

# Office of the Chicago City Clerk



Office of the City Clerk

City Council Document Tracking Sheet

Meeting Date: 1/18/2012

Sponsor(s): Mendoza, Susana A. (Clerk)

Type: Ordinance

Title: Zoning Reclassification Map No. 13-H

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

## **ORDINANCE**

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1: That the Chicago Zoning Ordinance be amended by changing all B3-2 Community Shopping District symbols and designations as shown on Map No. 13-H in the area bounded by

a line 626.93 feet North of and parallel to West Lawrence Avenue; the westerly right-of-way line of the Chicago & Western Railway; West Lawrence Avenue; the alley next east of and parallel to North Woolcott Avenue,

to the designation of a Business Planned Development which is hereby established in the area above described, subject to such use and bulk regulations as are set forth in the Plan of Development herewith attached and made a part thereof and to no others.

SECTION 2: This Ordinance shall be in force and effect from and after its passage and due publication.

#17399 INT Date: 01-18+12

# CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:
	1800-1824 W. Lawrence Avenue, Chicago, IL
2.	Ward Number that property is located in: 47th
3.	APPLICANT Ravenswood Station, LLC
	ADDRESS 5215 Old Orchard Road, Suite 130
	CITY Skokie STATE IL ZIP CODE 60077
	PHONE 847-673-6660 CONTACT PERSON Seymour Taxman
4.	Is the applicant the owner of the property? YES NO X  If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application t proceed.
	OWNER Sears Roebuck and Co.
	ADDRESS3333 Beverly Road
	CITY Hoffman Estates STATE IL ZIP CODE 60179
	PHONE 847-286-7103 CONTACT PERSON Dave Liu
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:
	ATTORNEY John J. George / Chris A. Leach
	ADDRESS 20 S. Clark St. Ste. 400 CITY Chicago
	PHONE 312-726-8797 FAX 312-726-8819

Chitown EB/EP JV, LLC	5215 Old Orchard Road, Suite 130
	Skokie, IL 60077 45%
Sears Roebuck and Co.	3333 Beverly Road
	Hoffman Estates, IL 60179 45%
On what date did the owne	r acquire legal title to the subject property? Various Dates
Has the present owner prev	viously rezoned this property? If yes, when?
Present Zoning District	B3-2 Proposed Zoning District Business Planned I
Lot size in square feet (or e	dimensions) 161,003 sq. ft.
Current Use of the propert	y Sears Tire and Battery Store
Reason for rezoning the pr	operty because a planned development is required.
	of the property after the rezoning. Indicate the number of dwelling
mits; number of parking s neight of the proposed buil	paces; approximate square footage of any commercial space; and ding. (BE SPECIFIC) with a new 3 story retail/commercial building with a height
units; number of parking s height of the proposed buil To redevelop the site	ding. (BE SPECIFIC)
units; number of parking s height of the proposed buil To redevelop the site	ding. (BE SPECIFIC) with a new 3 story retail/commercial building with a height
nnits; number of parking sheight of the proposed build to redevelop the site of 60 feet and contains parking spaces.  On May 14th, 2007, the Charles on-site thousing projects receive a the project in question and	ding. (BE SPECIFIC) with a new 3 story retail/commercial building with a height

**'**•

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<del></del>	
COUNTY OF COOK STATE OF ILLINOIS	
Timothy W. Barrett statements and the statements contain	being first duly sworn on oath, states that all of the above ed in the documents submitted berewith are true and correct.
	Signature of Applicant
Subscribed and Sworn to before me the 19th day of December	nisOFFICIAL SEAL
Notary Public	CHRIS A LEACH NOTARY PUBLIC STATE OF ILLINOIS My Commission Expires 12/26/2011
	For Office Use Only
Date of Introduction:	<del> </del>
File Number:	<del></del>
Ward:	

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# BUSINESS PLANNED DEVELOPMENT NO. PLAN OF DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Planned Development Number \_\_\_\_, ("Planned Development") consists of approximately 161,003 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and is owned or controlled by the Applicant, Ravenswood Station, LLC.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the applicant's successors and assigns and, if different than the applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assign or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans, and subject to review and approval by the Department of Business Affairs and Consumer Protection.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Departments of Housing and Economic Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by the Department of Transportation.

4. This Plan of Development consists of fifteen Statements: a Bulk Regulations Table; an Existing Zoning Map; an Existing Land-Use Map; a Planned Development Boundary and Property Line Map; Site Plan; Landscape Plan; a Green Roof Plan; and Building Elevations (North, South, East and West) prepared by Antunovich Associates and dated

APPLICANT: RAVENSWOODS STATION LLC

ADDRESS: 1800-2824 W. LAWRENCE AVENUE

DATE: DECEMBER 20, 2011

December 20, 2011, submitted herein. Full-sized copies of the Site Plan, Landscape Plan and Building Elevations are on file with the Department of Housing and Economic Development. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

- 5. The following uses are allowed/permitted in the area delineated herein as a Planned Development: commercial, retail, health club, accessory parking, and related uses and services, and all uses permitted in the Commercial use group of the B3 Community Shopping District.
- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Housing and Economic Development. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations Table has been determined using a Net Site Area of 161,003 square feet.
- 9. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Housing and Economic Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 10. The Site and Landscape plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Housing and Economic Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Environment and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.

APPLICANT: RAVENSWOODS STATION LLC

ADDRESS: 1800-2824 W. LAWRENCE AVENUE

DATE: DECEMBER 20, 2011

- 12. The terms and conditions of development under this the Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 14. The applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. At the time of a hearing before the Chicago Plan Commission, all developments must be in substantial compliance with the current City of Chicago Sustainable Development Policy set forth by the Housing and Economic Development. The building to be constructed shall be constructed under the Leadership in Energy and Environmental Design (LEED) Green Building Rating System. The Applicant shall provide a vegetated ("green") roof on at least fifty percent (50%) of the building's net roof area.
- 15. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Commissioner of the Department of Housing and Economic Development shall initiate a Zoning Map Amendment to rezone the property to (underlying zoning that formed the basis of this Planned Development).

