL 60606	100% (direct)
Martin Cabrera	227 W Monroe St, Ste 3000, Chicago, IL 60606	35.64% (indirect, via Cabrera Capital Partners, LLC)
Robert Aguilar	227 W Monroe St, Ste 3000, Chicago, IL 60606	17.12% (indirect, via Cabrera Capital Partners, LLC)
RCF-Cabrera Holdings, Inc.	634 W Hickory St, Hinsdale, L 60521	12.24% (indirect, via Cabrera Capital Partners, LLC)
Shah Family 2017 Gift Trust	634 W Hickory St, Hinsdale, IL 60521	12.24% (indirect, via RCF- Cabrera Holdings, Inc.)

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Cabrera Capital Partners, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: LeClaire Partners, LLC

OR

3. \square a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party:	227 W Monroe	e St, Ste 3000	
	Chicago, IL 60606		
C. Telephone: <u>312-795-8908</u> Fax: <u>312</u>	2-236-8936	Email: mcabrera@cabreracapital.com	
D. Name of contact person: Martin Cabrera			
E. Federal Employer Identification No. (if you	u have one): 📒		
F. Brief description of the Matter to which the property, if applicable):	is EDS pertains.	(Include project number and location of	
Vacations and decications retaining to that certain mixed-use to solve opinionil plan with alfo	idable housing and commercia	il davelopmenti ocaled al LeClare Courts, 4400 S Crearo Ave, Chicago IL	
G. Which City agency or department is reques	sting this EDS?	CDOT	
If the Matter is a contract being handled by the complete the following:	e City's Departn	nent of Procurement Services, please	
Specification # N/A	and Contract	#	
	age 1 of 15		

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	ity:
Person	Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the not-for-profit corporation also a $501(c)(3)$)?
Limited partnership	Yes No
Trust	Other (please specify)

5

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
See attached		
	• • • • • • • • • • • • • • • • • • •	
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2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
See attached		
		₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩₩

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

Does the Disclosing Party reasonably expect to provide any income or comper	isatio	on to any C	lity	
elected official during the 12-month period following the date of this EDS?	Υ	'es	$\mathbf{\nabla}$	No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Busine retained or anticipated Addres to be retained)

Business R Address (s lo

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (<u>indicate whether</u> <u>paid or estimated</u>.) **NOTE:** "hourly rate" or "t.b.d." is not an acceptable response.

See attached

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

٦Yes

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such-Contractor's or Affiliated Entity's contract or engagement-in-connection with the – Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base . Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC. Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary): N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes Vo

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NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

No

Ycs

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
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4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

ls	the	Disc	losing	Party	the	Applicar	ıt?
		_					

Yes]No
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If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes	🗌 No
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2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

	Yes	
--	-----	--

1	D	norto	not	***	wirad
	LC LC	pons	not	req	uired

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

If you checked "No" to question (1) or (2) above, please provide an explanation:

|]No

] No

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Cabrera Capital Partners, LLC				
(Print or type exact legal name of Disclosing Party)				
martin Calendo				
By: (Sign here)				
Martin Cabrera				
(Print or type name of person signing)				
CEO				
(Print or type title of person signing)				
Signed and sworn to before me on (date) Joly 12 2022.				
at Cook County, Illinois (state).				
Jennifer Graham				
Notary Public				
Commission expires: July 22, 2024				
JENNIFER GRAHAM OFFICIAL SEAL Notery Public, State of Illinois My Commission Expires July 22, 2024				

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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] Yes

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

🗌 Yes

No No

 $\sqrt{N/A}$ – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

Supplement to City of Chicago Economic Disclosure Statement and Affidavit for Cabrera Capital Partners, LLC

Section II.B.1:

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Name	Title
Martin Cabrera	CEO
Robert Aguilar	CFO/COO
Mark Kirincich	Principal
Daniel Ault	Principal

Section II.B.2:

Name	Business Address	Percentage Interest in the Applicant
Martin Cabrera	227 W Monroe St, Ste 3000, Chicago, IL 60606	35.64% (indirect, via Cabrera Capital Partners, LLC)
Robert Aguilar	227 W Monroe St, Stc 3000, Chicago, IL 60606	17.12% (indirect, via Cabrera Capital Partners, LLC)
RCF-Cabrera Holdings, Inc.	634 W Hickory St, Hinsdale, IL 60521	12.24% (indirect, via Cabrera Capital Partners, LLC)
Shah Family 2017 Gift Trust	634 W Hickory St, Hinsdale, IL 60521	12.24% (indirect, via RCF- Cabrera Holdings, Inc.)

