

City of Chicago



Office of the City Clerk

Document Tracking Sheet

Meeting Date: 9/11/2013

Sponsor(s): City Clerk (transmitted by) (Clerk)

Type: Ordinance

Title: Zoning Reclassification App No. 17812 at 4609-4639 S

Wabash Ave, 56-60 E 47th St, 4616-4658 S Michigan Ave

and 53-61 E 46th St

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code, the Chicago Zoning Ordinance, is hereby amended by changing all of the B3-3 Community Shopping District, B3-2 Community Shopping District and RM5 Multi-Unit District symbols and indications as shown on Map No. 10-E in the area bounded by:

South Wabash Avenue, East 47th Street, South Michigan Avenue; a line 175.06' south of and parallel to the south line of East 46th Street; a line 110.00' west of and parallel to the west line of South Michigan Avenue; a line 60.00' south of and parallel to the south line of East 46th Street; a line 161.00' west of and parallel to the west line of South Michigan Avenue; and East 46th Street

to those of a B2-3 Neighborhood Mixed-Use District.

SECTION 2. This ordinance takes effect after its passage and approval.

Common Address of Property: 4609-39 South Wabash Avenue, 56-60 East 47th Street, 4616-4658 S. Michigan Avenue, and 53-61 East 46th Street, Chicago, Illinois 60653

#17812 INTRO DHE: SEPT. 11, 2013

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:
	4609-39 South Wabash Avenue, 56-60 East 47th Street, 4616-4658 S. Michigan Avenue, and 53-61 East 46th Street, Chicago, Illinois 60653
2.	Ward Number that the property is located in: Third
3.	APPLICANT Rosenwald Courts Apartments, LP
	ADDRESS 20 Sandstone Court CITY LeClaire
	STATE <u>Iowa</u> ZIP CODE <u>52753</u> PHONE <u>(536) 441-6123</u>
	EMAIL jbergman@valleyb.com CONTACT PERSON James Bergman
4.	Is the applicant the owner of the property? YES X NO If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.
	OWNER
	ADDRESSCITY
	STATE ZIP CODE PHONE ()
	EMAIL CONTACT PERSON
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:
	ATTORNEY Elvin E. Charity, Esq.
	ADDRESS 20 N. Clark Street, Suite 1150
	CITY Chicago STATE Illinois ZIP CODE 60602
	PHONE (312) 849-9000 FAX (312) 849-9001 EMAIL elvin.charity@charity-associates.com

On what data did the awa	ur acquire local title	to the subject property? Jan	
On what date did the owner	er acquire legal title	to the subject property? Jan	uary 23, 2013
Has the present owner prev	viously rezoned this	property? If yes, when?	<u> </u>
4			-
Present Zoning District <u>B</u>	3-3, B3-2 & RM-5	Proposed Zoning Distric	et <u>B2-3</u>
Lot size in square feet (or o	dimensions)	241,148	
Current Use of the Propert	y: Vacant Building		
one zoning classification of development containing ap of commercial/retail/office	that permits a proper proximately 239 respace.	Co consolidate the propert osed mixed-use residential esidential units and 79,000 g	and commercial gross square fee
	parking spaces; app	after the rezoning. Indicate proximate square footage of SE SPECIFIC)	
into a mixed-use residenti	al and commercial	ng building located on the development containing ap of commercial/retail/office	proximately 23
Ordinance (ARO) that contribution if residentia circumstances. Based on	requires on-site a l housing projects the lot size of the p	unsel passed the Affordable affordable housing units receive a zoning changoroject in question and the Affordable Requirements (or a financia e under certair proposed zoning

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Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Elvin E. Charity, counsel to Rosenwald Courts Apartments, LP, being first duly sworn on oath, deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of said property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file an application for a change in zoning on approximately August 21, 2013.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Elvin E. Charity, counsel to Rosenwald Courts Apartments, LP

Subscribed and Sworn to before me this 2/5 day of August, 2013

Notary Public

OFFICIAL SEAL.
TIMOTHY K. HINCHMAN
Notary Public - State of Illinois
My Commission Expires Jan 24, 2015

ATTORNEYS AT LAW

August 21, 2013

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about August 20, 2013, the undersigned will file an application for a change in zoning for the property located at 4609-39 South Wabash Avenue, 56-60 East 47th Street, 4616-4658 S. Michigan Avenue, and 53-61 East 46th Street, Chicago, Illinois 60653 (the "Property") from B3-3 Community Shopping District, B3-2 Community Shopping District and RM-5 Multi-Unit District to B2-3 Neighborhood Mixed-Use District on behalf of our client, Rosenwald Courts Apartments, LP, an Illinois limited partnership (the "Applicant").

The Applicant intends to rehabilitate the existing building situated on the Property into a mixed-use residential and commercial development containing approximately 239 residential units and 79,000 gross square feet of commercial/retail/office space.

The Applicant is located at 20 Sandstone Court, LeClaire, Iowa, 52753. The contact person for this application is Elvin E. Charity at Charity & Associates, P.C., 20 North Clark Street, Suite 1150, Chicago, Illinois 60602. Our telephone number is (312) 849-9000.

Please note that the Applicant is not seeking to rezone or purchase your property. The Applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Elvin E. Charity

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party	submittir	ng this EDS. Inc	clude d/b/a	/ if applicable:
Rosenwald Courts Apartments, LP				
Check ONE of the following three be	oxes:			
Indicate whether the Disclosing Party s 1. [] the Applicant OR 2. [] a legal entity holding a direct Applicant in which the Disclosin OR 3. [] a legal entity with a right of c which the Disclosing Party holds a	or indired g Party ho	et interest in the olds an interest:	.) State the	e legal name of the entity in
B. Business address of the Disclosing		20 Sandstone C LeClaire, IA 52	ourt	
C. Telephone:F	ax:	None	Email: _	jbergman@valleyb.com
D. Name of contact person:	James N. Be	ergman		
E. Federal Employer Identification No	. (if you h	ave one):	<u>.</u>	<u></u>
F. Brief description of contract, transact which this EDS pertains. (Include projection 4609-39 South Wabash Avenue, 56-60 East Amendment	ject numb	er and location	of property	, if applicable):
G. Which City agency or department is	s requesti	ng this EDS?	Dept. of Housin	ng and Economic Development
If the Matter is a contract being han complete the following:	dled by th	e City's Departi	ment of Pro	ocurement Services, please
Specification #		and Contract	: #	

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [] Limited liability company [] Limited liability partnership [] Publicly registered business corporation [] Privately held business corporation [] Joint venture [] Not-for-profit corporation [] Sole proprietorship (Is the not-for-profit corporation also a 501(c)(3))? [] General partnership Limited partnership []Yes []No [] Other (please specify) [] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes []No [] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. **NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title General Partner Rosenwald Courts GP, LLC

