

## City of Chicago



O2015-7348

## Office of the City Clerk

### **Document Tracking Sheet**

**Meeting Date:** 10/14/2015

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 5-I at 2915-2923 W

Armitage Ave aka 1945-1951 N Richmond St - App No.

18550T1

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

# 18550 TI IN+RODATE: OC+.14, 2015

### **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning
Ordinance is hereby amended by changing all of the B3-1 Community Shopping
District symbols as shown on Map No. 5-I
in the area bounded by:

West Armitage Avenue; the public alley next East of and parallel to North Richmond

Street; a line 150 feet South of and parallel to West Armitage Avenue; North Richmond

Street.

To those of a B3-5, Community Shopping District

SECTION 2. This Ordinance takes effect after its passage and approval.

Common address of property:

2915-23 West Armitage Avenue, aka 1945-51 North Richmond St., Chicago IL

### **AFFIDAVIT** (Section 17-13-0107)

Date: October 7, 2015

Honorable Daniel S. Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Zofia Zon , being first duly sworn on oath, deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys, and other public ways, or a total distance limited to 400 feet. Said written notice was sent by First Class U.S. Mail, no more than 30 days before filling the application

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately October 14, 2015.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parities to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Subscribed and Sworn to before

me this

201

day of

OFFICIAL SEAL AGNIESZKA T PLECKA

MY COMMISSION EXPIRES:04/23/16

Notary

# LAW OFFICES MARK J. KUPIEC & ASSOCIATES

SUITE 1801 77 WEST WASHINGTON STREET CHICAGO, ILLINOIS 60602

TELEPHONE (312) 541-1878

FACSIMILE (312) 641-1745

October 7, 2015

Re: 2915-23 West Armitage Avenue, aka 1945-51 North Richmond Street, Chicago

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about October 14, 2015 the undersigned will file an Application for a change in zoning from a B3-1 Community Shopping Zoning District to a B3-5 Community Shopping Zoning District on behalf of the Applicant, BAS 3 DEVELOPMENT LLC for the property located at 2915-23 West Armitage Avenue, aka 1945-51 North Richmond St., Chicago, Illinois.

The subject property is currently improved with a mixed-use building. The Applicant intends to demolish the existing building and build a new 4-story mixed-use building with commercial on the ground and 33 dwelling units on the upper floors. The Applicant needs a zoning change to comply with the minimum lot area and the maximum floor area requirements of the zoning ordinance.

The Applicant's business address is 3610 Maple Ave., Northbrook IL 60062. The applicant is the owner of 2915-17 West Armitage Ave., Chicago. Robert Birkmeyer is the owner of 2921-23 West Armitage Avenue and 1945-51 North Richmond Street, Chicago. His business address is 3948 North Southport, Chicago IL 60613. I am the Attorney for the Applicant and the contact person for this Application. My address is 77 West Washington Street, Chicago, Illinois, and my telephone number is (312) 541-1878.

Please note that the Applicant is not seeking to rezone or purchase your property. The Applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned.

Sincerely,

Mark J. Kupiec

MJK/ap

October 2, 2015

City of Chicago
Department of Planning and Development - Room 905
121 North LaSalle Street
Chicago, Illinois 60602

Re: 2915-23 West Armitage Avenue, aka 1945-51 North Richmond St., Chicago\_

Dear Sir or Madam:

The undersigned is the owner of 2921-23 West Armitage and 1945-51 North Richmond Street. Chicago. Please be advised that the applicant, BAS 3 DEVELOPMENT LLC or it nominee is hereby authorized to file and process an Application for Zoning Change and an application for any other zoning relief required in order to allow a construction of a mixed-use building with commercial on the ground floor and 33 dwelling units on the upper floors at the above address.

Signature

ROBERT BIRKMEYER

Title: OWNER

Address: 3948 N. SOUTHPORT

CHICAGO, IL 60613

### NARRATIVE FOR TYPE 1 REZONING FOR 2915-23 WEST ARMITAGE AVENUE, AKA 1945-51 NORTH RICHMOND STREET, CHICAGO, ILLINOIS

The subject property is currently improved with a mixed-use building. The Applicant intends to demolish the existing building and build a new 4-story mixed-use building with commercial on the ground floor and 33 dwelling units on the upper floors. The Applicant needs a zoning change to comply with the minimum lot area and the maximum floor area requirements of the zoning ordinance.

