

# City of Chicago



O2015-8037

## Office of the City Clerk

## **Document Tracking Sheet**

**Meeting Date:** 11/18/2015

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 11-H at 4614-4632 N

Lincoln Ave - App No. 18577T1

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

#18577 TI Nov.18,2015

## **ORDINANCE**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the B1-1.5 Neighborhood Shopping District symbols and indications as shown on Map No.11-H in the area bounded by

North Lincoln Avenue; a line from a point 225.09 feet southeast of the intersection of West Eastwood Avenue and North Lincoln Avenue as measured at the southwesterly right-of-way line of North Lincoln Avenue; to a point, 227.34 feet southeast of the intersection West Eastwood Avenue and the alley next southwest of North Lincoln Avenue as measured at the easterly right-of-way line of the alley next southwest of North Lincoln Avenue; the alley next southwest of North Lincoln Avenue; a line from a point 50.52 feet southeast of the intersection of West Eastwood Avenue and the alley next southwest of North Lincoln Avenue; to a point, 50 feet southeast of the intersection of West Eastwood Avenue and North Lincoln Avenue as measured at the southwest right-of-way of North Lincoln Avenue and back to North Lincoln Avenue,

to those of a B3-3 Community Shopping district and a corresponding uses district is hereby established in the area above described.

**SECTION 2.** This ordinance shall be in force and effect from and after its passage and due publication.

Common Address of Property: 4614-4632 North Lincoln Avenue

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#### Written Notice, Form of Affidavit: Section 17-13-0107

November 10, 2015

Honorable Daniel Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304 - City Hall Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Sara Barnes, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the Applicants or Owner, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was sent by USPS First Class Mail no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned as 4614-32 North Lincoln Avenue, Chicago, Illinois; a statement of intended use of said property; the names and addresses of the Applicants and the Owner; and a statement that the Applicants intend to file an application for a change in zoning on approximately November 10, 2015.

The undersigned certifies that the Applicants have made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago and that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

Law Offices of Samuel V.P. Banks

By:

Sara-K. Barnes Attorney

Subscribed and Sworn to before me this of day of Novem 2015.

DANIELLE SANDS OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires October 29, 2018

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#### **PUBLIC NOTICE**

Via USPS First Class Mail

November 10, 2015

Dear Sir or Madam:

In accordance with Amendment to the Zoning Code enacted by the City Council, Section 17-13-0107-A, please be informed that on or about **November 10, 2015**, I, the undersigned, intends to file an application for a change in zoning from a B1-1.5 Neighborhood Shopping District to a B3-3 Community Shopping District, on behalf of the Applicant/Owner, Chicago Title Land Trust Number 1-2992, for the property located at 4614-32 North Lincoln Avenue, Chicago, Illinois.

The Applicants are seeking a zoning amendment in order to rehabilitate the commercial space (storefronts) of the existing three-story mixed-use building, including the erection of a one-story inlay addition, which will allow for the location and establishment of a new restaurant, with liquor sales/service in conjunction with the serving of food, within the existing grade-level commercial space, fronting Lincoln Avenue. There will continue to be twelve (12) dwelling (apartment) units, located on the second and third floors of the existing building, fronting Lincoln Avenue. The Davis Theatre will continue to operate, as is, at the rear of the existing building, with one (1) dwelling (apartment) unit above, for a total of thirteen (13) dwelling units at the Site. Except for the interior buildout of the new (general) restaurant, including the erection of a one-story inlay addition, no physical expansion of the existing building is intended or necessary. The building, as renovated, will continue to measure 39'-3" in height and will be masonry in construction. There is, and will remain, no on-site parking for the building.

The Applicants, Tom M. Fencl and Mary H. Fencl, are located at 1830 West Byron Street, Chicago, Illinois.

The Property Owner, Chicago Title Land Trust Number 1-2992, is located at 10 South LaSalle Street, Suite 2750, Chicago, Illinois.

The contact person for this application is **Sara K. Barnes**. My address is 221 North LaSalle Street, Chicago, Illinois. My telephone number is 312-782-1983.

Very truly yours,
LAW OFFICES OF SAMUEL V.P. BANKS

Sara K. Barnes

\*\*\*Please note that the Applicant is <u>NOT</u> seeking to purchase or rezone your property.

\*\*\*The Applicant is required by law to send this notice because you own property located within 250 feet of the property subject to the proposed Zoning Amendment.

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#### -FORM OF AFFIDAVIT-

Chairman, Committee on Zoning Room 304 - City Hall Chicago, IL 60602

To Whom It May Concern:

I, THOMAS M. FENCL, as co-beneficiary of Land Trust Number 1-2992, understand CHICAGO TITLE that the Law Offices of Samuel V.P. Banks has filed a sworn affidavit identifying Land Trust Number 1-2992, as Owner holding interest in land subject to the proposed zoning amendment for the property identified as 4614-4632 North Lincoln Avenue, Chicago, Illinois.

CHICAGO TITLE

I, THOMAS M. FENCL, being first duly sworn under oath, depose and say that Land Trust Number 1-2992 holds that interest for itself and its beneficiaries and for no other person, association, or shareholder.

Thomas M. Fencl

Date

Subscribed and sworn to before me this 20th day of Dugust, 2015.

SARA K BARNES
OFFICIAL SEAL
Notary Public, State of Illinois
My Commission Expires
November 15, 2016



To whom it may concern:

#### CHICAGO TITLE

I, THOMAS M. FENCL, as co-beneficiary of LAND TRUST NUMBER 1-2992, the Owner/Applicant with regard to the property located at 4614-4632 North Lincoln Avenue, Chicago, Illinois, authorize the Law Offices of Samuel V.P. Banks, to file a zoning amendment application before the City of Chicago for that property.

Thomas M. Fencl, Co-Beneficiary

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#### 17-13-0303-C (1) Narrative Zoning Analysis

4614-32 North Lincoln Avenue, Chicago, Illinois

Proposed Zoning: B3-3 Community Shopping District

Lot Area: 21,018 square feet

Proposed Land Use:

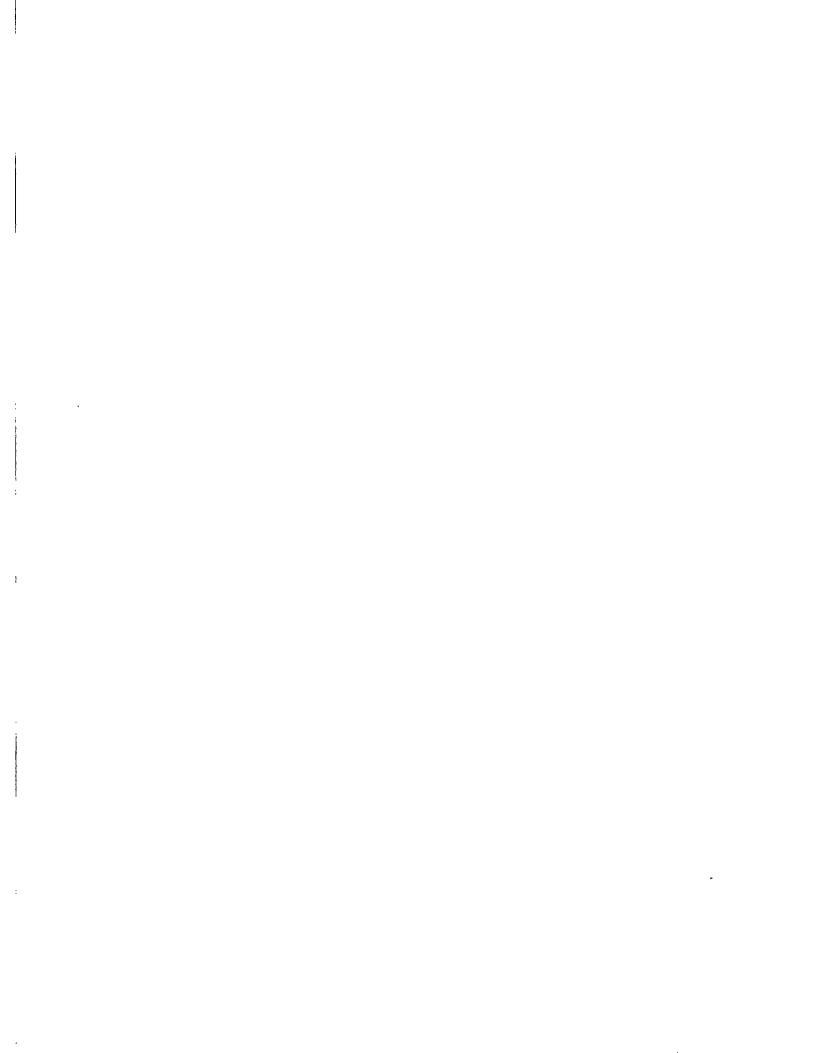
The Applicants are seeking a zoning amendment in order to rehabilitate the commercial space (storefronts) of the existing three-story mixed-use building, including the erection of a one-story inlay addition, which will allow for the location and establishment of a new restaurant, with liquor sales/service in conjunction with the serving of food, within the existing grade-level commercial space, fronting Lincoln Avenue. There will continue to be twelve (12) dwelling (apartment) units, located on the second and third floors of the existing building, fronting Lincoln Avenue. The Davis Theatre will continue to operate, as is, at the rear of the existing building, with one (1) dwelling (apartment) unit above, for a total of thirteen (13) dwelling units at the Site. Except for the interior buildout of the new (general) restaurant, including the erection of a one-story inlay addition, no physical expansion of the existing building is intended or necessary. The building, as renovated, will continue to measure 39'-3" in height and will be masonry in construction. There is, and will remain, no on-site parking for the building.

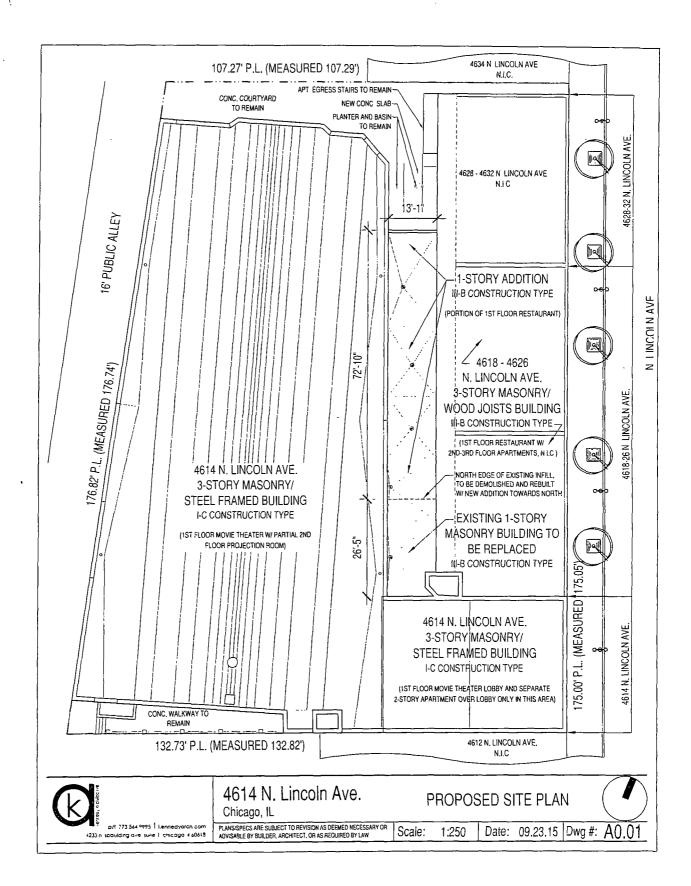
- (a) The Project's Floor Area Ratio: 31,518 square feet (1.5 FAR)
- (b) The Project's Density (Lot Area Per Dwelling Unit):
  13 dwelling units (1,616.8 square feet per unit)
- (c) The amount of off-street parking: 0 parking spaces
- (d) Setbacks:

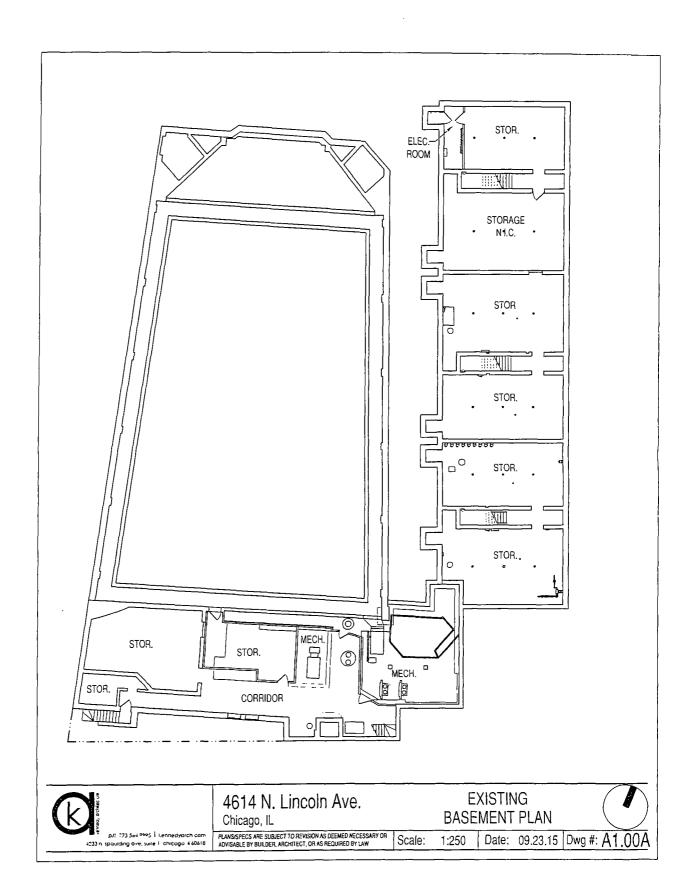
Front Setback: 0'-0"
Rear Setback: 0'-0"
Side Setbacks:
North: 3'-0"
South: 0'-0"

