

Office of the Chicago City Clerk



Office of the City Clerk

City Council Document Tracking Sheet

Meeting Date:

6/6/2012

Sponsor(s):

Mendoza, Susana A. (Clerk)

Type:

Ordinance

Title:

Zoning Reclassification App No. 17501 - 401-413 N

Milwaukee Ave

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is

hereby amended by changing all of the M2-3, Light Industry District and symbols and

indications shown on map index number 1-F, in the area bounded by

A line 144.67 feet north of the intersection of North Milwaukee Avenue and North Desplaines

Street as measured along the west line of North Desplaines Street and perpendicular to North

Desplaines Street; North Desplaines Street; North Milwaukee Avenue; and a line 144.56 feet

northwest of the intersection of North Milwaukee Avenue and North Desplaines Street as

measured along the northeasterly line of North Milwaukee Avenue and perpendicular thereto.

to those of a C1-3, Neighborhood Commercial District.

SECTION 2. This ordinance takes effect after its passage and approval

Common address of property:

401-13 N Milwaukee Ave., Chicago, IL 60654

#17501 INL-DATE: 6-6-12

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:
	401-13 N Milwaukee Ave., Chicago, IL 60654
2.	Ward Number that property is located in: 27 th Ward
3.	APPLICANT 401 N Milwaukee, LLC
	ADDRESS 1110 W. Chestnut St.
	CITY: Chicago STATE: IL ZIP CODE: 60642
	PHONE: _(708)624-4201 CONTACT PERSON: John R. Kelly
4.	Is the applicant the owner of the property? YES: X NO If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.
	OWNER: Applicant
	ADDRESS:
	CITY: STATE: ZIP CODE:
	PHONE:CONTACT PERSON:
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:
	ATTORNEY: Barry Ash of Ash, Anos, Freedman & Logan, LLC
	ADDRESS: 77 W Washington St., Suite 1211
	CITY: Chicago STATE: <u>IL</u> ZIP CODE: 60602
	PHONE: (312)346-1390 FAX: (312)348-7847

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements. John R. Kelly -50%
	Matthew S. Harris – 50%
7.	On what date did the owner acquire legal title to the subject property?
	Sept. 02, 2008
8.	Has the present owner previously rezoned this property? If yes, when?
	No ·
9.	Present Zoning District M2-3 Proposed Zoning District C1-3
10.	Lot size in square feet (or dimensions): 9,240 S.F.
11.	Current Use of the Property: Restaurant on ground floor and Basement, 6
	existing residential units on 2 nd and 3 rd floor, with existing roof deck
12.	Reason for rezoning the property: To allow the use of the outdoor patio as part
	of the restaurant on the ground floor as a outdoor beer garden that serves alcoholic
	beverages, and to allow the six existing residential units above to be allowed by right.
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)
	Outdoor patio area will be used by the existing restaurant in the basement and first
	floor commercial tenant space which is 5,442.3 S.F. as a outdoor beer garden that
	serves alcoholic beverages which is 1,351 S.F. Existing six residential units on
	the second and third floors, with existing common roof deck, 6 existing parking
	stalls including one Handicap space, existing building is 43'5"to the top of the
	parapet. All residential units existing to remain.
11.	On May 14 th , 2007, the City of Chicago Council passed the Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and

YES	NO	<u>X</u>	
COUNTY OF COOK STATE OF ILLINOI			
	the statements of	•	n oath, states that all of the the documents submitted
•	(_	401 N Milw c/o John R.	Raukee, LLC Kelly
Subscribed and Swor	n to before me th	nis:	
Alwy Onn Notary Public	Lay 2017 Marton	na	OFFICIAL SEAL MARY ANN MARTORINA Notary Public - State of Illinois My Commission Expires Jun 02, 2013
	For Office	Lise Only	-
	roi Oilice	OSE OHLY	
f Introduction:			

"WRITTEN NOTICE" FORM OF AFFIDAVIT (Section 17-13-0107)

May 9, 2012

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, 401 N Milwaukee, LLC, c/o John R. Kelly being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said 'written notice' was sent First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately May 11th, 2012.

The undersigned certifies that the applicant has made a bona fide attempt to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of the surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served

401 N Milwaukee, Xo John R. Kelly

Subscribed and Sworn to before me this

_day of May _____, 20/2

Notary Public

OFFICIAL SEAL
MARY ANN MARTORINA
Notary Public - State of Illinois
My Commission Expires Jun 02, 2013

LAW OFFICES

ASH, ANOS FREEDMAN & LOGAN, L.L.C. 77 WEST WASHINGTON STREET - SUITE 1211 CHICAGO, ILLINOIS 60602

JOSEPH ASH LAWRENCE M. FREEDMAN BRUCE T. LOGAN BARRY ASH

Telephone: 312-346-1390 Fax: 312-346-7847 Joeash@aflaw.com

GEORGE J. ANOS, 1951-2005

May 9, 2012

Dear Property Owner or Resident:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or at about May 11th, 2012, the undersigned will file an application for a change in zoning from M2-3, Light Industry District to C1-3, Neighborhood Commercial District on behalf 401 N. Milwaukee, LLC for my property located at 401-13 N. Milwaukee Ave., Chicago, IL 60654.

The reason for the amendment is to allow the use of the outdoor patio as a outdoor beer garden serving the restaurant on the ground floor, and to decrease the nonconformity of the site by allowing the six existing residential units above to be allowed by right.

The Applicant is 401 N Milwaukee, LLC, c/o John R. Kelly, 1110 N Chestnut St., Chicago, IL 60622. Barry Ash is the attorney and agent of 401 N Milwaukee, LLC. Barry Ash can be reached at 312-346-1390.

Please note that the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Very Truly Yours,

Barry Ash

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submittir	ag this EDS. Include d/b/o/if annliaghles
A. Legal hame of the Disclosing Farty submitth	ig this EDS. Therade d/b/a/ if applicable.
401 N MILWAUKEE, LLC	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting 1. M the Applicant OR	g this EDS is:
	ct interest in the Applicant. State the legal name of the olds an interest:
	ee Section II.B.1.) State the legal name of the entity in control:
B. Business address of the Disclosing Party:	1110 W CHESTNUT
	CHICAGO, IL 60642
C. Telephone: (708)624-4201 Fax: NONE	Email: jkjkjk95@sbcglobal.net
D. Name of contact person: JOHN R. KELLY	
E. Federal Employer Identification No. (if you h	ave or
F. Brief description of contract, transaction or o which this EDS pertains. (Include project numb	
ZONING AMENDMENT FOR 401-13 N MILWUKEE A	VE., CHICAGO, IL 60654 TO ALLOW OUTDOOR PATIO
G. Which City agency or department is requesti	HOUSING AND ECONOMIC ng this EDS? DEVELOPMENT
If the Matter is a contract being handled by the complete the following:	ne City's Department of Procurement Services, please
Specification #	and Contract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

