

## City of Chicago



O2013-6203

## Office of the City Clerk

**Document Tracking Sheet** 

Meeting Date:

9/11/2013

Sponsor(s):

Pope, John (10)

Type:

Ordinance

Title:

Approval of plat of Aldi O'Reilly Subdivision

Committee(s) Assignment:

Committee on Transportation and Public Way



## SUBDIVISION ORDINANCE

Be it Ordained by the City Council of the City of Chicago:

**SECTION 1**. Chicago Department of Transportation, the Commissioner or his assigners, is hereby authorized and directed to approve a proposed Aldi O'Reilly Subdivision; being a subdivision in the block bounded by S. Indianapolis Boulevard, S. Avenue B and E. 106th Street and legally described in the attached plat (Exhibit A, File:08-10-13-3605) which, for greater certainty, is hereby made a part of this ordinance.

SECTION 2. The subdivision herein provided for is made upon the express condition that within one hundred eighty (180) days after the passage of this ordinance, HAL Series Acquisition, LLC Skyway and 4000 E. 106th St., LLC shall file or cause to be filed for record in the Office of the Recorder of Deeds of Cook County, Illinois a certified copy of this ordinance, together with the attached Plat approved by the Department of Transportation / Superintendent of Maps.

**SECTION 3.** This ordinance and exhibit subdivision plat shall take effect and be in force from and after its recording.

Honorable John Pope Alderman, 10<sup>th</sup> Ward

1

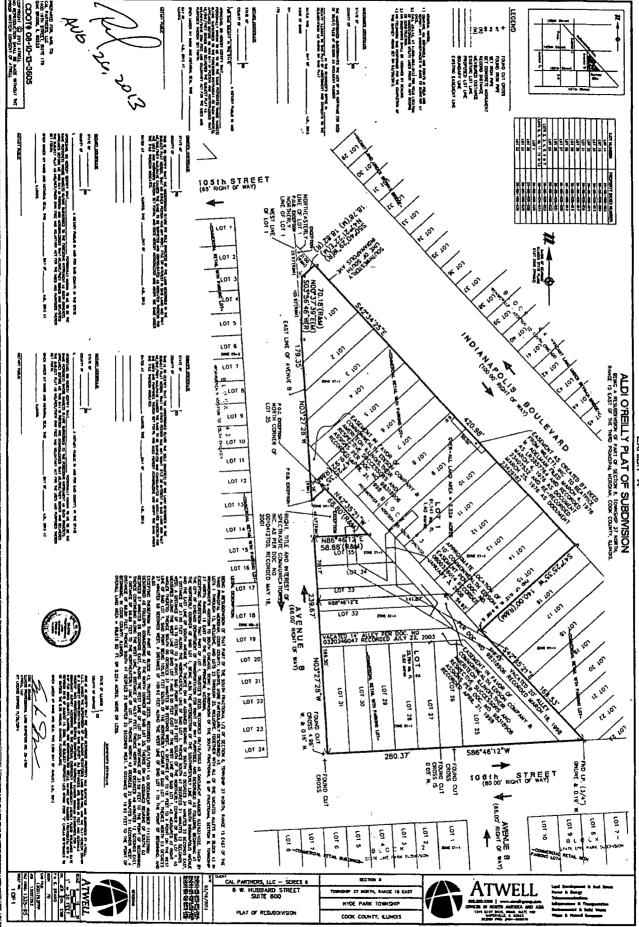


EXHIBIT 'A'

## SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PAR	i t
1. Indicate the nature of the Disclosing low Person  [ Person  [ Publicly registered business corporation  [ Privately held business corporation  [ Sole proprietorship  [ General partnership  [ Limited partnership  [ Trus:	Party:  [ ] Limited liability company  [ ] Limited liability partnership  [ ] Joint venture  [ ] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [ ] Yes  [ ] No  [ ] Other (please specify)
	e country) of incorporation or organization, if applicable:  State of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign of	entity?
[] Yes [] No	[] N/A
B. IF THE DISCLOSING PARTY IS A LE	GAL ENTITY:
NOTE: For not-for-profit corporations, also there are no such members, write "no memb the legal titleholder(s).  If the entity is a general partnership, limit partnership or joint venture, list below the no	fall executive officers and all directors of the entity.  It is below all members, if any, which are legal entities. If ters." For trusts, estates or other similar entities, list below ted partnership, limited liability company, limited liability ame and title of each general partner, managing member, ontrols the day-to-day management of the Disclosing Party, submit an EDS on its own behalf.
Name	Tirle
N/A	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Nemc	Business Address	Percentage Interest in the
	· -	Directoring Party
	• -	
SECTION III B	usiness relationships w	TH CITY ELECTED OFFICIALS
	ng Parry had a "business relations" elected official in the 12 months	nip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[] Yes	P4 No	
If yes, please identi relationship(s):	ly below the name(s) of such City	elected official(s) and describe such

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lubbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t b.d." is
None			not an acceptable response.
(Add sheets if necessary)	·		
Check here if the Disc	closing Party h	as not retained, nor expects to retain	i, any such persons or entities.
SECTION V CERTI	FICATIONS		
A COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
		-415, substantial owners of business th their child support obligations thr	
		ti) owns 10% or more of the Disclosions by any Illinois court of compete	
[] Yes [3] *	• •	to person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes" has the person is the person in complian		court-approved agreement for paym greement?	ent of all support owed and
() Yes   1)?	vc.		
B FURTHER CERTIF	ICATIONS		
consult for defined terms	(c.g., "doing	apter 1-23. Article I ("Article I")(wh business") and legal requirements), nd is doing business with the City. t	if the Disclosing Party

