

## City of Chicago



O2015-4642

# Office of the City Clerk Document Tracking Sheet

**Meeting Date:** 6/17/2015

**Sponsor(s):** City Clerk (transmitted by)

Type: Ordinance

Title: Zoning Reclassification Map No. 1-E at 115-479 E Wacker

Dr, 150-244 N Lake Shore Dr, 200-474 E Randolph St, 119-125 E Lake St, 201-231 N Michigan Ave, 200-336 N Stetson Ave, 151-335 N Stetson Ave, 150-324 N Columbus Dr, 151-323 N Columbus Dr, 150-250 N Field Blvd and 151-251 N

Field Blvd - App No. 18420

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

# 18420 IN+PRO DATE: PUNE 17,2015

### **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO

SECTION 1: Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance is hereby amended by changing all the Residential Business Planned Development No. 70, As Amended symbols and indications as shown on Map No. 1-E in an area bounded by:

The south right-of-way line of East Wacker Drive or the line thereof extended where no street exists; a line 3,095 feet east of the west line of North Michigan Avenue; a line 140 feet south of the north line of East Randolph Street, or the line thereof if extended where no street exists; a line 2,022 feet east of the west line of North Michigan Avenue; the north line of East Randolph Street; the east line of North Stetson Street; the north line of East Lake Street; North Michigan Avenue; the north line of East South Water Street; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; the south line of the alley next north of and parallel to East South Water Street; the east line of the alley next east of and parallel to North Michigan Avenue; a line 138.74 feet north of the north line of the alley next north of and parallel to East South Water Street, or the line thereof if extended where no alley exists; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; a line 428.773 feet north of the north line of East South Water Street; a line 19.69 feet west of the east line of north Beaubien Court, or the line thereof if extended where no street exists; a line468.819 feet north of East South Water Street; and a line 68.43 feet east of the east line of North Beaubien Court, or the line thereof if extended where no street exists;

to the designation of Residential Business Planned Development No. 70, As Amended which is hereby established in the area above described, subject to such use and bulk regulations as are set forth in the Plan of Development herewith attached and made a part thereof and to no others.

SECTION 2: This Ordinance shall be in force and effect from after its passage.

Residential-Business Planned Development Number 70, As Amended 2015

Planned Development Statements

1. The area delineated herein as Residential-Business Planned Development Number 70, as

amended ("the Planned Development") consists of approximately one million eight hundred

fifty-eight thousand nine hundred twenty-two (1,858,922) square feet (forty-two and sixty-seven

hundredths (42.67) acres) of property (the "Property") which is divided into seven (7) subareas as

depicted in the attached subarea map. Subareas A, B, C, D, F and G have been substantially

developed in a manner consistent with this Planned Development. Subarea E is controlled by the

applicant, Lakeshore East, L.L.C.

2. All necessary official reviews, approvals or permits are required to be obtained by the

applicant as to Subarea E and by the respective owners of the property to Subareas A, B, C, D, F

and G.

3. The requirements, obligations and conditions contained within the Planned Development

shall be binding upon the applicant, its successors and assigns and, if different than the applicant,

the legal title holder and any ground lessors with respect to Subarea E and to the respective

owners, their successors and assigns with respect to Subareas A, B, C, D, F and G. All rights

granted hereunder to the applicant shall inure to the benefit of the applicant's successors and

assigns and if different than the applicant, then to the owners of record title to all of the Property

and any ground lessors with respect to Subarea E and to the respective owners, their successors

and assigns with respect to Subareas A, B, C, D, F and G. With respect to Subarea E, the

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applicant is hereby designated as the controlling entity for purposes of this Planned Development.

- 4. This plan of development consists of these seventeen (17) statements; a Bulk Regulations and Data Table; an Existing Zoning Map; a Planned Development Boundary Map; a Subarea Map; a Net Developable Area Map for Subarea E; a Generalized Land-Use Plan for Subarea E; a Pededstrian Walkway System – Pedway Level Plan; a Subarea E Parcelization Plans (Upper Level, Intermediate Level and Lower Level); Subarea E Right-of-Way Adjustment Maps and a Subarea E Public Park Zone Map; Boundary Plans; Site Plans for Wanda Vista; Green Roof Plan for Wanda Vista; Elevations for Wanda Vista dated June 17, 2015 prepared by Loewenberg Architects. Full size sets of the exhibits are on file with the Department of Planning and Development. The Planned Development is applicable to the area delineated hereto and these and no other zoning controls shall apply to the Property, provided, however, that the development of the Property shall also be subject to an ordinance adopted by the City Council and known as the 2000 Amendatory Lakefront Ordinance and also subject to the Lakeshore East Master Plan and, Design Standards dated June 10, 2015 prepared by Loewenberg Architects.
- 5. (A) Within Subareas A, B, C, D, F and G at the elevations above Chicago City Datum indicated, provided that these elevations may be varied by plus or minus five (+/- 5) feet or as otherwise necessary to meet existing conditions, and subject to the condition in statement 12 and in the Bulk Regulations and Data Table, the following uses are permitted:

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Lower Level

(+ 8.0 feet) Illinois Central Gulf tracks and facilities; warehousing and

storage; trucking; public esplanade park along the Chicago

River; and accessory uses including automobile parking

and hotel uses. (Major service access level)

Interim Level Accessory uses including automobile parking and hotel

uses.

Intermediate Level

(+ 26.0 feet) Accessory uses including automobile parking and hotel

uses. (Vehicular Access Level)

Interim Level Accessory uses including retail sales, service used

automobile parking and hotel uses.

Arcade Level Pedestrian walkways; public park; and accessory uses

including retail sales, service uses, automobile parking and

hotel uses.

Upper Level

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(+53.0 feet) and above

Apartment, office, mixed use (apartment-office) and

hotel buildings; accessory uses including retail sales

and service uses; related uses; and pedestrian

walkways. (vehicular right-of-way and access

level),

(B) Within Subarea E, the following uses are permitted: public parks; public school;

commercial uses, retail uses, hotel uses, residential uses, business uses, office uses, religious and

institutional uses, warehousing and storage (at the Lower Level only) and accessory uses

In addition, the following uses shall be permitted in all subareas and at all levels subject

to the review and approval of the Department of Planning and Development:

(i) broadcast and telecommunication structures, equipment and installations

including parabolic transmitting and receiving antennae;

townhouses and any land-use accessory to a principal use at any level and not (ii)

specifically authorized in statements 5(A) and (B); and

(iii) public utility and public service uses necessary to serve the development

including, but not limited to district electrical generation and utility substations under this

Planned Development; and

(iv) district cooling and heating.

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- 6. Business identification signs affixed to the face of or recessed into a building or structure shall be permitted within the Planned Development, subject to the review and approval of the Department 'of Planning and Development. Temporary construction and marketing signs shall be permitted subject to the review and approval of the Department of Planning and Development. No advertising signs shall be permitted within the Planned Development.
- 7. Any dedication or vacation of streets, alleys or easements or any adjustment of rights-of-way shall require a separate submittal on behalf of the applicant if within Subarea E, and the respective owners of the property within Subareas A, B, C, D, F and G if within those subareas, and approval by the City Council.
- 8. Off-street parking and loading facilities shall be provided in compliance with this Planned Development subject to the review of the Department of Transportation and the approval of the Department of Planning and Development. A minimum of two percent (2%) of all parking spaces provided within the Planned Development, in parking structures or areas developed after the effective date hereof, shall be designated and designed for parking for the handicapped.
- 9. Any service drive or other ingress or egress shall be adequately designed and paved, in accordance with the regulations of the Department of Transportation in effect at the time of construction and in compliance with the Municipal Code of the City of Chicago, to provide ingress and egress for motor vehicles, including emergency vehicles. There shall be no parking within such paved areas except where provided in public street areas as permitted by the Department of Transportation. Ingress and egress shall be subject to the review and approval of

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the Bureau of Traffic Engineering and Operations and of the Commissioner of Planning and Development.

- 10. In addition to the maximum height of the buildings and any appurtenances thereto prescribed in this Planned Development, the height of any improvement shall also be subject to height limitations approved by the Federal Aviation Administration.
- 11. This Planned Development shall be subject to the "Rules, Regulations and Procedures in relation to Planned Development Amendments" as promulgated by the Commissioner of the Department of Planning and Development and in effect on the date hereof.
- 12. Subareas A, B, C, D, F and G already have been substantially developed. The further development or redevelopment of properties within Subareas A, B, C, D, F and G shall be subject only to the regulations in Subsections A through G below. The development of Subarea E shall be completed in accordance with all of the regulations contained herein and in accordance with the Guidelines of the Lakeshore East Master Plan and Design Standards dated June 10, 2015.

### (A) Net Developable Area.

For purposes of Floor Area Ratio calculations, the definitions in the Chicago Zoning Ordinance shall apply, provided, however, that "Net Developable Area" refers to the net site area at the Upper Level. The Upper Level is typically at approximately + 53.0 feet above Chicago City Datum but may be lower depending on factors such as road levels and the location of building entrances and exits as determined by the applicant. Floor Area below the Upper Level shall not be included in calculating the total number of square feet of development unless the principal

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entrance to the building is located below the Upper Level and, in that event, the level of the principal entrance to the building shall be considered "curb level" for purposes of the Chicago Zoning Ordinance.

- (B) Bulk And Density Regulations.
- 1) Warehousing and storage uses permitted as principal uses in Statement Number 5 shall be limited to four hundred thousand (400,000) square feet and shall be established in accordance with the DX-16 Downtown Mixed-Use District regulations existing on the effective date of this Planned Development.
- 2) With regard to areas devoted as a principal use to office, hotel and residential uses and retail sales and service uses, the following maximums shall apply:

Office, maximum floor area = 12,000,000 square feet

Hotel, maximum number of rooms/keys = 5,550

Residential maximum number of dwelling units = 9,050 units

Retail sales and service, Maximum floor area = 1,325,000 square feet

Provided, however, that in calculating these maximums, ballrooms, meeting rooms, exhibition space and eating facilities associated with a hotel use and located at or above the established Upper Level shall be chargeable against the maximum permitted floor area for principal retail sales and service uses.

3) The applicant may increase the maximum number of dwelling units allowed by up to three thousand one hundred eighty-three (3,183) dwelling units by converting a portion of the maximum allowed office space and/or a portion of the maximum allowed hotel

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rooms/keys. The applicant may increase the maximum amount of office space by up to two million (2,000,000) square feet by converting a portion of the maximum dwelling units and/or a portion of the maximum number of hotel rooms/keys. The applicant may increase the maximum number of hotel rooms/keys by up one thousand (1,000) rooms/keys by converting a portion of the maximum allowed office space and/or a portion of the maximum allowed dwelling units. For purposes of this conversion one thousand (1,000) square feet of office space shall be equal to one (1) dwelling unit, two (2) hotel rooms/keys shall be equal to one (1) dwelling unit, and one thousand (1,000) square feet of the office space shall be equal to two (2) hotel rooms/keys.

- 4) Except for Subarea E, the number of efficiency units within this Planned Development shall not exceed thirty percent (30%) of the number of permitted dwelling units. The number of efficiency units in Subarea E shall not exceed fifteen percent (15%).
- 5) To the extent this Planned Development does not cover all items required for development, the Chicago Zoning Ordinance shall apply as follows: warehousing and storage at the Lower Level shall be in general conformity with the DX-16 Downtown Mixed-Use District regulations; permitted office, hotel and retail used shall be in general conformity with the DX-16 Downtown Mixed-Use District regulations; and residential uses shall be in general conformity with the DR-10 Downtown Residential District regulations.
  - (C) Maximum Permitted Site Coverage.
- 1) East of North Stetson Avenue: (except for Subarea E) fifty percent (50%) between Upper Level and plus thirty (+30) feet above the Upper Level (sixty percent (60%) on a

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single parcel provided that a single parcel may contain multiple buildings); and forty percent (40%) above plus thirty (+30) feet above the Upper Level.

2) West of North Stetson Avenue: eighty percent (80%) between the Upper

Level and plus seventy-five (+75) feet above the Upper Level; and sixty percent (60%) above

plus seventy-five (+75) feet above the Upper Level.

3) Subarea E: Site coverage is controlled by the Parcel Design Criteria as

described in the Master Plan and Design Standards dated June 10, 2015.

(D) Periphery Setbacks And Minimum Distance Between Buildings.

1) For Subareas A, B, C, D, F and G:

Minimum Distance Between Building Faces at Upper Level: eighty and

zero-tenths (80.0) feet.

Minimum Distance Between Building Corners or Building Face-to-Corner

at Upper Level: sixty and zero-tenths (60.0) feet.

Periphery setback and distance provisions may be adjusted where required

to allow flexibility of architectural or site design arrangement, subject to the approval of the

Department of Planning and Development.

2) For Subarea E: Setbacks are controlled by the Master Plan and Design

Standards dated June 10, 2015.

(E) Parking

1) Minimum Requirements for other uses:

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Office building: one (1) space per three thousand five hundred (3,500)

square feet.

Hotel: one (1) space per three (3) rooms/keys.

Residential building: spaces equal to fifty-five percent (55%) of the

dwelling units including efficiency units, provided that with respect to townhome units a

minimum of one (1) parking space per dwelling unit shall be required. Subarea E shall require

spaces equal to forty percent (40%) of the dwelling units including efficiency units.

Compact spaces accessory to a use may be provided within a garage once

the applicant has satisfied the minimum parking requirements subject to the review and approval

of the Department of Transportation.

2) Location

All parking spaces required to serve buildings or uses shall be located on

the same parcel as the building or use served, or (i) if a residential use, within five hundred (500)

feet walking distance measured from the property line; or (ii) if a non-residential use, within one

thousand (1,000) feet walking distance measured from the property line.

3) Vehicular entrances and exits to accessory automobile parking areas shall

be located in conformance with the Automobile Entrance Zone Maps attached hereto. Provided,

however, that temporary driveways shall be permitted within the restricted areas depicted on the

Automobile Entrance Zone Maps when necessitated by division of parcels and subject to the

review and approval of the Department of Transportation.

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4) The location, geometrics and operation of all interior or local ramps adjoining any structure at the Upper Level shall be subject to the review of the Department of Transportation and the approval of the Department of Planning and Development.

### (F) Loading.

Minimum off-street loading shall be provided in accordance with the regulations applicable in the DR-10 Downtown Residential District and DX-12 Downtown Mixed-Use District of the Chicago Zoning Ordinance existing on the effective date hereof. The location of loading berths shall be subject to the review of the Department of Transportation and the approval of the Department of Planning and Development.

### (G) Construction Of Public Improvements.

No certificate of occupancy shall be issued for any improvement located within a parcel in the development until such time as the Applicant for the certificate produces evidence that construction of public improvements related to the improvement located within a parcel in said development has been completed, is under construction or is under contract for construction, or that adequate access can be provided, all as certified by the Department of Transportation and approved by the Department of Planning and Development. The vertical connections (handicap accessible) adjacent to the Neighborhood Park shall be installed prior to the issuance of a Certificate of Occupancy for the building containing said vertical connection. In addition, the applicant shall use its best efforts to provide landscaping in the parkway area of North Columbus Drive along the frontage of said street adjacent to Subarea E subject to the review and approval of the Department of Planning and Development and the Department of Transportation.

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### (H) Public Park.

Applicant has provided the Neighborhood Park depicted on the Public Park Zone map and has dedicated the fee simple title of the Neighborhood Park to the Chicago Park District. The City of Chicago acknowledges that this satisfies all open space impact fee requirements of the applicant in the development of Subarea E.

### (I) Public Elementary School.

Provided the applicant is instructed to proceed by the City of Chicago, the Chicago Board of Education and the Chicago Park District, the applicant shall be responsible for the construction of a forty-five thousand (45,000) square foot portion of a fifty-three thousand (53,000) square foot structure to contain a public elementary school with space to be shared with the Chicago Park District to be substantially completed on or before June 30, 2020.

### (J) Pedestrian Walkways.

The pedestrian walkways depicted on the Pedway Level Pedestrian Walkway

System Map shall consist of an enclosed all-weather walkway (as depicted on the Pedway Level

Pedestrian Walkway System Map), designed to accommodate pedestrian movement at the

Arcade Level and/or other levels as depicted in the Pedway Level Pedestrian Walkway System

Map attached hereto. It shall be the responsibility of the applicant to provide continuous

pedestrian walkways at the Arcade Level and/or other levels within Subarea E pursuant to the

Master Plan and Design Standards subject to the review of the Department of Transportation and
the approval of the Department of Planning and Development.

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(K) In addition to other requirements contained within these Statements, the Applicant

shall cause the following design principles to be implemented:

1) Improve the connection from E. South Water Street to Wacker Drive,

including pedestrian safety measures and traffic calming measures at the intersection of Field

Boulevard and Wacker Drive;

2) Create a roadway connection from Waterside Drive (upper level) to Wacker

Drive, including traffic calming and pedestrian safety measures;

3) Create a pedestrian connection at the upper level from Parcel A2 (Tides) to

Parcel B2 (Shoreham), which shall include a terrace overlook with specialty paving, landscaping

and accent lighting;

4) Create a pedestrian connection at the upper level from the east end of

Wacker Drive to Parcel B1 (Regatta), which shall include specialty paving, landscaping and

accent lighting;

5) Create a pedestrian terrace overlook at the new Wacker Drive extension

(upper level), which shall include specialty paving, pedestrian seating, accent lighting and

significant landscape planters;

6) Create a pedestrian connection at the Pedway level from the east property

line of the parcel occupying the western portion of Parcel D1 (GEMS) to the property occupying

the eastern portion of Parcel D1;

Create a Vertical Connection from the Upper Level to the Lower Level,

with access to the Pedway level as well;

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8) And the character of the through building public pedestrian connections to

Wacker Drive, at both the upper and lower levels, shall be pedestrian friendly, i.e., it shall

include adequate lighting for safety, decorative paving and a clear marked pedestrian zone.

All the above items shall be subject to further coordination and input with/from the Chicago

Department of Transportation and the Department of Planning and Development.

13. Prior to the issuance by the Department of Planning and Development of a determination

pursuant to Section17-13-0610 of the Chicago Zoning Ordinance ("Part II approval") for

development or redevelopment of any development parcels within the Planned Development,

other than alterations to existing buildings which do not increase their height or alter their

footprints, a Site Plan for the proposed development, including parking areas, shall be submitted

to the Commissioner of the Department of Planning and Development for approval. Review and

approval of the Site Plan by the Commissioner is intended to assure that specific development

proposals conform with the general design standards in Statement 12, is consistent with the

intent of the Lakeshore East Master Plan and Design Standards dated June 10, 2015 and to

ensure coordination of public improvements described in statements 12(G), 12(H) and 12(I). No

Part II approval for work for which a Site Plan must be submitted to the Commissioner shall be

granted until the Site Plan has been approved by the Commissioner. Further, all Part II submittals

shall be in compliance with the Chicago Landscape Ordinance. Following approval of a Site Plan

by the Commissioner, the approved plan shall be kept on permanent file with the Department of

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Planning and Development and shall be deemed to be an integral part of this Planned

Development. The approved Site Plan may be changed by the provisions of Section 17-13-0611

of the Chicago Zoning Ordinance. A Site Plan shall, at a minimum, provide the following

information with respect to the proposed improvements:

(1) the boundaries of the Property;

(2) the footprint of the improvements;

(3) location and dimensions of all loading berths;

(4) preliminary landscaping plan prepared by a landscape architect with final

landscaping plan to be approved at Part II stage;

(5) all pedestrian circulation routes;

(6) the location of any adjacent public improvements;

(7) a signage plan for any building where retail or theater uses would be present

above the ground level;

(8) preliminary building sections and elevations of the improvements with a

preliminary building materials list; and

(9) statistical information applicable to the Property limited to the following:

(a) floor area and floor area ratio;

(b) uses to be established;

(c) building heights; and

(d) all setbacks, required and provided.

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A Site Plan shall include such other information as may be necessary to illustrate conformance with the applicable provisions of this Planned Development.

- 14. The terms, conditions and exhibits of this Planned Development ordinance may be modified administratively by the Commissioner of the Department of Planning and Development upon the application for such a modification by the Applicant and after a determination by the Commissioner of the Department of Planning and Development that such a modification is minor, appropriate and consistent with the nature of the improvements contemplated in this Planned Development and the purposes underlying the provisions hereof. Any such modification of the requirements of this statement by the Commissioner of the Department of Planning shall be deemed to be a minor change in the Planned Development as contemplated by Section 17-13-0611 of the Chicago Zoning Ordinance.
- 15. The applicant acknowledges that it is in the public interest to design, construct and maintain all buildings in a manner which provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. At the time of a hearing before the Chicago Plan Commission all developments must be in substantial compliance with the current City of Chicago Sustainable Development Policy set forth by the Department of Planning and Development. The applicant shall use best and reasonable efforts to design, construct and maintain all buildings located within Subarea E of this Planned Development in an energy efficient manner, generally consistent with LEED Certification or its equivalent. Applicant shall provide a green roof to cover at least 25% of the net roof area of a building. "Net roof area" is

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defined as a total roof area minus any required perimeter setbacks, roof top structures, and roof-

mounted equipment.

16. The applicant acknowledges that it is in the public interest to design, construct and

maintain the project in a manner which promotes, enables, and maximizes universal access

throughout the property. Plans for all buildings and improvements on the property shall be

reviewed and approved by the Mayor's Office for People with Disabilities ("M.O.P.D.") to

ensure compliance with all applicable laws and regulations related to access for persons with

disabilities and to promote the highest standard of accessibility. No approvals shall be granted

pursuant to Section 17-13-0610 of the Chicago Zoning Ordinance until the Director of M.O.P.D.

has approved detailed construction drawings for each building or improvement.

17. All minimum construction within Subarea E set forth in the 2002 version of Residential

Business Planned Development No. 70 has been completed. Therefore Residential Business

Planned Development No. 70 shall not expire.

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Introduced: June 17, 2015

Bulk Regulation + Data Table

July 30, 2007

# Residential - Business Planned Development Number 70 Amended Planned Development Use and Bulk Regulations and Data

	1,325,000		5500		12,000,000		9,050	15.00	15	27,880,245				ORDINANCE MAXIMUMS
	Retail Sales/Service Area (sf)		Hotel Rooms (* of Keys)		Office Area (sf)		Residential Dwelling Units	Net FAR		Building Area (sf)	T			
773,005	551,995	2,122	3,378	3,777,114	8,222,886	4,946	4,104		11,405,289	16,474,956	42	1,810,169	:	Sub Total
	\$0.000						480	1434		582,903	0 93	40,641		Park Millennium
													İ	Subarea G
				753.618	1.062,672			18 00	753,618	1,062,672	2 32	100,905		Subarea F BCBS
			-					14 72	6,719,507 30	5,386,837	16 84	733,123	Subtotal	
773,005		2,122		2,122,825		4,946		15 330	6,665,816 20	5,386,837	16 11	701,540	Area	Private Developable Area
								1 70	53,691.10		0 73	31,583	•	Subarea E School Site
	221 369		1.337	900.671	2.699.000		1,390	17.06	900,671	5,707,385	8 89	387,387	S ubrotal	
_											1 23	53,778		BTS
											1.46	63,660		BTN
				900.671					900.671		1.01	44.091		BTE
											0.50	21,943		Athletic Club
											0.78	34,000		Swissotel
											0.96	41,672		Park Shore
											1.15	50,249		175 Harbore Drive
											0.80	34,825		Subarea D Buckingham
	42.668						1.682	11.90		2,004,225	3 87	168,413	I etotola S	
											.04	056'67	· ·	narbor Foint
											2 03	88,463		Subarea C Outer Drive East
	84,000				2,698,308			19 72		2,698,308	3 14	136,819		Subarea B AON Bidg (Amoco)
	153,958		2.041		1,762,906		552	12 20		4,419,463	8.31	362,159	Subtotal	
											1.21	52,560		Three Illinois Center
											0.88	38,154		Columbus Plaza
											684	73 000		Hyatt Fact
											1 20	52,256		Hyatt West
											1 52	66,104		Subarea A One Illinois Center
Allowed	construction A	Allowed	construction	Allowed	construction existing/under	Allowed	construction	FAR	Allowed	construction	Acres	SF Acres		a de
Area	Service	of keys)	Hotel Rooms (# of keys)	,	Office (sf)	ng Units	Residential Dwelling Units	Max	rea	Building Area	2	Nat Davidson H		Cubana

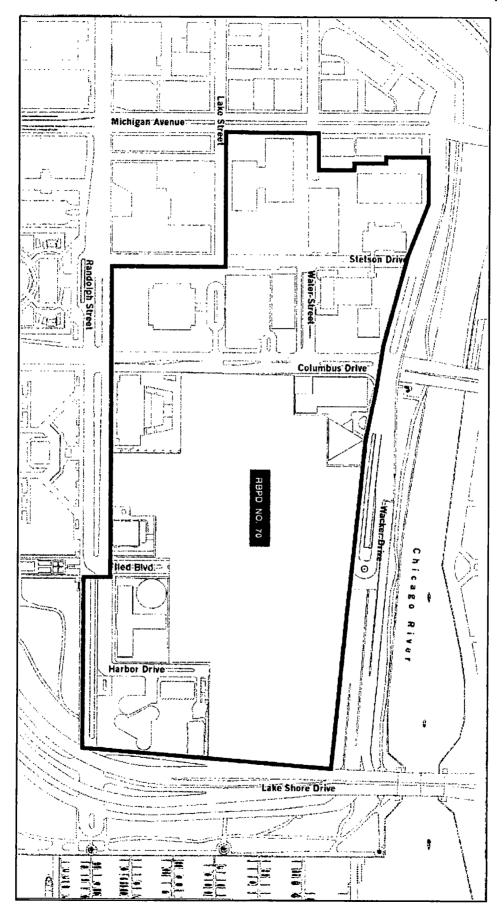
NOTES

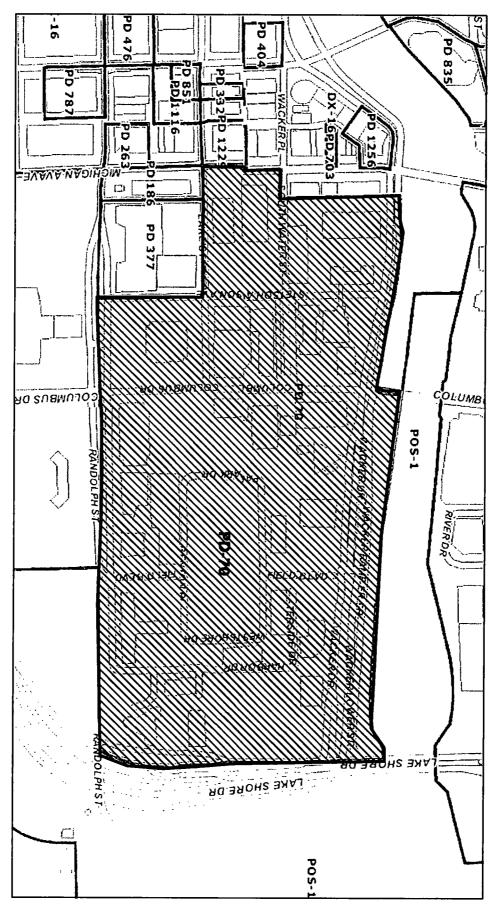
(1) Net developable areas for individual parcel components (A, B, C, or D) are taken from original survey as prepared by Dale Weaver.
(2) Areas outside of Subarea E provided by the City of Chicago & I E Consultants, Surveyors
(3) Future Allowed figures are subject to potential modifications / transfers provided for in PD70. ¬

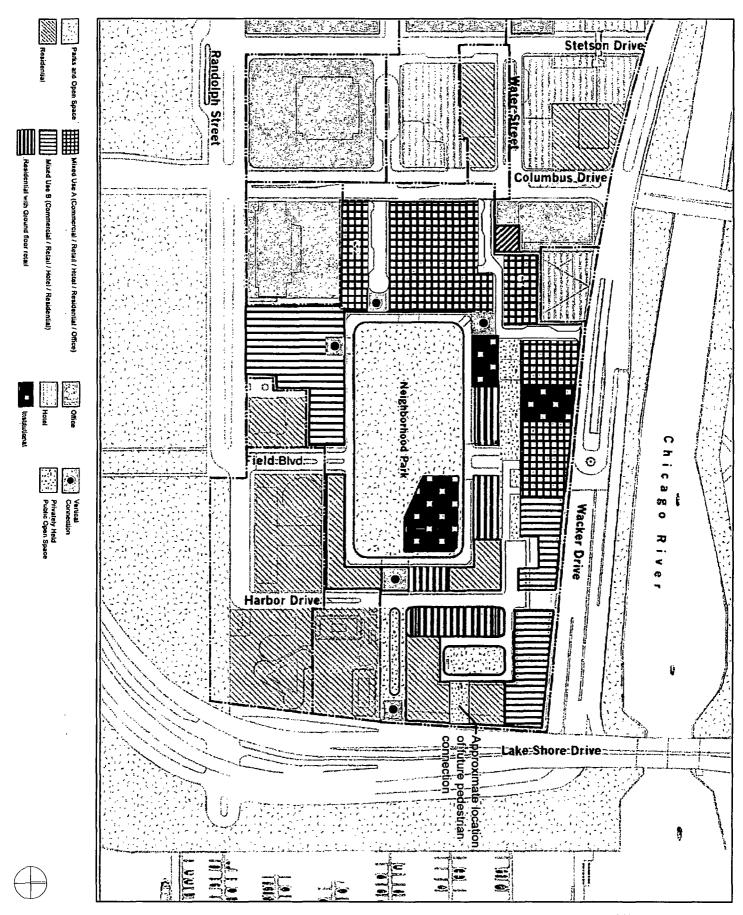
(Existing + Future)

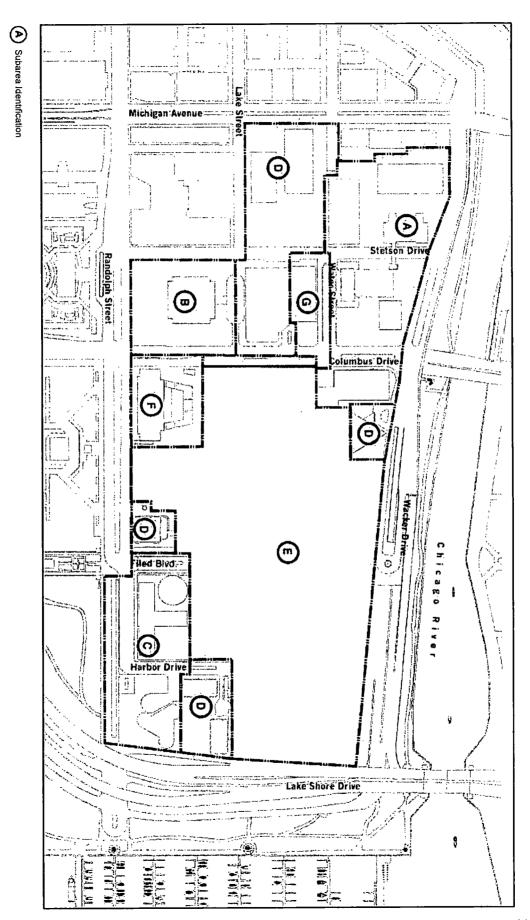
<sup>\*</sup> Private developable area includes public spaces not dedicated.

