

City of Chicago



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Meeting Date:

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Sponsor(s):

Emanuel (Mayor)

Type:

Ordinance

Title:

Lease agreement with Brown, Inc. for use of property at 3154 E 95th St by Department of Streets and Sanitation

Committee on Housing and Real Estate

Committee(s) Assignment:



OFFICE OF THE MAYOR CITY OF CHICAGO

RAHM EMANUEL MAYOR

May 28, 2014

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Fleet and Facility Management, I transmit herewith ordinances authorizing the execution of access, license and lease agreements.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

<u>SECTION 1:</u> On behalf of the City of Chicago, the Commissioner of the Department of Fleet and Facility Management is authorized to execute a Lease with Brown, Inc., governing the City's use of property located at 3154 East 95th by the Department of Streets and Sanitation, payment of rent, and indemnification; such Lease to be approved by the Commissioner of the Department of Streets and Sanitation and approved as to form and legality by the Corporation Counsel in substantially the following form:

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THIS LEASE is entered into this _________, and ________, 2014 (the "Effective Date"), by and between BROWN INC., an Indiana corporation (hereinafter referred to as "Landlord") and the CITY OF CHICAGO, an Illinois municipal corporation and home rule unit of government (hereinafter referred to as "Tenant" or "City").

RECITALS

WHEREAS, Landlord is the owner of the premises more commonly known as 3154 East 95th Street, Chicago, Cook County, Illinois; and

WHEREAS, Landlord has agreed to lease to Tenant, and Tenant has agreed to lease from Landlord, approximately 232,000 square feet of vacant land and approximately 400 linear feet of dock face located at 3154 East 95th; Street as depicted on **Exhibit A** attached hereto and made a part hereof to be used by the Tenant's Department of Streets and Sanitation.

NOW THEREFORE, in consideration of the covenants, terms and conditions set forth herein, the parties agree and covenant as follows:

SECTION 1. GRANT

Landlord hereby leases to Tenant, and Tenant hereby leases from Landlord, the following described premises situated in the City of Chicago, County of Cook, State of Illinois, to wit:

Approximately 232,000 square feet of vacant land and approximately 400 linear feet of dock face located on that certain parcel of real estate more commonly known as 3154 East 95th Street, Chicago, Illinois (part of PIN 26-05-117-021 - the "**Premises**").

SECTION 2. TERM

The term of this Lease (the "Term") shall begin on the Effective Date and shall end on May 31, 2019 unless sooner terminated as set forth in this Lease.

SECTION 3. RENT, TAXES, AND UTILITIES

- 3.1 Rent. Tenant shall pay to Landlord rent for the Premises in the amount of:
- (a) Eight-Thousand Nine-Hundred Seventy-Five and 00/100 Dollars (\$8,975.00) per month for the period beginning on May 1, 2014 and ending on December 31, 2014.
- (b) Nine-Thousand One-Hundred Fifty and 00/100 Dollars (\$9,150.00) per month for the period beginning on January 1, 2015 and ending on December 31, 2015.

- (c) Nine-Thousand Three-Hundred Twenty-Five and 00/100 Dollars (\$9,325.00) per month for the period beginning on January 1, 2016 and ending on December 31, 2016.
- (d) Nine-Thousand Five-Hundred and 00/100 Dollars (\$9,500.00) per month for the period beginning on January 1, 2017 and ending on December 31, 2017.
- (e) Nine-Thousand Six-Hundred Seventy-Five and 00/100 Dollars (\$9,675.00) per month for the period beginning on January 1, 2018 and ending on December 31, 2018.
- (f) Nine-Thousand Seven-Hundred Twenty-Five and 00/100 Dollars (\$9,725.00) per month for the period beginning on January 1, 2019 and ending on May 31, 2019.

Rent shall be paid to Landlord at Brown Inc., Attention: Deborah Hyndman, 720 W. U.S. Highway 20, Michigan City, Indiana 46360, or at such other place as Landlord may hereafter designate in writing to Tenant.

- 3.2 <u>Taxes and Other Levies</u>. Landlord shall pay when due all real estate taxes. Landlord shall pay all other duties, assessments, and other levies assessed against the Premises, except for those charges which this Lease specifies that Tenant shall pay.
- 3.3 <u>Utilities</u>. Tenant shall pay when due all charges for gas, sewer and water, electricity, light, and telephone or other communication service, and all other services used in or supplied to the Premises, except for those charges which this Lease specifies that Landlord shall pay.
- 3.4 <u>Accord and Satisfaction</u>. No payment by Tenant or receipt by Landlord of a lesser amount than any installment or payment of rent due shall be deemed to be other than an account of the amount due, and no endorsement of statement or any check or any letter accompanying any check or payment of rent shall be deemed an accord and satisfaction, and Landlord may accept such check or payment without prejudice to the Landlord's right to recover the balance of such installment or payment to pursue any other remedies available to Landlord No payment of money to Landlord from Tenant after termination of this Lease or Tenant's right to use the Premises shall reinstate, continue, or extend the Term of this Lease.

