

# Office of the City Clerk



O2013-100

Office of the City Clerk

# City Council Document Tracking Sheet

Meeting Date:

Sponsor(s):

Type:

Title:

Committee(s) Assignment:

1/17/2013

Emanuel, Rahm (Mayor)

Ordinance

Use Agreements and Facility Leases at O'Hare International Airport for Spirit Airlines, Inc. Committee on Aviation



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### OFFICE OF THE MAYOR

### CITY OF CHICAGO

**RAHM EMANUEL** MAYOR

January 17, 2013

# TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Aviation, I transmit herewith ordinances authorizing the execution of Use Agreements and Facility Leases at O'Hare International Airport.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

KalEmanuel Mayor

### ORDINANCE

WHEREAS, The City of Chicago ("City") is a home rule unit of government as defined in Section 6(a) of Article VII of the 1970 Constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, The City owns and operates, through the Chicago Department of Aviation, an airport known as Chicago O'Hare International Airport ("Airport") and has the authority to lease premises and facilities thereon and to grant other rights and privileges; and

WHEREAS, Spirit Airlines, Inc. ("Spirit") desires to operate at the Airport pursuant to the Amended and Restated Airport Use Agreement and Terminal Facilities Lease ("Use Agreement") as approved by the City Council of the City of Chicago on February 5, 2003 (CJP pages 103249 - 103443; and

WHEREAS, the Chicago Department of Aviation desires to enter into Use Agreements as approved by the City Council of the City of Chicago on February 5, 2003 (CJP pages 103249 - 103443) in the future with other airlines at O'Hare to allow them to commence service upon receipt of all necessary Federal approvals; now, therefore,

Be It Ordained by the City Council of the City of Chicago:

SECTION 1. The recitals set forth above are herein incorporated by reference as if fully set forth herein.

SECTION 2. The Mayor is hereby authorized to execute, upon the recommendation of the Commissioner of the Chicago Department of Aviation ("Commissioner"), a Use Agreement with Spirit.

SECTION 3. The Mayor is further authorized to execute, upon recommendation of the Commissioner, Use Agreements with such other airlines as may be designated by the Commissioner in writing. Such authority shall expire on May 1, 2018.

SECTION 4. The Commissioner and such other City officials as may be required are authorized to take such actions and execute such other documents as may be necessary or desirable to implement the objectives of this ordinance.

SECTION 5. This Ordinance shall be effective immediately upon its passage and approval.

## CITY OF|CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. M the Applicant
  - OR
- [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:
   OR
- 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:

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B. Business address of the Disclosing Party:	800 Exercitive Way
M <sub>1</sub>	Irinmor, FL 33029
C. Telephone: <u>954-628-4839</u> Fax: <u>954-4</u>	47-7854 Email:
D. Name of contact person: DANIE CAME	D
E. Federal Employer Identification No. (if you have	•
F. Brief description of contract, transaction or other which this EDS pertains. (Include project number a	,
Use Agreement at ORT	2 Amport
G. Which City agency or department is requesting the	his EDS? (hliago Dept. of Aviation
If the Matter is a contract being handled by the C complete the following:	l l
Specification #	and Contract #

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Ver. 01-01-12

#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rty:
[] Person	[] Limited liability company
Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[]Yes []No
[] Trust	[] Other (please specify)
	; 

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes []No []N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. **NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Please see attached list	· · · · · · · · · · · · · · · · · · ·

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
As of G/3	30/12:	Disclosing Party
- Indiau Floridal	P-2525 Comelback RD. Ste 900 P	noom1xA2~ 13.73%
* - OCM Spirit Ha	Wings III-A, 12 (~3335 Grand Avinve	105 Arrycles (A~ 7.5% +
*Fe	Iswing a public offerme bated July	31 2012 OCM Spirit Holdmus III - ALLC owned no
sh	ates of common stork of spirit Al	alimes.
SECTION III	- BUSINESS RELATIONSHIPS	WITH CITY ELECTED OFFICIALS

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes []No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s): h / / h

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)

**Business** Address

Relationship to Disclosing Party Fees (indicate whether (subcontractor, attorney, lobbyist, etc.)

paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V -- CERTIFICATIONS**

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] No person directly or indirectly owns 10% or more of the No.No []Yes Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[]Yes []No

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents"). Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

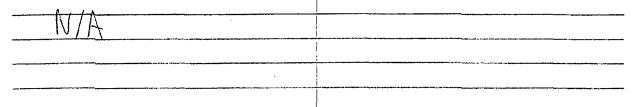
- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:



If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

r / A		
N/A	!	
<u> </u>	1	

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

• / / A	
N/A	
· · · · · · · · · · · · · · · · · · ·	

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[]is 🏹 is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]Yes

6 No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes []No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
	·····	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 $\times$  1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Yes []No

If "Yes," answer the three questions below:

Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)
 [] Yes
 [] No
 [] No
 [] Yes
 [] No
 [] No
 [] No
 [] Yes
 [] No
 [] No
 [] Yes
 [] No
 [] No
 [] No
 [] Yes
 [] No
 [] No
 [] No
 [] No
 [] No
 [] Yes
 [] No
 [] No
 [] Yes
 [] No
 [] No
 [] No
 [] No
 [] Yes
 [] No
 []

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

[]Yes []No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[]Yes []No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entitics will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party) By: \_\_\_\_\_ A h\_\_\_\_\_ (Sign here) (Print or type name of person signing) (Print or type title of person signing) Signed and sworn to before me on (date) 💧 at Broward County, Horida (state) Notary Public Ste Rema R Fisher Notary Public. ly Commission EE002041 6/17/2014 Commission expires:

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes []No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# SPIRIT AIRLINES, INC. As of October 12, 2012

# **Register of Officers:**

Name	Title
Baldanza, B. Ben	President and Chief Executive Officer
Biffle, Barry	Executive Vice President and Chief Marketing Officer
Ted Christie	Senior Vice President and Chief Financial Officer
Guy Borowski	Vice President, Technical Services
Canfield, Thomas	Senior Vice President, General Counsel & Secretary
Filene, Jake	Vice President, Airport Services
Houghton, Joseph	Vice President, Flight Operations
Lefebvre, Tony	Senior Vice President and Chief Operating Officer
Lynde, James M	Senior Vice President – Human Resources
Maccubbin, Craig	Vice President and Chief Information Officer
Miranda, Edmundo	Vice President and Controller
Parker, Graham	Vice President, Revenue Management and Pricing
Rue, Charles	Vice President, Supply Chain and Operations Support
Scott Haralson	Vice President, Financial Planning and Analysis

# **Register of Directors:**

William A. Franke	Director, Chairman of the Board	Stuart I. Oran	Director
<b>B. Ben Baldanza (</b> CEO)	Director	H. McIntyre Gardner	Director
John R. Wilson	Director	David G. Elkins	Director
Robert D. Johnson	Director	Barclay Jones III	Director
Horacio Scapparone	Director		

Business address for all those above: 2800 Executive Way Miramar, Florida 33025 954-447-7979

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Indigo Florida LP. Check ONE of the following three boxes: Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR 2. X a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Spirit Airlines, Inc. OR 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: 2525 E. Camelback Rd., Suite goc B. Business address of the Disclosing Party: Phoenix, AZ 85016 C. Telephone: 602 224 1500 Fax: 602 224 1555 Email: tgibson a indigopartne net D. Name of contact person: Terry Gibson E. Federal Employer Identification No. (if you have one): F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Spirit Airlines' Use Agreement at ORD airport G. Which City agency or department is requesting this EDS? Chicago Dept. of Aviation If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: NA and Contract # NA Specification # \_\_\_\_

Ver. 01-01-12

Page 1 of 13

### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

A. NATURE OF THE DISCLOSING PARTY	7
1. Indicate the nature of the Disclosing Par	-
[] Person	[ ] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[ ] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
Limited partnership	[]Yes []No
[] Trust	[] Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Cayman Islands

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

[]Yes []N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

Indigo Pacific Management L Indigo Pacific Capital L general Pacific Partners L Indiao (managing men aein

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
IPPLP" Indigo Pac	ific Parlmers LP	Disclosing Party 100 (limited pastner)
Havelock	Investments P.	100 (limited pastner) te. Ltd. * 99 (limited pastner of IPP
·····		• J
* indurect	by wholly owned by th	Government of Singapore
	V V V	WITH CITY ELECTED OFFICIALS
		nship," as defined in Chapter 2-156 of the Municipal hs before the date this EDS is signed?
[] Yes	м ио	
If yes, please ident relationship(s):	ify below the name(s) of such $\stackrel{ }{C}$	ity elected official(s) and describe such
SECTION IV D	ISCLOSURE OF SUBCONT	RACTORS AND OTHER RETAINED PARTIES
The Disclosing	Party must disclose the name and	business address of each subcontractor, attorney,
•		

Ine Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Page 3 of 13

Name (indicate whether retained or anticipated	Business Address	Relationship to Disclosing Party (subcontractor, attorney,	Fees (indicate whether paid or estimated.) NOTE:
to be retained)	11441055	lobbyist, etc.)	"hourly rate" or "t.b.d." is
NA.			not an acceptable response.

	i
	· · · · · · · · · · · · · · · · · · ·
	· · · · · · · · · · · · · · · · · · ·
(Add sheets if necessary)	

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

### SECTION V -- CERTIFICATIONS

🕅 No

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[]Yes

[] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[]Yes []No

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NA.

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is 🕅 is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

# D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? X No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

X No

[]Yes

[]Yes

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

The Disclosing Party further certifies that no prohibited financial interest in the Matter will 4. be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

· · · · · · · · · · · · · · · · · · ·

## SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

NA.	
· · · · · · · · · · · · · · · · · · ·	
	•

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1, and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[]Yes

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If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[]Yes []No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

[]Yes []No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[]Yes []No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

Page 11 of 13

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

## CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City. | By: INDIGO PACIFIC PARTNERS L.P.,

a Cayman Islands exempted limited

o Florida LP ppe name of Disclosing Party partnership, its general partner India (Print or By: INDIGO PACIFIC MANAGEMENT LP. A Cayman Islands exempted limited partnership, its general partner By: (Sign here) By: INDIGO PACIFIC CAPITAL LLC. a Delaware limited liability company, its general partner (Print or type name of person signing) By: INDIGO PACIFIC PARTNERS LLC, a Delaware limited, liability company, its sole member (Print or type title of person signing) By: Name: William A. Franke Signed and sworn to before me on (date) Managing Member lts: at Mancopa County, Notary Public. Commission expires: October 1, 2015 KELLY A. VILLANUEVA Notary Public - Arizona Page 12 of 13 Maricopa County Comm. Expires Oct 1, 20

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

### This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

No 🕅

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# FORM ADV

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# UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Prir	nary Business Name	INDIGO PARTNERS	LLC	CRD Number:
SEC	ERA Report, State E	RA Report - All Sectio	ons	Rev. 11/2011
WA	revocation of	•	iminal prosecution. You must	result in denial of your application, keep this form updated by filing periodic
Ite	n 1 Identifying Infor	mation		
Res	ponses to this Item tel	l us who you are, where	e you are doing business, and	how we can contact you.
Α.	Your full legal name ( INDIGO PARTNERS		tor, your last, first, and mide	lle names):
в.	Name under which yo INDIGO PARTNERS		r advisory business, if differe	ent from Item 1.A.:
	List on Section 1.B. of	<sup>e</sup> Schedule D <b>any additi</b> d	onal names under which you	conduct your advisory business.
C.		ng a change in your lega v whether the name cha		ry business name (Item 1.B.), enter the
	🗖 your legal name o	your primary busi	ness name:	
D.			nvestment adviser, your SEC porting adviser, your SEC file	
E.	If you have a number number:	("CRD Number") assign	ned by the FINRA's CRD syste	em or by the IARD system, your CRD
	If your firm does not officers, employees, c		ip this Item 1.E. Do not prov	ide the CRD number of one of your
F.	Principal Office and Pl	ace of Business		
	(1) Address (do not u Number and Stre 2525 E. CAMELB/	et 1: *	Number and Street 2: SUITE 900	•
	City: * PHOENIX	State: Arizona	Country: * UNITED STATES	ZIP+4/Postal Code: 85016-4278
	If this address is	a private residence, che	eck this box:	
	you conduct inve more state secur applying for regis	stment advisory busine: ities authorities, you mu tration or with whom ye	ss. If you are applying for reg ust list all of your offices in th ou are registered. If you are	I office and place of business, at which distration, or are registered, with one or the state or states to which you are applying for SEC registration, if you are exempt reporting adviser, list the largest

five offices in terms of numbers of employees.

	-	- · ·	-		-
	(2) Days of week	that you normally conduc	t business at your <i>prin</i> e	cipal office and place of business:	*
	🖸 Monday - I	Friday COther:	ł		
	•	ess hours at this location:	*		
	8 AM - 5 PM		i İ		
	(3) Telephone nu 602-224-150	mber at this location: * 0			
	(4) Facsimile nur 602-224-155	nber at this location: 5			
		-	1		
G.	Mailing address, i	f different from your <i>princi</i>	pal office and place of l	ousiness address:	
	Number and Stre	et 1:	Number and Str	eet 2:	
	City:	State:	Country:	ZIP+4/Postal Code:	
			1		
	If this address is	a private residence, check	this box:		
н.	business address		esidence address, if dir	ferent from your principal office a	na place or
	Number and Stre	•	Number and Str	ant 2	
	City:	State:	Country:	ZIP+4/Postal Code:	
	City.				Voc No
Ŧ	De you have one	or more websites? *			Yes No
I.	Do you have one	of more websites!			O O
	for all of the othe		ers may need to list mo	you may list the portal without lis re than one portal address. Do no m.	-
J.		the contact information fo		e Officer: If you are an <i>exempt re</i> e Officer, if you have one. If not,	
	Name:	N. Delow.	Other titles, if a		
	Telephone numb	or'	Facsimile numb		
	Number and Stre		Number and Str		
	City:	State:	Country:	ZIP+4/Postal Code:	
	City.	State.			
	Electronic mail (e	e-mail) address, if Chief Co	mpliance Officer has o	ne:	
к.	-			hief Compliance Officer is authoriz ay provide that information here.	ed to receive
	Name: TERRY GIBSON		Titles: FINANCE DIREC	TOR	
	Telephone numb 602-224-1504	er:	Facsimile numbe 602-224-1555	er:	
	Number and Stre	et 1:	Number and Str	eet 2:	
	2525 EAST CAME		SUITE 900		
	City:	State:	Country:	ZIP+4/Postal Code:	:
	PHOENIX	Arizona	UNITED STATES	-	
	•	e-mail) address, if contact GOPARTNERS.NET	person has one:		

: <b>L.</b>	Do you maintain some or all of the books and records you are required to keep under Section 204 of the Advisers Act, or similar state law, somewhere other than your <i>principal office and place of business</i> ?	o	O
	If "yes," complete Section 1.L. of Schedule D.		
		Yes	No
Μ.	Are you registered with a foreign financial regulatory authority? *	C	$\odot$
-	Answer "no" if you are not registered with a foreign financial regulatory authority, even if you have an affili is registered with a foreign financial regulatory authority. If "yes," complete Section 1.M. of Schedule D.	ate th	at
		Yes	No
N.	Are you a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934? *	С	۲
	If "yes," provide your CIK number (Central Index Key number that the SEC assigns to each public reporting company):	Yes	No
0.	Did you have \$1 billion or more in assets on the last day of your most recent fiscal year? *	0	O
Ρ.	Provide your Legal Entity Identifier if you have one:		
	A <i>legal entity identifier</i> is a unique number that companies use to identify each other in the financial marke the first half of 2011, the <i>legal entity identifier</i> standard was still in development. You may not have a <i>lega</i> <i>identifier</i> .		
SEC	TION 1.B. Other Business Names		
	No Information Filed		
SEC	CTION 1.F. Other Offices		
	No Information Filed		
SEC	CTION 1.I. Website Addresses		
	No Information Filed		
SEC	CTION 1.L. Location of Books and Records		
	No Information Filed		
SEC	CTION 1.M. Registration with Foreign Financial Regulatory Authorities		
	No Information Filed		

	<b>.</b>	-	-
Item 2 SEC Registratio	n/Reporting		
SEC Reporting by Exer	npt Reporting Advisers		
	2.B. only if you are reporting	g to the SEC as an <i>exempt re</i>	porting adviser. Check all that apply.
You:			
			ne or more venture capital funds;
		tion because you act solely a d States of less than \$150 m	s an adviser to <i>private funds</i> and have illion;
	•	s but you are no longer eligit United States of \$150 millior	ble to check box 2.B.(2) because you n or more.
If you check box	<b>xes (2) or (3), complete</b> Sec	tion 2.B. of Schedule D.	
State Securities Autho	rity Notice Filings and St	ate Reporting by Exempt I	Reporting Advisers
Form ADV and any a advisers may be req with the SEC. If this receive notice of this your notice filings or receive notice of this	amendments they file with the puired to provide state secur- is an initial application or re- s and all subsequent filings of reports to additional state( s and all subsequent filings of your notice filings or reports	ne SEC. These are called <i>noti</i> ities authorities with a copy of port, check the box(es) next or reports you submit to the s), check the box(es) next to or reports you submit to the	te securities authorities a copy of the ice filings. In addition, exempt reporting of reports and any amendments they file to the state(s) that you would like to SEC. If this is an amendment to direct the state(s) that you would like to SEC. If this is an amendment to your currently receive them, uncheck the box
Jurisdictions			
L AL	D ID	🗖 МО	D PA
🗂 AK	L IL	Г МТ	🗖 PR
AZ	🗖 IN	<b>E</b> NE	🗖 RI
AR	IA IA	D NV	🗖 sc
CA	Г KS	<b>F</b> NH	🗖 SD
Г СО	L KA	נא 🗖	TN
Г СТ		<b>Γ</b> NM	ΓΤΤΧ
DE	☐ ME		
DC	☐ MD		
, FL			
GA		П ОН	
GU		С ОК	MA WA
Г	☐ MS	D OR	
:			
receives them and y		state's notice filing or report	om going to a state that currently filing fee for the coming year, your
SECTION 2.B. Private I	<sup>-</sup> und Assets	· · · · · · · · · · · · · · · · · · ·	
		t of the <i>private fund</i> assets th	nat you manage? \$
			(m)-1. If you are an investment adviser clude <i>private fund</i> assets that you

manage at a place of business in the United States.

·····	•	
Item 3 F	orm of	Organization
A. How	are yo	u organized? *
C '	Corpora	ation
C	Sole Pr	oprietorship
~	Limited	Liability Partnership (LLP)
••**	Partner	
•,		Liability Company (LLC)
		Partnership (LP)
֥		
Ċ.	Other (	specify):
If yo	u are c	hanging your response to this Item, see Part 1A Instruction 4.
B. In w	hat mo	nth does your fiscal year end each year? *
DEC	EMBER	
		aws of what state or country are you organized?
		untry *
Nev	ada UN	IITED STATES
-		partnership, provide the name of the state or country under whose laws your partnership was formed. If ole proprietor, provide the name of the state or country where you reside.
If yo	ou are c	hanging your response to this Item, see Part 1A Instruction 4.
Item 6 C	)ther B	usiness Activities
		request information about your firm's other business activities.
A. You	are act	ively engaged in business as a (check all that apply):
	(1)	broker-dealer (registered or unregistered)
	(2)	registered representative of a broker-dealer
	(3)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
Γ	(4)	futures commission merchant
	(5)	real estate broker, dealer, or agent
	(6)	insurance broker or agent
	(7)	bank (including a separately identifiable department or division of a bank)
	(8)	trust company
	(9)	registered municipal advisor
	(10)	registered security-based swap dealer
	(11)	major security-based swap participant
	(12)	accountant or accounting firm
Г	(13)	lawyer or law firm
Г	(14)	other financial product salesperson (specify):
		ge in other business using a name that is different from the names reported in Items 1.A. or 1.B, ection 6.A. of Schedule D.

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			~	
3. (1)	Are yo advice	ou actively engaged in any other business not listed in Item 6.A. (other than giving inv e)? *	estment C	o
(2)	If yes	, is this other business your primary business?	C	0
		<i>s," describe this other business on</i> Section 6.8.(2) of Schedule D, and if you engage in <sup>-</sup> a different name, provide that name.	this business	
				s No
(3)	Do yo	ou sell products or provide services other than investment advice to your advisory clien	ts? * C	O
		<i>s," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in a different name, provide that name.</i>	this business	
ECTION	6.A.	Names of Your Other Businesses	Annon an an an an an an Ann <u>a</u> n an	
		No Information Filed		
ECTION	CP (	2) Description of Drimony Puriness		
		2) Description of Primary Business primary business (not your investment advisory business):		
Jeschbe	your p			
f you en	gage ir	n that business under a different name, provide that name:		• <b>u</b> -1
ECTION	6.B.(	3) Description of Other Products and Services		
Describe 5.B.(2) a		products or services you sell to your <i>client</i> , You may omit products and services that y	ou listed in Sec	tion
If you en	gage iı	n that business under a different name, provide that name.		
		· · · · · · · · · · · · · · · · · · ·		
tem 7 F	inanci	al Industry Affiliations and <i>Private Fund</i> Reporting		
		e request information about your financial industry affiliations and activities. This information conflicts of interest may occur between you and your <i>clients</i> .	nation identifie	:5
		f Item 7 requires you to provide information about you and your <i>related persons</i> , inclu 'our <i>related persons</i> are all of your <i>advisory</i> affiliates and any <i>person</i> that is under com		ith
	have a	related person that is a (check all that apply):		
	(1)	broker-dealer, municipal securities dealer, or government securities broker or dealer unregistered)	(registered or	
	(2)	other investment adviser (including financial planners)		
	(3)	registered municipal advisor		
	(4)	registered security-based swap dealer		
	(5)	major security-based swap participant		
	(6)	commodity pool operator or commodity trading advisor (whether registered or exem registration)	pt from	
	<b>(7)</b> <sup>.</sup>	futures commission merchant		
Π	(8)	banking or thrift institution		
Г	(9)	trust company		
	(10)	-		
	(11)	lawyer or law firm		

(12) insurance company or agency

(13) pension consultant

- (14) real estate broker or dealer
- (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
- (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206 (4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

B. Are you an adviser to any private fund? \*

Yes No

<u>o</u> o

If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instructions to Part 1A. If another adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D.

