

City of Chicago



SO2015-8040

Office of the City Clerk

Document Tracking Sheet

Meeting Date:

11/18/2015

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 5-I at 2500-2520 W

Cortland St, 1900-1924 N Campbell Ave and 2501-2531 W

Homer St - App No. 18580

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the current C1-1 Neighborhood Commercial District and RS3 Residential Single-Unit (Detach House) District symbols and indications as shown on Map No. 5-1 in the area bounded by:

West Homer Street; North Campbell Street; West Cortland Street; a line 215.50 feet west of North Campbell Street; the public alley next north of West Cortland Street; and a line 311.96 feet west of North Campbell Street

to those of a RM4.5 Residential Two-Flat, Townhouse and Multi-Unit District.

SECTION 2. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the current RM4.5 Residential Two-Flat, Townhouse and Multi-Unit District symbols and indications as shown on Map No. 5-I in the area bounded by:

West Homer Street; North Campbell Street; West Cortland Street; a line 215.50 feet west of North Campbell Street; the public alley next north of West Cortland Street; and a line 311.96 feet west of North Campbell Street

to those of a Residential Planned Development which is hereby established in the area described above.

SECTION 3. This Ordinance shall be in force and effect from and after its passage and due publication.

Common Address: 2500 – 20 W. Cortland St./1900 – 24 N. Campbell Ave./2501 – 31 W. Homer St.

RESIDENTIAL PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Planned Development Number _____, ("Planned Development") consists of approximately 65,536 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and is owned or controlled by the Applicant, Guardian Properties, LLC.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assign or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

All work proposed in the public way must be designed and constructed in accordance with the Department of Transportation Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. *Prior to the issuance of any Part II approval, the submitted plans must be approved by the Department of Transportation.*

4. This Plan of Development consists of sixteen (16) Statements: a Bulk Regulations Table; an Existing Zoning Map; a Planned Development Boundary and Property Line Map; a Site Plan; a Landscape Plan; and Building Elevations submitted herein. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

5. The following uses are allowed/permitted in the area delineated herein as a Residential Planned Development:

Residential Dwelling Units; parking and accessory uses.

- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted Floor Area Ratio identified in the Bulk Regulations Table has been determined using a Net Site Area of 65,536 square feet and a base FAR of 1.7.
- 9. The Applicant acknowledges and agrees that the rezoning of the Property from C1-1 and RS-3 to RM-4.5, and then to this Planned Development, triggers the requirements of Section 2-45-115 of the Municipal Code (the "Affordable Requirements Ordinance" or "ARO"). Any developer of a "residential housing project" within the meaning of the ARO must: (i) set aside 10% of the housing units in the residential housing project as affordable units (the "Affordable Units"), or provide the Affordable Units in an approved off-site location; (ii) pay a fee in lieu of the development of the Affordable Units; or (iii) any combination of (i) and (ii); provided, however, in higher income areas, residential housing projects with 20 or more units must provide a minimum of 25% of the Affordable Units on-site or off-site (the "Required Units"). If the developer elects to provide Affordable Units off-site, the off-site Affordable Units must be located within a two-mile radius from the residential housing project and in the same or a different higher income area or downtown district. This Planned Development is located in a "higher income area" within the meaning of the ARO and permits the construction of 49 housing units. As a result, the number of Affordable Units is five (5) and the number of Required Units is one (1), calculated as follows: 49 housing units \times 10% = 5 Affordable Units \times 25% = 1.25, which is rounded down to one (1) Required Unit pursuant to Section 2-45-115(R). The Applicant has submitted, and the Department of Planning and Development ("DPD") has approved, a proposal to build the Required Unit and the other four (4) Affordable Units off-site, as set forth in the Affordable Housing Profile Form attached The Applicant agrees that the Affordable Units must be hereto as an Exhibit. affordable to households earning no more than 60% of the median household income for the Chicago Primary Metropolitan Statistical Area ("AMI") in the case of rental units, and 100% of the AMI in the case of owner-occupied units. If the Applicant subsequently reduces (or increases) the number of housing units in the Planned Development, the

Applicant shall update and resubmit the Affordable Housing Profile Form to DPD for review and approval, and DPD may adjust the number of required Affordable Units without amending the Planned Development. Prior to the issuance of any building permits for any residential building in the Planned Development, including, without limitation, excavation or foundation permits, the Applicant must execute and record an affordable housing agreement in accordance with Section 2-45-115(K)(2). The terms of the affordable housing agreement and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the affordable housing agreement will be recorded against the off-site location(s), or the applicable portions thereof, and will constitute a lien against such property. The Commissioner of DPD may enforce remedies for any breach of this Statement [9], including any breach of any affordable housing agreement, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the Planned Development.

- 10. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 11. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 12. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Environment and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
- 13. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 14. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.

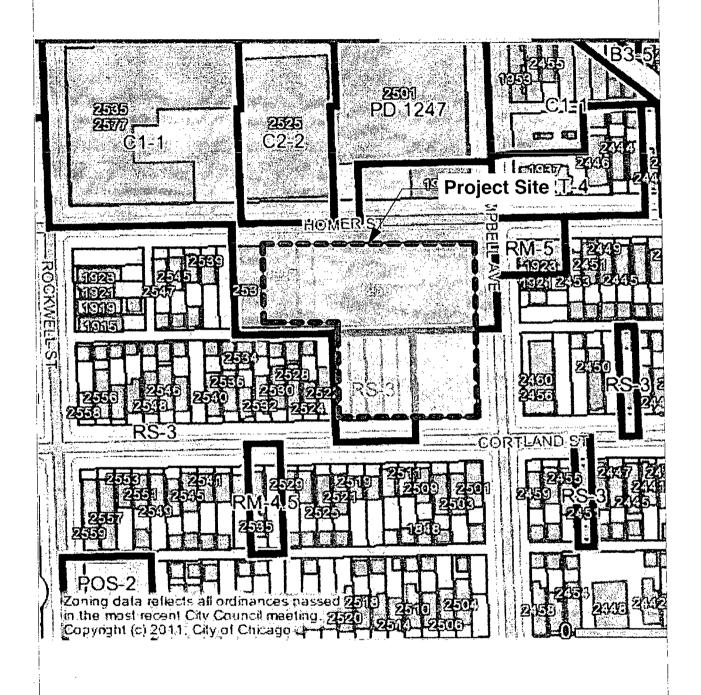
FINAL FOR PUBLICATION

- 15. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. The applicant has agreed to secure building certification to comply with the City of Chicago's Sustainable Development Policy.
- 16. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Commissioner of the Department of Planning and Development shall initiate a Zoning Map Amendment to rezone the property to a RM4.5 Residential Multi-Unit District.

RESIDENTIAL PLANNED DEVELOPMENT PLAN OF DEVELOPMENT BULK REGULATIONS AND DATA TABLE

<u>GROSS SITE AREA</u> = Net Site Area + Area remaining in the public right-of-way 97,931 sq. ft. (2.24 acres) = 65,536 sq. ft. (1.50 acres) + 32,395 sq. ft. (0.74 acres)<u>FAR</u> 1.7 **SETBACKS FROM PROPERTY LINE:** In conformance with the Site Plan MAXIMUM PERCENTAGE OF SITE COVERAGE/COMMON OPEN SPACE In conformance with the Site Plan **MAXIMUM NUMBER OF DWELLING UNITS:** 49 MINIMUM NUMBER OF OFF-STREET PARKING: Two per dwelling unit MINIMUM NUMBER OF OFF-STREET LOADING None **BICYCLY PARKING:** One per unit **MAXIMUM BUILDING HEIGHT**

44'





pappageorgehaymes partners architect

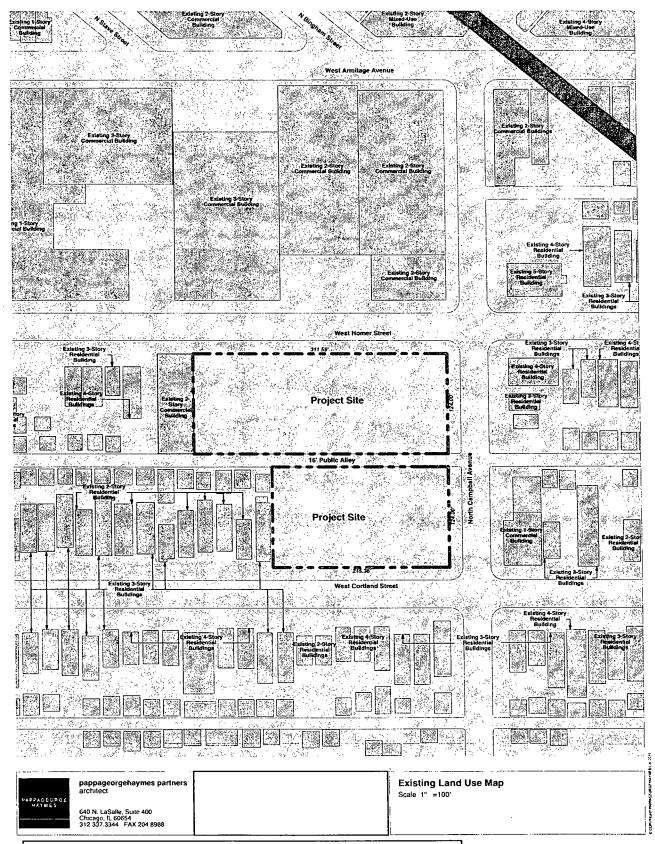
640 N. LaSalle, Suite 400 Chicago, IL 60654 312.337.3344 FAX 204 8988 Existing Zoning Map Scale NTS

Applicant: Guardian Properties, LLC

Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave./2501 - 31 W. Homer St.

