

# Office of the City Clerk



Office of the City Clerk

City Council Document Tracking Sheet

**Meeting Date:** 

10/3/2012

Sponsor(s):

Mendoza, Susana A. (Clerk)

Type:

Ordinance

Title:

Zoning Reclassification App No. 17586 at 1301-1309 E 53rd

St and 5301-5323 S Kimbark Ave

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

#### **ORDINANCE**

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RT-4 Residential Two-Flat, Townhouse and Multi-Unit District symbols and indications as shown on Map No. 12-D in the area bounded by:

East 53<sup>rd</sup> Street; a line 88.39 feet East of and parallel with South Kimbark Avenue; a line 150.49 feet South of and parallel with East 53<sup>rd</sup> Street; a line 178.8 feet East of and parallel with South Kimbark Avenue; a line 224.7 feet South of and parallel with East 53<sup>rd</sup> Street; and South Kimbark Avenue.

to those of a B3-2 Community Shopping District, which is hereby established in the area described above.

SECTION 2. This ordinance shall be in force and take effect from and after its passage and due publication.

Common Addresses of Property: 1301-1309 East 53<sup>rd</sup> Street and 5301-5323 South Kimbark Avenue, Chicago

#### CITY OF CHICAGO

#17586 INTRO DATE: OCT-3, 2012

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

Ward Number tha	t property is locat	ted in: Fourth		
Uni	versity of Chica	go Property Ho	lding Corporati	on, formerly known as
APPLICANT 53r	d and Kimbark	Building Corpor	ration	
ADDRESS580	1 South Ellis Av	enue	CITY_	Chicago
STATE Illinois	ZIP CODE_	60615	PHON	<b>773-702-1976</b> E
EMAIL	uchicago.edu	CONTACT P	ERSONRober	rt Rush
Is the applicant th	a aumar of the nr	ananty? VES	x	_ NO
				ollowing information
				allowing the application
proceed.	ci and attach win	tien authorizatio	ii iioiii iiie owiici	anowing the application
proceed.				
OWNER				
OWNER				
OWNERADDRESS			CITY_	
OWNERADDRESSSTATE	ZIP CODE_		CITY_ PHON	E
OWNER ADDRESS STATE EMAIL	ZIP CODE_	CONTACT P	CITY_ PHON ERSON	E
OWNER ADDRESS STATE EMAIL If the Applicant/O	ZIP CODE_	CONTACT P	CITYPHON  ERSON  I a lawyer as thei	E
OWNER ADDRESS STATE EMAIL	ZIP CODE_	CONTACT P	CITYPHON  ERSON  I a lawyer as thei	E
OWNER ADDRESS STATE EMAIL If the Applicant/O rezoning, please p	ZIP CODE_  Owner of the proporovide the follow	CONTACT Policy in the contraction of the contraction in the contract	CITYPHON ERSON I a lawyer as thei	Er representative for the
OWNER ADDRESS STATE EMAIL If the Applicant/O rezoning, please p	ZIP CODE_  Owner of the proporovide the follow	CONTACT Policy in the contraction of the contraction in the contract	CITYPHON ERSON I a lawyer as thei	Er representative for the
OWNER ADDRESS STATE EMAIL If the Applicant/O rezoning, please p ATTORNEY	ZIP CODE_ Owner of the properovide the follow	CONTACT Party has obtained informations	CITYPHON  ERSON I a lawyer as thei	E

The University of Ch	icago	
On what date did the ov	wner acquire legal title to the sul	oject property? August 17, 1959
Has the present owner p	previously rezoned this property	? If yes, when?
Proceed Zamina District	RT-4 Residential Two -Flat, Townhouse and	B3-2 Community
Present Zoning District		d Zoning District Shopping District
Lot size in square feet (	or dimensions) Approximately	26,575 s.f.
Current Use of the prop	erty_Residential, with legally r	non-conforming commercial storefro
		nto conformity with typical zoning
the area's neighbor	ing commercial area (the 53rd	Street corridor) and permit business ithin the site's 53 <sup>rd</sup> Street storefron
Describe the proposed u	use of the property after the rezo	oning. Indicate the number of dwelling
units; number of parkin height of the proposed l dwelling units. No chan	g spaces; approximate square fo building. (BE SPECIFIC) ges to the building's height or r	ootage of any commercial space; and The existing building has 3-4 stories a esidential dwelling units are propose
building has approximate Street. There are no proper rezoning will allow the applicable special use, I	tely 4,343 s.f. of existing, grou posed changes to the overall size storefronts to be occupied with icensing, permitting, and other	suitable for providing parking. The end floor commercial storefronts alore of this commercial area, but the requires allowed in the B3 District (sub City requirements) and will permit that are currently legally non-conform
		e Affordable Requirements Ordinand
<del>-</del>	<u> </u>	a financial contribution if residential circumstances. Based on the lot size
the project in question a	and the proposed zoning classifi	cation, is this project subject to the
Affordable Requiremen	its Ordinance? (See Fact Sheet	ioi mole mioimanon)

