

# Office of the Chicago City Clerk



Office of the City Clerk

City Council Document Tracking Sheet

Meeting Date:

7/6/2011

Sponsor(s):

City Clerk Mendoza

Type:

**O**rdinance

Title:

Zoning Reclassification App No. 17296

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

**ORDINANCE** 

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is

hereby amended by changing all of the Business-Residential Planned Development No. 559

District symbols and indications as shown on Map No. 9-H in the area bounded

Line 210 feet north of West School Street; the alley next west of and parallel to

North Ashland Avenue; a line 199.92 feet north of West School Street; North

Ashland Avenue; a line 234.02 feet south of West School Street, as measured at the

westerly right-of-way line of North Ashland Avenue; North Lincoln Avenue; and

North Marshfield Avenue

to those of a Business-Residential Planned Development No. 559, as amended.

Section 2. This ordinance takes effect after its passage and approval.

Common Address of Property:

3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-1624 West School; and 3301-3319 North Marshfield.

5356355.1

#17296 IN+ DATE: 1-6-11

#### CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1	ADDRESS of the property Applicant is seeking to rezone:		
	3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-16 3319 North Marshfield	24 West S	chool; and 3301-
2.	Ward Number that property is located in: 44 <sup>th</sup> , Alderman Tu	unney	
3.	APPLICANT Lincoln, Ashland & Belmont, LLC		
	ADDRESS 710 West Oakdale Avenue Cl	TY	Chicago
	STATE IL ZIP CODE 60657 PH	IONE	773/348-6900
	EMAIL ronjr@TheEnterpriseCompanies.com_CONTACT PERS	SON <u>Ron S</u>	Ship <u>ka,</u> Jr.
4.	Is the applicant the owner of the property? YESX	NO	
	If the applicant is not the owner of the property, please provide the regarding the owner and attach written authorization from the owner proceed.	he followin r allowing th	ne application to
	The commercial portion of the Property is owned by residential portion of the Property is owned by the A OWNER <u>identified within Addendum "A" attached hereto.</u>	ssociation	and unit owners
	ADDRESS	•	
	CITYSTATEZIP COD		
	PHONE CONTACT PERS	SON	
	*In part, the Applicant owns the commercial portion of the Property		
5.	If the Applicant/Owner of the property has obtained a lawyer as their rezoning, please provide the following information:	representat	ive for the
	ATTORNEY Bernard I. Citron/Jessica M. Schramm o	f Thompso	on Cobum LLP
	ADDRESS 55 East Monroe Street, 37 <sup>th</sup> Floor		
	CITY Chicago STATE IL ZIP COD	E	60603
	PHONE 312/580-2249 and 312/580-2209 FAX 312/782-365	•	
	EMAIL jschramm@thompsoncobum.com EMAIL bcitron@	thompson	cobum.com

	entity (Corporation, LLC, Partnership, etc.) please provide the nan the Economic Disclosure Statements.
EDC Management, Inc.; Family Gift Trust for Ro Shipka; Abrams Descen	EDC Properties, LLC; Ronald B. Shipka, Sr.; LaVeme Shipka; Sn., Jr.; Ronald B. Shipka, Jr.; Shipka Family Gift Trust for John; dants Trust; and all owners disclosured in Addendum "A" attractions.
hereto.	
On what date did the ov	oner acquire legal title to the subject property?
-	previously rezoned this property? If yes, when?  to a Business-Residential Planned Development.
Present Zoning District	BRPD #5  BRPD#559 Proposed Zoning District As Amen
Lot size in square feet	(or dimensions) 88,708 square feet
Current Use of the	property A Whole Foods Grocery Store, 4-story par
garage, a Caribou St building.	ore, a Powerhouse Gym, Office, and a 7-story reside
building.  Reason for rezoning	the property To increase permitted floor area to 2.4 allow for a 1,346 square foot expansion of the Powerh
Building.  Reason for rezoning the existing 2.36 to a Gym.  Describe the proposed use number of parking spaces proposed building. The Powerhouse Gym and the	the property To increase permitted floor area to 2.4
Reason for rezoning the existing 2.36 to a Gym.  Describe the proposed use number of parking spaces proposed building. The Powerhouse Gym and the new pool area. No additional and the projects receive a zoning in question and the projects.	the property To increase permitted floor area to 2.4 allow for a 1,346 square foot expansion of the Powerh of the property after the rezoning. Indicate the number of dwelling approximate square footage of any commercial space; and height Applicant proposes an expansion of 2,238 square feet to the expansion of two (2) commercial loading docks in order to const
Reason for rezoning the existing 2.36 to a Gym.  Describe the proposed use number of parking spaces proposed building. The Powerhouse Gym and the new pool area. No additional and the projects receive a zoning in question and the projects.	the property To increase permitted floor area to 2.4 allow for a 1,346 square foot expansion of the Powerh of the property after the rezoning. Indicate the number of dwelling approximate square footage of any commercial space; and height Applicant proposes an expansion of 2,238 square feet to the experimental proposes and expansion of 2,238 square feet to the experimental proposed.  The capacity Council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed of the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Requirements Ordinance (Applicate proposed) and the proposed council passed the Affordable Proposed council passed the Affordable Proposed counci
Reason for rezoning the existing 2.36 to a Gym.  Describe the proposed use number of parking spaces proposed building. The Powerhouse Gym and the new pool area. No additional and the requires on-site afformation projects receive a zoning in question and the progregation.	the property To increase permitted floor area to 2.4 allow for a 1,346 square foot expansion of the Powerh of the property after the rezoning. Indicate the number of dwelling approximate square footage of any commercial space; and height Applicant proposes an expansion of 2,238 square feet to the experimental proposes and expansion of 2,238 square feet to the experimental formula to the existing building envelopes are proposed.  The proposed council passed the Affordable Requirements Ordinance (Ardable housing units or a financial contribution if residential housing under certain circumstances. Based on the lot size of the proposed zoning classification, is this project subject to the Afford (See Fact Sheet for more information)

#### COUNTY OF COOK STATE OF ILLINOIS

I, Ronald B. Shipka, Jr., a Member of EDC Properties, LLC, which is a Member of Lincoln, Ashland & Belmont, LLC, being first duly swom on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true, and correct.

Subscribed and Swom to before me this

2151 day of JUNE, 20

OFFICIAL SEAL

ALEJANDRA RODRIGUEZ

NOTARY PUBLIC. STATE OF ILLINOIS

My Commission Expires 03/29/2015

My Commission Expires 03/29/2015

For Office Use Only

Date of Introduction:

File Number:

Ward:

5356063.**1** 

#### ADDENDUM "A"

### List of Owners of Residential Portion of the Property

<u>Association:</u>
Tower Lofts Condominium Association c/o The Building Group, Inc. 1045 West Lawrence Avenue Chicago, IL 60640

#### **Unit Owners:**

<u>Unit Owners:</u>		
14-19426-042-1001	14-19-426-042-1002	14-19-426-042-1003
KAREN SULLIVAN	MARK ALAN EPSTEIN 1601	MARK ALAN EPSTEIN
1601 W SCHOOL ST 201	W SCHOOL ST #202	1601 W SCHOOL ST 203
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1004	14-19-426-042-1005	14-19-426-042-1006
JP BR RICHARDSON 1601 W	RONALD DORNEKER 1601	MICHAEL A. HEMMERLING
SCHOOL ST #208	W SCHOOL 209	1601 W SCHOOL 210
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
	•	
14-19426-042-1007	14-19426-042-1008	14-19-426-042-1009
MARC R SCHMIDT	BRYAN P ROZUM	CLINTON RAPPOLE
1601 W SCHOOL ST #211	1601 W SCHOOL ST #212	1601 W SCHOOL 213
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19426-042-1010	14-19426-042-1011	14-19-426-042-1012
JOHN HUE	SARAH E HUNTER	FRANZ BUERGER
1601 W SCHOOL ST #214	1601 W SCHOOL ST #301	1601 W SCHOOL #302
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19426-042-1013	14-19426-042-1014 SCOTT	14-19-426-042-1015
ATHENA GOLIANIS	SILBERBERG 1601 W	ADAM C MCCLAIN
1707 W NELSON ST	SCHOOL 304 CHICAGO, IL	1601 W SCHOOL #305
CHICAGO, IL 60657	60657	CHICAGO, IL 60657
14-19-426-042-1016	14-19-426-042-1017	14-19-426-042-1018
JEFF TONI RYAN	MARY SAGRIPANTI 1601 W	BRIAN KERNS
1601 W SCHOOL ST 306	SCHOOL ST 307	1601 W SCHOOL APT 308
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1019	14-19-426-042-1020	14-19-426-042-1021
SARALYN SACKS	JAMES J PAPAGIANNIS	DENNIS ANDERSON
1601 W SCHOOL 309	1601 W SCHOOL #310	1601 W SCHOOL ST 311
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19426-042-1022	14-19-426-042-1023	14-19-426-042-1024
ANGELA VICARI	J HEIDEMANN	JOHN CORTNEY
1601 W SCHOOL ST #312	1601 W SCHOOL #313	1601 W SCHOOL #314
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657

14-19-426-042-1025	14-19-426-042-1026	14-19-426-042-1027
BRENDAN R. RILEY	NEAL L WOODS	F ROBERT NESS
1601 W SCHOOL ST #401	6014 WHITEHAVE CT	1601 W SCHOOL ST #403
CHICAGO, IL 60657	SAN JOSE, CA 95138	CHICAGO, IL 60657
14-19-426-042-1028	14-19-426-042-1029	14-19-426-042-1030
KEITH ALSBERG	KEN MANN	MELISSA NEWMAN
1601 W SCHOOL ST #404	1601 W SCHOOL ST #405	1601 W SCHOOL #406
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1031	14-19-426-042-1032	14-19-426-042-1033
MICHAEL T HUGHAN 1601 W	LAURA BANICK	ANDREA BOX
SCHOOL ST #407	1601 W SCHOOL ST #408	1601 W SCHOOL ST #409
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1034	14-19-426-042-1035	14-19-426-042-1036
KATHLEEN E GWOST 1601 W	KARYN DOERFLER	TOMAS DE HAHN
SCHOOL ST #410	1601 W SCHOOL ST #411	1601 W SCHOOL ST #412
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1037	14-19-426-042-1038	14-19-426-042-1039
JULIA LEFFELMAN	HEATHER KOPNICK 1601 W	ROBERT D BONE
1601 W SCHOOL #413	SCHOOL ST #414	1601 W SCHOLL #501
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1040	14-19-426-042-1041	14-19-426-042-1042
A M CASE	RAMON AGUILERA 1601 W	BARBARA GERTZ
1601 W SCHOOL ST #502	SCHOOL ST #503 CHICAGO,	1601 W SCHOOL ST #504
CHICAGO, IL 60657	IL 60657	CHICAGO, IL 60657
14-19-426-042-1043	14-19-426-042-1044	14-19-426-042-1045
VINCENT E RAYE	T K KRUEGER	ANNA PETRIC
1601 W SCHOOL ST 505	1601 W SCHOOL 506	1601 W SCHOOL ST#507
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
CHICAGO, IL 00037	CHICAGO, IL 00037	CITICAGO, IL 00037
14-19-426-042-1046	14-19-426-042-1047	14-19-426-042-1048
STEVEN D GHAREEB 1601 W	STEPHEN V GRANZYK	JEFFREY LEMONS
SCHOOL ST #508	36W818 RED GATE CT ST	1601 W SCHOOL #510
CHICAGO, IL 60657	CHARLES, IL 60175	CHICAGO, IL 60657
14-19-426-042-1049	14-19-426-042-1050	14-19-426-042-1051
SUSANNE L MILLER	SIMON	KAREN L FRANKLIN
16 AUBURN ST	476 LINCOLN AVE W	1601 W SCHOOL ST #513
NORWALK, CT 06854	HIGHLAND PK, IL 60035	CHICAGO, IL 60657
14-19-426-042-1052	14-19-426-042-1053	14-19-426-042-1054
KRISTIN STEIN	BRIAN ABBOH	DIANE REED
1601 W SCHOOL #514	1601 W SCHOOL ST	1601 W SCHOOL ST #602
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1055	14-19-426-042-1056	14-19-426-042-1057
IVAN RUEDA	JEFFREY PARLETTE 1601 W	ELIZABETH W KLERK
1601 W SCHOOL #603	SCHOOL ST #604	1601 W SCHOOL ST #605
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1058	14-19-426-042-1059	14-19-426-042-1060
JARRET STOPFORTH 1601 W	CAROL HOROWITZ	PAUL TODD RENN
SCHOOL #606	1451 STANLAKE	1601 W SCHOOL ST #608
CHICAGO, IL 60657	EAST LANSING, MI 48823	CHICAGO, IL 60657

