

## City of Chicago



SO2015-1392

## Office of the City Clerk

## **Document Tracking Sheet**

**Meeting Date:** 

3/18/2015

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 14-C at 5543-5559 S Cornell Ave, 1634-1664 E 56th St, 5534-5558 S Cornell Ave, 1604-1624 E 56th St and 5528-5532 S Cornell Ave -

App No. 18328

**Committee(s) Assignment:** 

Committee on Zoning, Landmarks and Building Standards

### SUBSTITUTE ORDINANCE

Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all Residential-Business Planned Development No. 282, As Amended and other symbols and indications as shown on Map Number 14-C in the area bounded by:

a line 264.06 feet south of and parallel to East 55th Street; South Cornell Avenue; the alley next north of and parallel to East 56th Street (lying east of South Cornell Avenue); South Hyde Park Boulevard; East 56th Street; a line 200.02 feet west of and parallel to South Cornell Avenue; the alley next north of and parallel to East 56th Street (lying west of South Cornell Avenue); and the alley next west of and parallel to South Cornell Avenue

to those of Residential-Business Planned Development No. 282, As Amended, which is hereby established in the area described above, subject to such use and bulk regulations as are set forth in the Plan of Development herewith attached hereto and made a part hereof and no others.

SECTION 2. This Ordinance shall be in force and effect from and after its passage and due publication.

#### Common Addresses:

5543-59 South Cornell Avenue/ 1634-64 E. 56th Street

5534-58 South Cornell Avenue/ 1604-1624 E. 56th Street

5528-32 South Cornell Avenue

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### Residential-Business Planned Development Number 282, as Amended Plan of Development Statements

- 1. The area delineated herein as Residential-Business Planned Development Number 282, as Amended (the "Planned Development") consists of approximately one hundred twenty thousand nine hundred fifty (120,950.44) square feet (two and seventy-eight hundredths (2.78) acres) (the "Property") net site area which is depicted on the attached Planned Development Boundary, Property Line, Right-of-Way Adjustment and Subarea Map. The property is divided into three (3) subareas. Subarea A is owned by Windermere House, LLC. Windermere House, LLC is "Applicant" under this Ordinance with respect to Subarea A; Solstice on the Park, LLC is "Applicant" with respect to Subarea B; and 5528 S. Cornell Avenue Owner, LLC are collectively referred to in this Ordinance as the "Applicants".
- 2. All applicable official reviews, approvals or permits implementing this Planned Development are required to be obtained by the Applicant or Applicants as specified in Statement 3. Any dedication or vacation of streets, alleys, or easements, any adjustments of right-of-way, or any consolidation or re-subdivision of parcels, shall require a separate submission on behalf of such Applicants or their respective successors, assignees, or grantees and approval by the City Council.
- 3. The requirements, obligations and conditions contained within this Planned Development shall be binding upon each Applicant, its respective successors and assigns, grantees and lessees and, if different than an Applicant, the legal titleholders or any ground lessors of its Subarea. All rights granted hereunder to the Applicants shall inure to the benefit of the Applicants' respective successors and assigns and, if different than the Applicants, the legal titleholder or any ground lessors of their respective Subareas. Furthermore, pursuant to the requirements of Article 17-8-0400 of the Chicago Zoning Ordinance, at the time applications for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, the Property shall be under single ownership or under single designated control.

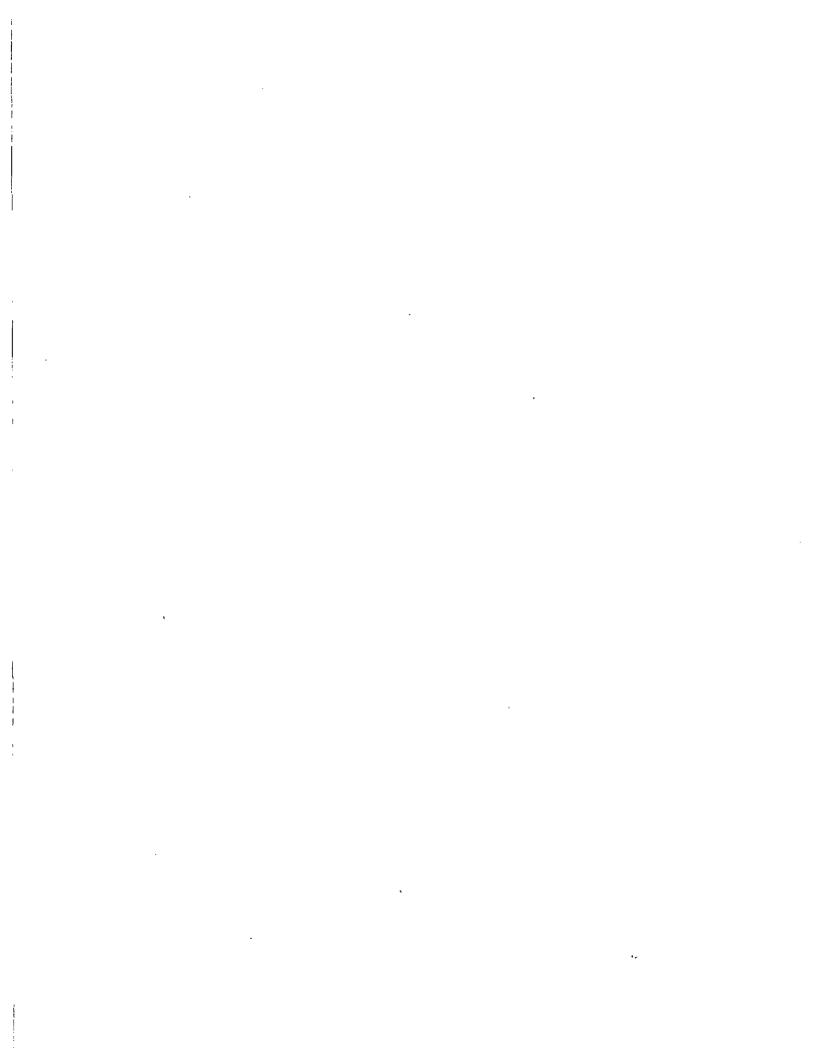
Single designated control for purposes of this Statement shall mean any application to the City for any amendment to this Planned Development or any other modification or change thereto (administrative, legislative or otherwise) shall be made or authorized by all the owners of the Property and any ground lessors, subject however to the following exceptions and conditions: (a) an agreement among property owners, the board of directors of any property owners association, or a covenant binding property owners may designate the authorized party for any future amendment, modification or change; (b) except to the extent such an agreement is in effect, any changes or modifications to this Planned Development applicable to or in a given Subarea need only be made or authorized by the owners and/or any ground lessees of such Subarea provided there is no adverse effect on any other Subarea; and (c) where portions of the improvements located

APPLICANTS: Subarea A: Windermere House, LLC; Subarea B: Solstice on the Park, LLC; Subarea C: 5528 S. Cornell

· Avenue Owner, LLC

ADDRESSES: Subarea A: 5543-59 S. Cornell Ave./1634-64 E. 56th St.; Subarea B: 5534-58 S. Cornell Ave./1604-1624 E.

56th St.; Subarca C: 5528-32 S. Cornell Ave.



on the Property have been submitted to the Illinois Condominium Property Act, the term "owner" shall be deemed to refer solely to the condominium association of the owners of such portions of the improvements and not to the individual unit owners therein.

4. The following uses shall be permitted within the Planned Development:

Subarea A: Multi-unit residential, accessory and related uses, and Residential Support Services as enumerated in Section 17-17-0104-Y of the Zoning Ordinance, provided, however, Subarea A at the time of adoption of this amended Planned Development Ordinance has approximately thirty thousand (30,000) square feet of floor area devoted to non-residential uses, including including retail, General Restaurant, office, medical office, dental office, and daycare/childcare facilities and may continue to have those and similar non-residential uses within no more than thirty thousand (30,000) square feet of floor area.

Subarea B: Multi-unit residential; Vacation Rental; accessory parking for all three Subareas; up to 45% non-accessory parking in accordance with Section 17-10-0503 of the Zoning Ordinance, including six (6) parking spaces for the adjacent school site, provided that no special use shall be required for the foregoing; uses that are accessory and related amenities for the principal residential use, including fitness center, pool, meeting rooms and office space to support residents engaged in school or home occupations, party room, convenience retail not to exceed 1,500 square feet in floor area, and drop-off dry cleaners (no On-Premise plant).

Subarea C: Multi-unit residential and accessory uses.

Any portion of the Property may be used on an interim basis during construction for construction staging, the storage of construction materials, and parking for construction purposes.

5. This Planned Development consists of these seventeen (17) statements, a Bulk Regulations and Data Table, and the following plans (collectively, the "Approved Plans") prepared by Studio/Gang/Architects, Ltd. and dated February 18, 2016: Existing Zoning Map; Planned Development Boundary, Property Line, Right-of-Way and Subarea Map; Site Plan - Extended; Sübarea B Site Plan; Landscape Plan; Landscape Detail; Green Roof Plan; Lower Level Parking and Tower Plan; Level 1 Parking and Tower Plan; Level 2 Parking Plan; Level 3 Parking Plan and Subarea B South, North, East and West Elevations. These and no other zoning controls shall apply to the Property. The Planned Development conforms to the intent and purpose of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, and all requirements thereof, and satisfies the established criteria for approval as a planned development. In any instance where a

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Avenue Owner, LLC

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56th St.; Subarea C: 5528-32 S. Cornell Ave.

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provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control.

- 6. On-Premise signs shall be permitted within the Planned Development subject to the review and approval of the Department of Planning and Development (the "Department"). Temporary signs such as construction and marketing signs shall be permitted subject to the review and approval of the Department. Off-Premise signs shall not be permitted in the Planned Development.
- 7. The improvements on Subarea B of the Property shall be designed, constructed, and maintained: (i) in substantial conformance with this Planned Development and the Subarea B Site Plan, Landscape Plan, and Building Elevations; and (ii) in accordance with the parkway tree and the landscaping and screening provisions of the Chicago Zoning Ordinance. With respect to the driveway and vehicle use area on the south side of the building proposed for Subarea B, where the unique design of the building is reflected in the Site Plans and Landscape Plans, the Approved Plans shall control. The landscaping and screening provisions of the Chicago Zoning Ordinance shall control in the event of any other conflict with the Subarea B Site Plans and Landscape Plans.
- 8. Off-street parking and off-street loading facilities shall be provided in compliance with this Plan of Development. The required parking facilities within the Planned Development shall be permitted to have drive aisle and parking space dimensions in substantial conformity with those depicted on the Approved Plans. Nothing in this Plan of Development will prohibit automotive lifts or valet parking if applicable approvals and licenses are obtained.
- 9. Any service drive or any other means of ingress or egress, including for emergency vehicles, shall be adequately designed and paved in accordance with the provisions of the Municipal Code and the regulations of the Chicago Department of Transportation ("CDOT") in effect at the time of review. There shall be no parking or storage of garbage receptacles within such service drives or within fire lanes. Any change to off-street parking ingress and egress as depicted in the Approved Plans shall be subject to the review and approval of CDOT and the Department.

All work in the public way must be designed and constructed in accordance with CDOT Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Closure of all or part of any public streets or alleys during demolition or construction shall be subject to the review and approval of CDOT.

The Applicants as specified in Statement 3 shall obtain the applicable official reviews, approvals and permits from the various City Departments and or Committees regarding the use of the public way for any encroachments of public way space including encroachments on, over or under the street, sidewalk, parkway or alley. Such approval

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56th St.: Subarea C: 5528-32 S. Cornell Ave.

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- and permits shall require a separate submittal on behalf of such Applicants or their respective successors, assignees or grantees and approval by the City Council.
- 10. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. In addition to the maximum height of the building and any appurtenance thereto prescribed in this Planned Development, the height of any improvement shall also be subject to height limitations as approved by the Federal Aviation Administration.
- 11. For purposes of F.A.R. calculations and floor area measurements, the definitions in the Chicago Zoning Ordinance shall apply, provided, however, no floor area used for parking and loading will count as floor area for F.A.R. purposes. It is also hereby acknowledged that: (i) any existing non-conformities with respect to F.A.R. and minimum lot area ("M.L.A.") within Subarea A are legal non-conformities; and (ii) in accordance with the attached Bulk Regulations and Data Table, F.A.R. and M.L.A. for Subarea B and Subarea C are permitted to be calculated on an aggregate basis, and F.A.R. and M.L.A. from Subarea C are being incorporated into Subarea B to permit the construction of the improvements to be located within Subarea B.
- 12. The terms, conditions and exhibits of this Planned Development ordinance may be modified administratively by the Zoning Administrator upon the application for such a modification by the Applicants as specified in Statement 3 and after a determination is made by the Zoning Administrator that such a modification is minor, appropriate and consistent with the nature of the improvements contemplated in this Planned Development and the purposes underlying the provisions hereof. Any such modification of the requirements of this Planned Development by the Zoning Administrator shall be deemed to be a minor change in the Planned Development as contemplated by Section 17-13-0611 of the Chicago Zoning Ordinance. It is acknowledged that interior parking layouts and demising walls for the rooms and other interior spaces as shown on the Approved Plans are illustrative only and that the reconfiguration of such interior spaces shall not be deemed to require any further approvals pursuant hereto.
- 13. The Applicants acknowledge that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. Development within Subarea B shall be in substantial compliance with the current City of Chicago Sustainable Development Policy set forth by the Department as follows: The Applicant for Subarea B shall: (a) obtain LEED certification, Green Globe or Energy Star certification for the Subarea B development; and (b) provide a vegetated ("green") roof on at least fifty percent (50%) of the Net Roof Area within Subarea B as shown on the Green Roof Plan (approximately 6,600 square feet). "Net Roof Area" shall exclude: rooftop parking areas, perimeter setbacks, roof areas occupied by rooftop structures and roof-mounted equipment, and roof areas above any enclosed mechanical penthouse.
- 14. The Applicants acknowledge that it is in the public interest to design, construct and maintain the building and other improvements within Subarca B in a manner that

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promotes, enables, and maximizes universal access throughout Subarea B. Plans for all buildings and improvements within Subarea B shall be reviewed and approved by the Mayor's Office for People with Disability ("M.O.P.D.") to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote a high standard of accessibility.

- 15. Upon Part II Review for any improvements within Subarea B, a Part II Review Fee shall be assessed by the Department pursuant to Section 17-13-0610 of the Chicago Zoning Ordinance. The fee as determined by staff at the time is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval for Subarea B.
- 16. All further development within the Planned Development shall comply with the Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings under Section 13-32-125 of the Municipal Code of Chicago or any other provision of that Code.
- 17. Unless substantial construction has commenced within six (6) years following adoption of this amendment to the Planned Development, and unless completion is thereafter diligently pursued, the Zoning Administrator shall initiate a Zoning Map Amendment to rezone the Property to the pre-existing classifications of Residential, Business Planned Development Number 282 as amended and modified prior to the approval of this Plan of Development. Said six (6) years may be extended for up to one (1) additional year, if before expiration, the Zoning Administrator determines that a good cause for such an extension is shown.

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# Residential-Business Planned Development Number 282, As Amended Plan of Development Bulk Regulations and Data Tablè

Gross Site Area (178,750.49 square feet/4.10 acres) = Net Site Area (120,950.44 square feet/2.78 acres) + public right-of-way (57,799.96 square feet/1.33 acres)

Net Site Area:

Subarea A: 51,892.30 square feet Subarea B: 52,503.47 square feet Subarea C: 16,554.67 square feet TOTAL 120,950.44 square feet

Maximum Floor Area Ratio:

6.5\* (Blended for overall Planned Development)

Subarea A: 6.41 (per existing condition)

Subarea B: 7.0\*

Subarea C: 1.82 (per existing condition)

\* excludes all floor area devoted to parking and loading.

Maximum Dwelling Units and Efficiency Standards:

Subarea A: Maximum 220 Dwelling Units (including at least 33 Efficiency Units)

Subarea B: Maximum 250 Dwelling Units (including 81 Efficiency Units)
Subarea C: Maximum 53 Dwelling Units (including 44 Efficiency Units)

TOTAL: Maximum 523 Dwelling Units

Permitted Uses: As listed in Statement 4

Minimum Off-Street Parking Spaces to be provided:

Subarea A: 0 (per existing condition)

Subarea B: Prior to completion and initial occupancy of Subarea B building (but

excluding construction periods): 163 During construction within Subarea B: 0

Following completion and initial occupancy of Subarea B building: 316

Subarea C: 0 (per existing condition)

Minimum Bicycle Parking 1 for each 2 auto spaces provided

**Minimum Off-Street Loading Berths** 

Subarea A: 0 (per existing condition)
Subarea B: 2 at 10 feet by 25 feet
Subarea C: 0 (per existing condition)

Maximum Building Heights:

Subarea A: Existing, no change requested by Applicant Subarea B: 299 feet to underside of top habitable floor Existing, no change requested by Applicant

Minimum Setbacks from Property Line:

Subarea A: Existing, no change requested by Applicant Subarea B: In substantial conformance with Site Plans Subarea C: Existing, no change requested by Applicant

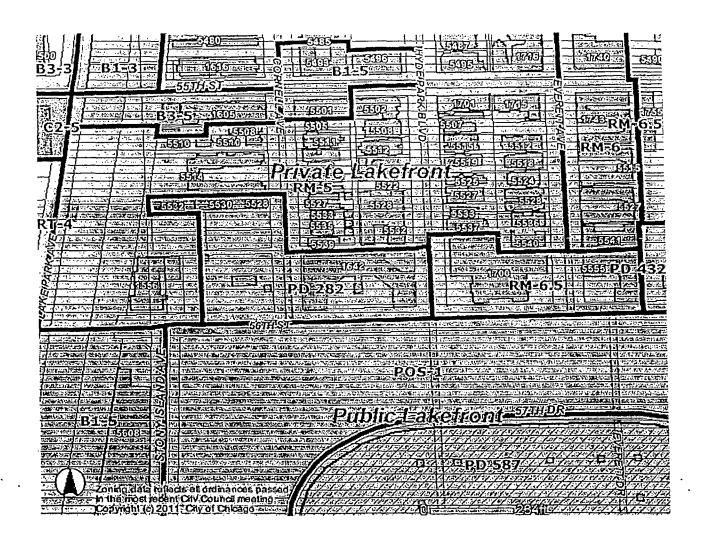
APPLICANTS: Subarea A: Windermere House, LLC; Subarea B: Solstice on the Park, LLC; Subarea C: 5528 S. Cornell

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ADDRESSES: Subarea A: 5543-59 S. Cornell Ave./1634-64 E. 56th St.; Subarea B: 5534-58 S. Cornell Ave./1604-1624 E.

56<sup>th</sup> St.; Subarea C: 5528-32 S. Cornell Ave.

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A: 5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; B: 5534-58 S. Cornell Ave./

3: 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.;

C: 5528-32 S. Cornell Ave

APPLICANTS BY SUBAREA:

A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC;

B: Solstice on the Park,

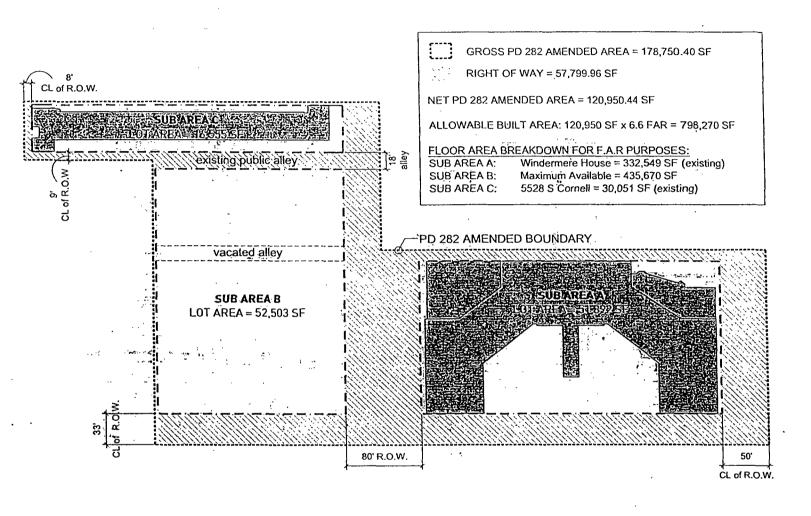
C: 5528 S. Cornell Avenue Owner.

LLC;

**EXISTING ZONING MAP** 

SCALE: NTS

STUDIO/ GANG /ARCHITECTS



ADDRESSES BY

SUBAREA: 1634-64 E. 56th St.;

B: 5534-58 S. Cornell Ave./

1604-1624 E. 56th St.;

C: 5528-32 S. Cornell Ave LLC;

**APPLICANTS BY** SUBAREA:

A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC: B: Solstice on the Park,

LLC:

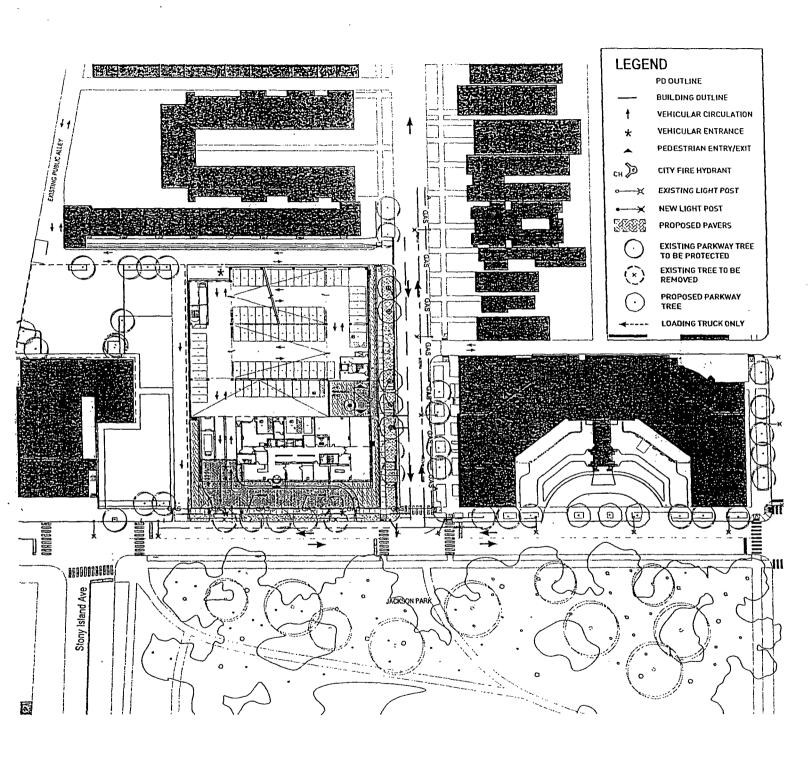
C: 5528 S. Cornell Avenue Owner,

PLANNED DEVELOPMENT BOUNDARY, PROPERTY LINE, RIGHT-OF-WAY **ADJUSTMENT & SUB-AREA MAP** 

SCALE: NTS

STUDIO/ **GANG** /ARCHITECTS

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A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC; 1634-64 E. 56th St.;

B: 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.;

C: 5528-32 S. Cornell Ave

### APPLICANTS BY SUBAREA:

B: Solstice on the Park,

LLC:

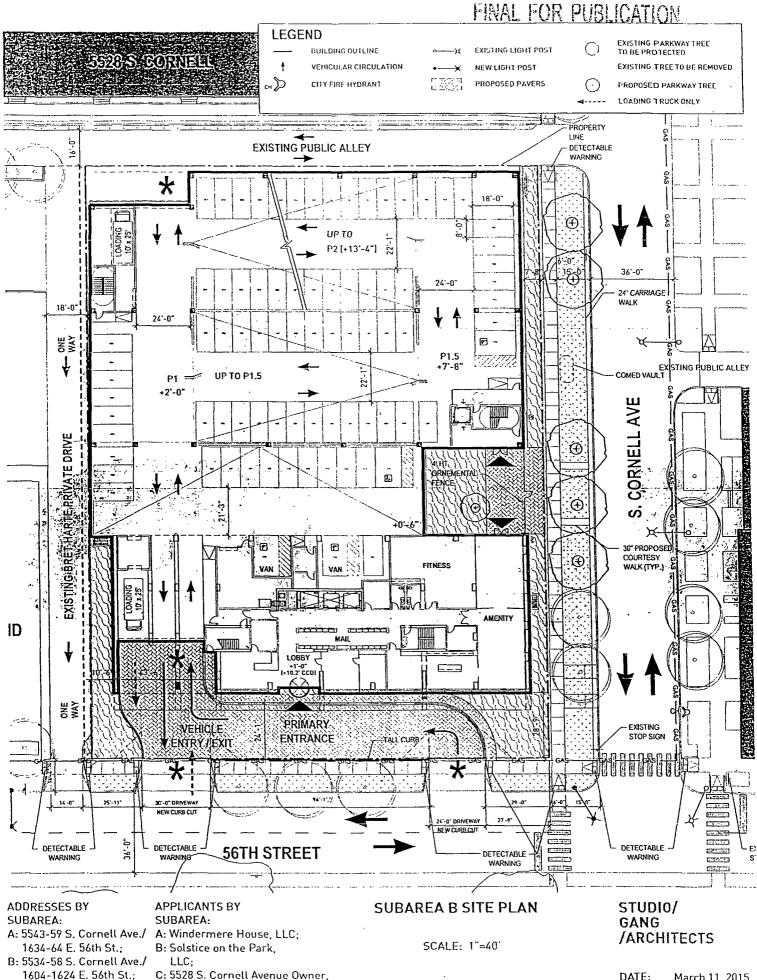
C: 5528 S. Cornell Avenue Owner, LLC;

### **EXTENDED SITE PLAN**

SCALE: 1"=100'-0"

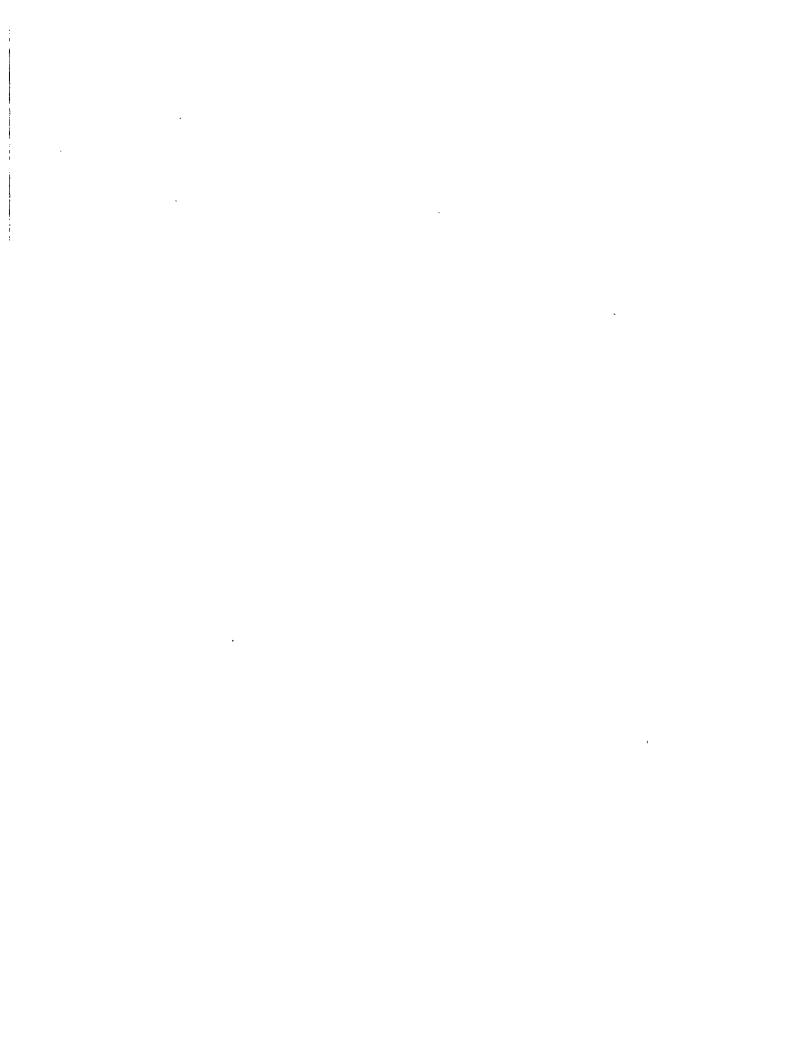
### STUDIO/ **GANG** /ARCHITECTS

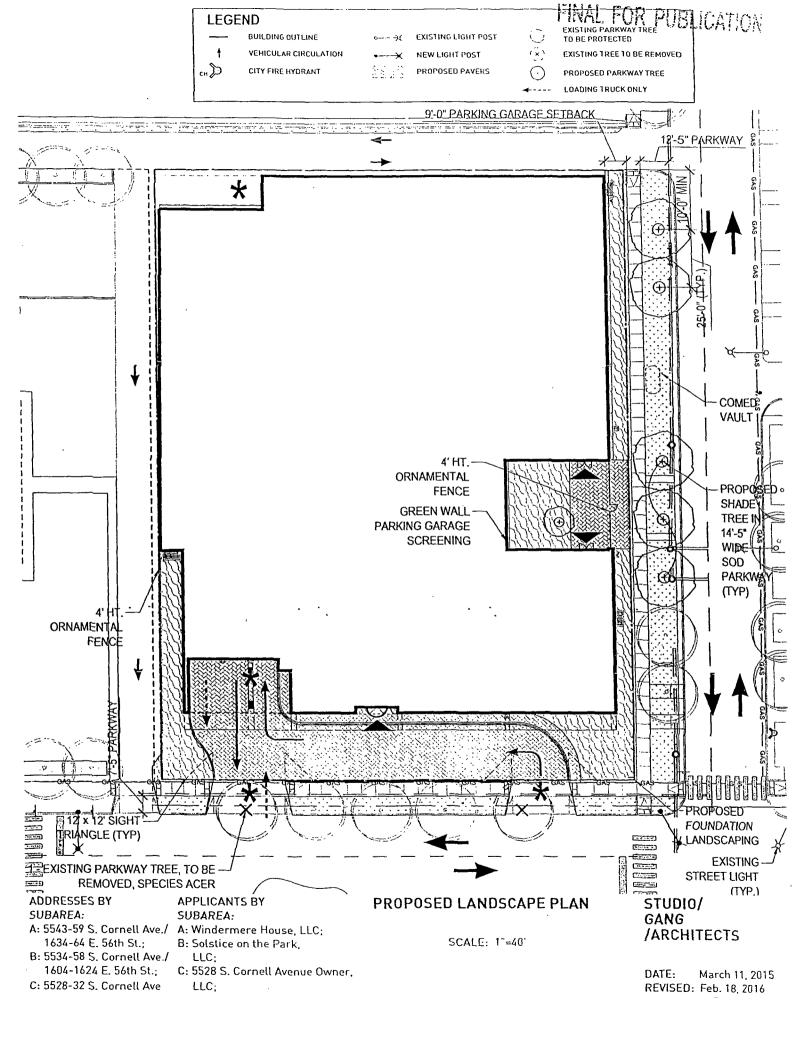
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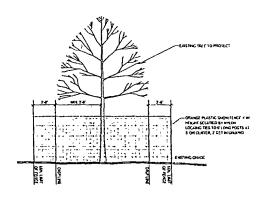


C: 5528-32 S. Cornell Ave

· LLC:

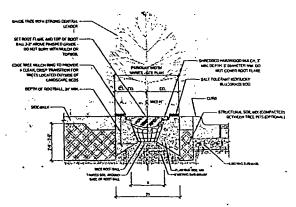






TREE PROTECTION DETAIL

SHRUB PLANTING DETAIL



PARKWAY TREE PLANTING DETAIL

PERENNIAL PLANTING DETAIL

ADDRESSES BY SUBAREA:

1634-64 E. 56th St.:

B: 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.;

C: 5528-32 S. Cornell Ave

APPLICANTS BY SUBAREA:

A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC;

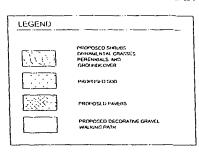
B: Solstice on the Park,

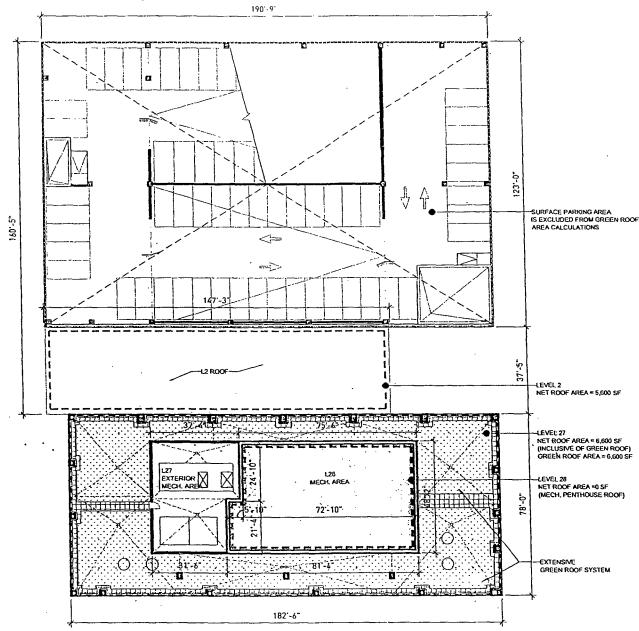
C: 5528 S. Cornell Avenue Owner, LLC:

LANDSCAPE DETAILS

SCALE: NTS

STUDIO/ **GANG** /ARCHITECTS





A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC; 1634-64 F. 56th St.:

B: 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.;

C: 5528-32 S. Cornell Ave

APPLICANTS BY SUBAREA:

B: Solstice on the Park,

LLC:

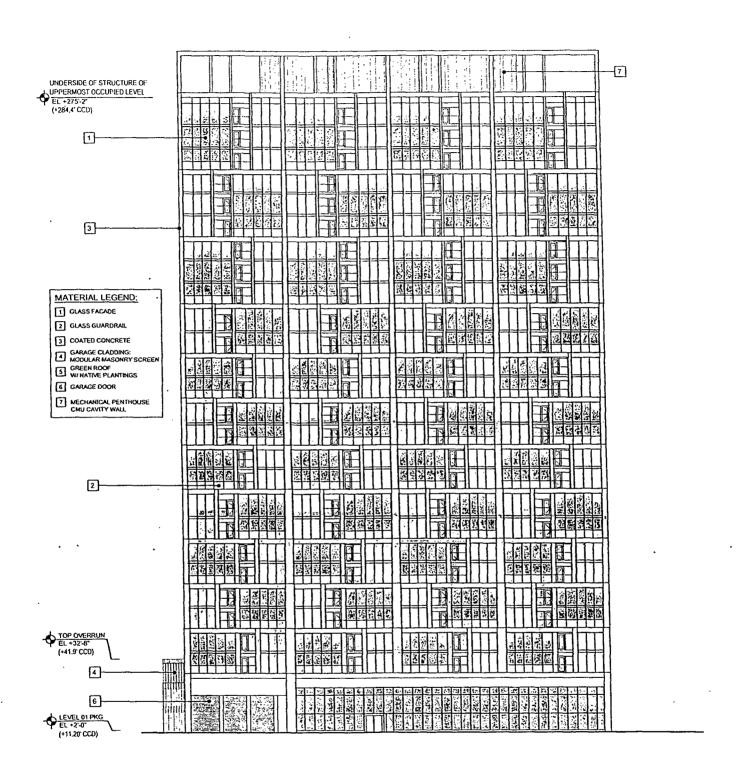
C: 5528 S. Cornell Avenue Owner,

LLC:

**GREEN ROOF PLAN** 

SCALE: 1"=40"

STUDIO/ GANG /ARCHITECTS



1634-64 E. 56th St.;

B: 5534-58 S. Cornell Ave./ C: 5528-32 S. Cornell Ave

**APPLICANTS BY** SUBAREA:

A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC;

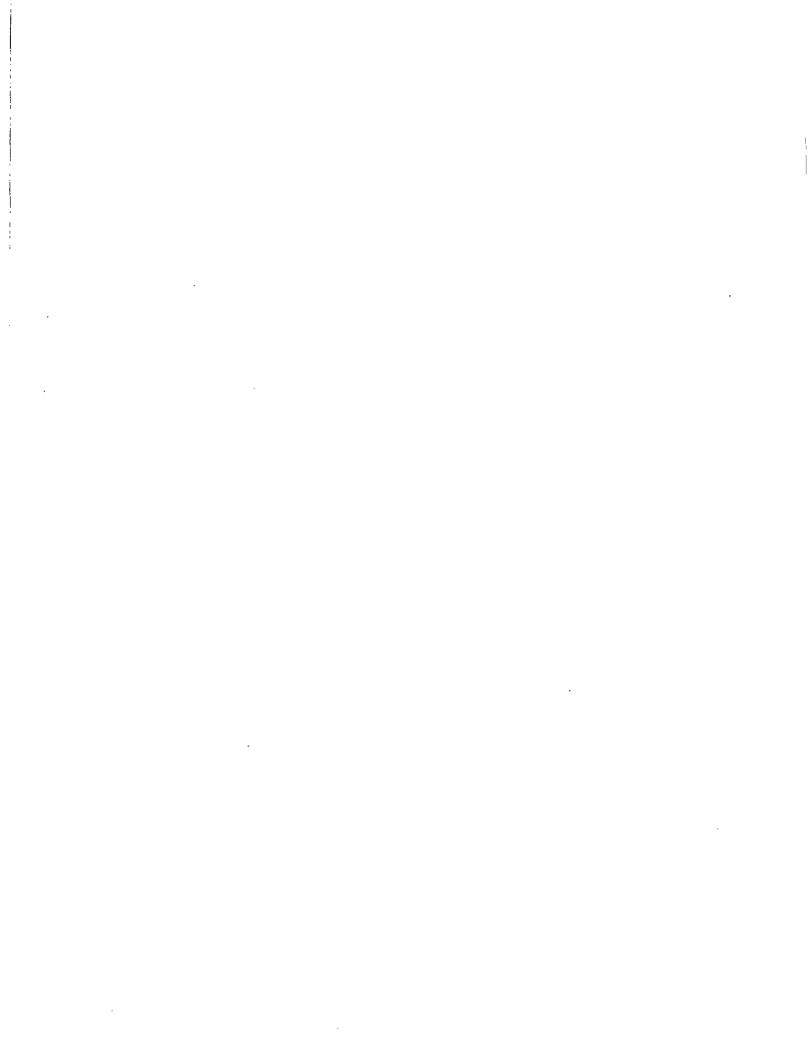
B: Solstice on the Park, LLC;

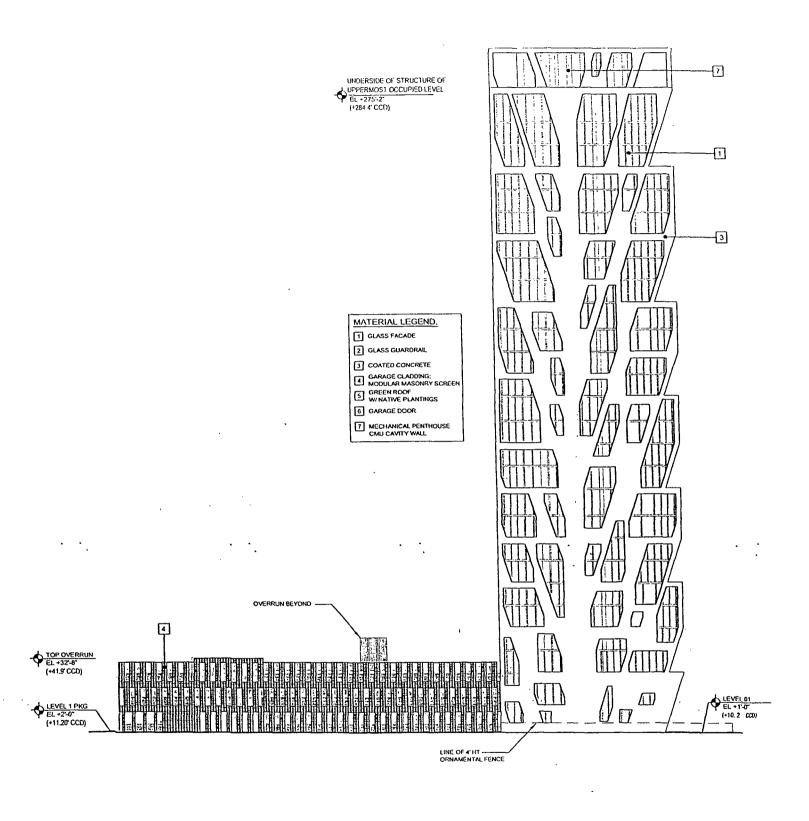
1604-1624 E. 56th St.; C: 5528 S. Cornell Avenue Owner, LLC:

**SOUTH ELEVATION** 

SCALE: 1"=40'-0"

STUDIO/ **GANG** /ARCHITECTS





A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC; 1634-64 E. 56th St.;

B: 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.;

C: 5528-32 S. Cornell Ave

**APPLICANTS BY** SUBAREA:

B: Solstice on the Park,

LLC;

