

## Office of the City Clerk



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## City Council Document Tracking Sheet

**Meeting Date:** 9/12/2012

Sponsor(s): Mendoza, Susana A. (Clerk)

Type: Ordinance

Title: Zoning Reclassification App No. 17567 at 2948-2954 W

Armitage Ave and 2001-2011 N Humboldt Blvd

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

### **ORDINANCE**

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. That the Chicago Zoning Ordinance be amended by changing all the current C1-2 Neighborhood Commercial District symbols and indications as shown on Map No. 5-I in the area bounded by:

the alley next north of West Armitage Avenue; a line 79.15 feet east of North Humboldt Boulevard; West Armitage Avenue; and North Humboldt Boulevard

to those of a C1-3 Neighborhood Commercial District which is hereby established in the area described above.

SECTION 2. This Ordinance shall be in force and effect from and after its passage and due publication.

#17567 INTRO DATE: 9-12-12

## **CITY OF CHICAGO**

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:				
	2948 – 54 W. Armitage Ave./2001 – 11 N. Humboldt Blvd.			
2.	Ward Number that property is located in:35			
3.	APPLICANT 2952 West Armitage LLC			
	ADDRESS 3215 West Fullerton Ave.			
	CITY Chicago STATE IL ZIP CODE 60647			
	PHONE 312-636-6937 CONTACT PERSON Rolando R. Acosta			
4.	Is the Applicant the owner of the property? YES X NO If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the applicant to proceed.			
	OWNER			
	ADDRESS			
	CITYSTATEZIP CODE			
	PHONECONTACT PERSON			
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:			
	ATTORNEY Rolando Acosta			
	ADDRESS 2949 W. Gregory St. CITY Chicago			
	CITY Chicago STATE IL ZIP CODE 60625			
	PHONE <u>312-636-6937</u> FAX			

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.				
	Lori Seidemann, Jon Martin, Peter Creig Toalson, Mark Fishman and				
	Robert McAdams				
	· · · · · · · · · · · · · · · · · · ·				
7.	On what date did the owner acquire legal title to the subject property?				
	Has the present owner previously rezoned this property? If Yes, when?				
ο.	rias the present owner previously rezoned this property? If Tes, when?				
	No No				
9.	Present Zoning District C1-2 Proposed Zoning District C1-3				
10.	Lot Size in square feet (or dimensions) 9,312 sq. ft.				
11.	Current Use of the property Vacant building				
12.	Reason for rezoning the property: Re-use of the property for a restaurant				
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height o the proposed building. (BE SPECIFIC)				
	The property is improved with a vacant one-story building containing approximately				
	1,500 sq. ft. and an open area. Applicant proposes to use the existing building for a restaurant and expand the seating to the outdoor open area. No parking exists or is				
	proposed, and the rezoning is sought to remove the parking requirement.				
14.	On May 14 <sup>th</sup> , 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information)				
	YESNOX				

====================================	=======================================
COUNTY OF COOK STATE OF ILLINOIS	
I, Mark A. Fishman, being first statements and the statements contained in the doc correct.	duly sworn on oath, states that all of the above cuments submitted herewith are true and
	nature of Applicant sident of Manager, M. Fishman & Company
Notary Public	OFFICIAL SEAL JENNIFER U ZACARIAS Motary Public - State of Illinois My Commission Expires Apr 21, 2015
For Office 1	Use Only
Date of Introduction:	
File Number:	
Ward:	

OFFICIAL SEAL
JENNIFER U ZACARIAS
Notary Public - Stare of Illinois
My Commission 1 /1 on 1507 21, 2015

September 4, 2012

Chairman, Committee on Zoning Room 304, City Hall Chicago, Illinois 60602

#### Chairman:

The undersigned, Rolando R. Acosta, being first duly sworn on oath, deposes and say the following:

That the undersigned certifies that he has complied with the requirements of the Chicago Zoning Ordinance, Title 17, Section 13-0107-A of the Municipal Code of Chicago, by serving written notice by USPS first class mail, to the owners of all property within 250 feet in each direction of the lot lines of the subject property, the boundaries of which are:

the alley next north of West Armitage Avenue; a line 79.15 feet east of North Humboldt Boulevard; West Armitage Avenue; and North Humboldt Boulevard

exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet, and that the notice contained the address and description of the property which is the subject of the application under the Chicago Zoning Ordinance; a statement of the intended use of said property; the name and address of the applicant; and a statement that the applicant intends to file said application on approximately September 5, 2012; that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under the cited section of the Municipal Code of the City of Chicago; that the applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet, recognizing the above limits, is a complete list containing the names and last know addresses of the owners of the property required to be served and that the applicant has furnished in addition a list of the persons so served.

By:

Refando R. Acosta

Subscribed and sworn to before me this September 4, 2012.

Notary Publi

OFFICIAL SEAL JENNIFER U ZACARIAS Notary Public - State of Illinois

My Commission Expires Apr 21, 2015

OFFICIAL SEAL JENNIFER U ZAGARIAS Notaty Public - State of Illinois My Commission Expires Apr 21, 2016 Dear Sir or Madam:

Please take notice that under the Chicago Zoning Ordinance an application (the "Application") to change the C1-2 Neighborhood Commercial District classifications to those of a C1-3 Neighborhood Commercial District for the area which is bounded by:

the alley next north of West Armitage Avenue; a line 79.15 feet east of North Humboldt Boulevard; West Armitage Avenue; and North Humboldt Boulevard

(hereafter the "Property") will be filed on or about September 5, 2012 with the Department of Housing and Economic Development, City of Chicago by 2952 West Armitage LLC, 3215 West Fullerton, Chicago, IL 60647 (hereinafter the "Applicant"). The addresses of the Property are 2948 – 54 W. Armitage Ave. and 2001 – 11 N. Humboldt Blvd., Chicago, IL. The Applicant is the owner of the Property.

The Property currently is improved with a vacant building containing approximately 1,500 sq. ft. and an open area. The Application, if approved, will allow the Applicant to re-use the existing building for a new restaurant which will include outdoor seating in the existing open area. No parking currently exists on the Property and the rezoning is sought to eliminate the parking requirement for the new, expanded restaurant.

