

### City of Chicago



O2013-6094

#### Office of the City Clerk

#### **Document Tracking Sheet**

Meeting Date: 9/11/2013

Sponsor(s): City Clerk (transmitted by) (Clerk)

Type: Ordinance

Title: Zoning Reclassification App No. 17822T1 at 453-457 N

Aberdeen St

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

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#### **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the M2-2 Light Industry District symbols and indications as shown on Map No. 1-G in area bound by

North Aberdeen Street, a line 179.64 feet North of and parallel to West Hubbard Street, the alley next East of and parallel to North Aberdeen, a line 137.15 feet North of and parallel to West Hubbard Street.

to those of a RM-5 Residential Multi-Unit District.

SECTION 2. This ordinance takes effect after its passage and approval.

Common Address of Property:

453-457 N Aberdeen Street

# 17822 TI IN+10 DATE: SEPT. 11, 2013

#### CITY OF CHICAGO

### APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| ADDRESS of t                     | he property A               | pplicant is see | king to rezo  | ne:  |                   |
|----------------------------------|-----------------------------|-----------------|---------------|--|-------------------|
|                                  | 153-457 N Ab                | erdeen Street   | <del></del>   |  |                   |
| Ward Number t                    | hat property is             | s located in:_  | 27            |  |                   |
| APPLICANT_                       | VCP F                       | unding III, LI  | LC Series VC  | CP 1057 Grand                              |                   |
| ADDRESS                          | 823 W                       | Superior #C     |               |  |                   |
| CITY Chicago                     | )                           | STATE           | IL            | ZIP CODE_                                  | 60642             |
| PHONE                            | 312-735-4123                | CONTACT         | PERSON        | John Pagone                                |                   |
| Applicant is no                  | ot the owner<br>owner and a | of the proper   | rty, please p | NO<br>rovide the follow<br>on from the own | ing information   |
| OWNER                            |                             |                 |               |  |                   |
|                                  |                             |                 |               |  |                   |
|                                  |                             |                 |               | ZIP CODE _                                 |                   |
| PHONE                            |                             | _CONTACT        | PERSON        |  |                   |
| If the Applican the rezoning, pl |                             |                 |               | lawyer as their re                         | epresentative for |
| ATTORNEY_                        | Thoma                       | ıs S. Moore     |               |  |                   |
| ADDRESS                          | 111 W Washii                | ngton Suite 1'  | 720           | CITY_Chica                                 | go                |
| CITY Chicago                     | )                           | STATE           | IL            | ZIP CODE _                                 | 60602             |
| PHONE                            | 312-25                      | 51-1500         |               | FAX 312-2                                  | 51-1500           |

| John Pagone   |  |  |
|---|--|--|
| Erik Hubbard  |  |  |
|   |  |  |
| On what date did the owner acquire legal title to the subject property? 8/2012  |  |  |
| Has the present owner previously rezoned this property? If Yes, when?  No   |  |  |
| Present Zoning District M2-2 Proposed Zoning District RM-5  |  |  |
| Lot size in square feet (or dimensions?) 117.25 x 42.49   |  |  |
| Current Use of the property 2- story residential building and a 3-story residential building  |  |  |
| Reason for rezoning the subject property: To erect a 4 story 8 residential dwelling unit  |  |  |
| building with no commercial space and 8 outdoor parking spaces with a total height of   |  |  |
| 46.0' Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)  To erect a 4 story 8 residential dwelling unit building with no commercial space and 8   |  |  |
| outdoor parking spaces, with a total height of 46.0'  |  |  |
|   |  |  |
| On May 14 <sup>th</sup> , 2007, the Chicago City Council passed the affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information). |  |  |
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| COUNTY OF COOK<br>STATE OF ILLINOIS   |  |
|---|--|
| John Pagone , being first duly swor statements and the statements contained in the docume true and correct. |  |
| Signature   | of Applicant   |
| Subscribed and Sworn to before me this  30th day of Jugust . 20/3.  [[]  []  []  []  []  []  []  []  []     | OFFICIAL SEAL HEATHER HASENMILLER Notary Public - State of Illinois My Commission Expires Nov 13, 2015 |
| For Office Use  | Only   |
| Date of Introduction:   |  |
| File Number:  |  |
| Ward:   |  |