Section IV:

1

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party	Fees (indicate whether paid or estimated)
Res Publica Group (retained)	333 N. Michigan Avenue, Suite 300 Chicago, IL 60601	Media Relations	Paid to date: \$77,635
Veritas Strategies (retained)	910 W. Van Buren St Suite 100 PMB 146 Chicago, IL 60607	Government Relations	Estimated: \$5,000

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

RCF - Cabrera Holdings, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

2. [x] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: LeClaire Partners, LLC

OR

3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party:	634 W. Hickory Street	
	Hinsdale, IL 60521	
C. Telephone:(312) 451-4664 Fax:/	A Email: bshah@paradigmtdx.com	
D. Name of contact person: <u>Badal Shah</u>		
E. Federal Employer Identification No. (if you	have one):	
F. Brief description of the Matter to which this property, if applicable):	s EDS pertains. (Include project number and location of	
Vacations and dedications relating to that certain mixed-use redevel LeClaire Courts, 4400 S Cicero Ave, Chicago, IL	lopment plan with affordable housing and commercial development located at	
G. Which City agency or department is reques	ting this EDS?CDOT	
If the Matter is a contract being handled by the complete the following:	City's Department of Procurement Services, please	
Specification # $\frac{N/\Lambda}{2}$	and Contract #	

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	arty:
[]Person	[] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[x] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[]Yes []No
[] Trust	[] Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[] Yes [] No [x] Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
Badal Shah	President	·····

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Shah Family 2017 Gift Trus	t 634 W. Hickory Street, Hinsdale, IL 60	521 12.24% (Indirect)

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [] Yes [x] No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [x] No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [] Yes [x] No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Business retained or anticipated Address to be retained) Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[x] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.
3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that the Disclosing Party (check one)
 [] is [x] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes []No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? [] Yes [] No

If "Yes," answer the three questions below:

Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
 Yes
 No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

[] Yes [] No [] Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[]Yes []No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

RCF - Cabrera Holdings, Inc.

(Print or type exact legal name of Disclosing Party)

By:

(Sign here)

Badal Shah

(Print or type name of person signing)

President

(Print or type title of person signing)

Signed and sworn to before me on (date) <u>July 12,2022</u>. at <u>Cook</u> <u>County, Illinois</u> (state). <u>JenDiFer</u> Graham Notary Public

Commission expires: July 22, 2024



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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes []No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[]Yes []No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[] Yes [] No [] The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes

[] No

[x] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Shah Family 2017 Gift Trust

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

2. [x] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: LeClaire Partners, LLC

OR

3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party:		634 W. Hickory Street	
		Hinsdale, IL 6	60521
C. Telephone: (773) 510-3541	Fax:(31	12) 931-6643	Email: soniabshah@gmail.com
D. Name of contact person:	Sonia Shah		
E. Federal Employer Identification	ation No. (if you	have one):	,
property, if applicable):		-	. (Include project number and location of rdable housing and commerical development located at LeClaire
G. Which City agency or depa	rtment is request	ting this EDS?	CDOT
If the Matter is a contract being complete the following:	g handled by the	City's Departr	nent of Procurement Services, please
Specification # <u>N/A</u>		_ and Contract	: #
Ver.2018-1	Pa	2e 1 of 15	

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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rty:
[] Person	[] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[]Yes []No
[x] Trust	[] Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[] Yes [] No [x] Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal, entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
• • • • • • • • • • • • • • • • • • •		
Sonia Shah	Trustee	
		<u> </u>

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
Sonia Shah	634 W. Hickory Street, Hinsdale, IL 60521	12.24% (Indirect)
Sonia Shah	634 W. Hickory Street, Hinsdale, IL 60521	12.24% (Indirect)

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [] Yes [x] No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [x] No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

[]Yes [x]No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Business retained or anticipated Address to be retained) Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (<u>indicate whether</u> <u>paid or estimated</u>.) **NOTE:** "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[x] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[]Yes []No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that the Disclosing Party (check one)

 is [x] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes [x]No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes []No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?
[] Yes
[] No

If "Yes," answer the three questions below:

Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
 Yes
 No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

[] Yes [] No [] Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the

equal opportunity clause?