Project Description:	Zoning Change from B3-1 to B3-5				
Use:	Mixed use building with commercial on the ground and 33 dwelling units on the upper floors				
Lot Area:	18,015 SF				
Building Floor Area:	59,120 SF				
FAR:	3.28				
Density:	545 SF per DU				
Off- Street Parking:	36 parking spaces Loading Zone: 1				
Set Backs	Front (North): 0'-0" East Side Yard: 0'-0" / West Side Yard: 0'-0" Rear (South): 4'-0" *				
Building Height:	50'-6"				

<sup>\*</sup> The Applicant will file for variation if required

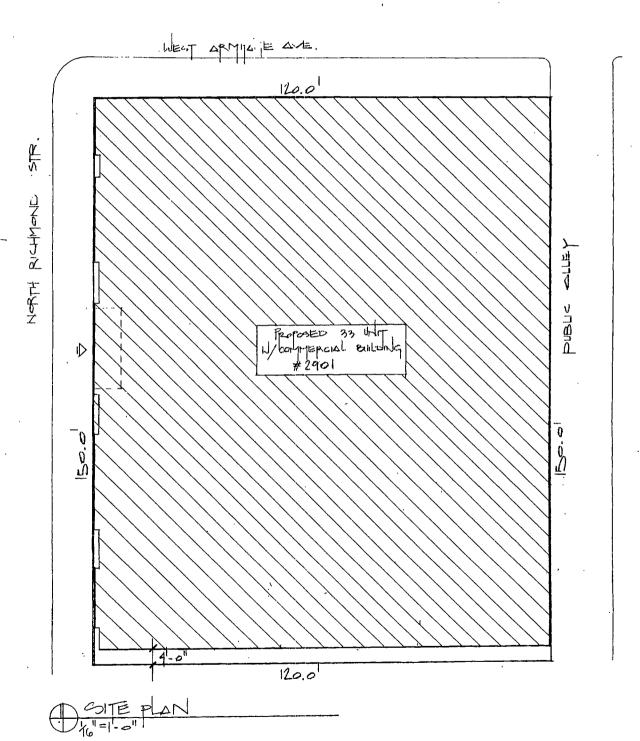


180 W. WASHINGTON AVE. CHICAGO, ILLINOIS 60602

Phone: 312-750-1800 Fax: 312-750-1801

email: hannaarchitects@sbcglobal.net

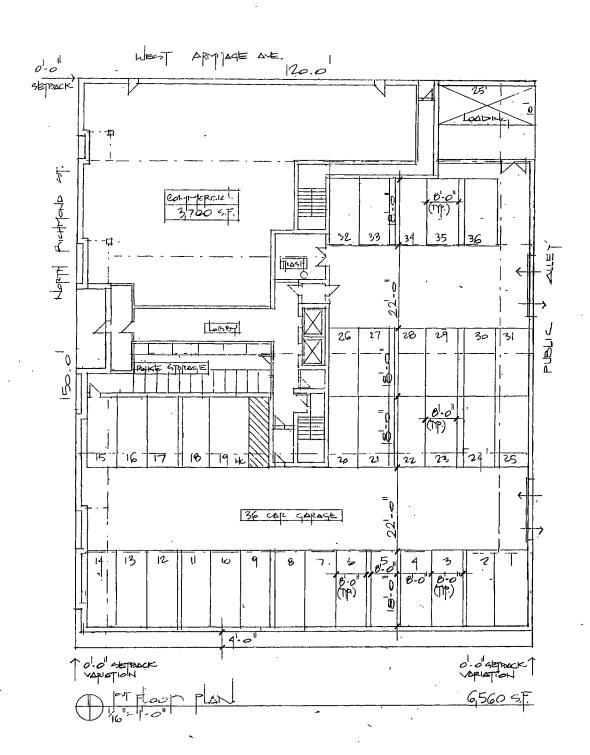
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## 180 W. WASHINGTON AVE. CHICAGO, ILLINOIS 60602 Phone: 312-750-1800

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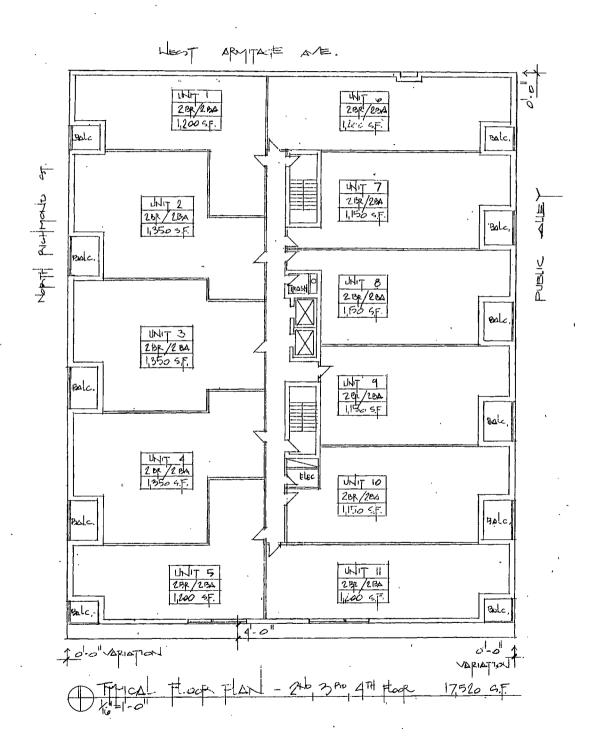