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

VCP Funding III, LLC Series VCP 1057 Grand

#### Check ONE of the following three boxes:

| Indicate whether Disclosing Party submitting this EDS is:  1. [X] the Applicant   |                           |                  |  |           |
|---|---------------------------|------------------|--|-----------|
| OR .  |                           |                  |  |           |
| 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the  |                           |                  |  |           |
| Applicant in which Disclosing Party holds an interest:  |                           |                  |  |           |
| OR  | logal antity with a might | of control (see  | Section II D 1 h) State the legal name | of the    |
|   |                           |                  | Section II.B.1.b) State the legal name |           |
| enercy in wineir Dis  | closing rarry noids a rig | sint of control. |  |           |
| B. Business addres  | ss of Disclosing Party:   | 823 V            | W Superior #C                          |           |
|   |                           | Chica            | ago, IL 60642                          |           |
| C Telephone:  | 312_735_4123              | Fav.             | Email                                  |           |
| c. reteptione.  | <u> </u>                  | 1 ax.            | Dinan                                  |           |
| D. Name of contact person: John Pagone  |                           |                  |  |           |
| E. Federal Employer Identification No. (if you have one):   |                           |                  |  |           |
| F. Brief description of contract, transition or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |                           |                  |  |           |
|   | Zoning Char               | nge-453-457 N    | Aberdeen Street                        |           |
|   |                           |                  |  |           |
| G. Which City agency or department is requesting this EDS? <u>Dept of Housing &amp; Economic Development</u> Bureau of Planning & Zoning  |                           |                  |  |           |
| If the Matter is complete the follow  |                           | d by the City's  | Department of Procurement Services     | s, please |
| Specification #   |                           | and C            | Contract #                             |           |
|   |                           |                  |  |           |

#### SECTION II – DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [X] Limited liability company\* [] Person [ ] Limited liability partnership\* [ ] Publicly registered business corporation [] Joint venture\* [ ] Privately held business corporation [ ] Sole proprietorship [] Not-for-profit corporation [] General partnership\* (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership\* [] Yes [] No [] Other (please specify) [] Trust \*Note B.1.b below 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [] Yes []No [X] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and title of all executive officers and all directors of the entity. 1. **NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Villa Capital Managers LLC Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name  | Business Address         | Percentage Interest i<br>Disclosing party                  | n the      |
|---|--------------------------|--|------------|
| Villa Capital Managers LLC                              | 823 W Superior           | #C; Chicago, IL 60642                                      | 100%       |
|   |                          |  |            |
|   |                          |  |            |
| SECTION III – BUSINES                                   | S RELATIONSHIPS W        | ITH CITY ELECTED O   | FFICIALS   |
| Has the Disclosing Party<br>Code, with any City elected |                          | hip." as defined in Chapter<br>before the date this EDS is | -          |
| [] Yes [X] N  | o                        |  |            |
| If yes, please identify below relationship(s):          | the name(s) of such City | elected official(s) and desc                               | cribe such |
|   |                          |  |            |

#### SECTION IV – DISCLOSURE OF SUBCONTRACTORS & OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party, must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)                                   | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc)        | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourlyrate" or "t.b.d." is not an acceptable response. |  |  |
|--|---------------------|--|---|--|--|
| Retained: Anderson & Moore-Attorney 111 W Washington Ste 1720; Chicago, IL 60602 Estimated to be |                     |  |   |  |  |
|  |                     |  | \$5,000.00  |  |  |
|  |                     |  |   |  |  |
| (Add sheets if necessary)  |                     |  |   |  |  |
| [] Check here if the Disc  | losing party h      | as not retained, nor expects to retain,  | any such persons or entities.   |  |  |
| SECTION V—CERTIF   | FICATIONS           |  |   |  |  |
| A. COURT-ORDERED   | CHILD SUPP          | PORT COMPLIANCE  |   |  |  |
|  |                     | -415, substantial owners of business the their child support obligations through |   |  |  |
|  |                     | tly owns 10% or more of the Disclos<br>ons by any Illinois court of competer     |   |  |  |
| [] Yes [X  | ] No                | [] No person directly or indirect Disclosing Party.                              | ctly owns 10% or more of the  |  |  |
| If "Yes," has the person of is the person in complian  |                     | court-approved agreement for payme greement?                                     | ent of all support owned and  |  |  |
| [] Yes []  | No                  |  |   |  |  |

