

Office of Chicago City Clerk



O2011-3761

Office of the City Clerk

City Council Document Tracking Sheet

Meeting Date:

5/4/2011

Sponsor(s):

Mayor Daley

Type:

Ordinance

Title:

Concession agreement related to Jay Pritzker Pavilion

Committee(s) Assignment:

Committee on Special Events and Cultural Affairs



OFFICE OF THE MAYOR CITY OF CHICAGO

HICHAHD M. DALEY

May 4, 2011

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Cultural Affairs and Special Events, I transmit herewith an ordinance authorizing the execution of a concession agreement related to the Jay Pritzker Pavilion.

Your favorable consideration of this ordinance will be appreciated.

Very truly yours,

ORDINANCE

WHEREAS, the Department of Cultural Affairs and Special Events of the City (the "Department") wishes to retain a food and beverage concessionaire on the east concession pad adjacent to the lay Pritzker Pavilion at Millennium Park (and such satellite locations in Millennium Park as determined by the Department); and

WHEREAS, the Department issued a Request for Proposals in 2011, and, after evaluating responses, selected Lincoln Park Brewery, d/b/a Goose Island Brewery (Goose Island), as the concessionaire; and

WHEREAS, the Department and Goose Island have agreed to the terms of the concession, which are reflected in the concession agreement which is attached hereto as Exhibit A; and

WHEREAS, the Department wishes for the City Council to authorize the Department to negotiate and enter into such concession agreement;

NOW THEREFORE, it is ordained by the City Council of the City as follows:

- 1. The recitals are incorporated herein.
- 2. The Commissioner of the Department of Cultural Affairs and Special Events is authorized to negotiate and enter into a concession agreement with Goose Island, in substantially the form attached hereto as Exhibit A, with such other terms as determined by the Commissioner, provided that they are favorable to the City. The Commissioner is authorized to enter into such other ancillary documents as are necessary in connection with the concession agreement.
- 3. In accordance with Section 6-15 of the Liquor Control Act of 1934, as amended, alcoholic liquor may be sold or delivered pursuant to applicable provisions of the Municipal Code of Chicago by the concessionaire, at the concession location(s) as specified in the Agreement.
- 4. This ordinance is effective upon its passage and approval.

Exhibit A Concession Agreement

MILLENNIUM PARK CONCESSION LICENSE AGREEMENT

This Agreement ("Agreement") is made this	day of, 2011, by and
between the City of Chicago, a municipal corporation and home	rule unit of government under the
Constitution of the State of Illinois, by and through the Dep	partment of Cultural Affairs and
Special Events (hereinafter referred to as "Licensor" or the "C	ity"), and Lincoln Park Brewery,
Inc., an Illinois corporation d/b/a Goose Island Brewing	Co. (hereinafter referred to as
"Licensee").	•

WITNESSETH:

WHEREAS, Licensor wishes to retain a food and beverage concessionaire on the east concession pad adjacent to the Jay Pritzker Pavilion at Millennium Park (and such satellite locations in Millennium Park as may be determined by the City), for designated hours on concert days in Millennium Park; and

WHEREAS, Licensee represents that it is ready, willing and able to conduct the operation of such concession at Millennium Park; and

WHEREAS, the Licensor deems it in the public interest and beneficial to itself and to the operation of Millennium Park to grant unto the Licensee a license to operate said concession and the rights and privileges as herein set forth;

PART I - SPECIAL PROVISIONS

SECTION 1.

PREMISES

A. <u>Premises</u>. Licensor, in consideration of the compensation and the sundry covenants and agreements set forth herein to be kept and performed by Licensee, does hereby grant unto Licensee upon the conditions hereinafter set forth, all of which Licensee accepts subject to the terms of this Agreement, a license as described in <u>Section 3</u> hereof to use those spaces at Millennium Park which are more particularly described in <u>Exhibit A-1</u> for the purposes as described in this Agreement and for no other purpose whatsoever.

All licensed spaces described in Exhibit A-I may be collectively referred to herein as "the

Premises" and are depicted in their approximate locations on the drawings attached as <u>Exhibit</u> <u>A-2</u>.

B. <u>Additional Operations</u>. The Commissioner of the Department of Cultural Affairs and Special Events ("Commissioner") reserves the right to designate additional concession sites in Millennium Park to be operated by Licensee during the term of this Agreement (the "Additional Sites"), provided the terms of Section 10-36-140 of the Municipal Code of the City are followed. The operation of Additional Sites is limited to no more than thirty five (35) dates during the Season described in <u>Section 2</u> and is subject to the terms and conditions of this Agreement. Nothing herein shall be construed as granting any permit for any Additional Sites.

SECTION 2.

TERM

The term of this Agreement shall commence on May 1, 2011 ("Effective Date"), and shall terminate on the first anniversary of the Effective Date, unless terminated earlier pursuant to the terms of this Agreement. The parties may extend the term of the Agreement for two additional terms of one year each. Such extensions must be by mutual agreement; either party may request the extension by written notice to the other.

Concession services under this Agreement will be provided only on scheduled event dates during the period starting May I, 2011 through September 21, 2011 (the "Season"). A tentative list of scheduled event dates for the Season is attached as <u>Exhibit I</u>. The City may add, remove or change events on the list in its sole discretion. The City will provide notice of confirmed scheduled events, including scheduled starting and ending times, to Licensee at least fourteen (14) days prior to each event.

In the event that Licensor wishes to have a concessionaire at the Concession Site after the end of the Season, during the period October 1, 2011 through April 30, 2012 (the "Extended Season"), and publicly solicits requests for proposals and/or qualifications to obtain such services, Licensor shall offer the concession services for the Extended Season to Licensee on the same terms and conditions contained in the response that would have otherwise been selected by Licensor. Licensee shall have 15 days from and after receipt thereof to decide whether to provide the concession services for the Extended Season on such terms and conditions. If Licensee shall give notice of intent not to provide the services or shall give no notice within the time specified above, Licensor may proceed with any other concessionaire. If Licensee notifies Licensor that it elects to provide the concession services for the Extended Season on such terms and conditions, the parties shall enter into a contract based upon such terms and conditions, subject to approval by City Council.

In the event Licensee shall, with the consent of the Licensor, hold over and remain in possession of the granted Premises after the expiration of the term of this Agreement, such holding over shall not be deemed to operate as a renewal or extension of this Agreement, but shall only create an occupancy from month-to-month on the same terms, conditions, and covenants, including consideration, herein contained.

SECTION 3.

GENERAL DESCRIPTION OF THE CONCESSION

A. Purpose/Operating Rights

- 1. <u>Concession Pad</u>. Licensor grants to Licensee an exclusive right to use the Concession Pad for the preparation of food to be served, and the operation of a food and beverage concession selling alcoholic and non-alcoholic beverages and foods:
 - a. at the Concession Pad and in the Seating Space (as depicted on Exhibit A-1) and any other spaces which may be legally operated by Licensee in Millennium Park from time to time in conjunction with scheduled events during the Season and the hours specified in Section 9 hereof ("Concession Hours"); and
 - b. at events, if any, which may be held by the City in the Seating Space during the Season as specified in <u>Section 4</u> hereof, provided that nothing contained herein shall be construed as otherwise giving Licensee an exclusive right to cater events in Millennium Park.
- 2. <u>Seating Space</u>. Licensor grants to Licensee a right to use the Seating Space to serve food and beverages to customers seated in the Seating Space during Concession Hours during the Season. Licensee recognizes that Licensor may, at the same time that Licensee is serving customers in the Seating Space, use the Seating Space for other purposes consistent in the Commissioner's judgment with Licensee's use, including, but not limited to, staging musical performances and special programs.
- B. <u>Activities and Equipment Permitted at the Concession Site</u>. Alcoholic beverage sales are limited to beer and wine only.
- C. <u>Conflicts between Concessions</u>. Subject to the second sentence of this paragraph, in the event of a conflict between Licensee's concession and that of any other licensee at Millennium Park, Licensee agrees that the Commissioner shall make the final decision as to which activities may be conducted by Licensee and agrees to be bound by such decision of the Commissioner. Licensor acknowledges and agrees that Licensee shall have the exclusive right

to conduct a food and/or beverage concession (but not an exclusive right to perform catering services) as a food service provider at the Concession Site in accordance with the terms of this Agreement.

Licensee understands and agrees that its operation under this Agreement is a service to the general public and persons using the Millennium Park and that Licensee shall conduct its operation in a safe, first-class, businesslike, efficient, courteous and accommodating manner. The Commissioner and Millennium Park management staff ("MP Staff") shall have the right to approve the following: menu and product selection, product display, product packaging, the quality of service provided on the Premises and the character of the appearance and condition of the Premises. Licensee agrees to promptly discontinue or remedy any objectionable practice. Failure to comply with the foregoing shall constitute a material breach of this Agreement.

Licensee understands and agrees that its operation at Millennium Park necessitates the rendering of the following public services: making reasonable change, giving directions and assisting the public generally.

Licensee shall conduct a businesslike operation on the Premises. All food served must be top quality, fresh, and well prepared. Licensee shall maintain an adequate staff on the Premises and use the utmost skill and diligence in the conduct of Licensee's business on the Premises. All employees of Licensee shall be courteous and helpful to the public.

Licensee shall designate a local representative experienced in management and supervision, who has sufficient authority and responsibility to insure proper operation of the concession, to render decisions, and to take all necessary action in connection with this Agreement. Such a person (or his or her authorized representative) shall be available whenever the concession is in operation.

SECTION 4.

GENERAL DESCRIPTION OF LICENSEE'S RIGHT OF CATERING EVENTS IN MILLENNIUM PARK

A. <u>Exclusivity</u>. From time to time, the Licensor may rent the Seating Space of the Concession Site to interested persons or organizations for private parties or events on any days in the Season. Licensee has the exclusive right to cater such events at the Concession Site. Licensee acknowledges that Licensee's exclusive privilege to cater events at Millennium Park is limited to the foregoing, and that the Licensor may permit other parties to cater events at Millennium Park that do not fall within Licensee's exclusive right.

B. <u>Payments for Use of Seating Space</u>. Licensee acknowledges that the fee paid by any third party to the Licensor for use of the Seating Space shall be the sole property of Licensor, and that Licensee's sole compensation for catering such events shall be the catering fee which Licensee charges such third party, which fees shall be included within the definition of Net Revenues as described in <u>Section 5</u> hereof

SECTION 5.

LICENSE FEE

A. Percentage License Fee/Minimum Guaranteed License Fee. In consideration for the concession in Millennium Park and for the rights specified hereunder, on July 15, 2011 for sales made in the period from the commencement of the Season to June 30, 2011 and on the fifteenth (15th) day of each month thereafter until the month following the end of the Season, for sales made in the previous calendar month, Licensee agrees to pay Licensor the monthly license fee ("License Fee") described in Exhibit G. Payments will be made to the Chicago Office of Tourism and Culture, 201 East Randolph Street, Chicago, IL 60601, Attn: Private Events Office.

For the purposes of calculating the License Fee described in <u>Exhibit G</u>, Net Revenues will begin to accumulate on the opening date for the Season and such accumulation will end on the last day of the Season.

- B. <u>Monthly Report</u>. Licensee, on July 15, 2011 for sales made in the period from the commencement of the Season to June 30, 2011, and on the fifteenth (15th) day of each month thereafter for sales made in the previous calendar month, shall furnish to the City Comptroller and the Commissioner a separate monthly report certified by an officer of Licensee, containing a detailed breakdown of Licensee's Net Revenues for the previous month.
- C. <u>Settlement</u>. At the end of the Season, if the total amount of Percentage Licensee Fees for the entire Season is less than the Minimum Guaranteed License Fee, Licensee shall include, along with the monthly report immediately following the end of the Season, payment to the Chicago Office of Tourism and Culture of the amount by which the Minimum Guaranteed License Fee exceeds the total Percentage License Fees for the Season.
- D. Interest For Late Payment. Without waiving any other right of action available to Licensor, in the event of delinquency by Licensee in its payment to Licensor of the above fees and charges, and without waiving the interest specified herein upon acceptance of said payment, Licensee shall pay to Licensor interest thereon at the rate of twelve percent (12%) per annum from the date such item was due and payable until paid. Licensor is entitled to such interest only if it provides Licensee with written notice of such delinquency and a five business days cure period; however, Licensee is entitled to only one such overdue notice and cure period before

interest accrues on any and all sums delinquent. Such interest shall not accrue with respect to disputed items being contested in good faith by Licensee, until such dispute is settled. No interest shall be paid if Licensee prevails in such dispute.

- E. "Net Revenues" Defined. The term "Net Revenues" as used herein, shall mean the following for the categories of beer sales, wine sales, other beverage sales, and nonbeverage sales: (i) the aggregate amount of all sales made, fees charged, and services performed by Licensee at the Concession Site and any Additional Sites, for cash, credit, or otherwise, of every kind, name, or nature, regardless of when or whether paid or not, together with the aggregate amount of all exchanges of goods, wares, merchandise or services for like property or services, at the selling price thereof, as if the same had been sold for cash or the fair and reasonable value thereof, whichever is greater; (ii) the aggregate amount of all sales made, fees charged, and services performed by Licensee for events catered by Licensee at the Concession Site for cash, credit, or otherwise, of every kind, name or nature, regardless of when or whether paid for or not, together with the aggregate amount of all exchanges of goods, wares, merchandise or services for like property or services, at the selling price thereof, as if the same had been sold for cash or the fair and reasonable value thereof, whichever is greater, minus, in either case, any sums collected and paid out for any sales, occupation, excise or other tax levied by the Federal, any state or any governmental or quasi-governmental authority based upon or measured by the sale or sales prices of items or charges for services, provided that no deduction from Net Revenues shall be allowed on account of income taxes, gross receipts taxes or other similar taxes.
- F. Records of Licensee. Licensee shall, with respect to business done by it at the Concession Site, keep true and accurate accounts, records, books and data, which shall, among other things, itemize the Net Revenues of all Licensee's business at the Concession Site and any Additional Sites, including all sales made, fees charged, and services performed for cash, credit, or otherwise (without regard to whether paid or not), divided as follows: beer sales, wine sales, other beverage sales, and non-beverage sales. Licensee agrees to maintain an adequate and reasonable system of internal control to ensure that sales are properly reported to the Licensor. The internal controls should include features normally employed by well managed restaurant operators and caterers. Licensee shall preserve such records for five (5) years after the end of the term of this Agreement.
- G. Books, Records and Audits. Licensee shall maintain the following at the Concession Site: its books, ledgers, journals, accounts and records wherein are kept all entries reflecting its operations at the Concession Site and any Additional Sites. Such books, ledgers, journals, accounts and records shall be available for inspection and examination by the Commissioner, the City Comptroller, the Department of Revenue, and MP Staff or their duly authorized representatives, at reasonable times during hours of operation, and such representatives of Licensor shall be permitted to make copies and excerpts therefrom as may be necessary to make a full, proper and complete audit of all business transacted by Licensee in connection with its operation hereunder. Alternatively, representatives of Licensor may examine Licensee's books and records at Licensee's business office in Chicago during regular business hours.

