



# City of Chicago



**O2015-2587**

Office of the City Clerk

## Document Tracking Sheet

<b>Meeting Date:</b>	4/15/2015
<b>Sponsor(s):</b>	City Clerk (transmitted by)
<b>Type:</b>	Ordinance
<b>Title:</b>	Zoning Reclassification Map No. 14-D at 1101-1145 E 63rd St, 1100-1144 E 64th St, 6300-6336 S University Ave and 6301-6337 S Greenwood Ave - App No. 18336
<b>Committee(s) Assignment:</b>	Committee on Zoning, Landmarks and Building Standards

#18336  
Intro Date:  
04-15-2015

## **ORDINANCE**

***BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:***

**SECTION 1.** Title 17, of the Municipal Code of Chicago, the Chicago Zoning Ordinance be amended by changing all the Residential Planned Development Number 723, as amended symbols and indications

as shown on Map No. 14-D in the area bounded by:

West 63rd Street; South University Avenue; West 64th Street; and South Greenwood Avenue

to those of an B3-2, Community Shopping District and a corresponding use district is hereby established in the area above described.

**SECTION 2.** Title 17, of the Municipal Code, the Chicago Zoning Ordinance, be amended by changing all the B3-2, Community Shopping District symbols and indications as shown on Map No. 14-D in the areas bounded by

West 63rd Street; South University Avenue; West 64th Street; and South Greenwood Avenue

to the designation of a Institutional Planned Development \_\_\_\_, which is hereby established in the area above described, subject to such use and bulk regulations as are set forth in the Plan of Development attached and made a part thereto and to no others.

**SECTION 3.** This ordinance shall be in force and effect from and after its passage and due publication.

**Common Address of Property:** 1101 –1145 East 63rd Street; 1100 –1144 East 64th Street; 6300 – 6336 South University Avenue; and 6301 – 6337 South Greenwood Avenue

**INSTITUTIONAL PLANNED DEVELOPMENT**  
**PLAN OF DEVELOPMENT STATEMENTS**

1. The area delineated herein as Institutional Planned Development No. \_\_\_\_\_ (“Planned Development”) consists of approximately 167,844.58 square feet of net site area which is depicted on the attached Planned Development Boundary Map (the “Property”). The “Applicant” for purposes of this Planned Development is the University of Chicago. The owner of the Property is the City of Chicago, which has consented to the inclusion of the Property into the Planned Development.
2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the applicant’s successors and assigns and, if different than the applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined section 17-8-0400 of the Zoning Ordinance.
3. All applicable official reviews, approvals or permits are required to be obtained by the applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assign or grantees, and approval by the City Council.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by the Department of Transportation.

4. This Plan of Development consists of fifteen (15) Statements: a Bulk Regulations Table; the following plans pertaining to the Applicant’s proposed project (the “Project”)

APPLICANT: University of Chicago  
ADDRESS: 1101 – 1145 East 63rd Street; 1100 – 1144 East 64th Street; 6300 – 6336 South University Avenue;  
and 6301 – 6337 South Greenwood Avenue  
DATE: April 15, 2015

prepared by EC Purdy & Associates dated April 15, 2015: an Existing Zoning Map; an Existing Land Use Map; a Planned Development Boundary Map; Site Plan; Landscape Plan; Green Roof Plan; and Building Elevations (North + South, and East + West), submitted herein. Full-sized copies of the Site Plan, Landscape Plan and Building Elevations are on file with the Department of Planning and Development. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

5. The following uses shall be permitted on the Property: School, Sports and Recreation, Office and other related uses incidental thereto.
6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundaries of the Planned Development.
7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations established by the Federal Aviation Administration.
8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations Table has been determined using a Net Site Area of 167,844.58 square feet.
9. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
10. The Site and Landscape plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and

APPLICANT: University of Chicago  
ADDRESS: 1101 – 1145 East 63rd Street; 1100 – 1144 East 64th Street; 6300 – 6336 South University Avenue;  
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DATE: April 15, 2015

Sanitation, Fleet and Facility Management and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.

12. The terms and conditions of development under this the Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
13. The Applicant acknowledges that it is in the public interest to design, construct and maintain all improvements on the Property in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
14. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. At the time of a hearing before the Chicago Plan Commission, all developments must be in substantial compliance with the current City of Chicago Sustainable Development Policy set forth by the Department of Planning and Development. The Applicant agrees to a minimum of "LEED" (Leadership in Energy and Environmental Design) basic certification. Copies of these standards may be obtained from DPD. The Applicant also agrees to provide a vegetative ("green") roof system on a minimum of 50% of the net flat roof area.
15. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Unless substantial construction of the proposed improvements as contemplated in this Planned Development has commenced within six (6) years following the adoption of this Planned Development, and is diligently pursued, then this Planned Development shall expire. If this Planned Development expires under the provision of this section, then the Commissioner of the Department of Planning and Development shall initiate a Zoning Map Amendment to rezone the property to B3-2, Community Shopping District.

APPLICANT:  
ADDRESS:

University of Chicago  
1101 – 1145 East 63rd Street; 1100 – 1144 East 64th Street; 6300 – 6336 South University Avenue;  
and 6301 – 6337 South Greenwood Avenue  
April 15, 2015

DATE:

INSTITUTIONAL PLANNED DEVELOPMENT  
BULK REGULATION AND DATA TABLE

Gross Site Area:	226,633.27 square feet
Net Site Area:	167,844.58 square feet
Public Area Right-of-Way:	58,788.69 square feet
Maximum Floor Area Ratio:	2.2
Minimum Number of Off-Street Loading Spaces:	1
Number of Off-Street Parking Spaces:	25 (including 2 accessible spaces)
Minimum Number of Bicycle Parking Spaces	4
Maximum Building Height:	50 feet (as measured in accordance with the Chicago Zoning Ordinance)
Minimum Required Setback:	In accordance with the Site Plan
Maximum Percent of Site Coverage:	In accordance with the Site Plan

APPLICANT:	University of Chicago
ADDRESS:	1101 – 1145 East 63rd Street; 1100 – 1144 East 64th Street; 6300 – 6336 South University Avenue; and 6301 – 6337 South Greenwood Avenue
DATE:	April 15, 2015

Daniel S. Solis, Chairman  
Committee on Zoning  
Room 304, City Hall  
121 North LaSalle Street  
Chicago, Illinois 60602

Martin Cabrera, Jr., Chairman  
Chicago Plan Commission  
Room 1000, City Hall  
121 North LaSalle Street  
Chicago, Illinois 60602

Applicant: University of Chicago  
5801 S. Ellis Avenue  
Chicago, Illinois 60637

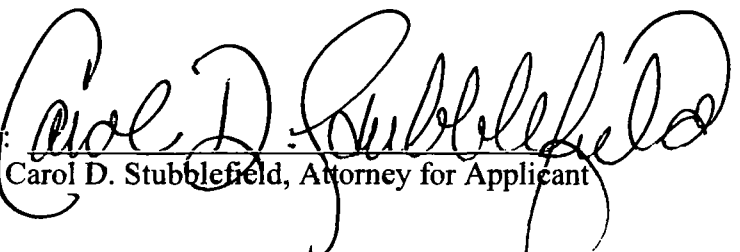
Subject Property: 1101 – 1145 East 63rd Street; 1100 – 1144 East 64th Street; 6300 – 6336  
South University Avenue; and 6301 – 6337 South Greenwood Avenue

Re: Application for Rezoning to Institutional Planned Development

Dear Chairman Solis and Chairman Cabrera:

The undersigned, Carol D. Stubblefield, an attorney for the Applicant, the University of Chicago, being first duly sworn on oath, deposes and states the following:

That the undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance of the City of Chicago Municipal Code by sending the attached letter by United States Postal Service First Class Mail to the owners of all property within 250 feet in each direction of the subject property, as determined by the most recent Cook County tax records of Cook County, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet; and that the notice contained the common street address of the subject property, a description of the nature, scope and purpose of the application or proposal; the name and address of the Applicant; the date the Applicant intends to file the application to rezone the Property is on April 15, 2015; that the Applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-107 and 17-13-0604-B of the Chicago Zoning Ordinance, that the Applicant certifies that the accompanying list of names and addresses of surrounding properties located within 250 feet of the subject property (excluding public roads, streets and alleys), is a complete list containing the names and last known addresses of the owners of the property required to be served, and that the Applicant has furnished, in addition, a list of the persons so served.