 Indicate the nature of the Disclosing Par Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership 	Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No
[] Trust	[] Other (please specify)
ILLINOIS	ountry) of incorporation or organization, if applicable:
3. For legal entities not organized in the St business in the State of Illinois as a foreign entitle	ate of Illinois: Has the organization registered to do ity?
[] Yes [] No	M N/A
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the name	Il executive officers and all directors of the entity. It below all members, if any, which are legal entities. If It is: For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability the and title of each general partner, managing member, rols the day-to-day management of the Disclosing Party. comit an EDS on its own behalf.
Name JOHN R. KELLY	Title MANAGING MEMBER
MATTHEW SCOTT HARRIS	MANAGING MEMBER

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Name

	Disc	losing Party
JOHN R. KELLY	1110 W CHESTNUT, CHICAGO, IL 60622	50%
MATTHEW SCOTT	HARRIS 1110 W CHESTNUT, CHICAGO, IL 60622	50%
	· · · · · · · · · · · · · · · · · · ·	
SECTION III E	SUSINESS RELATIONSHIPS WITH CITY E	ELECTED OFFICIALS
	ng Party had a "business relationship," as define y elected official in the 12 months before the dat	-
[] Yes	Mио	

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)

Business Address Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) **NOTE:** "hourly rate" or "t.b.d." is not an acceptable response.

ASH, ANOS, FREEDMAN &LOGAN, LLC - 77 W WASHINGTON ST. SUITE 1211 - ATTORNEY - \$3,000 (EST.)

(RETAINED)	CHICAGO, IL 60602	
IPSA CORPORATION	- 1166 W GRAND AVE.	- ARCHITECT - \$3,000 (EST.)
(RETAINED)	CHICAGO, IL 60642	

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further		
Certifications), the Disclosing Party must explain below:		
N/A		

_	ty certified to the above statements.	
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A		
complete list of all gifts that the I 12-month period preceding the ex- official, of the City of Chicago. made generally available to City course of official City business a	ing Party's knowledge after reasonable inquiry, the following is a Disclosing Party has given or caused to be given, at any time during the xecution date of this EDS, to an employee, or elected or appointed For purposes of this statement, a "gift" does not include: (i) anything employees or to the general public, or (ii) food or drink provided in the and having a retail value of less than \$20 per recipient (if none, indicate by gift listed below, please also list the name of the City recipient.	
C. CERTIFICATION OF STAT	TUS AS FINANCIAL INSTITUTION	
1. The Disclosing Party certi	ifies that the Disclosing Party (check one)	
[] is M is not		
a "financial institution" as define	ed in Section 2-32-455(b) of the Municipal Code.	
2. If the Disclosing Party IS	a financial institution, then the Disclosing Party pledges:	
Code. We further pledge that no lender as defined in Chapter 2-32	e a predatory lender as defined in Chapter 2-32 of the Municipal one of our affiliates is, and none of them will become, a predatory 2 of the Municipal Code. We understand that becoming a predatory of a predatory lender may result in the loss of the privilege of doing	
• •	to make this pledge because it or any of its affiliates (as defined in cipal Code) is a predatory lender within the meaning of Chapter	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

·	the word "None," or no response a med that the Disclosing Party certif	ppears on the lines above, it will be red to the above statements.
D. CERTIFICATI	ON REGARDING INTEREST IN	CITY BUSINESS
Any words or term meanings when us		of the Municipal Code have the same
	financial interest in his or her own i	Sunicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you ch Item D.1., proceed	-	o Items D.2. and D.3. If you checked "No" to
elected official or any other person o for taxes or assess "City Property Sal	employee shall have a financial inter r entity in the purchase of any proper ments, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of certy that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	MNo	
	ked "Yes" to Item D.1., provide the yees having such interest and identi	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.		
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.		
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above Disclosing Party has found records of investments or profits from slavery or slaveholder insurpolicies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:	ance	
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS		
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not fe funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the and proceeds of debt obligations of the City are not federal funding.	=	
A. CERTIFICATION REGARDING LOBBYING		
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party w respect to the Matter: (Add sheets if necessary): N/A	rith	
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "I appear, it will be conclusively presumed that the Disclosing Party means that NO persons or registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf Disclosing Party with respect to the Matter.)	entities	
2. The Disclosing Party has not spent and will not expend any federally appropriated fundany person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay person or entity to influence or attempt to influence an officer or employee of any agency, as applicable federal law, a member of Congress, an officer or employee of Congress, or an empember of Congress, in connection with the award of any federally funded contract, making federally funded grant or loan, entering into any cooperative agreement, or to extend, continuamend, or modify any federally funded contract, grant, loan, or cooperative agreement.	any defined by bloyee of a any	

comply with these disclosure requirements may make any contract entered into with the City in

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

negotiations.	
Is the Disclosing Party the	Applicant?
[] Yes	[] No
If "Yes," answer the three	questions below:
1. Have you developed federal regulations? (See	d and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.) [] No
•	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements?
3. Have you participat equal opportunity clause? [] Yes	red in any previous contracts or subcontracts subject to the
	estion 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- · •

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

401 N MILWAUKEE, LLC	
(Print or type name of Disclosing Party)	
By: Sign here)	
JOHN R. KELLY	
(Print or type name of person signing)	
MANAGING MEMBER	
(Print or type title of person signing)	
Signed and sworn to before me on (date) May 9, 1017 at County, Thinks (state).	,
May Oun Martoura Notary Public.	OFFICIAL SEAL MARY ANN MARTORINA Notary Public - State of Illinois
Commission expires: June 2, 2013	My Commission Expires Jun 02, 2013

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	⋈ No	•
such person is conne	cted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.
N/A		
	· · · · · · · · · · · · · · · · · · ·	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

•		
A. Legal name of the Disclosing	g Party submitting this EI	OS. Include d/b/a/ if applicable:
JOHN R. KELLY		
Check ONE of the following th	iree boxes:	
Indicate whether the Disclosing 1. M the Applicant OR	Party submitting this ED	S is:
		tin the Applicant. State the legal name of the terest:
3. [] a legal entity with a rig which the Disclosing Party h	•	II.B.1.) State the legal name of the entity in
B. Business address of the Disc	losing rarry.	HESTNUT O, IL 60642
C. Telephone: (708)624-4201	Fax: NONE	Email: jkjkjk95@sbcglobal.net
D. Name of contact person: JOH	IN R. KELLY	
E. Federal Employer Identificati	on No. (if you have one)	N/A
•		rtaking (referred to below as the "Matter") to cation of property, if applicable):
ZONING AMENDMENT FOR 401-13	N MILWUKEE AVE., CHIC.	AGO, IL 60654 TO ALLOW OUTDOOR PATIO
G. Which City agency or depart	ment is requesting this E	HOUSING AND ECONOMIC DS? DEVELOPMENT
If the Matter is a contract being complete the following:	ng handled by the City's	Department of Procurement Services, please
Specification #	and C	ontract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

M Person	hip hip	rty: [] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal ent	ities, the state (or foreign c	ountry) of incorporation or organization, if applicable:
N/A		-
business in the State	e of Illinois as a foreign en	
[] Yes	[] No	M⁄ N/A
B. IF THE DISCLO	OSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for there are no such me the legal titleholder If the entity is a g partnership or joint manager or any other	-profit corporations, also li embers, write "no member (s). general partnership, limited venture, list below the namer er person or entity that con	Il executive officers and all directors of the entity. st below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf.
Name N/A, DISCLOSING AP	PLICANT IS A PERSON	Title
	,	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage interest in the
		Disclosing Party
N/A, DISCLOSING A	PPLICANT IS A PERSON	·
SECTION III I	BUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	•	nip," as defined in Chapter 2-156 of the Municipal
Code, with any Cit	ty elected official in the 12 months	before the date this EDS is signed?
[] Yes	ЖNо	
	, ,	
If yes, please ident	tify below the name(s) of such City	elected official(s) and describe such
relationship(s):		
,		

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)

Business Address Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) **NOTE:** "hourly rate" or "t.b.d." is not an acceptable response.

