

# City of Chicago



O2015-6356

# Office of the City Clerk

# **Document Tracking Sheet**

**Meeting Date:** 9/24/2015

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 4-G at 917-925 W 18th St -

App No. 18478T1

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

#18478 TI INTRO DITE. SEPT. 24,2015

# **ORDINANCE**



BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning
Ordinance, is hereby amended by changing all of the M1-2 Limited
Manufacturing/Business Park District symbols and indications as shown on Map No. 4G in area bound by

A line twenty-five feet east of and parallel to South Sangamon Street, West 18<sup>th</sup> Street, the alley next east of and parallel to South Sangamon Street, West 18<sup>th</sup> Place

to those of a B2-3 Neighborhood Mixed-Use District.

SECTION 2. This ordinance takes effect after its passage and due publication.

Common Address of Property:

917-925 W 18<sup>th</sup> Street

# "WRITTEN NOTICE" FORM OF AFFIDAVIT (Section 17-13-0107)

September 24, 2015

Honorable Daniel Solis Chairman, Committee on Zoning 121 N. LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned,	Thomas S. Moore	, being first duly sworn on oath
deposes and says	the following:	

That the undersigned certifies that he or she has complied with the requirements of Section 17-13-0107, of the Chicago Zoning Ordinance, by sending written notice to such owners who appear to be the owners of the property within the subject area not solely owned by the applicant. and to the owners of all property within 250 feet in each direction of the lot lines of the subject property, exclusive of public roads, street, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately September 24, 2015.

The undersigned certifies that the applicant has made an bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Thomas Ş. Moore

day of

Subscribed and sworn to before me this

**Notary Public** 

OFFICIAL SEAL SONSERESE HATCH NOTARY PUBLIC - STATE OF ILLINOIS

MY COMMISSION EXPIRES:07/18/18

# Anderson & Moore, p.c.

#### ATTORNEYS AT LAW

## 1.1.1 West Washington Street, Suite 1720 Chicago, Illinois 60602

THOMAS S. MOORE JANE F. ANDERSON

Telephone (312) 251-1500 Facsimile (312) 251-1509

September 24, 2015

### To Property Owner:

In accordance with requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about September 24, 2015, the undersigned will file an application for a change in zoning for the property located at 917 W. 18<sup>th</sup> Street, from M1-2 to B2-3 on behalf the applicant, VCP Opportunity Fund II, LLC, Series III-917 W. 18<sup>th</sup>.

Applicant proposes to renovate the current structure to a mixed-use retail and residential dwelling unit building with commercial space on the first floor and lower level and a total of 26 residential dwelling units on floors 1-5. The commercial space on the first floor commercial space has a total of 2655 sq. ft. with additional 1,290 sq. ft. commercial space on the lower level. The height of the building will be 64'-11". There will be a 10' x 25' x 14' loading berth along with a total of 27 parking spaces including 2 handicapped spaces.

The owner and applicant of the property is VCP Opportunity Fund II, LLC, Series III-917 W. 18<sup>th</sup> whose business address is 1414 E. 62<sup>nd</sup> Place, Chicago, IL.

I am the attorney for the applicant and can be reached at 312-251-1500 if you have any questions.

Please note the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Sincerely.

