

City of Chicago



SO2015-6430

Office of the City Clerk

Document Tracking Sheet

Meeting Date:

9/24/2015

Sponsor(s):

Misc. Transmittal

Type:

Ordinance

Title:

Zoning Reclassification Map No. 7-H at 2820-2996 N Clybourn Ave, 2000-2144 W Diversey Pkwy, 2007-2141 W Diversey Pkwy, 2601-2953 N Leavitt Ave, 2800-2888 N Leavitt Ave, 2601-2769 N Hoyne Ave, 2600-2768 N Hoyne Ave, 2600-2800 N Damen Ave and 2201-2223 W Oakdale

Ave - App No. 18535

Committee(s) Assignment:

Committee on Zoning, Landmarks and Building Standards

SUBSTITUTE

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. That the Chicago Zoning Ordinance be amended by changing all of the RT-4 Residential Two-Flat, Townhouse and Multi-Unit District and POS-2 Neighborhood Park, Mini-Park or Playlot symbols and indications as shown on Map 7-H in the area bounded by:

North Clybourn Avenue; West Diversey Parkway; North Damen Avenue; the northeast boundary line of the North Branch of the Chicago River; the east boundary line of the North Branch of the Chicago River; a line 199.48 feet west of and almost parallel to the west line of North Leavitt Street (as measured along the south line of west Oakdale Avenue); a line 145 feet south of an parallel to West Oakdale Avenue; a line approximately 225.29 feet west of and parallel to North Leavitt Street; West Oakdale Avenue; North Leavitt Street

to those of a C2-3 Motor Vehicle-Related Commercial District.

SECTION 2. That the Chicago Zoning Ordinance be amended by changing all of the C2-3 Motor Vehicle-Related Commercial District symbols and indications as shown on Map 7-H in the area bounded by:

North Clybourn Avenue; West Diversey Parkway; North Damen Avenue; the northeast boundary line of the North Branch of the Chicago River; the east boundary line of the North Branch of the Chicago River; a line 199.48 feet west of and almost parallel to the west line of North Leavitt Street (as measured along the south line of west Oakdale Avenue); a line 145 feet south of an parallel to West Oakdale Avenue; a line approximately 225.29 feet west of and parallel to North Leavitt Street; West Oakdale Avenue; North Leavitt Street

to those of a Waterway-Residential-Business Planned Development.

SECTION 3. This Ordinance shall be in force and effect from and after its passage and publication.

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and 2201-2223 West Oakdale Avenue

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WATERWAY RESIDENTIAL BUSINESS PLANNED DEVELOPMENT NO.

- 1. The area delineated herein as Waterway Residential Business Planned Development Number ("Planned Development") consists of approximately 1,265,781 square feet of net site area (after right-of-way adjustments contemplated herein) together with certain portions of adjacent rights-of-way, as depicted on the attached Planned Development Boundary and Property Line Map ("Property"). Lathrop Community Partners is the "Applicant" and has requested this Planned Development with the authorization of the owner of the property, the Chicago Housing Authority. This Planned Development is divided into Subareas (each, a "Subarea" and collectively, the "Subareas") as indicated on the attached Subarea Map.
- 2. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation ("CDOT") on behalf of the Applicant or its successors, assign or grantees. Proposed right-of-way adjustments are shown in the attached "Right of Way Adjustment Map," including the proposed dedication of approximately 100,814 square feet of new right-of-way and the vacation of approximately 121,663 square feet of existing right-of-way. To the extent CDOT determines that compensation is payable to the City by the Applicant for existing right-of-way to be vacated, the Applicant shall receive credit on a square footage basis for all right-of-way to be dedicated in determining such compensation.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Department of Planning and Development (the "Department") and CDOT. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of CDOT.

All work proposed in the public way must be designed and constructed in accordance with the CDOT Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago. Prior to the issuance of any Part II approval, the submitted plans must be approved by CDOT.

Prior to the issuance of any Part II approval for Phase 2 or 3 as shown on the Preliminary Phasing Plan, the Applicant shall submit a traffic study that contemplates the full extent of the proposed development and which details the anticipated vehicular and pedestrian impact of such project on both the subject site and area infrastructure. Review and approval of such

Applicant:

Lathrop Community Partners

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

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traffic study by CDOT is intended to ensure that the proposed development will not establish an improperly mitigated burden on the existing infrastructure. If any modifications are needed, the study shall detail the specific improvements and necessary infrastructure upgrades, which shall be fully funded by the Applicant.

- 3. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessees. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessees. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 4. This Plan of Development consists of these 21 Statements, a Bulk Regulations Table and the following plans all prepared by Farr Associates and dated February 18, 2015 (collectively, the "Plans"):
 - a. Existing Zoning Map
 - b. Existing Land-Use Map
 - c. Planned Development Boundary and Property Line Map
 - d. Right of Way Adjustment Map
 - e. Sub-Area Map
 - f. Generalized Land-Use Plan
 - g. Site Plan Phase 1 Buildings N01, N02, N03
 - h. Site Plan Phase 1 Buildings N04, N05, N06, N07
 - i. Site Plan Phase 1 Buildings N08, N09, N10, N11, S101
 - j. Site Plan Phase 1 Buildings N12, N13
 - k. Site Plan Phase 1 Buildings N11, N14
 - 1. Preliminary Phasing Plan
 - m. Phase 1 New Construction Elevations
 - n. Landscape Exhibits
 - o. Bulk Table

Where applicable, full-sized copies of the Plans are on file with the Department. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the

Applicant:

Lathrop Community Partners

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.

5. The following uses are permitted in this Planned Development:

Sub-Area 1:

Residential: Multi-Unit (on and above ground floor); Townhouse;

Public and Civic: Parks and Recreation; Community Centers, Recreation Buildings and Similar Assembly Use;

Uses limited to Residential Building basements (in addition to the uses permitted for Sub-Area 1):

Commercial: Artist Work or Sales Space; Business Support Services; Communication Service Establishment; Office; Residential Storage;

Industrial: Artisan Manufacturing, Production and Industrial Services; Limited Manufacturing.

Uses limited to the "Potential Commercial Building" shown on the Site Plan - Phase 1 -Buildings N04, N05, N06, N07 Plan (see Exhibit 9) (in addition to the uses permitted for Sub-Area 1 and the Residential Building basements):

Public and Civic: Cultural Exhibits; Day Care; Parks and Recreation (including, without limitation, community centers, recreation buildings and similar assembly uses);

Commercial: Artist Work or Sales Space; Eating and Drinking Establishments (including, without limitation, Limited and General Restaurant; and Outdoor Patio (at-grade and rooftop)); Office; Personal Service; Retail Sales, General, not to exceed 4,035 square feet; Participant Sports and Recreation, indoor (including, without limitation, Children's Play Center); and Business Live/Work Unit.

The following uses are excluded: Recycling Facilities, Warehouse, Wholesale and Freight Movement.

Applicant:

Lathrop Community Partners

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769

North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

Sub-Area 2:

Residential: Artist Live/Work Space (on and above ground floor); Multi-Unit (on and above ground floor); Elderly Housing; Assisted Living; Nursing Home.

Public and Civic: Cultural Exhibits and Libraries; Day Care; Parks and Recreation (including, without limitation, community centers, recreation buildings and similar assembly uses).

Commercial: Artist Work or Sales Space; Eating and Drinking Establishments (including, without limitation, Limited and General Restaurant; and Outdoor Patio (at-grade and rooftop)); Food and Beverage Retail Sales (including, without limitation, accessory liquor sales but excluding liquor stores); Retail Sales, General; Participant Sports and Recreation, indoor and outdoor (including, without limitation, Children's Play Center); and Business Live/Work Unit.

Industrial: (limited to Residential Building basements) Artisan Manufacturing, Production and Industrial Services; Limited Manufacturing.

Sub-Area 3:

Residential: Artist Live/Work Space (on and above ground floor); Multi-Unit (on and above ground floor); Elderly Housing; Assisted Living; Nursing Home.

Sub-Area 4:

Residential: Artist Live/Work Space (on and above ground floor); Multi-Unit (on and above ground floor), Elderly Housing; Assisted Living; Nursing Home.

Public and Civic: Cultural Exhibits and Libraries; Day Care; Parks and Recreation (including, without limitation, community centers, recreation buildings and similar assembly uses).

Commercial: Artist Work or Sales Space; Building Maintenance Services; Business Support Services; Communication Service Establishment; Drive-Through Facility (subject to approval of CDOT and Site Plan Approval); Eating and Drinking Establishments (including, without limitation, Limited and General Restaurant; Tavern; and Outdoor Patio (at-grade and rooftop)); Financial Services; Food and Beverage Retail Sales (including, without limitation, Liquor Store (packaged goods); and Liquor Sales (accessory)); Lodging (including, without limitation, Bed and Breakfast; and Vacation Rental); Medical Service; Office; Accessory; Personal Service (including, without limitation, Hair Salon; Nail Salon; Barbershop; Massage

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North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

September 24, 2015

Establishment; Repair or Laundry Service (including, without limitation, Dry Cleaning; and Laundromat); Retail Sales, General; Participant Sports and Recreation, indoor (including, without limitation, Amusement Arcade; Children's Play Center); Small and Medium Venues; Valuable Objects Dealer; and Business Live/Work Unit.

Industrial: Artisan Manufacturing, Production and Industrial Services; Limited Manufacturing.

Sub-Area 5:

Residential: Artist Live/Work Space (on and above ground floor); Multi-Unit (on and above ground floor); Elderly Housing; Assisted Living; Nursing Home.

Public and Civic: Cultural Exhibits and Libraries; Day Care; Parks and Recreation (including, without limitation, community centers, recreation buildings and similar assembly uses).

Commercial: Artist Work or Sales Space; Building Maintenance Services; Business Support Services; Communication Service Establishment; Drive-Through Facility (subject to approval of CDOT and Site Plan Approval); Eating and Drinking Establishments (including, without limitation, Limited and General Restaurant; Tavern; and Outdoor Patio (at-grade and rooftop)); Financial Services; Food and Beverage Retail Sales (including, without limitation, Liquor Store (packaged goods); and Liquor Sales (accessory)); Lodging (including, without limitation, Bed and Breakfast; Hotel/Motel; and Vacation Rental); Medical Service; Office; Accessory; Personal Service (including, without limitation, Hair Salon; Nail Salon; Barbershop; Massage Establishment; Repair or Laundry Service (including, without limitation, Dry Cleaning; and Laundromat); Retail Sales, General; Participant Sports and Recreation, indoor and outdoor (including, without limitation, Amusement Arcade; Children's Play Center); Small and Medium Venues; Valuable Objects Dealer; and Business Live/Work Unit.

Industrial: Artisan Manufacturing, Production and Industrial Services; Limited Manufacturing.

The following uses are excluded within this planned development: Recycling Facilities, Warehouse, Wholesale and Freight Movement.

6. Notwithstanding anything in this Planned Development to the contrary, the maximum aggregate retail square footage in each Subarea shown on the Subarea Plan shall be as follows:

Applicant:

Lathrop Community Partners

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North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

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Introduced:

September 24, 2015

- a. Subarea 1: 4,035 sf
- b. Subarea 2: 0 sf
- c. Subarea 3: 0 sf
- d. Subarea 4: 42,000 sf, Provided no building shall have a single retail tenant larger than 15,000 square feet within any subarea.
- e. Subarea 5: 5,000 square feet; provided, however, that additional retail square footage for Subarea 5 may be expanded for the southernmost "Powerhouse Building" upon review and approval by the Department of Planning and Development.
- 7. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Off-Premise signs are prohibited within the boundary of the Planned Department. Development.
- 8. All ingress and egress shall be subject to the review and approval of CDOT and the Department. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of CDOT. All work proposed in the public way must be designed and constructed in accordance with the CDOT Construction Standards for Work in the Public Way and in compliance with the Municipal Code of the City of Chicago, and must be designed in accordance with the CDOT Street and Site Plan Design Standards and follow the principles and practices of a Complete Streets design approach. dedication, opening or vacation of public streets, alleys or easements or any adjustment of the public right-of-way contained within a particular Part II submittal shall be approved by CDOT and City Council as described in Statement 2.

The Applicant agrees to modify the traffic signal at Diversey and Leavitt to provide countdown pedestrian signal indications and a leading eastbound left turn arrow prior to the issuance of the Certificate of Occupancy for any building in Subarea 1.

- 9. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 10. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR

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Introduced:

September 24, 2015

identified in the Bulk Regulations Table has been determined using a Net Site Area of 1,265,781 square feet.

- 11. The Applicant shall obtain approvals from MWRD as may be required in order to release any stormwater from the development into the Chicago River. Stormwater detention facilities on the property shall be privately owned and maintained.
- 12. The Applicant acknowledges the importance of the Chicago River as a resource for both commerce and recreation and also acknowledges the City's goals of improving the appearance, quality and accessibility of the river, as contained in the waterway planned development guidelines contained in the Zoning Ordinance (Section 17-8-0912) and the Chicago River Corridor Design Guidelines and Standards. To further these goals, the Applicant agrees, as set forth in the Plans, to: (a) provide a minimum 30-foot building setback from the river as measured from the legal property line, which will include a continuous riverwalk as shown on the Landscape Plans, provided, however, that all existing buildings to remain shall be permitted to encroach on the 30-foot setback and (b) permit connection of such riverwalk and landscaping with similar facilities when properties adjacent to such portions of the riverwalk are improved or redeveloped. The Applicant shall permit un-gated public access to the river setback and provide signage on the riverwalk indicating that the riverwalk is open to the public during typical Chicago Park District hours. All riverwalk and landscaping improvements within the river setback must be substantially completed within twenty-four (24) months of the issuance of all necessary permits by all governmental or quasi-governmental authorities having jurisdiction over such improvements including, without limitation, the Army Corps of Engineers, Metropolitan Water Reclamation District, IDNR-OWR, Coast Guard and CDOT, and provided that planting may be delayed due to delays or inability to perform such acts due to causes beyond the reasonable control of the Applicant and provided that completion may be delayed if consistent with good landscape practice or if necessary to accommodate construction of later phases of development, but not longer than one year following receipt of the final occupancy certificate.

In addition to the riverwalk, and subject to the receipt of all necessary permits and approvals, the Applicant or its successors and assigns, at its sole cost, shall design and construct the open space improvements as depicted on the Landscape Plan (hereinafter the "Park"). The Applicant may enter into an agreement with the Chicago Park District relating to the ownership and maintenance of portions of the Park and, upon entering into such agreement, the Applicant shall provide evidence of such agreement to the Department of Planning and Development for inclusion in the main file for this Planned Development. Upon completion of the Park, the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors, shall be responsible for maintaining and managing

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Introduced:

September 24, 2015

those portions of the Park that are not subject to an agreement with the Chicago Park District (the "Privately Maintained Park") for the purposes set forth herein, including ensuring that the Privately Maintained Park's landscaping is well maintained, that the vegetation and plantings are kept in a healthy condition and that the Privately Maintained Park facilities are clean, well lit, litter free and clear of snow (hardscaped areas) and debris. The maintenance and management obligations contained herein shall continue for the life of this Planned Development and may, at the Applicant's election, be delegated or assigned to a homeowners association or master association. The Privately Maintained Park shall be privately owned, maintained and, subject to occasional partial closure for private use but subject to the foregoing, shall remain accessible to the public during hours in which public parks are accessible to the public. All improvements within the Park must be substantially completed within the eighteen (18) month period set forth in this Statement 12 above, provided that plantings may be delayed if consistent with good landscape practice, but not longer than one year following the construction of the open space improvements set forth herein. Signage shall be placed at all park entries indicating the Park is open to residents of the Planned Development and the general public, free of charge.

- 13. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.
- 14. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 15. Prior to the Part II Approval (per Section 17-13-0610 of the Zoning Ordinance) for construction of new buildings (with the exception of buildings substantially conforming to the Plans for Buildings N101 and S101, incorporated herein), site plan approval by the Department and site plan review by the Chicago Plan Commission shall be required in accordance with this Statement 15. In the instance the applicant does not secure approval to demolish the existing buildings located in the areas identified on the plans as occupied by Buildings N101 and S101 and as depicted on PD Exhibit No. 10 Site Plan review shall be required. Any modification of the existing facades and exterior work shall be reviewed by the Department of Planning and Development.

The Applicant shall submit a site plan, landscape plan, building elevations and a site data table for the building(s) and sub-area(s) in question for review by the Department (collectively, "Site Plans"). The site data table shall contain the following data for the

Applicant:

Lathrop Community Partners

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2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769

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Introduced:

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proposed building(s) as compared to the parameters for the applicable sub-area(s) as set forth in the Bulk Regulations and Data Table:

- a. Gross Site Area;
- b. Net Site Area;
- c. FAR floor area of each proposed building;
- d. Maximum building height;
- e. Number of dwelling units;
- f. Number of parking spaces; and
- g. Other data as may be required by the Department to demonstrate compliance with this Planned Development.

If, after review, the Commissioner determines that the Site Plans are not in substantial compliance with the Planned Development, then the Commissioner shall advise the Applicant in writing of the ways in which the Site Plans do not so comply. If, after review, the Commissioner determines that the Site Plans are in substantial compliance with the Planned Development, such Site Plans must then be reviewed by the Chicago Plan Commission at a public meeting but shall not require review or approval by the City Council. Following the Chicago Plan Commission review the Zoning Administrator shall issue written approval of the applicable Site Plans. Following such approval, the approved Site Plans shall be made part of the main file and shall be deemed to be an integral part of this Planned Development.

Review and approval of Site Plans is intended to assure that specific development components substantially conform with the Planned Development and to assist the City in monitoring ongoing development. Site plan approval submittals need only include that portion of the Property for which approval is being sought by the Applicant. After approval of a site plan, changes or modifications may be made pursuant to the provisions of Statement 17. In the event of any inconsistency between approved plans and the terms of the Planned Development, the terms of the Planned Development shall govern.

- 16. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Environment and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
- 17. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any

Applicant:

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Introduced:

ground lessees. Specifically, but without limitation, in the instance that the Applicant does not secure approval to demolish the existing buildings located in the areas on the plans occupied by Buildings N101 and S101 as provided in Statement 15 above, the Department of Planning and Development is authorized to transfer FAR floor area, dwelling units and other development rights herein between and among sub-areas 2, 4 and 5 and to revise the Bulk Regulations and Data Table accordingly, provided the total FAR and number of dwelling units shall not be exceeded.

- 18. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 19. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all new buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. At the time of site plan approval, all new buildings must demonstrate substantial compliance with the current City of Chicago Sustainable Development Policy set forth by the Planning and Development. All buildings will achieve LEED or other building certification and a 50% green roof will be required on all new construction buildings.
- 20. The Applicant acknowledges and agrees that the rezoning of the Property from the RT-4 Residential Two-Flat, Townhouse and Multi-Unit District and POS-2 Neighborhood Park, Mini-Park or Playlot District to the C2-3 Motor Vehicle-Related Commercial District, then to a Waterway-Residential-Business Planned Development for construction of this Planned Development will trigger the requirements of Section 2-45-110 of the Municipal Code (the "Affordable Housing Ordinance"). Any developer of a "residential housing project" within the meaning of the Affordable Housing Ordinance ("Residential Project") must: (i) develop affordable housing units as part of the Residential Project; (ii) pay a fee in lieu of the development of affordable housing units; or (iii) any combination of (i) and (ii). accordance with these requirements, the Applicant has agreed to provide affordable housing units and/or make a cash payment-to the Affordable Housing Opportunity Fund, each in accordance with the requirements set forth in the Affordable Housing Ordinance. At the time of each Part II review, Applicant must submit an Affordable Housing Profile Form to the Department of Planning and Development ("DPD"), in DPD's then-current form, for purposes of determining the number of required affordable units and/or the amount of the in lieu cash payment for each residential building in the Planned Development. Prior to the issuance of any building permits for any residential buildings in the Planned Development,

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Introduced:

September 24, 2015

including, without limitation, excavation or foundation permits, the Applicant must either make the required cash payment, or execute an Affordable Housing Agreement in accordance with Section 2-45-110(i)(2). The terms of the Affordable Housing Agreement and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the Affordable Housing Agreement will be recorded against the Property (or the applicable portion thereof) and will constitute a lien against each required affordable unit. The City shall execute partial releases of the Affordable Housing Agreement prior to or at the time of the sale of each affordable unit to an income-eligible buyer at an affordable price, in accordance with the Affordable Housing Ordinance, subject to the simultaneous execution and recording of a mortgage, restrictive covenant or similar instrument against such affordable unit. The Commissioner of DPD may enforce remedies for breach of the Affordable Housing Agreement, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the Planned Development.

Notwithstanding the foregoing, if the Applicant receives Low-Income Housing Tax Credits, tax increment financing or other financial assistance whose affordability requirements exceed those of the Affordable Housing Ordinance from the City to develop affordable housing in any portion of the Planned Development, the regulatory, redevelopment, loan or other agreement(s) to be executed by the City and the Applicant in connection with such tax credits or other financial assistance shall govern and control the Applicant's obligation to provide affordable housing for such subsidized portion of the Planned Development in lieu of the requirements set forth in this Statement 20.

21. Construction of the improvements contemplated by this Planned Development may be completed in phases over a period of years. In accordance Section 17-13-0612 of the Chicago Zoning Ordinance, it is acknowledged that commencement and completion of certain phases may exceed the periods set forth in Section 17-13-0612 of the Chicago Zoning Ordinance. Unless construction of Phase 1 has commenced within six years following adoption of this Planned Development (subject to extension for one additional year as set forth in Section 17-13-0612 of the Chicago Zoning Ordinance), then this Planned Development shall expire, and the zoning of the Property shall automatically revert to the C2-3 Motor Vehicle-Related Commercial District.

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2201-2223 West Oakdale Avenue

Introduced:

WATERWAY RESIDENTIAL-BUSINESS PLANNED DEVELOPMENT NO. ___ OVERALL BULK REGULATIONS AND DATA TABLE

1,730,722

Gross Site Area (sf):

Gross Site Acreage 39.7

ROW Area (sf) 464,941

10.6 **ROW** Acreage

1,265,781 Net Site Area (sf)

29.1 **Net Site Acreage**

Maximum FAR¹ 1.2

Maximum FAR Floor Area (sf)¹ 1,518,937

Per Site Plan Approval Minimum Setbacks

1,208 Maximum # Dwelling Units

Minimum # Loading Berths Per Site Plan Approval

Minimum # Residential Parking Spaces 821

Required²

includes basement areas from existing buildings 0.68 spaces per unit blended parking ratio

Applicant:

Lathrop Community Partners, LLC

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

September 24, 2015

SUB-AREA 1 BULK REGULATIONS AND DATA TABLE

Gross Site Area (sf): 928,696

Gross Site Acreage 21.3

ROW Area (sf) 230,781

ROW Acreage 5.3

697,915 Net Site Area (sf)

16.0 Net Site Acreage

Maximum FAR¹ 0.88

Maximum FAR Floor Area (sf)¹ 591,798

Maximum Building Height 42 feet

Minimum Setbacks Per Attached Site Plan

Maximum # Dwelling Units 504

Minimum # Loading Berths Per Attached Site Plan

Minimum # Residential Parking Spaces

Required²

includes basement areas from existing buildings

Applicant:

Lathrop Community Partners, LLC

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West

158

Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

September 24, 2015

^{0.34} spaces per unit blended parking ratio for Sub-area 1

SUB-AREA 2 BULK REGULATIONS AND DATA TABLE

Gross Site Area (sf): 152,365

3.5 Gross Site Acreage

ROW Area (sf) 32,427

ROW Acreage 0.7

119,938 Net Site Area (sf)

Net Site Acreage 2.8

Maximum FAR1 0.59

Maximum FAR Floor Area (sf)¹ 71,346

Maximum Building Height 24 feet

Minimum Setbacks Per Site Plan Approval

Maximum # Dwelling Units

Minimum # Loading Berths Per Site Plan Approval

Minimum # Residential Parking Spaces 0

Required²

includes basement areas from existing buildings

0.68 spaces per unit blended parking ratio

Applicant:

Lathrop Community Partners, LLC

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

September 24, 2015

SUB-AREA 3 BULK REGULATIONS AND DATA TABLE

62,100

Gross Site Area (sf):

Gross Site Acreage 1.4

ROW Area (sf) 15,906

ROW Acreage 0.4

Net Site Area (sf) 46,194

Net Site Acreage 1.1

Maximum FAR¹ 1.53

Maximum FAR Floor Area (sf)¹ 70,897

Maximum Building Height 97 feet

Minimum Setbacks Per Site Plan Approval

Maximum # Dwelling Units 92

Minimum # Loading Berths Per Site Plan Approval

Minimum # Residential Parking Spaces 63 Required²

1104011011

1 includes basement areas from existing buildings 2 0.68 spaces per unit blended parking ratio

Applicant:

Lathrop Community Partners, LLC

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West

Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

September 24, 2015

SUB-AREA 4 BULK REGULATIONS AND DATA TABLE

Gross Site Area (sf): 383,399

Gross Site Acreage 8.8

ROW Area (sf) 146,936

ROW Acreage 3.4

Net Site Area (sf) 236,463

Net Site Acreage 5.4

Maximum FAR1 1.78

Maximum FAR Floor Area (sf)¹ 419,789

70 feet Maximum Building Height

Minimum Setbacks Per Site Plan Approval

Maximum # Dwelling Units 334

Minimum # Loading Berths Per Site Plan Approval

Minimum # Residential Parking Spaces 227

Required²

includes basement areas from existing buildings

0.68 spaces per unit blended parking ratio

Applicant:

Lathrop Community Partners, LLC

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

SUB-AREA 5 BULK REGULATIONS AND DATA TABLE

Gross Site Area (sf): 204,162

4.7 Gross Site Acreage

ROW Area (sf) 38,891

ROW Acreage 0.9

Net Site Area (sf) 165,271

Net Site Acreage 3.8

Maximum FAR1 2.35

Maximum FAR Floor Area (sf)¹ 387,955

Maximum Building Height 161 feet

Minimum Setbacks Per Site Plan Approval

Maximum # Dwelling Units 318

Minimum # Loading Berths Per Site Plan Approval

Minimum # Residential Parking Spaces 216

Required²

Applicant:

Lathrop Community Partners, LLC

Address:

2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and

2201-2223 West Oakdale Avenue

Introduced:

September 24, 2015

includes basement areas from existing buildings

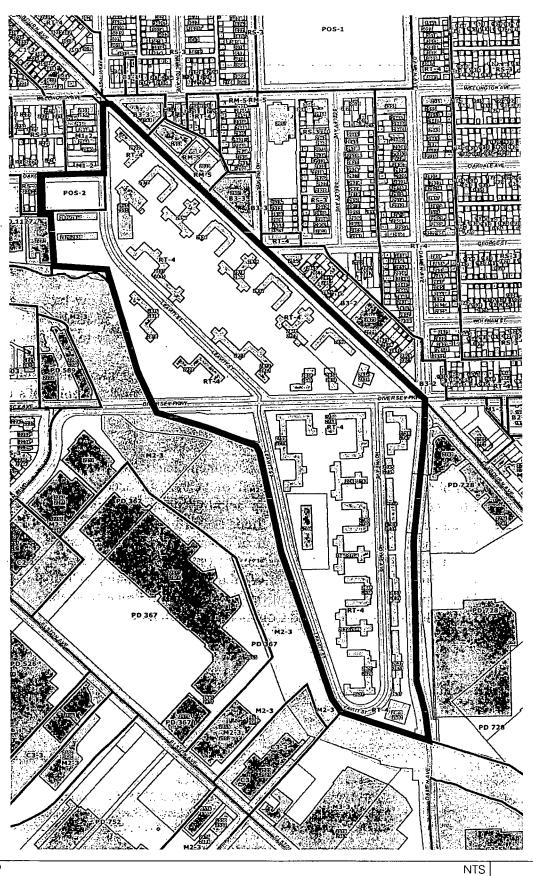
^{0.68} spaces per unit blended parking ratio