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party	
SEE ATTACHMENT NO	D. 1	<u> </u>	
At closing, GB Rosenwa	ald's 99.99% limited partner interest will	be transferred as follows:	
0.01% by Credit Capital	, LLC, Delaware limited liability compar	ny and	
99.98% by USA Rosenv	vald Courts LLC, a Delaware limited liat	pility company	

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

M No

[]Vec

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] 1 03	[4] 140
If yes, please identify below relationship(s):	w the name(s) of such City elected official(s) and describe such

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

ATTACHMENT NO. 1 TO ROSENWALD COURTS APARMENTS, LP ECONOMIC DISCLOSURE STATEMENT

Name	Business Address	Percentage Interest in Company
Rosenwald Courts GP, LLC	20 Sandstone Ct LeClaire, IA 52753	.01%
GB Rosenwald, LLC	20 Sandstone Ct LeClaire, IA 52753	99.9949%
Landwhite Developers LLC	15828 Clarendon Hills Drive Granger, IN 46530	0.425%
1990 Maria Landesman Trust	c/o Morton Taubman 1201 15th Street, N.W., Second Floor Washington, D.D.20005	0.2975% through 1990 Maria Landesman Trust
David L. Roos	15828 Clarendon Hills Drive Granger, IN 46530	.0425% through Landwhite Developers LLC
James N. Bergman	20 Sandstone Ct LeClaire, IA 52753	49.99745% through GB Rosenwald, LLC
Amit Goel	2001 Kirby Dr Suite 900 Houston, TX 77019	49.99745% through GB Rosenwald, LLC

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is
SEE ATTACHMENT NO. 2	·····		not an acceptable response.
(Add sheets if necessary)			
		as not retained, nor expects to retain	ı, any such persons or entities
SECTION V CERTIF	FICATIONS		
A. COURT-ORDERED	CHILD SUP	PORT COMPLIANCE	
		2-415, substantial owners of business the their child support obligations thr	
	-	tly owns 10% or more of the Disclosons by any Illinois court of compete	-
[] Yes [] N		No person directly or indirectly owns isclosing Party.	10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []N	10		
B. FURTHER CERTIFI	CATIONS		
1. Pursuant to Munic	cipal Code Ch	apter 1-23, Article I ("Article I")(wh	nich the Applicant should

consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party

with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged

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ATTACHMENT NO. 2 TO ROSENWALD COURTS APARMENTS, LP ECONOMIC DISCLOSURE STATEMENT

Name	Business Address	Relationship to Disclosing Party	Fees (Paid or Estimated)
DLA Piper LLP (US)	203 North LaSalle Street Suite 1900 Chicago, Illinois 60601	Attorneys	\$600,000-Estimated
Charity & Associates	20 North Clark Street Suite 1150 Chicago, Illinois 60602	Attorneys	\$50,000-Estimated
ERS Enterprises, Inc.	100 North LaSalle Street Suite 1515 Chicago, Illinois 60602	TIF Consultant	\$150,000-Estimated
Evans Construction/Consulting	455 East Illinois Street Suite 360 Chicago, Illinois 60611	Construction Consultant	\$50,000
MacRostie Historic Advisors, LLC	53 West Jackson Blvd. Suite 1357 Chicago, Illinois 60604	Historic Rehabilitation Consultant	\$70,000-Estimated
Hartshorne Plunkard Associates	232 North Carpenter Street Chicago, Illinois 60607	Architect	\$1,500,000- Estimated
Sollitt/Powers/Brown JV	790 North Central Wooddale, IL 60191	General Contractor	\$47,700,000- Estimated
Burling Tishman JV	1 S Wacker Suite #2300 Chicago, IL 60606	General Contractor & Construction Consultant	\$15,500,000- Estimated
CohnReznick Accountants	7501 Wisconsin Ave Suite 400 E Bethesda, MD 20814	Accountants	\$150,000-Estimated

Name	Business Address	Relationship to	Fees
		Disclosing Party	(Paid or
			Estimated)
GSG Consultants	855 W Adams	Environmental	\$100,000-Estimated
	Suite 200	Consultants	
	Chicago, IL 60607		
Gremley & Biedermann,	4505 N Elston Ave	Surveyor	\$30,000-Estimated
Inc.	Chicago, IL 60630		
Burnham Nationwide,	111 W Washington	Permit Expediter	\$30,000-Estimated
Inc	4th FI	•	
	Chicago, IL 60602		
Valerie S Kretchmer	2707 Walnut Ave	Market Study	\$20,000-Estimated
Associates, Inc.	Evanston, IL 60201		
Bingham McCutchen	1010 K Street NW	Legal	\$250,000-Estimated
LLP	Washington, DC 20006		
Ward Murray Pace	226 W River St	Legal	\$30,000 - Est

Dixon, IL 61021

& Johnson, P.C.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Certifications), the Disclosing Party must explain below:	Further

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [v] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

	ord "None," or no response anat the Disclosing Party certif	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATION R	EGARDING INTEREST IN	CITY BUSINESS
Any words or terms that meanings when used in t		of the Municipal Code have the same
		Sunicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you checked Item D.1., proceed to Par	· · · · · · · · · · · · · · · · · · ·	to Items D.2. and D.3. If you checked "No" to
elected official or emplo any other person or entity for taxes or assessments, "City Property Sale"). C	yee shall have a financial into y in the purchase of any prop or (iii) is sold by virtue of le	we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, ten pursuant to the City's eminent domain power ning of this Part D.
Does the Matter involve	a City Property Sale?	
[] Yes	[] No	
	_	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
4. The Disclosing P be acquired by any City	•	prohibited financial interest in the Matter will
E. CERTIFICATION RI	EGARDING SLAVERY ER.	A BUSINESS

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Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
,
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): NONE
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined

applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

member of Congress, in connection with the award of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

	will submit an updated certification at the end of each calendar quarter in that materially affects the accuracy of the statements and information set d.A.2. above.
501(c)(4) of the Internal Re	v certifies that either: (i) it is not an organization described in section evenue Code of 1986; or (ii) it is an organization described in section evenue Code of 1986 but has not engaged and will not engage in "Lobbying"
form and substance to para subcontract and the Disclos	ty is the Applicant, the Disclosing Party must obtain certifications equal in graphs A.1. through A.4. above from all subcontractors before it awards any ing Party must maintain all such subcontractors' certifications for the must make such certifications promptly available to the City upon request.
B. CERTIFICATION REC	GARDING EQUAL EMPLOYMENT OPPORTUNITY
•	nded, federal regulations require the Applicant and all proposed e following information with their bids or in writing at the outset of
Is the Disclosing Party the	Applicant?
[✓] Yes	[] No
If "Yes," answer the three	questions below:
1. Have you developed federal regulations? (See 4	and do you have on file affirmative action programs pursuant to applicable 1 CFR Part 60-2.) [] No
	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements? [] No
3. Have you participate equal opportunity clause?	ed in any previous contracts or subcontracts subject to the
[] Yes	[▶] No
If you checked "No" to que Disclosing party has no employ	estion 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Commission expires:

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Rosenwald Courts Apartments, LP By: Rosenwald Courts GP, LLC, General Partner
(Print or type name of Disclosing Party)
ву:
(Sign here)
James Bergman
(Print or type name of person signing)
Manager of GB Rosenwald, LLC, Managing Member
(Print or type title of person signing)
Signed and sworn to before me on (date) $\frac{7}{32}$ 3, at $\frac{Scott}{13}$ County, $\frac{10\omega}{13}$ (state).
Krystal anton Notary Public.



CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[/] No	
such person is co	* *	of such person, (2) the name of the legal entity to which elected city official or department head to whom such nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Pa	arty submitt	ting this ED	S. Inc	clude d/b/a	/ if applicable:	
Rosenwald Courts GP, LLC						
Check ONE of the following three	e boxes:					
Indicate whether the Disclosing Part 1. the Applicant OR 2. [✓] a legal entity holding a dir Applicant in which the Disclo OR 3. [] a legal entity with a right of	rect or indir sing Party I	ect interest holds an int	in the erest:	Rosen .) State the	wald Courts Apartments, LP	
which the Disclosing Party hold B. Business address of the Disclosi	_	20 San	dstone C		ts Apartments, LP	
C. Telephone: 563.441.6123	_ Fax:	None		Email: _	jbergman@valleyb.com	
D. Name of contact person:	James N.	Bergman		<u> </u>		
E. Federal Employer Identification	No. (if you	have one):			·	
F. Brief description of contract, transwhich this EDS pertains. (Include page 4609-39 South Wabash Avenue, 56-60 Amendment	project num	iber and loc	ation	of property	, if applicable):	•
G. Which City agency or departmen	nt is reques	ting this EI)S?	Dept. of Housir	ng and Economic Development	
If the Matter is a contract being be complete the following:	nandled by t	the City's D	epartr	nent of Pro	ocurement Services, ple	ase
G		1 C		. ш		

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

 [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust 	[] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?
2. For legal entities, the state (or foreign	country) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the business in the State of Illinois as a foreign e [] Yes [] No	State of Illinois: Has the organization registered to do entity?
[]	
B. IF THE DISCLOSING PARTY IS A LE	GAL ENTITY:
NOTE: For not-for-profit corporations, also there are no such members, write "no member the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the national statement of the second	f all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If ers." For trusts, estates or other similar entities, list below ed partnership, limited liability company, limited liability ame and title of each general partner, managing member, entrols the day-to-day management of the Disclosing Party. Submit an EDS on its own behalf.
Name	Title
GB Rosenwald LLC Managing	
Landwhite Developers LLC Member	
Rosenwald LGG, LLC Member	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

	Business Address	Percentage Interest in the
SEE ATTACHMENT		Disclosing Party
SEE ATTACHIVENT		
SECTION III B	SUSINESS RELATIONSHIPS W	TITH CITY ELECTED OFFICIALS
		nip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[] Yes	No No	

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

ATTACHMENT NO. 1 TO ROSENWALD COURTS GP, LLC ECONOMIC DISCLOSURE STATEMENT

Name	Business Address	Percentage Interest in Company
GB Rosenwald, LLC	20 Sandstone Ct LeClaire, IA 52753	49%
James N. Bergman	20 Sandstone Ct LeClaire, IA 52753	24.5% through GB Rosenwald, LLC
Amit Goel	2001 Kirby Dr Suite 900 Houston, TX 77019	24.5% through GB Rosenwald, LLC
Rosenwald LGG, LLC	39 South LaSalle St Suite 808 Chicago, IL 60603	8.5%
Landwhite Developers LLC	15828 Clarendon Hills Drive Granger, IN 46530	42.5%
1990 Maria Landesman Trust	c/o Morton Taubman 1201 15th Street, N.W., Second Floor Washington, D.D.20005	29.75% through Landwhite Developers LLC
Jacob Landesman	c/o Sierra Financial, Ltc. 400 Park Avenue, Suite 1530 New York, New York, 10022	29.75% through 1990 Maria Landesman Trust

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)		
M Check here if the Disc	closing Party h	nas not retained, nor expects to retai	n, any such persons or entitie
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPI	PORT COMPLIANCE	
•		2-415, substantial owners of busines the their child support obligations the	
7 1	•	tly owns 10% or more of the Disclo	•
[] Yes []?		No person directly or indirectly own isclosing Party.	s 10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for payn greement?	nent of all support owed and
[]Yes []!	No		
B. FURTHER CERTIF	ICATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing	g Party is unable to certify to	o any of the above statem	ents in this Part B (Further
Certifications), the Dis	sclosing Party must explain	below:	
	· · · · · · · · · · · · · · · · · · ·		

presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

	he word "None," or no response a ed that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATIO	N REGARDING INTEREST IN	CITY BUSINESS
Any words or terms meanings when used	-	of the Municipal Code have the same
	nancial interest in his or her own r	unicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you check them D.1., proceed to		o Items D.2. and D.3. If you checked "No" to
elected official or er any other person or for taxes or assessm "City Property Sale" does not constitute a	nployee shall have a financial integentity in the purchase of any propernts, or (iii) is sold by virtue of legal. Compensation for property take financial interest within the mean	rest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
[] Yes	olve a City Property Sale?	
3. If you checke		names and business addresses of the City by the nature of such interest:
Name	Business Address	Nature of Interest
	ing Party further certifies that no p City official or employee.	rohibited financial interest in the Matter will
E. CERTIFICATIO	N REGARDING SLAVERY ERA	A BUSINESS

Page 8 of 13

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.			
✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.			
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:			
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS			
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.			
A. CERTIFICATION REGARDING LOBBYING			
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): NONE			
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)			
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a			

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

member of Congress, in connection with the award of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

<u> </u>	submit an updated certification at the end of each calendar quarter in materially affects the accuracy of the statements and information set above.
501(c)(4) of the Internal Revenue	fies that either: (i) it is not an organization described in section e Code of 1986; or (ii) it is an organization described in section e Code of 1986 but has not engaged and will not engage in "Lobbying
form and substance to paragraphs subcontract and the Disclosing Pa	he Applicant, the Disclosing Party must obtain certifications equal in s A.1. through A.4. above from all subcontractors before it awards any arty must maintain all such subcontractors' certifications for the make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARD	ING EQUAL EMPLOYMENT OPPORTUNITY
	federal regulations require the Applicant and all proposed owing information with their bids or in writing at the outset of
Is the Disclosing Party the Applie	cant?
[] Yes [/] No	0
If "Yes," answer the three question	ons below:
1. Have you developed and of federal regulations? (See 41 CFI	·
3. Have you participated in a equal opportunity clause? [] Yes [] No	any previous contracts or subcontracts subject to the
If you checked "No" to question	1. or 2. above, please provide an explanation:
	Page 10 of 12