## COUNTY OF COOK STATE OF ILLINOIS

Robert Rush	, being first d	uly sworn on oath, states that all of the above
statements and the statements containe	ed in the documen  University of C  known as 53rd  BY: The Univer	ts submitted herewith are true and correct.  hicago Property Holding Corporation, formerly and Kimbark Building Corporation  sity of Chicago, its sole owner  nature of Applicant  Robert Rush, its Associate General Counsel
Subscribed and Sworn to before me the day of September  Notary Public	, 20 <u>12</u> .	*OFFICIAL SEAL"  DANIELLE MELTZER CASSEL  NOTARY PUBLIC, STATE OF ILLINOIS  MY COMMISSION EXPIRES  February 1, 2016
	For Office Use	e Only
Date of Introduction:		
File Number:		
Ward:		

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# VEDDER PRICE

DANIELLE MELTZER CASSEL 312-609-7962 dcassel@vedderprice.com VEDDER PRICE P.C.
222 NORTH LASALLE STREET
CHICAGO, ILLINOIS 60601
312-609-7500
FAX: 312-609-5005

CHICAGO • NEW YORK CITY • WASHINGTON, DC

September 26, 2012

Honorable Daniel Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 203, City Hall Chicago, Illinois 60602

Re:

Application for Type 2 Map Amendment with respect to 1301-1309 East 53<sup>rd</sup> Street and 5301-5323 South Kimbark Avenue, to Amend the Subject Property's Classification from RT-4 Residential Two-Flat, Townhouse and Multi-Unit District to B3-2 Community Shopping District

Dear Chairman Solis:

The undersigned, Danielle Meltzer Cassel, being first duly sworn on oath deposes and states the following:

The undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant (University of Chicago Property Holding Corporation) and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately September 26, 2012.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served as provided by Property Insight, together with tax-exempt parcel and condominium association contact information obtained from Cook County or State of Illinois sources.

Very truly yours, **VEDDER PRICE P.C.** 

Danielle Meltzer Cassel

Subscribed and Sworn to before me this 26th day of September, 2012.

Nallubach

Notary Public

PATRICIA S. HOLLERBACH
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES
AUGUST 22, 2018



DANIELLE MELTZER CASSEL
222 NORTH LASALLE STREET, SUITE 2600
CHICAGO ILLINOIS 60601

#### September 26, 2012

#### Dear Neighbor:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that the undersigned will file an application on behalf of the University of Chicago Property Holding Corporation ("<u>Applicant</u>"), on or about September 26, 2012, to change the zoning of the property at the Southeast corner of 53<sup>rd</sup> Street and Kimbark Avenue, with the common addresses of 1301-1309 East 53<sup>rd</sup> Street and 5301-5323 South Kimbark Avenue (the "<u>Subject Property</u>"), from the RT-4 Residential Two-Flat, Townhouse and Multi-Unit District, to the B3-2 Community Shopping District. Applicant currently owns the Subject Property, and its address for purposes of this application is 5801 South Ellis Avenue, Chicago, IL 60615.