This notice is required by the Chicago Zoning Ordinance, Title 17, Section 17-13-0107-A of the Municipal Code of Chicago. It is being sent to you because you appear as a taxpayer of record within 250 feet of the Property. The Application will not rezone your property. Any questions regarding this notice may be direct to the undersigned at 2949 West Gregory St., Chicago, IL 60625, (312) 636-6937.

Sincerely,

Rolando R. Acosta, Attorney for the Applicant

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting t	 his EDS. Include d/b/a/ if applicable:
2952 West Armitage LLC	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting th  1. [x] the Applicant  OR	is EDS is:
	an interest:
	ection II.B.1.) State the legal name of the entity in
B. Business address of the Disclosing Party:	3215 West Fullerton Ave.
	Chicago, IL 60647
C. Telephone: 312-636-6937 Fax:	Email: rolando@acostalawpc.com
D. Name of contact person: Rolando R. Acosta	
E. Federal Employer Identification No. (if you have	one):
F. Brief description of contract, transaction or other which this EDS pertains. (Include project number a	
Rezoning of the property commonly known as 2948 – 54 W.	Armitage Ave./2001 – 11 N. Humboldt Blvd
G. Which City agency or department is requesting t	his EDS? DHED
If the Matter is a contract being handled by the C complete the following:	ity's Department of Procurement Services, please
Specification #	and Contract #

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Ver. 01-01-12

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	Υ
<ol> <li>Indicate the nature of the Disclosing Pa</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	rty:  [X] Limited liability company  [] Limited liability partnership  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No  [] Other (please specify)
	ountry) of incorporation or organization, if applicable:
3. For legal entities not organized in the S business in the State of Illinois as a foreign ent	tate of Illinois: Has the organization registered to do tity?
[] Yes [] No	[x] N/A
B. IF THE DISCLOSING PARTY IS A LEG.	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	Il executive officers and all directors of the entity.  st below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below  partnership, limited liability company, limited liability as and title of each general partner, managing member, crols the day-to-day management of the Disclosing Party bmit an EDS on its own behalf.
Name	Title
M. Fishman & Company	Manager .
	n concerning each person or entity having a direct or p) in excess of 7.5% of the Disclosing Party. Examples

of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Parson's Chicago LLC	3215 W. Fullerton, Chicago	, IL 60647 100%
SECTION III BUSI	NESS RELATIONSHIPS	WITH CITY ELECTED OFFICIALS
		nship," as defined in Chapter 2-156 of the Municipal has before the date this EDS is signed?
[] Yes	[x] No	
If yes, please identify b relationship(s):	elow the name(s) of such Ci	ity elected official(s) and describe such
SECTION IV DISC	LOSURE OF SUBCONTI	RACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address		ip to Disclosing Party ctor, attorney, ec.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Rolando R. Acosta 2949 W. C	Gregory St., Chic	cago, IL 60625	Attorney \$	3,000 (est)
(Add sheets if necessary)				
[] Check here if the Disc	losing Party h	as not retaine	d, nor expects to retain	n, any such persons or entities.
SECTION V CERTIF	ICATIONS			
A. COURT-ORDERED	CHILD SUPP	ORT COMP	LIANCE	
<del>-</del>				s entities that contract with coughout the contract's term.
Has any person who directarrearage on any child sup	-	- :		sing Party been declared in nt jurisdiction?
[] Yes [X] N		lo person dire sclosing Party	ctly or indirectly owns	10% or more of the
If "Yes," has the person e is the person in compliance		1	d agreement for paym	ent of all support owed and
[] Yes [] No	o	: :		ì
B. FURTHER CERTIFIC	CATIONS			
consult for defined terms submitting this EDS is the	(e.g., "doing to Applicant and ither the Applote of, or has even	ousiness") and is doing bu licant nor any er been convi	d legal requirements), siness with the City, the controlling person is cotted of, or placed under	nen the Disclosing Party currently indicted or charged er supervision for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to a Certifications), the Disclosing Party must explain bel	1 - 5

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
None
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during to 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicativith "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
<u> </u>

	the word "None," or no respons med that the Disclosing Party ce	se appears on the lines above, it will be extified to the above statements.
D. CERTIFICATI	ON REGARDING INTEREST	IN CITY BUSINESS
Any words or term meanings when use		56 of the Municipal Code have the same
	financial interest in his or her ow	e Municipal Code: Does any official or employee on name or in the name of any other person or
NOTE: If you che Item D.1., proceed	<del>-</del>	ed to Items D.2. and D.3. If you checked "No" to
elected official or e any other person of for taxes or assess "City Property Sale	employee shall have a financial in entity in the purchase of any properties, or (iii) is sold by virtue of	nterest in his or her own name or in the name of operty that (i) belongs to the City, or (ii) is sold legal process at the suit of the City (collectively, taken pursuant to the City's eminent domain power eaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[ ] No	
*	ted "Yes" to Item D.1., provide tees having such interest and iden	the names and business addresses of the City ntify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

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amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

	vent that materially affects	certification at the end of each calendar quarter in the accuracy of the statements and information set
501(c)(4) of the Internal	Revenue Code of 1986; or	it is not an organization described in section (ii) it is an organization described in section thas not engaged and will not engage in "Lobbying
form and substance to pa subcontract and the Disc	ragraphs A.1. through A.4 losing Party must maintain	Disclosing Party must obtain certifications equal in above from all subcontractors before it awards any all such subcontractors' certifications for the ations promptly available to the City upon request.
B. CERTIFICATION R	EGARDING EQUAL EM	PLOYMENT OPPORTUNITY
		s require the Applicant and all proposed with their bids or in writing at the outset of
Is the Disclosing Party th	e Applicant?	
[] Yes	[ ] No	
If "Yes," answer the three	e questions below:	
1. Have you develop federal regulations? (See	=	affirmative action programs pursuant to applicable
	grams, or the Equal Emplo	omittee, the Director of the Office of Federal oyment Opportunity Commission all reports due
3. Have you participa equal opportunity clause? [] Yes	• •	ects or subcontracts subject to the
If you checked "No" to q	uestion 1. or 2. above, plea	se provide an explanation:
		. <u> </u>
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## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

2052 West Armitege LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

2952 West Armitage LLC	
(Print or type name of Disclosing Party)	
By:	•
(Sign here)	
Mark A. Fishman	
(Print or type name of person signing)	
President of Manager, M. Fishman & Company	
(Print or type title of person signing)	
Signed and sworn to before me on (date) September 4, 2012,	
at Cook County, <u>Illinois</u> (state).	
1112 -	OFFICIAL SEAL
Notary Public.	JENNIFER U ZACARIAS Notary Public - State of Illinois
Commission expires: 21, 2015	My Commission Expires Apr 21, 2015
Commission expires.	

