

Office of the Chicago City Clerk



Office of the City Clerk

City Council Document Tracking Sheet

Meeting Date:

9/8/2011

Sponsor(s):

Mendoza

Type:

Ordinance

Title:

Zoning Reclassification App No. 17338

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RT4, Residential Two-Flat, Townhouse and Multi-Family District symbols and indications as shown on Map No. 9-G in the area bounded

A line 24.02 feet south of West Grace Street; the north-south public alley next east of and parallel to North Janssen Avenue; a line 49.02 feet south of West Grace Street; and North Janssen Avenue

to those of a RT3.5, Residential Two-Flat, Townhouse and Multi-Family District.

Section 2. This ordinance takes effect after its passage and approval.

Common Address of Property:

3755 North Janssen, Chicago, Illinois.

#17338 IN+DAte: 9-8-11

CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| 1. | ADDRESS of the property Applicant is seeking to rezone: |
|----|---|
| | 3755 North Janssen |
| 2. | Ward Number that property is located in: 44 th Ward |
| 3. | APPLICANT Middlefork Capital, LLC |
| | ADDRESS One North Wacker Drive, Suite 4125 CITY Chicago |
| | STATE Illinois ZIP CODE 60606 PHONE 312/214-0400 |
| | EMAIL: abowyer@middleforkcapital.com CONTACT PERSON: Andrew C. Bowyer |
| 4. | Is the Applicant the owner of the property? YES X NO If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the applicant to proceed. |
| | OWNER |
| | ADDRESS |
| | CITYSTATEZIP CODE |
| | PHONECONTACT PERSON |
| 5. | If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information: |
| | ATTORNEY Jessica M. Schramm of Thompson Coburn LLP |
| | ADDRESS 55 East Monroe Street, 37 th Floor |
| | CITY Chicago STATE IL ZIP CODE 60603 |
| | PHONE <u>312/580-2249</u> FAX <u>312/782-3658</u> |
| | EMAIL ischramm@thompsoncoburn.com |

| 6. | If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements. |
|------|---|
| | Middlefork Capital, LLC; Two Rivers, LLC; James D. Denny; and Catherine M. Denny |
| 7. | On what date did the owner acquire legal title to the subject property? February of 2010 |
| | Yes, Has the present owner previously rezoned this property? If Yes, when? September of 2011 |
| 9. | Present Zoning District RT4 Proposed Zoning District RT3.5 |
| 10 | 0. Lot size in square feet (or dimensions) 25.0' x 120.04' = 3,001.00 square feet |
| . 11 | 1. Current Use of the property: <u>The Property is improved with a newly constructed 2-story single-family residence.</u> |
| 12 | 2. Reason for rezoning the subject property: To change the underling zoning district from that of a RT4 to that of a RT3.5 so that such is consistent with the zoning of the adjacent parcels and the 3700 block of North Janssen. This down zoning is being sought pursuant to an agreement with the 44 th Ward Alderman Tunney and Southport Neighbors Association. |
| | |
| 13 | 3. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building (BE SPECIFIC). |
| 1; | dwelling units; number of parking spaces; approximate square footage of any commercial |
| 1. | dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building (BE SPECIFIC). The Property is improved with a newly constructed single-family residence. No change is |
| | dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building (BE SPECIFIC). The Property is improved with a newly constructed single-family residence. No change is proposed to the use of the property or the existing residence. |
| | dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building (BE SPECIFIC). The Property is improved with a newly constructed single-family residence. No change is proposed to the use of the property or the existing residence. 4. On May 14 th , 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that required on-site affordable housing units or financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Housing Requirements Ordinance? (See Fact Sheet for more |
| | dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building (BE SPECIFIC). The Property is improved with a newly constructed single-family residence. No change is proposed to the use of the property or the existing residence. 4. On May 14 th , 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that required on-site affordable housing units or financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Housing Requirements Ordinance? (See Fact Sheet for more information) |
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COUNTY OF COOK STATE OF ILLINOIS

I, James D. Denny of Two Rivers, LLC, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

Signature of Applicant

Subscribed and Sworn to before me this 29th day of August , 20 11.

