

### City of Chicago



### Office of the City Clerk

### **Document Tracking Sheet**

**Meeting Date:** 12/9/2015

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 12-I at 2833-2927 W 47th

St and 4701-4733 S Richmond St - App No. 18607

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

#18607 INTRO. DATE; DEC. 9, 2015

### ORDINANCE

### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

**SECTION 1.** Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the M2-2 Light Industry District symbols and indications as shown on Map No. 12-I in the area bounded by:

West 47<sup>th</sup> Street; a line 604 feet east of and parallel to South Richmond Street; a line 311 feet south of and parallel to West 47<sup>th</sup> Street; a line 583 feet east of and parallel to South Richmond Street; a line 415 feet south of and parallel to West 47<sup>th</sup> Street; a line 300 feet east of and parallel to South Richmond Street; a line 400 feet south of and parallel to West 47<sup>th</sup> Street; a line 132 feet east of and parallel to South Richmond Street; a line 390.15 feet south of and parallel to West 47<sup>th</sup> Street; South Richmond Street;

to those of a C3-3 Commercial, Manufacturing and Employment District and a corresponding use district is hereby established in the area above described.

**SECTION 2.** That the Chicago Zoning Ordinance be amended by changing all the C3-3 Commercial, Manufacturing and Employment District symbols and indications in the area bounded by:

West 47<sup>th</sup> Street; a line 604 feet east of and parallel to South Richmond Street; a line 311 feet south of and parallel to West 47<sup>th</sup> Street; a line 583 feet east of and parallel to South Richmond Street; a line 415 feet south of and parallel to West 47<sup>th</sup> Street; a line 300 feet east of and parallel to South Richmond Street; a line 400 feet south of and parallel to West 47<sup>th</sup> Street; a line 132 feet east of and parallel to South Richmond Street; a line 390.15 feet south of and parallel to West 47<sup>th</sup> Street; South Richmond Street;

to an Institutional Planned Development and a corresponding use district is hereby established in the area above described.

**SECTION 3.** This ordinance shall be in force and effect from and after its passage and due publication.

Address of Property: 2833-2927 West 47<sup>th</sup> Street/4701-33 South Richmond Street

### STANDARD PLANNED DEVELOPMENT STATEMENTS

The Planned Development Statements describe the legal regulations and conditions that will control the development of the proposed project. The following statements shall be included in the ordinance; any proposed changes to these statements must be discussed and reviewed with the Chicago Department of Planning and Development. Based on the scope of the project, additional statements (listed at the end of this document) may be required. The following statements must be included in the ordinance:

- 1. The area delineated herein as Institutional Planned Development Number ("Planned Development") consists of approximately 276,571 square feet (approximately 6.349 acres) which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and is controlled by the Applicant, Noble Network of Charter Schools.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assign or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by the Department of Transportation.

Applicant: Noble Network of Charter Schools

Address: 2833-2927 West 47th Street/4701-33 South Richmond Street

Introduced: December 9, 2015

Plan Commission:

- 4. This Plan of Development consists of fifteen (15) Statements: a Bulk Regulations Table; an Existing Zoning Map; a Boundary and Property Line Map; a Site Plan; Landscape Plan; Green Roof Plan and Building Elevations (North, South, East and West), Contextual Rendering and 3D Model Plan submitted herein. Full-sized copies of the Site Plan are on file with the Department of Planning and Development. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.
- 5. The following uses shall be allowed in the area delineated herein as an Institutional Planned Development #

School; Sports and Recreation - Participant (Outdoor and Indoor); Accessory Parking

- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations Table has been determined using a Net Site Area of 242,541 square feet (approximately 5.57 acres)
- 9. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews are conditional until final Part II approval.
- 11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation,

Applicant:

Noble Network of Charter Schools

Address:

2833-2927 West 47th Street/4701-33 South Richmond Street December 9, 2015

Introduced:

Plan Commission:

Environment and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.

- 12. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 14. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. Building certification will be LEED, Energy Star or Chicago Green Homes.
- 15. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Commissioner of the Department of Planning and Development shall initiate a Zoning Map Amendment to rezone the property to a C3-3 Commercial, Manufacturing and Employment District.

### Wheeler Kearns Architegts

**Project:** Noble Mansueto High School

Applicant: Noble Network of Charter Schools

### 2915 W 47<sup>th</sup> Street, Chicago, IL 60632

ZONING ANALYSIS		<del></del>		
Zoning Issue	Underlying Zone: M2-2 (Light Industry)	Proposed Project: PD (underlying zoning C3-3)		
Gross Site Area	276, 571 sq ft (6.349 acres)	276,571 sq ft (6.349 acres)		
Net Site Area	242,676 sq ft (5.5711 acres)	242,676 sq ft (5.5711 acres)		
# Residential units N/A		N/A		
# off-street parking spaces N/A		94 (includes 4 ADA)		
# of off-street drop off spaces N/A 12		12		
Max. F A.R	2.2	0 31(3 0 max allowed) (76,100sf / 242,676 sq ft)		
Min. Lot Area (MLA)	N/A	N/A		
Parking	N/A	1 space per 3 employees + As determined by DPD		
Bicycle parking (new)	1 per 10 auto spaces (4 minimum)	66 provided		
Loading berths		10' x 25' (1 provided)		
Uses	Industrial	School / Institutional		
Set backs Front	0'- 0"	20'-0" provided (0'-0" required)		
Side	0'-0"	24'-0" provided (0'-0" required)		
Rear	0'- 0"	101'-0" provided (0'-0" required)		
Site Coverage		Building: 37,780sf = 16% Bldg +Paving (not including soccer field): 82,140sf =34%		
Height		48'-0" to underside of Gym ceiling joist (60'-0" max allowed)		
Open Space		204,383.49 sf =84% Open Space		
Green Roof	25%	50% provided; 14,580 sf provided (total roof area=29,160sf)		
LEED Requirement	Certification	Certification		

Date Introduced: December 9, 2015



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# **MANSUETO HIGH SCHOOL**

Applicant: Noble Network of Charter Schools Address: 2915 W 47th Street, Chicago, IL 60632 Date Introduced: December 9, 2015 Plan Commission Date:

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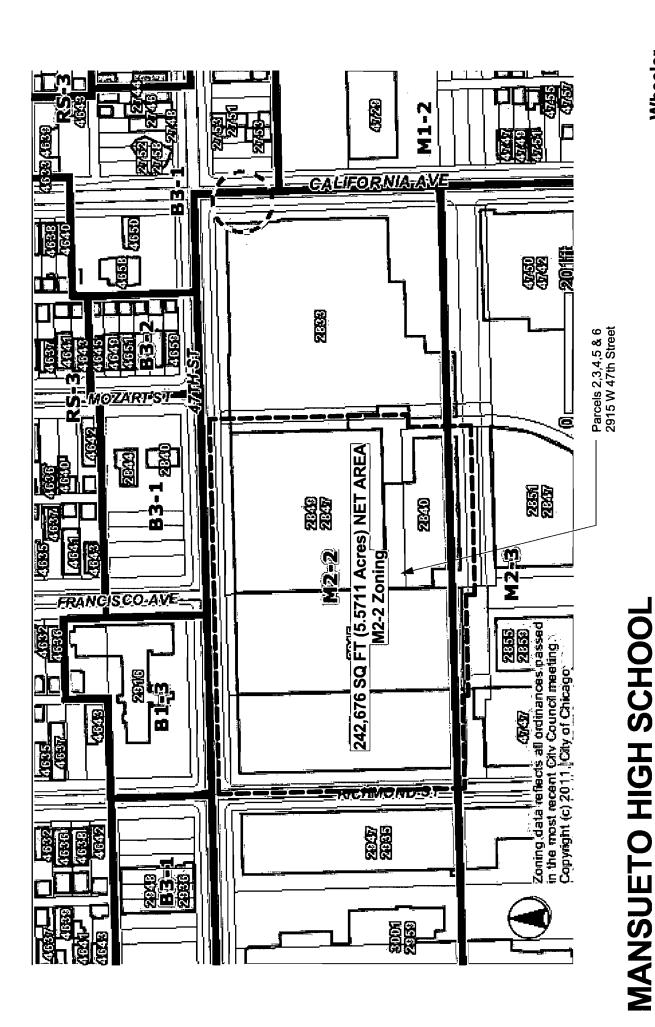