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting the EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicated or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certification 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding that date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for or criminally or civilly charges by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transaction (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity) with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United Stated of America, in that officer's or employee's official capacity;
- b agreed or colluded with other bidders, or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United Stated of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons Lists, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: |  |  |
|--|--|--|
| N/A  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A   |
| ·  |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if non, indicate with a "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A |
|  |
|  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  |
| [ ] is [X] is not  |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing party pledges:  |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages of necessary):   |
|  |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes [X] No NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes [] No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Name **Business Address** Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| connection with the Matter voidable by the City.   |  |  |  |  |
|--|--|--|--|--|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery, or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provide coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.  |  |  |  |  |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS   |  |  |  |  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allowed by the City and proceeds of debt obligations of the City are not federal funding.   |  |  |  |  |
| A. CERTIFICATION REGARDING LOBBYING  |  |  |  |  |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets as necessary):   |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the work "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)   |  |  |  |  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined be applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, |  |  |  |  |

amend, or modify and federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant?   |
|--|
| [] Yes [] No   |
| If "Yes," answer the three questions below:  |
| <ol> <li>Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2).</li> <li>Yes</li> <li>No</li> </ol>  |
| <ol> <li>Have you filed with the Joint Reporting Committee, the Director of the Office of Federal contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?</li> <li>Yes</li> <li>No</li> </ol> |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  [] Yes [] No  |
| If you checked "No" to question 1. or 2. above, please provide an explanation:   |
|  |

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understand and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INTELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility on the U. S. E.P.A. on the federal Excluded Parties List System ("EPLS) maintained by the U.S. General Services Administration.
- F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| VCP Funding III, LLC Series VCP 1057 Grand (Print or type name of Disclosing Party) |   |
|---|---|
| This of type name of Biserosing Fairty)   | 1 1 10                                  |
| By: Autoco  | By: whele                               |
| (Sign here)   | (Sign here)                             |
| John Pagone   | Erik Hubbard                            |
| (Print or type name of person signing)  | (Print or type name of person signing)  |
| Manager   | <u>Manager</u>                          |
| (Print or type title of person signing)   | (Print or type title of person signing) |
| ./ /  |   |
| Signed and sworn to before me on (date) $1/30/13$                                   | 3                                       |
| at Cook County. Illinois.   |   |
| Heart Losening Notary I   | Public                                  |
| HEATHER HASEMILLER  | ie.                                     |
| Commission expires: Notary Public - State of Illino My Commission Expires Nov 13.   | 2015                                    |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related, by blood or adoption, to the mayor, any alderman, the city clerk, the city treasurer, or any city department head as parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Part" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and member of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes             | [X] No                      |  |
|---------------------|-----------------------------|--|
| which such person i | s connected; (3) the name a | and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to and (4) the precise nature of such familial relationship. |
|                     |                             |  |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I -GENERAL INFORMATION