SECTION 4. <u>CONDITION AND ENJOYMENT OF PREMISES, USE, ALTERATIONS</u> AND ADDITIONS, SURRENDER, INSPECTION

- 4.1 Condition of Premises. Landlord covenants as follows:
- (a) Landlord has received no notice of any violations of local, state, or federal laws or regulations, including environmental protection agency violations relating to the Premises.
- (b) Tenant has examined the Premises and has full knowledge of the physical condition of the same. The execution of the Lease by Tenant shall be deemed to be conclusive evidence of the receipt and acceptance of the Premises "as is." No representation or statement as to the condition of the Premises has been made by Landlord or any agent of Landlord that is not

set forth herein or endorsed hereon. No promise by Landlord to improve, maintain, or repair the Premises, or any portion thereof, in any way has been made either before or at the time of the execution of this Lease.

- (c) Tenant shall, at its own expense, take such steps as are necessary to prevent injury, damage, or pollution of the Premises or surrounding environment. At a minimum Tenant shall cover salt piles with a protective tarp or other covering to prevent leaching or salt run-off onto the Premises or adjoining properties. Tenant shall also exercise any other standard industry practices.
- 4.2 <u>Covenant of Quiet Enjoyment</u>. Landlord covenants and agrees that Tenant, upon paying the rent and upon observing and keeping the covenants, agreements, and conditions of this Lease on its part to be kept, observed, and performed, shall lawfully and quietly hold, occupy and enjoy the Premises (subject to the provisions of this Lease) during the Term without hindrance or molestation by Landlord or by any person or persons claiming under Landlord. Tenant shall comply with all applicable state and federal, county and municipal environmental regulations. If waterways are used for delivery of salt, any permitting and waterway safety regulations must be observed. Non-compliance with these conditions may be used by the Landlord as grounds for the termination of this Lease subject to Section 10.10 hereunder.
- 4.3 <u>Use of the Premises</u>. Tenant shall occupy and use the Premises for receiving, storing, and loading out bulk salt material only. The bulk salt material so stored will be received by truck, rail, or water vessel. Tenant shall not accept, store, load, or handle any material or cargo other than salt without first obtaining the Landlord's written consent. Landlord shall not unreasonably withhold, condition, or delay such consent. Tenant shall use the Premises in compliance with all applicable local, state, and federal laws.
- 4.4 <u>Alterations, Additions, Improvements, and Surrender</u>. Tenant shall have the right to make such alterations, additions, and improvements on the Premises as it shall deem necessary. Any such alterations, additions and improvements shall be in full compliance with any applicable laws. Tenant must obtain Landlord's prior written consent before commencing any improvements. Landlord shall not unreasonably withhold, condition, or delay such consent. It is further agreed that at the termination of this Lease by lapse of time or otherwise, Tenant shall remove Tenant's alterations, additions, or improvements.
- 4.5 <u>Right to Inspect</u>. Tenant shall permit Landlord, or Landlord's agents, at Landlord's expense and risk, to enter the Premises in order to inspect same for the purpose of ascertaining the condition thereof with respect to the maintenance and upkeep of the Premises. The Landlord shall, however, give Tenant reasonable notice of its intention to inspect the Premises and Landlord's agents that inspect the Premises may be accompanied by employees or agents of Tenant.

SECTION 5. ASSIGNMENT, SUBLEASE, AND LIENS

- 5.1 <u>Assignment and Sublease</u>. Tenant shall not assign this Lease in whole or in part, or sublet the Premises or any part thereof without the written consent of Landlord in each instance. Landlord shall not unreasonably withhold such consent.
- 5.2 <u>Tenant's Covenant against Liens</u>. Tenant shall not cause or permit any lien or encumbrance, whether created by act of Tenant, operation of law or otherwise, to attach to, or be placed upon, Landlord's title or interest to the Premises. All liens and encumbrances created by Tenant shall attach to Tenant's interest only.

SECTION 6. INSURANCE

- 6.1 <u>Tenants' Self-Insurance</u>. Tenant, City of Chicago, is self-insured and will provide Landlord with a letter from the City of Chicago executed by an authorized official of the City of Chicago indicating that the City of Chicago is self-insured. This letter shall be tendered to Landlord when this Lease is executed.
- 6.2 <u>Landlord's Insurance</u>. The Landlord shall procure and maintain at all times, at Landlord's own expense, during the Term of this Lease, the insurance coverages and requirements specified below, insuring all operations related to the Lease.

The kinds and amounts of insurance required are as follows:

- (a) Workers Compensation and Employers Liability Insurance. Workers Compensation and Employers Liability Insurance, in accordance with the laws of the State of Illinois, or any other applicable jurisdiction, covering all Landlord's employees at the Premises and Employer's Liability coverage with limits of not less than \$100,000 each accident or illness. This provision shall also apply to Landlord's employees, agents or clients hired for work on the Premises.
- (b) <u>Commercial Liability Insurance</u>. (Primary and Umbrella). Commercial Liability Insurance or equivalent with limits of not less than \$1,000,000 per occurrence, for bodily injury, personal injury, and property damage liability. Coverage extensions shall include the following: All premises and operations, products/completed operations, defense, separation of insureds, and contractual liability (with <u>no</u> limitation endorsement). The City of Chicago, its employees, elected officials, agents, and representatives are to be named as additional insureds on a primary, non-contributory basis for any liability arising directly or indirectly from the Lease.
- (c) <u>Automobile Liability Insurance</u>. (Primary and Umbrella). When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Landlord shall provide Comprehensive Automobile Liability Insurance with limits of not less than \$1,000,000 per occurrence, for bodily injury and property damage.

The Landlord shall be responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools and supplies), owned or rented, by the Landlord.