"OFFICIAL SEAL"
Notary Public Nina E Van Tries
Notary Public, State of Illinois
My Commission Expires 12/1/2014

For Office Use Only

| Date of Introduction: | | |
|-----------------------|------|--|
| File Number: | | |
| Ward: | | |
| 354411.1 | | |

3 of 3

September 8, 2011

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about September 8, 2011 the undersigned will file an application for a change in zoning from an RT4, Residential Two-Flat, Townhouse and Multi-Unit District to a RT3.5, Residential Two-Flat, Townhouse and Multi-Unit District on behalf of Middlefork Capital, LLC for the property located at 3755 North Janssen, Chicago, Illinois.

The Applicant proposes to change the understanding zoning district from that of RT4 to that of a RT3.5 so that such is consistent with the zoning of the adjacent parcels and the 3700 block of North Janssen. The property is improved with a newly constructed single-family residence. No change is proposed to the use of the property or the existing residence.

This downzoning is being sought pursuant to an agreement with Alderman Tunney and Southport Neighbors Association.

Middlefork Capital, LLC is located at One North Wacker Drive, Suite 415, Chicago, Illinois 60606. The contact person for this application is the attorney for the Applicant: Jessica M. Schramm of Thompson Coburn LLP located at 55 East Monroe Street, 37th Floor, Chicago, Illinois 60603, (312) 346-7500.

PLEASE NOTE THAT THE APPLICANT IS NOT SEEKING TO REZONE OR PURCHASE YOUR PROPERTY. THE APPLICANT IS REQUIRED BY LAW TO SEND THIS NOTICE BECAUSE YOU OWN PROPERTY WITHIN 250 FEET OF THE PROPERTY TO BE REZONED.

Kindest Regards,

Jessica Schramm

Attorney for Applicant

"WRITTEN NOTICE" **AFFIDAVIT** (Section 17-13-0107)

September 8, 2011

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Jessica M. Schramm, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the amended notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant filed an application for a change in zoning on approximately September 8, 2011.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Signatu

Subscribed and Sworn to before me this

ECELIA S ZIGANTO

OFFICIAL SEAL

Notary Public

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| Check ONE of the following three boxes: Indicate whether the Disclosing Party submitting this EDS is: 1. [k] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: B. Business address of the Disclosing Party: One North Wacker Drive, Suite 4125 Chicago, Illinois 60606 C. Telephone: 312/214-0400 Fax: Email: abowyer@middleforkcapital.com D. Name of contact person: Andrew Bowyer E. Federal Employer Identification No. (if you have one): F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Zoning Map Amendment of property located at 3755 North Janssen, Chicago, Illinois. G. Which City agency or department is requesting this EDS? Department of Housing & Economic Development If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: | A. Legal name of the Disclosing Party sub | omitting this EDS. Include d/b/a/ if applicable: |
|---|---|--|
| Indicate whether the Disclosing Party submitting this EDS is: 1. §] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: B. Business address of the Disclosing Party: One North Wacker Drive, Suite 4125 Chicago, Illinois 60606 C. Telephone: 312/214-0400 Fax: Email: abowyer@middleforkcapital.com D. Name of contact person: Andrew Bowyer E. Federal Employer Identification No. (if you have one): F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Zoning Map Amendment of property located at 3755 North Janssen, Chicago, Illinois. G. Which City agency or department is requesting this EDS? Department of Housing & Economic Development If the Matter is a contract being handled by the City's Department of Procurement Services, please | Middlefork Capital, LLC | |
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| 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: B. Business address of the Disclosing Party: One North Wacker Drive, Suite 4125 Chicago, Illinois 60606 C. Telephone: 312/214-0400 Fax: Email: abowyer@middleforkcapital.com D. Name of contact person: Andrew Bowyer E. Federal Employer Identification No. (if you have one): F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Zoning Map Amendment of property located at 3755 North Janssen, Chicago, Illinois. G. Which City agency or department is requesting this EDS? Department of Housing & Economic Development If the Matter is a contract being handled by the City's Department of Procurement Services, please | Applicant in which the Disclosing Pa | |
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| If the Matter is a contract being handled by the City's Department of Procurement Services, please | Zoning Map Amendment of property located at 3 | 755 North Janssen, Chicago, Illinois. |
| - | G. Which City agency or department is req | questing this EDS? Department of Housing & Economic Development |
| | - | by the City's Department of Procurement Services, please |
| Specification # and Contract # | Specification # | and Contract # |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [X] Limited liability company [] Publicly registered business corporation [] Limited liability partnership Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership []Yes []No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes [] No [x] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Managing Member Two Rivers, LLC