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Rosenwald Courts GP, LLC By: GB Rosenwald, LLC, Managing Member
(Print or type name of Disclosing Party)
By:
(Sign here)
James Bergman
(Print or type name of person signing)
Manager
(Print or type title of person signing)
Signed and sworn to before me on (date) $\frac{7}{7}$
at Scott County, Iowa (state).
Krystal anton Notary Public.
Commission expires: \\-\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\



CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[/] No	
such person is conne	ected; (3) the name and title of the	e of such person, (2) the name of the legal entity to which ne elected city official or department head to whom such e nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing	Party submittin	ng this EDS. Inc	clude d/b/a/ if applicable:
GB Rosenwald, LLC	1-7-1-7-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1	<u> </u>	
Check ONE of the following thr	ee boxes:		
Indicate whether the Disclosing P 1. the Applicant OR 2. [✓] a legal entity holding a continuous Applicant in which the Discussion OR	lirect or indire	ct interest in the	Applicant. State the legal name of the Rosenwald Courts Apartments, LP
	•		.) State the legal name of the entity in
B. Business address of the Disclo	sing Party:	20 Sandstone C	ourt
		LeClaire, IA 52	753-9250
C. Telephone:563.441.6123	Fax:	None	Email: jbergman@valleyb.com
D. Name of contact person:	James N. B	ergman	
E. Federal Employer Identification	n No. (if you h	ave one):	
F. Brief description of contract, tr which this EDS pertains. (Include	ansaction or o	ther undertaking er and location ((referred to below as the "Matter") to
G. Which City agency or departm	ent is requesti	ng this EDS?	Dept. of Housing and Economic Development
If the Matter is a contract being complete the following:	handled by th	ie City's Departi	ment of Procurement Services, please
Specification #		and Contract	- #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

partnership or joint venture, list below the nan	ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf. Title Manager
partnership or joint venture, list below the nan manager or any other person or entity that con NOTE : Each legal entity listed below must su	trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf.
partnership or joint venture, list below the nan manager or any other person or entity that con	trols the day-to-day management of the Disclosing Party.
partnership or joint venture, list below the nan	
	ne and title of each general partner, managing member
	I partnership, limited liability company, limited liability
the legal titleholder(s).	
	ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below
	all executive officers and all directors of the entity.
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
[] Yes [] No	[] N/A
business in the State of Illinois as a foreign en	
Illinois	
	country) of incorporation or organization, if applicable:
[] Trust	[] Other (please specify)
[] General partnership [] Limited partnership	(Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No
[] Sole proprietorship	[] Not-for-profit corporation (Is the not for profit corporation also a 501(a)(3))?
[] Privately held business corporation	[] Limited liability partnership [] Joint venture
· · · · · · · · · · · · · · · · · ·	
[] Person [] Publicly registered business corporation	✓ Limited liability company

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
James Bergman	20 Sandstone Court, LeClaire, IA 52753	Disclosing Party 50%
Amit Goel	2727 Kirby Drive Unit 15C Houston, TX 77098	50%
		-

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

. . . .

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	No No
If yes, please identify below relationship(s):	w the name(s) of such City elected official(s) and describe such
(none)	

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

retained or anticipated to be retained)	Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(not applicable)			
(Add sheets if necessary)		
Check here if the Dis	closing Party h	as not retained, nor expects to r	etain, any such persons or entities.
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
_			iness entities that contract with s throughout the contract's term.
• •	•	ly owns 10% or more of the Di ons by any Illinois court of com	sclosing Party been declared in petent jurisdiction?
[] Yes []		o person directly or indirectly osclosing Party.	owns 10% or more of the
If "Yes," has the person is the person in complia			ayment of all support owed and
[] Yes []	No		
B. FURTHER CERTIF	ICATIONS		
consult for defined term submitting this EDS is t certifies as follows: (i) r with, or has admitted gu	s (e.g., "doing leter the Applicant are the Applicant and the Applicant of, or has even	apter 1-23, Article I ("Article I" business") and legal requirement and is doing business with the Ci licant nor any controlling person fer been convicted of, or placed apted, or conspiracy to commit	nts), if the Disclosing Party ty, then the Disclosing Party n is currently indicted or charged under supervision for, any

Name (indicate whether

Business

Relationship to Disclosing Party Fees (indicate whether

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
(none)

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). (none)
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. (none)
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [v] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): (none)

		Control of the Contro
	the word "None," or no response a ned that the Disclosing Party certif	appears on the lines above, it will be fied to the above statements.
D. CERTIFICATIO	ON REGARDING INTEREST IN	CITY BUSINESS
Any words or terms meanings when used		of the Municipal Code have the same
	nancial interest in his or her own i	Iunicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you chec Item D.1., proceed t		o Items D.2. and D.3. If you checked "No" to
elected official or en any other person or for taxes or assessm "City Property Sale' does not constitute a	mployee shall have a financial inte entity in the purchase of any prope ents, or (iii) is sold by virtue of le	re bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powerning of this Part D.
[] Yes	[] No	
	ed "Yes" to Item D.1., provide the ees having such interest and identi	names and business addresses of the City fy the nature of such interest:
Name (not applicable)	Business Address	Nature of Interest
4. The Disclos	ing Party further certifies that no r	prohibited financial interest in the Matter will
	City official or employee.	
E. CERTIFICATIO	N REGARDING SLAVERY ERA	A BUSINESS

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Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.			
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.			
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:			
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS			
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.			
A. CERTIFICATION REGARDING LOBBYING			
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): (none)			
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)			
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by			

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applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

member of Congress, in connection with the award of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

<u> </u>	will submit an updated certification at the end of each calendar quarter in that materially affects the accuracy of the statements and information set A.2. above.
501(c)(4) of the Internal Re	vertifies that either: (i) it is not an organization described in section venue Code of 1986; or (ii) it is an organization described in section venue Code of 1986 but has not engaged and will not engage in "Lobbying"
form and substance to parag subcontract and the Disclos	ty is the Applicant, the Disclosing Party must obtain certifications equal in graphs A.1. through A.4. above from all subcontractors before it awards any ing Party must maintain all such subcontractors' certifications for the must make such certifications promptly available to the City upon request.
B. CERTIFICATION REC	SARDING EQUAL EMPLOYMENT OPPORTUNITY
•	nded, federal regulations require the Applicant and all proposed e following information with their bids or in writing at the outset of
Is the Disclosing Party the	Applicant?
[] Yes	[✔] No
If "Yes," answer the three of	questions below:
 Have you developed federal regulations? (See 4 Yes 	and do you have on file affirmative action programs pursuant to applicable 1 CFR Part 60-2.) [] No
	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements? [] No
3. Have you participate equal opportunity clause?	ed in any previous contracts or subcontracts subject to the
[] Yes	[] No
If you checked "No" to que	estion 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