View from S. Richmond Street

# MANSUETO HIGH SCHOOL

View from South parking area

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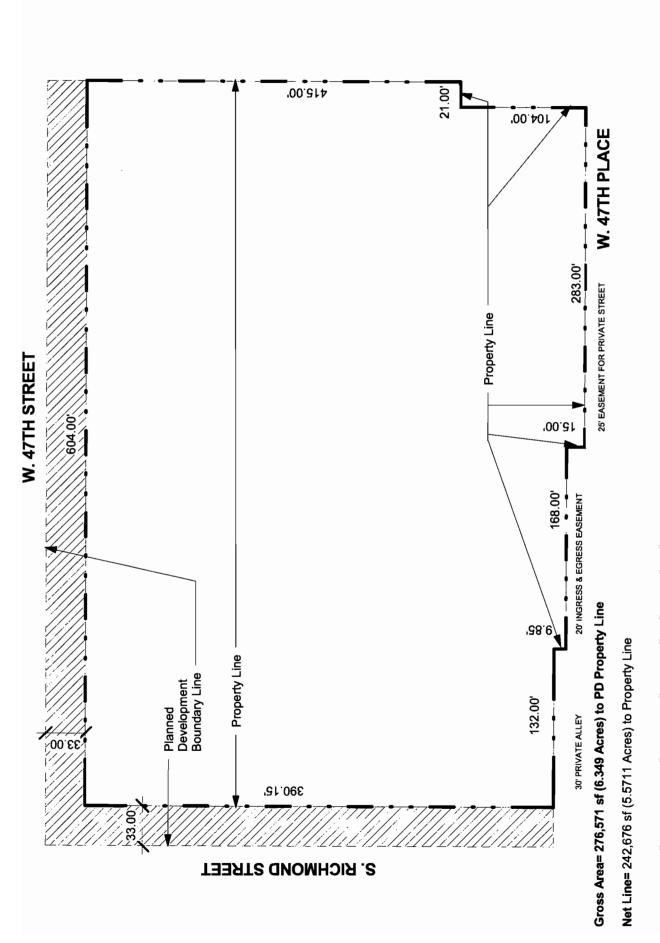
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PD-02 Existing Zoning Map



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wkarch.com

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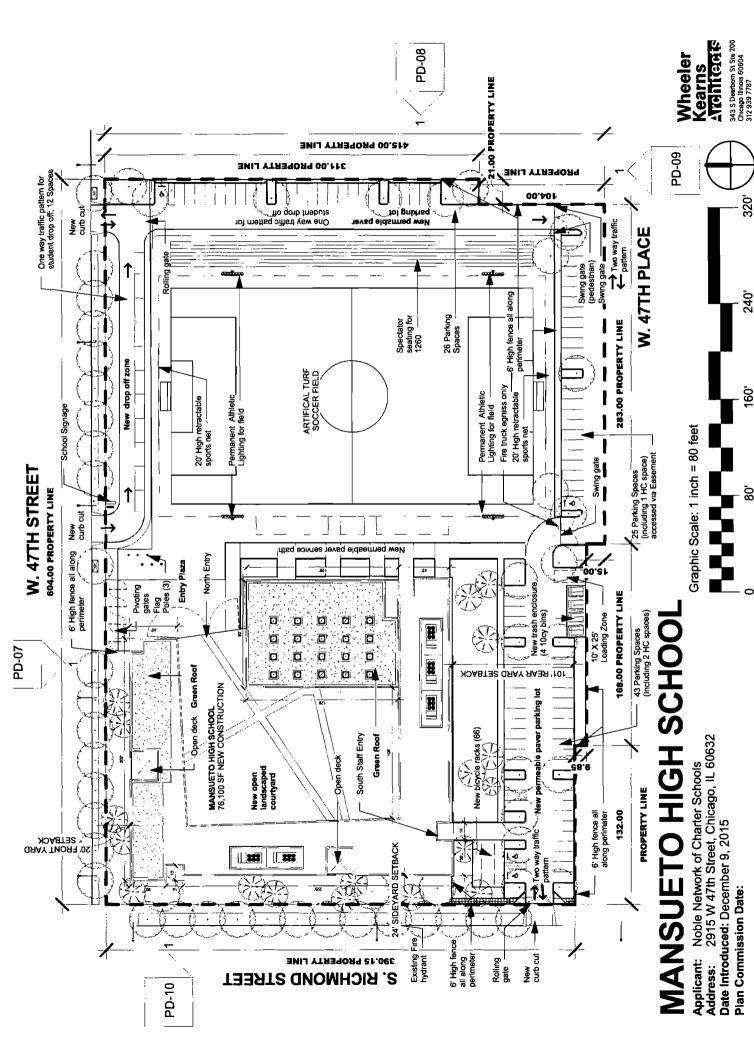
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Applicant: Noble Network of Charter Schools Address: 2915 W 47th Street, Chicago, IL 60632 Date Introduced: December 9, 2015 Plan Commission Date:

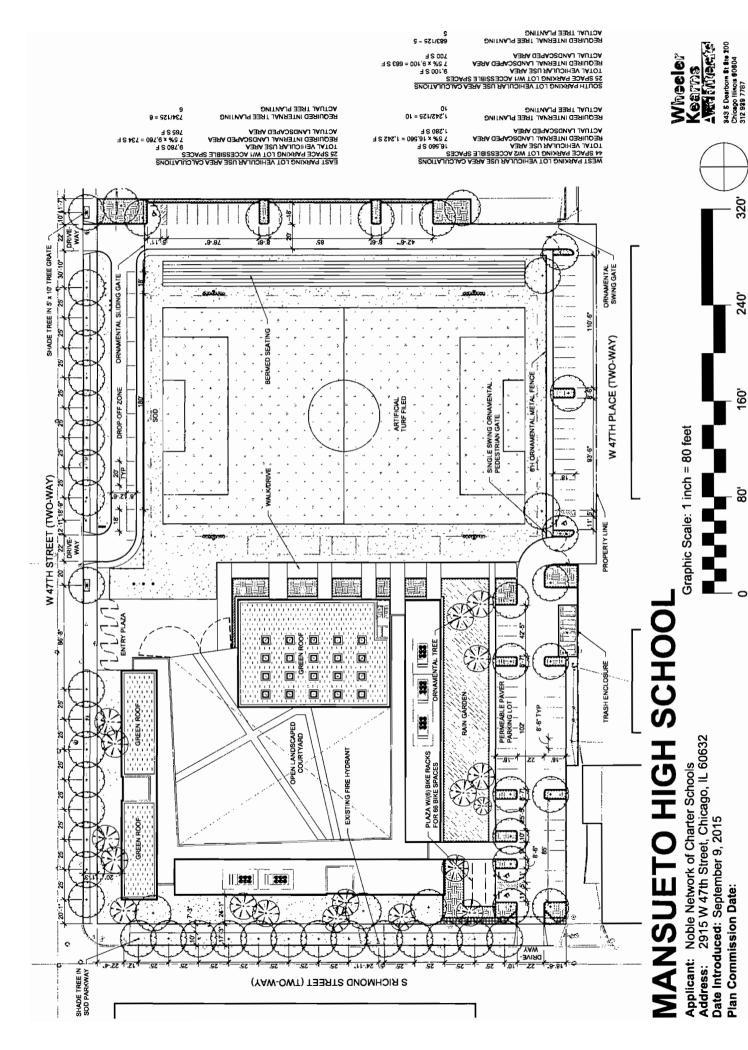
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Boundary and Property Line Map PD-03



