

City of Chicago



O2014-5794

Office of the City Clerk Document Tracking Sheet

Meeting Date: 7/30/2014

Sponsor(s): City Clerk (transmitted by)

Type: Ordinance

Title: Zoning Reclassification Map No. 8-E at 3857 S Dr. Martin L.

King Dr - App No. 18107

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code, the Chicago Zoning Ordinance, is hereby amended by changing all of the RM-5 Residential Multi-Unit District and POS-1 Parks and Open Space District symbols and indications as shown on Map No. 8-E in the area bounded by:

South Dr. Martin Luther King Drive, East Pershing Road, South Rhodes Avenue and a line parallel to and 599 feet north of East Pershing Road

to those of a B3-3 Community Shopping District symbols and a corresponding use district is hereby established in the above described area.

SECTION 2. Title 17 of the Municipal Code, the Chicago Zoning Ordinance, is hereby amended by changing all of the B3-3 Community Shopping District symbols and indications as shown on Map No. 8-E in the area bounded by:

South Dr. Martin Luther King Drive, East Pershing Road, South Rhodes Avenue and a line parallel to and 599 feet north of East Pershing Road

to those of a Business Planned Development which is hereby established in the above described area subject to such use and bulk regulations as are set forth in the Business Planned Development Plan of Development Statements attached hereto.

SECTION 3. This ordinance takes effect after its passage and approval.

#18107 INTRO DATE: 9ULY 30, 2014

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| 1. | ADDRESS of the property Applicant is seeking to rezone: | | |
|----|---|--|--|
| | 3857 S Martin Luther King, Chicago, Illinois | | |
| 2. | Ward Number that the property is located in: 4 th | | |
| 3. | APPLICANT Pershing King Drive LLC, an Illinois limited liability company | | |
| | ADDRESS 1000 E 111th Street CITY Chicago | | |
| | STATE <u>Illinois</u> ZIP CODE <u>60628</u> PHONE <u>(773) 341-2063</u> | | |
| | EMAIL ddoig@cnigroup.org CONTACT PERSON David Doig | | |
| 4. | Is the applicant the owner of the property? YESNOX | | |
| | The owner of the subject property is the Chicago Housing Authority (the "CHA"). Applicant is the contract purchaser of the subject property. The CHA has provided written authorization to the Applicant to apply for the rezoning of the subject property. | | |
| | OWNER Chicago Housing Authority | | |
| | ADDRESS 60 E. Van Buren CITY Chicago | | |
| | STATE <u>Illinois</u> ZIP CODE <u>60605</u> PHONE <u>(312) 913-7591</u> | | |
| | EMAIL Mhodari@thecha.org CONTACT PERSON Maya Hodari | | |
| 5. | If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information: | | |
| | ATTORNEY Elvin E. Charity, Charity & Associates, P.C. | | |
| | ADDRESS 20 North Clark Street, Suite 1150 | | |
| | CITY Chicago STATE Illinois ZIP CODE 60602 | | |
| | PHONE (312) 564-4963 FAX (312) 849-9001 EMAIL clvin.charity@charity-associates.com | | |

| Chicago Neighborhood Company, Inc, (49% m | Initiatives, Inc. (51% member) and Safeway Construction nember) |
|--|--|
| On what date did the ov | vner acquire legal title to the subject property? 1941 |
| Has the present owner p | previously rezoned this property? If yes, when? |
| Present Zoning District | RM-5/POS-1 Proposed Zoning District B3-3 then to Business Planned Development |
| Lot size in square feet (| or dimensions) 355,745 square feet |
| Current Use of the Prop | perty: Vacant land |
| D C : 1 | |
| center, day care, busing excluding day labor empestablishments; all conbanks, pharmacies and | e subject property: <u>To allow for grocery stores, children's playmess equipment sales and services, business support services ployment agencies; employment agencies; communication service estruction sales and service; drive-through facilities, including the restaurants; all eating and drinking establishment and other less and accessory parking.</u> |
| center, day care, businexcluding day labor emestablishments; all corbanks, pharmacies and business/commercial us Describe the proposed dwelling units; number | ness equipment sales and services, business support services ployment agencies; employment agencies; communication service estruction sales and service; drive-through facilities, including the restaurants; all eating and drinking establishment and other |
| center, day care, businexcluding day labor emestablishments; all combanks, pharmacies and business/commercial us. Describe the proposed dwelling units; number space; and height of the Construction of an appropriate of the construction of the construction of an appropriate of the construction of the constructio | ness equipment sales and services, business support services ployment agencies; employment agencies; communication service estruction sales and service; drive-through facilities, including a restaurants; all eating and drinking establishment and other estand accessory parking. use of the property after the rezoning. Indicate the number of parking spaces; approximate square footage of any commercial proposed building. (BE SPECIFIC) proximately 73,549 square foot full service grocery store with a gand the construction on an adjacent outlot of a to be determined |
| center, day care, busine excluding day labor emestablishments; all combanks, pharmacies and business/commercial us. Describe the proposed dwelling units; number space; and height of the Construction of an appaccessory on-site parking business/commercial us. On May 14 th , 2007, the Contribution if resident circumstances. Based of | ness equipment sales and services, business support services ployment agencies; employment agencies; communication services enstruction sales and service; drive-through facilities, including I restaurants; all eating and drinking establishment and other less and accessory parking. use of the property after the rezoning. Indicate the number of of parking spaces; approximate square footage of any commercial proposed building. (BE SPECIFIC) proximately 73,549 square foot full service grocery store with an and the construction on an adjacent outlot of a to be determined the chicago City Counsel passed the Affordable Requirements at requires on-site affordable housing units or a financial atial housing projects receive a zoning change under certain on the lot size of the project in question and the proposed zoning roject subject to the Affordable Requirements Ordinance? (Sec |

COUNTY OF COOK STATE OF ILLINOIS DAVID DOIG, PRESIDENT

| CHICAGO | NEIGHBORHOOD INITIATIVES, the MANAGER | of Pershing King Drive IIC an | | |
|---------|--|---|--|--|
| : | Illinois limited liability company, being first duly sw statements and the statements contained in the docu | orn on oath, states that all of the above | | |
| | Signature of Applicant | | | |
| | Subscribed and Sworn to before me this | | | |
| | Marie & Medien | OFFICIAL SEAL MARIA G MEDUGA NOTARY PUBLIC, STATE OF IL LINOIS My Commission Expires October 12, 2014 | | |
| | For Office Use Only | | | |
| ! | Date of Introduction: | | | |
| | File Number: | | | |
| į | Ward: | | | |

BUSINESS PLANNED DEVELOPMENT NO.

PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as a Business Planned Development Number (the "Planned Development") consists of approximately 355,745 square feet of net site area (approximately 8.167 acres) of property (the "Property"), excluding the dedication of certain area within the Planned Development for street purposes, as depicted on the attached Planned Development Boundary and Property Line Map. The Property is owned by the Chicago Housing Authority (the "Authority"). Pershing King Drive LLC (the "Applicant") has been authorized by the Authority to file the application on the Authority's behalf.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different, the legal title holders and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property or each designated Sub Area, at the time of application for amendments, modifications, or changes (administrative, legislative, or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assigns or grantees and approval of the City Council. Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the approved plans. Ingress or egress shall be pursuant to the approved plans and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation. All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work

Pershing King Drive LLC, on behalf of the Chicago Housing Authority 3857 S. Dr. Martin Luther King, Jr. Drive Applicant: Address:

in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by the Department of Transportation.