6.3 Other Terms of Insurance. The Landlord will furnish the City of Chicago, Department of Fleet and Facility Management, Office of Real Estate Management, 30 North LaSalle Street, Room 300, Chicago, Illinois 60602, original Certificates of Insurance evidencing the required coverage to be in force on the date of this Lease, and Renewal Certificates of Insurance, or such similar evidence, if the coverages have an expiration or renewal date occurring during the Term of this Lease.

The Tenant shall submit evidence of insurance prior to Lease award to Brown Inc. 720 W. U.S. Highway 20 Michigan City, IN. 46360 Attn: Ms. Deborah Hyndman.

Nonfulfillment of the insurance conditions may constitute a violation of the Lease, and Landlord or Tenant retains the right to terminate the Lease until proper evidence of insurance is provided.

Landlord and Tenant expressly understand and agree that any insurance coverages and limits furnished by Landlord shall in no way limit their liabilities and responsibilities specified within the Lease documents or by law.

Landlord expressly understands and agrees that any insurance or self-insurance programs maintained by the City of Chicago shall apply only to the City's employees, agents, contractors, vehicles, structures, materials, supplies and equipment, as the case may be, and shall not contribute with or be in excess of any insurance that the Landlord must provide under subsection 6.2.

The required insurance shall not be limited by any limitations expressed in the indemnification language herein or any limitation placed on the indemnity therein given as a matter of law.

Mutual Indemnification. Landlord and Tenant (subject to allocation of adequate appropriations and any other applicable legislative procedures, requirements, and approvals) shall indemnify and hold each other harmless against all liabilities, judgment costs, damages, and expenses which may accrue against, be charged to, or be recovered from either party by reason of: (a) any negligent performance of or failure to perform any of the obligations under this Lease, (b) by reason of any negligence causing death or bodily injury or loss or damage to property and arising out of the acts or omission of either party in connection with the use of the Premises or the conduct of business, thereon, or (c) by reason of either party's violation of any Federal, State or local laws, ordinances or regulations attributable to the use of the Premises or the conduct of business thereon.

SECTION 7. DAMAGE OR DESTRUCTION

7.1 <u>Damage or Destruction</u>. If the Premises shall be damaged or destroyed to such an extent that Tenant cannot continue to occupy or conduct Tenant's normal business therein, or if, in Tenant's opinion, the Premises are rendered unusable, Tenant shall have the option to declare this Lease terminated as of the date of such damage or destruction by giving Landlord written notice to such effect. If Tenant exercises this option, the rent shall be apportioned as of the date

of such damage or destruction and Landlord shall within forty-five (45) days repay to Tenant all prepaid rent.

SECTION 8. CONFLICT OF INTEREST AND GOVERNMENTAL ETHICS

- 8.1 <u>Conflict of Interest</u>. No official or employee of the City of Chicago, nor any member of any board, commission or agency of the City of Chicago, shall have any financial interest (as directed in Chapter 2-156 of the Municipal Code), either direct or indirect, in the Premises. Nor shall any such official, employee, or member participate in making or in any way attempt to use his or her position to influence any City governmental decision or action with respect to this Lease.
- 8.2 <u>Duty to Comply with Governmental Ethics Ordinance</u>. Landlord and Tenant shall comply with Chapter 2-156 of the Municipal Code of Chicago, "Governmental Ethics," including but not limited to section 2-156-120, which states that no payment, gratuity or offer of employment shall be made in connection with any City of Chicago contract, as an inducement for the award of a contract or order. Any contract or lease negotiated, entered into, or performed in violation of any of the provisions of Chapter 2-156 shall be voidable as to the City of Chicago.

SECTION 9. HOLDING OVER

9.1 <u>Holding Over.</u> Any holding over by Tenant shall be construed to be a tenancy from month to month only beginning on June 1, 2019 (the "**Holding Over**") and the rent shall be at the 102% of the rate as set forth in Section 3.1 (f) of this Lease. During such Holding Over all other terms and conditions of this Lease shall remain in full force and effect.

SECTION 10. MISCELLANEOUS

10.1 <u>Notice</u>. All notices, demands and requests which may be or are required to be given, demanded or requested by either party to the other shall be in writing. All notices, demands and requests by Landlord to Tenant shall be delivered by national overnight courier or shall be sent by United States registered or certified mail, return receipt requested, postage prepaid addressed to Tenant as follows:

City of Chicago
Department of Fleet and Facility Management
Office of Real Estate Management
30 North LaSalle – Room 300
Chicago, Illinois 60602

or at such other place as Tenant may from time to time designate by written notice to Landlord and to Tenant at the Premises. All notices, demands, and requests by Tenant to Landlord shall be delivered by a national overnight courier or shall be sent by United States registered or certified mail, return receipt requested, postage prepaid, addressed to Landlord as follows:

Ms. Deborah Hyndman

Brown Inc. 720 W. U.S. Highway 20 Michigan City, Indiana 46360

or at such other place as Landlord may from time to time designate by written notice to Tenant. Any notice, demand or request which shall be served upon Landlord by Tenant, or upon Tenant by Landlord, in the manner aforesaid, shall be deemed to be sufficiently served or given for all purposes hereunder at the time such notice, demand or request shall be mailed.