| A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:   |                                  |                             |  |
|--|----------------------------------|-----------------------------|--|
| Villa Capital Managers, LLC  |                                  |                             |  |
| Check ONE of the following three boxes:  | }                                |                             |  |
| Indicate whether Disclosing Party submitting 1. [X] the Applicant OR 2. [] a legal entity holding a direct or index Applicant in which Disclosing Party holds OR 3. [] a specified legal entity with a right of  | irect interest in than interest: |                             |  |
| entity in which Disclosing Party holds a rig   | tht of control:                  |                             |  |
| B. Business address of Disclosing Party:   | 823 W<br>Chicago                 | Superior #C<br>go, IL 60642 |  |
| C. Telephone: 312-735-4123   | Fax:                             | Email                       |  |
| D. Name of contact person: John Pagone   |                                  |                             |  |
| E. Federal Employer Identification No. (if you have one):  |                                  |                             |  |
| F. Brief description of contract, transition or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Zoning Change-453-457 N Aberdeen Street             |                                  |                             |  |
| Zoning Chan  | gc-+33-+37 IV A                  | tocracen succi              |  |
| G. Which City agency or department is requesting this EDS? Dept of Housing & Economic Development Bureau of Planning & Zoning If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |                                  |                             |  |
| Specification #  | and Cor                          | ontract #                   |  |
|  |                                  |                             |  |

#### SECTION II – DISCLOSURE OF OWNERSHIP INTERESTS

#### A. NATURE OF DISCLOSING PARTY

| <ol> <li>Indicate the nature of the Disclosing Party:</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership*</li> <li>Limited partnership*</li> <li>Trust</li> </ol> | [X] Limited liability company*  [] Limited liability partnership*  [] Joint venture*  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No  [] Other (please specify) |
|---|--|
| *Note B.1.b below   |  |
| 2. For legal entities, the state (or foreign coun   | try) of incorporation or organization, if applicable:  |
| Illinois  |  |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign entity?   | of Illinois: Has the organization registered to do   |
| [] Yes [] No  | [X] N/A  |
| B. IF THE DISCLOSING PARTY IS A LEGAL E   | ENTITY:  |
| NOTE: For not-for-profit corporations, also list be there are no such members, write "no members." If the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name an   | the day-to-day management of the Disclosing Party.   |
| Name  | Title  |
| John Pagone   | Member   |
| Erik Hubbard  | Member   |
|   |  |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name                                     | Business Address  | Percentage Interest in Disclosing party | n the     |
|--|---|---|-----------|
| John Pagone                              | 823 W Superior #C; Chicago, IL 60   | 642                                     | 50%       |
| Erik Hubbard                             | 823 W Superior #C; Chicago, IL 60642  |   | 50%       |
|  |   |   |           |
| SECTION III – BUS                        | SINESS RELATIONSHIPS WITH   | CITY ELECTED O                          | FFICIALS  |
|  | ng Party has a "business relationship.'<br>elected official in the 12 months befo | -                                       | _         |
| [] Yes                                   | [X] No  |   |           |
| If yes, please identify relationship(s): | below the name(s) of such City elec   | ted official(s) and desc                | ribe such |
|  |   |   |           |

#### SECTION IV – DISCLOSURE OF SUBCONTRACTORS & OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party, must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc)     | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourlyrate" or "t.b.d." is not an acceptable response. |
|--|---------------------|---|---|
| Retained:  |                     | ashington Ste 1720; Chicago, IL 606   | •   |
| Anderson & Woore-Attor   | ney iii w w         | ashington Ste 1720; Chicago, IL 600   |   |
|  |                     |   | \$5,000.00  |
|  |                     |   |   |
| (Add sheets if necessary)                                      |                     |   |   |
| [] Check here if the Disc                                      | losing party h      | as not retained, nor expects to retain,                                       | any such persons or entities.   |
| SECTION V —CERTII  | FICATIONS           |   |   |
| A. COURT-ORDERED   | CHILD SUPE          | PORT COMPLIANCE   |   |
|  |                     | 2-415, substantial owners of business th their child support obligations thro |   |
| · ·  | •                   | tly owns 10% or more of the Disclosions by any Illinois court of competen     | •   |
| [] Yes [X  | ] No                | [] No person directly or indirect Disclosing Party.                           | etly owns 10% or more of the  |
| If "Yes," has the person of is the person in compliant         |                     | court-approved agreement for payme greement?                                  | ent of all support owned and  |
| [] Yes []  | No                  |   |   |
| B. FURTHER CERTIFI   | CATIONS             |   |   |
|  | •                   | napter 1-23, Article I ("Article I")(wh                                       | • •   |

consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting the EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicated or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certification 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding that date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for or criminally or civilly charges by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transaction (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity) with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United Stated of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders, or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United Stated of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons Lists, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further |  |  |
|--|--|--|
| ertifications), the Disclosing Party must explain below:   |  |  |
| N/A  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

| presumed that the Disclosing Party certified to the above statements.   |  |  |  |
|---|--|--|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A  |  |  |  |
|   |  |  |  |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if non, indicate with a "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |  |  |  |
|   |  |  |  |
|   |  |  |  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |  |  |  |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)   |  |  |  |
| [ ] is [X] is not   |  |  |  |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.  |  |  |  |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing party pledges:   |  |  |  |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."   |  |  |  |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages of necessary):  |  |  |  |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.  |  |  |  |
|---|--|--|--|
| D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS  |  |  |  |
| Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same neanings when used in this Part D.   |  |  |  |
| 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  [] Yes [X] No  |  |  |  |
| NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.  |  |  |  |
| 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. |  |  |  |
| Does the Matter involve a City Property Sale?   |  |  |  |
| [] Yes [] No  |  |  |  |
| 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:  |  |  |  |
| Name Business Address Nature of Interest  |  |  |  |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| connection with the Matter voidable by the City.   | ity iii                                       |  |  |  |
|--|---|--|--|--|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery, or slaveholder insurance policies during the slavery era (including insurance policies ssued to slaveholders that provide coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.   |   |  |  |  |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 ab Disclosing Party has found records of investments or profits from slavery or slaveholder in policies. The Disclosing Party verifies that the following constitutes full disclosure of all s records, including the names of any and all slaves or slaveholders described in those records.  | nsurance<br>such                              |  |  |  |
|  |   |  |  |  |
|  |   |  |  |  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS   |   |  |  |  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is no funded, proceed to Section VII. For purposes of this Section VI, tax credits allowed by th and proceeds of debt obligations of the City are not federal funding.  |   |  |  |  |
| A. CERTIFICATION REGARDING LOBBYING  |   |  |  |  |
| 1. List below the names of all persons or entities registered under the federal Lobbyin Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Part respect to the Matter: (Add sheets as necessary):  |   |  |  |  |
|  |   |  |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the work appear, it will be conclusively presumed that the Disclosing Party means that NO persons registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on bel Disclosing Party with respect to the Matter.)  | or entities                                   |  |  |  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to person or entity to influence or attempt to influence an officer or employee of any agency, applicable federal law, a member of Congress, an officer or employee of Congress, or an ember of Congress, in connection with the award of any federally funded contract, making federally funded grant or loan, entering into any cooperative agreement, or to extend, continuous contracts and contracts are contracted as a contract of the c | pay any , as defined by employee of a ing any |  |  |  |

amend, or modify and federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party t | the Applicant?  |
|---------------------------|---|
| [] Yes [                  | ] No  |
| If "Yes," answer the thre | ee questions below:   |
| federal regulations? (Se  | oped and do you have on file affirmative action programs pursuant to applicable ee 41 CFR Part 60-2).  No |
| •                         | • .   |
| equal opportunity clause  | ipated in any previous contracts or subcontracts subject to the e?  ] No                                  |
| If you checked "No" to    | question 1. or 2. above, please provide an explanation:   |
|                           |   |

### SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understand and agrees that: .

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INTELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility on the U. S. E.P.A. on the federal Excluded Parties List System ("EPLS) maintained by the U.S. General Services Administration.
- F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Villa Capital Managers, LLC  |   |
|--|---|
| (Print or type name of Disclosing Party)   |   |
| By: (Sign here)  | By: (Sign here)                         |
| John Pagone  | Erik Hubbard                            |
| (Print or type name of person signing)   | (Print or type name of person signing)  |
| Member   | Member                                  |
| (Print or type title of person signing)  | (Print or type title of person signing) |
| Signed and sworn to before me on (date) 1/30//3 at Cook County, Illinois.  Leadle Lasemer Notary Public  OFFICIAL SEAL  HEATHER HASENMILLER  Notary Public - State of Illinois  My Commission Expires Nov 13, 2015 | _•                                      |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related, by blood or adoption, to the mayor, any alderman, the city clerk, the city treasurer, or any city department head as parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Part" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and member of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes           | [X] No                         |  |
|-------------------|--------------------------------|--|
| which such person | n is connected; (3) the name a | and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to and (4) the precise nature of such familial relationship. |
|                   |                                |  |
|                   |                                |  |