14-19-426-042-1061	14-19-426-042-1062	14-19-426-042-1063 JOHN
ROBERT HARTMAYER 2245	SUSANNE WEINSTEIN 1601	MCMAHON
LONG COVE CIR	W SCHOOL ST #610	1601 W SCHOOL ST 611
NEWBURGH, IN 47630	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1064	14-19-426-042-1065	14-19-426-042-1066
		l ,
NINA RUBIN	JOHN P NUGENT	JOHN P NUGENT
1601 W SCHOOL ST 612	1601 W SCHOOL ST	1601 W SCHOOL ST #613
CHICAGO, IL 60657	CHICAGO, JL 60657	CHICAGO, IL 60657
14-19-426-042-1067	14-19-426-042-1068	14-19-426-042-1069
FRANK T WHEBY	L DAMICO	Y HOCHBERG
1216 NE BEECH ST	1601 W SCHOOL #702	J BRAMLAGE
PORTLAND, OR 97212	CHICAGO, IL 60657	1601 W SCHOOL ST #703
,		CHICAGO, IL 60657
14-19-426-042-1070	14-19-426-042-1071	14-19-426-042-1072
JORDAN KOSS	JOHN J BELTER	ANDREW P FERRIS
5757 S BLACKSTONE AVE	1601 W SCHOOL #705	1601 W SCHOOL ST #706
CHICAGO, IL 60637	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1073	14-19-426-042-1074	14-19-426-042-1075
FERRIS REALTY LLC 1601 W	DONTIN WANG	CHRISTOPHER R SOUPAL 1601
SCHOOL #706	1601 W SCHOOL #708	W SCHOOL ST #709
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1076	14-19-426-042-1077	14-19-426-042-1078
JOHN DUCKWORTH	JOHN DUCKWORTH 25408	DANIEL F ISHAC
715 LAKE ST	WEST A STREET ANTIOCH,	525 N MAIN ST NAPERVILLE,
OAK PARK, IL 60301	IL 60002	IL-60563
14-19-426-042-1079	14-19-426-042-1080	14-19-426-042-1081
ROBERT JW RYAN	SIMON	JOHN WILLS
150 N MICHIGAN #2100	476 LINCOLN AVE W	3325 N LINCOLN
CHICAGO, IL 60601	HIGHLAND PK, IL 60035	CHICAGO, IL 60657
14-19-426-042-1082	14-19-426-042-1083	14-19-426-042-1084
RANDOLPH M JOHNSTON	JP BR RICHARDSON	ANNE PISONI
3325 N LINCOLN #204	1601 W SCHOOL ST #208	3325 N LINCOLN #202
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
Í	•	
14-19-426-042-1085	14-19-426-042-1086	14-19-426-042-1087
AGATHA KAFKIS	LAB LLC	JAMES D PETZING
3555 W HOWARD ST	600 W CHICAGO STE 570	3323 N PAULINA ST 5H
SKOKIE, IL 60076	CHICAGO, IL 60654	CHICAGO, IL 60657
14-19-426-042-1088	14-19-426-042-1089	14-19-426-042-1090 C
TOWER LOFTS CONDO ASN	DONTIN WANG	HRIS CRAIG
325 W HURON #405	1601 W SCHOOL #708	3323 N PAULINA #3F
CHICAGO, IL 60654	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1091	14-19-426-042-1092	14-19-426-042-1093
MARY LEE MONTAGUE 3323	FRANK GOGLIOTTI	BRENT WHITTINGTON 3325 N
N PAULINA #5B	3175 N LINCOLN #204	LINCOLN #304
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1094	14-19-426-042-1095 LARRY	14-19-426-042-1096
BRENT WHITTINGTON 3325	A VISKOCHIL 30 W ERIE ST	JOHN K TURNER
N LINCOLN #304	#1102	3325 N LINCOLN AV
CHICAGO, IL 60657	CHICAGO, IL 60654	CHICAGO, IL 60657
51101100, 12 00007		

14-19-426-042-1097	14-19-426-042-1098 PETER	14-19-426-042-1099
JAY YALOWITZ	CHESTER	SARA ABU RUMMAN
3325 N LINCOLN AV #402	3325 N LINCOLN #302	3325 N LINCOLN AV #203
CHICAGO, IL 60657	CHICAGO, IL 60657	CHICAGO, IL 60657
14-19-426-042-1100	14-19-426-042-1101 LAURA	14-19-426-042-1102
PENELOPE SULLIVAN 3175 N	HAGGERTY	SHEETAL SHAH
LINCOLN U202	225 S MADISON AVE	3175 N LINCOLN AV #304
CHICAGO, IL 60657	LAGRANGE, IL 60525	CHICAGO, IL 60657
14-19-426-042-1103		
FERRIS REALTY LLC		
1601 W SCHOOL 706		
CHICAGO, IL 60657		

5356080.1

#### Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about June 29, 2011 the undersigned will file an application for a change in zoning from Business-Residential Planned Development No. 559 to Business-Resident Planned Development No. 559, as Amended, on behalf of Lincoln, Ashland & Belmont, LLC for the property located at 3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-1624 West School; and 3301-3319 North Marshfield, Chicago, Illinois.

The Applicant proposes an expansion of 1,346 square feet to the existing Powerhouse Gym and the removal of two (2) commercial loading docks located on the 1<sup>st</sup> floor of the existing mixed use building located immediately south of West School (Sub-Area A) in order to construct a new pool area. No addition to the existing building envelope is proposed.

Lincoln, Ashland & Belmont, LLC is located at 710 West Oakdale Avenue. The contact person for this application is the attorney for the Applicant: Jessica M. Schramm of Thompson Cobum LLP, 55 East Monroe Street, 37<sup>th</sup> Floor, Chicago, Illinois 60603, (312) 346-7500.

PLEASE NOTE THAT THE APPLICANT IS NOT SEEKING TO REZONE OR PURCHASE YOUR PROPERTY. THE APPLICANT IS REQUIRED BY LAW TO SEND THIS NOTICE BECAUSE YOU OWN PROPERTY WITHIN 250 FEET OF THE PROPERTY TO BE REZONED.

Kindest Regards,

Jessica Schramm

Attorney for Applicant

5356371.1

#### "WRITTEN NOTICE" **AFFIDAVIT** (Section 17-13-0107)

June 29, 2011

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Jessica M. Schramm, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the amended notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant filed an application for a change in zoning on approximately June 29, 2011.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Signature

and Sworn to before me this

5362859.1

OFFICIAL SEAL LESLEY D. MAGNABOSCO Notary Public - State of Illinois ly Commission Expires Aug 25, 2011

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party su	bmitting this EDS. Include d/b/a/ if applicable:
Lincoln Ashland & Belmont, L.L.C.	
Check ONE of the following three boxe	es:
Indicate whether the Disclosing Party sub  1. [x] the Applicant  OR	mitting this EDS is:
	indirect interest in the Applicant. State the legal name of the Party holds an interest:
	trol (see Section 11.B.1.) State the legal name of the entity in the control:
B. Business address of the Disclosing Par	rty:
	Chicago, IL 60657
C. Telephone: Fax	Email:
D. Name of contact person: Ron Shipka, Jr	· · · · · · · · · · · · · · · · · · ·
E. Federal Employer Identification No. (if	f you have one):
which this EDS pertains. (Include project	on or other undertaking (referred to below as the "Matter") to t number and location of property, if apphcable): 6-3318 N. Ashland; 3237-3263 N. Lincoln; 1600-1624 W. School;
G. Which City agency or department is re	Department of Housing and Economic equesting this EDS? Development
If the Matter is a contract being handled complete the following:	d by the City's Department of Procurement Services, please
Specification #	and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	irty:
[] Person	[x] Limited liability company
[ ] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign c	country) of incorporation or organization, if applicable:
Delaware	
<ul><li>3. For legal entities not organized in the S business in the State of Illinois as a foreign en</li><li>[X] Yes</li><li>[X] No</li></ul>	tate of Illinois: Has the organization registered to do tity?
[]	
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also li	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below
the legal titleholder(s).	
	I partnership, limited liability company, limited liability
	ne and title of each general partner, managing member,
	trols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must su	bmit an EDS on its own behalf.
Name	Title
EDC Management	Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Name (indicate whether retained or anticipated to be retained)		Relationship to Disclosing Party (subcontractor, attomey, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Bernard I. Citron	Thompson Cobum LI	LP Attomey	Estimated \$20,000
Jessica M. Schramm	55 E. Monroe Street Chicago, IL 60603	. 37th Floor	
(Add sheets if necessar	ry)		
[] Check here if the D	isclosing Party has	not retained, nor expects to retain	, any such persons or entities.
SECTION V CERT	TIFICATIONS		
A. COURT-ORDERE	D CHILD SUPPO	RT COMPLIANCE	
<del>-</del>		15, substantial owners of business their child support obligations thro	
• •	•	owns 10% or more of the Disclos s by any Illinois court of competen	_ •
[] Yes [x		person directly or indirectly owns losing Party.	10% or more of the
If "Yes," has the perso is the person in compli		urt-approved agreement for payme	ent of all support owed and
[] Yes []	] No		
B. FURTHER CERTI	FICATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furthern					B (Further	
Certifications), the Disclosing Party must explain below:						
				·		
				,	· · · · · · · · · · · · · · · · · · ·	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1.	The Disclosi	ing Party certifies that the Disclosing Party (check	(one)
[]	is	[X] is not	

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unabl	le to make this pledge becau	se it or any of its affiliates (a	as defined in
Section 2-32-455(b) of the Mu	nicipal Code) is a predatory	lender within the meaning of	of Chapter
2-32 of the Municipal Code, e	xplain here (attach additiona	al pages if necessary):	
•		•	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or en any other person or of for taxes or assessme "City Property Sale"	nployee shall have a financial inte entity in the purchase of any prope ents, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powerning of this Part D.
Does the Matter invo	olve a City Property Sale?	
[] Yes	[x] No	
•	d "Yes" to Item D.1., provide the es having such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	ng Party further certifies that no p City official or employee.	rohibited financial interest in the Matter will
E. CERTIFICATIO	N REGARDING SLAVERY ERA	BUSINESS
disclose below or in comply with these d	an attachment to this EDS all info	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Party from slavery or slave issued to slaveholder	and any and all predecessor entities cholder insurance policies during	ing Party has searched any and all records of es regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party has policies. The Disclo	found records of investments or paing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ing constitutes full disclosure of all such laveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

1. List below the names of all persons or entities registered under the federal Lobbying

#### A. CERTIFICATION REGARDING LOBBYING

Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
(If no explanation appears or begins on the lines above, or if the letters "NA" or appear, it will be conclusively presumed that the Disclosing Party means that No registered under the Lobbying Disclosure Act of 1995 have made lobbying cont Disclosing Party with respect to the Matter.)	O persons or entities			

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing I	Party the Applicant?	
[]Yes	[ ] No	
If "Yes," answer t	he three questions below	r:
1. Have you d	eveloped and do you ha	ve on file affirmative action programs pursuant to applicable
federal regulations	? (See 41 CFR Part 60-	2.)
[] Yes	[ ] No	
2. Have you f	iled with the Joint Repor	rting Committee, the Director of the Office of Federal
•	•	ual Employment Opportunity Commission all reports due
=	le filing requirements?	
[]Yes	[] No	
3. Have you p	articipated in any previo	ous contracts or subcontracts subject to the
equal opportunity		•
[]Yes	[ ] No	
If you checked "N	o" to question 1. or 2. al	bove, please provide an explanation:

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are trne, accurate and complete as of the date firmished to the City.