- 10.2 <u>Partial Invalidity</u>. If any covenant, condition, provision, term or agreement of this Lease shall, to any extent, be held invalid or unenforceable, the remaining covenants, conditions, provisions, terms and agreements of this Lease shall not be affected thereby, but each covenant, condition, provision, term or agreement of this Lease shall be valid and in force to the fullest extent permitted by law.
- 10.3 <u>Governing Law</u>. This Lease shall be construed and be enforceable in accordance with the laws of the State of Illinois.
- 10.4 <u>Entire Agreement</u>. All preliminary and contemporaneous negotiations are merged into and incorporated in this Lease. This Lease contains the entire agreement between the parties and shall not be modified or amended in any manner except by an instrument in writing executed by the parties hereto.
- 10.5 <u>Captions and Section Numbers</u>. The captions and section numbers appearing in this Lease are inserted only as a matter of convenience and in no way define, limit, construe or describe the scope or intent of such sections of this Lease nor in any way affect this Lease.
- 10.6 <u>Binding Effect of Lease</u>. The covenants, agreements, and obligations contained in this Lease shall extend to, bind, and inure to the benefit of the parties hereto and their legal representatives, heirs, successors, and assigns.
- 10.7 <u>Time is of the Essence</u>. Time is of the essence of this Lease and of each and every provision hereof.
- 10.8 No Principal/Agent or Partnership Relationship. Nothing contained in this Lease shall be deemed or construed by the parties hereto nor by any third party as creating the relationship of principal and agent or of partnership or of joint venture between the parties hereto.
- 10.9 <u>Authorization to Execute Lease</u>. The parties executing this Lease hereby represent and warrant that they are duly authorized and acting representatives of Landlord and Tenant respectively and that by their execution of this Lease, it became the binding obligation of Landlord and Tenant respectively, subject to no contingencies or conditions except as specifically provided herein.

- 10.10 Termination of Lease. Tenant shall have the right to terminate this Lease by providing Landlord sixty (60) days prior written notice anytime on or after October 1, 2014. Landlord shall have the right to terminate this Lease by providing Tenant sixty (60) days prior written notice anytime on or after October 1, 2014. Notwithstanding the foregoing, Landlord may not terminate this Lease where the term expires between October 1st through March 31st of any year, such period being the snow season when the use of the Premises for salt storage purposes is essential to City operations and public safety. Tenant may negotiate Tenant's possible acquisition of the Premises and any adjoining property owned by Landlord. In the event that Tenant acquires such property pursuant to separate City Council approved Ordinance, this Lease shall terminate under the terms of such separate transaction.
- 10.11 <u>Force Majeure</u>. When a period of time is provided in this Lease for either party to do or perform any act or thing, the party shall not be liable or responsible for any delays due to strikes, lockouts, casualties, acts of God, wars, governmental regulation or control, and other causes beyond the reasonable control of the party, and in any such event the time period shall be extended for the amount of time the party is so delayed.
- 10.12 <u>Condemnation</u>. If the whole or any substantial part of the Premises are taken or condemned by any competent authority for any public use or purpose, or if any adjacent property or street shall be so condemned or improved in such a manner as to require the use of any part of the Premises, the Term of this Lease shall, at the option of Landlord or the condemning authority, be terminated upon, and not before, the date when possession of the part so taken shall be required for such use or purpose, and Landlord shall be entitled to receive the entire award without apportionment with Tenant. Rent shall be apportioned as of the date of Tenant's vacating as the result of said termination.
- 10.13 No Brokers. The Department of Fleet and Facility Management, Office of Real Estate Management, does not use brokers, tenant representatives, or other finders. No broker, landlord or tenant representative, or other finder (a) introduced Tenant to Landlord, (b) assisted Tenant in the negotiation of this Lease, or (c) dealt with Tenant on Tenant's behalf in connection with the Premises or this Lease. Under no circumstances shall Tenant make any payments due hereunder to any broker(s).
- 10.14 Amendments. From time to time, the parties hereto may administratively amend this Lease with respect to any provisions reasonably related to Tenant's use of the Premises and/or Landlord's administration of said Lease including, but not limited to, leasehold reduction or expansion. Provided, however, that such amendment(s) shall not serve to extend the Lease Term hereof nor serve to otherwise materially alter the essential provisions contained herein. Such amendment(s) shall be in writing, shall establish the factual background necessitating such alteration, shall set forth the terms and conditions of such modification, and shall be duly executed by both Landlord and Tenant. Such amendment(s) shall only take effect upon execution by both parties. Upon execution, such amendment(s) shall become a part of this Lease and all other provisions of this Lease shall otherwise remain in full force and effect.
- 10.15 Economic Disclosure Statement Affidavit Updates. Throughout the Term (and during any Holding Over), Landlord shall provide the City with any material updates to the

information previously submitted in Landlord's Economic Disclosure Statement Affidavit. The City may also request such updates from time to time. The City reserves the right to withhold payments under this Lease in the event that Landlord fails to provide such updates.

- 10.16 <u>Maintenance of Fencing/Gates</u>. Subject to Section 11.2 hereunder, Landlord shall be responsible for maintenance of any fencing surrounding the Premises and the front gates leading to the Premises.
- 10.17 <u>No Construction against Preparer</u>. This Lease shall not be interpreted in favor of either the Landlord or City. Landlord and City acknowledge that both parties participated fully in the mutual drafting of this Lease.

