

City of Chicago



O2015-8036

Office of the City Clerk Document Tracking Sheet

Meeting Date:

11/18/2015

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 3-H at 1373-1375 N

Milwaukee Ave - App No. 18576

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

#18576 INTRO PATE: NOV.18,20,5

<u>ORDINANCE</u>

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the B1-2 Neighborhood Shopping District symbols and indications as shown on Map No.3-H in the area bounded by

beginning at a line 181.20 feet southeast of the intersection of North Wood Street and North Milwaukee Avenue, as measured along the northeasterly right-of-way line of North Milwaukee Avenue and perpendicular thereto; the alley next northeast of and parallel to North Milwaukee Avenue; a line 229.20 feet southeast of the intersection of North Wood Street and North Milwaukee Avenue, as measured along the northeasterly right-of-way line of North Milwaukee Avenue and perpendicular thereto; and North Milwaukee Avenue (POB),

to those of a B1-3 Neighborhood Shopping District and a corresponding uses district is hereby established in the area above described.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Common address of property:

1373-1375 North Milwaukee Avenue

1				

Written Notice, Form of Affidavit: Section 17-13-0107

November 10, 2015

Honorable Daniel Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304 - City Hall Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Sara Barnes, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the Applicant and Owner, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. That said written notice was sent by USPS First Class Mail no more than 30 days before filing the application.

That the undersigned certifies that the notice contained the address of the property sought to be rezoned as 1373-1375 North Milwaukee Avenue; a statement of intended use of said property; the names and addresses of the Applicant/Owner; and a statement that the Applicant/Owner intends to file an application for a change in zoning on approximately November 10, 2015.

That the Applicant/Owner has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago and that the Applicant/Owner certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

Law Offices of Samuel V.P. Banks

By: Sara Baynes Attorney

Subscribed and Sworn to before me

this 9th day of November, 2015.

otary Public

DANIELLE SANDS
OFFICIAL SEAL
Notary Public, State of Illinois
My Commission Expires
October 29, 2018

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PUBLIC NOTICE

Via USPS First Class Mail

November 10, 2015

Dear Sir or Madam:

In accordance with Amendment to the Zoning Code enacted by the City Council, Section 17-13-0107-A, please be informed that on or about **November 10, 2015**, I, the undersigned, intends to file an application for a change in zoning from a B1-2 Neighborhood Shopping District to a B1-3 Neighborhood Shopping District, on behalf of the Applicant/Owner, 1373-1375 Milwaukee Adventures, Inc., for the property located at 1373-1375 North Milwaukee Avenue, Chicago, Illinois.

The Applicant is seeking a zoning amendment in order to convert one of the existing commercial units, on the second floor of the three-story mixed-use building (1375 North Milwaukee Avenue), into a dwelling unit. The existing four-story mixed-use building (1373 North Milwaukee Avenue), and the units contained therein, shall remain unchanged. After the proposed conversion, the property – in its entirety, will contain a total of two commercial units, at grade level, and six dwelling units, above. No exterior physical expansion of the buildings is intended or required. The three-story building measures 42'-0" (approx.) in height and the four-story building measures 53'-6 (approx..) in height. There will continue to be on-site interior parking for four vehicles.

The Applicant and Property Owner, 1373-1375 Milwaukee Adventures, Inc., is located at 1765 North Elston Avenue, Suite 226, Chicago, Illinois.

The contact person for this application is **Sara Barnes**. My address is 221 North LaSalle Street, 38th Floor, Chicago, Illinois. My telephone number is 312-782-1983.

Very truly yours,

LAW OFFICES OF SAMUEL V.P. BANKS

Sara K. Barnes Attorney

***Please note that the Applicant is <u>NOT</u> seeking to purchase or rezone your property.

***The Applicant is required by law to send this notice because you own property located within 250 feet of the property subject to the proposed Zoning Amendment.

To whom it may concern:

I, ALEXANDER C. PEARSALL, on behalf of 1373-1375 Milwaukee Adventures, Inc., the Owner and Applicant with regard to the property located at 1373-75 North Milwaukee Avenue, Chicago, Illinois, authorize the Law Office of Samuel V.P. Banks, to file a zoning amendment application before the City of Chicago for that property.