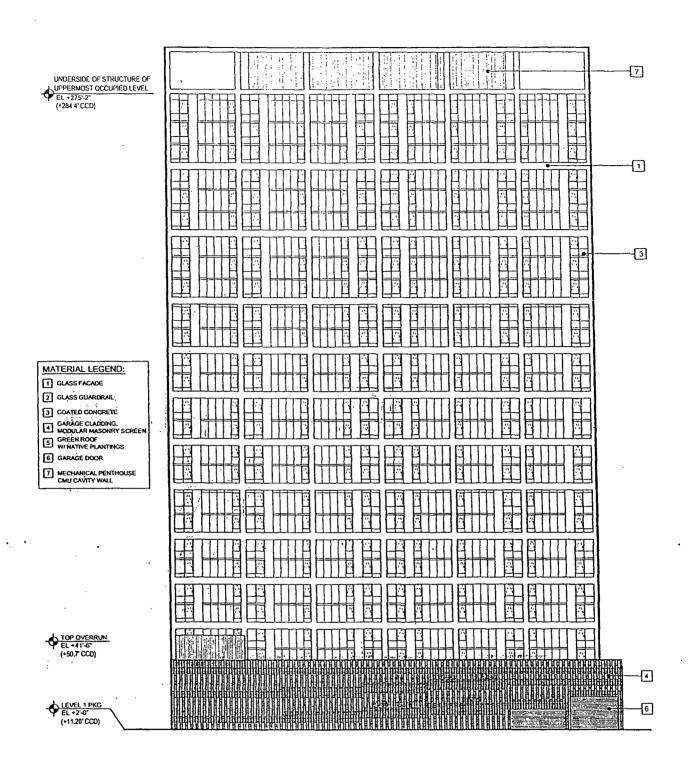
C: 5528 S. Cornell Avenue Owner,

LLC;

**WEST ELEVATION** 

SCALE: 1"=40'-0"

STUDIO/ **GANG** /ARCHITECTS



1634-64 E. 56th St.;

B: 5534-58 S. Cornell Ave./

1604-1624 E. 56th St.; C: 5528-32 S. Cornell Ave

**APPLICANTS BY** SUBAREA:

A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC:

B: Solstice on the Park, LLC;

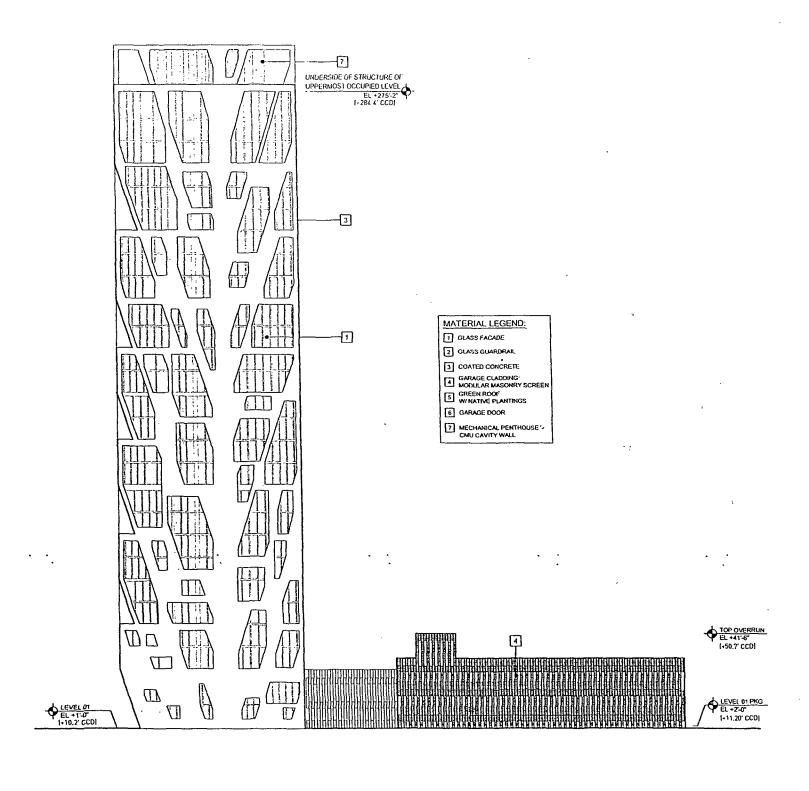
C: 5528 S. Cornell Avenue Owner, LLC;

NORTH ELEVATION

SCALE: 1"=40'-0"

STUDIO/ GANG /ARCHITECTS

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A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC; 1634-64 E. 56th St.;

B: 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.;

C: 5528-32 S. Cornell Ave

**APPLICANTS BY** SUBAREA:

B: Solstice on the Park,

LLC:

C: 5528 S. Cornell Avenue Owner,

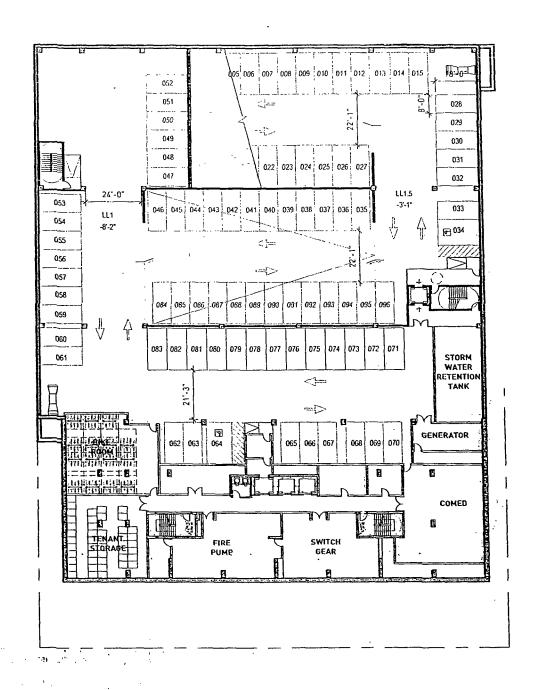
LLC:

**EAST ELEVATION** 

SCALE: 1"=40'-0"

STUDIO/ GANG /ARCHITECTS

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1634-64 E. 56th St.; B: 5534-58 S. Cornell Ave./

1604-1624 E. 56th St.; C: 5528-32 S. Cornell Ave

APPLICANTS BY SUBAREA:

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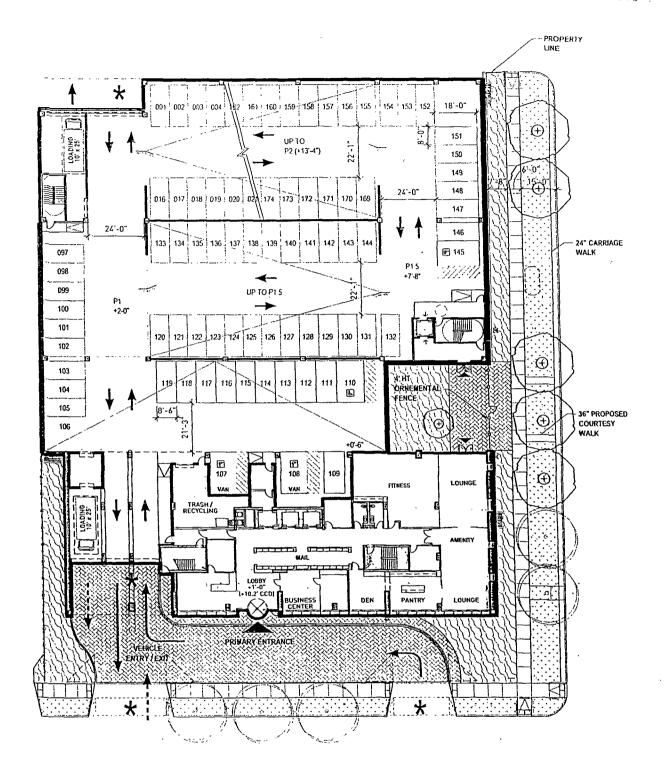
LLC:

C: 5528 S. Cornell Avenue Owner, LLC:

LOWER LEVEL PARKING AND **TOWER PLAN** 

SCALE: 1"=40'-0"

STUDIO/ **GANG** /ARCHITECTS



A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC: 1634-64 E. 56th St.;

B: 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.;

C: 5528-32 S. Cornell Ave

APPLICANTS BY SUBAREA:

B: Solstice on the Park.

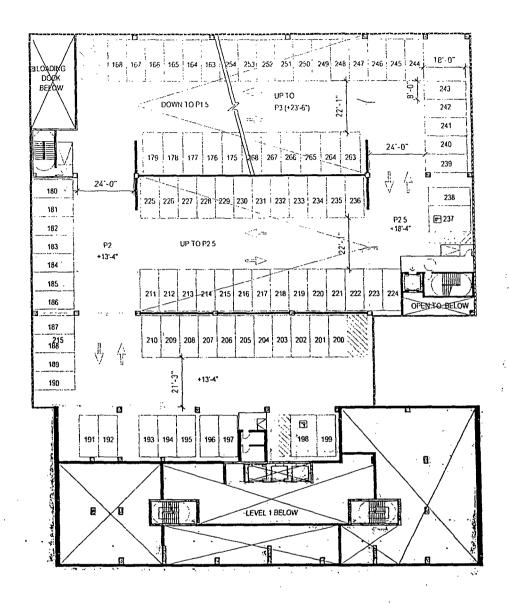
LLC:

C: 5528 S. Cornell Avenue Owner, LLC:

**LEVEL 1 PARKING AND TOWER PLAN** 

SCALE: 1"=40'-0"

STUDIO/ **GANG** /ARCHITECTS



1634-64 E. 56th St.;

B: 5534-58 S. Cornell Ave./ C: 5528-32 S. Cornell Ave

APPLICANTS BY SUBAREA:

A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC;

B: Solstice on the Park, LLC;

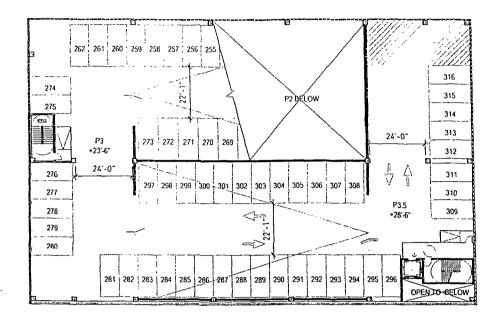
1604-1624 E. 56th St.; C: 5528 S. Cornell Avenue Owner, LLC:

**LEVEL 2 PARKING AND TOWER PLAN** 

SCALE: 1"=40"-0"

STUDIO/ **GANG** /ARCHITECTS

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ADDRESSES BY SUBAREA:

A: 5543-59 S. Cornell Ave./ A: Windermere House, LLC; 1634-64 E. 56th St.;

B: 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.:

C: 5528-32 S. Cornell Ave

**APPLICANTS BY** SUBAREA:

B: Solstice on the Park,

LLC:

C: 5528 S. Cornell Avenue Owner.

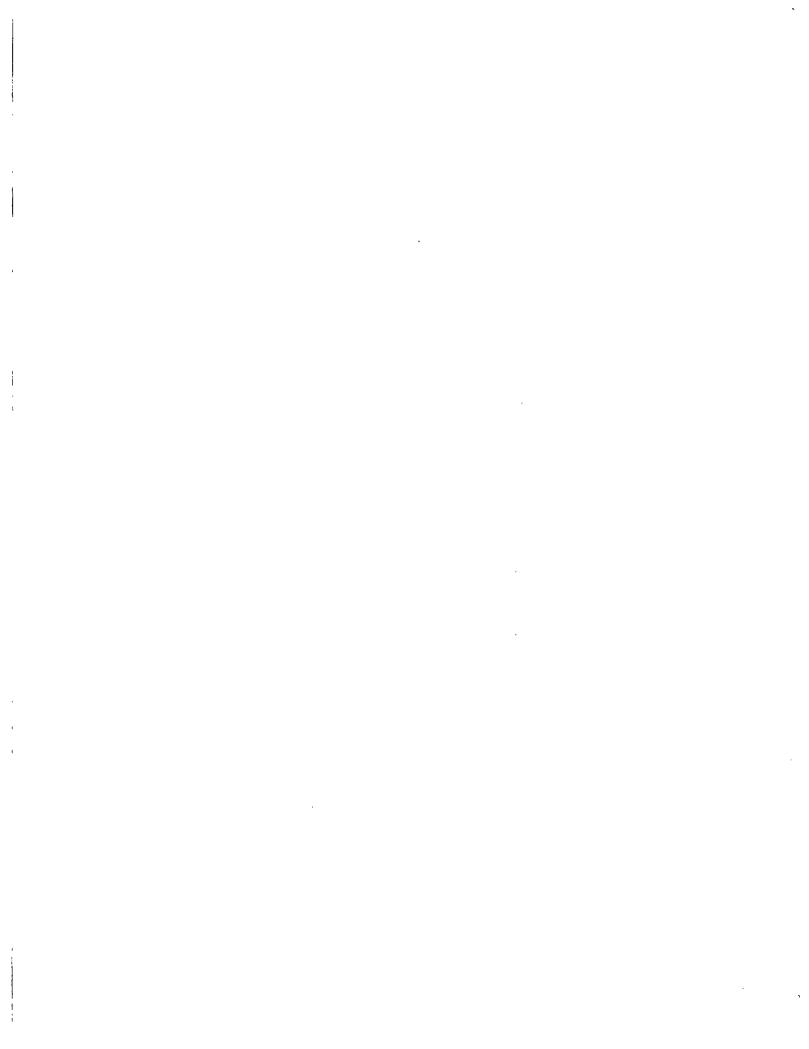
LLC;

**LEVEL 3 PARKING PLAN** 

SCALE: 1"=40'-0"

STUDIO/ **GANG** /ARCHITECTS

DATE: March 11, 2015 REVISED: Feb. 18, 2016





### DIPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

### **MEMORANDUM**

TO:

Alderman Daniel S. Solis

Chairman, City Council Committee on Zoning

FROM:

David L. Reifman

Secretary

Chicago Plan Commission

February 19, 2016 Proposed Amendment to Planned Development No. 282 for property generally located at 1604-1664 East 56th Street.

On February 18, 2016, the Chicago Plan Commission recommended approval of the proposed amendment submitted by Solstice on the Park, LLC, Windermere House, LLC and 5528 South Cornell Owner, LLC. A copy of the proposed planned development is attached I would very much appreciate your assistance in having this introduced at the next possible City Council Committee on Zoning hearing.

Also enclosed is a copy of the staff report to the Plan Commission which includes the Department of Planning and Development, bureau of Planning and Zoning recommendation and a copy of the Resolution. If you have any questions in this regard, please do not hesitate to contact me at 744-5777.

Steve Valenziano

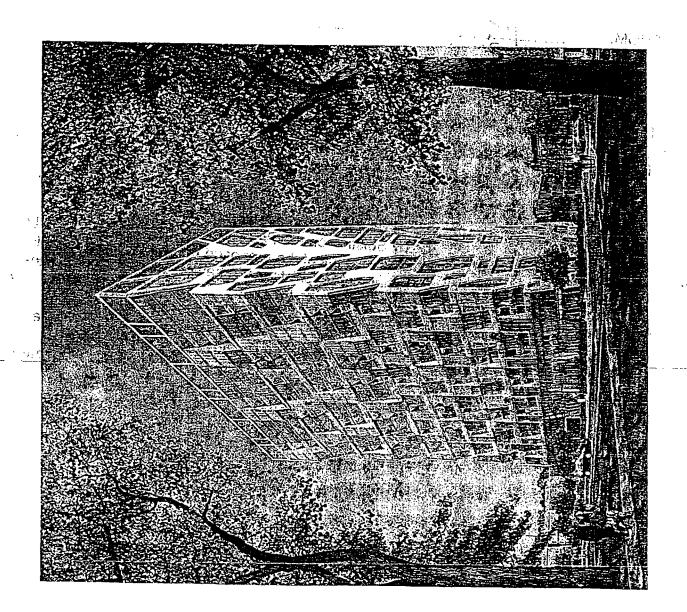
PD Master File (Original PD, copy of memo)

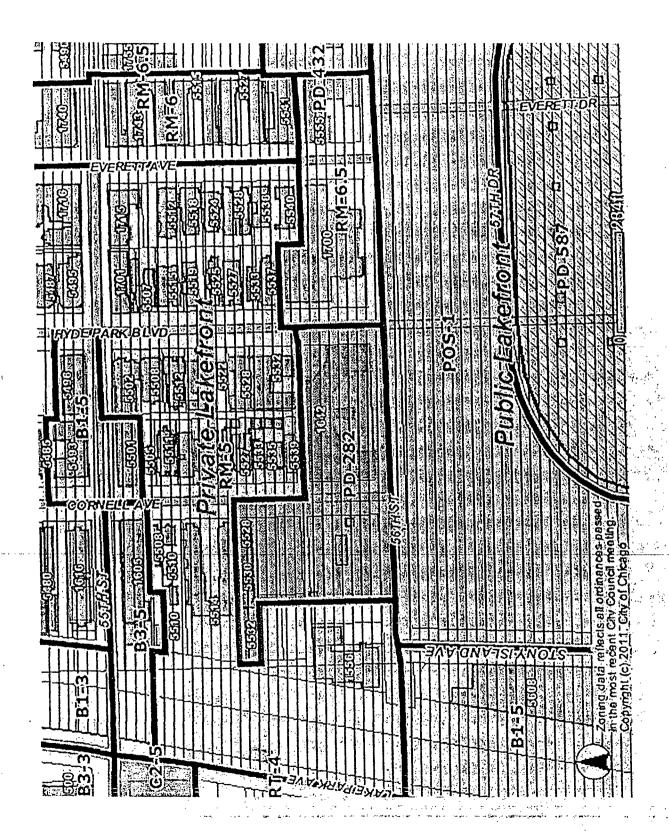
# CHICAGO PLAN COMMISSION

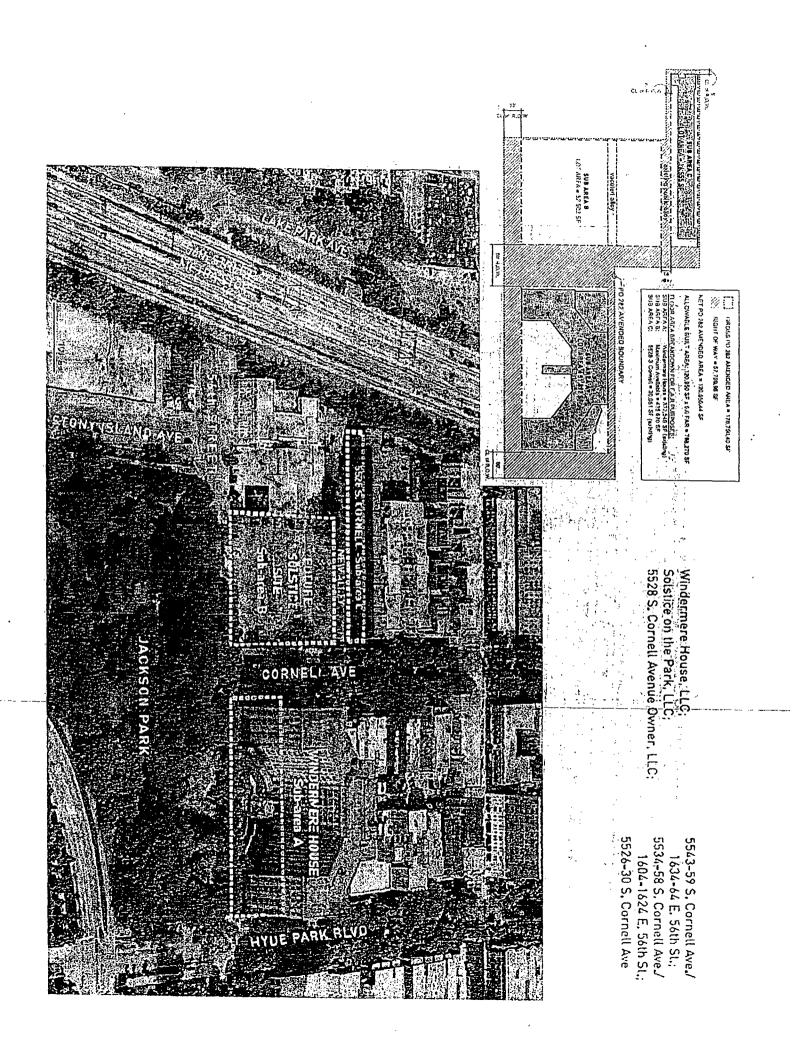
February 18, 2016

2016 Amendment of Residential-Business Planned Development #282, as Amended

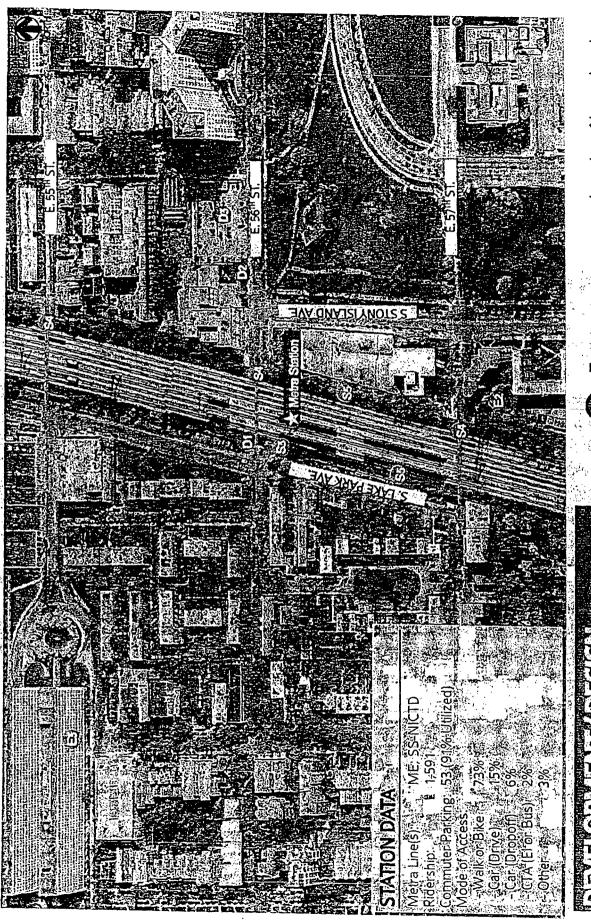
Lake Michigan and Chicago Lakefront Protection Ordinance Application #666





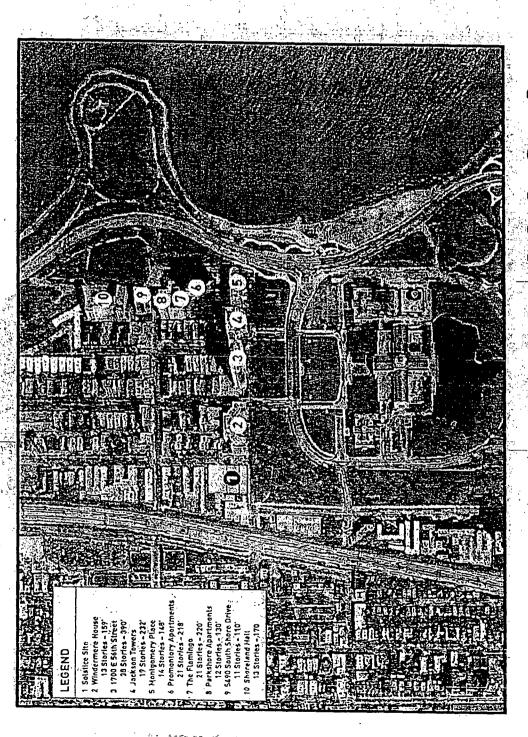


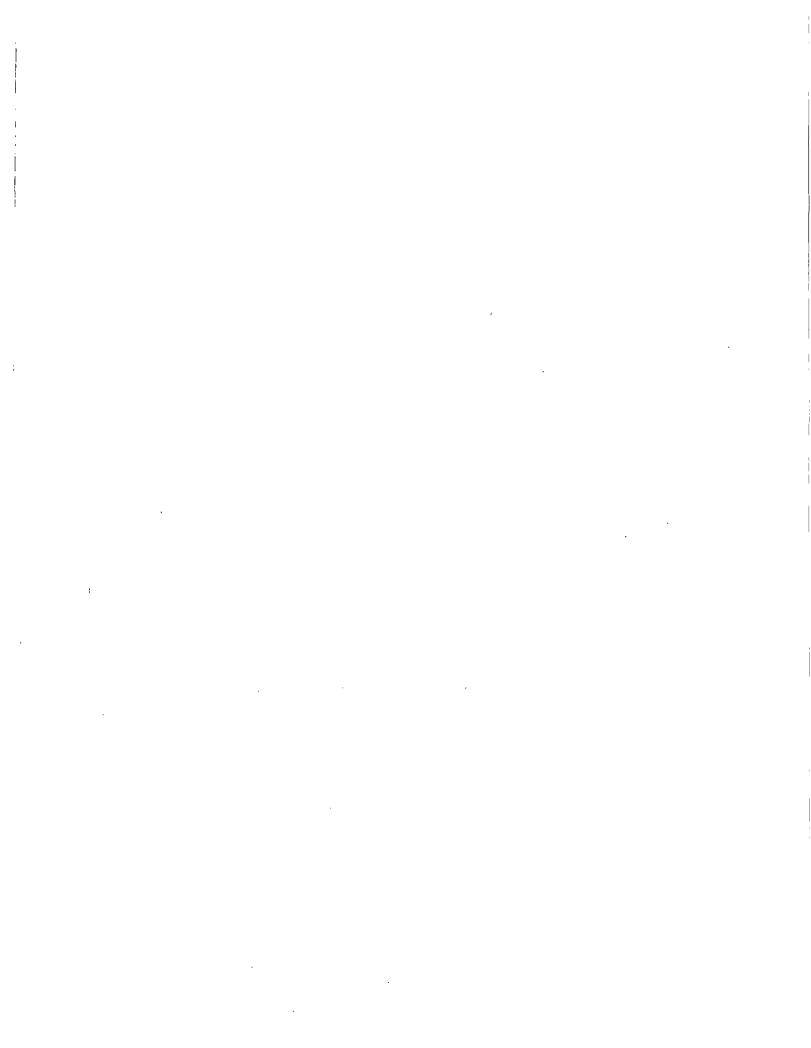
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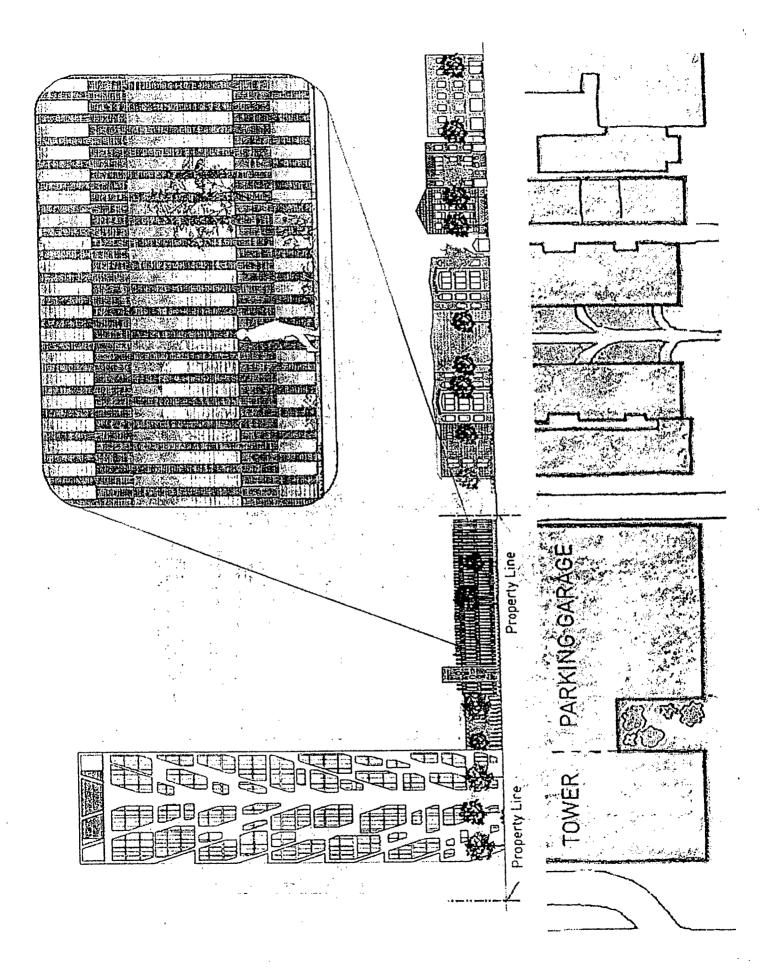


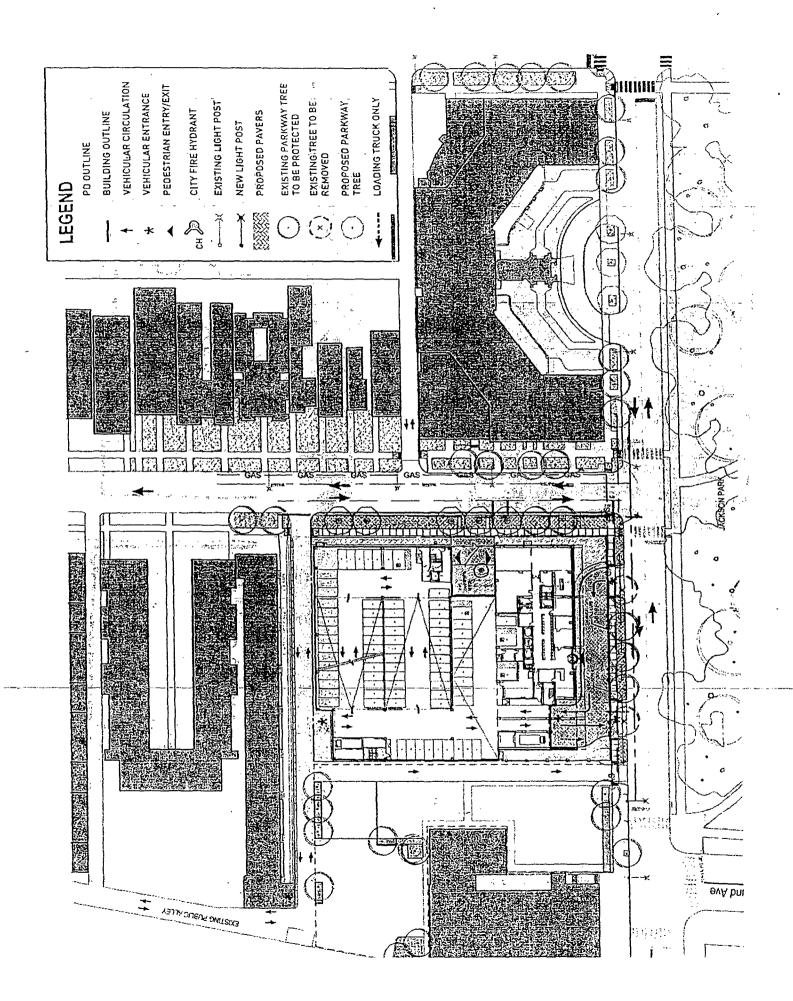
Encourage greater levels of housing in immediate vicinity of station

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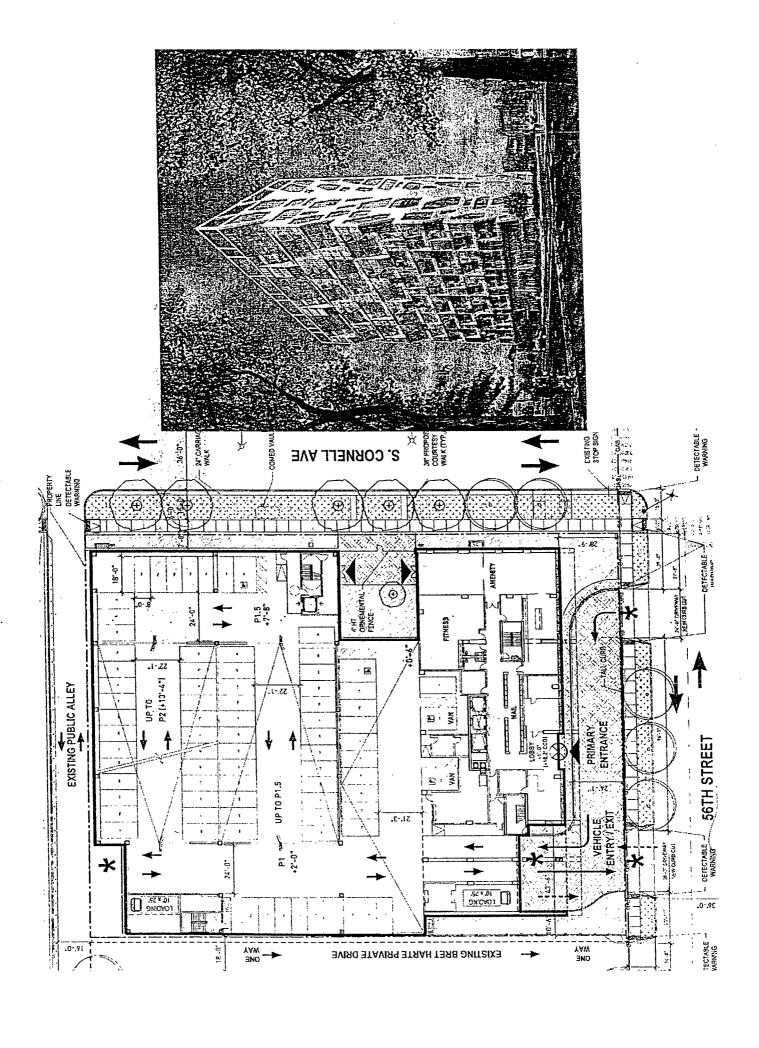




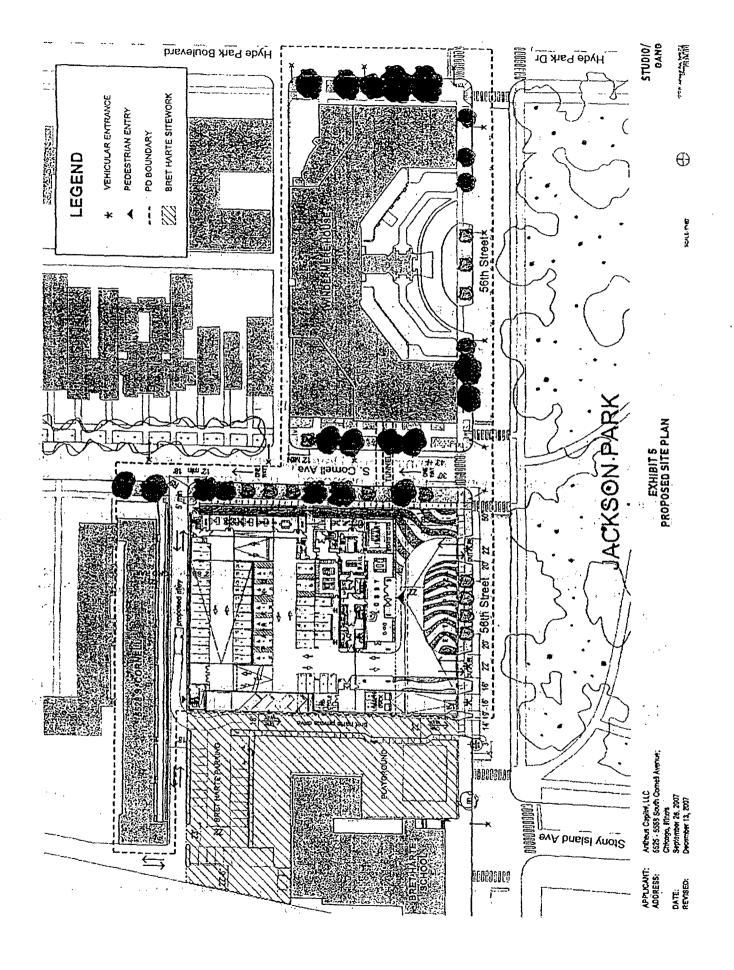




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BUILDING MASSING - 2008 vs. 2015



### REPORT

### ---to the

### CHICAGO PLAN COMMISSION

### from the

### DEPARTMENT OF PLANNING AND DEVELOPMENT FEBRUARY 18, 2016

FOR APPROVAL: PROPOSED 2016 AMENDMENT TO RESIDENTIAL BUSINESS

PLANNED DEVELOPMENT #282, AS AMENDED and,

PROPOSED LAKE MICHIGAN AND CHICAGO LAKEFRONT

PROTECTION ORDINANCE APPLICATION NO. 666

**APPLICANTS:** 

1) SOLSTICE ON THE PARK, LLC

2) WINDERMERE HOUSE, LLC

3) 5528 S. CORNELL AVENUE OWNER, LLC

LOCATION:

1604-64 EAST 56th STREET; 5543-59 SOUTH CORNELL AVENUE;

5528-58 SOUTH CORNELL AVENUE

Pursuant to the provisions of the Chicago Zoning Ordinance. Title 17 of the Municipal Code of Chicago, the Department of Planning and Development ("DPD") hereby submits this report and recommendation regarding a proposed 2016. Amendment to Residential-Business Planned Development #282, as amended, for your review and recommendation to the Chicago City Council. The application for the amendment to the Zoning Ordinance was introduced into the City Council on March 18, 2015

DPD also submits for your review and approval proposed Lake Michigan and Chicago Lakefront Protection Ordinance Application No. 666 pursuant to Section 16-4-100 of the Lake Michigan and Chicago Lakefront Protection Ordinance. The subject site is located within the Private Use Zone. The lakefront application was filed with DPD on March 11, 2015.

Proper legal notice of the public hearing for both the zoning application and lakefront application was published in the <u>Chicago Sun-Times</u> on February 3, 2016. The Applicants were separately notified of this hearing.

The Applicants, Solstice on the Park, LLC, Windermere House, LLC and 5528 S. Cornell Avenue Owner, LLC, propose to amend Planned Development #282 to allow Solstice on the Park, LLC, to construct a 299' mixed use building with 250 dwelling units and parking to be located at 1604-1624 East 56<sup>th</sup> Street/5528-32 South Cornell Avenue and referred to as Subarea B.

### **BACKGROUND**

Residential Business Planned Development #282 (PD #282) was first enacted in 1982 and included the existing Windermere 13-story residential and commercial building located at

approximately 1664 E. 56<sup>th</sup> Street and a vacant site directly to the west at approximately 1604 E. 56<sup>th</sup> Street that was used as parking. PD #282 was substantially amended in 2008 to include an additional 3-story residential building located at approximately 5528 South Cornell Avenue and to accommodate a 299' residential tower with 150 dwelling units and accessory parking to be located on the existing parking lot at approximately 1604 E. 56<sup>th</sup> Street and known as Solstice on the Park (Solstice). The original underlying zoning for PD #282 was R6 and R7 which translates into the current zoning designations of RM6 and RM6.5.

The proposed 2016 Amendment to PD #282 makes changes to the site plan, dwelling unit and parking count and other minor changes to the 2008 version of the Solstice on the Park project.

### SITE AND AREA DESCRIPTION

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The subject site is officially located within the eastern section of the Hyde Park Community Area along East 56<sup>th</sup> Street, across the street from Jackson Park. PD #282 includes approximately 120,950 square feet of net site area and is irregularly shaped. It is bounded generally by Hyde Park Boulevard to the east, East 56<sup>th</sup> Street on the south and a private drive/public alley to the west which forms the boundary with Bret Harte Elementary School. To the north, it is bounded by a public alley located between Hyde Park Boulevard and S. Cornell Avenue on the eastern half of the site while on the western half of the site it is bounded by private residential property north of 5528 S. Cornell Avenue.

As stated, PD #282 includes two existing residential buildings. The Windermere House is a 1920's 13-story courtyard-style apartment building with approximately 220 dwelling units and ground floor commercial uses (Subarea A). The building at 5528 S. Cornell Avenue is a 53 unit, 3-story residential building (Subarea C). The Solstice project is planned to be located on the current parking lot site located at 1604 East 56<sup>th</sup> Street (Subarea B).

The area surrounding the site is generally zoned RM-5 (Residential Multi-Unit District), RM-6.5 (Residential Multi-Unit District) and POS-1 (Parks and Open Space District). Area land uses include other high rise residential buildings, smaller-scaled multi-family residential buildings and Bret Harte Elementary School. As stated, the site is across the street from Jackson Park, which is a large multi-acre regional park on the South Side of Chicago. Higher density residential buildings located along East 56<sup>th</sup> Street help to frame Jackson Park.

The subject site is very well served by existing public transit resources. In addition to the Chicago Transit Authority's nearby #15 (Jeffrey Local) bus and #28 (Stony Island) bus, the 55<sup>th</sup> Street Metra Electric Line Station is approximately 350 feet southwest of the subject site.

As stated, the site is located within the Private Use Zone of the Lake Michigan and Chicago Lakefront Protection District. The site is not located within a Chicago Landmark District, and neither of the two existing buildings within the planned development are Chicago Landmarks. The property is also not located within a Tax Increment Financing District.

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### PROJECT DESCRIPTION

The Solstice project is being constructed on a 52,503 square foot site bounded by S. Cornell Avenue, E. 56<sup>th</sup> Street, a private drive on the west adjacent to Bret Harte Elementary School and a public alley to the north. As stated, the Solstice project will be Subarea B in the planned development. The project consists of the construction of a 27-story mixed use building that will include approximately 250 dwelling units, 316 accessory parking spaces and additional ground floor commercial space.

No physical changes are contemplated at this time to the existing Windermere House (Subarea A) residential/ground floor commercial building (220 dwelling units) or the 5528 S. Cornell (Subarea C) residential building (53 dwelling units).

### **DESIGN/LANDSCAPING**

The Solstice project consists of a proposed tower on the southern portion of Subarea B and a connected part two-story/part three-story garage on the northern portion of the site. The tower will be setback from East 56<sup>th</sup> Street approximately 28' to accommodate the drop-off area and garage access. An additional setback and landscaped area will be located mid-block on S. Cornell Avenue at the pedestrian access to the garage and secondary access to the tower in addition to a 9' setback along all of S. Cornell Avenue. The first floor of the tower will include lobby space and a small amount of commercial/amenity/space.

