

City of Chicago



O2023-71

Office of the City Clerk

Document Tracking Sheet

Meeting Date:

1/18/2023

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 10-F at 4005 S Dearborn

St - App No. 22078

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

#22078 INTRO DATE JAN. 18, 2023

ORDINANCE

BE IT ORDAINED BY THE.CITY COUNCIL OF THE CITYOF CHICAGO

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RT-4 Residential Two-Flat, Townhouse and Multi-Unit District symbols and indication as shown on Map No. 10-F in the area bounded by:

East 40th Street; the alley next east of and parallel to South Dearborn Street; the alley next south of and parallel to East 40th Street; and South Dearborn Street.

to those of a C1-2 Neighborhood Commercial District.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Common Address of Property: 4005 South Dearborn Street

#22078 INTRO DATE JAN 18,2023

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

I.	ADDRESS of the property Applicant is seeking to rezo	ne:
	4005 South Dearborn Street	· · · · · · · · · · · · · · · · · · ·
2.	Ward Number that property is located in: 3 APPLICANT New Horizons Steel, LLC	
	ADDRESS 411 East 71st Street	CITY Chicago
	STATE IL ZIP CODE 60619	PHONE 773.844.8261
	EMAIL csmith@newshorizonssteel com CONTACT PERSON	N Corey Smith
4.	Is the applicant the owner of the property? YESIf the applicant is not the owner of the property, please provegarding the owner and attach written authorization from application to proceed.	vide the following information
	OWNER City of Chicago	
	ADDRESS 121 North LaSalle Street	CITY Chicago
	STATE IL ZIP CODE. 60602	PHONE 312.744.4565
	EMAIL patrick.brutus@cityofchicago.org CONTACT PERSON	Patrick Brutus
5.	If the Applicant/Owner of the property has obtained a the rezoning, please provide the following information: ATTORNEY Fisher Cohen Waldman Shapiro, LL	•
	ADDRESS 1247 Waukegan Road Suite 100	<u></u>
	CITY Glenview STATE IL ZIP	CODE 60025
	PHONE 224.260.3090 FAX 224.260.3089	EMAIL mlenz@Fishercohen.com

Corey Smith, Mana	ager and Sole	Member		
				
				
				
On what date did the	owner acquire le	gal title to the s	ubject property? City	Owned
Has the present owner NO	previously rezon		• .	
Propert Zanina Distri		Duamaga	d Zamina District	1.2
Present Zoning Distri		•	a Zoning DistrictC	1-2
Lot size in square feet	(or dimensions).	62 X 128		
Current Use of the pro	perty 3 story v	vacant buildin	g	·
Reason for rezoning th	ie property To ∞nv	vert Firehouse station	for Professional offices for Ap	plicant;
a Structural Steel erection co	mpany			
units; number of parking proposed building. (H	ng spaces; Offic BE SPECIFIC)	e use is a comm	oning. Indicate the nun ercial space; and heigh	nt of the
			l steel erection company cor	sisting
of approximate 2,569.59 sq			· · · · · · · · · · · · · · · · · · ·	
Main office for Applicant. No	o dwelling units. 11 pa	arking spaces, zero	SF of commercial space.	
The Affordable Requir a financial contributio change which, among Developments, increa www.cityofchicago.o	n for residential h other triggers, in ses the number o	ousing projects creases the allow of units (see atta	with ten or more units wable floor area, or, fo	that receive a zon r existing Planne
•				

COUNTY OF COOK STATE OF ILLINOIS



www.exactaland.com.j.office 773 305 4011

PROPERTY ADDRESS: 4005 S DEARBORN STREET, CHICAGO, ILLINOIS 60609

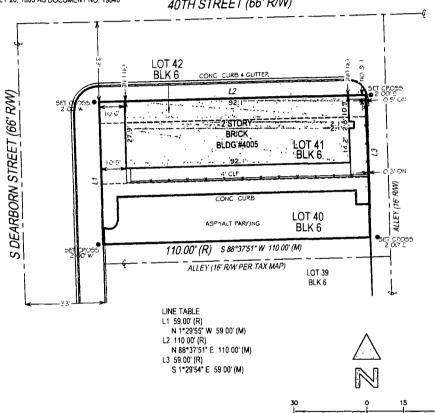
SURVEY NUMBER: 2210 3692

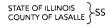
2210.3692 **BOUNDARY SURVEY** COOK COUNTY