APPLICANT: RAVENSWOODS STATION LLC

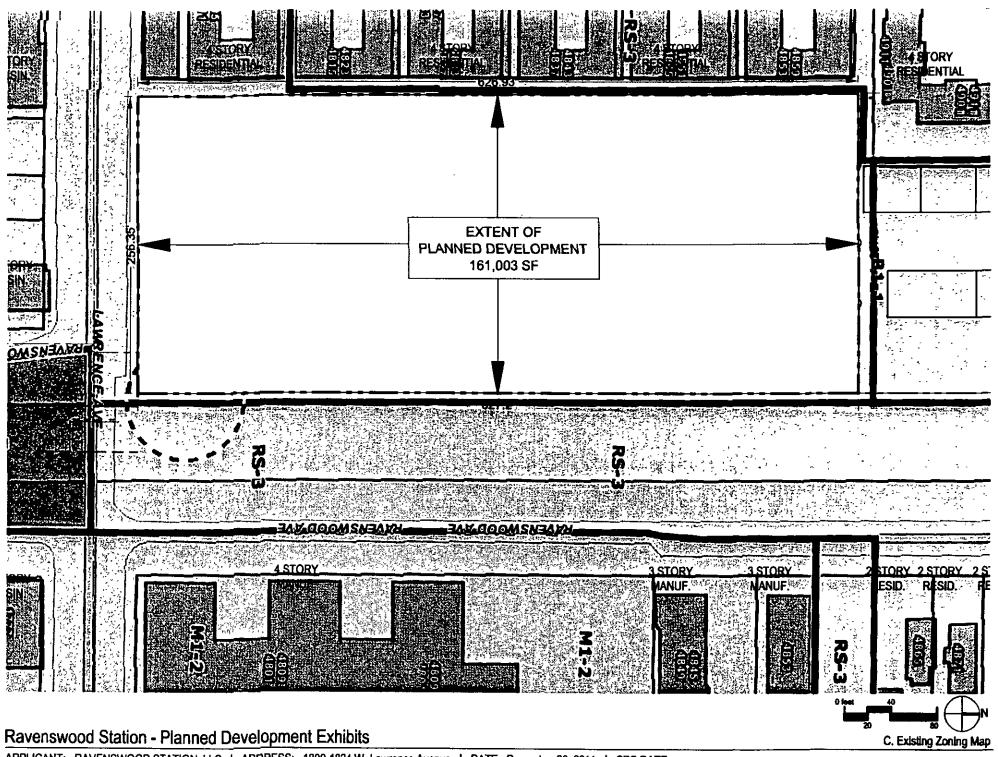
ADDRESS: 1800-2824 W. LAWRENCE AVENUE

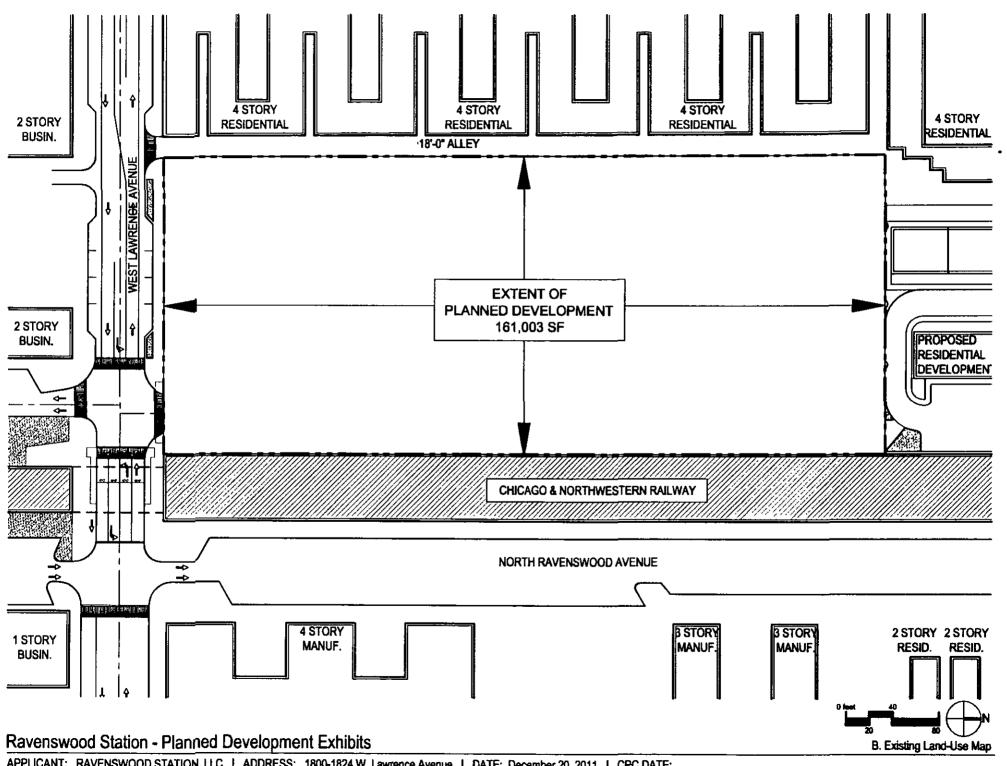
DATE: DECEMBER 20, 2011

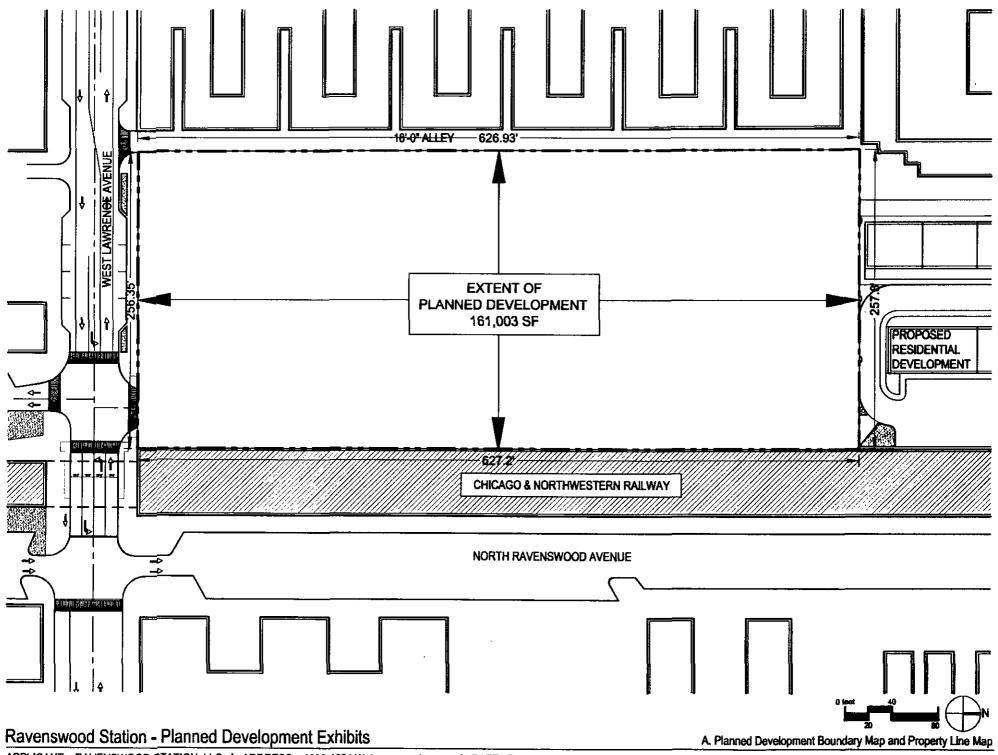
Ravenswood Station Site (1800 W. La	awrence)	
Net Site Area 161,003	SF	
Area in Public Right-of-Way 15,898 S	SF	
Gross Site Area (Net Site Area + Area in R.O.W.)	SF	
Proposed Floor Area Ratio 0.82		
FAR Area 131,047	131,047 SF	
1 Permitted liese	See Statement #5 of this Planned Development	
Square Footage of Retail/Commercial Space 131,04	131,047	
Proposed Number of Off- Street Accessory Parking 357 Spaces	357 Spaces	
Proposed Number of Total Off- Street Parking Spaces 357 Spaces	es	
Proposed Number of Off- Street Loading Spaces 3 Space	3 Spaces	
I Minimum Hullding Sethacks I	In Substantial Conformance with the Site Plan	
Maximum Building Height 60 Feet, 0 in	nches	
Proposed Number of Bicycle Racks 80 Rack	······································	
Green Features  LEED Certi		

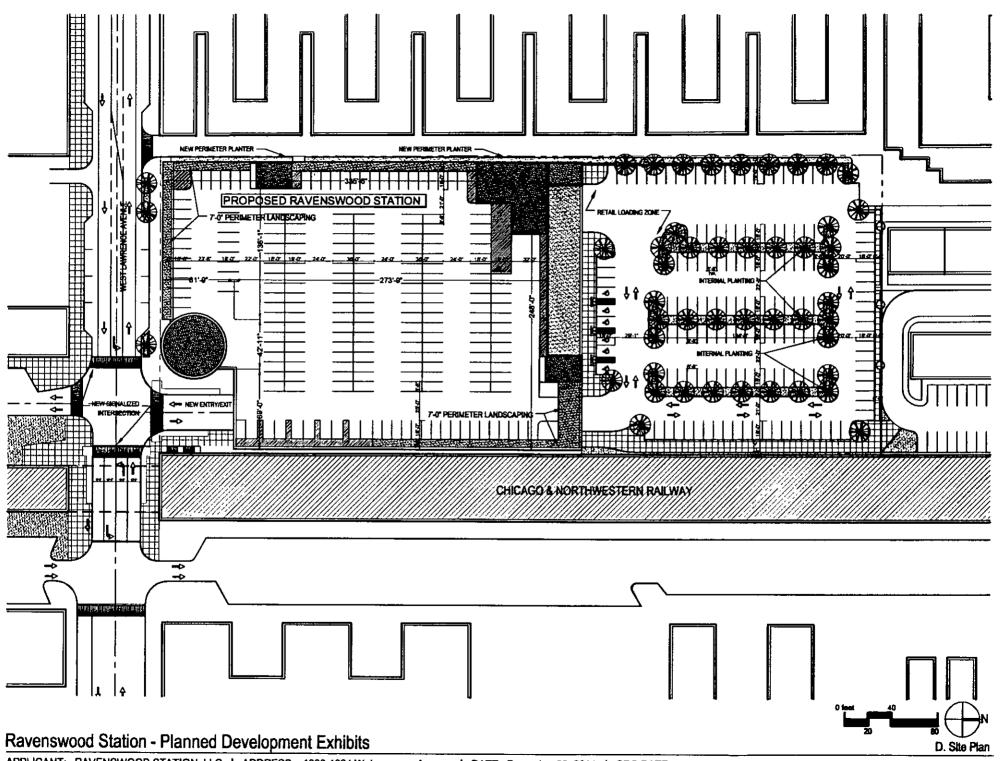
APPLICANT: Barrett & Porto, The Taxman Company, Sierra