LEGEND

Zoning Boundaries

PD Boundary





APPLICANT

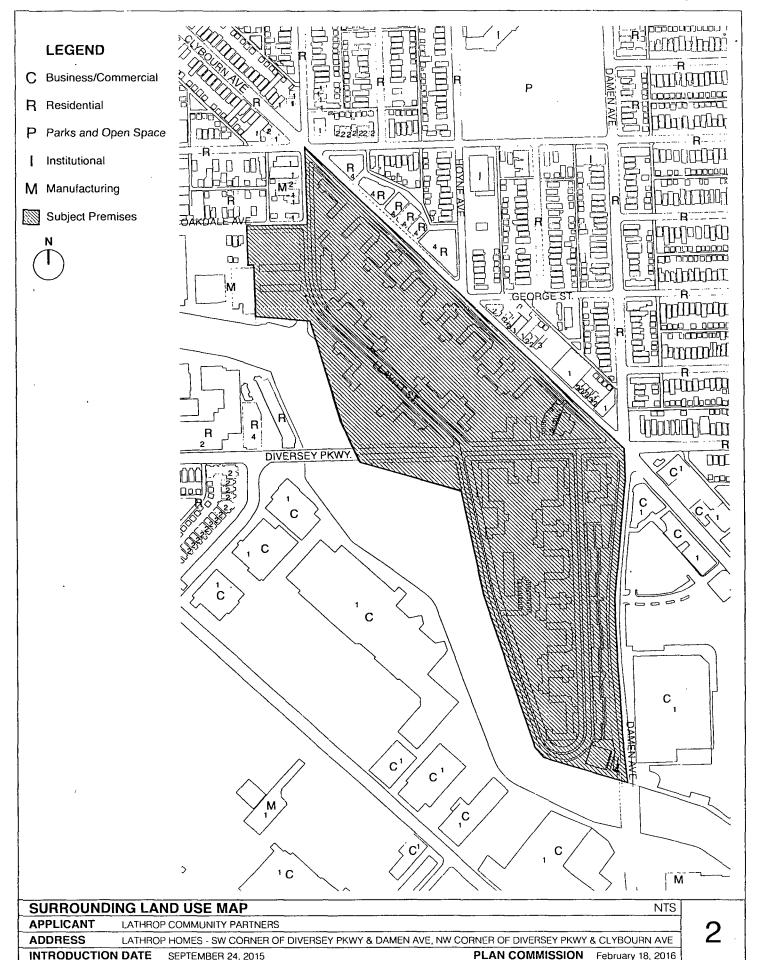
LATHROP COMMUNITY PARTNERS

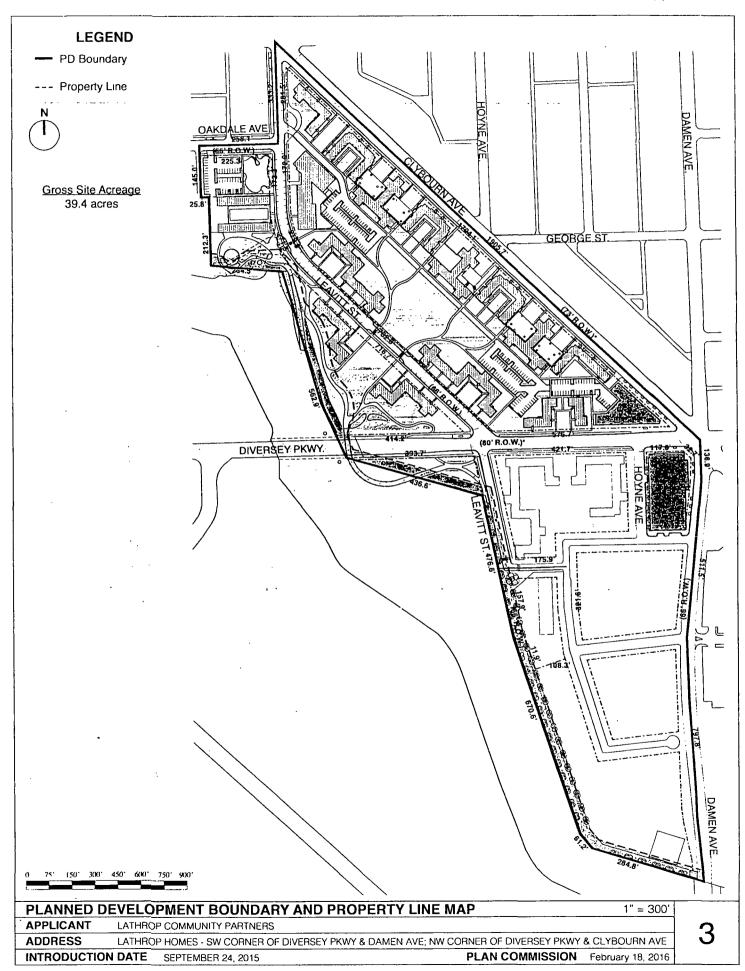
ADDRESS

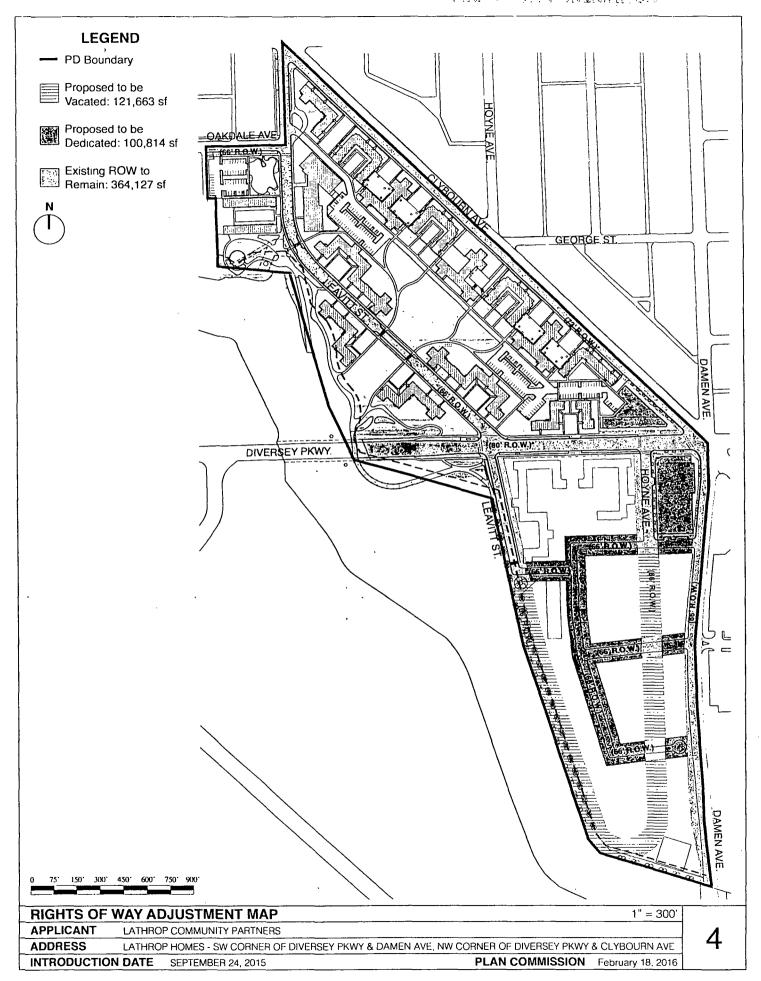
LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE, NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

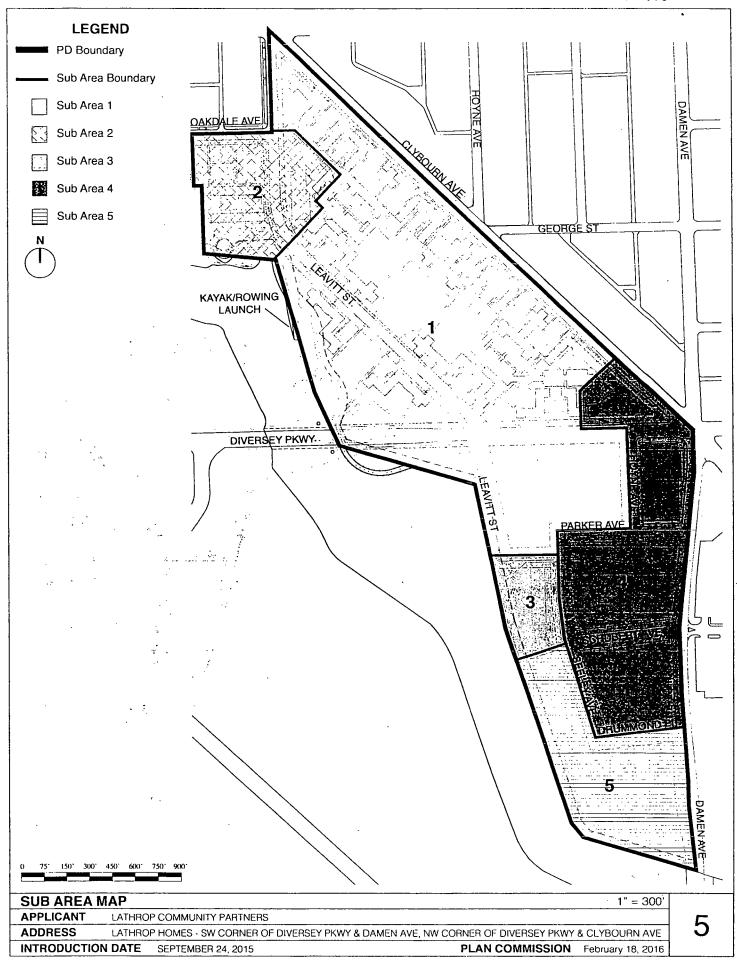
INTRODUCTION DATE SEPTEMBER 24, 2015

PLAN COMMISSION February 18, 2016

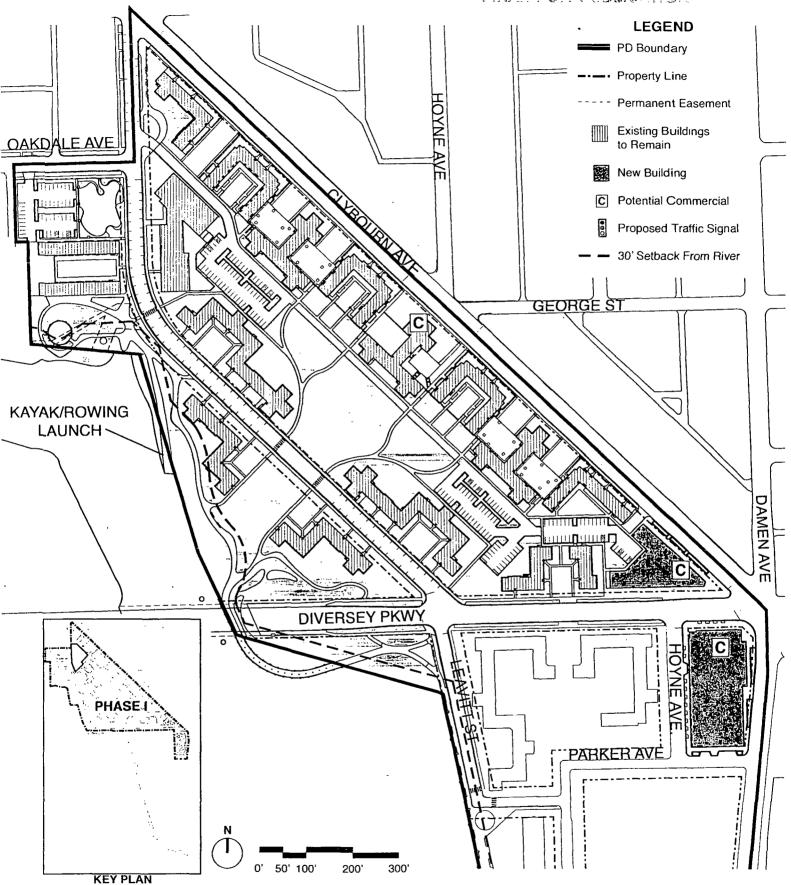




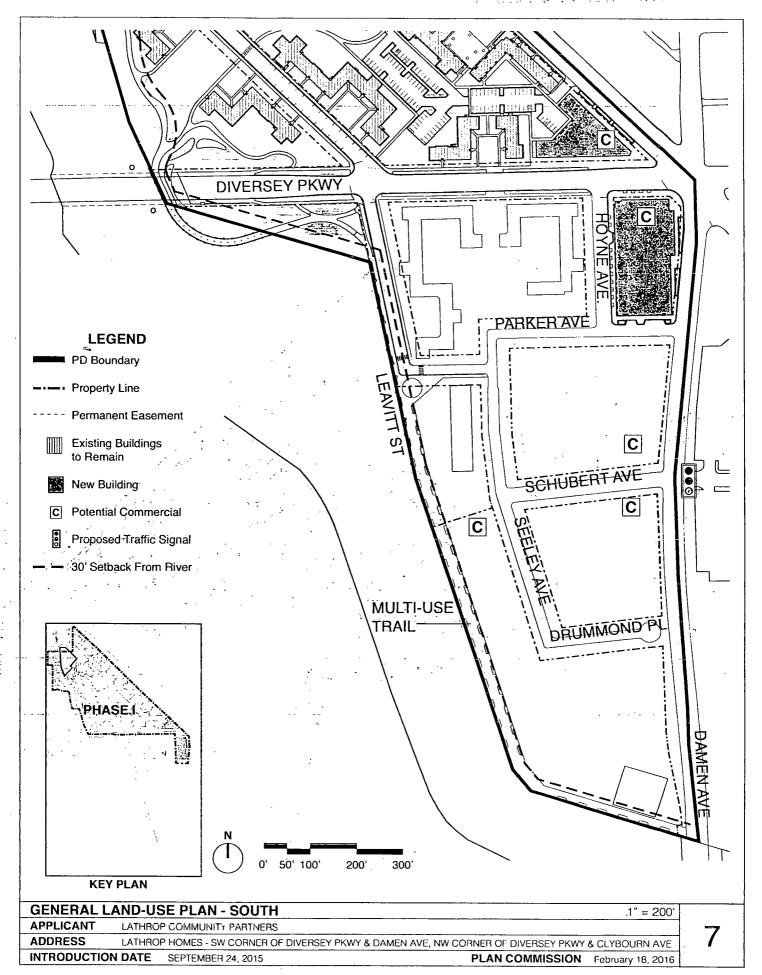


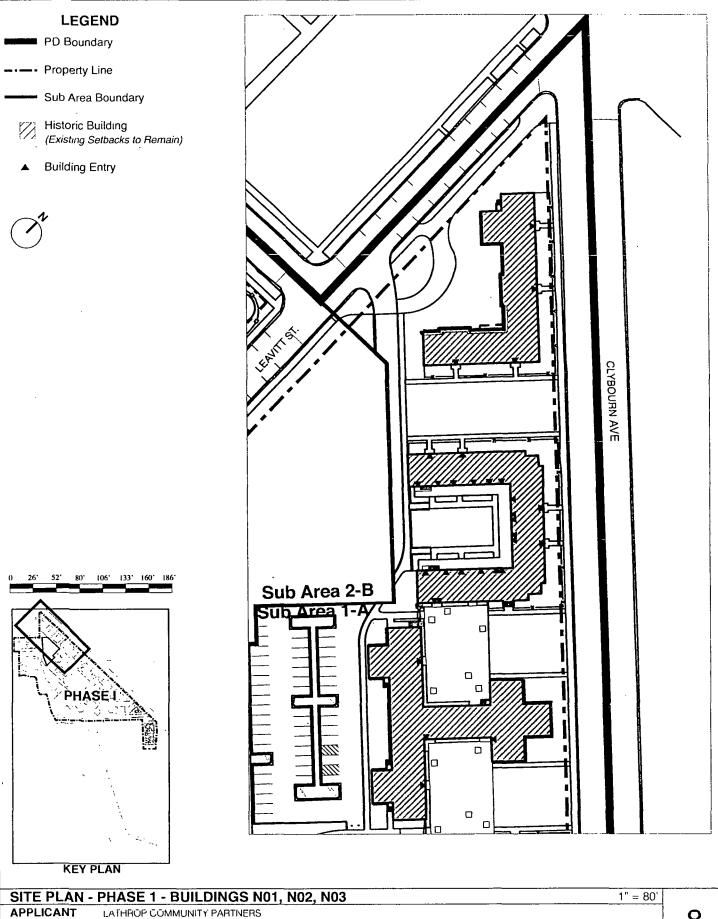


FRA. FOR PUBLICATION



| GENERAL L | AND-USE PLAN - NORTH | | 1" = 200' | |
|-------------|--|--|-------------------|---|
| APPLICANT | LATHROP COMMUNITY PARTNERS | | | C |
| ADDRESS | LATHROP HOMES - SW CORNER OF DIVERSEY PK | WY & DAMEN AVE, NW CORNER OF DIVERSEY PKWY | & CLYBOURN AVE | O |
| INTRODUCTIO | N DATE SEPTEMBER 24, 2015 | PLAN COMMISSION | February 18, 2016 | |



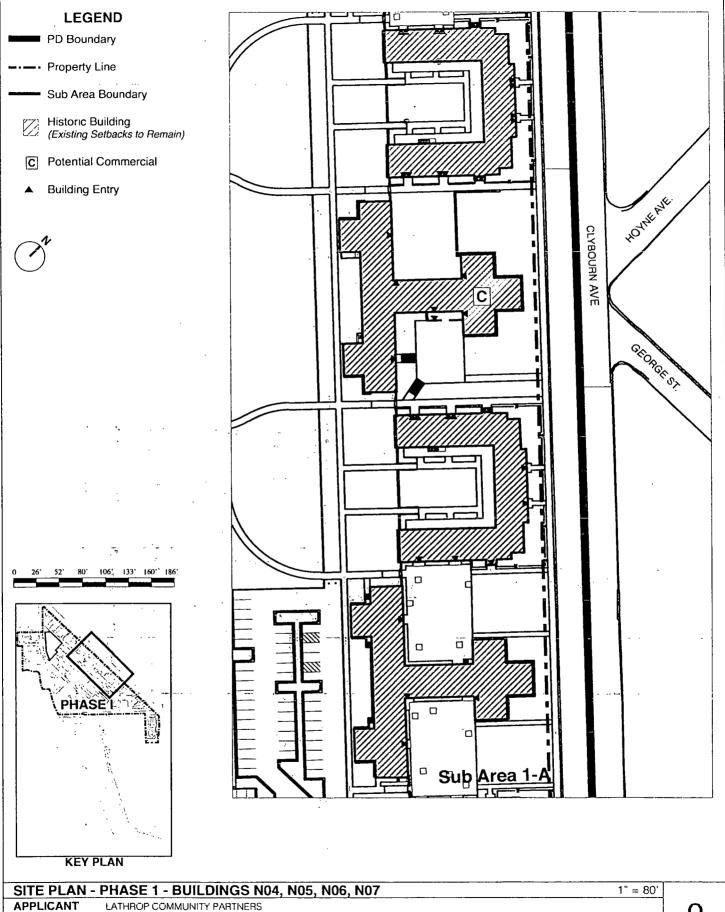


LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE; NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

ADDRESS

INTRODUCTION DATE SEPTEMBER 24, 2015

PLAN COMMISSION February 18, 2016



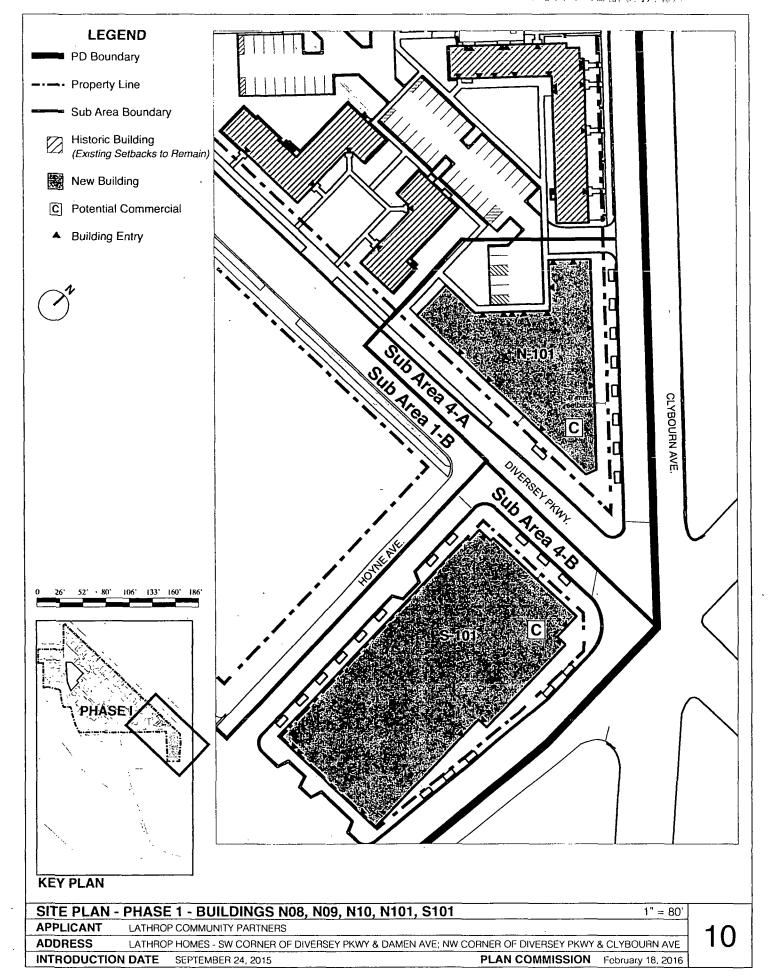
LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE; NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

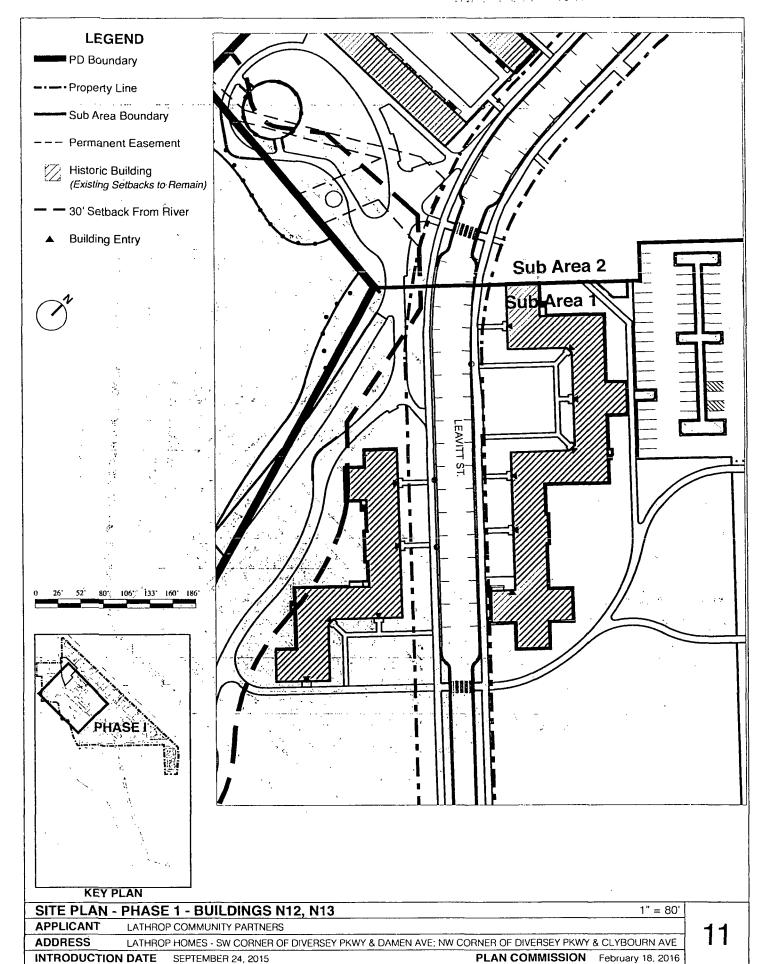
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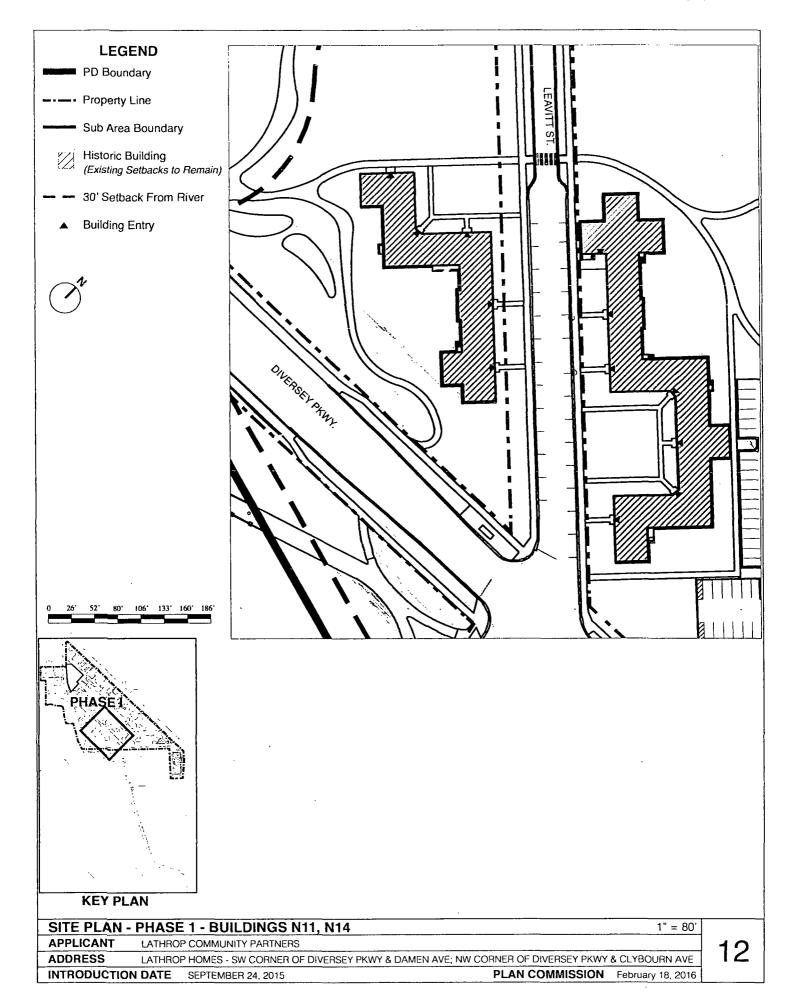
INTRODUCTION DATE

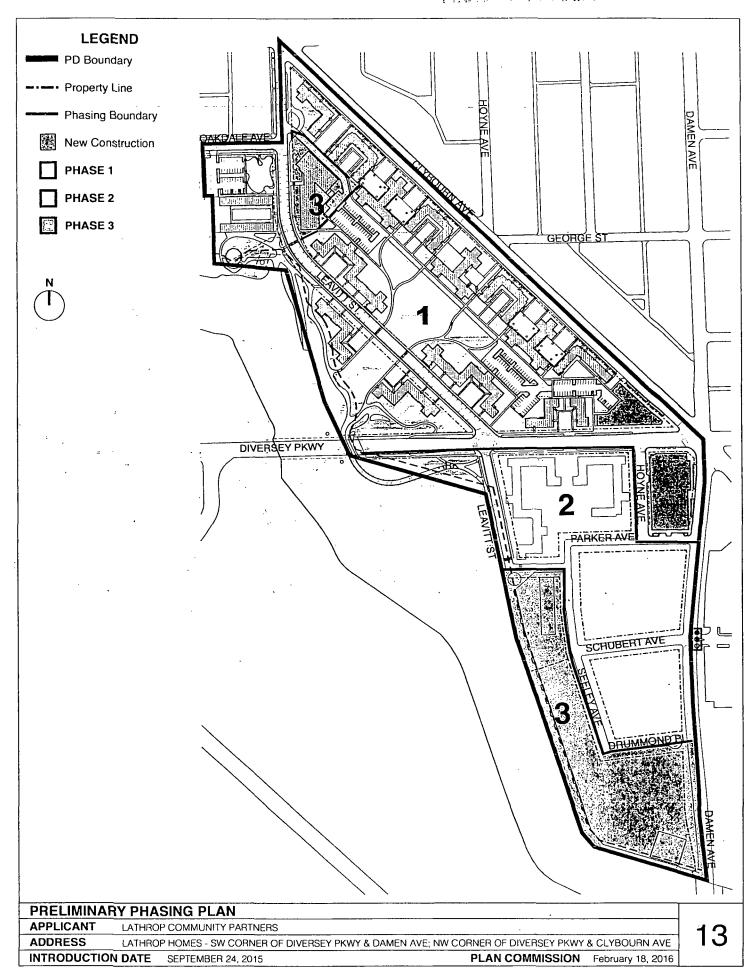
SEPTEMBER 24, 2015

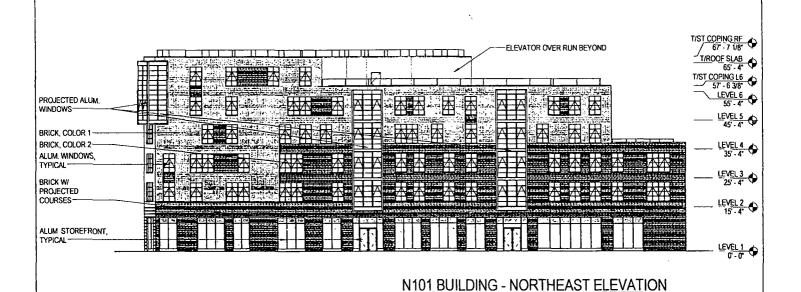
PLAN COMMISSION February 18, 2016











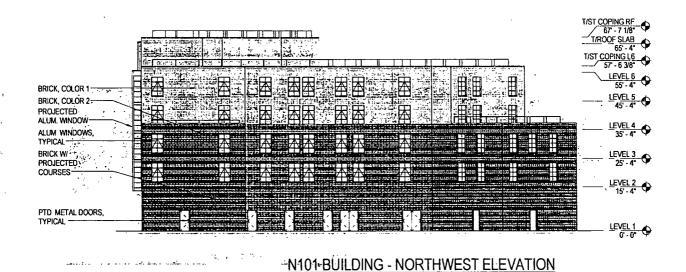
SCALE. 1/32" = 1'-0"

| ELEVATION | S - PHASE 1 - BUILDING N101 - NORTHEAST | 1/32" = 1' -0" |
|-----------|---|----------------|
| APPLICANT | LATHROP COMMUNITY PARTNERS | |
| | | |