PD-04 Site Plan

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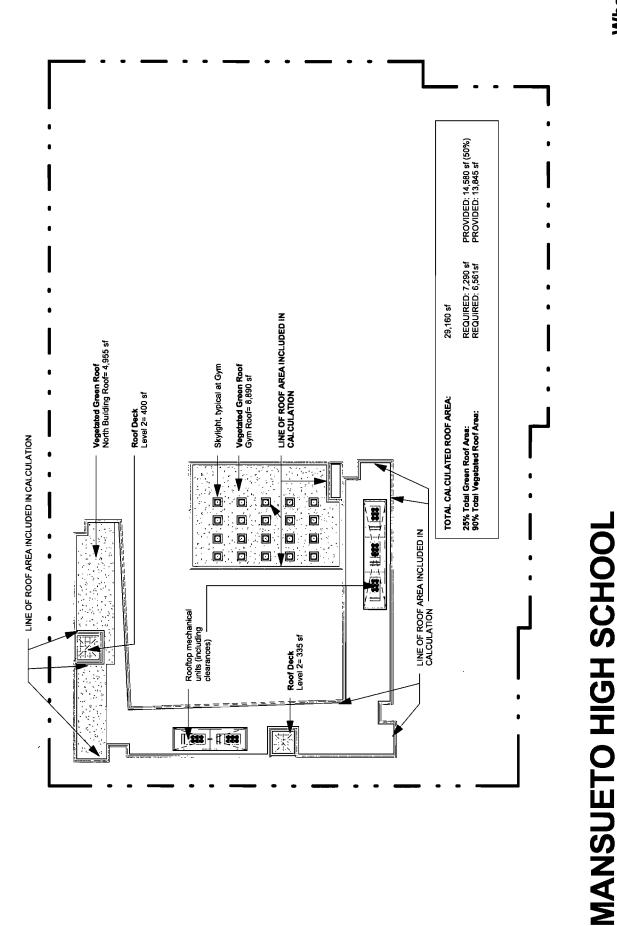


Landscape Plan

320'

240'

160'



### 240 160 Graphic Scale: 1 inch = 80 feet

343 S Dearborn St Ste 200 Chicago Illinois 60604 312 939 7787

320'

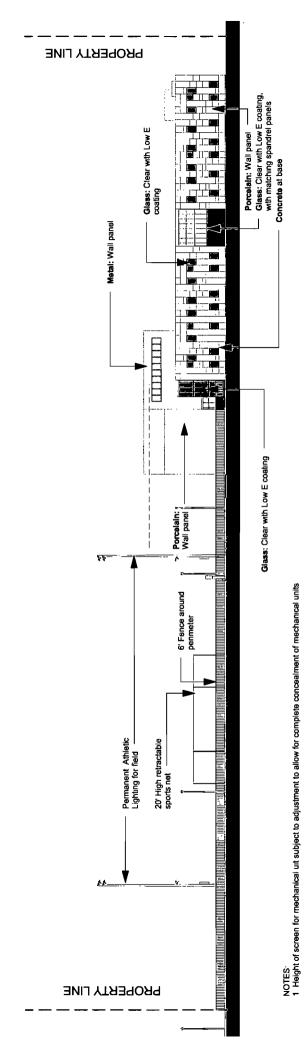
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Applicant: Noble Network of Charter Schools Address: 2915 W 47th Street, Chicago, IL 60632 Date Introduced: December 9, 2015

Plan Commission Date:

**Green Roof Plan** PD-06



Graphic Scale: 1 inch = 60 feet

MANSUETO HIGH SCHOOL

North Elevation

1" = 60'-0"

Applicant: Noble Network of Charter Schools Address: 2915 W 47th Street, Chicago, IL 60632 Date Introduced: December 9, 2015

Plan Commission Date:

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343 S Dearborn St Ste 200 Chicago Illinois 60604 312 939 7787

240'

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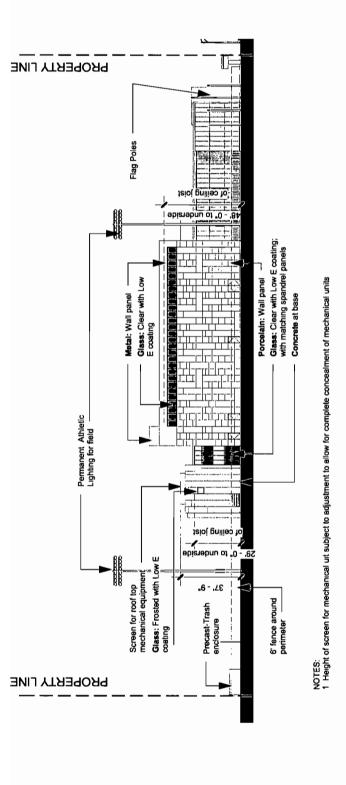
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### PD-07 North Building Elevation

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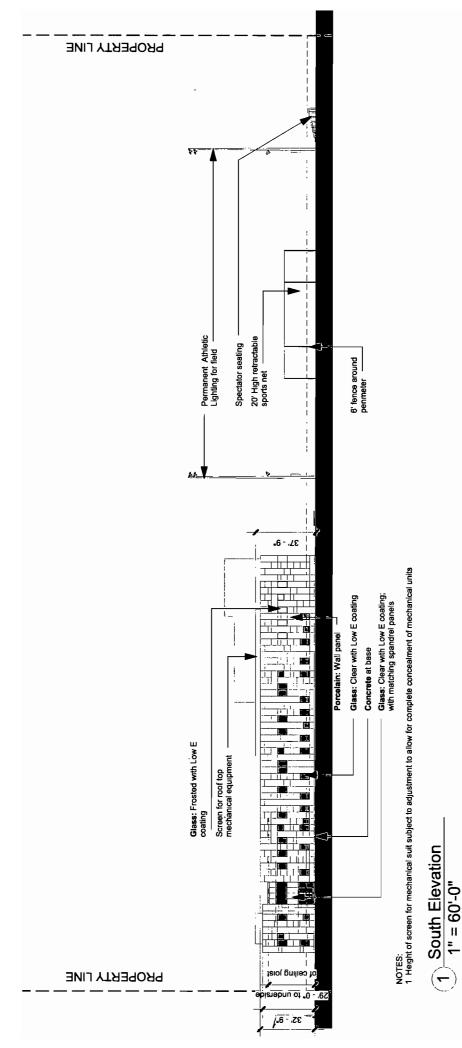
# MANSUETO HIGH SCHOOL