> LOTS 40, 41 AND 42 IN BLOCK 6 IN RAWSON & ACKERLY'S SUBDIVISION OF THE NORTH 74 RODS OF THE NORTHEAST 1/4 OF SECTION 4, TOWNSHIP 38 NORTH, RANGE 14. EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING EAST OF THE CHICAGO ROCK ISLAND AND PACIFIC RAILROAD, ACCORDING TO THE PLAT THEREOF RECORDED JULY 20, 1869 AS DOCUMENT NO. 19848

TOTAL AREA OF PROPERTY SURVEYED 6490 SQ FT.±

40TH STREET (66' R/W)





LICENSE EXPIRES 11/30/2024 EXACTA LAND SURVEYORS, LLC

PROFESSIONAL DESIGN FIRM 184008059-0008

THIS IS TO CERTIFY THAT THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY GIVEN UNDER MY HAND AND SEAL THIS DATE HEREON

ILLINOIS PROFESSIONAL LAND SURVEYOR No. 2971

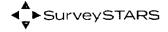
KACTA

POINTS OF INTEREST:

NONE VISIBLE

Exacta Land Surveyors, ELC PLS#184008059 ip 773-005-4011

316 East Jackson Street | Morris (L 60450



GRAPHIC SCALE (In Feet) 1 inch = 30' ft

DATE OF SURVEY: 10/27/22 FIELD WORK DATE: 10/27/2022

REVISION DATE(S): (REV3 1/10/2023) (REV3 12/28/2022) (REV2 10/31/2022) (REV1 10/27/2022)

SEE PAGE 2 OF 2 FOR LEGAL DESCRIPTION PAGE 1 OF 2 - NOT VALID WITHOUT ALL PAGES

"WRITTEN NOTICE" FORM OF AFFIDAVIT (Section 17-13-0107)

January 18, 2023

Honorable Thomas M. Tunney Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Mark Lenz, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately January 18, 2023.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Mark Lenz, on behalf of applicant

Subscribed and sworn to before me this 4th day of January, 2023.

otary Public

Official Seal Lucille F McCoy Notary Public State of Illinois My Commission Expires 09/18/2024 January 18, 2023

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about January 18, 2023, the undersigned will file an application for change in zoning from RT-4 to C1-2 on behalf of the "applicant", New Horizons Steel, LLC, for the property located at 4005 South Dearborn Street.

The applicant intends to use the subject property for its corporate offices and ancillary uses, renovating the existing structure improving the premises and constructing a rooftop addition to the existing building.

The applicant, New Horizons Steel, LLC, is located at 411 East 71st Street, Chicago, Illinois 60619. The property owner is the City of Chicago Department of Planning and Development located at 121 N. LaSalle St., Ste 1000. The contact person for this application is Corey Smith, 411 East 71st Street, Chicago, IL 60619. His phone number is (773) 844-8261. I am the attorney representing for the applicant and can be reached at (224) 260-3090

Please note that the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Very truly yours,

Mark Lenz

On behalf of New Horizons Steel, LLC



DEPARTMENT, OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

December 28, 2022

Chairman Thomas Tunney Committee on Zoning, Landmarks and Building Standards 121 N LaSalle St, Room 304 Chicago, IL 60602

Re: Owner's Consent to file Zoning Change Map Amendment

4005 S Dearborn

PIN 20-04-216-001 and -002

Dear Chairman Tunney.

This letter serves to inform you that the City of Chicago owns the above referenced property at 4005 S Dearborn Street ("Subject Property"), and consents to New Horizon Steel ("Applicant") to file a Zoning map amendment to rezone the property from RT-4 to C2-1 to support the repurposing of the former firehouse into the new offices for New Horizon Steel.

The applicant has submitted an application for the purchase of the property from the City of Chicago. The consent letter does not constitute the City's approval of the exhibits in the zoning amendment application.

Sincerely,

Maurice D. Cox Commissioner

CC: P. Murphey, Zoning Administrator

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

SECTION 1 - GENERAL IN ORGANITON
A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
New Horizons Steel, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:
1. [✓] the Applicant OR
2. [] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:
OR 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(I)) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 411 East 71st Street
Chicago, IL 60619
C. Telephone: (773) 844-2861 Fax: NONE Email: csmith@newhorizonsteel.com
D. Name of contact person: Corey Smith
E. Federal Employer Identification No. (if you have one):
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):
zoning amendment at 4005 South Dearborn Street
G. Which City agency or department is requesting this EDS? Bureau of Zoning
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification# N/A and Contract# N/A