The Licensor may select an independent third party auditor to certify the accuracy of the settlements made pursuant to this Agreement. The audit shall be separate from that conducted by Licensee pursuant to this Section.

Within one hundred twenty (120) days after the close of each calendar year, or the termination of the Agreement through passage of time or otherwise, Licensee will provide Licensor with a "Statement of Net Revenues" representing Net Revenues by month for the period being reported on, together with an opinion thereon of an independent certified public accountant. Licensee must inform Licensor of the identity of the independent certified public accountant prior to the close of such calendar year and such independent certified public accountant must be acceptable to Licensor.

The following is an example of an opinion which would satisfy these requirements:

"We, a firm of independent certified public accountants, have examined the accompanying
statement of Net Revenues reported to the City of Chicago by Lincoln Park Brewery, Inc., an
Illinois corporation, for the year ended, relating to the restaurant concession operations
at Millennium Park pursuant to an Agreement between the City of Chicago and Lincoln Park
Brewery, Inc., dated Our examination was made in accordance with generally
accepted auditing standards and, accordingly, included such tests of the accounting records and
such other auditing procedures as we considered necessary in the circumstances.
In our opinion, the accompanying statement of Net Revenues presents accurately and fairly the amount of Net Revenues, as defined in the Agreement, for the year ended
If the opinion of the independent certified public accountant is inadequate, qualified or conditional in any manner, the City Comptroller has the right to cause an audit to be performed at Licensee's expense.

Licensee shall, upon request, furnish such other further financial or statistical reports as Licensor may, from time to time, require, which are reasonably related to the Premises.

SECTION 6.

[RESERVED]

SECTION 7. [RESERVED]

SECTION 8.

NOTICES

Notices to Licensor shall be sent by certified mail, postage prepaid, addressed to Licensor at 78 E. Washington Street, Room 300, Chicago, Illinois 60602, Attention: Cominissioner. Notice to Licensee shall be sent by certified mail, postage paid, addressed to Licensee at 1800 N. Clybourn Ave., Chicago, Illinois 60614, Attention: General Counsel or to such other addresses as the parties may designate to each other in writing from time to time. Notice shall be deemed given on the date such notice is deposited in the United States mails, in accordance with this Section 8.

PART II- GENERAL PROVISIONS

SECTION 9.

SERVICES TO BE PERFORMED BY LICENSEE

A. Hours of Operation; Load In. Concession services will be provided only on scheduled event dates during the Season and during the hours indicated in the notice of scheduled events sent by Licensor to Licensee at least fourteen (14) days prior to the event. fhe maximum number of events during the Season will be seventy seven (77). As a general rule, concession starting times will be either at 11:00 a.m. for certain early opening events or at 4:00 p.m. for regular events, and all food and beverage sales must end promptly at the scheduled ending time of the event, subject to greater or lesser hours as directed by Licensor (the "Concession Hours"). If the Commissioner deems it necessary due to the curtailment of hours by Licensor for public access to Millennium Park, she may decrease Concession Hours. Further, notwithstanding this paragraph, Licensee acknowledges and understands that there will be some intermittent occasions on which special events will be conducted at Millemium Park for public or private purposes which may not coincide with Licensee's regular operating hours. Accordingly, in connection with such occasions as notified by Licensor, Licensee agrees to operate (or not operate) as instructed by the Commissioner. Licensee will be permitted a loadin period of up to three (3) days prior to the first event of the Season to supply the Concession Site with coolers, electric cook tops, grills, plates, napkins and other items necessary to provide concession services under this Agreement. Licensee must get advance approval from the Commissioner as to its planned timing and method of load in.

B. <u>Personnel.</u> Licensee's employees shall be clean, courteous, efficient and neat in appearance. Licensee's employees, while on duty, shall be identified as such by uniform. Licensee shall not employ any person or persons in or about the Premises who shall use improper language or act in a loud or boisterous or otherwise improper manner. Licensee agrees to dispense with the services of any employee whose conduct the Commissioner deems to be in

violation of local, state or Federal laws or who does not perform in accordance with the requirements of this paragraph.

- C. <u>Laws</u>, <u>Ordinances</u>, <u>Licenses</u>, <u>etc.</u> Licensee must observe and comply with all applicable federal, state, county and municipal laws, statutes, ordinances and executive orders, in effect now or later and whether or not they appear in this Agreement, including those set forth in this <u>Article 9</u>, and <u>Licensee</u> must pay all taxes and obtain all licenses, certificates and other authorizations required by them. <u>Licensee</u> must require all Subcontractors to do so, also. Notwithstanding anything in this Agreement to the contrary, references to a statute or law are considered to be a reference to (i) the statute or law as it may be amended from time to time; (ii) all regulations and rules pertaining to or promulgated pursuant to the statute or law; and (iii) all future statutes, laws, regulations, rules and executive orders pertaining to the same or similar subject matter.
- D. <u>Portable Cooktops</u>; <u>Maintenance</u>. At its own expense, Licensee shall bring its own portable cooktops to the Premises, sufficient in kind and number to promptly meet the demands of the operations at the Concession Site at all times. Licensee is responsible for all maintenance and repair of the cooktops. Licensee must have its own broom, dust pan and supply of cleaning products at the Concession Site necessary for keeping the Concession Site in a clean and neat appearance, except that custodial services will be provided as provided in <u>Section 10</u> hereoft
- E. Operation Costs. Except as provided in Section 10 hereof, Licensee shall bear at its own expense all costs of operating the concession and shall pay in addition to the License Fee all other costs connected with the use of the Premises and facilities and the rights and privileges granted, including, but not limited to, all maintenance, insurance, taxes, janitor service and supplies, permits and license costs.
- F. <u>Signs and Advertising</u>. Licensee may, at its own expense, install and operate necessary and appropriate identification signs at the Concession Site for its purpose, subject to (i) the prior reasonable approval of the Commissioner and MP Staff as to the number, size, height, location and general type and design; and (ii) the requirements of applicable law.

Without express written reasonable consent of the Commissioner and MP Staff, Licensee shall not display any advertising, promotional or informational pamphlets, circulars, brochures, menus, or similar materials.

G. <u>Alteration of Premises</u>. Licensee shall make no substantial change, addition, or alteration in the Premises without prior written approval of Licensor.

SECTION 10.

SERVICES TO BE PERFORMED BY LICENSOR

Licensor will provide tents at the Concession Site and maintain tent walls in a reasonable condition. Licensor will provide general site management and security at the Concession Site within the bounds of its normal course of business of providing those services at Millennium Park generally.

Licensor will provide custodial services at the Concession Site within the bounds of its normal course of business of providing those services at Millennium Park generally, including removing trash nightly, providing standard trash receptacles to be emptied by custodial staff, emptying trays as needed during operational hours and at the end of events, and clean up after the conclusion of events.

Licensor shall provide lighting and power hook ups at the Concession Site and absorb the costs of electricity relative to the operation of the Premises.

SECTION 11.

OUALITY AND PRICE CONTROL

- A. Menu. Licensee's initial menu which it will use at the Premises, including prices, shall be delivered to Licensor for approval prior to the first day of operations at the Premises. Licensee shall offer for sale only items of premium quality and charge fair and reasonable prices. In the event that Licensee adds menu items, Licensee shall submit to Licensor for approval in advance a list of such new menu items it proposes to be offered for sale on the Premises and the prices to be charged therefor.
- B. <u>Inspection and Review</u>. Licensor may inspect Licensee's operations, the quality of service, and the maintenance of the Premises, at such reasonable times as Licensor shall deem necessary. Licensee shall cooperate in such inspections and provide any documentation reasonably required by Licensor.

SECTION 12.

TERMINATION

In addition to any other termination rights under this Agreement, Licensor may terminate this Agreement, or all or any portion of the services to be performed under it, at any time by a notice in writing from the City to Licensee sent in accordance with the notice provisions of this Agreement. The effective date of termination will be the date the notice is received by Licensee or the date stated in the notice, whichever is later. If the City elects to terminate this Agreement in full, all services to be provided under it must cease. Licensee must include in its contracts with Subcontractors an early termination provision in form and substance equivalent to this early termination provision to prevent claims against the City arising from termination of subcontracts

after the early termination. Licensee will not be entitled to make any early termination claims against the City resulting from any Subcontractor's claims against Licensee or the City. If the City's election to terminate this Agreement for default under Section 30 is determined in a court of competent jurisdiction to have been wrongful, then in that case the termination is to be considered to be an early termination under this Section 12.

SECTION 13.

[RESERVED]

SECTION 14.

[RESERVED]

SECTION 15.

INSURANCE

The Licensee shall provide and maintain at Licensee's own expense, and during the term of the Agreement and during the time period following expiration of agreement if Licensee is required to return and perform any additional, services, the insurance coverages and requirements specified in <u>Exhibit H</u>, insuring all operations related to the Agreement.

SECTION 16.

INDEMNITY

- (a) Licensee must defend, indemnify, and hold harmless the City, the Chicago Tourism Fund, MB Real Estate, Millennium Park, Inc., and their respective officers, representatives, elected and appointed officials, agents and employees from and against any and all Losses, including those related to:
 - (i) injury, death or damage of or to any person or property;
- (ii) any infringement or violation of any property right (including any patent, trademark or copyright);
- (iii) Licensee's failure to perform or cause to be performed Licensee's promises and obligations as and when required under this Agreement, including Licensee's failure to perform its obligations to any Subcontractor;

- (iv) the City's exercise of its rights and remedies under this Agreement; and
- (v) injuries to or death of any employee of Licensee or any Subcontractor under any workers compensation statute.
- (b) "Losses" means, individually and collectively, liabilities of every kind, including losses, damages and reasonable costs, payments and expenses (such as, but not limited to, court costs and reasonable attorneys' fees and disbursements), claims, demands, actions, suits, proceedings, judgments or settlements, any or all of which in any way arise out of or relate to Licensee's breach of this Agreement or to Licensee's negligent or otherwise wrongful acts or omissions or those of its officers, agents, employees, consultants, Subcontractors or licensees.
- (c) At the City Corporation Counsel's option, Licensee must defend all suits brought upon all such Losses and must pay all costs and expenses incidental to them, but the City has the right, at its option, to participate, at its own cost, in the defense of any suit, without relieving Licensee of any of its obligations under this Agreement. Any settlement must be made only with the prior written consent of the City Corporation Counsel, if the settlement requires any action on the part of the City.
- (d) To the extent permissible by law, Licensee waives any limits to the amount of its obligations to defend, indemnify, hold harmless, or contribute to any sums due under any Losses, including any claim by any employee of Licensee that may be subject to the Workers Compensation Act, 820 ILCS 305/1 et seq. or any other related law or judicial decision (such as, Kotecki v. Cyclops Welding Corporation, 146 Ill. 2d 155 (1991)). The City, however, does not waive any limitations it may have on its liability under the Illinois Workers Compensation Act, the Illinois Pension Code, any other statute or judicial decision.
- (e) The indemnities in this section survive expiration or termination of this Agreement for matters occurring or arising during the term of this Agreement or as the result of or during Licensee's performance of Services beyond the term. Licensee acknowledges that the requirements set forth in this section to defend, indemnify, and hold harmless the City are apart from and not limited by the Licensee's duties under this Agreement, including the insurance requirements in this Agreement.

SECTION 17.

INSPECTIONS -

Licensee shall allow Licensor's authorized representative access to the Premises at all reasonable hours, for the purpose of examining and inspecting said Premises, for purposes necessary, incidental to or connected with the performance of its obligation hereunder, or in the exercise of its governmental functions.

SECTION 18.

INGRESS AND EGRESS

Subject to regulations governing the use of Millennium Park, Licensee, its agents and servants, patrons and invitees, and its suppliers of services and materials shall have the right of ingress to and egress from the Premises granted to Licensee; provided, however, that the suppliers of services, materials, or stock shall provide such services in a reasonable manner and at such times as not to interfere with normal Millennium Park operations.

SECTION 19.

ASSIGNMENT AND SUBLETTING

Licensee shall not assign, delegate, transfer, sublease, pledge, surrender (including transfers by operation of law) or otherwise encumber or dispose of this Agreement or any rights privileges, or obligations created hereby, or any interest in any portion of the same, or permit any other person or persons, company or corporation to occupy the Premises, without the written consent of the Commissioner being first obtained.

Any substantial change in ownership or proprietorship of Licensee, which has not received the prior written approval of the Commissioner and which in the opinion of the Commissioner is not in the best interest of the Licensor or the public, shall be an event of default pursuant to Section 30 hereof For purposes of this Section, a "substantial change in ownership" shall include (1) any transfer of more than 40% of the Licensee's equity and (2) the assumption of a sufficient amount of debt to affect the operation and/or control of the Licensee.