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certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government:
  - be have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud, embezzlement; theft; forgery; bribery; falsification or destruction of records; making faise statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V.
  - d have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern
  - · the Disclosing Party:
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV. "Disclosure of Subcontractors and Other Retained Parties").
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Pany, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership: identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the incligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the incligible entity) with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity.
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise, or
- c inade an admission of such conduct described in a or b, above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinances
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6 The Disclosing Parry understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further locations), the Disclosing Party must explain below:
 N/A

presumed that the Disclosing Party certified to the above statements
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N'A" or "none").  N/A
9 To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N A" or "none"). As to any gift listed below, please also list the name of the City recipient
N/A
C CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1 The Disclosing Party certifies that the Disclosing Party (check one)
[] 15 📉 15 1101
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of coing business with the City."
If the Disciosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary)

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

if the levers "A A "	the word "None." or no response ap	onears on the lines above it will be
	ned that the Disclosing Party certifi	•
D CERTIFICATION	ON REGARDING INTEREST IN (	CITY BUSINESS
Any words or terms meanings when use	•	of the Municipal Code have the same
	inancial interest in his or her own n	unicipal Code: Does any official or employee ame or in the name of any other person or
( ) Yes	(¾ No	
NOTE: If you che Item D.I., proceed	-	o Items D.2. and D.3. If you checked "No" to
elected official of e any other person of for taxes of assessa "City Property Sale	imployee shall have a financial inte- entity in the purchase of any prope- nents, or (iii) is sold by virtue of leg-	e bidding, or otherwise permitted, no City rest in his or her own name of in the name of ity that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, or pursuant to the City's eminent domain powering of this Part D
Does the Matter in	volve a City Property Sale?	
[ ] Y es	[3] No	
	ed ") es' to Item D.) provide the	names and business addresses of the City y the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1 or 2, below. If the Disclosing Party checks 2,, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their staves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A
SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding
A CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobeying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter (Add sheets if necessary):
N/A
(If no explanation appears or begins on the times above, or if the letters "NA" or if the word "None"

appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set form in paragraphs A.1, and A.2, above.
- The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A 4, above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request

## B. CERTIFIC ATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations

NOT FEDERALLY FUNDED

negottations	NO. FEDERALLY FUNDED
Is the Disclosing	Party the Applicant?
[A] Yes	13 No
If "Yes," answer	the three questions below.
•	developed and do you have on file affirmative action programs pursuant to applicable its? (See 41 CFR Part 60-2.)
[] Yes	[ ] No
Contract Compi	filed with the Joini Reporting Committee, the Director of the Office of Federal sance Programs, or the Equal Employment Opportunity Commission all reports due
{ } Yes	able filing requirements? [] No
3. Have you equal opportunit	participated in any previous contracts or subcontracts subject to the y clause?  [ ] No
If you checked '	No" to question 1, or 2, above, please provide an explanation:

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NOT FEDERALLY FUNDED

## SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION. COMPLIANCE, PENALTIES, DISCLOSURE

The Disciosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.citvofchicago.org/Ethics">www.citvofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 240 N.

Sedgwick St., Suite 500 Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sower charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, not permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3 above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	
By Sign here)	
Randall Langer	
(Print or type name of person signing)	
(Print or type title of person signing)	
Signed and sworn to before me on (date) 1.30-3013, at CCK County, Flincis (state).	
Chyphitil hillian Notary Public.	OFFICIAL SEAL
Commission expires: 7-15-2015	ELIZABETH V WEISS  NOTARY PUBLIC - STATE OF ILLI  NY COMMISSION EXPRESSION
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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-m-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section [I.B.]. i. if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] ),ce	[X] No	
such person is connect		such person, (2) the name of the legal entity to which detected city official or department head to whom such sture of such familial relationship.

## SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

a. NATURE OF THE DISCLOSING PARTY	Y
1. Indicate the nature of the Disclosing Pa  [] Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trus:	[X] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2 For legal emities, the state (or foreign of Illinois	country) of incorporation or organization, if applicable:
3. For legal entities no: organized in the S business in the State of Illinois as a foreign en	State of Illinois: Has the organization registered to do nitry?
[]Yes []No	[] N.A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the nar	all executive officers and all directors of the entity, ist below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability me and title of each general partner, managing member, arols the day-to-day management of the Disclosing Party, abmit an EDS on its own behalf.
Name Randall Langer	Title Manager
2. Please provide the following informati	on concerning each person or entity having a direct or

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indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the	1
None .		Disclosing Party	<u> </u>
SECTION III B	USINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS	
	<b>~</b>	nip," as defined in Chapter 2-156 of the before the date this EDS is signed?	Municipal
[ ] Yes	M No		
If yes, please identi relationship(s):	fy below the name(s) of such City	elected official(s) and describe such	

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist' means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than (1) a not-for-profit entity, on an unpaid basis, or (2; himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is
None			not an acceptable response.
(Add sheets if necessary)	ı		
Check here if the Disc	closing Party h	as not retained, nor expects to retain	i, any such persons or entities
SECTION V - CERTI	FICATIONS		
A COURT-ORDERED	CHILD SUPP	PORT COMPLIANCE	
		415, substantial owners of business the their child support obligations thr	
		tly owns 10% or more of the Discloons by any Illinois court of compete	
[3] Yes [3] ?		lo person directly or indirectly owns is closing Party.	; 10% or more of the
If "Yes' has the person is the person in complian		court-approved agreement for paymgreement?	est of all support owed and
())\es	vic.		
B FURTHER CERTIF	CATIONS		

## B TEXTION CONTINUATIONS

Pursuant to Municipal Code Chapter 1-23. Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows. (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily
    excluded from any transactions by any federal, state or local unit of government:
  - be have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement, theft, forgery, bribery; falsification or destruction of records; making faise statements; or receiving stolen property:
  - c. are not presently inducted for, or criminally or civilly charged by, a governmental entity (federal, state of local) with committing any of the offenses set forth in clause B.2.b. of this Section V.
  - d have not within a five-year period preceding the date of this EDS, had one or more public transactions (federal state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern-
  - · the Disclosing Party
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matier, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties").
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly; controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation, interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity) with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to 5 Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter.