[]Yes []No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Shah Family 2017 Gift Trust (Print or type exact legal name of Disclosing Party) By: (Sign here) Sonia Shah (Print or type name of person signing) Trustee (Print or type title of person signing) Signed and sworn to before me on (date) July 12, 2022 at Cook ___(state). Er Graham Illinois County, ent Notary Public Commission expires: JUJZZ, ZOZ4JENNIFER GRAHAM OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires

July 22, 2024

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes []No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[]Yes []No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[] Yes [] No [] The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes

[] No

[×] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

HABITAT LECLAIRE COURTS LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant OR

2. A legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: LECLAIRE PARTNERS, LLC

OR

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3. \square a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disc	closing Party:	350 W. Hubbard St., Ste. 500	
	-	Chicago, IL 60	654
C. Telephone: <u>312 527 5400</u>	Fax: 312 5	27 7440	Email: chamer@habilat.com
D. Name of contact person: Char	tion Hamer	·	
E. Federal Employer Identificat	tion No. (if you	u have one):	l
F. Brief description of the Matter property, if applicable):	er to which thi	is EDS pertai	ns. (Include project number and location of
Vacations and dedications relating to that certain Mix	led-use rodevelopment	plan with affordable h	ousing located at LoClaire Courts, 4400 S Cicero Ave, Chicago, L
G. Which City agency or depart	ment is reques	sting this EDS	5? CDOT
If the Matter is a contract being complete the following:	handled by the	e City's Depa	rtment of Procurement Services, please

Specification # N/A and Contract # N/A

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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Part	y:
Person	Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(TS the not-for-profit corporation also a 501(c)(3))?
I.imited partnership	Yes No
Trust	[] Other (please specify)
Sole proprietorship General partnership I.imited partnership	(Is the not-for-profit corporation also a 501(c)(3)) Yes No

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

1	Yes
---	-----

Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

No

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
(i) ENTITY HAS NO EXECUTIVE OFFICERS OR DIRECTORS	
(iv) NO MEMBER OR MANAGER DIRECTLY OR INDIRECTLY CONTROL	S THE APPLICANT

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name Habitat Acquisitions Company LLC	Business Address 350 W Hubbard St., Ste, 500, Chicago, IL 60654	Percentage Interest in the Applicant 31.5%
SECTION III INCO OFFÍCIALS	ME OR COMPENSATION TO, O	R OWNERSHIP BY, CITY ELECTED
	y provided any income or compensatiling the datc of this EDS?	on to any City elected official during the Yes Ves
-	ty reasonably expect to provide any interpretent to provid	
If "yes" to either of the a describe such income of		c(s) of such City clected official(s) and

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?



Yes

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entitics are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?





NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest
	······································	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 $[\checkmark]$ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of ¹ the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? Yes No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

No

No

No

Yes

If you checked "No" to question (1) or (2) above, please provide an explanation:
SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information submitted in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

(See Opposite Signature Block)

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

HABITAT LECLAIRE COURTS LLC

By. Habitat Acquisitions Company LLC, its Manager

(Print or type exact legal name of Disclosing Party)

By: The Habitat Company LLC, its Manager

By: (Sign here)

By. _____ Name: Stephen F. Galler Its: Executive Vice President / General Counsel

(Print or type name of person signing)

(Print or type title of person signing)

1.2,202.2 Signed and sworn to before me on (date)? Notary Public OFFICIAL SEAL

Commission expires:

10/21/24

OFFICIAL SEAL LORI FRANCINE CHACOS NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES 10/21/24

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes



If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes / No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?



.

No

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

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No

N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

HABITAT ACQUISITIONS COMPANY LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR 2. \bigvee a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: LECLAIRE PARTNERS, LLC

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Dis	closing Party:	350 WEST H	350 WEST HUBBARD STREET SUITE 500		
		CHICAGO, IL	60654		
C. Telephone: (312) 527-5400	Fax: (312)	527-7440	Email: chamer@habitat.com		
D. Name of contact person: Ch	arlton Hamer				
E. Federal Employer Identific	ation No. (if you	u have one):			
F. Brief description of the Ma property, if applicable):	tter to which thi	is EDS pertai	ns. (Include project number and location of		
Vacations and dedications relating to that certain N			ousing located at LBC/airo Courts, 4400 S Cicoro Ave, Chicago, IL		
G. Which City agency or depa	rtment is reques	sting this ED	S?		
If the Matter is a contract being complete the following:	g handled by the	e City's Depa	rtment of Procurement Services, please		
Specification # N/A		and Contra	act #		
V 2018 1	n				