All subcontracts and all approvals of subcontractors for the performance of any of Licensee's obligations hereunder ("Subcontractors") and any assignment to which the Commissioner consents shall be, regardless of their form, deemed conditioned upon performance by the Subcontractor or assignee in accordance with the terms and conditions of this Agreement; and if any Subcontractor or assignee shall fail to observe or perform the terms and conditions of this Agreement to the satisfaction of the Commissioner, the Licensor shall have the absolute right upon written notification to rescind approval forthwith and to require the performance of this Agreement by the Licensee itself or by any other Licensor-approved Subcontractor or assignee. Any approval for the use of Subcontractors or assignees in the performance of Services under this Agreement shall under no circumstances operate to relieve the Licensee of any of its obligations or liabilities hereunder.

All Subcontracts shall contain provisions making them assignable to City. Upon the occurrence of an event of default under this Agreement, City shall have the right to require that Licensee complete the assignment to City of any and all Subcontracts. Such assignment shall be in writing and in a form and substance acceptable to City. Licensee agrees that all such

Subcontracts shall further contain a clause which provides that in the case of any Subcontract so assigned, the Subcontractor shall be deemed to have waived any and all claims, suits, and causes of action arising out of or relating to the performance of such Subcontract prior to the effective date of such assignment. City shall not be responsible for any claims relating to such Subcontracts arising from or related to any fraud, misrepresentation, negligence or willful or intentionally tortious conduct by Licensee, its officials, employees, agents or other Subcontractors.

The Licensee, upon entering into any agreement with a Subcontractor, shall furnish the Commissioner with five (5) copies thereof All subcontracts shall contain provisions that require the services to be performed in strict accordance with the requirements of this Agreement and shall provide that the Subcontractors are subject to all the terms of this Agreement, and are subject to the approval of the Commissioner.

SECTION 20.

CHICAGO "LIVING WAGE" ORDINANCE

Licensee shall not erect, install, operate or cause or permit to be erected, installed or operated in or upon the Premises herein, or Millennium Park, any signs or other similar advertising device without first having obtained the Commissioner's written consent thereto, which shall not be unreasonably withheld.

- (a) Licensee must pay its Covered Employees (as defined below), and must ensure that all subcontractors pay their Covered Employees, not less than the minimum hourly rate as determined in accordance with this provision (the "Base Wage"). For purposes of this section, "Covered Employees" means those categories of workers employed in the performance of City contracts that are identified in Section 2-92¹610 of the Municipal Code, specifically non-City employed security guards, parking attendants, day laborers, home and health care workers, cashiers, elevator operators, custodial workers and clerical workers.
- (b) Licensee's obligation to pay, and to ensure payment of, at least the Base Wage will begin upon the commencement of the term of this Agreement and will continue until the end of the term of this Agreement.
- (c) As of July 1, 2010, the Base Wage is \$11.03 per hour, and each July 1 thereafter, the Base Wage will be adjusted using the most recent federal poverty guidelines for a family of four as published annually by the U.S. Department of Health and Human Services, to constitute the following: the poverty guidelines for a family of four divided by 2000 hours or the current base wage, whichever is higher. The currently applicable Base Wage is available from the City's Department of Procurement Services. At all times during the term of this Agreement, Licensee and all subcontractors must pay at least the Base Wage (as adjusted in accordance with the above) to any Covered Employees. If the prevailing wages are higher than the Base Wage, then Licensee and all subcontractors must pay the prevailing wage rates.

- (d) Licensee must include provisions in all subcontracts requiring its subcontractors to pay at least the Base Wage to their Covered Employees. Licensee agrees to provide the City with documentation acceptable to it demonstrating that all Covered Employees, whether employed by Licensee or by a subcontractor, have been paid at least the Base Wage, upon the City's request for such documentation. The City may independently audit Licensee and/or subcontractors to verify compliance with this section. Failure to comply with the requirements of this section will be an event of default under this Agreement, and further, failure to comply may result in ineligibility for any award of a City contract or subcontract for up to 3 years.
- (e) Not-for-Profit Corporations: If Licensee is a corporation having federal taxexempt status under Section 501(c)(3) of the Internal Revenue Code and is recognized under Illinois not-for-profit law, then the provisions of subsections (a) through (d) above do not apply.

SECTION 21.

REDELIVERY

Licensee will make no unlawful or offensive use of the Premises and will at the expiration of the term specified in Section 2 or upon any sooner termination specified elsewhere in this Agreement, quit and deliver the Premises to Licensor peaceably, quietly and in a good order and condition, reasonable use and wear excepted.

SECTION 22.

NON-DISCRIMINATION AND OTHER REQUIREMENTS

A. Federal Requirements

It shall be an unlawful employment practice for the Licensee (1) to fail or refuse to hire or to discharge any individual, or otherwise to discriminate against any individual with respect to his compensation, or the terms, conditions, or privileges of his employment, because of such individual's race, color, religion, sex, age, handicap or national origin; or (2) to limit, segregate, or classify its employees or applicants for employment in any way which would deprive or tend to deprive any individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, sex, age, handicap or national origin.

Licensee shall comply with The Civil Rights Act of 1964, 42 U.S.C. sec. 2000 et seq. (1988), as amended. Attention is called to: Exec. Order No. 11,246, 30 Fed. Reg. 12,319 (1965), reprinted in 42 U.S.C. 2000(e) note, as amended by Exec. Order No. 11,375, 32 Fed. Reg. 14,303 (1967) and by Exec. Order No. 12,086, 43 Fed. Reg. 46,501 (1978); Age Discrimination Act, 42 U.S.C. sec. 6101-6106 (1988); Rehabilitation Act of 1973, 29 U.S.C. sec. 793-794 (1988); Americans with Disabilities Act, 42 U.S.C. §12101 et seq., as amended; and 41

C.F.R. Part 60 et seq. (1990).

B. State Requirements

Licensee shall comply with the Illinois Human Rights Act, 775 ILCS 5/1-101 et seq., as amended and any rules and regulations promulgated in accordance therewith, including, but not limited to the Equal Employment Opportunity Clause, 5 111. Admin. Code §750 Appendix A. Furthermore, Licensee shall comply with the Public Works Employment Discrimination Act, 775 ILCS 10/0.01 et seq., as amended.

C. City Requirements

Licensee shall comply with the Chicago Human Rights Ordinance, ch. 2-160, section 2-160-010 et seq. of the Municipal Code of Chicago, as amended. Further, Licensee shall furnish or shall cause each of its Subcontractor(s) to furnish such reports and information as requested by the Chicago Commission on Human Relations.

D. Subcontractors

Licensee agrees that all of the above provisions, (A), (B) and (C), will be incorporated in all agreements entered into with any suppliers of materials, furnishers of services, Subcontractors of any tier, and labor organizations which furnish skilled, unskilled and craft union skilled labor, or which may provide any such materials, labor or services in connection with this Agreement.

SECTION 23.

WARRANTIES AND REPRESENTATIONS

In connection with the execution of this Agreement, Licensee warrants and represents:

- A. That it is financially solvent; that it and each of its employees, agents, and Subcontractors of any tier are competent to perform as required under this Agreement; and that Licensee is legally authorized to execute and perform or cause to be performed this Agreement under the terms and conditions stated herein; and
- B. That no officer, agent or employee of the Licensor is employed by Licensee or has a financial interest directly or indirectly in this Agreement or the compensation to be paid hereunder except as may be permitted in writing by the Board of Ethics established pursuant to be the Municipal Code of Chicago (Chapter 2-156); and that no payment, gratuity or offer of employment shall be made in connection with

this Agreement by or on behalf of any Subcontractors to the Licensee or higher tier Subcontractors or anyone associated therewith, as an inducement for the award of a subcontract or order; and Licensee further acknowledges that any agreement entered into, negotiated or performed in violation of any of the provisions of Chapter 2-156 of the Municipal Code of Chicago shall be voidable as to the Licensor; and

- C. That Licensee shall not knowingly use the services of any ineligible subcontractor for any purpose in the performance of this Agreement; and
- D. That Licensee and its Subcontractors are not in default at the time of the execution of this Agreement, or deemed by the Chief Procurement Officer to have, within five years immediately preceding the date of this Agreement, been found to be in default on any contract awarded by the Licensor; and
- E. That, except only for those representations, statements, or promises expressly contained in this Agreement, and any exhibits attached hereto and incorporated by reference herein, no representation, statement or promise, oral or in writing, of any kind whatsoever, by the Licensor, its officials, agents, or employees, has induced Licensee to enter into this Agreement or has been relied upon by Licensee; and
- F. That Licensee was given ample opportunity and time and was requested by the Licensor to review thoroughly all documents forming this Agreement prior to execution of this Agreement in order that it might request inclusion in this Agreement of any statement, representation, promise or provision which it desired or on which it wished to place reliance; that it did so review those documents, and that either every such statement, representation, promise or provision has been included in this Agreement or else, if omitted, that Licensee expressly hereby relinquishes the benefit of any such omitted statement, representation, promise or provision and is willing to perform this Agreement in its entirety without claiming reliance thereon or making any other claim on account of such omission; and
- G. That Licensee and, to the best of its knowledge, its Subcontractors are not in violation of the provisions of Section 2-92-320 of Chapter 2-92 of the Municipal Code of Chicago, and in connection therewith, and additionally in connection with the Illinois Criminal Code, 720 ILCS 5/33E, as amended, and the Illinois Municipal Code, 65 ILCS 5/11-42.1-1, et seq., as amended; and
- H. It shall be the duty of any bidder, proposer, or Licensee all subcontractors and every applicant for certification of eligibility for a City contract or program, and all officers, directors, agents, partners, and employees of any bidder, proposer, consultant, or such

applicant to cooperate with the Inspector General in any investigation or hearing undertaken pursuant to Chapter 2-56 of the Municipal Code of Chicago. The Licensee understands and will abide by all provisions of Chapter 2-56 of the Municipal Code of Chicago. All subcontracts shall inform Subcontractors of this provision and require understanding and compliance therewith; and

- I. Licensee understands and agrees that any certification, affidavit or acknowledgment made under oath in connection with this Agreement is made under penalty of perjury and, if false, is also cause for termination pursuant to this Agreement; and
- J. Licensee has no outstanding parking violation complaints or debts owed to the Licensee as defined in Section 2-92-380 of the Municipal Code of Chicago; and
- K. Neither Licensee nor an Affiliate of Licensee (as defined below) appears on the Specially Designated Nationals List, the Denied Persons List, the unverified List, the Entity List, or the Debarred List as maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or by the Bureau of Industry and Security of the U.S. Department of Commerce (or their successors), or on any other list of persons or entilies with which the City may not do business under any applicable law, rule, regulation, order or judgment. "Affiliate of Licensee" means a person or entity that directly (or indirectly through one or more intermediaries) controls, is controlled by or is under common control with Licensee. A person or entity will be deemed to be controlled by another person or entity if it is controlled in any manner whatsoever that results in control in fact by lhat other person or entity (either acting individually or acting jointly or in concert with others) whether directly or indirectly and whether through share ownership, a trust, a contract or otherwise; and
- L. Licensee and its Subcontractors understand and will abide by all provisions of Chapter 2-26-010 *et seq.* of the Municipal Code relating to the Office of Compliance; and
- M. Licensee (i) understands and will abide by, and will cause Subcontractors to abide by, the terms of Chapter 2-55 of the Municipal Code of Chicago pertaining to cooperation with the Office of the Legislative Inspector General, (ii) understands and will abide by the terms of Section 2-154-020 of the Municipal Code of Chicago, and (iii) failure by Licensee or any controlling person (as defined in Section 1-23-010 of the Municipal Code of Chicago) thereof to maintain eligibility to do business with the City of Chicago as required by Section 1-23-030 of the Municipal Code of Chicago shall be a default for which no cure is available and grounds for termination of this Agreement; and
- N. (i) The City is subject to the May 31, 2007 Order entitled "Agreed Settlement Order and Accord" (the "Shakman Accord") and the August 16, 2007 "City of Chicago Hiring Plan" (the "City Fliring Plan") entered in Shakman v. Democratic Organization of Cook

County, Case No 69 C 2145 (United State District Court for the Northern District of Illinois). Among other things, the Shakman Accord and the City Hiring Plan prohibit the City from hiring persons as governmental employees in non-exempt positions on the basis of political reasons or factors.

- (ii) Licensee is aware that City policy prohibits City employees from directing any individual to apply for a position with Licensee, either as an employee or as a subcontractor, and from directing Licensee to hire an individual as an employee or as a subcontractor. Accordingly, Licensee must follow its own hiring and contracting procedures, without being influenced by City employees. Any and all personnel provided by Licensee under this Agreement are employees or subcontractors of Licensee, not employees of the City of Chicago. This Agreement is not intended to and does not constitute, create, give rise to, or otherwise recognize an employer-employee relationship of any kind between the City and any personnel provided by Licensee.
- (iii) Licensee will not condition, base, or knowingly prejudice or affect any term or aspect of the employment of any personnel provided under this Agreement, or offer employment to any individual to provide services under this Agreement, based upon or because of any political reason or factor, including, without limitation, any individual's political affiliation, membership in a political organization or party, political support or activity, political financial contributions, promises of such political support, activity or financial contributions, or such individual's political sponsorship or recommendation. For purposes of this Agreement, a political organization or party is an identifiable group or entity that has as its primary purpose the support of or opposition to candidates for elected public office. Individual political activities are the activities of individual persons in support of or in opposition to political organizations or parties or candidates for elected public office.
- (iv) In the event of any communication to Licensee by a City employee or City official in violation of <u>Section (ii)</u> above, or advocating a violation of <u>Section (iii)</u> above, Licensee will, as soon as is reasonably practicable, report such communication to the Hiring Oversight Section of the City's Office of the Inspector General, and also to the head of the relevant City Department utilizing services provided under this Agreement.

SECTION 24.

NON-LIABILITY OF PUBLIC OFFICIALS

No official, employee or agent of the Licensor shall be charged personally by the Licensee, or by any assignee or sublicensee of the Licensee, with any liability or expenses of defense or be held personally liable to them under any term or provision of this Agreement, or because of the Licensor's execution or attempted execution, or because of any breach hereof

SECTION 25.