SECTION 11. ADDITIONAL RESPONSIBILITIES OF TENANT

- 11.1 <u>Condition of Premises at Termination or Cancellation</u>. Upon the termination or cancellation of this Lease, Tenant shall surrender the Premises to the Landlord in a comparable condition to the condition of the Premises at the beginning of Tenant's use of the Premises, with normal wear and tear taken into consideration. All materials stored, including salt, on the Premises by Tenant shall be removed upon the termination or cancellation of this Lease.
- 11.2 <u>Repairs for Tenant Negligence, Vandalism, or Misuse</u>. Tenant shall assume all responsibility for any repairs to the Premises necessitated by the negligence, vandalism, or misuse of the Premises or equipment therein by Tenant's employees, invitees, agents, or contractors.
- 11.3 <u>Illegal Activity</u>. Tenant, or any of its agents or employees, shall not perform or permit any practice that is injurious to the Premises, unreasonably disturbs other Tenants, is illegal, or increases the rate of insurance on the Premises.
- 11.4 <u>Hazardous Materials</u>. Tenant shall keep out of Premises materials which cause a fire hazard or safety hazard and comply with reasonable requirements of Landlord's fire insurance carrier. Tenant shall not destroy, deface, damage, impair, nor remove any part of the Premises or facilities, equipment or appurtenances thereto. Tenant will not introduce or store any industrial fluids or industrial materials within the Premises.
- 11.5 <u>Security</u>. Tenant shall be responsible for securing Tenant's materials and equipment on the Premises. Tenant acknowledges that Landlord shall not have any security obligations.
- 11.6 <u>Site Access</u>. Tenant shall not prevent Landlord or Landlord's other tenant(s) from accessing any other portions of Landlord's real property adjacent to the Premises. Tenant shall not place any locks or install any barrier on the gates leading to the Premises which also provide access to other portions of Landlord's real property adjacent to the Premises.

SECTION 12. LANDLORD DISCLOSURES AND AFFIRMATIONS

- Business Relationships. Landlord acknowledges (a) receipt of a copy of Section 2-156-030 (b) of the Municipal Code of Chicago, (b) that it has read such provision and understands that pursuant to such Section 2-156-030 (b) it is illegal for any elected official of the City, or any person acting at the direction of such official, to contact, either orally or in writing, any other City official or employee with respect to any matter involving any person with whom the elected City official or employee has a "Business Relationship" (as defined in Section 2-156-080 of the Municipal Code of Chicago), or to participate in any discussion in any City Council committee hearing or in any City Council meeting or to vote on any matter involving the person with whom an elected official has a Business Relationship, and (c) notwithstanding anything to the contrary contained in this Lease, that a violation of Section 2-156-030 (b) by an elected official, or any person acting at the direction of such official, with respect to any transaction contemplated by this Lease shall be grounds for termination of this Lease and the transactions contemplated hereby. Landlord hereby represents and warrants that no violation by Landlord of Section 2-145-030 (b) has occurred with respect to this Lease or the transactions contemplated hereby.
- 12.2 Patriot Act Certification. Landlord represents and warrants that neither Landlord nor, to the best of Landlord's knowledge, any Affiliate (as hereafter defined) thereof is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury, the Bureau of Industry and Security of the U.S. Department of Commerce or their successors, or on any other list of persons or entities with which the City may not do business under any applicable Laws: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List. As used in this Section, an "Affiliate" shall be deemed to be a person or entity related to Landlord that, directly or indirectly, through one or more intermediaries, controls, is controlled by or is under common control with Landlord, and a person or entity shall be deemed to be controlled by another person or entity, if controlled in any manner whatsoever that results in control in fact by that other person or entity (or that other person or entity and any persons or entities with whom that other person or entity is acting jointly or in concert), whether directly or indirectly and whether through share ownership, a trust, a contract or otherwise.
- 12.3 Prohibition on Certain Contributions-Mayoral Executive Order No. 2011-4. Landlord agrees that Landlord, any person or entity who directly or indirectly has an ownership or beneficial interest in Landlord of more than 7.5 percent ("Owners"), spouses and domestic partners of such Owners, Landlord's contractors (i.e., any person or entity in direct contractual privity with Landlord regarding the subject matter of this Lease) ("Contractors"), any person or entity who directly or indirectly has an ownership or beneficial interest in any Contractor of more than 7.5 percent ("Sub-owners") and spouses and domestic partners of such Sub-owners (Landlord and all the other preceding classes of persons and entities are together the "Identified Parties"), shall not make a contribution of any amount to the Mayor of the City of Chicago (the "Mayor") or to his political fundraising committee (a) after execution of this Lease by Landlord, (b) while this Lease or any Other Contract (as hereinafter defined) is executory, (c) during the Term of this Lease or any Other Contract, or (d) during any period while an extension of this Lease or any Other Contract is being sought or negotiated. This provision shall not apply to contributions made prior to May 16, 2011, the effective date of Executive Order 2011-4.

Landlord represents and warrants that to the best of Landlord's knowledge from the later of (a) May 16, 2011, or (b) the date the City approached Landlord, or the date Landlord approached the City, as applicable, regarding the formulation of this Lease, no Identified Parties have made a contribution of any amount to the Mayor or to his political fundraising committee.

