



City of Chicago



O2015-6421

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	9/24/2015
Sponsor(s):	Misc. Transmittal
Type:	Ordinance
Title:	Zoning Reclassification Map No. 3-G at 1444 W Augussta Blvd - App No. 18528T1
Committee(s) Assignment:	Committee on Zoning, Landmarks and Building Standards

#18528T1
INTRO DMR
Sept 24, 2015

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the RS3 Residential Single-Unit (Detached House) District symbols and indications as shown on Map No.3-G in the area bounded by

the alley next north of and parallel to West Augusta Boulevard;
a line 391.7 feet west of and parallel to North Noble Street; West
Augusta Boulevard; and a line 536.06 feet west of and parallel to
North Noble Street,

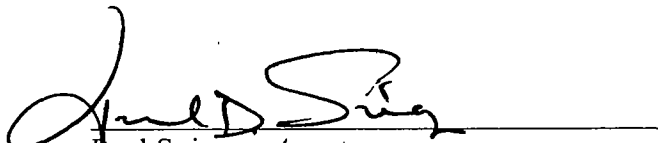
to those of a RM6 Residential Multi-Unit District and a corresponding uses district is hereby established in the area above described.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Common address of property: 1444 West Augusta Boulevard

To whom it may concern:

I, PAUL SVIGOS, as an Authorized Agent of 1434 W. Augusta LLC, the Applicant with regard to the property located at 1444 West Augusta Boulevard, Chicago, Illinois, authorize the Law Offices of Samuel V.P. Banks, to file a zoning amendment application before the City of Chicago for that property.

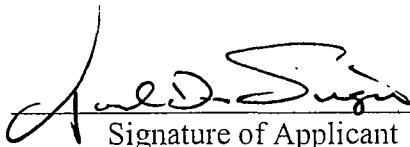


Paul Svigos - Agent
1434 W. Augusta LLC

=====

COUNTY OF COOK
STATE OF ILLINOIS

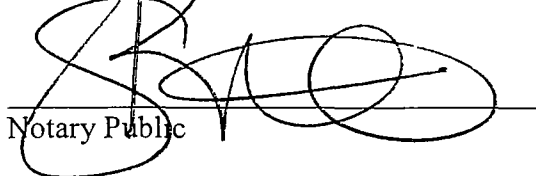
I, PAUL SVIGOS, being first duly sworn on oath, state that all of the above statements and the statements contained in the documents submitted herewith are true and correct.



Signature of Applicant

Subscribed and sworn to before me this

15TH day of SEPT., 2015.



Notary Public



=====

For Office Use Only

Date of Introduction: _____

File Number: _____

Ward: _____

Written Notice, Form of Affidavit: Section 17-13-0107

September 16, 2015

Honorable Daniel Solis
Chairman, Committee on Zoning
121 North LaSalle Street
Room 304 - City Hall
Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, **Sara Barnes**, being first duly sworn on oath, deposes and says the following:

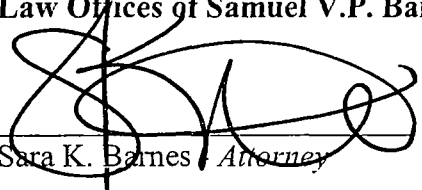
That the undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the Owner or the Applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was or will be sent by USPS First Class Mail no more than 30 days before filing the application.

That the undersigned certifies that the notice contained the address of the property sought to be rezoned as **1444 West Augusta Boulevard, Chicago, Illinois**; a statement of intended use of said property; the names and addresses of the Applicant and the Owner; and a statement that the Applicant intends to file an application for a change in zoning on approximately **September 16, 2015**.

That the Applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago and that the Applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

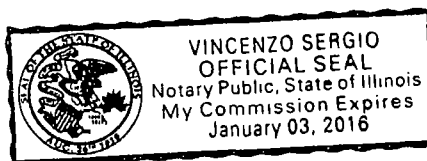
Law Offices of Samuel V.P. Banks

By:


Sara K. Barnes, Attorney

Subscribed and Sworn to before me
this 15th day of SEPT., 2015.


Notary



PUBLIC NOTICE

Via USPS First Class Mail

September 16, 2015

Dear Sir or Madam:

In accordance with Amendment to the Zoning Code enacted by the City Council, Section 17-13-0107-A, please be informed that on or about **September 16, 2015**, I, the undersigned, intends to file an application for a change in zoning from an RS-3 Residential Single-Unit (Detached House) District to an RM-6 Residential Multi-Unit District, on behalf of the Applicant, 1434 W. Augusta LLC, and the Owner, Northwestern University Settlement Association, for the property located at **1444 West Augusta Boulevard, Chicago, Illinois.**

The Applicant is seeking to amend the zoning at the subject property in order to permit the conversion of the existing four-story (school) building into an all residential building at the subject site. There will be no physical expansion of the existing four-story school building. The Applicant intends to undertake a complete interior renovation of the existing building in order to accommodate for the establishment of twenty-three new dwelling units within the building. Surface (outdoor) parking for ten vehicles will also be located on-site, at the rear of the building. The existing building is and will remain masonry in construction and measure 56'-7" in height.

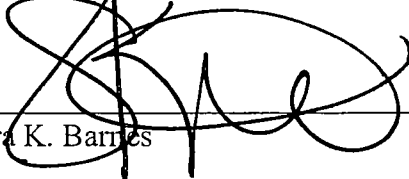
The Applicant, **1434 W. Augusta LLC**, is located at 1 West Dundee, Suite 200, Buffalo Grove, Illinois.

The Owner, **Northwestern University Settlement Association**, is located at 71 South Wacker Drive, 46th Floor, Chicago, Illinois.

The contact person for this application is **Sara Barnes**. My address is 221 North LaSalle Street, Chicago, Illinois. My telephone number is 312-782-1983.

Very truly yours,

LAW OFFICES OF SAMUEL V.P. BANKS



Sara K. Barnes

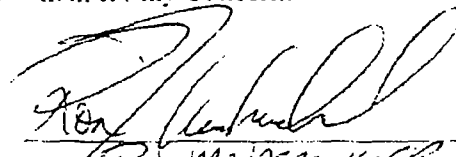
*****Please note that the Applicant is not seeking to purchase or rezone your property.**

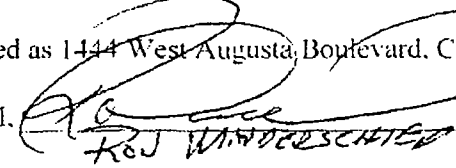
*****The Applicant is required by law to send this notice because you own property located within 250 feet of the property subject to the proposed zoning amendment.**

-FORM OF AFFIDAVIT-

Chairman, Committee on Zoning
Room 304 - City Hall
Chicago, IL 60602

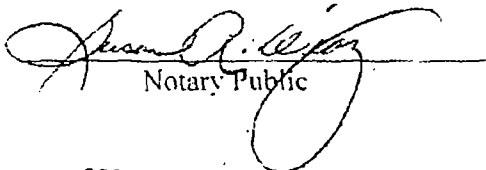
To Whom It May Concern:

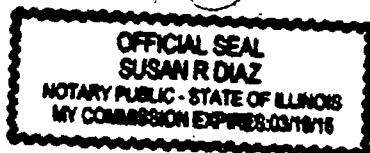
I,  as PRESIDENT for
Northwestern University Settlement Association, understand that the Law Offices of Samuel V.P.
Banks has filed a sworn affidavit identifying Northwestern University Settlement Association, as
Owner holding interest in land subject to the proposed zoning amendment for the property
identified as 1444 West Augusta Boulevard, Chicago, Illinois.

