

## City of Chicago



O2014-8799

## Office of the City Clerk Document Tracking Sheet

**Meeting Date:** 

11/5/2014

Sponsor(s):

City Clerk (transmitted by)

Type:

Ordinance

Title:

Zoning Reclassification Map No. 3-G and 5-G at 1521 W North Ave and 1550 N Bosworth Ave - App No. 18213

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

# 18213 INTRO DATE: 11-05-2014

## BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. That the Chicago Zoning Ordinance be amended by changing all of the Residential-Business Planned Development Number 987 District symbols and indications as shown on Map Numbers 3-G and 5-G in the area bound by:

a point 439.83 feet north of West North Avenue (as measured along the east line of North Bosworth Avenue); the west line of the Kennedy Expressway right-of-way; West North Avenue; north Greenview Avenue; the alley next south of West North Avenue; North Bosworth Avenue; a line 92.50 feet south of West North Avenue; the alley next west of North Bosworth Avenue; West North Avenue; and North Bosworth Avenue,

to those of a Residential-Business Planned Development Number 987 District, as amended, and a corresponding use district is hereby established in the area above described.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Commonly known as: 1521 West North Avenue/1550 North Bosworth Avenue

## Residential Business Planned Development Number 987, as amended

## Plan Of Development Statements.

- 1. The area delineated herein as Residential Business Planned Development Number 987 (the "Planned Development") consists of approximately seventy thousand nine hundred seventy-five (70,975) square feet (one and six hundred twenty-five thousandths (1.625) acres) of property which is depicted on the attached Planned Development Boundary and Property Line Map (the "Property"). Sub Area B of the Planned Development is owned or controlled by Jones Chicago Real Property 1, LLC (the "Applicant").
- 2. The Applicant shall obtain all necessary official reviews, approvals or permits which are necessary to implement this Plan of Development. Any dedication or vacation of streets, alleys or easements or any adjustment of right-of-way shall require a separate submittal on behalf of the Applicant and approval by the City Council.
- 3. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal titleholders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal titleholder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time applications for amendments, modifications or changes (administrative, legislative or otherwise) (hereafter "Modifications") to this Planned Development are made, shall be under single ownership or under single designated control; provided, however, that after the completion of the development of each subarea, the owners of or designated controlling party for each completed subarea may seek Modifications for the subarea. Single designated control for purposes of this paragraph shall mean that any application to the City for any Modifications to this Planned Development is made or authorized by the Applicant, its successors and assigns or property owners' association which is formed.
- 4. This plan of development consists of fourteen (14) statements; a Bulk Regulations and Data Table, an Existing Zoning Map, Planned Development Boundary and Sub Area Map; Sub Area B Boundary and Property Line Map; Site, Landscape, Green Roof Plan; Landscape Details; and Building Elevations, prepared by Gensler and dated November 5, 2014. Full size copies of these exhibits are on file with the Department of Planning and Development. All other documents relating to Subarea A and Subarea B in the presently existing Residential Business Planned Development 987, approved by the City Council on September 14, 2005, and as further amended April 11, 2007, are hereby incorporated by reference as if specifically set forth herein. These and no other zoning controls shall apply to the Property. This Planned Development conforms to the intent and purpose of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, and all requirements thereof, and satisfies the established criteria for approval as a planned development.

5. The Property within the Planned Development is indicated on the Planned Development Boundary and Property Line Map. Subject to the Bulk Regulations and Data Table, the following uses are permitted on the Property:

Subarea A: automobile dealership, including service areas and outdoor display areas at such locations as indicated on the attached Site Plan, Second Floor Plan for Subarea A and elevations for the Subarea A Building; office; retail and service uses and accessory uses, including parking and loading.

Subarea B: automobile dealership; office; retail and service uses, and accessory uses including parking and loading.

Subarea C: residential dwelling units; retail and service uses; and accessory uses including parking and loading.

The following uses shall specifically be prohibited in any subarea:

Body art service, taverns, fast food restaurants (excluding those serving primarily non-alcoholic beverages), inter-track wagering facilities, liquor stores (except those selling exclusively wine and wine related products are permitted), coin-operated laundromat, heavy equipment sales/rental, and non-accessory recreational vehicle or boat storage.

- 6. Temporary signs such as construction and marketing signs shall be permitted subject to the review and approval of the Department of Planning and Development. Business identification signs of the type and at the locations depicted on the Site Plan and Elevations shall be permitted within the Planned Development. Additional business identification signs shall be permitted within the Planned Development subject to the review and approval of the Department of Planning and Development. Off-premise signs shall not be permitted within the Planned Development.
- 7. Off-street parking shall be provided in compliance with this Planned Development subject to the review and approval of the Department of Transportation.
- 8. Any service drive or other ingress or egress shall be adequately designed and paved in accordance with the regulations of the Department of Transportation in effect at the time of construction and incompliance with the Municipal Code of the City of Chicago, to provide ingress and egress for motor vehicles, including emergency vehicles. There shall be no parking within such paved areas. Ingress and egress shall be subject to the review and approval of the Department of Transportation.
- 9. In addition to the maximum heights of the buildings and any appurtenance attached thereto described in this Planned Development, the height of any improvements shall also be subject to height limitations as approved by the Federal Aviation Administration.
- 10. The improvements depicted on the Sub Area B Site Plan, including the landscaping along adjacent rights-of-way and all entrances and exits to and from the parking and

6058319.1 - 2 -

- loading areas shall be designed, constructed and maintained in substantial conformance with the above referenced drawings.
- 11. The terms, conditions and exhibits of this Planned Development may be modified administratively by the Commissioner of the Department of Planning and Development, upon the application or such a modification by the Applicant and after a determination by the Commissioner of the Department of Planning and Development that such a modification is minor in nature, appropriate and consistent with the nature of the improvements contemplated in this Planned Development and the purpose underlying the provisions hereof. Any such modification of the requirements by the Commissioner of the Department of Planning and Development shall be deemed to be a minor change in the Planned Development as contemplated by Section 17-13-0611-A of the Chicago Zoning Ordinance.
- 12. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs, conserves energy and maximizes the preservation of natural resources. (At the time of a hearing before the Chicago Plan Commission, all developments must be in substantial compliance with the current City of Chicago Sustainable Development Policy set forth by the Department of Planning and Development.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office of People with Disabilities ("M.O.P.D.") to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility. No building permit shall be issued by the Department of Construction and Permits until the Director of M.O.P.D. has approved detailed construction drawings for the building or improvement proposed.
- 14. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Commissioner of the Department of Planning and Development shall initiate a Zoning Map Amendment to rezone the property to RBPD No. 987.