Lincoln Ashland Belmont, L.L.C.	_
(Print or type name of Disclosing Party)	
By:	<del></del>
(Sign have)	
Bonald B. Shipka, Jr.	
(Print or type name of person signing)	
President of EDC Management, Manager of Lincoln Ashland Belmont, L.L.C.	
(Print or type title of person signing)	•
(	
-	<del></del>
Signed and sworn to before me on (date)	June 21, 2011
at Cook County, IL	(state).
	OFFICIAL SEAL
Andriuez	Notary Public. NOTARY PUBLIC STATE OF ILLINOIS
	NOTARY PUBLIC. STATE OF ILLINOIS  My Commission Expires 03/29/2015
Commission expires: 03/29/2015	· · · · · · · · · · · · · · · · · · ·
	<del></del>

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[X ] <b>N</b> o	
such person is comed	cted; (3) the name and title of the e	of such person, (2) the name of the legal entity to whice elected city official or department head to whom such a ture of such familial relationship.
		·

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
EDC Management, Inc.
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Apphcant  OR
<ol> <li>[x] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of t         Applicant in which the Disclosing Party holds an interest: Lincoln Ashland &amp; Belmont, L.L.C.         OR</li> </ol>
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:
Chicago, IL 60657
C. Telephon Email:
D. Name of contact person: Ron Shipka, Jr
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") which this EDS pertains. (Include project number and location of property, if applicable):  Amendment to Planned Development #559; 3236-3318 N. Ashland; 3237-3263 N. Lincoln; 1600-1624 W. School; and 3301-3319 N. Marshfield
Department of Housing and Economic G. Which City agency or department is requesting this EDS? Dsvelopment
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

<ol> <li>Indicate the nature of the Disclosing Pa</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> </ol>	arty:  [ ] Limited liability company  [ ] Limited liability partnership  [ ] Joint venture  [ ] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership [] Trust	[] Yes [] No [] Other (please specify)
Illinois	country) of incorporation or organization, if applicable:
3. For legal entities not organized in the S business in the State of Illinois as a foreign en	tate of Illinois: Has the organization registered to do tity?
[] Yes [] No	[x] <b>N/A</b>
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also lithere are no such ruembers, write "no members	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below
·	I partnership, limited liability company, limited liabihty ne and title of each general partner, managing member,
- · · · · · · · · · · · · · · · · · · ·	trols the day-to-day management of the Disclosing Party.
Name	Title
Ronald B. Shipka, Jr	President

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
		·
	<del></del>	······································
SECTION III ]	BUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	•	nip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[ ] <b>Y</b> es	[×] <b>N</b> o	
If yes, please iden relationship(s):	tify below the name(s) of such City	elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attomey, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.	
(Add sheets if necessary	)			
[x] Check here if the Dis	closing Party h	as not retained, nor expects to retair	ı, any such persons or entitie	
SECTION V CERTI	FICATIONS			
A. COURT-ORDERED	CHILD SUPF	PORT COMPLIANCE		
•		2-415, substantial owners of business the their child support obligations thr		
	•	tly owns 10% or more of the Disclosons by any Illinois court of compete		
[] Yes [x] ]	Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.			
If "Yes," has the person is the person in complian	·	court-approved agreement for paym greement?	ent of all support owed and	
[]Yes []I	No			
B. FURTHER CERTIF	ICATIONS			
consult for defined terms submitting this EDS is the certifies as follows: (i) n with, or has admitted gu	s (e.g., "doing ne Applicant ar either the App ilt of, or has ev	apter 1-23, Article I ("Article I") (who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is ever been convicted of, or placed undenpted, or conspiracy to commit bribe.	if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any	

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitmst statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions eonceming environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the inehgibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further				rt B (Further	
Certifications), the Disclosing Party must explain below:					
<del></del>					

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1.	The Disclosing	Party	certifies	that	the I	Disclosin	2 Party	(check	one'
	1 110 2 1001001115	A 44.07	00111100	CAACC	-		¬	(	~

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in						
Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter						
2-32 of the Municipal Code, explain here (attach	additional pages if necessary):					
	• • • • • • • • • • • • • • • • • • • •					
	•					

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial inter r entity in the purchase of any proper ments, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[×] No	
~	ked "Yes" to Item D.1., provide the yees having such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	sing Party further certifies that no p City official or employee.	rohibited financial interest in the Matter will
E. CERTIFICATI	ON REGARDING SLAVERY ERA	A BUSINESS
disclose below or comply with these	in an attachment to this EDS all info	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Par from slavery or sla issued to slavehold	ty and any and all predecessor entiti eveholder insurance policies during	ing Party has searched any and all records of les regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party h	as found records of investments or plosing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ing constitutes full disclosure of all such laveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

Disclosing Party with respect to the Matter.)

Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
	-			
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the	-			

1. List below the names of all persons or entities registered under the federal Lobbying

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Apphcant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Part	y the Applicant?
[] Yes	[ ] No
If "Yes," answer the	hree questions below:
federal regulations?	loped and do you have on file affirmative action programs pursuant to applicable See 41 CFR Part 60-2.)
[] Yes	[ ] No
•	with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports due iling requirements?  [] No
3. Have you part equal opportunity cla	cipated in any previous contracts or subcontracts subject to the use?
[] Yes	[ ] No
If you checked "No"	to question 1. or 2. above, please provide an explanation:

### SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide tmthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are tme, accurate and complete as of the date furnished to the City.

EDC Management, Inc.		
(Print or type name of Disclosing Party)		
By: (Sjørhere)		
Ronald B. Shipka, Jr.		
(Pfint or type name of person signing)		
President		
(Print or type title of person signing)	_	
Signed and swom to before me on (date)	June 21,2	eoll,
at COOK County, IL	(state).	
Lodiquez	Notary Public.	OFFICIAL SEAL ALEJANDRA RODRIGUEZ NOTARY PUBLIC STATE OF ILLINOIS
Commission expires: 03/29/2015	<del>-</del>	My Commission Expires 03/29/2015

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, fatirer-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) ali executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general parthers and limited partners of the Disclosing Party, if the Disclosing Party is a limited parthership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

IX 1 No

[ ] Yes

[ ] _ 45	[.]1.0	·			
such person is comec	fy below (1) the name and ted; (3) the name and titie elationship, and (4) the pre-	of the elected o	city official or depa	rtment head to who	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if ap	plicable:
EDC Properties, LLC	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR	
2. [k] a legal entity holding a direct or indirect interest in the Applicant. Stat Applicant in which the Disclosing Party holds an interest: Lincoln Ashland & OR	
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal which the Disclosing Party holds a right of control:	· · · · · · · · · · · · · · · · · · ·
B. Business address of the Disclosing Party:	
Chicago, IL 60657	
C. Telephone: Email:	
D. Name of contact person: Ron Shipka, Jr.	
E. Federal Employer Identification No. (if you have one):	·
F. Brief description of contract, transaction or other undertaking (referred to bel which this EDS pertains. (Include project number and location of property, if a Amendment to Planned Development #559; 3236-3318 N. Ashland; 3237-3263 N. Lincoln; 16 and 3301-3319 N. Marshfield	oplicable):
Department of Housin  G. Which City agency or department is requesting this EDS? Development	ng and Economic
If the Matter is a contract being handled by the City's Department of Procure complete the following:	ment Services, please
Specification # and Contract #	

# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rty:
[] Person	[x] Limited liability company
[ ] Publicly registered business corporation	[] Limited liability parthership
[ ] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[ ] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
2. For legal entifies, the state (or foreign c	ountry) of incorporation or organization, if applicable:
Illinois	
•	<del></del>
3. For legal entities not organized in the St	tate of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign ent	
[] Yes [] No	[x] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
1 List below the full names and titles of a	ll executive officers and all directors of the entity.
	st below all members, if any, which are legal entities. If
	s." For trusts, estates or other similar entities, list below
the legal titleholder(s).	. Tor tradia, estates or other similar entities, hat below
• • • • • • • • • • • • • • • • • • • •	partnership, limited liability company, limited liability
	the and title of each general partner, managing member,
	crols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must sul	The state of the s
110 1 E. Each legal entity listed below must suf	Junt all EDS on its own behalf.
Name	Title
EDC Management, Inc.	Manager
	,

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a tmst, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Ronald B. Shipka	710 W. Oakdale	30%
Laveme Shioka Shipka Family Gift Tru	Chicago, IL 60657	35.2% 16.9%
Shipka Family Gift Tru	st for John Shipka	16.9%

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[ ] <b>Y</b> es	[×] <b>N</b> o		
If yes, please iden relationship(s):	tify below the name(s)	) of such City elected official(s) and describe such	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attomey, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate wheth retained or anticipated to be retained)		Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	гу)		WAR WAYNE CO.
[x] Check here if the D	isclosing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CER	TIFICATIONS		
A. COURT-ORDERE	ED CHILD SUPF	PORT COMPLIANCE	
<del>_</del>		e-415, substantial owners of business the their child support obligations thr	
• •	•	tly owns 10% or more of the Disclosons by any Illinois court of competer	_ ,
[] Yes [x	= = =	lo person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person is the person in comple		court-approved agreement for paym greement?	ent of all support owed and
[] Yes [	] No		
B. FURTHER CERT	IFICATIONS		
consult for defined ter submitting this EDS is certifies as follows: (i) with, or has admitted g	ms (e.g., "doing is the Applicant ar ) neither the Applicant of the Applic	apter 1-23, Article I ("Article I")(who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is core been convicted of, or placed under the person is controlled, or conspiracy to commit bribes	if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions eoncerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under cornmon control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further			
Certifications), the Disclosing Party must explain below:			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1.	The Disclosing	Party cert	ifies that	the Discl	osing Pa	rtv (check one)

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in
Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter
2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial inte r entity in the purchase of any prope ments, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City rest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	volve a City Property Sale?	
[]Yes	[×] No	
	ked "Yes" to Item D.1., provide the yees having such interest and identif	names and business addresses of the City y the nature of such interest:
Name	Business Address	Nature of Interest
	sing Party further certifies that no p City official or employee.	rohibited financial interest in the Matter will
E. CERTIFICATI	ON REGARDING SLAVERY ERA	BUSINESS
disclose below or comply with these	in an attachment to this EDS all info	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Par from slavery or sla issued to slavehold	ty and any and all predecessor entiti weholder insurance policies during	ng Party has searched any and all records of es regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party h	as found records of investments or plosing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ing constitutes full disclosure of all such laveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