- 4. This Plan of Development consists of these sixteen (16) Statements: a Bulk Regulations Data Table, Existing Zoning Map, Existing Land-Use Map, Planned Development Boundary and Property Line Map, Sub Area Boundary Map; Right of Way Adjustment map, and Sub Area A Site Plan prepared by Johnson and Lee Architects, Ltd.; Sub Area A Building Elevations prepared by Johnson and Lee Architects, Ltd.; and Landscape Plans prepared by Johnson and Lee Architects, Ltd., all dated July, 2014, and submitted herein. Full-sized copies of the Site Plan, Landscape Plan and Sub Area A Building Elevations are on file with the Department of Planning and Development. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.
- 5. The following B3-3 Community Shopping District uses shall be permitted within the areas delineated herein as a Business Planned Development:

Grocery stores, children's play center, day care, postal service, public safety services, utilities and services, minor; animal services, excluding stables; artist work or sales space; building maintenance services; business equipment sales and services, business support services, excluding day labor employment agencies; employment agencies; communication service establishments; all construction sales and service; drive-through facilities, including banks, pharmacies and restaurants; all eating and drinking establishments, including outdoor patio located at grade and on a rooftop; all entertainment and spectator sports, excluding inter-track wagering facilities; all financial services, excluding payday loan stores and pawn shops; valuable objects dealer; all food and beverage retail sales; liquor sales; medical service; office; high technology office; electronic data storage center; parking, accessory and non-required accessory; personal service; hair salon, nail salon, barbershop, yoga and dance studios, driving schools, martial arts studios, educational and test preparation services and support; repair or laundry service, consumer; dry cleaning drop-off or pick up; coin-operated laundromat; residential storage warehouse; retail sales, general; all sports and recreation, participant;;

Pershing King Drive LLC, on behalf of the Chicago Housing Authority 3857 S. Dr. Martin Luther King, Jr. Drive Applicant: Address:

wireless communication facilities excluding freestanding towers.

The following uses are not permitted: Adult uses, gas stations, car washes, payday loan stores, pawn shops, gun shops, liquor stores, funeral homes, tattoo parlors, massage parlors, sales and service of motor vehicles (including boats and trailers), thrift stores or flea markets (excluding auction rooms, art and antique stores or establishments selling books on a consignment basis), manufacturing or industrial uses (other than any specific use listed as a permitted use in the preceding paragraph), including the production or storage of environmentally hazardous materials, and urban farms.

- 6. On-Premises signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Housing and Economic Development. Off-Premises signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations and Data Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations and Data Table has been determined using a Total Net Site Area of 355,745 square feet, exclusive of 121,920 square feet of public rights of way to be dedicated.
- 9. Prior to the Part II Approval (per Section 17-13-0610 of the Zoning Ordinance) for development in each Sub Area, the Applicant shall submit a site plan, landscape plan and building elevations for the specific Sub-Area for review and approval by the Department of Planning and Development. Review and approval by the Department of Planning and Development is intended to assure that specific development components substantially conform with the Planned Development and to assist the City in monitoring ongoing development. Sub-Area Site Plan Approval Submittals (per Section 17-13-0800) need only include that portion of the Property for which approval is being sought by the Applicant. If the Applicant is seeking approval for a portion of the Property that represents less than an entire Sub-Area, the Applicant shall also include a site plan for

Pershing King Drive LLC, on behalf of the Chicago Housing Authority 3857 S. Dr. Martin Luther King, Jr. Drive Applicant: Address:

that area of the Property which is bounded on all sides by either public Rights-of-Way or the boundary of the nearest Sub-Area. The site plan provided shall include all dimensioned and planned street Rights-of-Way.

No Part II Approval for any portion of the Property shall be granted until Site Plan approval has been granted. Following approval by the Department of Planning and Development, the approved Sub-Area Site Plan Approval Submittals, supporting data and materials shall be made part of the main file and shall be deemed to be an integral part of the Planned Development.

After approval of the Sub-Area Site Plan, changes or modifications may be made pursuant to the provisions of Statement 13. In the event of any inconsistency between approved plans and the terms of the Planned Development, the terms of the Planned Development shall govern. Any Sub Area Site Plan Approval Submittals shall, at a minimum, provide the following information:

- fully-dimensioned site plan (including a footprint of the proposed improvements);
- fully-dimensioned building elevations;
- fully-dimensioned landscape plan(s); and,
- statistical information applicable to the subject Sub-Area, including floor area, the applicable FAR, uses to be established, building heights and setbacks.

Sub Area Site Plan Approval Submittals shall include all other information necessary to illustrate substantial conformance to the Planned Development.

- 10. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Housing and Economic Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 11. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.

Applicant: Address: Pershing King Drive LLC, on behalf of the Chicago Housing Authority 3857 S. Dr. Martin Luther King, Jr. Drive

- 12. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
- 13. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to Section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 14. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 15. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs, conserves energy and maximizes the preservation of natural resources. All development shall conform to the "City of Chicago's Sustainable Development Policy Matrix" in effect on the submittal of the Site Plans pursuant to this Planned Development. The proposed 75,000 square foot grocery store shall be exempt from the Green Roof requirement due to its proximity to a Food Desert; however, the building shall exceed the ASHRAE 90.1-2004 standards by at least 50%. The buildings to be constructed in Sub Area B shall not be required to be LEED Certified if the total square footage of the building in Sub Area B is less than 10,000 square feet.

Applicant: Pershing King Drive LLC, on behalf of the Chicago Housing Authority 3857 S. Dr. Martin Luther King, Jr. Drive

16. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Commissioner of the Department of Planning and Development shall initiate a Zoning Map Amendment to rezone the property to B3-3 Community Shopping District.

Applicant: Address: Introduced: Plan Commission:

Pershing King Drive LLC, on behalf of the Chicago Housing Authority 3857 S. Dr. Martin Luther King, Jr. Drive TBD TBD

BUSINESS PLANNED DEVELOPMENT NO. BULK USE AND REGULATION TABLE

Gross Site Area:

Sub Area A: 424,758 square feet (9.751 acres)

Sub Area B: 52,907 square feet (1.214 acres)

Net Site Area:

Sub Area A: Gross Site Area of 424,758 (9.751

acres) square feet, less 103,347square feet (2.373 acres) of area to be dedicated as public way, equals 321,411 square feet (7.378 acres) of

Net Site Area

Sub Area B: 34,334 (.79 acres) square feet

Total Net Site Area: Total Gross Site Area of 477,665

square feet (10.965 acres), less 121,920 square feet (2.798 acres) of area to be dedicated as public way, equals 355,745 (8.167 acres) square

feet of Total Net Site Area

Floor Area Ratio:

Sub Area A: 0.23

Sub Area B: 0.23

Maximum Number of Dwelling Units: None

Maximum Site Coverage:

Sub Area A: In accordance with attached site plan.

Sub Area B: In accordance with approved site plan

APPLICANT: Pershing King Drive LLC, on behalf of the Chicago Housing

Authority

ADDRESS: 3857 S Dr. Martin Luther King Jr. Drive

INTRUDUCED: T/B/D

PLAN COMMISSION: T/B/D

Minimum Number of Accessory Off-Street Parking Spaces:

Sub Area A:

363 spaces

Sub Area B:

In accordance with approved site plan

Bicycle Rack Parking:

Sub Area A:

34 spaces

Sub Area B:

1 per 10 auto spaces

Minimum Number of Off-Street Loading Berths:

Sub Area A:

2 loading berths

Sub Area B:

In accordance with approved site plan

Minimum Building Setbacks:

In accordance with approved site plan

for each Sub Area.