Reason for Requested Rezoning: The Subject Property sits at the Southeast corner of East 53<sup>rd</sup> Street and South Kimbark Avenue. The Subject Property is improved with a single building that has residential entrances along Kimbark Avenue, and approximately 4,343 square feet of commercial storefronts along 53<sup>rd</sup> Street. These storefronts currently include offices for Representative Barbara Flynn Currie, the Pockets restaurant, the Kilimanjaro store, and the vacant corner storefront. Under the Subject Property's current residential zoning classification (RT-4), the Zoning Ordinance significantly limits whether and how the storefronts can be physically modified or used, whether by the existing tenants or by new businesses. A rezoning to the B3 District will allow greater flexibility under the Zoning Ordinance for the existing commercial tenants and also will allow a greater variety of businesses to occupy the storefronts in the future. Specifically, the existing and future tenants of the storefronts will be allowed to have the same variety of uses that are currently permitted throughout most of our 53<sup>rd</sup> Street commercial corridor, including the portion of the North side of 53<sup>rd</sup> Street that is directly across from the Subject Property. Any new uses or tenants still would have to comply with standard licensing, permitting, and other City requirements.

<u>Proposed Changes to Existing Site Improvements</u>. No physical or other changes are proposed for the residential portions of the building. Applicant also does not anticipate any physical changes to the non-residential areas of the building (*i.e.*, the 53<sup>rd</sup> Street storefronts) other than potential upgrades to individual stores' interiors, façades, and signage, from time to time, and a build-out of the vacant corner store, which hopefully will take place in the near future and to accommodate a new restaurant.

Community Meeting and Contact Information: The upcoming Fourth Ward community meeting on October 15<sup>th</sup> (starting 6 pm, at the Hyde Park Art Center, 5020 S. Cornell Avenue) will include consideration of this application, and we welcome your comments and questions in that forum or otherwise. I am serving as the land use attorney and contact person for Applicant in connection with this matter, and would be pleased to hear from you. My contact information is as follows: 222 North LaSalle Street, Suite 2600, Chicago, Illinois 60601; phone (312) 609-7962; fax: 312-609-5005; and email: dcassel@vedderprice.com.

Please note Applicant is not seeking to rezone or purchase your property. Applicant is required by law to send this notice because Cook County tax records provided to Applicant indicate you own or are the taxpayer of record for a property located within 250 feet of the Subject Property, excluding the area contained in certain rights-of-way.

Thank you very much for your attention to this matter.

Very truly yours,

VEDDER PRICE P.C.

Danielle Meltzer Cassel

cc:

Fourth Ward Alderman Will Burns Fifth Ward Alderman Leslie Hairston Jim Hennessey and Robert Rush

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting 1. [式 the Applicant OR	this EDS is:
	t interest in the Applicant. State the legal name of the lds an interest:
3. [] a legal entity with a right of control (see which the Disclosing Party holds a right of co	e Section II.B.1.) State the legal name of the entity in ontrol:
B. Business address of the Disclosing Party:	1658 East 53rd Street
_	Chicago, IL 60615
C. Telephone: 773-702-1976 Fax: 773-7  D. Name of contact person: Robert Rush  E. Federal Employer Identification No. (if you ha	ive one):
which this EDS pertains. (Include project numbe	· · · · · · · · · · · · · · · ·
	e 4th Ward, located at 1301-1309 E. 53rd Street, , IL 60615 to the B3-2 Community Shopping Distri
G. Which City agency or department is requestin	g this EDS? DHED, City Council
If the Matter is a contract being handled by the complete the following:	e City's Department of Procurement Services, please
Specification #	and Contract #

#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

#### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing P	arty:	
[] Person	[] Limited liability	company
[] Publicly registered business corporation	[] Limited liability	partnership
[] Privately held business corporation	[] Joint venture	·
[] Sole proprietorship	X Not-for-profit co	rporation
[] General partnership	(Is the not-for-profit	corporation also a 501(c)(3))?
[] Limited partnership	[]Yes	[x] No
[] Trust	[] Other (please spe	cify)
		501(c)(2)
<ul><li>2. For legal entities, the state (or foreign</li><li>111inois</li></ul>	country) of incorporation	ii or organization, it applicable
3. For legal entities not organized in the business in the State of Illinois as a foreign en		organization registered to do
[] Yes [] No	[X] N/A	
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:	