1. List below the names of all persons or entities registered under the federal Lobbying

#### A. CERTIFICATION REGARDING LOBBYING

Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):		
	_	
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)		

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing F	arty the Applicant?
[]Yes	[ ] No
If "Yes," answer th	ne three questions below:
•	eveloped and do you have on file affirmative action programs pursuant to applicabl
federal regulations	? (See 41 CFR Part 60-2.)
[] Yes	[ ] No
2. Have you fi	led with the Joint Reporting Committee, the Director of the Office of Federal
<del>-</del>	ice Programs, or the Equal Employment Opportunity Commission all reports due le filing requirements?
[] Yes	[] No
3. Have you p	articipated in any previous contracts or subcontracts subject to the
equal opportunity	
[] Yes	[ ] No
If you checked "N	o" to question 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide tmthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

**EDC Properties, LLC** 

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are trne, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	
By: (Sign here)	
Ronald B. Shipka, Jr.	·
(Print or type name of person signing)	
President of EDC Management, Manager of EDC Properties, LLC	
(Print or type title of person signing)	
Signed and sworn to before me on (date)	7
at Cook County, (state	OFFICIAL SEAL ALEJANDRA RODRIGUEZ
Notar Notar	y Public.  ALEJANDRA RODRISOLZ  NOTARY PUBLIC. STATE OF ILLINOIS  My Commission Expires 03/29/2015
Commission expires 02/2012015	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all parthers of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited parthership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currentiy have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is connect	ted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing I	Party submitting this El	OS. Include d/b/a/ if applicable:
Shipka Family Gift Trust for John Shipka	a	
Check ONE of the following thr	ee boxes:	
Indicate whether the Disclosing Pa 1. [] the Applicant OR 2. [x] a legal entity holding a d		S is: t in the Applicant. State the legal name of the
		terest: Lincoln Ashland & Belmont, L.L.C.
	•	n 11.B.1.) State the legal name of the entity in
B. Business address of the Disclos	sing Party:	
	Chicago,	IL 60657
C. Telephone:	Fax	Email:
D. Name of contact person: Ron S	hipka, Jr.	
E. Federal Employer Identification	n No. (if you have one)	:
which this EDS pertains. (Include	project number and lo	rtaking (referred to below as the "Matter") to cation of property, if applicable): 4; 3237-3263 N. Lincoln; 1600-1624 W. School;
G. Which City agency or departme	ent is requesting this E	Department of Housing and Economic DS? Development
If the Matter is a contract being complete the following:	handled by the City's	Department of Procurement Services, please
Specification #	and C	Contract #

# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	irty:		
[] Person	[] Limited liability company		
[ ] Publicly registered business corporation	[] Limited liability partnership		
[ ] Privately held business corporation	[] Joint venture		
[] Sole proprietorship	[ ] Not-for-profit corporation		
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?		
[] Limited partnership	[] Yes [] No		
[x] Trust	[] Other (please specify)		
	country) of incorporation or organization, if applicable:  State of Illinois: Has the organization registered to do		
business in the State of Illinois as a foreign en			
[] Yes [] No	[x] N/A		
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:		
NOTE: For not-for-profit corporations, also lithere are no such members, write "no member	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below		
the legal titleholder(s).			
	d partnership, limited liability company, limited liability		
	ne and title of each general partner, managing member,		
	trols the day-to-day management of the Disclosing Party.		
NOTE: Each legal entity listed below must su	bmit an EDS on its own benair.		
Name	Title		
John Shipka	Sole Beneficiary		
<u></u>			

2. Please provide the following information eonceruing each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Name

	Dusiness Address	reicentage interest in the
		Disclosing Party
John Shipka	710 W. Oakdale	Sole Beneficiary
	Chicago, IL 60657	
SECTION III B	BUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
Has the Disclosi	ing Party had a "business relationsh	ip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
Has the Disclosi	ing Party had a "business relationsh	ip," as defined in Chapter 2-156 of the Municipal

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whethe retained or anticipated to be retained)	r Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	y)		
[x] Check here if the Di	sclosing Party h	as not retained, nor expects to retain	n, any such persons or entities.
SECTION V CERT	IFICATIONS		
A. COURT-ORDERE	D CHILD SUPP	PORT COMPLIANCE	
•		-415, substantial owners of business th their child support obligations thr	
		tly owns 10% or more of the Disclosons by any Illinois court of competer	
[ ] Yes [x]		To person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person is the person in complia		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []	No		
B. FURTHER CERTII	FICATIONS		
consult for defined term	ns (e.g., "doing	apter 1-23, Article I ("Article I")(wh business") and legal requirements), and is doing business with the City, the	if the Disclosing Party

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitmst statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the inehgibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furt			
Certi	Certifications), the Disclosing Party must explain below:		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1.	The Disclosing	Party certifies t	hat the Disc	losing Party	(check one)
----	----------------	-------------------	--------------	--------------	-------------

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

f the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in			
ection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):			
	· · · · · · · · · · · · · · · · · · ·		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or emplany other person or enf for taxes or assessment "City Property Sale").	oyee shall have a financial inte ity in the purchase of any prop s, or (iii) is sold by virtue of le	we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, ten pursuant to the City's eminent domain powering of this Part D.
Does the Matter involv	e a City Property Sale?	
[]Yes	[×] No	
*	Yes" to Item D.1., provide the naving such interest and identi	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	·	
4. The Disclosing be acquired by any City		prohibited financial interest in the Matter will
E. CERTIFICATION F	REGARDING SLAVERY ERA	A BUSINESS
disclose below or in an comply with these disc	attachment to this EDS all infe	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Party an from slavery or slaveho issued to slaveholders t	d any and all predecessor entitional designs of the design	ing Party has searched any and all records of ies regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party has fo policies. The Disclosing	und records of investments or great party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ring constitutes full disclosure of all such slaveholders described in those records:

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.		
Does the Matter is	avolve a City Property Sale?	
[] Yes	[×] No	
· ·	ked "Yes" to Item D.I., provide the grees having such interest and identify	names and business addresses of the City y the nature of such interest:
Name	Business Address	Nature of Interest
be acquired by an E. CERTIFICATI Please check ei disclose below or	y City official or employee.  ON REGARDING SLAVERY ERA  ther 1. or 2. below. If the Disclosing in an attachment to this EDS all info	Party checks 2., the Disclosing Party must rmation required by paragraph 2. Failure to
• •	disclosure requirements may make a disclosure requirements and disclosure requirements are disclosured requirements.	any contract entered into with the City in
the Disclosing Par from slavery or slaves issued to slavehol	ty and any and all predecessor entiticated aveholder insurance policies during t	ng Party has searched any and all records of es regarding records of investments or profits he slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party I policies. The Disc	as found records of investments or p closing Party verifies that the followi	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance and constitutes full disclosure of all such aveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):	
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "Nappear, it will be conclusively presumed that the Disclosing Party means that NO persons or e	

List below the names of all persons or entities registered under the federal Lobbying

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entifies registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing P	arty the Applicant?
[]Yes	[ ] No
If "Yes," answer th	ne three questions below:
	eveloped and do you have on file affirmative action programs pursuant to applicabl? (See 41 CFR Part 60-2.)
[]Yes	[ ] No
Contract Complian	led with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due le filing requirements?
[]Yes	[ ] No
3. Have you pe	articipated in any previous contracts or subcontracts subject to the clause?
[·] Yes	[ ] No
If you checked "No	o" to question 1. or 2. above, please provide an explanation:
	·

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all stathtes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Enfities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide tmthful certifications.

**NOTE**: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

### **CITY OF CHICAGO** ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Parther thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) ali executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all parthers of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

G. T. B.T.

[ ] <b>Y</b> es	[X ] No	
such person is connec	cted; (3) the name and titie of the	of such person, (2) the name of the legal entity to which elected city official or department head to whom such nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitt	ting this EDS. Include d/b/a/ if applicable:
Shipka Family Gift Trust for Ronald B. Shipka, Jr.	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitti 1. [] the Applicant OR	
., .	ect interest in the Applicant. State the legal name of the holds an interest: Lincoln Ashland & Belmont, L.L.C.
	see Section 11.B.1.) State the legal name of the entity in control:
B. Business address of the Disclosing Party:	
	Chicago, IL 60657
C. Telephone	Email:
D. Name of contact person: Ron Shipka, Jr.	
E. Federal Employer Identification No. (if you	have one):
which this EDS pertains. (Include project num	other undertaking (referred to below as the "Matter") to be and location of property, if applicable):  8 N. Ashland; 3237-3263 N. Lincoln; 1600-1624 W. School;
G. Which City agency or department is reques	Department of Housing and Economic ting this EDS? Development
If the Matter is a contract being handled by complete the following:	the City's Department of Procurement Services, please
Specification #	and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	rty:				
[] Person	[] Limited liability company				
[ ] Publicly registered business corporation	[] Limited liability partnership				
[ ] Privately held business corporation	<ul><li>[ ] Joint venture</li><li>[ ] Not-for-profit corporation</li><li>(Is the not-for-profit corporation also a 501(c)(3))?</li></ul>				
[] Sole proprietorship					
[] General partnership					
[] Limited partnership	[] Yes [] No				
[x] Trust	[] Other (please specify)				
	ountry) of incorporation or organization, if applicable:				
3. For legal entities not organized in the St business in the State of Illinois as a foreign ent	tate of Illinois: Has the organization registered to do ity?				
[] Yes [] No	[x] N/A				
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:				
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s).	ll executive officers and all directors of the entity. st below all members, if any, which are legal entities. If s." For tmsts, estates or other similar entities, list below				
	partnership, limited liability company, limited liability				
· ·	te and title of each general partner, managing member,				
manager or any other person or entity that cont NOTE: Each legal entity listed below must sub	trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf.				
Name	Titie				
Ronald B. Shipka, Jr.	Sole Beneficiary				

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a tmst, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
Ronald B. Shipka, Jr.	710 W. Oakdale	Sole Beneficiary
	Chicago, IL 60657	
SECTION III BUS	SINESS RELATIONSHIPS W	TITH CITY ELECTED OFFICIALS
<del>_</del>		nip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[ ] <b>Y</b> es	[×] <b>N</b> o	
If yes, please identify relationship(s):	below the name(s) of such City	elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attomey, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attomey, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary	)		
[x] Check here if the Dis	closing Party h	as not retained, nor expects to retain	, any such persons or entities
SECTION V CERTI	FICATIONS		(
A. COURT-ORDERED	CHILD SUPI	PORT COMPLIANCE	
		2-415, substantial owners of business th their child support obligations thr	
· -		tly owns 10% or more of the Disclos ons by any Illinois court of competer	
[] Yes [x] I		No person directly or indirectly owns isclosing Party.	10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []I	No		
B. FURTHER CERTIF	ICATIONS		
consult for defined terms submitting this EDS is the	s (e.g., "doing he Applicant a	apter 1-23, Article I ("Article I")(who business") and legal requirements), and is doing business with the City, the second seco	if the Disclosing Party nen the Disclosing Party

consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrnst statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the inehgibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (						
Certifications), the Disclosing Party must explain below:						

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The	Disclosing	<b>Party</b>	certifies	that the	Disclosing	Party	(check	one)
--------	------------	--------------	-----------	----------	------------	-------	--------	------

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in
Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter
2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