Maximum Building Height:

In accordance with approved building

elevations for each Sub Area.

Minimum Open Space:

Sub Area A:

16,315 square feet

Sub Area B:

In accordance with approved site plan

Allowable Uses:

All uses as set forth in Statement No.

5.

APPLICANT:

Pershing King Drive LLC, on behalf of the Chicago Housing

Authority

ADDRESS:

3857 S Dr. Martin Luther King Jr. Drive

INTRUDUCED: PLAN COMMISSION:

T/B/D T/B/D Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

- 1

The undersigned, Elvin E. Charity, counsel to Pershing King Drive LLC, an Illinois limited liability company, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of said property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately July 21, 2014.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Elvin E. Charity

Subscribed and Sworn to before me

this 21st of July, 2014

Notary Public

OFFICIAL SEAL
TIMOTHY K. HINCHMAN
Notary Public - State of Illinois
My Commission Expires Jan 24, 2015

CHARITY & ASSOCIATES, P.C.

ATTORNEYS AT LAW

July 21, 2014

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107 of the Chicago Zoning Ordinance, please be informed that on or about July 21, 2014, the undersigned will file on behalf of our client, Pershing King Drive, LLC, an Illinois limited liability company (the "Applicant"), an application for a change in zoning for the property bounded by S. Dr. Martin Luther King Drive, E. Pershing Road, S. Rhodes Avenue and a line parallel to and 599 feet north of E. Pershing Road, having a street address of 3857 S. Dr. Martin Luther King, Jr. Drive, Chicago, Illinois (the "Property") from RM-5 Residential Multi-Unit District and POS-1 Parks and Open Space District to B3-3 Community Shopping District and then to a Business Planned Development.

The Applicant intends to construct on the Property an approximately 73,549 square foot grocery store with accessory on-site parking. A smaller outlot parcel will be developed with a commercial use.

The Applicant is located at 1000 E. 111th Street, Chicago, Illinois 60628. The Applicant is the contract purchaser of the Property. The Chicago Housing Authority, 60 E. Van Buren Street, Chicago, Illinois 60605 is the current owner of the Property. The contact person for this application is Elvin E. Charity at Charity & Associates, P.C., 20 N. Clark Street, Suite 1150, Chicago, Illinois 60602. Our telephone number is (312) 564-4963.

Please note that the Applicant is not seeking to rezone or purchase your property. The Applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Elvin E. Charity



March 25, 2014

Re:

Z.Scott

Andrew Mooney
Commissioner
Chicago Department of Planning & Development
121 North LaSalle Street, 10th floor
Chicago, Illinois 60602

Matthew Brewer
Mark Cozzi
Dr. Mildred Harris
Harriet Johnson
Myra King
John G. Markowski
M. Bridget Reidy
Rodrigo A. Sierra
Board of Communication

Planned Development Application for Area generally bounded by 38th
Street to the north, King Drive to the west, 39th Street to the south, and

Rhodes Street to the east

Michael R. Merchant Chief Executive Officer Dear Commissioner Mooney,

The Chicago Housing Authority (CHA) acknowledges that the Chicago Neighborhood Initiatives and Safeway Construction, Co., are working to create a new grocery store on a portion of the former Ida B. Wells public housing development site. This proposed commercial development supports the goals outlined in the CHA Plan Forward.

The CHA is presently the owner of the site described above. This letter will confirm that the CHA consents to the inclusion of this property within the boundaries of the proposed planned development that the Chicago Neighborhood Initiatives has filed for consideration by the Chicago Plan Commission.

Please feel free to contact Craig Mizushima at (312) 786-6912 should you have any questions or need additional information.

Since ely

El**j**eh Sahli

Chief Housing Officer

cc:

David Doig, Chicago Neighborhood Initiatives

Amy Degnan, CHA
Craig Mizushima, CHA

Chicago Housing Authority 60 E. Van Buren Chicago, IL 60605

312-742-8500

www.thecha org

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| 1 • |
|---|
| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
| PERSHING KING DRIVE LLC |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. |
| 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR |
| 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 1000 E 111th ST CHICAGO IL 60628 |
| C. Telephone: 773-341-2063 Fax: 773-341-2069 Email: ddoig@cnigroupro |
| D. Name of contact person: DAVID DOIG |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| PLANNED DEVELOPMENT FOR 8-ACRE, SITE AT 39th/KING DRIVE (POLITHERN CERVER) |
| G. Which City agency or department is requesting this EDS? <u>DEPT. OF PLANNING AND DEVPT</u> . If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # and Contract # |
| |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING PARTY | <u>, </u> |
|---|---|
| Indicate the nature of the Disclosing Part Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | rty: Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
| 2. For legal entities, the state (or foreign co | ountry) of incorporation or organization, if applicable: |
| | tate of Illinois: Has the organization registered to do city? |
| [] Yes [] No | M∕A |
| NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the name | ll executive officers and all directors of the entity. st below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability as and title of each general partner, managing member, crols the day-to-day management of the Disclosing Party. |
| Name | Title |
| CHICAGO NEIGHBORHOOD INITIATIVES, INC | |
| SAFEWAY CONSTRUCTION COMPAN | Y, INC. MEMBER |
| • | on concerning each person or entity having a direct or ip) in excess of 7.5% of the Disclosing Party. Examples |

of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | Business Address | Percentage Interest in the | |
|---------------------------------------|-------------------------------------|--|------|
| | | Disclosing Party | |
| CHICAGO NEIGHB | ORHOOD IN ITIATIVES, IN C. | 1000 & 1111 St | 5/10 |
| | | CMCAGO, 16 60628 | |
| SAFEWAY CONST | RUMON COMPANY, INC | 4327 W ROOSEVELT RD | 49 % |
| · • | · • | CHICABO, IL GOGZY | |
| SECTION III I | BUSINESS RELATIONSHIPS | WITH CITY ELECTED OFFICIA | ALS |
| | • | nship," as defined in Chapter 2-156 of hs before the date this EDS is signed? | • |
| code, with any Ch | ty elected official in the 12 mont. | is before the date this EDS is signed: | |
| []Yes | [XNo | | |
| If yes, please ident relationship(s): | ify below the name(s) of such C | ity elected official(s) and describe suc | h |
| 1 | | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|--|
| JOHNSON & LEE | 1 & 8th St | , CHICABO - ARCHITECT | \$ 50,000 - est. |
| CHARITY & ASSOC. | 20 N CLARK | , SUITE 1150, CHICAGO - ATTY. | \$20,000 - est, |
| | 575 WHIGE | SINS RD., ROSEMONTSURVE - TRAFF | 4 \$ 15,000 - est. |
| (Add sheets if necessary) | | | |
| [] Check here if the Discl | osing Party h | as not retained, nor expects to reta | in, any such persons or entities |
| SECTION V CERTIF | ICATIONS | | |
| A. COURT-ORDERED (| CHILD SUPP | ORT COMPLIANCE | |
| • | | -415, substantial owners of busine h their child support obligations tl | |
| | - | ly owns 10% or more of the Discl ons by any Illinois court of compet | - · |
| [] Yes [] No | | o person directly or indirectly own sclosing Party. | ns 10% or more of the |
| If "Yes," has the person e is the person in compliance | | court-approved agreement for pay | ment of all support owed and |
| [] Yes [] N | o | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| | - | opter 1-23, Article I ("Article I")(vousiness") and legal requirements) | |

submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: | | |
|--|--|--|
| | | |
| | | |
| | | |

| presumed that the Disclosing Party certified to the above statements. |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). |
| N/A |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is M is not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |
| · · |

| | - | se appears on the lines above, it will be ertified to the above statements. |
|---|--|--|
| D. CERTIFICATIO | N REGARDING INTEREST | IN CITY BUSINESS |
| Any words or terms t meanings when used | _ | 156 of the Municipal Code have the same |
| | | e Municipal Code: Does any official or employee wn name or in the name of any other person or |
| NOTE: If you check Item D.1., proceed to | · - | ed to Items D.2. and D.3. If you checked "No" to |
| elected official or em any other person or e for taxes or assessme "City Property Sale") | ployee shall have a financial ntity in the purchase of any pr nts, or (iii) is sold by virtue of | titive bidding, or otherwise permitted, no City interest in his or her own name or in the name of roperty that (i) belongs to the City, or (ii) is sold f legal process at the suit of the City (collectively, taken pursuant to the City's eminent domain power neaning of this Part D. |
| Does the Matter invo | lve a City Property Sale? | |
| [] Yes- | IX.No | |
| · · · · · · · · · · · · · · · · · · · | · • | the names and business addresses of the City entify the nature of such interest: |
| Name | Business Address | Nature of Interest |
| | | |
| | ng Party further certifies that rity official or employee. | no prohibited financial interest in the Matter will |
| E. CERTIFICATION | I REGARDING SLAVERY I | ERA BUSINESS |

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| connection with the Matter voidable by the City. | |
|--|---------------------------|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records the Disclosing Party and any and all predecessor entities regarding records of investments or professor of slaveholder insurance policies during the slavery era (including insurance policies used to slaveholders that provided coverage for damage to or injury or death of their slaves), a the Disclosing Party has found no such records. | ofits ies |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, to Disclosing Party has found records of investments or profits from slavery or slaveholder insurary policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: | |
| | |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS | |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not fede funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the Cirand proceeds of debt obligations of the City are not federal funding. | |
| A. CERTIFICATION REGARDING LOBBYING | |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): | ĭ |
| | |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "No appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entregistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of Disclosing Party with respect to the Matter.) | ities |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay an person or entity to influence or attempt to influence an officer or employee of any agency, as desapplicable federal law, a member of Congress, an officer or employee of Congress, or an employmember of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, to | y fined by yee of a |

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

| | y will submit an updated certification at the end of each calendar quarter in ent that materially affects the accuracy of the statements and information set d A.2. above. |
|--|--|
| 501(c)(4) of the Internal R | y certifies that either: (i) it is not an organization described in section evenue Code of 1986; or (ii) it is an organization described in section evenue Code of 1986 but has not engaged and will not engage in "Lobbying" |
| form and substance to para subcontract and the Disclo | rty is the Applicant, the Disclosing Party must obtain certifications equal in graphs A.1. through A.4. above from all subcontractors before it awards any sing Party must maintain all such subcontractors' certifications for the must make such certifications promptly available to the City upon request. |
| B. CERTIFICATION REC | GARDING EQUAL EMPLOYMENT OPPORTUNITY |
| • | nded, federal regulations require the Applicant and all proposed e following information with their bids or in writing at the outset of |
| Is the Disclosing Party the | Applicant? |
| []Yes | [] No |
| If "Yes," answer the three | questions below: |
| 1. Have you developed federal regulations? (See | and do you have on file affirmative action programs pursuant to applicable 1 CFR Part 60-2.) |
| [] Yes | [] No |
| Contract Compliance Progunder the applicable filing | - |
| [] Yes | [] No |
| 3. Have you participate equal opportunity clause? | ed in any previous contracts or subcontracts subject to the |
| [] Yes | [] No |
| If you checked "No" to que | estion 1. or 2. above, please provide an explanation: |
| | |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| PERSHING KING PRIVELLC | _ | |
|---|--------------------------|--|
| (Print or type name of Disclosing Party) | | _ |
| ву: | | |
| (Sign here) | | |
| DAVID DOLG | - | |
| (Print or type name of person signing) | | |
| PRESIDENT, CHICAGO NELGHBORHOOD! | NITIATIVES, INC | , |
| 173 MANAGING MEMBER | _ | |
| (Print or type title of person signing) | | |
| Signed and sworn to before me on (date) at County, | luly 16,2014 (state). | ., |
| Marin & Meduza | Notary Public. | OFFICIAL SEAL |
| Commission expires: Oct 12, 3014 | • | MARIA G MEDUGA NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires October 12, 2014 |
| 1 | Page 12 of 13 | , 55 55 55 12, 2014 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [] Yes | [XNo | |
|----------------------|---------------------------------|--|
| such person is conne | cted; (3) the name and title of | tle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship. |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting | ng this EDS. Include d/b/a/ if applicable: |
|--|--|
| Safeway Construction Company, Inc. | |
| Check ONE of the following three boxes: | |
| Indicate whether the Disclosing Party submitting 1. [] the Applicant OR | g this EDS is: |
| 2. [x] a legal entity holding a direct or indire | olds an interest: |
| 3. [] a legal entity with a right of control (see which the Disclosing Party holds a right of c | ee Section II.B.1.) State the legal name of the entity in control: |
| B. Business address of the Disclosing Party: | 4327 W. Roosevelt Road |
| | Chicago, Ill 60624 |
| C. Telephone: 773 522-3000 Fax: 773 D. Name of contact person: John W. Bonds, J E. Federal Employer Identification No. (if you h | |
| F. Brief description of contract, transaction or or which this EDS pertains. (Include project numb | |
| G. Which City agency or department is requesti | ng this EDS? Department of Planning & Development |
| If the Matter is a contract being handled by th complete the following: | ne City's Department of Procurement Services, please |
| Specification # | and Contract # |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING PART | Y |
|--|---|
| Indicate the nature of the Disclosing P Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | [] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify) |
| 2. For legal entities, the state (or foreign of Illinois | country) of incorporation or organization, if applicable: |
| 3. For legal entities not organized in the S business in the State of Illinois as a foreign en | |
| [] Yes [] No | [*] N/A |
| B. IF THE DISCLOSING PARTY IS A LEG | SAL ENTITY: |
| NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the name | all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability ne and title of each general partner, managing member, atrols the day-to-day management of the Disclosing Party. abmit an EDS on its own behalf. |
| Name | Title |
| John W. Bonds, Jr. | C.E.O. |
| | |
| | |
| | |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| | | <u> </u> |
|--------------------|------------------------------------|---|
| | | Disclosing Party |
| John W. Bonds, Jr. | 4327 W. Roosevelt Road, Chic | |
| | | |
| | | |
| | | |
| | | |
| | | |
| SECTION III BU | ISINESS RELATIONSHIPS W | ITH CITY ELECTED OFFICIALS |
| Has the Disclosing | g Party had a "business relations! | VITH CITY ELECTED OFFICIALS nip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed? |
| Has the Disclosing | g Party had a "business relations! | nip," as defined in Chapter 2-156 of the Municipal |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| retained or anticipa to be retained) | | (subcontractor, attorney, lobbyist, etc.) | paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|--|---|--|
| | | | |
| (Add sheets if nece | ssary) | | |
| Check here if the | e Disclosing Party h | nas not retained, nor expects to retain | n, any such persons or entities |
| SECTION V CE | ERTIFICATIONS | | |
| A. COURT-ORDE | RED CHILD SUPI | PORT COMPLIANCE | |
| - | | 2-415, substantial owners of business the their child support obligations three | |
| • • | • | tly owns 10% or more of the Disclosons by any Illinois court of competer | |
| []Yes | | No person directly or indirectly owns isclosing Party. | 10% or more of the |
| If "Yes," has the pe is the person in com | | court-approved agreement for paymereement? | ent of all support owed and |
| [] Yes | [] No | | |
| B. FURTHER CER | RTIFICATIONS | | |
| consult for defined submitting this EDS certifies as follows: with, or has admitte criminal offense inv | terms (e.g., "doing S is the Applicant and (i) neither the Appled and guilt of, or has every volving actual, atten | apter 1-23, Article I ("Article I") (wh business") and legal requirements), in and is doing business with the City, the licant nor any controlling person is of yer been convicted of, or placed under inputed, or conspiracy to commit bribes a officer or employee of the City or a | if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery, |