East Elevation 1" = 60'-0"

Applicant:Noble Network of Charter SchoolsAddress:2915 W 47th Street, Chicago, IL 60632 Date Introduced: December 9, 2015

Plan Commission Date:

East Building Elevation PD-08

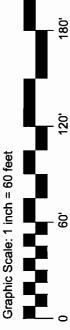


Graphic Scale: 1 inch ≃ 60 feet

MANSUETO HIGH SCHOOL

Applicant: Noble Network of Charter Schools Address: 2915 W 47th Street, Chicago, IL 60632 Date Introduced: December 9, 2015

Plan Commission Date:



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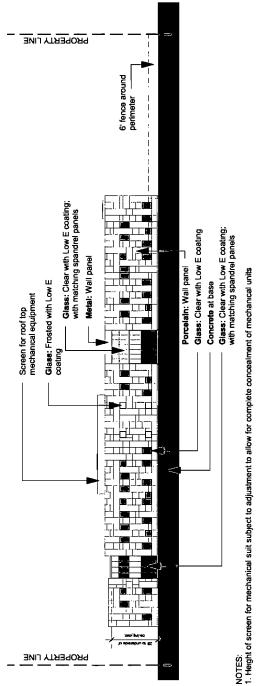
### PD-09 South Building Elevation



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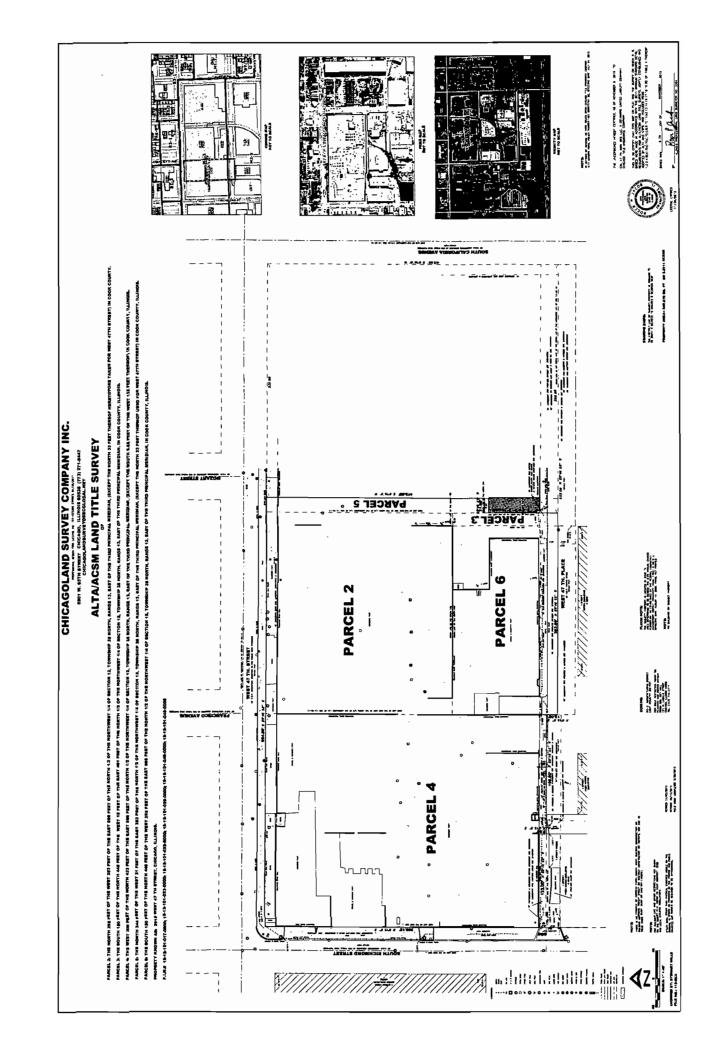
West Elevation 1" = 60'-0"

# **MANSUETO HIGH SCHOOL**

Applicant: Noble Network of Charter Schools Address: 2915 W 47th Street, Chicago, IL 60632 Date Introduced: December 9, 2015

Plan Commission Date:

PD-10 West Building Elevation



### Written Notice, Form of Affidavit: Section 17-13-0107

December 7, 2015

Honorable Daniel Solis Chairman, Committee on Zoning 121 North LaSalle Street Room 304 - City Hall Chicago, Illinois 60602

To Whom It May Concern:

The undersigned, Sylvia C. Michas, being first duly sworn on oath, deposes and says the following:

That the undersigned certifies that she has complied with the requirements of Section 17-13-0107 of the Zoning Code of the City of Chicago, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and on the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of the public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said written notice was sent by USPS First Class Mail and postmarked **December 7, 2015.** 

The undersigned certifies that the notice contained the address of the property sought to be rezoned as 2833-2927 West 47th Street;4701-33 South Richmond Street Chicago, IL; a statement of intended use of said property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file an application for a change in zoning on approximately December 7, 2015.

The applicant has made a bonafide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Zoning Code of the City of Chicago; that the applicant certifies that the accompanying list of names and addresses of surrounding property owners within 250 feet is a complete list containing the names and last known addresses of the owners of the property required to be served.

Chico & Nunes P.C.

By: Sylvia C. Michas Attorney

Subscribed and Sworn to before me this 7th day of Premper, 2015

OFFICIAL SEAL
ROBERTHA C MEDINA
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:07/30/18

### **NOTICE**

### Via USPS First Class Mail

December 7, 2015

Dear Sir or Madam:

In accordance with Amendment to the Zoning Code enacted by the City Council, Section 17-13-0107-A, please be informed that on or about **December 7**, 2015, I, the undersigned, filed an application for a change in zoning from a M2-2 Light Industry District to a C3-3 Commercial, Manufacturing and Employment District then to an Institutional Planned Development on behalf of the Applicant/Contract Purchaser, Noble Network of Charter Schools and the Owner, CF III California-47<sup>th</sup> LLC, for the property located at 2833-2927 West 47<sup>th</sup> Street/4701-4733 South Richmond Street Chicago, IL.

The proposed Institutional Planned Development amendment is required in order to permit the construction of a new 2- story charter high school and outdoor soccer field. The proposed Institutional Planned Development will also contain ninety-four (94) on-site exterior parking spaces for use by staff and visitors. The height of the proposed building is 50 feet.

Noble Network of Charter Schools is located at 1 North State Street Floor 7-L Chicago, IL

CF III California-47th LLC is located at 2500 South Highland Avenue #103 Lombard, IL

I am the duly authorized attorney for the Applicant. My address is 333 West Wacker Drive, Suite 1420, Chicago. IL 60606. My telephone number is (312) 884-5067.

PLEASE NOTE THAT THE APPLICATION IS NOT SEEKING TO PURCHASE OR REZONE YOUR PROPERTY. THE APPLICANT IS REQUIRED BY LAW TO SEND YOU THIS NOTICE BECAUSE YOU OWN PROPERTY LOCATED WITHIN 250 FEET OF THE SUBJECT PROPERTY.