Alexander C. Pearsall

1373-1375 Milwaukee Adventures, Inc.

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-FORM OF AFFIDAVIT-

Chairman, Committee on Zoning Room 304 - City Hall Chicago, IL 60602

To Whom It May Concern:

I, ALEXANDER C. PEARSALL, on behalf of 1373-1375 Milwaukee Adventure, Inc., understand that the Law Office of Samuel V.P. Banks has filed a sworn affidavit identifying 1373-1375 Milwaukee Adventures, Inc., as Owner holding interest in land subject to the proposed zoning amendment for the property identified as 1373-75 North Milwaukee Avenue, Chicago, Illinois.

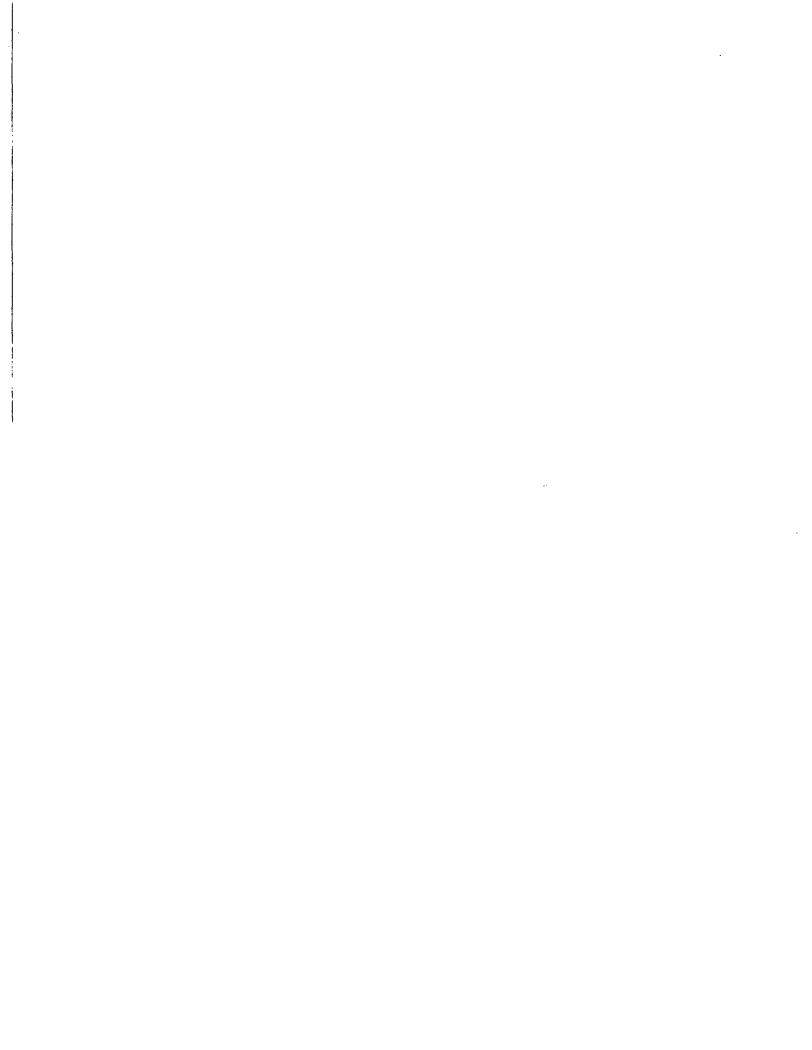
I, ALEXANDER C. PEARSALL, being first duly sworn under oath, depose and say that 1373-1375 Milwaukee Adventures, Inc. holds that interest for itself and its shareholders and for no other person, association, or shareholder.

