

Office of the Chicago City Clerk



O2011-5456

Office of the City Clerk

City Council Document Tracking Sheet

Meeting Date:

7/6/2011

Sponsor(s):

City Clerk Mendoza

Type:

Ordinance

Title:

Zoning Reclassification App No. 17293

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RT3.5, Residential Two-Flat, Townhouse and Multi-Family District symbols and indications as shown on Map No. 9-G in the area bounded

A line 74.20 feet south of West Grace Street; the north-south public alley next east of and parallel to North Greenview Avenue; a line 99.20 feet south of West Grace Street; and North Greenview Avenue

to those of a RT4, Residential Two-Flat, Townhouse and Multi-Family District.

Section 2. This ordinance takes effect after its passage and approval.

Common Address of Property:

3751 North Greenview, Chicago, Illinois.

5357160.1

#17293 IN+OHTE: 7-6-11

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

. ADDRESS	of the property A	pplicant is seek	ing to rezo	ne:		
	3751 North	Greenview	• .			
Ward Numb	er that property is	s located in:	44 th , A	lderman	Гunney	
APPLICAN	TMiddle	fork Capital, LI	LC			
ADDRESS_	One North W	acker Drive, Su	iite 4125	_CITY	Chicago	
STATEI	linois	ZIP CODE	60606	PHONE	312/214-04	00
EMAIL: abo	owyer@middlefo	rkcapital.com (CONTACT	PERSO	N: <u>Andrew C.</u>	Bowyer
If the Appli	cant the owner of cant is not the owner and attached	ner of the prope	erty, please	provide	the following	information
OWNER						
ADDRESS_			· · · · · · · · · · · · · · · · · · ·			·
CITY		STATE_		2	ZIP CODE	
	ant/Owner of the pr de the following in		ned a lawyer	as their re	presentative for	the rezoning
ATTORNE	Y Jes	sica M. Schram	m of Thon	npson Co	bum LLP	
ADDRESS	55	East Monroe Stre	eet, 37 th Flo	or		
CITY	Chicago	STATE	IL	_ZIP CO	DE	60603
PHONE 3	12/ 580-2249		FAX	312/ 782-	3658	
EMAIL <u>isc</u>	ramm@thompsor	ncobum.com				

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6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.
	Middlefork Capital, LLC; Two Rivers, LLC; James D. Denny; and Catherine M. Denny
7.	On what date did the owner acquire legal title to the subject property? <u>February of 2010</u>
8.	Has the present owner previously rezoned this property? If Yes, when? No
9.	Present Zoning District RT3.5 Proposed Zoning District RT4
10.	Lot size in square feet (or dimensions?) 25.0' x 122.65' = 3,066.25 square feet
11.	Current Use of the property: The Property is improved with a newly constructed 2-story single-family residence.
12.	Reason for rezoning the subject property: <u>To allow the conversion of unfinished attic space into 750 square feet of finished third floor living space, which is defined by the Chicago Zoning Ordinance as floor area. The proposed conversion will add additional floor area to the newly constructed single family home, which would exceed the restrictions of the current RT3.5 Zoning District. Thus, the property must be rezoned to an RT4 to permit the described conversion.</u>
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building (BE SPECIFIC).
	The Applicant proposed to convert the unfinished attic space into 750 square feet of finished third floor living space, which is defined by the Chicago Zoning Ordinance as floor area. The proposed conversion will add additional floor area to the newly constructed single family home, which would exceed the restrictions of the current RT3.5 Zoning District. Thus, the property must be rezoned to an RT4 to permit the described conversion. No change is proposed to the exterior envelope of the newly constructed single family home.
	On May 14 th , 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that required on-site affordable housing units or financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Housing Requirements Ordinance? (See Fact Sheet for more information)
	YES NOX

COUNTY OF COOK STATE OF ILLINOIS
I, James D. Denny of Two Rivers, LLC, being first duly swom on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.
Signature of Applicant
Subscribed and Swom to before me this OFFICIAL SEAL"
Notary Public
For Office Use Only
Date of Introduction:
File Number:
Ward:

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about June 29, 2011 the undersigned will file an application for a change in zoning from RT3.5, Residential Two-Flat, Townhouse and Multi-Unit District to an RT4, Residential Two-Flat, Townhouse and Multi-Unit District on behalf of Middlefork Capital, LLC for the property located at 3751 North Greenview, Chicago, Illinois.