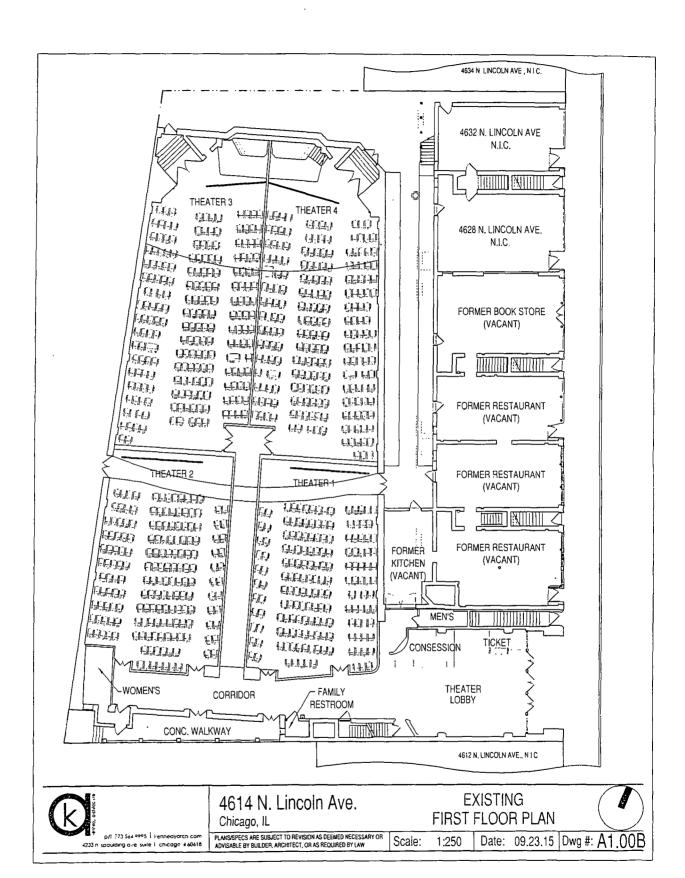
(e) Building Height: 39'-3"

\*17-13-0303-C(2) Plans Attached.

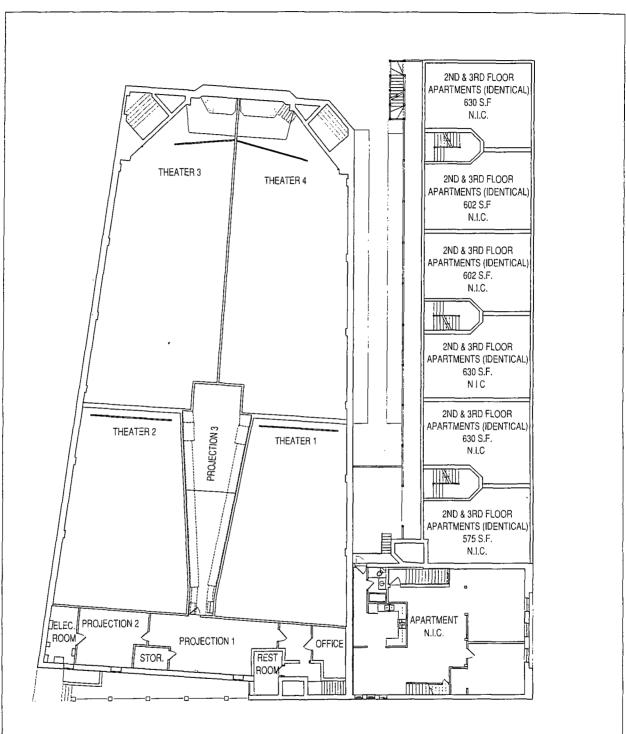








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4614 N. Lincoln Ave. Chicago, IL

**EXISTING** SECOND FLOOR PLAN



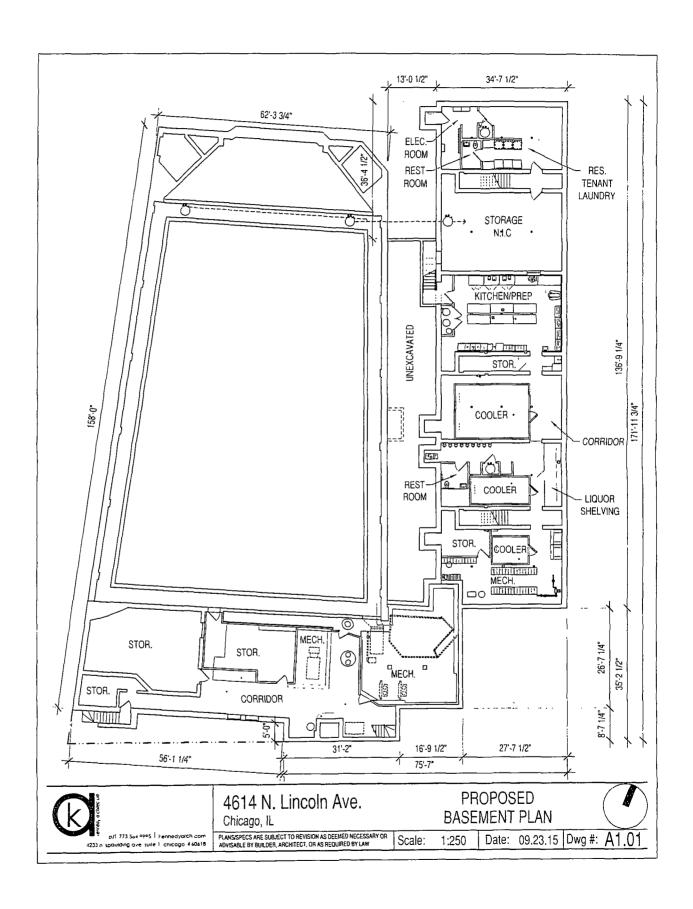
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PLANS/SPECS ARE SUBJECT TO REVISION AS DEEMED NECESSARY OR ADVISABLE BY BUILDER, ARCHITECT, OR AS REQUIRED BY LAW