[] Yes [x] No  3. If you checked "Yes" to Item D.I., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:  Name Business Address Nature of Interest  4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.  E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS  Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.  2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:	elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial into r entity in the purchase of any prop- ments, or (iii) is sold by virtue of le	we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, een pursuant to the City's eminent domain powering of this Part D.
3. If you checked "Yes" to Item D.I., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:  Name Business Address Nature of Interest  4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.  E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS  Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.  2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such	Does the Matter in	volve a City Property Sale?	
A. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.  E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS  Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.  2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such	[]Yes	[×] No	
4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.  E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS  Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.  2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such	•	· · · · · · · · · · · · · · · · · · ·	•
be acquired by any City official or employee.  E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS  Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.  X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.  2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such	Name	Business Address	Nature of Interest
from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.  2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such	Please check eidisclose below or comply with these connection with the X 1. The Discleta	ON REGARDING SLAVERY ERA ther 1. or 2. below. If the Disclosin in an attachment to this EDS all info disclosure requirements may make the Matter voidable by the City.	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in ing Party has searched any and all records of
Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such	from slavery or slavehold	veholder insurance policies during ders that provided coverage for dam	the slavery era (including insurance policies
	Disclosing Party h	as found records of investments or closing Party verifies that the follow	profits from slavery or slaveholder insurance ving constitutes full disclosure of all such
·			

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):							
		· · · · · · · · · · · · · · · · · · ·				· · · · · · · · · · · · · · · · · · ·	

1. List below the names of all persons or entities registered under the federal Lobbying

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	arty the Applicant?	
[]Yes	[ ] No	
If "Yes," answer th	e three questions belov	v:
· · · · · · · · · · · · · · · · · · ·	veloped and do you have (See 41 CFR Part 60	eve on file affirmative action programs pursuant to applicable-2.)
[] Yes	[ ] No	
Contract Complian	ce Programs, or the Eq e filing requirements?	rting Committee, the Director of the Office of Federal ual Employment Opportunity Commission all reports due
3. Have you pa equal opportunity c		ous contracts or subcontracts subject to the
[] Yes	[ ] No	•
If you checked "No	" to question 1. or 2. a	bove, please provide an explanation:

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which tuis EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are trne, accurate and complete as of the date furnished to the City.

Shipka Family Gift Trust for Ronald B. Shipka, Jr.		
(Print or type name of Disclosing Party)		
Ronald B. Shipka, Jr.  (Sign here)  Ronald B. Shipka, Jr.	<del>-</del> 	
(Print or type name of person signing)		
Sole Beneficiary	_	
(Print or type title of person signing)		
Signed and sworn to before me on (date)  at County,  Commission expires:	une 21, 201 _ (state) Notary Public	OFFICIAL SEAL ALEJANDRA RODRIGUEZ NOTARY PUBLIC. STATE OF ILLINOIS My Commission Expires 03/29/2015
	(Print or type name of Disclosing Party)  By:  (Sign here  Ronald B. Shipka, Jr.  (Print or type name of person signing)  Sole Beneficiary  (Print or type title of person signing)  Signed and sworn to before me on (date) at	(Print or type name of Disclosing Party)  By:  (Sign here  Ronald B. Shipka, Jr.  (Print or type name of person signing)  Sole Beneficiary  (Print or type title of person signing)  Signed and sworn to before me on (date)  at

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Apphcable Party" or any Spouse or Domestic Parther thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief fmancial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Parther thereof currently have a "familial relationship" with an elected city official or department head?

[X ] No	
ted; (3) the name and title of the	of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nathre of such familial relationship.
	ify below (1) the name and title ted; (3) the name and title of the

June 13, 2011

Chairman, Committee on Zoning Room 304 - City Hall Chicago, Illinois 60602

#### Committee Members:

I, Laverne Shipka, 35.2% member of EDC Properties, LLC, which is the 49.5 % member of Lincoln, Ashland & Belmont, L.L.C. understand that Bernard I. Citron of Thompson Coburn, LP has filed a sworn affidavit identifying Lincoln, Ashland & Belmont, L.L.C. as the Applicant of the proposed amendment to the Planned Development #559 and owner of all commercial parcels located within Planned Development #559, a portion of the land subject to the proposed amendment to the Planned Development #559.

I authorize Bernard I. Citron and the law firm of Thompson Coburn, LP to file the amendment to the Planned Development #559.

I, Laverne Shipka, 35.2% member of EDC Properties, LLC, which is the 49.5 % member of Lincoln, Ashland & Belmont, L.L.C., being first duly sworn on oath, depose and say that I hold my interest on behalf of myself.

Subscribed And Sworn to before

me this 17th day of June \_\_\_\_, 2011

**NOTARY PUBLIC** 

OFFICIAL SEAL My Commission Expires 03/29/2015 Chairman, Committee on Zoning Room 304 - City Hall Chicago, Illinois 60602

#### Committee Members:

I, Ronald Shipka, 30% member of EDC Properties, LLC, which is the 49.5 % member of Lincoln, Ashland & Belmont, L.L.C. understand that Bernard I. Citron of Thompson Coburn, LP has filed a sworn affidavit identifying Lincoln, Ashland & Belmont, L.L.C. as the applicant of the proposed amendment to the Planned Development #559 and owner of all commercial parcels located within Planned Development #559, a portion of the iand subject to the proposed amendment to the Planned Development #559.

I authorize Bernard I. Citron and the law firm of Thompson Coburn, LP to file the amendment to the Planned Development #559.

I, Ronald Shipka, 30% member of EDC Properties, LLC, which is the 49.5 % member of Lincoln, Ashland & Belmont, L.L.C., being first duly sword on bath, depose and say that I hold my interest on behalf of myself.

Ronald Shipka

Subscribed And Sworn to before me this 21 day of June

, 2011

NOTARY PUBLIC

OFFICIAL SEAL
ALEJANDRA RODRIGUEZ
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 03/29/2015

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting	this EDS. Include d/b/a/ if applicable:
Abrams Descendants Trust	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting 1. [] the Applicant OR	this EDS is:
· · · · · · · · · · · · · · · ·	interest in the Applicant. State the legal name of the ds an interest: Lincoln Ashland & Belmont, L.L.C.
	Section II.B.1.) State the legal name of the entity in ntrol:
B. Business address of the Disclosing Party:	c/o Bob Canvasser, Trustee, 31275 Northwestern Hwy #248
<u>.</u>	Farmington Hills, MI 48334
C. Telephone:Fax:	Email: bob@brackencapital.com
D. Name of contact person: Bob Canvasser	
E. Federal Employer Identification No. (if you ha	ve one):
F. Brief description of contract, transaction or oth which this EDS pertains. (Include project number Amendment to Planned Development #559; 3236-3318 Nand 3301-3319 N. Marshfield	
G. Which City agency or department is requesting	Department of Housing and Economic g this EDS? Development
If the Matter is a contract being handled by the complete the following:	e City's Department of Procurement Services, please
Specification #	and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

I. Indicate the nat	ture of the Disclosing Pa	irty:	
[] Person		[ ] Limited liability company	
[] Publicly registered	business corporation	[] Limited liability partnersh	ip
[] Privately held busi	ness corporation	[] Joint venture	
[] Sole proprietorship	p	[] Not-for-profit corporation	
[] General partnershi		(Is the not-for-profit corporate	
[] Limited partnershi	<u>-</u>		] No
[x] Trust		[] Other (please specify)	•
2. For legal entiti	es, the state (or foreign of	country) of incorporation or orga	nization, if applicable:
3 For legal entiti	es not organized in the	State of Illinois: Has the organiza	ation registered to do
- ·	of Illinois as a foreign er		tion registered to do
[]Yes	[ ] No	[x] N/A	
B. IF THE DISCLOS	SING PARTY IS A LEC	GAL ENTITY:	1.0
		all executive officers and all dire	
		rs." For trusts, estates or other s	
the legal titleholder(s		rs. For trusts, estates of other si	imilal entities, list below
•	•	d partnership, limited liability co	mnany limited liahility
		me and title of each general parti	
		ntrols the day-to-day managemer	
		ubmit an EDS on its own behalf.	
NOTE. Each legal el	itily listed below lifust s	domit an EDS on its own behan.	
Name		Title	
Bob Canvasser		Trustee	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
•		Disclosing Party
Lindsay Abrams	2026 N. Mohawk St.	50%
Alec Abrams	Chicago, IL 60614	(50%)
No.	Gume as Above	d/

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[ ] Yes	[x] <b>N</b> o			
If yes, please identify relationship(s):	below the nam	e(s) of such City elected office	cial(s) and describe such	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

retained or anticipate to be retained)	ed Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is
·		1000/101, 0001/	not an acceptable response.
			· .
(Add sheets if neces	sary)		
[x] Check here if the	Disclosing Party l	nas not retained, nor expects to r	etain, any such persons or entities
SECTION V CE	RTIFICATIONS		
A. COURT-ORDER	RED CHILD SUP	PORT COMPLIANCE	
-			iness entities that contract with s throughout the contract's term.
<del>-</del> -	•	ctly owns 10% or more of the Di ions by any Illinois court of com	<u> </u>
[] Yes		No person directly or indirectly or isclosing Party.	owns 10% or more of the
If "Yes," has the per is the person in com			ayment of all support owed and
[]Yes	[ ] No		
B. FURTHER CER	TIFICATIONS		
1. Pursuant to M	Municipal Code Cl	napter 1-23, Article I ("Article I'	')(which the Applicant should
	,	business") and legal requirement	
		and is doing business with the Ci	ity, then the Disclosing Party n is currently indicted or charged
	` · ·	ever been convicted of, or placed	·
	=	mpted, or conspiracy to commit	=,
periury, dishonesty	or deceit against a	n officer or employee of the City	or any sister agency: and (ii) the

Relationship to Disclosing Party Fees (indicate whether

Name (indicate whether

**Business** 

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed inder Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Enfity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:				
certifications), th	le Disclosing Fai	ity must explain below.	·	
	,	,		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The l	Disclosing	Party	certifies	that the	e Dis	closing	Party (	(check	one)
----------	------------	-------	-----------	----------	-------	---------	---------	--------	------

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D.L, proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or e any other person or for taxes or assessm "City Property Sale	cursuant to a process of competitive bidding, or otherwise permitted, no City imployee shall have a financial interest in his or her own name or in the name of entity in the purchase of any property that (i) belongs to the City, or (ii) is sold ients, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "). Compensation for property taken pursuant to the City's eminent domain power a financial interest within the meaning of this Part D.
Does the Matter inv	volve a City Property Sale?
[]Yes	[x] No
	ed "Yes" to Item D.I., provide the names and business addresses of the City ees having such interest and identify the nature of such interest:
Name	Business Address Nature of Interest
E. CERTIFICATION  Please check eit disclose below or in comply with these	City official or employee.  ON REGARDING SLAVERY ERA BUSINESS  ther 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must in an attachment to this EDS all information required by paragraph 2. Failure to disclosure requirements may make any contract entered into with the City in the Matter voidable by the City.
the Disclosing Part from slavery or sla issued to slavehold	sing Party verifies that the Disclosing Party has searched any and all records of my and any and all predecessor entities regarding records of investments or profits veholder insurance policies during the slavery era (including insurance policies ers that provided coverage for damage to or injury or death of their slaves), and my has found no such records.
Disclosing Party h policies. The Disc	osing Party verifies that, as a result of conducting the search in step 1 above, the as found records of investments or profits from slavery or slaveholder insurance losing Party verifies that the following constitutes full disclosure of all such the names of any and all slaves or slaveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal fimding.