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| | Business Address | Percentage Interest in the |
|---------------------|---------------------------------|---|
| | | Disclosing Party |
| Two Rivers, LLC | One North Wacker Drive, | Ste. 4125, Chicago, IL 100% |
| | | |
| | | |
| | | |
| | - HI | |
| SECTION III BU | USINESS RELATIONSHIPS | WITH CITY ELECTED OFFICIALS |
| * | • | nship," as defined in Chapter 2-156 of the Municipa hs before the date this EDS is signed? |
| Code, with any City | elected official in the 12 mont | is before the date this LDB is signed: |
| [] Yes | [x] No | is before the date this LDS is signed. |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|---|
| Jessica M. Schramm/Thomp | son Coburn LLP, | Attorney | Est. \$10,000.00 |
| (Retained) 55 East | Monroe Street, | Ste. 3700, Chicago, IL 60603 | • |
| ` | | | |
| | | | |
| (Add sheets if necessary) | | , | |
| [X] Check here if the Disc | losing Party h | as not retained, nor expects to retain | , any such persons or entities |
| SECTION V CERTII | FICATIONS | | |
| A. COURT-ORDERED | CHILD SUPP | ORT COMPLIANCE | |
| • | | -415, substantial owners of business h their child support obligations thro | |
| | | ly owns 10% or more of the Disclosons by any Illinois court of competer | |
| [] Yes [*] N | | o person directly or indirectly owns sclosing Party. | 10% or more of the |
| If "Yes," has the person e is the person in compliance | | court-approved agreement for payme reement? | ent of all support owed and |
| [] Yes [] N | 0 | | |
| B. FURTHER CERTIFIC | CATIONS | • | |
| ·. | | | · |

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: | | | | | | |
|--|----------------------|-----------------|--|------|---|--|
| N/A | is), mo Disclosing I | arty must oxpid | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | ` | |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

| 1. | The Disclosing | Partv | certifies | that the | Disclosing | Party (| check of | one) |
|----|----------------|-------|-----------|----------|------------|---------|----------|------|
| | | | | | | | | |

[] is [x] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes

[X] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

| 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. | | | | |
|---|--|--|--|--|
| Does the Matter involve a | City Property Sale? | • | | |
| []Yes | [k] No | | | |
| • | | names and business addresses of the City fy the nature of such interest: | | |
| Name | Business Address | Nature of Interest | | |
| | | | | |
| be acquired by any City off E. CERTIFICATION REG | icial or employee. ARDING SLAVERY ERA | | | |
| disclose below or in an atta | chment to this EDS all informer requirements may make a | g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in | | |
| the Disclosing Party and an from slavery or slaveholder | y and all predecessor entitie insurance policies during the provided coverage for dama | ng Party has searched any and all records of es regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and | | |
| Disclosing Party has found policies. The Disclosing Party | records of investments or practy verifies that the following | of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ing constitutes full disclosure of all such laveholders described in those records: | | |
| T F La | | | | |
| | | | | |

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): | | |
|---|-------|--|
| | • | |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "No appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entregistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of Disclosing Party with respect to the Matter.) | ities | |