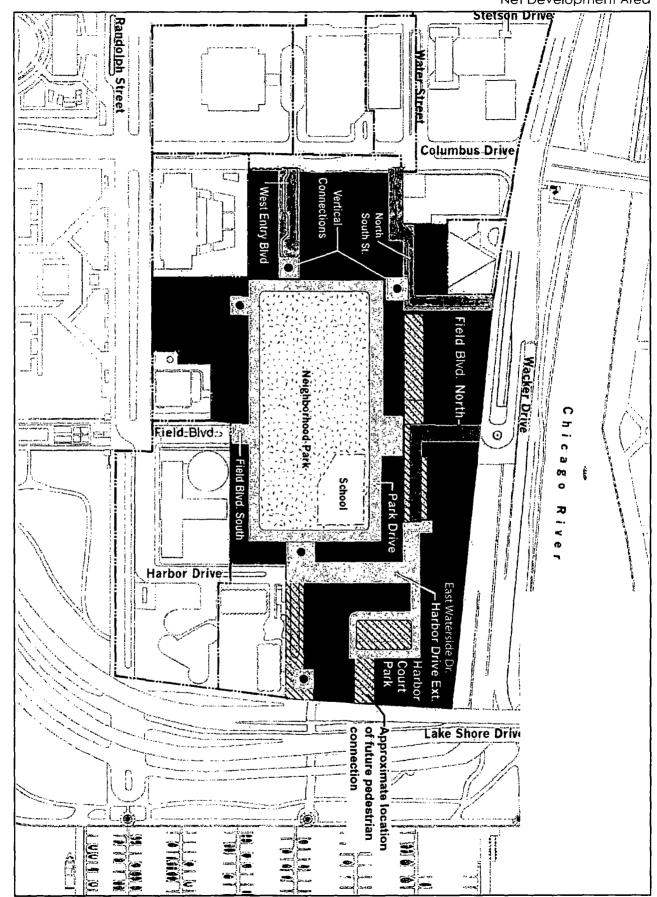
### **Planned Development Boundaries**







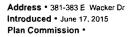


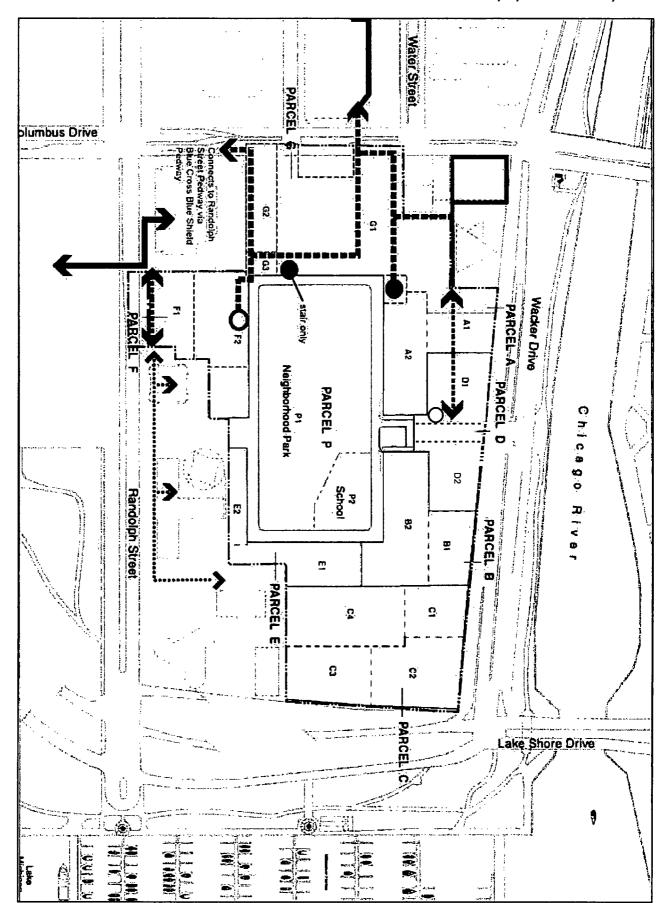


Public Open Space

RO.W.

•





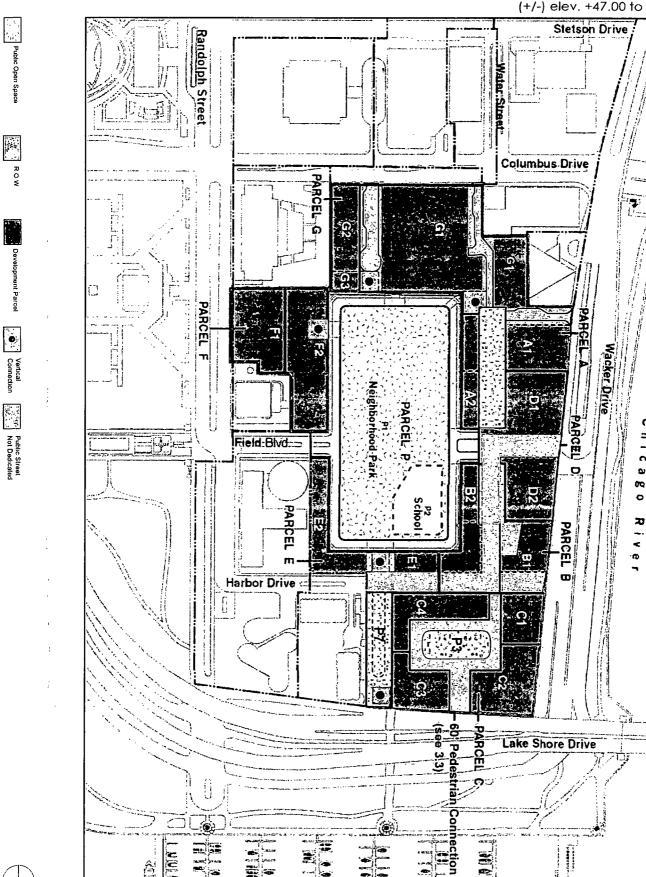
Pedesinan Connections

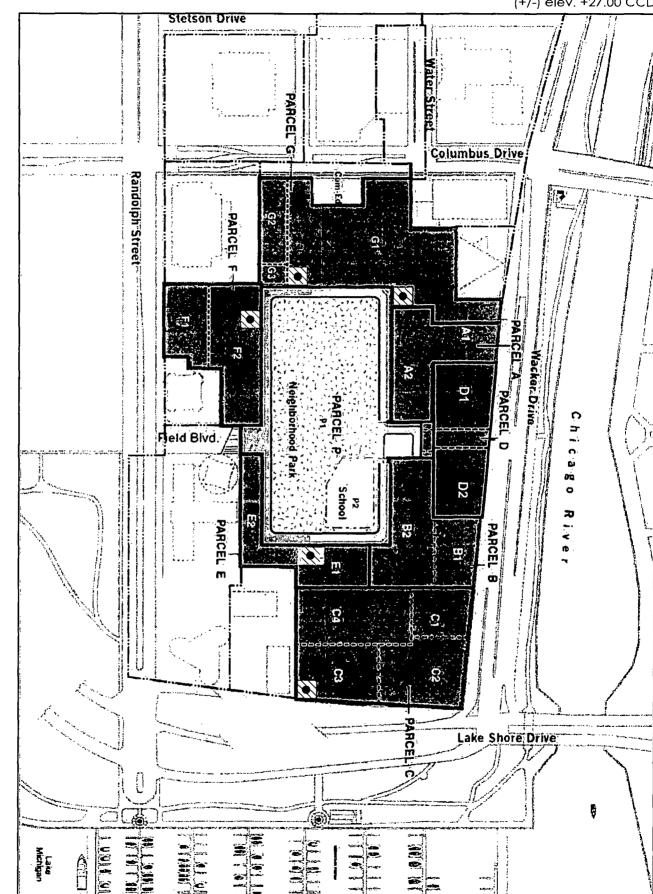
Vertical Connection (Subarea E)

Vertical Connection Within a Building

NOTE: This plan is diagrammatic in nature and is intended to show which parcels / sub-parcels should be connected to the parkway system; not the actual route for the connections.

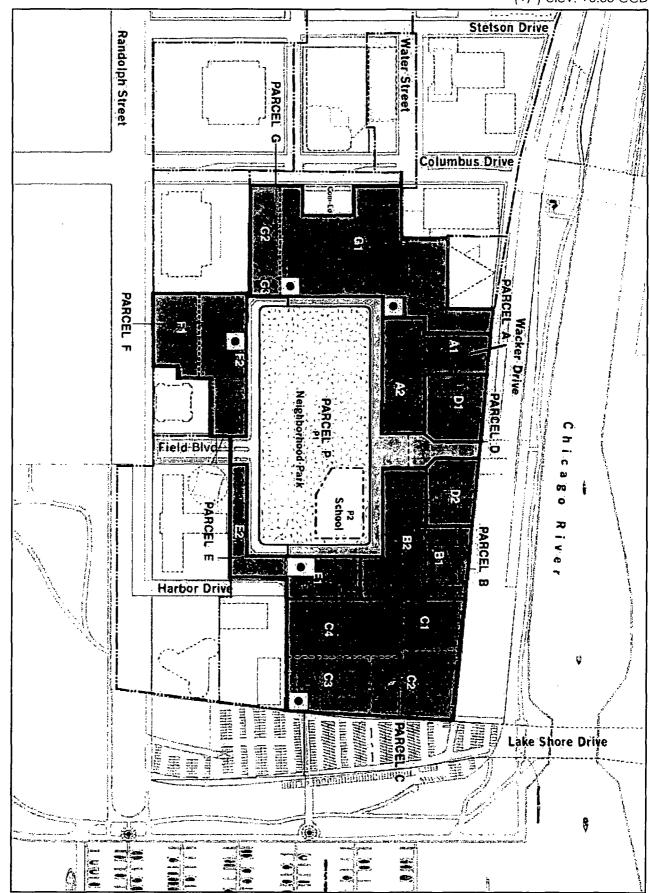
Address • 381-383 E Wacker Dr. Introduced • June 17, 2015 Plan Commission •





Public Open Space









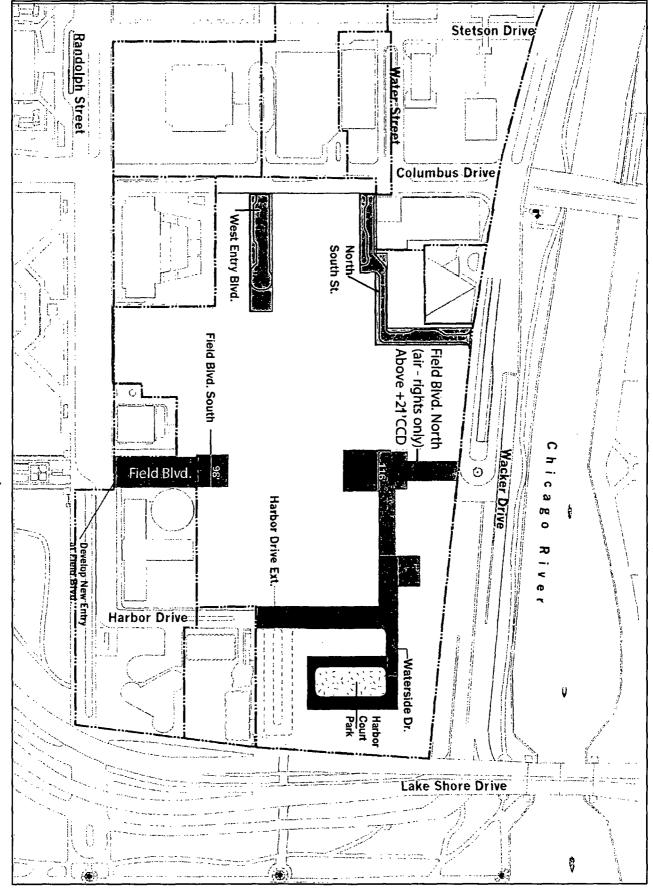








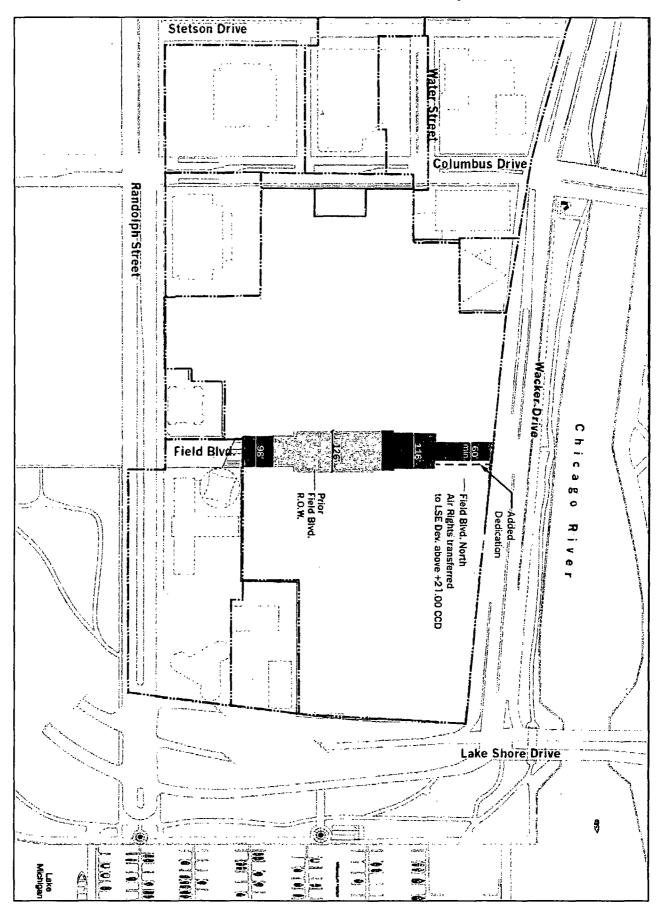




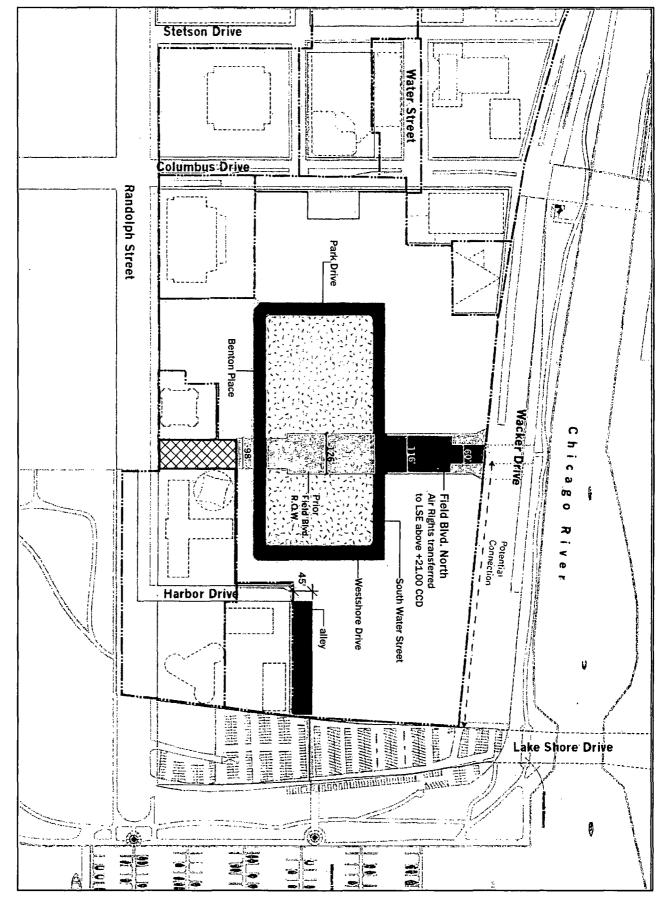
These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances. Various Non-Roadway Portions of Upper Level Right of Ways may contain ventilation grates and structures in order to accommodate Lower Level Uses (e.g., parking garages, public, quasi-public and private utilities, etc.)





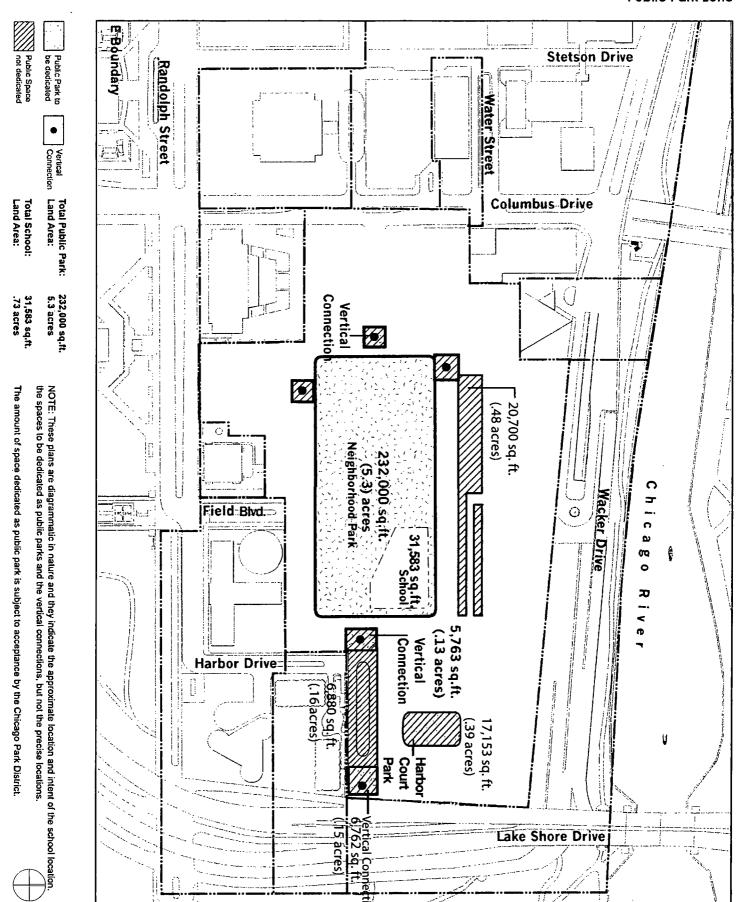




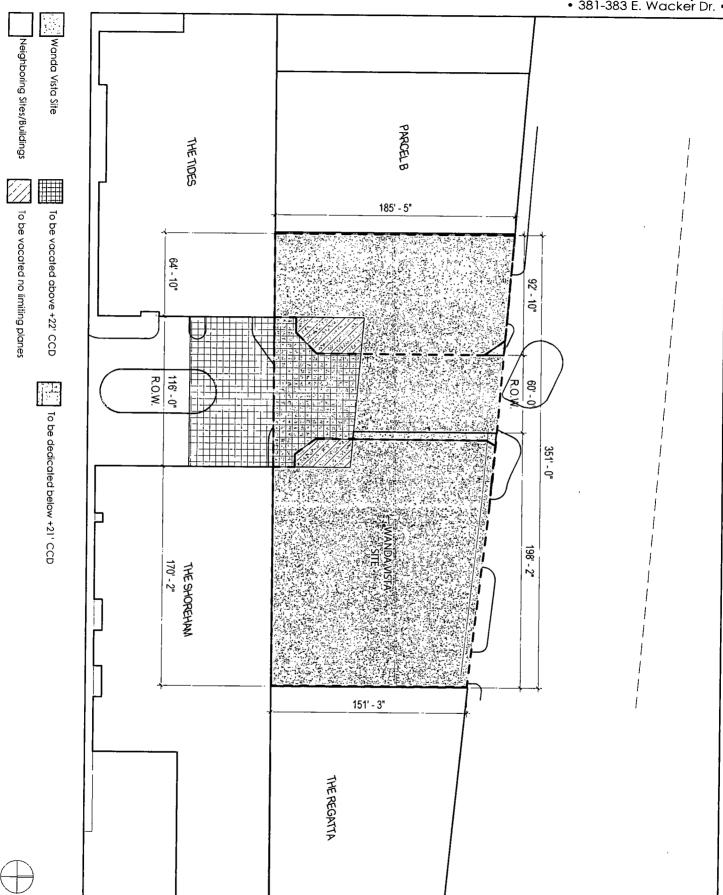


NOTE: These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.

Address • 381-383 E. Wacker Dr Introduced • June 17, 2015 Plan Commission •



Address • 381-383 E Wacker Dr Introduced • June 17, 2015 Plan Commission •



Wanda Vista Site Neighboring Sites/Buildings THE TIDES 185' - 5" To be vacated no limiting planes To be vacated above +21' CCD 65'-0" 92' - 10" 116' - 0" ROW. THE SHOREHAM [66<sup>1</sup>-0" 151' - 3" \$4' - 2" 251' - 5" THE REGATTA



Address • 381-383 E Wacker Dr. Introduced • June 17, 2015 Plan Commission •

BE COORDINATED
WITH COOT - PLANS
FOR CONCEPT ONLY EXISTING R.O.W. 127' - 0" SUB-WACKER DRIVE 185',-`6' 28:--3" EXISTING R.O.W... 116' - 0" 66' - 10" 0 R.O. LOWER FIELD BLVD. 350 CITY OF CHICAGO AUTOMOBIFE IMPOUND LOT SITE 186**-** 1" in i Parking Exit/ Entrance



Property Line Scope of work

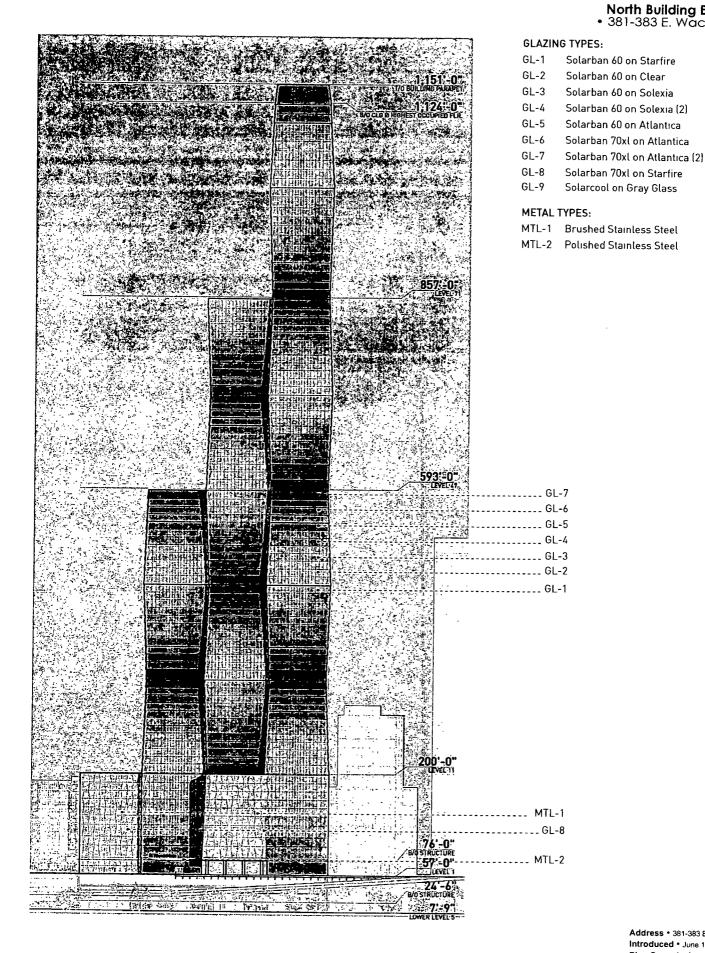
ERGENCY VEHICLE ACCESS LANE 185' - 6" 7 55' - 6" 101: - 0" 28' - 10" EXISTING R.O.W 10' - 0' A CANE 127' - 0" T DRIVATE ROAD) တ် WACKER DRIVE ... 91:-0 349 - 4" BUILDING WIDTH မွ οĵ Parking Exit WATERSIDE DRIVE (PRIVATE ROAD) 111' - 1" 30' - 6" CANOPY ABOVE 151' - 3"

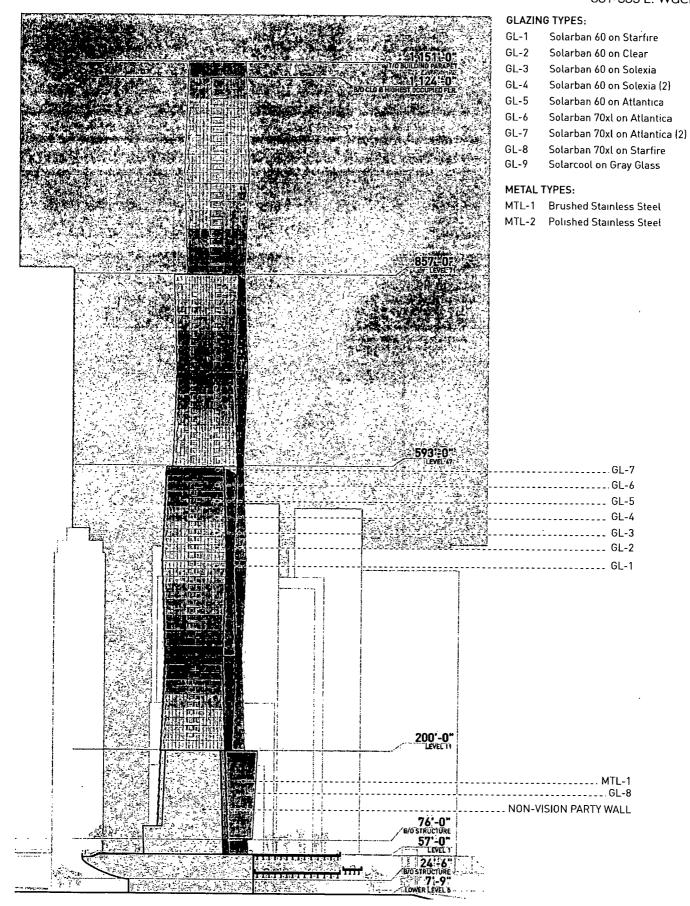


ŧ

Property Line Scope of work

#### North Building Elevation • 381-383 E. Wacker Dr. •





#### **GLAZING TYPES:** GL-1 Solarban 60 on Starfire GL-2 Solarban 60 on Clear GL-3 Solarban 60 on Solexia GL-4 Solarban 60 on Solexia (2) GL-5 Solarban 60 on Atlantica GL-6 Solarban 70xl on Atlantica GL-7 Solarban 70xl on Atlantica (2) GL-8 Solarban 70xl on Starfire GL-9 Solarcool on Gray Glass **METAL TYPES:** MTL-1 Brushed Stainless Steel MTL-2 Polished Stainless Steel GL-8 \_\_\_\_\_ MTL-2 ..... MTL-3 .....

**GLAZING TYPES:** GL-1 Solarban 60 on Starfire GL-2 Solarban 60 on Clear GL-3 Solarban 60 on Solexia Solarban 60 on Solexia (2) GL-4 GL-5 Solarban 60 on Atlantica GL-6 Solarban 70xl on Atlantica GL-7 Solarban 70xl on Atlantica (2) GL-8 Solarban 70xl on Starfire GL-9 Solarcool on Gray Glass METAL TYPES: MTL-1 Brushed Stainless Steel MTL-2 Polished Stainless Steel 200'-0' NON-VISION PARTY WALL...