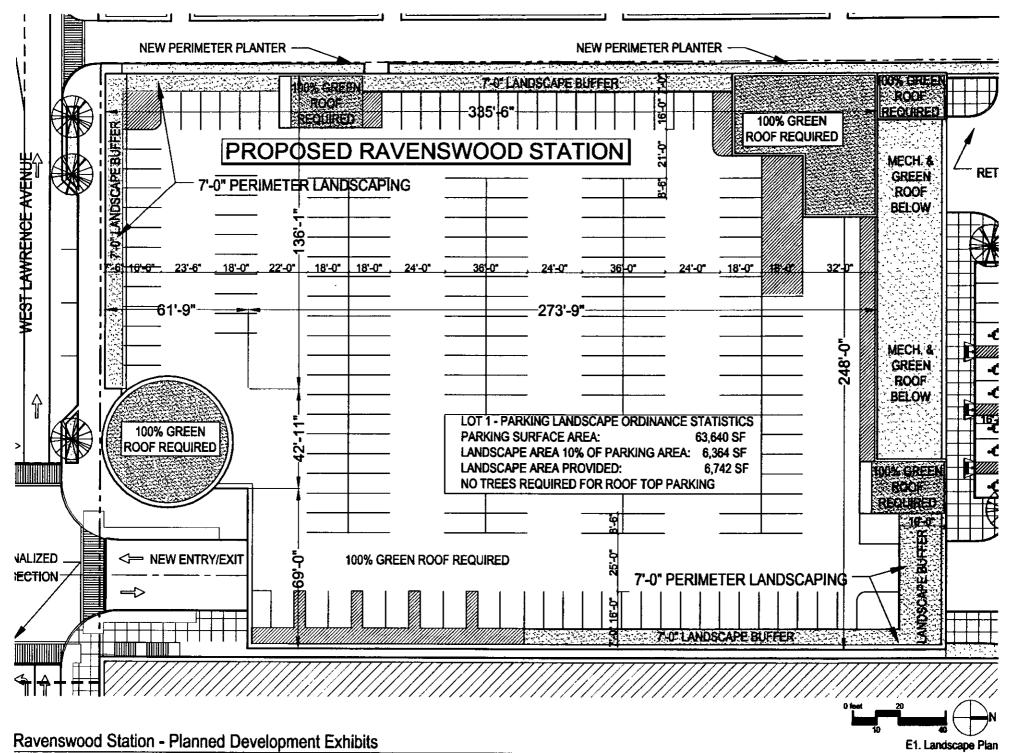
DATE: December 14, 2011

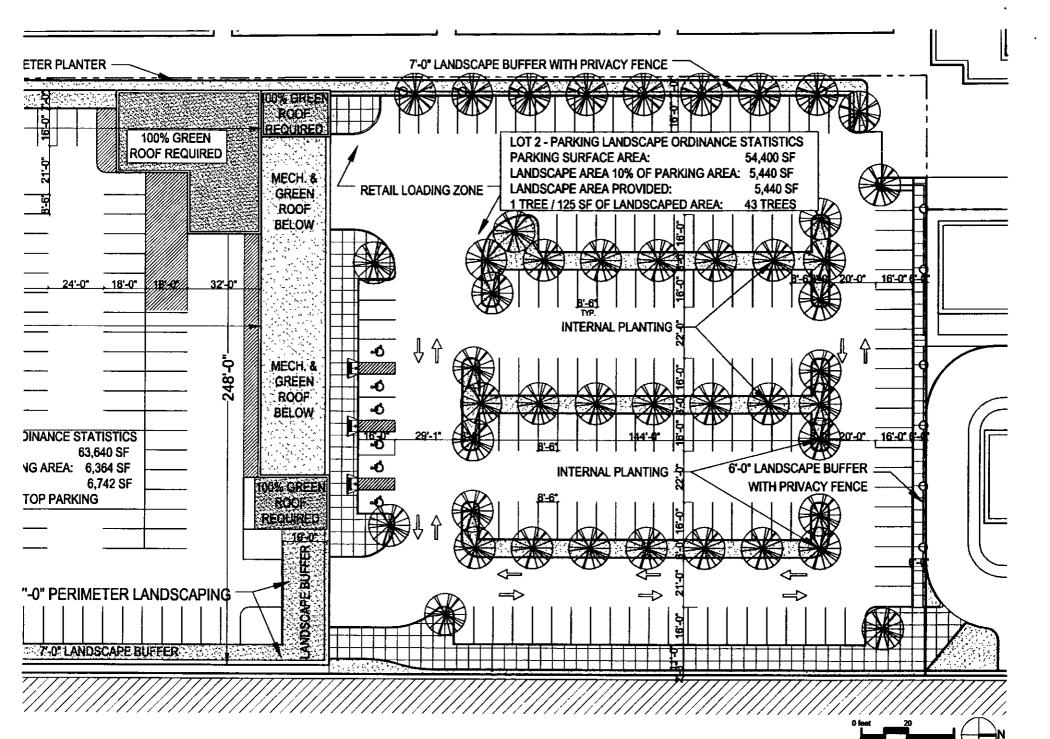


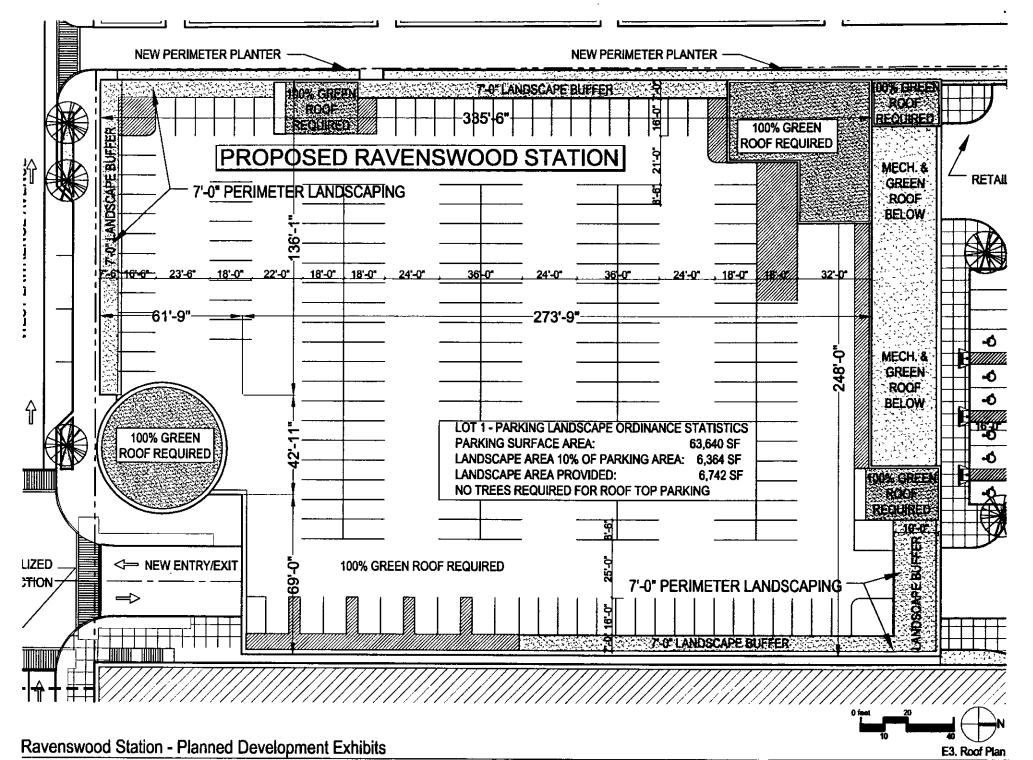


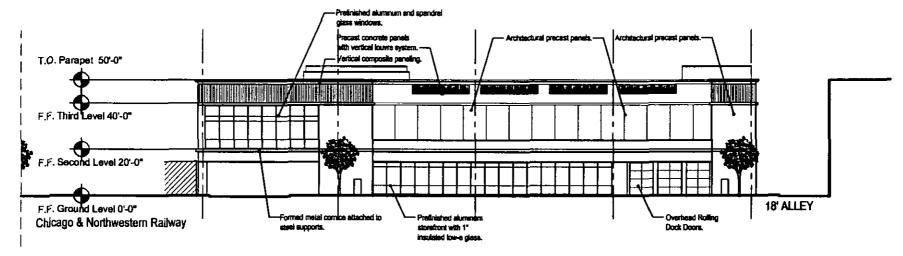




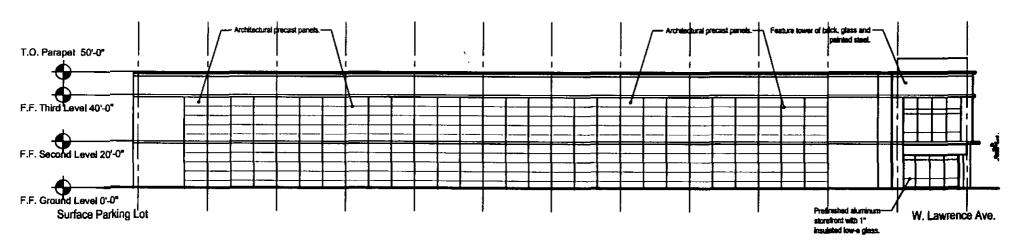






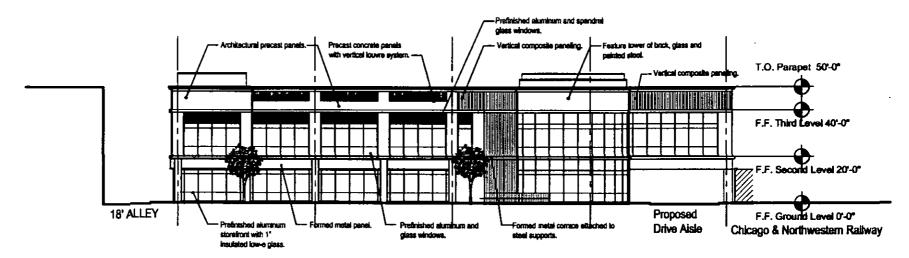


North Elevation - Lawrence Avenue

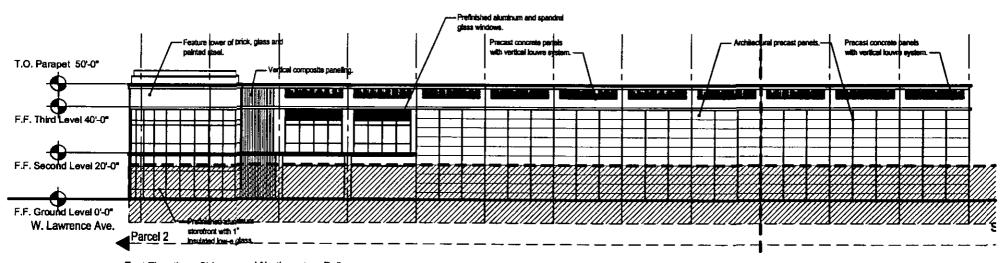


West Elevation - Chicago and Northwestern Railway





South Elevation - Lawrence Avenue



East Elevation - Chicago and Northwestern Railway

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Ravenswood Station, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [X] the Applicant  OR
<ol> <li>[] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:</li> </ol> OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 5215 Old Orchard Road, Suite 130 Skokie, IL 60077
C. Telephone: 847-673-6660 Fax: 847-674-0230 Email: mrt@taxmancorp.com
D. Name of contact person: Seymour Taxman
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Zoning Amendment Application for 1800 West Lawrence
G. Which City agency or department is requesting this EDS?  Department of Housing and Economic Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

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Ver. 09-01-10

# **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	arty:
[] Person	★ Limited liability company
[ ] Publicly registered business corporation	[] Limited liability partnership
[ ] Privately held business corporation	[] Joint venture
[] Sole proprietorship	Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:
Illinois	<del></del>
3. For legal entities not organized in the S business in the State of Illinois as a foreign en	State of Illinois: Has the organization registered to do tity?
[] Yes [] No	[K] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also li	all executive officers and all directors of the entity.  ist below all members, if any, which are legal entities. If  s." For trusts, estates or other similar entities, list below
· ·	partnership, limited liability company, limited liability
partnership or joint venture, list below the nam	ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party.
NOTE. Each legal entity listed below linus; sur	bint an EDS on its own benail.
Name	Title
Chitown-EB/EP JV, LLC	Manager and Member
	on concerning each person or entity having a direct or
	p) in excess of 7.5% of the Disclosing Party. Examples
of such an interest include shares in a corporati	ion, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
Chitown EB/EP JV, LLC	5215 Old Orchard Rd., Ste. 130	45%
	Skokie, IL 60077	
Sears Roebuck and Co.	3333 Beverly Road	45%
<del></del>	Hoffman Estates, IL 60179	

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	[X] No	
If yes, please ident relationship(s):	tify below the name(s) o	of such City elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is	
See Ex	hibit A		not an acceptable response	
(Add sheets if necessary)				
[] Check here if the Disc	losing Party h	as not retained, nor expects to retain	, any such persons or entities	
SECTION V CERTIF	ICATIONS			
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE		
		-415, substantial owners of business th their child support obligations thro		
¥ -	•	ely owns 10% or more of the Disclos ons by any Illinois court of competen	<b>.</b>	
[] Yes [X] N		o person directly or indirectly owns sclosing Party.	10% or more of the	
If "Yes," has the person e is the person in compliance		court-approved agreement for payme reement?	ent of all support owed and	
[]Yes []N	o			
B. FURTHER CERTIFIC	CATIONS			
consult for defined terms submitting this EDS is the certifies as follows: (i) ne with, or has admitted guild	(e.g., "doing be Applicant an ither the Applicant the Applicant of, or has even	pter 1-23, Article I ("Article I")(white pusiness") and legal requirements), if d is doing business with the City, the icant nor any controlling person is custoner been convicted of, or placed under the puted, or conspiracy to commit bribes	f the Disclosing Party on the Disclosing Party arrently indicted or charged or supervision for, any	

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:					

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1.	The Disclosing	Party certifies	that the Disclo	sing Party	(check one	)

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affilia	ites (as defined in
Section 2-32-455(b) of the Municipal Code) is a predatory lender within the mean	ing of Chapter
2-32 of the Municipal Code, explain here (attach additional pages if necessary):	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes X No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

clected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial inte or entity in the purchase of any prope ments, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City rest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[X] No	
	ked "Yes" to Item D.1., provide the yees having such interest and identif	names and business addresses of the City by the nature of such interest:
Name	Business Address	Nature of Interest
be acquired by any  E. CERTIFICATION  Please check cit disclose below or a comply with these connection with the  X_1. The Disclothe Disclosing Part from slavery or slat issued to slavehold the Disclosing Part 2. The Disclothe Disclosing Party has policies. The Disc	City official or employee.  ON REGARDING SLAVERY ERA  ther 1. or 2. below. If the Disclosing in an attachment to this EDS all info disclosure requirements may make a e Matter voidable by the City.  Osing Party verifies that the Disclosing yand any and all predecessor entities we holder insurance policies during the lers that provided coverage for dama by has found no such records.  Osing Party verifies that, as a result of as found records of investments or policing Party verifies that the following such records.	BUSINESS  g Party checks 2., the Disclosing Party must rmation required by paragraph 2. Failure to any contract entered into with the City in any contract entered into with the City in the slavery era (including insurance policies age to or injury or death of their slaves), and of conducting the search in step 1 above, the rofits from slavery or slaveholder insurance and constitutes full disclosure of all such aveholders described in those records:
	······································	

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  None	
	_
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)	,

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Par	y the Applicant? N/A
[]Yes	[ ] No
If "Yes," answer the	hree questions below:
· · · · · · · · · · · · · · · · · · ·	cloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2.) [] No
Contract Compliance under the applicable	<del>-</del> ·
[] Yes	[] No
3. Have you part equal opportunity cla	cipated in any previous contracts or subcontracts subject to the use?
[] Yes	[ ] No
If you checked "No"	to question 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Ravenswood Station, LC	_
(Print or type name of Disclosing Party)	
ву:	
(Sign Here)	
Timoth\\Barreft	_
(Print or type name of person signing)	
Manager of Manager	
(Print or type title of person signing)	-
Signed and sworn to before me on (date) 10	2/12/2011
at Cook County, Illinia	(state).
Chris R. Lead	Notary Public.
Commission expires:	·

OFFICIAL SEAL
CHRIS A. LEACH
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 12/26/2011

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	₩ No	
such person is connec	eted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which ne elected city official or department head to whom such a nature of such familial relationship.

# **EXHIBIT A**

Name	Business Address	Relationship to Disclosing Party	Fees
Daley and George	Two First National Plaza 20 S. Clark St., Ste. 400 Chicago, IL 60603 (312) 726-8797	Zoning Legal	\$50,000 Estimated
Antunovich Associates	224 W. Huron St. Chicago, IL 60654 (312) 266-1126	Architect/Engineer & Consultant	\$725,000 Estimated
Kenig, Lindegren, O'Hara, Aboona, Inc.	9575 W. Higgins Rd., Ste. 400 Rosemont, IL 60018	Traffic Engineer	\$7,500 Estimated

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Sears Roebuck and Co
Check ONE of the following three boxes:
Indicate whether Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [4] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which Disclosing Party holds an interest:  Ravenswood Station, LLC  OR
3. [] a specified legal entity with a right of control (see Section II.B.1.b.) State the legal name of the entity in which Disclosing Party holds a right of control:
B. Business address of Disclosing Party: 3333 Beverly Road
Hoffman Estates, IL 60179
C. Telephone: (847) 286 7103 Fax: (847) 286-7976 Email: Dave.Liu@searshc.com  D. Name of contact person: Dave Liu
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Zoning Amendment Application for 1800 W. Lawrence
G. Which City agency or department is requesting this EDS? Department of Housing and Economic Develop
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	irty:
[] Person	[] Limited liability company*
[8] Publicly registered business corporation	[] Limited liability partnership*
[] Privately held business corporation	[] Joint venture*
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership*	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership*	[] Yes [] No
[] Trust	[] Other (please specify)
* Note B.1.b below.	
2. For legal entities, the state (or foreign c	country) of incorporation or organization, if applicable:
New York	<del></del>
business in the State of Illinois as a foreign en	
[X] Yes [] No	[ ] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
For not-for-profit corporations, also list below	eles of all executive officers and all directors of the entity. all members, if any, which are legal entities. If there are susts, estates or other similar entities, list below the legal
Name See Exhibit "A"	Title
	ship," "Limited partnership," "Limited liability Joint venture" in response to Item A 1, above (Nature of