Very truly yours,

CHICO AND NUNES P.C.

Svivia C. Michas



1 North State Street Floor 7-L Chicago, IL 60602

ph 312.521.5287 fax 312.348.1899

December 2, 2015

Chairman, Committee on Zoning Room 304 – City Hall Chicago, Illinois 60602

### **Committee Members:**

I, Michael Madden, Chief Operating Officer of Noble Network of Charter Schools, understand that Sylvia C. Michas of Chico & Nunes, PC has filed a sworn affidavit identifying Noble Network of Charter Schools as the Applicant/Contract Purchaser of the property located at 2833-2927 West 47<sup>th</sup> Street/4701-33 South Richmond Street Chicago, IL who is seeking an amendment of the zoning for the property located at 2833-2927 West 47<sup>th</sup> Street/4701-33 South Richmond Street Chicago, IL to be changed from the existing M2-2 Light Industry District to a B3-1 Community Shopping District then to an Institutional Planned Development.

I authorize Sylvia C. Michas and the law firm of Chico & Nunes, PC to file the Institutional Planned Development application.

I, Michael Madden, being first duly sworn on oath, depose and say that Noble Network of Charter Schools holds interest in 2833-2927 West 47<sup>th</sup> Street/4701-33 South Richmond Street Chicago, IL on behalf itself and no other person, association, or member.

Michael Madden

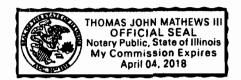
**Chief Operating Officer** 

**Noble Network of Charter Schools** 

SUBSCRIBED AND SWORN to before me this

**a** day of **0ec** 2015

**NOTARY PUBLIC** 



Chairman, Committee on Zoning Room 304 – City Hall Chicago, Illinois 60602

### Committee Members:

I, Stewart W. Mills, Manager of CF III California-47<sup>th</sup> LLC, understand that Sylvia C. Michas of Chico & Nunes, PC has filed a sworn affidavit identifying CF III California-47<sup>th</sup> LLC as the Owner of the property located at 2833-2917 West 47<sup>th</sup> Street/4701-4733 South Richmond Street Chicago, IL and Noble Network of Schools as the Applicant/Contract Purchaser who is seeking an amendment of the zoning for the property located at 2833-2947 West 47<sup>th</sup> Street/4701-33 South Richmond Street Chicago, IL to be changed from the existing M2-2 Light Industry District to a B3-1 Community Shopping District then to an Institutional Planned Development.

I authorize Sylvia C. Michas and the law firm of Chico & Nunes, PC to file the Institutional Planned Development application.

I, Stewart W. Mills, Manager of CF III California-47<sup>th</sup> LLC, being first duly sworn on oath, depose and say that CF III California-47<sup>th</sup> LLC holds interest in 2833-2917 West 47<sup>th</sup> Street/4701-4733 South Richmond Street Chicago, IL on behalf itself and no other person, association, or member.

Stewart W. Mills

Manager

CF III California-47<sup>th</sup> LLC

SUBSCRIBED AND SWORN to before me this

244 day of 1612015

NOTARY PUBLIC

OFFICIAL SEAL
TIM KNUDSON
Notary Public - State of Illinois
My Commission Expires Apr 13, 2017

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### # 18607 INTRO DATE: DEC.9, 2015