I, , being first duly sworn under oath, depose and
say that Northwestern University Settlement Association, holds that interest for itself and for no
other person, association, or shareholder.

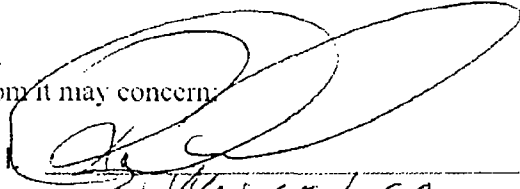
SEPTEMBER 15, 2015
Date

Subscribed and sworn to before me
this 15 day of SEPTEMBER, 2015.


Notary Public



To whom it may concern:

 as PRESIDENT for
Ron Wondolowski
Northwestern University Settlement Association, the Owner with regard to the property located at
1444 West Augusta Boulevard, Chicago, Illinois, authorize the Law Offices of Samuel V.P. Banks.
to file a zoning amendment application before the City of Chicago for that property.

SEPTEMBER 15, 2015

**NORTHWESTERN UNIVERSITY SETTLEMENT
BOARD PRESIDENTS**

FY 15

CHICAGO BOARD

MARIANA MACK PARKE
1030 N. STATE ST.
CHICAGO, ILLINOIS 60610
847-204-1402
mackmariana@gmail.com

EVANSTON BOARD

LESLIE LUNING
2315 RIDGE AVENUE
EVANSTON, ILLINOIS 60201
847-556-9436
Leslie.luning@comcast.net

NORTH SHORE BOARD

ALYSON ARON
75 LOCUST ROAD
WINNETKA, ILLINOIS 60093
847-501-2990
Alysonaron1@gmail.com

PARK RIDGE BOARD

FRAN HORVATH
623 SOUTH HOME AVE.
PARK RIDGE, ILLINOIS 60068
847-698-2574
franinc@aol.com

WINNETKA BOARD

DANIA LEEMPUTTE
135 OLD GREEN BAY ROAD
WINNETKA, ILLINOIS 60093
847-738-1989
leemputte@aol.com

NORTHBROOK BOARD

JULIE WEINBERG
1311 WENDY DRIVE
NORTHBROOK, ILL. 60062
224-235-4161- Home
847-337-5103 Cell
julieweinberg@me.com

**Northwestern University Settlement Association
Board of Directors
FY-15-16**

Anna Atkinson	3426 N. Hoyne Street Chicago, Illinois 60618 Home: (773)-529-3745 Email: annaatakinson@gmail.com	
Margaret J. Barr Retired, VP Student Affairs Northwestern University	811 Chicago Avenue Apartment 208 Evanston, IL 60202 Home: (847) 424-9130 Mobil: (847)-609-5586 Email: m-barr2@northwestern.edu	
Robert J. Best	1426 Chicago Avenue Evanston, IL 60201 Mobile: (847) 644-9438 Email: Bobbest@sbcglobal.net	
Walter Burnett, Jr. Alderman	Ward 27 - Office 4 N. Western Avenue – 1C Chicago, IL 60612 Business: (312) 432-1995	
Julie W. Coffman Partner	3819 N. Oakley Chicago, IL 60618 Home: (773) 539-3855 Mobile: (312) 961-0680 Email: julie.coffman@bain.com	Bain & Company, Inc. 190 S. LaSalle Chicago, IL 60603 Email: julie.coffman@bain.com
Glenn A. Dalhart	1014 Ridge Road, Unit 218 Wilmette, IL 60091 Home: (847) 256-6214 Mobile: (312) 933-6214 Fax: (312) 873-4498 Email: gdalhart@ameritech.net	Dalhart Consulting, LLC 1 South Wacker Drive, Ste 800 Chicago, IL 60606-4650 Business: (312) 634-3848 Email: gdalhart@dalhartconsulting.com (Do Not Contact)
Paula B. Danoff Director of Development	182 Fuller Lane Winnetka, IL 60093-4213 Home: (847) 441-0553 Mobile: (847) 910-0246 Fax: (847) 386-6239 Email: paula.danoff@gmail.com	Evanston Art Center 2603 Sheridan Road Evanston, IL 60201 Business: (847) 475-5300 x208 Fax: (847) 475-5330 Email: pdanoff@evanstonartcenter.org

Barry L. Ehlers	1147 Asbury Avenue Winnetka, IL 60093 Mobile: (312) 209-6000 Email: netcpa@gmail.com	True Partners Consulting, LLC 225 West Wacker Dr., Ste 1600 Chicago, IL 60606 Business: (312) 235-3304 Email: Barry.Ehlers@TPCTax.com
Kathleen H. Elliott Retired, Banker Chase	79-885 De Sol A Sol La Quinta, CA 92253 Home: (760) 777-7527 Email: KHE1323@aol.com	
Carol Golder Vice President	254 Scott Avenue Winnetka, IL 60093 Home: (847) 242-0607 Mobile: (847) 922-1430 Fax: (847) 242-0505 Email: cgolder@lightfall.com	Baker Demonstration School 201 Sheridan Road Wilmette, Illinois 60091 Business: (847) 425-5800
Mimi Harris	329 Chestnut Street Winnetka, IL 60093 Home: (847) 446-7950 Mobile: (847) 542-7950 Fax: (847) 446-7952 Email: mimi@azuwsh.com	
James G. Houston Senior Vice President	1361 Sanford Lane Glenview, IL 60025-3146 Home: (847) 486-8096 (Do Not Contact) Mobile: (847) 436-6888 Email: baysummit@aol.com (Do Not Contact)	MB Financial Bank N.A. 363 W. Ontario St., 3 rd Floor Chicago, IL 60654 Business: (312) 279-6417 Mobile: (847) 436-6888 Fax: (312) 279-6392 Email: jhouston@mbfinancial.com
Patricia M. Johnson	314 Abbotsford Road Kenilworth, IL 60043 Home: (847) 251-2246 Mobile: (847) 707-2246 Fax: (847) 251-4954 Email: pmj2246@gmail.com	
Dania Leemputte	135 Old Green Bay Road Winnetka, Illinois 60093 Home: (847) 242-0948 Mobil: (847) 738-1989 Email: leemputte@aol.com	

Connie Magnuson
Retired, Banker
Northern Trust

58 E. Elm Street
Chicago, IL 60611
Home: (312) 435-4135
Mobil: (847-207-6594)
Email: magnusonbylake@aol.com

Ron Manderschied
President

842 Buckingham Ave.
Chicago, Illinois 60657
Business: (773) 278-7471
Mobile: (312) 636-7471
Email: rmanderschied@nush.org

Northwestern Settlement
1400 W. Augusta Blvd.
Chicago, Illinois 60642

Michael E. Martinez
Partner

2120 W. Summerdale Ave.
Chicago, Illinois 60625
Home: (773) 784-0688

K&L Gates LLP
70 West Madison St., Suite 3100
Chicago, Illinois 60602
Business: (312) 807-4404
Email: michaelmartinez@klgates.com