- a bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise: or
- c. made an admission of such conduct described in a or b, above that is a matter of record but have not been prosecuted for such conduct or
- d violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- A Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce of their successors: the Specially Designated Nationals List, the Denied Persons List, the Unvertified List, the Entity List and the Debarred List
- 6 The Disciosing Parry understands and shall comply with the applicable requirements of Chapters 2-55 (Legislauve Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code

₹.	If the Disclosing Party	is unable to certify to a	my of the above statemen	als in this Part B (Further
Ceni	Certifications), the Disclosing Party must explain below:			
		<del></del>		
	N/A	<del></del>		

presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N'A" or "none").
9 To the best of the Disclosing Party's knowledge after reasonable inquity, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and baving a retail value of less than \$20 per recipient (if none, indicate with "N A" or "none"). As to any gift listed below please also list the name of the City recipient.
N/A
C CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
{ } is P is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2 If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA." the word "None." or no response appears on the lines above, it will be conclusively

Does the Matter in	yelve a City Property Sale?  [3] No  sed "Yes" to Item D. L. provide the lees having such interest and identifications.  Business Address	e names and business addresses of the Circles ify the nature of such interest Nature of interest
Does the Matter in	[3] No sed "Yes" to Item D 1 provide the	
Does the Matter in		
	volve a City Property Sale?	
does not constitute		
elected official or e any other person of for taxes or assessar "Cin Property Sales	employee shall have a financial into conting in the purchase of any prop- nents, or (iii) is sold by virtue of le	we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) it sold egal process at the suit of the City (collectively, ere pursuant to the City's eminent domain powerining of this Pari D
NOTE If you che		to Items D.2. and D.3. If you checked "No" to
	inancial interest in his or her own i	funicipal Code: Does any official or employee name or in the name of any other person or
Any words or terms meanings when use	•	of the Municipal Code have the same
	ON REGARDING INTEREST IN	CITY BUSINESS
D CERTIFICATIO		
conclusively presur	the word "None," or no response a ned that the Disclosing Party certif	appears on the lines above, it will be field to the above statements.

4. The Disclosing Party further certifies that no prohibited financial interest in the Maner will be acquired by any City official or employee.

## E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1, or 2, below. If the Disclosing Party checks 2,, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
The Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records
The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFIC ATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter (Add sheets if necessary).
N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.I. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew amend, or modify any federally funded contract, grant, loan, or cooperative agreement

Page 9 of 13

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1 and A.2, above. 4 The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities" 5 If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A 4, above from all subcontractors before it awards any subcontract and the Disclosing Parry must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. NOT FEDERALLY FUNDED Is the Disclosing Party the Applicant? [X] Yes []No If "Yes," answer the three questions below 1 Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [ ] Yes [] No 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? []Yes []No 3. Have you participated in any previous contracts or subcontracts subject to the

NOT FEDERALLY FUNDED

equal opportunity clause?

[] No

If you checked "No" to question 1, or 2, above, please provide an explanation:

[]Yes

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N

Sedgwick St., Suite 500 Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F 1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue nor are the Disclosing Party or its Affiliated Empties delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, not permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanators statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

DC Estate, LLC		
(Print or type name of Disclosing Parts)	•	
By: (Sign here)		
Randall Langer		
(Print or type name of person signing)		
Manager		
(Print or type title of person signing)		
at Cock County, Flline,		
Commission expires 17-15-2015	Notary Public.	OFFICIAL SEAL ELIZABETH V WEISS HOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPRESSIVE/IS
3	Page 12 of 13	**************************************

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any aldorman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law stepfather or stepnother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a. if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company: (2) all principal officers of the Disclosing Party, and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Parry or any "Applicable Parry" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

{ } Yes	[x] <i>ye</i>	
such person is connec	ted: (3) the name and title of th	e of such person. (2) the name of the legal entity to which he elected city official or department head to whom such a nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I -- GENERAL INFORMATION

AA Langer & Associates, LLC	·
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submits 1 [9] the Applicant Two property OR	
<ol> <li>() a legal entity holding a direct or in Applicant in which the Disclosing Par OR</li> </ol>	direct interest in the Applicant. State the legal name of the ty holds an interest:
3. [] a legal entity with a right of control	o) (see Section II.B.1) State the legal name of the entity in of control:
B. Business address of the Disclosing Party	3267 M. Racine Ave
	Chicago all books
C Telephone: (773) 929-1620 Fax: (	(772) 661-5071 Email: R. Langer & HAlanger &
D. Name of contact person. Randall	H. Langer
E. Federal Employer Identification No. (if y	<del></del>
•	or other undertaking (referred to below as the "Matter") to number and location of property, if applicable):
Re-subsubdivision to reduce t	the number of lots and property index
numbers for convenience of the	ne municipality and parties.
G. Which City agency or department is req	uesting this EDS?
If the Matter is a contract being handled complete the following:	by the City's Department of Procurement Services, please
	and Contract #