Alexander C. Pearsall

Date

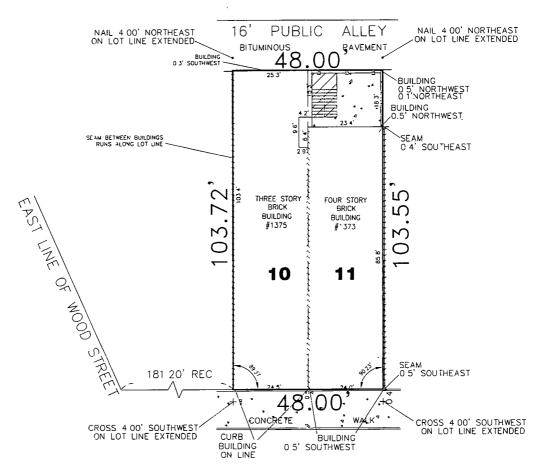
Subscribed and sworn to before me this fin day of November, 2015.





PLAT OF SURVEY

LOTS 10 AND 11 IN THE SOUTHWEST PART OF BLOCK 11 IN MCREYNOLDS SUBDIVISION IN THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 6, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN,



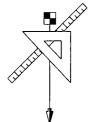
N. MILWAUKEE AVENUE

NOTE

1 SUBJECT PROPERTY AREA 4,974 SQ FT MORE OR LESS

 $2\,$ NO CURRENT TILE COMMITMENT WAS SUPPLIED FOR USE IN THE PREPARATION OF THIS PLAT LEGAL DESCRIPTION PROVIDED BY CL'ENT

PREPARED FOR: COLLINS



Urchell and Associates, Inc.

Land Surveying Services

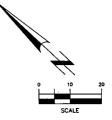
FAX 773 298 9500 WEBSITE www urchellandassociates com

DESIGN FIRM REGISTRATION #184-004894

NO IMPROVEMENTS SHOULD BE CONSTRUCTED ON THE BASIS OF THIS PLAT ALONE FIELD MONUMENTATION OF CRITICAL POINTS SHOULD BE ESTABLISHED PRIOR TO COMMENCEMENT OF CONSTRUCTION

FOR BUILDING LINE AND OTHER RESTRICTIONS NOT SHOWN HEREON REFER TO YOUR DEED, ABSTRACT, TITLE POLICY, CONTRACTS AND LOCAL BUILDING AND ZONING ORDINANCES.





FIELD WORK COMPLETED: 11/03/15

THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS STANDARDS FOR A BOUNDARY SURVEY