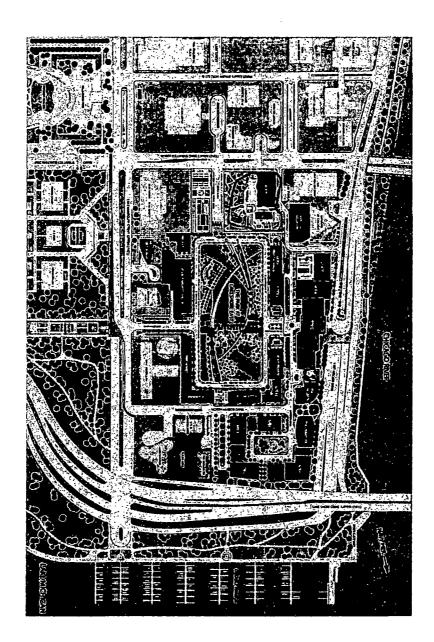
> Address • 381-383 E Wacker Dr Introduced • June 17, 2015 Plan Commission •

		A 48.7*

# MASTER PLAN & DESIGN STANDARDS

## П П

Chicago, Illinois



Prepared for

Lakeshore East LLC

 originally prepared by SKIDMORE, OWINGS & MERRILL, LLP

With revisions prepared by LOEWENBERG ARCHITECTS

UPDATED TO INCLUDE MINOR CHANGES AND MODIFICATIONS BY THE CITY OF CHICAGO DEPARTMENT OF PLANNING AND DEVELOPMENT THROUGH JUNE 10, 2015

June 10, 2015

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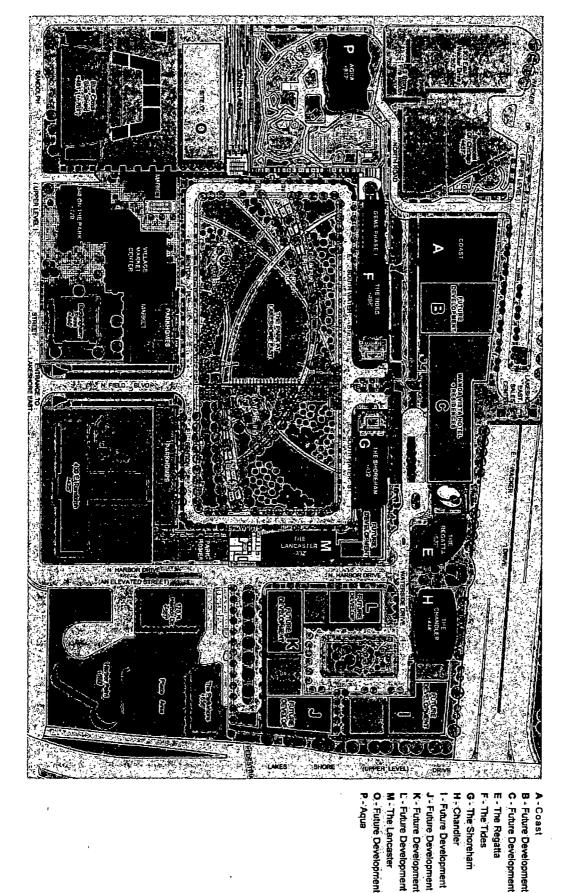
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Preliminary Landscape Guidelines
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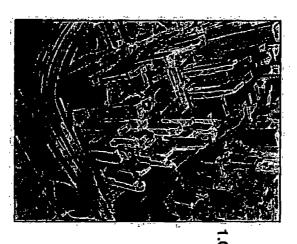
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Controls for Development
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High Building Design Criteria
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Building Elements
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Environmental Considerations
Maximum Building Envelopes
Illustrative Elevations
Parcel Criteria / Maximum Building Envelopes



- A-Coast
- B Future Development
  C Future Development

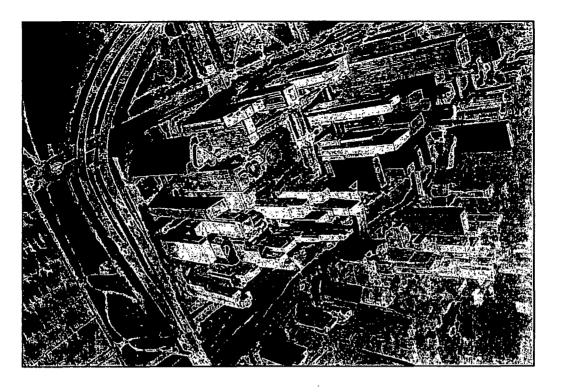
- H Chandler

  1 Future Development
- J Future Development
  K Future Development
- O Future Development



1.0 Introduction





#### MASTER PLAN AND DESIGN STANDARDS LAKESHORE EAST

#### Introduction

use development and a new public park. located within Illinois Center east of Columbus and Wacker Drive on the north Lakeshore East, Avenue on the west, Randolph Street on the south will be developed on Illinois Center railroad land Drive, will inculude residential, hotel, office, mixedbetween Lake Shore Drive on the east, Michigan Lakeshore East is a new mixed-use community that

was originally approved in 1969. It was approved by the City of Chicago since 1969. Illinois Development on the approximately 52 acres of land subsequently amended in 1979, and then again in Development No. 70. This Planned Development Center is the subject of the existing Planned regulated by a number of Planned Developments within these Illinois Center boundaries has been

the Swiss Grand Hotel and Five high rise residentia buildings, including, an office building at Three construction. residential Park Millennium is currently under buildings. In addition, west of Columbus Drive, the Illinois Center, the Blue Cross-Blue Shield building Columbus Drive, there are also a number of existing Columbus Drive has now been developed. East of Most of the Illinois Center property west of

and Lake Shore Drive The development of acres of land that remain between Columbus Drive Lakeshore east will be guided by a new amendment Lakeshore East will be created on approximately 28

> East will be located within this area. the property east of Columbus Drive. Lakeshore Development No. 70, and is currently occupied by a identified as Sub-Area E within Planned golf course facility and other open space located on

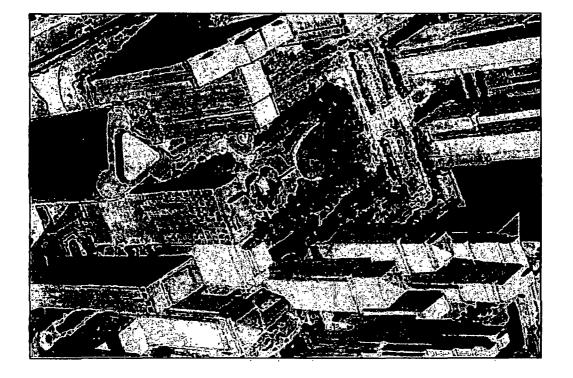
to Planned Development No. 70. This area is

1.1 million square feet (28 acres) within Sub-Area E to be constructed on a net developable area of 70 permitted approximately 14 5 million square feet The 1993 Amendment to Planned Development No

land dedicated to the public for parks, open spaces has been reduced to under 700,000 square feet (14 buildable area, which is approximately 2/3 of what and road rights of way. development will construct 9.7 million square feet of Development No. 70 The Lakeshore East acres) as a result of a significant increase in the was previously allowed. The net developable area Under the new amendment to Planned

anticipated to be included within the development public open space including a new public park. A combined public school / park district facility is also commercial, retail and mixed-use development and apartments and townhomes, hotel, office, neighborhood including residential condominiums, Lakeshore East will create a new urban

buildings, streets and open spaces over time. Guidelines Standards both illustrates and will guide the organization, scale, form and character of new This Lakeshore East Master Plan and Design



This document is intended to ensure that the future development of Lakeshore East is consistent with the vision and principles laid out in the Lakeshore East

The document is organized into five sections:

- Urban Design Principles
- Basic design principles that will guide future development
- Development Summary

The framework for development

- Access and Circulation
- Connections for cars and people
- Open Space

Parks and courtyards to create a green neighborhood

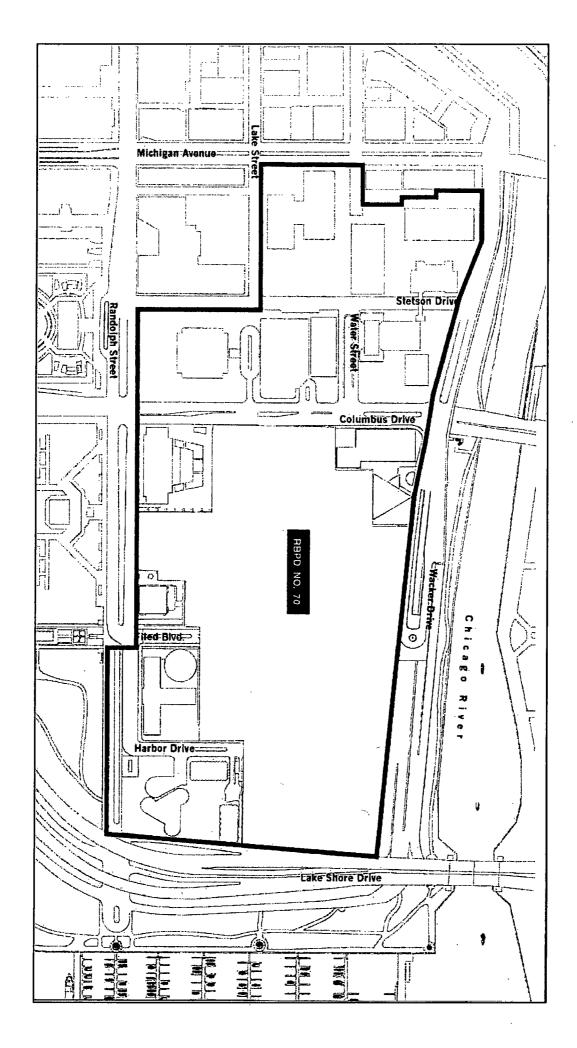
Design Standards

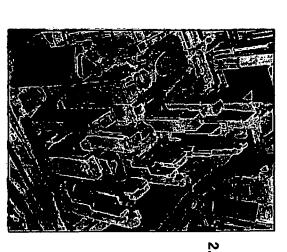
Guidelines that will shape the character of buildings, and the public realm

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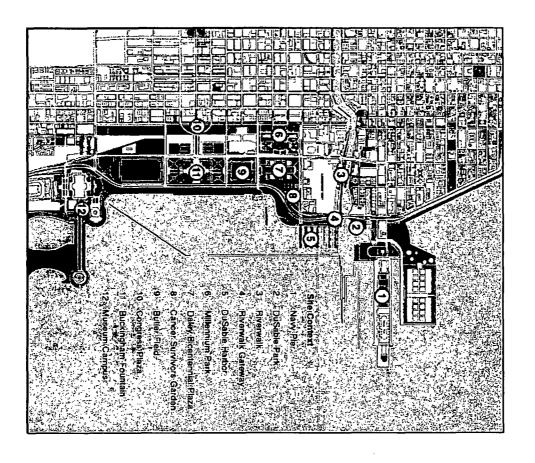
PD 377





## 2.0 Urban Design Principles

General Intent / Site Context and Location
Urban Framework
Building Height and Massing
Access and Circulation
Open Space
View Corridors



#### Site Context and Location

opportunities for new development and open space River at Lake Michigan, the site offers tremendous Chicago. Located at the mouth of the Chicago remaining development parcels in the center of The Lakeshore East site is one of the largest The remarkable assets of this site include

- Grant Park, the Lakefront and the Chicago Magnificent Mile, Navy Pier, DuSable Harbor Proximity to the Loop, the East Loop, the
- Spectacular views of the city and the
- offices, hotels, residential Diverse uses on surrounding blocks, including
- CTA, Rail and the new busway to McCormick Proximity to transit including the METRA,
- Proximity to Grant Park Parking Garages

address the following issues: urban design principles have been developed that To respond to the unique context of the site, a set of

- The Urban Framework
- Building height and massing
- Access and Circulation
- Open Space

View Corridors

## General Intent / Site Context and Location

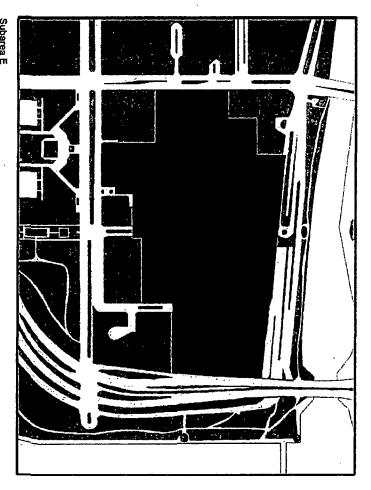
and mixed use development. 9 7 million square feet of new residential, hotel, office East as it becomes a vibrant urban district supporting Plan Standards will guide the development of Lakeshore +/-28 acres Lakeshore East development. The Master controls needed to guide the development of the The Master Plan sets forth the basic principles and

It is also the intent of the Master Plan to Development for the Lakeshore East area by a 1993 Planned City of Chicago and the developer criteria set forth central area, while also achieving the goals of the enhance the urban fabric and character of Chicago's

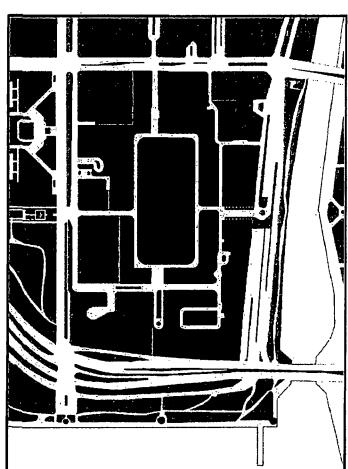
Chicago River and the lakefront. through this new district to other neighborhoods, the areas adjacent to the site, offering access to amenities. This framework will also strengthen the Lakeshore East and adjacent developments and blocks, streets and open spaces will connect and neighbors alike. The pattern of development it will provide a high quality of life for its residents intended to ensure that as Lakeshore East evolves, The Master plan offers a development framework Lakeshore East's amenities and connections

#### **Urban Framework**

- Establish urban blocks which are similar in size to typical downtown Chicago blocks
- Create urban streets in character with those of a residential neighborhood
- Create addressing opportunities for multiple buildings per block



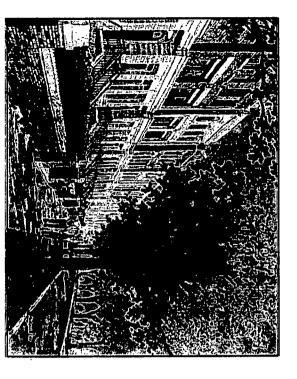
Subarea E A single, contiguous development site.



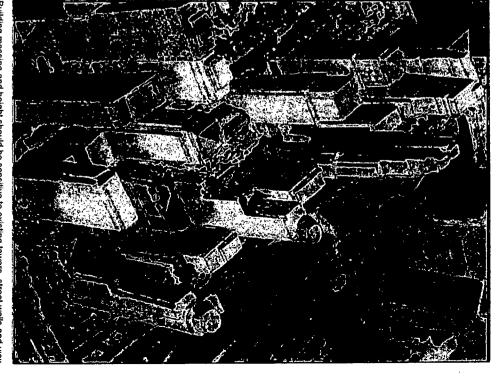
Proposed development plan:
Establish urban-scaled blocks and extend the local street system into the development to avoid the potential perception of a 'super-block'

## **Building Height and Massing**

- Place tall buildings with a sensitivity towards existing residential towers
- Frame the park with low-rise development and townhouses which can screen all existing and future parking podiums
- Place the tallest buildings along Coumbus Drive and at key prominent locations
- Strengthen the character of the urban street wall, or facades, on Wacker Drive along the Chicago River
- "Stagger" high-rise buildings to maximize views throughout the future development



Low-rise townhouses can screen parking podiums from the street



Building massing and height should be sensitive to existing towers, street walls and view corridors

#### Access & Circulation

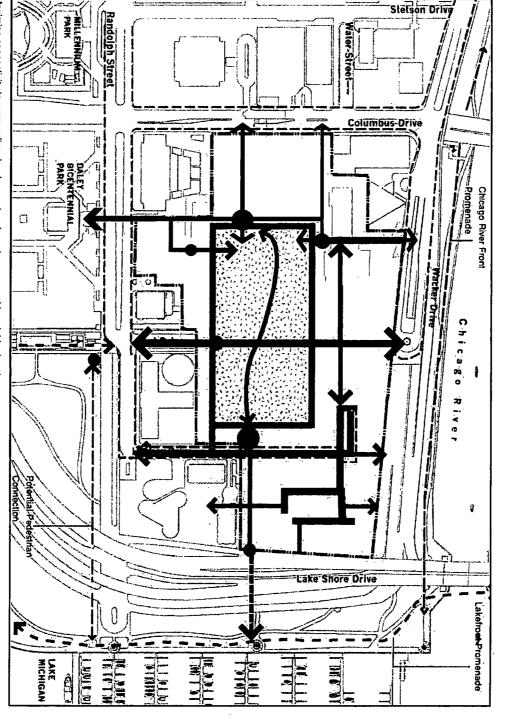
- Maximize connection to surrounding
- Create a permeable neighborhood
- Weave the different levels together
- Strengthen pedestrian connections to the river and lakefront
- the existing streets service access without negatively impacting Provide convenient parking, drop-off, and

#### Diagram Legend:

indicate the secondary corridors of access. represent both pedestrian or vehicular traffic or primary access corridors of the site. They connectivity. The large arrows represent the major depending on their location. The smaller arrows The Diagram to the right represents a hierarchy of

pedestrian to access the different levels of the connections of the site which allows the The circles represent the major vertical

connections along the Riverfront, Lakefront and along the major streets at the upper level. arrows identity existing pedestrian movement to Grant Park at the lower level The light dotted The dark dotted arrows indicate existing

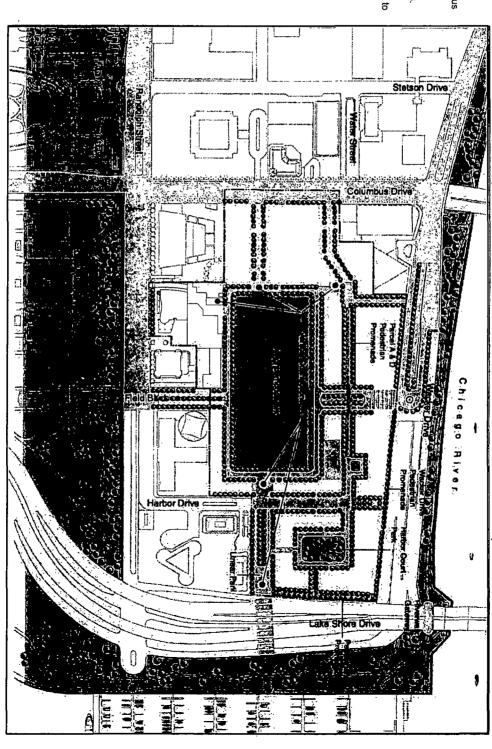


Maximize connectivity to surrounding development and create a permeable neighborhood

NOTE: These plans are diagrammatic in nature and are only intended to show approximate dimensions and locations.

#### Open Space

- Create a large neighborhood park as the focus of Lakeshore East
- Create smaller courtyards between buildings.
- Connect the open spaces in Lakeshore East to lakefront park system Grant Park, the Chicago Riverwalk and the
- Create well landscaped 'green' streets.

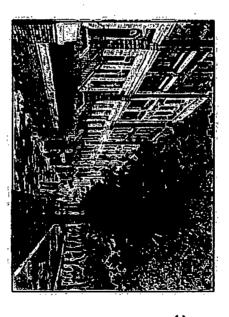


#### **View Corridors**

- Ensure a visually permeable neighborhood
- Create east / west and north / south view corridors
- Maximize views from existing residences
- Place tall buildings at the northern edge of Lakeshore East
- Maximize views to the central park
- Create appropriate building separation to allow for views through the development

Diagram Legend:

#### The large arrows and thicker lines represent the major view corridors of the site. The smaller arrows represent the secondary view corridors Randolph Street Water Street Columbus-Drive Q Chicago River ij Lake Shore Drive



## 3.0 Development Summary

Development Framework

Bulk Regulations and Data Table

Proposed Parcelization Plan - Upper Level Plan

Proposed Parcelization Plan - Intermediate Level

Proposed Parcelization Plan - Lower Level

Generalized Land Use Plan

Utility Easements Easements



#### Introduction

building types. development parcels are defined by a network of flexibility in accepting a variety of land uses and dimensions have been established that will allow for addressing streets at various levels Parcel framework of 7 development parcels. These The master plan for Lakeshore East establishes a

through a consensus that is based on the following vibrant urban district that promotes a collective principles : image for Lakeshore East. This may be achieved The intent of the master plan is to develop a new,

#### Land Use

- New development should be compatible with neighboring uses.
- Locate Office uses at the western portion of the site, adjacent to existing office buildings, Wacker Drive the location of the upper level roadway of office could locate along Wacker Drive limited by
- Locate residential uses adjacent to and River, and / or Lake Michigan within views of the neighborhood park, Chicago
- Provide a future school site within the park

#### Parcelization

- Ensure the creation of an urban framework of streets and blocks
- Allow for future development flexibility

#### Development Plan

- Locate taller buildings with a sensitivity neighboring buildings towards existing view corridors from
- Frame the neighborhood park with townhomes or beyond. low-rise development with taller buildings

#### Parking

- Maximize on-street parking
- Screen all structured parking from view
- Develop below grade parking lined with townhomes which front onto the park

# Residential - Business Planned Development Number 70 Amended Planned Development Use and Bulk Regulations and Data

July 30, 2007

Frea E     31,583     0,73       School Site     *701,540     16,11     5,386,837       Private Developable Area     *701,520     16,84     5,386,837       Subtotal     733,123     16,84     5,386,837       BCBS     100,905     2,32     1,062,672       Park Millennium     40,641     0,93     582,903       Park Millennium     40,641     0,93     582,903
0.73 16.11 16.84 5.386.837 2.32 1.062.672 0.93 582.903
0.73 16.11 5.386.837 16.84 5.386.837 2.32 1.062.672
53 6,669 6,719
1 70 1 70 15.816 20 15.33_ 19.507 30 14.72
170 1533_ 4,946
THE PERSON NAMED IN COLUMN 1
1

NOTES

(1) Net developable areas for individual parcel components (A, B, C, or D) are taken from original survey as prepared by Dale Weaver.

(2) Areas outside of Subarea E provided by the City of Chicago & I E Consultants, Surveyors

(3) Future Allowed figures are subject to potential modifications / transfers provided for in PD70 

(3)

<sup>\*</sup> Private developable area includes public spaces not dedicated.















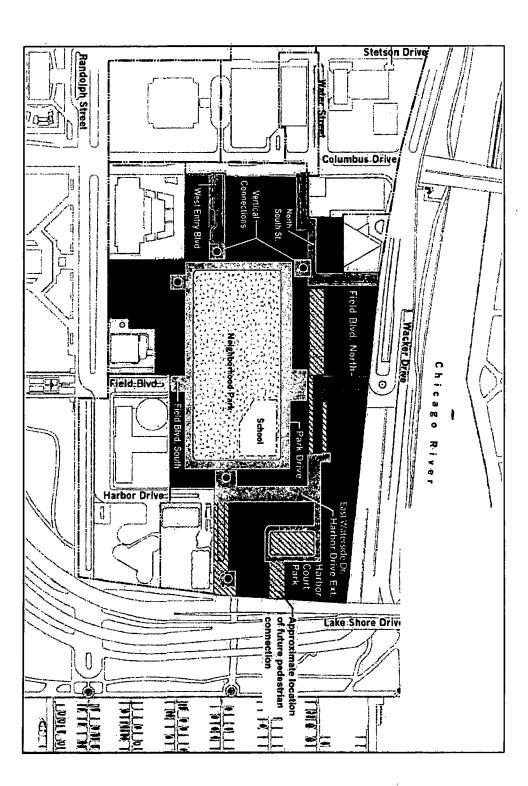










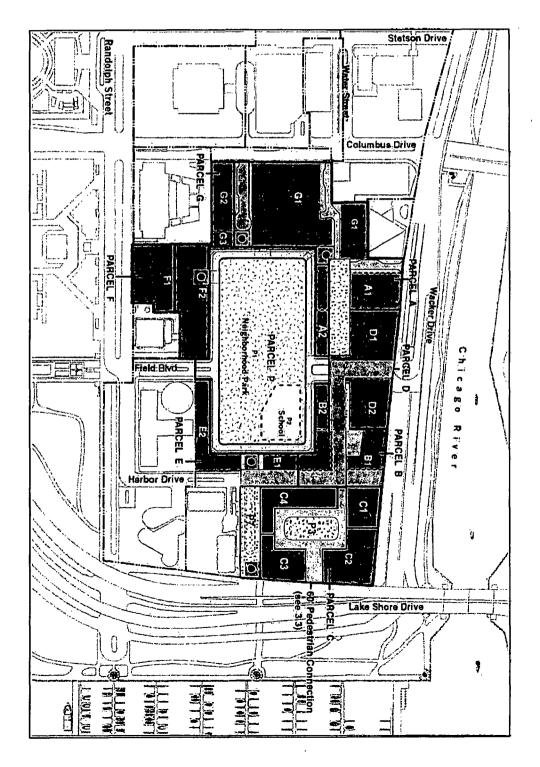


## Subarea E Parcelization Plan - Upper Level

the city. Adjustments to the final location of parcel boundaries may occur as specific building designs are submitted for further review by

envelopes must be respected. created, however, the maximum building parcels, or new sub-parcels may be Sub-parcels may be combined into single

and Development. approval by the Department of Planning subject to administrative review and All parcel or sub-parcel modifications are















































Public Open Space

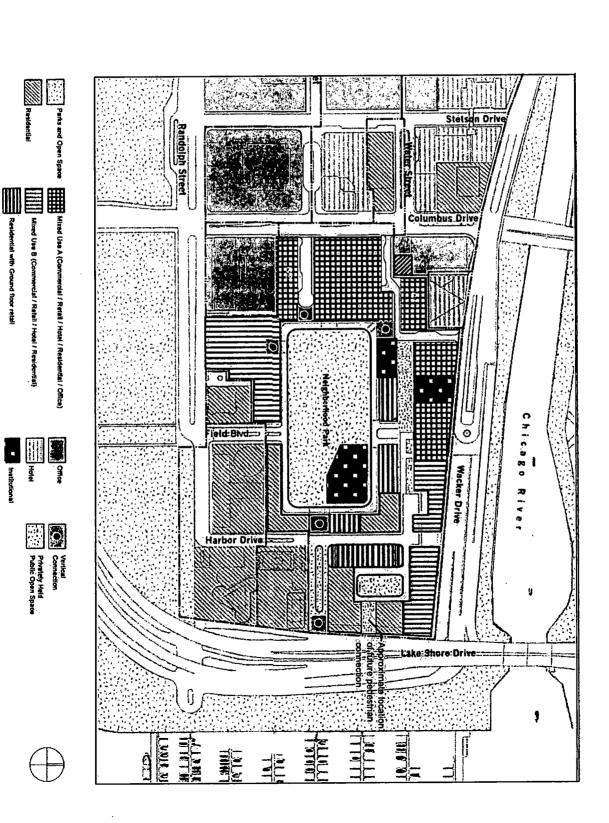
RO.W.

Development Parcel

• Vertical Connection

Land Use Plan

Future Land uses shall be compatible with existing adjacent land uses

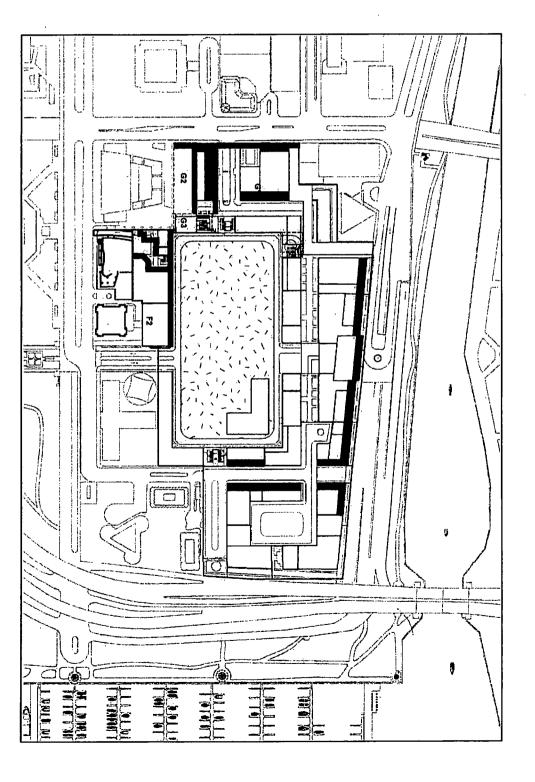


Privately Held Public Open Space

Residential with Ground floor retail

## Proposed Retail Frontage - Upper Level

- should maximize retail uses at the ground floor addressing the street or pedestrian Non-residential or mixed-use buildings
- For residential buildings, neighborhoodserving retail at the ground floor is strongly encouraged.
- retail at the ground floor is strongly For buildings along Upper Wacker Drive and Harbor Drive, neighborhood serving

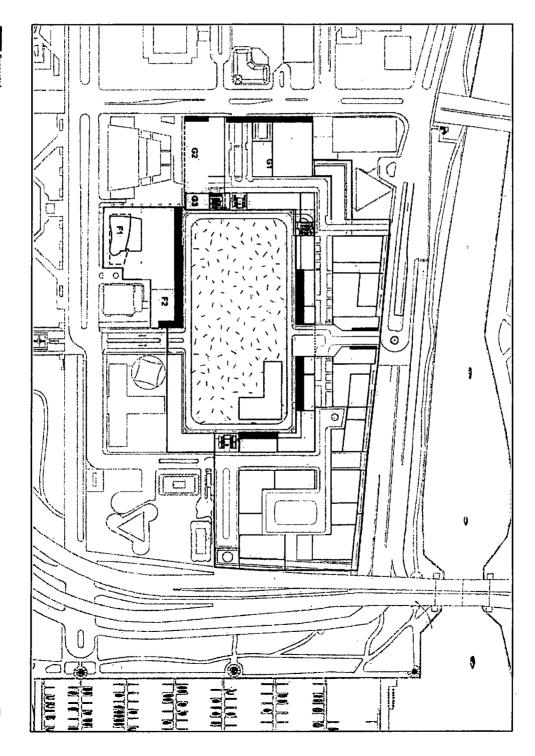






## Proposed Retail Frontage - Lower Level

For the tailer buildings along the Park Drive, neighborhood serving retail at the ground floor is strongly encouraged.



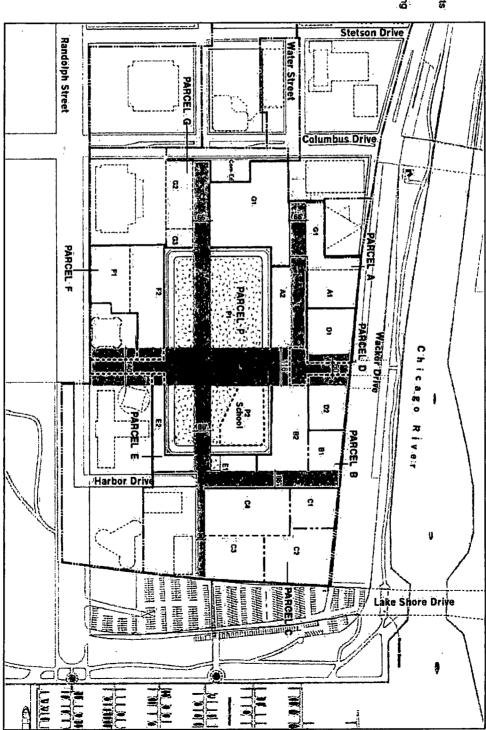


Note: These plans are diagrammatic in nature and are only intended to show suggested, not required, retail locations.