Kurt Mueller
Retired, President & COO
MOA Hospitality

1009 Ashland Avenue
Wilmette, IL 60091
Home: (847) 256-9445
Mobile: (847) 370-0544
Email: Kmm3305@gmail.com

Amy O'Donnell

9 Kent Road
Winnetka, Illinois 60093
Home: (847) 784-0150
Mobil: (773) 456-1209
Email: amystewart13@yahoo.com

Anthony Perry
President

1203 Oak Trail
Libertyville, Illinois 60048
Mobil: (847-345-1145)
Email: tony@aperryhomes.com

A. Perry Architects
564 Lincoln Avenue
Winnetka, Illinois 60093
Business: (847) 549-0668
Fax: (847) 549-8320

Marc E. Peters

3 Woodley Manor
Winnetka, IL 60093
Home: (847) 446-6776
Business: (847) 446-1881
Email: mepeters23@gmail.com

Peters Securities

John Relias
Partner

2500 Kenilworth Avenue
Wilmette, IL 60091
Home: (847) 251-0171
Business: (312) 986-0300
Email: JAR@franczek.com

Franczek Radelet
300 S. Wacker Drive-#3400
Chicago, Illinois 60611
312-786-6160

Barbara R. Rouse
Self Employed Attorney

955 Old Green Bay Road
Winnetka, IL 60093
Home: (847) 441-8908
Mobile: (847) 772-8908
Fax: (847) 441-9879
Email: brrouse@comcast.net

John W. Rowe
Chairman Emeritus

950 N. Michigan Avenue, #3306
Chicago, IL 60611
Email: John.Rowe@Rowe950.com

Exelon Corporation
Post Office Box 805398
Chicago, Illinois 60680

Three First National Plaza
70 West Madison, Ste 5770
Chicago, IL 60602

Holly Sunshine
Northwestern Alumni Assc.
Director-At-Large

306 Crabtree Lane
Glenview, IL 60025
Home: (847) 486-5687)
Cell: (847) 624-2556
Email: sunfam22@aol.com

Dennis Van Mieghem
Retired, Partner
KPMG

110 Shoreline Drive
Park Ridge, IL 60068
Home: (847) 823-8152
Mobile: (224) 522-5609
Email: dvanmieghem@gmail.com

William Welnhofner
Managing Director
Head of Corporate
Restructuring Group

735 10th Street
Wilmette, IL 60091
Home: (847) 251-3744
Email: bwelnhofner@rwbaird.com

Robert W. Baird & Co.
227 W Monroe Street, Ste 2100
Office: (312) 609-5476

Nancy G. Whiteman

545 Happ Road
Northfield, IL 60093
Home: (847) 441-6977
Mobile: (847) 212-6977
Email: ngw545@me.com

North Shore Country Day School
310 Green Bay Road
Winnetka, IL 60093
Business: (847) 881-8848
Fax: (847) 446-0675
Email: nwhiteman@nscds.org



Officers & Directors FY15

Officers

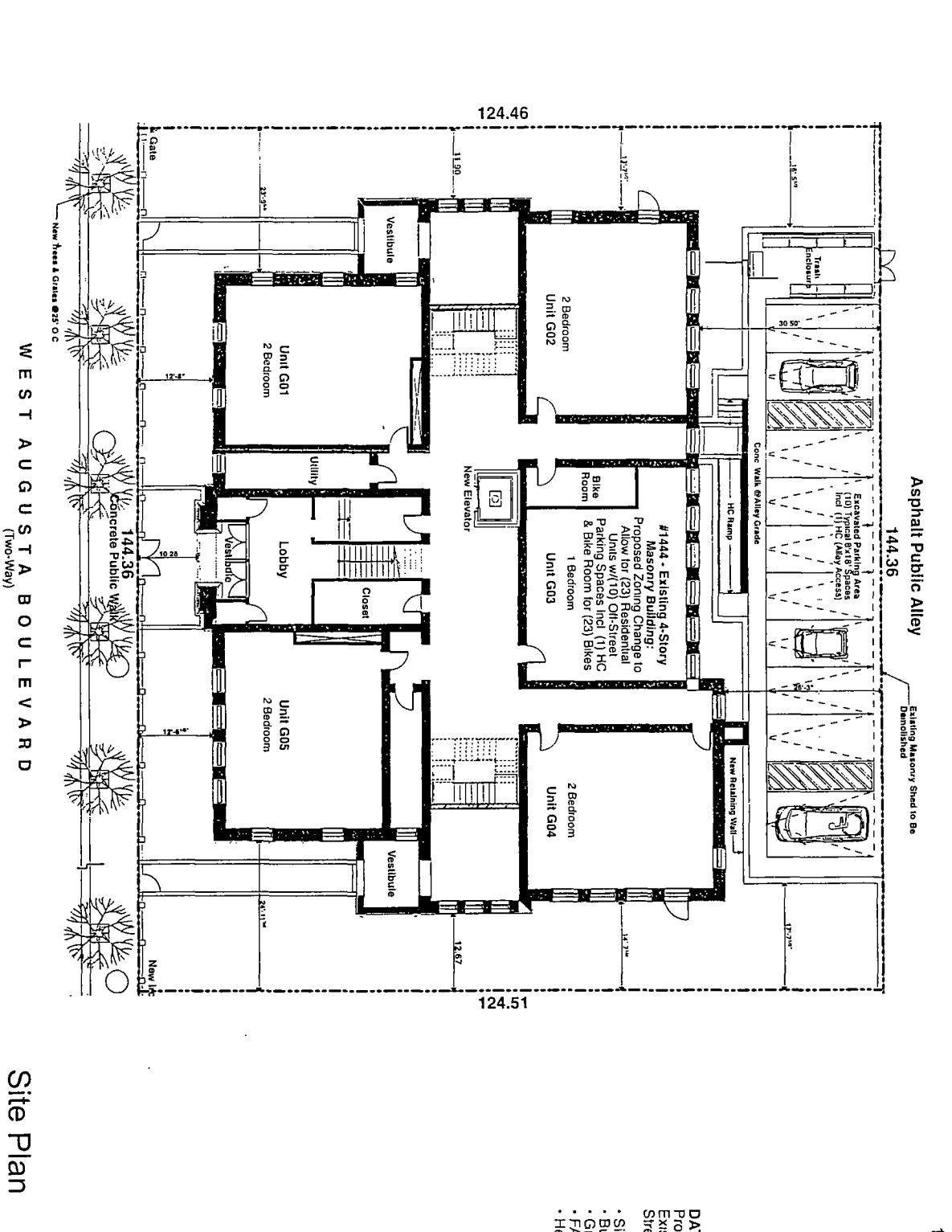
Ron R. Manderschied, *President – Northwestern Settlement*
Robert J. Best, *Chairman – Retired - K&L Gates LLP*
Glenn A. Dalhart, *Vice-Chairman – Dalhart Consulting LLC* James
Kurt Mueller, *Treasurer – Retired, MOA Hospitality, Inc.*
Anthony Perry, *Assistant Treasurer – A. Perry Homes*
Barbara R. Rouse, *Secretary – North Shore Board; Attorney*