### CITY OF CHICAGO

### APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

If the Applicant/Owner of the property has obtained a lawyer as their representative for rezoning, please provide the following information:  ATTORNEY Chico & Nunes P.C. c/o Sylvia Michas	TATE IL ZIP CODE 60602 PHONE 312-884-50.  MAIL smichas@chiconunes.comCONTACT PERSON Sylvia C. Michas. Esq. Attorney for Applicant the applicant the owner of the property? YES NO X The applicant is not the owner of the property, please provide the following informate garding the owner and attach written authorization from the owner allowing the applicated.  WENER CF III California-47th LLC  DDRESS 2500 South Highland Avenue #103 CITY Lombard  TATE II. ZIP CODE 60148 PHONE (630)620-8  MAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  The Applicant/Owner of the property has obtained a lawyer as their representative for ezoning, please provide the following information:  TTORNEY Chico & Nunes P.C. c/o Sylvia Michas  DDRESS 333 West Wacker Drive, Suite 1420	Ward Number th	nat property is locat	ted in: 14		
STATE IL ZIP CODE 60602 PHONE 312-884-506  EMAIL smichas@chiconunes.comCONTACT PERSON Sylvia C. Michas. Esq. Attorney for Applicant Is the applicant the owner of the property? YES NO X  If the applicant is not the owner of the property, please provide the following informative regarding the owner and attach written authorization from the owner allowing the appliproceed.  OWNER CF III California-47th LLC  ADDRESS 2500 South Highland Avenue #103 CITY Lombard  STATE IL ZIP CODE 60148 PHONE (630)620-86  EMAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  If the Applicant/Owner of the property has obtained a lawyer as their representative for rezoning, please provide the following information:  ATTORNEY Chico & Nunes P.C. c/o Sylvia Michas	TATE IL ZIP CODE 60602 PHONE 312-884-500  MAIL smichas@chiconunes.comCONTACT PERSON Sylvia C. Michas. Esq.  Attorney for Applicant the applicant the owner of the property? YES NO X The applicant is not the owner of the property. please provide the following informat garding the owner and attach written authorization from the owner allowing the applicaced.  WWNER CF III California-47th LLC  DDRESS 2500 South Highland Avenue #103 CITY Lombard  TATE II. ZIP CODE 60148 PHONE (630)620-8  MAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  The Applicant/Owner of the property has obtained a lawyer as their representative for ezoning, please provide the following information:  TTORNEY Chico & Nunes P.C. c/o Sylvia Michas  DDRESS 333 West Wacker Drive, Suite 1420  ITY Chicago STATE IL ZIP CODE 60606	APPLICANT_	Noble Network	k of Charter Sc	hools	
EMAIL smichas@chiconunes.com CONTACT PERSON Sylvia C. Michas. Esq.  Attorney for Applicant Is the applicant the owner of the property? YES NO X  If the applicant is not the owner of the property, please provide the following informative regarding the owner and attach written authorization from the owner allowing the appliproceed.  OWNER CF III California-47th LLC  ADDRESS 2500 South Highland Avenue #103 CITY Lombard  STATE II. ZIP CODE 60148 PHONE (630)620-86  EMAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  If the Applicant/Owner of the property has obtained a lawyer as their representative for rezoning, please provide the following information:  ATTORNEY Chico & Nunes P.C. c/o Sylvia Michas	MAIL smichas@chiconunes.com_CONTACT PERSON  Sylvia C. Michas, Esq.  Attorney for Applicant is the applicant the owner of the property? YES  NO X The applicant is not the owner of the property, please provide the following informate garding the owner and attach written authorization from the owner allowing the applicaced.  WWNER	ADDRESS	1 North State S	treet, Floor 7-L	CITY	Chicago
EMAIL smichas@chiconunes.com CONTACT PERSON Sylvia C. Michas. Esq.  Attorney for Applicant Is the applicant the owner of the property? YES NO X  If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the appliproceed.  OWNER CF III California-47th LLC  ADDRESS 2500 South Highland Avenue #103 CITY Lombard  STATE II. ZIP CODE 60148 PHONE (630)620-86  EMAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  If the Applicant/Owner of the property has obtained a lawyer as their representative for rezoning, please provide the following information:  ATTORNEY Chico & Nunes P.C. c/o Sylvia Michas	MAIL smichas@chiconunes.com_CONTACT PERSON  Sylvia C. Michas, Esq.  Attorney for Applicant is the applicant the owner of the property? YES  NO X The applicant is not the owner of the property, please provide the following informate garding the owner and attach written authorization from the owner allowing the applicaced.  WWNER	STATEIL	ZIP CODE_	60602	PHONE_	312-884-5067
Is the applicant the owner of the property? YESNOX  If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the appliproceed.  OWNERCF III California-47th LLC  ADDRESS2500 South Highland Avenue #103CITYLombard  STATEIIZIP CODE60148PHONE(630)620-86  EMAILStewart@avgerisinc.comCONTACT PERSONStewart Mills  If the Applicant/Owner of the property has obtained a lawyer as their representative for rezoning, please provide the following information:  ATTORNEYChico & Nunes P.C. c/o Sylvia Michas	the applicant the owner of the property? YESNOX The applicant is not the owner of the property, please provide the following informate garding the owner and attach written authorization from the owner allowing the application of the owner allowing the application from the owner allowing the application from the owner allowing the application of the property from the owner allowing the application of the property from the owner allowing the application of the property from the following information:  The Applicant/Owner of the property has obtained a lawyer as their representative for examing, please provide the following information:  The Applicant/Owner of the property has obtained a lawyer as their representative for examing, please provide the following information:  The Applicant/Owner of the property has obtained a lawyer as their representative for examing, please provide the following information:  The Applicant/Owner of the property has obtained a lawyer as their representative for examing, please provide the following information:  The Applicant/Owner of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the applicant of the property has obtained a lawyer as their representative for examing the					
If the applicant is not the owner of the property, please provide the following informative regarding the owner and attach written authorization from the owner allowing the appliproceed.  OWNER	The applicant is not the owner of the property, please provide the following informate garding the owner and attach written authorization from the owner allowing the applicated.  WWNER				Attorney	for Applicant
If the applicant is not the owner of the property, please provide the following informative and attach written authorization from the owner allowing the appliproceed.  OWNER	The applicant is not the owner of the property, please provide the following informate garding the owner and attach written authorization from the owner allowing the applicated.  WWNER	s the applicant t	the owner of the pro	operty? YES	N	OX
STATE II. ZIP CODE 60148 PHONE (630)620-86  EMAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  f the Applicant/Owner of the property has obtained a lawyer as their representative for ezoning, please provide the following information:  ATTORNEY Chico & Nunes P.C. c/o Sylvia Michas	TATE II ZIP CODE 60148 PHONE (630)620-8  MAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  The Applicant/Owner of the property has obtained a lawyer as their representative for ezoning, please provide the following information:  TTORNEY Chico & Nunes P.C. c/o Sylvia Michas  DDRESS 333 West Wacker Drive, Suite 1420  ITY Chicago STATE IL ZIP CODE 60606					
EMAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  If the Applicant/Owner of the property has obtained a lawyer as their representative for rezoning, please provide the following information:  ATTORNEY Chico & Nunes P.C. c/o Sylvia Michas	MAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  The Applicant/Owner of the property has obtained a lawyer as their representative for ezoning, please provide the following information:  TTORNEY Chico & Nunes P.C. c/o Sylvia Michas  DDRESS 333 West Wacker Drive, Suite 1420  ITY Chicago STATE IL ZIP CODE 60606	OWNER	CF III California-47	7th LLC		
EMAIL Stewart@avgerisinc.com CONTACT PERSON Stewart Mills  If the Applicant/Owner of the property has obtained a lawyer as their representative for rezoning, please provide the following information:  ATTORNEY Chico & Nunes P.C. c/o Sylvia Michas  ADDRESS 333 West Wacker Drive, Suite 1420	The Applicant/Owner of the property has obtained a lawyer as their representative for ezoning, please provide the following information:  TTORNEY Chico & Nunes P.C. c/o Sylvia Michas  DDRESS 333 West Wacker Drive, Suite 1420  ITY Chicago STATE IL ZIP CODE 60606		<del></del>		CITYL	ombard
rezoning, please provide the following information:  ATTORNEY Chico & Nunes P.C. c/o Sylvia Michas	TTORNEY Chico & Nunes P.C. c/o Sylvia Michas  DDRESS 333 West Wacker Drive, Suite 1420  ITY Chicago STATE IL ZIP CODE 60606	ADDRESS	2500 South Highlar	nd Avenue #103		
	DDRESS 333 West Wacker Drive, Suite 1420  ITY Chicago STATE IL ZIP CODE 60606	ADDRESS	2500 South Highlar	nd Avenue #103 60148	PHONE_	(630)620-868
ADDRESS 333 West Wacker Drive, Suite 1420	ITY Chicago STATE IL ZIP CODE 60606	ADDRESS STATEIL_ EMAILStewa	ZIP CODE_ art@avgerisinc.com	nd Avenue #103 60148  CONTACT PERSector has obtained a light contract of the c	PHONE_ SON_ Stewart	(630)620-868 Mills
		ADDRESS STATEIL EMAILSteward If the Applicant/ rezoning, please	ZIP CODE_ art@avgerisinc.com Owner of the proper provide the follow	nd Avenue #103 60148 CONTACT PERSecty has obtained a ling information:	PHONE_SON_Stewart	(630)620-868 Mills
CITY Chicago STATE IL ZIP CODE 60606	(212) (62,100)	ADDRESS STATEII EMAILSteward If the Applicant/ rezoning, please ATTORNEY	ZIP CODE  THE ATTENTION OF THE PROPERTY OF T	nd Avenue #103 60148  CONTACT PERSerty has obtained a ling information: C. c/o Sylvia Michas	PHONE_SON_Stewart	(630)620-868 Mills

	CF III California-47th St LLC and J.C.A Gift Trust	
7.	On what date did the owner acquire legal title to the subject property? 2007	
3.	Has the present owner previously rezoned this property? If yes, when?  No .	
9.		nercial, Manufacturing and it District then to an I <u>Planned Develop</u> ment
10.	Lot size in square feet (or dimensions) 242,676 sq ft. (5.57 acres)	
11.	Current Use of the property The subject property is currently vacant.	
12.	Reason for rezoning the property The reason for rezoning the property is to reder property with a new charter high school, soccer field and on-siteparking for ninety-four	
13.	Describe the proposed use of the property after the rezoning. Indicate the number units; number of parking spaces; approximate square footage of any commercial sheight of the proposed building. (BE SPECIFIC)  The zoning amendment is required in order to permit the construction of a new 2 story	pace; and
	school, soccer field and on-site parking for ninety-four (94) vehicles. The height of the building is 50'.	proposed
14.	The Affordable Requrements Ordinance (ARO) requires on-site affordable housing a financial contribution for residential housing projects with ten or more units that change which, among other triggers, increases the allowable floor area, or, for exist Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the	receive a zoning sting Planned
	YESNOX	•