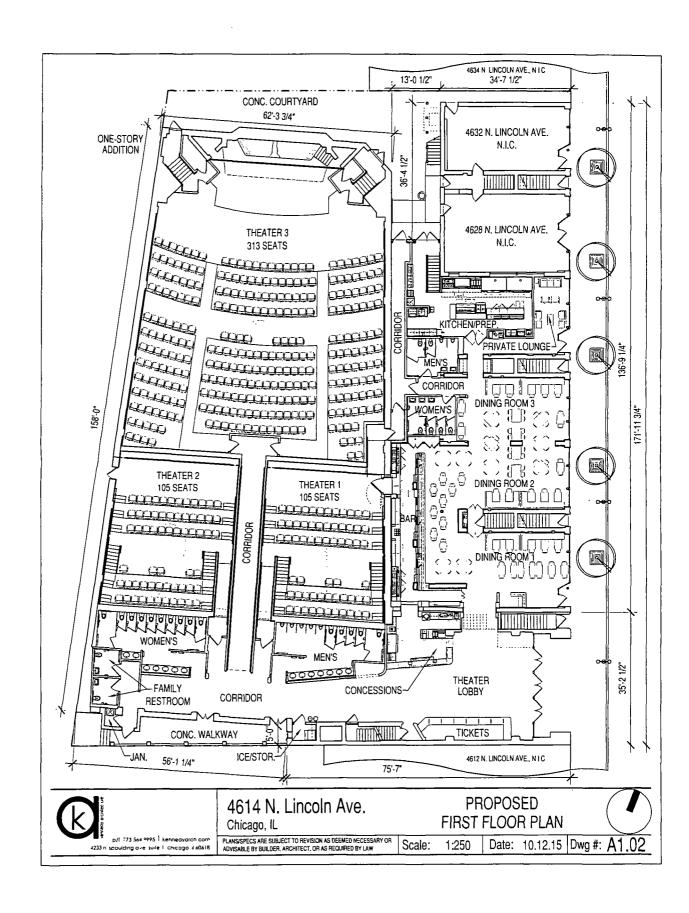
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Date: 09.23.15 Dwg #: A1.00C