GB Rosenwald, LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)
By: (Sign here)
James N. Bergman
(Print or type name of person signing)
Manager
(Print or type title of person signing)
Signed and sworn to before me on (date) 7/ 72/13
at Scott County, Towa (state).
Krystal Conton Notary Public.
Commission expires:
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FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

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Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

	g Party or any "Applicable Party onship" with an elected city offi	y" or any Spouse or Domestic Partner thereof currently icial or department head?
[] Yes	[/] No	
such person is connec	eted; (3) the name and title of the	of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nature of such familial relationship.
(not applicable)		



PAT DOWELL

ALDERMAN, 3RD WARD

3RD WARD SERVICE CENTER

5046 SOUTH STATE STREET

CHICAGO, ILLINOIS 60609

TELEPHONE: (773) 373-9273

FAX: (773) 373-6852

E-MAIL: WARDO3@CITYOFCHICAGO.ORG

Alderman Daniel S. Solis Chairman, Committee on Zoning City Hall, Room 304

121 North LaSalle Street Chicago, Illinois 60602

Dear Alderman Solis:

Please be advised that I have reviewed the proposal of Rosenwald Courts LP, a Delaware limited partnership (the "Applicant") to amend the Chicago Zoning Ordinance to change the zoning of the property situated at 56-60 E. 47th Street and 4609-39 S. Wabash Avenue, Chicago, Illinois and commonly known as the Rosenwald Building from a combination of B3-2 Community Shopping District, B3-3 Community Shopping District and RM-5 Multi-Unit District to a single, uniform B2-3 Neighborhood Mixed-Use District zoning classification.

I understand that the Applicant intends to rehabilitate the Rosenwald Building into a mixed-use residential and commercial development.

Please be advised that I support the Applicant's application for a zoning map amendment to amend the zoning classification of the Property from a combination of B3-2, B3-3 and RM-5 to a single, uniform B2-3 Neighborhood Mixed-Use District zoning classification.

CITY COUNCIL

CITY OF CHICAGO

COUNCIL CHAMBER

CITY HALL—ROOM 200 121 NORTH LASALLE STREET CHICAGO, ILLINOIS 60602 TELEPHONE, 312-744-8734

COMMITTEE MEMBERSHIPS

HOUSING AND REAL ESTATE (VICE-CHAIRMAN)