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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

	1. Indicate the nature of the Disclosing Part	y:	
	Person	\checkmark	Limited liability company
	Publicly registered business corporation		Limited liability partnership
<u> </u>	Privately held business corporation	[Joint venture
	Sole proprietorship		Not-for-profit corporation
	General partnership	רק נוצ	the not-for-profit corporation also a 501(c)(3))?
⊢	Limited partnership		Yes No
	Trust	[]	Other (please specify)
L	J		••••••••••••••••••••••••••••••••••••••

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

ILLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

✓ Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

No

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
(i) ENTITY HAS NO EXECUTIVE OFFICERS OR DIRECTORS	
(iv) NO MEMBER OR MANAGER DIRECTLY OR INDIRECTLY CONTROL	S THE APPLICANT

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name The Habitat Company LLC	Business Address 350 W. Hubbard St., Ste. 500, Chicago, IL 60654	Percentage Interest in the Applicant 31.5%
·		
·		
SECTION III IN OFFICIALS	COME OR COMPENSATION TO,	OR OWNERSHIP BY, CITY ELECTED
_	arty provided any income or compensated any income or compensated at the compensated of this EDS?	tion to any City elected official during the Yes Vo
	Party reasonably expect to provide any g the 12-month period following the data	
If "yes" to either of t describe such incom		nc(s) of such City clected official(s) and

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

✓ No

Yes

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes

No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?



No

/No

B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. arc not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the incligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?





NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	Business Address	Nature of Financial Interest	
A		· · · · · · · · · · · · · · · · ·	
· · · · · · · · · · · · · · · · · · ·			
	······································		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

·

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

ļ

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes	
-----	--

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CFR Part 60-2.)

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

Yes

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

No

No

Yes No

If you checked "No" to question (1) or (2) above, please provide an explanation:

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SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information submitted in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

(See Opposite Signature Block)	HABITAT ACQUISITIONS COMPANY LLC
(Print or type exact legal name of Disclosing Party)	_
By:(Sign here)	By: The Habitat Company LLC, its Manager By:
	Its: Executive Vice President/General Counse
(Print or type name of person signing)	
(Print or type title of person signing)	
Signed and sworn to before me on (date)	12, 2032.
at Cook County, Illinois (state).
Commission expires: $\frac{10/31/34}{}$	OFFICIAL SEAL LORI FRANCINE CHACOS NOTÁRY PUBLIC - STATE ÓF ILLINOIS MY COMMISSION EXPIRES: 10/21/24

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes



If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.anlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

THE HABITAT COMPANY LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. \Box the Applicant OR

2. A legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: LECLAIRE PARTNERS, LLC

OR

3. \square a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party:		350 W. HUBBARD ST	350 W. HUBBARD ST STE 500			
			CHICAGO, IL 60654			
C.	Telephone: (312) 527-5400	Fax: <u>(3</u>	?) 527-7440	Email:	chamer@habital.com	

D. Name of contact person: Charlton Harner

E. Federal Employer Identification No. (if you have one): 3

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Vacations and ded cations relating to that contain Mixed-use redevalopment plan with affordable bousing, coated int tooCoure Charts, 4400 S Cicero Ave, Chicago, Hu

G. Which City agency or department is requesting this EDS? CDOT

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract #

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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

	1. Indicate the nature of the Disclosing Part	y:	
	Person	1	Limited liability company
	Publicly registered business corporation	Γ	Limited liability partnership
	Privately held business corporation	[Joint venture
	Sole proprietorship	-	Not-for-profit corporation
┢──	General partnership	(IS	the not-for-profit corporation also a 501(c)(3))?
┝─	Limited partnership		Yes No
	Trust	[]	Other (please specify)
<u> </u>	1		

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

ILLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

	Y	es
--	---	----

s		
-		

Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

No

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
(I) SEE EXHIBIT "A" ATTACHED HERETO AND MADE A PART HEREOF	
(IV) NO MEMBER OR MANAGER DIRECTLY OR INDIRECTLY CONTROL	S THE APPLICANT