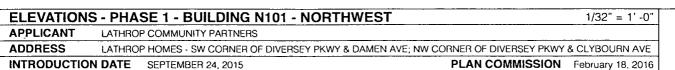
ADDRESS LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE, NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

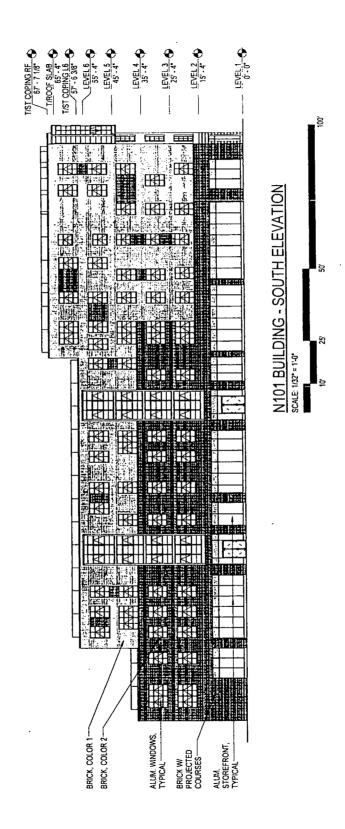
INTRODUCTION DATE SEPTEMBER 24, 2015 PLAN COMMISSION February 18, 2016

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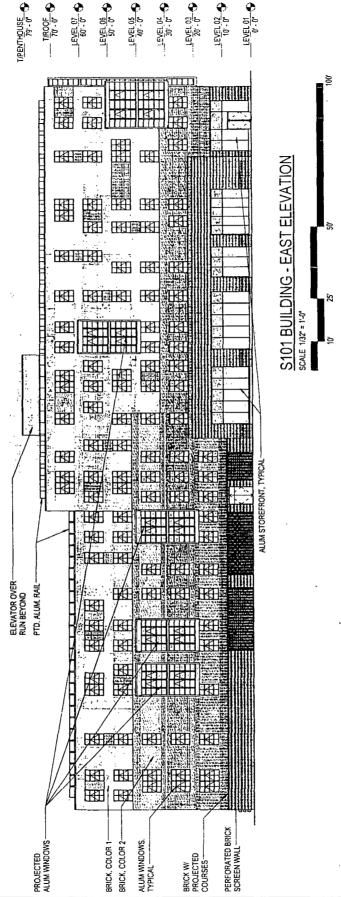
SCALE. 1/32" = 1'-0"



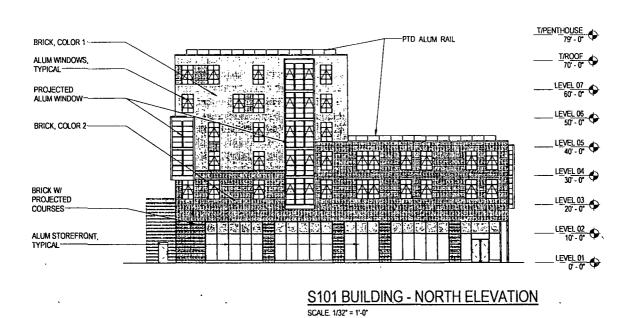


| ELEVATION | S - PHASE 1 - BUILDING N101 - SOUTH | 1/32" = 1' -0 |
|------------------|--|--|
| APPLICANT | LATHROP COMMUNITY PARTNERS | |
| ADDRESS | LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE, NW (| CORNER OF DIVERSEY PKWY & CLYBOURN AVE |

Æ INTRODUCTION DATE PLAN COMMISSION February 18, 2016 **SEPTEMBER 24, 2015**



| ELEVATION | S - PHASE 1 - BUILDING S101 - EAST | | 1/32" = 1' -0" |
|------------------|--|------------------------|-------------------|
| APPLICANT | LATHROP COMMUNITY PARTNERS | | |
| ADDRESS | LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE; NW C | ORNER OF DIVERSEY PKWY | & CLYBOURN AVE |
| INTRODUCTIO | N DATE SEPTEMBER 24, 2015 | PLAN COMMISSION | February 18, 2016 |





1/32" = 1' -0"

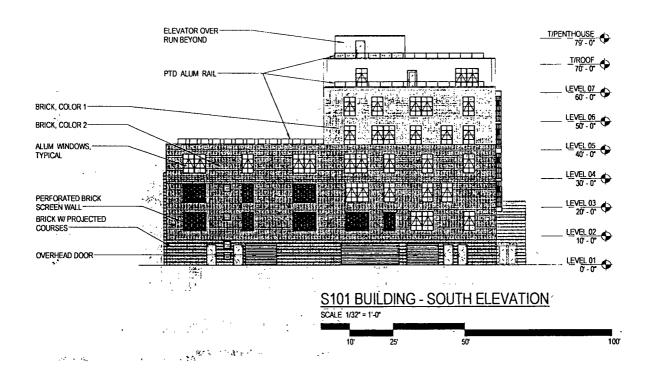
APPLICANT

LATHROP COMMUNITY PARTNERS

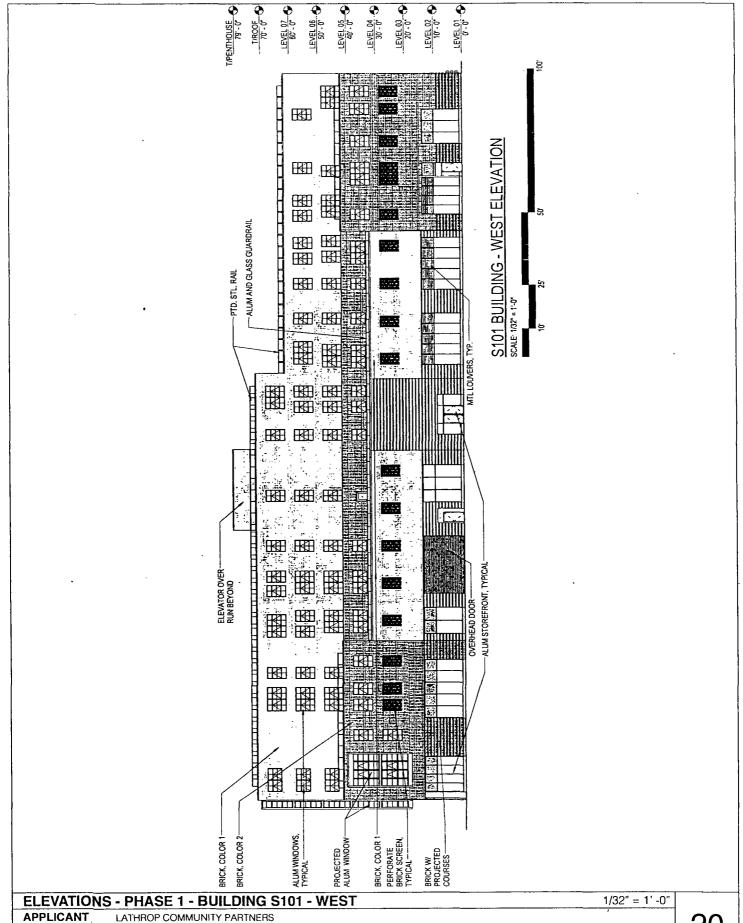
ADDRESS

LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE, NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

INTRODUCTION DATE SEPTEMBER 24, 2015



| ELEVATIONS | 6 - PHASE 1 - BUILDING S101 1/32" = 1' -0" |
|------------|---|
| APPLICANT | LATHROP COMMUNITY PARTNERS |
| ADDRESS | LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE; NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE |



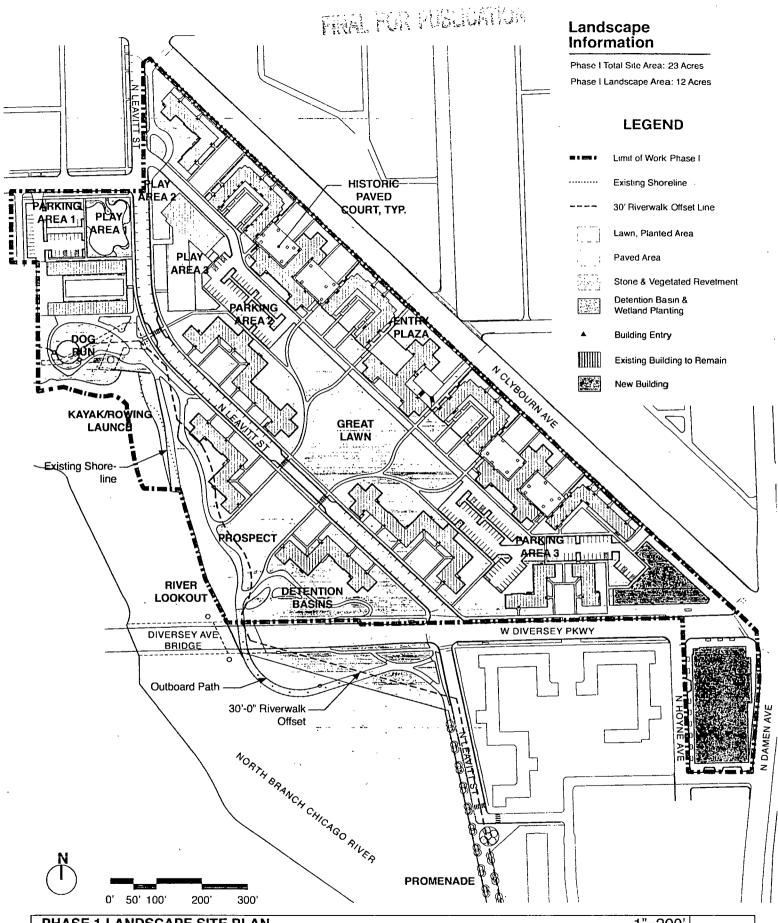
LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE, NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

ADDRESS

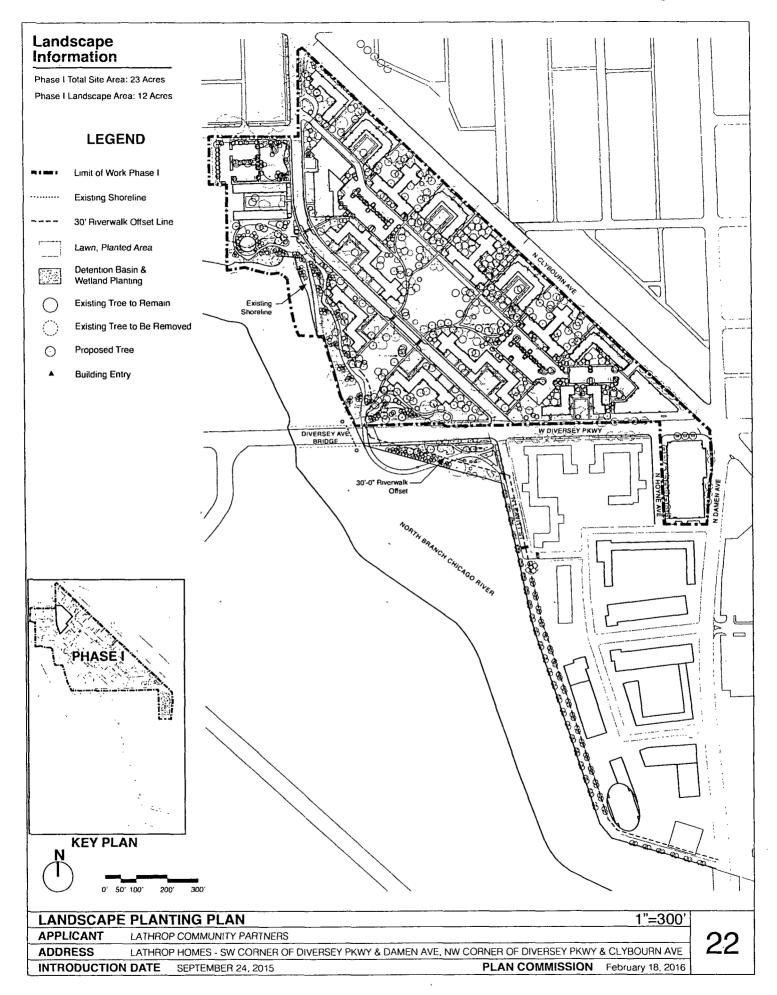
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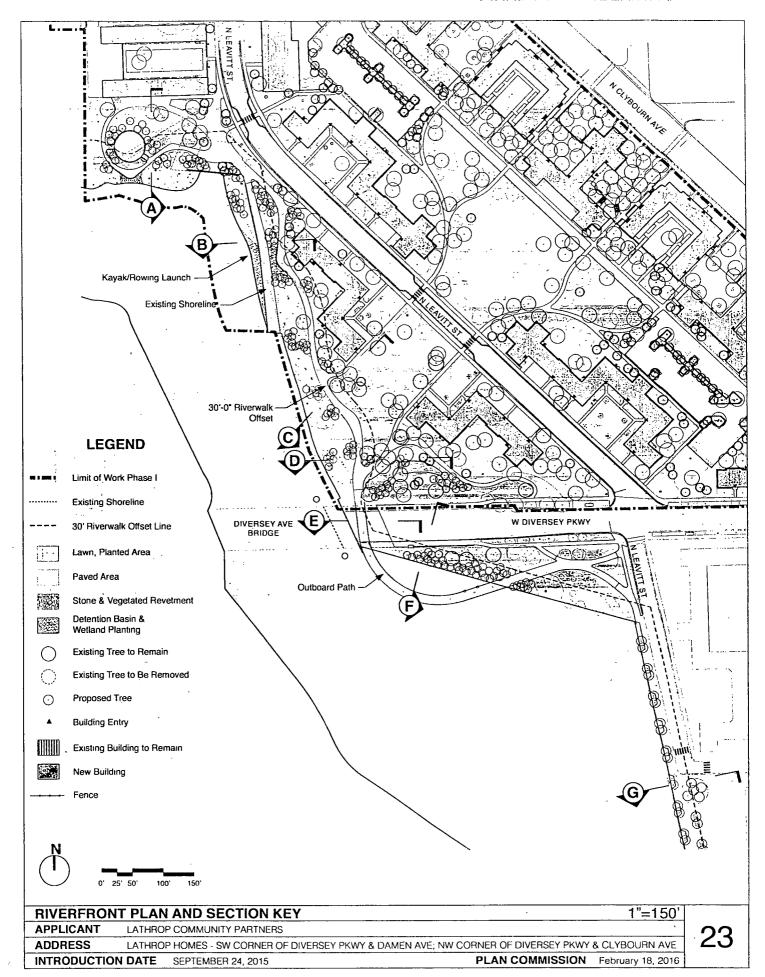
SEPTEMBER 24, 2015

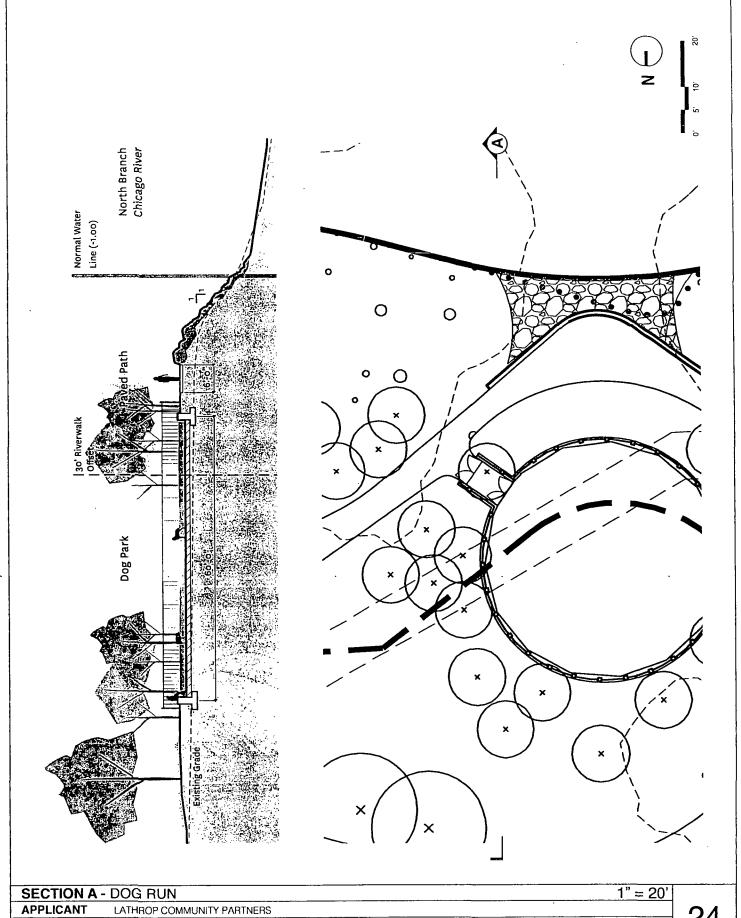
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| PHASE 1 LA | ANDSCAPE SITE PLAN | 1"=200' | |
|-------------|--|--|---|
| APPLICANT | LATHROP COMMUNITY PARTNERS | | 0 |
| ADDRESS | LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & | DAMEN AVE, NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE | _ |
| INTRODUCTIO | ON DATE SEPTEMBER 24, 2015 | PLAN COMMISSION February 18, 2016 | |







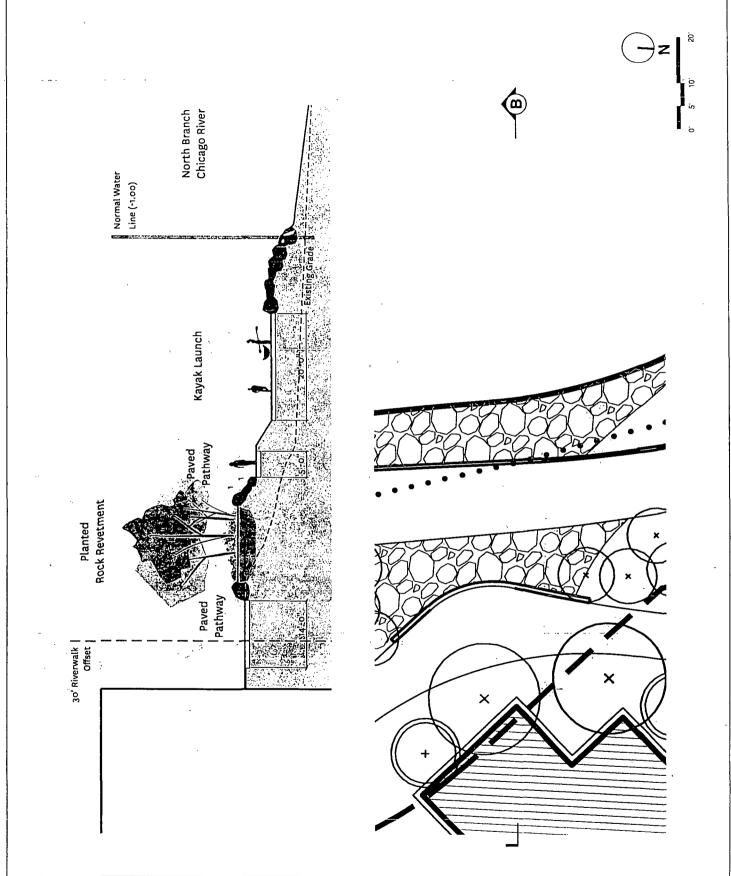
LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE; NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

ADDRESS

INTRODUCTION DATE

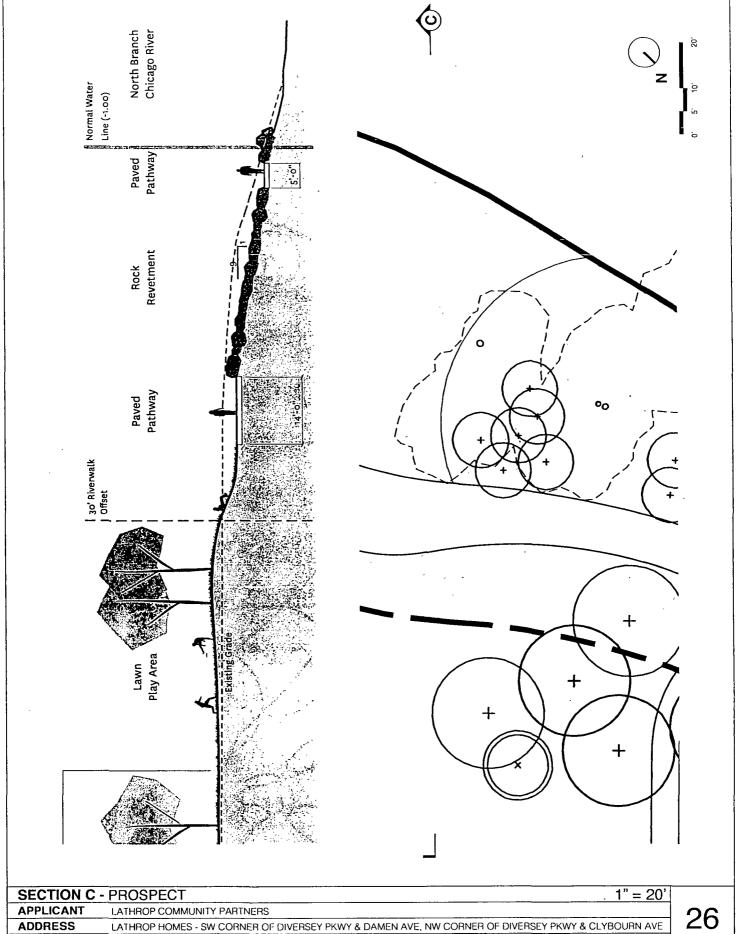
SEPTEMBER 24, 2015

24



| SECTION B | - BOAT LAUNCH 1" = 20' | Ī |
|------------------|--|---|
| APPLICANT | LATHROP COMMUNITY PARTNERS | |
| ADDRESS | LATHROP HOMES - SW CORNER OF DIVERSEY PRIMY & DAMEN AVE. NW CORNER OF DIVERSEY PRIMY & CLYROLIBN AVE | |

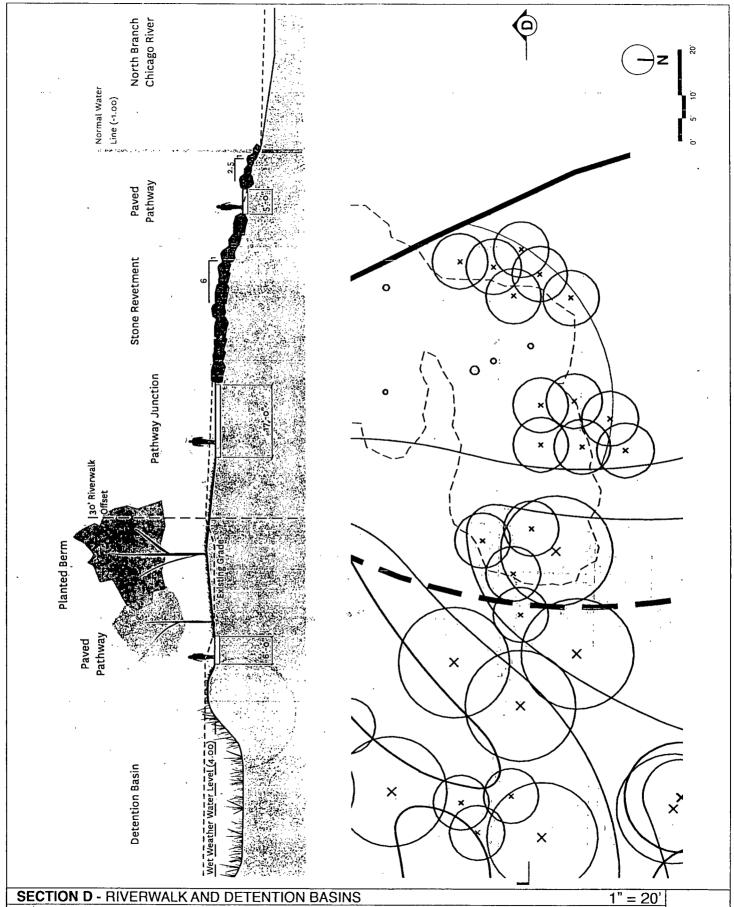
INTRODUCTION DATE SEPTEMBER 24, 2015 PLAN COMMISSION February 18, 2016



INTRODUCTION DATE

SEPTEMBER 24, 2015

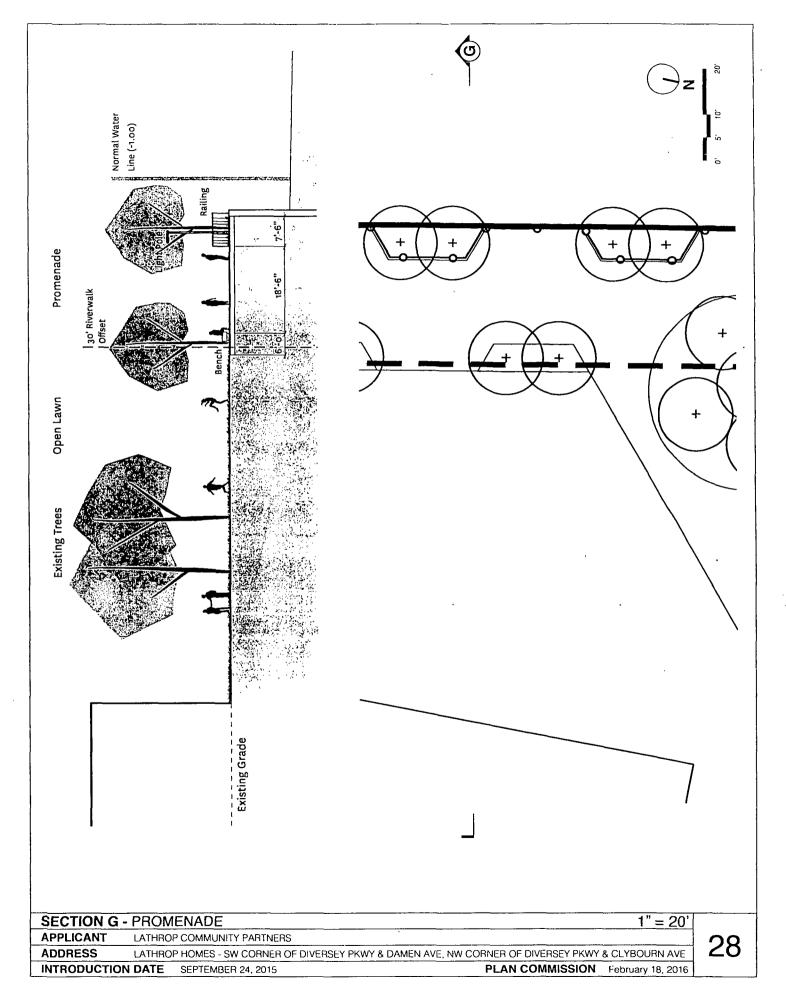
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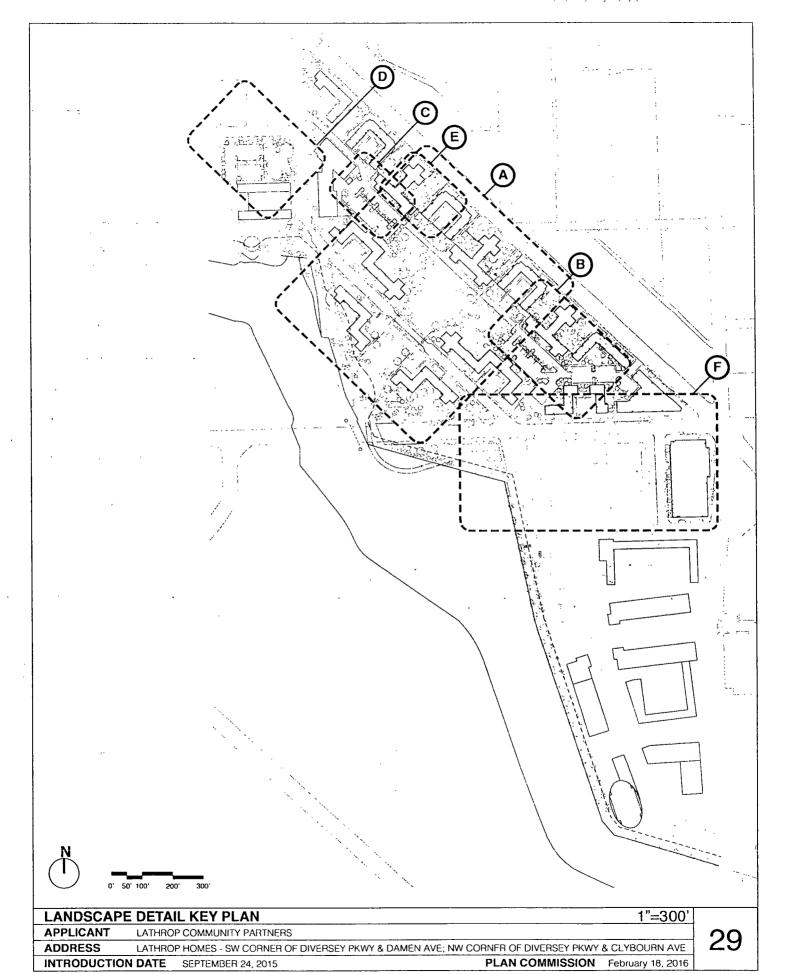