#### A. CERTIFICATION REGARDING LOBBYING

Disclosing Party with respect to the Matter.)

respect to the Matter:	(Add sheets if ne	cessary):	•
	•		
	,		
appear, it will be cond	clusively presume	the lines above, or if the letters "Ned that the Disclosing Party means the Act of 1995 have made lobbying the control of the	hat NO persons or entities

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing P	arty the Applicant?				
[] Yes	[ ] No				
If "Yes," answer th	ne three questions below	w:			
	eveloped and do you ha? (See 41 CFR Part 60	•	native action p	orograms pursu	ant to applicable
Contract Complian	iled with the Joint Reponce Programs, or the Edle filing requirements?  [] No	qual Employmen			
3. Have you p equal opportunity [] Yes	articipated in any previolause? [ ] No	ious contracts or	subcontracts	subject to the	
	o" to question 1. or 2.	above, please pro	ovide an expla	ination:	
	*				
SECTION VII	ACKNOWI FDGMI	ENTS CONTD	ACT INCOL	DDOD ATION	

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclos	sing Party)
Ву:	
(Sign hare)  Bob Canvasser	en e
(Print or type name of person	signing)
Trustee	
(Print or type title of person s	igning)
Signed and sworn to before n at Oakland County,	ne on (date) June 22, 2011 Michigan (state).
Kathym 7. Do	Notary Public.
Commission expires: 3/2	27/15

Abrams Descendants Trust

KATHRYN L. GOEBEL

Notary Public, State of Michigan, County of Oakland

My Commission Expires March 27, 2015

Acting in the County of Oakland

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) ali executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] <b>N</b> o	· .		
such person is comec		of the elected cit	rson, (2) the name of the leg ty official or department he uch familial relationship.	
		.* .		
	<del></del>		1	<del></del>
				· ·

June 13, 2011

Chairman, Committee on Zoning Room 304 - City Hall Chicago, Illinois 60602

#### **Committee Members:**

I, Alec Abrams, 50% beneficiary of Abrams Descendants Trust which is the 50% member of Lincoln, Ashland & Belmont, L.L.C. understand that Bernard I. Citron of Thompson Coburn, LP has filed a swom affidavit identifying Lincoln, Ashland & Belmont, L,L.C. as the applicant of the proposed amendment to the Planned Development #559 and owner of all commercial parcels located within Planned Development #559, a portion of the land subject to the proposed amendment to the Planned Development #559.

I authorize Bernard I. Citron and the law firm of Thompson Cobum, LP to file the amendment to the Planned Development #559.

I. Alec Abrams, 50% beneficiary of Abrams Descendants Trust which is the 50% member of Lincoln, Ashland & Belmont, L.L.C. being first duly swom on oath, depose and say that I hold my interest on behalf of myself.

Alec Abrams

SUBSCRIBED AND Sworn to before me this | day of Some , 2011

KATHRYN L. GOEBEL Notary Public, State of Michigan, County ot Oakland My Commission Expires March 27, 2015 Acting in the County ot Oaidand

To:212 765 6530

June 13, 2011

Chairman, Committee on Zoning Room 304 - City Hall Chicago, Illinois 60602

#### Committee Members:

I, Lindsay Abrams, 50% beneficiary of Abrams Descendants Trust which is the 50% member of Lincoln, Ashland & Belmont, L.L.C. understand that Bernard I. Citron of Thompson Coburn, LP has filed a sworn affidavit Identifying Lincoln, Ashland & Belmont, L.L.C. as the applicant of the proposed amendment to the Planned Development #559 and owner of all commercial parcels located within Planned Development #559, a portion of the land subject to the proposed amendment to the Planned Development #559.

I authorize Bernard I. Citron and the law firm of Thompson Coburn, LP to file the amendment to the Planned Development #559.

I, Lindsay Abrams, 50% beneficiary of Abrams Descendants Trust which is the 50% member of Lincoln, Ashland & Belmont, L.L.C. being first duly swom on oath, depose and say that I hold my interest on behalf of myself.

Lindsay Abrams

NOTARY PUBLIC

STATE
OF NEW YORK

NOTARY PUBLIC

Opening of the county of

state Now York

county New York

Noting exp 4/2/13

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Di	sclosing Party submitt	ing this EDS. Include d/b/a/ if applicable:
Tower Lofts Condominium A	ssociation	
Check ONE of the follo	wing three boxes:	
Indicate whether the Dis  1. [] the Applicant  OR	closing Party submittin	ng this EDS is:
Applicant in which OR	tine Disclosing Party l	ect interest in the Applicant. State the legal name of the holds an interest: Lincoln, Ashland & Belmont, LLC
	•	see Section II.B.1.) State the legal name of the entity in control:
B. Business address of t	the Disclosing Party:	1601 W. School Street
·		Chicago, IL 60657
C. Telephone:	Fax:	Email:
D. Name of contact pers	son: Yael Hochberg	, President / Craig Sonnenschein, Secretary
E. Federal Employer Ide	entification No. (if you	have one):
which this EDS pertains	. (Include project num relopment #559; 3236-331	other undertaking (referred to below as the "Matter") to aber and location of property, if applicable): 8 N. Ashland; 3237-3283 N. Lincoln; 1600-1624 W. School;
G. Which City agency of	or department is reques	Department of Housing and Economic sting this EDS? Development
If the Matter is a cont complete the following	•	the City's Department of Procurement Services, please
Specification #		and Contract #
		4

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:  Illinois  3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?  [] Yes [] No [] N/A  B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:  1. List below the full names and titles of all executive officers and all directors of the entity.  NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. I there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).  If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party	1. Indicate the nature of the Disclosing Par Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust	rty:  [] Limited liability company  [] Limited liability partnership  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No  [x] Other (please specify)  Condominium Association Board
3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?  [] Yes [] No [] N/A  B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:  1. List below the full names and titles of all executive officers and all directors of the entity.  NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. I there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).  If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party	2. For legal entities, the state (or foreign co	ountry) of incorporation or organization, if applicable:
business in the State of Illinois as a foreign entity?  [] Yes [] No [] N/A  B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:  1. List below the fuli names and titles of all executive officers and all directors of the entity.  NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. I there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).  If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party	Illinois	·
1. List below the fuli names and titles of all executive officers and all directors of the entity.  NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. I there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).  If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party	siness in the State of Illinois as a foreign ent	ity?
NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. I there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titieholder(s).  If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party	IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
NOTE: Each legal entity listed below must submit an EDS on its own behalf	OTE: For not-for-profit corporations, also listere are no such members, write "no members e legal titieholder(s).  If the entity is a general partnership, limited artnership or joint venture, list below the name anager or any other person or entity that continued.	st below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability te and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party
Name Title	ame	Title
Yael Hochberg President		•
Scott Silberberg Treasurer	cott Silberberg	Treasurer
Craig Sonnenschein Secretary	raig Sonnenschein	Secretary

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
·		Disclosing Party
N/A		
	·	
		. `(
SECTION III B	USINESS RELATIONSHIPS W	TITH CITY ELECTED OFFICIALS
	•	nip," as defined in Chapter 2-156 of the Municipal
Code, with any Cit	y elected official in the 12 months	before the date this EDS is signed?
[ ] <b>Y</b> es	[×] No	
If yes, please ident relationship(s):	ify below the name(s) of such City	elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	)		
[*] Check here if the Disc	closing Party h	as not retained, nor expects to retain	n, any such persons or entities
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPI	PORT COMPLIANCE	
-		2-415, substantial owners of busines the their child support obligations the	
<b>~</b> -	•	tly owns 10% or more of the Disclo ons by any Illinois court of compete	-
[] Yes [] I		No person directly or indirectly owns isclosing Party	s 10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []I	No		
B. FURTHER CERTIF	ICATIONS		
consuli for defined terms submitting this EDS is the	s (e.g., "doing ne Applicant a	apter 1-23, Article I ("Article I")(who business") and legal requirements), and is doing business with the City, to blicant nor any controlling person is	if the Disclosing Party hen the Disclosing Party

with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article 1 applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrnst statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furth Certifications), the Disclosing Party must explain below:			rt B (Further		
	· · · · · · · · · · · · · · · · · · ·				
					,

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- [] is [X] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

f the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in					
ection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter					
2-32 of the Municipal Code, explain here (attach additional pages if	necessary):				
•	• •				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial inte or entity in the purchase of any propo ments, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powerning of this Part D.
Does the Matter in	volve a City Property Sale?	
[]Yes	[x] No	
•	ked "Yes" to Item D.1., provide the yees having such interest and identify	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	osing Party further certifies that no p y City official or employee.	prohibited financial interest in the Matter will
E. CERTIFICATI	ON REGARDING SLAVERY ERA	A BUSINESS
disclose below or comply with these	in an attachment to this EDS all infe	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Par from slavery or sl issued to slavehol	rty and any and all predecessor entit aveholder insurance policies during	ing Party has searched any and all records of ies regarding records of investments or profits the slavery era (including insurance policies tage to or injury or death of their slaves), and
Disclosing Party I	eas found records of investments or closing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ving constitutes full disclosure of all such slaveholders described in those records:
	·	

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with			
espect to the Matter: (Add sheets if necessary):			
	e word "None"		
If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities egistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the			
Disclosing Party with respect to the Matter.)			

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

If you checked "N	No" to question 1. or 2. ab	ove, please provide an explanation:
[]Yes	[ ] No	·
equal opportunity	clause?	us contracts or subcontracts subject to the
[] Yes	[]No	
Contract Complia	<del>-</del>	ting Committee, the Director of the Office of Federal al Employment Opportunity Commission all reports due
[] Yes	[] No	
federal regulation	s? (See 41 CFR Part 60-2	e on file affirmative action programs pursuant to applicable.)
If "Yes," answer	the three questions below	· •
[]Yes	[ ] No	
is the Disclosing	rarty the Applicant?	

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide tmthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Tower Lofts Condominium Association
(Print or type name of Disclosing Party)
By: Cy Somershir (Sign here)
Craig Sonnenschein
(Print or type name of person signing)
Secretary (Print or type title of person signing)
Signed and swom to before me on (date) 6/28/201/, at 6/28/201/, County, (state).  Commission expires: 8/25/201/.
OFFICIAL SEAL

LESLEY D. MAGNABOSCO Notary Public - State of Illinois My Commission Expires Aug 25, 2011

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the foliowing, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Apphcable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] <b>N</b> o	0	
such person is connec	ted; (3) the name and	the and title of such person, (2) the name of the legal end title of the elected city official or department head to the precise nature of such familial relationship.	
	,		<b>-</b> .
			-

June 2 \\ 2011

Andrew Mooney
Commissioner
Department of Housing and Economic Development
121 N. LaSalle Street, Suite 905
Chicago, Illinois 60602

#### Commissioner Mooney:

The undersigned, Craig Sonnenschein, the Secretary of Tower Lofts Condominium Association, being first duly swom on oath, deposes and says the following:

I, Craig Sonnenschein, the Secretary of Tower Lofts Condominium Association of Tower Lofts Condominium Association ("Association"), for purposes of this letter have the authority to represent the Association and all condominium units owners holding an interest in the residential condominium building located within Business-Residential Planned Development No. 559 located at 3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-1624 West School; and 3301-3319 North Marshfield, the land subject to the Amendment to Business-Residential Planned Development No. 559 ("Amendment"). 1 understand that Bernard I. Citron and Jessica M. Schramm of Thompson Cobum, LLP have filed an Amendment with the City of Chicago identifying the Association and all the unit owners listed under Addendum "A" as owners holding an interest in the said residential condominium building.

I understand that the purpose of this Amendment is to allow for an expansion of 2,238 square feet to the existing Powerhouse Gym and the removal of two (2) commercial loading docks in order to construt a new pool area. Further, that no addition to the existing building envelopes are proposed.