Intro Date: November 18, 2015

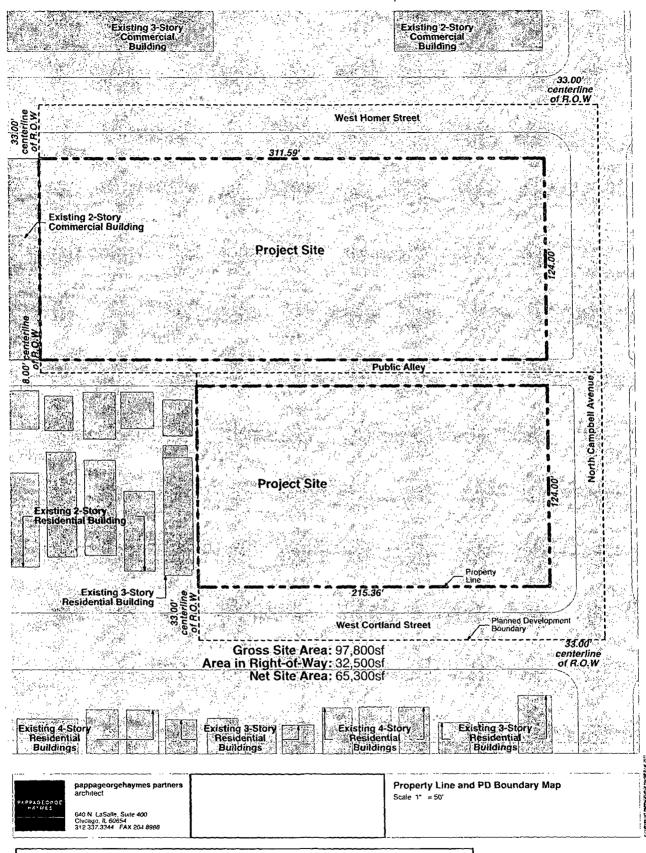
FINAL FOR PUBLICATION



Applicant: Guardian Properties, LLC

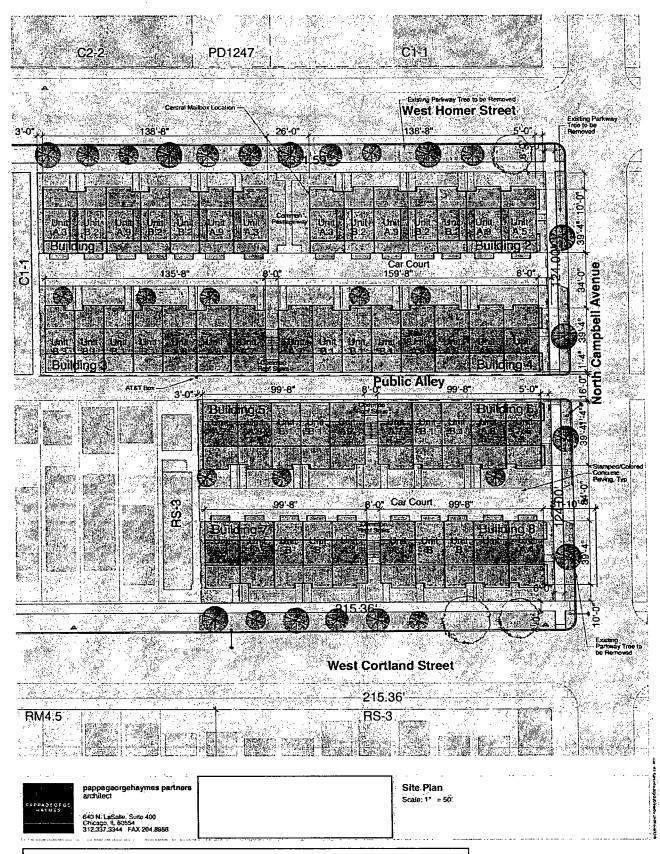
Address: 2500 – 20 W. Cortland St./1900 – 24 N. Campbell Avc./2501 – 31 W. Homer St.

Intro Date: November 18, 2015



Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave./2501 - 31 W. Homer St.

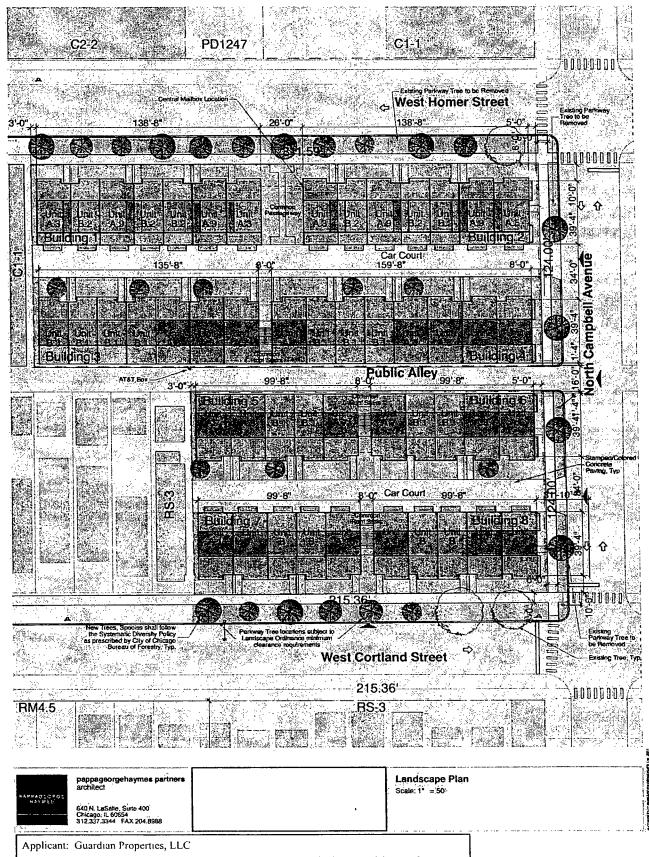
Intro Date: November 18, 2015



Address: 2500 - 20 W. Cortland St /1900 - 24 N. Campbell Ave./2501 - 31 W. Homer St.

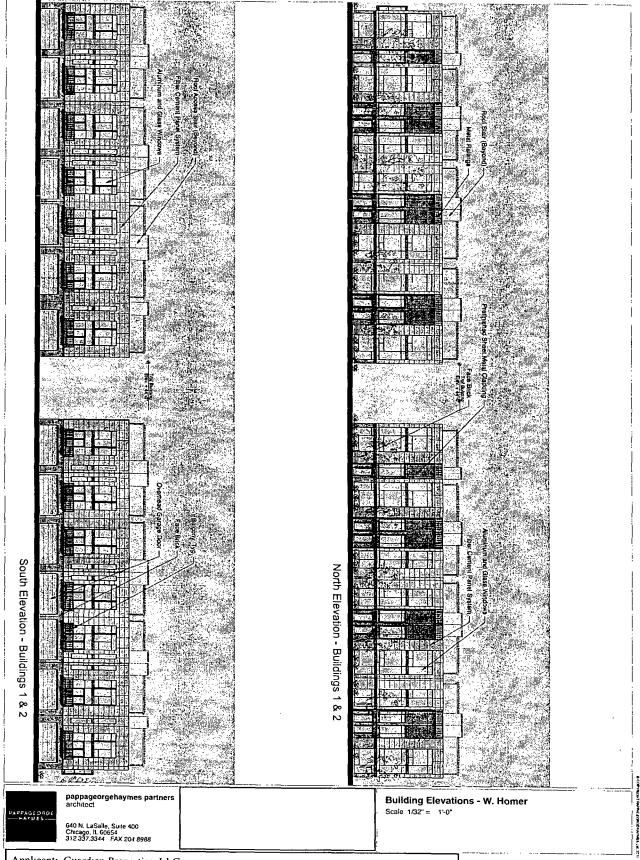
Intro Date: November 18, 2015

FINAL FOR PUBLICATION



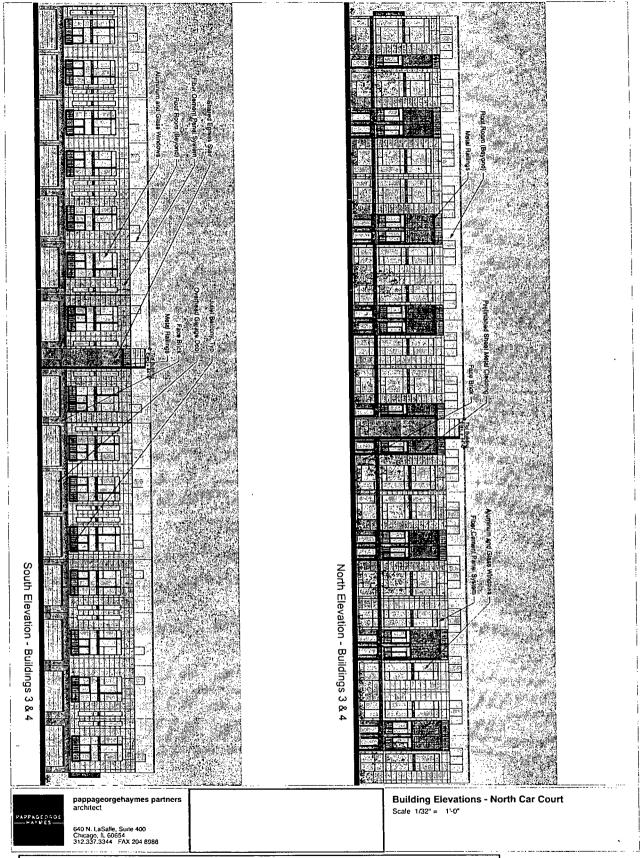
Address: 2500 – 20 W. Cortland St./1900 – 24 N. Campbell Ave./2501 – 31 W. Homer St.