BUSINESS DOCUMENTS, DISCLOSURE OF OWNERSHIP INTERESTS AND OTHER CERTIFICATIONS

Licensee shall, if requested by the Commissioner, provide copies of its latest articles of incorporation, by-laws and resolutions, or partnership or joint venture agreement, as applicable, and evidence of its authority to do business in the State of Illinois, including without limitation, registrations of assumed names or limited partnerships and certifications of good standing with the Office of the Secretary of State of Illinois. Licensee must execute an Economic Disclosure Statement and Affidavit ("EDS") in the form attached to this Agreement as Exhibit C. Notwithstanding acceptance by the City of the EDS, Licensee's failure in the EDS to include all information required under the Municipal Code renders this Agreement voidable at the option of the City. Licensee must promptly update its EDS(s) on file with the City whenever any information or response provided in the EDS(s) is no longer complete and accurate. Licensee further agrees to provide such other affidavits or certifications as may be required by federal, state or local law in the award of public contracts. Licensee shall further cause its Subcontractors or, if a partnership or joint venture, all members of the partnership or joint venture, to submit all such documents to the Licensor.

Licensee certifies, as further evidenced in the EDS attached as <u>Exhibit C</u>, by its acceptance of this Agreement that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this/transaction by any federal department or agency. Licensee further agrees by executing this Agreement that it will include this clause without modification in all lower tier transactions, solicitations, proposals, contracts and subcontracts. If Licensee or any lower tier participant is unable to certify to this statement, it must attach an explanation to the Agreement.

SECTION 26.

CONFLICT OF INTEREST

No member of the governing body of the Licensor or other unit of government and no other officer, employee, or agent of the Licensor or other unit of government who exercises any functions or responsibilities in connection with this Agreement shall have any personal interest, direct or indirect, in this Agreement. No member of or delegate to the Congress of the United States or the Illinois General Assembly and no alderman of the Licensor or Licensor employee shall be admitted to any share or part of this Agreement or to any financial benefit to arise from it.

The Licensee covenants that it, its officers, directors and employees, and the officers, directors and employees of each of its members if a joint venture, and Subcontractors, presently have no interest and shall acquire no interest, direct or indirect, in the project to which this —

Agreement pertains which would conflict in any manner or degree with the performance of this Agreement. The Licensee further covenants that in the performance of this Agreement no person having any such interest shall be employed. Licensee agrees that if the Licensor, by the City Comptroller in his reasonable judgment, determines that any of Licensee's services for others conflict with the duties of the Licensee under this Agreement, Licensee shall terminate such other services immediately upon request of the Licensor.

Furthermore, if any federal funds are to be used to compensate or reimburse Licensee under this Agreement, the Licensee represents that it is and will remain in compliance with federal restrictions on lobbying set forth in section 3 19 of the Department of the Interior and Related Agencies Appropriations Act for Fiscal year 1990, 3 1 U.S.C. § 1352, and related rules and regulations set forth at 54 Fed. Reg. 52,309 if (1989), as amended. If federal funds are to be used, Licensee shall execute a Certification Regarding Lobbying, which shall be attached hereto and incorporated by reference as part of Exhibit C as if fully set forth here.

SECTION 27.

MACBRIDE PRINCIPLES ORDINANCE

Licensor through the passage of the MacBride Principles Ordinance seeks to promote fair and equal employment opportunities and labor practices for religious minorities in Northern Ireland and provide a better working environment for all citizens in Northern Ireland.

In accordance with Section 2-92-580 of the Municipal Code of the City of Chicago, if the Licensee conducts any business operations in Northern Ireland, it is hereby required that the Licensee shall make all reasonable and good faith efforts to conduct any business operations in Northern Ireland in accordance with the MacBride Principles for Northern Ireland as defined in Illinois Public Act 85-1390 (1988 111. Laws 3220)

The provisions of this Section shall not apply to contracts for which the Licensor receives funds administered by the United States Department of Transportation, except to the extent Congress has directed that the Department of Transportation not withhold funds from states and localities that choose to implement selective purchasing policies based on agreement to comply with the MacBride Principles for Northern Ireland, or to the extent that such funds are not otherwise withheld by the Department of Transportation.

SECTION 27.1

BUSINESS RELATIONSHIPS

Pursuant to section 2-156-030(b) of the Municipal Code, it is illegal for any elected official of the city, or any person acting at the direction of such official, to contact, either orally

or in writing, any other city official or employee with respect to any matter involving any person with whom the elected official has a business relationship, or to participate in any discussion in any city council committee hearing or in any city council meeting or to vote on any matter involving the person with whom an elected official has a business relationship. Violation of §2-156-030(b) by any elected official with respect to this Agreement is grounds for termination of this Agreement. The term business relationship is defined as set forth in section 2-156-080 of the Municipal Code.

Section 2-156-080 defines a "business relationship" as any contractual or other private business dealing of an official, or his or her spouse, or of any entity in which an official or his or her spouse has a financial interest, with a person or entity which entitles an official to compensation or payment in the amount of \$2,500 or more in a calendar year; provided, however, a financial interest will not include: (i) any ownership through purchase at fair market value or inheritance of less than one percent of the share of a corporation, or any corporate subsidiary, parent or affiliate thereof, regardless of the value of or dividends on such shares, if such shares are registered on a securities exchange pursuant to the Securities Exchange Act of 1934, as amended; (ii) the authorized compensation paid to an official or employee for his office or employment; (iii) any economic benefit provided equally to all residents of the city; (iv) a time or demand deposit in a financial institution; or (v) an endowment or insurance policy or annuity contract purchased from an insurance company. A "contractual or other private business dealing" will not include any employment relationship of an official's spouse with an entity when such spouse has no discretion concerning or input relating to the relationship between that entity and the city.

SECTION 27.2

PROHIBITION ON CERTAIN CONTRIBUTIONS

Licensee agrees that Licensee, any person or entity who directly or indirectly has an ownership or beneficial interest in Licensee of more than 7.5 percent ("Owners"), spouses and domestic partners of such Owners, Licensee's subcontractors, any person or entity who directly or indirectly has an ownership or beneficial interest in any subcontractor of more than 7.5 percent ("Sub-owners") and spouses and domestic partners of such Sub-owners (Licensee and all the other preceding classes of persons and entities are together, the "Identified Parties"), shall not make a contribution of any amount to the Mayor of the City of Chicago ("Mayor") or to his political fundraising committee (i) after execution of this Agreement by Licensee, (ii) while this Agreement or any Other Contract is executory, (iii) during the term of this Agreement or any Other Contract between Licensee and the City, or (iv) during any period while an extension of this Agreement or any Other Contract is being sought or negotiated.

Licensee represents and warrants that since the date of public advertisement of the specification, request for qualifications, request for proposals or request for information (or any combination of those requests) or, if not competitively procured, from the date the City approached Licensee or the date Licensee approached the City, as applicable, regarding the formulation of this Agreement, no Identified Parties have made a contribution of any amount to the Mayor or to his political fundraising committee.

Licensee agrees that it shall not; (a) coerce, compel or intimidate its employees to make a contribution of any amount to the Mayor or to the Mayor's political fundraising committee; (b) reimburse its employees for a contribution of any amount made to the Mayor or to the Mayor's political fundraising committee; or (c) bundle or solicit others to bundle contributions to the Mayor or to his political fundraising committee.

Licensee agrees that the Identified Parties must not engage in any conduct whatsoever designed to intentionally violate this provision or Mayoral Executive Order No. 05-1 or to entice, direct or solicit others to intentionally violate this provision or Mayoral Executive Order No. 05-1.

Licensee agrees that a violation of, non-compliance with, misrepresentation with respect to, or breach of any covenant or warranty under this provision or violation of Mayoral Executive Order No. 05-1 constitutes a breach and default under this Agreement, and under any Other Contract for which no opportunity to cure will be granted. Such breach, and default entitles the City to all remedies (including without limitation termination for default) under this Agreement, under Other Contract, at law and in equity. This provision amends any Other Contract and supersedes any inconsistent provision contained therein.

If Licensee violates this provision or Mayoral Executive Order No. 05-1 prior to award of the Agreement resulting from this specification, the Chief Procurement Officer may reject Contractor's bid.

For purposes of this provision:

"Bundle" means to collect contributions from more than one source which are then delivered by one person to the Mayor or to his political fundraising committee.

"Other Contract" means any other agreement with the City of Chicago to which Licensee is a party that is (i) formed under the authority of chapter 2-92 of the Municipal Code of Chicago; (ii) entered into for the purchase or lease of real or personal property; or (iii) for materials, supplies, equipment or services which are approved or authorized by the city council.

"Contribution" means a "political contribution" as defined in Chapter 2-156 of the Municipal Code of Chicago, as amended.

Individuals are "Domestic Partners" if they satisfy the following criteria:

- (A) they are each other's sole domestic partner, responsible for each other's common welfare; and
- (B) neither party is married; and
- (C) the partners are not related by blood closer than would bar marriage in the State of Illinois; and

- (D) each partner is at least 18 years of age, and the partners are the same sex, and the partners reside at the same residence; and
- (E) two of the following four conditions exist for the partners:
 - 1. The partners have been residing together for at least 12 months.
 - 2. The partners have common or joint ownership of a residence.
 - 3. The partners have at least two of the following arrangements:
 - a. joint ownership of a motor vehicle;
 - b. a joint credit account;
 - c. a joint checking account;
 - d. a lease for a residence identifying both domestic partners as tenants.
 - 4. Each partner identifies the other partner as a primary beneficiary in a will.

"Political fundraising committee" means a "political fundraising committee" as defined in Chapter 2-156 of the Municipal code of Chicago, as amended."

SECTION 27.3

ENVIRONMENTAL WARRANTIES AND REPRESENTATIONS

In accordance with Section 11-4-1600(e) of the Municipal Code of Chicago, Licensee warrants and represents that it, and to the best of its knowledge, its subcontractors have not violated and are not in violation of the following sections of the Code (collectively, the "Waste Sections"):

7-28-390 Dumping on public way;

7-28-440 Dumping on real estate without permit;

11-4-1410 Disposal in waters prohibited;

11-4-1420 Ballast tank, bilge tank or other discharge;

11-4-1450 Gas manufacturing residue:

11-4-1500 Treatment and disposal of solid or liquid waste;

11-4-1530 Compliance with rules and regulations required;

11-4-1550 Operational requirements; and

11-4-1560 Screening requirements.

During the period while this Agreement is executory, Licensee's or any subcontractor's violation of the Waste Sections, whether or not relating to the performance of this Agreement, constitutes a breach of and an event of default under this Agreement, for which the opportunity to cure, if curable, will be granted only at the sole discretion of the Commissioner. Such breach and default entities the City to all remedies under the Agreement, at law or in equity.

This section does not limit Licensee's and its subcontractors' duty to comply with all applicable federal, state, county and municipal laws, statutes, ordinances and executive orders, in effect now or later, and whether or not they appear in this Agreement.

Non-compliance with these terms and conditions may be used by the City as grounds for

the termination of this Agreement, and may further affect Licensee's eligibility for future contract awards.

SECTION 27.4

FIRMS OWNED OR OPERATED BY INDIVIDUALS WITH DISABILITIES

The City encourages contractors to use Subcontractors that are firms owned or operated by individuals with disabilities, as defined by Section 2-92-586 of the Municipal Code of the City of Chicago, where not otherwise prohibited by federal or state law.

SECTION 28.

INCORPORATION

This Agreement and the Exhibits and Attachments, if any, attached to this Agreement are incorporated into this Agreement by this reference and constitute the entire and exclusive agreement between the parties with respect to the subject matter of the Agreement and supersede all prior communications and negotiations, whether written or oral.

SECTION 29. NON-WAIVER

Any waiver or any breach of covenants herein contained to be kept and performed by Licensee shall not be deemed or considered as a continuing waiver and shall not operate to bar or prevent Licensor from declaring a forfeiture for any succeeding breach either of the same conditions or covenants or otherwise.

SECTION 30.

DEFAULT AND REMEDIES

- A. Event of Default. The following constitute events of default under this Agreement:
 - 1. Any material misrepresentation, whether negligent or willful and whether in the inducement or in the performance, made by Licensee to the City.
 - 2. Licensee's material failure to perform any of its obligations under this Agreement including the following:

- (i) Failure to perform the services with sufficient personnel and equipment or with sufficient material to ensure the timely performance of the services;
- (ii) Failure to have and maintain all professional licenses required by law to perform the services;
- (iii) Failure to timely perform the services;
- (iv) Failure to perform the services in a manner reasonably satisfactory to the Commissioner or inability to perform the services satisfactorily as a result of insolvency, filing for bankruptcy or assignment for the benefit of creditors;
- (v) Failure to promptly re-perform, as required, within a reasonable time and at no cost to the City, services that are rejected as erroneous or unsatisfactory;
- (vi) Discontinuance of the services for reasons within Licensee's reasonable control;
- (vii) Failure to comply with <u>Section 22</u> in the performance of the Agreement;
- (viii) Failure promptly to update EDS(s) furnished in connection with this Agreement when the information or responses contained in it or them is no longer complete or accurate;
- (ix) Failure to comply with any other material term of this Agreement, including the provisions concerning insurance and nondiscrimination; and
- (x) Any other acts specifically stated in this Agreement as constituting an act of default.
- 3. Any change in ownership or control of Licensee without the prior written approval of the Commissioner (when such prior approval is permissible by law), which approval the Commissioner will not unreasonably withhold.
- 4. Licensee's default under any other agreement it may presently have or may enter into with the City for the duration of this Agreement. Licensee acknowledges that in the event of a default under this Agreement the City may also declare a default under any such other agreements.

- 5. Licensee's violation of City ordinance(s) unrelated to performance under the Agreement such that, in the opinion of the Commissioner, it indicates a willful or reckless disregard for City laws and regulations.
- 6. Licensee's failure to update its EDS to reflect any changes in information, including changes in ownership, and to provide it to the City as provided under this Agreement.
- B. <u>Licensor's Remedies</u>. The occurrence of any event of default permits the City, at the City's sole option, to declare Licensee in default. The Commissioner may in her sole discretion give Licensee an opportunity to cure the default within a certain period of time, which period of time must not exceed 30 days unless extended by the Commissioner. Whether to declare Licensee in default is within the sole discretion of the Commissioner and neither that decision nor the factual basis for it is subject to review or challenge.