Directors

Anna Atkinson- *Evanston Board*
Margaret J. Barr – *Retired, Northwestern University*
Alderman Walter Burnett, Jr. – *City of Chicago 27th Ward*
Julie W. Coffman – *Bain & Company, Inc.*
Paula B. Danoff – *Evanston Art Center*
Barry Ehlers – *PricewaterhouseCoopers LLP*
Kathy Elliott – *Retired, North Shore Board*
Carol Golder – *North Shore Board*
Mimi Harris – *North Shore Board*
James Houston - *MB Financial Bank N.A.*
Patricia M. Johnson – *North Shore Board*
Dania Leemputte – *Winnetka Board*
Connie Magnuson – *Retired, Northern Trust Bank*
Michael Martinez – *K&L Gates LLP*
Amy O'Donnell – *North Shore Board*
Marc E. Peters – *Independent, wealth management*
John Relias – *Franczek Radelet*
John W. Rowe – *Retired, Exelon Corporation*
Holly Sunshine – *Evanston Woman's Board*
Dennis Van Mieghem – *Retired, Park Ridge Board*
William G. Welnhofner – *Robert W. Baird & Co., Inc.*
Nancy G. Whiteman – *North Shore Country Day School*

Auxiliary Board Presidents

Alyson Aron – <i>North Shore Board</i>	Leslie Luning – <i>Evanston Woman's Board</i>
Francis Horvath – <i>Park Ridge Board</i>	Mariana Parke – <i>Chicago Board</i>
Dania Leemputte – <i>Winnetka Board</i>	Julie Weinberg – <i>Northbrook Board</i>



1444 WEST AUGUSTA
1444 W Augusta Blvd
Chicago, IL

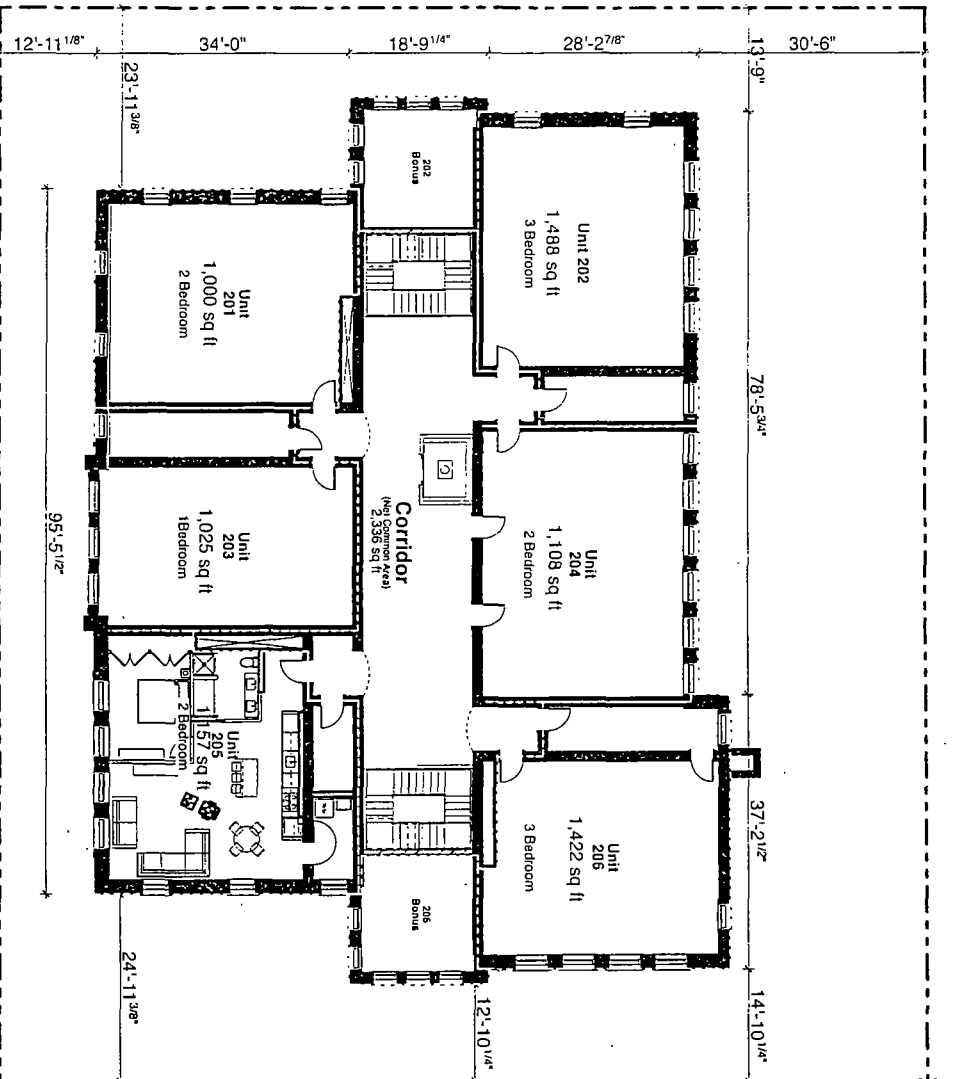
Scale: 1/16" = 1'-0"



- DATA.
- Proposed 23 Dwelling Units in Existing Building, (10) Off-Street Spaces
 - Site Area: 17,970 SF
 - Building Area: 12,527 SF
 - Gross Area (x4): 50,304 SF
 - FAR Ratio: 4.02
 - Height: 62.10 FT