6058319.1

## RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 987, as amended BULK REGULATIONS TABLE

Gross Site Area:

114,477.54 sq. ft. (2.63 acres)

Net Site Area:

70,795 sq. ft. (1.625 acres)

## Maximum FAR:

Sub Area A: 3.00 Sub Area B: 2.10 Sub Area C: 4.30

## Maximum Number of Dwelling Units:

Sub Area A: 0 Sub Area B: 0 Sub Area C: 30

## Maximum Building Height:

Sub Area A: 71'-0"

Sub Area B: 61'0" (69'-0" to top of parapet)

Sub Area C: 80'-0" (not including stair/elevator penthouse overrun)

## Minimum Parking Spaces:

Sub Area A: 88 Sub Area B: 27

Sub Area C: 1 space per unit

## Minimum Loading Berths:

Sub Area A: 0 Sub Area B: 0 Sub Area C: 1

Applicant: Jones Chicago Real Property 1, LLC

Address: 1521 W. North Avenue/1550 N. Bosworth Avenue

Introduced: November 5, 2014

Plan Commission:

## "WRITTEN NOTICE" **AFFIDAVIT** (Section 17-13-0107)

October 29, 2014

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Katriina S. McGuire, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately October 29, 2014.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Signature

Subscribed and Sworn to before me this

day of October . 20 14

OFFICIAL SEAL

TITLE SCHOOLS STATE OF ILINOIS SECRET OF ILINOIS

## October 29, 2014

## Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about October 29, 2014 the undersigned will file an application for a change in zoning to Residential Business Planned Development No. 987 to Residential Business Planned Development No. 987, as amended for the property located at 1521 West North Avenue/1550 North Bosworth Avenue, Chicago, Illinois.

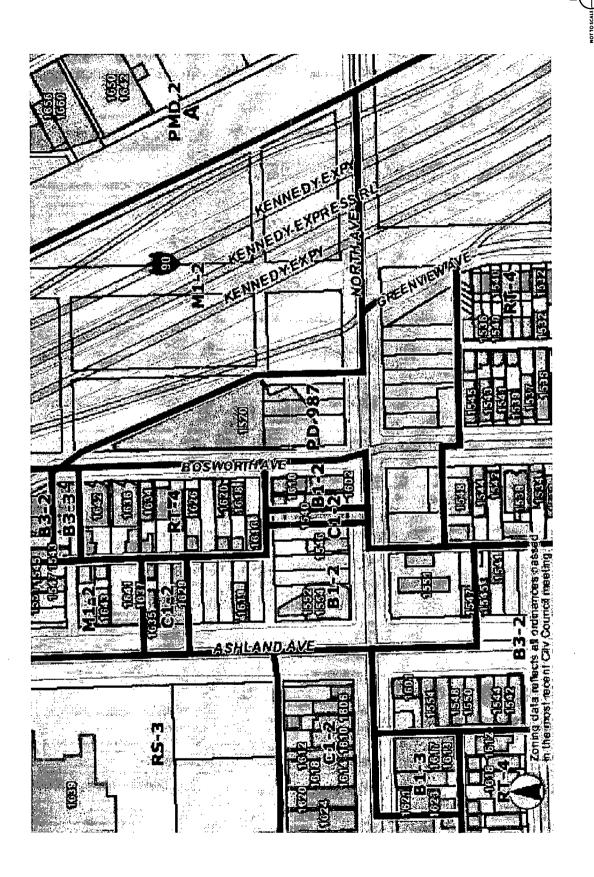
The Applicant proposes to amend Residential Business Planned Development No. 987 (Sub Area B) to allow for the construction of a proposed 4- story 95,033 square foot building for the retail sale of automobiles. A total of 85 customer parking spaces (including 58 valet spaces) will be included.

The Owner and Applicant for this Amendment is Jones Chicago Real Property 1, LLC located at 1111 North Clark Street, Chicago, Illinois 60603. The contact person for this application is Katriina S. McGuire of Thompson Coburn, LLP, 55 East Monroe Street, 37<sup>th</sup> Floor, Chicago, Illinois 60603, (312) 580-2236.

PLEASE NOTE THAT THE APPLICANT IS NOT SEEKING TO REZONE OR PURCHASE YOUR PROPERTY. THE APPLICANT IS REQUIRED BY LAW TO SEND THIS NOTICE BECAUSE YOU OWN PROPERTY WITHIN 250 FEET OF THE PROPERTY TO BE REZONED.

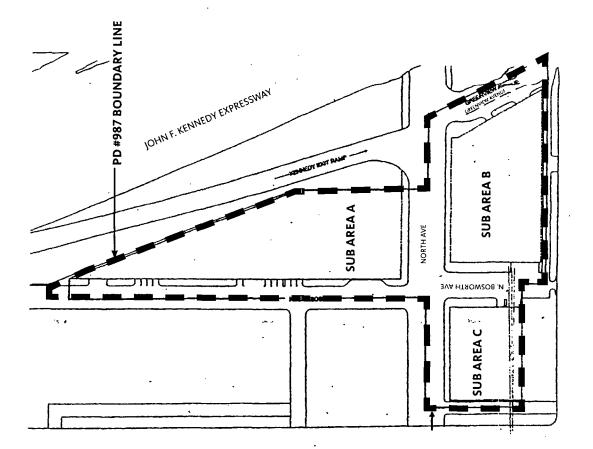
Very truly yours,

Attorney for Applicant



## Fletcher Jones Audi Sales Center

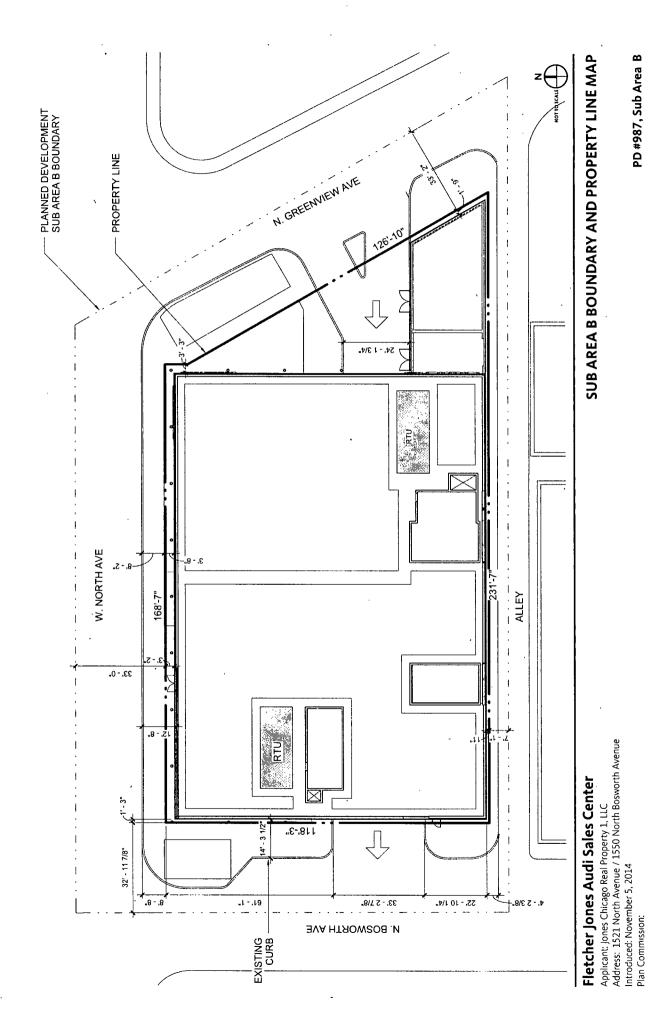
Applicant: Jones Chicago Real Property 1, LLC
Address: 1521 North Avenue / 1550 North Bosworth Avenue
Introduced November 5, 2014
Plan Commission:

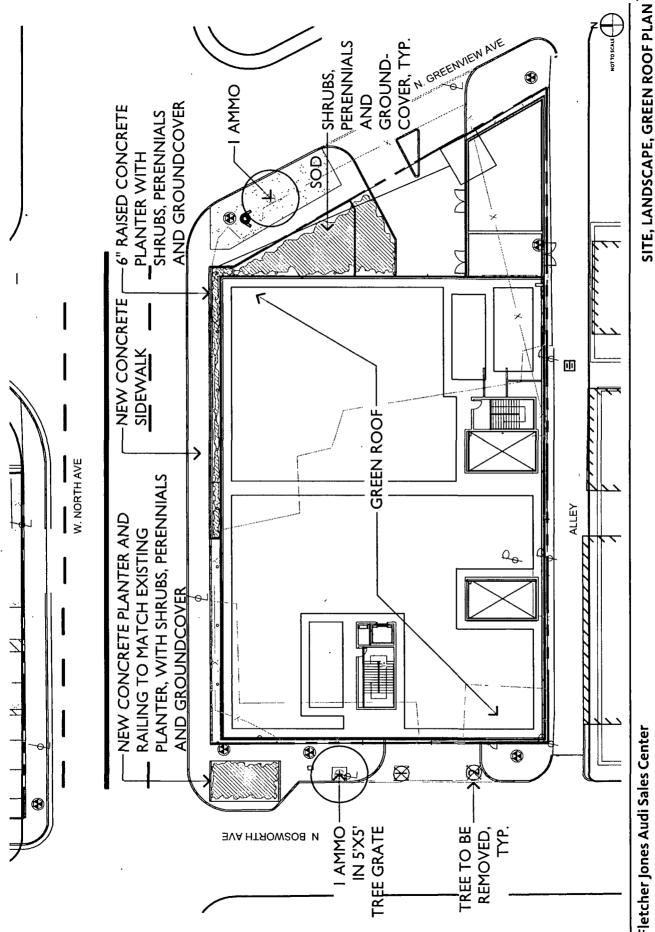


# PLANNED DEVELOPMENT BOUNDARY AND SUB AREA MAP

## Fletcher Jones Audi Sales Center

Applicant Jones Chicago Real Property 1, LLC
Address: 1521 North Avenue / 1550 North Bosworth Avenue
Introduced: November 5, 2014
Plan Commission.



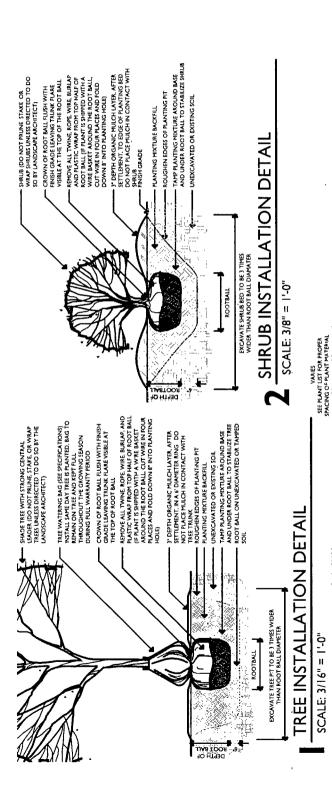


# Fletcher Jones Audi Sales Center

Applicant: Jones Chicago Real Property 1, LLC Address: 1521 North Avenue / 1550 North Bosworth Avenue Introduced. November 5, 2014

Plan Commission:

PD #987, Sub Area B Gensler



GROUNDCOVER AND PERENNIAL INSTALLATION DETAII

PLANTING MIXTURE SEE SOIL DEPTH CHART

UNEXCAVATED OR EXISTING SOIL

PLANTING BED DO NOT PLACE MULCH IN CONTACT WITH PLANT MATERIAL STEMS RAISE FINISH GRADE OF PLANTING BED 4" FOR ADEQUATE DRAINAGE

OANAMENTAL GRASS / PERENNIALS , GROUNDCOVER

FINISH GRADE

SCALE: 3/8" = 1'-0"

NOTE. QUANTITIES ON THE PLANT LIST ARE PROVIDED FOR INFORMATION ONLY. PLANT QUANTITIES UNDER THE CONTRACT ARE INDICATED

SOIL DEPTH CHART TO THE DEPTHS INDICATED ON THE PLANS IN THE EVENT OF ANY DISCREPANCIES. THE CHART BELOW.

NOTE: THE CONTRACTOR IS

**DEPTH IN INCHES** 

SCAPE AREAS

| CODE   BOTANICAL NAME   COMMON NAME   QTY   CAL   HT SPRD   ROOT   REMARKS  |
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| CODE BOTANICAL NAME AMMO ACER MIYABEI MORTON  DS DIERVILLA SESSILIFOLIA  JCSG JUNIPERUS CHINENSIS SEA GREEN  JHP JUNIPERUS HORIZONIALIS  PAS RIEKS ALPINI IN  |
| AMMO ACRA AMMO ACRA DS DIERV JCSG JUNIP JHP JUNIP JHP JUNIP BAS PHYS  |
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PER TREE INSTALLATION DETAIL

18 PLANTING MIX 18 PLANTING MIX **18 PLANTING MIX** 

ALS G BEDS - MEDIUM

ž 12

LANDSCAPE DETAILS

## Fletcher Jones Audi Sales Center

Plan Commission:

Applicant: Jones Chicago Real Property 1, LLC Address: 1521 North Avenue / 1550 North Bosworth Avenue Introduced: November 5, 2014

NOT TO SCALE

## **NORTH ELEVATION**

# Fletcher Jones Audi Sales Center

Applicant Jones Chrcago Real Property 1, LLC Address. 1521 North Avenue / 1550 North Bosworth Avenue Introduced: November 5, 2014 Plan Commission:

PD #987, Sub Area B Gensler

## Fletcher Jones Audi Sales Center

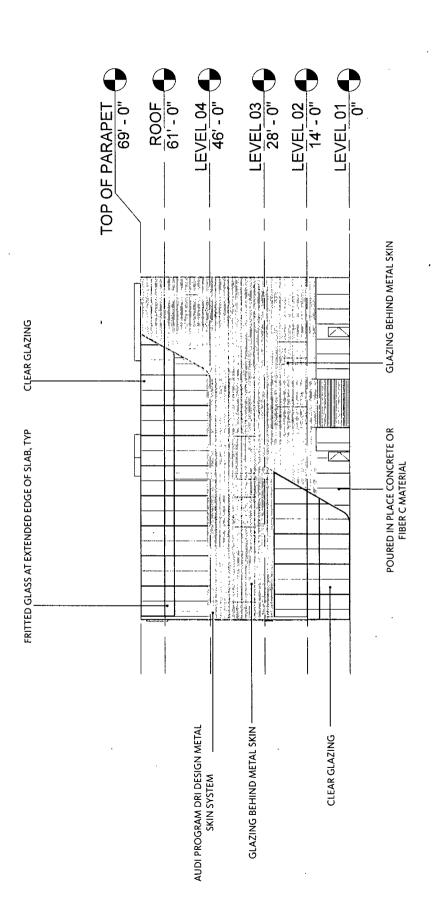
Applicant: Jones Chicago Real Property 1, LLC
Address: 1521 North Avenue / 1550 North Bosworth Avenue
Introduced: November 5, 2014
Plan Commission:

SOUTH ELEVATION
PD #987, Sub Area B
Gensler

NOT TO SCALE

**WEST ELEVATION** 

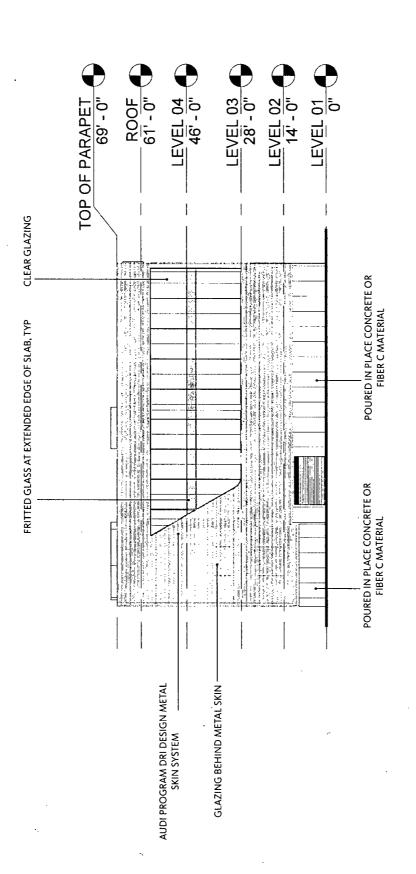
NOT TO SCALE



# Fletcher Jones Audi Sales Center

Applicant: Jones Chicago Real Property 1, LLC
Address: 1521 North Avenue / 1550 North Bosworth Avenue
Introduced. November 5, 2014
Plan Commission.

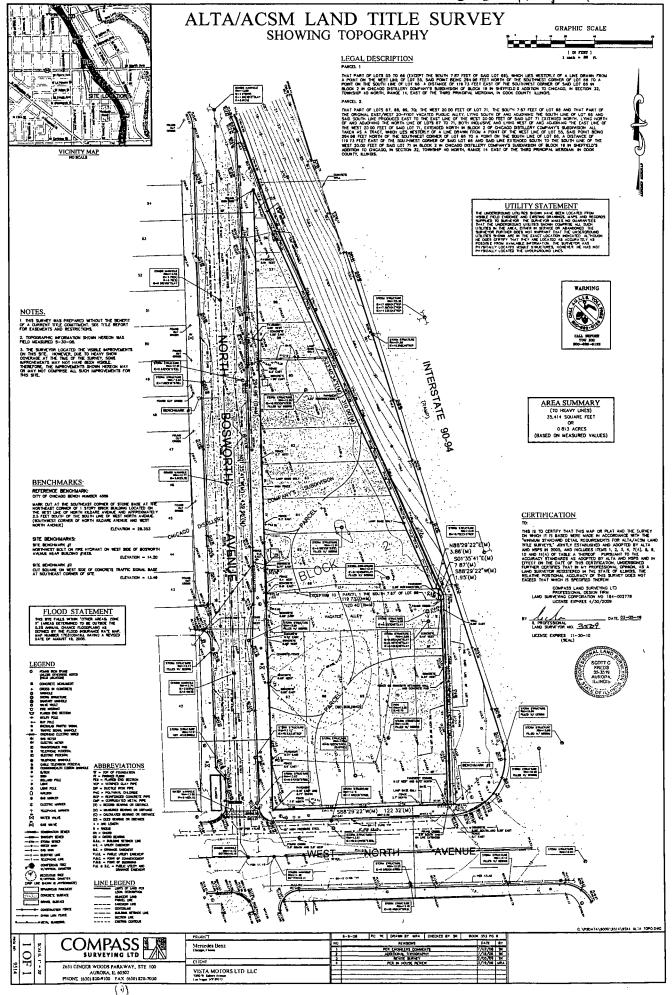
NOT TO SCALE



Fletcher Jones Audi Sales Center

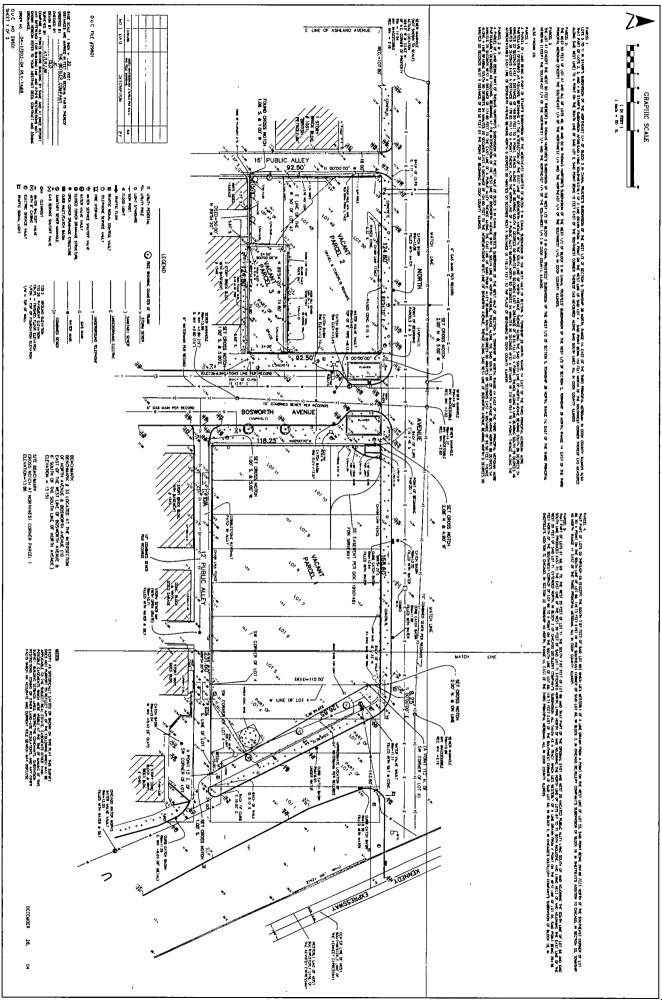
Applicant, Jones Chicago Real Property 1, LLC
Address. 1521 North Avenue / 1550 North Bosworth Avenue
Introduced: November 5, 2014
Plan Commission:

SUB Area A



<u>(6)</u>

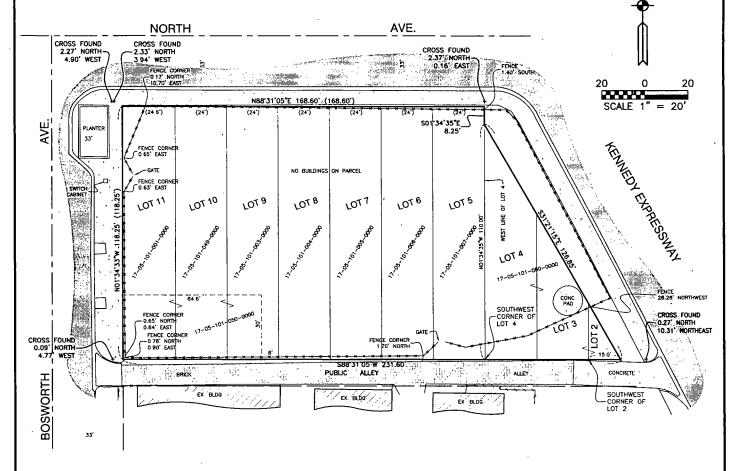
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## **PLAT OF SURVEY**

Lots 5 to 11 (both inclusive) in Starr's Subdivision of the Northeast Quarter of Block 5 in Canal Trustee's Subdivision of the West Half of Section 5, Township 39 North, Range 14, East of the Third Principal Meridian, in Cook County, Illinois and also that part of Lots 2, 3 and 4 in Starr's Subdivision aforesaid lying southwesterly of a line drawn from a point on the west line of said Lot 4, said point being 110 feet north of the southwest corner thereof (as measured along said west line) to a point on the south line of said Lot 2, said point being 15 feet east of the southwest corner thereof (as measured along said south line) All in Cook County, Illinois

Containing 0.537 acres (23,401 square feet) more or less.



STATE OF ILLINOIS COUNTY OF COOK

I, RANDELL E. GANN, an Illinois Professional Land Surveyor, do hereby state that I have surveyed the property described in the caption to the hercon drawn plat, and that said plat is a true and correct representation of said survey. Dimensions shown hereon are in feet and decimal parts thereof and are corrected to a temperature of 62 degrees

SOUTH HOLLAND, ILLINOIS October 28, A D 2014

This professional service conforms to the current Illinois minimum standards for a boundary survey

The field work for this professional service was completed October 27, 2014

(XXX XX)

Denotes record dimension or dimension computed

XXX XX

Penotes record dimension values
Denotes measured dimension or dimension computed from measured dimension values

CONSULTING REGISTERED PROFESSIONAL ENGINEERS AND PROFESSIONAL LAND SURVEYORS 17000 SOUTH PARK AVENUE SOUTH HOLLAND ILLINOIS 60473 (708) 331-6700 FAX (708) 331-3826 C COPYRIGHT 2014 ILLINOIS DESIGN FIRM REGISTRATION NO. 184001128 FOR:

14-603

REVISIONS

**LEGEND** 

WOOD FENCE

ROBINSON ENGINEERING, LTD.

Compare all points before building and report any difference at once. For building lines, easements and other restrictions not shown hereon, consult your deed, contract, title policy, zoning ordinance, subdivision plat etc.

| 1521 W. No                         | 1521 W. North Avenue<br>Chicago, Illinois 60642 |    |  |
|------------------------------------|---|----|--|
| Dinwn by KWM Date October 28, 2014 |   | ╟╌ |  |
| Checked by REG Scale 1*=201        |   |    |  |
|                                    |   |    |  |

#18213 INTRODATE: 11-05-2014

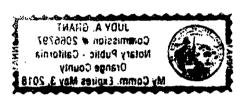
## CITY OF CHICAGO

## APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| Ward Number that   | property is locate  | ed in: 2                                |  |
|--|---|---|--|
| APPLICANT_Jone   | es Chicago Real Pro   | operty 1, LLC                           |  |
| ADDRESS 1111 N   | North Clark Street  |   | CITY Chicago   |
| STATE IL   | ZIP CODE  | 60603                                   | PHONE  |
| EMAIL  |   | _CONTACT F                              | PERSON   |
|  |   | he property pl                          | eace provide the following informatic  |
| regarding the owne proceed.  | r and attach writt  | ten authorizatio                        | ease provide the following information from the owner allowing the applications and the applications are applicated as a second control of the second cont |
| regarding the owne proceed.  OWNER Same  | r and attach writt  | ten authorizatio                        | on from the owner allowing the applic  |
| regarding the owne proceed.  OWNER Same  | r and attach writt  | ten authorizatio                        | on from the owner allowing the application   |
| regarding the owne proceed.  OWNER Same  ADDRESS  STATE  | r and attach writt as ApplicantZIP CODE                       | ten authorizatio                        | on from the owner allowing the application from the owner allowing the owner |
| regarding the owne proceed.  OWNERSame  ADDRESS  STATE  EMAIL  | as Applicant  ZIP CODE vner of the prope                      | _CONTACT P                              | CITY  PHONE  PERSON  d a lawyer as their representative for  |
| regarding the owner proceed.  OWNER Same  ADDRESS STATE  EMAIL State of the Applicant/Owner proceed. | as Applicant  ZIP CODE  vner of the properovide the following | _CONTACT Party has obtained information | CITY  PHONE  PERSON  d a lawyer as their representative for  |

| FJ C           | hildren Family Limited Partnership  |
|----------------|---|
| Jone           | s GST Investment Irrevocable Trust  |
|                | ·   |
| On w           | hat date did the owner acquire legal title to the subject property?   |
| Has I          | he present owner previously rezoned this property? If yes, when?  |
|                | ent Zoning District RBPD No. 987 Proposed Zoning District RBPD No. 987, as amen   |
| Lot s          | ize in square feet (or dimensions) 41,366 SF  |
| Curre          | ent Use of the property Parking Lot   |
| Reas           | on for rezoning the propertyTo permit automobile retail sales within Sub Area B of RBPD No. 98  |
| units<br>neigh | ribe the proposed use of the property after the rezoning. Indicate the number of dwelling number of parking spaces; approximate square footage of any commercial space; and at of the proposed building. (BE SPECIFIC) and RBPD No. 987 (Sub Area B) to allow for the construction of a proposed 4-story 95,033 square foot   |
| buildii        | ng for the retail sale of automobiles. A total of 85 customer parking spaces (including 58 valet spaces)  |
| will be        | e included.   |
| (ARC           | May 14 <sup>th</sup> , 2007, the Chicago City Council passed the Affordable Requirements Ordinance of that requires on-site affordable housing units or a financial contribution if residentialing projects receive a zoning change under certain circumstances. Based on the lot size croject in question and the proposed zoning classification, is this project subject to the |
|                |   |
|                | rdable Requirements Ordinance? (See Fact Sheet for more information)  NOX   |

| COUNTY OF COOK<br>STATE OF ILLINOIS   |  |
|---|--|
| statements and the statements contained in the documents                                | at duly sworn on oath, states that all of the above ments submitted herewith are true and correct.       |
| -   | Signature of Applicant   |
| Subscribed and Sworn to before me this  24th day of 00000000000000000000000000000000000 | JUDY A. GRANT Commission # 2066797 Notary Public - California Orange County My Comm. Expires May 3, 2018 |
| For Office  | Use Only   |
| Date of Introduction:   |  |
| File Number:  |  |
| Ward:   | <del></del>  |



## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION 1 -- GENERAL INFORMATION**

| A. Legal name of the Disclosing Party subm                          | itting this EDS. Include d/b/a/ if applicable:   |
|---|--|
| FJ Children Family Limited Partnership                              |  |
| Check ONE of the following three boxes:                             |  |
| Applicant in which the Disclosing Party                             | ting this EDS is:  lirect interest in the Applicant. State the legal name of the y holds an interest: Jones Chicago Real Property 1, LLC                   |
|   | (see Section II.B.1.) State the legal name of the entity in of control:  |
| B. Business address of the Disclosing Party:                        | 7300 West Sahara Avenue  |
|   | Las Vegas, NV 89128  |
| C. Telephone: Fax:  | Email:   |
| D. Name of contact person:  |  |
| E. Federal Employer Identification No. (if yo                       | ou have one):  |
| which this EDS pertains. (Include project nu                        | or other undertaking (referred to below as the "Matter") to amber and location of property, if applicable): pment No. 987-SubArea B  50 N. BUSWOrth Avenue |
| G. Which City agency or department is requ                          |  |
| If the Matter is a contract being handled b complete the following: | y the City's Department of Procurement Services, please  |
| Specification #   | and Contract #   |

## **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

## A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Parents  Person  Publicly registered business corporation  Privately held business corporation  Sole proprietorship  General partnership  ✓ Limited partnership  Trust            | Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes [] No Other (please specify)  |
|--|--|
|  | country) of incorporation or organization, if applicable:  |
| Delaware   |  |
| 3. For legal entities not organized in the S business in the State of Illinois as a foreign er   | State of Illinois: Has the organization registered to do ntity?  |
| [] Yes   | [ ] N/A  |
| B. IF THE DISCLOSING PARTY IS A LEC  | GAL ENTITY:  |
| NOTE: For not-for-profit corporations, also be there are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the narrow. | all executive officers and all directors of the entity.  list below all members, if any, which are legal entities. If  rs." For trusts, estates or other similar entities, list below  d partnership, limited liability company, limited liability  me and title of each general partner, managing member,  ntrols the day-to-day management of the Disclosing Party.  abmit an EDS on its own behalf.   |
| Name<br>Fletcher Jones Jr.   | Title<br>president   |
|  |  |
|  |  |
| 2 - Blassa was ida da Gallassia a infarmasi  | and the second s |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name                                  | Business Address                     | Percentage Interest in the   |
|---------------------------------------|--------------------------------------|--|
|                                       |                                      | Disclosing Party   |
| Jones GST Investment                  | ent Irrevocable Trust                | 75.92%   |
| 72                                    | 51 W Lake Mead Blvd, Ste. 530, Las \ | /egas, NV 89128  |
|                                       |                                      |  |
| SECTION III F                         | BUSINESS RELATIONSHIPS W             | ITH CITY ELECTED OFFICIALS   |
|                                       |                                      | nip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed? |
| [] Yes                                | <b>⊘</b> No                          |  |
| If yes, please ident relationship(s): | ify below the name(s) of such City   | elected official(s) and describe such  |
|                                       |                                      |  |
|                                       |                                      |  |

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)   | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|--|
|  |                     |  |  |
| (Add sheets if necessary)                                      | )                   |  |  |
| Check here if the Disc   | closing Party h     | as not retained, nor expects to retain                                       | a, any such persons or entities  |
| SECTION V CERTI  | FICATIONS           |  |  |
| A. COURT-ORDERED   | CHILD SUPE          | PORT COMPLIANCE  |  |
| -  |                     | 2-415, substantial owners of business th their child support obligations thr |  |
| • •  | •                   | tly owns 10% or more of the Disclosons by any Illinois court of compete      | _ ,  |
| [] Yes [] 1  |                     | No person directly or indirectly owns isclosing Party.                       | 10% or more of the   |
| If "Yes," has the person is the person in complian             |                     | court-approved agreement for paym greement?                                  | ent of all support owed and  |
| [] Yes [/] 1   | No                  |  |  |
| B. FURTHER CERTIF  | ICATIONS            |  |  |

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the incligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the incligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7.     | If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further |
|--------|---|
| Certif | fications), the Disclosing Party must explain below:  |
| N/A    | ·   |
|        |   |
|        |   |
|        |   |
|        |   |
|        |   |

| presumed that the Disclosing Party certified to the above statements.  |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A   |
|  |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| N/A  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  |
| [] is  |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):  N/A  |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? **✓** No []Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? **₩** No []Yes 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: **Business Address** Nature of Interest Name N/A

N/A

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| connection with the Matter voidable by the City.  |  |  |
|---|--|--|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |  |  |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |  |  |
|   |  |  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |  |  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |  |  |
| A. CERTIFICATION REGARDING LOBBYING   |  |  |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  N/A   |  |  |
|   |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)  |  |  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by  |  |  |

applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

member of Congress, in connection with the award of any federally funded contract, making any

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| negotiations.   |   |  |
|---|---|--|
| Is the Disclosing Party the Applicant?  |   |  |
| [] Yes [  | [ ] No  |  |
| If "Yes," answer the three questions below:   |   |  |
| federal regulations? (See 41  | and do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.) [ ] No   |  |
| Contract Compliance Progra<br>under the applicable filing re  | he Joint Reporting Committee, the Director of the Office of Federal ams, or the Equal Employment Opportunity Commission all reports due equirements?  [] No |  |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? |   |  |
| [] Yes  | [ ] No  |  |
| If you checked "No" to question 1. or 2. above, please provide an explanation:                              |   |  |
|   |   |  |

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

FJ Children Family Limited Partnership

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| (Print or type name of Disclosing Party)   |   |
|--|---|
| By: Sign here)   |   |
| JONES C GP. LLC, Fletcher Jones<br>(Print or type name of person signing)                                  | ., Jr., Manager   |
| Manager<br>(Print or type title of person signing)   |   |
| Signed and sworn to before me on (date) OCTOBY 74, 30 at Orange County, Authority (state).  Notary Public. | JUDY A. GRANT Commission # 2066797 Notary Public - California Orange County |
| Commission expires: MM 3, 2018.  | My Comm. Expires May 3, 2018  |

JUDY A. GRANT
Commission # 2066797
Notary Public - California
Orange County
My Comm. Expires May 3, 2016