### ANDERSON & MOORE, P.C.

#### ATTORNEYS AT LAW

#### 111 WEST WASHINGTON STREET, SUITE 1720 Chicago, Illinois 60602

THOMAS S. MOORE JANE E. ANDERSON TELEPHONE (312) 251-1500 FACSIMILE (312) 251-1509

September 11, 2013

#### To Property Owner or Resident:

In accordance with requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about September 11, 2013, the undersigned will file an application for a change in zoning from M2-2 to RM-5 on behalf the applicant, VCP Funding III, LLC Series VCP 1057 Grand for the property located at 453-57 N Aberdeen Street.

The applicant seeks to erect a 4 story 8 residential dwelling unit building with no commercial space and 8 outdoor parking spaces with a total height of 46.0°.

The owner and applicant of the property is VCP Funding III, LLC Series VCP 1057 Grand whose business address is 823 W Superior #C; Chicago, IL 60642. You can reach John Pagone at 312-735-4123 if you have any questions.

I am the attorney for the applicant and can be reached at the above number if you have any questions.

Please note the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Thomas S. Moore

TSM:hah

W \FORMS\Zoning Forms\Zoning Change\NeighborLtr wpd

#### "WRITTEN NOTICE" FORM OF AFFIDAVIT (Section 17-13-0107)

September 11, 2013

Honorable Daniel Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

| The undersigned,  | Thomas S. Moore               | , being first duly                    |
|-------------------|-------------------------------|---------------------------------------|
| sworn on oath, de | poses and says the following: | · · · · · · · · · · · · · · · · · · · |

The undersigned certifies that he has complied with the requirements of Sec. 17-13-0107, of the Chicago Zoning Ordinance, by sending written notice to such owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately September 11, 2013.

The undersigned certifies that the applicant has made and bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Thomas S Moore

Subscribed and Sworn to before me

this I'm day of leaten be, 20

OFFICIAL SEAL
HEATHER HASENMILLER
Notary Public - State of Illinois
My Compussion Expires Nov 13, 2015

Notary Public

W \FORMS\Zoning Forms\Zoning Change\FormAff 11.9-3 1 wpd

## 453-457 N Aberdeen Street NARRATIVE

Applicant seeks to erect a 4 story 8 unit residential condominium building with no commercial space and 8 outdoor parking spaces.

|                    | Current Zoning   | Proposed Building   |
|--------------------|--|---------------------|
|                    |  | •                   |
| 7.                 | M2-2   | RM5                 |
| FAR                | 2.2  | 1.58                |
| Minimum Lot Area   | No minimum lot area  | 415 sq. ft per unit |
| Max Buildable Area | •  | 7,888 sq. ft.       |
| Max No. of Units   | 0  | 8 units             |
| Max Bldg Height    | 0  | 46'-0"              |
| Front Setback      | No minimum lot frontage: Except when an M-zoned lot is Across the street from an R district must provide a setback along the street property line opposite the R district at lease equal to the minimum front setback required on the R-zoned lot on the opposite side of the street                         | 15 feet             |
| Rear Setback       | No rear setback is required in M districts, except when the rear property line of an M-zoned site abuts the side property line or rear property line of a lot in an R district or is separated from an R district rear property line by an alley, the minimum rear setback on the M-zoned property is 30 ft. | 38'-0"              |
| North Side Setback | No side setback required   | 3'-0"               |
| South Side Setback | No side setback required   | 3'-0"               |
| Minimum Parking    | 1 space per unit   | 8                   |
| Rear Open Space    | Not Required   | 0 sq. ft.           |