OFFICIAL SEAL
JENNIFER U ZACARIAS
Notary Public - State of Phinois
My Commission Express Agr 21, 2015

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

	,	
[ ] Yes	[X] No	
such person is connec		such person, (2) the name of the legal entity to which ected city official or department head to whom such ure of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting t	his EDS. Include d/b/a/ if applicable:
M. Fishman & Company	
Check ONE of the following three boxes:	,
Indicate whether the Disclosing Party submitting th  1. [] the Applicant OR 2. [] a legal entity holding a direct or indirect in	is EDS is:  nterest in the Applicant. State the legal name of the
OR	ection II.B.1.) State the legal name of the entity in
which the Disclosing Party holds a right of cont	The state of the s
B. Business address of the Disclosing Party:	3215 West Fullerton Ave.
	Chicago, IL 60647
C. Telephone: 312-636-6937 Fax:	Email: rolando@acostalawpc.com
D. Name of contact person: Rolando R. Acosta	·
E. Federal Employer Identification No. (if you have	one):
F. Brief description of contract, transaction or other which this EDS pertains. (Include project number a	
Rezoning of the property commonly known as 2948 – 54 W.	Armitage Ave./2001 – 11 N. Humboldt Blvd
G. Which City agency or department is requesting t	his EDS? DHED
If the Matter is a contract being handled by the C complete the following:	ity's Department of Procurement Services, please
Specification #	and Contract #

Page 1 of 13

Ver. 01-01-12

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	
<ol> <li>Indicate the nature of the Disclosing Part</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign co	ountry) of incorporation or organization, if applicable:
	ate of Illinois: Has the organization registered to do ity?
[] Yes [] No	[x] N/A
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	Il executive officers and all directors of the entity. It below all members, if any, which are legal entities. If ." For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability e and title of each general partner, managing member, rols the day-to-day management of the Disclosing Party. It is possible to the day-to-day management of the Disclosing Party.
Name	Title
Mark A. Fishman	Pres./Sec.
indirect beneficial interest (including ownership	n concerning each person or entity having a direct or p) in excess of 7.5% of the Disclosing Party. Examples on, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
Mark A. Fishman 32	215 W. Fullerton Ave., Chicago, IL 60647	100%
SECTION III B	USINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	ng Party had a "business relationsh y elected official in the 12 months t	ip," as defined in Chapter 2-156 of the Municipal pefore the date this EDS is signed?
[] Yes	[x] No	
If yes, please identi relationship(s):	fy below the name(s) of such City	elected official(s) and describe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	er Business Address	Relationship to Disclosing Part (subcontractor, attorney, lobbyist, etc.)	y Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	-y)		
[X] Check here if the Di	isclosing Party h	as not retained, nor expects to reta	in, any such persons or entities
SECTION V CERT	TIFICATIONS		
A. COURT-ORDERE	D CHILD SUPP	ORT COMPLIANCE	
· · · · · · · · · · · · · · · · · · ·		-415, substantial owners of busine h their child support obligations th	
· ·		ly owns 10% or more of the Disclons by any Illinois court of compe	
[]Yes [X	_	o person directly or indirectly own sclosing Party.	ns 10% or more of the
If "Yes," has the person is the person in compli-		court-approved agreement for pay reement?	ment of all support owed and
[] Yes []	No		
B. FURTHER CERTI	FICATIONS		
consult for defined terr	ns (e.g., "doing t	pter 1-23, Article I ("Article I")(vousiness") and legal requirements) d is doing business with the City,	, if the Disclosing Party

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally of civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further			
Certifications), the Disclosing Party must explain below:			

If the letters "NA," the word "None," or no response appe presumed that the Disclosing Party certified to the above s	
8. To the best of the Disclosing Party's knowledge after complete list of all current employees of the Disclosing Party month period preceding the execution date of this EDS, and of the City of Chicago (if none, indicate with "N/A" or "n	arty who were, at any time during the 12- n employee, or elected or appointed official,
None	
· · · · · · · · · · · · · · · · · · ·	
9. To the best of the Disclosing Party's knowledge after complete list of all gifts that the Disclosing Party has give 12-month period preceding the execution date of this EDS official, of the City of Chicago. For purposes of this state made generally available to City employees or to the gene course of official City business and having a retail value of with "N/A" or "none"). As to any gift listed below, please	n or caused to be given, at any time during the s, to an employee, or elected or appointed ment, a "gift" does not include: (i) anything ral public, or (ii) food or drink provided in the s less than \$20 per recipient (if none, indicate
None	
C. CERTIFICATION OF STATUS AS FINANCIAL IN:  1. The Disclosing Party certifies that the Disclosing P	
[] is [X] is not	
a "financial institution" as defined in Section 2-32-455(b)	of the Municipal Code.
2. If the Disclosing Party IS a financial institution, the	en the Disclosing Party pledges:
"We are not and will not become a predatory lender as deficiency."  Code. We further pledge that none of our affiliates is, and lender as defined in Chapter 2-32 of the Municipal Code. lender or becoming an affiliate of a predatory lender may business with the City."	none of them will become, a predatory  We understand that becoming a predatory
If the Disclosing Party is unable to make this pledge becausection 2-32-455(b) of the Municipal Code) is a predatory 2-32 of the Municipal Code, explain here (attach addition	lender within the meaning of Chapter