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: NONE |
|--|
| |
| |
| |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). NONE |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. NONE |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is [x] is not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes ⊠ No NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes []No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined b applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, |

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

| | ty will submit an updated certification at the end of each calendar quarter in ent that materially affects the accuracy of the statements and information set and A.2. above. |
|--|--|
| 501(c)(4) of the Internal R | ty certifies that either: (i) it is not an organization described in section evenue Code of 1986; or (ii) it is an organization described in section evenue Code of 1986 but has not engaged and will not engage in "Lobbying" |
| form and substance to para subcontract and the Disclo | rty is the Applicant, the Disclosing Party must obtain certifications equal in graphs A.1. through A.4. above from all subcontractors before it awards any sing Party must maintain all such subcontractors' certifications for the must make such certifications promptly available to the City upon request. |
| B. CERTIFICATION REC | GARDING EQUAL EMPLOYMENT OPPORTUNITY |
| · · · · · · · · · · · · · · · · · · · | ended, federal regulations require the Applicant and all proposed the following information with their bids or in writing at the outset of |
| Is the Disclosing Party the | Applicant? |
| [] Yes | [] No |
| If "Yes," answer the three | questions below: |
| 1. Have you developed federal regulations? (See 4 | and do you have on file affirmative action programs pursuant to applicable 1 CFR Part 60-2.) |
| [] Yes | [] No |
| • | the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due |
| under the applicable filing | The state of the s |
| [] Yes | [] No |
| 3. Have you participate equal opportunity clause? | ed in any previous contracts or subcontracts subject to the |
| []Yes | [] No |

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| bareway construction company, inc. | |
|--|----------------|
| (Print or type name of Disclosing Party) | |
| By: (Sign here) | |
| John W. Bonds, Jr. | |
| (Print or type name of person signing) | |
| C.E.O. | |
| (Print or type title of person signing) | |
| : | |
| Signed and sworn to before me on (date) | 7-17-14 |
| at COOK County, BUINOIS | (state). |
| Mittel | Notary Public. |
| Commission expires: 10-15-2016 | |
| I control of the cont | |

OFFICIAL SEAL
NATHANIEL THOMPSON III
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRED: 10/15/16

Page 12 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [] Yes | [x] No | |
|-----------------------|-------------------------------------|--|
| such person is connec | cted; (3) the name and title of the | itle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such ise nature of such familial relationship. |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|---|
| CHICAGO NEIGHBORHOOD INITIATIVES, INC |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR 2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: |
| OR 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 1000 E, 111th ST CHICARO, 1C 60628 |
| C. Telephone: 773-341-2063 Fax: 773-341-2069 Email: doigo Cnigroup. 01 D. Name of contact person: DAVID DO16 |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| PLANNED DEVELOPMENT FOR 8-ACRE SITE AT 39th /KING DRIVE (NOTHERS COUNTRY) G. Which City agency or department is requesting this EDS? DEPT. OF PLANNING & DEVET. |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # and Contract # |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING PARTY | Y |
|---|--|
| Indicate the nature of the Disclosing Pale [] Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 2. For legal entities, the state (or foreign contents) | [] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify) country) of incorporation or organization, if applicable: |
| business in the State of Illinois as a foreign en | |
| [] Yes [] No | XJ N/A |
| B. IF THE DISCLOSING PARTY IS A LEG | AL ENTITY: |
| NOTE: For not-for-profit corporations, also lithere are no such members, write "no member the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the name | all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf. |
| Name | Title |
| NO MEMBERS. SEE ATTACK | 4ED UST OF DIRECTORS |
| | |
| | |
| | |
| 2. Please provide the following information | on concerning each person or entity having a direct or |

indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Chicago Neighborhood Initiatives Board of Directors 2/20/14

Merlon Jackson
Bridget O'Keefe
Kimberlie Jackson
Timothy Frens
Darryl Jacobs
Melinda Kelly
Steven Kramer
Robert McGhee
Thomas McMahon
Willard Payton
Arnold Pugh
Michael Qualizza
Christopher Smith

Chairman Vice Chair Secretary Treasurer interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | Business Address | Percentage Interest in the |
|---|---------------------------------------|---|
| | NONE | Disclosing Party |
| | 7.07.0 | |
| | | |
| | | |
| | | |
| SECTION III | BUSINESS RELATIONSHIPS W | ITH CITY ELECTED OFFICIALS |
| | • | ip," as defined in Chapter 2-156 of the Municipal |
| Code, with any C | ity elected official in the 12 months | pefore the date this EDS is signed? |
| [] Yes | NNO | |
| If yes, please ider relationship(s): | ntify below the name(s) of such City | elected official(s) and describe such |
| | | |
| | | |
| | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|---|--|
| | | | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | losing Party h | as not retained, nor expects to retain | , any such persons or entities |
| SECTION V CERTI | FICATIONS | | |
| A. COURT-ORDERED | CHILD SUPP | ORT COMPLIANCE | |
| · | | -415, substantial owners of business th their child support obligations thro | |
| | | cly owns 10% or more of the Disclosons by any Illinois court of competer | _ · · |
| []Yes []N | | o person directly or indirectly owns sclosing Party. | 10% or more of the |
| If "Yes," has the person on the person in complian | | court-approved agreement for paymorement? | ent of all support owed and |
| []Yes []N | o | | |
| B. FURTHER CERTIFI | CATIONS | | |
| 1. Pursuant to Munic | ipal Code Cha | npter 1-23, Article I ("Article I")(wh | ich the Applicant should |