ASH, ANOS, FREEDMAN &LOGAN, LLC - 77 W WASHINGTON ST. SUITE 1211 - ATTORNEY - \$3,000 (EST.)

(RETAINED)	CHICAGO, IL 60602	
IPSA CORPORATION	- 1166 W GRAND AVE.	- ARCHITECT - \$3,000 (EST.)
(RETAINED)	CHICAGO, IL 60642	

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE.

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[]Yes	∭ No	[] No person directly or indirectly owns 10% or more of the
		Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
·
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during th 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in th course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is M is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): N/A

If the letters "NA," the w	vord "None," or no response a	appears on the lines above, it will be
conclusively presumed the	hat the Disclosing Party certif	fied to the above statements.
D. CERTIFICATION R	EGARDING INTEREST IN	CITY BUSINESS
Any words or terms that meanings when used in t	•	of the Municipal Code have the same
		Sunicipal Code: Does any official or employed name or in the name of any other person or
[] Yes	MNo	
NOTE: If you checked Item D.1., proceed to Pa		to Items D.2. and D.3. If you checked "No" to
elected official or emplo any other person or entit for taxes or assessments "City Property Sale").	yee shall have a financial inte y in the purchase of any prope , or (iii) is sold by virtue of le	we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively ten pursuant to the City's eminent domain powning of this Part D.
Does the Matter involve	a City Property Sale?	
[]Yes	Mио	
	Yes" to Item D.1., provide the aving such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
4. The Disclosing F be acquired by any City	-	prohibited financial interest in the Matter will

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.		
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.		
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, th Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:		
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS		
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not feder funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.		
A. CERTIFICATION REGARDING LOBBYING		
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): N/A		
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "Nor appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entiregistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of Disclosing Party with respect to the Matter.)	ties	
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as define applicable federal law, a member of Congress, an officer or employee of Congress, or an employ member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, reamend, or modify any federally funded contract, grant, loan, or cooperative agreement.	ined by	

comply with these disclosure requirements may make any contract entered into with the City in

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

no govianio ijo.	
Is the Disclosing P	arty the Applicant?
[] Yes	[] No
If "Yes," answer th	three questions below:
•	eveloped and do you have on file affirmative action programs pursuant to applicable? (See 41 CFR Part 60-2.) [] No
Contract Complian	led with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due e filing requirements? [] No
3. Have you pa	articipated in any previous contracts or subcontracts subject to the clause?
[]Yes	[] No
•	o" to question 1. or 2. above, please provide an explanation: ARTY IS NOT FEDERALLY FUNDED

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

JOHN R. KELLY	
(Print or type name of Disclosing Party)	
By: John R. Lelly	•
(Sign here)	
JOHN R. KELLY	
(Print or type name of person signing)	
MANAGING MEMBER	
(Print or type title of person signing)	
Signed and sworn to before me on (date) May 9, 20	17,
at <u>Cool</u> County, <u>Ilimois</u> (state).	·
May an Martaina Notary Public.	OFFICIAL SEAL MARY ANN MARTORINA Notary Public - State of Illinois
Commission expires: June 2, 2013.	My Commission Expires Jun 02, 2013
, .	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	⋈ No	
such person is conne	cted; (3) the name and title of the	of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nature of such familial relationship.
N/A		

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the D	isclosing Party submitting this EDS. Include d/b/a/ if applicable:		
MATTHEW SCOTT HARRIS			
Check ONE of the following three boxes:			
 the Applicant OR a legal entity h 	sclosing Party submitting this EDS is: olding a direct or indirect interest in the Applicant. State the legal name of the the Disclosing Party holds an interest:		
	with a right of control (see Section II.B.1.) State the legal name of the entity in g Party holds a right of control:		
B. Business address of	the Disclosing Party:		
C. Telephon	Fax: NONE Email:		
D. Name of contact per	son: MATTHEW SCOTT HARRIS		
E. Federal Employer Ide	entification No. (if you have one): N/A		
-	contract, transaction or other undertaking (referred to below as the "Matter") to see (Include project number and location of property, if applicable):		
ZONING AMENDMENT FO	OR 401-13 N MILWUKEE AVE., CHICAGO, IL 60654 TO ALLOW OUTDOOR PATIO		
G. Which City agency	HOUSING AND ECONOMIC or department is requesting this EDS? DEVELOPMENT		
If the Matter is a con complete the following	tract being handled by the City's Department of Procurement Services, please ng:		
Specification #	and Contract #		

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: M Person [] Limited liability company [] Publicly registered business corporation [] Limited liability partnership [] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] General partnership [] Limited partnership []Yes [] No [] Other (please specify) [] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: N/A 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? MN/A []Yes []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title Name N/A, DISCLOSING APPLICANT IS A PERSON

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Name

	Disclosing Party
N/A, DISCLOSING A	PPLICANT IS A PERSON
SECTION III F	BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS
	ing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal ty elected official in the 12 months before the date this EDS is signed?
[] Yes	No
If yes, please ident	tify below the name(s) of such City elected official(s) and describe such
relationship(s):	

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)

Business Address Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) **NOTE:** "hourly rate" or "t.b.d." is not an acceptable response.

ASH, ANOS, FREEDMAN &LOGAN, LLC - 77 W WASHINGTON ST. SUITE 1211 - ATTORNEY - \$3,000 (EST.)