Intro Date: November 18, 2015



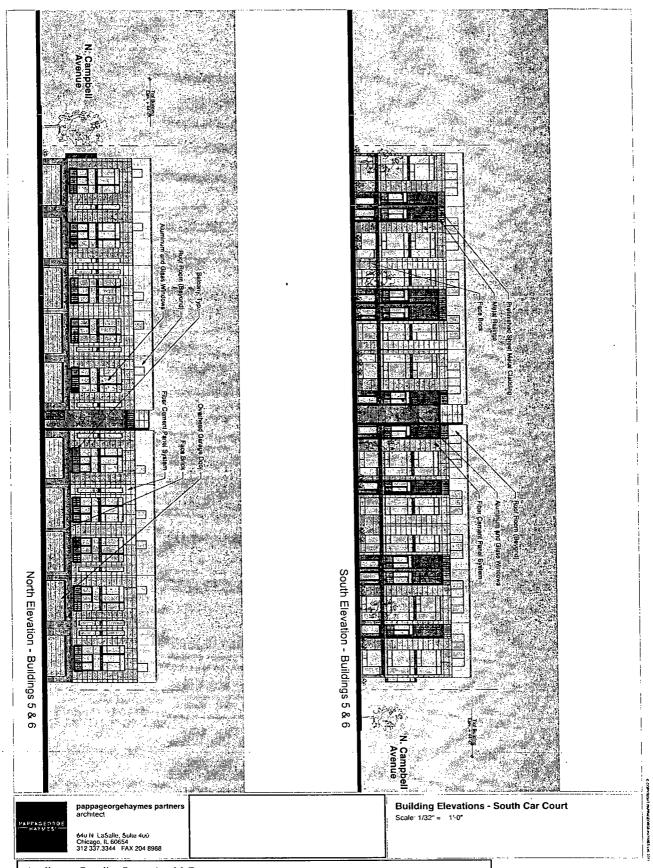
Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave./2501 - 31 W. Homer St.

Intro Date: November 18, 2015



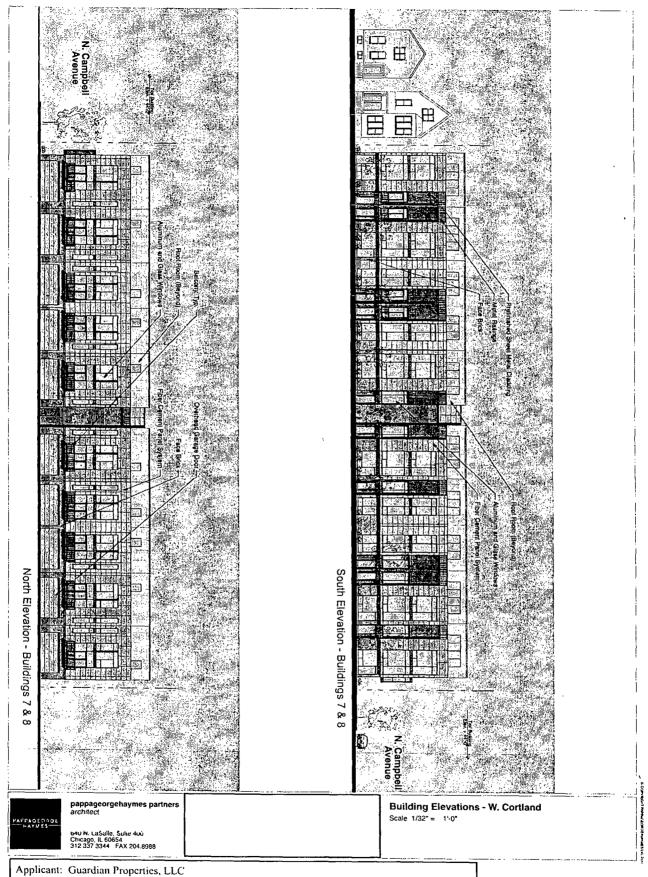
Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Ave./2501 - 31 W. Homer St.

Intro Date: November 18, 2015



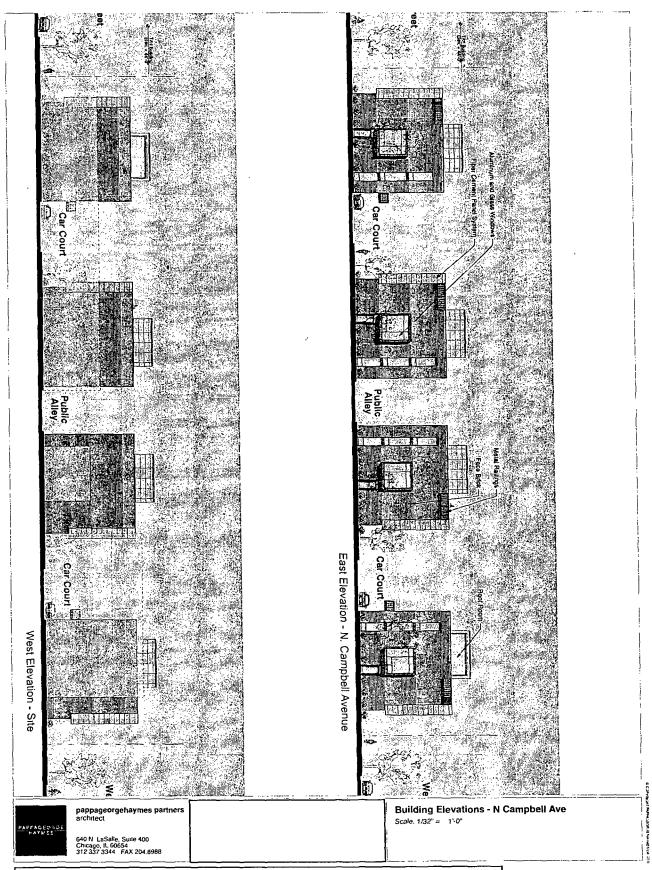
Address: 2500-20 W. Cortland St./1900 -24 N. Campbell Ave./2501 -31 W. Homer St.

Intro Date: November 18, 2015



Address: 2500-20 W. Cortland St./1900 -24 N. Campbell Ave./2501 -31 W. Homer St.

Intro Date: November 18, 2015



Address: 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Avc./2501 - 31 W. Homer St.

Intro Date: November 18, 2015



18580 FINAL

DEPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

MEMORANDUM

TO:

Alderman Daniel S. Solis

Chairman, City Council Committee on Zoning

FROM:

David L. Reifman

Secretary

Chicago Plan Commission

DATE:

December 18, 2015

RE:

Proposed Residential Planned Development for property generally located at 2500-20 West Cortland Street, 1900-24 North Campbell Avenue and 2501-31

West Homer Street.

On December 17, 2015, the Chicago Plan Commission recommended approval of the proposed planned development submitted by Guardian Properties, LLC. A copy of the proposed planned development is attached. I would very much appreciate your assistance in having this introduced at the next possible City Council Committee on Zoning hearing.

Also enclosed is a copy of the staff report to the Plan Commission which includes the Department of Planning and Development, bureau of Planning and Zoning recommendation and a copy of the Resolution. If you have any questions in this regard, please do not hesitate to contact me at 744-5777.

cc: Steve Valenziano

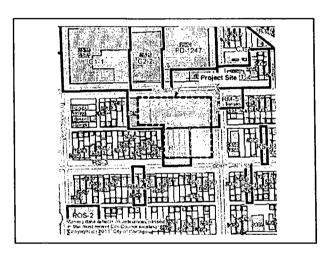
PD Master File (Original PD, copy of memo)

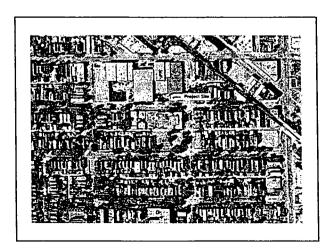
Chicago Plan Commission



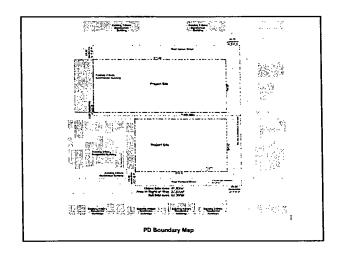
Proposed Residential Planned Development 2500 W. Cortland Street

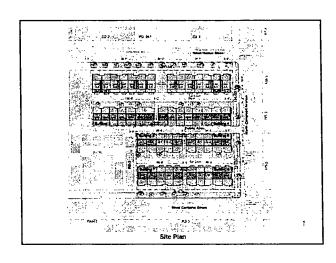
December 17, 2015

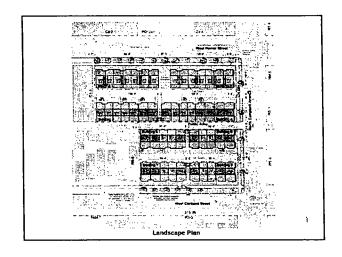


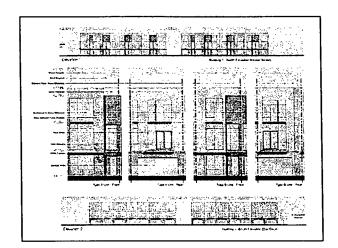


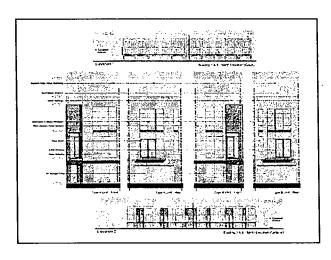


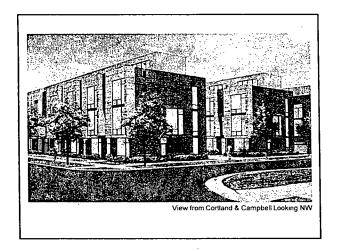












REPORT to the CHICAGO PLAN COMMISSION from the DEPARTMENT OF PLANNING AND DEVELOPMENT DECEMBER 17, 2015

FOR APPROVAL: PROPOSED RESIDENTIAL PLANNED DEVELOPMENT

APPLICANT: GUARDIAN PROPERTIES, LLC

LOCATION: 2500-20 WEST CORTLAND STREET: 1900-24 NORTH CAMPBELL

AVENUE; 2501-31 WEST HOMER STREET

Pursuant to the provisions of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, the Department of Planning and Development hereby submits this report and recommendation regarding a proposed Residential Planned Development for your review and recommendation to the Chicago City Council. The application for the amendment to the Zoning Ordinance was introduced into the City Council on November 18, 2015. Proper legal notice of the public hearing on the application was published in the <u>Chicago Sun-Times</u> on December 2, 2015. The Applicant was separately notified of this hearing.