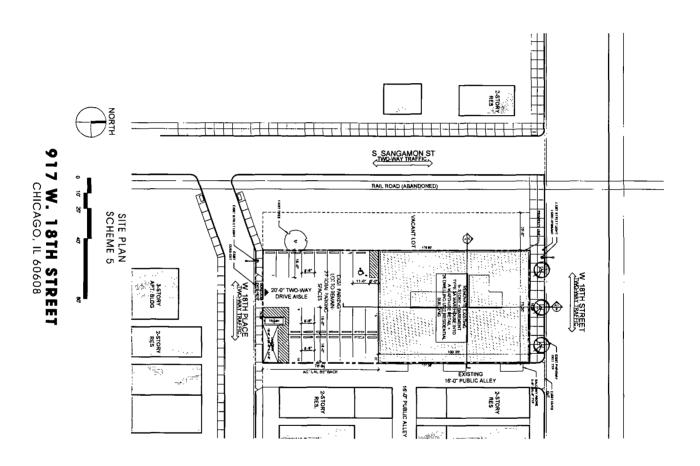
Thomas S./Moore

Kron

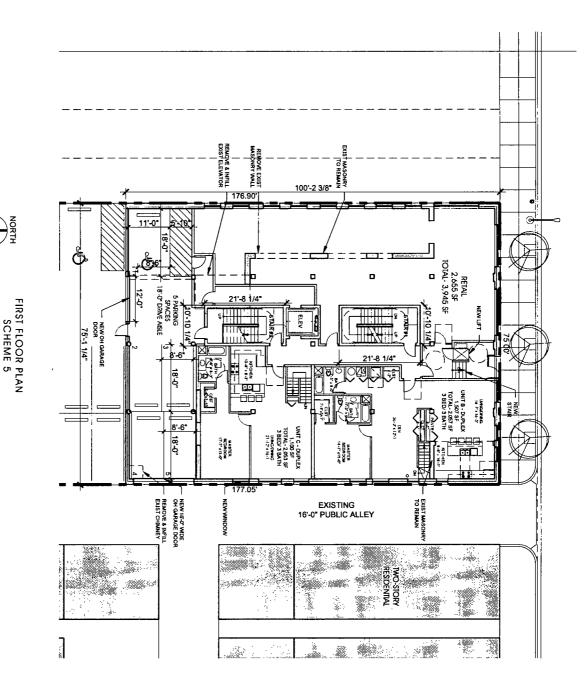
# 917-925 W 18<sup>th</sup> Street M1-2 to B2-3 NARRATIVE

Applicant proposes to renovate the current structure to a mixed-use retail and residential dwelling unit building with commercial space on the first floor and lower level and a total of 26 residential dwelling units on floors 1-5. The commercial space on the first floor commercial space has a total of 2655 sq. ft. with additional 1,290 sq. ft. commercial space on the lower level. The height of the building will be 64'-11". There will be a 10' x 25' x 14' loading berth along with a total of 27 parking spaces including 2 handicapped spaces.

	B2-3
FAR	2.84
Lot Area	13,273 sq. ft.
Building Area	37,675 sq. ft.
Lot Area per Unit	510.5 Sq. Ft.
No. of Units	26
Bldg Height	64' – 11"
North Front Setback	0' -0"
South Rear Setback	76' – 9 ½"
East Setback	0'-0"
West Setback	0'-0"
Parking	27 including 2
	handicapped
	parking spaces, one
	10' x 25' x 14'
	loading berth