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name Daniel E Levin	Business Address 350 W. Hubbard St., Ste. 500, Chicago, IL 60654	Percentage Interest in the Applicant 21.735%
SECTION III OFFICIALS	INCOME OR COMPENSATION TO	, OR OWNERSHIP BY, CITY ELECTED
	sing Party provided any income or compensed preceding the date of this EDS?	sation to any City elected official during the Yes No
	osing Party reasonably expect to provide ar during the 12-month period following the	
	er of the above, please identify below the nancome or compensation:	amc(s) of such City elected official(s) and
inquiry, any Ci	elected official or, to the best of the Disclos ty elected official's spouse or domestic part of the Municipal Code of Chicago ("MCC"	tner, have a financial interest (as defined in
	identify below the name(s) of such City el describe the financial interest(s).	ected official(s) and/or spouse(s)/domestic
SECTION IV	DISCLOSURE OF SUBCONTRACT	ORS AND OTHER RETAINED PARTIES
The Disclosing	Party must disclose the name and business fined in MCC Chapter 2-156), accountant.	address of each subcontractor, attorney,

lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, ctc.) Fces (<u>indicate whether</u> <u>paid or estimated</u>.) **NOTE:** "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes

√No

No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?



B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud: embezzlement; theft; forgery; bribery; falsitication or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?



NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

		No
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INo

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name	e .	Business Address	Nature of Financial Interest
	·	1 	
		■ 11.001.00 100 100 100 100.000 10.000 10.000 10.00000000	
·			

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

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1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress. an officer or employee of Congress, or an employee Ver 2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the	Disclosing	Party	the	Apr	olicant?
	Yes			Ń	No

If "Ycs," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

Yes

Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the

equal opportunity clause? Yes

No

No

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, II. 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information submitted in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

THE HABITAT COMPANY LLC (Print or type exact legal name of Disclosing Party) By: (Sign here)

STEPHEN F. GALLER

(Print or type name of person signing)

EXECUTIVE VICE PRESIDENT/GENERAL COUNSEL

(Print or type title of person signing)

Signed and sworn to before mc on (date) County, ILLINOIS (state). Notary Public

Commission expires:

10

OFFICIAL SEAL LORI FRANCINE CHACOS NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES: 10/21/24

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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Yes

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?



No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

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EXHIBIT "A"

City of Chicago Economic Disclosure and Affidavit The Habitat Company LLC SECTION II: DISCLOSURE OF OWNERSHIP INTERESTS

B. 1(1) all executive officers and all directors of the entity

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DANIEL E. LEVIN	CHAIRMAN / MANAGER	
MATTHEW G. FIASCONE	PRESIDENT / MANAGER	
STEPHEN F. GALLER	EXECUTIVE VICE PRESIDENT / GENERAL COUNSEL	
	MANAGER	
SHEILA BYRNF	EXECUTIVE VICE PRESIDENT / PROPERTY	
	MANAGEMENT / MANAGER	
THOMAS BLACK	SENIOR VICE PRESIDENT, ARCHITECT &	
	DIRECTOR OF PROJECT MANAGEMENT	
MICHAEL CARSON	SENIOR VICE PRESIDENT / CHIEF INFORMATION	
	OFFICER	
DIANE ROTH	SENIOR VICE PRESIDENT (FINANCE	
CHARLTON HAMER	SENIOR VICE PRESIDENT / PUBLIC HOUSING	
	PORTFOLIO	
LORI CHACOS	VICE PRESIDENT / ASSISTANT GENERAL COUNSEL	
SHYRLDEN CARMICHAEL	VICE PRESIDENT / BUSINESS OPERATIONS	
JEFFREY D. HEAD	VICE PRESIDENT / COMMUNITY DEVELOPMENT	
	GROUP	
LORI FLASKA	VICE PRESIDENT / HUMAN RESOURCES	
GINA FORTUNE-HARMON	VICE PRESIDENT / MARKET RATE	
MAUREEN VAUGHN	VICE PRESIDENT / MARKETING &	
	COMMUNICATIONS	
HAGOP (JACK) DEVEDJIAN	VICE PRESIDENT / FACILITIES MANAGEMENT AND	
	ENGINEERING	
DAVID BARNHART	VICE PRESIDENT / CONDOMINIUM MANAGEMENT	
ZACK ZALAR	VICE PRESIDENT / ACQUISITIONS & INVESTMENTS	
ANNA LU	VICE PRESIDENT & CONTROLLER	
JANAY HAYES-MOHAMED	VICE PRESIDENT	

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