The Applicant, Guardian Properties, LLC is seeking approval for a Residential Planned Development in order to construct a 49 unit townhome project with accessory parking. The property is currently zoned RS-3 and C1-1 and is proposed to be rezoned to RM-4.5, prior to establishing the proposed planned development. This development is being submitted by the Applicant as an elective Planned Development, pursuant to Section 17-8-0600 of the Chicago Zoning Ordinance, because the proposed project includes at least 50% of the number of dwelling units that trigger a mandatory planned development.

PROJECT BACKGROUND

The site formerly housed an industrial facility known as the Phoenix Fastener Company. The developer has a contract to purchase the subject property.

SITE AND AREA DESCRIPTION

The subject site is approximately 65,536 square feet and is bounded by West Cortland Street on the south, North Campbell Avenue on the east, West Homer Street on the north and private (residential) property on the west. An east-west public alley runs through the middle of the site between West Cortland Street and West Homer Street. The site is generally level.

The site is currently zoned RS-3(Residential Single Unit (Detached House) District) on the southern half and C1-1(Neighborhood Commercial District) on the northern half. The area surrounding the site to the east, south and west consists of a mix of generally residential

zoning districts, predominantly RS-3, as well as RM-5 (Residential Multi-Unit District), RM-4.5 (Residential Multi-Unit District) and RT-4 (Residential Two-Flat, Townhouse and Multi-Unit District). To the north, the area is zoned C1-1, C2-2 (Motor Vehicle-Related Commercial District) and PD#1247. The residential land uses in the area consists of a mix of single family homes, two and three flats and multi-unit residential buildings. To the north, the land uses include commercial/light industrial buildings that once formed a small cluster of such uses adjacent to Armitage Avenue and the nearby railroad tracks.

The site is not located within either the Lake Michigan and Chicago Lakefront Protection District or a Chicago Landmark District, nor does it contain a designated local or national landmark structure or site. The project does lie within the Fullerton/Milwaukee Tax Increment Financing District and within the Logan Square Community Area.

The site is very well served by the Chicago Transit Authority's Blue Line station at Western Avenue, less than ¼ mile from the subject site. In addition, the site is nearby to the CTA's Western Avenue (#49), Armitage Avenue (#73) and Milwaukee Avenue (#56) buses. Finally, North Milwaukee Avenue is a bike route as well.

PROJECT DESCRIPTION

The project consists of the creation of four rows of townhomes separated into eight buildings, for a total of 49 dwelling units. The site plan consists of two new car courts to be located parallel and to the north and south of the public alley. This will provide for half of the townhomes to front onto West Cortland Street and West Homer Street while the other half of the units will front onto the car courts.

The units will include private outdoor space that includes 10' front yard setbacks on all of the units and individual unit roof decks, totaling approximately 22,000 square feet of private outdoor space. In addition, a 1,283 square foot common open space will be provided in between the two buildings that will front on West Homer Street. Moreover, the car courts themselves will be improved with permeable pavers and will function as an additional common open space, totaling approximately 12,000 additional square feet.

The units themselves are planned to consist predominantly of three bedroom floor plans and are planned to average approximately 2,200 square feet.

DESIGN/LANDSCAPING

The townhomes are planned to be three stories each and approximately 44' in height. The materials on all elevations include fibre cement panels, brick, glass. Wooden pergolas are planned for the roof decks.

10' front setbacks are planned for all of the units either along West Homer Street, West Cortland Avenue or the internal car courts. Side setbacks of 3' are planned along the west side of the site while 5' setbacks are planned along North Campbell Avenue. Parkway trees are planned for West Homer Street, North Campbell Avenue and West Cortland Avenue in compliance with the Chicago Landscape Ordinance. Internal landscaping and trees are also planned for the units that face the internal car courts in accordance with the

site plan.

ACCESS/CIRCULATION

Vehicular access to the site is planned via the east-west public alley in the middle of the site as well as the planned car courts. The 20' wide car courts will be accessed via North Campbell Avenue via new curb cuts. Attached garage spaces will be provided for all of the units, totaling approximately 98 accessory parking spaces.

SUSTAINABILITY

The project will achieve Building Certification in accordance with the City of Chicago's Sustainable Development Policy. The project will also comply with the Storm Water Management Ordinance, implementing best practices in all storm water management.

BULK/USE/DENSITY

The overall Floor Area Ratio for the project is not to exceed 1.7, which is the maximum allowed under the proposed RM-4.5 (Residential Multi-Unit District) Zoning District. The Minimum Lot Area for the project is 1334, which is well above the minimum required under the RM-4.5 of 700. The planned development will allow for residential dwelling units and accessory parking. The project will trigger the City of Chicago's Affordable Requirements Ordinance and will meet the obligation of the ordinance by providing the five affordable units at off-site locations.

RECOMMENDATION

The Department of Planning and Development has reviewed the project materials submitted by the Applicant and compared this proposal to existing development in the community. The area around this project consists of a mix of generally residential zoning districts and the project represents an opportunity to construct an appropriate residential infill development along West Cortland Street and North Campbell Avenue in Chicago's Logan Square Community Area. In addition, pursuant to Chapter 17-13 of the Chicago Zoning Ordinance, the proposed rezoning from RS-3/C1-1 to RM4.5 is appropriate. Specifically, the proposed development is compatible with the character of the surrounding area in terms of uses, density and building scale (17-13-0308-C) and the proposed zoning classification is compatible with surrounding zoning (17-13-0308-C).

Based on that analysis, the Department of Planning and Development has concluded that this proposal is appropriate for this site and supports this development for the following reasons:

The project meets the purpose and criteria set forth in Chapter 17-8 of the Zoning
Ordinance and its adoption would not have any adverse impact on the public's
health, safety or welfare. Specifically, this project meets the following provisions of

Chapter 17-8:

- a. Promotes economically beneficial development patterns that are compatible with the character of existing neighborhoods (per 17-8-0103), as evidenced through the project's design and massing remaining within the context of adjacent structures; and,
- b. Provides that adequate, inviting, usable and accessible open spaces for residents (per 17-8-0909-A-1), as evidenced by the private yards and decks as well as common open space to be located on site; and,
- c. Promotes green design by conserving non-renewable energy and scarce materials (per 17-8-0908-A) as evidenced by the project achieving building certification for the project; and,
- d. All sides and areas of the buildings that are visible to the public are treated with materials, finishes and architectural details that are of high-quality and appropriate for use on primary street-facing façade (17-8-0907-A-4), as evidenced through the material callouts in this report and on the elevations.
- 2. The project lies within the boundaries of the Fullerton/Milwaukee TIF District which was approved by the Chicago Plan Commission in 2000 and is consistent with the land use plan associated with that TIF District.
- 3. The public infrastructure facilities and city services will be adequate to serve the proposed development at the time of occupancy. The proposed project has been reviewed by the Mayor's Office for People with Disabilities and the Department of Transportation and all requested changes have been made. Copies of this application have been circulated to other City departments and agencies and no comments have been received which have not been addressed in the application.
- 4. The project will comply with the requirements for access in case of fire and other emergencies.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that this application for a Residential Business Planned Development be approved and that the recommendation to the City Council Committee on Zoning, Landmarks and Building Standards be "Passage Recommended".

Bureau of Zoning and Land Use Department of Planning and Development



DEPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

RESIDENTIAL PLANNED DEVELOPMENT 2500 WEST CORTLAND STREET; 1900-24 NORTH CAMPBELL AVENUE; 2501-31 WEST HOMER STREET

RESOLUTION

- WHEREAS, the Applicant, Guardian Properties, LLC, has submitted an application seeking approval for a Residential Planned Development; and,
- WHEREAS, the Applicant intends to construct 49 dwelling unit/townhomes and accessory parking on an approximately 65,536 square foot site; and,
- WHEREAS, the Applicant's request to rezone the property was introduced to the City Council on November 18, 2015; and,
- WHEREAS, proper legal notice of the hearing before the Plan Commission was published in the <u>Chicago Sun-Times</u> on December 2, 2015. The Applicant was separately notified of this hearing and the proposed zoning application was considered at a public hearing by this Plan Commission on December 17, 2015; and,
- WHEREAS, the Plan Commission has reviewed the application with respect to the provisions of the Zoning Ordinance and finds that the proposal will be consistent with said provisions; and
- WHEREAS, the Department of Planning and Development recommended approval of the application, with the recommendation and explanation contained in the written report dated December 17, 2015, a copy of which is attached hereto and made a part hereof; and,
- WHEREAS, the Plan Commission has fully reviewed the application and all informational submissions associated with the proposed amendment, the report and recommendation of the Commissioner of the Department of Planning and Development and all other testimony presented at the public hearing held on December 17, 2015, giving consideration to the Zoning Ordinance;

NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

- 1. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Plan Commission regarding this zoning map amendment application; and,
- 2. THAT this Plan Commission recommends approval to the City Council Committee on Zoning, Landmarks and Building Standards of the final zoning application dated December 17, 2015, and,

Martin Cabrera, Jr.

Chairman

Chicago Plan Commission

RPD No.