917 W. 18TH STREET CHICAGO, IL 60608

SULLIVAN



75-1 1/4

RETAIL LOWER LEVEL 1,290 SF

ROOM

GAS ROOM

- INFILL EXIST

EXIST MASONRY TO REMAIN

10'-10 1/4- 9

LOWER LVL B53 SF BEDROOM 3

- NEW WINDOWS

UNIT B
LOWER LVL
S50 SF
BEDROOM 2
13-5-11-7

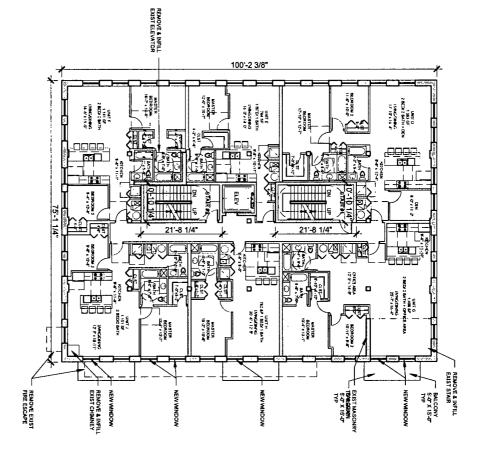
BEDROOM 2

EXIST STAIR





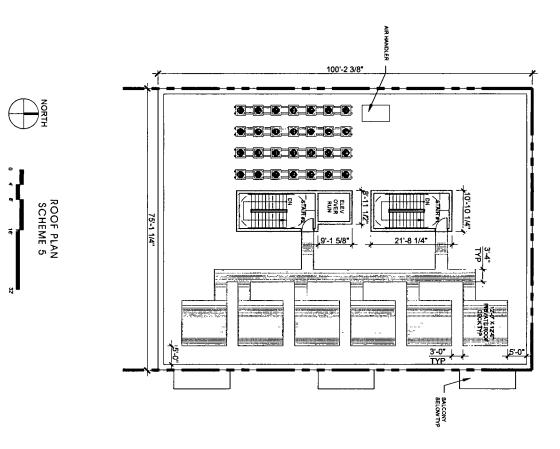




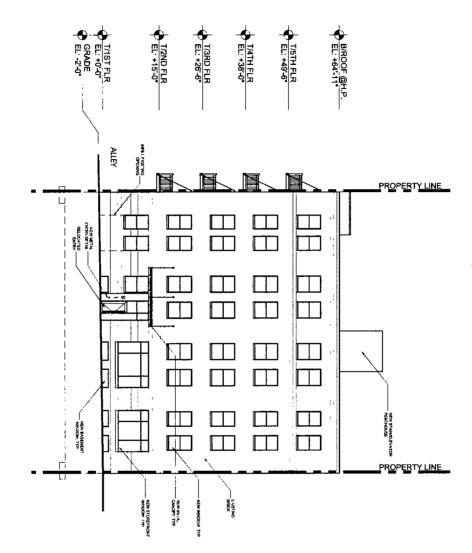


917 W. 18TH STREET CHICAGO, IL 60608

SEPTEMBER 16, 2015



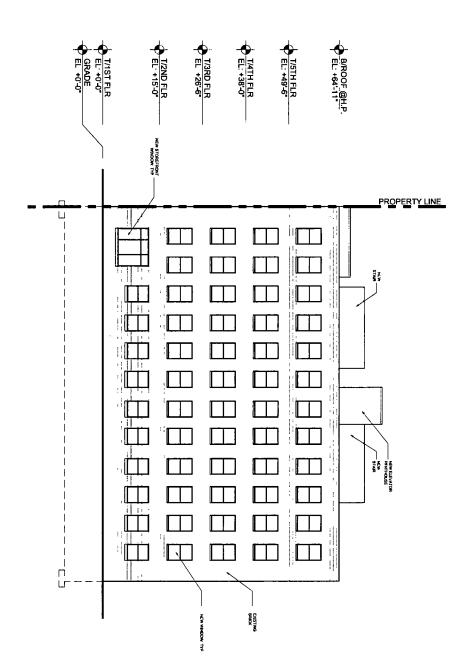






NORTH ELEVATION SCHEME A

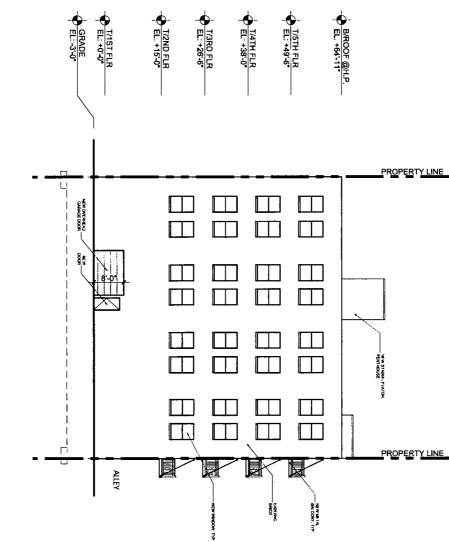






WEST ELEVATION SCHEME A



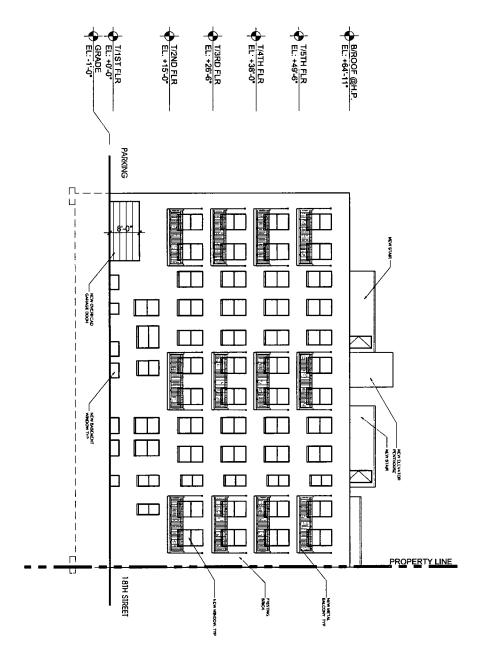




16:

SOUTH ELEVATION SCHEME A

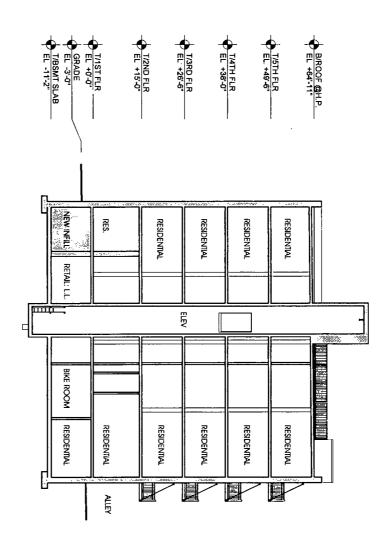






917 W. 18TH STREET CHICAGO, IL 60608

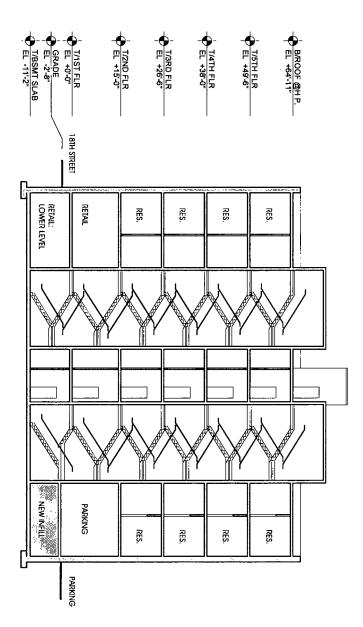




•









#### SPIEWAK CONSULTING **PLAT OF SURVEY** PROFESSIONAL DESIGN FIRM LICENSE NO :184.006518 5805 W. HIGGINS AVE , CHICAGO, IL 60630 phone: (773) 853-2672; fax: (773) 736-4616 www.surveyorsland.com by ANDREW SPIEWAK LAND SURVEYOR, INC. TRIE OF 470 SHAGBARK CT., ROSELLE, IL 60172 SHOWN FOR A phone: (630) 351-9489 www landsurveyors.pro andrew@landsurveyors.pro ξį BEARINGS A LEGAL DESCRIPTION: LOTS 2, 3, 4, 5, 6 AND 7 AND A TRACT OF LAND 18 FEET IN WIDTH, MARKED "PRIVATE ALLEY" LYING SOUTH OF AND ADJOINING SAID LOTS 2, 3 AND 4, AND NORTH OF AND ADJOINING SAID LOTS 5, 6, 7, IN THE SUBDIVISION OF LOTS 14 TO 20, BOTH INCLUSIVE, IN THE NORTH 1/2 OF BLOCK 5 IN WALSH AND MC MULLEN'S SUBDIVISION OF THE SOUTH 3/4 OF THE SOUTHEAST 1/4 OF SECTION 20, TOWNSHIP 39 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED DECEMBER 29, 1881 AS DOCUMENT 366880, IN BOOK 16 OF PLATS, PAGE 80, IN COOK COUNTY, ILLINOIS. WEST 18TH. ST. DEPRESSED CURB 88 H CONCRETE WALK CONCRETE WALK 25 00 REC 75.00 25 00 REC △ 25.00 REC. 0,12 N. & ON LINE ALLEY (BLACKTOP) ,5 STORY & BÁSEMÉNT BRICK & CONC. BLOCK PUBLIC #917 LOTS LOTZ LOTA Ë SOUTH SANGAMON Ġ REC.= 179.00 S I H SANGAMON C = 179 00 = **176.90** Ħ. 늄 LOT 1 (VACANT) 볼 PRIVATÉ EST MEAS SANGAMON -0,06 €, ROOF OVER LOADING DOCKS MEAS = 177.0 REC.= 179.0 SOUTH (ABANDONED) 뇽 BLACKTOP PARKING 뿔 & CURB EAST ROAD LOTA LOTE LOTS (BLACKTOP WALL RAIL CONCRETE ALLEY REMNANTS OF PUBLIC BLACKTOP PARKING Ë 25.00 REC. 5 00 REC. 25 00 REC 25 00 REC. CONCRETE WALK COMMONLY KNOWN AS: WEST 18TH. PL. 917 W. 18TH STREET CHICAGO, ILLINOIS 60608 P.I.N. 17-20-414-002-0000 LAND AREA ± 13,273 sq. ft. Legend FENCE WD = WOOD FENCE C L = CHAIN LINK VF = VINYL FENCE I F. = ROF FENCE NF = NORTH FACE S F. = SOUTH FACE WF = WEST