In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.

### **SECTION 7.A. Financial Industry Affiliations**

Complete a separate Schedule D Section 7.A. for each *related person* listed in Item 7.A.

- 1. Legal Name of *Related Person*: \* INDIGO HUNGARY MANAGEMENT LLC
- 2. Primary Business Name of Related Person: \* INDIGO HUNGARY MANAGEMENT LLC

3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)

or

Other

4. Related Person's CRD Number (if any):

					-	
	5.	Relat	ted Pe	rson is: (check all that apply) *		
		(a)		broker-dealer, municipal securities dealer, or government securities broker or dealer		
		(b)		other investment adviser (including financial planners)		
1		(c)		registered municipal advisor		
		(d)		registered security-based swap dealer		
		(e)		major security-based swap participant		
		(f)		commodity pool operator or commodity trading advisor (whether registered or exempt from regis	stratio	on)
		(g)		futures commission merchant		
		(h)		banking or thrift institution		
		(i)		trust company		
		(j)		accountant or accounting firm		
		(k)		lawyer or law firm		
		(I)		insurance company or agency		
		(m)		pension consultant		
ł		(n)		real estate broker or dealer		
i		(0)		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicle	es	
		(p)	$\mathbf{N}$	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
-	c	Dov	ou co	ntrol or are you controlled by the related person?	Yes	
	6.	<i>D</i> 0 γ			C	Θ
	7.	Are y	you ar	d the <i>related person</i> under common <i>control</i> ?	0	o
			_			
	8.	(a)		the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory ces you provide to <i>clients</i> ? *	O	0
		(b)		are registering or registered with the SEC and you have answered "yes," to question 8(a)	O	C
1				e, have you overcome the presumption that you are not operationally independent (pursuant to 206(4)-(2)(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise		•
:				ination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
		(c)		have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office possible for <i>custody</i> of your <i>clients'</i> assets:		
:			-	ber and Street 1: Number and Street 2:		
			City:			
			If thi	is address is a private residence, check this box: $\square$	Vac	No
	9.	(a)	If the	e related person is an investment adviser, is it exempt from registration?	Yes	0 O
1		(b)		e answer is yes, under what exemption?	•_/	•
:	10.	(a) (b)		e related person registered with a foreign financial regulatory authority ?	0	۲
		(b)		e answer is yes, list the name and country, in English, of each <i>foreign financial regulatory authorit</i> , In the <i>related person</i> is registered.	/ with	1
				No Information Filed		
: 1	11.	Do y	ou an	d the <i>related person</i> share any <i>supervised persons</i> ?	©	Ō
:	12.	Do v	ou an	d the <i>related person</i> share the same physical location?	~	~
i		,			<u>ون</u>	D

				-	
					<u> </u>
1.	-		e of <i>Related Person</i> : * IDONESIA MANAGMENT LLC		
2.		•	usiness Name of <i>Related Person</i> : * IDONESIA MANAGEMENT		
3.	Rela	ted Pe	erson's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er			
4.	Rela	ted Pe	erson's CRD Number (if any):		
5.	Rela	ted Pe	erson is: (check all that apply) *		
	(a)		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)		other investment adviser (including financial planners)		
	(c)		registered municipal advisor		
	(d)		registered security-based swap dealer		
	(e)		major security-based swap participant		
	(f)		commodity pool operator or commodity trading advisor (whether registered or exempt from regi	strati	on)
	(g)		futures commission merchant		
	(h)		banking or thrift institution		
	(i)		trust company		
	(j)		accountant or accounting firm		
	(k)		lawyer or law firm		
	(1)	Π	insurance company or agency		
	(m)		pension consultant		
	(n)		real estate broker or dealer		
	(0)		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicl	es	
	·(p)		sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	_			Yes	No
6.	Do y	'OU <i>CO</i> I	ntrol or are you controlled by the related person?	0	O
7.	Are	you ar	nd the <i>related person</i> under common <i>control</i> ?	©	0
8.	(a)	servi	the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory ces you provide to <i>clients</i> ? *	C	0
	(b)	abov rule 3	u are registering or registered with the SEC and you have answered "yes," to question 8(a) e, have you overcome the presumption that you are not operationally independent (pursuant to 206(4)-(2)(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise hination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	С	c

	(c)	If you	u have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office		
		respo	onsible for <i>custody</i> of your <i>clients</i> ' assets:		
· [			ber and Street 1: Number and Street 2:		
		City:			
		If thi	is address is a private residence, check this box: $\square$		
				fes	No
9.	(a)		e related person is an investment adviser, is it exempt from registration?	C	0
-	(b)	If the	e answer is yes, under what exemption?		
10	(a)	Is the	e related person registered with a foreign financial regulatory authority ?	-	
10	(b)		e answer is yes, list the name and country, in English, of each foreign financial regulatory authority	C) with	ि
	(0)		the related person is registered.	WILI	
			No Information Filed		
11	. Do y	ou and	d the <i>related person</i> share any <i>supervised persons</i> ?	©	Ó
12	. Do y	ou an	d the <i>related person</i> share the same physical location?	$\odot$	O
;					
1.	Leoz	al Nam	e of Related Person: *		
	-		ATAM MANAGEMENT LLC		
2.			usiness Name of Related Person: *		
	IND	IGO LA	ATAM MANAGEMENT LLC		
3.	Rela	ited Pe	erson's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-				
-	or				
	Othe	er			
4.	Rela	ted Pe	erson's CRD Number (if any):		
5.	Rela	ted Pe	erson is: (check all that apply) *		
	(a)		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)		other investment adviser (including financial planners)		
	(c)				
	(d)		registered municipal advisor		
			registered security-based swap dealer		-
	(e)		major security-based swap participant		
•	(f)		commodity pool operator or commodity trading advisor (whether registered or exempt from regist	rati	ön)
	(g)	Π	futures commission merchant		
	(h)	· 🗖	banking or thrift institution		
· 1		<b></b>	trust company		
	(i)	<b>.</b>			
	(i) (i)				
	(j)		accountant or accounting firm		

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		(m)		pension consultant		
		(n)		real estate broker or dealer		
		(0)	Г	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		(p)	V	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		*****
					es	No
	6.	Do y	ou <i>cor</i>	ntrol or are you controlled by the related person?	0	•
	7.	Are y	/ou an	nd the <i>related person</i> under common <i>control</i> ?	C	0
	8.	(a)		the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory ces you provide to <i>clients</i> ? *	C	©
		(b)	above rule 2 exam	e, have you overcome the presumption that you are not operationally independent (pursuant to 206(4)-(2)(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise ination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	o
		(c)	respo	u have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office onsible for <i>custody</i> of your <i>clients'</i> assets:		
			Num City:	ber and Street 1: Number and Street 2: State: Country: ZIP+4/Postal Code:		
				is address is a private residence, check this box: $\Box$		-
					es	No
	9.	(a)	If the	e related person is an investment adviser, is it exempt from registration?	o	o
		(b)	If the	e answer is yes, under what exemption?		
	10.	(a)	Is the	e related person registered with a foreign financial regulatory authority ?	n	0
		-	If the	e answer is yes, list the name and country, in English, of each <i>foreign financial regulatory authority</i> with the <i>related person</i> is registered.	- <u>.</u>	
				No Information Filed		
	11.	Do y	ou and	d the <i>related person</i> share any <i>supervised persons</i> ?	0	o
	12.	Do y	ou and	d the <i>related person</i> share the same physical location?	0	C
ie ie			· · · · · · ·			
	1.	-		ne of <i>Related Person</i> : * ANAGEMENT LLC		
	2.			usiness Name of <i>Related Person</i> : * ANAGEMENT LLC		
	3.	Relai -	ted Pe	erson's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		or Othe	r			
	4.	Rela	ted Pe	erson's CRD Number (if any):		
	5.	Rela	ted Pe	erson is: (check all that apply) *		

		(a)		broker-dealer, municipal securities dealer, or government securities broker or dealer		
		(b)		other investment adviser (including financial planners)		
		(c)		registered municipal advisor		
		(d)	Γ	registered security-based swap dealer		
		(e)		major security-based swap participant		
		(f)		commodity pool operator or commodity trading advisor (whether registered or exempt from regist	tratio	on)
		(g)		futures commission merchant		
		(h)		banking or thrift institution		
		(i)		trust company		
		(j)		accountant or accounting firm		
		(k)		lawyer or law firm		
		(I)		insurance company or agency		
		(m)		pension consultant		
		(n)		real estate broker or dealer		
		(0)	Π	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicle	s	
		(p)	Ø	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		!
		<b>D</b>			Yes	No
	6.	Do yo	ou cor	ntrol or are you controlled by the related person?	C	O
	7.	Are y	ou an	d the <i>related person</i> under common <i>control</i> ?	©	0
	8.	•••		the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory ces you provide to <i>clients</i> ? *	С	۲
The second secon			above rule 2	are registering or registered with the SEC and you have answered "yes," to question 8(a) e, have you overcome the presumption that you are not operationally independent (pursuant to 206(4)-(2)(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise ination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	c	o
		• •	•	have answered "yes" to question 8.(a) above, provide the location of the related person's office		
			-	nsible for <i>custody</i> of your <i>clients</i> ' assets: ber and Street 1: Number and Street 2:		
			City:	State: Country: ZIP+4/Postal Code:		
			If thi	s address is a private residence, check this box: $lacksquare$		
	9.	(a)	If the	related person is an investment adviser, is it exempt from registration?	Yes	-
	2.			answer is yes, under what exemption?	C	O
		• •				
	10.	•••		e related person registered with a foreign financial regulatory authority ?	C	Θ
				answer is yes, list the name and country, in English, of each <i>foreign financial regulatory authority</i> a the <i>related person</i> is registered.	with	i
			••••	No Information Filed		
	11.	Do yo	ou and	d the <i>related person</i> share any <i>supervised persons</i> ?	0	O
	12.	Do ve	ou and	d the <i>related person</i> share the same physical location?	c	~
		- /		, , , , , , , , , , , , , , , , , , , ,	O	D

1.	-		ne of <i>Related Person</i> : * ACIFIC MANAGEMENT LP	16 - 1999, <b>1999, 1999, 1999, 1999, 1999, 1999, 1999, 1999</b> , 1999, 1999, 1999, 1999, 1999, 1999, 1999, 1999, 1999
2.		•	usiness Name of <i>Related Person</i> : * ACIFIC MANAGEMENT LP	
3.	. Rela -	ted Pe	erson's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)	
	or Othe	er		-
4.	Rela	ted Pé	erson's CRD Number (if any):	
	Dala	to d Da	ereen iet (cheek all that apply) *	
5.	(a)		erson is: (check all that apply) *	
	(b)		broker-dealer, municipal securities dealer, or government securities broker or dealer	
	(c)		other investment adviser (including financial planners)	
	(d)		registered municipal advisor registered security-based swap dealer	
-	(e)		major security-based swap participant	
	(f)		commodity pool operator or commodity trading advisor (whether registered or exempt from registrat	tion)
	(g)		futures commission merchant	.1011)
	(h)		banking or thrift institution	
	<b>(i)</b> .		trust company	
-	(j)		accountant or accounting firm	
-	(k)		lawyer or law firm	
	(I)		insurance company or agency	
	(m)		pension consultant	
	(n)		real estate broker or dealer	-
	(0)		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	
	(p)	V	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	
-				s No
6	. Do y	ou co	ntrol or are you controlled by the related person?	$\odot$
7.	. Are	you ar	nd the <i>related person</i> under common <i>control</i> ?	ō
8	. (a)		the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory cices you provide to <i>clients</i> ? *	o
	(b)	abov rule	The are registering or registered with the SEC and you have answered "yes," to question 8(a) (re, have you overcome the presumption that you are not operationally independent (pursuant to $206(4)-(2)(d)(5)$ ) from the <i>related person</i> and thus are not required to obtain a surprise mination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	C
	(c)	-	u have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office onsible for <i>custody</i> of your <i>clients'</i> assets:	

Number and Street 1:       Number and Street 2:         City:       State:       Country:       ZIP+4/Postal Code:         If this address is a private residence, check this box:       Image: Comparison of the collated person is an investment adviser, is it exempt from registration?       Image: Comparison of the collated person is an investment adviser, is it exempt from registration?       Image: Comparison of the collated person registered with a foreign financial regulatory authority?       Image: Comparison of the collated person registered with a foreign financial regulatory authority with which the related person is registered.         No       Information Filed       Image: Comparison of the collated person?       Image: Comparison of th	11					•	-	
If this address is a private residence, check this box:  Yee No (a) If the <i>related person</i> is an investment adviser, is it exempt from registration? (b) If the answer is yes, under what exemption? (c)					!			
Yes No         9. (a) If the related person is an investment adviser, is it exempt from registration?       C       C         (b) If the answer is yes, under what exemption?       C       C         10. (a) Is the related person registered with a foreign financial regulatory authority?       C       C         (b) If the answer is yes, list the name and country, in English, of each foreign financial regulatory authority with which the related person is registered.       No information Filed         11. Do you and the related person share the same physical location?       C       C         12. Do you and the related Person: *       No information Filed       C         13. Legal Name of Related Person: *       INDIGO RUSSIA MANAGEMENT LLC       C         14. Legal Name of Related Person: *       INDIGO RUSSIA MANAGEMENT LLC         15. Primary Business Name of Related Person: *       INDIGO RUSSIA MANAGEMENT LLC         16. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)       -         17. Cher       -       -         18. Related Person's CRD Number (if any):       -       -         19. other investment adviser (including financial planners)       -       -         (c)       registered security-based swap participant       -       -         (d)       registered security-based swap participant       -       -       -					-	ZIP+4/Postal Code.		
9. (a) If the related person is an investment adviser, is it exempt from registration?       C       C         (b) If the answer is yes, under what exemption?       C       C       C         10. (a) Is the related person registered with a foreign financial regulatory authority ?       C       C       C         (c) If the answer is yes, list the name: and courty, in English, of each foreign financial regulatory authority with which the related person share any supervised persons?       C       C       C         11. Do you and the related person share any supervised persons?       C       C       C       C         12. Do you and the related person share the same physical location?       C       C       C         11. Legal Name of Related Person: *       INDIGO RUSSIA MANAGEMENT LLC       C       C         2. Primary Business Name of Related Person: *       INDIGO RUSSIA MANAGEMENT LLC       C       C         3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)       C       C         or       Other.       C       C       C         4. Related Person's CRD Number (if any):       C       C       C         5. Related Person's CRD Number (if any):       C       C       C         6. C       C       C       C       C         7. G       C       C       C			11 (1)	s address is a private residence, theck this			Yes	No
<ul> <li>(b) if the answer is yes, under what exemption?</li> <li>(c) is the related person registered with a foreign financial regulatory authority?</li> <li>(c) if the answer is yes, list the name and country, in English, of each foreign financial regulatory authority with the related person is registered. No information Filed</li> <li>11. Do you and the related person share any supervised persons?</li> <li>(c) c</li> <l< td=""><td>9.</td><td>(a)</td><td>If the</td><td>e related person is an investment adviser, is</td><td>it exempt from rec</td><td>gistration?</td><td></td><td></td></l<></ul>	9.	(a)	If the	e related person is an investment adviser, is	it exempt from rec	gistration?		
(b) If the answer is yes, list the name and country, in English, of each foreign financial regulatory authority with which the related person is registered.       No Information Filed         11. Do you and the related person share any supervised persons?       C         12. Do you and the related person share the same physical location?       C         1. Legal Name of Related Person: * INDIGO RUSSIA MANAGEMENT LLC       C         2. Primary Business Name of Related Person: * INDIGO RUSSIA MANAGEMENT LLC       C         3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)       -         or       -         Other       -         4. Related Person is: (check all that apply) *         (a)       □         broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       □         (c)       registered security-based swap dealer         (a)       □         broker-dealer, municipal advisor         (d)       □         (e)       □         other investment adviser (including financial planners)         (c)       □         (d)       □         registered security-based swap dealer         (e)       □         (f)       □         (g)       □         (h) </td <td></td> <td>(b)</td> <td>If the</td> <td>answer is yes, under what exemption?</td> <td></td> <td></td> <td><b>-</b></td> <td></td>		(b)	If the	answer is yes, under what exemption?			<b>-</b>	
(b) If the answer is yes, list the name and country, in English, of each foreign financial regulatory authority with which the related person is registered.       No Information Filed         11. Do you and the related person share any supervised persons?       C         12. Do you and the related person share the same physical location?       C         1. Legal Name of Related Person: * INDIGO RUSSIA MANAGEMENT LLC       C         2. Primary Business Name of Related Person: * INDIGO RUSSIA MANAGEMENT LLC       C         3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)       -         or       -         Other       -         4. Related Person is: (check all that apply) *         (a)       □         broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       □         (c)       registered security-based swap dealer         (a)       □         broker-dealer, municipal advisor         (d)       □         (e)       □         other investment adviser (including financial planners)         (c)       □         (d)       □         registered security-based swap dealer         (e)       □         (f)       □         (g)       □         (h) </td <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>								
which the related person is registered.   No Information Filed   11. Do you and the related person share any supervised persons?   (C)   12. Do you and the related person share the same physical location?   (C)   12. Do you and the related person share the same physical location?   (C)   11. Legal Name of Related Person: *   INDIGO RUSSIA MANAGEMENT LLC   2. Primary Business Name of Related Person: *   INDIGO RUSSIA MANAGEMENT LLC   3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)   -   or   Other   4. Related Person's CRD Number (if any):   5. Related Person is: (check all that apply) *   (a)   (b)   other investment adviser (including financial planners)   (c)   (d)   registered scurity-based swap participant   (f)   (g)   (g)   (g)   (h)	10.						O	
No Information Filed         11. Do you and the <i>related person</i> share any <i>supervised persons</i> ?       ©       ©         12. Do you and the <i>related person</i> share the same physical location?       ©       ©         12. Do you and the <i>related person</i> share the same physical location?       ©       ©         14. Legal Name of <i>Related Person</i> : *       INDIGO RUSSIA MANAGEMENT LLC          15. Primary Business Name of <i>Related Person</i> : *       INDIGO RUSSIA MANAGEMENT LLC         26. Primary Business Name of <i>Related Person</i> : *       INDIGO RUSSIA MANAGEMENT LLC         37. <i>Related Person</i> 's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)       -         or       Other       -         4. <i>Related Person</i> 's <i>CRD</i> Number (if any):       -         5. <i>Related Person</i> is: (check all that apply) *       -         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financia) planners)       -         (c)       registered municipal advisor       -         (d)       registered swap participant       -         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant       -         (h)       banking or thrift institution		(D)			h English, of each f	oreign financial regulatory authorit	ty with	וה
<ul> <li>12. Do you and the <i>related person</i> share the same physical location?</li> <li>(C) C</li> <li>(C) C<td></td><td></td><td></td><td></td><td>Information Filed</td><td></td><td></td><td></td></li></ul>					Information Filed			
<ul> <li>Legal Name of <i>Related Person</i>: * INDIGO RUSSIA MANAGEMENT LLC</li> <li>Primary Business Name of <i>Related Person</i>: * INDIGO RUSSIA MANAGEMENT LLC</li> <li><i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other</li> <li><i>Related Person's CRD</i> Number (if any):</li> <li><i>c</i> inter investment adviser (including financial planners)</li> <li>(c) <ul> <li>registered municipal advisor</li> <li>registered security-based swap dealer</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>futures commission merchant</li> <li>banking or thrift institution</li> <li>trust company</li> <li>accountant or accounting firm</li> <li>lawyer or law firm</li> <li>insurance company or agency</li> </ul> </li> </ul>	11.	Do y	ou an	d the <i>related person</i> share any <i>supervised</i> <b>µ</b>	ersons?		©	o
<ul> <li>Legal Name of <i>Related Person</i>: * INDIGO RUSSIA MANAGEMENT LLC</li> <li>Primary Business Name of <i>Related Person</i>: * INDIGO RUSSIA MANAGEMENT LLC</li> <li><i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other</li> <li><i>Related Person's CRD</i> Number (if any):</li> <li><i>c</i> inter investment adviser (including financial planners)</li> <li>(c) <ul> <li>registered municipal advisor</li> <li>registered security-based swap dealer</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>futures commission merchant</li> <li>banking or thrift institution</li> <li>trust company</li> <li>accountant or accounting firm</li> <li>lawyer or law firm</li> <li>insurance company or agency</li> </ul> </li> </ul>	10	Β.						
INDIGO RUSSIA MANAGEMENT LLC         2. Primary Business Name of Related Person: *         INDIGO RUSSIA MANAGEMENT LLC         3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)         or         Other         4. Related Person's CRD Number (if any):         5. Related Person is: (check all that apply) *         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap dealer         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (i)       trust company         (i)       accountant or accounting firm         (k)       lawyer or law firm         (i)       insurance company or agency	12.	Do y	ou an	d the <i>related person</i> share the same physic			$\odot$	O
INDIGO RUSSIA MANAGEMENT LLC         2. Primary Business Name of Related Person: *         INDIGO RUSSIA MANAGEMENT LLC         3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)         or         Other         4. Related Person's CRD Number (if any):         5. Related Person is: (check all that apply) *         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap dealer         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (i)       trust company         (i)       accountant or accounting firm         (k)       lawyer or law firm         (i)       insurance company or agency	ļ					· · · · · · · · · · · · · · · · · · ·		
INDIGO RUSSIA MANAGEMENT LLC         2. Primary Business Name of Related Person: *         INDIGO RUSSIA MANAGEMENT LLC         3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)         or         Other         4. Related Person's CRD Number (if any):         5. Related Person is: (check all that apply) *         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap dealer         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (i)       trust company         (i)       accountant or accounting firm         (k)       lawyer or law firm         (i)       insurance company or agency				i.		· ·		
<ul> <li>2. Primary Business Name of <i>Related Person:</i> * INDIGO RUSSIA MANAGEMENT LLC</li> <li>3. <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)</li></ul>	1.	-			, 1 1			-
INDIGO RUSSIA MANAGEMENT LLC         3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)         or         Other         4. Related Person's CRD Number (if any):         5. Related Person is: (check all that apply) *         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap participant         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (i)       trust company         (j)       accountant or accounting firm         (k)       lawyer or law firm         (i)       insurance company or agency		INDI	00 1					
<ul> <li>3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)</li> <li>or Other</li> <li>4. Related Person's CRD Number (if any):</li> <li>5. Related Person is: (check all that apply) * <ul> <li>(a)</li> <li>broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>(b)</li> <li>other investment adviser (including financial planners)</li> <li>(c)</li> <li>registered municipal advisor</li> <li>(d)</li> <li>registered security-based swap dealer</li> <li>(e)</li> <li>major security-based swap participant</li> <li>(f)</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>(g)</li> <li>futures commission merchant</li> <li>(h)</li> <li>banking or thrift institution</li> <li>(i)</li> <li>trust company</li> <li>(j)</li> <li>accountant or accounting firm</li> <li>(k)</li> <li>lawyer or law firm</li> <li>(i)</li> </ul> </li> </ul>	2.					· · ·		
or Other 4. Related Person's CRD Number (if any): 5. Related Person is: (check all that apply) * (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) broker-dealer, municipal securities dealer, or government securities broker or dealer (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (i) insurance company or agency		INDI	go r	JSSIA MANAGEMENT LLC				
or         Other         4. Related Person's CRD Number (if any):         5. Related Person is: (check all that apply) *         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap participant         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (i)       trust company         (j)       accountant or accounting firm         (k)       lawyer or law firm         (ii)       insurance company or agency	3.	Relat	ted Pe	rson's SEC File Number (if any) (e.g., 801-	, 8-, 866-, 802-)			
Other         4. Related Person's CRD Number (if any):         5. Related Person is: (check all that apply) *         (a)       broker-dealer, municipal securities dealer, or government securities broker or dealer         (b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap participant         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (i)       trust company         (j)       accountant or accounting firm         (k)       lawyer or law firm         (i)       insurance company or agency		-						
<ul> <li>4. Related Person's CRD Number (if any):</li> <li>5. Related Person is: (check all that apply) * <ul> <li>(a)</li> <li>broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>(b)</li> <li>other investment adviser (including financial planners)</li> <li>(c)</li> <li>registered municipal advisor</li> <li>(d)</li> <li>registered security-based swap dealer</li> <li>(e)</li> <li>major security-based swap participant</li> <li>(f)</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>(g)</li> <li>futures commission merchant</li> <li>(h)</li> <li>banking or thrift institution</li> <li>(i)</li> <li>trust company</li> <li>(j)</li> <li>accountant or accounting firm</li> <li>(k)</li> <li>lawyer or law firm</li> <li>(i)</li> </ul></li></ul>			-		·			
<ul> <li>5. Related Person is: (check all that apply) * <ul> <li>(a)</li> <li>broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>other investment adviser (including financial planners)</li> <li>(c)</li> <li>registered municipal advisor</li> <li>(d)</li> <li>registered security-based swap dealer</li> <li>(e)</li> <li>major security-based swap participant</li> <li>(f)</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>(g)</li> <li>futures commission merchant</li> <li>(h)</li> <li>banking or thrift institution</li> <li>(i)</li> <li>trust company</li> <li>accountant or accounting firm</li> <li>lawyer or law firm</li> <li>(i)</li> <li>insurance company or agency</li> </ul></li></ul>		othe	1.			,		
<ul> <li>broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>other investment adviser (including financial planners)</li> <li>registered municipal advisor</li> <li>registered security-based swap dealer</li> <li>registered security-based swap participant</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>futures commission merchant</li> <li>banking or thrift institution</li> <li>trust company</li> <li>accountant or accounting firm</li> <li>lawyer or law firm</li> <li>insurance company or agency</li> </ul>	4.	Relat	ted Pe	erson's CRD Number (if any):				
<ul> <li>broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>other investment adviser (including financial planners)</li> <li>registered municipal advisor</li> <li>registered security-based swap dealer</li> <li>registered security-based swap participant</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>futures commission merchant</li> <li>banking or thrift institution</li> <li>trust company</li> <li>accountant or accounting firm</li> <li>lawyer or law firm</li> <li>insurance company or agency</li> </ul>					1			
<ul> <li>broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>other investment adviser (including financial planners)</li> <li>registered municipal advisor</li> <li>registered security-based swap dealer</li> <li>registered security-based swap participant</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>futures commission merchant</li> <li>banking or thrift institution</li> <li>trust company</li> <li>accountant or accounting firm</li> <li>lawyer or law firm</li> <li>insurance company or agency</li> </ul>	5.	Relat	ted Pe	prson is: (check all that apply) *				
(b)       other investment adviser (including financial planners)         (c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap participant         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (i)       trust company         (j)       accountant or accounting firm         (k)       lawyer or law firm         (i)       insurance company or agency				· · · · · · · · · · · · · · · · · · ·	or government sec	urities broker or dealer		
(c)       registered municipal advisor         (d)       registered security-based swap dealer         (e)       major security-based swap participant         (f)       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       futures commission merchant         (h)       banking or thrift institution         (i)       trust company         (j)       accountant or accounting firm         (k)       lawyer or law firm         (i)       insurance company or agency		(b)				· · · · · · · · · · · · · · · · · · ·		
(d)       □       registered security-based swap dealer         (e)       □       major security-based swap participant         (f)       □       commodity pool operator or commodity trading advisor (whether registered or exempt from registration)         (g)       □       futures commission merchant         (h)       □       banking or thrift institution         (i)       □       trust company         (j)       □       accountant or accounting firm         (k)       □       lawyer or law firm         (l)       □       insurance company or agency		(c)		· ·				
<ul> <li>(e) major security-based swap participant</li> <li>(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>(g) futures commission merchant</li> <li>(h) banking or thrift institution</li> <li>(i) trust company</li> <li>(j) accountant or accounting firm</li> <li>(k) lawyer or law firm</li> <li>(l) insurance company or agency</li> </ul>		(d)		-				
<ul> <li>(f) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>(g) futures commission merchant</li> <li>(h) banking or thrift institution</li> <li>(i) trust company</li> <li>(j) accountant or accounting firm</li> <li>(k) lawyer or law firm</li> <li>(l) insurance company or agency</li> </ul>		(e)						
<ul> <li>(g) [ futures commission merchant</li> <li>(h) [ banking or thrift institution</li> <li>(i) [ trust company</li> <li>(j) [ accountant or accounting firm</li> <li>(k) [ lawyer or law firm</li> <li>(l) [ insurance company or agency</li> </ul>		(f)			ding advisor (what	hor registered or exempt from reg	ictrati	00)
<ul> <li>(h) banking or thrift institution</li> <li>(i) trust company</li> <li>(j) accountant or accounting firm</li> <li>(k) lawyer or law firm</li> <li>(l) insurance company or agency</li> </ul>						nel registered of exempt from reg	isti ati	
<ul> <li>(i) □ trust company</li> <li>(j) □ accountant or accounting firm</li> <li>(k) □ lawyer or law firm</li> <li>(l) □ insurance company or agency</li> </ul>				·				
<ul> <li>(j) □ accountant or accounting firm</li> <li>(k) □ lawyer or law firm</li> <li>(l) □ insurance company or agency</li> </ul>		-		-	3			
<ul> <li>(k) □ lawyer or law firm</li> <li>(l) □ insurance company or agency</li> <li>(m) □</li> </ul>	-							
(I) I insurance company or agency				_				
(m)								1
VIII Juin pension consultant								1
		(11)	<b></b> i	pension consultant	1			-