Approved: December 17, 2015

18580 INTRO. DATE:

Nov. 18, RECEIVED

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

DEC 1 7 2015

ADDRESS of the property Applicant is seeking to rez 2500 - 20 W. Cortland St./1900 - 24 N. Campbell Avc./250			
Ward Number that property is located in:1st			
APPLICANT Guardian Properties, LLC			
ADDRESS 17 E. Monroe St., Suite 158	CITY Chicago ·		
STATE IL ZIP CODE 60607	PHONE 312-636-6937		
EMAIL tolando@acostaezgur.comCONTACT PER	RSON Rolando R. Acosta		
Is the applicant the owner of the property? YESNOX If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.			
OWNER Harris Homer, LLC			
ADDRESS 2204 Iroquois Road	CITY Wilmette		
STATE IL ZIP CODE 60091	PHONE 312-636-6937		
EMAIL 1 1 0 CONTACT DE			
EMAIL rolando@acostaezgur.com CONTACT PE	RSON_Rolando R. Acosta		
If the Applicant/Owner of the property has obtained rezoning, please provide the following information:	RSON_Rolando R. Acosta		
If the Applicant/Owner of the property has obtained	RSON_Rolando R. Acosta		
If the Applicant/Owner of the property has obtained rezoning, please provide the following information:	RSON_Rolando R. Acosta		
If the Applicant/Owner of the property has obtained a rezoning, please provide the following information: ATTORNEY Rolando R. Acosta	RSON_Rolando R. Acosta a lawyer as their representative for the		

Brian Du	ggan, Stewart A. Harris Living Trust dated 12/4/2002 and Susan Harris
	ust dated 12/4/2002
	,
0 1.1	
On what da	te did the owner acquire legal title to the subject property?
Has the pre	esent owner previously rezoned this property? If yes, when?
	NO
Present Zo	ning District C1-1/RS3 Proposed Zoning District RM4.5 then to RPD
Lot size in	square feet (or dimensions) 65,405 sq. ft.
Current Us	se of the property One story vacant industrial buildings and vacant land
Reason for	rezoning the property Development of three-story townhomes with rooftop enclosure and
	y parking
units; num	he proposed use of the property after the rezoning. Indicate the number of dwelling ber of parking spaces; approximate square footage of any commercial space; and he proposed building. (BE SPECIFIC)
Fifty three	-story townhomes with rooftop enclosure and two parking spaces per unit and no loading berths
(ARO) the housing p	4 th , 2007, the Chicago City Council passed the Affordable Requirements Ordinance at requires on-site affordable housing units or a financial contribution if residential rojects receive a zoning change under certain circumstances. Based on the lot size of t in question and the proposed zoning classification, is this project subject to the Requirements Ordinance? (See Fact Sheet for more information)
Amordadi	Treduction of amanes. (See Fact of the Control of t

.

.

M

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION 1 -- GENERAL INFORMATION

A	. Legal name of the Disclosing Party submitting	g this EDS. Include d/b/a/ if applicable:
	Stewart A. Harris Living Trust dated 12/4/2002	
C	heck ONE of the following three bayes:	
In	odicate whether the Disclosing Party submitting 1. [] the Applicant OR	this EDS is:
	2. XI a legal entity holding a direct or indirect Applicant in which the Disclosing Party ho OR	t interest in the Applicant. State the legal name of the
	3. [] a legal entity with a right of control (see which the Disclosing Party holds a right of co	e Section II.B.I.) State the legal name of the entity in ontrol:
B	. Business address of the Disclosing Party:	2204 Iroquais Road
	,	Witnesse, IL 60091
C	. Telephone: <u>312-635-6937</u> Fax:	Email: rolando@acostaczgur.com
D	. Name of contact person: Rolendo R. Acosta	n og geginn digen om der som ^{meder} det lignet og som skripet og
E	. Federal Employer Identification No. (if you ha	ave one):
F.	Brief description of contract, transaction or other this EDS penains. (Include project number	her undertaking (referred to below as the "Matter") to er and location of property, if applicable):
	Rezoning and Planned Development for 2500 – 20 W. C	orland St/1900 - 24 N, Campbell Ava./2501 - 31 W. Homer S
G	. Which City agency or department is requesting	g this EDS7_DPD
	If the Matter is a contract being handled by the complete the following:	c City's Department of Procurement Services, please
	Specification #	nad Contract #
	·	

Page I of 13

Ver. 01-01-12

(DO NOT SUBMITTHIS PAGE WITH VOIR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the 622 of closing. If unable to meetify truitifully, the Discheing Party most complete a new EDS with corrected information.)

RECERTIFICATION

Generally, for eas with City Council months. Not the City procurements unless requested.
This recertification is being submitted in connection with [identify the Matter]. Under penalty of perjory, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Dimbosing Party (2) warrants that all certifications and statements coordined in the Dixtlesting Party's original EDS are true, accurring and complete as of the date furnished to the City and continue to be true, occurring and complete as of the date of this recertification, and (3) realisms its ecknowledge of the
Guardian Paper hirs, LLC Date 17 115/15 (Print or type light name of Discharing Porty)
By: (sign here)
Print or type name of signatory:
Brian Buggan
Title of signately:
Manager
Signed and saving to before one on (duc) Delan har Em by Gray (Mulina = (an) & County, Mina) [state].
Commission expires: 01/17/2018
GRIGORY C MASTINI OFFICIAL SEAR MESSAGE PRINCIPLE MASTER OFFICE MASTER OFFI MASTER OFF

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PART	Y
1. Indicate the nature of the Disclosing Pa	ırty:
[] Person	[] Limited liability company
[] Publicly registered business corporation	[] Limited liability partnership
1) Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Ycs [] No
[x] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign dinois	country) of incorporation or organization, if applicable:
 For legal entities not organized in the State of Illinois as a foreign er 	State of Illinois: Has the organization registered to do ntity?
[] Yes [] No	(c) N/A
B. IF THE DISCLOSING PARTY IS A LEC	GAL ENTITY:
NOTE: For not-for-profit corporations, also there are no such members, write "no member the legal titleholder(s).	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If rs. For trusts, estates or other similar entities, list below
	d partnership, limited liability company, limited liability
	me and title of each general paraner, managing member, strols the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must so	• •
Name	Title
Stewart A. Harris	Truction
Susan Homis	Trustee

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Nume	Business Address	Percentage Interest in the Disclosing Party
Stowart A. Hanfis		100%
Has the Disclo	BUSINESS RELATIONSHIPS WITH sing Party had a "basiness relationship," ity elected official in the 12 months befo	as defined in Chapter 2-156 of the Municipal
[]Yes	[X] No	•
If yes, please ideatelationship(s):	ntify below the name(s) of such City elec	ned official(s) and describe such

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d," is not an acceptable response.
(Add sheets if necessar			
[X] Check here if the Di	selosing Party	has not retained, nor expects to retain	, any such persons or entities
SECTION V - CERT	'IFICATION'	S	
A. COURT-ORDERE	O CHILD SU	PPORT COMPLIANCE	
		2-415, substantial owners of busines with their child support obligations the	
	•	etly owns 10% or more of the Disclotions by any Illinois court of compete	
[]Yes 🚫		No person directly or indirectly own: Disclosing Party.	10% or more of the
If "Yes," has the person is the person in complic		a court-approved agreement for paym agreement?	ent of all support owed and
[] Yes []	No		,
B. FURTHER CERTIF	FICATIONS		
	•	hapter 1-23, Article I ("Article I")(w	• •

consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance

timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section ILB.1, of this EDS:
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarity
 <u>excluded from any transactions by any federal, state or total unit of government;</u>
 - b. have not, within a five-year period preceding the date of this BDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - e. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, any any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such
 agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or
 prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or
 otherwise; or
- c. made an admission of such conduct described in a, or b, above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8.—To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" of "none").
None
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is M is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

Name	Business Address	Nature of Interest
3. If you check officials or employ	ked "Yes" to Item D.I., provide the rees having such interest and identif	names and business addresses of the City by the nature of such interest:
[]Yes	[] No	•
Does the Matter in	volve a City Property Sale?	
elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial into r entity in the purchase of any prop- ments, or (iii) is sold by virtue of le	re hidding, or otherwise permitted, no City crest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, on pursuant to the City's eminent domain powering of this Part D.
NOTE: If you ch	ecked "Yes" to Item D.I., proceed to Part E.	o Items D.2. and D.3. If you checked "No" to
[]Yes	K) No	
1. In accordar of the City have a entity in the Matte	financial interest in his or her own:	tunicipal Code: Does any official or employee name or in the name of any other person or
Any words or term meanings when us	is that are defined in Chapter 2-156 ed in this Part D.	of the Municipal Code have the same
D. CERTIFICAT	ON REGARDING INTEREST IN	CITY BUSINESS
If the letters "NA, conclusively presu	" the word "None," or no response a med that the Disclosing Party certification in the Disclosing Party certification in the control of the certification in	appears on the lines above, it will be fied to the above statements.

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1, or 2, below. If the Disclosing Party checks 2,, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

Page 8 of 13

comply with these disclosure requirements may make any contrict entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
CONTINUE CONTINUE TO PROPERTY OF THE PROPERTY
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, fax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBRYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. Page 9 of 13

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quotter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1, and A.2, above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1, through A.4, above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Maner is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing I	irly the Applicant?	
[]Yes	[]No	
If "Yes," answer t	e three questions below:	
1. Have you c	veloped and do you have on file affirmative action programs pursuant to applica	ble
federal regulation:	(See 4) CFR Part 60-2.)	
[]Yes	1) No	
Contract Complia	ed with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due	
	e filing requirements?	
[] Yes	[] No	
3. Have you pequal opportunity	rticipated in any previous contracts or subcontracts subject to the lause?	
[]Yes	[] No	
If you checked "N	" to question 1, or 2, above, please provide an explanation:	
Annual Control of the		

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Carty understands that it must comply with all statutes, ordinances, and regulations on which this EOS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party most comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not reseinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incorceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- B. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not definquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, not permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System (*EPLS*) maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1, and F.2, above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Stowart A. Harris Living Treat dated 12/4/2002	
(Print or type name of Disclosing Party) By: Hista	
(Sign here)	•
Stewart A. Hams	
(Print or type name of person signing)	•
Trustee	
(Print or type title of person signing)	
Signed and swarp to before me on (date) NOVE at Conk County, Illinois (state Mouse Commission expires: 8-5-18	c).
Commission expues: 0 0	Connection

Page 12 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AITIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT READS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adaption: parent, child, brother or sister, aunt or encle, nicee or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" areans (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an effected city official or department head?