(RETAINED) CHICAGO, IL 60602			
IPSA CORPORATION	- 1166 W GRAND AVE.	- ARCHITECT - \$3,000 (EST.)	
(RETAINED)	ETAINED) CHICAGO, IL 60642		

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[]Yes []No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- rc. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further				
Certifications), the Disclosing Party must explain below:				
N/A				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is M is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): N/A

•	the word "None," or no response a med that the Disclosing Party certif	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATI	ON REGARDING INTEREST IN	CITY BUSINESS
Any words or term meanings when use		of the Municipal Code have the same
	financial interest in his or her own	Aunicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you che Item D.1., proceed	•	to Items D.2. and D.3. If you checked "No" to
elected official or of any other person of for taxes or assessing "City Property Sales"	employee shall have a financial into r entity in the purchase of any prop ments, or (iii) is sold by virtue of le	we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ten pursuant to the City's eminent domain power ning of this Part D.
Does the Matter in	volve a City Property Sale?	
[]Yes	Mио	
	ked "Yes" to Item D.1., provide the yees having such interest and identi	e names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
4 701 75: 1		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.				
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.				
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:				
· · · · · · · · · · · · · · · · · · ·				
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS				
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.				
A. CERTIFICATION REGARDING LOBBYING				
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): N/A				
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)				
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined be applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.				

comply with these disclosure requirements may make any contract entered into with the City in

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

negotiations.	
Is the Disclosing Party	the Applicant?
[] Yes	[] No
If "Yes," answer the thr	ree questions below:
1. Have you develo federal regulations? (So [] Yes	pped and do you have on file affirmative action programs pursuant to applicable ee 41 CFR Part 60-2.) [] No
	vith the Joint Reporting Committee, the Director of the Office of Federal rograms, or the Equal Employment Opportunity Commission all reports due ing requirements? [] No
3. Have you partici equal opportunity claus	pated in any previous contracts or subcontracts subject to the e?
[] Yes	[] No
•	question 1. or 2. above, please provide an explanation: IS NOT FEDERALLY FUNDED

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

MATTHEW SCOTT HARRIS	
(Print or type name of Disclosing Party)	
By James James	
(Sign here)	
MATTHEW SCOTT HARRIS	
(Print or type name of person signing)	
MANAGING MEMBER	
(Print or type title of person signing)	
Signed and sworn to before me on (date) May 9, 2 at County, Illinois (state).	012,
Mary ann Martonia Notary Publ	
Commission expires: <u>June 1, 2013</u> .	OFFICIAL SEAL MARY ANN MARTORINA Notary Public - State of Illinois My Commission Expires Jun 02, 2013

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	ĭ No	
such person is connec	eted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which ne elected city official or department head to whom such e nature of such familial relationship.
N/A		

LAW OFFICES

ASH, ANOS FREEDMAN & LOGAN, L.L.C. 77 WEST WASHINGTON STREET - SUITE 1211 CHICAGO, ILLINOIS 60602

JOSEPH ASH LAWRENCE M. FREEDMAN BRUCE T. LOGAN BARRY ASH

GEORGE J. ANOS, 1951-2005

TELEPHONE: 312-346-1390 FAX: 312-346-7847

JOEASH@AFLAW.COM

May 9, 2012

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

RE: 401-413 N Milwaukee Ave. Type 1 Zoning Amendment Narrative

Dear Alderman Solis,

The proposed project includes the renovation of an existing lot to include a new outdoor patio use along Milwaukee Avenue. Presently, the existing 3-story mixed use building contains a restaurant on the first floor which serves alcoholic beverages. The zoning change is required to allow the use of the patio as a restaurant and beer garden by the existing first floor restaurant tenant.

The applicant is proposing a zoning amendment to change the current zoning designations of M2-3, Light Industry District to those of a C1-3, Neighborhood Commercial District.

The current maximum floor area ratio of 3.0 will remain the same under the new district designations requirements. The existing actual floor area ratio is 1.33.

The minimum lot area per unit for the proposed district is 400 S.F. per dwelling unit, the current district does not have a minimum lot area standard for M districts. The total lot area is 9,240 S.F., divided by 6 units equals 1,540 S.F. existing minimum lot area per unit.

There are six existing parking spaces, including one handicap space, provided in the existing parking lot for the use of the buildings residential tenants.

No front or side setbacks are required for the proposed zoning district, the existing building is lot line to lot line for the front and Side setbacks. A rear set back of 30'0" is required for residential uses, an existing set back of 40'-0" plus is provided. The Buildings façade is over the property line on the East and a public way use permit is on file (permit number 1094869) and all fees due are paid annually. No additions or improvements are to be performed to increase the existing nonconformity of the property.

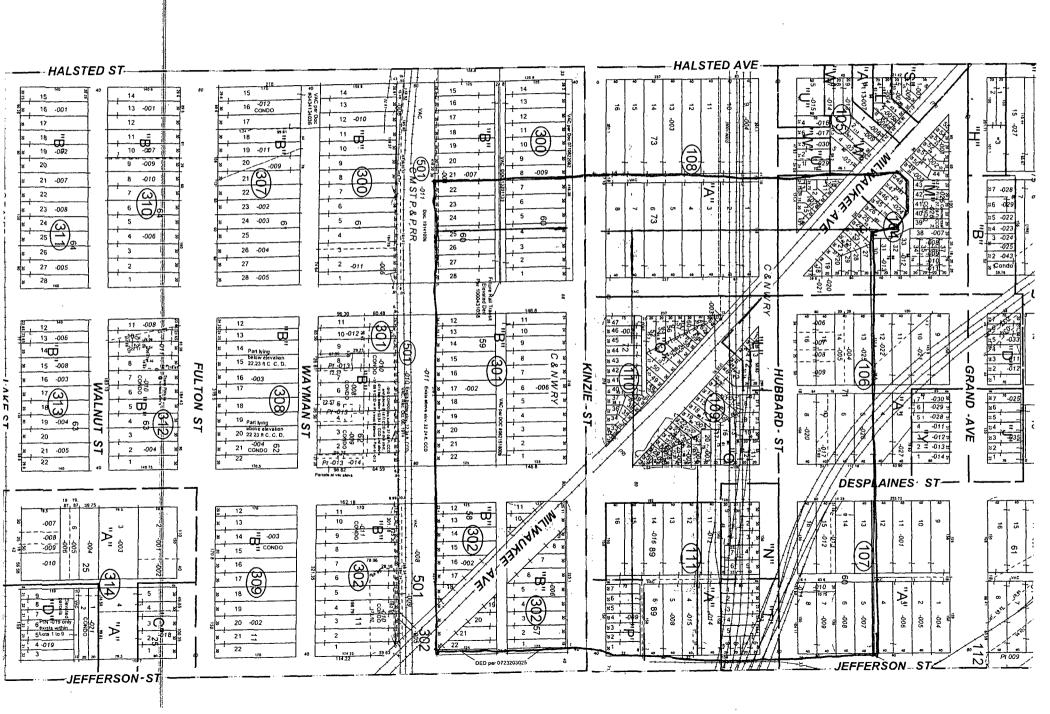
The maximum building height for the proposed zoning district is 65'-0", the building is existing and will not change from its current height of 43'-5" under the scope of work for this project.