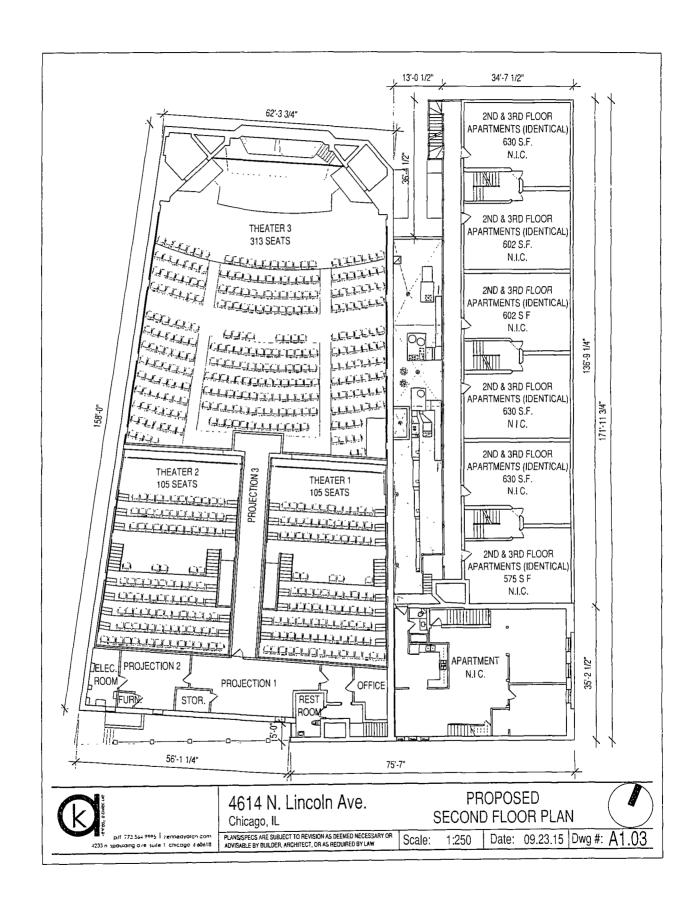
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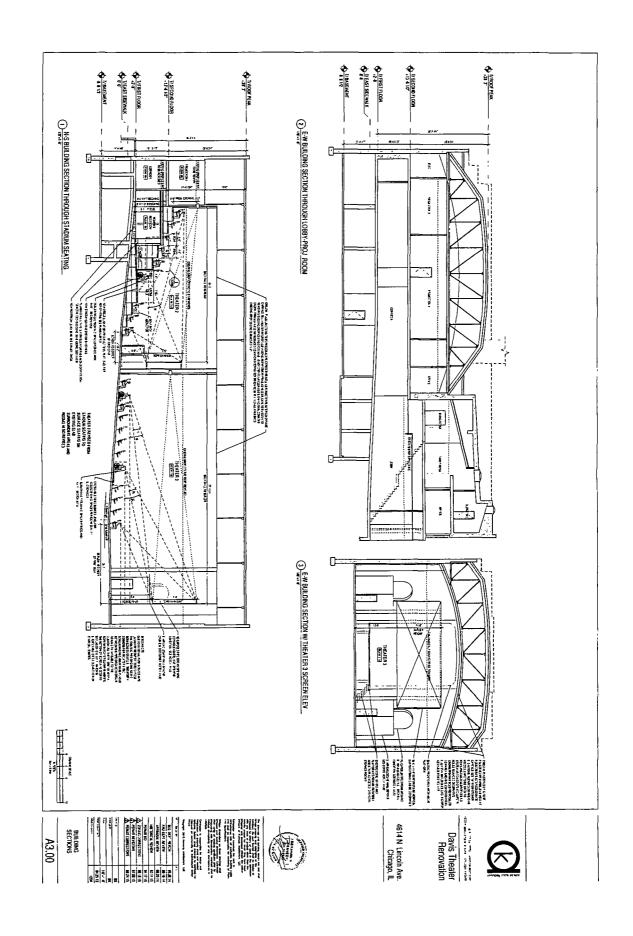
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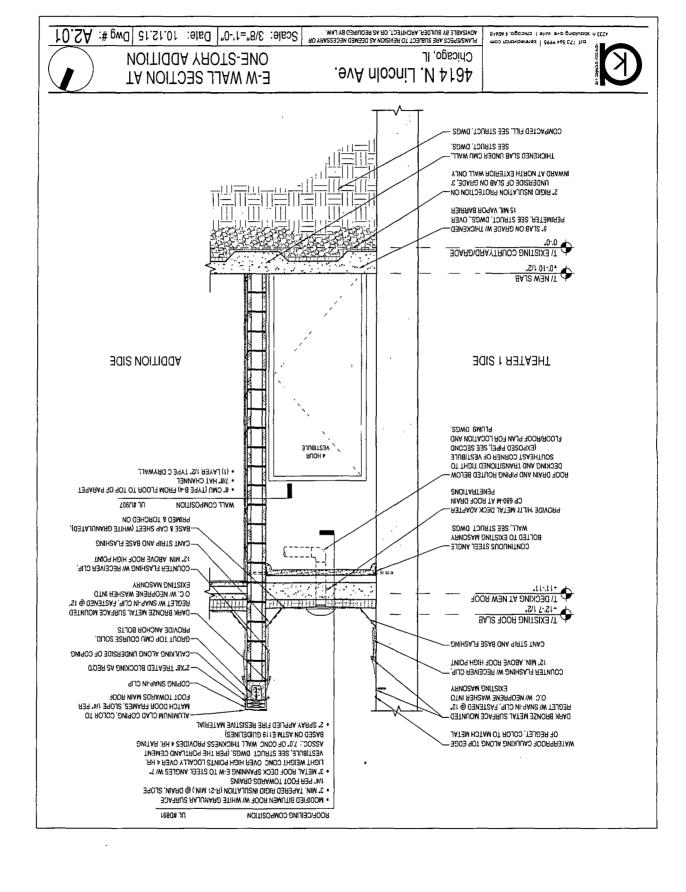
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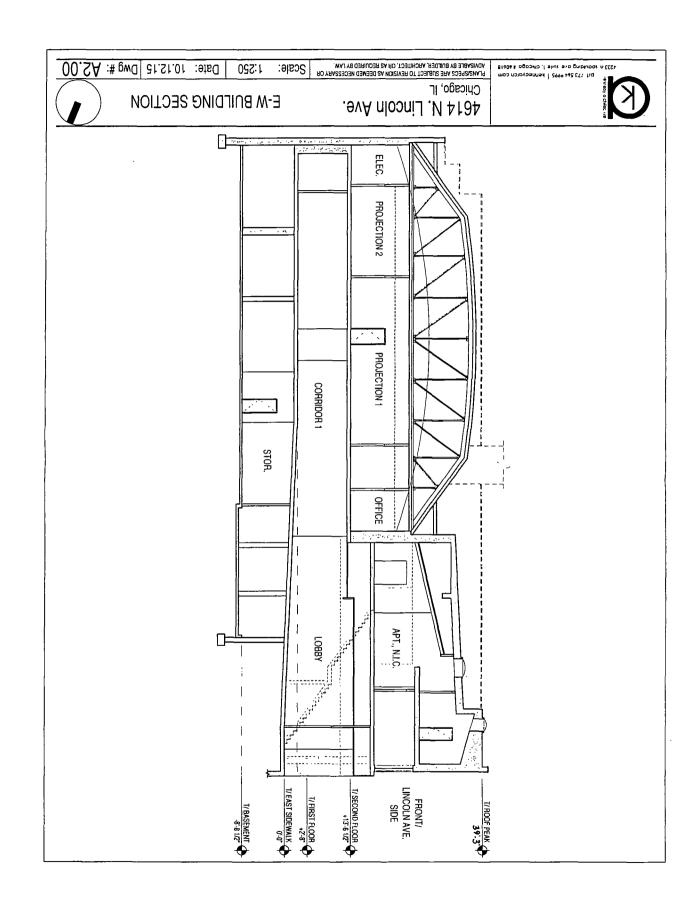
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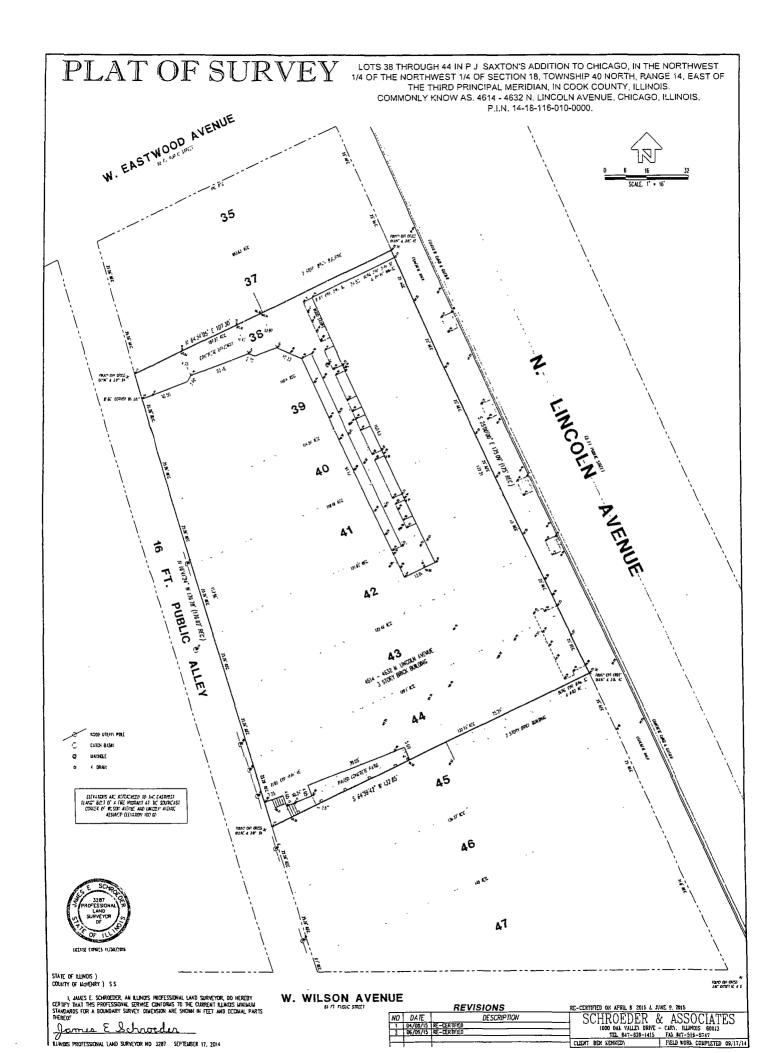
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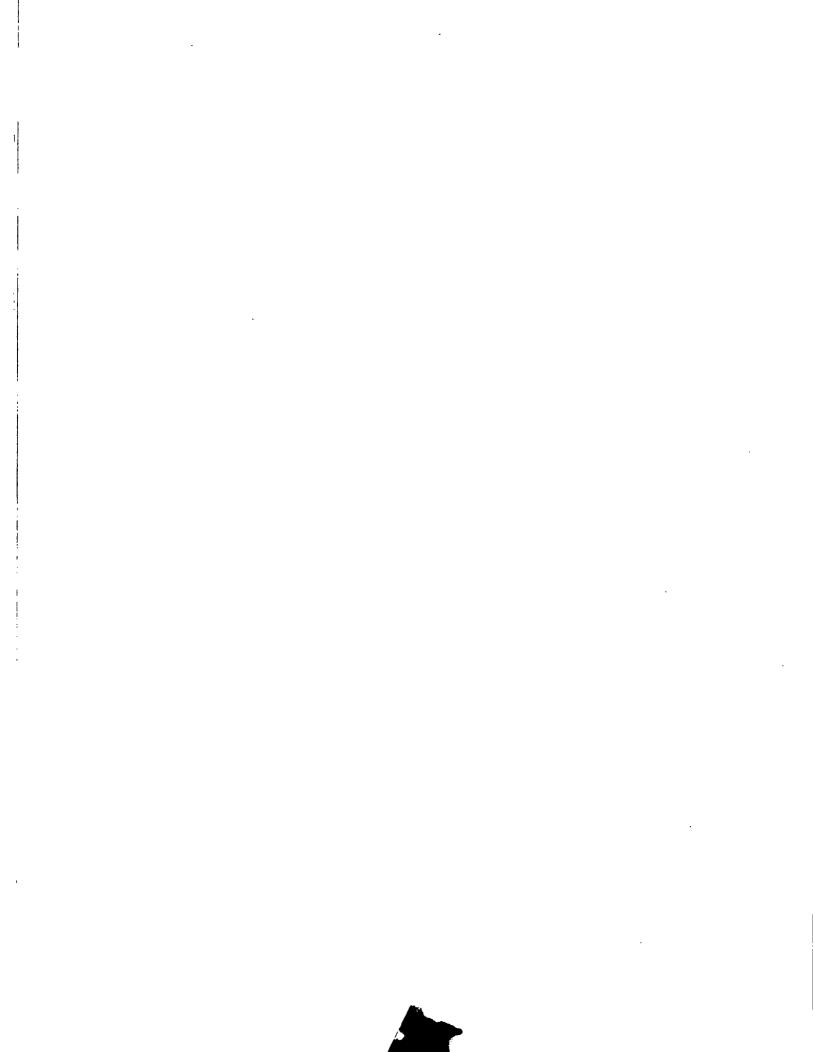