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		(n)		real estate broker or dealer		
		(0)		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicle	es	
		(p)	N	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
					Yes	No
:	6.	Do y	ou <i>coi</i>	ntrol or are you controlled by the related person?	О	$\odot$
	-	A				
	7.	Are	you ar	d the <i>related person</i> under common <i>control</i> ?	©	O
	8.	(a)		the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory ces you provide to <i>clients</i> ? *	О	٥
		(b)	•	are registering or registered with the SEC and you have answered "yes," to question 8(a)	0	0
			rule 2	e, have you overcome the presumption that you are not operationally independent (pursuant to 206(4)-(2)(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise ination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		
		(c)	•	have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office onsible for <i>custody</i> of your <i>clients'</i> assets:		
				ber and Street 1: Number and Street 2:		
• •			City:			
			If thi	s address is a private residence, check this box: $\square$	V	Na
	9.	(a)	If the	e related person is an investment adviser, is it exempt from registration?	Yes C	NO O
:		(b)		answer is yes, under what exemption?	<b>\$</b> ?	
	10.			e related person registered with a foreign financial regulatory authority ?	C	Θ
		(b)		e answer is yes, list the name and country, in English, of each <i>foreign financial regulatory authority</i> In the <i>related person</i> is registered.	with	ו
				No Information Filed		
	11.	Do y	ou an	d the <i>related person</i> share any <i>supervised persons</i> ?	⊙	o
	12.	Do y	ou an	d the <i>related person</i> share the same physical location?	Θ	O
-	1.	-		e of Related Person: *		
:		נטאו	IGO SI	NGAPORE LLC		
	2.	Prim	ary Bu	usiness Name of <i>Related Person</i> : *		
• •		IND	[GO S]	INGAPORE LLC		
1	3.	Rela	ted Pe	rson's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
		-				
-		or				
1		Othe	er			
	4.	Rela	ted Pe	erson's CRD Number (if any):		
	5.	Rela	ted Pe	rson is: (check all that apply) *		
		(a)		broker-dealer, municipal securities dealer, or government securities broker or dealer		

.

		(b)		other investment adviser (including financial planners)		
		(c)		registered municipal advisor		
		(d)	Π	registered security-based swap dealer		
		(e)	Γ	major security-based swap participant		
		(f)		commodity pool operator or commodity trading advisor (whether registered or exempt from regis	tratio	on)
		(g)		futures commission merchant		
		(h)	Γ	banking or thrift institution		
		(i)		trust company		
		.(j)		accountant or accounting firm		
		(k)		lawyer or law firm		
		(I)	Γ	insurance company or agency		
		(m)		pension consultant		
		(n)		real estate broker or dealer		
		(0)		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicle	es	
		(p)	2	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
					Yes	No
	6.	Do yo	ou cor	ntrol or are you controlled by the related person?	C	0
	7.	Are y	ou an	d the <i>related person</i> under common <i>control</i> ?	o	D
	8.			the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory ces you provide to <i>clients</i> ? *	C	0
			above rule 2	are registering or registered with the SEC and you have answered "yes," to question 8(a) e, have you overcome the presumption that you are not operationally independent (pursuant to 206(4)-(2)(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise ination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	C	O
		(c)		have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office onsible for <i>custody</i> of your <i>clients'</i> assets:		
				ber and Street 1: Number and Street 2:		
			City:			
			IT TH	s address is a private residence, check this box: $\square$	Yes	No
•	9.	(a)	If the	related person is an investment adviser, is it exempt from registration?	0	0
		(b)	If the	answer is yes, under what exemption?		
	10	(-)	In the	related person registered with a foreign financial regulatory outbarity 2	_	
	10.		•	e <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	C with	0
		(9)		the related person is registered.	****	
:		_		No Information Filed		
•	11.	Do y	ou and	d the <i>related person</i> share any <i>supervised persons</i> ?	©	C
	12.	Do y	ou and	d the <i>related person</i> share the same physical location?	©	C
	ا کینی میں	an a	سند ننسف			ليدري

			~
EC	TION 7.B.(1) Private Fund Reporting		
A. P	PRIVATE FUND		
		1	
Inf	ormation About the Private Fund		
1.	<ul> <li>(a) Name of the <i>private fund</i>: * <ul> <li>INDIGO HUNGARY LP</li> </ul> </li> <li>(b) <i>Private fund</i> identification number: * <ul> <li>(include the "805-" prefix also)</li> <li>805-1024737852</li> </ul> </li> </ul>		
_			
2.	Under the laws of what state or country is the priv State: Country: * CAYMAN ISLANDS	vate fund	d organized:
3.	Name(s) of General Partner, Manager, Trustee, or		
		tner, Ma	anager, Trustee, or Director
	INDIGO HUNGARY MANAGEMENT LLC		
4.	The <i>private fund</i> (check all that apply; you must of (1) qualifies for the exclusion from the definition of the the theorem of theorem of the theorem of the theorem of theorem of theore	ļ	least one): * nvestment company under section 3(c)(1) of the
	$\square$ (2) qualifies for the exclusion from the definitive for the the exclusion from the definition of 1940 (2) (2) (2) (2) (2) (2) (2) (2) (2) (2)	ition of i	nvestment company under section 3(c)(7) of the
5.	registered.	··	<i>ncial regulatory authority</i> with which the <i>private fund</i> is ation Filed
			Yes N
6.	(a) Is this a "master fund" in a master-feeder arr	angeme	nt? * 🕑 🤇
	private fund?	ntificatio	n number (if any) of the feeder funds investing in this
	Private Fund Name	1	Private Fund Identification Number
	INDIGO INVESTMENTS HUNGARY LLC		
	LONG BAR HUNGARY LLC	<u> </u>	
	(c) Is this a "feeder fund" in a master-feeder arra	angemer	vt? * O (
	(d) If yes, what is the name and <i>private fund</i> ider <i>private fund</i> invests?	ntificatio	n number (if any) of the master fund in which this
	Name of the <i>Private Fund</i> :		
	<i>Private Fund</i> Identification Number: (include the "805-" prefix also)		
	Υ.		

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separately.

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7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

	ou are filing a single Schedule D, Section 7.B (1) for a master-feeder arrangement according to the
	tructions to this Section 7.B.(1), for each of the feeder funds answer the following questions: Name of the <i>private fund</i> : *
(a)	INDIGO INVESTMENTS HUNGARY LLC
(b)	Private fund identification number: *
	(include the "805-" prefix also)
-	_ · · · · · · · · · · · · · · · · · · ·
(c)	Under the laws of what state or country is the private fund organized:
	State: Country: *
	Delaware UNITED STATES
(d)	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity)
()	Name of General Partner, Manager, Trustee, or Director
	INDIGO HUNGARY MANAGEMENT LLC
(e)	The <i>private fund</i> (check all that apply; you must check at least one): *
	$\mathbf{V}$ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section $3(c)(7)$ of the Investment Company Act of 1940
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.
	No Information Filed
inst	you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the tructions to this Section 7.B.(1), for each of the feeder funds answer the following questions: Name of the <i>private fund</i> : *
•	LONG BAR HUNGARY LLC
(D)	Private fund identification number: * (include the "805-" prefix also)

					2	-	
		State:			ry: *		
		Delaware	U	NITE	D STATES		
	(d)	Name(s) of General	Partner, Manager, T	ruste	e, or Directors (or persons serving in a similar capa	city):	
			Name of General	Part	ner, Manager, Trustee, or Director		
		INDIGO HUNGARY N	ANAGEMENT LLC				
	(e)	The private fund (ch	eck all that apply; y	ou m	ust check at least one): *		
		☑ (1) qualifies for the Investment Com		the	definition of investment company under section 3(c)	(1) of	
		(2) qualifies for the Investment Com		the	definition of investment company under section 3(c)	(7) of	
	(f)	List the name and co private fund is regist		eac	h foreign financial regulatory authority with which th	e	
	 			No	Information Filed		
			·				
	invest al investing	ll or substantially all o g in a "master fund" f	of their assets in a si for purposes of this (	ingle quest	-feeder arrangement, one or more funds ("feeder fu fund ("master fund"). A fund would also be a "feede tion if it issued multiple classes (or series) of shares ally all of its assets in a single master fund.	er fun	d"
8.	(a) Is tł	nis <i>private fund</i> a "fur	nd of funds"? *			.cs	C
				nana	ged by you or by a <i>related person</i> ?	õ	C
			• •	-	s" if the fund invests 10 percent or more of its total a are also <i>private funds</i> , or registered investment co		s in
						Yes	No
9.	registere		ent Company Act of		t in securities issued by investment companies 0 (other than "money market funds," to the extent	C	0
10.	What ty	pe of fund is the <i>priva</i>	ate fund? *		•		
	$oldsymbol{\circ}$ heda	e fund 🤨 liquidity fu	nd $\mathbf{C}$ private equity	v fun	$[ d \ {f O}]$ real estate fund $[ {f C}]$ securitized asset fund $[ {f O}]$ v	/entur	e
		und <b>C</b> Other <i>private</i>		,			-
		<b>for funds of funds, ref</b> ee Instruction 6 of th			the <i>private fund</i> invests. For definitions of these fund	1 type	25,
11.	Current \$ 219,73	gross asset value of t 31,000	the <i>private fund</i> : * .		· · ·		
<u>Ow</u>	nership						
12.	Minimun \$ 250,00		ment required of an	inve	stor in the <i>private fund</i> : *		
	NOTE: P				ors who are not your <i>related persons</i> (even if differe of the fund).	nt fro	m

	-
<ul> <li>13. Approximate number of the <i>private fund</i>'s beneficial owners: *</li> <li>4</li> </ul>	
14. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related pe</i> 3%	rson: *
15. What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds 0%	of funds: *
16. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States perso</i> 53%	ns: *
Your Advisory Services	Yes No
17. (a) Are you'a subadviser to this <i>private fund</i> ? *	
(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adv	C ©
private fund. If the answer to question 17(a) is "no," leave this question blank.	
No Information Filed	
	Yes No
18. (a) Do any other investment advisers advise the private fund? *	C ©
(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the othe to the <i>private fund</i> . If the answer to question 18(a) is "no," leave this question blank.	er advisers
No Information Filed	
	Yes No
19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ? *	C ©
20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%	
Private Offering	
21. Does the <i>private fund</i> rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? *	Yes No <sup>e</sup> C Ø
22. If yes, provide the <i>private fund</i> 's Form D file number (if any):	
No Information Filed	······
B. SERVICE PROVIDERS	
Auditors	Yee No
23. (a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit? *	Yes No
(2) Are the financial statements prepared in accordance with U.S. GAAP? *	<b>UU</b>
If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> than one auditing firm, you must complete questions (b) through (f) separately for each auditing f	
Additional Auditor Information : 1 Record(s) Filed.	