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Var. 01-01-12

## SECTION 11 - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	<i>'</i>
l Indicate the nature of the Disclosing Pa	יידעי
[] Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trus:	[3] Limited liability company [3] Limited liability parmership [4] Joint venture [5] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [6] Yes [6] Other (please specify)
3. For legal entities not organized in the S	tate of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign en	niry?
[]Yes []No	[] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also lithere are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	all executive officers and all directors of the entity, ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below I partnership, limited liability company, limited liability and and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party, about an EDS on its own behalf.
Name	Title Manager
Randall Langer	
	·
	on concerning each person or entity having a direct or lip) in excess of 7.5% of the Disclosing Party. Examples

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of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
	_	Disclosing Party
None ·		
SECTION III B	USINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	ing Party had a "business relations in y elected official in the 12 months l	sip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
-		
[ ] J.cz	PS No	
If yes, please ident relationship(s).	ify below the name(s) of such City	elected official(s) and describe such

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disciosing Party must disciose the name and business address of each subcontractor, atterney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than. (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to ne retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated ) NOTE: "hourly rate" or "t.b.d." is	
None	· · · · · · · · · · · · · · · · · · ·		not an acceptable response.	
(Add sheets if necessary)				
[X] Check here if the Disc	losing Party h	as not retained, nor expects to retain	, any such persons or entities.	
SECTION V CERTII	FICATIONS			
A COURT-ORDERED	CHILD SUPF	PORT COMPLIANCE		
•		-415, substantial owners of business th their child support obligations thr		
		tly owns 10% or more of the Disclosions by any Illinois court of competer		
[] > 25 - [7] >		o person directly or indirectly owns isclosing Party.	10% or more of the	
If "Yes." has the person is the person in complian		court-approved agreement for paym	ent of all support owed and	
[] Yes [] N	ic.			
B. FURTHER CERTIFE	CATIONS			
	•	apter 1-23, Article I ("Article I")(wibusiness") and legal requirements),		

submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicated or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery,

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency, and (ii) the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If Article 1 applies to the Applicant, the permanent compliance timeframe in Article 1 supersedes some five-year compliance timeframes in certifications 2 and 3 below

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.I. of this EDS:
  - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarity excluded from any transactions by any federal, state or local unit of government:
  - be have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud, embezzlement, theft; forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property:
  - c. are not presently inducted for, or eminally or civilly charged by, a governmental entity (federal state of local) with committing any of the offenses set forth in clause B.2.b. of this Section V.
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default and
  - e have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3.4 and 5 concern:
  - · the Disclosing Party.
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties").
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly; controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation, interlocking management or ownership; identity of interests among family members, shared facilities and equipment, common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity) with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter

- 2. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- made an admission of such conduct described in a lor bill above that is a matter of record, but have not been prosecuted for such conduct; or
- d violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance)
- A Neither the Disclosing Party. Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disciosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislauve Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:		
	N/A	

complete list of a	it of the Disclosing Party's knowledge after reasonable inquiry, the following is a all current employees of the Disclosing Party who were, at any time during the 12-ceding the execution date of this EDS, an employee, or elected or appointed official, icago (if none, indicate with "N'A" or "none").
complete list of a 12-month period official, of the C made generally a course of officia	it of the Disclosing Party's knowledge after reasonable inquiry, the following is a all gifts that the Disclosing Party has given or caused to be given, at any time during the preceding the execution date of this EDS, to an employee, or elected or appointed try of Chicago. For purposes of this statement, a "gift" does not include: (i) anything vailable to City employees or to the general public, or (ii) food or drink provided in the City business and having a retail value of less than \$20 per recipient (if none, indicate none"). As to any gift listed below, please also list the name of the City recipient
N/A	
C CERTIFICA	TION OF STATUS AS FINANCIAL INSTITUTION
1. The Disc	Osing Party certifies that the Disclosing Party (check one)
[] 15	⊠ is not
a "financial instr	tution" as defined in Section 2-32-455(b) of the Municipal Code
2 If the Dis	closing Party IS a financial institution, then the Disclosing Party pledges:
Code. We furth lender as define	will not become a predatory lender as defined in Chapter 2-32 of the Municipal er piedge that none of our affiliates is, and none of them will become, a predatory is in Chapter 2-32 of the Municipal Code. We understand that becoming a predatorying an affiliate of a predatory lender may result in the loss of the privilege of doing a City."
	Party is unable to make this pledge because it or any of its affiliates (as defined in S(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

e bidding, or otherwise permitted, no City rest in his or her own name or in the name of rty that (i) belongs to the City, or (ii) is sold all process at the suit of the City (collectively in pursuant to the City's eminent domain powering of this Part D.
e bidding, or otherwise permitted, no City est in his or her own name of in the name of try that (i) belongs to the City, or (ii) is sold all process at the suit of the City (collectively in pursuant to the City's eminent domain power
e bidding, or otherwise permitted, no City est in his or her own name of in the name of try that (i) belongs to the City, or (ii) is sold all process at the suit of the City (collectively in pursuant to the City's eminent domain power
e bidding, or otherwise permitted, no City rest in his or her own name of in the name of try that (i) belongs to the City, or (ii) is sold all process at the suit of the City (collectively in pursuant to the City's eminent domain power
Hems D.2. and D.3. If you enecked two th
Lama D. S. and D. Z. (Even about of Phia) as
unicipa: Code: Does any official or employee ame or in the name of any other person or
of the Municipal Code have the same
CITY BUSINESS
opears on the lines above, it will be ed to the above statements.