conclusively presumed that the Disclosing Party certified to the above statements.  D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS	If the letters "NA," the word "None," or no response	
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS	conclusively presumed that the Disclosing Party cert	tified to the above statements.
	D. CERTIFICATION REGARDING INTEREST II	N CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [X] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes [] No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.	ny contract entered into with the City in
X 1. The Disclosing Party verifies that the Disclosin the Disclosing Party and any and all predecessor entitie from slavery or slaveholder insurance policies during the issued to slaveholders that provided coverage for damage the Disclosing Party has found no such records.	s regarding records of investments or profits the slavery era (including insurance policies
2. The Disclosing Party verifies that, as a result of Disclosing Party has found records of investments or pr policies. The Disclosing Party verifies that the following records, including the names of any and all slaves or slaves.	ofits from slavery or slaveholder insurance ag constitutes full disclosure of all such
SECTION VI CERTIFICATIONS FOR FEDERA	LLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete the funded, proceed to Section VII. For purposes of this Section proceeds of debt obligations of the City are not federally funded.  A. CERTIFICATION REGARDING LOBBYING	ection VI, tax credits allocated by the City
1. List below the names of all persons or entities re Disclosure Act of 1995 who have made lobbying contact respect to the Matter: (Add sheets if necessary):	<del>-</del>
(If no explanation appears or begins on the lines above, appear, it will be conclusively presumed that the Discloregistered under the Lobbying Disclosure Act of 1995 h Disclosing Party with respect to the Matter.)	sing Party means that NO persons or entities
2. The Disclosing Party has not spent and will not e any person or entity listed in Paragraph A.1. above for he person or entity to influence or attempt to influence and applicable federal law, a member of Congress, an office member of Congress, in connection with the award of a federally funded grant or loan, entering into any coopera	is or her lobbying activities or to pay any officer or employee of any agency, as defined by or or employee of Congress, or an employee of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 10	of 13
If you checked "No" to question 1. or 2. above, please	se provide an explanation:
3. Have you participated in any previous contract equal opportunity clause? [] Yes [] No	cts or subcontracts subject to the
2. Have you filed with the Joint Reporting Common Contract Compliance Programs, or the Equal Employunder the applicable filing requirements?  [] Yes  [] No	1
1. Have you developed and do you have on file federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No	affirmative action programs pursuant to applicable
If "Yes," answer the three questions below:	
[] Yes [] No .	
Is the Disclosing Party the Applicant?	
If the Matter is federally funded, federal regulations subcontractors to submit the following information negotiations.	
B. CERTIFICATION REGARDING EQUAL EMP	LOYMENT OPPORTUNITY
5. If the Disclosing Party is the Applicant, the I form and substance to paragraphs A.1. through A.4. subcontract and the Disclosing Party must maintain duration of the Matter and must make such certification.	all such subcontractors' certifications for the
4. The Disclosing Party certifies that either: (i) 501(c)(4) of the Internal Revenue Code of 1986; or 501(c)(4) of the Internal Revenue Code of 1986 but Activities".	(ii) it is an organization described in section
3. The Disclosing Party will submit an updated which there occurs any event that materially affects forth in paragraphs A.1. and A.2. above.	certification at the end of each calendar quarter in the accuracy of the statements and information set

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

at <u>Cook</u> County, <u>Illinois</u> (state).  Commission expires: <b>Wril 21, 2015</b>	OFFICIAL SEAL JENNIFER U ZACARIAS Notary Public - State of Illinois My Commission Expires Apr 21, 2015
Signed and sworn to before me on (date) September 4, 2012,	
President (Print or type title of person signing)	
Mark A. Fishman (Print or type name of person signing)	
(Sign here)	
By:	
(Print or type name of Disclosing Party)	
M. Fishman & Company	

OFFICIAL SEAL JENNIFER U ZACARIAS Notary Public - State of Illinois My Commission Expires Apr 21, 2015

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No		
TC . 1	C 1 1 (1) 41 1441	C 1	
such person is connect	• • •	e electe	n person, (2) the name of the legal entity to which d city official or department head to whom such of such familial relationship.
		: !	
		<u>i</u>	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting	g this EDS. Include d/b/a/ if applicable:
Parson's Chicago LLC	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting 1. [] the Applicant OR	
	t interest in the Applicant. State the legal name of the day an interest: 2952 West Armitage LLC
3. [] a legal entity with a right of control (see which the Disclosing Party holds a right of co	
B. Business address of the Disclosing Party:	3215 W. Fullerton
	Chicago, IL 60647
C. Telephone: 312-636-6937 Fax:	Email: rolando@acostalawpc.com
D. Name of contact person: Rolando R. Acosta	
E. Federal Employer Identification No. (if you ha	ve one):
F. Brief description of contract, transaction or oth which this EDS pertains. (Include project numbe	ner undertaking (referred to below as the "Matter") to r and location of property, if applicable):
Rezoning of property commonly known as 2948 – 54 W.	Armitage Ave./2001 – 11 N. Humboldt Blvd
G. Which City agency or department is requesting	g this EDS?DHED
If the Matter is a contract being handled by the complete the following:	City's Department of Procurement Services, please
Specification #	and Contract #

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Ver. 01-01-12

# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	
<ol> <li>Indicate the nature of the Disclosing Part [] Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol> 2. For legal entities, the state (or foreign contents)	[x] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)  ountry) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the St business in the State of Illinois as a foreign ent	tate of Illinois: Has the organization registered to do ity?
[] Yes [] No	[X] N/A
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	Il executive officers and all directors of the entity. It below all members, if any, which are legal entities. If It is a representation of the similar entities, list below  partnership, limited liability company, limited liability  e and title of each general partner, managing member,  rols the day-to-day management of the Disclosing Party.  mit an EDS on its own behalf.
Name	Title
Land and Sea Department LLC	Manager
M. Fishman & Company	Manager
indirect beneficial interest (including ownership	n concerning each person or entity having a direct or p) in excess of 7.5% of the Disclosing Party. Examples on, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