DATED: 11/03/15

PH leens

ROBERT J. URCHELL I.P.L.S. No. 3438 LICENSE RENEWAL DATE: NOVEMBER 30, 2016 SURVEY No. 15-11-014

#18576 INTRO DATE: NOU.18,2015

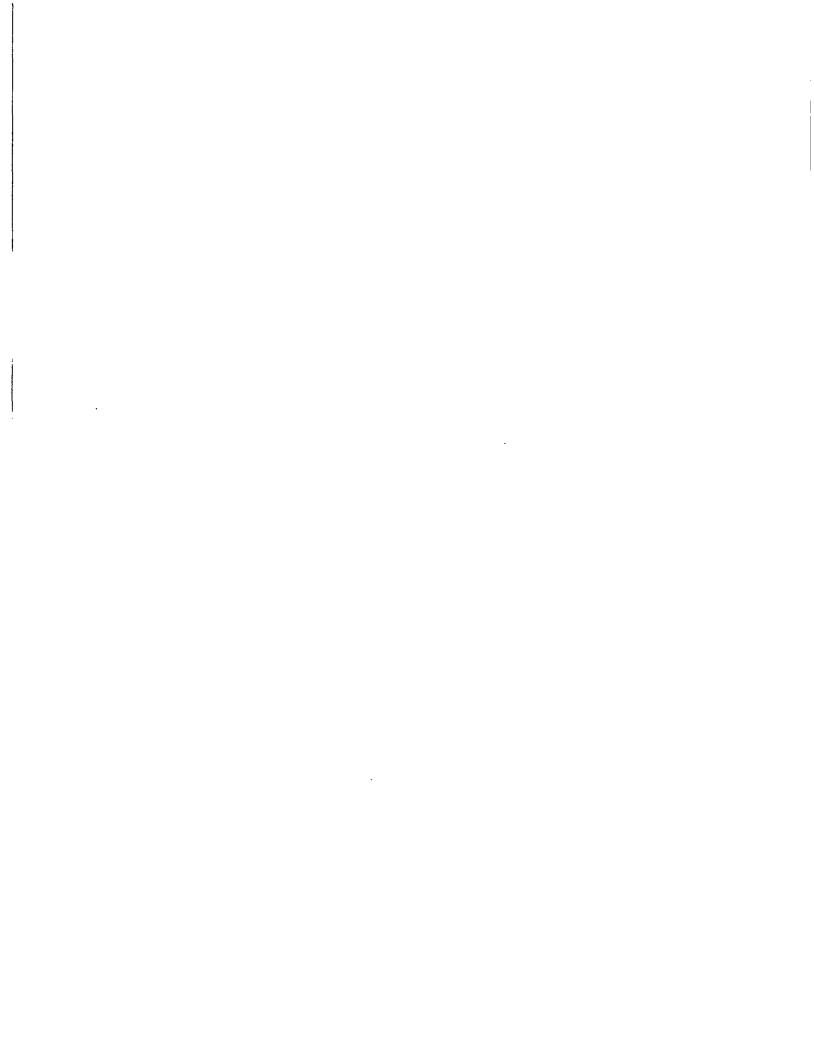
CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1373-1375 N	orth Milwaukee Ave	nue, Chicago, Illino	is	
Ward Number t	hat property is locat	ted in: 1		
APPLICANT	1373-1375 Milwauke	ee Adventures, Inc.	***	
ADDRESS 17	35 North Elston Aver	nue, Suite 226	CITYChi	cago
STATE Illinois	ZIP CODE_	60642	PHONE	N/A
EMAIL sara@	sambankslaw.com	CONTACT PER	RSON <u>Sara K. Barn</u>	es
If the applicant	is not the owner of t	the property, pleas	X NO e provide the following the owner allow	ng information
OWNER Sar	ne As Above			
ADDRESS			CITY	
STATE	ZIP CODE_		PHONE	
EMAIL		CONTACT PER	RSON	
If the Applicant	Owner of the proper provide the follow		lawyer as their repre	
If the Applicant rezoning, please		ring information:	lawyer as their repre	
If the Applicant rezoning, please ATTORNEY_	e provide the follow Sara K. Barnes - Lav	ving information: w Office of Samuel	lawyer as their repre	esentative for the
If the Applicant rezoning, please ATTORNEYADDRESS2	e provide the follow Sara K. Barnes - Lav 21 North LaSalle Str	ving information: w Office of Samuel reet, 38th Floor	lawyer as their repre	esentative for the

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5.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.
	Alexander C. Pearsall - Co-Owner, 1373-1375 Milwaukee Adventures, Inc.
	Brent Norsman - Co-Owner, 1373-1375 Milwaukee Adventures, Inc.
7.	On what date did the owner acquire legal title to the subject property? August 16, 2007
3.	Has the present owner previously rezoned this property? If yes, when?
	No No
).	Present Zoning District B1-2 Proposed Zoning District B1-3
0.	Lot size in square feet (or dimensions) 4,978.56 SF (approx.) (48.00' x 103.72')
1.	The subject property is improved with a three-story mixed-use building and Current Use of the property a four-story mixed-use building, containing a total of three (3) commercial units and five (5) dwelling units, between the two buildings.
2.	Reason for rezoning the property The Applicant is seeking to convert one of the existing commercial
	units, on the second floor of the three-story mixed-use building (1375 N. Milwaukee), into a dwelling unit. The existing four-story mixed-use building (1373 N. Milwaukee), and the units contained therein, will remain unchanged.
3.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and
	height of the proposed building. (BE SPECIFIC)
4.	The Applicant is seeking to convert one of the existing commercial units, on the second floor of the three-story mixed-use building (1375 N. Milwaukee), into a dwelling unit. The existing four-story mixed-use building (1373 N. Milwaukee), and the units contained therein, will remain unchanged. After the proposed conversion, the property - in its entirety, will contain a total of two commercial units (3,686.6 SF), at grade level, and six dwelling units, above No exterior physical expansion of the buildings is intended or required. The three-story building (1375) measures 42'-0"(approx.) in height and the four-story building (1373) measures 53'-6" (approx.) in height. There will continue to be on-site interior parking for four vehicles. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or
	a financial contribution for residential housing projects with ten or more units that receive a zoning
	change which, among other triggers, increases the allowable floor area, or, for existing Planned
	Developments, increases the number of units (see attached fact sheet or visit
	www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?
	YES NOX



	=========	 	=======
COUNTY OF COOK			
STATE OF ILLINOIS			

I, ALEXANDER C. PEARSALL, being first duly sworn on oath, state that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

Signature of Applicant

CO-OWNER - 1373-1375 MILWAUKEE AOVENTURES, INC.