FACE E F = EAST FACE LP = 1000 1000 F 001 1000 P010 ANDREW SPIEWAK LAND SURVEYOR, INC. A PROFESSIONAL DESIGN FIRM, LAND SURVEYING CORPORATION LICENCE No. 194 008518 HEREBY CERTIFIES THAT A SURVEY HAS BEEN MADE UNDER THE DIRECTION AND. SUPERVISION OF AM ILLINO'S PROFESSIONAL LAND SURVEYOR OF THE ABOVE DESCRIBED PROPERTY AND THAT THE PLAT HEREON DRAWN IS A CORRECT REPRESENTATION OF SAID SURVEY. THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINO'S MINIMUM STANDARDS FOR BOUNDARY SURVEYS IRON ROD FOUND IRON ROD SET IRON PIPE FOUND CROSS FOUND & SET SCALE: 1 INCH EQUALS 20 FEET. FIELD WORK WAS COMPLETED ON 9TH DAY OF SEPT CHICAGO, ILLINOIS, DATE OF PLAT 11TH DAY OF SEPT A.D. 20 15 A.D. 20 15 ORDERED BY: JOHN PAGONE COMPANY OR ORGANIZATION. VILLA CAPITAL PARTNERS SURVEYED BYAFS/PJS E OF IL DRAWN BY:PJS/AFS ANDRZEJ F SPIEWAK ILLINOIS PROFESSIONAL LAND SURVEYOR LICENCE No. 035 003178 LICENSE EXPIRES 11/30/2016 CHECKED BY. AFS PROJECT No 004-14 UD 15 THIS SURVEY IS VALID ONLY WITH AN EMBOSSED SEAL

#18478 TI NHM DATE! SEPT. 24, 2015

# CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

ADDRESS of the pr	operty Applicant is seeking	g to rezone:
917-9	25 W 18 <sup>th</sup> Street	
Ward Number that p	roperty is located in: 25	
APPLICANT	VCP Opportunity Fund	I, LLC Series III-917 W 18th
ADDRESS	1414 E 62 <sup>nd</sup> Place	
CITY Chicago	STATEIL	ZIP CODE 60637
PHONE312-7	<u> 35-4123</u> CONTACT PER	SON John Pagone
Applicant is not the	e owner of the property, or and attach written aut	ES X NO If the please provide the following information horization from the owner allowing the
OWNER		
		ZIP CODE
PHONE	CONTACT PER	SON
	ner of the property has ob provide the following info	stained a lawyer as their representative formation:
ATTORNEY	Thomas S. Moore	
ADDRESS 111 V	V Washington Suite 1720	CITY Chicago
CITY Chicago	STATE <u>IL</u>	ZIP CODE 60602
DUONE	312-251-1500	FAX 312-251-1500