4 The Disclosing Party further certifies that no prohibited financial interest in the Matter will > be acquired by any City official or employee

## E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1, or 2 below. If the Disclosing Party checks 2,, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City
X. 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records
The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
N/A
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt collegations of the City are not federal funding
A CERTIFIC ATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobeying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter (Add sheets if necessary).
N/A
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
The Disclosing Parry has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A. I. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

Page 9 of 13

member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew.

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- The Disclosing Party certifies that either: (i) it is not an organization described in section 501/c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501/c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Actualities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.I. through A.4, above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFIC ATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

NOT FEDERALLY FUNDED

ls the Disclosing I	arry the Applicant"	
PIYes	i ] No	
lf "Yos," answer i	he three questions below	
		e on file affirmative action programs pursuant to applicable
federal regulations	." (See 41 CFR Part 60-)	2)
() y.es	[] No	
2 Have you f	iled with the Joint Repor	ting Committee, the Director of the Office of Federal
		al Employment Opportunity Commission all reports due
under the applicab	le filing requirements?	
{ } Y = 5	[] No	
<ol> <li>Have you pequal opportunity</li> </ol>	· ·	us contracts or subcontracts subject to the
{ } Yes	[] No	
lf you checked "N	o" to question 1, or 2, ab	ove, please provide an explanation:
NOT F	PEDERALLY FINDED	

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION. COMPLIANCE. PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City is connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of penury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

	Page 12 of 13	Attorney and the second
Commission expires: 7-15-2015		ELIZABETH V WEISS  MOTARY PUBLIC - STATE OF ILLINOIS  MY COMMISSION EXPRESSIVISTS
Chybithil Weiss	_ Notary Public.	OFFICIAL SEAL
at Cock County. Filincis	(state).	
Signed and sworn to before me on (date)	7-30-2013	
(Print or type title of person signing)	<del></del>	
Manager		
(Print or type name of person signing)	-	
Randall Langer		
By: (Sign here)		
(Print or type name of Disclosing Party)	ÆLC .	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. I.a.. If the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company. (2) all principal officers of the Disclosing Party, and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Parry or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]) or	[x] No	
such person is conne	cted: (3) the name and title of th	e of such person. (2) the name of the legal entity to which he elected city official or department head to whom such a nature of such familial relationship.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION 1 -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submi	itting this EDS. Include d/b/a/ if applicable:
HAL Series Acquisition, LLC Sk	уwау
Check ONE of the following three baxes:	
Applicant in which the Disclosing Party OR	
which the Disclosing Party holds a right of	
B Business address of the Disclosing Party	3767 M. Racme Ave
	Chicago col 60613
C Telephone: (773) 929-1620 Fax: (7	173) 661-5071 Email: R. Langer & H. Alenger ev
E Federal Employer Identification No. (if yo	
	or other undertaking (referred to below as the "Matter") to umber and location of property, if applicable):
Re-subsubdivision to reduce the	he number of lots and property index
numbers for convenience of the	e municipality and parties.
G. Which City agency or department is requ	esting this EDS?
If the Matter is a contract being handled be complete the following:	by the City's Department of Procurement Services, please
Specification #	and Contract #
· · · · · · · · · · · · · · · · · · ·	

Page 1 of 13

Ver. 01-01-12

### SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY I Indicate the nature of the Disclosing Party: [] Person [2] Limited liability company i Publicly registered business corporation [] Limited liability partnership [] Privately held business corporation [ ] Joint venture [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 5(11(c)(3))" [] General partnership [] Limited partnership []Yes []No ] Trus: [] Other (please specify) 2 For legal entities the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Yes [] No [] N'A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and rates of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member. manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name H A Langer & Associates LLC Title Manager

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2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
DC ESTATE, LLC	3767 M. Rasine Ave	Disclosing Party 1001
	3767 M. Rosine Aux Chicago, all 60613	
SECTION III – BU	SINESS RELATIONSHIPS WIT	H CITY ELECTED OFFICIALS
•	Pany had a "business relationship," elected official in the 12 months before	as defined in Chapter 2-156 of the Municipal ore the date this EDS is signed?
[] Yes	pę No	
If yes, please identify relationships s	below the name(s) of such Cay ele	cted official(s) and describe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disciosing Party must disciose the name and business address of each subcontractor, attorney, lobbyist, accountant consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2; himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
None			
(Add sheets if necessary)			
[2] Check here if the Disc	losing Party b	as not retained, nor expects to retain	a, any such persons or entities.
SECTION V - CERTII	FICATIONS		
A COURT-ORDERED	CHILD SUP	PORT COMPLIANCE	
•		e-415, substantial owners of business th their child support obligations the	
•		tly owns 10% or more of the Discloons by any Ifflinois court of compete	= -
[] Yes []		o person directly or indirectly owns isclosing Party.	10% or more of the
If "Yes." has the person of is the person in compliant		court-approved agreement for paym greement?	ent of all support owed and
[]) as [])	c		
B FURTHER CERTIFI	CATIONS		
	•	apter 1-23. Article I ("Article I")(w	• •

Pursuant to Municipal Code Chapter 1-23. Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency, and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons of entities identified in Section II.B.1 of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government:
  - be have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with, obtaining, attempting to obtain, or performing a public (federal, state or local) transaction of contract under a public transaction; a violation of federal or state antiquest statutes; fraud, embezzlement, theft, forgery, bribery; falsification or destruction of records; making faise statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V.
  - d have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found hable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3.4 and 5 concern.
  - . the Disclosing Party
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV. "Disclosure of Subcontractors and Other Retained Parties"):
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicas of control include, without limitation; interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity) with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity.
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise, or
- made an admission of such conduct described in a, or b, above that is a matter of record, but have not been prosecuted for such conduct; or
- d violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4, or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furthe Certifications), the Disclosing Party must explain below:							ᆵ
 N/A							
 				· · · · · · · · · · · · · · · · · · ·			

If the letters "NA." the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete first of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none")
9 To the best of the Disclosing Party's knowledge after reasonable inquity, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N A" or "none"). As to any gift listed below please also list the name of the City recipient
N/A
C CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is M is not
2 "financial institution" as defined in Section 2-32-455(b) of the Municipal Code
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disciosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary).