	<b>۔</b>		~	· •	 	•							~	
-		wer to 23(a n one auditi		-	-						-			
1	11111.													
1	(b) Name	of the aud	iting firm:	*										
:		WATERHOU			:									
:														
	(c) The k count	ocation of th ry):	ne auditing	) firm's of	fice resp	onsible f	or the <i>p</i>	orivate	fund`s :	audit (	city, sta	ate and		
. :	City:			State:			ntry: *							
:	PHOE	NIX	ļ	Arizona		UNIT	ED STA	TES						
	(d) In the	ouditing fi	m an inde	nondont	nublic oc	counton	+ <b>)</b> *						Yes	No
		auditing fi	in an mue	pendent		countan	L? **						O	C
	(e) Is the	e auditing fi	rm registe	red with t	the Public	: Compa	пу Ассо	ounting	Oversi	ght Bo	ard? *		©	c
		s" to (e) ab Inting Overs		_	1	-	-	inspect	on by t	he Put	olic Corr	npany	¢	C
													Yes	No
(g) /	Are the priv	vate fund's	audited fin	ancial sta	atements	distribu	ted to t	he <i>priv</i>	ate fun	<i>d</i> 's inv	estors?		o	0
		port prepar						-					<b>1</b> 27	ند <b>و</b>
	© Yes O	No 🥨 Repo	ort Not Yet	Received										
	If you chec	k "Report N nse when th	ot Yet Rec	eived," y	ou must:	promptly	∕ file an	ameno	lment t	o your	Form A	ADV to	upda	te
<u>Prime B</u>	roker								·				Vos	: Na
24. (a)	Does the <i>p</i>	rivate fund	use one or	more pri	ime broke	ers? *							~	
:	If the answ fund uses.	er to 24(a) If the <i>priva</i> for each pri	is "yes," r te fund use	espond to es more t	o questio	ns (b) th prime br		ou mus	t comp	lete qu			-	
					No In	formatic	on Filed				•			
<u>Custodi</u>	an				1								Voc	No
	Does the <i>p</i> all of its as	rivate fund sets? *	use any cu	ıstodians	(includin	g the pr	ime bro	kers lis	ted abo	ove) to	hold so	ome or		Õ
	uses. If the	er to 25(a) e private fur for each cu	nd uses mo									-		fund
	Additiona	I Custodia	n Inform	ation : 1	Record(	s) Filed	I.	~~~~~~~						
	[		•								· ····			
														í
						1								

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to a serie forder to		<i>ate fund</i> uses more than on	tions (b) through (f) below for each custodian the p ne custodian, you must complete questions (b) thro	
	(b) Legal name of cu JP MORGAN CHA	istodian: *		
	(c) Primary business JP MORGAN CHA	aname of custodian: * SE		
	(d) The location of th and country):	ne custodian's office respon	sible for <i>custody</i> of the <i>private fund</i> 's assets (city,	state
	City: *	State:	Country: *	
	DALLAS		UNITED STATES	
			l	Yes N
:	(e) Is the custodian	a <i>related person</i> of your firm	m? *	0 6
	(f) If the custodian i	is a broker-dealer, provide i	ts SEC registration number (if any)	
	- CRD Number (if a	any):	-	
I	Does the <i>private fund</i> of the answer to 26(a)		ons (b) through (f) below. If the <i>private fund</i> uses r	Yes C nore th
26. (a) [ I	Does the <i>private fund</i> of the answer to 26(a)	is "yes," respond to questic I must complete questions (		C
26. (a) E I C 27. Durir as ar 0% Inclu any, rede	Does the <i>private fund</i> of f the answer to 26(a) one administrator, you ng your last fiscal year n administrator, that is de only those assets w including obtaining an mptions or distributior	is "yes," respond to question i must complete questions ( No I n, what percentage of the <i>pr</i> s not your <i>related person</i> ? * where (i) such person carrie ny relevant quotes, and (ii)	ons (b) through (f) below. If the <i>private fund</i> uses r (b) through (f) separately for each administrator. nformation Filed <i>rivate fund</i> 's assets (by value) was valued by a <i>per</i> .	C nore th son, su asset, i otions,
26. (a) [ I c 27. Durir as ar 0% Inclu any,	Does the <i>private fund</i> of f the answer to 26(a) one administrator, you ng your last fiscal year n administrator, that is ide only those assets w including obtaining an mptions or distribution on.	is "yes," respond to question i must complete questions ( No I n, what percentage of the <i>pr</i> s not your <i>related person</i> ? * where (i) such person carrie ny relevant quotes, and (ii)	bons (b) through (f) below. If the <i>private fund</i> uses r (b) through (f) separately for each administrator. Information Filed <i>rivate fund</i> 's assets (by value) was valued by a <i>per</i> of out the valuation procedure established for that the valuation used for purposes of investor subscript	C nore th son, su asset, i otions,
26. (a) [ I I C 27. Durir as ar 0% Inclu any, redei perso <b>Markete</b> 28. (a) [	Does the <i>private fund</i> of f the answer to 26(a) one administrator, you ng your last fiscal year n administrator, that is ide only those assets w including obtaining an mptions or distribution on.	is "yes," respond to question in must complete questions ( No Ii not your <i>related person</i> ? * where (i) such person carrie by relevant quotes, and (ii) hs, and fee calculations (inc	bons (b) through (f) below. If the <i>private fund</i> uses r (b) through (f) separately for each administrator. Information Filed <i>rivate fund</i> 's assets (by value) was valued by a <i>per</i> of out the valuation procedure established for that the valuation used for purposes of investor subscript	C nore th son, su asset, i otions,
26. (a) [ I I C 27. Durir as ar 0% Inclu any, reden perso <b>Markete</b> 28. (a) [ F Y Y Y	Does the <i>private fund</i> of f the answer to 26(a) one administrator, you ng your last fiscal year n administrator, that is ide only those assets w including obtaining an mptions or distribution on. <b>ITS</b> Does the <i>private fund</i> of purposes? * You must answer "yes" municipal advisor or of through (g) below for o	is "yes," respond to question i must complete questions ( No Ii r, what percentage of the pr s not your related person? * where (i) such person carrie by relevant quotes, and (ii) ns, and fee calculations (inc use the services of someone " whether the person acts a ther solicitor, or similar pers each such marketer the priv	ons (b) through (f) below. If the <i>private fund</i> uses r (b) through (f) separately for each administrator. Information Filed <i>rivate fund</i> 's assets (by value) was valued by a <i>per</i> ed out the valuation procedure established for that the valuation used for purposes of investor subscriptuding allocations) was the valuation determined by	C nore th son, su asset, i ptions, v such Yes C , stions

	•		-	
		No Ir	nformation File	:d
		·		
A. F	PRIVATE FUND	· · · · · · · · · · · · · · · · · · ·		·····
<u>Inf</u>	ormation About the P	rivate Fund		
1.	<ul> <li>(a) Name of the private</li> <li>INDIGO INDONES</li> <li>(b) Private fund idention</li> <li>(include the "805-")</li> </ul>	A LP fication number: *		
	805-3277004322			
2.	Under the laws of wha	state or country is the <i>private</i>	<i>fund</i> organized	d:
	State:	Country: * CAYMAN ISLANDS		
3.	Name(s) of General Pa	rtner. Manager. Trustee, or Dire	ectors (or pers	ons serving in a similar capacity):
5.		Name of General Partner		
	INDIGO INDONESIA N		<u> </u>	
5.	Investment Company ( (2) qualifies for t Investment Company (	Act of 1940 he exclusion from the definition Act of 1940	of investment	company under section 3(c)(1) of the company under section 3(c)(7) of the <i>latory authority</i> with which the <i>private fund</i> is
5.	registered.			
	: Langen	No Info	ormation Filed	
6.	(a) Is this a "master f	und" in a master-feeder arrange	ement? *	Yes No
	(b) If yes, what is the private fund?	name and <i>private fund</i> identific	ation number	(if any) of the feeder funds investing in this
		Private Fund Name		Private Fund Identification Number
	INDIGO INDONESIA I	NVESTMENTS CAYMAN II JUNIO	IR LP	
	LONG BAR INDONESI	A LLC		
				Yes No
		nd" in a master-feeder arrange		C 6
	(d) If yes, what is the private fund inves		ation number	(if any) of the master fund in which this
	Name of the Priva	te Fund:		
	<i>Private Fund</i> Ident (include the "805-			· .

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

ditio	nal Feeder Fund Information : 2 Record(s) Filed.
	ou are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the ructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
(a)	Name of the private fund: *
	INDIGO INDONESIA INVESTMENTS CAYMAN II JUNIOR LP
<b>/</b> L\	Drivete for didentification sumbors *
(D)	Private fund identification number: *
	(include the "805-" prefix also)
(a)	Under the laws of what state or country is the private find exception.
	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country: *
	CAYMAN ISLANDS
(d)	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity
	Name of General Partner, Manager, Trustee, or Director
	INDIGO INDONESIA MANAGEMENT LLC
	The private fund (check all that apply; you must check at least one): * (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) the Investment Company Act of 1940
	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.
	No Information Filed
inst	ou are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the ructions to this Section 7.B.(1), for each of the feeder funds answer the following questions: Name of the <i>private fund</i> : * LONG BAR INDONESIA LLC
	Private fund identification number: *
(b)	(include the "805-" prefix also)

	(c)	Under the laws	s of what state or	r country is the	private fund	organized:
--	-----	----------------	--------------------	------------------	--------------	------------

Delaware

State:

1

1

# Country: \* UNITED STATES

\_

	(d)	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capaci	ty):	
		Name of General Partner, Manager, Trustee, or Director		
		INDIGO INDONESIA MANAGEMENT LLC		
	(e)	The <i>private fund</i> (check all that apply; you must check at least one): * (1) qualifies for the exclusion from the definition of investment company under section 3(c)(	1) of	
		the Investment Company Act of 1940 $\square$ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(2) the Investment Company Act of 1940		
	41	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
		No Information Filed	• • • • •	
	invest al investing	or purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder fun I or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder g in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares o g, and each class (or series) invests substantially all of its assets in a single master fund.	fund	
8.	(a) Is th	is <i>private fund</i> a "fund of funds"? *	c	C
	(b) If ye	es, does the private fund invest in funds managed by you or by a related person?	С	C
		or purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total a oled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment com		es.
9.	registere	our last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies ed under the Investment Company Act of 1940 (other than "money market funds," to the extent I in Instruction 6.e.)? *	C	C
10.	What typ	pe of fund is the <i>private fund</i> ? *		
	C hedg	e fund $ {f O} $ liquidity fund $ {f C} $ private equity fund $ {f O} $ real estate fund $ {f C} $ securitized asset fund $ {f O} $ ve	entur	е
	capital fu	und C Other <i>private fund</i>		
		or funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund ee Instruction 6 of the Instructions to Part 1A.	type	s,
11.	Current \$ 0	gross asset value of the <i>private fund</i> : *		
<u>0w</u>	n <u>ership</u>			
12.	Minimum	n investment commitment required of an investor in the private fund: *		

\$ 500,000

		-	
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if differ the amount set forth in the organizational documents of the fund).	ent fro	SM
13.	Approximate number of the <i>private fund</i> 's beneficial owners: * 3		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related perso</i> 1%	)n: *	
15.	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds o 0%	f fund:	s: *
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> 98%	• *	
Voi	ur Advisory Services		
		Yes	5 No
<sup>,</sup> 17.	(a) Are you a subadviser to this private fund? *	o	©
	(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the advise <i>private fund</i> . If the answer to question 17(a) is "no," leave this question blank.		
	No Information Filed		]
18	(a) Do any other investment advisers advise the private fund? *	Yes	s No
10.	(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other	C) advise	(f) Prs
5	to the <i>private fund</i> . If the answer to question 18(a) is "no," leave this question blank.	201130	15
	No Information Filed	· ·	
		Yes	s No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ? *	C	©
20.	Approximately what percentage of your clients has invested in the private fund?		
	0%		
<b>n</b> -:-	vate Offering		
<u>P11</u>	vate onening	Yes	s No
21.	Does the <i>private fund</i> rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? *	Ċ	G
22.	If yes, provide the <i>private fund</i> 's Form D file number (if any):		
	No Information Filed		
в. 9	SERVICE PROVIDERS		
Au	ditors	Vee	NI -
23	(a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit? *	Yes	-
J.	(2) Are the financial statements prepared in accordance with U.S. GAAP? *	© C	۰ م
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the private fund use	C) Is mor	ون re
	than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm		-

		~	
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to 23(a)(1) is "yes," respond to questions (b) th more than one auditing firm, you must complete questions (b firm.		
	(b) Name of the auditing firm: * PRICEWATERHOUSECOOPERS LLP		
	(c) The location of the auditing firm's office responsible for th country):	e <i>private fund</i> 's audit (city, state and	
	City: * State: Country:	*	
	PHOENIX Arizona UNITED S	TATES	
		Yes	No
	(d) Is the auditing firm an <i>independent public accountant</i> ? *	C	C
	(e) Is the auditing firm registered with the Public Company Ad	ccounting Oversight Board? *	C
	(f) If "yes" to (e) above, is the auditing firm subject to regula Accounting Oversight Board in accordance with its rules?	r inspection by the Public Company 👩	C
		Yes	N
(a)	) Are the private fund's audited financial statements distributed to		_
			C
(1)	) Does the report prepared by the auditing firm contain an unqua	inted opinion? *	
	• Yes • No • Report Not Yet Received If you check "Report Not Yet Received," you must promptly file your response when the report is available.	an amendment to your Form ADV to upda	te
<u>rime</u>	Broker	· · · · · · · · · · · · · · · · · · ·	N
4 (2)	) Does the <i>private fund</i> use one or more prime brokers? *	163	-
4. (a)			୍ତ
	If the answer to 24(a) is "yes," respond to questions (b) throug <i>fund</i> uses. If the <i>private fund</i> uses more than one prime broker separately for each prime broker.		
	No Information Fil	ed	
	<u> </u>		
ustod	dian	N	
25. (a)	) Does the <i>private fund</i> use any custodians (including the prime tail of its assets? *		C No
	If the answer to 25(a) is "yes," respond to questions (b) throug uses. If the <i>private fund</i> uses more than one custodian, you mu separately for each custodian.		funa
	Additional Custodian Information : 1 Record(s) Filed.		
	· · · · · · · · · · · · · · · · · · ·		

	-			
fui		ate fund uses more t	o questions (b) through (f) below for han one custodian, you must compl	
(b	) Legal name of cus JP MORGAN CHAS			
(c)	) Primary business JP MORGAN CHAS	name of custodian: 5 SE	*	
(d	) The location of th and country):	ne custodian's office r	esponsible for <i>custody</i> of the <i>privat</i>	e fund's assets (city, state
	City: *	State:	Country: *	
	DALLAS	Texas	UNITED STATES	
				Yes No
(e	) Is the custodian a	a <i>related person</i> of yo	our firm? *	0 O
(f)	) If the custodian is	s a broker-dealer, pr	ovide its SEC registration number (i	f any)
	CRD Number (if a	anv):		
	es the <i>private fund</i> u		other than your firm? * questions (b) through (f) below. If th	Yes in <b>C</b> inhe <i>private fund</i> uses more that
6. (a) Doe If th	s the <i>private fund</i> und und the answer to 26(a)	is "yes," respond to a		C he <i>private fund</i> uses more the
6. (a) Doe If th	s the <i>private fund</i> und und the answer to 26(a)	is "yes," respond to a	questions (b) through (f) below. If t	C he <i>private fund</i> uses more the
<ol> <li>(a) Doe</li> <li>If th</li> <li>one</li> <li>7. During y</li> <li>as an ac</li> </ol>	es the <i>private fund</i> und the answer to 26(a) administrator, you	is "yes," respond to o must complete ques	questions (b) through (f) below. If the tions (b) through (f) separately for No Information Filed the <i>private fund</i> 's assets (by value)	C he <i>private fund</i> uses more the each administrator.
<ol> <li>(a) Doe If th one</li> <li>7. During y as an ac 0% Include any, inc</li> </ol>	es the <i>private fund</i> us ne answer to 26(a) administrator, you your last fiscal year dministrator, that is only those assets we cluding obtaining an	is "yes," respond to o must complete ques , what percentage of not your <i>related per</i> where (i) such person y relevant quotes, ar	questions (b) through (f) below. If the tions (b) through (f) separately for No Information Filed the <i>private fund</i> 's assets (by value)	C he <i>private fund</i> uses more the each administrator. ) was valued by a <i>person</i> , suc e established for that asset, if es of investor subscriptions,
<ul> <li>6. (a) Doe</li> <li>If th</li> <li>one</li> <li>7. During y</li> <li>as an ac</li> <li>0%</li> <li>Include</li> <li>any, inc</li> <li>redemption</li> </ul>	es the <i>private fund</i> us ne answer to 26(a) administrator, you your last fiscal year dministrator, that is only those assets we cluding obtaining an	is "yes," respond to o must complete ques , what percentage of not your <i>related per</i> where (i) such person y relevant quotes, ar	questions (b) through (f) below. If the tions (b) through (f) separately for No Information Filed the <i>private fund</i> 's assets (by value) rson? *	C he <i>private fund</i> uses more the each administrator. ) was valued by a <i>person</i> , suc e established for that asset, if es of investor subscriptions, luation determined by such
<ul> <li>6. (a) Doe If th one</li> <li>7. During y as an ac 0% Include any, inc redempt person.</li> <li>8. (a) Doe</li> </ul>	es the <i>private fund</i> une answer to 26(a) administrator, you your last fiscal year dministrator, that is only those assets w luding obtaining an tions or distribution	is "yes," respond to o must complete ques , what percentage of not your <i>related per</i> where (i) such person y relevant quotes, ar is, and fee calculation	questions (b) through (f) below. If the tions (b) through (f) separately for No Information Filed the <i>private fund</i> 's assets (by value) <i>rson</i> ? *	C he <i>private fund</i> uses more the each administrator. ) was valued by a <i>person</i> , suc e established for that asset, if es of investor subscriptions, luation determined by such Yes
<ol> <li>(a) Doe If th one If th one Include any, inc redempt person. Iarketers 8. (a) Doe purp         </li> </ol>	es the <i>private fund</i> use answer to 26(a) administrator, you your last fiscal year dministrator, that is only those assets we luding obtaining an tions or distribution	is "yes," respond to o must complete ques , what percentage of a not your <i>related per</i> where (i) such person by relevant quotes, ar is, and fee calculation	questions (b) through (f) below. If the tions (b) through (f) separately for the private fund is assets (by value) from? *	C he <i>private fund</i> uses more the each administrator. Was valued by a <i>person</i> , succession e established for that asset, if es of investor subscriptions, luation determined by such Yes I loyees for marketing
<ol> <li>(a) Doe If th one If th one Include any, inc redemple person. Iarketers 8. (a) Doe purp You mur</li></ol>	es the <i>private fund</i> use answer to 26(a) administrator, you your last fiscal year dministrator, that is only those assets we luding obtaining an tions or distribution es the <i>private fund</i> use poses? * must answer "yes"	is "yes," respond to o must complete ques , what percentage of a not your <i>related per</i> where (i) such person by relevant quotes, ar is, and fee calculation use the services of so ' whether the person ther solicitor, or simil	questions (b) through (f) below. If the tions (b) through (f) separately for No Information Filed the private fund's assets (by value) rson? * a carried out the valuation procedure and (ii) the valuation used for purpose is (including allocations) was the valuation pomeone other than you or your empti- acts as a placement agent, consulta ar person. If the answer to 28(a) is	C he <i>private fund</i> uses more the each administrator. ) was valued by a <i>person</i> , suc- e established for that asset, if es of investor subscriptions, luation determined by such Yes I loyees for marketing C ant, finder, introducer, "yes", respond to questions (
<ol> <li>(a) Doe If th one If th one If th one If th one If th one If th one If th one If th one If th one Include any, inc redempt person. Include any, inc redempt person. Include any, inc redempt person. Include any, inc redempt person. Include any, inc redempt person. Include any, inc redempt person. any, inc redempt person. any for Throw Au any for any</li></ol>	es the <i>private fund</i> use answer to 26(a) administrator, you your last fiscal year dministrator, that is only those assets we luding obtaining an tions or distribution es the <i>private fund</i> use poses? * must answer "yes" nicipal advisor or ot bugh (g) below for e	is "yes," respond to o must complete ques , what percentage of a not your <i>related per</i> where (i) such person by relevant quotes, ar is, and fee calculation use the services of so whether the person ther solicitor, or simil each such marketer t	questions (b) through (f) below. If the tions (b) through (f) separately for the private fund's assets (by value) from? *	C he <i>private fund</i> uses more the each administrator. ) was valued by a <i>person</i> , suc- e established for that asset, if es of investor subscriptions, luation determined by such Ves I loyees for marketing ant, finder, introducer, "yes", respond to questions ( fund uses more than one

		-	~
	No Ir	nformation File	ed
	· · · · · · · · · · · · · · · · · · ·	•	
·······			
A. P	RIVATE FUND		
Info	ormation About the Private Fund		-
1.	<ul> <li>(a) Name of the <i>private fund</i>: * <ul> <li>INDIGO LATAM LP</li> </ul> </li> <li>(b) <i>Private fund</i> identification number: * <ul> <li>(include the "805-" prefix also)</li> </ul> </li> </ul>		
2.	805-9038008382 Under the laws of what state or country is the <i>private</i>	<i>fund</i> organize	d:
	State: Country: * CAYMAN ISLANDS		
3.	Name(s) of General Partner, Manager, Trustee, or Dire		
	Name of General Partner	, Manager, T	rustee, or Director
	INDIGO LATAM MANAGEMENT LLC	·	
4.	<ul> <li>The <i>private fund</i> (check all that apply; you must check</li> <li>☑ (1) qualifies for the exclusion from the definition</li> <li>Investment Company Act of 1940</li> <li>☑ (2) qualifies for the exclusion from the definition</li> <li>Investment Company Act of 1940</li> </ul>	of investment	company under section 3(c)(1) of the
5.	List the name and country, in English, of each foreign registered.	financial regu	latory authority with which the private fund is
	No Info	ormation Filed	
			Yes No
6.	(a) Is this a "master fund" in a master-feeder arrange	1	0 C
	(b) If yes, what is the name and <i>private fund</i> identific <i>private fund</i> ?	ation number	· · · ·
	Private Fund Name		Private Fund Identification Number
	INDIGO SANTA FE INVESTMENTS CAYMAN II JUNIOR	LP	
			<b></b>
	(c) Is this a "feeder fund" in a master-feeder arrange	ment? *	Yes No
	(d) If yes, what is the name and <i>private fund</i> identific <i>private fund</i> invests?	:	(if any) of the master fund in which this
1	Name of the <i>Private Fund</i> :	1	
	<i>Private Fund</i> Identification Number: (include the "805-" prefix also)		

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

#### Additional Feeder Fund Information : 1 Record(s) Filed.

- 7. If you are filing a single Schedule D, Section 7.B (1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
  - (a) Name of the *private fund*: \* INDIGO SANTA FE INVESTMENTS CAYMAN II JUNIOR LP
  - (b) Private fund identification number: \* (include the "805-" prefix also)
  - (c) Under the laws of what state or country is the *private fund* organized: State: Country: \*

#### CAYMAN ISLANDS

(d) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

Name of General	Partner, Manager, Trustee, or Director
INDIGO LATAM MANAGEMENT LLC	

(e) The *private fund* (check all that apply; you must check at least one): \*

 $\mathbf{M}$  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

 $\Box$  (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

(f) List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

No Information Filed

NOTE: For purposes of questions 6 and 7, in a master feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes No

C C

 $\circ \circ$ 

(a) Is this private fund a "fund of funds"? \*

8.

(b) If yes, does the private fund invest in funds managed by you or by a related person?

NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not they are also *private funds*, or registered investment companies.

9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)? *
10.	What type of fund is the <i>private fund</i> ? *
	O hedge fund O liquidity fund C private equity fund O real estate fund O securitized asset fund O venture
	capital fund 🖸 Other <i>private fund</i>
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.
11.	Current gross asset value of the private fund: * \$ 78,524,000
<u>0w</u>	nership
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : * \$ 40,000,000
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).
13.	Approximate number of the <i>private fund</i> 's beneficial owners: * 3
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related person</i> : * 1%
15.	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: $*$ 0%
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : * 99%
Yo	ur Advisory Services
. <u> </u>	Yes No
17.	(a) Are you a subadviser to this <i>private fund</i> ? *
	(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17(a) is " $n_{0}^{\downarrow}$ ," leave this question blank.
	No Information Filed
	Yes No
18.	(a) Do any other investment advisers advise the private fund? *
	(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the answer to question 18(a) is "no," leave this question blank.
	No Information Filed
	Yes No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ? *
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?