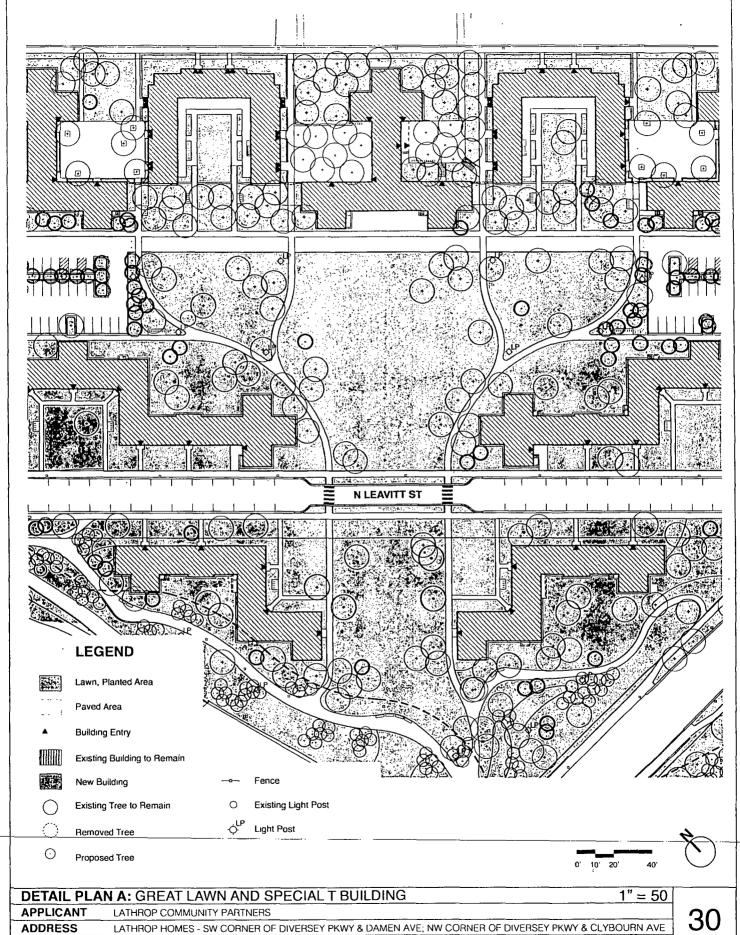
APPLICANT LATHROP COMMUNITY PARTNERS

ADDRESS LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE; NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

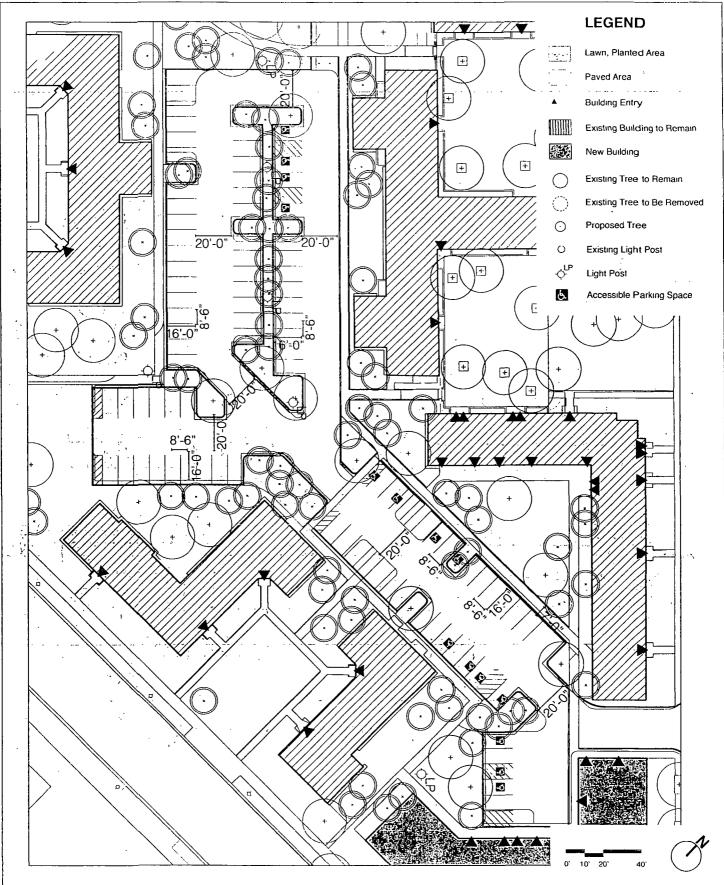
INTRODUCTION DATE **SEPTEMBER 24, 2015**







INTRODUCTION DATE SEPTEMBER 24, 2015



DETAIL PLAN B: SOUTHEAST PARKING AREA - 91 SPACES

1" = 50'

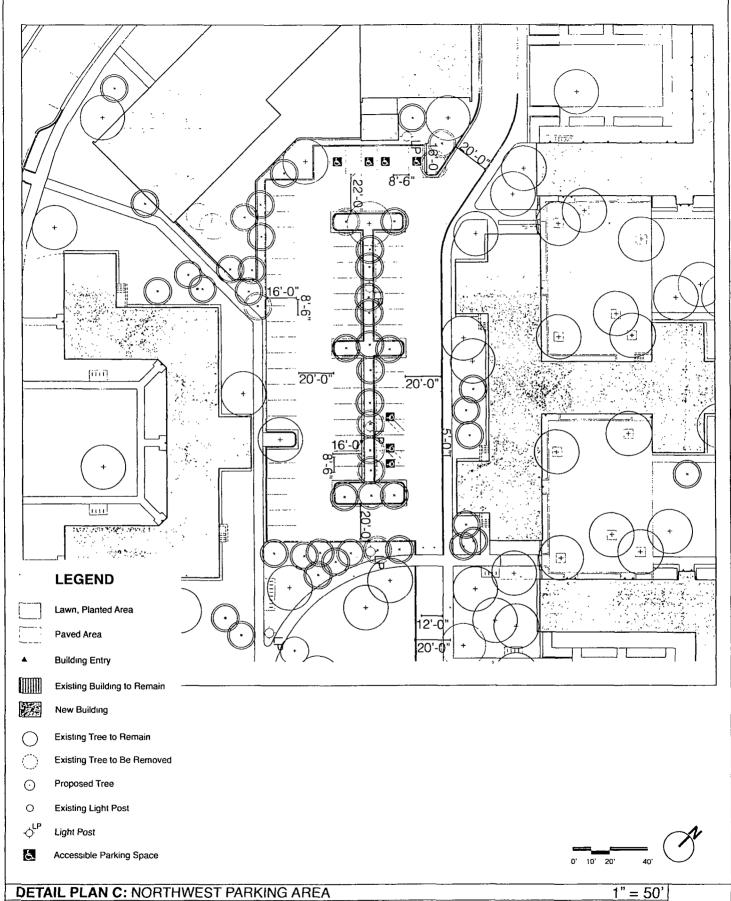
APPLICANT LATHROF

ADDRESS

LATHROP COMMUNITY PARTNERS

LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE, NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

INTRODUCTION DATE SEPTEMBER 24, 2015



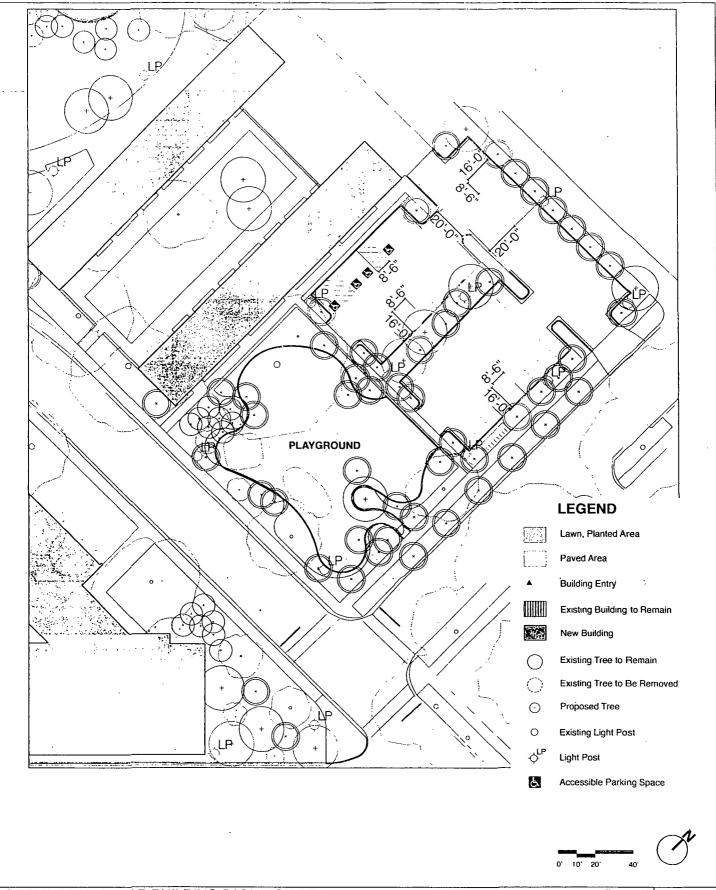
APPLICANT

LATHROP COMMUNITY PARTNERS

ADDRESS

INTRODUCTION DATE SEPTEMBER 24, 2015

LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE; NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE



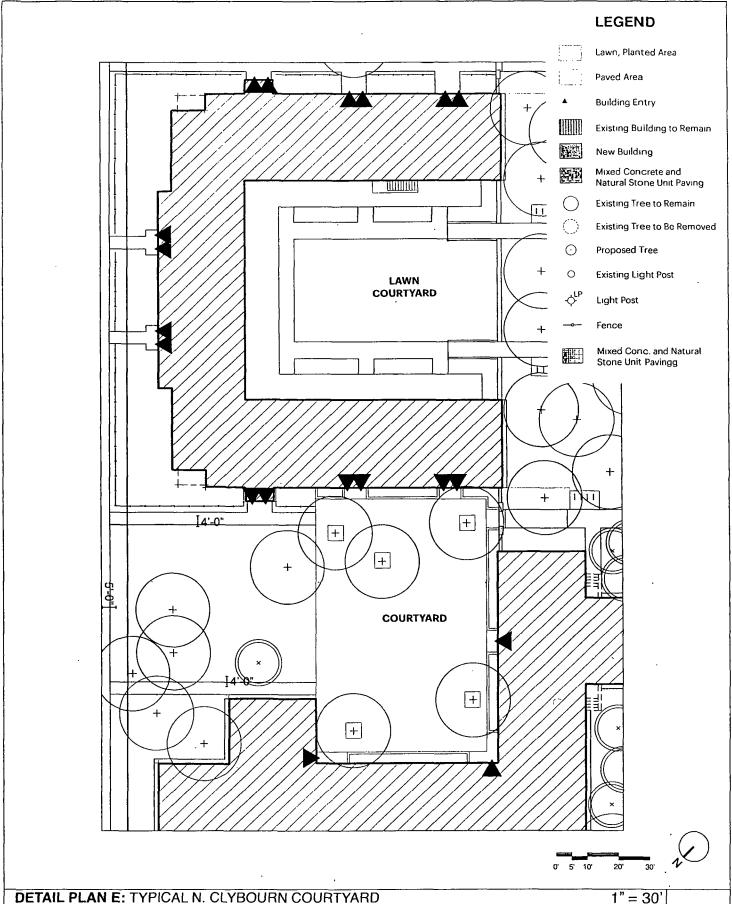
DETAIL PLAN D: BAR BUILDING PARKING AREA

1" = 50'

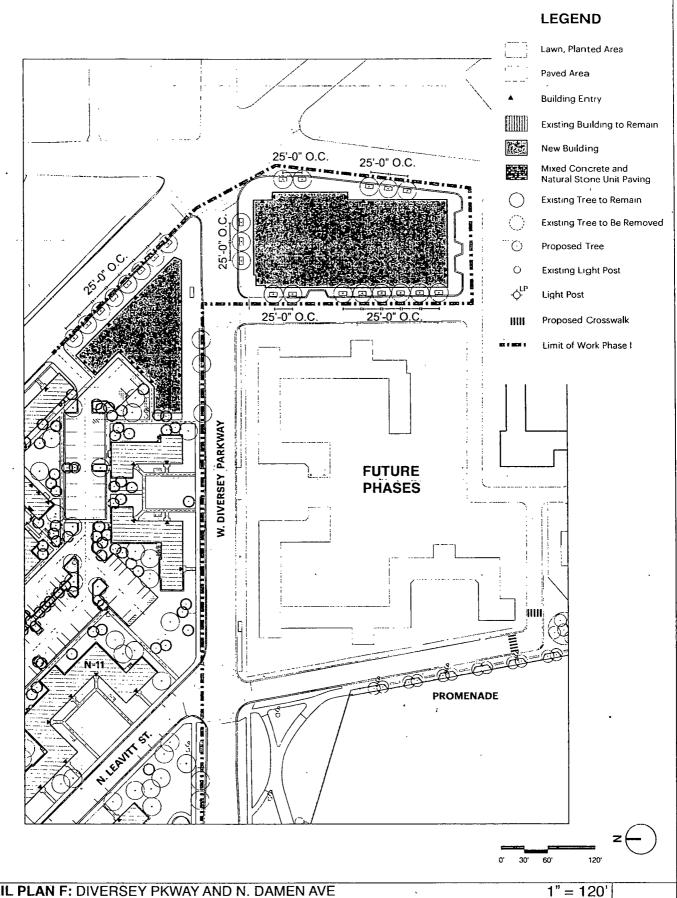
APPLICANT LATHROP COMMUNITY PARTNERS

ADDRESS LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE; NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

INTRODUCTION DATE SEPTEMBER 24, 2015



= 30



DETAIL PLAN F: DIVERSEY PKWAY AND N. DAMEN AVE

APPLICANT

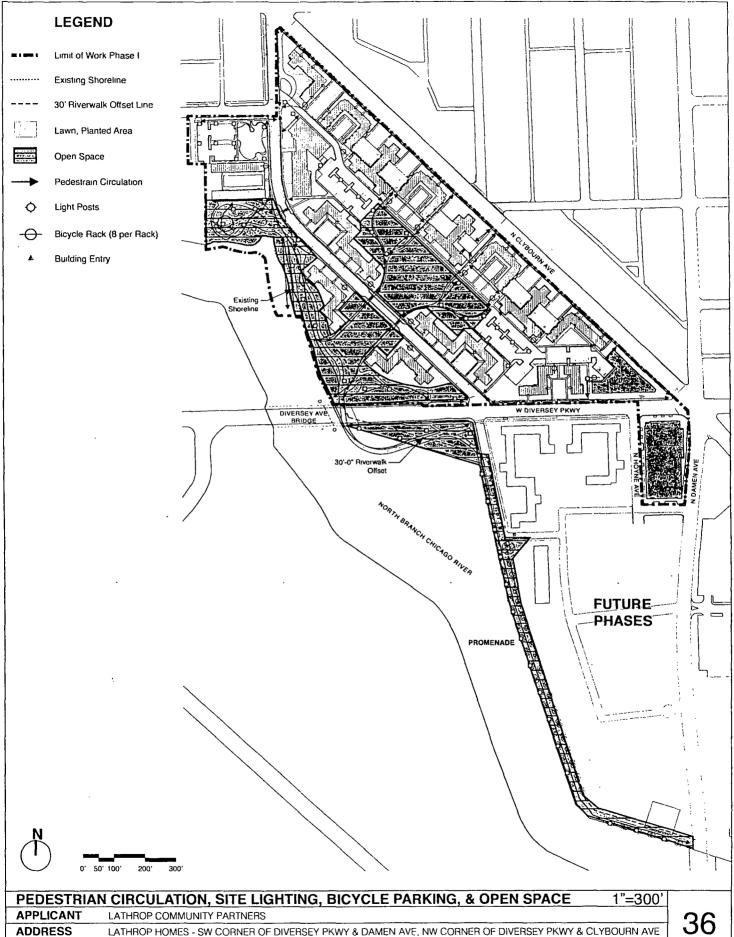
LATHROP COMMUNITY PARTNERS

ADDRESS LATHROP HOMES - SW CORNER OF DIVERSEY PKWY & DAMEN AVE; NW CORNER OF DIVERSEY PKWY & CLYBOURN AVE

INTRODUCTION DATE SEPTEMBER 24, 2015

PLAN COMMISSION February 18, 2016

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INTRODUCTION DATE SEPTEMBER 24, 2015

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/8535 Frivac

DIPARTMENT OF PLANNING AND DEVELOPMENT CITY OF CHICAGO

MEMORANDUM

TO:

Alderman Daniel S. Solis

Chairman, City Council Committee on Zoning

FROM:

David L. Reifman

Secretary

Chicago Plan Commission

DATE:

February 19, 2016

RE:

Proposed Waterway Residential Business Planned Development for property

generally located at 2820-2996 North Clybourn.

On February 18, 2016, the Chicago Plan Commission recommended approval of the proposed planned development submitted by Lathrop Community Partners, LLC. A copy of the proposed planned development is attached. I would very much appreciate your assistance in having this introduced at the next possible City Council Committee on Zoning hearing.

Also enclosed is a copy of the staff report to the Plan Commission which includes the Department of Planning and Development, bureau of Planning and Zoning recommendation and a copy of the Resolution. If you have any questions in this regard, please do not hesitate to contact me at 744-5777.

CC:

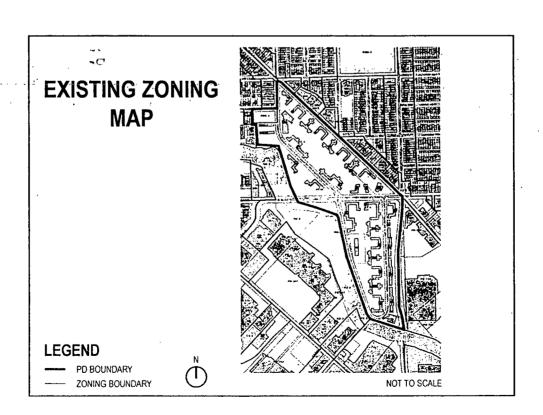
Steve Valenziano

PD Master File (Original PD, copy of memo)

Chicago Plan Commission



Lathrop Community Partners, LLC
Waterway Residential Business Planned Development
2820-2996 North Clybourn Avenue
2000-2144 West Diversey Parkway
February 18th, 2016



AERIAL VIEW

- 1. Lathrop Homes
- 2. Hamlin Park
- 3. Alcott College Prep School
- 4. Chicago River
- 5. Riverfront Plaza
- 6. Costco

LEGEND

PD BOUNDARY



Lathrop Community Meetings

(over 600 participants)

Stakeholder Interviews

February 2011

Planning Process Public Kick-Off Meeting

November 16, 2011

Community Workshop #1

December 8, 2011

Community Workshop #2

December 10, 2011

Community Workshop #3

December 13, 2011

Community Open Houses

November 2012

Community Meeting

July 2013

Community Meeting

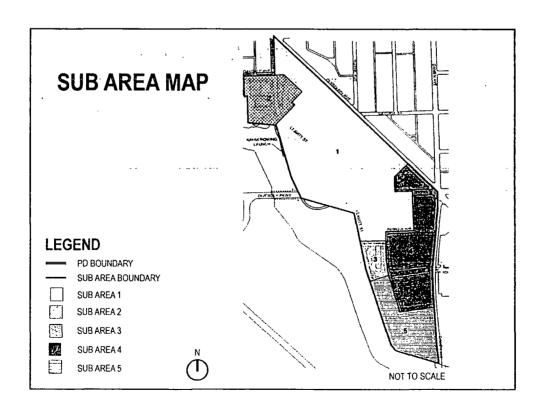
February 2016

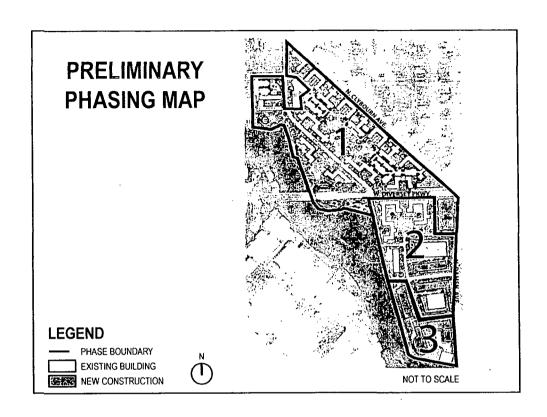
Community Groups

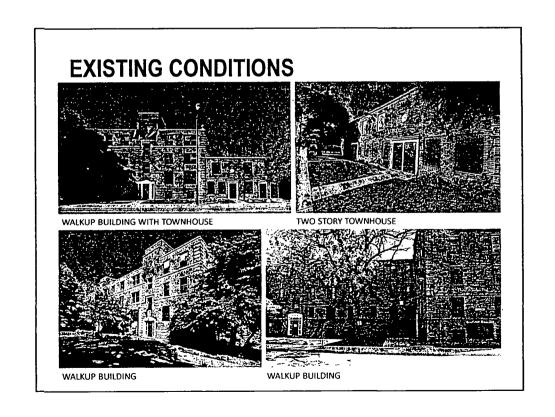
- 1. Lathrop Working group
- 2. Lathop residents (Resident Academy)
- 3. Hamlin Park Neighbors
- 4. Landmarks Illinois
- 5. Lathrop Homes Advisory Council
- 6. Logan Square Neighborhood Association
- 7. Preservation Chicago
- 8. Roscoe Village Neighbors
- 9. West DePaul Neighbors
- 10. Wrightwood Neighbors
- 11. South Lakeview Neighborhood Association
- 12. Lakeview Citizens' Council

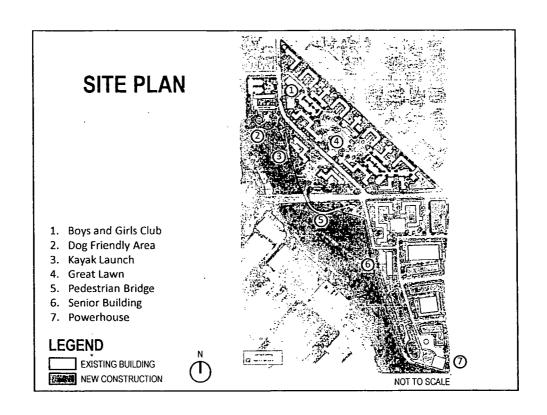
Agencies or Units of Government

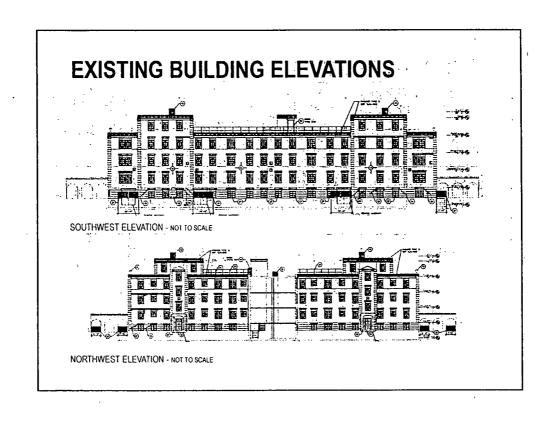
- 1. The Mayor's Office
- 2. Alderman Moreno
- 3. Alderman Waguespack
- 4. Chicago Department of Transportation
- 5. Illinois Historic Preservation Agency
- 6. Chicago DHED
- 7. Chicago Park District
- 8. The Landmarks Division
- 9. ACHP
- 10. MWRD
- 11. HUD