Floors 2 thru 26 of the tower contain the residential units and are approximately 14,000 square feet each. Various units on the south side of the building, which overlooks Jackson Park will contain terraces or balconies.

The tower itself is an innovative design that is positioned to maximize natural cooling through the angles cut into the south-facing (Jackson Park) façade. Materials include glass guardrailland coated concrete. The parking garage cladding is proposed to be a modular masonry screen which is designed to blend in with the surrounding masonry residential buildings in the neighborhood. The maximum height of the tower will be 299'.

The project will fully comply with the Chicago Landscape Ordinance and will provide trees along S. Cornell and East 56<sup>th</sup>, Street

### ACCESS/CIRCULATION

The Solstice project will accommodate 316 parking spaces in a part two/part three-level structured parking system located to the north of, and connected to, the proposed tower. The parking garage will be partially located below grade as well. Access to the garage will be achieved via East 56<sup>th</sup> Street and the public alley located between Subarea B and Subarea C. A vehicular drop-off drive area will be located directly in front of the proposed tower and will also be accessed via 56<sup>th</sup> Street. The drop-off drive area will feed into the garage as well. Parking will primarily serve the residents of Solstice and the two existing buildings in the planned development, but six spaces will be reserved for the adjacent Bret Harte Elementary School (by easement) and up to 45% of the spaces will be available for

lease to non-occupants in accordance with Section 17-10-0503 of the Chicago Zoning Ordinance.

The primary pedestrian access point to the building will be via East 56<sup>th</sup> Street, while secondary access will be via S. Cornell Avenue. Pedestrian access to the garage will also be available from S. Cornell Avenue. Bicycle storage for 150 bikes will be provided in the lower level of the Solstice building.

Two 10' x 25' loading berths will serve the Solstice building. One will be accessed via East 56<sup>th</sup> Street, and the other via the public alley to the north.

### SUSTAINABILITY

The Applicant will provide approximately 6,600 square feet of green roof and will achieve either LEED, Green Globes or Energy Star certification for the Solstice project. The project will also comply with the Storm Water Management Ordinance, implementing best practices in all storm water management. The Green Roof Plan included as an exhibit to this report highlights the location of the green roof on the building.

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### BULK/USE/DENSITY

This 2016 Amendment to PD #282 will establish an overall FAR (Floor Area Ratio) cap for the project at 6.5 and FAR limits for each Subarea as follows: 6.41 in Subarea A (per existing conditions); 7.0 in Subarea B; and 1.82 in Subarea C (per existing conditions). The FAR caps to be established by the 2016 Amendment for the overall planned development and for Subarea B will be lower than what is allowed by the current plan of development standards (6.6 FAR for the overall planned development, and 8.0 FAR for Subarea B).

Uses for the project will include residential, accessory parking, limited ground floor non-residential (commercial) and accessory uses.

A total of 523 dwelling units will be permitted within this planned development. This includes the proposed 250 units within Solstice as well as the existing 273 units located in Subareas A and C. The Minimum Lot Area for the planned development overall will be 231 square feet, which is slightly less than the minimum under the Chicago Zoning Code of 234. Approximately 30% of the units over the entire planned development will be efficiencies, which is less than the 40% maximum under the Chicago Zoning Code.

### RECOMMENDATION

The Department of Planning and Development has reviewed the proposed 2016 Amendment to PD #282 and the materials submitted by the Applicant and compared this proposal to existing development in the community. The area around this project consists generally of high-rise and other multi-family residential uses, and the proposal represents an opportunity to construct a compatible residential/mixed use development project along East 56<sup>th</sup> Street in Chicago's Hyde Park Community Area.

Based on that analysis, the Department of Planning and Development has concluded that



this proposal is appropriate for this site and supports this development for the following reasons:

- 1. The project meets the purpose and criteria set forth in Chapter 17-8 of the Zoning Ordinance and its adoption would not have any adverse impact on the public's health, safety or welfare. Specifically, this project meets the following provisions of Chapter 17-8:
  - a. Promotes pedestrian interest, safety and comfort by creating safe and attractive walkways and pedestrian routes and by emphasizing building entries through architecture and design (per 17-8-0905-A), as evidenced by the project's incorporation of a significant building entrance;
  - b. Reinforces desirable urban features found within the surrounding area, such as siting patterns, massing arrangements and streetscape characteristics (per 17-8-0906-A), as evidenced by the compatibility of the project's mass and site design with the surrounding buildings in the Hyde Park Community Area and adjacency to Jackson Park;
  - c. Provides adequate, inviting, usable and accessible open space for workers, visitors and residents, provides a sense of place associated with the development and provides substantial landscaping of the open areas (per 17-8-0909-A), as evidenced by the incorporation of balconies and terraces along the south (Jackson Park) facing façade of the tower;
  - d. Conserves non-renewable energy and scarce materials (per 17-8-0908-A) as evidenced by the project's goal of building certification and the incorporation of a green roof;
  - e. Promotes the safe and efficient circulation of pedestrians, cyclists and motor vehicles (per 17-8-0904-A1) as evidenced by the project's site plan that provides appropriate drop-off, vehicular access and loading functions; and
  - f. All sides and areas of the buildings that are visible to the public are treated with materials, finishes and architectural details that are of high-quality and appropriate for use on primary street-facing façade (17-8-0907-A-4), as evidenced through the material callouts in this report and on the elevations.
- 2. The site is consistent with the goals of the Metra Station Typology Study which passed the Chicago Plan Commission in 2014. The Metra Study identifies the Metra Electric 55<sup>th</sup> Street Station as a "Major Activity Center" which is considered a major node of activity outside of the central area. The study further supports higher density housing near Major Activity Center stations.

the Committee of the Co

3. The public infrastructure facilities and city services will be adequate to serve the proposed development at the time of occupancy. The proposed project has been reviewed by the Mayor's Office for People with Disabilities and the Department of Transportation and all requested changes have been made. Copies of this application have been circulated to other City departments and agencies and no comments have been received which have not been addressed in the application.

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4. The project will comply with the requirements for access in case of fire and other emergencies.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that this application for a Residential Business Planned Development be approved and that the recommendation to the City Council Committee on Zoning, Landmarks and Building Standards be "Passage Recommended".

The Department of Planning and Development has reviewed the project materials submitted by the Applicant with regards to the Policies and Purposes of the Lake Michigan and Chicago Lakefront Protection Ordinance and has concluded that the proposed development would be in compliance with the applicable Policies of the Lakefront Plan of Chicago and the Purposes of the Lake Michigan and Chicago Lakefront Protection Ordinance, as they apply to development in the Private Use Zone, specifically:

- 1. Policy No. 10 (Ensure a harmonious relationship between lakeshore parks and community edges but in no instance allow private development east of Lake Shore Drive). The proposal consists of an appropriate residential infill project that will reinforce the multi-unit residential district that exists in this area of the City and minimally impact the lakefront park system; and,
- 2. Policy No. 14 (Coordinate all public and private development within the water, park and community zones). This site is located within the Private-Use Zone, and the development has been coordinated with the appropriate City departments and community to minimize the impact of construction and to ensure it remains in context with the surrounding neighborhood.

With respect to the Policies not enumerated here, the Department of Planning and Development has determined that they are either not applicable to development in the Private Use Zone or that the proposed project will not have a detrimental effect on the Lake Michigan shoreline or any wildlife habitats therein. This is because the proposed project is separated from the shoreline, does not reduce existing open space or wildlife habitat and does not interfere with existing access to, or use of, the lake and its parks.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that this development application, being in conformance with the provisions of the Lake Michigan and Chicago Lakefront Protection Ordinance, be approved, subject to compliance with the exhibits including site and Landscape Plans and elevation drawings dated February 18, 2016 and presented before you today.

Bureau of Zoning and Land Use Department of Planning and Development



### DEPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

## 1604-64 EAST 56<sup>th</sup> STREET; 5543-59 SOUTH CORNELL AVENUE; 5528-58 SOUTH CORNELL AVENUE 2016 AMENDMENT TO RESIDENTIAL-BUSINESS PLANNED DEVELOPMENT #282, AS AMENDED

### RESOLUTION

- WHEREAS, the Applicants, Solstice on the Park, LLC, Windermere House, LLC and 5528 S. Cornell Avenue Owner, LLC, propose to amend Planned Development #282 to allow Solstice on the Park, LLC, to construct a 299' mixed use building with 250 dwelling units and parking to be located at 1604-1624 East 56<sup>th</sup> Street/5528-32 South Cornell Avenue (Subarea B), and,
- WHEREAS, Windermere House, LLC is the owner of an existing mixed use building with approximately 220 dwelling units located at 1634-64 East 56<sup>th</sup> Street/5543-59 South Cornell Avenue (Subarea A); and,
- WHEREAS, 5528 S. Cornell Avenue Owner, LLC, is the owner of a residential building with approximately 53 dwelling units located at 5528-32 South Cornell Avenue (Subarea C); and,
- WHEREAS, the Applicant's request to rezone the property was introduced to the City

  ——Council on March 18, 2015; and,
- WHEREAS, proper legal notice of the hearing before the Plan Commission was published in the <u>Chicago Sun-Times</u> on February 3, 2016. The Applicants were separately notified of this hearing and the proposed zoning application was considered at a public hearing by this Plan Commission on February 18, 2016; and,
- WHEREAS, the Plan Commission has reviewed the application with respect to the provisions of the Zoning Ordinance and finds that the proposal will be consistent with said provisions; and
- WHEREAS, the Department of Planning and Development recommended approval of the application, with the recommendation and explanation contained in the

written report dated February 18, 2016, a copy of which is attached hereto and made a part hereof; and,

WHEREAS, the Plan Commission has fully reviewed the application and all informational submissions associated with the proposed amendment, the report and recommendation of the Commissioner of the Department of Planning and Development and all other testimony presented at the public hearing held on February 18, 2016, giving consideration to the Zoning Ordinance;

### NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

- 1. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Plan Commission regarding this zoning map amendment application; and,
- 2. THAT this Plan Commission recommends approval to the City Council Committee on Zoning, Landmarks and Building Standards of the final zoning application dated February 18, 2016; and,

Martin Cabrera, Jr.

Chairman

Chicago Plan Commission

RBPD No. #282, as amended, 2016.

Approved: February 18, 2016

RECEIVED

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### CITY OF CHICAGO

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Intro DATE.

EMAIL dcassel@vedderprice.com

### APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

3-18-2015

RECEIVED

FEB 18 2016 ADDRESS of the property Applicant is seeking to rezone: 5543-59 South Cornell Avenue/ 1634-64 Past 56th Street 1. 5534-58 South Cornell Avenue / 1604-1624 East 56th Street 5528-32 South Cornell Avenue Ward Number that property is located in: Fifth 2. Windermere House, LLC (owner of Subarea A), Solstice on the Park, LLC APPLICANT (owner of Subarea B), and 5528 S. Cornell Avenue Owner, LLC (Owner of Subarea C) 3. ADDRESS 32 North Dean Street STATE New Jersey ZIP CODE 07631 EMAIL pcassel@sillimangroup.com CONTACT PERSON Peter Cassel If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed. Same as Applicant OWNER CONTACT PERSON\_\_\_ If the Applicant/Owner of the property has obtained a lawyer as their representative for the 5. rezoning, please provide the following information: ATTORNEY\_Vedder Price PC (Danielle Meltzer Cassel) 222 North LaSalle Street, Suite 2600 ADDRESS Illinois STATE ZIP CODE

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### APPLICATION SIGNATURE PAGE

IN WITNESS WHEREOF, Windermere House, LLC, Solstice on the Park, LLC, and 5528 S. Cornell Avenue Owner, LLC each have caused the attached Application to be executed, as of this 9<sup>th</sup> day of March, 2015, by a duly authorized signatory.

SOLSTICE ON THE PARK, LLC, a Delaware limited liability company
By: AL-Solstice on the Park, LLC, a Delaware limited liability company, its Manager
By:
David H. Gefsky, its Vice President
David II. Gelsky, his vice President
WINDERMERE HOUSE, LLC, an Indiana limited liability company
By: AL-Windermere House, LLC, an Indiana limited liability company, its Manager
by. The value minor flouse, bee, all inclains infined hability company, in Figure 19
By:
David H. Gefsky, its Vice President
David 11. Gelsky, its vice i resident
5528 S. CORNELL AVENUE OWNER, LLC, a Delaware limited liability company
By: 5528 S. Cornell Avenue, LLC, an Indiana limited liability company, its Sole Member
By: AL-\$528 S. Cornell, LLC, an Indiana limited liability company, its Manager
by. AL-1526B. Cornell, ELC, air indiana limited hability company, its winnager
D
By: David H. Gefsky, its Vice President
David H. Geisky, his vice President
OT ATE OF MENU PROPERTY
STATE OF NEW JERSEY )
) SS
COUNTY OF BERGEN )
I, the undersigned, a NOTARY PUBLIC in and for said County, in the State aforesaid, DO
HEREBY CERTIFY, that David H. Gefsky, personally known to me to be the same person
whose name is subscribed to the foregoing instrument as an authorized signatory for SOLSTICE
ON THE PARK LLC, for WINDERMERE HOUSE, LLC, and for 5528 S. CORNELL
AVENUE OWNER, LLC, appeared before me this day in person, and acknowledged that he
signed and delivered the said instrument as his own free and voluntary act, and as the free and
voluntary act of each such limited liability company, for the uses and purposes therein set forth.

GIVEN under my hand and Notarial Seal this 9<sup>th</sup> day of March, 2015.

Notary Public Jeanette Marie Colon Commission Expires 4/12/1017

JEANETTE MARIE COLON NOTARY PUBLIC OF NEW JERSEY I.D. # 2419776

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### DEPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

# 1604-64 EAST 56<sup>th</sup> STREET; 5543-59 SOUTH CORNELL AVENUE; 5528-58 SOUTH CORNELL AVENUE LAKE MICHIGAN AND CHICAGO LAKEFRONT PROTECTION ORDINANCE APPLICATION No. 666

### RESOLUTION

- WHEREAS, The Applicants, Solstice on the Park, LLC, Windermere House, LLC and 5528 S. Cornell Avenue Owner, LLC, propose to amend Planned Development #282 in order to construct a 299' mixed use building with 250 dwelling units and parking, referred to as Solstice on the Park to be located at 1604-1624 East 56<sup>th</sup> Street/5528-32 South Cornell Avenue; this site is located within the Private Use Zone of the Lake Michigan and Chicago Lakefront Protection District; and,
- WHEREAS, an application for Lake Michigan and Chicago Lakefront Protection Ordinance approval was filed with the Department of Planning and Development on March 11, 2015; and,
- WHEREAS, proper legal notice of a hearing before the Chicago Plan Commission on the Lake Michigan and Chicago Lakefront Protection Ordinance application was published in the Chicago Sun-Times on February 3, 2016; the Applicant was separately notified of this hearing; and the Lake Michigan and Chicago Lakefront Protection Ordinance application was considered at a public hearing by this Plan Commission on February 18, 2016; and,
- WHEREAS, this Plan Commission has reviewed the application with respect to the Lake Michigan and Chicago Lakefront Protection Ordinance and finds that the proposal would be consistent with the Purposes of that Ordinance and the Policies of the Lakefront Plan of Chicago; and,
- WHEREAS, the Department of Planning and Development recommends approval of the application, which recommendation and the explanation thereof is contained in the Department's written report dated February 18, 2016, a copy of which is attached hereto and made a part hereof; and,
- WHEREAS, this Plan Commission has fully reviewed the application and all informational submissions associated with the proposed development, the report and

recommendation of the Commissioner of the Department of Planning and Development and all other testimony presented at the public hearing held February 18, 2016, giving consideration to the Lake Michigan and Chicago Lakefront Protection Ordinance;

### NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

- 1. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Chicago Plan Commission regarding this application; and,
- 2. THAT the final application dated February 18, 2016, be approved as being in conformance with the provisions of the Lake Michigan and Chicago Lakefront Protection Ordinance.

Martin Cabrera Jr., Chairman Chicago Plan Commission

Lakefront Application No. 666 Approved: February 18, 2016

FEB 1 8 2016

### RECEIVED

MAR 1 J 2015

APPLICATION NUMBER

MBER COOL FOR LW

CITY OF CHICAGO

Initian Application to the Chicago Plan Commission under the Lake Michigan and Chicago Lakefront Protection Ordinance

(This Application Must Be Typewritten)

The Chicago Plan Commission has provided this Application Form in accordance with Section 194B-6.1 (a) of the Lake Michigan and Chicago Lakefront Protection Ordinance. The Conditions under which the provisions of this Ordinance are applicable are stated in Section 194B-5.1 of the Ordinance. The process of Plan Commission review and public hearing on each proposal within the Lake Michigan and Chicago Lakefront Protection District will commence with the Applicant's submission to the Chicago Plan Commission of a completed Application Form and the required proof of notice. Strict compliance with Section 194B-6.1(c) is required.

The staff of the Department of Planning, is available to provide technical assistance to the Applicant, before preparation of his application, during the processing stages, and to review the application at submission to the Chicago Plan Commission. Copies of the Ordinance and this Application Form and examples of forms for both notification and proof of notice, are available from the Commissioner of Planning, in Room 1003, Lakefront Unit, City Hall, 121 N. La Salle Street, Chicago, Illinois 60602. Phone 744-6551.

This Application Form consists of Five Parts on 17 pages:

Part One:

General Information

Part Two:

Character of Proposal

Part Three:

Zoning Information
Potential Impact of Proposal (2 Sections)

Part Four: Part Five:

Disclosure Forms (6 Sections)

A copy of this Application will be available for public inspection in the office of the Commissioner of Planning, Room 1000, five days prior to the date of which the public hearing on this Application before the Chicago Plan Commission is to commence.

-SECTION	BELOW FOR OFFICE USE ONLY-
Date of receipt in DP:	ZBA action necessary?yesno: Type and
In Bldgs.:	Status
Date of Applicant Notice to taxpayers of record:	Disclosure necessary?yesno
	Simultaneous Planned Development
Date set for public hearing	processing yes no
Date on which Plan Commission published newspaper notice:	Previous Application this address?
Date of publication of report of Commissioner of DP	Zoning map amendment?yesno. #
	DISPOSITION
Date forwarded to DIS : DSS	Approved
DPW: Pk D	Disapproved
Other	Continued, to
	Date Applicant notified of decision.

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### GUIDELINES FOR COMPLETING PART ONE OF THE APPLICATION

Part One of this Application provides general information to the Plan Commission for use in preparing its public notices of the proposal set forth in the Application and in preparing its review of that proposal.

- 1. The date entered in I. should be the date on which the Application is filed.
- 2. The location of the site of the proposal should be given by street address; if there is no address, the location must be described in relation to existing streets, rights-of-way or other fixed points of reference.
- 3. The Applicant must state his own name, address and telephone number and the name, address and telephone number of the owner of the subject property. The Applicant must be either the owner of the subject property or his duly authorized agent or representative, and, if the Applicant is an agent or representative, the Applicant must submit proof to the Commission that he is authorized to represent the owner of the subject property.

Whenever the ownership of the subject property is complex - a partnership, corporation, land trust or association - the Applicant shall so indicate. Further, the Commission may require disclosure of all real parties of interest in the subject property.

- 4. The description of a proposal should include, at a minimum, types of land uses and space uses, floor area, number of dwelling units and height of proposed structures in feet or stories. Additional concise information may also be included.
- 5. Under the provisions of Section 194B-6.1(c) of the Lake Michigan and Chicago Lakefront Protection Ordinance, the Applicant must submit to the Commission at the time of filing an Application a list of the names and last known addresses of the owners of all property on which notice must be served, the method of service employed, the names and addresses of persons so served, and a statement certifying that the Applicant has complied with the noticing provisions of Section 194B-6.1(c) of the Lake Michigan and Chicago Lakefront Protection Ordinance. The Commission will not accept an application unless and until the Applicant furnishes the required list and certificate.
- 6. If there are any other approvals required from other public agencies before the Applicant can proceed with his proposal, they should be listed; except that other City of Chicago licenses and permits may be omitted as items requiring listing herein. If no other approvals are required, enter "NONE" under VI A. Examples of items which should be listed include approval of FHA financing, a U.S. Corps of Engineers permit, Federal Aviation Authority Approval, among others.

### PART ONE: GENERAL INFORMATION

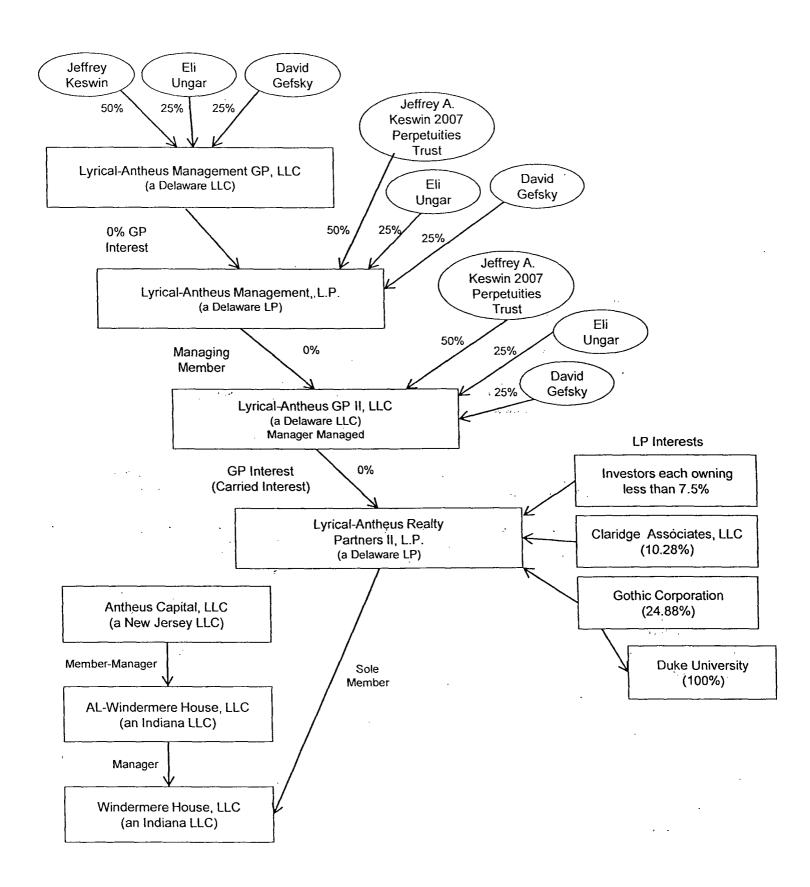
Ĭ.	Date of	of Application: March 11, 20 15	
И.	Addres	ess or location of the Site of the Proposal: Subarea A: 5543-59 S. Cor	nell Ave./1634-64 E.
	56 <sup>th</sup> St.;	; Subarea B: 5534-58 S. Cornell Avc./1604-1624 E. 56 <sup>th</sup> St.; Subarea C: 55	528-32 S. Cornell Ave
III.	Inform	nation on the Applicant and the Owner	
		Annlicant	-
		Windermere House, LLC (Subarea A), Solstice on the Park, LLC  Name: (Subarea B), and 5528 S Cornell Avenue Owner, LLC (Subarea C)  Phone: 773.34	7.3451
		2. Address: 32 North Dean Street, Englewood NJ 07631	
			ţ.
	В.	Owner	
		1. Name: Same as Applicants Phone:	
		2. Address:	
		the state of the s	
	C	If the Applicant is not the owner, check here that proof l	nas been attached
, .	, .	to this Application that the Applicant is the duly authorized ager	
		representative of the owner.	
		and the company of the company of the company of the company of	,
	D.	If the ownership of the subject property is complex, the Applica	nt shall indicate
, .		the type of ownership:	at
<b>;</b> :	1. 000	the first of the winds of the three contractions	Far San
		1 Partnership or A	ssociation
			nizational charts
· •	•	3. Corporation 4. X See attached orga	
IV ·	Brief I	Description of the Proposal: Amendment of Residential-Business Planne	d Development 282,
	primari	rily to accommodate new uses and interior configuration for the Solstice on the	Park project originally
	approv	ved in 2008.	
		and the suggestion of the property of the place of the first	a i a miles
٧.	The no	noticing provisions of Section 194B-6.1(c) have been completed a	s they apply to the
. :	Applic	icant: Check here X 5.22 5.56	प्रशासिक के लिहें हैं।
VI.		Applicant must also obtain the following approvals in addition to	
		Commission:	1, 1,
i '	Á.	Nature of Approval: Amendment of Residential-Business Planned Deve	lopment 282,
	•		
	· · · · · · ·	Agency: City Council	
	B.	Nature of Approval: Multiple administrative approvals referenced in Pla	nned Development
'		and the second of the second o	.: 1
١,		Agency: Various City Departments, including DPD, MOPD and CDOT	i .
	C.	Nature of Approval:	
		Agency:	

Address: Subarea A: 5543-59 S. Cornell Ave./1634-64 E. 56th St.;

Subarea B: 5534-58 S. Cornell Ave./1604-1624 E. 56th St.;

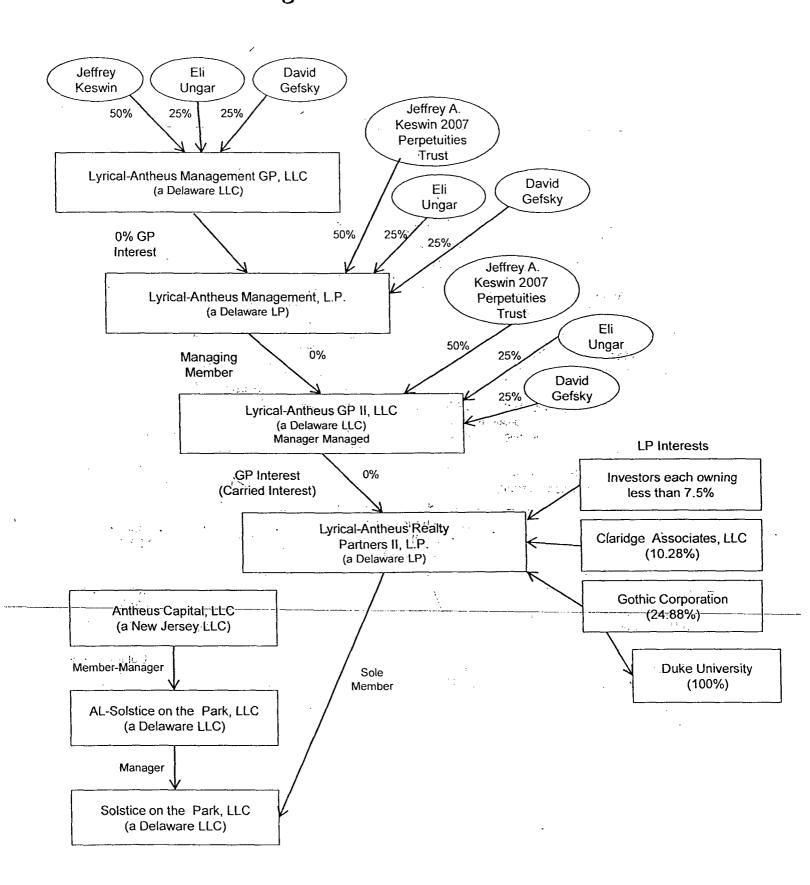
Subarea C: 5528-32 S. Cornell Ave.

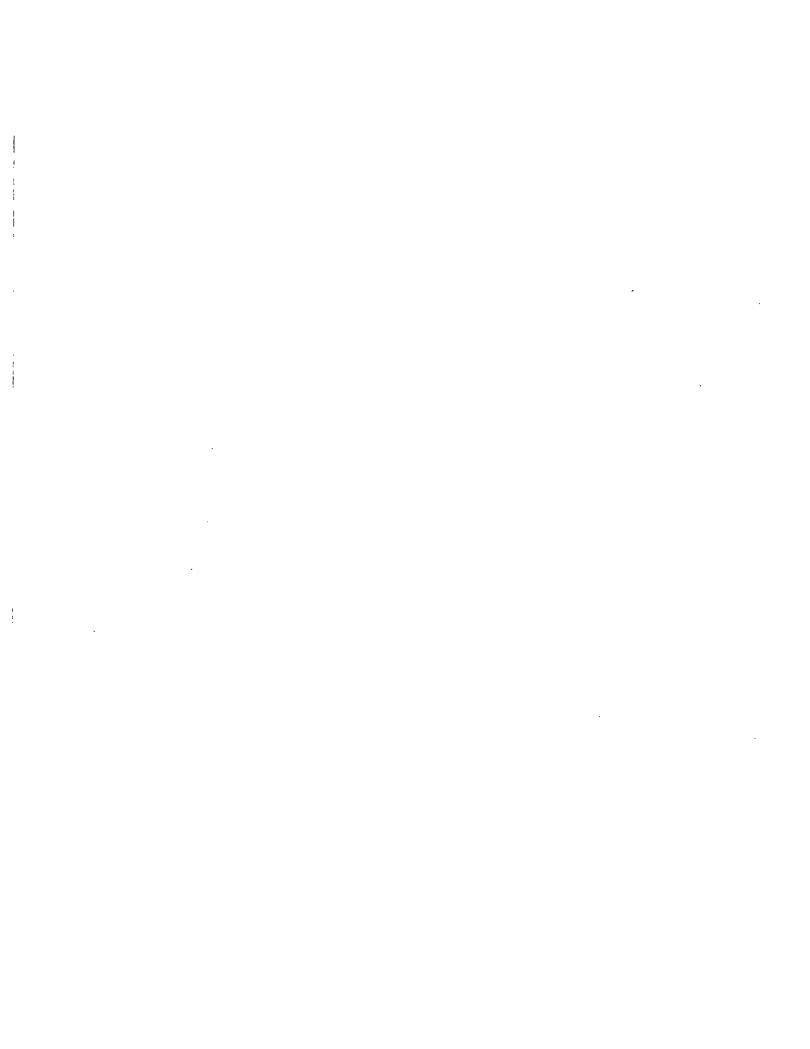
### Windermere House, LLC Organizational Structure



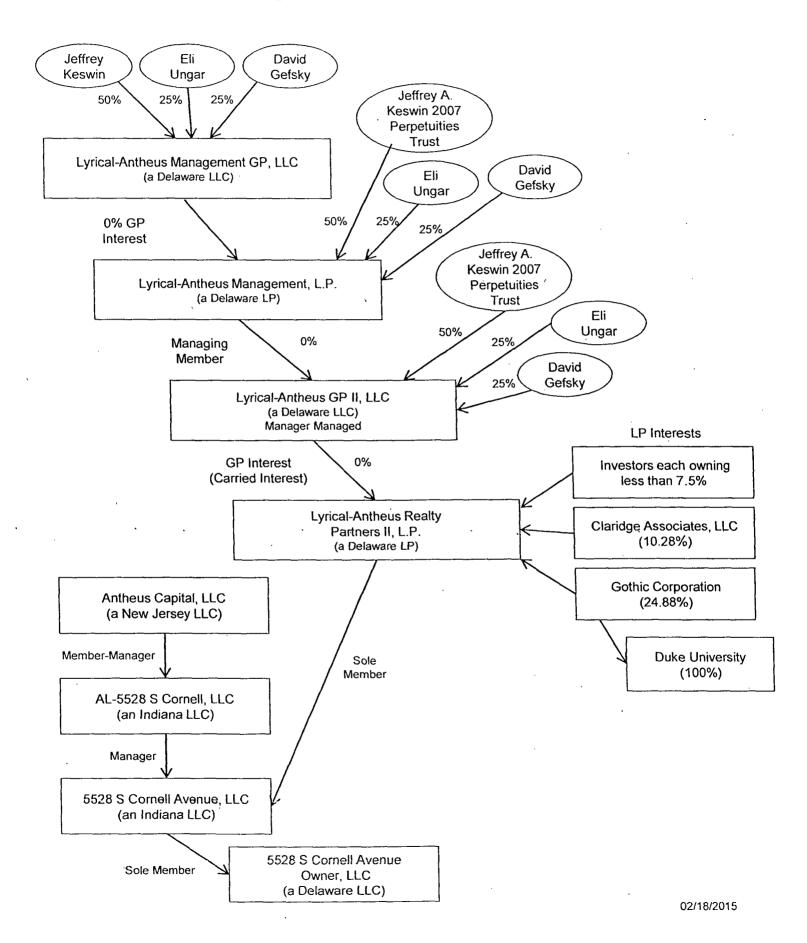
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## Solstice on the Park, LLC Organizational Structure





## 5528 S Cornell Avenue Owner, LLC Organizational Structure



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### GUIDELINES FOR COMPLETING PART TWO OF THE APPLICATION

All graphic materials must be submitted in an 8.5" x 11" format and must be suitable for clear and sharp, black and white reproduction. Each map or diagram should have a scale and a north arrow. Each sheet of graphic material must be labeled with the appropriate figure number. If there are multiple sheets comprising one figure – for example figure 4 - those sheets should be labeled consecutively, for example Figure 4-1, Figure 4-2, etc., and each sheet should contain the address of the site of the proposal in the lower left corner.

For Figure 1, the Applicant should consider the "vicinity of the site" to be at least as extensive as the area for which he is required to give notice plus any intervening streets or other public rights-of-way.

For Figures 3 and 4, the Applicant should consider that "recreation areas" and "recreation space and facilities" include game courts, swimming pools and pool areas, game rooms, exercise rooms, party rooms, community rooms, observation decks and sun decks.

The required narrative statement should describe the features of the proposed development, including size and mix of dwelling units, mix of uses on the site, etc. It should present a basic rationale for the development.

For Figure 6, the Applicant is urged to provide any materials at 8.5" x 11" which will facilitate the review of his Application.

### PART TWO: CHARACTER OF THE PROPOSAL

This portion of the Application must be completed by attaching the following items, correctly sized and labeled, to the Application:

- I. Figure 1: A Map of the Vicinity of the Site, showing Lake Michigan, lakefront parks, preferential streets, schools, parks, major institutions. All streets on this map should be named, and the outline and height on all structures on properties immediately adjacent to the site of the proposal must be shown.
- II. Figure 2: A Map of the Existing Site, showing locations and dimensions of lot lines; contour intervals (5-foot); existing structures, walkways, driveways, special features.
- III. Figure 3: The <u>Proposed Site Plan</u>, showing locations and dimensions of proposed structures, driveways and walkways; proposed exterior parking areas; proposed exterior open space and recreation areas.
- IV. Figure 4: Proposed Floor Plans, including the ground floor, a typical floor, any floor with recreation space or facilities.
- V. Figure 5: An <u>Elevation or Cross-section</u>, showing the height and number of stories for all proposed structures.
- VI. Narrative: A Statement Describing the Proposed Development.

In addition, the Applicant is encouraged to provide additional graphic materials, visual aids; e.g., photographs, renderings, data tables, among others. Any such exhibits shall be labeled Figure 6.

FIGURE 1.1 - AERIAL PHOTO SHOWING

PD NET SITE AREA BOUNDARY

5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

Windermere House, LLC; Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC;

1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

HYDE PARK BLVD

Control Carl Company

FIGURE 1.3 = BUILDING SCALE AT PARK

STUDIO/ GANG /ARCHITECTS

(5534-58 S. Cornell Ave./ 1. 1604-1624 E. 56th St.; 15526-30 S. Cornell Ave 5543-59 S. Cornell Ave./

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FIGURE 1.4 - BUILDING HEIGHTS AT PARK EDGE

## Windermere House, LLC;

# SOUTH SHORE ELEVATION

# **56TH STREET ELEVATION**

WINDERMERE HOUSE 13 STORIES SOLSTICE 26 STORIES

1700 E 56TH STREET 38 STORIES

SHORELAND HALL 13 STORIES

5490 S SOUTH SHORE DRIVE 11 STORIES

PARKSHORE APARTMENTS 12 STORIES

PROMONTORY THE APARTMENTS FLAMINGO 21 STORIES 21 STORIES

MONTGOMERY PLACE 14 STORIES

JACKSON TOWERS 19 STORIES

STUDIO/ GANG /ARCHITECTS

5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

FIGURE 2 - MAP OF THE EXISTING SITE

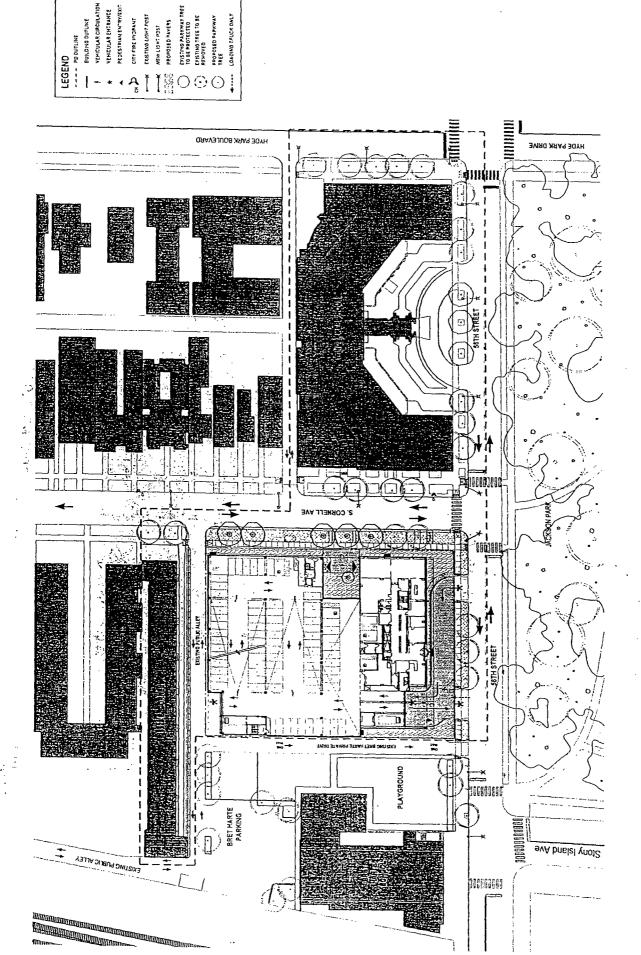
Solstice on the Park, LLC, 5528 S. Cornell Avenue Owner, LLC; Windermere House, LLC;

February 18, 2016

1604-1624 E. 56th St.; 5534-58 S. Cornell Ave./ 1634-64 E. 56th St.;

'ARCHITECTS STUDIO/ GANG

5543-59 S. Cornell Ave./ 5526-30 S. Cornell Ave



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Windermere House, LLC; Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC; FAR SF 9003 SQ. FT. 9003 SQ FT FIGURE 4.1 - GROUND FLOOR PLAN PARKING GARAGE STUDIO/ GANG /ARCHITECTS 5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

LEGEND

**L3 & L7** 10 UNITS/ FLR

**FAR SF:** 13,837 SQ. FT. **GSF:** 13,837 SQ. FT.

**L2 & L6** 10 UNITS/ FLR

LEGEND

**FAR SF**: 13,370 SQ. FT. **GSF**: 14,235 SQ. FT.

GSF

FIGURE 4.2 - PROPOSED TYPICAL FLOOR PLAN

Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC; Windermere House, LLC;

February 18, 2016

STUDIO/ GANG /ARCHITECTS

10 UNITS

\frac{1}{3} \frac{1}{3} \tag{2}

13,837 SQ. FT. 13,837 SQ. FT. FAR SF: GSF:

**FAR SF**: 13,370 SQ. FT. **GSF**: 14,235 SQ. FT.

GSF

LEGEND

FIGURE 4.3 - PROPOSED TYPICAL FLOOR PLAN

Windermere House, LLC; Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC;

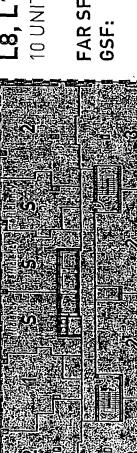
February 18, 2016

STUDIO/ GANG /ARCHITECTS

5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

# **L9, L13 & L17** 10 UNITS/ FLR

**FAR SF:** 13,837 SQ. FT. **GSF:** 13,837 SQ. FT.



**L8, L12 & L16** 10 UNITS/ FLR

**FAR SF:** 13,370 SQ. FT. **GSF:** 14,235 SQ. FT.

GSF

LEGEND

Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC; Windermere House, LLC;

February 18, 2016

FIGURE 4.4 - PROPOSED TYPICAL FLOOR PLAN

STUDIO/ GANG /ARCHITECTS 5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; 5534-58 S. Cornell Ave./

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LEGEND

10 UNITS/ FLR L10 & L14

**FAR SF:** 13,837 SQ. FT. **GSF:** 13,837 SQ. FT.

**L11 & L15** 10 UNITS/ FLR

**FAR SF:** 13,370 SQ. FT. **GSF:** 14,235 SQ. FT.



6SF

FIGURE 4.5 - TYPICAL FLOOR PLAN

February 18, 2016

STUDIO/ GANG /ARCHITECTS 5543-59 S. Cornell Ave./

1634-64 E. 56th St.; 5534-58 S. Cornell Ave./

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**L19 & L25** 10 UNITS/ FLR

**FAR SF:** 13,445 SQ. FT. **GSF:** 13,445 SQ. FT.

10 UNITS/ FLR L18 & L24

LEGEND

**FAR SF:** 12,978 SQ. FT. **GSF:** 14,235 SQ. FT.

terrace

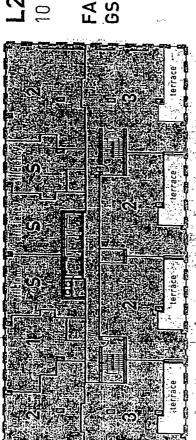
FIGURE 4.6 - PROPOSED TYPICAL FLOOR PLAN

Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC; Windermere House, LLC;

February 18, 2016

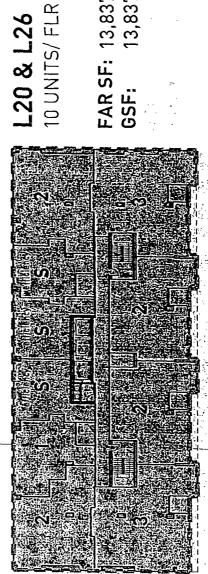
5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

STUDIO/ GANG /ARCHITECTS



10 UNITS L21

**FAR SF:** 12,978 SQ. FT. **GSF:** 14,235 SQ. FT.



L20 & L26

LEGEND

**FAR SF:** 13,837 SQ. FT. **GSF:** 13,837 SQ. FT.

FIGURE 4.7 - TYPICAL FLOOR PLAN

STUDIO/ GANG /ARCHITECTS

5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

5543-59 S. Cornell Ave./ 1634-64 E. 56th St.;

**L23** 10 UNITS

**FAR SF:** 13,837 SQ. FT. **GSF:** 13,837 SQ. FT.

**L22** 10 UNITS

**FAR SF:** 13,445 SQ. FT. **GSF:** 13,445 SQ. FT.

LEGEND

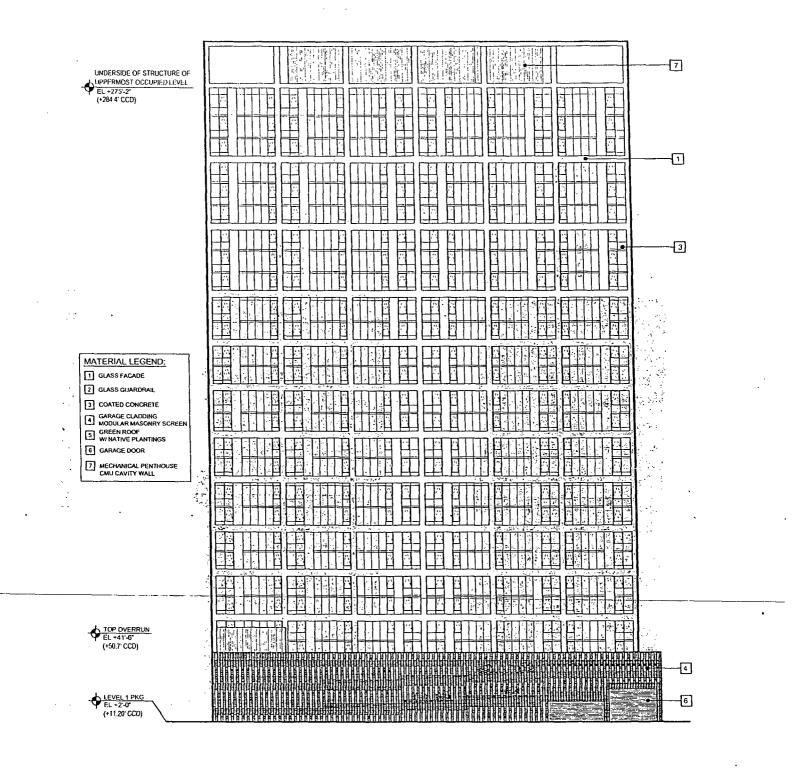
GSF

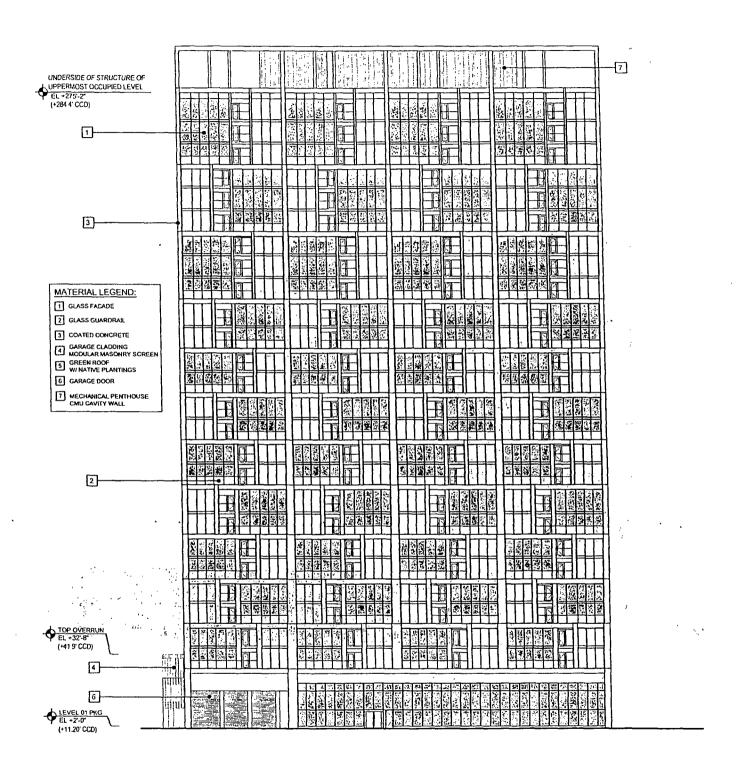
FIGURE 4.8 - TYPICAL FLOOR PLAN

Windermere House, LLC; Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC;

February 18, 2016

STUDIO/ GANG /ARCHITECTS

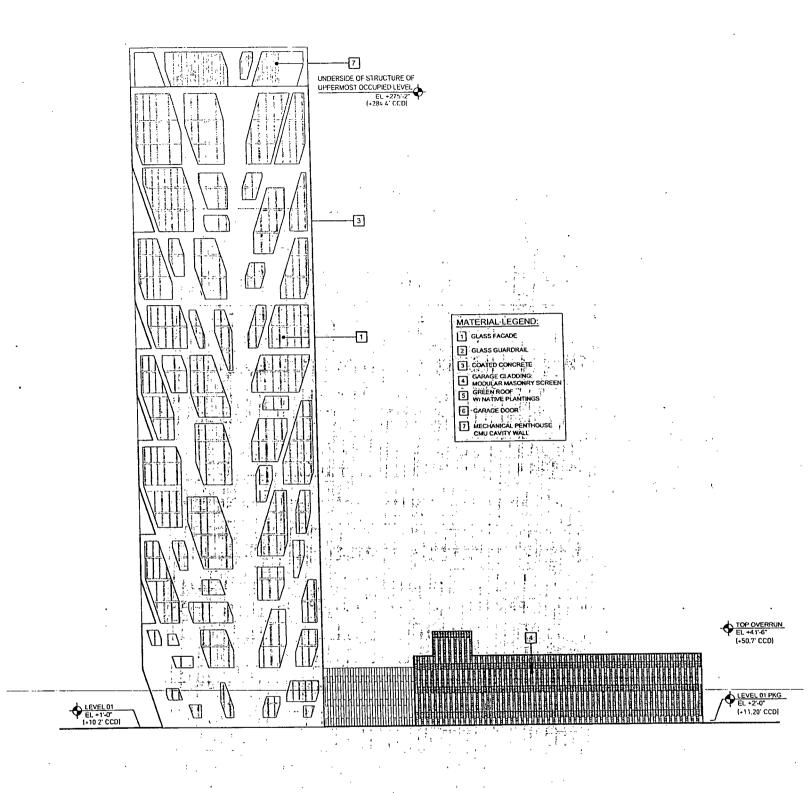




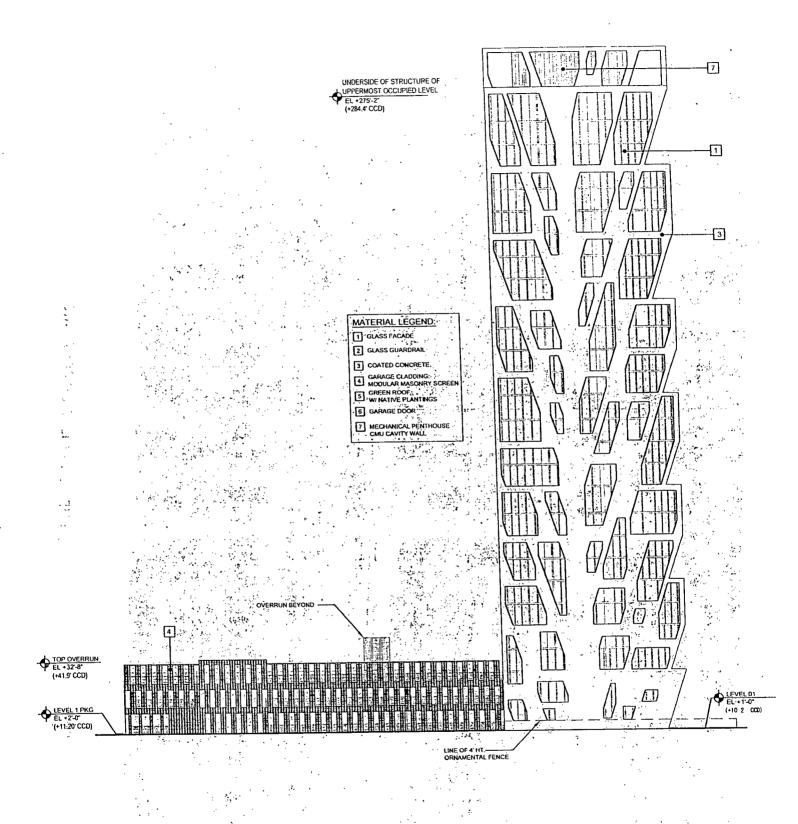
5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5528-32 S. Cornell Ave. STUDIO/ GANG /ARCHITECTS FIGURE 5.2 - SOUTH ELEVATION

Windermere House, LLC; Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC;

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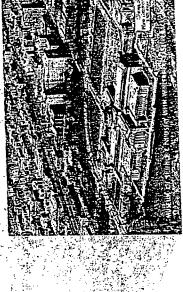
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5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5528-32 S. Cornell Ave STUDIO/ GANG /ARCHITECTS FIGURE 5.4 - WEST ELEVATION

Windermere House, LLC; Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC;

February 18, 2016



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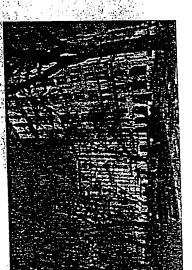




FIGURE 6.2 - HOTEL WINDERMERE EAST

/ARCHITECTS STUDIO/ GANG

5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

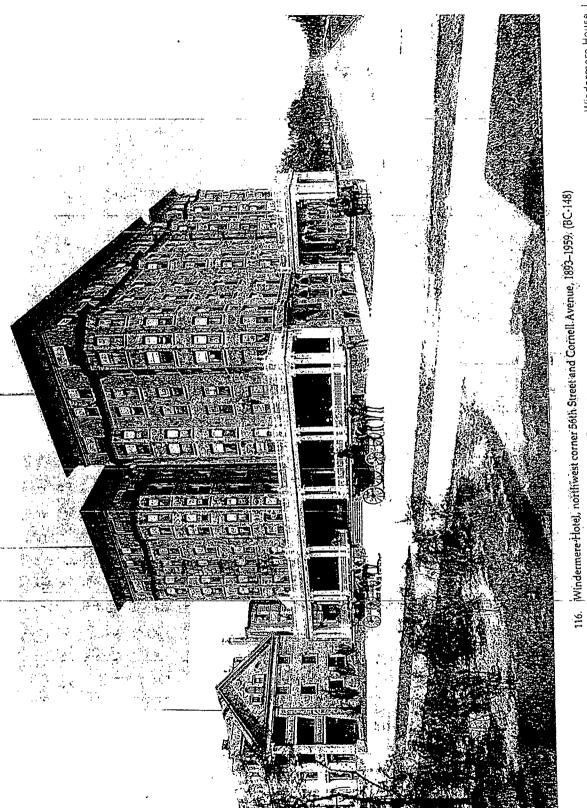


FIGURE 6.3 - WINDERMERE HOTEL

116.

STUDIO/ GANG /ARCHITECTS

1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave 5543-59 S. Cornell Ave./

February 18, 2016

On Friday the Deltho club of the decorate the the statement with in the ballroom. Seventy-five couples windsmore than on receast, on receast, Apr. University of Chicago, gave a dance attended.

Hyde Park Herald

October 13.

Mrs. Preston Heller entertained a play produced by Lengther Hymen, by Mrs. Wolf was hostess at dinner dew friends at luncheon Saturday. and cards Saturday.

the reception Saturday following the Cohn-Walkenstein wedding. The Three hundred guests attended bride is the daughter of Mrs. J. M. Cohn, of the Windermere-East,

Sunday. Miss Barnes made the ar-The Zeta sorority held a tea on rangements.

> H. W. Gilsatt, St. Louis, Mrt. 181 orick I. Gales, Palitath Madori

kollowing are result to he Windermers: "Raked II

daring abbrendig and atten

Bullett, Accompanie

PARTICIPATION OF MANAGEMENT 

Kansal City, Ho.: Mr. Cit.

Nichols, Chiengo.

Miss El Cancon, Racine,

Groendyka, Chicago: "

Mrs. Cora S. Hankey, of Chicago, Petersburg, Fig., are guests of Mr. Mr. and Mrs. H. E. Reser, of St. has taken an apartment.

and Mrs. Samuel Wolfert. Mrs. Samuel Spiro of South Mr. and Mrs. Frank Baackes have Mr. and Mrs. A. W. Kohn have gone to New York for a few days. Bend, Ind., has returned here to remain for an indefinite period.

Hyde Park Herald April, 1926 Are Lorado Taft, before setting Dinner To Lorado Taft

given a farewell dinner by the Boath 20, at 6:80 p.m.

With the dinner will the given a by Beatrice Lory and Harel France. Arrangements are being made to lave aeveral prominent speakers. Marie Blanke, componer,

Dine Together Goodfellows

At Windermere

Sponsored By Gath Screet Business Association

A FINE PROGRAM OF ENTERTAINMENT

Mr. Herbert M. Philbrook Spoke On Methods Of Success Now

Wedtheault evening under the ma-toles of the Sta St. Middies Men's assichtlich tiling, was the Goodfollowship direction of the Bindermark With und presitable in the development of MALLEN OF COURTERS IN B. Margare

The aim of the disnerance to heigh the fire pleyers with the concepts the transfer in the process of the process of the part of the process of the part of the process of the part of Senedt of persenal inchactions and in-

attendance. mad the spielt of ginification fig.

returned from Atlantic City where

they spent several weeks.

FIGURE 6.4 - HISTORIC WINDERMERE

/ARCHITECTS STUDIO/

1604-1624 E. 56th St.; 1634-64 E. 56th St.;

5534-58 S. Cornell Ave./

5543-59 S. Cornell Ave., 5526-30 S. Cornell Ave

February 18, 2016

FIGURE 6.5 - ARTIST RENDERING FROM SOUTHEAST

STUDIO/ GANG /ARCHITECTS

5543-59 S. Cornell Ave./ 1634-64 E. 56th St.; 5534-58 S. Cornell Ave./ 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

Windermere House, LLC; Solstice on the Park, LLC; 5528 S. Cornell Avenue Owner, LLC;

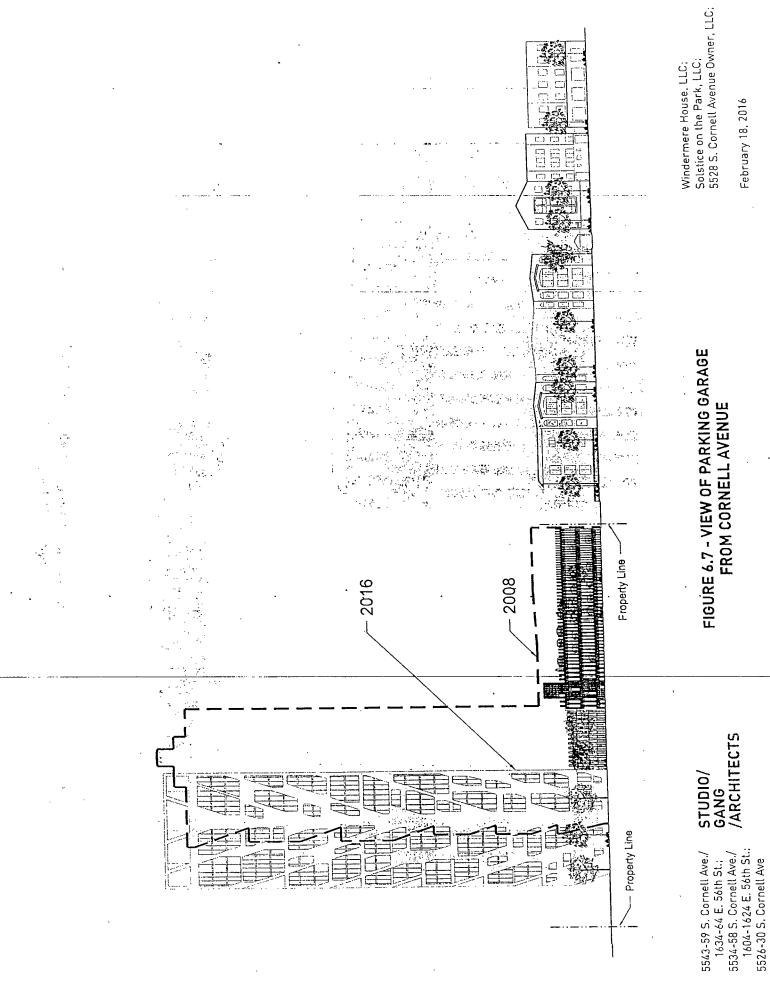
February 18, 2016

FIGURE 6.6 - VIEW OF PARKING GARAGE FROM CORNELL AVENUE

Il Ave./ STUBIO/
h St.: GANG
Ave./ /ARCHITECTS

5543-59 S. Cornell Ave./ S 1634-64 E. 56th St.; G 5534-58 S. Cornell Ave./ /, 1604-1624 E. 56th St.; 5526-30 S. Cornell Ave

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February 18, 2016

Addresses: 5543-59 South Cornell Avenue/1634-64 East 56<sup>th</sup> Street (Subarea A); 5534-58 South Cornell Avenue/1604-1624 East 56<sup>th</sup> Street (Subarea B); and 5528-32 South Cornell Avenue (Subarea C)

# PART TWO: CHARACTER OF THE PROPOSAL VI. Narrative: A Statement Describing the Proposed Development.

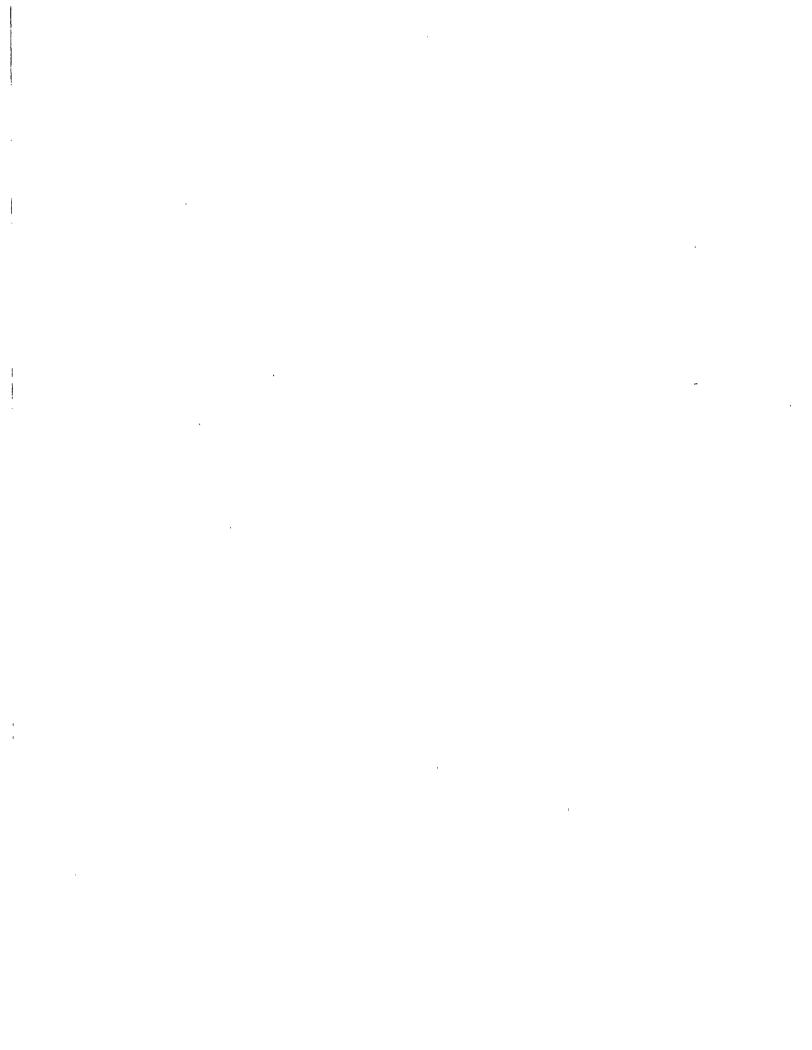
Residential-Business Planned Development 282 is located at the north end of Jackson Park in Chicago's Hyde Park neighborhood and is comprised of three Subareas. (See <u>Figures 1.1</u>, <u>1.2</u> and <u>1.3</u>.)

Brief History and Existing Conditions. The history of the two more southerly Subareas (A and B) is directly connected to the origins of the modern-day Jackson Park as the fairgrounds of the World Columbian Exposition of 1893 and to Hyde Park's era as a lakefront resort and destination throughout the first quarter of the twentieth century. The parcels were developed with a pair of luxury apartment hotels, known as "Windermere East" and "Windermere West". (See Figures 6.1, 6.2, and 6.3.) Both hotels were occupied by year-round residents and by visiting guests. Both were heavily used by the neighboring community for dining, meetings, concerts, dances and other special events. (See Figure 6-4, which includes just four examples of the hundreds of community newspaper advertisements and articles, from the 1920s through the 1950s, documenting the numerous ways in which the Windermere Hotels were a focal point of community life in Hyde Park and South Shore.) Subarea C, just to the north, was improved with a low-rise residential apartment building in approximately 1920.

Within Subarea A, the Windermere East building still stands (See <u>Figure 1.1</u>, <u>Figure 1.2</u> and <u>Figure 6.2</u>) and is listed in the National Register of Historic Places. Now known as Windermere House, the building is actively used as a 220-unit residential apartment building. Its first floor, facing Jackson Park with a semi-circular forecourt, operates with a mix of commercial uses enjoyed by residents and the surrounding neighborhood, including a restaurant-bar, medical and dental offices, and daycare. Per its original construction, the only open space on this site is devoted to the grand forecourt facing Jackson Park. There is no on-site parking.

Within Subarea C, the original low-rise residential apartment building remains, is operated with 53 residential apartments, and has no on-site parking or commercial uses. (See <u>Figure 1.2</u>)

Windermere West was demolished in 1959, and the site has remained a parking lot for the past 57 years. (See Figure 1.2) After acquiring the site in 2006, Petitioner began working with Studio/Gang/Architects to develop a building that would be worthy of the site's history and critical location at the edge of Jackson Park. (See Figure 1.2 and Figures 1.3.) Jeanne Gang and Petitioner collaborated to create the design for Solstice on the Park, a unique and site-specific high-rise condominium building with ground-breaking sustainability features and striking architecture. South-facing windows, angled for Solstice's exact location on the planet, would shade the building from the direct light of high summer sun, avoid passive heat gain, and maximize the benefit of low winter sun in colder months. Inspired by natural phenomena, Gang removed excess concrete from the design for Solstice's East and West facades based on the structure of leaves. Had it been built, Solstice would have been the first new condominium building in Hyde Park for several decades. And though the Affordable Requirements Ordinance was not applicable to the project, Petitioner voluntarily committed to reserve all of Subarea C's 53 units for affordable housing when the Solstice development commenced.



Addresses: 5543-59 South Cornell Avenue/1634-64 East 56<sup>th</sup> Street (Subarea A); 5534-58 South Cornell Avenue/1604-1624 East 56<sup>th</sup> Street (Subarea B); and 5528-32 South Cornell Avenue (Subarea C)

Instead, within a few months after Solstice received its 2008 Planned Development and Lakefront Protection Ordinance approvals, the Great Recession put a stop to the entire project.

Proposed Development: In essence, Petitioner is proposing to bring the Solstice project and leanne Gang's unique design to fruition, but with adaptations to accommodate interior uses that meet market demand and community needs in 2016. The primary changes to the development plan approved in 2008 (Figure 3.1, Figure 6.6 and Figure 6.7) are: (i) shifting the Solstice tower towards Jackson Park and reducing its north-south profile to reduce visual impact for residential neighbors to the North and East; (ii) utilizing the interior of the Solstice tower for 250 smaller dwelling units, rather than 150 larger condominium units; (iii) implementing a modified garage design (with no fewer than 316 parking spaces) that responds to transit-oriented development principles, updated parking usage data, and neighborhood comments regarding the design and massing of the garage as previously approved in 2008; and (iv) shifting Solstice's primary loading/refuse collation facilities to the interior of its North side for reduced visibility from Jackson Park and for increased use of the new public alley constructed by Applicants, rather than 56th Street. Solstice's proposed accessory uses and amenities could include a fitness center, pool, meeting/office space that would function as a business center for residents engaged in school or home occupations, convenience retail not to exceed 1,500 s.f., and a drop-off dry cleaners.

#### PART THREE: ZONING INFORMATION

The Applicant shall provide the basic data on zoning considerations for the site of the proposal. Calculations may be shown below on this page and on page 8.

I. Is a planned develor required or permitted	•	mendment to an existing planned development	ent
X_ required	permitted	no no	
<u> </u>		red, or if it is permitted and the Applicant endment, the Applicant is not required to	

complete the remainder of Part Three of this Application Form.

n de la contraction de la cont

Address:

Il Is Zoning Board of Appeals approval a variation or a special use either necessary or

	Net Site Area and Existing Zoning District Classification (list that portion of the net site area in each):
	Asq. ftsq. ft.
	Csq. ft.
	D. Total Net Site Area:sq. ft.
1	Dwelling Units
•	
	A. Maximum units allowed
	<ol> <li>Without efficiency units:</li> <li>With maximum percent of efficiency units:</li> </ol>
	B. Proposed number of units
	<ol> <li>Dwelling units:</li> <li>Efficiency Units:</li> <li>Total Units:</li> </ol>
	Does the Applicant intend to increase allowable floor area by reducing the number of units constructed below the maximum allowed?yesno.
_	If "yes" there will be units fewer than the maximum allowed, and the Floor Area Ratio for the site will be increased by %.

Address:\_

This page for calculations.

Address:	•	

V. Bulk

	A. B. C.	Proposed F.A.R.,	Ratio (F.A.R.), without bonuses: , include all bonuses: used in computing B., above:	·
		Z	·	
	D. E.	Proposed Floor A	Area: sq. for area devoted to interior recreation %.	t. space, meeting rooms, etc.:
VI.	Off	-street Parking and	d Loading	
			Minimum Required	Number Proposed
	<b>A</b> .	Parking Spaces		
	В.	Loading Docks		
VII.	Set	backs		
			<u>Minimum</u>	Proposed
	A.	Front		
	B.	Side		
	C.	Rear <sub>.</sub>	·	
		·		

PART FOUR: POTENTIAL IMPACT OF THE PROPOSAL

Address:

Addresses: 5543-59 South Cornell Avenue/1634-64 East 56<sup>th</sup> Street (Subarea A); 5534-58 South Cornell Avenue/1604-1624 East 56<sup>th</sup> Street (Subarea B); and 5528-32 South Cornell Avenue (Subarea C)

PART FOUR: POTENTIAL IMPACT OF THE PROPOSAL

The Chicago Plan Commission requires that the Applicant address the Fourteen Basic Policies of the Lakefront Plan of Chicago and the Thirteen Purposes of the Lake Michigan and Chicago Lakefront Protection Ordinance, as listed below, in a written statement to the Commission attached to this Application Form and labeled Part Four. The statement should indicate which policies or purposes are or are not applicable to the Applicant's proposal, and, for those policies and purposes which are applicable, the statements should discuss the potential impact of the proposal.

#### I. Fourteen Basic Policies

- Complete the publicly owned and locally controlled park system along the entire Chicago lakefront.
   Not applicable. The subject site is located in the Private Use Zone as established by the
  - Not applicable. The subject site is located in the Private Use Zone as established by the Lake Michigan and Chicago Lakefront Protection Ordinance (LPO).
- Maintain and enhance the predominantly landscaped, spacious and continuous character of the lake shore parks.
   Not applicable. The subject site is located in the Private Use Zone and will not modify the landscaping or character of the Lake Shore Parks.
- 3. Continue to improve the water quality and ecological balance of Lake Michigan.

  The subject site and project should have no adverse impact on water quality and ecological balance of Lake Michigan. The site will remain connected to the City of Chicago water and sewer system, and the proposed project will be designed, constructed and maintained in accordance with all applicable laws and regulations governing retention and discharge of stormwater and waste water.
  - 4. Preserve the cultural, historical, and recreational heritage of the lakeshore parks.

    As noted in the Part Two Narrative, Subarea B was originally constructed and operated in the 1890s and early Twentieth Century as a luxury, lakefront apartment hotel and resort where residents, travelers, and community members could access and enjoy the hotel's commercial spaces in conjunction with Lake Michigan, the Columbian Exposition, and its successor, Jackson Park. The connection between the Windermere West Hotel and lakefront as complementary publically-accessible spaces was ended with its demolition in 1959. For 57 years, the Windermere West site has operated as a private parking lot. The proposed redevelopment will restore the former connection for a new generation of residents.
    - Maintain and improve the formal character and open water vista of Grant Park with no new above-ground structures permitted.
       Not applicable. The subject site is not in or adjacent to Grant Park.
- Increase the diversity of recreational opportunities while emphasizing lake-oriented leisure time activities.

  As noted above in our Response to the fourth Policy, a key feature of the Solstice project is to reactivate the Windermere West site, after a 57-year period as a parking lot, with high-quality residential uses and amenities. Petitioner expects residents will choose

Solstice as a home in part to enjoy lakefront leisure time activities. And if the requested

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Addresses: 5543-59 South Cornell Avenue/1634-64 East 56<sup>th</sup> Street (Subarea A); 5534-58 South Cornell Avenue/1604-1624 East 56<sup>th</sup> Street (Subarea B); and 5528-32 South Cornell Avenue (Subarea C)

vacation rental use is allowed, the Planned Development will provide the opportunity for guests to have lodging that is proximate to Jackson Park, the Museum of Science and Industry, the University of Chicago, and other Southside cultural and educational institutions.

7. Protect and develop natural lakeshore park and water areas for wildlife habitation.

The subject site is located in the Private Use Zone of the Lake Michigan and Chicago Lakefront Protection Ordinance, and the proposal is not expected to have any detrimental impact on natural lakeshore park and water areas for wildlife habitation.

8. Increase personal safety.

Two aspects of the project may increase personal safety in the adjacent lakefront park areas as compared to existing conditions. The reactivation of this site will generate pedestrian traffic and more "eyes on the street". In addition, based on Petitioner's extensive data for this market, the project's 316 on-site parking spaces will exceed the demand generated by the Planned Development and, if allowed, provide parking for residential neighbors, which could reduce demand for on-street parking and increase the likelihood that residents can park more closely to their homes.

- 9. Design all lake edge and lake construction to prevent detrimental shoreline erosion.

  The subject site will have no direct impact on the lake edge and shoreline erosion because it will not be located on or adjacent to the lakeshore or lake edge.
- 10. Ensure a harmonious relationship between the lakeshore parks and the community edge, but in no instance will further private development be permitted East of Lake Shore Drive.

Solstice on the Park is proposed to be used and designed in harmony with Jackson Park. In terms of use, the reactivation of the site will connect new residents and visitors to the park and lakefront. And, as described above, Jeanne Gang's design for Solstice is intimately tied to its location at the edge of Jackson Park, from the angle of the Southfacing windows and leaf-inspired structural patterns to the placement and landscaping of Solstice's forecourt, which will face the northern edge of the Park.

Studio/Gang describes Solstice's design as "Form Shaped by the Sun":

"Solstice on the Park, a... tower literally shaped by solar access, challenges the current notion of pure iconography and symbolism in tall buildings. With expansive views of Jackson Park to the south and Chicago's skyline to the north, its living spaces are chiseled to create a "self-shaded" south-facing surface in response to summer sun (all of its surfaces are precisely designed to the optimum 71° angle for Chicago's latitude), allowing sun to enter the apartments during winter for passive solar warming and precluding it during summer to reduce airconditioning usage."

 Improve access to the lakeshore parks and reduce through vehicular traffic on secondary park roads.

The proposed project is not expected to modify or adversely impact any existing pedestrian or vehicular access points to or through Jackson Park.

Addresses: 5543-59 South Cornell Avenue/1634-64 East 56<sup>th</sup> Street (Subarea A); 5534-58 South Cornell Avenue/1604-1624 East 56<sup>th</sup> Street (Subarea B); and 5528-32 South Cornell Avenue (Subarea C)

accordance with all applicable laws and regulations governing retention and discharge of stormwater and waste water.

- 4. To insure that construction in the Lake or modification of the existing shoreline shall not be permitted if such construction or modification would cause environmental or ecological damage to the Lake or would diminish water quality; and to insure that the life patterns of fish, migratory birds and other fauna are recognized and supported; The project does not involve any construction within the Lake or modification of the existing shoreline or Jackson Park and should not cause environmental or ecological damage to the lake or diminish the water quality or impact the patterns of fish, migratory birds or other fauna. Two of the Subareas will continue in their existing developed state, and the Windermere West site, to be developed as Solstice on the Park, is currently a parking lot.
- 5. To insure that the Lakefront Parks and the Lake itself are devoted only to public purposes and to insure the integrity of and expand the quantity and quality of the Lakefront Parks; The subject site is located in the Private Use Zone and will not adversely impact the public purposes of the Lakefront Parks and Lake.
- 6. To promote and provide for continuous pedestrian movement along the shoreline;
  The subject site is not located at the shoreline and should have no detrimental impact on pedestrian movements along the shoreline.
- 7. To promote and provide for pedestrian access to the Lake and Lakefront Parks from and through areas adjacent thereto at regular intervals of one-fourth mile and additional places wherever possible, and to protect and enhance vistas at these locations and wherever else possible.
  - With respect to access issues: (i) the existing pedestrian orientation of the Windermere House forecourt towards Jackson Park is being maintained, and Solstice will include a similarly-positioned forecourt; (ii) Solstice will include ample bicycle storage for residents; and (iii) Solstice is expected to create a supply of on-site parking spaces that will increase the availability of on-street parking for Lakefront visitors who arrive by car. In terms of vistas, Solstice's proposed architectural and landscaping design will improve views to and from Jackson Park.
- 8. To promote and provide for improved public transportation access to the Lakefront;

  Solstice will not include modifications to any public transportation facilities.

  However, based on Petitioner's extensive experience and familiarity with residential patterns in the neighborhood, Petitioner expects that Solstice residents and visitors will make extensive use of the area's substantial rail and bus systems.
- To insure that no roadway of expressway standards, as hereinafter defined, shall be permitted in the Lakefront Parks;
  - *Not applicable. The project does not propose any new roadways.*

Addresses: 5543-59 South Cornell Avenue/1634-64 East 56<sup>th</sup> Street (Subarea A); 5534-58 South Cornell Avenue/1604-1624 East 56<sup>th</sup> Street (Subarea B); and 5528-32 South Cornell Avenue (Subarea C)

12. Strengthen the parkway characteristics of Lake Shore Drive and prohibit and roadway of expressway standards.

The subject site is not located on Lake Shore Drive, and the proposed project will have no impact on its parkway or roadway characteristics.

13. Ensure that all port, water supply, and public facilities are designed to enhance lakefront character.

The proposed project does not involve any port, water supply or public facilities that effect lakefront character.

14. Coordinate all public and private development within the water, park, and community zones.

The proposed project is located within a Planned Development. Through the Planned Development amendment process, the project will be extensively reviewed by various City of Chicago Departments and will be designed, constructed and maintained in accordance with all applicable laws and regulations to ensure the coordination required by this Policy.

### PART FOUR: POTENTIAL IMPACT OF THE PROPOSAL

II Thirteen Purposes

1. To promote and protect the health, safety, comfort, convenience, and the general welfare of the people, and to conserve our natural resources;

The proposed project will promote and protect health, safety, comfort, convenience, and general welfare while conserving natural resources. The project will provide up to 250 units of modern rental housing in a neighborhood that has seen little residential construction since Urban Renewal ended. With this half-century period of relative dormancy, Hyde Park has extremely few buildings with modern sustainability and accessibility features. Petitioner is also proposing to dedicate 53 existing dwelling units, in a prime, transit-rich location, as affordable housing. And, to the extent these uses are allowed in the final Planned Development, Solstice also will provide the neighborhood with vacation rental capacity and new parking.

To identify and establish the Lake Michigan and Chicago Lakefront Protection District and to divide that District into several zones wherein any and all development or construction, as specified in Article V hereinafter, shall be specifically restricted and regulated;

The subject site is located in the Private Use Zone as established by the Lake Michigan and Chicago Lakefront Protection Ordinance. The proposed project does not propose any modification of the current boundaries between the Private Use Zone and Public Use Zone and will be reviewed under the provisions of that Ordinance, the Planned Development provisions of the Zoning Ordinance, the Chicago Building Code, and other City ordinances to ensure that the project's development and construction activities will conform with applicable laws and regulations.

3. To maintain and improve the purity and quality of the waters of Lake Michigan:

The site will remain connected to the City of Chicago water and sewer system,
and the proposed project will be designed, constructed and maintained in

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Antheus Capital, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant  OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: 5528 S CORNELL AVENUE OWNER, LLC
B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR  ENGLEWOOD, NJ 07631
C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.CO
D. Name of contact person: SUSIE CHARENDOFF
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment of Residential Business Planned Development 282.
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

### 1. Indicate the nature of the Disclosing Party: [ ] Person [X] Limited liability company [ ] Limited liability partnership [ ] Publicly registered business corporation [ ] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] General partnership [ ] Limited partnership [] No []Yes [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: **NEW JERSEY** 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? X Yes [] N/A []No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title MANAGING MEMBER DAVID GEFSKY MANAGING MEMBER **ELI UNGAR**

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
ELI UNGAR	32 N. DEAN STREET, 2ND FL ENGLEWOOD, NJ 07631	Disclosing Party 25%
DAVID GEFSKY	· · · · · · · · · · · · · · · · · · ·	25%
HARLEY UNGAR		25%
JENNIFER GEFSKY		25%

### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	[X] No			
If yes, please iden relationship(s):	tify below the name(s)	of such City elected o	fficial(s) and describe	such
			· · · · · · · · · · · · · · · · · · ·	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

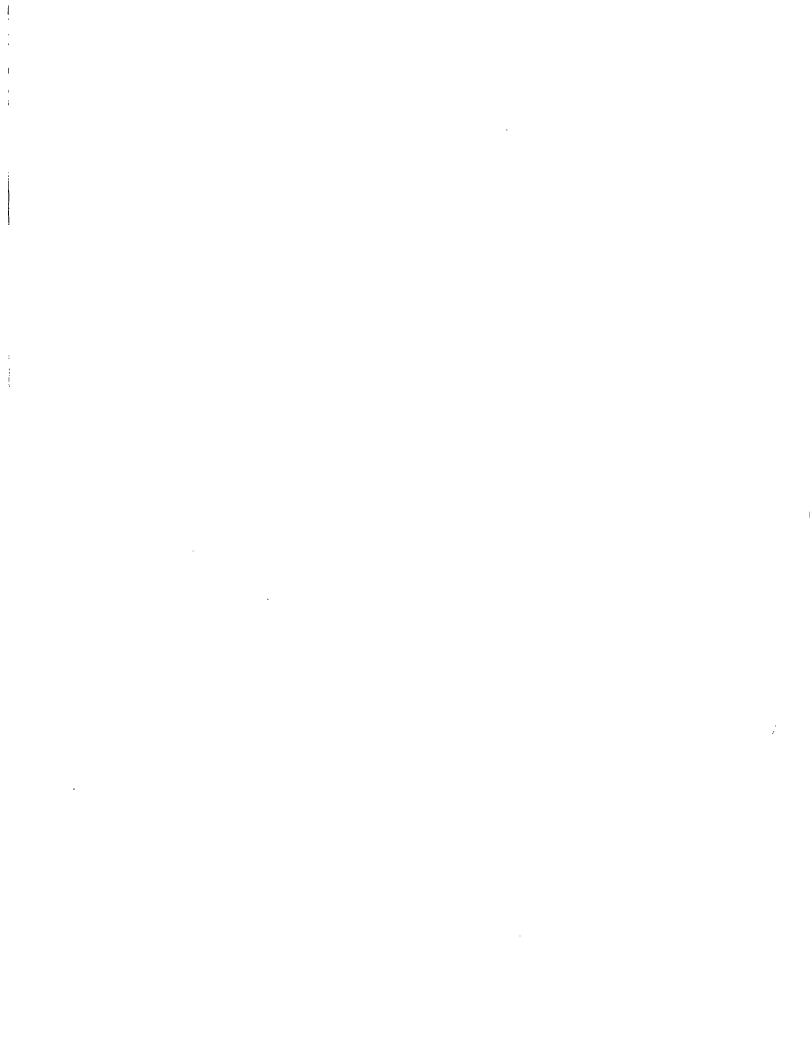
"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate wheth retained or anticipated to be retained)		Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	ıry)		
[] Check here if the D	isclosing Party l	has not retained, nor expects to retain	a, any such persons or entities
SECTION V CER	TIFICATIONS		
A. COURT-ORDERI	ED CHILD SUP	PORT COMPLIANCE	
		2-415, substantial owners of business ith their child support obligations thr	
	-	ctly owns 10% or more of the Disclosions by any Illinois court of competer	
[]Yes 🏌	-	No person directly or indirectly owns isclosing Party.	10% or more of the
If "Yes," has the person in compl		court-approved agreement for paym greement?	ent of all support owed and
[]Yes [	] No	•	
B. FURTHER CERT	IFICATIONS		
consult for defined ter submitting this EDS is certifies as follows: (i with, or has admitted	rms (e.g., "doing s the Applicant a ) neither the App guilt of, or has e	hapter 1-23, Article I ("Article I") (who business") and legal requirements), and is doing business with the City, the blicant nor any controlling person is over been convicted of, or placed under moted, or conspiracy to commit bribe	if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").



Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further								
Certiii	Certifications), the Disclosing Party must explain below:								
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presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicat with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

If the letters "NA," the wor conclusively presumed that	· •		
D. CERTIFICATION REC	GARDING INTEREST	IN CITY BU	JSINESS
Any words or terms that ar meanings when used in this	<del>-</del>	156 of the Mu	unicipal Code have the same
		_	Code: Does any official or employee in the name of any other person or
NOTE: If you checked "Y Item D.1., proceed to Part 1	· •	ed to Items D	.2. and D.3. If you checked "No" to
elected official or employe any other person or entity i for taxes or assessments, or	e shall have a financial n the purchase of any p r (iii) is sold by virtue o npensation for property	interest in his roperty that ( of legal proces taken pursua	g, or otherwise permitted, no City is or her own name or in the name of i) belongs to the City, or (ii) is sold is at the suit of the City (collectively, and to the City's eminent domain power is Part D.
Does the Matter involve a	City Property Sale?		
[] Yes  3. If you checked "Yes officials or employees have	· •		nd business addresses of the City are of such interest:
Name	Business Address		Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	rty the Applicant?
[] Yes	[] No
If "Yes," answer th	e three questions below:
•	veloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2.) [] No
Contract Complian	ed with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due ce filing requirements?  [] No
equal opportunity of	
[] Yes  If you checked "No	[] No " to question 1. or 2. above, please provide an explanation:

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

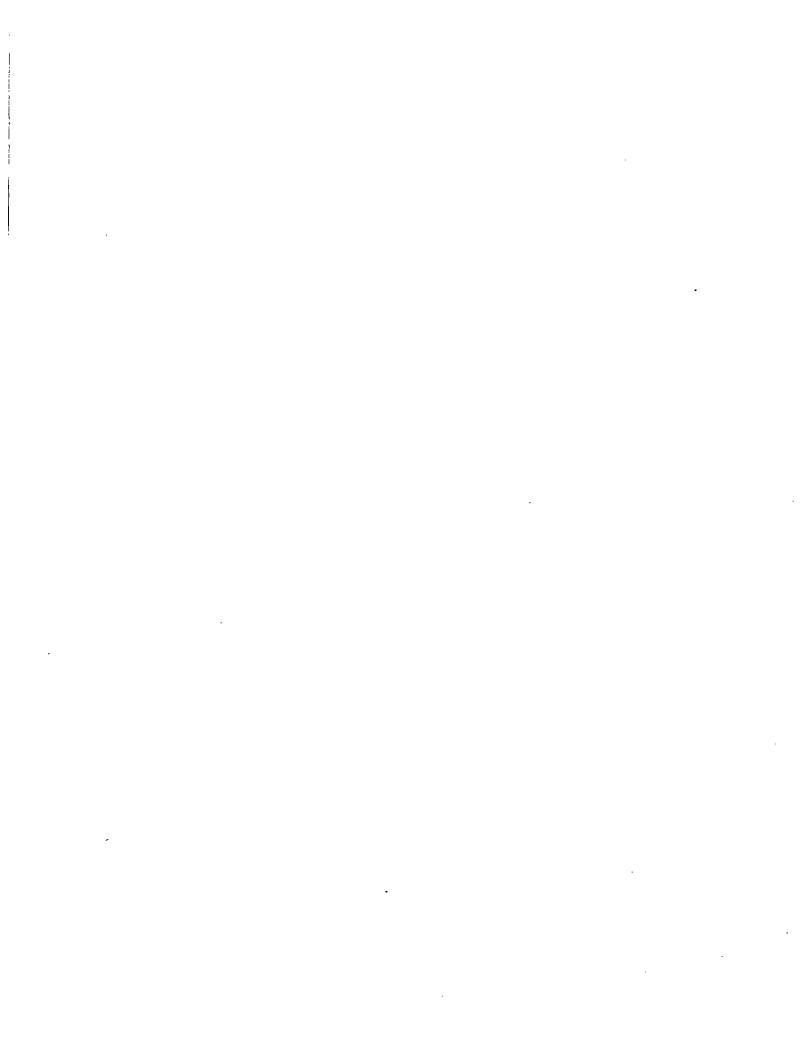
The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:



- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

ANTHEUS CAPITAL, LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)			
By:	in entre		
(Sign here)			
DAVID GEFSKY			·
(Print or type name of person signing)	<del></del> 		
Managing MEMBER			
(Print or type title of person signing)			
Signed and sworn to before me on (date) E	clovery 10, 2015	•	
at Sorper County, New Jussy	(state).		mmy
Jeanethe Morre Colon	Notary Public.	TON ESCASA !	ANETTE MARIE COLON ARY PUBLIC OF NEW JERSEY I.D. # 2419776
Commission expires: 4/12/2017	·	Ny C	1.D. # 2419776 ommission Expires 4/12/2017
	Page 12 of 13	mund	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[X] No	
such person is connec	ted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### SECTION I -- GENERAL INFORMATION

****	The state of the s		
. Legal name of the Disclosing	Party sūbmitting this EDS.	Include d/b/a/ if applicable	
	Contract Con	` ': -	s se se
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dicate whether the Disclosing Pa	arty submitting this EDS is	:	
1. [ ] the Applicant	Committee of the second	MANAGER AND THE WAS NOT THE	\$∯right (Color)
OR*			
2. [] a legal entity holding a d			al name of the
Applicant in which the Discl		. , =	
3. [X] a legal entity with a right	t of control (see Section II:	B.1.) State the legal name	of the entity in
which the Disclosing Party hol	lds a right of control: 5528	S CORNELL AVENUE OWNER	LLC
t	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	25	4 4 4 T
Business address of the Disclo	sing Party: 32 N. DEA	N STREET 2ND FLOOR	
	ENGLEW	OOD, NJ 07631	制 湖 化硫酸
Federal Employer Identification Brief description of contract; in	n No. ((if;yoù have lone) ansaction or other undertak	cing (referred to below as the	e "Matter") to
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nendment of Residential Business Pla	nned Development 282.	a company of the second of the	The state of the s
and the second s			
Which City agency or departm	ent is requesting this EDS?	Department of Planning and D	evelopment
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If the Matter is a contract being complete the following:	handled by the City's Dep	partment of Procurement Se	rvices, please
Specification #	and Cont	raci#.	
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V. 01.01.10	Page 1 of 13		
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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

1. Indicate the	nature of the Disclosing Party:		
[] Person		imited liability compan	v <sup>†</sup> 2*1
·· -		imited liability partners	
		oint venture	
[] Sole proprietor		lot-for-profit corporatio	n .
[] General partne		ie not-for-profit corpora	
[] Limited partne	3 to 5,27 ± 1		] No.
[] Trust		ther (please specify)	
[]			
	War To		<b>建</b> 有一个人的
2. For legal er	itities, the state (or foreign country	of incorporation or org	anization, if applica
<b>.</b>	[INDIANA ]		
DELÁWARE	The state of the s	anning i big ig	
		Bill on Marin	
3. For legal er	itities not organized in the State of		
	te of Illinois as a foreign entity?	Michigan Company of this	
Name of		能學的政治的教育的社	School of the second with a
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and a	[]No	[.] N/A	
and a	المستثب فاستوالدا الاستحاد بيوا بالمعاولات بيرا بالماء والماء المتها والماء والتشميري	[.] N/A	
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B. IF THE DISCI  1. List below. NOTE: For not for there are no such r the legal titleholde If the entity is a partnership or join manager or any of NOTE: Each lega	[] No  OSING PARTY IS A LEGAL EN  the full names and titles of all execute-profit corporations, also list belonembers, write "no members." For r(s)  general partnership, limited partner to venture, list below the name and ner person or entity that controls the	TITY:  utive officers and all dir wall members, if any, v trusts, estates or other: rship, limited liability c itle of each general par day-to-day manageme EDS:on its own behalf	ectors of the entity.  which are legal entity  imilar entities, list b  ompany, limited liab  ner, managing mem  it of the Disclosing
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2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address		age Interest in the		
ANTHEUS CAPITAL, LLC	32 N. DEAN STREET, 2ND FL	Disclosing Pa 100%			
	ENGLEWOOD, NJ 07631	****		e de la composición dela composición de la composición dela composición de la composición de la composición dela composición de la composición de la composición de la composición dela composición de la composición de la composición de la composic	
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SECTION III - BUSIN	ESS RELATIONSHIPS WITH	CITY ELECTE	D OFFICIAL	S: Land	
Has the Disclosing Par	ty had a "business relationship;"	as defined in Cha	pter 2-156 of the	he Municipal	
	ed official in the 12 months befo				
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### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES:

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person of entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity on an unpaid basis, or (2); himself: "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated		ionship to Disclosing Part	y Fees (indicate w	
to be retained)	Address Alobby	(ist, etc.)		"t.b.d."is
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k])Check here if the Discl	osing Party has not re	etained; nor expects to reta	un, any such person	s or entities:
SECTION V - CERTIF	ICATIONS			
A COURT ORDERED C	HILDSUPÉORTE	OMPLIANCE	te en paretre étable de Control de la Cal	
Under Municipal Code the City must remain in co		bstantial owners of busine child support obligations t		
Has any person who direct arrearage on any child sup	the second second and the second	2. 医克勒克姆氏试验检尿管 医肾髓管 (A. Challer) (1. 11) (A. Challer) (A. Challer)	of the Company of the	(இது கேரும் பாடுக்கு நடித்திருக்கு குறும் இது குறும் ம
[]Yes K]No	[]No perso Disclosing	n directly or indirectly ow Party	ns 10% or more of t	he he
If "Yes," has the person er is, the person in compliance			ment of all support	owed and
[.] Yes . [e] No				
B FURTHER CERTIFIC	ATIONS		A Company of	
1. Pursuant to Municip consult for defined terms ( submitting this EDS is the certifies as follows: (i) nei with, or has admitted guilt criminalloffense involving	e g doing business Applicant and is doi ther the Applicant no of, or has even been	ng business with the City or any controlling person i convicted of or placed un	), if the Disclosing I then the Disclosing s currently indicted der supervision for	Party Party or charged any
perjury, dishonesty or deco	10 F 40 10 10 10 10 10 10 10 10 10 10 10 10 10	A CONTRACTOR OF THE STATE OF TH	A service of the serv	the state of the s

Page 4 of 13

Applicant understands and acknowledges that compliance with Article Lis a continuing requirement for

doing business with the City. NOTE: If Article Lapplies to the Applicant, the permanent compliance interfame in Article I supersedes some five-year compliance time frames in certifications 2 and 3 below.



2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

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- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS; been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with:

  obtaining; attempting to obtain, or performing a public (federal) state or local) transaction or contract under a public transaction; a violation of federal or state, antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five year period preceding the date of this EDS, had one of more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS; been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government; any state-or any other unit of local government.
- 3. The certifications in subparts 3, 4 and 5 concern:
- · the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV; "Disclosure of Subcontractors and Other Retained Parties");

TOTAL CONTRACTOR OF THE PROPERTY OF THE PROPER

- any "Affiliated Entity" (meaning a person or entity that; directly or indirectly controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person of entity. Indicia of control include, without limitation interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City; using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party; any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party; any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a or b above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance):
- 4. Neither the Disclosing Party, Affiliated Entity of Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party, nor any Affiliated Entity, is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security, of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Universified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:



If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

9. To the best of the Disclosing Party!s knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient: (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

#### NONE

# C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

THE PARTY OF THE P

[] is [X] is not

- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges.

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Gode) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

- 1 . In accordance with Section 24/36-1/10 of the Municipal Gode. Does any official of employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

entity in the Matter?

[-] Yess

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2, and D.3. If you checked "No" to

Item Desproceed to Partie 2.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his of her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, of (ii) is sold for taxes of assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest, within the meaning of this Part D.

Does the Matter involve a City Properly Sale?

[] Yes

3. All you checked "Yes sto Item D.1; provide the names and business addresses of the City officials of employees having such interest and identify the nature of such interest.

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee

# E CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either I or 2 below If the Disclosing Party checks 2; the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City and the connection with the Matter voidable by the City and the connection with the Matter voidable by the City and the connection with the Matter voidable by the City and the connection with the City in the city
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves); and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments of profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
- Company of the second of the
SECTION VI CERTIFICATIONS FOR FEDERALLY RUNDED MATTERS  NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally
funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are notifederal funding:
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities, registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the
Disclosing, Party with respect to the Matter June 1995 to 1995

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3. The Disclos	•	odated certification at the end of each calendar-quarter-in-	44
		iffects the accuracy of the statements and information set	
forth in paragraphs	A.1. and A.2. above.	et gradisk kristinsk fra 1900 och et	
		数1000mm	:31
		cr (i) it is not an organization described in section	•
		86, or (ii) it is an organization described in section	
24,	ernal Revenue Code of 19	86 but has not engaged and will not engage in "Lobbying	pula (f
Activities".	i. Normala Kikataranga sala AKSA.	though total according to the control of the contro	
		t, the Disclosing Party must obtain certifications equal in h.A.4 above from all subcontractors before it awards an	
		intain all such subcontractors certifications for the	У. т. Пода
		ertifications promptly available to the City upon request.	
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B. CERTIFICATION	ON REGARDING EQUA	LEMPLOYMENT OPPORTUNITY	
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If the Matter is fed	erally funded federalizegu	lations require the Applicant and all proposed	الم والجهوائين
subcontractors to si	abmit the following inform	nation with their bids of in writing at the outset of	人的歷史
negotiations.		เหม่าสังการเกิดให้เมื่อเมาแนะ รายายสามารถสามารถ การสำนัก การสมาชาการสมาชิก (การสมาชิก)	oligies.
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Is the Disclosing P	arty the Applicant?		
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[ ] Yes	[ˈ] No	AND THE PROPERTY OF THE PARTY O	
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		on file affirmative action programs pursuant to applicable	
	7~ (See:41 (CFR Part 60 2:)		TOWN
[]-Yes	[] No.	Berlinger State St	14 mil
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2. Have you fil	ed with the Joint Reportin	g.Gommittee, the Director of the Office of Federal	
Contract Complian	ce Programs, or the Equal	Employment Opportunity, Commission all reports due!	and the contract of the contra
under the applicabl	e filing requirements?		San S
[]Yes	[] No.		TELLER Village Section
			<b>等数数</b>
		contracts or subcontracts subject to the	
equal opportunity c		Mary Mallington mades come enclose to the contractions	: <b>:</b>
[F] Yes	ii.[i] No		SSM
If voil checked "Ni-	" to direction 12 or 2 char	e, pleasejprovidejan explanation:	S. Sacil
Thyourchecked No	o question if onz. abov	c, prease provide an expranation:	Take.

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## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications disclosures and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party!s participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party of its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use; not permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in FIL and Fi2 above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above; an explanatory statement must be attached to this EDS:

#### CERTIFICATION

Under penalty of perjury the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party; and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true; accurate and complete as of the date furnished to the City.

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DAVIDIGERSKY		
(Print or type-name of person signing)	The top was the state of the terms	My Activities Al
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(Print or type title of person signing)	a state of the wall the interest on the More	derinalistaniforis
Signed and sworn to before me on (date)	1, 2015	10 10 50
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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1)	Litha nama ànd title .			
such person is connected; (3) the reperson has a familial relationship,	name and title of the	elected city of	ficial or department	head to whom suc
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				<u></u> .

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
5528 S. CORNELL AVENUE, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: 5528 S CORNELL AVENUE OWNER, LLC
B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631
C. Telephone; 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM
D. Name of contact person: SUSIE CHARENDOFF
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment of Residential Business Planned Development 282:
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	Y
<ol> <li>Indicate the nature of the Disclosing Parallel Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol> 2. For legal entities, the state (or foreign or fo	[X] Limited liability company  [] Limited liability partnership  [] Joint venture  [] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No  [] Other (please specify)
INDIANA	
<ul> <li>3. For legal entities not organized in the S business in the State of Illinois as a foreign en</li> <li>[8] Yes</li> <li>[9] No</li> <li>B. IF THE DISCLOSING PARTY IS A LEG</li> </ul>	[] N/A
NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If is." For trusts, estates or other similar entities, list below I partnership, limited liability company, limited liability ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. Ibmit an EDS on its own behalf.
Name	Title
AL-5528 S CORNELL, LLC	MANAGER
DAVID GEFSKY	VICE PRESIDENT OF AL-5528 S CORNELL, LLC
ELI UNGAR	PRESIDENT OF AL-5528 S CORNELL, LLC

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest	t in the
		Disclosing Party	
LYRICAL-ANTHEUS	REALTY PARTNERS II, L.P.	32 N DEAN STREET, 2ND FL.,	100%
		ENGLEWOOD, NJ 07631	
SECTION III F	BUSINESS RELATIONSHII	PS WITH CITY ELECTED O	FFICIALS
	_ · ·	ionship," as defined in Chapter 2 nths before the date this EDS is	
[] Yes	ON [X]		
If yes, please ident relationship(s):	ify below the name(s) of such	City elected official(s) and descri	ribe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary	y)		
[X] Check here if the Dis	sclosing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERT	IFICATIONS		
A. COURT-ORDEREI	CHILD SUPP	PORT COMPLIANCE	
-		-415, substantial owners of business th their child support obligations thr	
	-	tly owns 10% or more of the Disclosons by any Illinois court of competer	
[]Yes [Y]		To person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person is the person in complia		court-approved agreement for paym greement?	ent of all support owed and
[ <sup>8</sup> ] Yes [ ]	No		,
B. FURTHER CERTIF	TEATIONS		
consult for defined term submitting this EDS is a certifies as follows: (i)	ns (e.g., "doing the Applicant ar neither the Appl	apter 1-23, Article I ("Article I")(who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is ever been convicted of, or placed under	if the Disclosing Party nen the Disclosing Party currently indicted or charged

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under . Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:		
		_
		_

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").				
NONE				
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.				
NONE				
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION				
1. The Disclosing Party certifies that the Disclosing Party (check one)				
[] is [X] is not				
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.				
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:				
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."				
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):				

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	the word "None," or no respons ned that the Disclosing Party ce	se appears on the lines above, it will be rtified to the above statements.
D. CERTIFICATION	ON REGARDING INTEREST	IN CITY BUSINESS
Any words or terms meanings when use	<del>-</del>	56 of the Municipal Code have the same
of the City have a fentity in the Matter	inancial interest in his or her ow? ?	e Municipal Code: Does any official or employee on name or in the name of any other person or
[]Yes	[ <sup>K</sup> ] No	
NOTE: If you che Item D.1., proceed	· · · · · · · · · · · · · · · · · · ·	ed to Items D.2. and D.3. If you checked "No" to
elected official or e any other person or for taxes or assessn "City Property Sale	mployee shall have a financial is entity in the purchase of any prenents, or (iii) is sold by virtue of	itive bidding, or otherwise permitted, no City interest in his or her own name or in the name of operty that (i) belongs to the City, or (ii) is sold a legal process at the suit of the City (collectively, taken pursuant to the City's eminent domain power teaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[]Yes	[k] No	
		the names and business addresses of the City ntify the nature of such interest:
Name	Business Address	Nature of Interest
	······································	
	<u> </u>	<del></del>

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.										
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.										
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:										
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS										
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.										
A. CERTIFICATION REGARDING LOBBYING										
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):										
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)										
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a										

member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

nogotiations.	
Is the Disclosing P	rty the Applicant?
[]Yes	[] No
If "Yes," answer th	e three questions below:
· · · · · · · · · · · · · · · · · · ·	veloped and do you have on file affirmative action programs pursuant to applicable (See 41 CFR Part 60-2.)
[] Yes	[]No
Contract Complian	ed with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due e filing requirements?  [] No
3. Have you pa	rticipated in any previous contracts or subcontracts subject to the lause?
[]Yes	[ ] No
If you checked "No	" to question 1. or 2. above, please provide an explanation:

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not F.2 use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

5528 S CORNELL AVENUE, LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

BY: AL-5528 S CORNELL, LLC

ITS MANAGED

(Print or type name of Disclosing Party)		TTO MANAGER	
By:	· ,	BY: ANTHEUS CAPITAL, LLC ITS MEMBER-MANAGER	
(Sign here)  DAVID GEFSKY		BY: DAVID GEFSKY ITS MÄNAGING MEMBER	**************************************
(Print or type name of person signing)		·	
MANAGING MEMBER			
(Print or type title of person signing)			,
signed and sworn to before me on (date) _ at County, New Jersey	February 27, (state).	<u>7015</u> ,	
Jenethe Morre (don	Notary Publ	JEAN	ETTE MARIE COLON PUBLIC OF NEW JERSEY
Commission expires: 4/12/2017	 Page 12 of 1	<b>《 信任</b> 宣母》	1.D. # 2419776 lission Expires 4/12/2017

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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[ ] Tes	[X] No	,
such person is connec	cted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which the elected city official or department head to whom such a nature of such familial relationship.
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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

Ver. 01-01-12

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
5528 S CORNELL AVENUE OWNER, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [X] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631
C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COL
D. Name of contact person: SUSIE CHARENDOFF  E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Amendment of Residential Business Planned Development 282 (5543-59 S. Cornell Ave./1634-64 E. 56th St.; 5534-58 S. Cornell Ave./1604-1624 E. 56th St.; 5528-32 S. Cornell Ave.)
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

Page 1 of 13



### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rtv:
[ ] Person	[X] Limited liability company
Publicly registered business corporation	[ ] Limited liability partnership
[] Privately held business corporation	[] Joint venture
Sole proprietorship	Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[]Yes []No
[] Trust	[] Other (please specify)
2. For legal entities, the state (or foreign c	ountry) of incorporation or organization, if applicable:
3. For legal entities not organized in the S business in the State of Illinois as a foreign ent	tate of Illinois: Has the organization registered to do ity?
[] Yes [] No	[] N/A
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
NOTE: For not-for-profit corporations, also li there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	ll executive officers and all directors of the entity. st below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability te and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf.
Name	Title
5528 S CORNELL AVENUE, LLC	SOLE MEMBER
<del>,</del>	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name		siness Address	Percentage Interest in the	
5528 S CORNELL AVENUE, L		32 N DEAN STREET, 2ND FL., ENGLEWOOD, NJ 07631	Disclosing Party 100%	
	· · ·			
SECTION III BUSIN	NESS	RELATIONSHIPS WITH C	ITY ELECTED OFFICIALS	
_		d a "business relationship," as ficial in the 12 months before	defined in Chapter 2-156 of the Municipal the date this EDS is signed?	
[] Yes	k	No		
If yes, please identify be relationship(s):	low th	e name(s) of such City elected	official(s) and describe such	
	<u>-</u>			

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing (subcontractor, attorney, lobbyist, etc.)	Party	Fees (indicate whether paid or estimated.) <b>NOTE</b> "hourly rate" or "t.b.d." is not an acceptable response
Silliman Group - 5454 S Shore	e Drive, Chicago,	IL - Development consultant	\$8,000	estimated
Vedder Price - 222 North LaS	alle Street, Chica	igo, IL - Attorney - \$100,000 estim	ated	
Studio Gang Architects - 1212	N. Ashland, Chi	cago, IL - Architect - \$100,000 est	timated	
Gettys Group - 55 West Uppe	er Wacker Drive,	Chicago, IL - Development consu	Itant- \$80	0,000 estimated
rates (Add sheets if necessary)				
[] Check here if the Disch	osing Party ha	s not retained, nor expects to	retain,	any such persons or entitie
SECTION V CERTIF	CATIONS			
A. COURT-ORDERED	CHILD SUPPO	ORT COMPLIANCE		
<del>-</del>		415, substantial owners of but their child support obligation		
* <b>*</b>	•	y owns 10% or more of the Ins by any Illinois court of co		
[] Yes [X] N		o person directly or indirectly closing Party.	owns :	10% or more of the
If "Yes," has the person e is the person in compliant		ourt-approved agreement for reement?	payme	nt of all support owed and
[]Yes , []N	0	•		

### **B. FURTHER CERTIFICATIONS**

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:				
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presumed that the Disclosing Party certified to the above statements.							
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").							
NONE							
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.							
NONE							
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION							
1. The Disclosing Party certifies that the Disclosing Party (check one)							
[] is [X] is not							
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.							
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:							
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."							
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):							

Name .	Business Address	Nature of Interest
	ked "Yes" to Item D.1., provide the yees having such interest and identif	names and business addresses of the City y the nature of such interest:
[]Yes	[x] No	
Does the Matter in	volve a City Property Sale?	
2. Unless sold elected official or any other person of for taxes or assess "City Property Sal	pursuant to a process of competitive mployee shall have a financial interentity in the purchase of any properments, or (iii) is sold by virtue of legonals.	e bidding, or otherwise permitted, no City rest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, on pursuant to the City's eminent domain powering of this Part D.
NOTE: If you ch Item D.1., proceed		o Items D.2. and D.3. If you checked "No" to
	financial interest in his or her own r	unicipal Code: Does any official or employee ame or in the name of any other person or
Any words or term meanings when us		of the Municipal Code have the same
D. CERTIFICAT	ON REGARDING INTEREST IN	CITY BUSINESS
-	" the word "None," or no response a med that the Disclosing Party certif	• •

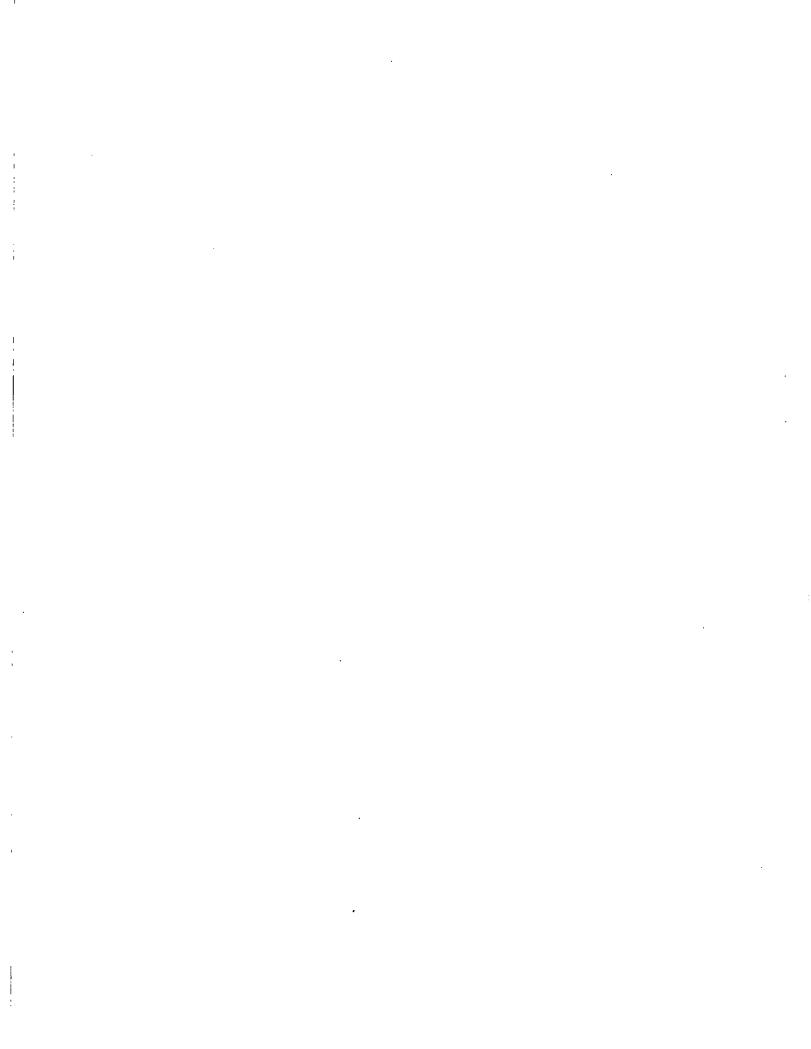
4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

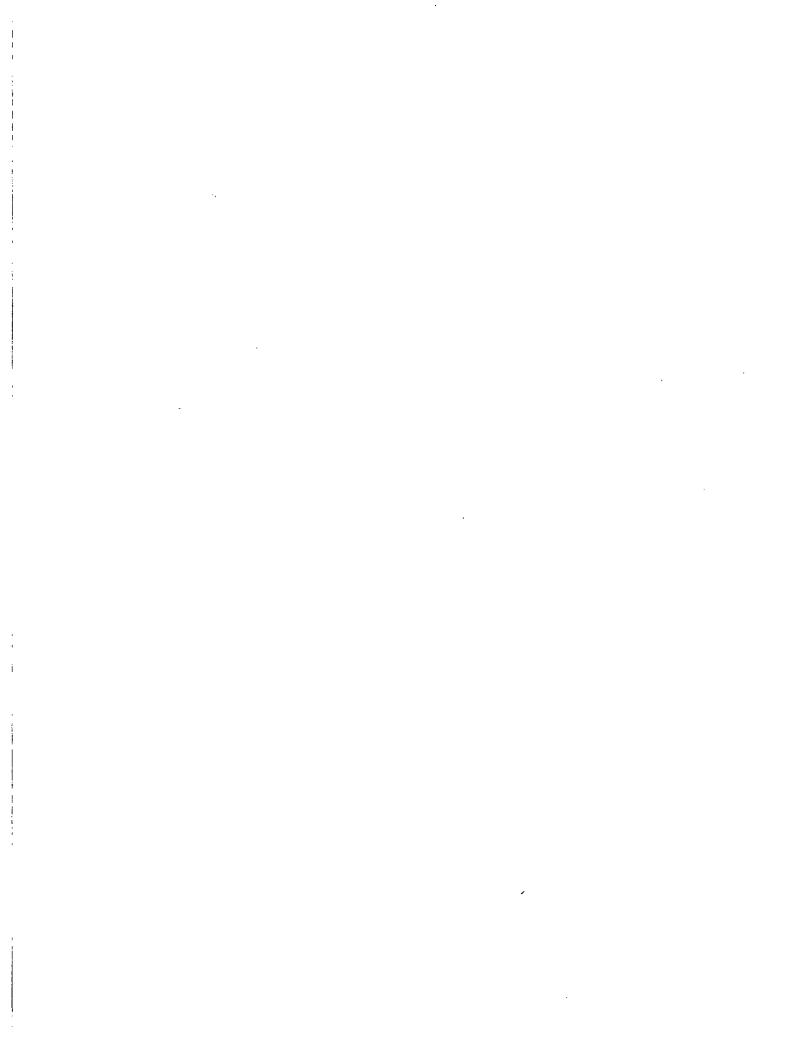
connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies ssued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.



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	g Party will submit an updated certification at the end of each calendar quarter ny event that materially affects the accuracy of the statements and information solutions.1. and A.2. above.
501(c)(4) of the Inter	g Party certifies that either: (i) it is not an organization described in section rnal Revenue Code of 1986; or (ii) it is an organization described in section rnal Revenue Code of 1986 but has not engaged and will not engage in "Lobbyi
form and substance t subcontract and the I	ing Party is the Applicant, the Disclosing Party must obtain certifications equal o paragraphs A.1. through A.4. above from all subcontractors before it awards a Disclosing Party must maintain all such subcontractors' certifications for the er and must make such certifications promptly available to the City upon reques
B. CERTIFICATION	N REGARDING EQUAL EMPLOYMENT OPPORTUNITY
subcontractors to sub negotiations.	ally funded, federal regulations require the Applicant and all proposed omit the following information with their bids or in writing at the outset of
Is the Disclosing Par	ty the Applicant?
[] Yes	[]No
•	three questions below:
<ol> <li>Have you dev</li> </ol>	eloped and do you have on file affirmative action programs pursuant to applica (See 41 CFR Part 60-2.) [] No
	d with the Joint Reporting Committee, the Director of the Office of Federal Programs, or the Equal Employment Opportunity Commission all reports due filing requirements?
3. Have you part equal opportunity cla	ticipated in any previous contracts or subcontracts subject to the suse?
[] Yes	[] No

If you checked "No" to question 1. or 2. above, please provide an explanation:



# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

5528 S CORNELL AVENUE OWNER, LLC	BY: 5528 S CORNELL AVENUE, LLC ITS SOLE MEMBER
(Print or type name of Disclosing Party)  By:	BY: AL-5528 S CORNELL, LLC ITS MANAGER
(Sign here)	BY: ANTHEUS CAPITAL, LLC ITS MEMBER-MANAGER
DAVID GEFSKY	BY: DAVID GEFSKY
(Print or type name of person signing)	ITS MANAGING MEMBER
MANAGING MEMBER	

Signed and sworn to before me on (date) February 27,7815

at County, Now Jessey (state).

Notary Public.

Commission expires: 4/12/2017

(Print or type title of person signing)

JEANETTE MARIE COLON
NOTARY PUBLIC OF NEW JERSEY
1.D. # 2419776
My Commission Expires 4/12/20

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is conn	nected; (3) the name and title of the	of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

	T. T.Von	(V) No	
	[ ] Yes	[X] No	
-•		ied as a building code scofflaw o	y exchange, is any officer or director or problem landlord pursuant to Section
	[ ] Yes	[ ] No	[X] Not Applicable

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
ANTHEUS CAPITAL, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: SOLSTICE ON THE PARK, LLC
B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631
C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM
D. Name of contact person: SUSIE CHARENDOFF
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment of Residential Business Planned Development 282.
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [X] Limited liability company [] Person [ ] Publicly registered business corporation [ ] Limited liability partnership [] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? []Yes [ ] Limited partnership []No [] Other (please specify) [] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: **NEW JERSEY** 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? X Yes []No [] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title Name

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

MANAGING MEMBER
MANAGING MEMBER

DAVID GEFSKY

**ELI UNGAR** 

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
ELI UNGAR	32 N. DEAN STREET, 2ND FL ENGLEWOOD, NJ 07631	Disclosing Party 25%
DAVID GEFSKY		25%
HARLEY UNGAR		25%
JENNIFER GEFSKY		25%

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	oN [k]		
If yes, please iden relationship(s):	tify below the name(s) of s	such City elected official(s) and describe such	
	<del></del>		

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.



Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
k] Check here if the Disc	losing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERTII	FICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
-		-415, substantial owners of business	
	•	tly owns 10% or more of the Disclosons by any Illinois court of competer	
[]Yes [K]N		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person e is the person in complian	ce with that ag	court-approved agreement for paym greement?	ent of all support owed and
[]Yes []N			<b>4.</b> *
B. FURTHER CERTIFIC	CATIONS		
consult for defined terms submitting this EDS is the certifies as follows: (i) no with, or has admitted guil criminal offense involvin	(e.g., "doing le Applicant are ither the Applicant of, or has ever grant attentions."	apter 1-23, Article I ("Article I") (who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is consider been convicted of, or placed under the property of the City or a officer or employee of the City or a	nich the Applicant should if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:					
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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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	he word "None," or no response ed that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.
D. CERTIFICATIO	N REGARDING INTEREST IN	I CITY BUSINESS
Any words or terms meanings when used	<del>-</del>	of the Municipal Code have the same
		Municipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you chec Item D.1., proceed to	· :	to Items D.2. and D.3. If you checked "No" to
elected official or en any other person or of for taxes or assessme "City Property Sale"	nployee shall have a financial intentity in the purchase of any propents, or (iii) is sold by virtue of le	ve bidding, or otherwise permitted, no City derest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D.
Does the Matter inve	olve a City Property Sale?	
[]Yes	[x] No	
_	d "Yes" to Item D.1., provide the es having such interest and ident	e names and business addresses of the City ify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS -

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to



comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3.	The Disclosing Party will submit an updated certification at the end of each calendar quarter i	n
which	there occurs any event that materially affects the accuracy of the statements and information s	et
forth i	n paragraphs A.1. and A.2. above.	

- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing P	arty the Applicant?
[] Yes	[ ] No
If "Yes," answer th	ne three questions below:
	eveloped and do you have on file affirmative action programs pursuant to applicable? (See 41 CFR Part 60-2.) [] No
Contract Complian	led with the Joint Reporting Committee, the Director of the Office of Federal ace Programs, or the Equal Employment Opportunity Commission all reports due le filing requirements?  [] No
3. Have you p equal opportunity of [] Yes	articipated in any previous contracts or subcontracts subject to the clause? [] No
If you checked "N	o" to question 1. or 2. above, please provide an explanation:



# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500; Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

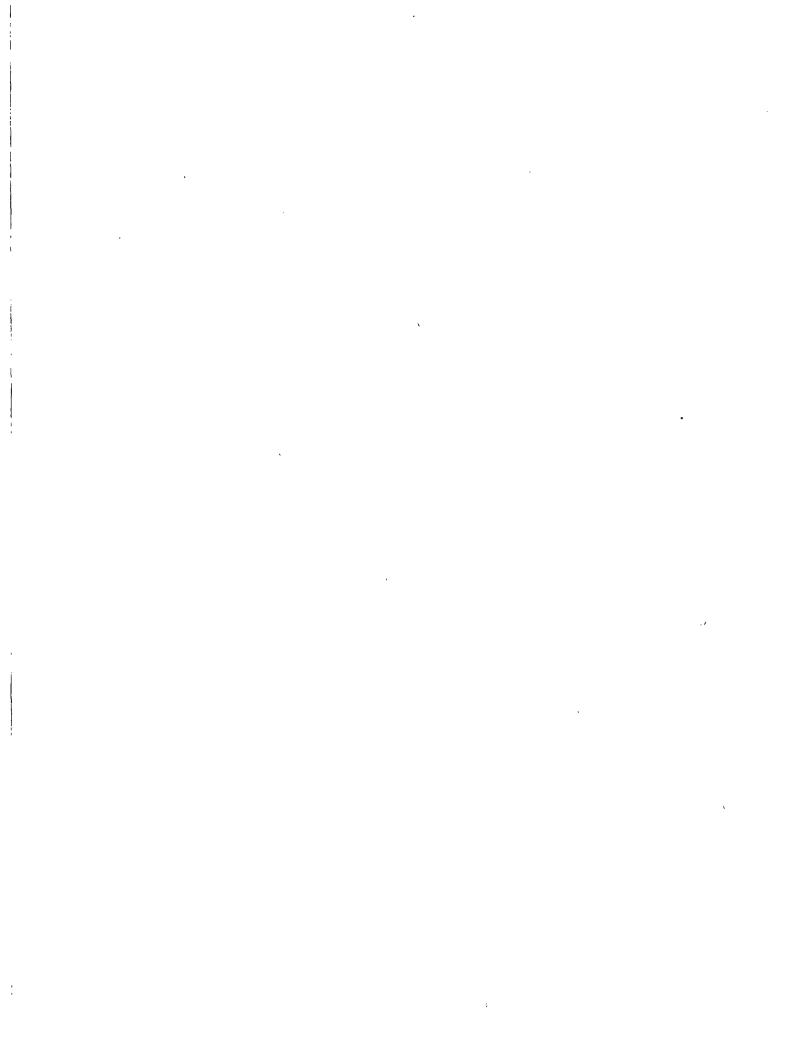
### CERTIFICATION

Learnthe Marke Colon
Commission expires: 4/12/2017

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

ANTHEUS CAPITAL, LLC	
(Print or type name of Disclosing Party)	
By:	
(Sign here)	
DAVID GEFSKY	
(Print or type name of person signing)	
Managing MEMBER	
(Print or type title of person signing)	
Signed and sworn to before me on (date) February	1 /
at Berger County, New Jevery (st	tate).
0-2-C -> N	Atom. Duklia

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

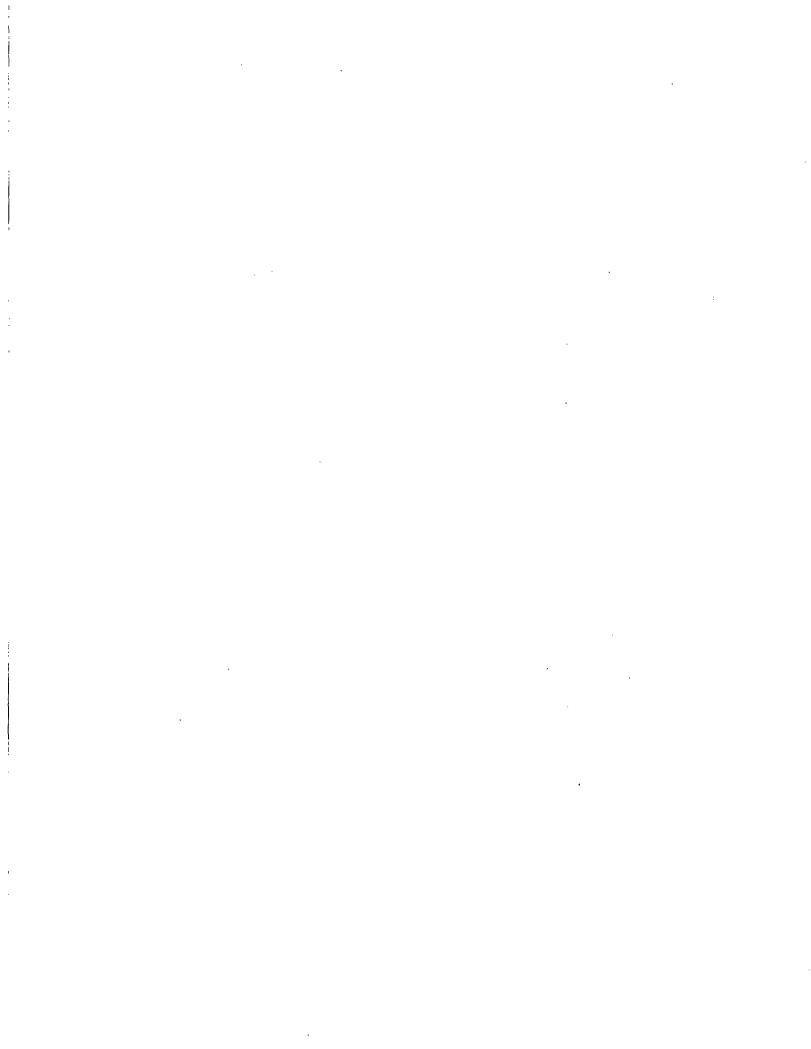
This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X]	No				
- · -	ected; (3) the name a	and title of the	elected city off	icial or departmen	ne legal entity to which nt head to whom such p.	



## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Solstice on the Park, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [X] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631
C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM
D. Name of contact person: SUSIE CHARENDOFF
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Amendment of Residential Business Planned Development 282 (5543-59 S. Cornell Ave./1634-64 E. 56th St.; 5534-58 S. Cornell Ave./1604-1624 E. 56th St.; 5528-32 S. Cornell Ave.)
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

Page 1 of 13

Ver. 01-01-12

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### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

### A. NATURE OF THE DISCLOSING PARTY

[] Person	[X] Limited liability company
[ ] Publicly registered business corporation	[ ] Limited liability partnership
[] Privately held business corporation	[] Joint venture
[] Sole proprietorship	[] Not-for-profit corporation
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
[] Limited partnership	[] Yes [] No
[] Trust	[] Other (please specify)
	ountry) of incorporation or organization, if applicable:
DELAWARE	<u> </u>
3. For legal entities not organized in the S business in the State of Illinois as a foreign ent	tate of Illinois: Has the organization registered to do tity?
[] No	[] N/A
[] No  B. IF THE DISCLOSING PARTY IS A LEG	
B. IF THE DISCLOSING PARTY IS A LEG  1. List below the full names and titles of a  NOTE: For not-for-profit corporations, also li there are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	AL ENTITY:  all executive officers and all directors of the entity.  st below all members, if any, which are legal entities. If  s." For trusts, estates or other similar entities, list below  a partnership, limited liability company, limited liability  the and title of each general partner, managing member,  trols the day-to-day management of the Disclosing Party.
B. IF THE DISCLOSING PARTY IS A LEG.  1. List below the full names and titles of a NOTE: For not-for-profit corporations, also litthere are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name manager or any other person or entity that communications.	AL ENTITY:  all executive officers and all directors of the entity.  st below all members, if any, which are legal entities. If  s." For trusts, estates or other similar entities, list below  a partnership, limited liability company, limited liability  the and title of each general partner, managing member,  trols the day-to-day management of the Disclosing Party.
1. List below the full names and titles of a NOTE: For not-for-profit corporations, also lithere are no such members, write "no members the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name manager or any other person or entity that come NOTE: Each legal entity listed below must su	AL ENTITY:  all executive officers and all directors of the entity.  It below all members, if any, which are legal entities. If  It is." For trusts, estates or other similar entities, list below  It partnership, limited liability company, limited liability  It is and title of each general partner, managing member,  It is the day-to-day management of the Disclosing Party.  It is below all members, if any, which are legal entities. If  It is an entities. If

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interes	t in the
		Disclosing Party	
LYRICAL-ANTHEUS	REALTY PARTNERS II, L.P.	32 N DEAN STREET, 2ND FL.,	100%
		ENGLEWOOD, NJ 07631	
			,
SECTION III I	BUSINESS RELATIONSHI	PS WITH CITY ELECTED O	FFICIALS <sup>®</sup>
		tionship," as defined in Chapter 2	
Code, with any Cit	ty elected official in the 12 mo	onths before the date this EDS is	signed?
[]Yes	[X] No		
If yes, please ident relationship(s):	ify below the name(s) of such	City elected official(s) and desc	ribe such
<del></del>			
CECTION IV.	NICCI OCUDE OF CURCON	TED A CEODE AND OTHER D	ETAINED DANFIES

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

		`	
Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Silliman Group - 5454 S Shor	e Drive, Chicago	o, IL	\$8,000 estimated
Vedder Price - 222 North LaS	alle Street, Chic	ago, IL - Attorney - \$100,000 estimated	. ,
Studio Gang Architects - 1212	N. Ashland, Ch	nicago, IL - Architect - \$100,000 estimated	
Gettys Group - 55 West Uppe	er Wacker Drive	, Chicago, IL - \$80,000 estimated	
(Add sheets if necessary)			
[] Check here if the Disc	osing Party h	as not retained, nor expects to retain	n, any such persons or entitie
SECTION V CERTIF	ICATIONS		
A. COURT-ORDERED	CHILD SUPP	PORT COMPLIANCE	
<del>-</del>		-415, substantial owners of business th their child support obligations thr	
	-	tly owns 10% or more of the Disclosons by any Illinois court of compete	•
[]Yes [X]N		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person e is the person in compliance		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []N	o		
B. FURTHER CERTIFIC	CATIONS		
	-	apter 1-23, Article I ("Article I")(who business") and legal requirements),	

consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance

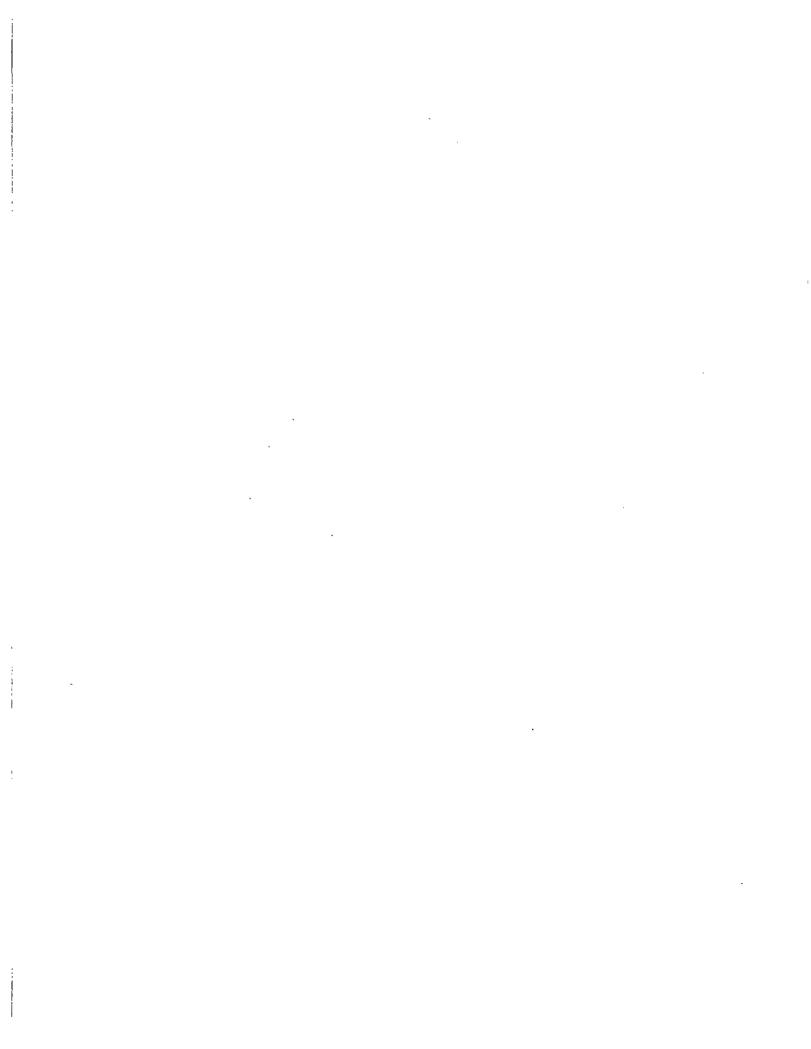
timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

• Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:						
		•			*****	
				· · · · · · · · · · · · · · · · · · ·		



If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no re conclusively presumed that the Disclosing Pa	- · · · · · · · · · · · · · · · · · · ·
D. CERTIFICATION REGARDING INTER	EST IN CITY BUSINESS
Any words or terms that are defined in Chapte meanings when used in this Part D.	er 2-156 of the Municipal Code have the same
	of the Municipal Code: Does any official or employee ter own name or in the name of any other person or
NOTE: If you checked "Yes" to Item D.1., p Item D.1., proceed to Part E.	proceed to Items D.2. and D.3. If you checked "No" to
elected official or employee shall have a finar any other person or entity in the purchase of a for taxes or assessments, or (iii) is sold by vir	ompetitive bidding, or otherwise permitted, no City notal interest in his or her own name or in the name of any property that (i) belongs to the City, or (ii) is sold tue of legal process at the suit of the City (collectively, perty taken pursuant to the City's eminent domain power the meaning of this Part D.
Does the Matter involve a City Property Sale?	?
[] Yes [x] No	
3. If you checked "Yes" to Item D.1., pro officials or employees having such interest an	vide the names and business addresses of the City id identify the nature of such interest:
Name Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.					
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.					
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:					
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS					
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.					
A. CERTIFICATION REGARDING LOBBYING					
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):					
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)					
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any					

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

negotiations.		:	•	
Is the Disclosing Party th	he Applicant?	•	tion of W	
[]Yes	[ ] No		,	
If "Yes," answer the three	ce questions below:			
1. Have you develop federal regulations? (See [] Yes	ped and do you have on file 41 CFR Part 60-2.)	le affirmative	action programs p	ursuant to applicable
	ith the Joint Reporting Co ograms, or the Equal Emp ng requirements? [] No			
3. Have you particip equal opportunity clause	pated in any previous cont	racts or subco	ntracts subject to t	the
[] Yes	[ ] No		•	
If you checked "No" to c	question 1. or 2. above, pl	ease provide a	n explanation:	

		•	

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

SOLSTICE ON THE PARK, LLC	BY: AL-SOLSTICE ON THE PARK, LLC
(Print or type name of Disclosing Party)	ITS MANAGER
Ву:	BY: ANTHEUS CAPITAL, LLCITS SOLE MEMBER
(Sign here)	BY: DAVID GEFSKY ITS MANAGING MEMBER
DAVID GEFSKY	
(Print or type name of person signing)	
MANAGING MEMBER	
(Print or type title of person signing)	
Signed and sworn to before me on (date) for at Borger County, Mow Lorsey	bruay 10, 205, (state).
Jeanethe Marre Colon	Notary Public. JEANETTE MARIE COLON
Commission expires: 4/12/2017	NOTARY PUBLIC OF NEW JERSEY I.D. # 2419776 My Commission Expires 4/12/20/7
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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is connec	ted; (3) the name and title of th	e of such person, (2) the name of the legal entity to which ne elected city official or department head to whom such a nature of such familial relationship.
		· · · · · · · · · · · · · · · · · · ·

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1.	Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?			
	[ ] Yes	[X] No		
2.		as a building code scofflaw of	y exchange, is any officer or director of or problem landlord pursuant to Section	
	[ ] Yes	[ ] No	[X] Not Applicable	
3.	identified as a building		ame of the person or legal entity dlord and the address of the building or	
· .				

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
AL-WINDERMERE HOUSE, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant  OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: WINDERMERE HOUSE, LLC
B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631
C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM
D. Name of contact person: SUSIE CHARENDOFF
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment of Residential Business Planned Development 282.
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY1. Indicate the nature of the Disclosing Party:

[] Person	[X] Limited liability company			
[ ] Publicly registered business corporation	[] Limited liability partnership			
[] Privately held business corporation	[ ] Joint venture			
[] Sole proprietorship	Not-for-profit corporation			
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?			
[] Limited partnership	[]Yes []No			
[] Trust	[] Other (please specify)			
2. For legal entities, the state (or foreign c	ountry) of incorporation or organization, if applicable:			
INDIANA				
3. For legal entities not organized in the S business in the State of Illinois as a foreign en	tate of Illinois: Has the organization registered to do tity?			
[X] Yes [ ] No	[] N/A			
B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:				
1. List below the full names and titles of all executive officers and all directors of the entity.  NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).  If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.  NOTE: Each legal entity listed below must submit an EDS on its own behalf.				
Name	Title			
	SOLE MEMBER			
ANTHEUS CAPITAL, LLC				
ELIUNGAR	PRESIDENT			
DAVID GEFSKY	VICE PRESIDENT			

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the		
		Disclosing Party		
ANTHEUS CAPITAL, LLC	32 N. DEAN STREET, 2ND FL	100%		
	ENGLEWOOD, NJ 07631			
SECTION III BUSIN	ESS RELATIONSHIPS WITH	CITY ELECTED OFFICIALS		
•	rty had a "business relationship," ared official in the 12 months befor	as defined in Chapter 2-156 of the Municipal to the date this EDS is signed?		
[]Yes	[x] No			
If yes, please identify bel relationship(s):	ow the name(s) of such City elect	ed official(s) and describe such		

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
k] Check here if the Disc	losing Party h	as not retained, nor expects to retain	n, any such persons or entities.
SECTION V CERTIF	CICATIONS		
A. COURT-ORDERED	CHILD SUPP	PORT COMPLIANCE	
<del>-</del>		2-415, substantial owners of business th their child support obligations thr	
- <del>-</del>	7	tly owns 10% or more of the Disclo	•
[]Yes K]N		To person directly or indirectly owns isclosing Party.	10% or more of the
If "Yes," has the person e is the person in compliance		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []N	o	erina en en en en en en en en en en en en en	
B. FURTHER CERTIFIC	CATIONS		and the second s
consult for defined terms submitting this EDS is the certifies as follows: (i) ne with, or has admitted guil	(e.g., "doing Applicant and ither the App t of, or has ev	apter 1-23, Article I ("Article I") (who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is ever been convicted of, or placed under the person of the person is the person of the person is the person of the person is the person of the	if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a
complete list of all current employees of the Disclosing Party who were, at any time during the 12-
month period preceding the execution date of this EDS, an employee, or elected or appointed official
of the City of Chicago (if none, indicate with "N/A" or "none").
NONE

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

NONE			

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
- [] is [X] is not
- a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
  - 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes [X] No NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes K) No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.							
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.							
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:							
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS							
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.							
A. CERTIFICATION REGARDING LOBBYING							
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):							
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)							
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,							

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed

negotiations.	t the following inform	lation with their bids or in wr	iting at the outset of
Is the Disclosing Party t	he Applicant?		
[] Yes	[] No		A
If "Yes," answer the thr	ee questions below:		
1. Have you develop federal regulations? (Se		on file affirmative action prog	rams pursuant to applicabl
[]Yes	[ ] No		
	ograms, or the Equal I	g Committee, the Director of Employment Opportunity Con	
3. Have you participequal opportunity clause		contracts or subcontracts subj	ject to the
[] Yes	[]No		
If you checked "No" to	question 1. or 2. above	e, please provide an explanati	ion:

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### CERTIFICATION

AL-WINDERMERE HOUSE, LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

BY: ANTHEUS CAPITAL

JEANETTE MARIE COLON
NOTARY PUBLIC OF NEW JERSEY
1.D. # 2419776
My Commission Expires 4/12/20]

(Print or type name of Disclosing Party)	ITS SOLE	MEMBER
By:	BY DAVID ITS MAÑA	GEFSKY GING MEMBER
(Sign here)  DAVID GEFSKY		
(Print or type name of person signing)	<u> </u>	
MANAGING MEMBER		
(Print or type title of person signing)		
Signed and sworn to before me on (date)  at Begger County, New Jersey  Jenethe Mare Colon	February 10, 2015 (state). Notary Public.	, LEAN
Commission expires: 4/12/72/7	<del>·</del>	JEAN NOTARY
	Page 12 of 13	My Comm

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is connect	ed; (3) the name and title of the	e of such person, (2) the name of the legal entity to which the elected city official or department head to whom such a nature of such familial relationship.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
ANTHEUS CAPITAL, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: WINDERMERE HOUSE, LLC
B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631
C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM
D. Name of contact person: SUSIE CHARENDOFF
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment of Residential Business Planned Development 282.
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [X] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Yes []No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: **NEW JERSEY** 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [X] Yes []No [] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title " Name DAVID GEFSKY MANAGING MEMBER **ELI UNGAR** MANAGING MEMBER

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
ELI UNGAR	32 N. DEAN STREET, 2ND FL ENGLEWOOD, NJ 07631	Disclosing Party 25%
DAVID GEFSKY		25%
HARLEY UNGAR		25%
JENNIFER GEFSKY		25%

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	[X] No		
If yes, please iden relationship(s):	tify below the name(s) o	of such City elected official(s) and describe such	
			· · · · · · · · · · · · · · · · · · ·

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[k] Check here if the Disc	losing Party h	as not retained, nor expects to retain	n, any such persons or entities
SECTION V CERTIF	FICATIONS		·
A. COURT-ORDERED	CHILD SUPP	PORT COMPLIANCE	
		-415, substantial owners of business th their child support obligations thr	
	•	tly owns 10% or more of the Disclosons by any Illinois court of compete	
[]Yes K]N		o person directly or indirectly owns sclosing Party.	10% or more of the
		court-approved agreement for paym	ent of all support owed and
is the person in compliance	ce with that ag	greement?	
[] Yes [] N	0		, <b>;</b>
B. FURTHER CERTIFIC	CATIONS	en de la companya de la companya de la companya de la companya de la companya de la companya de la companya de La companya de la companya de la companya de la companya de la companya de la companya de la companya de la co	en en en en en en en en en en en en en e
consult for defined terms submitting this EDS is the certifies as follows: (i) ne with, or has admitted guil criminal offense involvin	(e.g., "doing le Applicant ar ither the Applit of, or has ev g actual, atten	apter 1-23, Article I ("Article I") (who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is car been convicted of, or placed under the convicted of the City or a officer or employee of the City or a	if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

	s unable to cer Party must exp	 the above stat	ements in this	s Part B (Further

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

	······································	
	" the word "None," or no response a nmed that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICAT	ION REGARDING INTEREST IN	CITY BUSINESS
Any words or term meanings when us		of the Municipal Code have the same
	financial interest in his or her own r	funicipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you ch Item D.1., proceed		o Items D.2. and D.3. If you checked "No" to
elected official or any other person of for taxes or assess "City Property Sal	employee shall have a financial interpretation entity in the purchase of any properments, or (iii) is sold by virtue of lea	re bidding, or otherwise permitted, no City crest in his or her own name or in the name of crty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain powerning of this Part D.
Does the Matter in	avolve a City Property Sale?	
[] Yes	[x] No	
	ked "Yes" to Item D.1., provide the yees having such interest and identif	names and business addresses of the City
Name	Business Address	Nature of Interest
		••

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

		. •		
,    -  -				

connection with the Matter voidable by the City.									
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies ssued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.									
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:									
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS									
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.									
A. CERTIFICATION REGARDING LOBBYING									
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):									
If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)									
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a									

member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

subcontractors to s	erally funded, federal regula ubmit the following informa	· -			of
negotiations.	:				
Is the Disclosing P	arty the Applicant?		• • •	:	•
[] Yes	[ ] No				
If "Yes," answer th	ne three questions below:			a.	
	eveloped and do you have or? (See 41 CFR Part 60-2.)	n file affirmativ	c action progra	ms pursuant to	applicabl
[] Yes	[ ] No				
Contract Complian	led with the Joint Reporting ace Programs, or the Equal E le filing requirements?	•			
[]Yes	[ ] No				•
3. Have you p equal opportunity	articipated in any previous c clause?	ontracts or subc	ontracts subjec	et to the	
[]Yes	[] No			·	
If you checked "N	o" to question 1. or 2. above	, please provide	an explanation	1:	
				· · · · · · · · · · · · · · · · · · ·	

## SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

· · · · · · · · · · · · · · · · · · ·			
ANTHEUS CAPITAL, LLC			
(Print or type name of Disclosing Party)			
			المنافع المستديد ولا الدارات
By:	<del></del>		age of the second
(Sign here)			
DAVID GEFSKY			· · · · · · · · · · · · · · · · · · ·
(Print or type name of person signing)	<del></del> .	•	
Managing MEMBER			· · · · · · · · · · · · · · · · · · ·
(Print or type title of person signing)	; ,		*4 . *
•	,		•
Signed and sworn to before me on (date) _at County, New Year	tebruey 10, 2015 (state).	,	
Jeanthe More Colon	Notary Public.	·······	summy s
Commission expires: 4/12/2017	·	NOTARY P	TE MARIE COLON JBLIC OF NEW JERSEY D. # 2419776
	Page 12 of 13	My Commis	sion Expires 4/12/20/17

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
such person is connec	ted; (3) the name and title of the e	f such person, (2) the name of the legal entity to which elected city official or department head to whom such ature of such familial relationship.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I GENERAL	INFORMATION	, · ·			200 m - 100 m - 100 m
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Check ONE of the following	ng three boxes: 36 from	e ∛ ( ·			mi 19
Indicate whether the Disclo	sing Party submitting thi	s EDS is	:		i
1. [X] the Applicant OR	djili sa kun da Mistrija giftika 2006. T	ga i i i alata ar	(2008年) 報(1.564m) (1.10年)	adi ataudhir ayi T <b>elini</b>	yani, ay â Tiya
2. [] a legal entity holdi	ing a direct or indirect in	terest in	the Applicant St	ate the legal na	me of the
Applicant in which the	e Disclosing Party holds	an intere	ešť:		
3. [] a legal entity with					
yhich the Disclosing Pa	rty holds a right of contr	ol·	Dary State mone	gar name or the	Oliticy III
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B. Business address of the	Disclosing Party:	32 N. DEA	AN STREET, 2ND FL	OOR	
)	र के पूर्व	ENGLEW	OOD, NJ 076317	<b>网络林松叶</b> 沙洲沙伊	教育學
			<del> </del>		<del></del> .
	was the state of the court of				
C. Telephone: 201-541-8003	Fax: 201-608-0	555.% (* d	Email:		HISCAPITAL COL
and the same time same	licensity white the part of the light.	Barts Mari	A COUNTY OF THE PARTY OF THE PA		
D. Name of contact person:			<u></u>		(A) Marie Janes
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E. Federal Employer Identif		343		market see the see	
and are and the first				AND THE PROPERTY OF	
F. Brief description of contra					iffer-9 to
which this EDS pertains. (I	nciude project-number a siness Planned Developmei	na 100au nt 282 (55	43-59 S. Cornell Av	applicable). e./1634-64 E. 56 <sup>th</sup>	St.: 5534-58
S. Cornell Ave./1604-1624 E. 56			THE RESERVE OF THE PARTY OF THE	Tell (1) Tell	· Park Managan (1995) · Park Managan (1995)
A STATE OF THE STA		4	**************************************		<del>and the second of the second </del>
G. Which City agency or de	partment is requesting t	his EDS	Plantment of Plant	nning and Develor	ment
and companyed which are deposited in the section of the	The second of the second of Second Second	San John Sugar	والمعتقدة والمناشر ويجوي وسقوا أأراد والإراج والموار	ومحاج ويواجع المواصين	Stration and graphs of the same
If the Matter is a contract complete the following:	being handled by the Ci	ity's Dej	partment of Procu	rement Services	please
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# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

SECTION II DISCLOSURE OF OWNERS AND AND AND AND AND AND AND AND AND AND	
A. NATURE OF THE DISCLOSING PARTY	
A. NATURE OF THE DISCEOSING AND AND AND AND AND AND AND AND AND AND	
cate Disclosing Party:	
I. Indicate the nature of the Disclosing Party:  [X] Limited liability company	
11 Publicly registered business couper in Joint venture	
[] Publicly registered dustings [] Joint venture [] Privately held business corporation [] Not-for-profit corporation also at 501(c)(3))?	
[] Sole proprietorship (Is the not-for-profit corporation also about the	
11 General partnership	
[] General partnership  [-]-Limited partnership.  [] Other (please specify)	
[] Trust	
growing applicable.	
2. For legal entities, the state (or foreign country) of incorporation or organization if applicable:	
2. For legal entities, the state (of forest)	
INDIANA	
2. For legal entities, the state (of foreganized in the State of Illinois: Has the organization registered to do  3. For legal entities not organized in the State of Illinois: Has the organization registered to do	
Fig. 1. State of Illinois: Has the organization regarding the State of Illinois.	
3. For legal entitles not organized in the second s	
3. For legal entities not organized in the State of Illinois: Has the organization business in the State of Illinois as a foreign entity?  []No. []No.	
[]N/A	٠:
N Yes	; <b>-</b>
TO ATEGAL ENTITY:	
W Yes  B. IF THE DISCEOSING PARTY IS A LEGAL ENTITY:  B. Officers and all directors of the entity.	
A A A A TO LEX A TO LEX COULTY OF THE A TO A TO A TO A TO A TO A TO A TO A T	٠:
1. List below the full names and thies of all members, if any, which are logarithments of the full names and thies of all members, if any, which are logarithments of the following the	
NOTE: For not-for-profit	ŗ
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the legal titleholder(s).  If the entity is a general partnership, limited partnership, limited liability company, limited liability is a general partnership, limited partnership, limited liability company, lim	
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MANAGER:	Č.
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antity having a direct of	Ç.,
information concerning each person of chitage Party. Examples	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including fownership) in excess of 7.5% of the Disclosing Party Examples indirect beneficial interest (including fownership) in excess of 7.5% of the Disclosing Party Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture;

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None:" NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name

Business Address

Percentage Interest in the
Disclosing Party

32 N DEAN STREET, 2ND EL 100%

ENGLEWOOD, NJ 07631

SECTION III — BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship;" as defined in Chapter, 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

ALI Yes

If yes, please identify below the name(s) of such City elected official(s) and describe such

relationship(s):

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose, employees, who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than (1) a not for profit entity on an unpaid basis or (2) himself: "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether rotained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE; to be retained)

lobbyist; ctc.) "hourly rate" or "t.b.d." is not an acceptable response.

Silliman Group - 5454 S Shore Drive, Chicago, IL - Development consultant \$8,000 estimated

Vedder Price - 222 North LaSalle Street, Chicago, IL - Attorney - \$100,000 estimated

Studio Gang Architects - 1212 N: Ashland, Chicago, IL - Architect \$100,000 estimated

Gettys Group - 55 West Upper Wacker Drive, Chicago, IL - Development consultant \$80,000 estimated rates (Add sheets if necessary)

[1] Chick there if the Disclosing Party has not retained; nor expects to retain; any such persons or entities.

SECTION V -- CERTIFIC ATTIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract sterm.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No. [] No. person directly or indirectly owns 10% or more of the Disclosing Party

If "Yes," has the person entered into a court-approved agreement for payment of all support owed, and is the person in compliance with that agreement?

[i] Yes, [i] No

### B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Gode Chapter [1-23]. Article I ('Article I') (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements); if the Disclosing Party, submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted on charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted or conspiracy to commit bribery theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE If Article I applies to the Applicant, the permanent compliance time frame in Article I supersedes some five-year compliance time frames in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS: The same of the sa
  - The place of the second of the second of the second of the second of the second are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with obtaining, attempting to obtain, or performing a public (federal, state of local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud: embezzlement, theft; forgery, bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2 b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public. transactions (federal, state or local) terminated for cause of default; and
  - have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action; including actions concerning environmental violations, instituted by the City or by the federal government; any state, for any other unit of local government.
  - The certifications in subparts 3, 4 and 5 concern a security of the concern as a security of the concer

· the Disclosing Party;

. any "Contractor" (meaning any contractor or subcontractor, used by the Disclosing Party in the connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

· any "Affiliated Bntity" (meaning a person of entity that directly or indirectly controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities, and equipment, common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity): with respect to Contractors, the term Affiliated Entity, means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common; control of another person of entity:

• any responsible official of the Disclosing Party, any Contractor of any Affiliated Entity of lany other official, agent or employee of the Disclosing Party; any Contractor or any Affiliated Entity. acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party. Neither the Disclosing Party, nor any Contractor, nor any Attiliated Entity of either the Disclosing Party of any Contractor nor any Agents have, during the five years before the date this EDS is signed, or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or any Contractor any Agents have, during the five years before the date this EDS is signed, or any Contractor any Agents have, during the five years before the date this EDS is signed. or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor, an Affiliated Entity of a Contractor, and Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of a Contractor of Affiliated Entity of En respect to a Contractor, an Affiliated Entity, or an Affiliated Entity's contract of chagagement in connection with the before the date of such Contractor's or Affiliated Entity's contract of chagagement in Connection with the Matter.

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe. State of Illinois, or any agency of the federal bribe, aspublic officer or employee of the City, the State of Illinois. bribe aspublic officer or employee of the City, the State of Illinois, or any agency of the tederal bribe aspublic officer or employee of the City, the United States of America, in that officer's government in the United States of America, in that of the Spanish of the City of the United States of America, in that of the Spanish of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in that of the City of the United States of America, in the United States of America, in the United States of the City of the City of the United States of America, in the United States of the City of the City of the United States of America, in the United States of the City of the City of the City of the United States of the City of t Matter:
  - agreed or colluded with other bidders or prospective bidders for been a partyto any such agreed or confuded with other bidders or prospective bidders for been a party to any such agreement or been convicted or adjudged guilty of agreement or been convicted or adjudged guilty of agreement or been convicted or adjudged guilty of agreement or been convicted or adjudged guilty of agreement or been convicted or adjudged guilty of agreement or been convicted or adjudged guilty of agreement or been a party to any such agreements or to be a convicted or adjudged guilty of agreement for agreement to bid a fixed price or prospective bidders, in restraint of freedom of competition by agreement to bidders.

- c. made an admission of such conductidescribed in a or b above that is a matter of record but

  - d. violated the provisions of Municipal Gode Section 2.92-610 (Living Wage Ordinance) Neither the Disclosing Party, Affiliated Enuty of Contractor, or any of their employees officials,

A. Neither the Disclosing Party Affiliated Entity of Contractor, or any of their employees, official agents of partners, is barred from contracting with any unit of state or local government as a result of agents of partners, is barred from contracting with any unit of state or local government as a result of the result of agents or partners, is barred from contracting with any unit of state or local government as a resultiof in engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E 3. (2) bid-rotating in violation of 720 ILCS 5/33E 3. (2) engaging in or being convicted of (1) bid rigging in violation of 720 ILCS 5/33E-3, (2) bid-rotatic violation of 720 ILCS 5/33E-4, or (3) any similar offense of any state or of the United States of violation of 720 ILCS 5/33E-4, or (3) any similar offense of kid and the companion of 720 ILCS 5/33E-4, or (3) any similar offense of kid and the companion of 720 ILCS 5/33E-3, (2) bid-rotatic violation violation of 720 ILCS 5/33E-4, or (3) any similar offense of any state or of the violation of 720 ILCS 5/33E-4, or (3) any similar offense of bid-rigging or bid-rotating.

America that contains the same elements as the offense of bid-rigging or bid-rotating.

Sh. Neither ille Disclosing Party nor any Affiliated Entity is listed on any of the Treasure o Meither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury of the Maintained by the Office of Foreign Assets, Control of the U.S. Department of the Treasury of the Maintained by the Office of Foreign Assets, Control of the U.S. Department of the Treasury of the Maintained by the Office of Foreign Assets, Control of the U.S. Department of the Treasury of the Maintained by the Office of Foreign Assets, Control of the U.S. Department of the Treasury of the U.S. Department of the Treasury of the U.S. Department of the Treasury of the U.S. Department of the U.S. maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or, the Specially Department of the Treasury of the Specially Department of Commerce or their successors the Specially Department of Commerce or their successors the Special Department of Commerce or their successors the Special

The Disclosing Party understands and shall comply with the applicable requiremental Finics) of the 6. The Disclosing Party understands and shall comply with the applicable requirements of Guapters of Party understands and shall comply with the applicable requiremental Ethics) of the second of the Disclosing Party understands and shall comply with the applicable requiremental Ethics) of the second of the Disclosing Party understands and shall comply with the applicable requirements of Guapters of the Second Office of the Se If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Debarred List

Municipal Code. Certifications), the Disclosing Party must explain below.

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

To the best of the Disclosing Pairty's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were at any time during the 12month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"),

To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

- C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION.
  - 1. The Disclosing Party certifies that the Disclosing Party (check one)

- "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
- 2. If the Disclosing Party/IS a financial institution the Disclosing Party pledges:

"We are not and will not become a produtory lender as defined in Chapter 2-32 of the Municipal Code-we further pledge that none of our affiliates is, and none of them will become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2 32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter. 2-32 of the Municipal Code, explain here (attach additional pages if necessary).

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D: CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D

- \*1. In accordance with Section 2-156-110 of the Municipal Code Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or a chitty in the Matter?
  - [] Yes No.

NOTE: If you checked "Yes" to Item D.I., proceed to Items D.2. and D.3. If you checked "No" to Item D.I.; proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding or otherwise permitted in City, elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (ii) belongs to the City; or (iii) is sold for taxes or assessments, or (iii) is sold by wirtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power, does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes

3. If you checked Yes to Item Dal provide the names and business addresses of the City.

officials or employees having such interest and identify the nature of such interest.

Name Nature of Interest State of State of Interest State of State of Interest State of State of Interest State of State

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERABUSINESS

Please check cither 15 or 22 below. If the Disclosing Party checks 2, the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may mak	ce any contract entered into with the City in	. *
comply with these disclosure requirements may mak connection with the Matter voidable by the City.	CAN CALL MA CON MANY CONTROL OF THE ACT OF THE ACT OF THE	West 1
x 1. The Disclosing Party verifies that the Disclo	sing Party has scarched any and all records	of
A 1. The Disclosing Larry volution that the Disclosing Larry and all predecessor ent	titles regarding records of investments or pro	01110
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that he had a state of the coverage to redain	mane to othin maior deam or mon sin very	and
the Disclosing Party has found no such records.	Control of the State of the Sta	
2. The Disclosing Party verifies that, as a resul	It of conducting the search in step I above,	the
- Constitution of investments of	or profits from slavery or slaveholder mouta	inco
Doety verifice that the following	owing constitutes initialisationic of an aren-	and the Million of the State of
records, including the names of any and all slaves o	or slaveholders described in most records.	4
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SECTION VI CERTIFICATIONS FOR FED	ERAELY FORDED MATERIAL	STANDAY STANDAY
The second of th	ete this Section VI. If the Matter is not fee	derally
funded, proceed to Section VII. For purposes of the	his Section VI, tax-eredits allocated by the	อิity และสะ
and proceeds of debt obligations of the City are not	í federal funding.	
	©7 <b>\</b> }√	er Kiri
A. CERTIFICATION REGARDING LOBBYING	in the second se	a - Jee H. This
	The second second in the second secon	Service Services
1. List below the names of all persons or entiti Disclosure Act of 1995, who have made lobbying c	contacts on behalf of the Disclosing Party w	ith
respect to the Matter: (Add sheets if necessary):	The state of the s	
respect to me watter dand sheets it necessary,		
	SERVICE STATE OF THE SERVICE S	A The Committee of the
The state of the s		NY AND SAN
(If no explanation appears or begins on the lines al	bove, or if the letters "NA" or it the word	entities
appear; it will be conclusively presumed that the D	Disclosing Party means that the persons of s	f of the
registered under the Lobbying Disclosure Act of I Disclosing Party with respect to the Matter.)	995 nave made hoody ing comme	
		(68) 313 2741
2. The Disclosing Party has not spent and will	l-not'expend any federally appropriated fun	ds to pay
A Committee of the Comm	efforthis of her loppying activities of to pay	any
e de la constant de l	ce an officer of employee or any agency, as	domination
Congress an	inflicer or employee of Congress, of an em	HIGH AND A TOWN
member of Congress, in connection with the awar	d of any federally funded contract, maxing	ue renew.
member of Congress (in) connection with the awar federally funded grant or loan, entering into any c amend, or modify any federally funded contract, g	grant loan (or cooperative agreement.	en en en en en en en en en en en en en e
Page	e 9 of 13	
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STANDARD THE THE STANDARD OF THE STANDARD STANDARD OF THE STANDARD 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above a second se A. 4. Bud A. 2. Albover and a six and a surviver and the second s 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in Lobbying Activities". +5. -If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.I. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party mustimaintain all such subcontractors certifications for the duration of the Matter and must make such certifications promptly available to the City upon request. Control of the second of the s B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. Is the Disclosing Party the Applicant? [ ] Yes [ ] No If "Yes," answer the three questions below: The state of the s d. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41-CFR Part-60-2-) [i] Yes [] No \_\_\_\_ 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? []No Y Yes 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [ˈ] Yes∵

Page 10 of 1

If you checked "No" to question 12 or 2. above, please provide an explanation.

### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based?

B. The City's Governmental Ethics and Campaign Financing, Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business; or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or inequity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article Pof Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2.154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration
- F-3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors/hired/or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City; use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications

NOTE If the Disclosing Party cannot certify as to any of the items in F.1., F explanatory statement mustibe attached to this EDS

### CERTIFICATION

Lange Samuel Sand Under-penalty of perjury the person signing below ((1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate

(Print or Xpeylame of Disclosing Party)	BY:AL-WINDERMERE HOUSE, LLC
By: (Sign here)	BY ANTHEUS CAPITAL PLIC
DAVID GEFSKY (Print or type name of person signing)	BY DAVID GEFSKYS ITS MANAGING MEMBER
MANAGING MEMBER (Print or type title of person signing)	ANT SOURCE
Signed and sworn to before me onwhate) 17-4	
(State	e) ry Public
Commission expires: 4/12/7/2/2	JEANETTE MARIE COLONIA VI NOTARY PUBLIC OF NEW JERSEY
Page 1	2 of 43 My Commission Expires 4/12/20 1729

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head: A "familial relationship" exists if as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent; child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father in-law, mother in-law, son-in-law, daughter in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother, or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party "Principal officers" means the president, chief operating officer executive director, chief financial officer, treasurer of secretary of alegal entity or any person exercising similar authority.