Landlord agrees that it shall not: (a) coerce, compel or intimidate its employees to make a contribution of any amount to the Mayor or to the Mayor's political fundraising committee; (b) reimburse its employees for a contribution of any amount made to the Mayor or to the Mayor's political fundraising committee; or (c) bundle or solicit others to bundle contributions to the Mayor or to his political fundraising committee.

Landlord agrees that the Identified Parties must not engage in any conduct whatsoever designed to intentionally violate this provision or Mayoral Executive Order No. 2011-4 or to entice, direct or solicit others to intentionally violate this provision or Mayoral Executive Order No. 2011-4.

Notwithstanding anything to the contrary contained herein, Landlord agrees that a violation of, non-compliance with, misrepresentation with respect to, or breach of any covenant or warranty under this Lease or violation of Mayoral Executive Order No. 2011-4 constitutes a breach and default under this Lease, and under any Other Contract for which no opportunity to cure will be granted, unless the City, in its sole discretion, elects to grant such an opportunity to cure. Such breach and default entitles the City to all remedies (including, without limitation, termination for default) under this Lease, and under any Other Contract, at law and in equity. This provision amends any Other Contract and supersedes any inconsistent provision contained therein.

If Landlord intentionally violates this provision or Mayoral Executive Order No. 2011-4 prior to the execution of this Lease, the City may elect to decline to close the transaction contemplated by this Lease.

For purposes of this provision:

- (a) "Bundle" means to collect contributions from more than one source, which contributions are then delivered by one person to the Mayor or to his political fundraising committee.
- (b) "Other Contract" means any other agreement with the City to which Landlord is a party that is (i) formed under the authority of Chapter 2-92 of the Municipal Code of Chicago; (ii) entered into for the purchase or lease of real or personal property; or (iii) for materials, supplies, equipment or services which are approved or authorized by the City Council.
- (c) "Contribution" means a "political contribution" as defined in Chapter 2-156 of the Municipal Code of Chicago, as amended.
 - (d) Individuals are "domestic partners" if they satisfy the following criteria:

- (i) they are each other's sole domestic partner, responsible for each other's common welfare; and
 - (ii) neither party is married; and
- (iii) the partners are not related by blood closer than would bar marriage in the State of Illinois; and
- (iv) each partner is at least 18 years of age, and the partners are the same sex, and the partners reside at the same residence; and
 - (v) two of the following four conditions exist for the partners:
 - (1) The partners have been residing together for at least 12 months.
 - (2) The partners have common or joint ownership of a residence.
 - (3) The partners have at least two of the following arrangements:
 - (A) joint ownership of a motor vehicle;
 - (B) joint credit account;
 - (C) a joint checking account;
 - (D) a lease for a residence identifying both domestic partners as tenants.
 - (4) Each partner identifies the other partner as a primary beneficiary in a will.
- (e) "<u>Political fundraising committee</u>" means a "political fundraising committee" as defined in Chapter 2-156 of the Municipal Code of Chicago, as amended.
- 12.4 Waste Ordinance Provisions. In accordance with Section 11-4-1600(e) of the Municipal Code of Chicago, Landlord warrants and represents that it, and to the best of its knowledge, its Contractors and its subcontractors regarding the subject matter of this Lease ("Subcontractors"), have not violated and are not in violation of any provisions of Section 7-28 or Section 11-4 of the Municipal Code (the "Waste Sections"). During the period while this Lease is executory, Landlord's, any general Contractor's or any Subcontractor's violation of the Waste Sections, whether or not relating to the performance of this Lease, constitutes a breach of and an event of default under this Lease, for which the opportunity to cure, if curable, will be granted only at the sole designation of the Commissioner of the Department of Fleet and Facility Management. Such breach and default entitles the City to all remedies under this Lease, at law This section does not limit Landlord's, its general Contractors' and its Subcontractors' duty to comply with all applicable federal, state, county and municipal laws, statutes, ordinances and executive orders, in effect now or later, and whether or not they appear in this Lease. Non-compliance with these terms and conditions may be used by the City as grounds for the termination of this Lease, and may further affect the Landlord's eligibility for future contract awards.
- 12.5 Failure to Maintain Eligibility to do Business with City. Failure by Landlord or any controlling person (as defined in Section 1-23-010 of the Municipal Code of Chicago) thereof to maintain eligibility to do business with the City of Chicago as required by Section 1-23-030 of the Municipal Code of Chicago shall be grounds for termination of this Lease and the

transactions contemplated thereby. Landlord shall at all times comply with Section 2-154-020 of the Municipal Code of Chicago.

It is the duty of Landlord and any bidder, proposer, contractor, subcontractor, and every applicant for certification of eligibility for a City contract or program, and all officers, directors, agents, partners, and employees of any such grantee, subgrantee, bidder, proposer, contractor, subcontractor or such applicant to cooperate with the Legislative Inspector General in any investigation or hearing undertaken pursuant to Chapter 2-55 of the Municipal Code, and to cooperate with the Inspector General in any investigation or hearing undertaken pursuant to Chapter 2-56 of the Municipal Code. Landlord represents and warrants that it understands and will abide by all provisions of Chapter 2-55 and Chapter 2-56 of the Municipal Code and that Landlord will inform its Contractors and Subcontractors of this provision and include a provision requiring their compliance with such Chapters 2-55 and 2-56 in any written agreement between Landlord and its Contractors and Subcontractors.