### **Existing Utility Easements**

and approval of the Department of Planning and Development. vacated subject to administrative review Some existing utilities and utility easements will be required to be relocated and / or

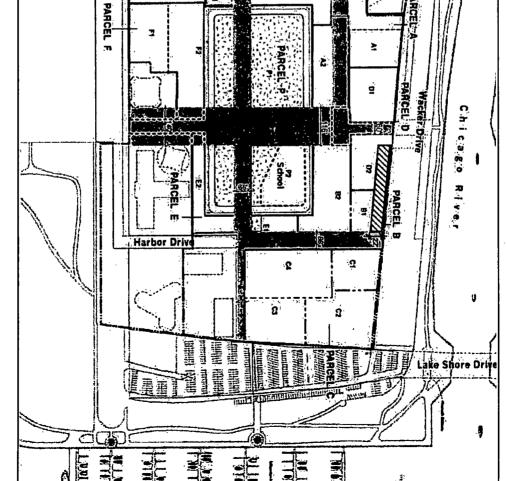




Utility Easements





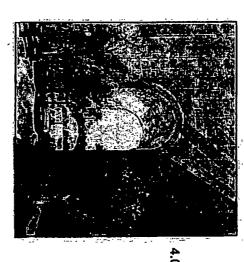


2

Columbus Drive

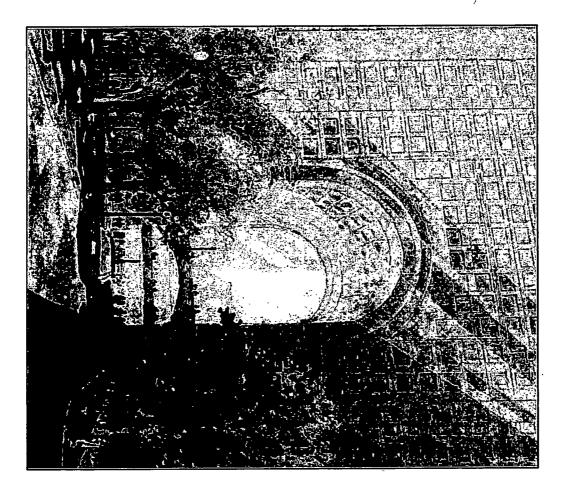
Randolph Street

Lakeshore East Master Plan • Chicago, Illinois Lakeshore East LLC 3.11



## 4.0 Access and Circulation

Vehicular Access and Rights-of-Way Automobile Entrance Zones / Parking Access Pedestrian Access Right of Way Criteria



#### Introduction

neighborhood. a strong pedestrian environment for the must also be ensured throughout the plan designed to provide separate vehicular and the different levels, while emphasizing the types address the complexities of the site and character for this neighborhood. These street master plan establishes an identity and and distinctive landscape treatments will create Gracious sidewalk widths, ground level activities and easy access between the different levels energetic street environment. Pedestrian safety pedestrian paths, while ensuring an active and framework of streets and sidewalks has been pedestrian character of the development. The The family of streets identified within this

spaces that directly link to the main pedestrian provision for interior courtyards and other public block. This concept is strengthened by the and link the new public park to each major vehicular travel zone the local streets lead to equally as an open space amenity and a Each of the streets has been designed to serve

connected network of intimately-scaled, to the anticipated levels of vehicular traffic address the following principles pedestrian oriented streets. These guidelines within the neighborhood. They also establish a The following street types and sections respond

- Create well-scaled, tree-lined streets which strengthen the pedestrian environment
- local residential street system Extend Harbor Drive north in order complete the
- ringing Me park at the natural grade level Ramp Field Drive down from upper Randolph Street and connect if to a new Park Drive
- Provide a new internal street at the upper level the western parcels to provide multiple access and address points for

#### Parking Access

- Provide convenient access from the upper intermediate, and lower levels
- Locate parking access mainly within interior courts or side streets

#### Service Access

- Locate service access at the lower level
- Utilize utility easements for service access

#### Pedestrian Access

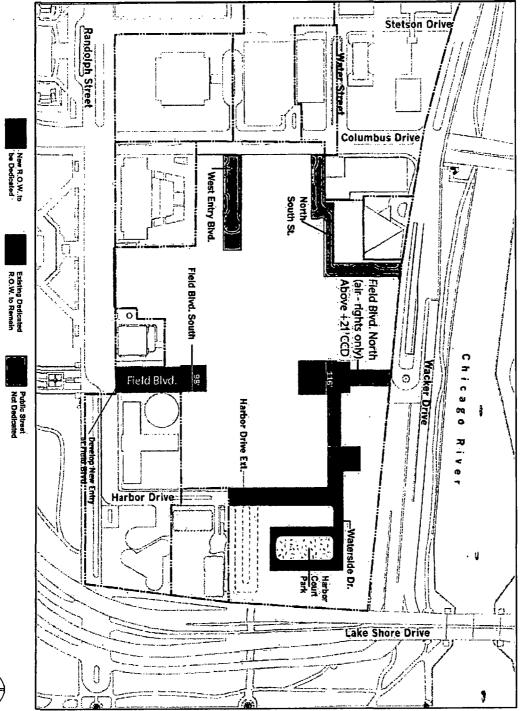
- Provide clear pedestrian links to the Chicago River and Lake Michigan
- Provide convenient access between the upper and lower levels
- Provide handicap access throughout the
- Extend and connect the Pedway to the park

### **Emergency Access Easements**

Emergency Access easements will meet all Chicago Fire Department requirements

## R.O.W. Adjustments - Upper Level

- The upper level is intended to serve local neighborhood traffic only
- Connections to LakeShore for thru-traffic occurss at the intermediate level
- Access to the Park Drive occurs from a Field Boulevard. connection to Upper Randolph via a sloped



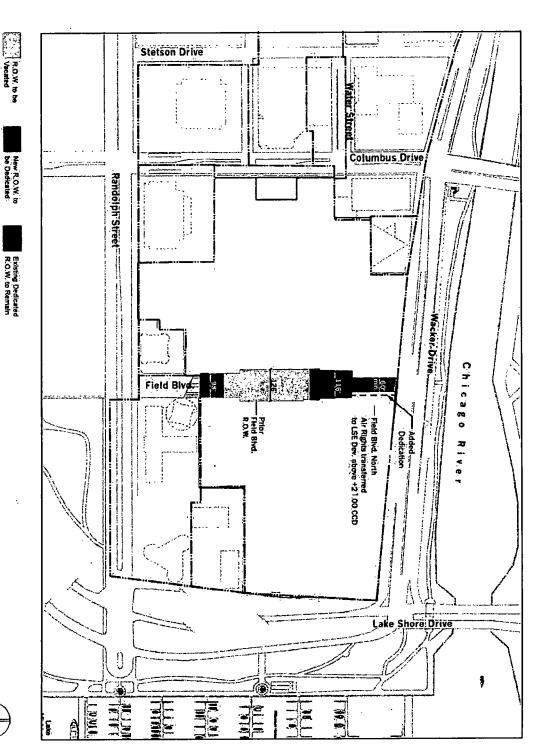
Note: Refer to Street Criteria Drawings for new R.O.W. dimensions & criteria

- Various Non-Roadway Portions of Upper Level Right of Ways may contain ventilation grates and structures in order to accommodate Lower Level Uses (e.g., parking garages, public, quasi-public and private utilities, etc.)
- These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.



## R.O.W. Adjustments - Intermediate Level

Drive or Randolph St. are proposed at this No new roadway connections to Wacker. level, in order to minimize thru-traffic.



Note: Refer to Street Criteria Drawings for new R.O.W. dimensions & criteria

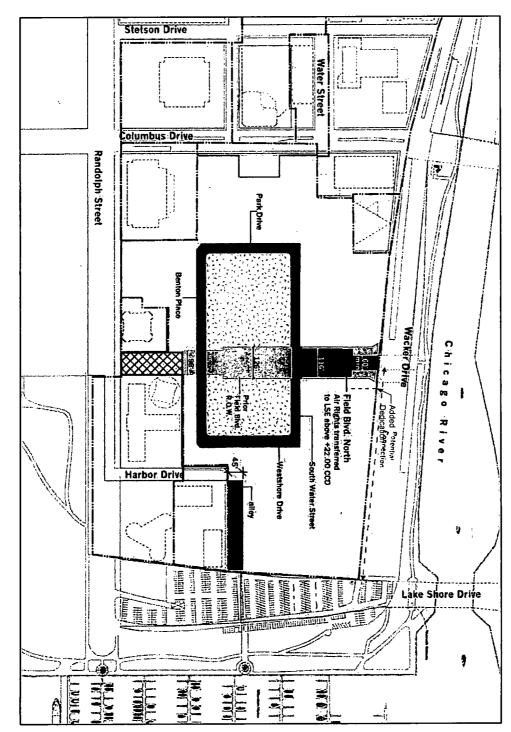
NOTE: These plans are diagrammatic in nature. The precise location and dimensions of future roadways will be subject

to the approval of the city. The vacation and dedications will be accomplished through separate ordinances.



## R.O.W. Adjustments - Lower Level

- The Park Drive will ring the neighborhood park with one way through traffic at the lower level
- the lower level second means of access / egress to via Field Drive North will allow a A connection to Lower Wacker Drive









Note: Refer to Street Criteria Drawings for new R.O.W. dimensions & criteria

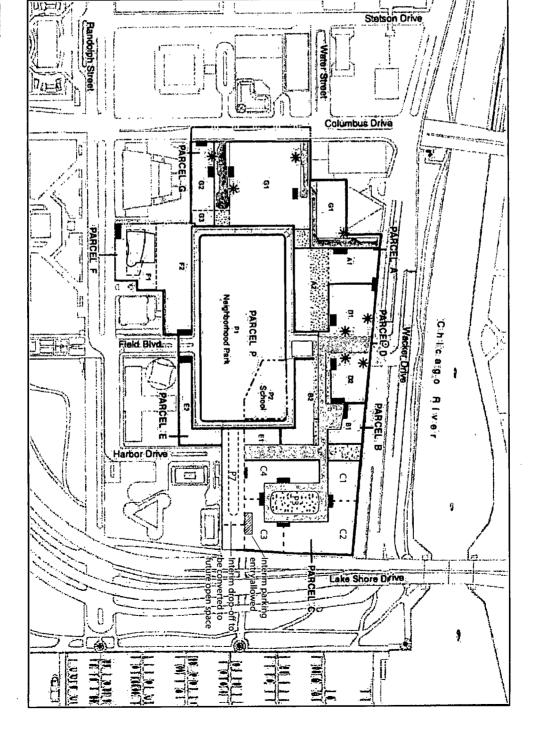


### **Automobile Drop-Off Zones**

- Automobile Drop-off zones at building entries should be conveniently located, yet discreet.
- Curb-side drop-off zones are preferred. Large vehicular turn-around interupting pedestrian sidewalks should be avoided

#### **Parking Entries**

- Entries to parking garages should be incorporated & integrated into the facade of a:building.
- Large uncovered ramps within the sidewalk zone or open spaces should be avoided.
- Where parking access traverses a pedestrian sidewalk paving. should be consistent w/ the adjacent pedestrian access, the paving material





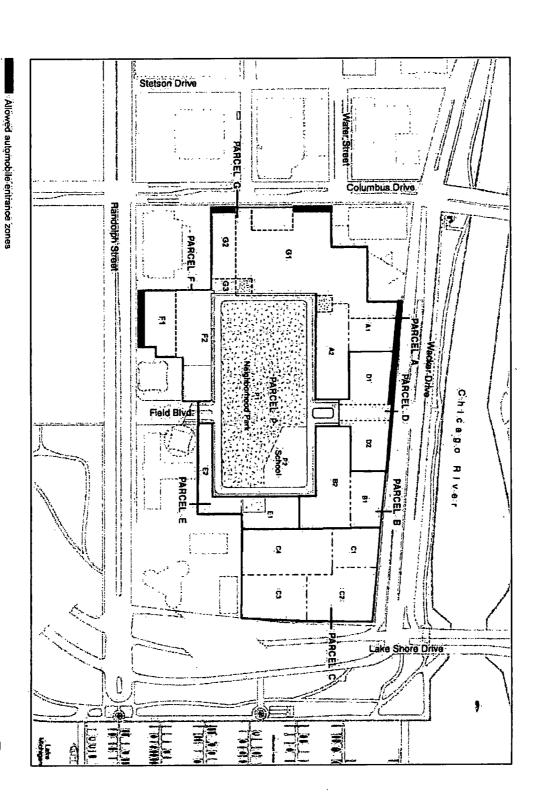
Allowed automobile entrance zones

Drop Off Zones

Privately Held Public Open Space



Intermediate Level



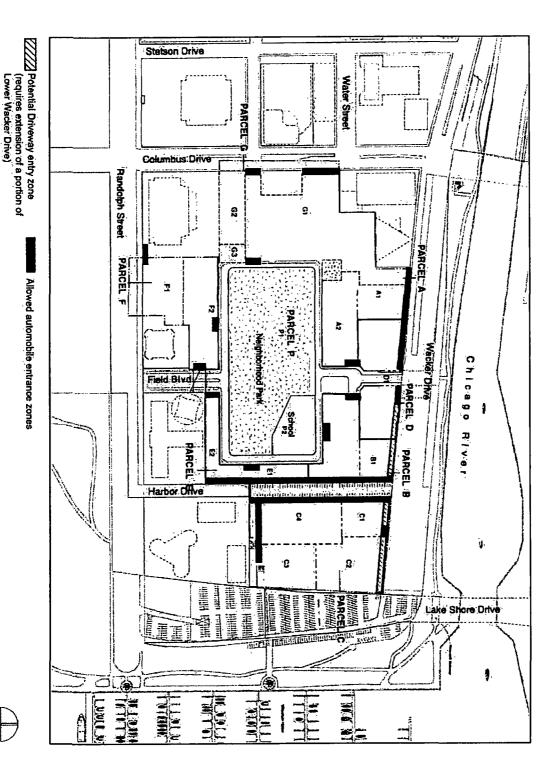
Lakeshore East Master Plan • Chicago, Illinois Lakeshore East LLC **4.6** 

Privately Held Public Open Space

### Automobile Entrance Zones - Lower-Level

### Service Vehicular Access

- The lower level is where all service activity the site will take place on this level. will take place. Both access too and from
- Service access can feed off the existing utility easements at this level.







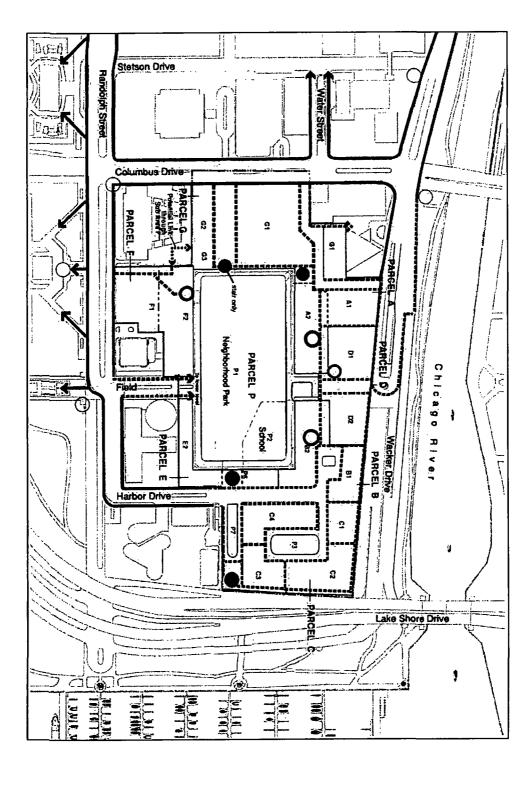
## Pedestrian Walkway System -Upper Level

### Connections to Grant Park

Park Features such as the Daley Chicago Park District. Ordinance, and also those provided by the both The City of Chicago Landscape connections will adhere to the guidelines of Park, and Millennium Gardens. These Bicentennial Garage; Cancer Survivor's upper level connecting to existing Grant Pedestrian Connections will exist on the

#### Vertical Connections

- and will be handicap accessible. Vertical connections will also be provided,
- Private developable spaces may be integrated in and around the vertical maintained to the elevators and/or stairs. connections, as long as public access is

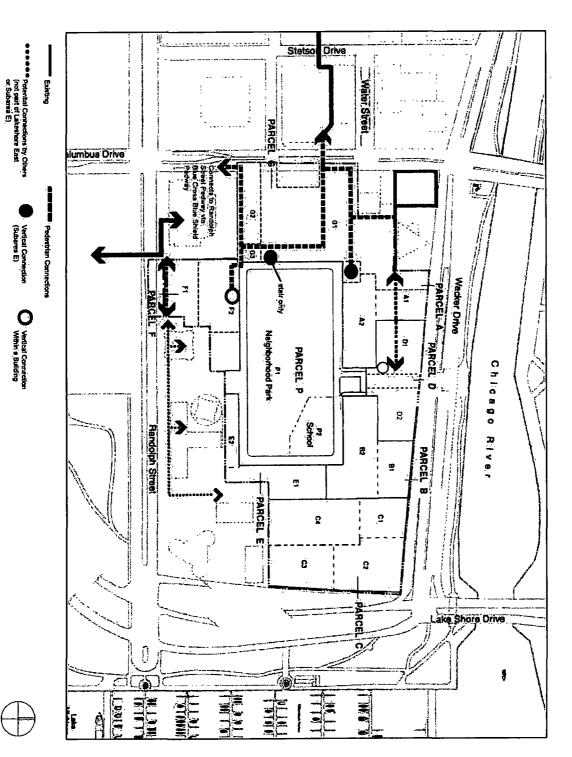




## Pedestrian Walkway System - The Pedway System

## Connections to existing pedway system

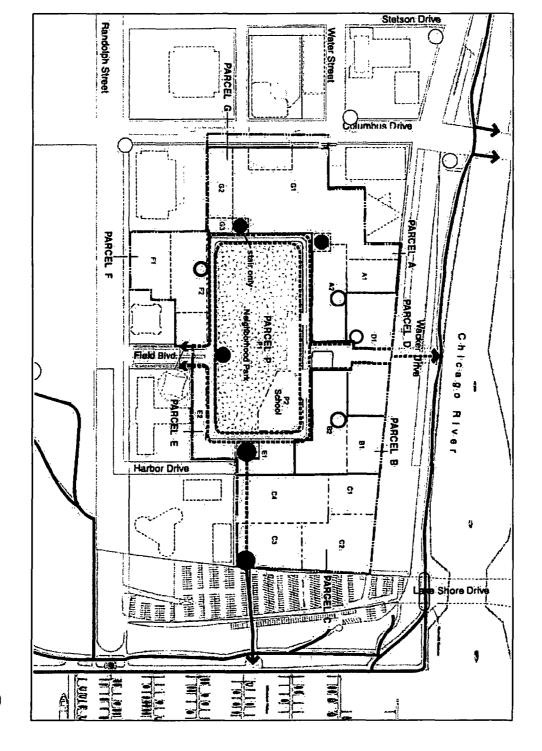
- Within Subarea E. connections to the existing pedway system will be provided to sub-parcels A1, D1, F1, G1 and G2; as shown on the adjacent diagram. In general, the new connections maintain or exceed minimum requirements, and provide a minimum / maximum.of 8°:25° walkway widths.
- Within other subarea, potential connections could feed into these proposed for Subarea E.



## Pedestrian Walkway System - Lower Level

## Connections to the River and Lakefront

- Pedestrian Connections will be provided to both the lakefront and river at the lower level. These connections will be coordinated with The Chicago Park District.
- The character of the connections to the river and lakefront should be pedestrian friendly. Omamental pedestrian fixtures should be provided. Adequate lighting levels for safety should also be provided. A safe marked zone for pedestrians should be created.
- Parking and sound should be screened from view of these pedestrian corridors to the greatest extent possible.
- Long large walls at the proposed underground pedestrian connection to the Lake and River should provide openings to active spaces when possible or be well-lit with articulated architectural details and potentially public art displays such as the existing Riverwalk Gateway at the southwest comer of the River and the Lake.



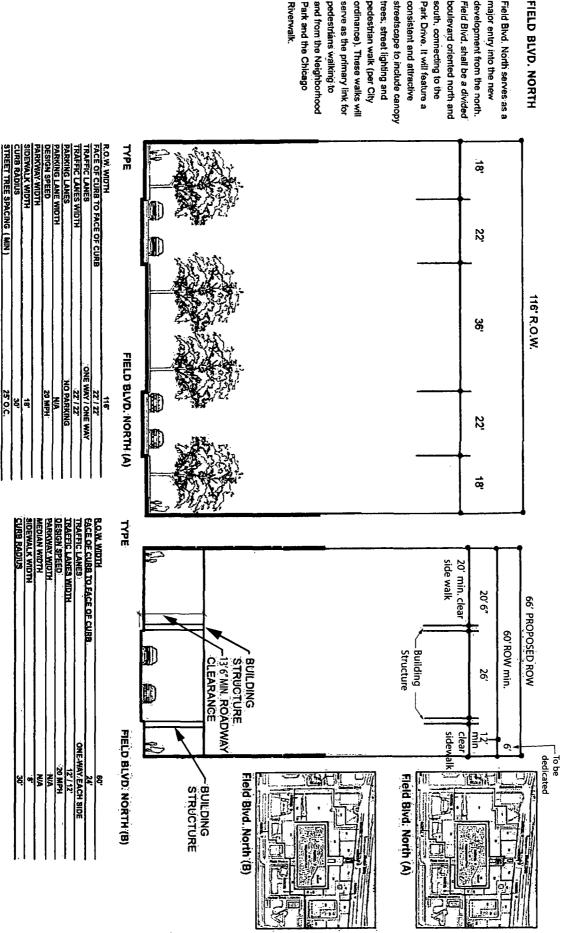
NOTE: This plan is diagrammatic in nature and is intended to show which parcels / sub-parcels should be connected to the parkway system; not the actual route for the connections:

VERTICAL CONNECTIONS: Private Developable spaces may be integrated in and around the vertical connections, as long as public access is maintained to the elevators and/or stairs.



### FIELD BLVD. NORTH

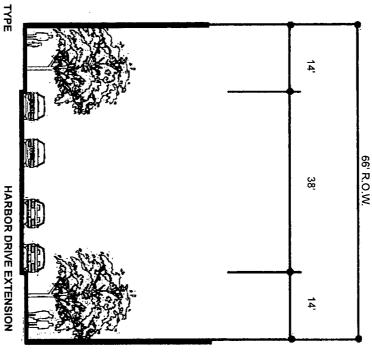
major entry into the new Park and the Chicago and from the Neighborhood pedestrians walking to serve as the primary link for ordinance). These walks will pedestrian walk (per City trees; street lighting and streetscape to include canopy consistent and attractive Park Drive. It will feature a south, connecting to the boulevard oriented north and Field Blvd. shall be a divided development from the north.



### HARBOR DRIVE EXTENSION

auto courts on the north An shall continue existing Harbor Drive north, from Randolph The Harbor Drive Extension Upper Wacker Drive at a later allows the opportunity for provided along Wacker Drive interim green space shall be Street at the south to access Harbor Drive to connect to (at the upper level). This

greatest extent possible. shall be provided to the well as other plant material ordinance). Canopy trees as shall feature a consistent and The Harbor Drive Extension include, street lighting and pedestrian walks (per City attractive streetscape to



HARBOR	
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NOICH	

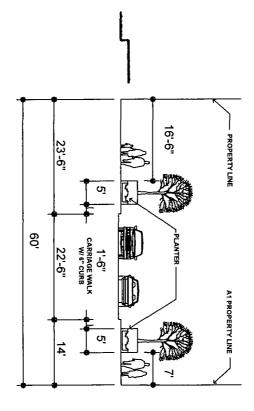
R.O.W. WIDTH	66'
FACE OF CURB TO FACE OF CURB	36'
TRAFFIC LANES .	TWO-WAY
TRAFFIC LANES WIDTH	11' / 11'
PARKING LANES	BOTH SIDES
PARKING LANE WIDTH	8'
DESIGN SPEED	20 MPH
SIDEWALK WIDTH	14'
CURB RADIUS	15'
STREET TREE SPACING (MIN)	25' O.C.

### **NORTH/SOUTH STREET**

provided to the greatest extent Canopy Trees as well as other street lighting and pedestrian development. It will feature an new development on the as additional internal access for plant material should be walks (per City ordinance). attractive streetscape including northwestern edge of the The North/South Street serves

diagrams, the 60' R.O.W. remains (paved areas vs. sidewalk areas) consistent throughout its length. As described by the following varies within the 60' width. however, the street section

the North/South St. Section. For this particular (C) section of Either (C) or (B) may be used as alternatives for this section



## COLUMBUS WACKER THRU DRIVE PLANTING MEDIAN NORTH-SOUTH STREET (A1)

Refer to Sidewalk Design Criteria 4.20 "Sidewalk on structure with low planters"

NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

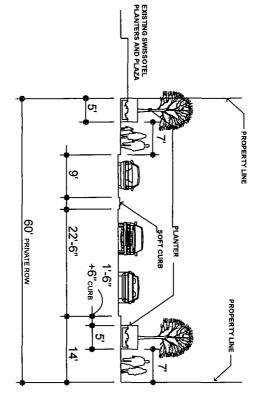
STREET TREE SPACING (MIN) 25'	CURB RADIUS 1	SIDEWALK WIDTH AS SHOW	DESIGN SPEED 201	PARKING LANE WIDTH		TRAFFIC LANES WIDTH 11'11	TRAFFIC LANES TWO	FACE OF CURB TO FACE OF CURB 22	R.O.W. WIDTH 6
25' O.C.	15'	AS SHOWN ABOVE	20 MPH	N/A	N/A	11' / 11' MIN.	TWO - WAY	22'-6"	60'

### **NORTH/SOUTH STREET**

possible. as additional internal access for new development on the provided to the greatest extent Canopy Trees as well as other street lighting and pedestrian development. It will feature an plant material should be walks (per City ordinance). attractive streetscape including northwestern edge of the The North/South Street serves

consistent throughout its length, (paved areas vs. sidewalk areas) diagrams, the 60' R.O.W. remains As described by the following varies within the 60' width. however, the street section

the North/South St. Section. Either (C) or (B) may be used as For this particular (C) section of alternatives for this section.



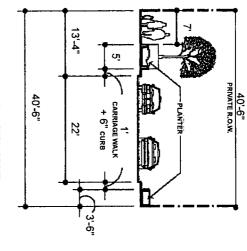
## COLUMBUS WACKER THRU DRIVE PLANTING MEDIAN NORTH-SOUTH STREET (A2)

Refer to Sidewalk Design Criteria 4.20 "Sidewalk on structure with raised planters"

NOTE. These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

STREET TREE SPACING (MIN)	CURB RADIUS	SIDEWALK WIDTH	DESIGN SPEED	DROP OFF LANE WIDTH	DROP OFF LANES	TRAFFIC LANES WIDTH	TRAFFIC LANES	FACE OF CURB TO FACE OF CURB	R.O.W. WIDTH
25' O.C.	15'	7 MIN, (INCLUDING 6" CURB)	20 MPH	9,		11' / 11' MIN	TWO - WAY	22'-6"	60"

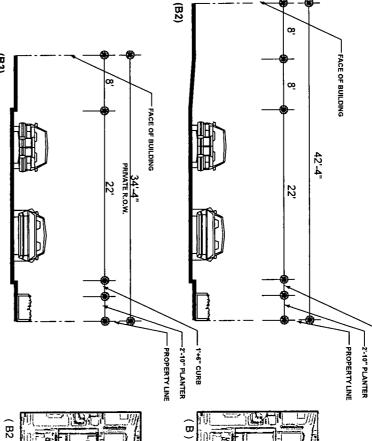
- 1'+6" CURB



## COLUMBUS WACKER E-W THRU DRIVE NORTH-SOUTH STREET (B)

Refer to Sidewalk Design Criteria 4.20 "Sidewalk on structure with low planters"

NOTE These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.



(B3)	~
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## (B2 & B3)

#### TYPE

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STREET TREE SPACING (MIN)	CURB RADIUS.	SIDEWALK WIDTH	DESIGN SPEED	PARKING LANE WIDTH	PARKING LANES	TRAFFIC LANES WIDTH	TRAFFIC LANES	FACE OF CURB TO FACE OF CURB.	RO.W. WIDTH
25' O.C.	15'	7 MIN.	20 MPH	N/A	N/A	11' / 11'	TWO - WAY	22'	40'-6"

#### TYPE

## **NORTH-SOUTH STREET (B2)**

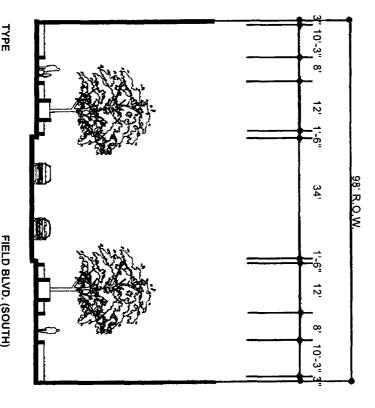
R.O.W. WIDTH	
FACE OF CURB TO FACE OF CURB	22'
TRAFFIC LANES	TWO - WAY
	11'/11'
	8' ONE SIDE
PARKING LANE WIDTH	N/A
DESIGN SPEED	20 MPH
SIDEWALK WIDTH	8' (INCLLIDING 6" CURB)
CURB RADIUS	15"
STREET TREE SPACING (MIN)	N/A

### FIELD BLVD. SOUTH

oriented north and south, development from the south. major entry into the new Freld Blvd. South serves as a terrace at the Public Park. directly to the main overlook the Park Drive. It will lead connecting Randolph Street to Field Blvd. South shall be

trees, street lighting and consistent and attractive serve as the primary link for pedestrian walks (per City streetscape to include canopy The drive will feature a pedestrians walking to and ordinance). These walks will Neighborhood Park. from Grant Park and the

may be located within the landscaping to screen the every 30" with vertical rise. appropriate landings provided along the edges with accessible walks are provided provided that handicap median but must be screened exhaust from lower level uses podiums. Air intakes and adjacent buildings' parking must provide adequate with landscaping. The 23' wide sidewalk zone maximum rate of 1.12, The street may slope down at a



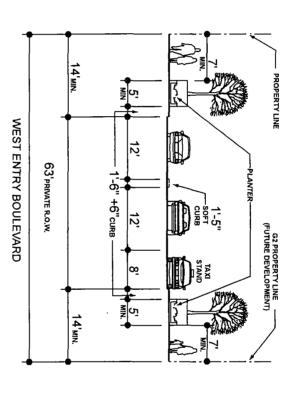
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STREET TREE SPACING (MIN)	CURB RADIUS	MINIMUM SIDEWALK WIDTH	MINIMUM PARKWAY WIDTH	DESIGN SPEED	PARKING LANE WIDTH	PARKING LANES	TRAFFIC LANES WIDTH	TRAFFIC LANES	FACE OF CURB TO FACE OF CURB	ROW, WIDTH
25' O.C.		6.	T	20 MPH	N/A	NO PARKING	17'117'	TWO WAY	34'	98'

## **WEST ENTRY BOULEVARD**

The West Entry Boulevard serves as a major entry into the new development from the west. The West Entry Boulevard connects to Columbus St. and the new North South Street, which will also enhance the connectivity to the park from the west.

This street will feature a consistent and attractive streetscape including canopy trees, shrubs, groundcover, perennials, street lighting and pedestrian walks (per City ordinance). These walks will serve as the primary link for pedestrians walking to and from the west and the Neighborhood Park.

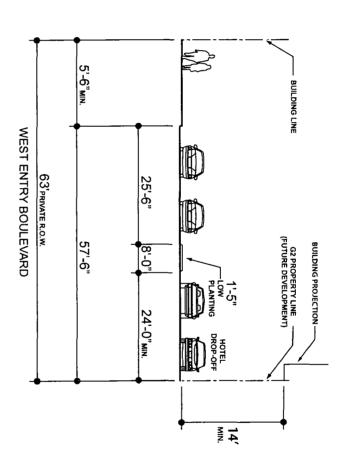


R.O.W. WIDTH	63'
FACE OF CURB TO FACE OF CURB	33'-6"
TRAFFIC LANES	TWO WAY
TRAFFIC LANES WIDTH	12' / 12'
PARKING LANES ( TAXI )	ONE SIDE
PARKING LANE WIDTH (TAXL)	8'
DESIGN SPEED	20 MPH
SIDEWALK WIDTH	7' MIN.
CURB RADIUS	15'
STREET TREE SPACING (MIN)	25' O.C.
The second secon	

## WEST ENTRY BOULEVARD

The West Entry Boulevard serves as a major entry into the new development from the west. The West Entry Boulevard connects to Columbus St. and the new North South Street, which will also enhance the connectivity to the park from the west.