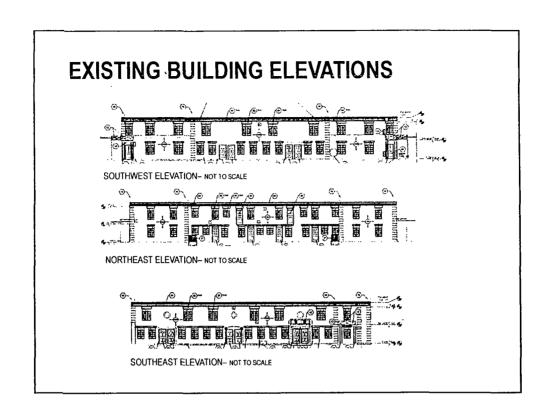


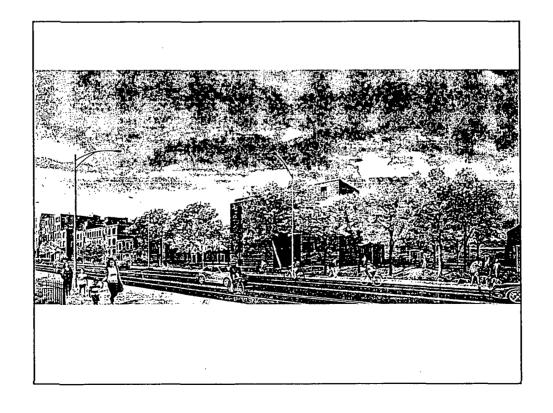




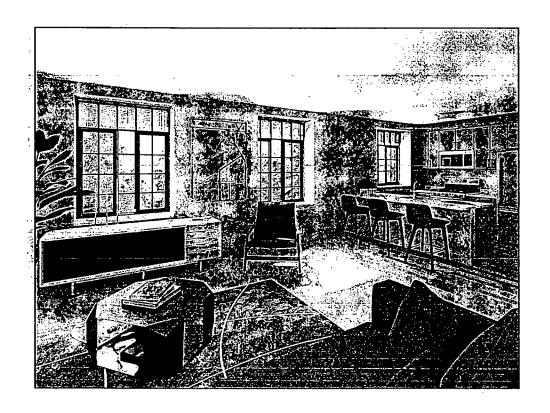


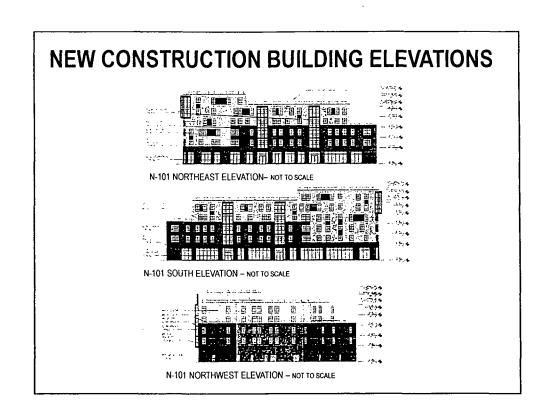


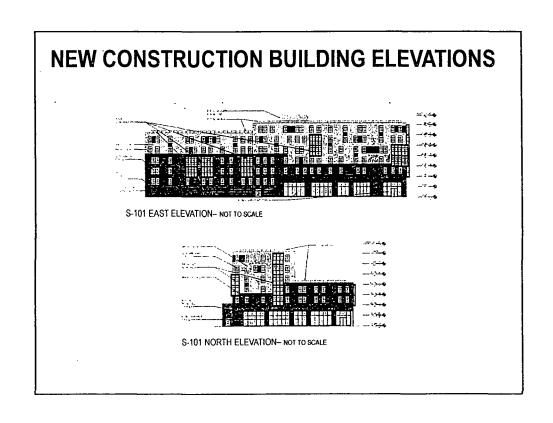


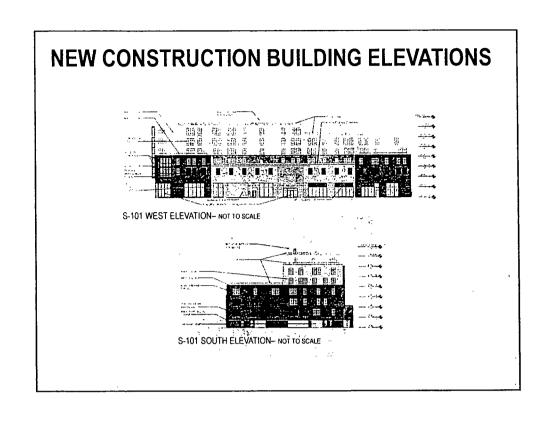




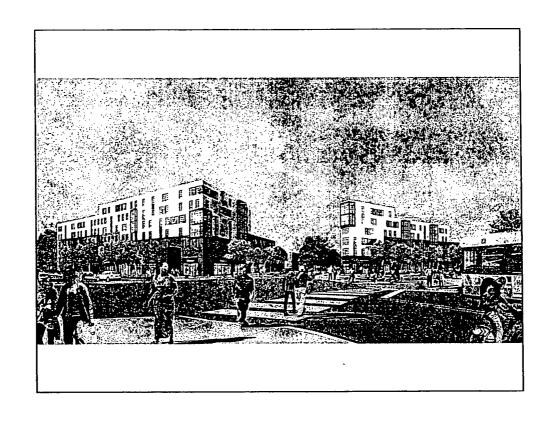


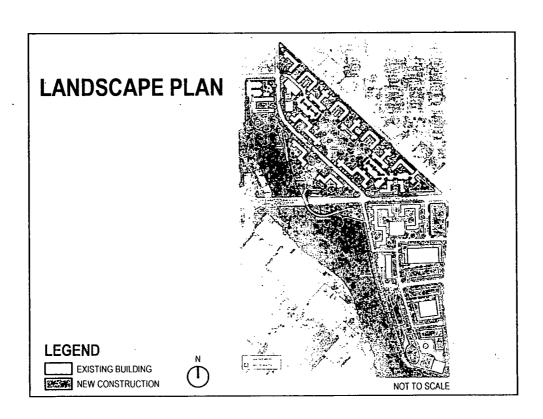


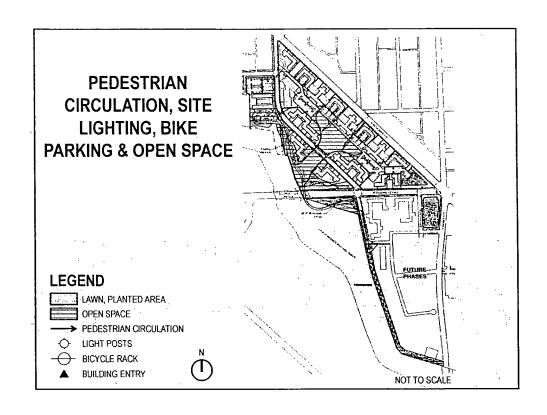




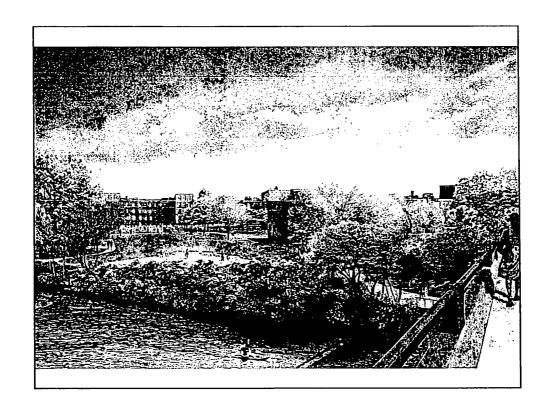


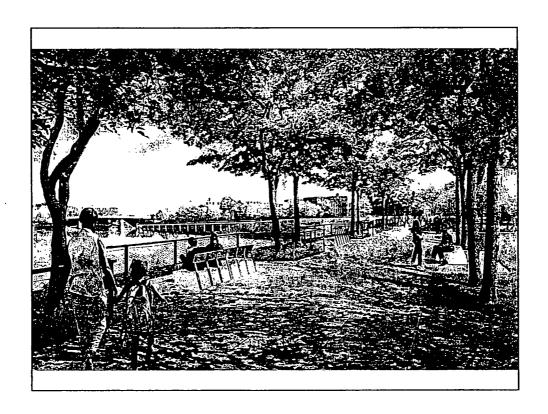


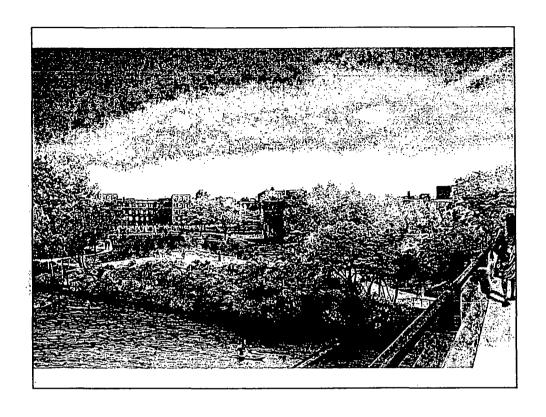












REPORT to the CHICAGO PLAN COMMISSION from THE DEPARTMENT OF PLANNING AND DEVELOPMENT

FEBRUARY 18, 2016

FOR APPROVAL:

WATERWAY RESIDENTIAL BUSINESS PLANNED

DEVELOPMENT

APPLICANT:

LATHROP COMMUNITY PARTNERS, LLC,

LOCATION:

2820-2996 N. CLYBOURN AVE.; 2000-2144 W. DIVERSEY PARKWAY; 2007-2141 W. DIVERSEY PARKWAY; 2601-2953 N. LEAVITT ST.; 2800-2888 N. LEAVITT ST.; 2601-2769 N. HOYNE AVE.; 2600-2768 N. HOYNE AVE.; 2600-2800 N. DAMEN AVE. 2201-2223 W. OAKDALE AVE.

Pursuant to the provisions of the Chicago Zoning Ordinance, Title 17 of the Municipal Code of Chicago, the Department of Planning and Development hereby submits this report and recommendation on a proposed Planned Development for your review and recommendation to the Chicago City Council. The application for this amendment to the Chicago Zoning Ordinance was introduced into the City Council on September 24, 2015. Notice of the public hearing was published in the Chicago Sun-Times on February 3, 2016. The applicant was separately notified of this public hearing.

This application is submitted by the Applicant, as a mandatory planned development pursuant to Section 17-8-0513-A Large Residential Developments, which states that planned development review and approval is required when the proposed project exceeds 60 units in the C2-3 (Motor Vehicle-Related Commercial District) Additionally Section 17-8-0509 (Development Along Waterways) requires planned development review and approval for the development of land for any building, structure, or parking area, when any portion of the land is located within 100 feet of any waterway.

The site is currently zoned RT-4 Residential Two-Flat, Townhouse and Multi-Unit District and POS-2 Neighborhood Park, Mini-Park or Play lot District. The applicant requests a rezoning of the subject property to a C2-3 (Motor Vehicle-Related Commercial District) prior to establishing the Waterway Residential-Business Planned Development.

SITE AND AREA DESCRIPTION

The applicant, Lathrop Community Partners, LLC proposes to redevelop the approximately 29 acre Julia C. Lathrop Homes. The site is generally bounded on the northeast by North Clybourn Avenue, on the east by North Damen Avenue and on the south and west by the North Branch of the Chicago River. The site is located in the North Center (5) Community Area and is not in a Tax Increment Finance District.

The site is not located in a designated Chicago Landmark District, an industrial corridor or the Lake Michigan and the Chicago Lakefront Protection District. The Julia C. Lathrop Homes site has been placed on the National Register of Historic Places by the United States Department of the Interior's National Park Service.

The land uses along West Diversey Parkway, North Clybourn Avenue, Damen Avenue and in the immediate area include residential, small scale retail, institutional, accessory and commercial uses. The area to the east of the site is primarily zoned B2-3, (Neighborhood Mixed Use District) and Business Planned Development No. 728.

The site is accessible via public transit from CTA's # 50 Damen bus line and the # 76 Diversey bus line. The site is also served by the CTA's Sheffield and Diversey Brown line Station is approximately one mile from the site.

PROJECT DESCRIPTION

The proposal will allow for the construction of two new mixed use buildings, the renovation of fourteen (14) existing structures, allow for a maximum of 1,208 residential dwelling units and 50,000 square feet of commercial and retail space, establish five (5) subareas, accessory parking, and accessory and incidental uses. The project will be divided into a Phase I, II and III. The applicant is currently seeking approval of Phase I with future phases and all future building designs will be required to undergo a site plan review, which will include review and approval by Department of Planning and Development (DPD), the Chicago Department of Transportation (CDOT) and a presentation to the City of Chicago Plan Commission at a future public hearing. The scope of work included in Phase I will include land in Subareas 1, 2, and 4.

Phase I consists of approximately 497 dwelling units and 223 parking spaces. This phase includes the renovation of fourteen (14) existing historic residential buildings (Subarea 1), two new mixed-use commercial and residential buildings (Subarea 4), and the repurposing of two historic buildings—one as a boat house and the other as a residential-support/maintenance building (Subarea 2). The two new buildings will be located at the intersection of North Diversey Avenue and North Clybourn Avenue / North Damen Avenue.

DESIGN

The two proposed building fronting the intersections of North Clybourn Avenue, North Damen Avenue and West Diversey Parkway are identified on the planned development exhibits as Building N101 and S101 (Subarea 4). The building at the northwest corner will contain approximately 9,000 square feet of ground floor retail and the building at the southwest corner will contain approximately 12,000 square feet of ground floor retail.

The ground floor of both building's front existing street frontages (North Clybourn Ave., North Damen Ave., and West Diversey Parkway) and are defined with an aluminum and glass storefront system. The upper levels and façade of the buildings are designed with two types of brick with different color tones.

The building facades for both buildings are further defined by architectural aluminum and glass windows and accentuated by projected aluminum windows. Building N101 is a six story building with the amenity space on the roof top and a maximum height of seventy (70)

feet. Building S101 is the taller of the two building with a maximum height of seventy—nine (79) feet and with the amenity space located on the roof top.

ACCESS/CIRCULATION

Access to Phase I will be located primarily from North Leavitt Street between North Clybourn Avenue and North Diversey Parkway. On street parking will be provided on both sides of North Leavitt Street. A proposed driveway will be added at the north end of North Leavitt Street in line with the intersection with West Oakdale Avenue. An additional proposed driveway will be located from North Clybourn Avenue north of the intersection with West Diversey Parkway. The commercial building on the south side of West Diversey Parkway will be served by a temporary driveway from North Hoyne Street, which will be integrated into the future Phase II project.

All accessory parking for the planned development will be provided throughout the buildings and occur internal to the site. Buildings N101 will have access from North Clybourn Avenue via proposed curb cut to a proposed accessory parking lot. The S101 Building will have access from North Hoyne Street during the Phase 1 scope of work. In the Phase 2 scope of work a proposed West Parker Avenue will provide an additional access point off of existing North Damen Avenue.

Loading for N101 building will occur on the accessory parking lot. The S101 building will occur via an internal loading dock. The loading dock will accessed through an overhead door. Pedestrian access for the riverwalk will occur from West Diversey Parkway, North Hoyne Street and North Leavitt Street. Access to the publicly accessible open space will occur from the existing street grid system.

SUSTAINABILITY & LANDSCAPE

The newly proposed development for this project will meet the requirements of the City of Chicago's Sustainable Matrix by providing a Green Roof over the 50 % of the net roof area for the two new mid-rise buildings (N101 and S101) are required to achieve building certification. Phase I will include extensive work along the Chicago River's edge, including construction of a publically accessible elevated boardwalk. The proposed boardwalk will be constructed on piers connecting a river walk north and south of West Diversey Parkway. The applicant also proposes a dog run, kayak boat ramp, and stormwater rain gardens in addition to stabilizing the river's edge.

The proposed dog run will be publicly accessible and be managed and maintained by the applicant. The open space areas depicted on the planned development exhibits open space plan will be constructed by the applicant but will be managed and maintained by the Chicago Park District. The phase 1 project will provide a total of 12.5 acres of open space, including 1.7 acres of riverwalk area that will be accessible to the general public. All future development within the planned development is required to meet the requirements of the City of Chicago's Sustainable Matrix and future phases will comply with the Chicago Zoning Ordnance and Chicago River Corridor Design Guidelines and Standards.

BULK/USE/DENSITY

The site is currently zoned RT-4 Residential Two-Flat, Townhouse and Multi-Unit District and POS-2 Neighborhood Park, Mini-Park or Play lot District. This proposed Planned Development will have an underlying zoning of C2-3 (Motor Vehicle-Related Commercial District) with a maximum F.A.R of 1.2. The C2-3 District allows for a maximum FAR of 3.0 but this planned development would restrict it to a maximum F.A.R. of 1.2.

RECOMMENDATION

The Department of Planning and Development has reviewed the project materials submitted by the Applicant and have concluded that the proposed development would be appropriate for the site for the following reasons:

- 1. The project complies with the Standards and Guidelines for Planned Developments in the Zoning Ordinance (Section 17-8-0900).
- a. Compliance with Zoning. The proposed Planned Development would increase the maximum Floor Area Ratio (F.A.R.) stipulated but would remain consistent with the surrounding community. This project would have similar uses as the surrounding areas, which include residential, commercial, and accessory parking.
- b. Urban and Building Design. The building urban design and emphasizes on quality materials provide for an improved urban environment. The fourteen (14) historic structures will be rehabilitated to their former state.
- c. Motor vehicle parking to the site would be accessed from existing street grid system: North Damen Avenue, North Clybourn Avenue and West Diversey Parkway.
- 2. The proposed development is compatible with the character of the surrounding area in terms of uses, density, and building scale.
 - The proposed Planned Development would be consistent in bulk, density, and Floor Area Ratio (F.A.R.) with the surrounding area. The proposed project will remain at an underlying zoning of C2-3 (Motor Vehicle-Related Commercial District) and achieve a maximum total Floor Area Ratio (F.A.R.) to 1.2.
- 3. The public infrastructure facilities and city services will be adequate to serve the proposed development at the time of occupancy.
 - Per 17-13-0308-E Transportation, Traffic Circulation and Parking: The pedestrian access point for the residential buildings and mixed use buildings are located on North Clybourn Avenue, North Damen Avenue, North Leavitt Street and West Diversey Park way. The pedestrian access for retail patrons occur along North Clybourn and West Diversey Parkway. All loading will occur internal to the site.

- 4. Promotes environmentally sustainable development practices (per 17-9-0908-A), as evidenced by the rehabilitation of the fourteen (14) existing structures. The two new building in phase 1 identified on the plans as N101 and S101 are required to obtain Building certification. All future buildings in Phases 2 and 3 are also required to me the City of Chicago Sustainability matrix.
- 5. The project meets the purpose and criteria set forth in the Chicago Zoning Ordinance and its adoption would not have any adverse impact on the public's health, safety or welfare:

Per 17-13-0308-C, the proposed development is compatible with surrounding commercial and residential development in terms of land use, as well as, the density and scale of the physical structure.

Per 17-13-0308-D, the proposed underlying zoning for this planned development C2-3 (Motor Vehicle-Related Commercial District) 'is consistent with the adjacent zoning districts; both adjacent to this site and in the immediate area.

Based on the foregoing, it is the recommendation of the Department of Planning and Development that the revised application for a Waterway Residential Business Planned Development for LATHROP COMMUNITY PARTNERS, LLC, be approved and the recommendation to the City Council Committee on Zoning, Landmarks and Building Standards be "As-Revised, Passage Recommended"

Department of Planning and Development Bureau of Planning and Zoning



DEPARTMENT OF PLANNING and DEVELOPMENT CITY OF CHICAGO

RESOLUTION

2820-2996 N. CLYBOURN AVE.; 2000-2144 W. DIVERSEY PARKWAY; 2007-2141 W. DIVERSEY PARKWAY; 2601-2953 N. LEAVITT ST.; 2800-2888 N. LEAVITT ST.; 2601-2769 N. HOYNE AVE.; 2600-2768 N. HOYNE AVE.; 2600-2800 N. DAMEN AVE. 2201-2223 W. OAKDALE AVE.

- WHEREAS, the applicant, LATHROP COMMUNITY PARTNERS, LLC, proposes the construction of two new mixed use buildings, the renovation of fourteen (14) existing structures, allow for a maximum of 1,208 residential dwelling units and 50,000 square feet of commercial and retail space, establish five (5) subareas, accessory parking, and accessory and incidental uses. The project will be divided into a Phase I, II and III. The scope of work included in Phase I will include land in Subareas 1, 2, and 4. The applicant requests a rezoning of the subject property to a C2-3 (Motor Vehicle-Related Commercial District) prior to establishing the Waterway Residential-Business Planned Development; and
- WHEREAS, This development is being submitted by the applicant as a mandatory planned development application and an application for a Planned Development was introduced to the City Council on September 24, 2015; and
- WHEREAS, proper legal notice of the hearing before the Chicago Plan Commission was published in the <u>Chicago Sun-Times</u> on February 3, 2016. The proposed Zoning Application was considered at a public hearing by this Plan Commission on February 18, 2016. The Applicant was separately notified of this hearing; and
- WHEREAS, the Plan Commission has reviewed the applications with respect to the provisions of the Chicago Zoning Ordinance and finds that the proposal will be consistent with said provisions; and
- WHEREAS, the Department of Planning and Development recommended approval of the application, with the recommendation and explanation contained in the written report dated February 18, 2016, a copy of which is attached hereto and made a part hereof; and

WHEREAS, the Chicago Plan Commission has fully reviewed the application and all informational submissions associated with the proposed amendment, the report and recommendation of the Commissioner of the Department of Planning and Development, and all other testimony presented at the public hearing held on February 18, 2016 giving due and proper consideration to the Chicago Zoning Ordinance; and

NOW, THEREFORE, BE IT RESOLVED BY THE CHICAGO PLAN COMMISSION:

- 1. THAT the final revised application dated February 18, 2016 be approved as being in conformance with the provisions, terms and conditions of the corresponding Zoning application; and
- 2. THAT this Plan Commission recommends approval to the City Council Committee on Zoning, Landmarks and Building Standards of the final zoning application dated February 18, 2016; and
- 3. THAT the above-stated recitals to this resolution together with the report of the Commissioner of the Department of Planning and Development be adopted as the findings of fact of the Chicago Plan Commission regarding the zoning map amendment and planned development application.

Martin Cabrera, Jr.

Chairman :

Chicago Plan Commission

| Approved: | |
|-------------------|--|
| February 18, 2016 | |
| WRBPD No. | |

RECEIVED

FEB 1 8 2015

AMENDED APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| 1. | ADDRESS of the property Applicant is seeking to rezone: |
|----|--|
| | 2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey |
| | Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne |
| | Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and 2201-2223 West |
| | Oakdale Avenue |
| 2. | Ward Number that property is located in: 1st and 32nd Wards |
| 3. | APPLICANT Lathrop Community Partners, LLC |
| | ADDRESS 350 West Hubbard Street, Suite 300 |
| • | CITY Chicago STATE IL ZIP CODE 60654 |
| | PHONE 312-595-7400 CONTACT PERSON Will Tippens |
| 4. | Is the applicant the owner of the property? YESNOX |
| | ADDRESS 60 East Van Buren Street |
| • | CITY Chicago STATE IL ZIP CODE 60605 |
| | PHONE 312-913-7574 CONTACT PERSON Anthony Alvarez |
| 5. | If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information: |
| | ATTORNEY DLA Piper LLP (US) - Attn: Rich Klawiter & Katie Jahnke Dale |
| | ADDRESS 203 N. LaSalle Street, Suite 1900 |
| | CITY Chicago STATE IL ZIP CODE 60601 |
| | PHONE (312) 368-7243 / -2153 FAX (312) 630-7398 |
| | EMAIL richard.klawiter@dlapiper.com / katie.dale@dlapiper.com |

| 6. | If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements: | | | |
|-----|--|--|--|--|
| | See attached Economic Disclosure Statements | | | |
| | | | | |
| 7. | On what date did the owner acquire legal title to the subject property? | | | |
| 8. | Has the present owner previously rezoned this property? If yes, when? No | | | |
| 9. | Present Zoning District RT-4 Residential Two-Flat, Townhouse and Multi-Unit District and POS-2 | | | |
| | Neighborhood Park, Mini-Park or Playlot District | | | |
| | Proposed Zoning District C2-3 Motor Vehicle-Related Commercial District, then to a Residential | | | |
| | Business Planned Development | | | |
| 10. | Lot size in square feet (or dimensions)+/- 1,265,781 square feet | | | |
| 11. | Current Use of the Property Residential | | | |
| 12. | Reason for rezoning the property Mandatory Planned Development pursuant to 17-8-0509 Development Along Waterways and 17-8-0513 Large Residential Developments. | | | |
| 13. | Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC) | | | |
| - | The Applicant requests a rezoning of the subject property from RT-4 Residential Two-Flat. Townhouse and Multi-Unit District and POS-2 Neighborhood Park, Mini-Park or Playlot District to the C2-3 Motor Vehicle-Related Commercial District then to a Residential-Business Planned Development to allow for the construction and renovation of approximately 1,208 residential dwelling units and 50,000 square feet of commercial and retail space containing an overall FAR of 1.2, accessory parking, and accessory and incidental uses. | | | |
| 14. | On May 14 th , 2007, the Chicago City Council passed the Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units or a financial contribution if residential housing projects receive a zoning change under certain circumstances. Based on the lot size of the project ir question and the proposed zoning classification, is this project subject to the Affordable Requirements Ordinance? (See fact Sheet for more information) | | | |
| | YES _ X NO | | | |

كرم

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|---|
| Lathrop Community Partners, LLC |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [X] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: |
| OR 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 350 W. Hubbard St., Suite 300, Chicago, IL 60654 |
| C. Telephone: 312-595-7400 Fax: Email: swick@relatedmidwest.com |
| D. Name of contact person: Sarah Wick |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| Zoning Amendment for property located at 2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and 2201-2223 West Oakdale Avenue. |
| G. Which City agency or department is requesting this EDS? Department of Planning and Development |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # and Contract # |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| Indicate the nature of the Disclosing Pa Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | rty: [x] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify) |
|---|---|
| 2. For legal entities, the state (or foreign c | ountry) of incorporation or organization, if applicable: |
| Illinois | |
| business in the State of Illinois as a foreign ent | tate of Illinois: Has the organization registered to do tity? |
| [] Yes [] No | [x] N/A |
| B. IF THE DISCLOSING PARTY IS A LEG | AL ENTITY: |
| NOTE: For not-for-profit corporations, also I there are no such members, write "no member the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the name | all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If rs." For trusts, estates or other similar entities, list below partnership, limited liability company, limited liability he and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. bmit an EDS on its own behalf. |
| Name | Title |
| Related Lathrop, LLC | Member/Manager |
| Heartland Lathrop, LLC | Non-Profit Member |
| Bickerdike Lathrop, LLC | Non-Profit Member |
| | |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | Business Address | Percentage Interest in the | |
|-------------------------|------------------------------|----------------------------|--------------|
| | | Disclosing Party | |
| Related Lathrop, LLC | 350 W. Hubbard St., Ste. 300 | Chicago, IL 60654 | 60% Interest |
| Heartland Lathrop, LLC | 208 S. LaSalle St., Ste 1818 | Chicago, IL 60604 | 20% Interest |
| Bickerdike Lathrop, LLC | 2550 W. North Avenue Chic | ago, IL 60647 | 20% Interest |
| | | | |

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

| , | • | Č |
|-------------------------------------|---------------------------------|--|
| [] Yes | [X] No | |
| If yes, please ide relationship(s): | ntify below the name(s) of such | City elected official(s) and describe such |
| | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|--|
| See attached list. | | | |
| (Add sheets if necessary) | | | |
| [] Check here if the Disc | losing Party ha | as not retained, nor expects to retain | , any such persons or entities |
| SECTION V CERTII | FICATIONS | | |
| A. COURT-ORDERED | CHILD SUPP | ORT COMPLIANCE | |
| | | -415, substantial owners of business h their child support obligations thro | |
| • • | • | ly owns 10% or more of the Disclosons by any Illinois court of competer | <u> </u> |
| [] Yes [X] | | o person directly or indirectly owns sclosing Party. | 10% or more of the |
| If "Yes," has the person of is the person in complian | | court-approved agreement for paymorement? | ent of all support owed and |
| [] Yes [] N | lo . | | |
| B. FURTHER CERTIFI | CATIONS | • | |
| | • | apter 1-23, Article I ("Article I")(wh | |

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: | | | | |
|--|----------------------|----------------|--------------------|--|
| | ie Biseresing rung i | must explain t | , C. 10 VV. | |
| | , | | | |
| | | | | |
| | | | | |

| presumed that the Disclosing Party certified to the above statements. |
|---|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). None |
| |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicat with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is [X] is not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |
| |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

| | word "None," or no response that the Disclosing Party cert | appears on the lines above, it will be ified to the above statements. |
|---|--|--|
| D. CERTIFICATION | REGARDING INTEREST IN | N CITY BUSINESS |
| Any words or terms the meanings when used in | | 6 of the Municipal Code have the same |
| | | Municipal Code: Does any official or employee name or in the name of any other person or |
| NOTE: If you checke Item D.1., proceed to F | | to Items D.2. and D.3. If you checked "No" to |
| elected official or emp any other person or ent for taxes or assessment "City Property Sale"). | loyee shall have a financial in tity in the purchase of any prop ts, or (iii) is sold by virtue of l | ive bidding, or otherwise permitted, no City terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D. |
| Does the Matter involv | ve a City Property Sale? | |
| [] Yes | [] No | |
| | | e names and business addresses of the City ify the nature of such interest: |
| Name | Business Address | Nature of Interest |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|--|
| X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any |

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it-awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

CERTIFICATION REGARDING FOUAL EMPLOYMENT OPPORTUNITY

| If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. Is the Disclosing Party the Applicant? [X] Yes [] No If "Yes," answer the three questions below: 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [X] No 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [X] No 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [] Yes [X] No If you checked "No" to question 1. or 2. above, please provide an explanation: Lathrop Community Partners has no employees | D. CERTIFICATION | REGARDING EQUAE EMI EOTMENT OFFORTONTT |
|---|--|--|
| [X] Yes [] No If "Yes," answer the three questions below: 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [X] No 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [X] No 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [] Yes [X] No If you checked "No" to question 1. or 2. above, please provide an explanation: | subcontractors to subr | |
| If "Yes," answer the three questions below: 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [X] No 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [X] No 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [] Yes [X] No If you checked "No" to question 1. or 2. above, please provide an explanation: | Is the Disclosing Party | y the Applicant? |
| Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No If you checked "No" to question 1. or 2. above, please provide an explanation: | [X] Yes | [] No |
| federal regulations? (See 41 CFR Part 60-2.) [] Yes [X] No 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [X] No 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [] Yes [X] No If you checked "No" to question 1. or 2. above, please provide an explanation: | If "Yes," answer the the | hree questions below: |
| Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? [] Yes [X] No 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [] Yes [X] No If you checked "No" to question 1. or 2. above, please provide an explanation: | federal regulations? (| See 41 CFR Part 60-2.) |
| equal opportunity clause? [] Yes [X] No If you checked "No" to question 1. or 2. above, please provide an explanation: | Contract Compliance under the applicable f | Programs, or the Equal Employment Opportunity Commission all reports due iling requirements? |
| If you checked "No" to question 1. or 2. above, please provide an explanation: | - | |
| | [] Yes | [X] No |
| Eathor Community Farthers has no outroyees | • | |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

;

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Lathrop Community Parthers, LLC By: Related Lath | rop, LLC, its Manager |
|--|-------------------------------------|
| (Print or type name of Disclosing Party) | |
| By: (Sign here) | |
| Curt A. Bailey | |
| (Print or type name of person signing) | |
| President | |
| (Print or type title of person signing) | |
| 012/16 | |
| Signed and sworn to before me on (date) $912/12$, at 100 County, 1 (state). | , |
| And Notary Public. | OFFICIAL SEAL JACALYN M FINKEL GUON |
| Commission expires: May 13, 3018. | MY COMMISSION EXPIRES:05/12/18 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

r 137.