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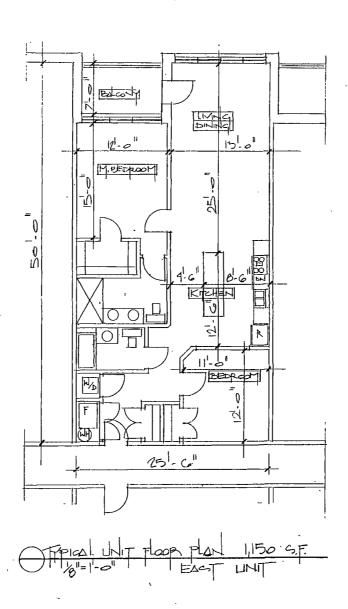




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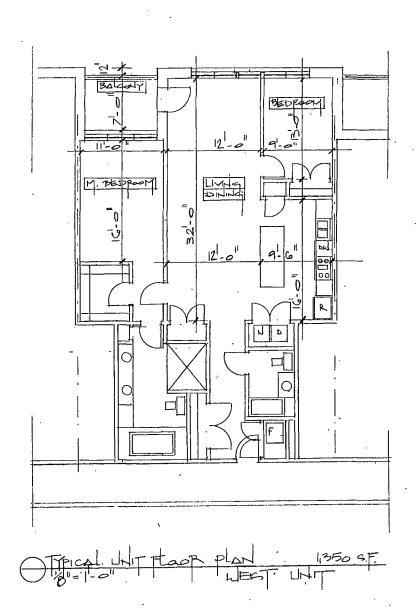
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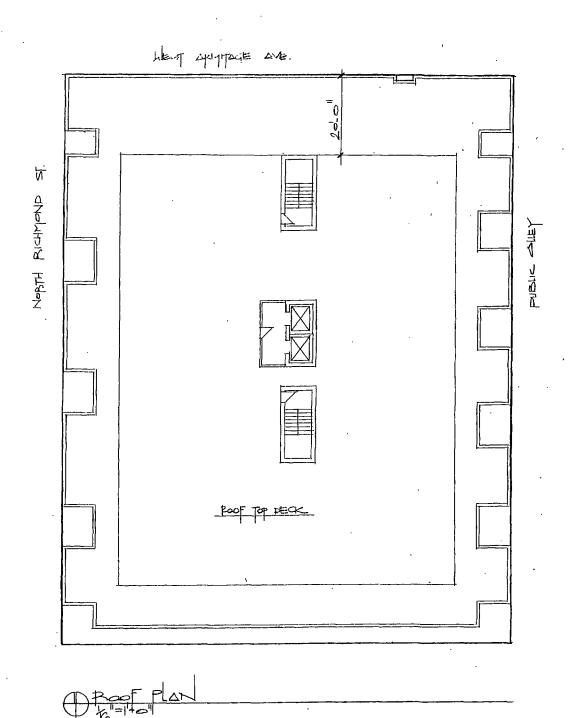
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Fax: 312-750-1801
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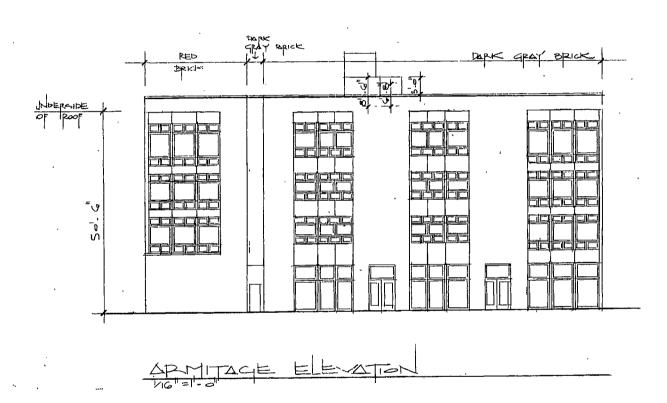
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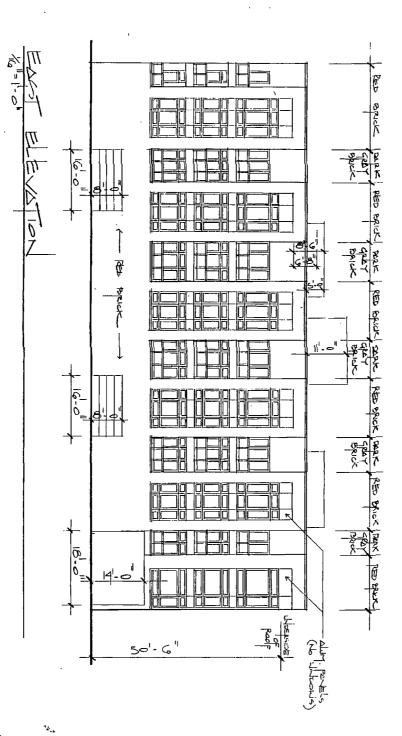
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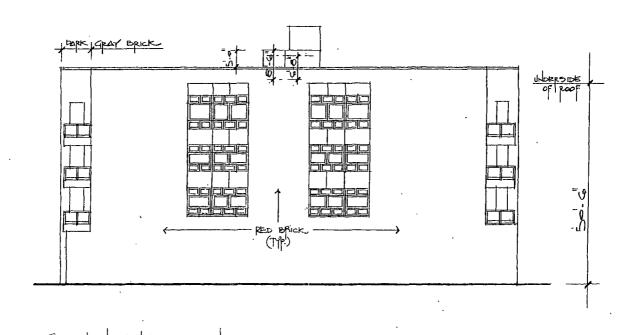