If the leners "NA." the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements  D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS  Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.  I. In accordance with Section 2-156-110 of the Municipal Code; Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  [I Yes. [3] No.  NOTE: If you checked "Yes" to Item D.1. proceed to Items D.2, and D.3. If you checked "No" to Item D.1., proceed to Part E.  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [I] Yes. [3] No.  If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:  Name Business Address Nature of Interest.			
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D  1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  (1) Yes			
in accordance with Section 2-156-110 of the Municipal Code; Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  [TYes (3/No)  NOTE: If you checked "Yes" to Item D.1, proceed to Items D.2, and D.3. If you checked "No" to Item D.1, proceed to Part E  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [I] Yes [3] No  If you checked "Yes" to Item D.1, provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:	D CERTIFICATION	regarding interest in	CITY BUSINESS
of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?  [] Yes	•	·	of the Municipal Code have the same
Item D.i., proceed to Part E  2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [3] Yes  [3] No  [4] If you checked "Yes" to Item D.i., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:	of the City have a fin- entity in the Matter?	ancial interest in his or her own i	• •
elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.  Does the Matter involve a City Property Sale?  [3] Yes [3] No  [4] If you checked "Yes" to Item D. In provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:	•		o Items D.2. and D.3. If you checked "No" to
[3] Yes [3] No  2. If you checked "Yes" to Item D.1 provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:	elected official or em any other person or of for taxes or assessme "City Property Sale")	ployee shall have a financial into nting in the purchase of any prop- nts, or (iii) is soid by virtue of le . Compensation for property tak	erest in his or her own name or in the name of erry that (i) belongs to the City, or (ii) is sold gai process at the suit of the City (collectively en pursuant to the City's eminent domain power
If you checked "Yes" to Itom D.) provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:	Does the Matter in e	Ive a City Property Sale?	
officials or employees having such interest and identify the nature of such interest:	[] Yes	[3] No	
Name Business Address Nature of Interest	•		
	Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1, or 2, below. If the Disclosing Party checks 2,, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

	nese disclosure requirements may make any contract entered into with the City in the Matter voidable by the City.
from slavery o	isolosing Party verifies that the Disclosing Party has searched any and all records of Party and any and all predecessor entities regarding records of investments or profits or slaveholder insurance policies during the slavery era (including insurance policies cholders that provided coverage for damage to or injury or death of their slaves), and Party has found no such records
Disclosing Par policies The	isolosing Party verifies that, as a result of conducting the search in step 1 above, the my has found records of investments or profits from slavery or slaveholder insurance. Disclosing Party verifies that the following constitutes full disclosure of all such ding the names of any and all slaves or slaveholders described in those records:
N/A	
SECTION VI	CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
funded, proce	Matter is federally funded, complete this Section VI. If the Matter is not federally sec is Section VII. For purposes of this Section VII, tax credits allocated by the City of debt obligations of the City are not federal funding.
A CERTIFIC	ATION REGARDING LOBBYING
Disclosure Ac	low the names of all persons or entities registered under the federal Lobbying of 1995 who have made lobbying contacts on behalf of the Disclosing Party with Matter: (Add specis if necessary).
N/A	
(If no explana appear, it w.)) registered uno	tion appears or begins on the lines above, or if the letters "NA" or if the word "None", be conclusively presumed that the Disclosing Party means that NO persons or entities ter the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the may with respect to the Matter.)

2 The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A 1, above for his or her tobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar duarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- The Disclosing Party certifies that either: (i) it is not an organization described in section 500 (c)(4) of the Internal Revenue Code of 1986, or (ii) it is an organization described in section 500 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities"
- 5 If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4, above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B CERTIFIC ATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

NOT FEDERALLY FUNDED

negotiations	NOT FEDERALLY	FUNDEC
Is the Disclosu	ng Party the Applicant?	
(*j Ye:	[]No	
If "Yes." anyw	er the three questions be	clow
		u have on file affirmative action programs pursuant to applicable
federa! regulat	tions? (See 41 CFR Part	t 60-2.)
[] Yes	[ ] No	
		eporting Committee, the Director of the Office of Federal
	pliance Programs, or the icable filing requiremen	Equal Employment Opportunity Commission all reports due
[] Y.es	( ) No	
3. Have ye	ou participated in any pr	revious contracts or subcontracts subject to the
equal opportui	nity clause?	
[]Yes	[] No	
If you checked	i "No" to question 1, or	2. above, please provide an explanation;
NO	T FEDERALLY FUND	ED

# SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A The certifications, disclosures, and acknowledgments contained in this EDS will become pan of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances. Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics. 740 N

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this LDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable and the City may pursue any remedies under the contract or agreement (if not rescinded or void) at law or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code

The Disclosing Party represents and warrants than

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- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disciosing Party is the Applicant, the Disclosing Party will obtain from any contractors subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1, and F.2, above and will not, without the prior written consent of the City, use any such contractor subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2 or F.3 above, an explanator, statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

