

# City of Chicago



Or2014-362

# Office of the City Clerk Document Tracking Sheet

**Meeting Date:** 

7/30/2014

Sponsor(s):

Waguespack (32)

Type:

Order

Title:

Landmark fee waiver for property at 2917 W Logan Blvd

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

# Zaring/Landenahi

#### **ORDER**

WHEREAS, Section 2-120-815 of the Municipal Code provides that the Chicago City Council may by passage of an appropriate order waive any fees charged by the City for any permit for which approval of the Commission on Chicago Landmarks (the "Commission") is required, in accordance with chapter 2-120 of the Municipal Code; and

WHEREAS, the permits identified below require Commission approval, in accordance with Section 2-120-740 of the Municipal Code; now, therefore,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** The foregoing recitals are hereby adopted as the findings of the City Council.

**SECTION 2.** The Commissioner of the Department of Buildings, the Commissioners of the Departments of Environment and Fire, the Director of the Department of Revenue, and the Zoning Administrator are hereby directed to issue those permits for which approval of the Commission on Chicago Landmarks is required pursuant to Chapter 2-120 of the Municipal Code <u>free of charge</u>, notwithstanding any other ordinances of the City Council to the contrary, for the property at:

Address:

2917 West Logan Blvd. ("Property")

District/Building:

Logan Square Boulevards District

for work generally described as:

Residential rehabilitation to the exterior of an existing property.

Owner:

Joseph D'Arco, Amy D'Arco and Wayne Watts

Owner's Address:

2917 West Logan Blvd.

City, State, Zip:

Chicago, Illinois 60647

**SECTION 3.** The fee waiver authorized by this Order shall be effective from February 1, 2014 through February 1, 2016, and shall <u>not apply</u> to **additional developer service fees, stop-work order fees or any fines**.

**SECTION 4.** That the permit purchaser for the Property shall be entitled to a refund of city fees for which it has paid and which are exempt pursuant to Section 1 hereof.

**SECTION 5.** This order shall be in force and effect upon its passage.

Scott Waguespack

Alderman, 32<sup>nd</sup> Ward

NOTE: This is <u>NOT</u> a permit nor does it constitute a Letter of Approval for the above described work. A permit application for the work must be approved by the appropriate City department(s) as well as the Commission on Chicago Landmarks for this permit fee waiver, subject to City Council approval, to be valid.

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

|          | Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:  |
|----------|--|
|          | Voseph PDAno   |
| _        |  |
| C        | heck ONE of the following three boxes:   |
| ĺ'n      | dicate whether the Disclosing Party submitting this EDS is:  |
| ,        | 1. We the Applicant  |
|          | OR   |
|          | 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the   |
|          | Applicant in which the Disclosing Party holds an interest:  OR   |
|          | 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in   |
|          | which the Disclosing Party holds a right of control:   |
| _        |  |
| В.       | Business address of the Disclosing Party: 29/7 W Logan Bluf.  Chicago to 60647   |
|          | _Chicyote 60647  |
|          | i de la companya de  |
| <u>.</u> | Telephone:   |
| D        | Name of contact person: Voseph POArco  |
| _        |  |
| Ε.       | Federal Employer Identification No. (if you have one):   |
| F.       | Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to   |
|          | hich this EDS pertains. (Include project number and location of property, if applicable):  |
|          | and a sold and the |
| 1        | Dermit fee waiver to 2917 Woogn Bluf. Historic transporter.  Which City agency or department is requesting this EDS? Dept. of Howing & Econ Day.   |
| G        | Which City agency or department is requesting this EDS? Legal, of How is 4 Econ Doc.   |
| ĺ        | _  |
| ;        | If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:   |
| !        | tomplete the lone it mg.   |
| i<br>I   | Specification # and Contract #   |
| 1        |  |
| i        |  |

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING PA   | ARTY   |
|--|--|
| 1. Indicate the nature of the Disclosin Person Publicly registered business corporation Sole proprietorship General partnership Limited partnership Trust  | [] Limited liability company   |
| 3. For legal entities not organized in t   | ign country) of incorporation or organization, if applicable:  the State of Illinois: Has the organization registered to do  |
| business in the State of Illinois as a foreign   | n entity?  [HN/A   |
| NOTE: For not-for-profit corporations, all there are no such members, write "no mem the legal titleholder(s).  If the entity is a general partnership, limpartnership or joint venture, list below the | of all executive officers and all directors of the entity. so list below all members, if any, which are legal entities. If others." For trusts, estates or other similar entities, list below nited partnership, limited liability company, limited liability name and title of each general partner, managing member, controls the day-to-day management of the Disclosing Party. |
| Name   | Title  |
| 2. Please provide the following inform   | nation concerning each person or entity having a direct or ership) in excess of 7.5% of the Disclosing Party. Examples   |