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing 1 | Party the Applicant? | |
|---------------------------------------|---|--|
| [] Yes | [] No | |
| If "Yes," answer t | he three questions below | v: |
| • | leveloped and do you ha s? (See 41 CFR Part 60 | ve on file affirmative action programs pursuant to applicabl |
| [] Yes | [] No | , |
| Contract Complian | • | rting Committee, the Director of the Office of Federal ual Employment Opportunity Commission all reports due |
| 3. Have you p equal opportunity | | ous contracts or subcontracts subject to the |
| | , | pove, please provide an explanation: |
| · · · · · · · · · · · · · · · · · · · | | |
| | | |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Middlefork Capital, LLC | _ |
|---|---|
| (Print or type name of Disclosing Party) | _ |
| By: | |
| James M. Denny | _ |
| (Print or type name of person signing) | |
| Managing Member of Two Rivers, LLC, Sole Member (Print or type title of person signing) | on of middle for Capital, LLC |
| Signed and sworn to before me on (date) 200 County, IUMNS | 7th <u>Autust 2011,</u> _(state). |
| Mung non | _ Notary Public. |
| Commission expires: 12/0/204 | "OFFICIAL SEAL" Nina E Van Tries Notary Public, State of Illinois My Commission Expires 12/1/2014 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [] Yes | [x] No | | |
|-----------------------|---|--------------------------------|------------------------|
| such person is connec | ify below (1) the name and title of ted; (3) the name and title of the el relationship, and (4) the precise nat | ected city official or departs | ment head to whom such |
| | | | |
| | | | |
| | | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|--|
| Two Rivers, LLC |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR |
| [x] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Middlefork Capital, LLC OR |
| 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: One North Wacker Drive, Suite 4125 |
| Chicago, Illinois 60606 |
| C. Telephone: 312/214-0400 Fax: Email: abowyer@middleforkcapital.com |
| D. Name of contact person: Andrew Bowyer |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| Zoning Map Amendment for the property located at 3755 North Greenview, Chicago, Illinois. |
| G. Which City agency or department is requesting this EDS? Department of Housing & Economic Development |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # and Contract # |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [X] Limited liability company [] Publicly registered business corporation [] Limited liability partnership [] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership []Yes []No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [] Yes [] No [x] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title James M. Denny Managing Member

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Name

relationship(s):

| | | | J |
|--------------------|--|---|----------------|
| | | g Party | |
| James M. Denny | One North Wacker Drive, Ste. 412 | 25, Chicago, IL | 50% |
| Catherine M. Denny | One North Wacker Drive, Ste. 412 | 25, Chicago, IL | 50% |
| | | *************************************** | |
| | | | |
| SECTION III BU | SINESS RELATIONSHIPS WIT | H CITY ELEC | CTED OFFICIALS |
| | Party had a "business relationship, lected official in the 12 months bef | • | - |
| | | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

If yes, please identify below the name(s) of such City elected official(s) and describe such

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| retained or anticipated to be retained) | Address | (subcontractor, attorney, lobbyist, etc.) | paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|--|---|--|
| | | | |
| | · | | |
| (Add sheets if necessar | y) | | |
| [X] Check here if the Di | sclosing Party h | as not retained, nor expects to retain | n, any such persons or entities |
| SECTION V CERT | IFICATIONS | | • |
| A. COURT-ORDEREI | CHILD SUPP | ORT COMPLIANCE | |
| the City must remain in Has any person who dir | compliance wit | -415, substantial owners of business th their child support obligations thr tly owns 10% or more of the Disclos ons by any Illinois court of competer | oughout the contract's term. |
| | | | |
| [] Yes [*] | | o person directly or indirectly owns sclosing Party. | 10% or more of the |
| If "Yes," has the person is the person in complia | | court-approved agreement for paym reement? | ent of all support owed and |
| [] Yes [] | No | | • |
| B. FURTHER CERTIF | ICATIONS | | |
| consult for defined term submitting this EDS is to certifies as follows: (i) to with, or has admitted gu criminal offense involve | is (e.g., "doing the Applicant an neither the Applicant and ilt of, or has even ing actual, attentions." | opter 1-23, Article I ("Article I") (who business") and legal requirements), it is doing business with the City, the icant nor any controlling person is completed, or conspiracy to commit bribes officer or employee of the City or a | if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery, |

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| Certifications), the Disclosing | y is unable to certify to any ig Party must explain below | | in this Part B (Further |
|---------------------------------|--|---|-------------------------|
| N/A | | | |
| | | | |
| | | · | |
| | | | |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

| 1. | The Disclosing | Party cert | ifies that the | Disclosing | Party (| check one | e) |
|----|----------------|------------|----------------|------------|---------|-----------|----|
| | | | | | | | |