This street will feature a consistent and attractive streetscape including canopy trees, shrubs, groundcover, perennials, street lighting and pedestrian walks (per City ordinance). These walks will serve as the primary link for pedestrians walking to and from the west and the Neighborhood Park.



N/A	STREET TREE SPACING (MIN)
15"	CURB RADIUS
5'-6" MIN.	SIDEWALK WIDTH
20 MPH	DESIGN SPEED
œ.	PARKING LANE WIDTH (TAXI)
ONE SIDE	PARKING LANES ( TAXI )
AS SHOWN	TRAFFIC LANES WIDTH
TWO WAY	TRAFFIC LANES
57'-6"	FACE OF CURB TO FACE OF CURB
63'	R.O.W. WIDTH

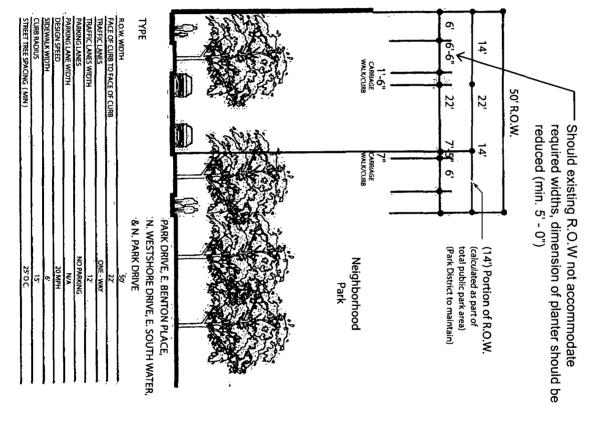
### THE PARK DRIVE

provide a pedestrian-friendly drop-off adjacent to the school direction which allows curbside plant material in a parkway the Neighborhood Park. It will neighborhood drive encircling The Park Drive shall be a experience along the drive. walks (per City ordinance) shall Street lighting and pedestrian that traffic circulate in a setting. It is recommended include canopy trees and other attractive streetscape to feature a consistent and pedestrian-friendly

From the intersection at Field Blvd. South, the Park Drive shall slope at a maximum rate of 1:20 in order to ensure handicap accessibility along the sidewalks.

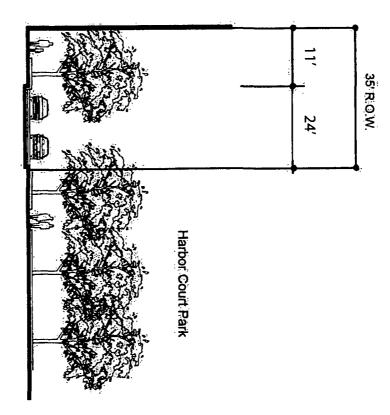
The 15' wide zone at the perimeter of the park includes sidewalk & parkway which will be maintained by the city of Chicago.

NOTE: The Chicago Park District has agreed to maintain the landscaped parkway and sidewalk located on the park side.



### HARBOR COURT

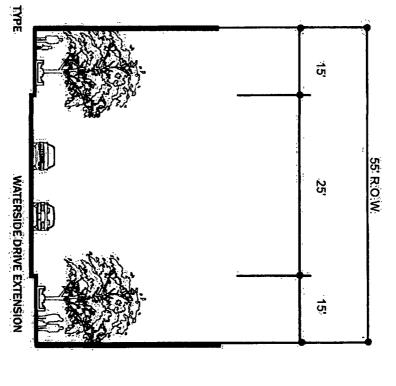
neighborhood drive encircling: the interior court. It will feature a consistent and attractive streetscape. Canopy trees and other plant material shall be provided to the experience within Harbor Court. walks (per City ordinance) shall provide a pedestrian-friendly greatest extent possible.
Street lighting and pedestrian pedestrian-friendly Harbor Court shall be a



STREET TREE SPACING (MIN)	CURBRADIUS	SIDEWALK WIDTH	DESIGN SPEED:	PARKING LANE WIDTH	PARKING LANES:	TRAFFIC LANES WIDTH	TRAFFIC LANES	FACE OF CURB TO FACE OF CURB	ROW WIDTH	TYPE
25°O.C.	-97	140	10 MPH	NA	NA	12	ONE " WAY	×	35	HARBOR COURT

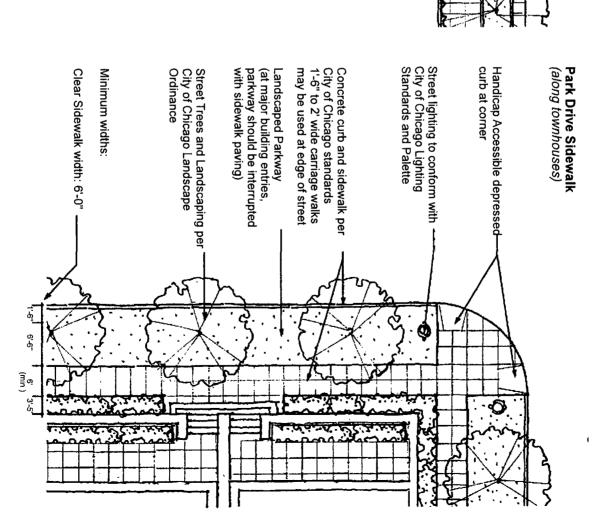
### WATERSIDE DRIVE

Canopy trees as well as other plant material shall be provided to the greatest extent possible. street lighting and pedestrian walks (per City ordinance). Waterside Drive shall feature a consistent and attractive streetscape to include.



	STREET TREE SPACING: 25 O.C.	CURB RADIUS	SIDEWALK WIDTH:	DESIGN SPEED: 20 MPH:	PARKING LANE WIDTH	PARKING LANESNIA	TRAFFIC LANES WIDTH: 117/11 MIN.	TRAFFIC L'ANES. TWO WAY	FACE OF CURB TO FACE OF CURB	ROW WIDTH.
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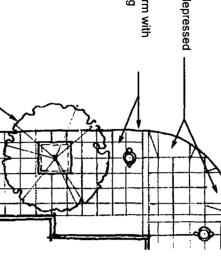
# Sidewalk with in - Ground Planters, Flush with Sidewalk (total width varies) Handicap Accessible depressed curb at corner Street lighting to conform with City of Chicago Lighting Standards and Palette At corners with bus stops, trees in grates preferred over curbed planters. 5' to 6' in width 1-6" to 2' from face of curb min. Concrete curb and sidewalk per City of Chicago standards Planters per City of Chicago Landscape Ordinance Street Trees and Landscaping per Street Trees and Landscape Ordinance



## Sidewalk Along Park Road (High Impact Development)

curb at corner Handicap Accessible depressed

Street lighting to conform with City of Chicago Lighting Standards and Palette



Ordinance Street Trees in pits & Tree grates per City of Chicago Landscape

School Retail or Institutional

Concrete curb and sidewalk per City of Chicago standards

### Sidewalk Along Park Road (Moderate Impact Development)

Street lighting to conform with City of Chicago Lighting Standards and Palette curb at corner Handicap Accessible depressed

Concrete curb and sidewalk per City of Chicago standards

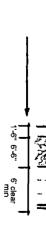
30' planter length suggested.
Raised planter may include a
12" decorative iron railing &
6" high by 6" wide curbs

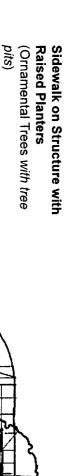
Buildings High or Mid-rise

Ordinance City of Chicago Landscape Street Trees and Landscaping per

Outside planter dim: 6'-6"
Min. inside planter dim: 5'-6" Minimum widths: Clear Sidewalk width: 6'-0"

14' min





curb at corner Handicap Accessible depressed

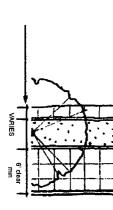
Concrete curb and sidewalk per
City of Chicago standards Handicap
Accessible depressed curb at corner

Minimum 4' x 4' x 30' single planters 3'-0" minimum solid depth for all trees.

Street Trees and Landscaping per City of Chicago Landscape Ordinance

## Structural Soil between tree pits per Chicago Landscaped Ordinance —

Minimum widths: Clear Sidewalk width: 6'-0"

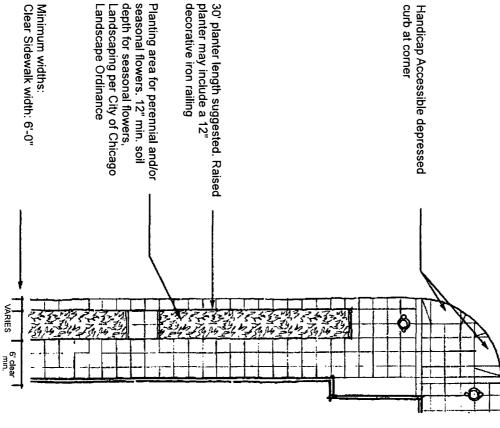


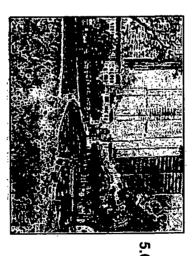
Landscape Ordinance

### **Low Planters** Sidewalk on Structure with

(perennial / seasonal flowers)

curb at corner Handicap Accessible depressed





### 5.0 Open Space

Introduction

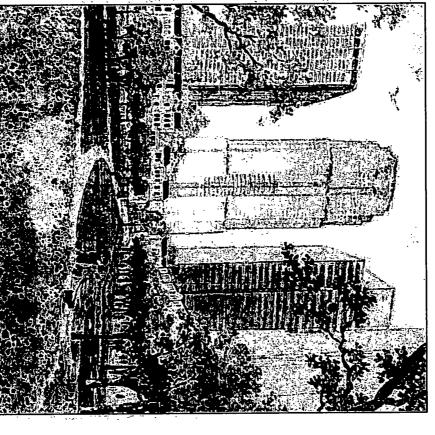
Public Park Zone

The Open Space Framework

The Neighborhood Park. Preliminary Conceptual Design

The Neighborhood Park Programming Zones

Neighborhood Park Preliminary Landscape Guidelines Parks and Open Space<sup>.</sup> Parcel C Parks and Open Space<sup>.</sup> Other Parcels



#### Introduction

open space which includes courtyards, approximately 12 acres of publicly accessible area will be approximately 6 acres in its size a series of green spaces including a large urban setbacks. pedestrian promenades, and landscaped Overall the Lakeshore Fast site will contain neighborhood park. The neighborhood park The open space of Lakeshore East is defined by

city. A strong connection to Grant Park via Field Blvd will also be provided provided as links to the great open space of the Connections to the river and the lakefront will be

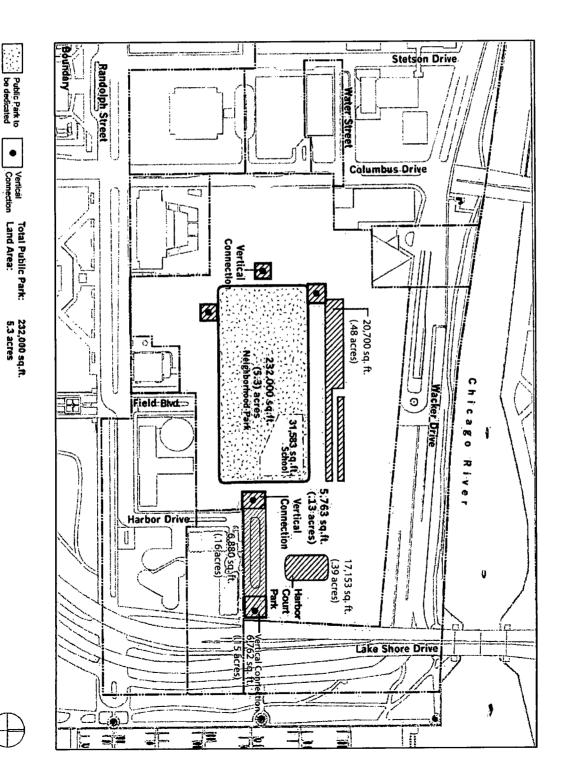
open space where trees and landscaping can flourish lower level on grade creating a neighborhood residents. It will essentially be located at the an amenity for both future and existing The neighborhood character of the park will be

will enhance and link the surrounding open for both new and existing residents. courtyards will serve as open space amenities space amenities. Interior green spaces or will be courtyards, and open space buffers which In conjunction with the neighborhood park there

Roof top gardens are strongly encouraged to be created on various buildings. These gardens will buildIngs. enhancing the environmental aspects of the provide a landscaped aesthetic while also

> landscaped with trees, flowering planters and Linear pedestrian promenades will be paved sidewalks. These pedestrian streets will developments emergency vehicular access to future west edges of the site, but will also provide act as promenades that connect the cast and

Public Park Zone



NOTE: These plans are diagrammatic in nature and they indicate the approximate location and intent of the school location, the spaces to be dedicated as public parks and the vertical connections, but not the precise locations.

Public Space not dedicated

Total School: Land Area:

31,583 sq.ft. .73 acres



parks, promenades and courtyards. Shore East consists of a variety of The Open Space Framework for Lake

THE NEIGHBORHOOD PARK

PARCEL C HARBOR COURT PARK

PARCEL C LINEAR PARK

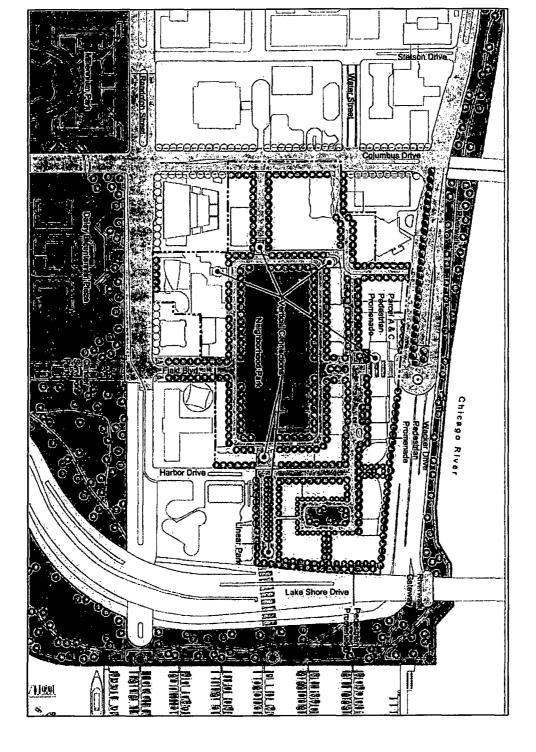
PARCEL C LAKESHORE DRIVE PROMENADE

PARCEL A&D

PEDESTRIAN PROMENADE

PARCEL B&C WACKER DRIVE PROMENADE

VERTICAL CONNECTIONS



NOTE. These plans are diagrammatic in nature and they indicate the approximate location and the intent of the vertical connection, not the precise locations.



#### **Preliminary Conceptural Design NEIGHBORHOOD PARK**

Neighborhood Park is intended to function as a public designed and constructed to encourage public use. The A large public park ("the Neighborhood Park") shall be the surrounding office, hotel and residential neighborhood. space for outdoor events and provide a gathering space for

## Neighborhood Park Scale & Character

in the center of the park, should be avoided. The park neighborhood open space. Large permanent active athletic neighborhood use as opposed to a regional attraction. should be designed and scaled appropriately for uses, with the exception of the multi purpose open space The park should generally function as a passive

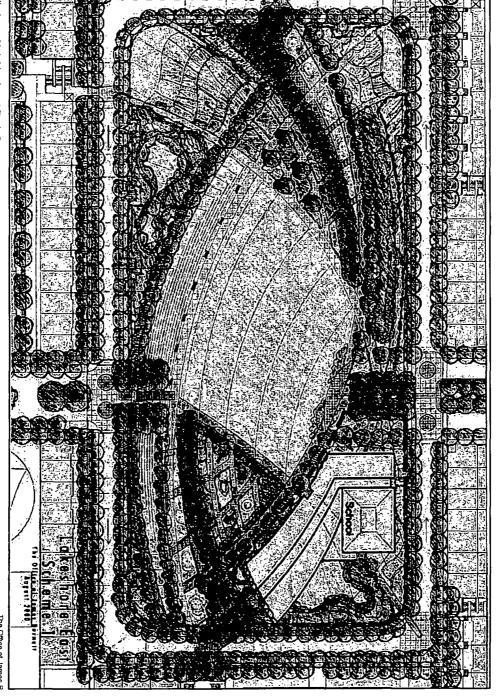
### Park Perimeter / Entries

define the edge of the park. sidewalk should complete the Park Drive streetscape and gathering. A wide landscaped parkway planted with sidewalks, seat furniture, lighting and terraces for to define the park as a public space and will offer large canopy trees and a continuous 6' wide pedestrian The perimeter of the Neighborhood Park shall be designed

panoramic view of the park. A public stairway and of the park near the Field Blvd. intersection to provide a An entry overlook terrace shall be created at the south end Access to the park shall be directed to and controlled at key locations through (lie use of identifiable entry areas. handicap accessible ramp shall be provided at this

aligned with the vertical connections in parcels E and G. The eastern and western entries to the park shall be

coordinated with these entries and the flow of traffic. Pedestrian crosswalks along the Park Drive should be



Preliminary Neighborhood Park Concept (For Illustrative Purposes Only)

The Office of James E



### Park Programming Zones

sloping open green space to be used for both active and The park shall include a centrally located, large, gently

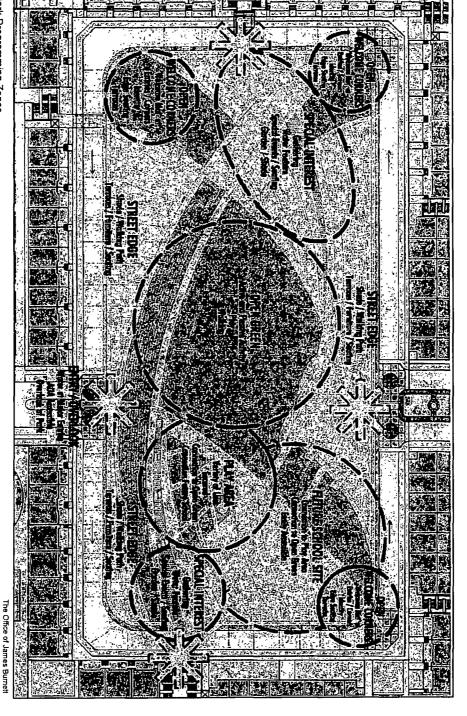
playground shall be placed within the park in a location completed within the first phase of development. The to the open green. The approved park design shall be age groups. The play area should also be located adjacent school, and offer a variety of play opportunities for several A secured play area shall be provided adjacent to the future where it will not be impacted by future school construction

of a dog park will alleviate the requirement to install individual A centrally located dog park may be provided. The inclusion dog runs/facilities in the individual residential buildings.

this area shall be designed and built to similar open space A future school zone at the northeast corner of the park standards as the rest of the park for interim open space use. shall be designated. Prior to construction of the school. The future school will share space for Chicago Park

#### Topography

high should be avoided. retaining walls at the perimeter of the park more than 3' sloped roadways at the perimeter of the park. Large topography should transition smoothly to the various north up to e1.+30-00 CCD to the south. The park The park shall generally slope front el.-+6.00 CCD on the



Park Programming Zones



## PRELIMINARY LANDSCAPE GUIDELINES

community. The following preliminary landscape The design of the park will be coordinated with the City guidelines indicate minimum standards for landscape of Chicago, The Chicago Park District, and the

publicly accessible neighborhood open space areas must be executed with these same minimum standards. The design and quality of Landscape Materials within all

townhomes/towers, and other special pedestrian walkways could utilize higher quality materials. promenades, entry ways for residential materials such as concrete. Areas such as the linear ADA accessible. Typical sidewalks shall use more basic nature of pedestrian spaces. Park pathways shall be will be determined by the intended use and the overall The location of sidewalks and their particular treatment

Paving materials for pedestrian paths may include, yet decomposed granite concrete, concrete unit pavers, brick, stone pavers, are not limited to, the following concrete, colored

#### Landscaping

providing flourishing species of diverse vegetation, while small courts or special areas within the park Ornamental planting should be used for local points, also promoting the use of indigenous plant materials The landscape is intended to enhance the site by

> guidelines for plantings: be consistent with the City of Chicago Landscape Ordinance. The following is a brief summary of some additional The planting specifications for new landscaping should

#### Lawn Areas

praine grass or meadows may be used as ornamental beds. Active and passive lawn areas will incorporate sod. Ornamental

#### Existing Trees

as desirable species shall be protected, maintained and/or To the greatest extent possible, the existing trees designated space areas. relocated into the neighborhood park or other future open

installation) shall be followed: The following minimum guidelines for tree sizes (at time of

- 1) Minimum root ball sizes for trees
- shall conform to ANLA Standards
- Specimen tree size:
- Ç) a) Minimum caliper: 6 inches
- b) Height range 20' to 30' feet

Shade tree size

- b) Height range · 18' l0 25' feet a) Minimum caliper: 3 1/2 4 inches
- 4) Small upright/ornamental tree size
- a) Minimum caliper 2 7/2 inches
- $\Box$ b) Height range : 6' l0 12' feet

### Shrubs and Other Plantings

plant types shall be followed These minimum guidelines for the following miscellaneous

- 1) Shrubs:
- a) Minimum container size 5 gallon b) Height range 1 1/2 to 3 feet minimum

2) Vines, trailing ground cover or trailing perennialsa) Minimum container size 1 gallon.

- b) Minimum number of runners: 4.
- $\Box$ c) 8 inches minimum length of runners
- 3) Clump ground covers or clump perennials
- a) Minimum container size: 1/2 gallon
- 4) Annuals: b) crown and roots shall be well developed within container
- 23 a) Minimum container size: 2-1/2 inch pots
- b) Height range: varies with annual type
- c) Full crown with healthy, vigorous flowers

### Landscape Irrigation System

A landscape irrigation system will be provided in all necessary areas for the general maintenance, and up keep of vegetation.

#### Water Features

to create a serene space. Larger, more active and participatory fountains larger setting can help to draw large gatherings of people and provide a focal point for a with their setting. For example, smaller, more intimate fountains may help If water features are to be created in the park, they should be compatible

features in the park. maintenance should also he carefully considered in the design of water use arid desired aesthetic effect. Public safety, wintertime use, and necessary equipment (i.e. filter, purnps, nozzles, etc.) required for efficient The technical design of a potential water feature should incorporate the

If utilized, fencing in the park shall provide for safety only. Fencing should not hinder public access through the site.

aesthetic setting of the park. High quality materials such as ornamental to a maximum height of 48" metal, black in color, should be used for fencing. Fencing shall be limited Fencing should be ornamental in its nature in order to enhance the overall

### Playground Equipment

surface to ensure both durability and safety. The equipment should be equipment shall meet ADA requirements. designed to accommodate a variety of users and age ranges. Playground Playground equipment will be furnished with the latest materials and safety

#### Park Furnishings

number of materials which could include steel, stone, wood, etc.. Site furnishings will be supplied where applicable Furnishings may utilize a

be coordinated and approved by the City of Chicago and The Chicago Park but not limited to, lighting, benches, bike racks, and trash receptacles shall The design and selection of the Neighborhood Park furnishings including,

#### Structures

gazebos, may help to enhance the pedestrian realm and provide shade. character with the park setting. Small structures such as arbors, pergolas, a place to rest and create a sense of place. Structures within the park setting should be simple, small in scale and in

#### **Park Lighting**

fixtures shall be spaced at a comfortable distance in order to Park lighting shall he provided and should incorporate pedestrian provide adequate lighting for safety at night. friendly ornamental fixtures placed along the park paths. The

#### PARCEL C PARK

to the internal park Encircled by the Harbor Court drop off, safe and convenient pedestrian access shall be provided front all Parcel C buildings The Parcel C Park shall be an open space centrally located within the future residential area on the east side of the development

walking paths, special paving, seating, and lighting in addition to special ornamental planting. A small children's playground could also be provided in this open space. The park should feature a common green to be used for both active and passive uses. The park should provide

### PARCEL C LINEAR PARK

automobile and emergency access to the two southern residential buildings in Parcel C. The Linear Park connects pedestrians front the extension of Harbor Drive to the lakefront. This space also provides

with canopy trees, shrubs, ground cover, and perennials. designed with canopy trees, special plantings, lighting, and special paving surfaces. The median should be planted This linear green space shall be designed to create a pedestrian friendly environment. The sidewalks shall be

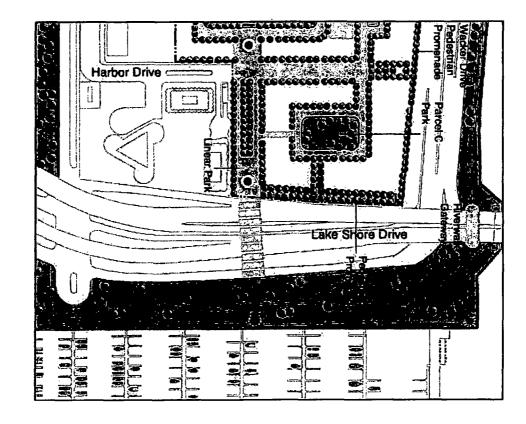
converted into permanent open space. However, emergency vehicular access through this space, connected to Harbor entire Parcel C development is completed, and the internal Harbor Court drop off is operating, this space shall be An interim driveway/drop off may be provided (if required) for access for buildings on Parcel C3 and C4. Once the Court, must be maintained.

further landscape standards for this vertical connection) At the eastern edge of this space, a stairway and elevator shall be provided for pedestrians to connect to the existing walkway under Lake Shore Drive, connecting Lakeshore East to the lakefront and DuSable Harbor. (See page 5.8

## PARCEL C LAKESHORE DRIVE PROMENADE

plantings, lighting, and special paving surfaces edge of the development. This 40' wide (minimum) promenade shall be designed with canopy trees, special This linear green space shall be designed to provide a publicly accessible pedestrian promenade along the eastern

elevation of Lake Shore Drive. Parking podiums must be screened front view through the use of architectural or Special consideration must be given to its relationship to take Shore Drive. Its location may be at or above the

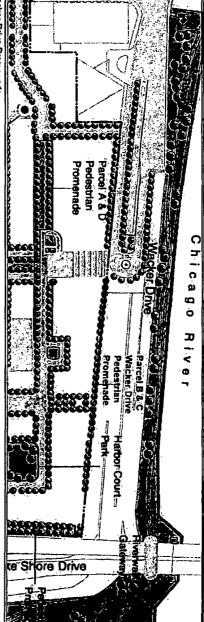




## PARCEL B & C WACKER DRIVE PROMENADE

special paving surfaces. with canopy trees, special plantings, lighting, and northern edge of the development at the upper level. publicly accessible pedestrian promenade along the This linear green space shall be designed to provide a This 20' wide (minimum) walkway shall be designed

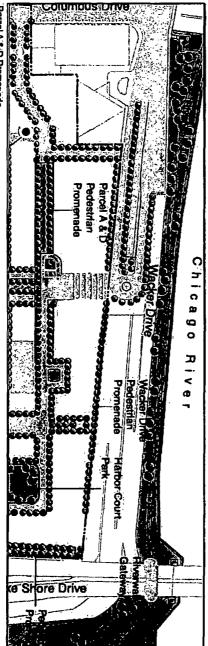
promenade design. should be encouraged and integrated into the Opportunities for sidewalk cafes and terrace overlooks



### Wacker Drive Promenade

### PARCEL A & D PROMENADE

future development. The pedestrian walk shall be a east west connection at the upper level through the spanning Field Blvd. North. Both the promenade & Drive. This promenade shall lead to a pedestrian bridge the buildings fronting Upper Wacker Drive & the Park publically accessible pedestrian promenade between minimum of 17 wide and designed to accommodate pedestrian bridge shall provide an important secondary A linear green space shall be designed to provide a



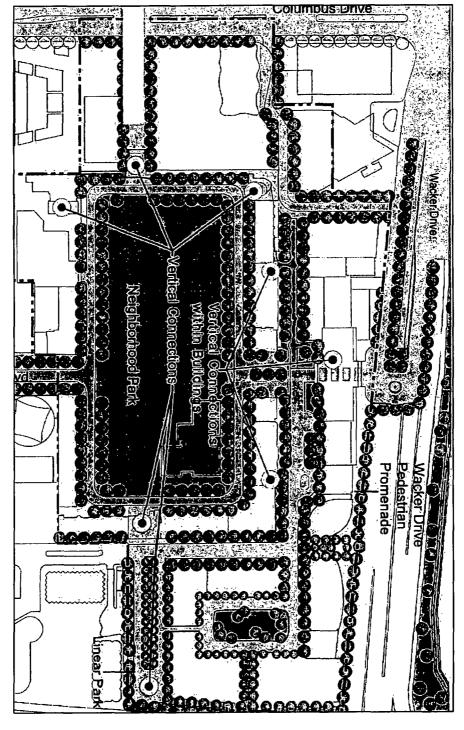
Parcel A.& D Promenade

June 10, 2015

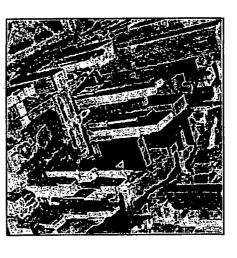
### VERTICAL CONNECTIONS

to connect upper level development with the park ring A minimum of 4 vertical connections shall be created as is feasible. must be included in Parcel C along Lake Shore Drive. roads. As previously mentioned, one additional connection These connections must include stairways and elevators

surrounding areas. These connections must provide features incorporating plantings to help soften this Neighborhood Park and streetscape design through the design of the stairways shall complement the between the upper and lower levels of the district. The attractive and convenient pedestrian friendly access connections will ensure views into the park from the Placed at each edge of the Neighborhood Park, these connection between levels. These connections shall be treated as landscape use of special paving, lighting, hand rails and planters.