Does the Disclosing Party of any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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[ ] Yes	1.5	- X4 (188)	[x]	No	ant of the second	ાં હો તેવ	eri fi	1 19		시민 개의		1713-1
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If yes, plea	se identi	fy below (	d) the na	ıme and	title of	such per	son. (2)	the nam	e of the	legal en	ity to v	vhich
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such person i	s connect	led; (3) the	e name a	nd title o	oi the ei	ected cit	y officia	п ог сер	artment	neadito v	Miroin a	ucn
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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner").
It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant:

Pursuant to Municipal Co	ode Section 2-154-010, is	s the Applicant or any	Owner identified a	ıs a
building code scofflaw o				
Code?	John William St.	AND THE RESERVE OF THE PARTY OF		GL Gire
				ingligations.
[ ] Yes	[X.] No @			
THE ALL SERVICES	and the second second	The state of the s		3399)
2 If the Applicant is a legal				
the Applicant identified		iw or problem landlo	rd pursuant to Secti	ion
2-92-416 of the Municip	al Code?	THE PERSON NAMED IN		Granklij)
		A CONTRACTOR OF THE PARTY OF TH		
[ ] Yes	[ ] No 5	[x] Not A	pplicable	
			SEE TRAIL	1
		(1) 10 THE TOTAL STREET	ri (Artinia)	
3. If yes to (1) or (2) above				
identified as a building c	가게 가는 사람들이 되었다. 그런 사람들이 가장 되었다.	さん とび 付き ひゅうりょく まげ とぬきり しがり ほんし	ress of the building	or
buildings to which the pe	rtinent code violations a	pply.		185
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FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
AL-SOLSTICE ON THE PARK, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR
2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:  OR
3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: SOLSTICE ON THE PARK, LLC
B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631
C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM
D. Name of contact person: SUSIE CHARENDOFF
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment of Residential Business Planned Development 282.
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #
,

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

[] Person

1. Indicate the nature of the Disclosing Party:

[ ] Publicly registered business corporation				
[ ] Privately held business corporation	[] Joint venture			
[ ] Sole proprietorship	[] Not-for-profit corporation			
[] General partnership	(Is the not-for-profit corporation also a 501(c)(3))?			
[] Limited partnership	[] Yes [] No			
[] Trust	[] Other (please specify)			
2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:			
DELAWARE				
3. For legal entities not organized in the S business in the State of Illinois as a foreign en	state of Illinois: Has the organization registered to do tity?			
[X] Yes [ ] No	[] N/A			
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:			
NOTE: For not-for-profit corporations, also lithere are no such members, write "no member the legal titleholder(s).	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If is." For trusts, estates or other similar entities, list below I partnership, limited liability company, limited liability			
partnership or joint venture, list below the nan	ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party.			
Name	Title			
ANTHEUS CAPITAL, LLC	SOLE MEMBER			
ELI UNGAR	PRESIDENT			
DAVID GEFSKY	VICE PRESIDENT			

[X] Limited liability company

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	rercentage interest in the
		Disclosing Party
ANTHEUS CAPITAL, LLC	32 N. DEAN STREET, 2ND FL	100%
	ENGLEWOOD, NJ 07631	
•		
SECTION III BUSIN	ESS RELATIONSHIPS WITH	CITY ELECTED OFFICIALS
		,
Has the Disclosing Pa	rty had a "hucinece relationship " a	s defined in Chapter 2-156 of the Municipal
	· ·	
Code, with any City elec-	ted official in the 12 months before	e the date this EDS is signed?
		•
[] Yes	[x] No	
If yes please identify bel	ow the name(s) of such City elected	ed official(s) and describe such
relationship(s):		
relationship(s).		
		<del></del>

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate wheth retained or anticipated to be retained)		Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	ary)		
k] Check here if the I	Disclosing Party	has not retained, nor expects to retain	1, any such persons or entities
SECTION V CER	TIFICATIONS		
A. COURT-ORDERI	ED CHILD SUP	PORT COMPLIANCE	•
<del>-</del>		2-415, substantial owners of business ith their child support obligations thr	
<del>-</del> -	-	otly owns 10% or more of the Disclosions by any Illinois court of compete	<del>-</del> •
[]Yes 🏌	-	No person directly or indirectly owns isclosing Party.	10% or more of the
If "Yes," has the person in compl		court-approved agreement for paymagreement?	ent of all support owed and
[]Yes [	] No		
B. FURTHER CERT	TFICATIONS		
consult for defined ter submitting this EDS is certifies as follows: (i with, or has admitted	rms (e.g., "doing s the Applicant a ) neither the App guilt of, or has e	napter 1-23, Article I ("Article I") (whe business") and legal requirements), and is doing business with the City, the plicant nor any controlling person is over been convicted of, or placed under the property of the commit bribes.	if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such
  agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or
  prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or
  otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the D	7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further			Part B (Further		
Certifications)	, the Disclosing Par	ty must explai	in below:			
		····		·		

presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[ ] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes No [X NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes [X] No 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: Name **Business Address** Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a

member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

negotiations.	
Is the Disclosing Party the	Applicant?
[] Yes	[] No
If "Yes," answer the three of	questions below:
<ol> <li>Have you developed federal regulations? (See 4</li> <li>Yes</li> </ol>	and do you have on file affirmative action programs pursuant to applicab 1 CFR Part 60-2.) [] No
<u> </u>	the Joint Reporting Committee, the Director of the Office of Federal ams, or the Equal Employment Opportunity Commission all reports due requirements?
3. Have you participate equal opportunity clause?	ed in any previous contracts or subcontracts subject to the
[] Yes	[ ] No
If you checked "No" to que	stion 1. or 2. above, please provide an explanation:

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

AL-SOLSTICE ON THE PARK, LLC		THEUS CAPITAL
(Print or type name of Disclosing Party)	ITS SOL	LE MEMBER
Ву:		ID GEFSKY NAGING MEMBER
(Sign here)		
DAVID GEFSKY		
(Print or type name of person signing)	<del>-</del>	
MANAGING MEMBER		•
(Print or type title of person signing)		
,		
at <u>Suger</u> County, <u>New Jewey</u>		1
Janutte Marie Colón	Notary Public.	
Commission expires: 4/12/2017		JEANETTE MARIE COLON
Commission expires. 4 / 1/2/ 2017	·	NOTARY PUBLIC OF NEW JERSEY ELD. # 2419776
	Page 12 of 13	My Commission Expires 4/12/20 17

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
such person is connec	ted; (3) the name and title of the	of such person, (2) the name of the legal entity to which e elected city official or department head to whom such nature of such familial relationship.

#### N

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
LYRICAL-ANTHEUS REALTY PARTNERS II, LP
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: SOLSTICE ON THE PARK, LLC; OR WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in
which the Disclosing Party holds a right of control:  B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631  C. Telephone: 201-541-8003  Fax: 201-608-0555  Email:
SCHARENDOFF@ANTHEUSCAPITAL.COM  D. Name of contact person:SUSIE CHARENDOFF
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Amendment of Residential Business Planned Development 282.
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

## 1. Indicate the nature of the Disclosing Party: [] Person [ ] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [] Privately held business corporation [] Joint venture [ ] Sole proprietorship Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [X] Limited partnership [] No [] Other (please specify) [] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: **DELAWARE** 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes No N [] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title Name LYRICAL-ANTHEUS GP II. LLC GENERAL PARTNER

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage In	iterest in the
		Disclosing Pa	arty
GOTHIC CORPORATION	280 SOUTH MAGNUM	STREET, STE 210	24.88%
	DURHAM, NC 27701		
CLARIDGE ASSOCIATES, LLC	C 15 W. 53RD STREET, #	<del>‡248</del>	10.28%
	NEW YORK, NY 10019		
Other investors with an interest	less than 7.5%		
SECTION III BUSINE	ESS RELATIONSHIPS W	ITH CITY ELECTE	ED OFFICIALS
		· + + 1 6 1 1 61	
_	<del>-</del>		pter 2-156 of the Municipal
Code, with any City electe	d official in the 12 months b	pefore the date this EI	OS is signed?
[]Yes	[x] No		
If yes, please identify belo relationship(s):	w the name(s) of such City	elected official(s) and	describe such
· · · · · · · · · · · · · · · · · · ·			
•			

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary	)		
k] Check here if the Disc	closing Party h	nas not retained, nor expects to retain	ı, any such persons or entities
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPI	PORT COMPLIANCE	
<del>-</del>		2-415, substantial owners of business the their child support obligations three	
		tly owns 10% or more of the Discloons by any Illinois court of compete	
[]Yes [K]]	. <del>.</del> -	No person directly or indirectly owns isclosing Party.	10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for paym greement?	ent of all support owed and
[]Yes []T	<b>Vo</b>		
B. FURTHER CERTIF	CATIONS		e.
consult for defined terms submitting this EDS is the certifies as follows: (i) n	cipal Code Chas (e.g., "doing ne Applicant an either the App	apter 1-23, Article I ("Article I")(who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is ever been convicted of, or placed und	nich the Applicant should if the Disclosing Party hen the Disclosing Party currently indicted or charged

Page 4 of 13

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:					
	-				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

2-32 of the Municipal Code, explain here (attach additional pages if necessary):

	ne word "None," or no response ed that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.
D. CERTIFICATION	N REGARDING INTEREST IN	I CITY BUSINESS
Any words or terms t meanings when used	-	6 of the Municipal Code have the same
		Municipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you check Item D.1., proceed to	<del>-</del>	to Items D.2. and D.3. If you checked "No" to
elected official or em any other person or e for taxes or assessme "City Property Sale")	aployee shall have a financial intentity in the purchase of any properts, or (iii) is sold by virtue of leads	ive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D.
Does the Matter invo	lve a City Property Sale?	
[] Yes	[x] No	
		e names and business addresses of the City ify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing	Party the Applicant?		
[] Yes	[] No		,
If "Yes," answer t	he three questions below:		
		n file affirmative action programs	pursuant to applicable
•	s? (See 41 CFR Part 60-2.)		
[] Yes	[ ] No		
		Committee, the Director of the C Employment Opportunity Commis	
	ole filing requirements?	improvincing Opportunity Commis	ision an reports due
[]Yes	[] No		
3. Have you pequal opportunity	· · ·	contracts or subcontracts subject to	o the
[]Yes	[] No		
If you checked "N	o" to question 1. or 2. above	e, please provide an explanation:	

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

LYRICAL-ANTHEUS REALTY PARTNERS II, LP (Print or type name of Disclosing Party)	BY: LYRICAL-ANTHEUS GP II, LLC ITS GENERAL PARTNER
By: (Sign here)  DAVID GEFSKY	BY: LYRICAL-ANTHEUS MANAGEMENT, LP ITS MANAGING MEMBER BY: LYRICAL-ANTHEUS MANAGEMENT GP, LLC ITS GENERAL PARTNER
(Print or type name of person signing)  Managing  MEMBER	BY: DAVID GEFSKY ITS MANAGING MEMBER
(Print or type title of person signing)	· · · · · · · · · · · · · · · · · · ·

Signed and sworn to before me on (date) February (0, 2015

at Berger County, New Jerry (state).

Notary Public.

Commission expires: 4/11/2017

JEANETTE MARIE COLON NOTARY PUBLIC OF NEW JERSEY 1.D. # 2419776 My Commission Expires 4/12/2017

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[x] No	
such person is connec	cted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

ļ,	Pursuant to Municipal Code Section building code scofflaw or problem I Code?		
	[ ] Yes	[X] No	
2.	If the Applicant is a legal entity publishe Applicant identified as a buildin 2-92-416 of the Municipal Code?		
	[ ] Yes	[, ]:No	[X] Not Applicable
3.	If yes to (1) or (2) above, please ide identified as a building code scoffla buildings to which the pertinent cod	w or problem landlor	

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

### 2

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:	
LYRICAL-ANTHEUS MANAGEMENT, LP	
Check ONE of the following three boxes:	
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR	e 
3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:    SOLSTICE ON THE PARK, LLC;   WINDERMERE HOUSE, LLC;   5528 S CORNELL AVENUE OWNER, LLC     32 N. DEAN STREET, 2ND FLOOR   ENGLEWOOD, NJ 07631	1
C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPIT	TAL.COM
D. Name of contact person: SUSIE CHARENDOFF  E. Federal Employer Identification No. (if you have one):	
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):	i
Amendment of Residential Business Planned Development 282.	
G. Which City agency or department is requesting this EDS? Department of Planning and Development	
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	
Specification # and Contract #	

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [ ] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [] Joint venture [ ] Sole proprietorship [ ] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [X] Limited partnership [.] Yes ..... [] No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: DELAWARE 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [ ] Yes [X] No [ ] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not for profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title LYRICAL-ANTHEUS MANAGEMENT GP, LLC GENERAL PARTNER

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the			
		Disc	losing Party		
ELI UNGAR		32 N. DEAN STREET	•	25%	
		ENGLEWOOD, NJ 07	7631		
DAVID GEFSKY				25%	
		405 PARK AVENUE,	6TH FL,		
JEFFREY A. KESWIN 2007 PE	RPETUITIES TRUST	NEW YORK, NY	10022	50%	

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[ ] Yes	[X] No	
If yes, please identify relationship(s):	below the name(s) of such City elected official(s) and describe such	
		_

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whethe retained or anticipated to be retained)	r Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessar	y)		
[k] Check here if the Di	sclosing Party l	nas not retained, nor expects to retain	i, any such persons or entities
SECTION V CERT	TFICATIONS		
A. GOURT-ORDERE	D CHILD SUPI	PORT COMPLIANCE	
		2-415, substantial owners of business th their child support obligations thr	
	-	tly owns 10% or more of the Disclos ons by any Illinois court of competer	•
[]Yes [K]		No person directly or indirectly owns isclosing Party.	10% or more of the
If "Yes," has the person is the person in complia		court-approved agreement for paymegreement?	ent of all support owed and
[]Yes []	No		
B. FURTHER CERTII	FICATIONS		
consult for defined term submitting this EDS is certifies as follows: (i)	ns (e.g., "doing the Applicant a neither the App	apter 1-23, Article I ("Article I")(wh business") and legal requirements), in and is doing business with the City, the licant nor any controlling person is cover been convicted of or placed under	if the Disclosing Party en the Disclosing Party currently indicted or charged

Page 4 of 13

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:				

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION  1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes

[8] No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes [X] No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party which there occurs any even forth in paragraphs A.1. and	t that materially affects		end of each calendar quarte e statements and information	
4. The Disclosing Party 501(c)(4) of the Internal Rev 501(c)(4) of the Internal Rev Activities".	venue Code of 1986; or	(ii) it is an organiz		ying
5. If the Disclosing Part form and substance to parag subcontract and the Disclosi duration of the Matter and n	raphs A.1. through A.4. ng Party must maintain	above from all sub all such subcontrac	ctors' certifications for the	s any
B. CERTIFICATION REG	ARDING EQUAL EMI	PLOYMENT OPPO	ORTUNITY	
If the Matter is federally fun subcontractors to submit the negotiations.	following information	with their bids or in	writing at the outset of	
Is the Disclosing Party the A	pplicant?	er en en en en en en en en en en en en en		
[]Yes	].No			
If "Yes," answer the three qu	uestions below:			
federal regulations? (See 41		affirmative action	programs pursuant to applic	ab <u>le</u>
2. Have you filed with the Contract Compliance Programmer the applicable filing reasons [ ] Yes	ms, or the Equal Emplo		•	e
3. Have you participated equal opportunity clause?	l in any previous contra	cts or subcontracts	subject to the	
	] No			
If you checked "No" to ques	tion 1. or 2. above, plea	se provide an expla	nation:	

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

LYRICAL-ANTHEUS MANAGEMENT, LP	BY: LYRICAL-ANTHEUS MANAGEMENT GP, LLC		
(Print or type name of Disclosing Party)	ITS GENERAL PARTNER		
ву:	BY: DAVID GEFSKY ITS MANAGING MEMBER		
(Sign here)			
DAVID.GEFSKY	La company of the same of the		
(Print or type name of person signing)			
MANAGING MEMBER	v		
(Print or type title of person signing)			
Signed and sworn to before me on (date) February 27, 7 at Buffer County, vew Jersey (state).	<u>2015</u> ,		
Notary Pub	lic		
JeanHe Morre Colon	JEANETTE MARIE COLON		
Commission expires: 4/12/2017.	NOTARY PUBLIC OF NEW JERSEY  I.D. # 2419776		

Page 12 of 13

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

F .1 XT-

f 137--

[ ] res	XI No	
such person is connec	cted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.
,	· · · · · · · · · · · · · · · · · · ·	

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
JEFFREY A. KESWIN 2007 PERPETUITIES TRUST
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant
OR  2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest:
OR
3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:  SOLSTICE ON THE PARK, LLC;  WINDERMERE HOUSE, LLC;
B. Business address of the Disclosing Party:  5528 S CORNELL AVENUE OWNER, LLC  405 PARK AVENUE, 6TH FLOOR, NEW YORK, NY 10022
C. Telephone: 212-415-6600 Fax: 212-697-5660 Email: JKESWIN@LYRICALPARTNERS.CO
D. Name of contact person:
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment of Residential Business Planned Development 282
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

## A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [ ] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [ ] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership [] Yes []No [] Other (please specify) [X] Trust 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: **NEW YORK** 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes X] No []N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title INVESTMENT TRUSTEE JEFFREY KESWIN

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
JEFFREY KESWIN	405 PARK AVENUE, 6TH FLOOR NEW YORK, NY 10022	Disclosing Party 100%
SECTION III BUS	INESS RELATIONSHIPS WITH C	CITY ELECTED OFFICIALS
_	Party had a "business relationship," as ected official in the 12 months before	defined in Chapter 2-156 of the Municipal the date this EDS is signed?
[]Yes	on [K]	· · · · ·
If yes, please identify l relationship(s):	below the name(s) of such City elected	d official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[k] Check here if the Disch	osing Party h	as not retained, nor expects to retain	, any such persons or entities
SECTION V CERTIF	CICATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
-		-415, substantial owners of business th their child support obligations thr	
	-	ly owns 10% or more of the Disclosons by any Illinois court of competer	
[] Yes [X] No		o person directly or indirectly owns sclosing Party.	10% or more of the
is the person in compliance	e with that ag	court-approved agreement for paymereement?	ent of all support owed and
[]Yes []N	0		
•			
1. Pursuant to Munici consult for defined terms submitting this EDS is the certifies as follows: (i) ne with, or has admitted guil criminal offense involving	pal Code Cha (e.g., "doing le Applicant an other the Appl t of, or has ev g actual, atten	apter 1-23, Article I ("Article I") (who business") and legal requirements), it is doing business with the City, the licant nor any controlling person is controlled to the convicted of, or placed under the convicted of the City or a officer or employee of the City or a	ich the Applicant should if the Disclosing Party en the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

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7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:					
•	S		<del></del>	· ·	
		. :			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS
Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.
1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
[] Yes [6] No
NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?
[] Yes [X] No
3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

**Business Address** 

Name

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.				
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.				
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:				
SECTION VI – CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS				
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.				
A. CERTIFICATION REGARDING LOBBYING				
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):				
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)				
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined b applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,				

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

	ty will submit an updated coent that materially affects the A.2. above.		
501(c)(4) of the Internal R	ty certifies that either: (i) it evenue Code of 1986; or (i evenue Code of 1986 but h	i) it is an organization des	scribed in section
form and substance to para subcontract and the Disclo	arty is the Applicant, the Dingraphs A.1. through A.4. a sing Party must maintain all must make such certifications.	bove from all subcontractli such subcontractors' cer	ors before it awards an tifications for the
B. CERTIFICATION RE	GARDING EQUAL EMPI	LOYMENT OPPORTUN	ITY
	anded, federal regulations rate following information w		
Is the Disclosing Party the	Applicant?		
[ ] <u>Y</u> es	[ ] <u>N</u> o	. =	
If "Yes," answer the three	questions below:		
1. Have you developed federal regulations? (See 4	d and do you have on file at 41 CFR Part 60-2.) []No	ffirmative action program	s pursuant to applicable
Contract Compliance Progunder the applicable filing	_		
[] Yes	[]No	is an autocota auticat	to the
3. Have you participat equal opportunity clause?	ed in any previous contract	is or subcontracts subject	to the
[] Yes	[ ] No		
If you checked "No" to que	estion 1. or 2. above, please	e provide an explanation:	

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i				
!				

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Commission expires: $5/(0//5)$ .		Notary Public - State NO. 01MC624 Qualified in New Yo My Commission Expires	1132 rk County
_ du Mar No	otary Public.	JENNIFER-ANNE M	
at New York County, New York (s	tate).	:	
Signed and sworn to before me on (date) Febr		·	
		· .	
(Print or type title of person signing)		•	
DONOR AND INVESTMENT TRUSTEE			
(Print or type name of person signing)	:•		
JEFFREY A. KESWIN			
(Sign here)	•		
Ву:	<u>.</u>		<u>.</u>
(Print or type name of Disclosing Party)			• •
JEFFREY A. KESWIN 2007 PERPETUITIES TRUST	, ,		
	:		

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[x] No	,
such person is connec	cted; (3) the name and title of t	te of such person, (2) the name of the legal entity to which he elected city official or department head to whom such the nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

Check ONE of the following three boxes:  Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR  3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; S528 SCORNELL AVENUE OWNER, LLC  23. N. DEAN STREET, 2ND FLOOR ENGLEWOOD. N. 07631  C. Telephone: 201-541-8003  Fax: 201-608-0555  Email: SCHARENDOFF @ANTHEUSCAPITAL.COM  D. Name of contact person: SUSIE CHARENDOFF  E. Federal Employer Identification No. (if you have one):  F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS?  Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:  Specification #  and Contract #  and Contract #	A. Legal name of the Disclosing Party submitting this ED	S. Include d/b/a/ if applicable:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR  3. [X] a legal entity with a right of control (see Section II.B.I.) State the legal name of the entity in which the Disclosing Party holds a right of control: SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC  B. Business address of the Disclosing Party:  32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631  C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM  D. Name of contact person: SUSIE CHARENDOFF  E. Federal Employer Identification No. (if you have one): F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS? Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	LYRICAL-ANTHEUS GP II, LLC	· 
1. [] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR 3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: SOLSTICE ON THE PARK, LLC; WINDEMERRE HOUSE, LLC; S528 S CORNELL AVENUE OWNER, LLC  B. Business address of the Disclosing Party:  12 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631  C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM  D. Name of contact person: SUSIE CHARENDOFF  E. Federal Employer Identification No. (if you have one):  F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS?  Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	Check ONE of the following three boxes:	
Applicant in which the Disclosing Party holds an interest: OR  3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC  B. Business address of the Disclosing Party:  22 N. DEAN STREET, 2ND FLOOR ENGLEWOOD. NJ 07631  C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.CON  D. Name of contact person: SUSIE CHARENDOFF  E. Federal Employer Identification No. (if you have one):  F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS?  Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	1. [] the Applicant	s is:
which the Disclosing Party holds a right of control:  SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; S528 S CORNELL AVENUE OWNER, LLC  S12 N. DEAN STREET, 2ND FLOOR ENGLEWOOD. NJ 07631  C. Telephone:  201-541-8003  Fax: 201-608-0555  Email: SCHARENDOFF@ANTHEUSCAPITAL.COM  D. Name of contact person:  SUSIE CHARENDOFF  E. Federal Employer Identification No. (if you have one):  F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS?  Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	Applicant in which the Disclosing Party holds an int	
C. Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM  D. Name of contact person: SUSIE CHARENDOFF  E. Federal Employer Identification No. (if you have one): F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS? Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	which the Disclosing Party holds a right of control:	SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC;
D. Name of contact person: _SUSIE CHARENDOFF  E. Federal Employer Identification No. (if you have one):  F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS?	32 N. DEAN	
E. Federal Employer Identification No. (if you have one):  F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS?  Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	C. Telephone: 201-541-8003 Fax: 201-608-0555	Email: SCHARENDOFF@ANTHEUSCAPITAL.COM
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):  Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS?  Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	D. Name of contact person: SUSIE CHARENDOFF	
which this EDS pertains. (Include project number and location of property, if applicable):  Amendment of Residential Business Planned Development 282.  G. Which City agency or department is requesting this EDS? Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	E. Federal Employer Identification No. (if you have one):	
G. Which City agency or department is requesting this EDS? Department of Planning and Development  If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:		<del>-</del> ·
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:	Amendment of Residential Business Planned Development 282.	
complete the following:	G. Which City agency or department is requesting this EL	OS? Department of Planning and Development
Specification # and Contract #	<del>-</del>	Department of Procurement Services, please
	Specification # and Co	ontract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

# 1. Indicate the nature of the Disclosing Party: [ ] Person [X] Limited liability company [ ] Publicly registered business corporation [] Limited liability partnership [ ] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership \_\_\_\_ [] Yes --- [] No [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: **DELAWARE** 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes oN [k] [] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title LYRICAL-ANTHEUS MANAGEMENT, LP MANAGING MEMBER

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Business Address

Disclo	sing Party	
32 N DEAN STREET 2ND FI		25%
ENGLEWOOD, NJ 076	31	25%
405 PARK AVENUE, 6	TH FL, 10022	50%
IPS WITH CITY E	LECTED O	FFICIALS
Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Munic Code, with any City elected official in the 12 months before the date this EDS is signed?		
	32 N. DEAN STREET, 2 ENGLEWOOD, NJ 076  405 PARK AVENUE, 6 NEW YORK, NY  IPS WITH CITY Electronship," as defined	IPS WITH CITY ELECTED O

[] Yes [X] No

Name

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[k] Check here if the Disc	closing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPI	PORT COMPLIANCE	
		2-415, substantial owners of business th their child support obligations thr	
	•	tly owns 10% or more of the Disclosons by any Illinois court of compete	
[] Yes [K] N		No person directly or indirectly owns isclosing Party.	10% or more of the
If "Yes," has the person is the person in complian		court-approved agreement for paym greement?	
[]Yes []N	No .	· · · · · · · · · · · · · · · · · · ·	
B. FURTHER CERTIFI	CATIONS	en en en en en en en en en en en en en e	
consult for defined terms submitting this EDS is th certifies as follows: (i) no with, or has admitted gui	(e.g., "doing the Applicant and the Applicant an	apter 1-23, Article I ("Article I") (who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is cover been convicted of, or placed under the property of the conspiracy to commit bribes.	nich the Applicant should if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:					
			<del></del>	 ·-·	

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during th 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? []Yes No [X] NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? []Yes

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name Business Address Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

connection with the Matter voidable by the City.
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing P which there occurs any forth in paragraphs A.1.				
4. The Disclosing P 501(c)(4) of the Internal 501(c)(4) of the Internal Activities".		s; or (ii) it is an orga	anization described	in section
5. If the Disclosing form and substance to p subcontract and the Disc duration of the Matter a	closing Party must main	A.4. above from all tain all such subcor	l subcontractors bef ntractors' certification	fore it awards any ons for the
B. CERTIFICATION F	REGARDING EQUAL	EMPLOYMENT C	PPORTUNITY	
If the Matter is federally subcontractors to subminegotiations.				
Is the Disclosing Party t	he Applicant?			
[] Yes	[] No			
If "Yes," answer the thr	ee questions below:			
1. Have you developed federal regulations? (See [] Yes	ped and do you have on se 41 CFR Part 60-2.)	file affirmative act	ion programs pursu	ant to applicable
2. Have you filed w Contract Compliance Pr under the applicable fili [] Yes				
3. Have you particip equal opportunity clause	pated in any previous co	entracts or subcontra	acts subject to the	••
[] Yes	[] No			
If you checked "No" to	question 1. or 2. above,	please provide an e	explanation:	

# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

LYRICAL-ANTHEUS GP II, LLC	BY: LYRICAL-ANTHEUS MANAGEMENT, LP		
(Print or type name of Disclosing Party)	ITS MANAGING MEMBER		
ву:	BY: LYRICAL-ANTHEUS MANAGEMENT-C		
(Sign here)  DAVID GEFSKY	BY: DAVID GEFSKY ITS MANAGING MEMBER	· · · · · · · · · · · · · · · · · · · ·	
(Print or type name of person signing)	<del>-</del>		
MANAGING MEMBER		,	
(Print or type title of person signing)	<del>-</del>		
Signed and sworn to before me on (date) <u>fe</u>	bruey 27, 2015,		
at Berges County, Now Letty	_ (state).		
920	Notary Public.		
Deaneth Morre Wan	GANETTE I	MARIE COLO	
Commission expires: 4/12/2017	NOTARY PUBLI	C OF NEW JER 2419776	
	Page 12 of 13 My Commission	Expires 4/12/20	

Page 12 of 13

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
such person is connec	eted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which ne elected city official or department head to whom such a nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I -- GENERAL INFORMATION**

Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
LYRICAL-ANTHEUS MANAGEMENT GP, LLC
Check ONE of the following three boxes:
1. [] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR 3. [X] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC 32 N. DEAN STREET, 2ND FLOOR ENGLEWOOD, NJ 07631
Telephone: 201-541-8003 Fax: 201-608-0555 Email: SCHARENDOFF@ANTHEUSCAPITAL.COM
Name of contact person: SUSIE CHARENDOFF
. Federal Employer Identification No. (if you have one):
. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): mendment of Residential Business Planned Development 282.
6. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

# A. NATURE OF THE DISCLOSING PARTY

<ol> <li>Indicate the nature of the Disclosing Parents</li> <li>Person</li> <li>Publicly registered business corporation</li> <li>Privately held business corporation</li> <li>Sole proprietorship</li> <li>General partnership</li> <li>Limited partnership</li> <li>Trust</li> </ol>	[X] Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [ ] Yes [ ] No [ ] Other (please specify)
2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:
DELAWARE	
	<del></del>
3. For legal entities not organized in the S business in the State of Illinois as a foreign en	State of Illinois: Has the organization registered to do ntity?
[] Yes [X] No	[] N/A
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:
NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the nar	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability me and title of each general partner, managing member, atrols the day-to-day management of the Disclosing Party. abmit an EDS on its own behalf.
Name	Title
DAVID GEFSKY	MANAGING MEMBER
ELI UNGAR	MANAGING MEMBER
JEFFREY KESWIN	MANAGING MEMBER

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
•	1	Disclosing Party
ELI UNGAR	32 N. DEAN STREET, 2ND FL	25%
	ENGLEWOOD, NJ 07631	
DAVID GEFSKY		25%
JEFFREY KESWIN	405 PARK AVENUE, 6TH FL, NEW YORK, NY	10022 50%

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[]Yes	[X] No
If yes, please identify trelationship(s):	pelow the name(s) of such City elected official(s) and describe such

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	)		-
[k] Check here if the Disc	closing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERTI	FICATIONS		
A. COURT-ORDERED	CHILD SUPF	PORT COMPLIANCE	.,
<del>-</del>		-415, substantial owners of business th their child support obligations thr	•
· -	-	tly owns 10% or more of the Disclosons by any Illinois court of competer	_ ,
[]Yes K]N		To person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person is the person in complian	ce with that as	court-approved agreement for paym greement?	ent of all support owed and
[]Yes []N		•	
B. FURTHER CERTIFI	CATIONS		
consult for defined terms submitting this EDS is th certifies as follows: (i) no with, or has admitted gui	(e.g., "doing e Applicant are either the App lt of, or has ev	apter 1-23, Article I ("Article I")(wh business") and legal requirements), and is doing business with the City, the licant nor any controlling person is cover been convicted of, or placed unden the property of the property	if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United-States-of-America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:								
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presumed that the Disclosing Party certified to the above statements.								
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").								
NONE								
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.								
NONE								
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION								
1. The Disclosing Party certifies that the Disclosing Party (check one)								
[] is [X] is not								
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.								
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:								
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."								
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):								

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	ed "Yes" to Item D.1., provide the	e names and business addresses of the City ify the nature of such interest:	
[] Yes	[X] No	•• • • • • • • • • • • • • • • • • • •	
Does the Matter inv	olve a City Property Sale?		
elected official or e any other person or for taxes or assessn "City Property Sale	mployee shall have a financial int entity in the purchase of any prop ents, or (iii) is sold by virtue of le	ve bidding, or otherwise permitted, no City erest in his or her own name or in the name perty that (i) belongs to the City, or (ii) is solvegal process at the suit of the City (collective ken pursuant to the City's eminent domain penning of this Part D.	d ely,
NOTE: If you che Item D.1., proceed	•	to Items D.2. and D.3. If you checked "No"	to
entity in the Matter		name of in the name of any other person of	٠
		Municipal Code: Does any official or employ name or in the name of any other person or	/ee
Any words or terms meanings when use		of the Municipal Code have the same	
D. CERTIFICATIO	ON REGARDING INTEREST IN	CITY BUSINESS	
conclusively presur	ned that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

## E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Pa	rty the Applicant?	
[]Yes	[] <u>N</u> o	
If "Yes," answer th	three questions below:	
1. Have you de	veloped and do you have on file affirmative action	programs pursuant to applicabl
	(See 41 CFR Part 60-2.)	· 7 · . · . · . · · · · · · · · · · · ·
[] Yes	[] No	
	ee Programs, or the Equal Employment Opportunity filing requirements? [ ] No	y Commission all reports due
3. Have you pa equal opportunity c	ticipated in any previous contracts or subcontracts ause?	subject to the
[]Yes	[ ] No	
If you checked "No	' to question 1. or 2. above, please provide an expl	anation:
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# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### CERTIFICATION

LYRICAL-ANTHEUS MANAGEMENT GP, LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

(Print or type name of Disclosing Party)	•
By: () ()	
(Sign here)	•
DAVID GEFSKY	
(Print or type name of person signing)	
MANAGING MEMBER	
(Print or type title of person signing)	1
Signed and sworn to before me on (date) [ebruary at County, New Jessey (state	127, 2015, te).  ary Public.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[X] No	
such person is connec	eted; (3) the name and title of the	e of such person, (2) the name of the legal entity to which he elected city official or department head to whom such e nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
CLARIDGE ASSOCIATES, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the
Applicant in which the Disclosing Party holds an interest: SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party:  15 W. 53RD STREET, #24B  NEW YORK, NY 10019
C. Telephone: 212-956-7442 Fax: 212-245-5083 Email: SCOTT@JAMISCOTT.COM
D. Name of contact person: SCOTT SCHNEIDER
E. Federal Employer Identification No. (if you have one):
F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
Amendment of Residential Business Planned Development 282
G. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

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#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [ ] Person [X] Limited liability company [ ] Publicly registered business corporation [ ] Limited liability partnership [] Privately held business corporation [] Joint venture [ ] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [ ] Limited partnership []Yes [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: **DELAWARE** 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes [] N/A X No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name MANAGER SUSAN SCHNEIDER LESLIE SCHNEIDER

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

SCOTT SCHNEIDER

MANAGING MEMBER

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:	

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the	
SUSAN SCHNEIDER	15 W. 53RD STREET, #24B NEW YORK, NY 10013	Disclosing Party 42.61%	
LESLIE SCHNEIDER	15 W. 53RD STREET, #24B NEW YORK, NY 10013	42.69%	
			—

#### SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

[] Yes	oN [X]				
If yes, please iden relationship(s):	tify below the name(	(s) of such City electe	d official(s) and d	escribe such	

#### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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retained or anticipated to be retained)	Address	(subcontractor, attorney, lobbyist, etc.)	paid or estimated.) <b>NOTE:</b> "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
[k] Check here if the Disc	losing Party h	as not retained, nor expects to retain	a, any such persons or entities
SECTION V CERTIF	CATIONS		
A. COURT-ORDERED	CHILD SUPP	ORT COMPLIANCE	
<u>=</u>		-415, substantial owners of business the their child support obligations the	
	•	tly owns 10% or more of the Disclosons by any Illinois court of competer	•
[] Yes [X] N		o person directly or indirectly owns sclosing Party.	10% or more of the
If "Yes," has the person e is the person in compliance		court-approved agreement for paym greement?	ent of all support owed and
[] Yes [] N	o		. <u> </u>
B. FURTHER CERTIFIC	CATIONS		· · · · · · · · · · · · · · · · · · ·
consult for defined terms submitting this EDS is the certifies as follows: (i) ne with, or has admitted guil criminal offense involving	(e.g., "doing le e Applicant an ither the Appl t of, or has ev g actual, attem	apter 1-23, Article I ("Article I") (who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is der been convicted of, or placed under the property of the City or a officer or employee of the City or a	if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery,

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[ ] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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If the letters "NA," the conclusively presumed			-			be	
D. CERTIFICATION	REGARDING INT	EREST IN (	CITY BUSIN	ESS			
Any words or terms the meanings when used is		apter 2-156 (	of the Munici	pal Code	e have the s	ame	
1. In accordance we of the City have a final entity in the Matter?  [] Yes	vith Section 2-156-1 ncial interest in his o		-		-	-	•
NOTE: If you checked Item D.1., proceed to I	ed "Yes" to Item D.1	., proceed to	Items D.2. a	nd D.3.	If you chec	ked "No	" to
2. Unless sold pur elected official or emp any other person or en for taxes or assessmen "City Property Sale"). does not constitute a fi	tity in the purchase of ts, or (iii) is sold by Compensation for p	nancial inter of any proper virtue of leg property take	est in his or lety that (i) be al process at neursuant to	her own longs to the suit of the City	name or in t the City, or of the City (	the name (ii) is so collectiv	e of old vely,
Does the Matter involv	ve a City Property Sa	ale?	<u> </u>				
[]Yes	[X] No			,	177		. !
3. If you checked officials or employees						the City	
Name	Business Addr	eșs .	Natu	re of Inte	erest		

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

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connection with the Matter voidable by the City.	
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.	ı
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:	_
<del>-</del>	<u> </u>
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS	
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federal funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.	i <b>y</b>
A. CERTIFICATION REGARDING LOBBYING	
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):	
	<del></del>
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None' appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entitie registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)	s
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as define applicable federal law, a member of Congress, an officer or employee of Congress, or an employee member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, ren	d by of a

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. GERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing I	'arty the Applicant?	. •			
[]Yes	[ ] No				
If "Yes," answer t	he three questions below:	a de la companya de l			
-	eveloped and do you have og? (See 41 CFR Part 60-2.)			ns pursuant to	applicable
[]Yes	[ ] No				
Contract Complian	iled with the Joint Reporting nce Programs, or the Equal I le filing requirements?  [] No	•			
	articipated in any previous of	contracts or subconti	acts subject	to the	
equal opportunity	· ·				
[]Yes	[ ] No		·		
If you checked "N	o" to question 1. or 2. above	e, please provide an	explanation	:	
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### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

CLARIDGE ASSOCIATES, LLC	
(Print or type name of Disclosing Party)	
Ву:	·
(Sign here)	
SCOTT SCHNEIDER	_
(Print or type name of person signing)	<del></del>
MANAGING MEMBER	_
(Print or type title of person signing)	
Signed and sworn to before me on (date)	2/10/15
at NY County, NY	(state).
Courange Solut	_ Notary Public.
Commission expires: 4 30 2013	·
LAWRENCE SCHWARTZ	Page 12 of 13

Notary Public, State of New York
No. 02SC4739979
Qualified in Nassau County
Commission Expires April 30,

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

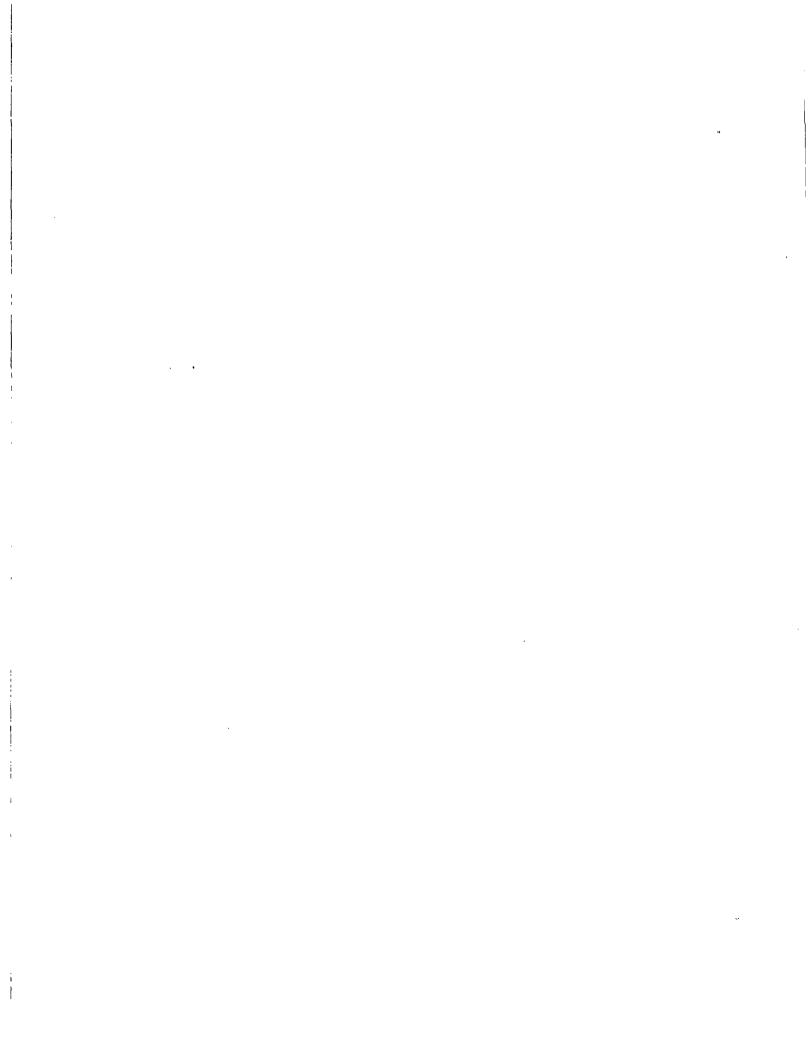
[]Yes	[x] No		
such person is connec	ify below (1) the name and title eted; (3) the name and title of t relationship, and (4) the precis	the elected city official or de	-

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I -- GENERAL INFORMATION**

GOTHIC CORPORATION	
GOTTIO CONTON	-
Check ONE of the following three boxes:	
OR ,	SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC
3. [] a legal entity with a right of control (see Section II.B.1.) which the Disclosing Party holds a right of control:	
B. Business address of the Disclosing Party:  280 SOUTH MAI  DURHAM, NC 2	GNUM STREET, SUITE 201 7701
C. Telephone: 919-668-9917 Fax: 919-668-9926	Email: INVESTMENTS@DUMAC.DUKE.ED
D. Name of contact person: ALICE GOULD	
E. Federal Employer Identification No. (if you have one):	
F. Brief description of contract, transaction or other undertaking (which this EDS pertains. (Include project number and location of	
Amendment of Residential Business Planned Development 282	
G. Which City agency or department is requesting this EDS? Dep	artment of Planning and Development
If the Matter is a contract being handled by the City's Department complete the following:	ent of Procurement Services, please
Specification # and Contract #	<i>t</i>



#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

[] Person [] Publicly registered by [] Privately held busine [] Sole proprietorship [] General partnership [] Limited partnership [] Trust	ess corporation	[] Limited liability [] Limited liability [] Joint venture [X] Not-for-profit co (Is the not-for-profit Yes [] Other (please specification)	partnership rporation corporation also a 501(c)(3))? [] No
2. Tor legar cittiles,	ine state (or foreign c	ountry) of incorporation	in or organization, it applicable.
NORTH CAROLINA	<u> </u>	·	
business in the State of I	•		organization registered to do
[] Yes	[x] No	[]N/A	
NOTE: For not-for-profithere are no such member the legal titleholder(s).  If the entity is a gener partnership or joint vent manager or any other per NOTE: Each legal entity	Il names and titles of a fit corporations, also lers, write "no member al partnership, limited are, list below the nan rson or entity that con	all executive officers and ist below all members, is." For trusts, estates of partnership, limited line and title of each gentrols the day-to-day mathematical an EDS on its own.	and all directors of the entity.  if any, which are legal entities. If or other similar entities, list below ability company, limited liability eral partner, managing member, magement of the Disclosing Party.  In behalf.
Name		Fig. 1977 19	in the second of the second
SEE ATTACHED LIST OF THE CORPORATION DO		· · · · · · · · · · · · · · · · · · ·	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

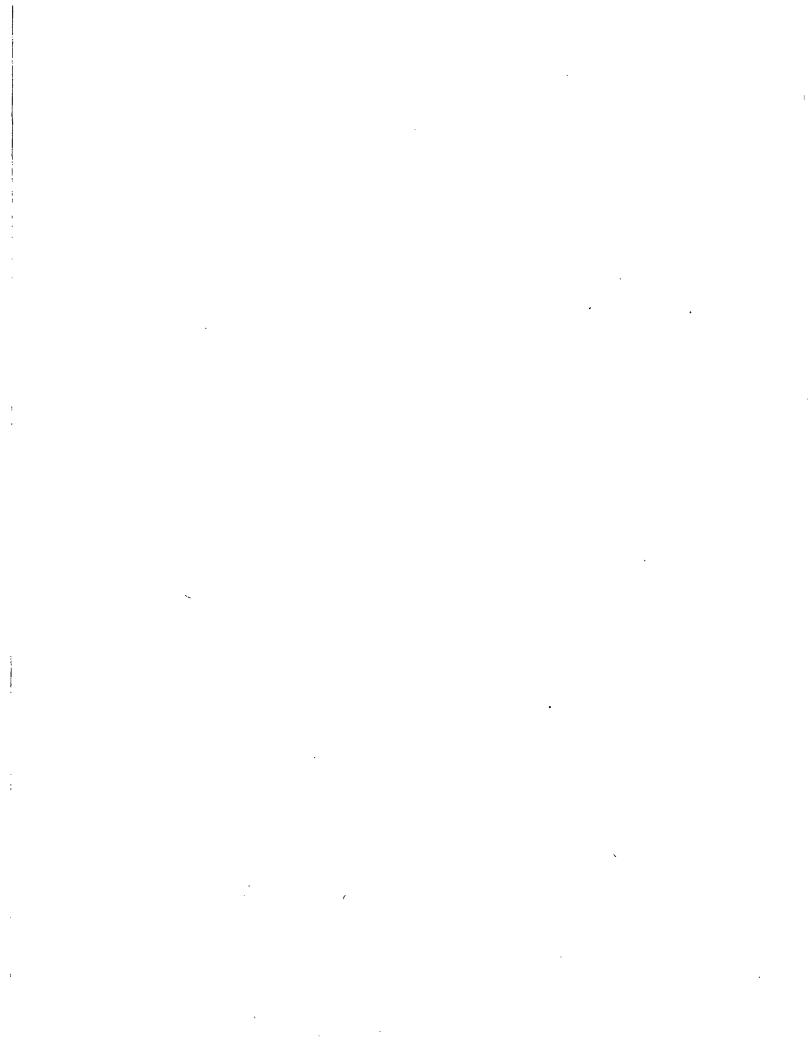
Name	Business Address	Percentage Interest in the Disclosing Party
DUKE UNIVERSITY	C/0 DUKE UNIVERSITY DURHAM, NC 27708	100%
SECTION III BU	SINESS RELATIONSHIPS WIT	TH CITY ELECTED OFFICIALS
_	Party had a "business relationship lected official in the 12 months be	," as defined in Chapter 2-156 of the Municipal fore the date this EDS is signed?
[] Yes	[X] No	
If yes, please identify relationship(s):	below the name(s) of such City el	ected official(s) and describe such

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.



Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			
k] Check here if the Disc	osing Party h	as not retained, nor expects to retain	ı, any such persons or entities
SECTION V CERTIF	CICATIONS		
A. COURT-ORDERED	CHILD SUPP	PORT COMPLIANCE	
the City must remain in co	ompliance wit	-415, substantial owners of business th their child support obligations thre tly owns 10% or more of the Disclos ons by any Illinois court of competer	oughout the contract's term.
[]Yes []N		o person directly or indirectly owns sclosing Party.	10% or more of the
is the person in compliance	e with that ag	•	
[] Yes [] No	;		
B. FURTHER CERTIFIC			
consult for defined terms submitting this EDS is the certifies as follows: (i) no	(e.g., "doing le Applicant and ther the Appl	apter 1-23, Article I ("Article I") (who business") and legal requirements), it is doing business with the City, the icant nor any controlling person is cer been convicted of, or placed under	if the Disclosing Party ten the Disclosing Party currently indicted or charged

Page 4 of 13

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further ertifications), the Disclosing Party must explain below:							
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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

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•	the word "None," or no respons ned that the Disclosing Party cer	e appears on the lines above, it will be rtified to the above statements.
D. CERTIFICATION	ON REGARDING INTEREST I	IN CITY BUSINESS
Any words or terms meanings when use		56 of the Municipal Code have the same
	inancial interest in his or her ow	Municipal Code: Does any official or employee in name or in the name of any other person or
NOTE: If you che Item D.1., proceed		d to Items D.2. and D.3. If you checked "No" to
elected official or e any other person or for taxes or assessn "City Property Sale	mployee shall have a financial i entity in the purchase of any pro- nents, or (iii) is sold by virtue of	itive bidding, or otherwise permitted, no City nterest in his or her own name or in the name of operty that (i) belongs to the City, or (ii) is sold legal process at the suit of the City (collectively, taken pursuant to the City's eminent domain powe eaning of this Part D.
Does the Matter inv	volve a City Property Sale?	
[]Yes	[X] No	
_	ed "Yes" to Item D.1., provide t ees having such interest and iden	he names and business addresses of the City ntify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

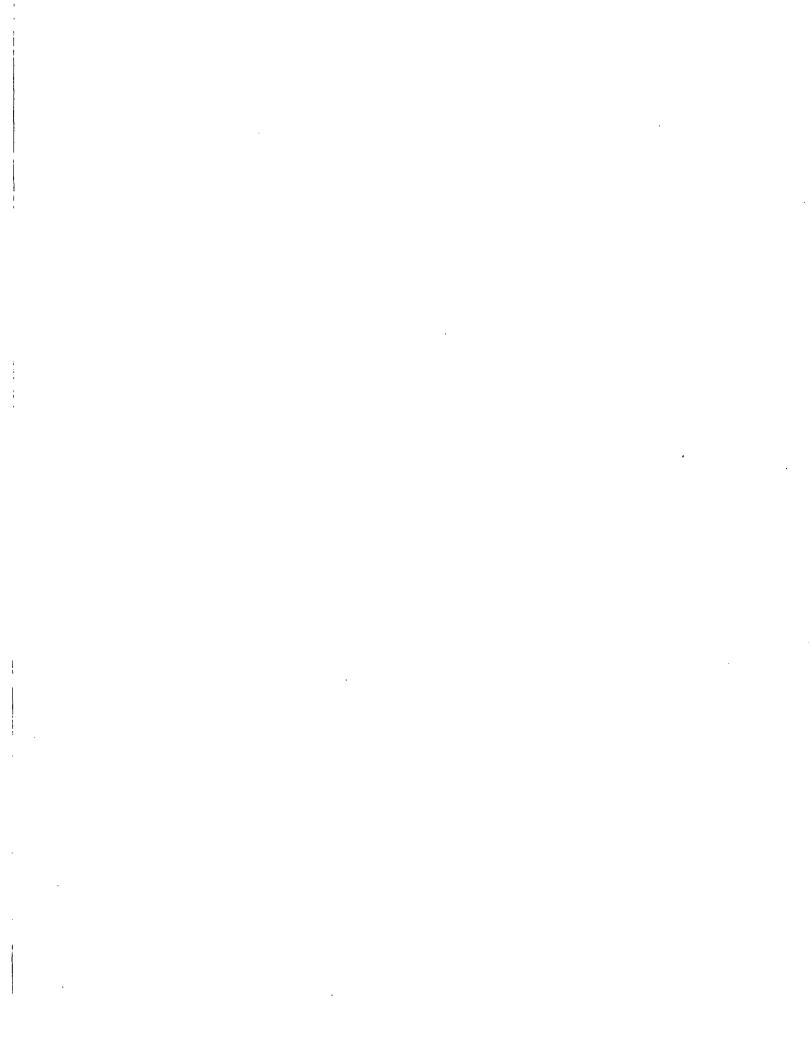
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comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

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3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in
which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[]Yes []No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable
federal regulations? (See 41 CFR Part 60-2.)
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes [] No
3. Have you participated in any previous contracts or subcontracts subject to the
equal opportunity clause? [] Yes [] No
If you checked "No" to question 1. or 2. above, please provide an explanation:



### SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at <a href="https://www.cityofchicago.org/Ethics">www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

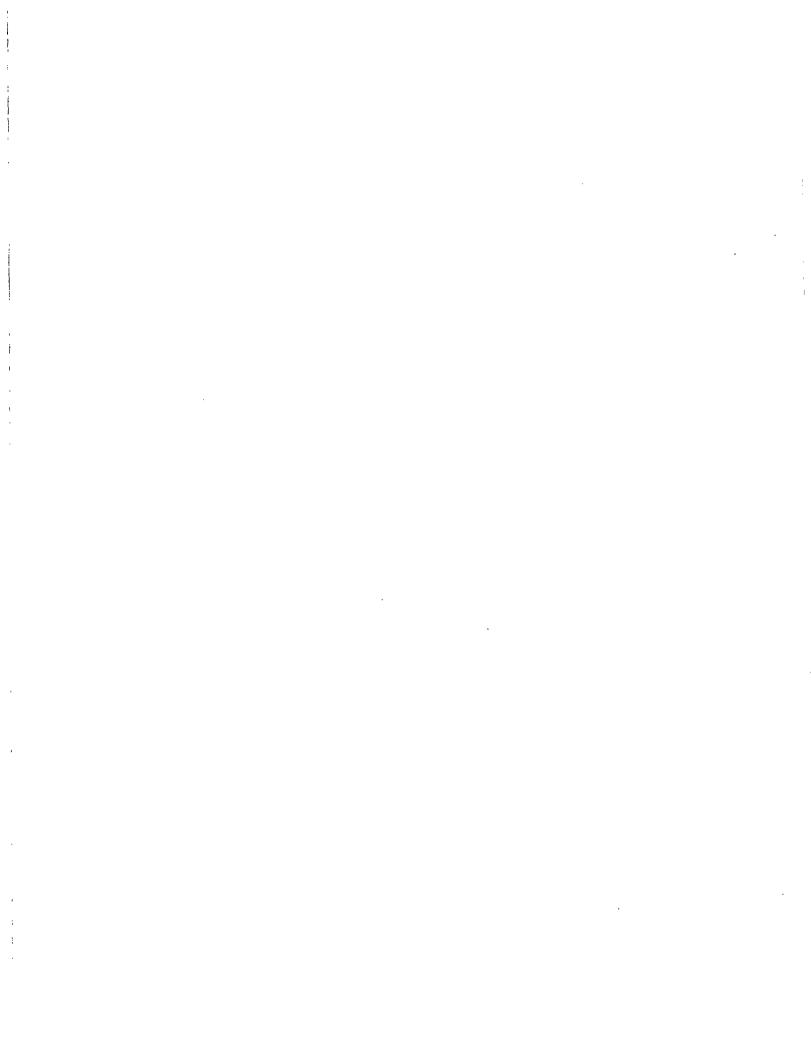
- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

GOTHIC CORPORATION	<u>.</u>
(Print or type name of Disclosing Party)  By:	Dod Shice
(Sign here)  Alice E. Gould Investment Manager DUMAC, Inc. Authorized Agent	David R. Shumate Executive Vice President DUMAC, Inc. Authorized Agent
(Print or type name of person signing)	
(Print or type title of person signing)	garanta da santa da santa da santa da santa da santa da santa da santa da santa da santa da santa da santa da s
and the state of t	
signed and sworn to before me on (date) Flomay 9, 2015, at DUNAM County, North Carolina (state)."	THALEY SUITT
Hoally Suit Notary Public.	NOTARY PUBLIC
Commission expires: 9/2/2018	MY COMMISSION EXPIRES 912 18



### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes	[x] No	
such person is connec	cted; (3) the name and title of	title of such person, (2) the name of the legal entity to which f the elected city official or department head to whom such cise nature of such familial relationship.

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## BOARD OF DIRECTORS & OFFICER LISTING for Gothic Corporation

Neal F. Triplett

Director and President - DUMAC, Inc.

David R. Shumate "

Director and Executive Vice President – DUMAC, Inc.

Gregory A. Hudgins

Director and Assistant Secretary – DUMAC, Inc.

Robert E. McGrail

Secretary – DUMAC, Inc.

Business Address for all listed above:

DUMAC, Inc.

280 S. Mangum Street, Suite 210

Durham, NC 27701

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION 1 -- GENERAL INFORMATION**

DUKE UNIVERSITY
heck ONE of the following three boxes:
adicate whether the Disclosing Party submitting this EDS is:  1. [] the Applicant OR  2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: OR  SOLSTICE ON THE PARK, LLC: WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in
which the Disclosing Party holds a right of control:
. Business address of the Disclosing Party: 280 SOUTH MAGNUM STREET, SUITE 201  DURHAM, NC 27701
. Telephone: 919-668-9917 Fax: 919-668-9926 Email: INVESTMENTS@DUMAC.DUKE.  . Name of contact person: ALICE GOULD
. Federal Employer Identification No. (if you have one):
Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to hich this EDS pertains. (Include project number and location of property, if applicable):
Amendment of Residential Business Planned Development 282
. Which City agency or department is requesting this EDS? Department of Planning and Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa [] Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] Trust	arty:  [] Limited liability company  [] Limited liability partnership  [] Joint venture  [X] Not-for-profit corporation  (Is the not-for-profit corporation also a 501(c)(3))?  [] Yes  [] No  [] Other (please specify)
2. For legal entities, the state (or foreign of	country) of incorporation or organization, if applicable:
NORTH CAROLINA	
business in the State of Illinois as a foreign en	
[] Yes [X] No	[] N/A
B. IF THE DISCLOSING PARTY IS A LEG	GAL ENTITY:
NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s).  If the entity is a general partnership, limited partnership or joint venture, list below the name	all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below d partnership, limited liability company, limited liability ne and title of each general partner, managing member, atrols the day-to-day management of the Disclosing Party. ibmit an EDS on its own behalf.
Name	Title
NO MEMBERS see attached list of officers/directors	N .

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

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#### **Board of Trustees 2014-2015**

#### **Board Leadership**

David M. Rubenstein, Chair Co-Founder and Co-CEO, The Carlyle Group Washington, DC Term: 2005-2017

Richard H. Brodhead President, Duke University

Durham, NC

Vice President, DuPont

Wilmington, DE Term: 2003-2015 Jack O. Bovender, Jr., Vice Chair

Retired Chairman and CEO, Hospital Corporation of

America Nashville, TN Term: 2007-2015

Susan M. Stalnecker, Vice Chair

Anne T. Bass Fort Worth, Texas Term: 2003-2015

Christopher Brown

Business Analyst, McKinsey & Company

Houston, TX Term: 2014-2016

Paula H. Crown

Principal, Henry Crown & Company

Chicago, IL Term: 2003-2015

Kaveh Danesh

Graduate Student, Harvard

Term: 2013-2015

Katherine Duch Senior Analyst, Analyst Institute

Washington, DC Term: 2014-2015

Allyson Kay Duncan U.S. Circuit Judge Raleigh, NC Term: 2011-2017

Ralph Eads III

Vice Chairman, Jeffries & Company, Inc.

Houston, TX Term: 2009-2015

Frank E. Emory, Jr. Partner, Hunton & Williams

Charlotte, NC Term: 1998-2015

Paul Farmer

Co-Founder, Partners in Health

Cambridge, MA Term: 2009-2015

Robin A. Ferracone CEO, Farient Advisors LLC

Pasadena, CA Term: 2005-2017 Bruce A. Karsh

President, Oaktree Capital Management

Los Angeles, CA Term: 2003-2015

Elizabeth Kiss

President, Agnes Scott College

Atlanta, GA Term: 2007-2019

Michael Marsicano

President and CEO, Foundation for The Carolinas

Charlotte, NC Term: 2007-2019

Martha L. Monserrate

President, Environmental Excellence Engineering, PC

Rye, NY

Term: 2009-2015

Clarence G. Newsome

President, National Underground Railroad Freedom Center

Raleigh, NC Term: 2002-2019

Stephen G. Pagliuca

Managing Director, Bain Capital

Boston, MA Term: 2013-2019

Ann Pelham Chevy Chase, MD Term: 2014-2020

Robert R. Penn

President, Penn Resources, Inc.

Dallas, TX Tem: 2011-2019

Carmichael S. Roberts, Jr.

Partner, North Bridge Venture Partners

Waltham, MA Term: 2013-2019

Alan D. Schwartz

Executive Chairman, Guggenheim Partners, LLC

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Xiqing Gao

Former President, China Investment Corporation

Beijing, China Term: 2008-2019

Edward A. Gilhuly

Founding Partner, Sageview Capital, LP

Palo Alto, CA Tem: 2013-2019

Thomas M. Gorrie

T.M. Gorrie & Associates, LLC

Princeton, NJ Term: 2006-2017

Gerald L. Hassell

Chairman and CEO, BNY Mellon

New York, NY Term: 2011-2017

William A. Hawkins III

President and CEO, Immucor, Inc.

Atlanta, GA Term: 2011-2017

Janet Hill

Principal, Hill Family Advisors

Fairfax, VA Term: 2006-2015

Betsy D. Holden

Senior Advisor, McKinsey & Company

Chicago, IL

Term: 2011-2017

Executive Vice President, Salem Investment Counselors

Winston-Salem, NC Term: 2013-2015

Peter J. Kahn

Attorney-Partner, Williams & Connolly LLP

Washington, DC Term: 2009-2015 New York, NY Term: 2005-2017

Steven M. Scott

Chairman, Scott Holdings, LLC

Boca Raton, FL Term: 2014-2021

Laurene Sperling

President, Sperling Family Charitable Foundation

Wayland, MA Term: 2010-2019

Ashley Crowder Stanley United Methodist Minister

Asheville, NC Term: 2011-2017

Hope Morgan Ward

Bishop of the United Methodist Church

Raleigh, NC Term: 2010-2019

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interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the
		Disclosing Party
NONE		
SECTION III I	BUSINESS RELATIONSHIPS W	ITH CITY ELECTED OFFICIALS
	ing Party had a "business relationsh ty elected official in the 12 months	ip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed?
[]Yes	[X] No	
If yes, please iden relationship(s):	tify below the name(s) of such City	elected official(s) and describe such

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)			· · · · · · · · · · · · · · · · · · ·
k] Check here if the Disc	losing Party h	as not retained, nor expects to retain	, any such persons or entities
SECTION V CERTII	CATIONS	The second second	
A. COURT-ORDERED	CHILD SUPP	PORT COMPLIANCE	
the City must remain in c  Has any person who direct	ompliance wit ctly or indirect pport obligation	-415, substantial owners of business th their child support obligations thr tly owns 10% or more of the Disclos ons by any Illinois court of competer to person directly or indirectly owns	oughout the contract's term.  Sing Party been declared in  nt jurisdiction?
[]103 []10	Di	sclosing Party.	1078 of more of the
is the person in complian-	ce with that ag	court-approved agreement for paymoreement?	
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B. FURTHER CERTIFIC	CATIONS	the contract of the second	
consult for defined terms submitting this EDS is the certifies as follows: (i) ne with, or has admitted guil criminal offense involvin perjury, dishonesty or dec	(e.g., "doing le Applicant ar ither the Appl t of, or has ev g actual, attented against an	apter 1-23, Article I ("Article I") (who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is completed, or conspiracy to commit bribe officer or employee of the City or a less that compliance with Article I is	if the Disclosing Party hen the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery, ny sister agency; and (ii) the

doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

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- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
  - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
  - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
  - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
  - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
  - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
  - 3. The certifications in subparts 3, 4 and 5 concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

Certifications), the D	Disclosing Pa	•	a - 1	
N/A				
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7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
NONE
9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.
NONE
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is [X] is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

	the word "None," or no response ned that the Disclosing Party cert	appears on the lines above, it will be ified to the above statements.
D. CERTIFICATIO	ON REGARDING INTEREST IN	CITY BUSINESS
Any words or terms meanings when used	<del>-</del>	6 of the Municipal Code have the same
	nancial interest in his or her own	Municipal Code: Does any official or employee name or in the name of any other person or
NOTE: If you check Item D.1., proceed to		to Items D.2. and D.3. If you checked "No" to
elected official or en any other person or for taxes or assessm "City Property Sale"	nployce shall have a financial in entity in the purchase of any proj ents, or (iii) is sold by virtue of l	ive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D.
Does the Matter inv	olve a City Property Sale?	
[]Yes	[x] No	
	ed "Yes" to Item D.1., provide the es having such interest and ident	e names and business addresses of the City ify the nature of such interest:
Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
The gold attended to the first of the state
Is the Disclosing Party the Applicant?
[] Yes
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes  [] No
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
[]Yes []No
If you checked "No" to question 1. or 2. above, please provide an explanation:
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# SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

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- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

DUKE UNIVERSITY	
(Print or type name of Disclosing Party)	
By: \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	on flue
(Sign here)  Alice E. Gould Investment Manager	David R. Shumate Executive Vice President
DUMAC, Inc.  Authorized Agent	DUMAC, Inc. Authorized Agent
(Print or type name of person signing)	
(Print or type title of person signing)	
	;
Signed and sworn to before me on (date) February 9, 2015, at <u>DUVNary</u> County, <u>North Carolina</u> (state).	HALEY SUITT
Modern Public.	NOTARY PUBLIC GRANVILLE COUNTY, NC MY COMMISSION EXPIRES 4 2 18
Commission expires: 9/2/2018	SSIMOODIC EARINES 4 2 18

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

#### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

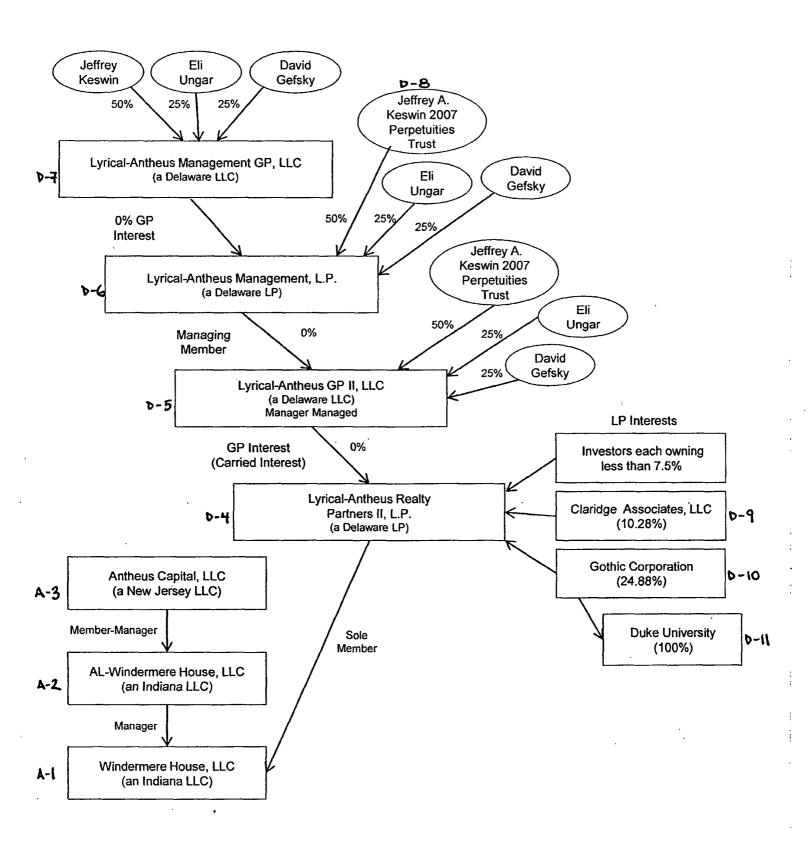
"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ] Yes	[X] No	
such person is connec	•	ch person, (2) the name of the legal entity to which sed city official or department head to whom such e of such familial relationship.
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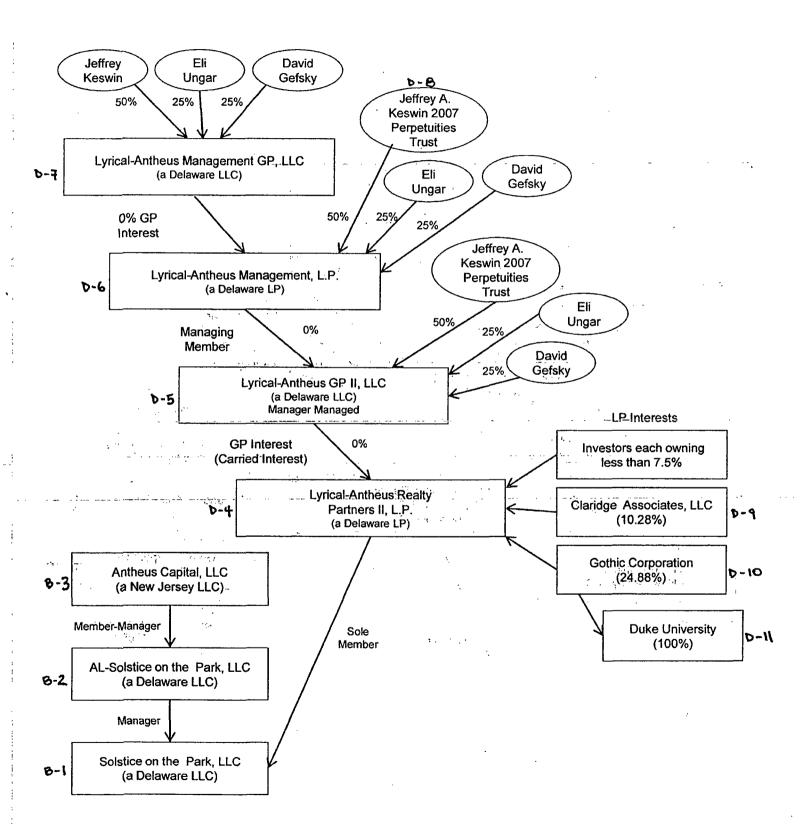
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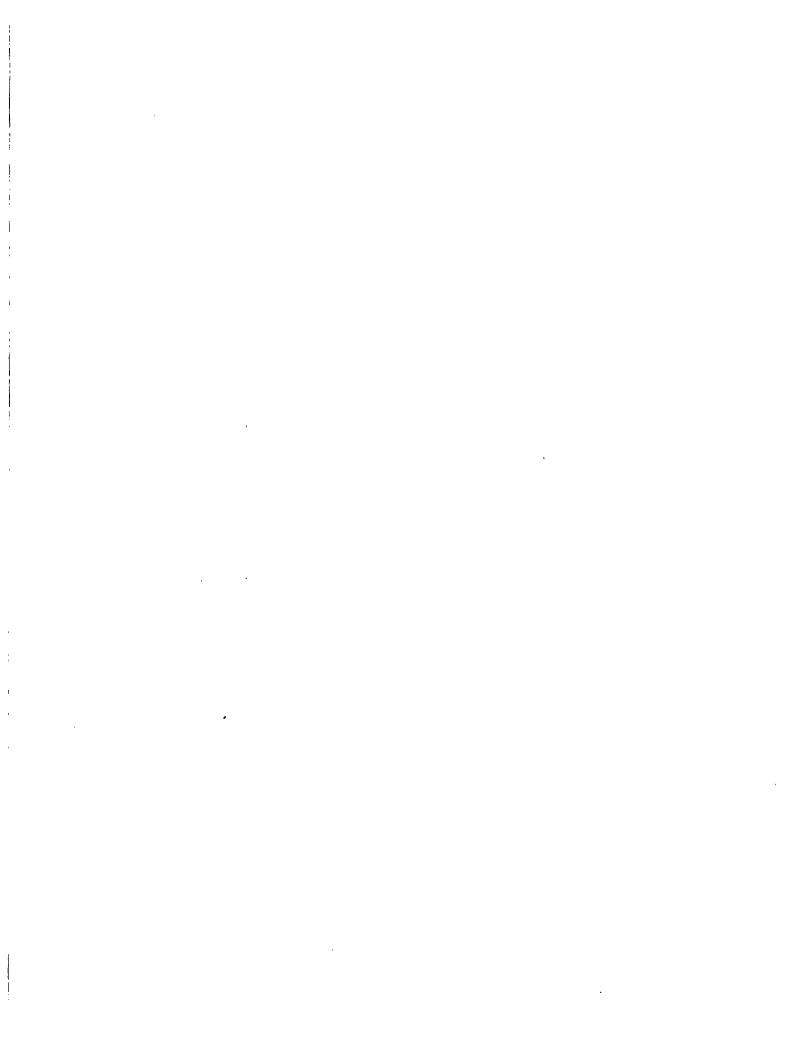
# Windermere House, LLC Organizational Structure



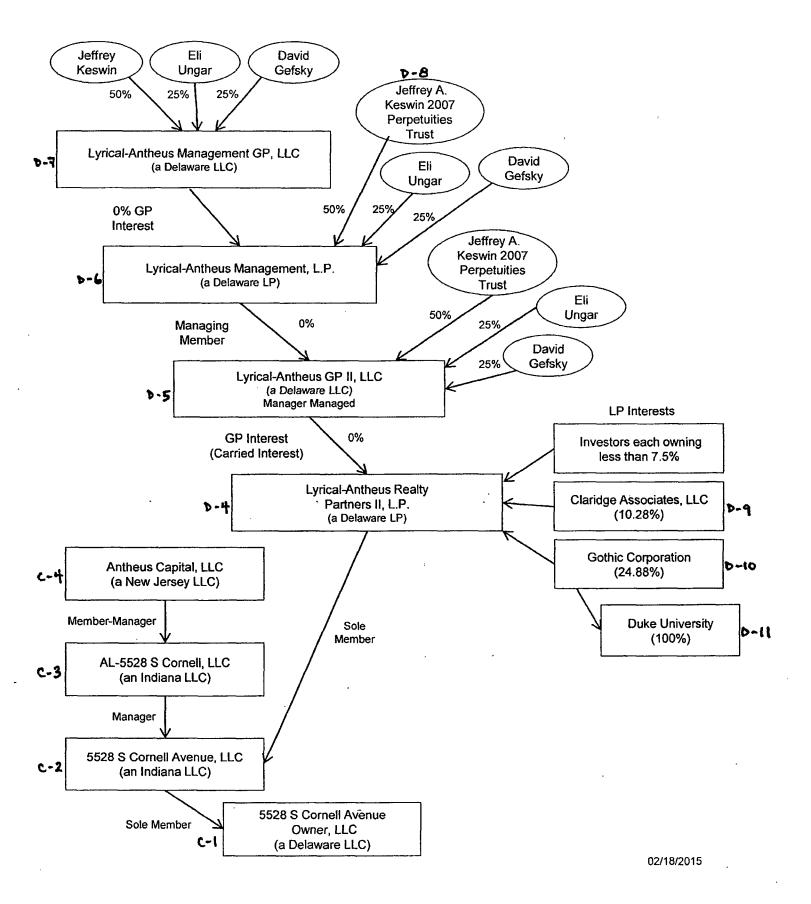
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# Solstice on the Park, LLC Organizational Structure





# 5528 S Cornell Avenue Owner, LLC Organizational Structure



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SOLSTICE ON THE PARK, LLC: WINDERMERE HOUSE, LLC: 5528 S CORNELL AVENUE OWNER; LLC

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Generally, for use with City Council matters. Not for City	procurements unless requeste Amendment of Residential Busi	d.ale
This recertification is being submitted in connection with	Planned Development 282.	
[identify the Matter]. Under penalty of perjury, the person	signing below: (1) warrants the	iat
he/she is authorized to execute this EDS recertification on warrants that all certifications and statements contained in	behalf of the Disclosing Party	, (2) 1 EDS
are true, accurate and complete as of the date furnished to	the City and continue to be tru	e,
accurate and complete as of the date of this recertification,	and (3) reaffirms its	
acknowledgments.		
WINDERMERE HOUSE, LLC	Date: January 27, 2016	
(Print or type legal name of Disclosing Party)	BY: AL-WINDERMERE HOUS	E, LLC
By	ITS MANAGER	
	BY: ANTHEUS CAPITAL LLC	
(sign.bere)		
	BY: DAVID GEFSKY ITS MANAGING MEMBER	
Print or type name of signatory:		
DAVID GEFSKY		
Title of signatory:		
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Signed and sworn to before me on [date]	20/6, by	
DAVID GEFSKY at KERRELL Count	y, <u>New Appsel</u> [state].	(10520 20 (25. Inde
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SOLSTICE ON THE PARK, LLC: WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC

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## RECERTIFICATION

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Amendment of Residential Business
This recertification is being submitted in connection with Planned Development 282.

AL-WINDERMERE HOUSE, LLC	Date: January 27, 2016
(Print or type legal name of Disclosing Party)  By:	BY: ANTHEUS CAPITAL ITS SOLE MEMBER BY: DAVID GEFSKY ITS MANAGING MEMBER
(sign here)	
Print or type name of signatory:  DAVID GEFSKY	
Title of signatory:	
MANAGING MEMBER	
Signed and sworn to before me on [date] 1AU 27	III by
Notary Pub	
Commission expires: Obsolo	· 清本、群岛位出

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ID # 2350452

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SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC

SOLSTICE ON THE PARK, LLC

(Print or type legal name of Disclosing Party)

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[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original ED

he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Date: January 27, 2016

By: 🔨	BY: AL-SOLSTICE ON THE PARK, LLC ITS MANAGER
	BY: ANTHEUS CAPITAL, LLC ITS SOLE MEMBER
(sign here)	BY: DAVID GEFSKY ITS MANAGING MEMBER
Print or type name of signatory:  DAVID GEFSKY	
Title of signatory:	
MANAGING MEMBER	
Signed and sworn to before me on [date] [At	) . 27,206 , by County, New Jease 10 [state].
DAUS DEFERIA SALKEPOEN N	otary Public.
Commission expires: 9/28/2016	
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SOLSTICE ON THE PARK, LLC WINDERMERE HOUSE, LLC: 5528 S CORNELL AVENUE OWNER, LLC

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AL-SOLSTICE ON THE PARK, LLC	Date: January 27, 2016
(Print or type legal name of Disclosing Party)	
	BY: ANTHEUS CAPITAL
By: /dz	ITS SOLE MEMBER
	BY: DAVID GEFSKY
	ITS MANAGING MEMBER
(sign here)	
Print or type name of signatory:	
DAVID GEFSKY	
Title of signatory:	
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Commission expires 9/08/2014	
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Ver. 11-01-05	

SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; 5528'S CORNELL-AVENUE OWNER; LLC

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Ver. 11-01-05

MAURA FEEREY ID 0 2350452 IOTARY FUBLICOF RESVIERSEV Timmission Explires 9/28/20. (C

SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC

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5528 S, CORNELL AVENUE LLC	Date: January 27; 2016
(Print or type legal name of Disclosing Party)	
By: V	BY: AL-5528 S CORNELL, LLC ITS MANAGER
	BY: ANTHEUS CAPITAL, LLC
(sign/here)	BY: DAVID GEFSKY
Print or type name of signatory:	
DAVID GEFSKY	
Title of signatory:	
MANAGING MEMBER	
Signed and sworn to before me on [date] 740 27,	20/6 5by
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Commission expires: 4/28/2015	
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AL-5528 S CORNELL, LLC	Date: January 27, 2016
(Print or type legal name of Disclosing Party)	
	BY: ANTHEUS CAPITAL
By:	ITS SOLE MEMBER
	BY: DAVID GEFSKY
	ITS MANAGING MEMBER
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MANAGING MEMBER	
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MOULO FORDO Notas	y Public.
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Commission expires: 4/28/2016	
MAURA FEENEY	

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Ver. 11-01-05

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### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested

Amendment of Residential Business

This recertification is being submitted in connection with Planned Development 282.

[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

LYRICAL-ANTHEUS MANAGEMENT, LP	Date: January 27, 2016	
(Print or type legal name of Disclosing Party)		SEMENT OR I
By:	BY: LYRICAL-ANTHEUS MANA ITS GENERAL PARTNER	GEMENT GP, L
	BY: DAVID GEFSKY ITS MANAGING MEMBER	
(sign here)		
Print or type name of signatory:		n'a
DAVID GEFSKY		
Title of signatory.		
MANAGING MEMBER		
Signed and swom to before me on [date] JAN  [DAU ] GFFSKU at FROEN	27 2016 by County, NEW JERSO State	
	y Public Hamma	

Ver. 11-01-05

Commission expires:

MAUHA FEENEY ID & 2350452 NOTARY FURLICOFNEW IERSEY Commission Envires 9/28/20.1.

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Planned Development 282.

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LYRICAL-ANTHEUS MANAGEMENT GP, LLC		Date: Jan	uary 27, 201	<u> </u>
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Print or type name of signatory:				
DAVID GEFSKY 42				
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Title of signatory		ited to the same	E II.	
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MANAGING MEMBER				
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Signed and sworn to before me on [date]	u'\ Counts	N.	Te per state	el. v
Maina Florida	Notary Public	9-1 3111 Pm	$\mathcal{U}_{i}$	
<b>"大大大大"的"大"的"大"的"大大大大大大大大大大大大大大大大大大大大大大大</b>			(文學)	A CONTRACTOR
Commission expires: 4/28/2016	ا ایت			
MAURA FEENEY	Bar de E.	Paligri		*
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Ver. 11-01-05 Commission Brokes 9720-2014			274	
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Amendment of Residential Business

SOLSTICE ON THE PARK, LLC; WINDERMERE HOUSE, LLC; 5528 S CORNELL AVENUE OWNER, LLC

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

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Planned Development 282. This recertification is being submitted in connection with [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this KDS recortification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments. JEFFREY A. KESWIN 2007 PERPETUITIES TRUST (Print or type legal name of Disclosing Party) (sign liere) Print or type name of signatory: Title of signatory: ONOR OWE INVESTMENT TUSTER Signed and sworn to before me on [date] . INVOIN 19 7016 by Jely Volk County, **Notary Public** Commission expires: JENNIFER BLITSHTEIN Ver. 11-01-01 Sotory Public - State of New York NO. 01BL6241132 Qualified in New York County My Commission Expires May 16, 2019

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VINDERMERE HOUSE, LLC: 5528 S CORNELL AVENUE OWNER, LLC

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CLARIDGE ASSOCIATES, LLC		Date:	20/16
(Print or type legal name of Disclosing	Party)		
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Title of signatory:			
Managing Memb	· 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.		
Signed and sworn to before me on [c		ty, Ny	[state].
Wandle & Line	Notary Pub	lic.	
Commission expires:			
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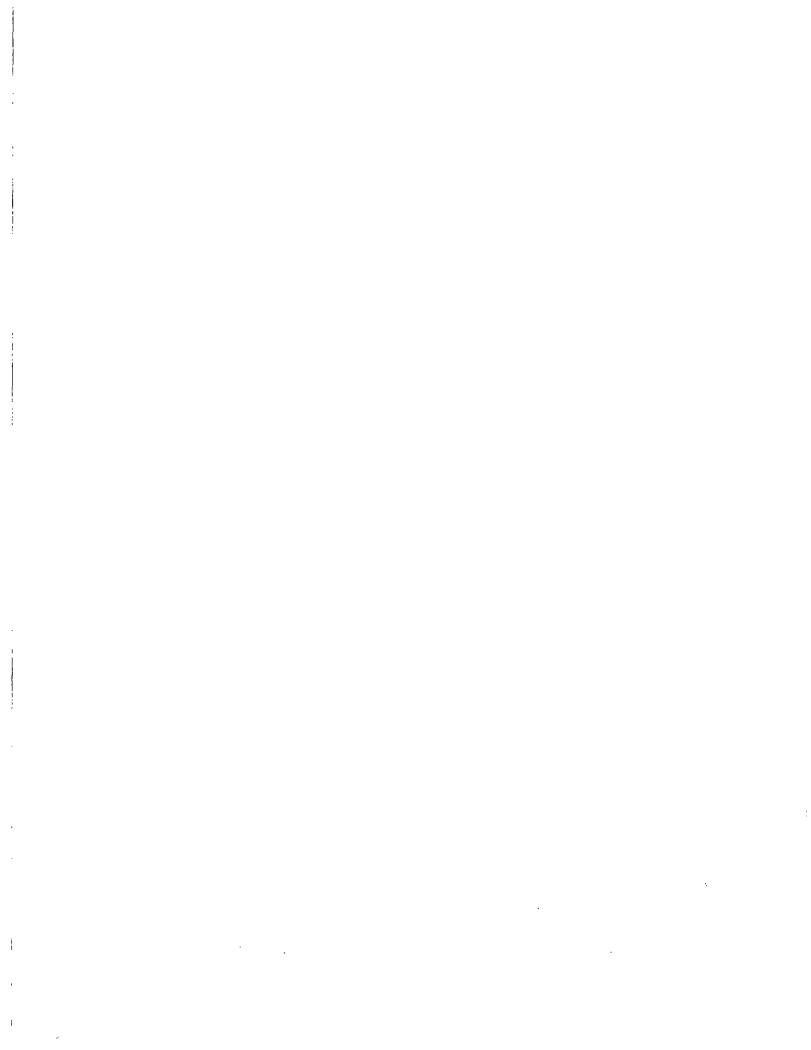
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Amendment of Residential Business
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[identify the Matter]. Under penalty of penjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its

acknowledgments. **GOTHIC CORPORATION** (Print or type legal name of Disclosing Party) (sign here) Print or type name of signatory: Claire E. Fefer David R. Shumate Investment Manager Executive Vice President DUMAC, Inc. DUMAC, Inc. Authorized Agent **Authorized Agent** Title of signatory: Signed and sworn to before me on [date] 01/12/12/16 United teles and Will to the male at Auchien Notary Public. Commission expires: 05/30/2020 MARIE BOURLOT Notary Public - North Carolina Ver. 11-01-05 Moore County My Commission Expires of



(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If mable to recertify your erro prior to summassion to the control of on the case of closing. It unable to

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Signed and swom to before me on [date] Commission expires: 06/50/1902

MARIE BOURLOT. Notary Public - North Carolina Moore County My Commission Expires 04/50/2020

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