By:   
Carol D. Stubblefield, Attorney for Applicant

Subscribed and sworn to before me  
this 8<sup>th</sup> day of April, 2015

  
Notary Public



## NOTICE OF FILING OF REZONING APPLICATION

April 15, 2015

Dear Sir or Madam:

In accordance with the requirements of Section 17-13-0107 of the Municipal Code of the City of Chicago, please be informed that on April 15, 2015, The University of Chicago (the "Applicant") will file an application for rezoning (the "Application"). The purpose of the rezoning is to allow the Applicant to construct the University of Chicago Charter School-Woodlawn on the property commonly known 1101 – 1145 East 63rd Street; 1100 – 1144 East 64th Street; 6300 – 6336 South University Avenue; and 6301 – 6337 South Greenwood Avenue (the "Property").

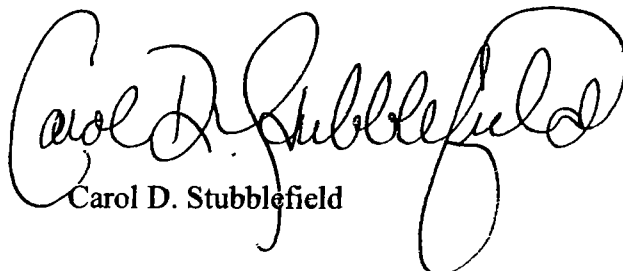
The proposed rezoning application will request City of Chicago approval to rezone the Property from Residential Planned Development Number 723, as amended to B3-2, Community Shopping District and then to an Institutional Planned Development for the purpose of constructing a Charter School which will be three stories in height and approximately 70,000 gross square feet. The building program includes essential learning amenities for middle-school and high-school students, administrative functions, extensive green roof space, outdoor activity space, on-site parking, and community space (the "Project").

The property is currently owned by the City of Chicago. The City of Chicago's office address is 121 N. LaSalle Street, 10th Floor, Chicago, Illinois 60602. The University of Chicago's office address is 5801 S. Ellis Avenue, Chicago, Illinois 60637.

Questions regarding the proposed Project or the Application may be addressed to Carol D. Stubblefield at Neal & Leroy, LLC 120 North LaSalle Street, Suite 2600, Chicago, Illinois 60602 (312) 641-7144.

**PLEASE NOTE: THE APPLICANT IS NOT SEEKING TO REZONE YOUR PROPERTY. THE APPLICANT IS SEEKING TO REZONE THE PROPERTY REFERENCED HEREIN FOR THE CONSTRUCTION OF A NEW CHARTER SCHOOL. THIS NOTICE IS BEING SENT TO YOU BECAUSE YOU OWN PROPERTY WITHIN 250 FEET OF THE BOUNDARIES OF THE PROPERTY.**

Very truly yours,



Carol D. Stubblefield





DEPARTMENT OF PLANNING AND DEVELOPMENT  
CITY OF CHICAGO

Elizabeth L. Shanin  
Associate General Counsel  
Office of Legal Counsel  
The University of Chicago  
5801 S. Ellis Avenue  
Chicago, IL 60637

**RE: Applicant: The University of Chicago**  
**Matter: Proposed Filing of Planned Development Application and Alley Vacation Application**  
**Property: City Land Bounded by W. 63<sup>rd</sup> Street, S. Greenwood Avenue, W. 64<sup>th</sup> Street and S. University Avenue**

Dear Ms. Shanin,

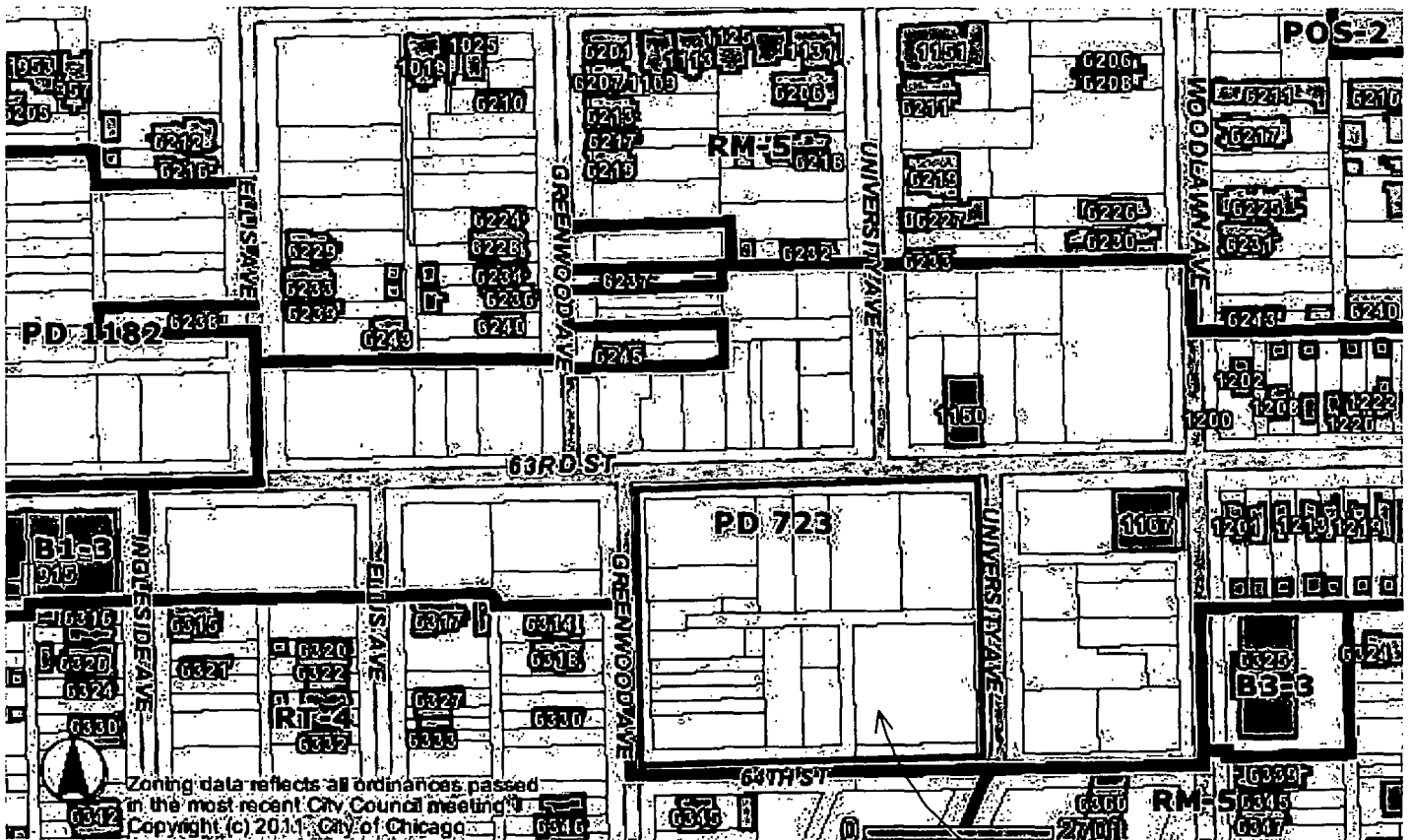
I am aware that The University of Chicago (the "University") is planning a development at the location referenced above. In order to proceed with the development, the University is seeking a Planned Development and an alley vacation. The property, including the property on both sides of the alley, is owned by the City of Chicago. As the Commissioner of the Department of Planning and Development, I consent to the filing of a Planned Development Application and a Vacation Application by the University.

Sincerely,

\_\_\_\_\_  
Andrew Mooney  
Commissioner  
Department of Planning and Development

cc: Patricia Scudiero, Zoning Administrator  
Heather Gleason, Assistant Commissioner  
Maureen West, Assistant Commissioner-CDOT