Sincerely,

Barry Ash

Attorney at Law

Bay M_



PLCS Corporation

LICENSE No. 184-005322

PROFESSIONAL LAND SURVEYORS

4505 NORTH ELSTON AVENUE, CHICAGO, IL 60630
TELEPHONE: (773) 685-5102 FAX: (773) 286-4184 EMAIL: INFO@PLCS-SURVEY.COM

Plat of Survey

PARCEL

LOTS 21, 22, 23 AND 24 IN SMITH AND OTHERS SUBDIVISION OF BLOCK 72 (EXCEPT LOTS 1, 2, 3 AND 4 IN DILLION SUBDIVISION OF LOTS 9, 10, 13 AND 14 IN BLOCK 72) IN RUSSEL, MATHER AND ROBERT'S ADDITION TO CHICAGO IN SECTION 9, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

PARCEL 2:

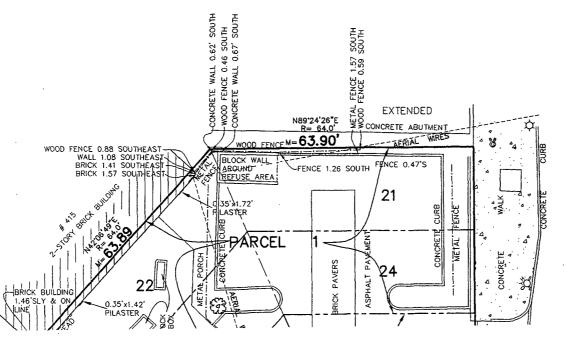
LOT 29 TO 31 INCLUSIVE IN THIS RESUBDIVISION OF BLOCK 72 IN RUSSEL, MATHER AND ROBERT'S ADDITION TO CHICAGO IN THE SOUTHWEST QUARTER OF THE NORTHWEST QUARTER OF SECTION 9, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

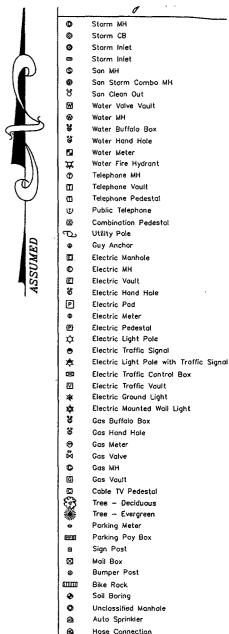
PARCEL 3:

TRACT 1: LOTS 25 AND 26 IN HENRY SMITH AND OTHERS SUBDIVISION OF BLOCK 72 IN RUSSEL, MATHER AND ROBERT'S ADDITION TO CHICAGO IN THE WEST HALF OF THE NORTHWEST QUARTER OF SECTION 9, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

TRACT 2: LOTS 27 AND 28 IN HENRY SMITH AND OTHERS SUBDIVISION OF BLOCK 72 IN RUSSEL, MATHER AND ROBERTS ADDITION TO CHICAGO IN THE WEST HALF OF THE NORTHWEST QUARTER OF SECTION 9, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

TOTAL LAND AREA = 9,240 SQ. FT. OR 0.212 ACRES +/-



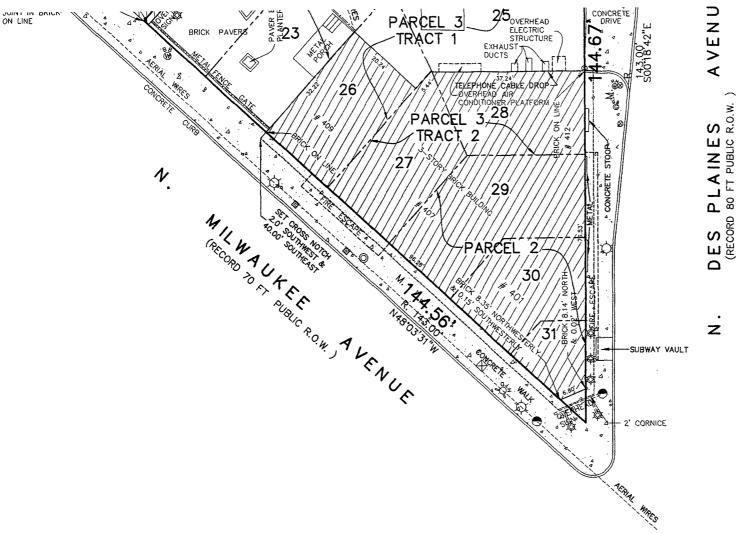


Fire Alarm

Flag Pole

A

ш



ORDERED BY: IPSA CORPORATION
ADDRESS: 401-413 MILWUAKEE AVENUE

GREMLEY & BIEDERMANN
PLCS, CORPORATION
LICENSE NO. 184-095322

ORDER NO

LICENSE NO. 184-005322

PROFESSIONAL LAND SURVEYORS
4505 NORTH ELSTON AVENUE, CHICAGO, IL 60630

4505 NORTH ELSTON AVENUE, CHICAGO, IL 60630
TELEPHONE: (773) 685-5102 FAX: (773) 286-4184 EHAIL: INFO@PLCS-SURVEY.COM

SURVEY NOTES:

Note R. & M. denotes Record and Measured distances respectively.

Distances are marked in feet and decimal parts thereof. Compare all points BEFORE building by same and at once report any differences BEFORE damage is done.

For easements, building lines and other restrictions not shown on survey plat refer to your abstract, deed, contract, title policy and local building line regulations.

NO dimensions shall be assumed by scale measurement upon this plat.

Monumentation or witness points were not not at the attents mount

State of Illinois) County of Cook)ss

We, GREMLEY & BIEDERMANN, INC. hereby certify that we have surveyed the above described property and that the plat hereon drawn is a correct representation of said survey corrected to a temperature of 62° Fahrenheit.

Field measurements completed on April 16, 2012.

Signed on April 24, 2012

By: Politic Rish



TYPE 1 ZONING AMENDMENT

401-13 N. MILWAUKEE AVE. **OWNER - 401 N.** MILWAUKEE, LLC

401-13 N. MILWAUKEE AVE CHICAGO IL 60654





SITE LOCATION

SCALE: N.T.S.

ZONING ANALYSIS FOR EXISTING M2-3 DISTRICT - 401-13 N. MILWAUKEE AVENUE

BULK AND DENSITY STANDARDS

CURRENT ZONING DISTRICT

LOT SIZE LOT AREA (LOT 32)

M2-3 USE GROUP

EXISTING USE

MIN LOT AREA F.A.R. (M2-3)

EXISTING FAR

11-09-109-(004 THROUGH 009)-0000 SEE SITE PLAN SHEET AL

324Ø SF.

RESTAURANT USES PERMITTED, RESIDENTIAL AND PATIO HISES PROHIBITED. 6 UNITS 2-3 FLS. RESTAURANT IST FL + BASMT

RESIDENTIAL NOT ALLOWED USE

30 × 9240 = 27,720 SF. (ALLOUABLE FAR.)

112641 SE (NO CHANGE)

REQUIRED FRONT YARD SETBACK

EXISTING FRONT YARD SETBACK REQUIRED SIDE YARD EXISTING SIDE YARD SETBACKS

REQUIRED REAR YARD SETBACK EXISTING REAR YARD SETBACK

REQUIRED REAR YARD OPEN SPACE EXISTING REAR YARD OPEN SPACE

MAXIMUM BUILDING HEIGHT EXISTING BUILDING HEIGHT

8'-4 1/2"

0' / 0' (-1' 6" EXISTING OVER LINE) 30'-0" FOR RESIDENTIAL USES 38'-6"

NONE PEQUIPED

EXISTING 48'-0" -TO TOP OF PENTHOUSE 40'-11/2" TOP OF PARAPET (NO CHANGE)

ZONING ANALYSIS FOR NEW CI-3 DISTRICT - 401-13 N. MILWAUKEE AVENUE

BULK AND DENSITY STANDARDS

PROPOSED ZONING DISTRICT

PIN .