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Name	Business Address		entage Interest in the losing Party
Land and Sea Depar	tment LLC 2313 N. Kedzie Blvd. #2, 0	Chicago, IL 60647	50%
Flying Fish Partners	LLC 3215 W. Fullerton, Chicago, IL 6	60647	50%
Has the Disclos	BUSINESS RELATIONSHIPS ing Party had a "business relationty elected official in the 12 mont	nship," as define	d in Chapter 2-156 of the Municipal
[] Yes	[x] No		
If yes, please ident relationship(s):	ify below the name(s) of such C	ity elected officia	al(s) and describe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	r Business Address	Relationship to Disclosing Part (subcontractor, attorney, lobbyist, etc.)	y Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary	y)	<u> </u>	,
[X] Check here if the Dis	sclosing Party h	as not retained, nor expects to reta	ain, any such persons or entities
SECTION V CERT	IFICATIONS		•
A. COURT-ORDEREI	CHILD SUPP	ORT COMPLIANCE	
-		-415, substantial owners of busine h their child support obligations t	
• •	-	ly owns 10% or more of the Disclors by any Illinois court of compe	•
[] Yes [X		o person directly or indirectly own	ns 10% or more of the
If "Yes," has the person is the person in complia		ourt-approved agreement for pay reement?	ment of all support owed and
[] Yes []	No		
B. FURTHER CERTIF	ICATIONS		
consult for defined term submitting this EDS is t certifies as follows: (i) t with, or has admitted gu	s (e.g., "doing be he Applicant an neither the Appl	pter 1-23, Article I ("Article I")(vousiness") and legal requirements) d is doing business with the City, icant nor any controlling person is the been convicted of, or placed un	then the Disclosing Party then the Disclosing Party s currently indicted or charged

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:				

If the letters "NA," the word "None," or no response appears on the lines ab presumed that the Disclosing Party certified to the above statements.	ove, it will be conclusively
8. To the best of the Disclosing Party's knowledge after reasonable inq complete list of all current employees of the Disclosing Party who were, at a month period preceding the execution date of this EDS, an employee, or ele of the City of Chicago (if none, indicate with "N/A" or "none").	any time during the 12-
None	
9. To the best of the Disclosing Party's knowledge after reasonable inquestion of all gifts that the Disclosing Party has given or caused to be 12-month period preceding the execution date of this EDS, to an employee, official, of the City of Chicago. For purposes of this statement, a "gift" doe made generally available to City employees or to the general public, or (ii) a course of official City business and having a retail value of less than \$20 pe with "N/A" or "none"). As to any gift listed below, please also list the name	given, at any time during the or elected or appointed is not include: (i) anything food or drink provided in the recipient (if none, indicate
None	6
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION	
1. The Disclosing Party certifies that the Disclosing Party (check one)	
[] is [X] is not	
a "financial institution" as defined in Section 2-32-455(b) of the Municipal	Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing	Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-Code. We further pledge that none of our affiliates is, and none of them will lender as defined in Chapter 2-32 of the Municipal Code. We understand the lender or becoming an affiliate of a predatory lender may result in the loss of business with the City."	ll become, a predatory at becoming a predatory
If the Disclosing Party is unable to make this pledge because it or any of its Section 2-32-455(b) of the Municipal Code) is a predatory lender within the 2-32 of the Municipal Code, explain here (attach additional pages if necess	meaning of Chapter

	," the word "None," or no respons umed that the Disclosing Party ce	se appears on the lines above, it will be extified to the above statements.
D. CERTIFICAT	TION REGARDING INTEREST	IN CITY BUSINESS
	ms that are defined in Chapter 2-1 sed in this Part D.	56 of the Municipal Code have the same
	financial interest in his or her ow	Municipal Code: Does any official or employee on name or in the name of any other person or
NOTE: If you cl Item D.1., procee	•	ed to Items D.2. and D.3. If you checked "No" to
elected official or any other person for taxes or assess "City Property Sa	employee shall have a financial is or entity in the purchase of any pr sments, or (iii) is sold by virtue of	itive bidding, or otherwise permitted, no City interest in his or her own name or in the name of operty that (i) belongs to the City, or (ii) is sold elegal process at the suit of the City (collectively, taken pursuant to the City's eminent domain power eaning of this Part D.
Does the Matter i	nvolve a City Property Sale?	
[] Yes	[] No	1 :
	eked "Yes" to Item D.1., provide tyees having such interest and iden	the names and business addresses of the City ntify the nature of such interest:
Name	Business Address	Nature of Interest

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  A. CERTIFICATION REGARDING LOBBYING  1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated which there occurs any event that materially affects forth in paragraphs A.1. and A.2. above.	
4. The Disclosing Party certifies that either: (i) if 501(c)(4) of the Internal Revenue Code of 1986; or (501(c)(4) of the Internal Revenue Code of 1986 but Activities".	ii) it is an organization described in section
5. If the Disclosing Party is the Applicant, the D form and substance to paragraphs A.1. through A.4. subcontract and the Disclosing Party must maintain a duration of the Matter and must make such certificate	above from all subcontractors before it awards any all such subcontractors' certifications for the
B. CERTIFICATION REGARDING EQUAL EMP	LOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations subcontractors to submit the following information winegotiations.	
Is the Disclosing Party the Applicant?	
[] Yes [] No	
If "Yes," answer the three questions below:	
1. Have you developed and do you have on file a federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No	affirmative action programs pursuant to applicable
2. Have you filed with the Joint Reporting Common Contract Compliance Programs, or the Equal Employ under the applicable filing requirements?  [] Yes  [] No	•
3. Have you participated in any previous contractequal opportunity clause?	ts or subcontracts subject to the
[] Yes [] No	
If you checked "No" to question 1. or 2. above, pleas	e provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Parson's Chicago LLC (Print or type name of Disclosing Party)	
By: (Sign here)	
Mark A. Fishman	
(Print or type name of person signing)	
President of Manager, M. Fishman & Company (Print or type title of person signing)	
Signed and sworn to before me on (date) <u>September 4, 2012</u> , at <u>Cook</u> County, <u>Illinois</u> (state).	
Notary Public.	OFFICIAL SEAL JENNIFER U ZACARIAS Notary Public - State of Illinois My Commission Expires Apr 21, 2015

OFFICIAL SEAL
JENNIFER U ZACARIAS
Notary Public State of Illinois
My Commission Expres Apr 21, 2015

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] 105	[\lambda 140		
•			
If ves. please identi	ify below (1) the name and title of	such person, (2) the name of the legal entity to wh	ich
such person is connect	ted; (3) the name and title of the el	lected city official or department head to whom suc	ch
person has a familial r	elationship, and (4) the precise nat	iture of such familial relationship	
porton nas a ramma.	olahodonip, and (1) the provise had	itale of Sach familiar relationship.	
		1	
		<u> </u>	
	· · · · · · · · · · · · · · · · · · ·	<del></del>	