Disclosing Party), list below the name and title of each general partner, managing member, manager or

Page 2 of 13

Name	Jame Title		
indirect beneficia of such an interes interest of a memberstate or other sin Municipal Code o	vide the following information concert interest (including ownership) in ext include shares in a corporation, part ber or manager in a limited liability of illar entity. If none, state "None." Not Chicago ("Municipal Code"), the out which is reasonably intended to act	cess of 7.5% of the Disclosi tnership interest in a partners company, or interest of a ben OTE: Pursuant to Section 2- City may require any such ad	ng Party. Examples ship or joint venture eficiary of a trust, -154-030 of the
Name	Business Address	Percentage Interest	in the
Sears Holdings C	Corporation 3333 Beverly Road, Hof		100%
SECTION III	BUSINESS RELATIONSHIPS W	ITH CITY ELECTED OF	FICIALS
	sing Party had a "business relationsh ty elected official in the 12 months b		
[] Yes	[x] No		
If yes, please iden relationship(s):	tify below the name(s) of such City of	elected official(s) and descri	be such
	<del></del>		

any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE:

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total

amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipate to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
(Add sheets if necess	ary)		
[] Check here if the entities.	Disclosing party	has not retained, nor expects to retain,	any such persons or
SECTION V CEF	RTIFICATION	S	
A. COURT-ORDER	ED CHILD SUI	PPORT COMPLIANCE	
		92-415, substantial owners of business exith their child support obligations throu	
		ctly owns 10% or more of the Disclosing tions by any Illinois court of competent	
[]Yes	[X] No []	No person owns 10% or more of the D	isclosing Party.
If "Yes," has the pers is the person in comp		a court-approved agreement for paymer agreement?	nt of all support owed and
[] Yes [	] No		

### **B. FURTHER CERTIFICATIONS**

- 1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 2. The certifications in subparts 2, 3 and 4 concern:
  - the Disclosing Party;
  - any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 5 The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:						
	<u>.                                    </u>					 
					·	 · · · · · · · · · · · · · · · · · · ·

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)

### 1. CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

[ ] is [x] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

2-32 of the Munic	cipal Code, explain here (attach add	itional pages if necessary):
	the word "None," or no response a med that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
D. CERTIFICAT	ION REGARDING INTEREST IN	CITY BUSINESS
Any words or term meanings when us		of the Municipal Code have the same
	financial interest in his or her own	Sunicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you ch Item D.I., proceed	_	to Items D.2. and D.3. If you checked "No" to
elected official or any other person o for taxes or assess "City Property Sal	employee shall have a financial into r entity in the purchase of any prop ments, or (iii) is sold by virtue of le	we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, ten pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	volve a City Property Sale?	
[]Yes	[ ] No	
	ked "Yes" to Item D.1., provide the vees having such interest and identi	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slave holders that provided coverage for damage to or injury or death of their slaves) and has

disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.
Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2
x 1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1(a) above, the Disclosing Party has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records:
SECTION VI CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.
A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying
Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with
respect to the Matter: (Begin list here, add sheets as necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sflllin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants\_forms.html.

- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Part	y the Applicant?
[] Yes	[X] No
If "Yes," answer the	hree questions below:
	eloped and do you have on file affirmative action programs pursuant to ulations? (See 41 CFR Part 60-2.) [] No
•	with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports due illing requirements?  [] No
3. Have you partiequal opportunity cla	cipated in any previous contracts or subcontracts subject to the
[x] Yes	[ ] No
If you checked "No"	to question 1. or 2. above, please provide an explanation:
	CKNOWLEDGMENTS, CONTRACT INCORPORATION, NALTIES, DISCLOSURE
The Disclosing Party	understands and agrees that

The Disclosing Party understands and agrees that:

- A. By completing and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of itself and the persons or entities named in this EDS, that the City may investigate the creditworthiness of some or all of the persons or entities named in this EDS.
- B. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

- H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- H.2 If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.1. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

Sears Roebuck and Co.	Date: 12-14-2011
(Print or type name of Disclosing Party)	
By: Jack	REALESTATE
(sign here)	EGAL
James B. Terrell	
(Print or type name of person signing)	
Vice President, Real Estate	
(Print or type title of person signing)	
	State).  Notary Public.  OFFICIAL SEAL MARY J COX NOTARY PUBLIC STATE OF ILLINOIS MY COMMISSION EXPIRES.03/21/15

# Exhibit A

Report Name: Management Structure

Filtered By : --

Exported By: Deann Bogner Exported On: 12/01/2011

Entity Name: Sears, Roebuck and Co

Name .	Title	Title Role	Role Start
Drobny, Dane A.	Director	Director	03/17/2011
Merriwether, Deidra C	Director	Director	07/01/2008
Phelan, William K	nelan, William K Director		04/19/2005
D'Ambrosio, Louis J.	Chief Executive Officer and President	Officer	03/17/2011
Goodman, John D.	Executive Vice President, Apparel & Home	Officer	03/01/2010
Johnson, W Bruce	Executive Vice President, Off- Mall Businesses & Supply Chain	Officer	03/17/2011
Schriesheim, Robert A.	Executive Vice President and Chief Financial Officer	Officer	08/31/2011
Schultz, Lisa	Executive Vice President, Apparel Design	Officer	07/14/2008
Bonchek, Mark	Senior Vice President, Communities & Networks	Officer	03/17/2011
Brooks, Jamie M.	Senior Vice President, Retail Services - Sears, Full Line Stores	Officer	03/17/2011
Drobny, Dane A. Senior Vice President and General Counsel		Officer	10/27/2010
Featherston, Megan L.	Senior Vice President and President, Jewelry	Officer	08/31/2011
Finney, Joseph D	Senior Vice President, Automotive	Officer	10/27/2010
Friedman, David H.	Senior Vice President and President, Marketing	Officer	10/27/2010
Froman, John W.	Senior Vice President and President, Lawn & Garden	Officer	03/01/2010
Grayston, Nicholas M.	Senior Vice President and President, Footwear	Officer	07/14/2008
Harker, William R.	Senior Vice President	Officer	10/27/2010
Jooma, Imran	Senior Vice President and President, eCommerce	Officer	10/27/2010
Krauter, Lana C. Senior Vice President and President, Sears Apparel		Officer	03/17/2011
Malan, D. Hugo Senior Vice President and President, Fitness & Sporting Goods and Toys		Öfficer	08/31/2011
Merriwether, Deidra C	Senior Vice President and President, Retail Services	Officer	03/17/2011
Michel, Robin S	Senior Vice President and President, Food & Consumables and Health & Wellness	Officer	03/17/2011
Mukherjee, Devajit	Senior Vice President and President, Home Appliances	Officer	08/31/2011

Phelan, Robert J.	Senior Vice President, Inventory	Officer	07/14/2008
	Management		<u> </u>
Controller		Officer	08/31/2011
Powell, William A Senior Vice President and President, Hometown Stores		Officer	05/19/2009
Ravas, Allen R	Senior Vice President, Consumer Electronics	Officer	08/31/2011
Reed, Stuart C	Senior Vice President and President, Home Services	Officer	12/31/2008
Solomon, Samie A	Senior Vice President and President, Tools	Officer	08/31/2011
Stollenwerck, S. Jeffrey	Senior Vice President, Real Estate	Officer	09/27/2006
Wical, Kelly J	Senior Vice President and Chief Science Officer	Officer	02/14/2008
Works, J. David Jr.	Senior Vice President and President, Talent & Human Capital Services	Officer	08/31/2009
Gibron, J. Kal	Vice President, Real Estate Law & Asset Management and Assistant Secretary	Officer	10/27/2010
Hansen, Charles J.	Vice President and Assistant Secretary	Officer	07/14/2008
Misplon, James L.	Vice President, Tax	Officer	12/07/2005
Riecker, Robert A	Vice President and Assistant Controller	Officer	07/14/2008
Terrell, James B	Vice President, Real Estate	Officer	09/27/2006
Williams, Dorian R	Vice President and Assistant Secretary	Officer	10/27/2010
Meerschaert, Lawrence J.	Divisional Vice President, Assistant Treasurer and Secretary	Officer	02/14/2008
Smathers, Karen M	Assistant Treasurer	Officer	01/14/2011
Stokes, Iyohn D	Assistant Treasurer	Officer	01/14/2011
Weine, Perry N	Assistant Treasurer	Officer	07/14/2008
Bogner, Deann M	Assistant Secretary	Officer	10/22/2008
Halffield, David W.	Assistant Secretary	Officer	06/07/2007
Marks, Alan	Assistant Secretary	Officer	10/18/2011
Peoples, James	Assistant Secretary	Officer	10/18/2011
Rita, Carlos	Assistant Secretary	Officer	10/18/2011
Santoni, Herjerica H	Assistant Secretary	Officer	05/27/2008
Todd, Earl L	Assistant Secretary	Officer	07/14/2008