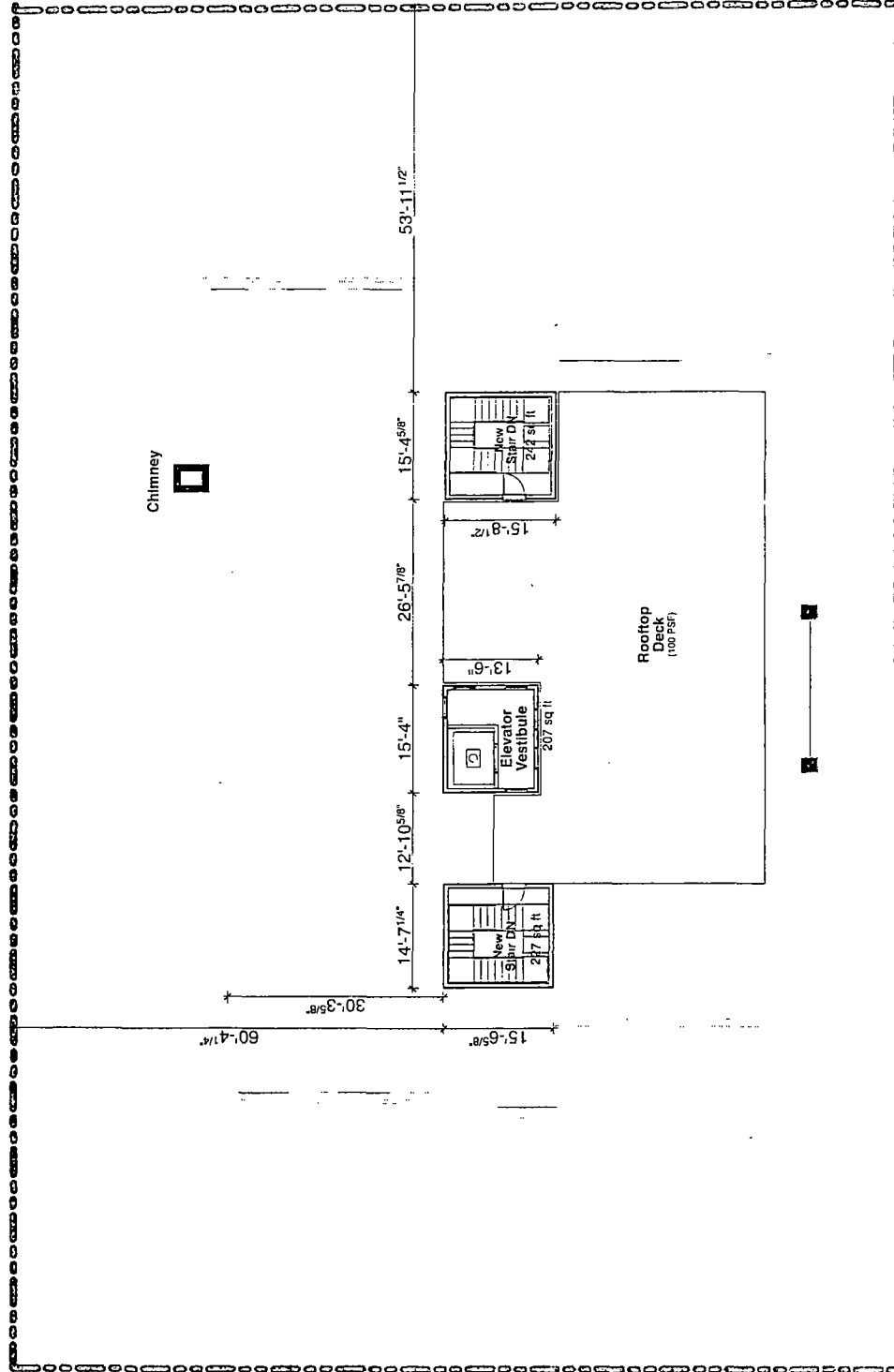
Site Plan

SCALE: 1/16" = 1'-0"



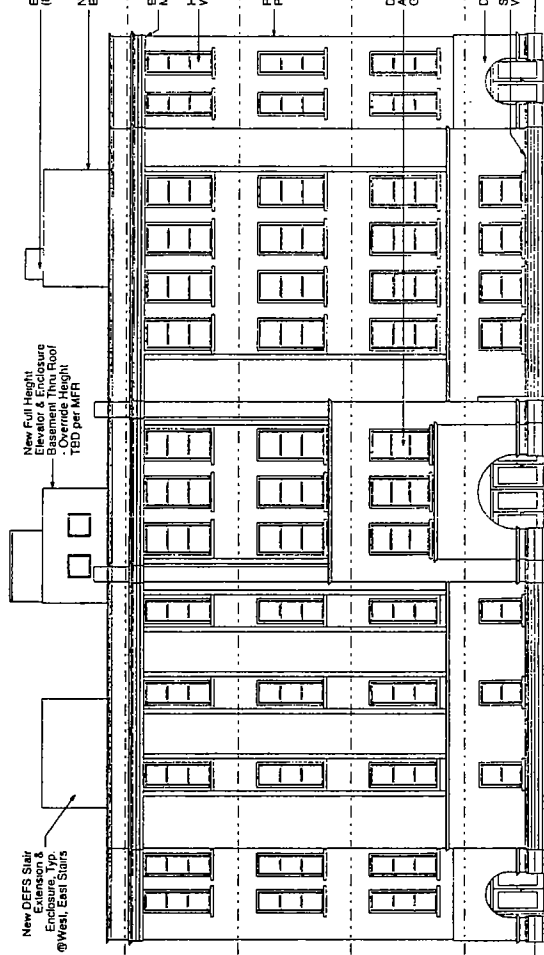
Typical Floors 2, 3, & 4

SCALE: 1/16" = 1'-0"

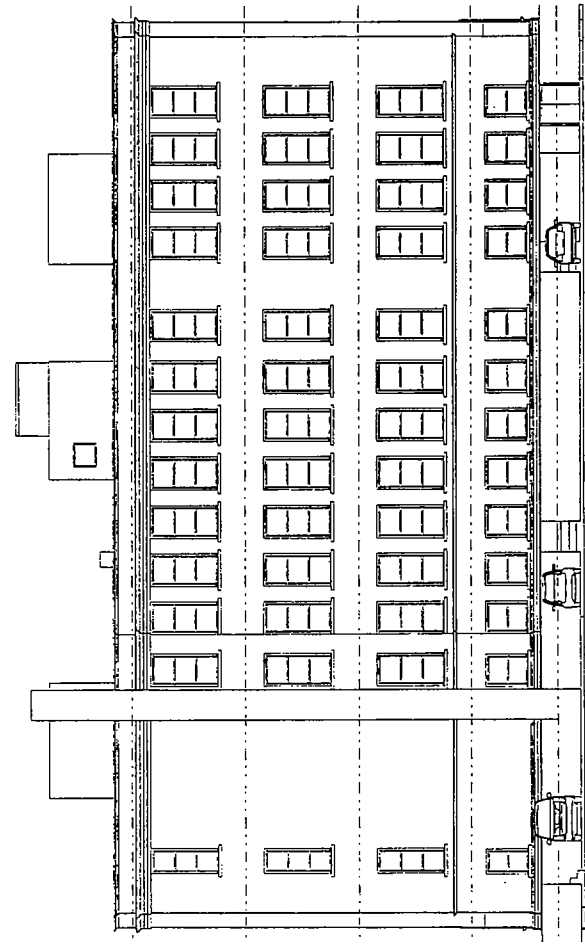


Rooftop Plan
SCALE 1/16" = 1'-0"

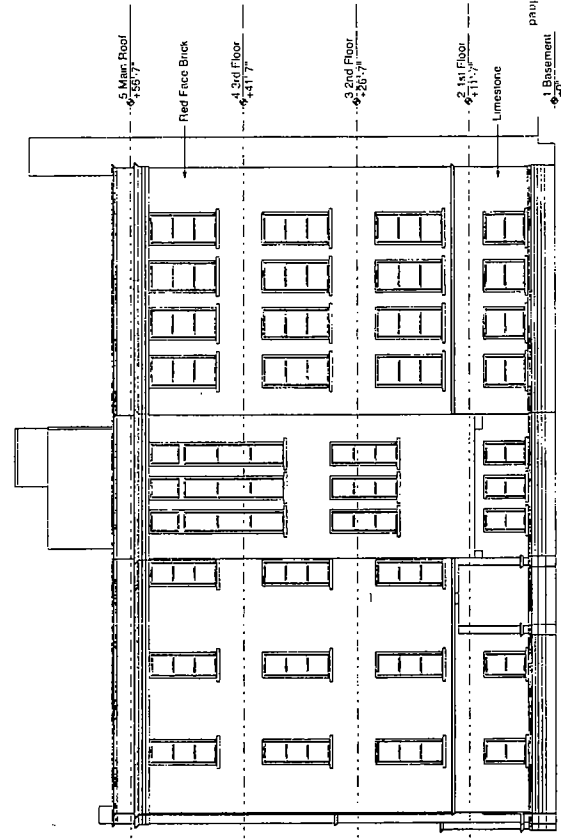
1444 WEST AUGUSTA
 Existing Building to be Renovated
 1444 W Augusta Blvd
 Chicago, IL



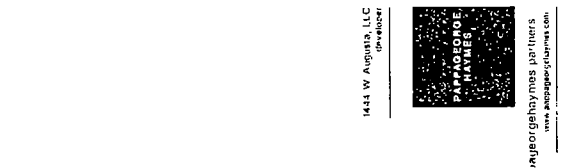
West Elevation
 SCALE: 1/16" = 1'-0"