#18577 TI 1NtD DATES NOV. 18, 2015

### CITY OF CHICAGO APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:  4614-32 North Lincoln Avenue, Chicago, Illinois					
2.	Ward Number that property is located in: 47					
3.	APPLICANT: Thomas M. Fencl & Mary H. Fencl					
	ADDRESS: 1830 West Byron	Street	CITY: Chicago			
	STATE: <u>Illinois</u>	ZIP CODE: 60613	PHONE: (312) 782-1983			
	EMAIL: sara@sambankslaw.com CONTACT PERSON: Sara Barnes - Attorney for Applicant					
4.	Is the Applicant the owner of the	ne property? YES	NO_X_			
			ovide the following information the owner allowing the application to			
	OWNER: Chicago Title Land Trust No. 1-2992					
	ADDRESS: 10 South LaSalle	Street, Suite 2750	CITY: Chicago			
	STATE: <u>Illinois</u>	ZIP CODE: <u>60603</u>	PHONE: <u>(312)782-1983</u>			
	EMAIL:	CONTACT PERSOI	N:			
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:					
	ATTORNEY: Law Offices of Samuel V.P. Banks					
	ADDRESS: 221 North LaSalle Street, 38th Floor					
	CITY: Chicago	STATE: Illinois	ZIP CODE: <u>60601</u>			
	PHONE: (312) 782-1983	FAX: <u>(312)</u> 782-2433	EMAIL: sara@sambankslaw.com			





6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.), please provide the names of all owners as disclosed on the Economic Disclosure Statements.
	Thomas M. Fencl & Mary H. Fencl are Co-Beneficiaries of Chicago Title Land Trust Number 1-2992
7.	On what date did the owner acquire legal title to the subject property?  October 18, 2002
8.	Has the present owner previously rezoned this property? If Yes, when?
9.	Present Zoning: <u>B1-1.5 Neighborhood Shopping District</u>
	Proposed Zoning: B3-3 Community Shopping District
10.	Lot size in square feet (or dimensions): 21,018 square feet
11.	Current Use of the Property: The subject property is improved with a three-story mixed-use building, with grade-level commercial space and apartments above (fronting Lincoln Avenue) and a theatre (commonly known as the "Davis Theatre") at the rear.
12.	Reason for rezoning the property: The Applicants are seeking a zoning amendment in order to rehabilitate the commercial space (storefronts) of the existing building, including the erection of a one-story inlay addition, which will allow for the location and establishment of a new restaurant within the existing commercial space, at grade-level. The current zoning district does not permit a "(general) restaurant" use at the subject site. The proposed new zoning district, however, will allow for a restaurant (limited and/or general) use at the subject site.
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)  The Applicants are seeking a zoning amendment in order to rehabilitate the commercial space (storefronts) of the existing three-story mixed-use building (30,568 square feet), including the erection of a one-story inlay addition (950 square feet), which will allow for the location and establishment of a new restaurant, with liquor sales/service in conjunction with the serving of food, within the existing grade-level commercial space (5,006 square feet), fronting Lincoln Avenue. There will continue to be twelve (12) dwelling (apartment) units (8,040 square feet), located on the second and third floors of the existing building, fronting Lincoln Avenue. The Davis Theatre (17,522 square feet) will continue to operate, as is, at the rear of the existing building, with one (1) dwelling (apartment) unit (2,696 square feet) above, for a total of thirteen (13) dwelling units at the Site. Except for the interior buildout of the new (general) restaurant, including the erection of a one-story inlay addition, no physical expansion of the existing building is intended or necessary. The building, as renovated, will continue to measure 39'-3" in height and will be masonry in construction. There is, and will remain, no on-site parking for the building.
14.	The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?

YES\_\_\_\_NO\_X\_

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## COUNTY OF COOK STATE OF ILLINOIS

I, THOMAS M. FENCL, being first duly sworn on oath, state that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

Signature of Applicant

Subscribed and sworn to before me this

<u>26™</u> day of <u>Augus†</u>, 2015.