The Commissioner will give Licensee written notice of the default, either in the form of a cure notice ("Cure Notice"), or, if no opportunity to cure will be granted, a default notice ("Default Notice"). If the Commissioner gives a Default Notice, she will also indicate any present intent she may have to terminate this Agreement, and the decision to terminate is final and effective upon giving the notice. If the Commissioner decides not to terminate, this decision will not preclude her from later deciding to terminate the Agreement in a later notice, which will be final and effective upon the giving of the notice or on the date set forth in the notice, whichever is later. The Commissioner may give a Default Notice if Licensee fails to affect a cure within the cure period given in a Cure Notice. When a Default Notice with intent to terminate is given as provided in this Section, Licensee must discontinue any services, unless otherwise directed in the notice, and deliver all materials accumulated in the performance of this Agreement, whether completed or in the process, to the City.

- C. <u>Exercise of Remedies</u>. After giving a Default Notice, the City may invoke any or all of the following remedies:
 - 1. The right to take over and complete the services, or any part of them, at Licensee's expense and as agent for Licensee, either directly or through others, and bill Licensee for the cost of the services.
 - 2. The right to terminate this Agreement as to any or all of the services yet to be perforined effective at a time specified by the City.
 - 3. The right of specific performance, an injunction or any other appropriate equitable remedy.

- 4. The right to money damages.
- 5. The right to deem Licensee non-responsible in future contracts to be awarded by the City.
- 6. The right to declare default on any other contract or agreement Licensee may have with the City.
- D. <u>City's Reservation of Rights</u>. If the Commissioner considers it to be in the City's best interests, she may elect not to declare default or to terminate this Agreement. The parties acknowledge that this provision is solely for the benefit of the City and that if the City permits Licensee to continue to provide the services despite one or more events of default, Licensee is in no way relieved of any of its responsibilities, duties or obligations under this Agreement, nor does the City waive or relinquish any of its rights.
- E. <u>Non-Exclusivity of Remedies</u>. The remedies under the terms of this Agreement are not intended to be exclusive of any other remedies provided, but each and every such remedy is cumulative and is in addition to any other remedies, existing now or later, at law, in equity or by statute. No delay or omission to exercise any right or power accruing upon any event of default impairs any such right or power, nor is it a waiver of any event of default nor acquiescence in it, and every such right and power may be exercised from time to time and as often as the City considers expedient.

SECTION 31.

MONETARY DAMAGES

In the event Licensor elects to terminate this Agreement in connection with an event of default, Licensee shall pay to Licensor an amount equal to the sum of:

- (a) The balance of the Minimum Guaranteed License Fee;
- (b) All costs incurred in finding replacement concessionaire acceptable to the Ciy; and
- (c) All amounts owing at the time of termination of the Agreement on account of breach of any term, covenant or condition of this Agreement, including, but not limited to, unpaid license fees, plus interest thereon on all such amounts from the date due until paid at the rate of twelve percent (12%) per annum.

SECTION 32 [RESERVED]

SECTION 33

INDEPENDENCE OF AGREEMENT

It is understood and agreed that nothing herein contained is intended or should be construed as in any way creating or establishing the relationship of co-partners or joint venturers between the parties hereto, or as constituting Licensee as the agent, representative or employee of Licensor for any purpose or in any manner whatsoever. Licensee is to be and shall remain an independent contractor with respect to all services performed under this Agreement.

SECTION 34

RULES, REGULATIONS, LAWS, ORDINANCES AND LICENSES

Licensor shall have the right to and shall adopt and enforce reasonable rules and regulations with respect to the use of Millennium Park, the Premises and related facilities, which Licensee agrees to observe and obey. Licensee shall observe and obey all the laws, ordinances, regulations and rules of the federal, state, county and municipal governments which may be applicable to its operations at Millennium Park and shall obtain and maintain all permits and licenses necessary for its operations at Millennium Park. Licensee further agrees to pay all taxes imposed by law on the property or its operations.

SECTION 35.

PARAGRAPH HEADINGS

The paragraph headings contained herein are for convenience in reference and are not intended to define or limit the scope of any provision of this Agreement.

SECTION 36

INVALID PROVISIONS

In the event any covenant, condition or provision herein contained is held to be invalid by any court of competent jurisdiction, the invalidity of such covenant, condition or provision herein contained shall not affect the validity of any other covenant, condition or provision, provided that the invalidity of such covenant, condition or provision does not materially prejudice either Licensor or Licensee in its respective rights and obligations contained in the valid covenants, conditions or provisions of this Agreement.

SECTION 37

PROHIBITION OF RECORDATION

This Agreement shall not and will not, nor shall any copy hereof, or any statement, paper or affidavit, in any way or manner referring hereto, be filed in the Office of the Recorder of Deeds of Cook County, Illinois, or in any other public office, by Licensee or anyone acting for Licensee and if the same be so filed, this Agreement and each and every provision hereof shall, at the option of the Licensor, be and become absolutely null and void and Licensor may declare such filing a breach of this Agreement.

SECTION 38.

NO PERSONAL LIABILITY

The execution of this Agreement by any person in the name and on behalf of Licensor shall not, under any circumstances, subject such person to any individual or personal liability, present or future.

SECTION 39.

GOVERNING LAW AND JURISDICTION

The validity, construction and enforceability of this Agreement shall in all respects be governed by and construed in accordance with the law of the State of Illinois.

Licensee irrevocably submits itself to the original jurisdiction of those courts located within the County of Cook, State of Illinois, with regard to any controversy arising out of, relating to, or in any way concerning the execution or performance of this Agreement. Service of process on Licensee may be made, at the option of the City, either by registered or certified mail addressed to the applicable office as provided for in this Agreement, by registered or certified mail addressed to the office actually maintained by Licensee, or by personal delivery on any officer, director, or managing or general agent of Licensee. If any action is brought by Licensee against the City concerning this Agreement, the action must be brought only in those courts located within the County of Cook, State of Illinois.

SECTION 40.

NO LEASEHOLD INTEREST

Nothing in this Agreement is intended, or shall be deemed, to give rise to a lease of real estate by Licensor or Licensee. This Agreement constitutes a license agreement which permits Licensee to operate a concession in Millennium Park. No leasehold interest is hereby conveyed nor has any such interest ever been conveyed to Licensee by Licensor.

SECTION 41.

CITY'S GOVERNMENTAL FUNCTIONS

Nothing contained in this Agreement shall impair the right of City, in the exercise of its governmental functions, to require Licensee to pay any tax or inspection fees or to produce necessary permits or licenses.

SECTION 42.

RECYCLING

The Licensee shall comply with all applicable rules and regulations promulgated by the City or other governmental entity with respect to recycling. The Licensee covenants that it will be a full and active participant in any recycling program developed by the City which the Commissioner determines in his sole discretion to be applicable to the Licensee. The City shall have the right to inspect the Licensee's recycling operations upon reasonable notice; and to terminate this Agreement, in whole or in part, if Licensee is not in compliance.

SECTION 43

LICENSES AND PERMITS

The Licensee shall obtain at its own expense all licenses and permits required to perform its operations hereunder.

SECTION 44.

TAXES

Licensee shall be responsible for payment of all applicable taxes levied against the Premises and shall pay such taxes directly to the appropriate taxing agency. Licensee shall provide the Commissioner with copies of all notices relating to such taxes within thirty (30) days of request and shall provide the Commissioner with a receipt indicating payment of such taxes. Nothing herein shall preclude Licensee from contesting such charge or tax.

SECTION 45.

NO DAMAGES FOR DELAY

Licensee agrees that it shall make no claims against Licensor for damages, charges,

additional costs or fees or any lost profits or costs incurred by reason of delays or hindrances by the Licensor in the performance of its obligation under this Agreement. The Licensor shall not be liable or responsible for Licensee for any loss or damage to any property or person or any lost profit occasioned by theft, fire, act of God, public enemy, injunction, riot, strike, insurrection, war, court order, requisition or order of governmental body or authority, or for any damage or inconvenience or lost profits which may arise through repair or alteration of any part of Millennium Park or failure to make any such repairs.

SECTION 46.

SALARIES

Salaries of all employees of the Licensee and its Subcontractors performing services under this Agreement shall be paid as required by applicable law.

[the remainder of this page intentionally blank]

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed as of the day and year fist above written.

SIGNED at Chicago, Illinois:	
,	CITY OF CHICAGO
By:	· · · · · · · · · · · · · · · · · · ·
	Commissioner
	Department of Cultural Affairs and Special Events
	LINCOLN PARK BREWERY, INC., D/B/A GOOSE ISLAND BREWING CO.
	By:
	Its:
	Attest:
State of	
County of	
This instrument was acknowledged before (name/s of person/s) as (ty (name of party on behalf of whom instrum	pe of authority, e.g., officer, trustee, etc.) of
(Signature of Notary Public)	Seal:

EXHIBIT A-I

DESCRIPTION OF LICENSE

The east concession pad adjacent to the Jay Pritzker Pavilion at Millennium Park (the "Concession Pad"), plus the fenced seating area adjacent to the Concession Pad (the "Seating Space"), each as shown on the map attached as Exhibit A-2 (the Concession Pad and Seating Space may be known collectively herein as the "Concession Site").

EXHIBIT A-2

THE PREMISES

[See attached]

January 3, 2011 @ 1:00 p.m.

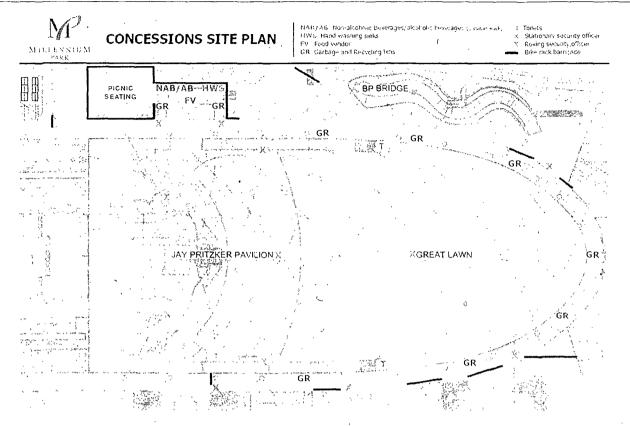


EXHIBIT B

[RESERVED]

EXHIBIT C

ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

[See attached]

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Lincoln Park Brewery d/b/a Goose Island Brewery
Check ONE of the following three boxes:
Indicate whether Disclosing Party submitting this EDS is: 1. [X] the Applicant OR
 [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which Disclosing Party holds an interest: OR
3. [] a specified legal entity with a right of control (see Section II.B.1.b.) State the legal name of the entity in which Disclosing Party holds a right of control:
B. Business address of Disclosing Party: 1800 W. Clybourn
Chicago, IL 60614
C. Telephone: 312-962-7870 Fax: Email: Mane & Swife -
D. Name of contact person: TIME LANE
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
MILLENNIUM PMA FOOD & BEVERAGE CONCENTION
G. Which City agency or department is requesting this EDS?
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rty:
[] Person	[] Limited liability company*
[] Publicly registered business corporation	[] Limited liability partnership*
[x] Privately held business corporation	[] Joint venture*
[] Sole proprietorship	Not-for-profit corporation
[] General partnership*	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership*	[] Yes [] No
[] Trust	[] Other (please specify)
* Note B.I.b below.	
2. For legal entities, the state (or foreign c	ountry) of incorporation or organization, if applicable:
Illinois	
business in the State of Illinois as a foreign en	tate of Illinois: Has the organization registered to do tity? [x] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
For not-for-profit corporations, also list below	les of all executive officers and all directors of the entity. all members, if any, which are legal entities. If there are usts, estates or other similar entities, list below the legal
Name	Title
John R. Hall	CEO
Bruce Lange	Secretary

1.b. If you checked "General partnership," "Limited partnership," "Limited liability company," "Limited liability partnership" or "Joint venture" in response to Item A.1. above (Nature of Disclosing Party), list below the name and title of each general partner, managing member, manager or

Name		Title
indirect beneficion of such an interest of a merestate or other simunicipal Code	al interest (including ownership) in east include shares in a corporation, pander or manager in a limited liability milar entity. If none, state "None."	cerning each person or entity having a direct or excess of 7.5% of the D isclosing Party. Examples artnership interest in a partnership or joint venture company, or interest of a beneficiary of a trust, NOTE : Pursuant to Section 2-154-030 of the City may require any such additional information chieve full disclosure.
Name	B usiness A ddress	Percentage Interest in the Disclosing Party 100%
	Chicago, IL 60612	
SECTION III -	RUSINESS DEL ATIONSHIPS V	VITH CITY ELECTED OFFICIALS
Has the Discl		hip," as defined in Chapter 2-156 of the Municipa
[]Yes	[x] N o	
If yes, please ide elationship(s):	ntify below the name(s) of such City	velected official(s) and describe such

any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE:

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total

amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
		Adne -	
	,		
(Add sheets if necessa	ry)		
Check here if the Dentities.	isclosing party h	as not retained, nor expects to retain, a	any such persons or
SECTION V CER	FIFICATIONS		
A. COURT-ORDERE	D CHILD SUP	PORT COMPLIANCE	
-		2-415, substantial owners of business e th their child support obligations throu	
· -	•	tly owns 10% or more of the Disclosir ons by any Illinois court of competent	_ ,
[] Yes [N [k] oN [No person owns 10% or more of the Di	sclosing Party.
If "Yes," has the person is the person in compli		court-approved agreement for paymengreement?	nt of all support owed and
[] Yes [] No	•	,

B. FURTHER CERTIFICATIONS

- 1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 2. The certifications in subparts 2, 3 and 4 concern:
 - the Disclosing Party;
 - any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)

1. CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

2-32 of the Munic	ipal Code, explain here (attach addi	tional pages if necessary):
		·
	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATI	ON REGARDING INTEREST IN	CITY BUSINESS
Any words or term meanings when use		of the Municipal Code have the same
	financial interest in his or her own r	unicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you cho		o Items D.2, and D.3. If you checked "No" to
elected official or of any other person of for taxes or assessing "City Property Sales	employee shall have a financial inter r entity in the purchase of any prope ments, or (iii) is sold by virtue of leg	e bidding, or otherwise permitted, no City rest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	No	
	xed "Yes" to Item D.1., provide the ees having such interest and identif	names and business addresses of the City y the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has

disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.
Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2.
x_1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1(a) above, the Disclosing Party has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records:
SECTION VI CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Begin list here, add sheets as necessary): NA

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.I. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants forms.html.

- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

is the Disclosing Party the	Applicant?
[X] Yes	[] No
If "Yes," answer the three	questions below:
· · · · · · · · · · · · · · · · · · ·	d and do you have on file affirmative action programs pursuant to ons? (See 41 CFR Part 60-2.) [] No
•	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements? [] No
3. Have you participat equal opportunity clause?	ed in any previous contracts or subcontracts subject to the
[]Yes	[x] N o
If you checked "No" to que	estion 1. or 2. above, please provide an explanation:
SECTION VII ACKNOCOMPLIANCE, PENAL	OWLEDGMENTS, CONTRACT INCORPORATION, TIES, DISCLOSURE
The Disclosing Party under	rstands and agrees that:
itself and the persons or en	ig this EDS, the Disclosing Party acknowledges and agrees, on behalf of tities named in this EDS, that the City may investigate the r all of the persons or entities named in this EDS.
any contract or other agree whether procurement, City execution of any contract of	osures, and acknowledgments contained in this EDS will become part of ment between the Applicant and the City in connection with the Matter, assistance, or other City action, and are material inducements to the City's or taking other action with respect to the Matter. The Disclosing Party mply with all statutes, ordinances, and regulations on which this EDS is

C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.I. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

- H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- H.2 If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.I. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

LINCOLN PARK BREWERY	Date: March 4, 2011
(Print or type name of Disclosing Party)	
By:	
(sign here)	
ANTHONY POWKER	
(Print or type name of person signing)	
CHIEF OPERATING OFFICER	
(Print or type title of person signing)	
at Cook County, 12 (s	otary Public. Official Seal Eilis S Krick Notary Public State of Iliinois My Commission Expires 10/23/2013

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

\cdot	
A. Legal name of the Disclosing Party submitting this EDS. Include d/h/a/ if applicable:	
Goose Holdings Inc., DBA Goose Island Beer Company	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR	
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of th Applicant in which the Disclosing Party holds an interest:	
3. A legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: Lincoln Fark Brewiry, Inc.	n
B. Business address of the Disclosing Party: 1806 W. Fulton Street	
Chicago, IL 60612	
C. Telephone: 312.226.119 Fax: 312.733.1692 Email: tory bowker@goog	L'E
D. Name of contact person: Tony Bowker	
E. Federal Employer Identification No. (if you have one):	
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):)
Millennium Fork Food+ Beverage Concession	
G. Which City agency or department is requesting this EDS?	
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	
Specification # and Contract #	

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PART	Y .
 Indicate the nature of the Disclosing P Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	Party: [] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
- · · · · · ·	country) of incorporation or organization, if applicable:
3. For legal entities not organized in the business in the State of Illinois as a foreign en	State of Illinois: Has the organization registered to do ntity?
[] Yes [] No	N/A
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:
NOTE: For not-for-profit corporations, also there are no such members, write "no member the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the national statement of the second	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If ers." For trusts, estates or other similar entities, list below ed partnership, limited liability company, limited liability me and title of each general partner, managing member, ntrols the day-to-day management of the Disclosing Party ubmit an EDS on its own behalf.
Name	Title
John R Hall	President
-Bruce R Lange	Secretary
	5

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
John B. Hall	1600 w. Fulton St. Chiang	10 24%
Todd Holmes	ic	13.870
Lauis Amoros		15 %
Robert Beau	oien "	15%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	MNO	
If yes, please ide relationship(s):	ntify below the name(s) of s	such City elected official(s) and describe such

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

r	Name (indicate whether retained or anticipated o be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
-	NONE			not an acceptable response.
(Add sheets if necessary)			
Ţ	Check here if the Disc	closing Party ha	as not retained, nor expects to retain	a, any such persons or entities
S	SECTION V CERTI	FICATIONS		
A	A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
ť	₹		415, substantial owners of business h their child support obligations thre	
		•	ly owns 10% or more of the Disclosons by any Illinois court of competer	•
	[]Yes	lo []N	o person directly or indirectly owns sclosing Party.	10% or more of the
	f "Yes," has the person of the person in complian		court-approved agreement for paymereement?	ent of all support owed and
	[]Yes []N	lo		
E	B. FURTHER CERTIFI	CATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the pennanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	Disclosing Party), the Disclosing	ify to any of the a	bove statements	in this Part	B (Further
1		 1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-		· · · · · · · · · · · · · · · · · · ·	
			,		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that the Disclosing Party (check one)

N is not [] is

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? No

[]Yes

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.I., proceed to Part E.

elected official or any other person for taxes or asses "City Property Sa	pursuant to a process of competitive bidding, or otherwise permitted, no City employee shall have a financial interest in his or her own name or in the name or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold ments, or (iii) is sold by virtue of legal process at the suit of the City (collective e"). Compensation for property taken pursuant to the City's eminent domain por a financial interest within the meaning of this Part D.
Does the Matter i	volve a City Property Sale?
[]Yes	[] No
	xed "Yes" to Item D.1., provide the names and business addresses of the City ees having such interest and identify the nature of such interest:
Name	Business Address Nature of Interest
disclose below or comply with these connection with t	her 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must n an attachment to this EDS all information required by paragraph 2. Failure to disclosure requirements may make any contract entered into with the City in e Matter voidable by the City.
comply with these connection with t	disclosure requirements may make any contract entered into with the City in
issued to slaveho	veholder insurance policies during the slavery era (including insurance policies lers that provided coverage for damage to or injury or death of their slaves), and by has found no such records.
Disclosing Party policies. The Dis	osing Party verifies that, as a result of conducting the search in step 1 above, the as found records of investments or profits from slavery or slaveholder insurance losing Party verifies that the following constitutes full disclosure of all such the names of any and all slaves or slaveholders described in those records:
	· · · · · · · · · · · · · · · · · · ·

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

	I.	List below	the names	of all perso	ns or entiti	ies registe	ered unde	er the fed	deral Lobb	ying	
Dis	sclo	sure Act of	1995 who	have made	lobbying c	contacts o	n behalf	of the D	isclosing I	arty w	ith
res	pec	t to the Mat	tter: (Add s	heets if nec	essary):						

	,	
RIDGIE	-	
NONE		
•		

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing I	Party the Applicant?
[] Yes	MNo
If "Yes," answer t	he three questions below:
federal regulations	eveloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2.)
[] Yes	[] No
Contract Complian	iled with the Joint Reporting Committee, the Director of the Office of Federal nee Programs, or the Equal Employment Opportunity Commission all reports due le filing requirements? [] No
[] 1 65	[] 140
3. Have you p equal opportunity	articipated in any previous contracts or subcontracts subject to the clause?
[] Yes	[] No
If you checked "N	o" to question I. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide tmthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Goose Holdings Inc., DBA Goose Island Beer Company
(Print or type name of Disclosing Party)
By: (Sign here)
Anthony Bowker (Print or type name of person signing)
Chief Operating Officer (Print or type title of person signing)
Signed and sworn to before me on (date) Warch 18,2011, at County, Illinois (state). Notary Public.
Commission expires: [D 23 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

•	
A. Legal name of Disclosing Party submitting	this EDS. Include d/b/a/ if applicable:
Lincoln Park Brewery d/b/a Goose	Island Brewery
Check ONE of the following three boxes:	
Check Oive of the following three boxes.	
Indicate whether Disclosing Party submitting to 1. [x] the Applicant OR	this EDS is:
2. [] a legal entity holding a direct or indir	rect interest in the Applicant. State the legal name of the ds an interest:
3. [] a specified legal entity with a right o	f control (see Section II.B.1.b.) State the legal name of its a right of control:
B. Business address of Disclosing Party:	1800 W. Clybourn
	Chicago, IL 60614
C. Telephone: 312-962-7870 Fax:	Email: //Cre @ 500 PR
D. Name of contact person: 115 LA	Email: //Cre @ 500\$R
E. Federal Employer Identification No. (if you	
F. Brief description of contract, transaction or which this EDS pertains. (Include project num	other undertaking (referred to below as the "Matter") to be and location of property, if applicable):
MILLENNIUM PARA	FOOD + BEVERAGE CONCELLION
G. Which City agency or department is reques	ting this EDS?
If the Matter is a contract being handled by complete the following:	the City's Department of Procurement Services, please
Specification #	and Contract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF DISCLOSING PARTY	
 Indicate the nature of the Disclosing Partial Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership* Limited partnership* Trust 	[] Limited liability company* [] Limited liability partnership* [] Joint venture* [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
* Note B.1.b below.	
Illinois	country) of incorporation or organization, if applicable: tate of Illinois: Has the organization registered to do tity?
[] Yes [] No	[×] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
For not-for-profit corporations, also list below	eles of all executive officers and all directors of the entity. Tall members, if any, which are legal entities. If there are usts, estates or other similar entities, list below the legal
Name	Title
John R. Hall	CEO
Bruce Lange	Secretary

1.b. If you checked "General partnership," "Limited partnership," "Limited liability company," "Limited liability partnership" or "Joint venture" in response to Item A.1. above (Nature of Disclosing Party), list below the name and title of each general partner, managing member, manager or

interest of a member or manager in a linited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Busines	s Address		tage Interest in the	
CHICAGO BREV	VPUBS INC.	1800 W. CLYB	Disclos SURN	sing Party	
		CHICHGOIL	00614		
SEČTION III B	USINESS REL	ATIONSHIPS WIT	H CITY EL	ECTED OFFICIALS	
		ousiness relationship in the 12 months be		n Chapter 2-156 of the M his EDS is signed?	Municipal
[] Yes	M No				
If yes, please identi relationship(s):	fy below the nar	ne(s) of such City ele	ected official(s) and describe such	

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name	Business	Relationship to Disclosing Party	Fees
(indicate whether	Address	(subcontractor, attomey,	(indicate whether
retained or anticipated		lobbyist, etc.)	paid or estimated)
to be retained)		• , ,	
		Dane -	

(Add sheets if necessary)

Check here if the Disclosing party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the term of the contract.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[]Yes	[] No	[x] No person owns 10% or more of the Disclosing Party.	
•	•	d into a court-approved agreement for payment of all support owed and that agreement?	d
[]Yes	[]No		,

B. FURTHER CERTIFICATIONS

- 1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrnst statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government
 - 2. The certifications in subparts 2, 3 and 4 concern:
 - the Disclosing Party;
 - any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Appheable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affihated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
NA NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)

1. CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employe of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain pow does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:		:	
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employe of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain pow does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City			
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employe of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain powdoes not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City			•
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employe of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain powdoes not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City		•	
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employe of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain pow does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City	·	•	
1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employe of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City	D. CERTIFICATIO	N REGARDING INTEREST IN	CITY BUSINESS
of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain powers and constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City	=		of the Municipal Code have the same
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively 'City Property Sale"). Compensation for property taken pursuant to the City's eminent domain powdoes not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City	of the City have a fir entity in the Matter?		
elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively located location). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City			o Items D.2. and D.3. If you checked "No" to
[] Yes No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City	elected official or en any other person or e for taxes or assessme 'City Property Sale"	ployee shall have a financial into ntity in the purchase of any prop ents, or (iii) is sold by virtue of le c. Compensation for property tak	erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain power
3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City	Does the Matter invo	lve a City Property Sale?	
	[] Yes	No	
	•		•
Name Business Address Nature of Interest	. •	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and ali records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2.

x 1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of
the Disclosing Party and any and all predecessor entities for records of investments or profits from
slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found
no records of investments or profits from slavery, the slave industry, or slaveholder insurance
policies and no records of names of any slaves or slaveholders.

2. The Disclosing Party verifies	that, as a result of	conducting the search in step 1(a) above	e, ine
Disclosing Party has found records re	lating to investmen	nts or profits from slavery, the slave ind	ustry,
or slaveholder insurance policies and/	or the names of an	y slaves or slaveholders. The Disclosin	ng
Party verifies that the following const	itutes full disclosur	re of all such records:	
·			:
			•
		·	
			

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying
Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with
respect to the Matter: (Begin list here, add sheets as necessary):
NA NA

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of die Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any finds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (li) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

	\cdot
[×] Yes	[] No
If "Yes," answer the	e three questions below:
	veloped and do you have on file affirmative action programs pursuant to egulations? (See 41 CFR Part 60-2.) [] No
Contract Compliance	ed with the Joint Reporting Committee, the Director of the Office of Federal ee Programs, or the Equal Employment Opportunity Commission all reports due filing requirements? [] No
3. Have you parequal opportunity cl	ticipated in any previous contracts or subcontracts subject to the ause? [x] No
If you checked "No'	'to question 1. or 2. above, please provide an explanation:
	ACKNOWLEDGMENTS, CONTRACT INCORPORATION, ENALTIES, DISCLOSURE
The Disclosing Party	y understands and agrees that:
itself and the person	and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of s or entities named in this EDS, that the City may investigate the some or all of the persons or entities named in this EDS.
any contract or other	s, disclosures, and acknowledgments contained in this EDS will become part of agreement between the Applicant and the City in connection with the Matter, t, City assistance, or other City action, and are material inducements to the City's

Is the Disclosing Party the Applicant?

based.