| [] res | [A] NO | | | |
|-----------------------|---|-----------------------------|---------------------------|--|
| such person is connec | ify below (1) the name and title of the corelationship, and (4) the precise name and title of the corelationship, and (4) the precise name and title of the corelationship. | elected city official or de | epartment head to whom su | |
| | | | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| 1. | - | | Applicant or any Owner identified as a to Section 2-92-416 of the Municipal |
|----|-----------------------------|----------------------------|---|
| | [] Yes | [x] No | |
| 2. | | a building code scofflaw o | y exchange, is any officer or director of r problem landlord pursuant to Section |
| • | [] Yes | [·] No | [x] Not Applicable |
| 3. | identified as a building co | | ame of the person or legal entity dlord and the address of the building or /. |
| | | | |
| | | | |
| | | . , | 1 |

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

Attachment

Section IV - Disclosure of Subcontractors and Other Retained Parties

| Name | Business Address | Relationship to Disclosing Party | Fees |
|----------------------------|---|----------------------------------|-----------------|
| DLA Piper - Retained | 203 N. LaSalle, #1900, Chicago, IL | Attorney | \$5,000 (est.) |
| Farr Associates - Retained | 53 W Jackson Street, #650, Chicago, IL | Master Planner | \$10,000 (est.) |
| bKL - Retained | 225 N Columbus Dr, Chicago, IL | Architect | \$5,000 (est.) |
| MVVA - Retained | 16 Court Street, 11 th Floor, BK, NY | Landscape Architect | \$5,000 (est.) |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|---|
| LR Development LLC |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR |
| 2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Lathrop Community Partners LLC OR |
| 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 350 West Hubbard, Suite 300 Chicago, IL 60654 |
| C. Telephone: 312-595-7400 Fax: 312-595-1898 Email: swick@relatedmidwest.com |
| D. Name of contact person: Sarah Wick |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| Zoning Amendment for property located at 2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and 2201-2223 West Oakdale Avenue. |
| G. Which City agency or department is requesting this EDS? Department of Planning and Development |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # N/A and Contract # N/A |

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [X] Limited liability company [] Person [] Limited liability partnership [] Publicly registered business corporation [] Privately held business corporation [] Joint venture [] Sole proprietorship Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] General partnership [] No [] Limited partnership []Yes [] Trust [] Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes X No [] N/A B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title See attachment II.B.1

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | Business Address | Percentage Intere | st in the |
|----------------------------|-----------------------------------|-------------------|-----------|
| | | Disclosing Party | |
| Related LR Development LLC | 60 Columbus Circle, New York, N | Y 10023 | 73.4% |
| LRD Group LLC | 350 W. Hubbard, Suite 300, Chicag | go, IL 60642 . | |
| Curt Bailey | 350 W. Hubbard, Suite 300, Chica | go, IL 60642 | |
| | | | |

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

| []Yes | [X] No | | |
|--------------------------------------|-------------------------------|--|---|
| If yes, please iden relationship(s): | tify below the name(s) of suc | h City elected official(s) and describe such | , |
| | | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| retained or anticipated to be retained) | Address | (subcontractor, attorney, lobbyist, etc.) | paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|--|--|--|
| NIA | · · · · · · · · · · · · · · · · · · · | | not an acceptable response. |
| | | | |
| | | | |
| (Add sheets if necessary) | | | |
| [X] Check here if the Disch | osing Party h | as not retained, nor expects to retain | , any such persons or entities |
| SECTION V - CERTIF | CATIONS | | |
| A. COURT-ORDERED | CHILD SUPP | ORT COMPLIANCE | |
| / - | | -415, substantial owners of business th their child support obligations thr | |
| | | ely owns 10% or more of the Disclosons by any Illinois court of competer | |
| [] Yes [X] N | | o person directly or indirectly owns sclosing Party. | 10% or more of the |
| If "Yes," has the person e is the person in compliance | | court-approved agreement for paym greement? | ent of all support owed and |
| []Yes []No | 0 | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| consult for defined terms submitting this EDS is the certifies as follows: (i) ne with, or has admitted guil criminal offense involving | (e.g., "doing less Applicant and ither the Applicant of, or has every actual, attentions." | apter 1-23, Article I ("Article I") (who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is completed, or conspiracy to commit bribe officer or employee of the City or a | if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any ery, theft, fraud, forgery, |

Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General); 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| | | Party is unable to osing Party must | | | tatements in th | is Part B (Further |
|-----|-------|--|-------------|-------------|-----------------|--------------------|
| N/A | | | | | | |
| | | | | - | | |
| | | | | | <u> </u> | |
| | · | | | <u>-</u> - | | |
| | | | | • | | |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is [X] is not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |
| |

| | yees having such interest and identif | Nature of Interest |
|---|--|--|
| | | fy the nature of such interest: |
| 3 If you chec | | names and business addresses of the City |
| []Yes | []No | |
| Does the Matter in | avolve a City Property Sale? | e de la companya de La companya de la co |
| 2. Unless sold elected official or any other person of for taxes or assess "City Property Sal | I to Part E. I pursuant to a process of competitive employee shall have a financial interest entity in the purchase of any properments, or (iii) is sold by virtue of legarity. | ve bidding, or otherwise permitted, no City crest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain power ning of this Part D. |
| of the City have a entity in the Matte [] Yes | financial interest in his or her own rer? [X] No | name or in the name of any other person or |
| meanings when us | ed in this Part D. | of the Municipal Code have the same Iunicipal Code: Does any official or employee |
| D. CERTIFICAT | ION REGARDING INTEREST IN | CITY BUSINESS |
| | " the word "None," or no response a med that the Disclosing Party certif | ppears on the lines above, it will be ied to the above statements. |
| TC45 - 1-44 UNIA | 1.11 1.03.7 | .9 12 1 24 111 1 |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| connection with the Matter voidable by the City. | |
|--|---------------|
| x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. | , |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: | |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS | |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federal funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. | iy |
| A. CERTIFICATION REGARDING LOBBYING | |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): N/A | |
| | |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entitie registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) | S |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pany person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as define applicable federal law, a member of Congress, an officer or employee of Congress, or an employee member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, rene | ed by of a |

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| negotiations. | |
|---------------------------------|---|
| Is the Disclosing I | arty the Applicant? |
| []Yes | [X] No |
| If "Yes," answer t | ne three questions below: |
| | eveloped and do you have on file affirmative action programs pursuant to applical? (See 41 CFR Part 60-2.) |
| | |
| Contract Complian | led with the Joint Reporting Committee, the Director of the Office of Federal ce Programs, or the Equal Employment Opportunity Commission all reports due te filing requirements? |
| []Yes | []No |
| 3. Have you p equal opportunity | articipated in any previous contracts or subcontracts subject to the clause? |
| []Yes | []No |
| If you checked "N | o" to question 1. or 2. above, please provide an explanation: |
| | · |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| LR Development Company LLC | |
|---|--|
| (Print or type name of Disclosing Party) | • |
| Ву: | |
| (Sign here) | |
| Curt Bailey: | |
| (Print or type name of person signing) | |
| President | |
| (Print or type title of person signing) | |
| | |
| Signed and sworn to before me on (date) $\frac{93}{}$ at $\frac{600}{}$ County, $\frac{1}{}$ (state | 15 |
| Cond Mile M. J. Co. | y Public. OFFICIAL SEAL JACALYN M FINKEL GUON |
| Commission expires: May 12, 2018 | NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:05/12/18 |
| | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [] res | [x] No | | | |
|-----------------------|--|-----------------------------|--------------------------|--|
| such person is connec | ify below (1) the name and title eted; (3) the name and title of the relationship, and (4) the precise | ne elected city official or | r department head to who | |
| | | | | |

ATTACHMENT II.B.1

To Economic Disclosure Statement for LR Development Company LLC

Curt R. Bailey

President

Deborah Walters

Chief Financial Officer/Vice President/Treasurer

Don Biernacki

Senior Vice President

Jeff Blau

Vice President

Michael Brenner

Vice President

Richard O'Toole

Vice President

Frank Soldano

Vice President

Lawrence Koch

Vice President

Jacques Sandberg

Vice President/Secretary

Jacalyn M. Guon

Vice President/Assistant Secretary

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|---|
| Related LR Development LLC |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR |
| 2. [k] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Lathrop Community Partners, LLC OR |
| 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 60 Columbus Circle New York, NY 10023 |
| C. Telephone: 212-421-5333 Fax: 212-801-3781 Email: mbrenner@related.com |
| D. Name of contact person: Michael J. Brenner |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| Zoning Amendment for property located at 2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkwa 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and 2201-2223 West Oakdale Avenue. |
| G. Which City agency or department is requesting this EDS? Department of Planning and Development |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # N/A and Contract # N/A |

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| Indicate the nature of the Disclosing Parel Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | rty: [X] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify) |
|--|--|
| 2. For legal entities, the state (or foreign c | country) of incorporation or organization, if applicable: |
| Delaware | • |
| 3. For legal entities not organized in the S business in the State of Illinois as a foreign en [] No | tate of Illinois: Has the organization registered to do tity? [] N/A |
| B. IF THE DISCLOSING PARTY IS A LEG | AL ENTITY: |
| NOTE: For not-for-profit corporations, also li there are no such members, write "no members the legal titleholder(s). If the entity is a general partnership, limited partnership or joint venture, list below the name | all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below a partnership, limited liability company, limited liability ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party. Is built an EDS on its own behalf. |
| Name | Title |
| Stephen M. Ross | President |
| Michael J. Brenner | Executive Vice President |
| Jeff T. Blau | Vice President |
| Bruce A. Beal, Jr. | Vice President |
| The Related Companies, L.P. | Member |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

relationship(s):

| Name | Business Address | Percent | tage Interest in the |
|---|--|---------|----------------------|
| | | Disclos | sing Party |
| The Related Companies, L.P. | · 60 Columbus Circle, New York, NY | 10023 | 90% |
| Yukon Holdings, L.L.C. | 60 Columbus Circle, New York, NY | 10023 | 10% |
| 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | | ····- | |
| | | | |
| SECTION III – BUSINI | ESS RELATIONSHIPS WITH CI | TY EL | ECTED OFFICIALS |
| | ty had a "business relationship," as deed official in the 12 months before the | | <u>-</u> |
| [] Yes | k] No | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

If yes, please identify below the name(s) of such City elected official(s) and describe such

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|--|---|---|
| | | | |
| | | | |
| (Add sheets if necessary) | | | |
| [x] Check here if the Disc | losing Party h | as not retained, nor expects to retain | a, any such persons or entities |
| SECTION V CERTIF | FICATIONS | | |
| A. COURT-ORDERED | CHILD SUPI | PORT COMPLIANCE | |
| - | | 2-415, substantial owners of business the their child support obligations thr | |
| | | tly owns 10% or more of the Disclosons by any Illinois court of compete | |
| []Yes [A]N | | To person directly or indirectly owns isclosing Party. | 10% or more of the |
| If "Yes," has the person e is the person in complian | | court-approved agreement for paym greement? | ent of all support owed and |
| []Yes []N | о — . | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| consult for defined terms submitting this EDS is the certifies as follows: (i) ne with, or has admitted guil | (e.g., "doing e Applicant a ither the App t of, or has ev | apter 1-23, Article I ("Article I")(whe business") and legal requirements), and is doing business with the City, the licant nor any controlling person is ever been convicted of, or placed under the person of the person is the person of the | if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any |

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

| a, | bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to |
|----|--|
| | bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal |
| | government or of any state or local government in the United States of America, in that officer's |
| | or employee's official capacity; |

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| ng Party is unable to certi | e statements in th | nis Part B (Further |
|-----------------------------|------------------------|---------------------|
| | | |
| | | |
| | | |

| presumed that the Disclosing Party certified to the above statements. |
|---|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicat with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is [x] is not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |
| |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

| | ," the word "None," or no response a numed that the Disclosing Party certif | • |
|---|---|--|
| D. CERTIFICAT | TION REGARDING INTEREST IN | CITY BUSINESS |
| - | ms that are defined in Chapter 2-156 used in this Part D. | of the Municipal Code have the same |
| | a financial interest in his or her own | Nunicipal Code: Does any official or employee name or in the name of any other person or |
| []Yes | k] No | |
| NOTE: If you collem D.1., proceed | - | to Items D.2. and D.3. If you checked "No" to |
| elected official o any other person for taxes or asses "City Property Sa | r employee shall have a financial into or entity in the purchase of any prop sments, or (iii) is sold by virtue of le | we bidding, or otherwise permitted, no City erest in his or her own name or in the name of erty that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, ten pursuant to the City's eminent domain powerning of this Part D. |
| Does the Matter | involve a City Property Sale? | |
| []Yes | []No | · |
| | cked "Yes" to Item D.1., provide the oyees having such interest and identi | names and business addresses of the City fy the nature of such interest: |
| Name | Business Address | Nature of Interest |
| , | Zuomana Transa | Tratate of Interest |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. | | | |
|--|--|--|--|
| x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. | | | |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: | | | |
| | | | |
| SECTION VI – CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS | | | |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. | | | |
| A. CERTIFICATION REGARDING LOBBYING | | | |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): N/A | | | |
| | | | |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) | | | |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined be applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, | | | |

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
 4. The Disclosing Party certifies that either: (i) it is not an organization described in section
- 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party-is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| is the Disclosing I | Party the Applicant? | • |
|---------------------------------|---|---|
| []Yes | [x] No | |
| If "Yes," answer t | he three questions below: | |
| • | leveloped and do you have s? (See 41 CFR Part 60-2.) | on file affirmative action programs pursuant to applicable |
| []Yes | [] No | |
| Contract Complia | | ng Committee, the Director of the Office of Federal Employment Opportunity Commission all reports due |
| 3. Have you p equal opportunity | | contracts or subcontracts subject to the |
| []Yes | [] No | |
| If you checked "N | o" to question 1. or 2. abov | ve, please provide an explanation: |
| | | |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Related LR Development LLC

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| (Print or type name of Disclosing Party) |
|--|
| By: Mulat & Brance |
| (Sign here) |
| Michael J. Brenner |
| (Print or type name of person signing) |
| Executive Vice President |
| (Print or type title of person signing) |
| |
| Signed and sworn to before me on (date) September 1 2015, at New York (state). |
| Count M. Callys Notary Public. CORINE M. COLLIGAN Notary Public, State of New York Registration #01CO6014998 |
| Commission expires: 10/19/2019 Commission Expires October 19, 2015 |
| |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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[] Vac

| []IW | [~] 140 | |
|-------------------------|----------------------------------|---|
| such person is connecte | d; (3) the name and title of the | le of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship. |
| | | |
| 1 | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party s | submitting this EDS. Include d/b/a/ if applicable: |
|--|---|
| Related Lathrop LLC | |
| Check ONE of the following three box | Kes: |
| Indicate whether the Disclosing Party su 1. [] the Applicant OR | abmitting this EDS is: |
| | or indirect interest in the Applicant. State the legal name of the Party holds an interest: Lathrop Community Partners LLC |
| | entrol (see Section II.B.1.) State the legal name of the entity in eight of control: |
| B. Business address of the Disclosing P | Party: 350 W. Hubbard, Suite 300 Chicago, IL 60654 |
| C. Telephone: 312-595-7400 Fa | x: 312-595-1898 Email: swick@relatedmidwest.com |
| D. Name of contact person: Sarah Wick | |
| | (if you have one): |
| · · · · · · · · · · · · · · · · · · · | tion or other undertaking (referred to below as the "Matter") to ect number and location of property, if applicable): |
| Zoning Amendment for property located at 2820-2996 No. 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Damen Avenue and 2201-2223 West Oakdale Avenue. | orth Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; t Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North |
| G. Which City agency or department is | requesting this EDS? Department of Planning and Developmnet |
| If the Matter is a contract being hand complete the following: | led by the City's Department of Procurement Services, please |
| Specification # N/A | and Contract # N/A |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the na | ture of the Disclosing Pa | arty: |
|--|--|---|
| [] Person | | [X] Limited liability company |
| - · | • | [] Limited liability partnership |
| [] Privately held bus | | [] Joint venture |
| [] Sole proprietorship | | [] Not-for-profit corporation |
| [] General partnershi | = | (Is the not-for-profit corporation also a 501(c)(3))? |
| [] Limited partnershi | p | []Yes []No |
| [] Trust | | [] Other (please specify) |
| 2. For legal entiti | es, the state (or foreign o | country) of incorporation or organization, if applicable: |
| 11111013 | · · · · · · · · · · · · · · · · · · · | |
| _ | es not organized in the S of Illinois as a foreign en | tate of Illinois: Has the organization registered to do tity? |
| [] Yes | [] No | [X] N/A |
| B. IF THE DISCLOS | ING PARTY IS A LEG | AL ENTITY: |
| NOTE: For not-for-p there are no such men the legal titleholder(s) If the entity is a gen partnership or joint ver manager or any other | rofit corporations, also labers, write "no member of the control o | all executive officers and all directors of the entity. ist below all members, if any, which are legal entities. If s." For trusts, estates or other similar entities, list below I partnership, limited liability company, limited liability ne and title of each general partner, managing member, trols the day-to-day management of the Disclosing Party ibmit an EDS on its own behalf. |
| Name See attachment II.B.1 | | Title - |
| • | | |
| | | |
| | | |
| | ··· | |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | Business Address | Percentage Interest in the | |
|----------------------|---|--|---------|
| | | Disclosing Party | |
| LR Development Co | ompany LLC 350 W. Hubbard, Suite 3 | 300, Chicago, IL 60654 100% | |
| <u> </u> | | | |
| | | | |
| | | • | |
| | | | |
| | | | |
| | | | |
| SECTION III I | BUSINESS RELATIONSHIPS W | ITH CITY ELECTED OFFICIALS | |
| Has the Disclos | ing Party had a "business relationsh | ip," as defined in Chapter 2-156 of the Mu | micinal |
| | ty elected official in the 12 months by | • | шстрат |
| Code, with any Cit | y elected official in the 12 months t | before the date this EDS is signed: | |
| f 1 37 | Fa NT. | | |
| [] Yes | [X] No | | |
| If was mlasses ident | if helevy the name(a) of such City | alastad official(s) and describe such | |
| • • | ity below the name(s) of such City | elected official(s) and describe such | |
| relationship(s): | | | |
| | | | |
| | | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|---|---|
| N/A | | | not an acceptable response. |
| | | | |
| | | | |
| (Add sheets if necessary) | | | |
| [X] Check here if the Disc | losing Party h | as not retained, nor expects to retain | a, any such persons or entities. |
| SECTION V CERTIF | TICATIONS | | |
| A. COURT-ORDERED | CHILD SUPP | ORT COMPLIANCE | |
| - | | -415, substantial owners of business th their child support obligations thr | |
| * • | • | ly owns 10% or more of the Disclosons by any Illinois court of compete | |
| [] Yes [X] N | | o person directly or indirectly owns sclosing Party. | 10% or more of the |
| If "Yes," has the person e is the person in compliance | | court-approved agreement for paym greement? | ent of all support owed and |
| []Yes []N | O | <u> </u> | |

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| | • | nable to certify to ty must explain be | • | e statements in | this Part B (Fu | ırther |
|--|---|---|---|-----------------|-----------------|--------|
| | | · | | | | |
| | , | | | | | |
| | | | | | | |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is [X] is not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |
| |

| 70.1 1 H27.1 | | |
|---|---|---|
| | - | nse appears on the lines above, it will be ertified to the above statements. |
| conclusively presu | incu that the Disclosing I arty t | criffied to the above statements. |
| D. CERTIFICATI | ON REGARDING INTEREST | IN CITY BUSINESS |
| Any words or term | s that are defined in Chapter 2- | 156 of the Municipal Code have the same |
| meanings when us | ed in this Part D. | |
| | financial interest in his or her o | ne Municipal Code: Does any official or employee wn name or in the name of any other person or |
| [] Yes | [X] No | |
| NOTE: If you che Item D.1., proceed | - | eed to Items D.2. and D.3. If you checked "No" to |
| elected official or any other person o for taxes or assess "City Property Sale | employee shall have a financial r entity in the purchase of any p nents, or (iii) is sold by virtue o | etitive bidding, or otherwise permitted, no City interest in his or her own name or in the name of property that (i) belongs to the City, or (ii) is sold of legal process at the suit of the City (collectively, taken pursuant to the City's eminent domain power meaning of this Part D. |
| Does the Matter in | volve a City Property Sale? | |
| []Yes | [] No | |
| | | the names and business addresses of the City entify the nature of such interest: |
| Name | Business Address | Nature of Interest |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

Nature of Interest

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| connection with the Matter voidable by the City. |
|--|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): N/A |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any |

comply with these disclosure requirements may make any contract entered into with the City in

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew,

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

| | Party will submit an update vevent that materially affects. and A.2. above. | | | |
|--|---|--|--|---|
| 501(c)(4) of the Interna | Party certifies that either: (al Revenue Code of 1986; al Revenue Code of 1986 b | or (ii) it is an organiz | ation described in section | on |
| form and substance to subcontract and the Di | g Party is the Applicant, the paragraphs A.l. through A sclosing Party must maintal and must make such certification. | .4. above from all sub in all such subcontrac | ocontractors before it aw ctors' certifications for the | vards any he |
| B. CERTIFICATION | REGARDING EQUAL E | MPLOYMENT OPPO | ORTUNITY | |
| | ly funded, federal regulation it the following information | | | f |
| | · . | | | |
| Is the Disclosing Party | the Applicant? | , | | |
| []Yes | X No | | | |
| If "Yes," answer the th | | sin in the second second | | · • • • • • • • • • • • • • • • • • • • |
| federal regulations? (S | oped and do you have on fi See 41 CFR Part 60-2.) [] No | ile affirmative action | programs pursuant to ap | plicable |
| | with the Joint Reporting Co Programs, or the Equal Empling requirements? | | | |
| []Yes | [] No | | | |
| 3. Have you partic equal opportunity claus | ipated in any previous con | tracts or subcontracts | subject to the | |
| [] Yes | [] No | | v | |
| If you checked "No" to | question 1. or 2. above, p | lease provide an expl | ination: | |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Related Lathrop LLC | , | | |
|--|--|--|--------|
| (Print or type name of Disclosing Party) | | | |
| | e a same a company and a compa | | |
| By:/(Sign here) | | | |
| | | | |
| Curt Bailey | | • • | 1 |
| (Print or type name of person signing) | | | • |
| President | | | |
| (Print or type title of person signing) | ٠. | | |
| | | • | |
| Signed and sworn to before me on (date) | 13/15 (state). | , | |
| Commission expires: May 13, 3018 | Notary Public. | GFF, CIAL SEAL JACALYN M FINKEL NOTARY PUBLIC - STATE C MY COMMISSION EXPIRE | GUON } |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[x] No

[] Ves

| [] 103 | [] 110 | |
|------------------------|----------------------------------|---|
| such person is connect | ted; (3) the name and title of t | le of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship. |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | building code scofflaw Code? [] Yes | or problem landlord pursuant | to Section 2-92-416 of the Municipal |
|----|---|--|---|
| 2. | If the Applicant is a leg | gal entity publicly traded on any d as a building code scofflaw o | exchange, is any officer or director or rection or problem landlord pursuant to Section |
| | [] Yes | [] No | Not Applicable |
| | | ve, please identify below the na | me of the person or legal entity |
| 3. | identified as a building | code scofflaw or problem land pertinent code violations apply | illord and the address of the building or /. |
| 3. | identified as a building buildings to which the | | / • |

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

ATTACHMENT II.B.1

To Economic Statement for Related Lathrop LLC

Curt Bailey President

Stephen M. Ross Chief Executive Officer

Jeff Blau Executive Vice President

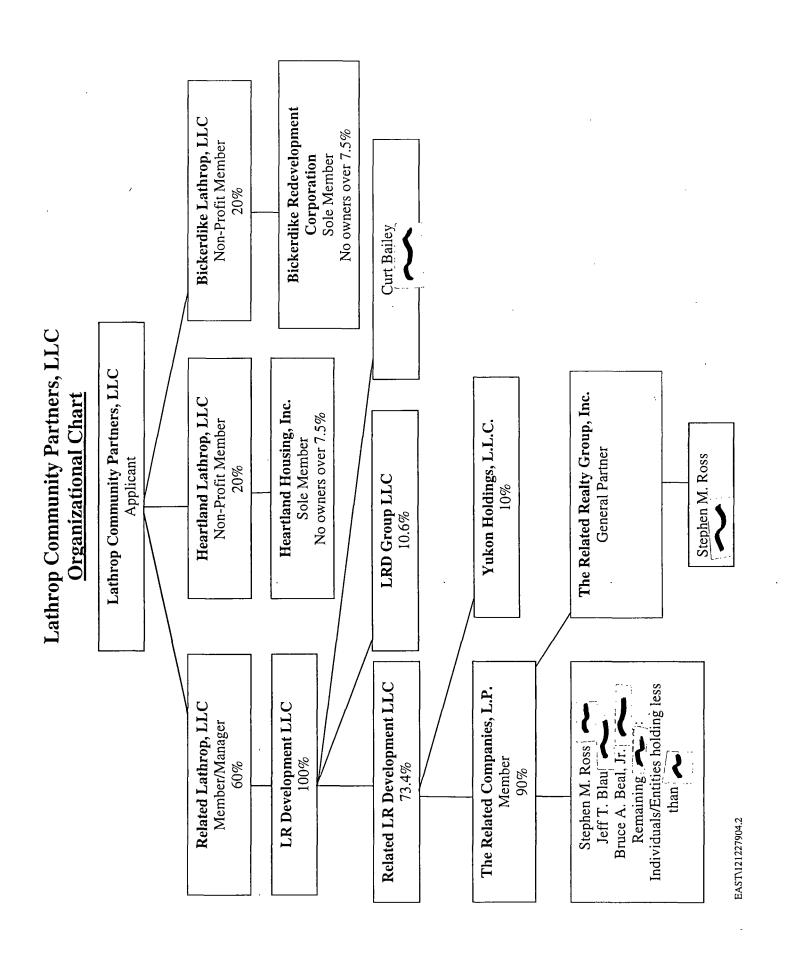
Bruce A. Beal, Jr. Executive Vice President

Michael Brenner Executive Vice President

Jacques Sandberg Vice President

Susan J. McGuire Secretary

Deborah Walters Treasurer



CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|---|
| Heartland Lathrop, LLC |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR |
| 2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: <u>Lathrop Community Partners, LLC.</u> OR |
| 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 208 S. LaSalle St., Suite 1300 |
| C. Telephone: 312-660-1383 Fax: 312-660-1555 Email: mgoldberg@heartlandalliance.or D. Name of contact person: Michael Goldberg |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): Zoning Amendment for property located at 2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and 2201-2223 West Oakdale Avenue. |
| G. Which City agency or department is requesting this EDS? <u>Department of Planning and Development</u> |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # NA and Contract # NA |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

Heartland Housing, Inc.