of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name                      | Business Address              | Percentage Interest in the  |
|---------------------------|-------------------------------|---|
| 1. 401                    | 2617 : 1                      | Disclosing Party Blud. Chicyo It 60647 25% Blud Chicyo It 60647 50% |
| Hony 17 12/Tre            | o 2717 Whoga                  | 1314. Chi ago Di 60647 25%  |
| Warne F Wat               | 45 2917 W Locan               | Bly Chica Ir 60647 50%  |
|                           |                               |   |
|                           |                               |   |
|                           |                               |   |
| SECTION III BUSI          | NESS RELATIONSHIPS            | WITH CITY ELECTED OFFICIALS   |
|                           |                               |   |
| Has the Disclosing Pa     | arty had a "business relatior | ship," as defined in Chapter 2-156 of the Municipal                 |
| Code, with any City elec  | cted official in the 12 month | ns before the date this EDS is signed?                              |
|                           | 5.7.3.Y                       | •   |
| [] Yes                    | J€No                          |   |
| If wes please identify be | low the name(s) of such Ci    | ty elected official(s) and describe such                            |
| relationship(s):          | 10 W the humo(b) of buon of   | · ·   |
| [ (*)                     |                               |   |
|                           |                               |   |
|                           |                               |   |
|                           |                               |   |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| re<br>to       | ame (indicate whether tained or anticipated be retained)  Tom Conomou-to                                      | Business<br>Address<br><i>FPOB</i> 32                                | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)  7 Sufferson St. Chicago   | paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.              |
|----------------|---|--|---|--|
|                |   |  |   | Auch Heat / Contracta  |
|                |   |  |   | Auch Heat / Contracta  |
|                | <u> </u>  |  |   |  |
| (A             | dd sheets if necessary)   |  |   |  |
| []             | Check here if the Disc  | losing Party h   | as not retained, nor expects to retai   | n, any such persons or entities.   |
| SI             | ;<br>ECTION V: CERTII   | FICATIONS  |   |  |
| A              | COURT-ORDERED   | CHILD SUPP   | ORT COMPLIANCE  |  |
| th             | •   |  | -415, substantial owners of busines<br>th their child support obligations the   |  |
|                | i   |  | ly owns 10% or more of the Discloons by any Illinois court of compete   |  |
|                | []Yes [AN   |  | o person directly or indirectly owns  | s 10% or more of the   |
|                | "Yes," has the person of the person in complian   |  | court-approved agreement for paymreement?   | ent of all support owed and  |
|                | []Yes []N   | o  | •   | ÷  |
| В.             | FURTHER CERTIFIC  | CATIONS  |   |  |
| su<br>ce<br>wi | nsult for defined terms<br>bmitting this EDS is the<br>rtifies as follows: (i) ne<br>th, or has admitted guil | (e.g., "doing be Applicant and ither the Application of, or has even | opter 1-23, Article I ("Article I") (who business") and legal requirements), d is doing business with the City, the cant nor any controlling person is der been convicted of, or placed und upted, or conspiracy to commit brib | if the Disclosing Party hen the Disclosing Party currently indicted or charged er supervision for, any |

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perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| Ce             | 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further rtifications), the Disclosing Party must explain below: |
|----------------|--|
| - <del>:</del> | - <del></del>  |
| )<br>!         |  |
|                | į  |

|                  | the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively esumed that the Disclosing Party certified to the above statements.  |
|------------------|--|
| me               | 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a mplete list of all current employees of the Disclosing Party who were, at any time during the 12-onth period preceding the execution date of this EDS, an employee, or elected or appointed official, the City of Chicago (if none, indicate with "N/A" or "none").  |
|                  | hone   |
| of<br>ma         | 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a mplete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the month period preceding the execution date of this EDS, to an employee, or elected or appointed ficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything ade generally available to City employees or to the general public, or (ii) food or drink provided in the urse of official City business and having a retail value of less than \$20 per recipient (if none, indicate th "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
|                  | ! none   |
| <br>С.           | CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION   |
|                  | 1. The Disclosing Party certifies that the Disclosing Party (check one)  |
|                  | [] is k is not   |
| a "              | financial institution" as defined in Section 2-32-455(b) of the Municipal Code.  |
|                  | 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| Co<br>ler<br>ler | Ve are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal ode. We further pledge that none of our affiliates is, and none of them will become, a predatory oder as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory oder or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing siness with the City."  |
| Se               | the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in ction 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 32 of the Municipal Code, explain here (attach additional pages if necessary):  |
|                  |  |

| sed in this Part D.   | Municipal Code have the same  Municipal Code: Does any official or employee name or in the name of any other person or   |
|---|--|
| er?<br>No   |  |
|   | to Items D.2. and D.3. If you checked "No" to  |
| employee shall have a financial into<br>or entity in the purchase of any prop-<br>ments, or (iii) is sold by virtue of le<br>e"). Compensation for property tal | we bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold regal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D.   |
|   | ·  |
| nvolve a City Property Sale?  |  |
| nvolve a City Property Sale?'   |  |
| [] No   | e names and business addresses of the City ify the nature of such interest:  |
| [] No<br>ked "Yes" to Item D.1., provide the  |  |
|   | financial interest in his or her own er?  No  secked "Yes" to Item D.1., proceed to Part E.  I pursuant to a process of competiti employee shall have a financial into rentity in the purchase of any propments, or (iii) is sold by virtue of lease of the competition of the purchase of the competition |