# Planned Development No. Existing Zoning Map



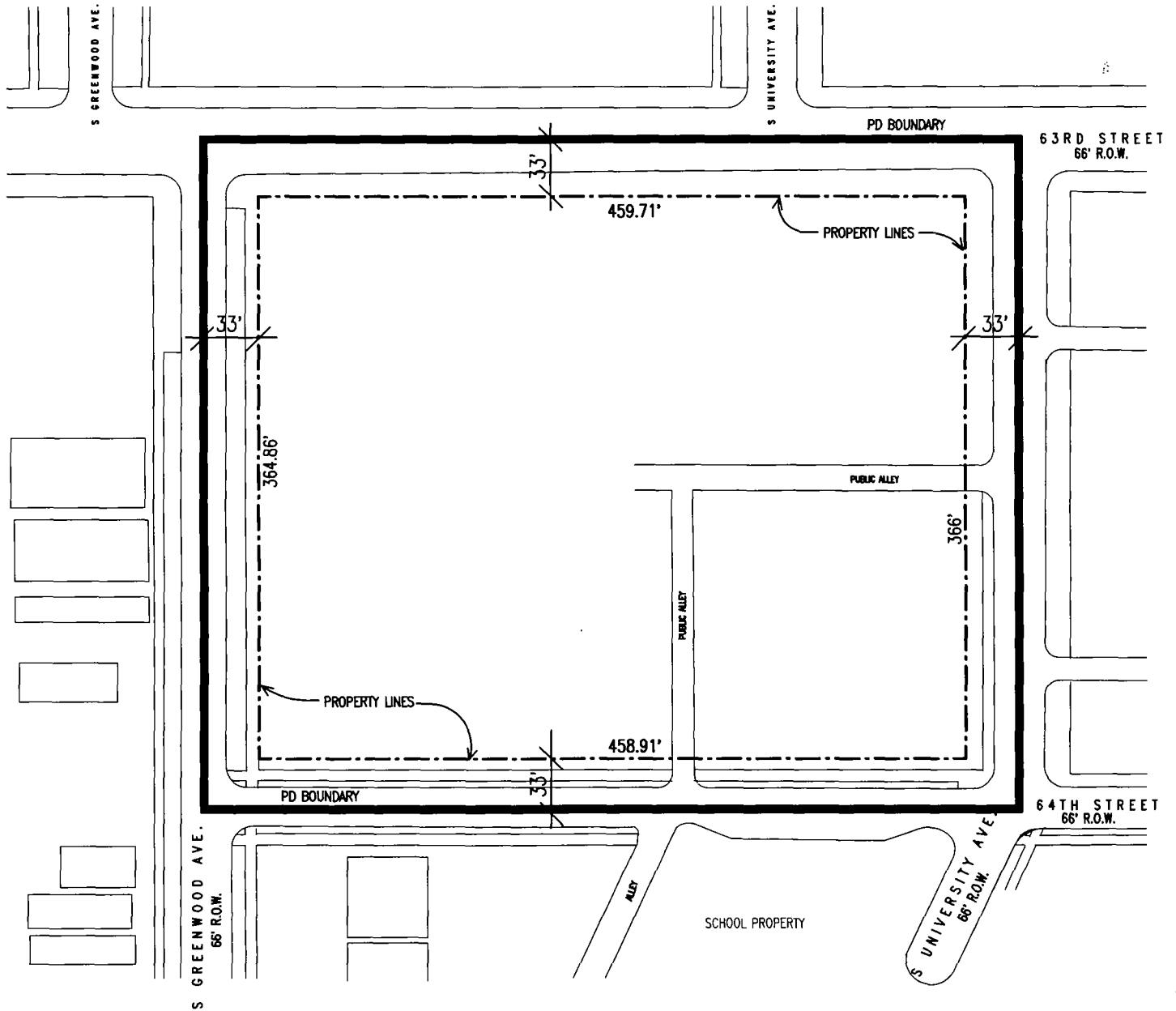
UCCS WOODLAWN  
PROPERTY  
LOCATION



Applicant: University of Chicago  
 Addresses: 1101-1145 East 63rd Street  
 1100-1144 East 64th Street  
 6300-6336 South University Avenue  
 6301-6337 South Greenwood Avenue  
 Date: April 15, 2015

PD-01

# Planned Development No. Property Line Map & PD Boundary Map

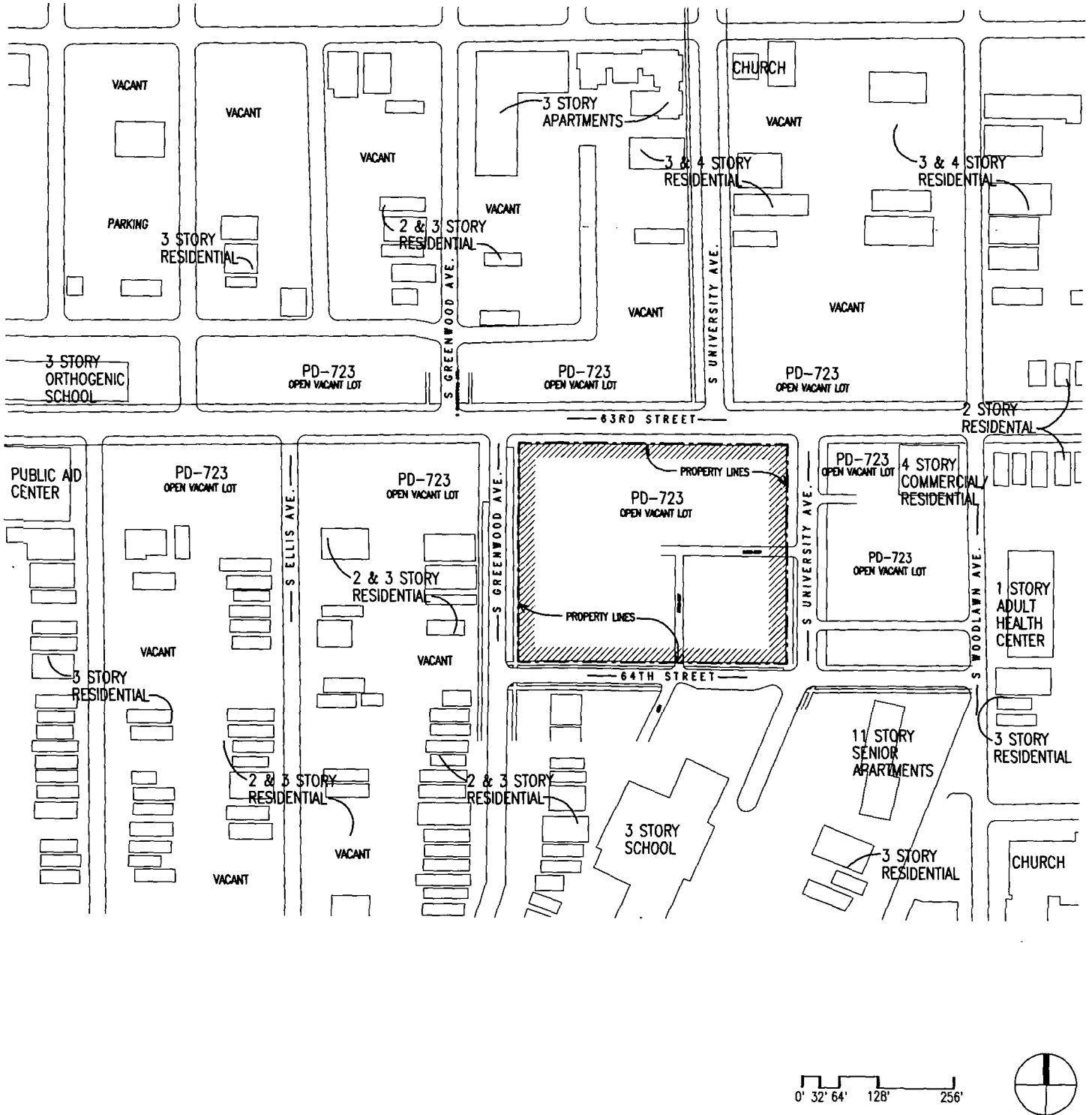


Applicant: University of Chicago  
Addresses: 1101-1145 East 63rd Street  
1100-1144 East 64th Street  
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6301-6337 South Greenwood Avenue