IVI No

[ ] Yes

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting t	! his EDS. Include d/b/a/ if applicable:
Land and Sea Department LLC	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting th  1. [] the Applicant  OR	is EDS is:
<ol> <li>[X] a legal entity holding a direct or indirect in Applicant in which the Disclosing Party holds OR</li> </ol>	an interest: 2952 West Armitage LLC
	ection II.B.1.) State the legal name of the entity in
B. Business address of the Disclosing Party:	2313 N. Kedzie, #2
	Chicago, IL 60647
C. Telephone: 312-636-6937 Fax:	Email: rolando@acostalawpc.com
D. Name of contact person: Rolando R. Acosta	
E. Federal Employer Identification No. (if you have	one):
F. Brief description of contract, transaction or other which this EDS pertains. (Include project number a	
Rezoning of the property commonly known as 2948 – 54 W.	i Armitage Ave./2001 – 11 N. Humboldt Blvd
G. Which City agency or department-is-requesting t	his EDS?DHED
If the Matter is a contract being handled by the C complete the following:	ity's Department of Procurement Services, please
Specification #	and Contract #

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Ver. 01-01-12

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	
<ol> <li>Indicate the nature of the Disclosing Par</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[X] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
	ountry) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the St business in the State of Illinois as a foreign entities.	ate of Illinois: Has the organization registered to do ity?
[] Yes [] No	[X] N/A
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	l executive officers and all directors of the entity. It below all members, if any, which are legal entities. If "For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability and title of each general partner, managing member, rols the day-to-day management of the Disclosing Party. The second control of the Disclosing
Name	Title
Robert McAdams	Manager
Peter Toalson	Manager
Jonathan Martin .	Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
		Disclosing Fally
Jonathan Martin 2	313 N. Kedzie, #2, Chicago, IL 60647	25%
Peter Toalson 26	20 W. Washington, #409, Chicago, IL 60612	25%
Robert McAdams,	3102 W. Palmer Blvd., #1, Chicago, IL 6064	7 25%
Lori Seidemann, 2	313 N. Kedzie, #2, Chicago, IL 60647	25%

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	[x] No			·	
If yes, please ident relationship(s):	ify below the name(s)	of such City electe	ed official(s) and de	escribe such	

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate wheth retained or anticipated to be retained)		Relationship to Disc (subcontractor, attor lobbyist, etc.)	•	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessa	ry)		· ·	
[X] Check here if the D	oisclosing Party h	as not retained, nor exp	ects to retain	, any such persons or entities
SECTION V CER	TIFICATIONS	<u> </u> 		
A. COURT-ORDERE	ED CHILD SUPP	ORT COMPLIANCE		
-				entities that contract with bughout the contract's term.
	•	ly owns 10% or more o		ing Party been declared in t jurisdiction?
[] Yes [>		o person directly or ind sclosing Party.	irectly owns	10% or more of the
If "Yes," has the person is the person in compli			ent for payme	ent of all support owed and
[] Yes [	] No			
B. FURTHER CERTI	FICATIONS			
consult for defined ter	ms (e.g., "doing b	pter 1-23, Article I ("A business") and legal req d is doing business with	uirements), i	•

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- . 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to a	, ·
Certifications), the Disclosing Party must explain be	low:

If the letters "NA," the word "None," or no response apper presumed that the Disclosing Party certified to the above s	·
8. To the best of the Disclosing Party's knowledge af complete list of all current employees of the Disclosing Pamonth period preceding the execution date of this EDS, and of the City of Chicago (if none, indicate with "N/A" or "n	arty who were, at any time during the 12- n employee, or elected or appointed official,
None	
9. To the best of the Disclosing Party's knowledge after complete list of all gifts that the Disclosing Party has give 12-month period preceding the execution date of this EDS official, of the City of Chicago. For purposes of this state made generally available to City employees or to the gene course of official City business and having a retail value of with "N/A" or "none"). As to any gift listed below, please	n or caused to be given, at any time during the , to an employee, or elected or appointed ment, a "gift" does not include: (i) anything ral public, or (ii) food or drink provided in the fless than \$20 per recipient (if none, indicate
None	
C. CERTIFICATION OF STATUS AS FINANCIAL INS	STITUTION
1. The Disclosing Party certifies that the Disclosing P	arty (check one)
[] is [X] is not	
a "financial institution" as defined in Section 2-32-455(b)	of the Municipal Code.
2. If the Disclosing Party IS a financial institution, the	n the Disclosing Party pledges:
"We are not and will not become a predatory lender as def Code. We further pledge that none of our affiliates is, and lender as defined in Chapter 2-32 of the Municipal Code. lender or becoming an affiliate of a predatory lender may the business with the City."	none of them will become, a predatory We understand that becoming a predatory
If the Disclosing Party is unable to make this pledge becau Section 2-32-455(b) of the Municipal Code) is a predatory 2-32 of the Municipal Code, explain here (attach addition	lender within the meaning of Chapter
:	