[] Yes	⋈ No	
such person is conne	oted; (3) the name and title of t	te of such person, (2) the name of the legal entity to which the elected city official or department head to whom such te nature of such familial relationship.
ر محمصیتین میلینین . محمصیتینین		مانتان <u>، در </u>
	AND THE PARTY OF T	رو است را استان پرېښې در او استان شورو در او

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

-		• •
[] Yes	[X] No	
the Applicant identified	as a building code soofflaw	
[] Yes	[]No	[X] Not Applicable
identified as a building	code scofflaw or problem lan	dlard and the address of the building or
	building code scofflaw Code? [] Yes If the Applicant is a leg the Applicant identified 2-92-416 of the Munici [] Yes If yes to (1) or (2) above identified as a building	[] Yes [X] No If the Applicant is a legal entity publicly traded on an the Applicant identified as a building code sonflaw of 2-92-416 of the Municipal Code?

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

	-		

·
.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitti	
Guarlian Properties, LLC	
Check ONE of the following three boxes:	
Applicant in which the Disclosing Party h OR	eet interest in the Applicant. State the legal name of the olds an interest:
 a legal entity with a right of control (s which the Disclosing Party holds a right of 	ee Section II.B.1.) State the legal name of the entity in control:
B. Business address of the Disclosing Party:	17 F. Monroe St., Suite 158
	Chicago, U, 60607
C. Telephone: 312-636-6937 Fax:	Email: rolando@zoostaczgun.com
D. Name of contact person: Rolando R. Acos	
E. Federal Employer Identification No. (if you)	have one):
F. Brief description of contract, transaction or ewhich this EDS pertains. (Include project number	other undertaking (referred to below as the "Matter") to ber and location of property, if applicable):
Planned Development of 2500 - 20 W. Cortland St /1900 -	24 N. Carapbeil Ave. (250) - 31 W. Homer St.
G. Which City agency or department is request	ling this EDS? DPD
If the Matter is a contract being handled by t complete the following:	the City's Department of Procurement Services, please
Specification #	and Contract #
No. on the sa	her Lof 13

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

Name	Title
the legal titleholder(s). If the entity is a general partnership, limpartnership or joint venture, list below the	bers." For trusts, estates or other similar entities, list below ited partnership, limited liability company, limited liability name and title of each general partner, managing member, controls the day-to-day management of the Disclosing Party, I submit an EDS on its own behalf.
NOTE: For not-for-profit corporations, als	of all executive officers and all directors of the entity. so list below all members, if any, which are legal entities. If
Yes No	x N/A
Hinuix	ee State of Illinois: Has the organization registered to do
2. For local cutities, the state (or force	go country) of incorporation or organization, if applicable:
Thest	Other (please specify)
General partnership Limited partnership	(is the not-for-profit corporation also a 501(c)(3))? Yes No
Privately held business corporation Sole proprietorship	Joint venture Not-for-profit corporation
	n Limited liability partnership

Page 2 of 13

2. Picase provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None," NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure,

Name	Business Address	Percentage Interest in the Disclosing Party	
		المينيان (« « فطالبول) - المستدار بيان (الماسطيني - المعالية المستينان - المستينان - المستينان - المستند بال يمين - النا عراق - المستان على - المستنديات - المعالية على - المشتقدي - المستنديات - المستندان - المستند	ده سیار د پورا خون
SECTION III I	Business relationships wit	TH CITY ELECTED OFFICIALS	
	ing Party had a "business relationship ty elected official in the 12 months be	," as defined in Chapter 2-156 of the Muni fore the date this EDS is signed?	cipal
Yes	XĮ No		
relationship(s):	ify below the name(s) of such City et		
		and a second control of the second control o	

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, labbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be resuined)	Business Address	Relationship to Disclosing Party (subcordractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d," is not an acceptable response.
Rolando R. Accesta I (30 W. Chic	and Ave. Jul Fl.	Chichou, R. 6(16)2 Ann. S	25,000 (cst)
(Add sheets if necessary)		مستقيد الأفريد دين ر الطبيعينيون 15 الطفرينيون المستقدلة ويدا الطاء المقاملة و 1 المستقد	The second se
Check here if the Discl	losing Party b	as not retained, nor expects to retain	i, any such persons or entities,
SECTION V - CERTIF	ICATIONS		in the second se

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes x No

No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

I Yes No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) acither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 helow.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B. L. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarity excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental untity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - · the Disclosing Party;
 - * any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Perty in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties"):
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the incligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the incligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such
 agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or
 prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or
 otherwise; or
- c. made an admission of such conduct described in a, or b, above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33B-3; (2) bid-rotating in violation of 720 ILCS 5/33B-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or hid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated lintity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Decided Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:		
يد المعينية والمعالمين والم		
The second secon		
The second secon		
The second secon		

presumed that the Disclosing Party certified to the above statements.				
8. To the best of the Disclosing Party's knowledge after teasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").				
None				
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.				
None				
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION				
1. The Disclosing Party certifies that the Disclosing Party (check one)				
is X is not				
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.				
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:				
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."				
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):				
2-32 of the Municipal Code, explain here (attach additional pages if necessary):				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

If the longs "NA."	the word "None" or no response	appears on the lines above, it will be
conclusively presu	med that the Disclosing Party certi	fied to the above statements,
D. CERTIFICATI	on regarding interest in	CITY BUSINESS
Any words or term meanings when us		of the Municipal Code have the same
I. In accordant of the City have a tentity in the Matter	financial interest in his or her own-	Aunicipal Code: Does any official or employee name or in the name of any other person or
Yes	X No.	
NOTE: If you cho tem D.L., proceed	ocked "Yes" to Item D.L., proceed to Part E.	to Items D.2. and D.3. If you checked "No" to
elected official or cany other person or for taxes or assesse	imployee shall have a financial into rentity in the purchase of any prop- uents, or (iii) is sold by virtue of le "). Compensation for property tak	we bidding, or otherwise permitted, no City crest in his or her own name or in the name of city that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, ten pursuant to the City's eminent domain powering of this Park D.
	a financial interest within the mean	and or the rat D.
does not constitute	a financial interest within the measurely volve a City Property Sale?	aug (II lats Paft D.
does not constitute		aug (II (Ris Faft D.
Does the Matter in Yes 3. If you check	volve a City Property Sole?	names and business addresses of the City

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1, or 2, below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.				
$\chi_{\rm mal}$). The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies a issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.				
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:				
SECTION VI – CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS				
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.				
A. CERTIFICATION REGARDING LOBBYING				
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made tobbying contacts on behalf of the Disclosing Party with respect to the Matter.)				
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay				

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1, above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

-	arry will submit an applicated certification at the end of each calcular quarter in your that materially affects the accuracy of the statements and information set and A.2, above.
50f(c)(4) of the Internal	nty certifies that either: (i) it is not an organization described in section Revenue Code of 1986; or (ii) it is an organization described in section Revenue Code of 1986 but has not engaged and will not engage in "Lobbying
form and substance to possible outract and the Disc	Party is the Applicant, the Disclosing Party mest obtain certifications equal in ingraphs A.1. through A.4. above from all subcontractors before it awards any losing Party must maintain all such subcontractors' certifications for the id must make such certifications promptly available to the City upon request.
B. CERTIFICATION R	EGARDING EQUAL EMPLOYMENT OPPORTUNITY
	funded, federal regulations require the Applicant and all proposed the following information with their bids or in writing at the outset of
Is the Disclosing Party 1	ne Applicant?
Yes	·· No
if "Yes," answer the three	e questions below:
Have you develop federal regulations? (Se Yes	ned and do you have on fite affirmative action programs pursuant to applicable e 41 CFR Part 60-2.) No
2. Have you filed w	ith the Joint Reporting Committee, the Director of the Office of Federal ograms, or the Equal Employment Opportunity Commission all reports due
3. Have you particip	
Yes	· · No
If you checked "No" to	question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether productional, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, husiness, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicogo, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of trebte damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specifical offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not definquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities definquent in paying any fine, fee, tax or other charge owed to the City. This includes, had is not limited to, all water charges, sewer charges, license fees, parloing tickets, properly taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated finities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- 6.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1, and F.2, above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications of that the Disclosing Party has reason to believe has not provided or cannot provide trithful certifications

NOTE: If the Disclosing Party cumor certify as to any of the items in F.I., F.2, or F.3, above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accorate and complete as of the date furnished to the City.