Notary Public



### For Office Use Only

Date of Introduction:

File Number:

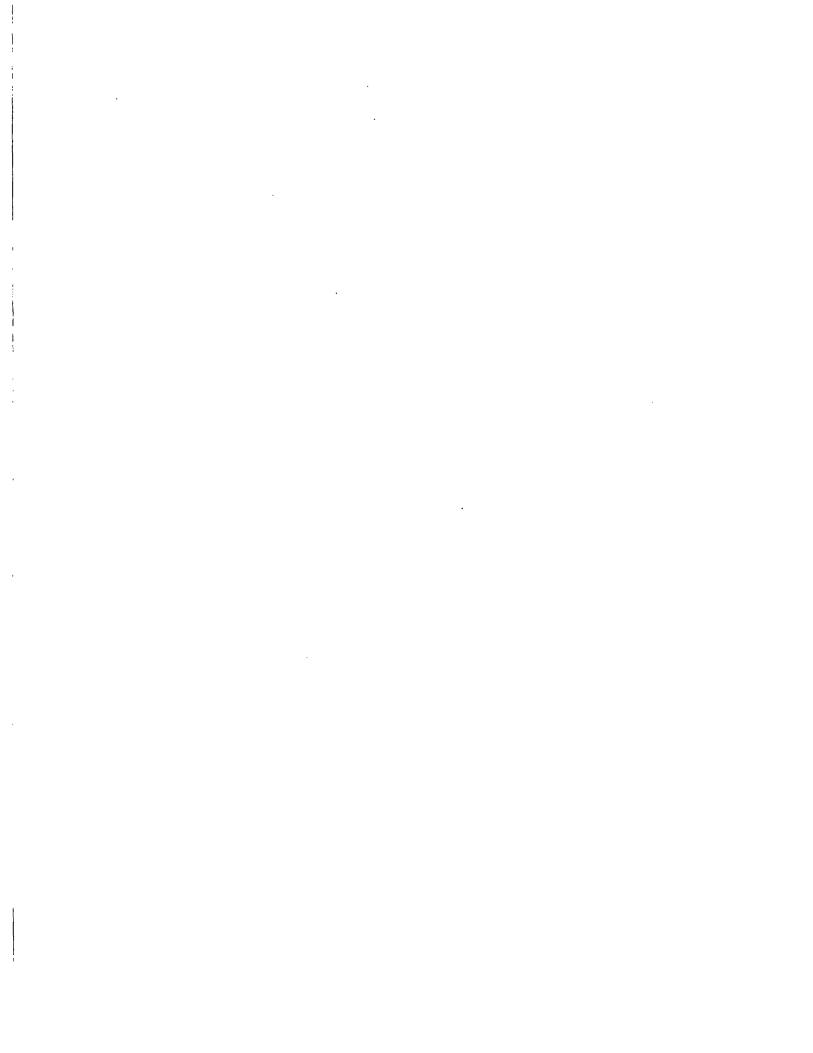
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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

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A. Legal name of the Disclosing Party submitti	ing this EDS. Inc	lude d/b/a/ if applicable:
Thomas M. Fencl & Mary H. Fencl		_
Check ONE of the following three boxes:		
Indicate whether the Disclosing Party submitting  1.  OR	ng this EDS is:	
2. a legal entity holding a direct or indirect Applicant in which the Disclosing Party holding OR		
3. a legal entity with a right of control (s which the Disclosing Party holds a right of		
B. Business address of the Disclosing Party:	1830 West Bryron	Street
	Chicago, Illinois 60613	
C. Telephone: <u>312-782-1983</u> Fax:	N/A	Email: sara@sambankslaw.com
D. Name of contact person: Sara Barnes - Attorney for Applican	ts `	_
E. Federal Employer Identification No. (if you	have one): N/A	
F. Brief description of contract, transaction or which this EDS pertains. (Include project num	-	
The Applicants are seeking a zoning change for the property	located at 4614-32 No	rth Lincoln Avenue.
G. Which City agency or department is reques	ting this EDS?_DP	D
If the Matter is a contract being handled by complete the following:	the City's Departi	ment of Procurement Services, please
Specification #	and Contract	:#



#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rty:
✔ Person	Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
Limited partnership	Yes No
Trust	Other (please specify)
2. For legal entities, the state (or foreign c	country) of incorporation or organization, if applicable:
N/A	
business in the State of Illinois as a foreign en	tate of Illinois: Has the organization registered to do tity?
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If
	rs." For trusts, estates or other similar entities, list below
•	d partnership, limited liability company, limited liability
	ne and title of each general partner, managing member,
-	itrols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must su	
Name .	Title

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name

Business Address

Percentage Interest in the Disclosing Party

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes

No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate where tained or anticipe to be retained)		Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
Law Offices of	221 Nort	h LaSalle Street	Attorneys	\$6,500.00 (est.)
Samuel VP Banks	38th Flo	or		
	Chicago	o, IL 60601	<del></del>	
(Add sheets if nec	essary)	*****		
Check here if the	ne Disclo	osing Party ha	s not retained, nor expects to retain	1. any such persons or entities
SECTION V C	ERTIF	CATIONS		
A. COURT-ORD	ERED C	HILD SUPPO	ORT COMPLIANCE	
<del>-</del>			415, substantial owners of business n their child support obligations thr	
• •		•	ly owns 10% or more of the Disclos ns by any Illinois court of compete	
Yes	₹ No		o person directly or indirectly owns	10% or more of the
If "Yes," has the p is the person in co			ourt-approved agreement for paymreement?	ent of all support owed and
Yes	No	)		
B. FURTHER CE	RTIFIC	ATIONS		

Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud: embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners. is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  N/A
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
is is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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	the word "None." or no response a ned that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATIO	ON REGARDING INTEREST IN	CITY BUSINESS
Any words or terms meanings when used	•	of the Municipal Code have the same
	nancial interest in his or her own r	unicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you chec Item D.1., proceed t		o Items D.2. and D.3. If you checked "No" to
elected official or en any other person or for taxes or assessm "City Property Sale"	nployee shall have a financial inte entity in the purchase of any prope ents, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powering of this Part D.
Does the Matter inv	olve a City Property Sale?	
Yes	No	
	ed "Yes" to Item D.1., provide the es having such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest
	ing Party further certifies that no p City official or employee.	prohibited financial interest in the Matter will
E. CERTIFICATIO	N REGARDING SLAVERY ERA	A BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves). and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any

comply with these disclosure requirements may make any contract entered into with the City in

Page 9 of 13

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew.

person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

member of Congress, in connection with the award of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above. 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities". 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request. B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. Is the Disclosing Party the Applicant? TYes - No

If "Yes," answer th	e three questions below:
	veloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2.)
Contract Complian	ed with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due e filing requirements?
3. Have you parequal opportunity of Yes	erticipated in any previous contracts or subcontracts subject to the clause?
If you checked "No	o" to question 1. or 2. above, please provide an explanation:

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### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St.. Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Print or type name of Disclosing Party)

By: Second (Sign here)

THOMAS M FENCE
(Print or type name of person signing)

APPLICANT +

BONEFICIARY - CHICAGO TITLE LAND TRUST NUMBER 1-2992
(Print or type title of person signing)

Signed and sworn to before me on (date) 09-10-15

at Cook County, Illinois (state).

Notary Public.