AVIATION

BUDGET AND GOVERNMENT OPERATIONS

COMMITTEES, RULES AND ETHICS

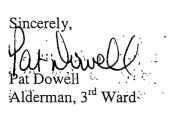
EDUCATION AND CHILD DEVELOPMENT

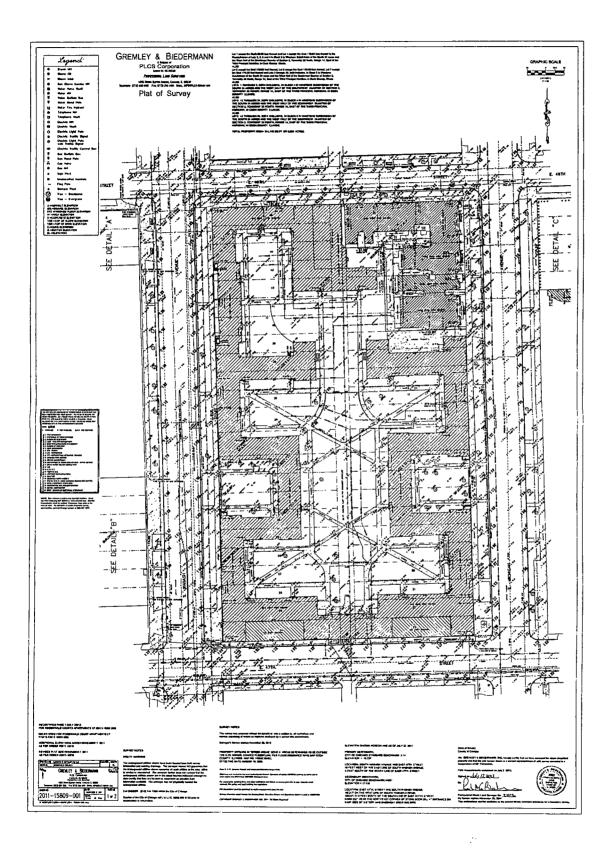
FINANCE

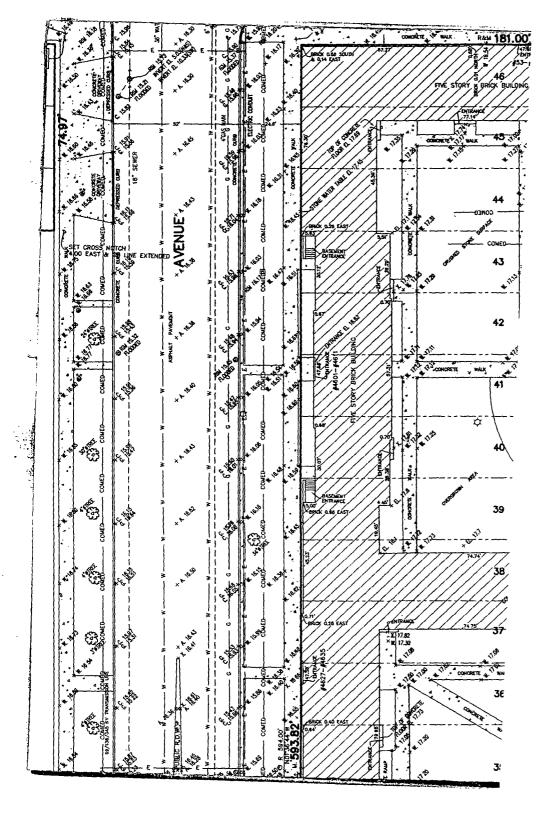
HEALTH AND ENVIRONMENTAL PROTECTION

TRANSPORTATION AND PUBLIC WAY

October 31, 2011

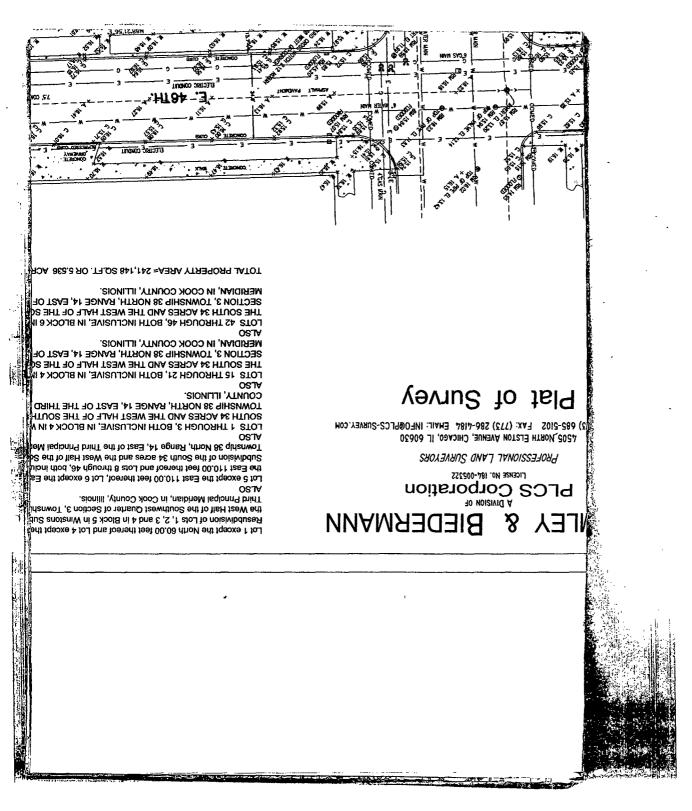






A.=ASPHALT ELEVATION **GR.=GRAVEL ELEVATION** FFE.=FINISHED FLOOR ELEVATION W.=WALK ELEVATION X.=CONCRETE ELEVATION TOE.=TOP OF SLOPE ELEVATION TOB.=TOP OF BANK ELEVATION C.=CURB ELEVATION G.=GUTTER ELEVATION EL.=ELEVATION

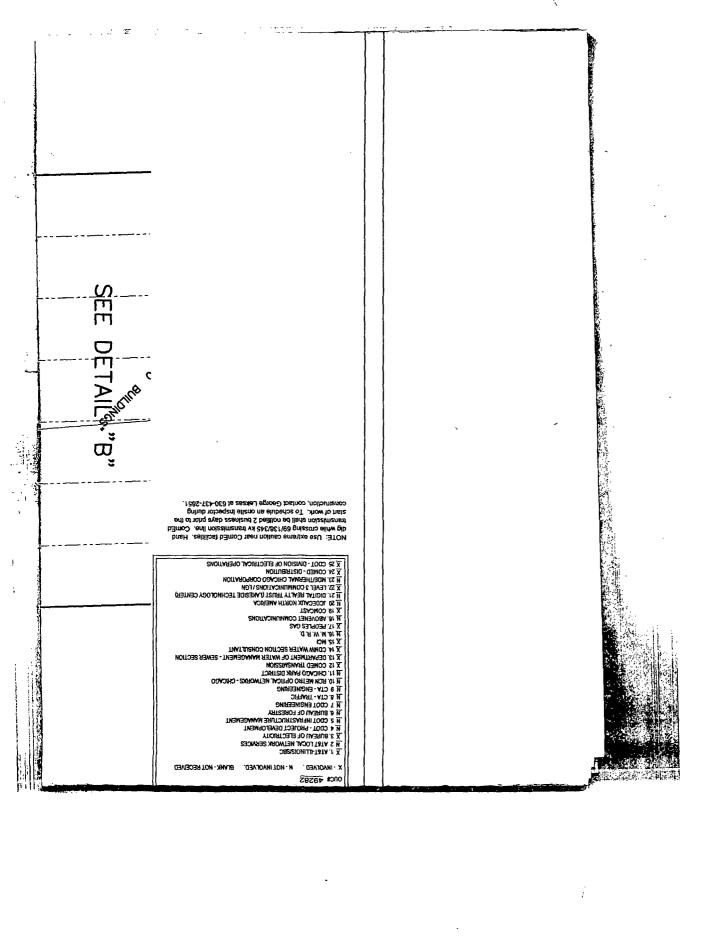
THE CITY OF CHICAGO BOARD OF UNDERGROUND INVOLVEMENT HAS BEEN REQUESTED FOR YOUR SURVEY. THE RESULTS TO DATE ARE INDICATED BELOW. AS A CONVENIENCE TO YOU THE UTILITY DATA IS REVIEWED AND ADDED TO THIS PLAT AS IT IS RECEIVED. THESE RECORDS ARE THEN FORWARDED TO YOU. PLEASE BE AWARE THAT NO OTHER COPY OF THIS INFORMATION IS RETAINED.

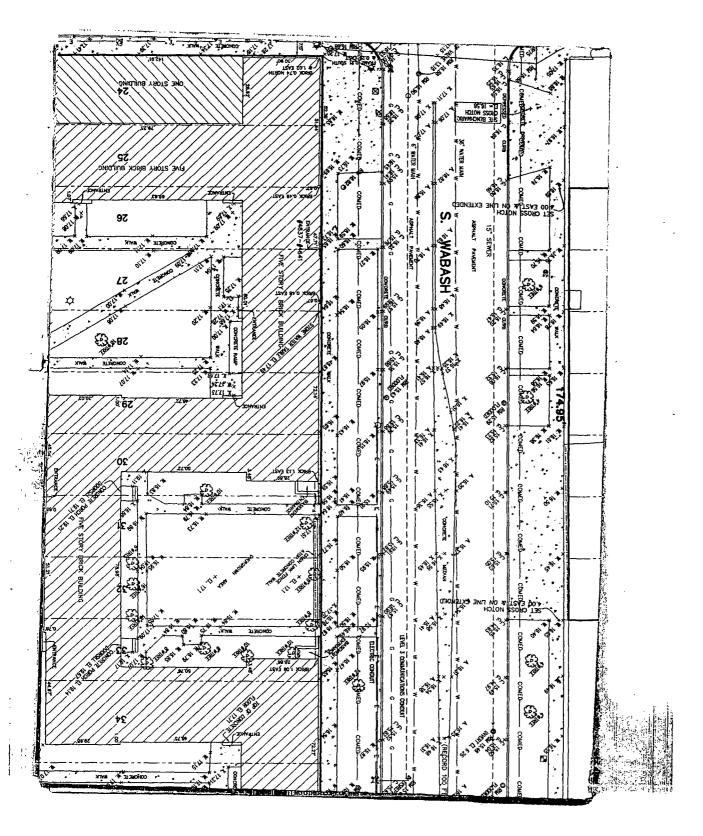


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TELEPHONE: (7)

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RECERTIFIED PAGE 1 ONLY 7/2/13 FOR ROSENWALD COURTS APARTMENTS LP #2013-18008 (BB)

RECERTIFIED FOR ROSENWALD COURT APARTMENTS LP 7/18/12 #2012-16609 (BB)

ADDITIONAL ELEVATIONS ADDED NOVEMBER 7, 2011 AS PER ORDER #2011-15810

REVISED PLAT SIZE NOVEMBER 7, 2011 AS PER ORDER #2011-15819

CREERED BY: LANDWHITE DEVELOPERS LLC
ADDRESS: ROSENNALD PROJECT

GREMLEY & BIEDERMANN
PLCS, CORPORATION
LICIOSES NO IBA-005322
A505 NORTH ELSTON AVENUE, CHICAGO, IL 60630
TELEPHONE (773) 685-5102 FAX: (773) 286-4186 ENAIL: INFO@PLCS-SURVEY COM

ORDER NO.