## Private Offering

21. Does the *private fund* rely on an exemption from registration of its securities under Regulation D of the C © Securities Act of 1933? \*

Yes No

		<i>und</i> 's Form D file number (i No Info	mation Filed	······	
B. SERV	ICE PROVIDERS				
<u>Audito</u>	r <u>s</u>			Yes	No
23. (a)	(1) Are the private fund	/s financial statements subj	ect to an annual audit? *	C	$\circ$
	(2) Are the financial sta	tements prepared in accord	ance with U.S. GAAP? *	C	ø
			tions (b) through (f) below. If the <i>private fund</i> use ns (b) through (f) separately for each auditing firr		re
	Additional Auditor Ir	nformation : 1 Record(s)	Filed.		
			estions (b) through (f) below. If the <i>private fund</i> u questions (b) through (f) separately for each aud		
	(b) Name of the audit PRICEWATERHOU				
	(c) The location of th country):	e auditing firm's office respo	onsible for the <i>private fund</i> 's audit (city, state and		
	City: *	State:	Country: *		
	PHOENIX	Arizona	UNITED STATES	Yes	No
	(d) Is the auditing fir	m an <i>independent public ac</i> o	countant? *	©	D
	(e) Is the auditing fir	m registered with the Public	Company Accounting Oversight Board? *	C	n
	-	ove, is the auditing firm sub ight Board in accordance wi	ject to regular inspection by the Public Company th its rules?	0	c
				Yes	No
,			distributed to the <i>private fund</i> 's investors? ain an unqualified opinion? *	©	C
	🖲 Yes 🖸 No 🔍 Repo	rt Not Yet Received			
	If you check "Report No your response when the	1	promptly file an amendment to your Form ADV to	updat	е
<u>Prime</u>	Broker				
				Yes	No
24. (a)	Does the private fund u	ise one or more prime broke	ers? *	С	<b>C</b>

~	separately for each pri	me broker.	
- - 		٩	No Information Filed
ustodia	an		
5. (a) [	Does the <i>private fund</i>	use any custodians (incl	Yes uding the prime brokers listed above) to hold some or ${}_{\bigodot}$
1 เ		d uses more than one c	estions (b) through (f) below for each custodian the <i>private fu</i> custodian, you must complete questions (b) through (f)
	Additional Custodia	n Information : 1 Rec	ord(s) Filed.
: :		ate fund uses more tha	uestions (b) through (f) below for each custodian the <i>private</i> n one custodian, you must complete questions (b) through (f
•	(b) Legal name of cu JP MORGAN CHA		
:	(c) Primary business JP MORGAN CHA	name of custodian: * SE	
	(d) The location of th and country):	ne custodian's office res	ponsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state
:	City: * DALLAS	State: Texas	Country: * UNITED STATES
	(e) Is the custodian	a <i>related person</i> of you	r firm? * C t
-	(f) If the custodian i	is a broker-dealer, provi	ide its SEC registration number (if any)
	CRD Number (if	any):	
	<u>strator</u>	use an administrator ot	Yes
]	If the answer to 26(a)	is "yes," respond to que	c c c c c c c c c c c c c c c c c c c
			No Information Filed

27.	During your last fiscal year, what percentage of the <i>private fund</i> 's assets (by value) was valued by a <i>person</i> , such as an administrator, that is not your <i>related person</i> ? <b>*</b> 0% Include only those assets where (i) such person carried out the valuation procedure established for that asset, if
	any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.
<u>Ma</u>	<u>rketers</u> Yes No
28.	(a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing of purposes? *
- -	You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to 28(a) is "yes", respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
	No Information Filed
A. F	PRIVATE FUND
<u>Inf</u>	ormation About the Private Fund
1.	(a) Name of the <i>private fund</i> : * INDIGO MAPLE HILL LP
	(b) <i>Private fund</i> identification number: * (include the "805-" prefix also)
2.	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country: * CAYMAN ISLANDS
3.	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):
	Name of General Partner, Manager, Trustee, or Director
	INDIGO HUNGARY MANAGEMENT LLC
4.	The <i>private fund</i> (check all that apply; you must check at least one): *
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section $3(c)(7)$ of the Investment Company Act of 1940
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.
	No Information Filed

- . (a) Is this a "master fund" in a master-feeder arrangement? \*
  - (b) If yes, what is the name and *private fund* identification number (if any) of the feeder funds investing in this *private fund*?

Private Fund Name	Private Fund Identification Number
HILLCREST LP	
INDIGO INVESTMENTS HUNGARY LLC	
LONG BAR MAPLE HILL LLC	

- (c) Is this a "feeder fund" in a master-feeder arrangement? \*
- (d) If yes, what is the name and *private fund* identification number (if any) of the master fund in which this *private fund* invests?

Name of the Private Fund:

*Private Fund* Identification Number: (include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

#### Additional Feeder Fund Information : 3 Record(s) Filed.

- 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
  - (a) Name of the *private fund*: \* HILLCREST LP
  - (b) Private fund identification number: \* (include the "805-" prefix also)
  - (c) Under the laws of what state or country is the *private fund* organized: State: Country: \*
    - CAYMAN ISLANDS
  - (d) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity): Name of General Partner, Manager, Trustee, or Director

INDIGO PACIFIC MANAGEMENT LP

(e) The private fund (check all that apply; you must check at least one): \*

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

Yes No

Yes No

O O

0

	(2) qualifies for the exclusion from the the Investment Company Act of 1940	definition of investment company under section 3(c)(7) of
(f)	List the name and country, in English, of each private fund is registered.	h foreign financial regulatory authority with which the
	No	Information Filed
inst		(1) for a master-feeder arrangement according to the e feeder funds answer the following questions:
(b)	<i>Private fund</i> identification number: * (include the "805-" prefix also)	
(c)	Under the laws of what state or country is the State:	
		D STATES
(d)	Name(s) of General Partner, Manager, Truste	ee, or Directors (or persons serving in a similar capacity):
		ner, Manager, Trustee, or Director
	INDIGO HUNGARY MANAGEMENT LLC	!
. (e)		definition of investment company under section 3(c)(1) of
	the Investment Company Act of 1940	
	(2) qualifies for the exclusion from the the Investment Company Act of 1940	definition of investment company under section 3(c)(7) of
(f)	List the name and country, in English, of eac private fund is registered.	h <i>foreign financial regulatory authorit</i> y with which the
manga dililar a sayang	-	Information Filed
ins (a)	tructions to this Section 7.B.(1), for each of the Name of the <i>private fund</i> : * LONG BAR MAPLE HILL LLC <i>Private fund</i> identification number: *	(1) for a master-feeder arrangement according to the ne feeder funds answer the following questions:
	(include the "805-" prefix also)	

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	്ര	Under the laws of what state or country is t	he private fund organized:		
			itry: *		
			ĘD STATES		
	(d)	Name(s) of General Partner, Manager, Trust	ee, or Directors (or persons serving in a similar capacity	v):	
			rtner, Manager, Trustee, or Director		ווך
		INDIGO HUNGARY MANAGEMENT LLC			
	(e)	The private fund (check all that apply; you	must check at least one): *		
		(1) qualifies for the exclusion from the the Investment Company Act of 1940	definition of investment company under section 3(c)(1)	) of	
		$\square$ (2) qualifies for the exclusion from the the Investment Company Act of 1940	definition of investment company under section 3(c)(7)	) of	
	(f)	List the name and country, in English, of ea private fund is registered.	ch foreign financial regulatory authority with which the		
		N	o <sub>i</sub> Information Filed		
	invest a investin	ll or substantially all of their assets in a singl g in a "master fund" for purposes of this que	er-feeder arrangement, one or more funds ("feeder fund e fund ("master fund"). A fund would also be a "feeder f stion if it issued multiple classes (or series) of shares or ially all of its assets in a single master fund.	fund	
0		nis <i>private fund</i> a "fund of funds"? *		es	_
8.	• •	es, does the <i>private fund</i> invest in funds mar	and human as humanlated norman?		0
				-	<u>C</u>
			es" if the fund invests 10 percent or more of its total as y are also <i>private funds</i> , or registered investment comp	banie	es.
~	During	our last final year did the private fund invo		'es	_
9.	register		est in securities issued by investment companies 40 (other than "money market funds," to the extent	C	e
10.	What ty	pe of fund is the <i>private fund</i> ? *			
	🖸 hedd	e fund ${f C}$ liquidity fund ${f C}$ private equity fu	$\mathbf{n}_{0}^{\dagger}$ $\mathbf{O}$ real estate fund $\mathbf{O}$ securitized asset fund $\mathbf{O}$ ver	iture	è
	-	und O Other <i>private fund</i>			
	·				
		ee Instruction 6 of the Instructions to Part 1.	n the <i>private fund</i> invests. For definitions of these fund t A.	ypes	š,
11.	Current \$ 89,16	gross asset value of the <i>private fund</i> : * 5,000			
<u>0w</u>	nership				
12.	Minimur \$ 375,0	n investment commitment required of an inv 00	estor in the <i>private fund</i> : *		
		Report the amount routinely required of investional set forth in the organizational document	stors who are not your <i>related persons</i> (even if different s of the fund).	fron	n.

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13. Approximate number of the <i>private fund</i> 's beneficial owners: * 5		
14. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related pers</i> 5%	on: *	
15. What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds o 0%	of funds	5: *
16. What is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States person. 65%	5: *	
Your Advisory Services	Yes	. N
17. (a) Are you a subadviser to this <i>private fund</i> ? *		
<ul> <li>(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the advise private fund. If the answer to question 17(a) is "no," leave this question blank.</li> </ul>	C er of th	le Ie
No Information Filed		
	Yes	; N
18. (a) Do any other investment advisers advise the private fund? *	C	4
(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other to the private fund. If the answer to question 18(a) is "no," leave this question blank.	advise	rs
No Information Filed		
	Yes	; N
19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ? *	C	¢
20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Private Offering	Yes	: N
21. Does the <i>private fund</i> rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? *	. 0	Ģ
22. If yes, provide the <i>private fund</i> 's Form D file number (if any):	<b></b>	
No Information Filed		
3. SERVICE PROVIDERS		
Auditors	Yes	N
23. (a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit? *	e	<
(2) Are the financial statements prepared in accordance with U.S. GAAP? *	ň	4. - E
If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> us than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm		
Additional Auditor Information : 1 Record(s) Filed.		

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		respond to questions (b) through (f) below. If the private fund uses	11
	more than one auditing firm, you r	must complete questions (b) through (f) separately for each auditing	ı
	firm.		
	(b) Name of the auditing firm: *		
	PRICEWATERHOUSECOOPERS		
	(c) The location of the auditing fire	m's office responsible for the <i>private fund</i> 's audit (city, state and	
	country):		
	City: * Stat	e: Country: *	
	PHOENIX Arizo		
			s No
	(d) Is the auditing firm an <i>indeper</i>	ndent public accountant? *	C
	(e) Is the auditing firm registered	with the Public Company Accounting Oversight Board? *	~
		with the Public Company Accounting Oversight Board? *	D
	(f) If "yes" to (e) above, is the au	iditing firm subject to regular inspection by the Public Company ${m{(e)}}$	0
	Accounting Oversight Board in	accordance with its rules?	
			es No
(g)	Are the private fund's audited finance	cial statements distributed to the private fund's investors?	0
(h)	Does the report prepared by the aud	liting firm contain an unqualified opinion? *	
. ,			
	🤨 Yes 🖸 No 🤇 Report Not Yet Re	ceived	
	If you check "Report Not Yet Receive	ed," you must promptly file an amendment to your Form ADV to upd	late
	your response when the report is av	ailable.	
Drimo	Broker		
Fine	DIORCI		
			es No
24. (a)	Does the <i>private fund</i> use one or mo	ore prime brokers? *	) 🙆
	If the answer to 24(a) is "yes," resp	ond to questions (b) through (e) below for each prime broker the pri	ivate
	fund uses. If the private fund uses n	nore than one prime broker, you must complete questions (b) throug	gh (e)
	separately for each prime broker.		
		· · · · · · · · · · · · · · · · · · ·	
		No Information Filed	
Custor	lian		
045101			es No
		1	5 NO
25. (a)		dians (including the prime brokers listed above) to hold some or	
	all of its assets? *		
	If the answer to 25(a) is "yes," resp	ond to questions (b) through (f) below for each custodian the private	e fund
	uses. If the private fund uses more	than one custodian, you must complete questions (b) through (f)	
	separately for each custodian.		
	· · · · · · · · · · · · · · · · · · ·		
	Additional Custodian Informatio	on : 1 Record(s) Filed.	
	· · · · · · · · · · · · · · · · · · ·		
			. 1

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	If the answer to 25(a)	) is "yes," respond to	questions (b) through (f) below for each custodian the p	rivate	
	•		an one custodian, you must complete questions (b) thro		)
	separately for each cu	ıstodian.			
	(b) Legal name of cus				
	JP MORGAN CHAS	SE NA			
	(c) Primary business	name of custodian: *		•	
	JP MORGAN CHAS				
		-			
	(d) The location of the	e custodian's office re	esponsible for <i>custody</i> of the <i>private fund</i> 's assets (city, s	state	
	and country):				
	City: *	State:	Country: *		
	DALLAS	Texas	UNITED STATES		
				Yes N	10
	(e) Is the custodian a	<i>related person</i> of yo		0 0	⊙
	(f) If the custodian is	s a broker-dealer, pro	vide its SEC registration number (if any)		
	- CRD Number (if a	ny).			
			i de la companya de l		
Admin	<u>istrator</u>				
				Yes	No
26. (a)	Does the <i>private fund</i> u	ise an administrator o	other than your firm? *	C	$\odot$
	If the answer to 26(a) i	s "yes," respond to q	uestions (b) through (f) below. If the <i>private fund</i> uses n	nore tl	han
	one administrator, you	must complete quest	ions (b) through (f) separately for each administrator.		
			No Information Filed		
	• • • • • • • • • • • • • • • • • • •				]
27 Du	ring your last fiscal year	what percentage of	the <i>private fund</i> 's assets (by value) was valued by a <i>pers</i>	500 51	ich
	an administrator, that is			5011, 50	1011
0%					
In	lude only those assets w	here (i) such person	carried out the valuation procedure established for that a	asset,	if
an	y, including obtaining any	y relevant quotes, an	d (ii) the valuation used for purposes of investor subscrip	otions,	
	•	s, and fee calculation	s (including allocations) was the valuation determined by	such	
ре	rson.				
	·				
<u>Marke</u>	<u>ters</u>			Vac	No
28 (a	Does the <i>private fund</i> u	ise the services of so	meone other than you or your <i>employees</i> for marketing	Yes	NO
20. (a	purposes? *	ise the services of so		С	Ð
		whether the person a	acts as a placement agent, consultant, finder, introducer,	,	
			ar person. If the answer to 28(a) is "yes", respond to que		(b)
	through (g) below for e	ach such marketer th	ne <i>private fund</i> uses. If the <i>private fund</i> uses more than o		-
	marketer you must com	nplete questions (b) t	hrough (g) separately for each marketer.		
			· · · · · · · · · · · · · · · · · · ·		1

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		No Ir	nformation Filed
		ł	······································
Α. Ρ	RIVATE FUND		
<u>Info</u>	ormation About the Private Fund		
1.	(a) Name of the <i>private fund</i> : *		
	INDIGO MIRAMAR LLC		
	(b) <i>Private fund</i> identification number: * (include the "805-" prefix also)	:	
		!	
		1	
2.	Under the laws of what state or country is	the <i>nrivate</i>	fund organized:
۲.		Country: *	
		JNITED STA	TES
3.			ectors (or persons serving in a similar capacity):
		ral Partner	, Manager, Trustee, or Director
	INDIGO MANAGEMENT LLC		
4.	Investment Company Act of 1940	he definition	of investment company under section 3(c)(1) of the of investment company under section 3(c)(7) of the
5.	List the name and country, in English, of e registered.	each <i>foreign</i>	<i>financial regulatory authority</i> with which the <i>private fund</i> is
		No Inf	ormation Filed
	· · · · · · · · · · · · · · · · · · ·		Yes No
6.	(a) Is this a "master fund" in a master-fee	eder arrange	
	(b) If yes, what is the name and private for private fund?	und identific	ation number (if any) of the feeder funds investing in this
	Private Fund Name		Private Fund Identification Number
	LONG BAR MIRAMAR LLC		
			Yes No
	(c) Is this a "feeder fund" in a master-fee	_	• • •
	private fund invests?	und identific	ation number (if any) of the master fund in which this
	Name of the <i>Private Fund</i> :		
	Private Fund Identification Number: (include the "805-" prefix also)		
			· ·

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

-	ou are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the tructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
	Name of the <i>private fund</i> : *
( )	LONG BAR MIRAMAR LLC
(b)	Private fund identification number: *
-	(include the "805-" prefix also)
	· · · · · · · · · · · · · · · · · · ·
(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country: * Delaware UNITED STATES
(d)	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):
	Name of General Partner, Manager, Trustee, or Director
	INDIGO MANAGEMENT LLC
(e)	The <i>private fund</i> (check all that apply; you must check at least one): *
. ,	(1) qualifies for the exclusion from the definition of investment company under section $3(c)(1)$ of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed

8. (a) Is this *private fund* a "fund of funds"? \*

(b) If yes, does the private fund invest in funds managed by you or by a related person?

interests, and each class (or series) invests substantially all of its assets in a single master fund.

NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not they are also *private funds*, or registered investment companies.

Yes No

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	9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)? *	С	C
	10.	. What type of fund is the <i>private fund</i> ? *		
		$\circ$ hedge fund $\circ$ liquidity fund $\circ$ private equity fund $\circ$ real estate fund $\circ$ securitized asset fund $\circ$ ver	iture	5
		capital fund <sup>C</sup> Other <i>private fund</i>		
and a summaries and a summaries of the s		NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund t please see Instruction 6 of the Instructions to Part 1A.	ypes	5,
the second s	11.	Current gross asset value of the <i>private fund</i> : * \$ 4,293,000		
	<u>0w</u>	vnership		
	12.	. Minimum investment commitment required of an investor in the <i>private fund</i> : * \$ 2,134,000		
		NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different the amount set forth in the organizational documents of the fund).	fron	n
	13.	Approximate number of the <i>private fund</i> 's beneficial owners: * 2		
The second secon	14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related person</i> : 2%	*	
	15.	. What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of fu 0%	nds:	; <b>*</b>
	16.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons: * 0%		
	Yo	ur Advisory Services		
			es	No
A	17.	. (a) Are you a subadviser to this <i>private fund</i> ? *	С	C
THE REAL PROPERTY AND ADDRESS OF TAXABLE PROPERTY ADDRESS OF TAXAB		(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of private fund. If the answer to question 17(a) is "no," leave this question blank.	the	
the state of the s		No Information Filed		
and the second se		Y	es l	No
A REAL PROPERTY AND A REAL	18.			C
Contraction of the local division of the loc		(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other adv to the <i>private fund</i> . If the answer to question 18(a) is "no," leave this question blank.	isers	5
Contraction of the second		No Information Filed		
And the second second		j Y	es	No
The second s	19.	. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	C,	C
	20.	. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		

Private Offering		
	Yes	No
<ol> <li>Does the private fund rely on an exemption from reg Securities Act of 1933? *</li> </ol>	istration of its securities under Regulation D of the C	C
Securities Act of 1955!		
22. If yes, provide the <i>private fund</i> 's Form D file number	(if any):	
No In	formation Filed	
B. SERVICE PROVIDERS		
Auditors	Yes	No
23. (a) (1) Are the <i>private fund</i> 's financial statements su	biect to an annual audit? *	
(2) Are the financial statements prepared in acco		0
	estions (b) through (f) below. If the <i>private fund</i> uses more	C e
	ions (b) through (f) separately for each auditing firm.	-
	i	
No	Information Filed	
	·	
	Yes	No
(g) Are the <i>private fund</i> 's audited financial statemen	ts distributed to the <i>private fund</i> 's investors?	C
(h) Does the report prepared by the auditing firm co	ntain an unqualified opinion? *	~
🗘 Yes 🙆 No 🧐 Report Not Yet Received		
•	t promptly file an amendment to your Form ADV to update	i.
your response when the report is available.		
Duime Buckey		
<u>Prime Broker</u>	Yes	No
24. (a) Does the <i>private fund</i> use one or more prime bro	· · · · · · · · · · · · · · · · · · ·	6
If the answer to 24(a) is "yes," respond to quest	ions (b) through (e) below for each prime broker the privat	
	prime broker, you must complete questions (b) through (	(e)
separately for each prime broker.	· · · · · · · · · · · · · · · · · · ·	1
No	Information Filed	
Custodian		
25. (a) Does the <i>private fund</i> use any custodians (includ	Yes	NO
all of its assets? *		O
If the answer to 25(a) is "yes," respond to quest	ions (b) through (f) below for each custodian the private fu	nd
•	todian, you must complete questions (b) through (f)	
separately for each custodian.		7
Additional Custodian Information : 1 Recor	d(s) Filed.	
1 · · · · · · · · · · · · · · · · · · ·	1	

	f		vate fund uses more than	stions (b) through (f) below for each custodian the <i>pri</i> one custodian, you must complete questions (b) throu	11
	(	b) Legal name of cu JP MORGAN CHA			
		c) Primary business JP MORGAN CHA	s name of custodian: * SE		
	. (	d) The location of the and country):	he custodian's office respo	nsible for <i>custody</i> of the <i>private fund</i> 's assets (city, st	ate
		City: *	State:	Country: *	
		DALLAS	Texas	UNITED STATES	
					es No
	) (	e) Is the custodian	a related person of your fi	r <b>m</b> ? *	0 0
	(	f) If the custodian	is a broker-dealer, provide	e its SEC registration number (if any)	
		CRD Number (if	any):		
26.	If	the answer to 26(a)		r than your firm? * tions (b) through (f) below. If the <i>private fund</i> uses mo ; (b) through (f) separately for each administrator.	Yes No
	:		No	Information Filed	
27.	as an a		r, what percentage of the s not your <i>related person</i> ?	private fund's assets (by value) was valued by a perso	on, such
	any, in	ncluding obtaining an ptions or distribution	ny relevant quotes, and (ii	ied out the valuation procedure established for that as ) the valuation used for purposes of investor subscript icluding allocations) was the valuation determined by s	ions,
<u>Ma</u>	rketers	<u>5</u>			Yee No
28.	(a) Do		use the services of someo	ne other than you or your <i>employees</i> for marketing	Yes No
		rposes? *			
	pu Yo mւ thi	u must answer "yes unicipal advisor or o rough (g) below for	ther solicitor, or similar pe each such marketer the <i>pi</i>	as a placement agent, consultant, finder, introducer, erson. If the answer to 28(a) is "yes", respond to quest rivate fund uses. If the private fund uses more than or ugh (g) separately for each marketer.	• •
	pu Yo mւ thi	u must answer "yes unicipal advisor or o rough (g) below for	ther solicitor, or similar pe each such marketer the <i>pi</i>	erson. If the answer to 28(a) is "yes", respond to quest rivate fund uses. If the private fund uses more than or	• •