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| imeations), the D | isclosing Party must explain belo | IW. | |
|-------------------|-----------------------------------|-----|--|
| | NX | | |
| | | | |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). |
| N/A |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is Wis not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |
| |

| Dusings Address | Nature of Interest |
|---|--|
| · • | names and business addresses of the City fy the nature of such interest: |
| [] No | |
| olve a City Property Sale? | |
| nployee shall have a financial into entity in the purchase of any prop ents, or (iii) is sold by virtue of le). Compensation for property tak | we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, ten pursuant to the City's eminent domain power ning of this Part D. |
| | to Items D.2. and D.3. If you checked "No" to |
| nancial interest in his or her own | Municipal Code: Does any official or employee name or in the name of any other person or |
| - | of the Municipal Code have the same |
| N REGARDING INTEREST IN | CITY BUSINESS |
| - | appears on the lines above, it will be fied to the above statements. |
| | that are defined in Chapter 2-156 in this Part D. with Section 2-156-110 of the Manacial interest in his or her own in No. No. ked "Yes" to Item D.1., proceed to Part E. sursuant to a process of competitive and the purchase of any propents, or (iii) is sold by virtue of lemptone in the purchase of any propents, or (iii) is sold by virtue of lemptone in the purchase of any propents, or (iii) is sold by virtue of lemptone in the purchase of any propents, or (iii) is sold by virtue of lemptone in the purchase of any propents, or (iii) is sold by virtue of lemptone in the purchase of any propents, or (iii) is sold by virtue of lemptone in the purchase of any propents, or (iii) is sold by virtue of lemptone in the purchase of any propents, or (iii) is sold by virtue of lemptone in the purchase of any propents, or (iii) is sold by virtue of lemptone in the purchase of any propents are in the purchase of any propents and interest within the mean of the purchase of any propents are in the pu |

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| · · · · · · · · · · · · · · · · · · · |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. |

| _ , | y will submit an updated certification at the end of each calendar quarter in nt that materially affects the accuracy of the statements and information set d A.2. above. |
|--|--|
| 501(c)(4) of the Internal Re | y certifies that either: (i) it is not an organization described in section evenue Code of 1986; or (ii) it is an organization described in section evenue Code of 1986 but has not engaged and will not engage in "Lobbying" |
| form and substance to para subcontract and the Disclos | rty is the Applicant, the Disclosing Party must obtain certifications equal in graphs A.1. through A.4. above from all subcontractors before it awards any sing Party must maintain all such subcontractors' certifications for the must make such certifications promptly available to the City upon request. |
| B. CERTIFICATION REC | GARDING EQUAL EMPLOYMENT OPPORTUNITY |
| • | nded, federal regulations require the Applicant and all proposed e following information with their bids or in writing at the outset of |
| Is the Disclosing Party the | Applicant? |
| [] Yes | [] No |
| If "Yes," answer the three of | questions below: |
| 1. Have you developed federal regulations? (See 4 | <u> </u> |
| • | the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements? |
| 3. Have you participate equal opportunity clause? | ed in any previous contracts or subcontracts subject to the |
| [] Yes | [] No |
| If you checked "No" to que | stion 1. or 2. above, please provide an explanation: |
| | |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| CHICAGO NEIGHBORHOOD IN | <u> </u> | 10 C. |
|--|------------------|---|
| (Print or type name of Disclosing Party) | | |
| ву: _// Р/ | | |
| (Sign here) | | |
| DAVID DOIG | | |
| (Print or type name of person signing) | | |
| PRESIDENT | | |
| (Print or type title of person signing) | | |
| | | |
| Signed and sworn to before me on (date) | JULY 1612 | 0/4 |
| at <u>Cook</u> County, <u>/L</u> | (state). | |
| Marin & Meduza | _ Notary Public. | OFFICIAL SEAL |
| - // | | MARIA G MEDUGA NOTARY PUBLIC, STATE OF ILLINOIS |
| Commission expires: Oct 12, 2014 | ·• | My Commission Expires October 12, 2014 |
| | D 10 -£ 12 | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| []Yes | X) No | |
|-----------------------|---------------------------------|---|
| such person is connec | cted; (3) the name and title of | le of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship. |
| | | |

EXHIBIT NO 1

EXISTING ZONING MAP

3857 S. MARTIN LUTHER KING DRIVE MARIANO'S MARKET CHICAGO, IL

APPLICANT: PERSHING KING DRIVE LLC, ON BEHALF OF THE CHICAGO HOUSING AUTHORITY ADDRESS: 3857 S. MARTIN LUTHER KING INTRODUCED: TBD PLAN COMMISSION: TBD

EXHIBIT NO. 2

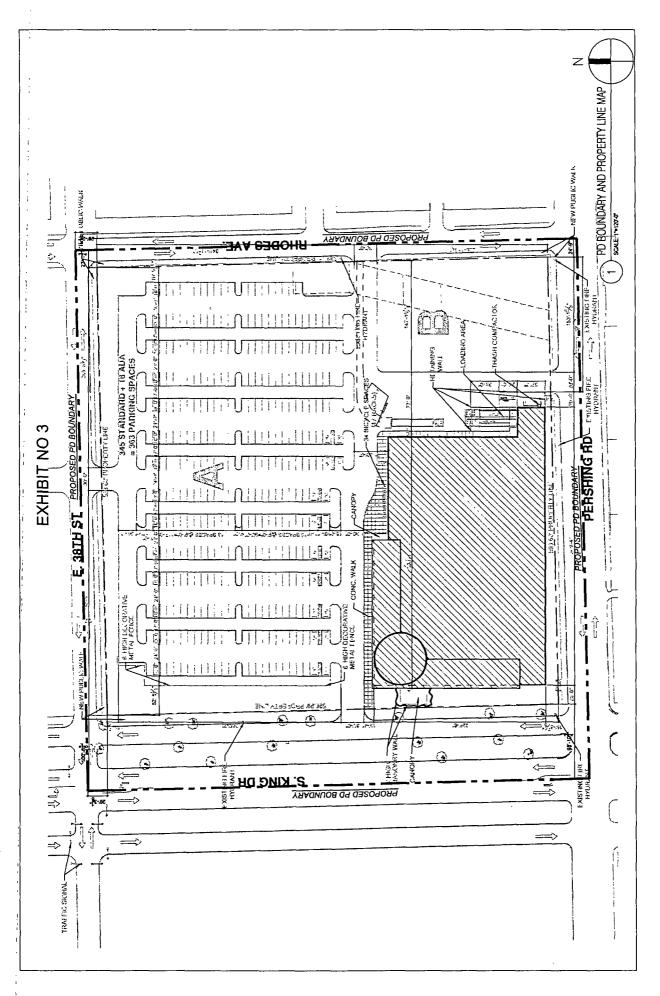


EXISTING LAND USE MAP

3857 S. MARTIN LUTHER KING DRIVE MARIANO'S MARKET CHICAGO, IL

APPLICANT: PERSHING KING DRIVE LLC, ON BEHALF OF THE CHICAGO HOUSING AUTHORITY ADDRESS: 3857 S. MARTIN LUTHER KING INTRODUCED: TBD PLAN COMMISSION: TBD