# 453-457 N. ABERDEEN STREET

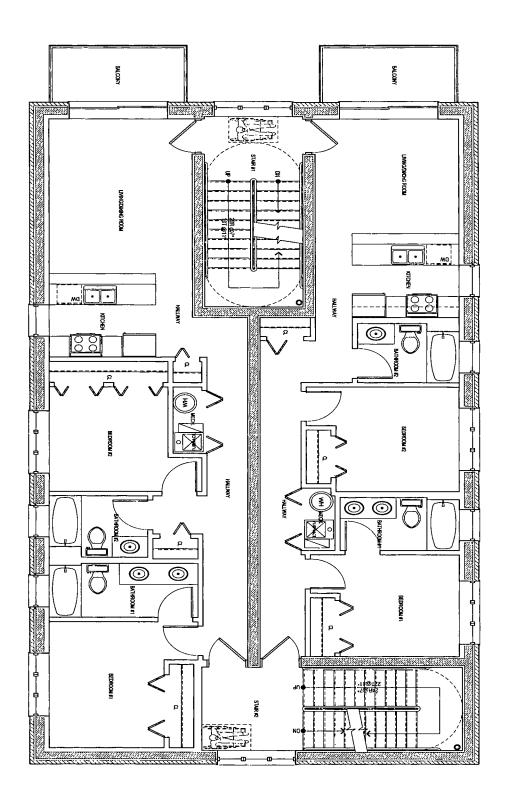




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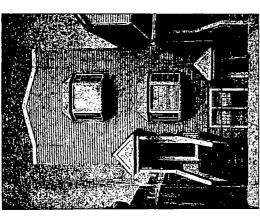
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EXISTING 457 N. ABERDEEN ST.- (3) UNITS

±7888' (NOT INCLUDING BASEMENT) 8 UNITS | 8 PARKING SPACES PROPOSED ZONE RM5.0 TOTAL BUILDING SQ FT:

FRONT SETBACK: Required = 15-0" proposed = 15-0"

(2)-2 STORY FRAME AND

EXISTING

**JINAL SIDED BUILDINGS** 

REQUIRED= 8'-6" **Proposed = 6'-0"** WILL SEEK ZONING ADMINISTRATIVE SIDE SETBACK: 20% OF LOT WIDTH **ADJUSTIMENT** 

IST-3RD FLOOR - 6 UNITS

BASEMENT- 2 UNITS

PROPOSED

PER DWELLING UNIT OR % OF LOT AREA, REQUIRED= 261'-6" PROPOSED = 0'-0" REAR YARD OPEN SPACE: SQUARE FEET WILL SEEK ZONING ADMINISTRATIVE WHICHEVER IS GREATER = 36/5.25 **ADJUSTIMENT** 

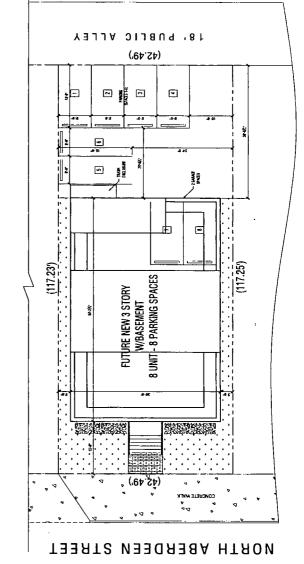
FLOOR AREA RATIO: RM5.0 = 2.0 FLOOR AREA PERMITTED

BASMENT 2,343 FT2 (NOT INCLUDED IN TOTAL) TOTAL FLOOR AREA PROPOSED = 3,911 FT2 2.0 X 4,981.52 FT<sup>2</sup> = 9,963.04 FT<sup>2</sup> 1ST FLOOR 2,343 FT<sup>2</sup> 2ND FLOOR 2,343 FT<sup>2</sup> 3RD FLOOR 2,343 FT<sup>2</sup>

RM5.0= LOT FRONTAGE OF 32 FEET OR MORE: 47:-0\* Proposed Building Height = 46:-0\* BUILDING HEIGHT:

PROPOSED BUILDING TOTAL = 7,888 FT<sup>2</sup>

PENTHOUSE 859 FT<sup>2</sup>



EXISTING 453 N. ABERDEEN ST.- (2) UNITS

THE STEP AND STEP AND

2/2/6

#### SPIEWAK CONSULTING

ROFESS:ONAL DESIGN FII LICENSE NO 164 006518 

#### **PLAT OF SURVEY**

ANDREW SPIEWAK LAND SURVEYOR, INC. of

PARCEL 2: THE NORTH 1/2 OF LOT 12 IN BLOCK 11 IN OCDEN'S ADDITION TO CHICAGO, IN THE NORTHEAST 1/4 OF SECTION 8, TOWNSHIP 39 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

COMMONLY KNOWN AS. 453 N. ABERDEEN AVE., CHICAGO, IL 60642 P.I N. 17-08-248-007 LAND AREA  $\pm 2,520$  Sq ft.

PARCEL 3:
THE SOUTH 21 FEET OF LOT 13 IN BLOCK 11 IN OGDEN'S ADDITION TO CHICAGO, A SUBDIMISION IN THE NORTHEAST 1/4 OF SECTION 8, TOWNSHIP 39 NORTHEAST, RANGE 14, EAST OF THE THIRD PRINCIPLA MERIDIAN, IN COOK COUNTY, ILLINOIS.

COMMONLY KNOWN AS 457 N ABERDEEN AVE , CHICAGO, IL 60642 P.I.N. 17–08–248–006 LAND AREA  $\pm 2,462$  Sq ft

LOT 16 ..OF 14 LOT 15 - NORTH LINE OF LOT 13 117.23 REC 3MLAS NORTH LINE OF THE SOUTH 21 FEET OF LOT 13 0 94 S. 100 S. 1 PAREN SIEPS ST. PART OF LOT 13 ABERDEEN CACRETE THE MASS T. VEI . 017 N 5 0 -0 04 S. 19 28 0.19 S-1 PAVE 3 SARAGE 5TA ₹5 21 7 Plane & 0.31 N 8 18 FT PARÇEL P PART OF LOT 12 čτ FRAME GARAGE 10 78 E -& 1 28 N ×. -0.10 5. 117.25 SOUTH LINE OF THE NORTH 1/2 OF LOT 12 14MS k 013 S 2005 Card FEC SOUTH LINE OF LOT 12 11 ان LOT 10 LOT 9 뚩 N. LINE OF W HUBBARD ST

COMMONLY KNOWN AS. 453-457 N ABERDEEN ST ICHICAGO, IL PLN. 17-08-248-006 & 007 PLAND AREA ± 4,982 sq ft

SCALE 1 INCH EQUALS 15 FEE: SETINGTS WE WANTOW VIET NO DECIMA

MOERED BY <u>VILLA CAPITAL P</u>ARTNERS, LLC

RVEYED BY \_\_ AFS\_\_\_

DRAWN BY AFS

HECKED BY \_\_\_\_\_AFS... ROJECT No 2<u>13-13[11-165 a</u>11-166)

STATE OF ILLINOIS) S 9

ANDREW SPIRMAK LAND SURVEYOR, INC A PROLESSIONAL DESIGN FIRM, LAND SURVEYING CORPORATION, LICENSE IN 5 194 005519 INC. IN THE REPORT CREET STATE A SURVEY LAND SERVEY MORE OF THE OFFICE CREETION AND SUPERVISION OF AN LLINOIS PROFESSIONAL LAND SURVEYOR OF THE ANDREW SERVEY SERVEY OF THE CREETING OF AND SURVEY FIND THE CREETING ON ARMY 19 A CORRECT REPRESENTATION OF SURVEY FIND PROFESSIONAL SERVICE CONFORMS TO THE CARRENT SURVEYS OF THE CREETING ON THE CREETING OF THE CREETING

AND A SECOND SEC

CHICAGO ILLINOIS, DATED THIS \_305 DAY OF \_\_AUGUST\_\_A D 20\_13

HUNDER F. THEURIL HUNDER FOR SHEWAL LOST BING 035 003176 LICENSE EXPIRES 11/3020/4



THIS SURVEY IS VALID ONLY WITH AN EMBOSSED SEAL

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