2011—15809—001

DATE:
NOVEMBER 3, 2011
SCALE:
LICKY = 25 FEET

1 of 2

G: \CAD\2011\2011-15346\2011-15809-001.dwg

SURVEY

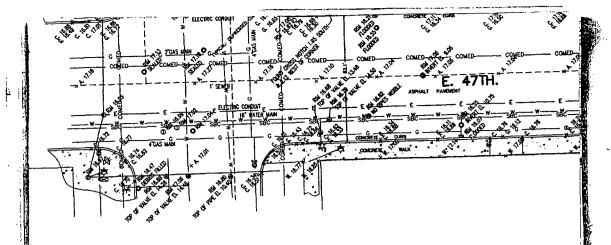
UTILITY

The undo information the under in service undergrow does cert information

Call DIG

Outside d

THE ACCUSATION



SURVEY NOTES:

This survey was prepared without the benefit of, and is subject to, all res matters appearing of record as might be disclosed by a current title com-

Surveyor's license expires November 30, 2012.

PROPERTY APPEARS IN "OTHER AREAS" ZONE X, AREAS DETERN THE 0.2% ANNUAL CHANCE FLOODPLAIN, PER FLOOD INSURANCI COUNTY, ILLINOIS, MAP NO. 17031C 0540J, EFFECTIVE DATE AUGUST 19, 2008.

Note R. & M. denotes Record and Measured distances respectively.

Distances are marked in feet and decimal parts thereof. Compare all points BEFORE build once report any differences BEFORE damage is done.

For easements, building lines and other restrictions not shown on survey plat refer to your contract, title policy and local building line regulations.

NO dimensions shall be assumed by scale measurement upon this plat.

Unless otherwise noted hereon the Bearing Basis, Elevation Datum and Coordinate Datum

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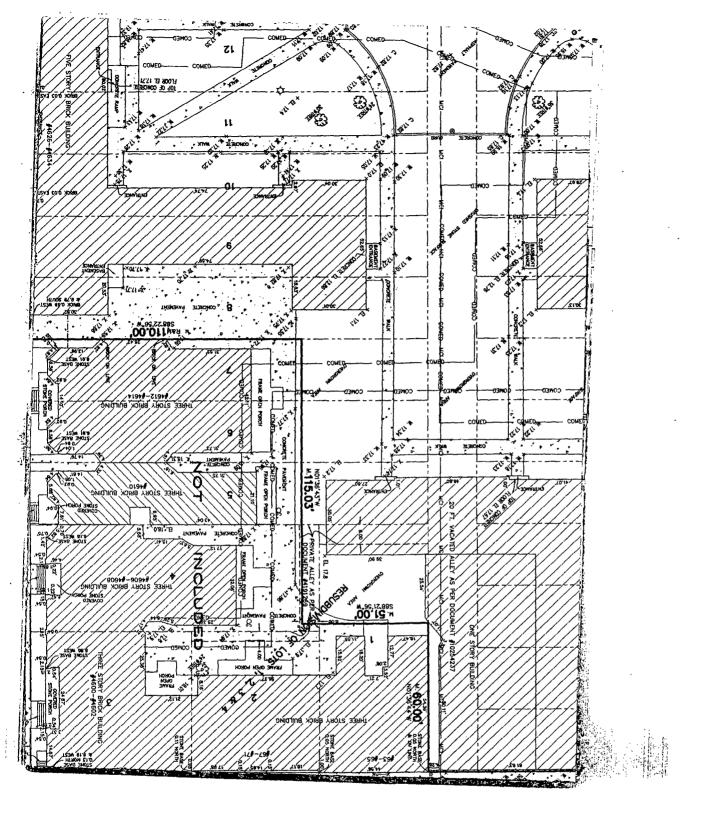
NOTES:

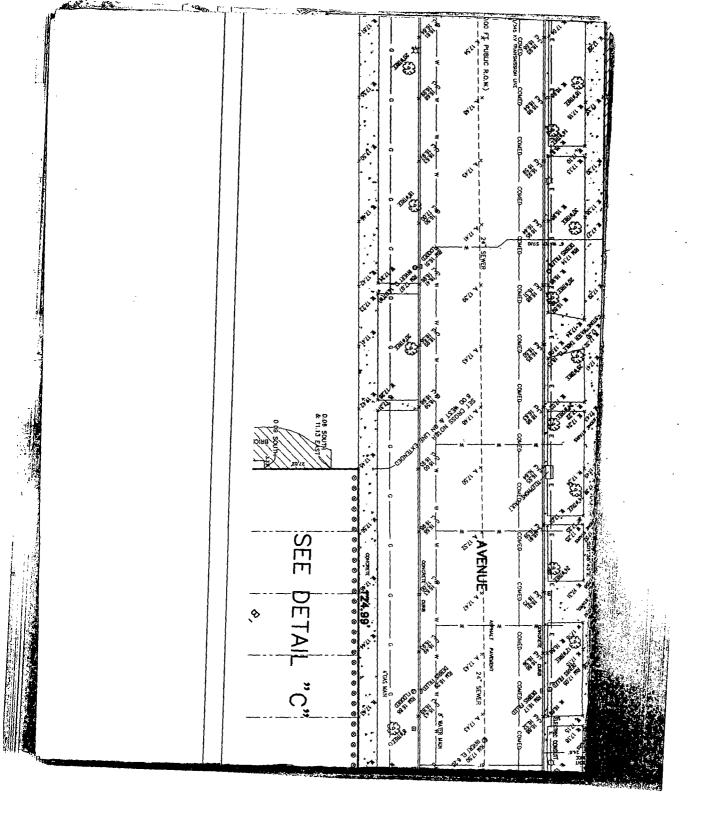
ARNING

round utilities shown have been located from field survey and existing drawings. The surveyor makes NO guarantee that ound utilities shown comprise all such utilities in the area, either abandoned. The surveyor further does not warrant that the dutilities shown are in the exact location indicated although he that they are located as accurately as possible from available. The surveyor has not physically located the