I understand that Lincoln, Ashland & Belmont, LLC has been identified as the Applicant for the proposed Amendment. I hereby state that I, Craig Sonnenschein, the Secretary of Tower Lofts Condominium Association of Tower Lofts Condominium Association, authorize the Lincoln, Ashland & Belmont, LLC to take all steps necessary to pursue the approval of the Amendment. This authorization is intended to designate such control as described by Section 17-8-0400 of the Chicago Zoning Ordinance and is strictly limited to such authority and the Amendment as set forth herein. Upon the approval of this Amendment or other such official action by City of Chicago municipal officials resulting in the final disposition of the Amendment, this authorization shall lapse thereby becoming null and void.

Tower Lofts Condominium Association

Craig Sonnenschein

Its: Secretary

SUBSCRIBED AND SWORN to before

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NOTARY PUBLIC

OFFICIAL SEAL
OFFICIAL SEAL
MAGNABOSCO
NOTE: Public - State of Illinois

Note: Hubiro - State
My Commission Expires Aug 25, 201

## BUSINESS-RESIDENTIAL PLANNED DEVELOPMENT NO. 559, AS AMENDED PLAN OF DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Business-Residential Planned Development, as Amended, (the "Planned Development") consists of 88,658 square feet (2.04 acres) and is owned or controlled by the Applicant, Lincoln, Ashland & Belmont, L.L.C. ("Applicant") for this Planned Development.
- 2. The Applicant shall obtain all applicable official reviews, approvals or permits which are necessary to implement this Plan of Development. Any dedication or vacation of streets or alleys, or easements, or adjustments of right-of-way, or consolidation or resubdivision of parcels, shall require a separate submittal on behalf of the Applicant or its successors, assignees, or grantees and approval by the City Council. All conveyance of property to the City for public-right-of-way shall be through the City's dedication process.
- 3. The requirements, obligations and conditions applicable within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders, any ground lessors and owners of all the property within the Planned Development succeed the Applicant for purposes of control and management of any portion of the Planned Development. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant, successors and assigns and, if different than the Applicant, the legal title holder or any ground lessors.

Furthermore, pursuant to the requirements of Section 17-13-0600 of the Chicago Zoning Ordinance, the Property, at the time applications for legislative amendments, modifications or changes to this Planned Development are made, shall be under single ownership or under single designated control. Single designated control for purposes of this paragraph shall mean that any application to the City for any legislative amendment to this Planned Development shall be made or authorized by all the owners of the Property and any ground lessors. Where portions of the improvements located on the Property have been submitted to the Illinois Condominium Property Act, the term "Owner" shall be deemed to refer solely to the Condominium Association of the owners of such portions of the improvements and not to the individual unit owners therein. However, nothing herein shall prohibit or in any way restrict the alienation, sale or any other transfer of all or any portion of the Property or any rights, interest or obligations therein.

- 4. This Plan of Development, as Amended, consists of fifteen (15) statements; a Site Plan, Existing First Floor Plan; and East Elevation, prepared by Pappageorgehaymes Partners; all dated July 6, 2011, which are all incorporated herein. Full-size site and first floor plans are on file with the Department of Housing and Economic Development. This Plan of Development, as amended, is in conformity with the intent and purposes of the Chicago Zoning Ordinance (Title 17 of the Municipal Code in Chicago) and all requirements thereof and satisfies the established criteria for approval of a Planned Development. These and no other zoning controls, as amended, shall apply to the area delineated herein. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control.
- 5. The following uses shall be permitted within the area delineated herein as "Business-Residential Planned Development", as amended: all uses permitted in the BI, Neighborhood Shopping District (provided, however, that there shall be no limitation on the size of individual business establishments except those set forth in the Bulk Regulations and Data Table attached hereto), dwelling units above the ground floor (Subarea A only), Sports and Recreation (Participant), Grocery, Office, Theatres, and accessory and non-accessory parking.

Applicant: Lincoln, Ashland & Belmont, LLC

Address: 3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-1624 West School;

3301-3319 North Marshfield

Date: July 6, 2011

- 6. On premise, business identification signs shall be permitted within the Planned Development, as Amended, subject to the review and approval of the Department of Housing and Economic Development. Temporary signs, such as construction and marketing signs shall be permitted within the Planned Development, as Amended. Subject to review and approval of the Department of Housing and Economic Development, off-premise signs shall not be permitted in the Planned Development, as Amended.
- 7. Any service drives or other means of ingress or egress, including emergency vehicle access, shall be adequately designed, constructed, and paved in accordance with the Municipal Code of the City of Chicago and the regulations of the Chicago Department of Transportation in effect at the time of construction. There shall be no parking within such paved areas or with fire lanes. Ingress and egress shall be subject to the review and approval of the Chicago Department of Transportation and the Department of Housing and Economic Development. Closure of all or part of any public streets or alleys during demolition or construction shall be subject to the review and approval of the Chicago Department of Transportation Construction Standards for work in the public way and in compliance with the Municipal Code of the City of Chicago. Off-street parking and off-street loading facilities shall be provided in compliance with this Plan of Development subject to review of the Department of Transportation and Housing and Economic Development.
- 8. For purposes of building height measurement and calculations, the definitions in the Chicago Zoning Ordinance shall apply. In addition to the maximum height of any building or appurtenance attached thereto, the height of any improvement shall also be subject to height limitations as approved by the Federal Aviation Administration.
- 9. The maximum permitted floor are ratio ("F.A.R.") shall be in accordance with the attached Bulk Regulations and Data Table. For purposes of F.A.R. calculations and floor area measurements, the definition in the Chicago Zoning Ordinance shall apply.
- 10. The improvements in the Property, including all entrances and exits, shall be designed, installed and maintained in substantial conformance with the Site Plan, attached hereto and made a part hereof.
- 11. The terms, conditions and exhibits of this Planned Development, as Amended, ordinance may be modified administratively by the Commissioner of the Department of Housing and Economic Development, upon the written request for such modification by the Applicant and after a determination by the Commissioner of the Department of Housing and Economic Development, that such a modification is minor, appropriate and is consistent with the nature of the improvement contemplated in this Planned Development, as Amended. Any such modification of the requirements of this statement by the Commissioner of the Department of Housing and Economic Development shall be deemed to be a minor change in the Planned Development as contemplated by Section 17-13-0611 of the Chicago Zoning Ordinance.
- 12. The City of Chicago established a Part II Review Fee in the amount of \$0.25 per square feet for the total buildable square feet (floor area) or alternatively, in the case of an interior expansion or exterior addition, for the total buildable square feet (floor area) of the expansion or exterior addition. The Part II Review Fee will be assessed by the Department of Housing and Economic Development during the actual Part II Review. The fee as determined by the Department of Housing and Economic Development staff is final and binding on the Applicant and must be paid to the Department of Housing and Economic Development prior to the issuance of any Part II Approval.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner that promotes, enables and maximizes universal access

Applicant: Lincoln, Ashland & Belmont, LLC

Address: 3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-1624 West School;

3301-3319 North Marshfield

Date: July 6, 2011

throughout the Property. Plans for all new building and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities ("MOPD") to ensure compliance with all applicable laws and regulations related to access for person with disabilities and to promote the highest standard of accessibility.

- 14. The Applicant acknowledges that it is in the public interest to design, construct and renovate all commercial buildings in a maimer that provides healthier environments, reduces operating costs and conserves energy and resources. The Applicant shall construct all new building and improvements and maintain all newly constructed buildings located within this Planned Development, as Amended, in accordance with the Department of Housing and Economic Development's Sustainable Development Policy.
- 15. Unless substantial construction of the proposed expansion has begun within the Planned Development, as Amended, within six (6) years of the date of passage of the Planned Development, as Amended, the zoning of that property shall revert to Business-Residential Planned Development No. 559. The six-year period may be executed for one (1) additional year if, before expiration, the Commissioner of the Department of Housing and Economic Development determines there is good cause for such an extension.

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Applicant:

Lincoln, Ashland & Belmont, LLC

Address:

3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-1624 West School;

3301-3319 North Marshfield

Date:

July 6, 2011

#### BUSINESS-RESIDENTIAL PLANNED DEVELOPMENT NO. 559, AS AMENDED **BULK REGULATIONS AND DATA TABLE**

**Gross Site Area:** 

146,659 Square Feet

Net Site Area:

88,658 Square Feet

Public Rights of Way:

59,097 Square Feet

Allowable Uses:

In accordance with Planned Development

Statement No. 5.

Maximum Floor Area Ratio:

2.4

Maximum Number of Dwelling Units:

82 Units

Minimum Number of Off-Street Parking

dwelling unit.

Residential (Garage Space): One space per

Spaces:

Commercial (Garage): 176 spaces Spaces: (Surface): 49 spaces

Minimum Number of Off-Street Loadings

Spaces:

Sub-Area A: 2

Sub-Area B:

Total:

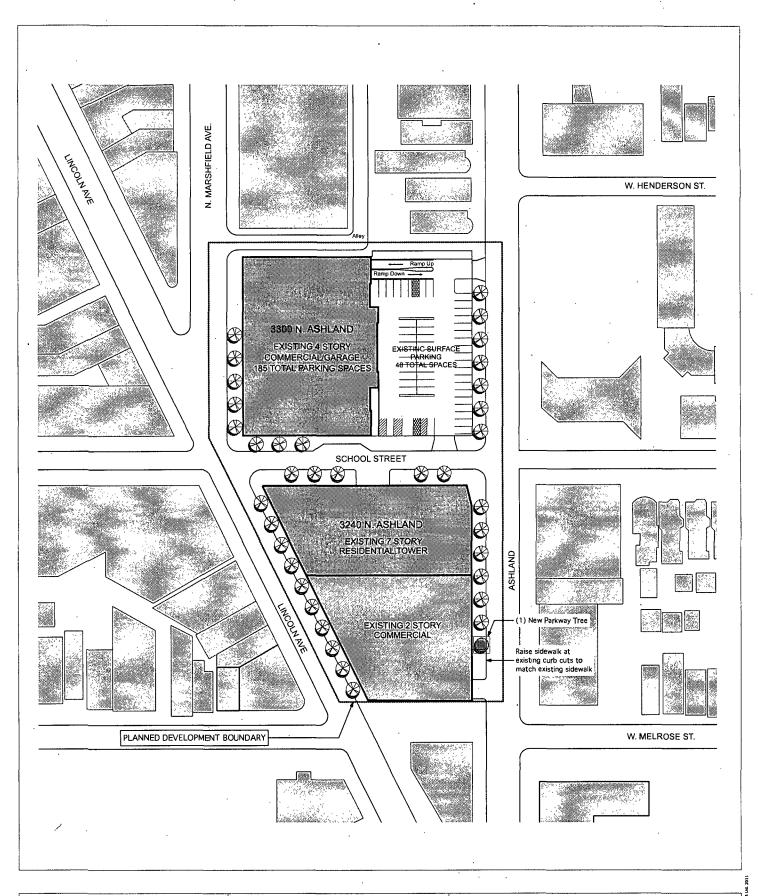
Minimum Building Setbacks:

In accordance with Site Plan.

Maximum Building Height:

In accordance with the Building Elevations.