Date: April 15, 2015

PD-02

# Planned Development No. Existing Land Use Map

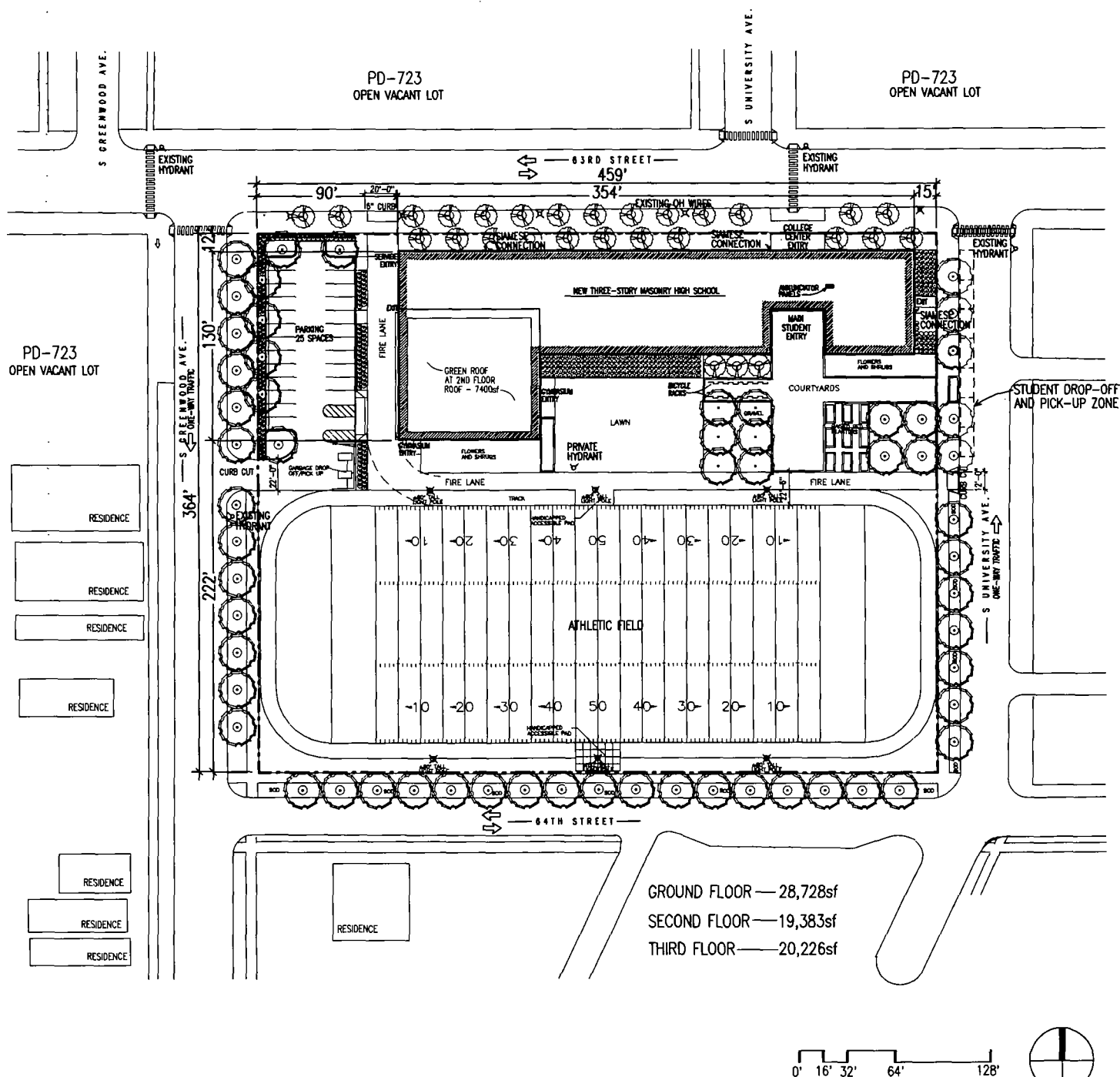


Applicant: University of Chicago  
 Addresses: 1101-1145 East 63rd Street  
 1100-1144 East 64th Street  
 6300-6336 South University Avenue  
 6301-6337 South Greenwood Avenue

Date: April 15, 2015

PD-03

# Planned Development No. Site Plan

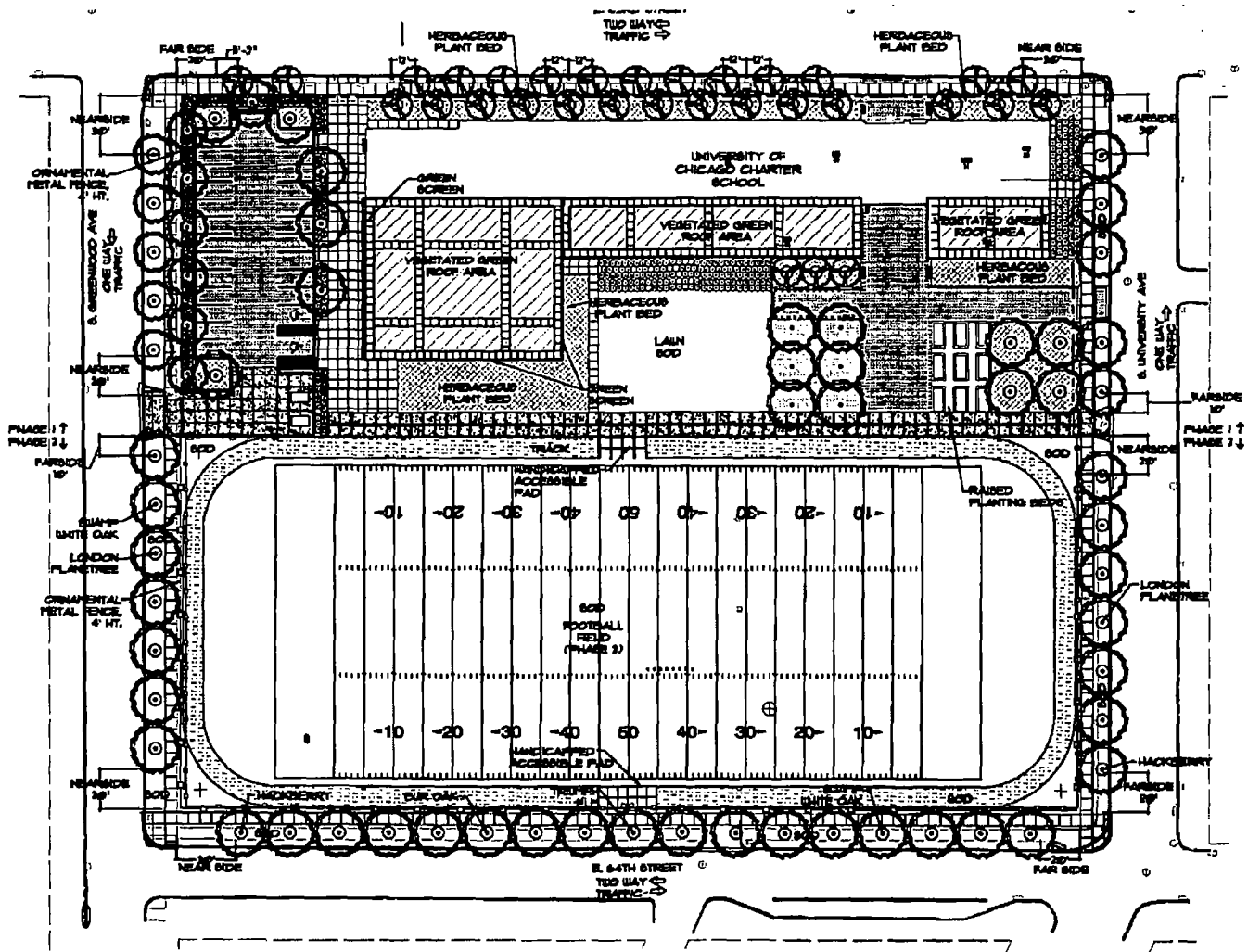


Applicant: University of Chicago  
Addresses: 1101-1145 East 63rd Street  
1100-1144 East 64th Street  
6300-6336 South University Avenue  
6301-6337 South Greenwood Avenue