The Applicant proposed to convert the unfinished attic space into 750 square feet of finished third floor living space, which is defined by the Chicago Zoning Ordinance as floor area. The proposed conversion will add additional floor area to the newly constructed single family home, which would exceed the restrictions of the current RT3.5 Zoning District. Thus, the property must be rezoned to an RT4 to permit the described conversion. No change is proposed to the exterior envelope of the newly constructed single family home.

Please be advised that this proposed project and zoning amendment is current under review by Alderman Tunney and Southport Neighbors Association. No official action shall be taken on this application until after the Aldermanic and community review have been completed.

Middlefork Capital, LLC is located at One North Wacker Drive, Suite 415, Chicago, Illinois 60606. The contact person for this application is the attorney for the Applicant: Jessica M. Schramm of Thompson Cobum LLP located at 55 East Monroe Street, 37th Floor, Chicago, Illinois 60603, (312) 346-7500.

PLEASE NOTE THAT THE APPLICANT IS NOT SEEKING TO REZONE OR PURCHASE YOUR PROPERTY. THE APPLICANT IS REQUIRED BY LAW TO SEND THIS NOTICE BECAUSE YOU OWN PROPERTY WITHIN 250 FEET OF THE PROPERTY TO BE REZONED.

Kindest Regards,

Jessica Schramm

Attorney for Applicant

5357148.1

"WRITTEN NOTICE" AFFIDAVIT (Section 17-13-0107)

June 29, 2011

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Jessica M. Schramm, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the amended notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant filed an application for a change in zoning on approximately June 29, 2011.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Signature

Substricted and Swo

Sworn to before me this

9 11/11

Notary Public

OFFICIAL SEAL
LESLEY D. MAGNABOSCO
Notary Public - State of Illinois

My Commissión Expires Aug 25, 2011

5357165.1

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

Α.	Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
	Middlefork Capital, LLC
Ch	eck ONE of the following three boxes:
	icate whether the Disclosing Party submitting this EDS is: 1. [x] the Applicant OR
	2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of th Applicant in which the Disclosing Party holds an interest: OR
	3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
В.	Business address of the Disclosing Party: One North Wacker Drive, Suite 4125
	Chicago, Illinois 60606
C .	Telephone: 312/214-0400 Fax: Email: abowyer@middleforkcapital.com
D.	Name of contact person: Andrew Bowyer
E.	Federal Employer Identification No. (if you have one):
	Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to ich this EDS pertains. (Include project number and location of property, if applicable):
Z	oning Map Amendment of property located at 3751 North Greenview, Chicago, Illinois.
G.	Which City agency or department is requesting this EDS? Department of Housing & Economic Development
	If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
	Specification # and Contract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rty:
[] Person	[x] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign c	country) of incorporation or organization, if applicable:
Illinois	
THII IOIS	
business in the State of Illinois as a foreign en	
[] Yes [] No	[x] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
1. List below the full names and titles of a	all executive officers and all directors of the entity.
NOTE: For not-for-protit corporations, also l	ist below all members, if any, which are legal entities. If
	rs." For trusts, estates or other similar entities, list below
the legal titleholder(s).	
If the entity is a general partnership, limited	d partnership, limited liability company, limited liability
· · · · · · · · · · · · · · · · · · ·	ne and title of each general partner, managing member,
	trols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must su	
Name	Title
Two Rivers, LLC	Managing Member