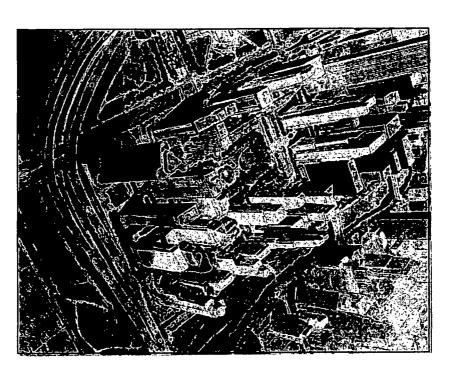




### 6.0 Design Standards

Introduction
Development Review Process
Controls for Development
Parcel Design Criteria
Building Design Criteria
Building Materials
Environmental Considerations





### Introduction

are intended to ensure that the basic Lakeshore The Design Standards included as part of the Lakeshore East Planned Development submittal East Master Plan concepts are realized These Master Plan Concepts include:

- Create urban street walls that support a diverse pedestrian experience.
- that physically and visually connects Create an enhanced pedestrian environment to adjacent public areas. activities within existing and future buildings
- extent possible. Establish view corridors for Preserve views from existing development new development through new development to the greatest
- Create a distinctive skyline.

in the building of Lakeshore East. designers, developers and city agencies involved intentions which should be used by the The following standards describe specific design

# **Development Review Process**

a self-policing structure for evaluation of building permit. approval, prior to submission to the City for the Department of Planning and Development for projects which shall be submitted to The Design Standards are intended to establish

elevations, material samples, and information on include detailed site plans, building plans, the Master Plan. All design submissions should all development proposals for conformance with Design Review Committee for internal review of dimensional renderings. information including models or three Committee may also request additional project lighting and signage. The Design Review The developer shall establish a Lakeshore East

Standards will require discussion with the City of Master Plan. Any modifications deemed do not negatively impact the intent of the Development and a consensus that the changes Chicago Department of Planning and Any modifications to the Master Plan or Design Plan & Partial PD Amendment. significant will require an update to the Master

## Controls for Development

to permit flexibility in responding to specific neighborhood park. The parcels have been defined development parcels organized around a design refinements over time. may adjust slightly in order to accommodate building development proposals. The sub-parcel divisions The parcels have also been divided into sub-parcels to accommodate the projected long term build-out. The Lakeshore East Master Plan establishes seven

sub-parcel. Also, the Department of Planning and and lowrise development. The development of each Development may administratively allow minor a way to allow some design flexibility within each streetwall criteria, are defined for each parcel. distinct sets of controls, building mass criteria and the placement of buildings within the parcels, access The building envelope controls mandate Site design criteria include pedestrian and vehicle site design criteria and building envelope controls parcel within the Master Plan is governed by a set of envelope controls. modifications or adjustments to the building strong sense of place at the pedestrian level. Two setting a pattern of development that creates a The Master Plan envisions a mix of highrise, midrise The building envelope controls have been created in

## Maximum Developable F. A R.

as long as the maximum building envelopes are but may be shifted from one parcel to another parcel area will not fill the entire allowable building envelopes has been established. This maximum developable Within Subarea E, a maximum developable area

> at or above the building entry shall be included. developable F.A.R. area, only the inhabitable area For the purpose of calculating maximum

## Parcel Design Criteria

### Pedestrian Access

successfully creating an urban neighborhood in development parcels are also encouraged. Midblock pedestrian walkways which pass through blockface should not exceed the length of a typical between two pedestrian walkways along a single A well-connected pedestrian network is critical to downtown Chicago block or approximately 320'. Chicago. The maximum allowable distance

### Vehicular Access

level must be screened with occupied space textures and color. All parking above the street through the use of similar materials, patterns, should not exceed 20 feet in width. Parking entries shared property lines is encouraged. Curb cuts accommodate the bulk of parking and service should be integrated with the building's architecture minimum and the use of shared curb cuts along below grade. Curb cuts should be kept to a The multi-level plan of Lakeshore East should

Service access is permitted at the lower level only

## Emergency Vehicle Access

identified on the parcel diagrams Required emergency vehicle access easements are

### Interim Uses

such as open space, construction staging areas Undeveloped parcels may support interim uses

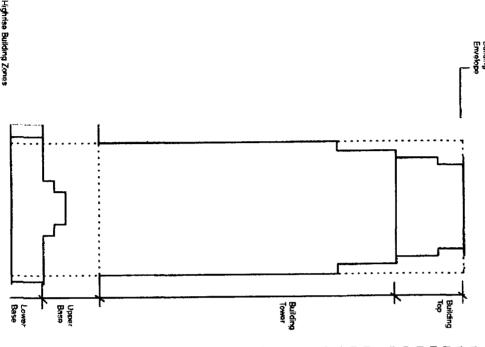
> improvements and timeframe of interim use. Development They should identity all temporary reviewed by the Department of Planning and and surface parking Interim use plans will be

### Utility Easements

must be coordinated with existing and future utilities of structural easements within existing easements may fall within the easement corridors. The placing structural columns/caissons for the structures above typically occur along the easements and intermittent roadway, generally at elevation +6 C C D., will at the lowest level of the parking structure A service site, the existing utility corridors will generally occur throughout the site. As future development fills in the below approximately +20.92 C.C.D. currently exist Dedicated and Conveyed to the City of Chicago Utility easement corridors, varying in width and

accessibility to building service vehicles and to to all utility entities, and will also have controlled These utility easement corridors must be accessible adjacent parking structures.

roadways on grade throughout the site. utilities will also occur within the new dedicated relocated into the new, final utility corridors. New Existing utilities, will either remain in place, or be



# **Highrise Building Design Criteria**

setback, a reveal or a cornice line. between the tower and top. The transition lines level lower base, between the base and tower, and may be achieved by a change of material, a lines should be articulated at the two or three story the building base, the tower and the top. Transition Highrises are characterized by their three elements

### **Building Base**

It is important that the base of the building extend environment and support a close relationship to the sidewalk to define the urban street streetwall standards for the base include between activities in each building. Special

## Setbacks and Building Lines

sidewalk (specified by property line, easement or to 25% of the frontage from the defined edge of building may setback a maximum of 10 feet for up landscaping or other amenities. include sidewalk cafes, seating, public art. sidewalk it the additional setback is designed to setback) for at least 80% of the frontage. The The building must extend to the defined edge of the

# **Ground Floor Active Building Uses**

### and Transparency

walls are not encouraged allow viewing into the interior of the building Blank level facade of the building should be transparent to include retail, lobbies or office space The ground ground floor of the building. These active uses may pedestrian environment are encouraged along the Active uses that are visually connected to the

### **Building Envelope**

envelopes are identified for each sub parcel. maximum building envelope. Maximum building Building mechanical floors may extend beyond the

### **Building Tower**

should be toward the primary street frontage. Generally, the preferred location for highrise towers

### **Building Top**

extend above the maximum building height, and is top. The height of a vertical design element may integrated with the design of the building tower and treatment to express building identity. Vertical building top should provide special architectural A varied and distinctive skyline is desired. The Department of Planning and Development subject to administrative approval by the design elements, such as spires, should be

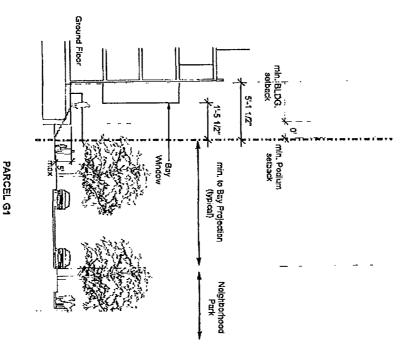
All rooftop mechanical systems including view within an architectural enclosure communication devices should be concealed from

## **Building Separation**

not extend into the minimum building separation. projections, such as balconies or bay windows, may between non-party walls is required. Building To preserve views, a minimum separation of 40'

### Building Height

Building height is defined as the vertical distance rooftop deck access rooms, habitable areas of the building. For purposes of identifying to the top of the highest occupied floor for each parcel building base, tower and top are identified Maximum heights, identified in total feet, for the comprising less than 20% of the total room area. mechanical rooms, elevator machine rooms & overruns, occupied spaces, the following areas shall be excluded: from the curb level opposite the front of the building



NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

---- Property Line

### Townhouses

townhomes along the Park Drive screen all existing continuous streetwall that frames the park and It is important that the townhouses create a and future podiums from view. Special townhouse special pedestrian ways. It is also important that the

# Setbacks and Building Lines

grouping along the same street frontage should a minimum landscape setback of 5' from the maintain a similar setback from the side walk & setback may be 3'. Entry stairs may extend into the setback) East of Field Blvd. South, along the East sidewalk (specified by property line, easement or from their padium. landscape setback. Townhouses within a particular West segment of Park Drive, the minimum podium The design of the townhouse podiums shall include

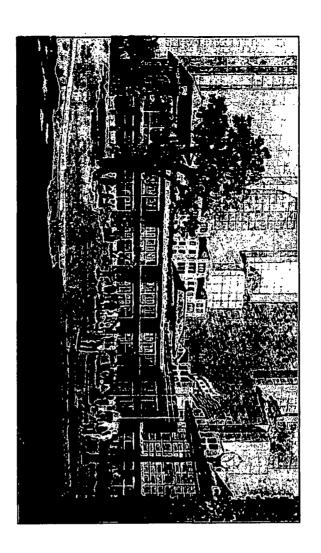
### Orientation

street frontages should address both streets. the street". Stacked townhouses which have two living space at the ground floor to ensure "eyes on The townhouses should be designed to include Townhouse entries should be oriented to the street

in order to create an aesthetically diverse encouraged within groupings of townhouses, Variety in the use of materials and articulation is neighborhood setting.

### **Building Height**

with the design of the townhouse roof. Maximum rooftop area and should be architecturally integrated roof top enclosures may not exceed 10% of the extending beyond the maximum building height, to the highest point of the townhouse roof. If from the curb level opposite the from of the building Building height is defined as the vertical distance heights for the townhouses are identified for each



### School Building

further defined by the following specifications sections from the Chicago Public Schools Project space. The "core and shell" of the building can be the building such as a pump room, or mechanical proposed building. The developer shall also required to prepare the site for construction of the elementary school will include the site work Manual dated 1999 / 2000 (a copy of which is on Finally, it will include all utilities to a location within and glazing, exterior masonry, and exterior doors. exterior enclosures with windows, storefront, glass roofing Additionally, it will include complete provide the "core and shell". It will include all cast in The scope of the work for the 45,000 sq. ft file at the Department of Planning and place concrete structural steel, steel joists, and

Exterior Metal Window Guards Glass and Glazing - Exterior Only	Aluminum Windows	Aluminum Entrances and Storefronts	Overhead Coiling Doors	Steel Doors and Frames - Exterior Only	Joint Sealers - Exterior Only	Roof Accessones	Exterior Only	Flashing and Sheet Metal -	Manufactured Roof Panels	Firestopping - Extenor Only	Modified Bituminous Sheet Roofing	Spray on Fireproofing	Bituminous Dampproofing 07160	Exterior Only	Expansion Joint Assemblies -	Metal Fabrications - Extenor Only	Steel Deck	Steel Joists and Girders 05220	Structural Steel	Cast Stone - Extenor Only 04720	Unit Masonry - Exterior Only	Concrete Finishes	Cast in Place Concrete	Exterior Structure including	Water Service	Sewers and Site Drainage 02700	Water Distribution	Excavation and Back filing	Site Clearing	Demolition
08661	08520	08410	08330	y 08110	07900	07700	07600		07410	07270	07257	07250		05810		05500	05310		05120		04200	03312	03300		02707		02510	02221,0222	02212	02060

### **Building Elements**

Special consideration will be given to the following building elements during the development review process.

### Landscape Roofs

Green roofs, or roofs that are covered with planting, can provide great benefits to the building as well as the urban environment and are encouraged. Green roofs may be used to reduce the building's energy costs and also reduce stormwater runoff.

A majority of the defined green roof area should be covered by vegetation. Public access is not mandatory but maintenance access should be maintained. Future installations, such as mechanical equipment, should not have a negative impact on the landscaped area.

Drought resistant indigenous plants that require minimal maintenance are recommended.

#### \rcades

Arcades are allowed, but must be continuous along the entire length of the building frontage from one street right of way to the next street. Minimum width is 8 feet clear, maximum width is 20 feet and minimum clear interior height is 14 feet. Arcades shall have a minimum decorative pavement treatment, and landscaping, seating or other public amenities as appropriate. Arcades shall not contain parking spaces, passenger drop-offs, ramps, loading berths or any other vehicular use, or trash storage frequency.

# Through Block Pedestrian Connections

Indoor and outdoor through block pedestrian connections are encouraged.

Enclosed Through Block Connections
The connection should provide a continuous
unobstructed corridor of at least 20 feel in width and
the unobstructed height must be a minimum of 15
feet. Material finishes and lighting should be
selected to ensure a pleasant and safe pedestrian
connection.

Exterior Through Block Connections

The connection should be a continuous unobstructed space at least 20 feet in width. A minimum clear height of 20 feet is required where the connection is not open to the sky. Building elevations that border the connection should include active building uses where possible or be treated with plantings, murals and other architectural details

### Salconie

Balconies are permitted but must be integrated within the design of the building facade.

### Bay Windov

Bay windows are allowed and may extend above a ground level landscape setback but may not extend into the minimum building separation.

## Canopies and Awnings

Canopies and awnings are permitted for retail development and building entries. Canopies and Awnings should be integrated with the design of the facade. Materials should be high quality and may be either fixed or retractable, They must meet all

applicable City Codes and regulations.

### xhausts

Exhaust vents should not be located on a building's primary street frontage.

### Signage

Signage is to be kept to an absolute minimum. The design of signs should be compatible with the building and street frontage High quality and durable materials are encouraged. Signs should be mounted in locations that respect the design of the building, including arrangements of bays and window openings. Fixtures for externally illuminated signs should be simple and unobtrusive.

Signage at building tops is limited to hotel uses and is subject to administrative review and approval of the Department of Planning and Development. Signage must be integrated with the design of the building.

### **Building Lighting**

Lighting may vary within the Lakeshore East Development. Public spaces should be adequately lit to ensure a safe pedestrian environment. Lighting used to highlight architectural features such as articulated building tops or building entrances is encouraged. Such architectural lighting should be planned to not visually impact adjacent buildings or public spaces.

### Radio Antennae

Radio antennae may be allowed on Parcels G and D but must be integrated with the design of the building

### arking

### Parking Entrances

Parking garage entrances should be designed as an integrated element of the building's facade through the use of similar materials and detailing.

Driveways and curb cuts should be compatible in

material and detailing with adjacent sidewalks.

### Perimeter Walls

All Parking at or above the upper street level must be screened by occupied space Parking along the lower and intermediate levels may extend to the parcel line; however the parking must be architecturally screened when it is adjacent to a public accessway, such as intermediate Wacker Drive and Lake Shore Drive. Along Field Blvd. North parking must be screened by occupied space at the lower level, and architecturally screened above the lower level. Along the Park Drive, the townhomes and other residential buildings will screen all existing and future parking podiums from view

### Ventilation

Parking garage ventilation should be well designed, with openings which should incorporate architectural screening. Ventilation structures should be designed as integral components of adjacent buildings or open space.

## **Building Materials**

should be made with a concern for the existing The selection of appropriate building materials

glass-curtain-walls are used. high quality, low-e or clear glass should be used highly reflective glass will not be permitted. If all All Glass curtain-wall buildings are discouraged and

Grade 2, have been identified in the Master Plan. Two grades of building material quality, Grade 1 and

## Grade 1ii Natural Stone

- Architectural Precast
- Glass and Metal Curtain Walls

# Grade 2□ Grade 1 Materials preferred

- Exposed Structural Concrete allowed
  - clean, high quality construction with architectural detailing and
- faced plywood) formwork (such as steel forms or plastic
- entrances and on the rooftops. encouraged along the ground level, at building interior of the site. Unique materials and colors are Grade 2 materials may be used for buildings on the signature buildings at the perimeter of the site and Generally, Grade 1 materials should be used for

are used, a high quality level of finishes and details In general, whether Grade 1 or Grade 2 materials

**Building Bases** 

Grade 1 materials should be used on the building ornamental metals. glass is preferred. Retail storefronts may include bases and the townhouses. Clear or lightly tinted

### **Building Towers**

used for the base and also be complementary with be simplified. Towers identified as Grade 2 may use signature buildings should use Grade 1 materials, use materials that are compatible with the material for building accents. exposed structural concrete clear or slightly tinted however, the level of articulation and detailing may neighboring buildings. Towers identified as The portion of the building above the base should glass is preferred. Ornamental metals may be used

### **Building Top**

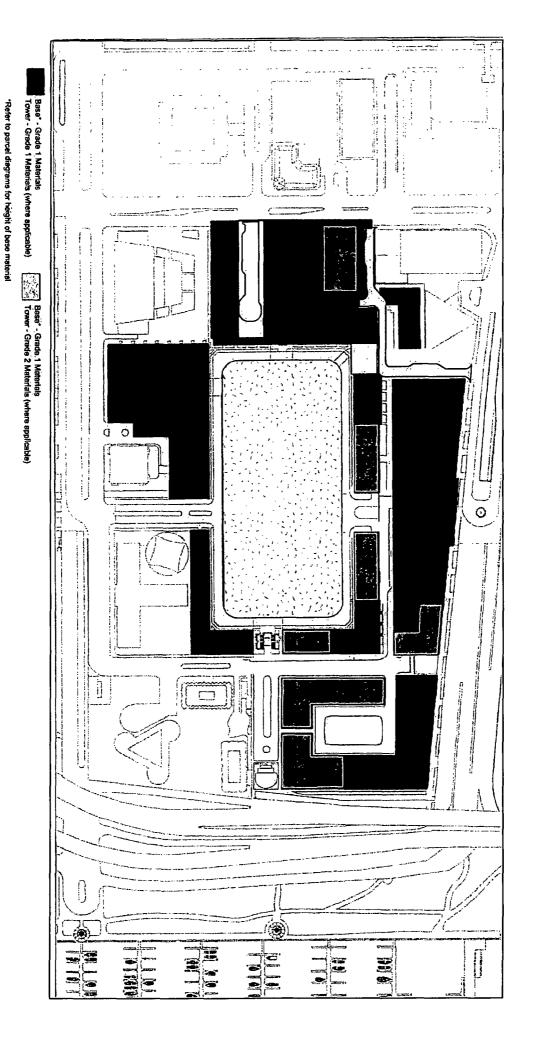
of high quality and present a distinctive image for The materials used for the building tops should be

### **Material Color**

buildings are discouraged. Material colors should minimize differences between adjacent parcels. Predominantly dark

medium warm neutral colors. Stone, brick and concrete colors should be light to

tinted gray, silver, green or blue Glass colors may include clear panes or slightly



NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations and dimensions.

# **Environmentally Conscious Design**

design. The following recommendations can be opportunity to showcase environmentally sensitive The Lake Shore East Development is a significant neighborhood. environmentally sensitive approach to building a used as a checklist to evaluate and ensure an

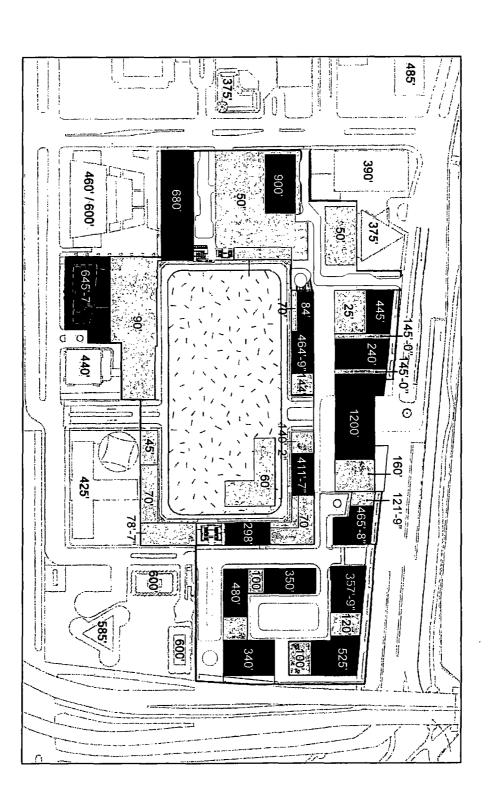
# Site Design Recommendations

- Orient buildings to optimize solar exposure.
- Buildings near the Lakefront should reduce the injury to migratory birds due to the the amount of curtain wall in an effort to reduce
- Utilize indigenous landscape materials, with an

- transparent nature of the facade.
- appropriate diversity in plant species
- Properly manage stormwater runoff
- Relocate and reuse existing trees.

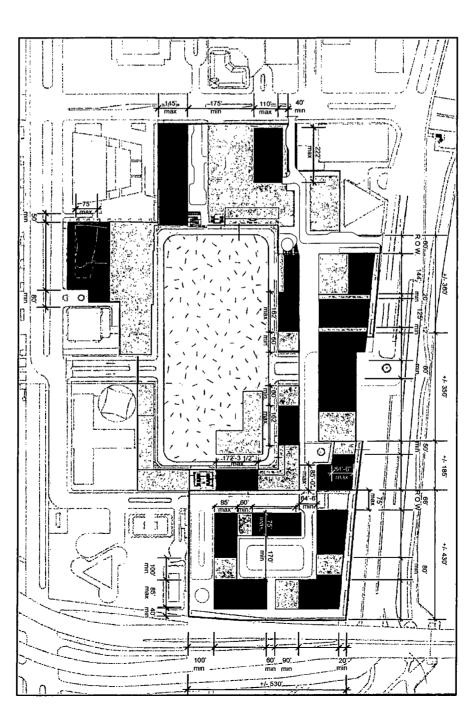
# **Building Design Recommendations**

- Coordinate programmed areas that will benefit from sun exposures in appropriate zones within
- to climate and orientation. Manipulate building envelopes that will respond
- Encourage operable windows that provide fresh Consider the integration of photovoltaic panels and/or fuel cells for electric generation.
- Utilize energy efficient building systems air to interior workspaces and living spaces.
- Include "landscape roofs."
- Develop exterior and interior shading devices that mınımize heat gain.
- bring daylight into the interior zones and Utilize exterior and interior "light shelves" that workspaces of the buildings.
- Minimize the use of hazardous or "off gassing" organic compounds (VOC's) materials, specifically materials with volatile
- Employ wood products harvested from certified forests.
- When possible, select materials based on lifecycling costs
- Develop lighting controls that manage an energy efficient artificial lighting. energy consumption including task lighting, daylighting.
- Coordinate a tenant recycling program with the City of Chicago's recycling program.



NOTE: Elevations reflect height of buildings above average grade, unless specified as CCD. NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations.





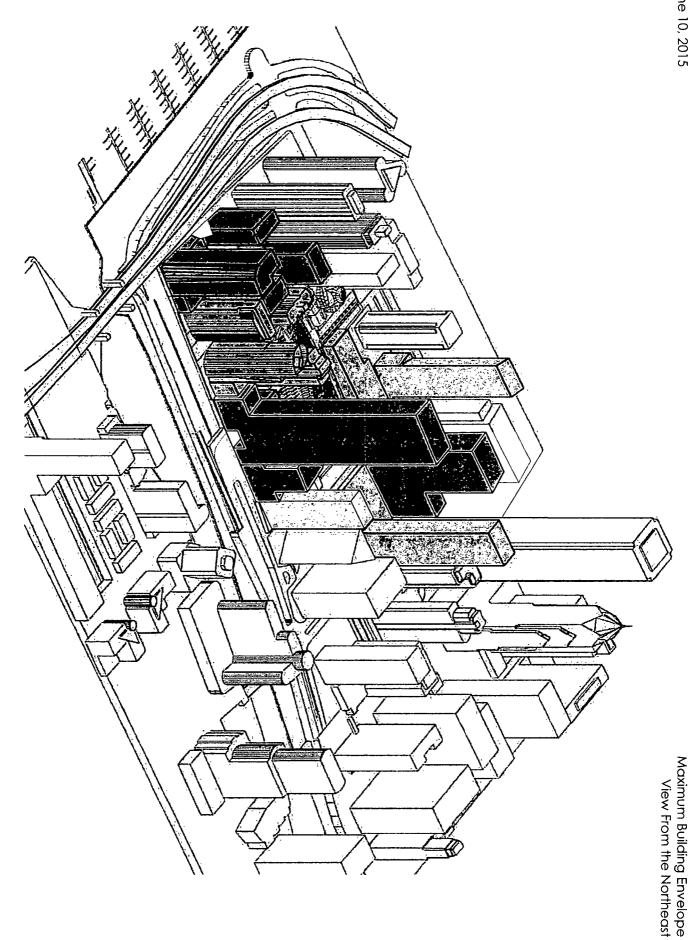
Highrise Buildings -Maximum Building Envelopes

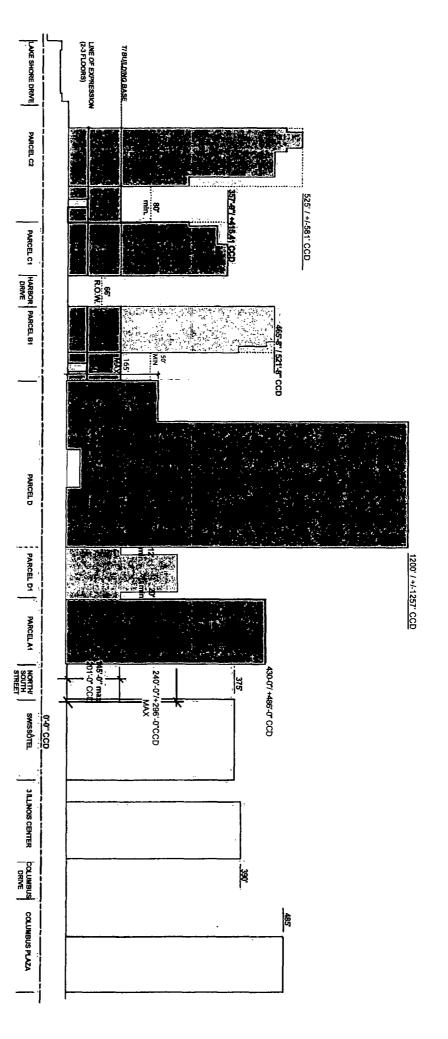


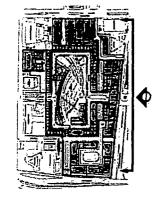
Midnse and lowrise Buildings - Maximum Building Envelopes

NOTE: All overall dimensions should be verified with land survey

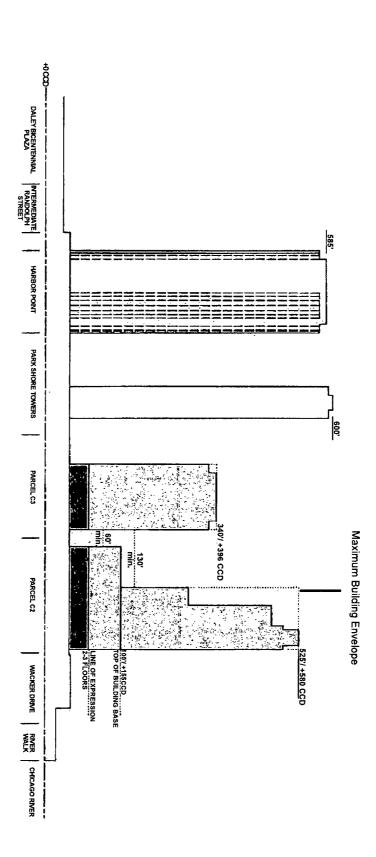
**NOTE:** These plans are diagrammatic in nature and are only intended to show approximate locations.



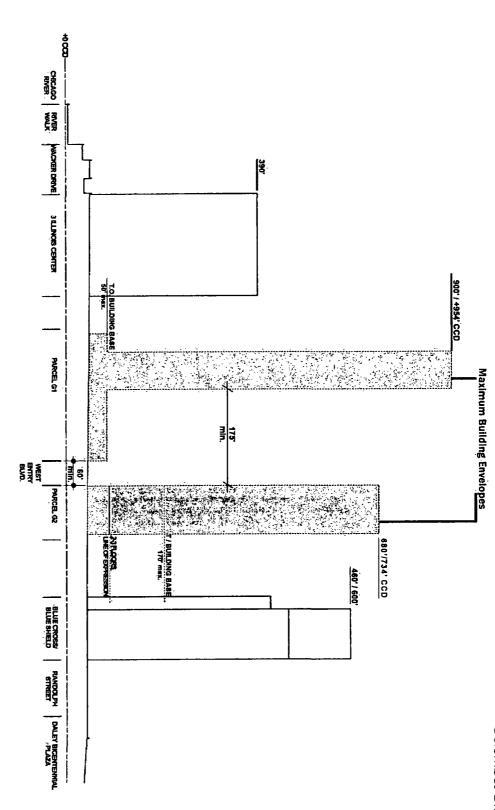


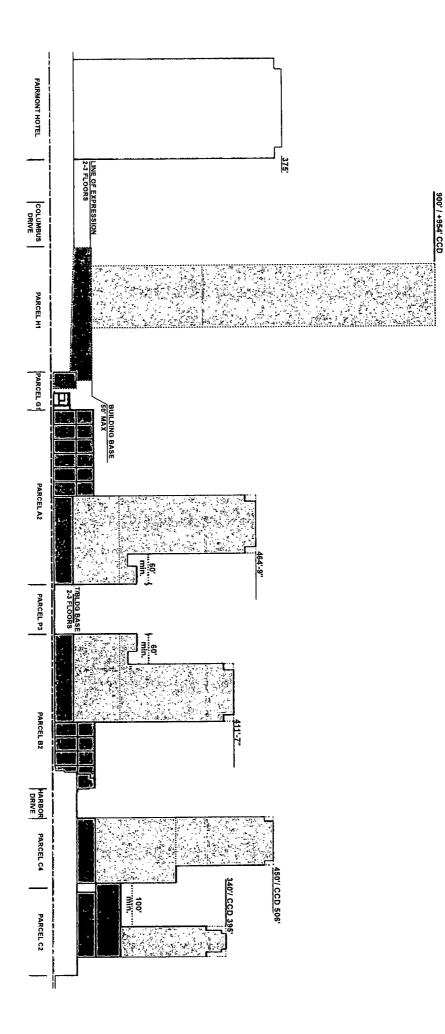


NOTE: These plans are diagrammatic in nature and are only intended to show approximate locations.