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, Etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.			
	John Pagone			
	Erik Hubbard			
7.	On what date did the owner acquire legal title to the subject property? 12/2014			
8.	Has the present owner previously rezoned this property? If Yes, when?  No			
9.	Present Zoning District M1-2 Proposed Zoning District B2-3			
10.	Lot size in square feet (or dimensions?)75' x 179'			
11.	Current Use of the property <u>Vacant warehouse</u>			
the fi comn 1,290 There	ure to a mixed-use retail and residential dwelling unit building with commercial space on rst floor and lower level and a total of 26 residential dwelling units on floors 1-5. The nercial space on the first floor commercial space has a total of 2655 sq. ft. with additional sq. ft. commercial space on the lower level. The height of the building will be 64'-11". will be a 10' x 25' x 14' loading berth along with a total of 27 parking spaces including 2 capped spaces.			
and hestruct the ficomm 1,290 There	Describe the proposed use of the property after the rezoning. Indicate the number of ing units; number of parking spaces; approximate square footage of any commercial space; eight of the proposed building. (BE SPECIFIC) Applicant proposes to renovate the current ure to a mixed-use retail and residential dwelling unit building with commercial space on rest floor and lower level and a total of 26 residential dwelling units on floors 1-5. The nercial space on the first floor commercial space has a total of 2655 sq. ft. with additional sq. ft. commercial space on the lower level. The height of the building will be 64'-11". will be a 10' x 25' x 14' loading berth along with a total of 27 parking spaces including 2 capped spaces.			
14.	On May 14 <sup>th</sup> , 2007, the Chicago City Council passed the affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information).			
VFC	X NO			

.

. . - •

COUNTY OF COOK	_
STATE OF ILLINOIS	
John Pagone , being first duly sworn on oath, states that all of the above	ve
tatements and the statements contained in the documents submitted herewith are	
rue and correct.	
1160	
Signature of Applicant	
Signature of Applicant	
Subscribed and Sworn to before me this day of September, 20_15.	
day of <u>September</u> 20_13.	
Byron J	
Notary Public  BYRON DOTSON	
BYRON DOTSON OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires	
January 09, 2017	
For Office Use Only	
Date of Introduction:	
File Number:	
Vard:	

;

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -GENERAL INFORMATION**

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
VCP Opportunity Fund II, LLC, Series III-917 W. 18th
Check ONE of the following three boxes:
Indicate whether Disclosing Party submitting this EDS is:  1. [X] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which Disclosing Party holds an interest:
OR 3. [] a specified legal entity with a right of control (see Section II.B.1.b) State the legal name of the entity in which Disclosing Party holds a right of control:
B. Business address of Disclosing Party:  1414 W 62 <sup>nd</sup> Place  Chicago, IL 60637
C. Telephone: 312-735-4123 Fax: Email_jpagone@villacapital.com
D. Name of contact person: <u>John Pagone</u> E. Federal Employer Identification No. (if you have on
F. Brief description of contract, transition or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Zoning Change-917-925 W 18 <sup>th</sup> Street
G. Which City agency or department is requesting this EDS? Dept of Planning and Development Bureau of Zoning If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### SECTION II – DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF DISCLOSING PARTY