LOT SIZE LOT AREA (LOTS 21 TO 31) CI-3 USE GROUP

MIN LOT AREA 400 SE / UNIT (X6)

FAR. (CI-3)

EXISTING FAR

17-09-109-(004 THROUGH 009)-0000 SEE SITE PLAN SHEET AT

RESTAURANT, PATIO, AND RESIDENTIAL USES

ARE ALL PERMITED

6 UNITS 2-3 FLS. RESTAURANT IST FL + BASMT.
NEW PATIO USE AT REAR YARD 2,400 SF, REQUIRED -6,051 SF, EXST. PROVIDED

30 × 9240 = 27,720 SF. (ALLOWABLE FAR) 112641 SF. (NO CHANGE)

REQUIRED FRONT YARD SETBACK EXISTING FRONT YARD SETBACK

REQUIRED SIDE YARD EXISTING SIDE YARD SETBACKS

REQUIRED REAR YARD SETBACK EXISTING REAR YARD SETBACK

REQUIRED REAR YARD OPEN SPACE EXISTING REAR YARD OPEN SPACE

MAXIMUM BUILDING HEIGHT

0' 10' (-1' 6" EXISTING OVER LINE) 30'-0" FOR RESIDENTIAL USES 38'-6"

NONE REQUIRED 2009 SF. PROVIDED

EXISTING 48'-0" -TO TOP OF PENTHOUSE 40'-1 1/2" TOP OF PARAPET (NO CHANGE)

EXISTING SITE PLAN PROPOSED SITE PLAN EXISTING SUB-BASEMENT PLAN EXISTING BASEMENT PLAN EXISTING FIRST FLOOR PLAN EXISTING SECOND FLOOR PLAN EXISTING THIRD FLOOR PLAN

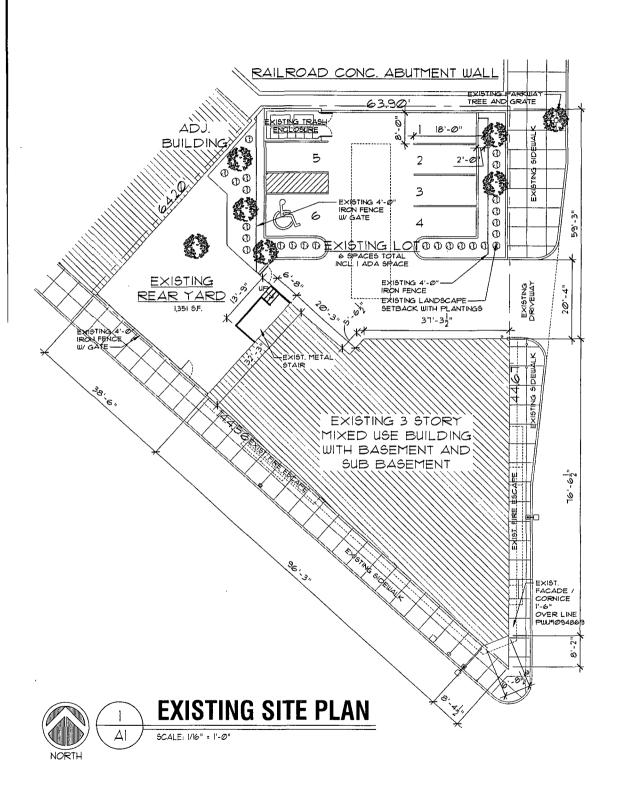
EXISTING ROOF PLAN EXISTING SOUTHWEST ELEVATION EXISTING EAST ELEVATION EXISTING NORTH ELEVATION

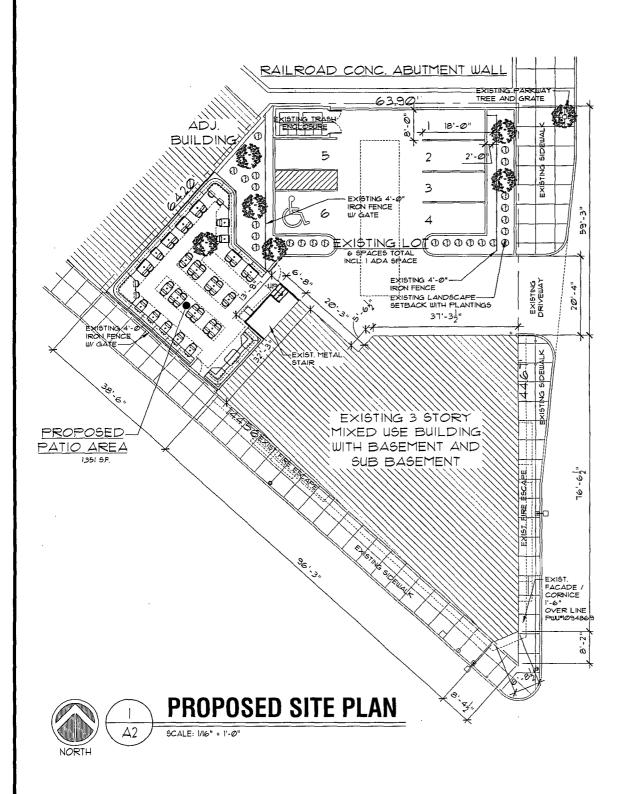
RAWING SHEET INDEX

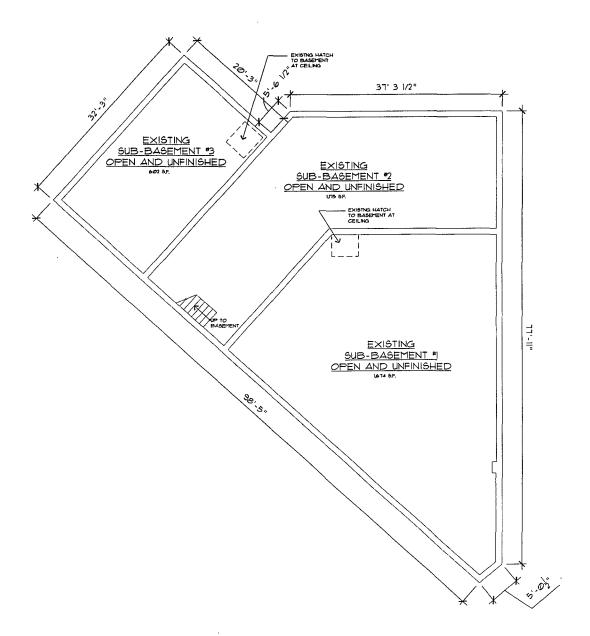
TITLE, LOCATION MAP, NOTES, DRAWING INDEX

CORPORATION

RUCTION SERVICES CHICAGO, ILLINOIS 60642 FAX: (312) 243-9970 CONSTRUCTION S AVENUE, CHICAGO, ILLING 243-2500 FAX: (312) 243 **PSA**











EXISTING SUB-BASEMENT PLAN

SCALE: 3/32" = 1'-0"