Guardian Properties, LLC
(Print or type name of Disclosing Party)
By: (Sign here)
Brian Duggen
(Print of type name of person signing)
Матинуел
(Print or type title of person signing)
Signed and sworn to before me on (date) 7-2(-15 at Cook County, Illinois (state). Official Soat Notice Public.
Official Soal Nutura Public. Michael H Eggs Notary Public State of Illhors Commission expires My Commission Expires 14/6/2017 Page 12 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Panner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any adderman, the city cferk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, lather-in-law, modier-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes	χNο	
such person is connect	ed; (3) the name and title of t	the of such person. (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship.
	1	
ميان دار معيان التراميسيسين والمستنيد		، معن ۱۰۰۰ هج. ۱٬۰۰۰ محمله دورس «مخاطعته بنانه» المحملة المحمل
	معيد بغيابها والمطلق فيستهين واستسهدتها والأراء والمجي والهدور	د « مستورد الله المعلى المستقرب بينيان « المهرومينية بازار « م ستريمينية ب ين « «المه رومينية بين ان » (« « «
grandents comments of the section of	ي المعدد معمينين و ده معمل مساوي . المساوي المساوي	The second secon

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

<u>.ł.</u>				dicant or any Owner identified as a cition 2-92-416 of the Municipal	:
	Yes	X No	٠		
2.	If the Applicant is a leg the Applicant identified 2-92-416 of the Munici	les a building code scoffle	any exc w or pro	thange, is any officer or director of oblem landlord pursuent to Section	;
	Yes	No		X Not Applicable	
3.	identified as a building	e, please identify below the code scofflaw or problem pertinent code violations a	landlord	of the person or legal entity land the address of the building or	-

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERIURY ON PAGE 12 OF THE ASSOCIATED EDS.

m

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting	ng this EDS. Include d/b/a/ if applicable:
Susan Hanis Living Trust dated 12/4/2002	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting 1. [] the Applicant OR 2. [K] a legal entity bolding a direct or indirect	Oance ct interest in the Applicant. State the legal name of the
Applicant in which the Disclosing Party be OR	olds an interest: Hanis Homer LLC
	se Section II.B.1.) State the legal name of the entity in control:
B. Business address of the Disclosing Party:	2204 Iroquois Road
- •	Wimette, IL 60091
C. Telephone: <u>312-636-6937</u> Fax:	Email: rolando@acostaezqur.com
D. Name of contact person: Retindo R. Acosta	
E. Federal Employer (dentification No. (if you b	ave one):
which this EDS pertains. (Include project numb	ther undertaking (referred to below as the "Matter") to ber and location of property, if applicable): Contand St. 11900 - 24 N. Campbell Ave. 12501 - 31 W. Homes St.
G. Which City agency or department is requesting	ng this EDS? DPD
If the Matter is a contract being handled by the complete the following:	e City's Department of Procurement Services, please
Specification #	and Contract #
Ver. 01-01-12 Po	age 1 of 13

SECTION 0 - DISCLOSURE OF OWNERSHIP INTERESTS

I. Indicate the nature of the Disclosing P	artir
[] Person	[] Limited liability company
[] Publicly registered business corporation	[] Limited hability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
X Trust	[] Other (please specify)
3. For legal entities not organized in the business in the State of Illinois us a foreign cr	State of Illinois: Has the organization registered to do
[] Yes [] No	₩ N/A
R. IF THE DISCLOSING BARTY IS A LTG	6
B. IF THE DISCLOSING PARTY IS A LEC	JAL ENTITY:
1. List below the full names and titles of NOTE: For not-for-profit corporations, also there are no such members, write "no member the legal titlcholder(s). If the entity is a general partnership, limite partnership or joint venture, list below the name manager or any other person or entity that corporate is the second of the second or entity that corporate is the second of the second or entity that corporate is the second of the second or entity that corporate is the second or entity is a second or entity that corporate is the second or entity that the second or entity	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If 18. For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability mo and title of each general partner, managing member, ntrols the day-to-day management of the Disclosing Party.
1. List below the full names and titles of NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s). If the entity is a general partnership, limite partnership or joint venture, list below the namenager or any other person or entity that cor NOTE: Each legal entity listed below must so	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If 18. For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability mo and title of each general partner, managing member, ntrols the day-to-day management of the Disclosing Party.
1. List below the full names and titles of NOTE: For not-for-profit corporations, also there are no such members, write "no member the legal titlcholder(s). If the entity is a general partnership, limite partnership or joint venture, list below the name manager or any other person or entity that corporate is the second of the second or entity that corporate is the second of the second or entity that corporate is the second of the second or entity that corporate is the second or entity is a second or entity that corporate is the second or entity that the second or entity	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If rs. For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability mo and little of each general partner, managing member, ntrols the day-to-day management of the Disclosing Party. about an EDS on its own helialf. Title
1. List below the full names and titles of NOTE: For not-for-profit corporations, also there are no such members, write "no member the legal titlcholder(s). If the entity is a general partnership, limite partnership or joint venture, list below the namenager or any other person or entity that cor NOTE: Each legal entity listed below must so Name	all executive officers and all directors of the entity. list below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability me and lifte of each general partner, managing member, ntrols the day-to-day management of the Disclosing Party. about an EDS on its own helialf.

of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None," NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Nagig	nusmess Address	Disclosing Party
Susan Harris	2204 Iroquois Road, Wilmotte, IL 60091	
SECTION II	u Business relationships wit	TH CITY ELECTED OFFICIALS
	sclosing Party had a "business relationship by City elected official in the 12 months be	," as defined in Chapter 2-156 of the Municipal fore the date this EDS is signed?
[]Yes	هم لا	
If yes, please relationship(s	identify below the name(s) of such City el	ected official(s) and describe such

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose datics as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE; "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
(X) Check here if the Disc	losing Party h	as not retained, nor expects to retain	, any such persons or entities
SECTION V - CERTE	TCATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
Under Municipal Code the City must remain in co	Section 2-92 empliance wit	-415, substantial owners of business b their child support obligations thro	entities that contract with oughout the contract's term.
Has any person who direct arrearage on any child sup	ally or indirect oport obligation	ly owns 10% or more of the Disclos has by any Illinois court of competer	sing Porty been declared in it jurisdiction?
[]Yes MN		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person c is the person in compliance		court-approved agreement for paying reement?	ent of all support owed and
[] Yes [] N	o		
B. FURTHER CERTIFIC	CATIONS		
Pursuant to Munici consult for defined terms	ipal Code Cha (e.g., "dòing t	pter 1-23, Article I ("Article I")(wh pusiness") and legal requirements), i	ich the Applicant should I the Disclosing Party

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this BOS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section ILB.1, of this EDS:
 - are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antirest statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. bave not, within a five-year period preceding the date of this BDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The conflications in subparts 3, 4 and 5 concern:
 - · the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties"):
 - noy "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a, or b, above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Batity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Univerified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General); 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code,

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:					
			THE RESERVE THE PARTY OF THE PA		
 .				~ 	 ·

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
S. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
None
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is M is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessory):

	ar market and a based and	
	ed "Yes" to Item D.1., provide the ces having such interest and identi- Business Address	names and business addresses of the City fy the nature of such interest: Nature of Interest
[]Yes	[]No	
Does the Matter in	volve a City Property Sale?	,
2. Unless sold elected official or cany other person or for taxes or assess: "City Property Sale	to Part E. pursuant to a process of competition mployee shall have a financial into entity in the purchase of any proposents, or (iii) is sold by virtue of to	to Items D.2. and D.3. If you checked "No" to be bidding, or otherwise permitted, no City crest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, on pursuant to the City's eminent domain power ning of this Part i).
[]Yes	K) No	
of the City have a tentity in the Matter	inancial interest in his or her own ?	funicipal Code: Does any official or employee name or in the name of any other person or
Any words or tenn meanings when us		of the Municipal Code have the same
D. CERTIFICATI	ON REGARDING INTEREST IN	CITY BUSINESS
	the word "None," or no response med that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.
		The second secon

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1, or 2, below. If the Disclosing Party checks 2,, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Faiture to

connection with the Matter voidable by the City.	117)		
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.			
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above Disclosing Party has found records of investments or profits from slavery or slaveholder insu policies. The Disclosing Party verifies that the following constitutes full disclosure of all sucrecords, including the names of any and all slaves or slaveholders described in those records:	rance h		
SECTION VI – CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS			
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not for funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the and proceeds of debt obligations of the City are not federal funding.	derally City		
A. CERTIFICATION REGARDING LOBBYING			
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party we respect to the Matter: (Add sheets if necessary):	[,] ith		
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "lappear, it will be conclusively presumed that the Disclosing Party means that NO persons or registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf Disclosing Party with respect to the Matter.)	entities		
2. The Disclosing Party has not spent and will not expend any federally appropriated fund any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay person or entity to influence or attempt to influence an officer or employee of any agency, as applicable federal law, a member of Congress, an officer or employee of Congress, or an employment of Congress, in connection with the award of any federally funded contract, making a federally funded grant or loan, entering into any cooperative agreement, or to extend, continued	any defined by doyee of a any		

Page 9 of 13

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

which there occurs	ing Party will submit an updated certification at the end of each calendar quarter any event that materially affects the accuracy of the statements and information (A.1, and A.2, above.	
501(c)(4) of the In	ing Party certifies that either: (i) it is not an organization described in section croal Revenue Code of 1986; or (ii) it is an organization described in section croal Revenue Code of 1986 but has not engaged and will not engage in "Lobby	ing
form and substance subcontract and the	sing Party is the Applicant, the Disclosing Party must obtain certifications equal to paragraphs A.1. through A.4. above from all subcontractors before it awards Disclosing Party must maintain all such subcontractors' certifications for the ter and must make such certifications promptly available to the City upon reques	any
B. CERTIFICATI	ON REGARDING EQUAL EMPLOYMENT OPPORTUNITY	
If the Matter is fed subcontractors to s negotiations.	erally funded, federal regulations require the Applicant and all proposed about the following information with their bids or in writing at the outset of	
ls the Disclosing P	nty the Applicant?	
[] Yes	[]No	
If "Yes," answer th	e three questions below:	
	veloped and do you have on file affirmative action programs pursuant to applical (See 41 CFR Part 60-2.) [] No	ble
Contract Complian	ed with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due is filing requirements? [] No	
3. Have you pa	tlicipated in any previous contracts or subcontracts subject to the	
[] Yes	[]No	
If you checked "No	to question 1, or 2, above, please provide an explanation:	