<ol> <li>Indicate the nature of the Disclosing Party:</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership*</li> <li>Limited partnership*</li> <li>Trust</li> </ol>	[X] Limited liability company*  [] Limited liability partnership*  [] Joint venture*  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No  [] Other (please specify)
*Note B.1.b below	
2. For legal entities, the state (or foreign coun	try) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the State obusiness in the State of Illinois as a foreign entity?	of Illinois: Has the organization registered to do
[] Yes [] No	[X] N/A
B. IF THE DISCLOSING PARTY IS A LEGAL E	NTITY:
NOTE: For not-for-profit corporations, also list be there are no such members, write "no members." F the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name and	the day-to-day management of the Disclosing Party.
Name	Title
John Pagone	Member
Erik Hubbard	Member

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

	Disc	losing party	
John Pagone	1414 E 62 <sup>nd</sup> Place, Chicago, IL	50%	<u></u>
Erik Hubbard 1414 E 62 <sup>nd</sup> Place, Chicago, IL 50%			
SECTION III – B	SUSINESS RELATIONSHIPS WITH CITY	ELECTED OFFICIALS	
	sing Party has a "business relationship." as de by elected official in the 12 months before the	<del>-</del>	e Municipal

[] Yes [X] No

**Business Address** 

Name

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV – DISCLOSURE OF SUBCONTRACTORS & OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party, must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourlyrate" or "t.b.d." is not an acceptable response.
Retained: Anderson & Moore-Attor	mev 111 W W	ashington Ste 1720; Chicago, IL 606	502 Estimated to be
			\$5,000.00
(Add sheets if necessary)			
[] Check here if the Disc	losing party h	as not retained, nor expects to retain,	any such persons or entities.
SECTION V—CERTIF	FICATIONS		
A. COURT-ORDERED	CHILD SUPF	PORT COMPLIANCE	
		e-415, substantial owners of business the their child support obligations thro	
· -	•	tly owns 10% or more of the Disclosions by any Illinois court of competen	<del>-</del> -
[] Yes [X	] No	[] No person directly or indirect Disclosing Party.	tly owns 10% or more of the
If "Yes," has the person e is the person in compliant		court-approved agreement for payment greement?	nt of all support owned and
[]Yes []	No		
B. FURTHER CERTIFIC	CATIONS		
consult for defined terms submitting the EDS is the certifies as follows: (i) ne	(e.g., "doing be Applicant and the Applicant and	apter 1-23, Article I ("Article I")(whit ousiness") and legal requirements), if d is doing business with the City, the icant nor any controlling person is cu er been convicted of, or placed under	The Disclosing Party n the Disclosing Party urrently indicated or charged

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certification 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding that date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for or criminally or civilly charges by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transaction (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity) with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United Stated of America, in that officer's or employee's official capacity;
- b agreed or colluded with other bidders, or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United Stated of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons Lists, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.		
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A		
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if non, indicate with a "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.  N/A		
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION		
1. The Disclosing Party certifies that the Disclosing Party (check one)		
[] is [X] is not		
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.		
2. If the Disclosing Party IS a financial institution, then the Disclosing party pledges:		
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."		
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages of necessary):		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes [X] No NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? [] Yes [X] No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Nature of Interest Name **Business Address** 

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery, or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provide coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allowed by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets as necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the work "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify and federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

is the Disclosing Par	ty the Applicant?
[]Yes	[] No
If "Yes," answer the	three questions below:
<del>-</del>	reloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2).  [] No
•	<u> </u>
3. Have you par equal opportunity cla	
If you checked "No"	to question 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understand and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INTELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