JOHNSON & LEE ARCHITECTS/PLANNERS



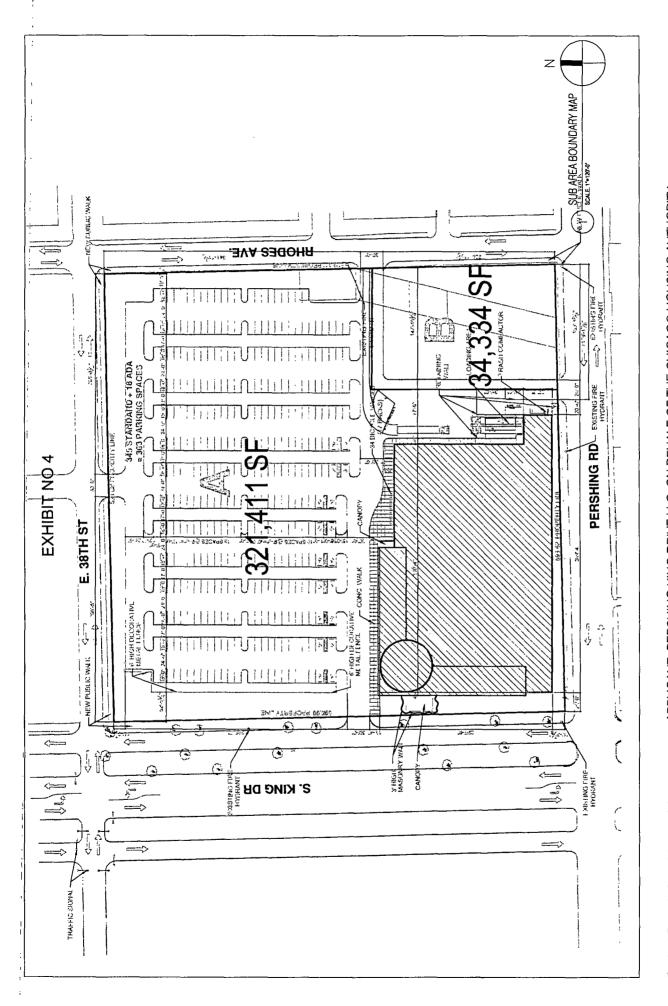
PD BOUNDARY AND PROPERTY LINE MAP 3857 S. MARTIN LUTHER KING DRIVE CHICAGO, IL MARIANO'S MARKET

PLAN COMMISSION: TBD

JOHNSON & LEE ARCHITECTS/PLANNERS

JULY 2014

120,



SUB AREA BOUNDARY MAP

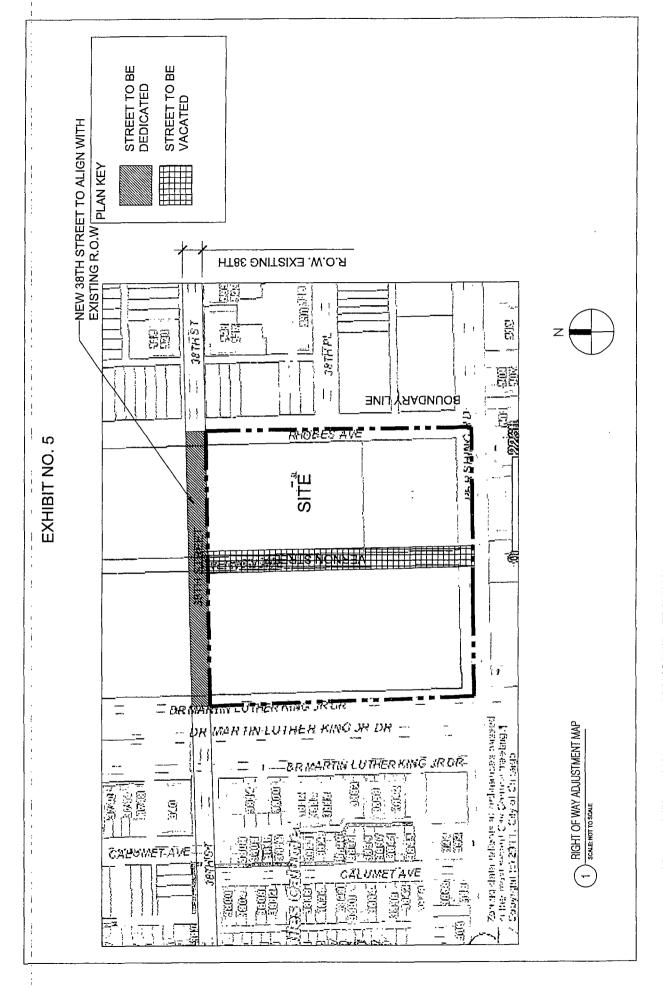
3857 S. MARTIN LUTHER KING DRIVE CHICAGO, IL MARIANO'S MARKET

APPLICANT: PERSHING KING DRIVE LLC, ON BEHALF OF THE CHICAGO HOUSING AUTHORITY

ADDRESS: 3857 S. MARTIN LUTHER KING INTRODUCED: TBD PLAN COMMISSION: TBD

JOHNSON & LEE ARCHITECTS/PLANNERS JULY 2014

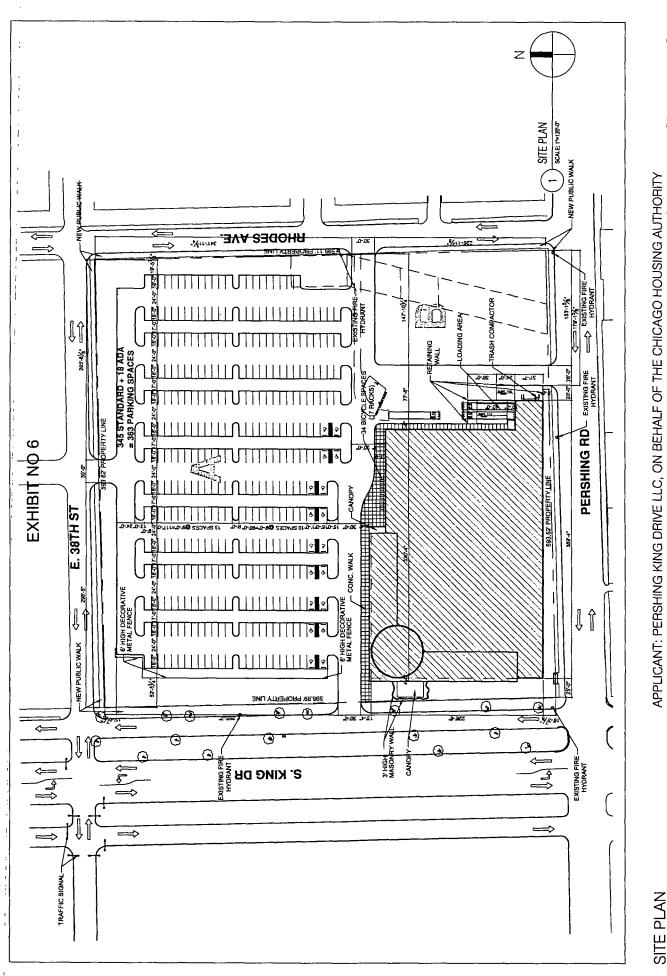
120,



R.O.W ADJUSTMENT MAP

3857 S. MARTIN LUTHER KING DRIVE CHICAGO, IL MARIANO'S MARKET

APPLICANT: PERSHING KING DRIVE LLC, ON BEHALF OF THE CHICAGO HOUSING AUTHORITY ADDRESS: 3857 S. MARTIN LUTHER KING INTRODUCED: TBD PLAN COMMISSION: TBD