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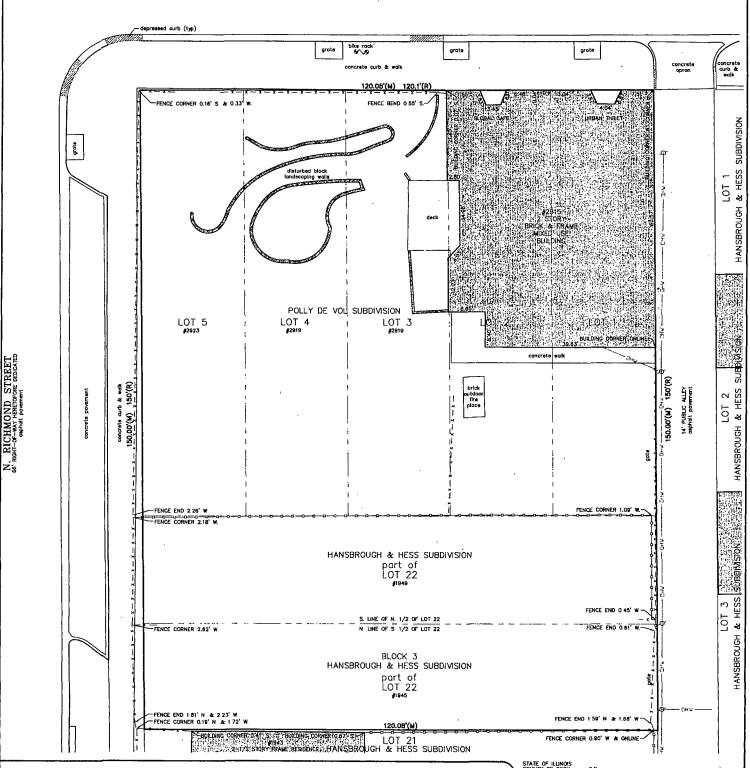
# PLAT OF SURVEY

LOTS 1, 2, 3, 4 AND 5 IN THE ESTATE OF POLLY DE VOL SUBDIVISION OF LOTS 23 AND 24 IN BLOCK 3 IN HANSBROUGH & HESS SUBDIVISION OF THE EAST HAY OF THE SOUTHWEST QUARTER OF SCCION 36, TOWNSHIP 40 NORTH, RANCE 13 EAST OF THE THEIR PRINCIPAL MERDIDAN (EXCEPT FROM SADL LOTS 1, AND 2.50 NUCL OF SAME AS LOSS WITHIN THE MEAST 1 WEIGHTLUNGH PART OF LOTS 23 AND 24 IN THE EAST 1 WEIGHTLUNGH PART OF LOTS 23 AND 24 IN THE EAST 1/1001 PART OF LOT 24 IN BLOCK 3 IN HANSBROUGH & HESS SUBDIVISION, AFORESADD, IN COOK COUNTY, LILLIAUS

ALSO THE NORTH HALF OF LOT 22 IN BLOCK 3 IN HANSBROUGH & HESS SUBDIVISION OF THE EAST HALF OF THE SOUTHWEST QUARTER OF SECTION 38, TOWNSHIP 40 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COCK COUNTY, ILLINOIS.