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes              | <b>[√</b> ] No                       |   |
|----------------------|--------------------------------------|---|
| such person is conne | ected; (3) the name and title of the | of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nature of such familial relationship. |
|                      |                                      |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosi                                       | ng Party submitt                    | ing this EDS. Include d/b/a/ if applicable:  |
|---|-------------------------------------|--|
| Jones Chicago Real Property 1, L                                    | LC                                  |  |
| Check ONE of the following  | three boxes:                        |  |
|   | a direct or indir                   | ng this EDS is: ect interest in the Applicant. State the legal name of the   |
| •   | •                                   | see Section II.B.1.) State the legal name of the entity in control:  |
| B. Business address of the Dis                                      | sclosing Party:                     | 1111 North Clark Street  |
|   |                                     | Chicago, IL 60610  |
| C. Telephone:   | Fax:                                | Email:   |
| D. Name of contact person: FI                                       | etcher Jones, Jr.                   |  |
| E. Federal Employer Identifica                                      | ation No. (if you                   | have one):   |
| which this EDS pertains. (Incl<br>Amendment to Residential Business | ude project num<br>Planned Developm | other undertaking (referred to below as the "Matter") to aber and location of property, if applicable): nent No. 987-SubArea B |
| G. Which City agency or depa  | rtment is reques                    | ting this EDS? DPD, Law Dept.  |
| If the Matter is a contract be complete the following:              | eing handled by                     | the City's Department of Procurement Services, please  |
| Smarification #   |                                     | and Contract #   |

### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

## A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Par<br>Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust                               | Limited liability company Limited liability partnership  Joint venture  Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No  Other (please specify)   |
|---|--|
| •   | ountry) of incorporation or organization, if applicable:   |
| business in the State of Illinois as a foreign ent  |  |
| [] Yes [] No  | [ N/A  |
| B. IF THE DISCLOSING PARTY IS A LEG.  |  |
| NOTE: For not-for-profit corporations, also li<br>there are no such members, write "no members<br>the legal titleholder(s).<br>If the entity is a general partnership, limited<br>partnership or joint venture, list below the name | Il executive officers and all directors of the entity. st below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf. |
| Name<br>Fletcher Jones, Jr.   | Title<br>Manager   |
| retorio Jorios, Jr.   | Manager  |
|   |  |
|   |  |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name                                 | Business Address  | Percentage Interest in the   |
|--------------------------------------|---|--|
|                                      |   | Disclosing Party   |
| FJ Children Family                   | Limited Partnership   | 100%   |
| 73                                   | 800 West Sahara Ave., Las Vegas, NV   | 89128  |
|                                      |   |  |
|                                      |   |  |
|                                      |   |  |
| SECTION III I                        | BUSINESS RELATIONSHIPS W  | ITH CITY ELECTED OFFICIALS   |
|                                      | sing Party had a "business relationsh<br>ty elected official in the 12 months l | tip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed? |
| [] Yes                               | <b>⋈</b> No   |  |
| If yes, please iden relationship(s): | tify below the name(s) of such City   | elected official(s) and describe such  |
|                                      |   |  |
|                                      |   |  |
|                                      |   |  |

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NO "hourly rate" or "t.b.d." not an acceptable response | ' is   |
|--|---------------------|--|--|--------|
| Katriina S. McGuire/Thompso                                    | on Coburn, 55       | East Monroe - 37th Floor, Chicago, IL                                      |  | 11HD   |
| William JP Banks/Schain Bar                                    | nks, 70 W. Mad      | dison - Ste. 5300, Chicago, IL 60602                                       | Est. \$25,000  | 140    |
|  |                     |  | <del> </del>   |        |
| (A.1.1   |                     |  |  |        |
| (Add sheets if necessary)                                      |                     |  |  |        |
| ] Check here if the Disclo                                     | sing Party ha       | s not retained, nor expects to retain                                      | , any such persons or ent  | tities |
| SECTION V CERTIFI  | CATIONS             |  |  |        |
| A. COURT-ORDERED C   | HILD SUPPO          | ORT COMPLIANCE   |  |        |
| =  |                     | 415, substantial owners of business their child support obligations three  |  |        |
|  | •                   | y owns 10% or more of the Disclos<br>ns by any Illinois court of competer  |  | in     |
| [] Yes 🚺 No  |                     | person directly or indirectly owns closing Party.                          | 10% or more of the   |        |
| If "Yes," has the person en<br>is the person in compliance     |                     | ourt-approved agreement for paym reement?                                  | ent of all support owed a  | nd     |
| [] Yes 🗸 No  |                     |  |  |        |

### B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the | above statements in this Part B (Further |
|---|--|
| Certifications), the Disclosing Party must explain below:     |  |
| N/A   |  |
|   |  |
|   |  |
|   |  |
|   |  |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A   |
|  |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| N/A  |
|  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  |
| [] is  |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):   |

| conclusively presur   | ned that the Disclosing Party cert   | appears on the lines above, it will be ified to the above statements.  |
|---|--|--|
| D. CERTIFICATION  | ON REGARDING INTEREST IN   | CITY BUSINESS  |
| Any words or terms meanings when use  | <del>-</del>   | 6 of the Municipal Code have the same  |
|   | inancial interest in his or her own  | Municipal Code: Does any official or employee name or in the name of any other person or   |
| NOTE: If you che Item D.1., proceed   | -  | to Items D.2. and D.3. If you checked "No" to  |
| elected official or e<br>any other person or<br>for taxes or assessn<br>"City Property Sale | mployee shall have a financial intentity in the purchase of any properties, or (iii) is sold by virtue of least to the contract of least to the co | ive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D. |
| Does the Matter in  | volve a City Property Sale?  |  |
| [] Yes  | <b>√</b> ] No  |  |
| ~   | ed "Yes" to Item D.1., provide thees having such interest and ident  | e names and business addresses of the City ify the nature of such interest:  |
| Name<br>N/A   | Business Address   | Nature of Interest   |
|   |  |  |
|   |  |  |

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| connection with the Matter voidable by the City.  |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |
|   |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally   |
| funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |
| A. CERTIFICATION REGARDING LOBBYING   |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  N/A   |
|   |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| negotiations.                                     |  |
|---|--|
| Is the Disclosing Party the                       | Applicant?   |
| [] Yes  | [ ] No   |
| If "Yes," answer the three                        | questions below:   |
| 1. Have you developed federal regulations? (See 4 | d and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.) [] No  |
| •   | the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements?  [] No |
| 3. Have you participat equal opportunity clause?  | ed in any previous contracts or subcontracts subject to the  |
| [] Yes  | [ ] No   |
| If you checked "No" to qu                         | estion 1. or 2. above, please provide an explanation:  |
|   |  |

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Jones chicago Real Property 1, LLC. (Print or type name of Disclosing Party) |
|--|
| By: Cign here)   |
| Fletcher Jones Jr. (Print or type name of person signing)                    |
| Manager (Print or type title of person signing)                              |
|  |

JUDY A. GRANT
Commission # 2066797
Notery Public - California
Orange County
My Comm. Expires May 3. 2018

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes              | <b>[</b> ∕] No                      |   |
|----------------------|-------------------------------------|---|
| such person is conne | cted; (3) the name and title of the | of such person, (2) the name of the legal entity to whice elected city official or department head to whom such nature of such familial relationship. |
|                      |                                     |   |