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [X] Limited liability company [] Publicly registered business corporation [] Limited liability partnership [] Privately held business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation [] General partnership (Is the not-for-profit corporation also a 501(c)(3))? [] Limited partnership [] T-rust --- ---Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? []Yes [X] N/A [] No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Title Name

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

Sole member of Heartland Lathrop, LLC

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | | usiness Address | Percentage Interest in the Disclosing Party | |
|---|-------------|----------------------------------|--|--|
| Heartland Hou | sing, Inc. | 208 S. LaSalle St., Ste. 1300 | 100% | |
| | | Chicago, IL 60613 | | |
| | | | | |
| SECTION III B | USINESS | RELATIONSHIPS WITH C | TITY ELECTED OFFICIALS | |
| | - | nd a "business relationship," as | defined in Chapter 2-156 of the Municipal the date this EDS is signed? | |
| [] Yes | [X] | No | | |
| If yes, please identi relationship(s): | fy below th | ne name(s) of such City elected | official(s) and describe such | |
| | | | | |

SECTION IV -- DISCLOSURE OF SUBCONTRÁCTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. | |
|--|---------------------|---|--|--|
| No retained parties. | | | | |
| | | | | |
| (Add sheets if necessary | ·) | | | |
| [X] Check here if the Disc | losing Party h | as not retained, nor expects to retain | a, any such persons or entities. | |
| SECTION V CERTII | FICATIONS | | • | |
| A. COURT-ORDERED | CHILD SUPP | ORT COMPLIANCE | | |
| | | -415, substantial owners of business th their child support obligations thr | | |
| | • | ely owns 10% or more of the Disclor ons by any Illinois court of compete | <u> </u> | |
| []Yes []N | | o person directly or indirectly owns sclosing Party. | 10% or more of the | |
| If "Yes," has the person e is the person in complian | | court-approved agreement for paym greement? | ent of all support owed and | |
| [] Yes [] N | o | | · | |
| B. FURTHER CERTIFIC | CATIONS | · · · · · · · · · · · · · · · · · · · | | |

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

3.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Fu | | | | | |
|---|----------|--|--|--|--|
| Certifications), the Disclosing Party must explain b | pelow: | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | <u> </u> | | | | |
| | | | | | |
| : | | | | | |

| the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively resumed that the Disclosing Party certified to the above statements. |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a omplete list of all current employees of the Disclosing Party who were, at any time during the 12-nonth period preceding the execution date of this EDS, an employee, or elected or appointed official, f the City of Chicago (if none, indicate with "N/A" or "none"). None |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a omplete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 2-month period preceding the execution date of this EDS, to an employee, or elected or appointed fficial, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything nade generally available to City employees or to the general public, or (ii) food or drink provided in the ourse of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. None |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is [X] is not |
| "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory ender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory ender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing usiness with the City." |
| I the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in ection 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be |
|---|
| conclusively presumed that the Disclosing Party certified to the above statements. |
| D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS |
| Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D. |
| 1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? [] Yes [X] No |
| NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E. |
| 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. |
| Does the Matter involve a City Property Sale? |
| [] Yes [] No |
| 3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest: |
| Name Business Address Nature of Interest |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. | | | | |
|--|--|--|--|--|
| X_1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. | | | | |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: | | | | |
| | | | | |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS | | | | |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. | | | | |
| A. CERTIFICATION REGARDING LOBBYING | | | | |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): | | | | |
| | | | | |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) | | | | |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined be applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, | | | | |

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

| | y event that materially | | end of each calendar quarter in statements and information set |
|--|--|--|---|
| 501(c)(4) of the Intern | al Revenue Code of 19 | ner: (i) it is not an organiza 986; or (ii) it is an organiza 986 but has not engaged an | S |
| form and substance to subcontract and the Di | paragraphs-A.1. through the paragraphs and party must make the party must make the party must make the paragraphs and the party must make the paragraphs are paragraphs. | gh A:4:-above-from-all-sub aintain all such subcontrac | est obtain certifications equal in econtractors-before it awards-an etors' certifications for the elable to the City upon request. |
| B. CERTIFICATION | REGARDING EQUA | L EMPLOYMENT OPPO | ORTUNITY |
| | | nlations require the Application with their bids or in | _ · · |
| Is the Disclosing Party | the Applicant? | | |
| [] Yes | [X] No | | • |
| If "Yes," answer the th | ree questions below: | | - |
| 1. Have-you-devel federal regulations? (S | | | programs pursuant to applicable |
| - | Programs, or the Equal | ng Committee, the Director Employment Opportunity | r of the Office of Federal Commission all reports due |
| 3. Have you partic equal opportunity clau | • • • | contracts or subcontracts | subject to the |
| [] Yes | [] No | | • |

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.
- -F.3- If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Heartland Lathrop, LLC | |
|----------------------------------|----------|
| (Print or type name of Disclosin | g Party) |
| By: Will Ol | Meics |
| (Sign here) | |
| Michael Goldberg | |
| (Print or type name of person si | gning) |

Executive Director of Heartland Housing, Sole Member of Heartland Lathrop, LLC (Print or type title of person signing)

| Signed and sworn to before me on (date | Siptember 1,2015, | |
|--|-------------------|---|
| at Cook County, Tling | (state). | 45337 |
| | Notary Public. | KRISTINA BUTLER OFFICIAL SEAL Notary Public, State of Illinoi |
| Commission expires: 9/12/2016 | •• | My Commission Expires September 12, 2016 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| [] Yes | [X] No | · |
|-----------------------|---------------------------------|--|
| such person is connec | cted; (3) the name and title of | tle of such person, (2) the name of the legal entity to which the elected city official or department head to whom such se nature of such familial relationship. |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| 1. | building code scofflaw or problem Code? | · • | • |
|----|--|----------------------|--|
| | [] Yes | [X] No | |
| 2. | | | schange, is any officer or director of roblem landlord pursuant to Section |
| | [] Yes | [] No | [x] Not Applicable |
| 3. | If yes to (1) or (2) above, please ide identified as a building code scoffla buildings to which the pertinent code | w or problem landlor | e of the person or legal entity rd and the address of the building or |
| : | | | |
| | | | T . |
| | · | | |

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|---|
| Bickerdike Lathrop LLC |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [**] the Applicant OR |
| 2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Lathrop Community Partners LLC OR |
| 3. [a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 2550 W. North Avenue |
| Chicago, IL 60647 |
| C. Telephone: 773-278-5669 Fax: 773-278-5673 Email: ecastaneda@bickerdike.org |
| D. Name of contact person: Elizabeth Castaneda |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| Zoning amendment for Lathrop Homes |
| G. Which City agency or department is requesting this EDS? Department of Planning and Development |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # N/A and Contract # N/A |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Pa | arty: |
|--|--|
| Person | [Limited liability company |
| Publicly registered business corporation | Limited liability partnership |
| Privately held business corporation | Joint venture |
| Sole proprietorship | Not-for-profit corporation |
| General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| Limited partnership | ∐ Yes |
| Trust | Other (please specify) |
| 2. For legal entities, the state (or foreign of | country) of incorporation or organization, if applicable: |
| State of Illinois | |
| | |
| 3. For legal entities not organized in the S business in the State of Illinois as a foreign en | State of Illinois: Has the organization registered to do ntity? |
| [] Yes [] No | ✓ N/A |
| B. IF THE DISCLOSING PARTY IS A LEG | GAL ENTITY: |
| 1. List below the full names and titles of | all executive officers and all directors of the entity. |
| · | ist below all members, if any, which are legal entities. If |
| <u> </u> | rs." For trusts, estates or other similar entities, list below |
| the legal titleholder(s). | |
| If the entity is a general partnership, limited | d partnership, limited liability company, limited liability |
| partnership or joint venture, list below the nar | me and title of each general partner, managing member, |
| manager or any other person or entity that cor | ntrols the day-to-day management of the Disclosing Party. |
| NOTE: Each legal entity listed below must su | ibmit an EDS on its own behalf. |
| | |
| Name | Title |
| Bickerdike Redevelopment Corporation - Sole Member | |
| | |
| the state of the s | and a sure of the sure of the |
| | |
| | |
| , | *************************************** |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | Business Address | Percentage Interest in the |
|--------------------------------------|-------------------------------------|--|
| | | Disclosing Party |
| Bickerdike Redevelopment Corporation | 2550 W. North Ave Chicago, IL 60647 | 100% |
| | | : |
| 2 | | |
| , | 2550 W. North Ave Chicago, IL 60647 | · |
| | | |
| SECTION III BUSIN | ESS RELATIONSHIPS WIT | H CITY ELECTED OFFICIALS |
| | | |
| Has the Disclosing Par | ty had a "business relationship, | " as defined in Chapter 2-156 of the Municipal |
| . . | <u>.</u> | ore the date this EDS is signed? |
| | | |
| [] Yes | ∑ ì No | |
| | | |
| - | ow the name(s) of such City ele | cted official(s) and describe such |
| relationship(s): | | • |
| | | |
| | | |
| <u></u> | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fces (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is |
|---|---|--|---|
| None | | | not an acceptable response. |
| | | | |
| | | · · · · · · · · · · · · · · · · · · · | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | losing Party h | as not retained, nor expects to retain | a, any such persons or entities |
| SECTION V CERTII | FICATIONS | | |
| A. COURT-ORDERED | CHILD SUPF | PORT COMPLIANCE | |
| - | | -415, substantial owners of business the their child support obligations the | |
| | | tly owns 10% or more of the Disclo | - |
| ☐ Yes ☐ N | | To person directly or indirectly owns sclosing Party. | s 10% or more of the |
| If "Yes," has the person is the person in complian | | court-approved agreement for paym greement? | ent of all support owed and |
| [] Yes [] N | lo | | |
| B. FURTHER CERTIFI | CATIONS. | • | |
| consult for defined terms submitting this EDS is th certifies as follows: (i) no with, or has admitted gui | (e.g., "doing te Applicant are either the App lt of, or has ev | apter 1-23, Article I ("Article I")(who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is wer been convicted of, or placed undurated, or conspiracy to commit brib | if the Disclosing Party hen the Disclosing Party currently indicted or charged ler supervision for, any |

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: | | | | (Further | | |
|--|--|--|--|----------|------|-----|
| n/a | | | | | | |
| | | | | : | • | |
| | | | | | | . , |
| | | | | | | |

| presumed that the Disclosing Party certified to the above statements. |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). |
| · |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during th 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in th course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| is 😢 is not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): n/a |
| |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

| | | 1 | | |
|--|--|--|---|--------------------------------------|
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. | | | | |
| D. CERTIFICATI | ON REGARDING INTEREST | IN CITY BUSINE | SS | |
| Any words or term meanings when use | s that are defined in Chapter 2-1ed in this Part D. | 56 of the Municip | al Code have the same | |
| | ce with Section.2-156-110 of the financial interest in his or her over? | · - | - | |
| ☐ Yes | ✓ No | + 1 | | |
| 2. Unless sold elected official or of any other person of for taxes or assessments. "City Property Sale | pursuant to a process of competentiation of the pursuant to a process of competentiation of the purchase of any property, or (iii) is sold by virtue of the purchase of any property a financial interest within the management of the property of the propert | titive bidding, or or interest in his or he roperty that (i) below the flegal process at the taken pursuant to the second control of | therwise permitted, no Corrown name or in the name of the City, or (ii) is no suit of the City (colle the City's eminent doma | City ame of s sold ctively, |
| Does the Matter in | volve a City Property Sale? | | • : | • |
| ☐ Yes | [] No | | · · · · · · · · · · · · · · · · · · · | |
| | ced "Yes" to Item D.1., provide ees having such interest and ide | | | ity |
| Name | Business Address | Nature | of Interest | · |
| | | | · · · · · · · · · · · · · · · · · · · | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|--|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: n/a |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the sederal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): n/a |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, |

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing l | Party the Applicant? | | | | |
|--------------------------------|---|-------------------------|-------------|---------------------------------------|------------|
| ∐ Ycs | [✓] No | | è | | |
| If "Yes," answer t | the three questions below: | : | | | |
| | ieveloped and do you have os? (See 41 CFR Part 60-2.) | on file affirmative act | ion progra | ms pursuant to | applicable |
| Contract Complia | filed with the Joint Reporting nee Programs, or the Equal lole filing requirements? | ~ | | | |
| 3. Have you pequal opportunity | participated in any previous of clause? | contracts or subcontr | acts subjec | ct to the | • |
| If you checked "N | No" to question 1. or 2. above | e, please provide an ε | explanatio | n: | |
| | | | | · · · · · · · · · · · · · · · · · · · | |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entitics will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| Bickerdike Lathrop LLC | By: Bickerdik | e Redevelopment Corporation, its.manager |
|--|----------------|--|
| (Print or type name of Disclosing Party) | | |
| ву: ДДА | , | , |
| (Sign here) | | |
| Joy Aruguete | | |
| (Print or type name of person signing) | | |
| Chief Executive Officer | | |
| (Print or type title of person signing) | | |
| | | • |
| Signed and sworn to before me on (date) | benon 8, | 20/6 |
| at Cook County, | (state). | |
| | Notary Public. | |
| Commission expires: 8 13 18 | · | OFFICIAL SEAL 8HADONNA L WARE NOTARY PUBLIC, STATE OF ILLINOIS |
| · | Page 12 of 13 | My Commission Expires 08/13/2018 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently

Have a "familial relationship" with an elected city official or department head?

[X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| 1. | | | e Section 2-154- roblem landlord | | | | |
|----|-----------------|-----------------|--|---------------|------------|-----------------------|---|
| | [] Yes | , | [x]N | lo | | | |
| 2. | the Applicant | | ntity publicly tra a building code : Code? | | | | |
| | [] Yes | | []N | lo | [x] Not Ap | plicable | • |
| , | | • | | | | * · · · · · · · · · · | |
| 3. | identified as a | a building code | lease identify be e scofflaw or pro nent code violat | oblem landlor | | | |
| | | | | • | | • | |
| | | 7 | | - ' | , | 7,Med 1, 3 | • |
| | | | , | •• | | 1.1 | |

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

M

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|---|
| The Related Realty Group, Inc. |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR 2. [] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: |
| OR 3. k] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: The Related Companies, L.P. |
| B. Business address of the Disclosing Party: 60 Columbus Circle New York, NY 10023 |
| C. Telephone: 212-421-5333 Fax: 212-801-3781 Email: mbrenner@related.com |
| D. Name of contact person: Michael J. Brenner |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| Zoning Amendment for property located at 2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and 2201-2223 West Oakdale Avenue. |
| G. Which City agency or department is requesting this EDS? Department of Planning and Development |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # N/A and Contract # N/A |
| |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Pa | arty: |
|---|--|
| [] Person | [] Limited liability company |
| Publicly registered business corporation | [] Limited liability partnership |
| k] Privately held business corporation | [] Joint venture |
|] Sole proprietorship | [] Not-for-profit corporation |
|] General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| [] Limited partnership | [] Yes [] No |
| [] Trust | [] Other (please specify) |
| 2. For legal entities, the state (or foreign of | country) of incorporation or organization, if applicable: |
| Delaware | |
| Delamate | - Allendard Control of the Control |
| 3 For legal entities not organized in the | State of Illinois: Has the organization registered to do |
| business in the State of Illinois as a foreign en | |
| [] No | - 1 |
| £1.400 (1.400 (| |
| B. IF THE DISCLOSING PARTY IS A LEG | GAL ENTITY: |
| 1 List below the full names and titles of | all executive officers and all directors of the entity. |
| | ist below all members, if any, which are legal entities. If |
| | s." For trusts, estates or other similar entities, list below |
| the legal titleholder(s). | o. Tol itable, could be outer difficulty, income |
| • | d partnership, limited liability company, limited liability |
| | ne and title of each general partner, managing member, |
| | strols the day-to-day management of the Disclosing Party |
| NOTE: Each legal entity listed below must su | |
| | |
| Name | Title |
| Stephen M. Ross | Sole Stockholder, Director, Chairman |
| Jeff T. Blau | Director, Chief Executive Officer |
| Bruce A. Beal, Jr. | President |
| Michael L. Brenner | Director, Chief Financial Officer, Executive VP, Treasu |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Percentage Interest in the

Rusiness Address

Mama

| 1,41,10 | | Disclosing Party |
|--------------------------------------|---|---|
| Stephen M. Ross | 60 Columbus Circle, New York, NY 10023 | • |
| | | |
| | | |
| | | |
| | | |
| SECTION III I | BUSINESS RELATIONSHIPS WITH C | ITY ELECTED OFFICIALS |
| Has the Disclos | sing Party had a "business relationship," as | defined in Chapter 2-156 of the Municipal |
| Code, with any Ci | ty elected official in the 12 months before t | he date this EDS is signed? |
| [] Yes | [x] No | |
| If yes, please iden relationship(s): | tify below the name(s) of such City elected | official(s) and describe such |
| | | |
| | | |

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| retained or anticipated to be retained) | Address | (subcontractor, attorney, lobbyist, etc.) | paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|---|--|--|
| | | | |
| (Add sheets if necessary) | | | |
| [A] Check here if the Disc | losing Party h | as not retained, nor expects to retain | n, any such persons or entitle |
| SECTION V CERTIF | CICATIONS | · | |
| A. COURT-ORDERED | CHILD SUPF | PORT COMPLIANCE | |
| | | 415, substantial owners of business the their child support obligations thr | |
| | | tly owns 10% or more of the Disclo | |
| [] Yes [x] N | | lo person directly or indirectly owns sclosing Party. | 10% or more of the |
| If "Yes," has the person e is the person in complian | | court-approved agreement for paym greement? | ent of all support owed and |
| []Yes []N | o : | • | |
| B. FURTHER CERTIFIC | CATIONS | | |
| consult for defined terms submitting this EDS is the certifies as follows: (i) ne with, or has admitted guil | (e.g., "doing Applicant an ither the App t of, or has ev | apter 1-23, Article I ("Article I")(whe business") and legal requirements), and is doing business with the City, the licant nor any controlling person is deen convicted of, or placed under the person of the person is determined to commit bribe. | nich the Applicant should if the Disclosing Party nen the Disclosing Party currently indicted or charged er supervision for, any |

perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Univerified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further |
|--|
| Certifications), the Disclosing Party must explain below: N/A |
| |
| |
| |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
|---|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is [k] is not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |
| |

| | sments, or (iii) is sold by virtue of legal process at the suit of the City (colle | |
|-------------------|--|---------|
| | | |
| | r employee shall have a financial interest in his or her own name or in the n or entity in the purchase of any property that (i) belongs to the City, or (ii) | |
| 2. Unless sol | d pursuant to a process of competitive bidding, or otherwise permitted, no | City |
| Item D.1., procee | · · | |
| NOTE: If you cl | hecked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked | "No" to |
| [] Yes | [x] No | |
| | nce with Section 2-156-110 of the Municipal Code: Does any official or en a financial interest in his or her own name or in the name of any other person | |
| • | sed in this Part D. | |
| - | ms that are defined in Chapter 2-156 of the Municipal Code have the same | |
| D. CERTIFICAT | TION REGARDING INTEREST IN CITY, BUSINESS | |
| 31 | • | |
| conclusively pres | umed that the Disclosing Party certified to the above statements. | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|--|
| x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): N/A |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, |

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing P | arty the Applicant? | |
|---------------------|---------------------------------------|--|
| []Yes | [×] No | and the second of |
| If "Yes," answer th | he three questions below: | ing the state of t |
| 1. Have you d | | on file affirmative action programs pursuant to applicabl |
| []Yes | []No | , |
| Contract Complian | | ing Committee, the Director of the Office of Federal al Employment Opportunity Commission all reports due |
| 3. Have you p | | s contracts or subcontracts subject to the |
| [] Yes | [] No | |
| If you checked "N | o" to question 1. or 2. abo | ove, please provide an explanation: |
| | · · · · · · · · · · · · · · · · · · · | · · · · · · · · · · · · · · · · · · · |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| The second secon | | • | |
|--|-------------------------------|--|----------------------------|
| The Related Realty Group, Inc. | | | |
| (Print or type name of Disclosing Party) | | - | |
| By Milas & Breuse | | | |
| (Sign here) | | · · · · · · · · · · · · · · · · · · · | |
| | i i | | , |
| Michael J. Brenner (Print or type name of person signing) | | | |
| Executive Vice President (Print or type title of person signing) | | | |
| 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1 | Section 1 | Property of the Control of the Control | •• |
| Signed and sworn to before me on (date) = at New York County, New York | September 1, 2015 (state). | ٠ • | . : |
| Coursie M. Coelegari Commission expires: 13/19/2018 | Notary Public. | CORINE M. COLLIG Notary Public, State of Ne Registration #01CO601 Qualified In New York C Commission Expires October | ew York 14998 Jounty |
| | Page 12 of 12 | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| []Yes | [x] No | | |
|--------------------------|---------------------------------|---|--|
| such person is connected | ed; (3) the name and title of t | tle of such person, (2) the name of the legal entity to wh the elected city official or department head to whom suc ise nature of such familial relationship. | |
| | | | |
| | | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|--|
| Bickerdike Redevelopment Corporation |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. the Applicant OR 2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Lathrop Community Partners LLC OR |
| 3. [1] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 2550 W. North Avenue |
| Chicago, IL 60647 |
| C. Telephone: 773-278-5669 Fax: 773-278-5673 Email: ecastaneda@bickerdike.org |
| D. Name of contact person: Elizabeth Castaneda |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| Zoning amendment for Lathrop Homes |
| G. Which City agency or department is requesting this EDS? Department of Planning and Development |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # N/A and Contract # N/A |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: | | Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership ✓ Yes Trust Other (please specify) For legal entities, the state (or foreign country) of incorporation or organization, if applicable: State of Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? No [7] N/A | Ycs B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: 1. List below the full names and titles of all executive officers and all directors of the entity. NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s). If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title See attached list of officers and directors. No members are legal entities.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE**: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | Business Address | Percentage Interest in the |
|---------------------------------------|---|--|
| - | | Disclosing Party |
| None | | , <u>, , , , , , , , , , , , , , , , , , </u> |
| | | |
| | | |
| | | |
| | | |
| SECTION III 1 | BUSINESS RELATIONSHIPS W | ITH CITY ELECTED OFFICIALS |
| | | |
| | sing Party had a "business relationsh ty elected official in the 12 months | nip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed? |
| []] Yeş | No. | |
| If yes, please iden relationship(s): | tify below the name(s) of such City | elected official(s) and describe such |
| | | |
| · · · · · · · · · · · · · · · · · · · | | |
| | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|--|--|--|
| None | | | |
| (A 11 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 | | | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | losing Party h | as not retained, nor expects to retain | i, any such persons or entities |
| SECTION V CERTII | FICATIONS | | |
| A. COURT-ORDERED | CHILD SUPP | PORT COMPLIANCE | |
| | | -415, substantial owners of business th their child support obligations thr | |
| | - | tly owns 10% or more of the Disclosons by any Illinois court of compete | |
| ∐'Yes □ ħ | | To person directly or indirectly owns sclosing Party. | ; 10% or more of the |
| If "Yes," has the person is the person in complian | | court-approved agreement for paym greement? | ent of all support owed and |
| ☐ Yes ☐ N | lo . | | |
| B. FURTHER CERTIFI | CATIONS | | · |
| consult for defined terms submitting this EDS is the certifies as follows: (i) no | s (e.g., "doing he Applicant an either the App | apter 1-23, Article I ("Article I")(who business") and legal requirements), and is doing business with the City, the licant nor any controlling person is ver been convicted of, or placed und | if the Disclosing Party hen the Disclosing Party currently indicted or charged |

criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concerns
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further | | | | |
|--|--|--|--|--|
| Certi: | fications), the Disclosing Party must explain below: | | | |
| | | | | |
| | | | | |
| | | | | |

| | A," the word "None," or no response appears on the lines above, it will be conclusively no Disclosing Party certified to the above statements. |
|---|--|
| complete list of month period pr | est of the Disclosing Party's knowledge after reasonable inquiry, the following is a all current employees of the Disclosing Party who were, at any time during the 12-receding the execution date of this EDS, an employee, or elected or appointed official, hicago (if none, indicate with "N/A" or "none"). |
| | |
| complete list of 12-month period official, of the C made generally course of official | est of the Disclosing Party's knowledge after reasonable inquiry, the following is a all gifts that the Disclosing Party has given or caused to be given, at any time during the preceding the execution date of this EDS, to an employee, or elected or appointed City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything available to City employees or to the general public, or (ii) food or drink provided in the City business and having a retail value of less than \$20 per recipient (if none, indicationor). As to any gift listed below, please also list the name of the City recipient. |
| | |
| C. CERTIFICA | ATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disc | losing Party certifies that the Disclosing Party (check one) |
| is | [✓] is not |
| a "financial inst | itution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Di | sclosing Party IS a financial institution, then the Disclosing Party pledges: |
| Code. We furth lender as define | I will not become a predatory lender as defined in Chapter 2-32 of the Municipal her pledge that none of our affiliates is, and none of them will become, a predatory and in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory hing an affiliate of a predatory lender may result in the loss of the privilege of doing the City." |
| Section 2-32-45 | g Party is unable to make this pledge because it or any of its affiliates (as defined in 5(b) of the Municipal Code) is a predatory lender within the meaning of Chapter nicipal Code, explain here (attach additional pages if necessary): |

| any other person o for taxes or assess "City Property Sale does not constitute | r entity in the purchase of any prop ments, or (iii) is sold by virtue of l | terest in his or her own name or in the name of perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power aning of this Part D. |
|---|--|---|
| any other person of for taxes or assessing "City Property Sale | r entity in the purchase of any proposents, or (iii) is sold by virtue of le"). Compensation for property ta | perty that (i) belongs to the City, or (ii) is sold egal process at the suit of the City (collectively, ken pursuant to the City's eminent domain power. |
| Item D.1., proceed 2. Unless sold | to Part E. pursuant to a process of competiti | ive bidding, or otherwise permitted, no City |
| NOTE: If you cho | ecked "Yes" to Item D.1., proceed | to Items D.2. and D.3. If you checked "No" to |
| | financial interest in his or her own | Municipal Code: Does any official or employee name or in the name of any other person or |
| Any words or term meanings when us | | 6 of the Municipal Code have the same |
| D. CERTIFICATI | ON REGARDING INTEREST IN | N CITY BUSINESS |
| - | med that the Disclosing Party cert | illed to the above statements. |
| | <u>-</u> | appears on the lines above, it will be |

be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|--|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: n/a |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): n/a |
| |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, |

amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids of in writing at the outset of negotiations.

| Is the Disclosing I | Party the Applicant? | |
|-------------------------------------|---|---|
| Yes | ∠ No | |
| If "Yes," answer t | he three questions below: | |
| | leveloped and do you have s? (See 41 CFR Part 60-2.) \(\sum \No\) | on file affirmative action programs pursuant to applicabl |
| Contract Complia | • | g Committee, the Director of the Office of Federal Employment Opportunity Commission all reports due |
| 3. Have you pequal opportunity Yes | • • • | contracts or subcontracts subject to the |
| If you checked "N | To" to question 1. or 2. abov | ve, please provide an explanation: |
| | - | |

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Bickerdike Redevelopment Corporation

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| (Print or type name of Disclosing Party) | |
|--|--------------------------|
| ву: ДД | <u></u> |
| (Sign here) | |
| Joy Aruguete | |
| (Print or type name of person signing) | ··· |
| Chief Executive Officer | |
| (Print or type title of person signing) | |
| Signed and swoft to before me on (date) | Ebroif 8, 2016, (state). |
| | _ Notary Public. |
| Commission expires: 8 13 18 | • |
| OFFICIAL SEAL SHADONNA L. WARE NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 08/13/2018 | Page 12 of 13 |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| 1 | _ | | | e Applicant or any Owner identified as a t to Section 2-92-416 of the Municipal |
|---|--------------|------------------|-------------------|--|
| | [] Yes | · | [x] No | |
| 1 | | ied as a buildir | | ny exchange, is any officer or director o or problem landlord pursuant to Section |
| 1 | [] Yes | | [] No | [x] Not Applicable |
| | | · | | |
| i | | ng code scoffla | aw or problem lan | name of the person or legal entity addord and the address of the building only. |
| | | <u> </u> | | |
| | | | | |

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

Ver. 01-01-12

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|--|
| Heartland Housing, Inc. |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [.] the Applicant OR 2. [X] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Lathrop Community Partners LLC OR 3. [.] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 208 S. LaSalle St., Suite 1300 |
| C. Telephone: 312-660-1383 Fax: 312-660-1555 Email: mgoldberg@heartlandalliance.org D. Name of contact person: Michael Goldberg |
| E. Federal Employer Identification No. (if you have one): |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable). |
| Zoning Amendment for property located at 2820-2996 North Clybourn Avenue, 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and 2201-2223 West Oakdale Avenue. |
| G. Which City agency or department is requesting this EDS? Department of Planning and Development |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # NA and Contract # NA |
| |