Date: April 15, 2015

PD-04

# Planned Development No. Landscape Plan

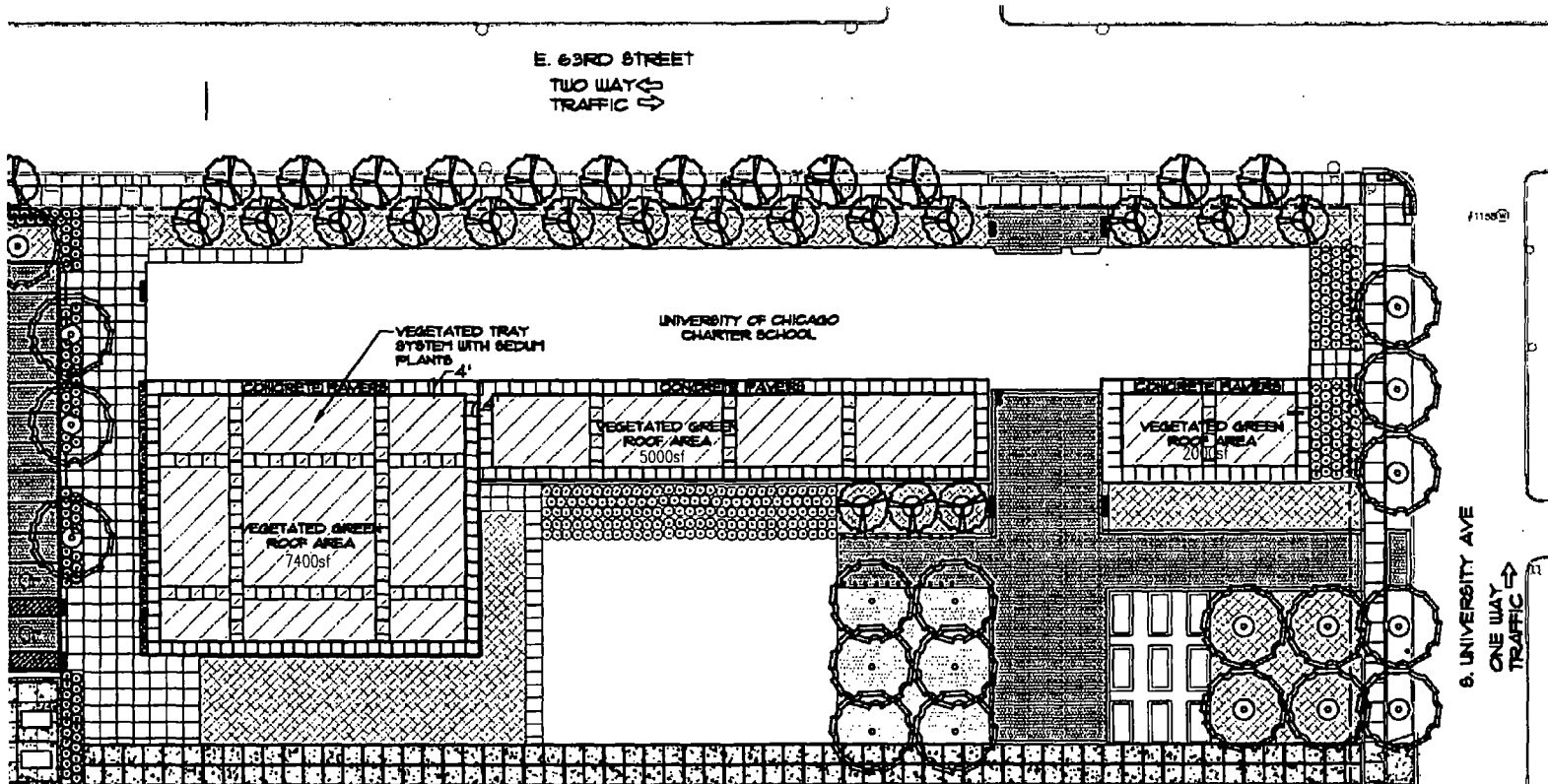


Applicant: University of Chicago  
 Addresses: 1101-1145 East 63rd Street  
 1100-1144 East 64th Street  
 6300-6336 South University Avenue  
 6301-6337 South Greenwood Avenue

Date: April 15, 2015

PD-05

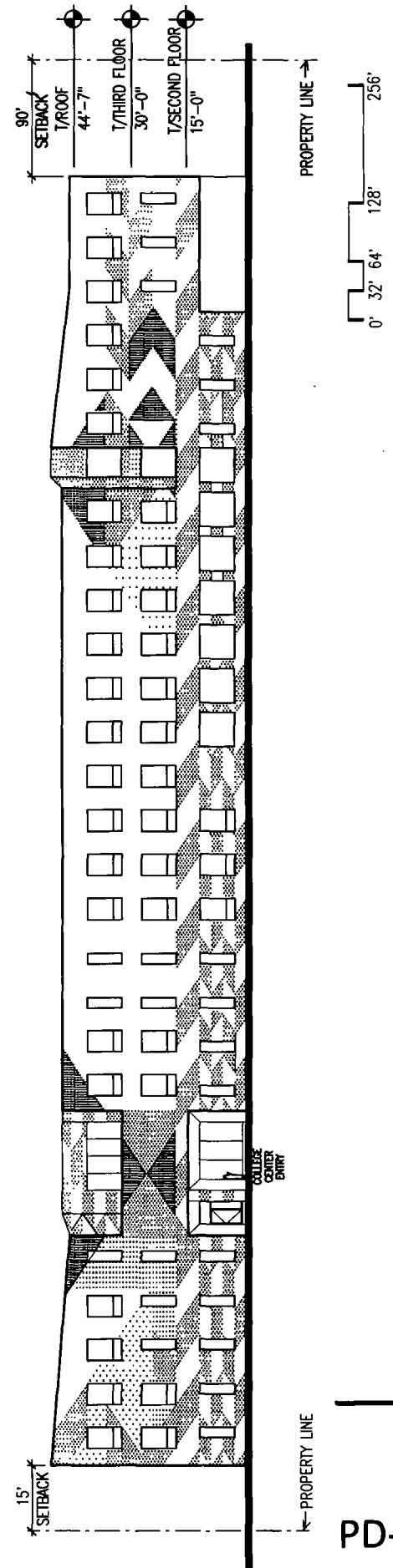
# Planned Development No. Green Roof Plan



Applicant: University of Chicago  
Addresses: 1101-1145 East 63rd Street  
1100-1144 East 64th Street  
6300-6336 South University Avenue  
6301-6337 South Greenwood Avenue  
Date: April 15, 2015

PD-06

# Planned Development No. North Elevation



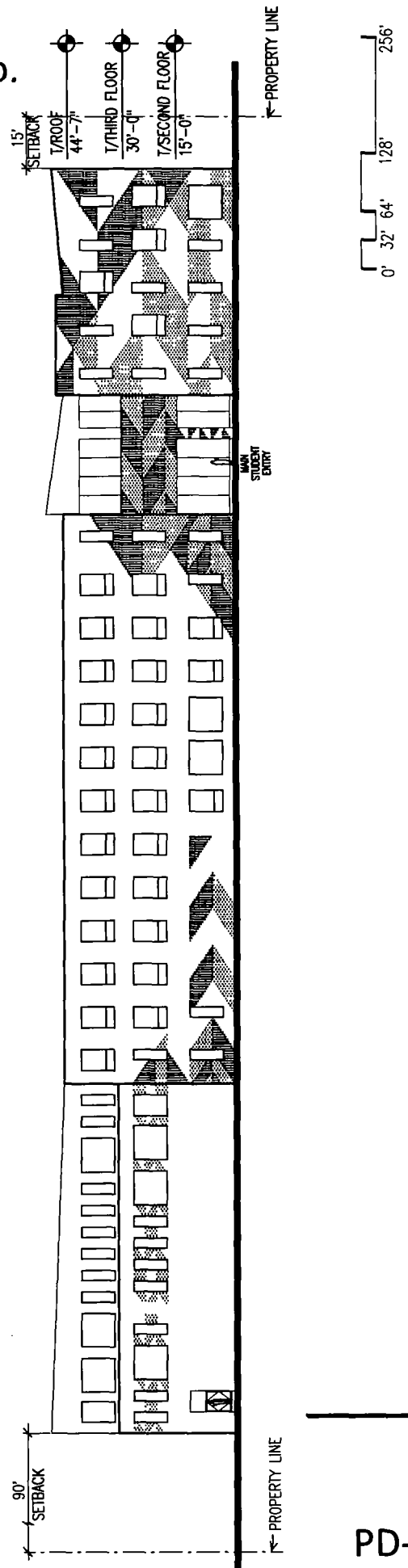
Applicant: University of Chicago  
 Addresses: 1101-1145 East 63rd Street  
 1100-1144 East 64th Street  
 6300-6336 South University Avenue  
 6301-6337 South Greenwood Avenue

Date: April 15, 2015

PD-07



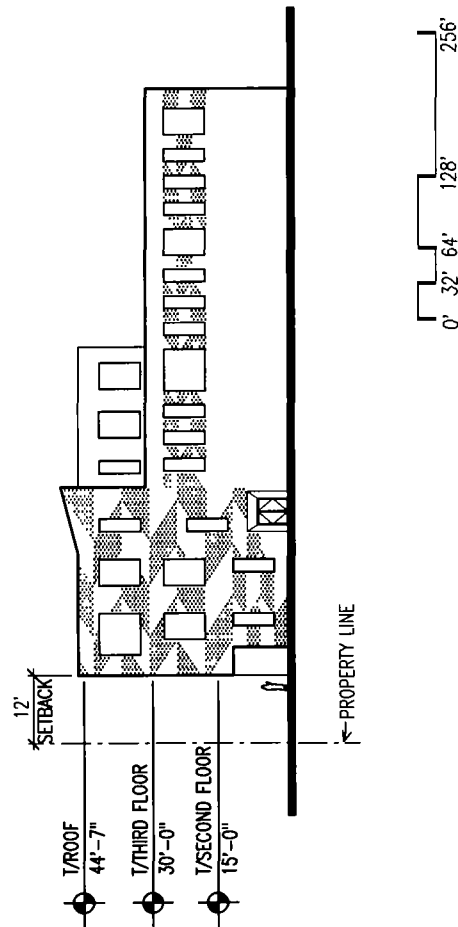
# Planned Development No. South Elevation



Applicant: University of Chicago  
 Addresses: 1101-1145 East 63rd Street  
 1100-1144 East 64th Street  
 6300-6336 South University Avenue  
 6301-6337 South Greenwood Avenue  
 Date: April 15, 2015