		-
	No Information Filed	
		······································
, P	, PRIVATE FUND	
<u>1fo</u>	nformation About the Private Fund	
•	<ul> <li>(a) Name of the <i>private fund</i>: * <ul> <li>INDIGO PACIFIC PARTNERS LP</li> </ul> </li> <li>(b) <i>Private fund</i> identification number: * <ul> <li>(include the "805-" prefix also)</li> </ul> </li> </ul>	
•	. Under the laws of what state or country is the <i>private fund</i> organized: State: Country: * CAYMAN ISLANDS	
	Name of General Partner, Manager, Trustee, or Directo	or
	INDIGO PACIFIC MANAGEMENT LP	
	<ul> <li>(1) qualifies for the exclusion from the definition of investment company under set Investment Company Act of 1940</li> <li>(2) qualifies for the exclusion from the definition of investment company under set Investment Company Act of 1940</li> </ul>	
•	List the name and country, in English, of each foreign financial regulatory authority wit registered.	h which the <i>private fund</i> is
	No Information Filed	
		Yes f
	(a) Is this a "master fund" in a master-feeder arrangement? *	o s
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feed <i>private fund</i> ?	ler funds investing in this
	No Information Filed	
		Yes I
	(c) Is this a "feeder fund" in a master-feeder arrangement? *	C
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the mas private fund invests?	ter fund in which this
	Name of the Private Fund:	
	<i>Private Fund</i> Identification Number: (include the "805-" prefix also)	

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	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separately.
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
	No Information Filed
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.
8.	(a) Is this <i>private fund</i> a "fund of funds"? *
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment companies. Yes No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)? *
10.	What type of fund is the <i>private fund</i> ? *
	O hedge fund O liquidity fund C private equity fund O real estate fund C securitized asset fund ® venture
	capital fund <sup>C</sup> Other <i>private fund</i>
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.
11.	Current gross asset value of the <i>private fund</i> : * \$ 445,008,000
<u>0w</u>	nership
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : * \$ 2,000,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from
	the amount set forth in the organizational documents of the fund).
13.	Approximate number of the <i>private fund</i> 's beneficial owners: * 2
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related person</i> : * 1%
15.	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: * 0%

16.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> 99%	*	
<u>Yo</u>	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ? *	C	Ø
	(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the advise private fund. If the answer to question 17(a) is "no," leave this question blank.	r of th	e
	No Information Filed		
		Yes	No
18.	. (a) Do any other investment advisers advise the <i>private fund</i> ? *	С	C
	(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other to the private fund. If the answer to question 18(a) is "no," leave this question blank.	advise	rs
	No Information Filed		
		Yes	No
19.	. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ? *	$\geq \mathbf{C}$	C
<u>2</u> 0.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Pri</u>	ivate Offering		
21.	Does the <i>private fund</i> rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? *	Yes @	No C
22.	. If yes, provide the <i>private fund</i> 's Form D file number (if any):		·
	Form D file number		
<b>B.</b> S	SERVICE PROVIDERS		
	SERVICE PROVIDERS	Yes	No
<u>Au</u>		Yes	No Ç
<u>Au</u>	<u>iditors</u>		
<u>Au</u>	. (a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit? *	© © es mor	c c
<u>Au</u>	<ul> <li>(a) (1) Are the <i>private fund</i>'s financial statements subject to an annual audit? *</li> <li>(2) Are the financial statements prepared in accordance with U.S. GAAP? *</li> <li>If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> use</li> </ul>	© © es mor	c c
<u>Au</u>	<ul> <li>(a) (1) Are the <i>private fund</i>'s financial statements subject to an annual audit? *</li> <li>(2) Are the financial statements prepared in accordance with U.S. GAAP? *</li> <li>If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> use than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm</li> </ul>	© © es mor n.	c c
<u>Au</u>	<ul> <li>Iditors</li> <li>(a) (1) Are the <i>private fund</i>'s financial statements subject to an annual audit? *         <ul> <li>(2) Are the financial statements prepared in accordance with U.S. GAAP? *</li> <li>If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> use than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm</li> </ul> </li> <li>Additional Auditor Information : 1 Record(s) Filed.         <ul> <li>If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> use than one auditing firm, you must complete questions (b) through (f) below. If the <i>private fund</i> use than one auditing firm, you must complete questions (b) through (f) below. If the <i>private fund</i> use than one auditing firm, you must complete questions (b) through (f) below. If the <i>private fund</i> use than one auditing firm, you must complete questions (b) through (f) below. If the <i>private fund</i> use than one auditing firm, you must complete questions (b) through (f) below. If the <i>private fund</i> use than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.</li> </ul></li></ul>	© © es mor n.	c c

auditing firm re s" to (e) above, nting Oversight ate fund's audite port prepared by No <sup>C</sup> Report Not Ye ise when the rep tivate fund use of er to 24(a) is "ye if the private fur for each prime b	egistered with the is the auditing firm Board in accordar ed financial staten y the auditing firm of Yet Received et Received," you n port is available.	Country: * UNITED STATES while accountant? * e Public Company Accounting Oversight Board? * rm subject to regular inspection by the Public Compar- ance with its rules? ements distributed to the <i>private fund</i> 's investors? m contain an unqualified opinion? * <i>must promptly file an amendment to your Form ADV</i> e brokers? * puestions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b) No Information Filed	Yes © to updat Yes the priva
auditing firm ar auditing firm re s" to (e) above, nting Oversight ate fund's audite port prepared by No <sup>C</sup> Report Not <i>c "Report Not Ye</i> <i>ise when the rep</i> <i>ivate fund</i> use o er to 24(a) is "ye if the <i>private fur</i> for each prime b	in independent pub egistered with the is the auditing firm Board in accordar ed financial staten y the auditing firm of Yet Received et Received," you i port is available.	ablic accountant? * e Public Company Accounting Oversight Board? * rm subject to regular inspection by the Public Compar- ance with its rules? ements distributed to the <i>private fund</i> 's investors? m contain an unqualified opinion? * <i>must promptly file an amendment to your Form ADV</i> e brokers? * puestions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b)	© Yes C to updat Yes the priva through
auditing firm re s" to (e) above, nting Oversight ate fund's audite port prepared by No <sup>C</sup> Report Not Ye ise when the rep tivate fund use of er to 24(a) is "ye if the private fur for each prime b	egistered with the is the auditing firm Board in accordar ed financial staten y the auditing firm of Yet Received et Received," you n port is available.	e Public Company Accounting Oversight Board? * rm subject to regular inspection by the Public Comparance with its rules? ements distributed to the <i>private fund</i> 's investors? m contain an unqualified opinion? * must promptly file an amendment to your Form ADV e brokers? * questions (b) through (e) below for each prime broker on one prime broker, you must complete questions (b)	© Yes C to updat Yes the priva through
auditing firm re s" to (e) above, nting Oversight ate fund's audite port prepared by No <sup>C</sup> Report Not Ye ise when the rep tivate fund use of er to 24(a) is "ye if the private fur for each prime b	egistered with the is the auditing firm Board in accordar ed financial staten y the auditing firm of Yet Received et Received," you n port is available.	e Public Company Accounting Oversight Board? * rm subject to regular inspection by the Public Comparance with its rules? ements distributed to the <i>private fund</i> 's investors? m contain an unqualified opinion? * must promptly file an amendment to your Form ADV e brokers? * questions (b) through (e) below for each prime broker on one prime broker, you must complete questions (b)	
s" to (e) above, nting Oversight ate fund's audite port prepared by No <sup>©</sup> Report Not <i>c "Report Not Ye</i> <i>ise when the rep</i> <i>ivate fund</i> use o er to 24(a) is "ye if the <i>private fur</i> for each prime b	is the auditing firm Board in accordar ed financial staten y the auditing firm of Yet Received et Received," you n port is available.	rm subject to regular inspection by the Public Compar- ance with its rules? ements distributed to the <i>private fund</i> 's investors? m contain an unqualified opinion? * <i>must promptly file an amendment to your Form ADV</i> e brokers? * questions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b)	Yes C to updat Yes the priva through
nting Oversight ate fund's audito port prepared by No <sup>©</sup> Report Not <i>c "Report Not Ye</i> <i>ise when the rep</i> <i>ivate fund</i> use o er to 24(a) is "yo if the <i>private fur</i> for each prime b	Board in accordar ed financial staten y the auditing firm of Yet Received et Received," you n port is available.	ements distributed to the <i>private fund</i> 's investors? m contain an unqualified opinion? * <i>must promptly file an amendment to your Form ADV</i> e brokers? * juestions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b)	Yes © to updat Yes C the priva through
port prepared by No C Report Not <i>Report Not Ye</i> <i>ivate fund</i> use of er to 24(a) is "yo if the <i>private fur</i> for each prime b	y the auditing firm of Yet Received at Received," you n port is available. one or more prime es," respond to qu nd uses more than roker.	m contain an unqualified opinion? * must promptly file an amendment to your Form ADV e brokers? * juestions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b)	C the priva through
port prepared by No C Report Not <i>Report Not Ye</i> <i>ivate fund</i> use of er to 24(a) is "yo if the <i>private fur</i> for each prime b	y the auditing firm of Yet Received at Received," you n port is available. one or more prime es," respond to qu nd uses more than roker.	m contain an unqualified opinion? * must promptly file an amendment to your Form ADV e brokers? * juestions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b)	to updat Yes C the priva through
No <b>C</b> Report Not Ye is <i>Report Not Ye</i> is <i>when the rep</i> <i>ivate fund</i> use o er to 24(a) is "ye if the <i>private fur</i> for each prime b	ot Yet Received et Received," you i port is available. one or more prime es," respond to qu nd uses more than iroker.	must promptly file an amendment to your Form ADV e brokers? * juestions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b)	Yes O the priva through
k "Report Not Ye ise when the rep ivate fund use o er to 24(a) is "ye or each prime b or each prime b	et Received," you i port is available. one or more prime es," respond to qu nd uses more than roker.	e brokers? * Juestions (b) through (e) below for each prime broker In one prime broker, you must complete questions (b)	Yes O the priva through
er to 24(a) is "yo If the <i>private fur</i> or each prime b ivate fund use a sets? *	es," respond to qu nd uses more than roker.	uestions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b)	C the priva through
er to 24(a) is "yo If the <i>private fur</i> or each prime b ivate fund use a sets? *	es," respond to qu nd uses more than roker.	uestions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b)	through
er to 24(a) is "yo If the <i>private fur</i> or each prime b ivate fund use a sets? *	es," respond to qu nd uses more than roker.	uestions (b) through (e) below for each prime broker in one prime broker, you must complete questions (b)	through
sets? *		No Information Filed	Yes
sets? *	iny custodians (inc		Yes
private fund us	es more than one	ncluding the prime brokers listed above) to hold some juestions (b) through (f) below for each custodian the e custodian, you must complete questions (b) through	private l
for each custodia			
l Custodian Inf	formation : 1 Re	ecord(s) Filed.	
. If the <i>private f</i>	und uses more the		•
ry business nam )RGAN CHASE	ne of custodian: *	*	
	If the <i>private f</i> for each custod name of custod RGAN CHASE N ry business nam	. If the <i>private fund</i> uses more the for each custodian. name of custodian: * RGAN CHASE NA ry business name of custodian: *	name of custodian: * RGAN CHASE NA ry business name of custodian: *

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				-
(d)	The location of	the custodian's office	responsible for <i>custody</i> of the <i>private fund</i> 's ass	ets (city, state
	and country):		. , , , , , , , , , , , , , , , , , , ,	
	City: *	State:	Country: *	
	DALLAS	Texas	UNITED STATES	
				Yes No
(e)	Is the custodian	n a <i>related person</i> of y	our firm? *	C O
(f)	If the custodian	is a broker-dealer, pr	ovide its SEC registration number (if any)	
	- CRD Number (if	fany):		
<u>dministrato</u>			other than your firm? *	Yes N
• •	-		other than your firm? *	C 4
	,		questions (b) through (f) below. If the private for stions (b) through (f) separately for each admin	
i				
			No Information Filed	
····				
any, inclu	iding obtaining a	any relevant quotes, a	n carried out the valuation procedure established nd (ii) the valuation used for purposes of invest ns (including allocations) was the valuation dete	or subscriptions,
<u>larketers</u>				
<u>iai ketei s</u>				Yes N
	the <i>private func</i> oses? *	d use the services of s	omeone other than you or your <i>employees</i> for n	narketing $\mathbf{C}$ $\mathfrak{e}$
You n munio throu	must answer "ye cipal advisor or o igh (g) below for	other solicitor, or simi r each such marketer t	a acts as a placement agent, consultant, finder, i lar person. If the answer to 28(a) is "yes", respo the <i>private fund</i> uses. If the <i>private fund</i> uses m through (g) separately for each marketer.	ond to questions (
		· · · · · · · · · · · · · · · · · · ·		
			No Information Filed	
:				
PRIVATE FL	JND			
formation	About the Priv	vate Fund		
_				
. (a) Name	e of the <i>private l</i>	fund: *		
INDI	GO RUSSIA LP			
			1	

	<ul> <li>(b) Private fund identification number: *         <ul> <li>(include the "805-" prefix also)</li> <li>805-6835860196</li> </ul> </li> </ul>
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Country: * CAYMAN ISLANDS
3.	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):
	Name of General Partner, Manager, Trustee, or Director
	INDIGO RUSSIA MANAGEMENT LLC
4.	The <i>private fund</i> (check all that apply; you must check at least one): *
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.
•	No Information Filed
6.	<ul> <li>(a) Is this a "master fund" in a master-feeder arrangement? *</li> <li>(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>?</li> </ul>
	Private Fund Name Private Fund Identification Number
*	LONG BAR RUSSIA LLC
	(c) Is this a "feeder fund" in a master-feeder arrangement? *
	<ul> <li>(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this private fund invests?</li> <li>Name of the Private Fund:</li> </ul>
	<i>Private Fund</i> Identification Number: (include the "805-" prefix also)
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separately.
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
• •	Additional Feeder Fund Information : 1 Record(s) Filed.
:	

,

			-	
	(a)	Name of the <i>private fund</i> : *		
		LONG BAR RUSSIA LLC		
	(b)	) Private fund identification number: *		
		(include the "805-" prefix also)		
	(c)	Under the laws of what state or country is the private fund organized:		
		State: Country: *		
		Delaware UNITED STATES		
	(b)	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capaci	ity):	┓╢
		Name of General Partner, Manager, Trustee, or Director		-
				]
	(e)	) The <i>private fund</i> (check all that apply; you must check at least one): *		
		$\mathbf{\overline{M}}$ (1) qualifies for the exclusion from the definition of investment company under section $3(c)(c)$	1) of	
		the Investment Company Act of 1940		
		$\square$ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(	7) of	
		the Investment Company Act of 1940		
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the	÷	
		private fund is registered.		
	: :	No Information Filed		
		For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder fur		
		all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder ig in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares o		
		s, and each class (or series) invests substantially all of its assets in a single master fund.		
			Yes	No
8.	(a) Is th	his <i>private fund</i> a "fund of funds"? *	С	©
	(b) If ye	res, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?		õ
		For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total a		-
		ooled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment con		
	<b>p</b>		Yes	
9.	During y	your last fiscal year, did the private fund invest in securities issued by investment companies	-	©
		red under the Investment Company Act of 1940 (other than "money market funds," to the extent	€	<b>1</b> 02
	provideo	d in Instruction 6.e.)? *		
10.	What ty	pe of fund is the private fund? *		
	C hedg	ge fund $^{ m O}$ liquidity fund $^{ m C}$ private equity fund $^{ m O}$ real estate fund $^{ m O}$ securitized asset fund $^{ m O}$ ve	enture	3
	canital f	fund C Other <i>private fund</i>		
	picui I			
	NOTE: F	For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund	type	5.
		For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund see Instruction 6 of the Instructions to Part 1A	type	s,
			type	s,

	ب
\$ O	
<u>Ownership</u>	
<ul> <li>12. Minimum investment commitment required of an invest \$ 275,000</li> <li>NOTE: Report the amount routinely required of invest the amount set forth in the organizational documents</li> </ul>	ors who are not your <i>related persons</i> (even if different from
<ul><li>13. Approximate number of the <i>private fund</i>'s beneficial o</li><li>3</li></ul>	wners: *
<ul><li>14. What is the approximate percentage of the <i>private fur</i></li><li>5%</li></ul>	nd beneficially owned by you and your <i>related person</i> : *
<ul><li>15. What is the approximate percentage of the <i>private fur</i></li><li>0%</li></ul>	nd beneficially owned (in the aggregate) by funds of funds: *
<ol> <li>What is the approximate percentage of the private fur 82%</li> </ol>	nd beneficially owned by non-United States persons: *
Your Advisory Services	Yes No
<ul> <li>17. (a) Are you a subadviser to this <i>private fund</i>? *</li> <li>(b) If the answer to question 17(a) is "yes," provide t <i>private fund</i>. If the answer to question 17(a) is "n</li> </ul>	ne name and SEC file number, if any, of the adviser of the
	nformation Filed
	Yes No
	te fund? *
to the <i>private fund</i> . If the answer to question 18(a	
No I	nformation Filed
19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	* C C
20. Approximately what percentage of your <i>clients</i> has inv 0%	vested in the <i>private fund</i> ?
Private Offering	Ver Ne
21. Does the <i>private fund</i> rely on an exemption from regis Securities Act of 1933? *	Yes No stration of its securities under Regulation D of the C
22. If yes, provide the <i>private fund</i> 's Form D file number	
No Inf	ormation Filed
B. SERVICE PROVIDERS	
Auditors	

		Yes	No
23. (a)	(1) Are the private fund's financial statements subject to an annual audit? *	С	Θ
	(2) Are the financial statements prepared in accordance with U.S. GAAP? *	C	0
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		e
	No Information Filed		
		Yes	No
	Are the <i>private fund</i> 's audited financial statements distributed to the <i>private fund</i> 's investors?	$\mathbf{C}$	Θ
(h)	Does the report prepared by the auditing firm contain an unqualified opinion? *		
	C Yes 🕑 No 🖸 Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to up your response when the report is available.	odate	3
<u>Prime</u>	Broker	Yes	No
24. (a)	Does the <i>private fund</i> use one or more prime brokers? *		•
	If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the fund uses. If the <i>private fund</i> uses more than one prime broker, you must complete questions (b) through separately for each prime broker.		te
	No Information Filed		
<u>Custod</u>	lian		
25. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? *	Yes @	NO C
	If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>privi</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (f) separately for each custodian.	ate fi	und
	Additional Custodian Information : 1 Record(s) Filed.		
	If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>pr fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) throu separately for each custodian.		
·	(b) Legal name of custodian: * JP MORGAN CHASE NA		
	(c) Primary business name of custodian: * JP MORGAN CHASE		
	(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, st and country):	ate	a marana ana pangana ang ang ang ang ang ang ang ang a

	-		-	-	
	City: *	State:	Country: *		
	DALLAS	Texas	UNITED STATES		
	·· · · · · · · · · · · · · · · · · · ·			Yes I	No
		a a valated server of	vour finne 2 *	165 1	
	(e) is the custodiar	n a <i>related person</i> of	your firm? *	<b>C</b> 1	$oldsymbol{eta}$
	(f) If the custodian	i is a broker-dealer, j	provide its SEC registration number (if any	)	
	-				
	CRD Number (if	fany):			
<u>dmini</u>	<u>strator</u>				
				Yes	No
6. (a)	Does the private fund	/ use an administrato	r other than your firm? *	C	0
• •	If the answer to 26(a	) is "yes " respond to	questions (b) through (f) below. If the pri	ivata fund ucas more tl	
	•				.11011
	one automistrator, yo	a must complete qui	estions (b) through (f) separately for each		
	:				
	i		No Information Filed		
	-				
any rede	ude only those assets , including obtaining a emptions or distributio son.	any relevant quotes,	on carried out the valuation procedure esta and (ii) the valuation used for purposes of ons (including allocations) was the valuatio	investor subscriptions,	,
_				Yes	No
	Does the <i>private func</i> purposes? *	1 use the services of	someone other than you or your <i>employee</i> ;		0
	You must answer "ye	s" whether the perso	n acts as a placement agent, consultant, fi	nder, introducer,	
	municipal advisor or ( through (g) below for	other solicitor, or sim r each such marketer	ilar person. If the answer to 28(a) is "yes" the <i>private fund</i> uses. If the <i>private fund</i> ) through (g) separately for each marketer	, respond to questions uses more than one	; (b)
	÷				
	1		No Information Filed		
	: 			• • • • • • • • • • • • • • • • • • •	~
			; 		
A. PRIVA	ATE FUND				
nforma	ation About the Priv	vate Fund			
		<u></u>			
	••				
l. (a)	Name of the private i	fund: *			
	INDIGO SINGAPORE	PARTNERS LP			
(b)	Private fund identifica	ation number: *	· · · · ·		
(-)	(include the "805-" p				
	(1)				

2. Under the laws of what state or country is the *private fund* organized:

State:	Country: *
Delaware	UNITED STATES

3. Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

....