<u>•</u>		
	<u>-</u>	onse appears on the lines above, it will be certified to the above statements.
D. CERTIFICATIO	ON REGARDING INTERES	ST IN CITY BUSINESS
Any words or terms meanings when use	<del></del>	2-15 6 of the Municipal Code have the same
	nancial interest in his or her	the Municipal Code: Does any official or employee own name or in the name of any other person or
	cked "Yes" to Item D.1., pro-	ceed to Items D.2. and D.3. If you checked "No" to
elected official or en any other person or for taxes or assessm "City Property Sale"	nployee shall have a financie entity in the purchase of any ents, or (iii) is sold by virtue	petitive bidding, or otherwise permitted, no City al interest in his or her own name or in the name of property that (i) belongs to the City, or (ii) is sold of legal process at the suit of the City (collectively, ty taken pursuant to the City's eminent domain power meaning of this Part D.
Does the Matter inv	olve a City Property Sale?	
[] Yes	[ ] No	
	<del>-</del>	le the names and business addresses of the City dentify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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	ent that materially affects	certification at the end of each calendar quarter in the accuracy of the statements and information set
501(c)(4) of the Internal R	evenue Code of 1986; or	it is not an organization described in section (ii) it is an organization described in section has not engaged and will not engage in "Lobbying
form and substance to para subcontract and the Disclo	graphs A.1. through A.4 sing Party must maintain	Disclosing Party must obtain certifications equal in above from all subcontractors before it awards any all such subcontractors' certifications for the tions promptly available to the City upon request.
B. CERTIFICATION RE	GARDING EQUAL EM	LOYMENT OPPORTUNITY
		require the Applicant and all proposed with their bids or in writing at the outset of
Is the Disclosing Party the	Applicant?	
[]Yes	[]No	· :
If "Yes," answer the three	questions below:	
Have you developed federal regulations? (See 4)  [] Yes	-	affirmative action programs pursuant to applicable
<del>-</del>	rams, or the Equal Emplo	mittee, the Director of the Office of Federal yment Opportunity Commission all reports due
Have you participate equal opportunity clause?  [] Yes	ed in any provious contra	cts or subcontracts subject to the
If you checked "No" to que	estion 1. or 2. above, plea	se provide an explanation:
		]

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Land and Sea Department LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	
By: Sign here)	
FER TOALS WI	
(Print or type name of person signing)	
OWNER	
(Print or type title of person signing)	
Signed and sworn to before me on (date) September 4, 2012, at Cook County, Illinois (state).  Notary Public.  Commission expires: 21,2015.	OFFICIAL SEAL JENNIFER U ZACARIAS Notary Public - State of Illinois My Commission Expires Apr 21, 2015

OFFICIAL SEAL
JENNIFER U ZACARIAS
Notary Public - State of Illinois
My Commission Expires Apr 21, 2015

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepinother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

		*	
[ ] Yes	[X] No		
such person is connected	d; (3) the name and title of t	e of such person, (2) the name of the legal entity are elected city official or department head to whe nature of such familial relationship.	
		; 	
		<u> </u>	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting t	his EDS. Include d/b/a/ if applicable:
Flying Fish Partners LLC	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting the 1. [] the Applicant OR	s EDS is:
<ol> <li>[x] a legal entity holding a direct or indirect in Applicant in which the Disclosing Party holds OR</li> </ol>	terest in the Applicant. State the legal name of than interest: 2952 West Armitage LLC
	ection II.B.1.) State the legal name of the entity in ol:
B. Business address of the Disclosing Party:	3215 West Fullerton Ave.
	Chicago, IL 60647
C. Telephone: 312-636-6937 Fax:	Email: rolando@acostalawpc.com
D. Name of contact person: Rolando R. Acosta	
E. Federal Employer Identification No. (if you have	one):
F. Brief description of contract, transaction or other which this EDS pertains. (Include project number a	
Rezoning of the property commonly known as 2948 – 54 W.	Armitage Ave./2001 – 11 N. Humboldt Blvd
G. Which City agency or department is requesting to	nis EDS? DHED
If the Matter is a contract being handled by the Complete the following:	ty's Department of Procurement Services, please
Specification #	and Contract #
	! !

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Ver. 01-01-12

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	
<ol> <li>Indicate the nature of the Disclosing Part</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	y:  [X] Limited liability company  [ ] Limited liability partnership  [ ] Joint venture  [ ] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes [ ] No  [ ] Other (please specify)
2. For legal entities, the state (or foreign con	untry) of incorporation or organization, if applicable:
3. For legal entities not organized in the Sta business in the State of Illinois as a foreign entit	te of Illinois: Has the organization registered to do y?
[] Yes [] No	[x] N/A
B. IF THE DISCLOSING PARTY IS A LEGA	LENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members.' the legal titleholder(s).  If the entity is a general partnership, limited p partnership or joint venture, list below the name	executive officers and all directors of the entity.  below all members, if any, which are legal entities. If  For trusts, estates or other similar entities, list below  artnership, limited liability company, limited liability and title of each general partner, managing member,  ols the day-to-day management of the Disclosing Party.  nit an EDS on its own behalf.
Name	Title
-	
M. Fishman & Company	Manager
indirect beneficial interest (including ownership)	concerning each person or entity having a direct or in excess of 7.5% of the Disclosing Party. Examples n, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Mark A. Fishman 3215	W. Fullerton Ave., Chicago, IL 60647	68.77%
SECTION III B	USINESS RELATIONSHIPS V	WITH CITY ELECTED OFFICIALS
		ship," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[] Yes	[x] No	·
If yes, please identi: relationship(s):	fy below the name(s) of such Cit	y elected official(s) and describe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whethe retained or anticipated to be retained)	r Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	y)		
[X] Check here if the Di	sclosing Party ha	as not retained, nor expects to retai	n, any such persons or entities
SECTION V CERT	IFICATIONS		
A. COURT-ORDEREI	O CHILD SUPP	ORT COMPLIANCE	
_		415, substantial owners of busines the their child support obligations the	
		ly owns 10% or more of the Disclons by any Illinois court of compete	
[] Yes [X		o person directly or indirectly owns	s 10% or more of the
If "Yes," has the person is the person in complia		ourt-approved agreement for paym	nent of all support owed and
[] Yes []	No .		
B. FURTHER CERTIF	FICATIONS	\$ } }	
consult for defined term submitting this EDS is t certifies as follows: (i)	ns (e.g., "doing b the Applicant and neither the Appli	pter 1-23, Article I ("Article I")(who usiness") and legal requirements), d is doing business with the City, the cant nor any controlling person is the convicted of, or placed und	if the Disclosing Party hen the Disclosing Party currently indicted or charged

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
None
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

	- · · · · · · · · · · · · · · · · · · ·	appears on the lines above, it will be
	sumed that the Disclosing Party cer	
-	rms that are defined in Chapter 2-15 used in this Part D.	6 of the Municipal Code have the same
of the City have entity in the Mat	a financial interest in his or her own ter?	Municipal Code: Does any official or employee name or in the name of any other person or
[] Yes	[X] No	
NOTE: If you of Item D.1., proceed	•	to Items D.2. and D.3. If you checked "No" to
elected official o any other person for taxes or asses "City Property Sa	or employee shall have a financial in or entity in the purchase of any pro- ssments, or (iii) is sold by virtue of	tive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold legal process at the suit of the City (collectively, aken pursuant to the City's eminent domain power aning of this Part D.
Does the Matter	involve a City Property Sale?	•
[] Yes	[ ] No	
•	cked "Yes" to Item D.1., provide the oyees having such interest and iden	tify the nature of such interest:
Name	Business Address	Nature of Interest