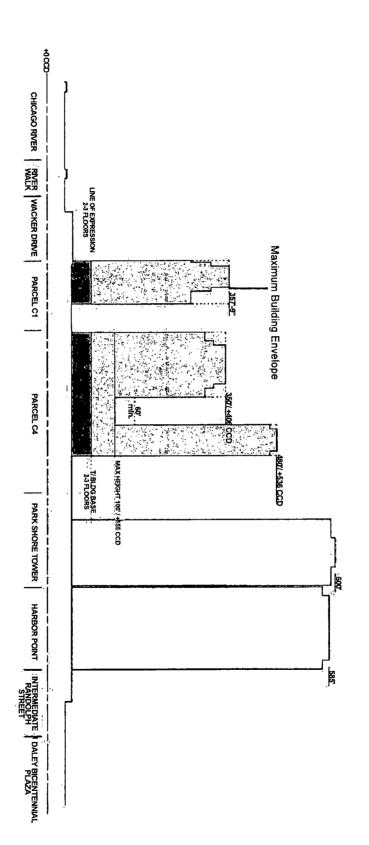




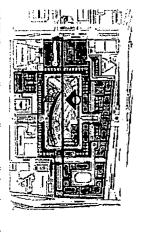




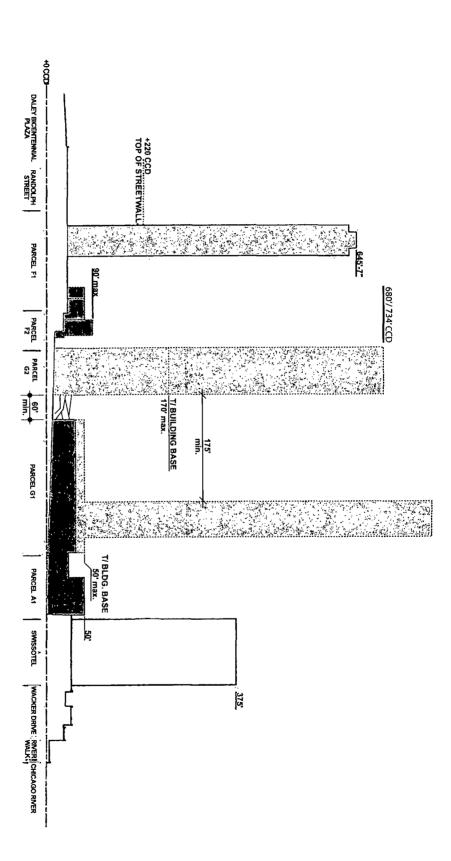
NOTE: These sections are diagrammatic in nature and are only intended to show approximate locations.





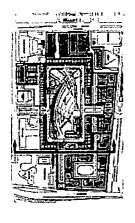


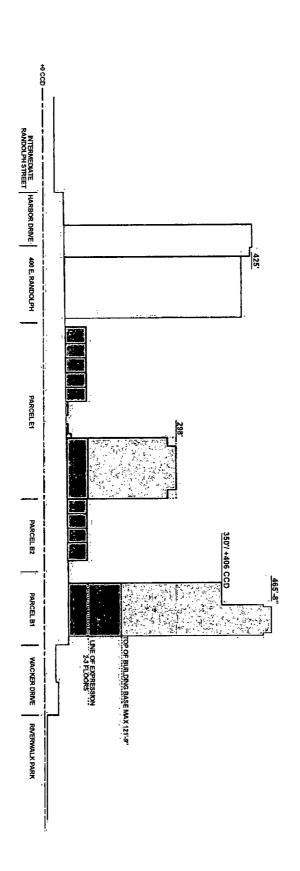
NOTE. These sections are diagrammatic in nature and are only intended to show approximate locations.

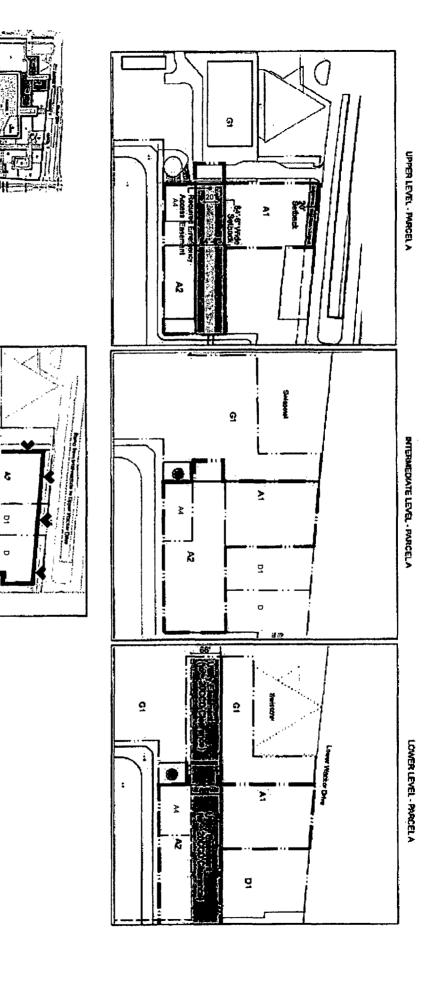




Illustrative Elevation Harbor Drive: View Looking West







NOTE: These plans are diagrammatic in nature and are crity intended to show suggested, not required locations for building frontage, lobby embles and parking access.

PARCEL A - GRADE LEVEL BUILDING FRONTAGE
AND PREFERRED ACCESS PLAN

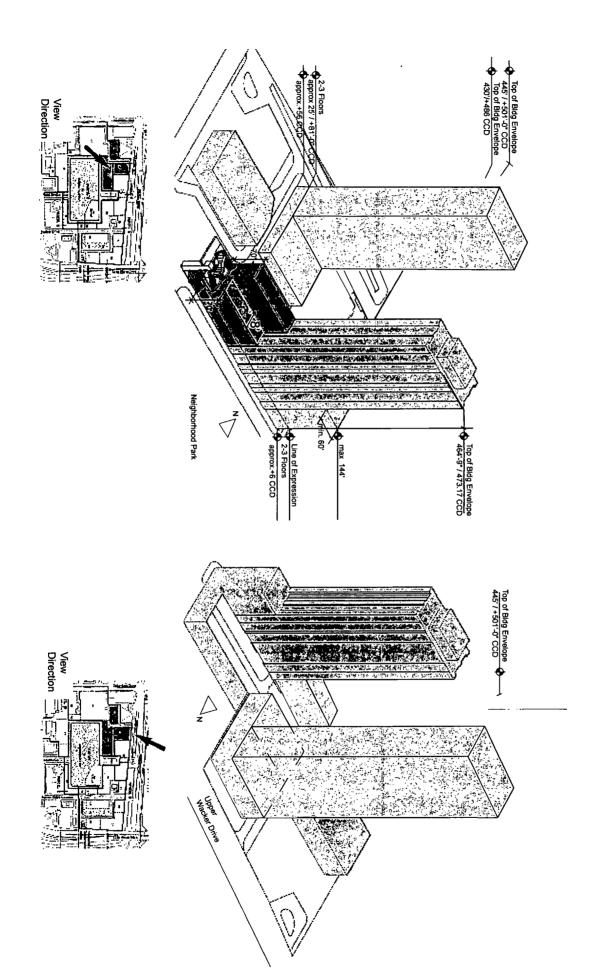
III III Difficing Frontige - Townhouse

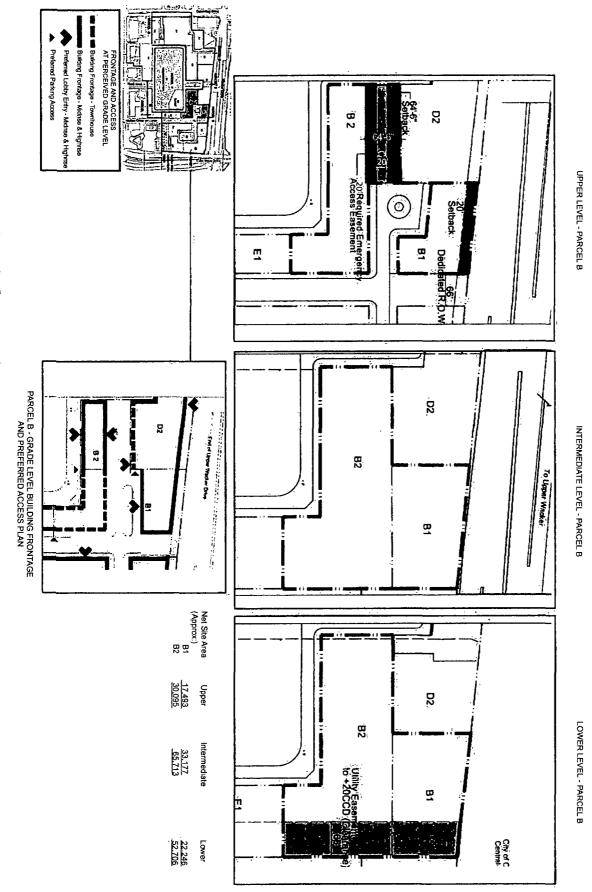
AT PERCENTED GRADE LEVEL

0

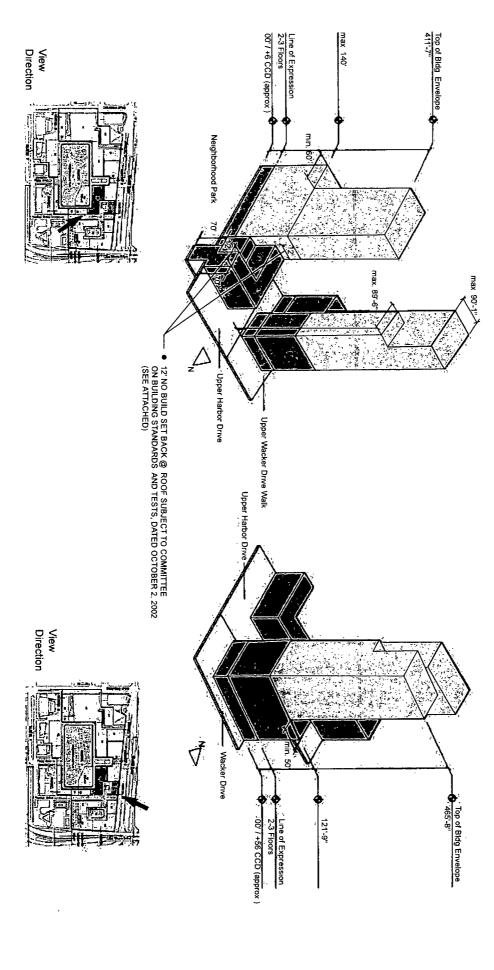
3

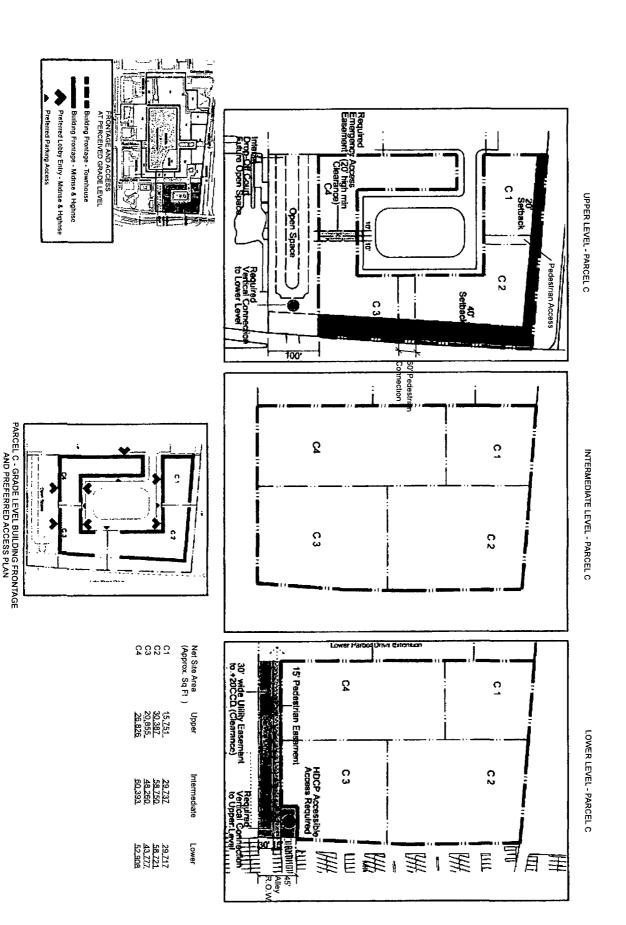
Printered Lotter Erray - Marine & Highest i Rubbeg Ferreige - History II. Mytoles

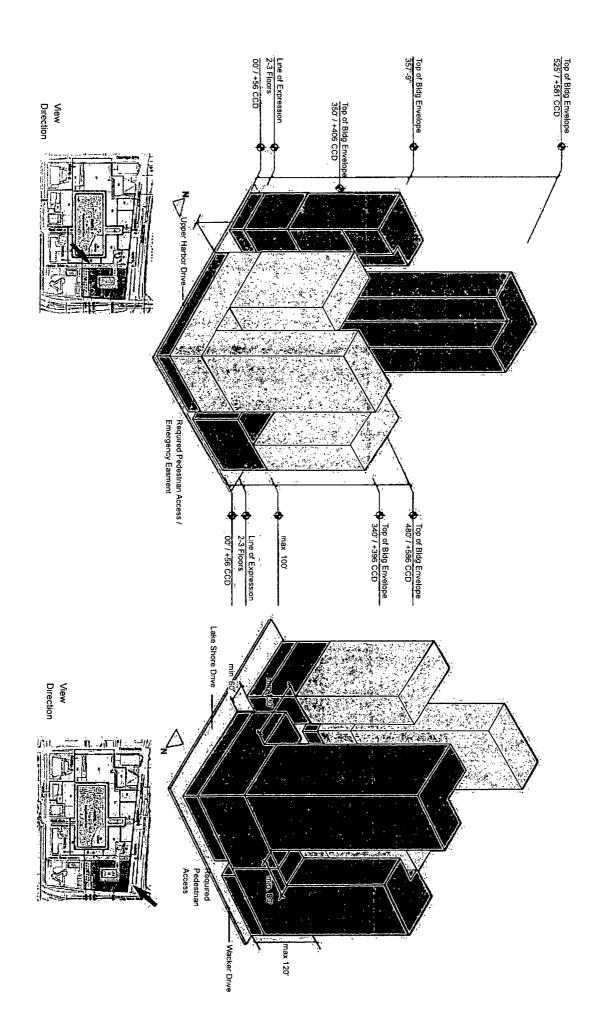


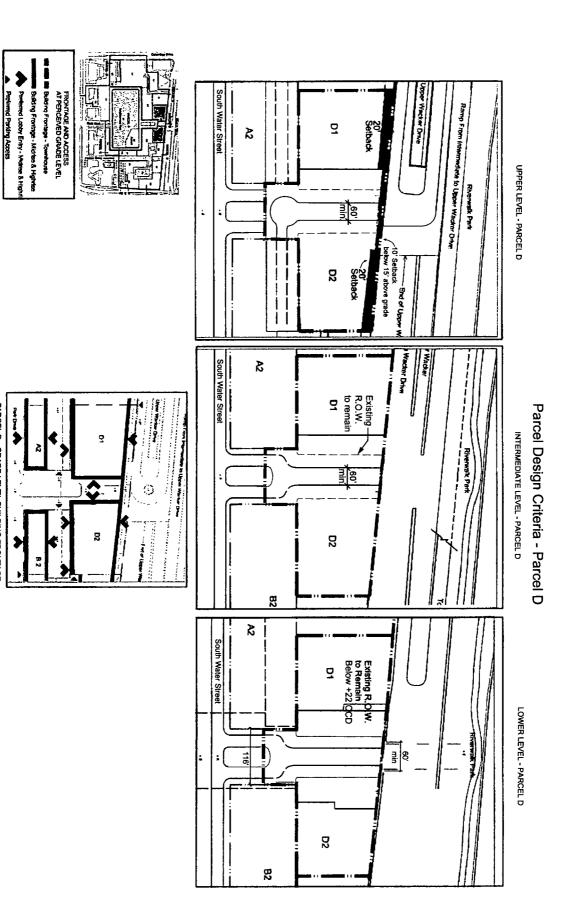


NOTE: These plans ein diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access.

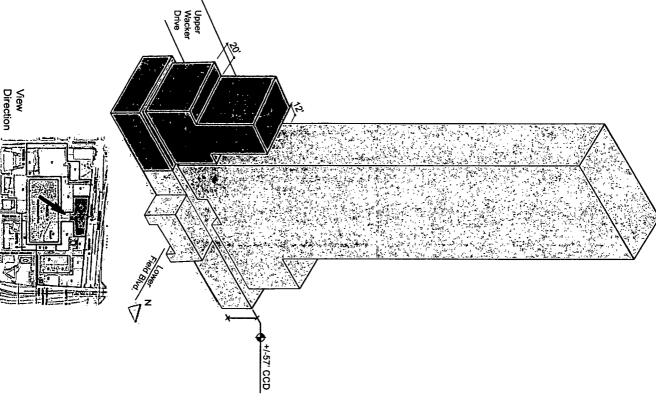








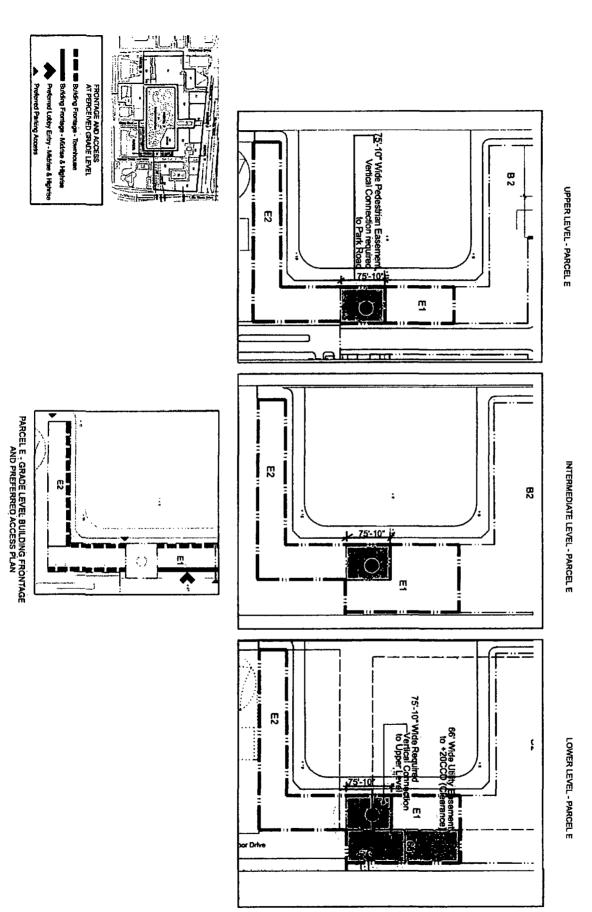
PARCEL D - GRADE LEVEL BUILDING FRONTAGE AND PREFERRED ACCESS PLAN

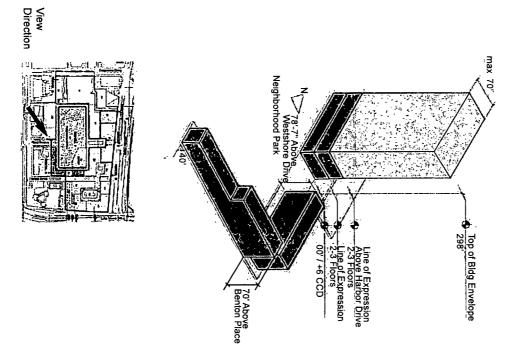


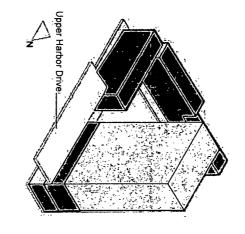
Maximum Building Envelope - Parcel D

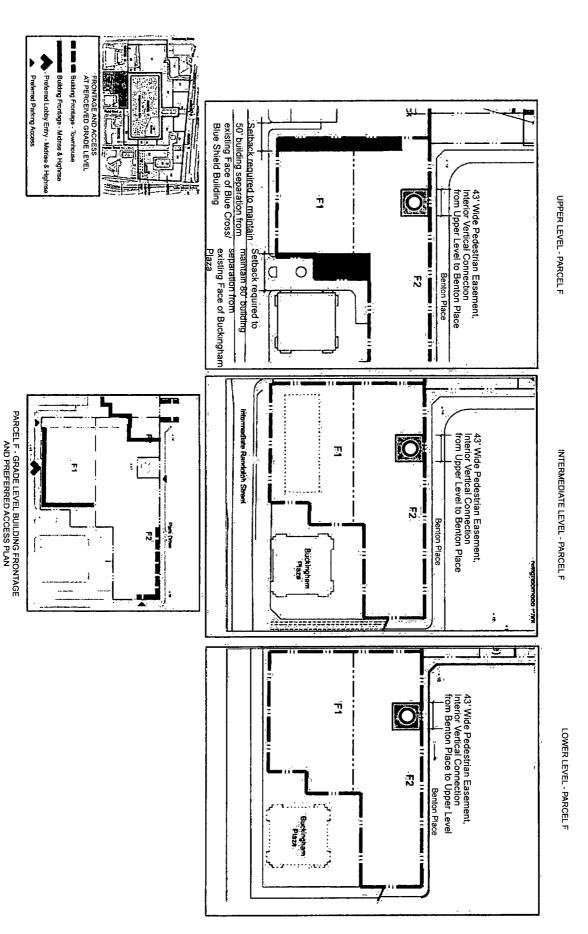
## Opening - Parcel D

- Parcel D is intended to create a public 'Gateway' into Lakeshore East.
  This also provides a visual connection to the Riverfront from the neighborhood park:
- The building spanning Field Blvd North is required to architecturally clad the existing structure of Upper and Intermediate level Wacker Drive within the opening.
- Proposed cladding solutions shall be reviewed and approved by the Department of Planning and Development, CDOT, and IDOT

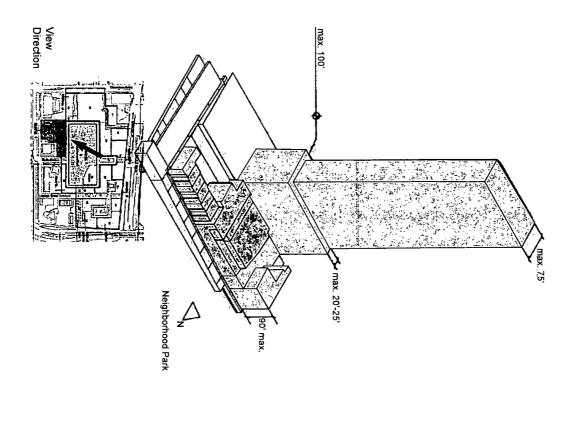


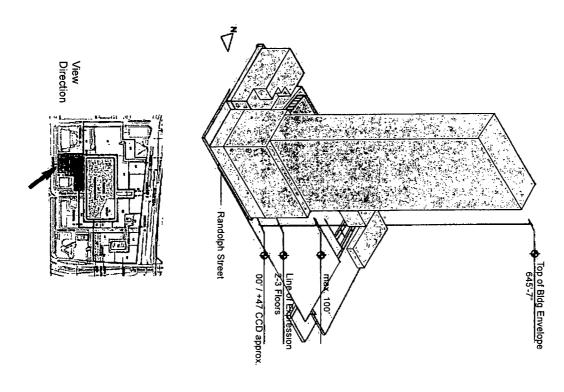


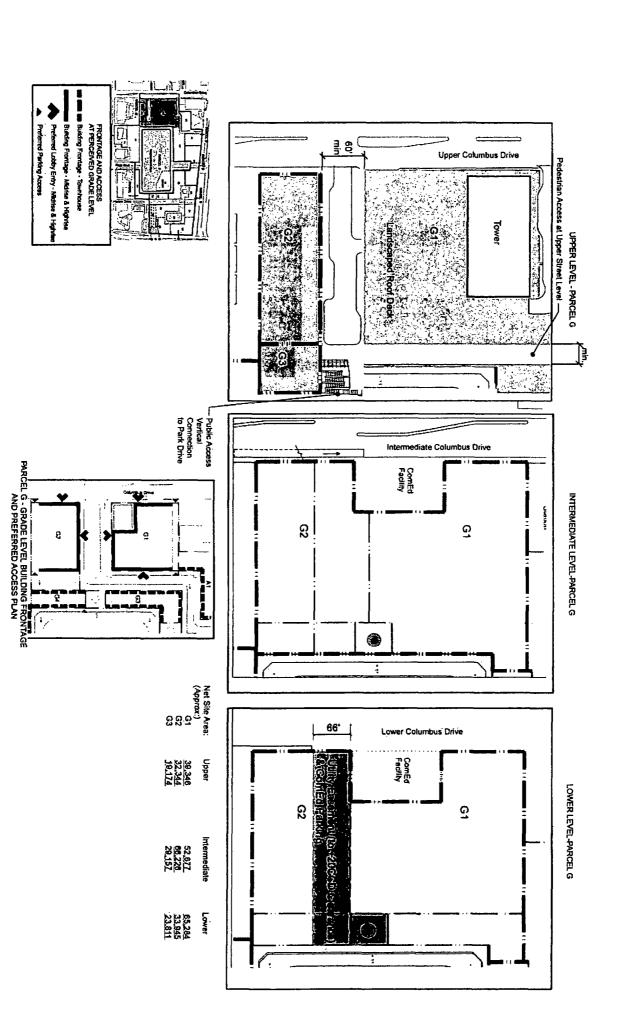


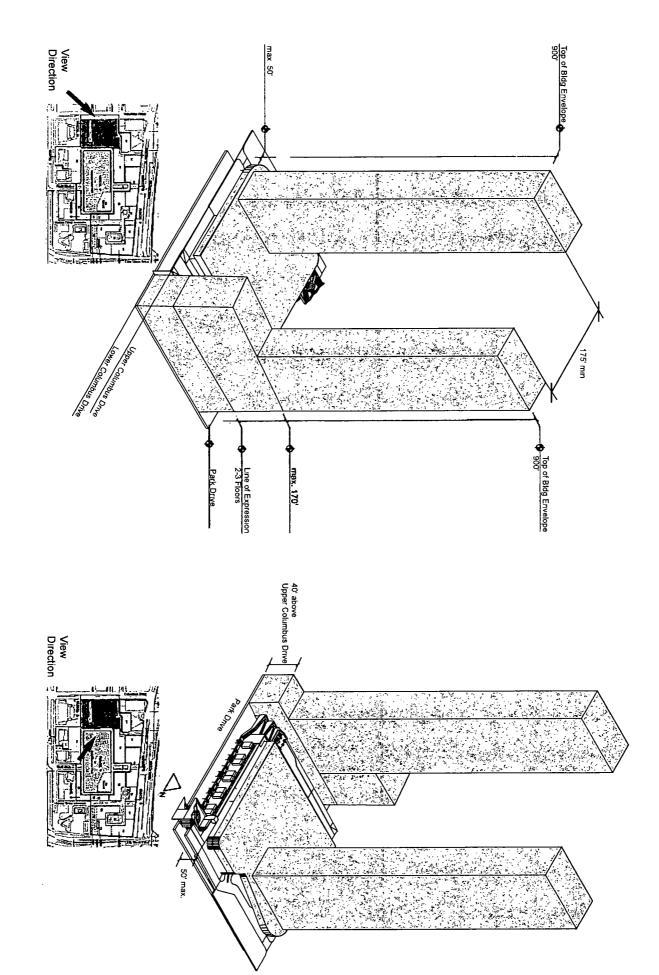


NOTE: These plans are diagrammatic in nature and are only intended to show suggested, not required locations for building frontage, lobby entries and parking access.











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180 North Stetson Avenue
Suite 3700

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Chicago, Illinois 60601

JOHN J. GEORGE
Attorney at Law
TEL 312 565.8439
FAX 312 565.8300
JGeorge@SRCattorneys.com

June 10, 2015

Chairman, Chicago Plan Commission Room 905 – City Hall Chicago, Illinois 60602 Chairman, Committee on Zoning Room 304 – City Hall Chicago, Illinois 60602

In re: Application for Amendment to Residential Business Planned Development No. 70, As Amended 2015

AND

Application for Lake Michigan and Chicago Lakefront Protection Ordinance Approval

SEE ATTACHED LIST OF ADDRESSES and BOUNDARY DESCRIPTION

The undersigned, John J. George, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that he has complied with the requirements of Sec. 17-13-0107 and Sec. 16-4-100 of Chapter 194B-6.1(c) of the Chicago Municipal Code, by sending the attached letter by USPS First Class Mail, to such property owners who appear to be the owners of said property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet, and that the notice contained the boundaries of the property subject to the application; a statement of intended use of said property; the name and address of the applicant; a statement that the applicant intends to file an application for an amendment to Residential Business Planned Development No. 70, As Amended and for approval under the Lake Michigan and Chicago Lakefront Protection Ordinance on approximately June 10, 2015; that the applicant has made a bonafide effort to determine the addresses of the parties to be notified; that the applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet, recognizing the above limits, is a complete list containing the names and last known addresses of the owners of the property required to be served and that the applicant has furnished in addition a list of the persons so served.

Subscribed and Sworn to before me this 10th day of June, 2015.

Mura A. Llamaca Notary Public OFFICIAL SEAL

DEBRA A. FLANAGAN

NOTARY PUBLIC, STATE OF ILLINOIS

My Commission Expires 08/21/2016

## LIST OF ADDRESSES FOR RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 70, AS AMENDED 2015

115-479 East Wacker Drive

150-244 North Lake Shore Drive

200-474 East Randolph Street

119-125 East Lake Street

201-231 North Michigan Avenue

200-336 North Stetson Avenue

151-335 North Stetson Avenue

150-324 North Columbus Drive

151-323 North Columbus Drive

150-250 North Field Boulevard

151-251 North Field Boulevard

## BOUNDARY DESCRIPTION FOR RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 70, AS AMENDED 2015

The south right-of-way line of East Wacker Drive or the line thereof extended where no street exists; a line 3,095 feet east of the west line of North Michigan Avenue; a line 140 feet south of the north line of East Randolph Street, or the line thereof if extended where no street exists; a line 2,022 feet east of the west line of North Michigan Avenue; the north line of East Randolph Street; the east line of North Stetson Street; the north line of East Lake Street; North Michigan Avenue; the north line of East South Water Street; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; the south line of the alley next north of and parallel to East South Water Street; the east line of the alley next north of and parallel to East South Water Street, or the line thereof if extended where no alley exists; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; a line 428.773 feet north of the north line of East South Water Street; a line 19.69 feet west of the east line of north Beaubien Court, or the line thereof if extended where no street exists; a line 68.43 feet east of the east line of North Beaubien Court, or the line thereof if extended where no street exists, Chicago, Illinois.