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
Nimalan Chinniah	President, Director	
Beth A. Harris	Vice President & Secretary, Director	
James Hennessy	Vice President & Treasurer, Director	
Robert Rush	Assistant Secretary	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
The University	of Chicago, 5801 S. Ellis Avenue	Disclosing Party , Chicago, IL 60637 100%
SECTION III	BUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	osing Party had a "business relationsh city elected official in the 12 months l	ip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[] Yes	[x] No	
If yes, please ider relationship(s):	ntify below the name(s) of such City	elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether **Business** Relationship to Disclosing Party Fees (indicate whether retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE: to be retained) lobbyist, etc.) "hourly rate" or "t.b.d." is not an acceptable response. Vedder Price, 222 N. LaSalle St., Ste. 2600, Attorney Est. \$2,500. 60601 Chicago, IL (Add sheets if necessary) [ ] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. **SECTION V -- CERTIFICATIONS** A. COURT-ORDERED CHILD SUPPORT COMPLIANCE Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term. Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction? []Yes [] No [X] No person directly or indirectly owns 10% or more of the Disclosing Party. If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement? [] Yes [] No

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furthern		
Certifications), the Disclosing Party must explain below:		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a
complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [ <b>x</b> ] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes ĭ¾ No NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes []No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

comply with these disclosure requirements may make any contract entered into with the City in

•	by will submit an updated certification at the end of each calendar quarter in ent that materially affects the accuracy of the statements and information set ad A.2. above.
501(c)(4) of the Internal R	by certifies that either: (i) it is not an organization described in section evenue Code of 1986; or (ii) it is an organization described in section evenue Code of 1986 but has not engaged and will not engage in "Lobbying"
form and substance to para subcontract and the Disclo	rty is the Applicant, the Disclosing Party must obtain certifications equal in graphs A.1. through A.4. above from all subcontractors before it awards any sing Party must maintain all such subcontractors' certifications for the must make such certifications promptly available to the City upon request.
B. CERTIFICATION REC	GARDING EQUAL EMPLOYMENT OPPORTUNITY
	anded, federal regulations require the Applicant and all proposed are following information with their bids or in writing at the outset of
Is the Disclosing Party the	Applicant?
[] Yes	[ ] No
If "Yes," answer the three	questions below:
1. Have you developed federal regulations? (See	d and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.) [] No
<del>-</del>	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements?  [] No
	ed in any previous contracts or subcontracts subject to the
equal opportunity clause? [] Yes	[ ] No
If you checked "No" to qu	estion 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

and complete as of the date furnished to the City.	and A (if applicable) are true, accurate
· · · · · · · · · · · · · · · · · · ·	
University of Chicago Property Holding Corporation	n
(Print or type name of Disclosing Party)	
By:	
(Sign here)	
Robert Rush	
(Print or type name of person signing)	
Assistant Secretary	
(Print or type title of person signing)	
Signed and sworn to before me on (date) 9-24-2012	,
at <u>Cook</u> County, <u>Illinois</u> (state).	
Rangello Moltrer (assel Notary Public.	"OFFICIAL SEAL"
Marie Trongs ( Jacob Trongs Tuone.	DANIELLE MELTZER CASSEL NOTARY PUBLIC, STATE OF ILLINOIS
Commission expires: 2-1-2016.	MY COMMISSION EXPIRES February 1, 2016
r	January Marian

GOVERNMENT OF THE PROPERTY OF

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ <b>x</b> ] No	
such person is connec	ted; (3) the name and title of t	itle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such cise nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION 1 -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
The University of Chicago
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR
2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: University of Chicago Property OR Holding Corporation
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:  Office of Legal Counsel, 5801 S. Ellis Ave.  Suite 619, Chicago, IL 60637
C. Telephone: 773-702-1976 Fax: 773-702-0934 Email: rhrush@uchicago.edu
D. Name of contact person: Robert Rush
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Map Amendment to rezone property in the 4th Ward, located at 1301-1309 E. 53rd Street, and 5301-5323 S. Kimbark Ave., Chicago, IL 60615 to the B3-2 Community Shopping District
G. Which City agency or department is requesting this EDS? <u>DHED, City Council</u>
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF THE DISCLOSING PARTY

Name

Robert Zimmer

1. Indicate the nature of the Disclosing Pa	rty:
[] Person	[] Limited liability company
[] Publicly registered business corporation	[ ] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[x] Yes [] No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign co	ountry) of incorporation or organization, if applicable:
Illinois	
business in the State of Illinois as a foreign ent  [] Yes  [] No	tate of Illinois: Has the organization registered to do tity?  [X] N/A
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the nammanager or any other person or entity that cont NOTE: Each legal entity listed below must subsequently.	
(There are no members. List of Trustee	s is attached as Exhibit A)