12.7 Shakman Prohibitions.

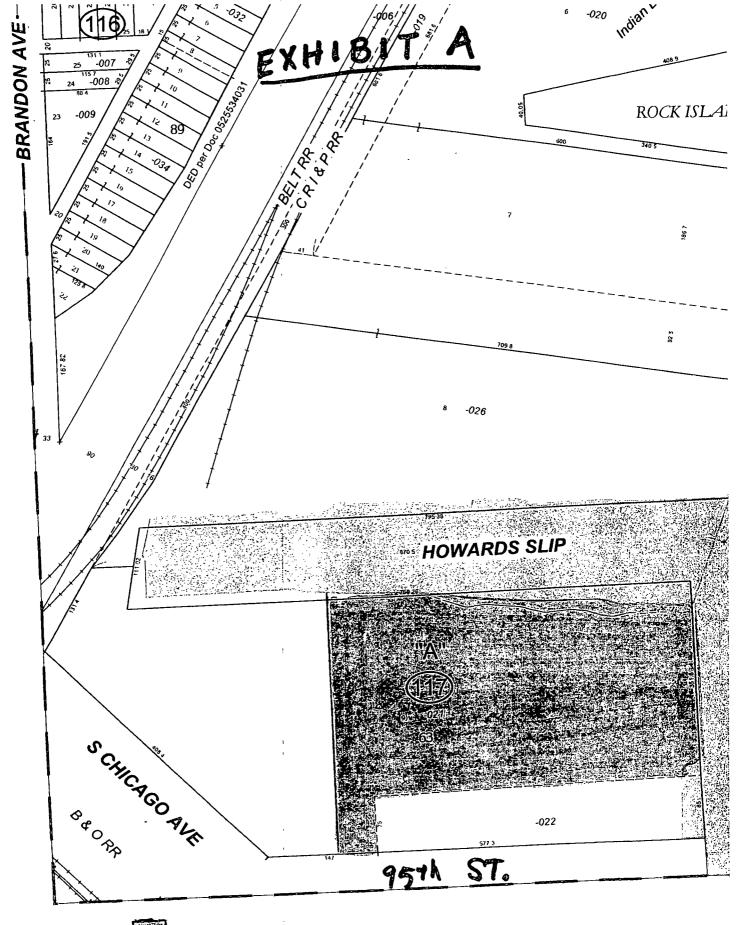
- (i) The City is subject to the May 31, 2007 Order entitled "Agreed Settlement Order and Accord" (the "Shakman Accord") and the August 16, 2007 "City of Chicago Hiring Plan" (the "City Hiring Plan") entered in Shakman v. Democratic Organization of Cook County, Case No 69 C 2145 (United States District Court for the Northern District of Illinois). Among other things, the Shakman Accord and the City Hiring Plan prohibit the City from hiring persons as governmental employees in non-exempt positions on the basis of political reasons or factors.
- (ii) Landlord is aware that City policy prohibits City employees from directing any individual to apply for a position with Landlord, either as an employee or as a subcontractor, and from directing Landlord to hire any individual as an employee or as a subcontractor. Accordingly, Landlord must follow its own hiring and contracting procedures, without being influenced by City or City employees. Any and all personnel provided by Landlord under this Lease are employees or subcontractors of Landlord, not employees of the City of Chicago. This Lease is not intended to and does not constitute, create, give rise to, or otherwise recognize an employer-employee relationship of any kind between the City and any personnel provided by Landlord.
- (iii) Landlord will not condition, base, or knowingly prejudice or affect any term or term or aspect to the employment of any personnel provided under this Lease, or offer employment to any individual to provide services under this Lease, based upon or because of any political reason or factor, including, without limitation, any individual's political affiliation, membership in a political organization or party, political support or activity, political financial contributions, promises of such political support, activity or financial contributions, or such individual's political sponsorship or recommendation. For purposes of this Lease, a political organization or party is an identifiable group or entity that has as its primary purpose the support of or opposition to candidates for elected public office. Individual political activities are the activities of individual persons in support of or in opposition to political organizations or parties or candidates for elected public office.

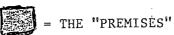
(iv) In the event of any communication to Landlord by a City employee or City official in violation of Section 12.7, or advocating a violation of Section 12.7, Landlord will, as soon as is reasonably practicable, report such communication to the Hiring Oversight Section of the City's Office of the Inspector General ("IGO Hiring Oversight"), and also to the head of the relevant City department utilizing services provided under this Lease. Landlord will also cooperate with any inquiries by IGO Hiring Oversight or the *Shakman* Monitor's Office related to the contract.

[SIGNATURES APPEAR ON THE FOLLOWING PAGE]

	IN WITNE	SS	WHEREOF,	the	parties	have	executed	this	Lease	as	of	the	Effective
Date													

<u>LANDLORD</u> :
BROWN INC., an Indiana corporation
Ву:
Name:
Its:
TENANT:
CITY OF CHICAGO, an Illinois municipal corporation and home rule unit of government
DEPARTMENT OF FLEET AND FACILITY MANAGEMENT
By:Commissioner
DEPARTMENT OF STREETS AND SANITATION
Ву:
By:Commissioner
APPROVED AS TO FORM AND LEGALITY: BY: DEPARTMENT OF LAW
By: Deputy Corporation Counsel Real Estate Division