Subscribed and sworn to before me this

974	day of _	NOVEME	BENU	_, 2015.
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Notary P	hblid	0		`
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For Office Use Only

Date of Introduction:_	
File Number:	
Ward:	

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submittir	ng this EDS. Include d/b/a/ if applicable:
1373-1375 Milwaukee Adventures, Inc.	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting 1. the Applicant OR	
	ct interest in the Applicant. State the legal name of the olds an interest:
3. a legal entity with a right of control (se which the Disclosing Party holds a right of c	ee Section II.B.1.) State the legal name of the entity in ontrol:
B. Business address of the Disclosing Party:	1765 North Elston Avenue, Suite 226
	Chicago, Illinois 60642
C. Telephone: <u>312-7</u> 82-1983	Email: sara@sambankslaw.com
D. Name of contact person: Sara K. Barnes - Attorney for Applican	nt
E. Federal Employer Identification No. (if you h	ave one):
F. Brief description of contract, transaction or ownich this EDS pertains. (Include project numb	ther undertaking (referred to below as the "Matter") to ber and location of property, if applicable):
The Applicant is seeking a zoning map amendment for the pro	perty located at 1373-75 North Milwaukee Avenue.
G. Which City agency or department is requesti	ng this EDS? DPD
If the Matter is a contract being handled by the complete the following:	ne City's Department of Procurement Services, please
Specification #	and Contract #

;				

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

 Indicate the nature of the Disclosing Parents Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify)
2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:
Illinois	
3. For legal entities not organized in the S business in the State of Illinois as a foreign en	State of Illinois: Has the organization registered to do atity?
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the name	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability me and title of each general partner, managing member, atrols the day-to-day management of the Disclosing Party. Isbmit an EDS on its own behalf.
Name	Title
Alexander C. Pearsall	Co-Owner
Brent Norsman	Co-Owner

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Name

		Disclosing Party
Alexander C. Pearsall	1729 N. Potomac Avenue, Chicago, IL	50%
Brent Norsman	1729 N. Potomac Avenue, Chicago, IL	50%
	,	
SECTION III BUS	INESS RELATIONSHIPS WITH C	ITY ELECTED OFFICIALS
Has the Disclosing l	Party had a "business relationship," as	defined in Chapter 2-156 of the Municipal
Code, with any City el	ected official in the 12 months before t	the date this EDS is signed?
Yes	No .	
If yes, please identify trelationship(s):	pelow the name(s) of such City elected	official(s) and describe such