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Name

2 (41110			_
		Disclosin	g Party .
Two Rivers, LLC	One North Wacker Drive, Ste.	4125, Chicago, IL	100%
J			
			<u> </u>
			· · · · · · · · · · · · · · · · · · ·
SECTION III D	USINESS RELATIONSHIPS W	UTU CITV EI E	CTED OFFICIALS
SECTION III D	USINESS RELATIONSHITS W	TIH CITT ELEC	CIED OFFICIALS
Has the Disclasi	ng Party had a "business relationsh	in " oo dofinad in (Chantar 2 156 of the Municipal
		•	
Code, with any City	elected official in the 12 months	before the date this	s EDS is signed?
Ė 1 V as	fel Nio.		·
[]Yes	[x] N o		
If was mlassa idantis	fy below the name(s) of such City	alasted official(s)	and describe such
• • •	ry below the hame(s) of such City	elected official(s)	and describe such
relafionship(s):			
			·

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether	Business	Relationship to Disclosing Party	Fees (indicate whether		
retained or anticipated	Address	(subcontractor, attorney,	paid or estimated.) NOTE:		
to be retained)	•	lobbyist, etc.)	"hourly rate" or "t.b.d." is		
			not an acceptable response.		
Jessica M. Schramm/Thompson Cobum LLP, Attorney Est. \$10,000.00 (Retained) 55 East Monroe Street, Ste. 3700, Chicago, IL 60603					
			·		
(Add sheets if necessary)			· · · · · · · · · · · · · · · · · · ·		
M OL 1-1- 'C4 D'	1		1		
[X] Check here if the Disc	losing Party n	as not retained, nor expects to retain	i, any such persons or entities		
SECTION V CERTIF	FICATIONS	•			
A. COURT-ORDERED	CHILD SUPF	PORT COMPLIANCE			
-		2-415, substantial owners of business			
the City must remain in c	ompliance wi	th their child support obligations thr	oughout the contract's term.		
* -	•	tly owns 10% or more of the Disclo			
arrearage on any child su	pport obligati	ons by any Illinois court of compete	nt jurisdiction?		
[]Yes [*]N	,	No person directly or indirectly owns isclosing Party.	10% or more of the		
YC4477 20 1	1		4 . C . 11		
is the person in complian		court-approved agreement for paym greement?	ent of all support owed and		
[] Yes [] N					
D EUDTHED CEDTIER	CATIONS				

B. FURTHER CERTIFICATIONS

I. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

If the Disclosing P	•	•	e above statemen	nts in this P	art B (Further
 ·					

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)	
[] is	
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.	
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:	
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predator lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatender or becoming an affiliate of a predatory lender may result in the loss of the privilege of dobusiness with the City."	ry itory
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [X] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or any other person o for taxes or assess "City Property Sal	employee shall have a financial inter r entity in the purchase of any propo ments, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powerning of this Part D.
Does the Matter in	volve a City Property Sale?	
[]Yes	[×] No	
	ked "Yes" to Item D.1., provide the yees having such interest and identify	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	osing Party further cerfifies that no positive control of City official or employee.	prohibited financial interest in the Matter will
E. CERTIFICATI	ON REGARDING SLAVERY ERA	A BUSINESS
disclose below or comply with these	in an attachment to this EDS all inf	ag Party checks 2., the Disclosing Party must formation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Par from slavery or sla issued to slavehold	ty and any and all predecessor entit weholder insurance policies during	ing Party has searched any and all records of ies regarding records of investments or profits the slavery era (including insurance policies tage to or injury or death of their slaves), and
Disclosing Party h	as found records of investments or closing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ving constitutes full disclosure of all such slaveholders described in those records:
<u></u>		

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

Disclosu	t below the names of all persons of all persons of the Act of 1995 who have made lob the Matter: (Add sheets if necessions)	bying contacts on beha	, ,	
		·		
appear, it	lanafion appears or begins on the will be conclusively presumed the under the Lobbying Disclosure A g Party with respect to the Matter.	at the Disclosing Party Act of 1995 have made	means that NO persons o	r entities