C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is

- D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or ali of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

- H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- H.2 If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

LINCOLN PARK BREWERY	_	
(Print or type name of Disclosing Party)		
By:		
(Sign here)		•
ANTHONY BOWLES		
(Print or type name of person signing)	-	
CHIEF FYNAMIA OFFICER	. •	
(Print or type title of person signing)	0	
	(/	
Signed and sworn to before me on (date)	10il 29 20	//
at (B)K () County, Illinois	(state).	<u>, </u>
"/- X V : 1	(3tato).	AAAAA AAAAAA
July Duk	_ Notary Public.	Official Seal
10/2 /2012		Eilis S Krick Notary Public State of Illinois
Commission expires: 10/23 10/3	•	My Commission Expires 10/23/2013 🕻

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	. [X] No	
such person is conne	cted; (3) the name and title of	tle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such ise nature of such familial relationship.
		· · · · · · · · · · · · · · · · · · ·

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of Disclosing Party submitting this	EDS. Include d/b/a/ if applicable:
CHICAGO BREWPUBS INC.	
Check ONE of the following three boxes:	
Indicate whether Disclosing Party submitting this E 1. [] the Applicant OR	DS is:
2. [] a legal entity holding a direct or indirect in Applicant in which Disclosing Party holds an OR	nterest in the Applicant. State the legal name of the interest:
3. M a specified legal entity with a right of continuous the entity in which Disclosing Party holds a right	trol (see Section II.B.1.b.) State the legal name of ght of control: LINCOLN PARK BREWERY, INC.
B. Business address of Disclosing Party:	1800 W. CUBOURN CHICAGO, IL 60614
	3 1692 Email: tonybowker@gooseislar
D. Name of contact person: Tony Bowker	
E. Federal Employer Identification No. (if you have	one):
F. Brief description of contract, transaction or other which this EDS pertains. (Include project number a	_
MILLENIUM PARK FOOD & BEVER	AGE CONCESSION
G. Which City agency or department is requesting to	this EDS?
If the Matter is a contract being handled by the Complete the following:	ity's Department of Procurement Services, please
Specification #	and Contract #

SECTION H -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

I. Indicate the nature of the Disclosing P	arty:
[] Person	[] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
Privately held business corporation	[] Joint venture
Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign	country) of incorporation or organization, if applicable:
3. For legal entities not organized in the business in the State of Illinois as a foreign entitle of the state of Illinois as a foreign entitle of the state of t	State of Illinois: Has the organization registered to do ntity?
[] Yes [] No	N/A
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:
NOTE: For not-for-profit corporations, also there are no such members, write "no member the legal titleholder(s). If the entity is a general partnership, limite partnership or joint venture, list below the national statement of the second statem	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability me and title of each general partner, managing member, ntrols the day-to-day management of the Disclosing Party ubmit an EDS on its own behalf.
Name	Title
John R Hall	President
BruceRLange	Secretary

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
John R. Hall	1600 w. Fulton St. Chilage	0 2490
Todd Holmes	l (13.870
Lauis Amaros) u	15 70
Bobert Beaul	oien "	15%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	MNO	· ·
If yes, please identrelationship(s):	ify below the name(s	s) of such City elected official(s) and describe such

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
NONE			not an acceptable response.
(Add sheets if necessary))	•	•
Check here if the Disc	closing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERTIN	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	•
-		-415, substantial owners of business th their child support obligations thro	
	-	tly owns 10% or more of the Disclos ons by any Illinois court of competer	
[]Yes		to person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person of is the person in complian		court-approved agreement for paymegreement?	ent of all support owed and
[]Yes []N	То	•	
B. FURTHER CERTIFI	CATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is a	unable to certify to any of the above s	statements in this Part B (Further		
Certifications), the Disclosing Party must explain below:				
·				
<u> </u>				
•				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is not [] is

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? ΝNο

[]Yes

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or any other person of for taxes or assess "City Property Sal	I pursuant to a process of competitive employee shall have a financial interpretentity in the purchase of any properments, or (iii) is sold by virtue of leg le"). Compensation for property takes a financial interest within the mean	rest in his or her own nar rty that (i) belongs to the gal process at the suit of the on pursuant to the City's	me or in the name of e City, or (ii) is sold the City (collectively,
Does the Matter in	avolve a City Property Sale?		
[] Yes	[] No		
	ked "Yes" to Item D.1., provide the r yees having such interest and identify		
Name	Business Address	Nature of Interes	st
			
	osing Party further certifies that no pr y City official or employee.	ohibited financial intere	st in the Matter will
E. CERTIFICATI	ON REGARDING SLAVERY ERA	BUSINESS	
disclose below or comply with these	ther 1. or 2. below. If the Disclosing in an attachment to this EDS all infordisclosure requirements may make a matter voidable by the City.	rmation required by para	graph 2. Failure to
from slavery or sla issued to slavehold	osing Party verifies that the Disclosing ty and any and all predecessor entitienteholder insurance policies during the ders that provided coverage for damaty has found no such records.	he slavery era (including	insurance policies
Disclosing Party h policies. The Disc	osing Party verifies that, as a result of as found records of investments or party verifies that the following the names of any and all slaves or slaves.	rofits from slavery or slang constimtes full disclo aveholders described in	weholder insurance sure of all such
		·	

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. 1	List below	the names	of all persons o	r entities regi	stered under	the federal	Lobbying
Disclos	ure Act of	1995 who	have made lobb	ying contacts	s on behalf o	f the Disclos	sing Party with
respect	to the Ma	tter: (Add s	sheets if necessa	ry):			

110	5 1	بسس _ا
No	N	

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of

negotiations.	·
Is the Disclosing Party	
[] Yes	MNo
If "Yes," answer the th	aree questions below:
	oped and do you have on file affirmative action programs pursuant to applicable See 41 CFR Part 60-2.) [] No
	with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports due ling requirements? [] No
3. Have you particle equal opportunity clau	cipated in any previous contracts or subcontracts subject to the se?
[] Yes	[] No
If you checked "No" to	o question I. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is avaliable on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matrer certifications equal in form and substance to those in H.1. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

CHICAGO BREWPUBS INC	Date: Apr 125, 2011
(Print or type name of Disclosing Party)	
Ву	
(sign here)	
ANTHONY ROUND	
(Print or type name of person signing)	
CHE SPORMS OFFICER	
(Print or type title of person signing)	
Signed and sworn to before me on (date) will at County, IL (sta	25, 201 , by Juthoxy Bowker te).
Commission expires: $10 \mid 23 \mid 13$	Official Seal Eilis S Krick Notary Public State of Illinois My Commission Expires 10/23/2013

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Goose Holdings Inc., DBA Goose Island Beer Company
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR
3. A legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: Lincoln Park Brewery, Inc.
B. Business address of the Disclosing Party: 1800 W. Fulton Street Chicago, IL 60612
C. Telephone: 312.226.119 Fax: 312.7331692 Email: torybowker@grosek
D. Name of contact person: Tony Bowker
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Millennium Fark Food+ Perserage Concession
G. Which City agency or department is requesting this EDS?
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PART	Y
 I. Indicate the nature of the Disclosing P. [] Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust 	arty: [] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
Illinois	country) of incorporation or organization, if applicable: State of Illinois: Has the organization registered to dontity?
[] Yes [] No	M/N/A
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:
NOTE: For not-for-profit corporations, also there are no such members, write "no member the legal titleholder(s). If the entity is a general partnership, limite partnership or joint venture, list below the name	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability me and title of each general partner, managing member, atrols the day-to-day management of the Disclosing Party ubmit an EDS on its own behalf.
Name Tolon R Hell	Title. Procedule int

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
John R. Hall	1600 W. Fulton St. Chiag	0 24%
Todd Holmes	((13.870
Laus provos) II	15 %
Robert Beaut	nien "	15%

SECTION IH -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	* No		
If yes, please ider relationship(s):	ntify below the name(s) of such	h City elected official(s) and describe such	

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is
NONE			not an acceptable response.
(Add sheets if necessary)	`:		
Check here if the Discl	osing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERTIF	CICATIONS		
A. COURT-ORDERED O	CHILD SUPF	PORT COMPLIANCE	
_		-415, substantial owners of business th their child support obligations thr	
· -	•	tly owns 10% or more of the Disclosons by any Illinois court of competer	•
[]Yes N	o []N Di	To person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person e is the person in compliance		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []No	o	•	
B. FURTHER CERTIFIC	CATIONS		
1. Pursuant to Munici	pal Code Cha	apter 1-23. Article I ("Article I")(wh	nich the Applicant should

consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance

timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlied by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Pa	rt B (Further
Certifications), the Disclosing Party must explain below:	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is not [] is

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? No

[]Yes

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or any other person of for taxes or assess "City Property Sa	employee shall have a financial inte or entity in the purchase of any prope fments, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	avolve a City Property Sale?	
[]Yes	[] No	
	ked "Yes" to Item D.1., provide the yees having such interest and identif	names and business addresses of the City y the nature of such interest:
Name	Business Address	Nature of Interest
be acquired by any	y City official or employee.	rohibited financial interest in the Matter will
E. CERTIFICATI	ON REGARDING SLAVERY ERA	BUSINESS
disclose below or comply with these	in an attachment to this EDS all info	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Par from slavery or slavehold issued to slavehold	ty and any and all predecessor entiti aveholder insurance policies during	ing Party has searched any and all records of es regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party by policies. The Disc	has found records of investments or pelosing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ing constitutes full disclosure of all such laveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying
Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with
respect to the Matter: (Add sheets if necessary):

N	0	N	E
, ,		<i>}</i> ч	صسسة

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

	derally funded, federal regulations require the Applicant and all proposed submit the following information with their bids or in writing at the outset of
Is the Disclosing	Party the Applicant?
[] Yes	MNo
If "Yes," answer	he three questions below:
	leveloped and do you have on file affirmative action programs pursuant to applicables? (See 41 CFR Part 60-2.) [] No
Contract Complia	filed with the Joint Reporting Committee, the Director of the Office of Federal nice Programs, or the Equal Employment Opportunity Commission all reports due ble filing requirements? [] No
3. Have you pequal opportunity	participated in any previous contracts or subcontracts subject to the clause?

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

If you checked "No" to question 1. or 2. above, please provide an explanation:

The Disclosing Party understands and agrees that:

[] No

[]Yes

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.I. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Goose Holdings Inc., DBA Goose Islan	d'beer Lompany
(Print or type name of Disclosing Party)	`
By: (Sign here)	
Anthony Bowker (Print or type name of person signing)	
Chief Operating Officer (Print or type title of person signing)	
Signed and sworn to before me on (date) Warch 18, 20 at (40 K) County, Illinois (state). Notary Public.	<u> 211</u> ,
► My Co	Official Seal Eilis S Krick tary Public State of Illinois mmission Expires 10/23/2013

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of Disclosing Party submitting	this EDS. Include d/b/a/ if applicable:
Lincoln Park Brewery d/b/a Goose	Island Brewery
Check ONE of the following three boxes:	
Indicate whether Disclosing Party submitting the 1. [x] the Applicant OR	nis EDS is:
	ect interest in the Applicant. State the legal name of the s an interest:
3. [] a specified legal entity with a right of	control (see Section II.B.1.b.) State the legal name of a right of control:
3. Business address of Disclosing Party:	1800 W. Clybourn
	Chicago, IL 60614
C. Telephone: 312-962-7870 Fax:	Email: //ine @ Swik
D. Name of contact person: Tim LAA	1E
E. Federal Employer Identification No. (if you	have one)
F. Brief description of contract, transaction or ownich this EDS pertains. (Include project num	other undertaking (referred to below as the "Matter") to ber and location of property, if applicable):
MILLENNIUM MAG	FOOD & BEVERAGE CONCELLION
G. Which City agency or department is request	ting this EDS?
If the Matter is a contract being handled by t complete the following:	he City's Department of Procurement Services, please
Specification #	and Contract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	arty:	
[] Person	[] Limited liability company*	
[] Publicly registered business corporation	[] Limited liability partnership*	
[x] Privately held business corporation	[] Joint venture*	
[] Sole proprietorship	[] Not-for-profit corporation	
[] General partnership*	(Is the not-for-profit corporation also a 501(c)(3))?	
[] Limited partnership*	[] Yes [] No	
[] Trust	[] Other (please specify)	
* Note B.1.b below.	·	
2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:	
Illinois	·	
business in the State of Illinois as a foreign en [] Yes [] No	State of Illinois: Has the organization registered to do atity? [x] N/A	
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:	
For not-for-profit corporations, also list below	tles of all executive officers and all directors of the entity all members, if any, which are legal entities. If there are rusts, estates or other similar entities, list below the legal	e
Name	Title	
John R. Hall	CEO	
Bruce Lange	Secretary	

1.b. If you checked "General partnership," "Limited partnership," "Limited liability company," "Limited liability partnership" or "Joint venture" in response to Item A.1. above (Nature of Disclosing Party), list below the name and title of each general partner, managing member, manager or

Name 		Title
indirect beneficial indirect beneficial in of such an interest interest of a member similar and code of Municipal Code of	interest (including ownership) in ex include shares in a corporation, part er or manager in a limited liability of lar entity. If none, state "None." No	rning each person or entity having a direct of cess of 7.5% of the Disclosing Party. Examinership interest in a partnership or joint venompany, or interest of a beneficiary of a trust OTE: Pursuant to Section 2-154-030 of the City may require any such additional informatieve full disclosure.
	Business Address	Percentage Interest in the Disclosing Party
	Business Address , Inc. 1800 W. Fulton St, Chicago, IL 60612	Disclosing Party
Name Goose Holdings	, Inc. 1800 W. Fulton St,	Disclosing Party
Goose Holdings SECTION III B Has the Disclosi	Chicago, IL 60612 USINESS RELATIONSHIPS W	Disclosing Party 100% TH CITY ELECTED OFFICIALS p," as defined in Chapter 2-156 of the Muni
SECTION III B Has the Disclosi	Chicago, IL 60612 USINESS RELATIONSHIPS Wing Party had a "business relationships with the company of the compa	Disclosing Party 100% TH CITY ELECTED OFFICIALS p," as defined in Chapter 2-156 of the Muni