5362648.1





pappageorgehaymes partners architect

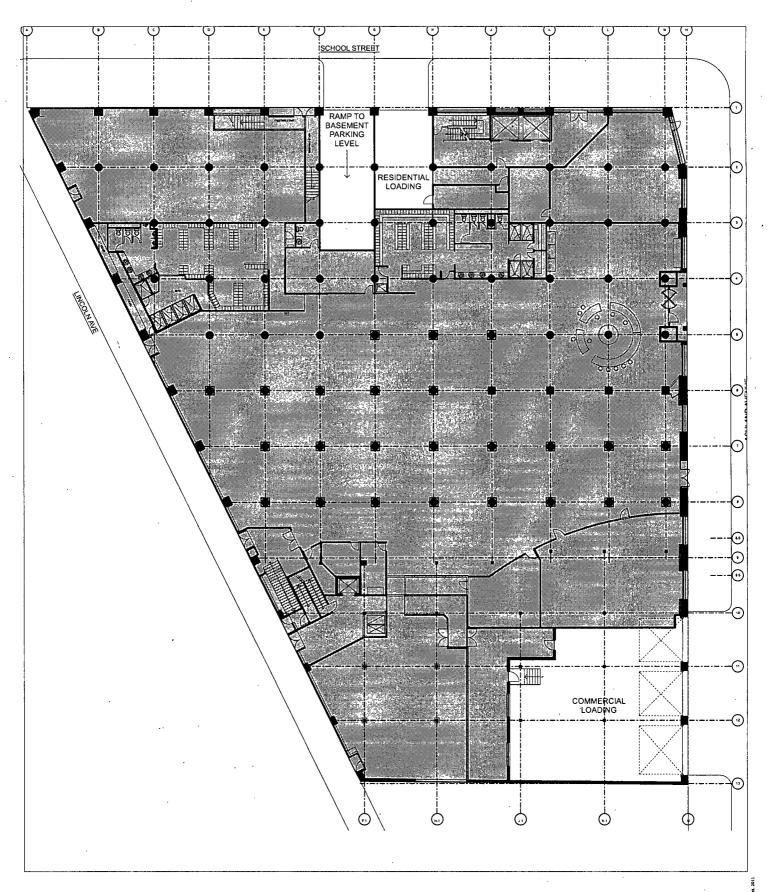
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640 N. LaSalle, Suite 400 Chicago, IL 60654 312.337.3344 FAX 204.8988 Name: Lincoln, Ashland & Belmont, LLC Address: 3236-3318 North Ashland; 3237-3263 North Lincoln; 1800 1804 Worth Lincoln;

1600-1624 West School; 3301-3319 North Marshfield Date: July 6, 2011 Site Plan Scale: 1" =100'









pappageorgehaymes partners architect

640 N. LaSalle, Suite 400 Chicago, IL 60654 312.337.3344 FAX 204.8988

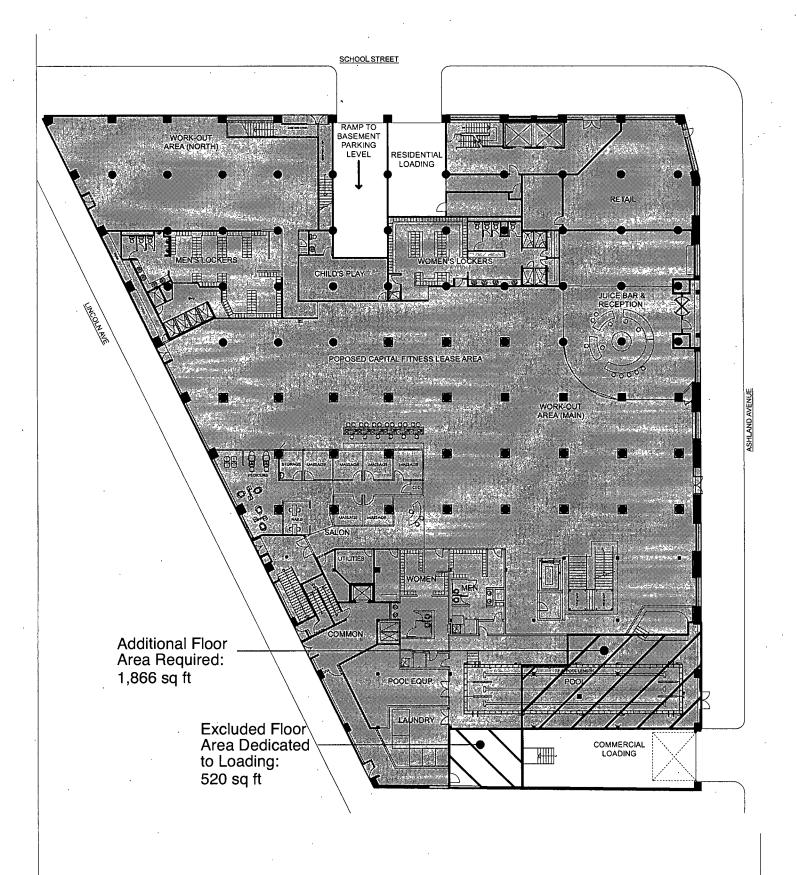
Name: Lincoln, Ashland & Belmont, LLC Address: 3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-1624 West School; 3301-3319 North Marshfield

Date: July 6, 2011

Existing 1st Floor Plan

Scale: 1/32" = 1'-0"







pappageorgehaymes partners architect

640 N. LaSalle, Suite 400 Chicago, IL 60654 312.337.3344 FAX 204.8988

Name: Lincoln, Ashland & Belmont, LLC

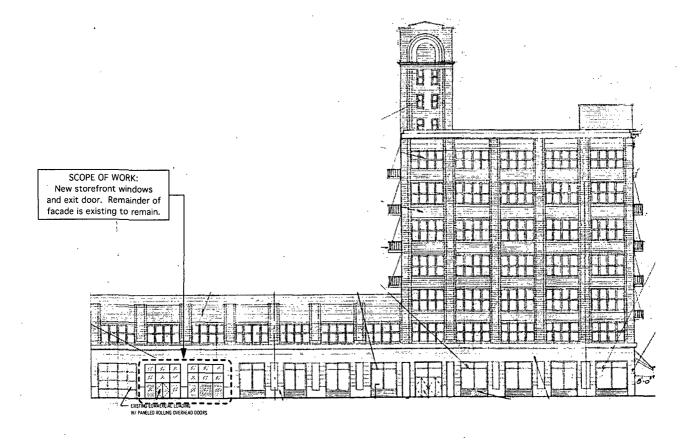
Address: 3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-1624 West School; 3301-3319 North Marshfleld

Date: July 6, 2011

Proposed 1st Floor Plan

Scale: 1/32" = 1'-0"





### Key Plan



**Opaque Glass** 



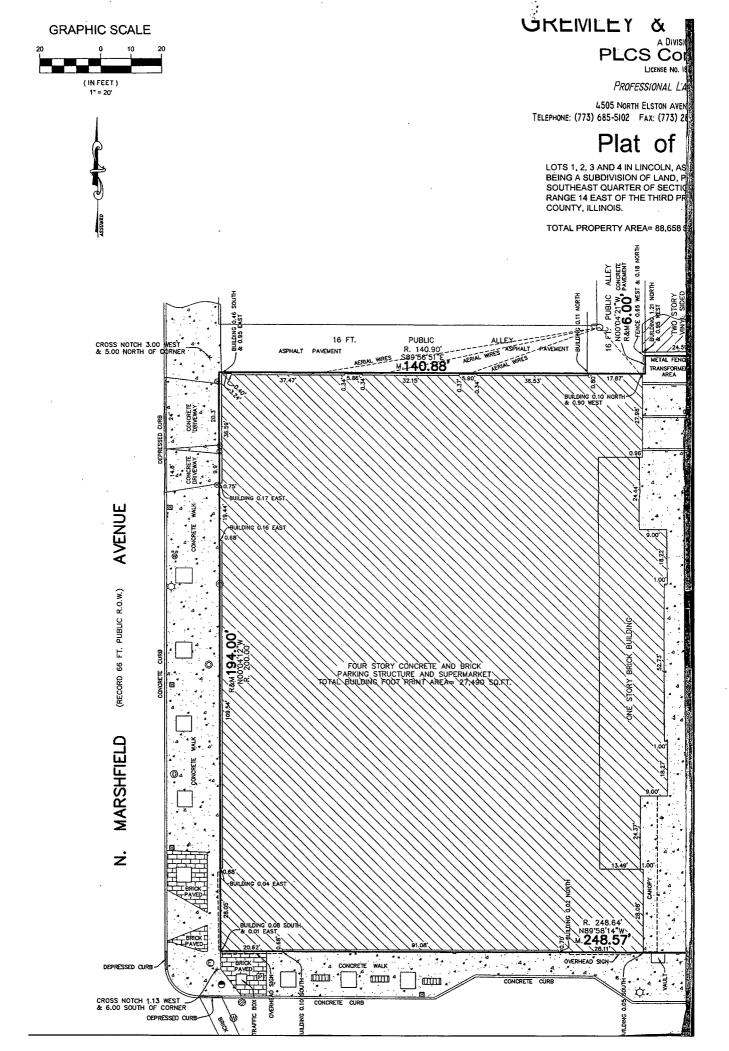
pappageorgehaymes partners architect

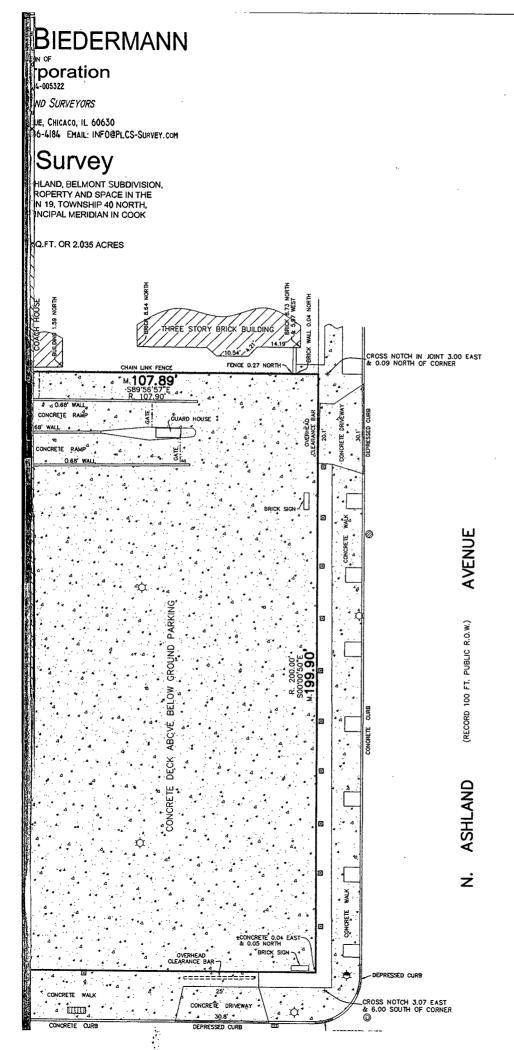
640 N. LaSalle, Suite 400 Chicago, IL 60654 312.337.3344 FAX 204.8988

Name: Lincoln, Ashland & Belmont, LLC Address: 3236-3318 North Ashland; 3237-3263 North Lincoln; 1600-1624 West School; 3301-3319 North Marshfield Date: July 6, 2011

**East Elevation** 

Scale: 1" ≈ 40'





## Legend:

- Storm MH
- Ø Storm CB
  - Storm Inlet
- San MH
- Water MH
- Telephone MH
- Utility Pole
- © Electric MH
- ⊕ Electric Pedestal
- Electric Light Pole
- Electric Light Pole with Traffic Signal
- Electric Mounted Woll Liç
- ⇔ Gas Valve
- Parking Meter
- PBB Parking Pay Box
- ☐ Sign Post
- ⊗ Bumper Post
- Bike Rock
- Auto Sprinkler