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, confinue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing P	arty the Applican	.t?
[] Yes	[] No	
If "Yes," answer th	he three questions	s below:
1. Have you d	eveloped and do y	you have on tile affirmative action programs pursuant to applicable
federal regulations	? (See 41 CFR P	'art 60-2.)
[] Yes	[] No	
2. Have you fi	led with the Joint	t Reporting Committee, the Director of the Office of Federal
	nce Programs, or t	the Equal Employment Opportunity Commission all reports due
[] Yes	[] No	
3: Have you p equal opportunity	-	previous contracts or subcontracts subject to the
[] Yes	[] No	
If you checked "N	o" to question 1.	or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the Gity takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Middlefork Capital, LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	
By: Malenny (Sign here)	
James M. Denny	
(Print or type name of person signing)	
Managing Member of Two Rivers, LLC, Sole Member of Middlefork Capital, LLC (Print or type title of person signing)	C ·
Signed and sworn to before me on (date) June 15, 2011,	~~~
at Cook County, TLUNOIS (state). Commission expires: 7 (24/11	"OFFICIAL SEAL" Eileen T Heron Notary Public, State of Illinois Commission Expires 7/24/2011
Commission expires. 1 6c 1 11	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No			•	•
such person is connecte	below (1) the name and tid; (3) the name and title of ationship, and (4) the prec	the elected city of	ficial or depart	ment head to who	
					•
					*

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitti	ing this EDS. Include d/b/a/ if applicable:
Two Rivers, LLC	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submittin 1. [] the Applicant OR	ng this EDS is:
2. [x] a legal entity holding a direct or indirect Applicant in which the Disclosing Party h	ect interest in the Applicant. State the legal name of the colds an interest: Middlefork Capital, LLC
	see Section II.B.1.) State the legal name of the entity in control:
B. Business address of the Disclosing Party:	One North Wacker Drive, Suite 4125
	Chicago, Illinois 60606
C. Telephone: 312/214-0400 Fax:	Email: abowyer@middleforkcapital.com
D. Name of contact person: Andrew Bowyer	
E. Federal Employer Identification No. (if you	have one):
F. Brief description of contract, transaction or which this EDS pertains. (Include project num	other undertaking (referred to below as the "Matter") to ber and location of property, if applicable):
Zoning Map Amendment for the property located at 3	751 North Greenview, Chicago, Illinois.
G. Which City agency or department is reques	ting this EDS? Department of Housing & Economic Development
If the Matter is a contract being handled by complete the following:	the City's Department of Procurement Services, please
Specification #	and Contract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

[] Person	[X] Limited liability company
[] Publicly registered business corporatio	on [] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or forest	ign country) of incorporation or organization, if applicable:
Illinois .	
business in the State of Illinois as a foreig	the State of Illinois: Has the organization registered to do n entity?
[] Yes [] No	[x] N/A
B. IF THE DISCLOSING PARTY IS A	LEGAL ENTITY:
NOTE: For not-for-profit corporations, a there are no such members, write "no mer the legal titieholder(s). If the entity is a general partnership, lir partnership or joint venture, list below the	s of all executive officers and all directors of the entity. lso list below all members, if any, which are legal entities. If mbers." For trusts, estates or other similar entities, list below mited partnership, limited liability company, limited liability ename and title of each general partner, managing member, a controls the day-to-day management of the Disclosing Party est submit an EDS on its own behalf.
Name	Title
James M. Denny	Managing Member

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Business Address	Percentage Interest in the	;
	Disclosing Party	
One North Wacker Drive, Ste. 4125, Cl	hicago, IL 50%	
One North Wacker Drive, Ste. 4125, Cl	hicago, IL 50%	
	One North Wacker Drive, Ste. 4125, C	Disclosing Party One North Wacker Drive, Ste. 4125, Chicago, IL 50%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[·] Y es	[X] N o	•		•
If yes, please ident relationship(s):	ify below the name(s) of	f such City elected officia	l(s) and describe such	