Two Prudential Plaza 180 North Stetson Avenue Suite 3700 312.565.2400 TEL 312.565.8300 FAX SRCattorneys.com

JOHN J. GEORGE
Attorney at Law
TEL 312 565.8439
FAX 312 565.8300
JGeorge@SRCattorneys.com

Chicago, Illinois 60601

June 10, 2015

Re: Application for Amendment to Residential Business Planned Development No. 70, As

Amended 2015

**AND** 

Application for Lake Michigan and Chicago Lakefront Protection Ordinance Approval

### Dear Property Owner or Resident:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance and for an approval under the Lake Michigan and Chicago Lakefront Protection Ordinance, please be informed that on or about June 10, 2015, I, the undersigned attorney, will file an application on behalf of the Applicant, Lakeshore East, LLC, for a change in zoning from Residential Business Planned Development No. 70, As Amended, to Residential Business Planned Development No. 70, As Amended 2015, and for approval under the Chicago Lakefront Protection Ordinance, for the property commonly known as: SEE ATTACHED LIST OF ADDRESSES, Chicago, Illinois and generally bounded by: SEE ATTACHED BOUNDARY DESCRIPTION.

The purpose of the proposed zoning amendment and the proposed Chicago Lakefront Protection Ordinance approval is to allow for a proposed 98-story mixed-use building containing approximately 405 dwelling units and approximately 160 hotel keys, referred to as Wanda Vista Tower. The applications will also allow for the adoption of an updated "Lakeshore East Master Plan & Design Standards 2015" which will be revised to adjust allowed heights on Parcel D and Parcel G2 within Subarea E of Residential Business Planned Development No. 70 As Amended 2015. The maximum height allowed for Parcels D1 and D2 will increase from 640 feet to 1,200 feet and the maximum height allowed for Parcels G2 and G3 will decrease from 900 feet down to 680 feet. The updated "Lakeshore East Master Plan & Design Standards 2015" will also include modifications to the Upper roadway system and will add a connection from Upper Wacker Drive to Waterside Drive via an extension of Upper Field Drive.

The Applicant is Lakeshore East LLC, whose address is 225 North Columbus Ave., Chicago, Illinois.

I am the attorney for the Applicant. My address is 180 North Stetson Avenue, Suite 3700, Chicago, Illinois.

Please note that the Applicant is not seeking to purchase or rezone your property. The Applicant is required by law to send you this notice because you own property located within 250 feet of the proposed development.

John J. George

## LIST OF ADDRESSES FOR RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 70, AS AMENDED 2015

115-479 East Wacker Drive

150-244 North Lake Shore Drive

200-474 East Randolph Street

119-125 East Lake Street

201-231 North Michigan Avenue

200-336 North Stetson Avenue

151-335 North Stetson Avenue

150-324 North Columbus Drive

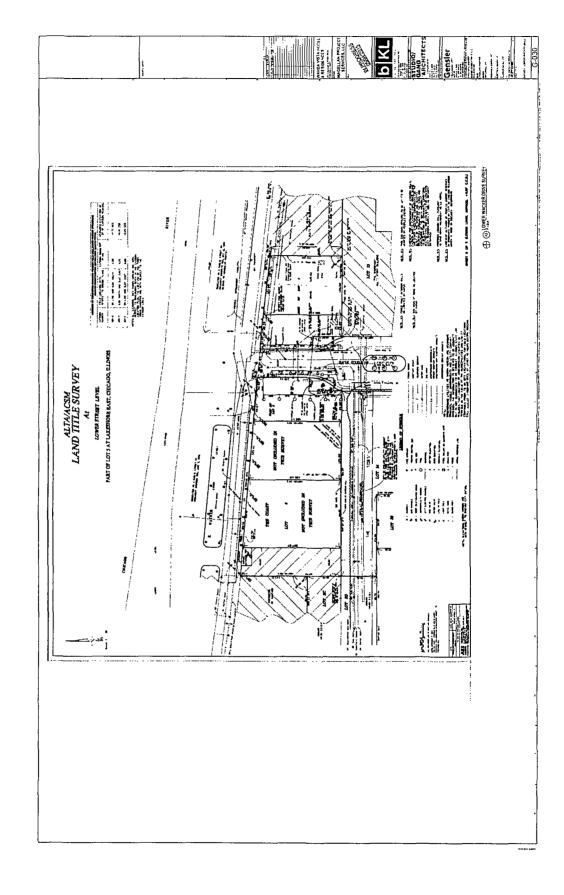
151-323 North Columbus Drive

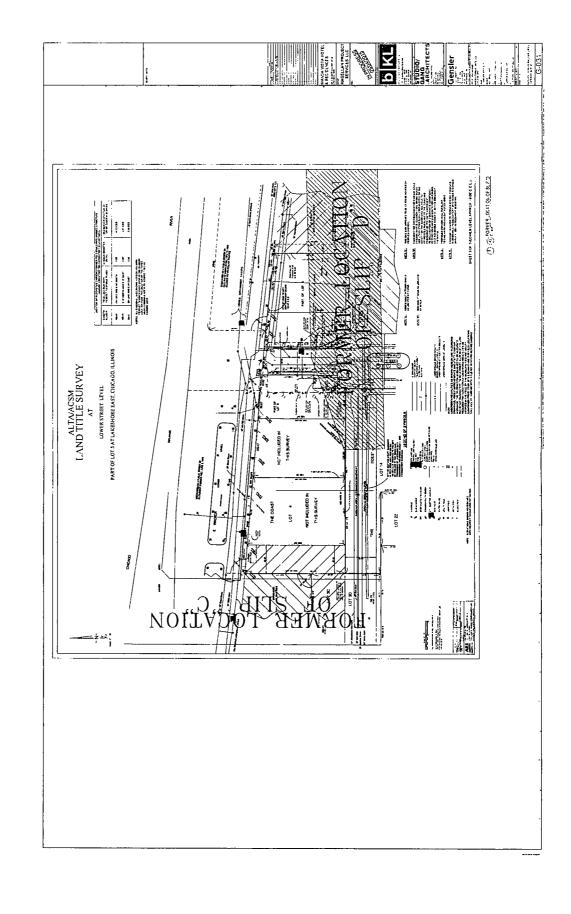
150-250 North Field Boulevard

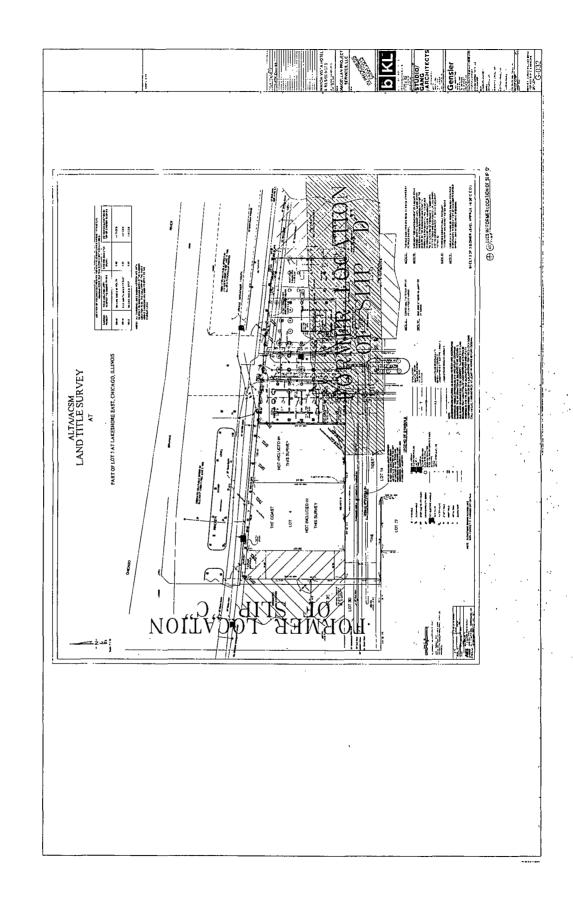
151-251 North Field Boulevard

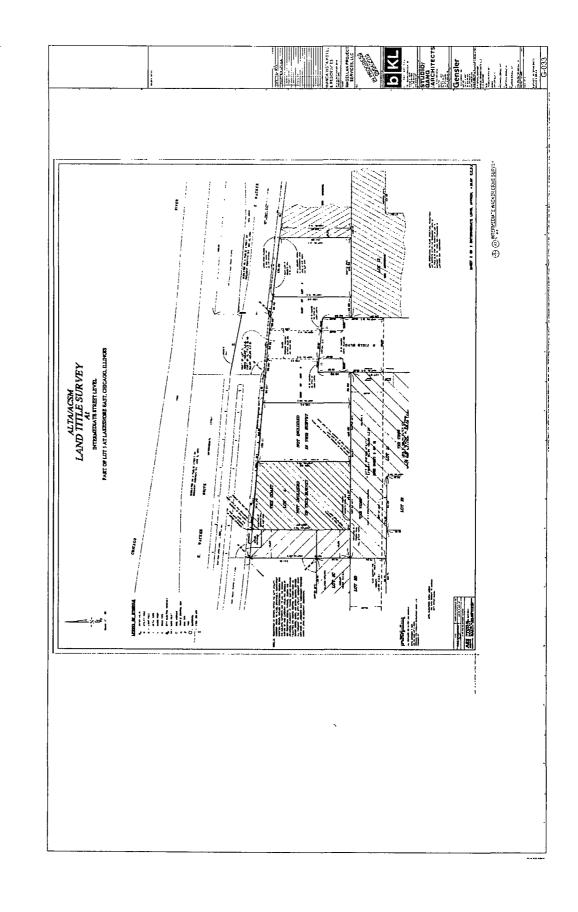
## BOUNDARY DESCRIPTION FOR RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO. 70, AS AMENDED 2015

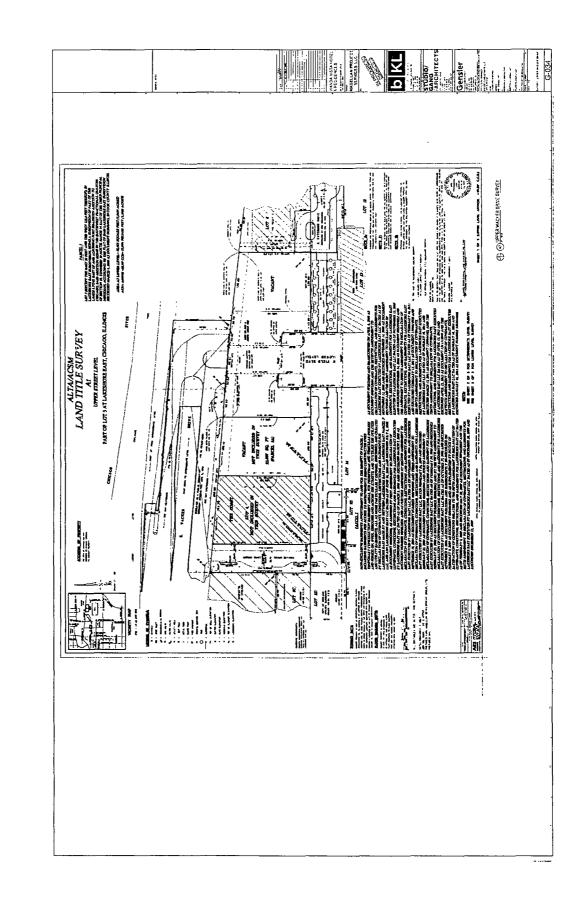
The south right-of-way line of East Wacker Drive or the line thereof extended where no street exists; a line 3,095 feet east of the west line of North Michigan Avenue; a line 140 feet south of the north line of East Randolph Street, or the line thereof if extended where no street exists; a line 2,022 feet east of the west line of North Michigan Avenue; the north line of East Randolph Street; the east line of North Stetson Street; the north line of East Lake Street; North Michigan Avenue; the north line of East South Water Street; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; the south line of the alley next north of and parallel to East South Water Street; the east line of the alley next north of and parallel to East South Water Street, or the line thereof if extended where no alley exists; a line 55.53 feet west of the east line of North Beaubien Court, or the line thereof if extended where no street exists; a line 428.773 feet north of the north line of East South Water Street; a line 19.69 feet west of the east line of north Beaubien Court, or the line thereof if extended where no street exists; a line 68.43 feet east of the east line of North Beaubien Court, or the line thereof if extended where no street exists; Chicago, Illinois.











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# 18420 INTRO DATE: 9UNE 17, 2015

## CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone: 115-479 East Wacker Drive, 150-244 N. Lake Shore Dr., 200-474 E. Randolph St., 119-125 E. Lake St., 201-231 N. Michigan Ave., 200-336 N. Stetson Ave.			
	151-335 N. Stetson Ave., 150-324 N. Columbus Dr., 151-323 N. Columbus Dr., 150-250 N. Field Blvd.,			
	151-251 N. Field Blvd,			
2.	Ward Number that property is located in: 42			
3.	APPLICANT Lakeshore East, L.L.C.			
	ADDRESS 225 N. Columbus Dr., Suite 100 CITY Chicago			
	STATE_IL ZIP CODE_60601 PHONE_312-642-8869			
	EMAIL slinnane@magellandevelopment.com CONTACT PERSON Sean Linnane			
4.	Is the applicant the owner of the property? YES* NO			
	If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.			
	OWNER *Applicant has zoning control. Please see Planned Development Statement No. 1.			
	ADDRESSCITY			
	STATEPHONE			
	EMAILCONTACT PERSON			
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:			
	ATTORNEY John J. George; Schuyler, Roche & Crisham			
	ADDRESS Two Prudential Plaza, 180 N. Stetson Avenue, Suite 3700			
	CITY Chicago STATE IL ZIP CODE 60601			
	PHONE 312-565-8439 FAX 312-565-8300 EMAIL JGeorge@SRCattomeys.com			

Loewenberg 20	DO7 GST Trust
Carlins L.P.	
DJ2 LLC	
,	
On what date d	lid the owner acquire legal title to the subject property? Various dates
-	t owner previously rezoned this property? If yes, when?
1es, 2002.	
Present Zoning	District PD 70 Proposed Zoning District PD 70, as amended.
Lot size in squa	are feet (or dimensions) Approx. 42.67 acres
Current Use of	the property Mixed use development.
Reason for rezo	oning the propertyTo allow for shift in bulk regulations and revisions to
units; number o	roposed use of the property after the rezoning. Indicate the number of dwelling of parking spaces; approximate square footage of any commercial space; and roposed building. (BE SPECIFIC) unned Development Statements, Exhibits, and Master Plan and Design Standards
Lakeshore East	t 2015 for details.
	007, the Chicago City Council passed the Affordable Requirements Ordinance
ARO) that requiousing projects he project in qu	uires on-site affordable housing units or a financial contribution if residential its receive a zoning change under certain circumstances. Based on the lot size uestion and the proposed zoning classification, is this project subject to the quirements Ordinance? (See Fact Sheet for more information)

<del></del>	
COUNTY OF COOK STATE OF ILLINOIS	
DAVID CARLINS, A MANAGER OF LAKESHORE EAST, being fi statements and the statements contained in the docu	irst duly sworn on oath, states that all of the above uments submitted herewith are true and correct.
	Signature of Applicant
Subscribed and Sworn to before me this  9th day of June, 20/5	"OFFICIAL SEAL" VALERIE J. BALDASSIN
Alexy Public	NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 5/22/2018
For Office	e Use Only
Date of Introduction:	
File Number:	<del></del>
Ward:	<del>,</del>

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Lakeshore East LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [X] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in
which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:  225 N Columbus, Suite 100  Chicago, IL 60601
C. Telephone: 312-642-8869 Fax: 312-642-2773 Email: 1buel@magellandevelopment.co
D. Name of contact person: Laura Buel
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment to Residential/Business Planned Development #70.
G. Which City agency or department is requesting this EDS? DPD
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # N/A and Contract # N/A

## **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

## A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	arty:
[] Person	[x] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign of Illiniois)	country) of incorporation or organization, if applicable:
3. For legal entities not organized in the S	State of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign en	ntity?
[] Yes [] No	[] N/A
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:
1. List below the full names and titles of	all executive officers and all directors of the entity.
	list below all members, if any, which are legal entities. If
	rs." For trusts, estates or other similar entities, list below
the legal titleholder(s).	
If the entity is a general partnership, limited	d partnership, limited liability company, limited liability
	me and title of each general partner, managing member,
	ntrols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must su	ibmit an EDS on its own behalf.
Name	Title
David Carlins	A Manager
Joel Carlins	A Manager
James Loewenberg	A Manager
Robin Tebbe	A Manager
•	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Danaanta aa Intanaat in tha

Dusings Address

Mama

	Business Address	Percentage Interest in the Disclosing Party
Loewenberg 2007	GST_Trust 225 N Columbus	•
	Chicago, IL 6	60601
DJ2_LLC	225 N Columbus	39.2%
	Chicago, IL	60601
	Partnership same as above	22.05%
		s of 7.5% of Disclosing Party. WITH CITY ELECTED OFFICIALS
SECTION III B	s or entities own in excess USINESS RELATIONSHIPS V	
No other person SECTION III B	s or entities own in excess USINESS RELATIONSHIPS V	s of 7.5% of Disclosing Party. WITH CITY ELECTED OFFICIALS ship," as defined in Chapter 2-156 of the Municipal

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
Schuyler Roche & Cris	ham 180 N S	tetson,#3700 attorney	\$50,000 Est.
	Chicago	, IL 60601	
(Add sheets if necessary)	<del></del>		<del></del>
[] Check here if the Discl	osing Party ha	as not retained, nor expects to retai	n, any such persons or entities.
SECTION V CERTIF	ICATIONS		
A. COURT-ORDERED C	CHILD SUPP	ORT COMPLIANCE	
-		415, substantial owners of busines the their child support obligations the	
- <del>-</del>	•	ly owns 10% or more of the Disclo	•
[]Yes [¾No		o person directly or indirectly own sclosing Party.	s 10% or more of the
If "Yes," has the person er is the person in complianc		court-approved agreement for paymreement?	nent of all support owed and
[]Yes []No	)		
B. FURTHER CERTIFIC	ATIONS		
consult for defined terms (submitting this EDS is the	e.g., "doing b Applicant an	pter 1-23, Article I ("Article I")(wousiness") and legal requirements), d is doing business with the City, to icant nor any controlling person is	if the Disclosing Party hen the Disclosing Party

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with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further		
Certifications), the Disclosing Party must explain below:		

presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is $[X]$ is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes [x] No NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes []No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Name **Business Address** Nature of Interest

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.				
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.				
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:				
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS				
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.				
A. CERTIFICATION REGARDING LOBBYING				
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)				
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to party person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew	by f a			

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed

subcontractors to submit the negotiations.	e following information with their bids or in writing at the outset of
Is the Disclosing Party the	Applicant?
[] Yes	[ ] No
If "Yes," answer the three	questions below:
1. Have you developed federal regulations? (See	and do you have on file affirmative action programs pursuant to applicable I CFR Part 60-2.) [] No
-	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements?  [] No
3. Have you participat equal opportunity clause?	ed in any previous contracts or subcontracts subject to the
[] Yes	[] No
If you checked "No" to que	estion 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Lakeshore East LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	
By:	
(Sign here)	
Joel Carlins	
(Print or type name of person signing)	
A Manager	
(Print or type title of person signing)	
Signed and sworn to before me on (date) June 16	,2015 ,
at Cook County, Ilinois (state)	<del></del>
Walerie Baldanin Notary	Public. "OFFICIAL SEAL"  VALERIE J. BALDASSIN  NOTARY PUBLIC, STATE OF ILLINOIS
Commission expires: 5-22-2018.	My Commission Expires 5/22/2018

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is connec	eted; (3) the name and title of the	le of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	building code scofflaw or problem l. Code?	,	•
	[ ] Yes	[ <sub>X</sub> ] No	
2.	If the Applicant is a legal entity publithe Applicant identified as a building 2-92-416 of the Municipal Code?		
	[ ] Yes	[ ] No	[ X] Not Applicable
3.	If yes to (1) or (2) above, please idendified as a building code scofflat buildings to which the pertinent code	w or problem landlord	

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Loewenberg 2007 GST Trust
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 225 N. Columbus Drive, Suite 100
C. Telephone: 312-642-8869 Fax: 312-642-2773 Email: 1buel@magellandevelopment.co
D. Name of contact person: Laura Buel
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment to Residential/Business Planned Development #70.
G. Which City agency or department is requesting this EDS? DPD
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # N/A and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [ ] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Yes []No [] Other (please specify) [X] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes []No [x] N/AB. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Robin Tebbe Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
Robin Tebbe	225 N. Columbus Dr., St	te. 100 - 100%
	Chicago, IL 60601	

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	oN [k]	
If yes, please iden relationship(s):	tify below the name(s) of	f such City elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary	·)		
[X] Check here if the Dis	closing Party h	as not retained, nor expects to retain	, any such persons or entities.
SECTION V CERT	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
<del>-</del>		-415, substantial owners of business h their child support obligations thro	
* *	•	ly owns 10% or more of the Disclos ons by any Illinois court of competer	•
[] Yes [x] ]		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for paymereement?	ent of all support owed and
[]Yes []]	No		
B. FURTHER CERTIF	ICATIONS		
1. Pursuant to Muni	cipal Code Cha	pter 1-23, Article I ("Article I")(wh	ich the Applicant should

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	osing Party is unable to Disclosing Party must	•	(	
NA				
<del></del>			 	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  none
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes [x] No NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes [] No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: **Business Address** Nature of Interest Name

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.			
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.			
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:			
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS			
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.			
A. CERTIFICATION REGARDING LOBBYING			
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):			
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)			
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined be applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,			

comply with these disclosure requirements may make any contract entered into with the City in

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing l	Party the Applicant?
[] Yes	[ ] No
If "Yes," answer t	he three questions below:
<del>-</del>	eveloped and do you have on file affirmative action programs pursuant to applicable ? (See 41 CFR Part 60-2.) [] No
Contract Complian	iled with the Joint Reporting Committee, the Director of the Office of Federal nee Programs, or the Equal Employment Opportunity Commission all reports due le filing requirements?
[]Yes	[ ] No
3. Have you p equal opportunity	articipated in any previous contracts or subcontracts subject to the clause?
[] Yes	[ ] No
If you checked "N	o" to question 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Loewenberg 2007 GST Trust		
(Print or type name of Disclosing Party)		
By: Sign here)		
Robin Tebbe		
(Print or type name of person signing)		
Trustee		
(Print or type title of person signing)		
Signed and sworn to before me on (date) Ju	ne 10 2015	,
Signed and sworn to before me on (date) Ju at Cook County, Ilineis	(state).	<del></del>
Valeri Daldami	Notary Publi	"OFFICIAL SEAL" VALERIE J. BALDASSIN
Commission expires: 5-22-228	·	NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 5/22/2018

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is conne	ected; (3) the name and title of the	itle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such its enature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.		-	e Applicant or any Owner identified as a to Section 2-92-416 of the Municipal
	[ ] Yes	[ X] No	
2.		ilding code scofflaw o	y exchange, is any officer or director of or problem landlord pursuant to Section
	[ ] Yes	[ ] No	[X ] Not Applicable
3.		offlaw or problem lan	ame of the person or legal entity dlord and the address of the building or y.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
DJ2 LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [x] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Lakeshore East LLC  OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 225 N Columbus, Suite 100
Chicago, IL 60601
C. Telephone: 312-642-8869 Fax: 312-642-2773 Email: 1buel@magellandevelopment.com
D. Name of contact person:Laura_Buel
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment to Residential-Business Planned Development #70.
G. Which City agency or department is requesting this EDS?_DPD
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # N/A and Contract # N/A

#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	·ty:
[ ] Person	[X] Limited liability company
[ ] Publicly registered business corporation	[] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
Illinois	ountry) of incorporation or organization, if applicable:
business in the State of Illinois as a foreign ent	ate of Illinois: Has the organization registered to do ity?
[] Yes [] No	[] N/A
B. IF THE DISCLOSING PARTY IS A LEGA	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	Il executive officers and all directors of the entity. It below all members, if any, which are legal entities. If ." For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability e and title of each general partner, managing member, rols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must sub	
Name	Title
Joel M Carlins	A Manager
David J Carlins	A Manager
James R Loewenberg	A Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Name

	Discl	losing Party
Loewenberg 2007 GST Trust	225 N Columbus, Chicago, II	30.0%
Loewenberg Family Trust	225 N Columbus	15.0%
	Chicago, IL 60601	
Carlins Limited Partnership	225 N Columbus .	237,5%
	Chicago, IL 60601	
No other persons or entities own in excess of 7.5% of disclosing party.  SECTION III BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS		

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	[X] No		
If yes, please iden relationship(s):	tify below the name(s) of se	such City elected official(s) and describe such	
		70 - 100 - 1	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary	·)		
Check here if the Dis	closing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERT	IFICATIONS		
A. COURT-ORDERED	CHILD SUPF	PORT COMPLIANCE	
		-415, substantial owners of business the their child support obligations thr	
		tly owns 10% or more of the Disclosons by any Illinois court of compete	_ •
[] Yes [X		To person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person is the person in complia		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []	No		
B. FURTHER CERTIF	ICATIONS		
consult for defined term submitting this EDS is t	s (e.g., "doing he Applicant ar	apter 1-23, Article I ("Article I")(who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is a	if the Disclosing Party nen the Disclosing Party

consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7.	If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certif	ications), the Disclosing Party must explain below:
	N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.			
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A			
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A			
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION			
1. The Disclosing Party certifies that the Disclosing Party (check one)			
[] is [X] is not			
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.			
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:			
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."			
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes [ ] No NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes []No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Name **Business Address** Nature of Interest

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.				
x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.				
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:				
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS				
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.				
A. CERTIFICATION REGARDING LOBBYING				
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)				
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a				

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

member of Congress, in connection with the award of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

<del>-</del>	ed, federal regulations require the Applicant and all proposed following information with their bids or in writing at the outset of
Is the Disclosing Party the Ap	pplicant?
[] Yes []	] No
If "Yes," answer the three que	estions below:
federal regulations? (See 41 0	nd do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.)   No
	e Joint Reporting Committee, the Director of the Office of Federal as, or the Equal Employment Opportunity Commission all reports due quirements?
[] Yes []	No
3. Have you participated equal opportunity clause?	in any previous contracts or subcontracts subject to the
[] Yes []	No
If you checked "No" to questi	on 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

D.12_LLC	
(Print or type name of Disclosing Party)	
Ву:	
(Sign here)	
Joel Carlins	
(Print or type name of person signing)	
A Manager	
(Print or type title of person signing)	
Signed and sworn to before me on (date) June 10, 2015	,
at <u>Cook</u> County, <u>Illinois</u> (state).	
1/0 . 100, -	"OFFICIAL SEAL"
Valere Daldam Notary Public.	VALERIE J. BALDASSIN NOTARY PUBLIC, STATE OF ILLINOIS
	My Commission Expires 5/22/2018
Commission expires: 5-22-2018	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ <sub>X</sub> ] No	
such person is connec	cted; (3) the name and title of the	tle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section building code scofflaw or problem la Code?	• •	
	[ ] Yes	[ <sub>X</sub> ] No	
2.	If the Applicant is a legal entity publ the Applicant identified as a building 2-92-416 of the Municipal Code?	•	•
	[ ] Yes	[ ] No	[X] Not Applicable
3.	If yes to (1) or (2) above, please identified as a building code scofflav buildings to which the pertinent code	w or problem landlord	

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Carlins Limited Partnership
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Lakeshore East LLC OR  3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 225 N. Columbus Dr., Ste. 100  Chicago, IL 60601
C. Telephone: 312-642-8869 Fax: 312-642-2773 Email: 1buel@magellandevelopment.com
D. Name of contact person: Laura Buel
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment to Residential/Business Planned Development # 70.
G. Which City agency or department is requesting this EDS? DPD
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # N/A and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

# 1. Indicate the nature of the Disclosing Party: [] Person [ ] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [x] Limited partnership []Yes []No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes [] No [x] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Joel Carlins manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Joel Carlins	225 N Columbus, Chicago IL	53%
Allen Carlins	same as above	15%
David Carlins	same as above	15%
Elizabeth Carlins	same as above	15%

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	[x] No		
If yes, please ide relationship(s):	ntify below the name(s)	of such City elected official(s) and describe such	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	er Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	у)		
[x] Check here if the Di	sclosing Party ha	s not retained, nor expects to retain	, any such persons or entities.
SECTION V CERT	IFICATIONS		
A. COURT-ORDEREI	D CHILD SUPPO	ORT COMPLIANCE	
<del>-</del>		415, substantial owners of business their child support obligations thro	
	•	y owns 10% or more of the Disclos ns by any Illinois court of competen	
[] Yes [x]		person directly or indirectly owns closing Party.	10% or more of the
If "Yes," has the person is the person in complia		ourt-approved agreement for payme eement?	ent of all support owed and
[]Yes []	No		
B. FURTHER CERTIF	ICATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

If the Disclosing Party is uncertainty is uncertainty, the Disclosing Party	•	in this Part B (Further
 N/A		 

presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
x

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes ON [k] NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes []No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Name **Business Address** Nature of Interest

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

	submit the following information with their bids or in writing at the outset of
Is the Disclosing	Party the Applicant?
[]Yes	[.] No
If "Yes," answer t	he three questions below:
	leveloped and do you have on file affirmative action programs pursuant to applicable s? (See 41 CFR Part 60-2.) [ ] No
Contract Complian	iled with the Joint Reporting Committee, the Director of the Office of Federal nce Programs, or the Equal Employment Opportunity Commission all reports due all filing requirements?  [] No
3. Have you p equal opportunity	articipated in any previous contracts or subcontracts subject to the clause?
[] Yes	[ ] No
If you checked "N	o" to question 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Carlins Limited Partnership	
(Print or type name of Disclosing Party)	
By:	•
(Sign here)	
Joel Carlins	
(Print or type name of person signing)	
Manager_of_its_General_Partner (Print or type title of person signing)	
Signed and sworn to before me on (date) June 10, 2015  at Cook County, Ilinais (state).	,
Valeue Baldami Notary Public.	"OFFICIAL SEAL" VALERIE J. BALDASSIN
Commission expires: 5-22-2018	NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 5/22/2018

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

. . . .

[]Yes	[X] No		
such person is connec	ify below (1) the name and title of the relationship, and (4) the precise	ne elected city official or depart	artment head to whom such

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?		
	[ ] Yes	[ X] No	
2.	If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?		
	[ ] Yes	[ ] No	[X ] Not Applicable
3.	f yes to (1) or (2) above, please identify below the name of the person or legal entity dentified as a building code scofflaw or problem landlord and the address of the building or uildings to which the pertinent code violations apply.		

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.