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Chitown EB/EP JV, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of th Applicant in which the Disclosing Party holds an interest: Ravenswood Station, LLC OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 5215 Old Orchard Road, Suite 130 Skokie, IL 60077
C. Telephone: 847-673-6660 Fax: 847-674-0230 Email: mrt@taxmancorp.com
D. Name of contact person: Seymour Taxman
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Zoning Amendment Application for 1800 West Lawrence
G. Which City agency or department is requesting this EDS? Department of Housing and Economic Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [X] Limited liability company [] Person [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] General partnership []Yes [ ] Limited partnership []No [] Other (please specify) [ ] Trust For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [] No [] N/A X Yes B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Taxman Manager, LLC Manager Timothy Barrett Manager and Member

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
Seymour Taxman	5215 Old Orchard Rd., Ste. 130	33.34%
	Skokie, IL 60077	
Timothy Barrett	221 W. Illionis St.	33.33%
	Wheaton, IL 60187	
Eugene Porto Living	Trust 221 W. Illinois St.	33.33%
	Wheaton, IL 60187	

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	[X] No	
If yes, please iden relationship(s):	tify below the name(s) of	f such City elected official(s) and describe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whet retained or anticipate to be retained)		i c	y Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necess	sary)		
MCheck here if the	Disclosing Pa	rty has not retained, nor expects to reta	in, any such persons or entities
SECTION V CEI	RTIFICATIO	NS	
A. COURT-ORDER	RED CHILD S	UPPORT COMPLIANCE	
		2-92-415, substantial owners of busine with their child support obligations the	
		irectly owns 10% or more of the Discl gations by any Illinois court of compet	
[]Yes	<b>⋈</b> No	[ ] No person directly or indirectly owr Disclosing Party.	ns 10% or more of the
If "Yes," has the pers is the person in comp		o a court-approved agreement for payr at agreement?	ment of all support owed and
[]Yes	[ ] No		
B. FURTHER CERT	rification:	3	
consult for defined to submitting this EDS: certifies as follows: ( with, or has admitted criminal offense invo	erms (e.g., "do is the Applica i) neither the guilt of, or ha living actual, a	Chapter 1-23, Article I ("Article I")(wing business") and legal requirements) at and is doing business with the City, Applicant nor any controlling person is sever been convicted of, or placed und ttempted, or conspiracy to commit bribt an officer or employee of the City or	then the Disclosing Party then the Disclosing Party currently indicted or charged der supervision for, any pery, theft, fraud, forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusive	lу
presumed that the Disclosing Party certified to the above statements.	

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1.	The Disclosing Party certifies that the Disclosing Party (check one)

[] is [X] is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is ur	nable to make this pledge	because it or any of	its affiliates (as defi	ned in
ection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter				
2-32 of the Municipal Cod	le, explain here (attach ad	ditional pages if nec	essary):	•
•		1 0	• ,	
		· · · · · · · · · · · · · · · · · · ·		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [X] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or employe any other person or entity i for taxes or assessments, or	e shall have a financial into n the purchase of any prop r (iii) is sold by virtue of le npensation for property tak	we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ten pursuant to the City's eminent domain power ning of this Part D.
Does the Matter involve a	City Property Sale?	
[] Yes	[X] No	
		names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
4. The Disclosing Par be acquired by any City off	_	prohibited financial interest in the Matter will
E. CERTIFICATION REG	ARDING SLAVERY ERA	A BUSINESS
disclose below or in an atta	chment to this EDS all info re requirements may make	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Party and an from slavery or slaveholder	y and all predecessor entiti insurance policies during provided coverage for dam	ing Party has searched any and all records of ies regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party has found policies. The Disclosing Pa	records of investments or party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ing constitutes full disclosure of all such laveholders described in those records:

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying
isclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with
espect to the Matter: (Add sheets if necessary):
None
f no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None"
ppear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities
gistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the
isclosing Party with respect to the Matter.)

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	y the Applicant? N/A
[] Yes	[ ] No
If "Yes," answer the	three questions below:
<u>-</u>	eloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2.)
[]Yes	[ ] No
•	I with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports due filing requirements?  [] No
3. Have you parequal opportunity of	icipated in any previous contracts or subcontracts subject to the use?
[]Yes	[ ] No
If you checked "No	to question 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Chitown EB/EP_JV, LLC		
(Print or type name of Disclosing Party)	<del></del>	
By:		
(Sign flere)Timothy_Barrett		OFFICIAL SEAL CHRIS A. LEACH NOTARY PUBLIC STATE OF ILLINOIS
(Print or type name of person signing)		My Commission Expires 12/26/2011
Manager of Manager		
(Print or type title of person signing)	<del></del>	
Signed and sworn to before me on (date) _	12/19/2011	
at Cosh County, Illinis	(state).	
Chir of Leach	Notary Public.	
Commission expires:	•	

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes

[ No

		title of such person, (2) the nar	
		f the elected city official or dej	
person has a familial re	elationship, and (4) the pre	cise nature of such familial rela	tionship.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitti	ng this EDS. Include d/b/a/ if applicable:
Eugene J. Porto Living Trust	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submittin  1. [] the Applicant  OR	g this EDS is:
	ect interest in the Applicant. State the legal name of the olds an interest: Ravenswood Station, LLC
3. [] a legal entity with a right of control (so which the Disclosing Party holds a right).	ee Section II.B.1.) State the legal name of the entity in control:
B. Business address of the Disclosing Party:	221 West Illinois Street
	Wheaton, IL 60187
C. Telephone: Fax:	Email: E
D. Name of contact person: Eugene J. Porto	)
E. Federal Employer Identification No. (if you h	nave one):
F. Brief description of contract, transaction or owhich this EDS pertains. (Include project numb	ther undertaking (referred to below as the "Matter") to per and location of property, if applicable):
Zoning Amendment Application for 1800 V	Vest Lawrence
G. Which City agency or department is requesting	ng this EDS? Department of Housing and Economic Development
If the Matter is a contract being handled by th complete the following:	ne City's Department of Procurement Services, please
Specification #	and Contract #

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY Indicate the nature of the Disclosing Party: [] Person [ ] Limited liability company [ ] Limited liability partnership [ ] Publicly registered business corporation [] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Yes [] No [] Other (please specify) X Trust For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes [] No [X] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Eugene J. Porto Trustee Olga E. Porto Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
Eugene J. Porto	221 West Illinois St.	Beneficiary
	Wheaton, IL 60187	(jointly-undefined percentage)
Olga E. Porto	221 W. Illinois St.	Beneficiary
	Wheaton, IL 60187	(jointly-undefined percentage)

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	X) No	•
If yes, please iden relationship(s):	tify below the name(s) of such	City elected official(s) and describe such
<del></del>		

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whet retained or anticipate to be retained)		<u>*</u>	
(Add sheets if necess	sary)		
Check here if the	Disclosing P	arty has not retained, nor ex	xpects to retain, any such persons or entitie
SECTION V CEI	RTIFICATI	ONS	
A. COURT-ORDER	ED CHILD	SUPPORT COMPLIANCE	3
<del>-</del>			ers of business entities that contract with obligations throughout the contract's term.
			e of the Disclosing Party been declared in art of competent jurisdiction?
[]Yes	X] No	[] No person directly or in Disclosing Party.	ndirectly owns 10% or more of the
If "Yes," has the pers is the person in comp		=	nent for payment of all support owed and
[] Yes [	[ ] No		
B. FURTHER CERT	TIFICATION	IS	
consult for defined te submitting this EDS i certifies as follows: (i with, or has admitted	rms (e.g., "d s the Applic i) neither the guilt of, or h	oing business") and legal re ant and is doing business wi Applicant nor any controlli as ever been convicted of, o	Article I")(which the Applicant should equirements), if the Disclosing Party ith the City, then the Disclosing Party ing person is currently indicted or charged or placed under supervision for, any commit bribery, theft, fraud, forgery

perjury. dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity. acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the	Disclosing Par	ty is unable to co	ertify to any of	the above state	ments in this I	art B (Further
Certification	ns), the Disclosi	ng Party must ex	kplain below:			
				<u> </u>		
	<del></del>	<del></del>				
				<u> </u>		
	· · · · · · · · · · · · · · · · · · ·					

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1.	The Disclosing	Party certifies	that the Disc	losing Party	(check one)
----	----------------	-----------------	---------------	--------------	-------------