North Elevation
 SCALE: 1/16" = 1'-0"



East Elevation
 SCALE: 1/16" = 1'-0"



South Elevation
 SCALE: 1/16" = 1'-0"

1444 W Augusta, LLC
 OWNER



PAUL JOYCE ARCHITECTS
 www.pauljoycearchitects.com

9/27/15
 PH # 153347

1444 WEST AUGUSTA
 Augusta Blvd
 Chicago, IL

1/16" Building Sections
 Scale 1/16" = 1'-0"

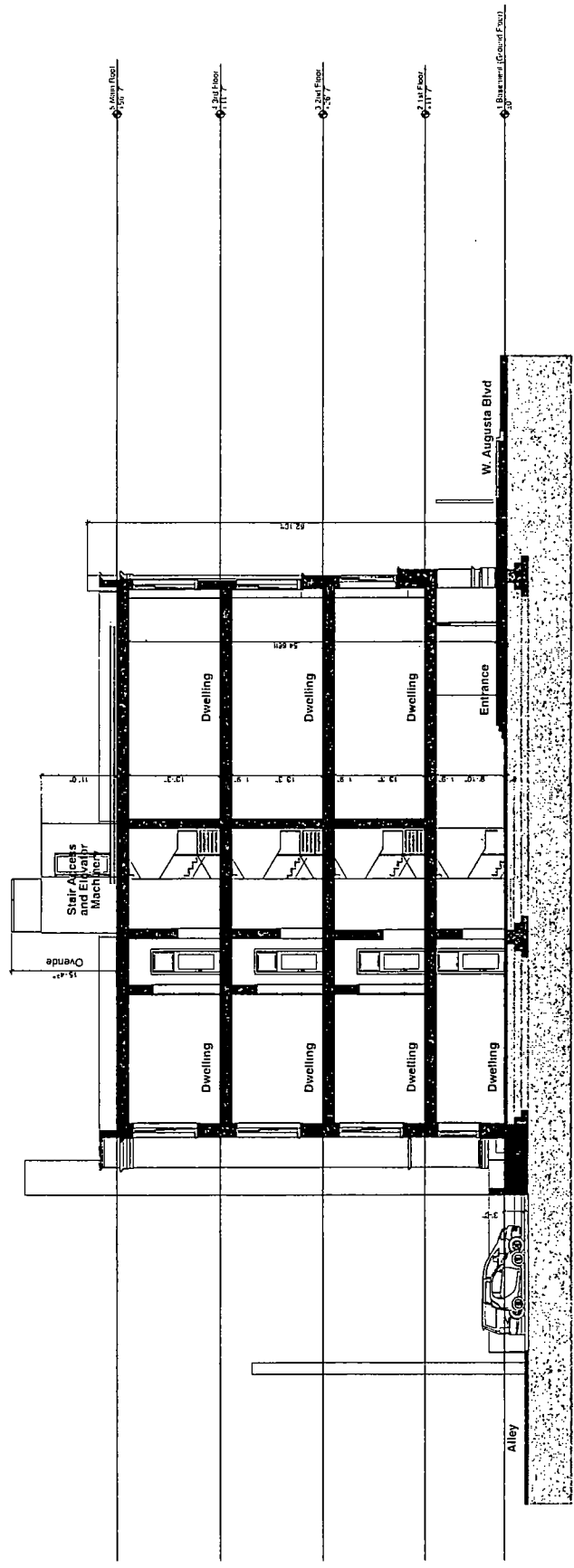


1444 W Augusta LLC
 developer



pappadodorepartners.com
 www.pappadodorepartners.com

01/12/15
 DHD # 52317



Section Thru Site Looking East
 SCALE 1/16" = 1'-0"

LEGAL DESCRIPTION

WATERGATE 14 OF THE ASSOCIATED PRESS VICTIMS FUND IS PART OF THE THIRTIETH ANNIVERSARY CELEBRATION IN COOS COUNTY LIBRARY