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

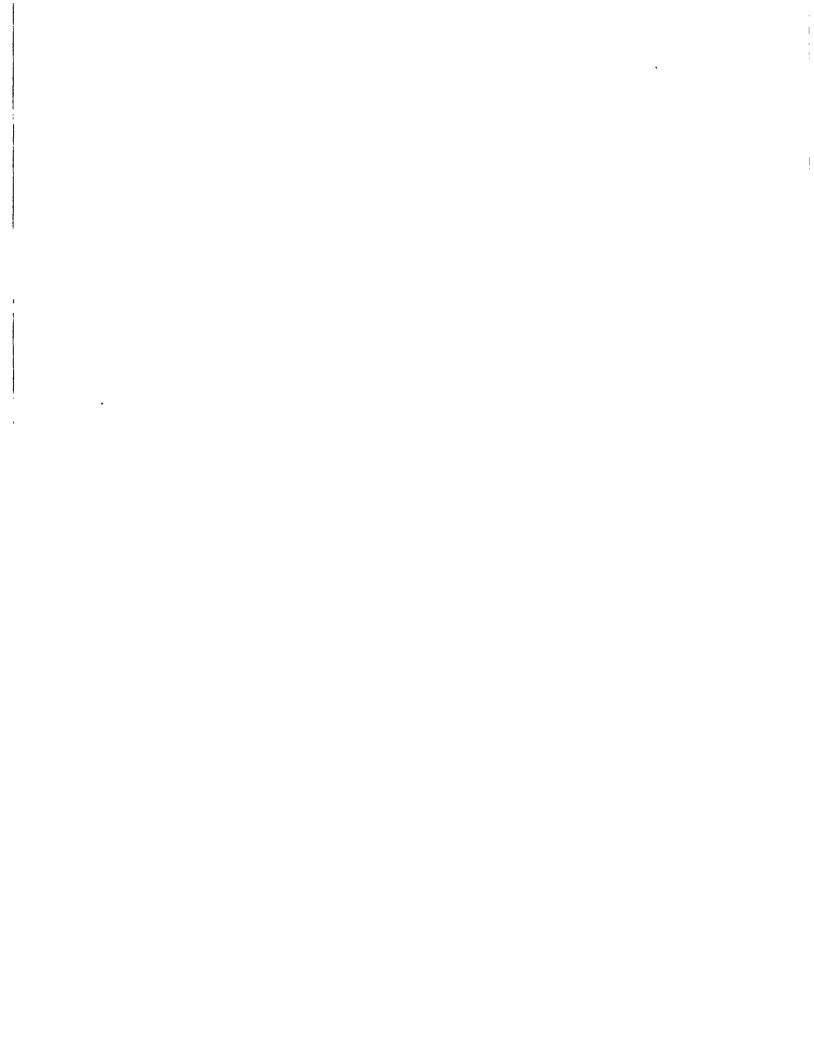
"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

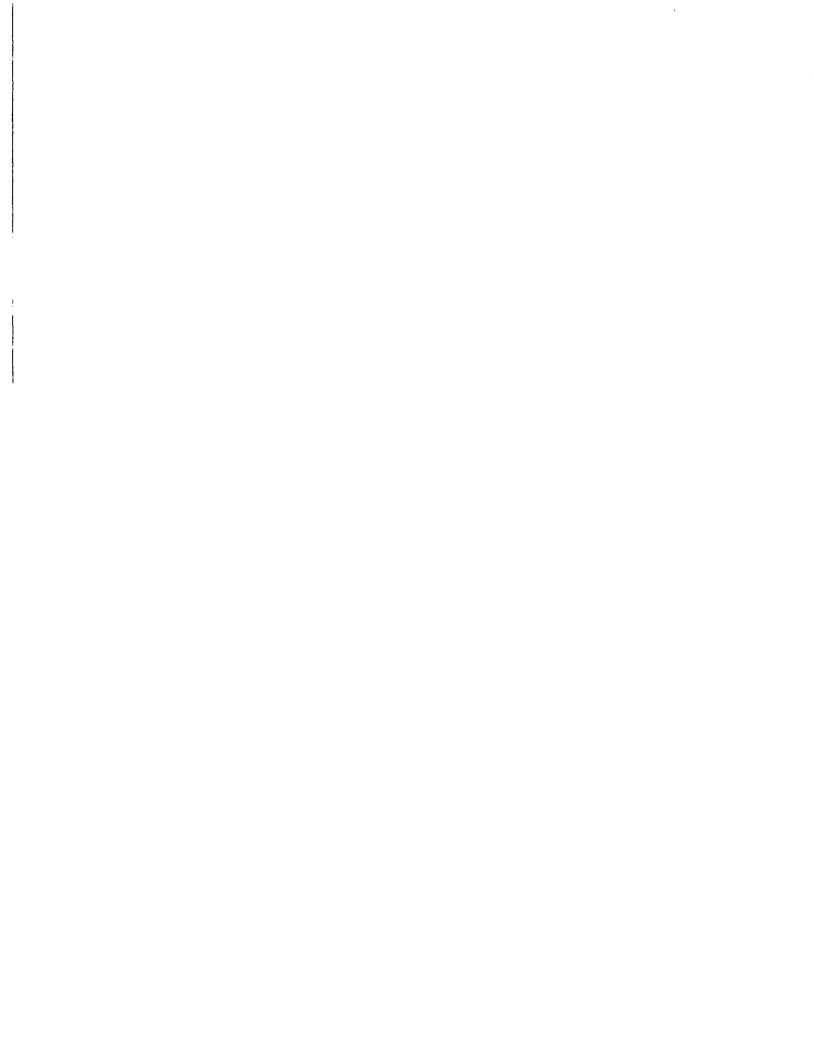
Name (indicate whether retained or anticipated to be retained)		Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is
Law Office of	221 N. L	_aSalle Street	Attorneys	not an acceptable response. \$6,500 (est.)
Samuel VP Banks	38th Flo	or		
	Chicago	o, IL 60601		
(Add sheets if nece	essary)		·	
Check here if th	e Disclo	osing Party ha	s not retained, nor expects to retain	n, any such persons or entities
SECTION V CI	ERTIFI	ICATIONS		
A. COURT-ORDE	ERED C	HILD SUPPO	ORT COMPLIANCE	
			415, substantial owners of business their child support obligations thr	
		-	y owns 10% or more of the Disclosus by any Illinois court of compete	
Yes	V No		o person directly or indirectly owns closing Party.	10% or more of the
If "Yes," has the pois the person in cor			ourt-approved agreement for paymreement?	ent of all support owed and
Yes	No)	·	
	DTICIC	ATIONIC		