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Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| connection with the Matter voidable by the City.  |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
| ·   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a  |

comply with these disclosure requirements may make any contract entered into with the City in

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

member of Congress, in connection with the award of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

|    | 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in   |
|----|---|
|    | hich there occurs any event that materially affects the accuracy of the statements and information set orth in paragraphs A.1. and A.2. above.  |
| 50 | 4. The Disclosing Party certifies that either: (i) it is not an organization described in section $O(c)(4)$ of the Internal Revenue Code of 1986; or (ii) it is an organization described in section $O(c)(4)$ of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying ctivities".  |
| sι | 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in rm and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any becontract and the Disclosing Party must maintain all such subcontractors' certifications for the ration of the Matter and must make such certifications promptly available to the City upon request. |
| В  | CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY  |
| su | the Matter is federally funded, federal regulations require the Applicant and all proposed becontractors to submit the following information with their bids or in writing at the outset of gotiations.   |
| Is | the Disclosing Party the Applicant?   |
|    | []Yes []No  |
| If | "Yes," answer the three questions below:  |
| fe | 1. Have you developed and do you have on file affirmative action programs pursuant to applicable deral regulations? (See 41 CFR Part 60-2.) [] Yes [] No  |
| ur | 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal ontract Compliance Programs, or the Equal Employment Opportunity Commission all reports due der the applicable filing requirements?  [] Yes  [] No  |
| ed | 3. Have you participated in any previous contracts or subcontracts subject to the ual opportunity clause?   |
|    | [] Yes [] No  |
| Ιf | you checked "No" to question 1. or 2. above, please provide an explanation:   |
|    |   |
|    |   |

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

te

| this EDS and Appendix A (if applicable) on behalf of the Discle<br>certifications and statements contained in this EDS and Append | osing Party, and (2) warrants that all   |
|---|--|
| and complete as of the date furnished to the City.  | in it (it approacte) are true, about a   |
| Vosech PDArco   |  |
| (Print or type name of Disclosing Party)  |  |
| By: Land PDan   | · · · · · · · · · · · · · · · · · · ·  |
| (Sign here)   |  |
| Voseph P DArco  | <b>*****</b>   |
| (Print or type name of person signing)  | OFFICIAL SEAL  |
| ouner   | JENNIFER A CORTEZ Notary Public - State of Illinois My Commission Expires Nov 27, 2016 |
| (Print or type title of person signing)   | -  |
| ·   |  |
| Signed and sworn to before me on (date)  5/1/2014   | •  |
|   |  |
| at Cook County, (state).  |  |
| Notary Public.  |  |
| Commission expires: 11/27/14  |  |
|   |  |

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

| ow                                    |   | ed only by (a) the Applicant, and (b) any legal entity which has a direct t exceeding 7.5 percent. It is not to be completed by any legal entity hip interest in the Applicant.  |
|---------------------------------------|---|--|
| or<br>any<br>sig<br>the<br>par<br>nie | any "Applicable Party" or any Spy elected city official or department, the Disclosing Party or any mayor, any alderman, the city clutner or as any of the following, we come nephew, grandparent, grand | 154-015, the Disclosing Party must disclose whether such Disclosing Party buse or Domestic Partner thereof currently has a "familial relationship" with it head. A "familial relationship" exists if, as of the date this EDS is Applicable Party" or any Spouse or Domestic Partner thereof is related to rk, the city treasurer or any city department head as spouse or domestic nether by blood or adoption: parent, child, brother or sister, aunt or uncle, child, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather er, stepbrother or stepsister or half-brother or half-sister. |
| pai<br>pai<br>lim<br>a 7              | sclosing Party is a corporation; all<br>tnership; all general partners and<br>tnership; all managers, managing<br>nited liability company; (2) all pri<br>.5 percent ownership interest in t            | executive officers of the Disclosing Party listed in Section II.B.1.a., if the partners of the Disclosing Party, if the Disclosing Party is a general imited partners of the Disclosing Party, if the Disclosing Party is a limited members and members of the Disclosing Party, if the Disclosing Party is a cipal officers of the Disclosing Party; and (3) any person having more than e Disclosing Party. "Principal officers" means the president, chief chief financial officer, treasurer or secretary of a legal entity or any person  |
|                                       |   | 'Applicable Party' or any Spouse or Domestic Partner thereof currently elected city official or department head?   |
|                                       | [ ] Yes   | [ANo   |
| suc                                   | th person is connected; (3) the national  | e name and title of such person, (2) the name of the legal entity to which he and title of the elected city official or department head to whom such d (4) the precise nature of such familial relationship.   |
| 1                                     |   |  |