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

	nt that materially affects	certification at the end of each calendar quarter in the accuracy of the statements and information set
501(c)(4) of the Internal Re	venue Code of 1986; or	it is not an organization described in section (ii) it is an organization described in section has not engaged and will not engage in "Lobbying
form and substance to parag subcontract and the Disclosi	raphs A.1. through A.4. ing Party must maintain	Disclosing Party must obtain certifications equal in above from all subcontractors before it awards any all such subcontractors' certifications for the tions promptly available to the City upon request.
B. CERTIFICATION REG	ARDING EQUAL EMI	PLOYMENT OPPORTUNITY
	_	require the Applicant and all proposed with their bids or in writing at the outset of
Is the Disclosing Party the A	Applicant?	
[] Yes	[ ] No	
If "Yes," answer the three q	uestions below:	
federal regulations? (See 41		affirmative action programs pursuant to applicable
Contract Compliance Prograunder the applicable filing re	ams, or the Equal Emplo	mittee, the Director of the Office of Federal yment Opportunity Commission all reports due
3. Have you participated equal opportunity clause?	d in any previous contrac	ets or subcontracts subject to the
	[ ] No	
If you checked "No" to ques	ation 1. or 2. above, plea	se provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Flying Fish Partners LLC	
(Print or type name of Disclosing Party)	
By: (Sign here)	
Mark A. Fishman	
(Print or type name of person signing)	
President of Manager, M. Fishman & Company	
(Print or type title of person signing)	
Signed and sworn to before me on (date) September 4, 2012, at Cook County, Illinois (state).	
Notary Public.	OFFICIAL SEAL
Commission expires: April 212015	JENNIFER U ZACARIAS  Notary Public - State of Illinois  My Commission Expires Apr 21, 2015
· ·	

OFFICIAL SEAC JEMMIFER II ZACARIAS Notary Public - State of Illinois My Commission Expires Apr 21, 2015

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

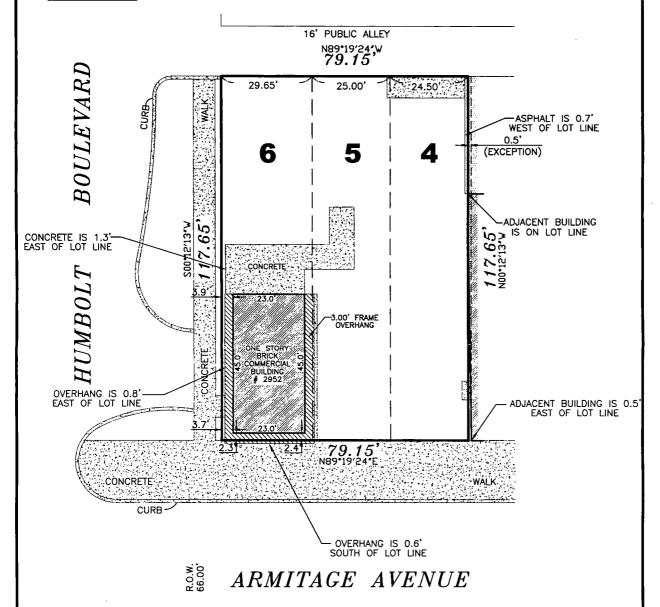
[ ] Yes	[X] No				
such person is connect	ify below (1) the name and title ted; (3) the name and title of the relationship, and (4) the precise	he elected c	city official or	department hea	
		!			

# **PLAT OF SURVEY**

OF

LOTS 5, 6 AND 4 (EXCEPT THE EAST 6 INCHES THEREOF) IN THE RESUBDIVISION OF LOTS 32 TO 36 INCLUSIVE, IN PARKWAY ADDITION, BEING A SUBDIVISION OF LOTS 5 TO 10 INCLUSIVE IN EACH OF BLOCKS 4, 9 AND 10 IN SCHLESWIG AND THE VACATED ALLEYS AND HALF STREET ADJOINING SAID LOTS, ALSO 3-1/2 FEET WEST AND ADJOINING SAID HALF STREET IN SOUTHEAST 1/4 OF THE NORTHWEST 1/4 OF SECTION 36, TOWNSHIP 40 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

COMMON ADDRESS: 2946-52 WEST ARMITAGE AVENUE



CLIENT: MUCH SHELIST, P. C.



15935 S. BELL ROAD (708) 645-1136 HOMER GLEN, IL. 60491 FAX (708) 645-1138 WWW.JNTLANDSURVEY.COM

NO IMPROVEMENTS SHOULD BE MADE ON THE BASIS OF THIS PLAT ALONE, FIELD MONUMENTATION OF CRITICAL POINTS SHOULD BE ESTABLISHED PRIOR TO COMMENCEMENT OF ANY AND ALL CONSTRUCTION.
FOR BUILDING LINE AND OTHER RESTRICTIONS NOT SHOWN HEREON REFER TO YOUR DEED, ABSTRACT, TITLE POLICY CONTRACTS AND LOCAL BUILDING AND ZONING COPUNIANCE.

AREA OF SURVEY = 9312 SQ.FT.



PROFESSIONAL DESIGN FIRM LAND SURVEYOR CORPORATION LICENSE NO. 184.004450

THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY. LICENSE EXPIRES 11/30/12



STATE OF ILLINOIS  $\}$  S. S. COUNTY OF WILL  $\}$  S. S.

JNT LAND SURVEYING SERVICES INCORPORATED HEREBY CERTIFIES THAT IT HAS SURVEYED THE TRACT OF LAND ABOVE DESCRIBED, AND THAT THE HEREON DRAWN PLAT IS A CORRECT REPRESENTATION THEREOF.

Dated this 15th Day of AUGUST , 20 12 ...
IPLS No. 3354

SURVEY NO. 12-08-094