3154 East 95th Street Department of Streets and Sanitation Lease No. 13055

SECTION 2: This Ordinance shall be effective from and after the date of its passage and approval.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: BROWN, INC.
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [X] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 720 W US Hwy. 20 Michigan City, IN. 46360
C. Telephone: 219-872-8618 Fax: 219-879-0891 Email: vblumenfeld@bibtc.com
D. Name of contact person: Valerie Blumenfeld E. Federal Employer Identification No. (if you have one):
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Property Lease for Storage at 3154 East 95th St., Cook County
G. Which City agency or department is requesting this EDS? Dept. of Fleet and Facility Management
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # N/A and Contract #

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [] Limited liability company Publicly registered business corporation [] Limited liability partnership ly Privately held business corporation .[] Joint venture Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership []Yes [] No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Indiana 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [x] Yes [] No [] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Deborah B. Hyndman Secretary / Treasurer

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
Irene Brown	720 W US Hwy. 20	100%
	Michigan City, IN. 463	360
SECTION III BUS	SINESS RELATIONSHIPS WI	TH CITY ELECTED OFFICIALS
	-	p," as defined in Chapter 2-156 of the Municipal efore the date this EDS is signed?
[] Yes	[<u>x</u>] No	
If yes, please identify relationship(s):	below the name(s) of such City e	lected official(s) and describe such

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Garry M. Blumenfeld		·	none
		family member	
6.0	Box 928		
Bei	verly Shore	24, IN 46301	
(Add sheets if necessary)			
[] Check here if the Disclo	osing Party h	as not rețained, nor expects to retain	, any such persons or entities.
SECTION V CERTIFI	CATIONS		
A. COURT-ORDERED C	HILD SUPP	ORT COMPLIANCE	
-		415, substantial owners of business h their child support obligations thro	
	•	ly owns 10% or more of the Disclos ns by any Illinois court of competer	- -
[] Yes [X] No		o person directly or indirectly owns closing Party.	10% or more of the
If "Yes," has the person en is the person in compliance		ourt-approved agreement for paymercement?	ent of all support owed and
[] Yes [] No		;	
B. FURTHER CERTIFICA	ATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Furth				
Certifications), the Disclosing Party must explain below:				
	N/A			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
None
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
N'one .
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [x] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
N/A

for taxes or assessments, or (iii) is "City Property Sale"). Compensations does not constitute a financial into Does the Matter involve a City Property Sale".	erest within the meaning toperty Sale? Toperty Sale? Toperty D.1., provide the national states are seen by the sale.	pursuant to the City's eminent domain power g of this Part D. mes and business addresses of the City
for taxes or assessments, or (iii) in "City Property Sale"). Compensations not constitute a financial into Does the Matter involve a City Property of the Matter involve a City Property	erest within the meaning	pursuant to the City's eminent domain power
for taxes or assessments, or (iii) i "City Property Sale"). Compensa does not constitute a financial int	ation for property taken erest within the meaning	pursuant to the City's eminent domain power
for taxes or assessments, or (iii) i "City Property Sale"). Compensa	ation for property taken	pursuant to the City's eminent domain power
elected official or employee shall	have a financial interespurchase of any property	st in his or her own name or in the name of y that (i) belongs to the City, or (ii) is sold
NOTE: If you checked "Yes" to Item D.1., proceed to Part E.	Item D.1., proceed to I	tems D.2. and D.3. If you checked "No" to
[] Yes [X] No	0	
		nicipal Code: Does any official or employee me or in the name of any other person or
Any words or terms that are define meanings when used in this Part	-	the Municipal Code have the same
D. CERTIFICATION REGARD	DING INTEREST IN CI	TY BUSINESS
If the letters "NA," the word "No conclusively presumed that the I		ocars on the lines above, it will be d to the above statements.

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.	
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records the Disclosing Party and any and all predecessor entities regarding records of investments or profrom slavery or slaveholder insurance policies during the slavery era (including insurance policies used to slaveholders that provided coverage for damage to or injury or death of their slaves), a the Disclosing Party has found no such records.	ofits es
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, to Disclosing Party has found records of investments or profits from slavery or slaveholder insurant policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:	
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS	
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not fede funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the Cit and proceeds of debt obligations of the City are not federal funding.	-
A. CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):	ı
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "Nor appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entiregistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of Disclosing Party with respect to the Matter.)	ties
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defapplicable federal law, a member of Congress, an officer or employee of Congress, or an employ member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, respectively.	y ined by vee of a

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?
[]Yes	[] No
If "Yes," answer the three	questions below:
1. Have you developed federal regulations? (See	d and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)
[]Yes	[] No
	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements?
3. Have you participate equal opportunity clause?	ed in any previous contracts or subcontracts subject to the
[]Yes	[]No
If you checked "No" to que	estion 1. or 2. above, please provide an explanation:
	:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

BROWN INC.	_
(Print or type name of Disclosing Party)	-
By: DEboral B Hyndman (Sign here)	
Deborah B. Hyndman	_
(Print or type name of person signing)	-
Secretary 3-21-14	· ·
(Print or type title of person signing)	
Signed and sworn to before me on (date) at LA PORTE County, INDIANA Tany C Kelas instr: Commission expires: 8.22-15	(State). NANCY C. KOLASINSKI Notery Public, State of Indiana

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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[] Yes	[X] No	
such person is connecte	ed; (3) the name and title of the	e of such person, (2) the name of the legal entity to which ne elected city official or department head to whom such a nature of such familial relationship.
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