ALSO. THE SOLITH HALF OF LOT 22 IN BLOCK 3 IN HANSBROUGH & HESS SUBDIVISION OF THE EAST HALF OF THE SOLITHWEST QUARTER OF SECTION 36, TOWNSHIP 40 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

W. ARMITAGE AVENUE
66' RIGHT-OF-WAY HERETOFORE DEDICATED
apphalt povement



PROJECT NUMBER 15238

TOTAL SITE AREA: 18,012 SQ.FT OR 0 41 ACRES

ALL DISTANCES IN FEET AND DECIMAL PARTS THEREOF. NO DIMENSIONS TO BE ASSUMED FROM SCALING

COMPARE YOUR DESCRIPTION AND SITE MARKINGS WITH THIS PLAT AND AT ONCE REPORT ANY DISCREPANCIES WHICH YOU MAY FIND

REFER TO TITLE REPORT FOR ANY EASEMENTS, COVENANTS OR RESTRICTIONS THAT MAY EXIST BUT ARE NOT SHOWN HEREON

PLAT OF SURVEY
N. RICHMOND STREET
& W. ARMITAGE AVENUE
CHICAGO, ILLINOIS

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INFRACION & GEOCON 4-mill to Disconniting and CONSULTING CIVIL ENGINEERS & LAND SURVEYORS OF MACHINE THE METERS, ELENDS 50090 PH (847) 215-1133 | FAX (847) 215-1177

PREPARED FOR CARY LEVITAS SCALE.1" • 10'

FIELD CREW D.J | FIELD WORK:10/04/2015 DRAFTED BY J.H. | CHECKED BY 162\_

FIRM NO 184-001330

COUNTY OF COOK S.S.
THIS PROFESSIONAL SERVICE CONFORMS TO THE
CURRENT ILLINOIS MINIMUM STANDARDS OF
PRACTICE APPLICABLE FOR A BOUNDARY SURVEY

BY

ILLINOIS PROFESSIONAL LAND SURVEYOR 13681
LIGENSE EXPRES NOVEMBER 30, 2016



# #18550 TI INTRO DATE: OCT. 14,2015

#### **CITY OF CHICAGO**

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1. ADDRESS of the property Applicant is seeking to rezone:
2915-23 West Armitage Avenue, aka 1945-51 North Richmond St., Chicago
2. Ward Number that property is located in: 1st Ward
3. APPLICANT BAS 3 DEVELOPMENT LLC
ADDRESS 3610 MAPLE AVENUE,
CITY NORTHBROOK STATE IL ZIP CODE 60062
PHONE 847-668-3000 CONTACT PERSON Gary Levitas
4. Is the Applicant the owner of the property? YES <u>x</u> NO <u>x</u> If the Applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the Applicant t proceed.
OWNER BAS 3 DEVELOPMENT LLC and ROBERT BIRKMEYER
ADDRESS 3948 N Southport
CITY Chicago STATE IL ZIP CODE 60613
PHONE 847-668-3000 CONTACT PERSON Gary Levitas
5. If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:
ATTORNEY Law Office of Mark J. Kupiec & Assoc.
ADDRESS 77 West Washington St. Stc. 1801
CITY Chicago STATE Illinois ZIP CODE 60602
DIIONE 212 541 1070 EAN 212 641 1745