		Manager, Trustee, or Director
INDIGO SINGAPOF		
The <i>private fund</i> (c	heck all that apply; you must check	at least one): *
(1) qualifies for Investment Compa	1	of investment company under section 3(c)(1) of the
(2) qualifies for Investment Compa		of investment company under section 3(c)(7) of the
reaistered.	country, in English, of each foreign f	<i>inancial regulatory authority</i> with which the <i>private fund</i> is
		rmation Filed
		Yes N
(a) Is this a "maste	er fund" in a master-feeder arrang $\stackrel{!}{\downarrow}$ r	ment? *
(b) If yes, what is private fund?	the name and <i>private fund</i> identifica	tion number (if any) of the feeder funds investing in this
	Private Fund Name	Private Fund Identification Number
INDIGO INVESTME	ENTS SINGAPORE LLC	
LONG BAR PARTNE	ERS LLC	
(d) If yes, what is private fund in Name of the Pr Private Fund Id	vests?	Yes Nonent? *
single Schedule D, If you are filing a s	Section 7.B.(1). for the master-feed	feeder arrangement regardless of whether you are filing a ler arrangement or reporting on the funds separately. r a master-feeder arrangement according to the instruction swer the following questions:
Additional Feede	er Fund Information : 2 Record(s	) Filed.
7. If you are filin	g a single Schedule D, Section 7.B.(	1) for a master-feeder arrangement according to the

instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

Name of General Partner, Manager, Trustee, or Director         INDIGO MANAGEMENT LLC         (e) The private fund (check all that apply; you must check at least one): *         (e) The private fund (check all that apply; you must check at least one): *         (f) (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) the Investment Company Act of 1940         (f) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.         No Information Filed         If you are filing a single Schedule D, Section 7.B. (1) for a master-feeder arrangement according to the instructions to this Section 7.B. (1), for each of the feeder funds answer the following questions:         (a) Name of the private fund: *         LONG BAR PARTNERS LLC         (b) Private fund identification number: *         (include the "805-" prefix also)         (c) Under the laws of what state or country is the private fund organized:         State:       Country: *         Delaware       UNITED STATES         (d) Name(s) of General Partner, Manager, Trustee, or Director (or persons serving in a similar capacity;         Name of General Partner, Manager, Trustee, or Director         (inDIGO MANAGEMENT LLC         (e) The private fund (check all that apply; you must check at least one): *		
<ul> <li>(b) Private fund identification number: * <ul> <li>(include the "805-" prefix also)</li> </ul> </li> <li>(c) Under the laws of what state or country is the private fund organized: <ul> <li>State:</li> <li>Country: *</li> <li>Delaware</li> <li>UNITED STATES</li> </ul> </li> <li>(d) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity) <ul> <li>INDIGO MANAGEMENT LLC</li> </ul> </li> <li>(e) The private fund (check all that apply; you must check at least one): * <ul> <li>(f) (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1)</li> <li>the Investment Company Act of 1940</li> <li>(f) (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7)</li> <li>the Investment Company Act of 1940</li> </ul> </li> <li>(f) List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. <ul> <li>No Information Filed</li> </ul> </li> <li>(f) List the name and country, in English, of each of the feeder funds answer the following questions: <ul> <li>(a) Name of the private fund is registered.</li> <li>No Information Filed</li> </ul> </li> <li>(f) List the name and country, in or cach of the feeder funds answer the following questions: <ul> <li>(b) Private fund identification number: * <ul> <li>(include the "805-" prefix also)</li> </ul> </li> <li>(c) Under the laws of what state or country is the private fund organized:</li> <li>State:</li> <li>Country: * <ul> <li>Delaware</li> <li>UNITED STATES</li> </ul> </li> <li>(d) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity:</li> <li>Name of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity:</li> <li>Name of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity:</li> <li>Name of General Partner, Manager, Trustee, or Director [INDIGO MANAGEMENT LLC</li> </ul> </li></ul>	(a)	Name of the private fund: *
<ul> <li>(include the "805-" prefix also)</li> <li>(c) Under the laws of what state or country is the <i>private fund</i> organized: State: Country: * Delaware UNITED STATES</li> <li>(d) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity) Name of General Partner, Manager, Trustee, or Director</li> <li>[INDIGO MANAGEMENT LLC</li> <li>(e) The <i>private fund</i> (check all that apply; you must check at least one): * (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) the Investment Company Act of 1940 (1) List the name and country, in English, of each foreign financial regulatory authority with which the <i>private fund</i> is registered. No Information Filed 11 you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions: (a) Name of the <i>private fund</i>: * LONG BAR PARTNERS LLC (b) <i>Private fund</i> identification number: * (include the "805-" prefix also) (c) Under the laws of what state or country is the <i>private fund</i> organized: State: Country: * Delaware UNITED STATES (d) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity; Name of General Partner, Manager, Trustee, or Directors [INDIGO MANAGEMENT LLC (e) The <i>private fund</i> (check all that apply; you must check at least one): * (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1)</li> </ul>		INDIGO INVESTMENTS SINGAPORE LLC
<ul> <li>(include the "805-" prefix also)</li> <li>(c) Under the laws of what state or country is the <i>private fund</i> organized: State: Country: * Delaware UNITED STATES</li> <li>(d) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity) Name of General Partner, Manager, Trustee, or Director</li> <li>[INDIGO MANAGEMENT LLC</li> <li>(e) The <i>private fund</i> (check all that apply; you must check at least one): * (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) the Investment Company Act of 1940 (1) List the name and country, in English, of each foreign financial regulatory authority with which the <i>private fund</i> is registered. No Information Filed 11 you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions: (a) Name of the <i>private fund</i>: * LONG BAR PARTNERS LLC (b) <i>Private fund</i> identification number: * (include the "805-" prefix also) (c) Under the laws of what state or country is the <i>private fund</i> organized: State: Country: * Delaware UNITED STATES (d) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity; Name of General Partner, Manager, Trustee, or Directors [INDIGO MANAGEMENT LLC (e) The <i>private fund</i> (check all that apply; you must check at least one): * (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1)</li> </ul>		
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INDIGO MANAGEMENT LLC         (e) The private fund (check all that apply; you must check at least one): *         Image: the investment Company Act of 1940         Image: the investe fund	(a)	
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Name of General Partner, Manager, Trustee, or Director         INDIGO MANAGEMENT LLC         (e) The private fund (check all that apply; you must check at least one): *         (f) qualifies for the exclusion from the definition of investment company under section 3(c)(1)		
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(1) qualifies for the exclusion from the definition of investment company under section $3(c)(1)$		
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(1) qualifies for the exclusion from the definition of investment company under section $3(c)(1)$	(م)	The private fund (check all that apply: you must check at least one): *
(1) qualifies for the exclusion from the definition of investment company under section 5(c)(1)	(2)	
the Investment Company Act of 1940		(1) qualifies for the exclusion non-the definition of investment company under section 5(2)(1)
		the Investment Company Act of 1940

.....

		_	
	$\square$ (2) qualifies for the exclusion from the definition of investment company under section 3(c)( the Investment Company Act of 1940	7) of	
	(f) List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	9	
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder fur invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feede investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares interests, and each class (or series) invests substantially all of its assets in a single master fund.	r fund or	d"
0	(a) In this private fund a "fund of funds"? *	Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"? *	0	O
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	C	<u>o</u>
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total a other pooled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment cor		ies.
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)? *	C	0
10.	. What type of fund is the <i>private fund</i> ? *		
	$\odot$ hedge fund $\odot$ liquidity fund $f C$ private equity fund $f O$ real estate fund $f O$ securitized asset fund $f \Theta$ v	entur	re
	capital fund  Other <i>private fund</i>		
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund please see Instruction 6 of the Instructions to Part 1A.	l type	≥s,
11.	Current gross asset value of the private fund: * \$ 14,001,000		
<u>0w</u>	vnership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : * \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different the amount set forth in the organizational documents of the fund).	nt fro	m
13.	Approximate number of the <i>private fund</i> 's beneficial owners: *		
	4		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persor</i> 7%	): *	·
15.	. What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of 0%	funds	5: *
16.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :	*	

:|

	-	
33%		
Your Advisory Services	Yes	No
17. (a) Are you a subadviser to this private fund? *	~	no c
(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the advis	ہے۔ er of th	e e
private fund. If the answer to question 17(a) is "no," leave this question blank.		
No Information Filed		
	Yes	No
18. (a) Do any other investment advisers advise the private fund? *	С	C
(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other to the <i>private fund</i> . If the answer to question 18(a) is "no," leave this question blank.	advise	rs
No Information Filed		
1 :	Yes	No
19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?*	C	C
	100	
20. Approximately what percentage of your clients has invested in the private fund?		
0%		
Private Offering	Voc	; No
21. Does the private fund rely on an exemption from registration of its securities under Regulation D of the	-	
Securities Act of 1933? *	C	6
22. If yes, provide the <i>private fund</i> 's Form D file number (if any):		
No Information Filed		
B. SERVICE PROVIDERS		
Auditors		
	Yes	No
23. (a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit? *	ſ.	Δ
(2) Are the financial statements prepared in accordance with U.S. GAAP? *	e	ñ
If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the private fund us		e
than one auditing firm, you must complete questions (b) through (f) separately for each auditing fir	m.	
Additional Auditor Information : 1 Record(s) Filed.		
If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the private fund	uses	·
more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) through (f) separately for each auditing firm, you must complete questions (b) through (f) through (f) separately for each auditing firm, you must complete questions (b) through (f) through (f) through (f) through (f) through (f) throu		
firm.	-	
(b) Name of the auditing firm: *		
PRICEWATERHOUSECOOPERS LLP		
(c) The location of the auditing firm's office responsible for the private fund's audit (city, state an	1	
(c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state an country):	ţ	
	ţ	

	(d) Is the auditing firm an <i>independent public accountant</i> ? *	Yes ©	No C
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board? $*$	C	Ċ
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	C	n
		<b>X</b>	
	Are the <i>private fund</i> 's audited financial statements distributed to the <i>private fund</i> 's investors?	Yes ©	5 N(
(ħ)	Does the report prepared by the auditing firm contain an unqualified opinion? *		
	• Yes • No • Report Not Yet Received If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to your response when the report is available.	upda	te
<u>Prime</u>	Broker	Yes	5 N
24. (a)	Does the private fund use one or more prime brokers? *	C	4
	If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker th <i>fund</i> uses. If the <i>private fund</i> uses more than one prime broker, you must complete questions (b) th separately for each prime broker.		
	No Information Filed		•
<u>Custod</u>	lian	Ye	5 N
25. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? *		Ç
	If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>pr</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (f separately for each custodian.		func
	Additional Custodian Information : 1 Record(s) Filed.		
	If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through separately for each custodian.	•	
	(b) Legal name of custodian: * JP MORGAN CHASE NA		
	(c) Primary business name of custodian: * JP MORGAN CHASE		
	(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, and country):	state	