David Greene Executive Vice President

Beth A. Harris Vice President and General Counsel

David B. Fithian Vice President and Secretary

Nimalan Chinniah Vice President for Administration and CFO

Title

President

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
None		
<del></del>		
SECTION III 1	RUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	JUSTINESS RELITIONSHIPS W	
Has the Disclos	ing Party had a "business relationsh	ip," as defined in Chapter 2-156 of the Municipal
	ty elected official in the 12 months by	-
couo, with any ci	y clotted official in the 12 months t	versite the date this 195 is difficult.
[]Yes	[ <b>X</b> ] No	
[] ~ 00	[-] 1.0	
If ves, please ident	ify below the name(s) of such City	elected official(s) and describe such
relationship(s):	,	(-)
<del></del>		

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

retained or anticipated to be retained)	Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	ı		
[X] Check here if the Disc	losing Party h	as not retained, nor expects to retain	n, any such persons or entities
SECTION V CERTIF	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
		-415, substantial owners of business the their child support obligations three	
		tly owns 10% or more of the Disclorons by any Illinois court of compete	
[]Yes []N		To person directly or indirectly owns sclosing Party.	s 10% or more of the
If "Yes," has the person of is the person in complian		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []N	10		
B. FURTHER CERTIFI	CATIONS		
consult for defined terms	(e.g., "doing	apter 1-23, Article I ("Article I")(wh business") and legal requirements), and is doing business with the City, the	if the Disclosing Party

consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7.	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further	•
Certi	ifications), the Disclosing Party must explain below:	
		_

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  Please see Exhibit B.
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  Please see Exhibit C.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [ <b>x</b> ] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
)

		e appears on the lines above, it will be rified to the above statements.
D. CERTIFICATION	REGARDING INTEREST I	N CITY BUSINESS
Any words or terms the meanings when used in	<del>-</del>	56 of the Municipal Code have the same
		Municipal Code: Does any official or employee n name or in the name of any other person or
	d "Yes" to Item D.1., procee	d to Items D.2. and D.3. If you checked "No" to
elected official or emplany other person or ent for taxes or assessment "City Property Sale").	loyee shall have a financial in tity in the purchase of any pro s, or (iii) is sold by virtue of	tive bidding, or otherwise permitted, no City interest in his or her own name or in the name of operty that (i) belongs to the City, or (ii) is sold legal process at the suit of the City (collectively, aken pursuant to the City's eminent domain power eaning of this Part D.
Does the Matter involv	e a City Property Sale?	
[] Yes	[ ] No	
•		he names and business addresses of the City atify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.			
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.			
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:			
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS			
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.			
A. CERTIFICATION REGARDING LOBBYING			
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):			
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None"			
appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)			
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any			

comply with these disclosure requirements may make any contract entered into with the City in

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

	ty will submit an updated certification at the end of each calendar quarter in ent that materially affects the accuracy of the statements and information set and A.2. above.
501(c)(4) of the Internal R	ty certifies that either: (i) it is not an organization described in section evenue Code of 1986; or (ii) it is an organization described in section evenue Code of 1986 but has not engaged and will not engage in "Lobbying".
form and substance to para subcontract and the Disclo	arty is the Applicant, the Disclosing Party must obtain certifications equal in agraphs A.1. through A.4. above from all subcontractors before it awards any using Party must maintain all such subcontractors' certifications for the must make such certifications promptly available to the City upon request.
B. CERTIFICATION RE	GARDING EQUAL EMPLOYMENT OPPORTUNITY
_	anded, federal regulations require the Applicant and all proposed ne following information with their bids or in writing at the outset of
Is the Disclosing Party the	Applicant?
[] Yes	[ ] No
If "Yes," answer the three	questions below:
1. Have you develope federal regulations? (See	d and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.) [] No
•	the Joint Reporting Committee, the Director of the Office of Federal grams, or the Equal Employment Opportunity Commission all reports due requirements?  [] No
3. Have you participat	ted in any previous contracts or subcontracts subject to the
equal opportunity clause? [] Yes	[ ] No
If you checked "No" to qu	estion 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

The University of Chicago

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	_
By:	
Robert Rush	
(Print or type name of person signing)	
Associate General Counsel	
(Print or type title of person signing)	_
Signed and sworn to before me on (date)	9-24-2012 .
at Cook County, Illinois	
Canielle Mettyn Cassel	_ Notary Public.
Commission expires: 2~1-2016	

"OFFICIAL SEAL"

DANIELLE MELTZER CASSEL

NOTARY PUBLIC, STATE OF ILLINOIS

MY COMMISSION EXPIRES

February 1, 2016

. . .