PD-08

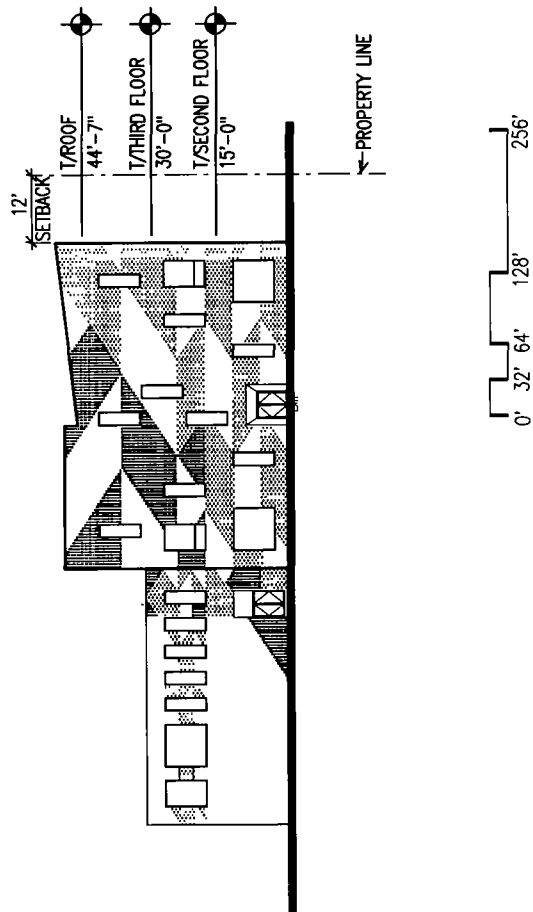
# Planned Development No. West Elevation



Applicant: University of Chicago  
Addresses: 1101-1145 East 63rd Street  
1100-1144 East 64th Street  
6300-6336 South University Avenue  
6301-6337 South Greenwood Avenue  
Date: April 15, 2015

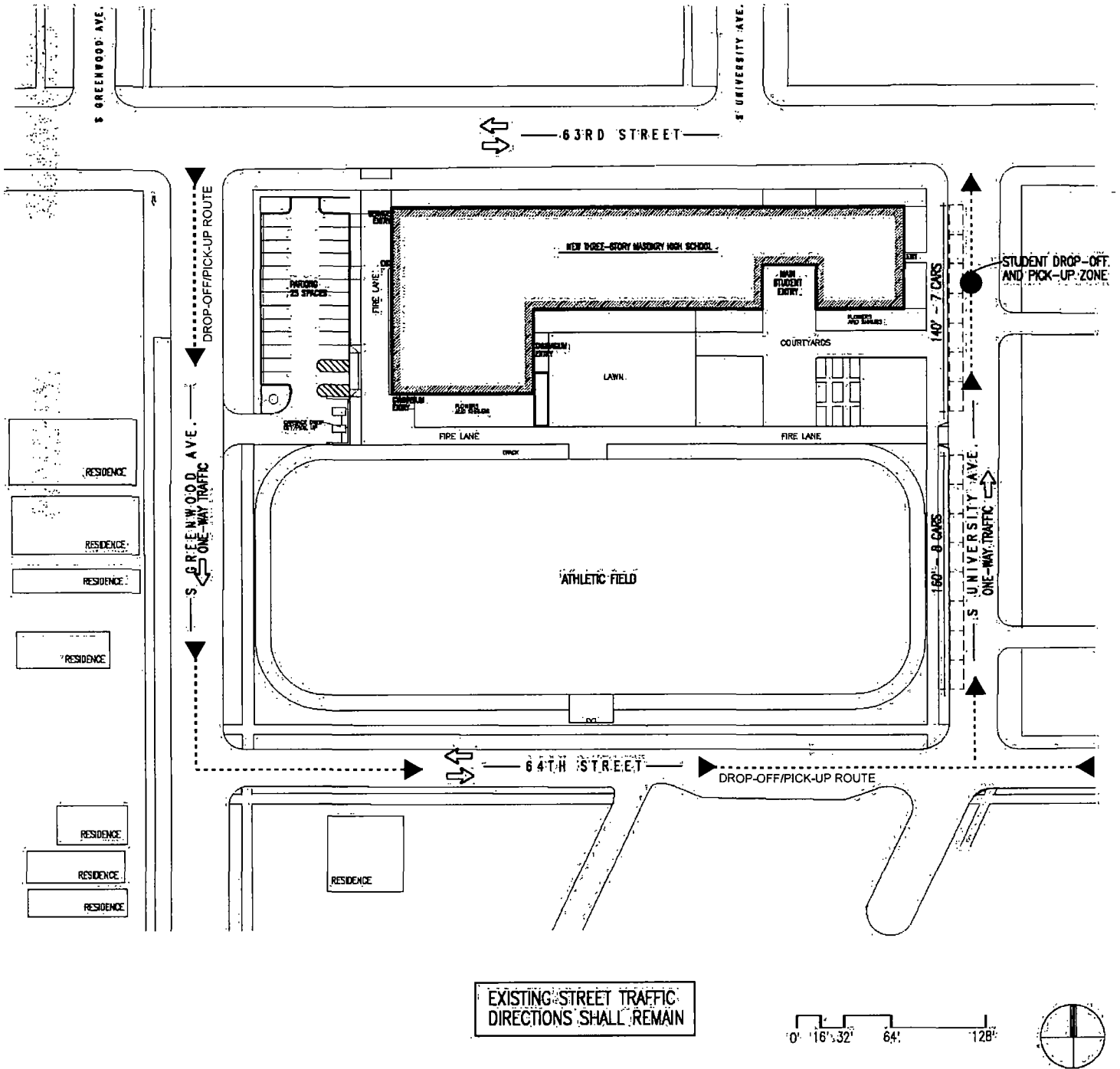
PD-09

# Planned Development No. East Elevation



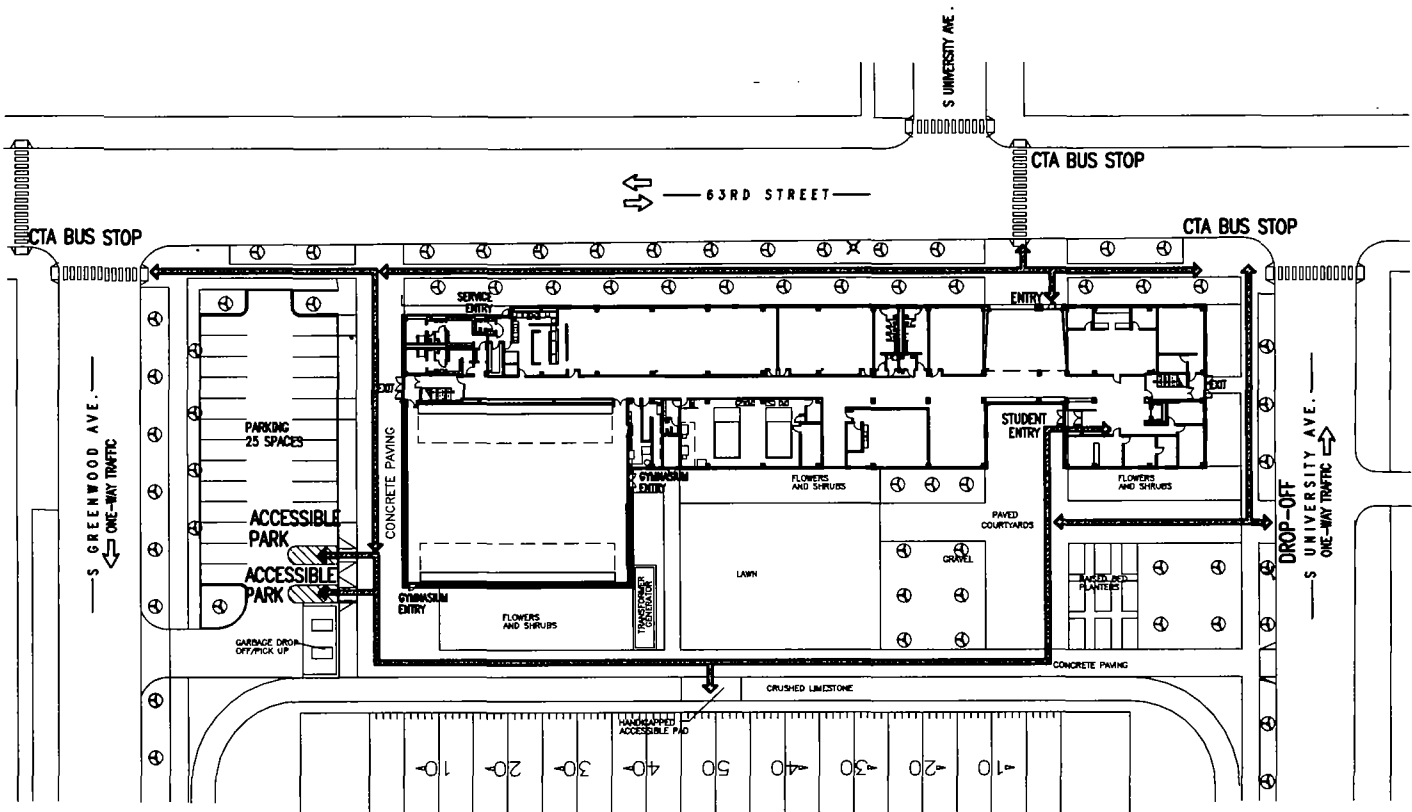
Applicant: University of Chicago  
Addresses: 1101-1145 East 63rd Street  
1100-1144 East 64th Street  
6300-6336 South University Avenue  
6301-6337 South Greenwood Avenue  
Date: April 15, 2015