HAL SERIES ACQUISITION, LLC SKYWAY	
(Printer type name of Disclosing Party)	
(Sign here)	
Randall Langer	
(Print or type name of person signing)	
Manager	
(Print or type title of person signing)	
Signed and sworn to before me on (date) 7.30-30-303.  at Cock County. Flling's (state).  Climbath White Notary Public.  Commission expires 7-15-3015	HOT
·	MY

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OFFICIAL SEAL
ELIZABETH V WEISS
NOTARY PUBLIC - STATE OF LUNOIS
NY COMMISSION EXPRESSIVASIAS

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDANIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT READS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipa. Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aum or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (i) all executive officers of the Disclosing Party listed in Section II.B.) at if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership: all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership: all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited hability company: (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disciosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] ) cs	[x] No	
such person is connec	ted; (3) the name and title of t	e of such person. (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I - GENERAL INFORMATION

4000 E. 106th St., LLC	ng this EDS. Include d/b/a/ if applicable:	
Check ONE of the following three boxes:	<del></del>	
Indicate whether the Disclosing Party submitting 1. [	g this EDS is:	•
<ol> <li>[] a legal entity holding a direct or indire         Applicant in which the Disclosing Party ho         OR</li> </ol>		
3. [] a legal entity with a right of control (se which the Disclosing Party holds a right of c		
B. Business address of the Disclosing Party:	PO Box 4855 Thousand Oaks, CA 91359	
C. Telephone: 818-340-3366 Fax: 818-		
D. Name of contact person: Howard Ruber  E. Federal Employer Identification No. (if you be	have one).	` <u>.</u>
F. Brief description of contract, transaction or o which this EDS pertains. (Include project number		
File: 08-10-13-3605		
G. Which City agency or department is request	ting this EDS? N/A	
If the Matter is a contract being handled by to complete the following:	the City's Department of Procurement Scr	vices, please
	and Contract #	

# SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISC	LOSING PART	Y
1. Indicate the nature of t	the Disclosing P	arty:
[] Person		[X Limited liability company
[] Publicly registered busine	ss corporation	[] Limited liability partnership
[] Privately held business co		[] Joint venture
[] Sole proprietorship		[] Not-for-profit corporation
[] General partnership		(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership		[] Yes [] No
[] Trust		[] Other (please specify)
3. For legal entities not obusiness in the State of Illino	_	State of Illinois: Has the organization registered to do ntity?
[]Yes [	] No	[ ] N/A
B. IF THE DISCLOSING PA	ARTY IS A LE	GAL ENTITY:
NOTE: For not-for-profit co there are no such members, we the legal titleholder(s). If the entity is a general pa- partnership or joint venture, I manager or any other person	rporations, also vrite "no membe artnership, limite list below the na or entity that co	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If ers." For trusts, estates or other similar entities, list below ed partnership, limited liability company, limited liability ame and title of each general partner, managing member, entrols the day-to-day management of the Disclosing Party submit an EDS on its own behalf.
Name		Title
Howard Rubenstein		Managing Partner
Phyllis Rubenstein	<del></del>	Managing Partner
·		

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Inter	est in the
		Disclosing Party	,
Howard Rubenstein	PO Box 4855, Thousand C	aks, CA 91359	50%
Phyllis Rubenstein	PO Box 4855, Thousand C	aks, CA 91359	50%
*	·	,	•
		,	
SECTION III - BUSIN	ess relationships wit	H CITY ELECTED	OFFICIALS
•	ty had a "business relationship,	•	<del>-</del>
Code, with any City elect	ed official in the 12 months before	ore the date this EDS	is signed?
[]Yes	ON [K]		
If yes, please identify belorelationship(s):	ow the name(s) of such City ele	cted official(s) and do	escribe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
•			
(Add sheets if necessar	у)		
[] Check here if the Di	sclosing Party l	nas not retained, nor expects to retain	n, any such persons or entities
SECTION V - CERT	1FICATIONS	•	
A. COURT-ORDERE	O CHILD SUPI	PORT COMPLIANCE	
		2-415, substantial owners of busines ith their child support obligations the	
		ons by any Illinois court of compete	
[] Yes .[]		No person directly or indirectly own isclosing Party.	s 10% or more of the
If "Yes," has the person is the person in complia		court-approved agreement for paym greement?	nent of all support owed and
[]Yes []	No		•
B. FURTHER CERTIF	FICATIONS		
consult for defined terr submitting this EDS is certifies as follows: (i)	ns (e.g., "doing the Applicant a neither the App	napter 1-23, Article I ("Article I")(w business") and legal requirements), and is doing business with the City, blicant nor any controlling person is wer been convicted of, or placed und	if the Disclosing Party then the Disclosing Party currently indicted or charged

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency, and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	g Party is unable to certify to any of the	ie above st	atem	ents	un ti	us Pa	<b>пв</b> (	Further
teruncations), the Disc	closing Party must explain below:				·		٠,	·
N/A		<del></del>				` '	,	,
· · · · · · · · · · · · · · · · · · ·					,			
			,	,				•