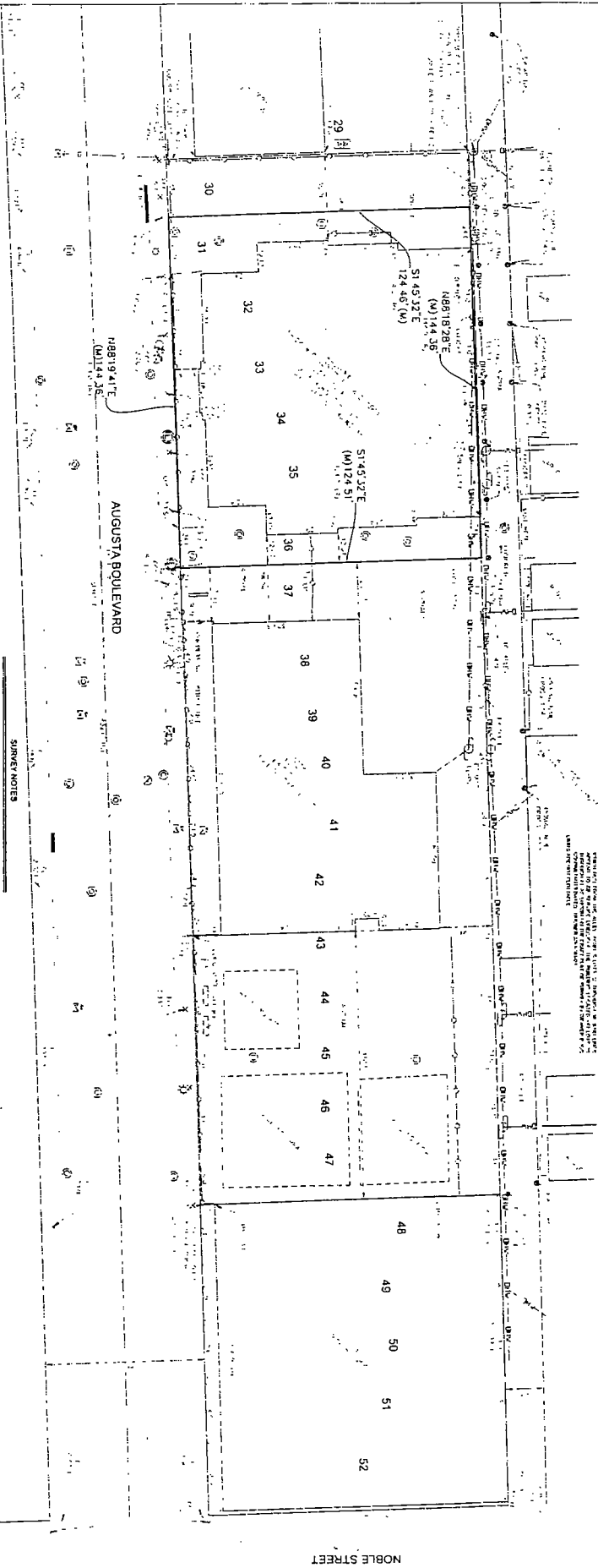
SOFTWARE & SURVEY RELATED ITEMS

- ¹ J. S. Lee, *Proc. R. Soc. Lond. Ser. A*, **206**, 391 (1951); *ibid.*, **207**, 405 (1951); *ibid.*, **208**, 421 (1951); *ibid.*, **209**, 437 (1951); *ibid.*, **210**, 453 (1951); *ibid.*, **211**, 469 (1951); *ibid.*, **212**, 485 (1951); *ibid.*, **213**, 501 (1951); *ibid.*, **214**, 517 (1951); *ibid.*, **215**, 533 (1951); *ibid.*, **216**, 549 (1951); *ibid.*, **217**, 565 (1951); *ibid.*, **218**, 581 (1951); *ibid.*, **219**, 597 (1951); *ibid.*, **220**, 613 (1951); *ibid.*, **221**, 629 (1951); *ibid.*, **222**, 645 (1951); *ibid.*, **223**, 661 (1951); *ibid.*, **224**, 677 (1951); *ibid.*, **225**, 693 (1951); *ibid.*, **226**, 709 (1951); *ibid.*, **227**, 725 (1951); *ibid.*, **228**, 741 (1951); *ibid.*, **229**, 757 (1951); *ibid.*, **230**, 773 (1951); *ibid.*, **231**, 789 (1951); *ibid.*, **232**, 805 (1951); *ibid.*, **233**, 821 (1951); *ibid.*, **234**, 837 (1951); *ibid.*, **235**, 853 (1951); *ibid.*, **236**, 869 (1951); *ibid.*, **237**, 885 (1951); *ibid.*, **238**, 901 (1951); *ibid.*, **239**, 917 (1951); *ibid.*, **240**, 933 (1951); *ibid.*, **241**, 949 (1951); *ibid.*, **242**, 965 (1951); *ibid.*, **243**, 981 (1951); *ibid.*, **244**, 997 (1951); *ibid.*, **245**, 1013 (1951); *ibid.*, **246**, 1029 (1951); *ibid.*, **247**, 1045 (1951); *ibid.*, **248**, 1061 (1951); *ibid.*, **249**, 1077 (1951); *ibid.*, **250**, 1093 (1951); *ibid.*, **251**, 1109 (1951); *ibid.*, **252**, 1125 (1951); *ibid.*, **253**, 1141 (1951); *ibid.*, **254**, 1157 (1951); *ibid.*, **255**, 1173 (1951); *ibid.*, **256**, 1189 (1951); *ibid.*, **257**, 1205 (1951); *ibid.*, **258**, 1221 (1951); *ibid.*, **259**, 1237 (1951); *ibid.*, **260**, 1253 (1951); *ibid.*, **261**, 1269 (1951); *ibid.*, **262**, 1285 (1951); *ibid.*, **263**, 1301 (1951); *ibid.*, **264**, 1317 (1951); *ibid.*, **265**, 1333 (1951); *ibid.*, **266**, 1349 (1951); *ibid.*, **267**, 1365 (1951); *ibid.*, **268**, 1381 (1951); *ibid.*, **269**, 1397 (1951); *ibid.*, **270**, 1413 (1951); *ibid.*, **271**, 1429 (1951); *ibid.*, **272**, 1445 (1951); *ibid.*, **273**, 1461 (1951); *ibid.*, **274**, 1477 (1951); *ibid.*, **275**, 1493 (1951); *ibid.*, **276**, 1509 (1951); *ibid.*, **277**, 1525 (1951); *ibid.*, **278**, 1541 (1951); *ibid.*, **279**, 1557 (1951); *ibid.*, **280**, 1573 (1951); *ibid.*, **281**, 1589 (1951); *ibid.*, **282**, 1605 (1951); *ibid.*, **283**, 1621 (1951); *ibid.*, **284**, 1637 (1951); *ibid.*, **285**, 1653 (1951); *ibid.*, **286**, 1669 (1951); *ibid.*, **287**, 1685 (1951); *ibid.*, **288**, 1701 (1951); *ibid.*, **289**, 1717 (1951); *ibid.*, **290**, 1733 (1951); *ibid.*, **291**, 1749 (1951); *ibid.*, **292**, 1765 (1951); *ibid.*, **293**, 1781 (1951); *ibid.*, **294**, 1797 (1951); *ibid.*, **295**, 1813 (1951); *ibid.*, **296**, 1829 (1951); *ibid.*, **297**, 1845 (1951); *ibid.*, **298**, 1861 (1951); *ibid.*, **299**, 1877 (1951); *ibid.*, **300**, 1893 (1951); *ibid.*, **301**, 1909 (1951); *ibid.*, **302**, 1925 (1951); *ibid.*, **303**, 1941 (1951); *ibid.*, **304**, 1957 (1951); *ibid.*, **305**, 1973 (1951); *ibid.*, **306**, 1989 (1951); *ibid.*, **307**, 2005 (1951); *ibid.*, **308**, 2021 (1951); *ibid.*, **309**, 2037 (1951); *ibid.*, **310**, 2053 (1951); *ibid.*, **311**, 2069 (1951); *ibid.*, **312**, 2085 (1951); *ibid.*, **313**, 2101 (1951); *ibid.*, **314**, 2117 (1951); *ibid.*, **315**, 2133 (1951); *ibid.*, **316**, 2149 (1951); *ibid.*, **317**, 2165 (1951); *ibid.*, **318**, 2181 (1951); *ibid.*, **319**, 2197 (1951); *ibid.*, **320**, 2213 (1951); *ibid.*, **321**, 2229 (1951); *ibid.*, **322**, 2245 (1951); *ibid.*, **323**, 2261 (1951); *ibid.*, **324**, 2277 (1951); *ibid.*, **325**, 2293 (1951); *ibid.*, **326**, 2309 (1951); *ibid.*, **327**, 2325 (1951); *ibid.*, **328**, 2341 (1951); *ibid.*, **329**, 2357 (1951); *ibid.*, **330**, 2373 (1951); *ibid.*, **331**, 2389 (1951); *ibid.*, **332**, 2405 (1951); *ibid.*, **333**, 2421 (1951); *ibid.*, **334**, 2437 (1951); *ibid.*, **335**, 2453 (1951); *ibid.*, **336**, 2469 (1951); *ibid.*, **337**, 2485 (1951); *ibid.*, **338**, 2501 (1951); *ibid.*, **339**, 2517 (1951); *ibid.*, **340**, 2533 (1951); *ibid.*, **341**, 2549 (1951); *ibid.*, **342**, 2565 (1951); *ibid.*, **343**, 2581 (1951); *ibid.*, **344**, 2597 (1951); *ibid.*, **345**, 2613 (1951); *ibid.*, **346**, 2629 (1951); *ibid.*, **347**, 2645 (1951); *ibid.*, **348**, 2661 (1951); *ibid.*, **349**, 2677 (1951); *ibid.*, **350**, 2693 (1951); *ibid.*, **351**, 2709 (1951); *ibid.*, **352**, 2725 (1



SUBJECT PARCEL = 17 0111 S0 F1 Z 0 4123 A/R/RES1

VICINITY MAP (NOT TO SCALE)



SURVEY NOTES

[illegible]

SURVEYOR'S CERTIFICATE:

[illegible]