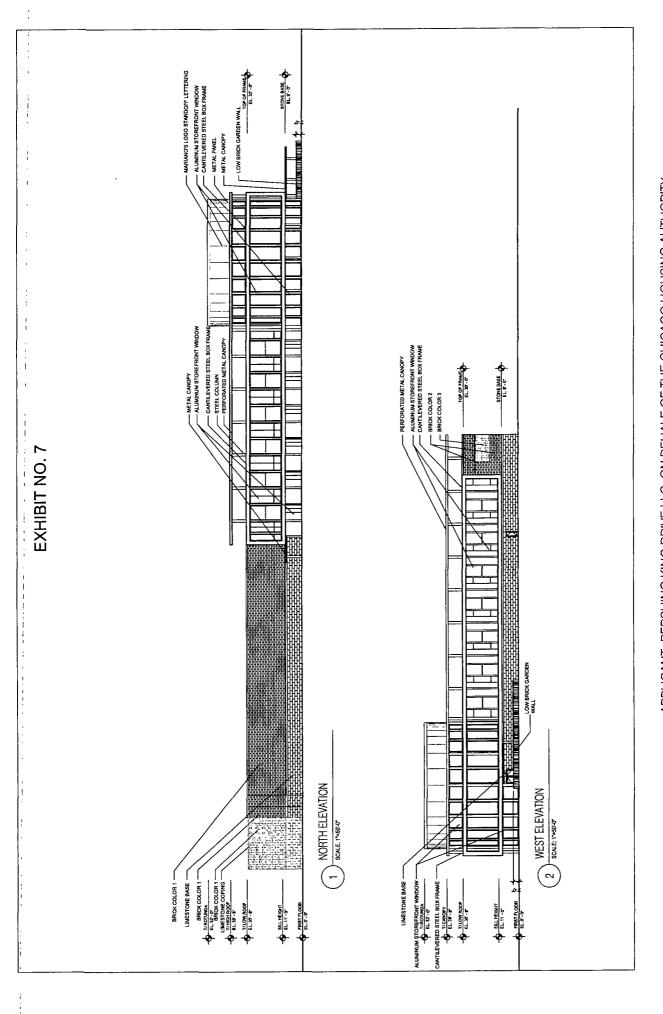


APPLICANT: PERSHING KING DRIVE LLC, ON BEHALF OF THE CHICAGO HOUSING AUTHORITY
ADDRESS: 3857 S. MARTIN LUTHER KING
INTRODUCED: TBD
PLAN COMMISSION: TBD

JOHNSON & LEE ARCHITECTS/PLANNERS JULY 2014

120,

MARIANO'S MARKET 3857 S. MARTIN LUTHER KING DRIVE CHICAGO, IL



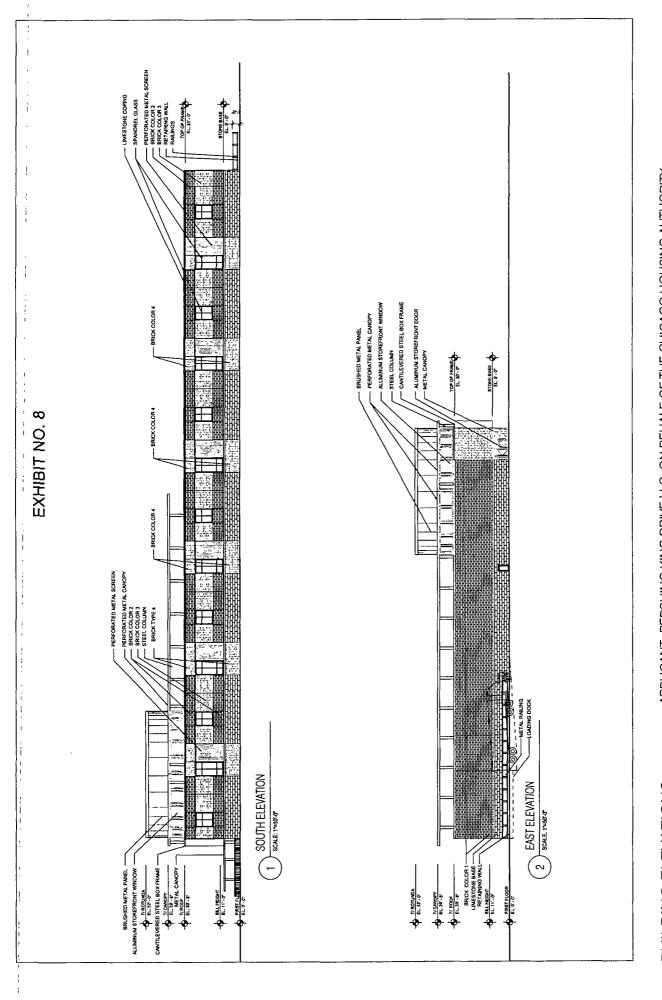
BUILDING ELEVATIONS

MARIANO'S MARKET 3857 S. MARTIN LUTHER KING DRIVE CHICAGO, IL

APPLICANT: PERSHING KING DRIVE LLC, ON BEHALF OF THE CHICAGO HOUSING AUTHORITY ADDRESS: 3857 S. MARTIN LUTHER KING INTRODUCED: TBD INTRODUCED: TBD JOHNSON & LEI

JOHNSON & LEE ARCHITECTS/PLANNERS

JULY 2014

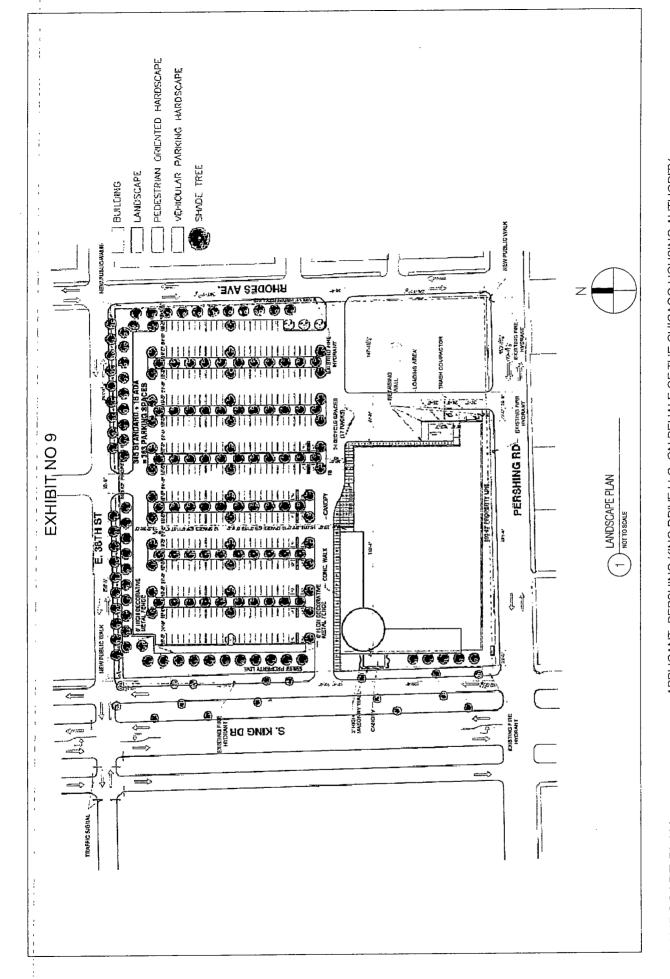


BUILDING ELEVATIONS

3857 S. MARTIN LUTHER KING DRIVE CHICAGO, IL MARIANO'S MARKET

APPLICANT: PERSHING KING DRIVE LLC, ON BEHALF OF THE CHICAGO HOUSING AUTHORITY OF THE CHICAGO HOUSI

JOHNSON & LEE ARCHITECTS/PLANNERS JULY 2014



LANDSCAPE PLAN

3857 S. MARTIN LUTHER KING DRIVE MARIANO'S MARKET CHICAGO, IL

APPLICANT: PERSHING KING DRIVE LLC, ON BEHALF OF THE CHICAGO HOUSING AUTHORITY ADDRESS: 3857 S. MARTIN LUTHER KING INTRODUCED: TBD

PLAN COMMISSION: TBD

JOHNSON & LEE ARCHITECTS/PLANNERS