[] is [X is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

if the Disclosi	ng Party is un	iable to make	this pledge be	ecause it or any	of its affiliates (as	defined in
Section 2-32-4	55(b) of the 1	Municipal Co	de) is a preda	tory lender with	in the meaning of	Chapter
2-32 of the M	unicipal Code	e, explain her	e (attach addi	tional pages if n	ecessary):	
		•				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes 🛛 No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

elected official or en any other person or for taxes or assessm "City Property Sale"	nployee shall have a financial inte entity in the purchase of any prop- ents, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter inv	olve a City Property Sale?	
[] Yes	⋈ No	
-	ed "Yes" to Item D.1., provide the es having such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
		· .
	ng Party further certifies that no p City official or employee.	prohibited financial interest in the Matter will
E. CERTIFICATIO	N REGARDING SLAVERY ERA	ABUSINESS
disclose below or in comply with these d	an attachment to this EDS all info	g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to any contract entered into with the City in
the Disclosing Party from slavery or slave issued to slaveholde	and any and all predecessor entiti cholder insurance policies during	ng Party has searched any and all records of es regarding records of investments or profits the slavery era (including insurance policies age to or injury or death of their slaves), and
Disclosing Party has policies. The Disclo	found records of investments or paing Party verifies that the follow	of conducting the search in step 1 above, the profits from slavery or slaveholder insurance ing constitutes full disclosure of all such laveholders described in those records:

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobb Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing respect to the Matter: (Add sheets if necessary):	
None	•
- None	
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the appear, it will be conclusively presumed that the Disclosing Party means that NO person registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on	ons or entities
Disclosing Party with respect to the Matter.)	

- 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing I	arty the Applicant? N/A
[] Yes	[ ] No
If "Yes," answer t	ne three questions below:
<del>-</del>	eveloped and do you have on file affirmative action programs pursuant to applicable? (See 41 CFR Part 60-2.)
[]Yes	[ ] No
Contract Complian	led with the Joint Reporting Committee, the Director of the Office of Federal ace Programs, or the Equal Employment Opportunity Commission all reports due le filing requirements?  [] No
3. Have you p equal opportunity	articipated in any previous contracts or subcontracts subject to the clause?
[]Yes	[] No
If you checked "N	o" to question 1. or 2. above, please provide an explanation:
· · · · · · · · · ·	

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOT E: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Eugene J. Porto Living Trust
(Print or type name of Disclosing Rarty)
By: Engry 10
(Sign here)
Eugene J. Porto
(Print or type name of person signing)
Trustee
(Print or type title of person signing)
Signed and sworn to before me on (date) 12/14/1/
at Mu Page Gounty, Slanow (state).
Gilde Kermatter Notary Public.
Commission expires: $6/8/14$
CFF DIAL SEAL G. T.A. PIERMATTEI NCTAF F. BLIC - STATE OF ILLINOIS MY OCT 1-SSION EXPIRES.06.08/14

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	1× No	
such person is conne	ected; (3) the name and title of the	le of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship.

#### **CONFIRMATION OF AUTHORITY**

#### TO WHOM IT MAY CONCERN:

The undersigned on behalf of Sears Roebuck and Co., the owner of the property commonly known as 1800-1824 West Lawrence Avenue, Chicago, Illinois, hereby confirms that the Zoning Applicant, Ravenswood Station, LLC is authorized by the undersigned to file an Application for Amendment to the Chicago Zoning Ordinance concerning the above-described property.



Dated this 19 day of December, 2011.

Sears Roebuck and Co., a New York corporation

By:

Name:

Title:

Subscribed and sworn to before me this /// day

Notary Public

OFFICIAL SEAL
MARY J COX
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES 103/21/15

#### LAW OFFICES

### DALEY AND GEORGE, LTD.

Two First National Plaza
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20 South Clark Street
Chicago, Illinois 60603-1835

TELEPHONE (312) **726-8797** 

FACSIMILE (312) 726-8619

CHRIS A. LEACH RICHARD A. TOTH KATHLEEN A. DUNCAN ADAM J. PENKHUS

MICHAEL DALEY

JOHN J. GEORGE

December 20, 2011

USPS First Class Mail

Re: 1800-1824 W. Lawrence, Chicago, Illinois

#### Dear Property Owner or Resident:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, please be informed that on or about December 20, 2011, I, the undersigned attorney, will file an application for a change in zoning from the B3-2 Community Shopping District to a Business Planned Development, on behalf of the Applicant for the property located at 1800-1824 West Lawrence Avenue, Chicago, Illinois and bounded by a line 626.93 feet North of and parallel to West Lawrence Avenue; the westerly right-of-way line of the Chicago & Western Railway; West Lawrence Avenue; the alley next east of and parallel to North Woolcott Avenue.

The purpose of the proposed amendment is to redevelop the property with a 3 story commercial building with a height of 60 feet containing 131,047 sq. ft. of retail space and 357 on site parking spaces.

The Applicant is Ravenswood Station LLC, whose address is 5215 Old Orchard Road, Suite 130, Skokie, Illinois 60077.

The Owner of the property is Sears Roebuck and Co., whose address is 3333 Beverly Road, Hoffman Estates, Illinois 60179.

I am the attorney for the Applicant. My address is 20 South Clark Street, Suite 400, Chicago, Illinois 60603.

Please note that the Applicant is not seeking to purchase or rezone your property. The Applicant is required by law to send you this notice because you own property located within 250 feet of the proposed development.

Very Truly Yours,

John J. George

December 20, 2011

Honorable Daniel S. Solis Chairman, Committee on Zoning City Hall – Room 304 121 N. LaSalle St. Chicago, Illinois 60602

Re: Zoning Amendment Application

Ravenswood Station, LLC

1800-1824 W. Lawrence, Chicago, Illinois

Chicago, Illinois

The undersigned, Chris A. Leach, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately December 20, 2011.

The undersigned certified that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

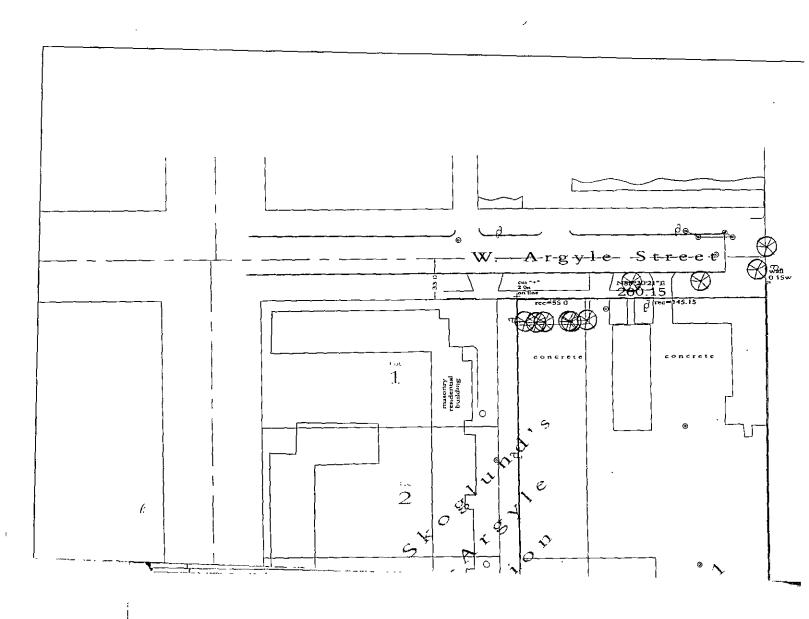
Chris A. Leach

Chris A Level

OFFICIAL SEAL
DEBRA A. FLANAGAN
MOTARY PUBLIC, STATE OF BLINOIS
My Commission Expires 08/21/2012

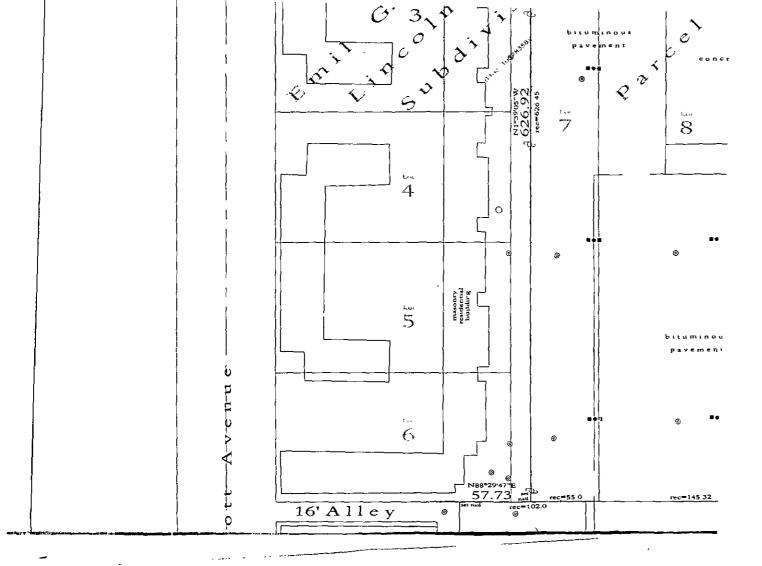
Subscribed and sworn to before me this December 20, 2011.