Con what date did the owner acquire legal title to the subject property? 1993-2015  Has the present owner previously rezoned this property? If yes, when?  NO  Present Zoning District B3-1 Proposed Zoning District B3-5  O. Lot size in square feet (or dimensions) 18,015 square feet  Current Use of the property Mixed-use building  2. Reason for rezoning the property To comply with the minimum lot area and maximum area to build a new 4 story, mixed-use building with commercial on the ground and 33 dwelling the upper floors  3. Describe the proposed use of the property after the rezoning. Indicate the number of dwintis; number of parking spaces; approximate square footage of any commercial space; and neight of the proposed building. (BE SPECIFIC)  To demolish the existing building and build a new 4 story, mixed-use building with commercial on the ground floor (approx. 3,700 SF of commercial space) and 33 dwelling units on the upper of parking spaces; 4 story, height 50'-6"  14. The Affordable Requrements Ordinance (ARO) requires on-site affordable housing unit financial contribution for residential housing projects with ten or more units that receive a change which, among other triggers, increases the allowable floor area, or, for existing Plant Developments, increases the number of units (see attached fact sheet or visit www.citvofchicago.org/ARO) for more information). Is this project subject to the ARO?	7. On what date did the owner acquire legal title to the subject property?1993-2015 3. Has the present owner previously rezoned this property? If yes, when?  NO  2. Present Zoning DistrictB3-1	Gary Lev	itas - 100%
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www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?	www.citvofchicago.org/ARO for more information). Is this project subject to the ARO?	area to build the upper floo  13. Describe units; number height of the  To demolist on the ground 36 parking spanning sp	the proposed use of the property after the rezoning. Indicate the number of dwer of parking spaces; approximate square footage of any commercial space; and proposed building. (BE SPECIFIC)  the existing building and build a new 4 story, mixed-use building with commercial and floor (approx. 3,700 SF of commercial space) and 33 dwelling units on the upper faces; 4 story, height 50'-6"  rdable Requrements Ordinance (ARO) requires on-site affordable housing units
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VEC V NO	YES NO	area to build the upper floo  13. Describe units; number height of the  To demolist on the ground 36 parking spanning spanning spanning which Development	the proposed use of the property after the rezoning. Indicate the number of dwar of parking spaces; approximate square footage of any commercial space; and proposed building. (BE SPECIFIC)  the the existing building and build a new 4 story, mixed-use building with commercial and floor (approx. 3,700 SF of commercial space) and 33 dwelling units on the upper faces; 4 story, height 50'-6"  redable Requrements Ordinance (ARO) requires on-site affordable housing units on the intribution for residential housing projects with ten or more units that receive a n, among other triggers, increases the allowable floor area, or, for existing Planners, increases the number of units (see attached fact sheet or visit
1 Page 3		area to build the upper floo  13. Describe units; number height of the  To demolis  on the groun  36 parking spanning a financial conchange which the describe the describe the described and the described the desc	the proposed use of the property after the rezoning. Indicate the number of dwar of parking spaces; approximate square footage of any commercial space; and proposed building. (BE SPECIFIC)  the the existing building and build a new 4 story, mixed-use building with commercial and floor (approx. 3,700 SF of commercial space) and 33 dwelling units on the upper faces; 4 story, height 50'-6"  redable Requrements Ordinance (ARO) requires on-site affordable housing units on the intribution for residential housing projects with ten or more units that receive a n, among other triggers, increases the allowable floor area, or, for existing Planners, increases the number of units (see attached fact sheet or visit

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COUNTY OF COOK STATE OF ILLINOIS
, Gary Levitas , being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct Signature of Applicant
Subscribed and Sworn to before me this
For Office Use Only
Date of Introduction:
File Number:
Ward:

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
BAS 3 DEVELOPMENT LLC
Check ONE of the following three boxes:
<ul> <li>Indicate whether Disclosing Party submitting this EDS is: <ol> <li>[] the Applicant</li> <li>OR</li> </ol> </li> <li>[x] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which Disclosing Party holds an interest: <ol> <li>OR</li> <li>[] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:</li> </ol> </li> </ul>
B. Business address of Disclosing Party: 3610 MAPLE AVENUE, NORTHBROK IL 60062
C. Telephone:847-668-3000
D. Name of contact person: Gary Levitas
E. Federal Employer Identification No. (if you have one): N/A
F. Brief description of contract, transaction or other undertaking (referred to below as the" Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Zoning Change at 2915-23 West Armitage Avenue, aka 1945-51 North Richmond St., Chicago_
G. Which City agency or department is requesting this EDS? <u>Dept. of Planning and Development</u>
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_\_\_ and Contract # N/A

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [x ] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [ ] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Yes [] No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Yes [X] N/A [ ] No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. **NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Gary Levitas – sole member and manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage I Disclosing P	
GARY LEVITAS	3610 MAPLE AVENUE, NORTHE	BROK IL 60062	100%
SECTION III B	USINESS RELATIONSHIPS WITH	A CITY ELECTED O	FFICIALS
	ng Party had a "business relationship," elected official in the 12 months before	<del>-</del>	-
[ ] Yes	[X] No		
If yes, please identificationship(s):	y below the name(s) of such City elect	ted official(s) and descri	ibe such
N/	A		

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE</b> "hourly rate" or "t.b.d" is not an acceptable response
Kupiec & Assoc. 77 Wes	t Washington	St. Ste. 1801 Attorneys	\$7,500 (estimated)
Chicago	o IL 60602		
(Add sheets if necessary)			
[] Check here if the Discl	osing party h	as not retained, nor expects to retain	, any such persons or entities
SECTION V – CERTIFI	CATIONS		
A. COURT-ORDERED C	HILD SUPP	ORT COMPLIANCE	
-		415, substantial owners of business h their child support obligations thr	
· -	•	ly owns 10% or more of the Discloons by any Illinois court of compete	· ·
[] Yes [X] N		person directly or indirectly owns closing Party.	10% or more of the
If "Yes," has the person en is the person in compliance		court-approved agreement for paym preement?	ent of all support owed and
[]Yes []N	Го		
B. FURTHER CERTIFIC	ATIONS		
		ter 1-23, Article I ("Article I") (who business") and legal requirements),	

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party Submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party Certified as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged With, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty, or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification; or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in Connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").



Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with (1) the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:					
continuations), the Discressing Farty must explain our					
	N/A				
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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C CERTIFICATION OF STATUS AS EDIANCIAL INSTITUTION
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[ ] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

[]Yes	[X] No	
does not constitute a	). Compensation for property take financial interest within the mean olve a City Property Sale?	n pursuant to the City's eminent domain power ing of this Part D.
elected official or en any other person or e for taxes or assessme	nployee shall have a financial interestity in the purchase of any propeents, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City rest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively,
NOTE: If you checked ltem D.1., proceed to	· •	Items D.2. and D.3. If you checked "No" to
		ame or in the name of any other person or
1. In accordance	e with Section 2-156-110 of the N	Municipal Code: Does any official or employee

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City
$\underline{X}$ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery, or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the	Applicant?
[]Yes []]	No
If "Yes," answer the three	questions below:
Have you developed federal regulations? (See [] Yes	d and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.)  [] No
<del>-</del>	h the Joint Reporting Committee, the Director of the Office of Federal grams, or the Equal Employment Opportunity Commission all reports due requirements?  [] No
3. Have you participal equal opportunity clause? [] Yes	ited in any previous contracts or subcontracts subject to the
If you checked "No" to qu	estion 1. or 2. above, please provide an explanation:

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# SECTION VII - - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Contract or other agreement between the Applicant and the City in connection with the Matte, whether Procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

BAS 3 DEVELOPMENT INC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Diselesing Parry)
By: Milling Milling
(Sign here)
Gary Levitas/
(Print or type name of person signing)
Sole member and manager
(Print or type title of person signing)
Signed and sworn to before me on (date) 10 07 15
at Cook County, M (state).
OFFICIAL SEAL Notary Public.
AGNIESZKA T PLECKA
Commission expires:  NOTARY PUBLIC - STATE OF ILLINOIS  MY COMMISSION EXPIRES:04/23/16
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Page 12 of 13

# CITY OF CHICAGO ECEONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHPS WITH ELETED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is

signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to

the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

F 7 % 1

F 1 37

[] Yes	[X] NO		
which such person is	connected; (3) the name	nd title of such person, (2) the na and title of the elected city offici to, and (4) the precise nature of s	al or department head

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	building code scofflaw or problem Code?		pplicant or any Owner identified as a Section 2-92-416 of the Municipal
	[] Yes	[ X] No	
2.		•	xchange, is any officer or director of roblem landlord pursuant to Section
	[]Yes	[ ] No	[X] Not Applicable
3.	If yes to (1) or (2) above, please ic identified as a building code scoff buildings to which the pertinent co	law or problem landlor	of the person or legal entity d and the address of the building or
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FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:					
Robert Birkmeyer					
Check ONE of the following three boxes:					
Indicate whether Disclosing Party submitting this EDS is: 1. [ ] the Applicant OR					
2. [x] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which Disclosing Party holds an interest:  OR					
3. [ ] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:					
B. Business address of Disclosing Party: 3948 N Southport, Chicago, IL 60613					
C. Telephone: Fax: Email:					
D. Name of contact person: Robert Birkmeyer					
E. Federal Employer Identification No. (if you have one): N/A					
F. Brief description of contract, transaction or other undertaking (referred to below as the" Matter") to which this EDS pertains. (Include project number and location of property, if applicable):					
Zoning Change at 2915-23 West Armitage Ave., aka 1945-51 North Richmond St., Chicago					
G. Which City agency or department is requesting this EDS? <u>Dept. of Planning and Development</u>					
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:					
Specification # N/A and Contract # N/A					

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [x] Person [] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership [] No [] Yes [] Trust [ ] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: N/A3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Yes []No [X] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