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

| A. Legal name of the Disclosing Party submit   | ting this EDS. Include d/b/a/ if applicable:   |
|--|--|
| Jones GST Investment Irrevocable Trust   |  |
| Check ONE of the following three boxes:  |  |
| ▼ = · · · · · · · · · · · · · · · · · ·  | ing this EDS is: rect interest in the Applicant. State the legal name of the holds an interest: Jones Chicago Real Property 1, LLC |
| OR 3. [] a legal entity with a right of control (  | (see Section II.B.1.) State the legal name of the entity in f control:   |
| B. Business address of the Disclosing Party:   | 7251 W Lake Mead Blvd  |
| Ç ,  | Las Vegas, NV 89128  |
| C. Telephone:Fax:  | Email:   |
| D. Name of contact person:   |  |
| E. Federal Employer Identification No. (if you   | n have one):   |
| F. Brief description of contract, transaction or which this EDS pertains. (Include project nur Amendment to Residential Business Planned Developed 1521 W. Worth Avenue 1521 | ment No. 987-SubArea B   |
| G. Which City agency or department is reque  | sting this EDS? DPD, Law Dept.   |
|  | the City's Department of Procurement Services, please  |
| Specification #  | and Contract #   |

### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

## A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Parents Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust  2. For legal entities, the state (or foreign or   | Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes [] No Other (please specify) |  |  |
|---|---|--|--|
| 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:  3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?  [] Yes  No [] N/A   |   |  |  |
| B. IF THE DISCLOSING PARTY IS A LEG   | SAL ENTITY:   |  |  |
| 1. List below the full names and titles of all executive officers and all directors of the entity.  NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).  If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.  NOTE: Each legal entity listed below must submit an EDS on its own behalf. |   |  |  |
| Name Robert L. Underwood  | Title<br>Trustee  |  |  |
|   |   |  |  |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Name

|                                      | Dusiness Hudress   | Disclosing Party  |
|--------------------------------------|--|---|
| See attached list of                 | beneficiaries  | Disclosing Fairty   |
| 7251 W Lake Mead                     | Blvd, Ste. 530, Las Vegas, NV 89128  |   |
|                                      |  |   |
| SECTION III I                        | BUSINESS RELATIONSHIPS W   | ITH CITY ELECTED OFFICIALS  |
|                                      | ing Party had a "business relationsh<br>ty elected official in the 12 months b | ip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed? |
| [] Yes                               | <b>[∕</b> ] No   |   |
| If yes, please identrelationship(s): | ify below the name(s) of such City   | elected official(s) and describe such   |
|                                      |  |   |
|                                      |  |   |

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business<br>Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)    | Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|---|--|
|  |                     |   |  |
| (Add sheets if necessary)                                      |                     |   |  |
| Check here if the Disc   | closing Party h     | nas not retained, nor expects to retain                                       | n, any such persons or entities.   |
| SECTION V CERTI  | FICATIONS           |   |  |
| A. COURT-ORDERED   | CHILD SUPI          | PORT COMPLIANCE   |  |
| <del>-</del>   |                     | 2-415, substantial owners of business the their child support obligations the |  |
| · -  | •                   | tly owns 10% or more of the Disclo  |  |
| [] Yes • [] N  |                     | No person directly or indirectly owns isclosing Party.                        | 10% or more of the   |
| If "Yes," has the person is the person in complian             |                     | court-approved agreement for paym greement?                                   | ent of all support owed and  |
| [] Yes <b>[</b> ] N  | 10                  |   |  |
| B. FURTHER CERTIFI   | CATIONS             |   |  |

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and-equipment; common use of employees; or organization of a business entity following the incligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the incligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7.     | If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further |
|--------|---|
| Certif | fications), the Disclosing Party must explain below:  |
| N/A    |   |
|        |   |
|        |   |
|        |   |
|        |   |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.   |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A   |
|  |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| N/A  |
|  |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  |
| 1. The Disclosing Party certifies that the Disclosing Party (check one)  |
| [] is  |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.   |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:  |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."  |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):  N/A  |

|   | the word "None," or no response a med that the Disclosing Party certif  | appears on the lines above, it will be fied to the above statements.   |
|---|---|--|
| D. CERTIFICATI  | ON REGARDING INTEREST IN  | CITY BUSINESS  |
| Any words or term meanings when use   | <del>_</del>  | of the Municipal Code have the same  |
|   | financial interest in his or her own t  | Iunicipal Code: Does any official or employee name or in the name of any other person or   |
| NOTE: If you cho  | -   | to Items D.2. and D.3. If you checked "No" to  |
| elected official or of any other person of for taxes or assessing "City Property Sales" | employee shall have a financial interemployee shall have a financial interemptor of any proper entity in the purchase of any proper nents, or (iii) is sold by virtue of le | we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain power ning of this Part D. |
| Does the Matter in  | volve a City Property Sale?   |  |
| []Yes   | <b>[</b> ] No   |  |
|   | ked "Yes" to Item D.1., provide the vees having such interest and identi  | names and business addresses of the City fy the nature of such interest:   |
| Name<br>N/A   | Business Address  | Nature of Interest   |
|   |   |  |
|   | sing Party further certifies that no perfectly official or employee.  | prohibited financial interest in the Matter will   |

Page 8 of 13

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| connection with the Matter voidable by the City.  |  |  |  |
|---|--|--|--|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |  |  |  |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:   |  |  |  |
|   |  |  |  |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  |  |  |  |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.  |  |  |  |
| A. CERTIFICATION REGARDING LOBBYING   |  |  |  |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):  N/A   |  |  |  |
|   |  |  |  |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)  |  |  |  |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any  |  |  |  |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed

| subcontractors to subminegotiations.                     | t the following information with their bids or in writing at the outset of  |
|--|---|
| Is the Disclosing Party t                                | he Applicant?   |
| []Yes  | [ ] No  |
| If "Yes," answer the thr                                 | ee questions below:   |
| 1. Have you develo<br>federal regulations? (Se<br>[] Yes | ped and do you have on file affirmative action programs pursuant to applicable<br>ee 41 CFR Part 60-2.) [] No   |
| •  | ith the Joint Reporting Committee, the Director of the Office of Federal ograms, or the Equal Employment Opportunity Commission all reports due ng requirements?  [] No |
| 3. Have you participequal opportunity clause             | pated in any previous contracts or subcontracts subject to the  |
| [] Yes   | [ ] No  |
| If you checked "No" to                                   | question 1. or 2. above, please provide an explanation:   |
|  |   |
|  |   |

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Jones GST Investment Irrevocable Trust   |   |
|--|---|
| (Print or type name of Disclosing Party)                                       |   |
| By: (Sign here)  |   |
| Robert L. Underwood<br>(Print or type name of person signing)                  |   |
| Trustee<br>(Print or type title of person signing)                             |   |
| Signed and sworn to before me on (date) 10-23-2014 at Wake County, NC (state). | ,   |
| Vennestine R. Artis Notary Public.   | VERNESTINE R. ARTIS Notary Public, North Carolina Wake County My Commission Expires |
| Commission expires: 7-20-2015 : .  | 7-20-2015   |

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [ ] Yes              | <b>[√</b> ] No |   |
|----------------------|----------------|---|
| such person is conne | •              | n person, (2) the name of the legal entity to which ed city official or department head to whom such of such familial relationship. |
|                      |                |   |