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.



- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1, of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3. 4 and 5 concern:
 - the Disclosing Party:
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").



Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in th	is Part B (Further
Certifications), the Disclosing Party must explain below:	
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8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing Party certifies that the Disclosing Party (check one) is is not a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicated with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing Party certifies that the Disclosing Party (check one)
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is V is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

			_
	ne word "None." or no response a ed that the Disclosing Party certif	ppears on the lines above, it will be lied to the above statements.	
D. CERTIFICATIO	N REGARDING INTEREST IN	CITY BUSINESS	
Any words or terms meanings when used		of the Municipal Code have the same	
		unicipal Code: Does any official or employee name or in the name of any other person or	
NOTE: If you chec Item D.1., proceed to		o Items D.2. and D.3. If you checked "No" to	
elected official or en any other person or e for taxes or assessme "City Property Sale"	nployee shall have a financial intentity in the purchase of any properts, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City rest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.	
Does the Matter invo	olve a City Property Sale?		
Yes	No		
· ·	d "Yes" to Item D.1 provide the es having such interest and identif	names and business addresses of the City fy the nature of such interest:	
Name	Business Address	Nature of Interest	
			_
			_
	ng Party further certifies that no p City official or employee.	prohibited financial interest in the Matter will	

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by

applicable federal law. a member of Congress, an officer or employee of Congress, or an employee of a

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew.

member of Congress, in connection with the award of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	rty the Applicant?
Yes	No.
If "Yes," answer the	three questions below:
•	reloped and do you have on file affirmative action programs pursuant to applicab (See 41 CFR Part 60-2.)
Contract Compliance	d with the Joint Reporting Committee, the Director of the Office of Federal e Programs, or the Equal Employment Opportunity Commission all reports due filing requirements?
3. Have you parequal opportunity of Yes	ticipated in any previous contracts or subcontracts subject to the ause?
If you checked "No	to question 1. or 2. above, please provide an explanation:

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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances. Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics. 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Signed and sworn to before me on (date) November 9 2015, at Cook County, IUNOIS (state).

Notary Public.

SARA K BARNES OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires November 15, 2016

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

	ng Party or any "Applicable Pa onship" with an elected city o	rty" or any Spouse or Domestic Partner thereof currently fficial or department head?
Yes	✓ No	
such person is connec	eted; (3) the name and title of t	le of such person, (2) the name of the legal entity to which he elected city official or department head to whom such se nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	•	· ·	Applicant or any Owner identified as a o Section 2-92-416 of the Municipal
	Yes	No	
2.		•	exchange, is any officer or director of problem landlord pursuant to Section
	Yes	No	✓ Not Applicable
3.	If yes to (1) or (2) above, please idendified as a building code scofflat buildings to which the pertinent code	w or problem land	lord and the address of the building or

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