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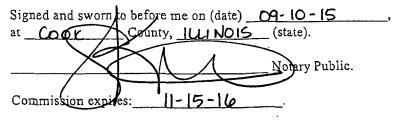
- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

First or type-name of Disclosing Party)
sy Masy Dell
(Sign Here)
MARY H. FENCU
Print or type name of person signing)
APPLICANT +
BENEFICIARY - CHICAGO TTRE LAND TRUST NUMBER 1-2992
(Print or type title of person signing)





TOM M. FENCL + MARY H. FENCL

Page 12 of 13

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing	0 ,				tic Partner there	eof currently
have a "familial relat	ionship" with	an elected city	official or depa	rtment head?		
Yes	·	✓ No				
If yes, please iden such person is conne person has a familial	cted; (3) the r	name and title of	the elected city	y official or dep	partment head to	
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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?
	☐ Yes
2.	If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?
	Yes Not Applicable
3.	If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitti	ing this EDS. Incl	ude d/b/a/ if applicable:
Chicago Title Land Trust Number 1-2992		_
Check ONE of the following three boxes:		
Indicate whether the Disclosing Party submittin  1 the Applicant OR		
<ol> <li>a legal entity holding a direct or indire Applicant in which the Disclosing Party h OR</li> </ol>		= =
3.  a legal entity with a right of control (s which the Disclosing Party holds a right of		
B. Business address of the Disclosing Party:	10 South LaSalle S	treet, Suite 2750
	Chicago, Illinois 60603	
C. Telephone: <u>312-223-4110</u> Fax:	N/A	Email: sara@sambankslaw.com
D. Name of contact person: Sara Barnes - Attorney for the Appl	licant	<del>-</del>
E. Federal Employer Identification No. (if you	have one): N/A	
F. Brief description of contract, transaction or which this EDS pertains. (Include project num	_	
The Applicants are seeking a zoning change for the property	located at 4614-32 Nort	th Lincoln Avenue.
G. Which City agency or department is reques	ting this EDS? DPI	)
If the Matter is a contract being handled by complete the following:	the City's Departu	nent of Procurement Services, please
Specification #	and Contract	#

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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

Chicago Title Land Trust Company

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Not-for-profit corporation Sole proprietorship General partnership (Is the not-for-profit corporation also a 501(c)(3))? Yes No Limited partnership ✓ Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? -- Yes .... N/A V No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s) If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title Name

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Trustee

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Rusiness Address

Manie

Name	Dusiness Address	t electivage interest in the
		Disclosing Party
Thomas M. Fencl	Same as Above	50%
	Chicago, IL 60613	
Mary H. Fencl	Same as Above	50%
SECTION III BU	SINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
		nip," as defined in Chapter 2-156 of the Municipal
Code, with any City	elected official in the 12 months	before the date this EDS is signed?
_ Yes	V No	
If yes, please identify relationship(s):	y below the name(s) of such City	elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
Check here if the Disc	losing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERTII	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
•		-415, substantial owners of business th their child support obligations thr	
• •	•	cly owns 10% or more of the Disclosons by any Illinois court of compete	
Yes Z N		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person e is the person in complian		court-approved agreement for paym greement?	ent of all support owed and
T Yes T N	lo		
B. FURTHER CERTIFI	CATIONS		
consult for defined terms submitting this EDS is th certifies as follows: (i) no with, or has admitted gui criminal offense involvin	(e.g., "doing e Applicant an either the App It of, or has ev ag actual, atter	apter 1-23, Article I ("Article I")(who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is ver been convicted of, or placed und inpted, or conspiracy to commit bribal officer or employee of the City or second the city or second in the city or second in the city or second in the city or second in the city or second in the city or second in the city or second in the city or second in the city or second in the city or second in the city or second in the city or second in the city or second in the city of the city or second in the city or second in the city or second in the city or second in the city of the city or second in the city or second in the city or second in the city or second in the city or second in the city of the city of the city or second in the city of the city or second in the city of the city of the city or second in the city of	if the Disclosing Party hen the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery: bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise: or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

Disclosing Party is ), the Disclosing P	-	-	e statements in t	this Part B (Further

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  None
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
is <u>is</u> is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

	he word "None," or no response a ed that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATIO	N REGARDING INTEREST IN	CITY BUSINESS
Any words or terms meanings when used	•	of the Municipal Code have the same
of the City have a fir entity in the Matter?	ancial interest in his or her own n	unicipal Code: Does any official or employee name or in the name of any other person or
168 .	v No	
NOTE: If you chec Item D.1., proceed to	•	o Items D.2. and D.3. If you checked "No" to
elected official or en any other person or e for taxes or assessme "City Property Sale"	aployee shall have a financial inte entity in the purchase of any prope ents, or (iii) is sold by virtue of leg	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively en pursuant to the City's eminent domain powning of this Part D.
Does the Matter invo	olve a City Property Sale?	
Yes	No	
	d "Yes" to Item D.1 provide the es having such interest and identif	names and business addresses of the City fy the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2.. the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
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SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew.

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	the Applicant?
_ Yes	No
If "Yes," answer th	hree questions below:
	loped and do you have on file affirmative action programs pursuant to applicabl See 41 CFR Part 60-2.)
Yes	No
*	with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports due iling requirements?
3. Have you pa	cipated in any previous contracts or subcontracts subject to the use?
Yes	No
If you checked "No	to question 1. or 2. above, please provide an explanation:

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# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances. Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts. work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges. sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)  By:  (Sign here)  KELLIA. BEYER	CHICAGO, ILLINGS	This instrument is executed by the undersigned Land Trustee not personally but solely as Trustee in the exercise of the power and authority conferred upon and vested in it as such Trustee. It is expressly understood and agreed that all the warranties, indemnities, representations, covenants, undertakings and agreements herein made on the part of the Trustee are undertaken by it solely in its capacity as Trustee and not personally. No personal liability or personal responsibility is assumed by or shall at any time be asserted.
(Print or type name of person signing)	_	assumed by or shall at any time be asserted or enforceable against the Trustee on account of any warranty, indemnity, representation, covenant, undertaking or agreement of the Trustee in this instrument.
ASS1. VICE PRESIDENT	_	The information contained in this certification has been furnished to the land trustee by the
(Print or type title of person signing)  Signed and sworn to before me on (date)	)ctober 20, 2015	beneficiaries of trust no. 1299, and the certification is made solely in reliance thereon and no responsibility is assumed by the trustee in its individual capacity, for the truth or
at Cook County, ILLINOIS	(state).	
Commission expires: 7/1/201-	_ Notary Public.	"OFFICIAL SEAL"  GRACE MARIN
,	Page 12 of 13	Notary Pen in State of Illinois Gen hission Expires 07/01/2017

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership: all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

## BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	building code scofflaw or problem l Code?	• •	_
	Yes	No	
2.	If the Applicant is a legal entity pub the Applicant identified as a buildin 2-92-416 of the Municipal Code?		
	Yes	No	Not Applicable
3.	If yes to (1) or (2) above, please ide identified as a building code scoffla buildings to which the pertinent code	w or problem landlore	· , •

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

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