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
such person is connec	cted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.

### EXHIBIT A: THE UNIVERSITY OF CHICAGO BOARD OF TRUSTEES

Chair of the Board Andrew M. Alper

Kathryn C. Gould

Myrtle S. Potter

Sekhar Bahadur

Sanford J. Grossman

Thomas J. Pritzker

David G. Booth

King W. Harris

John W. Rogers, Jr.

David B. Brooks

Kenneth M. Jacobs

Andrew M. Rosenfield

Thomas A. Cole

Karen L. Katen

David M. Rubenstein

E. David Coolldge III

Dennis J. Keller

Alvaro J. Saieh

James S. Crown

Steven A. Kersten

Steve G. Stevanovich

Katharine P. Darrow

James M. Kilts

Elizabeth M. Thompson

Daniel L. Doctoroff

Michael J. Klingensmith

Mary A. Tolan

Brady W. Dougan

Michael L. Klowden

Byron D. Trott

Craig J. Duchossois

Robert W. Lane

Marshall I. Wais

John A. Edwardson

Charles Ashby Lewis

Gregory W. Wendt

James S. Frank

John Liew

Paula Wolff

Jack W. Fuller

Peter W. May

Paul G. Yovovich

Timothy M. George

Joseph Neubauer

Francis T.F. Yuen

Rodney L. Goldstein

**Emily Nicklin** 

Robert J. Zimmer

Mary Louise Gorno

Michael P. Polsky

# Exhibit B

Name	University Position	Start Date	City Position
Liberty DelaVega	Project Assistant	11.07.2011	Coordinator for Chicago Office of Culture and Tourism
Carrie Humphrey	Receptionist	09.07.2011	Administrative Support/Traffic Control
Ruinan Liu	Project Assistant, Course and Events Assistant	12.01.2011	Part-time Policy Analyst
Deborah Mister	Administrative Assistant	12.12.2011	Aldermanic Assistant to Ald. Preckwinkle

### **Exhibit C**

University employee Ellen Sahli paid for a lunch meeting with Sarah Sheehan at Petterino's restaurant in December of 2011 to discuss the terms of a Memorandum of Understanding between the City and the University and to discuss the University's work-plan for the 2012 calendar year. The cost per person was approximately \$27.

The University of Chicago operates a number of programs that place student interns or fellows in neighborhood settings to gain valuable work experience and to serve the larger community. Placements are made in various organizations including some operated by the City of Chicago, such as neighborhood schools and local elected officials' offices.

The University of Chicago provided room space to the Department of Buildings on June 11 and 12, 2012 for a life safety evaluation training the Department held for property managers of high rise buildings throughout the City



### THE UNIVERSITY OF CHICAGO

# OFFICE OF LEGAL COUNSEL 5801 SOUTH ELLIS AVENUE, SUITE 619

CHICAGO · ILLINOIS 60637

Robert Rush Associate General Counsel

773-702-1976 (Ph) 773-702-0934 (Fax) rhrush@uchicago.edu

September 20, 2012

Gerald Garcia Supervising Zoning Plan Examiner 121 North LaSalle Street Room 905, City Hall Chicago, Illinois 60602

Re: Application for Map Amendment with respect to 1301-1309 East 53rd Street and 5301-5323 South Kimbark Avenue, to Rezone Property in the 4th Ward to the B3-2 Community Shopping District

Dear Mr. Garcia:

I, Robert Rush, hereby certify that I am the Associate General Counsel of The University of Chicago (the "University") and the Assistant Secretary of University of Chicago Property Holding Corporation ("Property Holding"), and that I am duly qualified and authorized to execute and submit the application and Economic Disclosure Statements from each of the University and Property Holding to the City of Chicago in connection with the Application for Map Amendment with respect to 1301-1309 East 53rd Street and 5301-5323 South Kimbark Avenue, to Rezone Property in the 4th Ward to the B3-2 Community Shopping District.