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

| Α.         | Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:  |
|------------|--|
|            | Wayne to Watts   |
| Cl         | neck ONE of the following three boxes:   |
|            | dicate whether the Disclosing Party submitting this EDS is:  1. It the Applicant OR  2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR  3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| В.         | Business address of the Disclosing Party: 25/7 Whog an Blud Chi'cyc IC 60647   |
|            | Tele Chi'cayo IC 60647   |
| D.         | Name of contact person: Wayse F Watts  |
|            | Federal Employer Identification No. (if you have one):   |
|            | Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to tich this EDS pertains. (Include project number and location of property, if applicable):   |
|            | permit fee waver for 2917 Wagen Bld. Historic Exemption  |
| <b>G</b> . | Which City agency or department is requesting this EDS? Rept. of Housing & Econ. Row.  |
| i          | If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:   |
|            | Specification # and Contract #   |
| }          |  |

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| Α.                            | NATURE OF THE DISCLOSING PAR  | . i Y  |
|-------------------------------|---|--|
| []<br>[]<br>[]<br>[]          | 1. Indicate the nature of the Disclosing Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust                    | [] Limited liability company   |
|                               | 3. For legal entities not organized in the  | e State of Illinois: Has the organization registered to do   |
|                               | siness in the State of Illinois as a foreign of [] Yes [] No  | entity?  |
| В.                            | IF THE DISCLOSING PARTY IS A LE   | ,  |
| NC<br>the<br>the<br>par<br>ma | TE: For not-for-profit corporations, also re are no such members, write "no membelegal titleholder(s).  If the entity is a general partnership, limit tnership or joint venture, list below the national section. | f all executive officers and all directors of the entity. It is list below all members, if any, which are legal entities. If ers." For trusts, estates or other similar entities, list below the partnership, limited liability company, limited liability ame and title of each general partner, managing member, ontrols the day-to-day management of the Disclosing Party. Submit an EDS on its own behalf. |
| Na                            | me  | Title  |
|                               |   | · · · · · · · · · · · · · · · · · · ·  |
|                               |   |  |
|                               | <ol> <li>Please provide the following informatinect beneficial interest (including owners</li> </ol>  | tion concerning each person or entity having a direct or ship) in excess of 7.5% of the Disclosing Party. Examples ration, partnership interest in a partnership or joint venture,   |

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name                                   | Business Address                       | Percentage Interest in the          | 2                |
|--|--|-------------------------------------|------------------|
| 1,                                     | 1111                                   | Disclosing Party                    |                  |
| Vogeph 1                               | Whree 2917 in Loun B                   | led Chiaso to 60647                 | 25%              |
| Amy K/                                 | Theo 2917 in Logar Bl                  | of Chicya IL 60647                  | 25%              |
|  | ,                                      |                                     | ·                |
|  |  |                                     |                  |
|  |  |                                     |                  |
| SECTION III -                          | - BUSINESS RELATIONSHIPS W             | TITH CITY ELECTED OFFICE            | ALS              |
| Has the Discl                          | osing Party had a "business relationsh | nip." as defined in Chapter 2-156 c | of the Municipal |
| 1                                      | City elected official in the 12 months | -                                   | <del>-</del>     |
| [] Yes                                 | No No                                  |                                     |                  |
| If yes, please ide<br>relationship(s): | entify below the name(s) of such City  | elected official(s) and describe su | ch               |
|  |  |                                     |                  |
| <u>i</u>                               |  |                                     |                  |
| !                                      |  |                                     |                  |

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained)                            | Business<br>Address                              | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is               |
|---|--|--|---|
| Tom Econ onov-El  | 0B 321   | 5 Joff agon Chicugate O  | not an acceptable response.  60661 Architex/contexts  — \$100000            |
|   |  |  |   |
| (Add sheets if necessary)   |  |  |   |
| [] Check here if the Disclo   | sing Party ha                                    | s not retained, nor expects to retain  | , any such persons or entities.   |
| SECTION V CERTIFIC  | CATIONS  |  |   |
| A. COURT-ORDERED CI   | HILD SUPPO                                       | ORT COMPLIANCE   |   |
|   |  | 415, substantial owners of business their child support obligations thro   |   |
|   |  | y owns 10% or more of the Disclos<br>ns by any Illinois court of competer  | _   |
| [] Yes WNo  |  | o person directly or indirectly owns closing Party.  | 10% or more of the  |
| If "Yes," has the person ent is the person in compliance                                  |  | ourt-approved agreement for paymereement?  | ent of all support owed and   |
| [] Yes [] No  |  |  |   |
| B. FURTHER CERTIFICA  | ATIONS   |  |   |
| consult for defined terms (e submitting this EDS is the A certifies as follows: (i) neith | .g., "doing be<br>Applicant and<br>ner the Appli | oter 1-23, Article I ("Article I")(who usiness") and legal requirements), in the distribution of the controlling person is controlling person is controlling person in the convicted of, or placed under the convicted of, or placed under the convicted of, or placed under the convicted of the convi | f the Disclosing Party en the Disclosing Party urrently indicted or charged |
| criminal offense involving a<br>perjury, dishonesty or decei                              | actual, attem <sub>l</sub><br>t against an c     | pted, or conspiracy to commit bribe officer or employee of the City or and that compliance with Article I is a   | ry, theft, fraud, forgery, ny sister agency; and (ii) the                   |

doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

|                |                        | er the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party  |
|----------------|------------------------|--|
|                |                        | Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with   |
|                |                        | et to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years  |
|                | atte                   | the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the   |
| IVI            | allei                  |  |
|                | a.                     | bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;   |
|                | b.                     | agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or  |
|                | c.                     | made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or  |
|                | d.                     | violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).  |
| ag<br>en<br>vi | ents<br>gagi<br>olati  | Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, or partners, is barred from contracting with any unit of state or local government as a result of ing in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in on of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of ca that contains the same elements as the offense of bid-rigging or bid-rotating. |
| ma<br>Bu<br>De | ainta<br>ireau<br>sign | Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists kined by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the a of Industry and Security of the U.S. Department of Commerce or their successors: the Specially nated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the red List.  |
| 2-:            | 55 (I                  | The Disclosing Party understands and shall comply with the applicable requirements of Chapters Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the ipal Code.  |
|                | •                      | If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further cations), the Disclosing Party must explain below:   |
| . !<br>!       | !                      |  |
| -              |                        |  |
|                |                        |  |

|                      | esumed that the Disclosing Party certified to the above statements.   |
|----------------------|---|
| co<br>me             | 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a mplete list of all current employees of the Disclosing Party who were, at any time during the 12-onth period preceding the execution date of this EDS, an employee, or elected or appointed official, the City of Chicago (if none, indicate with "N/A" or "none").   |
|                      | none  |
| co<br>12<br>of<br>ma | 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a implete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the amounth period preceding the execution date of this EDS, to an employee, or elected or appointed ficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything ade generally available to City employees or to the general public, or (ii) food or drink provided in the urse of official City business and having a retail value of less than \$20 per recipient (if none, indicate th "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
|                      | Nore  |
| C!                   | CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| j                    | 1. The Disclosing Party certifies that the Disclosing Party (check one)   |
| ļ                    | [] is   |
| a                    | financial institution" as defined in Section 2-32-455(b) of the Municipal Code.   |
| 1                    | 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |
| Co<br>ler<br>ler     | We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal ode. We further pledge that none of our affiliates is, and none of them will become, a predatory oder as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory oder or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing siness with the City."   |
| Sę                   | the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in ction 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 32 of the Municipal Code, explain here (attach additional pages if necessary):   |
| Ţ                    |   |
| - {                  |   |

|  |  | appears on the lines above, it will be   |
|--|--|--|
| conclusively presu   | med that the Disclosing Party certif   | ned to the above statements.   |
| D. CERTIFICATI   | ION REGARDING INTEREST IN  | CITY BUSINESS  |
| Any words or term<br>meanings when us  | _  | of the Municipal Code have the same  |
| i  | financial interest in his or her own   | Municipal Code: Does any official or employee name or in the name of any other person or   |
| NOTE: If you cho   |  | to Items D.2. and D.3. If you checked "No" to  |
| elected official or<br>any other person o<br>for taxes or assess<br>"City Property Sal | employee shall have a financial interesting in the purchase of any properments, or (iii) is sold by virtue of le | we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D. |
| Does the Matter in   | volve a City Property Sale?  |  |
| [] Yes   | [ ] No   |  |
|  | ked "Yes" to Item D.1., provide the vees having such interest and identif  | names and business addresses of the City fy the nature of such interest:   |
| Name   | Business Address   | Nature of Interest   |
|  |  |  |
| !  |  |  |
| 1  |  |  |
| ,  | sing Party further certifies that no pr<br>City official or employee.  | prohibited financial interest in the Matter will   |
| ECERTIFICATION   | ON REGARDING SLAVERY ERA   | A BUSINESS   |
| l .  |  | g Party checks 2., the Disclosing Party must ormation required by paragraph 2. Failure to  |