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[X] Check here if the Disc	losing Party h	nas not retained, nor expects to retain	n, any such persons or entities
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPI	PORT COMPLIANCE	
-		2-415, substantial owners of busines the their child support obligations the	
	•	tly owns 10% or more of the Disclo ons by any Illinois court of compete	
[] Yes [k] N		No person directly or indirectly owns isclosing Party.	s 10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for paym greement?	nent of all support owed and
[] Yes [] N	10		
B. FURTHER CERTIFI	CATIONS		
1. Pursuant to Munic	cipal Code Ch	apter 1-23, Article I ("Article I")(w	hich the Applicant should

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Enfity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:				
N/A				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1.	The Disclosing	Party certifies	that the I	Disclosing I	Party (check one	(

[] is [x] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates	(as defined in
Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning	of Chapter
2-32 of the Municipal Code, explain here (attach additional pages if necessary):	*
·	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or e any other person or for taxes or assessn "City Property Sale	employee shall have a financial interentity in the purchase of any proper nents, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City rest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[×] No	
	ted "Yes" to Item D.1., provide the ees having such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	· · · · · · · · · · · · · · · · · · ·	
		·
	sing Party further certifies that no p City official or employee.	prohibited financial interest in the Matter will
E. CERTIFICATIO	ON REGARDING SLAVERY ERA	A BUSINESS
disclose below or i	n an attachment to this EDS all infe	ng Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Part from slavery or sla issued to slavehold	y and any and all predecessor entit veholder insurance policies during	ing Party has searched any and all records of ies regarding records of investments or profits the slavery era (including insurance policies tage to or injury or death of their slaves), and
Disclosing Party has policies. The Disc	as found records of investments or losing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ving constitutes full disclosure of all such slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the appear, it will be conclusively presumed that the Disclosing Party means that NO per registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts Disclosing Party with respect to the Matter.)	ersons or entities			

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.l. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing I	Party the Applicant?
[]Yes	[] No
If "Yes," answer t	he three questions below:
	eveloped and do you have on tile affirmative action programs pursuant to applicables? (See 41 CFR Part 60-2.)
[] Yes	[] No
Contract Complian	iled with the Joint Reporting Committee, the Director of the Office of Federal nce Programs, or the Equal Employment Opportunity Commission all reports due the filing requirements?
[] Yes	[] No
3. Have you pequal opportunity	articipated in any previous contracts or subcontracts subject to the clause?
[] Yes	[] No
If you checked "N	o" to question 1. or 2. above, please provide an explanation:
,	

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Two Rivers, LLC	
(Print or type name of Disclosing Party)	
By: Malenny (Sign here)	·
James M. Denny	
(Print or type name of person signing)	
Managing Member (Print or type title of person signing)	
Signed and sworn to before me on (date) TUNE 15, 2011, at Cook County, TU/NOIS (state). Notary Public.	"OFFICIAL SEAL" Eileen T Heron Notary Public, State of Illinois Commission Expires 7/24/2011
Commission expires: $7/24/11$	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] N o		•	
such person is conn	entify below (1) the name and title ected; (3) the name and title of the relationship, and (4) the precise	e elected city official or	department head	•

Chairman, Committee on Zoning Room 304 - City Hall Chicago, Illinois 60602

Committee Members:

I, Catherine M. Denny, a 50% Member of Two Rivers, LLC, the sole Managing Member of Middlefork Capital, LLC, an Illinois limited liability company, understand that Jessica Schramm of Thompson Coburn, LLP has filed a Zoning Map Amendment for the parcel of land commonly known as 3751 North Greenview, Chicago, Illinois. I hereby state within this swom affidavit that Two Rivers, LLC is the sole Managing Member of Middlefork Capital, LLC, which is owner of the identified land.

I authorize Jessica Schramm and the law firm of Thompson Cobum, LLP to file the zoning map amendment application and take all action necessary to seek the approval of the same.