Very truly yours,

Robert Rush

GREMLEY & A DIVISION PLCS COP

PROFESSIONAL LA

4505 NORTH ELSTON AVEN TELEPHONE: (773) 685-5102 FAX: (773) 28

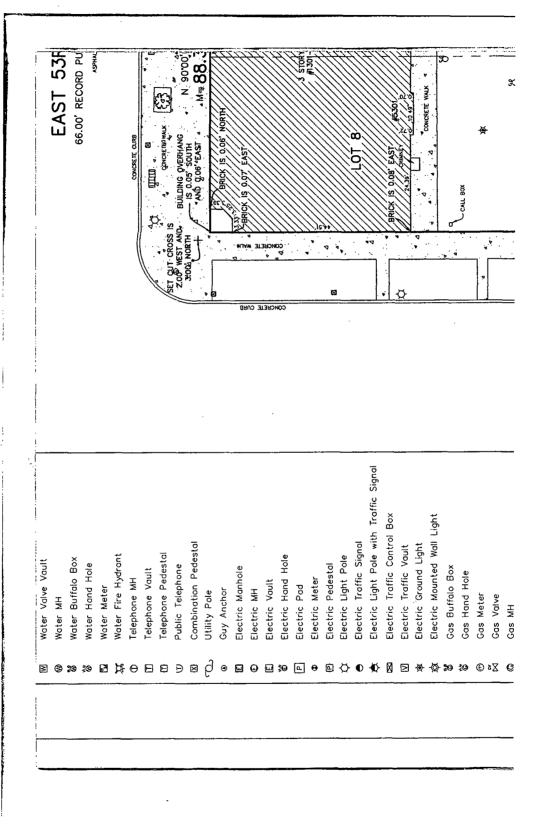
Plat of \$

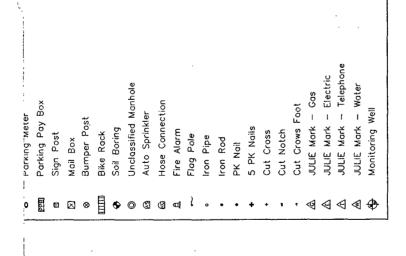
LOTS 7, 8, 9, AND 10 IN BLOCK PARK BEING A SUBDIVISION OF PV SOUTHEAST QUARTER OF SECTION 14 EAST OF THE THIRD PRINCIPAL ILLINOIS.

PROPERTY AREA = 26,575 SQUARE

- Storm MH Storm CB

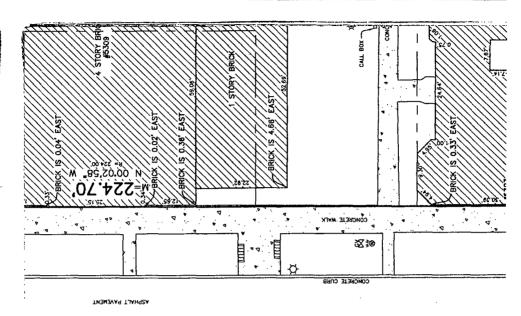
Storm Inlet





# SOUTH KIMBARK AVENU

99'00, BECORD PUBLIC RIGHT OF WAY



FECUND CUT CROSS IS 2.05" WEST AND 0.28" NORTH

BRICK IS 7.03' AND 27.13' E

SURVEY NOTES:

Note R. & M. denotes Record and Measured distances respectively.

Distances are marked in feet and decimal parts thereof. Compare all points BEFORE building by same and at once report any differences BEFORE damage is done.

For essements, building lines and other restrictions not shown on survey plat refer to your abstract, deed, contract, title policy and local building line regulations.

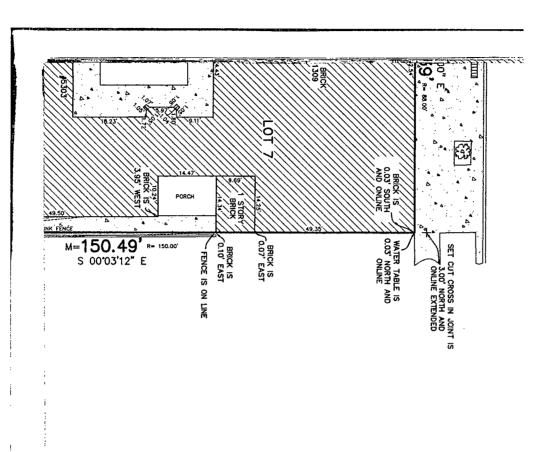
NO dimensions shall be assumed by scale measurement upon this plat.