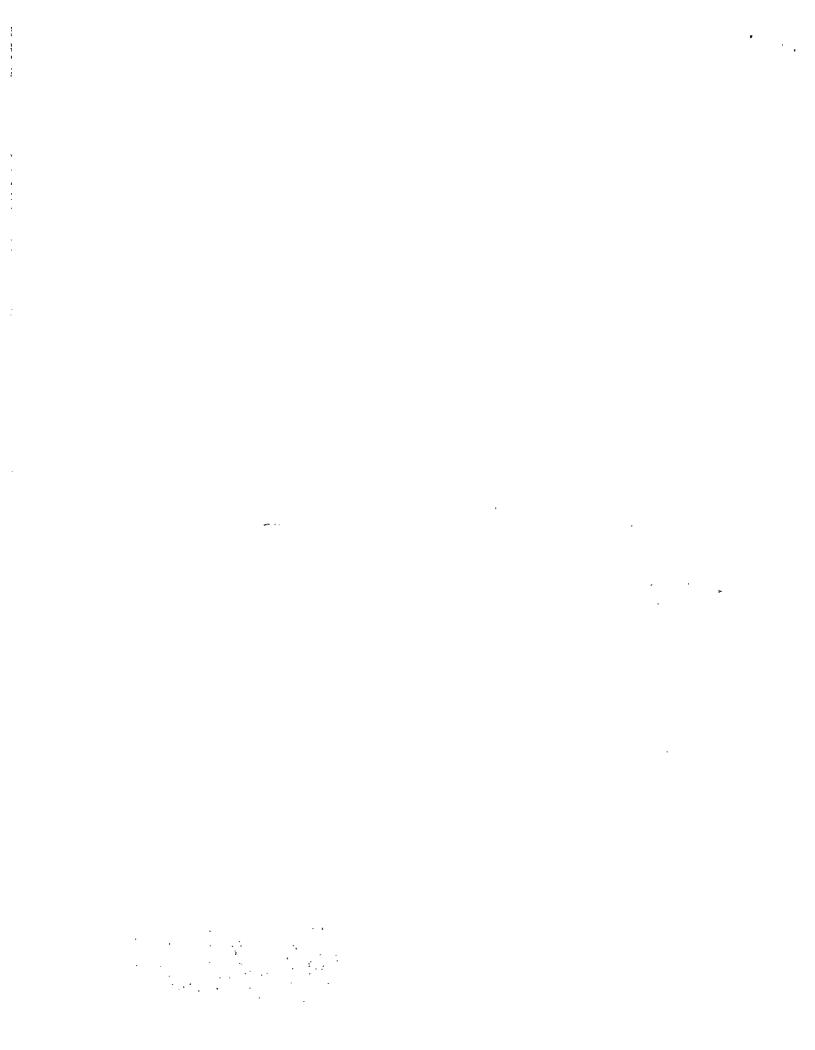
- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility on the U. S. E.P.A. on the federal Excluded Parties List System ("EPLS) maintained by the U.S. General Services Administration.
- F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

VCP Opportunity Fund II, LLC, Series III	<u>-917 W. 18th</u>		
(Print or type name of Disclosing Party)			
By: John Mag			
(Sixphere)	<del></del>		
John Pagone			
(Print or type name of person signing)	<del></del>		
(Time of type name of person signing)			
Member			
(Print or type title of person signing)			
By:			
•	<del></del>		
(Sign here)			
Erik Hubbard			
(Print or type name of person signing)			
,			
Member	<del></del>		
(Print or type title of person signing)			
	alalic		
Signed and sworn to before me on (date)_	119113	,	
at Cook County, Illinois.			
		PARON SOCIO	_
the things	_ Notary Public	BYRON DOTSON OFFICIAL SEAL	
ſ		Notary Public, State of Illin My Commission Expir	10is
/		January 09, 2017	03



# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related, by blood or adoption, to the mayor, any alderman, the city clerk, the city treasurer, or any city department head as parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Part" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and member of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ X] No	
which such person	is connected; (3) the name and	d title of such person, (2) the name of the legal entity to d title of the elected city official or department head to d (4) the precise nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	<u>-</u>		e Applicant or any Owner identified as a t to Section 2-92-416 of the Municipal
	Yes	<b>✓</b> No	
2.	. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director the Applicant identified as a building code scofflaw or problem landlord pursuant to Secti 2-92-416 of the Municipal Code?		
	Yes	No	Not Applicable
3.	If yes to (1) or (2) above, please ididentified as a building code scoffle buildings to which the pertinent co	aw or problem lai	ndlord and the address of the building or

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.