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this BDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this BDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Hilnois Department of Revenue, nor are the Disclosing Party or its A filliated Entities delinquent in paying any fine, fee, tax-or-other-charge-owed-to-file-Gity. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, not permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1, and F.2, above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this BDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Susan Harris Living Trust dated 12/4/2002	
(Print or type name of Disclosing Party)	
By: Almos	
(Sign here)	•
Stewart A. Harris	
(Print or type name of person signing)	-
Trestee	
(Print or type title of person signing)	
Signed and sworn to before me on (date) Novigrabes 16,2015, at County, Illinois (state).	
Liel & Candela Novary Public.	OFFICIAL SEAL GAR L CANDELA
Commission expires: 8-5-18	HOTARY FUELC: STATE OF FLUXOS LTY OOUTHS SHOW EXPRES CRISTAS
Prop. 12 = 6.53	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, nicee or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepsoo or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	D) No		
such person is connecte	d; (3) the name and title of i	de of such person, (2) the mar the elected city official or de se nature of such familial rel	me of the legal entity to which partment head to whom such attionship.
		· · · · · · · · · · · · · · · · · · ·	4.
			The second secon
			· · · · · · · · · · · · · · · · · · ·

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

	Persuant to Municipal building code scofflar Code?	Code Section 2-154-010, is the var problem landlord pursual	ne Applicant or any Owner identified as and to Section 2-92-416 of the Municipal
•	[] Yes	DXI No	
2:	If the Applicant is a le the Applicant identifie 2-92-416 of the Munic	d as a building code soofflaw	ny exchange, is any officer or director of or problem landlord pursuant to Section
	[]Yes	[]No	[X] Not Applicable
3.	identified as a building	ve, please identify below the code scofflaw or problem lar pertinent code violations app	name of the person or legal entity added and the address of the building or ly.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I 4 GENERAL INFORMATION

	· way considered	g this EDS. Include d/b/a/ if applicable:
Harris Homer LLC	والمراجعة والمحاولة	The second secon
Check ONE of the following the	ce boxes:	
Indicate whether the Disclosing P 1. [k] the Applicant Owner OR	arty submitting	this EDS is:
2. [] a legal entity holding a c Applicant in which the Disc OR	firect or indirec losing Party ho	t interest in the Applicant. State the legal name of the lds an interest:
3. [] a legal entity with a righ which the Disclosing Party ho	t of control (see lds a right of co	Section (I.B.1.) State the legal name of the entity in introl;
B. Business address of the Discle	osing Party:	2204 Iroquois Road Wilmette, IL 60091
		Wilmette, IL 60091
C. Telephone: 312-638-6937	Fax:	Email: retando@acostaezgur.com
D. Name of contact person: Rol	ando R. Accota	Application and the second of
E. Federal Employer Identification	n No. (if you ha	ive one):
which this EDS pertains. (Include	e project numbe	ner undertaking (referred to below as the "Matter") to er and location of property, if applicable): ordand SL/1900 - 24 N. Campbell Avo./2501 - 31 W. Homer St
G. Which City agency or departm		
If the Matter is a contract being complete the following:	; handled by the	City's Department of Procurement Services, please
Specification #	The company producting the term of the transfer for the company of	and Contract #
Ver. 81-01-12	fas	e 1 of 13

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY -l.--Indicate-the-nature of the Disclosing Party: [] Person & Limited liability company [] Publicly registered business corporation [] Limited liability partnership [] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (is the not-for-profit corporation also a 501(e)(3))? [] Limited partnership []Yes [] No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Minais 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [] Yes [] No KI N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Stewart A. Harris Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None," NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage I Disclosing P	nterest in the arty
Stewart A. Hirris Liv	ing Trust deted 12/4/2002 2204 troquois F	Rd., Wilmette, IL 60091	50%
Susan Harris Living	Trust datad 12/4/2002 2204 troquois Rd.	, Wilmette, IL 60091	50%
Section III — F	Business relationships w	ITH CITY ELECTI	ED OFFICIALS
Has the Disclos Code, with any Cit	ing Party had a "business relationsh y elected official in the 12 mouths t	ip," as defined in Ch; pefore the date this E	opter 2-156 of the Municipal DS is signed?
Yes	M No		
lf yes, please ident relationship(s):	ify below the name(s) of such City (elected official(s) and	l describe such

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.h.d." is not an acceptable response.
(Add sheets if necessar	(יכ)	J. 1	ere men en paren en paren en men en en en en
[X] Check here if the D	isclosing Party h	as not retained, nor expects to retait	n, any such persons or entities
Section V - Cert		· · · · · · · · · · · · · · · · · · ·	
A. COURT-ORDERE	D CHILD SUPP	ORT COMPLIANCE	
Under Municipal Co	de Section 2-92 compliance wit	415, substantial owners of business h their child support obligations thr	s entities that contract with oughout the contract's term.
Has any person who di arrearage on any child	rectly or indirect support obligation	ly owns 10% or more of the Disclosons by any Illinois court of competer	sing Party been declared in nt jurisdiction?
[]Yes 🔀		o person directly or indirectly owns selesing Party.	10% or more of the
If "Yes," has the person is the person in complic	rentered into a d	couft-approved agreement for payme	ent of all support owed and
e e			•
[]Yes []	No		4
B. FURTHER CERTIF	ICATIONS		

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1, of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government:
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - e. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - · the Disclosing Party;
 - any "Contractor" (meening any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any *Affiliated Entity" (meaning a person or entity that, directly or indirectly; controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the incligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the incligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity:
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a pany to any such
 agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or
 prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or
 otherwise; or
- c. made an admission of such conduct described in a, or b, above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:		
The state of the s		

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
None
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
None
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (cheek one)
[] is M is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

3. If you check	ed "Yes" to item D.1., provide the	names and business addresses of the City ly the nature of such interest:
Does the Matter in	volve a City Property Sale?	
2. Unless sold elected official or eany other person or for taxes or assess: "City Property Sale	to Part E. pursuant to a process of competition amployee shall have a financial insecutive in the purchase of any propagates, or (iii) is sold by virtue of le	we bidding, or otherwise pennitted, no City crest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, on pursuant to the City's eminent domain power
	,	to licins D.2. and D.3. If you checked "No" to
In accordant of the City have a sentity in the Matter Yes	inancial interest in his or her own	Aunicipal Code: Does any official or employee name or in the name of any other person or
Any words or term meanings when us	s that are defined in Chapter 2-156 ed in this Part D.	of the Municipal Code have the same
D. CERTIFICATI	ON REGARDING INTEREST IN	CITY BUSINESS
	men min Disolosing 1 mily conti	fied to the above statements.

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1, or 2, below. If the Disclosing Party checks 2,, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or load, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

Page 9 of 13

•	•	•		
which there occurs	ing Party will submit an up any event that materially a A.1. and A.2, above.	odated certification at the iffects the accuracy of the interior of the interi	ne and of each calculate the statements and it	idar quarter in nformation se
501(c)(4) of the Inte	ing Party certifies that eith ereal Revenue Code of 19: ernal Revenue Code of 19:	86; or (ii) it is no organ	ization described in	section
form and substance subcontract and the	sing Party is the Applicant to paragraphs A.1. throug Disclosing Party must ma ter and must make such co	h A.4. above from all s intain all such subconti	ubcontractors befor ractors' certification	e it awards an s for the
B. CERTIFICATIO	ON REGARDING EQUAL	l employment op	PORTUNITY	
If the Maner is fede subcontractors to su ocyotiations.	rally funded, federal regul ibmit the following inform	ations require the Appl ation with their bids or	icant and all propos in writing at the ou	ed Iset of
ls the Disclosing Pa	ny the Applicant?			•
[]Yes	[] No			
If "Yes," answer the	three questions below:			
1. Have you dev	veloped and do you have o (See 41 CFR Part 60-2.)	a file affirmative actio	o programs pussuu)	t to applicable
[]Ycs	[] No			
Contract Compliance under the applicable	ed with the Joint Reporting to Programs, or the Equal E filing requirements?	; Committee, the Direct Employment Opportuni	or of the Office of l ly Commission all r	Federal eports due
[] Yes	[]No			
3. Have you par equal opportunity cla	Ticipated in any previous c ause?	ontracts or subcontract	s subject to the	
[]Yes	() No			
If you checked "No"	' to question {, or 2, above	, please provide an exp	lanation:	

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances. Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, 1L 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be reseinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not reseinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article 1 of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System (*EPLS*) maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) averants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Harris Homer LLC	•
(Print or type name of Disclosing Party)	
By: A flows	
(Sign/here)	
Stewart A. Herris S. L. W. Sug + 1 / Day 1 . 1	
(Print or type name of person signing)	
Monagor Pile Incat	
(Print or type title of person signing)	
Signed and sworn to before me on (date) November 16, at Cook County, Illinois (state). Lil Lande Notary Public. Commission expires: 8-5-18	OFFICIAL SEAL

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this BDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or encle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Porty; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, educt operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

M No

f 1 Yes

()	(74.10	
such persoa is connected	d; (3) the name and title of t	e of such person. (2) the name of the legal entity to which he elected city official or department head to whom such a nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owners). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?			
	CONIC	· ·		
	[]Yes		[X] No	en e
2.	If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlard pursuant to Section 2-92-416 of the Municipal Code?			
	[] Yes	:	[]No	[X] Not Applicable
				· .
			•	and the second s
3.	identified as a h	milding code s	se identify below the n cofflaw or problem kan nt code violations apply	ame of the person or legal entity dlord and the address of the building or y
3.	identified as a h	milding code s	cofflaw or problem lan	dlord and the address of the building or
3.	identified as a h	milding code s	cofflaw or problem lan	dlord and the address of the building or

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED BDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.