Unless otherwise noted hereon the Bearing Baais, Elevation Datum and Coordinate Datum if used is ASSUMED.

COPYRIGHT GREMLEY & BIEDERMANN, INC. 2012 "All Rights Reserved"

100

ORDERED BY. UNIVERSITY OF CAICAGO OFFICE OF LEGAL COUNSEL OFFICED. DRAWR: ADDRESS. 1301 EAST 534D STREET, CHICAGO GREMLEY & BIEDERMANN
PLCS, CORPORATION
LIGHER NO. 14-005322
PROFESSIONAL LAW SAMPTOR
4505 NORTH ELSTAN AND CHILLON, IL 60530
TREPHONE (173) 685-5102 F.XX. (173) 280-4184 ENALL INFORPECS-SUMPER. COM DATE: SEPTEMBER 20, 2012 SCALE: I INCH = 15 FEET 2012-16890-001 ORDER NO.



# BIEDERMANN poration poration

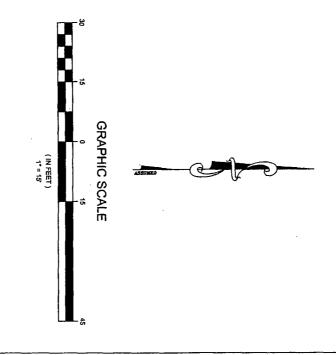
ND SURVEYORS

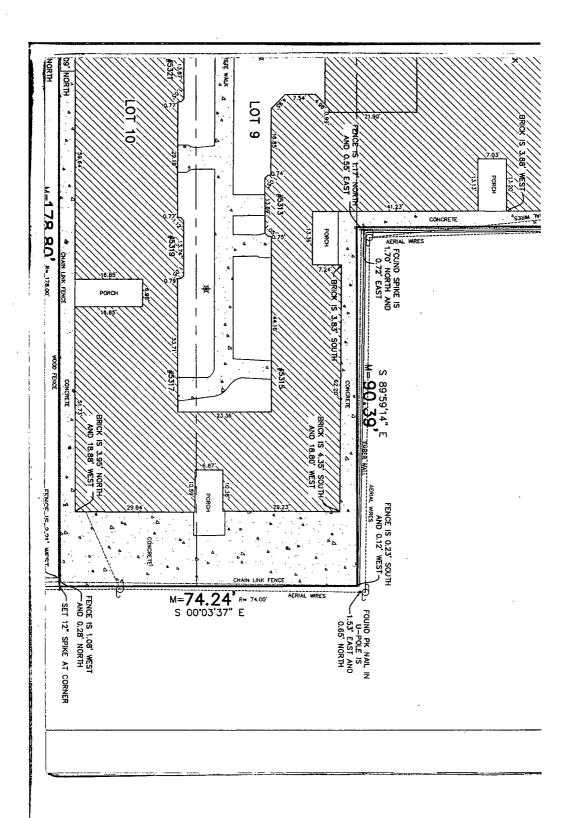
UE, CHICAGO, IL 60630 16-4184 EMAIL: INFO@PLCS-SURVEY.COM

Survey

27 IN KIMBARK'S ADDITION TO HYDE ART OF THE WEST HALF OF THE 11, TOWNSHIP 38 NORTH, RANGE MERIDIAN, IN COOK COUNTY,

F FEET OR 0.61 ACRES





State of Illinois) County of Cook)ss

We, GREMLEY & BIEDERMANN, INC. hereby certify that we have surveyed the above described property and that the plat hereon drawn is a correct representation of said survey corrected to a temperature of 62° Fahrenheit.

Field measurements completed on SEPTEMBER 20, 2012.

Signed on Scpt. 24, 2012.

Professional Illinois Land Surveyor No. <u>1802</u>

My license expires November 30, 2012

This professional service conforms to the current Illinois minimum standards for a boundary survey.