# Planned Development No. Traffic Plan



Applicant: University of Chicago  
 Addresses: 1101-1145 East 63rd Street  
 1100-1144 East 64th Street  
 6300-6336 South University Avenue  
 6301-6337 South Greenwood Avenue  
 Date: April 15, 2015

# Planned Development No. Accessibility Site Plan



Applicant: University of Chicago  
 Addresses: 1101-1145 East 63rd Street  
 1100-1144 East 64th Street  
 6300-6336 South University Avenue  
 6301-6337 South Greenwood Avenue

Date: April 15, 2015

PD-12

## **The University of Chicago Board of Trustees**

Andrew M. Alper  
Sekhar Bahadur  
David G. Booth  
David B. Brooks  
Debra A. Cafaro  
Thomas A. Cole  
E. David Coolidge III  
James S. Crown  
Katharine P. Darrow  
Daniel L. Doctoroff  
Brady W. Dougan  
Craig J. Duchossois  
John A. Edwardson  
James S. Frank  
Jack W. Fuller  
Timothy M. George  
Rodney L. Goldstein  
Mary Louise Gorno  
Kathryn C. Gould  
Kenneth C. Griffin  
Sanford J. Grossman  
King W. Harris  
Kenneth M. Jacobs  
Karen L. Katen  
Dennis J. Keller  
Steven A. Kersten  
James M. Kilts  
Michael J. Klingensmith  
Michael L. Klowden

Rachel D. Kohler  
Robert W. Lane  
Charles Ashby Lewis  
John Liew  
Peter W. May  
Joseph Neubauer  
Emily Nicklin  
Michael P. Polsky  
Myrtle S. Potter  
Thomas J. Pritzker  
John W. Rogers, Jr.  
Andrew M. Rosenfield  
David M. Rubenstein  
Alvaro J. Saieh  
Nassef O. Sawiris  
Steve G. Stevanovich  
Elizabeth M. Thompson  
Mary A. Tolan  
Byron D. Trott  
Marshall I. Wais  
Gregory W. Wendt  
Donald R. Wilson, Jr.  
Paula Wolff  
Paul G. Yovovich  
Francis T. F. Yuen  
Robert J. Zimmer

**CITY OF CHICAGO**  
**PROPERTY OWNERSHIP**  
**ACQUISITION DATES**

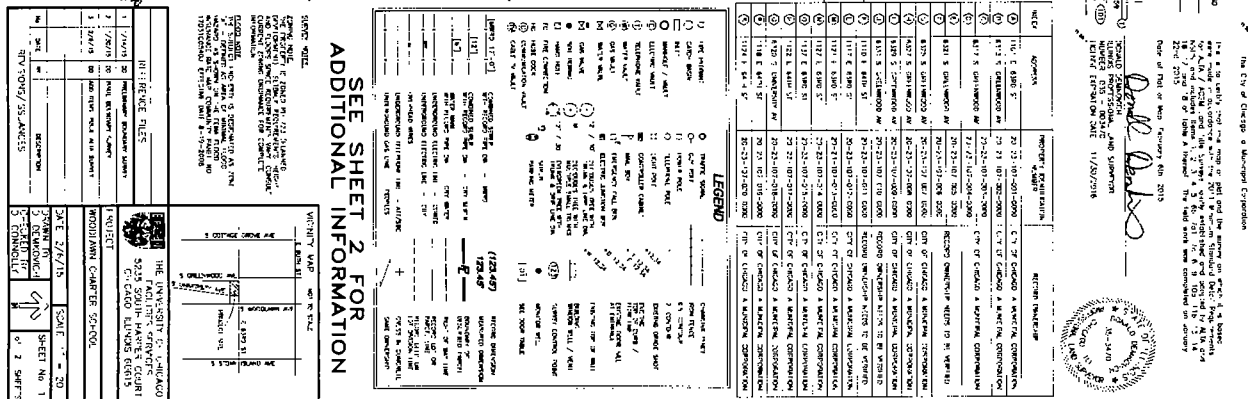
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20-23-107-002-0000	12-23-1998
20-23-107-003-0000	8-18-1995
20-23-107-004-0000	8-18-1995
20-23-107-005-0000	5-4-2000
20-23-107-006-0000	5-4-2000
20-23-107-007-0000	7-12-1999
20-23-107-008-0000	9-30-1999
20-23-107-009-0000	7-1-1999
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20-23-107-013-0000	12-2-1996
20-23-107-014-0000	2-24-1999
20-23-107-015-0000	12-11-1998
20-23-107-017-0000	9-10-1999
20-23-107-018-0000	3-19-1991
20-23-107-019-0000	9-10-1999
20-23-107-020-0000	7-27-1999

APPLICANT:  
ADDRESS:  
DATE:

University of Chicago  
1101 – 1145 East 63rd Street; 1100 – 1144 East 64th Street; 6300 – 6336 South University Avenue;  
and 6301 – 6337 South Greenwood Avenue  
March 18, 2015

**ARDMORE ASSOCIATES**  
33 N. DEARBORN, SUITE 1720  
CHICAGO, IL 60602-1109  
312-753-4200  
CN FRM HFCSTN; ON VO 15-033874  
OAMTSC@CSIDMO.NEAS.XEROX.COM

**ARDMORE ASSOCIATES**  
33 N. DEARBORN, SUITE 1720  
CHICAGO, IL 60602-1109  
312-753-4200  
CN FRM HFCSTN; ON VO 15-033874  
@ArdmoreAssociates.com

[illegible]



#18336

Intro DATE:

04-15-2015

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO  
THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:  
1101-1145 East 63rd Street; 1100-1144 East 64th Street;  
6300-6336 South University Avenue; 6301-6337 South Greenwood Avenue
2. Ward Number that property is located in: 20th
3. APPLICANT The University of Chicago  
ADDRESS 5801 S. Ellis Avenue CITY Chicago  
STATE IL ZIP CODE 60637 PHONE 773-795-3361  
EMAIL ccallow@uchicago.edu CONTACT PERSON Katie Callow-Wright
4. Is the applicant the owner of the property? YES NO ☒  
If the applicant is not the owner of the property, please provide the following information  
regarding the owner and attach written authorization from the owner allowing the application to  
proceed.  
OWNER City of Chicago  
ADDRESS 121 N. LaSalle Street, Room 905 CITY Chicago  
STATE IL ZIP CODE 60602 PHONE 312-744-4477  
EMAIL CONTACT PERSON Michelle Nolan
5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the  
rezoning, please provide the following information:  
ATTORNEY Carol D. Stubblefield, Neal & Leroy, LLC  
ADDRESS 120 N. LaSalle Street, Suite 2600  
CITY Chicago STATE IL ZIP CODE 60601  
PHONE 312-641-7144 FAX 312-641-5137 EMAIL cstubblefield@nealandleroy.com

6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

There are no owners. Please see attached for a list  
of the University of Chicago's Trustees and Officers.

7. On what date did the owner acquire legal title to the subject property? See attached

8. Has the present owner previously rezoned this property? If yes, when?  
Prior Applicants rezoned the property on July 7, 1999 and  
July 28, 2011

9. Present Zoning District PD 723, as amended Proposed Zoning District B3-2 then to IPD

10. Lot size in square feet (or dimensions) 167,844.58 square feet

11. Current Use of the property vacant

12. Reason for rezoning the property Mandatory Planned Development to allow  
for school use in excess of 2 acres.

13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)  
The property will be rezoned to a Planned Development to construct  
an approximately 70,000 square foot, three story, Charter School  
serving middle-school and high-school students.