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicat with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [Xis not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

		ive bidding, or otherwise permitted, no City
elected official or	employee shall have a financial int	terest in his or her own name or in the name of
elected official or	employee shall have a financial int	terest in his or her own name or in the name of
elected official or	employee shall have a financial int	terest in his or her own name or in the name of
elected official or	employee shall have a financial int	terest in his or her own name or in the name of
elected official or	employee shall have a financial int	terest in his or her own name or in the name of
elected official or any other person o	employee shall have a financial int r entity in the purchase of any prop	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold
elected official or any other person o	employee shall have a financial int r entity in the purchase of any prop	terest in his or her own name or in the name of
elected official or any other person o for taxes or assess	employee shall have a financial int r entity in the purchase of any prop ments, or (iii) is sold by virtue of le	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively,
elected official or any other person o for taxes or assess "City Property Sal	employee shall have a financial int rentity in the purchase of any propments, or (iii) is sold by virue of lee"). Compensation for property tal	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power.
elected official or any other person o for taxes or assess "City Property Sal	employee shall have a financial int r entity in the purchase of any prop ments, or (iii) is sold by virtue of le	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power.
elected official or any other person o for taxes or assess "City Property Sal- does not constitute	employee shall have a financial into rentity in the purchase of any property, or (iii) is sold by virtue of the property talls a financial interest within the means	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power.
elected official or any other person o for taxes or assess "City Property Sal- does not constitute	employee shall have a financial int rentity in the purchase of any propments, or (iii) is sold by virue of lee"). Compensation for property tal	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power.
elected official or any other person o for taxes or assess "City Property Sal- does not constitute	employee shall have a financial into rentity in the purchase of any property, or (iii) is sold by virtue of the property talls a financial interest within the means	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power.
elected official or any other person of for taxes or assess "City Property Sal- does not constitute Does the Matter in	employee shall have a financial interentity in the purchase of any properties, or (iii) is sold by virtue of lee"). Compensation for property tales a financial interest within the measurolve a City Property Sale?  [XNo	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, kcn pursuant to the City's eminent domain power aning of this Part D.
elected official or any other person of for taxes or assess "City Property Sal- does not constitute Does the Matter in [] Yes 3. If you chec	employee shall have a financial interest of any property in the purchase of any property. Compensation for property tales a financial interest within the measurolve a City Property Sale?  [XNo ked "Yes" to Item D.I., provide the	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D.
elected official or any other person of for taxes or assess "City Property Sal- does not constitute Does the Matter in [] Yes 3. If you chec	employee shall have a financial interentity in the purchase of any properties, or (iii) is sold by virtue of lee"). Compensation for property tales a financial interest within the measurolve a City Property Sale?  [XNo	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D.
elected official or any other person of for taxes or assess "City Property Sal- does not constitute Does the Matter in [] Yes 3. If you chec	employee shall have a financial interest of any property in the purchase of any property. Compensation for property tales a financial interest within the measurolve a City Property Sale?  [XNo ked "Yes" to Item D.I., provide the	terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D.

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. X11. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

s the Disclosing P.	arty the Applicant?	•	•	
[]Yes	[]No	· .:		
If "Yes," answer th	te three questions below:		. ,	
1. Have you do	eveloped and do you have on file a	affirmative action prog	rams pursuant to ap	plicable
federal regulations	? (See 41 CFR Part 60-2.)	• • •	,	
[]Yes	[] No	• •		
2. Have you fi	led with the Joint Reporting Com	mittee, the Director of	the Office of Feder	al
_	ce Programs, or the Equal Employee Filing requirements?	yment Opportunity Con	mmission all report	s due
[]Yes	[ ] No			,
3. Have you p	articipated in any previous contrac	cts or subcontracts subj	ect to the	
equal opportunity	clause?			
[]Yes	[] No		٠,	
If you checked "No	o" to question 1, or 2, above, plea	se provide an explanat	ion:	

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

4000 E. 106th St., LLC		
(Print or type name of Disclosing Party)		
By: Walsh	· ·	
(Sign here)		
Howard Rubenstein		
(Print or type name of person signing)	<del></del>	
		,
(Print or type title of person signing)	<del>-</del>	•
•	, ,	
Signed and sworn to before me on (date)	· · · · · · · · · · · · · · · · · · ·	
atCounty,	(state).	
	•	
· · · · · · · · · · · · · · · · · · ·	_ Notary Public.	orrania
Commission expires:		JURA
	· ·	5-7-7-1 6-1-1-1-1

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. 1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ <b>%</b> No	,	•	٠.
such person is connect	y below (1) the name and title ord; (3) the name and title of the elationship, and (4) the precise n	elected city off	icial or department head	
				, ,
			:	<del></del>
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	d and sworn to	•	•		1	
day of <u>Ma</u>		0 <u>13</u> , by <u>Ho</u>	· · · · · · · · · · · · · · · · · · ·		_,	
	me on the bas who appeared		tory evidence	e to be the		
,, <del>,,,,,,,,,,,,,,,,</del>				• •		
	JOHN P. T NOTARY PUBLIC - COMMISSION #	CALIFORNIA 🖁 🔎	~~	1		
	LOS ANGELES My Comm Exp. Nove	COUNTY	$\chi_{\chi}$	XX		•
(Sear)	****	Signature		$\angle N \angle N$		

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Ald Obully Submitted The No. [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

(Print or twoe legal name of Disclosing Party)	Date: <u>9.9.13</u>
By: Harard Rubenstein, Managek (sign here)	
Print or type name of signatory:	
Howard Buberstain	
Title of signatory:	
Manage	
Signed and swom to before me on [date] 09-1 HOWARD RUBENSTEW, at WESTLAKE VIGO NO LAND RUBENSTEW, AT WESTLAKE VIGO Notary	County, Vantura [state]. CHLITORNIA  Public.
Nælger k ki Waln J Notary  Commission expires: 07-12 - 2016.	NALYN A WIRATUNGA Commission # 1982149 Notary Public - Galifornia Ventura County My Comm. Expires Jul 12, 2016

Ver. 11-01-05