|                  | mply with these disclosure requirements may make any contract entered into with the City in nection with the Matter voidable by the City.  |
|------------------|--|
| the<br>fro       | 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of Disclosing Party and any and all predecessor entities regarding records of investments or profits m slavery or slaveholder insurance policies during the slavery era (including insurance policies used to slaveholders that provided coverage for damage to or injury or death of their slaves), and Disclosing Party has found no such records.   |
| рo               | 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the sclosing Party has found records of investments or profits from slavery or slaveholder insurance licies. The Disclosing Party verifies that the following constitutes full disclosure of all such cords, including the names of any and all slaves or slaveholders described in those records:  |
|                  |  |
| SI               | CTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS   |
| fu               | OTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally nded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City d proceeds of debt obligations of the City are not federal funding.  |
| Α.               | CERTIFICATION REGARDING LOBBYING   |
|                  | 1. List below the names of all persons or entities registered under the federal Lobbying sclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with pect to the Matter: (Add sheets if necessary):  |
|                  |  |
| ap<br>reg        | no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" pear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities gistered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the sclosing Party with respect to the Matter.)   |
| per<br>app<br>me | 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any son or entity to influence or attempt to influence an officer or employee of any agency, as defined by blicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a mber of Congress, in connection with the award of any federally funded contract, making any lerally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, end, or modify any federally funded contract, grant, loan, or cooperative agreement. |

| w)       | 3. The Disclosing Particle there occurs any even  | ty will submit an upo<br>ent that materially af |                     |                        |                   | •          |
|----------|---|---|---------------------|------------------------|-------------------|------------|
| fo       | rth in paragraphs A.1. ar   | nd A.2. above.                                  |                     |                        |                   |            |
| 50       | 4. The Disclosing Part (c)(4) of the Internal R (l)(c)(4) of the Internal R (tivities".   |   | 6; or (ii) it is an | organization de        | escribed in sec   | tion       |
| su       | 5. If the Disclosing Parm and substance to parabcontract and the Discloration of the Matter and                                   | ngraphs A.1. through<br>sing Party must mai     | A.4. above from     | all subcontractors' ce | ctors before it a | awards an  |
| В.       | <br>  CERTIFICATION RE  | GARDING EQUAL                                   | EMPLOYMEN           | T OPPORTUN             | UITY              |            |
| su       | the Matter is federally function that the details in the details and the details are the details and the details are the details. | ·   | •                   | • •                    | • •               | of         |
| Is       | the Disclosing Party the  | Applicant?                                      |                     |                        |                   |            |
|          | [] Yes  | [ ] No  | . *                 |                        | •                 |            |
| If       | "Yes," answer the three   | questions below:                                |                     |                        |                   |            |
| fee      | 1. Have you developed deral regulations? (See 4)  |   | n file affirmative  | action program         | ns pursuant to    | applicable |
| Cć<br>un | 2. Have you filed with ontract Compliance Progder the applicable filing [] Yes  | the Joint Reporting<br>rams, or the Equal E     |                     |                        |                   |            |
|          | 3. Have you participatoual opportunity clause?  | ed in any previous co                           | ontracts or subco   | ntracts subject        | to the            |            |
| ;        | []Yes   | [ ] No  |                     |                        |                   |            |
| If       | you checked "No" to que   | estion 1. or 2. above,                          | , please provide a  | n explanation:         |                   |            |
|          |   |   |                     |                        |                   |            |
|          |   | ł   |                     | -                      |                   | . •        |

# SÉCTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| (Print or type title of person signing) | (Print or type title of person signing)  Signed and sworn to before me on (date)  at Coor County, (state).  Notary Public. | Wayne F. Watts (Print or type name of Disclosing Party)  By (Sign here)  Wayne F. Watts (Print or type name of person signing) | OFFICIAL SEAL<br>JENNIFER A CORTEZ<br>Notary Public - State of Minols |
|---|--|--|---|
|   | at Coor County, 12 (state).  Notary Public.  | (Print or type title of person signing)  |   |
|   |  | at Cook County, 12 (state).  |   |
| at Cook County, 12 (state).             |  | A. C. Notary   | Public.   |
|   | Commission expires: 11/27/2016.  |  |   |

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party of any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| ha | we a "familial relationship" with an elected city official or department head?  |
|----|---|
|    | [ ] Yes No  |
| sμ | If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which ch person is connected; (3) the name and title of the elected city official or department head to whom such reson has a familial relationship, and (4) the precise nature of such familial relationship. |
| -  |   |
| İ  | · · · · · · · · · · · · · · · · · · ·   |

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I -- GENERAL INFORMATION

| A.           | Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:   |
|--------------|---|
|              | Amy K. D'Arco   |
|              | eck ONE of the following three boxes:   |
|              | icate whether the Disclosing Party submitting this EDS is:  1. It the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| В.           | Business address of the Disclosing Party: 29/7 W Logan Blud.  Chicyo to 60647   |
| C.           | Telephone:  |
| D.           | Name of contact person: Amy K, D'Arco   |
| <b>E</b> . 1 | <br>Federal Employer Identification No. (if you have one):  |
|              | Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to chiral this EDS pertains. (Include project number and location of property, if applicable):  |
|              | Which City agency or department is requesting this EDS? <u>Paper</u> . I taking to team Dec.  |
| ]            | f the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:   |
| Ç            | specification # and Contract #  |
|              | ·   |