Name	ne		Title				
	NA						
			 		<del></del>	 <del></del>	
							•

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

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Name	Business Address	Disclosing Party
N/A		
		,
SECTION III B	BUSINESS RELATIONSHIPS WITH	H CITY ELECTED OFFICIALS
	ing Party had a "business relationship, elected official in the 12 months befo	' as defined in Chapter 2-156 of the Municipal re the date this EDS is signed?
[ ] Yes	[X] No	
If yes, please identic relationship(s):	fy below the name(s) of such City elec	ted official(s) and describe such
N	/A	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE</b> "hourly rate" or "t.b.d" is not an acceptable response
(Add sheets if necessary)			
[x] Check here if the Disentities.	closing party h	nas not retained, nor expects to retain	n, any such persons or
SECTION V – CERTIF	ICATIONS		
A. COURT-ORDERED	CHILD SUPPO	ORT COMPLIANCE	
		415, substantial owners of business h their child support obligations thro	
		ly owns 10% or more of the Disclos ns by any Illinois court of competer	
[] Yes [X] 1		person directly or indirectly owns 1 closing Party.	0% or more of the
If "Yes," has the person e is the person in compliance		ourt-approved agreement for paymereement?	ent of all support owed and
[] Yes [] ]	No		

#### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party Submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party Certified as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged With, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty, or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification; or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in Connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with (1) the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further
Certifications), the Disclosing Party must explain below:
N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[ ] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

<ol> <li>In accordance with</li> </ol>	Section 2-156-110 of the Municipal Code: Does any official or employee
of the City have a financial	interest in his or her own name or in the name of any other person or
entity in the Matter?	•
F 7 % 7	FY73 S.T.

[] Yes [X] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes [X] No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to



comply with these disclosure requirements may make any contract entered in connection with the Matter voidable by the City	to with the City in
X1. The Disclosing Party verifies that the Disclosing Party has searched at the Disclosing Party and any and all predecessor entities regarding records of from slavery, or slaveholder insurance policies during the slavery era (includi issued to slaveholders that provided coverage for damage to or injury or death the Disclosing Party has found no such records.	investments or profits ing insurance policies
2. The Disclosing Party verifies that, as a result of conducting the search Disclosing Party has found records of investments or profits from slavery or spolicies. The Disclosing Party verifies that the following constitutes full disc records, including the names of any and all slaves or slaveholders described in	slaveholder insurance losure of all such
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MA	ATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the funded, proceed to Section VII. For purposes of this Section VI, tax credits a and proceeds of debt obligations of the City are not federal funding.	
A. CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the fed Disclosure Act of 1995 who have made lobbying contacts on behalf of the Direspect to the Matter: (Add sheets if necessary):	
(If no explanation appears or begins on the lines above, or if the letters "NA" appear, it will be conclusively presumed that the Disclosing Party means that registered under the Lobbying Disclosure Act of 1995 have made lobbying conclusions and party with respect to the Matter.)	NO persons or entities
2. The Disclosing Party has not spent and will not expend any federally any person or entity listed in Paragraph A.1. above for his or her lobbying act person or entity to influence or attempt to influence an officer or employee of	tivities or to pay any

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed

	omit the following information with their bids or in writing at the outset of
Is the Disclosing Par	ty the Applicant?
[] Yes	[ ] No
If "Yes," answer the	three questions below:
	eloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2.) [] No
_	ed with the Joint Reporting Committee, the Director of the Office of Federal e Programs, or the Equal Employment Opportunity Commission all reports due filing requirements?  [] No
3. Have you parequal opportunity class [] Yes	rticipated in any previous contracts or subcontracts subject to the ause?  [] No
If you checked "No"	to question 1. or 2. above, please provide an explanation:

# SECTION VII - - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any Contract or other agreement between the Applicant and the City in connection with the Matte, whether Procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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e gran amendada resta de la cignos de la consta							
		-					
						,	

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2. If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3. If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Robert Birkmeyer

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)  By: (Sign here)	1el
Robert Birkmeyer (Print or type name of person signing)	
na - Owner (Print or type title of person signing)	
Alexandre 1	state).  OFFICIAL SEAL  Notary Public. AGNIESZKA T PLECKA  NOTARY PUBLIC - STATE OF ILLINOIS  MY COMMISSION EXPIRES:04/23/16
Commission expires:	- Commission Expines.04(25)10
P	age 12 of 13

# CITY OF CHICAGO ECEONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHPS WITH ELETED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is

signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to

the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[x] No

[] Yes

relationship.

·
If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to
which such person is connected; (3) the name and title of the elected city official or department head
to whom such person has a familial relationship, and (4) the precise nature of such familial

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?							
	[] Yes	[ x] No						
2.	2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or direct the Applicant identified as a building code scofflaw or problem landlord pursuant to Se 2-92-416 of the Municipal Code?							
	[] Yes	[] No	[x] Not Applicable					
3.	3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the build buildings to which the pertinent code violations apply.							

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.