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	Y
 Indicate the nature of the Disclosing Pa Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	arty: [/] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign cour	ntry) of incorporation or organization, if applicable:
3. For legal entities not organized in the State business in the State of Illinois as a foreign en	e of Illinois: Has the organization registered to do tity?
[] Yes [] No	Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compared to the compar	oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or it of the Applicant.
NOTE: Each legal entity listed below must su	abmit an EDS on its own behalf.
Name Corey Smith	Title Manager
indirect, current or prospective (i.e. within 6 n ownership) in excess of 7.5% of the Applicant	concerning each person or legal entity having a direct or nonths after City action) beneficial interest (including t. Examples of such an interest include shares in a nip or joint venture, interest of a member or manager in a
corporation, partnership interest in a partnersh	mb or lount sentate, uncrear of a member of manager in a

Ver.2018-1

NOTE: Each legal en	tity listed below may be require	ed to submit an EDS on its own behalf.	
Name Corey Smith, sole Member	Business Address 411 East 75th Street	Percentage Interest in the Appl	icant
SECTION III INC OFFICIALS	OME OR COMPENSATION	N TO, OR OWNERSHIP BY, CITY E	LECTE
	rty provided any income or coreding the date of this EDS?	mpensation to any City elected official du	ring the
		ide any income or compensation to any C g the date of this EDS? [] Yes	lity √ No
If "yes" to either of the describe such income	• •	the name(s) of such City elected official(s) and
Door any City sleeted	ted official's spouse or domestic	visclosing Party's knowledge after reasons c partner, have a financial interest (as def ICC) in the Disclosing Party?	
inquiry, any City elect	[v] No		

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)

Business Address

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (<u>indicate whether</u> paid or estimated.)

NOTE:

"hourly rate" or "t.b.d." is not an acceptable response.

UB Studio "retained"

308 North Pine St, Mt Pospect, IL 60056

Architect

\$10,000 Estimated

Fisher Cohen Waldman Shapiro, LLP "retained" 1247 Waukegan R, Glenview, IL 60025 Attorney

\$15,000 Estimated

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHERCERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a.' are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties"); any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance time frame supersedes 5-year compliance time frames in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management (SAM).
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired

Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.
11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
 The Disclosing Party certifies that the Disclosing Party (check one) is is is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in

Page 7 of 15

Ver.2018-1

or to be hired in connection with the Matter certifications equal in form and substance to those in

MCC Section 2-32		pecause it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
	" the word "None," or no response a med that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	ION REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS
Any words or term	ns defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable in		he best of the Disclosing Party's knowledge e of the City have a financial interest in his or entity in the Matter?
Yes	√ No	
	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employ other person or en taxes or assessment "City Property Sa	ee shall have a financial interest in l tity in the purchase of any property nts, or (iii) is sold by virtue of legal	pidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, seen pursuant to the City's eminent domain the meaning of this Part D.
Does the Matter in	nvolve a City Property Sale?	
[/] Yes	No	
3. If you checked or employees have	l "Yes" to Item D(1), provide the na ing such financial interest and ident	umes and business addresses of the City offici-
	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee. E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. A. CERTIFICATION REGARDING LOBBYING List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay Ver.2018-1 Page 9 of 15

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

New Horizons Steel, LLC	
(Print or type exact legal name of Disclosing Party) By: (Sign here)	•
Corey Smith	
(Print or type name of person signing)	
Manager	
(Print or type title of person signing)	
Signed and sworn to before me on (date) Office at County, Office (state) Notary Public	OFFICIAL SEAL DEBORAH WEATHERS-LEE NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES: 10/15/23
Commission expires: USA W (5, 2023	•

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

7] No

which such person is connecte	ed; (3) the name	and title of the	h person, (2) the name of the legal of elected city official or department	head to
whom such person has a fami	lial relationship,	, and (4) the pre	ecise nature of such familial relation	ıship.
				_
		•••		

Yes

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

al entity which			
Pursuant to Mo	CC Section : m landlord :	2-154-010, is pursuant to I	s the Applicant or any Owner identified as a building co MCC Section 2-92-416?
Yes	✓	No	•
			ly traded on any exchange, is any officer or director of escofflaw or problem landlord pursuant to MCC Section
Yes	✓	No	The Applicant is not publicly traded on any exchange
a building code	scofflaw or	problem lan	fy below the name of each person or legal entity identification and the address of each building or buildings to w
a building code	scofflaw or	problem lan	
a building code	scofflaw or	problem lan	
a building code	scofflaw or	problem lan	
a building code pertinent code	scofflaw or violations a	problem lan	
a building code	scofflaw or violations a	problem lan	adlord and the address of each building or buildings to w

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIXC

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

	1o
i /1	N/A- I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
	This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). If you
C	thecked "no" to the above, please explain.
-	
-	