COUNTY OF COOK
STATE OF ILLINOIS
I, Michael Madden, Chief Operating Officer of Noble Network of Charter Schools, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.
Michael A-Madden
Chief Operating Officer
Noble Network of Charter Schools
Subscribed and Sworn to before me this
2 day of Perember , 2015.
THOMAS JOHN MATHEWS III OFFICIAL SEAL Notary Public, State of Illinois
My Commission Expires April 04, 2018
Notary Public
5 . Off . H . Oak
For Office Use Only
Date of Introduction:
File Number:
The Namber.
Ward:

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Noble Network of Charter Schools
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [x] the Applicant/Contract Purchaser  OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:  1 North State Street Floor 7-L  Chicago, IL 60602
C. Telephone: (312) 884-5067 Fax: (312) 463-1001 Email: smichas@chiconunes.com
D. Name of contact person: Sylvia C. Michas, Attorney for Applicant
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Institutional Planned Development application for the property located at 2833-2927 West 47th Street/4701-33 Sout Richmond Street Chicago, IL.  G. Which City agency or department is requesting this EDS? Department of Planning and Development;  Department of Law  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	arty:		
[] Person	[] Limited liability company		
[ ] Publicly registered business corporation	[] Limited liability partnership		
[ ] Privately held business corporation	[] Joint venture		
[ ] Sole proprietorship	[X] Not-for-profit corporation		
[ ] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?		
[] Limited partnership	[ <b>X</b> Yes [ ] No		
[ ] Trust	[] Other (please specify)		
-	State of Illinois: Has the organization registered to do		
business in the State of Illinois as a foreign en	itity?		
[] Yes [] No	[ ] N/A		
B. IF THE DISCLOSING PARTY IS A LEG	SAL ENTITY:		
	all executive officers and all directors of the entity.		
_	ist below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below		

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Mike Madden	Chief Operating Officer
Michael Milkie	Chief Executive Officer
Joseph Drago	Chief Financial Officer
See Exhibit A for list of Board of Directors	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Exhibit A

### FY16 Noble Board of Directors

- 1. John Butler
- 2. Cecil Curtwright
- 3. Guy Comer
- 4. John Harris
- 5. Rebeca Nieves Huffman
- 6. Harvey Medvin
- 7. Michael Milkie (CEO & Superintendent)
- 8. Anne Mueller
- 9. Allan Muchin (Chairman)
- 10. Martin Nesbitt
- 11. Nancy Golder Northrip
- 12. Troy Ratliff
- 13. Jonathan Reinsdorf
- 14. William Rowe
- 15. Jean Sheridan
- 16. Barbara Speer
- 17. Steve Sullivan
- 18. Bryan Traubert
- 19. Menno Vermeulen
- 20. David Weinberg
- 21. Jennifer Wilson

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
None		
SECTION III B	USINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	•	ip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[] Yes	oN [ĸ]	
If yes, please identi relationship(s):	fy below the name(s) of such City	elected official(s) and describe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship (subcontractor lobbyist, etc.)	* * * * * * * * * * * * * * * * * * * *	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
Chico & Nunes PC	333 West Wack	cer #1420	Attorney	\$ 175,000 (est)
	Chicago, IL	60606		
Wheeler Kearns Archite	cts 343 S. Dea	rborn St. Chicag	o, IL 60404 Architec	et \$ 300,000 (est)
(Add sheets if necessary	)			
[] Check here if the Disc	closing Party h	as not retained,	nor expects to retain	a, any such persons or entities
SECTION V CERTI	FICATIONS			
A. COURT-ORDERED	CHILD SUPP	ORT COMPLI	ANCE	
-		•		s entities that contract with oughout the contract's term.
Has any person who dire arrearage on any child su	· ·	•		sing Party been declared in nt jurisdiction?
[]Yes [ <b>潔</b> ]		o person directl sclosing Party.	y or indirectly owns	10% or more of the
If "Yes," has the person is the person in complian			agreement for paymo	ent of all support owed and
[]Yes []N	No.			
B. FURTHER CERTIFI	CATIONS			

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for **debarment**, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further		
Certifications), the D	Disclosing Party must explain below:	
NA		
		_

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").  NA
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NA NA
·
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [x] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [X] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes [] No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

	ç		

connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

comply with these disclosure requirements may make any contract entered into with the City in

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  [] Yes  [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes [] No
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  [] Yes  [] No
If you checked "No" to question 1. or 2. above, please provide an explanation:

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Noble Network of Charter School	5
(Print or type name of Disclosing Party)	
By: Kundham (Sign here)	<del></del>
Michael Madden	_
(Print or type name of person signing)	
Chief Operating Officer (Print or type title of person signing)	_
Signed and sworn to before me on (date)	12-2-15
	_ (state).
The H	_ Notary Public.
Commission expires:	

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is connec	cted; (3) the name and title of the	le of such person, (2) the name of the legal entity to which he elected city official or department head to whom such se nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	-	-	e Applicant or any Owner identified as a to Section 2-92-416 of the Municipal
	[ ] Yes	[ x] No	
2.		is a building code scofflaw o	y exchange, is any officer or director of or problem landlord pursuant to Section
	[ ]Yes	[ ] No	[ x] Not Applicable
3.	identified as a building co	•	ame of the person or legal entity dlord and the address of the building or y.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
CF III California-47th LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [X] the Applicant Owner OR  2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR  3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of a party legal control.
which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 2500 South Highland Avenue Suite 103  Lombard, IL 60148
C. Telephone: 630-620-8684 Fax: Email: stewart@avgerisinc.com
D. Name of contact person: Stewart W. Mills
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Institutional Planned Development for the property located at 2833-2927 West 47th Street/4701-33 South Richmond
Street G. Which City agency or department is requesting this EDS? <u>Department of Planning and Development;</u>
Department of Law; If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

<ol> <li>Indicate the nature of the Disclosing Pa         [ ] Person</li>         [ ] Publicly registered business corporation         [ ] Privately held business corporation         [ ] Sole proprietorship         [ ] General partnership         [ ] Limited partnership         [ ] Trust </ol>	Try:  [X] Limited liability company  [] Limited liability partnership  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 50!(c)(3))?  [] Yes  [] No  [] Other (please specify)
2. For legal entities, the state (or foreign c	ountry) of incorporation or organization, if applicable:
Delaware	· 
business in the State of Illinois as a foreign en	
[] Yes [] No	$[^{\frac{1}{N}}]_{N/N}$
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also list there are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	all executive officers and all directors of the entity.  ist below all members, if any, which are legal entities. If  s." For trusts, estates or other similar entities, list below  I partnership, limited liability company, limited liability  ne and title of each general partner, managing member,  trols the day-to-day management of the Disclosing Party,  bmit an EDS on its own behalf.
Name James P. Avgeris	Title Manager
Stewart W. Mills	Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage In	terest in the	
		Disclosing Pa	rty	
J.C.A Gift Trust	2500 S. Highland Avenue Ste 1	füstLombard, IL 60148	93.25%	
Timothy Knudson	2500 S. Highland Avenue Ste 1	03 Lombard, IL 60148	.25%	
Stewart W. Mills	2500 S. Highland Avenue Ste	103 Lombard, IL 6 <u>0148</u>	5%	
Bradley P. Gdowski	2500 S. Highland Avenue Ste	103 Lombard, IL 60148	1.50%	

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	[XNo		
If yes, please iden relationship(s):	tify below the name(s) of	f such City elected official(s) and describe such	