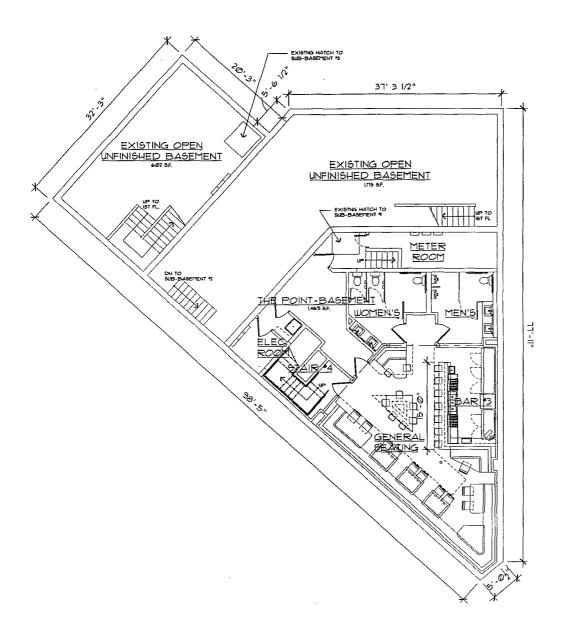
IPSA CORPORATION

ARCHITECTS & CONSTRUCTION SERVICES ---
1166 WEST GRAND AVENUE. CHICAGO, ILLINOIS 60642
PHONE: (312) 243-2500 FAX: (312) 243-9970

TYPE I ZONING AMENDMENT 401-13 N. MILWAUKEE AVENUE CHICAGO, IL 60654

DATE: 04/02/II DRAWN BY: Im/PB CHECKED BY: SM PROJECT #: 201205030

AG







EXISTING BASEMENT PLAN

SCALE: 3/32" = 1'-@"

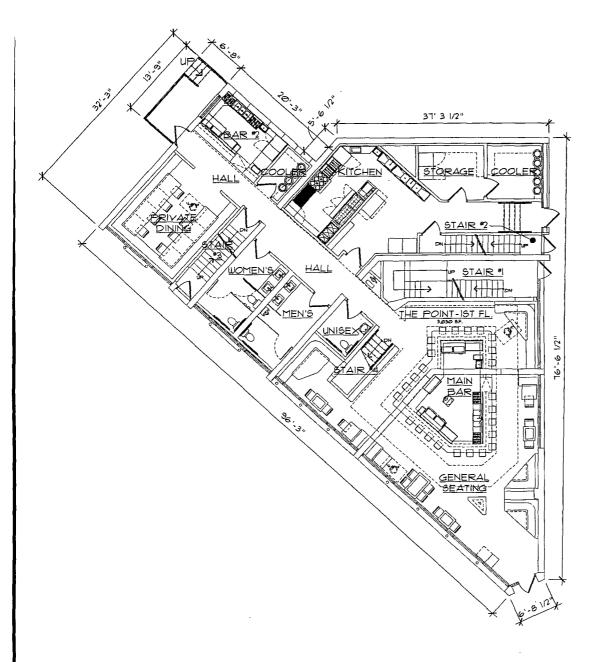
IPSA CORPORATION

ARCHITECTS & CONSTRUCTION SERVICES —

1166 WEST GRAND AVENUE, CHICAGO, ILLINGIS 60642
PHONE: (312) 243-2500 FAX: (312) 243-9970

TYPE I ZONING AMENDMENT 401-13 N. MILWAUKEE AVENUE CHICAGO, IL 60654

DATE: 04/02/II DRAWN BY: Im/PB CHECKED BY: SM PROJECT #: 20120503c







EXISTING FIRST FLOOR PLAN

SCALE: 3/32" = 1'-0"

IPSA CORPORATION

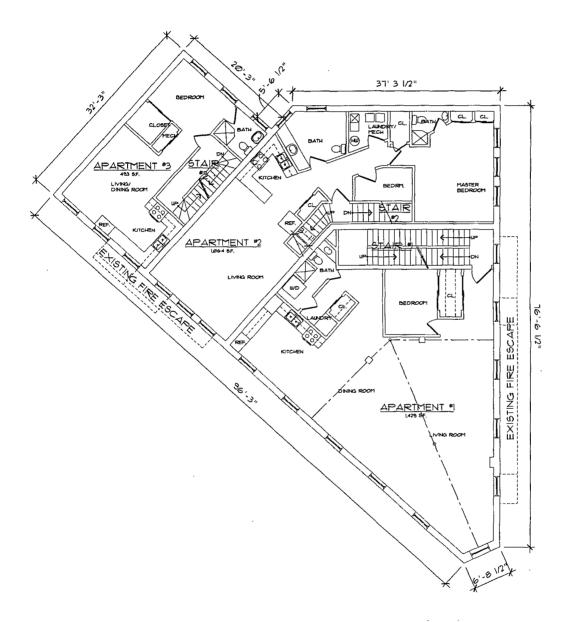
ARCHITECTS & CONSTRUCTION SERVICES

1166 WEST GRAND AVENUE, CHICAGO, ILLINOIS 60642
PHONE: (312) 243-2500 FAX: (312) 243-9970

TYPE I ZONING AMENDMENT 401-13 N. MILWAUKEE AVENUE

CHICAGO, IL 60654

DATE: 04/02/II DRAWN BY: Im/PB CHECKED BY: SM PROJECT #: 20120503c







EXISTING SECOND FLOOR PLAN

SCALE: 3/32" = 1'-@"