			-	-	
	City: *	State:	Country: *		
į	DALLAS	Texas	UNITED STATES		
:				Yes	No
-	(e) Is the custodiar	n a <i>related person</i> of y	our firm? *	с	ø
-					
	(f) If the custodian	is a broker-dealer, pr	ovide its SEC registration number (if any)		
	-				
	CRD Number (il	f any):			
۰. ب	, , ,, ,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,	<b>,</b>			
•					
<u>Adminis</u>	trator	•			
				Yes	5 NO
• •	-		other than your firm? *	C	C
		•	questions (b) through (f) below. If the <i>private fund</i>		than
	one administrator, yo	ou must complete ques	stions (b) through (f) separately for each administra	110 <b>F</b> .	
i.			No Information Filed		
27 Durii	na vour last fiscal ve	ar what perceptage of	f the <i>private fund</i> 's assets (by value) was valued by	a nerson s	such
		is not your related per		a person, s	Such
0%		·····			
Inclu	de only those assets	where (i) such persor	n carried out the valuation procedure established for	r that asset	, if
			nd (ii) the valuation used for purposes of investor s		-
rede	mptions or distribution	ons, and fee calculation	ns (including allocations) was the valuation determi	ned by such	h
perse	on.				
Markete	<u>ers</u>				••
20 (-) (					s No
	Does the <i>private func</i> purposes? *	d use the services of so	pmeone other than you or your <i>employees</i> for mark	eting C	Ð
		s" whether the person	acts as a placement agent, consultant, finder, intro	ducer	
	•	-	ar person. If the answer to 28(a) is "yes", respond	•	s (h`
			the <i>private fund</i> uses. If the <i>private fund</i> uses more	•	
			through (g) separately for each marketer.		
1			!		
			No Information Filed		
			······································	·····	
	~~~~~		:		· - · -
A. PRIVA	TE FUND				
Informa	tion About the Priv	<u>ate Fund</u>			
• •	Name of the <i>private i</i>		į		
	LONG BAR LATAM II				
(b) /	Private fund identifica	ation number: *	4		
	<b></b> . <b>. . . . . .</b>				
(	(include the "805-" p				

•

State:

::

2. Under the laws of what state or country is the *private fund* organized:

Country: \* CAYMAN ISLANDS

3.	Name(s) of General Partner, Manager,	, Trustee, or Directors	(or persons serving in a similar	capacity):

...

$\downarrow$	Name of General Partner, Manager, Trustee, or Director
Ŀ	INDIGO LATAM MANAGEMENT LLC
	he <i>private fund</i> (check all that apply; you must check at least one): *
	(1) qualifies for the exclusion from the definition of investment company under section $3(c)(1)$ of the nvestment Company Act of 1940
	$\Box$ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the nvestment Company Act of 1940
	ist the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is egistered.
	No Information Filed
	Yes
(	a) Is this a "master fund" in a master-feeder arrangement? *
(	b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?
_	No Information Filed
	Yes
(	c) Is this a "feeder fund" in a master-feeder arrangement? *
(	d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this private fund invests?
	Name of the <i>Private Fund</i> :
	<i>Private Fund</i> Identification Number: (include the "805-" prefix also)
	IOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a ingle Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separately.
	f you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructic o this Section 7.B.(1), for each of the feeder funds answer the following questions:
	No Information Filed
•	

		~	
	investing in a "master fund" for purposes of this question if interests, and each class (or series) invests substantially all		
		Ye	s No
8.	(a) Is this <i>private fund</i> a "fund of funds"? *	C	) C
	(b) If yes, does the <i>private fund</i> invest in funds managed b	· · · · · · · · · · · · · · · · · · ·	
	NOTE: For purposes of this question only, answer "yes" if the other pooled investment vehicles, whether or not they are a	also private funds, or registered investment compa	
9.	During your last fiscal year, did the <i>private fund</i> invest in se registered under the Investment Company Act of 1940 (oth provided in Instruction 6.e.)? *	· · · · ·	o O
10.	What type of fund is the private fund? *		
	$oldsymbol{\circ}$ hedge fund $oldsymbol{\circ}$ liquidity fund $oldsymbol{\circ}$ private equity fund $oldsymbol{\circ}$	real estate fund $ {f O} $ securitized asset fund $ {f O} $ vent	ure
	capital fund O Other private fund		
	NOTE: For funds of funds, refer to the funds in which the properties the provide the provide the provide the provide the second	<i>ivate fund</i> invests. For definitions of these fund ty	pes,
11.	Current gross asset value of the <i>private fund</i> : * \$ 103,110		
<u>0w</u>	nership		
12.	Minimum investment commitment required of an investor in \$ 50,000	n the private fund: *	
	NOTE: Report the amount routinely required of investors w the amount set forth in the organizational documents of the		rom
13.	Approximate number of the <i>private fund</i> 's beneficial owners	: *	
14.	What is the approximate percentage of the <i>private fund</i> ber 1%	neficially owned by you and your <i>related person</i> : *	·
15.	What is the approximate percentage of the <i>private fund</i> ber 0%	neficially owned (in the aggregate) by funds of fun	ds: *
16.	What is the approximate percentage of the <i>private fund</i> ber 99%	neficially owned by non-United States persons: *	
<u>Yoı</u>	ur Advisory Services		
17.	(a) Are you a subadviser to this private fund? *	Ye	s No
	(b) If the answer to question 17(a) is "yes," provide the na		) <b>(</b> ) he
	private fund. If the answer to question 17(a) is "no," le	ave this question blank. ation Filed	
		* Tologin has not a 199 for the second s	s No
18.	(a) Do any other investment advisers advise the private fu		
		۵ <u>ــ</u>	

(b) If the answer to question 18(a) is "yes," provide the to the private fund. If the answer to question 18(a)	e name and SEC file number, if any, of the other advisers is "no," leave this question blank.
No Inf	formation Filed
	Yes N
19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ? *	* 0 (
20. Approximately what percentage of your <i>clients</i> has inve 0%	sted in the <i>private fund</i> ?
Private Offering	Yes N
21. Does the <i>private fund</i> rely on an exemption from regist Securities Act of 1933? *	
22. If yes, provide the private fund's Form D file number (if	f any):
No Infor	rmation Filed
B. SERVICE PROVIDERS	
Auditors	Yes N
23. (a) (1) Are the private fund's financial statements subj	ect to an annual audit? *
(2) Are the financial statements prepared in accord	
than one auditing firm, you must complete question	tions (b) through (f) below. If the <i>private fund</i> uses more ns (b) through (f) separately for each auditing firm. formation Filed
(g) Are the <i>private fund</i> 's audited financial statements	distributed to the <i>private fund</i> 's investors?
(h) Does the report prepared by the auditing firm conta	₹ ¥ ¥
C Yes C No C Report Not Yet Received If you check "Report Not Yet Received," you must p your response when the report is available.	promptly file an amendment to your Form ADV to update
Prime Broker	Yoo N
24. (a) Does the <i>private fund</i> use one or more prime broke	ers? *
If the answer to 24(a) is "yes," respond to question	ns (b) through (e) below for each prime broker the <i>private</i> prime broker, you must complete questions (b) through (e
No In	formation Filed
Custodian	

Additional Custodian Information : 1 Record(s) Filed.         If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>p</i> fund uses. If the private fund uses more than one custodian, you must complete questions (b) throus separately for each custodian.         (b) Legal name of custodian: *         JP MORGAN CHASE NA         (c) Primary business name of custodian: *         JP MORGAN CHASE         (d) The location of the custodian's office responsible for custody of the private fund's assets (city, s and country):         City: *       State:         Country: *         DALLAS       Texas         (e) Is the custodian is a broker-dealer, provide its SEC registration number (if any)         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         .         . <td< th=""><th>ugh (f)</th></td<>	ugh (f)
fund uses. If the private fund uses more than one custodian, you must complete questions (b) throeseparately for each custodian.         (b) Legal name of custodian: *         JP MORGAN CHASE NA         (c) Primary business name of custodian: *         JP MORGAN CHASE         (d) The location of the custodian's office responsible for custody of the private fund's assets (city, s and country):         City: *       State:         Country: *         DALLAS       Texas         (e) Is the custodian a related person of your firm? *         (f) If the custodian is a broker-dealer, provide its SEC registration number (if any)         CRD Number (if any):	ugh (f) tate <b>Yes N</b> i
JP MORGAN CHASE NA (c) Primary business name of custodian: * JP MORGAN CHASE (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, s and country): City: * State: Country: * DALLAS Texas UNITED STATES (e) Is the custodian a <i>related person</i> of your firm? * (f) If the custodian is a broker-dealer, provide its SEC registration number (if any) CRD Number (if any): Strator Does the <i>private fund</i> use an administrator other than your firm? *	Yes N
JP MORGAN CHASE (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, s and country): City: * State: Country: * DALLAS Texas UNITED STATES (e) Is the custodian a <i>related person</i> of your firm? * (f) If the custodian is a broker-dealer, provide its SEC registration number (if any) - CRD Number (if any):  Strator Does the <i>private fund</i> use an administrator other than your firm? *	Yes N
and country): City: * State: Country: * DALLAS Texas UNITED STATES (e) Is the custodian a <i>related person</i> of your firm? * (f) If the custodian is a broker-dealer, provide its SEC registration number (if any) - CRD Number (if any): trator Does the <i>private fund</i> use an administrator other than your firm? *	Yes N
DALLAS       Texas       UNITED STATES         (e) Is the custodian a related person of your firm? *       (f) If the custodian is a broker-dealer, provide its SEC registration number (if any)	
<ul> <li>(e) Is the custodian a <i>related person</i> of your firm? *</li> <li>(f) If the custodian is a broker-dealer, provide its SEC registration number (if any)         <ul> <li>CRD Number (if any):</li> </ul> </li> <li>ctrator</li> <li>Does the <i>private fund</i> use an administrator other than your firm? *</li> </ul>	
<ul> <li>(e) Is the custodian a <i>related person</i> of your firm? *</li> <li>(f) If the custodian is a broker-dealer, provide its SEC registration number (if any)         <ul> <li>CRD Number (if any):</li> </ul> </li> <li>trator</li> <li>Does the <i>private fund</i> use an administrator other than your firm? *</li> </ul>	
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any) - CRD Number (if any): trator Does the <i>private fund</i> use an administrator other than your firm? *	• •_
Does the <i>private fund</i> use an administrator other than your firm? *	
	Yes
If the answer to 26(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses n	C
one administrator, you must complete questions (b) through (f) separately for each administrator.	iore th
No Information Filed	
ing your last fiscal year, what percentage of the <i>private fund</i> 's assets (by value) was valued by a <i>pers</i> an administrator, that is not your <i>related person</i> ? *	òn, su
lude only those assets where (i) such person carried out the valuation procedure established for that a	isset, i
, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscrip	tions,
emptions or distributions, and fee calculations (including allocations) was the valuation determined by son.	such

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<u>Marketers</u>	Yes No
28. (a) Does the <i>private fund</i> use the services of purposes? *	of someone other than you or your <i>employees</i> for marketing $\mathbf{C}$
	son acts as a placement agent, consultant, finder, introducer,
-	imilar person. If the answer to 28(a) is "yes", respond to questions (b)
	ter the <i>private fund</i> uses. If the <i>private fund</i> uses more than one
marketer you must complete questions	(b) through (g) separately for each marketer.
•	
	No Information Filed
A. PRIVATE FUND	
Information About the Drivate Fund	
Information About the <i>Private Fund</i>	
1. (a) Name of the private fund: *	
LONG BAR LATAM LLC	
(b) <i>Private fund</i> identification number: *	
(include the "805-" prefix also)	
2. Under the laws of what state or country is t	he private fund organized
-	puntry: *
	NITED STATES
	tee, or Directors (or persons serving in a similar capacity):
	al Partner, Manager, Trustee, or Director
INDIGO LATAM MANAGEMENT LLC	
4. The <i>private fund</i> (check all that apply; you	
(1) qualifies for the exclusion from the Investment Company Act of 1940	e definition of investment company under section 3(c)(1) of the
<b>—</b>	
(2) qualifies for the exclusion from the Investment Company Act of 1940	e definition of investment company under section 3(c)(7) of the
Investment Company Act of 1940	
5. List the name and country, in English, of ea	ch foreign financial regulatory authority with which the private fund is
registered.	
	No Information Filed
	Yes No
6. (a) Is this a "master fund" in a master-feed	ler arrangement? * C C
(b) If yes, what is the name and private fur private fund?	nd identification number (if any) of the feeder funds investing in this
	No Information Filed
	Yes No
(c) Is this a "feeder fund" in a master-feed	er arrängement? *

		~	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which <i>private fund</i> invests?	1 this	
	Name of the <i>Private Fund</i> :		
	<i>Private Fund</i> Identification Number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you a single Schedule D, Section 7.B.(1). for the master-feeder arrangement or reporting on the funds separ	-	
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the to this Section 7.B.(1), for each of the feeder funds answer the following questions:	instructior	IS
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feede invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "fe investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of sha interests, and each class (or series) invests substantially all of its assets in a single master fund.	eder fund" res or	
0	(a) To this private find a line of fundally t	Yes N	0
8.	<ul> <li>(a) Is this private fund a "fund of funds"? *</li> <li>(b) If yes, does the private fund invest in funds managed by you or by a related person?</li> </ul>		
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its to	O O tal assets in	-
	other pooled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment		5.
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the exterprovided in Instruction 6.e.)? *	nt O 🕻	ð,
10.	0. What type of fund is the <i>private fund</i> ? *		
	$\circ$ hedge fund $\circ$ liquidity fund $\circ$ private equity fund $\circ$ real estate fund $\circ$ securitized asset fund $\circ$	9 venture	
	capital fund C Other <i>private fund</i>		
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these please see Instruction 6 of the Instructions to Part 1A	und types,	
11.	<ol> <li>Current gross asset value of the <i>private fund</i>: *</li> <li>\$ 1,868,000</li> </ol>	• · ·	
<u>0w</u>	wnership		
12.	2. Minimum investment commitment required of an investor in the <i>private fund</i> : *		
2	\$ 5,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if diff	erent from	
	the amount set forth in the organizational documents of the fund).		
		-	

13. Approximate number of the *private fund*'s beneficial owners: \*

15	
<ul><li>14. What is the approximate percentage of the <i>private fund</i> bene</li><li>8%</li></ul>	ficially owned by you and your <i>related person</i> : *
<ul><li>15. What is the approximate percentage of the <i>private fund</i> bene</li><li>0%</li></ul>	ficially owned (in the aggregate) by funds of funds: *
<ul><li>16. What is the approximate percentage of the <i>private fund</i> bene 0%</li></ul>	ficially owned by non-United States persons: *
Your Advisory Services	
17. (a) Are you a subadviser to this private fund? *	Yes No C ©
(b) If the answer to question 17(a) is "yes," provide the nam <i>private fund</i> . If the answer to question 17(a) is "no," leav	
No Informat	
	Yes No
18. (a) Do any other investment advisers advise the private fund	?* • • •
(b) If the answer to question 18(a) is "yes," provide the nam to the <i>private fund</i> . If the answer to question 18(a) is "no	e and SEC file number, if any, of the other advisers
No Informat	
	Yes No
19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ? *	0 0
20. Approximately what percentage of your <i>clients</i> has invested i 0%	n the <i>private fund</i> ?
Private Offering	
	Yes No
21. Does the <i>private fund</i> rely on an exemption from registration Securities Act of 1933? *	of its securities under Regulation D of the $\mathbf{C}$ ()
22. If yes, provide the <i>private fund</i> 's Form D file number (if any)	
No Informatio	
······································	· · · · · · · · · · · · · · · · · · ·
B. SERVICE PROVIDERS	• • •
Auditors	Vec. No.
23. (a) (1) Are the <i>private fund</i> 's financial statements subject to	an annual audit? *
(2) Are the financial statements prepared in accordance	
If the answer to 23(a)(1) is "yes," respond to questions (	e 0
than one auditing firm, you must complete questions (b)	
No Informat	ion Filed

(a)	Are the <i>private fund</i> 's audited financial	statements distributed to the <i>private fund</i> 's investors?	Yes	
		ng firm contain an unqualified opinion? *	С	Q
	C Yes C No C Report Not Yet Receiv			
	•	" you must promptly file an amendment to your Form ADV t	o update	5
rime B	Broker		Yes	N
4. (a)	Does the private fund use one or more	prime brokers? *	103	Ģ
		d to questions (b) through (e) below for each prime broker t re than one prime broker, you must complete questions (b)	-	te
		No Information Filed		
	-			
ustodi	ian		Vee	
	Does the <i>private fund</i> use any custodia all of its assets? *	ans (including the prime brokers listed above) to hold some	Yes <sup>or</sup> ©	N (
	uses. If the private fund uses more tha	d to questions (b) through (f) below for each custodian the , an one custodian, you must complete questions (b) through		uno
	· - · · · ·	an one custodian, you must complete questions (b) through		
	uses. If the <i>private fund</i> uses more tha separately for each custodian. Additional Custodian Information : If the answer to 25(a) is "yes," respo	an one custodian, you must complete questions (b) through	(f)	
	uses. If the <i>private fund</i> uses more tha separately for each custodian. Additional Custodian Information : If the answer to 25(a) is "yes," respo <i>fund</i> uses. If the <i>private fund</i> uses more	an one custodian, you must complete questions (b) through <b>: 1 Record(s) Filed.</b> and to questions (b) through (f) below for each custodian the	(f)	
	uses. If the <i>private fund</i> uses more tha separately for each custodian. Additional Custodian Information : If the answer to 25(a) is "yes," respo <i>fund</i> uses. If the <i>private fund</i> uses more separately for each custodian.	an one custodian, you must complete questions (b) through <b>: 1 Record(s) Filed.</b> and to questions (b) through (f) below for each custodian the more than one custodian, you must complete questions (b) th	(f)	
	uses. If the <i>private fund</i> uses more tha separately for each custodian. Additional Custodian Information : If the answer to 25(a) is "yes," respo <i>fund</i> uses. If the <i>private fund</i> uses more separately for each custodian. (b) Legal name of custodian: * JP MORGAN CHASE NA (c) Primary business name of custod JP MORGAN CHASE	an one custodian, you must complete questions (b) through <b>: 1 Record(s) Filed.</b> and to questions (b) through (f) below for each custodian the more than one custodian, you must complete questions (b) th	(f) e <i>private</i> rough (1	
	<ul> <li>uses. If the <i>private fund</i> uses more tha separately for each custodian.</li> <li>Additional Custodian Information :</li> <li>If the answer to 25(a) is "yes," respo fund uses. If the private fund uses museparately for each custodian.</li> <li>(b) Legal name of custodian: * <ul> <li>JP MORGAN CHASE NA</li> </ul> </li> <li>(c) Primary business name of custod JP MORGAN CHASE</li> <li>(d) The location of the custodian's of and country): <ul> <li>City: *</li> <li>State:</li> </ul> </li> </ul>	an one custodian, you must complete questions (b) through <b>: 1 Record(s) Filed.</b> and to questions (b) through (f) below for each custodian the hore than one custodian, you must complete questions (b) the lian: * ffice responsible for <i>custody</i> of the <i>private fund</i> 's assets (city Country: *	(f) e <i>private</i> rough (1	
	<ul> <li>uses. If the <i>private fund</i> uses more tha separately for each custodian.</li> <li>Additional Custodian Information :</li> <li>If the answer to 25(a) is "yes," respo <i>fund</i> uses. If the <i>private fund</i> uses museparately for each custodian.</li> <li>(b) Legal name of custodian: * <ul> <li>JP MORGAN CHASE NA</li> </ul> </li> <li>(c) Primary business name of custod JP MORGAN CHASE</li> <li>(d) The location of the custodian's of and country):</li> </ul>	an one custodian, you must complete questions (b) through <b>: 1 Record(s) Filed.</b> and to questions (b) through (f) below for each custodian the hore than one custodian, you must complete questions (b) the lian: * ffice responsible for <i>custody</i> of the <i>private fund</i> 's assets (city)	(f) e <i>private</i> prough (f	, F)
	<ul> <li>uses. If the <i>private fund</i> uses more tha separately for each custodian.</li> <li>Additional Custodian Information :</li> <li>If the answer to 25(a) is "yes," respo fund uses. If the private fund uses museparately for each custodian.</li> <li>(b) Legal name of custodian: * <ul> <li>JP MORGAN CHASE NA</li> </ul> </li> <li>(c) Primary business name of custod JP MORGAN CHASE</li> <li>(d) The location of the custodian's of and country): <ul> <li>City: *</li> <li>State:</li> </ul> </li> </ul>	an one custodian, you must complete questions (b) through <b>: 1 Record(s) Filed.</b> and to questions (b) through (f) below for each custodian the more than one custodian, you must complete questions (b) the flian: * ffice responsible for <i>custody</i> of the <i>private fund</i> 's assets (city Country: * UNITED STATES	(f) e <i>private</i> prough (f y, state	, Đ
	<ul> <li>uses. If the <i>private fund</i> uses more tha separately for each custodian.</li> <li>Additional Custodian Information :</li> <li>If the answer to 25(a) is "yes," respofund uses. If the <i>private fund</i> uses miseparately for each custodian.</li> <li>(b) Legal name of custodian: * <ul> <li>JP MORGAN CHASE NA</li> </ul> </li> <li>(c) Primary business name of custod JP MORGAN CHASE</li> <li>(d) The location of the custodian's off and country): <ul> <li>City: *</li> <li>State:</li> <li>DALLAS</li> <li>Texas</li> </ul> </li> <li>(e) Is the custodian a <i>related person</i></li> </ul>	an one custodian, you must complete questions (b) through <b>: 1 Record(s) Filed.</b> and to questions (b) through (f) below for each custodian the more than one custodian, you must complete questions (b) the flian: * ffice responsible for <i>custody</i> of the <i>private fund</i> 's assets (city Country: * UNITED STATES	(f) e <i>private</i> prough (f y, state	, f)

.....

Admini	strator	Yes No
26. (a)	Does the private fund use an administrator other	than your firm? * C O
		ons (b) through (f) below. If the private fund uses more than
	one administrator, you must complete questions (	b) through (f) separately for each administrator.
	No I	nformation Filed
	i	
asa	an administrator, that is not your <i>related person</i> ? *	rivate fund's assets (by value) was valued by a person, such
0%		d out the unlustice presedure established for that resat if
any red	, including obtaining any relevant quotes, and (ii)	d out the valuation procedure established for that asset, if the valuation used for purposes of investor subscriptions, uding allocations) was the valuation determined by such
Market	ers	
<u>riarite</u>		Yes No
28. (a)	Does the <i>private fund</i> use the services of someone purposes? *	e other than you or your <i>employees</i> for marketing $\mathbf{C}$ $\mathbf{G}$
	municipal advisor or other solicitor, or similar pers	s a placement agent, consultant, finder, introducer, son. If the answer to 28(a) is "yes", respond to questions (b) vate fund uses. If the private fund uses more than one (g) separately for each marketer.
	· · · · · · · · · · · · · · · · · · ·	
	No I	nformation Filed
SECTION	N 7.B.(2) Private Fund Reporting	
	No Infor	mation Filed
Item 10	Control Persons	
	em, we ask you to identify every person that, dire	ctly or indirectly, controls you.
If you ar informat If this is	e submitting an initial application or report, you minimized and initial application or report, you minimized application of the submitting and executive officers	ust complete Schedule A and Schedule B. Schedule A asks for Schedule B asks for information about your indirect owners. you reported on either Schedule A or Schedule B (or both)
	· · · ·	Yes No
	s any <i>person</i> not named in Item 1.A. or Schedules agement or policies? *	A, B, or C, directly or indirectly, <i>control</i> your

If yes, complete Section 10.A. of Schedule D.

B. If any *person* named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

SECTION 10.A. Control Persons

No Information Filed

#### SECTION 10.B. Control Person Public Reporting Companies

No Information Filed

#### **Item 11 Disclosure Information**

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your *advisory affiliates*. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below.

Your *advisory affiliates* are: (1) all of your current *employees* (other than *employees* performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any *person* performing similar functions); and (3) all *persons* directly or indirectly *controlling* you or *controlled* by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your *advisory affiliates* are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

		Yes	No
Do	any of the events below involve you or any of your supervised persons? *	C	G
<u>For</u>	"yes" answers to the following questions, complete a Criminal Action DRP:		
Α.	In the past ten years, have you or any advisory affiliate:	Yes	No
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any <i>felony</i> ? *	С	ē
	(2) been <i>charged</i> with any <i>felony</i> ? *	C	Ģ
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you limit your response to Item 11.A.(2) to charges that are currently pending.	ג may	
В.	<ul> <li>In the past ten years, have you or any <i>advisory affiliate</i>:</li> <li>(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses? *</li> </ul>	Ċ	Ģ
	(2) been charged with a misdemeanor listed in Item 11 B.(1)? *	С	•

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently pending.

For	"yes" answers to the following questions, complete a Regulatory Action DRP:		
С.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission? *	С	G
	(2) <i>found</i> you or any <i>advisory affiliate</i> to have been <i>involved</i> in a violation of SEC or CFTC regulations or statutes? *	0	G
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? *	O	0
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity? *	$\mathbf{c}$	©
	(5) imposed a civil money penalty on you or any <i>advisory affiliate</i> , or <i>ordered</i> you or any <i>advisory affiliate</i> to cease and desist from any activity? *	С	Ø
D,	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
	(1) ever <i>found</i> you or any <i>advisory affiliate</i> to have made a false statement or omission, or been dishonest, unfair, or unethical? *	С	0
•	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes? *	С	G
	(3) ever <i>found</i> you or any <i>advisory affiliate</i> to have been a cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted? *	С	C
	(4) in the past ten years, entered an <i>order</i> against you or any <i>advisory affiliate</i> in connection with an <i>investment-related</i> activity? *	С	0
-	(5) ever denied, suspended, or revoked your or any <i>advisory affiliate's</i> registration or license, or otherwise prevented you or any <i>advisory affiliate</i> , by <i>order</i> , from associating with an <i>investment-related</i> business or restricted your or any <i>advisory affiliate's</i> activity? *	С	•
Ε.	Has any self-regulatory organization or commodities exchange ever:		
:	(1) found you or any advisory affiliate to have made a false statement or omission? *	$\mathbf{c}$	0
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)? *	Ĉ	0
	(3) <i>found</i> you or any <i>advisory affiliate</i> to have been the cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted? *	С	G
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities? *	С	C)
<b>F.</b>	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended? *	C	ø
G.	Are you or any <i>advisory affiliate</i> now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.? *	C	œ
For	"yes" answers to the following questions, complete a Civil Judicial Action DRP:		
н.	(1) Has any domestic or foreign court:	Yes	No
:	(a) in the past ten years, enjoined you or any <i>advisory affiliate</i> in connection with any <i>investment-</i> <i>related</i> activity? *	С	G
:	(b) ever <i>found</i> that you or any <i>advisory affiliate</i> were <i>involved</i> in a violation of <i>investment-related</i> statutes or regulations? *	С	•

- (c) ever dismissed, pursuant to a settlement agreement, an *investment-related* civil action brought against you or any *advisory affiliate* by a state or *foreign financial regulatory authority*? \*
- (2) Are you or any *advisory affiliate* now the subject of any civil proceeding that could result in a "yes" on swer to any part of Item 11.H.(1)? \*

### Schedule A

### **Direct Owners and Executive Officers**

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- <sup>3.</sup> Do you have any indirect owners to be reported on Schedule B?  $^{6}$  Yes  $^{6}$  No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are:

NA - less than 5% A - 5% but less than 10%

B - 10% but less than 25% C - 25% but less than 50%

% D - 50% but less than 75%
% E - 75% or more

G

- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Status	Date Status Acquired MM/YYYY	Ownership Code	Control Person	PR	<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
WILSON, JOHN, R	I	VICE PRESIDENT (INDIGO PARTNERS. LLC)	04/2004	NA	Y	<b>N</b>	

			-				·- <b>~</b>
FRANKE, BRIAN, H	I	VICE PRESIDENT (INDIGO PARTNERS LLC)	04/2004	NA	Y	N	
FRANKE, WILLIAM, AUGUSTUS	I	MANAGING MEMBER (INDIGO PARTNERS LLC)	01/2004	E	Y	N	
FRANKE, WILLIAM, AUGUSTUS	I	MANAGING MEMBER (INDIGO INDONESIA MANAGEMENT LLC)	05/2006	E	Y	N	F
FRANKE, WILLIAM, AUGUSTUS	I	MANAGING MEMBER (INDIGO LATAM MANAGEMENT LLC)	07/2010	E	Y	N	· · · · · · · · · · · · · · · · · · ·
INDIGO MANAGEMENT LLC	DE	MANAGING MEMBER (INDIGO SINGAPORE LLC)	09/2004	NA	Y	N	· · · · · · · · · · · · · · · · · · ·
FRANKE, WILLIAM, AUGUSTUS	I	MANAGING MEMBER ( INDIGO HUNGARY MANAGEMENT LLC)	07/2004	E	Y	N	
FRANKE, WILLIAM, AUGUSTUS	I	MANAGING MEMBER (INDIGO MANAGEMENT LLC)	09/2004	Ε	Y	N	
INDIGO PACIFIC PARTNERS LLC	DE	DIRECT OWNER ( INDIGO PACIFIC MANAGEMENT LP)	02/2004	E	Y	N	
INDIGO PACIFIC CAPITAL LLC	DE	GENERAL PARTNER (INDIGO PACIFIC MANAGEMENT LP)	09/2004	В	Y	N	
FRANKE, WILLIAM, AUGUSTUS	I	MANAGING MEMBER (INDIGO SINGAPORE LLC)	02/2004	E	Y	N	-
FRANKE, WILLIAM, AUGUSTUS	I	MANAGING MEMBER (INDIGO RUSSIA MANAGEMENT LLC)	02/2007	E	Y	N	

### Schedule B

### **Indirect Owners**

- 1. Complete Schedule B only if you are submitting an initial application. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.

- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E -

E - 75% or more

D - 50% but less than 75% |F - Other (general partner, trustee, or elected manager)

- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)		Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	Ownership Code	Control Person	PR	<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
FRANKE, WILLIAM, AUGUSTUS	I	INDIGO MANAGEMENT LLC	MANAGING MEMBER	09/2004	E	Y	N	
FRANKE, WILLIAM, AUGUSTUS	I	INDIGO PACIFIC CAPITAL	MANAGING MEMBER	09/2004	E	Y	N	/
FRANKE, WILLIAM, AUGUSTUS	I	INDIGO PACIFIC PARTNERS LLC	MANAGING MEMBER	09/2004	E	Y	N	· · · · · · · · · · · ·

### Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

DRP Pages	DRF	P Pa	iges
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# CRIMINAL DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

# CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

Execution Pages	
DOMESTIC INVESTMENT ADVISER EXECUTION PAGE	GE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

## Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

### Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: *	Date: MM/DD/YYYY *
TERRY GIBSON	03/30/2012
Printed Name:	Title: *
TERRY GIBSON	FINANCE DIRECTOR
Adviser CRD Number:	
163648	

### **NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE**

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

## 1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these

acts, or (ii) the laws of any state in which you are submitting a notice filing.

## 2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

## 3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

### Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

		,	Ľ
	Signature: * Printed Name:	Date: MM/DD/YYYY *	ļ
ļ	Printed Name:	Title: *	
-	Adviser CRD Number:		
:	163648		
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