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary	)		,
[X Check here if the Disc	closing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	PORT COMPLIANCE	
-		2-415, substantial owners of busines th their child support obligations thr	
	= -	tly owns 10% or more of the Disclo ons by any Illinois court of compete	
[]Yes [ <u>*</u> ]		No person directly or indirectly owns isclosing Party.	s 10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for paymgreement?	nent of all support owed and
[]Yes []?	Ν̈́ο		
B. FURTHER CERTIF	ICATIONS		
consult for defined term	s (e.g., "doing	apter 1-23, Article I ("Article I")(who business") and legal requirements),	if the Disclosing Party

submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property:
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement. or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	closing Party i		,		statements 1	n this Part B	(Further
Certifications), t	the Disclosing	Party must ex	aplain below	:			
NA							

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NA

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

NA

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [x is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [x] No []Yes NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes [ ] No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Nature of Interest Name Business Address

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.
_x_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by

comply with these disclosure requirements may make any contract entered into with the City in

applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

member of Congress, in connection with the award of any federally funded contract, making any

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REC	JARDING EQUAL EMPLOYMENT OPPORTUNITY
	anded, federal regulations require the Applicant and all proposed be following information with their bids or in writing at the outset of
Is the Disclosing Party the	Applicant?
[ ] Yes	[] No
If "Yes," answer the three	questions below:
<ol> <li>Have you developed federal regulations? (See 4)</li> <li>Yes</li> </ol>	d and do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.) []No
-	the Joint Reporting Committee, the Director of the Office of Federal rams, or the Equal Employment Opportunity Commission all reports due requirements?
3. Have you participat equal opportunity clause? [] Yes	ed in any previous contracts or subcontracts subject to the
If you checked "No" to qu	estion 1. or 2. above, please provide an explanation:

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

CF III California-47th LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	
By: (Sign here)	
Stewart W. Mills	
(Print or type name of person signing)	
Manager	
(Print or type title of person signing)	
Signed and sworn to before me on (date)	/24/2015
at Dupage County, Thinois	(state).
1-14	Notary Public.
Commission expires: 4/13/2017	_•
/ /	Page 12 of 13

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership: all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[¾No		:
such person is connec	tify below (1) the name and title cted; (3) the name and title of the relationship, and (4) the precise	ne elected city official or de	epartment head to whom such

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	building code scottiaw or problem Code?	1 1	•
	[ ] Yes	ON [K]	
2.	If the Applicant is a legal entity pub the Applicant identified as a buildir 2-92-416 of the Municipal Code?		
	[ ] Yes	[ ] No	[X] Not Applicable
3.	If yes to (1) or (2) above, please ide identified as a building code scoffla buildings to which the pertinent code	aw or problem landlord	

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ 1f applicable:
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant
OR Owner
2. [ x a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: <u>CF III California-47th LLC</u> OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 2500 South Highland Avenue Suite 103
Lombard, II 60148
C. Telephone: 630-620-8684 Fax: Email: stewart@avgerisinc.com
D. Name of contact person: Stewart W. Mills
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Institutional Planned Development for the property located at 2833-2927 West 47th Street/4701-33 South Richmond Street
G. Which City agency or department is requesting this EDS? <u>Department of Planning and Development</u> ;
Department of Law; If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa  [] Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [X Trust  2. For legal entities, the state (or foreign c	[] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)  ountry) of incorporation or organization, if applicable:
<del>_</del>	tate of Illinois: Has the organization registered to do
business in the State of Illinois as a foreign en	hty?
[] Yes [] No	A/N [k]
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also li there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	all executive officers and all directors of the entity. Is the below all members, if any, which are legal entities. If it is." For trusts, estates or other similar entities, list below a partnership, limited liability company, limited liability he and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. Is bounded by the bound of the Disclosing Party.
Name Bradley P. Gdowski	Title Co-Trustee
Stewart W. Mills	Co-Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Rusiness Address

Name

Percentage Interest in the

: valife	Dasiness radiess	1 0.00m age interest in the
		Disclosing Party
James C. Avgeris	2500 S. Highland Avenue Ste 1	03 Lombard, IL 60148 100%
(Sole Beneficiary)		
SECTION III BUS	SINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
		ip," as defined in Chapter 2-156 of the Municipa before the date this EDS is signed?
[ ] Yes	[¾No	
If yes, please identify relationship(s):	below the name(s) of such City	elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
	-		
(Add sheets if necessary	·')		
[X] Check here if the Dis	closing Party h	as not retained, nor expects to retain	n, any such persons or entities
SECTION V CERT	IFICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
		-415, substantial owners of busines th their child support obligations thr	
- 1	•	tly owns 10% or more of the Disclo	
[] Yes [X		o person directly or indirectly owns	s 10% or more of the
If "Yes," has the person is the person in complia		court-approved agreement for paymgreement?	ent of all support owed and
[]Yes []	No		
B. FURTHER CERTIF	ICATIONS		
		apter 1-23, Article I ("Article I")(w	

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery, falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

Certifications), the	Disclosing Party must e	explain below:	
NA			
	<u>.</u>		

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

ΝA

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

NA

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is  $[\chi]$  is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

		appears on the lines above, it will be fied to the above statements.
D. CERTIFICATION RE	GARDING INTEREST IN	CITY BUSINESS
Any words or terms that a meanings when used in th		of the Municipal Code have the same
		Aunicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you checked "Item D.I., proceed to Part	· •	to Items D.2. and D.3. If you checked "No" to
elected official or employed any other person or entity for taxes or assessments, of "City Property Sale"). Co	ee shall have a financial int in the purchase of any prop or (iii) is sold by virtue of le	ve bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, seen pursuant to the City's eminent domain power uning of this Part D.
Does the Matter involve a	City Property Sale?	
[] Yes	[] No	
		e names and business addresses of the City ify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pa any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any

any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed

If you checked "No" t	o question 1. or 2. above, please provide an explanation:
[ ] Yes	[ ] No
3. Have you particequal opportunity clau	cipated in any previous contracts or subcontracts subject to the ase?
	with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports due iling requirements?  [] No
	loped and do you have on file affirmative action programs pursuant to applicable See 41 CFR Part 60-2.) [] No
If "Yes," answer the the	hree questions below:
[] Yes	[] No
Is the Disclosing Party	the Applicant?
subcontractors to submegotiations.	nit the following information with their bids or in writing at the outset of

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as-required-by-Chapter-1-23-and-Section-2-1-54-020-of-the-Municipal-Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

J.C.A. Gift Trust

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

By: (Sign here)	
Stewart W. Mills	
(Print or type name of person signing)	
<u>Co-Trustee</u>	
(Print or type title of person signing)	
Signed and sworn to before me on (date) 1/24/2015  at Dupage County, <u>TLLiners</u> (state).	
Notary Public.	OFFICIAL SEAL
Commission expires: $4/13/2017$ .	TIM KNUDSON  Notary Public - State of Illinois  My Commission Expires Apr 13, 2017

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[ X No			
such person is connecte	below (1) the name and tid; (3) the name and title of ationship, and (4) the preci	the elected city offic	cial or department he	•

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?			
	[ ] Yes	[ ¾ No		
2.		building code scofflaw of	y exchange, is any officer or director of or problem landlord pursuant to Section	
	[ ] Yes	[ ] No	[ X] Not Applicable	
3.		scofflaw or problem lan	ame of the person or legal entity dlord and the address of the building or y.	

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.