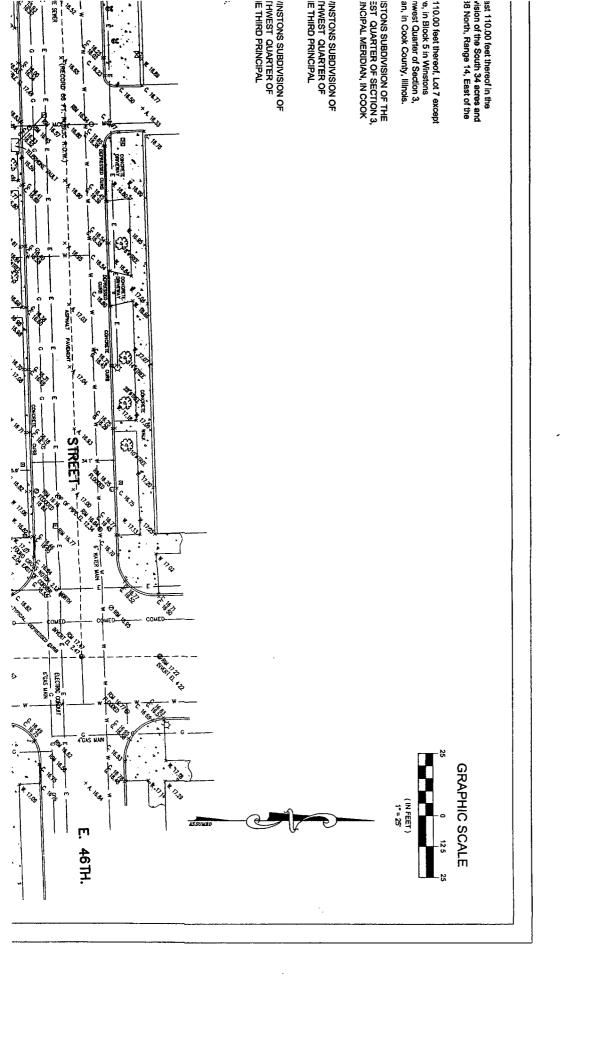
ER - (312) 744-7000 within the City of Chicago.

the City of Chicago call J.U.L.I.E. (800) 892-0123 prior to or excavation.



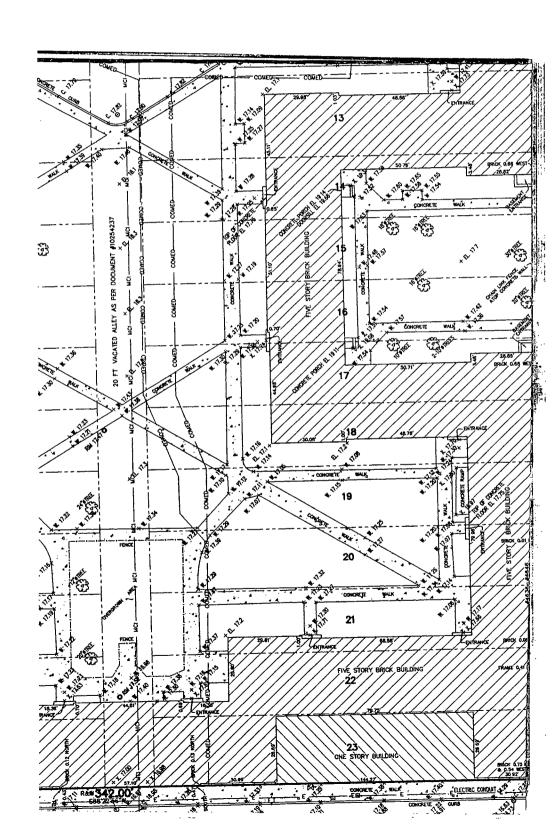


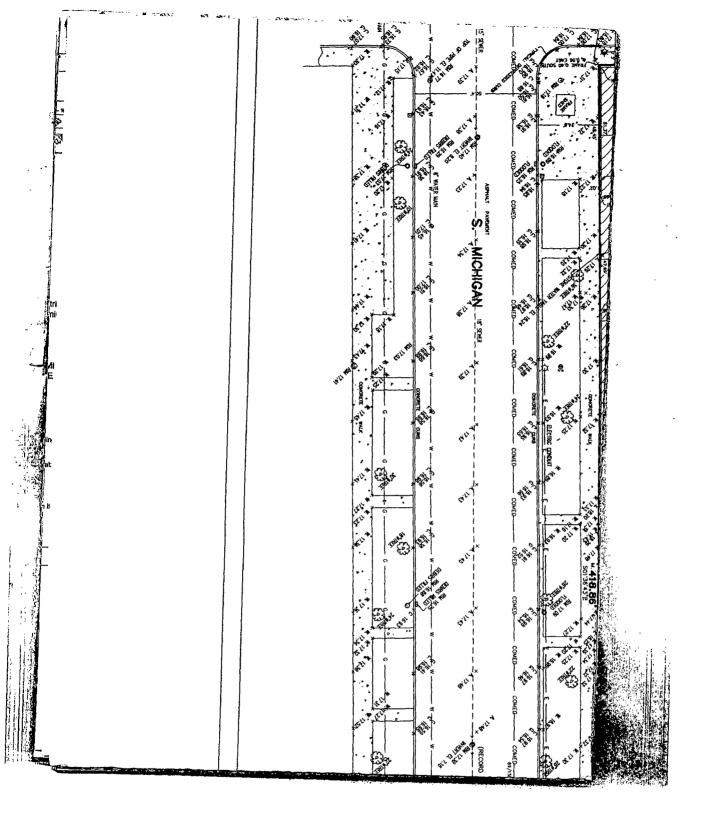
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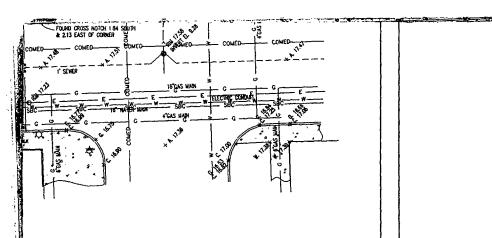
thereof in the uth 34 acres and 114, East of the reof, Lot 7 except Winstons of Section 3, unty, Illinois. VISION OF THE 1 OF SECTION 3, DIAN, IN COOK DIVISION OF RTER OF CIPAL DIVISION OF RTER OF CIPAL

STREET





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inois) (Cook)ss

> MLEY & BIEDERMANN, INC. hereby certify that we have surveyed the above described and that the plat hereon drawn is a correct representation of said survey corrected to a tire of 62° Fahrenheit. G. BIEDER RANGE

urements completed on July 2, 2013.

inal Illinois Land Surveyor No. 2802
becopires November 30, 2014
ssional service conforms to the current Illinois minimum standards for a boundary survey.

PROFESSIONAL LAND SURVEYOR STATE OF Q ILLINOIS OF

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