[] is [x] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
 - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

| I the Disclosing Party is unable to make this pleage because it or any of its affiliates (as defined in | | | | | | | | |
|---|----------------------|---|---------------------------------------|-----|------|--|--|--|
| ection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter | | | | | | | | |
| | nicipal Code, explai | • | • | • . | • | | | |
| | | | · · · · · · · · · · · · · · · · · · · | | - 11 | | | |
| | | | | | | | | |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [x] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

| elected official or any other person of for taxes or assess "City Property Sal | employee shall have a financial interpretation entity in the purchase of any properments, or (iii) is sold by virtue of leg | rest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain power ning of this Part D. |
|---|---|---|
| Does the Matter in | volve a City Property Sale? | |
| [] Yes | [x] No | |
| | ked "Yes" to Item D.1., provide the r yees having such interest and identify | names and business addresses of the City y the nature of such interest: |
| Name | Business Address | Nature of Interest |
| Please check eit disclose below or i comply with these connection with th | n an attachment to this EDS all infordisclosure requirements may make a e Matter voidable by the City. | Party checks 2., the Disclosing Party must rmation required by paragraph 2. Failure to any contract entered into with the City in |
| the Disclosing Par from slavery or sla issued to slavehold | y and any and all predecessor entitie veholder insurance policies during th | ng Party has searched any and all records of es regarding records of investments or profits he slavery era (including insurance policies ge to or injury or death of their slaves), and |
| 2. The Disclo Disclosing Party hap policies. The Disc | sing Party verifies that, as a result of as found records of investments or pr losing Party verifies that the following | f conducting the search in step 1 above, the rofits from slavery or slaveholder insurance ng constitutes full disclosure of all such aveholders described in those records: |
| | | |
| | | |

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

| Disclosure Act of 1995 who have respect to the Matter: (Add she | ave made lobbying co | • | | , , | |
|--|--|---------------|---------------------------------------|---------------|------------|
| | | | · · · · · · · · · · · · · · · · · · · | | |
| | | 1 | | | |
| (If no explanation appears or b appear, it will be conclusively registered under the Lobbying Disclosing Party with respect t | presumed that the Dis Disclosure Act of 199 | closing Party | means that N | NO persons of | r entities |

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing l | Party the Applicant? | | |
|---------------------------------|--|---|---------|
| [] Yes | [] No | | |
| If "Yes," answer t | he three questions belo | ow: | |
| • | eveloped and do you has? (See 41 CFR Part 60 | have on file affirmative action programs pursuant to app 0-2.) | licable |
| [] Yes | [] No | • | |
| Contract Complian | - | orting Committee, the Director of the Office of Federal qual Employment Opportunity Commission all reports of | |
| 3. Have you p equal opportunity | | ious contracts or subcontracts subject to the | |
| []Yes | [] No | | |
| If you checked "N | o" to question 1. or 2. a | above, please provide an explanation: | |
| | | · · · · · · · · · · · · · · · · · · · | |
| | | | |

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Two Rivers, LLC | |
|---|----------------|
| (Print or type name of Disclosing Party) | · |
| By: Menny (Sign here) | · · |
| James M. Denny | |
| (Print or type name of person signing) | |
| Managing Member (Print or type title of person signing) | l |
| Signed and sworn to before me on (date) | hAHEMET 2011. |
| at County, IUMOVS | (state). |
| Minu Esason. | Notary Public. |
| Commission expires: P/01/2014 | · |
| "OFFICIAL SEAL" Nina E Van Tries | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [] Yes | [×] No | | | |
|-----------------------|---|-----------------------|---------------------------|--|
| such person is connec | cify below (1) the name and title eted; (3) the name and title of the relationship, and (4) the precise | elected city official | or department head to who | |
| | | | | |
| | | | | |

Chairman, Committee on Zoning Room 304 - City Hall Chicago, Illinois 60602

Committee Members:

I, Catherine M. Denny, a 50% Member of Two Rivers, LLC, the sole Managing Member of Middlefork Capital, LLC, an Illinois limited liability company, understand that Jessica Schramm of Thompson Coburn, LLP has filed a Zoning Map Amendment for the parcel of land commonly known as 3755 North Janssen, Chicago, Illinois. I hereby state within this sworn affidavit that Two Rivers, LLC is the sole Managing Member of Middlefork Capital, LLC, which is owner of the identified land.

I authorize Jessica Schramm and the law firm of Thompson Coburn, LLP to file the zoning map amendment application and take all action necessary to seek the approval of the same.