# SÉCTION II -- DISCLOSURE OF OWNERSHIP INTERESTS A! NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person [ ] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] No [ ] Limited partnership []Yes [] Other (please specify) [ ] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes N/A []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. **NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name                | Business Address                    | Percentage Interest in the                                       |               |
|---------------------|-------------------------------------|--|---------------|
| 1 1 00              | 1 <i>1</i>                          | Disclosing Party   |               |
| Joseph PL           | How 2917 W Lugar                    | Bld. Chicyste 60647  | 25%           |
| Whater Fle          | late 2517 Culman                    | Disclosing Party<br>Bld. Chicys te 60647<br>Bld. Chicys Ti 60647 | 50%           |
|                     |                                     |  |               |
|                     |                                     |  |               |
|                     |                                     |  |               |
|                     |                                     |  |               |
| SECTION III 1       | BUSINESS RELATIONSHIPS V            | VITH CITY ELECTED OFFICIA  | LS            |
|                     |                                     |  |               |
| Has the Disclos     | ing Party had a "business relations | hip," as defined in Chapter 2-156 of                             | the Municipal |
| li .                | _ ,                                 | before the date this EDS is signed?                              | _             |
|                     |                                     | ·  |               |
| [ ] Yes             | ₩No                                 |  |               |
|                     | •                                   |  |               |
| If yes, please iden | tify below the name(s) of such City | velected official(s) and describe such                           | ı             |
| relationship(s):    |                                     | . •  |               |
|                     |                                     |  |               |
|                     |                                     | •  |               |
|                     |                                     |  |               |
| l.                  | •                                   |  |               |

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate retained or anti to be retained)   | cipated Ad   | dress (s   | Relationship to Disclosing Party subcontractor, attorney, obbyist, etc.)   | paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is  |  |  |  |
|---|--|--|--|--|--|--|--|
|   | <u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>   | =- <u>-</u>  | 1 40.4   | Heat /contrado   |  |  |  |
|   |  |  | Soletterson St. Chay   | \$ 100,000   |  |  |  |
| (Add sheets if r  | necessary)   |  |  |  |  |  |  |
| [] Check here i   | if the Disclosing  | Party has n  | ot retained, nor expects to retain   | n, any such persons or entities.   |  |  |  |
| SECTION V -   | - CERTIFICAT   | ΓIONS  | · ·  |  |  |  |  |
| A COURT-OF  | RDERED CHIL  | D SUPPOR   | T COMPLIANCE   |  |  |  |  |
|   | <del>-</del>   |  | 5, substantial owners of business<br>eir child support obligations thr   |  |  |  |  |
| 1   | _  | _  | wns 10% or more of the Disclos<br>by any Illinois court of compete   |  |  |  |  |
| [] Yes  | No   | <b>-</b>   | erson directly or indirectly owns sing Party.  | 10% or more of the   |  |  |  |
| i i   | 'Yes," has the person entered into a court-approved agreement for payment of all support owed and he person in compliance with that agreement? |  |  |  |  |  |  |
| []Yes   | []No   |  |  | ·  |  |  |  |
| B. FURTHER  | B. FURTHER CERTIFICATIONS  |  |  |  |  |  |  |
| consult for define<br>submitting this<br>certifies as followith, or has adm<br>criminal offense | ned terms (e.g., EDS is the Applows: (i) neither the nitted guilt of, or end involving actuals.  | "doing busing it cant and is the Applicant has ever be al, attempted | r 1-23, Article I ("Article I") (who ness") and legal requirements), it doing business with the City, that nor any controlling person is deen convicted of, or placed under doing or conspiracy to commit bribe cer or employee of the City or a | if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery, |  |  |  |

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party of any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

|                      | the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively esumed that the Disclosing Party certified to the above statements.   |
|----------------------|---|
| me                   | 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a mplete list of all current employees of the Disclosing Party who were, at any time during the 12-onth period preceding the execution date of this EDS, an employee, or elected or appointed official, the City of Chicago (if none, indicate with "N/A" or "none").   |
|                      | none  |
| co<br>12<br>of<br>ma | 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a mplete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the month period preceding the execution date of this EDS, to an employee, or elected or appointed ficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything de generally available to City employees or to the general public, or (ii) food or drink provided in the urse of official City business and having a retail value of less than \$20 per recipient (if none, indicate th "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
|                      | none  |
| <br>С.               | CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
|                      | 1. The Disclosing Party certifies that the Disclosing Party (check one)   |
|                      | [] is [] is not   |
| a "                  | financial institution" as defined in Section 2-32-455(b) of the Municipal Code.   |
|                      | 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:   |
| Co<br>len            | de. We further pledge that none of our affiliates is, and none of them will become, a predatory der as defined in Chapter 2-32 of the Municipal der as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory der or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing siness with the City."  |
| Se                   | the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in ction 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 32 of the Municipal Code, explain here (attach additional pages if necessary):   |
|                      |   |