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total

amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (I) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
		NINE -	
(Add sheets if necessary	y)		
Check here if the Disentities.	sclosing party h	as not retained, nor expects to retain, a	any such persons or
SECTION V CERT	IFICATIONS		
A. COURT-ORDEREI	CHILD SUPP	PORT COMPLIANCE	
·		2-415, substantial owners of business e th their child support obligations throu	
· -	•	tly owns 10% or more of the Disclosir ons by any Illinois court of competent	_ ,
[]Yes []	No [k]	No person owns 10% or more of the D	sclosing Party.
If "Yes," has the person is the person in complia		court-approved agreement for paymengreement?	t of all support owed and
[]Yes []	No	•	

B. FURTHER CERTIFICATIONS

- 1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.I.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 2. The certifications in subparts 2, 3 and 4 concern:
 - the Disclosing Party;
 - any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specialiy Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)

1. CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

2-32 of the Municip	al Code, explain here (attach addi	tional pages if necessary):
•	he word "None," or no response a ed that the Disclosing Party certif	ppears on the lines above, it will be lied to the above statements.
D. CERTIFICATIO	N REGARDING INTEREST IN	CITY BUSINESS
Any words or terms meanings when used	-	of the Municipal Code have the same
	nancial interest in his or her own r	unicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you check Item D.l., proceed to		o Items D.2. and D.3. If you checked "No" to
elected official or en any other person or for taxes or assessm "City Property Sale"	nployee shall have a financial inte entity in the purchase of any prope ents, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter inv	olve a City Property Sale?	
[] Yes	No	
· · · · · · · · · · · · · · · · · · ·	ed "Yes" to Item D.1., provide the es having such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	•	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2.

x I. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from

slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1(a) above, the Disclosing Party has found records relating to investments or profits from slavery, the slave industry or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying
Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with
respect to the Matter: (Begin list here, add sheets as necessary):
NA
·

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party th	e Applicant?
[M] Yes	[] No
If "Yes," answer the thre	e questions below:
	ed and do you have on file affirmative action programs pursuant to tions? (See 41 CFR Part 60-2.) [] No
· ·	th the Joint Reporting Committee, the Director of the Office of Federal ograms, or the Equal Employment Opportunity Commission all reports due g requirements? [] No
3 Have you particip equal opportunity clause	ated in any previous contracts or subcontracts subject to the [x] No
If you checked "No" to q	uestion 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. By completing and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of itself and the persons or entities named in this EDS, that the City may investigate the creditworthiness of some or all of the persons or entities named in this EDS.
- B. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

- H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- H.2 If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.1. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

LINCOLN PARK BREWERY	Date: March 4, 2011	
(Print or type name of Disclosing Party)		
Ву:		
(sign here)		
ANTHONY PXNOKER		
(Print or type name of person signing)		
CHIEF OPERATING OFFICER		
(Print or type title of person signing)		
at 100R County, 11	State). Notary Public. Official Seal Eilis S Krick Notary Public State of Illinois My Commission Expires 10/23/2013	<u>Y</u> ,

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of Disclosing Party sub	bmitting this EDS. Include d/b/a/ if applicable:
CHICAGO BREWPUBS INC	<u>C</u>
Check ONE of the following three be	oxes:
Indicate whether Disclosing Party subs 1. [] the Applicant OR	nitting this EDS is:
	or indirect interest in the Applicant. State the legal name of the arty holds an interest:
3. M a specified legal entity with a the entity in which Disclosing Pa	right of control (see Section II.B.1.b.) State the legal name of rty holds a right of control: LINCOLN PARK BREWERY, INC.
B. Business address of Disclosing Par	ty: 1800 W. CUBOURN
	CHICAGO, IL 606 14
	ax: 312 733 1692 Email: tonybowker@gooseislanc
D. Name of contact person: Tony	Bow Ker
E. Federal Employer Identification No.	. (if you have one):
	ction or other undertaking (referred to below as the "Matter") to ject number and location of property, if applicable):
MILLENIUM PARK FOOD ?	s Beverage Concession
G. Which City agency or department is	s requesting this EDS?
If the Matter is a contract being hand complete the following:	dled by the City's Department of Procurement Services, please
Specification #	and Contract #

SECTION H -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLUSING PARTY	Y
 Indicate the nature of the Disclosing Pa Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	[] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign c	country) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the S business in the State of Illinois as a foreign en	tate of Illinois: Has the organization registered to do tity?
[] Yes [] No	M/N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also lithere are no such members, write "no members the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the name	all executive officers and all directors of the entity. Ist below all members, if any, which are legal entities. If Is." For trusts, estates or other similar entities, list below I partnership, limited liability company, limited liability the and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party bmit an EDS on its own behalf.
Name	Title
John R Hall	President
BruceRLange	Secretary

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve fuli disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
John R. Hall	1800 W. Fulton St. Chia	go 2490
Todd Holmes	l (13.870
Lauis Amaros	D 11	15 %
_ Bobert Beaul	oien "	15%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	K No
-------	------

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
NONE			not an acceptable response.
(Add sheets if necessary)			
Check here if the Disc	losing Party h	as not retained, nor expects to retain	n, any such persons or entities
SECTION V CERTIF	FICATIONS		
A. COURT-ORDERED	CHILD SUPF	PORT COMPLIANCE	
		-415, substantial owners of busines th their child support obligations thr	
~ ~	•	tly owns 10% or more of the Disclo	-
[]Yes	o []N	o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person e is the person in complian		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []N	o		
B. FURTHER CERTIFIC	CATIONS		
1. Pursuant to Munic	ipal Code Cha	apter 1-23, Article I ("Article I")(wh	nich the Applicant should

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.I. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged gulity, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concem:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affihated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable Certifications), the Disclosing Party m	,	ove statements in th	is Part B (Further

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

is not [] is

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? No

[]Yes

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official o any other person for taxes or asses "City Property Sa	r employee shall have a financial inter or entity in the purchase of any proper sments, or (iii) is sold by virtue of leg	e bidding, or otherwise permitted, no City rest in his or her own name or in the name of rty that (i) belongs to the City, or (ii) is sold al process at the suit of the City (collectively, or pursuant to the City's eminent domain powering of this Part D.	
Does the Matter	nvolve a City Property Sale?		
[]Yes	[] No		
	cked "Yes" to Item D.1., provide the royees having such interest and identify	names and business addresses of the City the nature of such interest:	
Name	Business Address	Nature of Interest	
		· · · · · · · · · · · · · · · · · · ·	
	osing Party further certifies that no pr y City official or employee.	ohibited financial interest in the Matter will	
E. CERTIFICAT	ION REGARDING SLAVERY ERA	BUSINESS	
disclose below or comply with thes	in an attachment to this EDS all info	Party checks 2., the Disclosing Party must rmation required by paragraph 2. Failure to any contract entered into with the City in	
the Disclosing Pa from slavery or sl issued to slaveho	rty and any and all predecessor entitie aveholder insurance pollcies during tl	ng Party has searched any and all records of es regarding records of investments or profits he slavery era (including insurance policies ge to or injury or death of their slaves), and	
Disclosing Party policies. The Dis	has found records of investments or packed and records of investments or packed and records are sentenced as follows:	f conducting the search in step 1 above, the rofits from slavery or slaveholder insurance ng constitutes full disclosure of all such aveholders described in those records:	
			_

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1.	List be	low the	names	of all pe	ersons c	or entiti	es regis	stered u	nder th	e feder	al Lobby	/ing	
Discl	osure A	ct of 19	95 who	have ma	ade lobl	bying c	ontacts	on beh	alf of t	he Disc	losing P	arty w	ith
respe	ct to the	Matter	: (Add s	heets if	necessa	ıry):							

7 1	. 1	
NO.	NI.	
1 40	, ч	·

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY	
If the Matter is federally funded, federal regulations require the Applicant and all pro-	po

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party t	he Applicant?
[] Yes	MNo
If "Yes," answer the thr	ee questions below:
1. Have you developed federal regulations? (See [] Yes	ped and do you have on file affirmative action programs pursuant to applicable e 41 CFR Part 60-2.) [] No
-	ith the Joint Reporting Committee, the Director of the Office of Federal ograms, or the Equal Employment Opportunity Commission all reports due ng requirements?
[] Yes	[] No
3. Have you participequal opportunity clause	pated in any previous contracts or subcontracts subject to the
[] Yes	[] No
If you checked "No" to	question I. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.1. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

CHICA GO BREWPUBS INC.	Date: Ap-125, 2011
(Print or type name of Disclosing Party)	
Ву	
(sign here)	
ANTHONY ROLLOR	
(Print or type name of person signing)	
CHE GROWING OFFICER	
(Print or type title of person signing)	
Signed and sworn to before me on (date), Mel 2 at County, The County, The Notary Commission expires: 10 [23] 13	Public. Official Segi Eilis S Krick
Commission expires. 10 20 13	Notary Public State of Illinois My Commission Expires 10/23/2013

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Lincoln Park Brewery d/b/a Goose Island Brewery
Check ONE of the following three boxes:
Indicate whether Disclosing Party submitting this EDS is: 1. [x] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which Disclosing Party holds an interest: OR
3. [] a specified legal entity with a right of control (see Section II.B.1.b.) State the legal name of the entity in which Disclosing Party holds a right of control:
B. Business address of Disclosing Party: 1800 W. Clybourn
Chicago, IL 60614
C. Telephone: 312-962-7870 Fax: Email: 1/Cre © 500 Pe
D. Name of contact person: TIM LANE
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
MILLENNIUM PARA FOOD + BEVERAGE CONCELLION
G. Which City agency or department is requesting this EDS?
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

Bruce Lange

A. NATURE OF DISCLOSING PARTY Indicate the nature of the Disclosing Party: [] Person [] Limited liability company* [] Publicly registered business corporation [] Limited liability partnership* [x] Privately held business corporation [] Joint venture* [] Sole proprietorship [] Not-for-profit corporation [] General partnership* (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership* []Yes [] No [] Trust [] Other (please specify) * Note B.I.b below. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Iliinois as a foreign entity? [] Yes [] No [x] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1.a. List below the full names and titles of all executive officers and all directors of the entity. For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). Name Title CEO John R. Hall

1.b. If you checked "General partnership," "Limited partnership," "Limited liability company," "Limited liability partnership" or "Joint venture" in response to Item A.1. above (Nature of Disclosing Party), list below the name and title of each general partner, managing member, manager or

Secretary

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address		Percentage Interest in the			
CHICHGO BREV	VPUBS INC.	1800 W. CLYBI	Disclo SURN	sing Party		
		CHICHGOIL	0614			
SECTION HI B	USINESS REL	ATIONSHIPS WIT	H CITY EL	ECTED OFFICIALS		
	-	ousiness relationship, in the 12 months bef		in Chapter 2-156 of the this EDS is signed?	Municipal	
[] Yes	M No					
If yes, please identificationship(s):	fy below the nar	ne(s) of such City ele	cted official(s) and describe such		

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
	- 2	Ne -	
(Add sheets if necessary)			

SECTION V -- CERTIFICATIONS

[]No

[]Yes

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the term of the contract.

heck here if the Disclosing party has not retained, nor expects to retain, any such persons or

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes	[] No	[x] No person owns 10% or more of the Disclosing Party.
•	•	d into a court-approved agreement for payment of all support owed and that agreement?

B. FURTHER CERTIFICATIONS

- I. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrnst statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 2. The certifications in subparts 2, 3 and 4 concern:
 - the Disclosing Party;
 - any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
NA NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)

CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

	:
	" the word "None," or no response appears on the lines above, it will be med that the Disclosing Party certified to the above statements.
D. CERTIFICATI	ON REGARDING INTEREST IN CITY BUSINESS
Any words or term meanings when us	as that are defined in Chapter 2-156 of the Municipal Code have the same ed in this Part D.
	financial interest in his or her own name or in the name of any other person or r?
NOTE: If you character D.1., proceed	ecked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" l to Part E.
elected official or	pursuant to a process of competitive bidding, or otherwise permitted, no City employee shall have a financial interest in his or her own name or in the name or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold ments, or (iii) is sold by virtue of legal process at the suit of the City (collective)
"City Property Sal	e"). Compensation for property taken pursuant to the City's eminent domain pose a financial interest within the meaning of this Part D.
"City Property Saldoes not constitute	e"). Compensation for property taken pursuant to the City's eminent domain po
"City Property Saldoes not constitute	e"). Compensation for property taken pursuant to the City's eminent domain poet a financial interest within the meaning of this Part D.
"City Property Saldoes not constitute Does the Matter in [] Yes 3. If you check	e"). Compensation for property taken pursuant to the City's eminent domain poet a financial interest within the meaning of this Part D.

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2.

x 1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.

2. The Disc	losing Party verifi	ies that, as a result of o	conducting the search	in step 1(a) above, the		
Disclosing Party	has found records	relating to investmen	ts or profits from slav	ery, the slave industry,		
or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records:						
		·				
	•					

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with espect to the Matter: (Begin list here, add sheets as necessary):	
NA NA	

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Forin to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

[×] Yes	[] No
If "Yes," answer th	three questions below:
	eloped and do you have on file affirmative action programs pursuant to gulations? (See 41 CFR Part 60-2.) [] No
Contract Complian	I with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports due filing requirements? [] No
3. Have you pa	icipated in any previous contracts or subcontracts subject to the use?
[]Yes	[x] No
If you checked "No	to question 1. or 2. above, please provide an explanation:
	CKNOWLEDGMENTS, CONTRACT INCORPORATION, NALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. By completing and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of itself and the persons or entities named in this EDS, that the City may investigate the creditworthiness of some or all of the persons or entities named in this EDS.
- B. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or ali of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

- H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- H.2 If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

LINCOLN PARK BREWERY	
(Print or type name of Disclosing Party)	
Ву:	
(Sign here)	
ANTHONY BOWLES	
(Print or type name of person signing)	
CHIEF FYNAMIAE OFFICER	
(Print or type title of person signing)	
Signed and sworn to before me on (date)	129,2011.
at County, Illinois (state).
Jeile S. Kriek Notar	y Public. Official Seal Eilis S Krick
Commission expires: 10/23/2013.	Notary Public State of Illinois My Commission Expires 10/23/2013

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	. [\] No	
such person is comect	ed; (3) the name and title of	tle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such ise nature of such familial relationship.