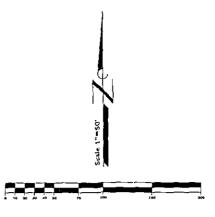
Notary Public



:

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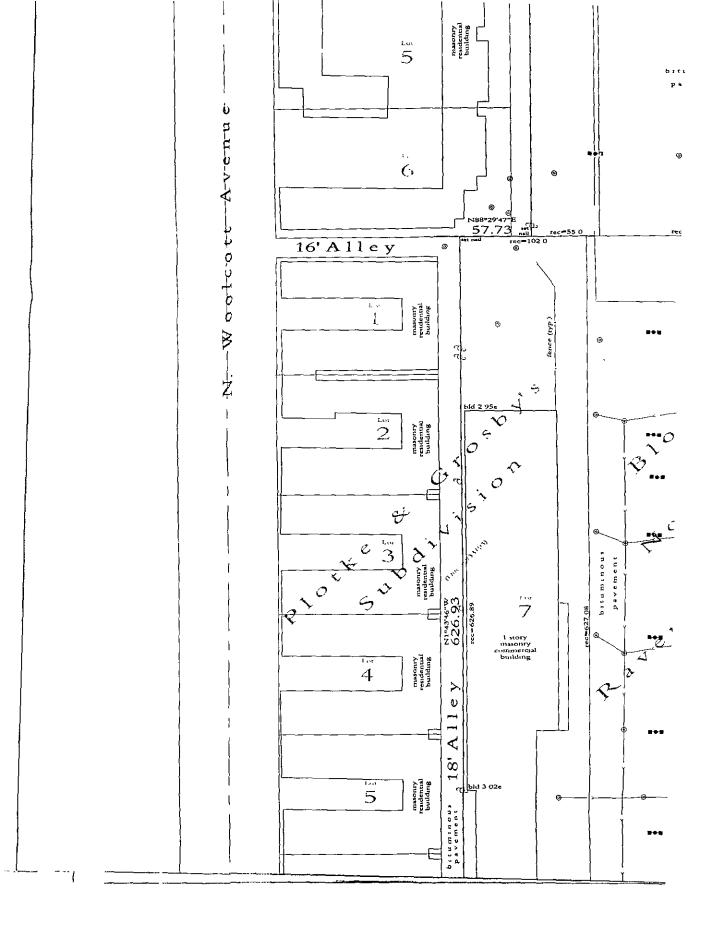


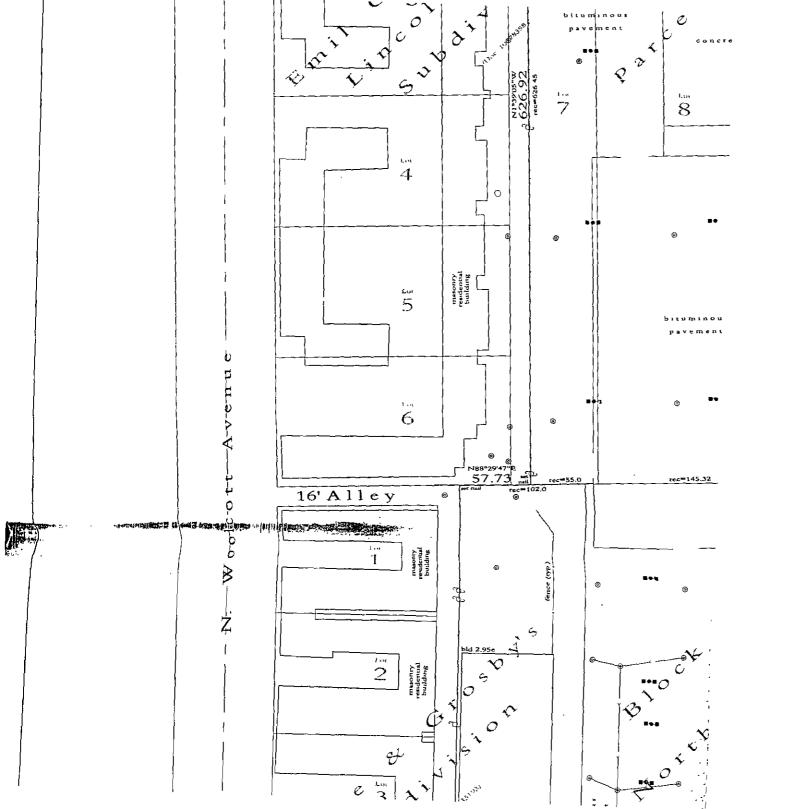


## Plat of Survey

of Parcel 1:
Lus 7 and 8 of Emil G. Skoglund's Lincoln-Angyle Subdivision of the north half of Block 1 in North Ravenswood in the southwest quarter of the southeast quarter of Section 7. Township 40 north, Range 14 east of the Third Principal Mendian in Cook County, Illinois.
Parcel 2.
Lut 7 of Plotke and Grosby's Subdivision of the west 250 feet of the south half of Block 1 in North Ravenswood in the southwest quarter of the southeast quarter 50 Section 7. Township 40 north, Range 14 east of the Third Principal Mendian in Cook County, Illinois and also:
The south half of Block 1 (except the west 250 feet ) in North Ravenswood, being a subdivision of the in the southwest quarter of the southeast quarter lying west of the Chicago and North Weitern Railway of Section 7, Township 40 north, Range 14 east of the Third Principal Mendian in Cook County, Illinois.

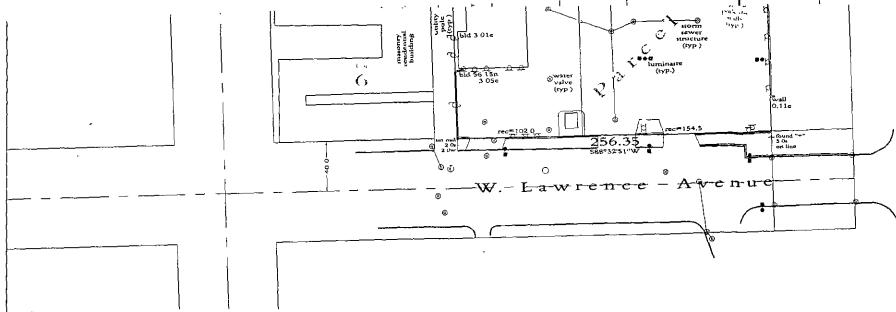
area as platted 286,481 sq ft. 6.58 scres





1254.04 51°37'13"E

Chicago & North Western Railway



This survey was prepared with reference to a Commitment for Title Insurance issued by Chicago Title Insurance Company under file 1401 008454533 D1 with an effective date of October 29, 2008. The lands platted herein are the same as those described in Schedule "A" of said Commitment. All special exceptions listed in Schedule "B" of said Commitment raising matters of survey pertinent to the platted lands are shown or otherwise noted herein.

The surveyor notes that the herein cited Commitment for Title Insurance ruses as exceptions. Documents 112564898 and 0406332013. Document 112564898 is a deed containing conditions pertinent to a "switch track" ostensibly appurtenant to the Chicago and Northwestern Railway adjacent to the platted lands on the east. There is no evidence of such a track within the boundaries of the platted lands and in the surveyor's opinion the difference of approximately 16 feet in elevation between the platted lands and the railway renders such an installation unlikely Document 0406332013 is a Memorandum of Judgement which contains no specific reference to the platted lands.

The platted lands do not fall in a designated Flood Hazard Area as defined by the Federal Emergency Management Agency

Plat of Survey

Prepared for Great Lakes Principals

## State of Illinois County of DuPage S.S.

To: Sears, Roebuck and Company and Chicago Title Insurance Company:

This is to certify that this plat and the survey on which it is based were made in accordance with the "Minimum Standard Detail Requirements for ALTA/ACSM Land Title Surveys," jointly established and adopted by ALTA and NSPS in 2005 Pursuant to the Accuracy Standards as adopted by ALTA and NSPS and in effect on the date of this certification, undersigned further certifies that in my professional opinion, as a land surveyor registered in the State of Illinois, the Relative Positional Accuracy of this survey does not exceed that which is specified therein.

Given under my Hand and Seal at Wheaton, Illinois this 12th day of December, A.D.2011

Illinois Professional Land Surveyor 2967



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# R

#### Craig R. Knoche & Associates Civil Engineers, P.C.

Registered Design Firm 3763

24 North Bennett Street • Geneva, Illinois 60134 • phone (630) 845-1270 • fax (630) 845-1275

• Civil Ingineers
• Surveyors
• Land Planners

ax (630) 845-1275

Date: 12-12-11

File 8-047PS