ALTA/ACSM LAND TITLE SURVEY

CHICAGO, ILLINOIS

**SVIGOS ASSET
MANAGEMENT**
17 DUNDÉE SUITE 200
SUFFOLK COUNTY, IL, (6039)

**WEAVER
EOOS
CONSULTANTS**

SHEET 1

CITY OF CHICAGO
APPLICATION FOR AN AMENDMENT TO
THE CHICAGO ZONING ORDINANCE

#1852871
Intro Date
Sept 24, 2015

1. ADDRESS of the property Applicant is seeking to rezone:
1444 West Augusta Boulevard, Chicago, Illinois
2. Ward Number that property is located in: 2
3. APPLICANT: 1434 W. Augusta LLC
ADDRESS: 1 West Dundee, Suite 200
CITY: Buffalo Grove STATE: Illinois ZIP CODE: 60089
PHONE: (312) 782-1983 CONTACT PERSON: Sara K. Barnes, Esq.
Attorney for Applicant
4. Is the Applicant the owner of the property? YES NO X
If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER: Northwestern University Settlement Association
ADDRESS: 71 South Wacker Drive, 46th Floor
CITY: Chicago STATE: Illinois ZIP CODE: 60606
PHONE: (312) 634-5700 CONTACT PERSON: Lawrence Eiben
5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:

ATTORNEY: Law Offices of Samuel V.P. Banks
ADDRESS: 221 North LaSalle Street, 38th Floor
CITY: Chicago STATE: Illinois ZIP CODE: 60601
PHONE: (312) 782-1983 FAX: (312) 782-2433
6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.), please provide the names of all owners as disclosed on the Economic Disclosure Statements:
John Svigos
7. On what date did the owner acquire legal title to the subject property?
2012
8. Has the present owner previously rezoned this property? If Yes, when?
No
9. Present Zoning: RS-3 Residential Single-Unit (Detached House) District

Proposed Zoning: RM-6 Residential Multi-Unit District
10. Lot size in square feet (or dimensions): 17,970 sq. ft. (approx.)

11. Current Use of the Property: The subject property is improved with a four-story brick building, formerly occupied by a school.
12. Reason for rezoning the property: The Applicant is seeking to amend the zoning at the subject property in order to permit the conversion of the existing four-story (school) building into an all residential building.
13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)
The Applicant is seeking to amend the zoning at the subject property in order to permit the conversion of the existing four-story (school) building into an all residential building at the subject site. There will be no physical expansion of the existing four-story school building (50,304 sq. ft.). The Applicant intends to undertake a complete interior renovation of the existing building in order to accommodate for the establishment of twenty-three (23) new dwelling units within the building. Surface (outdoor) parking for ten (10) vehicles will also be located on-site, at the rear of the building. The existing building is and will remain masonry in construction and measure 56'-7" in height.
14. On May 14, 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project in question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See Fact Sheet for more information)

YES X NO

17-13-0303-C (1) Narrative Zoning Analysis

1444 West Augusta Boulevard, Chicago, Illinois

Proposed Zoning: RM-6 Residential Multi-Unit District

Lot Area: 17,970 sq. ft. (Total Lot Area)

Proposed Land Use: The Applicant is seeking to amend the zoning at the subject property in order to permit the conversion of the existing four-story (school) building into an all residential building at the subject site. There will be no physical expansion of the existing four-story school building. The Applicant intends to undertake a complete interior renovation of the existing building in order to accommodate for the establishment of twenty-three new dwelling units within the building. Surface (outdoor) parking for ten vehicles will also be located on-site, at the rear of the building. The existing building is and will remain masonry in construction and measure 56'-7" in height.

- (a) The Project's Floor Area Ratio:
50,304 square feet (2.8 FAR)
- (b) The Project's Density (Lot Area Per Dwelling Unit):
23 Dwelling Units
- (c) The amount of off-street parking:
10 Parking Spaces – On-Site
(The Applicant will be seeking a Special Use in order to locate additional required parking off-site.)
- (d) Setbacks:
 - a. Front Setback: 10.28'
 - b. Rear Setback: **26'-3"**
 - c. Side Setbacks:
 - West: 11.90'
 - East: **12.67'**
 - d. Rear Yard Open Space: 0 square feet

(The Applicant will be seeking Variations with the Zoning Board of Appeals to bring the existing setbacks into compliance under the Zoning Code, if any such relief is necessary.)
- (e) Building Height: 56'-7"

***17-13-0303-C(2) Plans Attached.**

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

1434 W. Augusta, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 1 W. Dundee, Suite 200

Buffalo Grove, IL 60089

C. Telephone: 847 735 0656 Fax: 847 735 8060 Email: svigosoffice@gmail.com

D. Name of contact person: Nick Vittore

E. Federal Employer Identification No. (if you have one): 13-1111111

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

THE APPLICANT IS SEEKING A ZONING CHANGE FOR 1444 W.AUGUSTA BLVD.
1444 W. Augusta Blvd redevelopment plan and apartment conversion

G. Which City agency or department is requesting this EDS? ~~Department of Real Estate~~ **DPD**

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # 14-350002 and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input checked="" type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name
John Svigos

Title
Owner

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
John Svigos	1 W. Dundee, Suite 200, Buffalo Grove IL 60089	100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
--	------------------	--	---

LAW OFFICES OF SAMUEL VP BANKS	ATTORNEYS	\$7,500 (est.)
221 N. LA SALLE ST., 38th FLOOR		
CHICAGO, IL 60601		

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☒ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

None

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☒ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☒ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☒ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☒ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

this is a privately funded project.

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

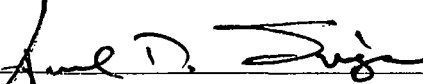
F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

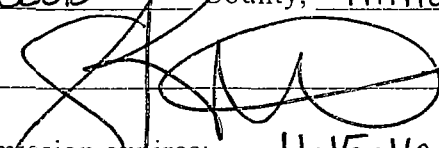
1434 W. AUGUSTA LLC
(Print or type name of Disclosing Party)

By: 
(Sign here)

PAUL SVIGOS
(Print or type name of person signing)

AUTHORIZED AGENT - OWNER
(Print or type title of person signing)

Signed and sworn to before me on (date) 09-15-15,
at COOK County, Illinois (state).

 Notary Public.
Commission expires: 11-15-16



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

NORTHWESTERN UNIVERSITY SETTLEMENT ASSOCIATION

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant ~~OWNER~~ **OWNER**
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 71 SOUTH WACKER DRIVE, 410TH FLOOR
CHICAGO, IL 60606

C. Telephone: (312) 634-5700 Fax: N/A Email: N/A

D. Name of contact person: LAWRENCE EIBEN

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

THE APPLICANT IS SEEKING A ZONING CHANGE FOR 1444 N. AUGUSTA BLVD.

G. Which City agency or department is requesting this EDS? DPD

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture |
| <input type="checkbox"/> Sole proprietorship | <input checked="" type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

ILLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes

☐ No

☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

PLEASE SEE ATTACHED

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name

Business Address

Percentage Interest in the
Disclosing Party

PLEASE SEE ATTACHED

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
--	------------------	--	--

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☐ No

☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is

☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
<hr/>		
<hr/>		
<hr/>		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Ken Manderschied / Northwestern University
(Print or type name of Disclosing Party) Settlement Assessor

By: [Signature]
(Sign here)

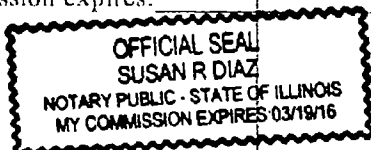
Ken Manderschied
(Print or type name of person signing)

PRESIDENT
(Print or type title of person signing)

Signed and sworn to before me on (date) SEPT. 15, 2015,
at COOK County, ILLINOIS (state).

[Signature] Notary Public.

Commission expires: _____



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[] Yes [✓] No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[] Yes [] No [✓] Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.