I, Catherine M. Denny, a 50% Member of Two Rivers, LLC, the sole Managing Member of Middlefork Capital, LLC, being first duly sworn on oath, depose and say that Two Rivers, LLC and Middlefork Capital, LLC hold their respective interests on behalf of themselves and no other person, association or shareholder, and I hold my interest on behalf of myself.

Catherine M. Denny Two Rivers, LLC,

Sole Managing Member of Middlefork Capital, LLC

SUBSCRIBED AND SWORN to before me this 27 day of AULIUST, 20

NOTARY PUBE

Nina E Van Tries Notary Public, State of Illinois My Commission Expires 12/1/2014

"OFFICIAL SEAL"

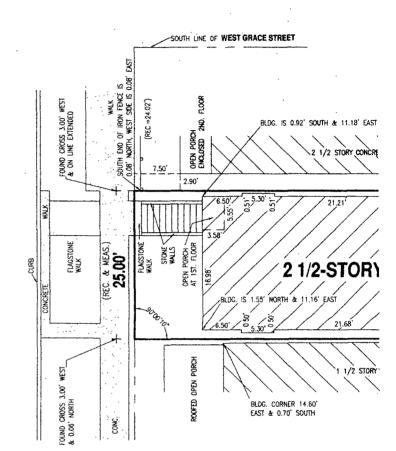
5354441.1

PLAT of

LEGAL DESCRIPTION:

Lot 47 in Block 2 in Rood's Subdivision of the Northeast Quarter of 40 North, Range 14, East of the Third Principal Meridian, in Cook Cc

Commonly known as: 3755 North Janssen Avenue; Chicago



TE NOTES: ea = 3,001 sq. ft.

NERAL NOTES:

information provided to the surveyor is shown or noted hereon.

e description on this plot was provided to us by the client, and does not guarantee hership, and should be compared to your Deed, Abstract or Certificate of Title.

building restrictions, building lines and easements may or may not be shown, check your ed. Abstract, Title Report, and local ordinances, no responsibility is assumed by Surveyor.

impare all points before building by same and report any discrepancy at once

nensions are shown in feet and decimal parts thereof, no dimension is to be assumed by aling.

| R. R. HANSEN CEMBER: P.L.S.A. LC.S.M. LS.P.S. | SURVEYORS ESTABLISHED 1911 840 CUSTER AVENUE, EVANSTON, ILLINOIS 60202 TEL. (847) 864-6315 / FAX (847) 864-9341 E-MAIL: SURVEYOR@BHSUHR.COM | Professional Design Firm License No 184-002871 |
|--|---|---|
| ORDER No. 11-156-A | SSEN AVE. EVANSTON, AUGUST 25, ORDERED BY MIDDLEFORK CAPITAL | 2011 |

ASPHALT)

(35.25)

FIELD MEASUREMENTS COMPLETED AUGUST 25 STATE OF ILLINOIS BE.

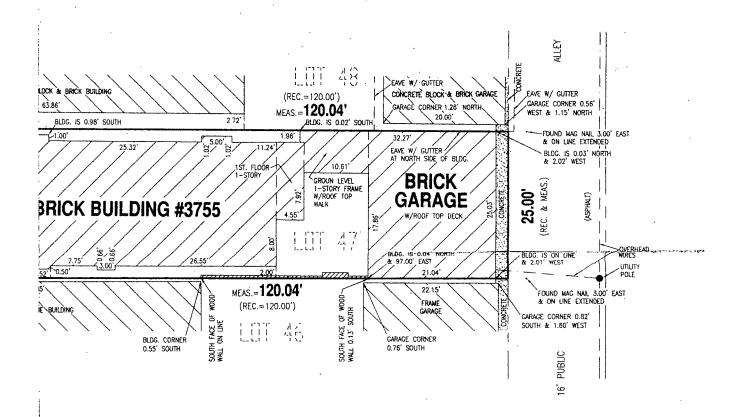
This is to certify that a survey of the above supervision and that the above plat correctly re conforms to the current Illinois Minimum Stands

Raymond R. Hansen
Professioned Land Surveyor No. 035-0025
License Expiration Date 11/30/2012

SURVEY

Southwest Quarter of the Northwest Quarter of Section 20, Township γ , Illinois.

ilinois.



__ 20 11

ciribed property was performed under my ents said survey. This professional service for a boundary survey.

Dated AUGUST Ze 2011