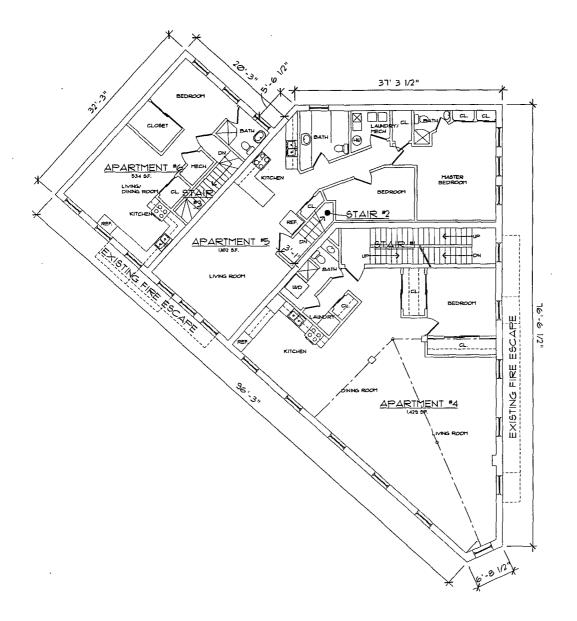
IPSA CORPORATION

ARCHITECTS & CONSTRUCTION SERVICES —

1166 WEST GRAND AVENUE, CHICAGO, ILLINOIS 60642
PHONE: (312) 243-2500 FAX: (312) 243-9970

TYPE I ZONING AMENDMENT

401-13 N. MILWAUKEE AVENUE CHICAGO, IL 60654 DATE: 04/02/II DRAWN BY: Im/PB CHECKED BY: SM PROJECT #: 20120503c







EXISTING THIRD FLOOR PLAN

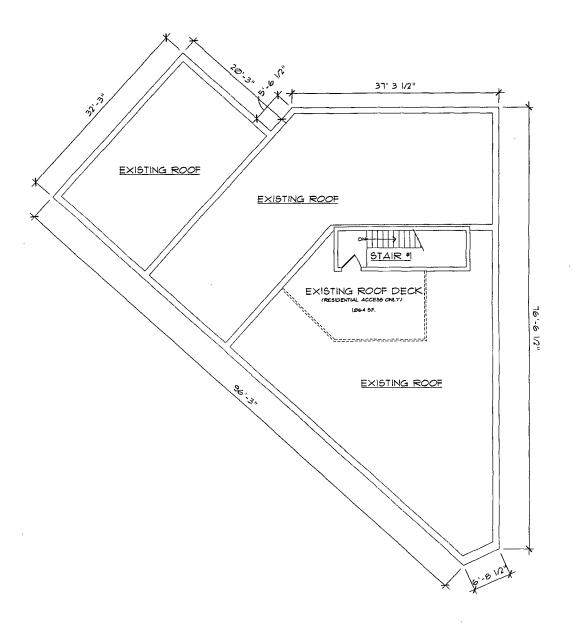
SCALE: 3/32" = 1'-0"

IPSA CORPORATION

ARCHITECTS & CONSTRUCTION SERVICES —

1166 WEST GRAND AVENUE. CHICAGO, ILLINOIS 60642
PHONE (312) 243-2500 FAX (312) 243-9970

TYPE I ZONING AMENDMENT 401-13 N. MILWAUKEE AVENUE CHICAGO, IL 60654 DATE: 04/02/II DRAWN BY: Im/PB CHECKED BY: SM PROJECT #: 20120503c







EXISTING ROOF PLAN

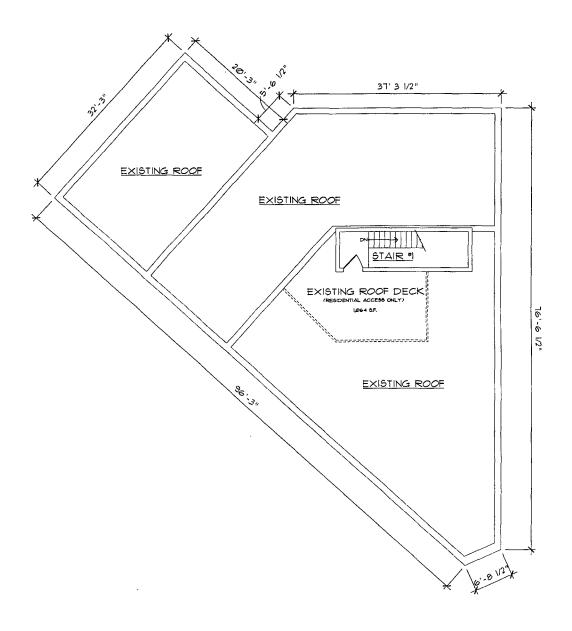
SCALE: 3/32" = 1'-0"

IPSA CORPORATION

- ARCHITECTS & CONSTRUCTION SERVICES -1166 WEST GRAND AVENUE, CHICAGO, ILLINOIS 60642
PHONE: (312) 243-2500 FAX: (312) 243-9970

TYPE I ZONING AMENDMENT 401-13 N. MILWAUKEE AVENUE CHICAGO, IL 60654

DATE: 04/02/II DRAWN BY: Im/PB CHECKED BY: SM PROJECT #: 20120503c







EXISTING ROOF PLAN

SCALE: 3/32" = 1'-0"

IPSA CORPORATION

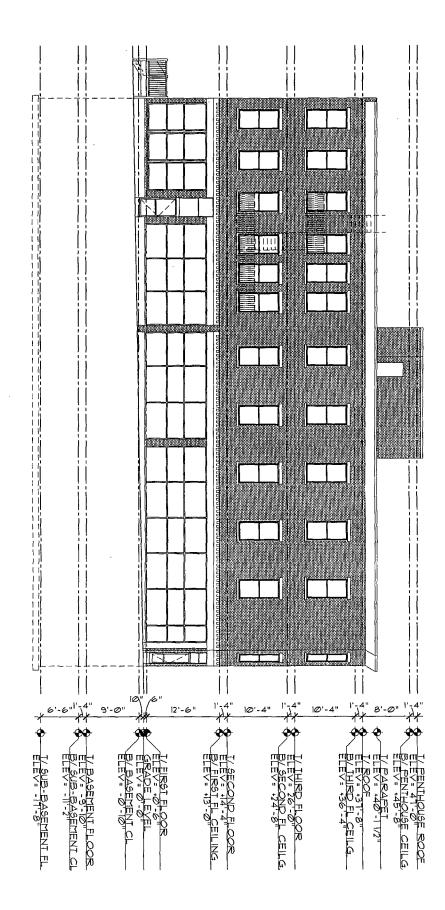
ARCHITECTS & CONSTRUCTION SERVICES -1166 WEST GRAND AVENUE. CHICAGO, ILLINOIS 60642 PHONE: (312) 243-2500 FAX. (312) 243-9970 TYPE | ZONING AMENDMENT

401-13 N. MILWAUKEE AVENUE CHICAGO, IL 60654 DATE: 04/02/II
DRAWN BY: Im/PB
CHECKED BY: 5M
PROJECT #: 20120503c

Ag -

9CALE: 3/32" = 1'-@"

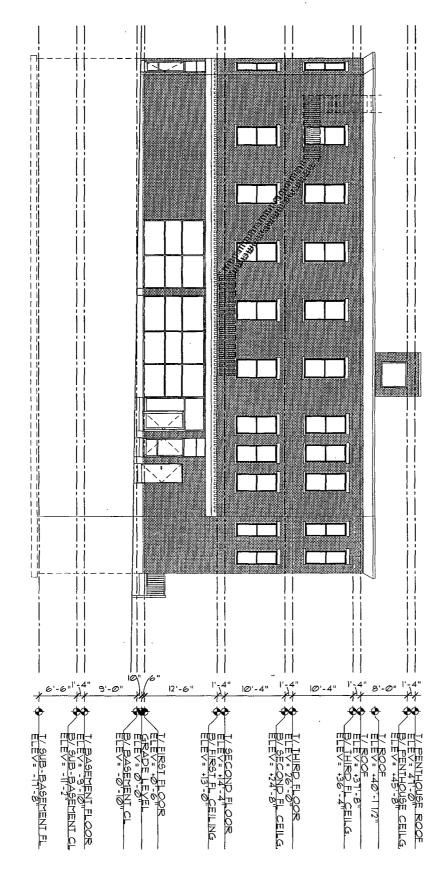
EXISTING SOUTHWEST ELEVATION





5CALE: 3/32" = 1'-0"

EXISTING EAST ELEVATION





SCALE: 3/32" = 1'-@'

EXISTING NORTH ELEVATION