|          | 1   |   |  |           |  |  |  |
|----------|---|---|--|-----------|--|--|--|
| N        | 1   | Business Address  |  |           |  |  |  |
| of       | •   | ed "Yes" to Item D.1., provide the es having such interest and identif  | names and business addresses of the City fy the nature of such interest:   |           |  |  |  |
| D        | oes the Matter inv  | olve a City Property Sale?  |  |           |  |  |  |
| ar<br>fo | ected official or entry other person or taxes or assessments. | mployee shall have a financial into<br>entity in the purchase of any prop<br>ents, or (iii) is sold by virtue of le | ve bidding, or otherwise permitted, no City erest in his or her own name or in the name erity that (i) belongs to the City, or (ii) is solved process at the suit of the City (collective ten pursuant to the City's eminent domain pening of this Part D. | d<br>ely, |  |  |  |
|          | OTE: If you ched  | ·   | to Items D.2. and D.3. If you checked "No"   | to        |  |  |  |
|          |   | nancial interest in his or her own  | Municipal Code: Does any official or employ name or in the name of any other person or   | yee       |  |  |  |
|          | ny words or terms<br>eanings when use                         |   | of the Municipal Code have the same  |           |  |  |  |
|          | CERTIFICATION REGARDING INTEREST IN CITY BUSINESS             |   |  |           |  |  |  |
| D        |   |   |  |           |  |  |  |
| c        |   | the word "None," or no response a<br>ned that the Disclosing Party certi  | appears on the lines above, it will be fied to the above statements.   |           |  |  |  |

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| connection with the Matter voidable by the City.  |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.   |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. |

comply with these disclosure requirements may make any contract entered into with the City in

| If       | you checked "No" to question 1. or 2. above, please provide an explanation:  |  |  |  |  |  |
|----------|--|--|--|--|--|--|
| eq       | 3. Have you participated in any previous contracts or subcontracts subject to the ual opportunity clause? []Yes []No   |  |  |  |  |  |
| Coun     | 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Intract Compliance Programs, or the Equal Employment Opportunity Commission all reports due der the applicable filing requirements?  [] Yes  [] No   |  |  |  |  |  |
| fe       | Have you developed and do you have on file affirmative action programs pursuant to applicable deral regulations? (See 41 CFR Part 60-2.) [] Yes [] No  |  |  |  |  |  |
| If       | "Yes," answer the three questions below:   |  |  |  |  |  |
|          | the Disclosing Party the Applicant?  [] Yes  [] No   |  |  |  |  |  |
| su<br>ne | f the Matter is federally funded, federal regulations require the Applicant and all proposed ubcontractors to submit the following information with their bids or in writing at the outset of egotiations.   |  |  |  |  |  |
| В        | CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY   |  |  |  |  |  |
| sų       | 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in rm and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any becontract and the Disclosing Party must maintain all such subcontractors' certifications for the tration of the Matter and must make such certifications promptly available to the City upon request. |  |  |  |  |  |
| 5        | 4. The Disclosing Party certifies that either: (i) it is not an organization described in section $O(c)(4)$ of the Internal Revenue Code of 1986; or (ii) it is an organization described in section $O(c)(4)$ of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying ctivities".   |  |  |  |  |  |
|          | 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in hich there occurs any event that materially affects the accuracy of the statements and information set rth in paragraphs A.1. and A.2. above.  |  |  |  |  |  |

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

|   | certifications and statements contained in th | is EDS and Appendix | A (if applicable)                            | are true, accurat |
|---|---|---------------------|--|-------------------|
|   | and complete as of the date furnished to the  | City.               |  |                   |
|   | Amy K DAra                                    |                     |  |                   |
|   | (Print or type name of Disclosing Party)      |                     |  |                   |
|   | By: Ux KD Gree                                |                     |  |                   |
|   | (Sign/Here)                                   |                     | ,  |                   |
|   | AMY K. D'Avco                                 |                     |  |                   |
|   | (Print or type name of person signing)        | •                   |  |                   |
|   |   |                     | OFFICIAL S                                   | EAL               |
|   | Owner   | •••                 | JENNIFER A C                                 |                   |
|   | (Print or type title of person signing)       |                     | Notary Public - Star<br>My Commission Expire | * Nov 27, 2016    |
|   |   |                     | ~~~~~  |                   |
|   | Signed and sworn to before me on (date)       | 5/1/2004            | <b>,</b>                                     |                   |
|   | at COOK County 12                             | _(state).           | 1  |                   |
| < | A luty  | _ Notary Public.    |  |                   |
|   | Commission expires: 11/27/16                  | ·                   |  |                   |
|   | , ,   | D 10 C 12           |  |                   |

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7 5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

|     | [ ] Yes       |   | MNo             |                 |                  |                 |             |
|-----|---------------|---|-----------------|-----------------|------------------|-----------------|-------------|
| suc | h person is o | e identify below (1) the connected; (3) the natural relationship, and | me and title of | the elected cit | y official or de | partment head t | -           |
|     |               |   |                 |                 |                  |                 | <del></del> |