14. On May 14<sup>th</sup>, 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information)

YES \_\_\_\_\_ NO ☒ \_\_\_\_\_

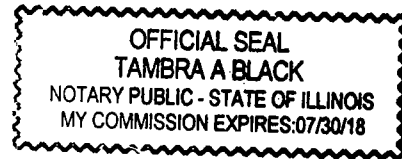
COUNTY OF COOK  
STATE OF ILLINOIS

KIM TAYLOR, being first duly sworn on oath, states that all of the above  
statements and the statements contained in the documents submitted herewith are true and correct.

Kim Taylor  
Signature of Applicant

Subscribed and Sworn to before me this  
11<sup>th</sup> day of February, 20 15.

Tambra A. Black  
Notary Public



**For Office Use Only**

Date of Introduction: \_\_\_\_\_

File Number: \_\_\_\_\_

Ward: \_\_\_\_\_

[illegible]

**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT  
AND AFFIDAVIT**

**SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

The University of Chicago

**Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant  
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: \_\_\_\_\_  
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: \_\_\_\_\_

B. Business address of the Disclosing Party: 5801 South Ellis Avenue  
Chicago, IL 60637

C. Telephone: (773) 702-7749 Fax: (773) 702-0934 Email: kimtaylor@uchicago.edu

D. Name of contact person: Kim Taylor

E. Federal Employer Identification No. (if you have one) \_\_\_\_\_

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Application for rezoning property from PD 723 as amended to B3-2 and then to Planned Development . 1101 – 1145 East 63rd Street; 1100 – 1144 East 64th Street; 6300 – 6336 South University Avenue; and 6301 – 6337 South Greenwood Street.

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_\_\_ and Contract # \_\_\_\_\_

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

<input type="checkbox"/> Person	<input type="checkbox"/> Limited liability company	
<input type="checkbox"/> Publicly registered business corporation	<input type="checkbox"/> Limited liability partnership	
<input type="checkbox"/> Privately held business corporation	<input type="checkbox"/> Joint venture	
<input type="checkbox"/> Sole proprietorship	<input checked="" type="checkbox"/> Not-for-profit corporation	
<input type="checkbox"/> General partnership	(Is the not-for-profit corporation also a 501(c)(3))?	
<input type="checkbox"/> Limited partnership	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<input type="checkbox"/> Trust	<input type="checkbox"/> Other (please specify) _____	

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes

☐ No

☒ N/A

### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

**NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

**NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

There are no Members. Please see Attachment A for a list of The University of Chicago's Trustees and Officers.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
None		

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

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### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
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Please see Attachment B.

(Add sheets if necessary)

☐ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

## SECTION V -- CERTIFICATIONS

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☐ No

☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

### B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.



**2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:**

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;**
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;**
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;**
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and**
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.**

**3. The certifications in subparts 3, 4 and 5 concern:**

- the Disclosing Party;**
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");**
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;**
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").**



Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

Please see Attachment C.

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9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

Please see Attachment D.

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## C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is

☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

**D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS**

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐

Yes

☒

No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐

Yes

☐

No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

**E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS**

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

☒ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

☐ 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

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## SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

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(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

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## **SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE**

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:



F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

The University of Chicago

(Print or type name of Disclosing Party)

By: 

(Sign here)


Kim Taylor

(Print or type name of person signing)

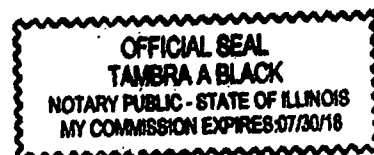
Vice President and General Counsel

(Print or type title of person signing)

Signed and sworn to before me on (date) 1/26/15,  
at Cook County, Illinois (state).

 Notary Public.

Commission expires: 7/30/2018.



**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS**

**This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.**

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
ATTACHMENT A**

**The University of Chicago Board of Trustees**

Andrew M. Alper  
Sekhar Bahadur  
David G. Booth  
David B. Brooks  
Debra A. Cafaro  
Thomas A. Cole  
E. David Coolidge III  
James S. Crown  
Katharine P. Darrow  
Daniel L. Doctoroff  
Brady W. Dougan  
Craig J. Duchossois  
John A. Edwardson  
James S. Frank  
Jack W. Fuller  
Timothy M. George  
Rodney L. Goldstein  
Mary Louise Gorno  
Kathryn C. Gould  
Kenneth C. Griffin  
Sanford J. Grossman  
King W. Harris  
Kenneth M. Jacobs  
Karen L. Katen  
Dennis J. Keller  
Steven A. Kersten  
James M. Kilts  
Michael J. Klingensmith  
Michael L. Klowden

Rachel D. Kohler  
Robert W. Lane  
Charles Ashby Lewis  
John Liew  
Peter W. May  
Joseph Neubauer  
Emily Nicklin  
Michael P. Polsky  
Myrtle S. Potter  
Thomas J. Pritzker  
John W. Rogers, Jr.  
Andrew M. Rosenfield  
David M. Rubenstein  
Alvaro J. Saieh  
Nassef O. Sawiris  
Steve G. Stevanovich  
Elizabeth M. Thompson  
Mary A. Tolan  
Byron D. Trott  
Marshall I. Wais  
Gregory W. Wendt  
Donald R. Wilson, Jr.  
Paula Wolff  
Paul G. Yovovich  
Francis T. F. Yuen  
Robert J. Zimmer

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
ATTACHMENT A CONTINUED**

**The University of Chicago Officers**

Robert J. Zimmer, President

Eric D. Isaacs, Provost

Karren Warren Coleman, Vice President for Campus Life and Student Services

Derek R. B. Douglas, Vice President for Civic Engagement

David B. Fithian, Executive Vice President

Richard F. Iorio, Vice President and Chief Human Resource Officer

Donald H. Levy, Vice President for Research and for National Laboratories

Kenneth Manotti, Vice President for Alumni Relations and Development

Rowan A. Miranda, Vice President for Operations and Chief Financial Officer

James G. Nondorf, Vice President for Enrollment and Student Advancement, Dean of College Admissions and Financial Aid

Julie A. Peterson, Vice President for Communications

Kenneth S. Polonsky, Executive Vice President for Medical Affairs; Dean, Biological Sciences Division and the Pritzker School of Medicine

Darren Reisberg, Secretary

Mark A. Schmid, Vice President and Chief Investment Officer

Ian H. Solomon, Vice President for Global Engagement

Kim Taylor, Vice President and General Counsel

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
ATTACHMENT B**

<b>Name (indicate whether retained or anticipated to be retained)</b>	<b>Business Address</b>	<b>Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)</b>	<b>Fees (indicate whether paid or estimated.)</b>
Neal & Leroy, LLC (retained)	120 N. LaSalle St. Suite 2600 Chicago, IL 60602	Attorney	\$10,000 (estimated)

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
ATTACHMENT C**

**Current employees of The University of Chicago who were, within the previous 12 months, an employee, or elected or appointed official, of the City of Chicago**

<b>Name</b>	<b>University Position</b>	<b>University Start Date</b>
Edith Bamberger	Executive Administrator, Biological Sciences Division	September 8, 2014
Charna Epstein	Chief Operating Officer, Urban Education Institute	February 18, 2014
Jane Thu Giang Le	Assistant Director of Admissions, Harris School of Public Policy	July 21, 2014
Iman Little	Research Specialist	June 16, 2014
Eddie Pan	Assistant Director, Fellows Program, Institute of Politics	August 25, 2014
Micaela Vargas	Executive Assistant to Chief of Staff, Office of Civic Engagement	February 10, 2014

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
ATTACHMENT D**

**All gifts that The University of Chicago has given or caused to be given, during the previous 12 months, to an employee, or elected or appointed official, of the City of Chicago**

The University of Chicago operates a number of programs that place student interns or fellows in neighborhood settings to gain valuable work experience and to serve the larger community. Placements are made in various organizations including some operated by the City of Chicago, such as neighborhood schools and local elected officials' offices.

The University of Chicago hosted a community reception followed by a dinner at the President of the University's house on November 11, 2014. These events were attended by various civic leaders and elected officials.

The University of Chicago's Institute of Politics has given branded fleeces to guest speakers and internship mentors at the University. Recent City employees who have received such a fleece include: Sean Rapelyea, Tonantzin Carmona, Claudia Chavez, Adolfo Hernandez, Tony Iweagwu, Steve Koch and Garry McCarthy. These fleeces are valued at approximately \$30 each.

The University's Harris School of Public Policy held a forum for chief financial officers on May 1 and 2, 2014. A dinner was held for attendees on May 1, 2014 at The Little Goat restaurant. A cookbook authored by Stephanie Izard and valued at approximately \$21 was offered as a gift to each dinner attendee. Lois Scott and Lucien Carter attended this event and dinner and may have accepted the cookbook as a gift.

**CITY OF CHICAGO  
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT  
APPENDIX B**

**BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

**This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.**

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

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**FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.**