Page 1 of 13

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY · ·

| Indicate the nat Person Publicly registered | ture of the Disclos | i | : [] Limited liability [] Limited liability | | |
|--|-----------------------|--------------------------|--|---|--|
| [] Privately held busing [] Sole proprietorship | ness corporation | e a e e ea | Joint venture X Not-for-profit c | | r1 . |
| [] General partnershi | p | | Is the not-for-prof | it corporation a | ılso a 501(c)(3))? |
| [] Limited partnershi | p | | | [_].No | |
| [] Trust | | | Other (please sp | | 第二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十二十 |
| | | | rii gakii sua | ST 1.75 | o ostan kalantar |
| 2. For legal entitie | es, the state (or fo | • | | | • |
| | | | , | C | |
| Illinois | | The State | ing the second of the second o | | |
| | | | | | 11.71. |
| 3. For legal entitic business in the State o | f Illinois as a forc | ign entity | e or minois: Has in | ie organization | registered-to-do |
| [] Yes | [] No. | en in the second | N/A | . *- | ng ngapading |
| B. IF THE DISCLOS | ING PARTY IS | A LEGAL | ENTITY: | | |
| 1. List below the | full mames and titl | es of all: | executive officers | ind all director | s of the chiliv |
| NOTE: For not-for-pr | | | | | |
| there are no such mem | bers, write "no m | embers." | For trusts, estates | or other simila | r entities, list below |
| the legal titleholder(s). If the entity is a gen | | Salar Sanger | um iral vi, hij. e | grijoj ju siturnakij. | |
| | | | | | |
| partnership or joint ver manager or any other i | | | | | |
| NOTE: Each legal ent | | | | | ine Disciosing I arry. |
| ATTENDED TO A TO A STATE OF THE | or yan dia kitar sala | ปักรุงเหมืาให้สุด | au nag i <mark>dhes</mark> etiljet at tu | ar e e e estada gelat | ्रात्त्र । व्यवस्थानम् । १९४१ - व्यवस्थानम् स्थापनारा |
| Name | e entre | i Sadhadhilindhi | Title | त्र क्रिकेट के किस्ति हैं। इस्ति क्रिकेट के किस्ति के क्रिकेट | errechte seenede |
| No members which a | re legal entities | (m. 11) 14 (m.) | िक्षेत्री पूर्णाक्ष्य एक हो द | <u>, , 6 h, , </u> | |
| _ List of all executive of | ficers and directors | of Heartl | and Housing Inc. at | tached, | And the second of the second o |
| | | र ४९ जिल्लाम् इर | | Turk en | |
| | | · vice | | | |
| The second secon | 1 | AR TO THE REAL PROPERTY. | <u> </u> | | to the same to the same |

indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

2. Please provide the following information concerning each person or entity having a direct or

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | Business Address | Percentage Interest in the |
|---------------------------------------|---|--|
| | | Disclosing Party |
| None | | |
| | | |
| 4 , | | |
| | | |
| | | |
| · | • | |
| SECTION III E | BUSINESS RELATIONSHIPS W | ITH CITY EDECTED OFFICIALS |
| | ing Party had a "business relationsh y elected official in the 12 months | nip," as defined in Chapter 2-156 of the Municipal before the date this EDS is signed? |
| | • | |
| [] Yes | ·[X]-·No | |
| If yes, please ident relationship(s): | ify below the name(s) of such City | elected official(s) and describe such |
| | | |
| | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate retained or anti | | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate who paid or estimated.) "hourly rate" or "t. not an acceptable r | NOTE: |
|--|---|--|--|--|--|
| See attached | list | | | not an acceptable i | esponse. |
| | · | | | | |
| | | | | | Sec. 2 |
| (Add sheets if r | iccessary) | | | | |
| [] Check here i | f.the Disclo | sing Party h | as not retained, nor expects to retain | n, any such persons c | or entities. |
| SECTION V | · CERTIFI | CATIONS | | · | |
| A. GOURT4OF | DERED C | HILD:SUPP | ORT-COMPLIANCE | . • | |
| | | | -415, substantial owners of business h their child support obligations thr | | |
| | | 7 2 | ly owns 1.0% or more of the Disclosing by any Illinois court of compete | , — , · · · · · · · · · · · · · · · · · | ared in |
| []Yes | [] ¹ No | | o person directly or indirectly owns | 10% or more of the | |
| is the person in | compliance | with that ag | court-approved agreement for paym reement? | | |
| [] Yes | No | et estima a na comincia | aratus galiana arata a ny manana dia arata Arata dia maliana arata arata arata arata | organica o promistorio. Magnificación programa | a magad Kamagan |
| B. FURTHER | CERTIFICA | TIONS | na va siging (n. 1. mann n. 1. m.) Sier paster in den generalier (n. 1. m.) | and the second of the second o | . is despession |
| 1. Pursuant consult for defin submitting this certifies as follo with, or has admeriminal offense perjury, dishone | to Municip icd terms (e EDS is the / ws: (i) neith itted guilt c involving a sty or decei | al Code Cha g., "doing b Applicant an ier the Appl if, or has eve ictual, attem t against an | pter 1-23, Article I ("Article I") (whousiness") and legal requirements); dis doing business with the City, the cantinor any controlling person is cerbeen convicted of, or placed under the conspiracy to commit bribe officer or employee of the City or a strait compliance with Article I is | tich the Applicant shifthe Disclosing Par ich the Disclosing Par ich the Disclosing Par currently indicted or crisupervision for, ar cry, theft, fraud, forg ny sister agency; and | ould ty arty charged y ery, I (ii) the |

doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or feceiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause-or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party:
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity," (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City; the State of Illinois, or any agency of the federal government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-640 (Living Wage Ordinance):
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Eoreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors (the Specially Designated Nationals List, the Denied Persons List, the Universitied List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
- 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

| presumed that the Disclo | osing Party certi | fied to the above st | tatements. | | |
|---|--|--|---|--|-----------------------------|
| 8. To the best of the complete list of all curre month period preceding of the City of Chicago (in None | nt employees of the execution da | f the Disclosing Parate of this EDS, an | rty who were, at an employee, or elect | | - |
| | | · | | | |
| 9. To the best of the complete list of all gifts 12-month period precedi official, of the City of Cimade generally available course of official City but with "N/A" or "none"). | that the Disclosing the execution hicago. For pure to City employ usiness and havi | ing Party has given in date of this EDS, poses of this stater yees or to the gener ing a retail value of | or caused to be gi , to an employee, on nent, a "gift" does al public, or (ii) foo f less than \$20 per i | relected or appointe not include: (i) anythod of or drink provided recipient (if none, in | ng the d ning in the dicate |
| TYONC | · · · · · · · · · · · · · · · · · · · | | | 7 | |
| | | | · · · · · · · · · · · · · · · · · · · | · | |
| C. CERTIFICATION O | FSTATUS AS | FINANCIAL INS | TITUTION | | • |
| 1. The Disclosing Pa | arty certifies tha | at the Disclosing Pa | arty (check one) | | |
| [] is [X] i | s not | | | • | |
| a "financial institution" a | is defined in Sec | ction 2-32-455(b) | of the Municipal Co | ode. | |
| 2. If the Disclosing | Party IS a financ | cial institution, the | n the Disclosing Pa | irty pledges: | |
| "We are not and will not Code. We further pledge lender as defined in Cha- lender or becoming an a business with the City." | e that none of ou pter 2-32 of the | ur affiliātes is, and Municipal Code. | none of them will We understand tha | become, a predatory t becoming a predate | ory |
| If the Disclosing Party is Section 2-32-455(b) of the 2-32 of the Municipal C | he Municipal Co | ode) is a predatory | lender within the | neaning of Chapter | |

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively

| | | • | | |
|--|--|--|--|------------|
| | " the word "None," or no resp imed that the Disclosing Party | | | |
| D. CERTIFICAT | ION REGARDING INTERES | ST IN CITY BUSIN | IESS | |
| Any words or term | ns that are defined in Chapter ed in this Part D. | 2-156 of the Munici | pal Code have the same | |
| of the City have a | ice With Section 2-156-110 of financial interest in his or her | | | |
| entity in the Matte | r 11. 8 1939 A 19 0 19 19 19 19 19 19 19 19 19 19 19 19 19 | en Aggestation of Jack Klaine | r i de de la composição d La composição de la compo | |
| NOTE: If you ch | ccked "Yes" to Item D.1., pro to Part E: | ** | and D.3. If you checked " | |
| 2. Unless sold | pursuant to approcess of com | petitive bidding, or | | |
| elected official or | employee shall have a financi r entity in the purchase of any | al interest in his or l | her own name or in the na | ame of |
| for taxes or assessi "City Property Sal | ments, or (iii) is sold by virtue e"). Compensation for proper a financial interest within the | of legal process at ty taken pursuant to | the suit of the City (colle the City's eminent doma | ctively, |
| Does the Matter in | volve a City Property Sale? | efficience of the control | . O - 1995 godanii mondia | e dinei |
| ['] Yes | [~] No | | ron 16 fc. | i |
| | ced "Yes" to Item Dil., provid ees having such interest and i | | | ity |
| Name | Business Address | Natur | re of Interest | |
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4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below of the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| <u>x</u> 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. |

| 3. The Disclosing | ; Party will sub | mit an update | ed certification a | t the end of eac | h calendar quarte | r in |
|--|-----------------------|--|---|---------------------------------------|--|--------------|
| which there occurs an | y event that ma | aterially affec | ets the accuracy of | of the statement | s and information | ı set |
| forth in paragraphs A. | 1. and A.2. ab | óve. | | | | |
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| 4. The Disclosing | | • | - | | | |
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| 501(c)(4) of the Intern | ial Revenue Co | ode of 1986 b | out has not engag | ged and will not | engage in "Lobb | ying |
| Activities". | | ** | | | × 1 | , . |
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| 5. If the Disclosing form and substance to | | | | | | |
| subcontract and the D | | | | | | |
| duration of the Matter | | | | | | |
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| | | | e | | | |
| B. CERTIFICATION | REGARDING | G EQUAL EI | MPLOYMENT (| OPPORTUNIT | Y | |
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| If the Matter is federal | | | | | | 1 |
| subcontractors to subn | | | | | | • |
| negotiations. | | . V 927 (2 | \$1 0 1 min | · · · · · · · · · · · · · · · · · · · | and the second second | Υ. |
| Is the Disclosing Party | oner 416 am - s | | na jery | | | Sec. 14 |
| is the Disclosing Party | the Applicant | i y at his | en general de la companya de la comp | 1 | | ۲۱, |
| []Yes | [x] No | | | - | | |
| [] -1-00 | - Ki -140 | | $\mathbf{V}(\mathcal{D}^{(d)}) \leftarrow$ | | | |
| If "Yes," answer the th | arce questions | below: | · | u- | | |
| | | | ब्रेपुर ज्लेसिक | TARK TALL TO THE | 787 A W 12 A | |
| 1. Have you devel | oped and do y | ou have on fi | le affirmative ac | tion programs | pursuant to applic | able |
| federal regulations? (S | See 41 CFR Pa | irt 60-2.) | | miller of Beneral | a nja os enne magna | 1.16.0 |
| []Yes | [] No | Supplement and supplement | · · · · · · · · · · · · · · · · · · · | | | |
| No grande de la companya de la compa | Section 1 | man di | | | | -, |
| 2. Have you filed | with the Joint | Reporting Co | mmittee, the Di | rector of the Of | fice of Federal | |
| Contract Compliance I | Programs, or th | ne Equal Emp | oloyment Opport | unity Commiss | ion all reports due | e i |
| under the applicable fi | ling requireme | nts7 | erentaa (inalis oo oo | | | 1 NA. W |
| []AI es m | | desimanta | rini Palelli i i i i | | | |
| 3. Have you partic | | | | | | |
| equal opportunity clau | ce? | | | | ttic | |
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SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees; parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, not permit their subcontractors to use; any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("ERLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the Gity; use any such contractor/subcontractor that does not provide such certifications of that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1.; E.2. or F.3 above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/shells authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true; accurate and complete as of the date furnished to the City.

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| Heartland Housing, Inc. | |
|--|--|
| (Print or type name of Disclosing Party) | |
| By Wall Walley | Andrea (M. 1809), Propinsi (M. 1888). Propinsi Andrea (M. 1888). |
| By: NV July 16 May 16 (Sign here) | on to the control of the proof of the control of th |
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| Executive Director | |
| (Print or type title of person signing) | ı |
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| | and the Miller Rolling of |
| Signed and sworm to before me on (date) September 1,2205 | on the first with the second |
| at County, Alining (state), | |
| Notary Public. | KRISTINA BUTLER OFFICIAL SEAL Notary Bublic State of Illinois |
| | September 12, 2016 |
| Commission expires: 9 12 2014 | Commence of the second |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption, parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation, all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a degal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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| If yes, plea | se identify bel | ow (!) the | name and t | itle of suc | h person, | (2) the nan | ne of the l | egal entity to | which |
| such person is | connected; |) the name | and title o | f the clect | ed city off | icial or der | artment l | lead to whom | such |
| person has a f | amilial relatio | nship, and | (4) the pred | cise nature | of such f | amilial rela | tionship. | A第7:677/ | |
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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

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| elegal Such | | | rationis palityris k Maigrospinanoj | | | | | Mga gam Shaqiirka i sh A shaqiirka sa sanith | |
| 2. | the Applica | int identified f the Munici | l as a building pal Code? | code scoffi | aw or pro | blem ländle | y öffiger or di rd pursuant to | Section | |
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FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY.

REFERENCE INTO; AND MADE A PART OF, THE ASSOCIATED EDS;

AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

City,of Chicago Economic Disclosure Statement and Affidavit Section IV. Disclosure of Subcontractors and Other Retained Partles

Business anticipatedito be retained:

| Fees | Approx. \$15,000 | (Estimated) | |
|-------------------------------------|----------------------------------|-------------------|--|
| Relationship to Disclosing Party | Attorney | | |
| Business Address | 626'W. Jackson Blvd., Suite 400, | Chicago, IL 60661 | |
| Vame | Applegate and Thorne-Thomsen | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: |
|---|
| The Related Companies, L.P. |
| Check ONE of the following three boxes: |
| Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR |
| 2. [x] a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Related LR Development LLC OR |
| 3. [] a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: 60 Columbus Circle New York, NY 10023 |
| C. Telephone: 212-421-5333 Fax: 212-801-3781 Email: mbrenner@related.com |
| D. Name of contact person: Michael J. Brenner |
| E. Federal Employer Identification No. (if you have one): 13-3676645 |
| F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable): |
| Zoning Amendment for property located at 2820-2996 North Clybourn Avenue; 2000-2144 West Diversey Parkway; 2007-2141 West Diversey Parkway; 2601-2953 North Leavitt Street; 2800-2888 North Leavitt Street; 2601-2769 North Hoyne Avenue; 2600-2768 North Hoyne Avenue; 2600-2800 North Damen Avenue and 2201-2223 West Oakdale Avenue. |
| G. Which City agency or department is requesting this EDS? Department of Planning and Development |
| If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: |
| Specification # N/A and Contract # N/A |

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

1. Indicate the nature of the Disclosing Party: [] Person [] Limited liability company [] Publicly registered business corporation [] Limited liability partnership

[] Privately held business corporation
[] Sole proprietorship
[] Not-for-profit corporation

[] General partnership (Is the not-for-profit corporation also a 501(c)(3))?

[X] Limited partnership [] Yes [] No

[] Trust [] Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

| NT 47. 5- | • | | |
|-----------|---|------|------|
| New York | | | |
| | | | |

A. NATURE OF THE DISCLOSING PARTY

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

x Yes

[]No

[]N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

| Name The Related Realty Group, Inc. | Title General Partner |
|--|--|
| Stephen M. Ross | Chairman of the general partner |
| Jeff T. Blau | Chief Executive Officer of general partner |
| Bruce A. Beal, Jr. | President of general partner |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

| Name | Business Address | Percentage Interest in the Disclosing Party | | | |
|--------------------|--|---|--|--|--|
| Stephen M. Ross | 60 Columbus Circle, New York, NY 10023 | | | | |
| Jeff T. Blau | 60 Columbus Circle, New York, N | Y 10023 | | | |
| Bruce A. Beal, Jr. | 60 Columbus Circle, New York, N | Y 10023 | | | |
| | | | | | |

(the percentage interest not shown is comprised of persons and / or entities holding less than a 7.5% interest)

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

| [] Yes | [x] No | |
|--|--|-----|
| If yes, please ident relationship(s): | fy below the name(s) of such City elected official(s) and describe s | uch |
| | | |

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|--|---|---|
| | | | |
| (Add sheets if necessary) | | | |
| [k] Check here if the Disc | losing Party h | as not retained, nor expects to retain | ı, any such persons or entities. |
| SECTION V - CERTIF | TICATIONS | | |
| A. COURT-ORDERED | CHILD SUPP | PORT COMPLIANCE | |
| | | -415, substantial owners of busines th their child support obligations thr | |
| Has any person who direct arrearage on any child su | ctly or indirect pport obligation | tly owns 10% or more of the Disclo | sing Party been declared in nt jurisdiction? |
| [] Yes [A] N | • • • | o person directly or indirectly owns | 10% or more of the |
| is the person in complian | ce with that ag | 分部 しゅわしい たいじゅう | |
| 1,751 TO TO CA | en en Maria de la compansión de la compa | | 1.14 |
| B. FURTHER CERTIFIC | CATIONS | en e | 3 • · · · · · · · · · · · · · · · · · · |
| 1. Pursuant to Munic consult for defined terms submitting this EDS is the | ipal Code Cha (e.g., "dôing" ê Applicant ar | apter 1-23, Article I ("Article I")(wh business") and legal requirements), and is doing business with the City, the licant nor any controlling person is o | nich the Applicant should if the Disclosing Party hen the Disclosing Party |

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer of employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

- 2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
 - b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
 - c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
 - d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
 - e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
 - 3. The certifications in subparts 3, 4 and 5 concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance). A Light Control of the affile of the control of
- 4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
- 6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code:

| 7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further | | | | | |
|--|-------------|----------|-----|---------------------------------------|---------------|
| Certifications), the Disclosing Par N/A | ty must exp | lain bel | ow: | | in the state. |
| garante gi lla sa tisana | | | | · · · · · · · · · · · · · · · · · · · | |
| | | | | 41.7 | |
| | | | | , | |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
|--|
| 8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| 9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) |
| [] is [k] is not |
| a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code. |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |
| If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary): |
| |

| | he word "None," or no response a ed that the Disclosing Party certifi | ppears on the lines above, it will be led to the above statements. |
|---|--|--|
| D. CERTIFICATIO | N REGARDING INTEREST IN | CITY BUSINESS |
| Any words or terms meanings when used | | of the Municipal Code have the same |
| | nancial interest in his or her own n | unicipal Code: Does any official or employee ame or in the name of any other person or |
| NOTE: If you check Item D.1., proceed to | _ | o Items D.2. and D.3. If you checked "No" to |
| elected official or er any other person or for taxes or assessm "City Property Sale" | nployee shall have a financial inte entity in the purchase of any prope ents, or (iii) is sold by virtue of le | e bidding, or otherwise permitted, no City rest in his or her own name or in the name of city that (i) belongs to the City, or (ii) is sold gal process at the suit of the City (collectively, en pursuant to the City's eminent domain power ning of this Part D. |
| Does the Matter inv | olve a City Property Sale? | |
| []Yes | [] No | 4. [*] |
| 3. If you checke officials or employe | ed "Yes" to Item D.1., provide the es having such interest and identif | names and business addresses of the City y the nature of such interest: |
| Name | Business Address | Nature of Interest |
| | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

| comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City |
| and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| N/A |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined be applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. |

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above. 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities". 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request. B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. Is the Disclosing Party the Applicant? []Yes k] No If "Yes," answer the three questions below: ्राच्या । इस्राम्बद्धारमञ्जूषे चारास्य स्थापन । स्थापन । 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) []Yes []No 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due
- [] Yes [] No

 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
 [] Yes [] No

 If you checked "No" to question 1: or 2. above, please provide an explanation:

under the applicable filing requirements?

SECTION VII - ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

- F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.
- F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U.S. General Services Administration.
- F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

| and complete as of the date furnished to the City. | | | • |
|--|-----------|-------------------------|---|
| The Related Companies, L.P. | | •. | |
| (Print or type name of Disclosing Party) | | | |
| By: Miles of France | | | · |
| (Sign here) | · | . 22. 1 | |
| Michael J. Brenner | | | |
| (Print or type name of person signing) | | • | • |
| | | | |
| Executive Vice President of its sole general partner | <u>r</u> | | |
| (Print or type title of person signing) | - | | |
| the second of th | | | • |
| Signed, and sworn to before me on (date) September at Now Yark County, Now Yark (state) | 1,2515. | ٠. | |
| Cour M Caelys Notary | y Public. | CORINE Notary Public | M. COLLIGAN State of New York |
| Commission expires: $\frac{13/19/2018}{}$. | | Registration | n #01CO6014998 New York County pires October 19, 2019 |

Page 12 of 13

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

| []Yes | [x] No | |
|----------------------|------------------------------------|--|
| such person is conne | cted; (3) the name and title of th | e of such person, (2) the name of the legal entity to which ne elected city official or department head to whom such e nature of such familial relationship. |
| | | |
| | | |

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning amendment for property located at 2620-2996 N. Clybourn Ave.; 2000-2144 W. Diversey Pkwy.; 2007-2141 W. Diversey Pkwy.; 2601-2953 N. Leavitt St.; 2800-2888 N. Leavitt St.; 2800-2888 N. Leavitt St.; 2800-2888 N. Leavitt St.; 2800-2768 N. Hoyne Ave.; 2600-2768 N. Hoyne Ave.; 2600-2768 N. Hoyne Ave.; 2600-2768 N. Damen Ave. and 2201-2223 W. Oskdale Ave.

[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that

[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

| Lathrop Community Partners, LLC | Date: |
|--|---|
| (Print or type legal name of Disclosing Party) | By: Related Lathrop, LLC, its Manager |
| By: (sign/here) | |
| Print or type name of signatory: | |
| Curt R. Bailey | • |
| Title of signatory: | |
| President | , |
| - claudia | County, <u>Illinois</u> [state]. |
| Commission expires: 6/34/19 | OFFICIAL SEAL ERICA SEARCY NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:06/24/19 |

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning amendment for properly located at 2820-2996 N. Clybourn Ave.; 2000-2144 W. Diversey Plwy.; 2007-2141 W. Diversey Plwy.; 2007-2161 W. Diversey Plwy.; 2007-

| Related Lathrop LLC | Date: 2/10/16 |
|---|---|
| (Print or type legal name of Disclosing Par | |
| (sign here) | |
| Print or type name of signatory: | |
| Curt Bailey | |
| Title of signatory: | |
| President | |
| , | |
| Signed and sworn to before me on [date], at, at | $\frac{\partial 1016}{\partial x}$, by County, $\frac{11000}{\partial x}$ [state]. |
| KULDUUG | Notary Public. |
| Commission expires: 6/34/19 | OFFICIAL SEAL ERICA SEARCY NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:06/24/19 |

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning amendment for properly located at 2820-2998 N. Clybourn Ave.; 2000-2144 W. Diversey Pkwy.; 2007-2141 W. Diversey Pkwy.; 2007-2141 W. Diversey Pkwy.; 2601-2953 N. Leavitt St.; 2800-2888 N. Leavitt St., This recertification is being submitted in connection with 2601-2769 N. Hoyne Ave.; 2600-2768 N. Hoyne Ave.; 2600-2800 N. Damen Ave. and 2201-2223

This recertification is being submitted in connection with 2601-2769 N. Hoyne Ave.; 2600-2769 N.

| LR Development Company LLC | Date: <u>2/10/16</u> |
|--|---|
| (Print or type legal name of Disclosing Party) | |
| By: | |
| (sign here) | |
| Print or type name of signatory: | |
| Curt Bailey | |
| Title of signatory: | |
| President | |
| | |
| Signed and sworn to before me on [date] | 2/10/16 , by County, [state]. |
| - This many in | Jotary Public. |
| Commission expires: 6/24/19 | OFFICIAL SEAL ERICA SEARCY NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:06/24/19 |

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning amendment for properly located at 2820-2996 N Clybourn Ave.; 2000-2144 W. Diversoy Pkwy; 2007-2141 W. Diversoy Pkwy; 2801-2953 N. Leavitt St; 2800-2888 N Leavitt St; 2800-2880 N Leavitt St; 2800-2800 N. Damon Avo. and 2201-2223 [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

| Related LR Developme | nt LLC | Date: | 2/11/16 | |
|------------------------|--|--------------------|---------------------|-------------|
| (Print or type legal | name of Disclosing Party) | | | |
| By: (sign here) | J. Bur | | | , · |
| Print or type name | of signatory: | | | |
| Michael J. Brenner | | | | |
| Title of signatory: | | | | |
| Executive Vice Preside | nt | | • | |
| | | County, <u>New</u> | by Jork [state]. | |
| Ver. 11-01-05 | SOFIA FRROKAJ Notary Public - State of New No. 01FR6208774 | York | | |

Qualified in Westchester County
Commission Expires July 13, 2017

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning amondment for property located at 2820-2996 N. Clybourn Ave.; 2000-2144 W. Diversey Plwy; 2007-2141 W. Dive

2/14/14

| The Related Companies, L.F. | Date: 2/1/10 |
|---|--|
| (Print or type legal name of Disclosing Part | y) |
| By: Mild & Bra (sign here) | - |
| Print or type name of signatory: | |
| Michael J. Brenner | |
| Title of signatory: | |
| Executive Vice President of its sole general partner | |
| Signed and sworn to before me on [date] 1 Michael J. Brenner, at New 1 | February 11, 2016, by Jork County, <u>New York</u> [state]. Notary Public. |
| Commission expires: July 13 120 | 017. |
| Ver. 11-01-05 SOFIA FRRO Notary Public - State of No. 01FR6208 Qualified in Westches | of New York |

Commission Expires July 13, 2017.

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning amendment for property located at 2820-2998 N. Chroum Ave., 2000-2144 W. Divarsay Pkwy.; 2007-2141 W. Divarsay Pkwy.; 2801-2953 N. Leavitt St.; 2800-2888 N. Leavitt St.; 2800-2888 N. Leavitt St.; 2800-2888 N. Leavitt St.; 2800-2800 N. Damon Ave. and 2201-2223 [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

| The Related Realty Group, Inc. | Date: | 2/11/16 | |
|---|-------|--------------------|----|
| (Print or type legal name of Disclosing Party) | | | |
| By: (sign here) | | . · · . | |
| Print or type name of signatory: | | | |
| Michael J. Brenner | • | | • |
| Title of signatory: | | | |
| Executive Vice President | | | |
| Signed and sworn to before me on [date] February Michael J. Brenner, at <u>vew York</u> Notary | | by Jul [state]. | į. |
| Commission expires: 214 13, 2017 | | | |
| Ver. 11-01-05 SOFIA FRROKAJ Notary Public - State of New | York | | |

No. 01FR6208774

Qualified in Westchester County

Commission Expires July 13, 2017

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning amendment for property located at 2820-2996 N. Clybourn Ave.; 2000-2144 W. Diversey Pkwy., 2007-2141 W. Diversey Pkwy.; 2601-2953 N. Leavitt St.; 2800-2889 N. Leavitt St.; 2800-2889 N. Leavitt St.; 2800-2880 N. Domen Ave. and 2201-2223 [identify the Matter]. Under penalty of perjury, the person Signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

| Heartland Lathrop, LLC | Date: 2/9/16 |
|--|----------------------------------|
| (Print or type legal name of Disclosing Party) | , |
| By: Will Halloer (sign here) | - |
| Print or type name of signatory: | |
| Michael Goldberg | |
| Title of signatory: Executive Director of Heartland Housing, Sole Member of N | Heartland Lathrop, LLC |
| Signed and sworn to before me on [date] Febru My Chael Goldberg, at cook No | County, <u>Hlind; s</u> [state]. |
| · | |

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning amendment for property located at 2820-2998 N. Clybourn Ave., 2000-2144 W. Diversey Pkwy; 2007-2141 W. Diversey Pkwy; 2601-2953 N. Leavitt St; 2800-2868 N. Leavitt St; This recertification is being submitted in connection with 2601-2769 N. Hoyne Ave.; 2600-2788 N. Hoyne Ave.; 2600-2800 N. Daman Ave. and 2201-2223 [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

2/9/110

| Heartland Housing, Inc. | Date: $2/9/16$ |
|--|---------------------------------|
| (Print or type legal name of Disclosing Party) | |
| Ву: | |
| Wil Onelle | • |
| (sign here) | |
| Print or type name of signatory: | • |
| Michael Goldberg | |
| Title of signatory: | |
| Executive Director | |
| | |
| Signed and sworn to before me on [date] Ethan | |
| Michael Goldburg, at LOOK | County, <u>Thirdis</u> [state]. |
| No | otary Public. |
| Commission expires: 9/12/14. | |
| Commission expires. | |

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Zoning amendment for Lathrop Homes [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

| By Bickerdike Redevelopment Corporation, its manager |
|--|
| Bickerdike Lathrop LLC Date: 2/8/1/6 |
| (Print or type legal name of Disclosing Party) |
| By: (sign here) |
| Print or type name of signatory: |
| Joy Aruguete |
| Title of signatory: |
| Chief Executive Officer |
| Signed and sworn to before me on [date] February 8, 2016, by On Auguste, at Cook County, [state]. Notary Public. |
| OFFICIAL SEAL SHADONNA L. WARE Ver. 11-01-05 NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 08/13/2018 |

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Zoning amendment for Lathrop Homes [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

| Bickerdike Redevelopment Corporation | Date: 2816 |
|---|----------------|
| (Print or type legal name of Disclosing Party) | |
| By: (sign Here) | · · |
| Print or type name of signatory: | |
| Joy Aruguete | |
| Title of signatory: | |
| Chief Executive Officer | |
| Signed and sworn to before me on [date] Felana & Jolla, by | |
| Ver. 11-01-05 SHADONNA L. WARE NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires 08/13/2018 | ئىن ئەتىنىد |