I, Catherine M. Denny, a 50% Member of Two Rivers, LLC, the sole Managing Member of Middlefork Capital, LLC, being first duly swom on oath, depose and say that Two Rivers, LLC and Middlefork Capital, LLC hold their respective interests on behalf of themselves and no other person, association or shareholder, and I hold my interest on behalf of myself.

Catherine M. Denny

Two Rivers, LLC,

Sole Managing Member of Middlefork Capital, LLC

SUBSCRIBED AND SWORN to before

me this KTH day of JUNE, 2

NOTARY PUBLIC

"OFFICIAL SEAL"
Eileen T Heron
Notary Public, State of Illinois
Commission Expires 7/24/2011

5354427.1

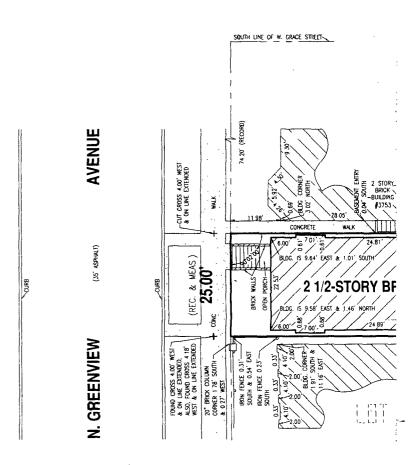
PLAT of \

LEGAL DESCRIPTION:

.

Lot 45 in Block 1 in Rood's Subdivision in the Southwest Quorter of the Nortl Third Principol Meridian, in Cook County, Illinois.

Commonly known as: 3751 North Greenwood Avenue; Chicago,



.ES: 3,066 sq. ft.

NOTES:

action provided to the surveyor is shown or noted hereon.

ription on this plat was provided to us by the client, and does not guarantee ownership, and should ared to your Deed, Abstract or Certificate of Title.

ng restrictions, building lines and easements may or may not be shown, check your Deed, Abstract, ort, and local ordinances, no responsibility is assumed by Surveyor.

all points before building by some and report any discrepancy at once.

ns ore shown in feet and decimal ports thereof, no dimension is to be assumed by scoling.

IANSEN ::	840 CUSTER TEL. (8	SUBVEYORS ESTABLISHED 19 AVENUE, EVANSTON, II 147) 864-6315 / FAX (847) IL: S(/RVEYOR@BHSUH	LLINOIS 60202 864-9341	Prolessional Design Firm 11cense No. 184-002871
OCATION 3751 N.	GREENVIEW AVE.	evanston, JUNE 1	5,	2011
RDER No. 11-155		ORDERED BY : MIDDL	EFORK CAPITAL,	LLC

FIELD	MEASUREMENTS	COMPLETED	JUNE	15,	2
	an #1111aia)				

STATE OF ILLINOIS SS.

This is lo certify that a survey of the above described properties and that the above plat correctly represents saiconforce to the current Illinois Minimum Standards for a b

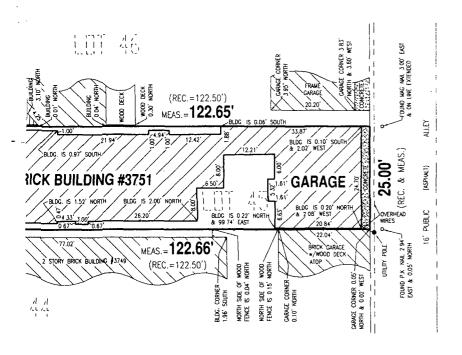
Dated _

By Raymond R. Hansen
Dlinoia Professional Land Surveyor No. 035-002542
License Expiration Date 11/30/2010

SURVEY

nwest Quorter of Section 20, Township 40 North, Ronge 14, Eost of the

Illinois.



o 11___

roperty was performed under my i survey. This professional service bundary and topographic survey.

JUNE 24 20 11



